Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to public inspection

For calendar year 2012 or tax year beginning JUL 1, 2012 **, and ending** JUN 30, 2013

Name of foundation
THE PATTERSON FAMILY FOUNDATION
C/O CHARLES C. PATTERSON

A Employer identification number
80-0669494

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
2900 SAINT MICHAEL DRIVE, SUITE 500

B Telephone number
903-223-3999

City or town, state, and ZIP code
TEXARKANA, TX 75503

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 1,896,159.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received			N/A	
	2	Check ▶ ☒ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	52.	52.		STATEMENT 1
	4	Dividends and interest from securities	41,734.	41,734.		STATEMENT 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	22,289.			
	b	Gross sales price for all assets on line 6a 388,590.				
	7	Capital gain net income (from Part IV, line 2)		22,289.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income	5,548.	5,548.		STATEMENT 3
	12	**Total** Add lines 1 through 11	69,623.	69,623.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0.	0.		0.
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees				
	b	Accounting fees				
	c	Other professional fees STMT 4	15,095.	15,095.		0.
	17	Interest				
	18	Taxes STMT 5	23,689.	0.		0.
	19	Depreciation and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses STMT 6	599.	599.		0.
	24	**Total operating and administrative expenses** Add lines 13 through 23	39,383.	15,694.		0.
	25	Contributions, gifts, grants paid	55,000.			55,000.
	26	**Total expenses and disbursements.** Add lines 24 and 25	94,383.	15,694.		55,000.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	-24,760.			
	b	**Net investment income** (if negative, enter -0-)		53,929.		
	c	**Adjusted net income** (if negative, enter -0-)			N/A	

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Part II	Balance Sheets Attached schedules and amounts in the description column should be for end-of year amounts only		Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	89,839.	19,500.	19,500.
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less. allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations STMT 7	375,259.	662,057.	798,905.
	b	Investments - corporate stock STMT 8	233,537.	255,744.	260,719.
	c	Investments - corporate bonds STMT 9	690,592.	380,529.	376,570.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 10	434,786.	476,294.	440,465.
	14	Land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers)	1,824,013.	1,794,124.	1,896,159.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	1,824,013.	1,794,124.	
	30	**Total net assets or fund balances**	1,824,013.	1,794,124.	
	31	**Total liabilities and net assets/fund balances**	1,824,013.	1,794,124.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,824,013.
2	Enter amount from Part I, line 27a	2	-24,760.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	1,799,253.
5	Decreases not included in line 2 (itemize) ▶ BOOK/TAX DIFFERENCES	5	5,129.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,794,124.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo., day, yr)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	388,590.		365,406.	22,289.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				22,289.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } 2 22,289.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	60,453.	1,854,164.	.032604
2010	0.	1,827,127.	.000000
2009			
2008			
2007			

2	Total of line 1, column (d)	2	.032604
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.016302
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	1,917,572.
5	Multiply line 4 by line 3	5	31,260.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	539.
7	Add lines 5 and 6	7	31,799.
8	Enter qualifying distributions from Part XII, line 4	8	55,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	539.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3	Add lines 1 and 2			3	539.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5	**Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-			5	539.
6	Credits/Payments				
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	13,000.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	13,000.
8	Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached			8	2.
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	12,459.
11	Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ▶	12,459.	**Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ TX			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ TANYA DICKERT Telephone no. ▶ 903-223-3999

