Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052
2012
Open to Public Inspection

For calendar year 2012 or tax year beginning 07/01 , 2012, and ending 06/30, 2013

Name of foundation: RENATE, HANS & MARIA HOFMANN TR P62429009

A Employer identification number: 13-7102172

Number and street (or P O box number if mail is not delivered to street address): 10 S DEARBORN IL1-0117 — Room/suite:

B Telephone number (see instructions): 866- 888-5157

City or town, state, and ZIP code: CHICAGO, IL 60603

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 45,091,141.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc, received (attach schedule)				
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	630,278.	629,200.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,746,255.			
	b Gross sales price for all assets on line 6a 20,155,319.				
	7 Capital gain net income (from Part IV, line 2)		1,746,255.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	5,171.	19,414.		STMT 1
	12 Total. Add lines 1 through 11	2,381,704.	2,394,869.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	205,756.	126,710.		79,046.
	14 Other employee salaries and wages	258,809.	NONE	NONE	258,809.
	15 Pension plans, employee benefits	20,479.	NONE	NONE	20,479.
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 2	36,746.	5,940.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	81,077.			81,077.
	21 Travel, conferences, and meetings	418.	NONE	NONE	418.
	22 Printing and publications	138,642.	NONE	NONE	138,642.
	23 Other expenses (attach schedule) STMT 3	696,028.			696,028.
	24 Total operating and administrative expenses. Add lines 13 through 23	1,437,955.	132,650.	NONE	1,274,499.
	25 Contributions, gifts, grants paid	1,123,722.			1,123,722.
	26 Total expenses and disbursements Add lines 24 and 25	2,561,677.	132,650.	NONE	2,398,221.
	27 Subtract line 26 from line 12.				
	a Excess of revenue over expenses and disbursements	-179,973.			
	b Net investment income (if negative, enter -0-)		2,262,219.		
	c Adjusted net income (if negative, enter -0-)				

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	2,929,667.	3,075,879.	3,075,879.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶ NONE			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule)	19,095,921.	17,778,599.	21,491,163.
	c Investments - corporate bonds (attach schedule)	7,994,060.	6,395,753.	3,540,284.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)	4,372,543.	7,065,588.	7,305,799.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶ ARTWORK)	1,567,099.	1,436,449.	9,678,016.
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	35,959,290.	35,752,268.	45,091,141.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)		NONE	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [ ] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ [X]			
	27 Capital stock, trust principal, or current funds	35,959,290.	35,752,268.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	35,959,290.	35,752,268.	
	31 **Total liabilities and net assets/fund balances** (see instructions)	35,959,290.	35,752,268.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	35,959,290.
2	Enter amount from Part I, line 27a	2	-179,973.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	35,779,317.
5	Decreases not included in line 2 (itemize) ▶ DEFERRED INCOME - MUTUAL FUND TIMING DIFFERENCE	5	27,049.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	35,752,268.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	20,155,319.		18,409,064.	1,746,255.
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				1,746,255.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** 1,746,255.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	2,247,486.	42,192,499.	0.053267
2010	1,466,569.	44,584,494.	0.032894
2009	1,635,354.	42,909,261.	0.038112
2008	1,634,078.	39,647,623.	0.041215
2007	2,128,482.	48,338,025.	0.044033

2	**Total** of line 1, column (d)	2	0.209521
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.041904
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	46,461,654.
5	Multiply line 4 by line 3	5	1,946,929.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	22,622.
7	Add lines 5 and 6	7	1,969,551.
8	Enter qualifying distributions from Part XII, line 4	8	2,398,221.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter ______ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	22,622.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	22,622.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	22,622.
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a: 21,900.		
b	Exempt foreign organizations - tax withheld at source — 6b: NONE		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 27,000.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	48,900.
8	Enter any **penalty** for underpayment of estimated tax. Check here [ ] if Form 2220 is attached	8	52.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	26,226.
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ 22,624. **Refunded** ▶	11	3,602.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ JPMORGAN CHASE BANK, NA Telephone no. ▶ (866)888-5157

Located at ▶ 10 S. DEARBORN, IL1-0117, CHICAGO, IL ZIP+4 ▶ 60603-2300

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☒ Yes ☐ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No			
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JPMORGAN CHASE BANK, NA 10 S. DEARBORN, IL1-0117, CHICAGO, IL 60603-2300	CO-TRUSTEE 40	168,947.	-0-	-0-
ROBERT S. WARSHAW, ESQ. 6 GUION LANE, LARCHMON, NY 10538	TRUSTEE 10	24,906.		
PATRICIA A GALLAGHER, ESQ. 530 EAST 86 ST , #7B, NEW YORK, NY 10028	TRUSTEE 10	11,903.		

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SUZANNE VILLIGER NEW YORK, NY	CR PROJECT MGR	86,667.	NONE	NONE
JULIANA KREINIK NEW YORK, NY	RESEARCH ASSISTA	52,708		
JESSIE SENTIVAN NEW YORK, NY	RESEARCH ASSISTA	52,708.		

Total number of other employees paid over $50,000 ▶ NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	35,815,302.
b	Average of monthly cash balances	1b	2,319,757.
c	Fair market value of all other assets (see instructions)	1c	9,034,133.
d	**Total** (add lines 1a, b, and c)	1d	47,169,192.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	47,169,192.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	707,538.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	46,461,654.
6	**Minimum investment return.** Enter 5% of line 5	6	2,323,083.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	2,323,083.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	22,622.		
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	22,622.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	2,300,461.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	2,300,461.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	2,300,461.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,398,221.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,398,221.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	22,622.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	2,375,599.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				2,300,461.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			1,963,863.	
b Total for prior years 20 10 ,20____, 20____		NONE		
3 Excess distributions carryover, if any, to 2012:				
a From 2007 ... NONE				
b From 2008 ... NONE				
c From 2009 ... NONE				
d From 2010 ... NONE				
e From 2011 ... NONE				
f **Total** of lines 3a through e	NONE			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 2,398,221.				
a Applied to 2011, but not more than line 2a			1,963,863.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2012 distributable amount				434,358.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	NONE			NONE
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	NONE			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				1,866,103.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	NONE			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9				
a Excess from 2008 ... NONE				
b Excess from 2009 ... NONE				
c Excess from 2010 ... NONE				
d Excess from 2011 ... NONE				
e Excess from 2012 ... NONE				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2012	Prior 3 years (b) 2011	(c) 2010	(d) 2009	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 7				1,123,722.
Total . . . . . . ▶ **3a**				1,123,722.
b *Approved for future payment*				
Total . . . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	630,278.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	5,171.	
8 Gain or (loss) from sales of assets other than inventory			18	1,746,255.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				2,381,704.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 2,381,704.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Anthony Ward | 05/13/2014

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN

Firm's name ▶ | Firm's EIN ▶

Firm's address ▶ | Phone no

SCHEDULE D (Form 1041)

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*.

OMB No 1545-0092

2012

Name of estate or trust: RENATE, HANS & MARIA HOFMANN TR P62429009

Employer identification number: 13-7102172

Note: *Form 5227 filers need to complete only Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

	(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr.)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a						

b	Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	168,266.
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4	Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet	4	( )
5	**Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	168,266.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a	LONG-TERM CAPITAL GAIN DIVIDENDS					140,993.

b	Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	1,436,996.
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9	Capital gain distributions	9	
10	Gain from Form 4797, Part I	10	
11	Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet	11	( )
12	**Net long-term gain or (loss).** Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ▶	12	1,577,989.

Part III **Summary of Parts I and II**
Caution: *Read the instructions* ***before*** *completing this part.*

			(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			168,266.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			1,577,989.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b			
c	28% rate gain	14c			891,987.
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			1,746,255.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and* **do not** *complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the* **Capital Loss Carryover Worksheet,** *as necessary*

Part IV **Capital Loss Limitation**

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 15, column (3) **or** b $3,000 ..... 16 ( )

Note: *If the loss on line 15, column (3), is more than $3,000,* **or** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* **Capital Loss Carryover Worksheet** *in the instructions to figure your capital loss carryover*

Part V **Tax Computation Using Maximum Capital Gains Rates**

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

Caution: *Skip this part and complete the* ***Schedule D Tax Worksheet*** *in the instructions if:*

- *Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18	
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20	Add lines 18 and 19	20	
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	21	
22	Subtract line 21 from line 20. If zero or less, enter -0-	22	
23	Subtract line 22 from line 17. If zero or less, enter -0-	23	
24	Enter the **smaller** of the amount on line 17 or $2,400	24	
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box ☐ **No.** Enter the amount from line 23	25	
26	Subtract line 25 from line 24	26	
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22	27	
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29	Subtract line 28 from line 27	29	
30	Multiply line 29 by 15% (.15)	30	
31	Figure the tax on the amount on line 23. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31	
32	Add lines 30 and 31	32	
33	Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34	

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*

OMB No 1545-0092

2012

Name of estate or trust: RENATE, HANS & MARIA HOFMANN TR P62429009

Employer identification number: 13-7102172

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example. 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 168. EQT CORPORATION	VAR	07/02/2012	9,015.00	8,824.00	191.00
55. EQT CORPORATION	02/22/2012	07/03/2012	2,998.00	2,874.00	124.00
81. EQT CORPORATION	VAR	07/03/2012	4,418.00	4,224.00	194.00
31. EQT CORPORATION	VAR	07/03/2012	1,698.00	1,614.00	84.00
19. EQT CORPORATION	VAR	07/05/2012	1,041.00	905.00	136.00
47. EQT CORPORATION	05/31/2012	07/05/2012	2,582.00	2,089.00	493.00
175. EMC CORP	VAR	07/06/2012	4,146.00	3,888.00	258.00
42. EQT CORPORATION	05/31/2012	07/06/2012	2,267.00	1,866.00	401.00
73. CISCO SYS INC	12/15/2011	07/12/2012	1,172.00	1,319.00	-147.00
125. HUMANA INC	VAR	07/12/2012	9,274.00	10,765.00	-1,491.00
311. MARRIOTT INTL INC NEW	VAR	07/12/2012	11,128.00	11,234.00	-106.00
225. YUM BRANDS INC	VAR	07/12/2012	14,123.00	13,088.00	1,035.00
127. CAMERON INTERNATIONAL	VAR	07/18/2012	5,719.00	7,157.00	-1,438.00
89. CAMERON INTERNATIONAL	VAR	07/18/2012	4,006.00	4,082.00	-76.00
89. CAMERON INTERNATIONAL	05/31/2012	07/18/2012	3,979.00	3,999.00	-20.00
215. DU PONT E I DE NEMOUR	VAR	07/18/2012	10,451.00	10,252.00	199.00
37500. EATON VANCE MUT FDS	02/07/2012	07/26/2012	367,500.00	375,000.00	-7,500.00
12. COLGATE PALMOLIVE CO	08/18/2011	07/27/2012	1,285.00	1,014.00	271.00
162. EXXON MOBIL CORP	VAR	07/27/2012	13,967.00	14,013.00	-46.00
358. JOHNSON & JOHNSON	VAR	07/27/2012	24,794.00	24,304.00	490.00
18. AUTOZONE INC	08/19/2011	08/03/2012	6,615.00	5,225.00	1,390.00
176. COGNIZANT TECHNOLOGY A	VAR	08/03/2012	10,123.00	10,651.00	-528.00
51. COGNIZANT TECHNOLOGY S A	05/07/2012	08/03/2012	2,919.00	2,975.00	-56.00
142. MARRIOTT INTL INC NEW	VAR	08/13/2012	5,235.00	5,015.00	220.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

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OMB No 1545-0092

2012

Name of estate or trust: RENATE, HANS & MARIA HOFMANN TR P62429009

Employer identification number: 13-7102172

Part I — Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 402. MARRIOTT INTL INC NEW	01/26/2012	08/14/2012	14,849.00	14,023.00	826.00
51044.778 THE ARBITRAGE FU	VAR	08/27/2012	670,728.00	670,000.00	728.00
24774.932 GATEWAY TR GATEW	VAR	08/27/2012	680,072.00	670,000.00	10,072.00
15791.815 MATTHEWS PACIFIC FUND	04/26/2012	08/27/2012	348,525.00	355,000.00	-6,475.00
64914.503 ROWE T PRICE INT ASIA FD*	04/26/2012	08/27/2012	999,034.00	1,025,000.00	-25,966.00
153. EXXON MOBIL CORP	VAR	09/07/2012	13,731.00	12,675.00	1,056.00
700. WALGREEN CO	VAR	09/07/2012	24,410.00	20,884.00	3,526.00
129. EXXON MOBIL CORP	05/31/2012	09/13/2012	11,775.00	10,154.00	1,621.00
37. APPLE COMPUTER INC	05/31/2012	09/19/2012	25,948.00	21,312.00	4,636.00
530. CBS CORP	VAR	09/26/2012	18,892.00	12,405.00	6,487.00
525000. DB QARN SPX 03/27/	03/09/2012	09/27/2012	554,138.00	519,750.00	34,388.00
59. ADT CORPORATION	VAR	10/04/2012	2,272.00	2,048.00	224.00
31.5 ADT CORPORATION	05/31/2012	10/05/2012	1,189.00	1,080.00	109.00
56. ADT CORPORATION	06/15/2012	10/15/2012	2,043.00	365.00	1,678.00
33.287 KRAFT FOODS GROUP I	05/31/2012	10/15/2012	1,570.00	1,349.00	221.00
82. ADT CORPORATION	06/15/2012	10/16/2012	3,061.00	534.00	2,527.00
34. MARATHON PETROLEUM COR	VAR	10/16/2012	1,876.00	1,626.00	250.00
38. MARATHON PETROLEUM COR	VAR	10/16/2012	2,092.00	1,813.00	279.00
11. MARATHON PETROLEUM COR	VAR	10/16/2012	606.00	522.00	84.00
40. MARATHON PETROLEUM COR	VAR	10/16/2012	2,211.00	1,894.00	317.00
186. MARATHON PETROLEUM CO	VAR	10/16/2012	10,185.00	8,750.00	1,435.00
100. MARATHON PETROLEUM CO	VAR	10/17/2012	5,564.00	4,688.00	876.00
143. MARATHON PETROLEUM CO	07/18/2012	10/17/2012	7,959.00	6,697.00	1,262.00
2. MARATHON PETROLEUM CORP	07/18/2012	10/17/2012	110.00	94.00	16.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*

OMB No 1545-0092

2012

Name of estate or trust: RENATE, HANS & MARIA HOFMANN TR P62429009

Employer identification number: 13-7102172

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo., day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 17. MARATHON PETROLEUM COR	07/18/2012	10/17/2012	950.00	796.00	154.00
42. MARATHON PETROLEUM COR	07/18/2012	10/17/2012	2,316.00	1,967.00	349.00
61. ABBOTT LABS	VAR	10/18/2012	4,024.00	4,242.00	-218.00
252. ABBOTT LABS	VAR	10/18/2012	16,490.00	16,685.00	-195.00
41. ABBOTT LABS	VAR	10/18/2012	2,687.00	2,541.00	146.00
258. ABBOTT LABS	VAR	10/18/2012	16,941.00	15,759.00	1,182.00
51. CENTERPOINT ENERGY INC	05/31/2012	10/19/2012	1,091.00	1,026.00	65.00
149. CENTERPOINT ENERGY IN	05/31/2012	10/19/2012	3,187.00	2,998.00	189.00
2. CAPITAL ONE FINL CORP	12/05/2011	10/22/2012	120.00	94.00	26.00
48. CAPITAL ONE FINL CORP	12/05/2011	10/22/2012	2,879.00	2,255.00	624.00
130. CAPITAL ONE FINL CORP	VAR	10/22/2012	7,792.00	6,096.00	1,696.00
18. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	1,073.00	841.00	232.00
35. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	2,085.00	1,634.00	451.00
38. CAPITAL ONE FINL CORP	VAR	10/23/2012	2,246.00	1,760.00	486.00
29. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	1,726.00	1,341.00	385.00
4. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	238.00	185.00	53.00
36. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	2,141.00	1,665.00	476.00
200. LOWES COS INC	05/31/2012	10/24/2012	6,494.00	5,358.00	1,136.00
564. ALTERA CORP	VAR	10/26/2012	17,111.00	21,611.00	-4,500.00
42. COVIDIEN PLC NEW	07/27/2012	10/26/2012	2,305.00	2,302.00	3.00
27. COVIDIEN PLC NEW	VAR	10/31/2012	1,483.00	1,394.00	89.00
17. COVIDIEN PLC NEW	05/31/2012	11/01/2012	940.00	873.00	67.00
1. COVIDIEN PLC NEW	05/31/2012	11/01/2012	55.00	51.00	4.00
8. COVIDIEN PLC NEW	05/31/2012	11/01/2012	444.00	411.00	33.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

