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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2012

Open to public inspection

For calendar year 2012 or tax year beginning DEC 4, 2012, and ending JUN 30, 2013

Name of foundation DON AND MARILYN ALLAN FAMILY FOUNDATION		A Employer identification number 46-1505684
Number and street (or P.O. box number if mail is not delivered to street address) C/O CUMMINGS & LOCKWOOD, POB 271820	Room/suite	B Telephone number 860-313-4937
City or town, state, and ZIP code WEST HARTFORD, CT 06127		C If exemption application is pending, check here ☐
G Check all that apply: ☒ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 430,557.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	410,360.		N/A	
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	535.	535.		STATEMENT 2
	4 Dividends and interest from securities	2,845.	2,845.		STATEMENT 3
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	19,553.			STATEMENT 1
	b Gross sales price for all assets on line 6a	360,473.			
	7 Capital gain net income (from Part IV, line 2)		6,133.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	433,293.	9,513.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 4	34.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
23 Other expenses STMT 5	3,696.	3,696.		0.	
24 Total operating and administrative expenses. Add lines 13 through 23	3,730.	3,696.		0.	
25 Contributions, gifts, grants paid	6,300.			6,300.	
26 Total expenses and disbursements. Add lines 24 and 25	10,030.	3,696.		6,300.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	423,263.				
b Net investment income (if negative, enter -0-)		5,817.			
c Adjusted net income (if negative, enter -0-)			N/A		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing				38,086.	38,086.
	2 Savings and temporary cash investments					
	3 Accounts receivable					
	Less: allowance for doubtful accounts					
	4 Pledges receivable					
	Less: allowance for doubtful accounts					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable					
	Less: allowance for doubtful accounts					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock	STMT 6		0.	163,599.	173,208.
	c Investments - corporate bonds	STMT 7		0.	114,909.	110,645.
Liabilities	11 Investments - land, buildings, and equipment basis					
	Less accumulated depreciation					
	12 Investments - mortgage loans					
	13 Investments - other	STMT 8		0.	106,669.	108,618.
	14 Land, buildings, and equipment: basis					
	Less accumulated depreciation					
	15 Other assets (describe)					
	16 Total assets (to be completed by all filers)			0.	423,263.	430,557.
	17 Accounts payable and accrued expenses					
	18 Grants payable					
Net Assets or Fund Balances	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe)					
	23 Total liabilities (add lines 17 through 22)			0.	0.	
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☐					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒					
	27 Capital stock, trust principal, or current funds			0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds			0.	423,263.	
	30 Total net assets or fund balances			0.	423,263.	
	31 Total liabilities and net assets/fund balances			0.	423,263.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	0.
2 Enter amount from Part I, line 27a	2	423,263.
3 Other increases not included in line 2 (itemize)	3	0.
4 Add lines 1, 2, and 3	4	423,263.
5 Decreases not included in line 2 (itemize)	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	423,263.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PER SCHEDULE ATTACHED	P		
b 4,000 SH STANLEY BLACK & DECKER	D	02/14/12	01/25/13
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 53,530.		53,560.	<30.>
b 306,938.		300,780.	6,158.
c 5.			5.
d			
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			<30.>
b			6,158.
c			5.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	6,133.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

N/A

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes

No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011			
2010			
2009			
2008			
2007			

2 Total of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	116.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	116.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	116.
6	Credits/Payments:		
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	116.
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	116.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be: Credited to 2013 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>CT</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>PAUL L. BOURDEAU, ESQ.</u> Telephone no. ► <u>860-313-4930</u> Located at ► <u>P.O. BOX 271820, WEST HARTFORD, CT</u> ZIP+4 ► <u>06127</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A ☐

