Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545 0052

2012

For calendar year 2012, or tax year beginning 7/01 **, 2012, and ending** 6/30 , 2013

THE MARGARET & MARTHA THOMAS FOUNDATION
2205 NORTH 6TH STREET 10A
BEATRICE, NE 68310

A Employer identification number 20-3652030

B Telephone number (see the instructions) 402-228-3424

C If exemption application is pending, check here ► ☐

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 4,634,305.

J Accounting method [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)				
	2 Ck ► [X] if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	109,402.	109,402.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	185,594.			
	b Gross sales price for all assets on line 6a 2,794,191.				
	7 Capital gain net income (from Part IV, line 2)		185,594.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule) See Statement 1	35,831.	35,831.		
	12 **Total.** Add lines 1 through 11	330,827.	330,827.	0.	
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) See St 2	4,634.	4,634.		
	b Accounting fees (attach sch) See St 3	1,500.	1,500.		
	c Other prof fees (attach sch) See St 4	62,751.	62,751.		
	17 Interest				
	18 Taxes (attach schedule)(see instrs) See Stm 5	1,619.			
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) See Statement 6	20.	20.		
	24 **Total operating and administrative expenses.** Add lines 13 through 23	70,524.	68,905.		
	25 Contributions, gifts, grants paid Part XV	240,826.			240,826.
	26 **Total expenses and disbursements.** Add lines 24 and 25	311,350.	68,905.	0.	240,826.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	19,477.			
	b **Net investment income** (if negative, enter -0-)		261,922.		
	c **Adjusted net income** (if negative, enter -0-)			0.	

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22P

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash – non-interest-bearing	1,297,205.	47,829.	47,829.
	2	Savings and temporary cash investments			
	3	Accounts receivable ►			
		Less allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch) ►			
		Less allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U S and state government obligations (attach schedule)	399,553.		
	b	Investments – corporate stock (attach schedule)	266,137.		
	c	Investments – corporate bonds (attach schedule)			
	11	Investments – land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach schedule) ►			
	12	Investments – mortgage loans			
	13	Investments – other (attach schedule) Statement 11	2,455,028.	4,394,168.	4,586,476.
	14	Land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach schedule) ►			
	15	Other assets (describe ►)	27,926.		
	16	**Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)	4,445,849.	4,441,997.	4,634,305.
LIABILITIES	17	Accounts payable and accrued expenses			
	18	Grants payable	5,000.	2,500.	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ►)			
	23	**Total liabilities** (add lines 17 through 22)	5,000.	2,500.	
NET ASSETS OR FUND BALANCES		**Foundations that follow SFAS 117, check here ► [ ] and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ► [X] and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	4,440,849.	4,439,497.	
	30	**Total net assets or fund balances** (see instructions)	4,440,849.	4,439,497.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	4,445,849.	4,441,997.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,440,849.
2	Enter amount from Part I, line 27a	2	19,477.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	4,460,326.
5	Decreases not included in line 2 (itemize) ► See Statement 7 - corrective entry	5	20,829.
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	4,439,497.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	See Statement 8			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	185,594.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	41,359.	4,549,004.	0.009092
2010	60,000.	2,744,576.	0.021861
2009	89,400.	2,045,372.	0.043708
2008	85,968.	1,619,588.	0.053080
2007	40,285.	1,726,684.	0.023331

2	**Total** of line 1, column (d)	2	0.151072
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.030214
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	4,650,632.
5	Multiply line 4 by line 3	5	140,514.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,619.
7	Add lines 5 and 6	7	143,133.
8	Enter qualifying distributions from Part XII, line 4	8	240,826.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ► [ ] and enter 'N/A' on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary – see instrs)**		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► [X] and enter 1% of Part I, line 27b	1	2,619.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3 Add lines 1 and 2	3	2,619.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	2,619.
6 Credits/Payments		
a 2012 estimated tax pmts and 2011 overpayment credited to 2012 — 6a: 1,960.		
b Exempt foreign organizations – tax withheld at source — 6b		
c Tax paid with application for extension of time to file (Form 8868) — 6c		
d Backup withholding erroneously withheld — 6d		
7 Total credits and payments Add lines 6a through 6d	7	1,960.
8 Enter any **penalty** for underpayment of estimated tax Check here [ ] if Form 2220 is attached	8	
9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►	9	659.
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►	10	
11 Enter the amount of line 10 to be **Credited to 2013 estimated tax** ► **Refunded** ►	11	

Part VII-A Statements Regarding Activities

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1 b		X
*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c Did the foundation file **Form 1120-POL** for this year?	1 c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ► $ 0. **(2)** On foundation managers ► $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ► NE			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► MICHAEL E. WILLET Telephone no ► 402-228-3424

Located at ► 2205 N 6TH ST., STE 10A BEATRICE NE ZIP + 4 ► 68310

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here N/A ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

			Yes	No
1 a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions)	2 b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__ , 20__ , 20__ , 20__			
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*	3 b	N/A	
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
DEAN MARPLES 8678 E PLUM ROAD WYMORE, NE 68466	President 1.00	0.	0.	0.
MICHAEL WILLET P.O. BOX 218 BEATRICE, NE 68310	SECRETARY/TR 1.00	0.	0.	0.
JEFFREY NELSON 1607 OAK STREET BEATRICE, NE 68310	Vice Preside 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
None				

Total number of other employees paid over $50,000 ► 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over $50,000 for professional services ►		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ►	0.

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TEEA0307L 12/22/12

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes			
a	Average monthly fair market value of securities		1a	4,348,344.
b	Average of monthly cash balances		1b	373,110.
c	Fair market value of all other assets (see instructions)		1c	
d	**Total** (add lines 1a, b, and c)		1d	4,721,454.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	4,721,454.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)		4	70,822.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	4,650,632.
6	**Minimum investment return.** Enter 5% of line 5		6	232,532.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	232,532.
2a	Tax on investment income for 2012 from Part VI, line 5	2a 2,619.		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	2,619.
3	Distributable amount before adjustments Subtract line 2c from line 1		3	229,913.
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	229,913.
6	*Deduction from distributable amount (see instructions)*		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	229,913.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	240,826.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	240,826.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	2,619.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	238,207.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				229,913.
2 Undistributed income, if any, as of the end of 2012.				
a Enter amount for 2011 only			206,896.	
b Total for prior years 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► $ 240,826.				
a Applied to 2011, but not more than line 2a			206,896.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2012 distributable amount				33,930.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				195,983.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	0.			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	**(b)** 2011	**(c)** 2010	**(d)** 2009	**(e) Total**
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ► ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

See Statement 9

b The form in which applications should be submitted and information and materials they should include

See Statement for Line 2a

c Any submission deadlines

See Statement for Line 2a

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

See Statement for Line 2a

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
See Statement 10				
Total			► **3a**	240,826.
b Approved for future payment				
Total			► **3b**	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	109,402.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			1	35,831.	
8 Gain or (loss) from sales of assets other than inventory			18	185,594.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				330,827.	

13 **Total.** Add line 12, columns (b), (d), and (e) **13** 330,827.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	**1 a (1)**		X
(2) Other assets	**1 a (2)**		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	**1 b (1)**		X
(2) Purchases of assets from a noncharitable exempt organization	**1 b (2)**		X
(3) Rental of facilities, equipment, or other assets	**1 b (3)**		X
(4) Reimbursement arrangements	**1 b (4)**		X
(5) Loans or loan guarantees	**1 b (5)**		X
(6) Performance of services or membership or fundraising solicitations	**1 b (6)**		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1 c**		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements
N/A			

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Michael E. [signature] | 11-6-2013 | SECRETARY/TREAS

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
ANDREW K. CAROTHERS	Andrew K. Carothers	11-6-2013	X	P01253602
Firm's name ► Willet and Carothers			Firm's EIN ► 47-0488523	
Firm's address ► 2205 North 6th Street, Suite 10A Beatrice, NE 68310-0218			Phone no (402) 228-3424	

ATTACHMENT
FORM 990-PF - RETURN OF PRIVATE FOUNDATION
Tax period beginning: July 1, 2012 and ending June 30, 2013

THE MARGARET & MARTHA THOMAS FOUNDATION EIN: 20-3652030

FORM 990-PF Dividends and Interest from Securities STATEMENT A

Source	Gross Amount	Capital Gains Dividends	Column (A) Amount
UBS Financial Services, Inc.	$141,488.11	$32,086.38	$109,401.73
Total to Fm 990-PF, Part I, Ln 4	$141,488.11	$32,086.38	$109,401.73

FORM 990-PF Other Income STATEMENT 1

Description	(a) Revenue Per Books	(b) Net-Investment Income	(c) Adjusted Net Income
Fee Rebate	$ 3,745.43	$ 3,745.43	
Capital Gain Distribution	32,086.38	32,086.38	
Total to Fm, 990-PF, Part I, Ln 11	$35,831.00	$35,831.00	

Form 990-PF Legal Fees STATEMENT 2

Description	(a) Expenses Per Books	(b) Net Invest-ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Legal fees	$4,634.00	$4,634.00		$0.00
To Fm 990-PF, Pg 1, Ln 16a	$4,634.00	$4,634.00		$0.00

Form 990-PF Accounting Fees STATEMENT 3

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Accounting fees Willet & Carothers	$1,500.00	$1,500.00		$0.00
To Fm 990-PF, Pg 1, Ln 16b	$1,500.00	$1,500.00		$0.00

Form 990-PF Other Professional Fees STATEMENT 4

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Investment fees UBS Financial	$62,751.00	$62,751.00		$0.00
To Fm 990-PF, Pg 1, Ln 16c	$62,751.00	$62,751.00		$0.00

Form 990-PF Taxes STATEMENT 5

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Excise Tax-estimated tax payments	$1,619.00	$0.00		$0.00
To Fm 990-PF, Pg 1, Ln 18	$1,619.00	$0.00		$0.00

Form 990-PF	Other expenses			STATEMENT 6
Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
NE Sec of State - Non-profit Domestic Corporation fee	$20.00	$20.00		$0.00
To Fm 990-PF, Pg 1, Ln 20	$20.00	$20.00		$0.00

Form 990-PF Part III, Line 5	Other Decreases	STATEMENT 7
CORRECTIVE ENTRY		$ 20,849.00
		TOTAL $ 20,849.00

UBS

Portfolio Management Program
August 2012

Account name: THE MARGARET AND MARTHA FOUNDATION
Account number:

Your Financial Advisor:
SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
HENDERSON NE BE/R/ 4 200 080112 DTD 082107	FIFO	30,000 000	Jul 16, 10	Aug 01, 12	30,000 00	31,533 90 [1]		-1,533 90	
LINCOLN NEB WTR REV B/E /R/ 5 000 081522 DTD 121002 Original cost basis · $20,754.60	FIFO	20,000 000	Jul 16, 10	Aug 15, 12	20,000 00	20,000 00 [1]			
Total					**$50,000.00**	**$51,533.90**		**-$1,533.90**	
Net long-term capital gains or losses								**-$1,533.90**	
Net capital gains/losses:								**-$1,533.90**	

[1] Indicates cost basis information provided by you or another third party UBS FS has not verified this information and does not guarantee its accuracy

Item 1

UBS

Portfolio Management Program
September 2012

Account name: THE MARGARET AND MARTHA FOUNDATION
Account number:

