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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

2012

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012, or tax year beginning Jul 1, 2012, and ending Jun 30, 2013

Name of foundation <b>Uncommon Individual Foundation</b>		A Employer identification number <b>22-2741682</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>795 E. Lancaster Avenue</b>		B Telephone number (see the instructions) <b>(610) 520-0180</b>
City or town <b>Villanova</b>	State ZIP code <b>PA 19085</b>	C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial Return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, column (c), line 16) <b>\$ 42,685,300.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (att sch)		3,970,380.			
2 Ck <input type="checkbox"/> if the foundn is not req to att Sch B					
3 Interest on savings and temporary cash investments		38.	38.		
4 Dividends and interest from securities		69,411.	69,411.		
5a Gross rents					
b Net rental income or (loss)					
6a Net gain/(loss) from sale of assets not on line 10					
b Gross sales price for all assets on line 6a					
7 Capital gain net income (from Part IV, line 2)			672,640.		
8 Net short-term capital gain				0.	
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11		4,039,829.	742,089.	0.	
13 Compensation of officers, directors, trustees, etc		190,598.			190,598.
14 Other employee salaries and wages		493,288.			493,288.
15 Pension plans, employee benefits		35,369.			35,369.
16a Legal fees (attach schedule) L-16a Stmt		43,277.			43,277.
b Accounting fees (attach sch) L-16b Stmt		6,000.			6,000.
c Other prof fees (attach sch) L-16c Stmt		400.			400.
17 Interest					
18 Taxes (attach schedule) (see instrs) See Line 18 Stmt		48,292.			48,247.
19 Depreciation (attach sch) and depletion					
20 Occupancy		23,446.			23,446.
21 Travel, conferences, and meetings		19,296.			19,296.
22 Printing and publications		1,095.			1,095.
23 Other expenses (attach schedule) See Line 23 Stmt		238,520.	13,997.		224,523.
24 Total operating and administrative expenses. Add lines 13 through 23		1,099,581.	14,042.		1,085,539.
25 Contributions, gifts, grants paid		18,000.			18,000.
26 Total expenses and disbursements. Add lines 24 and 25		1,117,581.	14,042.		1,103,539.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		2,922,248.			
b Net investment income (if negative, enter -0-)			728,047.		
c Adjusted net income (if negative, enter -0-)				0.	

SCANNED MAY 22 2014

REVENUE  
ADMINISTRATIVE  
AND  
EXPENSESRECEIVED  
MAY 19 2014  
OGDEN, UT

JHb

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
ASSETS	1	Cash – non-interest-bearing		106,641.	95,115.	95,115.
	2	Savings and temporary cash investments		348,991.	311,615.	311,615.
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments – U.S. and state government obligations (attach schedule)				
	b	Investments – corporate stock (attach schedule) L-10b Stmt		16,995,234.	19,676,037.	42,055,747.
	c	Investments – corporate bonds (attach schedule)				
	11	Investments – land, buildings, and equipment: basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments – mortgage loans					
13	Investments – other (attach schedule)					
14	Land, buildings, and equipment: basis	112,540.				
	Less: accumulated depreciation (attach schedule) L-14 Stmt	112,540.	0.	0.	0.	
15	Other assets (describe L-15 Stmt)		8,828.	222,823.	222,823.	
16	<b>Total assets</b> (to be completed by all filers – see the instructions. Also, see page 1, item i)		17,459,694.	20,305,590.	42,685,300.	
LIABILITIES	17	Accounts payable and accrued expenses		9,390.	10,398.	
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe )		750,000.	0.	
	23	<b>Total liabilities</b> (add lines 17 through 22)		759,390.	10,398.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			X		
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, building, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds		16,700,304.	20,295,192.	
	30	<b>Total net assets or fund balances</b> (see instructions)		16,700,304.	20,295,192.	
	31	<b>Total liabilities and net assets/fund balances</b> (see instructions)		17,459,694.	20,305,590.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	16,700,304.
2	Enter amount from Part I, line 27a	2	2,922,248.
3	Other increases not included in line 2 (itemize) Net Capital Gain	3	672,640.
4	Add lines 1, 2, and 3	4	20,295,192.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	20,295,192.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a	MARKETABLE SECURITIES - MORGAN STANLEY - SHORT TERM	P	Various	Various
b	MARKETABLE SECURITIES - MORGAN STANLEY - LONG TERM	P	Various	Various
c	MARKETABLE SEC - CREDIT SUISSE - SHORT TERM	D	12/12/12	01/15/13
d	MARKETABLE SEC - VANGUARD BROK SVCS - LONG TERM	D	12/21/07	01/02/13
e	MARKETABLE SEC - VANGUARD BROK SVCS - LONG TERM	D	12/28/06	Various

