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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2012

For calendar year 2012 or tax year beginning

JUL 1, 2012

, and ending

JUN 30, 2013

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

Name of foundation

WOCKNER FOUNDATION  
C/O HKP & CO, P.S.

Number and street (or P O box number if mail is not delivered to street address)

601 UNION ST. SUITE 2700

A Employer identification number

42-1624231

B Telephone number

(206) 682-9200

City or town, state, and ZIP code

SEATTLE, WA 98101-2329

G Check all that apply

Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

H Check type of organization.

 Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

I Fair market value of all assets at end of year

J Accounting method

 Cash Accrual

(from Part II, col. (c), line 16)

 Other (specify)

→ \$ 5,386,171. (Part I, column (d) must be on cash basis.)

C If exemption application is pending, check here ► D 1. Foreign organizations, check here ► 2. Foreign organizations meeting the 85% test, check here and attach computation ► E If private foundation status was terminated under section 507(b)(1)(A), check here ► F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► 

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received			N/A	
2	Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B Interest on savings and temporary cash investments				
3		19,626.	19,626.		STATEMENT 1
4	Dividends and interest from securities	115,079.	115,079.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	28,866.			RECEIVED
b	Gross sales price for all assets on line 6a	1,027,530.			
7	Capital gain net income (from Part IV, line 2)		28,866.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	Total. Add lines 1 through 11	163,571.	163,571.		
13	Compensation of officers, directors, trustees, etc	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees	STMT 3	4,455.	0.	0.
c	Other professional fees	STMT 4	31,140.	31,140.	0.
17	Interest				
18	Taxes	STMT 5	1,016.	0.	0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses				
24	Total operating and administrative expenses. Add lines 13 through 23	36,611.	31,140.		0.
25	Contributions, gifts, grants paid		579,000.		579,000.
26	Total expenses and disbursements. Add lines 24 and 25	615,611.	31,140.		579,000.
27	Subtract line 26 from line 12		-452,040.		
a	Excess of revenue over expenses and disbursements				
b	Net investment income (if negative, enter -0)		132,431.		
c	Adjusted net income (if negative, enter -0)			N/A	

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12-05-12 LHA For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
1	Cash - non-interest-bearing				
2	Savings and temporary cash investments		176,953.	37,088.	37,088.
3	Accounts receivable ►				
	Less allowance for doubtful accounts ►				
4	Pledges receivable ►				
	Less allowance for doubtful accounts ►				
5	Grants receivable				
6	Receivables due from officers, directors, trustees, and other disqualified persons				
7	Other notes and loans receivable ►				
	Less allowance for doubtful accounts ►				
8	Inventories for sale or use				
9	Prepaid expenses and deferred charges				
10a	Investments - U.S. and state government obligations	STMT 6	437,752.	441,232.	467,664.
b	Investments - corporate stock	STMT 7	2,721,614.	2,724,744.	3,536,990.
c	Investments - corporate bonds	STMT 8	1,678,268.	1,359,483.	1,344,429.
11	Investments - land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
12	Investments - mortgage loans				
13	Investments - other				
14	Land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
15	Other assets (describe ► )				
16	Total assets (to be completed by all filers)		5,014,587.	4,562,547.	5,386,171.
17	Accounts payable and accrued expenses				
18	Grants payable				
19	Deferred revenue				
20	Loans from officers, directors, trustees, and other disqualified persons				
21	Mortgages and other notes payable				
22	Other liabilities (describe ► )				
23	Total liabilities (add lines 17 through 22)		0.	0.	
24	Foundations that follow SFAS 117, check here ► <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
25	Unrestricted				
26	Temporarily restricted				
27	Permanently restricted				
28	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>				
	and complete lines 27 through 31.				
29	Capital stock, trust principal, or current funds		5,014,587.	4,562,547.	
30	Paid-in or capital surplus, or land, bldg, and equipment fund		0.	0.	
31	Retained earnings, accumulated income, endowment, or other funds		0.	0.	
32	Total net assets or fund balances		5,014,587.	4,562,547.	
33	Total liabilities and net assets/fund balances		5,014,587.	4,562,547.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,014,587.
2	Enter amount from Part I, line 27a	2	-452,040.
3	Other increases not included in line 2 (itemize) ►	3	0.
4	Add lines 1, 2, and 3	4	4,562,547.
5	Decreases not included in line 2 (itemize) ►	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,562,547.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> KEY BANK - SEE ATTACHED STMT			P	VARIOUS	VARIOUS
<b>b</b> JOHN DEERE CAPITAL CORP			P		01/15/13
<b>c</b> UNITED STATES TREAS NTS INFL IDX			P	VARIOUS	VARIOUS
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b> 973,596.		945,136.	28,460.		
<b>b</b> 50,000.		49,594.	406.		
<b>c</b> 3,934.		3,934.	0.		
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
<b>a</b>			28,460.		
<b>b</b>			406.		
<b>c</b>			0.		
<b>d</b>					
<b>e</b>					
<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	28,866.	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)				N/A	
If gain, also enter in Part I, line 8, column (c)					
If (loss), enter -0- in Part I, line 8					