Your Financial Advisor:
SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
DOUGLAS CO NEB HOSP REV AMBAC B/E OID@96 9372/R/ 5 125 090117 DTD 070197 Original cost basis · $20,060.98	FIFO	20,000 000	Jul 16, 10	Sep 12, 12	19,773 20	20,003 67 [1]		-230 47	
LINCOLN NE STORM SWR & BE/R/ 4 500 111521 DTD 031704 Original cost basis : $31,888 50	FIFO	30,000 000	Nov 15, 10	Sep 12, 12	31,873.20	31,147.66 [1]			725 54
LINCOLN NE STORM SWR & B/E /R/ 4.500 111523 DTD 031704 Original cost basis . $5,253 20	FIFO	5,000.000	Jul 16, 10	Sep 12, 12	5,247.20	5,154 23 [1]			92.97
MUNI ENERGY AGY NEB PWR A RV FSA OID98.4178BE/R/ 5 000 040136 DTD 110503 Original cost basis : $10,054 60	FIFO	10,000 000	Jul 16, 10	Sep 12, 12	10,123.60	10,018 35 [1]			105.25
MUNI ENERGY AGY NEB PWR A RV FSA OID99.437BE/R/ 5.000 040128 DTD 110503 Original cost basis · $10,248.10	FIFO	10,000 000	Jul 16, 10	Sep 12, 12	10,182.30	10,082 89 [1]			99.41
MUNICIPAL ENGY AGY NE-A REV FSA OID98 489 BE/R/ 4.250 040117 DTD 110503 Original cost basis : $20,934 60	FIFO	20,000.000	Jul 16, 10	Sep 12, 12	20,353 80	20,308 96 [1]			44.84
NEBRASKA PUB PWR DIST RV FSA OID@98.9826B/E/R/ 4 375 010126 DTD 012705 Original cost basis : $20,068.00	FIFO	20,000 000	Jul 16, 10	Sep 13, 12	20,900 20	20,036 46 [1]			863.74
NEBRASKA PUB PWR DIST AMBAC B RVOID99 683BE/R/ 5 000 010121 DTD 102402 Original cost basis : $20,705 20	FIFO	20,000 000	Jul 16, 10	Sep 12, 12	20,099 20	20,147 90 [1]		-48.70	

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UBS Portfolio Management Program
September 2012

Account name: THE MARGARET AND MARTHA FOUNDATION
Account number:

Your Financial Advisor:
SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
NEBRASKA PUBLIC PWR DIST REV AMBAC SERIES B BE/R/ 5 000 010133 DTD 102402 Original cost basis $20,285.60	FIFO	20,000 000	Jul 16, 10	Sep 12, 12	20,119 20	20,060 29 1			58 91
OMAHA NEB RFDG B/E OID@97.7995 /R/ 4.125 040124 DTD 040104 Original cost basis · $20,560 20	FIFO	20,000.000	Jul 16, 10	Sep 12, 12	20,810 80	20,521 31 1			289 49
OMAHA PUB PWR DIST NEB B/E /R/ 4 250 020117 DTD 110402 Original cost basis $20,003 00	FIFO	20,000 000	Aug 07, 03	Sep 12, 12	20,062 60	19,979 88 1			82 72
OMAHA PUB PWR DIST NEB B ETM OID@99.0019B/E /R/ 5 700 020117 DTD 050193 Original cost basis $10,100 00	FIFO	10,000 000	Jan 18, 94	Sep 12, 12	10,355 50	10,000 59 1			354 91
Original cost basis . $10,100.00	FIFO	10,000 000	Jul 28, 99	Sep 12, 12	10,355 50	10,000.74 1			354 76
OMAHA PUB PWR DIST NEB ELEC SYS REV 03A BE/R/ 4.750 020124 DTD 050103 Original cost basis . $20,592 80	FIFO	20,000 000	Jul 16, 10	Sep 12, 12	20,446 60	20,216 98 1			229 62
OMAHA PUB PWR DIST NEB SER A B/E /R/ 3 900 020114 DTD 050103	FIFO	20,000 000	Jul 16, 10	Sep 12, 12	20,341 00	21,529 40 1		-1,188.40	
UNIV NE UNIV RV BE/R/ 5 000 061533 DTD 123003 Original cost basis : $20,680 60	FIFO	20,000 000	Jul 16, 10	Sep 12, 12	21,200 00	20,425.05 1			774 95
UNIVERSITY NEB UNIV B/E . /R/ 5.125 070127 DTD 010803 Original cost basis · $36,783 60	FIFO	35,000 000	Jul 16, 10	Sep 12, 12	35,419 65	35,372 50 1			47.15

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UBS Portfolio Management Program
September 2012

Account name: THE MARGARET AND MARTHA FOUNDATION
Account number:

Your Financial Advisor:
SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
UNIVERSITY NEB UNIV B/E /R/ 5 125 070132 DTD 010803 Original cost basis : $10,341 30	FIFO	10,000 000	Jul 16, 10	Sep 12, 12	10,061 50	10,071 65 1		-10 15	
Total					**$327,725.05**	**$325,078.51**		**-$1,477.72**	**$4,124.26**
Net long-term capital gains or losses									**$2,646.54**
Net capital gains/losses:									**$2,646.54**

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UBS

Portfolio Management Program
October 2012

Account name: THE MARGARET AND MARTHA FOUNDATION
Account number: --

Your Financial Advisor:
SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ABERDEEN EQUITY LONG SHORT FUND CLASS A	FIFO	2,610 906	Jul 01, 09	Oct 02, 12	29,503 24	27,414.51			2,088.73
	FIFO	1,812.849	Dec 23, 09	Oct 02, 12	20,485 19	19,941.34			543 85
	FIFO	1,487 623	Jan 08, 10	Oct 02, 12	16,810 14	16,587.00			223 14
	FIFO	597 945	Feb 22, 10	Oct 02, 12	6,756.78	6,547 50			209 28
	FIFO	489 596	Oct 26, 10	Oct 02, 12	5,532 43	5,566.71		-34 28	
	FIFO	197 056	Feb 24, 11	Oct 02, 12	2,226 73	2,256 29		-29 56	
AMERICAN FUNDS EUROPACIFIC GR F-1	FIFO	237 611	Jul 01, 09	Oct 02, 12	9,468 78	7,596 42			1,872 36
ANADARKO PETROLEUM CORP	FIFO	454 000	Jul 16, 10	Oct 02, 12	31,755 08	21,546 84			10,208 24
BLACKROCK INTERNATIONAL OPPORTUNITIES A	FIFO	197.565	Jul 30, 09	Oct 02, 12	6,241 07	5,429 09			811.98
COCA COLA CO COM	FIFO	1,200 000	Jul 16, 10	Oct 02, 12	46,045.96	31,422 00			14,623 96
DWS STRATEGIC HIGH YIELD TAX FREE CLASS A	FIFO	511.012	Feb 24, 11	Oct 02, 12	6,684.04	5,886 86			797 18
DYNEGY INC NEW	FIFO	0 733	Jul 16, 10	Oct 03, 12	13.94	133 09		-119.15	
EATON VANCE STRATEGIC INCOME FUND CLASS A	FIFO	9,720 028	Oct 26, 10	Oct 02, 12	79,509.83	79,704 23		-194 40	
	FIFO	40 995	Nov 30, 10	Oct 02, 12	335 34	335 75		-0 41	
	FIFO	42 441	Dec 31, 10	Oct 02, 12	347.17	348 44		-1.27	
	FIFO	42 670	Jan 31, 11	Oct 02, 12	349 04	349 89		-0 85	
	FIFO	189 418	Feb 24, 11	Oct 02, 12	1,549 44	1,553 23		-3 79	
	FIFO	38 623	Feb 28, 11	Oct 02, 12	315.94	317 48		-1 54	
	FIFO	43 827	Mar 31, 11	Oct 02, 12	358 50	359 38		-0.88	
	FIFO	42 312	Apr 29, 11	Oct 02, 12	346.11	349 50		-3.39	
	FIFO	39 642	May 31, 11	Oct 02, 12	324.27	327.05		-2 78	
	FIFO	38 844	Jun 30, 11	Oct 02, 12	317 75	317 74			0.01
	FIFO	40 096	Jul 31, 11	Oct 02, 12	327 98	329 59		-1.61	
	FIFO	40 869	Aug 31, 11	Oct 02, 12	334.31	331.04			3.27
	FIFO	40 967	Sep 30, 11	Oct 02, 12	335.11	321 59			13 52
EXELON CORP	FIFO	1,041 000	Jul 16, 10	Oct 02, 12	36,978 09	43,180 68		-6,202 59	

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UBS Portfolio Management Program
October 2012

Account name: THE MARGARET AND MARTHA FOUNDATION
Account number:

Your Financial Advisor:
SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
HARTFORD FLOATING RATE FUND CLASS A	FIFO	5,487 159	Jun 15, 10	Oct 02, 12	49,000 33	46,585 98			2,414 35
	FIFO	8 585	Jun 30, 10	Oct 02, 12	76 66	72 89			3.77
	FIFO	24 578	Jul 30, 10	Oct 02, 12	219 49	212.11			7.38
	FIFO	26 017	Aug 31, 10	Oct 02, 12	232.33	224 53			7 80
	FIFO	24.341	Sep 30, 10	Oct 02, 12	217 36	212 01			5 35
	FIFO	440.452	Oct 26, 10	Oct 02, 12	3,933 24	3,871 57			61 67
	FIFO	23 474	Oct 29, 10	Oct 02, 12	209 62	206 81			2 81
	FIFO	25 491	Nov 30, 10	Oct 02, 12	227 64	224 58			3 06
	FIFO	26 864	Dec 31, 10	Oct 02, 12	239.89	238.28			1.61
	FIFO	25 135	Jan 31, 11	Oct 02, 12	224.46	225 96		-1 50	
	FIFO	23 026	Feb 28, 11	Oct 02, 12	205 62	206.77		-1.15	
	FIFO	25 151	Mar 31, 11	Oct 02, 12	224 60	225.10		-0 50	
	FIFO	22 829	Apr 29, 11	Oct 02, 12	203 86	205 00		-1.14	
	FIFO	23 082	May 31, 11	Oct 02, 12	206.12	206 35		-0 23	
	FIFO	24 359	Jun 30, 11	Oct 02, 12	217.53	215 82			1 71
	FIFO	25 185	Jul 31, 11	Oct 02, 12	224 90	222 89			2.01
	FIFO	26 170	Aug 31, 11	Oct 02, 12	233 70	219 83			13 87
	FIFO	24 734	Sep 30, 11	Oct 02, 12	220 87	207.52			13 35
INVESCO INTERNATIONAL GROWTH FUND CLASS A	FIFO	185 000	Apr 20, 90	Oct 02, 12	5,228 10	4,465 02			763 08
	FIFO	2 000	Dec 31, 90	Oct 02, 12	56 52	48 27			8 25
	FIFO	2 000	Dec 31, 91	Oct 02, 12	56 52	48 27			8 25
	FIFO	20 000	Dec 28, 94	Oct 02, 12	565 20	482 70			82.50
	FIFO	10 000	Dec 27, 95	Oct 02, 12	282 60	241 35			41 25
	FIFO	24 000	Dec 09, 96	Oct 02, 12	678 24	579 24			99.00
	FIFO	64 000	Dec 15, 97	Oct 02, 12	1,808 64	1,544 66			263.98
	FIFO	13 000	Dec 17, 98	Oct 02, 12	367 38	313 76			53 62
	FIFO	73 000	Feb 18, 99	Oct 02, 12	2,062 98	1,761.89			301.09
	FIFO	4 718	Dec 11, 09	Oct 02, 12	133 33	115 45			17 88

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UBS

Portfolio Management Program
October 2012

Account name: THE MARGARET AND MARTHA FOUNDATION
Account number:

Your Financial Advisor:
SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	3 934	Dec 10, 10	Oct 02, 12	111 18	106 30			4.88
INVESCO MUNICIPAL INCOME FUND CLASS A	FIFO	1 878	Apr 30, 09	Oct 02, 12	26 21	23 40			2 81
	FIFO	3.993	May 29, 09	Oct 02, 12	55.75	50.27			5 48
	FIFO	3 851	Jun 30, 09	Oct 02, 12	53 76	47.74			6.02
	FIFO	3 851	Jul 31, 09	Oct 02, 12	53 76	48 05			5 71
	FIFO	3 765	Aug 31, 09	Oct 02, 12	52.56	48.09			4 47
	FIFO	3 619	Sep 30, 09	Oct 02, 12	50.52	48.35			2.17
	FIFO	3.761	Oct 30, 09	Oct 02, 12	52.50	48 62			3 88
	FIFO	3 762	Nov 30, 09	Oct 02, 12	52.52	48 66			3.86
	FIFO	3 775	Dec 31, 09	Oct 02, 12	52 70	48 98			3 72
	FIFO	3 779	Jan 29, 10	Oct 02, 12	52.75	49 06			3 69
	FIFO	3 777	Feb 26, 10	Oct 02, 12	52 73	49.28			3.45
	FIFO	3 825	Mar 31, 10	Oct 02, 12	53 40	49.56			3 84
	FIFO	3 806	Apr 30, 10	Oct 02, 12	53 13	49 75			3.38
	FIFO	3 314	May 27, 10	Oct 02, 12	46.26	43.47			2.79
	FIFO	4 329	Jun 30, 10	Oct 02, 12	60 43	56 54			3 89
	FIFO	3 816	Jul 30, 10	Oct 02, 12	53.28	50 21			3.07
	FIFO	1 593	Aug 31, 10	Oct 02, 12	22.23	21.43			0.80
	FIFO	3 555	Sep 30, 10	Oct 02, 12	49 63	47.70			1.93
	FIFO	3 587	Oct 29, 10	Oct 02, 12	50 08	47 74			2 34
	FIFO	3 716	Nov 30, 10	Oct 02, 12	51.87	48 01			3 86
	FIFO	3 821	Dec 31, 10	Oct 02, 12	53 34	48 04			5 30
	FIFO	3 903	Jan 31, 11	Oct 02, 12	54.49	48 36			6 13
	FIFO	3 860	Feb 28, 11	Oct 02, 12	53 88	48 44			5 44
	FIFO	3 911	Mar 31, 11	Oct 02, 12	54 60	48 67			5.93
	FIFO	3 875	Apr 30, 11	Oct 02, 12	54.10	48 90			5.20
	FIFO	5 883	May 31, 11	Oct 02, 12	82 12	75.16			6 96
	FIFO	0 606	Jun 30, 11	Oct 02, 12	8 46	7 76			0 70

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Form 990-PF, Part IV, Line 1 STATEMENT 8

Capital Gains and Losses for Tax on Investment Income

Item	(a) Description	(b) How Acquired	(c) Date Acquired	(d) Date Sold
1	See attached - UBS Financial	Purchased	Various	Various
2	See attached - UBS Financial	Purchased	Various	Various
3	See attached - UBS Financial	Purchased	Various	Various
4	See attached - UBS Financial	Purchased	Various	Various
5	See attached - UBS Financial	Purchased	Various	Various
6	See attached - UBS Financial	Purchased	Various	Various
7	See attached - UBS Financial	Purchased	Various	Various
8	See attached - UBS Financial	Purchased	Various	Various
9	See attached - UBS Financial	Purchased	Various	Various
10	See attached - UBS Financial	Purchased	Various	Various
11	See attached - UBS Financial	Purchased	Various	Various

Item	(e) Gross Sales	(f) Depreciation Allowed	(g) Cost Basis	(h) Gain (Loss)	(i) FMV	(j) Adj Basis	(k) Excess	(l) Gain (Loss)
1	$ 50,000.	0	$ 51,534.	- 1,534.				-1,534.
2	327,725.	0	325,079.	2,646.				2,646.
3	853,921.	0	721,581.	132,340.				132,340.
4	14,904.	0	0	14,904.				14,904.
5	140,858.	0	136,814.	4,044.				4,044.
6	777,086.	0	739,385.	37,701.				37,701.
7	580,207.	0	590,408.	- 10,201.				-10,201.
8	3,987.	0	3,516.	471.				471.
9	2,017.	0	1,958.	59.				59.
10	25,097.	0	20,206.	4,891.				4,891.
11	18,389.	0	18,116.	273.				273.
TOTAL	$2,794,191.						TOTAL	$ 185,594.

UBS Portfolio Management Program
October 2012

Account name: THE MARGARET AND MARTHA FOUNDATION
Account number:

Your Financial Advisor:
SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	4 525	Jul 31, 11	Oct 02, 12	63 17	58 28			4 89
	FIFO	4 068	Aug 31, 11	Oct 02, 12	56 79	53 01			3.78
	FIFO	4 029	Sep 30, 11	Oct 02, 12	56 25	53.10			3.15
IVY ASSET STRATEGY FUND CLASS A	FIFO	1,886 032	Jul 01, 09	Oct 02, 12	47,848 63	36,419 28			11,429 35
	FIFO	12 610	Dec 10, 09	Oct 02, 12	319 92	278.81			41.11
	FIFO	803 922	Dec 23, 09	Oct 02, 12	20,395.50	17,710 40			2,685 10
	FIFO	1,197 790	Jan 08, 10	Oct 02, 12	30,387 93	27,644 99			2,742.94
	FIFO	500 803	Feb 22, 10	Oct 02, 12	12,705 37	10,912.49			1,792 88
	FIFO	58 224	Jun 15, 10	Oct 02, 12	1,477 15	1,231.44			245.71
	FIFO	8 536	Dec 09, 10	Oct 02, 12	216 56	206 74			9.82
LOOMIS SAYLES BOND FUND CLASS RETAIL	FIFO	1,952 386	Jul 01, 09	Oct 02, 12	29,207.69	22,901 49			6,306 20
OPPENHEIMER DEVELOPING MARKETS FUND CL A	FIFO	1,495.775	Dec 23, 09	Oct 02, 12	51,200.38	42,554 80			8,645.58
	FIFO	561 319	Jan 08, 10	Oct 02, 12	19,213 94	16,586.97			2,626 97
	FIFO	236 201	Feb 22, 10	Oct 02, 12	8,085 16	6,547 49			1,537 67
	FIFO	3 165	Dec 22, 10	Oct 02, 12	108.34	112 07		-3 73	
	FIFO	131 277	Feb 24, 11	Oct 02, 12	4,493.61	4,433 22			60.39
PUBLIC STORAGE REIT	FIFO	578 000	Jul 16, 10	Oct 02, 12	80,287 31	52,817 64			27,469 67
THORNBURG INTERNATIONAL VALUE FUND CLASS A	FIFO	210 353	Jul 30, 09	Oct 02, 12	5,519 67	4,703 49			816.18
VANGUARD INDEX FUNDS VANGUARD GROWTH ETF	FIFO	382 000	Jul 01, 09	Oct 02, 12	27,704.15	16,729 26			10,974.89
WELLS FARGO & CO NEW	FIFO	3,194 000	Jul 16, 10	Oct 02, 12	110,423 68	83,810 56			26,613.12
WESTAR ENERGY INC	FIFO	339 000	Jul 16, 10	Oct 02, 12	10,008 24	27,420 00		-17,411 76	
WESTERN UNION CO	FIFO	1,200 000	Jul 16, 10	Oct 02, 12	21,863.51	5,200 26			16,663 25
WTS DYNEGY INC NEW (DELA) EXP 10/02/17	FIFO	0 057	Jul 16, 10	Oct 03, 12	0 09	0 90		-0 81	
Total					**$853,921.27**	**$721,581.08**		**-$24,017.32**	**$156,357.51**
Net long-term capital gains or losses									**$132,340.19**
Net capital gains/losses:									

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UBS

Portfolio Management Program
October 2012

Account name: THE MARGARET AND MARTHA FOUNDATION
Account number:

Your Financial Advisor:
SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Realized gains and losses (continued)

Gains and losses not calculated

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
GENERAL MOTORS CO	FIFO	29 000	Jun 27, 11	Oct 02, 12	663.31	0 00	—This information was unavailable—		
INVESCO MUNICIPAL INCOME FUND CLASS A	FIFO	970 226	Apr 21, 09	Oct 02, 12	13,544 36	0.00	—This information was unavailable—		
MOTORS LIQ CO GUC TR UBI	FIFO	7 000	Jun 12, 12	Oct 02, 12	119 69	0 00	—This information was unavailable—		
WTS GENERAL MOTORS CO EXP 07/10/19	FIFO	26 000	Jun 27, 11	Oct 02, 12	215.79	0 00	—This information was unavailable—		
WTS GENERAL MOTORS CO EXP 07/10/16	FIFO	26 000	Jun 27, 11	Oct 02, 12	360 87	0 00	—This information was unavailable—		
Total					**$14,904.02**				

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Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ABERDEEN EQUITY LONG SHORT FUND CLASS A	FIFO	73 298	Dec 21, 11	Oct 02, 12	828.27	804.08			24.19
EATON VANCE STRATEGIC INCOME FUND CLASS A	FIFO	41 529	Oct 31, 11	Oct 02, 12	339.71	333.48			6.23
	FIFO	40.812	Nov 30, 11	Oct 02, 12	333 84	324 05			9 79
	FIFO	42 570	Dec 30, 11	Oct 02, 12	348 22	336 30			11 92
	FIFO	41 622	Jan 31, 12	Oct 02, 12	340 47	336.72			3 75
	FIFO	38 935	Feb 29, 12	Oct 02, 12	318 49	316.15			2 34
	FIFO	42 031	Mar 30, 12	Oct 02, 12	343.81	339.19			4.62
	FIFO	40 793	Apr 30, 12	Oct 02, 12	333 69	329 61			4 08
	FIFO	43 228	May 31, 12	Oct 02, 12	353 61	341.93			11 68
	FIFO	41.623	Jun 29, 12	Oct 02, 12	340.47	332.15			8 32
	FIFO	42 864	Jul 31, 12	Oct 02, 12	350 63	344.63			6 00
	FIFO	42 822	Aug 31, 12	Oct 02, 12	350.28	346.00			4 28
	FIFO	41 090	Sep 28, 12	Oct 02, 12	336.12	336.12			
HARTFORD FLOATING RATE FUND CLASS A	FIFO	26 400	Oct 31, 11	Oct 02, 12	235.76	228.10			7 66
	FIFO	25 720	Nov 30, 11	Oct 02, 12	229 68	220.16			9 52
	FIFO	26 895	Dec 30, 11	Oct 02, 12	240 17	231.03			9.14
	FIFO	26 118	Jan 31, 12	Oct 02, 12	233 23	228 27			4 96
	FIFO	24 644	Feb 29, 12	Oct 02, 12	220.07	216 87			3 20
	FIFO	26.464	Mar 30, 12	Oct 02, 12	236.33	233.68			2 65
	FIFO	25 796	Apr 30, 12	Oct 02, 12	230 35	228 81			1 54
	FIFO	27 874	May 31, 12	Oct 02, 12	248 92	243 62			5 30
	FIFO	26 551	Jun 29, 12	Oct 02, 12	237.10	233.12			3 98

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UBS Portfolio Management Program
October 2012

Account name: THE MARGARET AND MARTHA FOUNDATION
Account number:

Your Financial Advisor:
SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	26 085	Jul 31, 12	Oct 02, 12	232 94	230.07			2 87
	FIFO	26 062	Aug 31, 12	Oct 02, 12	232 73	230 91			1.82
	FIFO	25.367	Sep 28, 12	Oct 02, 12	226 53	226 53			
INVESCO INTERNATIONAL GROWTH FUND CLASS A	FIFO	5.080	Dec 09, 11	Oct 02, 12	143 56	129 84			13.72
INVESCO MUNICIPAL INCOME FUND CLASS A	FIFO	4.071	Oct 31, 11	Oct 02, 12	56.83	53 33			3 50
	FIFO	4 093	Nov 30, 11	Oct 02, 12	57.14	53 70			3 44
	FIFO	4 020	Dec 30, 11	Oct 02, 12	56 12	53 63			2.49
	FIFO	3 934	Jan 31, 12	Oct 02, 12	54 91	53.94			0.97
	FIFO	3 961	Feb 29, 12	Oct 02, 12	55 30	54 23			1 07
	FIFO	4 004	Mar 30, 12	Oct 02, 12	55 90	54 25			1 65
	FIFO	3.994	Apr 30, 12	Oct 02, 12	55 75	54 60			1.15
	FIFO	3 982	May 31, 12	Oct 02, 12	55 59	54 87			0 72
	FIFO	3 999	Jun 29, 12	Oct 02, 12	55 83	54.91			0.92
	FIFO	3 964	Jul 31, 12	Oct 02, 12	55 33	55.18			0.15
	FIFO	3 970	Aug 31, 12	Oct 02, 12	55 43	55 18			0 25
	FIFO	3 976	Sep 28, 12	Oct 02, 12	55 50	55 47			0 03
IVY ASSET STRATEGY FUND CLASS A	FIFO	55 092	Dec 08, 11	Oct 02, 12	1,397 68	1,252.79			144 89
OPPENHEIMER DEVELOPING MARKETS FUND CL A	FIFO	45 512	Dec 12, 11	Oct 02, 12	1,557.88	1,361 72			196 16
POWERSHARES DB COMMODITY INDEX TRACKING FUND	FIFO	4,523 000	Dec 06, 11	Oct 02, 12	129,367.11	125,845 10			3,522 01
Total					**$140,857.28**	**$136,814.32**			**$4,042.96**
Net short-term capital gains and losses									**$4,042.96**

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UBS Portfolio Management Program
March 2013

Account name: THE MARGARET AND MARTHA FOUNDATION
Account number:

Your Financial Advisor:
SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	991 029	Dec 23, 09	Mar 06, 13	20,296 28	17,729 50			2,566.78
	FIFO	1,510 655	Jan 08, 10	Mar 06, 13	30,938 21	27,644.99			3,293 22
	FIFO	618 271	Feb 22, 10	Mar 06, 13	12,662 19	10,912 49			1,749 70
	FIFO	21 271	Jul 22, 10	Mar 06, 13	435 63	374.58			61[illegible]
	FIFO	49 122	Dec 21, 10	Mar 06, 13	1,006 02	938 23			67.[illegible]
	FIFO	42.345	Jul 21, 11	Mar 06, 13	867 23	857.91			9 32
	FIFO	60 822	Dec 20, 11	Mar 06, 13	1,245.63	1,077.16			168 47
	FIFO	61.779	Dec 20, 11	Mar 06, 13	1,265 24	1,094 11			171.13
BLACKROCK INTERNATIONAL OPPORTUNITIES A	FIFO	1,829 053	Jul 30, 09	Mar 06, 13	62,590 19	50,262 37			12,327.82
	FIFO	31 092	Dec 14, 09	Mar 06, 13	1,063 97	942.71			121 26
	FIFO	700 982	Jan 08, 10	Mar 06, 13	23,987 61	22,115 99			1,871 62
	FIFO	299 690	Feb 22, 10	Mar 06, 13	10,255 39	8,729 98			1,525 41
	FIFO	146 730	Jun 15, 10	Mar 06, 13	5,021 10	4,145 13			875.97
	FIFO	27 267	Dec 15, 10	Mar 06, 13	933 07	903 90			29.17
	FIFO	59 781	Dec 13, 11	Mar 06, 13	2,045 71	1,667 29			378 42
DWS DISCIPLINED MARKET NEUTRAL FUND CLASS A	FIFO	10,888 556	Oct 26, 10	Mar 06, 13	105,401 22	106,272.31		-871.09	
	FIFO	91.833	Dec 14, 10	Mar 06, 13	888 95	867.82			21 13
	FIFO	900.447	Feb 24, 11	Mar 06, 13	8,716 32	8,338 14			378.[illegible]
DWS STRATEGIC HIGH YIELD TAX FREE CLASS A	FIFO	69 813	Feb 24, 11	Mar 06, 13	915.95	804 24			111.71
DYNEGY INC NEW	FIFO	1 000	Jul 16, 10	Mar 06, 13	19.94	181 40		-161 46	
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	FIFO	512 215	Dec 23, 09	Mar 06, 13	6,920 03	6,464 15			455 88
HARTFORD MID CAP FUND CLASS A	FIFO	484 569	Jul 01, 09	Mar 06, 13	10,500 61	7,142 54			3,358 07
LOOMIS SAYLES BOND FUND CLASS RETAIL	FIFO	327 761	Jul 01, 09	Mar 06, 13	4,972 13	3,844 64			1,127.49
MADISON CNTY NE HOSP AU RV BE/R/ 4 550 031518 DTD 040108	FIFO	25,000 000	Jul 16, 10	Mar 15, 13	25,000.00	21,871 50 1			3,128 50

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UBS Portfolio Management Program
March 2013

Account name: THE MARGARET AND MARTHA FOUNDATION
Account number: [illegible]

Your Financial Advisor:
SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
NATIXIS ASG DIVERSIFYING STRATEGIES FUND A	FIFO	13,946 496	Oct 26, 10	Mar 06, 13	124,960 61	159,408 45		-34,447 84	
	FIFO	324 573	Dec 31, 10	Mar 06, 13	2,908 17	3,391.79		-483 62	
	FIFO	199 522	Dec 31, 10	Mar 06, 13	1,787 72	2,085 00		-297 28	
	FIFO	210 465	Dec 31, 10	Mar 06, 13	1,885.76	2,199.36		-313 60	
	FIFO	1,183 394	Feb 24, 11	Mar 06, 13	10,603.21	12,319 13		-1,715.92	
	FIFO	2 890	Apr 12, 11	Mar 06, 13	25 90	30.14		-4.24	
	FIFO	668 544	Dec 30, 11	Mar 06, 13	5,990 15	6,518 30		-528.15	
POWERSHARES DYNAMIC LARGE CAP VALUE PORTFOLIO	FIFO	463 000	Jul 01, 09	Mar 06, 13	11,112 67	6,676 02			4,436 65
PRINCIPAL HIGH YIELD FUND CLASS A	FIFO	7,384 833	Jul 01, 09	Mar 06, 13	58,783 27	51,693 83			7,089.44
	FIFO	57 780	Jul 31, 09	Mar 06, 13	459 93	422 95			36 98
	FIFO	62 342	Aug 31, 09	Mar 06, 13	496 24	456 97			39 27
	FIFO	58 453	Sep 30, 09	Mar 06, 13	465 29	444 83			20 46
	FIFO	55 540	Oct 30, 09	Mar 06, 13	442 09	422 66			19.43
	FIFO	56 570	Nov 30, 09	Mar 06, 13	450 30	431 63			18[illegible]
	FIFO	25 402	Dec 23, 09	Mar 06, 13	202 20	197 37			4 83
	FIFO	76 049	Dec 31, 09	Mar 06, 13	605 35	590 90			14 45
	FIFO	2,806 598	Jan 08, 10	Mar 06, 13	22,340 52	22,115 99			224 53
	FIFO	67 641	Jan 29, 10	Mar 06, 13	538 42	527 60			10 82
	FIFO	1,124.999	Feb 22, 10	Mar 06, 13	8,955 00	8,729 99			225 01
	FIFO	83 964	Feb 26, 10	Mar 06, 13	668.35	653 24			15 11
	FIFO	90 234	Mar 31, 10	Mar 06, 13	718 26	716 46			1 80
	FIFO	89 476	Apr 30, 10	Mar 06, 13	712 23	718 49		-6 26	
	FIFO	88 525	May 28, 10	Mar 06, 13	704 66	680 76			23 90
	FIFO	89 364	Jun 30, 10	Mar 06, 13	711 34	689 00			22 34
	FIFO	86 301	Jul 30, 10	Mar 06, 13	686 95	684.37			2 58
	FIFO	84 489	Aug 31, 10	Mar 06, 13	672 54	666 62			5 92

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UBS Portfolio Management Program
March 2013

Account name: THE MARGARET AND MARTHA FOUNDATION
Account number:

Your Financial Advisor:
SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	84 759	Sep 30, 10	Mar 06, 13	674.68	683 16		-8 48	
	FIFO	405 567	Oct 26, 10	Mar 06, 13	3,228 31	3,309 43		-81 12	
	FIFO	87 159	Oct 29, 10	Mar 06, 13	693 79	712.96		-19 17	
	FIFO	84 407	Nov 30, 10	Mar 06, 13	671.88	680 32		-8.44	
	FIFO	199 266	Dec 10, 10	Mar 06, 13	1,586 15	1,582 17			3.[illegible]
	FIFO	54 179	Dec 10, 10	Mar 06, 13	431 27	430 18			1.09
	FIFO	131 120	Dec 31, 10	Mar 06, 13	1,043.71	1,045.03		-1.32	
	FIFO	82 302	Jan 31, 11	Mar 06, 13	655.13	665 82		-10.69	
	FIFO	76 977	Feb 28, 11	Mar 06, 13	612 73	626.59		-13 86	
	FIFO	83 958	Mar 31, 11	Mar 06, 13	668 31	680 90		-12 59	
	FIFO	81 906	Apr 29, 11	Mar 06, 13	651 97	670 81		-18 84	
	FIFO	81.143	May 31, 11	Mar 06, 13	645 90	662 94		-17 04	
	FIFO	85 958	Jun 30, 11	Mar 06, 13	684 22	691.10		-6 88	
	FIFO	89 780	Jul 29, 11	Mar 06, 13	714.65	723 63		-8.98	
	FIFO	100 081	Aug 31, 11	Mar 06, 13	796 65	765 62			31.03
	FIFO	99 854	Sep 30, 11	Mar 06, 13	794 84	733.93			60 91
	FIFO	86.956	Oct 31, 11	Mar 06, 13	692.17	671 30			20 87
	FIFO	85 787	Nov 30, 11	Mar 06, 13	682.86	644 26			38 60
	FIFO	435 417	Dec 19, 11	Mar 06, 13	3,465 92	3,195 96			269 96
	FIFO	76 262	Dec 19, 11	Mar 06, 13	607 05	559.76			47[illegible]
	FIFO	117 483	Dec 30, 11	Mar 06, 13	935 16	868.20			66 96
	FIFO	83 236	Jan 31, 12	Mar 06, 13	662 56	630 10			32 46
	FIFO	81.505	Feb 29, 12	Mar 06, 13	648.78	626 77			22 01
PRINCIPAL GLOBAL DIVERSIFIED INCOME FUND CLASS A	FIFO	324.615	Oct 26, 10	Mar 06, 13	4,625 77	4,333.61			292 16
VANGUARD INDEX FUNDS VANGUARD GROWTH ETF	FIFO	67 000	Jul 01, 09	Mar 06, 13	5,111.55	2,934 19			2,177.36
VIRTUS ALPHA SECTOR ROTATION FUND CLASS A	FIFO	5,284 666	Dec 23, 09	Mar 06, 13	67,220 95	52,582.43			14,638 52

continued next page

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UBS

Portfolio Management Program
March 2013

Account name: THE MARGARET AND MARTHA FOUNDATION
Account number:

Your Financial Advisor:
SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
VIRTUS MULTI-SECTOR SHORT TERM BOND FUND - CLASS A	FIFO	142 587	Jun 15, 10	Mar 06, 13	707 23	657.33			49
VIRTUS PREMIUM ALPHA SECTOR FUND A	FIFO	1,331 103	Feb 24, 11	Mar 06, 13	19,088.02	16,785.21			2,302 81
WTS DYNEGY INC NEW (DELA) EXP 10/02/17	FIFO	27 000	Jul 16, 10	Mar 06, 13	26 45	423 72		-397 27	
Total					**$777,085.90**	**$739,385.22**		**-$39,434.14**	**$77,134.82**
Net long-term capital gains or losses									**$37,700.68**

UBS Portfolio Management Program
March 2013

Account name: THE MARGARET AND MARTHA FOUNDATION
Account number:

Your Financial Advisor:
SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ALLIANCEBERNSTEIN GLOBAL BOND FUND INC FD CL A	FIFO	55 809	Sep 18, 12	Mar 06, 13	481 63	479 96			1.67
BLACKROCK GLOBAL ALLOCATION FUND INC A	FIFO	37 821	Jul 19, 12	Mar 06, 13	774.57	712.55			62.02
	FIFO	2,940 220	Sep 18, 12	Mar 06, 13	60,215 71	57,981.14			2,234 57
	FIFO	868 651	Oct 02, 12	Mar 06, 13	17,789.97	17,060 30			729 67
	FIFO	51 856	Dec 19, 12	Mar 06, 13	1,062 01	1,022 60			39.41
BLACKROCK INTERNATIONAL OPPORTUNITIES A	FIFO	1,366 225	Sep 18, 12	Mar 06, 13	46,752 22	43,486.94			3,265 28
	FIFO	64 564	Dec 13, 12	Mar 06, 13	2,209.38	2,076 38			133.00
CENTER COAST MLP FOCUS FUND CLASS A	FIFO	608 604	Sep 18, 12	Mar 06, 13	6,505.98	6,176 11			329 87
DWS DISCIPLINED MARKET NEUTRAL FUND CLASS A	FIFO	6,221 152	Sep 18, 12	Mar 06, 13	60,220 76	57,981 14			2,239 62
	FIFO	1,659 179	Oct 02, 12	Mar 06, 13	16,060 85	15,795 38			265
DWS ENHANCED COMMODITY STRATEGY FUND A	FIFO	16,661 250	Sep 18, 12	Mar 06, 13	52,816.16	57,981.15		-5,164.99	
	FIFO	240 664	Sep 21, 12	Mar 06, 13	762 91	823.07		-60 16	
	FIFO	37,688 528	Oct 02, 12	Mar 06, 13	119,472 63	129,271.65		-9,799 02	
INVESCO BALANCED-RISK ALLOCATION FUND A	FIFO	3 686	Sep 18, 12	Mar 06, 13	46 59	48 10		-1.51	
NATIXIS ASG DIVERSIFYING STRATEGIES FUND A	FIFO	9,293 941	Sep 18, 12	Mar 06, 13	83,273.72	86,991 29		-3,717.57	
	FIFO	4,215 266	Oct 02, 12	Mar 06, 13	37,768.78	39,412 74		-1,643 96	

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UBS

Portfolio Management Program
March 2013

Account name: THE MARGARET AND MARTHA FOUNDATION
Account number:

Your Financial Advisor:
SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
PRINCIPAL HIGH YIELD FUND CLASS A	FIFO	90 788	Mar 30, 12	Mar 06, 13	722 67	692 71			29[illegible]
	FIFO	80 837	Apr 30, 12	Mar 06, 13	643.46	620 02			23 44
	FIFO	90 379	May 31, 12	Mar 06, 13	719 42	678.75			40 67
	FIFO	90 008	Jun 29, 12	Mar 06, 13	716.46	685 86			30.60
	FIFO	83 035	Jul 31, 12	Mar 06, 13	660.96	641.03			19.93
	FIFO	98 505	Aug 31, 12	Mar 06, 13	784.10	763 41			20 69
	FIFO	7,357.461	Sep 18, 12	Mar 06, 13	58,565.39	57,976 79			588.60
	FIFO	89 169	Sep 28, 12	Mar 06, 13	709 79	696 41			13 38
	FIFO	383 850	Oct 02, 12	Mar 06, 13	3,055 44	3,001 71			53.73
	FIFO	145 921	Oct 31, 12	Mar 06, 13	1,161.53	1,144 02			17.51
	FIFO	157 227	Nov 30, 12	Mar 06, 13	1,251.53	1,237 38			14.15
	FIFO	160 314	Dec 19, 12	Mar 06, 13	1,276 10	1,266 48			9.62
	FIFO	38 947	Dec 19, 12	Mar 06, 13	310.02	307.68			2 34
	FIFO	173 751	Dec 31, 12	Mar 06, 13	1,383 05	1,367.42			15 63
	FIFO	131 922	Jan 31, 13	Mar 06, 13	1,050 10	1,047 46			2.64
	FIFO	123 443	Feb 28, 13	Mar 06, 13	982 61	980 14			2[illegible]
Total					**$580,206.50**	**$590,407.77**		**-$20,387.21**	**$10,185.[illegible]**
Net short-term capital gains and losses								**-$10,201.27**	

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UBS

Portfolio Management Program
May 2013

Account name: THE MARGARET AND MARTHA
Account number:

Your Financial Advisor:
SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Item 9

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
MAINSTAY MARKETFIELD FUND CLASS I	FIFO	118 044	Mar 06, 13	May 30, 13	2,017 38	1,958 35			59 03

Long-term capital gains and losses

Item 8

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AQR MANAGED FUTURES STRATEGY FUND CLASS N	FIFO	196 457	Oct 26, 10	May 30, 13	2,017 61	2,017 61			
LOOMIS SAYLES BOND FUND CLASS RETAIL	FIFO	127 748	Jul 01, 09	May 30, 13	1,969 88	1,498 48			471 40
Total					$3,987.49	$3,516.09			$471.40
Net long-term capital gains or losses									$471.40

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Item 9 Page 1 of 1

UBS

Portfolio Management Program
June 2013

Account name: THE MARGARET AND MARTHA FOUNDATION
Account number: --

Your Financial Advisor:
SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ALLIANCE BERNSTEIN DISCOVERY VALUE FUND CLASS A	FIFO	52 069	Feb 24, 11	May 30, 13	1,071 59	948 69			122 [illegible]
AMERICAN FUNDS EUROPACIFIC GR F-1	FIFO	46 848	Jul 01, 09	May 30, 13	2,058 96	1,497 73			561 23
DWS STRATEGIC HIGH YIELD TAX FREE CLASS A	FIFO	110 792	Feb 24, 11	May 30, 13	1,435 87	1,276 33			159 54
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	FIFO	143 808	Dec 23, 09	May 30, 13	1,924 15	1,814 86			109.29
HARTFORD MID CAP FUND CLASS A	FIFO	45 684	Jul 01, 09	May 30, 13	1,077 69	673 39			404 30
POWERSHARES DYNAMIC LARGE CAP VALUE PORTFOLIO	FIFO	62 000	Jul 01, 09	May 30, 13	1,622 32	893 98			728 34
PRINCIPAL GLOBAL DIVERSIFIED INCOME FUND CLASS A	FIFO	104 382	Oct 26, 10	May 30, 13	1,495 79	1,393 50			102 29
THORNBURG INTERNATIONAL VALUE FUND CLASS A	FIFO	70 474	Jul 30, 09	May 30, 13	2,054 31	1,575 80			478 51
VANGUARD INDEX FUNDS VANGUARD GROWTH ETF	FIFO	20 000	Jul 01, 09	May 30, 13	1,627 97	875 87			752 [illegible]
VIRTUS ALPHA SECTOR ROTATION FUND CLASS A	FIFO	195 490	Dec 23, 09	May 30, 13	2,654 76	1,945 12			709 64
VIRTUS MULTI-SECTOR SHORT TERM BOND FUND - CLASS A	FIFO	390 221	Jun 15, 10	May 30, 13	1,923 79	1,798 91			124 88
VIRTUS PREMIUM ALPHA SECTOR FUND A	FIFO	277 469	Feb 24, 11	May 30, 13	4,239 73	3,498 88			740 85
WISDOMTREE EMERGING MARKETS EQUITY INCOM	FIFO	35 000	Oct 26, 10	May 30, 13	1,909 57	2,013 08		-103 51	
Total					**$25,096.50**	**$20,206.14**		**-$103.51**	**$4,993.87**
Net long-term capital gains or losses									**$4,890.36**

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UBS Portfolio Management Program
June 2013

Account name: THE MARGARET AND MARTHA FOUNDATION
Account number:

Your Financial Advisor:
SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order See *Important information about your statement* at the end of this document for more information We may not adjust gains and losses for all capital changes We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ALLIANCEBERNSTEIN GLOBAL BOND FUND INC FD CL A	FIFO	279.498	Sep 18, 12	May 30, 13	2,398 09	2,401.53	-2 15	-3.44	
AMERICAN FUNDS SMALLCAP WORLD F-1	FIFO	34.781	Mar 06, 13	May 30, 13	1,581.47	1,485.15			96 32
BLACKROCK STRATEGIC INCOME A	FIFO	189 612	Mar 06, 13	May 30, 13	1,939 73	1,928 35			11.38
CENTER COAST MLP FOCUS FUND CLASS A	FIFO	141.967	Sep 18, 12	May 30, 13	1,555 96	1,440.69			115 27
DWS RREEF GLOBAL INFRASTRUCTURE FD CL A	FIFO	119.598	Mar 06, 13	May 30, 13	1,548.80	1,493 78			55.02
INVESCO BALANCED-RISK ALLOCATION FUND A	FIFO	116 260	Sep 18, 12	May 30, 13	1,478 83	1,517 20		-38.37	
JHANCOCK2 GLOBAL ABSOLUTE RETURN STRATEGIES FUND CLASS A	FIFO	271 092	Mar 06, 13	May 30, 13	2,998 28	2,957.61			40.67
PRINCIPAL GLOBAL MULTI-STRATEGY FUND CLASS A	FIFO	279 853	Mar 06, 13	May 30, 13	2,988 83	2,946 85			41.98
VANGUARD BD INDEX FD INC TOTAL BOND MKT ETF	FIFO	23 000	Sep 18, 12	May 30, 13	1,899 08	1,945 28		-46 20	
Total					**$18,389.07**	**$18,116.44**		**-$88.01**	**$360.64**
Net short-term capital gains and losses									**$272.63**

Item 11 Pg 1 of 1

Form 990-PF, Part XV, Line 2 a-d STATEMENT 9

Application Submission Information

Name of Grant Program:	THE MARGARET & MARTHA THOMAS FOUNDATION
Name:	THE MARGARET & MARTHA THOMAS FOUNDATION
Care Of:	Michael Willet
Street Address:	2205 North 6th Street, Ste. 10A
City, State, Zip Code:	Beatrice, NE 68310
Telephone:	402-228-3424
E-mail Address:	willetlaw@diodecom.net
Form and Content	See Attachment to Statement 9
	Margaret & Martha Thomas Foundation Grant Guidelines Support Pages 1-3
	Margaret & Martha Thomas Foundation Grant Application Pages 4-7
Submission Deadlines:	None
Restrictions on Awards:	Wymore & Blue Springs, Nebraska including Gage County, Nebraska geographic area; and qualifying entities benefitting citizens of Southeast Gage County, Nebraska.

MARGARET & MARTHA THOMAS FOUNDATION
GRANT GUIDELINES

Billy Dean Marples, President
Jeffrey Nelson, Vice President
Michael E. Willet, Secretary - Treasurer
2205 North 6th Street, Suite 10A
P.O. Box 218
Beatrice, NE 68310-0218
Telephone: (402) 228-3424
Fax: (402) 228-3465

NOT TO BE USED AS AN APPLICATION, GUIDELINES ONLY

We fund or provide funds only to organizations operating in Wymore, Blue Springs, and Gage County, Nebraska, or to organizations based outside that area if significant benefits to the citizens of that area can be demonstrated, which have either been determined to be both exempt from tax under Internal Revenue Code (501(c)(3) and classified as a public charity under I.R.C. 509(a)(1), (2), or (3), or classified as a governmental entity as described in I.R.C. 170(c)(1). **WE DO NOT FUND OR PROVIDE FUNDS FOR:** any other types of organizations; individuals; private foundations (whether operating or non-operating); carrying on of propaganda; attempting to influence legislation of any nature; attempting to influence the outcome of any specific public election; carrying on, directly or indirectly, any voter registration drives; travel; testing for public safety; or the promotion of any religion. Further, the Foundation does not make loans.

1. **MARGARET & MARTHA THOMAS FOUNDATION FUNDING OBJECTIVES**

To support organizations and projects which benefit the citizens of the cities of Wymore and Blue Springs, Nebraska and the surrounding area, including Gage County, Nebraska. Highest priority is given to the Wymore and Blue Springs area.

