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Part III

Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III

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1

Briefly describe the organization's mission

SEE SCHEDULE O

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services?

☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4

Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a	(Code) (Expenses \$ 1,401,535,352 including grants of \$ 957,772) (Revenue \$ 1,126,067,723)
	INSTRUCTION THE PROGRAM SERVICE COSTS INCLUDE SALARIES, FRINGE BENEFITS AND SUPPLIES OF TEACHING APPROXIMATELY 11,900 STUDENTS INCLUDING 5,379 UNDERGRADUATES, 2,823 GRADUATE STUDENTS AND 3,678 PROFESSIONAL SCHOOL STUDENTS A FACULTY OF MORE THAN 4,100 TEACH AND ADMINISTER PROGRAMS ACROSS A RANGE OF DISCIPLINES IN THE SCIENCES AND ENGINEERING, THE SOCIAL SCIENCES, THE HUMANITIES, AND THE VARIOUS LEARNED PROFESSIONS MORE THAN 80 MAJORS ARE AVAILABLE IN THE UNDERGRADUATE COLLEGE AND 38 DIFFERENT DEGREE PROGRAMS ARE OFFERED BY THE UNIVERSITY -- CONTINUED ON SCHEDULE O IN ADDITION TO THE GRADUATE SCHOOL OF ARTS AND SCIENCES, THE UNIVERSITY HAS PROFESSIONAL SCHOOLS INCLUDING ARCHITECTURE, ART, DIVINITY, DRAMA, ENGINEERING AND APPLIED SCIENCE, FORESTRY AND ENVIRONMENTAL STUDIES, LAW, MANAGEMENT, MEDICINE, MUSIC, NURSING AND PUBLIC HEALTH

4b	(Code) (Expenses \$ 489,029,000 including grants of \$ 53,045,371) (Revenue \$ 57,871,515)
	RESEARCH THE UNIVERSITY ENGAGES IN EXTENSIVE RESEARCH IN MEDICINE, THE PHYSICAL SCIENCES, THE SOCIAL SCIENCES AND HUMANITIES THE UNIVERSITY'S RESEARCH ACTIVITIES ARE AIMED AT THE ADVANCEMENT OF KNOWLEDGE AS WELL AS TRAINING STUDENTS IN THE RESEARCH PROCESS INCLUDED AMONG THE UNIVERSITY'S OUTSTANDING RESEARCH FACILITIES ARE LABORATORIES AND OTHER FACILITIES DEVOTED TO RESEARCH IN GEOLOGY, CHEMISTRY, BIOLOGY, ENGINEERING AND APPLIED SCIENCE, ANTHROPOLOGY, PALEONTOLOGY, MOLECULAR AND STRUCTURAL BIOLOGY, NUCLEAR STRUCTURE, CHILD DEVELOPMENT, SOCIAL POLICY, BIOSPHERIC STUDIES AND NUMEROUS BIOMEDICAL SCIENCES, TO NAME BUT A FEW

4c	(Code) (Expenses \$ 622,433,165 including grants of \$ 334,738,928) (Revenue \$ 72,236,251)
	STUDENT AID AND SERVICES YALE COLLEGE ADMITS STUDENTS FOR THEIR ACADEMIC AND PERSONAL PROMISE WITHOUT REGARD TO THEIR ABILITY TO PAY YALE IS COMMITTED TO A NEED-BLIND ADMISSIONS POLICY AND MEETS 100% OF DEMONSTRATED NEED FOR ALL STUDENTS REGARDLESS OF CITIZENSHIP BY COMMITTING TO AN ADMISSIONS POLICY THAT DOES NOT CONSIDER A STUDENT'S ABILITY TO PAY, AND BY MEETING THE FULL FINANCIAL NEED OF ALL ADMITTED STUDENTS, YALE ENSURES THAT IT IS ACCESSIBLE TO THE MOST TALENTED STUDENTS FROM AROUND THE WORLD, REGARDLESS OF THEIR FAMILY'S INCOME WITH THIS POLICY, YALE STRIVES TO CREATE A LEARNING ENVIRONMENT THAT INCORPORATES THE WIDEST POSSIBLE RANGE OF STUDENT BACKGROUNDS -- CONTINUED ON SCHEDULE O IN FY2013, 2,953 OR 55%, OF UNDERGRADUATES RECEIVED NEED-BASED SCHOLARSHIPS OR GRANTS FROM YALE SOURCES OF \$40,465 ON AVERAGE THIS CATEGORY OF EXPENDITURES ALSO INCLUDES THE OPERATION OF DINING HALLS THAT PROVIDED 2,775,441 MEALS TO STUDENTS, FACULTY AND STAFF, MAINTENANCE OF DORMITORIES HOUSING 4,704 UNDERGRADUATES AND 1,048 GRADUATE AND PROFESSIONAL SCHOOL STUDENTS, OPERATION AND MAINTENANCE OF ATHLETIC FACILITIES, FOR THE 35 INTERCOLLEGIATE SPORTS, OVER 35 INTRAMURAL SPORTS AND 50 CLUB SPORT TEAMS OFFERED AT YALE AS WELL AS MORE THAN 75 SECTIONS OF PHYSICAL EDUCATION INSTRUCTION, PARKING FACILITIES, LAUNDRY FACILITIES, STUDENT CENTERS AND OTHER FACILITIES AND PROGRAMS (INCLUDING EXTRACURRICULAR ACTIVITIES AND STUDENT HEALTH SERVICES) THAT PROVIDE SUPPORT, SERVICES AND ACADEMIC/CULTURAL ENRICHMENT TO THE UNIVERSITY'S STUDENTS FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES OTHER PROGRAM SERVICES INCLUDE ACADEMIC SUPPORT, PUBLIC SERVICE AND OTHER INSTITUTIONAL SUPPORT

























	(Code) (Expenses \$ 436,433,026 including grants of \$ 5,211,233) (Revenue \$ 119,557,296)
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4d	Other program services (Describe in Schedule O)
	(Expenses \$ 436,433,026 including grants of \$ 5,211,233) (Revenue \$ 119,557,296)

4e	Total program service expenses	2,949,430,543
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Part IV

Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 	1 Yes	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	2	No
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I 	3	No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II 	4 Yes	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III 	5	No
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I 	6 Yes	
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II 	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 	8 Yes	
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 	9 Yes	
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V 	10 Yes	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI 	11a Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII 	11b Yes	
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII 	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX 	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X 	11e Yes	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X 	11f	No
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII 	12a	No
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional 	12b Yes	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E 	13 Yes	
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a Yes	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV 	14b Yes	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Parts II and IV 	15 Yes	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If "Yes," complete Schedule F, Parts III and IV 	16 Yes	
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) 	17	No
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II 	18 Yes	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III 	19 Yes	
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a	No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21	Yes	
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25</i>	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		No
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		No
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highest compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>	26	Yes	
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>	27	Yes	
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b	Yes	
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c	Yes	
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33	Yes	
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>	34	Yes	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	Yes	
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35b	Yes	
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	38	Yes	

Part V

Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response to any question in this Part V

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable	24,649	
b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	0	
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	Yes	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	27,824	
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	Yes	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	Yes	
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	Yes	
b	AS, BK, BR, CA, CJ, CH, CO, DA, EG, FR, GR, HK, IN, EI, IS, JA, LU, MY, MP, MX, MO, NL, NZ, PL, KS, SN, SW, SZ, TW, TH, TU, AE, UK If "Yes," enter the name of the foreign country See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	Yes	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	Yes	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		No
d	If "Yes," indicate the number of Forms 8282 filed during the year		
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	Yes	
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?		No
9	Sponsoring organizations maintaining donor advised funds.		
a	Did the organization make any taxable distributions under section 4966?		No
b	Did the organization make a distribution to a donor, donor advisor, or related person?		No
10	Section 501(c)(7) organizations. Enter		
a	Initiation fees and capital contributions included on Part VIII, line 12		
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities		
11	Section 501(c)(12) organizations. Enter		
a	Gross income from members or shareholders		
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)		
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year		
13	Section 501(c)(29) qualified nonprofit health insurance issuers.		
a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O		
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans		
c	Enter the amount of reserves on hand		
14a	Did the organization receive any payments for indoor tanning services during the tax year?		No
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O		

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	19	
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O			
1b	Enter the number of voting members included in line 1a, above, who are independent	15	
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3	No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	Yes
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5	No
6	Did the organization have members or stockholders?	6	No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	Yes
7b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following		
8a	The governing body?	8a	Yes
8b	Each committee with authority to act on behalf of the governing body?	8b	Yes
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	No

Section B. Policies

(This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a	No
10b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes
11b	Describe in Schedule O the process, if any, used by the organization to review this Form 990		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes
12b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes
12c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	Yes
13	Did the organization have a written whistleblower policy?	13	Yes
14	Did the organization have a written document retention and destruction policy?	14	Yes
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
15a	The organization's CEO, Executive Director, or top management official	15a	Yes
15b	Other officers or key employees of the organization	15b	Yes
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	Yes
16b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	Yes

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. <input type="checkbox"/> Own website <input type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request <input type="checkbox"/> Other (explain in Schedule O)
19	Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization SHAUNA R KING VP FINANCE BUS O 25 SCIENCE PARK 150 MUNSON STREET NEW HAVEN, CT (203) 432-1096

Check if Schedule O contains a response to any question in this Part VII ☒

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

[illegible]

Part VII

1b	Sub-Total			
c	Total from continuation sheets to Part VII, Section A			
d	Total (add lines 1b and 1c)	20,381,433	0	2,061,772

2 Total number of individuals (including but not limited to those listed) who received more than \$100,000 of reportable compensation from the organization **2,874**

		Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3 Yes	
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	4 Yes	
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>	5	No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
DIMEO CONSTRUCTION CO 75 CHAPMAN STREET PROVIDENCE RI 02905	CONSTRUCTION & RELATED SERVICES	81,133,785
STANDARD BUILDERS INC 52 HOLMES ROAD NEWINGTON CT 06111	CONSTRUCTION & RELATED SERVICES	33,509,055
THE WHITING-TURNER CONTRACTING COMPANY 300 EAST JOPPA ROAD BALTIMORE MD 21286	CONSTRUCTION & RELATED SERVICES	30,867,949
TURNER CONSTRUCTION COMPANY 50 WATERVIEW DRIVE SHELTON CT 06484	CONSTRUCTION & RELATED SERVICES	21,806,728
BABBIDGE FACILITIES CONSTRUCTION COMPANY 205 WHITNEY AVENUE NEW HAVEN CT 06511	CONSTRUCTION & RELATED SERVICES	12,432,307
2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization 414		

Part VIII

Statement of Revenue

Check if Schedule O contains a response to any question in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns . . .	1a379,279			
	b	Membership dues	1b			
	c	Fundraising events	1c198,971			
	d	Related organizations	1d2,083,995			
	e	Government grants (contributions)	1e480,456,490			
	f	All other contributions, gifts, grants, and similar amounts not included above	1f363,390,660			
	g	Noncash contributions included in lines 1a-1f \$	79,818,924			
	h	Total. Add lines 1a-1f	846,509,395			
Program Service Revenue	Business Code					
	2a	MEDICAL SERVICE INCOME	541900615,610,734	613,759,739	1,850,995	
	b	STUDENT INCOME	611310518,780,926	518,780,926		
	c	CONTRACT INCOME-GOVT	54170083,643,610	83,643,610		
	d	CONTRACT INC-NON-GOVT	54170039,991,214	39,991,214		
	e	PUBLICATIONS INCOME	51113031,423,057	31,423,057		
	f	All other program service revenue	58,358,182	56,531,137	1,827,045	
	g	Total. Add lines 2a-2f	1,347,807,723			
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)	449,498,112		-85,193,138	534,691,250
	4	Income from investment of tax-exempt bond proceeds . .	1,756			1,756
	5	Royalties	8,189,160			8,189,160
	6a	(i) Real	(ii) Personal			
		15,358,959				
		10,989,313				
		4,369,646				
	b	Less rental expenses				
	c	Rental income or (loss)				
	d	Net rental income or (loss)	4,369,646			4,369,646
	7a	(i) Securities	(ii) Other			
		1,812,451,148	3,407,562,616			
		1,802,754,146	2,441,172,829			
		9,697,002	966,389,787			
	b	Less cost or other basis and sales expenses				
	c	Gain or (loss)				
	d	Net gain or (loss)	976,086,789		-3,758,505	979,845,294
	8a	Gross income from fundraising events (not including \$ 198,971 of contributions reported on line 1c) See Part IV, line 18				
	a	301,853				
	b	Less direct expenses	b250,567			
	c	Net income or (loss) from fundraising events . .	51,286			51,286
	9a	Gross income from gaming activities See Part IV, line 19				
	a	19,023				
	b	Less direct expenses	b5,606			
	c	Net income or (loss) from gaming activities . .	13,417			13,417
	10a	Gross sales of inventory, less returns and allowances				
	a					
	b	Less cost of goods sold	b			
	c	Net income or (loss) from sales of inventory . .				
	Miscellaneous Revenue		Business Code			
	11a	EDUCATIONAL SUPPORT	61171031,603,102	31,603,102		
	b	UTILITIES	22100010,859,829			10,859,829
	c	PARKING	81293010,465,735			10,465,735
	d	All other revenue	3,015,235		8,319	3,006,916
	e	Total. Add lines 11a-11d	55,943,901			
	12	Total revenue. See Instructions	3,688,471,185	1,375,732,785	-85,265,284	1,551,494,289

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response to any question in this Part IX ☒

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the United States. See Part IV, line 21.	50,514,774	50,514,774		
2	Grants and other assistance to individuals in the United States. See Part IV, line 22.	326,038,146	326,038,146		
3	Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16.	17,400,384	17,400,384		
4	Benefits paid to or for members.				
5	Compensation of current officers, directors, trustees, and key employees.	14,932,309	1,321,914	13,089,709	520,686
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).	12,251,056	9,705,303	2,362,424	183,329
7	Other salaries and wages.	1,378,757,926	1,277,117,331	83,386,318	18,254,277
8	Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions).	112,743,382	102,435,333	8,666,968	1,641,081
9	Other employee benefits.	299,172,321	268,623,035	26,758,443	3,790,843
10	Payroll taxes.	82,410,491	74,511,109	6,680,980	1,218,402
11	Fees for services (non-employees):				
a	Management.				
b	Legal.	4,631,713		4,631,713	
c	Accounting.	2,053,341	47,734	2,005,607	
d	Lobbying.	113,370	113,370		
e	Professional fundraising services. See Part IV, line 17.				
f	Investment management fees.	97,372,515		97,372,515	
g	Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O).	66,629,057	56,333,439	9,968,519	327,099
12	Advertising and promotion.	3,043,582	2,808,702	116,967	117,913
13	Office expenses.	22,214,816	13,546,324	7,588,293	1,080,199
14	Information technology.	38,849,183	28,694,566	9,678,836	475,781
15	Royalties.	69,205	69,205		
16	Occupancy.	80,498,963	76,417,556	1,825,975	2,255,432
17	Travel.	48,880,264	45,086,474	2,734,094	1,059,696
18	Payments of travel or entertainment expenses for any federal, state, or local public officials.	7,890	7,890		
19	Conferences, conventions, and meetings.	4,454,882	4,248,497	193,207	13,178
20	Interest.	91,402,180	86,513,560	4,140,168	748,452
21	Payments to affiliates.				
22	Depreciation, depletion, and amortization.	237,960,859	221,907,194	13,595,834	2,457,831
23	Insurance.	16,413,287	15,237,966	1,175,321	
24	Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O):				
a	SUPPLIES-MED & RESEARCH	70,429,285	69,913,817	515,468	
b	SUPPLIES-OTHER	39,234,177	37,381,798	1,711,637	140,742
c	BOOKS, PERIODICALS, ETC	38,042,136	37,789,517	191,182	61,437
d	SUBSIDIES AND COMMUNITY	14,147,467	12,468,782	1,676,185	2,500
e	All other expenses	147,753,310	113,176,823	30,606,629	3,969,858
25	Total functional expenses. Add lines 1 through 24e.	3,318,422,271	2,949,430,543	330,672,992	38,318,736
26	Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).				

Part X

Balance Sheet

Check if Schedule O contains a response to any question in this Part X

				(A)		(B)
				Beginning of year		End of year
Assets	1	Cash—non-interest-bearing		8,687,540	1	15,460,156
	2	Savings and temporary cash investments		524,314,065	2	273,641,928
	3	Pledges and grants receivable, net		513,632,221	3	474,584,945
	4	Accounts receivable, net		105,515,925	4	127,247,294
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L		0	5	0
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L		720,569	6	125,235
	7	Notes and loans receivable, net		145,008,101	7	148,778,187
	8	Inventories for sale or use		16,518,402	8	16,317,745
	9	Prepaid expenses and deferred charges		41,230,004	9	21,152,539
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a6,404,009,542			
	b	Less accumulated depreciation	10b2,143,545,652	4,163,149,318	10c	4,260,463,890
	11	Investments—publicly traded securities		4,426,630,424	11	3,954,966,616
	12	Investments—other securities See Part IV, line 11		17,999,374,610	12	19,248,317,889
	13	Investments—program-related See Part IV, line 11		23,498,738	13	27,505,800
	14	Intangible assets		0	14	0
	15	Other assets See Part IV, line 11		353,067,440	15	342,613,318
	16	Total assets. Add lines 1 through 15 (must equal line 34)		28,321,347,357	16	28,911,175,542
Liabilities	17	Accounts payable and accrued expenses		314,503,535	17	361,715,777
	18	Grants payable		3,733,782	18	3,599,640
	19	Deferred revenue		83,300,576	19	87,681,883
	20	Tax-exempt bond liabilities		2,665,369,875	20	2,657,127,658
	21	Escrow or custodial account liability Complete Part IV of Schedule D		1,960,994	21	1,659,869
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L		0	22	0
	23	Secured mortgages and notes payable to unrelated third parties		0	23	0
	24	Unsecured notes and loans payable to unrelated third parties		1,308,004,207	24	808,416,119
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D		3,878,536,617	25	3,053,692,963
	26	Total liabilities. Add lines 17 through 25		8,255,409,586	26	6,973,893,909
		Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.				
Net Assets or Fund Balances	27	Unrestricted net assets		2,961,469,627	27	3,624,985,807
	28	Temporarily restricted net assets		14,057,703,557	28	15,117,189,673
	29	Permanently restricted net assets		3,046,764,587	29	3,195,106,153
		Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.				
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		20,065,937,771	33	21,937,281,633
	34	Total liabilities and net assets/fund balances		28,321,347,357	34	28,911,175,542

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	3,688,471,185
2	Total expenses (must equal Part IX, column (A), line 25)	2	3,318,422,271
3	Revenue less expenses Subtract line 2 from line 1	3	370,048,914
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	20,065,937,771
5	Net unrealized gains (losses) on investments	5	1,199,365,009
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	301,929,939
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	21,937,281,633

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		No
2b	Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	Yes	
2c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	Yes	
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	Yes	

SCHEDULE A
(Form 990 or 990EZ)

Public Charity Status and Public Support

OMB No 1545-0047

2012

Open to Public Inspection

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

Department of the Treasury
Internal Revenue Service

Name of the organization
YALE UNIVERSITY

Employer identification number
06-0646973

Part I

Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

- 1

☐

A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2

☒

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)
- 3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state _____
- 5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)
- 6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7

☐

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)
- 8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 9

☐

An organization that normally receives (1) more than 33¹/₃% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33¹/₃% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)
- 10

☐

An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**
- 11

☐

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a

☐

Type I

b

☐

Type II

c

☐

Type III - Functionally integrated

d

☐

Type III - Non-functionally integrated
- e

☐

By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)
- f

☐

If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
- g

☐

Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

(i)

A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?

(ii)

A family member of a person described in (i) above?

(iii)

A 35% controlled entity of a person described in (i) or (ii) above?

h

☐

Provide the following information about the supported organization(s)

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
Total									

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990EZ.

Cat No 11285F

Schedule A (Form 990 or 990-EZ) 2012

Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
11 Total support (Add lines 7 through 10)						
12 Gross receipts from related activities, etc (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage						
14 Public support percentage for 2012 (line 6, column (f) divided by line 11, column (f))		14				
15 Public support percentage for 2011 Schedule A, Part II, line 14		15				
16a 33 1/3% support test—2012. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization						▶
b 33 1/3% support test—2011. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization						▶
17a 10%-facts-and-circumstances test—2012. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization						▶
b 10%-facts-and-circumstances test—2011. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization						▶
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions						▶

Part IIISupport Schedule for Organizations Described in Section 509(a)(2)
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6.)						

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage		
15 Public support percentage for 2012 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2011 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage		
17 Investment income percentage for 2012 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2011 Schedule A, Part III, line 17	18	
19a 33 1/3% support tests—2012. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
b 33 1/3% support tests—2011. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶		

Part IV **Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Explanation

SCHEDULE C

(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ Complete if the organization is described below. ▶ Attach to Form 990 or Form 990-EZ.
▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

If the organization answered “Yes” to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered “Yes” to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered “Yes” to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization YALE UNIVERSITY	Employer identification number 06-0646973
---	--

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1	Provide a description of the organization’s direct and indirect political campaign activities in Part IV	
2	Political expenditures	▶ \$
3	Volunteer hours	

Part I-B Complete if the organization is exempt under section 501(c)(3).

1	Enter the amount of any excise tax incurred by the organization under section 4955	▶ \$
2	Enter the amount of any excise tax incurred by organization managers under section 4955	▶ \$
3	If the organization incurred a section 4955 tax, did it file Form 4720 for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
4a	Was a correction made?	<input type="checkbox"/> Yes <input type="checkbox"/> No
b	If “Yes,” describe in Part IV	

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1	Enter the amount directly expended by the filing organization for section 527 exempt function activities	▶ \$
2	Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities	▶ \$
3	Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b	▶ \$
4	Did the filing organization file Form 1120-POL for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
5	Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization’s funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV	

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A

Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A
- Check ☒ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)
- B
- Check ☐ if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying) b Total lobbying expenditures to influence a legislative body (direct lobbying) c Total lobbying expenditures (add lines 1a and 1b) d Other exempt purpose expenditures e Total exempt purpose expenditures (add lines 1c and 1d) f Lobbying nontaxable amount Enter the amount from the following table in both columns		18,971	18,971												
		588,000	588,000												
		606,971	606,971												
		3,153,105,551	3,219,756,345												
		3,153,712,522	3,220,363,316												
		1,000,000	1,000,000												
<table><tr><td>If the amount on line 1e, column (a) or (b) is:</td><td>The lobbying nontaxable amount is:</td></tr><tr><td>Not over \$500,000</td><td>20% of the amount on line 1e</td></tr><tr><td>Over \$500,000 but not over \$1,000,000</td><td>\$100,000 plus 15% of the excess over \$500,000</td></tr><tr><td>Over \$1,000,000 but not over \$1,500,000</td><td>\$175,000 plus 10% of the excess over \$1,000,000</td></tr><tr><td>Over \$1,500,000 but not over \$17,000,000</td><td>\$225,000 plus 5% of the excess over \$1,500,000</td></tr><tr><td>Over \$17,000,000</td><td>\$1,000,000</td></tr></table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
g Grassroots nontaxable amount (enter 25% of line 1f) h Subtract line 1g from line 1a If zero or less, enter -0- i Subtract line 1f from line 1c If zero or less, enter -0- j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		250,000	250,000												
		0	0												
		0	0												
		<div><input type="checkbox"/> Yes <input type="checkbox"/> No</div>													

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) Total
2a Lobbying nontaxable amount	1,000,000	1,000,000	1,000,000	1,000,000	4,000,000
b Lobbying ceiling amount (150% of line 2a, column(e))					6,000,000
c Total lobbying expenditures	651,670	609,960	521,672	606,971	2,390,273
d Grassroots nontaxable amount	250,000	250,000	250,000	250,000	1,000,000
e Grassroots ceiling amount (150% of line 2d, column (e))					1,500,000
f Grassroots lobbying expenditures	22,348	15,670	21,232	18,971	78,221

Part II-B

Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?			
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c	Media advertisements?			
d	Mailings to members, legislators, or the public?			
e	Publications, or published or broadcast statements?			
f	Grants to other organizations for lobbying purposes?			
g	Direct contact with legislators, their staffs, government officials, or a legislative body?			
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i	Other activities?			
j	Total. Add lines 1c through 1i.			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912.			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912.			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

		Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1	
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV

Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, line 2, and Part II-B, line 1. Also, complete this part for any additional information.

Identifier	Return Reference	Explanation
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SCHEDULE D
(Form 990)

Supplemental Financial Statements

OMB No 1545-0047

2012

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b
▶ Attach to Form 990. ▶ See separate instructions.

Name of the organization YALE UNIVERSITY	Employer identification number 06-0646973
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Part I

Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year	10	
2 Aggregate contributions to (during year)	4,000,000	
3 Aggregate grants from (during year)	5,616,521	
4 Aggregate value at end of year	37,472,010	
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	

Part II

Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)
☐ Preservation of land for public use (e g , recreation or education) ☐ Preservation of an historically important land area
☐ Protection of natural habitat ☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ \$ _____

(ii) Assets included in Form 990, Part X ▶ \$ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ \$ _____

b Assets included in Form 990, Part X ▶ \$ _____

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☒

Public exhibition

d

☒

Loan or exchange programs

b

☒

Scholarly research

e

☐

Other

c

☒

Preservation for future generations

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☒ No

Part IV

Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☒ No

b

If "Yes," explain the arrangement in Part XIII and complete the following table

	Amount
1c	
1d	
1e	
1f	

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

2a

Did the organization include an amount on Form 990, Part X, line 21?