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	588,562.	5,701,428.	.103231
2010	475,740.	6,030,288.	.078892
2009	449,025.	5,946,119.	.075516
2008	358,770.	5,644,993.	.063555
2007	360,000.	6,568,104.	.054810

2 Total of line 1, column (d) 2 .376004

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 3 .075201

4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5 4 5,697,641.

5 Multiply line 4 by line 3 5 428,468.

6 Enter 1% of net investment income (1% of Part I, line 27b) 6 1,324.

7 Add lines 5 and 6 7 429,792.

8 Enter qualifying distributions from Part XII, line 4 8 579,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate  
See the Part VI instructions

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here ►  and enter "N/A" on line 1

Date of ruling or determination letter \_\_\_\_\_ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ►  and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)

5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-

6 Credits/Payments

a 2012 estimated tax payments and 2011 overpayment credited to 2012

b Exempt foreign organizations - tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax Check here  if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be Credited to 2013 estimated tax ►

1,440.

6a

6b

6c

6d

1,440.

7

8

9

10

116.

116.

Refunded ►

11

0.

**Part VII-A Statements Regarding Activities**

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

Yes  No

1a

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?

1b

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c Did the foundation file Form 1120-POL for this year?

1c

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation ► \$ 0. (2) On foundation managers ► \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

2

If "Yes," attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

3

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a

b If "Yes," has it filed a tax return on Form 990-T for this year?

4b

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

5

If "Yes," attach the statement required by General Instruction T.

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either

N/A

• By language in the governing instrument, or

6

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7

7 Did the foundation have at least \$5,000 in assets at any time during the year?

7

If "Yes," complete Part II, col. (c), and Part XV.

8a Enter the states to which the foundation reports or with which it is registered (see instructions) ►

WA

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)

8b

of each state as required by General Instruction G? If "No," attach explanation

9

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV

9

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

10

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**Part VII-A | Statements Regarding Activities (continued)**

11		X
12		X
13	X	
Website address ► N/A		
14 The books are in care of ► HAGEN, KURTH, PERMAN & CO P.S. Located at ► 601 UNION ST, STE. 2700, SEATTLE, WA		Telephone no ► 206-682-9200 ZIP+4 ► 98101
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A		
16 At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ►		

**Part VII-B | Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		
1a	During the year did the foundation (either directly or indirectly)	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ►	N/A <input type="checkbox"/>
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c <input checked="" type="checkbox"/>
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))	
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years ►	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A <input type="checkbox"/>
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►	2b <input type="checkbox"/>
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	N/A <input type="checkbox"/>
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	3b <input type="checkbox"/>
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4a <input checked="" type="checkbox"/>
		4b <input checked="" type="checkbox"/>

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No
- (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?  Yes  No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

►

5b

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A  Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes  No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes  No

N/A

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WILLIAM E WOCKNER 601 UNION ST, SUITE 2700 SEATTLE, WA 98101	MANAGING TRUSTEE 8.00	0.	0.	0.
FRANCES A WOCKNER 601 UNION ST, SUITE 2700 SEATTLE, WA 98101	TRUSTEE 8.00	0.	0.	0.
MICHAEL R BAUER 601 UNION ST, SUITE 2700 SEATTLE, WA 98101	SECRETARY/TREASURER 2.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
N/A	0.00	0.	0.	0.