2. **MARGARET & MARTHA THOMAS FOUNDATION FUNDING PRIORITIES**

_____. **Human Services:** Our priority is to address areas of greatest need, which are supported by the communities, and which will benefit the greatest number. We place emphasis on joint efforts by agencies serving these communities to avoid duplication. Emphasis is also placed upon activities which may ultimately reduce the need for individual reliance upon program assistance.

_____. **Education:** Our priority is toward educational programs in Local History, Business, Art and Agriculture, including development and preservation of local library resources.

_____. **Economic Development:** Our priority is to assist in activities which have a broad effect in economic development, and which will have a lasting impact on, the communities of Wymore and Blue Springs and the surrounding area.

_____. **Grant Area:** Grants will be made only to qualified organizations operating in the Wymore, Blue Springs and Gage County areas, or to qualified organizations based outside that area if significant benefits to citizens in the Wymore or Blue Springs area can be demonstrated.

_____. **Type of Funding:** Emphasis is on grants for program funding. A low priority is assigned to grant requests for general operating support.

_____. **Grant Amounts:** Most grants are for amounts less than $25,000.00. While grants for amounts in excess of $25,000.00 can be approved, grant approval in excess of that amount must reflect a high correlation with our priorities. There must be clear evidence of community need and community support.

3. GRANT APPLICATION GUIDELINES:

A. **Problem Definition:** It is essential that a convincing case is presented for the proposed project, why it is needed, and how it will meet the needs identified. Brevity and clarity are important

B. **Applicant Qualifications:** Evidence must be presented of the organization's experience, expertise, and qualifications to undertake the proposed project.

C. **Financial Qualifications:** Evidence must be presented of financial ability, stability, and planning. We are interested in the size and capacity of the organizational budget in relationship to the project budget; the development plan in relation to existing and proposed needs; appropriate levels and use of reserve funds; and the ability to sustain the project after the grant period. Accuracy is important

D. **Collaboration:** Collaboration, when appropriate, generally strengthens an application. The role of, commitment by, and benefit to each organization and to the objective must be identified.

E. **Evaluation**: An evaluation strategy must be presented defining a rational criteria, and method of measuring the proposal's effectiveness during the grant period.

F. **Model Programs:** The way in which a program will serve as a catalyst or blueprint for others, and how funding and management will be sustained beyond the grant period must be demonstrated. Simply stating that a program can be replicated is not enough.

4. **GRANT APPLICATION PROCESS:**

A. **Submission of Grant Application:** Grant applications are to be submitted to the Foundation by delivering the same to the office of Willet & Carothers, 2205 North 6th Street, Suite 10A, Beatrice, Nebraska. That office reviews all grant applications for compliance with the rules governing the Foundation prior to submission of the application to the Board of Directors.

B. **Contact with Foundation Board Members.** Questions concerning the Application process should be directed to Willet & Carothers, attorneys for the Foundation. Grant applicants should not contact individual Board Members to discuss details of, or to lobby for, a particular grant application.

C. **Submission Schedule:** Inquiries about our priorities, the application process, and the review schedule should be made well before the beginning of the project. Although the Board meets quarterly, applications are not reviewed at every meeting. Accepted applications are generally reviewed within 1 to 3 months of receipt.

D. **Payment Schedule:** Grant checks generally are issued four times a year: April, July, October and December. Applicants may request payment at a specific date, however requesting payment on or by a specific date does not insure payment on that date, if the grant is funded.

E. **Re-application:** We do not accept a grant application for substantially the same purpose if a similar grant application has been denied in the preceding 12 month period. The same organization may submit more than one application for different purposes even though it may have had an application for a different purpose funded or denied within the preceding 12 month period.

COMPLETE AND ACCURATE SUBMISSION OF REQUESTED INFORMATION IS ESSENTIAL IN ALL REQUESTS. INCOMPLETE GRANT APPLICATIONS WILL NOT BE CONSIDERED

GRANT APPLICATION FORM

MARGARET & MARTHA THOMAS FOUNDATION

c/o Willet & Carothers
2205 North 6th Street, Suite 10A
P.O. Box 218
Beatrice, NE 68310-0218

Telephone: (402) 228-3424
Fax: (402) 228-3465

The objective of this form is to simplify the grant process and clarify Your proposal.

Please use either a computer or typewriter to prepare this form. Provide the information in the order in which it is requested, and restate the headings. Submit only one copy, and do not put it in a binder, notebook or other presentation package. Please do not send additional materials (articles, brochures, letters, etc.) unless they contribute to our understanding in an important way. Call, write or fax if you have any questions.

NOTE: **PLEASE USE OUR COVER SHEET TO COMPLETE "SUMMARY OF BUDGET FOR THIS PROPOSAL" AND "INCOME & EXPENSE SUMMARIES FOR THE ORGANIZATIONS". A TYPEWRITER SHOULD BE USED WHEN ENTERING IN THE INFORMATION FOR THESE TWO SECTIONS.**

*****SUBMITTING THIS APPLICATION IS NO ASSURANCE OF APPROVAL*****

To be funded an application must be acted upon by the entire Board of Directors. Direct contact with individual Foundation Board Members for the purpose of lobbying for approval of a grant application is not permitted.

MARGARET & MARTHA THOMAS FOUNDATION
GRANT APPLICATION FORM

COVER SHEET

Application Date ____________________, 20____
Federal Tax ID Number ________________________
For______________________________________
(Organization)

I. ORGANIZATION INFORMATION:

Organization name. If it is a collaborative application, list the fiscal agent.
Date organization actually came into existence
Address with 9-digit Zip Code
Telephone Number, Fax Number, E-Mail Address
Chief Executive Officer
Contact Person and Title if other than Chief Executive
Purpose of Request: A one paragraph summary of the amount being requested and its purpose
List above information on a separate cover sheet - ONE PAGE ONLY-
Type in the following information using this sheet. (VERY IMPORTANT)

Summary of Budget for this Proposal

A. Applicant's Contribution from Operating Funds $________________
B. Applicant's Contribution from Reserve Funds $________________
C. Amount of This Request $________________
D. Amount of Other Pending Requests $________________
E. ***Amount of Other Confirmed Requests*** $________________
F. Total Proposal Income (a+b+c+d+e=f) $________________
G. ***Total Proposal Expense:*** $________________
H. Balance $________________

(Explain positive or negative balances under II C.3 referenced on the following page)

Income & Expense Summaries for the Organization:

	Income and Expense Summary for Most Recent Complete Fiscal Year Ending: ______________, 20___	Income and Expense Budget for Current Fiscal Year Ending: ______________________, 20___
Income	$________________________	$________________________
Expense	$________________________	$________________________
Net	$________________________	$________________________
Fund Balance	$________________________	$________________________

________________________________ (Signature of Chairperson of the Board)

________________________________ (Signature of the Chief Executive Officer)

________________________________ (Print Name)

________________________________ (Print Name)

MARGARET & MARTHA THOMAS FOUNDATION
GRANT APPLICATION FORM

II. PROPOSAL NARRATIVE:

10 Pages Maximum. Clarity and brevity are encouraged

A. BACKGROUND:

1. A brief description of your agency's history and mission.
2. The needs, problems or opportunities your organization addresses.
3. Current programs and accomplishments emphasizing achievements of the past year.
4. The population your agency serves, including numbers, location, socioeconomic status, race, ethnicity, gender, sexual orientation, age, physical ability and language.
5. Number and composition (racial-ethnic-gender) of full-time and part-time staff, board and volunteers.
6. Your organization's formal relationships with agencies working toward the same objectives or providing similar services and the ways your organization differs from those agencies.

B. FUNDING REQUEST:

Provide a clear, concise statement of the amount you are requesting and its purpose. Be sure to include.

1. The need, problem or opportunity you are seeking to address.
2. The change that will occur as a result of your proposal.
3. The population you plan to serve.
4. Strategies you will employ to implement the project, including collaborations with other agencies.
5. The effect your action will have on need, problem or opportunity.
6. The names and qualifications of those who will direct the project.
7. Your work plan including key dates and actions.

C. FINANCIAL PLAN:

1. State the amount requested, when it would be needed, and if multiple annual payments would be appropriate.
2. List sources and amount of income and their status: confirmed, pending, or not yet applied for. Identify in-kind funding.
3. If you show a positive or negative balance under I. H. on the cover sheet, explain it here.
4. State your development plan for securing funding for this proposal now and, if applicable, in the future.

Present evidence of financial ability, stability, and planning with reference to the project budget, operating budget, and the organization's long-range development plan.

D. EVALUATION:

1. Define the criteria and plan for measuring the proposed objectives during the grant period.
2. Who will be involved in evaluation?
3. How will the results be used?

MARGARET & MARTHA THOMAS FOUNDATION
GRANT APPLICATION FORM

III. ATTACHMENTS: Please label all attachments.

A. FINANCIAL INFORMATION- Please provide the dates covered by each document.

1. IRS Form990 (including Schedule A) for the most recent complete fiscal year. If you do not file with the IRS indicate why.

B. OTHER SUPPORTING MATERIALS

1. A list of your Board of Directors, including addresses, phone numbers and affiliations.
2. Completion of Certification Of Exempt Status, which form is supplied with this Grant Application form and attachment thereto of your most recent IRS letter confirming your organization's tax exempt status. If the applicant is a governmental organization as defined in Section 170(c)(1) of the Internal Revenue Code, then it should complete the Certification Of Governmental status, which form is supplied with this Grant Application form.

Please do not include additional materials (articles, brochures, letters, etc.) unless they will contribute to our understanding of the proposal in an important way.

Form 990-PF, Part XV, Line 3a STATEMENT 10

Recipient Paid During the Year

Name and Addresses	Donee Relationship	Foundation Status	Purpose of Grant	Amount
Gage County Ag Society % Steve Whitwer, President 38830 S 10 Road Blue Springs NE 68318	None	501(c)(3)	Ag bldg renovation at fairgrounds	$ 15,550.
Anderson Post #25 815 West D Street Wymore NE 68466	None	501(c)(3)	Renovations-carpet	6,333.
Capital Humane Society 2320 Park Boulevard Lincoln NE 68502	None	501(c)(3)	Contribution toward building new animal adoption center	2,500.
SE NE YMCA Foundation 1801 Scott Street Beatrice NE 68310	None	501(c)(3)	Scoreboard	10,000.
City of Wymore, Nebraska 115 West E Street Wymore NE 68466	None	501(c)(3)	De-construction of downtown building	30,882.
School District #1 115 South 11th Street Wymore NE 68466	None	501(c)(3)	Bleachers	35,000.00
Welsh Heritage Centre PO Box 253 Wymore NE 68466	None	501(c)(3)	Building addition for Archive storage	25,000.00
Blue Valley Community Action PO Box 273 Fairbury NE 68352	None	501(c)(3)	iPad - for HeadStart Program at Wymore NE	750.

Form 990-PF, Part XV, Line 3a STATEMENT 10 continued

Recipient Paid During the Year

Name and Addresses	Donee Relationship	Foundation Status	Purpose of Grant	Amount
Homestead Harmonizers Society for Preservation 1600 S 9th Street Beatrice NE 68310	None	501(c)(3)	Choral risers	9,561.
School District #1 115 South 11th Street Wymore NE 68466	None	501(c)(3)	#2 College Access Grant Project	2,500.
Main Street Beatrice 204 North 4th Street Beatrice NE 68310	None	501(c)(3)	Historic Clock Project	9,000.
Good Samaritan Society-Wymore 105 East D Street Wymore NE 68466	None	501(c)(3)	Renovation of Dining Hall	50,000.
Village of Adams, Nebraska 510 Main Street Adams NE 68310	None	501(c)(3)	Furniture for Fire Hall	13,750.
City of Wymore, Nebraska 115 West E Street Wymore NE 68466	None	501(c)(3)	De-construction of downtown building	30,000.