☒ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

☒

Part V

Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current year	(b)Prior year	b (c)Two years back	(d)Three years back	(e)Four years back	
1a	Beginning of year balance	19,606,479,000	19,630,896,000	16,863,612,000	16,493,386,000	22,980,906,000
b	Contributions	147,031,000	137,655,000	303,444,000	84,878,000	166,058,000
c	Net investment earnings, gains, and losses	2,294,825,000	877,883,000	3,465,103,000	1,370,842,000	-5,533,211,000
d	Grants or scholarships	157,718,000	152,900,000	146,778,000	163,872,000	174,611,000
e	Other expenditures for facilities and programs	824,778,000	819,118,000	788,353,000	853,772,000	886,584,000
f	Administrative expenses	72,255,000	67,937,000	66,132,000	67,850,000	59,172,000
g	End of year balance	20,993,584,000	19,606,479,000	19,630,896,000	16,863,612,000	16,493,386,000

2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a

Board designated or quasi-endowment

15 860 %

b

Permanent endowment

15 090 %

c

Temporarily restricted endowment

69 050 %

The percentages in lines 2a, 2b, and 2c should equal 100%

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i)

unrelated organizations

3a(i)

☐ Yes

☐ No

(ii)

related organizations

3a(ii)

☐ Yes

☐ No

b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

3b

☐

☐

4

Describe in Part XIII the intended uses of the organization's endowment funds

Part VI

Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b)Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value	
1a	Land	128,882,981		128,882,981	
b	Buildings	54,805,371	5,681,727,061	1,762,425,976	3,974,106,456
c	Leasehold improvements		523,359	387,068	136,291
d	Equipment		538,070,770	380,732,608	157,338,162
e	Other				
Total.	Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				4,260,463,890

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return					
1	Total revenue, gains, and other support per audited financial statements			1	4,941,338,000
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12				
a	Net unrealized gains on investments	2a	1,199,365,009		
b	Donated services and use of facilities	2b			
c	Recoveries of prior year grants	2c			
d	Other (Describe in Part XIII)	2d	412,538,561		
e	Add lines 2a through 2d			2e	1,611,903,570
3	Subtract line 2e from line 1			3	3,329,434,430
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	122,504,076		
b	Other (Describe in Part XIII)	4b	236,532,679		
c	Add lines 4a and 4b				
5	Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12)			5	3,688,471,185
Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return					
1	Total expenses and losses per audited financial statements			1	2,976,132,000
2	Amounts included on line 1 but not on Form 990, Part IX, line 25				
a	Donated services and use of facilities	2a			
b	Prior year adjustments	2b			
c	Other losses	2c			
d	Other (Describe in Part XIII)	2d	16,746,482		
e	Add lines 2a through 2d			2e	16,746,482
3	Subtract line 2e from line 1			3	2,959,385,518
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:				
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	122,504,076		
b	Other (Describe in Part XIII)	4b	236,532,677		
c	Add lines 4a and 4b				
5	Total expenses Add lines 3 and 4c. (This must equal Form 990, Part I, line 18)			5	3,318,422,271
Part XIII Supplemental Information					

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Identifier	Return Reference	Explanation
	PART III, LINE 1A	PART III, LINE 1A YALE UNIVERSITY FINANCIAL STATEMENTS - COLLECTIONS FOOTNOTE COLLECTIONS AT YALE INCLUDE WORKS OF ART, LITERARY WORKS, HISTORICAL TREASURES AND ARTIFACTS THAT ARE MAINTAINED IN THE UNIVERSITY'S MUSEUMS AND LIBRARIES. THESE COLLECTIONS ARE PROTECTED AND PRESERVED FOR PUBLIC EXHIBITION, EDUCATION, RESEARCH AND THE FURTHERANCE OF PUBLIC SERVICE. COLLECTIONS ARE NOT CAPITALIZED, PURCHASES OF COLLECTION ITEMS ARE RECORDED AS OPERATING EXPENSES IN THE UNIVERSITY'S CONSOLIDATED FINANCIAL STATEMENTS IN THE PERIOD IN WHICH THE ITEMS ARE ACQUIRED.
	PART III, LINE 4	PART III, LINE 4 TEACHING AND RESEARCH ARE SUPPORTED BY THE UNIVERSITY'S EXTENSIVE COLLECTIONS-THE YALE UNIVERSITY ART GALLERY, THE YALE CENTER FOR BRITISH ART, THE PEABODY MUSEUM OF NATURAL HISTORY, AND THE COLLECTION OF MUSICAL INSTRUMENTS. ALL THE COLLECTIONS ARE OPEN TO THE PUBLIC. THE YALE UNIVERSITY ART GALLERY, THE YALE UNIVERSITY ART GALLERY, FOUNDED IN 1832, HOUSES A COLLECTION THAT RANKS WITH THOSE OF THE MAJOR PUBLIC ART MUSEUMS IN THE UNITED STATES. ITS BUILDINGS HOUSE ANCIENT, MEDIEVAL, AND RENAISSANCE ART, NEAR AND FAR EASTERN ART, ARCHAEOLOGICAL MATERIAL, PRE-COLUMBIAN AND AFRICAN ART, WORKS OF EUROPEAN AND AMERICAN MASTERS FROM VIRTUALLY EVERY PERIOD, AND A RICH COLLECTION OF MODERN ART. THE MISSION OF THE YALE UNIVERSITY ART GALLERY IS TO ENCOURAGE APPRECIATION AND UNDERSTANDING OF ART AND ITS ROLE IN SOCIETY THROUGH DIRECT ENGAGEMENT WITH ORIGINAL WORKS OF ART. THE GALLERY STIMULATES ACTIVE LEARNING ABOUT ART AND THE CREATIVE PROCESS THROUGH RESEARCH, TEACHING, AND DIALOGUE AMONG COMMUNITIES OF YALE STUDENTS, FACULTY, ARTISTS, SCHOLARS, ALUMNI, AND THE WIDER PUBLIC. THE GALLERY ORGANIZES EXHIBITIONS AND EDUCATIONAL PROGRAMS TO OFFER ENJOYMENT AND ENCOURAGE INQUIRY, WHILE BUILDING AND MAINTAINING ITS COLLECTIONS IN TRUST FOR FUTURE GENERATIONS. THE YALE CENTER FOR BRITISH ART, THE YALE CENTER FOR BRITISH ART IS A PUBLIC ART MUSEUM AND RESEARCH INSTITUTE FOR THE STUDY OF BRITISH ART AND CULTURE. THE YALE CENTER FOR BRITISH ART, WHICH OPENED IN 1977, HOUSES THE LARGEST COLLECTION OF BRITISH ART OUTSIDE THE UNITED KINGDOM. THE COLLECTION OF PAINTINGS, SCULPTURE, PRINTS, DRAWINGS, RARE BOOKS, AND MANUSCRIPTS REFLECTS THE DEVELOPMENT OF BRITISH ART AND LIFE FROM THE ELIZABETHAN PERIOD ONWARD. THE CENTER OFFERS A YEAR-ROUND SCHEDULE OF EXHIBITIONS AND PROGRAMS, INCLUDING FILMS, CONCERTS, LECTURES, TOURS, AND SPECIAL EVENTS. THE YALE CENTER FOR BRITISH ART OVERSEES AN ACTIVE RESEARCH PROGRAM THROUGH WHICH IT PROMOTES AND FOSTERS THE SCHOLARSHIP OF ALL ASPECTS OF BRITISH ART AND MATERIAL CULTURE. ACADEMIC RESOURCES OF THE CENTER INCLUDE THE REFERENCE LIBRARY AND ARCHIVE, CONSERVATION LABORATORIES, A STUDY ROOM FOR EXAMINING WORKS ON PAPER AS WELL AS RARE BOOKS AND MANUSCRIPTS FROM THE COLLECTION, AND AN ONLINE CATALOGUE OF THE COLLECTIONS. PEABODY MUSEUM, YALE'S PEABODY MUSEUM OF NATURAL HISTORY, FOUNDED IN 1866, CONTAINS ONE OF THE GREAT SCIENTIFIC COLLECTIONS IN NORTH AMERICA (NUMBERING OVER 13 MILLION SPECIMENS). AMONG ITS HOLDINGS ARE THE UNIVERSITY'S COMPREHENSIVE MINERALOGICAL AND ORNITHOLOGICAL COLLECTIONS, THE SECOND-LARGEST REPOSITORY OF DINOSAUR ARTIFACTS IN THE UNITED STATES, AND THE LARGEST INTACT APATOSAURUS (BRONTOSAURUS) IN THE WORLD. THE PEABODY IS A WORKING MUSEUM, WHERE PUBLIC EXHIBITION, RESEARCH, CONSERVATION, TEACHING, AND LEARNING INTERSECT. ITS MISSION IS TO SERVE THE UNIVERSITY BY ADVANCING OUR UNDERSTANDING OF EARTH'S HISTORY THROUGH GEOLOGICAL, BIOLOGICAL, AND ANTHROPOLOGICAL RESEARCH, AND BY COMMUNICATING THE RESULTS OF THIS RESEARCH TO THE WIDEST POSSIBLE AUDIENCE THROUGH PUBLICATION, EXHIBITION, AND EDUCATIONAL PROGRAMS. FUNDAMENTAL TO THIS MISSION IS STEWARDSHIP OF THE MUSEUM'S RICH COLLECTIONS, WHICH PROVIDE A REMARKABLE RECORD OF THE HISTORY OF THE EARTH, ITS LIFE, AND ITS CULTURES. CONSERVATION, AUGMENTATION AND USE OF THESE COLLECTIONS ARE INCREASINGLY URGENT AS MODERN THREATS TO THE DIVERSITY OF LIFE AND CULTURE CONTINUE TO INTENSIFY. ITS COLLECTIONS ARE AVAILABLE TO LEGITIMATE RESEARCHERS AT ESTABLISHED INSTITUTIONS FOR SCHOLARLY USE. COLLECTION OF MUSICAL INSTRUMENTS: ESTABLISHED IN 1900, THE COLLECTION TODAY COMPRISES NEARLY ONE THOUSAND INSTRUMENTS, THE MAJORITY DOCUMENTING THE HISTORY OF WESTERN ART MUSIC. IT IS COMMITTED TO FOSTERING THE UNDERSTANDING AND APPRECIATION OF MUSICAL INSTRUMENTS FROM ALL CULTURES. ONE OF THE FOREMOST INSTITUTIONS OF ITS KIND, THE COLLECTION ACQUIRES, PRESERVES, AND EXHIBITS MUSICAL INSTRUMENTS FROM ANTIQUITY TO THE PRESENT, FEATURING RESTORED EXAMPLES IN DEMONSTRATION AND LIVE PERFORMANCE. IT PROVIDES ACCESS TO AND DISSEMINATES INFORMATION ABOUT ITS HOLDINGS TO YALE STUDENTS, FACULTY, AND STAFF, TO SCHOLARS, MUSICIANS, AND INSTRUMENT MAKERS, AND TO THE BROADER PUBLIC. AN IMPORTANT RESOURCE FOR THE MUSIC CURRICULA OF THE UNIVERSITY, THE COLLECTION SERVES AS A LABORATORY FOR COURSES IN THE HISTORY OF MUSICAL INSTRUMENTS AND AS A SUPPLEMENTAL ARCHIVE FOR COURSES TAUGHT IN THE ARTS AND SCIENCES. THE COLLECTION MAINTAINS REGULAR PUBLIC VISITING HOURS AND PRESENTS AN ANNUAL SERIES OF CONCERTS AS WELL AS LECTURES, DEMONSTRATIONS, GALLERY TALKS, AND OTHER SPECIAL EVENTS. EXHIBITIONS ARE ALSO FREQUENTLY MOUNTED AT THE FOLLOWING VENUES ON CAMPUS: ART & ARCHITECTURE GALLERY (SCHOOL OF ARCHITECTURE), BEINECKE RARE BOOK AND MANUSCRIPT LIBRARY, GREEN HALL GALLERY (SCHOOL OF ART), AND STERLING MEMORIAL LIBRARY, AS WELL AS AT OTHER NON-YALE LOCATIONS AND INSTITUTIONS THROUGH UNIVERSITY LOAN OR EXCHANGE PROGRAMS. YALE UNIVERSITY LIBRARY: IN ADDITION TO ITS MUSEUMS, THE YALE UNIVERSITY LIBRARY SUPPORTS AND ENHANCES RESEARCH, TEACHING, AND LEARNING AT YALE AND FOR THE WORLD-WIDE SCHOLARLY COMMUNITY THROUGH ITS EXPERTISE, COLLECTIONS, FACILITIES AND SERVICES. THE COLLECTION OF NEARLY 15 MILLION PRINT AND ELECTRONIC VOLUMES IS HOUSED IN 15 LIBRARIES, INCLUDING STERLING MEMORIAL, BEINECKE, AND BASS LIBRARIES, THE CENTER FOR SCIENCE AND SOCIAL SCIENCE INFORMATION (CSSSI), AS WELL AS MANY OTHER SCHOOL AND DEPARTMENTAL LIBRARIES. COLLECTIONS RANGE FROM ANCIENT PAPYRI AND EARLY PRINTED BOOKS TO DIGITAL COLLECTIONS AND ELECTRONIC DATABASES, AND THEY TRANSCEND A WIDE ARRAY OF FORMATS INCLUDING TEXT, PHOTOGRAPHS, VIDEO, AUDIO, DATA, MAPS, AND EPHEMERA. IN BUILDING THE COLLECTIONS OVER THE LAST THREE CENTURIES, YALE MADE A STEWARDSHIP COMMITMENT TO ASSIST IN THE PRESERVATION OF HUMAN KNOWLEDGE AND ALSO HAS A RESPONSIBILITY TO BRING DIGITAL RESOURCES TO THE YALE COMMUNITY.
	PART IV, LINE 2B	PART IV, LINE 2B THE AMOUNT REPORTED ON FORM 990, PART X, LINE 21, ESCROW ACCOUNT LIABILITY, REPRESENTS SECURITY DEPOSITS RELATED TO LEASE AGREEMENTS. PART V, LINE 1 ENDOWMENT FUNDS INCLUDE THE VALUE OF THE ENDOWMENT AND UNEXPENDED ENDOWMENT INCOME AT JUNE 30, 2013.
DESCRIPTION OF INTENDED USE OF ENDOWMENT FUNDS	PART V, LINE 4	PART V, LINE 4 THE ENDOWMENT PROVIDES THE LARGEST SOURCE OF OPERATING REVENUE FOR THE UNIVERSITY. ENDOWMENT DISTRIBUTIONS TO THE OPERATING BUDGET GREW DURING THE LAST DECADE FROM \$470 MILLION TO \$1.02 BILLION, AN ANNUAL GROWTH RATE OF APPROXIMATELY 8%. YALE'S SPENDING AND INVESTMENT POLICIES PROVIDED SUBSTANTIAL LEVELS OF CASH FLOW TO THE OPERATING BUDGET FOR CURRENT SCHOLARS WHILE PRESERVING ENDOWMENT PURCHASING POWER FOR FUTURE GENERATIONS. THE YALE ENDOWMENT CONTAINS THOUSANDS OF FUNDS WITH A VARIETY OF DESIGNATED PURPOSES AND RESTRICTIONS. APPROXIMATELY 85% OF FUNDS CONSTITUTE TRUE ENDOWMENT, GIFTS RESTRICTED BY DONORS TO PROVIDE LONG-TERM FUNDING FOR DESIGNATED PURPOSES. THE REMAINING FUNDS REPRESENT QUASI-ENDOWMENT, MONIES THAT THE YALE CORPORATION CHOOSES TO INVEST AND TREAT AS ENDOWMENT. DONORS FREQUENTLY SPECIFY A PARTICULAR PURPOSE FOR GIFTS, CREATING ENDOWMENTS TO FUND PROFESSORSHIPS, TEACHING, AND LECTURESHIPS (24%), SCHOLARSHIPS, FELLOWSHIPS, AND PRIZES (17%), MAINTENANCE (4%), BOOKS (3%), AND MISCELLANEOUS SPECIFIC PURPOSES (27%). TWENTY-FIVE PERCENT OF FUNDS ARE UNRESTRICTED. TWENTY-TWO PERCENT OF THE ENDOWMENT BENEFITS THE OVERALL UNIVERSITY, WITH REMAINING FUNDS FOCUSED ON SPECIFIC UNITS, INCLUDING THE FACULTY OF ARTS AND SCIENCES (37%), THE PROFESSIONAL SCHOOLS (26%), THE LIBRARY (7%), AND OTHER ENTITIES (7%). PART X, LINE 2 THE UNIVERSITY WAS NOT REQUIRED TO INCLUDE A FIN 48 (ASC 740), ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES, FOOTNOTE IN ITS FINANCIAL STATEMENTS FOR THE FISCAL YEAR ENDED JUNE 30, 2013.
		PART XI, LINE 2D - OTHER ADJUSTMENTS: AFFILIATED ORGANIZATION INCOME(LOSS)* 36,671,838. CHANGE IN FUNDING STATUS OF DEFINED BENEFIT PLANS 306,850,114. ACTUARIAL ADJUSTMENT FOR SPLIT INTEREST LIABILITY (4,919,455). NON-CONTROLLING INTEREST 73,937,000. ROUNDING (936). TOTAL 412,538,561. PART XI, LINE 4B - OTHER ADJUSTMENTS: EXPENSES ON THE AUDITED FINANCIAL STATEMENTS THAT ARE RECLASSIFIED FOR FORM 990 PURPOSES AND REPORTED ON PART VIII, LINES 6B, 8B, AND 9B PURSUANT TO FORM INSTRUCTIONS (11,245,486). TUITION DISCOUNTS WHICH WERE NETTED WITH STUDENT INCOME ON THE AUDITED FINANCIAL STATEMENTS 247,778,165. TOTAL 236,532,679. PART XII, LINE 2D: AFFILIATED ORGANIZATION EXPENSE* 16,746,482. * THIS AMOUNT IS REPORTED (IF REQUIRED) ON THE FORMS 990 OF THE AFFILIATED ORGANIZATIONS. PART XII, LINE 4B - OTHER ADJUSTMENTS: EXPENSES ON THE AUDITED FINANCIAL STATEMENTS THAT ARE RECLASSIFIED FOR FORM 990 PURPOSES AND REPORTED ON PART VIII, LINES 6B, 8B, AND 9B PURSUANT TO FORM INSTRUCTIONS (11,245,486). TUITION DISCOUNTS WHICH WERE NETTED WITH STUDENT INCOME ON THE AUDITED FINANCIAL STATEMENTS 247,778,165. ROUNDING (2). TOTAL 236,532,677.

Additional Data

Software ID:
Software Version:
EIN: 06-0646973
Name: YALE UNIVERSITY

Form 990, Schedule D, Part X, - Other Liabilities

1 (a) Description of Liability	(b) Book Value
EMPLOYEE BENEFIT OBLIGATIONS	775,893,162
COMPENSATED ABSENCES	66,929,644
FINANCIAL AID GRANT OBLIGATIONS	44,650,000
ASSET RETIREMENT OBLIGATIONS	36,800,000
CAPITAL LEASE OBLIGATIONS	56,547,975
LIABILITIES UNDER SPLIT-INTEREST AGREEMENTS	101,696,651
ADVANCES FROM FEDERAL GOV'T FOR STUDENT LOANS	32,674,227
SECURITIES SOLD NOT YET PURCHASED	564,985,515
DUE TO INSTITUTIONS	1,085,665,260
OTHER LIABILITIES	287,850,529

SCHEDULE E

(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Schools

►Complete if the organization answered "Yes" to Form 990, Part IV, line 13,
or Form 990-EZ, Part VI, line 48.
► Attach to Form 990 or Form 990-EZ.

OMB No 1545-0047

2012

Open to Public
Inspection

Name of the organization
YALE UNIVERSITY

Employer identification number

06-0646973

Part I

- 1 Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?
- 2 Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships?
- 3 Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe If "No," please explain If you need more space use Part II

- 4 Does the organization maintain the following?
- a Records indicating the racial composition of the student body, faculty, and administrative staff?
- b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?
- c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?
- d Copies of all material used by the organization or on its behalf to solicit contributions?
- If you answered "No" to any of the above, please explain If you need more space, use Part II

- 5 Does the organization discriminate by race in any way with respect to
- a Students' rights or privileges?
- b Admissions policies?
- c Employment of faculty or administrative staff?
- d Scholarships or other financial assistance?
- e Educational policies?
- f Use of facilities?
- g Athletic programs?
- h Other extracurricular activities?
- If you answered "Yes" to any of the above, please explain If you need more space, use Part II

- 6a Does the organization receive any financial aid or assistance from a governmental agency?
- b Has the organization's right to such aid ever been revoked or suspended?
- If you answered "Yes" to either line 6a or line 6b, explain on Part II
- 7 Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," explain on Part II

	YES	NO
1	Yes	
2	Yes	
3	Yes	
4a	Yes	
4b	Yes	
4c	Yes	
4d	Yes	
5a		No
5b		No
5c		No
5d		No
5e		No
5f		No
5g		No
5h		No
6a	Yes	
6b		No
7	Yes	

Part III Supplemental Information. Complete this part to provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also complete this part to provide any other additional information (see instructions)

Identifier	Return Reference	Explanation
EXPLANATION OF NONDISCRIMINATORY POLICY PUBLICATION	SCHEDULE E, PART I, LINE 3	SEE SCHEDULE E PAGE 2
EXPLANATION OF GOVERNMENT FINANCIAL ASSISTANCE	SCHEDULE E, PART I, LINE 6	THE UNIVERSITY HAS RECEIVED AND CONTINUES TO RECEIVE STUDENT FINANCIAL AID AND GRANTS FROM GOVERNMENTAL AGENCIES
	SCHEDULE E, LINE 3	YALE UNIVERSITY'S EQUAL OPPORTUNITY STATEMENT THE UNIVERSITY IS COMMITTED TO BASING JUDGMENTS CONCERNING THE ADMISSION, EDUCATION, AND EMPLOYMENT OF INDIVIDUALS UPON THEIR QUALIFICATIONS AND ABILITIES AND AFFIRMATIVELY SEEKS TO ATTRACT TO ITS FACULTY, STAFF, AND STUDENT BODY QUALIFIED PERSONS OF DIVERSE BACKGROUNDS IN ACCORDANCE WITH THIS POLICY AND AS DELINEATED BY FEDERAL AND CONNECTICUT LAW, YALE DOES NOT DISCRIMINATE IN ADMISSIONS, EDUCATIONAL PROGRAMS, OR EMPLOYMENT AGAINST ANY INDIVIDUAL ON ACCOUNT OF THAT INDIVIDUAL'S SEX, RACE, COLOR, RELIGION, AGE, DISABILITY, STATUS AS A SPECIAL DISABLED VETERAN, VETERAN OF THE VIETNAM ERA OR OTHER COVERED VETERAN, OR NATIONAL OR ETHNIC ORIGIN, NOR DOES YALE DISCRIMINATE ON THE BASIS OF SEXUAL ORIENTATION OR GENDER IDENTITY OR EXPRESSION UNIVERSITY POLICY IS COMMITTED TO AFFIRMATIVE ACTION UNDER LAW IN EMPLOYMENT OF WOMEN, MINORITY GROUP MEMBERS, INDIVIDUALS WITH DISABILITIES, SPECIAL DISABLED VETERANS, VETERANS OF THE VIETNAM ERA, AND OTHER COVERED VETERANS THE UNIVERSITY'S EQUAL OPPORTUNITY STATEMENT IS MADE KNOWN TO ALL SEGMENTS OF THE GENERAL COMMUNITY SERVICED BY THE UNIVERSITY IT IS INCLUDED IN CATALOGUES AND BROCHURES DEALING WITH STUDENT ADMISSIONS, PROGRAMS AND SCHOLARSHIPS OF THE UNIVERSITY AS WELL AS ON ITS WEBSITE WHICH ARE ALL AVAILABLE TO THE GENERAL PUBLIC

SCHEDULE F
(Form 990)

Department of the Treasury
Internal Revenue Service

Statement of Activities Outside the United States

► Complete if the organization answered "Yes" to Form 990,
Part IV, line 14b, 15, or 16.
► Attach to Form 990. ► See separate instructions.