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 376,199.		398,646.	-22,447.
b 558,511.		488,802.	69,709.
c 23,505,871.		23,922,000.	-416,129.
d 1,549,865.		570,675.	979,190.
e 458,353.		396,036.	62,317.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			-22,447.
b			69,709.
c			-416,129.
d			979,190.
e			62,317.

2 Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px; display: inline-block;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div>		2	672,640.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).	<div style="border: 1px solid black; padding: 2px; display: inline-block;">           If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0-            in Part I, line 8         </div>		3	-438,576.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	1,400,372.	53,437,003.	0.026206
2010	735,240.	47,768,124.	0.015392
2009	798,343.	22,059,189.	0.036191
2008	721,873.	10,474,551.	0.068917
2007	580,843.	9,559,643.	0.060760

2 Total of line 1, column (d)	2	0.207466
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.041493
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	44,355,999.
5 Multiply line 4 by line 3	5	1,840,463.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	7,280.
7 Add lines 5 and 6	7	1,847,743.
8 Enter qualifying distributions from Part XII, line 4	8	5,815,201.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1			
Date of ruling or determination letter _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	7,280.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	7,280.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-		5	7,280.
6 Credits/Payments:			
a 2012 estimated tax pmts and 2011 overpayment credited to 2012	6a		
b Exempt foreign organizations – tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	7,500.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	7,500.	
8 Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	220.	
11 Enter the amount of line 10 to be Credited to 2013 estimated tax <b>219.</b> Refunded	11	1.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file <b>Form 1120-POL</b> for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions)		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>http://www.uif.org</u>		X	
14	The books are in care of <u>Gary DiLella</u> Telephone no <u>(610) 520-2010</u> Located at <u>795 E. Lancaster Ave, Suite 200 Villanova PA</u> ZIP + 4 <u>19085</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1 b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If 'Yes,' did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	3 b	X
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b	X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ Nob If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No

5b

X

Organizations relying on a current notice regarding disaster assistance check here ☐c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

7b

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Dr. Richard E. Caruso 795 E. Lancaster Ave, Ste 204 Villanova PA 19085	President 20.00	0.	0.	0.
Sally F. Caruso 795 E. Lancaster Ave, Ste 204 Villanova PA 19085	Secretary 20.00	0.	0.	0.
John C. Crosby 795 E. Lancaster Ave, Ste 204 Villanova PA 19087	Executive Director 40.00	66,350.	3,471.	0.
Dimitri Vassiliou 795 E. Lancaster Ave, Ste 204 Villanova PA 19087	COO 40.00	124,248.	4,957.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Brenda-Jayne Wiltshire 795 E. Lancaster Ave, Ste 204 Villanova PA 19085	Employee 40.00	80,000.	3,060.	0.
Carmen Dawson 795 E. Lancaster Ave, Ste 204 Villanova PA 19085	Employee 40.00	80,000.	4,682.	0.
Mark Moore 14911 Chain Lake Road Monroe WA 98272	Employee 40.00	69,962.	5,012.	0.
Sean O'Donnell 795 E. Lancaster Ave, Ste 204 Villanova PA 19085	Employee 40.00	110,000.	3,309.	0.
Cass Wright 6002 Tuscany Lane Fairview PA 16415	Employee 40.00	90,000.	3,823.	0.