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

5b

6b

7b

X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DONALD ALLAN, JR. CUMMINGS & LOCKWOOD, POB 271820 WEST HARTFORD, CT 06127	TRUSTEE 0.00	0.	0.	0.
MARILYN N. ALLAN CUMMINGS & LOCKWOOD, POB 271820 WEST HARTFORD, CT 06127	TRUSTEE 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	377,156.
b Average of monthly cash balances	1b	23,634.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	400,790.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	400,790.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	6,012.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	394,778.
6 Minimum investment return. Enter 5% of line 5 ADJUSTED FOR SHORT TAX PERIOD	6	11,302.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	11,302.
2a Tax on investment income for 2012 from Part VI, line 5	2a	116.
b Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	116.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	11,186.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	11,186.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	11,186.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	6,300.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	6,300.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	6,300.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				11,186.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$ 6,300.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				6,300.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				4,886.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
FRONT RANGE EQUINE RESCUE 2200 TWYLBY ROAD LARKSPUR, CO 80118	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	300.
JACKIE SPELLMAN SCHOLARSHIP FOUNDATION 935 ELDRIDGE ROAD, BOX 200 SUGAR LAND, TX 77478	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	5,000.
PETS ON WHEELS OF CONNECTICUT INC. P.O. BOX 654 GLASTONBURY, CT 06033	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	800.
THE HUMANE SOCIETY WILDLIFE LAND TRUST 2100 L STREET NW WASHINGTON, DC 20037	NONE	PUBLIC	CURRENT USE FOR CHARITABLE PURPOSES	200.
Total			3a	6,300.
b Approved for future payment				
NONE				
Total			3b	0.

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2012

Name of the organization

Employer identification number

DON AND MARILYN ALLAN FAMILY FOUNDATION

46-1505684

Organization type(check one):

Filers of:

Section:

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Name of organization	Employer identification number
DON AND MARILYN ALLAN FAMILY FOUNDATION	46-1505684

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DONALD ALLAN, JR. & MARILYN N. ALLAN 130 COLTON ROAD GLASTONBURY, CT 06033	\$ 123,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
2	DONALD ALLAN, JR. & MARILYN N. ALLAN 130 COLTON ROAD GLASTONBURY, CT 06033	\$	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Employer identification number

46-1505684

[illegible]

Name of organization	Employer identification number
DON AND MARILYN ALLAN FAMILY FOUNDATION	46-1505684

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ► \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF	GAIN OR (LOSS) FROM SALE OF ASSETS	STATEMENT	1
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(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
PER SCHEDULE ATTACHED					
	53,530.	53,560.	0.	0.	<30.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
PURCHASED					
4,000 SH STANLEY BLACK & DECKER	DONATED	02/14/12	01/25/13		
	306,938.	287,360.	0.	0.	19,578.

