



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990

Department of the Treasury  
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2012

Open to Public Inspection

A For the 2012 calendar year, or tax year beginning 07-01-2012, 2012, and ending 06-30-2013

<b>B</b> Check if applicable <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	<b>C</b> Name of organization CALDWELL COLLEGE		<b>D Employer identification number</b>  22-1500483	
	Doing Business As			
	Number and street (or P O box if mail is not delivered to street address) 120 BLOOMFIELD AVENUE		Room/suite	
	City or town, state or country, and ZIP + 4 CALDWELL, NJ 070066195			
	<b>F</b> Name and address of principal officer JACK RAINEY 9 RYERSON AVENUE CALDWELL, NJ 07006		<b>H(a)</b> Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>I</b> Tax-exempt status <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) ( ) <input type="checkbox"/> (Insert no ) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527		<b>H(b)</b> Are all affiliates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list (see instructions)		
<b>J Website:</b> HTTP //WWW.CALDWELL.EDU		<b>H(c)</b> Group exemption number		
<b>K</b> Form of organization <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other			<b>L</b> Year of formation 1939	<b>M</b> State of legal domicile NJ

Part I

Summary

Activities & Governance	<b>1</b>	Briefly describe the organization's mission or most significant activities CALDWELL COLLEGE IS A PRIVATE LIBERAL ARTS INSTITUTION AFFILIATED WITH THE SISTERS OF ST DOMINIC THE EXEMPT PURPOSE IS TO PREPARE OUR STUDENTS THROUGH THE LIBERAL ARTS AND PROFESSIONAL STUDIES TO THINK CRITICALLY, PURSUE TRUTH, AND CONTRIBUTE TO A JUST SOCIETY
	<b>2</b>	Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets
	<b>3</b>	Number of voting members of the governing body (Part VI, line 1a) . . . . . 28
	<b>4</b>	Number of independent voting members of the governing body (Part VI, line 1b) . . . . . 27
	<b>5</b>	Total number of individuals employed in calendar year 2012 (Part V, line 2a) . . . . . 985
	<b>6</b>	Total number of volunteers (estimate if necessary) . . . . . 18
	<b>7a</b>	Total unrelated business revenue from Part VIII, column (C), line 12 . . . . . 91,853
Revenue	<b>b</b>	Net unrelated business taxable income from Form 990-T, line 34 . . . . . 46,565
Expenses	<b>8</b>	Contributions and grants (Part VIII, line 1h) . . . . . 4,079,298
	<b>9</b>	Program service revenue (Part VIII, line 2g) . . . . . 41,853,858
	<b>10</b>	Investment income (Part VIII, column (A), lines 3, 4, and 7d ) . . . . . 162,410
	<b>11</b>	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) . . . . . 6,485,806
	<b>12</b>	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12) . . . . . 52,581,372
Net Assets or Fund Balances	<b>13</b>	Grants and similar amounts paid (Part IX, column (A), lines 1–3 ) . . . . . 13,868,664
	<b>14</b>	Benefits paid to or for members (Part IX, column (A), line 4) . . . . . 0
	<b>15</b>	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10) . . . . . 19,343,665
	<b>16a</b>	Professional fundraising fees (Part IX, column (A), line 11e) . . . . . 0
	<b>b</b>	Total fundraising expenses (Part IX, column (D), line 25) 1,148,702
	<b>17</b>	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e) . . . . . 16,950,575
	<b>18</b>	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25) . . . . . 50,162,904
	<b>19</b>	Revenue less expenses Subtract line 18 from line 12 . . . . . 2,418,468

Part II

Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here	*****		2014-05-07	
	Signature of officer		Date	
Paid Preparer Use Only	JACK RAINEY VP FINANCE		Type or print name and title	
	Prnt/Type preparer's name THOMAS LANNING		Preparer's signature	
	Firm's name COHNREZNICK LLP		Firm's EIN 22-1478099	
Firm's address 4 BECKER FARM ROAD		Phone no (973) 228-3500		
ROSELAND, NJ 07068				

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

### **Part III** Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III ☒

**1** Briefly describe the organization's mission

FOUNDED IN 1939 BY THE SISTERS OF SAINT DOMINIC, CALDWELL COLLEGE PROMOTES INTELLECTUAL, SPIRITUAL, AND AESTHETIC GROWTH TO A DIVERSE POPULATION AND WELCOMES ALL CULTURES AND FAITH TRADITIONS. INSPIRED BY ST DOMINIC DE GUZMAN AND OUR CATHOLIC HERITAGE, WE MAKE A DIFFERENCE IN THE LIVES OF OUR STUDENTS AND PREPARE THEM THROUGH THE LIBERAL ARTS AND PROFESSIONAL STUDIES TO THINK CRITICALLY, PURSUE TRUTH, AND CONTRIBUTE TO A JUST SOCIETY.