Total number of other employees paid over \$50,000

None

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Bradley Tech Consulting 207 Roberts Lane Coatesville PA 19320	Technology Development	120,000.
The Provco Group, LTD 795 E Lancaster Ave, Ste 200 Villanova PA 19085	Management Services	100,000.
Total number of others receiving over \$50,000 for professional services		None

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1 Description of direct charitable activities attached	Expenses
	1,344,530.
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 Technology Development	211,662.
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	211,662.

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1 a	44,551,555.
b Average of monthly cash balances	1 b	479,916.
c Fair market value of all other assets (see instructions)	1 c	
d Total (add lines 1a, b, and c)	1 d	45,031,471.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	45,031,471.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	675,472.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	44,355,999.
6 Minimum investment return. Enter 5% of line 5	6	2,217,800.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	2,217,800.
2a Tax on investment income for 2012 from Part VI, line 5	2 a	7,280.
b Income tax for 2012 (This does not include the tax from Part VI)	2 b	
c Add lines 2a and 2b	2 c	7,280.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	2,210,520.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	2,210,520.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,210,520.

**Part XII** Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	1,103,539.
b Program-related investments — total from Part IX-B	1 b	211,662.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3 a	4,500,000.
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	5,815,201.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	7,280.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	5,807,921.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				2,210,520.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			2,751,835.	
b Total for prior years. 20__, 20__, 20__				
3 Excess distributions carryover, if any, to 2012				
a From 2007	0.			
b From 2008	0.			
c From 2009	0.			
d From 2010	0.			
e From 2011	0.			
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: \$ 5,815,201.				
a Applied to 2011, but not more than line 2a			2,751,835.	
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2012 distributable amount				2,210,520.
e Remaining amount distributed out of corpus	852,846.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	852,846.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 5c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	852,846.			
10 Analysis of line 9:				
a Excess from 2008	0.			
b Excess from 2009	0.			
c Excess from 2010	0.			
d Excess from 2011	0.			
e Excess from 2012	852,846.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon:				
a 'Assets' alternative test – enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c 'Support' alternative test – enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

The Richard E. Caruso Family and Affiliates

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed.

The Uncommon Individual Foundation

795 E. Lancaster Avenue, Suite 204

Villanova

PA

19085

(610) 520-0180

b The form in which applications should be submitted and information and materials they should include

Any form of written correspondence is acceptable

c Any submission deadlines:

None

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

None

**Part VII** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i>				
Gwynedd-Mercy College 1325 Sumneytown Pike Gwynedd Valley PA 19437		Paid	Program Support	10,000.
Cradle Of Liberty Council, BSA 1485 Valley Forge Road Wayne PA 19087		Paid	Program Support	5,000.
Boys Town Jerusalem 801 NE 167th Street, Ste 2 North Miami Beach FL 33162		Paid	Program Support	1,500.
The Center For Autism 3905 Ford Road, Suite 6 Philadelphia PA 19131		Paid	Program Support	1,500.
<b>Total</b>			<b>3 a</b>	<b>18,000.</b>
<i>b Approved for future payment</i>				
<b>Total</b>			<b>3 b</b>	



<b>Part XVII</b>	<b>Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations</b>
------------------	--

	Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a Transfers from the reporting foundation to a noncharitable exempt organization of:		
(1) Cash		X
(2) Other assets		X
b Other transactions:		
(1) Sales of assets to a noncharitable exempt organization		X
(2) Purchases of assets from a noncharitable exempt organization		X
(3) Rental of facilities, equipment, or other assets		X
(4) Reimbursement arrangements		X
(5) Loans or loan guarantees		X
(6) Performance of services or membership or fundraising solicitations		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees		X

**d** If the answer to any of the above is 'Yes,' complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

**b** If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign  
Here**

Signature of officer or trustee

Print/Type preparer's name

5/12/14  
Date

EXECUTIVE DIRECTOR  
Title

May the IRS discuss this return with the preparer shown below (see instructions)?

