



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

2012

Open to public inspection

For calendar year 2012 or tax year beginning JUL 1, 2012, and ending JUN 30, 2013

Name of foundation  
**THE CLEMENT C. MOORE, II AND ELIZABETH W.Y. MOORE CHARITABLE TRUST**

Number and street (or P.O. box number if mail is not delivered to street address)  
**PO BOX 1058**

City or town, state, and ZIP code  
**CHESTERTOWN, MD 21620-5058**

**G** Check all that apply:  
☐ Initial return  
☐ Final return  
☐ Address change  
☐ Initial return of a former public charity  
☐ Amended return  
☐ Name change

**H** Check type of organization  
☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust  
☐ Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col. (c), line 16)  
**\$ 2,620,569.** (Part I, column (d) must be on cash basis.)

**J** Accounting method:  
☒ Cash  
☐ Accrual  
☐ Other (specify) \_\_\_\_\_

**A** Employer identification number  
**52-7059395**

**B** Telephone number  
**(410) 788-5225**

**C** If exemption application is pending, check here ☐

**D 1.** Foreign organizations, check here ☐

**2.** Foreign organizations meeting the 85% test, check here and attach computation ☐

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

| Part I Analysis of Revenue and Expenses<br>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) |  | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|----------------------------------------------------------------------------------------------------------------------------------------------------|--|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| <b>1</b> Contributions, gifts, grants, etc., received                                                                                              |  | 0.                                 |                           | N/A                     |                                                             |
| <b>2</b> Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B                                               |  |                                    |                           |                         |                                                             |
| <b>3</b> Interest on savings and temporary cash investments                                                                                        |  | 11,434.                            | 11,434.                   |                         | STATEMENT 1                                                 |
| <b>4</b> Dividends and interest from securities                                                                                                    |  | 70,485.                            | 70,485.                   |                         | STATEMENT 2                                                 |
| <b>5a</b> Gross rents                                                                                                                              |  |                                    |                           |                         |                                                             |
| <b>b</b> Net rental income or (loss)                                                                                                               |  |                                    |                           |                         |                                                             |
| <b>6a</b> Net gain or (loss) from sale of assets not on line 10                                                                                    |  | 30,388.                            |                           |                         |                                                             |
| <b>b</b> Gross sales price for all assets on line 6a                                                                                               |  | 1,556,694.                         |                           |                         |                                                             |
| <b>7</b> Capital gain net income (from Part IV, line 2)                                                                                            |  |                                    | 30,388.                   |                         |                                                             |
| <b>8</b> Net short-term capital gain                                                                                                               |  |                                    |                           |                         |                                                             |
| <b>9</b> Income modifications                                                                                                                      |  |                                    |                           |                         |                                                             |
| <b>10a</b> Gross sales less returns and allowances                                                                                                 |  |                                    |                           |                         |                                                             |
| <b>b</b> Less Cost of goods sold                                                                                                                   |  |                                    |                           |                         |                                                             |
| <b>c</b> Gross profit or (loss)                                                                                                                    |  |                                    |                           |                         |                                                             |
| <b>11</b> Other income                                                                                                                             |  | <10,465.>                          | <10,465.>                 |                         | STATEMENT 3                                                 |
| <b>12</b> Total. Add lines 1 through 11                                                                                                            |  | 101,842.                           | 101,842.                  |                         |                                                             |
| <b>13</b> Compensation of officers, directors, trustees, etc                                                                                       |  | 0.                                 | 0.                        |                         | 0.                                                          |
| <b>14</b> Other employee salaries and wages                                                                                                        |  |                                    |                           |                         |                                                             |
| <b>15</b> Pension plans, employee benefits                                                                                                         |  |                                    |                           |                         |                                                             |
| <b>16a</b> Legal fees                                                                                                                              |  |                                    |                           |                         |                                                             |
| <b>b</b> Accounting fees STMT 4                                                                                                                    |  | 11,656.                            | 9,325.                    |                         | 2,331.                                                      |
| <b>c</b> Other professional fees STMT 5                                                                                                            |  | 25,742.                            | 25,742.                   |                         | 0.                                                          |
| <b>17</b> Interest                                                                                                                                 |  |                                    |                           |                         |                                                             |
| <b>18</b> Taxes STMT 6                                                                                                                             |  | 6,561.                             | 561.                      |                         | 0.                                                          |
| <b>19</b> Depreciation and depletion                                                                                                               |  |                                    |                           |                         |                                                             |
| <b>20</b> Occupancy                                                                                                                                |  |                                    |                           |                         |                                                             |
| <b>21</b> Travel, conferences, and meetings                                                                                                        |  |                                    |                           |                         |                                                             |
| <b>22</b> Printing and publications                                                                                                                |  |                                    |                           |                         |                                                             |
| <b>23</b> Other expenses STMT 7                                                                                                                    |  | 7,777.                             | 6,318.                    |                         | 1,459.                                                      |
| <b>24</b> Total operating and administrative expenses. Add lines 13 through 23                                                                     |  | 51,736.                            | 41,946.                   |                         | 3,790.                                                      |
| <b>25</b> Contributions, gifts, grants paid                                                                                                        |  | 175,451.                           |                           |                         | 175,451.                                                    |
| <b>26</b> Total expenses and disbursements. Add lines 24 and 25                                                                                    |  | 227,187.                           | 41,946.                   |                         | 179,241.                                                    |
| <b>27</b> Subtract line 26 from line 12.                                                                                                           |  |                                    |                           |                         |                                                             |
| <b>a</b> Excess of revenue over expenses and disbursements                                                                                         |  | <125,345.>                         |                           |                         |                                                             |
| <b>b</b> Net investment income (if negative, enter -0-)                                                                                            |  |                                    | 59,896.                   |                         |                                                             |
| <b>c</b> Adjusted net income (if negative, enter -0-)                                                                                              |  |                                    |                           | N/A                     |                                                             |

**THE CLEMENT C. MOORE, II AND ELIZABETH  
W.Y. MOORE CHARITABLE TRUST**

52-7059395

| <b>Part II Balance Sheets</b> <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small> |                                                                                                                             | Beginning of year | End of year    |                       |
|----------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------|-------------------|----------------|-----------------------|
|                                                                                                                                              |                                                                                                                             | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| <b>Assets</b>                                                                                                                                | 1 Cash - non-interest-bearing ..                                                                                            |                   |                |                       |
|                                                                                                                                              | 2 Savings and temporary cash investments ..                                                                                 | 326,431.          | 87,865.        | 87,865.               |
|                                                                                                                                              | 3 Accounts receivable ▶                                                                                                     |                   |                |                       |
|                                                                                                                                              | Less: allowance for doubtful accounts ▶                                                                                     |                   |                |                       |
|                                                                                                                                              | 4 Pledges receivable ▶                                                                                                      |                   |                |                       |
|                                                                                                                                              | Less: allowance for doubtful accounts ▶                                                                                     |                   |                |                       |
|                                                                                                                                              | 5 Grants receivable ..                                                                                                      |                   |                |                       |
|                                                                                                                                              | 6 Receivables due from officers, directors, trustees, and other disqualified persons ..                                     |                   |                |                       |
|                                                                                                                                              | 7 Other notes and loans receivable ▶                                                                                        |                   |                |                       |
|                                                                                                                                              | Less: allowance for doubtful accounts ▶                                                                                     |                   |                |                       |
|                                                                                                                                              | 8 Inventories for sale or use ..                                                                                            |                   |                |                       |
|                                                                                                                                              | 9 Prepaid expenses and deferred charges ..                                                                                  |                   |                |                       |
|                                                                                                                                              | 10a Investments - U.S. and state government obligations STMT 8                                                              | 357,619.          | 459,298.       | 437,876.              |
|                                                                                                                                              | b Investments - corporate stock STMT 9                                                                                      | 822,889.          | 952,803.       | 1,133,688.            |
|                                                                                                                                              | c Investments - corporate bonds STMT 10                                                                                     | 935,981.          | 831,700.       | 791,538.              |
| 11 Investments - land, buildings, and equipment basis ▶                                                                                      |                                                                                                                             |                   |                |                       |
| Less: accumulated depreciation ▶                                                                                                             |                                                                                                                             |                   |                |                       |
| 12 Investments - mortgage loans ..                                                                                                           |                                                                                                                             |                   |                |                       |
| 13 Investments - other STMT 11                                                                                                               | 182,493.                                                                                                                    | 168,402.          | 169,602.       |                       |
| 14 Land, buildings, and equipment basis ▶ 13,874.                                                                                            |                                                                                                                             |                   |                |                       |
| Less: accumulated depreciation ▶ 13,874.                                                                                                     |                                                                                                                             |                   |                |                       |
| 15 Other assets (describe ▶ )                                                                                                                |                                                                                                                             |                   |                |                       |
| 16 <b>Total assets</b> (to be completed by all filers)                                                                                       | 2,625,413.                                                                                                                  | 2,500,068.        | 2,620,569.     |                       |
| <b>Liabilities</b>                                                                                                                           | 17 Accounts payable and accrued expenses                                                                                    |                   |                |                       |
|                                                                                                                                              | 18 Grants payable                                                                                                           |                   |                |                       |
|                                                                                                                                              | 19 Deferred revenue                                                                                                         |                   |                |                       |
|                                                                                                                                              | 20 Loans from officers, directors, trustees, and other disqualified persons                                                 |                   |                |                       |
|                                                                                                                                              | 21 Mortgages and other notes payable                                                                                        |                   |                |                       |
|                                                                                                                                              | 22 Other liabilities (describe ▶ )                                                                                          |                   |                |                       |
| 23 <b>Total liabilities</b> (add lines 17 through 22)                                                                                        | 0.                                                                                                                          | 0.                |                |                       |
| <b>Net Assets or Fund Balances</b>                                                                                                           | Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. |                   |                |                       |
|                                                                                                                                              | 24 Unrestricted                                                                                                             |                   |                |                       |
|                                                                                                                                              | 25 Temporarily restricted                                                                                                   |                   |                |                       |
|                                                                                                                                              | 26 Permanently restricted                                                                                                   |                   |                |                       |
|                                                                                                                                              | Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.   |                   |                |                       |
|                                                                                                                                              | 27 Capital stock, trust principal, or current funds                                                                         | 0.                | 0.             |                       |
|                                                                                                                                              | 28 Paid-in or capital surplus, or land, bldg, and equipment fund                                                            | 0.                | 0.             |                       |
|                                                                                                                                              | 29 Retained earnings, accumulated income, endowment, or other funds                                                         | 2,625,413.        | 2,500,068.     |                       |
| 30 <b>Total net assets or fund balances</b>                                                                                                  | 2,625,413.                                                                                                                  | 2,500,068.        |                |                       |
| 31 <b>Total liabilities and net assets/fund balances</b>                                                                                     | 2,625,413.                                                                                                                  | 2,500,068.        |                |                       |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|                                                                                                                                                                 |   |            |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30<br>(must agree with end-of-year figure reported on prior year's return) | 1 | 2,625,413. |
| 2 Enter amount from Part I, line 27a                                                                                                                            | 2 | <125,345.> |
| 3 Other increases not included in line 2 (itemize) ▶                                                                                                            | 3 | 0.         |
| 4 Add lines 1, 2, and 3                                                                                                                                         | 4 | 2,500,068. |
| 5 Decreases not included in line 2 (itemize) ▶                                                                                                                  | 5 | 0.         |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30                                                         | 6 | 2,500,068. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)                                              |                                            | (b) How acquired<br>P - Purchase<br>D - Donation                                                                                                                                                                   | (c) Date acquired<br>(mo, day, yr)           | (d) Date sold<br>(mo, day, yr)                                                                 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------------------------------------------------------------|
| 1a                                                                                                                                                                             |                                            |                                                                                                                                                                                                                    |                                              |                                                                                                |
| b SEE ATTACHED STATEMENT                                                                                                                                                       |                                            |                                                                                                                                                                                                                    |                                              |                                                                                                |
| c                                                                                                                                                                              |                                            |                                                                                                                                                                                                                    |                                              |                                                                                                |
| d                                                                                                                                                                              |                                            |                                                                                                                                                                                                                    |                                              |                                                                                                |
| e                                                                                                                                                                              |                                            |                                                                                                                                                                                                                    |                                              |                                                                                                |
| (e) Gross sales price                                                                                                                                                          | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale                                                                                                                                                                    | (h) Gain or (loss)<br>(e) plus (f) minus (g) |                                                                                                |
| a                                                                                                                                                                              |                                            |                                                                                                                                                                                                                    |                                              |                                                                                                |
| b                                                                                                                                                                              |                                            |                                                                                                                                                                                                                    |                                              |                                                                                                |
| c                                                                                                                                                                              |                                            |                                                                                                                                                                                                                    |                                              |                                                                                                |
| d                                                                                                                                                                              |                                            |                                                                                                                                                                                                                    |                                              |                                                                                                |
| e 1,556,694.                                                                                                                                                                   |                                            | 1,526,306.                                                                                                                                                                                                         | 30,388.                                      |                                                                                                |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69                                                                                    |                                            |                                                                                                                                                                                                                    |                                              | (i) Gains (Col (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
| (i) FM V. as of 12/31/69                                                                                                                                                       | (j) Adjusted basis<br>as of 12/31/69       | (k) Excess of col (i)<br>over col. (j), if any                                                                                                                                                                     |                                              |                                                                                                |
| a                                                                                                                                                                              |                                            |                                                                                                                                                                                                                    |                                              |                                                                                                |
| b                                                                                                                                                                              |                                            |                                                                                                                                                                                                                    |                                              |                                                                                                |
| c                                                                                                                                                                              |                                            |                                                                                                                                                                                                                    |                                              |                                                                                                |
| d                                                                                                                                                                              |                                            |                                                                                                                                                                                                                    |                                              |                                                                                                |
| e                                                                                                                                                                              |                                            |                                                                                                                                                                                                                    | 30,388.                                      |                                                                                                |
| 2 Capital gain net income or (net capital loss)                                                                                                                                |                                            | <div style="border: 1px solid black; padding: 2px;">                     If gain, also enter in Part I, line 7<br/>                     If (loss), enter -0- in Part I, line 7                 </div>              |                                              | 2 30,388.                                                                                      |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter -0- in Part I, line 8 |                                            | <div style="border: 1px solid black; padding: 2px;">                     If gain, also enter in Part I, line 8, column (c).<br/>                     If (loss), enter -0- in Part I, line 8                 </div> |                                              | 3 N/A                                                                                          |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

| (a)<br>Base period years<br>Calendar year (or tax year beginning in)                                                                                                           | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col (b) divided by col (c)) |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-----------------------------------------------------------|
| 2011                                                                                                                                                                           | 210,379.                                 | 3,057,645.                                   | .068804                                                   |
| 2010                                                                                                                                                                           | 204,160.                                 | 3,022,202.                                   | .067553                                                   |
| 2009                                                                                                                                                                           | 319,062.                                 | 4,696,573.                                   | .067935                                                   |
| 2008                                                                                                                                                                           | 204,028.                                 | 5,200,973.                                   | .039229                                                   |
| 2007                                                                                                                                                                           | 223,632.                                 | 4,355,695.                                   | .051342                                                   |
| 2 Total of line 1, column (d)                                                                                                                                                  |                                          |                                              | 2 .294863                                                 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years |                                          |                                              | 3 .058973                                                 |
| 4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5                                                                                                 |                                          |                                              | 4 2,680,688.                                              |
| 5 Multiply line 4 by line 3                                                                                                                                                    |                                          |                                              | 5 158,088.                                                |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                   |                                          |                                              | 6 599.                                                    |
| 7 Add lines 5 and 6                                                                                                                                                            |                                          |                                              | 7 158,687.                                                |
| 8 Enter qualifying distributions from Part XII, line 4                                                                                                                         |                                          |                                              | 8 179,241.                                                |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|                                                                                                                                                                                                                                       |           |    |        |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|----|--------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) |           | 1  | 599.   |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b                                                                          |           |    |        |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)                                                                                                              |           | 2  | 0.     |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                           |           | 3  | 599.   |
| 3 Add lines 1 and 2                                                                                                                                                                                                                   |           | 4  | 0.     |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                         |           | 5  | 599.   |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                             |           |    |        |
| 6 Credits/Payments                                                                                                                                                                                                                    |           |    |        |
| a 2012 estimated tax payments and 2011 overpayment credited to 2012                                                                                                                                                                   | 6a 3,030. | 7  | 3,030. |
| b Exempt foreign organizations - tax withheld at source                                                                                                                                                                               | 6b        | 8  |        |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                 | 6c        | 9  |        |
| d Backup withholding erroneously withheld                                                                                                                                                                                             | 6d        | 10 | 2,431. |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                                 |           | 11 | 0.     |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                                   |           |    |        |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                       |           |    |        |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                          | 2,431.    |    |        |
| 11 Enter the amount of line 10 to be Credited to 2013 estimated tax                                                                                                                                                                   | Refunded  |    |        |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                            | Yes | No |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                    |     | X  |
| 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. |     | X  |
| 1c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                    |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.                                                                                                                     |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.                                                                                                                                                                      |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities.                                                                                                                                                                            |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                               |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                             |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                         |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T.                                                                                                                                                                      |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?       | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year?<br>If "Yes," complete Part II, col. (c), and Part XV.                                                                                                                                                                                                    | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> DE                                                                                                                                                                                                          |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                              | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV                                                                                     |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                      |     | X  |

N/A

THE CLEMENT C. MOORE, II AND ELIZABETH  
W.Y. MOORE CHARITABLE TRUST

Form 990-PF (2012)

52-7059395

Page 5

**Part VII-A Statements Regarding Activities** (continued)

|    |                                                                                                                                                                                                                                                                                                                                |    |     |         |
|----|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|---------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)                                                                                                                                        | 11 |     | X       |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)                                                                                                                                       | 12 |     | X       |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address <b>N/A</b>                                                                                                                                                                              | 13 | X   |         |
| 14 | The books are in care of <b>ELIZABETH W. MOORE</b> Telephone no <b>(410) 788-5225</b><br>Located at <b>320 HIGH STREET, CHESTERTOWN, MD</b> ZIP+4 <b>21620</b>                                                                                                                                                                 |    |     |         |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here<br>and enter the amount of tax-exempt interest received or accrued during the year                                                                                                                                         | 15 | N/A |         |
| 16 | At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country | 16 | Yes | No<br>X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | Yes                                                                 | No   |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|------|
| 1a During the year did the foundation (either directly or indirectly)                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                     |      |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                            | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                        | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?                                                                                                                                                                                                                                                                                                                                                                                                              | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?<br>Organizations relying on a current notice regarding disaster assistance check here                                                                                                                                                                                      | N/A                                                                 | 1b   |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?                                                                                                                                                                                                                                                                                                         |                                                                     | 1c X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))                                                                                                                                                                                                                                                                                                                   |                                                                     |      |
| a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?<br>If "Yes," list the years                                                                                                                                                                                                                                                                                                                   | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |      |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)                                                                                                                                                                                        | N/A                                                                 | 2b   |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here                                                                                                                                                                                                                                                                                                                                                                                 |                                                                     |      |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?                                                                                                                                                                                                                                                                                                                                                                     | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |      |
| b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) |                                                                     | 3b X |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                |                                                                     | 4a X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?                                                                                                                                                                                                                                                               |                                                                     | 4b X |

Form 990-PF (2012)

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address  | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|-----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| CLEMENT C. MOORE II   | TRUSTEE                                                   |                                           |                                                                       |                                       |
| PO BOX 1058           |                                                           |                                           |                                                                       |                                       |
| CHESTERTOWN, MD 21620 | 1.00                                                      | 0.                                        | 0.                                                                    | 0.                                    |
| ELIZABETH W. MOORE    | TRUSTEE                                                   |                                           |                                                                       |                                       |
| PO BOX 1058           |                                                           |                                           |                                                                       |                                       |
| CHESTERTOWN, MD 21620 | 9.00                                                      | 0.                                        | 0.                                                                    | 0.                                    |
|                       |                                                           |                                           |                                                                       |                                       |
|                       |                                                           |                                           |                                                                       |                                       |
|                       |                                                           |                                           |                                                                       |                                       |
|                       |                                                           |                                           |                                                                       |                                       |
|                       |                                                           |                                           |                                                                       |                                       |

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

0

Form 990-PF (2012)

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

|                                                                                 |          |
|---------------------------------------------------------------------------------|----------|
| <b>Total number of others receiving over \$50,000 for professional services</b> | <b>0</b> |
|---------------------------------------------------------------------------------|----------|

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 |                                                        | Amount |
|------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|--------|
| 1                                                                                                                | N/A                                                    |        |
|                                                                                                                  |                                                        |        |
|                                                                                                                  |                                                        |        |
| 2                                                                                                                |                                                        |        |
|                                                                                                                  |                                                        |        |
|                                                                                                                  |                                                        |        |
|                                                                                                                  | All other program-related investments See instructions |        |
| 3                                                                                                                |                                                        |        |
|                                                                                                                  |                                                        |        |
|                                                                                                                  |                                                        |        |
| <b>Total.</b> Add lines 1 through 3                                                                              |                                                        | 0      |

2012.04040 THE CLEMENT C. MOORE, II AN 4895 1

**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |                                                                                                            |           |            |
|----------|------------------------------------------------------------------------------------------------------------|-----------|------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes |           |            |
| <b>a</b> | Average monthly fair market value of securities                                                            | <b>1a</b> | 2,346,256. |
| <b>b</b> | Average of monthly cash balances                                                                           | <b>1b</b> | 205,653.   |
| <b>c</b> | Fair market value of all other assets                                                                      | <b>1c</b> | 169,602.   |
| <b>d</b> | Total (add lines 1a, b, and c)                                                                             | <b>1d</b> | 2,721,511. |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)  | <b>1e</b> | 0.         |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets                                                       | <b>2</b>  | 0.         |
| <b>3</b> | Subtract line 2 from line 1d                                                                               | <b>3</b>  | 2,721,511. |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)  | <b>4</b>  | 40,823.    |
| <b>5</b> | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4       | <b>5</b>  | 2,680,688. |
| <b>6</b> | Minimum investment return. Enter 5% of line 5                                                              | <b>6</b>  | 134,034.   |

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|           |                                                                                                    |           |          |
|-----------|----------------------------------------------------------------------------------------------------|-----------|----------|
| <b>1</b>  | Minimum investment return from Part X, line 6                                                      | <b>1</b>  | 134,034. |
| <b>2a</b> | Tax on investment income for 2012 from Part VI, line 5                                             | <b>2a</b> | 599.     |
| <b>b</b>  | Income tax for 2012 (This does not include the tax from Part VI)                                   | <b>2b</b> |          |
| <b>c</b>  | Add lines 2a and 2b                                                                                | <b>2c</b> | 599.     |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1                              | <b>3</b>  | 133,435. |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions                                          | <b>4</b>  | 0.       |
| <b>5</b>  | Add lines 3 and 4                                                                                  | <b>5</b>  | 133,435. |
| <b>6</b>  | Deduction from distributable amount (see instructions)                                             | <b>6</b>  | 0.       |
| <b>7</b>  | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | <b>7</b>  | 133,435. |