CAPITAL GAINS DIVIDENDS FROM PART IV	5.
TOTAL TO FORM 990-PF, PART I, LINE 6A	19,553.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS	STATEMENT	2
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SOURCE	AMOUNT
FEDERAL FARM CREDIT BANK @ 3.0% DUE 6/2/17	205.
FEDERAL FARM CREDIT BANK @ 4.125% DUE 3/4/19	52.
FEDERAL FARM CREDIT BANK @ 4.15% DUE 1/7/19	<62.>
FEDERAL HOME LOAN BANK @ 3.25% DUE 3/9/18	110.
GENERAL ELEC CAP CORP @ 4.75% DUE 2/15/18	109.
JOHN DEERE CAPITAL CORP @ 5.75% DUE 9/10/18	118.
MERRILL LYNCH #814-04117	3.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	535.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES		STATEMENT	3
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT	
ACE LIMITED	23.	0.	23.	
AMERICAN EXPRESS COMPANY	26.	0.	26.	
AMERICAN WATER WORKS CO INC	40.	0.	40.	
CALAMOS MARKET NEUTRAL INC FUND	80.	0.	80.	
CAPITAL GAIN DISTRIBUTION	5.	5.	0.	
CARDINAL HEALTH INC OHIO	47.	0.	47.	
CATERPILLAR INC	50.	0.	50.	
COMCAST CORP NEW CL A	28.	0.	28.	
COSTCO WHOLESALE CORP	34.	0.	34.	
COVIDIEN PLC	26.	0.	26.	
CVS CAREMARK CORP	33.	0.	33.	
DIAGEO PLC SPONSORED ADR	50.	0.	50.	
FEDEX CORP DELAWARE	5.	0.	5.	
GENERAL ELECTRIC CO	54.	0.	54.	
HENERSON INTERNATIONAL OPPORTUNITIES	28.	0.	28.	
HOME DEPOT INC	53.	0.	53.	
HONEYWELL INTERNATIONAL INC	59.	0.	59.	
INTERNATIONAL BUSINESS MACHINES	50.	0.	50.	
ISHARES MSCI EAFE INDEX FUND	20.	0.	20.	
ISHARES TR RUSSELL 2000	31.	0.	31.	
JANUS HIGH YIELD FUND CL I	368.	0.	368.	
LOOMIS SAYLES STRATEGIC INC FUND	244.	0.	244.	
LORD ABBETT BOND DEBENTURE FUND	165.	0.	165.	
LORD ABBETT SHORT DURATION INC FUND	70.	0.	70.	
MARATHON OIL CORP	61.	0.	61.	
MCDONALD'S CORP	79.	0.	79.	
MCKESSON CORPORATION	5.	0.	5.	
MICROSOFT CORP	120.	0.	120.	
MONSANTO CO NEW	16.	0.	16.	
NIKE INC CL B	15.	0.	15.	
OCCIDENTAL PETE CORP	40.	0.	40.	
PRICE T ROWE GROUP INC	81.	0.	81.	
SAP AG	130.	0.	130.	
SCHLUMBERGER LTD	21.	0.	21.	
SPDR S&P MIDCAP 400 ETF TRUST	31.	0.	31.	
TEMPLETON GLOBAL BOND FUND ADV CL	322.	0.	322.	
THERMO FISHER SCIENTIFIC INC	7.	0.	7.	
UNITED PARCEL SERVICE CL B	93.	0.	93.	
UNITED TECHS CORP	78.	0.	78.	
VISA INC CL A	19.	0.	19.	
WELLS FARGO & CO NEW	65.	0.	65.	
WISCONSIN ENERGY CORP	78.	0.	78.	
TOTAL TO FM 990-PF, PART I, LN 4	2,850.	5.	2,845.	

FORM 990-PF	TAXES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAX WITHHELD FROM DIVIDEND	34.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	34.	0.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
MERRILL LYNCH WEALTH MANAGEMENT, ADVISORY FEES	3,694.	3,694.		0.	
DEPOSITORY BANK SERVICE FEE	2.	2.		0.	
TO FORM 990-PF, PG 1, LN 23	3,696.	3,696.		0.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION	BOOK VALUE		FAIR MARKET VALUE	
43 SH ACE LIMITED	3,642.		3,848.	
97 SH AMERICAN EXPRESS COMPANY	5,712.		7,252.	
107 SH AMERICAN WATER WORKS CO INC	4,054.		4,412.	
146 SH CARDINAL HEALTH INC OHIO	6,389.		6,891.	
93 SH CATERPILLAR INC	8,563.		7,672.	
108 SH COMCAST CORP NEW CL A	4,201.		4,509.	
53 SH COSTCO WHOLESALE CORP	5,393.		5,860.	
97 SH COVIDIEN PLC	5,953.		6,095.	
106 SH CVS CAREMARK CORP	5,403.		6,061.	
41 DIAGEO PLC SPONSORED ADR	4,880.		4,713.	
64 SH FEDEX CORP DELAWARE	6,463.		6,309.	
285 SH GENERAL ELECTRIC CO	6,340.		6,609.	
63 SH HOME DEPOT INC	4,200.		4,881.	
67 SH HONEYWELL INTERNATIONAL INC	4,525.		5,316.	
25 SH INTERNATIONAL BUSINESS MACHINES	5,068.		4,778.	
166 SH MARATHON OIL CORP	5,497.		5,740.	
47 SH MCDONALD'S CORP	4,406.		4,653.	
21 MCKESSON CORPORATION	2,177.		2,404.	