**Paid  
Preparer  
Use Only**

Print/Type preparer's name \_\_\_\_\_

GARY R. DILELLA

Preparer's signature \_\_\_\_\_

~~GARY R. DELELLA~~

Date

5-7-2014

Check <input type="checkbox"/> if	
self-employed	

PTIN

P01421178

Firm's name ► THE PROVCO GROUP

Firm's address ▶ 795 E LANCASTER AVE, SUITE 200

VILLANOVA

PA 19085

Firm's EIN ▶ 23-3003640

Phone no

610.520.2690

**BAA**

Form 990-PF (2012)

**Schedule B**  
**(Form 990, 990-EZ,**  
**or 990-PF)**

Department of the Treasury/  
Internal Revenue Service

**Schedule of Contributors**

► **Attach to Form 990, Form 990-EZ, or Form 990-PF**

OMB No 1545-0047

**2012**

Name of the organization

Employer identification number

Uncommon Individual Foundation

22-2741682

**Organization type** (check one):

**Filers of:**

Form 990 or 990-EZ

**Section:**

- ☐ 501(c)( \_\_\_\_ ) (enter number) organization  
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation  
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation  
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation  
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor (Complete Parts I and II)

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (i) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1 Complete Parts I and II
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc, purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc, purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc, contributions of \$5,000 or more during the year ► \$ \_\_\_\_\_

**Caution:** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

**BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.**

Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Name of organization

Employer identification number

Uncommon Individual Foundation

22-2741682

**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Trust Partnership, LP 1105 North Market Street, Suite 602 Wilmington DE 19801	\$ 3,970,380.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)



**Application for Extension of Time To File an  
Exempt Organization Return**

OMB No. 1545-1709

Department of the Treasury  
Internal Revenue Service► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

Enter filer's identifying number, see instructions

<b>Type or print</b>	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	<u>Uncommon Individual Foundation</u>	<u>22-2741682</u>
	Number, street, and room or suite number. If a P.O. box, see instructions.	Social security number (SSN)
	<u>795 E. Lancaster Avenue, #204</u>	
File by the due date for filing your return. See instructions.	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	<u>Villanova</u>	<u>PA 19085</u>

Enter the Return code for the return that this application is for (file a separate application for each return) ☐ **04**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (Individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► Gary DiIella

Telephone No. ► (610) 520-2010 FAX No. ► (610) 520-0188

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until Feb 18, 20 14, to file the exempt organization return for the organization named above.  
The extension is for the organization's return for:

- ☐ calendar year 20 \_\_\_\_ or  
► ☒ tax year beginning Jul 1, 20 12, and ending Jun 30, 20 13.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return  
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$	<u>7,500.</u>
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$	
c <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$	<u>7,500.</u>

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**
- Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see Instructions

Type or print  File by the extended due date for filing your return. See instructions.	Name of exempt organization or other filer, see Instructions.	Employer identification number (EIN) or
	Uncommon Individual Foundation	22-2741682
	Number, street, and room or suite number. If a P.O. box, see Instructions.	Social security number (SSN)
	795 E. Lancaster Avenue, #204	
	City, town or post office, state, and ZIP code. For a foreign address, see Instructions.	
	Villanova PA 19085	

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (Individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in care of ▶ Gary DiIella  
Telephone No ▶ (610) 520-2010 FAX No. ▶ (610) 520-0188
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) .... If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until May 15, 20 14.
- 5 For calendar year \_\_\_\_\_, or other tax year beginning Jul 1, 20 12, and ending Jun 30, 20 13.
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return  
☐ Change in accounting period

7 State in detail why you need the extension ... ADDITIONAL TIME IS REQUIRED TO COMPILE AND PROCESS THE INFORMATION NEEDED TO PREPARE A COMPLETE AND ACCURATE TAX RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See Instructions.	8a \$	7,281.
8b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b \$	7,500.
8c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See Instructions.	8c \$	0.