Located at ▶ 2900 SAINT MICHAEL DRIVE, SUITE 500, TEXARKANA, T ZIP+4 ▶ 75503

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Yes	No
16 At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a During the year did the foundation (either directly or indirectly):			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).			
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No			
If "Yes," list the years ▶ ________, ________, ________, ________			
b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ________, ________, ________, ________			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)* N/A	3b		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CHARLES C. PATTERSON 2900 ST. MICHAEL DRIVE, SUITE 500 TEXARKANA, TX 75503	DIRECTOR 2.00	0.	0.	0.
LOIS PATTERSON 2900 ST. MICHAEL DRIVE, SUITE 500 TEXARKANA, TX 75503	DIRECTOR 2.00	0.	0.	0.
TY PATTERSON 2900 ST. MICHAEL DRIVE, SUITE 500 TEXARKANA, TX 75503	DIRECTOR 2.00	0.	0.	0.
CHAD PATTERSON 2900 ST. MICHAEL DRIVE, SUITE 500 TEXARKANA, TX 75503	DIRECTOR 2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE.	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE.	0.
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes:			
a	Average monthly fair market value of securities		1a	1,863,106.
b	Average of monthly cash balances		1b	83,668.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	1,946,774.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	1,946,774.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	29,202.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	1,917,572.
6	**Minimum investment return** Enter 5% of line 5		6	95,879.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	95,879.
2a	Tax on investment income for 2012 from Part VI, line 5	2a 539.		
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	539.
3	Distributable amount before adjustments Subtract line 2c from line 1		3	95,340.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	95,340.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	95,340.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	55,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	55,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	539.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	54,461.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				95,340.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			54,985.	
b Total for prior years: ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 55,000.				
a Applied to 2011, but not more than line 2a			54,985.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				15.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				95,325.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2013** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon. a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

CHARLES C. PATTERSON

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
OPPORTUNITIES, INC. 6101 NORTH STATE LINE TEXARKANA, TX 75503	NONE	N/A	CHARITY	30,000.
THE FIRST TEE OF GREATER TEXARKANA 2321 LINE FERRY ROAD TEXARKANA, AR 71854	NONE	N/A	CHARITY	25,000.
Total			▶ 3a	55,000.
b *Approved for future payment* NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue.					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	52.	
4 Dividends and interest from securities			14	41,734.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	5,524.	24.
8 Gain or (loss) from sales of assets other than inventory			18	22,289.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		69,599.	24.

13 Total Add line 12, columns (b), (d), and (e) 13 69,623.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)
7	REFUND FROM IRS

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Signature of officer or trustee

Date 11-13-13

Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self- employed	PTIN
ANDREW BURNETT		11/11/13		P00038643

Firm's name ▶ FRAZIER & DEETER, L.L.C.

Firm's EIN ▶ 58-1433845

Firm's address ▶ 600 PEACHTREE STREET, SUITE 1900
ATLANTA, GA 30308

Phone no. (404)253-7500

THE PATTERSON FAMILY FOUNDATION
C/O CHARLES C. PATTERSON

CONTINUATION FOR 990-PF, PART IV
80-0669494 PAGE 1 OF 1

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo., day, yr)
1a	WELLS FARGO #2100 (SEE ATTACHED STATEMENT)	P	VARIOUS	VARIOUS
b	300 SHARES ISHARES DOW JONES SELECT	P	VARIOUS	05/13/13
c	80 SHARES ISHARES S&P MIDCAP 400 GROWTH	P	VARIOUS	05/13/13
d	100 SHARES S&P MIDCAP 400 VALUE	P	VARIOUS	05/13/13
e	993.031 SHARES PIMCO HIGH YIELD FD-INST	P	VARIOUS	05/24/13
f	WELLS FARGO #2100 (SEE ATTACHED STATEMENT)	P	VARIOUS	VARIOUS
g	POWERSHARES DB COMMODITY INDEX TRACKING FUND K-1		VARIOUS	VARIOUS
h	POWERSHARES DB COMMODITY INDEX TRACKING FUND K-1		VARIOUS	VARIOUS
i	475 SHARES JP MORGAN ALERIAN MLP INDEX	P	VARIOUS	VARIOUS
j	24600 SHARES OF ISHARES GOLD TRUST	P	01/01/01	VARIOUS
k	ARTIO GLOBAL HIGH INCOME	P	VARIOUS	VARIOUS
l	CAPITAL GAINS DIVIDENDS			
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	252,593.		247,650.	4,943.
b	19,788.		16,992.	2,796.
c	10,607.		8,257.	2,350.
d	10,271.		7,768.	2,503.
e	9,732.		8,917.	815.
f	61,062.		58,762.	2,300.
g				142.
h				-1,037.
i	22,630.		16,979.	5,651.
j	83.		81.	2.
k	16.			16.
l	1,808.			1,808.
m				
n				
o				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				4,943.
b				2,796.
c				2,350.
d				2,503.
e				815.
f				2,300.
g				142.
h				-1,037.
i				5,651.
j				2.
k				16.
l				1,808.
m				
n				
o				