Total number of other employees paid over \$50,000

►

0

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**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ► 0

**Part IX-A** **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

Expenses

1	N/A	
2		
3		
4		

**Part IX-B** **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	N/A	
2		
3	All other program-related investments See instructions	

Total. Add lines 1 through 3 ► 0.

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C/O HKP & CO, P.S.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	5,659,942.
b Average of monthly cash balances	1b	124,465.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	5,784,407.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	5,784,407.
4 Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	86,766.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,697,641.
6 Minimum investment return. Enter 5% of line 5	6	284,882.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part)

1 Minimum investment return from Part X, line 6	1	284,882.
2a Tax on investment income for 2012 from Part VI, line 5	2a	1,324.
b Income tax for 2012 (This does not include the tax from Part VI)	2b	
c Add lines 2a and 2b	2c	1,324.
3 Distributable amount before adjustments Subtract line 2c from line 1	3	283,558.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	283,558.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	283,558.

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	579,000.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the	3a	
a Suitability test (prior IRS approval required)	3b	
b Cash distribution test (attach the required schedule)	4	579,000.
4 Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	5	1,324.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	6	577,676.
6 Adjusted qualifying distributions. Subtract line 5 from line 4		

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Form 990-PF (2012)

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12-05-12

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8 2012.04030 WOCKNER FOUNDATION C/O HKP 23067

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				283,558.
2 Undistributed income, if any, as of the end of 2012			0.	
a Enter amount for 2011 only				
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007	169,141.			
b From 2008	78,980.			
c From 2009	153,669.			
d From 2010	176,746.			
e From 2011	306,367.			
f Total of lines 3a through e		884,903.		
4 Qualifying distributions for 2012 from Part XII, line 4 ► \$	579,000.			
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2012 distributable amount				283,558.
e Remaining amount distributed out of corpus				0.
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.	
d Subtract line 6c from line 6b Taxable amount - see instructions			0.	
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr				0.
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a		169,141.		
10 Analysis of line 9				
a Excess from 2008	78,980.			
b Excess from 2009	153,669.			
c Excess from 2010	176,746.			
d Excess from 2011	306,367.			
e Excess from 2012	295,442.			
		1,011,204.		



WOCKNER FOUNDATION  
C/O HKP & CO, P.S.

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**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
ARC OF SNOHOMISH COUNTY 2500 HEWITT AVE SUITE 300 EVERETT, WA 98201	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND PROGRAMS THAT PROVIDE ASSISTANCE TO INDIVIDUALS WITH DEVELOPMENTAL DISABILITIES	25,000.
ASSISTANCE LEAGUE OF EASTSIDE 16541 REDMOND WAY REDMOND, WA 98052	N/A	PUBLICLY SUPPORT ORGANIZATION	TO BUY CLOTHES FOR CHILDREN	25,000.
BETHANY OF NORTHWEST FOUNDATION 916 PACIFIC AVE 4TH FLOOR P.O. BOX 5128 EVERETT, WA 98206	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND SENIOR SERVICES	27,000.
CHILDHAVEN 316 BROADWAY SEATTLE, WA 98122	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND THE THERAPEUTIC CHILD CARE PROGRAM	35,000.
DAWSON PLACE CHILD ADVOCACY CENTER 1509 CALIFORNIA STREET EVERETT, WA 98201	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND VITAL CARE SERVICES TO CHILDREN	30,000.
<b>Total</b>	<b>SEE CONTINUATION SHEET(S)</b>			► 3a 579,000.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>				► 3b 0.

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Form 990-PF (2012)

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	19,626.	
5 Net rental income or (loss) from real estate			14	115,079.	
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	28,866.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		163,571.	0.
13 Total. Add line 12, columns (b), (d), and (e)				13	163,571.
(See worksheet in line 13 instructions to verify calculations.)					