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ [Signature] Title ▶ Exec. Director Date ▶ 2/12/14

BAA FIFZ0502 01/21/13 Form 8868 (Rev 1-2013)

Form 990-PF, Page 1, Part I, Line 18

**Line 18 Stmt**

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Federal income tax	2,500.			2,500.
Payroll tax	45,737.			45,737.
State & local tax	10.			10.
Withholding tax	45.	45.		0.
<b>Total</b>	<b>48,292.</b>	<b>45.</b>		<b>48,247.</b>

Form 990-PF, Page 1, Part I, Line 23

**Line 23 Stmt**

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Supplies	426.			426.
Bank Charges	35.			35.
Computer Software & Services	11,721.			11,721.
Subscriptions	1,242.			1,242.
Insurance - WC	5,011.			5,011.
Internships	8,160.			8,160.
Investment Management Fees	13,997.	13,997.		
Office	23,319.			23,319.
Program Services and Expense	4,029.			4,029.
Telephone	2,436.			2,436.
Payroll Service Fees	605.			605.
Internet Access	1,972.			1,972.
Utilities	4,452.			4,452.
Postage	56.			56.
Meals & Entertainment	2,400.			2,400.
Marketing	56,830.			56,830.
Management Fees	100,000.			100,000.
Representation	200.			200.
Filing & License Fees	1,015.			1,015.
Content Development	289.			289.
Memberships & Affiliations	325.			325.
<b>Total</b>	<b>238,520.</b>	<b>13,997.</b>		<b>224,523.</b>

Form 990-PF, Page 1, Part I

**Line 16a - Legal Fees**

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Lamb McErlane, PC	Litigation Support	43,277.			43,277.
<b>Total</b>		<b>43,277.</b>			<b>43,277.</b>

Form 990-PF, Page 1, Part I

**Line 16b - Accounting Fees**

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Centrulo & Morgan Gr	Audit	6,000.			6,000.
Total		<u>6,000.</u>			<u>6,000.</u>

Form 990-PF, Page 1, Part I

**Line 16c - Other Professional Fees**

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Abigail Skinner	Grant Writer	400.			400.
Total		<u>400.</u>			<u>400.</u>

Form 990-PF, Page 2, Part II, Line 10b

**L-10b Stmt**

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
Morgan Stanley Account - Marketable Securities	1,287,047.	1,328,061.
The Vanguard Group Account - Marketable Securities	18,388,990.	40,727,686.
Total	<u>19,676,037.</u>	<u>42,055,747.</u>

Form 990-PF, Page 2, Part II, Line 14

**L-14 Stmt**

Line 14b - Description of Land, Buildings, and Equipment	(a) Cost/Other Basis	(b) Accumulated Depreciation	(c) Book Value
Computer and other office equipment	112,540.	112,540.	0.
Total	<u>112,540.</u>	<u>112,540.</u>	<u>0.</u>

Form 990-PF, Page 2, Part II, Line 15

**Other Assets Stmt**

Line 15 - Other Assets:	Beginning Year Book Value	End of Year	
		Book Value	Fair Market Value
Prepays and Advances	8,828.	11,161.	11,161.
Capitalized Development - Net	0.	211,662.	211,662.

Form 990-PF, Page 2, Part II, Line 15

Continued

**Other Assets Stmt**

Line 15 - Other Assets:	Beginning Year Book Value	End of Year	
		Book Value	Fair Market Value
Total	<u>8,828.</u>	<u>222,823.</u>	<u>222,823.</u>

**THE UNCOMMON INDIVIDUAL FOUNDATION**  
**E.I. Number 22-2741682**  
**FYE June 30, 2013**  
**Form 990-PF, Part IV - Capital Gains and Losses**