**2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . . ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

**4** Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a	(Code)	(\$ Expenses \$	44,650,524	including grants of \$	14,128,734 )	(\$ Revenue \$	52,069,822
		<p>CALDWELL COLLEGE SERVES A DIVERSE BODY OF 2,213 STUDENTS (1,591 UNDERGRADUATE AND 622 GRADUATE) OVER FORTY (40) PERCENT OF UNDERGRADUATES SELF-IDENTIFY AS BEING PART OF A MINORITY GROUP, WITH 19 PERCENT IDENTIFYING AS BLACK, 17 PERCENT REPORTING HISPANIC HERITAGE, AND FOUR (4) PERCENT INDICATING AN ASIAN BACKGROUND EIGHTEEN (18) PERCENT OF GRADUATE STUDENTS SELF-IDENTIFY AS BEING PART OF A MINORITY GROUP, WITH EIGHT (8) PERCENT REPORTING HISPANIC HERITAGE, SEVEN (7) PERCENT IDENTIFYING AS BLACK, AND TWO (2) PERCENT AS ASIAN WOMEN COMPRISE 67 PERCENT OF THE COLLEGE'S STUDENT POPULATION ABOUT FIVE (5) PERCENT OF CALDWELL UNDERGRADUATES ARE INTERNATIONAL STUDENTS, INCLUDING A SIZEABLE GROUP OF STUDENTS FROM NEPAL A 12 1 STUDENT-FACULTY RATIO HIGHLIGHTS THE INDIVIDUALIZED MENTORSHIP AND INSTRUCTION THAT CHARACTERIZE A CALDWELL EDUCATION TO ENABLE ACCESS TO THE COLLEGE FOR ALL DESERVING STUDENTS, CALDWELL CONTRIBUTED ALMOST \$13M (44 PERCENT) OF THE TOTAL FINANCIAL AID BUDGET FOR SCHOLARSHIPS, GRANTS AND OTHER AID THIS ASSISTANCE BENEFITS OVER 90 PERCENT OF STUDENTS - WHOSE AVERAGE DEBT UPON GRADUATION WAS 20% LESS THAN THE NATION'S AVERAGE STUDENT DEBT OF \$29,400 IN 2013 IN 2013, FIRST-YEAR RETENTION ROSE FROM A DECADE-LONG AVERAGE OF ABOUT 75 PERCENT TO A HIGH OF 82 PERCENT, BEATING THE NATIONAL AVERAGE AND AFFIRMING STUDENT SATISFACTION REASONS WHY STUDENTS CHOOSE TO ATTEND CALDWELL INCLUDE NATIONALLY RECOGNIZED ACADEMICS, SMALL CLASS SIZES, LOWER TUITION THAN OTHER NEW JERSEY PRIVATE SCHOOLS, GENEROUS FINANCIAL AID, SAFE, SECURE AND BEAUTIFUL CAMPUS, EMPHASIS ON DEVELOPING GLOBAL CITIZENS, PERSONALIZED ATTENTION AND ADVISING, INSPIRING PEOPLE, CONVENIENT AND FLEXIBLE CLASS SCHEDULES, AND LOCATION IN ONE OF NEW JERSEY'S BEST TOWNS RECENT FACILITY RENOVATIONS THAT FOSTER ATTRACTIVE LEARNING ENVIRONMENTS INCLUDE \$0 8M NURSING FACULTY/STAFF OFFICE SUITE AND ACADEMIC SUCCESS CENTER (2012), AND PLANS FOR A \$1 3M INVESTMENT IN STATE-OF-THE-ART CLASSROOMS, HEALTH ASSESSMENT AND SIMULATION LABORATORIES FOR NURSING EDUCATION IN 2013 TWENTY-FIRST-CENTURY MULTIMEDIA TECHNOLOGY, INCLUDING INTERACTIVE AQUOS BOARDS, HAS UPGRADED MANY CLASSROOMS AND LABORATORIES 'FIVE-BAR' UBQUITOUS WIRELESS SERVICE FACILITATED BY A CELL TOWER RECENTLY INSTALLED ATOP THE ADMINISTRATION BUILDING, ENABLES STUDENTS, FACULTY AND STAFF TO USE PERSONAL TECHNOLOGY THROUGHOUT CAMPUS FUTURE FACILITY IMPROVEMENTS WILL BE GUIDED BY THE COLLEGE'S INAUGURAL IT STRATEGIC PLAN 2012-2016 THAT PRIORITIZES PROJECTS FOR THE BENEFIT OF STUDENT LIVING AND LEARNING CALDWELL OFFERS 25 UNDERGRADUATE MAJORS AND ADDITIONAL CONCENTRATIONS INCLUDING ACCOUNTING, ART HISTORY, ART THERAPY, BIOLOGY, BROADCAST JOURNALISM, BUSINESS ADMINISTRATION, COMMUNICATION ARTS, COMPUTER INFORMATION SYSTEMS AND TECHNOLOGY, CRIMINAL JUSTICE, EDUCATION (EARLY CHILDHOOD, ELEMENTARY, SECONDARY AND SPECIAL), ENGLISH, FINANCIAL ECONOMICS, GLOBAL BUSINESS, GRAPHIC DESIGN, HUMAN RESOURCE MANAGEMENT, MARKETING, HEALTH SCIENCE, HISTORY, MATHEMATICS, MEDICAL TECHNOLOGY, MUSIC, NATURAL AND PHYSICAL SCIENCES, NURSING, PHILOSOPHY, POLITICAL SCIENCE, PSYCHOLOGY, SMALL BUSINESS AND ENTREPRENEURSHIP, SOCIAL STUDIES, SOCIOLOGY, SPANISH, SPORTS MANAGEMENT, STUDIO ART AND THEOLOGY THE COLLEGE ALSO OFFERS 33 GRADUATE, DOCTORAL AND CERTIFICATE PROGRAMS, INCLUDING APPLIED BEHAVIOR ANALYSIS (M A , PH D , AND COMBINED B A /M A ), BUSINESS (M B A IN ACCOUNTING, GLOBAL BUSINESS, HR, MARKETING AND NON-PROFIT MANAGEMENT, AND B A /M B A ), EDUCATION (M A IN CURRICULUM AND INSTRUCTION, AND B A /M A ), EDUCATION ADMINISTRATION (M A CAMPUS-BASED AND ONLINE), LITERACY INSTRUCTION (M A ), SPECIAL EDUCATION DISABILITIES (M A ), AND MENTAL HEALTH AND SCHOOL COUNSELING (M A AND B A /M A ) AN UNDERGRADUATE ONLINE B A IN PSYCHOLOGY AND A B S N (R N TO B S N ) IS OFFERED, IN ADDITION TO SEVERAL DUAL DEGREES THAT CAN EXPEDITE GRADUATION AND PROFESSIONAL ADVANCEMENT EXTERNAL ACCREDITATION OF THESE PROGRAMS ATTESTS TO THEIR QUALITY AND VIGOR, PROVIDING GRADUATES WITH A DIFFERENTIATED CAREER CREDENTIAL, ENABLING THEM TO STAND OUT COMPETITIVELY DURING HIRING AND ACCEPTANCE INTO ADVANCED PROGRAMS ORGANIZATIONS LIKE THE ACCREDITATION COUNCIL FOR BUSINESS SCHOOLS AND PROGRAMS (ACBSP), THE COUNCIL FOR ACCREDITATION OF COUNSELING AND RELATED EDUCATION PROGRAMS (CACREP), THE TEACHER EDUCATION ACCREDITATION COUNCIL (TEAC), AND THE COMMISSION ON COLLEGIATE NURSING EDUCATION (CCNE) ARE AMONG SEVERAL THAT HAVE AWARDED FULL ACCREDITATION TO CALDWELL'S PROGRAMS CALDWELL COLLEGE HAS RECEIVED RECOGNITION FROM PRESTIGIOUS INDEPENDENT OUTLETS, INCLUDING A TIER ONE RANKING IN THE NORTHEAST FROM U S NEWS &amp; WORLD REPORT'S BEST COLLEGES GUIDEBOOK, EXCELLENCE IN STUDENT ENGAGEMENT, TEACHING QUALITY, COMMUNITY VIBRANCY AND GRADUATE SUCCESS FROM COLLEGESOFDISTINCTION COM, BOTH A GREAT COLLEGE FOR LIBERAL ARTS AND A GREAT CATHOLIC COLLEGE FOR LIBERAL ARTS FROM WINTERGREEN ORCHARD HOUSE, THE NATION'S LARGEST COLLEGE/SCHOLARSHIP DATABASE, AND BEING AMONG NEW JERSEY SCHOOLS WITH THE BEST RETURN ON INVESTMENT FROM AFFORDABLECOLLEGESONLINE ORG THE COLLEGE HAS ALSO BEEN RECOGNIZED FOR PROVIDING ONE OF THE NATION'S BEST CAREER SERVICES BY BESTCOLLEGES COM, AND THE NUMBER-ONE SAFEST PRIVATE COLLEGE IN NEW JERSEY BY STATEUNIVERSITY COM THE COLLEGE HAS BEEN GUIDED THROUGH ITS 75-YEAR HISTORY BY THE CORE VALUES OF RESPECT, INTEGRITY, COMMUNITY AND EXCELLENCE THAT DERIVE FROM THE CATHOLIC INTELLECTUAL TRADITION, THE LIFE OF ST DOMINIC, THE CHARISM OF THE SISTERS OF ST DOMINIC OF CALDWELL, AND THE FOUR PILLARS OF DOMINICAN LIFE REFLECTION, STUDY, COMMUNITY AND MISSION RESPECTING THE SACRED DIGNITY OF ALL CREATION, WE CALL OURSELVES TO A RESPONSIBLE RELATIONSHIP WITH EACH OTHER AND WITH THE EARTH WE BELIEVE THAT A DIVERSITY OF RACES, CREEDS, CULTURES AND ABILITIES ENRICHES THE COLLEGE COMMUNITY BY ENABLING A CLIMATE OF MUTUAL RESPECT WE CHAMPION A CONCERN FOR THE GOOD OF INDIVIDUALS AND THE COMMUNITY, AND ARE COMMITTED TO HONESTY AND PROFESSIONAL EXCELLENCE IN ALL INTERACTIONS AND RELATIONSHIPS VALUING CONNECTEDNESS, COLLABORATION AND INCLUSIVITY, WE EXTEND THIS SPIRIT THROUGH INTENTIONAL OUTREACH TO THE BROADER COMMUNITY AWARE THAT EACH PERSON, INDIVIDUALLY AND TOGETHER WITH OTHERS, HAS A ROLE IN CREATING A JUST AND COMPASSIONATE GLOBAL COMMUNITY, WE PROMISE EACH OTHER A COMMITMENT TO DEVELOPING IN THE WHOLE PERSON A LOVE FOR LIFELONG LEARNING AND SKILLFUL COLLABORATION IN THE SEARCH FOR KNOWLEDGE, TRUTH, AND SOLUTIONS TO PROBLEMS ANNUAL SERVICE DAYS AND WEEK-LONG MISSION TRIPS DEMONSTRATE THIS COMMITMENT IN LOCATIONS RANGING FROM NEW JERSEY TO APPALACHIA TO BELIZE IN CENTRAL AMERICA THE COLLEGE'S FINANCIAL STABILITY CONTINUES TO INCREASE, AS DEMONSTRATED BY RECENT SURPLUSES IN 2013 (\$3 2M), 2012 (\$2 1M) AND 2011 (\$2 5M) THE U S DEPARTMENT OF EDUCATION COMPOSITE SCORE FOR 2013 WAS 2 3, COMFORTABLY ABOVE THE 1 5 MINIMUM STANDARD FOR FINANCIALLY RESPONSIBLE OPERATION REFINANCING COLLEGE DEBT LATE IN THE YEAR CONTRIBUTED SIGNIFICANTLY TO THIS INDICATOR OF FINANCIAL STABILITY AS DID A \$3 8M AWARD FROM SEVERAL NEW JERSEY EDUCATION BOND PROGRAMS, EARNED THROUGH COMPETITIVE GRANT PROPOSALS ADDITIONALLY, THE COLLEGE'S NEW NURSING DEPARTMENT HAS INCREASED TUITION REVENUE BY ATTRACTING A TOTAL OF 200 STUDENTS EXTERNAL FUNDING FROM GOVERNMENT, CORPORATE AND FOUNDATION RESOURCES CONTINUE TO GROW RECENT GRANTS INCLUDE \$101,090 FROM THE HEALTHCARE FOUNDATION OF NEW JERSEY (2011), \$150,000 FROM THE GEORGE I ALDEN TRUST (2012), \$10,000 FROM BECTON DICKINSON (2012), \$10,000 FROM THE HYDE AND WATSON FOUNDATION (2013), AND \$7,500 FROM SANTANDER SOVEREIGN BANK (2013) A \$200,000 ENDOWMENT FROM THE HEARST FOUNDATION PRODUCES ANNUAL INCOME GROWTH FOR SCHOLARSHIPS TWO CONSECUTIVE NEW JERSEY DEPARTMENT OF EDUCATION GRANTS TOTALING NEARLY \$300,000 HAVE FUNDED A HIGHLY SUCCESSFUL SUMMER BRIDGE PROGRAM FOR FIRST-GENERATION, HIGH-ACHIEVING, HIGH SCHOOL SENIORS IN 2011 AND 2012</p>					




