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OMB No 1545-0092

2012

Name of estate or trust: RENATE, HANS & MARIA HOFMANN TR P62429009

Employer identification number: 13-7102172

Part I — Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 49. COVIDIEN PLC NEW	05/31/2012	11/02/2012	2,740.00	2,517.00	223.00
37. EOG RESOURCES INC	VAR	11/05/2012	4,301.00	4,199.00	102.00
19. EOG RESOURCES INC	09/07/2012	11/05/2012	2,207.00	2,140.00	67.00
65. PIONEER NAT RES CO	04/19/2012	11/05/2012	6,928.00	6,881.00	47.00
200. JOHNSON CTLS INC	05/31/2012	11/16/2012	5,012.00	5,972.00	-960.00
73. LAM RESEARCH CORPORATI	01/06/2012	11/16/2012	2,502.00	2,739.00	-237.00
137. LAM RESEARCH CORPORAT	VAR	11/19/2012	4,755.00	5,111.00	-356.00
123. LAM RESEARCH CORPORAT	VAR	11/19/2012	4,259.00	4,412.00	-153.00
65. LAM RESEARCH CORPORATI	07/06/2012	11/20/2012	2,224.00	2,295.00	-71.00
71. CSX CORP	05/29/2012	12/04/2012	1,388.00	1,533.00	-145.00
322. CSX CORP	VAR	12/04/2012	6,321.00	6,929.00	-608.00
117. CSX CORP	VAR	12/05/2012	2,318.00	2,512.00	-194.00
521. FREEPORT-MCMORAN COPP B	VAR	12/05/2012	17,088.00	23,277.00	-6,189.00
64. FREEPORT-MCMORAN COPPE B	03/20/2012	12/05/2012	2,106.00	2,497.00	-391.00
2. CARNIVAL CORPORATION	09/07/2012	12/12/2012	76.00	74.00	2.00
47. CARNIVAL CORPORATION	09/07/2012	12/12/2012	1,784.00	1,737.00	47.00
3. CARNIVAL CORPORATION	09/07/2012	12/12/2012	114.00	111.00	3.00
3. CARNIVAL CORPORATION	09/07/2012	12/12/2012	114.00	111.00	3.00
41. CARNIVAL CORPORATION	09/07/2012	12/13/2012	1,553.00	1,515.00	38.00
1. CARNIVAL CORPORATION	09/07/2012	12/13/2012	38.00	37.00	1.00
55. AT&T INC	05/31/2012	12/14/2012	1,875.00	1,871.00	4.00
333. ALTERA CORP	VAR	12/14/2012	10,953.00	12,580.00	-1,627.00
226. ALTERA CORP	VAR	12/14/2012	7,478.00	8,059.00	-581.00
23. CARNIVAL CORPORATION	09/07/2012	12/14/2012	869.00	850.00	19.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

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OMB No 1545-0092

2012

Name of estate or trust	Employer identification number
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo., day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 8. INTERCONTINENTAL EXCHAN	01/20/2012	12/14/2012	1,032.00	937.00	95.00
41. INTERCONTINENTAL EXCHA	01/20/2012	12/14/2012	5,252.00	4,804.00	448.00
22. CARNIVAL CORPORATION	09/07/2012	12/17/2012	837.00	813.00	24.00
31. CARNIVAL CORPORATION	09/07/2012	12/17/2012	1,195.00	1,145.00	50.00
69. CARNIVAL CORPORATION	VAR	12/17/2012	2,628.00	2,548.00	80.00
78. CARNIVAL CORPORATION	09/26/2012	12/17/2012	3,001.00	2,878.00	123.00
11. INTERCONTINENTAL EXCHA	01/20/2012	12/17/2012	1,407.00	1,289.00	118.00
149. CARNIVAL CORPORATION	VAR	12/18/2012	5,799.00	5,493.00	306.00
39. CARNIVAL CORPORATION	09/10/2012	12/18/2012	1,528.00	1,436.00	92.00
35. CARNIVAL CORPORATION	09/10/2012	12/18/2012	1,368.00	1,288.00	80.00
181. CARNIVAL CORPORATION	VAR	12/18/2012	7,102.00	6,663.00	439.00
145. AT&T INC	05/31/2012	12/20/2012	4,922.00	4,934.00	-12.00
10. KINDER MORGAN INC	06/29/2012	01/10/2013	371.00	322.00	49.00
9. KINDER MORGAN INC	VAR	01/10/2013	334.00	290.00	44.00
13. KINDER MORGAN INC	06/29/2012	01/10/2013	482.00	419.00	63.00
65. KINDER MORGAN INC	VAR	01/10/2013	2,410.00	2,077.00	333.00
100. LENNAR CORP	05/31/2012	01/10/2013	4,098.00	2,612.00	1,486.00
4. KINDER MORGAN INC	06/29/2012	01/11/2013	148.00	127.00	21.00
3. KINDER MORGAN INC	06/29/2012	01/11/2013	111.00	95.00	16.00
10. KINDER MORGAN INC	06/22/2012	01/11/2013	370.00	317.00	53.00
5. KINDER MORGAN INC	06/22/2012	01/11/2013	185.00	158.00	27.00
9. KINDER MORGAN INC	06/22/2012	01/11/2013	333.00	285.00	48.00
11. KINDER MORGAN INC	VAR	01/14/2013	406.00	348.00	58.00
1. LINKEDIN CORP - A	05/31/2012	01/14/2013	117.00	93.00	24.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

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OMB No 1545-0092

2012

Name of estate or trust: RENATE, HANS & MARIA HOFMANN TR P62429009

Employer identification number: 13-7102172

Part I — Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 15. LINKEDIN CORP - A	05/31/2012	01/14/2013	1,763.00	1,389.00	374.00
11. LINKEDIN CORP - A	05/31/2012	01/14/2013	1,293.00	1,019.00	274.00
2. KINDER MORGAN INC	06/22/2012	01/15/2013	74.00	63.00	11.00
3. KINDER MORGAN INC	VAR	01/15/2013	110.00	95.00	15.00
13. KINDER MORGAN INC	VAR	01/15/2013	479.00	411.00	68.00
8. KINDER MORGAN INC	VAR	01/15/2013	295.00	252.00	43.00
6. LINKEDIN CORP - A	05/31/2012	01/15/2013	707.00	556.00	151.00
17. LINKEDIN CORP - A	05/31/2012	01/15/2013	2,004.00	1,575.00	429.00
59. LINKEDIN CORP - A	05/31/2012	01/15/2013	6,950.00	5,465.00	1,485.00
100. PROCTER & GAMBLE CO	05/31/2012	01/15/2013	6,978.00	6,207.00	771.00
3. GOLDMAN SACHS GROUP INC	01/26/2012	01/16/2013	419.00	326.00	93.00
1. GOLDMAN SACHS GROUP INC	01/26/2012	01/16/2013	141.00	109.00	32.00
36. GOLDMAN SACHS GROUP IN	01/26/2012	01/16/2013	5,076.00	3,911.00	1,165.00
33. GOLDMAN SACHS GROUP IN	01/26/2012	01/16/2013	4,651.00	3,585.00	1,066.00
9. GOLDMAN SACHS GROUP INC	01/26/2012	01/16/2013	1,256.00	978.00	278.00
8. GOLDMAN SACHS GROUP INC	01/26/2012	01/16/2013	1,114.00	869.00	245.00
5. KINDER MORGAN INC	06/25/2012	01/16/2013	183.00	156.00	27.00
6. KINDER MORGAN INC	06/28/2012	01/16/2013	220.00	187.00	33.00
4. KINDER MORGAN INC	06/28/2012	01/16/2013	147.00	125.00	22.00
23. KINDER MORGAN INC	06/28/2012	01/16/2013	841.00	716.00	125.00
4. KINDER MORGAN INC	06/28/2012	01/16/2013	147.00	125.00	22.00
13. KINDER MORGAN INC	VAR	01/16/2013	477.00	405.00	72.00
11. KINDER MORGAN INC	VAR	01/16/2013	403.00	342.00	61.00
11. LINKEDIN CORP - A	05/31/2012	01/16/2013	1,289.00	1,019.00	270.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

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OMB No 1545-0092

2012

Name of estate or trust: RENATE, HANS & MARIA HOFMANN TR P62429009

Employer identification number: 13-7102172

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 7. LINKEDIN CORP - A	05/31/2012	01/16/2013	828.00	648.00	180.00
33. LINKEDIN CORP - A	05/31/2012	01/16/2013	3,873.00	3,057.00	816.00
13. LINKEDIN CORP - A	05/31/2012	01/16/2013	1,535.00	1,204.00	331.00
2. KINDER MORGAN INC	06/27/2012	01/17/2013	75.00	62.00	13.00
7. KINDER MORGAN INC	06/27/2012	01/17/2013	262.00	218.00	44.00
47. KINDER MORGAN INC	VAR	01/17/2013	1,747.00	1,460.00	287.00
3. KINDER MORGAN INC	06/27/2012	01/17/2013	112.00	93.00	19.00
12. KINDER MORGAN INC	06/27/2012	01/17/2013	446.00	372.00	74.00
1. KINDER MORGAN INC	06/25/2012	01/17/2013	37.00	31.00	6.00
13. KINDER MORGAN INC	06/25/2012	01/17/2013	484.00	403.00	81.00
9. KINDER MORGAN INC	06/25/2012	01/17/2013	335.00	279.00	56.00
2. KINDER MORGAN INC	06/25/2012	01/17/2013	74.00	62.00	12.00
2. LINKEDIN CORP - A	05/31/2012	01/17/2013	236.00	185.00	51.00
2. LINKEDIN CORP - A	05/31/2012	01/17/2013	237.00	185.00	52.00
8. LINKEDIN CORP - A	05/31/2012	01/17/2013	947.00	741.00	206.00
2. LINKEDIN CORP - A	05/31/2012	01/17/2013	237.00	185.00	52.00
13. LINKEDIN CORP - A	05/31/2012	01/17/2013	1,539.00	1,204.00	335.00
257. ORACLE CORP	04/12/2012	01/17/2013	8,923.00	7,356.00	1,567.00
5. KINDER MORGAN INC	VAR	01/18/2013	186.00	155.00	31.00
5. KINDER MORGAN INC	06/28/2012	01/18/2013	186.00	155.00	31.00
32. KINDER MORGAN INC	VAR	01/18/2013	1,190.00	992.00	198.00
16. KINDER MORGAN INC	06/27/2012	01/18/2013	597.00	496.00	101.00
3. KINDER MORGAN INC	06/27/2012	01/18/2013	112.00	93.00	19.00
22. KINDER MORGAN INC	VAR	01/18/2013	819.00	681.00	138.00

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SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

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OMB No 1545-0092

2012

Name of estate or trust: RENATE, HANS & MARIA HOFMANN TR P62429009

Employer identification number: 13-7102172

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 2. KINDER MORGAN INC	06/28/2012	01/22/2013	75.00	62.00	13.00
7. KINDER MORGAN INC	VAR	01/22/2013	263.00	217.00	46.00
13. KINDER MORGAN INC	VAR	01/22/2013	490.00	403.00	87.00
9. KINDER MORGAN INC	VAR	01/22/2013	337.00	279.00	58.00
22. KINDER MORGAN INC	VAR	01/22/2013	826.00	678.00	148.00
20. KINDER MORGAN INC	06/26/2012	01/22/2013	753.00	616.00	137.00
9. KINDER MORGAN INC	06/26/2012	01/22/2013	338.00	277.00	61.00
9. EOG RESOURCES INC	VAR	01/23/2013	1,121.00	947.00	174.00
7. KINDER MORGAN INC	06/26/2012	01/23/2013	264.00	216.00	48.00
5. KINDER MORGAN INC	06/26/2012	01/23/2013	189.00	154.00	35.00
11. KINDER MORGAN INC	06/26/2012	01/23/2013	415.00	339.00	76.00
10. KINDER MORGAN INC	06/26/2012	01/23/2013	376.00	308.00	68.00
7. KINDER MORGAN INC	06/26/2012	01/24/2013	260.00	216.00	44.00
6. KINDER MORGAN INC	06/26/2012	01/24/2013	224.00	185.00	39.00
4. KINDER MORGAN INC	06/26/2012	01/24/2013	151.00	123.00	28.00
2. KINDER MORGAN INC	06/26/2012	01/25/2013	75.00	62.00	13.00
6. KINDER MORGAN INC	VAR	01/25/2013	224.00	185.00	39.00
23. KINDER MORGAN INC	06/25/2012	01/25/2013	857.00	708.00	149.00
4. KINDER MORGAN INC	VAR	01/25/2013	149.00	123.00	26.00
31. KINDER MORGAN INC	VAR	01/28/2013	1,162.00	954.00	208.00
4. KINDER MORGAN INC	06/25/2012	01/28/2013	150.00	123.00	27.00
1. KINDER MORGAN INC	06/25/2012	01/28/2013	38.00	31.00	7.00
9. KINDER MORGAN INC	06/26/2012	01/29/2013	336.00	276.00	60.00
6. AMERICAN EXPRESS CO	10/22/2012	02/01/2013	360.00	340.00	20.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

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OMB No 1545-0092

2012

Name of estate or trust: RENATE, HANS & MARIA HOFMANN TR P62429009

Employer identification number: 13-7102172

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 31. AMERICAN EXPRESS CO	10/22/2012	02/01/2013	1,856.00	1,755.00	101.00
24. AMERICAN EXPRESS CO	VAR	02/01/2013	1,437.00	1,356.00	81.00
2. AMERICAN EXPRESS CO	10/23/2012	02/01/2013	120.00	112.00	8.00
35. AMERICAN EXPRESS CO	VAR	02/01/2013	2,097.00	1,959.00	138.00
5. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	298.00	279.00	19.00
23. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	1,364.00	1,284.00	80.00
35. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	2,081.00	1,954.00	127.00
18. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	1,072.00	1,005.00	67.00
5. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	297.00	279.00	18.00
13. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	774.00	726.00	48.00
34. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	2,023.00	1,898.00	125.00
6. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	356.00	335.00	21.00
16. AMERICAN EXPRESS CO	10/23/2012	02/05/2013	961.00	893.00	68.00
31. AMERICAN EXPRESS CO	10/23/2012	02/05/2013	1,871.00	1,731.00	140.00
9. AMERICAN EXPRESS CO	10/23/2012	02/05/2013	543.00	502.00	41.00
2. AMERICAN EXPRESS CO	10/23/2012	02/05/2013	121.00	112.00	9.00
13. AMERICAN EXPRESS CO	10/23/2012	02/05/2013	786.00	726.00	60.00
29. AMERICAN EXPRESS CO	VAR	02/05/2013	1,748.00	1,618.00	130.00
9. AMERICAN EXPRESS CO	10/23/2012	02/05/2013	542.00	501.00	41.00
92. MERCK & CO INC	05/31/2012	02/05/2013	3,776.00	3,439.00	337.00
129. MERCK & CO INC	05/31/2012	02/05/2013	5,327.00	4,822.00	505.00
78. MERCK & CO INC	05/31/2012	02/05/2013	3,225.00	2,916.00	309.00
1. MERCK & CO INC	05/31/2012	02/06/2013	41.00	37.00	4.00
158. PPG INDS INC	01/23/2013	02/07/2013	21,505.00	22,624.00	-1,119.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