<u>Line 1a</u>	<u>Security</u>	<u>Shares</u>	<u>Bought</u>	<u>Sold</u>	<u>Gross Proceeds</u>	<u>Cost Basis</u>	<u>Gain / -Loss</u>
	Dow Chemical Co	1,400	5/23/2012	9/5/2012	40,112	41,776	-1,664
	USG Corporation	2,500	7/25/2012	9/6/2012	54,109	42,701	11,408
	USG Corporation	2,000	7/25/2012	9/6/2012	43,287	33,770	9,517
	Atlas Pipeline Partners, LP	149	8/31/2012	9/17/2012	5,172	5,126	45
	Potash Corp Sask	2,000	10/28/2011	9/18/2012	85,144	101,234	-16,090
	Atlas Pipeline Partners, LP	1,951	8/31/2012	9/18/2012	65,598	67,121	-1,523
	INTEL	2,500	8/21/2012	9/18/2012	57,949	65,543	-7,594
	HEWLETT PACKARD CO	1,700	3/9/2012	12/14/2012	24,829	41,377	-16,547
Totals to Form 990-PF, Part IV, Line 1a					<u>376,199</u>	<u>398,646</u>	<u>-22,447</u>

<u>Line 1b</u>							
	WALGREEN CO NEW	977	9/29/1995	7/30/2012	35,192	6,701	28,491
	WALGREEN CO NEW	123	1/9/2002	7/30/2012	4,430	4,266	166
	WALGREEN CO NEW	200	1/16/2002	7/30/2012	7,204	6,920	284
	WALGREEN CO NEW	100	3/17/2003	7/30/2012	3,602	2,792	810
	WALGREEN CO NEW	300	4/2/2003	7/30/2012	10,806	9,027	1,779
	WALGREEN CO NEW	100	4/14/2003	7/30/2012	3,602	3,142	460
	Berkshire Hathaway Inc Cl B	600	6/7/2010	8/29/2012	50,794	42,000	8,794
	Berkshire Hathaway Inc Cl B	350	4/4/2011	8/29/2012	29,630	29,050	580
	Market Vectors Gold Miners	800	3/2/2011	8/29/2012	37,087	48,640	-11,553
	Market Vectors Gold Miners	500	3/24/2011	8/29/2012	23,179	30,125	-6,946
	Market Vectors Gold Miners	100	5/6/2011	8/29/2012	4,636	5,611	-975
	3 M Company	525	8/5/2011	9/18/2012	49,284	43,171	6,113

**THE UNCOMMON INDIVIDUAL FOUNDATION**

E.I. Number 22-2741682

FYE June 30, 2013

**Form 990-PF, Part IV - Capital Gains and Losses**

<u>Security</u>	<u>Shares</u>	<u>Bought</u>	<u>Sold</u>	<u>Gross Proceeds</u>	<u>Cost Basis</u>	<u>Gain / -Loss</u>
HEWLETT PACKARD CO	1,300	5/18/2011	12/14/2012	18,987	47,580	-28,593
Transocean LTD	350	11/3/2011	1/30/2013	20,076	17,184	2,892
AUTO DATA PROCESS	900	4/16/1992	2/1/2013	54,489	9,331	45,158
Transocean LTD	150	11/3/2011	3/31/2013	7,614	7,365	249
Transocean LTD	2,000	11/8/2011	3/31/2013	101,520	102,426	-906
AT&T	2,500	1/27/2012	4/12/2013	96,378	73,473	22,905
Totals to Form 990-PF, Part IV, Line 1b				<u>558,511</u>	<u>488,802</u>	<u>69,709</u>
<u>Line 1c</u>						
Integra Lifesciences	510,854	12/12/2012	1/15/2013	19,951,620	20,367,749	-416,129
Integra Lifesciences	89,146	12/12/2012	1/16/2013	3,554,251	3,554,251	0
Totals to Form 990-PF, Part IV, Line 1c				<u>23,505,871</u>	<u>23,922,000</u>	<u>-416,129</u>
<u>Line 1d</u>						
Baidu.com. Inc.	2,500	12/21/2007	1/2/2013	257,469	95,113	162,357
Baidu.com. Inc.	2,500	12/21/2007	1/2/2013	257,469	95,113	162,357
Baidu.com. Inc.	2,500	12/21/2007	1/2/2013	257,469	95,113	162,357
Baidu.com. Inc.	2,500	12/21/2007	1/2/2013	258,094	95,113	162,982
Baidu.com. Inc.	2,500	12/21/2007	1/2/2013	259,369	95,113	164,257
Baidu.com. Inc.	2,500	12/21/2007	1/2/2013	259,994	95,113	164,882
Totals to Form 990-PF, Part IV, Line 1d				<u>1,549,865</u>	<u>570,675</u>	<u>979,190</u>

