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Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

2012

Open to Public Inspection

A For the 2012 calendar year, or tax year beginning 07-01-2012 , 2012, and ending 06-30-2013

B Check if applicable
☑ Address change
☐ Name change
☐ Initial return
☐ Terminated
☐ Amended return
☐ Application pending

C Name of organization
THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

Doing Business As

Number and street (or P O box if mail is not delivered to street address) Room/suite
445 FIFTH AVENUE 8TH FLOOR
Suite 8TH FL

City or town, state or country, and ZIP + 4
NEW YORK, NY 10016

D Employer identification number
13-1887440

E Telephone number
(212)621-0241

G Gross receipts $ 569,722,769

F Name and address of principal officer
ANTHONY W MARX PRES CEO
476 FIFTH AVENUE
NEW YORK, NY 10018

H(a) Is this a group return for affiliates? ☐ Yes ☑ No

H(b) Are all affiliates included? ☐ Yes ☐ No
If "No," attach a list (see instructions)

H(c) Group exemption number ▶

I Tax-exempt status ☑ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J **Website: ▶** WWW NYPL ORG

K Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶

L Year of formation 1895

M State of legal domicile NY

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities
THE MISSION OF THE NEW YORK PUBLIC LIBRARY IS TO INSPIRE LIFELONG LEARNING, ADVANCE KNOWLEDGE, AND STRENGTHEN OUR COMMUNITIES

2 Check this box ▶☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3	Number of voting members of the governing body (Part VI, line 1a)	3	38
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	37
5	Total number of individuals employed in calendar year 2012 (Part V, line 2a)	5	3,129
6	Total number of volunteers (estimate if necessary)	6	1,926
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	767,031
b	Net unrelated business taxable income from Form 990-T, line 34	7b	698,087

			Prior Year	Current Year
Revenue	8	Contributions and grants (Part VIII, line 1h)	241,416,992	250,022,451
	9	Program service revenue (Part VIII, line 2g)	4,509,995	3,935,002
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	141,533,591	63,877,537
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	7,530,145	8,001,422
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	394,990,723	325,836,412
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	1,225,000	1,305,031
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0	0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	166,668,104	174,694,663
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	588,863	621,920
	b	Total fundraising expenses (Part IX, column (D), line 25) ▶8,395,483		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	105,270,982	114,148,969
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	273,752,949	290,770,583
	19	Revenue less expenses Subtract line 18 from line 12	121,237,774	35,065,829

			Beginning of Current Year	End of Year
Net Assets or Fund Balances	20	Total assets (Part X, line 16)	1,387,607,870	1,508,459,036
	21	Total liabilities (Part X, line 26)	429,076,570	409,455,555
	22	Net assets or fund balances Subtract line 21 from line 20	958,531,300	1,099,003,481

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

****** | 2014-05-14
Signature of officer | Date

JEFFREY ROTH VP FOR FINANCE & STRATEGIC INITIATI
Type or print name and title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶ KPMG LLP			Firm's EIN ▶	
Firm's address ▶ 345 Park Avenue New York, NY 101540102			Phone no (212) 758-9700	

May the IRS discuss this return with the preparer shown above? (see instructions) ☑ Yes ☐ No

Part III **Statement of Program Service Accomplishments**

Check if Schedule O contains a response to any question in this Part III . . . . ☑

1 Briefly describe the organization's mission

THE MISSION OF THE NEW YORK PUBLIC LIBRARY IS TO INSPIRE LIFELONG LEARNING, ADVANCE KNOWLEDGE, AND STRENGTHEN OUR COMMUNITIES

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code) (Expenses $ 144,654,091 including grants of $) (Revenue $ 3,582,285)

THE BRANCH LIBRARIES - THE SERVICES OF THE 86 BRANCH LIBRARIES EXTEND FAR BEYOND THE TRADITIONAL LENDING ROLE USUALLY ASSOCIATED WITH NEIGHBORHOOD LIBRARIES, TO PROVIDE VITAL OUTREACH SERVICES AND PROGRAMS TO SCHOOLS, NURSING HOMES, HOSPITALS, SHELTERS AND PRISONS, AND TO THE BLIND AND PHYSICALLY HANDICAPPED, INCLUDING BOOK-BY-MAIL DELIVERIES TO THE HOMEBOUND FOR MORE INFORMATION, SEE SCHEDULE O

4b (Code) (Expenses $ 103,364,194 including grants of $ 1,305,031) (Revenue $ 2,002,660)

THE RESEARCH LIBRARIES - DURING FISCAL 2013, THE FOUR RESEARCH LIBRARIES - THE STEPHEN A SCHWARZMAN BUILDING, THE SCIENCE, INDUSTRY AND BUSINESS LIBRARY, THE SCHOMBURG CENTER FOR RESEARCH IN BLACK CULTURE, AND THE LIBRARY FOR PERFORMING ARTS - HAD 3 5 MILLION ON-SITE USERS LIBRARY STAFF RESPONDED TO 205,246 REFERENCE INQUIRIES OF 45 0 MILLION COLLECTION ITEMS, APPROXIMATELY 16 6 MILLION ARE BOOKS AND BOOK-LIKE MATERIALS, AND THE REMAINDER CONSISTS OF ITEMS SUCH AS AUDIO RECORDINGS, FILMS, MAPS, SHEET MUSIC, PRINTS, AND CLIPPINGS FOR MORE INFORMATION, SEE SCHEDULE O

4c (Code) (Expenses $ including grants of $) (Revenue $)

4d Other program services (Describe in Schedule O)

(Expenses $ including grants of $) (Revenue $)

4e **Total program service expenses** ▶ 248,018,285

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	Yes	
2	Is the organization required to complete *Schedule B, Schedule of Contributors* (see instructions)?	2	Yes	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		No
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4	Yes	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5		No
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		No
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		No
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8	Yes	
9	Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		No
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10	Yes	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI.*	11a	Yes	
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*	11b	Yes	
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*	11c		No
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*	11d		No
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X*	11e	Yes	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X*	11f	Yes	
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII*	12a	Yes	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional*	12b		No
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		No
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		No
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV*	14b	Yes	
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the United States? *If "Yes," complete Schedule F, Parts II and IV*	15		No
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the United States? *If "Yes," complete Schedule F, Parts III and IV*	16		No
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I (see instructions)*	17	Yes	
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18	Yes	
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		No
20a	Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H*	20a		No
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		

Part IV **Checklist of Required Schedules** *(continued)*

			Yes	No
21	Did the organization report more than $5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21		No
22	Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25*	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highest compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II*	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part III*	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c	Yes	
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I*	33		No
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1*	34	Yes	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		No
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35b		
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O	38	Yes	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response to any question in this Part V . . . . ☐

					Yes	No
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable	1a	614			
b	Enter the number of Forms W-2G included in line 1a *Enter -0-* if not applicable	1b	0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c	Yes	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	3,129			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note.** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)			2b	Yes	
3a	Did the organization have unrelated business gross income of $1,000 or more during the year?			3a	Yes	
b	If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O*			3b	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			4a		No
b	If "Yes," enter the name of the foreign country ▶ See instructions for filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts					
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			5a		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b		No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?			5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?			6a		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b		
7	**Organizations that may receive deductible contributions under section 170(c).**					
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?			7a	Yes	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b	Yes	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c		No
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d				
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			7f		No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?			7g		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?			7h		
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?			8		
9	**Sponsoring organizations maintaining donor advised funds.**					
a	Did the organization make any taxable distributions under section 4966?			9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?			9b		
10	**Section 501(c)(7) organizations.** Enter					
a	Initiation fees and capital contributions included on Part VIII, line 12	10a				
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b				
11	**Section 501(c)(12) organizations.** Enter					
a	Gross income from members or shareholders	11a				
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b				
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?			12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b				
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**					
a	Is the organization licensed to issue qualified health plans in more than one state? **Note.** See the instructions for additional information the organization must report on Schedule O			13a		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b				
c	Enter the amount of reserves on hand	13c				
14a	Did the organization receive any payments for indoor tanning services during the tax year?			14a		No
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O*			14b		

Part VI **Governance, Management, and Disclosure** *For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Check if Schedule O contains a response to any question in this Part VI . . . ☑

Section A. Governing Body and Management

			Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year . . .	**1a** 38		
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O			
b	Enter the number of voting members included in line 1a, above, who are independent . . .	**1b** 37		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? . . .	**2**	Yes	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? .	**3**		No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? . . .	**4**	Yes	
5	Did the organization become aware during the year of a significant diversion of the organization's assets? .	**5**		No
6	Did the organization have members or stockholders? . . .	**6**		No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? . . .	**7a**		No
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? . . .	**7b**		No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following			
a	The governing body? . . .	**8a**	Yes	
b	Each committee with authority to act on behalf of the governing body? . . .	**8b**	Yes	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O* . . .	**9**		No

Section B. Policies (*This Section B requests information about policies not required by the Internal Revenue Code.*)

			Yes	No
10a	Did the organization have local chapters, branches, or affiliates? . . .	**10a**		No
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	**10b**		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? . . .	**11a**	Yes	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990 . . .			
12a	Did the organization have a written conflict of interest policy? *If "No," go to line 13* . . .	**12a**	Yes	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? . . .	**12b**	Yes	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done* . . .	**12c**	Yes	
13	Did the organization have a written whistleblower policy? . . .	**13**	Yes	
14	Did the organization have a written document retention and destruction policy? . . .	**14**	Yes	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official . . .	**15a**	Yes	
b	Other officers or key employees of the organization . . .	**15b**	Yes	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? . . .	**16a**		No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? . . .	**16b**		

Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed ▶AK , AZ , CA , CT , HI , IL , KS , KY , LA , ME , MD , MA , MI , MN , MS , NH , NJ , NM , NC , ND , OH , OK , OR , PA , SC , TN , UT , VA , WA , WV , WI

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you made these available Check all that apply

☑ Own website ☐ Another's website ☑ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶JEFFREY ROTH VP FIN STRAT 445 FIFTH AVENUE NEW YORK, NY (212) 621-0241

Part VII **Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response to any question in this Part VII . . . . ☑

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee) Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
See Additional Data Table										

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position: Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations

		(D)	(E)	(F)
1b	**Sub-Total** ▶			
c	**Total from continuation sheets to Part VII, Section A** ▶			
d	**Total (add lines 1b and 1c)** ▶	5,599,143	0	1,259,447

