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## Form 990-PF Department of the Treasury

Internal Revenue Service

### Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052
2012

For calendar year 2012 or tax year beginning JUL and ending 2012 JUN 30, 2013 Name of foundation A Employer identification number THE PATTEE FOUNDATION, 62-1376116 Number and street (or P O box number if mail is not delivered to street address) **B** Telephone number 1100 605 CHESTNUT ST 423-756-6585 City or town, state, and ZIP code C if exemption application is pending, check here CHATTANOOGA, 37450 Initial return G Check all that apply: Initial return of a former public charity D 1. Foreign organizations, check here Final return Amended return Foreign organizations meeting the 85% test, check here and attach computation Address change Name change Section 501(c)(3) exempt private foundation H Check type of organization: E If private foundation status was terminated Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation under section 507(b)(1)(A), check here I Fair market value of all assets at end of year | J | Accounting method: X Cash Accrual F If the foundation is in a 60-month termination (from Part II, col (c), line 16) Other (specify) under section 507(b)(1)(B), check here 3,989,011. (Part I, column (d) must be on cash basis) ▶\$ Part I Analysis of Revenue and Expenses (d) Disbursements (a) Revenue and (b) Net investment (c) Adjusted net (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) for charitable purposes (cash basis only) expenses per books income ıncome Contributions, gifts, grants, etc., received 2 Check \ X if the foundation is not required to attach Sch. B Interest on savings and temporary cash investments 2,139. 2,139.STATEMENT 2,139. 8,687. 8,687. 8,687.STATEMENT Dividends and interest from securities 5a Gross rents b Net rental income or (loss) 392,674 6a Net gain or (loss) from sale of assets not on line 10 b Gross sales price for all assets on line 6a 683,899. 392,674 7 Capital gain net income (from Part IV, line 2) N/A 8 Net short-term capital gain Income modifications 10a Gross sales less returns and allowances b Less Cost of goods sold c Gross profit or (loss) 55,358.STATEMENT 55,358 55,358. 11 Other income 458,858. 458,858. 66,184. Total. Add lines 1 through 11 12 Ō. O. 0. Compensation of officers, directors, trustees, etc 14 Other employee salaries and wages 15 Pension plans, employee benefits ating and Administrative Expenses 16a Legal fees 7,975. 3,988. 0. 3,987. STMT 4 **b** Accounting fees 2,318. STMT 5 2,318. Ō. c Other professional fees 17 Interest 18 Taxes 19 Depreciation and depletion 20 Occupancy <u>ေ</u> 21 Travel, conferences, and meetings 22 Printing and publications STMT 6 55,354 52,854 0. 2,500.23 Other expenses Total operating and administrative expenses. And lines 13 through 23 65,647 59,160 0. 6,487. 242,175. 242,175. 25 Contributions (gifts, grants paid 200 26 Total expenses and dispursements 2014 0. 248,662. Add lines 24 and 25 307,822 59,160 Subtract line 26 from line 1 151,036. 399,698. b Net investment income (if negative, enter -0-) 66,184. c Adjusted net income (if negative, enter -0-) Form 990-PF (2012) LHA For Paperwork Reduction Act Notice, see instructions.

Part II Balance Sheets Attached schedules and amounts in the description		Balance Sheets Attached schedules and amounts in the description	Beginning of year	End of year			
느	<u> </u>	column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value		
	1	Cash - non-interest-bearing	31,694.	16,700.	16,700.		
	2	Savings and temporary cash investments	91,707.	653,967.	653,967.		
	3	Accounts receivable -		-			
		Less: allowance for doubtful accounts					
	4	Pledges receivable					
		Less: allowance for doubtful accounts					
		Grants receivable					
	6	Receivables due from officers, directors, trustees, and other					
		disqualified persons					
	7	Other notes and loans receivable					
		Less: allowance for doubtful accounts					
ts	8	Inventories for sale or use					
Assets	9	Prepaid expenses and deferred charges					
⋖	10a	Investments - U.S. and state government obligations					
	b	Investments - corporate stock STMT 7	371,430.	371,430.	388,867.		
	C	Investments - corporate bonds					
	11	Investments - land, buildings, and equipment basis					
		Less accumulated depreciation					
	12	Investments - mortgage loans					
	13	Investments - other STMT 8	3,002,476.	2,593,435.	2,929,477.		
	14	Land, buildings, and equipment: basis ▶					
		Less accumulated depreciation					
	15	Other assets (describe)					
	16	Total assets (to be completed by all filers)	3,497,307.	3,635,532.	3,989,011.		
	17	Accounts payable and accrued expenses					
	18	Grants payable					
es	19	Deferred revenue					
#	20	Loans from officers, directors, trustees, and other disqualified persons					
Liabilities	21	Mortgages and other notes payable					
_	22	Other liabilities (describe )					
	23	Total liabilities (add lines 17 through 22)	0.	0.			
	Ì	Foundations that follow SFAS 117, check here		ľ			
w		and complete lines 24 through 26 and lines 30 and 31.					
nces	24	Unrestricted					
alar	25	Temporarily restricted					
Ä	26	Permanently restricted					
Š	1	Foundations that do not follow SFAS 117, check here		j			
F		and complete lines 27 through 31					
Net Assets or Fund Bala	27	Capital stock, trust principal, or current funds	0.	0.			
SSe	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.			
ř.	29	Retained earnings, accumulated income, endowment, or other funds	3,497,307.	3,635,532.			
ž	30	Total net assets or fund balances	3,497,307.	3,635,532.			
	<b>.</b> .		2 407 207	2 625 522			
_	31	Total liabilities and net assets/fund balances	3,497,307.	3,635,532.	<del></del>		
P	art	III Analysis of Changes in Net Assets or Fund B	alances				
1	Tota	I net assets or fund balances at beginning of year - Part II, column (a), line	30	<del></del>			
•		st agree with end-of-year figure reported on prior year's return)		1	3,497,307.		
2	•	r amount from Part I, line 27a		2	151,036.		
		r increases not included in line 2 (itemize)		3	0.		
		lines 1, 2, and 3	<del></del>	4	3,648,343.		
		eases not included in line 2 (itemize) > K-1 BOOK/TAX D	IFFERENCES	5	12,811.		
		I net assets or fund balances at end of year (line 4 minus line 5) - Part II, co		6	3,635,532.		
					Form <b>990-PF</b> (2012)		

Part IV Capital Gains and L	osses for Tax on In	vestment	Income						
(a) List and describe the i	kind(s) of property sold (e.g. ; or common stock, 200 shs	., real estate,		( <u>e</u> )	low ac	nase i	c) Date ac (mo., day		(d) Date sold (mo., day, yr.)
1a DISPOSAL OF ATRIUM	<u> </u>			ט	- Don		5/01		12/31/12
	N INVESTMENT		<del></del>	-			$\frac{13}{01}$		01/31/13
	SSTHROUGHS						ARIC		12/31/12
	SSTHROUGHS			-			ARIO		12/31/12
e						<u>-</u>		0.5	22/02/12
	Depreciation allowed (or allowable)		st or other basis xpense of sale			<del></del>	(h) Gar (e) plus (	n or (loss) f) minus (	
a 13,378.									13,378.
b	<del></del> -		291,22	5.					-291,225.
c 14,840.									14,840.
d 655,681.									655,681.
е									
Complete only for assets showing gain in	column (h) and owned by t	the foundation	on 12/31/69				Gains (Col		
(i) F.M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69		cess of col. (I) col. (I), If any			COI (	k), but no Losses (f	t less that rom col. (	h))
a									13,378.
b									-291,225.
С									14,840.
d	<u> </u>								655,681.
<u>e</u>		<u> </u>							<del></del>
2 Capital gain net income or (net capital loss	s) { If gain, also enter If (loss), enter -0-	n Part I, line - in Part I, line	7 7	}	2				392,674.
3 Net short-term capital gain or (loss) as de	fined in sections 1222(5) an	ıd (6):		\					
If gain, also enter in Part I, line 8, column	(c).								14 040
If (loss), enter -0- in Part I, line 8  Part V   Qualification Under	Ca attam 4040/a) 4au	Dadussa	Tay on Nat	<u> </u>	3	ant Ince	-		14,840.
	<del></del>				_	Tent mice	)IIIE		
(For optional use by domestic private foundar	tions subject to the section 4	494U(a) tax on	net investment in	come	:-)				
If section 4940(d)(2) applies, leave this part to	olank.								
Was the foundation liable for the section 494	2 tay on the distributable am	nount of any ve	ar in the hace ner	chor					Yes X No
If "Yes," the foundation does not qualify unde				iou:					103 [22]
1 Enter the appropriate amount in each col				ntries					
(a)	(b)			(c)				Dietrib	(d)
Base periód years Calendar year (or tax year beginning in)	Adjusted qualifying dis	tributions	Net value of no			use assets	(0	oi. (b) div	oùtión ratio ided by col. (c))
2011	23	8,245.		3	,73	8,739	,		.063723
2010	18	8,291.		3	,72	1,419			.050597
2009		4,737.				0,621			.086932
2008		4,719.				9,417			.083283
2007	36	4,287.		5	, 48	1,288			.066460
2 Total of line 1, column (d)							2		.350995
3 Average distribution ratio for the 5-year b	ase period - divide the total o	on line 2 by 5,	or by the number	of ye	ars				<del></del>
the foundation has been in existence if les		•	·	-			3		.070199
4 Enter the net value of noncharitable-use a	ssets for 2012 from Part X,	line 5					4		3,729,362.
5 Multiply line 4 by line 3							5		261,797.
6 Enter 1% of net investment income (1% of	of Part I, line 27b)						6		3,997.
7 Add lines 5 and 6							7	<u>.</u>	265,794.
8 Enter qualifying distributions from Part X	II, line 4						8		248,662.
If line 8 is equal to or greater than line 7, i See the Part VI instructions.	check the box in Part VI, line	1b, and comp	lete that part usin	g a 1	% tax	rate.			