**Part XII** Qualifying Distributions (see instructions)

|          |                                                                                                                                   |           |          |
|----------|-----------------------------------------------------------------------------------------------------------------------------------|-----------|----------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                        |           |          |
| <b>a</b> | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                     | <b>1a</b> | 179,241. |
| <b>b</b> | Program-related investments - total from Part IX-B                                                                                | <b>1b</b> | 0.       |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | <b>2</b>  |          |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the                                                               |           |          |
| <b>a</b> | Suitability test (prior IRS approval required)                                                                                    | <b>3a</b> |          |
| <b>b</b> | Cash distribution test (attach the required schedule)                                                                             | <b>3b</b> |          |
| <b>4</b> | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                        | <b>4</b>  | 179,241. |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | <b>5</b>  | 599.     |
| <b>6</b> | Adjusted qualifying distributions. Subtract line 5 from line 4                                                                    | <b>6</b>  | 178,642. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Form 990-PF (2012)

**Part XIII** Undistributed Income (see instructions)

|                                                                                                                                                                            | (a)<br>Corpus | (b)<br>Years prior to 2011 | (c)<br>2011 | (d)<br>2012 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2012 from Part XI, line 7                                                                                                                       |               |                            |             | 133,435.    |
| 2 Undistributed income, if any, as of the end of 2012                                                                                                                      |               |                            |             |             |
| a Enter amount for 2011 only                                                                                                                                               |               |                            | 0.          |             |
| b Total for prior years:                                                                                                                                                   |               | 0.                         |             |             |
| 3 Excess distributions carryover, if any, to 2012                                                                                                                          |               |                            |             |             |
| a From 2007                                                                                                                                                                | 11,996.       |                            |             |             |
| b From 2008                                                                                                                                                                |               |                            |             |             |
| c From 2009                                                                                                                                                                | 85,308.       |                            |             |             |
| d From 2010                                                                                                                                                                | 56,327.       |                            |             |             |
| e From 2011                                                                                                                                                                | 60,402.       |                            |             |             |
| f Total of lines 3a through e                                                                                                                                              | 214,033.      |                            |             |             |
| 4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 179,241.                                                                                                    |               |                            |             |             |
| a Applied to 2011, but not more than line 2a                                                                                                                               |               |                            | 0.          |             |
| b Applied to undistributed income of prior years (Election required - see instructions)                                                                                    |               | 0.                         |             |             |
| c Treated as distributions out of corpus (Election required - see instructions)                                                                                            | 0.            |                            |             |             |
| d Applied to 2012 distributable amount                                                                                                                                     |               |                            |             | 133,435.    |
| e Remaining amount distributed out of corpus                                                                                                                               | 45,806.       |                            |             |             |
| 5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))                                         | 0.            |                            |             | 0.          |
| 6 Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5                                                                                                                          | 259,839.      |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b                                                                                                         |               | 0.                         |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| d Subtract line 6c from line 6b Taxable amount - see instructions                                                                                                          |               | 0.                         |             |             |
| e Undistributed income for 2011. Subtract line 4a from line 2a Taxable amount - see instr                                                                                  |               |                            | 0.          |             |
| f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013                                                                |               |                            |             | 0.          |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)                                                     | 0.            |                            |             |             |
| 8 Excess distributions carryover from 2007 not applied on line 5 or line 7                                                                                                 | 11,996.       |                            |             |             |
| 9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a                                                                                              | 247,843.      |                            |             |             |
| 10 Analysis of line 9                                                                                                                                                      |               |                            |             |             |
| a Excess from 2008                                                                                                                                                         |               |                            |             |             |
| b Excess from 2009                                                                                                                                                         | 85,308.       |                            |             |             |
| c Excess from 2010                                                                                                                                                         | 56,327.       |                            |             |             |
| d Excess from 2011                                                                                                                                                         | 60,402.       |                            |             |             |
| e Excess from 2012                                                                                                                                                         | 45,806.       |                            |             |             |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

|                                                                                                                                                          | Tax year | Prior 3 years |          |          | (e) Total |
|----------------------------------------------------------------------------------------------------------------------------------------------------------|----------|---------------|----------|----------|-----------|
|                                                                                                                                                          | (a) 2012 | (b) 2011      | (c) 2010 | (d) 2009 |           |
| <b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed                     |          |               |          |          |           |
| <b>b</b> 85% of line 2a                                                                                                                                  |          |               |          |          |           |
| <b>c</b> Qualifying distributions from Part XII, line 4 for each year listed                                                                             |          |               |          |          |           |
| <b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities                                                           |          |               |          |          |           |
| <b>e</b> Qualifying distributions made directly for active conduct of exempt activities                                                                  |          |               |          |          |           |
| Subtract line 2d from line 2c                                                                                                                            |          |               |          |          |           |
| <b>3</b> Complete 3a, b, or c for the alternative test relied upon                                                                                       |          |               |          |          |           |
| <b>a</b> "Assets" alternative test - enter:                                                                                                              |          |               |          |          |           |
| <b>(1)</b> Value of all assets                                                                                                                           |          |               |          |          |           |
| <b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)                                                                                     |          |               |          |          |           |
| <b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed                              |          |               |          |          |           |
| <b>c</b> "Support" alternative test - enter:                                                                                                             |          |               |          |          |           |
| <b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) |          |               |          |          |           |
| <b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)                                      |          |               |          |          |           |
| <b>(3)</b> Largest amount of support from an exempt organization                                                                                         |          |               |          |          |           |
| <b>(4)</b> Gross investment income                                                                                                                       |          |               |          |          |           |

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

**CLEMENT C. MOORE II**

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed

**SEE STATEMENT 12**

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business)                                  | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount          |
|-----------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|-----------------|
| <b>a Paid during the year</b>                                                     |                                                                                                                    |                                      |                                     |                 |
| CITY HARVEST<br>575 8TH AVE. 4TH FL<br>NEW YORK, NY 10018                         | NONE                                                                                                               | 501(C)(3)                            | UNRESTRICTED                        | 27,500.         |
| MORGAN LIBRARY & MUSEUM<br>225 MADISON AVENUE AT 36TH ST<br>NEW YORK, NY 10016    | NONE                                                                                                               | 501(C)(3)                            | UNRESTRICTED                        | 53,951.         |
| NEW YORK BOTANICAL GARDEN<br>200TH ST AND KAZIMIROFF BLVD.<br>BRONX, NY 104585126 | NONE                                                                                                               | 501(C)(3)                            | UNRESTRICTED                        | 5,000.          |
| PLANNED PARENTHOOD OF NYC<br>26 BLEECKER STREET<br>NEW YORK, NY 10012             | NONE                                                                                                               | 501(C)(3)                            | UNRESTRICTED                        | 11,000.         |
| SULTANA PROJECTS<br>105 SOUTH CROSS STREET<br>CHESTERTOWN, MD 21620               | NONE                                                                                                               | 501(C)(3)                            | UNRESTRICTED                        | 10,000.         |
| <b>Total</b>                                                                      | <b>SEE CONTINUATION SHEET(S)</b>                                                                                   |                                      |                                     | <b>175,451.</b> |
| <b>b Approved for future payment</b>                                              |                                                                                                                    |                                      |                                     |                 |
| NONE                                                                              |                                                                                                                    |                                      |                                     |                 |
|                                                                                   |                                                                                                                    |                                      |                                     |                 |
|                                                                                   |                                                                                                                    |                                      |                                     |                 |
| <b>Total</b>                                                                      |                                                                                                                    |                                      |                                     | <b>0.</b>       |



## Part XVII

## Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- |                                                                                                                                                                                                                                                                                                                                                                                                      |  |            |           |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|------------|-----------|
| <b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?                                                                                                                                         |  | <b>Yes</b> | <b>No</b> |
| <b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of:                                                                                                                                                                                                                                                                                                          |  |            |           |
| <b>(1)</b> Cash                                                                                                                                                                                                                                                                                                                                                                                      |  |            | <b>X</b>  |
| <b>(2)</b> Other assets                                                                                                                                                                                                                                                                                                                                                                              |  |            | <b>X</b>  |
| <b>b</b> Other transactions                                                                                                                                                                                                                                                                                                                                                                          |  |            |           |
| <b>(1)</b> Sales of assets to a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                                    |  |            | <b>X</b>  |
| <b>(2)</b> Purchases of assets from a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                              |  |            | <b>X</b>  |
| <b>(3)</b> Rental of facilities, equipment, or other assets                                                                                                                                                                                                                                                                                                                                          |  |            | <b>X</b>  |
| <b>(4)</b> Reimbursement arrangements                                                                                                                                                                                                                                                                                                                                                                |  |            | <b>X</b>  |
| <b>(5)</b> Loans or loan guarantees                                                                                                                                                                                                                                                                                                                                                                  |  |            | <b>X</b>  |
| <b>(6)</b> Performance of services or membership or fundraising solicitations                                                                                                                                                                                                                                                                                                                        |  |            | <b>X</b>  |
| <b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees                                                                                                                                                                                                                                                                                                            |  |            | <b>X</b>  |
| <b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received |  |            |           |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
| N/A                      |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date \_\_\_\_\_

Title

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes    ☐ No**Paid  
Preparer  
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if self-employed

PTIN

STEVEN CALDWELL

Firm's name ► BLUM, SHAPIRO & COMPANY, P.C., CPA'S

Firm's EIN ► 06-1009205

Firm's address ► 29 S. MAIN STREET, P.O. BOX 272000  
WEST HARTFORD, CT 06127-2000

Phone no 860 561-4000

Form **990-PF** (2012)

**Part IV** Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse, or common stock, 200 shs. MLC Co |                            | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo, day, yr.) | (d) Date sold<br>(mo, day, yr.) |
|-------------------------------------------------------------------------------------------------------------------------------------|----------------------------|--------------------------------------------------|-------------------------------------|---------------------------------|
| 1a                                                                                                                                  | SCHWAB 0916 - SEE ATTACHED | P                                                | VARIOUS                             | VARIOUS                         |
| b                                                                                                                                   | SCHWAB 5042 - SEE ATTACHED | P                                                | VARIOUS                             | VARIOUS                         |
| c                                                                                                                                   | SCHWAB 2002 - SEE ATTACHED | P                                                | VARIOUS                             | VARIOUS                         |
| d                                                                                                                                   | SCHWAB 8718 - SEE ATTACHED | P                                                | VARIOUS                             | VARIOUS                         |
| e                                                                                                                                   | SCHWAB 6279 - SEE ATTACHED | P                                                | VARIOUS                             | VARIOUS                         |
| f                                                                                                                                   | SCHWAB 0754 - SEE ATTACHED | P                                                | VARIOUS                             | VARIOUS                         |
| g                                                                                                                                   |                            |                                                  |                                     |                                 |
| h                                                                                                                                   |                            |                                                  |                                     |                                 |
| i                                                                                                                                   |                            |                                                  |                                     |                                 |
| j                                                                                                                                   |                            |                                                  |                                     |                                 |
| k                                                                                                                                   |                            |                                                  |                                     |                                 |
| l                                                                                                                                   |                            |                                                  |                                     |                                 |
| m                                                                                                                                   |                            |                                                  |                                     |                                 |
| n                                                                                                                                   |                            |                                                  |                                     |                                 |
| o                                                                                                                                   |                            |                                                  |                                     |                                 |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a 61,441.             |                                            | 57,682.                                         | 3,759.                                       |
| b 126,312.            |                                            | 111,128.                                        | 15,184.                                      |
| c 350,199.            |                                            | 351,340.                                        | <1,141.>                                     |
| d 874,641.            |                                            | 862,839.                                        | 11,802.                                      |
| e 57,966.             |                                            | 52,826.                                         | 5,140.                                       |
| f 86,135.             |                                            | 90,491.                                         | <4,356.>                                     |
| g                     |                                            |                                                 |                                              |
| h                     |                                            |                                                 |                                              |
| i                     |                                            |                                                 |                                              |
| j                     |                                            |                                                 |                                              |
| k                     |                                            |                                                 |                                              |
| l                     |                                            |                                                 |                                              |
| m                     |                                            |                                                 |                                              |
| n                     |                                            |                                                 |                                              |
| o                     |                                            |                                                 |                                              |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |                                               | (l) Losses (from col (h))<br>Gains (excess of col. (h) gain over col (k),<br>but not less than "-0-") |
|---------------------------------------------------------------------------------------------|--------------------------------------|-----------------------------------------------|-------------------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69                                                                   | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col (i)<br>over col (j), if any |                                                                                                       |
| a                                                                                           |                                      |                                               | 3,759.                                                                                                |
| b                                                                                           |                                      |                                               | 15,184.                                                                                               |
| c                                                                                           |                                      |                                               | <1,141.>                                                                                              |
| d                                                                                           |                                      |                                               | 11,802.                                                                                               |
| e                                                                                           |                                      |                                               | 5,140.                                                                                                |
| f                                                                                           |                                      |                                               | <4,356.>                                                                                              |
| g                                                                                           |                                      |                                               |                                                                                                       |
| h                                                                                           |                                      |                                               |                                                                                                       |
| i                                                                                           |                                      |                                               |                                                                                                       |
| j                                                                                           |                                      |                                               |                                                                                                       |
| k                                                                                           |                                      |                                               |                                                                                                       |
| l                                                                                           |                                      |                                               |                                                                                                       |
| m                                                                                           |                                      |                                               |                                                                                                       |
| n                                                                                           |                                      |                                               |                                                                                                       |
| o                                                                                           |                                      |                                               |                                                                                                       |

|                                                                                                                                                                                   |   |         |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|---------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter "-0-" in Part I, line 7 }                                             | 2 | 30,388. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c)<br>If (loss), enter "-0-" in Part I, line 8 } | 3 | N/A     |

THE CLEMENT C. MOORE, II AND ELIZABETH  
W.Y. MOORE CHARITABLE TRUST

52-7059395

**Part XV** Supplementary Information

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)                         | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount  |
|--------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|---------|
| THE ANIMAL MEDICAL CENTER<br>510 EAST 62ND STREET<br>NEW YORK, NY 10065  | NONE                                                                                                               | 501(C)(3)                            | UNRESTRICTED                        | 25,000. |
| THE CHESAPEAKE BAY FOUNDATION<br>6 HERNDON AVENUE<br>ANNAPOLIS, MD 21403 | NONE                                                                                                               | 501(C)(3)                            | UNRESTRICTED                        | 15,000. |
| NATIONAL MUSIC FESTIVAL<br>P.O. BOX 284<br>CHESTERTOWN, MD 21620         | NONE                                                                                                               | 501(C)(3)                            | UNRESTRICTED                        | 5,000.  |
| ECHO HILL OUTDOOR SCHOOL<br>13655 BLOOMINGNECK RD<br>WORTON, MD 21678    | NONE                                                                                                               | 501(C)(3)                            | UNRESTRICTED                        | 3,000.  |
| WASHINGTON COLLEGE<br>300 WASHINGTON AVE<br>CHESTERTOWN, MD 21620        | NONE                                                                                                               | 501(C)(3)                            | UNRESTRICTED                        | 3,000.  |
| COLUMBIA SCHOOL OF JOURNALISM<br>2950 BROADWAY<br>NEW YORK, NY 10027     | NONE                                                                                                               | 501(C)(3)                            | UNRESTRICTED                        | 5,000.  |
| NETHERLANDS-AMERICA FOUNDATION<br>75 MAIDEN LN<br>NEW YORK, NY 10038     | NONE                                                                                                               | 501(C)(3)                            | UNRESTRICTED                        | 12,000. |
|                                                                          |                                                                                                                    |                                      |                                     |         |
|                                                                          |                                                                                                                    |                                      |                                     |         |
|                                                                          |                                                                                                                    |                                      |                                     |         |
| Total from continuation sheets                                           |                                                                                                                    |                                      |                                     | 68,000. |

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE                                         | AMOUNT  |
|------------------------------------------------|---------|
| INTEREST - CHARLES SCHWAB                      | 11,395. |
| INTEREST - JP MORGAN                           | 39.     |
| TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A | 11,434. |

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE                           | GROSS AMOUNT | CAPITAL GAINS<br>DIVIDENDS | COLUMN (A)<br>AMOUNT |
|----------------------------------|--------------|----------------------------|----------------------|
| DIVIDENDS - CHARLES SCHWAB       | 70,485.      | 0.                         | 70,485.              |
| TOTAL TO FM 990-PF, PART I, LN 4 | 70,485.      | 0.                         | 70,485.              |

## FORM 990-PF OTHER INCOME STATEMENT 3

| DESCRIPTION                           | (A)<br>REVENUE<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME |
|---------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| PARTNERSHIP INCOME                    | <14,091.>                   | <14,091.>                         |                               |
| MISCELLANEOUS INCOME                  | 3,626.                      | 3,626.                            |                               |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | <10,465.>                   | <10,465.>                         |                               |

## FORM 990-PF ACCOUNTING FEES STATEMENT 4

| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| ACCOUNTING FEES              | 11,656.                      | 9,325.                            |                               | 2,331.                        |
| TO FORM 990-PF, PG 1, LN 16B | 11,656.                      | 9,325.                            |                               | 2,331.                        |

---



---

|             |                         |           |   |
|-------------|-------------------------|-----------|---|
| FORM 990-PF | OTHER PROFESSIONAL FEES | STATEMENT | 5 |
|-------------|-------------------------|-----------|---|

---

| DESCRIPTION                  | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| INVESTMENT MANAGEMENT FEES   | 25,742.                      | 25,742.                           |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 16C | 25,742.                      | 25,742.                           |                               | 0.                            |

---



---



---



---

|             |       |           |   |
|-------------|-------|-----------|---|
| FORM 990-PF | TAXES | STATEMENT | 6 |
|-------------|-------|-----------|---|

---

| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| FOREIGN TAXES               | 561.                         | 561.                              |                               | 0.                            |
| FEDERAL TAXES               | 6,000.                       | 0.                                |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 18 | 6,561.                       | 561.                              |                               | 0.                            |

---



---



---



---

|             |                |           |   |
|-------------|----------------|-----------|---|
| FORM 990-PF | OTHER EXPENSES | STATEMENT | 7 |
|-------------|----------------|-----------|---|

---

| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| TELEPHONE/INTERNET          | 1,945.                       | 486.                              |                               | 1,459.                        |
| BOND PREMIUM AMORTIZATION   | 5,832.                       | 5,832.                            |                               | 0.                            |
| TO FORM 990-PF, PG 1, LN 23 | 7,777.                       | 6,318.                            |                               | 1,459.                        |

---



---

|             |                                            |           |   |
|-------------|--------------------------------------------|-----------|---|
| FORM 990-PF | U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS | STATEMENT | 8 |
|-------------|--------------------------------------------|-----------|---|

| DESCRIPTION                                      | U.S.<br>GOV'T | OTHER<br>GOV'T | BOOK VALUE | FAIR MARKET<br>VALUE |
|--------------------------------------------------|---------------|----------------|------------|----------------------|
| TREASURY BONDS                                   | X             |                | 459,298.   | 437,876.             |
| TOTAL U.S. GOVERNMENT OBLIGATIONS                |               |                | 459,298.   | 437,876.             |
| TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS |               |                |            |                      |
| TOTAL TO FORM 990-PF, PART II, LINE 10A          |               |                | 459,298.   | 437,876.             |

|             |                 |           |   |
|-------------|-----------------|-----------|---|
| FORM 990-PF | CORPORATE STOCK | STATEMENT | 9 |
|-------------|-----------------|-----------|---|

| DESCRIPTION                             | BOOK VALUE | FAIR MARKET<br>VALUE |
|-----------------------------------------|------------|----------------------|
| EQUITIES                                | 952,803.   | 1,133,688.           |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 952,803.   | 1,133,688.           |

|             |                 |           |    |
|-------------|-----------------|-----------|----|
| FORM 990-PF | CORPORATE BONDS | STATEMENT | 10 |
|-------------|-----------------|-----------|----|

| DESCRIPTION                             | BOOK VALUE | FAIR MARKET<br>VALUE |
|-----------------------------------------|------------|----------------------|
| CORPORATE BONDS                         | 831,700.   | 791,538.             |
| TOTAL TO FORM 990-PF, PART II, LINE 10C | 831,700.   | 791,538.             |

|             |                   |           |    |
|-------------|-------------------|-----------|----|
| FORM 990-PF | OTHER INVESTMENTS | STATEMENT | 11 |
|-------------|-------------------|-----------|----|

| DESCRIPTION                            | VALUATION<br>METHOD | BOOK VALUE | FAIR MARKET<br>VALUE |
|----------------------------------------|---------------------|------------|----------------------|
| INVESTMENT IN MAN-AHL                  | COST                | 168,402.   | 169,602.             |
| TOTAL TO FORM 990-PF, PART II, LINE 13 |                     | 168,402.   | 169,602.             |

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 12

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTEDTHE CLEMENT C. MOORE, II AND ELIZABETH W.Y. MOORE CHARITABLE TRUST  
PO BOX 1058  
CHESTERTOWN, MD 21620TELEPHONE NUMBER

(410)788-5225

FORM AND CONTENT OF APPLICATIONS

SEE ATTACHED APPLICATION - STATEMENT 14

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE

# Statement 14

THE INDIAN POINT FOUNDATION

EIN 52-7059375

1095 Park Avenue, #11A  
New York, New York 10128  
Phone & Fax (212) 722-5464

## APPLICATION FOR GRANT OF FUNDS

Name and Address of Organization:

Date:

Telephone:

Amount Requested:

Specific purpose for which grant is to be used:

Period of time in which it is to be used:

Anticipated benefits:

How does this project fit in with the objective of the organization as a whole:

What other support do you have for this project:

STATEMENT 14

EIN 52-7059395

## ATTACHMENT TO PART IV

| Account Number | Sold Date  | Description               | Quantity | Open Date  | Net Proceeds | Cost Basis | Short Term Gain/Loss | Long Term Gain/Loss | Total Gain/Loss |
|----------------|------------|---------------------------|----------|------------|--------------|------------|----------------------|---------------------|-----------------|
| 18390916       | 6/27/2012  | Credit Suisse GRP         | 23       | Various    | 411 89       | 1,038 22   |                      | (626 33)            | (626 33)        |
| 18390916       | 6/28/2012  | BASF SE ADR               | 6        | 6/28/2012  | 385 65       | 370 68     |                      | 14 97               | 14 97           |
| 18390916       | 6/28/2012  | Schneider Elec            | 42       | 12/11/2009 | 420 83       | 468 30     |                      | (47 47)             | (47 47)         |
| 18390916       | 6/29/2012  | Precision Drilling        | 29       | Various    | 1,036 06     | 1,774 87   | (543 13)             | (195 68)            | (738 81)        |
| 18390916       | 7/19/2012  | Rio Tinto Plc Spon Adr    | 37       | 11/16/2010 | 1,734 58     | 2,450 18   |                      | (715 60)            | (715 60)        |
| 18390916       | 7/27/2012  | Cnooc Limited Adr         | 1        | 6/18/2012  | 196 85       | 201 49     | (4 64)               |                     | (4 64)          |
| 18390916       | 7/27/2012  | Turkiye Garanti Adr       | 251      | 7/21/2010  | 971 65       | 1,255 00   |                      | (283 35)            | (283 35)        |
| 18390916       | 8/8/2012   | Csl Ltd Adr               | 32       | 7/6/2011   | 672 78       | 558 81     |                      | 113 97              | 113 97          |
| 18390916       | 8/16/2012  | Vodafone Group New Adr    | 4        | 12/11/2009 | 117 24       | 92 27      |                      | 24 97               | 24 97           |
| 18390916       | 8/20/2012  | Lvmh Moet New Adr         | 11       | 5/10/2010  | 361 09       | 239 86     |                      | 121 23              | 121 23          |
| 18390916       | 8/20/2012  | Lvmh Moet New Adr         | 31       | 3/23/2011  | 1,017 62     | 944 99     |                      | 72 63               | 72 63           |
| 18390916       | 8/27/2012  | Jupiter Telecommunis Adrf | 1        | 12/11/2009 | 6 72         | 6 07       |                      | 0 65                | 0 65            |
| 18390916       | 9/14/2012  | Toyota Motor Cp Adr Newf  | 9        | 2/7/2012   | 747 96       | 715 43     | 32 53                |                     | 32 53           |
| 18390916       | 9/17/2012  | Reed Elsevier New Adr     | 17       | 12/11/2009 | 662 78       | 530 91     |                      | 131 87              | 131 87          |
| 18390916       | 9/17/2012  | Sage Group Plc Adr        | 20       | 12/11/2009 | 408 52       | 305 00     |                      | 103 52              | 103 52          |
| 18390916       | 9/19/2012  | Tesco Plc Sponsored Adrf  | 29       | 12/11/2009 | 484 08       | 591 31     |                      | (107 23)            | (107 23)        |
| 18390916       | 9/20/2012  | Sodexo Adr                | 5        | 12/11/2009 | 399 96       | 290 75     |                      | 109 21              | 109 21          |
| 18390916       | 9/20/2012  | Softbank Corp Adr         | 33       | 4/4/2012   | 668 07       | 472 48     | 195 59               |                     | 195 59          |
| 18390916       | 9/24/2012  | Bridgestone Cp Ltd Adr    | 20       | 10/7/2011  | 935 99       | 910 42     | 25 57                |                     | 25 57           |
| 18390916       | 9/24/2012  | Bridgestone Cp Ltd Adr    | 11       | 2/28/2012  | 514 79       | 531 43     | (16 64)              |                     | (16 64)         |
| 18390916       | 9/26/2012  | Reed Elsevier New Adr     | 9        | 12/11/2009 | 348 88       | 281 07     |                      | 67 81               | 67 81           |
| 18390916       | 10/8/2012  | Novo-Nordisk A-S Adr      | 3        | 12/11/2009 | 491 59       | 198 06     |                      | 293 53              | 293 53          |
| 18390916       | 10/17/2012 | Vodafone Group New Adr    | 64       | 12/11/2009 | 1,807 44     | 1,476 32   |                      | 331 12              | 331 12          |
| 18390916       | 11/6/2012  | Sage Group Plc Adr        | 23       | 12/11/2009 | 786 42       | 594 75     |                      | 191 67              | 191 67          |
| 18390916       | 11/6/2012  | Sage Group Plc Adr        | 23       | 2/9/2010   | 463 79       | 333 04     |                      | 130 75              | 130 75          |
| 18390916       | 11/9/2012  | Experian Ltd Adr          | 36       | 12/11/2009 | 598 17       | 362 16     |                      | 236 01              | 236 01          |
| 18390916       | 12/5/2012  | S A P Ag Adr              | 13       | 12/11/2009 | 1,030 49     | 581 36     |                      | 449 13              | 449 13          |
| 18390916       | 12/6/2012  | Tesco Plc Sponsored Adrf  | 53       | 12/11/2009 | 862 52       | 1,080 67   |                      | (218 15)            | (218 15)        |
| 18390916       | 12/13/2012 | Fresenius Med Care Adr    | 32       | 12/11/2009 | 1,108 67     | 855 04     |                      | 253 63              | 253 63          |
| 18390916       | 12/18/2012 | Alcatel Lucent Adr        | 162      | 5/27/2010  | 222 20       | 424 42     |                      | (202 22)            | (202 22)        |
| 18390916       | 12/18/2012 | Alcatel Lucent Adr        | 116      | 10/29/2010 | 159 12       | 408 18     |                      | (249 06)            | (249 06)        |
| 18390916       | 12/18/2012 | Alcatel Lucent Adr        | 211      | 11/4/2010  | 289 42       | 681 47     |                      | (392 05)            | (392 05)        |
| 18390916       | 12/18/2012 | Alcatel Lucent Adr        | 64       | 8/12/2011  | 87 79        | 239 16     |                      | (151 37)            | (151 37)        |
| 18390916       | 12/18/2012 | Alcatel Lucent Adr        | 19       | 12/21/2011 | 26 06        | 29 32      | (3 26)               |                     | (3 26)          |
| 18390916       | 12/19/2012 | Goldcorp Inc New          | 32       | 5/16/2011  | 1,160 82     | 1,548 25   |                      | (387 43)            | (387 43)        |
| 18390916       | 12/19/2012 | Goldcorp Inc New          | 20       | 8/5/2011   | 725 50       | 919 12     |                      | (193 62)            | (193 62)        |
| 18390916       | 12/19/2012 | Goldcorp Inc New          | 9        | 9/19/2012  | 326 47       | 420 51     | (94 04)              |                     | (94 04)         |
| 18390916       | 12/21/2012 | Fresenius Med Care Adr    | 10       | 12/11/2009 | 342 26       | 267 20     |                      | 75 06               | 75 06           |
| 18390916       | 12/31/2012 | Alcatel Lucent Adr        | 262      | 12/21/2011 | 350 21       | 404 35     |                      | (54 14)             | (54 14)         |
| 18390916       | 12/31/2012 | Alcatel Lucent Adr        | 217      | 1/9/2012   | 290 06       | 336 35     | (46 29)              |                     | (46 29)         |
| 18390916       | 2/5/2013   | Anheuser-Busch Inbev Adrf | 28       | 12/11/2009 | 2,468 93     | 1,463 84   |                      | 1,005 09            | 1,005 09        |
| 18390916       | 2/5/2013   | Fresenius Med Care Adr    | 20       | 12/11/2009 | 703 46       | 534 40     |                      | 169 06              | 169 06          |
| 18390916       | 2/5/2013   | Li & Fung Ltd Adr         | 423      | 12/13/2012 | 1,117 96     | 1,455 63   | (337 67)             |                     | (337 67)        |
| 18390916       | 2/14/2013  | Vodafone Group New Adr    | 67       | 12/11/2009 | 1,749 50     | 1,545 52   |                      | 203 98              | 203 98          |
| 18390916       | 2/20/2013  | Deutsche Telekom Ag Adrf  | 73       | 4/13/2011  | 793 64       | 1,178 67   |                      | (385 03)            | (385 03)        |
| 18390916       | 2/20/2013  | Deutsche Telekom Ag Adrf  | 70       | 4/28/2011  | 761 03       | 1,160 32   |                      | (399 29)            | (399 29)        |
| 18390916       | 3/12/2013  | Softbank Corp Adr         | 26       | 4/4/2012   | 508 28       | 372 25     | 136 03               |                     | 136 03          |
| 18390916       | 3/13/2013  | Tesco Plc Sponsored Adrf  | 21       | 12/11/2009 | 352 89       | 428 19     |                      | (75 30)             | (75 30)         |
| 18390916       | 3/13/2013  | Tesco Plc Sponsored Adrf  | 32       | 8/10/2011  | 537 73       | 563 20     |                      | (25 47)             | (25 47)         |
| 18390916       | 3/18/2013  | Csl Ltd Adr               | 32       | 7/6/2011   | 995 58       | 558 81     |                      | 436 77              | 436 77          |
| 18390916       | 3/18/2013  | Ecopetrol Sa Adr          | 28       | 6/25/2012  | 1,545 93     | 1,511 02   |                      | 34 91               | 34 91           |
| 18390916       | 3/20/2013  | Experian Ltd Adr          | 39       | 12/11/2009 | 685 47       | 392 34     |                      | 293 13              | 293 13          |
| 18390916       | 3/20/2013  | Informa Plc Adr           | 50       | 11/2/2011  | 765 93       | 579 13     |                      | 186 80              | 186 80          |
| 18390916       | 3/25/2013  | Novo-Nordisk A-S Adr      | 11       | 12/11/2009 | 1,781 29     | 726 22     |                      | 1,055 07            | 1,055 07        |
| 18390916       | 3/26/2013  | Carn Energy Adr New       | 114      | 8/2/2012   | 965 70       | 1,065 15   | (99 45)              |                     | (99 45)         |
| 18390916       | 4/2/2013   | Jupiter Telecommunis Adrf | 226      | 12/11/2009 | 2,973 03     | 2,058 74   |                      | 914 29              | 914 29          |
| 18390916       | 4/2/2013   | Jupiter Telecommunis Adrf | 53       | 12/28/2009 | 701 59       | 539 20     |                      | 162 39              | 162 39          |

## ATTACHMENT TO PART IV

| Account Number              | Sold Date | Description               | Quantity | Open Date  | Net Proceeds | Cost Basis | Short Term Gain/Loss | Long Term Gain/Loss | Total Gain/Loss |
|-----------------------------|-----------|---------------------------|----------|------------|--------------|------------|----------------------|---------------------|-----------------|
| 18390916                    | 4/2/2013  | Jupiter Telecommunis Adrf | 27       | 3/1/2011   | 350.80       | 287.60     |                      | 63.20               | 63.20           |
| 18390916                    | 4/2/2013  | Jupiter Telecommunis Adrf | 13       | 9/29/2011  | 175.40       | 145.54     |                      | 29.86               | 29.86           |
| 18390916                    | 4/2/2013  | Jupiter Telecommunis Adrf | 47       | 8/17/2012  | 613.91       | 464.40     | 149.51               |                     | 149.51          |
| 18390916                    | 4/19/2013 | Utd Overseas Bk Spn Adrf  | 14       | 4/18/2012  | 468.14       | 417.20     |                      | 50.94               | 50.94           |
| 18390916                    | 4/30/2013 | Informa Plc Adr           | 97       | 11/2/2011  | 1,429.53     | 1,123.50   |                      | 306.03              | 306.03          |
| 18390916                    | 4/30/2013 | Shinhan Finl Group Adr    | 26       | 4/27/2011  | 894.66       | 1,185.62   |                      | (290.96)            | (290.96)        |
| 18390916                    | 4/30/2013 | Shinhan Finl Group Adr    | 6        | 6/3/2011   | 206.46       | 284.72     |                      | (78.26)             | (78.26)         |
| 18390916                    | 5/2/2013  | Soc Quimica Miner B Adrf  | 27       | 12/11/2009 | 1,288.60     | 1,067.04   |                      | 221.56              | 221.56          |
| 18390916                    | 5/3/2013  | A S M L Holding Nv New    | 1        | 3/13/2013  | 77.15        | 69.68      | 7.47                 |                     | 7.47            |
| 18390916                    | 5/3/2013  | Akzo Nobel Nv Spon Adr    | 4        | 10/11/2010 | 83.64        | 83.86      |                      | (0.22)              | (0.22)          |
| 18390916                    | 5/3/2013  | Bg Group Plc Adr          | 5        | 2/11/2011  | 88.95        | 119.89     |                      | (30.94)             | (30.94)         |
| 18390916                    | 5/3/2013  | Bunzl Plc Spon Adr New    | 1        | 4/1/2011   | 100.01       | 61.96      |                      | 38.05               | 38.05           |
| 18390916                    | 5/3/2013  | Check Pt Software Tech    | 3        | 8/21/2012  | 142.29       | 151.27     | (8.98)               |                     | (8.98)          |
| 18390916                    | 5/3/2013  | Corus Entertainment Incf  | 4        | 12/18/2009 | 99.85        | 71.53      |                      | 28.32               | 28.32           |
| 18390916                    | 5/3/2013  | Gvaudan Sa Adr            | 3        | 4/12/2011  | 76.44        | 61.90      |                      | 14.54               | 14.54           |
| 18390916                    | 5/3/2013  | Knkkjke Ahold New Adrf    | 10       | 12/11/2009 | 159.30       | 132.10     |                      | 27.20               | 27.20           |
| 18390916                    | 5/3/2013  | Nordea Bk Ab Sweden Adrf  | 8        | 3/23/2011  | 96.00        | 88.43      |                      | 7.57                | 7.57            |
| 18390916                    | 5/3/2013  | Roche Hldg Ltd Spon Adrf  | 3        | 12/11/2009 | 188.73       | 122.22     |                      | 66.51               | 66.51           |
| 18390916                    | 5/3/2013  | S A P Ag Adr              | 1        | 12/11/2009 | 81.50        | 44.72      |                      | 36.78               | 36.78           |
| 18390916                    | 5/3/2013  | Sga Sa Adr                | 4        | 12/17/2009 | 95.72        | 51.20      |                      | 44.52               | 44.52           |
| 18390916                    | 5/3/2013  | Silver Wheaton Corp       | 4        | 12/18/2012 | 97.73        | 143.60     | (45.87)              |                     | (45.87)         |
| 18390916                    | 5/3/2013  | Unilever N V Ny Shs Newf  | 3        | 12/11/2009 | 128.20       | 95.80      |                      | 32.40               | 32.40           |
| 18390916                    | 5/3/2013  | Volkswagen Adr Pldf       | 6        | 1/24/2013  | 83.02        | 97.66      | (14.64)              |                     | (14.64)         |
| 18390916                    | 5/3/2013  | Willis Grp Hldgs Publicf  | 11       | 9/28/2011  | 244.85       | 205.26     |                      | 39.59               | 39.59           |
| 18390916                    | 5/8/2013  | Csl Ltd Adr               | 11       | 7/6/2011   | 333.64       | 192.09     |                      | 141.55              | 141.55          |
| 18390916                    | 5/9/2013  | Cielo S A Adr New         | 0        | 12/12/2012 | 5.52         | 4.51       | 1.01                 |                     | 1.01            |
| 18390916                    | 5/13/2013 | Cognizant Tech Sol Cl A   | 11       | 5/7/2012   | 695.01       | 627.64     |                      | 67.37               | 67.37           |
| 18390916                    | 5/13/2013 | Cognizant Tech Sol Cl A   | 9        | 10/19/2012 | 568.66       | 622.05     | (53.39)              |                     | (53.39)         |
| 18390916                    | 5/20/2013 | Credit Suisse Grp Adr     | 1        | 7/20/2010  | 25.78        | 37.78      |                      | (12.00)             | (12.00)         |
| 18390916                    | 5/30/2013 | Willis Grp Hldgs Publicf  | 27       | 12/11/2009 | 1,076.19     | 715.77     |                      | 360.42              | 360.42          |
| 18390916                    | 5/30/2013 | Willis Grp Hldgs Publicf  | 4        | 9/28/2011  | 159.44       | 136.84     |                      | 22.60               | 22.60           |
| 18390916                    | 6/4/2013  | Rio Tinto Plc Spon Adr    | 25       | 12/18/2012 | 1,097.43     | 1,453.23   | (355.80)             |                     | (355.80)        |
| 18390916                    | 6/11/2013 | Willis Grp Hldgs Publicf  | 47       | 12/11/2009 | 1,850.02     | 1,245.97   |                      | 604.05              | 604.05          |
| 18390916                    | 6/18/2013 | Fresenius Med Care Adr    | 6        | 12/11/2009 | 213.59       | 160.32     |                      | 53.27               | 53.27           |
| 18390916                    | 6/19/2013 | Csl Ltd Adr               | 16       | 2/14/2011  | 569.55       | 501.13     |                      | 68.42               | 68.42           |
| 18390916                    | 6/19/2013 | Csl Ltd Adr               | 12       | 7/6/2011   | 322.48       | 209.56     |                      | 112.92              | 112.92          |
| 18390916                    | 6/19/2013 | Csl Ltd Adr               | 24       | 8/16/2011  | 644.97       | 374.64     |                      | 270.33              | 270.33          |
| 18390916                    | 6/20/2013 | Bhp Billiton Adr New      | 24       | 8/31/2011  | 1,286.74     | 1,632.38   |                      | (345.64)            | (345.64)        |
| 18390916                    | 6/20/2013 | Bhp Billiton Adr New      | 4        | 7/19/2012  | 214.46       | 230.58     | (16.12)              |                     | (16.12)         |
| 18390916                    | 6/21/2013 | Autoliv Inc               | 18       | 7/31/2012  | 1,347.27     | 1,019.54   | 327.73               |                     | 327.73          |
| Total for Account 1839-0916 |           |                           |          |            | 61,440.89    | 57,681.90  | (729.57)             | 4,488.56            | 3,758.99        |
| 90865042                    | 6/29/2012 | Velti Ltd                 | 19       | Various    | 125.46       | 311.70     | (26.01)              |                     | (186.24)        |
| 90865042                    | 6/29/2012 | Sonus Networks Inc        | 83       | Various    | 175.97       | 223.50     | (47.53)              |                     | (47.53)         |
| 90865042                    | 7/2/2012  | Velti Ltd                 | 8        | 7/19/2011  | 114.94       | 352.98     | (238.04)             |                     | (238.04)        |
| 90865042                    | 7/2/2012  | Velti Ltd                 | 12       | 8/15/2011  | 76.62        | 160.88     | (84.26)              |                     | (84.26)         |
| 90865042                    | 7/5/2012  | Velti Ltd                 | 12       | 8/15/2011  | 80.86        | 160.87     | (80.01)              |                     | (80.01)         |
| 90865042                    | 7/5/2012  | Velti Ltd                 | 21       | 1/18/2012  | 141.50       | 167.98     | (26.48)              |                     | (26.48)         |
| 90865042                    | 7/5/2012  | Velti Ltd                 | 7        | 2/13/2012  | 47.17        | 74.76      | (27.59)              |                     | (27.59)         |
| 90865042                    | 7/10/2012 | Actuant Corp Cl A New     | 12       | 3/17/2011  | 329.36       | 314.67     |                      | 14.69               | 14.69           |
| 90865042                    | 7/10/2012 | Myriad Genetics Inc       | 13       | 10/12/2010 | 332.06       | 232.61     |                      | 99.45               | 99.45           |
| 90865042                    | 7/10/2012 | Nuvasive Inc New          | 8        | 4/27/2011  | 201.44       | 229.00     | (27.56)              |                     | (27.56)         |
| 90865042                    | 7/10/2012 | Orient Express Hotel A    | 16       | 3/29/2010  | 134.61       | 216.66     | (82.05)              |                     | (82.05)         |
| 90865042                    | 7/10/2012 | Orient Express Hotel A    | 17       | 4/5/2010   | 143.01       | 255.60     | (112.59)             |                     | (112.59)        |
| 90865042                    | 7/13/2012 | Hexcel Corp New           | 4        | 2/25/2011  | 101.51       | 74.42      |                      | 27.09               | 27.09           |
| 90865042                    | 7/13/2012 | Hexcel Corp New           | 10       | 4/6/2011   | 253.78       | 199.52     |                      | 54.26               | 54.26           |