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	22,289.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	}	3	N/A

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
K-1 INTEREST INCOME	46.
WELLS FARGO BANK - #9633	4.
WELLS FARGO INVESTMENTS - #2100	2.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	52.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
WELLS FARGO INVESTMENTS - #2100	43,542.	1,808.	41,734.
TOTAL TO FM 990-PF, PART I, LN 4	43,542.	1,808.	41,734.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
K-1 OTHER INCOME	2,977.	2,977.	
WELLS FARGO INVESTMENTS - #2100	2,547.	2,547.	
UNITED STATES TREASURY	24.	24.	
TOTAL TO FORM 990-PF, PART I, LINE 11	5,548.	5,548.	

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	15,095.	15,095.		0.
TO FORM 990-PF, PG 1, LN 16C	15,095.	15,095.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PAYMENTS	23,689.	0.		0.
TO FORM 990-PF, PG 1, LN 18	23,689.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
K-1 PORTFOLIO DEDUCTIONS	599.	599.		0.
TO FORM 990-PF, PG 1, LN 23	599.	599.		0.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 7

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
WELLS FARGO COMPANY #2100		X	662,057.	798,905.
TOTAL U.S. GOVERNMENT OBLIGATIONS				
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			662,057.	798,905.
TOTAL TO FORM 990-PF, PART II, LINE 10A			662,057.	798,905.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
WELLS FARGO COMPANY #2100	255,744.	260,719.
TOTAL TO FORM 990-PF, PART II, LINE 10B	255,744.	260,719.

FORM 990-PF CORPORATE BONDS STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
WELLS FARGO COMPANY #2100	380,529.	376,570.
TOTAL TO FORM 990-PF, PART II, LINE 10C	380,529.	376,570.

FORM 990-PF OTHER INVESTMENTS STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
WELLS FARGO COMPANY #2100	COST	396,832.	371,357.
POWERSHARES DB COMMODITY INDEX TRACKING FUND	COST	79,462.	69,108.
TOTAL TO FORM 990-PF, PART II, LINE 13		476,294.	440,465.

Forms 1099 for 2012

1099-B Proceeds From Broker and Barter Exchange Transactions (OMB No. 1545-0715) Copy B, For Recipient

A schedule of your net gains and losses is shown below. Although we have shown quantity sold on the sales with either two decimal places, as a whole number, or as a fractional share, all of these amounts will be filed with the IRS as whole numbers per IRS publication 1220. Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost. Please review these carefully when preparing your return. Note also that an asterisk symbol (*) next to tax cost indicates that the tax cost has been adjusted for current year's return of capital. However, we have not made any adjustments to the cost basis of all partnerships. If applicable, we have shown IRC Section 988 translation gains and losses separately within the sales sections. Please note these gains or losses are not entitled to capital gains treatment. Rather, under IRC Section 988, they are either ordinary income or loss.

Proceeds from Short Term Noncovered Security Transactions

This category includes transactions that are short-term gain or loss. Form 1099-B, Box 6a is checked because this category includes only sales of non-covered securities. For sales of noncovered securities we report security description, symbol, cusip, quantity sold, sale date, federal income tax with-held and gross proceeds to the Internal Revenue Service but we do not report acquisition date, tax cost, wash sale loss disallowed and type of gain or loss. If applicable, transactions subject to wash sale are shown separately. If wash sales are not shown separately, your account has no wash sales in this category. For individual taxpayers, these transactions are reportable on Form 8949, Part I, Box B.

