



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning

07/01, 2012, and ending

06/30, 2013

Name of foundation

THE W W SMITH CHARITABLE TRUST T/U/W

Number and street (or P O box number if mail is not delivered to street address)

200 FOUR FALLS CORPORATE CENTER, STE 300

City or town, state, and ZIP code

WEST CONSHOHOCKEN, PA 19428

G Check all that apply:

☐ Initial return☐ Final return☐ Address change☐ Initial return of a former public charity☐ Amended return☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end

of year (from Part II, col. (c), line

16) \$ 137,433,598.

J Accounting method:

☐ Cash☐ Accrual☒ Other (specify) MODIFIED CASH

(Part I, column (d) must be on cash basis)

A Employer identification number

23-6648841

B Telephone number (see instructions)

610-397-1844

C If exemption application is
pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the
85% test, check here and attach
computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ☒ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	400.	400.		STMT 1
4 Dividends and interest from securities	3,675,660.	3,675,660.		STMT 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	2,816,462.			
b Gross sales price for all assets on line 6a 23,299,286.				
7 Capital gain net income (from Part IV, line 2)		2,816,462.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	93,929.	45,923.		STMT 3
12 Total Add lines 1 through 11	6,586,451.	6,538,445.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.	177,310.	106,386.		70,924.
14 Other employee salaries and wages	116,345.	NONE	NONE	116,345.
15 Pension plans, employee benefits	40,114.	NONE	NONE	40,114.
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) STMT 4	32,175.	700.	NONE	31,475.
c Other professional fees (attach schedule) STMT 5	9,000.			9,000.
17 Interest				
18 Taxes (attach schedule) (see instructions) STMT 6	228,881.	105,251.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy	52,443.	5,244.		47,199.
21 Travel, conferences, and meetings	1,294.	129.	NONE	1,165.
22 Printing and publications	166.	17.	NONE	149.
23 Other expenses (attach schedule) STMT 7	25,425.	2,543.		22,882.
24 Total operating and administrative expenses Add lines 13 through 23	683,153.	220,270.	NONE	339,253.
25 Contributions, gifts, grants paid	6,601,171.			6,601,171.
26 Total expenses and disbursements Add lines 24 and 25	7,284,324.	220,270.	NONE	6,940,424.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-697,873.			
b Net investment income (if negative, enter -0-)		6,318,175.		
c Adjusted net income (if negative, enter -0-)				

SCANNED MAY 02 2014

RECEIVED

APR 30 2014

OGDEN, UT

214

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year		
				(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing					
	2	Savings and temporary cash investments		1,487,979.	2,678,290.	2,678,290.	
	3	Accounts receivable					
		Less allowance for doubtful accounts					
	4	Pledges receivable					
		Less allowance for doubtful accounts					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)					
	7	Other notes and loans receivable (attach schedule)					
		Less allowance for doubtful accounts	NONE				
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10 a	Investments - U S and state government obligations (attach schedule)	STMT 8	14,319,771.	10,487,651.	10,487,651.	
	b	Investments - corporate stock (attach schedule)	STMT 10	84,600,871.	96,919,101.	96,919,101.	
	c	Investments - corporate bonds (attach schedule)	STMT 12	8,388,270.	9,725,171.	9,725,171.	
	Liabilities	11	Investments - land, buildings, and equipment basis				
		Less accumulated depreciation (attach schedule)					
12		Investments - mortgage loans					
13		Investments - other (attach schedule)	STMT 14	15,457,313.	17,618,134.	17,618,134.	
14		Land, buildings, and equipment basis					
		Less accumulated depreciation (attach schedule)					
15		Other assets (describe <u>SECURITY DEPOSIT</u>)		5,251.	5,251.	5,251.	
16		Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		124,259,455.	137,433,598.	137,433,598.	
17		Accounts payable and accrued expenses					
18		Grants payable					
Net Assets or Fund Balances	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable (attach schedule)					
	22	Other liabilities (describe)					
23	Total liabilities (add lines 17 through 22)			NONE			
Foundations that follow SFAS 117, check here ☒ and complete lines 24 through 26 and lines 30 and 31.	24	Unrestricted		124,259,455.	137,433,598.		
	25	Temporarily restricted					
	26	Permanently restricted					
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☐	27	Capital stock, trust principal, or current funds				
		28	Paid-in or capital surplus, or land, bldg, and equipment fund				
		29	Retained earnings, accumulated income, endowment, or other funds				
		30	Total net assets or fund balances (see instructions)		124,259,455.	137,433,598.	
		31	Total liabilities and net assets/fund balances (see instructions)		124,259,455.	137,433,598.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	124,259,455.
2	Enter amount from Part I, line 27a	2	-697,873.
3	Other increases not included in line 2 (itemize) <u>CHANGE IN UNREALIZED APPRECIATION</u>	3	13,872,016.
4	Add lines 1, 2, and 3	4	137,433,598.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	137,433,598.

Form 990-PF (2012)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 23,299,286.		20,482,824.	2,816,462.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0- or Losses (from col. (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			2,816,462.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	2,816,462.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	6,033,838.	124,050,744.	0.048640
2010	4,326,561.	123,562,574.	0.035015
2009	4,329,569.	114,667,393.	0.037758
2008	4,623,035.	107,200,470.	0.043125
2007	7,186,277.	142,929,147.	0.050279
2 Total of line 1, column (d)			2 0.214817
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.042963
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			4 131,789,586.
5 Multiply line 4 by line 3			5 5,662,076.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 63,182.
7 Add lines 5 and 6			7 5,725,258.
8 Enter qualifying distributions from Part XII, line 4			8 6,940,424.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	63,182.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	63,182.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	63,182.
6 Credits/Payments			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	170,251.	
b Exempt foreign organizations - tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	NONE	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	170,251.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	107,069.	
11 Enter the amount of line 10 to be Credited to 2013 estimated tax ☒ 107,069. Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ _____ (2) On foundation managers ☒ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ PA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2012)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <u>N/A</u>				
14	The books are in care of <u>SEE STATEMENT 15</u> Telephone no <u></u>			
Located at <u></u> ZIP+4 <u></u>				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u></u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <u></u>				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes	☐ No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes	☐ No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes	☒ No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	X
Organizations relying on a current notice regarding disaster assistance check here <u></u>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes	☒ No
If "Yes," list the years <u></u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u></u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

Form 990-PF (2012)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here ☐c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank NA 1525 WEST WT HARRIS BLVD, CHARLOTTE, NC 28288-5709	TRUSTEE 40	177,310	-0-	-0-
MARY SMITH C/O 200 FOUR FALLS CORPORATE CENTER, WEST CONSHOHOCKEN	TRUSTEE 20	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Michelle P. Montgomery 200 Four Falls Corporate Center West Conshohocken, PA	GRANTS ADMIN/MAN 40 H	70,426	12,169.	NONE

Total number of other employees paid over \$50,000

NONE

Form 990-PF (2012)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

Form 990-PF (2012)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	129,484,586.
b	Average of monthly cash balances	1b	4,306,697.
c	Fair market value of all other assets (see instructions)	1c	5,251.
d	Total (add lines 1a, b, and c)	1d	133,796,534.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	133,796,534.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,006,948.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	131,789,586.
6	Minimum investment return. Enter 5% of line 5	6	6,589,479.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	6,589,479.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	63,182.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	63,182.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	6,526,297.
4	Recoveries of amounts treated as qualifying distributions	4	48,006.
5	Add lines 3 and 4	5	6,574,303.
6	Deduction from distributable amount (see instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	6,574,303.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	6,940,424.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	6,940,424.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	63,182.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	6,877,242.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				6,574,303.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			4,712,921.	
b Total for prior years 20____, 20____, 20____		NONE		
3 Excess distributions carryover, if any, to 2012				
a From 2007	NONE			
b From 2008	NONE			
c From 2009	NONE			
d From 2010	NONE			
e From 2011	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2012 from Part XII, line 4. ► \$ 6,940,424.				
a Applied to 2011, but not more than line 2a			4,712,921.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2012 distributable amount				2,227,503.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2012 . (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	NONE			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				4,346,800.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	NONE			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9				
a Excess from 2008	NONE			
b Excess from 2009	NONE			
c Excess from 2010	NONE			
d Excess from 2011	NONE			
e Excess from 2012	NONE			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
85% of line 2a					
Qualifying distributions from Part XII, line 4 for each year listed					
Amounts included in line 2c not used directly for active conduct of exempt activities					
Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:****a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d**a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed.

SEE STATEMENT 16

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
See Attached Distribution Schedule 200 FOUR FALLS CORPORATE West Conshohocken P	N/A	PUBLIC CHA	GENERAL	6,601,171.
Total ▶ 3a				6,601,171.
b Approved for future payment				
SEE STATEMENT 20				3,425,000.
Total ▶ 3b				3,425,000.