	1990-PF (2012) THE PATTEE FOUNDATION, INC	<u> 62-137</u>			Page 4
Pa	rt VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or	4948 - see	instru	ctio	ns)
1a	Exempt operating foundations described in section 4940(d)(2), check here \( \bigs \) and enter "N/A" on line 1.				
	Date of ruling or determination letter. (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here and enter 1%	1		7,9	94.
	of Part I, line 27b				
C	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).	1 1			
	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2			0.
	Add lines 1 and 2	3		7,9	94.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4			0.
	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		7,9	94.
	Credits/Payments:				
а	2012 estimated tax payments and 2011 overpayment credited to 2012 6, 884.	<u>,                                     </u>			
	Exempt foreign organizations - tax withheld at source	1 [			
	Tax paid with application for extension of time to file (Form 8868)  6c	1 1			
	Backup withholding erroneously withheld 6d	1			
	Total credits and payments. Add lines 6a through 6d	7 7 1		6,8	84.
	Enter any <b>penalty</b> for underpayment of estimated tax. Check here if Form 2220 is attached	8			
	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9			
	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10			
	Enter the amount of line 10 to be: Credited to 2013 estimated tax	11			
	rt VII-A   Statements Regarding Activities	<del></del> _			
_	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or interveni	e in	7	Yes	No
	any political campaign?		1a		X
h	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?		1b		X
·	If the answer is "Yes" to <sub>1a</sub> or <sub>1b</sub> , attach a detailed description of the activities and copies of any materials publish	ned or		_	
	distributed by the foundation in connection with the activities	<b>.</b>	1 1		
	Did the foundation file Form 1120-POL for this year?		1c		х
	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:				
u	(1) On the foundation $\blacktriangleright$ \$ 0. (2) On foundation managers. $\blacktriangleright$ \$ 0.	_			
	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation	<u>-</u>	- {		
-	managers. > \$ 0.				
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?		2		х
2	If "Yes." attach a detailed description of the activities				
2	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation,	Or		i	
J	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	01	3		х
4.	Did the foundation have unrelated business gross income of \$1,000 or more during the year?		4a		X
	olf "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	N/A	4b		
	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	,	5		X
J	If "Yes." attach the statement required by General Instruction T		-		
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:				
U	By language in the governing instrument, or				
	<ul> <li>By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state.</li> </ul>	te law			
		,0 1244	6	Х	l
7	remain in the governing instrument?		7	X	
′	Did the foundation have at least \$5,000 in assets at any time during the year?  If "Yes," complete Part II, col. (c), and Part XV		<del>'</del>		<del> </del>
	in Fres, Complete Part II, Cor (c), and Part XV  I Enter the states to which the foundation reports or with which it is registered (see instructions)		İ		
Oå	TN		.		
	of the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)				
Į,	of each state as required by General Instruction G? If "No," attach explanation		8b	x	ļ
۵	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for call	endar	- 55	_ <del></del> -	<del> </del>
9	year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	oriou:	9		x
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		10		X
10	one any persons account successful contributors during the tax year. If ites, attach a schedule listing their names and addresses	Fi	orm <b>990</b>	-PF	
				1	