[illegible][illegible]

**4d** Other program services (Describe in Schedule O )  
(Expenses \$ including grants of \$ ) (Revenue \$ )

<b>4e</b>	<b>Total program service expenses ▶</b>	<b>44,650,524</b>
-----------	---	-------------------

Part IV

Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 	1 Yes	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? 	2 Yes	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3	No
4 <b>Section 501(c)(3) organizations.</b> Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	4	No
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5	No
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I 	6	No
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II 	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 	8	No
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 	9	No
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V 	10 Yes	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI 	11a Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII 	11b	No
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII 	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX 	11d Yes	
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X 	11e	No
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X 	11f Yes	
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII 	12a Yes	
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional 	12b	No
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E 	13 Yes	
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a	No
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	14b	No
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Parts II and IV	15	No
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If "Yes," complete Schedule F, Parts III and IV	16	No
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) 	17	No
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II 	18 Yes	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III 	19	No
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a	No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i> . . . . .	21		No
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i> . . . . .	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i> . . . . .	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25</i> . . . . .	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . .	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .	24c		No
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . .	24d		No
25a	<b>Section 501(c)(3) and 501(c)(4) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i> . . . . .	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i> . . . . .	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highest compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i> . . . . .	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i> . . . . .	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i> . . . .	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i> . . .	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i> . . . . .	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i> . . . . .	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i> . . . . .	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i> . . . . .	33		No
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i> . . . . .	34	Yes	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		No
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> . . . .	35b		
36	<b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i> . . . . .	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i> . . .	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O . . . . .	38	Yes	

Part V

Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response to any question in this Part V

☐

		Yes	No			
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.	1a	90			
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	1b	4			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	Yes			
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return.	2a	985			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).	2b	Yes			
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	Yes			
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O.	3b	Yes			
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a				No
b	If "Yes," enter the name of the foreign country: _____ See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a				No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b				No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	5c				
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a				No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b				
7 Organizations that may receive deductible contributions under section 170(c).						
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	Yes			
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	Yes			
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c				No
d	If "Yes," indicate the number of Forms 8282 filed during the year.	7d				
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e				No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f				No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g				
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h				
8	<b>Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.</b> Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8				
9 Sponsoring organizations maintaining donor advised funds.						
a	Did the organization make any taxable distributions under section 4966?	9a				
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b				
10 Section 501(c)(7) organizations. Enter						
a	Initiation fees and capital contributions included on Part VIII, line 12.	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.	10b				
11 Section 501(c)(12) organizations. Enter						
a	Gross income from members or shareholders.	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them).	11b				
12a	<b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?	12a				
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	12b				
13 Section 501(c)(29) qualified nonprofit health insurance issuers.						
a	Is the organization licensed to issue qualified health plans in more than one state? <b>Note.</b> See the instructions for additional information the organization must report on Schedule O.	13a				
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.	13b				
c	Enter the amount of reserves on hand.	13c				
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a				No
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.	14b				

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	28	
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O			
1b	Enter the number of voting members included in line 1a, above, who are independent	27	
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3	No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5	No
6	Did the organization have members or stockholders?	6	Yes
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	Yes
7b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	Yes
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following		
8a	The governing body?	8a	Yes
8b	Each committee with authority to act on behalf of the governing body?	8b	Yes
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a	No
10b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes
11b	Describe in Schedule O the process, if any, used by the organization to review this Form 990		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes
12b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes
12c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	Yes
13	Did the organization have a written whistleblower policy?	13	Yes
14	Did the organization have a written document retention and destruction policy?	14	Yes
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
15a	The organization's CEO, Executive Director, or top management official	15a	Yes
15b	Other officers or key employees of the organization	15b	Yes
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	No
16b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. <input type="checkbox"/> Own website <input type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request <input type="checkbox"/> Other (explain in Schedule O)
19	Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization JOHN RAINEY VP - FINANCE 120 BLOOMFIELD AVENUE CALDWELL, NJ (973) 618-3230

Check if Schedule O contains a response to any question in this Part VII . . . . . ☒

**1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

[illegible]



## Part VII

<b>1b</b>	<b>Sub-Total . . . . .</b>			
<b>c</b>	<b>Total from continuation sheets to Part VII, Section A . . . . .</b>			
<b>d</b>	<b>Total (add lines 1b and 1c) . . . . .</b>	1,822,151	0	277,012

**2** Total number of individuals (including but not limited to those listed in Item 1) who received more than \$100,000 of reportable compensation from the organization. 11

		Yes	No
<b>3</b>	Did the organization list any <b>former</b> officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	<b>3</b>	No
<b>4</b>	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	<b>4</b>	Yes
<b>5</b>	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> . . . . .	<b>5</b>	No

## Section B. Independent Contractors

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A)	(B)	(C)
Name and business address	Description of services	Compensation
GOURMET DINING SERVICES LLC 285 MADISON AVENUE MADISON NJ 07940	FOOD SERVICE	1,695,525
SJE GROUP LLC PO BOX 1573 MONTCLAIR NJ 01042	CONSTRUCTION	766,537
HUDSON CONSTRUCTION GROUP 309 BLOOMFIELD AVE CALDWELL NJ 07006	CONSTRUCTION	639,608
APG SECURITY SERVICES 116 NORTH BROADWAY SOUTH AMBOY NJ 08879	SECURITY SERVICES	371,990
WILLIAM H CONNOLLY & CO LLC 56 PARK ST MONTCLAIR NJ 07042	INSURANCE BROKER	362,359

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ►9

Part VIII

Statement of Revenue

Check if Schedule O contains a response to any question in this Part VIII

			(A)	(B)	(C)	(D)
			Total revenue	Related or exempt function revenue	Unrelated business revenue	Revenue excluded from tax under sections 512, 513, or 514
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns . . . . . 1a				
	b	Membership dues . . . . . 1b				
	c	Fundraising events . . . . . 1c	257,753			
	d	Related organizations . . . . . 1d				
	e	Government grants (contributions) 1e	422,964			
	f	All other contributions, gifts, grants, and similar amounts not included above 1f	1,020,166			
	g	Noncash contributions included in lines 1a-1f \$	40,596			
	h	Total. Add lines 1a-1f . . . . .	1,700,883			
Program Service Revenue	2a	TUITION & FEES	611710	43,168,166	43,168,166	
	b					
	c					
	d					
	e					
	f	All other program service revenue				
	g	Total. Add lines 2a-2f . . . . .	43,168,166			
		Business Code				
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts) . . . . .	208,962			208,962
	4	Income from investment of tax-exempt bond proceeds . . . . .				
	5	Royalties . . . . .				
	6a	Gross rents	(i) Real	(ii) Personal		
	b	Less rental expenses	181,947			
	c	Rental income or (loss)	0			
	d	Net rental income or (loss) . . . . .	181,947	90,094	91,853	
	7a	Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other		
	b	Less cost or other basis and sales expenses	3,170,589			
	c	Gain or (loss)	3,046,424			
	d	Net gain or (loss) . . . . .	124,165			124,165
	8a	Gross income from fundraising events (not including \$ 257,753 of contributions reported on line 1c) See Part IV, line 18 . . . . .				
	b	Less direct expenses . . . . .	a			
	c	Net income or (loss) from fundraising events . . . . .	52,629			
	9a	Gross income from gaming activities See Part IV, line 19 . . . . .	b			
	b	Less direct expenses . . . . .	83,649			
	c	Net income or (loss) from gaming activities . . . . .	-31,020			-31,020
	10a	Gross sales of inventory, less returns and allowances . . . . .				
	b	Less cost of goods sold . . . . .	a			
	c	Net income or (loss) from sales of inventory . . . . .				
		Miscellaneous Revenue	Business Code			
	11a	ROOM & BOARD	611710	5,392,086	5,392,086	
	b	ED4MIL	611710	2,360,545	2,360,545	
	c	AUTISM CENTER	611710	877,668	877,668	
	d	All other revenue . . . . .		189,313	189,313	
	e	Total. Add lines 11a-11d . . . . .		8,819,612		
	12	Total revenue. See Instructions . . . . .	54,172,715	52,077,872	91,853	302,107