Short Term Noncovered Security Transactions (Form 8949, Part I, Box B)

Description (Box 8)	Symbol (Box 1d)	Cusip	Quantity sold (Box 1e)	Date of acquisition	Sale date (Box 1a)	Sales price less commissions (Box 2a)	Cost or other basis	Net gain or loss
MERGER FD SH BEN INT 260	MERFX	589509108	445.31	08/10/11	03/29/12	$7,018.04	$6,880.00	$138.04
MERGER FD SH BEN INT 260	MERFX	589509108	506.2	07/13/11	03/29/12	$7,977.76	$8,160.00	$-182.24
DRIEHAUS ACTIVE INCOME FUND	LCMAX	262028855	246.2	09/06/11	03/29/12	$2,604.75	$2,585.06	$19.69
DRIEHAUS ACTIVE INCOME FUND	LCMAX	262028855	430.87	08/18/11	03/29/12	$4,558.62	$4,550.00	$8.62
DRIEHAUS ACTIVE INCOME FUND	LCMAX	262028855	1092.1	08/10/11	03/29/12	$11,554.37	$11,467.00	$87.37

PATTERSON FAMILY FOUNDATION - AGY

Short Term Noncovered Security Transactions (Form 8949, Part I, Box B)

Description (Box 8)	Symbol (Box 1d)	Cusip	Quantity sold (Box 1e)	Date of acquisition	Sale date (Box 1a)	Sales price less commissions (Box 2a)	Cost or other basis		Net gain or loss
EATON VANCE GLOBAL MACRO - I	EIGMX	277923728	470.15	08/18/11	03/29/12	$4,682.71	$4,725.02		$-42.31
MERGER FD SH BEN INT 260	MERFX	589509108	584.83	08/18/11	03/29/12	$9,216.97	$9,100.00		$116.97
MERGER FD SH BEN INT 260	MERFX	589509108	180.23	09/06/11	03/29/12	$2,840.41	$2,816.98		$23 43
EATON VANCE GLOBAL MACRO - I	EIGMX	277923728	401.97	07/13/11	03/29/12	$4,003.62	$4,080.00		$-76.38
EATON VANCE GLOBAL MACRO - I	EIGMX	277923728	455.96	08/10/11	03/29/12	$4,541.40	$4,587.00		$-45.60
DRIEHAUS ACTIVE INCOME FUND	LCMAX	262028855	919.75	07/13/11	03/29/12	$9,730.93	$10,200.00		$-469.07
ARTIO GLOBAL HIGH INCOME-I 152	JHYIX	04315J860	2469.3	11/11/11	04/20/12	$23,853.43	$23,694.90	*	$158.53
ARTIO GLOBAL HIGH INCOME-I 152	JHYIX	04315J860	469.95	10/03/11	04/20/12	$4,539.67	$4,298.03	*	$241.64
ARTIO GLOBAL HIGH INCOME-I 152	JHYIX	04315J860	775.6	09/09/11	04/20/12	$7,492.25	$7,496.74	*	$-4.49
DREYFUS EMG MKT DEBT LOC C-I 6	DDBIX	261980494	1089 32	08/18/11	04/20/12	$15,588.14	$16,437.81		$-849.67
DREYFUS EMG MKT DEBT LOC C-I 6	DDBIX	261980494	1217.46	08/10/11	04/20/12	$17,421.80	$17,994.00		$-572.20
DREYFUS EMG MKT DEBT LOC C-I 6	DDBIX	261980494	584.72	07/13/11	04/20/12	$8,367.34	$8,794.19		$-426.85
PIMCO FOREIGN BOND (UNHEDGED)	PFUIX	722005220	6271.65	12/15/11	04/20/12	$68,737.32	$69,176.33		$-439.01
VANGUARD BD INDEX FD INC	BSV	921937827	534	07/13/11	05/17/12	$43,290.46	$43,417.67		$-127.21
VANGUARD BD INDEX FD INC	BSV	921937827	251	08/10/11	05/17/12	$20,348.14	$20,554.34		$-206.20
ISHARES DJ US TELECOMMUNICATIO	IYZ	464287713	50	08/10/11	08/02/12	$1,184.48	$1,034.50		$149.98
ISHARES DJ US TELECOMMUNICATIO	IYZ	464287713	49	08/18/11	08/02/12	$1,160.79	$1,048.11		$112.68
ISHARES DJ US TELECOMMUNICATIO	IYZ	464287713	49	09/06/11	08/02/12	$1,160.79	$1,047.13		$113.66
ISHARES DJ US TELECOMMUNICATIO	IYZ	464287713	24	09/09/11	08/02/12	$568.55	$507.12		$61.43
VANGUARD BD INDEX FD INC	BSV	921937827	136	09/06/11	08/02/12	$11,053.85	$11,108.48		$-54.63
ISHARES IBOXX $ INV GR CORP BD	LQD	464287242	107	08/10/11	08/02/12	$12,929.60	$12,126.72		$802.88
ISHARES IBOXX $ INV GR CORP BD	LQD	464287242	157	08/18/11	08/02/12	$18,971.47	$17,830.44		$1,141.03
ISHARES IBOXX $ INV GR CORP BD	LQD	464287242	136	09/06/11	08/02/12	$16,433.89	$15,371.81		$1,062.08
VANGUARD S/T CORP BOND ETF	VCSH	92206C409	111	11/11/11	08/02/12	$8,855.39	$8,689.80		$165.59
VANGUARD INTERMEDIATE TERM B	BIV	921937819	413	08/10/11	08/02/12	$37,140.30	$36,466.99		$673.31
VANGUARD INTERMEDIATE TERM B	BIV	921937819	381	08/18/11	08/02/12	$34,262.60	$33,745.06		$517.54