Form 990-PF (2012)

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
WELLS FARGO - SECURED MARKET DEPOSIT ACC	400.	400.
TOTAL	400.	400.
	=====	=====

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
INTEREST AND DIVIDENDS	3,675,660.	3,675,660.
	-----	-----
TOTAL	3,675,660.	3,675,660.
	=====	=====

FORM 990PF, PART I - OTHER INCOME
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
REFUND OF RETURNED GRANTS	48,006.	
PROCEEDS FROM CLASS ACTION SETTLEMENT	45,923.	45,923.
	-----	-----
TOTALS	93,929.	45,923.
	=====	=====

RETURNED UNEXPENDED FUNDS FISCAL YEAR 2012-2013

Stmt 3
Port 1 Line 11
Port XI Line 4

Date Returned	Organization	Grant	Grant Category	Amount
9/6/12	Pennsylvania State University (Milton S Hershey Medical Center)	Return of unused portion of Medical Research Grant #C1108 (approved 9/2011, last payment 1/2012)	Medical Research - Unexpended Cancer Research	\$47,238 65
2/19/13	University of Pennsylvania	Return of unused portion of Medical Research Grant #A1101 (approved 9/2011, last payment 1/2012)	Medical Research - Unexpended AIDS Research	\$145 80
2/19/13	Children's Hospital of Philadelphia	Return of unused portion of Medical Research Grant #A1102 (approved 9/2011, last payment 12/2011)	Medical Research - Unexpended AIDS Research	\$621 66
TOTAL				\$48,006.11

FORM 990PF, PART I - ACCOUNTING FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
AUDIT/TAX PREP FEES	21,165.			21,165.
ACCOUNTING FEES	10,310.			10,310.
ADR FEES	700.	700.		
	-----	-----	-----	-----
TOTALS	32,175. =====	700. =====	NONE =====	31,475. =====

FORM 990PF, PART I - OTHER PROFESSIONAL FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	CHARITABLE PURPOSES -----
HONORARIA	9,000.	9,000.
	-----	-----
TOTALS	9,000.	9,000.
	=====	=====

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FEDERAL EXCISE TAX	123,630.	
FOREIGN TAXES	105,251.	105,251.
	-----	-----
TOTALS	228,881.	105,251.
	=====	=====

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
COMPUTERS	4,757.	476.	4,281.
POSTAGE	1,223.	122.	1,101.
TELEPHONE	3,451.	345.	3,106.
OFFICE SUPPLIES	1,568.	157.	1,411.
MAINTENANCE	7,242.	724.	6,518.
ADMIN. EXPENSES	1,815.	182.	1,633.
INSURANCE	3,080.	308.	2,772.
MISCELLANEOUS	2,289.	229.	2,060.
TOTALS	25,425. =====	2,543. =====	22,882. =====

THE W W SMITH CHARITABLE TRUST T/U/W

23-6648841

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS
=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
3133XFJF4 FED HOME LN BK	1,131,720.	1,131,720.
3134A4UM4 FED HOME LN MTG CORP	1,023,150.	1,023,150.
3134A4VG6 FED HOME LN MTG CORP	576,891.	576,891.
3128K0ZK2 FHLMC POOL #A40746	100,829.	100,829.
31398ADM1 FED NATL MTG ASSN	833,321.	833,321.
362200G50 GNMA POOL #292820	1,373.	1,373.
36204KUT8 GNMA POOL #372394	46,378.	46,378.
36206RF50 GNMA POOL #418788	13,974.	13,974.
36207KV80 GNMA POOL #434539	7,000.	7,000.
36210HDK5 GNMA POOL #492506	7,969.	7,969.
36213ED37 GNMA POOL #551922	13,345.	13,345.
36213UUR9 GNMA POOL #564992	43,147.	43,147.
36200WSQ5 GNMA POOL #574827	71,383.	71,383.
36201CR63 GNMA POOL #579309	13,232.	13,232.
36225AN89 GNMA POOL #780415	11,698.	11,698.
912828CJ7 U S TREASURY NOTES	540,618.	540,618.
912828GH7 U S TREASURY NOTES	792,533.	792,533.
912828DM9 US TREASURY NOTE	561,758.	561,758.
912828KD1 US TREASURY NOTE	266,095.	266,095.
912828MP2 US TREASURY NOTE	139,444.	139,444.
912828HA1 US TREASURY NOTE	786,250.	786,250.
912828JH4 US TREASURY NOTE	564,570.	564,570.
912828BR0 US TREASURY NOTE	76,151.	76,151.
912828JR2 US TREASURY NOTE	447,156.	447,156.
912828LY4 US TREASURY NOTE	363,102.	363,102.
3137EADB2 FED HOME LN MTG CORP	359,559.	359,559.
3138A8PX8 FNMA POOL #AH6737	283,750.	283,750.
31410LFU1 FNMA POOL #890379	241,458.	241,458.
912828KQ2 US TREASURY NOTE	645,712.	645,712.

THE W W SMITH CHARITABLE TRUST T/U/W

23-6648841

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS
=====

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
313373JR4 FED HOME LN BK	252,618.	252,618.
3135G0MZ3 FED NATL MTG ASSN	196,036.	196,036.
31398AU34 FED NATL MTG ASSN	51,976.	51,976.
912828TJ9 US TREASURY NOTE	23,455.	23,455.
	-----	-----
TOTALS	10,487,651.	10,487,651.
	=====	=====

THE W W SMITH CHARITABLE TRUST T/U/W
 FORM 990PF, PART II - CORPORATE STOCK
 =====

23-6648841

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
949746101 WELLS FARGO & CO	3,316,045.	3,316,045.
437076102 HOME DEPOT INC	3,098,800.	3,098,800.
580135101 MCDONALDS CORP	2,524,500.	2,524,500.
654106103 NIKE INC CL B	3,184,000.	3,184,000.
254687106 WALT DISNEY CO	4,041,600.	4,041,600.
713448108 PEPSICO INC	1,799,380.	1,799,380.
742718109 PROCTER & GAMBLE CO	1,539,800.	1,539,800.
037411105 APACHE CORP	922,130.	922,130.
166764100 CHEVRON CORP	2,366,800.	2,366,800.
20825C104 CONOCOPHILLIPS	2,178,000.	2,178,000.
30231G102 EXXON MOBIL CORPORAT	2,258,750.	2,258,750.
09247X101 BLACKROCK INC	1,541,100.	1,541,100.
38141G104 GOLDMAN SACHS GROUP	1,663,750.	1,663,750.
46625H100 JPMORGAN CHASE & CO	3,061,820.	3,061,820.
002824100 ABBOTT LABS	1,744,000.	1,744,000.
075887109 BECTON DICKINSON & C	1,976,600.	1,976,600.
478160104 JOHNSON & JOHNSON	3,005,100.	3,005,100.
91324P102 UNITEDHEALTH GROUP I	2,357,280.	2,357,280.
244199105 DEERE & CO	975,000.	975,000.
907818108 UNION PACIFIC CORP	3,085,600.	3,085,600.
913017109 UNITED TECHNOLOGIES	4,112,595.	4,112,595.
88579Y101 3M CO	1,640,250.	1,640,250.
458140100 INTEL CORP	1,647,640.	1,647,640.
459200101 INTERNATIONAL BUSINE	2,102,210.	2,102,210.
594918104 MICROSOFT CORP	4,318,125.	4,318,125.
747525103 QUALCOMM INC	1,825,064.	1,825,064.
00206R102 AT & T INC	1,770,000.	1,770,000.
037833100 APPLE INC	2,022,302.	2,022,302.
126650100 CVS/CAREMARK CORPORA	2,001,300.	2,001,300.