## **Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**



**Part XV | Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ELDERHEALTH NORTHWEST 800 JEFFERSON ST, SUITE 620 SEATTLE, WA 98104	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND NURSING HOME RELOCATION PROJECT	40,000.
HUMANITIES WASHINGTON 615 SECOND AVE, SUITE 300 SEATTLE, WA 98104	N/A	PUBLICLY SUPPORT ORGANIZATION	TO BUY BOOKS FOR LOW INCOME FAMILIES	50,000.
JUNIOR ACHIEVEMENT OF WASHINGTON 1700 WESTLAKE AVE N, SUITE 400 SEATTLE, WA 98109	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND EDUCATIONAL SERVICES TO CHILDREN	18,500.
LAKE WASHINGTON SCHOOLS FOUNDATION PO BOX 83 REDMOND, WA 98073	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND EDUCATIONAL SERVICES TO CHILDREN	12,500.
LITTLE BIT THERAPEUTIC RIDING CENTER 19802 NE 148TH STREET WOODINVILLE, WA 98077	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND THE HIPPOTHERAPY PROGRAM EXPANSION	30,000.
HOUSING HOPE 5830 EVERGREEN WAY EVERETT, WA 98203	N/A	PUBLICLY SUPPORT ORGANIZATION	TO SUPPORT ACTIVITIES OF HOUSING HOPE	20,000.
NW SCHOOL FOR HEARING IMPAIRED CHILDREN PO BOX 31325 SEATTLE, WA 98103	N/A	PUBLICLY SUPPORT ORGANIZATION	SCHOLARSHIP FOR DEAF AND HARD-OF-HEARING CHILDREN	30,000.
PROVIDENCE HOSPICE & HOME CARE 2731 WETMORE AVE, SUITE 500 EVERETT, WA 98201	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND VITAL PATIENT CARE SERVICES TO CHILDREN	21,000.
PROVIDENCE HOSPITAL OF SEATTLE 425 PONTIUS AVE N, SUITE 300 SEATTLE, WA 98109	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND VITAL PATIENT CARE SERVICES TO CHILDREN	30,000.
PROVIDENCE MARIANWOOD 3725 PROVIDENCE POINT DRIVE SE ISSAQAH, WA 98029	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND VITAL PATIENT CARE SERVICES TO ELDERLY	30,000.
<b>Total from continuation sheets</b>				437,000.

WOCKNER FOUNDATION  
C/O HKP & CO, P.S.

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**Part XV | Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RYTHER CHILD CARE 2400 NE 95TH ST SEATTLE, WA 98115	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND RECREATIONAL OUTINGS FOR CHILDREN	30,000.
SEATTLE EDUCATION ACCESS 6920 ROOSEVELT WAY NE #355 SEATTLE, WA 98115	N/A	PUBLICLY SUPPORT ORGANIZATION	TO BUY BOOKS FOR LOW INCOME FAMILIES	10,000.
SENIOR SERVICES OF SNOHOMISH COUNTY 8225 44TH AVE W, SUITE O MUKILTEO, WA 98275	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND SENIOR SERVICES	40,000.
STARLIGHT CHILDRENS FOUNDATION 5001 150TH AVENUE NE #110 REDMOND, WA 98052	N/A	PUBLICLY SUPPORT ORGANIZATION	TO PROVIDE FUNDS FOR SERIOUSLY ILL CHILDREN AND HELP THEIR FAMILIES	50,000.
WOODLAND PARK ZOO 601 N 59TH ST SEATTLE, WA 98103	N/A	PUBLICLY SUPPORT ORGANIZATION	TO FUND RECREATIONAL OUTINGS FOR CHILDREN	25,000.
<b>Total from continuation sheets</b>				

FORM 990-PF  
CAPITAL GAIN ATTACHMENT - KEY BANK

WOCKNER FOUNDATION



EIN: 42-1624231

**Account Statement**

WOCKNER FOUNDATION TRUST AGTR 40-10-424-1479060

July 1, 2012 - June 30, 2013

**Realized Gain/Loss from Sales**

Description	Settle date	Trade date	Units sold	Tax cost	Proceeds	Short-term gain/loss	Long-term gain/loss
BARRICK GOLD CORP	8/14	8/9	-500 00	-\$23,784.95	\$17,020.22	\$0.00	-\$6,764.73
BRITISH AMERICAN TOBACCO PLC	9/5	8/30	-209 00	-11,689.86	21,904.79	0.00	10,214.93
KRAFT FOODS GROUP INC	10/25	10/25	-0.33	-12.41	15.84	3.43	0.00
EATON CORP	12/7	11/30	-500.00	-11,562.50	25,952.80	0.00	14,390.30
BANK OF NOVA SCOTIA	12/19	12/14	-1,125.00	-57,050.75	64,506.28	0.00	7,455.53
DIRECTV	12/19	12/14	-715.00	-28,609.38	35,549.07	0.00	6,939.69
MONDELEZ INTERNATIONAL INC	12/19	12/14	-1,000.00	-22,987.23	25,949.51	0.00	2,962.28
NEXTERA ENERGY INC	12/19	12/14	-735.00	-40,373.83	51,037.25	0.00	10,663.42
PUBLIC SERVICE ENTERPRISE GROUP	12/19	12/14	-1,215.00	-38,770.65	36,425.00	0.00	-2,345.65
SUNCOR ENERGY INC	12/19	12/14	-700.00	-33,032.93	22,679.56	0.00	-10,353.37



