efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493304011233

Form **990**

Department of the Treasury
Internal Revenue Service

# Return of Organization Exempt From Income Tax

**Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)**

▶ The organization may have to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0047

**2012**

**Open to Public Inspection**

**A For the 2012 calendar year, or tax year beginning 07-01-2012 , 2012, and ending 06-30-2013**

**B** Check if applicable
- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Terminated
- ☐ Amended return
- ☐ Application pending

**C** Name of organization
MIDDLESEX UNITED WAY INC

Doing Business As

Number and street (or P O box if mail is not delivered to street address) | Room/suite
100 RIVERVIEW CENTER
ROOM/SUITE 230

City or town, state or country, and ZIP + 4
MIDDLETOWN, CT 06457

**D Employer identification number**
06-0665170

E Telephone number
(860) 346-8695

G Gross receipts $ 2,152,336

F Name and address of principal officer
CLIFFORD STRAUB
100 RIVERVIEW CENTER SUITE 230
MIDDLETOWN, CT 06457

H(a) Is this a group return for affiliates? ☐ Yes ☑ No

H(b) Are all affiliates included? ☐ Yes ☐ No
If "No," attach a list (see instructions)

H(c) Group exemption number ▶

**I** Tax-exempt status ☑ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no ) ☐ 4947(a)(1) or ☐ 527

**J Website: ▶** WWW MIDDLESEXUNITEDWAY ORG

**K** Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶

**L** Year of formation 1935 **M** State of legal domicile CT

## Part I Summary

**Activities & Governance**

1 Briefly describe the organization's mission or most significant activities
THE MISSION OF THE MIDDLESEX UNITED WAY IS MOBILIZING THE CARING POWER OF COMMUNITIES TO STRENGTHEN LIVES AND HELP PEOPLE

2 Check this box ▶☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

| | | | |
|---|---|---|---|
| 3 | Number of voting members of the governing body (Part VI, line 1a) | 3 | 31 |
| 4 | Number of independent voting members of the governing body (Part VI, line 1b) | 4 | 31 |
| 5 | Total number of individuals employed in calendar year 2012 (Part V, line 2a) | 5 | 11 |
| 6 | Total number of volunteers (estimate if necessary) | 6 | 1,090 |
| 7a | Total unrelated business revenue from Part VIII, column (C), line 12 | 7a | 0 |
| b | Net unrelated business taxable income from Form 990-T, line 34 | 7b | |

| | | Prior Year | Current Year |
|---|---|---|---|
| **Revenue** | | | |
| 8 | Contributions and grants (Part VIII, line 1h) | 1,876,450 | 1,789,943 |
| 9 | Program service revenue (Part VIII, line 2g) | 33,752 | 38,545 |
| 10 | Investment income (Part VIII, column (A), lines 3, 4, and 7d ) | 46,429 | 73,525 |
| 11 | Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) | | 0 |
| 12 | Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12) | 1,956,631 | 1,902,013 |
| **Expenses** | | | |
| 13 | Grants and similar amounts paid (Part IX, column (A), lines 1–3 ) | 1,305,501 | 1,243,301 |
| 14 | Benefits paid to or for members (Part IX, column (A), line 4) | | 0 |
| 15 | Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10) | 518,454 | 490,586 |
| 16a | Professional fundraising fees (Part IX, column (A), line 11e) | | 0 |
| b | Total fundraising expenses (Part IX, column (D), line 25) ▶173,045 | | |
| 17 | Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e) | 211,261 | 198,737 |
| 18 | Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25) | 2,035,216 | 1,932,624 |
| 19 | Revenue less expenses Subtract line 18 from line 12 | -78,585 | -30,611 |

| **Net Assets or Fund Balances** | | Beginning of Current Year | End of Year |
|---|---|---|---|
| 20 | Total assets (Part X, line 16) | 2,932,717 | 3,014,714 |
| 21 | Total liabilities (Part X, line 26) | 1,085,232 | 1,067,770 |
| 22 | Net assets or fund balances Subtract line 21 from line 20 | 1,847,485 | 1,946,944 |

## Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

**Sign Here**

****** | 2013-10-09
Signature of officer | Date

CLIFFORD STRAUB PRESIDENT
Type or print name and title

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| KENNETH A KRON CPA | | 2013-10-09 | | |

Firm's name ▶ MAHONEY SABOL & COMPANY LLP | Firm's EIN ▶

Firm's address ▶ 95 GLASTONBURY BOULEVARD STE 201 GLASTONBURY, CT 060334453 | Phone no (860) 541-2000

May the IRS discuss this return with the preparer shown above? (see instructions) ☑ Yes ☐ No

**Part III Statement of Program Service Accomplishments**

Check if Schedule O contains a response to any question in this Part III . . . . . . . . . . . . . . ☐

**1** Briefly describe the organization's mission

THE MISSION OF THE MIDDLESEX UNITED WAY IS MOBILIZING THE CARING POWER OF COMMUNITIES TO STRENGTHEN LIVES AND HELP PEOPLE

**2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . . . . . . . . . . . . . . . . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these new services on Schedule O

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . . . . . . . . . . . . . . . . . . . . . . . ☐ **Yes** ☑ **No**

If "Yes," describe these changes on Schedule O

**4** Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

**4a** (Code ) (Expenses $ 1,586,559 including grants of $ 1,243,301 ) (Revenue $ )

SEE ATTACHED

**4b** (Code ) (Expenses $ including grants of $ ) (Revenue $ )

**4c** (Code ) (Expenses $ including grants of $ ) (Revenue $ )

**4d** Other program services (Describe in Schedule O )

(Expenses $ including grants of $ ) (Revenue $ )

**4e** **Total program service expenses** ▶ 1,586,559

**Part IV Checklist of Required Schedules**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A* | 1 | Yes | |
| 2 | Is the organization required to complete *Schedule B, Schedule of Contributors* (see instructions)? | 2 | Yes | |
| 3 | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I* | 3 | | No |
| 4 | **Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II* | 4 | | No |
| 5 | Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III* | 5 | | No |
| 6 | Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I* | 6 | | No |
| 7 | Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II* | 7 | | No |
| 8 | Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III* | 8 | | No |
| 9 | Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV* | 9 | | No |
| 10 | Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V* | 10 | Yes | |
| 11 | If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable | | | |
| a | Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI.* | 11a | Yes | |
| b | Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII* | 11b | | No |
| c | Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII* | 11c | | No |
| d | Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX* | 11d | Yes | |
| e | Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X* | 11e | | No |
| f | Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X* | 11f | | No |
| 12a | Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII* | 12a | Yes | |
| b | Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional* | 12b | | No |
| 13 | Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E* | 13 | | No |
| 14a | Did the organization maintain an office, employees, or agents outside of the United States? | 14a | | No |
| b | Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV* | 14b | | No |
| 15 | Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or assistance to any organization or entity located outside the United States? *If "Yes," complete Schedule F, Parts II and IV* | 15 | | No |
| 16 | Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or assistance to individuals located outside the United States? *If "Yes," complete Schedule F, Parts III and IV* | 16 | | No |
| 17 | Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I (see instructions)* | 17 | | No |
| 18 | Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II* | 18 | | No |
| 19 | Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III* | 19 | | No |
| 20a | Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H* | 20a | | No |
| b | If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? | 20b | | |

## Part IV Checklist of Required Schedules *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 21 | Did the organization report more than $5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II* | 21 | Yes | |
| 22 | Did the organization report more than $5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III* | 22 | | No |
| 23 | Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J* | 23 | | No |
| 24a | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25* | 24a | | No |
| b | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? | 24b | | |
| c | Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? | 24c | | |
| d | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? | 24d | | |
| 25a | **Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I* | 25a | | No |
| b | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I* | 25b | | No |
| 26 | Was a loan to or by a current or former officer, director, trustee, key employee, highest compensated employee, or disqualified person outstanding as of the end of the organization's tax year? *If "Yes," complete Schedule L, Part II* | 26 | | No |
| 27 | Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part III* | 27 | | No |
| 28 | Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions) | | | |
| a | A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV* | 28a | | No |
| b | A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV* | 28b | | No |
| c | An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV* | 28c | | No |
| 29 | Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M* | 29 | | No |
| 30 | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M* | 30 | | No |
| 31 | Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I* | 31 | | No |
| 32 | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II* | 32 | | No |
| 33 | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I* | 33 | | No |
| 34 | Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1* | 34 | | No |
| 35a | Did the organization have a controlled entity within the meaning of section 512(b)(13)? | 35a | | No |
| b | If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2* | 35b | | |
| 36 | **Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2* | 36 | | No |
| 37 | Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI* | 37 | | No |
| 38 | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O | 38 | Yes | |