Part VII-A   Statements Regarding Activities (connued)   11   Xary interdung the year, did the foundation, draredly or midnesh, own a controlled entity within the meaning of section \$12(b)(13)? If Yes, attach schedule (see instructions)   11   X   X   X   X   X   X   X   X		990-PF (2012) THE PATTEE FOUNDATION, INC 62-1	<u> 3761:</u>	L6_	Page 5
section 512(b)(13)? If 1'es, a flatch schedule (see instructions)  10 diffe foundation make a distribution to a donor advect fund over which the foundation or a disqualified person had advisory privileges?  If 1'es, a flatch statement (see instructions)  10 diffe foundation make a distribution to a donor advect fund over which the foundation or a disqualified person had advisory privileges?  If 2	_	rt VII-A Statements Regarding Activities (continued)			
12 Did the foundation make a distribution to a donor advead fund over which the foundation or a disqualified person had advesory privileges?  13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?  14 The books are in circle of ■ GORDON B. PATTEE  15 Dorth B. PATTEE  16 The books are in circle of ■ GORDON B. PATTEE  17 The third of the sundation comply with the public inspection requirements for its annual returns and exemption application?  18 Section 4947(3)! I) innexempt that the string from 590-Fir in fee of form 1041 - Check here  27 and 4 The third of the sundation that the string from 590-Fir in fee of form 1041 - Check here  28 and eiter the amount of lak-exempt interest received or accrued during the year  29 A large manufacture or other financial account in a foreign country?  29 Section 4947(3)! I) innexempt called year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  20 Fear VIII-B   Statements Regarding Activities for Which Form 4720 May Be Required  20 File Form 4720 if any items is checked in the "Yes" column, unless an exception applies.  21 During the year did the foundation (either directly or indirectly)?  21 During the year did the foundation (either directly or indirectly)?  22 District of any items is checked in the "Yes" column, unless an exception applies.  23 District of any items is checked in the "Yes" column, unless an exception applies.  24 District of any items is checked in the "Yes" column, unless an exception applies.  25 No. 10 During the year did the foundation (either directly or indirectly)?  26 District of any items is checked in the "Yes" column, unless an exception applies.  27 District of any items is checked in the "Yes" column, unless an exception applies.  28 No. 10 District of any items is checked in the "Yes" in No. 10 District of a period district of the sale of exclination, or large the sale or ex	11				
If Yes, *atach statement (see instructions)  13		section 512(b)(13)? If "Yes," attach schedule (see instructions)		1	X
13 Dut the foundation comply with the public inspection requirements for its annual returns and exemption application?  Website address ► N/A  14 The books are in care of ► GORDON B. PATTEB  Located at ► NINNE WEST 57TH ST, STE 4605, NEW YORK, NY  15 Section 4947(3)(1) inonexempt charitable trusts fluing form 980+Fin like of Form 1041-Check here and elere the amount of tax-exempt interest received or accrued during the year  15 At any time during claendar year 2012, do the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  See the instructions for exceptions and filling requirements for Form TD F 90-22.1, if Yes, enter the name of the foreign country ►  Part VII-B IS tattements Regarding Activities for Which Form 4720 May Be Required  Fillie Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1 During the year did the foundation (either directly or indirectly) if Singape in the sale or exchange, or Issain of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pey compensation this, or pay or remburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualited person (or make any of either available for the benefit or use of a disqualited person (or make any of either available for the benefit or use of a disqualited person (or make any of either available for the benefit or use of a disqualited person (or make any of either available for the benefit or use of a disqualited person (or make any of either available for the foundation agreed to make a grant to or to employ the efficial for a period after termination of government service, in termination of government service, in the exceptions descreed an Regulations section 5.94 (4)(3) or a current notice regarding disaste	12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?			
Website address ► N/A  If the books are in care of ► GORDON B. PATTEE  Located at ► NINE WEST 57TH ST, STE 4605, NEW YORK, NY  15 Section 4947(a)(1) nonexempt charitable trusts him from 990-PF in lieu of Form 1041-Check here and either the amount of tax-exempt interest received or accrued during the year  16. All any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, source or the financial accounts in a foreign country?  See the instructions for exceptions and fitting requirements for Form TD F 90-22.1. If Yes, enter the name of the foreign country?  See the instructions for exceptions and fitting requirements for Form TD F 90-22.1. If Yes, enter the name of the foreign country?  Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  19 Juming they and of the foundation (either directly or indirectly):  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Berrow money from, lend money to, or enthress extend credit to (or accept it from)  a disqualified person?  (3) Furnish poods, services, or facifities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of), a disqualified person?  (5) Transier any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception, Check No* of the foundation or goage on a current notice regarding dissaster assistance check here  a Did the foundation engage in a current notice regarding dissaster assistance check here  b If any exception of the tax year beginning in 2012?  If Yes, its the years is a foundation in a price of the year's subdistributed momen (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?  If Yes, its they year is the year's being applied to		·	<u> </u>		
14. The Books are in care of I SORDON B. PATTEE Located at NINE WEST 57TH ST, STE 4605, NEW YORK, NY  15. Section 4947(a)(1) nonexempt charitable trusts timing form 990-PF in lieu of form 1041-Check here and enter the amount of tax-exempt interest received or accrued during the year and enter the amount of tax-exempt interest received or accrued during the year A land yith administration of the All Any Interest and enter the amount of tax-exempt interest received or accrued during the year A land yith administration of the Check Park 10 and	13		<u>1</u>	3 2	
Located at NINE WEST 57TH ST, STE 4605, NEW YORK, NY  IS Section 4947(a)(1) nonexempt charitable trusts filing Form 990-9F in level of Form 1041 - Check here and enter the amount of tax-exempt therest received or accreed dumng the year  16. At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial accounts in a foreign country?  See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If Yes, enter the name of the foreign country.  Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1a During they ard did the foundation (either directly or indirectly):  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it form) a disqualified person?  (3) Formah goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburs the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person in or make any of enter available for the benefit or use of adequalified person (or make any of enter available for the benefit or use of adequalified person (or make any of enter available for the benefit or use of adequalified person)  (6) Agree to pay money or property to a government offica? (Exception, Check No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if termination of session assistance check here  10 In the foundation engage in a prior year any of the acids described in 1a, other than excepted acts, that were not corrected before the first day of the text year beginning in 2012?  1 Taxes on failure to distribute incomes (section 4942) (all year) selection 4942(3) (all year) selection 4942(3) (all year) selec					
15 Section 4947(a)(1) nonexempt charitable trusts king Form 990-PF in Neu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year  16 At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  See the instructions for exceptions and filling requirements for Form 10 F 90-22.1. If "Yes," enter the name of the foreign country.  Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1	14				7
and enter the amount of tax-exempt interest received or accrized during the year  1 At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  See the instructions for exceptions and filing requirements for Form TD F 90-22.1, If "Yes," enter the name of the foreign country.  Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1 During the year did the foundation (either directly or indirectly):  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money for, or Indirectly or indirectly or indirectly or indirectly or a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (received in the expenses of, a disqualified person)?  (6) Agree to pay money or property (or a government official? (Exception, Check "No" if the foundation engage in a make a grant to or te employ the official for a period after termination of government service, if terminating within 90 days.)  b If lamy answer is "Yes" to 1s(1)-(6), and any of the acts fail to qualify under the exceptions described in Regulations section 53-49(4)-30 or in a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance (see instructions)?  If we see the first day of the tax year Segmining in 2012 as a result of (1)			<u> 1001</u>	<u> </u>	<del> </del>
16 At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  See the instructions for exceptions and filting requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country.  Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1 a During the year did the foundation (either directly or indirectly)?  1 Spages in the sale or exchange, or lessing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)  a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the formation of government official? (Exception, Check No"  if the floundation agree to make a grant to or to employ the official for a period dater termination of government service, it terminating within 90 days.  b If any answer is "Yes" to 141,16,16, did any of the acts	15			/ -	
secunties, or other financial account in a foreign country?  See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country  Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1a During the year did the foundation (either directly or indirectly):  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)  a disqualified person?  (3) Furns longods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any celler available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, it ferrimating within 90 days,)  b) If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(a)-3 or in a current notice regarding disaster assistance check here  c) Did the foundation engage in a prory gar in any of the acts discribed in 1a, other than excepted acts, that were not corrected before the first day of the tax year 5012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?  If "Yes," lest the years >  b Are there any years listed in 2a for which the foundation is notapplying the provisions of section 4942(a)(3) (relating to incorrect valuation of assets) to the year's undistributed income? (if applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)  It were also that the		——————————————————————————————————————			
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required  File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1a During the year did the boundation (either directly or indirectly):  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept time from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person?  (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b) If any answer is 'Yes' to Ta(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53,4941(p/3) or in a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance else in the complex of the section 4942(a)(3) or 4942(b)(3) or 4942(b)(5):  a At the end of tax year 2012, did the foundation have any undistributed income (lines 64 and 6e, Part XIII) for tax year(s) beginning before 2012?  If Yes, list the years I are instructions.)  c) If the provisions of section 4942(a)(2) are being applied to any of the years isted in 2a, list the years here.  A At the end of tax year 2012, did the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed,	16		1		1
Part VII-B   Statements Regarding Activities for Which Form 4720 May Be Required   File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.   Yes   No		·	<u> </u>	6 [	<del>X</del>
File Form 4720 if any titem is checked in the "Yes" column, unless an exception applies.  1 a During the year did the foundation (either directly or indirectly):  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept if from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or use of a disqualified person) (or make any of either available for the benefit or apenity of a disqualified person) (or make any of either available for the benefit or the sex of the formation of government service, it formation and services because the foundation was a private operating foundati			i		
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  1 a During the year did the foundation (either directly) or indirectly)  (1) Engage in the sale or exchange, or lessing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available tor the benefit or use of a disqualified person) (or make any of either available tor the benefit or use of a disqualified person)  (6) Agree to pay money or property to a government official? (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b) If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.941(c)-3 or in current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance (see instructions)?  If "Yes and the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the list day of the tax year beginning in 2012?  1 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(a)(3) or 4942(b)(5)):  a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?  If "Yes and the foundation ho	De				
1a During the year did the foundation (either directly or indirectly)*  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)  a disqualified person?  (3) Furnish goods, services, or facilities to (or accept the from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available to the thenefit or use of a disqualified person) (or make any of either available to the thenefit or use of a disqualified person) (or make any of either available to the thenefit or use of a disqualified person) (or make any of either available to the thenefit or use of a disqualified person) (or make any of either available to the thenefit or use of a disqualified person) (or make any of either available to the thenefit or use of a disqualified person) (or make any of either available to the thenefit or use of a disqualified person) (or make any of either available to the thenefit or use of a disqualified person) (or make any of either available to the thenefit or use of a disqualified person) (or make any of either available to the thenefit or use of a disqualified person) (or make any or property to a government official) (Exception, Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b) If any answer is Yes' to 1 (1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53,494 (i(0)-3 or in a current notice regarding disaster assistance check here  b) Organizations relying on a current notice regarding disaster assistance check here  c) bit the toundation engage in a prior year in any of the acts search and secretic persons after waluation of sexetion 4942(a)(3) or 4942(b)(3) or 4942(b)(3) or 4942(b)(3) or 4942(b)(3) or	Pa			11/	- I N -
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a disqualified person?  (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception, Check *No* if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b If any answer is "Yes" to 1a(1)-(6), did any of the acts fall to qualify under the exceptions described in Regulations section 55.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?  C Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation delined in section 4942(i)(3) or 4942(i)(5)):  a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?  If "Yes," list the years \interest in 2 or which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer *No* and attach statement - see instructions.)  b If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.  b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest			NO	- 1	- }
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?  (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?  (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b If any answer is "Yes" to 1a(1)-(6), did any of the acts fall to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?  C Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j(3) or 4942(j(5)):  a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?  b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (if applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.			No.	-	
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(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person?  (6) Agree to pay money or property to a government official? (Exception. Check *No* if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b If any answer is "Yes" to 1a(1)-(6), did any of the acts fair to qualify under the exceptions described in Regulations section 53,4941(a)-3 or in a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance (see instructions)?  1 Taxes on failure to distribute income (seation 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):  a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?  If "Yes," list the years \[ \]  b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years lested.  2 Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  2 If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had exc					
for the benefit or use of a disqualified person)?  (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b If any answer is "Yes" to 14(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance check here  c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation delined in section 4942(j)(3) or 4942(j)(5)):  a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?  If "Yes," list the years \[ \]  b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.			•	- [	1
(6) Agree to pay money or property to a government official? (Exception. Check *No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance check here  c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):  a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?  If "Yes," list the years   Yes   No    b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.  About the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  All the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year.  About the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year.  By Are there any years a feet of the 10-1, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess busi			No	-	1
If the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)  If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance check here  c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?  2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(i)(3) or 4942(j)(5)):  a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?  If "Yes," list the years \bigcolor years itseld in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)  If "Yes," did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  If "Yes," did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  Yes X No  b If "Yes," did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?  Yes X No  b If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.  Yes X No  b If "Yes," did the foundation hold more than a 2% direct or indirect interest i		, , , , , , , , , , , , , , , , , , , ,	"		
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during the year?  b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)  1		<u> </u>		ļ	
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b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?  4b X	4	,		-	x
had not been removed from jeopardy before the first day of the tax year beginning in 2012?			<del>                                     </del>	<del>-</del>	+
100 100 100 100 100 100 100 100 100 100			4	ь	x
	-	nes necessaries not people of colors are made and of the air year boginning in 2012			