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OMB No 1545-0092

2012

Name of estate or trust: RENATE, HANS & MARIA HOFMANN TR P62429009

Employer identification number: 13-7102172

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 21. TIME WARNER CABLE INC	07/27/2012	02/07/2013	1,841.00	1,776.00	65.00
179. TIME WARNER CABLE INC	07/27/2012	02/07/2013	15,695.00	15,137.00	558.00
200. NETAPP INC	03/20/2012	02/08/2013	7,188.00	9,081.00	-1,893.00
20. NETAPP INC	03/20/2012	02/08/2013	714.00	908.00	-194.00
29. NETAPP INC	03/20/2012	02/08/2013	1,044.00	1,317.00	-273.00
23. NETAPP INC	03/20/2012	02/08/2013	818.00	1,044.00	-226.00
38. TIME WARNER CABLE INC	07/27/2012	02/08/2013	3,327.00	3,213.00	114.00
302. NETAPP INC	VAR	02/11/2013	10,719.00	8,924.00	1,795.00
50. GENERAL MLS INC	04/13/2012	02/13/2013	2,144.00	1,943.00	201.00
566. GENERAL MLS INC	VAR	02/13/2013	24,291.00	21,872.00	2,419.00
69. HALLIBURTON CO	01/07/2013	02/13/2013	2,828.00	2,528.00	300.00
413. HALLIBURTON CO	VAR	02/13/2013	16,856.00	15,109.00	1,747.00
21. PIONEER NAT RES CO	04/19/2012	02/26/2013	2,540.00	2,223.00	317.00
25. PIONEER NAT RES CO	05/31/2012	02/26/2013	3,032.00	2,377.00	655.00
314. FREEPORT-MCMORAN COPP B	VAR	03/01/2013	9,853.00	10,756.00	-903.00
69. WELLS FARGO & CO NEW	03/16/2012	03/04/2013	2,445.00	2,343.00	102.00
28. WELLS FARGO & CO NEW	03/16/2012	03/04/2013	993.00	951.00	42.00
46. WELLS FARGO & CO NEW	03/16/2012	03/04/2013	1,643.00	1,562.00	81.00
47. WELLS FARGO & CO NEW	03/16/2012	03/04/2013	1,673.00	1,596.00	77.00
27. WELLS FARGO & CO NEW	03/16/2012	03/05/2013	975.00	917.00	58.00
28. WELLS FARGO & CO NEW	03/16/2012	03/05/2013	1,014.00	951.00	63.00
16. WELLS FARGO & CO NEW	03/16/2012	03/05/2013	577.00	543.00	34.00
56. WELLS FARGO & CO NEW	03/16/2012	03/05/2013	2,013.00	1,902.00	111.00
232. QUALCOMM INC	05/07/2012	03/06/2013	15,453.00	14,366.00	1,087.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

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OMB No 1545-0092

2012

Name of estate or trust: RENATE, HANS & MARIA HOFMANN TR P62429009

Employer identification number: 13-7102172

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 56. QUALCOMM INC	VAR	03/06/2013	3,737.00	3,204.00	533.00
68. QUALCOMM INC	05/31/2012	03/07/2013	4,526.00	3,860.00	666.00
67. QUALCOMM INC	05/31/2012	03/08/2013	4,481.00	3,803.00	678.00
100. JUNIPER NETWORKS INC	05/31/2012	03/15/2013	2,033.00	1,699.00	334.00
406. MICROSOFT CORP	VAR	03/15/2013	11,380.00	12,025.00	-645.00
25. CBS CORP	12/03/2012	03/19/2013	1,167.00	903.00	264.00
39. CBS CORP	12/03/2012	03/19/2013	1,783.00	1,409.00	374.00
24. CBS CORP	12/03/2012	03/19/2013	1,117.00	867.00	250.00
54. CBS CORP	12/03/2012	03/19/2013	2,473.00	1,952.00	521.00
14. CBS CORP	12/03/2012	03/19/2013	640.00	506.00	134.00
36. CBS CORP	12/03/2012	03/19/2013	1,642.00	1,301.00	341.00
21. CBS CORP	12/03/2012	03/19/2013	957.00	759.00	198.00
43. CBS CORP	VAR	03/20/2013	1,994.00	1,550.00	444.00
12. CBS CORP	12/04/2012	03/20/2013	556.00	429.00	127.00
45. CBS CORP	12/04/2012	03/20/2013	2,085.00	1,607.00	478.00
55. CBS CORP	12/04/2012	03/25/2013	2,498.00	1,965.00	533.00
118. CBS CORP	12/04/2012	03/25/2013	5,367.00	4,215.00	1,152.00
36. CBS CORP	12/04/2012	03/25/2013	1,633.00	1,286.00	347.00
38. CBS CORP	12/04/2012	03/26/2013	1,739.00	1,357.00	382.00
40. CBS CORP	12/04/2012	03/26/2013	1,821.00	1,429.00	392.00
28. CBS CORP	VAR	03/26/2013	1,270.00	1,000.00	270.00
44. CBS CORP	12/05/2012	03/28/2013	2,054.00	1,571.00	483.00
29. CBS CORP	12/05/2012	03/28/2013	1,346.00	1,035.00	311.00
1. VERTEX PHARMACEUTICALS	05/31/2012	03/28/2013	55.00	60.00	-5.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

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OMB No 1545-0092

2012

Name of estate or trust: RENATE, HANS & MARIA HOFMANN TR P62429009

Employer identification number: 13-7102172

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example· 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 5. VERTEX PHARMACEUTICALS	05/31/2012	03/28/2013	276.00	300.00	-24.00
19. VERTEX PHARMACEUTICALS	05/31/2012	03/28/2013	1,044.00	1,140.00	-96.00
29. CBS CORP	12/05/2012	04/01/2013	1,350.00	1,035.00	315.00
22. CBS CORP	12/05/2012	04/01/2013	1,005.00	785.00	220.00
17. CBS CORP	12/05/2012	04/01/2013	778.00	607.00	171.00
22. CBS CORP	VAR	04/01/2013	1,004.00	785.00	219.00
26. CBS CORP	12/05/2012	04/02/2013	1,180.00	926.00	254.00
38. CBS CORP	12/05/2012	04/03/2013	1,705.00	1,353.00	352.00
89. BAIDU.COM-ADR	VAR	04/04/2013	7,578.00	10,146.00	-2,568.00
65. CBS CORP	12/05/2012	04/04/2013	2,928.00	2,314.00	614.00
160. CAMERON INTERNATIONAL	VAR	04/04/2013	9,797.00	10,291.00	-494.00
323. CHENIERE ENERGY INC	VAR	04/04/2013	8,185.00	6,941.00	1,244.00
64. CAMERON INTERNATIONAL	VAR	04/05/2013	3,889.00	3,658.00	231.00
212. CHENIERE ENERGY INC	VAR	04/05/2013	5,451.00	3,615.00	1,836.00
100. CARDINAL HEALTH INC	05/31/2012	04/08/2013	4,252.00	4,117.00	135.00
7. CAREFUSION CORPORATION	VAR	04/08/2013	240.00	200.00	40.00
5. CAREFUSION CORPORATION	VAR	04/08/2013	171.00	142.00	29.00
1. CAREFUSION CORPORATION	09/12/2012	04/08/2013	34.00	28.00	6.00
202. CAREFUSION CORPORATIO	VAR	04/08/2013	6,923.00	5,627.00	1,296.00
27. CAREFUSION CORPORATION	09/10/2012	04/08/2013	925.00	748.00	177.00
208. PRUDENTIAL FINL INC	VAR	04/08/2013	11,549.00	9,544.00	2,005.00
47. CAREFUSION CORPORATION	VAR	04/09/2013	1,628.00	1,291.00	337.00
83. CAREFUSION CORPORATION	VAR	04/09/2013	2,870.00	2,166.00	704.00
47. CAREFUSION CORPORATION	VAR	04/09/2013	1,622.00	1,221.00	401.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

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OMB No 1545-0092

2012

Name of estate or trust: RENATE, HANS & MARIA HOFMANN TR P62429009

Employer identification number: 13-7102172

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 22. CAREFUSION CORPORATION	VAR	04/10/2013	763.00	571.00	192.00
108. CAREFUSION CORPORATIO	VAR	04/10/2013	3,746.00	2,798.00	948.00
9. CAREFUSION CORPORATION	VAR	04/10/2013	312.00	233.00	79.00
98. EMERSON ELEC CO	VAR	04/12/2013	5,481.00	5,389.00	92.00
14. EMERSON ELEC CO	VAR	04/12/2013	780.00	767.00	13.00
2462. SPDR TR UNIT SER 1	05/31/2012	04/12/2013	389,954.00	322,045.00	67,909.00
129. COGNIZANT TECHNOLOGY A	VAR	04/16/2013	9,462.00	7,419.00	2,043.00
13853.774 EATON VANCE MUT	10/31/2012	04/16/2013	127,732.00	126,069.00	1,663.00
39170.048 JPMORGAN EMERGIN DEBT FUND	VAR	04/16/2013	357,231.00	348,127.00	9,104.00
17283.951 JPMORGAN HIGH YI FUND	10/31/2012	04/16/2013	143,630.00	140,000.00	3,630.00
50. GOLDMAN SACHS GROUP IN	05/31/2012	04/19/2013	6,930.00	4,746.00	2,184.00
256. 3M CO	VAR	04/22/2013	27,053.00	24,826.00	2,227.00
147. CISCO SYS INC	02/08/2013	04/25/2013	3,028.00	3,119.00	-91.00
63. CISCO SYS INC	02/08/2013	04/25/2013	1,302.00	1,337.00	-35.00
266. CISCO SYS INC	VAR	04/25/2013	5,497.00	5,644.00	-147.00
456. CISCO SYS INC	01/16/2013	04/25/2013	9,414.00	9,629.00	-215.00
160. CISCO SYS INC	VAR	04/26/2013	3,293.00	3,297.00	-4.00
59. CISCO SYS INC	12/05/2012	04/26/2013	1,212.00	1,144.00	68.00
18. CISCO SYS INC	12/05/2012	04/26/2013	370.00	349.00	21.00
7. CISCO SYS INC	12/05/2012	04/26/2013	144.00	136.00	8.00
151. CISCO SYS INC	VAR	04/26/2013	3,108.00	2,924.00	184.00
80. CISCO SYS INC	12/05/2012	04/29/2013	1,666.00	1,549.00	117.00
148. MERCK & CO INC	03/12/2013	05/01/2013	6,772.00	6,689.00	83.00
120. MERCK & CO INC	03/12/2013	05/01/2013	5,444.00	5,423.00	21.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

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OMB No 1545-0092

2012

Name of estate or trust: RENATE, HANS & MARIA HOFMANN TR P62429009

Employer identification number: 13-7102172

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 143. AMERICAN INTL GROUP I	VAR	05/07/2013	6,397.00	5,603.00	794.00
413. AMERICAN INTL GROUP I	VAR	05/07/2013	18,380.00	16,031.00	2,349.00
75. CELGENE CORP.	05/31/2012	05/07/2013	9,194.00	5,129.00	4,065.00
165. CISCO SYS INC	VAR	05/07/2013	3,354.00	3,193.00	161.00
67. VMWARE INC-CLASS A	VAR	05/07/2013	5,138.00	5,689.00	-551.00
182. CISCO SYS INC	VAR	05/08/2013	3,748.00	3,470.00	278.00
277. CISCO SYS INC	05/31/2012	05/08/2013	5,732.00	4,526.00	1,206.00
154. CME GROUP INC	06/05/2012	05/09/2013	9,370.00	8,257.00	1,113.00
29. MICROSOFT CORP	05/31/2012	05/09/2013	949.00	844.00	105.00
53. MICROSOFT CORP	05/31/2012	05/09/2013	1,738.00	1,542.00	196.00
66. CME GROUP INC	VAR	05/10/2013	4,064.00	3,455.00	609.00
65. CME GROUP INC	VAR	05/10/2013	3,947.00	3,319.00	628.00
19. MICROSOFT CORP	05/31/2012	05/10/2013	620.00	553.00	67.00
105. MICROSOFT CORP	05/31/2012	05/10/2013	3,417.00	3,055.00	362.00
5. QUALCOMM INC	05/31/2012	05/14/2013	326.00	284.00	42.00
20. TEXAS INSTRS INC	03/07/2013	05/14/2013	730.00	704.00	26.00
5. QUALCOMM INC	05/31/2012	05/15/2013	327.00	284.00	43.00
16. TEXAS INSTRS INC	VAR	05/15/2013	588.00	563.00	25.00
16. TEXAS INSTRS INC	03/07/2013	05/15/2013	588.00	563.00	25.00
16. TEXAS INSTRS INC	03/07/2013	05/15/2013	588.00	563.00	25.00
2. QUALCOMM INC	05/31/2012	05/16/2013	131.00	114.00	17.00
2. QUALCOMM INC	05/31/2012	05/16/2013	132.00	114.00	18.00
8. TEXAS INSTRS INC	03/07/2013	05/16/2013	295.00	281.00	14.00
20. TEXAS INSTRS INC	03/07/2013	05/16/2013	737.00	703.00	34.00

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SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

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OMB No 1545-0092

2012

Name of estate or trust: RENATE, HANS & MARIA HOFMANN TR P62429009

Employer identification number: 13-7102172

Part I — Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 22. TEXAS INSTRS INC	03/07/2013	05/16/2013	805.00	774.00	31.00
23. TEXAS INSTRS INC	03/07/2013	05/16/2013	844.00	809.00	35.00
9. CHENIERE ENERGY INC	12/14/2012	05/17/2013	276.00	153.00	123.00
5. CHENIERE ENERGY INC	12/14/2012	05/17/2013	153.00	85.00	68.00
39. CHENIERE ENERGY INC	12/14/2012	05/17/2013	1,197.00	665.00	532.00
16. CHENIERE ENERGY INC	12/14/2012	05/17/2013	490.00	273.00	217.00
13. CHENIERE ENERGY INC	12/14/2012	05/17/2013	398.00	222.00	176.00
65. TEXAS INSTRS INC	VAR	05/17/2013	2,381.00	2,285.00	96.00
2. CHENIERE ENERGY INC	12/14/2012	05/20/2013	62.00	34.00	28.00
13. CHENIERE ENERGY INC	12/14/2012	05/20/2013	403.00	222.00	181.00
4. CHENIERE ENERGY INC	12/14/2012	05/20/2013	123.00	68.00	55.00
8. CHENIERE ENERGY INC	12/14/2012	05/20/2013	246.00	136.00	110.00
2. CHENIERE ENERGY INC	12/14/2012	05/20/2013	61.00	34.00	27.00
1. CHENIERE ENERGY INC	12/14/2012	05/20/2013	31.00	17.00	14.00
7. CHENIERE ENERGY INC	12/14/2012	05/20/2013	215.00	119.00	96.00
5. CHENIERE ENERGY INC	12/14/2012	05/20/2013	153.00	85.00	68.00
59. TEXAS INSTRS INC	03/06/2013	05/20/2013	2,166.00	2,071.00	95.00
9. CHENIERE ENERGY INC	12/14/2012	05/21/2013	275.00	153.00	122.00
29. CHENIERE ENERGY INC	VAR	05/21/2013	887.00	474.00	413.00
5. CHENIERE ENERGY INC	VAR	05/21/2013	153.00	80.00	73.00
30. TEXAS INSTRS INC	03/06/2013	05/21/2013	1,100.00	1,053.00	47.00
5. CHENIERE ENERGY INC	10/22/2012	05/22/2013	150.00	80.00	70.00
4. CHENIERE ENERGY INC	10/22/2012	05/22/2013	119.00	64.00	55.00
3. CHENIERE ENERGY INC	10/22/2012	05/22/2013	92.00	48.00	44.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury
Internal Revenue Service