PATTERSON FAMILY FOUNDATION - AGY

Short Term Noncovered Security Transactions (Form 8949, Part I, Box B)

Description (Box 8)	Symbol (Box 1d)	Cusip	Quantity sold (Box 1e)	Date of acquisition	Sale date (Box 1a)	Sales price less commissions (Box 2a)	Cost or other basis	Net gain or loss
VANGUARD BD INDEX FD INC	BSV	921937827	519	08/10/11	08/02/12	$42,183.42	$42,500.81	$-317.39
VANGUARD BD INDEX FD INC	BSV	921937827	545	08/18/11	08/02/12	$44,296.66	$44,591 79	$-295.13
EATON VANCE FLOATING RATE FD-I	EIBLX	277911491	342.18	08/18/11	08/02/12	$3,083.00	$2,958.91	$124.09
EATON VANCE FLOATING RATE FD-I	EIBLX	277911491	2058.81	08/10/11	08/02/12	$18,549.88	$17,988.58	$561.30
ISHARES DJ US TELECOMMUNICATIO	IYZ	464287713	32	10/03/11	08/02/12	$758.07	$634.24	$123.83
Total short term gain/loss from noncovered security transactions not subject to wash sale:						$530,960.87	$528,665.56	$2,295.31

Total short term gain/loss from noncovered security transactions:	**Gross Proceeds**	**Tax Cost**	**Gain or Loss**	**Loss Disallowed**	**Net Gain or Loss**
Report each transaction on Form 8949, Part I, Box B	**$530,960.87**	**$528,665.56**	**$2,295.31**	**$0.00**	**$2,295.31**

Proceeds from Long Term Noncovered Security Transactions

This category includes transactions that are long-term gain or loss. Form 1099-B, Box 6a is checked because this category includes only sales of non-covered securities. For sales of noncovered securities we report security description, symbol, cusip, quantity sold, sale date, federal income tax with-held and gross proceeds to the Internal Revenue Service but we do not report acquisition date, tax cost, wash sale loss disallowed and type of gain or loss. If applicable, transactions subject to wash sale are shown separately. If wash sales are not shown separately, your account has no wash sales in this category. For individual taxpayers, these transactions are reportable on Form 8949, Part II, Box B.