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶109

			Yes	No
3	Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3		No
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4	Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation
RESEARCH COLLECTIONS PRESERVATION , 701 CARNEGIE CENTER PRINCETON NJ 08540	PRESERV & CONSTRUCT	10,161,203
FOSTER AND PARTNERS ARCHITECTS NY I , 300 WEST 57TH STREET NEW YORK NY 10019	ARCHITECTURAL	4,089,680
SPARTAN SECURITY SERVICES INC , ONE PARK AVENUE NEW YORK NY 10016	SECURITY	2,764,475
BIBLIOCOMMONS INC , 461 KING STREET WEST 3RD FLOORTORONTO, ON M5V 1K40CA	ONLINE CATALOGUE	1,815,163
CLANCY MOVING SYSTEMS INC , 2963 ROUTE 22 PATTERSON NY 12563	STORAGE & RETRIEVAL	1,557,014

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ▶67

Part VIII Statement of Revenue

Check if Schedule O contains a response to any question in this Part VIII . . . . ☐

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns . . . 1a					
	b	Membership dues 1b					
	c	Fundraising events 1c	4,260,873				
	d	Related organizations . . . 1d					
	e	Government grants (contributions) 1e	167,064,834				
	f	All other contributions, gifts, grants, and similar amounts not included above 1f	78,696,744				
	g	Noncash contributions included in lines 1a-1f $	1,453,735				
	h	**Total.** Add lines 1a-1f . . . . ▶		250,022,451			
Program Service Revenue			Business Code				
	2a	FINES AND FEES	900099	2,740,136	2,740,136		
	b	INFORMATION SERVICES	519100	207,037	207,037		
	c	PHOTOCOPY, MICROFILM	519100	758,808	758,808		
	d	TICKET SALES	900099	229,021	229,021		
	e						
	f	All other program service revenue					
	g	**Total.** Add lines 2a–2f . . . . ▶		3,935,002			
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts) . . . . ▶		14,354,183			14,354,183
	4	Income from investment of tax-exempt bond proceeds . . ▶		0			
	5	Royalties . . . . ▶		152,778			152,778

		(i) Real	(ii) Personal
6a	Gross rents	32,125	
b	Less rental expenses		
c	Rental income or (loss)	32,125	0

		(A)	(B)	(C)	(D)
6d	Net rental income or (loss) . . . . ▶	32,125			32,125

		(i) Securities	(ii) Other
7a	Gross amount from sales of assets other than inventory	206,758,035	84,814,434
b	Less cost or other basis and sales expenses	209,664,118	32,384,997
c	Gain or (loss)	-2,906,083	52,429,437

			(A)	(B)	(C)	(D)
7d	Net gain or (loss) . . . . ▶		49,523,354			49,523,354
8a	Gross income from fundraising events (not including $ 4,227,373 of contributions reported on line 1c) See Part IV, line 18 . . a	240,500				
b	Less direct expenses . . . b	197,255				
c	Net income or (loss) from fundraising events . . ▶		43,245			43,245
9a	Gross income from gaming activities See Part IV, line 19 . . . a					
b	Less direct expenses . . . b					
c	Net income or (loss) from gaming activities . . . ▶		0			
10a	Gross sales of inventory, less returns and allowances . a	2,031,434				
b	Less cost of goods sold . . b	1,639,987				
c	Net income or (loss) from sales of inventory . . ▶		391,447	182,970	208,477	
	Miscellaneous Revenue	Business Code				
11a	FEE - USE OF SPACE	532000	3,899,262		558,554	3,340,708
b	UNIVERSAL SERVICES REIMBURSEMENT	900099	2,015,592			2,015,592
c	PUBLICATIONS	519100	365,509	365,509		
d	All other revenue		1,101,464	1,101,464		
e	**Total.** Add lines 11a–11d . . . . ▶		7,381,827			
12	**Total revenue.** See Instructions . . . . . ▶		325,836,412	5,584,945	767,031	69,461,985

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns All other organizations must complete column (A)

Check if Schedule O contains a response to any question in this Part IX . . . . ☑

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States See Part IV, line 21	0			
2 Grants and other assistance to individuals in the United States See Part IV, line 22	1,305,031	1,305,031		
3 Grants and other assistance to governments, organizations, and individuals outside the United States See Part IV, lines 15 and 16	0			
4 Benefits paid to or for members	0			
5 Compensation of current officers, directors, trustees, and key employees	5,560,623	1,536,846	3,490,621	533,156
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0			
7 Other salaries and wages	104,714,114	91,677,341	9,860,666	3,176,107
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	17,960,567	15,575,721	1,815,302	569,544
9 Other employee benefits	38,540,469	32,828,997	4,430,025	1,281,447
10 Payroll taxes	7,918,890	6,718,854	933,269	266,767
11 Fees for services (non-employees)				
a Management	0			
b Legal	548,804	213,310	323,292	12,202
c Accounting	398,926	3,000	395,926	
d Lobbying	0			
e Professional fundraising services See Part IV, line 17	621,920			621,920
f Investment management fees	9,612,524		9,612,524	
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	8,373,173	7,109,145	770,465	493,563
12 Advertising and promotion	2,451,683	943,684	327,744	1,180,255
13 Office expenses	8,031,583	7,396,415	426,688	208,480
14 Information technology	6,271,504	5,525,801	735,103	10,600
15 Royalties . .	0			
16 Occupancy	19,758,545	19,627,801	130,744	
17 Travel	431,027	316,085	100,307	14,635
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	0			
19 Conferences, conventions, and meetings	129,058	103,826	24,132	1,100
20 Interest	2,966,737	2,966,737		
21 Payments to affiliates	0			
22 Depreciation, depletion, and amortization	22,210,232	22,111,861	98,371	
23 Insurance	1,740,046	1,662,035	78,011	
24 Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O)				
a BOOKS AND BINDING	25,516,981	25,516,981		
b BLDG REPAIRS AND RENOVATION	2,735,024	2,653,630	71,457	9,937
c AUTO LEASES,REPAIRS&SUPPLIES	556,906	556,906		
d UNRELATED BUSINESS INC TAX	493,349	84,629	408,720	
e All other expenses	1,922,867	1,583,649	323,448	15,770
25 **Total functional expenses.** Add lines 1 through 24e	290,770,583	248,018,285	34,356,815	8,395,483
26 **Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here ▶ ☐ if following SOP 98-2 (ASC 958-720)				

Part X Balance Sheet

Check if Schedule O contains a response to any question in this Part X . . . . ☐

				(A) Beginning of year		(B) End of year
Assets	1	Cash—non-interest-bearing		63,434	1	61,467
	2	Savings and temporary cash investments		97,246,496	2	57,973,593
	3	Pledges and grants receivable, net		87,101,505	3	98,847,173
	4	Accounts receivable, net		1,324,256	4	1,566,698
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L		0	5	0
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L		0	6	0
	7	Notes and loans receivable, net		0	7	0
	8	Inventories for sale or use		241,600	8	264,759
	9	Prepaid expenses and deferred charges		1,770,356	9	2,378,177
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a 592,282,324			
	b	Less accumulated depreciation	10b 296,819,734	285,904,496	10c	295,462,590
	11	Investments—publicly traded securities		244,855,017	11	233,011,917
	12	Investments—other securities See Part IV, line 11		644,469,671	12	797,763,102
	13	Investments—program-related See Part IV, line 11		0	13	0
	14	Intangible assets		0	14	0
	15	Other assets See Part IV, line 11		24,631,039	15	21,129,560
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)		1,387,607,870	16	1,508,459,036
Liabilities	17	Accounts payable and accrued expenses		57,404,925	17	64,545,939
	18	Grants payable		0	18	0
	19	Deferred revenue		87,488,286	19	86,910,972
	20	Tax-exempt bond liabilities		84,510,002	20	80,360,002
	21	Escrow or custodial account liability Complete Part IV of Schedule D		0	21	0
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L		0	22	0
	23	Secured mortgages and notes payable to unrelated third parties		0	23	0
	24	Unsecured notes and loans payable to unrelated third parties		0	24	0
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D		199,673,357	25	177,638,642
	26	**Total liabilities.** Add lines 17 through 25		429,076,570	26	409,455,555
Net Assets or Fund Balances		**Organizations that follow SFAS 117 (ASC 958), check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.**				
	27	Unrestricted net assets		295,593,172	27	378,994,126
	28	Temporarily restricted net assets		236,690,530	28	287,903,949
	29	Permanently restricted net assets		426,247,598	29	432,105,406
		Organizations that do not follow SFAS 117 (ASC 958), check here ▶ ☐ and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		958,531,300	33	1,099,003,481
	34	Total liabilities and net assets/fund balances		1,387,607,870	34	1,508,459,036

Part XI Reconcilliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI . . . . ☑

1	Total revenue (must equal Part VIII, column (A), line 12)	1	325,836,412
2	Total expenses (must equal Part IX, column (A), line 25)	2	290,770,583
3	Revenue less expenses Subtract line 2 from line 1	3	35,065,829
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	958,531,300
5	Net unrealized gains (losses) on investments	5	73,822,110
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	31,584,242
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	1,099,003,481

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII . . . . ☐

			Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other ____________ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		No
	If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
b	Were the organization's financial statements audited by an independent accountant?	2b	Yes	
	If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both ☑ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis			
c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c	Yes	
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a	Yes	
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	3b	Yes	