Part II, Balance Sheet
ASSETS - Page 2

Form 990-PF Investments - Other STATEMENT 11

Description	(b) Book Value	(c) Fair Market Value
*See UBS June 2013 for details, Statement attachment		
Equities:		
Closed end funds & Exchange traded products	Numbered Pages 1 and 2	
	$ 406,389.00	$ 456,026.00
Mutual funds	Numbered Pages 3, 4, 5 and 6	
	$1,492,189.00	$1,644,795.00
Fixed income:		
Closed end funds & Exchange traded products	Numbered Pages 6	
	$ 188,230.00	$ 179,473.00
Mutual funds	Numbered Pages 7, 8 and 9	
	$1,082,947.00	$1,086,173.00
Non-traditional:	Numbered Pages 9 and 10	
Mutual funds	$ 941,823.00	$ 946,033.00
Other:	Numbered Pages 10 and 11	
Mutual funds	$ 282,590.00	$ 273,976.00
TOTAL	$4,394,168.00	$4,586,476.00

UBS Portfolio Management Program
June 2013

Account name: THE MARGARET AND MARTHA FOUNDATION
Account number:

Your Financial Advisor:
SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Your assets

Equities

Preferred securities

Holding	Trade date	Number of shares	Purchase price per share ($)	Cost basis ($)	Price per share on Jun 28 ($)	Value on Jun 28 ($)	Unrealized gain or loss ($)	Holding period
ESCROW GENERAL MTRS CORP								
Exchange OTC		300 000	---This information was unavailable---		------Price was unavailable------			

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 28 ($)	Value on Jun 28 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
POWERSHARES DYNAMIC LARGE CAP									
VALUE PORTFOLIO									
Symbol. PWV									
Trade date Jul 1, 09	606 000	14 419	8,737 95	8,737 95	25 310	15,337 86	6,599.91		
Trade date Dec 23, 09	923 000	16 833	15,537 76	15,537 76	25 310	23,361.13	7,823 37		
Trade date Jan 8, 10	1,307.000	16 918	22,112 29	22,112 29	25 310	33,080 17	10,967 88		LT

continued next page

UBS **Portfolio Management Program**
June 2013

Account name: THE MARGARET AND MARTHA FOUNDATION
Account number:

Your Financial Advisor:
SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Your assets › Equities › Closed end funds & Exchange traded products (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 28 ($)	Value on Jun 28 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Feb 22, 10	520 000	16.775	8,723.26	8,723.26	25.310	13,161 20	4,437.94		LT
Trade date Oct 26, 10	378 000	17.887	6,761 65	6,761 65	25 310	9,567 18	2,805 53		LT
Trade date Sep 18, 12	1,973 000	22 038	43,481.71	43,481.71	25.310	49,936 63	6,454.92		ST
Trade date. Oct 2, 12	180 000	21 941	3,949.49	3,949 49	25 310	4,555 80	606.31		ST
EAI. $3,097 Current yield 2 08%									
Security total	5,887 000	18.567	109,304 11	109,304.11		148,999 97	39,695 86	39,695.86	
VANGUARD INDEX FUNDS VANGUARD									
GROWTH ETF									
Symbol. VUG									
Trade date Jul 1, 09	413.000	43.793	18,086 87	18,086 87	78 150	32,275 95	14,189 08		LT
Trade date Dec 23, 09	211 000	53.189	11,222 94	11,222 94	78 150	16,489 65	5,266 71		LT
Trade date. Jan 8, 10	407.000	54 280	22,092 30	22,092 30	78 150	31,807 05	9,714.75		LT
Trade date. Feb 22, 10	164 000	52.940	8,682 16	8,682 16	78 150	12,816 60	4,134 44		LT
Trade date Oct 26, 10	69 000	57 654	3,978 14	3,978 14	78 150	5,392 35	1,414.21		LT
Trade date Sep 18, 12	588.000	73.902	43,454.49	43,454 49	78 150	45,952 20	2,497 71		ST
EAI. $2,139 Current yield 1 48%									
Security total	1,852 000	58 054	107,516 90	107,516 90		144,733.80	37,216.90	37,216 90	
WISDOMTREE EMERGING MARKETS									
EQUITY INCOM									
Symbol DEM									
Trade date Oct 26, 10	1,352 000	57.516	77,762 32	77,762 32	48 330	65,342 16	-12,420.16		LT
Trade date: Feb 24, 11	60 000	56 965	3,417 93	3,417 93	48 330	2,899 80	-518 13		LT
Trade date. Sep 18, 12	779 000	55.854	43,510 43	43,510 43	48.330	37,649.07	-5,861 36		ST
Trade date Oct 2, 12	368.000	54 198	19,945 12	19,945 12	48 330	17,785 44	-2,159.68		ST
Trade date. Mar 6, 13	799 000	56 235	44,931 82	44,931.82	48 330	38,615 67	-6,316 15		ST
EAI $6,874 Current yield 4 24%									
Security total	3,358 000	56 453	189,567 62	189,567 62		162,292 14	-27,275 48	-27,275.48	
Total			**$406,388.63**	**$406,388.63**		**$456,025.91**	**$49,637.28**	**$49,637.28**	

UBS

Portfolio Management Program
June 2013

Account name: THE MARGARET AND MARTHA FOUNDATION
Account number:

Your Financial Advisor:
SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Your assets › Equities (continued)

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 28 ($)	Value on Jun 28 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ALLIANCE BERNSTEIN									
DISCOVERY VALUE FUND									
CLASS A									
Symbol ABASX									
Trade date Feb 24, 11	2,224 149	18 220	40,524 00	40,524 00	20 050	44,594 19	4,070 19		LT
Trade date Sep 18, 12	1,644 157	17 609	28,953.60	28,953 60	20 050	32,965 35	4,011 75		ST
Trade date Oct 2, 12	653 690	17 079	11,165 02	11,165 02	20 050	13,106 48	1,941 46		ST
Total reinvested	469 847	16 458		7,732 88	20 050	9,420 43	1,687 55		
EAI $299 Current yield 0 30%									
Security total	4,991 843	17 704	80,642 62	88,375 50		100,086 45	11,710 95	19,443.83	
AMERICAN FUNDS									
SMALLCAP WORLD F-1									
Symbol SCWFX									
Trade date Mar 6, 13	3,312 240	42 699	141,432 64	141,432 64	43 650	144,579 27	3,146 63	3,146 63	ST
EAI. $1,825 Current yield 1 26%									
AMERICAN FUNDS									
EUROPACIFIC GR F-1									
Symbol AEGFX									
Trade date Jul 1, 09	1,124 565	31 970	35,952.35	35,952.35	41 810	47,018 06	11,065.71		LT
Trade date. Feb 22, 10	300 288	36 340	10,912 48	10,912 48	41 810	12,555 04	1,642 56		LT
Trade date Jun 15, 10	811 029	35 630	28,896 98	28,896 98	41 810	33,909 12	5,012 14		LT
Trade date Feb 24, 11	35 662	41.480	1,479 26	1,479 26	41 810	1,491 03	11 77		LT
Trade date. Sep 18, 12	1,088 533	39 950	43,486 91	43,486 91	41 810	45,511 56	2,024 65		ST
Trade date Mar 6, 13	903 666	42 380	38,297 38	38,297 38	41 810	37,782 27	-515 11		ST

continued next page

Your Financial Advisor:
SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Your assets › Equities › Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 28 ($)	Value on Jun 28 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Total reinvested	189 055	38 745		7,324 98	41 810	7,904 39	579.41		
EAI. $3,122 Current yield. 1.68%									
Security total	4,452 798	37.359	159,025 36	166,350.34		186,171 48	19,821 13	27,146.11	
CENTER COAST MLP FOCUS									
FUND CLASS A									
Symbol CCCAX									
Trade date Sep 18, 12	3,442 963	10 148	34,939 19	34,939 19	11 150	38,389.03	3,449.84		ST
Trade date Oct 2, 12	9,311.441	10 233	95,283 98	95,283 98	11 150	103,822 56	8,538 58		ST
Total reinvested	666.117	10 542		7,022 58	11 150	7,427.20	404 62		
EAI: $8,951 Current yield: 5.98%									
Security total	13,420 521	10 227	130,223 17	137,245 75		149,638 80	12,393 04	19,415 62	
DWS RREEF GLOBAL									
INFRASTRUCTURE FD CL A									
Symbol. TOLLX									
Trade date Mar 6, 13	11,322 980	12 489	141,424 02	141,424 02	12 430	140,744 64	-679 38		ST
Total reinvested	108 911	12 184		1,326 99	12 430	1,353 76	26 77		
EAI: $2,206 Current yield 1 55%									
Security total	11,431.891	12 487	141,424 02	142,751 01		142,098 40	-652 61	674 38	
HARTFORD MID CAP FUND									
CLASS A									
Symbol HFMCX									
Trade date Jul 1, 09	62 731	14 739	924 65	924 65	22 890	1,435 91	511 26		LT
Trade date Jan 8, 10	298 703	18 509	5,528 99	5,528 99	22 890	6,837 31	1,308.32		LT
Trade date Feb 22, 10	120 049	18 179	2,182.49	2,182 49	22.890	2,747 92	565 43		LT
Trade date. Oct 26, 10	1,394.611	20.060	27,975.90	27,975 90	22 890	31,922.64	3,946 74		LT
Trade date Sep 18, 12	1,382 033	20 949	28,953 59	28,953.59	22 890	31,634 73	2,681 14		ST
Trade date Oct 2, 12	434.093	20 489	8,894 56	8,894 56	22 890	9,936.39	1,041 83		ST
Total reinvested	667 917	17 930		11,975 89	22 890	15,288 62	3,312.73		
EAI: $35 Current yield 0 04%									
Security total	4,360.137	19 824	74,460.18	86,436 07		99,803.53	13,367 45	25,343 34	
THORNBURG INTERNATIONAL									

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UBS

Portfolio Management Program
June 2013

Account name: THE MARGARET AND MARTHA FOUNDATION
Account number:

Your Financial Advisor:
SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Your assets › Equities › Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 28 ($)	Value on Jun 28 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
VALUE FUND CLASS A									
Symbol TGVAX									
Trade date Jul 30, 09	1,969 886	22 359	44,046 65	44,046 65	27 490	54,152 16	10,105 51		LT
Trade date Jan 8, 10	1,086 248	25 449	27,645 01	27,645 01	27 490	29,860 96	2,215 95		LT
Trade date Feb 22, 10	454 876	23 990	10,912 48	10,912 48	27 490	12,504 54	1,592.06		[illegible]
Trade date Jun 15, 10	9 858	23 829	234 91	234 91	27 490	271 00	36 09		LT
Trade date Sep 18, 12	1,636 693	26 569	43,486 93	43,486 93	27 490	44,992 69	1,505.76		ST
Trade date Mar 6, 13	1,404 407	28 230	39,646 42	39,646 42	27 490	38,607 15	-1,039 27		ST
Total reinvested	169 587	25 698		4,358 12	27 490	4,661 95	303 83		
EAI $1,649 Current yield 0 89%									
Security total	6,731 555	25 303	165,972.40	170,330 52		185,050 44	14,719 93	19,078 05	
VIRTUS ALPHA SECTOR ROTATION FUND CLASS A									
Symbol. PWBAX									
Trade date Dec 23, 09	3,794 737	9 950	37,757.64	37,757 64	13 140	49,862 84	12,105.20		LT
Trade date Jan 8, 10	3,268 373	10 150	33,173 99	33,173 99	13 140	42,946 42	9,772 43		LT
Trade date Feb 22, 10	1,333 502	9 820	13,094 99	13,094 99	13 140	17,522 22	4,427 23		LT
Trade date. Oct 26, 10	292 515	10 560	3,088 96	3,088 96	13 140	3,843 65	754 69		LT
Trade date Sep 18, 12	7,082 564	12 280	86,973 89	86,973 89	13 140	93,064 89	6,091 00		[illegible]
Trade date Oct 2, 12	1,099 246	12 190	13,399 81	13,399 81	13 140	14,444 09	1,044 28		ST
Total reinvested	1,809 850	11 345		20,533 46	13 140	23,781 43	3,247 97		
EAI $3,157 Current yield 1 29%									
Security total	18,680 787	11 136	187,489 28	208,022 74		245,465.54	37,442 80	57,976 26	
VIRTUS PREMIUM ALPHA SECTOR FUND A									
Symbol VAPAX									
Trade date Feb 24, 11	7,101 318	12 610	89,547 62	89,547 62	14 810	105,170 52	15,622 90		LT
Trade date Sep 18, 12	8,547 092	13 570	115,984 04	115,984 04	14 810	126,582.43	10,598 39		ST
Trade date Oct 2, 12	10,506 330	13 480	141,625.33	141,625 33	14 810	155,598 75	13,973 42		ST
Total reinvested	307 209	13 303		4,087 03	14 810	4,549 77	462 74		

