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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

**2012**

Open to Public Inspection

For calendar year 2012 or tax year beginning 7/1/2012, and ending 6/30/2013

Name of foundation <b>JOHANETTE WALLERSTEIN INSTITUTE</b>		<b>A</b> Employer identification number <b>22-6042908</b>
Number and street (or P O box number if mail is not delivered to street address) <b>ONE ELM WAY</b>		<b>B</b> Telephone number (see instructions)
City or town, state, and ZIP code <b>WEST ORANGE NJ 07052</b>		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<b>H</b> Check type of organization. <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 11,519,593</b>		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
<b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>				
1 Contributions, gifts, grants, etc., received (attach schedule)	382,341			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	592	592		
4 Dividends and interest from securities	453,577	453,577		
5 a Gross rents				
b Net rental income or (loss)				
6 a Net gain or (loss) from sale of assets not on line 10	268,385			
b Gross sales price for all assets on line 6a	4,125,700			
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10 a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	1,104,895	454,169	0	
<b>Operating and Administrative Expenses</b>				
13 Compensation of officers, directors, trustees, etc.				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16 a Legal fees (attach schedule)				
b Accounting fees (attach schedule)	6,000	6,000		6,000
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions)	10,250	10,250		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)	62,824	62,824		62,824
24 Total operating and administrative expenses. Add lines 13 through 23	79,074	79,074	0	68,824
25 Contributions, gifts, grants paid	692,330			692,330
26 Total expenses and disbursements. Add lines 24 and 25	771,404	79,074	0	761,154
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	333,491			
b Net investment income (if negative, enter -0-)		375,095		
c Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2012)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing . . . . .	66,989	42,126	42,125
	2 Savings and temporary cash investments . . . . .	127,920	144,656	144,656
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable . . . . .			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use . . . . .			
	9 Prepaid expenses and deferred charges . . . . .	16,374	4,124	4,124
	10 a Investments—U S and state government obligations (attach schedule) . . . . .			
	b Investments—corporate stock (attach schedule) . . . . .	5,477,961	2,631,823	2,581,286
	c Investments—corporate bonds (attach schedule) . . . . .	4,329,505	7,529,511	8,747,402
Liabilities	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans . . . . .			
	13 Investments—other (attach schedule) . . . . .			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶) . . . . .			
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I) . . . . .	10,018,749	10,352,240	11,519,593
	17 Accounts payable and accrued expenses . . . . .			
	18 Grants payable . . . . .			
	19 Deferred revenue . . . . .			
	20 Loans from officers, directors, trustees, and other disqualified persons . . . . .			
	21 Mortgages and other notes payable (attach schedule) . . . . .			
	22 Other liabilities (describe ▶) . . . . .			
	23 Total liabilities (add lines 17 through 22) . . . . .	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here . . . . . <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted . . . . .			
	25 Temporarily restricted . . . . .			
	26 Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here . . . . . <input type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds . . . . .			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .			
	29 Retained earnings, accumulated income, endowment, or other funds . . . . .	10,018,749	10,352,240	
	30 Total net assets or fund balances (see instructions) . . . . .	10,018,749	10,352,240	
	31 Total liabilities and net assets/fund balances (see instructions) . . . . .	10,018,749	10,352,240	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	10,018,749
2	Enter amount from Part I, line 27a . . . . .	2	333,491
3	Other increases not included in line 2 (itemize) ▶ . . . . .	3	
4	Add lines 1, 2, and 3 . . . . .	4	10,352,240
5	Decreases not included in line 2 (itemize) ▶ . . . . .	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	10,352,240

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a PUBLICLY TRADED SECURITIES</b>				
<b>b PUBLICLY TRADED SECURITIES</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b> 2,468,209		2,282,418	185,791	
<b>b</b> 1,657,491		1,574,897	82,594	
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(l) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
<b>a</b>			185,791	
<b>b</b>			82,594	
<b>c</b>				
<b>d</b>				
<b>e</b>				
2 Capital gain net income or (net capital loss)		<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div> <div style="margin-left: 10px;"> <div style="border: 1px solid black; width: 20px; height: 20px; display: flex; align-items: center; justify-content: center;">2</div> </div> </div>		268,385
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		<div style="display: flex; align-items: center;"> <div style="border-left: 1px solid black; padding-left: 5px;">           If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8         </div> <div style="margin-left: 10px;"> <div style="border: 1px solid black; width: 20px; height: 20px; display: flex; align-items: center; justify-content: center;">3</div> </div> </div>		0