Additional Data

Software ID:
Software Version:
EIN: 13-1887440
Name: THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
JOHN H BANKS III TRUSTEE	1 0	X						0	0	0
TIMOTHY BARAKETT TRUSTEE - ENDED 11/12	2 0	X						0	0	0
SAMUEL C BUTLER FDN TRUSTEE - ENDED 11/12	2 0	X						0	0	0
SILA M CALDERON TRUSTEE	3 0	X						0	0	0
EVAN ROBERT CHESLER TRUSTEE	3 0	X						0	0	0
ROBERT DARNTON TRUSTEE	1 0	X						0	0	0
GORDON J DAVIS TRUSTEE	1 0	X						0	0	0
ANNE E DE LA RENTA TRUSTEE	2 0	X						0	0	0
HRH PRINCESS FIRYAL TRUSTEE	1 0	X						0	0	0
HENRY LOUIS GATES JR TRUSTEE	1 0	X						0	0	0
WILLIAM GRAY TRUSTEE	2 0	X						0	0	0
LOUISE L GRUNWALD TRUSTEE	1 0	X						0	0	0
JOHN B HESS TRUSTEE	1 0	X						0	0	0
MAHNAZ ISPAHANI BARTOS TRUSTEE	5 0	X						0	0	0
ROBERT LIBERMAN TRUSTEE	5 0	X						0	0	0
SCOTT D MALKIN TRUSTEE	5 0	X						0	0	0
VICTOR MARRERO TRUSTEE	2 0	X						0	0	0
CATHERINE C MARRON TRUSTEE	1 0	X						0	0	0
ANTHONY W MARX Trustee, President and CEO	40 0	X		X				711,650	0	69,557
HAROLD W MCGRAW III TRUSTEE	1 0	X						0	0	0
RAYMOND J MCGUIRE TRUSTEE	1 0	X						0	0	0
LEIGH M MILLER EX OFFICIO TRUSTEE	1 0	X						0	0	0
ABBY S MILSTEIN TRUSTEE	2 0	X						0	0	0
SUSAN B MOORE MORGENTHAU TRUSTEE	4 0	X						0	0	0
JESSYE NORMAN TRUSTEE	2 0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
RICHARD L PLEPLER TRUSTEE	1 0	X						0	0	0
KATHARINE J RAYNER TRUSTEE	1 0	X						0	0	0
DAVID REMNICK TRUSTEE	1 0	X						0	0	0
PETER RIDER EX OFFICIO TRUSTEE	1 0	X						0	0	0
ELIZABETH ROHATYN FOUNDATION TRUSTEE	1 0	X						0	0	0
MARSHALL ROSE FOUNDATION TRUSTEE	7 0	X						0	0	0
NEIL L RUDENSTINE FOUNDATION TRUSTEE	4 0	X						0	0	0
ERIC S SCHWARTZ TRUSTEE - ENDED 11/12	1 0	X						0	0	0
STEPHEN A SCHWARZMAN TRUSTEE	2 0	X						0	0	0
DINAKAR SINGH TRUSTEE	1 0	X						0	0	0
LAURA J SLOATE TRUSTEE - ENDED 11/12	2 0	X						0	0	0
GAYFRYD STEINBERG TRUSTEE	10 0	X						0	0	0
JOSHUA L STEINER TRUSTEE	2 0	X						0	0	0
JAMES S TISCH TRUSTEE	2 0	X						0	0	0
MARJORIE B TIVEN EX OFFICIO TRUSTEE	3 0	X						0	0	0
LUIS A UBINAS TRUSTEE	3 0	X						0	0	0
EDGAR WACHENHEIM III FOUNDATION TRUSTEE	2 0	X						0	0	0
JANE ABOYOUN CHIEF TECHNOLOGY OFFICER	35 0			X				273,513	0	74,836
JACQUELINE F BAUSCH VP, DEP GEN COUNSEL & ASST SEC	35 0			X				245,268	0	80,293
TODD M CORBIN CHIEF INVESTMENT OFFICER	35 0			X				634,815	0	63,568
ANNE L CORISTON VP FOR PUBLIC SERVICE	35 0			X				217,762	0	121,823
MARY LEE KENNEDY CHIEF LIB OFFICER - BEGAN 5/13	35 0			X				0	0	0
DEANNA LEE VP FOR COMM & MRK - ENDED 9/12	35 0			X				188,522	0	21,420
MICHELE COLEMAN MAYES VP, GEN COUN&SEC - BEGAN 8/12	35 0			X				201,004	0	14,183
GEORGE D MIHALTSES VP FOR GOV AND COMM AFFAIRS	35 0			X				167,494	0	31,554

Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position: Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
DAVID G OFFENSEND COO, CFO AND TREASURER	35 0			X				333,645	0	74,469
JOANNA M PESTKA VP CAPITAL PLAN & CONSTRUCTION	35 0			X				206,098	0	115,773
JAMES PISANIELLO VP FACILITIES OPS AND SECURITY	35 0			X				189,905	0	1,886
JEFFREY ROTH VP, FINANCE/ STRATEGIC INITIAT	35 0			X				213,550	0	95,284
LOUISE SHEA VP FOR HUMAN RESOURCES	35 0			X				270,745	0	72,582
ANN D THORNTON ANDREW W MELLON DIR NY PUB LIB	35 0			X				224,872	0	87,707
SHARON HEWITT WATKINS VP, FIN & ASST TR - ENDED 1/13	35 0			X				206,795	0	32,153
KENNETH N WEINE VP FOR COMM & MRK - BEGAN 2/13	35 0			X				0	0	0
JENNIFER ZASLOW VP FOR DEVELOPMENT	35 0			X				246,533	0	70,761
MARK D BERKOWSKY DIRECTOR OF TALENT MANAGEMENT	35 0					X		209,419	0	74,588
KHALIL GIBRAN MUHAMMAD Director, The Schomburg Center	35 0					X		202,182	0	38,766
VICTORIA STEELE BROOKE RUSSELL ASTOR DIRECTOR	35 0					X		210,200	0	51,431
JEAN STROUSE Director, Scholars/Writers Cen	35 0					X		224,510	0	54,259
BARBARA R TARANTO DIG PRG DIR - ENDED 5/12	35 0					X		220,661	0	12,554

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SCHEDULE A (Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

Employer identification number
13-1887440

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state ______

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☑ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a ☐ Type I **b** ☐ Type II **c** ☐ Type III - Functionally integrated **d** ☐ Type III - Non-functionally integrated

e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

		Yes	No
(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	**11g(i)**		
(ii) A family member of a person described in (i) above?	**11g(ii)**		
(iii) A 35% controlled entity of a person described in (i) or (ii) above?	**11g(iii)**		

h Provide the following information about the supported organization(s)

(i) Name of supported organization	**(ii)** EIN	**(iii)** Type of organization (described on lines 1- 9 above or IRC section **(see instructions))**	**(iv)** Is the organization in col **(i)** listed in your governing document?		**(v)** Did you notify the organization in col **(i)** of your support?		**(vi)** Is the organization in col **(i)** organized in the U S ?		**(vii)** Amount of monetary support
			Yes	**No**	**Yes**	**No**	**Yes**	**No**	
Total									

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)** (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")	75,298,980	70,349,584	48,643,420	77,619,561	82,957,617	354,869,162
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf	209,377,794	190,283,380	164,409,329	163,797,431	167,064,834	894,932,768
3 The value of services or facilities furnished by a governmental unit to the organization without charge	64,818,076	8,781,311	8,049,019	22,599,960	9,160,545	113,408,911
4 **Total.** Add lines 1 through 3	349,494,850	269,414,275	221,101,768	264,016,952	259,182,996	1,363,210,841
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						0
6 **Public support.** Subtract line 5 from line 4						1,363,210,841

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
7 Amounts from line 4	349,494,850	269,414,275	221,101,768	264,016,952	259,182,996	1,363,210,841
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	8,310,731	6,910,182	8,989,717	10,944,174	14,539,086	49,693,890
9 Net income from unrelated business activities, whether or not the business is regularly carried on	492,589		478,122	541,221	699,087	2,211,019
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)	3,759,930	3,819,206	4,134,478	4,995,857	5,356,300	22,065,771
11 **Total support** (Add lines 7 through 10)						1,437,181,521

12 Gross receipts from related activities, etc (see instructions) **12** 38,377,408

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** . . . . ▶☐

Section C. Computation of Public Support Percentage

14 Public support percentage for 2012 (line 6, column (f) divided by line 11, column (f)) **14** 94 853 %

15 Public support percentage for 2011 Schedule A, Part II, line 14 **15** 90 960 %

16a **33 1/3% support test—2012.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☑

b **33 1/3% support test—2011.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

17a **10%-facts-and-circumstances test—2012.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶☐

b **10%-facts-and-circumstances test—2011.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶☐

Part III **Support Schedule for Organizations Described in Section 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 **Total.** Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 **Public support** (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13 **Total support.** (Add lines 9, 10c, 11, and 12)						

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ▶☐

Section C. Computation of Public Support Percentage

15 Public support percentage for 2012 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2011 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage

17 Investment income percentage for **2012** (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from **2011** Schedule A, Part III, line 17	18	

19a **33 1/3% support tests—2012.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

b **33 1/3% support tests—2011.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶☐

Part IV **Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Explanation
REPORTED IN THIS SECTION IS OTHER INCOME RELATING TO REVENUE GENERATED BY ACTIVITIES SUCH AS FEES FOR FACILITY USAGE AND REIMBURSEMENT UNDER THE UNIVERSAL SERVICES PROGRAM TO PROVIDE DISCOUNTS ON TELECOMMUNICATION EXPENSES TO ALLOW LIBRARIES AND EDUCATIONAL INSTITUTIONS TO PURCHASE ADVANCED TECHNOLOGIES

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ Complete if the organization is described below. ▶ Attach to Form 990 or Form 990-EZ.
▶ See separate instructions.

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If the organization answered "Yes" to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then
- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes" to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then
- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes" to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35c (Proxy Tax), then
- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization	Employer identification number
THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS	13-1887440

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV

2 Political expenditures ▶ $

3 Volunteer hours

Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ $

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ $

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No

4a Was a correction made? ☐ Yes ☐ No

b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ $

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ $

3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ $

4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

A Check ▶ ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)

B Check ▶ ☐ if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	**(a)** Filing organization's totals	**(b)** Affiliated group totals
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)		
b Total lobbying expenditures to influence a legislative body (direct lobbying)		
c Total lobbying expenditures (add lines 1a and 1b)		
d Other exempt purpose expenditures		
e Total exempt purpose expenditures (add lines 1c and 1d)		
f Lobbying nontaxable amount Enter the amount from the following table in both columns		

If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:
Not over $500,000	20% of the amount on line 1e
Over $500,000 but not over $1,000,000	$100,000 plus 15% of the excess over $500,000
Over $1,000,000 but not over $1,500,000	$175,000 plus 10% of the excess over $1,000,000
Over $1,500,000 but not over $17,000,000	$225,000 plus 5% of the excess over $1,500,000
Over $17,000,000	$1,000,000

	(a)	(b)
g Grassroots nontaxable amount (enter 25% of line 1f)		
h Subtract line 1g from line 1a If zero or less, enter -0-		
i Subtract line 1f from line 1c If zero or less, enter -0-		

j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ **Yes** ☐ **No**

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	**(a)** 2009	**(b)** 2010	**(c)** 2011	**(d)** 2012	**(e)** Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

	For each "Yes" response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.	(a) Yes	(a) No	(b) Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?	Yes		
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	Yes		
c	Media advertisements?		No	
d	Mailings to members, legislators, or the public?	Yes		4,952
e	Publications, or published or broadcast statements?		No	
f	Grants to other organizations for lobbying purposes?		No	
g	Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes		14,856
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		No	
i	Other activities?		No	
j	Total Add lines 1c through 1i			19,808
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No	
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

			Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1		
2	Did the organization make only in-house lobbying expenditures of $2,000 or less?	2		
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?	3		

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).**		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, line 2, and Part II-B, line 1 Also, complete this part for any additional information

Identifier	Return Reference	Explanation
LOBBYING ACTIVITY	SCHEDULE C, PART II-B	VOLUNTEERS THE LIBRARY WORKS DIRECTLY WITH LOCAL VOLUNTEERS WHO ENCOURAGE THEIR ELECTED OFFICIALS TO SUPPORT THE LIBRARY'S BUDGET GOALS PAID STAFF OR MANAGEMENT THE LIBRARY UTILIZES, ON A LIMITED BASIS, TWO OFFICERS TO WORK WITH ELECTED OFFICIALS AT THE FEDERAL, STATE AND CITY LEVELS ON LIBRARY-RELATED FUNDING ISSUES AND LEGISLATION MAILING TO MEMBERS, LEGISLATORS OR THE PUBLIC THE LIBRARY PREPARES MAILINGS TO ELECTED OFFICIALS AT ALL THREE LEVELS OF GOVERNMENT REGARDING FUNDING ISSUES AND LEGISLATION DIRECT CONTACT WITH LEGISLATORS, THEIR STAFF, GOVERNMENT OFFICIALS, OR A LEGISLATIVE BODY THE LIBRARY'S IN-HOUSE GOVERNMENT RELATIONS STAFF MEETS ELECTED AND APPOINTED GOVERNMENT OFFICIALS AND THEIR STAFF ON LIBRARY-RELATED FUNDING ISSUES AND LEGISLATION

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b
▶ Attach to Form 990. ▶ See separate instructions.