THE W W SMITH CHARITABLE TRUST T/U/W
 FORM 990PF, PART II - CORPORATE STOCK
 =====

23-6648841

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
17275R102 CISCO SYSTEMS INC	1,460,100.	1,460,100.
278865100 ECOLAB INC	1,959,370.	1,959,370.
641069406 NESTLE S.A. REGISTER	2,302,300.	2,302,300.
66987V109 NOVARTIS AG - ADR	1,909,170.	1,909,170.
68389X105 ORACLE CORPORATION	1,228,400.	1,228,400.
806857108 SCHLUMBERGER LTD	1,074,900.	1,074,900.
881624209 TEVA PHARMACEUTICAL	1,176,000.	1,176,000.
G1151C101 ACCENTURE PLC	2,518,600.	2,518,600.
00287Y109 ABBVIE INC	2,067,000.	2,067,000.
12572Q105 CME GROUP INC	2,126,600.	2,126,600.
404280406 HSBC - ADR	1,297,500.	1,297,500.
50076Q106 KRAFT FOODS GROUP IN	558,700.	558,700.
609207105 MONDELEZ INTERNATION	1,198,260.	1,198,260.
872540109 TJX COS INC NEW	2,252,700.	2,252,700.
89151E109 TOTAL S.A. - ADR	1,461,000.	1,461,000.
902973304 US BANCORP DEL NEW	1,879,800.	1,879,800.
G29183103 EATON CORP PLC	2,369,160.	2,369,160.
	-----	-----
TOTALS	96,919,101.	96,919,101.
	=====	=====

THE W W SMITH CHARITABLE TRUST T/U/W
FORM 990PF, PART II - CORPORATE BONDS
=====

23-6648841

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
002824AW0 ABBOTT LABORATORIES		
084670AV0 BERKSHIRE HATHAWAY	260,033.	260,033.
20825CAR5 CONOCOPHILLIPS	410,011.	410,011.
291011AT1 EMERSON ELECTRIC		
369550AK4 GENERAL DYNAMICS COR	563,745.	563,745.
36962G4J0 GENERAL ELEC CAP COR	559,420.	559,420.
38141GFG4 GOLDMAN SACHS GROUP		
428236AS2 HEWLETT-PACKARD CO	570,580.	570,580.
46625HGY0 JP MORGAN CHASE & CO		
61747YCG8 MORGAN STANLEY	224,998.	224,998.
22546QAC1 SUISSE NEW YORK		
931142AS2 WAL MART STORES		
031162BF6 AMGEN INC	205,392.	205,392.
064149C88 BANK OF NOVA SCOTIA	327,435.	327,435.
144141DA3 PROGRESS ENERGY CARO	249,485.	249,485.
637432MQ5 NATIONAL RURAL UTIL	261,468.	261,468.
87612EAV8 TARGET CORP	427,588.	427,588.
913017BR9 UNITED TECHNOLOGIES	278,070.	278,070.
961214BK8 WESTPAC BANKING CORP	504,383.	504,383.
00206RAJ1 AT&T INC	371,718.	371,718.
03523TBN7 ANHEUSER-BUSCH INBEV	246,050.	246,050.
05531FAL7 BB&T CORPORATION	268,934.	268,934.
06366QW86 BANK OF MONTREAL	256,268.	256,268.
06739FGF2 BARCLAYS BANK PLC	386,673.	386,673.
084664BE0 BERKSHIRE HATHAWAY	143,594.	143,594.
14912L5J6 CATERPILLAR FINANCIA	341,096.	341,096.
219350AY1 CORNING INC	292,728.	292,728.
369550AV0 GENERAL DYNAMICS COR	255,455.	255,455.
377372AH0 GLAXOSMITHKLINE CAP	237,913.	237,913.

THE W W SMITH CHARITABLE TRUST T/U/W
FORM 990PF, PART II - CORPORATE BONDS
=====

23-6648841

DESCRIPTION -----	ENDING BOOK VALUE -----	ENDING FMV ---
459200HG9 IBM CORP	268,272.	268,272.
539473AQ1 LLOYDS TSB BANK PLC	213,186.	213,186.
63254AAC2 NATIONAL AUSTRAL 1.6	283,811.	283,811.
767201AM8 RIO TINTO FIN USA LT	308,460.	308,460.
78008TLB8 ROYAL BANK OF CANADA	360,164.	360,164.
92857WBA7 VODAFONE GROUP PLC	393,388.	393,388.
931142CX9 WAL-MART STORES INC	254,853.	254,853.
	-----	-----
TOTALS	9,725,171.	9,725,171.
	=====	=====

FORM 990PF, PART II - OTHER INVESTMENTS
=====

DESCRIPTION	COST/ FMV C OR F	ENDING BOOK VALUE	ENDING FMV
-----	----	-----	----
655356JG9 NOMURA ASSET SEC CO	F		
981464CJ7 WORLD FINANCIAL NETW	F		
43812BAH6 HONDA AUTO RECEIVABL	F		
94984B348 WELLS FARGO ADVTG	F	7,264,993.	7,264,993.
411511306 HARBOR INTERNATIONAL	F	8,970,584.	8,970,584.
02005ACW6 ALLY MASTER OWNED TR	F	563,173.	563,173.
34528QBP8 FORD CR FLOORPLAN	F	406,608.	406,608.
981464CJ7 WORLD FIN NETWORK CR	F	412,776.	412,776.
		-----	-----
TOTALS		17,618,134.	17,618,134.
		=====	=====

FORM 990PF, PART VII-A, LINE 14 - BOOKS ARE IN THE CARE OF
=====

NAME: WW SMITH CHARITABLE TRUST

ADDRESS: 200 FOUR FALLS CORPORATE CENTER, STE 300
WEST CONSHOHOCKEM, PA 19428

TELEPHONE NUMBER: (610)397-1844

RECIPIENT NAME:

GRANTS ADMINISTRATOR

ADDRESS:

200 Four Falls Corp CT, STE 300

West Conshohocken, PA 19428

RECIPIENT'S PHONE NUMBER: 610-397-1844

FORM, INFORMATION AND MATERIALS:

SEE ATTACHED INFORMATION

SUBMISSION DEADLINES:

SEE ATTACHED INFORMATION

RESTRICTIONS OR LIMITATIONS ON AWARDS:

SEE ATTACHED INFORMATION

**The W.W. Smith Charitable Trust
Medical Research Grant Application Instructions**

part XV
Line 2a-2d

The W.W. Smith Charitable Trust Research Grant

The W.W. Smith Charitable Trust will make grant funds available for eligible scientists in support of basic heart disease, cancer and AIDS research projects that conform to the priorities outlined in Section I. Grant proposals will not be considered unless they comply with the application instructions in Section VI. Completed applications will be referred to our Cardiovascular or Cancer/AIDS Research Review Committee for consideration of scientific merit. The committees function in an advisory capacity to the W.W. Smith Trustees, who will make all final decisions concerning the funding of meritorious applications.

Section I. Eligibility

Faculty with primary appointments in universities, hospitals and research centers within the Delaware Valley area may apply. The Trust strongly encourages new or less recognized investigators to apply for funding and also generally encourages seed funding of unique or high priority projects. The Trust will not support large general programs or project grants to fund research centers.

Section II. Submission

- A. Applications must be submitted from the senior official for the research function to the W.W. Smith Charitable Trust by June 15th for cancer and AIDS research and September 15th for heart disease research. No more than one application from any institution will be accepted for heart disease, cancer or AIDS each grant cycle.

Four (4) complete, collated copies of the proposal, plus one complete copy of the organization's current 990, must be submitted to the Trust at the following address:

**The W.W. Smith Charitable Trust
200 Four Falls Corporate Center, Suite 300
West Conshohocken, PA 19428**

- B. Applicants will be notified in writing concerning approval or disapproval, funding amounts, and starting dates, within five months form the proposal deadline.

Section III. Criteria for Evaluation

Each proposal will be reviewed according to the following criteria:

- A. Scientific merit
- B. Feasibility
- C. Appropriateness of budget

Section IV. Project Term

Funding requests and project awards will be of one year duration. Applicants receiving one-year grants may re-apply if their mandatory narrative and financial reports have been satisfactory and if the renewal protocol is endorsed by their institution's senior official for the research function as the one application for that research field in the appropriate grant cycle.

Section V. Progress and Terminal Reports

All grantees who receive funding are required to provide semi-annual reports about their research. A terminal progress report discussing research findings must be delivered to the Trust within 60 days of the termination of the project. These reports should include the following:

- A. Narrative summary of results and conclusions
- B. Accounting of grant expenditures by the office responsible for fiscal affairs
- C. List of collaborators, trainees and students who contributed to the project
- D. List of publications planned, submitted or in press
- E. One copy, after grant termination, of every research publication benefited by the grant

Section VI. Research Plan

- A. Complete all application pages and appropriate forms as listed on the check list. Please use 10 pt font or larger throughout.
- B. Research Plan:
 - 1. **Specific Aims:** State concisely and realistically what the research described in the application will accomplish and/or what hypothesis will be tested.
DO NOT EXCEED ONE-HALF (1/2) PAGE.
 - 2. **Significance:** Briefly describe the background to the present proposal; critically evaluate existing knowledge; and specifically identify the gaps which the project is intended to fill. State concisely the importance of the research described in the application by relating the specific aims to longer-term objectives.
DO NOT EXCEED TWO (2) PAGES.
 - 3. **Preliminary Studies:** Provide a brief summary of the principal investigator's preliminary studies pertinent to the application and/or any other information that will help to establish the experience and competence of the investigator to pursue the proposed project. Titles and complete references to appropriate publications and completed manuscripts may be listed in the principal investigator's curriculum vitae. Supplementary background graphs, diagrams, tables and charts relevant to preliminary studies may be submitted in appendix form.
DO NOT EXCEED TWO (2) PAGES.
 - 4. **Experimental Design and Methods:** Discuss the experimental design and the procedures to be used to accomplish the specific aims of the project. Describe the protocols to be used and provide a tentative sequence or timetable for the investigation. Include the means by which the data will be analyzed and interpreted. Describe any new methodology and its advantage over existing methodologies. Discuss the potential difficulties and limitations of the proposed procedures and alternative approaches to achieve the aims. Point out any procedures, situations or materials that may be hazardous to personnel and explain the precautions exercised. Describe the characteristics of the subject population, such as their anticipated number, age ranges, gender, ethnic background, and health status. Explain the rationale for the use of special classes of subjects, such as fetuses, pregnant women, children, institutionalized mentally disabled, or others who are likely to be vulnerable. Supplemental data may be added in an appendix.
DO NOT EXCEED THREE (3) PAGES.