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response to any question in this Part IX

☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the United States. See Part IV, line 21.				
2	Grants and other assistance to individuals in the United States. See Part IV, line 22.	14,128,734	14,128,734		
3	Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16.				
4	Benefits paid to or for members.				
5	Compensation of current officers, directors, trustees, and key employees.	1,313,474	1,042,723	220,826	49,925
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).				
7	Other salaries and wages.	15,709,214	12,471,016	2,641,098	597,100
8	Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions).	529,049	419,995	88,946	20,108
9	Other employee benefits.	2,390,231	1,897,524	401,855	90,852
10	Payroll taxes.	1,111,720	882,557	186,908	42,255
11	Fees for services (non-employees):				
a	Management.	151,367		151,367	
b	Legal.	239,337		239,337	
c	Accounting.	117,688		117,688	
d	Lobbying.				
e	Professional fundraising services. See Part IV, line 17.				
f	Investment management fees.	129,910		129,910	
g	Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O).	56,735		56,735	
12	Advertising and promotion.	1,137,550	1,127,403	10,147	
13	Office expenses.	918,890	747,098	144,484	27,308
14	Information technology.	628,280	196,758	428,337	3,185
15	Royalties.				
16	Occupancy.	4,120,668	3,818,486	240,372	61,810
17	Travel.				
18	Payments of travel or entertainment expenses for any federal, state, or local public officials.				
19	Conferences, conventions, and meetings.	535,247	407,641	95,819	31,787
20	Interest.	1,433,802	1,343,301	55,163	35,338
21	Payments to affiliates.				
22	Depreciation, depletion, and amortization.	1,839,287	1,704,807	90,746	43,734
23	Insurance.	329,660		329,660	
24	Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O).				
a	FOOD	1,440,689	1,440,689		
b	UTILITIES	1,164,221	1,102,753	48,895	12,573
c	SPECIAL PROJECTS	660,237	361,817	231,135	67,285
d	BAD DEBT	582,658	582,658		
e	All other expenses	1,108,756	974,564	68,750	65,442
25	<b>Total functional expenses.</b> Add lines 1 through 24e.	51,777,404	44,650,524	5,978,178	1,148,702
26	<b>Joint costs.</b> Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).				

Part X

Balance Sheet

Check if Schedule O contains a response to any question in this Part X

☐

				(A)		(B)
				Beginning of year		End of year
Assets	1	Cash—non-interest-bearing		573,510	1	2,948,262
	2	Savings and temporary cash investments		973,016	2	2,288,090
	3	Pledges and grants receivable, net		142,803	3	231,260
	4	Accounts receivable, net		1,062,481	4	1,661,963
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L			5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L			6	
	7	Notes and loans receivable, net			7	
	8	Inventories for sale or use			8	
	9	Prepaid expenses and deferred charges		535,423	9	605,486
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a63,229,988			
	b	Less accumulated depreciation	10b27,703,015	35,084,883	10c	35,526,973
	11	Investments—publicly traded securities		4,192,963	11	4,629,577
	12	Investments—other securities See Part IV, line 11			12	
	13	Investments—program-related See Part IV, line 11			13	
	14	Intangible assets			14	
	15	Other assets See Part IV, line 11		2,724,356	15	1,912,194
	16	<b>Total assets.</b> Add lines 1 through 15 (must equal line 34)		45,289,435	16	49,803,805
Liabilities	17	Accounts payable and accrued expenses		2,422,423	17	4,233,354
	18	Grants payable			18	
	19	Deferred revenue		810,935	19	960,267
	20	Tax-exempt bond liabilities		19,194,739	20	20,759,872
	21	Escrow or custodial account liability Complete Part IV of Schedule D			21	
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L			22	
	23	Secured mortgages and notes payable to unrelated third parties		653,546	23	580,000
	24	Unsecured notes and loans payable to unrelated third parties			24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D		2,139,135	25	0
	26	<b>Total liabilities.</b> Add lines 17 through 25		25,220,778	26	26,533,493
Net Assets or Fund Balances	<b>Organizations that follow SFAS 117 (ASC 958), check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 29, and lines 33 and 34.</b>					
	27	Unrestricted net assets		15,510,815	27	18,423,118
	28	Temporarily restricted net assets		834,403	28	917,401
	29	Permanently restricted net assets		3,723,439	29	3,929,793
	<b>Organizations that do not follow SFAS 117 (ASC 958), check here</b> <input type="checkbox"/> <b>and complete lines 30 through 34.</b>					
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	<b>Total net assets or fund balances</b>		20,068,657	33	23,270,312
	34	<b>Total liabilities and net assets/fund balances</b>		45,289,435	34	49,803,805

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI . . . . .

1	Total revenue (must equal Part VIII, column (A), line 12) . . . . .	1	54,172,715
2	Total expenses (must equal Part IX, column (A), line 25) . . . . .	2	51,777,404
3	Revenue less expenses Subtract line 2 from line 1 . . . . .	3	2,395,311
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) . . . . .	4	20,068,657
5	Net unrealized gains (losses) on investments . . . . .	5	250,209
6	Donated services and use of facilities . . . . .	6	
7	Investment expenses . . . . .	7	
8	Prior period adjustments . . . . .	8	
9	Other changes in net assets or fund balances (explain in Schedule O) . . . . .	9	556,135
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	23,270,312

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII . . . . .

		Yes	No
1	Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		No
2b	Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	Yes	
2c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	Yes	
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	Yes	

Additional Data

Software ID:

Software Version:

EIN: 22-1500483

Name: CALDWELL COLLEGE

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
BARRY E VANKAT TRUSTEE	1 00	X						0	0	0
DANIEL A GERARDI PHD TRUSTEE	1 00	X						0	0	0
EDWARD R COLLINS TRUSTEE	1 00	X						0	0	0
ELIZABETH CHALAS BERMAN TRUSTEE	1 00	X						0	0	0
GERALD J SMITH JR TRUSTEE	1 00	X						0	0	0
GREGORY J CARAFELLO TRUSTEE	1 00	X						0	0	0
JAMES R GONZALEZ VICE-CHAIR	1 00	X						0	0	0
JOHN C PETERSON SECRETARY	1 00	X		X				0	0	0
JOSEPH BECKER CPA TRUSTEE	1 00	X						0	0	0
KATHLEEN BARABAS TRUSTEE	1 00	X						0	0	0
KENNETH J GILMORE TRUSTEE	1 00	X						0	0	0
LAURITA WARNER TRUSTEE	1 00	X						0	0	0
LESLIE ANDERSON TRUSTEE	1 00	X						0	0	0
LINDA LUCIANO TRUSTEE	1 00	X						0	0	0
LISA M BROMBERG ESQ TRUSTEE	1 00	X						0	0	0
MARILYN BASTARDI CHAIR	1 00	X		X				0	0	0
MARK A REDA TRUSTEE	1 00	X						0	0	0
MAUREEN T BEZER TRUSTEE	1 00	X						0	0	0
NANCY COSTELLO MILLER ESQ VICE-CHAIR	1 00	X		X				0	0	0
NANCY H BLATTNER PHD PRESIDENT	35 00	X		X				235,565	0	73,138
PATRICK OSINSKI ESQ TRUSTEE	1 00	X						0	0	0
ROBERT G PURDY TRUSTEE	1 00	X						0	0	0
ROBERT IACULLO TRUSTEE	1 00	X						0	0	0
SR ARLENE ANTCHAK TRUSTEE	1 00	X						0	0	0
SR DONNA CIANGIO TRUSTEE	1 00	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
SR LUELLA RAMM TRUSTEE	1 00	X						0	0	0
SR MARY EILEEN O'BRIEN OP PHD TRUSTEE	1 00	X						0	0	0
SR MARY LOU BAUMAN TRUSTEE	1 00	X						0	0	0
SR PATRICIA COSTELLO TRUSTEE	1 00	X						0	0	0
JOHN T RAINEY VP FINANCE AND ADMIN	35 00			X				168,518	0	27,463
JOSEPH POSILICO VP/ENROLLMENT MANAGEMENT	35 00			X				143,607	0	34,553
KEVIN P BOYLE VP FOR DEVELOPMENT	35 00			X				157,106	0	10,475
LAURA A BAYLESS INTERIM VP FOR SL	35 00			X				11,428	0	441
PATRICK PROGAR VP ACADEMIC AFFAIRS	35 00			X				137,843	0	29,057
SHEILA O'ROURKE VP FOR INST EFFECTIVENESS	35 00			X				123,997	0	26,668
SISTER KATHLEEN A TUIITE VP FOR STUDENT LIFE	35 00			X				0	0	0
JASON C VLADESCO ASST PROFESSOR OF ABA	35 00					X		154,165	0	8,512
RUTH M DEBAR ASSISTANT PROFESSOR OF PSY	35 00					X		175,548	0	11,131
SHARON REEVE PROFESSOR OF ABA	35 00					X		212,307	0	25,466
STEPHEN MARET PROFESSOR OF PSYCHOLOGY	35 00					X		158,801	0	16,068
TINA M SIDENER ASSOC PROFESSOR OF PSYCHOLOGY	35 00					X		143,266	0	14,040