THE CLEMENT C MOORE, II AND ELIZABETH W Y MOORE CHARITABLE TRUST  
 EIN 52-7059395  
 ATTACHMENT TO PART IV

| Account Number | Sold Date | Description              | Quantity | Open Date  | Net Proceeds | Cost Basis | Short Term Gain/Loss | Long Term Gain/Loss | Total Gain/Loss |
|----------------|-----------|--------------------------|----------|------------|--------------|------------|----------------------|---------------------|-----------------|
| 90865042       | 7/16/2012 | Ilex Corp                | 3        | 6/14/2010  | 109 34       | 94 45      |                      | 14 89               | 14 89           |
| 90865042       | 7/16/2012 | Ilex Corp                | 7        | 7/1/2010   | 255 12       | 197 30     |                      | 57 82               | 57 82           |
| 90865042       | 7/18/2012 | Dana Holding Corporation | 21       | 5/20/2010  | 250 87       | 214 78     |                      | 36 09               | 36 09           |
| 90865042       | 7/18/2012 | Dana Holding Corporation | 17       | 6/29/2010  | 203 10       | 172 88     |                      | 30 22               | 30 22           |
| 90865042       | 7/19/2012 | Texas Capital Bancshares | 3        | 7/6/2010   | 126 56       | 51 27      |                      | 75 29               | 75 29           |
| 90865042       | 7/19/2012 | Texas Capital Bancshares | 13       | 7/30/2010  | 548 41       | 218 43     |                      | 329 98              | 329 98          |
| 90865042       | 7/20/2012 | Winttrust Financial Corp | 9        | 12/11/2009 | 332 88       | 246 51     |                      | 86 37               | 86 37           |
| 90865042       | 7/25/2012 | Cadence Design System    | 27       | 8/17/2011  | 307 70       | 242 26     | 65 44                |                     | 65 44           |
| 90865042       | 7/25/2012 | Hain Celestial Group Inc | 6        | 12/11/2009 | 320 94       | 94 61      |                      | 226 33              | 226 33          |
| 90865042       | 7/25/2012 | Oasis Petroleum Inc      | 11       | 10/10/2011 | 284 21       | 263 15     | 21 06                |                     | 21 06           |
| 90865042       | 7/25/2012 | Pioneer Drilling Co      | 22       | 6/1/2011   | 149 64       | 321 28     |                      | (171 64)            | (171 64)        |
| 90865042       | 7/25/2012 | Pioneer Drilling Co      | 11       | 6/2/2011   | 74 83        | 157 70     |                      | (82 87)             | (82 87)         |
| 90865042       | 7/25/2012 | Texas Capital Bancshares | 3        | 7/30/2010  | 121 18       | 50 41      |                      | 70 77               | 70 77           |
| 90865042       | 7/25/2012 | Texas Capital Bancshares | 4        | 1/18/2011  | 161 56       | 92 74      |                      | 68 82               | 68 82           |
| 90865042       | 7/26/2012 | Trinity Industries Inc   | 6        | 1/11/2011  | 161 34       | 164 64     |                      | (3 30)              | (3 30)          |
| 90865042       | 7/26/2012 | Trinity Industries Inc   | 6        | 2/2/2011   | 161 34       | 174 07     |                      | (12 73)             | (12 73)         |
| 90865042       | 7/30/2012 | Kaiser Aluminum Corp New | 7        | 1/27/2011  | 384 65       | 340 33     |                      | 44 32               | 44 32           |
| 90865042       | 7/30/2012 | Kaiser Aluminum Corp New | 1        | 1/28/2011  | 54 95        | 47 98      |                      | 6 97                | 6 97            |
| 90865042       | 7/30/2012 | Velti Ltd                | 4        | 2/13/2012  | 21 90        | 42 72      | (20 82)              |                     | (20 82)         |
| 90865042       | 7/30/2012 | Velti Ltd                | 14       | 2/21/2012  | 76 66        | 140 66     | (64 00)              |                     | (64 00)         |
| 90865042       | 7/31/2012 | Velti Ltd                | 22       | 2/22/2012  | 120 03       | 214 97     | (94 94)              |                     | (94 94)         |
| 90865042       | 8/1/2012  | Orient Express Hotel A   | 4        | 4/5/2010   | 35 02        | 60 14      |                      | (25 12)             | (25 12)         |
| 90865042       | 8/1/2012  | Orient Express Hotel A   | 17       | 4/29/2010  | 148 85       | 243 13     |                      | (94 28)             | (94 28)         |
| 90865042       | 8/1/2012  | R F Micro Devices Inc    | 88       | 1/25/2012  | 330 44       | 431 85     | (101 41)             |                     | (101 41)        |
| 90865042       | 8/1/2012  | Sinclair Broadcast Grp A | 10       | 9/3/2010   | 112 33       | 68 49      |                      | 43 84               | 43 84           |
| 90865042       | 8/1/2012  | Sinclair Broadcast Grp A | 21       | 9/27/2010  | 235 89       | 148 44     |                      | 87 45               | 87 45           |
| 90865042       | 8/2/2012  | Avis Budget Group Inc    | 12       | 7/8/2010   | 184 46       | 117 11     |                      | 67 35               | 67 35           |
| 90865042       | 8/2/2012  | Avis Budget Group Inc    | 10       | 7/22/2010  | 153 73       | 104 36     |                      | 49 37               | 49 37           |
| 90865042       | 8/3/2012  | Graftech International   | 11       | 6/29/2010  | 120 67       | 160 73     |                      | (40 06)             | (40 06)         |
| 90865042       | 8/3/2012  | Graftech International   | 14       | 9/2/2010   | 153 58       | 213 64     |                      | (60 06)             | (60 06)         |
| 90865042       | 8/3/2012  | Graftech International   | 7        | 10/5/2010  | 76 79        | 113 44     |                      | (36 65)             | (36 65)         |
| 90865042       | 8/3/2012  | Solar Capital Ltd        | 16       | 11/4/2010  | 357 73       | 374 31     |                      | (16 58)             | (16 58)         |
| 90865042       | 8/7/2012  | Ciena Corp New           | 1        | 10/26/2011 | 17 90        | 12 17      | 5 73                 |                     | 5 73            |
| 90865042       | 8/7/2012  | Ciena Corp New           | 19       | 10/27/2011 | 340 18       | 257 41     | 82 77                |                     | 82 77           |
| 90865042       | 8/7/2012  | Spirit Aerosystems Hldgs | 14       | 10/25/2011 | 349 09       | 227 32     | 121 77               |                     | 121 77          |
| 90865042       | 8/8/2012  | Oasis Petroleum Inc      | 2        | 10/10/2011 | 58 54        | 47 84      | 10 70                |                     | 10 70           |
| 90865042       | 8/8/2012  | Pioneer Drilling Co      | 5        | 10/28/2011 | 146 35       | 154 46     | (8 11)               |                     | (8 11)          |
| 90865042       | 8/8/2012  | Pioneer Drilling Co      | 18       | 6/2/2011   | 145 55       | 258 06     |                      | (112 51)            | (112 51)        |
| 90865042       | 8/8/2012  | Western Alliance Bancorp | 2        | 6/16/2011  | 16 17        | 26 25      |                      | (10 08)             | (10 08)         |
| 90865042       | 8/8/2012  | Western Alliance Bancorp | 13       | 8/12/2010  | 124 39       | 91 06      | 33 33                |                     | 33 33           |
| 90865042       | 8/8/2012  | Western Alliance Bancorp | 11       | 8/19/2010  | 105 27       | 76 67      | 28 60                |                     | 28 60           |
| 90865042       | 8/9/2012  | Gaylord Entertmt Corp    | 3        | 8/25/2010  | 28 71        | 18 99      | 9 72                 |                     | 9 72            |
| 90865042       | 8/9/2012  | Robbins & Myers Inc      | 8        | 12/11/2009 | 319 89       | 145 76     |                      | 174 13              | 174 13          |
| 90865042       | 8/9/2012  | Robbins & Myers Inc      | 3        | 5/24/2011  | 178 13       | 122 76     |                      | 55 37               | 55 37           |
| 90865042       | 8/9/2012  | Robbins & Myers Inc      | 9        | 5/27/2011  | 534 39       | 391 18     | 143 21               |                     | 143 21          |
| 90865042       | 8/9/2012  | Robbins & Myers Inc      | 9        | 6/17/2011  | 534 38       | 380 38     | 154 00               |                     | 154 00          |
| 90865042       | 8/9/2012  | Treehouse Foods Inc      | 6        | 3/27/2012  | 283 10       | 353 27     |                      | (70 17)             | (70 17)         |
| 90865042       | 8/10/2012 | Spirit Aerosystems Hldgs | 5        | 10/21/2011 | 125 67       | 80 61      | 45 06                |                     | 45 06           |
| 90865042       | 8/10/2012 | Spirit Aerosystems Hldgs | 9        | 10/25/2011 | 226 20       | 146 71     | 79 49                |                     | 79 49           |
| 90865042       | 8/13/2012 | Jds Uniphase Corp New    | 15       | 10/13/2011 | 165 42       | 158 26     | 7 16                 |                     | 7 16            |
| 90865042       | 8/13/2012 | Jds Uniphase Corp New    | 15       | 10/14/2011 | 165 42       | 160 64     | 4 78                 |                     | 4 78            |
| 90865042       | 8/16/2012 | Icon Plc Spon Adr        | 4        | 4/6/2010   | 92 71        | 108 32     | (15 61)              |                     | (15 61)         |
| 90865042       | 8/16/2012 | Icon Plc Spon Adr        | 7        | 10/12/2010 | 162 24       | 147 14     | 15 10                |                     | 15 10           |
| 90865042       | 8/17/2012 | Icon Plc Spon Adr        | 4        | 10/12/2010 | 91 48        | 84 08      | 7 40                 |                     | 7 40            |
| 90865042       | 8/21/2012 | Avis Budget Group Inc    | 14       | 7/22/2010  | 226 35       | 146 10     | 80 25                |                     | 80 25           |
| 90865042       | 8/21/2012 | Avis Budget Group Inc    | 8        | 7/13/2011  | 129 35       | 131 22     | (1 87)               |                     | (1 87)          |
| 90865042       | 8/21/2012 | Ciena Corp New           | 4        | 10/27/2011 | 70 53        | 54 19      | 16 34                |                     | 16 34           |

THE CLEMENT C MOORE, II AND ELIZABETH W Y MOORE CHARITABLE TRUST  
EIN 52-7059395  
ATTACHMENT TO PART IV

| Account Number | Sold Date  | Description              | Quantity | Open Date  | Net Proceeds | Cost Basis | Short Term Gain/Loss | Long Term Gain/Loss | Total Gain/Loss |
|----------------|------------|--------------------------|----------|------------|--------------|------------|----------------------|---------------------|-----------------|
| 90865042       | 8/21/2012  | Ciena Corp New           | 16       | 12/22/2011 | 282 09       | 191 99     | 90 10                |                     | 90 10           |
| 90865042       | 8/21/2012  | Kenexa Corporation       | 9        | 8/10/2010  | 296 70       | 183 78     |                      | 183 78              | 183 78          |
| 90865042       | 8/21/2012  | Kenexa Corporation       | 2        | 11/18/2010 | 65 93        | 38 93      |                      | 27 00               | 27 00           |
| 90865042       | 8/23/2012  | Hain Celestial Group Inc | 6        | 12/11/2009 | 394 25       | 94 61      |                      | 299 64              | 299 64          |
| 90865042       | 8/24/2012  | Hain Celestial Group Inc | 5        | 12/11/2009 | 344 71       | 78 84      |                      | 265 87              | 265 87          |
| 90865042       | 8/27/2012  | Eagle Materials Inc      | 16       | 6/15/2012  | 682 09       | 506 03     | 176 06               |                     | 176 06          |
| 90865042       | 8/27/2012  | Kenexa Corporation       | 17       | 11/18/2010 | 778 33       | 330 91     |                      | 447 42              | 447 42          |
| 90865042       | 8/27/2012  | Kenexa Corporation       | 12       | 6/27/2011  | 549 41       | 292 24     |                      | 257 17              | 257 17          |
| 90865042       | 8/29/2012  | Avis Budget Group Inc    | 18       | 7/13/2011  | 299 43       | 295 26     |                      | 4 17                | 4 17            |
| 90865042       | 8/29/2012  | Avis Budget Group Inc    | 11       | 7/22/2011  | 182 99       | 180 57     |                      | 2 42                | 2 42            |
| 90865042       | 8/29/2012  | Kenexa Corporation       | 4        | 6/27/2011  | 183 16       | 97 41      |                      | 85 75               | 85 75           |
| 90865042       | 8/29/2012  | Kenexa Corporation       | 13       | 2/7/2012   | 595 26       | 380 51     | 306 32               |                     | 306 32          |
| 90865042       | 8/29/2012  | Kenexa Corporation       | 15       | 2/2/2012   | 686 83       | 363 23     | 232 03               |                     | 232 03          |
| 90865042       | 8/31/2012  | Texas Capital Bancshares | 8        | 1/18/2011  | 365 23       | 185 47     |                      | 179 76              | 179 76          |
| 90865042       | 9/5/2012   | Avis Budget Group Inc    | 12       | 7/22/2011  | 194 80       | 196 99     | (2 19)               |                     | (2 19)          |
| 90865042       | 9/5/2012   | Avis Budget Group Inc    | 29       | 2/16/2012  | 470 76       | 361 25     | 109 51               |                     | 109 51          |
| 90865042       | 9/11/2012  | Erchip Semiconductor     | 6        | 8/7/2012   | 203 91       | 239 40     | (35 49)              |                     | (35 49)         |
| 90865042       | 9/12/2012  | Erchip Semiconductor     | 5        | 8/7/2012   | 171 06       | 199 50     | (28 44)              |                     | (28 44)         |
| 90865042       | 9/13/2012  | Actuant Corp CI A New    | 2        | 3/17/2011  | 57 70        | 52 45      | 5 25                 |                     | 5 25            |
| 90865042       | 9/13/2012  | Actuant Corp CI A New    | 4        | 3/18/2011  | 115 40       | 107 09     | 8 31                 |                     | 8 31            |
| 90865042       | 9/13/2012  | Brookdale Senior Living  | 10       | 5/24/2010  | 229 19       | 181 47     | 47 72                |                     | 47 72           |
| 90865042       | 9/13/2012  | Eagle Materials Inc      | 5        | 6/15/2012  | 228 94       | 158 13     | 70 81                |                     | 70 81           |
| 90865042       | 9/13/2012  | Eagle Materials Inc      | 3        | 6/20/2012  | 137 37       | 101 18     | 36 19                |                     | 36 19           |
| 90865042       | 9/13/2012  | Erchip Semiconductor     | 7        | 8/7/2012   | 237 09       | 279 31     | (42 22)              |                     | (42 22)         |
| 90865042       | 9/13/2012  | Erchip Semiconductor     | 10       | 8/8/2012   | 338 69       | 321 05     | 17 64                |                     | 17 64           |
| 90865042       | 9/13/2012  | Home Properties Inc      | 6        | 8/9/2011   | 380 73       | 337 99     | 42 74                |                     | 42 74           |
| 90865042       | 9/13/2012  | Kilroy Realty Corp       | 2        | 8/18/2011  | 96 44        | 69 31      | 27 13                |                     | 27 13           |
| 90865042       | 9/13/2012  | Kilroy Realty Corp       | 3        | 8/22/2011  | 144 66       | 102 43     | 42 23                |                     | 42 23           |
| 90865042       | 9/14/2012  | Atwood Oceanics Inc      | 7        | 10/10/2011 | 343 59       | 260 59     | 83 00                |                     | 83 00           |
| 90865042       | 9/17/2012  | Avista Corporation       | 11       | 9/12/2011  | 276 98       | 261 00     | 15 98                |                     | 15 98           |
| 90865042       | 9/17/2012  | Avista Corporation       | 12       | 9/26/2011  | 302 17       | 283 72     | 18 45                |                     | 18 45           |
| 90865042       | 9/17/2012  | Avista Corporation       | 11       | 10/5/2011  | 276 98       | 262 38     | 14 60                |                     | 14 60           |
| 90865042       | 9/21/2012  | Ids Uniphase Corp New    | 29       | 10/14/2011 | 376 01       | 310 58     | 65 43                |                     | 65 43           |
| 90865042       | 9/24/2012  | Texas Capital Bancshares | 4        | 1/18/2011  | 191 96       | 92 73      | 99 23                |                     | 99 23           |
| 90865042       | 9/24/2012  | Texas Capital Bancshares | 3        | 8/11/2011  | 143 98       | 72 39      | 71 59                |                     | 71 59           |
| 90865042       | 9/25/2012  | Texas Capital Bancshares | 11       | 8/11/2011  | 537 27       | 265 43     | 271 84               |                     | 271 84          |
| 90865042       | 9/27/2012  | Treehouse Foods Inc      | 7        | 3/27/2012  | 368 25       | 412 15     | (43 90)              |                     | (43 90)         |
| 90865042       | 9/27/2012  | Treehouse Foods Inc      | 5        | 4/2/2012   | 263 04       | 295 37     | (32 33)              |                     | (32 33)         |
| 90865042       | 10/1/2012  | Dana Holding Corporation | 10       | 6/29/2010  | 124 73       | 101 70     | 23 03                |                     | 23 03           |
| 90865042       | 10/1/2012  | Dana Holding Corporation | 14       | 1/25/2011  | 174 62       | 250 18     | (75 56)              |                     | (75 56)         |
| 90865042       | 10/1/2012  | Dana Holding Corporation | 8        | 1/26/2011  | 99 78        | 146 79     | (47 01)              |                     | (47 01)         |
| 90865042       | 10/1/2012  | Treehouse Foods Inc      | 21       | 8/16/2011  | 261 93       | 288 84     | (26 91)              |                     | (26 91)         |
| 90865042       | 10/1/2012  | Treehouse Foods Inc      | 1        | 4/2/2012   | 52 91        | 59 07      | (6 16)               |                     | (6 16)          |
| 90865042       | 10/1/2012  | Treehouse Foods Inc      | 6        | 4/26/2012  | 317 43       | 352 16     | (34 73)              |                     | (34 73)         |
| 90865042       | 10/1/2012  | Treehouse Foods Inc      | 5        | 5/23/2012  | 264 53       | 276 90     | (12 37)              |                     | (12 37)         |
| 90865042       | 10/5/2012  | Nuvasive Inc New         | 3        | 4/27/2011  | 43 94        | 85 87      | (41 93)              |                     | (41 93)         |
| 90865042       | 10/5/2012  | Nuvasive Inc New         | 21       | 1/11/2012  | 307 53       | 298 84     | 8 69                 |                     | 8 69            |
| 90865042       | 10/8/2012  | Nuvasive Inc New         | 33       | 1/11/2012  | 468 48       | 469 60     | (1 12)               |                     | (1 12)          |
| 90865042       | 10/8/2012  | Nuvasive Inc New         | 17       | 8/16/2012  | 241 33       | 357 29     | (115 96)             |                     | (115 96)        |
| 90865042       | 10/10/2012 | Home Properties Inc      | 4        | 8/9/2011   | 237 39       | 225 32     | 12 07                |                     | 12 07           |
| 90865042       | 10/10/2012 | Home Properties Inc      | 3        | 8/10/2011  | 178 05       | 175 70     | 2 35                 |                     | 2 35            |
| 90865042       | 10/11/2012 | Genesee & Wyoming CI A   | 4        | 11/30/2011 | 279 28       | 243 84     | 35 44                |                     | 35 44           |
| 90865042       | 10/11/2012 | Kaiser Aluminum Corp New | 4        | 1/28/2011  | 227 35       | 191 93     | 35 42                |                     | 35 42           |
| 90865042       | 10/12/2012 | Hain Celestial Group Inc | 3        | 12/11/2009 | 177 62       | 47 30      | 130 32               |                     | 130 32          |
| 90865042       | 10/12/2012 | Hain Celestial Group Inc | 3        | 8/18/2011  | 177 63       | 88 10      | 89 53                |                     | 89 53           |
| 90865042       | 10/12/2012 | Kaiser Aluminum Corp New | 2        | 1/28/2011  | 112 00       | 95 96      | 16 04                |                     | 16 04           |
| 90865042       | 10/15/2012 | Ids Uniphase Corp New    | 9        | 10/14/2011 | 92 60        | 96 39      | (3 79)               |                     | (3 79)          |
| 90865042       | 10/15/2012 | Ids Uniphase Corp New    | 29       | 10/24/2011 | 298 39       | 316 94     | (18 55)              |                     | (18 55)         |

## ATTACHMENT TO PART IV

| Account Number | Sold Date  | Description              | Quantity | Open Date    | Net Proceeds | Cost Basis | Short Term Gain/Loss | Long Term Gain/Loss | Total Gain/Loss |
|----------------|------------|--------------------------|----------|--------------|--------------|------------|----------------------|---------------------|-----------------|
| 90865042       | 10/15/2012 | Jds Uniphase Corp New    | 27       | 10/31/2011   | 277 80       | 329 65     | (51 85)              |                     | (51 85)         |
| 90865042       | 10/15/2012 | Jds Uniphase Corp New    | 4        | 11/1/2011    | 41 16        | 46 09      | (4 93)               |                     | (4 93)          |
| 90865042       | 10/16/2012 | Kaiser Aluminum Corp New | 6        | 1/28/2011    | 349 24       | 287 89     |                      | 61 35               | 61 35           |
| 90865042       | 10/19/2012 | Riverbed Technology Inc  | 19       | 6/1/2012     | 441 34       | 301 18     | 140 16               |                     | 140 16          |
| 90865042       | 10/25/2012 | Pinnacle Entertainment   | 11       | 12/11/2009   | 142 85       | 111 97     |                      | 30 88               | 30 88           |
| 90865042       | 10/26/2012 | Bally Technologies Inc   | 8        | 12/11/2009   | 397 44       | 332 40     |                      | 65 04               | 65 04           |
| 90865042       | 10/26/2012 | Cavium Inc               | 12       | 8/17/2011    | 358 70       | 357 40     |                      | 1 30                | 1 30            |
| 90865042       | 10/26/2012 | Cavium Inc               | 2        | 8/19/2011    | 59 78        | 52 21      |                      | 7 57                | 7 57            |
| 90865042       | 10/26/2012 | PerkinElmer Inc          | 13       | 8/10/2011    | 401 50       | 288 74     |                      | 112 76              | 112 76          |
| 90865042       | 10/26/2012 | Spirit Aerosystems Hldgs | 65 31    | 4 10/25/2011 | 59 31        | 65 21      |                      | (5 90)              | (5 90)          |
| 90865042       | 10/26/2012 | Spirit Aerosystems Hldgs | 18       | 11/2/2011    | 266 89       | 304 93     | (38 04)              |                     | (38 04)         |
| 90865042       | 10/26/2012 | Spirit Aerosystems Hldgs | 16       | 11/14/2011   | 237 23       | 315 65     | (78 42)              |                     | (78 42)         |
| 90865042       | 10/26/2012 | Spirit Aerosystems Hldgs | 13       | 6/14/2012    | 192 74       | 306 21     | (113 47)             |                     | (113 47)        |
| 90865042       | 10/31/2012 | Kilroy Realty Corp       | 8        | 8/22/2011    | 351 42       | 273 16     |                      | 78 26               | 78 26           |
| 90865042       | 11/6/2012  | Icon Plc Spon Adr        | 1        | 10/12/2010   | 26 38        | 21 02      |                      | 5 36                | 5 36            |
| 90865042       | 11/6/2012  | Icon Plc Spon Adr        | 8        | 10/14/2010   | 210 99       | 166 81     |                      | 44 18               | 44 18           |
| 90865042       | 11/6/2012  | Myriad Genetics Inc      | 13       | 10/12/2010   | 386 90       | 232 61     |                      | 154 29              | 154 29          |
| 90865042       | 11/7/2012  | Brookdale Senior Living  | 5        | 5/24/2010    | 117 88       | 90 74      |                      | 27 14               | 27 14           |
| 90865042       | 11/7/2012  | Brookdale Senior Living  | 11       | 6/4/2010     | 259 34       | 181 99     |                      | 77 35               | 77 35           |
| 90865042       | 11/7/2012  | Buffalo Wild Wings Inc   | 5        | 2/3/2012     | 372 64       | 353 25     | 19 39                |                     | 19 39           |
| 90865042       | 11/7/2012  | Riverbed Technology Inc  | 1        | 6/1/2012     | 18 10        | 15 85      | 2 25                 |                     | 2 25            |
| 90865042       | 11/7/2012  | Riverbed Technology Inc  | 18       | 6/5/2012     | 325 85       | 286 38     |                      | 39 47               | 39 47           |
| 90865042       | 11/9/2012  | Triquint Semiconductor   | 81       | 9/16/2011    | 372 06       | 480 31     | (108 25)             |                     | (108 25)        |
| 90865042       | 11/14/2012 | Woodward Inc             | 7        | 11/12/2010   | 246 68       | 233 96     |                      | 12 72               | 12 72           |
| 90865042       | 11/14/2012 | Woodward Inc             | 3        | 11/18/2010   | 105 72       | 93 70      |                      | 12 02               | 12 02           |
| 90865042       | 11/15/2012 | Eagle Materials Inc      | 6        | 6/20/2012    | 304 37       | 202 37     | 102 00               |                     | 102 00          |
| 90865042       | 11/15/2012 | Myriad Genetics Inc      | 11       | 10/12/2010   | 196 82       | 143 91     |                      | 52 91               | 52 91           |
| 90865042       | 11/16/2012 | Pinnacle Entertainment   | 11       | 12/11/2009   | 141 73       | 111 97     |                      | 29 76               | 29 76           |
| 90865042       | 11/19/2012 | Chemtura Corp New        | 2        | 12/9/2010    | 385 68       | 312 00     |                      | 73 68               | 73 68           |
| 90865042       | 11/20/2012 | D S W Inc                | 2        | 12/6/2011    | 135 25       | 95 11      |                      | 40 14               | 40 14           |
| 90865042       | 11/20/2012 | D S W Inc                | 3        | 1/10/2012    | 202 87       | 143 91     | 58 96                |                     | 58 96           |
| 90865042       | 11/29/2012 | Chemtura Corp New        | 17       | 12/9/2010    | 340 75       | 265 20     |                      | 75 55               | 75 55           |
| 90865042       | 11/30/2012 | U S O Corporation New    | 15       | 8/27/2012    | 398 86       | 310 67     | 88 19                |                     | 88 19           |
| 90865042       | 12/3/2012  | Actuant Corp Cl A New    | 9        | 3/18/2011    | 256 50       | 240 95     |                      | 15 55               | 15 55           |
| 90865042       | 12/3/2012  | Actuant Corp Cl A New    | 4        | 4/21/2011    | 114 01       | 111 06     |                      | 2 95                | 2 95            |
| 90865042       | 12/3/2012  | Graftech International   | 12       | 10/5/2010    | 112 49       | 194 48     | (81 99)              |                     | (81 99)         |
| 90865042       | 12/3/2012  | Graftech International   | 18       | 10/18/2010   | 168 74       | 301 95     | (133 21)             |                     | (133 21)        |
| 90865042       | 12/3/2012  | Graftech International   | 18       | 3/16/2011    | 168 73       | 360 85     | (192 12)             |                     | (192 12)        |
| 90865042       | 12/3/2012  | Graftech International   | 13       | 6/9/2011     | 121 87       | 252 08     | (130 21)             |                     | (130 21)        |
| 90865042       | 12/3/2012  | Kodiak Oil & Gas Corp    | 60       | 2/24/2012    | 504 30       | 635 86     | (131 56)             |                     | (131 56)        |
| 90865042       | 12/4/2012  | Graftech International   | 7        | 6/9/2011     | 64 82        | 135 73     | (70 91)              |                     | (70 91)         |
| 90865042       | 12/4/2012  | Graftech International   | 22       | 9/22/2011    | 203 72       | 275 37     | (71 65)              |                     | (71 65)         |
| 90865042       | 12/4/2012  | Graftech International   | 30       | 2/23/2012    | 277 80       | 400 15     | (122 35)             |                     | (122 35)        |
| 90865042       | 12/5/2012  | Ciena Corp New           | 10       | 12/22/2011   | 148 71       | 120 00     | 28 71                |                     | 28 71           |
| 90865042       | 12/5/2012  | Ciena Corp New           | 15       | 1/5/2012     | 223 05       | 194 41     | 28 64                |                     | 28 64           |
| 90865042       | 12/5/2012  | Orient Express Hotel A   | 7        | 4/29/2010    | 85 14        | 100 11     | (14 97)              |                     | (14 97)         |
| 90865042       | 12/5/2012  | Orient Express Hotel A   | 23       | 6/15/2010    | 279 73       | 214 82     | 64 91                |                     | 64 91           |
| 90865042       | 12/11/2012 | Ryman Hospitality Ppty's | 10       | 12/11/2009   | 368 16       | 182 20     | 185 96               |                     | 185 96          |
| 90865042       | 12/12/2012 | Teradyne Incorporated    | 25       | 8/15/2011    | 412 75       | 306 88     | 105 87               |                     | 105 87          |
| 90865042       | 12/14/2012 | Chemtura Corp New        | 5        | 12/9/2010    | 102 98       | 78 00      | 24 98                |                     | 24 98           |
| 90865042       | 12/14/2012 | Chemtura Corp New        | 15       | 12/17/2010   | 308 91       | 231 00     | 77 91                |                     | 77 91           |
| 90865042       | 12/14/2012 | Genesee & Wyoming Cl A   | 2        | 11/30/2011   | 148 37       | 121 92     | 26 45                |                     | 26 45           |
| 90865042       | 12/14/2012 | Genesee & Wyoming Cl A   | 4        | 12/2/2011    | 296 73       | 239 94     | 56 79                |                     | 56 79           |
| 90865042       | 12/14/2012 | Icon Plc Spon Adr        | 7        | 10/14/2010   | 197 59       | 145 95     | 51 64                |                     | 51 64           |
| 90865042       | 12/14/2012 | Icon Plc Spon Adr        | 6        | 10/21/2010   | 169 37       | 124 33     | 45 04                |                     | 45 04           |
| 90865042       | 12/14/2012 | Idex Corp                | 1        | 7/1/2010     | 45 27        | 28 19      | 17 08                |                     | 17 08           |
| 90865042       | 12/14/2012 | Idex Corp                | 5        | 7/13/2010    | 226 32       | 152 08     | 74 24                |                     | 74 24           |
| 90865042       | 12/14/2012 | Kilroy Realty Corp       | 4        | 8/22/2011    | 184 60       | 136 58     | 48 02                |                     | 48 02           |