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OMB No. 1545-0092

2012

Name of estate or trust: RENATE, HANS & MARIA HOFMANN TR P62429009

Employer identification number: 13-7102172

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 24. CHENIERE ENERGY INC	10/22/2012	05/22/2013	738.00	382.00	356.00
6. CHENIERE ENERGY INC	10/22/2012	05/22/2013	184.00	96.00	88.00
50. TEXAS INSTRS INC	03/06/2013	05/22/2013	1,794.00	1,755.00	39.00
24. CHENIERE ENERGY INC	10/22/2012	05/23/2013	700.00	382.00	318.00
10. CHENIERE ENERGY INC	10/22/2012	05/23/2013	290.00	159.00	131.00
4. CHENIERE ENERGY INC	10/22/2012	05/23/2013	116.00	64.00	52.00
320. TEXAS INSTRS INC	VAR	05/23/2013	11,488.00	11,228.00	260.00
131. TEXAS INSTRS INC	VAR	05/23/2013	4,707.00	4,586.00	121.00
228. VMWARE INC-CLASS A	VAR	05/30/2013	16,297.00	19,231.00	-2,934.00
1. WILLIAMS COS INC	01/11/2013	06/07/2013	35.00	34.00	1.00
63. WILLIAMS COS INC	01/11/2013	06/07/2013	2,219.00	2,142.00	77.00
11. WILLIAMS COS INC	01/11/2013	06/07/2013	387.00	374.00	13.00
25. WILLIAMS COS INC	01/11/2013	06/10/2013	874.00	850.00	24.00
22. WILLIAMS COS INC	VAR	06/10/2013	774.00	744.00	30.00
35. WILLIAMS COS INC	01/10/2013	06/10/2013	1,226.00	1,181.00	45.00
27. WILLIAMS COS INC	01/10/2013	06/10/2013	946.00	911.00	35.00
98. WILLIAMS COS INC	01/10/2013	06/13/2013	3,292.00	3,308.00	-16.00
96. WILLIAMS COS INC	VAR	06/13/2013	3,217.00	3,238.00	-21.00
79. WILLIAMS COS INC	VAR	06/13/2013	2,633.00	2,658.00	-25.00
108. OCCIDENTAL PETE CORP	VAR	06/21/2013	9,707.00	9,991.00	-284.00
21. ALLERGAN INC	05/07/2013	06/24/2013	1,773.00	2,195.00	-422.00
12. ALLERGAN INC	05/07/2013	06/24/2013	1,009.00	1,254.00	-245.00
30. ALLERGAN INC	05/07/2013	06/24/2013	2,506.00	3,135.00	-629.00
21. ALLERGAN INC	VAR	06/24/2013	1,800.00	2,083.00	-283.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

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OMB No 1545-0092

2012

Name of estate or trust: RENATE, HANS & MARIA HOFMANN TR P62429009

Employer identification number: 13-7102172

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 18. ALLERGAN INC	05/22/2013	06/24/2013	1,506.00	1,776.00	-270.00
6. ALLERGAN INC	05/22/2013	06/24/2013	508.00	592.00	-84.00
14. ALLERGAN INC	05/22/2013	06/24/2013	1,150.00	1,381.00	-231.00
11. ALLERGAN INC	05/22/2013	06/24/2013	945.00	1,085.00	-140.00
11. ALLERGAN INC	05/22/2013	06/25/2013	934.00	1,085.00	-151.00
5. INVESCO LTD SHS	12/14/2012	06/28/2013	159.00	127.00	32.00
16. INVESCO LTD SHS	12/14/2012	06/28/2013	509.00	408.00	101.00
12. INVESCO LTD SHS	12/14/2012	06/28/2013	383.00	306.00	77.00
28. INVESCO LTD SHS	12/14/2012	06/28/2013	891.00	714.00	177.00
16. INVESCO LTD SHS	12/14/2012	06/28/2013	510.00	408.00	102.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b 168,266.00

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	Employer identification number
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 1000. EMC CORP	VAR	07/06/2012	23,693.00	20,631.00	3,062.00
480. CVS CORP	10/11/2005	07/09/2012	22,639.00	12,200.00	10,439.00
525000. HSBC CONT BUFF EQ	06/24/2011	07/11/2012	560,690.00	519,750.00	40,940.00
500. CISCO SYS INC	11/12/2010	07/12/2012	8,028.00	10,073.00	-2,045.00
7. YUM BRANDS INC	01/09/2008	07/12/2012	439.00	260.00	179.00
200. CAMERON INTERNATIONAL	VAR	07/18/2012	9,003.00	10,594.00	-1,591.00
314. DU PONT E I DE NEMOUR	VAR	07/18/2012	15,263.00	12,715.00	2,548.00
23878.925 DELAWARE EMERGIN FUND	VAR	07/24/2012	285,114.00	364,702.00	-79,588.00
32942.02 JOHN HANCOCK II-E	07/14/2011	07/24/2012	301,749.00	387,069.00	-85,320.00
62379.11 EATON VANCE MUT F	09/16/2010	07/26/2012	611,315.00	645,000.00	-33,685.00
275. COLGATE PALMOLIVE CO	VAR	07/27/2012	29,453.00	21,606.00	7,847.00
23636.686 DREYFUS/LAUREL F	04/22/2010	08/27/2012	344,623.00	333,750.00	10,873.00
20444.979 HIGHBRIDGE DYNAM COMMODITIES	04/28/2011	08/27/2012	340,000.00	453,061.00	-113,061.00
62156.611 JPMORGAN SHORT D FUND	08/22/2008	08/27/2012	684,344.00	660,103.00	24,241.00
16694.983 JPMORGAN TREASUR FUND	08/22/2008	08/27/2012	162,275.00	165,948.00	-3,673.00
30044.164 MATTHEWS PACIFIC FUND	VAR	08/27/2012	663,075.00	540,102.00	122,973.00
14598. VANGUARD EMERGING M	VAR	08/27/2012	592,136.00	637,920.00	-45,784.00
19. EXXON MOBIL CORP	08/18/2011	09/13/2012	1,734.00	1,343.00	391.00
6. NIKE INC	01/07/2008	09/18/2012	586.00	371.00	215.00
6. NIKE INC	01/07/2008	09/18/2012	583.00	371.00	212.00
83. NIKE INC	VAR	09/18/2012	8,112.00	4,848.00	3,264.00
300000. MS BREN ASIA BASK	09/02/2011	09/19/2012	300,000.00	297,000.00	3,000.00
40. NIKE INC	10/08/2008	09/19/2012	3,995.00	2,248.00	1,747.00
31. NIKE INC	10/08/2008	09/19/2012	3,070.00	1,742.00	1,328.00
44. NIKE INC	10/08/2008	09/20/2012	4,276.00	2,472.00	1,804.00
6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b					

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side: RENATE, HANS & MARIA HOFMANN TR P62429009

Employer identification number: 13-7102172

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a	8. NIKE INC	10/08/2008	09/20/2012	784.00	450.00	334.00
	3. NIKE INC	10/08/2008	09/21/2012	290.00	169.00	121.00
	15. NIKE INC	10/08/2008	09/25/2012	1,441.00	843.00	598.00
	990. CBS CORP	VAR	09/26/2012	35,289.00	28,001.00	7,288.00
	4. NIKE INC	10/08/2008	09/26/2012	383.00	225.00	158.00
	5. NIKE INC	10/08/2008	09/27/2012	480.00	281.00	199.00
	12. ADT CORPORATION	01/13/2011	10/05/2012	463.00	728.00	-265.00
	103.5 ADT CORPORATION	VAR	10/05/2012	3,906.00	2,999.00	907.00
	146. ADT CORPORATION	VAR	10/10/2012	5,472.00	3,675.00	1,797.00
	. PENTAIR LTD		10/11/2012	6.00		6.00
	90.713 KRAFT FOODS GROUP I	VAR	10/15/2012	4,279.00	3,329.00	950.00
	36. KRAFT FOODS GROUP INC	VAR	10/15/2012	1,697.00	1,315.00	382.00
	10. KRAFT FOODS GROUP INC	08/04/2011	10/15/2012	470.00	365.00	105.00
	84. KRAFT FOODS GROUP INC	VAR	10/15/2012	3,978.00	3,012.00	966.00
	227. KRAFT FOODS GROUP INC	VAR	10/16/2012	10,635.00	8,249.00	2,386.00
	132. DU PONT E I DE NEMOUR	05/12/2010	10/17/2012	6,568.00	5,110.00	1,458.00
	100. DU PONT E I DE NEMOUR	05/12/2010	10/18/2012	5,021.00	3,871.00	1,150.00
	98. DU PONT E I DE NEMOURS	VAR	10/18/2012	4,886.00	3,708.00	1,178.00
	73. CENTERPOINT ENERGY INC	07/15/2011	10/19/2012	1,561.00	1,412.00	149.00
	5. CENTERPOINT ENERGY INC	07/15/2011	10/22/2012	106.00	97.00	9.00
	184. CENTERPOINT ENERGY IN	VAR	10/22/2012	3,906.00	3,551.00	355.00
	593. CENTERPOINT ENERGY IN	VAR	10/22/2012	12,580.00	11,141.00	1,439.00
	10. CENTERPOINT ENERGY INC	08/11/2011	10/22/2012	212.00	185.00	27.00
	12. CENTERPOINT ENERGY INC	08/11/2011	10/22/2012	254.00	221.00	33.00
	. KRAFT FOODS GROUP INC		10/22/2012	31.00		31.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

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RENATE, HANS & MARIA HOFMANN TR P62429009

Employer identification number

13-7102172

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a . APPLE COMPUTER INC		10/23/2012	24.00		24.00
142. CAPITAL ONE FINL CORP	VAR	10/23/2012	8,444.00	6,248.00	2,196.00
31. CAPITAL ONE FINL CORP	VAR	10/23/2012	1,860.00	1,294.00	566.00
6. CAPITAL ONE FINL CORP	08/18/2011	10/23/2012	361.00	250.00	111.00
12. CAPITAL ONE FINL CORP	08/18/2011	10/23/2012	720.00	499.00	221.00
3. CAPITAL ONE FINL CORP	08/18/2011	10/23/2012	180.00	125.00	55.00
53. CAPITAL ONE FINL CORP	VAR	10/24/2012	3,189.00	2,185.00	1,004.00
320. LOWES COS INC	VAR	10/24/2012	10,390.00	7,634.00	2,756.00
77. LOWES COS INC	02/19/2010	10/24/2012	2,484.00	1,777.00	707.00
49. LOWES COS INC	VAR	10/25/2012	1,560.00	1,130.00	430.00
55. LOWES COS INC	02/19/2010	10/25/2012	1,779.00	1,268.00	511.00
306. AT&T INC	VAR	11/05/2012	10,580.00	11,784.00	-1,204.00
360. LAUDER ESTEE COS INC	VAR	11/05/2012	21,386.00	18,260.00	3,126.00
17. COVIDIEN PLC NEW	07/25/2011	11/05/2012	944.00	853.00	91.00
5. COVIDIEN PLC NEW	07/25/2011	11/06/2012	279.00	251.00	28.00
74. CENTERPOINT ENERGY INC	VAR	11/07/2012	1,537.00	1,210.00	327.00
149. CENTERPOINT ENERGY IN	03/11/2011	11/07/2012	3,113.00	2,399.00	714.00
325000. GS BREN EEM 11/05/	10/19/2011	11/07/2012	374,509.00	321,750.00	52,759.00
19. CENTERPOINT ENERGY INC	03/11/2011	11/08/2012	393.00	306.00	87.00
589. CENTERPOINT ENERGY IN	VAR	11/08/2012	12,201.00	9,473.00	2,728.00
101. CENTERPOINT ENERGY IN	VAR	11/08/2012	2,067.00	1,619.00	448.00
176. CENTERPOINT ENERGY IN	03/14/2011	11/08/2012	3,655.00	2,793.00	862.00
17. GOLDMAN SACHS GROUP IN	VAR	11/08/2012	1,974.00	2,653.00	-679.00
1. GOLDMAN SACHS GROUP INC	10/14/2008	11/08/2012	116.00	123.00	-7.00
12. GOLDMAN SACHS GROUP IN	10/14/2008	11/08/2012	1,393.00	1,473.00	-80.00

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RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 4. GOLDMAN SACHS GROUP INC	10/14/2008	11/08/2012	466.00	491.00	-25.00
24. GOLDMAN SACHS GROUP IN	10/14/2008	11/08/2012	2,787.00	2,947.00	-160.00
10. GOLDMAN SACHS GROUP IN	10/14/2008	11/08/2012	1,157.00	1,228.00	-71.00
21. GOLDMAN SACHS GROUP IN	10/14/2008	11/09/2012	2,417.00	2,578.00	-161.00
42. GOLDMAN SACHS GROUP IN	VAR	11/09/2012	4,875.00	4,997.00	-122.00
72. JOHNSON CTLS INC	10/28/2011	11/15/2012	1,806.00	2,404.00	-598.00
182. JOHNSON CTLS INC	VAR	11/15/2012	4,569.00	5,904.00	-1,335.00
72. JOHNSON CTLS INC	08/09/2010	11/15/2012	1,808.00	2,174.00	-366.00
52. LAM RESEARCH CORPORATI	10/28/2011	11/15/2012	1,806.00	2,281.00	-475.00
146. JOHNSON CTLS INC	VAR	11/16/2012	3,659.00	4,370.00	-711.00
104. LAM RESEARCH CORPORAT	10/28/2011	11/16/2012	3,584.00	4,562.00	-978.00
54. LAM RESEARCH CORPORATI	10/28/2011	11/16/2012	1,851.00	2,369.00	-518.00
184. DU PONT E I DE NEMOUR	VAR	12/03/2012	7,881.00	6,758.00	1,123.00
157. DU PONT E I DE NEMOUR	VAR	12/03/2012	6,706.00	5,675.00	1,031.00
255. DU PONT E I DE NEMOUR	VAR	12/03/2012	10,851.00	9,128.00	1,723.00
16. DU PONT E I DE NEMOURS	06/01/2010	12/04/2012	677.00	573.00	104.00
144. DU PONT E I DE NEMOUR	06/01/2010	12/04/2012	6,124.00	5,153.00	971.00
. BANK OF AMERICA CORPORAT		12/05/2012	26.00		26.00
220. FREEPORT-MCMORAN COPP B	11/08/2011	12/05/2012	7,216.00	9,162.00	-1,946.00
. ASML HOLDING N.V.		12/10/2012	27.00		27.00
112. AT&T INC	12/05/2007	12/14/2012	3,816.00	4,285.00	-469.00
282. AT&T INC	12/05/2007	12/14/2012	9,616.00	10,790.00	-1,174.00
450000. GS MARKET PLUS SPX	08/12/2011	12/17/2012	545,310.00	445,050.00	100,260.00
26. INTERCONTINENTAL EXCHA	VAR	12/17/2012	3,324.00	2,906.00	418.00
32. INTERCONTINENTAL EXCHA	08/10/2011	12/17/2012	4,086.00	3,569.00	517.00

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Employer identification number