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	750,749	10,523,239	0.071342
2010	645,633	10,737,173	0.060131
2009	716,891	9,839,966	0.072855
2008	566,865	9,038,690	0.062715
2007	619,144	11,177,224	0.055393
2 Total of line 1, column (d)			2 0.322436
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.064487
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			4 11,139,961
5 Multiply line 4 by line 3			5 718,383
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 3,751
7 Add lines 5 and 6			7 722,134
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 761,154

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

**1 a** Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.  
Date of ruling or determination letter: \_\_\_\_\_ (attach copy of letter if necessary—see instructions)

**b** Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b

**c** All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).

**2** Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

**3** Add lines 1 and 2

**4** Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

**5** Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

**6** Credits/Payments.

**a** 2012 estimated tax payments and 2011 overpayment credited to 2012

**b** Exempt foreign organizations—tax withheld at source

**c** Tax paid with application for extension of time to file (Form 8868)

**d** Backup withholding erroneously withheld

**7** Total credits and payments. Add lines 6a through 6d

**8** Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached

**9** Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

**10** Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

**11** Enter the amount of line 10 to be: **Credited to 2013 estimated tax** 9,644 **Refunded**

**Part VII-A Statements Regarding Activities**

**1 a** During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

**b** Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

**c** Did the foundation file Form 1120-POL for this year?

**d** Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. \$ (2) On foundation managers. \$

**e** Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$

**2** Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities.

**3** Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

**4 a** Did the foundation have unrelated business gross income of \$1,000 or more during the year?

**b** If "Yes," has it filed a tax return on Form 990-T for this year?

**5** Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T

**6** Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

**7** Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

**8 a** Enter the states to which the foundation reports or with which it is registered (see instructions)

**b** If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

**9** Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV

**10** Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>►</b> N/A	13	X	
14	The books are in care of <b>►</b> BERNARD WALLERSTEIN Telephone no <b>►</b> Located at <b>►</b> ONE ELM WAY WEST ORANGE NJ ZIP+4 <b>►</b> 07052			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here <b>►</b> and enter the amount of tax-exempt interest received or accrued during the year <b>►</b> 15			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <b>►</b>	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <b>►</b>	1b N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years <b>►</b> 20 , 20 , 20 , 20	<input type="checkbox"/> Yes <input type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <b>►</b> 20 , 20 , 20 , 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3b	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No

Organizations relying on a current notice regarding disaster assistance check here ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b

N/A

6b

X

7b

N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BERNARD WALLERSTEIN ONE ELM COURT WAY WEST ORANGE, NJ 07		0		

**2** Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1		
2		
3		
4		

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1		
2		
3	All other program-related investments. See instructions.	

Total. Add lines 1 through 3



0



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	11,255,976
<b>b</b>	Average of monthly cash balances	<b>1b</b>	53,629
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	11,309,605
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	11,309,605
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	<b>4</b>	169,644
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4.	<b>5</b>	11,139,961
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	556,998

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part )

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	556,998
<b>2a</b>	Tax on investment income for 2012 from Part VI, line 5	<b>2a</b>	3,751
<b>b</b>	Income tax for 2012 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	3,751
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	553,247
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	553,247
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	553,247

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	761,154
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	<b>4</b>	761,154
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	3,751
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	757,403

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
<b>1</b> Distributable amount for 2012 from Part XI, line 7				553,247
<b>2</b> Undistributed income, if any, as of the end of 2012				
<b>a</b> Enter amount for 2011 only			0	
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2012				
<b>a</b> From 2007	71,935			
<b>b</b> From 2008	122,318			
<b>c</b> From 2009	232,859			
<b>d</b> From 2010	140,060			
<b>e</b> From 2011	147,749			
<b>f</b> Total of lines 3a through e	714,921			
<b>4</b> Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 761,154				
<b>a</b> Applied to 2011, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions)				
<b>d</b> Applied to 2012 distributable amount				553,247
<b>e</b> Remaining amount distributed out of corpus	139,083			
<b>5</b> Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a) )				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5.	854,004			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions				
<b>e</b> Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
<b>f</b> Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
<b>8</b> Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	71,935			
<b>9</b> Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	782,069			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2008	122,318			
<b>b</b> Excess from 2009	232,859			
<b>c</b> Excess from 2010	140,060			
<b>d</b> Excess from 2011	147,749			
<b>e</b> Excess from 2012	139,083			



**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year SEE SCHEDULE ATTACH				692,330
<b>Total</b>			▶ <b>3a</b>	692,330
<b>b</b> Approved for future payment				
<b>Total</b>			▶ <b>3b</b>	0

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income (See instructions)
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	592	
4	Dividends and interest from securities			14	453,577	-
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue: a					
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		0		454,169	0
13	Total. Add line 12, columns (b), (d), and (e)				13 454,169	454,169

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]



**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

**2012**

Name of the organization

Employer identification number

JOHANETTE WALLERSTEIN INSTITUTE

22-6042908

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. . . . . ▶ \$ .....