SCHEDULE A  
(Form 990 or 990EZ)

Department of the Treasury  
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

► Attach to Form 990 or Form 990-EZ. ► See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization  
CALDWELL COLLEGE

Employer identification number  
22-1500483

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box )

1

☐

A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2

☒

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E )

3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state \_\_\_\_\_

5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II )

6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7

☐

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II )

8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II )

9

☐

An organization that normally receives (1) more than 33<sup>1</sup>/<sub>3</sub>% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33<sup>1</sup>/<sub>3</sub>% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III )

10

☐

An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h  

a

☐

Type I 

b

☐

Type II 

c

☐

Type III - Functionally integrated 

d

☐

Type III - Non-functionally integrated

e

☐

By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f

☐

If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box

g

☐

Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?  

(i)

A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?

(ii)

A family member of a person described in (i) above?

(iii)

A 35% controlled entity of a person described in (i) or (ii) above?

h

☐

Provide the following information about the supported organization(s)

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
Total									



Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)  
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV )						
11 Total support (Add lines 7 through 10)						
12 Gross receipts from related activities, etc (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here . . . . . ▶						

Section C. Computation of Public Support Percentage						
14 Public support percentage for 2012 (line 6, column (f) divided by line 11, column (f))		14				
15 Public support percentage for 2011 Schedule A, Part II, line 14		15				
16a 33 1/3% support test—2012. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization						▶
b 33 1/3% support test—2011. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization						▶
17a 10%-facts-and-circumstances test—2012. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization						▶
b 10%-facts-and-circumstances test—2011. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization						▶
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions						▶

Part IIISupport Schedule for Organizations Described in Section 509(a)(2)  
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6.)						

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage			
15 Public support percentage for 2012 (line 8, column (f) divided by line 13, column (f))	15		
16 Public support percentage from 2011 Schedule A, Part III, line 15	16		

Section D. Computation of Investment Income Percentage			
17 Investment income percentage for 2012 (line 10c, column (f) divided by line 13, column (f))	17		
18 Investment income percentage from 2011 Schedule A, Part III, line 17	18		
19a 33 1/3% support tests—2012. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ▶			
b 33 1/3% support tests—2011. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ▶			
20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶			

**Part IV** **Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Explanation

SCHEDULE D  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Supplemental Financial Statements

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization  
CALDWELL COLLEGE

Employer identification number  
22-1500483

Part I

Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	
2	Aggregate contributions to (during year)	
3	Aggregate grants from (during year)	
4	Aggregate value at end of year	
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? <div><input type="checkbox"/> Yes<input type="checkbox"/> No</div>	
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? <div><input type="checkbox"/> Yes<input type="checkbox"/> No</div>	

Part II

Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or education)☐ Preservation of an historically important land area☐ Protection of natural habitat☐ Preservation of a certified historic structure☐ Preservation of open space

2

Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
a	Total number of conservation easements
b	Total acreage restricted by conservation easements
c	Number of conservation easements on a certified historic structure included in (a)
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶\_\_\_\_\_

4

Number of states where property subject to conservation easement is located ▶\_\_\_\_\_

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes☐ No

6

Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶\_\_\_\_\_

7

Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶\$ \_\_\_\_\_

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

☐ Yes☐ No

9

In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b

If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1

▶\$ \_\_\_\_\_

(ii) Assets included in Form 990, Part X

▶\$ \_\_\_\_\_

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a

Revenues included in Form 990, Part VIII, line 1

▶\$ \_\_\_\_\_

b

Assets included in Form 990, Part X

▶\$ \_\_\_\_\_

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Cat No 52283D

Schedule D (Form 990) 2012

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** *(continued)*

- 3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)
- a

☐ Public exhibition

b

☐ Scholarly research

c

☐ Preservation for future generations

d

☐ Loan or exchange programs

e

☐ Other
- 4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII
- 5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☐ No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No
- b

If "Yes," explain the arrangement in Part XIII and complete the following table
- |    |        |
|----|--------|
|    | Amount |
| 1c |        |
| 1d |        |
| 1e |        |
| 1f |        |

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance
- 2a

Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes

☐ No
- b

If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

☐

**Part V Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current year	(b)Prior year	b (c)Two years back	(d)Three years back	(e)Four years back
1a Beginning of year balance . . . . .	2,138,323	2,248,655	1,964,026	1,724,910	1,984,918
b Contributions . . . . .	183,541	140,101	49,000	3,250	29,264
c Net investment earnings, gains, and losses	183,036	-129,186	267,267	308,884	-222,977
d Grants or scholarships . . . . .					
e Other expenditures for facilities and programs . . . . .	216,371	121,247	31,638	73,018	66,295
f Administrative expenses . . . . .					
g End of year balance . . . . .	2,288,529	2,138,323	2,248,655	1,964,026	1,724,910

- 2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as
- a

Board designated or quasi-endowment

☐
- b

Permanent endowment

☐ 98 910 %
- c

Temporarily restricted endowment

☐ 1 090 %

The percentages in lines 2a, 2b, and 2c should equal 100%
- 3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by
- (i) unrelated organizations . . . . .

3a(i)

☐ Yes

☐ No

(ii) related organizations . . . . .

3a(ii)

☐ Yes

☐ No
- b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

☐ 3b

☐
- 4

Describe in Part XIII the intended uses of the organization's endowment funds

**Part VI Land, Buildings, and Equipment.** See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b)Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land . . . . .		1,151,371		1,151,371
b Buildings . . . . .		46,172,955	19,277,787	26,895,168
c Leasehold improvements . . . . .				
d Equipment . . . . .		9,764,276	5,988,338	3,775,938
e Other . . . . .		6,141,386	2,436,890	3,704,496
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				35,526,973



Part XI

Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements . . . . .	1	40,831,368
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12	2e	
a	Net unrealized gains on investments . . . . .	2a	250,209
b	Donated services and use of facilities . . . . .	2b	
c	Recoveries of prior year grants . . . . .	2c	
d	Other (Describe in Part XIII ) . . . . .	2d	-13,675,205
e	Add lines 2a through 2d . . . . .	2e	-13,424,996
3	Subtract line 2e from line 1 . . . . .	3	54,256,364
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1	4c	
a	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	4a	
b	Other (Describe in Part XIII ) . . . . .	4b	-83,649
c	Add lines 4a and 4b . . . . .	4c	-83,649
5	Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12 ) . . . . .	5	54,172,715