**Part V Statements Regarding Other IRS Filings and Tax Compliance**

Check if Schedule O contains a response to any question in this Part V . . . . . . . . . . . . . . . . ☐

| | | | | | Yes | No |
|---|---|---|---|---|---|---|
| **1a** | Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable . . | 1a | 31 | | | |
| **b** | Enter the number of Forms W-2G included in line 1a *Enter -0-* if not applicable | 1b | 0 | | | |
| **c** | Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? . . . . . . . . | | | 1c | | |
| **2a** | Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return . . . . . . . . . . . | 2a | 11 | | | |
| **b** | If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note.** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions) | | | 2b | Yes | |
| **3a** | Did the organization have unrelated business gross income of $1,000 or more during the year? . . . | | | 3a | | No |
| **b** | If "Yes," has it filed a Form 990-T for this year? *If "No," provide an explanation in Schedule O* . . . . . | | | 3b | | |
| **4a** | At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? . . . . . . . . . . | | | 4a | | No |
| **b** | If "Yes," enter the name of the foreign country ▶ See instructions for filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts | | | | | |
| **5a** | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? . . | | | 5a | | No |
| **b** | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? | | | 5b | | No |
| **c** | If "Yes," to line 5a or 5b, did the organization file Form 8886-T? . . . . . . . | | | 5c | | |
| **6a** | Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? . . . | | | 6a | | No |
| **b** | If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? . . . . . . . . . . | | | 6b | | |
| **7** | **Organizations that may receive deductible contributions under section 170(c).** | | | | | |
| **a** | Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor? . . . . . . . . . | | | 7a | | |
| **b** | If "Yes," did the organization notify the donor of the value of the goods or services provided? . . . . . | | | 7b | | |
| **c** | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? . . . . . . . . . . | | | 7c | | |
| **d** | If "Yes," indicate the number of Forms 8282 filed during the year . . . . | 7d | | | | |
| **e** | Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . . . . . . . | | | 7e | | |
| **f** | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . | | | 7f | | |
| **g** | If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? . . . . . . . . . . | | | 7g | | |
| **h** | If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? . . . . . . . . . . | | | 7h | | |
| **8** | **Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? . . . . . . . . | | | 8 | | |
| **9** | **Sponsoring organizations maintaining donor advised funds.** | | | | | |
| **a** | Did the organization make any taxable distributions under section 4966? . . . . . . . . | | | 9a | | |
| **b** | Did the organization make a distribution to a donor, donor advisor, or related person? . . . . . . | | | 9b | | |
| **10** | **Section 501(c)(7) organizations.** Enter | | | | | |
| **a** | Initiation fees and capital contributions included on Part VIII, line 12 . . . | 10a | | | | |
| **b** | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities | 10b | | | | |
| **11** | **Section 501(c)(12) organizations.** Enter | | | | | |
| **a** | Gross income from members or shareholders . . . . . . . . | 11a | | | | |
| **b** | Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them ) . . . . . . . . | 11b | | | | |
| **12a** | **Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041? | | | 12a | | |
| **b** | If "Yes," enter the amount of tax-exempt interest received or accrued during the year . . . . . . . . . . | 12b | | | | |
| **13** | **Section 501(c)(29) qualified nonprofit health insurance issuers.** | | | | | |
| **a** | Is the organization licensed to issue qualified health plans in more than one state? **Note.** See the instructions for additional information the organization must report on Schedule O | | | 13a | | |
| **b** | Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans . . . . | 13b | | | | |
| **c** | Enter the amount of reserves on hand . . . . . . . . . | 13c | | | | |
| **14a** | Did the organization receive any payments for indoor tanning services during the tax year? . . . . . | | | 14a | | No |
| **b** | If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O* . . | | | 14b | | |

**Part VI** **Governance, Management, and Disclosure** *For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Check if Schedule O contains a response to any question in this Part VI . . . ☑

**Section A. Governing Body and Management**

| | | | | Yes | No |
|---|---|---|---|---|---|
| 1a | Enter the number of voting members of the governing body at the end of the tax year . . . | 1a | 31 | | |
| | If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O | | | | |
| b | Enter the number of voting members included in line 1a, above, who are independent . . . | 1b | 31 | | |
| 2 | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? . . . | | 2 | | No |
| 3 | Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? . | | 3 | | No |
| 4 | Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? . . . | | 4 | | No |
| 5 | Did the organization become aware during the year of a significant diversion of the organization's assets? . | | 5 | | No |
| 6 | Did the organization have members or stockholders? . . . | | 6 | | No |
| 7a | Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? . . . | | 7a | | No |
| b | Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? . . . | | 7b | | No |
| 8 | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following | | | | |
| a | The governing body? . . . | | 8a | Yes | |
| b | Each committee with authority to act on behalf of the governing body? . . . | | 8b | Yes | |
| 9 | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O* . . . | | 9 | | No |

**Section B. Policies** (*This Section B requests information about policies not required by the Internal Revenue Code.*)

| | | | Yes | No |
|---|---|---|---|---|
| 10a | Did the organization have local chapters, branches, or affiliates? . . . | 10a | | No |
| b | If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? | 10b | | |
| 11a | Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? . . . | 11a | Yes | |
| b | Describe in Schedule O the process, if any, used by the organization to review this Form 990 . . . | | | |
| 12a | Did the organization have a written conflict of interest policy? *If "No," go to line 13* . . . | 12a | Yes | |
| b | Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? . . . | 12b | Yes | |
| c | Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done* . . . | 12c | Yes | |
| 13 | Did the organization have a written whistleblower policy? . . . | 13 | Yes | |
| 14 | Did the organization have a written document retention and destruction policy? . . . | 14 | Yes | |
| 15 | Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? | | | |
| a | The organization's CEO, Executive Director, or top management official . . . | 15a | Yes | |
| b | Other officers or key employees of the organization . . . | 15b | Yes | |
| | If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions) | | | |
| 16a | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? . . . | 16a | | No |
| b | If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? . . . | 16b | | |

**Section C. Disclosure**

17 List the States with which a copy of this Form 990 is required to be filed ▶CT

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection Indicate how you made these available Check all that apply

☑ Own website ☑ Another's website ☑ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization

▶DOLORES TULINSKI 100 RIVERVIEW CENTER MIDDLETOWN, CT (860) 346-8695

**Part VII** **Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response to any question in this Part VII . . . . . . . . . . . . . . ☐

## Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

**1a** Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☑ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

| (A) Name and Title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee) | | | | | | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W- 2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| | | Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| See Additional Data Table | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |

**Part VII** **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

| (A) Name and Title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position: Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |

| | | | | |
|---|---|---|---|---|
| **1b** | **Sub-Total** . . . . . . . . . . . . . . . . ▶ | | | |
| **c** | **Total from continuation sheets to Part VII, Section A** . . . . ▶ | | | |
| **d** | **Total (add lines 1b and 1c)** . . . . . . . . . . . . . ▶ | 102,666 | | |

**2** Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶1

| | | | Yes | No |
|---|---|---|---|---|
| **3** | Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual* . . . . . . . . . . . . . . . | **3** | | No |
| **4** | For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual* . . . . . . . . . . . . . . . . . . . . . . . . . . | **4** | | No |
| **5** | Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person* . . . . . . . . . | **5** | | No |

## Section B. Independent Contractors

**1** Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

| (A) Name and business address | (B) Description of services | (C) Compensation |
|---|---|---|
| | | |
| | | |
| | | |
| | | |
| | | |

**2** Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ▶

**Part VIII Statement of Revenue**

Check if Schedule O contains a response to any question in this Part VIII . . . . . . . . . . . . . . . . . . ☐

| | | | | (A) Total revenue | (B) Related or exempt function revenue | (C) Unrelated business revenue | (D) Revenue excluded from tax under sections 512, 513, or 514 |
|---|---|---|---|---|---|---|---|
| **Contributions, Gifts, Grants and Other Similar Amounts** | 1a | Federated campaigns . . | 1a | | | | |
| | b | Membership dues . . . . | 1b | | | | |
| | c | Fundraising events . . . . | 1c | | | | |
| | d | Related organizations . . . | 1d | | | | |
| | e | Government grants (contributions) | 1e | | | | |
| | f | All other contributions, gifts, grants, and similar amounts not included above | 1f 1,789,943 | | | | |
| | g | Noncash contributions included in lines 1a-1f $ | | | | | |
| | h | **Total.** Add lines 1a-1f . . . . . . . ▶ | | 1,789,943 | | | |
| **Program Service Revenue** | | | Business Code | | | | |
| | 2a | PROGRAM SERVICE REVENUE | | 38,545 | 38,545 | | |
| | b | | | | | | |
| | c | | | | | | |
| | d | | | | | | |
| | e | | | | | | |
| | f | All other program service revenue | | | | | |
| | g | **Total.** Add lines 2a–2f . . . . . . . . ▶ | | 38,545 | | | |
| **Other Revenue** | 3 | Investment income (including dividends, interest, and other similar amounts) . . . . . . . ▶ | | 29,247 | | | 29,247 |
| | 4 | Income from investment of tax-exempt bond proceeds . . ▶ | | | | | |
| | 5 | Royalties . . . . . . . . . . ▶ | | | | | |
| | | | (i) Real / (ii) Personal | | | | |
| | 6a | Gross rents | | | | | |
| | b | Less rental expenses | | | | | |
| | c | Rental income or (loss) | | | | | |
| | d | Net rental income or (loss) . . . . . . . ▶ | | | | | |
| | | | (i) Securities / (ii) Other | | | | |
| | 7a | Gross amount from sales of assets other than inventory | (i) 294,601 | | | | |
| | b | Less cost or other basis and sales expenses | (i) 250,065 / (ii) 258 | | | | |
| | c | Gain or (loss) | (i) 44,536 / (ii) -258 | | | | |
| | d | Net gain or (loss) . . . . . . . . . . ▶ | | 44,278 | 44,278 | | |
| | 8a | Gross income from fundraising events (not including $ ________ of contributions reported on line 1c) See Part IV, line 18 . . | a | | | | |
| | b | Less direct expenses . . . | b | | | | |
| | c | Net income or (loss) from fundraising events . . ▶ | | | | | |
| | 9a | Gross income from gaming activities See Part IV, line 19 . . . | a | | | | |
| | b | Less direct expenses . . . | b | | | | |
| | c | Net income or (loss) from gaming activities . . . ▶ | | | | | |
| | 10a | Gross sales of inventory, less returns and allowances . | a | | | | |
| | b | Less cost of goods sold . . | b | | | | |
| | c | Net income or (loss) from sales of inventory . . ▶ | | | | | |
| | | Miscellaneous Revenue | Business Code | | | | |
| | 11a | | | | | | |
| | b | | | | | | |
| | c | | | | | | |
| | d | All other revenue . . . . | | | | | |
| | e | **Total.** Add lines 11a–11d . . . . . . ▶ | | | | | |
| | 12 | **Total revenue.** See Instructions . . . . . ▶ | | 1,902,013 | 82,823 | | 29,247 |