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Name of the organization
THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

Employer identification number
13-1887440

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

		(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year		
2	Aggregate contributions to (during year)		
3	Aggregate grants from (during year)		
4	Aggregate value at end of year		

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or education) ☐ Preservation of an historically important land area
☐ Protection of natural habitat ☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

			Held at the End of the Year
a	Total number of conservation easements	2a	
b	Total acreage restricted by conservation easements	2b	
c	Number of conservation easements on a certified historic structure included in (a)	2c	
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d	

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶______

4 Number of states where property subject to conservation easement is located ▶______

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶______

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ ______

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ ______

(ii) Assets included in Form 990, Part X ▶ $ ______

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ $ ______

b Assets included in Form 990, Part X ▶ $ ______

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☐ Public exhibition
b ☐ Scholarly research
c ☐ Preservation for future generations
d ☐ Loan or exchange programs
e ☐ Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☑ No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table

			Amount
c	Beginning balance	1c	
d	Additions during the year	1d	
e	Distributions during the year	1e	
f	Ending balance	1f	

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII . . . . ☐

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

		(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a	Beginning of year balance	824,133,716	813,848,809	669,681,466	592,167,243	741,425,152
b	Contributions	47,268,882	65,579,778	39,560,272	53,539,855	43,068,357
c	Net investment earnings, gains, and losses	125,420,972	-17,227,502	139,588,261	56,461,888	-155,406,750
d	Grants or scholarships	621,293	495,678	609,200	256,666	635,000
e	Other expenditures for facilities and programs	40,607,387	37,571,691	34,371,990	32,230,854	36,284,516
f	Administrative expenses					
g	End of year balance	955,594,890	824,133,716	813,848,809	669,681,466	592,167,243

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a Board designated or quasi-endowment ▶ 36 198 %

b Permanent endowment ▶ 63 802 %

c Temporarily restricted endowment ▶

The percentages in lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

			Yes	No
	(i) unrelated organizations	3a(i)		No
	(ii) related organizations	3a(ii)		No
b	If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?	3b		

4 Describe in Part XIII the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land	1,083,799	3,109,093		4,192,892
b Buildings	14,606,595	445,321,048	258,673,146	201,254,497
c Leasehold improvements		48,723,150	9,187,037	39,536,113
d Equipment		39,859,191	28,959,551	10,899,640
e Other		39,579,448	0	39,579,448
Total. Add lines 1a through 1e *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* ▶				295,462,590

Part VII Investments—Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A) COMMINGLED INVESTMENT FUNDS	442,699,375	F
(B) HEDGE FUNDS	253,317,263	F
(C) PRIVATE MARKET FUNDS	101,746,464	F
Total. *(Column (b) must equal Form 990, Part X, col (B) line 12)* ▶	797,763,102	

Part VIII Investments—Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation Cost or end-of-year market value
Total. *(Column (b) must equal Form 990, Part X, col (B) line 13)* ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
Total. *(Column (b) must equal Form 990, Part X, col.(B) line 15.)* . . . . ▶	

Part X Other Liabilities. See Form 990, Part X, line 25.

1 (a) Description of liability	(b) Book value
Federal income taxes	0
ACCRUED POSTRETIREMENT BENEFITS	166,168,204
INTEREST RATE SWAPS	11,470,438
Total. *(Column (b) must equal Form 990, Part X, col (B) line 25)* ▶	177,638,642

2. Fin 48 (ASC 740) Footnote In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII ☑

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements			1	400,704,588
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains on investments	2a	73,822,110		
b	Donated services and use of facilities	2b	11,140,132		
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIII)	2d			
e	Add lines **2a** through **2d**			2e	84,962,242
3	Subtract line **2e** from line **1**			3	315,742,346
4	Amounts included on Form 990, Part VIII, line 12, but not on line **1**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	10,996,755		
b	Other (Describe in Part XIII)	4b	-902,689		
c	Add lines **4a** and **4b**			4c	10,094,066
5	Total revenue Add lines **3** and **4c.** (This must equal Form 990, Part I, line 12)			5	325,836,412

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements			1	291,816,649
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a	11,140,132		
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIII)	2d	902,689		
e	Add lines **2a** through **2d**			2e	12,042,821
3	Subtract line **2e** from line **1**			3	279,773,828
4	Amounts included on Form 990, Part IX, line 25, but not on line **1:**				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	10,996,755		
b	Other (Describe in Part XIII)	4b			
c	Add lines **4a** and **4b**			4c	10,996,755
5	Total expenses Add lines **3** and **4c.** (This must equal Form 990, Part I, line 18)			5	290,770,583

Part XIII Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b Also complete this part to provide any additional information

Identifier	Return Reference	Explanation
COLLECTIONS OF ART, HISTORICAL TREASURES, OR OTHER SIMILAR ASSETS	SCHEDULE D, PART III, LINES 1A AND 4	THE LIBRARY HAS EXTENSIVE RESEARCH COLLECTIONS OF LIBRARY MATERIALS, INCLUDING BOOKS, PERIODICALS AND OTHER ITEMS THESE COLLECTIONS ARE MAINTAINED BY THE RESEARCH LIBRARIES UNDER CURATORIAL CARE AND ARE HELD FOR RESEARCH, EDUCATION AND PUBLIC EXHIBITION IN FURTHERANCE OF PUBLIC SERVICE PROCEEDS FROM THE SALES OF COLLECTIONS ARE USED TO ACQUIRE OTHER ITEMS FOR COLLECTIONS THE COST OF COLLECTIONS PURCHASED BY THE LIBRARY FOR THE RESEARCH LIBRARIES IS CHARGED TO EXPENSE WHEN INCURRED AND DONATED COLLECTION ITEMS ARE NOT RECORDED THE VALUE OF THE LIBRARY'S RESEARCH COLLECTIONS CANNOT BE DETERMINED THE COST OF BOOKS AND OTHER LIBRARY MATERIALS PURCHASED BY THE BRANCH LIBRARIES IS NOT RECORDED AS COLLECTIONS, BUT IS CHARGED AS A LIBRARY SERVICES EXPENSE IN THE YEAR PURCHASED BECAUSE, LARGELY BY REASON OF THEIR FREQUENT USE, SUCH ITEMS ARE EXHAUSTIBLE OVER A SHORT PERIOD OF TIME
INTENDED USES OF THE ORGANIZATION'S ENDOWMENT FUNDS	SCHEDULE D, PART V, LINE 4	THE LIBRARY'S ENDOWMENT CONSISTS OF 407 INDIVIDUAL FUNDS ESTABLISHED FOR A VARIETY OF PURPOSES, INCLUDING BOTH DONOR-RESTRICTED ENDOWMENT FUNDS AND FUNDS DESIGNATED BY THE BOARD OF TRUSTEES TO FUNCTION AS ENDOWMENTS NET ASSETS ASSOCIATED WITH THE ENDOWMENT FUNDS ARE CLASSIFIED AND REPORTED BASED ON THE EXISTENCE OR ABSENCE OF DONOR-IMPOSED RESTRICTIONS THE ENDOWMENT FUNDS SUPPORT THE FOLLOWING PROGRAM ACTIVITIES -BRANCH LIBRARIES -RESEARCH LIBRARIES -CONSERVATION AND CATALOGING -EXHIBITIONS AND PUBLIC EDUCATION PROGRAMS -OTHER - PRINCIPALLY, FOR THE GENERAL OPERATIONS OF THE RESEARCH LIBRARIES AND LIBRARY-WIDE PROGRAMS
ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES	SCHEDULE D, PART X, LINE 2	THE LIBRARY USES A THRESHOLD OF MORE-LIKELY THAN-NOT FOR RECOGNITION AND DERECOGNITION OF TAX POSITIONS TAKEN OR EXPECTED TO BE TAKEN IN A TAX RETURN AS OF JUNE 30, 2013, THE LIBRARY DOES NOT HAVE ANY UNCERTAIN TAX POSITIONS OR ANY UNRELATED BUSINESS INCOME TAX LIABILITY WHICH WOULD HAVE A MATERIAL IMPACT UPON ITS FINANCIAL STATEMENTS
RECONCILIATION OF REVENUE PER AUDITED FINANCIAL STATEMENTS TO 990 RETURN	SCHEDULE D, PART XI LINE 4B - OTHER	$ (258,119) LABOR COSTS AND OTHER EXPENSES RELATED TO UNRELATED BUSINESS USE OF SPACE $ (644,570) LABOR COSTS AND OTHER EXPENSES ALLOCATED TO COST OF GOODS SOLD ------------ $(902,689) PER THE ORGANIZATION'S ACCOUNTING PRACTICES, EXPENSES RELATED TO SPECIAL EVENTS (DIRECT BENEFITS TO DONORS) ARE NETTED WITH REVENUE FROM SPECIAL EVENTS FOR FINANCIAL STATEMENT PRESENTATION THESE AMOUNTS ARE INCLUDED IN SCHEDULE D, PART XI, LINE 1 COST OF GOODS SOLD RELATED TO THE SALE OF INVENTORY IS ALSO NETTED WITH REVENUE FROM SALES OF INVENTORY FOR FINANCIAL STATEMENT PRESENTATION THESE AMOUNTS ARE INCLUDED IN SCHEDULE D, PART XI, LINE 1
RECONCILIATION OF EXPENSES PER AUDITED FINANCIAL STATEMENTS TO 990 RETURN	SCHEDULE D, PART XII, LINE 2D - OTHER	$ 258,119 LABOR COSTS AND OTHER EXPENSES RELATED TO UNRELATED BUSINESS USE OF SPACE $ 644,570 LABOR COSTS AND OTHER EXPENSES ALLOCATED TO COST OF GOODS SOLD ----------- $ 902,689 PER THE ORGANIZATION'S ACCOUNTING PRACTICES, EXPENSES RELATED TO SPECIAL EVENTS (DIRECT BENEFIT TO DONORS) ARE NETTED WITH REVENUE FROM SPECIAL EVENTS FOR FINANCIAL STATEMENT PRESENTATION THESE AMOUNTS ARE NOT INCLUDED ON SCHEDULE D, PART XII, LINE 1 COST OF GOODS SOLD RELATED TO THE SALE OF INVENTORY IS ALSO NETTED WITH REVENUE FROM SALES OF INVENTORY FOR FINANCIAL STATEMENT PRESENTATION THESE AMOUNTS ARE NOT INCLUDED ON SCHEDULE D, PART XII, LINE 1

SCHEDULE F (Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

► Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.