| Account Number | Sold Date  | Description              | Quantity | Open Date  | Net Proceeds | Cost Basis | Short Term Gain/Loss | Long Term Gain/Loss | Total Gain/Loss |
|----------------|------------|--------------------------|----------|------------|--------------|------------|----------------------|---------------------|-----------------|
| 90865042       | 12/14/2012 | Kilroy Realty Corp       | 9        | 10/6/2011  | 415 36       | 277 38     |                      | 137 98              | 137 98          |
| 90865042       | 12/14/2012 | Kilroy Realty Corp       | 7        | 2/9/2012   | 323 05       | 301 75     | 21 30                |                     | 21 30           |
| 90865042       | 12/14/2012 | Kilroy Realty Corp       | 2        | 8/8/2012   | 92 30        | 92 95      | (0 65)               |                     | (0 65)          |
| 90865042       | 12/14/2012 | Trinity Industries Inc   | 7        | 2/2/2011   | 243 22       | 203 08     |                      | 40 14               | 40 14           |
| 90865042       | 12/14/2012 | Trinity Industries Inc   | 3        | 2/10/2011  | 104 24       | 89 44      |                      | 14 80               | 14 80           |
| 90865042       | 12/14/2012 | Wintrust Financial Corp  | 10       | 12/11/2009 | 357 40       | 273 90     |                      | 83 50               | 83 50           |
| 90865042       | 12/19/2012 | Alterra Capital Hldgs    | 28       | 7/17/2012  | 801 61       | 670 24     | 131 37               |                     | 131 37          |
| 90865042       | 12/21/2012 | Pinnacle Entertainment   | 51       | 12/11/2009 | 795 72       | 519 11     |                      | 276 61              | 276 61          |
| 90865042       | 12/28/2012 | Hercules Offshore Inc    | 64       | 6/13/2011  | 382 24       | 350 08     |                      | 32 16               | 32 16           |
| 90865042       | 12/28/2012 | Sinclair Broadcast Grp A | 7        | 9/27/2010  | 86 68        | 49 48      |                      | 37 20               | 37 20           |
| 90865042       | 12/28/2012 | Sinclair Broadcast Grp A | 17       | 10/14/2010 | 210 48       | 142 21     |                      | 68 27               | 68 27           |
| 90865042       | 12/28/2012 | Sinclair Broadcast Grp A | 3        | 10/19/2010 | 37 15        | 24 65      |                      | 12 50               | 12 50           |
| 90865042       | 12/31/2012 | Hercules Offshore Inc    | 62       | 6/13/2011  | 375 37       | 339 13     |                      | 36 24               | 36 24           |
| 90865042       | 1/2/2013   | Hercules Offshore Inc    | 3        | 6/13/2011  | 18 91        | 16 41      |                      | 2 50                | 2 50            |
| 90865042       | 1/2/2013   | Hercules Offshore Inc    | 38       | 6/14/2011  | 239 46       | 205 78     |                      | 33 68               | 33 68           |
| 90865042       | 1/7/2013   | Actuant Corp Cl A New    | 10       | 4/21/2011  | 281 68       | 277 66     |                      | 4 02                | 4 02            |
| 90865042       | 1/7/2013   | Actuant Corp Cl A New    | 14       | 5/2/2011   | 394 38       | 391 40     |                      | 2 98                | 2 98            |
| 90865042       | 1/15/2013  | Buffalo Wild Wings Inc   | 13       | 7/21/2011  | 366 19       | 347 74     |                      | 18 45               | 18 45           |
| 90865042       | 1/15/2013  | Buffalo Wild Wings Inc   | 5        | 2/3/2012   | 373 98       | 355 06     | 18 92                |                     | 18 92           |
| 90865042       | 1/17/2013  | Clearwater Paper Corp    | 3        | 2/7/2012   | 224 40       | 208 80     | 15 60                |                     | 15 60           |
| 90865042       | 1/17/2013  | Clearwater Paper Corp    | 5        | 3/21/2011  | 221 80       | 190 50     |                      | 31 30               | 31 30           |
| 90865042       | 1/17/2013  | Clearwater Paper Corp    | 5        | 8/12/2011  | 221 81       | 177 05     |                      | 44 76               | 44 76           |
| 90865042       | 1/18/2013  | Hercules Offshore Inc    | 26       | 6/14/2011  | 175 34       | 140 79     |                      | 34 55               | 34 55           |
| 90865042       | 1/18/2013  | Hercules Offshore Inc    | 17       | 7/13/2011  | 114 66       | 89 05      |                      | 25 61               | 25 61           |
| 90865042       | 1/22/2013  | Harry Winston Diamond    | 28       | 3/9/2012   | 419 41       | 399 14     | 20 27                |                     | 20 27           |
| 90865042       | 1/22/2013  | Hercules Offshore Inc    | 56       | 7/13/2011  | 386 01       | 293 34     |                      | 92 67               | 92 67           |
| 90865042       | 1/22/2013  | Hercules Offshore Inc    | 19       | 10/10/2011 | 130 97       | 56 61      |                      | 74 36               | 74 36           |
| 90865042       | 1/22/2013  | Ttm Technologies Inc     | 54       | 8/23/2012  | 425 67       | 564 86     | (139 19)             |                     | (139 19)        |
| 90865042       | 1/23/2013  | Ttm Technologies Inc     | 15       | 8/23/2012  | 119 44       | 156 91     | (37 47)              |                     | (37 47)         |
| 90865042       | 1/23/2013  | Ttm Technologies Inc     | 16       | 8/29/2012  | 127 40       | 170 31     | (42 91)              |                     | (42 91)         |
| 90865042       | 1/23/2013  | Ttm Technologies Inc     | 15       | 8/30/2012  | 119 44       | 159 92     | (40 48)              |                     | (40 48)         |
| 90865042       | 1/23/2013  | Ttm Technologies Inc     | 33       | 9/6/2012   | 262 76       | 356 06     | (93 30)              |                     | (93 30)         |
| 90865042       | 1/25/2013  | Buffalo Wild Wings Inc   | 3        | 2/7/2012   | 228 59       | 208 80     | 19 79                |                     | 19 79           |
| 90865042       | 1/25/2013  | Buffalo Wild Wings Inc   | 2        | 2/8/2012   | 152 40       | 159 77     | (7 37)               |                     | (7 37)          |
| 90865042       | 1/25/2013  | Buffalo Wild Wings Inc   | 4        | 4/19/2012  | 304 80       | 339 32     | (34 52)              |                     | (34 52)         |
| 90865042       | 1/25/2013  | Buffalo Wild Wings Inc   | 2        | 7/24/2012  | 152 42       | 157 42     | (5 02)               |                     | (5 02)          |
| 90865042       | 1/25/2013  | Buffalo Wild Wings Inc   | 4        | 7/25/2012  | 304 80       | 287 06     | 17 74                |                     | 17 74           |
| 90865042       | 1/25/2013  | Chemtura Corp New        | 9        | 12/17/2010 | 214 28       | 138 60     |                      | 75 68               | 75 68           |
| 90865042       | 1/25/2013  | Chemtura Corp New        | 8        | 1/11/2011  | 190 48       | 128 89     |                      | 61 59               | 61 59           |
| 90865042       | 1/25/2013  | Clearwater Paper Corp    | 1        | 8/12/2011  | 45 72        | 35 41      |                      | 10 31               | 10 31           |
| 90865042       | 1/25/2013  | Clearwater Paper Corp    | 8        | 9/1/2011   | 365 76       | 291 56     |                      | 74 20               | 74 20           |
| 90865042       | 1/25/2013  | Clearwater Paper Corp    | 14       | 7/17/2012  | 661 60       | 607 77     | 53 83                |                     | 53 83           |
| 90865042       | 1/25/2013  | Entertainment Pptys Tr   | 4        | 7/13/2010  | 197 22       | 121 66     |                      | 75 56               | 75 56           |
| 90865042       | 1/25/2013  | Idex Corp                | 4        | 11/3/2010  | 197 22       | 147 78     |                      | 49 44               | 49 44           |
| 90865042       | 1/25/2013  | Wintrust Financial Corp  | 1        | 12/11/2009 | 37 76        | 27 39      |                      | 10 37               | 10 37           |
| 90865042       | 1/25/2013  | Wintrust Financial Corp  | 6        | 3/8/2010   | 226 55       | 199 26     |                      | 27 29               | 27 29           |
| 90865042       | 1/28/2013  | Clearwater Paper Corp    | 2        | 9/1/2011   | 91 26        | 72 89      |                      | 18 37               | 18 37           |
| 90865042       | 1/28/2013  | Clearwater Paper Corp    | 9        | 10/27/2011 | 410 66       | 306 99     |                      | 103 67              | 103 67          |
| 90865042       | 1/28/2013  | Idex Corp                | 5        | 11/3/2010  | 246 34       | 184 73     |                      | 61 61               | 61 61           |
| 90865042       | 1/28/2013  | Idex Corp                | 10       | 2/25/2011  | 492 70       | 408 49     |                      | 84 21               | 84 21           |
| 90865042       | 1/28/2013  | Idex Corp                | 9        | 7/28/2011  | 443 42       | 379 26     |                      | 64 16               | 64 16           |
| 90865042       | 1/28/2013  | Lithia Motors Inc Cl A   | 10       | 8/29/2012  | 429 11       | 291 97     | 137 14               |                     | 137 14          |
| 90865042       | 1/29/2013  | Clearwater Paper Corp    | 9        | 8/2/2012   | 409 09       | 309 13     | 99 96                |                     | 99 96           |
| 90865042       | 1/31/2013  | W M S Industries Inc     | 25       | 6/4/2012   | 622 40       | 500 11     | 122 29               |                     | 122 29          |
| 90865042       | 1/31/2013  | W M S Industries Inc     | 24       | 6/15/2012  | 597 51       | 475 33     | 122 18               |                     | 122 18          |
| 90865042       | 1/31/2013  | W M S Industries Inc     | 2        | 6/20/2012  | 49 79        | 39 63      | 10 16                |                     | 10 16           |
| 90865042       | 2/1/2013   | Lifetime Fitness         | 6        | 12/11/2009 | 238 88       | 145 56     | 93 32                |                     | 93 32           |
| 90865042       | 2/1/2013   | Lifetime Fitness         | 5        | 1/26/2010  | 199 08       | 116 84     | 82 24                |                     | 82 24           |

| Account Number | Sold Date | Description               | Quantity | Open Date  | Net Proceeds | Cost Basis | Short Term Gain/Loss | Long Term Gain/Loss | Total Gain/Loss |
|----------------|-----------|---------------------------|----------|------------|--------------|------------|----------------------|---------------------|-----------------|
| 90865042       | 2/1/2013  | Pioneer Drilling Co       | 29       | 6/16/2011  | 215 44       | 380 70     |                      | (165 26)            | (165 26)        |
| 90865042       | 2/1/2013  | Pioneer Drilling Co       | 24       | 6/27/2011  | 178 30       | 324 09     |                      | (145 79)            | (145 79)        |
| 90865042       | 2/1/2013  | Pioneer Drilling Co       | 10       | 7/14/2011  | 74 29        | 145 40     |                      | (71 11)             | (71 11)         |
| 90865042       | 2/1/2013  | W M S Industries Inc      | 16       | 6/20/2012  | 394 67       | 317 03     | 77 64                |                     | 77 64           |
| 90865042       | 2/1/2013  | W M S Industries Inc      | 16       | 7/11/2012  | 394 67       | 328 53     | 66 14                |                     | 66 14           |
| 90865042       | 2/1/2013  | W M S Industries Inc      | 18       | 7/26/2012  | 443 99       | 323 33     | 120 66               |                     | 120 66          |
| 90865042       | 2/4/2013  | Acme Packet Inc           | 11       | 1/27/2012  | 321 68       | 333 83     |                      | (12 15)             | (12 15)         |
| 90865042       | 2/4/2013  | Acme Packet Inc           | 15       | 1/27/2012  | 438 65       | 451 50     |                      | (12 85)             | (12 85)         |
| 90865042       | 2/5/2013  | Lifetime Fitness          | 9        | 1/26/2010  | 365 65       | 210 31     |                      | 155 34              | 155 34          |
| 90865042       | 2/5/2013  | Lifetime Fitness          | 11       | 2/2/2011   | 446 91       | 455 72     |                      | (8 81)              | (8 81)          |
| 90865042       | 2/5/2013  | Oasis Petroleum Inc       | 1        | 10/28/2011 | 37 91        | 30 89      |                      | 7 02                | 7 02            |
| 90865042       | 2/5/2013  | Oasis Petroleum Inc       | 11       | 11/1/2011  | 416 93       | 311 44     |                      | 105 49              | 105 49          |
| 90865042       | 2/5/2013  | Oasis Petroleum Inc       | 2        | 11/28/2011 | 75 81        | 56 51      |                      | 19 30               | 19 30           |
| 90865042       | 2/5/2013  | Oasis Petroleum Inc       | 4        | 11/28/2011 | 151 62       | 112 16     |                      | 39 46               | 39 46           |
| 90865042       | 2/5/2013  | Pioneer Drilling Co       | 15       | 7/14/2011  | 107 65       | 218 10     |                      | (110 45)            | (110 45)        |
| 90865042       | 2/5/2013  | Pioneer Drilling Co       | 25       | 11/22/2011 | 179 41       | 248 72     |                      | (69 31)             | (69 31)         |
| 90865042       | 2/5/2013  | Pioneer Drilling Co       | 13       | 11/29/2011 | 93 30        | 122 44     |                      | (29 14)             | (29 14)         |
| 90865042       | 2/6/2013  | Acme Packet Inc           | 8        | 1/27/2012  | 233 75       | 248 08     |                      | (14 33)             | (14 33)         |
| 90865042       | 2/6/2013  | Acme Packet Inc           | 6        | 1/30/2012  | 175 32       | 179 25     |                      | (3 93)              | (3 93)          |
| 90865042       | 2/7/2013  | Entertainment Ppty Tr     | 1        | 7/17/2012  | 46 98        | 45 98      | 1 00                 |                     | 1 00            |
| 90865042       | 2/7/2013  | Entertainment Ppty Tr     | 4        | 8/13/2012  | 187 92       | 179 87     | 8 05                 |                     | 8 05            |
| 90865042       | 2/7/2013  | Entertainment Ppty Tr     | 4        | 8/14/2012  | 187 92       | 179 99     | 7 93                 |                     | 7 93            |
| 90865042       | 2/7/2013  | Entertainment Ppty Tr     | 8        | 10/31/2012 | 375 82       | 352 32     | 23 50                |                     | 23 50           |
| 90865042       | 2/7/2013  | Tenet Healthcare Corp     | 7        | 11/1/2012  | 272 53       | 176 40     | 96 13                |                     | 96 13           |
| 90865042       | 2/7/2013  | Tenet Healthcare Corp     | 8        | 11/2/2012  | 311 46       | 201 84     | 109 62               |                     | 109 62          |
| 90865042       | 2/7/2013  | Tenet Healthcare Corp     | 7        | 11/6/2012  | 272 53       | 174 90     | 97 63                |                     | 97 63           |
| 90865042       | 2/7/2013  | Trinity Industries Inc    | 9        | 2/10/2011  | 364 36       | 268 30     |                      | 96 06               | 96 06           |
| 90865042       | 2/7/2013  | Trinity Industries Inc    | 1        | 2/22/2011  | 40 49        | 30 36      | 10 13                |                     | 10 13           |
| 90865042       | 2/8/2013  | Titan International Inc   | 27       | 12/15/2009 | 703 27       | 210 86     | 492 41               |                     | 492 41          |
| 90865042       | 2/11/2013 | Acme Packet Inc           | 6        | 1/30/2012  | 175 91       | 179 25     | (3 34)               |                     | (3 34)          |
| 90865042       | 2/12/2013 | Myriad Genetics Inc       | 7        | 2/2/2012   | 205 21       | 215 78     | (10 57)              |                     | (10 57)         |
| 90865042       | 2/13/2013 | Myriad Genetics Inc       | 27       | 10/12/2010 | 662 20       | 483 10     | 179 10               |                     | 179 10          |
| 90865042       | 2/13/2013 | Myriad Genetics Inc       | 2        | 10/12/2010 | 48 66        | 35 79      | 12 87                |                     | 12 87           |
| 90865042       | 2/13/2013 | Myriad Genetics Inc       | 18       | 4/29/2011  | 437 92       | 390 74     | 47 18                |                     | 47 18           |
| 90865042       | 2/13/2013 | Myriad Genetics Inc       | 12       | 11/28/2011 | 291 94       | 251 11     | 40 83                |                     | 40 83           |
| 90865042       | 2/13/2013 | Ryman Hospitality Ppty Tr | 5        | 12/11/2009 | 220 85       | 91 10      | 129 75               |                     | 129 75          |
| 90865042       | 2/20/2013 | Bottomline Technologies   | 16       | 1/23/2013  | 434 70       | 455 10     | (20 40)              |                     | (20 40)         |
| 90865042       | 2/20/2013 | Bottomline Technologies   | 11       | 1/24/2013  | 298 86       | 320 57     | (21 71)              |                     | (21 71)         |
| 90865042       | 2/22/2013 | Acme Packet Inc           | 4        | 2/2/2012   | 116 68       | 123 30     | (6 62)               |                     | (6 62)          |
| 90865042       | 2/22/2013 | Acme Packet Inc           | 26       | 4/9/2012   | 758 44       | 682 13     | 76 31                |                     | 76 31           |
| 90865042       | 2/22/2013 | Acme Packet Inc           | 12       | 7/25/2012  | 350 04       | 205 83     | 144 21               |                     | 144 21          |
| 90865042       | 2/25/2013 | Trinity Industries Inc    | 8        | 2/22/2011  | 342 83       | 242 88     |                      | 99 95               | 99 95           |
| 90865042       | 2/27/2013 | Acme Packet Inc           | 7        | 7/25/2012  | 204 12       | 120 07     | 84 05                |                     | 84 05           |
| 90865042       | 2/27/2013 | Acme Packet Inc           | 20       | 9/13/2012  | 583 21       | 379 30     | 203 91               |                     | 203 91          |
| 90865042       | 2/28/2013 | Riverbed Technology Inc   | 23       | 6/5/2012   | 354 26       | 365 92     | (11 66)              |                     | (11 66)         |
| 90865042       | 2/28/2013 | Riverbed Technology Inc   | 3        | 7/19/2012  | 46 21        | 48 27      | (2 06)               |                     | (2 06)          |
| 90865042       | 2/28/2013 | Titan International Inc   | 5        | 12/15/2009 | 104 74       | 39 05      | 65 69                |                     | 65 69           |
| 90865042       | 2/28/2013 | Titan International Inc   | 11       | 1/10/2011  | 230 41       | 205 24     | 25 17                |                     | 25 17           |
| 90865042       | 2/28/2013 | Titan International Inc   | 7        | 1/20/2011  | 146 63       | 131 16     | 15 47                |                     | 15 47           |
| 90865042       | 3/1/2013  | Chart Industries Inc      | 3        | 5/4/2011   | 231 43       | 163 82     | 67 61                |                     | 67 61           |
| 90865042       | 3/1/2013  | Chart Industries Inc      | 4        | 5/4/2011   | 308 57       | 198 24     | 110 33               |                     | 110 33          |
| 90865042       | 3/1/2013  | Chart Industries Inc      | 5        | 7/28/2011  | 385 71       | 265 05     | 120 66               |                     | 120 66          |
| 90865042       | 3/1/2013  | Chart Industries Inc      | 1        | 7/28/2011  | 77 14        | 56 12      | 21 02                |                     | 21 02           |
| 90865042       | 3/1/2013  | Sinclair Broadcast Grp A  | 15       | 10/19/2010 | 235 91       | 123 24     | 112 67               |                     | 112 67          |
| 90865042       | 3/1/2013  | Sinclair Broadcast Grp A  | 15       | 11/19/2010 | 235 91       | 121 04     | 114 87               |                     | 114 87          |
| 90865042       | 3/1/2013  | Sinclair Broadcast Grp A  | 17       | 11/19/2010 | 267 39       | 136 50     | 130 89               |                     | 130 89          |
| 90865042       | 3/1/2013  | Titan International Inc   | 2        | 1/20/2011  | 42 71        | 37 48      | 5 23                 |                     | 5 23            |
| 90865042       | 3/1/2013  | Titan International Inc   | 14       | 5/18/2011  | 298 98       | 390 03     | (91 05)              |                     | (91 05)         |