**Part IX Statement of Functional Expenses**

Section 501(c)(3) and 501(c)(4) organizations must complete all columns All other organizations must complete column (A)

Check if Schedule O contains a response to any question in this Part IX . . . . . . . . . . . . . . . . . ☐

| Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII. | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses |
|---|---|---|---|---|
| 1 Grants and other assistance to governments and organizations in the United States See Part IV, line 21 | 1,243,301 | 1,243,301 | | |
| 2 Grants and other assistance to individuals in the United States See Part IV, line 22 | | | | |
| 3 Grants and other assistance to governments, organizations, and individuals outside the United States See Part IV, lines 15 and 16 | | | | |
| 4 Benefits paid to or for members | | | | |
| 5 Compensation of current officers, directors, trustees, and key employees . . . . | | | | |
| 6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . | | | | |
| 7 Other salaries and wages | 398,227 | 195,131 | 99,557 | 103,539 |
| 8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions) . . . . | 26,546 | 13,008 | 6,636 | 6,902 |
| 9 Other employee benefits . . . . . . . | 28,697 | 14,062 | 7,174 | 7,461 |
| 10 Payroll taxes . . . . . . . . . . . . | 37,116 | 18,187 | 9,279 | 9,650 |
| 11 Fees for services (non-employees) | | | | |
| a Management . . . . . . | | | | |
| b Legal . . . . . . . . . | | | | |
| c Accounting . . . . . . . . . . . | 10,800 | 5,292 | 2,700 | 2,808 |
| d Lobbying . . . . . . . . . . . . | | | | |
| e Professional fundraising services See Part IV, line 17 | | | | |
| f Investment management fees . . . . . . | 7,075 | | 7,075 | |
| g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O) . . . . . . . . | 13,633 | 6,680 | 3,408 | 3,545 |
| 12 Advertising and promotion . . . . | 17,438 | 8,545 | 4,359 | 4,534 |
| 13 Office expenses . . . . . . . | | | | |
| 14 Information technology . . . . . . | | | | |
| 15 Royalties . . | | | | |
| 16 Occupancy . . . . . . . . . . . | 33,275 | 16,305 | 8,318 | 8,652 |
| 17 Travel . . . . . . . . . . . . . | 5,319 | 2,606 | 1,330 | 1,383 |
| 18 Payments of travel or entertainment expenses for any federal, state, or local public officials . . . . . . | | | | |
| 19 Conferences, conventions, and meetings . . . . | 7,937 | 3,889 | 1,984 | 2,064 |
| 20 Interest . . . . . . . . . . . . | | | | |
| 21 Payments to affiliates . . . . . . . | 28,569 | 20,825 | 3,796 | 3,948 |
| 22 Depreciation, depletion, and amortization . . . . . | 6,886 | 5,502 | 454 | 930 |
| 23 Insurance . . . . . . . . . . . . . . | 6,785 | 3,325 | 1,696 | 1,764 |
| 24 Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O ) | | | | |
| a SUPPLIES | 23,349 | 11,441 | 5,837 | 6,071 |
| b RENTAL/MAIN OF EQUIPMENT | 11,028 | 5,404 | 2,757 | 2,867 |
| c PRINTING AND PUBLICATIONS | 9,900 | 4,851 | 2,475 | 2,574 |
| d POSTAGE AND SHIPPING | 7,556 | 3,702 | 1,889 | 1,965 |
| e All other expenses | 9,187 | 4,503 | 2,296 | 2,388 |
| 25 **Total functional expenses.** Add lines 1 through 24e | 1,932,624 | 1,586,559 | 173,020 | 173,045 |
| 26 **Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here ▶ ☐ if following SOP 98-2 (ASC 958-720) | | | | |

**Part X Balance Sheet**

Check if Schedule O contains a response to any question in this Part X . . . . . . . . . . . . . . . . . ☐

| | | | | (A) Beginning of year | | (B) End of year |
|---|---|---|---|---|---|---|
| **Assets** | 1 | Cash—non-interest-bearing | | 36,974 | 1 | 74,138 |
| | 2 | Savings and temporary cash investments | | 119,877 | 2 | 314,922 |
| | 3 | Pledges and grants receivable, net | | 678,733 | 3 | 574,258 |
| | 4 | Accounts receivable, net | | | 4 | |
| | 5 | Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L | | | 5 | |
| | 6 | Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L | | | 6 | |
| | 7 | Notes and loans receivable, net | | | 7 | |
| | 8 | Inventories for sale or use | | | 8 | |
| | 9 | Prepaid expenses and deferred charges | | 5,033 | 9 | 11,559 |
| | 10a | Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D | 10a 82,319 | | | |
| | b | Less accumulated depreciation | 10b 67,613 | 18,719 | 10c | 14,706 |
| | 11 | Investments—publicly traded securities | | 1,471,933 | 11 | 1,401,040 |
| | 12 | Investments—other securities See Part IV, line 11 | | | 12 | |
| | 13 | Investments—program-related See Part IV, line 11 | | | 13 | |
| | 14 | Intangible assets | | | 14 | |
| | 15 | Other assets See Part IV, line 11 | | 601,448 | 15 | 624,091 |
| | 16 | **Total assets.** Add lines 1 through 15 (must equal line 34) | | 2,932,717 | 16 | 3,014,714 |
| **Liabilities** | 17 | Accounts payable and accrued expenses | | 34,957 | 17 | 62,798 |
| | 18 | Grants payable | | 1,030,275 | 18 | 1,004,972 |
| | 19 | Deferred revenue | | 20,000 | 19 | |
| | 20 | Tax-exempt bond liabilities | | | 20 | |
| | 21 | Escrow or custodial account liability Complete Part IV of Schedule D | | | 21 | |
| | 22 | Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L | | | 22 | |
| | 23 | Secured mortgages and notes payable to unrelated third parties | | | 23 | |
| | 24 | Unsecured notes and loans payable to unrelated third parties | | | 24 | |
| | 25 | Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D | | | 25 | |
| | 26 | **Total liabilities.** Add lines 17 through 25 | | 1,085,232 | 26 | 1,067,770 |
| **Net Assets or Fund Balances** | | **Organizations that follow SFAS 117 (ASC 958), check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.** | | | | |
| | 27 | Unrestricted net assets | | 1,140,411 | 27 | 1,195,314 |
| | 28 | Temporarily restricted net assets | | 58,288 | 28 | 80,200 |
| | 29 | Permanently restricted net assets | | 648,786 | 29 | 671,430 |
| | | **Organizations that do not follow SFAS 117 (ASC 958), check here ▶ ☐ and complete lines 30 through 34.** | | | | |
| | 30 | Capital stock or trust principal, or current funds | | | 30 | |
| | 31 | Paid-in or capital surplus, or land, building or equipment fund | | | 31 | |
| | 32 | Retained earnings, endowment, accumulated income, or other funds | | | 32 | |
| | 33 | Total net assets or fund balances | | 1,847,485 | 33 | 1,946,944 |
| | 34 | Total liabilities and net assets/fund balances | | 2,932,717 | 34 | 3,014,714 |

**Part XI** **Reconcilliation of Net Assets**

Check if Schedule O contains a response to any question in this Part XI . . . . . . . . . . . . . . . . ☐

| | | | |
|---|---|---|---|
| 1 | Total revenue (must equal Part VIII, column (A), line 12) | 1 | 1,902,013 |
| 2 | Total expenses (must equal Part IX, column (A), line 25) | 2 | 1,932,624 |
| 3 | Revenue less expenses Subtract line 2 from line 1 | 3 | -30,611 |
| 4 | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) | 4 | 1,847,485 |
| 5 | Net unrealized gains (losses) on investments | 5 | 130,070 |
| 6 | Donated services and use of facilities | 6 | |
| 7 | Investment expenses | 7 | |
| 8 | Prior period adjustments | 8 | |
| 9 | Other changes in net assets or fund balances (explain in Schedule O) | 9 | |
| 10 | Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B)) | 10 | 1,946,944 |

**Part XII** **Financial Statements and Reporting**

Check if Schedule O contains a response to any question in this Part XII . . . . . . . . . . . . . . . . ☐

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other ____________<br>If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O | | | |
| 2a | Were the organization's financial statements compiled or reviewed by an independent accountant? | 2a | | No |
| | If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both<br>☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis | | | |
| b | Were the organization's financial statements audited by an independent accountant? | 2b | Yes | |
| | If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both<br>☑ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis | | | |
| c | If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? | 2c | Yes | |
| | If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O | | | |
| 3a | As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? | 3a | | No |
| b | If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits | 3b | | |

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493304011233

**SCHEDULE A**
**(Form 990 or 990EZ)**

Department of the Treasury
Internal Revenue Service

# Public Charity Status and Public Support

**Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.**

**▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.**

OMB No 1545-0047

**2012**

**Open to Public Inspection**

**Name of the organization**
MIDDLESEX UNITED WAY INC

**Employer identification number**
06-0665170

## Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box )

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E )

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state __________

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II )

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☑ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II )

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II )

9 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III )

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h
**a** ☐ Type I **b** ☐ Type II **c** ☐ Type III - Functionally integrated **d** ☐ Type III - Non-functionally integrated

**e** ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

**f** If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐

**g** Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

| | | Yes | No |
|---|---|---|---|
| **(i)** A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? | **11g(i)** | | |
| **(ii)** A family member of a person described in (i) above? | **11g(ii)** | | |
| **(iii)** A 35% controlled entity of a person described in (i) or (ii) above? | **11g(iii)** | | |

**h** Provide the following information about the supported organization(s)

| **(i) Name of supported organization** | **(ii)** EIN | **(iii)** Type of organization (described on lines 1- 9 above or IRC section **(see instructions)**) | **(iv)** Is the organization in col **(i)** listed in your governing document? Yes | No | **(v)** Did you notify the organization in col **(i)** of your support? Yes | No | **(vi)** Is the organization in col **(i)** organized in the U S ? Yes | No | **(vii)** Amount of monetary support |
|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | |
| | | | | | | | | | |
| **Total** | | | | | | | | | |