Long Term Noncovered Security Transactions (Form 8949, Part II, Box B)

Description (Box 8)	Symbol (Box 1d)	Cusip	Quantity sold (Box 1e)	Date of acquisition	Sale date (Box 1a)	Sales price less commissions (Box 2a)	Cost or other basis	Net gain or loss
EATON VANCE FLOATING RATE FD-I	EIBLX	277911491	1920.27	07/13/11	08/02/12	$17,301.60	$17,334.94	$-33.34
ISHARES DJ US TELECOMMUNICATI'	IYZ	464287713	41	07/13/11	08/02/12	$971.27	$1,027.87	$-56.60
VANGUARD INTERMEDIATE TERM B	BIV	921937819	408	07/13/11	08/02/12	$36,690.66	$34,733.04	$1,957.62
ISHARES IBOXX $ INV GR CORP BD	LQD	464287242	25	09/06/11	12/07/12	$3,049.36	$2,825.70	$223.66
ISHARES IBOXX $ INV GR CORP BD	LQD	464287242	25	09/09/11	12/07/12	$3,049.36	$2,840.75	$208.61
Total long term gain/loss from noncovered security transactions not subject to wash sale:						$61,062.25	$58,762.30	$2,299.95

Total long term gain/loss from noncovered security transactions:	Gross Proceeds	Tax Cost	Gain or Loss	Loss Disallowed	Net Gain or Loss
Report each transaction on Form 8949, Part II, Box B	$61,062.25	$58,762.30	$2,299.95	$0.00	$2,299.95
Total proceeds reported to IRS on Form 1099-B			$592,023.12		

Proceeds from Gains and Losses Not Reported On Form 1099-B

The following gains or losses in your account include common trust funds, options or other exchanges not subject to Form 1099-B reporting or sales of assets not registered for Form 1099-B reporting by us. For individual taxpayers, capital gain or loss transactions which are not reported on a Form 1099-B are reportable on Form 8949, Part I or Part II, Box C. However, if an asset below is not registered for Form 1099-B reporting by us and you receive a separate Form 1099-B from a different payer for this same information, you should follow their 1099-B regarding tax reporting guidelines. You should consult your tax advisor regarding the tax treatment applicable to these items.

Long Term Transactions for 28% gains and losses (Not reported on Form 1099-B)

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
ISHARES GOLD TRUST	IAU	464285105	1634	01/01/01	01/31/12	$5.44	$12.94	$-7.50
ISHARES GOLD TRUST	IAU	464285105	1634	01/01/01	02/29/12	$5.40	$13.07	$-7.67
ISHARES GOLD TRUST	IAU	464285105	1634	01/01/01	03/30/12	$5.70	$14.09	$-8.39
ISHARES GOLD TRUST	IAU	464285105	1634	01/01/01	04/30/12	$5.39	$13.41	$-8.02
ISHARES GOLD TRUST	IAU	464285105	3100	01/01/01	05/31/12	$10.30	$14.02	$-3.72
ISHARES GOLD TRUST	IAU	464285105	4100	01/01/01	06/29/12	$12.95	$13.41	$-0.46
ISHARES GOLD TRUST	IAU	464285105	4100	01/01/01	07/31/12	$13.41	$13.88	$-0.47
ISHARES GOLD TRUST	IAU	464285105	4100	01/01/01	08/31/12	$13.46	$13.07	$0.39
ISHARES GOLD TRUST	IAU	464285105	4100	01/01/01	09/28/12	$13.76	$12.73	$1.03
ISHARES GOLD TRUST	IAU	464285105	4100	01/01/01	10/31/12	$14.58	$14.02	$0.56
ISHARES GOLD TRUST	IAU	464285105	4100	01/01/01	11/30/12	$13.85	$13.28	$0.57
ISHARES GOLD TRUST	IAU	464285105	4100	01/01/01	12/31/12	$14.16	$13.82	$0.34
Total long term 28% gain/loss:						$128.40	$161.74	$-33.34