OMB No 1545-0047

2012

Open to Public
Inspection

Name of the organization
YALE UNIVERSITY

Employer identification number
06-0646973

Part I

General Information on Activities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

- 1 For grantmakers. Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No
- 2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of grant funds outside the United States.
- 3 Activites per Region (The following Part I, line 3 table can be duplicated if additional space is needed)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
See Add'l Data					
3a Sub-total	0	2			424,855
b Total from continuation sheets to Part I	4	187			1,970,484,607
c Totals (add lines 3a and 3b)	4	189			1,970,909,462

Part II **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

[illegible]

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter ► 91

3 Enter total number of other organizations or entities 18

Part IIIGrants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
See Add'l Data							

Part IV Foreign Forms

- 1

Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)*

☒ Yes ☐ No
- 2

Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A)*

☒ Yes ☐ No
- 3

Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)*

☒ Yes ☐ No
- 4

Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)*

☒ Yes ☐ No
- 5

Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships. (see Instructions for Form 8865)*

☒ Yes ☐ No
- 6

Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713).*

☐ Yes ☒ No

Supplemental Information

Complete this part to provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

[illegible]

Additional Data

Software ID:
Software Version:
EIN: 06-0646973
Name: YALE UNIVERSITY

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
CENTRAL AMERICA AND THE CARIBBEAN			PROGRAM SERVICES	ACADEMIC COLLABORATIONS	12,886
CENTRAL AMERICA AND THE CARIBBEAN		1	PROGRAM SERVICES	ALUMNI RELATIONS	18,486
CENTRAL AMERICA AND THE CARIBBEAN			PROGRAM SERVICES	ATTENDING CONFERENCES & SEMINARS	48,085

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
CENTRAL AMERICA AND THE CARIBBEAN			PROGRAM SERVICES	CULTURAL EXCHANGE	1,938
CENTRAL AMERICA AND THE CARIBBEAN			PROGRAM SERVICES	INSTRUCTION/TEACHING	40,723
CENTRAL AMERICA AND THE CARIBBEAN		1	PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	58,434

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
CENTRAL AMERICA AND THE CARIBBEAN			PROGRAM SERVICES	RESEARCH	144,753
CENTRAL AMERICA AND THE CARIBBEAN			PROGRAM SERVICES	SPEAKING AT SEMINARS & CONFERENCES	99,550
CENTRAL AMERICA AND THE CARIBBEAN			PROGRAM SERVICES	STUDENT RECRUITMENT	2,941

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
CENTRAL AMERICA AND THE CARIBBEAN			PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	71,464
CENTRAL AMERICA AND THE CARIBBEAN			PROGRAM SERVICES	TRAINING & CLINICAL PRACTICE	39,552
CENTRAL AMERICA AND THE CARIBBEAN			GRANTMAKING		336,595

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
CENTRAL AMERICA AND THE CARIBBEAN			INVESTMENTS		1,617,146,200
EAST ASIA AND THE PACIFIC	1	10	PROGRAM SERVICES	ACADEMIC COLLABORATIONS	2,524,008
EAST ASIA AND THE PACIFIC		1	PROGRAM SERVICES	ALUMNI RELATIONS	26,144

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EAST ASIA AND THE PACIFIC			PROGRAM SERVICES	ATTENDING CONFERENCES & SEMINARS	402,413
EAST ASIA AND THE PACIFIC			PROGRAM SERVICES	CULTURAL EXCHANGE	68,690
EAST ASIA AND THE PACIFIC		7	PROGRAM SERVICES	INSTRUCTION/TEACHING	229,595

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EAST ASIA AND THE PACIFIC	2	5	PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	709,704
EAST ASIA AND THE PACIFIC		18	PROGRAM SERVICES	RESEARCH	1,016,959
EAST ASIA AND THE PACIFIC			PROGRAM SERVICES	SPEAKING AT SEMINARS & CONFERENCES	898,886

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EAST ASIA AND THE PACIFIC			PROGRAM SERVICES	STUDENT RECRUITMENT	91,913
EAST ASIA AND THE PACIFIC			PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	245,630
EAST ASIA AND THE PACIFIC			PROGRAM SERVICES	TRAINING & CLINICAL PRACTICE	92,045

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
EAST ASIA AND THE PACIFIC			FUNDRAISING		115,486
EAST ASIA AND THE PACIFIC		1	GRANTMAKING		4,535,185
EAST ASIA AND THE PACIFIC			INVESTMENTS		111,669

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EUROPE (INCLUDING ICELAND & GREENLAND)		13	PROGRAM SERVICES	ACADEMIC COLLABORATIONS	930,799
EUROPE (INCLUDING ICELAND & GREENLAND)			PROGRAM SERVICES	ALUMNI RELATIONS	98,838
EUROPE (INCLUDING ICELAND & GREENLAND)			PROGRAM SERVICES	ATTENDING CONFERENCES & SEMINARS	1,016,299

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EUROPE (INCLUDING ICELAND & GREENLAND)		1	PROGRAM SERVICES	CULTURAL EXCHANGE	157,575
EUROPE (INCLUDING ICELAND & GREENLAND)		1	PROGRAM SERVICES	INSTRUCTION/TEACHING	123,721
EUROPE (INCLUDING ICELAND & GREENLAND)		34	PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	7,370,879

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EUROPE (INCLUDING ICELAND & GREENLAND)		34	PROGRAM SERVICES	RESEARCH	2,215,836
EUROPE (INCLUDING ICELAND & GREENLAND)		1	PROGRAM SERVICES	SPEAKING AT SEMINARS & CONFERENCES	2,044,593
EUROPE (INCLUDING ICELAND & GREENLAND)			PROGRAM SERVICES	STUDENT RECRUITMENT	51,129

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EUROPE (INCLUDING ICELAND & GREENLAND)		3	PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	1,216,654
EUROPE (INCLUDING ICELAND & GREENLAND)			PROGRAM SERVICES	TRAINING & CLINICAL PRACTICE	25,760
EUROPE (INCLUDING ICELAND & GREENLAND)			FUNDRAISING		191,550

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
EUROPE (INCLUDING ICELAND & GREENLAND)		1	GRANTMAKING		6,821,936
EUROPE (INCLUDING ICELAND & GREENLAND)			INVESTMENTS		235,261,023
MIDDLE EAST AND NORTH AFRICA		2	PROGRAM SERVICES	ACADEMIC COLLABORATIONS	36,139

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
MIDDLE EAST AND NORTH AFRICA			PROGRAM SERVICES	ALUMNI RELATIONS	17,396
MIDDLE EAST AND NORTH AFRICA			PROGRAM SERVICES	ATTENDING CONFERENCES & SEMINARS	54,916
MIDDLE EAST AND NORTH AFRICA			PROGRAM SERVICES	CULTURAL EXCHANGE	5,643

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
MIDDLE EAST AND NORTH AFRICA			PROGRAM SERVICES	INSTRUCTION/TEACHING	31,983
MIDDLE EAST AND NORTH AFRICA			PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	19,483
MIDDLE EAST AND NORTH AFRICA	1	9	PROGRAM SERVICES	RESEARCH	402,198

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
MIDDLE EAST AND NORTH AFRICA			PROGRAM SERVICES	SPEAKING AT SEMINARS & CONFERENCES	281,304
MIDDLE EAST AND NORTH AFRICA			PROGRAM SERVICES	STUDENT RECRUITMENT	9,385
MIDDLE EAST AND NORTH AFRICA			PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	46,433

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
MIDDLE EAST AND NORTH AFRICA			PROGRAM SERVICES	TRAINING & CLINICAL PRACTICE	6,301
MIDDLE EAST AND NORTH AFRICA			FUNDRAISING		6,219
MIDDLE EAST AND NORTH AFRICA			GRANTMAKING		577,158

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
NORTH AMERICA		1	PROGRAM SERVICES	ACADEMIC COLLABORATIONS	34,276
NORTH AMERICA			PROGRAM SERVICES	ALUMNI RELATIONS	39,153
NORTH AMERICA			PROGRAM SERVICES	ATTENDING CONFERENCES & SEMINARS	292,673

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
NORTH AMERICA			PROGRAM SERVICES	CULTURAL EXCHANGE	19,759
NORTH AMERICA		1	PROGRAM SERVICES	INSTRUCTION/TEACHING	12,038
NORTH AMERICA		5	PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	69,726

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
NORTH AMERICA		5	PROGRAM SERVICES	RESEARCH	61,194
NORTH AMERICA			PROGRAM SERVICES	SPEAKING AT SEMINARS & CONFERENCES	327,358
NORTH AMERICA			PROGRAM SERVICES	STUDENT RECRUITMENT	35,254

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
NORTH AMERICA			PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	20,912
NORTH AMERICA			PROGRAM SERVICES	TRAINING & CLINICAL PRACTICE	1,930
NORTH AMERICA			FUNDRAISING		3,190

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
NORTH AMERICA			GRANTMAKING		961,013
NORTH AMERICA			INVESTMENTS		25,652,597
RUSSIA AND THE NEWLY INDEPENDENT STATES			PROGRAM SERVICES	ACADEMIC COLLABORATIONS	34,587

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
RUSSIA AND THE NEWLY INDEPENDENT STATES			PROGRAM SERVICES	ATTENDING CONFERENCES & SEMINARS	2,430
RUSSIA AND THE NEWLY INDEPENDENT STATES			PROGRAM SERVICES	CULTURAL EXCHANGE	19,704
RUSSIA AND THE NEWLY INDEPENDENT STATES			PROGRAM SERVICES	INSTRUCTION/TEACHING	350

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
RUSSIA AND THE NEWLY INDEPENDENT STATES		1	PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	22,919
RUSSIA AND THE NEWLY INDEPENDENT STATES		5	PROGRAM SERVICES	RESEARCH	367,089
RUSSIA AND THE NEWLY INDEPENDENT STATES			PROGRAM SERVICES	SPEAKING AT SEMINARS & CONFERENCES	23,643

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
RUSSIA AND THE NEWLY INDEPENDENT STATES			PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	69,854
RUSSIA AND THE NEWLY INDEPENDENT STATES			GRANTMAKING		874,367
SOUTH AMERICA		1	PROGRAM SERVICES	ACADEMIC COLLABORATIONS	51,953

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH AMERICA			PROGRAM SERVICES	ALUMNI RELATIONS	9,980
SOUTH AMERICA			PROGRAM SERVICES	ATTENDING CONFERENCES & SEMINARS	76,740
SOUTH AMERICA			PROGRAM SERVICES	CULTURAL EXCHANGE	16,328

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH AMERICA		1	PROGRAM SERVICES	INSTRUCTION/TEACHING	29,021
SOUTH AMERICA		1	PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	61,911
SOUTH AMERICA		5	PROGRAM SERVICES	RESEARCH	783,897

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH AMERICA			PROGRAM SERVICES	SPEAKING AT SEMINARS & CONFERENCES	368,498
SOUTH AMERICA			PROGRAM SERVICES	STUDENT RECRUITMENT	19,699
SOUTH AMERICA			PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	409,038

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH AMERICA			PROGRAM SERVICES	TRAINING & CLINICAL PRACTICE	20,602
SOUTH AMERICA			GRANTMAKING		1,604,166
SOUTH AMERICA			INVESTMENTS		1,051,335

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH ASIA			PROGRAM SERVICES	ACADEMIC COLLABORATIONS	41,942
SOUTH ASIA			PROGRAM SERVICES	ALUMNI RELATIONS	57,208
SOUTH ASIA			PROGRAM SERVICES	ATTENDING CONFERENCES & SEMINARS	63,760

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH ASIA		1	PROGRAM SERVICES	CULTURAL EXCHANGE	14,519
SOUTH ASIA			PROGRAM SERVICES	INSTRUCTION/TEACHING	8,982
SOUTH ASIA		1	PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	166,392

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH ASIA			PROGRAM SERVICES	RESEARCH	188,705
SOUTH ASIA			PROGRAM SERVICES	SPEAKING AT SEMINARS & CONFERENCES	140,988
SOUTH ASIA			PROGRAM SERVICES	STUDENT RECRUITMENT	35,528

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH ASIA			PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	19,989
SOUTH ASIA			FUNDRAISING		12,945
SOUTH ASIA			GRANTMAKING		474,645

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SOUTH ASIA			INVESTMENTS		10,668
SUB-SAHARAN AFRICA		6	PROGRAM SERVICES	ACADEMIC COLLABORATIONS	97,789
SUB-SAHARAN AFRICA			PROGRAM SERVICES	ALUMNI RELATIONS	85,993

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SUB-SAHARAN AFRICA			PROGRAM SERVICES	ATTENDING CONFERENCES & SEMINARS	46,793
SUB-SAHARAN AFRICA		1	PROGRAM SERVICES	CULTURAL EXCHANGE	10,405
SUB-SAHARAN AFRICA			PROGRAM SERVICES	INSTRUCTION/TEACHING	94,303

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SUB-SAHARAN AFRICA		1	PROGRAM SERVICES	OTHER MISSION RELATED ACTIVITIES	22,940
SUB-SAHARAN AFRICA		9	PROGRAM SERVICES	RESEARCH	902,426
SUB-SAHARAN AFRICA			PROGRAM SERVICES	SPEAKING AT SEMINARS & CONFERENCES	81,718

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
SUB-SAHARAN AFRICA			PROGRAM SERVICES	STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS	48,943
SUB-SAHARAN AFRICA		1	PROGRAM SERVICES	TRAINING & CLINICAL PRACTICE	226,874
SUB-SAHARAN AFRICA			FUNDRAISING		9,307

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
SUB-SAHARAN AFRICA			GRANTMAKING		1,159,465
SUB-SAHARAN AFRICA			INVESTMENTS		45,325,967

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		CENTRAL AMERICA AND THE CARIBBEAN	SUBAWARD - SPONSORED RESEARCH	194,901	CHECK			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	78,619	WIRE			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	6,000	WIRE			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	36,005	CHECK			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	23,728	CHECK			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	134,161	CHECK, WIRE			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	54,590	WIRE			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	10,662	CHECK			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	15,000	CHECK			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	20,229	CHECK, WIRE			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	269,061	CHECK, WIRE			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	17,351	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	15,506	WIRE			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	8,216	WIRE			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	37,559	WIRE			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	21,539	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	200,771	WIRE			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	105,736	WIRE			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	185,295	CHECK			
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	211,900	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EAST ASIA AND THE PACIFIC	SUBAWARD - SPONSORED RESEARCH	80,298	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	112,302	CHECK			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	17,944	CHECK, WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	5,521	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	6,400	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	44,638	CHECK			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	10,952	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	10,211	CHECK			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	8,193	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	19,011	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	5,032	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	44,718	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	20,575	CHECK			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	10,250	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	56,198	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	7,870	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	10,395	CHECK			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	11,398	CHECK			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	24,063	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	106,973	CHECK			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	24,509	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	6,366	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	24,986	CHECK			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	11,392	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	19,976	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	77,021	CHECK			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	41,307	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	47,136	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	16,930	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	7,335	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	8,424	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	8,920	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	28,368	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	16,194	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	7,592	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	38,500	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	21,156	CHECK			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	6,634	CHECK			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	19,557	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	20,200	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	19,505	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	13,301	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	27,539	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	155,825	CHECK			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	117,841	CHECK			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	27,758	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	267,419	CHECK			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	16,838	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	33,331	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	92,720	CHECK			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	12,831	WIRE			
		EUROPE (INCL ICELAND AND GREENLAND)	SUBAWARD - SPONSORED RESEARCH	131,577	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		MIDDLE EAST AND NORTH AFRICA	SUBAWARD - SPONSORED RESEARCH	25,580	WIRE			
		MIDDLE EAST AND NORTH AFRICA	SUBAWARD - SPONSORED RESEARCH	23,171	CHECK			
		MIDDLE EAST AND NORTH AFRICA	SUBAWARD - SPONSORED RESEARCH	44,239	CHECK			
		MIDDLE EAST AND NORTH AFRICA	SUBAWARD - SPONSORED RESEARCH	38,953	CHECK			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		MIDDLE EAST AND NORTH AFRICA	SUBAWARD - SPONSORED RESEARCH	23,209	CHECK			
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	102,472	CHECK			
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	46,704	CHECK			
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	24,005	CHECK			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	70,765	CHECK			
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	6,646	CHECK			
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	101,882	CHECK			
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	10,839	CHECK			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	170,000	CHECK			
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	128,579	CHECK			
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	36,709	CHECK			
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	34,750	CHECK			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	55,702	CHECK			
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	7,375	CHECK			
		NORTH AMERICA	SUBAWARD - SPONSORED RESEARCH	40,118	CHECK			
		RUSSIA AND THE NEWLY INDEPENDENT STATES	SUBAWARD - SPONSORED RESEARCH	217,862	CHECK, WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		RUSSIA AND THE NEWLY INDEPENDENT STATES	SUBAWARD - SPONSORED RESEARCH	68,153	CHECK			
		RUSSIA AND THE NEWLY INDEPENDENT STATES	SUBAWARD - SPONSORED RESEARCH	256,796	CHECK, WIRE			
		RUSSIA AND THE NEWLY INDEPENDENT STATES	SUBAWARD - SPONSORED RESEARCH	85,000	CHECK, WIRE			
		RUSSIA AND THE NEWLY INDEPENDENT STATES	SUBAWARD - SPONSORED RESEARCH	36,578	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SOUTH AMERICA	SUBAWARD - SPONSORED RESEARCH	367,715	CHECK, WIRE			
		SOUTH AMERICA	SUBAWARD - SPONSORED RESEARCH	75,854	CHECK			
		SOUTH AMERICA	SUBAWARD - SPONSORED RESEARCH	293,444	CHECK			
		SOUTH ASIA	SUBAWARD - SPONSORED RESEARCH	85,712	CHECK			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	76,237	CHECK			
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	23,760	WIRE			
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	79,106	CHECK, WIRE			
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	29,160	CHECK			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	51,585	WIRE			
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	28,318	WIRE			
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	35,631	WIRE			
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	35,804	WIRE			

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		SUB-SAHARAN AFRICA	SUBAWARD - SPONSORED RESEARCH	96,424	CHECK			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV , appraisal, other)
FELLOWSHIPS	CENTRAL AMERICA AND THE CARIBBEAN	16	36,940	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
FELLOWSHIPS	EAST ASIA AND THE PACIFIC	233	2,102,387	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
FELLOWSHIPS	EUROPE (INCLUDING ICELAND AND GREENLAND)	138	452,690	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
FELLOWSHIPS	MIDDLE EAST AND NORTH AFRICA	37	112,359	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
FELLOWSHIPS	NORTH AMERICA	46	121,968	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
FELLOWSHIPS	RUSSIA AND THE NEWLY INDEPENDENT STATES	10	35,767	CHECK			
FELLOWSHIPS	SOUTH AMERICA	57	165,860	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
FELLOWSHIPS	SOUTH ASIA	26	81,700	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
FELLOWSHIPS	SUB-SAHARAN AFRICA	60	190,241	CHECK, ELECTRONIC FUND OR WIRE TRANSFER			
STUDENT STIPENDS	CENTRAL AMERICA AND THE CARIBBEAN	12	46,212	ELECTRONIC FUND OR WIRE TRANSFER			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
STUDENT STIPENDS	EAST ASIA AND THE PACIFIC	31	372,673	ELECTRONIC FUND OR WIRE TRANSFER			
STUDENT STIPENDS	EUROPE (INCLUDING ICELAND AND GREENLAND)	70	1,222,732	ELECTRONIC FUND OR WIRE TRANSFER			
STUDENT STIPENDS	MIDDLE EAST AND NORTH AFRICA	5	79,585	ELECTRONIC FUND OR WIRE TRANSFER			
STUDENT STIPENDS	RUSSIA AND THE NEWLY INDEPENDENT STATES	8	101,330	ELECTRONIC FUND OR WIRE TRANSFER			
STUDENT STIPENDS	SOUTH AMERICA	22	185,825	ELECTRONIC FUND OR WIRE TRANSFER			
STUDENT STIPENDS	SOUTH ASIA	13	189,158	ELECTRONIC FUND OR WIRE TRANSFER			
STUDENT STIPENDS	SUB-SAHARAN AFRICA	33	368,124	ELECTRONIC FUND OR WIRE TRANSFER			
SCHOLARSHIPS	CENTRAL AMERICA AND THE CARIBBEAN	6	46,987	CHECK			
SCHOLARSHIPS	EAST ASIA AND THE PACIFIC	57	428,678	CHECK			
SCHOLARSHIPS	EUROPE (INCLUDING ICELAND AND GREENLAND)	326	3,100,246	CHECK			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
SCHOLARSHIPS	MIDDLE EAST AND NORTH AFRICA	30	222,302	CHECK			
SCHOLARSHIPS	NORTH AMERICA	1	2,500	CHECK			
SCHOLARSHIPS	RUSSIA AND THE NEWLY INDEPENDENT STATES	6	55,323	CHECK			
SCHOLARSHIPS	SOUTH AMERICA	53	494,838	CHECK			
SCHOLARSHIPS	SOUTH ASIA	11	95,335	CHECK			
SCHOLARSHIPS	SUB-SAHARAN AFRICA	12	121,427	CHECK			
GRANTS - OTHER BENEFITS	CENTRAL AMERICA AND THE CARIBBEAN	5	11,555	CHECK			
GRANTS - OTHER BENEFITS	EAST ASIA AND THE PACIFIC	52	99,220	CHECK			
GRANTS - OTHER BENEFITS	EUROPE (INCLUDING ICELAND AND GREENLAND)	42	144,634	CHECK			
GRANTS - OTHER BENEFITS	MIDDLE EAST AND NORTH AFRICA	3	7,761	CHECK			

Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S

(a) Type of grant or assistance	(b) Region	(c)Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
GRANTS - OTHER BENEFITS	RUSSIA AND THE NEWLY INDEPENDENT STATES	5	17,558	CHECK			
GRANTS - OTHER BENEFITS	SOUTH AMERICA	7	20,629	CHECK			
GRANTS - OTHER BENEFITS	SOUTH ASIA	9	22,740	CHECK			
GRANTS - OTHER BENEFITS	SUB-SAHARAN AFRICA	8	23,650	CHECK			

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
		<u>GOLF OUTING</u> (event type)	<u>GOLF OUTING</u> (event type)	<u>17</u> (total number)	(add col (a) through col (c))
Revenue	1	Gross receipts	66,859	73,299	360,666
	2	Less Contributions . . .	34,695	42,475	121,801
	3	Gross income (line 1 minus line 2)	32,164	30,824	238,865
Direct Expenses	4	Cash prizes			
	5	Noncash prizes . . .	3,208	23,999	8,209
	6	Rent/facility costs . . .	6,687	4,952	5,166
	7	Food and beverages .			
	8	Entertainment			
	9	Other direct expenses .	18,160	26,678	153,508
	10	Direct expense summary Add lines 4 through 9 in column (d) ▶			
	11	Net income summary Combine line 3, column (d), and line 10 ▶			
					51,286

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
Revenue	1	Gross revenue		19,023	19,023
	2	Cash prizes			
Direct Expenses	3	Non-cash prizes		5,300	5,300
	4	Rent/facility costs			
	5	Other direct expenses . . .		306	306
	6	Volunteer labor	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
	7	Direct expense summary Add lines 2 through 5 in column (d) ▶			
	8	Net gaming income summary Combine lines 1 and 7 in column (d) ▶			
					13,417

9 Enter the state(s) in which the organization operates gaming activities CT

a Is the organization licensed to operate gaming activities in each of these states? ☐ Yes ☒ No

b If "No," explain _____
THE UNIVERSITY CONDUCTED OCCASIONAL RAFFLES FOR WHICH A CONNECTICUT LICENSE WAS NOT REQUIRED

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☒ No

b If "Yes," explain _____

Does the organization operate gaming activities with nonmembers? ☐ Yes ☒ No

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☒ No

13	Indicate the percentage of gaming activity operated in		
a	The organization's facility	13a	100.000 %
b	An outside facility	13b	

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name ▶ SENIOR ASSOCIATE ATHLETICS DIRECTOR

Address ▶ PO BOX 208216
NEW HAVEN, CT 065208216

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☒ No

b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ and the amount of gaming revenue retained by the third party ▶ \$

c If "Yes," enter name and address of the third party

Name ▶

Address ▶

16 Gaming manager information

Name ▶ NA

Gaming manager compensation ▶ \$

Description of services provided ▶

☐ Director/officer ☐ Employee ☐ Independent contractor

17 Mandatory distributions

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ Yes ☒ No

b Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$

Part IV Supplemental Information. Complete this part to provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

Identifier	Return Reference	Explanation
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Schedule I
(Form 990)

Department of the Treasury
Internal Revenue Service

Name of the organization
YALE UNIVERSITY

Grants and Other Assistance to Organizations,
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

OMB No 1545-0047

2012

Open to Public
Inspection

Employer identification number
06-0646973

Part I General Information on Grants and Assistance

1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No

2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							

2

Enter total number of section 501(c)(3) and government organizations listed in the line 1 table

244

3

Enter total number of other organizations listed in the line 1 table

26

Part III

Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

(a)Type of grant or assistance	(b)Number of recipients	(c)Amount of cash grant	(d)Amount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
(1) STIPENDS	3812	66,407,567	0		
(2) SCHOLARSHIPS	7795	0	241,237,582 COST		TUITION REDUCTION
(3) HEALTH PREMIUM AWARDS	2842	0	8,819,254 COST		HEALTHCARE
(4) FELLOWSHIPS	837	7,195,395			
(5) PRIZES AND AWARDS	1394	2,378,347			

Part IV

Supplemental Information.

Complete this part to provide the information required in Part I, line 2, Part III, column (b), and any other additional information

Identifier	Return Reference	Explanation
PROCEDURE FOR MONITORING GRANTS IN THE U S	PART I, LINE 2	SCHEDULE I, PART I, LINE 2 WITH RESPECT TO SPONSORED PROJECT ACTIVITY SUBCONTRACTED TO OTHER ENTITIES, THE UNIVERSITY'S POLICY AND PROCEDURES FOR MONITORING GRANTS ARE INTENDED TO ESTABLISH APPROPRIATE AND EFFECTIVE MECHANISMS TO PROMOTE COMPLIANCE WITH FEDERAL AND NON-FEDERAL REQUIREMENTS AND ENSURE THAT THE UNIVERSITY AND ITS SPONSORS RECEIVE VALUE FOR FUNDS EXPENDED THESE PROCEDURES INCLUDE A FORMAL APPROVAL PROCESS FOR SUBAWARDS, PRE-AWARD AND POST-AWARD MONITORING OF SUB RECIPIENTS TO REVIEW THE FINANCIAL STATUS AND MANAGEMENT CONTROLS, COMPLIANCE CERTIFICATIONS RELATIVE TO THE USE OF HUMAN SUBJECTS AND ANIMALS, AND REQUIRED DISCLOSURE OF ANY CONFLICT OF INTEREST WITH A SUB RECIPIENT ENTITY AND A REVIEW OF PERTINENT OTHER ORGANIZATION INFORMATION IN ACCORDANCE WITH THESE PROCEDURES, THE UNIVERSITY REVIEWS THE SUB RECIPIENT'S ADMINISTRATION, BILLINGS AND SCIENTIFIC PROGRESS OF AN ACTIVE SUBAWARD AND CONDUCTS ON-GOING RISK ASSESSMENTS AS WELL AS QUARTERLY QUALITY ASSURANCE REVIEWS ON RANDOMLY SELECTED SUBAWARDS THE PROCEDURES SERVE TO ENSURE THAT THE SUBAWARDS ARE USED FOR PROPER PURPOSES AND NOT DIVERTED FROM THEIR INTENDED USE THE UNIVERSITY PROVIDES STUDENTS WITH MANY FELLOWSHIP OPPORTUNITIES TO FUND RESEARCH, INDEPENDENT PROJECTS, INTERNSHIPS, VOLUNTEER WORK, COMMUNITY SERVICE, AND STUDY ABROAD AWARD RECIPIENTS ARE SELECTED BASED ON AN APPLICATION, INCLUDING A PROPOSAL, ESSAYS, BUDGET, ACADEMIC RECORD, AND INTERVIEW, AS APPLICABLE IN MOST CASES, THE TERMS OF THE AWARD REQUIRE THAT THE RECIPIENT PREPARE AND PRESENT A REPORT OF THE FELLOWSHIP ACTIVITY FURTHER, CERTAIN FELLOWSHIP RECIPIENTS ARE REQUIRED TO DOCUMENT EXPENSES TO VERIFY THAT THE FELLOWSHIP WAS USED FOR THE PURPOSE INTENDED SUCH DOCUMENTATION MAY INCLUDE COPIES OF PLANE TICKETS AND BOARDING PASSES, COPIES OF VISAS, RECEIPTS FOR TUITION, LETTERS FROM ORGANIZATIONS VERIFYING THE INTERNSHIP AND DATES, ETC , AS APPROPRIATE
		SCHEDULE I, PART II A SUBAWARD IS AN AWARD FROM THE UNIVERSITY TO A QUALIFIED THIRD PARTY PRIMARILY TO PERFORM RESEARCH AND OTHER RELATED PROGRAMMATIC EFFORTS UNDER A SPONSORED RESEARCH PROJECT SCHEDULE I, PART III THE AMOUNTS REPORTED IN PART III REPRESENT AN ESTIMATE OF TOTAL AWARDS FOR THE FISCAL YEAR ENDED JUNE 30, 2013 BASED ON INFORMATION PROVIDED BY THE UNIVERSITY'S UNDERGRADUATE, GRADUATE AND PROFESSIONAL SCHOOLS SOME STUDENTS RECEIVED MORE THAN ONE AWARD DURING THE FISCAL YEAR ENDED JUNE 30, 2013

Software ID:

Software Version:

EIN: 06-0646973

Name: YALE UNIVERSITY

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ABT SRBI INC275 SEVENTH AVE STE 2700 NEW YORK,NY 10001	13-3077900		418,739				SUBAWARD - SPONSORED RESEARCH
ADVANCED NEUROLOGY SPECIALISTS400 15TH AVE SOUTH STE 206 GREAT FALLS,MT 59405	20-1821484		27,836				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AGILENT TECHNOLOGIES INC4187 COLLECTIONS CENTER DR CHICAGO,IL 60693	77-0518772		66,664				SUBAWARD - SPONSORED RESEARCH
AIDS PROJECT NEW HAVEN INC1302 CHAPEL ST NEW HAVEN,CT 06511	22-2506184	501(C)(3)	354,564				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ALERE WELLBEING INC999 THIRD AVENUE SEATTLE,WA 98104	20-0231080		54,017				SUBAWARD - SPONSORED RESEARCH
ALLEGHENY-SINGER RESEARCH INSTITUTE2 ALLEGHENY CENTER 11TH FLR PITTSBURGH,PA 152124746	25-1320493	501(C)(3)	56,312				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ALLIANCE OF CHICAGO COMMUNITY HEALTH SERVICES L3C215 W OHIO 4TH FLR CHICAGO,IL 60654	36-4444309		17,601				SUBAWARD - SPONSORED RESEARCH
ALTRU HEALTH SYSTEM RESEARCH DEPARTMENT 860 SOUTH COLUMBIA RD GRAND FORKS,ND 58201	45-0310462	501(C)(3)	12,246				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AMERICAN ACADEMY OF OTOLARYNGOLOGY HEAD & NECK SURGERY FOUNDATION1650 DIAGONAL RD ALEXANDRIA,VA 223142857	52-1219434	501(C)(3)	32,877				SUBAWARD - SPONSORED RESEARCH
AMERICAN ACADEMY OF PEDIATRICS35927 EAGLE WAY CHICAGO,IL 606781359	36-2275597	501(C)(3)	20,334				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AMERICAN COLLEGE OF CARDIOLOGY FOUNDATIONPO BOX 79231 BALTIMORE, MD 212790231	13-5641985	501(C)(3)	54,419				SUBAWARD - SPONSORED RESEARCH
AMERICAN RED CROSS600 A FOREST POINT CIRCLE CHARLOTTE,NC 28273	53-0196605	501(C)(3)	491,786				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
APT FOUNDATION INCONE LONG WHARF STE 321 NEW HAVEN,CT 06511	23-7061218	501(C)(3)	791,141				SUBAWARD - SPONSORED RESEARCH
ARIZONA STATE UNIVERSITYPO BOX 876011 TEMPE,AZ 852876011	86-0196696	STATE OF AZ	32,543				SUBAWARD - SPONSORED RESEARCH

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ARKANSAS CHILDREN'S HOSPITAL800 MARSHALL ST LITTLE ROCK,AR 722023591	71-0236857	501(C)(3)	32,408				SUBAWARD - SPONSORED RESEARCH
ATLANTA RESEARCH & EDUCATION FOUNDATION INC1670 CLAIRMONT RD DECATUR,GA 30033	58-1857346	501(C)(3)	72,998				SUBAWARD - SPONSORED RESEARCH

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BALTIMORE RESEARCH & EDUCATION FOUNDATION INC10 NORTH GREENE ST BALTIMORE,MD 21201	52-1705976	501(C)(3)	63,325				SUBAWARD - SPONSORED RESEARCH
BAYLOR COLLEGE OF MEDICINEPO BOX 201361 HOUSTON,TX 772161361	74-1613878	501(C)(3)	269,968				SUBAWARD - SPONSORED RESEARCH

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BAYSTATE MEDICAL CENTER INC280 CHESTNUT STREET SPRINGFIELD,MA 01199	04-2790311	501(C)(3)	275,384				SUBAWARD - SPONSORED RESEARCH
BEDFORD VA RESEARCH CORP INC200 SPRINGS RD MS151 BLDG 12 BEDFORD,MA 01730	04-3512440	501(C)(3)	237,597				SUBAWARD - SPONSORED RESEARCH

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BOARD OF REGENTS NEVADA SYSTEM OF HIGHER EDUCATIONBOX 451055 LAS VEGAS,NV 89154	88-6000024	STATE OF NV	36,651				SUBAWARD - SPONSORED RESEARCH
BOARD OF REGENTS OF THE UNIV OF WI SYSTEM 750 HIGHLAND AVE MADISON,WI 53705	39-1805963	STATE OF WI	40,306				SUBAWARD - SPONSORED RESEARCH

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BOISE STATE UNIVERSITY 1910 UNIVERSITY DR BOISE,ID 837251248	82-0290701	STATE OF ID	6,402				SUBAWARD - SPONSORED RESEARCH
BOSTON MEDICAL CENTER 660 HARRISON AVE BOSTON,MA 02118	04-3314093	501(C)(3)	17,695				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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BOSTON UNIVERSITY25 BUICK ST 2ND FLR BOSTON,MA 02215	04-2103547	501(C)(3)	952,225				SUBAWARD - SPONSORED RESEARCH
BOSTON VA RESEARCH INSTITUTE INC150 S HUNTINGTON AVE 151 B BOSTON,MA 02130	04-3081524	501(C)(3)	11,732				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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BOYCE THOMPSON INSTITUTE FOR PLANT RESEARCH INC TOWER RD ITHACA,NY 14853	13-1739923	501(C)(3)	45,117				SUBAWARD - SPONSORED RESEARCH
BRENTWOOD BIOMEDICAL RESEARCH INSTITUTE PO BOX 25027 LOS ANGELES,CA 90025	95-4183712	501(C)(3)	113,590				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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BRIDGEPORT BOARD OF EDUCATION45 LYON TERRACE ROOM 302 BRIDGEPORT,CT 06604	06-6001865	CITY-BRIDGEPORT	586,404				SUBAWARD - SPONSORED RESEARCH
BRIDGEPORT HOSPITAL 267 GRANT ST 10TH FLOOR BRIDGEPORT,CT 06610	06-0646554	501(C)(3)	101,446				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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BRIGHAM YOUNG UNIVERSITY760 TANNER BLDG PROVO,UT 84602	87-0217280	501(C)(3)	67,637				SUBAWARD - SPONSORED RESEARCH
BROWN UNIVERSITYPO BOX 1911 PROVIDENCE,RI 02908	05-0258809	501(C)(3)	177,252				SUBAWARD - SPONSORED RESEARCH

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CASE WESTERN RESERVE UNIVERSITY10900 EUCLID AVE CLEVELAND, OH 441064909	34-1018992	501(C)(3)	34,664				SUBAWARD - SPONSORED RESEARCH
CENTRAL CONNECTICUT STATE UNIVERSITY1615 STANLEY ST NEW BRITAIN, CT 060532439	06-1303381	STATE OF CT	89,407				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CHILDREN'S HOSPITAL CORPORATIONPO BOX 414413 BOSTON,MA 022414413	04-2774441	501(C)(3)	74,098				SUBAWARD - SPONSORED RESEARCH
CHILDREN'S HOSPITAL LOS ANGELES4650 SUNSET BLVD MAIL STOP 97 LOS ANGELES,CA 90027	95-1690977	501(C)(3)	70,000				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CHILDREN'S HOSPITAL MEDICAL CENTER3333 BURNET AVE MLC 3008 CINCINNATI,OH 45229	31-0833936	501(C)(3)	73,347				SUBAWARD - SPONSORED RESEARCH
CHILDREN'S HOSPITAL OF PHILADELPHIARESEARCH INSTITUTE LOCKBOX 1457 PO BOX 8500 PHILADELPHIA, PA 191781457	23-1352166	501(C)(3)	349,578				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CHILDREN'S RESEARCH INSTITUTE111 MICHIGAN AVE NW WASHINGTON,DC 200102970	52-1654453	501(C)(3)	194,139				SUBAWARD - SPONSORED RESEARCH
CITY OF NEW HAVEN54 MEADOW ST NEW HAVEN,CT 06519	06-6001876	CITY-NEW HAVEN	182,155				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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CLEVELAND CLINIC FOUNDATIONPO BOX 931562 CLEVELAND, OH 441935012	34-0714585	501(C)(3)	10,944				SUBAWARD - SPONSORED RESEARCH
CLIFFORD W BEERS GUIDANCE CLINIC INC93 EDWARDS ST NEW HAVEN,CT 06511	06-0646757	501(C)(3)	87,134				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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COLD SPRING HARBOR LABORATORY1 BUNGTOWN RD COLD SPRING HARBOR, NY 11724	11-2013303	501(C)(3)	410,058				SUBAWARD - SPONSORED RESEARCH
COLORADO STATE UNIVERSITY601 SOUTH HOWES ROOM 408 FORT COLLINS, CO 805232002	84-6000545	STATE OF CO	118,032				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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COLUMBIA UNIVERSITYPO BOX 29789 NEWYORK,NY 100879789	13-5598093	501(C)(3)	285,429				SUBAWARD - SPONSORED RESEARCH
COMMUNITY HEALTH PROJECT INC356 WEST 18TH ST NEWYORK,NY 10011	13-3409680	501(C)(3)	48,571				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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COOPER HEALTH SYSTEM ONE COOPER PLAZA CAMDEN,NJ 08103	21-0634462	501(C)(3)	7,725				SUBAWARD - SPONSORED RESEARCH
CORNELL SCOTT-HILL HEALTH CORPORATION 400 COLUMBUS AVENUE NEW HAVEN,CT 06519	06-0870990	501(C)(3)	214,355				SUBAWARD - SPONSORED RESEARCH

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CORNELL UNIVERSITYPO BOX 22 ITHACA,NY 148510022	15-0532082	501(C)(3)	846,880				SUBAWARD - SPONSORED RESEARCH
DAEMEN COLLEGE4380 MAIN ST AMHERST,NY 14226	16-0759798	501(C)(3)	13,552				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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DALLAS VA RESEARCH CORPORATIONPO BOX 516 LANCASTER,TX 75146	75-2329831	501(C)(3)	62,066				SUBAWARD - SPONSORED RESEARCH
DANA-FARBER CANCER INSTITUTE INC450 BROOKLINE AVE BOSTON,MA 02115	04-2263040	501(C)(3)	93,985				SUBAWARD - SPONSORED RESEARCH

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DARTMOUTH COLLEGE11 ROPE FERRY ROAD 6210 HANOVER,NH 037551404	02-0222111	501(C)(3)	266,141				SUBAWARD - SPONSORED RESEARCH
DAVID H MURDOCK RESEARCH INSTITUTE150 RESEARCH CAMPUS DRIVE KANNAPOLIS,NC 28081	20-8730759	501(C)(3)	334,470				SUBAWARD - SPONSORED RESEARCH

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DECISION SCIENCE RESEARCH INSTITUTE INC 1201 OAK ST STE 200 EUGENE,OR 97401	93-0927305	501(C)(3)	33,600				SUBAWARD - SPONSORED RESEARCH
DONALD DANFORTH PLANT SCIENCE CENTER 975 NORTH WARSON ROAD ST LOUIS,MO 63132	31-1584621	501(C)(3)	635,696				SUBAWARD - SPONSORED RESEARCH

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DUKE UNIVERSITYPO BOX 602651 CHARLOTTE, NC 282602651	56-0532129	501(C)(3)	162,716				SUBAWARD - SPONSORED RESEARCH
EAST CAROLINA UNIVERSITY2200 S CHARLES BLVD GREENVILLE, NC 278584353	56-6000403	STATE OF NC	100,603				SUBAWARD - SPONSORED RESEARCH

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EMERGENCY CARE RESEARCH INSTITUTE 5200 BUTLER PIKE PLYMOUTH MEETING, PA 19462	23-1662091	501(C)(3)	75,028				SUBAWARD - SPONSORED RESEARCH
EMORY UNIVERSITY1599 CLIFTON RD NE ATLANTA,GA 30322	58-0566256	501(C)(3)	253,966				SUBAWARD - SPONSORED RESEARCH

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FAIR HAVEN COMMUNITY HEALTH CLINIC INC374 GRAND AVE NEW HAVEN,CT 06513	06-0883545	501(C)(3)	437,855				SUBAWARD - SPONSORED RESEARCH
FAMILY LIFE EDUCATION INC30 ARBOR ST FIRST FLR SOUTH BLDG HARTFORD,CT 06106	06-1262848	501(C)(3)	12,500				SUBAWARD - SPONSORED RESEARCH

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FISHER BIOSERVICES INC PO BOX 418395 BOSTON,MA 022418395	54-1348241		65,426				SUBAWARD - SPONSORED RESEARCH
FLORIDA INTERNATIONAL UNIVERSITY11200 SW 8TH ST MIAMI,FL 33199	65-0177616	501(C)(3)	34,187				SUBAWARD - SPONSORED RESEARCH

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FOUNDATION FOR APPLIED MOLECULAR EVOLUTION INCPO BOX 13174 GAINESVILLE,FL 32604	59-3471957	501(C)(3)	103,374				SUBAWARD - SPONSORED RESEARCH
FRED HUTCHINSON CANCER RESEARCH CENTER1100 FAIR VIEW AVE SEATTLE,WA 981091024	23-7156071	501(C)(3)	62,727				SUBAWARD - SPONSORED RESEARCH

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GEISINGER CLINIC100 N ACADEMY AVE DANVILLE,PA 178222602	23-6291113	501(C)(3)	90,720				SUBAWARD - SPONSORED RESEARCH
GEORGE MASON UNIVERSITY4400 UNIVERSITY DRIVE FAIRFAX,VA 22030	54-0836354	STATE OF VA	525,168				SUBAWARD - SPONSORED RESEARCH

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GRIFFIN HOSPITAL130 DIVISION ST DERBY,CT 06418	06-0647014	501(C)(3)	370,245				SUBAWARD - SPONSORED RESEARCH
GUILFORD NEUROLOGIC ASSOCIATES INC912 THIRD ST STE 101 GREENSBORO,NC 27405	56-0963466		177,128				SUBAWARD - SPONSORED RESEARCH

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HAMILL ASSOCIATES INC 700 CANAL ST HARBOUR SQUARE STAMFORD,CT 06902	13-3804354		79,150				SUBAWARD - SPONSORED RESEARCH
HARTFORD HOSPITAL80 SEYMOUR ST PO BOX 5037 HARTFORD,CT 061025037	06-0646668	501(C)(3)	1,462,272				SUBAWARD - SPONSORED RESEARCH

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HASKINS LABORATORIES INC300 GEORGE ST SUITE 900 NEW HAVEN,CT 06511	13-1628174	501(C)(3)	12,296				SUBAWARD - SPONSORED RESEARCH
HEALTH RESEARCH INCPO BOX 2966 BUFFALO,NY 142402966	14-1402155	501(C)(3)	154,747				SUBAWARD - SPONSORED RESEARCH

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HENRY M JACKSON FOUNDATION FOR THE ADVANCEMENT OF MILITARY MEDICINE6720A ROCKLEDGE DRIVE SUITE 100 BETHESDA,MD 20817	52-1317896	501(C)(3)	10,811				SUBAWARD - SPONSORED RESEARCH
HISPANIC HEALTH COUNCIL INC175 MAIN ST HARTFORD,CT 06106	06-1018979	501(C)(3)	160,778				SUBAWARD - SPONSORED RESEARCH

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HISPANOS UNIDOS INC 116 SHERMAN AVE NEW HAVEN,CT 06511	06-1222584	501(C)(3)	105,078				SUBAWARD - SPONSORED RESEARCH
HOSPITAL OF ST RAPHAEL 1450 CHAPEL ST NEW HAVEN,CT 06511	06-0653171	501(C)(3)	83,293				SUBAWARD - SPONSORED RESEARCH

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HOWARD UNIVERSITY525 BRYANT ST NW STE 100 WASHINGTON,DC 20059	53-0204707	501(C)(3)	236,731				SUBAWARD - SPONSORED RESEARCH
INDIANA MEDICAL RESEARCH LLC303 SOUTH NAPPANEE ST ELKHART,IN 46514	26-0303059		25,152				SUBAWARD - SPONSORED RESEARCH

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INDIANA UNIVERSITYPO BOX 66057 INDIANAPOLIS,IN 462666057	35-6001673	501(C)(3)	221,018				SUBAWARD - SPONSORED RESEARCH
INNOVATIONS FOR POVERTY ACTION101 WHITNEY AVE 2ND FLR NEW HAVEN,CT 06510	06-1660068	501(C)(3)	1,485,964				SUBAWARD - SPONSORED RESEARCH

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INSTITUTE FOR CLINICAL RESEARCH INCPO BOX 29545 WASHINGTON,DC 200170745	52-1336656	501(C)(3)	68,828				SUBAWARD - SPONSORED RESEARCH
INSTITUTE FOR COMMUNITY RESEARCH INC2 HARTFORD SQ W STE 100 HARTFORD,CT 061065128	06-0653116	501(C)(3)	71,349				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
IOWA NEUROLOGY RESEARCH INCPO BOX 65433 WEST DES MOINES,IA 50265	92-0179923		10,694				SUBAWARD - SPONSORED RESEARCH
IOWA STATE UNIVERSITY OF SCIENCE AND TECHNOLOGY3609 ADMINISTRATIVE SVCS BLDG AMES,IA 500113609	42-6004224	501(C)(3)	97,459				SUBAWARD - SPONSORED RESEARCH

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JOHNS HOPKINS UNIVERSITY3910 KESWICK ROAD NO N4327B BALTIMORE,MD 21211	52-0595110	501(C)(3)	433,637				SUBAWARD - SPONSORED RESEARCH
JUNTA FOR PROGRESSIVE ACTION INC169 GRAND AVE NEW HAVEN,CT 06513	23-7066862	501(C)(3)	25,039				SUBAWARD - SPONSORED RESEARCH

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KENNEDY KRIEGER INSTITUTE INC707 NORTH BROADWAY BALTIMORE,MD 21205	52-1524965	501(C)(3)	62,920				SUBAWARD - SPONSORED RESEARCH
KESSLER FOUNDATION INC300 EXECUTIVE DRIVE SUITE 70 WEST ORANGE,NJ 070521424	31-1562134	501(C)(3)	63,317				SUBAWARD - SPONSORED RESEARCH

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L2 DIAGNOSTICS LLCPO BOX 8175 NEW HAVEN,CT 065300175	06-1509946		192,538				SUBAWARD - SPONSORED RESEARCH
LANKENAU INSTITUTE FOR MEDICAL RESEARCH100 LANCASTER AVE WYNNEWOOD,PA 19096	23-2175659	501(C)(3)	24,773				SUBAWARD - SPONSORED RESEARCH

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LEARNINGTIMES LLC450 7TH AVENUE SUITE 1106 NEWYORK,NY 10123	61-1431195		20,750				SUBAWARD - SPONSORED RESEARCH
LIBERTY COMMUNITY SERVICES INC254 COLLEGE ST 2ND FLR NEW HAVEN,CT 06510	22-2849124	501(C)(3)	78,545				SUBAWARD - SPONSORED RESEARCH

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LINGUISTIC SOCIETY OF AMERICA1325 18TH ST NW STE 211 WASHINGTON, DC 200366051	74-6043371	501(C)(3)	7,532				SUBAWARD - SPONSORED RESEARCH
LOUISIANA STATE UNIVERSITY AND AGRICULTURAL & MECHANICAL COLLEGEPO BOX 33932 SHREVEPORT, LA 711603932	72-6000848	501(C)(3)	9,925				SUBAWARD - SPONSORED RESEARCH

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LOYOLA UNIVERSITY CHICAGO2160 FIRST AVE MAYWOOD,IL 60153	36-1408475	501(C)(3)	26,307				SUBAWARD - SPONSORED RESEARCH
MACROCYCLICS INC1309 RECORD CROSSING RD DALLAS,TX 75235	75-2628442		54,683				SUBAWARD - SPONSORED RESEARCH

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MARQUETTE UNIVERSITY PO BOX 1881 MILWAUKEE, WI 532011881	39-0806251	501(C)(3)	30,056				SUBAWARD - SPONSORED RESEARCH
MASSACHUSETTS INSTITUTE OF TECHNOLOGY77 MASSACHUSETTS AVE CAMBRIDGE, MA 021394307	04-2103594	501(C)(3)	326,349				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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MAYO CLINICPO BOX 4008 ROCHESTER, MN 559034008	41-6011702	501(C)(3)	8,741				SUBAWARD - SPONSORED RESEARCH
MELBOURNE INTERNAL MEDICINE ASSOCIATES (MIMA)1223 GATEWAY DR MELBOURNE, FL 32901	59-1224281		8,891				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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METROHEALTH SYSTEMPO BOX 73308 CLEVELAND,OH 44193	34-6004382	CUYAHOGA COUNTY	24,706				SUBAWARD - SPONSORED RESEARCH
MICHIGAN STATE UNIVERSITY648 N SHAW LANE RM 368E EAST LANSING,MI 48824	38-6005984	501(C)(3)	76,901				SUBAWARD - SPONSORED RESEARCH

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MIDWESTERN CONNECTICUT COUNCIL ON ALCOHOLISM INC38 OLD RIDGEBURY RD DANBURY,CT 06810	06-0890278	501(C)(3)	24,585				SUBAWARD - SPONSORED RESEARCH
MINNEAPOLIS CLINIC OF NEUROLOGY LTD3366 OAKDALE AVE NORTH STE 309 ROBBINSDALE,MN 55422	41-0999094		8,612				SUBAWARD - SPONSORED RESEARCH

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MONTANA STATE UNIVERSITYPO BOX 172470 BOZEMAN,MT 597172470	81-6010045	STATE OF MT	84,516				SUBAWARD - SPONSORED RESEARCH
MOUNT SINAI SCHOOL OF MEDICINE1 GUSTAVE L LEVY PL NEWYORK,NY 100296574	13-6171197	501(C)(3)	129,309				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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MRIGLOBAL425 VOLKER BLVD KANSAS CITY,MO 64110	44-0545878	501(C)(3)	146,086				SUBAWARD - SPONSORED RESEARCH
NARROWS INSTITUTE FOR BIOMEDICAL RESEARCH 800 POLY PL BROOKLYN,NY 11209	11-3059470	501(C)(3)	131,288				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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NATIONAL JEWISH HEALTH1400 JACKSON ST RM M213 DENVER,CO 80206	74-2044647	501(C)(3)	99,044				SUBAWARD - SPONSORED RESEARCH
NEW ENGLAND BIO LABS INC240 COUNTY RD IPSWICH,MA 019382723	04-2631963		63,654				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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NEW HAVEN FAMILY ALLIANCE INC370 JAMES ST STE 201 NEW HAVEN,CT 06513	06-1324343	501(C)(3)	271,302				SUBAWARD - SPONSORED RESEARCH
NEW HAVEN HOME RECOVERY INC850 GRAND AVE SUITE 201 NEW HAVEN,CT 06511	22-3037451	501(C)(3)	107,626				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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NEW YORK MEDICAL COLLEGE40 SUNSHINE COTTAGE RD ADMIN BLDG VALHALLA,NY 10595	13-1099420	501(C)(3)	52,125				SUBAWARD - SPONSORED RESEARCH
NEW YORK UNIVERSITYPO BOX 5166 NEW YORK,NY 10087	13-5562308	501(C)(3)	178,623				SUBAWARD - SPONSORED RESEARCH

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NORTHEAST IOWA MEDICAL EDUCATION FOUNDATION INC2055 KIMBALL AVE STE 101 WATERLOO,IA 50702	42-1058745	501(C)(3)	7,016				SUBAWARD - SPONSORED RESEARCH
NORTHERN CALIFORNIA INSTITUTE FOR RESEARCH AND EDUCATION INC4150 CLEMENT ST SAN FRANCISCO,CA 94121	94-3084159	501(C)(3)	215,144				SUBAWARD - SPONSORED RESEARCH

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NORTHWESTERN UNIVERSITY633 CLARK ST RM G-547 EVANSTON,IL 602081112	36-2167817	501(C)(3)	207,452				SUBAWARD - SPONSORED RESEARCH
OAKLAND UNIVERSITY530 WILSON HALL ROCHESTER,MI 48309	38-1714400	STATE OF MI	117,797				SUBAWARD - SPONSORED RESEARCH

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OBERLIN COLLEGE173 WEST LORAIN ST OBERLIN,OH 44074	34-0714363	501(C)(3)	5,860				SUBAWARD - SPONSORED RESEARCH
OHIO STATE UNIVERSITY 1960 KENNY RD COLUMBUS,OH 43210	31-6025986	STATE OF OH	78,007				SUBAWARD - SPONSORED RESEARCH

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OREGON HEALTH & SCIENCE UNIVERSITY (OHSU)2525 SW FIRST AVE STE 220 PORTLAND,OR 97201	93-1176109	STATE OF OR	250,999				SUBAWARD - SPONSORED RESEARCH
OREGON STATE UNIVERSITYPO BOX 1086 CORVALLIS,OR 973391086	48-1278540	STATE OF OR	59,665				SUBAWARD - SPONSORED RESEARCH

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PALO ALTO INSTITUTE FOR RESEARCH & EDUCATION INC3801 MIRANDA AVE PALO ALTO,CA 943041290	77-0207331	501(C)(3)	30,245				SUBAWARD - SPONSORED RESEARCH
PENNSYLVANIA STATE UNIVERSITY500 UNIVERSITY DR HERSHEY,PA 17033	24-6000376	501(C)(3)	553,376				SUBAWARD - SPONSORED RESEARCH

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PENOBSCOT BAY NEUROLOGY4 GLEN COVE DR STE 102 ROCKPORT,ME 04856	01-0530517		9,053				SUBAWARD - SPONSORED RESEARCH
PHILIPS HEALTHCAREPO BOX 100355 ATLANTA,GA 303840355	13-3429115		34,510				SUBAWARD - SPONSORED RESEARCH

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PONCE MEDICAL SCHOOL FOUNDATION INCPO BOX 7004 PONCE,PR 00732	66-0379122	501(C)(3)	41,339				SUBAWARD - SPONSORED RESEARCH
PPG INDUSTRIES INC4325 ROSANNA DR ALLISON PARK,PA 15101	25-0730780		95,573				SUBAWARD - SPONSORED RESEARCH

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PRESIDENT AND FELLOWS OF HARVARD COLLEGEPO BOX 415649 BOSTON, MA 022415649	04-2103580	501(C)(3)	2,045,161				SUBAWARD - SPONSORED RESEARCH
PROVIDENCE MEDICAL RESEARCH CENTER101 WEST 8TH AVE SPOKANE,WA 992202555	91-1211963	501(C)(3)	22,411				SUBAWARD - SPONSORED RESEARCH

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PURDUE UNIVERSITY610 PURDUE MALL HOVDE HALL ROOM 247 247 WEST LAFAYETTE,IN 47907	35-6002041	501(C)(3)	65,504				SUBAWARD - SPONSORED RESEARCH
RAND CORPORATION1776 MAIN STREET PO BOX 2138 SANTA MONICA,CA 904072138	95-1958142	501(C)(3)	31,061				SUBAWARD - SPONSORED RESEARCH