THE CLEMENT C MOORE, II AND ELIZABETH W.Y MOORE CHARITABLE TRUST  
 EIN- 52-7059395  
 ATTACHMENT TO PART IV

| Account Number | Sold Date | Description              | Quantity | Open Date  | Net Proceeds | Cost Basis | Short Term Gain/Loss | Long Term Gain/Loss | Total Gain/Loss |
|----------------|-----------|--------------------------|----------|------------|--------------|------------|----------------------|---------------------|-----------------|
| 90865042       | 3/1/2013  | Titan International Inc  | 15       | 7/5/2011   | 320 34       | 375 61     |                      | (55 27)             | (55 27)         |
| 90865042       | 3/1/2013  | Titan International Inc  | 7        | 8/10/2012  | 149 49       | 151 88     | (2 39)               |                     | (2 39)          |
| 90865042       | 3/5/2013  | Sinclair Broadcast Grp A | 23       | 11/19/2010 | 407 57       | 184 67     |                      | 222 90              | 222 90          |
| 90865042       | 3/5/2013  | Sinclair Broadcast Grp A | 1        | 12/7/2010  | 17 72        | 8 24       |                      | 9 48                | 9 48            |
| 90865042       | 3/7/2013  | Bottomline Technologies  | 2        | 1/24/2013  | 55 93        | 58 29      | (2 36)               |                     | (2 36)          |
| 90865042       | 3/7/2013  | Bottomline Technologies  | 13       | 1/25/2013  | 363 54       | 386 78     | (23 24)              |                     | (23 24)         |
| 90865042       | 3/7/2013  | Bottomline Technologies  | 14       | 2/4/2013   | 391 49       | 409 64     | (18 15)              |                     | (18 15)         |
| 90865042       | 3/7/2013  | Bottomline Technologies  | 1        | 2/5/2013   | 27 97        | 27 70      | 0 27                 |                     | 0 27            |
| 90865042       | 3/7/2013  | Ciena Corp New           | 10       | 1/5/2012   | 170 54       | 129 61     |                      | 40 93               | 40 93           |
| 90865042       | 3/7/2013  | Ciena Corp New           | 14       | 2/22/2012  | 238 75       | 209 01     |                      | 29 74               | 29 74           |
| 90865042       | 3/7/2013  | First Horizon Natl Corp  | 38       | 2/13/2012  | 423 77       | 360 21     |                      | 63 56               | 63 56           |
| 90865042       | 3/8/2013  | Atwood Oceanics Inc      | 7        | 10/10/2011 | 397 12       | 297 81     |                      | 99 31               | 99 31           |
| 90865042       | 3/8/2013  | Atwood Oceanics Inc      | 8        | 10/17/2011 | 347 48       | 282 90     |                      | 64 58               | 64 58           |
| 90865042       | 3/8/2013  | Atwood Oceanics Inc      | 8        | 10/21/2011 | 397 12       | 332 86     |                      | 64 26               | 64 26           |
| 90865042       | 3/8/2013  | Atwood Oceanics Inc      | 7        | 11/28/2011 | 347 48       | 267 08     |                      | 80 40               | 80 40           |
| 90865042       | 3/8/2013  | Bottomline Technologies  | 14       | 2/5/2013   | 392 81       | 429 88     | (37 07)              |                     | (37 07)         |
| 90865042       | 3/8/2013  | Cheyond Inc              | 60       | 11/8/2011  | 424 35       | 362 38     |                      | 61 97               | 61 97           |
| 90865042       | 3/8/2013  | Ciena Corp New           | 11       | 2/22/2012  | 188 82       | 164 23     |                      | 24 59               | 24 59           |
| 90865042       | 3/8/2013  | Ciena Corp New           | 25       | 8/30/2012  | 429 12       | 355 79     | 73 33                |                     | 73 33           |
| 90865042       | 3/8/2013  | Ciena Corp New           | 55       | 10/15/2012 | 944 05       | 723 42     | 220 63               |                     | 220 63          |
| 90865042       | 3/11/2013 | Genesee & Wyoming CIA    | 1        | 12/2/2011  | 92 32        | 59 98      |                      | 32 34               | 32 34           |
| 90865042       | 3/11/2013 | Genesee & Wyoming CIA    | 6        | 2/8/2012   | 553 90       | 362 67     |                      | 191 23              | 191 23          |
| 90865042       | 3/11/2013 | Wintrust Financial Corp  | 2        | 3/8/2010   | 73 35        | 66 42      |                      | 6 93                | 6 93            |
| 90865042       | 3/11/2013 | Wintrust Financial Corp  | 9        | 12/7/2010  | 330 05       | 267 43     |                      | 62 62               | 62 62           |
| 90865042       | 3/11/2013 | Wintrust Financial Corp  | 43       | 11/8/2011  | 307 36       | 259 70     |                      | 47 66               | 47 66           |
| 90865042       | 3/12/2013 | Cheyond Inc              | 14       | 11/14/2011 | 100 08       | 85 61      |                      | 14 47               | 14 47           |
| 90865042       | 3/12/2013 | Tenet Healthcare Corp    | 7        | 11/6/2012  | 304 51       | 174 91     | 129 60               |                     | 129 60          |
| 90865042       | 3/12/2013 | Wintrust Financial Corp  | 10       | 12/7/2010  | 365 88       | 297 15     |                      | 68 73               | 68 73           |
| 90865042       | 3/12/2013 | Wintrust Financial Corp  | 10       | 1/5/2012   | 365 88       | 299 13     |                      | 66 75               | 66 75           |
| 90865042       | 3/12/2013 | Wintrust Financial Corp  | 11       | 1/10/2012  | 402 48       | 346 19     |                      | 56 29               | 56 29           |
| 90865042       | 3/14/2013 | Cheyond Inc              | 5        | 11/14/2011 | 34 97        | 30 58      |                      | 4 39                | 4 39            |
| 90865042       | 3/14/2013 | Cheyond Inc              | 15       | 12/30/2011 | 104 92       | 120 30     |                      | (15 38)             | (15 38)         |
| 90865042       | 3/14/2013 | Cheyond Inc              | 27       | 2/8/2012   | 188 86       | 242 43     |                      | (53 57)             | (53 57)         |
| 90865042       | 3/18/2013 | Harry Winston Diamond    | 6        | 3/9/2012   | 101 80       | 85 53      |                      | 16 27               | 16 27           |
| 90865042       | 3/20/2013 | Harry Winston Diamond    | 20       | 3/13/2012  | 335 19       | 287 28     |                      | 47 91               | 47 91           |
| 90865042       | 3/21/2013 | Genesee & Wyoming CIA    | 4        | 2/17/2012  | 366 24       | 241 56     |                      | 124 68              | 124 68          |
| 90865042       | 3/21/2013 | Hercules Offshore Inc    | 58       | 1/26/2012  | 422 09       | 266 27     |                      | 155 82              | 155 82          |
| 90865042       | 3/21/2013 | Hexcel Corp New          | 14       | 2/21/2012  | 419 05       | 363 03     |                      | 56 02               | 56 02           |
| 90865042       | 3/21/2013 | Hexcel Corp New          | 1        | 2/28/2012  | 29 93        | 25 24      |                      | 4 69                | 4 69            |
| 90865042       | 3/21/2013 | Triumph Group Inc New    | 6        | 11/8/2011  | 472 52       | 329 16     |                      | 143 36              | 143 36          |
| 90865042       | 3/22/2013 | Cavium Inc               | 10       | 10/27/2011 | 379 54       | 338 73     |                      | 40 81               | 40 81           |
| 90865042       | 3/22/2013 | Qlik Technologies Inc    | 17       | 9/18/2012  | 439 58       | 418 29     | 21 29                |                     | 21 29           |
| 90865042       | 3/22/2013 | Riverbed Technology Inc  | 17       | 9/4/2012   | 247 81       | 359 27     | (111 46)             |                     | (111 46)        |
| 90865042       | 3/22/2013 | Riverbed Technology Inc  | 12       | 2/6/2013   | 174 94       | 245 05     | (70 11)              |                     | (70 11)         |
| 90865042       | 3/22/2013 | Triquant Semiconductor   | 15       | 4/18/2012  | 69 01        | 85 64      | (16 63)              |                     | (16 63)         |
| 90865042       | 3/22/2013 | Triquant Semiconductor   | 67       | 8/1/2012   | 308 25       | 383 55     | (75 30)              |                     | (75 30)         |
| 90865042       | 3/25/2013 | Genesee & Wyoming CIA    | 1        | 2/17/2012  | 91 60        | 60 39      |                      | 31 21               | 31 21           |
| 90865042       | 3/25/2013 | Genesee & Wyoming CIA    | 6        | 5/23/2012  | 549 55       | 307 26     | 242 29               |                     | 242 29          |
| 90865042       | 3/25/2013 | Genesee & Wyoming CIA    | 2        | 9/14/2012  | 183 19       | 131 97     | 51 22                |                     | 51 22           |
| 90865042       | 3/26/2013 | R F Micro Devices Inc    | 23       | 1/25/2012  | 119 94       | 112 87     |                      | 7 07                | 7 07            |
| 90865042       | 3/26/2013 | R F Micro Devices Inc    | 63       | 2/7/2012   | 328 51       | 334 45     | (5 94)               |                     | (5 94)          |
| 90865042       | 4/8/2013  | Dominion Diamond Corp    | 18       | 4/2/2012   | 292 90       | 274 28     | 18 62                |                     | 18 62           |
| 90865042       | 4/8/2013  | First Horizon Natl Corp  | 8        | 3/16/2012  | 82 32        | 85 37      | (3 05)               |                     | (3 05)          |
| 90865042       | 4/8/2013  | First Horizon Natl Corp  | 32       | 3/19/2012  | 329 28       | 350 40     | (21 12)              |                     | (21 12)         |
| 90865042       | 4/8/2013  | Pebblebrook Hotel TRUST  | 7        | 5/2/2012   | 178 87       | 164 48     | 14 39                |                     | 14 39           |
| 90865042       | 4/8/2013  | Qlik Technologies Inc    | 12       | 9/13/2012  | 296 94       | 292 66     | 4 28                 |                     | 4 28            |
| 90865042       | 4/8/2013  | Qlik Technologies Inc    | 3        | 10/1/2012  | 74 24        | 66 55      | 7 69                 |                     | 7 69            |
| 90865042       | 4/8/2013  | R F Micro Devices Inc    | 62       | 1/25/2012  | 316 68       | 304 27     | 12 41                |                     | 12 41           |

| Account Number | Sold Date | Description              | Quantity | Open Date  | Net Proceeds | Cost Basis | Short Term Gain/Loss | Long Term Gain/Loss | Total Gain/Loss |
|----------------|-----------|--------------------------|----------|------------|--------------|------------|----------------------|---------------------|-----------------|
| 90865042       | 4/8/2013  | Sinclair Broadcast Grp A | 13       | 4/8/2011   | 271.79       | 150.25     |                      | 121.54              | 121.54          |
| 90865042       | 4/8/2013  | Sinclair Broadcast Grp A | 7        | 5/6/2011   | 146.35       | 73.85      |                      | 72.50               | 72.50           |
| 90865042       | 4/11/2013 | Sinclair Broadcast Grp A | 19       | 5/6/2011   | 445.47       | 200.45     |                      | 245.02              | 245.02          |
| 90865042       | 4/16/2013 | Banco Latinoamericano    | 13       | 9/19/2012  | 308.84       | 292.94     | 15.90                |                     | 15.90           |
| 90865042       | 4/17/2013 | Magnum Hunter Resources  | 38       | 5/11/2012  | 100.45       | 176.70     | (76.25)              |                     | (76.25)         |
| 90865042       | 4/17/2013 | Magnum Hunter Resources  | 48       | 6/6/2012   | 126.89       | 194.19     | (67.30)              |                     | (67.30)         |
| 90865042       | 4/17/2013 | Magnum Hunter Resources  | 74       | 9/14/2012  | 195.61       | 375.29     | (179.68)             |                     | (179.68)        |
| 90865042       | 4/17/2013 | Pinnacle Entertainment   | 18       | 2/22/2011  | 315.13       | 241.06     |                      | 74.07               | 74.07           |
| 90865042       | 4/18/2013 | Fifth Street Finance Cp  | 64       | 8/3/2012   | 664.63       | 664.07     | 0.56                 |                     | 0.56            |
| 90865042       | 4/18/2013 | Fifth Street Finance Cp  | 37       | 9/11/2012  | 384.24       | 398.51     | (14.27)              |                     | (14.27)         |
| 90865042       | 4/18/2013 | Tenet Healthcare Corp    | 10       | 12/13/2012 | 394.84       | 303.84     | 91.00                |                     | 91.00           |
| 90865042       | 4/19/2013 | Tenet Healthcare Corp    | 10       | 11/6/2012  | 393.70       | 267.68     | 126.02               |                     | 126.02          |
| 90865042       | 4/19/2013 | Woodward Inc             | 8        | 11/18/2010 | 273.42       | 326.91     | (53.49)              |                     | (53.49)         |
| 90865042       | 4/19/2013 | Woodward Inc             | 4        | 1/19/2011  | 144.32       | 107.14     |                      | (7.61)              | (7.61)          |
| 90865042       | 4/23/2013 | Pinnacle Entertainment   | 8        | 2/22/2011  | 154.16       | 107.14     |                      | 47.02               | 47.02           |
| 90865042       | 4/23/2013 | Pinnacle Entertainment   | 13       | 10/12/2011 | 250.50       | 137.59     |                      | 112.91              | 112.91          |
| 90865042       | 4/23/2013 | Sinclair Broadcast Grp A | 6        | 5/6/2011   | 159.07       | 63.30      |                      | 95.77               | 95.77           |
| 90865042       | 4/23/2013 | Sinclair Broadcast Grp A | 11       | 5/16/2011  | 291.62       | 110.69     |                      | 180.93              | 180.93          |
| 90865042       | 4/24/2013 | Dominion Diamond Corp    | 23       | 3/27/2012  | 348.19       | 343.57     | 4.62                 |                     | 4.62            |
| 90865042       | 4/24/2013 | Dominion Diamond Corp    | 5        | 4/2/2012   | 75.69        | 76.19      | (0.50)               |                     | (0.50)          |
| 90865042       | 4/24/2013 | Lithia Motors Inc Cl A   | 10       | 2/20/2013  | 475.21       | 403.41     | 71.80                |                     | 71.80           |
| 90865042       | 4/24/2013 | Tenet Healthcare Corp    | 3        | 11/6/2012  | 121.04       | 74.96      | 46.08                |                     | 46.08           |
| 90865042       | 4/24/2013 | Tenet Healthcare Corp    | 12       | 11/15/2012 | 484.11       | 302.62     | 181.49               |                     | 181.49          |
| 90865042       | 4/24/2013 | Tenet Healthcare Corp    | 3        | 12/13/2012 | 121.04       | 91.15      | 29.89                |                     | 29.89           |
| 90865042       | 4/25/2013 | Triquint Semiconductor   | 34       | 10/20/2011 | 180.27       | 229.56     | (49.29)              |                     | (49.29)         |
| 90865042       | 4/25/2013 | Triquint Semiconductor   | 51       | 5/23/2012  | 270.40       | 272.34     | (1.94)               |                     | (1.94)          |
| 90865042       | 4/26/2013 | Hancock Holding Co       | 11       | 2/8/2011   | 294.26       | 357.87     | (151.16)             |                     | (151.16)        |
| 90865042       | 4/26/2013 | Hancock Holding Co       | 12       | 2/18/2011  | 321.02       | 422.26     | (63.61)              |                     | (63.61)         |
| 90865042       | 4/26/2013 | Hancock Holding Co       | 11       | 3/22/2011  | 294.26       | 359.28     | (65.02)              |                     | (65.02)         |
| 90865042       | 4/26/2013 | Hancock Holding Co       | 12       | 4/5/2011   | 321.02       | 410.17     | (89.15)              |                     | (89.15)         |
| 90865042       | 4/26/2013 | Hancock Holding Co       | 9        | 1/5/2012   | 240.76       | 299.48     | (58.72)              |                     | (58.72)         |
| 90865042       | 4/29/2013 | Banco Latinoamericano    | 17       | 9/18/2012  | 390.52       | 373.45     | 17.07                |                     | 17.07           |
| 90865042       | 4/29/2013 | Banco Latinoamericano    | 4        | 9/19/2012  | 91.89        | 90.14      | 1.75                 |                     | 1.75            |
| 90865042       | 4/29/2013 | Banco Latinoamericano    | 16       | 10/12/2012 | 367.55       | 359.35     | 8.20                 |                     | 8.20            |
| 90865042       | 4/30/2013 | Vera Bradley Inc         | 19       | 10/18/2012 | 430.47       | 570.14     | (139.67)             |                     | (139.67)        |
| 90865042       | 5/3/2013  | Texas Roadhouse          | 14       | 10/1/2012  | 331.73       | 245.25     | 86.48                |                     | 86.48           |
| 90865042       | 5/6/2013  | Gulfport Energy Cp New   | 10       | 12/18/2012 | 530.58       | 395.94     | 134.64               |                     | 134.64          |
| 90865042       | 5/8/2013  | Key Energy Services Inc  | 27       | 8/8/2012   | 169.45       | 229.46     | (60.01)              |                     | (60.01)         |
| 90865042       | 5/8/2013  | Key Energy Services Inc  | 39       | 8/10/2012  | 244.76       | 338.47     | (93.71)              |                     | (93.71)         |
| 90865042       | 5/8/2013  | Lithia Motors Inc Cl A   | 6        | 10/25/2012 | 306.62       | 199.53     | 107.09               |                     | 107.09          |
| 90865042       | 5/8/2013  | Lithia Motors Inc Cl A   | 1        | 2/20/2013  | 51.11        | 40.34      | 10.77                |                     | 10.77           |
| 90865042       | 5/8/2013  | Vera Bradley Inc         | 6        | 10/18/2012 | 132.25       | 180.05     | (47.80)              |                     | (47.80)         |
| 90865042       | 5/8/2013  | Vera Bradley Inc         | 5        | 10/31/2012 | 110.22       | 149.94     | (39.72)              |                     | (39.72)         |
| 90865042       | 5/9/2013  | Vera Bradley Inc         | 7        | 10/31/2012 | 150.93       | 209.92     | (58.99)              |                     | (58.99)         |
| 90865042       | 5/9/2013  | Vera Bradley Inc         | 5        | 11/20/2012 | 107.82       | 139.50     | (31.68)              |                     | (31.68)         |
| 90865042       | 5/10/2013 | Dominion Diamond Corp    | 22       | 3/20/2012  | 351.42       | 321.81     | 29.61                |                     | 29.61           |
| 90865042       | 5/10/2013 | Dominion Diamond Corp    | 4        | 3/27/2012  | 63.90        | 59.75      | 4.15                 |                     | 4.15            |
| 90865042       | 5/10/2013 | Dominion Diamond Corp    | 6        | 4/4/2012   | 95.84        | 86.40      | 9.44                 |                     | 9.44            |
| 90865042       | 5/14/2013 | Dominion Diamond Corp    | 9        | 3/9/2012   | 139.80       | 128.30     | 11.50                |                     | 11.50           |
| 90865042       | 5/14/2013 | Dominion Diamond Corp    | 6        | 3/13/2012  | 93.21        | 86.19      | 7.02                 |                     | 7.02            |
| 90865042       | 5/14/2013 | Dominion Diamond Corp    | 8        | 4/4/2012   | 124.27       | 115.21     | 9.06                 |                     | 9.06            |
| 90865042       | 5/14/2013 | Key Energy Services Inc  | 12       | 10/5/2010  | 73.95        | 120.08     | (46.13)              |                     | (46.13)         |
| 90865042       | 5/14/2013 | Key Energy Services Inc  | 31       | 11/4/2010  | 191.02       | 319.28     | (128.26)             |                     | (128.26)        |
| 90865042       | 5/14/2013 | Key Energy Services Inc  | 30       | 12/1/2010  | 184.88       | 321.88     | (137.00)             |                     | (137.00)        |
| 90865042       | 5/14/2013 | Key Energy Services Inc  | 25       | 3/11/2011  | 154.07       | 368.48     | (214.41)             |                     | (214.41)        |
| 90865042       | 5/14/2013 | Key Energy Services Inc  | 21       | 8/31/2011  | 129.42       | 306.63     | (177.21)             |                     | (177.21)        |
| 90865042       | 5/14/2013 | Key Energy Services Inc  | 15       | 5/1/2012   | 92.44        | 200.87     | (108.43)             |                     | (108.43)        |