► Attach to Form 990. ► See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

Employer identification number
13-1887440

Part I General Information on Activities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . ☐ **Yes** ☐ **No**

2 **For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of grant funds outside the United States.

3 Activites per Region (The following Part I, line 3 table can be duplicated if additional space is needed)

	(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
	North America			Program Services	DIGITAL CATALOG	821,385
	Central America and the Caribbean			Investments		137,931,943
3a	Sub-total					138,753,328
b	Total from continuation sheets to Part I					
c	**Totals** (add lines 3a and 3b)					138,753,328

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than $5,000. Part II can be duplicated if additional space is needed.

1 (a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . ▶

3 Enter total number of other organizations or entities . . . . ▶

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)

Part IV Foreign Forms

1 Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* ☑ Yes ☐ No

2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organizationmay be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A)* ☐ Yes ☑ No

3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)* ☑ Yes ☐ No

4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* ☑ Yes ☐ No

5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships. (see Instructions for Form 8865)* ☑ Yes ☐ No

6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713).* ☐ Yes ☑ No

Part V Supplemental Information

Complete this part to provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

Identifier	ReturnReference	Explanation

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493134033084

SCHEDULE G (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than $15,000 on Form 990-EZ, line 6a. Form 990-EZ filers are not required to complete this part.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND
TILDEN FOUNDATIONS

Employer identification number
13-1887440

Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17.

1 Indicate whether the organization raised funds through any of the following activities Check all that apply

a ☑ Mail solicitations
b ☑ Internet and email solicitations
c ☑ Phone solicitations
d ☑ In-person solicitations
e ☑ Solicitation of non-government grants
f ☑ Solicitation of government grants
g ☑ Special fundraising events

2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☑ Yes ☐ No

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least $5,000 by the organization

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions? Yes	No	(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
DRAKES BAY FUNDRAISING INC	DIRECT MKTG SEE SCH 0		No	1,862,378	332,543	1,529,835
JOHN BROWN LTD INC	MAJOR GIV SEE SCH O		No		84,000	-84,000
PDR II INC DBA SHARE	TELE-MKTG SEE SCH 0		No	7,882	8,218	-336
WAKEBY FIRE ASSOCIATES LLC	DIRECT MKTG SEE SCH 0		No	756,803	197,159	559,644
Total . . . . ▶				2,627,063	621,920	2,005,143

3 List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing

AK, AZ, CA, CT, HI, IL, KS, KY, LA, ME, MD, MA, MI, MN, MS, NH, NJ, NM, NC, ND, OH, OK, OR, PA, SC, TN, UT, VA, WA, WV, WI

Part II **Fundraising Events.** Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than $15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than $5,000.

			(a) Event #1 LIBRARY LIONS (event type)	(b) Event #2 CORP DINNER (event type)	(c) Other events 4 (total number)	(d) Total events (add col (a) through col (c))
Revenue	1	Gross receipts	2,112,000	1,288,168	1,101,205	4,501,373
	2	Less Contributions	1,980,850	1,232,268	1,047,755	4,260,873
	3	Gross income (line 1 minus line 2)	131,150	55,900	53,450	240,500
Direct Expenses	4	Cash prizes				
	5	Noncash prizes				
	6	Rent/facility costs				
	7	Food and beverages	73,727	49,988	73,540	197,255
	8	Entertainment				
	9	Other direct expenses				
	10	Direct expense summary Add lines 4 through 9 in column (d) ▶				(197,255)
	11	Net income summary Combine line 3, column (d), and line 10 ▶				43,245

Part III **Gaming.** Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than $15,000 on Form 990-EZ, line 6a.

			(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
Revenue	1	Gross revenue				
Direct Expenses	2	Cash prizes				
	3	Non-cash prizes				
	4	Rent/facility costs				
	5	Other direct expenses				
	6	Volunteer labor	☐ Yes ______ ☐ No	☐ Yes ______ ☐ No	☐ Yes ______ ☐ No	
	7	Direct expense summary Add lines 2 through 5 in column (d) ▶				
	8	Net gaming income summary Combine lines 1 and 7 in column (d) ▶				

9 Enter the state(s) in which the organization operates gaming activities ______

a Is the organization licensed to operate gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain ______

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain ______

Does the organization operate gaming activities with nonmembers? . . . . ☐ Yes ☐ No

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? . . . . ☐ Yes ☐ No

13 Indicate the percentage of gaming activity operated in

a The organization's facility	13a	
b An outside facility	13b	

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name ▶

Address ▶

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? . . . . ☐ Yes ☐ No

b If "Yes," enter the amount of gaming revenue received by the organization ▶ $ __________ and the amount of gaming revenue retained by the third party ▶ $ __________

c If "Yes," enter name and address of the third party

Name ▶

Address ▶

16 Gaming manager information

Name ▶

Gaming manager compensation ▶ $

Description of services provided ▶

☐ Director/officer ☐ Employee ☐ Independent contractor

17 Mandatory distributions

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? . . . . ☐ Yes ☐ No

b Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ $

Part IV **Supplemental Information.** Complete this part to provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

Identifier	Return Reference	Explanation

Schedule I (Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

Employer identification number
13-1887440

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . ☑ **Yes** ☐ **No**

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . ▶

3 Enter total number of other organizations listed in the line 1 table . . . ▶

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
(1) FELLOWSHIP GRANTS	61	1,305,031			

Part IV **Supplemental Information.**

Complete this part to provide the information required in Part I, line 2, Part III, column (b), and any other additional information

Identifier	Return Reference	Explanation
MONITORING THE USE OF GRANTS	SCHEDULE I, PART I, LINE 2	THE LIBRARY HAS TWO FELLOWSHIP PROGRAMS THE DOROTHY AND LEWIS B CULLMAN CENTER FOR SCHOLARS AND WRITERS LOCATED AT THE STEPHEN A SCHWARZMAN BUILDING AND THE SCHOLARS-IN-RESIDENCE PROGRAM AT THE SCHOMBURG CENTER FOR RESEARCH IN BLACK CULTURE THE PROGRAMS ARE INTENDED TO CONNECT THE FELLOWS WITH THE RESOURCES OF THE LIBRARY, TO PROMOTE INTERPRETIVE AND CREATIVE SCHOLARSHIP AND WRITING, TO ENCOURAGE FELLOWS TO PRODUCE SCHOLARLY AND CREATIVE WORKS, AND TO PRESENT THEIR ORIGINAL WORK IN PAPERS, SYMPOSIA OR LECTURES TO THE PUBLIC, AND TO FOSTER PUBLIC DISCOURSE ABOUT ISSUES RELATING TO HISTORY, CULTURE, AND CREATIVITY AN ADVERTISEMENT IS PLACED IN THE NEW YORK REVIEW OF BOOKS EACH SPRING FOR APPLICATIONS DUE IN LATE SEPTEMBER ANNOUNCEMENTS OF THE PROGRAMS ARE ALSO POSTED ON THE LIBRARY'S WEBSITE CULLMAN CENTER FELLOWSHIPS AT THE STEPHEN A SCHWARZMAN BUILDING ARE OPEN TO ACADEMICS, INDEPENDENT SCHOLARS, AND CREATIVE WRITERS THE CENTER HAS TWO COMMITTEES -- A PRELIMINARY REVIEWING PANEL, WHICH ASSESSES AND RANKS THE APPLICATIONS, AND A SELECTION COMMITTEE, WHICH REVIEWS THE FINALISTS AND CHOOSES THE CLASS OF FELLOWS THE SELECTION COMMITTEE IS COMPRISED OF SEVEN INDIVIDUALS FELLOWSHIPS IN THE SCHOLARS-IN-RESIDENCE PROGRAM AT THE SCHOMBURG CENTER ARE OPEN TO ACADEMICS AND INDEPENDENT SCHOLARS THE SCHOMBURG CENTER'S SELECTION COMMITTEE REVIEWS THE APPLICATIONS AND SELECTS THE FELLOWS THE SELECTION COMMITTEE IS COMPRISED OF FIVE EXTERNAL REVIEWERS, WHO SERVE UNDER THE DIRECTION OF THE PROGRAM DIRECTOR FOR BOTH CENTERS, THE CRITERIA FOR SELECTION INCLUDE (1) THE RELEVANCE OF THE PROPOSED PROJECT TO THE HOLDINGS OF THE LIBRARY, (2) THE QUALITY AND FEASIBILITY OF THE PROJECT PLAN, (3) THE LIKELIHOOD THAT THE PROJECT WILL BE COMPLETED SUCCESSFULLY, (4) EXCELLENCE, AS SHOWN IN A HIGHLY PROMISING PROPOSAL BY AN APPLICANT, (5) A RECORD OF SIGNIFICANT ACCOMPLISHMENT BY AN APPLICANT ESTABLISHED IN HIS OR HER FIELD OR DISCIPLINE, AND (6) LETTERS OF RECOMMENDATION FROM OTHER SCHOLARS OR WRITERS IN SUPPORT OF THE PROJECT FELLOWS ARE IN RESIDENCE AT THE LIBRARY FOR THE ACADEMIC YEAR FOLLOWING THEIR SELECTION WHEN THEY FINISH THE BOOKS THEY WORKED ON AT THE LIBRARY, THEY SEND COPIES TO THOSE WHO OVERSEE THE PROGRAMS FOR MANY OF THESE BOOKS AND WRITERS, THE CULLMAN CENTER AND THE SCHOMBURG CENTER SPONSOR PUBLIC PROGRAMS THAT HIGHLIGHT AND DISCUSS THE FELLOWS' BOOKS

Schedule J (Form 990)

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.

▶ Attach to Form 990. ▶ See separate instructions.