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RAYTHEON BBN TECHNOLOGIES CORPPO BOX 4340 BOSTON,MA 022114340	41-2126829		253,088				SUBAWARD - SPONSORED RESEARCH
RECOVERY NETWORK OF PROGRAMS INC2 RESEARCH DR STRATFORD,CT 06615	06-0910080	501(C)(3)	249,401				SUBAWARD - SPONSORED RESEARCH

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REGENTS OF THE UNIVERSITY OF COLORADO1800 GRANT ST STE 400 DENVER, CO 80291	84-6000555	STATE OF CO	702,025				SUBAWARD - SPONSORED RESEARCH
REGENTS OF THE UNIVERSITY OF MICHIGAN 3003 S STATE STREET G395 ANN ARBOR, MI 48109	38-6006309	STATE OF MI	789,114				SUBAWARD - SPONSORED RESEARCH

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REGENTS OF THE UNIVERSITY OF MINNESOTA N.W. 5957 PO BOX 1450 MINNEAPOLIS, MN 554855957	41-6007513	STATE OF MN	389,783				SUBAWARD - SPONSORED RESEARCH
REGENTS UNIVERSITY OF CALIFORNIA LOS ANGELES 2195 HEARST AVE RM 130 BERKELEY, CA 947201103	95-6006143	501(C)(3)	1,944,985				SUBAWARD - SPONSORED RESEARCH

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RESEARCH FOUNDATION OF THE CITY UNIVERSITY OF NEW YORK230 WEST 41ST ST 7TH FLR NEW YORK,NY 10036	13-1988190	501(C)(3)	49,529				SUBAWARD - SPONSORED RESEARCH
RESEARCH TRIANGLE INSTITUTEPO BOX 900002 RALEIGH,NC 276759000	56-0686338	501(C)(3)	24,360				SUBAWARD - SPONSORED RESEARCH

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RESONANCE RESEARCH INC6 COOK STREET SUITE 4 BILLERICA,MA 01821	04-3202210		160,454				SUBAWARD - SPONSORED RESEARCH
RHODE ISLAND HOSPITAL 593 EDDY ST PROVIDENCE,RI 02903	05-0258954	501(C)(3)	117,773				SUBAWARD - SPONSORED RESEARCH

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ROCKEFELLER UNIVERSITY 1230 YORK AVE BOX 259 NEW YORK,NY 100216399	13-1624158	501(C)(3)	330,653				SUBAWARD - SPONSORED RESEARCH
RUSH UNIVERSITY MEDICAL CENTER1725 WEST HARRISON ST STE 1106 CHICAGO,IL 60612	36-2174823	501(C)(3)	15,130				SUBAWARD - SPONSORED RESEARCH

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RUTGERS THE STATE UNIVERSITY OF NEW JERSEY3 RUTGERS PLAZA ASB III RM 315 NEW BRUNSWICK,NJ 08901	22-6001086	501(C)(3)	264,755				SUBAWARD - SPONSORED RESEARCH
SACRED HEART UNIVERSITY INCORPORATED5151 PARK AVE FAIRFIELD,CT 068251000	06-0776644	501(C)(3)	72,421				SUBAWARD - SPONSORED RESEARCH

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SAINT FRANCIS MEDICAL CENTER530 NE GLEN OAK AVE PEORIA,IL 61637	37-0662569	501(C)(3)	82,114				SUBAWARD - SPONSORED RESEARCH
SANFORD-BURNHAM MEDICAL RESEARCH INSTITUTE10901 NORTH TORREY PINES RD LA JOLLA,CA 92037	51-0197108	501(C)(3)	925,996				SUBAWARD - SPONSORED RESEARCH

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SCRIPPS HEALTH11025 N TORREY PINES RD STE 200 LA JOLLA,CA 92037	95-1684089	501(C)(3)	5,673				SUBAWARD - SPONSORED RESEARCH
SEATTLE BIOMEDICAL RESEARCH INSTITUTE307 WESTLAKE AVE STE 500 SEATTLE,WA 981095219	91-0961784	501(C)(3)	118,841				SUBAWARD - SPONSORED RESEARCH

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SEATTLE CHILDREN'S HOSPITALPO BOX 24728 SEATTLE,WA 981240728	91-0564748	501(C)(3)	73,888				SUBAWARD - SPONSORED RESEARCH
SENTARA MEDICAL GROUP 835 GLENROCK RD NORFOLK,VA 23502	54-1217184	501(C)(3)	16,338				SUBAWARD - SPONSORED RESEARCH

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SMITH COLLEGE COLLEGE HALL 204 10 ELM STREET NORTHAMPTON, MA 01063	04-1843040	501(C)(3)	58,855				SUBAWARD - SPONSORED RESEARCH
SMITHSONIAN INSTITUTION 1000 JEFFERSON DRIVE S W WASHINGTON, DC 20560	53-0206027	501(C)(3)	298,422				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SOCIAL SCIENCE RESEARCH COUNCILONE PIERREPONT PLAZA 15TH FLR BROOKLYN,NY 11201	13-1325070	501(C)(3)	162,075				SUBAWARD - SPONSORED RESEARCH
SOUTH CAROLINA RESEARCH FOUNDATION 901 SUMTER ST 5TH FL COLUMBIA,SC 29208	57-0967350	501(C)(3)	91,749				SUBAWARD - SPONSORED RESEARCH

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SOUTHERN CONNECTICUT STATE UNIVERSITY501 CRESCENT ST NEW HAVEN,CT 065151355	06-1363115	STATE OF CT	567,779				SUBAWARD - SPONSORED RESEARCH
SOUTHTOWN NEUROLOGY OF WNY PC3775 SENECA ST WEST SENECA,NY 14224	16-1556670		10,341				SUBAWARD - SPONSORED RESEARCH

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SRI INTERNATIONALPO BOX 2767 MENLO PARK,CA 940252767	94-1160950	501(C)(3)	15,162				SUBAWARD - SPONSORED RESEARCH
STANFORD UNIVERSITYPO BOX 44253 SAN FRANCISCO,CA 941444253	94-1156365	501(C)(3)	465,613				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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STATE OF CONNECTICUT 55 ELM STREET HARTFORD,CT 061061775	06-6000798	STATE OF CT	144,750				SUBAWARD - SPONSORED RESEARCH
STOWERS INSTITUTE FOR MEDICAL RESEARCHPO BOX 412411 KANSAS CITY,MO 641412411	20-2993509	501(C)(3)	356,109				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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SUNDANCE RESEARCH INSTITUTE INC4416 EAST WEST HIGHWAY STE 205 BETHESDA,MD 20814	26-2919036	501(C)(3)	9,713				SUBAWARD - SPONSORED RESEARCH
TANNER RESEARCH INC 825 S MYRTLE AVE MONROVIA,CA 91016	95-4163780		125,068				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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TEMPLE UNIVERSITY3401 N BROAD ST 1007 JONES HALL PHILADELPHIA,PA 19140	23-1365971	501(C)(3)	92,799				SUBAWARD - SPONSORED RESEARCH
TEXAS BIOMEDICAL RESEARCH INSTITUTEPO BOX 760549 SAN ANTONIO,TX 78245	74-1109630	501(C)(3)	485,778				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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THE ASYMMETRIC COMBAT INSTITUTE2801 WADE HAMPTON BLVD STE 115-136 TAYLORS, SC 29687	90-0430719		572,209				SUBAWARD - SPONSORED RESEARCH
THE BRIGHAM AND WOMEN'S HOSPITAL INC PO BOX 3887 BOSTON,MA 022413887	04-2312909	501(C)(3)	723,180				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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THE BROAD INSTITUTE INC7 CAMBRIDGE CENTER NE30-7031 CAMBRIDGE,MA 02142	26-3428781	501(C)(3)	291,904				SUBAWARD - SPONSORED RESEARCH
THE BRONX VETERAN MEDICAL RESEARCH FOUNDATION INC130 W KINGSBRIDGE RD BRONX,NY 10468	13-3699250	501(C)(3)	263,818				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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THE CHARLOTTE-MECKLENBURG HOSPITAL AUTHORITYPO BOX 601979 CHARLOTTE,NC 282601979	56-0529945	STATE OF NC	250,620				SUBAWARD - SPONSORED RESEARCH
THE CHILDREN'S COMMUNITY PROGRAMS OF CONNECTICUT INC 446-A BLAKE ST NEW HAVEN,CT 06515	06-1579606	501(C)(3)	43,159				SUBAWARD - SPONSORED RESEARCH

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THE COLLEGE OF NEW JERSEYPO BOX 7718 ASB 201 EWING,NJ 086280718	22-2797398	STATE OF NJ	6,211				SUBAWARD - SPONSORED RESEARCH
THE CONSULTATION CENTER INC389 WHITNEY AVE NEW HAVEN,CT 06511	06-0923986	501(C)(3)	22,193				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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THE FEINSTEIN INSTITUTE FOR MEDICAL RESEARCH 350 COMMUNITY DR MANHASSET,NY 11030	11-2673595	501(C)(3)	28,873				SUBAWARD - SPONSORED RESEARCH
THE GENERAL HOSPITAL CORPORATIONPO BOX 3829 BOSTON,MA 022413829	04-2697983	501(C)(3)	472,434				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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THE HEKTOEN INSTITUTE OF MEDICINE2240 WEST OGDEN AVE 2ND FLOOR CHICAGO,IL 60612	36-2244897	501(C)(3)	81,537				SUBAWARD - SPONSORED RESEARCH
THE INGALLS MEMORIAL HOSPITALONE INGALLS DR ROOM 740 HARVEY,IL 60426	36-2170866	501(C)(3)	18,345				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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THE JOHN B PIERCE LABORATORY INC290 CONGRESS AVE NEW HAVEN,CT 065191403	06-0646780	501(C)(3)	194,209				SUBAWARD - SPONSORED RESEARCH
THE METHODIST HOSPITAL RESEARCH INSTITUTE6565 FANNIN STE 802 HOUSTON,TX 77030	87-0721923	501(C)(3)	29,228				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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THE MIND RESEARCH NETWORK1101 YALE BLVD NE ALBUQUERQUE,NM 87106	85-0457562	501(C)(3)	8,406				SUBAWARD - SPONSORED RESEARCH
THE NEW YORK METHODIST HOSPITAL506 SIXTH STREET BROOKLYN,NY 11215	11-1631796	501(C)(3)	11,961				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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THE RESEARCH FOUNDATION FOR THE STATE UNIVERSITY OF NEW YORK35 STATE STREET ALBANY,NY 122072826	14-1368361	501(C)(3)	92,746				SUBAWARD - SPONSORED RESEARCH
THE TUFTS MEDICAL CENTER PARENT INC800 WASHINGTON ST BOX 453 BOSTON,MA 02111	04-2810022	501(C)(3)	28,921				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO 7703 FLOYD CURL DR SAN ANTONIO,TX 78229	74-1586031	STATE OF TX	445,920				SUBAWARD - SPONSORED RESEARCH
THE URBAN INSTITUTE 2100 M STREET NW WASHINGTON,DC 200420950	52-0880375	501(C)(3)	25,404				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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THE WORLD HEALTH CLINICIANS INC153 EAST AVENUE NORWALK,CT 06851	27-2210659	501(C)(3)	20,000				SUBAWARD - SPONSORED RESEARCH
TRINITY COLLEGE300 SUMMIT ST HARTFORD,CT 06106	06-0646927	501(C)(3)	38,260				SUBAWARD - SPONSORED RESEARCH

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TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA3451 WALNUT ST P221 FRANKLIN BLDG PHILADELPHIA, PA 191046205	23-1352685	501(C)(3)	1,081,826				SUBAWARD - SPONSORED RESEARCH
TUFTS UNIVERSITY169 HOLLAND ST RM 310 SOMERVILLE,MA 02144	04-2103634	501(C)(3)	134,631				SUBAWARD - SPONSORED RESEARCH

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TULANE UNIVERSITY800 EAST COMMERCE RD STE 203 HARAHAHAN,LA 70123	72-0423889	501(C)(3)	271,194				SUBAWARD - SPONSORED RESEARCH
UNITED STATES DEPARTMENT OF AGRICULTUREPO BOX 979099 ST LOUIS,MO 63179	93-0386945	U S GOVERNMENT	34,672				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF ALABAMA AT BIRMINGHAM1530 3RD AVENUE S BIRMINGHAM,AL 352940109	63-6005396	501(C)(3)	293,726				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF ARIZONA PO BOX 3520 TUCSON,AZ 857223520	86-6004791	STATE OF AZ	577,024				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES4301 W MARKHAM ST LITTLE ROCK,AR 72205	71-6046242	STATE OF AR	14,045				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF CA - SAN DIEGO9500 GILMAN DR MC-0954 LA JOLLA,CA 920930954	95-6006144	501(C)(3)	62,623				SUBAWARD - SPONSORED RESEARCH

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UNIVERSITY OF CA - SAN FRANCISCO (UCSF)505 PARNASSUS AVE SAN FRANCISCO,CA 941430114	94-6036493	501(C)(3)	30,089				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF CALIFORNIA IRVINE1400 BIOLOGICAL SCIENCE 3 IRVINE,CA 926971050	95-2226406	501(C)(3)	348,500				SUBAWARD - SPONSORED RESEARCH

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UNIVERSITY OF CALIFORNIA LAWRENCE BERKELEY NATIONAL LABORATORY1 CYCLOTRON RD BERKELEY,CA 947208047	94-2951741	501(C)(3)	135,428				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF CHICAGO 1427 EAST 60TH STREET SUITE 12D CHICAGO,IL 60637	36-2177139	501(C)(3)	588,385				SUBAWARD - SPONSORED RESEARCH

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UNIVERSITY OF CINCINNATIPO BOX 691031 CINCINNATI,OH 452691031	31-6000989	STATE OF OH	132,800				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF CONNECTICUT438 WHITNEY RD STORRS,CT 062691133	06-0772160	STATE OF CT	290,587				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF CONNECTICUT HEALTH CENTER263 FARMINGTON AVE FARMINGTON,CT 06032	52-1725543	STATE OF CT	1,239,462				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF DENVER 2199 S UNIVERSITY BLVD DENVER,CO 802911811	84-0404231	501(C)(3)	145,514				SUBAWARD - SPONSORED RESEARCH

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UNIVERSITY OF FLORIDA PO BOX 113001 GAINESVILLE, FL 326113001	59-6002052	STATE OF FL	287,332				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF GEORGIA RESEARCH FOUNDATION 475 NORTH LUMPKIN ST ATHENS,GA 306025222	58-1353149	501(C)(3)	36,583				SUBAWARD - SPONSORED RESEARCH

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UNIVERSITY OF HAWAII 2530 DOLE STREET HONOLULU,HI 968222303	99-6000354	STATE OF HI	219,991				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF ILLINOIS PO BOX 20787 SPRINGFIELD,IL 627080787	37-6000511	501(C)(3)	92,860				SUBAWARD - SPONSORED RESEARCH

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UNIVERSITY OF IOWA105 JESSUP HALL IOWA CITY,IA 52242	42-6004813	STATE OF IA	212,845				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION 301 PETERSON SERVICE BUILDING LEXINGTON,KY 405060005	61-6033693	501(C)(3)	30,044				SUBAWARD - SPONSORED RESEARCH

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UNIVERSITY OF MAINE SYSTEM INC5717 CORBETT HALL ORONO,ME 044695717	01-6000769	501(C)(3)	65,690				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF MARYLAND3112 LEE BUILDING COLLEGE PARK,MD 207425141	52-6002033	STATE OF MD	110,700				SUBAWARD - SPONSORED RESEARCH

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UNIVERSITY OF MASSACHUSETTS AMHERST140 HICKS WAY AMHERST,MA 010039272	04-3167352	STATE OF MA	650,325				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF MEDICINE & DENTISTRY OF NJPO BOX 2685 NEW BRUNSWICK,NJ 089032685	22-1775306	STATE OF NJ	202,697				SUBAWARD - SPONSORED RESEARCH

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UNIVERSITY OF MIAMIPO BOX 405803 ATLANTA,GA 303845803	59-0624458	501(C)(3)	71,583				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF NEBRASKA MEDICAL CENTER SPONSORED PROGRAMS ACCOUNTING 985100 NEBRASKA MEDICAL CENTER OMAHA,NE 681985100	91-1858433	501(C)(3)	59,604				SUBAWARD - SPONSORED RESEARCH

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UNIVERSITY OF NEW HAVEN300 BOSTON POST ROAD WEST HAVEN,CT 06516	06-0761704	501(C)(3)	75,278				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF NEW MEXICO1 UNIVERSITY OF NEW MEXICO ALBUQUERQUE,NM 871310001	85-6000642	501(C)(3)	36,168				SUBAWARD - SPONSORED RESEARCH

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UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILLPO BOX 402420 ATLANTA,GA 303842420	56-6001393	STATE OF NC	179,185				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF OKLAHOMAPO BOX 26901 OKLAHOMA CITY,OK 731260901	73-6017987	STATE OF OK	489,557				SUBAWARD - SPONSORED RESEARCH

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UNIVERSITY OF PITTSBURGHPO BOX 371220 PITTSBURGH, PA 152517220	25-0965591	501(C)(3)	323,971				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF PUERTO RICOPO BOX 365067 ROOM B622 SAN JUAN,PR 009365067	66-0433776	PUERTO RICO	214,128				SUBAWARD - SPONSORED RESEARCH

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UNIVERSITY OF RHODE ISLAND70 LOWER COLLEGE RD STE 1 KINGSTON,RI 028810811	05-6000522	STATE OF RI	430,708				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF ROCHESTERBOX 681 601 ELMWOOD AVE ROCHESTER,NY 14642	16-0743209	501(C)(3)	91,798				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF SOUTH ALABAMA2451 FILLINGIM ST STE 10-I MOBILE,AL 36617	63-0477348	501(C)(3)	7,330				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF SOUTHERN CALIFORNIA UNIVERSITY GARDENS LOS ANGELES,CA 900742095	95-1642394	501(C)(3)	26,264				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF TEXASPO BOX 4390 HOUSTON,TX 772104390	74-6000203	STATE OF TX	799,401				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER5323 HARRY HINES BLVD DALLAS,TX 752359029	75-6002868	STATE OF TX	9,283				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF THE VIRGIN ISLANDS2 JOHN BREWERS BAY CHARLOTTE AMALIE,VI 008029990	66-0432514	501(C)(3)	135,565				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF TOLEDO 3000 ARLINGTON AVENUE TOLEDO,OH 436142998	34-6401483	STATE OF OH	11,123				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF UTAH175 N MEDICAL DR EAST 3RD FLR SALT LAKE CITY, UT 84132	87-6000525	501(C)(3)	37,933				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF VERMONT AND STATE AGRICULTURAL COLLEGE 223 WATERMAN BUILDING BURLINGTON, VT 054050160	03-0179440	501(C)(3)	370,241				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNIVERSITY OF VIRGINIA DARDEN SCHOOL FOUNDATIONPO BOX 400195 CHARLOTTESVILLE,VA 229044195	54-6046419	501(C)(3)	253,659				SUBAWARD - SPONSORED RESEARCH
UNIVERSITY OF WASHINGTON1100 NE 45TH ST STE 300 SEATTLE,WA 98105	91-6001537	STATE OF WA	311,260				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY REPRODUCTIVE ASSOCIATES214 TERRACE AVE HASBROUCK HEIGHTS,NJ 07604	82-0584930		31,199				SUBAWARD - SPONSORED RESEARCH
US CIVILIAN RESEARCH AND DEVELOPMENT FOUNDATION1530 WILSON BLVD 3RD FLOOR ARLINGTON,VA 22209	54-1773406	501(C)(3)	248,086				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
VANDERBILT UNIVERSITY PMB 406310 2301 VANDERBILT PLACE NASHVILLE,TN 37240	62-0476822	501(C)(3)	94,507				SUBAWARD - SPONSORED RESEARCH
VETERANS BIO-MEDICAL RESEARCH INSTITUTE INC 385 TREMONT AVE EAST ORANGE,NJ 070181095	22-2995785	501(C)(3)	74,500				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
VETERANS MEDICAL RESEARCH FOUNDATION OF SAN DIEGO3350 LA JOLLA VILLAGE DR 151A SAN DIEGO,CA 921610001	33-0189397	501(C)(3)	13,827				SUBAWARD - SPONSORED RESEARCH
VIA CHRISTI HOSPITALS WICHITA INC1100 N ST FRANCIS 300 WICHITA,KS 67214	48-1172106	501(C)(3)	42,319				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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WASHINGTON STATE UNIVERSITY342 FRENCH ADMINISTRATION BLDG PO BOX 641039 PULLMAN,WA 991641039	91-6001108	STATE OF WA	11,938				SUBAWARD - SPONSORED RESEARCH
WASHINGTON UNIVERSITY 660 S EUCLID AVE CAMPUS BOX 8111 ST LOUIS,MO 63110	43-0653611	501(C)(3)	54,088				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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WATERBURY HOSPITAL140 GRANDVIEW AVE STE L-02 WATERBURY,CT 06708	06-0665979	501(C)(3)	58,785				SUBAWARD - SPONSORED RESEARCH
WAYNE STATE UNIVERSITYPO BOX 02788 DETROIT,MI 48202	38-6028429	501(C)(3)	281,312				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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WEILL MEDICAL COLLEGE OF CORNELL UNIVERSITY 575 LEXINGTON AVE 9TH FLR NEW YORK,NY 10022	13-1623978	501(C)(3)	23,505				SUBAWARD - SPONSORED RESEARCH
WINIFRED MAST BURKE REHAB HOSPITAL INC785 MAMARONECK AVENUE ROOM G32 WHITE PLAINS,NY 10605	13-1739937	501(C)(3)	6,701				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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YALE NEW HAVEN HEALTH SERVICES CORPONE CHURCH STREET 5TH FLOOR NEW HAVEN,CT 06510	22-2529464	501(C)(3)	107,249				SUBAWARD - SPONSORED RESEARCH
YOUGOV AMERICA INC 285 HAMILTON AVE STE 200 PALO ALTO,CA 94301	98-0547173		13,995				SUBAWARD - SPONSORED RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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ABILIS COMMUNITY FOUNDATION INC50 GLENVILLE STREET GREENWICH,CT 06831	06-1331293	501(C)(3)	50,000				DAF DISTRIBUTIONS
DOCTORS WITHOUT BORDERS USA INC333 SEVENTH AVENUE NO 2ND FL NEWYORK,NY 100015004	13-3433452	501(C)(3)	8,500				DAF DISTRIBUTIONS

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
DONORS TRUST INC109 NORTH HENRY STREET ALEXANDRIA,VA 22314	52-2166327	501(C)(3)	100,000				DAF DISTRIBUTIONS
DOROT INC171 WEST 85TH STREET NEWYORK,NY 10024	13-3264005	501(C)(3)	50,000				DAF DISTRIBUTIONS

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KATAHDIN FOUNDATION 1516 FIFTH STREET BERKELEY,CA 94710	90-0089252	501(C)(3)	25,000				DAF DISTRIBUTIONS
MIT AUTISM RESEARCH FUND600 MEMORIAL DRIVE W98 CAMBRIDGE,MA 02139	04-2103594	501(C)(3)	150,000				DAF DISTRIBUTIONS

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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UNITED JEWISH APPEAL FEDERATION OF NEW YORK INC130 EAST 59TH STREET NEW YORK,NY 100221302	51-0172429	501(C)(3)	100,000				DAF DISTRIBUTIONS
UNIVERSITY OF CHICAGO 1427 EAST 60TH STREET SUITE 12D CHICAGO,IL 60637	36-2177139	501(C)(3)	80,000				DAF DISTRIBUTIONS

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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ALLINGTOWN FIRE DISTRICT355 MAIN STREET WEST HAVEN,CT 06516	06-6002126	CITY-WEST HAVEN	100,000				CHARITABLE CONTRIBUTION
AMISTAD ACADEMY INC 407 JAMES STREET NEW HAVEN,CT 06515	06-1546695	501(C)(3)	100,000				CHARITABLE CONTRIBUTION

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CITY OF NEW HAVEN200 ORANGE STREET NEW HAVEN,CT 06510	06-6001876	CITY-NEW HAVEN	1,000				CHARITABLE CONTRIBUTION
CLIFFORD W BEERS GUIDANCE CLINIC INC93 EDWARDS STREET NEW HAVEN,CT 06511	06-0646757	501(C)(3)	2,000				CHARITABLE CONTRIBUTION

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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COMMUNITY FOUNDATION FOR GREATER NEW HAVEN 70 AUDUBON STREET NEW HAVEN,CT 06510	06-6032106	501(C)(3)	52,500				CHARITABLE CONTRIBUTION
CONNECTICUT PLAYERS FOUNDATION INC222 SARGENT DRIVE NEW HAVEN,CT 06511	06-6073063	501(C)(3)	100,000				CHARITABLE CONTRIBUTION

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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DWIGHT HALL AT YALE67 HIGH STREET NEW HAVEN,CT 06510	06-0653140	501(C)(3)	40,531				CHARITABLE CONTRIBUTION
EASTER SEALS GOODWILL INDUSTRIES REHABILITATION CENTER INC432 WASHINGTON AVENUE NORTH HAVEN,CT 06473	23-7431264	501(C)(3)	25,000				CHARITABLE CONTRIBUTION

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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FUTURE PROJECT INC2000 N ST NW APT 528 WASHINGTON,DC 200362815	27-4374555	501(C)(3)	25,000				CHARITABLE CONTRIBUTION
NEIGHBORHOOD HOUSING SERVICES OF NEW HAVEN INC333 SHERMAN AVENUE NEW HAVEN,CT 06511	06-1021268	501(C)(3)	51,000				CHARITABLE CONTRIBUTION

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NEW HAVEN INTERNATIONAL FESTIVAL OF ARTS AND IDEAS INC 195 CHURCH STREET 12TH FLOOR NEW HAVEN,CT 06510	06-1444222	501(C)(3)	102,000				CHARITABLE CONTRIBUTION
NEW HAVEN YOUTH TENNIS AND EDUCATION 80 SEAVIEW TERRACE ROOM/SUITE 37 GUILFORD,CT 06437	27-0772846	501(C)(3)	25,000				CHARITABLE CONTRIBUTION

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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SCIENCE PARK DEVELOPMENT CORP25 SCIENCE PARK SUITE 103 NEW HAVEN,CT 06511	06-1062368	501(C)(3)	25,000				CHARITABLE CONTRIBUTION
TEACH FOR AMERICA INC 315 WEST 36TH STREET 8TH FLOOR NEW YORK,NY 10018	13-3541913	501(C)(3)	100,000				CHARITABLE CONTRIBUTION

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TOWN GREEN SPECIAL SERVICES DISTRICT171 ORANGE STREET NEW HAVEN,CT 06510	06-1467274	CITY-NEW HAVEN	53,732				CHARITABLE CONTRIBUTION
UNITED WAY OF GREATER NEW HAVEN INC370 JAMES STREET NEW HAVEN,CT 06513	06-0646761	501(C)(3)	100,500				CHARITABLE CONTRIBUTION