5. **Human Subjects:** Include appropriate Institutional Review Board approval(s).
6. **Vertebrae Animals:** Include Institutional Animal Care Review approval.
7. **Toxic Chemical, Carcinogens, and Infectious Disease Agents:** Include appropriate Chemical and Biological Safety Review approval.
8. **Recombinant DNA, Radioactive Materials, and Ionizing Radiation:** Contact your office of Research Safety for approval.
9. **Consultants:** List collaborators; indicate the specific role of collaborators in the project; and attach letters of agreement.
10. **Literature Cited:** Do not scatter literature citations throughout the text. List citations at the end of the Research Plan. This section should **not** be an exhaustive list but rather a review of the **most pertinent** citations. Each complete literature citation must include the names of all authors, the name of the book or journal, volume number, page numbers, and year of publication. Providing titles is useful but optional. List literature in numerical order, as cited in the Research Plan, and limit your citations to **no more than 30 relevant publications**.

**The W. W. Smith Charitable Trust
Medical Research Grant Application**

Applicant Initials _____

Name of Investigator

Last:

First:

Middle:

Name and Address of Institution Where Work Will Be Done:

Email: _____

Telephone: _____

Project Title: _____

Lay Research Summary

(To be written for the understanding of persons not trained in biomedical science)

With your prior approval, this information may also be utilized in conjunction with Public Relations projects.

Lay Research Summary (continued)

- 1) What problem area(s) are you addressing and what specific questions will your research attempt to answer, including long-range benefits in the area of health?
- 2) What aspect of your work is most interesting in scientific terms?
- 3) What aspect would be of most interest from the perspective of the general public?

**The W. W. Smith Charitable Trust
Medical Research Grant Application**

Applicant Initials _____

Application Information

1. Grant Period

From: ____/____/____ To: ____/____/____
month year month year

2. Name of principal investigator: (last, first, middle) 3. Present position and title: 4. Present Age: 5. Doctorate degree(s): Year(s) earned: Degree field:	7. Name of collaborating investigator, if any (last, first, middle): Degree, present position: Institution, department and address: Telephone: Age: Percent of time on project: %
6. Investigator's current address (institution, street, city, state, zip): Email: Telephone (area code, number, ext.):	8. Institution where research will take place (department, school, institution, address): Email: Telephone (area code, number, ext.):
9. Title of research project (limited to 60 characters):	

10. Brief Project Summary

11. Percent of your total effort devoted to: (a) investigative work: ____ %
 (b) this research project: ____ %

- 12. (a) Research involves biohazards:** No ☐ Yes ☐
(b) Research involves human subjects: No ☐ Yes ☐
(c) Research involves animal subjects: No ☐ Yes ☐

Does your institution have an Animal Care and Use Committee? No ☐ Yes ☐

Does your institution utilize the American Association for Accreditation of Laboratory Animal Care (AAALAC)? No ☐ Yes ☐

13. List name, degree and position of each professional associated with the project. Indicate capacity (associate, consultant, etc.). Salary support will not be granted for unnamed professionals or graduate students whose curriculum vitae are not included.

Name	Degree	Title	Capacity	% Time on Project

**The W. W. Smith Charitable Trust
Medical Research Grant Application**

Applicant Initials _____

_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

14. It is understood that the Institution administering this grant is a nonprofit organization with facilities for research, and that an award based on this application is subject to the following conditions:

- a. The Principal Investigator and the Grantee Institution assume an obligation to expend grant funds for research purposes as set forth in this application, and affirm that there is **no duplicate funding** for these purposes. If an alternative application for support is activated, either fully or partially, the Principal Investigator and the Grantee Institution will promptly notify the Trust to make the necessary adjustment in funding.
- b. Every six (6) months, the Principal Investigator will furnish the Trust with a brief semi-annual narrative Progress Report, in layman's terms, of work accomplished under the grant.
- c. The Institution's Fiscal Officer will submit a Report of Expenditures within 60 days after completion of each award half-year.
- d. The Trust disclaims any economic interest (direct or indirect) in the work product of the research, including any patent or other rights to which the Investigator or the Institution may make claim.
- e. Results of research may be made available to the public through appropriate scientific channels. All publications must bear the statement: "THIS WORK WAS SUPPORTED BY A GRANT FROM THE W.W. SMITH CHARITABLE TRUST."
- f. The grant will terminate on the date the Investigator ceases to work at the Grantee Institution, unless advance written permission for a transfer or replacement has been obtained from the Trust.
- g. Unexpended funds will revert to the Trust at the termination of a grant period, unless the grant period is extended by the Trust in writing in advance of termination.
- h. Title to equipment purchased with Trust funds will remain with the Principal Investigator until the award (including any authorized extension or renewal) terminates, at which time title passes to the Institution. If the Principal Investigator is authorized to transfer his award to another institution, equipment may not be transferred. Transfer will only be authorized to other institutions within the Trust's grant-making geographic area.
- i. The Applicant and the Institution affirm that: (a) investigations involving human subjects proposed in the application and subsequently carried out have been endorsed by the committee on clinical investigation or other clearly designated appropriate body of the Grantee Institution; (b) any research involving human subjects will conform ethically with the guidelines prescribed by the National Institutes of Health (NIH), including the provision of suitable explanation to human subjects or their guardians concerning the experimental design and all significant hazards so that they may be in a position to provide appropriate informed consent prior to the investigations; and (c) research involving animals will conform with the current "Guide for the Care and Use of Laboratory Animals," NIH publication, DHHS/USPHS, and with all applicable federal and state laws and regulations; and, (d)

**The W. W. Smith Charitable Trust
Medical Research Grant Application**

Applicant Initials _____

whatever applicable, the research protocol will be reviewed and approved by the Institution's biohazards committee as well as conforming to NIH guidelines.

- j. The Trust, relying on the foregoing, agrees that it shall have no right or obligation to supervise the research project, which the Principal Investigator and the Institution agree will be conducted with utmost care to protect persons and property from harm.

Name of Applicant _____

**The W. W. Smith Charitable Trust
Medical Research Grant Application**

Applicant Initials _____

AUTHORIZATION/AGREEMENT

APPLICANT	(SIGNATURE)	(DATE)

DEPARTMENT HEAD	(SIGNATURE)	(DATE)

FISCAL OFFICER	(SIGNATURE)	(DATE)

DEAN OR DIRECTOR	(SIGNATURE)	(DATE)

A. Name of Institution assuming fiscal responsibility: _____

B. Name or Institution which assumes professional responsibility: _____

C. Are you a 501(c)3? No ☐ Yes ☐

D. Federal ID number: _____

E. Are you classified as a 509(a)1 ☐, 509(a)2 ☐ or 509(a)3 ☐ ?

F. Name of Institution to whom checks should be issued (should agree with organization name associated with Federal ID number listed above): _____

G. Institution address where checks should be mailed: _____

H. Name of person to whose attention checks should be mailed: _____

**The W. W. Smith Charitable Trust
Medical Research Grant Application**

Applicant Initials _____

15. PROPOSED BUDGET

Name of Applicant _____

ITEM				First Year	Second Year	Third Year
15a. Personnel (list individually)	Degree (indicate if none)	Position Title	% of Time on Project	to	to	to
15b. Social Security and Other Benefits						
Subtotal						
15c. Equipment						
Subtotal						
15d. Supplies (itemize major purchase)						
Subtotal						
15e. Travel						
Subtotal						
15f. Other Expenses (itemize)						
Subtotal						
15g. Institutional Overhead (allowable 10% maximum)						
Subtotal						
GRAND TOTAL						

**The W. W. Smith Charitable Trust
Medical Research Grant Application**

Applicant Initials _____

16. Research Support including Training Grant(s) Funds available to the Principal Investigator and Collaborating Investigator(s)

(Failure to disclose full information requested here may result in application disqualification or award revocation.)