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UBS

Portfolio Management Program
June 2013

Account name: THE MARGARET AND MARTHA FOUNDATION
Account number:

Your Financial Advisor:
SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Your assets › **Equities** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 28 ($)	Value on Jun 28 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
EAI: $2,937 Current yield 0 75%									
Security total	26,461 949	13 274	347,156 99	351,244 02		391,901 46	40,657 45	44,744.48	
Total			**$1,427,826.66**	**$1,492,188.59**		**$1,644,795.37**	**$152,606.77**	**$216,968.71**	

Fixed income

Closed end funds & Exchange traded products

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

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Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 28 ($)	Value on Jun 28 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
VANGUARD BD INDEX FD INC TOTAL									
BOND MKT ETF									
Symbol. BND									
Trade date· Sep 18, 12	663 000	84 577	56,074.82	56,074 82	80 880	53,623.44	-2,451 38		ST
Trade date Oct 2, 12	1,480 000	85 008	125,812 73	125,812 73	80 880	119,702.40	-6,110 33		ST
Trade date Mar 6, 13	76 000	83 466	6,343 49	6,343 49	80 880	6,146 88	-196.61		ST
EAI· $4,536 Current yield. 2.53%									
Security total	2,219.000	84 827	188,231 04	188,231.04		179,472 72	-8,758 32	-8,758 32	

UBS

Portfolio Management Program
June 2013

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402-420-7799/800-444-0598

Your assets › Fixed income (continued)

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

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Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 28 ($)	Value on Jun 28 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ALLIANCEBERNSTEIN									
GLOBAL BOND FUND INC									
FD CL A									
Symbol ANAGX									
Trade date. Sep 18, 12	8,091 553	8 600	69,587 36	69,587 36	8 360	67,645 38	-1,941 98		ST
Trade date Oct 2, 12	18,214 149	8 640	157,370 25	157,370 25	8 360	152,270 29	-5,099 96		ST
Total reinvested	678 636	8 622		5,851 42 [2]	8 360	5,673 40	-178 02		
EAI $5,775 Current yield 2 56%									
Security total	26,984 338	8 628	226,957 61	232,809 03		225,589 06	-7,219 96	-1,368 54	
BLACKROCK STRATEGIC									
INCOME A									
Symbol BASIX									
Trade date Mar 6, 13	18,211 110	10 170	185,206 99	185,206 99	9 990	181,928 99	-3,278 00		
Total reinvested	132 343	10 206		1,350 82	9 990	1,322 11	-28 71		
EAI $5,613 Current yield 3 06%									
Security total	18,343 453	10 170	185,206 99	186,557 81		183,251 09	-3,306 71	-1,955 89	
DWS STRATEGIC HIGH									
YIELD TAX FREE CLASS A									
Symbol NOTAX									
Trade date Feb 24, 11	6,460 196	11 520	74,421 46	74,421 46	12 300	79,460 40	5,038 94		LT
Trade date Sep 18, 12	3,357 729	12 949	43,482 59	43,482 59	12 300	41,300 06	-2,182 53		ST
Total reinvested	892 091	12 536		11,184 13	12 300	10,972 72	-211 41		
EAI. $5,783 Current yield 4 39%									
Security total	10,710 016	12 053	117,904 05	129,088 18		131,733.19	2,645.00	13,829 13	

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UBS Portfolio Management Program
June 2013

Account name: THE MARGARET AND MARTHA FOUNDATION
Account number: --

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SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Your assets › **Fixed income** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 28 ($)	Value on Jun 28 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
FRANKLIN/TEMPLETON									
GLOBAL BOND FUND CLASS A									
Symbol TPINX									
Trade date Dec 23, 09	1,688 917	12 619	21,314 13	21,314.13	12.950	21,871 47	557 34		LT
Trade date Jan 8, 10	849 308	13 019	11,057.99	11,057 99	12 950	10,998 54	-59 45		LT
Trade date Feb 22, 10	336 806	12 959	4,365.00	4,365 00	12 950	4,361 64	-3.36		LT
Trade date. Oct 26, 10	202.999	13 689	2,779 05	2,779 05	12 950	2,628 84	-150 21		LT
Trade date Feb 24, 11	94 265	13 509	1,273 52	1,273 52	12 950	1,220 73	-52 79		LT
Trade date Sep 18, 12	4,339.581	13.359	57,976 80	57,976.80	12 950	56,197 57	-1,779 23		ST
Trade date Oct 2, 12	4,966 937	13 380	66,457 62	66,457 62	12 950	64,321 83	-2,135 79		ST
Total reinvested	1,404 763	13 234		18,591.30	12 950	18,191 68	-399.62		
EAI $9,912 Current yield 5 51%									
Security total	13,883 576	13.240	165,224 11	183,815 41		179,792 30	-4,023.11	14,568.19	
LOOMIS SAYLES BOND									
FUND CLASS RETAIL									
Symbol LSBRX									
Trade date· Jul 1, 09	3,006 982	11.730	35,271 90	35,271 90	14 840	44,623 61	9,351 71		LT
Trade date Jan 8, 10	2,035.714	13 580	27,645 00	27,645 00	14 840	30,210 00	2,565 00		LT
Trade date Feb 22, 10	812 547	13 429	10,912 50	10,912 50	14 840	12,058 20	1,145.70		LT
Trade date· Oct 26, 10	330 691	14 319	4,735 49	4,735 49	14 840	4,907 45	171.96		LT
Trade date Feb 24, 11	98 945	14 429	1,427 77	1,427 77	14 840	1,468.34	40 57		LT
Trade date: Sep 18, 12	3,859 973	15 020	57,976 80	57,976 80	14 840	57,282.00	-694 80		ST
Total reinvested	2,238 672	14 230		31,857 34	14 840	33,221 89	1,364 55		
EAI. $10,142 Current yield 5 52%									
Security total	12,383.524	13.714	137,969 46	169,826 80		183,771.49	13,944 69	45,802.03	
VIRTUS MULTI-SECTOR									
SHORT TERM BOND									
FUND - CLASS A									
Symbol NARAX									
Trade date: Jun 15, 10	14,738 958	4 610	67,946 60	67,946 60	4 830	71,189 17	3,242 57		LT
Trade date Oct 26, 10	981 845	4 829	4,742 31	4,742 31	4 830	4,742.31			LT
Trade date Feb 24, 11	293 500	4.840	1,420 54	1,420 54	4 830	1,417 61	-2.93		LT

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UBS

Portfolio Management Program
June 2013

Account name: THE MARGARET AND MARTHA FOUNDATION
Account number:

Your Financial Advisor:
SUMMIT WEALTH MGMT-STEVE SOREN
402-420-7799/800-444-0598

Your assets › Fixed income › Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 28 ($)	Value on Jun 28 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date. Sep 18, 12	11,760 000	4 930	57,976 80	57,976 80	4 830	56,800 80	-1,176.00		ST
Trade date Oct 2, 12	7,139 945	4 940	35,271.33	35,271 33	4 830	34,485 93	-785 40		ST
Total reinvested	2,774 280	4 863		13,492 12	4 830	13,399 77	-92.35		
EAI $7,236 Current yield 3 98%									
Security total	37,688 528	4 799	167,357 58	180,849 70		182,035 59	1,185 89	14,678 01	
Total			**$1,000,619.80**	**$1,082,946.93**		**$1,086,172.72**	**$3,225.80**	**$85,552.92**	

Non-traditional

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 28 ($)	Value on Jun 28 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
AQR MANAGED FUTURES									
STRATEGY FUND CLASS N									
Symbol AQMNX									
Trade date. Oct 26, 10	7,564 421	10 270	77,686 61	77,686 61	10 040	75,946 79	-1,739 82		LT
Trade date Feb 24, 11	204 855	10 109	2,071 08	2,071 08	10 040	2,056.74	-14.34		LT
Trade date Sep 18, 12	4,568 871	9 519	43,495 65	43,495 65	10 040	45,871 46	2,375.81		ST
Trade date Oct 2, 12	2,140 488	9 429	20,184 80	20,184 80	10 040	21,490 50	1,305.70		ST
Trade date Mar 6, 13	3,969 396	10 079	40,011 51	40,011 51	10 040	39,852 74	-158.77		ST
Total reinvested	336 588	9 866		3,320 95	10 040	3,379 34	58 39		
EAI $1,409 Current yield 0 75%									
Security total	18,784 619	9 943	183,449 65	186,770 60		188,597.57	1,826 97	5,147 92	

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Your assets › Non-traditional › Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 28 ($)	Value on Jun 28 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
JHANCOCK2 GLOBAL ABSOLUTE RETURN STRATEGIES FUND CLASS A									
Symbol JHAAX									
Trade date Mar 6, 13	25,951 930	10 910	283,135 56	283,135 56	10 840	281,318 92	-1,816.64	-1,816.64	ST
EAI· $571 Current yield 0 20%									
MAINSTAY MARKETFIELD FUND CLASS I									
Symbol: MFLDX									
Trade date Mar 6, 13	11,378 567	16 590	188,770 43	188,770 43	17 030	193,776 99	5,006.56	5,006 56	ST
PRINCIPAL GLOBAL MULTI-STRATEGY FUND CLASS A									
Symbol· PMSAX									
Trade date. Mar 6, 13	26,889.489	10.530	283,146.32	283,146 32	10 500	282,339 63	-806 69	-806.69	ST
Total			**$938,501.96**	**$941,822.91**		**$946,033.11**	**$4,210.20**	**$7,531.15**	

Other

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 28 ($)	Value on Jun 28 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
INVESCO BALANCED-RISK ALLOCATION FUND A									
Symbol ABRZX									
Trade date Sep 18, 12	3,213 053	13 049	41,930 34	41,930 34	12.050	38,717 29	-3,213 05		ST

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Your assets › Other › Mutual funds (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Jun 28 ($)	Value on Jun 28 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Oct 2, 12	7,420 245	13 080	97,056 81	97,056 81	12 050	89,413 95	-7,642 86		ST
Total reinvested	553 567	12 440		6,886.38	12.050	6,670.48	-215 90		
EAI $3,244 Current yield 2 41%									
Security total	11,186 865	13 040	138,987 15	145,873 53		134,801 72	-11,071 81	-4,185 43	
PRINCIPAL GLOBAL									
DIVERSIFIED INCOME FUND									
CLASS A									
Symbol PGBAX									
Trade date Oct 26, 10	5,477 835	13 350	73,129 10	73,129 10	13 880	76,032 35	2,903 25		LT
Trade date Sep 18, 12	3,084 797	14 100	43,495 64	43,495 64	13 880	42,816 98	-678 66		ST
Trade date Oct 2, 12	272 286	14 070	3,831.07	3,831 07	13 880	3,779 33	-51.74		ST
Total reinvested	1,192 018	13 641		16,260 93	13 880	16,545 21	284 28		
EAI $7,260 Current yield 5 22%									
Security total	10,026 936	13 635	120,455 81	136,716 74		139,173 87	2,457.13	18,718.06	
Total			**$259,442.96**	**$282,590.27**		**$273,975.59**	**-$8,614.68**	**$14,532.63**	

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