	INC		62-13/61	Τρ	Page 6
Part VII-B   Statements Regarding Activities for Which	Form 4720 May Be I	Required (contin	ued)		
5a During the year did the foundation pay or incur any amount to:					
(1) Carry on propaganda, or otherwise attempt to influence legislation (section)	` ''		es 🛣 No		
<ol><li>Influence the outcome of any specific public election (see section 4955);</li></ol>	or to carry on, directly or indir				-
any voter registration drive?			es X No		
(3) Provide a grant to an individual for travel, study, or other similar purpose		Y	es X No		
(4) Provide a grant to an organization other than a charitable, etc., organizati	on described in section	<del></del> 1			1
509(a)(1), (2), or (3), or section 4940(d)(2)?		***	es X No		1
(5) Provide for any purpose other than religious, charitable, scientific, literary	y, or educational purposes, or		F97	i	1
the prevention of cruelty to children or animals?			es X No		
<b>b</b> If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify ur		in Regulations	27 / 3		
section 53.4945 or in a current notice regarding disaster assistance (see instr	•		N/A	5b	
Organizations relying on a current notice regarding disaster assistance check					-
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption					1
expenditure responsibility for the grant?		1/A Y	es L No		
If "Yes," attach the statement required by Regulations section 53 494	• •		1		
6a Did the foundation, during the year, receive any funds, directly or indirectly, to	pay premiums on		. TeD		
a personal benefit contract?		Y6	es 🗶 No	۱.	- V
<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a	personal benefit contract?		<u> </u>	6b	+x
If "Yes" to 6b, file Form 8870	- h - Mary transport 0		es X No		
7a At any time during the tax year, was the foundation a party to a prohibited tax		T(		7b	<del></del> -
b If "Yes," did the foundation receive any proceeds or have any net income attrib		nagore Highly		/U	ш
Part VIII Paid Employees, and Contractors	itees, roundation wa	inagers, mgm	y		
1 List all officers, directors, trustees, foundation managers and their	r compensation.			<del></del>	
	(b) Title, and average	(c) Compensation	(d) Contributions to employee benefit plans and deferred	(e) E	xpense nt, other
(a) Name and address	hours per week devoted to position	(If not paid, enter -0-)	and deferred compensation	accou allov	nt, other vances
DOROTHY E. PATTEE			compensation		
228 WEST BROW OVAL	7	}	}		
LOOKOUT MTN, TN 37350	0.00	0.	0.		0.
GORDON B. PATTEE	_ <del></del>	†			
9 WEST 57TH ST, STE 4605	<b>-</b>		ļ	•	
NEW YORK, NY 10019	<b>1</b> .00	0.	0.		0.
ANNE L. PATTEE					
99 MORSE LANE		ļ		1	
WOODSIDE, CA 94062	0.00	0.	0.		0.
		<u> </u>	<u> </u>		
				<u> </u>	
2 Compensation of five highest-paid employees (other than those in		, enter "NONE."	T 7-1\ -		<del></del>
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week	(c) Compensation	(d) Contributions to employee benefit plans and deferred	(e) t accou	xpense nt, other
\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	hours per week devoted to position	107	compensation	allov	vances
NONE		}	ì		
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	4			1	
The state of the small area and a second of the state of				L	0
Total number of other employees paid over \$50,000			Earm	990-P	
			FULIII	330-P	· (2012)

Form 990-PF (2012) THE PATTEE FOUNDATION, INC	62-	1376116 Page	e 7
Part VIII Information About Officers, Directors, Trustees, Foundation Paid Employees, and Contractors (continued)	n Managers, Highly		
3 Five highest-paid independent contractors for professional services. If none, enter "N	ONE."		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensat	ion
NONE			
			_
Total number of others receiving over \$50,000 for professional services		<b>&gt;</b>	0
Part IX-A Summary of Direct Charitable Activities		<del></del>	
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical number of organizations and other beneficiaries served, conferences convened, research papers produce	information such as the d, etc.	Expenses	
1 N/A			
2			
3			_
4			
Part IX-B Summary of Program-Related Investments  Describe the two largest program-related investments made by the foundation during the tax year on lines.	s 1 and 2.	Amount	_
1 N/A			
2			—
All other program-related investments. See instructions,		1	
3			
Total Add loop 1 through 2			Ō.

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P	art X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations	ndations,	see instructions.)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
а	Average monthly fair market value of securities	1a	336,748.
	Average of monthly cash balances	16	327,917.
	Fair market value of all other assets	1c	3,121,489.
	Total (add lines 1a, b, and c)	1d	3,786,154.
	Reduction claimed for blockage or other factors reported on lines 1a and		<del></del>
	1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,786,154.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	56,792.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,729,362.
6	Minimum investment return. Enter 5% of line 5	6	186,468.
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations are foreign organizations check here  and do not complete this part.)	d certain	<del></del>
1	Minimum investment return from Part X, line 6	1	186,468.
2a	Tax on investment income for 2012 from Part VI, line 5 2a 7,994.		
	Income tax for 2012. (This does not include the tax from Part VI)		
	Add lines 2a and 2b	2c	7,994.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	7,994. 178,474.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	178,474.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	178,474.
P	art XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		040 660
а		1a	248,662.
b	Program-related investments - total from Part IX-B	1b	<u> </u>
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
	Suitability test (prior IRS approval required)	3a	<del></del>
b	Cash distribution test (attach the required schedule)	3b	040 660
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	248,662.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment	_	^
	income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	248,662.
	Note. The amount on line 6 will be used in Part V. column (b), in subsequent years when calculating whether the foundation is	qualifies fo	r the section

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4940(e) reduction of tax in those years.

## Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				178,474.
2 Undistributed income, if any, as of the end of 2012				· · · · · · · · · · · · · · · · · · ·
a Enter amount for 2011 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007 105, 495.				
b From 2008 153,748.				
c From 2009 307,465.				
dFrom 2010 5,307.				
eFrom 2011 54,565.				
f Total of lines 3a through e	626,580.			
4 Qualifying distributions for 2012 from				
Part XII, line 4: ►\$ 248,662.				
a Applied to 2011, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior				
years (Election required - see instructions)	ľ	0.		
c Treated as distributions out of corpus				
(Election required - see instructions)	0.			
d Applied to 2012 distributable amount				178,474.
e Remaining amount distributed out of corpus	70,188.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:		i		
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	696,768.			
b Prior years' undistributed income. Subtract		· · · · · · · · · · · · · · · · · · ·		
line 4b from line 2b		0.		
c Enter the amount of prior years'				
undistributed income for which a notice of		· 		
deficiency has been issued, or on which the section 4942(a) tax has been previously				
assessed		0.		
d Subtract line 6c from line 6b. Taxable				
amount - see instructions		0.		
e Undistributed income for 2011, Subtract line		<u></u>		
4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract				
lines 4d and 5 from line 1. This amount must				
be distributed in 2013				0.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007				
not applied on line 5 or line 7	105,495.			·
9 Excess distributions carryover to 2013.				
Subtract lines 7 and 8 from line 6a	591,273.			
10 Analysis of line 9:				
a Excess from 2008 153,748.				
b Excess from 2009 307, 465.	J			
c Excess from 2010 5, 307.				
d Excess from 2011 54,565.				
e Excess from 2012 70,188.		<u> </u>	<u> </u>	Form <b>990-PF</b> (2012)