Part XII

Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements . . . . .	1	37,629,713
2	Amounts included on line 1 but not on Form 990, Part IX, line 25	2e	
a	Donated services and use of facilities . . . . .	2a	
b	Prior year adjustments . . . . .	2b	
c	Other losses . . . . .	2c	
d	Other (Describe in Part XIII ) . . . . .	2d	83,649
e	Add lines 2a through 2d . . . . .	2e	83,649
3	Subtract line 2e from line 1 . . . . .	3	37,546,064
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:	4c	
a	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	4a	
b	Other (Describe in Part XIII ) . . . . .	4b	14,231,340
c	Add lines 4a and 4b . . . . .	4c	14,231,340
5	Total expenses Add lines 3 and 4c. (This must equal Form 990, Part I, line 18 ) . . . . .	5	51,777,404

Part XIII

Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Identifier	Return Reference	Explanation
DESCRIPTION OF UNCERTAIN TAX POSITIONS UNDER FIN 48	PART X, LINE 2	THE COLLEGE IS EXEMPT FROM FEDERAL INCOME TAXES UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE AND A SIMILAR PROVISION OF THE NEW JERSEY STATE INCOME TAX LAWS. ACCORDINGLY, NO PROVISION FOR INCOME TAXES HAS BEEN REFLECTED IN THE ACCOMPANYING FINANCIAL STATEMENTS. THE COLLEGE HAS NO UNRECOGNIZED TAX BENEFITS AT JUNE 30, 2013 AND 2012. THE COLLEGE'S U.S. FEDERAL AND STATE TAX FILINGS PRIOR TO FISCAL 2010 ARE CLOSED AND MANAGEMENT CONTINUALLY EVALUATES EXPIRING STATUTES OF LIMITATIONS, AUDITS, PROPOSED SETTLEMENTS, CHANGES IN TAX LAW AND NEW AUTHORITATIVE RULINGS. THE COLLEGE RECOGNIZES INTEREST AND PENALTIES ASSOCIATED WITH TAX MATTERS AS OPERATING EXPENSES AND INCLUDES ACCRUED INTEREST AND PENALTIES WITH ACCRUED EXPENSES IN THE STATEMENTS OF FINANCIAL POSITION. THERE WERE NO INTEREST OR PENALTIES PAID FOR THE YEARS ENDED JUNE 30, 2013 AND 2012.
PART XI, LINE 2D - OTHER ADJUSTMENTS		SCHOLARSHIP ALLOWANCE -14,128,734 INTEREST RATE SWAP 556,135 INVESTMENT EXPENSE -100,899 RECLASS OF 990-T PAYMENTS -1,707
PART XI, LINE 4B - OTHER ADJUSTMENTS		EVENT EXPENSE -83,649
PART XII, LINE 2D - OTHER ADJUSTMENTS		EVENT EXPENSES 83,649
PART XII, LINE 4B - OTHER ADJUSTMENTS		SCHOLARSHIP ALLOWANCES 14,128,734 INVESTMENT EXPENSE 100,899 RECLASS OF 990-T PAYMENTS 1,707

SCHEDULE E  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Schools

►Complete if the organization answered "Yes" to Form 990, Part IV, line 13,  
or Form 990-EZ, Part VI, line 48.  
► Attach to Form 990 or Form 990-EZ.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization  
CALDWELL COLLEGE

Employer identification number  
22-1500483

Part I

1

Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?

1

Yes

2

Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?

2

Yes

3

Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe If "No," please explain If you need more space use Part II

3

Yes

4

Does the organization maintain the following?  
a Records indicating the racial composition of the student body, faculty, and administrative staff?  
b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?  
c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?  
d Copies of all material used by the organization or on its behalf to solicit contributions?  
If you answered "No" to any of the above, please explain If you need more space, use Part II

4a

Yes

4b

Yes

4c

Yes

4d

Yes

5

Does the organization discriminate by race in any way with respect to  
a Students' rights or privileges?  
b Admissions policies?  
c Employment of faculty or administrative staff?  
d Scholarships or other financial assistance?  
e Educational policies?  
f Use of facilities?  
g Athletic programs?  
h Other extracurricular activities?  
If you answered "Yes" to any of the above, please explain If you need more space, use Part II

5a

No

5b

No

5c

No

5d

No

5e

No

5f

No

5g

No

5h

No

6a

Does the organization receive any financial aid or assistance from a governmental agency?

6a

Yes

6b

Has the organization's right to such aid ever been revoked or suspended?

6b

No

7

Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," explain on Part II

7

Yes

Paperwork Reduction Act Notice, see the Instructions for Form 990.

Cat No 50085D

Schedule E (Form 990 or 990-EZ) 2012



**Part III Supplemental Information.** Complete this part to provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also complete this part to provide any other additional information (see instructions)

Identifier	Return Reference	Explanation
EXPLANATION OF NONDISCRIMINATORY POLICY PUBLICATION	SCHEDULE E, PART I, LINE 3	CALDWELL COLLEGE USES THE FOLLOWING NOTICE IN NEWSPAPER, BROADCAST MEDIA ADMISSIONS AND CATALOGUES CALDWELL COLLEGE DOES NOT DISCRIMINATE IN APPLICATIONS FOR STUDENTS IN PROGRAMS, FACILITIES OR SCHOLARSHIPS ON THE BASIS OF RACE, COLOR, CREED, AGE, NATIONAL OR ETHNIC ORIGIN OR DISABILITY
EXPLANATION OF GOVERNMENT FINANCIAL ASSISTANCE	SCHEDULE E, PART I, LINE 6	THE ORGANIZATION RECEIVES FUNDS FROM STATE OF NEW JERSEY UNDER THE EDUCATIONAL OPPORTUNITY FUND (EOF) OF NEW JERSEY



Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1 PRESIDENTIAL GALA (event type)	(b) Event #2 GOLF OUTING (event type)	(c) Other events (total number)	(d) Total events (add col (a) through col (c))
Revenue	1	Gross receipts . . . .	249,607	60,775	310,382
	2	Less Contributions . .	216,052	41,701	257,753
	3	Gross income (line 1 minus line 2) . . . .	33,555	19,074	52,629
Direct Expenses	4	Cash prizes . . . .			
	5	Noncash prizes . .			
	6	Rent/facility costs . .	30,500	28,322	58,822
	7	Food and beverages .			
	8	Entertainment . . . .	2,000		2,000
	9	Other direct expenses .	16,254	6,573	22,827
	10	Direct expense summary Add lines 4 through 9 in column (d) . . . . . ▶			
	11	Net income summary Combine line 3, column (d), and line 10 . . . . . ▶			
					(83,649)
					-31,020

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
Revenue	1	Gross revenue . . . . .			
	2	Cash prizes . . . . .			
Direct Expenses	3	Non-cash prizes . . . .			
	4	Rent/facility costs . . . .			
	5	Other direct expenses . .			
	6	Volunteer labor . . . .	<div><input type="checkbox"/> Yes</div> <div><input type="checkbox"/> No</div>	<div><input type="checkbox"/> Yes</div> <div><input type="checkbox"/> No</div>	<div><input type="checkbox"/> Yes</div> <div><input type="checkbox"/> No</div>
	7	Direct expense summary Add lines 2 through 5 in column (d) . . . . . ▶			
	8	Net gaming income summary Combine lines 1 and 7 in column (d) . . . . . ▶			

9 Enter the state(s) in which the organization operates gaming activities \_\_\_\_\_

a Is the organization licensed to operate gaming activities in each of these states? . . . . . ☐ Yes ☐ No

b If "No," explain \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? . . . . . ☐ Yes ☐ No

b If "Yes," explain \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Does the organization operate gaming activities with nonmembers? . . . . . ☐ Yes ☐ No

**12** Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? . . . . . ☐ Yes ☐ No

**13** Indicate the percentage of gaming activity operated in

<b>a</b> The organization's facility . . . . .	<b>13a</b>	
<b>b</b> An outside facility . . . . .	<b>13b</b>	

**14** Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name ▶ .....

Address ▶ .....

**15a** Does the organization have a contract with a third party from whom the organization receives gaming revenue? . . . . . ☐ Yes ☐ No

**b** If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ▶ \$ \_\_\_\_\_

**c** If "Yes," enter name and address of the third party

Name ▶ .....

Address ▶ .....

**16** Gaming manager information

Name ▶ .....

Gaming manager compensation ▶ \$ .....

Description of services provided ▶ .....

☐ Director/officer                      ☐ Employee                      ☐ Independent contractor

**17** Mandatory distributions

**a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? . . . . . ☐ Yes ☐ No

**b** Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ \_\_\_\_\_

**Part IV** **Supplemental Information.** Complete this part to provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

Identifier	Return Reference	Explanation
------------	------------------	-------------

Schedule I  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Name of the organization  
CALDWELL COLLEGE

Grants and Other Assistance to Organizations,  
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990

OMB No 1545-0047

2012

Open to Public  
Inspection

Employer identification number  
22-1500483

Part I General Information on Grants and Assistance

1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . .

☒ Yes ☐ No

2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance

2

Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . .

▶

3

Enter total number of other organizations listed in the line 1 table . . . . .

▶

Part III

Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.  
Part III can be duplicated if additional space is needed.

(a)Type of grant or assistance	(b)Number of recipients	(c)Amount of cash grant	(d)Amount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
(1) TUITION ASSISTANCE-SEE SUPPLEMENTAL INFORMATION PART V	1535	14,128,734			

Part IV

Supplemental Information.

Complete this part to provide the information required in Part I, line 2, Part III, column (b), and any other additional information

Identifier	Return Reference	Explanation
		SUPPLEMENTAL TO SCHEDULE I PART I SPECIAL DISCOUNTS - 736,862 COLLEGE CONTRIBUTION - WORK STUDY 32,227 COLLEGE GRANTS 4,706,708 COLLEGE SCHOLARSHIPS 6,308,245 PRESIDENTIAL AWARDS 1,897,538 RESTRICTED SCHOLARSHIPS 164,539 CAMPUS EMPLOYMENT 199,382 GRADUATE ASSISTANTS 87,233 TOTAL TUITION ASSISTANCE 14,128,734

Schedule J  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization  
CALDWELL COLLEGE

Employer identification number  
22-1500483

Part I

Questions Regarding Compensation

	Yes	No
<div>1a</div> <div>Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items</div> <div><div><input type="checkbox"/> First-class or charter travel</div><div><input checked="" type="checkbox"/> Housing allowance or residence for personal use</div><div><input type="checkbox"/> Travel for companions</div><div><input type="checkbox"/> Payments for business use of personal residence</div><div><input type="checkbox"/> Tax idemnification and gross-up payments</div><div><input checked="" type="checkbox"/> Health or social club dues or initiation fees</div><div><input type="checkbox"/> Discretionary spending account</div><div><input type="checkbox"/> Personal services (e g , maid, chauffeur, chef)</div></div>		
<div>b</div> <div>If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain</div>	1bYes	
<div>2</div> <div>Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?</div>	2Yes	
<div>3</div> <div>Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III</div> <div><div><input checked="" type="checkbox"/> Compensation committee</div><div><input checked="" type="checkbox"/> Written employment contract</div><div><input type="checkbox"/> Independent compensation consultant</div><div><input type="checkbox"/> Compensation survey or study</div><div><input type="checkbox"/> Form 990 of other organizations</div><div><input checked="" type="checkbox"/> Approval by the board or compensation committee</div></div>		
<div>4</div> <div>During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization</div>		
<div>a</div> <div>Receive a severance payment or change-of-control payment?</div>	4a	No
<div>b</div> <div>Participate in, or receive payment from, a supplemental nonqualified retirement plan?</div>	4b	No
<div>c</div> <div>Participate in, or receive payment from, an equity-based compensation arrangement?</div> <div>If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III</div>	4c	No
<div></div> <div>Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.</div>		
<div>5</div> <div>For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of</div>		
<div>a</div> <div>The organization?</div>	5a	No
<div>b</div> <div>Any related organization?</div> <div>If "Yes," to line 5a or 5b, describe in Part III</div>	5b	No
<div>6</div> <div>For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of</div>		
<div>a</div> <div>The organization?</div>	6a	No
<div>b</div> <div>Any related organization?</div> <div>If "Yes," to line 6a or 6b, describe in Part III</div>	6b	No
<div>7</div> <div>For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III</div>	7	No
<div>8</div> <div>Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53 4958-4(a)(3)? If "Yes," describe in Part III</div>	8	No
<div>9</div> <div>If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?</div>	9	

**Part II** **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

**Note.** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
See Additional Data Table								



**Part III**   **Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
------------	------------------	-------------

Software ID:  
Software Version:  
EIN: 22-1500483  
Name: CALDWELL COLLEGE

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
NANCY H BLATTNER PHD	(i)	235,289	0	276	14,688	59,197	309,450	0
	(ii)	0	0	0	0	0	0	0
JOHN T RAINEY	(i)	167,726	0	792	10,321	18,459	197,298	0
	(ii)	0	0	0	0	0	0	0
JOSEPH POSILICO	(i)	143,427	0	180	9,211	26,347	179,165	0
	(ii)	0	0	0	0	0	0	0
KEVIN P BOYLE	(i)	156,923	0	183	9,475	10,475	177,056	0
	(ii)	0	0	0	0	0	0	0
PATRICK PROGAR	(i)	137,647	0	196	8,523	21,203	167,569	0
	(ii)	0	0	0	0	0	0	0
SHEILA O'ROURKE	(i)	123,665	0	332	7,477	20,081	151,555	0
	(ii)	0	0	0	0	0	0	0
JASON C VLADESCO	(i)	154,157	0	8	1,119	7,907	163,191	0
	(ii)	0	0	0	0	0	0	0
RUTH M DEBAR	(i)	175,535	0	13	3,738	7,922	187,208	0
	(ii)	0	0	0	0	0	0	0
SHARON REEVE	(i)	212,264	0	43	5,134	21,051	238,492	0
	(ii)	0	0	0	0	0	0	0
STEPHEN MARET	(i)	158,724	0	77	4,687	12,023	175,511	0
	(ii)	0	0	0	0	0	0	0
TINA M SIDENER	(i)	143,247	0	19	0	14,568	157,834	0
	(ii)	0	0	0	0	0	0	0

Schedule K (Form 990)	<div>Supplemental Information on Tax Exempt Bonds</div> <div>▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.</div> <div>▶ Attach to Form 990. ▶ See separate instructions.</div>	OMB No 1545-0047
		2012
		Open to Public Inspection

Department of the Treasury Internal Revenue Service	
Name of the organization CALDWELL COLLEGE	Employer identification number 22-1500483

Part I Bond Issues											
(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
						Yes	No	Yes	No	Yes	No
A NJEFA 2013 SERIES E			06-18-2013	20,000,000	REFUNDING & FINANCING		X		X		X

Part II    Proceeds											
				A		B		C		D	
1	Amount of bonds retired										
2	Amount of bonds legally defeased										
3	Total proceeds of issue			20,000,000							
4	Gross proceeds in reserve funds										
5	Capitalized interest from proceeds										
6	Proceeds in refunding escrows			18,514,618							
7	Issuance costs from proceeds			231,457							
8	Credit enhancement from proceeds										
9	Working capital expenditures from proceeds										
10	Capital expenditures from proceeds										
11	Other spent proceeds										
12	Other unspent proceeds			1,253,925							
13	Year of substantial completion			2007							
				Yes	No						
14	Were the bonds issued as part of a current refunding issue?			X							
15	Were the bonds issued as part of an advance refunding issue?				X						
16	Has the final allocation of proceeds been made?				X						
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?			X							

Part III Private Business Use									
		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X						
2	Are there any lease arrangements that may result in private business use of bond-financed property?		X						

Part III

Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?		X						
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?								
c	Are there any research agreements that may result in private business use of bond-financed property?		X						
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?								
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government								
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government								
6	Total of lines 4 and 5								
7	Does the bond issue meet the private security or payment test?		X						
8a	Has there been a sale or disposition of any of the bond financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X						
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of								
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?								
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X							

Part IV

Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T?	X							
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?								
b	Exception to rebate?								
c	No rebate due?								
	If you checked "No rebate due" in line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?		X						
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X						
b	Name of provider								
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was a hedge terminated?								

Part IV

Arbitrage (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X						
b	Name of provider								
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6	Were any gross proceeds invested beyond an available temporary period?		X						
7	Has the organization established written procedures to monitor the requirements of section 148?		X						

Part V

Procedures To Undertake Corrective Action

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?								

Part VI

Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions).

Identifier	Return Reference	Explanation
		THIS BOND IS PARTIALLY THE RESULT OF A REFUNDING OF AN OUTSTANDING BOND FROM 2006

SCHEDULE M  
(Form 990)

Noncash Contributions

OMB No 1545-0047

2012

Open to Public Inspection

►Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.  
► Attach to Form 990.