Department of the Treasury
Internal Revenue Service

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

Employer identification number
13-1887440

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropiate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items ☐ First-class or charter travel ☐ Travel for companions ☑ Tax idemnification and gross-up payments ☐ Discretionary spending account ☑ Housing allowance or residence for personal use ☐ Payments for business use of personal residence ☑ Health or social club dues or initiation fees ☐ Personal services (e g , maid, chauffeur, chef)			
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	**1b**	Yes	
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	**2**	Yes	
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III ☑ Compensation committee ☑ Independent compensation consultant ☑ Form 990 of other organizations ☐ Written employment contract ☑ Compensation survey or study ☑ Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization			
a	Receive a severance payment or change-of-control payment?	**4a**	Yes	
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	**4b**		No
c	Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III	**4c**		No
	Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.			
5	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of			
a	The organization?	**5a**		No
b	Any related organization? If "Yes," to line 5a or 5b, describe in Part III	**5b**		No
6	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of			
a	The organization?	**6a**		No
b	Any related organization? If "Yes," to line 6a or 6b, describe in Part III	**6b**		No
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	**7**		No
8	Were any amounts reported in Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regulations section 53 4958-4(a)(3)? If "Yes," describe in Part III	**8**		No
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?	**9**		

Part II **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

(A) Name and Title		**(B)** Breakdown of W-2 and/or 1099-MISC compensation			**(C)** Retirement and other deferred compensation	**(D)** Nontaxable benefits	**(E)** Total of columns (B)(i)-(D)	**(F)** Compensation reported as deferred in prior Form 990
		(i) Base compensation	**(ii)** Bonus & incentive compensation	**(iii)** Other reportable compensation				
See Additional Data Table								

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II
Also complete this part for any additional information

Identifier	Return Reference	Explanation
REIMBURSEMENT OF CERTAIN EXPENSES	SCHEDULE J, PART I, LINE 1A	THE LIBRARY REIMBURSES THE PRESIDENT FOR CLUB MEMBERSHIP DUES AND PROVIDES HOUSING AND TUITION ALLOWANCES AS PER HIS EMPLOYMENT CONTRACT SUCH AMOUNTS ARE INCLUDED IN OTHER REPORTABLE COMPENSATION ON SCHEDULE J, PART II, COLUMN (B)(III) ALSO, THE COMPENSATION INFORMATION FOR THE PRESIDENT SHOWS A FULL YEAR OF COMPENSATION, AS REPORTED ON HIS 2012 W-2, WHEREAS THE PRIOR YEAR FORM 990 SHOWED INFORMATION FROM HIS 2011 W-2 THAT REFLECTED ONLY SIX MONTHS OF SERVICE SEVERANCE PAYMENTS SCHEDULE J, PART I, LINE 4A BARBARA R TARANTO, FORMER DIGITAL PROGRAM DIRECTOR, SEPARATED ON MAY 10, 2012 AND RECEIVED A SEVERANCE PAYMENT OF $155,500 BONUS SCHEDULE J, PART II, COLUMN B(II) TODD M CORBIN, CHIEF INVESTMENT OFFICER, RECEIVED A ONE-TIME BONUS OF $150,000 AT THE END OF HIS FIRST FOUR YEARS OF SERVICE AS PER HIS EMPLOYMENT CONTRACT
OTHER REPORTABLE COMPENSATION	SCHEDULE J, PART II, COLUMN B (III)	OTHER REPORTABLE COMPENSATION IN COLUMN B(III) FOR CERTAIN LISTED EMPLOYEES INCLUDES AMOUNTS DEFERRED UNDER A SECTION 457(B) PLAN AND CERTAIN IMPUTED INCOME AMOUNTS OTHER REPORTABLE COMPENSATION ALSO INCLUDES ANY SEVERANCE PAYMENT NOTED IN PART I, LINE 4A AND ACCRUED BENEFIT PAYOUTS TO BARBARA R TARANTO AND DEANNA LEE IN ADDITION, OTHER REPORTABLE COMPENSATION INCLUDES TEMPORARY HOUSING AND THE RELATED TAX GROSS-UP, AND RELOCATION STIPEND FOR MICHELE COLEMAN MAYES RETIREMENT AND OTHER DEFERRED COMPENSATION SCHEDULE J, PART II, COLUMN C RETIREMENT AND OTHER DEFERRED COMPENSATION REFLECTS THE CHANGE IN ACTUARIAL VALUE OF THE DEFINED BENEFIT PENSION PLAN, NEW YORK STATE AND LOCAL EMPLOYEES' RETIREMENT SYSTEM (NYSLRS), AND NOT THE LIBRARY'S ACTUAL CONTRIBUTION TO THE PLAN THE CHANGE IN ACTUARIAL VALUE IS BASED ON NYSLRS FISCAL YEAR APRIL 1, 2012 THROUGH MARCH 31, 2013 THE CHANGE IN ACTUARIAL VALUE FOR EMPLOYEES WHOSE SERVICES ENDED DURING NYSLRS FISCAL YEAR REPORTED ARE EXCLUDED FROM SCHEDULE J, PART II, COLUMN C, AND ARE AS FOLLOWS * DEANNA LEE $(81,306) * SHARON HEWITT WATKINS $15,861 * BARBARA R TARANTO $(8,221) COMPENSATION OF CHIEF LIBRARY OFFICER AND VICE PRESIDENT FOR COMMUNICATIONS AND MARKETING MARY LEE KENNEDY, CHIEF LIBRARY OFFICER, AND KENNETH N WEINE, VICE PRESIDENT FOR COMMUNICATIONS AND MARKETING, BEGAN WORKING AT THE LIBRARY IN MAY 2013 AND FEBRUARY 2013, RESPECTIVELY THEREFORE, THEY DID NOT RECEIVE COMPENSATION FOR CALENDAR YEAR 2012 AND COMPENSATION REPORTED ON SCHEDULE J,PART II IS 2012 CALENDAR YEAR COMPENSATION COMPENSATION OF CHIEF TECHNOLOGY OFFICER JANE ABOYOUN BEGAN WORKING AS THE ORGANIZATION'S CHIEF TECHNOLOGY OFFICER IN APRIL 2011 THEREFORE, THE COMPENSATION INFORMATION IN THE PRIOR YEAR FORM 990 REFLECTED APPROXIMATELY EIGHT MONTHS OF SERVICE

Additional Data

Return to Form

Software ID:
Software Version:
EIN: 13-1887440
Name: THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
ANTHONY W MARX	(i)	491,049	0	220,601	36,847	32,710	781,207	0
	(ii)	0	0	0	0	0	0	0
JANE ABOYOUN	(i)	273,049	0	464	38,267	36,569	348,349	0
	(ii)	0	0	0	0	0	0	0
JACQUELINE F BAUSCH	(i)	245,080	0	188	50,610	29,683	325,561	0
	(ii)	0	0	0	0	0	0	0
TODD M CORBIN	(i)	484,434	150,000	381	34,740	28,828	698,383	0
	(ii)	0	0	0	0	0	0	0
ANNE L CORISTON	(i)	217,457	0	305	106,326	15,497	339,585	0
	(ii)	0	0	0	0	0	0	0
DEANNA LEE	(i)	178,942	0	9,580		21,420	209,942	0
	(ii)	0	0	0	0	0	0	0
MICHELE COLEMAN MAYES	(i)	130,497	0	70,507	10,338	3,845	215,187	0
	(ii)	0	0	0	0	0	0	0
GEORGE D MIHALTSES	(i)	167,240	0	254	28,399	3,155	199,048	0
	(ii)	0	0	0	0	0	0	0
DAVID G OFFENSEND	(i)	332,588	0	1,057	43,408	31,061	408,114	0
	(ii)	0	0	0	0	0	0	0
JOANNA M PESTKA	(i)	197,218	0	8,880	93,060	22,713	321,871	0
	(ii)	0	0	0	0	0	0	0
JAMES PISANIELLO	(i)	167,612	0	22,293	0	1,886	191,791	0
	(ii)	0	0	0	0	0	0	0
JEFFREY ROTH	(i)	213,265	0	285	69,010	26,274	308,834	0
	(ii)	0	0	0	0	0	0	0
LOUISE SHEA	(i)	269,883	0	862	44,036	28,546	343,327	0
	(ii)	0	0	0	0	0	0	0
ANN D THORNTON	(i)	207,601	0	17,271	55,796	31,911	312,579	0
	(ii)	0	0	0	0	0	0	0
SHARON HEWITT WATKINS	(i)	206,352	0	443		32,153	238,948	0
	(ii)	0	0	0	0	0	0	0
JENNIFER ZASLOW	(i)	246,207	0	326	44,424	26,337	317,294	0
	(ii)	0	0	0	0	0	0	0
MARK D BERKOWSKY	(i)	209,104	0	315	40,269	34,319	284,007	0
	(ii)	0	0	0	0	0	0	0
KHALIL GIBRAN MUHAMMAD	(i)	201,120	0	1,062	35,422	3,344	240,948	0
	(ii)	0	0	0	0	0	0	0
VICTORIA STEELE	(i)	192,763	0	17,437	40,464	10,967	261,631	0
	(ii)	0	0	0	0	0	0	0
JEAN STROUSE	(i)	224,133	0	377	44,390	9,869	278,769	0
	(ii)	0	0	0	0	0	0	0

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
BARBARA R TARANTO	(i)	52,969	0	167,692		12,554	233,215	0
	(ii)	0	0	0	0	0	0	0

Schedule L
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Transactions with Interested Persons

▶ Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047
2012
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Name of the organization
THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

Employer identification number
13-1887440

Part I Excess Benefit Transactions (section 501(c)(3) and section 501(c)(4) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1 (a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected? Yes	No

2 Enter the amount of tax incurred by organization managers or disqualified persons during the year under section 4958 . . . . ▶ $

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization . . . . ▶ $

Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26, or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization? To	From	(e) Original principal amount	(f) Balance due	(g) In default? Yes	No	(h) Approved by board or committee? Yes	No	(i) Written agreement? Yes	No
Total			▶ $									

Part III Grants or Assistance Benefitting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance

Part IV **Business Transactions Involving Interested Persons.**
Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues? Yes	No
(1) NEUBERGER BERMAN	SEE PART V	477,942	MANAGEMENT FEES		No

Part V **Supplemental Information**
Complete this part to provide additional information for responses to questions on Schedule L (see instructions)

Identifier	Return Reference	Explanation
BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS	SCHEDULE L, PART IV	RELATIONSHIP BETWEEN INTERESTED PERSON AND THE ORGANIZATION LAURA J SLOATE, TRUSTEE, IS A MANAGING DIRECTOR OF NEUBERGER BERMAN THE LIBRARY HAS A PORTFOLIO THAT IS MANAGED BY NEUBERGER BERMAN THE RELATIONSHIP EXISTED PRIOR TO MS SLOATE'S APPOINTMENT AS A TRUSTEE OF THE LIBRARY AND MS SLOATE IS NOT PART OF THE INVESTMENT TEAM THAT MANAGES THE ASSETS FOR THE LIBRARY MS SLOATE RECUSED HERSELF FROM PARTICIPATION IN ANY RELATED DISCUSSION AND VOTE MS SLOATE RESIGNED AS TRUSTEE OF THE LIBRARY IN NOVEMBER 2012