Form 990-PF (2012) THE PAT	TEE FOUNDAT	ION, INC		62-137	76116 Page 1
Part XIV   Private Operating F	oundations (see ins	structions and Part VI	-A, question 9)	N/A	
1 a If the foundation has received a ruling o					
foundation, and the ruling is effective for	-	<u>-</u>	▶		<del> </del>
<b>b</b> Check box to indicate whether the found		ng foundation described		4942(j)(3) or 494	12(j)(5)
2 a Enter the lesser of the adjusted net	Tax year		Prior 3 years	(1) 0000	
income from Part I or the minimum	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
investment return from Part X for			[		
each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII,					
line 4 for each year listed					
<b>d</b> Amounts included in line 2c not			1		
used directly for active conduct of			1		
exempt activities					
e Qualifying distributions made directly					
for active conduct of exempt activities.					
Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:	·				
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter					
2/3 of minimum investment return shown in Part X, line 6 for each year listed			!	1	
c "Support" alternative test - enter:	· · · · · · · · · · · · · · · · · · ·		· · · · · · · · · · · · · · · · · · ·		
(1) Total support other than gross					
investment income (interest,				ļ	
dividends, rents, payments on					
securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public	· · · · · · · · · · · · · · · · · · ·			***	
and 5 or more exempt					
organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from					
an exempt organization					
(4) Gross investment income					
Part XV   Supplementary Info	rmation (Comple	te this part only	if the foundation	had \$5,000 or mo	re in assets
at any time during t	he year-see instr	ructions.)			
1 Information Regarding Foundation	on Managers:				
a List any managers of the foundation wh		than 2% of the total conf	ributions received by the	foundation before the close	e of any tax
year (but only if they have contributed r	nore than \$5,000). (See s	ection 507(d)(2).)			
NONE					
b List any managers of the foundation wh			(or an equally large portion	on of the ownership of a pai	tnership or
other entity) of which the foundation ha	s a 10% or greater interes	st.			
NONE				·	· · · ·
2 Information Regarding Contribut	ion, Grant, Gift, Loan,	Scholarship, etc., P	rograms:		
				ot accept unsolicited reque	
the foundation makes gifts, grants, etc.				omplete items 2a, b, c, and	d
a The name, address, and telephone num					
GORDON B. PATTEE, C/	O DAVID SMI	TH, 423-756	5-6585		
700 KRYSTAL BLDG, ON	IE UNION SQ,	CHATTANOOG	<u>3A, TN 37402</u>	2	·
b The form in which applications should be LETTER OR OTHER WRIT		-	hould include:		
c Any submission deadlines:		···			
d Any restrictions or limitations on award	s, such as by geographic	al areas, charitable fields	kinds of institutions, or o	other factors:	
NONE	-, -22 as as goograpme	5. 500; Sharitadio holda;	D. Marianono, Of V	<del></del>	

3 Grants and Contributions Paid During the Y		Payment		
Recipient	If recipient is an individual, show any relationship to	Foundation	Purpose of grant or	Amount
Name and address (home or business)	any foundation manager or substantial contributor	status of recipient	contribution	Amount
a Paid during the year				
AFRICA WILDLIFE FOUNDATION	N/A	PUBLIC	UNRESTRICTED	
1400 SIXTEENTH ST, STE 120	,,			
WASHINGTON, DC 20036			<del> </del>	10,000
CREATIVE DISCOVERY MUSEUM	N/A	PUBLIC	UNRESTRICTED	
321 CHESTNUT ST			1	
CHATTANOOGA, TN 37402				5,000
REIFER INT'L	N/A	PUBLIC	UNRESTRICTED	
PO BOX 8058				
LITTLE ROCK, AR 72203		-		5,000
LEWA WILDLIFE CONSERVANCY USA	N/A	PUBLIC	UNRESTRICTED	
40 MARIN VIEW AVE				50.000
MILL VALLEY, CA 94941				50,000
NEW YORK PRESBYTERIAN HOSPITAL FUND	N/A	PUBLIC	UNRESTRICTED	
161 FORT WASHINGTON AVE				10,000
NEW YORK, NY 10032  Total SEE CO	NTINUATION SHE	፲ ፻ፕ(ያ)	<b>▶</b> 3a	242,175
b Approved for future payment				·
NONE				
		<del>                                     </del>		<del></del> .
	!		}	
<del></del>				
	<u> </u>	1	<b>→</b> 3b	

Enter gross amounts unless otherwise indicated.	Unrelate	d business income		d by section 512, 513, or 514	(e)
1 Program service revenue:	(a) Business code	(b) Amount	Exclu- sion code	(d) Amount	Related or exempt function income
a	_		<del>                                     </del>		
b	_				
C	-		<del>                                     </del>	·	
d	-	· · · · · · · · · · · · · · · · · · ·	+		
e		<del></del> -	<del>                                     </del>		
a Food and contracts from government accounts	-		+		<del></del>
g Fees and contracts from government agencies 2 Membership dues and assessments	<del> </del>		+ +	<del></del>	
3 Interest on savings and temporary cash	<del>                                     </del>		+		
investments	<u> </u>		14	2,139.	
4 Dividends and interest from securities	<del> </del>		14	8,687.	
5 Net rental income or (loss) from real estate:	1		<del>                                     </del>	3,00.	
a Debt-financed property	<del></del>		1 1		· · · · · · · · · · · · · · · · · · ·
<b>b</b> Not debt-financed property				· · · · · · · · · · · · · · · · · · ·	
6 Net rental income or (loss) from personal					
property 7 Other investment income	<del></del>	<del></del>	14	55,358.	<u></u>
8 Gain or (loss) from sales of assets other					
than inventory			18	392,674.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue			1 1		
a	_				
b			$\bot$		
C	_	<u></u>	<del>                                     </del>		<del></del>
d	-		<del>-</del> - +		
e	- <del> </del>	0.	$\vdash$	458,858.	0.
12 Subtotal. Add columns (b), (d), and (e)	<u></u>		ــــــــــــــــــــــــــــــــــــــ		458,858.
13 Total. Add line 12, columns (b), (d), and (e)				13	430,030.
(See worksheet in line 13 instructions to verify calculations.)			<del></del>		
Part XVI-B Relationship of Activities					
Line No. Explain below how each activity for which in the foundation's exempt purposes (other that			A contribut	ed importantly to the accomp	lishment of
N/A		<del></del>		<del></del> <del>-</del>	
				<del></del>	
		····			
			<del></del>		——————————————————————————————————————
	<del></del>				
			<del></del>		
<del></del>					<del></del>
	<del></del>				<del></del>

Part X		PATTEE FOUND egarding Transfe nizations		nd Relationships With Nonch	naritable	e	age 13
1 Did th	ne organization directly or indi	rectly engage in any of th	ne following with any other organization	described in section 501(c) of	$\Box$	Yes	No
the C	ode (other than section 501(c)	)(3) organizations) or in s	section 527, relating to political organiz				
<b>a</b> Trans	sfers from the reporting founda	ation to a noncharitable e	exempt organization of:			'	
(1) (					1a(1)	_	X
	Other assets				1a(2)	<u> </u> '	X
	r transactions:				] '	ļ '	]
	Sales of assets to a noncharital				1b(1)	_	X
	Purchases of assets from a nor	. •	nization		1b(2)	_	X
	Rental of facilities, equipment,				1b(3)		X
•	Reimbursement arrangements				16(4)		X
	Loans or loan guarantees				1b(5)		X
	Performance of services or me	•			1b(6)	<b>└</b> ─_'	X
	ing of facilities, equipment, ma	- ·	· · · · · ·		1c	<u> </u>	<u> </u>
			-	ays show the fair market value of the goods		sets,	
	• • •			in any transaction or sharing arrangement	L, show in		
(a)Line no	nn (d) the value of the goods, (b) Amount involved		ncharitable exempt organization	(d) Description of transfers, transactions as	- t charing o		
(a)Line no	(B) Alliount involved		N/A	(0) Description of transfers, transactions at	no snaring an	rangeme	nis
	<del>                                     </del>	<del></del>	N/A	<del>-</del>			
				<del>                                     </del>			
	<del> </del>	<del></del>		<del> </del>			
		<del> </del>		<del> </del>			
	<del> </del>	<del> </del>		<del> </del>			
	<del> </del>			<del> </del>			
	<del> </del>	<del> </del>		<del>                                     </del>			
	<del> </del>	<del> </del>		<del> </del>			
	<del> </del>	<del> </del>		<del> </del>			
	<del></del>	<del> </del>		<del>                                     </del>			
	<del>                                     </del>	<del>                                     </del>		<del> </del>			
	<del> </del>	<del></del>		1			
	<del> </del>	<del></del>					
in se	e foundation directly or indirect action 501(c) of the Code (othe es," complete the following sch	er than section 501(c)(3))	ted to, one or more tax-exempt organiza ) or in section 527?	itions described	Yes	X	No
	(a) Name of org		(b) Type of organization	(c) Description of relatio	nship		
	N/A						
		1					
				statements, and to the best of my knowledge			