13-7102172

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo., day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a	36. INTERCONTINENTAL EXCHA	VAR	12/18/2012	4,609.00	3,890.00	719.00
	234. AT&T INC	VAR	12/20/2012	7,943.00	7,269.00	674.00
	392. AT&T INC	VAR	12/21/2012	13,245.00	11,318.00	1,927.00
	265. LENNAR CORP	VAR	01/10/2013	10,860.00	4,359.00	6,501.00
	96. LENNAR CORP	10/20/2011	01/10/2013	3,929.00	1,544.00	2,385.00
	99. PROCTER & GAMBLE CO	VAR	01/15/2013	6,908.00	6,120.00	788.00
	5. GOLDMAN SACHS GROUP INC	08/18/2011	01/16/2013	703.00	564.00	139.00
	29. GOLDMAN SACHS GROUP IN	08/18/2011	01/16/2013	4,050.00	3,271.00	779.00
	11. GOLDMAN SACHS GROUP IN	09/18/2008	01/16/2013	1,532.00	1,104.00	428.00
	34. ORACLE CORP	12/07/2010	01/16/2013	1,180.00	991.00	189.00
	41. ORACLE CORP	12/07/2010	01/16/2013	1,427.00	1,196.00	231.00
	82. ORACLE CORP	12/07/2010	01/16/2013	2,854.00	2,391.00	463.00
	14. ORACLE CORP	12/07/2010	01/16/2013	488.00	408.00	80.00
	18. ORACLE CORP	12/07/2010	01/16/2013	626.00	525.00	101.00
	52. ORACLE CORP	12/07/2010	01/17/2013	1,803.00	1,516.00	287.00
	1. ORACLE CORP	12/07/2010	01/17/2013	35.00	29.00	6.00
	58. ORACLE CORP	12/07/2010	01/17/2013	2,014.00	1,691.00	323.00
	33911.378 HIGHBRIDGE DYNAM COMMODITIES	VAR	01/18/2013	481,542.00	645,439.00	-163,897.00
	70. EOG RESOURCES INC	01/19/2012	01/23/2013	8,717.00	7,411.00	1,306.00
	377. JOHNSON CTLS INC	VAR	01/23/2013	11,566.00	11,077.00	489.00
	250000. DB 95% PPN FX BASK	07/29/2011	02/01/2013	237,500.00	250,172.00	-12,672.00
	80. MERCK & CO INC	VAR	02/06/2013	3,284.00	2,703.00	581.00
	72. MERCK & CO INC	09/14/2009	02/06/2013	2,949.00	2,357.00	592.00
	220. JOHNSON CTLS INC	VAR	02/07/2013	6,738.00	5,333.00	1,405.00
	75. MERCK & CO INC	09/14/2009	02/07/2013	3,073.00	2,456.00	617.00

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Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 73. JOHNSON CTLS INC	07/20/2006	02/08/2013	2,261.00	1,723.00	538.00
227. MERCK & CO INC	09/14/2009	02/08/2013	9,315.00	7,432.00	1,883.00
76. NETAPP INC	09/20/2011	02/08/2013	2,704.00	2,709.00	-5.00
204. NETAPP INC	09/20/2011	02/11/2013	7,241.00	7,271.00	-30.00
51982.276 JPMORGAN GROWTH FUND	VAR	02/12/2013	557,250.00	440,790.00	116,460.00
10469.745 JPMORGAN LARGE C FUND	01/28/2011	02/12/2013	263,000.00	218,085.00	44,915.00
325000. GS BREN EAFE 02/2	02/03/2012	02/21/2013	362,736.00	321,750.00	40,986.00
30. PIONEER NAT RES CO	01/19/2012	02/26/2013	3,628.00	3,027.00	601.00
107. PIONEER NAT RES CO	VAR	02/26/2013	12,978.00	9,021.00	3,957.00
2. PIONEER NAT RES CO	08/10/2011	02/26/2013	245.00	149.00	96.00
25. PIONEER NAT RES CO	08/10/2011	02/26/2013	3,056.00	1,860.00	1,196.00
16. PIONEER NAT RES CO	08/10/2011	02/26/2013	1,976.00	1,191.00	785.00
30. PIONEER NAT RES CO	VAR	02/26/2013	3,708.00	2,187.00	1,521.00
354. FREEPORT-MCMORAN COPP B	VAR	03/01/2013	11,108.00	5,310.00	5,798.00
44. PRUDENTIAL FINL INC	VAR	03/04/2013	2,429.00	2,266.00	163.00
5. PRUDENTIAL FINL INC	11/17/2009	03/04/2013	275.00	248.00	27.00
23. PRUDENTIAL FINL INC	11/17/2009	03/04/2013	1,272.00	1,143.00	129.00
22. PRUDENTIAL FINL INC	11/17/2009	03/04/2013	1,204.00	1,093.00	111.00
29. PRUDENTIAL FINL INC	11/17/2009	03/05/2013	1,652.00	1,441.00	211.00
13. PRUDENTIAL FINL INC	11/17/2009	03/05/2013	732.00	646.00	86.00
27. PRUDENTIAL FINL INC	VAR	03/05/2013	1,544.00	1,319.00	225.00
16. PRUDENTIAL FINL INC	12/11/2009	03/05/2013	912.00	779.00	133.00
15. PRUDENTIAL FINL INC	12/11/2009	03/05/2013	858.00	730.00	128.00
4. PRUDENTIAL FINL INC	12/11/2009	03/05/2013	227.00	195.00	32.00
44. WELLS FARGO & CO NEW	11/30/2007	03/05/2013	1,579.00	1,423.00	156.00

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(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 8. PRUDENTIAL FINL INC	12/11/2009	03/06/2013	458.00	389.00	69.00
9. PRUDENTIAL FINL INC	12/11/2009	03/06/2013	513.00	438.00	75.00
3. PRUDENTIAL FINL INC	12/11/2009	03/06/2013	171.00	146.00	25.00
14. PRUDENTIAL FINL INC	12/11/2009	03/06/2013	797.00	681.00	116.00
18. WELLS FARGO & CO NEW	11/30/2007	03/06/2013	648.00	582.00	66.00
87. WELLS FARGO & CO NEW	VAR	03/06/2013	3,129.00	2,790.00	339.00
165. JUNIPER NETWORKS INC	VAR	03/14/2013	3,369.00	3,254.00	115.00
103. JUNIPER NETWORKS INC	10/05/2011	03/14/2013	2,106.00	1,904.00	202.00
193. JUNIPER NETWORKS INC	10/05/2011	03/14/2013	3,954.00	3,568.00	386.00
82. JUNIPER NETWORKS INC	VAR	03/15/2013	1,667.00	1,462.00	205.00
576. JUNIPER NETWORKS INC	VAR	03/15/2013	11,629.00	9,239.00	2,390.00
32. CME GROUP INC	02/14/2012	03/18/2013	2,004.00	1,854.00	150.00
64. CME GROUP INC	02/14/2012	03/18/2013	4,016.00	3,707.00	309.00
18. CME GROUP INC	VAR	03/18/2013	1,125.00	1,028.00	97.00
23. CME GROUP INC	02/08/2012	03/19/2013	1,431.00	1,307.00	124.00
68. CME GROUP INC	02/08/2012	03/19/2013	4,179.00	3,865.00	314.00
1. CME GROUP INC	02/08/2012	03/20/2013	62.00	57.00	5.00
35. CME GROUP INC	02/08/2012	03/20/2013	2,158.00	1,990.00	168.00
7. CME GROUP INC	02/08/2012	03/20/2013	434.00	398.00	36.00
5. CME GROUP INC	02/08/2012	03/20/2013	310.00	284.00	26.00
39. CME GROUP INC	02/08/2012	03/20/2013	2,412.00	2,217.00	195.00
46. CME GROUP INC	02/08/2012	03/20/2013	2,853.00	2,615.00	238.00
11. CME GROUP INC	02/08/2012	03/20/2013	681.00	625.00	56.00
8. CME GROUP INC	02/08/2012	03/20/2013	496.00	455.00	41.00
15. CME GROUP INC	02/08/2012	03/20/2013	924.00	853.00	71.00

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Employer identification number: 13-7102172

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example. 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 350000. HSBC BREN EAFE 03	03/02/2012	03/20/2013	381,146.00	346,500.00	34,646.00
12. VERTEX PHARMACEUTICALS	12/15/2011	03/28/2013	660.00	376.00	284.00
6. VERTEX PHARMACEUTICALS	12/15/2011	03/28/2013	330.00	188.00	142.00
11. VERTEX PHARMACEUTICALS	12/15/2011	03/28/2013	603.00	345.00	258.00
7. VERTEX PHARMACEUTICALS	VAR	04/01/2013	382.00	195.00	187.00
18. VERTEX PHARMACEUTICALS	11/28/2011	04/01/2013	969.00	491.00	478.00
12. VERTEX PHARMACEUTICALS	11/28/2011	04/01/2013	650.00	327.00	323.00
5. VERTEX PHARMACEUTICALS	11/28/2011	04/02/2013	269.00	136.00	133.00
15. VERTEX PHARMACEUTICALS	11/28/2011	04/02/2013	820.00	409.00	411.00
51. VERTEX PHARMACEUTICALS	11/28/2011	04/03/2013	2,699.00	1,391.00	1,308.00
130. BAIDU.COM-ADR	09/21/2010	04/04/2013	11,069.00	11,966.00	-897.00
20. VERTEX PHARMACEUTICALS	11/28/2011	04/04/2013	1,054.00	546.00	508.00
1. VERTEX PHARMACEUTICALS	11/28/2011	04/04/2013	53.00	27.00	26.00
4. CARDINAL HEALTH INC	09/16/2011	04/08/2013	171.00	173.00	-2.00
73. CARDINAL HEALTH INC	09/16/2011	04/08/2013	3,105.00	3,151.00	-46.00
1. CARDINAL HEALTH INC	09/16/2011	04/08/2013	42.00	43.00	-1.00
11. CARDINAL HEALTH INC	09/16/2011	04/08/2013	466.00	475.00	-9.00
186. CARDINAL HEALTH INC	VAR	04/08/2013	7,909.00	6,983.00	926.00
5. PRUDENTIAL FINL INC	12/11/2009	04/08/2013	277.00	243.00	34.00
125. PRUDENTIAL FINL INC	VAR	04/08/2013	6,940.00	5,795.00	1,145.00
31. PRUDENTIAL FINL INC	06/02/2009	04/08/2013	1,717.00	1,304.00	413.00
24. PRUDENTIAL FINL INC	06/02/2009	04/08/2013	1,330.00	1,009.00	321.00
195. PRUDENTIAL FINL INC	VAR	04/08/2013	10,806.00	6,804.00	4,002.00
62. COVIDIEN PLC NEW	07/25/2011	04/08/2013	4,152.00	3,111.00	1,041.00
29. PRUDENTIAL FINL INC	04/02/2009	04/09/2013	1,622.00	649.00	973.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side	Employer identification number
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 18. COVIDIEN PLC NEW	07/25/2011	04/09/2013	1,213.00	903.00	310.00
4. COVIDIEN PLC NEW	07/25/2011	04/10/2013	271.00	201.00	70.00
23. COVIDIEN PLC NEW	VAR	04/10/2013	1,564.00	1,153.00	411.00
6. COVIDIEN PLC NEW	08/16/2011	04/11/2013	411.00	299.00	112.00
11. COVIDIEN PLC NEW	08/16/2011	04/11/2013	751.00	548.00	203.00
15. COVIDIEN PLC NEW	08/16/2011	04/11/2013	1,028.00	748.00	280.00
6. COVIDIEN PLC NEW	08/16/2011	04/11/2013	410.00	299.00	111.00
175. EMERSON ELEC CO	07/12/2011	04/12/2013	9,787.00	9,838.00	-51.00
117. EMERSON ELEC CO	05/18/2011	04/12/2013	6,523.00	6,329.00	194.00
2788. SPDR TR UNIT SER 1	11/17/2010	04/12/2013	441,588.00	329,653.00	111,935.00
22. COVIDIEN PLC NEW	08/16/2011	04/12/2013	1,492.00	1,097.00	395.00
33. BIOGEN IDEC INC	VAR	04/15/2013	6,611.00	2,952.00	3,659.00
4. COVIDIEN PLC NEW	08/16/2011	04/15/2013	265.00	199.00	66.00
66. COGNIZANT TECHNOLOGY S A	08/18/2011	04/16/2013	4,841.00	3,590.00	1,251.00
5. COGNIZANT TECHNOLOGY SO	08/18/2011	04/16/2013	368.00	272.00	96.00
3989. ISHARES MISCI EAFE I	10/14/2011	04/16/2013	238,584.00	207,268.00	31,316.00
84091.029 JPMORGAN HIGH YI FUND	VAR	04/16/2013	698,796.00	626,082.00	72,714.00
101140.609 MANNING & NAPIE	09/01/2011	04/16/2013	812,159.00	807,102.00	5,057.00
19. COVIDIEN PLC NEW	VAR	04/16/2013	1,265.00	944.00	321.00
17. COVIDIEN PLC NEW	08/18/2011	04/16/2013	1,132.00	821.00	311.00
8. COVIDIEN PLC NEW	08/18/2011	04/16/2013	532.00	387.00	145.00
350000. CS BREN EAFE 04/1	03/30/2012	04/17/2013	389,393.00	346,500.00	42,893.00
5. COVIDIEN PLC NEW	08/18/2011	04/17/2013	330.00	242.00	88.00
7. COVIDIEN PLC NEW	08/18/2011	04/17/2013	463.00	338.00	125.00
5. COVIDIEN PLC NEW	08/18/2011	04/17/2013	330.00	242.00	88.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

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RENATE, HANS & MARIA HOFMANN TR P62429009