| Account Number | Sold Date | Description              | Quantity | Open Date  | Net Proceeds | Cost Basis | Short Term Gain/Loss | Long Term Gain/Loss | Total Gain/Loss |
|----------------|-----------|--------------------------|----------|------------|--------------|------------|----------------------|---------------------|-----------------|
| 90865042       | 5/14/2013 | Key Energy Services Inc  | 3        | 8/8/2012   | 18 49        | 25 50      | (7 01)               |                     | (7 01)          |
| 90865042       | 5/14/2013 | Trinity Industries Inc   | 10       | 4/29/2011  | 412 66       | 360 03     |                      | 52 63               | 52 63           |
| 90865042       | 5/14/2013 | Trinity Industries Inc   | 6        | 5/10/2011  | 247 60       | 210 54     |                      | 37 06               | 37 06           |
| 90865042       | 5/15/2013 | Dominion Diamond Corp    | 8        | 3/9/2012   | 122 47       | 114 04     |                      | 8 43                | 8 43            |
| 90865042       | 5/15/2013 | Dominion Diamond Corp    | 12       | 4/12/2012  | 183 69       | 168 41     |                      | 15 28               | 15 28           |
| 90865042       | 5/15/2013 | Dominion Diamond Corp    | 24       | 8/22/2012  | 367 40       | 299 40     | 68 00                |                     | 68 00           |
| 90865042       | 5/16/2013 | Trinity Industries Inc   | 4        | 2/22/2011  | 166 69       | 121 44     |                      | 45 25               | 45 25           |
| 90865042       | 5/16/2013 | Trinity Industries Inc   | 6        | 5/10/2011  | 250 02       | 210 54     |                      | 39 48               | 39 48           |
| 90865042       | 5/16/2013 | Trinity Industries Inc   | 11       | 5/27/2011  | 458 37       | 370 21     |                      | 88 16               | 88 16           |
| 90865042       | 5/20/2013 | Foster Wheeler Ag Ord    | 5        | 4/4/2012   | 117 09       | 113 70     |                      | 3 39                | 3 39            |
| 90865042       | 5/20/2013 | Foster Wheeler Ag Ord    | 17       | 4/30/2012  | 398 10       | 389 96     |                      | 8 14                | 8 14            |
| 90865042       | 5/20/2013 | Hain Celestial Group Inc | 5        | 11/16/2011 | 335 29       | 175 18     |                      | 160 11              | 160 11          |
| 90865042       | 5/20/2013 | Manitowoc Company Inc    | 3        | 11/1/2012  | 60 01        | 44 43      | 15 58                |                     | 15 58           |
| 90865042       | 5/20/2013 | Manitowoc Company Inc    | 20       | 5/1/2013   | 400 05       | 333 17     | 66 88                |                     | 66 88           |
| 90865042       | 5/24/2013 | Auxilium Pharma Inc      | 34       | 5/23/2012  | 522 73       | 648 38     |                      | (125 65)            | (125 65)        |
| 90865042       | 5/24/2013 | Auxilium Pharma Inc      | 15       | 6/4/2012   | 230 62       | 309 76     | (79 14)              |                     | (79 14)         |
| 90865042       | 5/24/2013 | Auxilium Pharma Inc      | 7        | 6/14/2012  | 107 62       | 160 44     | (52 82)              |                     | (52 82)         |
| 90865042       | 5/24/2013 | Auxilium Pharma Inc      | 16       | 10/16/2012 | 246 00       | 353 49     | (107 49)             |                     | (107 49)        |
| 90865042       | 5/24/2013 | Triquint Semiconductor   | 21       | 9/16/2011  | 139 20       | 124 53     |                      | 14 67               | 14 67           |
| 90865042       | 5/24/2013 | Triquint Semiconductor   | 9        | 10/20/2011 | 59 66        | 60 77      | (1 11)               |                     | (1 11)          |
| 90865042       | 5/24/2013 | Triquint Semiconductor   | 51       | 2/9/2012   | 338 04       | 319 25     |                      | 18 79               | 18 79           |
| 90865042       | 5/28/2013 | Hain Celestial Group Inc | 7        | 8/18/2011  | 487 48       | 205 56     |                      | 281 92              | 281 92          |
| 90865042       | 5/28/2013 | Hain Celestial Group Inc | 12       | 2/28/2012  | 416 00       | 302 86     |                      | 113 14              | 113 14          |
| 90865042       | 5/28/2013 | Hexcel Corp New          | 12       | 11/30/2010 | 286 05       | 282 27     |                      | 3 78                | 3 78            |
| 90865042       | 5/28/2013 | Solar Capital Ltd        | 1        | 9/16/2011  | 6 79         | 5 93       | 0 86                 |                     | 0 86            |
| 90865042       | 5/28/2013 | Triquint Semiconductor   | 52       | 10/5/2011  | 353 32       | 288 94     |                      | 64 38               | 64 38           |
| 90865042       | 5/28/2013 | Triquint Semiconductor   | 53       | 4/18/2012  | 360 10       | 302 60     |                      | 57 50               | 57 50           |
| 90865042       | 5/31/2013 | Solar Capital Ltd        | 11       | 11/4/2010  | 255 83       | 257 34     | (1 51)               |                     | (1 51)          |
| 90865042       | 5/31/2013 | Solar Capital Ltd        | 4        | 11/5/2010  | 93 03        | 92 74      |                      | 0 29                | 0 29            |
| 90865042       | 5/31/2013 | Solar Capital Ltd        | 10       | 11/18/2010 | 232 57       | 233 74     | (1 17)               |                     | (1 17)          |
| 90865042       | 5/31/2013 | Solar Capital Ltd        | 2        | 11/30/2010 | 46 51        | 47 05      | (0 54)               |                     | (0 54)          |
| 90865042       | 6/5/2013  | First Horizon Natl Corp  | 37       | 2/21/2012  | 416 74       | 359 94     |                      | 56 80               | 56 80           |
| 90865042       | 6/5/2013  | First Horizon Natl Corp  | 15       | 3/8/2012   | 168 95       | 144 15     |                      | 24 80               | 24 80           |
| 90865042       | 6/5/2013  | First Horizon Natl Corp  | 28       | 3/16/2012  | 315 37       | 298 79     |                      | 16 58               | 16 58           |
| 90865042       | 6/6/2013  | Vera Bradley Inc         | 8        | 11/20/2012 | 159 31       | 223 19     | (63 88)              |                     | (63 88)         |
| 90865042       | 6/6/2013  | Vera Bradley Inc         | 14       | 12/14/2012 | 278 79       | 333 73     | (54 94)              |                     | (54 94)         |
| 90865042       | 6/6/2013  | Vera Bradley Inc         | 16       | 1/28/2012  | 318 60       | 414 49     | (95 89)              |                     | (95 89)         |
| 90865042       | 6/7/2013  | Chart Industries Inc     | 3        | 5/7/2012   | 286 25       | 216 15     |                      | 70 10               | 70 10           |
| 90865042       | 6/7/2013  | Chart Industries Inc     | 1        | 5/8/2012   | 95 42        | 68 59      |                      | 26 83               | 26 83           |
| 90865042       | 6/7/2013  | Chart Industries Inc     | 40       | 2/28/2012  | 449 70       | 377 24     |                      | 72 46               | 72 46           |
| 90865042       | 6/7/2013  | First Horizon Natl Corp  | 40       | 3/8/2012   | 179 89       | 153 76     |                      | 26 13               | 26 13           |
| 90865042       | 6/7/2013  | First Horizon Natl Corp  | 38       | 8/31/2012  | 427 22       | 343 16     | 84 06                |                     | 84 06           |
| 90865042       | 6/12/2013 | Chemtura Corp New        | 19       | 2/2/2011   | 411 57       | 323 90     |                      | 87 67               | 87 67           |
| 90865042       | 6/13/2013 | Alpha Natural Resources  | 35       | 12/28/2012 | 215 32       | 326 09     | (110 77)             |                     | (110 77)        |
| 90865042       | 6/13/2013 | Alpha Natural Resources  | 39       | 1/23/2013  | 239 91       | 394 79     | (154 88)             |                     | (154 88)        |
| 90865042       | 6/13/2013 | Belo Corporation Ser A   | 68       | 4/27/2011  | 926 64       | 590 79     |                      | 335 85              | 335 85          |
| 90865042       | 6/14/2013 | Alpha Natural Resources  | 46       | 12/28/2012 | 277 45       | 428 58     | (151 13)             |                     | (151 13)        |
| 90865042       | 6/14/2013 | Alpha Natural Resources  | 50       | 1/25/2013  | 301 57       | 464 45     | (162 88)             |                     | (162 88)        |
| 90865042       | 6/14/2013 | Alpha Natural Resources  | 31       | 3/21/2013  | 186 98       | 264 97     | (77 99)              |                     | (77 99)         |
| 90865042       | 6/14/2013 | Belo Corporation Ser A   | 23       | 4/27/2011  | 317 10       | 199 82     |                      | 117 28              | 117 28          |
| 90865042       | 6/14/2013 | Belo Corporation Ser A   | 46       | 4/28/2011  | 634 21       | 380 65     |                      | 253 56              | 253 56          |
| 90865042       | 6/14/2013 | Belo Corporation Ser A   | 62       | 5/3/2011   | 854 80       | 483 60     |                      | 371 20              | 371 20          |
| 90865042       | 6/17/2013 | Belo Corporation Ser A   | 1        | 5/3/2011   | 14 01        | 7 80       |                      | 6 21                | 6 21            |
| 90865042       | 6/17/2013 | Belo Corporation Ser A   | 39       | 6/15/2011  | 546 44       | 275 96     |                      | 270 48              | 270 48          |
| 90865042       | 6/18/2013 | Acadia Healthcare Co     | 4        | 2/25/2013  | 139 73       | 107 46     | 32 27                |                     | 32 27           |
| 90865042       | 6/18/2013 | Acadia Healthcare Co     | 5        | 4/10/2011  | 174 65       | 146 33     | 28 32                |                     | 28 32           |
| 90865042       | 6/18/2013 | Cadence Design System    | 2        | 10/11/2011 | 30 16        | 19 91      |                      | 10 25               | 10 25           |
| 90865042       | 6/18/2013 | Cadence Design System    | 29       | 5/23/2012  | 437 25       | 296 96     |                      | 140 29              | 140 29          |

| Account Number              | Sold Date  | Description              | Quantity | Open Date  | Net Proceeds | Cost Basis | Short Term Gain/Loss | Long Term Gain/Loss | Total Gain/Loss |
|-----------------------------|------------|--------------------------|----------|------------|--------------|------------|----------------------|---------------------|-----------------|
| 90865042                    | 6/18/2013  | Icon Plc Spon Adr        | 12       | 4/8/2011   | 430.91       | 274.42     |                      | 156.49              | 156.49          |
| 90865042                    | 6/18/2013  | Oasis Petroleum Inc      | 3        | 11/28/2011 | 126.96       | 84.76      |                      | 42.20               | 42.20           |
| 90865042                    | 6/18/2013  | Oasis Petroleum Inc      | 6        | 12/9/2011  | 253.93       | 180.21     |                      | 73.72               | 73.72           |
| 90865042                    | 6/18/2013  | Oasis Petroleum Inc      | 4        | 12/12/2011 | 169.29       | 116.09     |                      | 53.20               | 53.20           |
| 90865042                    | 6/18/2013  | Oasis Petroleum Inc      | 16       | 2/1/2012   | 677.12       | 513.68     |                      | 163.44              | 163.44          |
| 90865042                    | 6/18/2013  | Oasis Petroleum Inc      | 13       | 6/6/2012   | 550.17       | 337.43     |                      | 212.74              | 212.74          |
| 90865042                    | 6/18/2013  | Team Health Holdings Lic | 10       | 2/7/2012   | 418.99       | 350.29     | 68.70                |                     | 68.70           |
| 90865042                    | 6/18/2013  | Triumph Group Inc New    | 6        | 6/7/2012   | 480.43       | 353.20     |                      | 127.23              | 127.23          |
| 90865042                    | 6/19/2013  | Belo Corporation Ser A   | 27       | 10/21/2011 | 378.22       | 168.75     |                      | 209.47              | 209.47          |
| 90865042                    | 6/21/2013  | Finisar Corp New         | 42       | 3/8/2013   | 677.77       | 633.86     | 43.91                | 39.53               | 39.53           |
| 90865042                    | 6/21/2013  | Spirit Airlines          | 8        | 5/1/2013   | 220.85       | 111,127.89 | 37.79                |                     | 37.79           |
| Total for Account 9086-5042 |            |                          |          |            | 126,312.15   | 111,127.89 | 1,812.28             | 13,371.98           | 15,184.26       |
| 49432002                    | 7/16/2012  | Ust Infl Idx             | 22,000   | 5/21/2012  | 28,137.78    | 28,651.26  | (513.48)             |                     | (513.48)        |
| 49432002                    | 8/3/2012   | Fed Hm Ln Mtg            | 11,000   | 5/21/2012  | 11,073.81    | 11,027.00  | 46.81                |                     | 46.81           |
| 49432002                    | 8/3/2012   | Fed Natl Mtg             | 20,000   | 5/21/2012  | 20,132.40    | 20,074.00  | 58.40                |                     | 58.40           |
| 49432002                    | 8/9/2012   | FHLMC GO-                | 6,000    | 11/10/2011 | 1,541.16     | 1,552.61   | (11.45)              |                     | (11.45)         |
| 49432002                    | 8/9/2012   | FHLMC GO-                | 13,000   | 9/9/2011   | 3,359.57     | 3,520.17   | (160.60)             |                     | (160.60)        |
| 49432002                    | 8/9/2012   | FHLMC GO-                | 5,000    | 7/11/2011  | 3,218.99     | 3,190.92   | 28.07                |                     | 28.07           |
| 49432002                    | 8/9/2012   | FHLMC GO-                | 2,000    | 9/9/2011   | 1,509.82     | 1,561.73   | (51.91)              |                     | (51.91)         |
| 49432002                    | 9/14/2012  | US Treas Nt              | 3,000    | 5/21/2012  | 3,060.47     | 3,012.09   | 48.38                |                     | 48.38           |
| 49432002                    | 9/14/2012  | US Treas Nt              | 14,000   | 5/21/2012  | 14,282.19    | 14,056.42  | 225.77               |                     | 225.77          |
| 49432002                    | 9/17/2012  | US Treas Nt              | 14,000   | 5/21/2012  | 14,570.39    | 14,071.04  | 499.35               |                     | 499.35          |
| 49432002                    | 9/17/2012  | US Treas Nt              | 9,000    | 5/21/2012  | 9,366.68     | 9,045.67   | 321.01               |                     | 321.01          |
| 49432002                    | 11/15/2012 | FHLMC GO-                | 5,000    | 11/10/2011 | 1,446.32     | 1,464.25   | (17.93)              |                     | (17.93)         |
| 49432002                    | 11/26/2012 | FHLMC GO-                | 19,000   | 7/10/2012  | 6,127.85     | 6,184.91   | (57.06)              |                     | (57.06)         |
| 49432002                    | 11/26/2012 | FHLMC GO-                | 6,000    | 7/10/2012  | 1,935.11     | 1,953.13   | (18.02)              |                     | (18.02)         |
| 49432002                    | 11/26/2012 | FHLMC GO-                | 50,000   | 10/7/2011  | 10,217.22    | 10,572.86  | (355.64)             |                     | (355.64)        |
| 49432002                    | 11/26/2012 | FHLMC GO-                | 27,000   | 11/10/2011 | 5,517.30     | 5,709.35   | (192.05)             |                     | (192.05)        |
| 49432002                    | 11/26/2012 | FHLMC GO-                | 21,000   | 7/11/2011  | 7,867.18     | 8,100.88   | (233.70)             |                     | (233.70)        |
| 49432002                    | 11/26/2012 | FHLMC GO-                | 4,000    | 3/7/2012   | 1,128.46     | 1,090.02   | 38.44                |                     | 38.44           |
| 49432002                    | 11/28/2012 | FHLMC GO-                | 149,000  | 9/9/2011   | 33,266.08    | 35,277.98  | (2,011.90)           |                     | (2,011.90)      |
| 49432002                    | 12/7/2012  | US Treas Nt              | 14,000   | 5/21/2012  | 14,667.20    | 14,152.58  | 514.62               |                     | 514.62          |
| 49432002                    | 12/7/2012  | US Treas Nt              | 15,000   | 5/21/2012  | 15,714.85    | 15,163.47  | 551.38               |                     | 551.38          |
| 49432002                    | 12/7/2012  | US Treas Nt              | 12,971   | 5/21/2012  | 13,619.54    | 13,141.68  | 477.86               |                     | 477.86          |
| 49432002                    | 12/7/2012  | US Treas Nt              | 1,000    | 6/26/2012  | 1,047.66     | 1,039.34   | 8.32                 |                     | 8.32            |
| 49432002                    | 12/7/2012  | US Treas Nt              | 998      | 6/26/2012  | 1,047.66     | 1,039.33   | 8.33                 |                     | 8.33            |
| 49432002                    | 1/9/2013   | FHLMC GO-                | 4,000    | 1/10/2012  | 3,441.13     | 3,330.31   | 110.82               |                     | 110.82          |
| 49432002                    | 1/9/2013   | FHLMC GO-                | 12,000   | 10/5/2012  | 12,331.40    | 12,473.71  | (142.31)             |                     | (142.31)        |
| 49432002                    | 1/9/2013   | FHLMC GO-                | 14,000   | 10/5/2012  | 14,699.42    | 14,801.48  | (102.06)             |                     | (102.06)        |
| 49432002                    | 1/9/2013   | FHLMC GO-                | 3,000    | 10/5/2012  | 3,122.32     | 3,161.34   | (39.02)              |                     | (39.02)         |
| 49432002                    | 1/9/2013   | FHLMC GO-                | 11,000   | 10/5/2012  | 11,308.98    | 11,426.36  | (117.38)             |                     | (117.38)        |
| 49432002                    | 3/1/2013   | US Treas Not             | 14,000   | 11/21/2012 | 14,043.75    | 14,046.49  | (2.74)               |                     | (2.74)          |
| 49432002                    | 3/1/2013   | US Treas Not             | 3,000    | 11/21/2012 | 3,009.38     | 3,009.96   | (0.58)               |                     | (0.58)          |
| 49432002                    | 3/1/2013   | US Treas Not             | 6,000    | 11/21/2012 | 6,018.75     | 6,019.92   | (1.17)               |                     | (1.17)          |
| 49432002                    | 3/5/2013   | US Treas Not             | 10,000   | 11/21/2012 | 10,019.14    | 10,033.21  | (14.07)              |                     | (14.07)         |
| 49432002                    | 3/5/2013   | US Treas Not             | 4,000    | 11/21/2012 | 4,007.66     | 4,013.28   | (5.62)               |                     | (5.62)          |
| 49432002                    | 3/5/2013   | US Treas Not             | 11,000   | 11/21/2012 | 11,021.05    | 11,036.53  | (15.48)              |                     | (15.48)         |
| 49432002                    | 6/13/2013  | Fixed Income Shares      | 2,268    | 5/30/2012  | 24,902.64    | 24,630.48  | 272.16               |                     | 272.16          |
| 49432002                    | 6/20/2013  | Fixed Income Shares      | 653      | 5/30/2012  | 8,417.17     | 8,704.49   | (287.32)             |                     | (287.32)        |
| Total for Account 4943-2002 |            |                          |          |            | 350,198.48   | 351,340.25 | 1,656.54             | (2,798.31)          | (1,141.77)      |