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UNIVERSITY OF TEXAS210 WEST 6TH ST STE 1200 AUSTIN,TX 78701	74-6000203	STATE OF TX	30,000				CHARITABLE CONTRIBUTION

Schedule J
(Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
YALE UNIVERSITY

Employer identification number

06-0646973

Part I

Questions Regarding Compensation

	Yes	No
<div>1a</div> <div>Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items</div> <div><div><div><input checked="" type="checkbox"/> First-class or charter travel</div><div><input type="checkbox"/> Travel for companions</div><div><input checked="" type="checkbox"/> Tax idemnification and gross-up payments</div><div><input type="checkbox"/> Discretionary spending account</div></div><div><div><input checked="" type="checkbox"/> Housing allowance or residence for personal use</div><div><input type="checkbox"/> Payments for business use of personal residence</div><div><input checked="" type="checkbox"/> Health or social club dues or initiation fees</div><div><input checked="" type="checkbox"/> Personal services (e g , maid, chauffeur, chef)</div></div></div>		
<div>b</div> <div>If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain</div>	1bYes	
<div>2</div> <div>Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?</div>	2Yes	
<div>3</div> <div>Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III</div> <div><div><div><input checked="" type="checkbox"/> Compensation committee</div><div><input checked="" type="checkbox"/> Independent compensation consultant</div><div><input checked="" type="checkbox"/> Form 990 of other organizations</div></div><div><div><input type="checkbox"/> Written employment contract</div><div><input checked="" type="checkbox"/> Compensation survey or study</div><div><input checked="" type="checkbox"/> Approval by the board or compensation committee</div></div></div>		
<div>4</div> <div>During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization</div>		
<div>a</div> <div>Receive a severance payment or change-of-control payment?</div>	4a	No
<div>b</div> <div>Participate in, or receive payment from, a supplemental nonqualified retirement plan?</div>	4bYes	
<div>c</div> <div>Participate in, or receive payment from, an equity-based compensation arrangement?</div> <div>If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III</div>	4c	No
<div></div> <div>Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.</div>		
<div>5</div> <div>For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of</div>		
<div>a</div> <div>The organization?</div>	5a	No
<div>b</div> <div>Any related organization?</div> <div>If "Yes," to line 5a or 5b, describe in Part III</div>	5b	No
<div>6</div> <div>For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of</div>		
<div>a</div> <div>The organization?</div>	6a	No
<div>b</div> <div>Any related organization?</div> <div>If "Yes," to line 6a or 6b, describe in Part III</div>	6b	No
<div>7</div> <div>For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III</div>	7Yes	
<div>8</div> <div>Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53 4958-4(a)(3)? If "Yes," describe in Part III</div>	8Yes	
<div>9</div> <div>If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)?</div>	9Yes	

Part II **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
See Additional Data Table								

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II
Also complete this part for any additional information

Identifier	Return Reference	Explanation
	PART I, LINE 1A	PART I, LINE 1A EACH ONE OF THE BENEFITS THAT WAS CHECKED WAS PROVIDED PURSUANT TO A WRITTEN POLICY FIRST-CLASS OR CHARTER TRAVEL ON OCCASION DURING THE CALENDAR YEAR 2012, THE UNIVERSITY CHARTERED PRIVATE AIRCRAFT WHEN DEEMED NECESSARY FOR THE CONDUCT OF UNIVERSITY BUSINESS, PURSUANT TO A CORPORATION POLICY CERTAIN TRUSTEES AND OFFICERS TRAVELLED ON SUCH FLIGHTS IN 2012 IN ACCORDANCE WITH THE POLICY UNDER APPLICABLE RULES, AMOUNTS FOR SUCH TRAVEL WERE NOT REPORTED AS TAXABLE COMPENSATION TO THE LISTED INDIVIDUALS TAX INDEMNIFICATION AND GROSS-UP PAYMENTS FOUR OFFICERS AND ONE HIGHLY COMPENSATED INDIVIDUAL RECEIVED TAX GROSS-UP PAYMENTS DURING THE CALENDAR YEAR 2012 FOR CERTAIN LIMITED TAXABLE BENEFITS BASED ON THEIR ESTIMATED RELATED TAX OBLIGATIONS THESE PAYMENTS WERE TREATED AS TAXABLE COMPENSATION TO THE LISTED INDIVIDUALS HOUSING ALLOWANCE OR RESIDENCE FOR PERSONAL USE TWO OFFICERS WERE PROVIDED UNIVERSITY RESIDENCES FOR PERSONAL USE DURING THE CALENDAR YEAR 2012 THE VALUES OF THE RESIDENCES WERE DETERMINED PURSUANT TO IRC SECTION 119(D), AS REQUIRED, AND TREATED AS TAXABLE COMPENSATION IN ADDITION, ONE OFFICER RECEIVED A HOUSING ALLOWANCE WHICH WAS TREATED AS TAXABLE COMPENSATION TO THE INDIVIDUAL DURING CALENDAR YEAR 2012 HEALTH OR SOCIAL CLUB DUES OR INITIATION FEES THE UNIVERSITY PAID ANNUAL CLUB DUES FOR ONE OFFICER THE AMOUNT WAS TREATED AS TAXABLE COMPENSATION PERSONAL SERVICES IN CONNECTION WITH UNIVERSITY BUSINESS ACTIVITY, ONE OFFICER RECEIVED SUPPORT FROM A SERVICE ASSISTANT, THE COST OF PERSONAL SERVICES RENDERED BY THAT ASSISTANT WAS REIMBURSED TO THE UNIVERSITY
	PART I, LINE 4B	PART I, LINE 4B CERTAIN LISTED PERSONS PARTICIPATED IN SUPPLEMENTAL NONQUALIFIED RETIREMENT PLANS DURING THE CALENDAR YEAR 2012 AS DESCRIBED BELOW THE UNIVERSITY HAS AGREED TO SUPPLEMENT THE PRESIDENT'S RETIREMENT INCOME BY PROVIDING AN ADDITIONAL RETIREMENT BENEFIT EQUAL IN VALUE TO 75% OF HIS FINAL SALARY AS PRESIDENT FOR A POST-RETIREMENT TERM OF 20 YEARS MINUS THE ANNUITY VALUE OF OTHER EMPLOYER-PROVIDED RETIREMENT BENEFITS, SUBJECT TO VESTING CONDITIONS BASED ON CONTINUING SERVICE TO THE UNIVERSITY AN ANNUAL ACCRUAL FOR THE ADDITIONAL RETIREMENT BENEFIT IS INCLUDED IN SCHEDULE J, PART II, COLUMN (C) THE UNIVERSITY PREVIOUSLY AGREED TO SUPPLEMENT THE RETIREMENT INCOME FOR TWO KEY EMPLOYEES IN THE INVESTMENTS OFFICE AND TWO SENIOR OFFICERS BY PROVIDING AN ADDITIONAL RETIREMENT BENEFIT EQUAL IN VALUE TO A PERCENTAGE OF SALARY AT VESTING, FOR A TERM OF 20 YEARS, SUBJECT TO VESTING CONDITIONS BASED ON CONTINUING SERVICE TO THE UNIVERSITY THE ADDITIONAL BENEFIT FOR THE TWO SENIOR OFFICERS WAS VESTED AND PAID IN 2012, AND IS REFLECTED IN SCHEDULE J, PART II, COLUMN (B)(III) IN THE CASE OF THE TWO KEY EMPLOYEES IN THE INVESTMENTS OFFICE, AN ANNUAL ACCRUAL FOR THE ADDITIONAL RETIREMENT BENEFITS IS INCLUDED IN SCHEDULE J, PART II, COLUMN (C) IN ADDITION, THE UNIVERSITY AWARDED BONUSES TO THE TWO PREVIOUSLY MENTIONED EMPLOYEES IN THE INVESTMENTS OFFICE THAT WERE TAXED IN 2012 AND SUBJECT TO DEFERRED PAYMENT WITH ADJUSTMENTS UPWARD OR DOWNWARD DEPENDING ON PERFORMANCE OF THE ENDOWMENT THESE BONUSES FOR 2012 ARE INCLUDED IN SCHEDULE J, PART II, COLUMN B(III) SCHEDULE J, PART I, LINE 7 THE UNIVERSITY PAID TWO KEY EMPLOYEES IN THE INVESTMENTS OFFICE BONUSES AWARDED UNDER A LONG TERM INCENTIVE COMPENSATION PLAN WHICH PROVIDES FOR PAYMENTS WHICH ARE BASED ON QUALITATIVE AND QUANTITATIVE PERFORMANCE FACTORS THE PAYMENTS ARE REPORTED ON SCHEDULE J, PART II, COLUMN (B)(II) THE UNIVERSITY ALSO PAID FIVE HIGHLY COMPENSATED EMPLOYEES ADDITIONAL COMPENSATION PURSUANT TO CLINICAL INCENTIVE PLANS THE PAYMENTS ARE REPORTED ON SCHEDULE J, PART II, COLUMN (B)(II) IN ADDITION, ONE OFFICER OF THE UNIVERSITY RECEIVED A DISCRETIONARY PERFORMANCE BONUS AND ONE OFFICER RECEIVED A SIGNING BONUS, BOTH WERE APPROVED IN ACCORDANCE WITH THE PROCEDURE DESCRIBED IN SCHEDULE O, PART VI, SECTION B, LINE 15 THESE PAYMENTS ARE REPORTED ON SCHEDULE J, PART II, COLUMN (B)(II) SCHEDULE J, PART I, LINE 8 THERE WAS ONE KEY EMPLOYEE WITH RESPECT TO WHOM AMOUNTS WERE REPORTED IN PART VII WHERE THOSE AMOUNTS WERE PAID OR ACCRUED PURSUANT TO A CONTRACT THAT WAS SUBJECT TO THE INITIAL CONTRACT EXCEPTION DESCRIBED IN REGS SECTION 53 4958-4(A)(3) THE COMPENSATION WAS ALSO APPROVED PURSUANT TO PROCESSES CONSISTENT WITH THE REBUTTABLE PRESUMPTION PROCEDURE DESCRIBED IN REGS SECTION 53 4958-6(C)
SUPPLEMENTAL INFORMATION	PART III	SCHEDULE J, PART II (GENERAL NOTES) COLUMN (D) IN ADDITION TO THE EMPLOYER COST OF HEALTH, DENTAL AND OTHER NONTAXABLE BENEFITS AVAILABLE ON A NONDISCRIMINATORY BASIS, COLUMN (D) INCLUDES AMOUNTS AWARDED AS SCHOLARSHIP GRANTS TO CHILDREN OF EMPLOYEES UNDER THE UNIVERSITY'S NONDISCRIMINATORY QUALIFIED TUITION REDUCTION PLAN COVERING ALL ELIGIBLE FACULTY AND STAFF WITH SIX OR MORE YEARS OF SERVICE COLUMN (F) COMPENSATION REPORTED IN PRIOR FORM 990 THIS AMOUNT IS ALSO INCLUDED IN COLUMN (E) IT IS THEREFORE, DOUBLE REPORTED IN PART II AND DOES NOT REPRESENT ADDITIONAL COMPENSATION

Software ID:
Software Version:
EIN: 06-0646973
Name: YALE UNIVERSITY

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
RICHARD C LEVIN	(i) (ii)	1,099,221 0	100,000 0	157,546 0	464,919 0	18,598 0	1,840,284 0	0 0
PETER SALOVEY	(i) (ii)	583,976 0	0 0	17,000 0	28,498 0	13,843 0	643,317 0	0 0
BENJAMIN POLAK	(i) (ii)	269,796 0	0 0	17,000 0	31,854 0	843 0	319,493 0	0 0
BRUCE D ALEXANDER	(i) (ii)	458,893 0	0 0	53,997 0	29,123 0	12,337 0	554,350 0	0 0
KIMBERLY M GOFF-CREWS	(i) (ii)	124,735 0	100,000 0	17,000 0	15,088 0	2,733 0	259,556 0	0 0
SHAUNA R KING	(i) (ii)	510,529 0	0 0	41,320 0	28,498 0	7,622 0	587,969 0	0 0
LINDA K LORIMER	(i) (ii)	521,594 0	0 0	1,172,450 0	28,498 0	26,225 0	1,748,767 0	60,813 0
JOAN E O'NEILL	(i) (ii)	323,017 0	0 0	20,726 0	35,982 0	60,104 0	439,829 0	0 0
MICHAEL A PEEL	(i) (ii)	458,818 0	0 0	76,911 0	29,123 0	18,593 0	583,445 0	0 0
DOROTHY K ROBINSON	(i) (ii)	508,321 0	0 0	1,119,447 0	28,498 0	9,909 0	1,666,175 0	44,098 0
ROBERT J ALPERN	(i) (ii)	775,733 0	0 0	17,000 0	28,498 0	3,049 0	824,280 0	0 0
JOHN H BOLLIER	(i) (ii)	341,977 0	0 0	0 0	28,664 0	35,538 0	406,179 0	0 0
SCOTT A STROBEL	(i) (ii)	348,256 0	0 0	17,000 0	28,810 0	23,425 0	417,491 0	0 0
DAVID F SWENSEN	(i) (ii)	771,689 0	1,498,388 0	363,458 0	429,830 0	34,013 0	3,097,378 0	0 0
DEAN J TAKAHASHI	(i) (ii)	581,440 0	1,123,791 0	267,000 0	201,354 0	32,743 0	2,206,328 0	0 0
KHALID M ABBED	(i) (ii)	234,826 0	853,017 0	0 0	28,185 0	29,379 0	1,145,407 0	0 0
DAVID J LEFFELL	(i) (ii)	727,706 0	651,171 0	17,000 0	28,498 0	30,498 0	1,454,873 0	0 0
THOMAS J LYNCH	(i) (ii)	835,341 0	125,250 0	55,607 0	28,497 0	29,439 0	1,074,134 0	0 0
THOMAS J RUTHERFORD	(i) (ii)	265,957 0	1,054,264 0	0 0	27,872 0	11,724 0	1,359,817 0	0 0
DAVID D YUH	(i) (ii)	838,675 0	160,500 0	0 0	28,498 0	29,511 0	1,057,184 0	0 0

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
INGEBORG T REICHENBACH	(i)	214,869	0	196,741	1,563	3,206	416,379	0
	(ii)	0	0	0	0	0	0	0
MICHAEL J DONOGHUE	(i)	275,480	0	17,000	22,322	25,768	340,570	0
	(ii)	0	0	0	0	0	0	0

Schedule K (Form 990)	<div>Supplemental Information on Tax Exempt Bonds</div> <div>▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.</div> <div>▶ Attach to Form 990. ▶ See separate instructions.</div>	OMB No 1545-0047
		2012
		Open to Public Inspection

Department of the Treasury Internal Revenue Service	Name of the organization YALE UNIVERSITY	Employer identification number 06-0646973
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Part I

Bond Issues

	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
							Yes	No	Yes	No	Yes	No
A	STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774LYY5	01-08-2003	100,000,000	TO FINANCE FACILITIES, ADDITIONS, IMPROVEMENTS, AND RENOVATIONS		X		X		X
B	STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774LZC2	01-08-2003	250,000,000	TO FINANCE FACILITIES, ADDITIONS, IMPROVEMENTS, AND RENOVATIONS		X		X		X
C	STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774L6B6	10-05-2005	211,030,000	TO FINANCE FACILITIES, ADDITIONS, IMPROVEMENTS, AND RENOVATIONS		X		X		X
D	STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774L6C4	10-05-2005	100,000,000	TO FINANCE FACILITIES, ADDITIONS, IMPROVEMENTS, AND RENOVATIONS		X		X		X

Part II

Proceeds

		A		B		C		D	
1	Amount of bonds retired								
2	Amount of bonds legally defeased								
3	Total proceeds of issue	101,210,745		252,221,323		219,949,777		104,887,356	
4	Gross proceeds in reserve funds								
5	Capitalized interest from proceeds								
6	Proceeds in refunding escrows								
7	Issuance costs from proceeds								
8	Credit enhancement from proceeds								
9	Working capital expenditures from proceeds								
10	Capital expenditures from proceeds	101,210,745		252,221,323		219,949,777		104,887,356	
11	Other spent proceeds								
12	Other unspent proceeds								
13	Year of substantial completion	2007		2009		2012		2012	
14	Were the bonds issued as part of a current refunding issue?	Yes	No	Yes	No	Yes	No	Yes	No
			X		X		X		X
15	Were the bonds issued as part of an advance refunding issue?		X		X		X		X
16	Has the final allocation of proceeds been made?	X		X		X		X	
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III

Private Business Use

		A		B		C		D	
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?	Yes	No	Yes	No	Yes	No	Yes	No
			X		X		X		X
2	Are there any lease arrangements that may result in private business use of bond-financed property?	X		X		X		X	

Part III Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?	X		X		X		X	
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X		X		X		X	
c	Are there any research agreements that may result in private business use of bond-financed property?	X		X		X		X	
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?		X		X		X		X
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	0 00000%		0 00000%		0 00000%		0 00000%	
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government	0 00000%		0%		0 00000%		0%	
6	Total of lines 4 and 5	0 00000%		0%		0 00000%		0%	
7	Does the bond issue meet the private security or payment test?		X		X		X		X
8a	Has there been a sale or disposition of any of the bond financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		X
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of	%		%		%		%	
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?								
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X		X		X		X	

Part IV Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T?		X		X		X		X
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?		X		X		X		X
b	Exception to rebate?		X	X		X		X	
c	No rebate due?	X			X		X		X
	If you checked "No rebate due" in line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?		X	X			X	X	
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b	Name of provider								
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was a hedge terminated?								

Part IV Arbitrage (Continued)

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
5a Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b Name of provider								
c Term of GIC								
d Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6 Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7 Has the organization established written procedures to monitor the requirements of section 148?	X		X		X		X	

Part V Procedures To Undertake Corrective Action

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
1 Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X		X	

Part VI Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions).

Identifier	Return Reference	Explanation
SCHEDULE K, PART I, BOND ISSUE, COLUMN (F)		CHEFA BONDS ISSUED 2/24/2010 (CUSIP # 20774UU60) - AND TO DEFEASE CHEFA SERIES W BONDS ISSUED 4/2002 SCHEDULE K, PART II, PROCEEDS, QUESTION 3 TOTAL PROCEEDS OF ISSUE REPRESENT THE ISSUE PRICE (PART I, COLUMN (E)) PLUS ANY INVESTMENT EARNINGS SCHEDULE K, PART III, PRIVATE BUSINESS USE, QUESTIONS 3D DURING THE 12-MONTH PERIOD USED TO REPORT ON THE BOND ISSUES, THE ORGANIZATION ROUTINELY REVIEWED RESEARCH AGREEMENTS RELATING TO THE FINANCED PROPERTY FOR PRIVATE BUSINESS USE, USING EITHER IN-HOUSE COUNSEL, OUTSIDE COUNSEL, OR PROFESSIONAL CONTRACT REVIEWERS TRAINED BY COUNSEL SCHEDULE K, PART III, PRIVATE BUSINESS USE, QUESTIONS 4-5 IN CERTAIN CASES, A BOND ISSUE WITH AN ENTRY OF 00% MAY HAVE FINANCED PROPERTY WITH SOME PRIVATE BUSINESS USE IN EACH OF THESE CASES, THE PRIVATE BUSINESS USE PERCENTAGE OF THE BOND ISSUE WAS LESS THAN 0 05% AS THE AMOUNTS ARE ROUNDED TO THE NEAREST TENTH OF A PERCENTAGE POINT, PURSUANT TO THE INSTRUCTIONS SCHEDULE K, PART III, PRIVATE BUSINESS USE, QUESTIONS 4-6, BOND C, SECOND PAGE - CHEFA BONDS ISSUED 02/24/10 (CUSIP # 20774UU60) A PORTION OF THE BOND ISSUE WAS A CURRENT REFUNDING OF A PRE-2003 ISSUE, ONLY THE PRIVATE BUSINESS USE PERTAINING TO THE NEW MONEY PORTION OF THE 02/24/10 ISSUE IS REPORTED SCHEDULE K, PART IV, ARBITRAGE, QUESTION 2C, BOND A, FIRST PAGE - CHEFA BONDS ISSUED 01/08/03 (CUSIP # 20774LYY5) A PORTION OF THE BOND ISSUE RELATING TO THE CONSTRUCTION FUND IS SUBJECT TO REBATE THE REBATE LIABILITY COMPUTED AT JUNE 30, 2013 WAS NEGATIVE SCHEDULE K, PART IV, ARBITRAGE, QUESTION 2C, BOND C, SECOND PAGE - CHEFA BONDS ISSUED 02/24/10 (CUSIP # 20774UU60) A PORTION OF THE BOND ISSUE RELATING TO THE CONSTRUCTION FUND IS SUBJECT TO REBATE THE REBATE LIABILITY COMPUTED AT JUNE 30, 2013 WAS NEGATIVE SCHEDULE K, PART IV, ARBITRAGE, QUESTION 3, BOND B, SECOND PAGE - CHEFA BOND ISSUED 10/04/07 (CUSIP # 20774UPQ2) PURSUANT TO THE INSTRUCTIONS FOR PART IV, QUESTION 3, A VARIABLE RATE ISSUE IS AN ISSUE CONTAINING A BOND WITH A YIELD NOT FIXED AND DETERMINABLE ON THE ISSUE DATE THE BOND ISSUE WAS CONVERTED TO A FIXED RATE IN 2008

Schedule K
(Form 990)

Supplemental Information on Tax Exempt Bonds

OMB No 1545-0047

2012

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Name of the organization
YALE UNIVERSITY

Employer identification number
06-0646973

Part I Bond Issues

	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
							Yes	No	Yes	No	Yes	No
A	STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774UPP4	10-04-2007	414,292,000	TO FINANCE FACILITIES, ADDITIONS, IMPROVEMENTS, AND RENOVATIONS		X		X		X
B	STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774UPQ2	10-04-2007	200,000,000	TO FINANCE FACILITIES, ADDITIONS, IMPROVEMENTS, AND RENOVATIONS		X		X		X
C	STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY	06-0806186	20774UU60	02-24-2010	579,984,034	TO FINANCE FACILITIES, ADDITIONS, IMPROVEMENTS, AND RENOVATIONS		X		X		X

Part II Proceeds

		A		B		C		D	
1	Amount of bonds retired								
2	Amount of bonds legally defeased								
3	Total proceeds of issue	424,106,796		204,478,448		580,160,339			
4	Gross proceeds in reserve funds								
5	Capitalized interest from proceeds								
6	Proceeds in refunding escrows	89,516,817				89,516,817			
7	Issuance costs from proceeds								
8	Credit enhancement from proceeds								
9	Working capital expenditures from proceeds								
10	Capital expenditures from proceeds	424,106,796		204,478,448		490,643,522			
11	Other spent proceeds								
12	Other unspent proceeds								
13	Year of substantial completion	2012		2012		2012			
14	Were the bonds issued as part of a current refunding issue?	Yes	No	Yes	No	Yes	No	Yes	No
			X		X	X			
15	Were the bonds issued as part of an advance refunding issue?		X		X		X		
16	Has the final allocation of proceeds been made?	X		X		X			
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X			

Part III Private Business Use

		A		B		C		D	
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?	Yes	No	Yes	No	Yes	No	Yes	No
			X		X		X		
2	Are there any lease arrangements that may result in private business use of bond-financed property?	X		X			X		

Part III Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?	X		X		X			
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X		X		X			
c	Are there any research agreements that may result in private business use of bond-financed property?	X		X		X			
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?		X		X		X		
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	0 00000%		0 00000%		0 00000%		%	
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government	0 00000%		0 00000%		0 00000%		%	
6	Total of lines 4 and 5	0 00000%		0 00000%		0 00000%		%	
7	Does the bond issue meet the private security or payment test?		X		X		X		
8a	Has there been a sale or disposition of any of the bond financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of	%		%		%		%	
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?								
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?	X		X		X			

Part IV Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T?		X		X		X		
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?		X		X		X		
b	Exception to rebate?	X		X			X		
c	No rebate due?		X		X	X			
	If you checked "No rebate due" in line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?		X	X		X			
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		
b	Name of provider								
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was a hedge terminated?								

Part IV

Arbitrage (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		
b	Name of provider								
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6	Were any gross proceeds invested beyond an available temporary period?		X		X		X		
7	Has the organization established written procedures to monitor the requirements of section 148?	X		X		X			

Part V

Procedures To Undertake Corrective Action

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X			

Part VI

Supplemental Information. Complete this part to provide additional information for responses to questions on Schedule K (see instructions).