Employer identification number
13-7102172

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 63. GOLDMAN SACHS GROUP IN	VAR	04/18/2013	8,783.00	6,232.00	2,551.00
12. COVIDIEN PLC NEW	08/18/2011	04/18/2013	793.00	580.00	213.00
167. CME GROUP INC	02/08/2012	04/19/2013	9,824.00	9,493.00	331.00
156. GOLDMAN SACHS GROUP I	VAR	04/19/2013	21,621.00	12,815.00	8,806.00
47. COGNIZANT TECHNOLOGY S A	VAR	04/25/2013	2,925.00	2,550.00	375.00
40. COGNIZANT TECHNOLOGY S A	06/16/2010	04/25/2013	2,454.00	2,161.00	293.00
2. COGNIZANT TECHNOLOGY SO	06/16/2010	04/25/2013	126.00	108.00	18.00
33. COGNIZANT TECHNOLOGY S A	06/16/2010	04/26/2013	2,041.00	1,782.00	259.00
125. COGNIZANT TECHNOLOGY A	VAR	04/26/2013	7,752.00	6,518.00	1,234.00
5. COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	310.00	241.00	69.00
10. COGNIZANT TECHNOLOGY S A	02/26/2010	04/26/2013	619.00	482.00	137.00
19. COGNIZANT TECHNOLOGY S A	02/26/2010	04/26/2013	1,181.00	916.00	265.00
12. COGNIZANT TECHNOLOGY S A	02/26/2010	04/26/2013	742.00	578.00	164.00
7. COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	433.00	337.00	96.00
17. COGNIZANT TECHNOLOGY S A	02/26/2010	04/29/2013	1,069.00	819.00	250.00
2. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	126.00	96.00	30.00
12. COGNIZANT TECHNOLOGY S A	02/26/2010	04/29/2013	751.00	578.00	173.00
20. COGNIZANT TECHNOLOGY S A	02/26/2010	04/29/2013	1,253.00	964.00	289.00
3. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	188.00	145.00	43.00
1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	63.00	48.00	15.00
11. COGNIZANT TECHNOLOGY S A	02/26/2010	04/29/2013	692.00	530.00	162.00
26. COGNIZANT TECHNOLOGY S A	02/26/2010	04/29/2013	1,628.00	1,253.00	375.00
22. COGNIZANT TECHNOLOGY S A	02/26/2010	04/29/2013	1,383.00	1,060.00	323.00
11. COGNIZANT TECHNOLOGY S A	02/26/2010	04/29/2013	688.00	530.00	158.00
4. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	251.00	193.00	58.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	Employer identification number
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 20. MERCK & CO INC	09/14/2009	05/01/2013	907.00	655.00	252.00
50. MERCK & CO INC	09/14/2009	05/01/2013	2,282.00	1,637.00	645.00
69. MERCK & CO INC	09/14/2009	05/01/2013	3,108.00	2,259.00	849.00
66. MERCK & CO INC	09/14/2009	05/01/2013	3,035.00	2,161.00	874.00
9. MERCK & CO INC	09/14/2009	05/01/2013	414.00	295.00	119.00
13. MERCK & CO INC	09/14/2009	05/01/2013	594.00	426.00	168.00
25. MERCK & CO INC	09/14/2009	05/01/2013	1,141.00	819.00	322.00
15. MERCK & CO INC	09/14/2009	05/01/2013	676.00	491.00	185.00
8. MERCK & CO INC	09/14/2009	05/01/2013	367.00	262.00	105.00
14. MERCK & CO INC	09/14/2009	05/01/2013	638.00	458.00	180.00
24. MERCK & CO INC	09/14/2009	05/01/2013	1,083.00	786.00	297.00
9. MERCK & CO INC	09/14/2009	05/01/2013	411.00	295.00	116.00
22. BIOGEN IDEC INC	08/18/2011	05/07/2013	4,702.00	1,926.00	2,776.00
5. BIOGEN IDEC INC	08/18/2011	05/07/2013	1,063.00	438.00	625.00
7. BIOGEN IDEC INC	08/18/2011	05/07/2013	1,504.00	613.00	891.00
94. CELGENE CORP.	03/30/2011	05/07/2013	11,524.00	5,338.00	6,186.00
247. CISCO SYS INC	12/15/2011	05/08/2013	5,086.00	4,462.00	624.00
500000. GS BREN SPX 05/08/	04/20/2012	05/08/2013	561,000.00	495,000.00	66,000.00
54. CME GROUP INC	VAR	05/09/2013	3,300.00	3,070.00	230.00
7. CME GROUP INC	02/08/2012	05/09/2013	426.00	398.00	28.00
51. MICROSOFT CORP	08/06/2008	05/10/2013	1,659.00	1,374.00	285.00
337. MERCK & CO INC	09/14/2009	05/13/2013	15,549.00	11,034.00	4,515.00
313. MICROSOFT CORP	08/06/2008	05/13/2013	10,262.00	8,434.00	1,828.00
4. QUALCOMM INC	09/29/2011	05/16/2013	264.00	203.00	61.00
4. QUALCOMM INC	09/29/2011	05/16/2013	263.00	203.00	60.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

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RENATE, HANS & MARIA HOFMANN TR P62429009

Employer identification number
13-7102172

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 53. EOG RESOURCES INC	04/24/2012	05/17/2013	7,142.00	5,531.00	1,611.00
11. QUALCOMM INC	09/29/2011	05/17/2013	724.00	559.00	165.00
35. BIOGEN IDEC INC	VAR	05/20/2013	7,885.00	2,844.00	5,041.00
121. EXXON MOBIL CORP	08/18/2011	05/20/2013	11,187.00	8,552.00	2,635.00
10. QUALCOMM INC	09/29/2011	05/20/2013	663.00	509.00	154.00
6. QUALCOMM INC	09/29/2011	05/21/2013	395.00	305.00	90.00
45. AMAZON COM INC	VAR	05/22/2013	11,985.00	8,121.00	3,864.00
25. AMAZON COM INC	VAR	05/22/2013	6,628.00	4,321.00	2,307.00
40. AMAZON COM INC	VAR	05/22/2013	10,626.00	6,315.00	4,311.00
8. QUALCOMM INC	09/29/2011	05/22/2013	522.00	407.00	115.00
76. QUALCOMM INC	09/29/2011	05/24/2013	4,876.00	3,865.00	1,011.00
86. EXXON MOBIL CORP	VAR	05/31/2013	7,889.00	5,949.00	1,940.00
410. MICROSOFT CORP	VAR	06/06/2013	14,251.00	10,617.00	3,634.00
15264. POWERSHARES DB COMM TRACKING FUND	09/01/2011	06/07/2013	401,513.00	433,666.00	-32,153.00
4765. SPDR GOLD TRUST	VAR	06/07/2013	635,497.00	596,520.00	38,977.00
236. METLIFE INC	VAR	06/13/2013	10,534.00	10,960.00	-426.00
4. ALLERGAN INC	05/31/2012	06/25/2013	340.00	360.00	-20.00
45. ALLERGAN INC	VAR	06/25/2013	3,785.00	3,977.00	-192.00
1. ARTWORK	01/01/2012	06/30/2013	983,660.00	130,650.00	853,010.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b 1,436,996.00

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
POWERSHARES K1 INCOME		14,243.
ROYALTY INCOME	5,171.	5,171.
TOTALS	5,171.	19,414.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	213.	213.
FEDERAL TAX PAYMENT - PRIOR YE	8,906.	
FEDERAL ESTIMATES - INCOME	21,900.	
FOREIGN TAXES ON QUALIFIED FOR	5,348.	5,348.
FOREIGN TAXES ON NONQUALIFIED	379.	379.
TOTALS	36,746.	5,940.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
STORAGE FEES	67,337.	67,337.
ART ADVISORY FEES-ANI BOYAJIAN	32,616.	32,616.
ART ADVISORY FEES-KAREN WILKIN	12,000.	12,000.
ART ADVISORY FEES-STACY GERSHO	49,583.	49,583.
MISC EXPENSES	39,686.	39,686.
OTHER OFFICE EXPENSE	2,631.	2,631.
UTILITIES	4,378.	4,378.
NYG+ALM FEES	2,250.	2,250.
TRAVEL	8,065.	8,065.
OFFICE SUPPLIES AND MAINT	41,184.	41,184.
INSURANCE	44,579.	44,579.
ART ADVISORY FEES-WARSHAW	33,333.	33,333.
ART ADVISORY FEES-GALLAGHER	66,667.	66,667.
PAYROLL ADMINISTRATIVE EXPENSE	1,646.	1,646.
FEE FOR ACTING REGISTRAR-STACY	10,000.	10,000.
SHIPPING AND INSURANCE EXPENSE	240,073.	240,073.
NY EXHIBITION EXPENSE	40,000.	40,000.
TOTALS	696,028.	696,028.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
VERBAND DER DIOEZESEN
.
ADDRESS:
DEUTSCHLANDS
DEUTSCHLANDS, . GERMANY .
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
P
AMOUNT OF GRANT PAID .... 550,000.

RECIPIENT NAME:
HENRY STREET SETTLEMENT
.
ADDRESS:
.
NEW YORK, NY
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
P
AMOUNT OF GRANT PAID .... 25,000.

RECIPIENT NAME:
PROVINCETOWN ART ASSOCIATION
.
ADDRESS:
.
PROVINCETOWN, MA
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
P
AMOUNT OF GRANT PAID .... 25,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
GRAHAM WINDHAM
.
ADDRESS:
.
NEW YORK, NY
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
P
AMOUNT OF GRANT PAID .... 15,000.

RECIPIENT NAME:
UNITED STATES FUND FOR UNICEF
.
ADDRESS:
.
NEW YORK, NY
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
P
AMOUNT OF GRANT PAID .... 10,000.

RECIPIENT NAME:
THE PAINTING CENTER, INC
.
ADDRESS:
.
NEW YORK, NY
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
P
AMOUNT OF GRANT PAID .... 6,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
ART BEYOND SIGHT
.
ADDRESS:
.
NEW YORK, NY
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 30,000.

RECIPIENT NAME:
STAEDELSCHES KUNSTINSTITUT
AND STAEDTISCHE GALERIE
ADDRESS:
.
FRANKFURT, . GERMANY .
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 170,000.

RECIPIENT NAME:
FOUNTAIN HOUSE
.
ADDRESS:
.
NEW YORK, NY .
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 25,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
WHITNEY MUSEUM OF AMERICAN ART
.
ADDRESS:
.
NEW YORK, NY .
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 25,000.

RECIPIENT NAME:
METROPOLITAN MUSEUM OF ART
.
ADDRESS:
.
NEW YORK, NY .
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 25,000.

RECIPIENT NAME:
Museum Pfalzgalerie Kaiserslautern
ADDRESS:
.
Kaiserslautern, GERMANY
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
GENERAL
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 217,722.

TOTAL GRANTS PAID: 1,123,722.

Renate, Hans & Maria Hofmann Trust P62429009, P62430007

GRANTS for Fiscal Year End 6/30/13

7/18/2012	$ 209,003 00	Museum Pfalzgalerie Kaiserslautern grant for Exhibition (168,514 euros) Dr Britta E Buhlmann Museum Pfalzgalerie Kaiserslautern Museumsplatz 1 Kaiserslautern 67657 Germany
12/17/2012	$ 275,000 00	German Church· Verband der Dioezesen Benno Wagner Verband der Diozesen Deutschlands Kaiserstr 161 53113 Bonn Germany
2/15/2013	$ 10,000 00	US Fund for UNICEF 125 Maiden Lane New York, NY 10038
2/15/2013	$ 25,000 00	Provincetown Art Association and Museum 460 Commercial St Provincetown, MA 02657
2/15/2013	$ 6,000 00	The Painting Center 547 West 27th St New York, NY 10001
2/15/2013	$ 25,000 00	Henry Street Settlement 265 Henry St New York, NY 10002
2/15/2013	$ 15,000 00	Graham Windham 33 Irving Place New York, NY 10003
2/19/2013	$ 8,719 00	Museum Pfalzgalerie Kaiserslautern grant for Exhibition (6,400 euros) see above
5/8/2013	$ 275,000 00	German Church Verband der Dioezesen see above
6/26/2013	$ 170,000 00	Stadel Museum Stadelscher Museums-Verein e V Durerstrasse 2 60596 Frankfurt am Main Germany
6/26/2013	$ 30,000 00	Art Beyond Sight 589 Broadway, 3rd Floor New York, NY 10012
6/26/2013	$ 25,000 00	Fountain House, Inc 425 West 47th St New York, NY 10036
6/26/2013	$ 25,000 00	Whitney Museum of American Art 945 Madison Ave New York, NY 10021
6/26/2013	$ 25,000 00	Metropolitan Museum of Art 1000 Fifth Ave New York, NY 10028
	$ 1,123,722 00	

Account Summary CONTINUED

Portfolio Activity	PRINCIPAL Current Period Value	PRINCIPAL Year-to-Date Value	INCOME Current Period Value	INCOME Year-to-Date Value
Beginning Market Value	**36,293,397.68**	**33,036,765.61**	**47,964.49**	**40,128.00**
Additions		983,809 02		869,626.52
Withdrawals & Fees	(322,367 75)	(1,988,038 52)	(65,940 23)	(1,473,529.34)
Securities Transferred In		22,048.38	--	--
Securities Transferred Out		(27,195.75)	--	--
Net Additions/Withdrawals	**($322,367.75)**	**($1,009,376.87)**	**($65,940.23)**	**($603,902.82)**
Income	430 23	12,393 88	38,275.76	584,074.84
Change In Investment Value	(677,276 63)	3,254,400 91		
Ending Market Value	**$35,294,183.53**	**$35,294,183.53**	**$20,300.02**	**$20,300.02**
Accruals	--	--	11,394 06	11,394 06
Market Value with Accruals	--	--	**$31,694.08**	**$31,694.08**

Account Summary CONTINUED

Tax Summary	Current Period Value	Year-to-Date Value
Domestic Dividends/Distributions	24,160 00	562,800 82
Foreign Dividends	689 13	12,882 57
Interest Income	41 10	454 13
Original Issue Discount	430 23	6,945 67
Taxable Income	**$25,320.46**	**$583,083.19**
Tax-Exempt Income	13,385.53	13,385 53
Tax-Exempt Income	**$13,385.53**	**$13,385.53**

	Current Period Value	Year-to-Date Value
LT Capital Gain Distributions		138,459 03
ST Realized Gain/Loss	(2,085 88)	164,123 28
LT Realized Gain/Loss	(16,377 32)	559,892 02
Realized Gain/Loss	**($18,463.20)**	**$862,474.33**

	To-Date Value
Unrealized Gain/Loss	**$3,452,418.89**

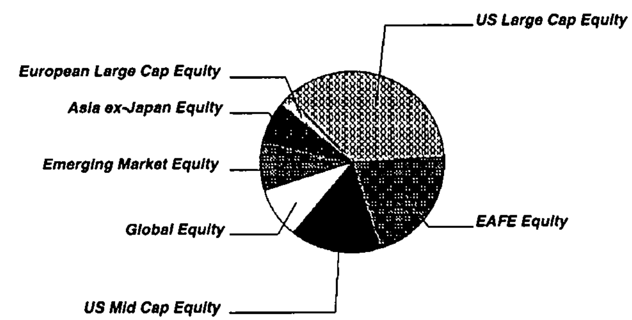
Cost Summary	Cost
Equity	17,778,599 11
Cash & Fixed Income	6,541,650 49
Other	1 00
Total	**$24,320,250.60**

Equity Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
US Large Cap Equity	8,643,780 52	8,876,195 98	232,415.46	22%
US Mid Cap Equity	3,059,523 88	3,024,666 99	(34,856 89)	9%
EAFE Equity	4,340,463 76	4,255,671 23	(84,792 53)	12%
European Large Cap Equity	382,735 50	368,788 00	(13,947 50)	1%
Asia ex-Japan Equity	1,644,042 38	1,557,914 07	(86,128 31)	4%
Emerging Market Equity	1,734,380.38	1,606,700 95	(127,679 43)	5%
Global Equity	1,839,549.52	1,801,225.58	(38,323 94)	5%
Total Value	**$21,644,475.94**	**$21,491,162.80**	**($153,313.14)**	**58%**

Market Value/Cost	Current Period Value
Market Value	21,491,162 80
Tax Cost	17,778,599 11
Unrealized Gain/Loss	3,712,563 69
Estimated Annual Income	274,797 77
Accrued Dividends	7,715 05
Yield	1 27%

Asset Categories



Equity as a percentage of your portfolio - 58 %

Note. P indicates position adjusted for Pending Trade Activity

Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc / Accrued Div	Yield
US Large Cap Equity							
ACE LTD NEW H0023R-10-5 ACE	89.48	805 000	72,031.40	48,546 99	23,484 41	1,642 20	2 28%
ADOBE SYSTEMS INC 00724F-10-1 ADBE	45.56	701 000	31,937 56	24,959 41	6,978 15		
ALEXION PHARMACEUTICALS INC 015351-10-9 ALXN	92 24	153 000	14,112.72	14,787.71	(674 99)		
ALLERGAN INC 018490-10-2 AGN	84 24	316.000	26,619 84	26,825 86	(206.02)	63.20	0 24%
ALLIANCE DATA SYSTEMS CORP 018581-10-8 ADS	181.03	117 000	21,180 51	20,662 33	518.18		
AMAZON COM INC 023135-10-6 AMZN	277.69	195 000	54,149 55	20,375 16	33,774 39		
ANADARKO PETROLEUM CORP 032511-10-7 APC	85 93	559 000	48,034 87	40,581 02	7,453 85	201.24	0 42%
APACHE CORP 037411-10-5 APA	83 83	350 000	29,340 50	26,992.26	2,348.24	280.00	0 95%
APPLE INC. 037833-10-0 AAPL	396 53	433 000	171,697 49	76,196.20	95,501.29	5,282 60	3 08%
APPLIED MATERIALS INC 038222-10-5 AMAT	14.92	2,141 000	31,943.72	30,669.41	1,274 31	856 40	2.68%
ARCHER DANIELS MIDLAND CO 039483-10-2 ADM	33 91	1,003 000	34,011 73	32,815 92	1,195.81	762.28	2 24%