**THE UNCOMMON INDIVIDUAL FOUNDATION**  
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<u>Line 1e</u>	<u>Security</u>	<u>Shares</u>	<u>Bought</u>	<u>Sold</u>	<u>Gross Proceeds</u>	<u>Cost Basis</u>	<u>Gain / -Loss</u>
	Interdigital Communications Corp	5,000	12/28/2006	9/17/2012	174,996	171,000	3,996
	Interdigital Communications Corp	2,500	12/28/2006	11/7/2012	103,754	85,500	18,254
	Interdigital Communications Corp	580	12/28/2006	11/9/2012	24,116	19,836	4,280
	Interdigital Communications Corp	2,500	12/28/2006	12/11/2012	112,265	85,500	26,765
	Interdigital Communications Corp	1,000	12/28/2006	12/19/2012	43,221	34,200	9,021
Totals to Form 990-PF, Part IV, Line 1e					<u>458,353</u>	<u>396,036</u>	<u>62,317</u>



THE UNCOMMON INDIVIDUAL FOUNDATION

E.I.N. 22-2741682

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FYE June 30, 2013

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The mission of the Uncommon Individual Foundation ("UIF") is to research, educate, activate, and encourage the use of mentoring in its original and most natural form. It is guided by the belief that every individual is singularly unique and has an identifiable *core strength*. Our research indicates that an individual's self-defined success in life is ultimately realized by having *knowledge* of their core strength and *empowering* themselves with the Passion to use it in the development, pursuit and navigation of their self-defined *dreams*. We are also encouraging each individual to engage mentoring to "*Undertake the Enterprise of their Own Life*" to develop and pursue their *positive dreams* in order to accomplish their self-determined happiness.

For over 25 years, the UIF has educated and trained many individuals in scores of mentoring programs in throughout the country. We originally used only printed material to educate train and encourage mentors, protégés and coordinators. We produced over 50 training manuals, which have been distributed to thousands of participants who then continued to receive training from the Foundation. We continue to do this work for several organizations and many governmental agencies and non-profit groups. In the past nine years, we have also planned, developed, and implemented technology applications for our core mission. Several high schools, universities, public and private foundations are utilizing our services by educating participants in the use of our web based applications. UIF is also mentoring organizations and endowing them to utilize our internal resources.

Based on its continuing research, the UIF educates and actively stresses, in contrast to conventional mentoring practice, that real mentoring is not limited to a one-to-one relationship with an assigned mentor. Successful protégés turn everyone and everything that can inspire and assist them into a mentoring resource. For mentoring relationships to have the best opportunity to succeed, they should ultimately become protégé-driven. We encourage each individual to become the "*Entrepreneur of the Enterprise of Their Own Life*" and use it to achieve their self-defined success. To focus on such success we suggest each individual who develops their self-defined dreams, should understand Life, Liberty and the Pursuit of Happiness to achieve personal success. We believe there is a need to encourage, educate and train both mentors and protégés on how to use this powerful understanding and application of mentoring for such success.

Our mentoring applications are designed to be tailored to the needs of the individual participant. Our methods are simple, should not require extensive staff time to implement, and yet will significantly increase the effectiveness of mentor/protégé relationships. Participants who use our applications are consistently enthusiastic about the mutual benefits received by both mentors and protégés. It is, in fact, this mutuality that brings success in implementing our mission.