Identifier	Return Reference	Explanation
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Schedule L
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Transactions with Interested Persons
▶ Complete if the organization answered
"Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c,
or Form 990-EZ, Part V, line 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047
2012
Open to Public Inspection

Name of the organization
YALE UNIVERSITY

Employer identification number

06-0646973

Part I Excess Benefit Transactions (section 501(c)(3) and section 501(c)(4) organizations only).
Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
				Yes	No

2

Enter the amount of tax incurred by organization managers or disqualified persons during the year under section 4958 ▶ \$

3

Enter the amount of tax, if any, on line 2, above, reimbursed by the organization ▶ \$

Part II Loans to and/or From Interested Persons.
Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26, or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No
(1) ROBERT BLOCKER	(PART V) DISQUALIFIED PERSON	HOUSING		X	200,000	33,334		No		No	Yes	
(2) T K VANDERLICK	(PART V) DISQUALIFIED PERSON	HOUSING		X	100,000	91,901		No		No	Yes	

Total ▶ \$ 125,235

Part III Grants or Assistance Benefitting Interested Persons.
Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance
(1)		97,088	SCHOLARSHIP	(SEE PART V)
(2)		2,000	SCHOLARSHIP	(SEE PART V)

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
See Additional Data Table					

Part V Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule L (see instructions)

Identifier	Return Reference	Explanation
SCHEDULE L, PART II	LOANS TO AND/OR FROM INTERESTED PERSONS	ALL LOANS REPORTED ON SCHEDULE L, PART II WERE MADE AND APPROVED PURSUANT TO UNIVERSITY COMPENSATION POLICIES
SCHEDULE L, PART III	GRANTS OR ASSISTANCE BENEFITING INTERESTED PERSONS	THE SCHOLARSHIP GRANTS REPRESENT AMOUNTS AWARDED TO INTERESTED PERSONS UNDER THE UNIVERSITY'S NONDISCRIMINATORY QUALIFIED TUITION REDUCTION PLAN COVERING ALL ELIGIBLE FACULTY AND STAFF WITH SIX OR MORE YEARS OF SERVICE IN ADDITION, A SCHOLARSHIP GRANT WAS AWARDED TO AN INTERESTED PERSON FOR A SUMMER FOREIGN STUDY PROGRAM
SCHEDULE L, PART IV	BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS	TRANSACTIONS WITH ENTITIES WHOLLY OWNED, DIRECTLY OR INDIRECTLY, AND LISTED ON SCHEDULE R ARE NOT REPORTED ALTHOUGH YALE OFFICERS OR KEY EMPLOYEES MAY SERVE (WITHOUT COMPENSATION) AS OFFICERS OR DIRECTORS OF SUCH ENTITIES

Additional Data

Software ID:

Software Version:

EIN: 06-0646973

Name: YALE UNIVERSITY

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) ABBOTT LABORATORIES	(SEE PART V) ROBERT ALPERN, A KEY EMPLOYEE, IS A DIRECTOR	303,794	(SEE PART V) PURCHASE OF LABORATORY SUPPLIES IN THE ORDINARY COURSE OF BUSINESS SHOWN IN ADDITION, THE UNIVERSITY RECEIVED SPONSORED RESEARCH REVENUE, CLINICAL TRIAL INCOME, ROYALTY INCOME, AND OTHER MISCELLANEOUS INCOME IN THE ORDINARY COURSE OF BUSINESS OF \$1,608,010		No
(2) AMERICAN EXPRESS	(SEE PART V) RICHARD LEVIN, AN OFFICER, IS A DIRECTOR	1,776,377	(SEE PART V) PURCHASE OF TRAVELERS CHECKS AND TRAVEL SERVICE FEES PAID IN THE ORDINARY COURSE OF BUSINESS		No
(3) GENERAL ELECTRIC COMPANY	(SEE PART V) DOUGLAS WARNER III, A TRUSTEE, IS A DIRECTOR	403,677	(SEE PART V) SPONSORED RESEARCH REVENUE RECEIVED BY THE UNIVERSITY SHOWN IN ADDITION, THE UNIVERSITY PAID \$6,290,758 FOR SYSTEM MAINTENANCE AND SUPPORT FEES AND OTHER GOODS AND SERVICES IN THE ORDINARY COURSE OF BUSINESS		No
(4) PEPSICO	(SEE PART V) INDRA NOOYI, A TRUSTEE, IS CHAIRMAN AND CEO	323,969	(SEE PART V) FOOD AND BEVERAGE PURCHASES FROM PEPSICO AND ITS DIVISIONS IN THE ORDINARY COURSE OF BUSINESS IN ADDITION, THE UNIVERSITY RECEIVED SPONSORSHIP INCOME IN THE AMOUNT OF \$100,500		No
(5) THE MCGRAW-HILL COMPANIES	(SEE PART V) LINDA LORIMER, AN OFFICER, IS A DIRECTOR	234,871	(SEE PART V) S&P RATINGS AND PUBLICATION PURCHASES IN THE ORDINARY COURSE OF BUSINESS		No
(6) UNITED EDUCATORS INSURANCE	(SEE PART V) KIMBERLY GOFF-CREWS, AN OFFICER, IS A DIRECTOR	915,977	(SEE PART V) INSURANCE PREMIUM PAYMENTS IN THE ORDINARY COURSE OF BUSINESS		No
(7) JANE LEVIN	(SEE PART V) SPOUSE OF RICHARD LEVIN, AN OFFICER	147,019	(SEE PART V) COMPENSATION AND BENEFITS, INCLUDING FACULTY SERVICES AS SENIOR LECTURER		No
(8) STEFANIE MARKOVITS	(SEE PART V) SPOUSE OF BENJAMIN POLAK, AN OFFICER	135,338	(SEE PART V) COMPENSATION AND BENEFITS, PROFESSOR OF ENGLISH		No
(9) PATRICIA PREISIG	(SEE PART V) SPOUSE OF ROBERT ALPERN, A KEY EMPLOYEE	180,263	(SEE PART V) COMPENSATION AND BENEFITS, PROFESSOR, MEDICINE (NEPHROLOGY) AND CELLULAR AND MOLECULAR PHYSIOLOGY		No
(10) WENDY SHARP	(SEE PART V) SPOUSE OF DEAN TAKAHASHI, A KEY EMPLOYEE	92,456	(SEE PART V) COMPENSATION AND BENEFITS, DIRECTOR OF CHAMBER MUSIC, LECTURER SCHOOL OF MUSIC		No

SCHEDULE M
(Form 990)

Department of the Treasury
Internal Revenue Service

Noncash Contributions

►Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
► Attach to Form 990.

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Name of the organization
YALE UNIVERSITY

Employer identification number
06-0646973

Part I

Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art	X	54	0	
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications	X		0	
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes	X	3	0	
8 Intellectual property				
9 Securities—Publicly traded	X	910	52,415,113	FAIR VALUE
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests	X	16	26,766,814	SALE OF COMP PROPERTY
12 Securities—Miscellaneous	X	6	93,997	SALE OF COMP PROPERTY
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential	X	1	543,000	APPRAISED VALUE
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles	X	24	0	
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts	X	9	0	
23 Scientific specimens	X	2	0	
24 Archeological artifacts				
25 Other ► (<u>EQUIPMENT</u>)	X	3	0	
26 Other ► (<u>MUSICAL INSTRUMENTS</u>)	X	1	0	
27 Other ► (<u>SOFTWARE</u>)	X	1	0	
28 Other ► (<u> </u>)				

29

Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement

29

98

30a

During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?

30a

Yes

No

No

b If "Yes," describe the arrangement in Part II

31

Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

31

Yes

32a

Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

32a

Yes

b If "Yes," describe in Part II

33

If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II

Part II

Supplemental Information. Complete this part to provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
METHOD FOR DETERMINING NUMBER OF CONTRIBUTIONS	PART I, COLUMN (B)	AMOUNTS REPRESENT THE NUMBER OF NON-CASH CONTRIBUTIONS RECEIVED AND RECORDED IN THE CENTRAL CONTRIBUTION DATABASE OF THE UNIVERSITY
THIRD PARTY USE	PART I, LINE 32B	OCCASIONALLY, THE UNIVERSITY ENGAGES THIRD PARTIES (E G , REAL ESTATE BROKERS, AUCTION HOUSES, ETC) TO SELL CERTAIN NON-CASH CONTRIBUTIONS
NON REPORTING OF REVENUE	PART I, LINE 33	PURSUANT TO ACCOUNTING STANDARDS CODIFICATION 958 (ASC 958), THE UNIVERSITY DOES NOT CAPITALIZE ITS COLLECTIONS, INCLUDING WORKS OF ART, LITERARY WORKS, HISTORICAL TREASURES AND ARTIFACTS THAT ARE MAINTAINED IN ITS MUSEUMS AND LIBRARIES NON-CASH CONTRIBUTIONS OF THIS NATURE ARE, THEREFORE, NOT RECOGNIZED AS REVENUE FOR FINANCIAL STATEMENT PURPOSES THESE COLLECTIONS ARE PROTECTED AND PRESERVED FOR PUBLIC EXHIBITION, EDUCATION, RESEARCH AND THE FURTHERANCE OF PUBLIC SERVICE OTHER NON-CASH CONTRIBUTIONS WITH NO READILY ASCERTAINABLE FAIR VALUE OR WHICH ARE DEEMED IMMATERIAL ARE NOT RECOGNIZED AS REVENUE FOR FINANCIAL STATEMENT PURPOSES

SCHEDULE O

(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

OMB No 1545-0047

2012

Open to Public
Inspection

Name of the organization YALE UNIVERSITY	Employer identification number 06-0646973
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Identifier	Return Reference	Explanation
TOTAL NUMBER OF VOLUNTEERS	FORM 990, PART I, LINE 6	VOLUNTEERS SUPPORT AND BENEFIT THE UNIVERSITY IN A WIDE VARIETY OF ACTIVITIES, PROGRAMS, AND SERVICES WHILE YALE UNIVERSITY DOES NOT TRACK THE NUMBER OF ITS VOLUNTEERS, THE UNIVERSITY ESTIMATES THAT THE NUMBER OF VOLUNTEERS WAS APPROXIMATELY 7,400 DURING FYE 6/30/13 FORM 990, PART III, LINE 1 DESCRIPTION OF ORGANIZATION MISSION LIKE ALL GREAT RESEARCH UNIVERSITIES, YALE HAS A TRIPARTITE MISSION TO CREATE, PRESERVE, AND DISSEMINATE KNOWLEDGE YALE AIMS TO CARRY OUT EACH PART OF ITS MISSION AT THE HIGHEST LEVEL OF EXCELLENCE, ON PAR WITH THE BEST INSTITUTIONS IN THE WORLD YALE SEEKS TO ATTRACT A DIVERSE GROUP OF EXCEPTIONALLY TALENTED MEN AND WOMEN FROM ACROSS THE NATION AND AROUND THE WORLD AND TO EDUCATE THEM FOR LEADERSHIP IN SCHOLARSHIP, THE PROFESSIONS, AND SOCIETY

Identifier	Return Reference	Explanation
	FORM 990, PART VI, SECTION A, LINE 1	THERE ARE TWO EX-OFFICIO TRUSTEES, THE GOVERNOR OF CONNECTICUT AND THE LIEUTENANT GOVERNOR OF CONNECTICUT. HISTORICALLY, THESE INDIVIDUALS DO NOT PARTICIPATE IN GOVERNANCE ACTIVITIES AT THE UNIVERSITY, AND THEY ARE REPORTED AS INDEPENDENT.

Identifier	Return Reference	Explanation
	FORM 990, PART VI, SECTION A, LINE 4	DURING THE FISCAL YEAR ENDED 6/30/13, YALE CORPORATION BY-LAWS WERE AMENDED TO REMOVE THE BACHELOR OF LIBERAL STUDIES FROM THE LIST OF DEGREES CONFERRED BY THE UNIVERSITY AND TO REFLECT CHANGES TO THE MEMBERSHIP TERMS OF THE STANDING COMMITTEE OF THE ASSOCIATION OF YALE ALUMNI (AYA) FOR THE NOMINATION OF ALUMNI FELLOWS

Identifier	Return Reference	Explanation
	FORM 990, PART VI, SECTION A, LINE 7A	THE GOVERNING BODY INCLUDES SIX ALUMNI TRUSTEES WHO ARE ELECTED BY THE ALUMNI

Identifier	Return Reference	Explanation
	FORM 990, PART VI, SECTION B, LINE 11	THE FORM 990 WAS REVIEWED BY SENIOR MANAGEMENT. IN ADDITION, THE CORPORATION AUDIT COMMITTEE, COMPRISED OF FOUR MEMBERS OF THE UNIVERSITY'S GOVERNING BODY, MET WITH MEMBERS OF SENIOR MANAGEMENT AND THE SENIOR DIRECTOR, TAX AND INTERNATIONAL COMPLIANCE TO REVIEW THE FORM 990 PRIOR TO FILING.

Identifier	Return Reference	Explanation
	FORM 990, PART VI, SECTION B, LINE 12C	THE YALE UNIVERSITY CONFLICT OF INTEREST POLICY OBLIGATES EACH OFFICER, TRUSTEE, AND KEY EMPLOYEE TO PROMPTLY DISCLOSE ANY ACTUAL OR POTENTIAL CONFLICT OF INTEREST AS DEFINED IN THE POLICY. EACH SUCH PERSON MUST SIGN A STATEMENT ANNUALLY AFFIRMING THAT HE OR SHE HAS RECEIVED A COPY OF THE CONFLICT OF INTEREST POLICY, HAS READ AND UNDERSTANDS THE POLICY, AGREES TO COMPLY WITH THE POLICY, AND UNDERSTANDS THAT THE CORPORATION IS CHARITABLE AND IN ORDER TO MAINTAIN ITS EXEMPTION IT MUST ENGAGE PRIMARILY IN ACTIVITIES WHICH ACCOMPLISH ONE OR MORE OF ITS TAX-EXEMPT PURPOSES. IN CONNECTION WITH ANY ACTUAL OR POSSIBLE CONFLICT OF INTEREST, AN INTERESTED PERSON MUST DISCLOSE THE EXISTENCE OF HIS OR HER FINANCIAL INTEREST AND BE GIVEN THE OPPORTUNITY TO DISCLOSE ALL MATERIAL FACTS TO THE DIRECTOR AND MEMBERS OF THE COMMITTEE WITH GOVERNING BOARD DELEGATED POWERS CONSIDERING THE PROPOSED TRANSACTION OR ARRANGEMENT. AFTER DISCLOSURE OF A POTENTIAL CONFLICT OF INTEREST, THE INTERESTED PERSON IS EXCUSED FROM THE GOVERNING BOARD OR COMMITTEE MEETING WHILE THE DETERMINATION OF A CONFLICT OF INTEREST IS MADE, AND IS RECUSED FROM PARTICIPATING IN ANY VOTE ON THE MATTER.

Identifier	Return Reference	Explanation
	FORM 990, PART VI, SECTION B, LINE 15	<p>THE PROCESS FOR DETERMINING THE COMPENSATION OF THE PRESIDENT INCLUDED INITIAL REVIEW BY THE COMMITTEE ON COMPENSATION (THE COMPENSATION COMMITTEE) OF THE YALE CORPORATION (YALE UNIVERSITY'S GOVERNING BOARD), A RECOMMENDATION VOTED BY THE COMMITTEE TO THE YALE CORPORATION, AND FULL REVIEW AND VOTE BY THE YALE CORPORATION. BOTH REVIEWS INCLUDED REVIEW OF THE COMPENSATION OF SIMILARLY QUALIFIED INDIVIDUALS IN COMPARABLE POSITIONS AT OTHER UNIVERSITIES, AS COMPILED BY A PROFESSIONAL COMPENSATION CONSULTANT RETAINED BY THE COMPENSATION COMMITTEE FOR THE PURPOSE, AND REVIEW OF INFORMATION ON SUCH COMPARABLE POSITIONS FROM IRS FORMS 990 FOR SIMILAR ORGANIZATIONS. BOTH REVIEWS CONSIDERED SUCH DATA IN LIGHT OF THE PERFORMANCE OF THE PRESIDENT, WHICH WAS REVIEWED BY THE COMPENSATION COMMITTEE AND BY THE YALE CORPORATION. THE PROCEEDINGS OF THE COMPENSATION COMMITTEE, AND THE PROCEEDINGS OF THE YALE CORPORATION, EACH WERE DOCUMENTED IN MINUTES OF THE RESPECTIVE BODY, CONTEMPORANEOUSLY ADOPTED AND RETAINED IN THE RECORDS OF THE RESPECTIVE BODY, ALONG WITH THE DATA ON COMPARABLE COMPENSATION THAT WAS RELIED UPON. THE PROCESS FOR DETERMINING COMPENSATION OF THE OFFICERS CONSISTED OF REVIEW BY THE COMPENSATION COMMITTEE OF THE RECOMMENDATIONS MADE BY THE PRESIDENT, CONSIDERING THE PERFORMANCE OF EACH OFFICER, AND REVIEW OF DATA ON COMPENSATION OF SIMILARLY QUALIFIED INDIVIDUALS IN COMPARABLE POSITIONS AT SIMILAR ORGANIZATIONS, AS COLLECTED BY A PROFESSIONAL COMPENSATION CONSULTANT THROUGH SURVEYS. THE COMPENSATION COMMITTEE VOTED RECOMMENDATIONS ON COMPENSATION OF SUCH INDIVIDUALS TO THE YALE CORPORATION. THE YALE CORPORATION RECEIVED THE RECOMMENDATIONS OF THE COMPENSATION COMMITTEE, AND DISCUSSED THESE WITH THE PRESIDENT, WHO REVIEWED THE PERFORMANCE OF EACH OFFICER WITH THE YALE CORPORATION, AND ALSO THE COMPARABLE COMPENSATION INFORMATION. THE YALE CORPORATION THEN VOTED ON THE COMPENSATION OF EACH OFFICER. THE PROCEEDINGS OF THE COMPENSATION COMMITTEE AND OF THE CORPORATION EACH WERE DOCUMENTED IN MINUTES CONTEMPORANEOUSLY ADOPTED AND RETAINED IN THE RECORDS OF THE RESPECTIVE BODY, ALONG WITH THE DATA ON COMPARABLE COMPENSATION THAT WAS RELIED UPON. OF THE KEY EMPLOYEES, THE COMPENSATION OF THE DEAN, SCHOOL OF MEDICINE WAS RECOMMENDED BY THE PRESIDENT TO THE COMPENSATION COMMITTEE, AND REVIEWED BY THAT COMMITTEE IN CONJUNCTION WITH ITS REVIEW OF THE PERFORMANCE OF THE DEAN AND CONSIDERATION OF DATA ON THE COMPENSATION OF SIMILARLY QUALIFIED INDIVIDUALS IN COMPARABLE POSITIONS AT OTHER INSTITUTIONS COMPILED BY AN INDEPENDENT COMPENSATION CONSULTANT. THE COMPENSATION COMMITTEE VOTED TO APPROVE THE COMPENSATION, AND CONTEMPORANEOUSLY DOCUMENTED ITS PROCEEDINGS IN MINUTES RETAINED IN ITS RECORDS ALONG WITH THE COMPENSATION DATA RELIED UPON. WITH RESPECT TO THE CHIEF INVESTMENTS OFFICER AND SENIOR DIRECTOR OF INVESTMENTS, THE COMPENSATION COMMITTEE VOTED TO APPROVE THE COMPENSATION AFTER CONSIDERATION OF THE RECOMMENDATIONS OF THE PRESIDENT AND OF THE FOLLOWING: REVIEW OF THE PERFORMANCE OF EACH, INVESTMENT PERFORMANCE OF THE YALE ENDOWMENT OVER DESIGNATED PERIODS, OTHER PERFORMANCE-RELATED INFORMATION, AND AN ANALYSIS OF THE COMPENSATION OF SIMILARLY QUALIFIED INDIVIDUALS IN COMPARABLE POSITIONS AT TAXABLE AND TAX-EXEMPT ORGANIZATIONS PREPARED BY AN INDEPENDENT COMPENSATION CONSULTANT RETAINED FOR THE PURPOSE. THE COMPENSATION COMMITTEE CONTEMPORANEOUSLY DOCUMENTED ITS PROCEEDINGS IN MINUTES RETAINED IN ITS RECORDS ALONG WITH THE COMPENSATION DATA RELIED UPON. IN THE CASE OF OTHER KEY EMPLOYEES, COMPENSATION DECISIONS WERE MADE BY THE EMPLOYEE'S SUPERVISOR IN ACCORDANCE WITH UNIVERSITY POLICIES AND PROCEDURES. NO MEMBER OF THE YALE CORPORATION WHO HAD A CONFLICT OF INTEREST WITH RESPECT TO A COMPENSATION ARRANGEMENT DESCRIBED ABOVE (I.E., BY VIRTUE OF A FAMILY OR BUSINESS RELATIONSHIP) PARTICIPATED IN THE COMPENSATION COMMITTEE REVIEW OR THE VOTE OF THE YALE CORPORATION WITH RESPECT TO SUCH COMPENSATION ARRANGEMENT.</p>

Identifier	Return Reference	Explanation
	FORM 990, PART VI, SECTION C, LINE 19	FORM 990, PART VI, SECTION C, LINE 19 THE UNIVERSITY'S GOVERNING DOCUMENTS, INCLUDING ANY AMENDMENTS, FINANCIAL STATEMENTS AND STANDARDS OF BUSINESS CONDUCT ARE AVAILABLE ON THE UNIVERSITY'S WEBSITE OR UPON REQUEST

Identifier	Return Reference	Explanation
	FORM 990, PART VII, SECTION A	<p>COMPENSATION AND HOURS FOR A FORMER OFFICER RELATE TO SERVICES AS AN OFFICER DURING CALENDAR YEAR 2012 COMPENSATION AND HOURS FOR A FORMER KEY EMPLOYEE RELATE TO CONTINUING SERVICE IN A NON-KEY EMPLOYEE POSITION FORM 990, PART VII, SECTION A, COLUMN (A) CONTINUATION OF TITLES FOR EACH LISTED PERSON PETER SALOVEY PROVOST UNTIL 1/14/13, PRESIDENT-ELECT THROUGH 6/30/13, ALSO CHRIS ARGYRIS PROFESSOR OF PSYCHOLOGY AND SCHOOL OF MANAGEMENT AND INST SOC POL STUDIES AND SOCIOLOGY, PROF EPID/PUBLIC HEALTH BENJAMIN POLAK WILLIAM C BRAINARD PROFESSOR OF ECONOMICS, PROFESSOR SCHOOL OF MANAGEMENT BRUCE D ALEXANDER AND CAMPUS DEVELOPMENT ROBERT J ALPERN ENSIGN PROFESSOR OF MEDICINE SCOTT A STROBEL HENRY FORD II PROFESSOR OF MOLECULAR BIOPHYSICS AND BIOCHEMISTRY AND PROFESSOR OF CHEMISTRY, HOWARD HUGHES MEDICAL INSTITUTE PROFESSOR KHALID M ABED ASSOCIATE PROFESSOR OF NEUROSURGERY, DIRECTOR, MINIMALLY INVASIVE SPINE SURGERY & SPINE TUMOR SURGERY DAVID J LEFFELL AND PROFESSOR OF SURGERY, SECTION CHIEF, DERMATOLOGIC SURGERY AND CUTANEOUS ONCOLOGY THOMAS J LYNCH RICHARD SACKLER AND JONATHAN SACKLER PROFESSOR OF INTERNAL MEDICINE THOMAS J RUTHERFORD SECTION CHIEF GYNECOLOGIC ONCOLOGY DAVID D YUH SECTION CHIEF MICHAEL J DONOGHUE STERLING PROFESSOR OF ECOLOGY AND EVOLUTIONARY BIOLOGY, PROFESSOR SCHOOL OF FORESTRY AND ENVIRONMENTAL STUDIES AND GEOLOGY AND GEOPHYSICS, CURATOR OF BOTANY PEABODY MUSEUM</p>

Identifier	Return Reference	Explanation
	FORM 990, PART VII, SECTION A, COLUMN (B)	THE NUMBER OF HOURS REPORTED FOR EACH LISTED PERSON IS AN ESTIMATE. THE ESTIMATE FOR TRUSTEES INCLUDES MEETING TIME AND PREPARATION, AS WELL AS ATTENDANCE AT CERTAIN OFFICIAL UNIVERSITY FUNCTIONS DURING THE YEAR, BUT DOES NOT INCLUDE TRAVEL TIME OR ATTENDANCE AT OTHER EVENTS AS TRUSTEES. FORM 990, PART VII, SECTION B, COLUMN (C) THE AMOUNT REPORTED REPRESENTS DIRECT CASH PAYMENTS MADE DURING THE CALENDAR YEAR 2012 TO INDEPENDENT CONTRACTORS FOR SERVICES. FOR CONSTRUCTION SERVICES, THE FEES REPRESENT THE ENTIRE CONTRACT COST. FORM 990, PART IX, LINE 24 MISCELLANEOUS EXPENSES INCLUDE \$512,317 OF STATE UNRELATED BUSINESS INCOME TAX.