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div.	Yield

US Large Cap Equity

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div.	Yield
ASML HOLDING N.V. N07059-21-0 ASML	79.11	484 000	38,289 24	33,346.75	4,942 49	284.10	0 74%
AUTOZONE INC 053332-10-2 AZO	423 69	89.000	37,708 41	28,225.98	9,482.43		
AVAGO TECHNOLOGIES LTD Y0486S-10-4 AVGO	37 38	2,229 000	83,320 02	77,715 88	5,604.14	1,872.36 428 40	2 25%
AXIALL CORPORATION 05463D-10-0 AXLL	42 58	646 000	27,506 68	35,948 61	(8,441 93)	206 72 51 68	0.75%
BANK OF AMERICA CORP 060505-10-4 BAC	12 86	7,170.000	92,206 20	89,368.75	2,837.45	286.80	0 31%
BIOGEN IDEC INC 09062X-10-3 BIIB	215 20	292 000	62,838.40	16,393.93	46,444.47		
BNP REN SPX 07/31/13 2 XLEV- 10 25%CAP- 20 5%MAXPYMT INITIAL LEVEL-07/13/12 SPX·1356 78 05567L-6D-4	119 91	650,000.000	779,422 90	643,500 00	135,922 90		
BRISTOL MYERS SQUIBB CO 110122-10-8 BMY	44 69	1,748 000	78,118 12	69,455 81	8,662 31	2,447 20	3 13%
BROADCOM CORP CL A 111320-10-7 BRCM	33 80	998 000	33,727.41	33,306 10	421 31	439.12	1 30%
CAMERON INTERNATIONAL CORPORATION 13342B-10-5 CAM	61 16	486 000	29,723 76	26,331 20	3,392 56		
CAPITAL ONE FINANCIAL CORP 14040H-10-5 COF	62 81	448 000	28,138 88	23,992 32	4,146.56	537.60	1 91%
CARDINAL HEALTH INC 14149Y-10-8 CAH	47 20	675 000	31,860 00	19,645.61	12,214.39	816.75 204 19	2.56%

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc Accrued Div.	Yield
US Large Cap Equity							
CAREFUSION CORPORATION 14170T-10-1 CFN	36 85	581 000	21,409.85	14,716 04	6,693 81		
CELGENE CORPORATION 151020-10-4 CELG	116 98	456 000	53,342.88	22,218 06	31,124 82		
CERNER CORP 156782-10-4 CERN	96 09	324 000	31,133.16	25,893 75	5,239 41		
CHENIERE ENERGY INC 16411R-20-8 LNG	27 76	725 000	20,126 00	11,397 43	8,728 57		
CISCO SYSTEMS INC 17275R-10-2 CSCO	24.34	2,924 000	71,155 54	50,286.77	20,868.77	1,988.32	2 79%
CITIGROUP INC NEW 172967-42-4 C	47 97	1,959 000	93,973 23	66,626 83	27,346 40	78.36	0.08%
CITRIX SYSTEMS INC 177376-10-0 CTXS	60.36	367 000	22,152.12	22,434 15	(282.03)		
COMCAST CORP CL A 20030N-10-1 CMCS A	41 75	2,171 000	90,639.25	51,826 60	38,812 65	1,693.38	1 87%
COSTCO WHOLESALE CORP 22160K-10-5 COST	110.57	205 000	22,666.85	18,673.25	3,993.60	254.20	1 12%
COVIDIEN PLC G2554F-11-3 COV	62.84	494 000	31,042 96	19,732 43	11,310 53	513.76	1 65%
CSX CORP 126408-10-3 CSX	23.19	1,416 000	32,837 04	30,052 83	2,784 21	849 60	2 59%
CVS CAREMARK CORPORATION 126650-10-0 CVS	57.18	909 000	51,976.62	51,868 11	108 51	818 10	1 57%
DAVITA HEALTHCARE PARTNERS, INC 23918K-10-8 DVA	120.80	260 000	31,408 00	28,742.09	2,665.91		

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc Accrued Div.	Yield

US Large Cap Equity

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc Accrued Div.	Yield
DISH NETWORK CORP CL A 25470M-10-9 DISH	42 52	820 000	34,866.40	30,684.04	4,182 36		
DOW CHEMICAL CO 260543-10-3 DOW	32 17	1,689 000	54,335 13	52,788 99	1,546 14	2,161.92 540.48	3.98%
EDISON INTERNATIONAL 281020-10-7 EIX	48 16	348 000	16,759.68	16,347 23	412 45	469 80 117 45	2.80%
EMERSON ELECTRIC CO 291011-10-4 EMR	54.54	813 000	44,341 02	38,889 69	5,451 33	1,333 32	3 01%
ENSCO PLC G3157S-10-6 ESV	58 12	647 000	37,603 64	31,383.62	6,220 02	1,132 25	3 01%
EOG RESOURCES INC 26875P-10-1 EOG	131 68	219 000	28,837.92	15,258.97	13,578.95	164.25	0 57%
EXXON MOBIL CORP 30231G-10-2 XOM	90 35	874 000	78,965 90	40,672.78	38,293 12	2,202.48	2 79%
FLUOR CORP 343412-10-2 FLR	59 31	807 000	47,863 17	41,197.77	6,665.40	516 48 129.12	1.08%
GENERAL MILLS INC 370334-10-4 GIS	48.53	766 000	37,173 98	24,400 42	12,773 56	1,164 32	3 13%
GENERAL MOTORS CO 37045V-10-0 GM	33.31	2,047 000	68,185.57	59,332 06	8,853 51		
GOOGLE INC CL A 38259P-50-8 GOOG	880 37	171 000	150,543 27	119,537.01	31,006 26		
HARTFORD FINANCIAL SERVICES GROUP INC 416515-10-4 HIG	30 92	694 000	21,458.48	20,275 47	1,183 01	277.60 69.40	1.29%

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc. Accrued Div	Yield
US Large Cap Equity							
HOME DEPOT INC 437076-10-2 HD	77 47	941.000	72,899 27	38,683.49	34,215.78	1,467.96	2.01%
HONEYWELL INTERNATIONAL INC 438516-10-6 HON	79 34	1,129.000	89,574 86	54,961 94	34,612 92	1,851 56	2 07%
HUMANA INC 444859-10-2 HUM	84 38	400 000	33,752 00	30,037 63	3,714 37	432.00 108.00	1 28%
INTERCONTINENTAL EXCHANGE INC 45865V-10-0 ICE	177 76	206 000	36,618 56	31,355 21	5,263 35		
INTUITIVE SURGICAL INC 46120E-60-2 ISRG	506.13	44 000	22,269.81	23,744 10	(1,474 29)		
P **INVESCO LTD** G491BT-10-8 IVZ	31.80	1,548 000	49,226 40	30,975.92	18,250 48	1,393 20	2.83%
JOHNSON & JOHNSON 478160-10-4 JNJ	85 86	1,909 000	163,906 74	146,886 58	17,020.16	5,039 76	3.07%
JOHNSON CONTROLS INC 478366-10-7 JCI	35 79	1,228.000	43,950 12	26,237 61	17,712 51	933.28 233.32	2.12%
JPM GROWTH ADVANTAGE FD - SEL FUND 1567 4812A3-71-8 JGAS X	11 58	50,412.678	583,778 81	402,085 03	181,693 78	6,402.41	1.10%
JPM LARGE CAP GROWTH FD - SEL FUND 3118 4812C0-53-0 SEEG X	25.94	21,507.926	557,915.60	448,010 11	109,905.49	4,473 64	0.80%
LAM RESEARCH CORP 512807-10-8 LRCX	44.34	786 000	34,851 24	33,136.30	1,714.94		
LENNAR CORP 526057-10-4 LEN	36 04	539.000	19,425.56	7,588 03	11,837 53	86.24	0 44%

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div	Yield
US Large Cap Equity							
LINKEDIN CORP - A 53578A-10-8 LNKD	178.30	213 000	37,977 90	37,285.96	691.94		
LOWES COMPANIES INC 548661-10-7 LOW	40 90	1,758 000	71,902.20	36,154.75	35,747 45	1,265 76	1 76%
MASCO CORP 574599-10-6 MAS	19 49	2,142 000	41,747.58	29,288 33	12,459 25	642.60	1 54%
MASTERCARD INC - CLASS A 57636Q-10-4 MA	574 50	110 000	63,195 00	35,751 71	27,443 29	264.00	0 42%
MERCK AND CO INC 58933Y-10-5 MRK	46 45	814.000	37,810 30	25,025 95	12,784 35	1,400 08 350 02	3 70%
METLIFE INC 59156R-10-8 MET	45.76	1,891 000	86,532 16	61,726.79	24,805.37	2,080.10	2 40%
METTLER TOLEDO INTERNATIONAL INC 592688-10-5 MTD	201 20	122 000	24,546 40	25,525 58	(979 18)		
MICROSOFT CORP 594918-10-4 MSFT	34.55	3,795 000	131,098 28	89,543.46	41,554.82	3,491 40	2 66%
MONDELEZ INTERNATIONAL-W/I 609207-10-5 MDLZ	28 53	1,801.000	51,382.53	42,290 62	9,091.91	936 52 234 13	1 82%
MORGAN STANLEY 617446-44-8 MS	24 43	2,043.000	49,910.49	42,016.80	7,893 69	408.60	0.82%
NEXTERA ENERGY INC 65339F-10-1 NEE	81 48	366 000	29,821 68	28,393.85	1,427.83	966 24	3 24%
NISOURCE INC 65473P-10-5 NI	28.64	1,177.000	33,709.28	32,791 03	918.25	1,177 00	3 49%
OCCIDENTAL PETROLEUM CORP 674599-10-5 OXY	89.23	954 000	85,125 42	63,014 21	22,111.21	2,442 24 679.68	2.87%

US Large Cap Equity

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc. / Accrued Div	Yield
ONYX PHARMACEUTICALS INC 683399-10-9 ONXX	86 82	190 000	16,495 80	16,823 64	(327.84)		
ORACLE CORP 68389X-10-5 ORCL	30 71	2,242 000	68,851 82	52,722'02	16,129 80	1,076.16	1 56%
PACCAR INC 693718-10-8 PCAR	53 66	1,244.000	66,753 04	45,762 26	20,990 78	995.20	1.49%
PARNASSUS EQTY INCOME FD-INS 701769-40-8 PRIL X	33 22	47,723 935	1,585,389 12	1,300,000 00	285,389 12	38,990 45	2 46%
PENTAIR LTD SHS H6169Q-10-8 PNR	57.69	403 000	23,249 07	15,880.02	7,369.05	370 76	1 59%
PEPSICO INC 713448-10-8 PEP	81 79	535 000	43,757 65	38,664 82	5,092 83	1,214 45	2 78%
PHILIP MORRIS INTERNATIONAL 718172-10-9 PM	86 62	729 000	63,145.98	61,182.89	1,963 09	2,478 60 619 65	3 93%
PHILLIPS 66 718546-10-4 PSX	58 91	737.000	43,416.67	35,845 67	7,571.00	921.25	2 12%
PRICELINE.COM INC 741503-40-3 PCLN	826 67	37 000	30,586 79	25,766 24	4,820.55		
PROCTER & GAMBLE CO 742718-10-9 PG	76 99	901 000	69,367.99	51,140.82	18,227 17	2,167.80	3.13%
QUALCOMM INC 747525-10-3 QCOM	61 09	851.000	51,987 59	31,248.22	20,739.37	1,191.40	2 29%
SCHLUMBERGER LTD 806857-10-8 SLB	71.66	1,085 000	77,751 10	86,665.19	(8,914 09)	1,356 25 339 06	1.74%
SPDR S&P 500 ETF TRUST 78462F-10-3 SPY	160 42	2,219.000	355,971 98	364,921 43	(8,949 45)	7,398 14 1,862 01	2 08%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc. / Accrued Div.	Yield

US Large Cap Equity

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc. / Accrued Div.	Yield
STATE STREET CORP 857477-10-3 STT	65 21	657.000	42,842 97	38,897.60	3,945 37	683.28 170 82	1.59%
TARGET CORP 87612E-10-6 TGT	68 86	823.000	56,671 78	53,615 54	3,056.24	1,415.56	2 50%
TD AMERITRADE HOLDING CORPORATION 87236Y-10-8 AMTD	24 29	996.000	24,192 84	15,447 83	8,745.01	358 56	1 48%
TIME WARNER INC NEW 887317-30-3 TWX	57 82	2,730 000	157,848 60	79,674 54	78,174 06	3,139 50	1.99%
TYCO INTERNATIONAL LTD H89128-10-4 TYC	32 95	980 000	32,291.00	20,619.56	11,671.44	627.20	1 94%
UNITEDHEALTH GROUP INC 91324P-10-2 UNH	65 48	1,196 000	78,314 08	58,980 45	19,333 63	1,339 52	1 71%
UNITED TECHNOLOGIES CORP 913017-10-9 UTX	92 94	1,320.000	122,680 80	79,552.82	43,127 98	2,824 80	2 30%
VERIZON COMMUNICATIONS INC 92343V-10-4 VZ	50.34	1,350 000	67,959.00	42,383 55	25,575 45	2,781 00	4.09%
VERTEX PHARMACEUTICALS INC 92532F-10-0 VRTX	80 06	272.000	21,776 32	7,413 06	14,363 26		
WALTER ENERGY INC 93317Q-10-5 WLT	10 40	613 000	6,375 20	32,979.76	(26,604 56)	306.50	4 81%
WELLS FARGO & CO 949746-10-1 WFC	41.27	3,570 000	147,333 90	92,295 79	55,038 11	4,284 00	2.91%
WILLIAMS COMPANIES INC 969457-10-0 WMB	32 47	1,006 000	32,664.82	26,693 01	5,971.81	1,418.46	4.34%
XILINX CORP 983919-10-1 XLNX	39.61	529 000	20,953 69	20,299.58	654 11	529 00	2 52%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc. / Accrued Div	Yield
US Large Cap Equity							
YUM BRANDS INC 988498-10-1 YUM	69 34	893 000	61,920 62	31,501.36	30,419.26	1,196 62	1.93%
Total US Large Cap Equity			**$8,876,195.98**	**$6,915,368.26**	**$1,960,827.72**	**$147,815.76** **$6,137.41**	**1.67%**
US Mid Cap Equity							
ISHARES CORE S&P MID-CAP ETF 464287-50-7 IJH	115 50	4,683.000	540,886 50	409,024 93	131,861 57	7,937 68	1 47%
JPM MID CAP EQ FD - SEL FUND 689 4812A1-26-6 VSNG X	38.18	26,189 204	999,903 81	490,000 00	509,903 81	9,820 95 1,577 64	0 98%
TIMESSQUARE MID CAP GROW-PRM 561709-83-3 TMDP X	17 11	86,725 697	1,483,876 68	909,249.00	574,627.68		
Total US Mid Cap Equity			**$3,024,666.99**	**$1,808,273.93**	**$1,216,393.06**	**$17,758.63** **$1,577.64**	**0.59%**
EAFE Equity							
CS BREN MXEA 05/01/14 10%BUFFER-1 5 XLEV- 7 2%CAP 10 8%MAXRTRN INITIAL LEVEL-04/12/13 MXEA 1715 09 22546T-5K-3	95.23	375,000 000	357,112.50	371,250 00	(14,137 50)		
DODGE & COX INTL STOCK FUND 256206-10-3 DODF X	36 51	. 20,704 082	755,906 03	509,320 43	246,585 60	15,465 94	2 05%