**Part II** **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

## Section A. Public Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2008 | (b) 2009 | (c) 2010 | (d) 2011 | (e) 2012 | (f) Total |
|---|---|---|---|---|---|---|
| 1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ") | 2,302,324 | 1,985,792 | 1,963,284 | 1,876,450 | 1,789,943 | 9,917,793 |
| 2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 3 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 4 **Total.** Add lines 1 through 3 | 2,302,324 | 1,985,792 | 1,963,284 | 1,876,450 | 1,789,943 | 9,917,793 |
| 5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) | | | | | | 623,995 |
| 6 **Public support.** Subtract line 5 from line 4 | | | | | | 9,293,798 |

## Section B. Total Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2008 | (b) 2009 | (c) 2010 | (d) 2011 | (e) 2012 | (f) Total |
|---|---|---|---|---|---|---|
| 7 Amounts from line 4 | 2,302,324 | 1,985,792 | 1,963,284 | 1,876,450 | 1,789,943 | 9,917,793 |
| 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | 32,580 | 25,440 | 27,323 | 28,588 | 29,247 | 143,178 |
| 9 Net income from unrelated business activities, whether or not the business is regularly carried on | | | | | | |
| 10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV ) | | | | | | |
| 11 **Total support** (Add lines 7 through 10) | | | | | | 10,060,971 |

12 Gross receipts from related activities, etc (see instructions) | 12 | 38,545

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** . . . . . . ▶☐

## Section C. Computation of Public Support Percentage

14 Public support percentage for 2012 (line 6, column (f) divided by line 11, column (f)) | 14 | 92 370 %

15 Public support percentage for 2011 Schedule A, Part II, line 14 | 15 | 84 450 %

16a **33 1/3% support test—2012.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☑

b **33 1/3% support test—2011.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

17a **10%-facts-and-circumstances test—2012.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶☐

b **10%-facts-and-circumstances test—2011.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶☐

**Part III** **Support Schedule for Organizations Described in Section 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

## Section A. Public Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2008 | (b) 2009 | (c) 2010 | (d) 2011 | (e) 2012 | (f) Total |
|---|---|---|---|---|---|---|
| 1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ") | | | | | | |
| 2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose | | | | | | |
| 3 Gross receipts from activities that are not an unrelated trade or business under section 513 | | | | | | |
| 4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 5 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 6 **Total.** Add lines 1 through 5 | | | | | | |
| 7a Amounts included on lines 1, 2, and 3 received from disqualified persons | | | | | | |
| b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year | | | | | | |
| c Add lines 7a and 7b | | | | | | |
| 8 **Public support** (Subtract line 7c from line 6 ) | | | | | | |

## Section B. Total Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2008 | (b) 2009 | (c) 2010 | (d) 2011 | (e) 2012 | (f) Total |
|---|---|---|---|---|---|---|
| 9 Amounts from line 6 | | | | | | |
| 10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | | | | | | |
| b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 | | | | | | |
| c Add lines 10a and 10b | | | | | | |
| 11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on | | | | | | |
| 12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV ) | | | | | | |
| 13 **Total support.** (Add lines 9, 10c, 11, and 12 ) | | | | | | |

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ▶☐

## Section C. Computation of Public Support Percentage

| | | |
|---|---|---|
| 15 Public support percentage for 2012 (line 8, column (f) divided by line 13, column (f)) | 15 | |
| 16 Public support percentage from 2011 Schedule A, Part III, line 15 | 16 | |

## Section D. Computation of Investment Income Percentage

| | | |
|---|---|---|
| 17 Investment income percentage for **2012** (line 10c, column (f) divided by line 13, column (f)) | 17 | |
| 18 Investment income percentage from **2011** Schedule A, Part III, line 17 | 18 | |

19a **33 1/3% support tests—2012.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

b **33 1/3% support tests—2011.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶☐

**Part IV** **Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

| Facts And Circumstances Test |
|---|
| |

**Explanation**

**SCHEDULE D**
**(Form 990)**

Department of the Treasury
Internal Revenue Service

# Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047
**2012**
**Open to Public Inspection**

**Name of the organization**
MIDDLESEX UNITED WAY INC

**Employer identification number**
06-0665170

## Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

| | | (a) Donor advised funds | (b) Funds and other accounts |
|---|---|---|---|
| 1 | Total number at end of year | | |
| 2 | Aggregate contributions to (during year) | | |
| 3 | Aggregate grants from (during year) | | |
| 4 | Aggregate value at end of year | | |

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No

## Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or education)
☐ Preservation of an historically important land area
☐ Protection of natural habitat
☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

| | | | Held at the End of the Year |
|---|---|---|---|
| a | Total number of conservation easements | 2a | |
| b | Total acreage restricted by conservation easements | 2b | |
| c | Number of conservation easements on a certified historic structure included in (a) | 2c | |
| d | Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register | 2d | |

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶__________

4 Number of states where property subject to conservation easement is located ▶__________

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶__________

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ __________

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

## Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ __________

(ii) Assets included in Form 990, Part X ▶ $ __________

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ $ __________

b Assets included in Form 990, Part X ▶ $ __________

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** *(continued)*

**3** Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

**a** ☐ Public exhibition **d** ☐ Loan or exchange programs

**b** ☐ Scholarly research **e** ☐ Other

**c** ☐ Preservation for future generations

**4** Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

**5** During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ **Yes** ☐ **No**

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

**1a** Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ **Yes** ☐ **No**

**b** If "Yes," explain the arrangement in Part XIII and complete the following table

| | | | Amount |
|---|---|---|---|
| **c** | Beginning balance | **1c** | |
| **d** | Additions during the year | **1d** | |
| **e** | Distributions during the year | **1e** | |
| **f** | Ending balance | **1f** | |

**2a** Did the organization include an amount on Form 990, Part X, line 21? ☐ **Yes** ☐ **No**

**b** If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII . . . . . . . . ☐

**Part V Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

| | | **(a)** Current year | **(b)** Prior year | **b (c)** Two years back | **(d)** Three years back | **(e)** Four years back |
|---|---|---|---|---|---|---|
| **1a** | Beginning of year balance . . . . | 78,129 | 77,306 | 65,115 | 60,548 | |
| **b** | Contributions . . . . . . . . . . | | 1,100 | 850 | 200 | |
| **c** | Net investment earnings, gains, and losses | 6,743 | 2,786 | 12,733 | 5,652 | |
| **d** | Grants or scholarships . . . . . . | | | | | |
| **e** | Other expenditures for facilities and programs . . . . . . . . . | | | | | |
| **f** | Administrative expenses . . . . | | 3,063 | 1,392 | 1,285 | |
| **g** | End of year balance . . . . . . . | 84,872 | 78,129 | 77,306 | 65,115 | |

**2** Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

**a** Board designated or quasi-endowment ▶

**b** Permanent endowment ▶ 100 000 %

**c** Temporarily restricted endowment ▶

The percentages in lines 2a, 2b, and 2c should equal 100%

**3a** Are there endowment funds not in the possession of the organization that are held and administered for the organization by

| | | | Yes | No |
|---|---|---|---|---|
| | **(i)** unrelated organizations . . . . . . . . . . . . . . . . . . . . . . . . . . . | **3a(i)** | Yes | |
| | **(ii)** related organizations . . . . . . . . . . . . . . . . . . . . . . . . . . . | **3a(ii)** | | No |
| **b** | If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R? . . . . . . . . . . . | **3b** | | |

**4** Describe in Part XIII the intended uses of the organization's endowment funds

**Part VI Land, Buildings, and Equipment.** See Form 990, Part X, line 10.

| Description of property | **(a)** Cost or other basis (investment) | **(b)** Cost or other basis (other) | **(c)** Accumulated depreciation | **(d)** Book value |
|---|---|---|---|---|
| **1a** Land . . . . . . . . . . . . . . . . | | | | |
| **b** Buildings . . . . . . . . . . . . . . . | | | | |
| **c** Leasehold improvements . . . . . . . . . . . . | | | | |
| **d** Equipment . . . . . . . . . . . . . . . | | 82,319 | 67,613 | 14,706 |
| **e** Other . . . . . . . . . . . . . . . . | | | | |

**Total.** Add lines 1a through 1e *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* . . . . . . . . ▶ 14,706

**Part VII Investments—Other Securities.** See Form 990, Part X, line 12.

| (a) Description of security or category (including name of security) | (b) Book value | (c) Method of valuation Cost or end-of-year market value |
|---|---|---|
| (1) Financial derivatives | | |
| (2) Closely-held equity interests | | |
| Other | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| **Total.** *(Column (b) must equal Form 990, Part X, col (B) line 12 )* ▶ | | |

**Part VIII Investments—Program Related.** See Form 990, Part X, line 13.

| (a) Description of investment type | (b) Book value | (c) Method of valuation Cost or end-of-year market value |
|---|---|---|
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| **Total.** *(Column (b) must equal Form 990, Part X, col (B) line 13 )* ▶ | | |

**Part IX Other Assets.** See Form 990, Part X, line 15.

| (a) Description | (b) Book value |
|---|---|
| (1) BENEFICIAL INTERESTS IN TRUSTS | 621,091 |
| (2) SECURITY DEPOSIT | 3,000 |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| **Total.** *(Column (b) must equal Form 990, Part X, col.(B) line 15.)* . . . . . . . . . . . ▶ | 624,091 |

**Part X Other Liabilities.** See Form 990, Part X, line 25.

| 1 (a) Description of liability | (b) Book value |
|---|---|
| Federal income taxes | |
| See Additional Data Table | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| **Total.** *(Column (b) must equal Form 990, Part X, col (B) line 25 )* ▶ | |