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization  
JOHANETTE WALLERSTEIN INSTITUTE

Employer identification number  
22-6042908

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
-----	----- ----- Foreign State or Province ----- Foreign Country -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
-----	----- ----- Foreign State or Province ----- Foreign Country -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
-----	----- ----- Foreign State or Province ----- Foreign Country -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
-----	----- ----- Foreign State or Province ----- Foreign Country -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
-----	----- ----- Foreign State or Province ----- Foreign Country -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
-----	----- ----- Foreign State or Province ----- Foreign Country -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
-----	----- ----- Foreign State or Province ----- Foreign Country -----	\$ -----	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)



Name of organization  
JOHANETTE WALLERSTEIN INSTITUTE

Employer identification number  
22-6042908

**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	1000 SHARES OF AMERICAN EXPRESS	\$ 58,632	7/19/2012
	1000 SHARES OF AMERICAN EXPRESS	\$ 75,082	5/24/2013
	1000 SHARES OF AMERPRISE FINANCIAL	\$ 55,822	9/11/2012
	1000 SHARES OF AMERPRISE FINANCIAL	\$ 58,002	10/11/2012
	1000 SHARES OF AMERPRISE FINANCIAL	\$ 64,690	1/7/2013
	1000 SHARES OF AMERPRISE FINANCIAL	\$ 70,113	3/5/2013

<b>Name of organization</b> JOHANETTE WALLERSTEIN INSTITUTE	<b>Employer identification number</b> 22-6042908
--	---

**Part III** Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry.

For organizations completing Part III, enter the total of exclusively religious, charitable, etc.,

contributions of \$1,000 or less for the year. (Enter this information once See instructions.) ▶ \$ 0

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----		----- ----- -----
	For. Prov.	Country	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----		----- ----- -----
	For. Prov.	Country	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----		----- ----- -----
	For. Prov.	Country	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	----- ----- -----		----- ----- -----
	For. Prov.	Country	

**Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory**

		Amount		Totals										Net Gain or Loss	
		Long Term CG Distributions	Short Term CG Distributions	Gross Sales		Cost, Other Basis and Expenses		Net Gain or Loss							
				4,125,700		3,857,315		268,385							
				0		0		0							
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Net Gain or Loss		
1	X PUBLICLY TRADED SECURITIES							2,469,209			2,282,418		186,791		
2	X PUBLICLY TRADED SECURITIES							1,657,491			1,574,897		82,594		

**Part I, Line 16b (990-PF) - Accounting Fees**

		6,000	6,000	0	6,000
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
<b>1</b>	ACCOUNTING FEES	6,000	6,000		6,000

**Part I, Line 18 (990-PF) - Taxes**

		10,250	10,250	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
<b>1</b>	EXCISE TAXES	10,250	10,250		

**Part I, Line 23 (990-PF) - Other Expenses**

		62,824	62,824	0	62,824
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
<b>1</b>	BANK CHARGES	140	140		140
<b>2</b>	CONSERVATION CONSULTANTS	1,000	1,000		1,000
<b>3</b>	DUES & SUBSCRIPTION	290	290		290
<b>4</b>	INVESTMENT FEES	59,650	59,650		59,650
<b>5</b>	LICENSE	175	175		175
<b>6</b>	OFFICE EXPENSE	718	718		718
<b>7</b>	TELEPHONE	851	851		851

Part II, Line 10b (990-PF) - Investments - Corporate Stock

	Description	Num Shares/ Face Value	Book Value		Book Value		FMV	
			Beg. of Year	End of Year	Beg. of Year	End of Year	Beg. of Year	End of Year
1	CORPORATE STOCKS		5,477,961	2,631,823	2,631,823	6,093,097	6,093,097	2,581,286

**Part II, Line 10c (990-PF) - Investments - Corporate Bonds**

Description		Interest Rate	Maturity Date	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year
1	CORPORATE BONDS			4,329,505	7,529,511	4,386,363	8,747,402

**Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers**

		Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	BERNARD WALLERSTEIN		ONE ELM COURT WAY	WEST ORANGE	NJ	07062					0	0