During the past nine years, the UIF expended considerable time and money in planning, organizing, developing and beta-testing web based applications of its core mission. This approach is designed to enable the UIF to continue to implement its mission using the Foundation's original concept of mentoring. Using all of our web-based tools (MentorSphere™, OnTrack™ to Post-Secondary Education, Discovery™, Happiness Pilot™ and Opti-Match™) each individual designs for themselves their own personal mentoring environment. Over the next several years we anticipate the need for a significant increase in our investment in this effort to meet our goals of having a fully standalone online mentoring environment.

Individual participants who desire to register and participate are endowed by the UIF to personally create their online mentoring environment and engage mentoring activity to identify and cultivate their mentoring resources. Participants are encouraged to engage these resources to form and focus their dreams and develop their passion to pursue them in their self-defined mentoring environment. Utilizing these tools, participants will have the ability to use personal inventory technology to identify their *core strength*, understand and engage their *value system*, *risk temperament*, *learning styles*, and other individual specific information to assist them in *forming, focusing and passionately pursuing their dreams in pursuit of their self defined personal success* ([www.uif.org](http://www.uif.org)).

Our web-based approach is designed for individuals who wish to organize and use their self-designed mentoring environment. We however also continue to present in-person training sessions for groups who desire it. By continuing to implement its original in person mentoring education and training sessions together with its new web-based mentoring education and training applications, the UIF is positioned to be the most effective, modern, mentoring training organization in the United States.

With a firm belief in e-learning and mentoring education the UIF has begun significant development and investment in the following areas:

- (1) In November, 2011, the UIF began investing heavily in human capital and technology to expand our programs to include entrepreneurial education in the form of open system and collaborative mentoring for aspiring entrepreneurs and future business owners.
- (2) In March of 2012, the UIF began to invest resources in the development of the <http://dev.OnTrackpse.com/> web based platform that will focus on the importance of mentoring K-12 students towards the goal of post-secondary education as well as forming a lifelong plan. The OnTrack platform acts as a virtual mentoring environment that also relies heavily upon UIF consultants out in the field and in the classroom for the education of students to understand how they can achieve the dream of post-secondary education and beyond.

- (3) UIF has purchased state of the art E-learning and rich media based content development and delivery systems. UIF to date has produced over 300 online rich media based learning modules that are available to the public through the internet for various mentoring and educational topics.
- (4) UIF has expanded its reach with regards to personal inventory technologies by investing heavily in the development and deployment of technology based personal assessments. UIF is continuing this work with the recent launch of <http://happinesspilot.com> which is a technology based platform consisting of several personal inventory technologies that further assist individuals in understanding who they are and what makes them unique as individuals.
- (5) In addition to the development and implementation of our web-based education and mentoring platforms, we have decided to invest heavily over the next several years in student based STEM education initiatives. Our first STEM initiative is based on aviation and all of the science, technology, engineering and math (STEM) that relates to aviation and the aviation industry. In 2013 students began the program by operating simulators and building a full scale aircraft kit.
- (6) UIF is also working to create an application for individuals to use Mentoring to intentionally develop their individual *brain* and its activity in a positive way, to enable individuals to positively develop their own *dreams* and to then encourage them to pursue their realistic personal success.
- (7) For the last three years, the UIF has actively been in the market to purchase a large building to accommodate in-person training and education, which includes large internship applications for interested individuals as well as many different classrooms and incubator spaces to accomplish our mission. We finally found a suitable property and in March, 2014 we closed on the purchase of the property.

We anticipate that each of these activities will require a significant investment of time and capital in the coming years. For the building purchase the UIF reserved some of the FYE June 30, 2013 UIF annual spending requirement in anticipation of the expected purchase price for a suitable building. By submission of Form 8940 on May 9, 2013 we asked the IRS to approve the setting aside of funds then to enable the purchase and renovation of a building in 2014. As indicated above a building has been purchased but we have not yet received a response to our set aside request.