**2.** Fin 48 (ASC 740) Footnote In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII ☐

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return**

| | | | | | |
|---|---|---|---|---|---|
| 1 | Total revenue, gains, and other support per audited financial statements | | | 1 | 1,708,929 |
| 2 | Amounts included on line 1 but not on Form 990, Part VIII, line 12 | | | | |
| a | Net unrealized gains on investments | 2a | 130,070 | | |
| b | Donated services and use of facilities | 2b | 17,520 | | |
| c | Recoveries of prior year grants | 2c | | | |
| d | Other (Describe in Part XIII ) | 2d | | | |
| e | Add lines **2a** through **2d** | | | 2e | 147,590 |
| 3 | Subtract line **2e** from line **1** | | | 3 | 1,561,339 |
| 4 | Amounts included on Form 990, Part VIII, line 12, but not on line **1** | | | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | 7,075 | | |
| b | Other (Describe in Part XIII ) | 4b | 333,599 | | |
| c | Add lines **4a** and **4b** | | | 4c | 340,674 |
| 5 | Total revenue Add lines **3** and **4c.** (This must equal Form 990, Part I, line 12 ) | | | 5 | 1,902,013 |

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return**

| | | | | | |
|---|---|---|---|---|---|
| 1 | Total expenses and losses per audited financial statements | | | 1 | 1,609,470 |
| 2 | Amounts included on line 1 but not on Form 990, Part IX, line 25 | | | | |
| a | Donated services and use of facilities | 2a | 17,520 | | |
| b | Prior year adjustments | 2b | | | |
| c | Other losses | 2c | | | |
| d | Other (Describe in Part XIII ) | 2d | | | |
| e | Add lines **2a** through **2d** | | | 2e | 17,520 |
| 3 | Subtract line **2e** from line **1** | | | 3 | 1,591,950 |
| 4 | Amounts included on Form 990, Part IX, line 25, but not on line **1:** | | | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | 7,075 | | |
| b | Other (Describe in Part XIII ) | 4b | 333,599 | | |
| c | Add lines **4a** and **4b** | | | 4c | 340,674 |
| 5 | Total expenses Add lines **3** and **4c.** (This must equal Form 990, Part I, line 18 ) | | | 5 | 1,932,624 |

**Part XIII Supplemental Information**

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b Also complete this part to provide any additional information

| Identifier | Return Reference | Explanation |
|---|---|---|
| REVENUE AMOUNTS INCLUDED ON RETURN - OTHER | SCHEDULE D, PAGE 4, PART XI, LINE 4B | AMOUNTS RAISED ON BEHALF OF OTHERS 333,599 |
| EXPENSE AMOUNTS INCLUDED ON RETURN - OTHER | SCHEDULE D, PAGE 4, PART XII, LINE 4B | AMOUNTS RAISED ON BEHALF OF OTHERS 333,599 |

**Schedule I (Form 990)**

Department of the Treasury
Internal Revenue Service

# Grants and Other Assistance to Organizations, Governments and Individuals in the United States

**Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.**
**▶ Attach to Form 990**

OMB No 1545-0047

**2012**

**Open to Public Inspection**

Name of the organization
MIDDLESEX UNITED WAY INC

**Employer identification number**
06-0665170

## Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . ☑ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

## Part II Grants and Other Assistance to Governments and Organizations in the United States.

Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| See Additional Data Table | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . ▶ 59

3 Enter total number of other organizations listed in the line 1 table . . . ▶ 10

**Part III** **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

| (a) Type of grant or assistance | (b) Number of recipients | (c) Amount of cash grant | (d) Amount of non-cash assistance | (e) Method of valuation (book, FMV, appraisal, other) | (f) Description of non-cash assistance |
|---|---|---|---|---|---|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

**Part IV** **Supplemental Information.**

Complete this part to provide the information required in Part I, line 2, Part III, column (b), and any other additional information

| Identifier | Return Reference | Explanation |
|---|---|---|
| PROCEDURES FOR MONITORING THE USE OF GRANT FUNDS INSIDE THE UNITED STATES | SCHEDULE I, PAGE 1, PART I, LINE 2 | FUNDING PARTNERS ARE REQUIRED TO PROVIDE OUTCOME MEASURES THAT DEMONSTRATE THE SHORT-, MID- AND LONG-TERM RESULTS OF THEIR SERVICES/INITIATIVES |

**Additional Data**

Return to Form

**Software ID:**
**Software Version:**
**EIN:** 06-0665170
**Name:** MIDDLESEX UNITED WAY INC

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| 211 INFOLINE1344 SILAS DEANE HIGHWAY ROCKY HILL,CT 06067 | 06-1084194 | 3 | 15,184 | | | | 211 INFOLINE SUPPORT |
| CHILD FAMILY AGENCY OF SE CT255 HEMPSTEAD STREET NEW LONDON,CT 06320 | 23-7212022 | 3 | 30,000 | | | | HEALTH/POSITIVE YOUT |
| CLINTON BOARD OF EDUCATION137- B GLENWOOD ROAD CLINTON,CT 06413 | 06-6001597 | GOV | 10,000 | | | | SCHOOL READINESS |
| CLINTON YOUTH & FAM SERVICE BUREAU112 GLENWOOD ROAD CLINTON,CT 06413 | 06-6001973 | GOV | 8,000 | | | | HEALTH/POSITIVE YOUT |
| COLUMBUS HOUSE - TRANSITIONAL HOUS586 ELLA T GRASSO BOULEVARD NEW HAVEN,CT 06519 | | 3 | 18,378 | | | | AFFORDABLE HOUSING |
| COMMUNITY HEALTH CENTER - DENTAL635 MAIN STREET MIDDLETOWN,CT 06457 | 06-0897105 | 3 | 14,690 | | | | SCHOOL READINESS |
| CROMWELL BOARD OF EDUCATION25 COURT STREET CROMWELL,CT 06416 | 06-0807450 | GOV | 10,000 | | | | SCHOOL READINESS |
| CT HUMANE SOCIETY701 RUSSELL ROAD NEWINGTON,CT 06111 | 06-0667605 | 3 | 9,919 | | | | DONOR DESIGNATIONS |
| DURHAMMIDDLEFIED YOUTH & FAMILY405 MAIN STREET SUITE 11 MIDDLEFIELD,CT 06455 | 06-1402128 | 3 | 8,500 | | | | HEALTH/POSITIVE YOUT |
| EAST HADDAM BOARD OF EDUCATIONPO BOX 572 387 EH-MOODUS ROAD MOODUS,CT 06469 | 06-1410267 | 3 | 12,500 | | | | SCHOOL READINESS |
| EAST HADDAM YOUTH & FAMILY SERVICESPO BOX 572 387 EH-MOODUS ROAD MOODUS,CT 06469 | 06-1410267 | 3 | 8,500 | | | | HEALTH/POSITIVE YOUT |
| EAST HAMPTON BOARD OF EDUCATION94 MAIN STREET EAST HAMPTON,CT 06424 | 06-6001608 | GOV | 10,000 | | | | SCHOOL READINESS |
| GILEAD COMMUNITY SERVICESPO BOX 1000 22 MAIN STREET EXTENSION MIDDLETOWN,CT 06457 | 06-0851549 | 3 | 38,000 | | | | HEALTH/POSITIVE YOUT |
| GIRL SCOUTS OF CONNECTICUT340 WASHINGTON STREET HARTFORD,CT 06106 | 06-0662134 | 3 | 8,050 | | | | HEALTH/POSITIVE YOUT |
| HOPE PARTNERSHIP121 MAIN STREET OLD SAYBROOK,CT 06475 | 20-1683627 | 3 | 35,000 | | | | AFFORDABLE HOUSING |
| JOHN J DRISCOLL UNITED LABOR AGENCY56 TOWN LINE ROAD ROCKY HILL,CT 06067 | 06-0987695 | 3 | 13,600 | | | | SELF SUFFICIENCY |
| KUHN EMPLOYMENT OPPORTUNITIESPO BOX 941 MERIDEN,CT 06450 | 06-0770819 | 3 | 20,800 | | | | SELF SUFFICIENCY |
| LITERACY VOLUNTEERS - VALLEY SHORE25 MIDDLESEX TURNPIKE ESSEX,CT 06426 | 30-0229759 | 3 | 13,600 | | | | SELF SUFFICIENCY |
| MARC - COMMUNITY RESOURCESPO BOX 126 12 FAIRVIEW STREET PORTLAND,CT 06480 | 06-6011968 | 3 | 51,000 | | | | SELF SUFFICIENCY |
| MIDDLESEX HOSPITAL OPPORTUNITY KNOC28 CRESCENT STREET MIDDLETOWN,CT 06457 | 06-0646718 | 3 | 10,000 | | | | SCHOOL READINESS |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
| --- | --- | --- | --- | --- | --- | --- | --- |
| MIDDLESEX HOSPITAL PERINATAL PROG28 CRESCENT STREET MIDDLETOWN,CT 06457 | 06-0646718 | 3 | 37,500 | | | | SCHOOL READINESS |
| MIDDLETOWN ADULT EDUCATION398 MAIN STREET MIDDLETOWN,CT 06457 | 06-6001872 | 3 | 10,000 | | | | SCHOOL READINESS |
| MIDDLETOWN YOUTH SERVICES370 HUNTING HILL AVENUE MIDDLETOWN,CT 06457 | 02-3486665 | GOV | 8,500 | | | | HEALTH/POSITIVE YOUT |
| MISCELLANEOUS GRANTS UNDER 5000 | | | 370,069 | | | | VARIOUS |
| MX CITY - HOMELESSNESS PREVENTION C/O MIDDLESEX UNITED WAY100 RIVERVIEW CENTER MIDDLETOWN,CT 06457 | | 3 | 10,000 | | | | AFFORDABLE HOUSING |
| MX HABITAT FOR HUMANITY C/O SOUTH CHURCH9 PLEASANT STREET MIDDLETOWN,CT 06457 | 06-1448284 | 3 | 12,500 | | | | AFFORDABLE HOUSING |
| ODDFELLOWS PLAYHOUSE 128 WASHINGTON STREET MIDDLETOWN,CT 06457 | 06-0964602 | 3 | 6,710 | | | | DONOR DESIGNATIONS |
| ODDFELLOWS PLAYHOUSE 128 WASHINGTON STREET MIDDLETOWN,CT 06457 | 06-0964602 | 3 | 36,510 | | | | HEALTH/POSITIVE YOUT |
| OLD SAYBROOK YOUTH & FAMILY SERVICE322 MAIN STREET OLD SAYBROOK,CT 06475 | 06-6002058 | GOV | 10,000 | | | | SCHOOL READINESS |
| OLD SAYBROOK YOUTH & FAMILY SERVICE322 MAIN STREET OLD SAYBROOK,CT 06475 | 06-6002058 | GOV | 8,500 | | | | HEALTH/POSITIVE YOUT |
| PORTLAND YOUTH SERVICESPO BOX 71 PORTLAND,CT 06480 | 06-6002067 | GOV | 8,500 | | | | HEALTH/POSITIVE YOUT |
| PORTLAND YOUTH SERVICESPO BOX 71 PORTLAND,CT 06480 | 06-6002067 | GOV | 10,000 | | | | SCHOOL READINESS |
| REGIONAL SCHOOL DISTRICT 13135A PICKETT LANE DURHAM,CT 06422 | 06-0855660 | GOV | 10,000 | | | | SCHOOL READINESS |
| REGIONAL SCHOOL DISTRICT 4PO BOX 187 RT 80 DEEP RIVER,CT 06417 | 06-6002456 | GOV | 10,000 | | | | SCHOOL READINESS |
| RUSHFORD CENTER883 PADDOCK AVENUE MERIDEN,CT 06450 | 06-0932875 | 3 | 8,560 | | | | HEALTH/POSITIVE YOUT |
| RUSHFORD CENTER TREATMENT SERVICES883 PADDOCK AVENUE MERIDEN,CT 06451 | 06-0932875 | 3 | 29,000 | | | | HEALTH/POSITIVE YOUT |
| SHORELINE SOUP KITCHENS & PANTRIESPO BOX 804 ESSEX,CT 06426 | 06-1412728 | 3 | 9,100 | | | | SELF SUFFICIENCY |
| ST LUKE'S ELDERCARE - SENIOR TRANS760 SAYBROOK ROAD MIDDLETOWN,CT 06457 | 06-0653129 | 3 | 18,000 | | | | HEALTH/YOUTH DEV |
| ST VINCENT DEPAUL - AMAZING GRACE617 MAIN STREET PO BOX 398 MIDDLETOWN,CT 06457 | 06-1387081 | 3 | 10,830 | | | | DONOR DESIGNATIONS |
| ST VINCENT DEPAUL - FOOD PANTRY617 MAIN STREET PO BOX 398 MIDDLETOWN,CT 06457 | 06-1387081 | 3 | 12,700 | | | | SELF SUFFICIENCY |