Agency	Title of Project or Program (include name of Principal Investigator, Collaborating Investigator(s), or Program Director(s))	Amount EACH Year	Total Award Amount	Support Time Period
16a. All Active or Approved:				

16b. Pending or Planned (Those alternative* to this application MUST also be designated.)				

* Alternative: essentially the same project with a similar budget, pending or planned

17. Is your work currently in progress on this research? No ☐ Yes ☐

Name of Applicant: _____

**The W. W. Smith Charitable Trust
Medical Research Grant Application**

Applicant Initials _____

18. FACILITIES AVAILABLE: Describe the general facilities available for this research proposal, including laboratory and hospital space, major items, or permanent equipment, etc.

19. JUSTIFICATION OF BUDGET: Clarify items in the various budget categories (items 15a through 15g)

20. RELATIONSHIP OF PROPOSED BUDGET TO OTHER SUPPORT: The relationship of your proposed budget (items 15a through 15g) to other support listed on items 16a and 16b) (active, approved or pending MUST be described).

Name of Applicant: _____

**The W. W. Smith Charitable Trust
Medical Research Grant Application**

Applicant Initials _____

Name of Applicant: _____

Date Submitted: _____

**W.W. Smith Charitable Trust Research Grant Application
Checklist**

COMPLETE AND FORWARD THIS SHEET WITH YOUR APPLICATION

1. Contents of Envelope

Application

The original application and each of the three (3) copies, printed on both sides of the sheets, should be assembled in the order listed below. The original and three copies should be individually paper-clipped or stapled together in four individual sets (including all attachments).

- ☐ Endorsing cover letter from senior official for the research function
- ☐ Lay Research Summary pages
- ☐ Application Information (items 1 through 14)
- ☐ Authorization/Agreement Signature page
- ☐ Completed Proposed Budget (items 15 through 15g)
- ☐ Completed Research Support (items 16 through 17)
- ☐ Completed items 18 through 20
- ☐ Continuation pages, as needed (proposed Research Plan not to exceed seven and one half (7 1/2) pages)
- ☐ Initial each page on the line provided in upper right of the page

Attachments

- ☐ Notarized, valid Visa and Alien Registration (if applicable)
- ☐ Budget and Research Summary pages of all current and pending applications by the Principal and Collaborating Investigator(s)
- ☐ Curriculum vitae and bibliography of Principal Investigator and each doctorate listed in the Schedule of Proposed Budget
- ☐ Reprints or duplicated copies of the four (4) most pertinent publications of the Principal Investigator
- ☐ IRS Tax Exemption Certification Letter
- ☐ Complete Current 990 (one full copy, can be double-sided)
- ☐ Checklist

2. Mailing

Mail application along with pertinent copies to:

**The W.W. Smith Charitable Trust
200 Four Falls Corporate Center, Suite 300
West Conshohocken PA 19428**

POR + XV 3a

Program Area	Grant Type	Grant #	Payee Legal Name	Tax ID	Payee Address	Approval Date	Grant Amount	Payment Date	Check #	Amount
Food, Clothing & Shelter	Challenge Grant		Society of the Holy Child Jesus	23-6271012	1341 Montgomery Avenue, Rosemont, PA 19010	3/15/2012	\$8,000	7/12/2012	7369	\$8,000
Food, Clothing & Shelter	Cash Grants		AchieveAbility	23-2215980	21 South 61st Street, Philadelphia, PA 19139	9/20/2012	\$15,000	10/18/2012	7405	\$15,000
Food, Clothing & Shelter	Cash Grants		Broad Street Ministry	20-2760310	315 South Broad Street, Philadelphia, PA 19107	9/20/2012	\$45,000	10/18/2012	7406	\$45,000
Food, Clothing & Shelter	Cash Grants		Campbell Village Kimberton Hills, Inc	23-2258345	1601 Pughtown Road, P O Box 1045, Kimberton, PA 19422	9/20/2012	\$25,000	10/18/2012	7407	\$25,000
Food, Clothing & Shelter	Cash Grants		Carson Valley Children's Aid	23-1352623	1419 Bethlehem Pike, Flourtown, PA 19031-1998	9/20/2012	\$64,630	10/18/2012	7408	\$64,630
Food, Clothing & Shelter	Cash Grants		Cathedral Kitchen	22-3114500	1514 Federal Street, Camden, NJ 08105	9/20/2012	\$30,000	10/18/2012	7409	\$30,000
Food, Clothing & Shelter	Cash Grants		CityTeam International	94-1501265	634 Sproul Street, Chester, PA 19013	9/20/2012	\$7,000	10/18/2012	7410	\$7,000
Food, Clothing & Shelter	Cash Grants		Community Action Agency of Delaware County, Inc	23-2142644	Government Center, 201 W Front Street, Media, PA 19063	9/20/2012	\$38,000	10/18/2012	7411	\$38,000
Food, Clothing & Shelter	Cash Grants		The Community Coalition	23-2814841	174 Bridge Street, Phoenixville, PA 19301	9/20/2012	\$40,000	10/18/2012	7412	\$40,000
Food, Clothing & Shelter	Cash Grants		Community Health & Education Outreach, Inc	37-1425848	13-19 MacDade Boulevard, Suites 108-109, Collingdale, PA 19023	9/20/2012	\$30,000	10/18/2012	7413	\$30,000
Food, Clothing & Shelter	Cash Grants		The Domestic Abuse Project of Delaware County, Inc	23-2053144	14 West Second Street, Media, PA 19063	9/20/2012	\$12,500	10/18/2012	7414	\$12,500
Food, Clothing & Shelter	Cash Grants		The Domestic Violence Center of Chester County	22-2606511	P O Box 832, West Chester, PA 19381-0832	9/20/2012	\$11,000	10/18/2012	7415	\$11,000

Paid Grant Checks 7 01 12 thru 6 30 13

Food, Clothing & Shelter	Cash Grants		Face to Face	23-2862064	109 East Price Street, Philadelphia, PA 19144	9/20/2012	\$25,000	10/18/2012	7416	\$25,000
Food, Clothing & Shelter	Cash Grants		Habitat for Humanity of Bucks County, Inc	23-2607106	31 Oak Avenue, Ste 100, Chalfont, PA 18914	9/20/2012	\$10,000	10/18/2012	7417	\$10,000
Food, Clothing & Shelter	Cash Grants		Housing Partnership of Chester County, Inc	23-2550366	41 W Lancaster Avenue, Downingtown, PA 19335	9/20/2012	\$10,000	10/18/2012	7418	\$10,000
Food, Clothing & Shelter	Cash Grants		Jewish Family and Children's Services of Greater Philadelphia	23-1352026	2100 Arch Street, 5th Floor, Philadelphia, PA 19103	9/20/2012	\$33,000	10/18/2012	7419	\$33,000
Food, Clothing & Shelter	Cash Grants		Keystone Hospice	23-2757697	8765 Stenton Avenue, Wyndmoor, PA 19038	9/20/2012	\$38,400	10/18/2012	7420	\$38,400
Food, Clothing & Shelter	Cash Grants		MANNA	23-2586142	2323 Ranstead Street, Philadelphia, PA 19103	9/20/2012	\$45,000	10/18/2012	7421	\$45,000
Food, Clothing & Shelter	Cash Grants		Manna on Main Street	23-2287252	713 W Main Street, Lansdale, PA 19446	9/20/2012	\$10,000	10/18/2012	7422	\$10,000
Food, Clothing & Shelter	Cash Grants		Maternity Care Coalition	23-2200410	2000 Hamilton Street, Suite 205, Philadelphia, PA 19130	9/20/2012	\$38,000	10/18/2012	7423	\$38,000
Food, Clothing & Shelter	Cash Grants		Neighbor to Neighbor Community Development Corporation, Inc	23-2806109	2000 Hamilton Street, Suite 205, Sharon Hill, PA 19079	9/20/2012	\$8,000	10/18/2012	7424	\$8,000
Food, Clothing & Shelter	Cash Grants		The Open Line	23-2003150	452 Penn Street, Pennsylvania, PA 18073	9/20/2012	\$25,000	10/18/2012	7425	\$25,000
Food, Clothing & Shelter	Cash Grants		Operation Warm	38-3663310	602 Chadds Ford Drive, Suite 300, Chadds Ford, PA 19317	9/20/2012	\$15,000	10/18/2012	7426	\$15,000
Food, Clothing & Shelter	Cash Grants		Penn Home	23-1580962	1401 East Susquehanna Avenue, Philadelphia, PA 19125	9/20/2012	\$22,000	10/18/2012	7427	\$22,000
Food, Clothing & Shelter	Cash Grants		SHARE Food Program, Inc	23-2360819	2901 West Hunting Park Avenue, Philadelphia, PA 19129	9/20/2012	\$25,000	10/18/2012	7428	\$25,000