EAFE Equity

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc / Accrued Div.	Yield
GS BREN MXEA 3/5/14 10%BUFFER-1.5XLEV- 7.55%CAP 11.325%MAXPYMT INITIAL LEVEL-02/15/13 MXEA.1663.46 38141G-NY-6	100 30	375,000 000	376,125 00	371,250.00	4,875 00		
HSBC BREN EAFE 07/03/13 10%BUFFER- 11 4%CAP 22 8%MAXRTRN INITIAL LEVEL-06/15/12 SX5E 2181 23 UKX 5478 81 TPX:726.57 4042K1-T4-5	120 70	325,000 000	392,275 00	321,750 00	70,525 00		
ISHARES MSCI EAFE INDEX FUND 464287-46-5 EFA	57 30	13,266 000	760,141 80	689,300 03	70,841 77	23,361.42	3 07%
MFS INTL VALUE-I 55273E-82-2 MINI X	31 70	39,431 732	1,249,985 90	1,005,000 00	244,985 90	20,267 91	1 62%
SG BREN MXEA 04/02/14 10%BUFFER-1 5 XLEV- 7%CAP 10 5%MAXRTRN INITIAL LEVEL-03/15/13 MXEA:1713.66 78423E-GF-5	97 10	375,000 000	364,125 00	371,250.00	(7,125 00)		
Total EAFE Equity			**$4,255,671.23**	**$3,639,120.46**	**$616,550.77**	**$59,095.27**	**1.39%**

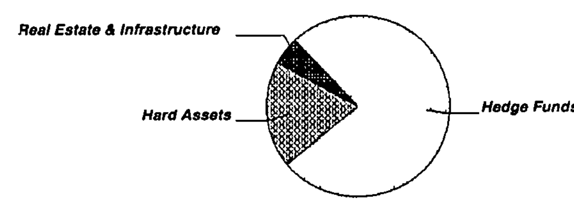
	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc / Accrued Div	Yield
European Large Cap Equity							
DB MARKET PLUS SX5E 03/19/14 80% CONTIN BARRIER- 7 6%CPN ,UNCAPPED INITIAL LEVEL-09/14/12 SX5E·2594.56 2515A1-LR-0	105.37	350,000 000	368,788 00	345,625 00	23,163 00		
Asia ex-Japan Equity							
ABERDEEN ASIA-PAC XJ-INST EQUITY FUND - INSTITUTIONAL SERVICE SHARES 003021-69-8 AAPI X	11.35	106,202.733	1,205,401.02	1,198,000.00	7,401 02	34,303.48	2.85%
BNP BREN ASIA BSKT 10/02/13 10%BUFFER-2 XLEV- 6.9%CAP 13.8%MAXRTRN INITIAL LEVEL-09/14/12 ASIA BSKT 100 05567L-7F-8	100 72	350,000.000	352,513 05	346,500 00	6,013.05		
Total Asia ex-Japan Equity			**$1,557,914.07**	**$1,544,500.00**	**$13,414.07**	**$34,303.48**	**2.21%**
Emerging Market Equity							
CS REN HSCEI 02/20/14 2XLEV- 16 25%CAP 32.5%MAXRTRN INITIAL LEVEL-2/1/13. HSCEI:12215 USDCNH 22546T-X9-7	75.52	375,000 000	283,197 00	371,250 00	(88,053 00)		

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div.	Yield
Emerging Market Equity							
ISHARES MSCI EMERGING MARKET INDEX 464287-23-4 EEM	38.50	16,794 000	646,569 00	719,699.20	(73,130 20)	12,914 58	2.00%
VIRTUS EMERGING MKTS OPPOR-I 92828T-88-9 HIEM X	9 77	69,287.098	676,934 95	645,150 00	31,784 95	2,910 05	0 43%
Total Emerging Market Equity			**$1,606,700.95**	**$1,736,099.20**	**($129,398.25)**	**$15,824.63**	**0.99%**
Global Equity							
JPM TR I GL RES ENH IDX FD FUND 3457 46637K-51-3 JEIT X	15.51	116,133 177	1,801,225.58	1,789,612.26	11,613.32		

Alternative Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Hedge Funds	5,569,737 52	5,591,852 55	22,115 03	16%
Real Estate & Infrastructure	358,323 36	349,888 80	(8,434 56)	1%
Hard Assets	2,456,069 97	1,364,058 11	(1,092,011 86)	4%
Total Value	**$8,384,130.85**	**$7,305,799.46**	**($1,078,331.39)**	**21%**

Asset Categories



Alternative Assets as a percentage of your portfolio - 21 %

Alternative Assets Detail

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
BLACKSTONE PARTNERS OFFSHORE FUND LTD. CLASS H2 - NEW ISSUES INELIGIBLE NON-SELF DEALING FIDUCIARY INVESTORS - LEAD SERIES N/O Client 092911-96-5	1,280 15 5/31/13	1,267.280	1,622,308 49	1,500,000 00

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
INV BALANCED-RISK ALLOC-Y 00141V-69-7 ABRY X	12.14	52,382 892	635,928.31	655,000 00
MANIKAY OFFSHORE FUND, LTD. CLASS B SUB-CLASS 1N SHARES - NEW ISSUES INELIGIBLE NON-SELF DEALING FIDUCIARY INVESTORS - LEAD SERIES N/O Client 325263-92-9	1,139 25 5/31/13	500 000	569,625.57	500,000.00
OCH-ZIFF OZOFII PRIVATE INVESTORS OFFSHORE LTD CLASS G PRIME - NEW ISSUES INELIGIBLE NON-SELF DEALING FIDUCIARY INVESTORS N/O Client 986903-92-0	154 26 5/31/13	10,918.048	1,684,199 79	1,500,000.00
SOUTHPAW CREDIT OPPORTUNITY FUND (FTE) LTD CLASS C - NEW ISSUES INELIGIBLE NON-SELF DEALING FIDUCIARY INVESTORS - LEAD SERIES N/O Client 764650-92-5	146 50 5/31/13	3,772 865	552,724 72	500,000.00
WINTON FUTURES FUND LTD. - (NON-SELF DEALING FIDUCIARY INVESTOR)-LEAD SERIES CLASS B N/O Client 976491-91-0	873 03 5/31/13	603 720	527,065 67	500,000 00
Total Hedge Funds			**$5,591,852.55**	**$5,155,000.00**

	Quantity/Original Commitment Amount	Cost/Net Capital Called Since Inception	Net Distributions Since Inception	Estimated Value	Est Annual Inc / Accrued Div	Yield
Real Estate & Infrastructure						
JPM REALTY INCOME FD - INSTL FUND 1372 904504-50-3 URTL X	29,084 69	340,000 00		349,888.80	15,792.98 1,444 05	4 51 %

Amounts shown above under "Estimated Value" for private equity funds are estimates based on the latest fund values received from each underlying fund, which value may be as of a date (underlying fund value date) prior to the period covered by this statement The values provided by the underlying fund have been adjusted for any cash flows between your account and such fund that have occurred subsequent to the underlying fund value date to derive the "Estimated Value". Therefore, such "Estimated Value" may not reflect the value of your interest shown on any fund's actual books and records as of the date of this statement For additional information, please contact your J.P. Morgan representative

For private equity funds, Estimated Values are based on estimates provided by the underlying funds that are generally presented on a US GAAP basis, which records investments at fair value, or "marked-to-market". Most of these underlying funds also present their audited financial statements on a US GAAP basis (i e , "marked-to-market"). However, some of these underlying funds present their audited financial statements using the Income Tax Basis of Accounting, which records investments "at cost" based on the accrual basis of accounting for Federal income taxes. Where the underlying fund provides periodic estimates on a "marked-to-market" basis but reflects investments "at cost" in its audited financial statements, the marked-to-market Estimated Value shown herein for a private equity fund may be materially different from the value reflected on such fund's audited financial statements (which are also based on the audited financial statements of the underlying fund).

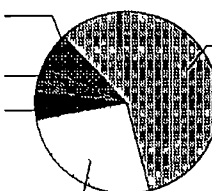
	Price	Quantity	Estimated Value	Cost
Hard Assets				
BARC PARTICIPATING GOLD NOTE 10/09/13 LNKD TO GOLDLNPM 85%BARRIER, 17 50%MAXRTN 9/28/12, INITIAL STRIKE· 1776 00 06741T-HL-4	68 51	350,000 000	239,785.00	346,500.00

	Price	Quantity	Estimated Value	Cost
Hard Assets				
PIMCO COMMODITYPL STRAT-P 72201P-16-7 PCLP X	10 16	63,161.344	641,719 26	709,675 00
POWERSHARES DB COMMODITY INDEX TRACKING FUND 73935S-10-5 DBC	25 13	6,395.000	160,706 35	192,662 80
SG BRENT CBEN 3/24/14 LNKD TO CO1 80%BARRIER, 10 45% CPN,10 45% MAXRTN 3/8/13, INITIAL STRIKE·110 85 78423E-GB-4	99 03	325,000.000	321,847 50	321,750 00
Total Hard Assets			**$1,364,058.11**	**$1,570,587.80**

Cash & Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	1,588,221 71	1,912,202.68	323,980.97	5%
US Fixed Income	3,972,287 30	3,892,603 66	(79,683 64)	11%
Non-US Fixed Income	135,476.13	128,027 27	(7,448.86)	1%
Complementary Structured Strategies	234,700.00	233,300.00	(1,400 00)	1%
Foreign Exchange & Non-USD Fixed Income	334,102 75	331,084 66	(3,018 09)	1%
Total Value	**$6,264,787.89**	**$6,497,218.27**	**$232,430.38**	**19%**

Asset Categories



Cash & Fixed Income as a percentage of your portfolio - 19 %

Market Value/Cost	Current Period Value
Market Value	6,497,218 27
Tax Cost	6,541,650 49
Unrealized Gain/Loss	(44,432 22)
Estimated Annual Income	200,830 62
Accrued Interest	2,234 96
Yield	3.09 %

SUMMARY BY MATURITY

Cash & Fixed Income	Market Value	% of Bond Portfolio
0-6 months[1]	6,497,218 27	100%

[1] The years indicate the number of years until the bond is scheduled to mature based on the statement end date Some bonds may be called, or paid in full, before their stated maturity

SUMMARY BY TYPE

Cash & Fixed Income	Market Value	% of Bond Portfolio
Cash	1,912,202 68	29%
International Bonds	128,027 27	1%
Mutual Funds	4,223,688 32	67%
Complementary Structure	233,300 00	3%
Total Value	**$6,497,218.27**	**100%**

Note. O - Bonds purchased at a discount show accretion
[1] This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account.

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
Cash							
US DOLLAR PRINCIPAL	1.00	1,909,750 60	1,909,750.60	1,909,750.60		572.92	0 03 % [1]
PROCEEDS FROM PENDING SALES	1 00	2,452.08	2,452 08	2,452 08			
Total Cash			**$1,912,202.68**	**$1,912,202.68**	**$0.00**	**$572.92**	**0.03 %**
US Fixed Income							
DOUBLELINE TOTAL RET BD-I 258620-10-3	11.03	156,723 03	1,728,655 00	1,750,000 00	(21,345 00)	94,817.43	5 49 %
EATON VANCE FLOATING RATE-I 277911-49-1	9 12	116,460 67	1,062,121.28	1,051,053.04	11,068 24	46,001.96	4 33 %
JPM HIGH YIELD FD - SEL FUND 3580 4812C0-80-3	8 03	43,071.29	345,862 48	320,450.42	25,412 06	23,516 92 1,722.85	6.80 %
VANGUARD INTM TRM INV G-INV 922031-88-5	9 78	77,297 03	755,964 90	790,000 00	(34,035.10)	25,971.80	3.44 %
Total US Fixed Income			**$3,892,603.66**	**$3,911,503.46**	**($18,899.80)**	**$190,308.11** **$1,722.85**	**4.89 %**

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Income / Accrued Interest	Yield
Non-US Fixed Income							
JPM EM MKTS DEBT FD - SEL FUND 1203 4812A0-74-8	8 25	15,518 46	128,027 27	136,872.79	(8,845.52)	7,293 67 512 11	5.70%
Complementary Structured Strategies							
O **BARC 93% PPN CURRENCY BASKET 9/13/13** LNKED TO 3 CURRENCY BSKTS 50% 35% & 15% WEIGHT,UNCAPPED 9/9/11 06738K-UP-4	93 32	250,000 00	233,300 00	256,324 89 246,250 00	(23,024 89)		
Foreign Exchange & Non-USD Fixed Income							
JPM INTL CURRENCY INCOME FD FUND 3831 4812A3-29-6	10 97	30,180 92	331,084.66	324,746 67	6,337.99	2,655 92	0 80%

Specialty Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Real Estate	1 00	1 00	0.00	1%

Specialty Assets Detail

	Interest Type	Percentage of Ownership	Estimated Value	Estimated Cost
Real Estate				
Lease with SF Acquisition LLC re 400 West Broadway New York, NY 10012 LEASEHOLD INTEREST Bearer 997608-13-9	SURFACE-REAL ESTATE	100 00 %	1 00	1 00

Other Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Other	2.00	2 00	0 00	1%

Market Value/Cost	Current Period Value
Estimated Value	2 00
Tax Cost	1 00

Note· ** Unrealized Gain/Loss is shown as "N/A" in cases where we do not have appropriate cost information for all tax lots comprising the position Please contact your J P Morgan team for additional information.

Other Detail

	Price	Quantity	Value	Adjusted Cost / Original Cost	Unrealized Gain/Loss	Accruals
Other						
TANGIBLE PERSONAL PROPERTY ELSEWHERE TRUST & ESTATE TEAM #3104 Assets Held Elsewhere 118991-KR-8		1 000	1.00	1 00		

	Price	Quantity	Value	Adjusted Cost / Original Cost	Unrealized Gain/Loss	Accruals
Other						
1166 SECOND AVENUE, THIRD FLOOR, NEW YORK, NY 10021 LEASEHOLD INTEREST AT DAY & MEYER, MURRAY & YOUNG Assets Held Elsewhere 999108-86-3	100.00 11/4/10	1 000	1 00	N/A **	N/A	
Total Other			**$2.00**	**$1.00**	**$0.00**	

Portfolio Activity Summary

Transactions	PRINCIPAL Current Period Value	PRINCIPAL Year-To-Date Value*	INCOME Current Period Value	INCOME Year-To-Date Value*
Beginning Cash Balance	**1,601,071.95**	--	**47,964.49**	--
INFLOWS				
Income		5,448.21	38,275 76	584,074 84
Contributions		983,809 02		9,626 52
Total Inflows	**$0.00**	**$989,257.23**	**$38,275.76**	**$593,701.36**
OUTFLOWS **				
Withdrawals	(321,251 01)	(5,620,654 14)	(55,000.00)	(1,236,004.38)
Fees & Commissions	(575 00)	(3,195 00)	(1,940 23)	(206,506 37)
Tax Payments			(9,000.00)	(31,018 59)
Miscellaneous Debits		(860,000 00)		860,000.00
Expenses	(541.74)	(4,189 38)		
Total Outflows	**($322,367.75)**	**($6,488,038.52)**	**($65,940.23)**	**($613,529.34)**
TRADE ACTIVITY				
Settled Sales/Maturities/Redemptions	1,127,545 27	19,179,023 02		
Settled Securities Purchased	(496,498 87)	(13,912,304 08)		
Total Trade Activity	**$631,046.40**	**$5,266,718.94**	**$0.00**	**$0.00**
Ending Cash Balance	**$1,909,750.60**	--	**$20,300.02**	--