235 SH MICROSOFT CORP	6,525.	8,118.
30 SH MONSANTO CO NEW	2,998.	2,964.
67 SH NIKE INC CL B	3,587.	4,267.
57 SH OCCIDENTAL PETE CORP	4,755.	5,086.
100 SH PRICE T ROWE GROUP INC	7,290.	7,320.
109 SH SAP AG	8,867.	7,938.
74 SH SCHLUMBERGER LTD	5,665.	5,303.
39 SH THERMO FISHER SCIENTIFIC INC	2,984.	3,301.
69 SH UNITED PARCEL SERVICE CL B	5,479.	5,967.
67 SH UNITED TECHS CORP	5,842.	6,227.
26 SH VISA INC CL A	4,102.	4,752.
158 SH VODAFONE GROUP PLC SP ADR	4,245.	4,541.
124 SH WELLS FARGO & CO NEW	4,334.	5,117.
105 SH WISCONSIN ENERGY CORP	4,060.	4,304.
TOTAL TO FORM 990-PF, PART II, LINE 10B	163,599.	173,208.

FORM 990-PF	CORPORATE BONDS	STATEMENT	7
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
\$20,000 FEDERAL FARM CREDIT BANK BOND @ 4.15% DUE 1/7/19	23,210.	22,218.
\$15,000 FEDERAL FARM CREDIT BANK BOND @ 4.125% DUE 3/4/19	17,450.	16,674.
\$15,000 FEDERAL FARM CREDIT BANK BOND @ 3.0% DUE 6/2/17	16,433.	15,960.
\$15,000 FEDERAL HOME LOAN BANK BOND @ 3.25% DUE 3/9/18	16,747.	16,155.
\$15,000 GENERAL ELEC CAP CORP BOND @ 4.75% DUE 2/15/18	16,691.	16,297.
\$20,000 JOHN DEERE CAPITAL CORP BOND @ 5.75% DUE 9/10/18	24,378.	23,341.
TOTAL TO FORM 990-PF, PART II, LINE 10C	114,909.	110,645.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
582.205 SH CALAMOS MARKET NEUTRAL INCOME FUND CL I	COST	7,361.	7,342.
395.424 SH HENDERSON INTERNATIONAL OPPORTUNITIES FUND CL I	COST	8,576.	8,873.
75 SH ISHARES MSCI EAFE INDEX FUND	COST	4,352.	4,298.
50 SH ISHARES TR RUSSELL 2000	COST	4,392.	4,850.

DON AND MARILYN ALLAN FAMILY FOUNDATION

46-1505684

1,661.233 SH JANUS HIGH YIELD FUND CL I	COST	15,627.	15,200.
1,044.918 SH JANUS TRITON FUND CL I	COST	20,146.	21,254.
602.087 SH LOOMIS SAYLES STRATEGIC INCOME FUND CL Y	COST	9,491.	9,387.
892.685 SH LORD ABBETT BOND DEBENTURE FUND CL F	COST	7,359.	7,177.
937.782 SH LORD ABBETT SHORT DURATION INCOME FUND CL F	COST	4,361.	4,276.
297.266 SH MFS INTERNATIONAL VALUE FUND CL I	COST	8,631.	9,423.
34 SH SPDR S&P MIDCAP 400 ETF TRUST	COST	6,624.	7,143.
727.731 SH TEMPLETON GLOBAL BOND FUND ADV CL	COST	9,749.	9,395.
TOTAL TO FORM 990-PF, PART II, LINE 13		106,669.	108,618.

FORM 990-PF	LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10	STATEMENT	9
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NAME OF CONTRIBUTOR

ADDRESS

DONALD ALLAN, JR.

130 COLTON ROAD
GLASTONBURY, CT 06033

MARILYN N. ALLAN

130 COLTON ROAD
GLASTONBURY, CT 06033

990

Form 990-PF - Average Monthly Value of Investments

	(Line 1a)		(Line 1b)	
	FMV of Securities	Calculated Averages	Cash Balances	Calculated Averages
Beginning (optional):				
1st month ...	0.		0.	
Ending:				
1st month	410,409.	205,205.	7,869.	3,935.
2nd month	324,925.	367,667.	104,473.	56,171.
3rd month	419,138.	372,032.	11,028.	57,751.
4th month	429,476.	424,307.	9,070.	10,049.
5th month	429,728.	429,602.	6,897.	7,984.
6th month	430,181.	429,955.	7,056.	6,977.
7th month	392,471.	411,326.	38,086.	22,571.
8th month				
9th month				
10th month				
11th month				
12th month				
Total of all months		2,640,094.		165,438.
Number of months in year		7		7
Average monthly value		377,156.		23,634.
Number of months in year - override				