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| (a) Name and address of organization or government | (b) EIN | (c) IRC Code section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| THE CONNECTION - EDDY SHELTER955 SOUTH MAIN STREET<br>MIDDLETOWN,CT 06457 | 06-0886125 | 3 | 29,700 | | | | AFFORDABLE HOUSING |
| THE CONNECTION - HOUSING ADVOCATE955 SOUTH MAIN STREET<br>MIDDLETOWN,CT 06457 | 06-0886125 | 3 | 14,000 | | | | AFFORDABLE HOUSING |
| THE CONNECTION - MTOWNO SAYBROOK955 SOUTH MAIN STREET<br>MIDDLETOWN,CT 06457 | 06-0886125 | 3 | 28,500 | | | | HEALTH/POSITIVE YOUT |
| TRI-TOWN YOUTH SERVICESPO BOX 897<br>DEEP RIVER,CT 06417 | 22-2537187 | 3 | 8,000 | | | | HEALTH/POSITIVE YOUT |
| UNITED WAY OF CENTRAL & NE CT30 LAUREL STREET<br>HARTFORD,CT 06106 | 06-0646653 | 3 | 6,193 | | | | DONOR DESIGNATIONS |
| UNITED WAY OF MERIDEN&WALLINGFORD35 PLEASANT STREET<br>MERIDEN,CT 06450 | 06-0646714 | 3 | 6,348 | | | | DONOR DESIGNATIONS |
| UNITED WAY OF SE CT1868 ROUTE 12<br>PO BOX 375<br>GALES FERRY,CT 06335 | 06-0771393 | 3 | 6,540 | | | | DONOR DESIGNATIONS |
| WESTBROOK PUBLIC SCHOOLS158 MCVEAGH ROAD<br>WESTBROOK,CT 06498 | 06-6001683 | GOV | 10,000 | | | | SCHOOL READINESS |
| WESTBROOK PUBLIC SCHOOLS158 MCVEAGH ROAD<br>WESTBROOK,CT 06498 | 06-6001683 | GOV | 8,500 | | | | HEALTH/POSITIVE YOUT |
| WOMEN & FAMILIES CENTER - SACS169 COLONY STREET<br>MERIDEN,CT 06451 | 06-0646994 | 3 | 31,000 | | | | HEALTH/POSITIVE YOUT |
| YMCA OF NO MIDDLESEX CITY99 UNION STREET<br>MIDDLETOWN,CT 06457 | 06-0646981 | 3 | 88,920 | | | | HEALTH/POSITIVE YOUT |
| YOUTH & FAMILY SERVICES OF HKPO BOX 432<br>91 LITTLE CITY ROAD<br>HIGGANUM,CT 06441 | 06-1366680 | 3 | 8,000 | | | | HEALTH/POSITIVE YOUT |
| YOUTH & FAMILY SERVICES OF HKPO BOX 432<br>91 LITTLE CITY ROAD<br>HIGGANUM,CT 06441 | 06-1366680 | 3 | 10,000 | | | | SCHOOL READINESS |

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493304011233

# SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

## Supplemental Information to Form 990 or 990-EZ

**Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.**
**▶ Attach to Form 990 or 990-EZ.**

OMB No 1545-0047

**2012**

**Open to Public Inspection**

Name of the organization
MIDDLESEX UNITED WAY INC

**Employer identification number**
06-0665170

| Identifier | Return Reference | Explanation |
|---|---|---|
| ORGANIZATION'S PROCESS USED TO REVIEW FORM 990 | FORM 990, PAGE 6, PART VI, LINE 11B | THE COMPLETED 990 IS GIVEN TO THE AUDIT COMMITTEE FOR REVIEW, THE AUDIT COMMITTEE THEN ERPORTS TO THE FULL BOARD OF DIRECTORS AND A COPY OF THE 990 IS GIVEN TO EACH BOARD MEMBER, THE FULL BOARD OF DIRECTORS HAS FINAL APPROVAL |
| ENFORCEMENT OF CONFLICTS POLICY | FORM 990, PAGE 6, PART VI, LINE 12C | ON AN ANNUAL BASIS, THE POLICY AND RELATED ORGANIZATIONS ARE REVIEWED AND EACH BOARD MEMBER IS REQUIRED TO COMPLETE AND SIGN A POTENTIAL CONFLICT OF INTEREST DISCLOSURE FORM |
| COMPENSATION PROCESS FOR TOP OFFICIAL | FORM 990, PAGE 6, PART VI, LINE 15A | THE EXECUTIVE DIRECTOR AND RELATED COMPENSATION IS REVIEWED BY THE CHARIMAN OF THE BOARD AS WELL AS THE ENTIRE BOARD OF DIRECTORS SUCH REVIEW IS COMPLETED IN EXECUTIVE SESSION DURING ONE BOARD MEETING PER YEAR |
| COMPENSATION PROCESS FOR OFFICERS | FORM 990, PAGE 6, PART VI, LINE 15B | KEY EMPLOYEES AND THEIR RELATED COMPENSATION IS REVIEWED BY THE CHIEF EXECUTIVE OFFICER IN ADDITION, AT LEAST ONCE EVERY THREE YEARS, A COMPARISON AMONG SIMILAR SIZE UNITED WAYS IS CONDUCTED AND REVIEWED BY THE PERSONNEL COMMITTEE |
| GOVERNING DOCUMENTS DISCLOSURE EXPLANATION | FORM 990, PAGE 6, PART VI, LINE 19 | ALL ARE AVAILABLE IN THE OFFICE UPON REQUEST |
| RECONCILIATION OF CHANGES - OTHER | FORM 990, PART XI, LINE 9 | AMOUNTS RAISED ON BEHALF OF OTHERS -333,599 AMOUNTS RAISED ON BEHALF OF OTHERS 333,599 |

| efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493304011233 |
|---|---|---|

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

# Depreciation and Amortization
**(Including Information on Listed Property)**

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

**2012**

Attachment
Sequence No **179**

| Name(s) shown on return | Business or activity to which this form relates | Identifying number |
|---|---|---|
| MIDDLESEX UNITED WAY INC | INDIRECT DEPRECIATION | 06-0665170 |