| Account Number | Sold Date  | Description              | Quantity | Open Date  | Net Proceeds | Cost Basis | Short Term Gain/Loss | Long Term Gain/Loss | Total Gain/Loss |
|----------------|------------|--------------------------|----------|------------|--------------|------------|----------------------|---------------------|-----------------|
| 80798718       | 9/18/2012  | Absolute Strategies Fund | 8,700    | 12/10/2009 | 97,358.32    | 91,586.11  |                      | 5,772.21            | 5,772.21        |
| 80798718       | 9/18/2012  | Absolute Strategies Fund | 13       | 6/30/2010  | 136.21       | 128.13     |                      | 8.08                | 8.08            |
| 80798718       | 9/18/2012  | Absolute Strategies Fund | 67       | 7/8/2010   | 733.46       | 689.97     |                      | 43.49               | 43.49           |
| 80798718       | 9/18/2012  | Absolute Strategies Fund | 24       | 12/31/2010 | 270.40       | 254.37     |                      | 16.03               | 16.03           |
| 80798718       | 9/18/2012  | Absolute Strategies Fund | 15       | 6/30/2011  | 167.97       | 158.01     |                      | 9.96                | 9.96            |
| 80798718       | 9/18/2012  | Absolute Strategies Fund | 40       | 12/13/2011 | 450.00       | 423.31     | 26.69                |                     | 26.69           |
| 80798718       | 9/18/2012  | Absolute Strategies Fund | 3        | 12/30/2011 | 28.35        | 26.67      | 1.68                 |                     | 1.68            |
| 80798718       | 9/26/2012  | Aberdeen Asia Bond       | 8,717    | 8/16/2011  | 96,227.14    | 99,730.98  |                      | (3,503.84)          | (3,503.84)      |
| 80798718       | 9/26/2012  | Aberdeen Asia Bond       | 71       | 9/16/2011  | 772.18       | 800.29     |                      | (28.11)             | (28.11)         |
| 80798718       | 9/26/2012  | Aberdeen Asia Bond       | 235      | 12/20/2011 | 2,590.67     | 2,685.00   | (94.33)              |                     | (94.33)         |
| 80798718       | 9/26/2012  | Aberdeen Asia Bond       | 2        | 3/16/2012  | 21.47        | 22.26      | (0.79)               |                     | (0.79)          |
| 80798718       | 9/26/2012  | Aberdeen Asia Bond       | 76       | 9/14/2012  | 836.38       | 838.11     | (1.73)               |                     | (1.73)          |
| 80798718       | 11/15/2012 | Eaton Vance Global Macro | 20,521   | 11/15/2012 | 200,284.47   | 200,284.47 |                      |                     |                 |
| 80798718       | 2/5/2013   | Jpmorgan High Yield Fd   | 33,674   | 10/4/2011  | 274,775.83   | 245,941.63 |                      | 28,834.20           | 28,834.20       |
| 80798718       | 2/5/2013   | Jpmorgan High Yield Fd   | 185      | 11/1/2011  | 1,510.91     | 1,352.36   |                      | 158.55              | 158.55          |
| 80798718       | 2/5/2013   | Jpmorgan High Yield Fd   | 209      | 12/1/2011  | 1,704.13     | 1,525.31   |                      | 178.82              | 178.82          |
| 80798718       | 2/5/2013   | Jpmorgan High Yield Fd   | 268      | 12/16/2011 | 2,186.01     | 1,956.61   |                      | 229.40              | 229.40          |
| 80798718       | 2/5/2013   | Jpmorgan High Yield Fd   | 437      | 12/16/2011 | 3,566.39     | 3,192.14   |                      | 374.25              | 374.25          |
| 80798718       | 2/5/2013   | Jpmorgan High Yield Fd   | 215      | 1/3/2012   | 1,757.04     | 1,572.66   |                      | 184.38              | 184.38          |
| 80798718       | 2/5/2013   | Jpmorgan High Yield Fd   | 180      | 2/1/2012   | 1,469.75     | 1,315.52   |                      | 154.23              | 154.23          |
| 80798718       | 2/5/2013   | Jpmorgan High Yield Fd   | 187      | 3/1/2012   | 1,527.59     | 1,367.29   | 160.30               |                     | 160.30          |
| 80798718       | 2/5/2013   | Jpmorgan High Yield Fd   | 198      | 4/2/2012   | 1,614.99     | 1,445.52   | 169.47               |                     | 169.47          |
| 80798718       | 2/5/2013   | Jpmorgan High Yield Fd   | 167      | 5/1/2012   | 1,360.48     | 1,217.73   | 142.75               |                     | 142.75          |
| 80798718       | 2/5/2013   | Jpmorgan High Yield Fd   | 185      | 5/31/2012  | 1,508.27     | 1,428.79   | 79.48                |                     | 79.48           |
| 80798718       | 2/5/2013   | Jpmorgan High Yield Fd   | 195      | 7/31/2012  | 1,588.68     | 1,543.90   | 44.78                |                     | 44.78           |
| 80798718       | 2/5/2013   | Jpmorgan High Yield Fd   | 194      | 8/31/2012  | 1,585.30     | 1,552.27   | 33.03                |                     | 33.03           |
| 80798718       | 2/5/2013   | Jpmorgan High Yield Fd   | 194      | 9/28/2012  | 1,581.95     | 1,560.62   | 21.33                |                     | 21.33           |
| 80798718       | 2/5/2013   | Jpmorgan High Yield Fd   | 194      | 10/31/2012 | 1,586.46     | 1,568.96   | 17.50                |                     | 17.50           |
| 80798718       | 2/5/2013   | Jpmorgan High Yield Fd   | 190      | 11/30/2012 | 1,553.97     | 1,540.64   | 13.33                |                     | 13.33           |
| 80798718       | 2/5/2013   | Jpmorgan High Yield Fd   | 52       | 12/13/2012 | 420.64       | 419.61     | 1.03                 |                     | 1.03            |
| 80798718       | 2/5/2013   | Jpmorgan High Yield Fd   | 189      | 12/13/2012 | 1,543.20     | 1,539.42   | 3.78                 |                     | 3.78            |
| 80798718       | 2/5/2013   | Jpmorgan High Yield Fd   | 229      | 12/31/2012 | 1,869.40     | 1,855.65   | 13.75                |                     | 13.75           |
| 80798718       | 2/5/2013   | Jpmorgan High Yield Fd   | 178      | 1/31/2013  | 1,454.56     | 1,456.34   | (1.78)               |                     | (1.78)          |
| 80798718       | 2/6/2013   | Pimco Commodity Real     | 11,311   | 12/10/2009 | 77,016.41    | 89,953.88  |                      | (12,937.47)         | (12,937.47)     |
| 80798718       | 2/6/2013   | Pimco Commodity Real     | 280      | 3/18/2010  | 1,908.03     | 2,228.55   |                      | (320.52)            | (320.52)        |
| 80798718       | 2/6/2013   | Pimco Commodity Real     | 335      | 6/4/2010   | 2,281.55     | 2,664.81   |                      | (383.26)            | (383.26)        |
| 80798718       | 2/6/2013   | Pimco Commodity Real     | 324      | 6/17/2010  | 2,205.31     | 2,575.76   |                      | (370.45)            | (370.45)        |
| 80798718       | 2/6/2013   | Pimco Commodity Real     | 354      | 9/16/2010  | 2,407.61     | 2,812.06   |                      | (404.45)            | (404.45)        |
| 80798718       | 2/6/2013   | Pimco Commodity Real     | 272      | 12/31/2010 | 1,850.97     | 2,161.90   |                      | (310.93)            | (310.93)        |
| 80798718       | 2/6/2013   | Pimco Commodity Real     | 352      | 3/17/2011  | 2,396.64     | 2,799.25   |                      | (402.61)            | (402.61)        |
| 80798718       | 2/6/2013   | Pimco Commodity Real     | 705      | 6/16/2011  | 4,801.35     | 5,607.90   |                      | (806.55)            | (806.55)        |
| 80798718       | 2/6/2013   | Pimco Commodity Real     | 701      | 9/15/2011  | 4,773.47     | 5,575.34   |                      | (801.87)            | (801.87)        |
| 80798718       | 2/6/2013   | Pimco Commodity Real     | 281      | 12/7/2011  | 1,912.88     | 2,234.20   |                      | (321.32)            | (321.32)        |
| 80798718       | 2/6/2013   | Pimco Commodity Real     | 67       | 12/7/2011  | 455.15       | 531.61     |                      | (76.46)             | (76.46)         |
| 80798718       | 12/28/2011 |                          | 1,927    | 12/28/2011 | 13,107.97    | 15,309.88  |                      | (2,201.91)          | (2,201.91)      |
| 80798718       | 2/6/2013   | Pimco Commodity Real     | 148      | 3/22/2012  | 1,011.05     | 1,180.89   | (169.84)             |                     | (169.84)        |
| 80798718       | 2/6/2013   | Pimco Commodity Real     | 136      | 9/20/2012  | 925.16       | 953.89     | (28.73)              |                     | (28.73)         |
| 80798718       | 2/6/2013   | Pimco Commodity Real     | 125      | 12/12/2012 | 851.88       | 848.31     | 3.57                 |                     | 3.57            |
| 80798718       | 2/6/2013   | Pimco Commodity Real     | 51       | 12/12/2012 | 345.84       | 344.38     | 1.46                 |                     | 1.46            |
| 80798718       | 2/6/2013   | Pimco Commodity Real     | 51       | 12/27/2012 | 347.29       | 339.20     | 8.09                 |                     | 8.09            |
| 80798718       | 5/1/2013   | Eaton Vance Global Macro | 54       | 5/31/2011  | 534.69       | 554.80     |                      | (20.11)             | (20.11)         |
| 80798718       | 5/1/2013   | Eaton Vance Global Macro | 57       | 6/30/2011  | 557.89       | 578.87     |                      | (20.98)             | (20.98)         |
| 80798718       | 5/1/2013   | Eaton Vance Global Macro | 59       | 7/29/2011  | 578.23       | 599.98     |                      | (21.75)             | (21.75)         |
| 80798718       | 5/1/2013   | Eaton Vance Global Macro | 59       | 8/31/2011  | 582.69       | 604.60     |                      | (21.91)             | (21.91)         |
| 80798718       | 5/1/2013   | Eaton Vance Global Macro | 59       | 9/30/2011  | 581.11       | 602.96     |                      | (21.85)             | (21.85)         |
| 80798718       | 5/1/2013   | Eaton Vance Global Macro | 61       | 10/31/2011 | 595.57       | 607.97     |                      | (22.40)             | (22.40)         |
| 80798718       | 5/1/2013   | Eaton Vance Global Macro | 59       | 11/30/2011 | 581.76       | 603.63     |                      | (21.87)             | (21.87)         |
| 80798718       | 5/1/2013   | Eaton Vance Global Macro | 62       | 12/30/2011 | 607.25       | 630.08     |                      | (22.83)             | (22.83)         |

| Account Number                     | Sold Date  | Description                      | Quantity | Open Date  | Net Proceeds      | Cost Basis        | Short Term Gain/Loss | Long Term Gain/Loss | Total Gain/Loss  |
|------------------------------------|------------|----------------------------------|----------|------------|-------------------|-------------------|----------------------|---------------------|------------------|
| 80798718                           | 5/1/2013   | Eaton Vance Global Macro         | 61       | 1/31/2012  | 597.60            | 620.07            | (22.47)              | (22.47)             | (22.47)          |
| 80798718                           | 5/1/2013   | Eaton Vance Global Macro         | 57       | 2/29/2012  | 559.08            | 580.11            | (21.03)              | (21.03)             | (21.03)          |
| 80798718                           | 5/1/2013   | Eaton Vance Global Macro         | 61       | 3/30/2012  | 602.40            | 625.05            | (22.65)              | (22.65)             | (22.65)          |
| 80798718                           | 5/1/2013   | Eaton Vance Global Macro         | 60       | 4/30/2012  | 585.87            | 607.90            | (22.03)              | (22.03)             | (22.03)          |
| 80798718                           | 5/1/2013   | Eaton Vance Global Macro         | 63       | 8/31/2012  | 615.65            | 616.59            | (0.94)               | (0.94)              | (0.94)           |
| 80798718                           | 5/1/2013   | Eaton Vance Global Macro         | 60       | 9/28/2012  | 590.86            | 598.36            | (7.50)               | (7.50)              | (7.50)           |
| 80798718                           | 5/1/2013   | Eaton Vance Global Macro         | 63       | 10/31/2012 | 616.87            | 620.31            | (3.44)               | (3.44)              | (3.44)           |
| 80798718                           | 5/1/2013   | Eaton Vance Global Macro         | 69       | 1/31/2013  | 675.12            | 680.26            | (5.14)               | (5.14)              | (5.14)           |
| 80798718                           | 5/1/2013   | Eaton Vance Global Macro         | 62       | 2/28/2013  | 613.72            | 616.52            | (2.80)               | (2.80)              | (2.80)           |
| 80798718                           | 5/1/2013   | Eaton Vance Global Macro         | 69       | 3/27/2013  | 682.47            | 685.58            | (3.11)               | (3.11)              | (3.11)           |
| 80798718                           | 5/1/2013   | Eaton Vance Global Macro         | 67       | 4/29/2013  | 663.94            | 664.94            | (1.00)               | (1.00)              | (1.00)           |
| 80798718                           | 5/1/2013   | Eaton Vance Global Macro         | 3,920    | 4/27/2011  | 38,577.23         | 40,027.58         | (1,450.35)           | (1,450.35)          | (1,450.35)       |
| <b>Total for Account 8079-8718</b> |            |                                  |          |            | <b>874,641.13</b> | <b>862,838.62</b> | <b>420.89</b>        | <b>11,381.62</b>    | <b>11,802.51</b> |
| 42006279                           | 7/31/2012  | Emerson Electric Co              | 113      | 12/11/2009 | 5,389.74          | 4,740.35          | (649.39)             | 649.39              | 649.39           |
| 42006279                           | 7/31/2012  | Emerson Electric Co              | 20       | 2/16/2012  | 953.94            | 1,023.40          | (69.46)              | (69.46)             | (69.46)          |
| 42006279                           | 9/24/2012  | Teva Pharm Inds Ltd Adrf         | 108      | 12/11/2009 | 4,316.65          | 5,703.27          | (1,386.62)           | (1,386.62)          | (1,386.62)       |
| 42006279                           | 9/24/2012  | Teva Pharm Inds Ltd Adrf         | 65       | 4/27/2011  | 2,597.98          | 2,993.90          | (395.92)             | (395.92)            | (395.92)         |
| 42006279                           | 12/13/2012 | Berkshire Hathaway B New         | 55       | 12/11/2009 | 4,911.17          | 3,600.84          | 1,310.33             | 1,310.33            | 1,310.33         |
| 42006279                           | 12/14/2012 | Adobe Systems Inc                | 131      | 12/11/2009 | 4,915.92          | 4,624.30          | 291.62               | 291.62              | 291.62           |
| 42006279                           | 12/14/2012 | Adobe Systems Inc                | 70       | 4/27/2011  | 2,626.82          | 2,330.25          | 296.57               | 296.57              | 296.57           |
| 42006279                           | 1/28/2013  | Nike Inc Class B                 | 98       | 12/11/2009 | 5,341.06          | 3,123.22          | 2,217.84             | 2,217.84            | 2,217.84         |
| 42006279                           | 1/28/2013  | Nike Inc Class B                 | 30       | 4/27/2011  | 1,635.02          | 1,202.70          | 432.32               | 432.32              | 432.32           |
| 42006279                           | 2/22/2013  | Wal-Mart Stores Inc              | 94       | 12/11/2009 | 6,595.37          | 5,144.15          | 1,451.22             | 1,451.22            | 1,451.22         |
| 42006279                           | 2/22/2013  | Wal-Mart Stores Inc              | 30       | 4/27/2011  | 1,624.09          | 1,624.09          | 480.81               | 480.81              | 480.81           |
| 42006279                           | 3/14/2013  | Google Inc Class A               | 4        | 12/11/2009 | 3,288.37          | 2,362.31          | 926.06               | 926.06              | 926.06           |
| 42006279                           | 3/20/2013  | Darden Restaurants Inc           | 130      | 10/19/2011 | 6,326.47          | 6,026.53          | 299.94               | 299.94              | 299.94           |
| 42006279                           | 5/10/2013  | Devon Energy Cp New              | 89       | 12/11/2009 | 5,207.14          | 5,683.54          | (476.40)             | (476.40)            | (476.40)         |
| 42006279                           | 5/10/2013  | Devon Energy Cp New              | 30       | 4/27/2011  | 1,755.21          | 2,643.12          | (887.91)             | (887.91)            | (887.91)         |
| <b>Total for Account 4200-6279</b> |            |                                  |          |            | <b>57,965.76</b>  | <b>52,825.97</b>  | <b>(69.46)</b>       | <b>5,209.25</b>     | <b>5,139.79</b>  |
| 61260754                           | 8/21/2012  | Agnico-Eagle Mines Ltd           | 51       | Various    | 2,375.12          | 2,233.92          | 141.20               | 141.20              | 141.20           |
| 61260754                           | 8/21/2012  | Big Lots Inc                     | 45       | Various    | 1,742.00          | 1,643.67          | 98.33                | 98.33               | 98.33            |
| 61260754                           | 8/21/2012  | Dr Pepper Snapple Group          | 25       | Various    | 1,133.72          | 1,029.75          | 103.97               | 103.97              | 103.97           |
| 61260754                           | 8/21/2012  | Hasbro Inc                       | 49       | Various    | 1,857.61          | 1,743.63          | 113.98               | 113.98              | 113.98           |
| 61260754                           | 8/21/2012  | Ingersoll Rand CL A Newf Ireland | 27       | Various    | 1,257.93          | 809.80            | 448.13               | 448.13              | 448.13           |
| 61260754                           | 8/21/2012  | Kellogg Company                  | 29       | Various    | 1,489.70          | 1,442.52          | 47.18                | 47.18               | 47.18            |
| 61260754                           | 8/21/2012  | MetroPCS Communications          | 138      | Various    | 1,390.04          | 1,202.33          | 187.71               | 187.71              | 187.71           |
| 61260754                           | 8/21/2012  | Pico Holdings Inc New            | 57       | Various    | 1,260.30          | 1,196.69          | 63.61                | 63.61               | 63.61            |
| 61260754                           | 8/21/2012  | Pitney Bowes Inc                 | 110      | Various    | 1,500.48          | 1,870.85          | (370.37)             | (370.37)            | (370.37)         |
| 61260754                           | 8/21/2012  | SPX Corp                         | 19       | Various    | 1,241.62          | 993.08            | 248.54               | 248.54              | 248.54           |
| 61260754                           | 8/21/2012  | Scotts Miracle Gro Co            | 24       | Various    | 1,031.50          | 1,169.38          | (137.88)             | (137.88)            | (137.88)         |
| 61260754                           | 8/21/2012  | Talisman Energy Inc              | 95       | Various    | 1,287.32          | 1,020.46          | 266.86               | 266.86              | 266.86           |
| 61260754                           | 8/21/2012  | Terex Corp                       | 100      | Various    | 2,162.35          | 1,233.48          | 928.87               | 928.87              | 928.87           |
| 61260754                           | 8/21/2012  | The Charles Schwab Corp          | 213      | Various    | 2,799.14          | 2,538.84          | 260.30               | 260.30              | 260.30           |
| 61260754                           | 8/21/2012  | Transocean Inc New               | 34       | Various    | 1,665.96          | 1,367.44          | 298.52               | 298.52              | 298.52           |
| 61260754                           | 8/21/2012  | VCA Antech Inc                   | 47       | Various    | 889.27            | 805.86            | 83.41                | 83.41               | 83.41            |
| 61260754                           | 8/21/2012  | Xylem                            | 56       | Various    | 1,416.99          | 1,606.12          | (189.13)             | (189.13)            | (189.13)         |
| 61260754                           | 8/21/2012  | Aetna Inc New                    | 24       | Various    | 957.36            | 706.92            | 250.44               | 250.44              | 250.44           |
| 61260754                           | 8/21/2012  | Alliant Techsystems Inc          | 47       | Various    | 2,416.97          | 3,307.71          | (890.74)             | (890.74)            | (890.74)         |
| 61260754                           | 8/21/2012  | Amphenol Corp CL A               | 23       | Various    | 1,425.05          | 984.20            | 440.85               | 440.85              | 440.85           |
| 61260754                           | 8/21/2012  | Avon Products Inc                | 87       | Various    | 1,397.28          | 2,231.41          | (834.13)             | (834.13)            | (834.13)         |
| 61260754                           | 8/21/2012  | Best Buy Inc                     | 44       | Various    | 781.11            | 1,401.90          | (620.79)             | (620.79)            | (620.79)         |
| 61260754                           | 8/21/2012  | Boston Scientific Corp           | 428      | Various    | 2,402.31          | 3,168.14          | (765.83)             | (765.83)            | (765.83)         |

| Account Number                          | Sold Date | Description                     | Quantity | Open Date | Net Proceeds | Cost Basis   | Short Term Gain/Loss | Long Term Gain/Loss | Total Gain/Loss |
|-----------------------------------------|-----------|---------------------------------|----------|-----------|--------------|--------------|----------------------|---------------------|-----------------|
| 61260754                                | 8/21/2012 | CRH PLC Sponsored ADR           | 79       | Various   | 1,433.50     | 1,619.93     | (186.43)             | (186.43)            | (186.43)        |
| 61260754                                | 8/21/2012 | CA Inc                          | 73       | Various   | 1,887.75     | 1,553.98     | 333.77               | 333.77              | 333.77          |
| 61260754                                | 8/21/2012 | Cameron Intl Corp               | 25       | Various   | 1,351.35     | 1,201.27     | 150.08               | 150.08              | 150.08          |
| 61260754                                | 8/21/2012 | Citi Trends Inc                 | 73       | Various   | 839.55       | 850.98       | (11.43)              | (11.43)             | (11.43)         |
| 61260754                                | 8/21/2012 | Con Way Inc                     | 34       | Various   | 1,061.80     | 1,101.56     | (39.76)              | (39.76)             | (39.76)         |
| 61260754                                | 8/21/2012 | Dolby Laboratories Inc          | 24       | Various   | 836.62       | 699.04       | 137.58               | 137.58              | 137.58          |
| 61260754                                | 8/21/2012 | Expeditors Intl Wash            | 36       | Various   | 1,382.80     | 1,409.17     | (26.37)              | (26.37)             | (26.37)         |
| 61260754                                | 8/21/2012 | Foster Wheeler AG Ord F         | 76       | Various   | 1,546.71     | 2,333.20     | (786.49)             | (786.49)            | (786.49)        |
| 61260754                                | 8/21/2012 | Gamestop Corp CL A New          | 145      | Various   | 2,809.03     | 3,271.04     | (462.01)             | (462.01)            | (462.01)        |
| 61260754                                | 8/21/2012 | Guess Inc                       | 90       | Various   | 2,973.89     | 3,037.71     | (63.82)              | (63.82)             | (63.82)         |
| 61260754                                | 8/21/2012 | Legg Mason Inc                  | 40       | Various   | 1,027.20     | 1,093.92     | (66.72)              | (66.72)             | (66.72)         |
| 61260754                                | 8/21/2012 | Linear Technology Corp Delaware | 50       | Various   | 1,642.06     | 1,417.78     | 224.28               | 224.28              | 224.28          |
| 61260754                                | 8/21/2012 | Molson Coors Brewing CLB        | 71       | Various   | 3,139.77     | 3,160.77     | (21.00)              | (21.00)             | (21.00)         |
| 61260754                                | 8/21/2012 | Newfield Exploration Co         | 56       | Various   | 1,781.99     | 2,211.60     | (429.61)             | (429.61)            | (429.61)        |
| 61260754                                | 8/21/2012 | Northern Trust Corp             | 78       | Various   | 3,655.79     | 3,787.65     | (131.86)             | (131.86)            | (131.86)        |
| 61260754                                | 8/21/2012 | Peoples United Finl Inc         | 229      | Various   | 2,757.49     | 3,372.15     | (614.66)             | (614.66)            | (614.66)        |
| 61260754                                | 8/21/2012 | Progressive Corp Ohio           | 86       | Various   | 1,700.27     | 1,523.36     | 176.91               | 176.91              | 176.91          |
| 61260754                                | 8/21/2012 | Quest Diagnostic Inc            | 18       | Various   | 1,090.60     | 946.55       | 144.05               | 144.05              | 144.05          |
| 61260754                                | 8/21/2012 | Republic Services Inc           | 42       | Various   | 1,180.72     | 1,249.46     | (68.74)              | (68.74)             | (68.74)         |
| 61260754                                | 8/21/2012 | Research in Motion Ltd          | 112      | Various   | 799.88       | 2,539.35     | (1,739.47)           | (1,739.47)          | (1,739.47)      |
| 61260754                                | 8/21/2012 | Safeway Inc                     | 89       | Various   | 1,417.12     | 1,872.09     | (454.97)             | (454.97)            | (454.97)        |
| 61260754                                | 8/21/2012 | Sigma Aldrich Corp              | 18       | Various   | 1,277.97     | 946.08       | 331.89               | 331.89              | 331.89          |
| 61260754                                | 8/21/2012 | Southwestern Energy Co          | 85       | Various   | 2,749.10     | 3,016.46     | (267.36)             | (267.36)            | (267.36)        |
| 61260754                                | 8/21/2012 | Symantec Corp                   | 102      | Various   | 1,831.06     | 1,424.21     | 406.85               | 406.85              | 406.85          |
| 61260754                                | 8/21/2012 | Tellabs Inc                     | 361      | Various   | 1,198.85     | 1,375.82     | (176.97)             | (176.97)            | (176.97)        |
| 61260754                                | 8/21/2012 | UGI Corporation New             | 38       | Various   | 1,175.01     | 937.75       | 237.26               | 237.26              | 237.26          |
| 61260754                                | 8/21/2012 | Weatherford Intl Ltd            | 238      | Various   | 3,035.00     | 4,337.23     | (1,302.23)           | (1,302.23)          | (1,302.23)      |
| 61260754                                | 8/21/2012 | Western Union Company           | 151      | Various   | 2,671.28     | 2,492.60     | 178.68               | 178.68              | 178.68          |
| Total for Account 6126-0754             |           |                                 |          |           | 86,135.29    | 90,490.81    | 2,593.23             | (6,948.75)          | (4,355.52)      |
| 7/1/12-6/30/13 Total Realized Gain/Loss |           |                                 |          |           | 1,556,693.70 | 1,526,305.44 | 5,683.91             | 24,704.35           | 30,388.26       |