The Don and Marilyn Allan Family Foundation
Gains and Losses on a Tax Basis

For Tax Purposes From December 04, 2012 to June 30, 2013

Date Acquired	Date Sold	Par Value/Shares	Proceeds	Tax Cost Basis	Gain or Loss
Short Term Gains and Losses					
Templeton Global Bond Fund Adv CI					
1/28/2013	6/24/2013	789.889	\$ 9,999.99	\$ 10,576.61	\$ -576.62
Totals for this asset			<u>\$ 9,999.99</u>	<u>\$ 10,576.61</u>	<u>\$ -576.62</u>
Ace Limited					
12/20/2012	6/24/2013	4	\$ 340.51	\$ 323.96	\$ 16.55
Totals for this asset			<u>\$ 340.51</u>	<u>\$ 323.96</u>	<u>\$ 16.55</u>
American Express Company					
12/20/2012	6/24/2013	6	\$ 432.35	\$ 342.59	\$ 89.76
Totals for this asset			<u>\$ 432.35</u>	<u>\$ 342.59</u>	<u>\$ 89.76</u>
American Water Works Co Inc					
12/20/2012	6/24/2013	9	\$ 350.99	\$ 342.27	\$ 8.72
Totals for this asset			<u>\$ 350.99</u>	<u>\$ 342.27</u>	<u>\$ 8.72</u>
Cardinal Health Inc Ohio					
12/20/2012	6/24/2013	9	\$ 419.57	\$ 379.98	\$ 39.59
Totals for this asset			<u>\$ 419.57</u>	<u>\$ 379.98</u>	<u>\$ 39.59</u>
Comcast Corp New CI A					
12/20/2012	6/24/2013	9	\$ 357.56	\$ 336.69	\$ 20.87
Totals for this asset			<u>\$ 357.56</u>	<u>\$ 336.69</u>	<u>\$ 20.87</u>
Costco Wholesale Corp					
12/20/2012	6/24/2013	5	\$ 545.24	\$ 490.45	\$ 54.79
Totals for this asset			<u>\$ 545.24</u>	<u>\$ 490.45</u>	<u>\$ 54.79</u>
Covidien PLC					
12/20/2012	6/24/2013	4	\$ 242.39	\$ 229.92	\$ 12.47
Totals for this asset			<u>\$ 242.39</u>	<u>\$ 229.92</u>	<u>\$ 12.47</u>
CVS Caremark Corp					
12/20/2012	6/24/2013	9	\$ 508.04	\$ 442.44	\$ 65.60
Totals for this asset			<u>\$ 508.04</u>	<u>\$ 442.44</u>	<u>\$ 65.60</u>
Diageo PLC Sponsored ADR					
12/20/2012	6/24/2013	4	\$ 450.19	\$ 474.96	\$ -24.77
Totals for this asset			<u>\$ 450.19</u>	<u>\$ 474.96</u>	<u>\$ -24.77</u>

Gains and Losses on a Tax Basis (Continued)