Paid Grant Checks 7 01 12 thru 6 30 13

Food, Clothing & Shelter	Cash Grants	Silver Springs - Martin Luther School	23-2310084	512 West Township Line Road, Plymouth Meeting, PA 19462	9/20/2012	\$42,000	10/18/2012	7429	\$42,000
Food, Clothing & Shelter	Cash Grants	St Mary's Residence (Catholic Social Services)	23-1352063	247 South 5th Street, Philadelphia, PA 19106	9/20/2012	\$27,000	10/18/2012	7430	\$27,000
Food, Clothing & Shelter	Cash Grants	St Peter's Church Food Cupboard	23-1386190	313 Pine Street, Philadelphia, PA 19106	9/20/2012	\$13,000	10/18/2012	7431	\$13,000
Food, Clothing & Shelter	Cash Grants	Surrey Services for Seniors	23-2610145	28 Bridge Avenue, Berwyn, PA 19312	9/20/2012	\$22,000	10/18/2012	7432	\$22,000
Food, Clothing & Shelter	Cash Grants	Travelers Aid Society of Philadelphia	23-1161210	111 N 49th Street, Philadelphia, PA 19139	9/20/2012	\$35,000	10/18/2012	7433	\$35,000
Food, Clothing & Shelter	Cash Grants	Utility Emergency Services Fund	23-2227461	1617 J F Kennedy Boulevard, Suite 490, Philadelphia 19103	9/20/2012	\$50,000	10/18/2012	7434	\$50,000
Food, Clothing & Shelter	Cash Grants	Youth Service, Inc	23-1365076	410 N 34th Street, Philadelphia, PA 19104	9/20/2012	\$20,000	10/18/2012	7435	\$20,000
Health\Medical Research\Cancer	Cash Grants	Children's Hospital of Philadelphia	23-1352166	34th St & Civic Cir. Blvd , Philadelphia, PA 19104-4399	9/20/2012	\$100,000	10/18/2012	7436	\$100,000
Health\Medical Research\Cancer	Cash Grants	Drexel University	23-1352630	32nd and Chestnut Street, Philadelphia, PA 19104	9/20/2012	\$100,000	10/18/2012	7437	\$100,000
Health\Medical Research\Cancer	Cash Grants	Fox Chase Cancer Center	23-2003072	333 Cottman Avenue, Philadelphia, PA 19111	9/20/2012	\$88,000	10/18/2012	7438	\$88,000
Health\Medical Research\Cancer	Cash Grants	Johns Hopkins University	52-0595110	720 Rutland Avenue, Baltimore, MD 21205-2196	9/20/2012	\$100,000	10/18/2012	7439	\$100,000
Health\Medical Research\Cancer	Cash Grants	Lankenau Institute for Medical Research	23-2175659	100 Lancaster Avenue, Wynnewood, PA 19096	9/20/2012	\$100,000	10/18/2012	7440	\$100,000
Health\Medical Research\Cancer	Cash Grants	Pennsylvania State University, Milton S Hershey Medical Ctr	25-1854772	Milton S Hershey Medical Center, 500 University Drive, Hershey, PA 17033	9/20/2012	\$100,000	10/18/2012	7441	\$100,000

Paid Grant Checks 7 01 12 thru 6 30 13

Health\Medical Research\Cancer	Cash Grants	C1204	University of Pennsylvania (Trustees of the University of Pennsylvania)	23-1352685	133 South 36th Street Philadelphia, PA 19104-3246	9/20/2012	\$100,000	10/18/2012	7442	\$100,000
Health\Medical Research\AIDS	Cash Grants	A1202	Drexel University College of Medicine	23-2979433	32nd and Chestnut Street, Philadelphia, PA 19104	9/20/2012	\$104,000	10/18/2012	7443	\$104,000
Health\Medical Research\AIDS	Cash Grants	A1201	University of Pennsylvania (Trustees of the University of Pennsylvania)	23-1352685	133 South 36th Street Philadelphia, PA 19104-3246	9/20/2012	\$90,000	10/18/2012	7444	\$90,000
Special Grants - Islet Transplantation Program Fund	Cash Grants		University of Pennsylvania (Trustees of the University of Pennsylvania)	23-1352685	133 South 36th Street Philadelphia, PA 19104-3246	9/20/2012	\$150,000	10/18/2012	7445	\$150,000
Special Grants - in memory of G Morris Dorrance, Jr	Cash Grants		The Exuma Foundation c/o Cornerstone Family Office LLC	34-1880525	5885 Landerbrook Drive, Suite 300, Mayfield Heights, OH 44124	9/23/2011	\$100,000	11/2/2012	7458	\$25,000
Philadelphia Maritime	Challenge Grant		Philadelphia Ship Preservation Guild	23-7272425	301 South Columbus Boulevard, Philadelphia PA 19106	10/4/2011	\$100,000	11/10/2011	7460	\$100,000
Health\Medical Research\Heart	Cash Grants	H1203	Children's Hospital of Philadelphia	23-1352166	34th St & Civic Ctr Blvd, Philadelphia, PA 19104-4399	12/13/2012	\$110,000	1/11/2013	7488	\$110,000
Health\Medical Research\Heart	Cash Grants	H1204	Thomas Jefferson University	23-1352651	1020 Walnut Street Philadelphia, PA 19107-5587	12/13/2012	\$125,000	1/11/2013	7489	\$125,000
Health\Medical Research\Heart	Cash Grants	H1206	Temple University	23-1365971	Health Sciences Center, 3400 N Broad Street, Philadelphia, PA 19140-5199	12/13/2012	\$100,000	1/11/2013	7490	\$100,000
Health\Medical Research\Heart	Cash Grants	H1101	Fox Chase Cancer Center	23-2003072	333 Cottman Avenue, Philadelphia, PA 19111-2497	12/13/2012	\$78,000	1/11/2013	7491	\$78,000

Paid Grant Checks 7 01 12 thru 6 30 13

Health/Medical Research/Heart	Cash Grants	H1202	Johns Hopkins University	52-0595110	720 Rutland Avenue, Baltimore, MD 21205-2196	12/13/2012	\$100,000	3/11/2013	7511	\$100,000
Health/Medical Research/Heart	Cash Grants	H1205	The Wistar Institute	23-6434390	3601 Spruce Street Philadelphia, Pa 19104-4268	12/13/2012	\$121,000	3/11/2013	7512	\$121,000
Food, Clothing & Shelter	Cash Grants		ActionAIDS	23-2446355	1216 Arch Street, 6th Floor, Philadelphia, PA 19107	4/11/2013	\$44,000	5/3/2013	7536	\$44,000
Food, Clothing & Shelter	Cash Grants		Blessed Virgin Mary Parish/Archdiocese of Philadelphia	23-1583199	1101 Main Street, Darby, PA 19023	4/11/2013	\$5,000	5/3/2013	7537	\$5,000
Food, Clothing & Shelter	Cash Grants		Bridge of Hope	23-2495957	1516 Olive Street, Coatesville, PA 19320	4/11/2013	\$19,000	5/3/2013	7538	\$19,000
Food, Clothing & Shelter	Cash Grants		Bucks County Housing Group, Inc	23-1878791	2324 Second Street Pike, Suite 17, Wrightstown, PA 18940	4/11/2013	\$39,000	5/3/2013	7539	\$39,000
Food, Clothing & Shelter	Cash Grants		Child Abuse Prevention Effort	23-7286726	Smylie Times Building, 8001 Roosevelt Boulevard, Suite 404, Philadelphia, PA 19152	4/11/2013	\$14,000	5/3/2013	7540	\$14,000
Food, Clothing & Shelter	Cash Grants		Christ's Home	23-1624905	800 N York Road, Warminster, PA 18974	4/11/2013	\$39,000	5/3/2013	7541	\$39,000
Food, Clothing & Shelter	Cash Grants		Cradles to Crayons	04-3584367	30 Clipper Road, PO Box 799, W Conshohocken, PA 19428	4/11/2013	\$25,000	5/3/2013	7542	\$25,000
Food, Clothing & Shelter	Cash Grants		The Devereux Foundation	23-1390618	444 Devereux Drive, Villanova, PA 19085	4/11/2013	\$32,000	5/3/2013	7543	\$32,000
Food, Clothing & Shelter	Cash Grants		Drueding Center/Project Rainbow	23-1532883	413 West Master Street, Philadelphia, PA 19122	4/11/2013	\$21,000	5/3/2013	7544	\$21,000
Food, Clothing & Shelter	Cash Grants		Episcopal Community Services	23-1352290	225 South Third Street, Philadelphia, PA 19106	4/11/2013	\$45,000	5/3/2013	7545	\$45,000