**Part I** **Election To Expense Certain Property Under Section 179**
***Note:*** *If you have any listed property, complete Part V before you complete Part I.*

| | | | |
|---|---|---|---|
| **1** | Maximum amount (see instructions) | 1 | 500,000 |
| **2** | Total cost of section 179 property placed in service (see instructions) | 2 | |
| **3** | Threshold cost of section 179 property before reduction in limitation (see instructions) | 3 | 2,000,000 |
| **4** | Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0- | 4 | |
| **5** | Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions | 5 | |

| 6 | (a) Description of property | (b) Cost (business use only) | (c) Elected cost |
|---|---|---|---|
| 6 | | | |
| | | | |

| | | | |
|---|---|---|---|
| **7** | Listed property Enter the amount from line 29 | 7 | |
| **8** | Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7 | 8 | |
| **9** | Tentative deduction Enter the smaller of line 5 or line 8 | 9 | |
| **10** | Carryover of disallowed deduction from line 13 of your 2011 Form 4562 | 10 | |
| **11** | Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions) | 11 | |
| **12** | Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11 | 12 | |
| **13** | Carryover of disallowed deduction to 2013 Add lines 9 and 10, less line 12 ▶ | 13 | |

**Note:** *Do not use Part II or Part III below for listed property. Instead, use Part V.*

**Part II** **Special Depreciation Allowance and Other Depreciation (Do not** include listed property **)** (See instructions )

| | | | |
|---|---|---|---|
| **14** | Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions) | 14 | |
| **15** | Property subject to section 168(f)(1) election | 15 | |
| **16** | Other depreciation (including ACRS) | 16 | 6,886 |

**Part III** **MACRS Depreciation (Do not** include listed property.) (See instructions.)

**Section A**

| | | | |
|---|---|---|---|
| **17** | MACRS deductions for assets placed in service in tax years beginning before 2012 | 17 | |
| **18** | If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐ | | |

**Section B—Assets Placed in Service During 2012 Tax Year Using the General Depreciation System**

| (a) Classification of property | (b) Month and year placed in service | (c) Basis for depreciation (business/investment use only—see instructions) | (d) Recovery period | (e) Convention | (f) Method | (g) Depreciation deduction |
|---|---|---|---|---|---|---|
| 19a 3-year property | | | | | | |
| b 5-year property | | | | | | |
| c 7-year property | | | | | | |
| d 10-year property | | | | | | |
| e 15-year property | | | | | | |
| f 20-year property | | | | | | |
| g 25-year property | | | 25 yrs | | S/L | |
| h Residential rental property | | | 27 5 yrs | MM | S/L | |
| | | | 27 5 yrs | MM | S/L | |
| i Nonresidential real property | | | 39 yrs | MM | S/L | |
| | | | | MM | S/L | |

**Section C—Assets Placed in Service During 2012 Tax Year Using the Alternative Depreciation System**

| | | | | | | |
|---|---|---|---|---|---|---|
| 20a Class life | | | | | S/L | |
| b 12-year | | | 12 yrs | | S/L | |
| c 40-year | | | 40 yrs | MM | S/L | |

**Part IV** **Summary** (see instructions)

| | | | |
|---|---|---|---|
| **21** | Listed property Enter amount from line 28 | 21 | |
| **22** | **Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations—see instructions | 22 | 6,886 |
| **23** | For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs | 23 | |

**Part V** **Listed Property** (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)

***Note:*** *For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete **only** 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.*

**Section A—Depreciation and Other Information (Caution:** *See the instructions for limits for passenger automobiles.***)**

**24a** Do you have evidence to support the business/investment use claimed? ☐ **Yes** ☐ **No** | **24b** If "Yes," is the evidence written? ☐ **Yes** ☐ **No**

| (a) Type of property (list vehicles first) | (b) Date placed in service | (c) Business/ investment use percentage | (d) Cost or other basis | (e) Basis for depreciation (business/investment use only) | (f) Recovery period | (g) Method/ Convention | (h) Depreciation/ deduction | (i) Elected section 179 cost |
|---|---|---|---|---|---|---|---|---|
| **25** Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions) | | | | | | **25** | | |
| **26** Property used more than 50% in a qualified business use | | | | | | | | |
| | | % | | | | | | |
| | | % | | | | | | |
| | | % | | | | | | |
| **27** Property used 50% or less in a qualified business use | | | | | | | | |
| | | % | | | | S/L - | | |
| | | % | | | | S/L - | | |
| | | % | | | | S/L - | | |

**28** Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1 | **28** |

**29** Add amounts in column (i), line 26 Enter here and on line 7, page 1 . . . . . . . . . . | **29** |

**Section B—Information on Use of Vehicles**

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person

If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

| | (a) Vehicle 1 | | (b) Vehicle 2 | | (c) Vehicle 3 | | (d) Vehicle 4 | | (e) Vehicle 5 | | (f) Vehicle 6 | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **30** Total business/investment miles driven during the year (**do not** include commuting miles) . . . | | | | | | | | | | | | |
| **31** Total commuting miles driven during the year . | | | | | | | | | | | | |
| **32** Total other personal(noncommuting) miles driven | | | | | | | | | | | | |
| **33** Total miles driven during the year Add lines 30 through 32 . . . . . . . . . . . | | | | | | | | | | | | |
| **34** Was the vehicle available for personal use | **Yes** | **No** | **Yes** | **No** | **Yes** | **No** | **Yes** | **No** | **Yes** | **No** | **Yes** | **No** |
| during off-duty hours? . . . . . . . | | | | | | | | | | | | |
| **35** Was the vehicle used primarily by a more than 5% owner or related person? . . . . . . . | | | | | | | | | | | | |
| **36** Is another vehicle available for personal use? . | | | | | | | | | | | | |

**Section C—Questions for Employers Who Provide Vehicles for Use by Their Employees**

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons (see instructions)

| | **Yes** | **No** |
|---|---|---|
| **37** Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees? . . . . . . . . . . . . . . . . . . . . . . . . . . . . | | |
| **38** Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners . . . | | |
| **39** Do you treat all use of vehicles by employees as personal use? . . . . . . . . . . . . . . . | | |
| **40** Do you provide more than five vehicles to your employees, obtain information from your employees about the use of vehicles, and retain the information received? . . . . . . . . . . . . . . . . . . . . . . . . | | |
| **41** Do you meet the requirements concerning qualified automobile demonstration use? (See instructions ) . . . . . | | |
| **Note:** If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles | | |

**Part VI** **Amortization**

| (a) Description of costs | (b) Date amortization begins | (c) Amortizable amount | (d) Code section | (e) Amortization period or percentage | (f) Amortization for this year |
|---|---|---|---|---|---|
| **42** Amortization of costs that begins during your 2012 tax year (see instructions) | | | | | |
| | | | | | |
| | | | | | |

**43** Amortization of costs that began before your 2012 tax year . . . . . . . . . . . . . | **43** |

**44 Total.** Add amounts in column (f) See the instructions for where to report . . . . . . . . | **44** |

**Form 990 – Accomplishments**

**EDUCATION:** Middlesex United Way funds and partners with numerous organizations as well as the 15 towns in Middlesex County and their Early Childhood Councils, to increase children's readiness to learn by school entry.

With our support, the Early Childhood Councils, which United Way helped to develop, have strengthened communication and coordination between parents, pediatricians, daycare providers, preschool and grade-school educators in identifying and servicing at-risk children, birth to five years of age, to enhance their development in social and emotional domains. This effort has improved preschool classroom curriculums, instruction, assessments, behavior management techniques and professional training opportunities throughout the county.

Over several Days of Caring in October, United Way mobilized volunteers, businesses, and schools to support education by volunteering in nine schools in six Middlesex County towns. More than 90 volunteers from 13 companies participated. Volunteers did storytelling, reading, and activities with children in the classroom; spoke about their careers; and improved the learning environment for children through gardening, mural, and other beautification projects.

**INCOME:** Middlesex United Way funds and partners with numerous organizations throughout Middlesex County to improve the economic self-sufficiency of individuals and families. We ensure that necessary programs are in place to provide job and literacy training, provide basic human needs and empower individuals who are disabled.

Middlesex United Way provides leadership and support to the Middlesex VITA (Volunteer Income Tax Assistance) Coalition. VITA sites, including one at the United Way office, provide free income tax preparation services to low-income families and increase the number of individuals and families accessing available tax credits which they have earned. Volunteers, trained and certified by the IRS, helped prepare taxes for 415 Middlesex County residents who received a total of $578,000 in tax refunds. Those who qualified received an average refund of $1,393.

Middlesex United Way also partners with the national FamilyWize Prescription Drug Discount program to help individuals and families reduce the cost of prescription medicine. Middlesex United Way is the sole distributor of the cards in Middlesex County, and promotes the program through a distribution networks of corporate partners, social service agencies, and community collaboratives and to pharmacies and Middlesex Hospital, as part of our ongoing effort to increase the economic self-sufficiency of individuals and families in Middlesex County. Since Middlesex United Way began distributing the cards in 2009, Middlesex County residents have saved more than $286,000 on their prescriptions. The card is free and available to anyone.

**HEALTH:** Middlesex United Way continues to support the Healthy Communities-Healthy Youth (HCHY) Initiative in 13 towns throughout Middlesex County to help reduce the rate of risky behaviors among youth. HCHY brings together youth and family service providers, schools, town officials, parents and students around a single, coordinated effort utilizing the Search Institute's Profiles of Student Life: Attitudes and Behaviors to 40 Developmental Assets.

The 40 Developmental Assets (e.g. Parent Involvement, Family Boundaries, Adult Role Models) are evidence-based, positive experiences and qualities that help influence choices young people make, and help to influence the type of adult they will become. In the 13 towns that have been surveyed at least twice through HCHY, youth reporting substance abuse decreased 25%, violence decreased 24%, and anti-social behavior decreased 11%.

Middlesex United Way has provided funding and partnered with numerous community health and human service organizations throughout Middlesex County to help improve the health and increase the safety of individuals and families. We ensure that services are available in times of need or crisis, including mental health services, disaster relief, counseling, substance abuse treatment, and personal safety.