Name of the organization  
CALDWELL COLLEGE

Employer identification number  
22-1500483

Part I

Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art . . . . .				
2 Art—Historical treasures . . . . .				
3 Art—Fractional interests . . . . .				
4 Books and publications . . . . .				
5 Clothing and household goods . . . . .				
6 Cars and other vehicles . . . . .				
7 Boats and planes . . . . .				
8 Intellectual property . . . . .				
9 Securities—Publicly traded . . . . .	X	9	40,596	FMV
10 Securities—Closely held stock . . . . .				
11 Securities—Partnership, LLC, or trust interests . . . . .				
12 Securities—Miscellaneous . . . . .				
13 Qualified conservation contribution—Historic structures . . . . .				
14 Qualified conservation contribution—Other . . . . .				
15 Real estate—Residential . . . . .				
16 Real estate—Commercial . . . . .				
17 Real estate—Other . . . . .				
18 Collectibles . . . . .				
19 Food inventory . . . . .				
20 Drugs and medical supplies . . . . .				
21 Taxidermy . . . . .				
22 Historical artifacts . . . . .				
23 Scientific specimens . . . . .				
24 Archeological artifacts . . . . .				
25 Other ► ( _____ )				
26 Other ► ( _____ )				
27 Other ► ( _____ )				
28 Other ► ( _____ )				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . . . .29

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period? . . . . .

b If "Yes," describe the arrangement in Part II

31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? . . . . .

b If "Yes," describe in Part II

33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II

Yes

No

No

No

No

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Cat No 51227J

Schedule M (Form 990) (2012)

Part II

Supplemental Information. Complete this part to provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
------------	------------------	-------------

SCHEDULE O  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on  
Form 990 or to provide any additional information.  
▶ Attach to Form 990 or 990-EZ.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization  
CALDWELL COLLEGE

Employer identification number  
22-1500483

Identifier	Return Reference	Explanation
	FORM 990, PART VI, SECTION A, LINE 6	THE MEMBER IS THE CONGREGATION OF THE SACRED HEART OF JESUS OF THE THIRD ORDER OF SAINT DOMINIC
	FORM 990, PART VI, SECTION A, LINE 7A	THE MEMBER HAS THE POWER TO APPOINT OR REMOVE TRUSTEES
	FORM 990, PART VI, SECTION A, LINE 7B	THE CONGREGATION OF THE SACRED HEART OF JESUS OF THE THIRD ORDER OF SAINT DOMINIC HAVE RESERVED POWERS EXCLUSIVELY WITH CALDWELL COLLEGE
	FORM 990, PART VI, SECTION B, LINE 11	THE FORM 990 IS REVIEWED IN DETAIL BY THE BOARD FINANCE COMMITTEE AND BOARD AUDIT COMMITTEE PRIOR TO FILING THE FORM 990 WAS PROVIDED TO AND MADE AVAILABLE TO THE ORGANIZATION'S BOARD OF TRUSTEES AND EACH VOTING MEMBER OF THE ORGANIZATION'S GOVERNING BODY PRIOR TO ITS FILING THE FEDERAL FORM 990 AS PART OF THE TAX RETURN PREPARATION PROCESS, THE ORGANIZATION HIRED A PROFESSIONAL CPA FIRM WITH EXPERIENCE AND EXPERTISE IN NOT-FOR-PROFIT TAX RETURN PREPARATION TO PREPARE THE FORM 990 THE CPA FIRM'S TAX PROFESSIONALS WORKED CLOSELY WITH THE ORGANIZATION'S FINANCE PERSONNEL AND VARIOUS OTHER INDIVIDUALS OF THE ORGANIZATION TO COMPLETE THE PREPARATION OF THE RETURN THE CPA FIRM PRESENTED AND EXPLAINED THE TAX RETURN TO THE AUDIT COMMITTEE OF THE BOARD OF TRUSTEES AS PART OF THEIR MEETING
	FORM 990, PART VI, SECTION B, LINE 12C	THE ORGANIZATION REGULARLY MONITORS AND COMPLIES WITH ITS CONFLICT OF INTEREST POLICY ANNUALLY, OFFICERS, KEY EMPLOYEES AND EACH MEMBER OF THE BOARD OF TRUSTEES RECEIVES THE ORGANIZATION'S CONFLICT OF INTEREST POLICY TO REVIEW
	FORM 990, PART VI, SECTION B, LINE 15	ANNUALLY, THE COLLEGE ESTABLISHES AND MAINTAINS REASONABLE EXECUTIVE COMPENSATION AND BENEFITS PROGRAMS THAT ALLOW THE COLLEGE TO EFFECTIVELY ATTRACT, RETAIN AND MOTIVATE KEY EXECUTIVES WHILE MAINTAINING COMPLIANCE WITH ALL LEGAL REQUIREMENTS THE PROCESS FOR DETERMINING COMPENSATION OF THE PRESIDENT AND OTHER KEY OFFICERS OF THE ORGANIZATION INCLUDES UTILIZING COMPARABLE INDUSTRY DATA THE COMPENSATION OF THE PRESIDENT IS REVIEWED AND APPROVED BY THE COMPENSATION COMMITTEE OF THE BOARD OF TRUSTEES
	FORM 990, PART VI, SECTION C, LINE 19	THE COLLEGE MAKES ITS GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY OR FINANCIAL STATEMENTS AVAILABLE TO THE PUBLIC UPON REQUEST
	FORM 990, PART VII	SISTER KATHLEEN TUTE IS AN OFFICER OF THE COLLEGE, BUT SHE IS NOT ISSUED A W-2 FOR THE SALARY SHE DERIVES FOR CALENDAR YEAR 2012, SISTER KATHLEEN WAS PAID \$82,250 HOWEVER, SHE RECEIVES NO BENEFITS FROM THE COLLEGE AND HER ENTIRE SALARY IS DEPOSITED DIRECTLY TO THE SISTERS OF SAINT DOMINCK BANK ACCOUNT PER THE INSTRUCTIONS TO THE FORM 990, HER SALARY IS NOT REQUIRED TO BE REPORTED IN SCHEDULE J OR FORM 990 PART VII
CHANGES IN NET ASSETS OR FUND BALANCES	FORM 990, PART XI, LINE 9	INTEREST RATE SWAP 556,135
	FORM 990 PART XII, LINE 2C	THE ORGANIZATION HAS AN AUDIT COMMITTEE WHO OVERSEES THE AUDIT OF ITS FINANCIAL STATEMENTS AND SELECTS ITS INDEPENDENT ACCOUNTANTS



SCHEDULE R  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.  
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization  
CALDWELL COLLEGE

Employer identification number  
22-1500483

Part I Identification of Disregarded Entities (Complete if the organization answered "Yes" to Form 990, Part IV, line 33.)

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1) SISTERS OF ST DOMINIC  1 RYERSON AVENUE  CALDWELL, NJ 07006 22-1524780	RELIGIOUS ORGANIZATION	NJ	RELIGIOUS	501(C) 3	N/A		No

Part III

Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV

Identification of Related Organizations Taxable as a Corporation or Trust (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end- of-year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity?	
								Yes	No

Part V

Transactions With Related Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34, 35b, or 36.)

**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

1

During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a

Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity

b

Gift, grant, or capital contribution to related organization(s)

c

Gift, grant, or capital contribution from related organization(s)

d

Loans or loan guarantees to or for related organization(s)

e

Loans or loan guarantees by related organization(s)

f

Dividends from related organization(s)

g

Sale of assets to related organization(s)

h

Purchase of assets from related organization(s)

i

Exchange of assets with related organization(s)

j

Lease of facilities, equipment, or other assets to related organization(s)

k

Lease of facilities, equipment, or other assets from related organization(s)

l

Performance of services or membership or fundraising solicitations for related organization(s)

m

Performance of services or membership or fundraising solicitations by related organization(s)

n

Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)

o

Sharing of paid employees with related organization(s)

p

Reimbursement paid to related organization(s) for expenses

q

Reimbursement paid by related organization(s) for expenses

r

Other transfer of cash or property to related organization(s)

s

Other transfer of cash or property from related organization(s)

Yes

No

1a

No

1b

No

1c

No

1d

No

1e

Yes

1f

No

1g

No

1h

No

1i

No

1j

No

1k

Yes

1l

No

1m

No

1n

Yes

1o

Yes

1p

Yes

1q

No

1r

No

1s

No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of other organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

**Software ID:**  
**Software Version:**  
**EIN:** 22-1500483  
**Name:** CALDWELL COLLEGE

**Part VII** Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule R (see instructions)

Identifier	Return Reference	Explanation	
------------	------------------	-------------	--

-->