Paid Grant Checks 7 01 12 thru 6 30 13

Food, Clothing & Shelter	Cash Grants	Germtown Avenue Crisis Ministry	26-0157586	First Presbyterian Church in Germantown, 35 W Chelton Avenue, Philadelphia, PA 19144	4/11/2013	\$15,000	5/3/2013	7546	\$15,000
Food, Clothing & Shelter	Cash Grants	Golden Slipper Center for Seniors	23-2793956	3901 Conshohocken Avenue, Philadelphia, PA 19131	4/11/2013	\$18,000	5/3/2013	7547	\$18,000
Food, Clothing & Shelter	Cash Grants	Good Neighbors Home Repair/Good Neighbors, Inc	11-3839742	205 E State Street, Kennett Square, PA 19348	4/11/2013	\$13,000	5/3/2013	7548	\$13,000
Food, Clothing & Shelter	Cash Grants	Good Works, Inc	23-2513834	P O Box 1441, Coatesville, PA 19320	4/11/2013	\$30,000	5/3/2013	7549	\$30,000
Food, Clothing & Shelter	Cash Grants	HMS School for Children with Cerebral Palsy/Home of the Merciful Saviour for Crippled Children	23-1352327	4400 Baltimore Avenue, Philadelphia, PA 19104	4/11/2013	\$10,000	5/3/2013	7550	\$10,000
Food, Clothing & Shelter	Cash Grants	Kelly Anne Dolan Memorial Fund	23-2108560	602 S Bethlehem Pike, Bldg D, P O Box 556, Ambler, PA 19002	4/11/2013	\$38,000	5/3/2013	7551	\$38,000
Food, Clothing & Shelter	Cash Grants	Kennett Area Senior Center	23-1943595	P O Box 30181, 12 South 23rd Street, Philadelphia, PA 19103	4/11/2013	\$9,000	5/3/2013	7552	\$9,000
Food, Clothing & Shelter	Cash Grants	Klein and Stiffel JCCs	27-0840848	10100 Jamison Avenue, Philadelphia, PA 19116	4/11/2013	\$29,000	5/3/2013	7553	\$29,000
Food, Clothing & Shelter	Cash Grants	Lutheran Settlement House/Lutheran Social Mission Society	23-1352365	1340 Frankford Avenue, Philadelphia, PA 19125	4/11/2013	\$43,000	5/3/2013	7554	\$43,000
Food, Clothing & Shelter	Cash Grants	Mattie N Dixon Community Cupboard, Inc	23-3061645	150 N Main Street, PO Box 367, Ambler, PA 19020	4/11/2013	\$5,000	5/3/2013	7555	\$5,000
Food, Clothing & Shelter	Cash Grants	Neighborhood Center, Inc	21-0634537	278 Kaighns Avenue, Camden, NJ 08103	4/11/2013	\$36,000	5/3/2013	7556	\$36,000

Paid Grant Checks 7 01 12 thru 6 30 13

Food, Clothing & Shelter	Cash Grants		North Light Community Center	23-1365378	175 Green Lane, Philadelphia, PA 19127	4/11/2013	\$23,000	5/3/2013	7557	\$23,000
Food, Clothing & Shelter	Cash Grants		Parkessburg Point Youth Center/Parkessburg Point	03-0399261	356 Main Street, PO Box 731, Parkessburg, PA 19365	4/11/2013	\$22,000	5/3/2013	7558	\$22,000
Food, Clothing & Shelter	Cash Grants		PathWays PA, Inc	23-2001837	Administrative Office, 310 Amosland Road, Holmes, PA 19043	4/11/2013	\$36,000	5/3/2013	7559	\$36,000
Food, Clothing & Shelter	Cash Grants		PEAK Center	23-1941738	1292 Allentown Road, Lansdale, PA 19446	4/11/2013	\$13,000	5/3/2013	7560	\$13,000
Food, Clothing & Shelter	Cash Grants		People's Emergency Center	23-2017882	325 N 39th Street, Philadelphia, PA 19104	4/11/2013	\$48,000	5/3/2013	7561	\$48,000
Food, Clothing & Shelter	Cash Grants		Philabundance	23-2290505	3616 South Galloway Street, Philadelphia, PA 19148-5402	4/11/2013	\$19,000	5/3/2013	7562	\$19,000
Food, Clothing & Shelter	Cash Grants		Phoenixville Senior Center (Senior Adult Activity Center of the Phoenixville Area)	23-2107124	153 Church Street, Phoenixville, PA 19460	4/11/2013	\$10,000	5/3/2013	7563	\$10,000
Food, Clothing & Shelter	Cash Grants		Presbyterian Children's Village Services	23-1353287	452 South Roberts Road, Rosemont, PA 19010	4/11/2013	\$23,000	5/3/2013	7564	\$23,000
Food, Clothing & Shelter	Cash Grants		Project H O M E	23-2555950	1515 Fairmount Avenue, Philadelphia, PA 19130	4/11/2013	\$18,000	5/3/2013	7565	\$18,000
Food, Clothing & Shelter	Cash Grants		St Edmond's Home for Children/United States Conference of Catholic Bishops	23-1365399	320 South Roberts Road, Rosemont, PA 19010	4/11/2013	\$24,000	5/3/2013	7566	\$24,000
Food, Clothing & Shelter	Cash Grants		Tredyffrin & Easttown Care	04-3846699	PO Box 2111, Southeastern, PA 19399	4/11/2013	\$13,000	5/3/2013	7567	\$13,000

Paid Grant Checks 7 01 12 thru 6 30 13

Food, Clothing & Shelter	Cash Grants		Trevor's Campaign for the Homeless/Trevor's Campaign, Inc	23-2277784	761 West Sproul Road, #123, Springfield, PA 19064	4/11/2013	\$30,000	5/3/2013	7568	\$30,000
Food, Clothing & Shelter	Cash Grants		Urban Resources Development Corporation	23-2017912	6001 Germantown Avenue, Philadelphia, PA 19144	4/11/2013	\$7,000	5/3/2013	7569	\$7,000
Food, Clothing & Shelter	Cash Grants		Wings for Success	23-2951962	PO Box 1184, Frazer, PA 19355	4/11/2013	\$5,000	5/3/2013	7570	\$5,000
Philadelphia Maritime	Cash Grants		Philadelphia Wooden Boat Factory	23-2997335	4520 Worth Street, Suite L2, Philadelphia, PA 19124	4/11/2013	\$25,000	5/3/2013	7571	\$25,000
Scholarship Financial Aid	Cash Grants		Arcadia University	23-1352620	450 S Easton Road, Glenside, PA 19038-3295	5/8/2013	\$63,000	5/17/2013	7577	\$63,000
Scholarship Financial Aid	Cash Grants		Bryn Mawr College	23-1352621	101 North Merion Avenue, Bryn Mawr, PA 19010	5/8/2013	\$25,000	5/17/2013	7578	\$25,000
Scholarship Financial Aid	Cash Grants		Cabrini College	23-1526668	610 King of Prussia Road, Radnor, PA 19087-3699	5/8/2013	\$54,000	5/17/2013	7579	\$54,000
Scholarship Financial Aid	Cash Grants		Cairn University	23-0973290	200 Manor Avenue, Langhorne, PA 19047-2990	5/8/2013	\$48,000	5/17/2013	7580	\$48,000
Scholarship Financial Aid	Cash Grants		Chestnut Hill College	23-1352626	9601 Germantown Avenue, Philadelphia, PA 19118-2693	5/8/2013	\$55,000	5/17/2013	7582	\$55,000
Scholarship Financial Aid	Cash Grants		Cheyney University (Cheyney Foundation)	23-7148064	1837 University Circle, P O Box 200, Cheyney, PA 19319-0200	5/8/2013	\$44,000	5/17/2013	7582	\$44,000
Scholarship Financial Aid	Cash Grants		Delaware Valley College	23-1352665	700 East Butler Avenue, Doylestown, PA 18901-2697	5/8/2013	\$54,000	5/17/2013	7583	\$54,000
Scholarship Financial Aid	Cash Grants		Drexel University	23-1352630	32nd and Chestnut Street, Philadelphia, PA 19104	5/8/2013	\$115,000	5/17/2013	7584	\$115,000