**JOHANNETTE WALLERSTEIN INSTITUTE**  
**ID #22-6042908**  
**SECURITIES AT GLENMEDE 6/30/13**

DESCRIPTION OF ASSETS	COST PRICE	MARKET VALUE
<b>CASH &amp; RESERVES</b>		
GLENMEDE FUND INC – GOVT	<u>\$144,656</u>	<u>\$144,656</u>
<b>TOTAL TEMPORARY CASH</b>	<u><b>\$144,656</b></u>	<u><b>\$144,656</b></u>
 <b>TAXABLE BOND MUTUAL BONDS</b>		
TEMPLETON GLOBE FUND	\$311,693	\$314,763
GLENMEDE FUND INC – CORE	\$1,661,348	\$1,616,025
BLACKROCK	\$333,722	\$331,804
GLOBAL OPPS BOND	<u>\$313,346</u>	<u>\$314,238</u>
<b>TOTAL</b>	<u><b>\$2,620,109</b></u>	<u><b>\$2,576,830</b></u>
 <b>MORTGAGE BACKED SECURITIES</b>		
RYLAND ACCEPTANCE CORPORATION	\$7,975	\$1,790
FHLMC CMO 1017	<u>\$3,739</u>	<u>\$2,666</u>
<b>TOTAL</b>	<u><b>\$11,714</b></u>	<u><b>\$4,456</b></u>
 <b>TOTAL FIXED INCOME</b>	<u><b>\$2,631,823</b></u>	<u><b>\$2,581,286</b></u>

✓



**JOHANNETTE WALLERSTEIN INSTITUTE**  
**ID #22-6042908**  
**SECURITIES AT GLENMEDE 6/30/13**

DESCRIPTION OF ASSETS	COST PRICE	MARKET VALUE
<b>EQUITIES</b>		
<b>U S MUTUAL FUNDS</b>		
GMO QUALITY FUND	\$351,173	\$374,780
DODGE & COX FUND	\$270,000	\$348,120
GLENMEDE FUND INC ADVISER SMALL CAP EQUITY PORTFOLIO	\$216,950	\$272,374
GLENMEDE FUND INC SECURED OPTIONS	\$838,617	\$850,675
ROYCE SPECIAL EQUITY	\$222,413	\$252,879
MANAGERS TIMESSQ M/C GRW-IN	\$453,668	\$527,345
VANGUARD TOTL STK MKT IND SIGNAL SHARES	\$1,148,055	\$1,881,482
LONGLEAF PARTNERS FUNDS	<u>\$268,656</u>	<u>\$270,958</u>
TOTAL	\$3,769,532	\$4,778,613
<b>INTERNATIONAL MUTUAL FUNDS</b>		
MATTHEWS PACIFIC TIGER FD	\$132,049	\$151,448
OAKMARK INTERNATIONAL FD	\$269,006	\$356,407
T ROWE PRICE INST EMERGING	\$256,406	\$262,617
THURNBURG INTL	\$592,000	\$597,103
GLENMEDE INT'L	<u>\$219,000</u>	<u>\$222,570</u>
TOTAL	\$1,468,461	\$1,590,145

**JOHANNETTE WALLERSTEIN INSTITUTE**  
**ID #22-6042908**  
**SECURITIES AT GLENMEDE 6/30/13**

DESCRIPTION OF ASSETS	COST PRICE	MARKET VALUE
<b>OTHER</b>		
<b>ALTERNATIVE ASSETS</b>		
AQR RISK	\$237,000	\$224,613
GLENMEDE CLIENT OPPERT	\$198,500	\$277,900
US COMMONDITY INDEX	\$228,608	\$190,888
VAN ECK INT'L	\$117,260	\$62,201
PIMCO ALL ASSETS	\$224,414	\$211,981
LIBERTY SPEC	\$500,000	\$539,569
DREYFUS GLOBAL R/E	\$319,391	\$321,275
VARIOUS EQUITY STOCKS	<u>\$481,065</u>	<u>\$550,217</u>
<b>TOTAL</b>	<b>\$2,306,238</b>	<b>\$2,378,644</b>
 TOTAL	 \$10,320,710	 \$11,473,344
LESS: PARTNERSHIP ADJUSTMENT (K-1)	<u>-\$14,720</u>	<u>\$0</u>
 <b>GRAND TOTAL</b>	 <b><u>\$10,305,990</u></b>	 <b><u>\$11,473,344</u></b>