For Tax Purposes From December 04, 2012 to June 30, 2013

Date Acquired	Date Sold	Par Value/Shares	Proceeds	Tax Cost Basis	Gain or Loss
Short Term Gains and Losses					
Digital Rlty Tr Inc.					
12/20/2012	2/6/2013	25 \$	1,647.68	\$ 1,638.25	\$ 9.43
1/31/2013	2/6/2013	39	2,570.38	2,822.04	-251.66
2/6/2013	2/6/2013	18	1,186.33	1,223.46	-37.13
Totals for this asset			<u>\$ 5,404.39</u>	<u>\$ 5,683.75</u>	<u>\$ -279.36</u>
Home Depot Inc.					
12/20/2012	6/24/2013	5 \$	363.34	\$ 314.45	\$ 48.89
Totals for this asset			<u>\$ 363.34</u>	<u>\$ 314.45</u>	<u>\$ 48.89</u>
Honeywell International Inc					
12/20/2012	6/24/2013	5 \$	381.94	\$ 311.55	\$ 70.39
Totals for this asset			<u>\$ 381.94</u>	<u>\$ 311.55</u>	<u>\$ 70.39</u>
International Business Machines					
12/20/2012	6/24/2013	3 \$	577.13	\$ 580.71	\$ -3.58
Totals for this asset			<u>\$ 577.13</u>	<u>\$ 580.71</u>	<u>\$ -3.58</u>
Marathon Oil Corp					
12/20/2012	6/24/2013	12 \$	401.51	\$ 369.48	\$ 32.03
Totals for this asset			<u>\$ 401.51</u>	<u>\$ 369.48</u>	<u>\$ 32.03</u>
McDonald's Corp					
12/20/2012	6/24/2013	4 \$	385.83	\$ 358.88	\$ 26.95
Totals for this asset			<u>\$ 385.83</u>	<u>\$ 358.88</u>	<u>\$ 26.95</u>
McKesson Corporation					
12/20/2012	6/24/2013	2 \$	221.47	\$ 196.52	\$ 24.95
Totals for this asset			<u>\$ 221.47</u>	<u>\$ 196.52</u>	<u>\$ 24.95</u>
Microsoft Corp					
12/20/2012	3/6/2013	27 \$	759.04	\$ 733.05	\$ 25.99
12/20/2012	6/24/2013	13	435.75	352.95	82.80
Totals for this asset			<u>\$ 1,194.79</u>	<u>\$ 1,086.00</u>	<u>\$ 108.79</u>
Monsanto Co New					
12/20/2012	6/24/2013	3 \$	303.29	\$ 271.08	\$ 32.21
Totals for this asset			<u>\$ 303.29</u>	<u>\$ 271.08</u>	<u>\$ 32.21</u>
Nike Inc Cl B					
12/20/2012	6/24/2013	4 \$	238.28	\$ 195.26	\$ 43.02

Gains and Losses on a Tax Basis (Continued)

For Tax Purposes From December 04, 2012 to June 30, 2013

Date Acquired	Date Sold	Par Value/Shares	Proceeds	Tax Cost Basis	Gain or Loss
Short Term Gains and Losses					
Totals for this asset			\$ 238.28	\$ 195.26	\$ 43.02
Price T Rowe Group Inc					
2/11/2013	6/24/2013	7	\$ 493.53	\$ 510.28	\$ -16.75
Totals for this asset			\$ 493.53	\$ 510.28	\$ -16.75
Occidental Pete Corp					
12/20/2012	6/24/2013	5	\$ 441.04	\$ 381.50	\$ 59.54
Totals for this asset			\$ 441.04	\$ 381.50	\$ 59.54
SAP AG					
12/20/2012	4/5/2013	23	\$ 1,799.94	\$ 1,854.03	\$ -54.09
1/30/2013	4/5/2013	155	12,130.03	12,565.46	-435.43
1/30/2013	6/24/2013	8	570.47	648.54	-78.07
Totals for this asset			\$ 14,500.44	\$ 15,068.03	\$ -567.59
Schlumberger Ltd					
12/20/2012	6/24/2013	6	\$ 424.37	\$ 416.40	\$ 7.97
Totals for this asset			\$ 424.37	\$ 416.40	\$ 7.97
United Parcel Service Cl B					
12/20/2012	6/24/2013	6	\$ 513.13	\$ 439.68	\$ 73.45
Totals for this asset			\$ 513.13	\$ 439.68	\$ 73.45
United Techs Corp					
12/20/2012	6/24/2013	6	\$ 546.36	\$ 480.90	\$ 65.46
Totals for this asset			\$ 546.36	\$ 480.90	\$ 65.46
VISA Inc Cl A					
12/20/2012	6/24/2013	3	\$ 530.48	\$ 447.00	\$ 83.48
Totals for this asset			\$ 530.48	\$ 447.00	\$ 83.48
Vodafone Group PLC SP ADR					
12/20/2012	6/24/2013	13	\$ 353.98	\$ 332.80	\$ 21.18
Totals for this asset			\$ 353.98	\$ 332.80	\$ 21.18
Wells Fargo & Co New					
12/20/2012	6/24/2013	10	\$ 395.89	\$ 342.10	\$ 53.79
Totals for this asset			\$ 395.89	\$ 342.10	\$ 53.79
Wisconsin Energy Corp					
12/20/2012	6/24/2013	9	\$ 355.69	\$ 337.75	\$ 17.94