Paid Grant Checks 7 01 12 thru 6 30 13

Scholarship Financial Aid	Cash Grants		Eastern College	23-1409675	1300 Eagle Road, St Davids, PA 19087-3696	5/8/2013	\$53,000	5/17/2013	7585	\$53,000
Scholarship Financial Aid	Cash Grants		Gwynedd-Mercy College	23-1352613	1325 Sumneytown Pike, P O Box 901, Gwynedd Valley, PA 19437	5/8/2013	\$55,000	5/17/2013	7586	\$55,000
Scholarship Financial Aid	Cash Grants		Haverford College	23-6002304	370 Lancaster Avenue, Haverford, PA 19041- 1392	5/8/2013	\$25,000	5/17/2013	7587	\$25,000
Scholarship Financial Aid	Cash Grants		Holy Family University	23-1501197	9801 Frankford Avenue, Philadelphia, PA 19114	5/8/2013	\$52,000	5/17/2013	7588	\$52,000
Scholarship Financial Aid	Cash Grants		Immaculata University	23-1352648	1145 King Road, P O Box 733, Immaculata, PA 19345	5/8/2013	\$43,000	5/17/2013	7589	\$43,000
Scholarship Financial Aid	Cash Grants		LaSalle University	23-1352654	1900 West Olney Avenue, Philadelphia, PA 19141-1199	5/8/2013	\$71,000	5/17/2013	7590	\$71,000
Scholarship Financial Aid	Cash Grants		Lincoln University	23-1352655	1570 Baltimore Pike, P O Box 179, MSC 96, Lincoln University, PA 19352-0999	5/8/2013	\$52,000	5/17/2013	7591	\$52,000
Scholarship Financial Aid	Cash Grants		Moore College of Art and Design	23-1352236	The Parkway at 20th Street, Philadelphia, PA 19103-1179	5/8/2013	\$51,000	5/17/2013	7592	\$51,000
Scholarship Financial Aid	Cash Grants		Neumann College	23-1657958	One Neuman Drive, Aston, PA 19014	5/8/2013	\$56,000	5/17/2013	7593	\$56,000
Scholarship Financial Aid	Cash Grants		Pierce College	23-1627436	1420 Pine Street, Philadelphia, PA 19102- 4699	5/8/2013	\$43,000	5/17/2013	7594	\$43,000
Scholarship Financial Aid	Cash Grants		Penn State Brandywine	24-6000376	25 Yearsley Mill Road, Media, PA 19063-5596	5/8/2013	\$36,000	5/17/2013	7595	\$36,000
Scholarship Financial Aid	Cash Grants		Philadelphia University	23-1352294	School House Lane & Henry Ave , Philadelphia, PA 19144-5497	5/8/2013	\$63,000	5/17/2013	7596	\$63,000

Paid Grant Checks 7 01 12 thru 6 30 13

Scholarship Financial Aid	Cash Grants	Rosemont College	23-1365966	1400 Montgomery Avenue, Rosemont, PA 19010-1699	5/8/2013	\$45,000	5/17/2013	7597	\$45,000
Scholarship Financial Aid	Cash Grants	Saint Joseph's University	23-1352674	5600 City Avenue, Philadelphia, PA 19131- 1395	5/8/2013	\$75,000	5/17/2013	7598	\$75,000
Scholarship Financial Aid	Cash Grants	Temple University	23-1365971	200 Sullivan Hall, 1330 West Berks Street, Philadelphia, PA 19122- 6087	5/8/2013	\$150,000	5/17/2013	7599	\$150,000
Scholarship Financial Aid	Cash Grants	The University of the Arts	23-1639911	320 South Broad Street, Philadelphia, PA 19102	5/8/2013	\$62,000	5/17/2013	7600	\$62,000
Scholarship Financial Aid	Cash Grants	University of Pennsylvania (Trustees of the University of Pennsylvania)	23-1352685	100 College Hall, Philadelphia, PA 19104- 6380	5/8/2013	\$25,000	5/17/2013	7601	\$25,000
Scholarship Financial Aid	Cash Grants	University of the Sciences in Philadelphia	23-1352668	600 South 43rd Street, Philadelphia, PA 19104- 4495	5/8/2013	\$57,000	5/17/2013	7602	\$57,000
Scholarship Financial Aid	Cash Grants	Ursinus College	23-1177930	P O Box 1000, Collegeville, PA 19426- 1000	5/8/2013	\$61,000	5/17/2013	7603	\$61,000
Scholarship Financial Aid	Cash Grants	Villanova University	23-1352688	800 Lancaster Avenue, Villanova, PA 19085- 1673	5/8/2013	\$77,000	5/17/2013	7604	\$77,000
Scholarship Financial Aid	Cash Grants	West Chester University (West Chester University Foundation)	23-3054174	One University Place, Chester, PA 19013-5792	5/8/2013	\$85,000	5/17/2013	7605	\$85,000
Scholarship Financial Aid	Cash Grants	Widener University	23-1386178	West Chester, PA 19383- 1000	5/8/2013	\$66,000	5/17/2013	7606	\$66,000

Paid Grant Checks 7 01 12 thru 6 30 13

Health\Medical Research\Heart	Cash Grants	H1201	University of Pennsylvania (Trustees of the University of Pennsylvania)	23-1352685	133 South 36th Street Philadelphia, PA 19104-3246	12/13/2012	\$114,641	6/4/2013	7616	\$114,641
Health\Medical Research\Cancer	Cash Grants		Lankenau Institute for Medical Research	23-2175659	100 Lancaster Avenue, Wynnewood, PA 19096	6/13/2013	\$625,000	6/17/2013	7621	\$125,000
Health\Medical Research\Special - Professorship	Cash Grants		The Wistar Institute	23-6434390	3601 Spruce Street Philadelphia, Pa 19104-4268	6/13/2013	\$1,500,000	6/17/2013	7622	\$500,000
Health\Medical Research\Chair	Cash Grants		Temple University	23-1365971	Health Sciences Center, 3400 N Broad Street, Philadelphia, PA 19140-5199	6/13/2013	\$2,500,000	6/17/2013	7623	\$625,000
								TOTAL		\$6,601,171

RECIPIENT NAME:

Exuma Foundation
Conerstone Family Office LLC

ADDRESS:

5885 LANDERBROOK DRIVE, SUITE 300
Mayfield Heights, OH 44124

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

SPECIAL GRANT FISCAL 2013-2014

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT APPROVED FOR FUTURE PAYMENT: 25,000.

RECIPIENT NAME:

Lankenau Institute
For medical Research

ADDRESS:

100 LANCASTER AVENUE
Wynnewood, PA 19096

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

RESEARCH GRANT FISCAL 2013-2014

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT APPROVED FOR FUTURE PAYMENT: 125,000.

RECIPIENT NAME:

The Wistar Institute

ADDRESS:

3601 SPRUCE STREET
Philadelphia, PA 19104-4268

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

CANCER RESEARCH FISCAL 2013-2014

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT APPROVED FOR FUTURE PAYMENT: 500,000.

RECIPIENT NAME:

Temple University

ADDRESS:

3400 N BROAD STREET
Philadelphia, PA 19140-5199

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

HEALTH/MEDICAL RESEARCH Fiscal 2013-2014

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT APPROVED FOR FUTURE PAYMENT: 625,000.

RECIPIENT NAME:

The Exuma Foundation
Conerstone Family Office LLC

ADDRESS:

5885 LANDERBROOK DRIVE, SUITE 300
Mayfield Heights, OH 44124

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

SPECIAL GRANT FISCAL 2014-2015

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT APPROVED FOR FUTURE PAYMENT: 25,000.

RECIPIENT NAME:

Lankenau Institute
For Medical Research

ADDRESS:

100 LANCASTER AVENUE
Wynnewood, PA 19096

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

CANCER RESEARCH FISCAL 2014-2015

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT APPROVED FOR FUTURE PAYMENT: 125,000.

RECIPIENT NAME:

The Wistar Institute

ADDRESS:

3601 SPRUCE STREET
Philadelphia, PA 19104-4268

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

CANCER RESEARCH FISCAL 2014-2015

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT APPROVED FOR FUTURE PAYMENT: 500,000.

RECIPIENT NAME:

Temple University

ADDRESS:

3400 N BROAD STREET
Philadelphia, PA 19140-5199

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

HEALTH/MEDICAL RESEARCH Fiscal 2014-2015

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT APPROVED FOR FUTURE PAYMENT: 625,000.

RECIPIENT NAME:

Lankenau Institute
For Medical Research

ADDRESS:

100 LANCASTER AVENUE
Wynnewood, PA 19096

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

CANCER RESEARCH FISCAL 2015-2016

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT APPROVED FOR FUTURE PAYMENT: 125,000.

RECIPIENT NAME:

Temple University

ADDRESS:

3400 N BROAD STREET

Philadelphia, PA 19140-5199

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

HEALTH/MEDICAL RESEARCH Fiscal 2015-2016

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT APPROVED FOR FUTURE PAYMENT:

625,000.

RECIPIENT NAME:

Lankenau Institute

For Medical Research

ADDRESS:

100 LANCASTER AVENUE

Wynnewood, PA 19096

RELATIONSHIP:

N/A

PURPOSE OF GRANT:

CANCER RESEARCH FISCAL 2015-2016

FOUNDATION STATUS OF RECIPIENT:

PUBLIC CHARITY

AMOUNT APPROVED FOR FUTURE PAYMENT:

125,000.

TOTAL GRANTS APPROVED FOR FUTURE PAYMENT:

3,425,000.

=====