Signature of officer or trustee

Print/Type preparer's name

Preparer's signature

THOMAS K. MCCORMICK

Preparer

Firm's name ► LATTIMORE BLACK MORGAN & C

Check \_\_\_\_\_ if PTIN self- employed

Title

05/12/14

Date

P00371192 Firm's EIN ► 62-1199757

Firm's address ► 605 CHESTNUT STREET, SUITE 1100 CHATTANOOGA, TN 37450

Phone no. (423)756-6585

Form **990-PF** (2012)

December 2012)
Information Return by a Shareholder of a Passive Foreign
Investment Company or Qualified Electing Fund
Information about Form 8621 and its separate instructions is at www.rcs.gov/form8621.

nterna	revenue Service 1 Information about Form 602 I allo its separate in	Structions is at www.irs.gov/form8621 . Sequence NB 09
Name	of shareholder	Identifying number (see instructions)
THE	PATTEE FOUNDATION, INC	62-1376116
	er, street, and room or suite no. (If a P.O. box, see instructions.)	Shareholder tax year calendar year or other tax year beginning
	5 CHESTNUT ST, NO. 1100	JUL 1 . 2012 and ending JUN 30, 2013
	r town, state, and ZIP code or country ATTANOOGA, TN 37450	
		Partnership S Corporation Nongrantor Trust Estate
	of passive foreign investment company (PFIC) or qualified electing fund (QEF)  LLARTIS AB	Employer identification number (if any)
	ss (Enter number, street, city or town, and country.)	Reference ID number (see instructions)
	VID WALLGRENS BACKE 20 THENBORG 413 46 SW, SWEDEN	Tax year of PFIC or QEF calendar year or other
	and the state of t	tax year beginning JAN 1 , 2012 and
		ending DEC 31, 2012.
	rt I Summary of Annual Information Part I is reserved for	<del></del>
	de the following information with respect to all shares of the PFIC held by the sharehold	der*
1	Description of each class of shares held by the shareholder:  Check if shares jointly owned with spouse.	
	oncor in one of points, of the or of the original of the	
2	Date shares acquired during the taxable year, if applicable:	
3	Number of shares held at the end of the taxable year:	
4	Value of shares held at the end of the taxable year (check the appropriate box, if appl	cable):
•	(a) \$0-50,000 (b) \$50,001-100,000 (c) \$100,001-1	·
	(e) If more than \$200,000, list value:	
5	Type of PFIC and amount of any excess distribution or gain treated as an excess dist	ribution under section 1291, inclusion under section
	1293, or inclusion or deduction under section 1296:  (a) Section 1291 \$	
	(b) Section 1293 (Qualified Electing Fund) \$	
	(c) Section 1296 (Mark to Market) \$	
	All Floring (O. i.	
A	rt II Elections (See instructions.)  Election To Treat the PFIC as a QEF. I, a shareholder of a PFIC, elect to treat the	ne PEIC as a DEE Complete lines 6a through 7c of Part III
В	Election To Treat the FFIO as a definit, a shareholder of a FFIO, elect to treat the Election To Extend Time For Payment of Tax. I, a shareholder of a QEF, elect to of the QEF until this election is terminated. Complete lines 8a through 9c of Note: If any portion of line 6a or line 7a of Part III is includible under set 1294(c) and 1294(f) and the related regulations for events that terminates.	o extend the time for payment of tax on the undistributed earnings and profits  Part III to calculate the tax that may be deferred  ction 951, you may not make this election. Also, see sections
С	Election To Mark-to-Market PFIC Stock. I, a shareholder of a PFIC, elect to ma 1296(e). Complete Part IV	
D	Deemed Sale Election. I, a shareholder on the first day of a PFIC's first tax year PFIC. Enter gain or loss on line 15f of Part V	r as a QEF, elect to recognize gain on the deemed sale of my interest in the
E	Deemed Dividend Election. I, a shareholder on the first day of a PFIC's first ta amount equal to my share of the post-1986 earnings and profits of the CFC as excess distribution is greater than zero, also complete line 16 of Part V	an excess distribution. Enter this amount on line 15e of Part V If the
F	Election To Recognize Gain on Deemed Sale of PFIC. I, a shareholder of a for distribution the gain recognized on the deemed sale of my interest in the PFIC of gain on line 15f of Part V	mer PFIC or a PFIC to which section 1297(d) applies, elect to treat as an excess in the last day of its last tax year as a PFIC under section 1297(a). <i>Enter</i>
G	Deemed Dividend Election With Respect to a Section 1297(e) PFIC. I, a shar 1 1297-3(a), elect to make a deemed dividend election with respect to the Sect PFIC includes the CFC qualification date, as defined in Regulations section 1.12 distribution is greater than zero, also complete line 16, Part V.	on 1297(e) PFIC. My holding period in the stock of the Section 1297(e)
Н	Deemed Dividend Election With Respect to a Former PFIC. I, a shareholder of elect to make a deemed dividend election with respect to the former PFIC. My findered in Regulations section 1 1298-3(d). Enter the excess distribution of complete line 16, Part V	olding period in the stock of the former PFIC includes the termination date, as

Part III Income From a Qualified Electing Fund (QEF). All QEF sh Election B, also complete lines 8a through 9c. (See instructions.)	areholders complet	te lines 6a through 7c. If yo	u are making
6 a Enter your pro rata share of the ordinary earnings of the QEF	6a		<del></del>
<b>b</b> Enter the portion of line 6a that is included in income under section 951 or that may be			
excluded under section 1293(g)	_6b		
c Subtract line 6b from line 6a Enter this amount on your tax return as ordinary income		6c	
7 a Enter your pro rata share of the total net capital gain of the QEF	7a		
<b>b</b> Enter the portion of line 7a that is included in income under section 951 or that may be			
excluded under section 1293(g)	7b		
c Subtract line 7b from line 7a. This amount is a net long-term capital gain. Enter this amou	int in Part II of the S	Schedule D	
used for your income tax return. (See instructions.)		7c	
8 a Add lines 6c and 7c		8a	
<b>b</b> Enter the total amount of cash and the fair market value of other property distributed			
or deemed distributed to you during the tax year of the QEF. (See instructions.)	8b		
c Enter the portion of line 8a not already included in line 8c that is attributable to shares	1 1	}	
ıп the QEF that you disposed of, pledged, or otherwise transferred during the tax year	8c		
d Add lines 8b and 8c		8 <u>d</u>	
e Subtract line 8d from line 8a, and enter the difference (if zero or less, enter amount in bra	ckets)	8e	
Important: If line 8e is greater than zero, and no portion of line 6a or 7a is includi	ble in income und	der section 951,	
you may make Election B with respect to the amount on line 8e.	1 1		
9 a Enter the total tax for the tax year (See instructions.)	9a		
<b>b</b> Enter the total tax for the tax year determined without regard to the amount entered			
on line 8e	9b		
c Subtract line 9b from line 9a. This is the deferred tax, the time for payment of which is	extended by makin	· I I	
Election B	<del></del>	9c	
Part IV Gain or (Loss) From Mark-to-Market Election (See in	nstructions.)		
10a Enter the fair market value of your PFIC stock at the end of the tax year		10a	
b Enter your adjusted basis in the stock at the end of the tax year		106	
c Subtract line 10b from line 10a. If a gain, do not complete lines 11 and 12, Include this am	ount as ordinary inc	come I l	

Form **8621** (Rev. 12-2012)

10c

11

12

13a

13b

13c

14a

14b

14c

on your tax return. If a loss, go to line 11

loss on your tax return

tax return. If a loss, go to line 14

11 Enter any unreversed inclusions (as defined in section 1296(d))

14a Enter any unreversed inclusions (as defined in section 1296(d))

Note. See instructions in case of multiple dispositions.

a Enter the fair market value of the stock on the date of sale or disposition

**b** Enter the adjusted basis of the stock on the date of sale or disposition

12 Enter the loss from line 10c, but only to the extent of unreversed inclusions on line 11. Include this amount as an ordinary

c Subtract line 13b from line 13a. If a gain, do not complete line 14. Include this amount as ordinary income on your

loss on your tax return. If the loss on line 13c exceeds unreversed inclusions on line 14a, complete line 14c

return according to the rules generally applicable for losses provided elsewhere in the Code and regulations

b Enter the loss from line 13c, but only to the extent of unreversed inclusions on line 14a. Include this amount as an ordinary

c Enter the amount by which the loss on line 13c exceeds unreversed inclusions on line 14a. Include this amount on your tax

13 If you sold or otherwise disposed of any section 1296 stock (see instructions) during the tax year:

orm	8621	(Rèv	12-2012)