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SCHEDULE M (Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

►Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
► Attach to Form 990.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

Employer identification number
13-1887440

Part I Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art	X	52		N/A
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications	X			N/A
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	X	45	1,453,735	MARKET PRICE
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ► ( )				
26 Other ► ( )				
27 Other ► ( )				
28 Other ► ( )				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . . | 29 | 6

		Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?	30a		No
b If "Yes," describe the arrangement in Part II			
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	31	Yes	
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?	32a		No
b If "Yes," describe in Part II			
33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II			

Part II **Supplemental Information.** Complete this part to provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
REVENUE NOT REPORTED FOR CONTRIBUTED PROPERTY	SCHEDULE M, PART I, LINE 33	DONATED COLLECTION ITEMS ARE NOT RECORDED REFER TO SCHEDULE D, PART III, LINE 1A

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SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND
TILDEN FOUNDATIONS

Employer identification number
13-1887440

Identifier	Return Reference	Explanation
PRINCIPAL OFFICER	FORM 990, ITEM F	ANTHONY W MARX HAS BEEN THE PRESIDENT AND CEO OF THE LIBRARY SINCE JULY 1, 2011

Identifier	Return Reference	Explanation
VOLUNTEERS	FORM 990, PART I, LINE 6	VOLUNTEERS PLAY A VITAL ROLE AT THE LIBRARY BY DONATING THEIR TIME, TALENT AND SKILLS, THEY SUPPORT AND ENHANCE THE LIBRARY'S PROGRAMS, SERVICES AND MISSION WHILE SHARING THEIR ASSISTANCE AND ENTHUSIASM WITH STAFF AND VISITORS SUBJECT TO APPLICANTS' QUALIFICATIONS AND INTERESTS, VOLUNTEER POSITIONS MAY BE AVAILABLE IN DEPARTMENTS SUCH AS INFORMATION DESKS, LIBRARY SHOP, LIVE@NYPL, TOURS, TEACHING ENGLISH FOR SPEAKERS OF OTHER LANGUAGES, LITERACY TUTORING, HELPING WITH CRAFT CLASSES FOR CHILDREN, SHELVING BOOKS AND/OR HELPING TO MAINTAIN ORDERLY SHELVES, TEACHING KNITTING OR CHESS, OR DOING READ-ALOUDS FOR CHILDREN SOME VOLUNTEERS ASSIST WITH GENERAL OFFICE, PHONE WORK, AND MAILINGS SALARIES, OTHER COMPENSATION, EMPLOYEE BENEFITS FORM 990, PART I, LINE 15 INCREASE PRIMARILY RELATES TO FRINGE BENEFIT COSTS DUE TO HIGHER COSTS ASSOCIATED WITH PENSION AND POSTRETIREMENT BENEFITS

Identifier	Return Reference	Explanation
PROGRAM SERVICES	FORM 990, PART III, LINE 4	LINE 4A - THE BRANCH LIBRARIES THE SERVICES OF THE 86 BRANCH LIBRARIES EXTEND FAR BEYOND THE TRADITIONAL LENDING ROLE USUALLY ASSOCIATED WITH NEIGHBORHOOD LIBRARIES, TO PROVIDE VITAL OUTREACH SERVICES AND PROGRAMS TO SCHOOLS, NURSING HOMES, HOSPITALS, SHELTERS AND PRISONS, AND TO THE BLIND AND PHYSICALLY HANDICAPPED, INCLUDING BOOK-BY-MAIL DELIVERIES TO THE HOMEBOUND IN FISCAL 2013, THERE WERE 14 2 MILLION VISITS TO THE BRANCH LIBRARIES BY INDIVIDUALS WHO BORROWED 25 9 MILLION ITEMS THE BRANCH LIBRARIES SPONSORED 59,030 PROGRAMS, ATTENDED BY 1,120,064 ADULTS AND CHILDREN COLLECTIONS INCLUDE 5 0 MILLION BOOKS AND 1 1 MILLION NONPRINT ITEMS SUCH AS FILMS, VIDEOTAPES, PICTURES, AUDIO RECORDINGS AND MATERIALS FOR THE BLIND REFERENCE INQUIRIES TOTALED 8 8 MILLION AND DIRECTIONAL INQUIRIES WERE APPROXIMATELY 5 2 MILLION LINE 4B - THE RESEARCH LIBRARIES DURING FISCAL 2013, THE FOUR RESEARCH LIBRARIES - THE STEPHEN A SCHWARZMAN BUILDING, THE SCIENCE, INDUSTRY AND BUSINESS LIBRARY, THE SCHOMBURG CENTER FOR RESEARCH IN BLACK CULTURE, AND THE LIBRARY FOR PERFORMING ARTS - HAD 3 5 MILLION ON-SITE USERS LIBRARY STAFF RESPONDED TO 205,246 REFERENCE INQUIRIES OF 45 0 MILLION COLLECTION ITEMS, APPROXIMATELY 16 6 MILLION ARE BOOKS AND BOOK-LIKE MATERIALS, AND THE REMAINDER CONSISTS OF ITEMS SUCH AS AUDIO RECORDINGS, FILMS, MAPS, SHEET MUSIC, PRINTS, AND CLIPPINGS THE RESEARCH LIBRARIES HAVE AN EXTENSIVE CONSERVATION AND PRESERVATION PROGRAM THROUGH RESTORATION, PRESERVATION, MICROFILMING AND REPRINT, 280,192 ITEMS WERE PRESERVED IN ADDITION, ABOUT 2,979 HOURS OF VIDEO TAPE MEDIA, 2,223 HOURS OF RECORDED SOUND MEDIA, AND 58,955 FEET OF MOTION PICTURE FILM WERE PRESERVED AS PART OF THE LIBRARY'S RICH PUBLIC EDUCATION PROGRAM, 29 MAJOR EXHIBITIONS WERE MOUNTED AT THE FOUR LIBRARIES, AND A NUMBER OF SMALLER DISPLAYS WERE ON VIEW ALL YEAR EMINENT SCIENTISTS, SCHOLARS AND WRITERS PARTICIPATED IN LECTURES, PANEL DISCUSSIONS, AND RECITALS, FURTHERING THE LIBRARY'S EFFORTS TO MAKE AVAILABLE TO THE PUBLIC A SERIES OF EDUCATIONAL AND CULTURAL PROGRAMS OF THE HIGHEST QUALITY

Identifier	Return Reference	Explanation
RELATIONSHIPS	FORM 990, PART VI, LINE 2	TRUSTEE, JOHN H BANKS III AND TRUSTEE, GORDON J DAVIS - BUSINESS RELATIONSHIP TRUSTEE, LOUISE L GRUNWALD AND TRUSTEE, ROBERT LIBERMAN - FAMILY RELATIONSHIP CHANGES TO GOVERNING DOCUMENTS FORM 990, PART VI, LINE 4 THE LIBRARY AMENDED ITS BYLAWS ON NOVEMBER 14, 2012 KEY CHANGES INCLUDE THE REDUCTION IN THE NUMBER OF COMMITTEES AS WELL AS CHANGES TO AGE LIMITS AND INTRODUCTION OF TERM LIMITS FOR CERTAIN OFFICERS AND COMMITTEE CHAIRS

Identifier	Return Reference	Explanation
REVIEW OF FORM 990	FORM 990, PART VI, LINE 11B	THE LIBRARY'S FORM 990 IS REVIEWED BY OFFICERS AND CERTAIN KEY EMPLOYEES AS REQUIRED BY THE CHARTER OF THE AUDIT COMMITTEE OF THE BOARD OF TRUSTEES, THE FORM 990 IS THEN SENT TO THE MEMBERS OF THE AUDIT COMMITTEE FOR THEIR REVIEW WITH MANAGEMENT AT A SCHEDULED AUDIT COMMITTEE MEETING PRIOR TO FILING FINALLY AND ALSO PRIOR TO FILING, THE FORM 990 IS SENT TO THE MEMBERS OF THE BOARD OF TRUSTEES FOR REVIEW

Identifier	Return Reference	Explanation
CONFLICT OF INTEREST POLICY	FORM 990, PART VI, LINE 12C	NEWLY ELECTED TRUSTEES AND NEWLY APPOINTED OFFICERS ARE PROVIDED WITH A COPY OF THE POLICY UPON ELECTION TO THE BOARD OR APPOINTMENT AS AN OFFICER UPON RECEIPT, EACH SUCH NEWLY ELECTED TRUSTEE AND NEWLY APPOINTED OFFICER IS REQUIRED PROMPTLY TO COMPLETE, SIGN AND RETURN THE CONFLICT OF INTEREST STATEMENT EACH TRUSTEE, OFFICER, AND KEY EMPLOYEE IS PROVIDED WITH A COPY OF THE POLICY ON AN ANNUAL BASIS UPON RECEIPT, EACH SUCH TRUSTEE, OFFICER, AND KEY EMPLOYEE IS REQUIRED TO PROMPTLY COMPLETE, SIGN, AND RETURN THE CONFLICT OF INTEREST ANNUAL STATEMENT AND ANNUAL QUESTIONNAIRE EACH CONFLICT OF INTEREST STATEMENT AND ANNUAL QUESTIONNAIRE IS REVIEWED AND EVALUATED IN ACCORDANCE WITH THE POLICY FOR ANY ACTUAL AND/OR POTENTIAL CONFLICT OF INTEREST A TRUSTEE, OFFICER, OR KEY EMPLOYEE SHALL NOT VOTE ON, APPROVE OR RECOMMEND ANY TRANSACTION IN WHICH HE OR SHE OR ANY MEMBER OF HIS OR HER FAMILY HAS ANY ACTUAL OR POTENTIAL CONFLICT OF INTEREST IF THE TRUSTEE, OFFICER, OR KEY EMPLOYEE IS PRESENT AT A MEETING OF THE BOARD OR ANY COMMITTEE AT WHICH SUCH MATTER IS CONSIDERED, THE TRUSTEE, OFFICER, OR KEY EMPLOYEE SHALL LEAVE THE MEETING DURING ANY DISCUSSIONS OR VOTES RELATING TO SUCH MATTER