**JOHANNETTE WALLERSTEIN INSTITUTE****ID #22-6042908****FORM 990-PF PART XV GRANTS PAID**

TYPE	AMOUNT	DESCRIPTION
GREATER NEWARK CONSERVANCY	10,000	OPERATING EXPENSES
NATURE CONSERVANCY	45,000	OPERATING EXPENSES
CONSERVE WILDLIFE FOUNDATION	10,000	OPERATING EXPENSES
GREENPLACE	5,000	OPERATING EXPENSES
HIGHLANDS COALITION	10,000	OPERATING EXPENSES
NJ CONSERVATION FOUNDATION	25,000	OPERATING EXPENSES
GREENFAITH	7,000	OPERATING EXPENSES
HACKENSACK RIVER KEEPER	10,000	OPERATING EXPENSES
NY/NJ BAYKEEPER	5,000	OPERATING EXPENSES
NY BOTANICAL GARDEN	20,000	OPERATING EXPENSES
RIVERKEEPER	25,000	OPERATING EXPENSES
GREAT SWAMP WATERSHED ASSOCIA	5,000	OPERATING EXPENSES
PEOPLE TO PEOPLE INC	10,000	OPERATING EXPENSES
PINELANDS PRESERVATION ALLIANCE	5,000	OPERATING EXPENSES
COUNCIL OF NJ GRANTMAKERS	1,000	OPERATING EXPENSES
CITIZENS ENVIRONMENTAL COALITION	500	OPERATING EXPENSES
ANJEC	1,000	OPERATING EXPENSES
HABIT FOR HUMANITY	10,000	OPERATING EXPENSES
COMMUNITY FOOD BANK	15,000	ASSISTANCE FOR THE POOR
PASSAIC RIVER COALITION	15,000	OPERATING EXPENSES
NATURAL RESOURCE DEFENSE COUNS	20,000	OPERATING EXPENSES
ENVIRONMENTAL DEFENSE	25,000	OPERATING EXPENSES
NEW JERSEY FUTURE	1,000	OPERATING EXPENSES
CONGREGATION BNAI JESHURUN	26,970	OPERATING EXPENSES
WELLESLEY COLLEGE	2,500	OPERATING EXPENSES
UJA METROWEST	1,500	OPERATING EXPENSES
AMERICAN WATER PROJECT	1,000	OPERATING EXPENSES
DREW UNIVERSITY	175,000	OPERATING EXPENSES
DELAWARE RIVERKEEPER	1,000	OPERATING EXPENSES
CATSKILL MOUNTAINKEEPER	10,000	OPERATING EXPENSES
RARITAN HEADWATERS ASSOCIATION	10,000	OPERATING EXPENSES
PRESEVATION FOUNDATION	1,000	OPERATING EXPENSES
JEWISH HEALTH SERVICES	540	OPERATING EXPENSES
COUNCIL OF NJ GRANTMAKERS	1,300	OPERATING EXPENSES
SISTER ROSE THERING FOUNDATION	<u>1,000</u>	SCHOLARSHIP
SUBTOTAL	512,310	

**JOHANNETTE WALLERSTEIN INSTITUTE****ID #22-6042908****FORM 990-PF PART XV GRANTS PAID**

<b>TYPE</b>	<b>AMOUNT</b>	<b>DESCRIPTION</b>
MENTAL HEALTH ASSOCIATION	1,500	OPERATING EXPENSES
AMERICAN JEWISH COMMITTEE	15,500	OPERATING EXPENSES
STONY BROOK MILLSTONE WATERSHE	5,000	OPERATING EXPENSES
PACIFIC INSTITUTE	10,000	WATER RESEARCH
NJ AUDUBON SOCIETY	500	OPERATING EXPENSES
LAND CONSERVANCY OF NJ	5,020	OPERATING EXPENSES
WORLDWATCH INSTITUTE	1,000	OPERATING EXPENSES
CLEAN OCEAN ACTION	5,000	WATER CONSERVATION
TRUST FOR PUBLIC LAND	25,000	OPEN SPACE RESEARCH
PLANNED PARENTHOOD FEDERATION	1,000	OPERATING EXPENSES
BARNABAS HEALTH HOSPICE	2,500	OPERATING EXPENSES
NEW YORK UNIVERSITY	78,000	OPERATING EXPENSES
CONSERVATION RESOURCES	15,000	OPERATING EXPENSES
ST. BARNABAS FOUNDATION	10,000	OPERATING EXPENSES
HOUSING & NEIGHBORHOOD DEVELOP	5,000	OPERATING EXPENSES
<b>GRAND TOTAL</b>	<b>692,330</b>	