Part V Distributions From and Dispositions of Stock of a Section 1291 Fund (See inst	ructio	ons.)
Complete a separate Part V for each excess distribution (see instructions).		
15 a Enter your total distributions from the section 1291 fund during the current tax year with respect to the applicable stock. If the		
holding period of the stock began in the current tax year, see instructions	15a	
<b>b</b> Enter the total distributions (reduced by the portions of such distributions that were excess distributions but not		
included in income under section 1291(a)(1)(B)) made by the fund with respect to the applicable stock for each of the 3 years		
preceding the current tax year (or if shorter, the portion of the shareholder's holding period before the current tax year)	15b	
c Divide line 15b by 3. (See instructions if the number of preceding tax years is less than 3.)	15c	
d Multiply line 15c by 125% (1.25)	15d	
e Subtract line 15d from line 15a. This amount, if more than zero, is the excess distribution with respect to the applicable stock.		
If zero or less and you did not dispose of stock during the tax year, do not complete the rest of Part V. See instructions if you	}	
received more than one distribution during the current tax year. Also, see instructions for rules for reporting a nonexcess		
distribution on your income tax return	15e	
f Enter gain or loss from the disposition of stock of a section 1291 fund or former section 1291 fund. If a gain,		
complete line 16. If a loss, show it in brackets and <b>do not</b> complete line 16	151	
16 a Attach a statement for each distribution and disposition. Show your holding period for each share of stock		
or block of shares held. Allocate the excess distribution to each day in your holding period. Add all amounts		
that are allocated to days in each tax year.		
b Enter the total of the amounts determined in line 16a that are allocable to the current tax year and tax years		
before the foreign corporation became a PFIC (pre-PFIC tax years). Enter these amounts on your income tax		
return as other income	16b	
c Enter the aggregate increases in tax (before credits) for each tax year in your holding period	ŀ	
(other than the current tax year and pre-PFIC years). (See instructions.)	16c	
d Foreign tax credit. (See instructions.)	16d	
e Subtract line 16d from line 16c Enter this amount on your income tax return as "additional tax." (See instructions.)	16e	
f Determine interest on each net increase in tax determined on line 16e using the rates and methods of section 6621.	1 /	
Enter the aggregate amount of interest here (See instructions.)	16f	<u> </u>
Part VI Status of Prior Year Section 1294 Elections and Termination of Section 1294	Elect	ions
Complete a separate column for each outstanding election. Complete lines 25 and 26 only if there is a j	partial t	termination of the section

Complete a separate column for each outstanding election. Complete lines 25 and 26 only if there is a partial termination of the section

		(i)	(ii)	(iii)	(iv)	(v)	(vi)
17 Tax yea election	r of outstanding						
18 Undistri	ibuted earnings to	· · · · · · · · · · · · · · · · · · ·		-			
WITCH T	he election relates						
19 Deferre	d tax						
	accrued on deferred						
tax (line	19) as of the filing date						
21 Event te	erminating election						
22 Earnings	distributed or deemed				_		
	ed during the tax year		-		<del></del>	<del></del>	
return	d tax due with this						
24 Accrue	d interest due with						
this reti	urn						
25 Deferred	I tax outstanding after						
partial te	ermination of election						
26 Interest	t accrued after partial						
termina	ation of election						

Form 8621 (Rev 12-2012)

## Form **8868**

(Rev January 2013) Department of the Treasury Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

File a separate application for each return.

OMB No 1545-1709

Form 8868 (Rev. 1-2013)

	are filing for an Automatic 3-Month Extension, comple				1	
	are filing for an Additional (Not Automatic) 3-Month Ex					
	complete Part II unless you have already been granted a					
	nic filing (e-file). You can electronically file Form 8868 if y					
	to file Form 990-T), or an additional (not automatic) 3-mol					
	to file any of the forms listed in Part I or Part II with the exc	•				
	al Benefit Contracts, which must be sent to the IRS in pap		(see instructions) For more details	on the elec	ctronic filing of this	s form,
	w irs gov/efile and click on e-file for Charities & Nonprofits			ll\	<del></del>	
Part						<del> </del>
	ration required to file Form 990·T and requesting an autor	natic 6-mo	onth extension - check this box and	complete		V
Part I or	•	IICa and t	musto must use Form 7004 to reques	et an avtan	laion of time	
	r corporations (including 1120-C filers), partnerships, REM come tax returns.	ics, and t	rusts must use Form 7004 to reques	st ari exteri	ision or une	
Type or	Name of exempt organization or other filer, see instru	ctions	COBV	Employe	r identification nur	mber (FIN) or
print	Name of exempt organization of other mer, see institu	Clions	CUPI	Linbioyei	identification nur	noer (Ent) or
	THE PATTEE FOUNDATION, INC				62-13761	.16
File by the due date for		ee instruc	tions.	Social se	curity number (SS	Certain   Is form,
filing your return See	605 CHESTNUT ST NO. 1100				•	,
instruction		oreign add	dress, see instructions			
	Cimil Transcourt, TN 37430				<del></del>	
Enter th	e Return code for the return that this application is for (file	e a separa	te application for each return)			0 7
Applica	tion	Return	Application	Application F		
Is For_		Code	Is For			Code
Form 99	90 or Form 990-EZ	01	Form 990-T (corporation)			07
Form 99	90-BL	02	Form 1041-A			08
Form 47	720 (ındıvıdual)	03	Form 4720			09
Form 99	90-PF	04	Form 5227			10
Form 99	90-T (sec 401(a) or 408(a) trust)	05	Form 6069			11
Form 99	90-T (trust other than above)	06	Form 8870			12
	GORDON B. PATTI		amp 4605 New Yor	77 <b>37</b> 77	10010	
	books are in the care of NINE WEST 57TH	ST,		K, NY	10019	
	phone No ► 212-303-5967		FAX No		<del></del> .	
	organization does not have an office or place of business					<b>-</b>
	s is for a Group Return, enter the organization's four digit	1				
box 🕨	If it is for part of the group, check this box				ers the extension	is for.
1 1	request an automatic 3-month (6 months for a corporation				The extension	
_	MAY 15, 2014 , to file the exemp for the organization's return for:	t organiza	tion return for the organization name	ed above.	The extension	
15	calendar year or					
		an	nd ending JUN 30, 2013			
	tax year beginning	, an	G c. G. I g		<u>-</u> ·	
2 If	the tax year entered in line 1 is for less than 12 months, c  Change in accounting period	heck reas	on Initial return	Fınal retur	n	
20 15	this application is for Form 990-BL, 990-PF, 990-T, 4720,	or 6060 -	enter the tentative tax less and			
	this application is for Form 990-BL, 990-PF, 990-1, 4720, on refundable credits. See instructions.	א, פסטס וט	inter the tentative tax, less any	3a	\$	1.844
_	this application is for Form 990-PF, 990-T, 4720, or 6069.	enter any	refundable credits and	Ja	· Ψ	-/
	this application is for Form 990-PF, 990-1, 4720, or 6069, stimated tax payments made. Include any prior year overp	•		3b	\$	1.844.
_	alance due. Subtract line 3b from line 3a Include your pa			-   55		,
	y using EFTPS (Electronic Federal Tax Payment System).			3с	\$	0.
	n. If you are going to make an electronic fund withdrawal v					<del></del>