**HOUSING**: Middlesex United Way funds and partners with several organizations in Middlesex County to increase the ability of individuals and families to attain affordable housing. United Way supports programs throughout the county that help families acquire decent, safe and affordable housing and the skills necessary to maintain it long-term.

Middlesex United Way has provided funding and leadership in the implementation of the Ten Year Plan to End Homelessness by bringing together community leaders, housing advocates, service providers and other concerned residents from throughout Middlesex County. Prevention is a key strategy of the Ten Year Plan and therefore, the Homeless Prevention Fund helps individuals and families who are at-risk of homelessness. To date, more than 270 families at risk of homelessness, including 260 children, have been able to remain in their homes thanks to the one-time assistance from the Prevention Fund. Grants are used to pay for rent, utilities, car repairs and other needs while waiting for unemployment to begin, new job wages to start, or other benefits to be provided.

**2-1-1 INFORMATION AND REFERRAL:** Middlesex United Way supports Connecticut's United Way 2-1-1 system, an information and referral service that is available 24 hours, 7 days a week by dialing 2-1-1. United Way 2-1-1 handled 716,000 calls in fiscal year 2012, including more than 13,000 calls from Middlesex County. Top requests statewide are for housing/shelter, utilities/heat, financial assistance, outpatient mental health care, and disaster services.

**COMMUNITY COLLABORATIVES**: United Way participates in several community collaboratives, including the aforementioned Volunteer Income Tax Assistance program and Middlesex County Ten Year Plan to End Homelessness.

In addition, United Way participates in the Middletown Community Thanksgiving Project, which assembled and distributed 625 Thanksgiving baskets for families in needs in Middletown.

Middlesex United Way also provides on-going in-kind and staff support to the Middlesex County Diaper Committee and helped establish Middlesex County as a partner of The Diaper Bank, filling a much-needed gap in service. In partnership with The Diaper Bank, our local Middlesex County Diaper Committee organizes events to collect diapers and raise funds. Prior to this partnership, there was no permanent diaper bank where low- to moderate-income families in need could turn to for help. Diapers are expensive and can cost a family up to $1,000 a year to diaper a baby properly. The lack of a steady supply can lead to health issues and even abuse.

Middlesex United Way and its staff are also involved in many other community collaboratives, including but not limited to: the Credit for Life Fair; Middlesex Coalition for Children; Middlesex Area Interagency Council; Middletown School Readiness Council; Middlesex County Coalition on Community Wellness; and Opportunity Knocks steering committee.

**HURRICANE SANDY RECOVERY FUND:** The United Way Hurricane Sandy Recovery Fund was established in response to the devastation caused by Hurricane Sandy, and contributions to the fund are being used by local United Ways along the Eastern Seaboard to address the long-term recovery needs of communities that FEMA has declared disaster areas. Middlesex United Way has received $91,964.21 through the fund to distribute in Middlesex County. Applications are being accepted to support affected individuals and families who were already vulnerable and experiencing challenges in meeting their most basic needs. Community based organizations can apply for funds to provide programs or services such as mental health services, legal assistance, case management, job training and counseling.

**WOMEN'S INITIATIVE:** The Women's Initiative celebrates the power of women to bring about positive change in our community through philanthropy and service.

Through fundraising efforts, the WI distributes small, one-time grants to nonprofit programs that meet one or more of the WI's focus areas: early childhood development, empowering young women, and financial stability. In the past year, the group distributed small, one-time grants to the following programs: the Leap into Learning program at St. Paul Evangelical Lutheran Church; Pretty Wings; Middletown Co-operative Preschool; Bielefeld School; Clinton Youth and Family Services; Chester, Deep River, Essex Co-operative Preschool; Hallie House; Girl Scouts of CT for the College Pathways Program; Middlesex Community College's Women Studies Forum; and the Ryan Woods Autism Foundation.

The Women's Initiative hosts a bi-monthly Speaker Series event at Water's Edge Center for Health and Rehabilitation featuring guest speakers on a variety of informative topics of interest to women. The group hosted a free financial literacy workshop called *Understanding Your Credit Report* in conjunction with Middlesex Community College's Women Student Forum. In conjunction with its annual fundraiser, *Power of the Purse*, the Women's Initiative collected more than 250 gently used purses that will be donated to programs working with women to help them get back on their feet. The Women's Initiative also ran a drive to collect approximately 1,500 children's books that were distributed to early learning programs.

**YOUNG LEADERS SOCIETY:** The Young Leaders Society provides community involvement, professional development, and networking for young professionals ages 21 to 40 who live or work in Middlesex County. The group's mission is to create opportunities for young professionals to get involved with Middlesex United Way, connect with colleagues and community leaders, and give back to advance the common good.

The group's networking events included its second annual Holiday Social and Stuff-A-Cruiser Toy Drive where more than 300 toys were collected and donated to local children. The Young Leaders Society hosted two successful "Learn with Leaders" events to provide young professionals an opportunity to connect with local leaders who know the importance of civic engagement. They have also organized volunteer projects for members including playing BINGO with seniors, working on a Habitat for Humanity home, holding a Fill-A-Bus for non-perishable food items for the Middletown Community Thanksgiving Project, and participating in a camp cleanup day at a YMCA camp. Members of the Young Leaders Society's steering committee have also supported the community by mentoring high school students at a speed networking event and participating on a panel on leadership for middle school students.

**Additional Data**

**Software ID:**
**Software Version:**
**EIN:** 06-0665170
**Name:** MIDDLESEX UNITED WAY INC

**Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

| (A) Name and Title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position: Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| ALLISON DOWE<br>TREASURER | 5 00 | X | | | | | | 0 | 0 | 0 |
| GARY SIMONSEN<br>AT LARGE | 5 00 | X | | | | | | 0 | 0 | 0 |
| CLIFFORD STRAUB<br>PRESIDENT | 5 00 | X | | | | | | 0 | 0 | 0 |
| LINDA MORALES<br>BOARD MEMBER | 5 00 | X | | | | | | 0 | 0 | 0 |
| ARTHUR MCDOWELL III MD<br>BOARD MEMBER | 5 00 | X | | | | | | 0 | 0 | 0 |
| WILFREDO NIEVES<br>AT LARGE | 5 00 | X | | | | | | 0 | 0 | 0 |
| WILLIAM HOLDER<br>FIRST VP | 5 00 | X | | | | | | 0 | 0 | 0 |
| KELLY SMITH<br>BOARD MEMBER | 5 00 | X | | | | | | 0 | 0 | 0 |
| WILLIAM WRANG<br>AT LARGE | 5 00 | X | | | | | | 0 | 0 | 0 |
| DEBORAH BOCHAIN<br>SECOND VP | 5 00 | X | | | | | | 0 | 0 | 0 |
| JEAN D'AQUILLA<br>BOARD MEMBER | 5 00 | X | | | | | | 0 | 0 | 0 |
| DAVID DIRECTOR<br>BOARD MEMBER | 5 00 | X | | | | | | 0 | 0 | 0 |
| CHRISTINE FAHEY<br>BOARD MEMBER | 5 00 | X | | | | | | 0 | 0 | 0 |
| JUDITH FELTON<br>COMMUNITY IM | 5 00 | X | | | | | | 0 | 0 | 0 |
| JAMES MANSEY<br>COMMUNITY IM | 5 00 | X | | | | | | 0 | 0 | 0 |
| ANDREW RAPP<br>BOARD MEMBER | 5 00 | X | | | | | | 0 | 0 | 0 |
| DAVID REYNOLDS<br>HONORARY DIR | 5 00 | X | | | | | | 0 | 0 | 0 |
| KRISTEN ROBERTS<br>MARKETING | 5 00 | X | | | | | | 0 | 0 | 0 |
| MARTHA TEMPLE<br>BOARD MEMBER | 5 00 | X | | | | | | 0 | 0 | 0 |
| HARRY BURR<br>HONORARY DIR | 5 00 | X | | | | | | 0 | 0 | 0 |
| JEAN ADAMS SHAW<br>HONORARY DIR | 5 00 | X | | | | | | 0 | 0 | 0 |
| ROSARIO RIZZO<br>HONORARY DIR | 5 00 | X | | | | | | 0 | 0 | 0 |
| ELIZABETH MORIN<br>WOMEN'S INIT | 5 00 | X | | | | | | 0 | 0 | 0 |
| FAITH JACKSON<br>PERSONNEL | 5 00 | X | | | | | | 0 | 0 | 0 |
| PATRICIA CHARLES<br>BOARD MEMBER | 5 00 | X | | | | | | 0 | 0 | 0 |

**Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

| (A) Name and Title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position: Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| PHILIP COLES<br>BOARD MEMBER | 5 00 | X | | | | | | 0 | 0 | 0 |
| JUAN DELGADILLO<br>BOARD MEMBER | 5 00 | X | | | | | | 0 | 0 | 0 |
| SHIRLEY DICKES<br>BOARD MEMBER | 5 00 | X | | | | | | 0 | 0 | 0 |
| CHERYL DUEY<br>BOARD MEMBER | 5 00 | X | | | | | | 0 | 0 | 0 |
| CARL FORTUNA JR<br>BOARD MEMBER | 5 00 | X | | | | | | 0 | 0 | 0 |
| VIN CAPECE<br>CAMPAIGN CHA | 5 00 | X | | | | | | 0 | 0 | 0 |
| JAY MILLS<br>INVESTMENT | 5 00 | X | | | | | | 0 | 0 | 0 |
| LINDSAY PARKE<br>BOARD MEMBER | 5 00 | X | | | | | | 0 | 0 | 0 |
| ANNE RYCZEK<br>BOARD MEMBER | 5 00 | X | | | | | | 0 | 0 | 0 |
| KEVIN WILHELM<br>EXECUTIVE DI | 35 00 | | | | | X | | 102,666 | 0 | 0 |