Identifier	Return Reference	Explanation
COMPENSATION	FORM 990, PART VI, QUESTION 15A AND 15B	THE COMPENSATION AND TALENT DEVELOPMENT COMMITTEE OF THE BOARD OF TRUSTEES MEETS AT LEAST THREE TIMES A YEAR TO REVIEW THE COMPENSATION OF THE PRESIDENT, OFFICERS AND KEY EMPLOYEES OF THE LIBRARY, AS RECORDED CONTEMPORANEOUSLY IN MINUTES THE LIBRARY REGULARLY CONSULTS COMPETITIVE DATA INCLUDING PUBLISHED SURVEYS AND FORM 990 DATA WHEN REVIEWING AND MAKING COMPENSATION AND SALARY ADJUSTMENTS IN ADDITION, THE LIBRARY RETAINS THE SERVICES OF AN INDEPENDENT CONSULTING FIRM TO SURVEY EXECUTIVE COMPENSATION AMONG PEER ORGANIZATIONS TO PRESENT TO THE COMPENSATION AND TALENT DEVELOPMENT COMMITTEE OF THE BOARD OF TRUSTEES FOR REVIEW AND TO SUPPORT DELIBERATIONS IN MAKING COMPENSATION DECISIONS

Identifier	Return Reference	Explanation
PUBLIC DISCLOSURE	FORM 990 PART VI, LINE 19	THE LIBRARY'S BYLAWS ARE MADE AVAILABLE TO THE GENERAL PUBLIC AS THEY ARE POSTED ON THE LIBRARY'S WEBSITE. THE LIBRARY'S CONFLICT OF INTEREST POLICY IS MADE AVAILABLE TO THE GENERAL PUBLIC AS IT IS POSTED ON THE LIBRARY'S WEBSITE. THE LIBRARY'S AUDITED FINANCIAL STATEMENTS ARE MADE AVAILABLE TO THE GENERAL PUBLIC AS THEY ARE POSTED ON THE LIBRARY'S WEBSITE AND PROVIDED UPON REQUEST

Identifier	Return Reference	Explanation
FUNDRAISING ACTIVITIES	FORM 990, PART IX, COLUMN (D) AND SCHEDULE G, PART I	THE LIBRARY IS CONTINUALLY WORKING TO ASSESS CURRENT AND LONG-TERM FUNDRAISING GOALS, AND STRIVES DILIGENTLY TO ALLOCATE RESOURCES TO MEET THOSE GOALS DUE TO THE NATURE OF PLANNED GIVING AND OTHER LONG-TERM FUNDRAISING ACTIVITIES, RESOURCE ALLOCATION AND CONTRIBUTION REVENUE ARE OFTEN REPORTED IN SEPARATE TIME PERIODS THE LIBRARY'S FUNDRAISING AND MEMBERSHIP DEVELOPMENT ACTIVITIES INCLUDE WORKING WITH PROGRAM STAFF TO DEVELOP STATEMENTS OF NEED FOR PRIVATE FUNDRAISING, INCLUDING ENDOWMENT AND CAPITAL CONTRIBUTIONS, SOLICITING CONTRIBUTIONS FOR THOSE NEEDS AND FOR THE ANNUAL FUND FROM INDIVIDUALS, CORPORATIONS AND FOUNDATIONS, CONDUCTING OUTREACH EFFORTS TO SECURE MEMBERSHIP CONTRIBUTIONS AND CREATE AWARENESS OF THE LIBRARY AND ITS PROGRAMS, AND CONDUCTING SPECIAL FUNDRAISING EVENTS

Identifier	Return Reference	Explanation
OTHER CHANGES IN NET ASSETS OR FUND BALANCES	FORM 990, PART XI, LINE 9	5,446,015 CHANGE IN VALUE OF INTEREST RATE SWAPS 26,138,227 POSTRETIREMENT BENEFITS OTHER THAN NET PERIODIC COST --------------- $31,584,242

Identifier	Return Reference	Explanation
ACTIVITIES CONDUCTED BY PROFESSIONAL FUNDRAISERS	SCHEDULE G, PART I, QUESTION 2B, COLUMN (II)	*DRAKES BAY FUNDRAISING, INC IS A FULL-SERVICE MARKETING AGENCY THAT WORKS ON THE FRIENDS OF THE LIBRARY DIRECT-MAIL PROGRAM IT COLLABORATES ON ANNUAL PLANNING AND BUDGETING, DEVELOPS CREATIVE CAMPAIGNS AND MANAGES ALL PRODUCTION AND MAILING SERVICE LISTS FOR THE FRIENDS OF THE LIBRARY PROGRAM IN ADDITION, IT MONITORS DIRECT-MAIL PROGRAM RETURNS AND PROVIDES ANALYSIS TO SUPPORT STRATEGIC DECISIONS *JOHN BROWN LTD , INC , CONDUCTED SEVERAL MONTHLY MEETINGS AND CONFERENCE CALLS WITH THE VICE PRESIDENT FOR DEVELOPMENT FOR THE PURPOSE OF DEVELOPING FUNDRAISING STRATEGIES *PDR II, INC , d/b/a SHARE IS A TELEMARKETING FIRM IN FISCAL YEAR 2013, IT PRODUCED A TELE-FUNDRAISING CAMPAIGN FOR THE SCHOMBURG SOCIETY PROGRAM TO SOLICIT ONE-TIME DONATIONS FROM MEMBERS TO SUPPORT THE SCHOMBURG CENTER *WAKEBY & FIRE ASSOCIATES, LLC IS A FULL-SERVICE, DIRECT-MARKETING FUNDRAISING AGENCY THAT WORKS ON THE SCHOMBURG SOCIETY PROGRAM AND COLLABORATES ON ANNUAL PLANNING AND BUDGETING, DEVELOPS CREATIVE CAMPAIGNS AND MANAGES ALL PRODUCTION AND MAILING LIST SERVICES FOR THE SCHOMBURG SOCIETY PROGRAM IN ADDITION, IT MONITORS RETURNS AND PROVIDES ANALYSIS TO SUPPORT STRATEGIC DECISIONS

SCHEDULE R (Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.

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2012

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Name of the organization
THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

Employer identification number
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Part I **Identification of Disregarded Entities** (Complete if the organization answered "Yes" to Form 990, Part IV, line 33.)

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II **Identification of Related Tax-Exempt Organizations** (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity? Yes	No
(1) BRYANT PARK CORPORATION (BPC) 1065 AVENUE OF AMERICAS STE NY, NY 10018 13-3009946	PARK MGMT	NY	501 (C) (3)	11A	NA		No

Part III Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV Identification of Related Organizations Taxable as a Corporation or Trust (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity?	
								Yes	No

Part V Transactions With Related Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34, 35b, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

		Yes	No
1 During the tax year, did the orgranization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?			
a Receipt of **(i)** interest **(ii)** annuities **(iii)** royalties or **(iv)** rent from a controlled entity	1a		No
b Gift, grant, or capital contribution to related organization(s)	1b		No
c Gift, grant, or capital contribution from related organization(s)	1c		No
d Loans or loan guarantees to or for related organization(s)	1d		No
e Loans or loan guarantees by related organization(s)	1e		No
f Dividends from related organization(s)	1f		No
g Sale of assets to related organization(s)	1g		No
h Purchase of assets from related organization(s)	1h		No
i Exchange of assets with related organization(s)	1i		No
j Lease of facilities, equipment, or other assets to related organization(s)	1j		No
k Lease of facilities, equipment, or other assets from related organization(s)	1k		No
l Performance of services or membership or fundraising solicitations for related organization(s)	1l		No
m Performance of services or membership or fundraising solicitations by related organization(s)	1m		No
n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)	1n		No
o Sharing of paid employees with related organization(s)	1o		No
p Reimbursement paid to related organization(s) for expenses	1p		No
q Reimbursement paid by related organization(s) for expenses	1q		No
r Other transfer of cash or property to related organization(s)	1r		No
s Other transfer of cash or property from related organization(s)	1s	Yes	

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of other organization	**(b)** Transaction type (a-s)	**(c)** Amount involved	**(d)** Method of determining amount involved

Part VI **Unrelated Organizations Taxable as a Partnership** (Complete if the organization answered "Yes" to Form 990, Part IV, line 37.)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization See instructions regarding exclusion for certain investment partnerships

(a) Name, address, and EIN of entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Predominant income (related, unrelated, excluded from tax under section 512-514)	(e) Are all partners section 501(c)(3) organizations?		(f) Share of total income	(g) Share of end-of-year assets	(h) Disproprtionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
				Yes	No			Yes	No		Yes	No	

Additional Data

Return to Form

Software ID:
Software Version:
EIN: 13-1887440
Name: THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

Part VII Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule R (see instructions)

Identifier	Return Reference	Explanation

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TY 2012 Category 3 filer statement

Name: THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

EIN: 13-1887440

Amount Of Indebtedness	Type Of Indebtedness	Name	Address	Identifying Number	Number Of Shares
	NONE	THE NYPL Astor Lenox & Tilde	445 FIFTH AVENUE 8TH FLOOR NEW YORK, NY 10016	13-1887440	522964

TY 2012 Itemized Other Current Liabilities Schedule

Name: THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

EIN: 13-1887440

Corporation Name	Corporation EIN	Description	Beginning Amount	Ending Amount
		WAGES PAYABLE TO SHAREHOLDERS	749,053	249,053
		DEFERRED REVENUE	684,336	2,562,223
		PROMISSORY NOTES PAYABLE	503,000	158,327
		DEMAND BANK LOAN	8,568	

TY 2012 Itemized Other Current Assets Schedule

Name: THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

EIN: 13-1887440

Corporation Name	Corporation EIN	Other Current Assets Description	Beginning Amount	Ending Amount
		INVESTMENT TAX CREDITS RECOVERABLE	422,802	573,973
		PREPAID EXPENSES AND OTHER RECEIEVA	130,182	59,939

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TY 2012 Other Deductions Schedule

Name: THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

EIN: 13-1887440

Description	Foreign Amount (should only be used when attached to 5471 Schedule C Line 16)	Amount
ADVERTISING,PROMOTION AND TRAVEL	311,113	311,297
AMORTIZATION	56,178	56,211
DEVELOPMENT AND PRODUCTION COSTS	331,461	331,657
INSURANCE	16,571	16,581
OFFICE AND GENERAL	77,691	77,737
PROFESSIONAL FEES	169,723	169,823
TELEPHONE	32,649	32,668

TY 2012 Itemized Other Liabilities Schedule

Name: THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

EIN: 13-1887440

Corporation Name	Corporation EIN	Other Liabilities Description	Beginning Amount	Ending Amount
		Convertible Promissory Notes	335,209	335,209

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TY 2012 Statement if return is required because shareholders became US person

Name: THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN FOUNDATIONS

EIN: 13-1887440

Name	Date Became U.S. Person
New York Public Library	2012-10-04