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For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form 8868 (Řev. 1-2013)						Page 2
If you are filing for an Additional (Not Automatic) 3-Month	Extension.	complete only Part II and check this	box			X
Note. Only complete Part II if you have already been granted				8868.	_	
• If you are filing for an Automatic 3-Month Extension, com						
Part II Additional (Not Automatic) 3-Month			al (no co	opies r	needed).	
		Enter filer's	identifyir	g numb	er, see ins	tructions
Type or Name of exempt organization or other filer, see ins	structions					ber (EIN) or
print			, ,	. ,		
File by the THE PATTEE FOUNDATION, INC	]			16		
due date for filing your filing your 6.0.5 CHECTRIVITY S.T. NO. 11.0.0	tions.	Social se	curity no	ımber (SSI	N)	
return See instructions City, town or post office, state, and ZIP code For a foreign address, see instructions.						
City, town or post office, state, and ZIP code For CHATTANOOGA, TN 37450	a foreign add	dress, see instructions.				
Enter the Return code for the return that this application is for	(file a separa	ate application for each return)				0 4
Application	Return	Application	<del></del>	<u>-</u>		Return
is For	Code	Is For				Code
Form 990 or Form 990-EZ	01					
Form 990-BL	02	Form 1041-A				08
Form 4720 (individual)	03	Form 4720	-			09
Form 990-PF	04	Form 5227				10
Form 990 T (sec. 401(a) or 408(a) trust)	05	Form 6069				11
Form 990-T (trust other than above)	06	Form 8870				12
STOP! Do not complete Part II if you were not already gran		matic 3-month extension on a prev	iously file	d Form	8868	
GORDON B. PAT						
• The books are in the care of ► NINE WEST 571	TH ST,		K, NY	100	19	
Telephone No. ▶ 212-303-5967	-	FAX No ▶			_	<u> </u>
<ul> <li>If the organization does not have an office or place of busing</li> </ul>					<b>&gt;</b>	لــا
If this is for a Group Return, enter the organization's four d						
box ▶ . If it is for part of the group, check this box ▶		ach a list with the names and EINs of	all memb	ers the e	extension is	s for
4 I request an additional 3-month extension of time until		<u>15, 2014</u>	77737	2.0	2012	
5 For calendar year, or other tax year beginning	<u> 101 1</u>	, 2012 , and ending			2013	<del></del> ·
6 If the tax year entered in line 5 is for less than 12 month	s, check reas	son L Initial return L	Final r	etum		
Change in accounting period						
7 State in detail why you need the extension THE TAXPAYER RESPECTFULLY RE	OTTECHO	ADDITIONAL TIME TO	<u> </u>	urb	THE	
INFORMATION NECESSARY TO PRI	OCESIS	N ACCIDATE DETIIDN	JGAI	HEK	11112	
INFORMATION NECESSARI TO FRE	SPARE A	N ACCORATE RETORNS.			· · · · · · · · · · · · · · · · · · ·	
8a If this application is for Form 990-BL, 990-PF, 990-T, 473	20 or 6069 e	enter the tentative tax less any				
nonrefundable credits. See instructions	20, 01 0000, 0	and the terrained tax, loss any	8a	\$	(	6,884.
b If this application is for Form 990-PF, 990-T, 4720, or 60	69. enter any	refundable credits and estimated				
previously with Form 8868.	tax payments made Include any prior year overpayment allowed as a credit and any amount paid				4	6,884.
c Balance due. Subtract line 8b from line 8a Include you	r payment wi	th this form, if required, by using	8b	\$		<del> </del>
EFTPS (Electronic Federal Tax Payment System) See in	· -	, , , , , , , , , , , , , , , , , ,	8c	\$		0.
		st be completed for Part II				
Under penalties of perum I declare that I have examined this form, in it is true, correct, and complete and that Lam authorized to prepare the	cluding accomi			f my kno	wledge and I	oelief,
Signature Title			Date	•		

Form 8868 (Rev 1-2013)

Signature >

Supplementary Information Part XV Grants and Contributions Paid During the Year (Continuation) If recipient is an individual, show any relationship to any foundation manager or substantial contributor Recipient Purpose of grant or contribution Foundation **Amount** status of Name and address (home or business) recipient STANFORD UNIVERSITY N/A PUBLIC UNRESTRICTED 450 SERRA MALL STANFORD, CA 94305 10,000. TEACHERS COLLEGE N/A PUBLIC UNRESTRICTED 525 WEST 120TH ST NEW YORK, NY 10027 25,000. TENNESSEE RIVER GARDENS N/A PUBLIC UNRESTRICTED PO BOX 292 5,000. LOOKOUT MTN, TN 37350 THE ELEPHANT SANCTUARY N/A PUBLIC UNRESTRICTED PO BOX 393 10,000. HOHENWALD, TN 38462 THE NATURE CONSERVANCY N/A PUBLIC UNRESTRICTED 4245 N FAIRFAX DR 17,000. ARLINGTON, VA 22203 WILDLIFE CONSERVATION SOCIETY N/A PUBLIC UNRESTRICTED 2300 SOUTHERN BLVD 19,175. BRONX, NY 10460 WORLD WOMEN WORK N/A PUBLIC UNRESTRICTED 8 COLE LANE 5,000. SANTA FE. NM 87508 UNRESTRICTED ST. TIMOTHY'S SCHOOL N/A PUBLIC 8400 GREENSPRING AVE 25,000. STEVENSON, MD 21153 THE RIVER GORGE TRUST N/A PUBLIC UNRESTRICTED 1214 DARTMOUTH ST 1,000. CHATTANOOGA, TN 37405 UNRESTRICTED ENVIRONMENTAL DEFENSE FUND N/A PUBLIC 257 PARK AVE SO 5,000. NEW YORK, NY 10010 162,175. Total from continuation sheets

Part XV   Supplementary Informati				· · · · · · · · · · · · · · · · · · ·
3 Grants and Contributions Paid During th		<del>,</del>		
Recipient	If recipient is an individual, show any relationship to	Foundation	Purpose of grant or	}
Name and address (home or business)	any foundation manager or substantial contributor	status of recipient	Purpose of grant or contribution	Amount
UDOBAN ALASKA	N/A	PUBLIC	UNRESTRICTED	
41 W 5TH AVE #300			i	1
NCHORAGE, AK 99501	)	1	1	10,0
		<del></del>		<u> </u>
HILDITEE CONCEDUATION NETWORK	7/5	DUBL TO	TIND ECMPT CMED	<u> </u>
VILDLIFE CONSERVATION NETWORK	N/A	PUBLIC	UNRESTRICTED	l
5745 BASSETT LANE				
OS ALTOS, CA 94022				18,5
ANTHERA	N/A	PUBLIC	UNRESTRICTED	
WEST 40TH STREET, 18TH FLOOR				
JEW YORK, NY 10018			<del> </del>	1,5
LITTLE MISS MAG	N/A	PUBLIC	UNRESTRICTED	
14 WALNUT STREET				
CHATTANOOGA, TN 37403				5,0
		1		
VILDAID	N/A	PUBLIC	UNRESTRICTED	1
44 MONTGOMERY ST STE 300	ļ			
SAN FRANCISCO, CA 94111		<del> </del>	<del></del>	5,0
		is		
		1		
			ĺ	
		<del> </del>		
		}		
		<del> </del>	<del>_</del>	
		1		1

FORM 990-PF INTEREST ON SAVIN	IGS AND TEN	MPORARY CASH	INVESTMENTS	STATEMENT
SOURCE				AMOUNT
INTEREST INCOME ON CHECKING AC	CT		-	2,139
TOTAL TO FORM 990-PF, PART I,	LINE 3, CO	OLUMN A	-	2,139
FORM 990-PF DIVIDENDS	S AND INTER	REST FROM SEC	CURITIES	STATEMENT 2
SOURCE	GROSS	CA S AMOUNT	APITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
US TRUST CORP DIVIDENDS		8,687.	0.	8,687
TOTAL TO FM 990-PF, PART I, LN	1 4	8,687.	0.	8,687.
FORM 990-PF	OTHER 1	INCOME		STATEMENT 3
DESCRIPTION		(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	
DIVIDEND INC FROM PASS THROUGH INTEREST INC FROM PASS THROUGH ROYALTY INC FROM PASS THROUGHS OTHER INC FROM PASS THROUGHS FOREIGN TAX REBATES MISCELLANEOUS INC	IS	31,215 14,404 8 8,895 414 422	. 14,404 . 8 . 8,895 . 414	. 14,404 . 8 . 8,895 . 414
TOTAL TO FORM 990-PF, PART I,	LINE 11 =	55,358	55,358	. 55,358
FORM 990-PF	ACCOUNT	ING FEES	7	STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST MENT INCOM		
ACCOUNTING FEES	7,975	3,98	<del>-</del>	0. 3,987
TO FORM 990-PF, PG 1, LN 16B	7,975	. 3,98		0. 3,987

FORM 990-PF	OTHER PROFES	SIONAL FEE	ES		STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVES MENT INCO	ST- A	(C) DJUSTED T INCOME		
MANAGEMENT FEES	2,318.	2,3	318.	0	•	0.
TO FORM 990-PF, PG 1, LN 160	2,318.	2,3	318.	0	•	0.
FORM 990-PF	OTHER E	XPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVES MENT INCO		(C) DJUSTED T INCOME	(D) CHARITA PURPOS	
SECRETARIAL SERVICES INVESTMENT EXP FROM PASS THROUGHS	5,000.	2,5	500.	0	2,5	00.
	50,354.	50,3	354.	0	•	0.
TO FORM 990-PF, PG 1, LN 23	55,354.	52,8	354.	0	2,5	00.
FORM 990-PF	CORPORAT	E STOCK			STATEMENT	7
DESCRIPTION			BOOK V		FAIR MARKE VALUE	Т
CORPORATE STOCK		_	37	1,430.	388,8	67.
TOTAL TO FORM 990-PF, PART 1	II, LINE 10B	<del>-</del>	37	1,430.	388,8	67.
FORM 990-PF	OTHER INV	ESTMENTS			STATEMENT	8
DESCRIPTION		LUATION ETHOD	BOOK V		FAIR MARKE VALUE	T
OTHER INVESTMENTS		COST	2,59	3,435.	2,929,4	77.
TOTAL TO FORM 990-PF, PART		_		3,435.	2,929,4	