FORM 990-PF  
CAPITAL GAIN ATTACHMENT - KEY BANK

WOCKNER FOUNDATION



EIN: 42-1624231

**Account Statement**

WOCKNER FOUNDATION TRUST AGTR 40-10-424-1479060

July 1, 2012 - June 30, 2013

**Realized Gain/Loss from Sales**

Description	Settle date	Trade date	Units sold	Tax cost	Proceeds	Short-term gain/loss	Long-term gain/loss
CELGENE CORP	2/5	1/31	-300.00	-17,429.97	29,705.54	0.00	12,275.57
DIGITAL REALTY TRUST INC	2/5	1/31	-465.00	-26,667.75	31,668.95	0.00	5,001.20
FAMILY DLR STORES INC	2/5	1/31	-250.00	-16,327.45	14,272.40	-2,055.05	0.00
H J HEINZ CO	2/5	1/31	-615.00	-33,166.95	37,397.31	0.00	4,230.36
SCHLUMBERGER LTD	2/5	1/31	-120.00	-11,693.53	9,416.20	0.00	-2,277.33
TEVA PHARMACEUTICAL IND'S LTD	2/5	1/31	-640.00	-32,974.00	24,389.92	0.00	-8,584.08
ISHARES BARCLAYS TIPS BOND FUND	6/5	5/31	-500.00	-53,092.29	58,212.98	0.00	5,120.69
ISHARES MSCI CANADA INDEX FD	6/17	6/12	-3,400.00	-108,933.82	92,278.47	0.00	-16,655.35
ISHARES MSCI PAC EX-JPN INDEX FD	6/17	6/12	-2,200.00	-101,145.88	97,229.28	0.00	-3,916.60
ISHARES BARCLAYS TIPS BOND FUND	6/17	6/12	-500.00	-50,145.84	56,979.01	0.00	6,833.17
ISHARES BARCLAYS MBS BOND FUND	6/17	6/12	-1,850.00	-200,351.99	196,005.57	0.00	-4,346.42
PIMCO FUNDS-TOTAL RETURN FUND	6/19	6/18	-2,278.94	-25,332.05	25,000.00	-314.72	-17.33
<b>Total Realized Gain/Loss from Sales</b>				<b>-\$945,136.01</b>	<b>\$973,595.95</b>	<b>-\$2,366.34</b>	<b>\$30,826.28</b>

*This is for informational purposes only and is not tax advice. Please see your tax advisor for tax advice.*

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
BANK OF AMERICA	3.
KEY BANK	19,623.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	19,626.

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
KEY BANK	115,079.	0.	115,079.
TOTAL TO FM 990-PF, PART I, LN 4	115,079.	0.	115,079.

## FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	4,455.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 16B	4,455.	0.	0.	0.

## FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
KEY BANK	31,140.	31,140.	0.	0.
TO FORM 990-PF, PG 1, LN 16C	31,140.	31,140.	0.	0.

FORM 990-PF

TAXES

STATEMENT

5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	1,016.	0.		0.
TO FORM 990-PF, PG 1, LN 18	1,016.	0.		0.

FORM 990-PF

U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS

STATEMENT

6

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
KEY BANK	X		441,232.	467,664.
TOTAL U.S. GOVERNMENT OBLIGATIONS			441,232.	467,664.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			441,232.	467,664.

FORM 990-PF

CORPORATE STOCK

STATEMENT

7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
KEY BANK	2,724,744.	3,536,990.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,724,744.	3,536,990.

FORM 990-PF

CORPORATE BONDS

STATEMENT

8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
KEY BANK	1,359,483.	1,344,429.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,359,483.	1,344,429.