Gains and Losses on a Tax Basis (Continued)

For Tax Purposes From December 04, 2012 to June 30, 2013

Date Acquired	Date Sold	Par Value/Shares	Proceeds	Tax Cost Basis	Gain or Loss
Short Term Gains and Losses					
Totals for this asset			<u>\$ 355.69</u>	<u>\$ 337.75</u>	<u>\$ 17.94</u>
Henderson International Opportunities Fund CI I					
12/18/2012	6/24/2013	37.126	\$ 808.60	\$ 785.59	\$ 23.01
Totals for this asset			<u>\$ 808.60</u>	<u>\$ 785.59</u>	<u>\$ 23.01</u>
Ishares MSCI EAFE Index Fund					
12/20/2012	6/24/2013	10	\$ 565.99	\$ 562.40	\$ 3.59
Totals for this asset			<u>\$ 565.99</u>	<u>\$ 562.40</u>	<u>\$ 3.59</u>
Ishares Tr Russell 2000					
12/20/2012	6/24/2013	6	\$ 564.17	\$ 499.38	\$ 64.79
Totals for this asset			<u>\$ 564.17</u>	<u>\$ 499.38</u>	<u>\$ 64.79</u>
Janus High Yield Fund CI I					
12/18/2012	6/24/2013	103.963	\$ 942.94	\$ 971.02	\$ -28.08
Totals for this asset			<u>\$ 942.94</u>	<u>\$ 971.02</u>	<u>\$ -28.08</u>
Loomis Sayles Strategic Income Fund CI Y					
12/18/2012	6/24/2013	55.961	\$ 865.72	\$ 870.19	\$ -4.47
Totals for this asset			<u>\$ 865.72</u>	<u>\$ 870.19</u>	<u>\$ -4.47</u>
Lord Abbett Bond Debenture Fund CI F					
12/18/2012	6/24/2013	67.589	\$ 538.68	\$ 548.82	\$ -10.14
Totals for this asset			<u>\$ 538.68</u>	<u>\$ 548.82</u>	<u>\$ -10.14</u>
Lord Abbett Short Duration Income Fund CI F					
12/18/2012	6/24/2013	119.205	\$ 542.38	\$ 554.30	\$ -11.92
Totals for this asset			<u>\$ 542.38</u>	<u>\$ 554.30</u>	<u>\$ -11.92</u>
MFS International Value Fund CI I					
12/18/2012	6/24/2013	26.107	\$ 806.71	\$ 729.95	\$ 76.76
Totals for this asset			<u>\$ 806.71</u>	<u>\$ 729.95</u>	<u>\$ 76.76</u>
SPDR S&P Midcap 400 ETF Trust					
12/20/2012	6/24/2013	4	\$ 811.07	\$ 736.84	\$ 74.23
Totals for this asset			<u>\$ 811.07</u>	<u>\$ 736.84</u>	<u>\$ 74.23</u>
Thermo Fisher Scientific Inc					
3/11/2013	6/24/2013	5	\$ 410.19	\$ 383.27	\$ 26.92
			<u>\$</u>	<u>\$</u>	<u>\$</u>

Gains and Losses on a Tax Basis (Continued)

For Tax Purposes From December 04, 2012 to June 30, 2013

Date Acquired	Date Sold	Par Value/Shares	Proceeds	Tax Cost Basis	Gain or Loss
Short Term Gains and Losses					
Totals for this asset			<u>410.19</u>	<u>383.27</u>	<u>26.92</u>
 Janus Triton Fund CI I					
1/28/2013	6/24/2013	251.762	\$ 4,999.99	\$ 4,853.97	\$ 146.02
Totals for this asset			<u>\$ 4,999.99</u>	<u>\$ 4,853.97</u>	<u>\$ 146.02</u>
Total Short Term Gains and Losses			<u>\$ 53,530.15</u>	<u>\$ 53,559.72</u>	<u>\$ - 29.57</u>