Identifier	Return Reference	Explanation
CHANGES IN NET ASSETS OR FUND BALANCES	FORM 990, PART XI, LINE 9	CHANGE IN FUNDING STATUS OF DEFINED BENEFIT PLANS 306,850,114 ACTUARIAL ADJUSTMENTS FOR SPLIT INTEREST LIABILITY -4,919,455 ROUNDING -720

SCHEDULE R
(Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships
▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
YALE UNIVERSITY

Employer identification number
06-0646973

Part I Identification of Disregarded Entities (Complete if the organization answered "Yes" to Form 990, Part IV, line 33.)					
(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
See Additional Data Table					

Part II Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)							
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
See Additional Data Table							

Part III

Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
See Additional Data Table												

Part IV

Identification of Related Organizations Taxable as a Corporation or Trust (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end- of-year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity?	
								Yes	No
See Additional Data Table									

Part V

Transactions With Related Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34, 35b, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity

b Gift, grant, or capital contribution to related organization(s)

c Gift, grant, or capital contribution from related organization(s)

d Loans or loan guarantees to or for related organization(s)

e Loans or loan guarantees by related organization(s)

f Dividends from related organization(s)

g Sale of assets to related organization(s)

h Purchase of assets from related organization(s)

i Exchange of assets with related organization(s)

j Lease of facilities, equipment, or other assets to related organization(s)

k Lease of facilities, equipment, or other assets from related organization(s)

l Performance of services or membership or fundraising solicitations for related organization(s)

m Performance of services or membership or fundraising solicitations by related organization(s)

n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)

o Sharing of paid employees with related organization(s)

p Reimbursement paid to related organization(s) for expenses

q Reimbursement paid by related organization(s) for expenses

r Other transfer of cash or property to related organization(s)

s Other transfer of cash or property from related organization(s)

Yes

No

1a

No

1b

Yes

1c

Yes

1d

No

1e

No

1f

No

1g

No

1h

No

1i

No

1j

No

1k

No

1l

Yes

1m

Yes

1n

Yes

1o

No

1p

Yes

1q

Yes

1r

No

1s

Yes

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of other organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
See Additional Data Table			

Schedule R (Form 990) 2012

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Software ID:

Software Version:

EIN: 06-0646973

Name: YALE UNIVERSITY

Part VII

Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule R (see instructions)

Identifier	Return Reference	Explanation
	SCHEDULE R, PART I-V	NAME, ADDRESS AND EIN THE FORM 990, SCHEDULE R, LISTS THE NAME OF EACH ENTITY AS OF THE DATE OF THE FORM 990 FILING SCHEDULE R, PART III GENERAL NOTE DISPROPORTIONATE ALLOCATIONS INDICATED IN COLUMN (H) OF PART III GENERALLY RELATE TO I) A GENERAL PARTNER'S TYPICAL CARRIED INTEREST WITH RESPECT TO PRIVATE FUND INVESTMENTS OR II) ALLOCATIONS AMONG 501(C)(3) ORGANIZATIONS THAT ARE PARTNERS OR MEMBERS IN AN INVESTMENT ENTITY SCHEDULE R, PART IV AS OF JUNE 30, 2013, YALE UNIVERSITY HELD MORE THAN 50% OF THE BENEFICIAL INTERESTS IN 53 CHARITABLE REMAINDER TRUSTS OF WHICH 48 WERE DOMICILED IN CONNECTICUT AND THE REMAINDER WERE DOMICILED IN TENNESSEE, PENNSYLVANIA, MASSACHUSETTS, AND NEW JERSEY SCHEDULE R, PART V GENERAL NOTE THIS SCHEDULE REFLECTS ALL TRANSFERS BY YALE UNIVERSITY TO CORPORATIONS, PARTNERSHIPS, OR OTHER ENTITIES CONTROLLED BY YALE AS DEFINED IN IRC SECTION 512(B)(13) AND RELATED TAX EXEMPT ORGANIZATIONS NOT DESCRIBED IN IRC SECTION 501(C)(3) IN SOME INSTANCES, THESE ENTITIES MADE FURTHER TRANSFERS TO OTHER ENTITIES CONTROLLED BY THEM OR YALE UNIVERSITY IN ADDITION, THIS SCHEDULE REFLECTS ALL TRANSFERS TO YALE UNIVERSITY BY CORPORATIONS, PARTNERSHIPS, OR OTHER ENTITIES CONTROLLED BY YALE AS DEFINED IN IRC SECTION 512(B)(13) AND RELATED TAX EXEMPT ORGANIZATIONS NOT DESCRIBED IN IRC SECTION 501(C)(3) IN SOME INSTANCES, THESE ENTITIES RECEIVED TRANSFERS FROM OTHER ENTITIES CONTROLLED BY THEM OR YALE UNIVERSITY

Form 990, Schedule R, Part I - Identification of Disregarded Entities

(a) Name, address, and EIN of disregarded entity	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Total income	(e) End-of-year assets	(f) Direct Controlling Entity
SARDOUS LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 02-0580383	INVESTMENT	DE	2,113,590	46,613,442	YALE UNIVERSITY
FENIX I LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-2863129	INVESTMENT	DE	0	40,014,502	YALE UNIVERSITY
HARBOR HILLS INVESTMENTS LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-0099423	INVESTMENT	DE	0	14,914,436	YALE UNIVERSITY
ENDURANCE LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1606540	INVESTMENT	DE	0	13,983,516	YALE UNIVERSITY
WE 2 CHURCH STREET SOUTH LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 80-0066065	INVESTMENT	CT	587,886	9,097,290	YALE UNIVERSITY
RENAISSANCE I LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-2863298	INVESTMENT	DE	0	4,353,758	YALE UNIVERSITY
ALPHA 9 LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-0982227	INVESTMENT	CT	0	3,451,348	YALE UNIVERSITY
ESV HOLDINGS LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-3980473	INVESTMENT	DE	0	3,086,865	YALE UNIVERSITY
S PROPERTY HOLDING LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-3717440	INVESTMENT	CT	91,398	1,575,007	YALE UNIVERSITY
GSWM INVESTMENTS LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-3717358	INVESTMENT	CT	45,002	1,107,600	YALE UNIVERSITY
PASSIVE HOLDING LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 22-3783661	INVESTMENT	NJ	0	877,366	YALE UNIVERSITY
4610 SOUTH 133RD STREET LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-0646973	INVESTMENT	NE	0	769,458	YALE UNIVERSITY
AMISTAD PARTNERS LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 73-1683601	INVESTMENT	CT	0	599,976	YALE UNIVERSITY
YALE GLOBAL ENTERPRISES LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-0859961	SUPPORTS HIGHER EDUCATION	CT	0	150,000	YALE UNIVERSITY
41 NORTH LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1594874	INVESTMENT	DE	0	8,779	YALE UNIVERSITY
4 MEETING HOUSE ROAD LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-0646973	INVESTMENT	MA	-372,663	0	YALE UNIVERSITY
BETA 14 LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-0982129	INACTIVE	CT	0	0	YALE UNIVERSITY
BLUEFIELD TIMBER LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 02-0520556	INVESTMENT	DE	0	0	41 NORTH LLC
MEDICAL PRACTICE MANAGEMENT LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-1545102	ADMINISTRATIVE SERVICES	CT	0	0	YALE UNIVERSITY
TEMPLE COMPANY LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1579127	INACTIVE	CT	0	0	YALE UNIVERSITY

Form 990, Schedule R, Part I - Identification of Disregarded Entities

(a) Name, address, and EIN of disregarded entity	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Total income	(e) End-of-year assets	(f) Direct Controlling Entity
THE UK FRIENDS OF YALE UNIVERSITY LIMITED C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 98-0552738	ACCEPTS CHARITABLE CONTRIBUTIONS	UK	1,716,140	0	YALE UNIVERSITY
YALE EDUCATIONAL CONSULTING (BEIJING) LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 98-1006940	SUPPORTS HIGHER EDUCATION	CH	0	0	YALE GLOBAL ENTERPRISES LLC
YD SMA LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 46-3365267	INVESTMENT	DE	0	0	YALE UNIVERSITY

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end- of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount on Box 20 of K-1	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
FOURTH CENTURY LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 41-2046537	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	384,761,794	7,007,362,254	Yes		-52,841,259		No	99 800 %
FIFTH CENTURY LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-0763076	INVESTMENT	NV	YALE UNIVERSITY	EXCLUDED FROM TAX	508,982,598	5,020,831,200	Yes		-10,506,301		No	99 800 %
LAUREL 2008 LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1649501	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	108,792,910	1,093,091,852	Yes		54,462		No	99 600 %
YB INSTITUTIONAL LIMITED PARTNERSHIP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 04-3431530	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	55,589,687	738,054,588	Yes		1,491,179		No	98 520 %
CYR HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0788802	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	51,974,558	450,375,590		No			No	99 600 %
BBF I HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8497574	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	74,166,398	425,201,283		No			No	99 600 %
667 LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1609184	INVESTMENT	DE	BBF I HOLDINGS LP	EXCLUDED FROM TAX	74,166,398	425,201,283		No			No	86 290 %
FARALLON CAPITAL INSTITUTIONAL PARTNERS II LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 94-3106692	INVESTMENT	CA	YALE UNIVERSITY	EXCLUDED FROM TAX	40,356,806	371,721,867	Yes		148,211		No	89 400 %
EASTON 2007 LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0627974	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-2,381,910	370,656,523	Yes		-2,108		No	99 600 %
SP II HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0697863	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	98,079,898	369,192,852	Yes		-575,395		No	99 600 %
CRS MASTER FUND LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-2658870	INVESTMENT	CJ	CRS FUND LTD	EXCLUDED FROM TAX	28,358,775	351,818,906		No			No	99 520 %
LONG TERM VALUE FUND LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 98-0623624	INVESTMENT	CJ	LAUREL 2008 LP	EXCLUDED FROM TAX	31,298,073	345,926,732	Yes		289,878		No	98 470 %
STRATEGIC RESIDENTIAL FUND II LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 04-3584406	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	2,003,491	273,415,172		No			No	95 000 %
YELLOW WARBLER LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0342603	INVESTMENT	TX	FOURTH CENTURY LLC	EXCLUDED FROM TAX	388,611	243,138,927		No			No	98 120 %
YHG INVESTMENT LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CJ	GAOLING YALI FEEDER LTD	EXCLUDED FROM TAX	12,596,713	196,155,216		No			No	75 940 %

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Dispropportionate allocations?		(i) Code V-UBI amount on Box 20 of K-1	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
LONE SEQUOIA LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 58-2359627	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	25,280,443	180,020,971	Yes		-76,436		No	48 720 %
KHP FUND II LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5882068	INVESTMENT	CA	FOURTH CENTURY LLC	UNRELATED	-4,644,638	174,459,357		No	-9,362,212		No	60 180 %
PROSPECT STREET PARTNERS LTD C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 59-3041479	INVESTMENT	FL	YALE UNIVERSITY	EXCLUDED FROM TAX	20,606,489	169,422,833		No			No	70 670 %
NOGA PARTNERS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-1192059	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	11,601,250	140,944,719	Yes		8,368		No	90 260 %
JPF V HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2008388	INVESTMENT	NV	FIFTH CENTURY LP	UNRELATED	-1,992,542	136,765,025	Yes		-2,107,296		No	99 600 %
PEPF IV HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0290250	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	3,610,413	129,295,201	Yes		-3,778		No	99 600 %
KHP FUND I LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 65-1232049	INVESTMENT	CA	FOURTH CENTURY LLC	EXCLUDED FROM TAX	46,960,084	123,279,923		No	1,061,577		No	59 080 %
IVP VI HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8699381	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	2,086,335	117,376,418	Yes		-444,411		No	99 600 %
JBG INVESTMENT FUND III LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 52-2384738	INVESTMENT	DE	FOURTH CENTURY LLC	UNRELATED	-2,995,790	116,842,410	Yes		-3,409,877		No	58 660 %
LUXIVER NAWAB LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-3117734	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	4,765,640	112,819,961		No			No	100 000 %
JBG INVESTMENT FUND IV LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 01-0815265	INVESTMENT	DE	FOURTH CENTURY LLC	UNRELATED	3,902,965	105,214,370		No	-5,126,930		No	59 880 %
TDRC II-A LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5025534	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	623,091	102,535,463		No			No	99 600 %
OM HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5073267	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-3,555,242	100,607,461		No			No	99 600 %
LUXIVER LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-1504333	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	-4,256,990	99,698,091		No	-1,806,259		No	100 000 %
NIFL HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8973999	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	589,272	96,166,308		No			No	99 600 %

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end- of-year assets	(h) Disproprtionate allocations?		(i) Code V-UBI amount on Box 20 of K-1	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
STRATEGIC RESIDENTIAL FUND I LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 04-3398268	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	-5,434,340	93,596,095	Yes				No	92 500 %
KTOWN LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-4278453	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	2,654,876	82,447,401	Yes				No	98 110 %
AEF 5 US HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4076676	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	3,431,667	80,994,390		No			No	99 600 %
OMAHA LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CA	OM HOLDINGS LP	EXCLUDED FROM TAX	-3,087,369	80,625,994		No			No	98 600 %
CAUTHEN VI LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8202030	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	-1,518,024	74,631,053		No	-354,304		No	79 840 %
BAYROOT LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-0390410	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	5,663,999	72,515,937	Yes				No	98 800 %
MEP IX LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 75-2537935	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	27,059,870	71,849,290	Yes				No	100 000 %
Y2K PARTNERS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 71-1007459	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	-4,318,961	67,985,653		No			No	99 800 %
M&H REALTY PARTNERS VI LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 57-1182074	INVESTMENT	CA	FOURTH CENTURY LLC	EXCLUDED FROM TAX	28,364	65,484,373	Yes				No	52 760 %
MP-MF HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-2099979	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	18,184,353	65,044,653		No			No	99 600 %
MANASLU FUND LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 98-0615593	INVESTMENT	CJ	MP-MF HOLDINGS LP	EXCLUDED FROM TAX	18,184,354	65,043,739	Yes				No	95 280 %
OSCEOLA LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2008431	INVESTMENT	NV	FIFTH CENTURY LP	UNRELATED	-937,563	64,488,978		No	-991,668		No	79 840 %
CAUTHEN VII LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-3653027	INVESTMENT	DE	FOURTH CENTURY LLC	UNRELATED	-55,032	61,262,572		No	274,169		No	79 840 %
BCAF HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8453177	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	3,398,237	59,159,925		No			No	99 600 %
RCP II HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0444200	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	1,708,505	57,024,321	Yes				No	99 600 %

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(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end- of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount on Box 20 of K-1	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
WOODWAY LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1206761	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-4,402,657	55,058,699		No			No	79 840 %
GEF II HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0348232	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	8,207,144	52,915,845	Yes		-319,882		No	99 600 %
GHEP III HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-3853985	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	7,336,748	51,229,935		No			No	99 600 %
YADKIN LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2707395	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	1,891,867	49,449,189		No			No	79 840 %
JPS HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8909921	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX		46,451,125		No			No	99 600 %
AEF 6 US HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-2867531	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	2,096,759	46,086,331		No			No	99 600 %
SEDGWICK LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0483594	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	1,369,498	45,710,878		No			No	79 840 %
ALAMANCE LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2575524	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	1,303,664	45,007,834		No			No	79 840 %
CYR FUND LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0270646	INVESTMENT	DE	CYR HOLDINGS LP	EXCLUDED FROM TAX	10,430,730	44,570,745		No			No	94 830 %
YADKIN III LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-2477930	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	-183,139	43,392,049		No			No	79 840 %
MERRIWEATHER LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 04-3664410	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	-198,297	42,745,291	Yes				No	98 800 %
ANACONDA LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CA	FIFTH CENTURY LP	EXCLUDED FROM TAX	3,499,003	41,443,565		No			No	98 800 %
LCPF V HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2776532	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	8,109,162	40,575,220		No			No	99 600 %
FIF IV (CFA) HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4764198	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	5,565,695	39,321,885		No			No	99 600 %
GARFIELD LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4592367	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	6,964,381	37,829,313		No			No	79 840 %

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end- of-year assets	(h) Disproprtionate allocations?		(i) Code V-UBI amount on Box 20 of K-1	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
GLOSTER LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-1263980	INVESTMENT	DE	FOURTH CENTURY LLC	UNRELATED	-287,227	36,901,395		No	-338,310		No	79 840 %
BCVF 2007 HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5965183	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	8,899,834	36,170,153		No			No	99 600 %
CALVERT VI LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1603346	INVESTMENT	NV	FIFTH CENTURY LP	UNRELATED	-244,948	35,608,437		No	-340,591		No	79 840 %
NLVF II HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1545222	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	61,025	33,985,798		No			No	99 600 %
RIDGEFIELD VIII LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1603389	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	266,400	33,559,383		No			No	79 840 %
FRC II HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1670120	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	1,374,576	32,571,941		No			No	99 600 %
GREENFIELD LAND PARALLEL PARTNERS I LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4411467	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	-3,806,104	32,368,501		No	-592,193		No	98 800 %
RCP HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2008465	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	8,592,477	30,267,604		No			No	99 600 %
WYNNWOOD VIII LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-3294405	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	8,746,432	29,962,098		No	-1,687,666		No	79 840 %
ALDERBROOK LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2939768	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	909,380	29,892,071		No	114,561		No	79 840 %
RIDGEFIELD LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2022850	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	14,716,562	29,723,345		No			No	79 840 %
RAMSGATE LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-0419757	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX		29,268,626		No			No	79 840 %
RAMSGATE VIII LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5259910	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX		28,441,061		No			No	79 840 %
SP 8 HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0558478	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-674,159	28,262,840		No			No	99 600 %
ALAMANCE A LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-3484520	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	802,254	27,696,731		No			No	79 840 %

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							Yes	No		Yes	No	
HEVP HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4982016	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	3,517,855	27,393,045		No			No	99 600 %
YUMA LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1584783	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	168,943	26,929,916		No	-656		No	79 840 %
ALAMANCE VII LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-2046522	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	420,418	26,923,663		No			No	79 840 %
CALVERT LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-3960036	INVESTMENT	NV	FIFTH CENTURY LP	UNRELATED	-2,539,075	26,800,080		No	-1,415,594		No	79 840 %
FULTON LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0165041	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	6,498,163	26,445,386		No			No	79 840 %
ALAMANCE VI LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8558864	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	1	26,364,427		No			No	79 840 %
IRIS 2009 LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-4100777	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	1,824,845	26,295,309		No			No	99 600 %
UPTON LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5778792	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX		25,676,542		No			No	79 840 %
HAWTHORNE III LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0854590	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX		25,271,912		No			No	79 840 %
EDMUNDS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4257832	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	2,333,856	24,418,186		No	22,924		No	79 840 %
HEVP II HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1828824	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	11,310	24,318,876		No			No	99 600 %
TYPHOON LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 01-0685132	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	1,092,714	23,948,817	Yes				No	91 270 %
UHLE LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-3981909	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	1,725,269	23,378,945		No	155,956		No	79 840 %
IVA II HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2652041	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-20,012	22,696,280		No			No	99 600 %
WYNNWOOD II LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5548320	INVESTMENT	NV	FIFTH CENTURY LP	UNRELATED	1,723,847	22,417,592		No	-965,939		No	79 840 %

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							Yes	No		Yes	No	
FARALLON CAPITAL INSTITUTIONAL PARTNERS 25 LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 94-3388317	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	-545,703	21,443,437	Yes		-302,622		No	87 100 %
MACOMB IX LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5025458	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	2,219,511	20,749,680		No	11,106		No	79 840 %
DENT LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4202230	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	873,282	20,597,445		No			No	79 840 %
GOLDSBORO LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-1996058	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-1,518,717	20,409,474		No	-578,758		No	79 840 %
VOLTA LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-3738749	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-808,869	20,264,531		No	-509,521		No	79 840 %
CHICAGO LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CA	FIFTH CENTURY LP	EXCLUDED FROM TAX	493,856	20,008,106		No			No	98 800 %
GLOSTER III LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8833953	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX		18,970,309		No			No	79 840 %
DENT VI LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-2867610	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	849,825	18,679,020		No			No	79 840 %
KCF HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8861865	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	44,360	18,476,960		No			No	99 600 %
VOLTA IV LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-0914710	INVESTMENT	DE	FOURTH CENTURY LLC	UNRELATED	320,742	18,342,683		No	265,411		No	79 840 %
CAUTHEN VIII LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-2750613	INVESTMENT	DE	FOURTH CENTURY LLC	UNRELATED	144,819	18,317,191		No	109,787		No	79 840 %
HUIDIKOPER LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-4196504	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	1,219,001	17,567,505		No			No	79 840 %
RAMSGATE IX LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8833887	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX		17,466,949		No			No	79 840 %
IVP V COINVESTMENT HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2707519	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	366,470	16,778,584		No			No	99 600 %
SCOTT LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-3616316	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	150,159	16,286,016		No	42,228		No	79 840 %

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							Yes	No		Yes	No	
WINDOM LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1768804	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	3,425,076	15,769,974		No	-39,606		No	79 840 %
SANGAMORE II LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2672293	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	1,865,226	15,712,569		No	-9,813		No	79 840 %
OGDEN LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-1212114	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	8,910,072	15,335,937		No			No	79 840 %
SPOP II CP HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8685452	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-76,152	15,037,642		No			No	99 600 %
CORBIN LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-1263962	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	30,397	15,001,398		No	-17,893		No	79 840 %
FRESHET WIND ENERGY LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 33-1200160	INVESTMENT	DE	FWEB LLC	EXCLUDED FROM TAX	-5,171,189	14,786,901		No			No	79 840 %
WESTPATH LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2297672	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	622,900	14,636,102		No	-2		No	79 840 %
SANGAMORE III LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0753284	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	982,190	14,252,780		No	1,888		No	79 840 %
YANKEE FOREST LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 04-3384575	INVESTMENT	NH	YALE UNIVERSITY	EXCLUDED FROM TAX	-1,207,360	13,983,516	Yes				No	99 000 %
QUINN LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-3879664	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	9,862	13,866,244		No			No	79 840 %
ORDWAY LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5189347	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	3,020,904	13,543,545		No	-5,971		No	79 840 %
ECP II HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0151337	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	10,301,320	13,219,846		No			No	99 600 %
WHITEHAVEN X LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-5205346	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	265,852	13,209,225		No			No	79 840 %
BOF HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5923569	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	2,261,137	13,187,056	Yes		-1,005,515		No	99 600 %
SALINA FORESTRY LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CA	OM HOLDINGS LP	EXCLUDED FROM TAX	-467,873	13,183,257		No			No	98 600 %

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							Yes	No		Yes	No	
CAUTHEN LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2776143	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	-193,036	12,236,741		No	-69,005		No	79 840 %
TILDEN II LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-4210952	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	493	11,493,212		No			No	79 840 %
MEP IV HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-0646713	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	3,426,319	11,473,613		No			No	99 600 %
BE III HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5495967	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-626,597	11,005,785		No			No	99 600 %
VEITCH LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-0999084	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	2,240	10,719,430		No			No	79 840 %
WHITEHAVEN LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-3915778	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	359,272	10,696,693		No			No	79 840 %
ODE LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 37-1567347	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX		10,105,700		No			No	79 840 %
HARBOR HILLS HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 02-0729602	INVESTMENT	DE	YALE UNIVERSITY	UNRELATED	-370,581	9,221,343	Yes		-374,115		No	55 000 %
CORBIN VI LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-0773197	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	353,525	9,192,949		No			No	79 840 %
YADKIN IV LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 46-1569715	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX		8,938,038		No			No	79 840 %
WYNNWOOD LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-1363230	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	2,961,810	8,662,485		No	90,508		No	79 840 %
RAMSGATE X LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-3616177	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX		8,512,533		No			No	79 840 %
DUMBARTON LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-3919432	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	7,953,375	8,179,580		No			No	79 840 %
LORTON LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-4238865	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	11,599	7,996,536		No	-1,494		No	79 840 %
HARBOR HILLS DEVELOPMENT LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 16-1453802	INVESTMENT	DE	HARBOR HILLS HOLDINGS LP	UNRELATED	-67,835	7,845,322	Yes		-71,369		No	54 450 %

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							Yes	No		Yes	No	
MACOMB VIII LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-5681131	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	521,630	7,821,775		No	57,566		No	79 840 %
WILSON LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2232279	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	305,985	7,727,499		No			No	79 840 %
CRANBROOK LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-3115503	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	1,363,476	6,847,087		No			No	79 840 %
NEWINGTON LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-0763113	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	609,824	6,721,755		No			No	79 840 %
TILDEN LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8558781	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	436,897	6,527,622		No			No	79 840 %
CLEVELAND LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 90-0743297	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	259	6,437,653		No			No	79 840 %
AVON LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4056103	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	-159,361	6,373,552		No	10,133		No	79 840 %
RAMSGATE IX A LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-1797786	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX		6,351,617		No			No	79 840 %
FESSENDEN LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-2270383	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	690,701	6,133,684		No			No	79 840 %
NEWBURN LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-0365032	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	1,432,795	5,967,453		No			No	79 840 %
KLINGLE LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-4592461	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	1,764,571	5,784,690		No	11		No	79 840 %
KENWOOD LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 38-3863347	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	34	5,731,539		No			No	79 840 %
POE LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 26-2765485	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	12,013,855	5,698,778		No	122,422		No	79 840 %
DENT A LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8725065	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	227,053	5,355,349		No			No	79 840 %
DANVILLE LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-3531407	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX		4,932,257		No			No	79 840 %

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end- of-year assets	(h) Dispropportionate allocations?		(i) Code V-UBI amount on Box 20 of K-1	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
FARALLON DEPOT INVESTORS LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 94-3309848	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	-10,155,467	4,198,813		No	-2,658,978		No	70 000 %
FILLMORE LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-3783115	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	61	3,602,398		No			No	79 840 %
RADFORD LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-5470954	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX		3,350,377		No			No	79 840 %
WELBORN LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-1212127	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	996,943	2,472,327		No			No	79 840 %
HERNDON LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 37-1659934	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	144	2,410,462		No			No	79 840 %
SF-NEW PARTNERS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 92-0179258	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	260,902	2,207,828		No			No	95 770 %
SANGAMORE LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-0357077	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX	1,632,648	1,804,661		No	-84,111		No	79 840 %
LORTON-A LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 45-4239136	INVESTMENT	DE	FOURTH CENTURY LLC	EXCLUDED FROM TAX		1,753,123		No			No	79 840 %
100 HOWE STREET ASSOCIATES LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1386762	INVESTMENT	CT	YALE UNIVERSITY	EXCLUDED FROM TAX	190,898	472,608	Yes				No	97 080 %
4620 ASSOCIATES LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 13-4036667	INVESTMENT	DE	YALE UNIVERSITY	EXCLUDED FROM TAX	90,958	412,324		No			No	83 990 %
HARBOR HILLS UTILITIES LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 16-1453800	INVESTMENT	DE	HARBOR HILLS HOLDINGS LP	UNRELATED	-94,347	228,967	Yes		-94,347		No	54 450 %
94 HOWE STREET ASSOCIATES LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1428914	INVESTMENT	CT	YALE UNIVERSITY	EXCLUDED FROM TAX	47,471	157,404	Yes				No	91 930 %
LUNXUS WIND ENERGY LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 33-1200159	INVESTMENT	DE	FOURTH CENTURY LLC	UNRELATED	-4,742,511	142,931		No	-4,752,442		No	79 840 %
HIGHLAND WIND HOLDINGS LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 33-1200162	INVESTMENT	DE	LUNXUS WIND ENERGY LLC	UNRELATED	-4,709,748	141,585		No	-4,719,614		No	71 860 %
STARFAR I PARTNERS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 94-3205101	INVESTMENT	DE	YALE UNIVERSITY	UNRELATED	-7,020	77,678		No	-7,020		No	60 830 %

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end- of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount on Box 20 of K-1	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
EPP HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-8209090	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX				No			No	99 600 %
YD VALUE FUND LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 76-0803115	INVESTMENT	DE	YVF HOLDINGS LP	EXCLUDED FROM TAX	184,499		Yes				No	
YVF HOLDINGS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-3708621	INVESTMENT	NV	FIFTH CENTURY LP	EXCLUDED FROM TAX	7,832,784			No			No	
YXY PARTNERS LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-2078133	INVESTMENT	DE	FIFTH CENTURY LP	EXCLUDED FROM TAX	3,355,346			No			No	
HARBOR HILLS COUNTRY CLUB LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 16-1453797	INVESTMENT	DE	HARBOR HILLS HOLDINGS LP	UNRELATED	-208,399	-3,119,221	Yes		-208,399		No	54 450 %

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end- of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No
NEW FYI LTD C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CJ	YALE UNIVERSITY	C	213,571,944	950,138,472	100 000 %	Yes	
CRS FUND LTD C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 90-0314487	INVESTMENT	CJ	CYR HOLDINGS LP	C	128,829,261	395,027,258	99 600 %	Yes	
THE CAERULEUS FUND C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CJ	FOURTH CENTURY LLC	C	60,061,481	302,263,017	99 720 %	Yes	
FOURTH CENTURY TRUST C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 20-6699631	INVESTMENT	CT	YALE UNIVERSITY	T	21,123,618	184,431,427	100 000 %	Yes	
GAOLING YALI FEEDER LTD C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CJ	FOURTH CENTURY LLC	C	20,006,552	182,078,552	99 800 %	Yes	
PWEB LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-3304430	INVESTMENT	DE	FOURTH CENTURY LLC	C	-17,436,852	123,996,540	99 800 %	Yes	
YB CAYMAN LTD C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CJ	YB INSTITUTIONAL LP	C	5,881,363	72,368,349	98 520 %	Yes	
ARMINIUS REAL ESTATE OPPORTUNITY FEEDER FUND II LP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	UK	FOURTH CENTURY LLC	C	-8,181,942	64,067,907	90 730 %	Yes	
YB CAYMAN A LIMITED C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CJ	YB INSTITUTIONAL LP	C		52,446,865	98 520 %	Yes	
LTR FOCUS FUND C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	MP	YALE UNIVERSITY	C	224,049	30,119,202	100 000 %	Yes	
3148591 NOVA SCOTIA LIMITED C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CA	OM HOLDINGS LP	C	2,265,504	21,804,073	98 600 %	Yes	
LP VALUE LTD C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	VQ	YALE UNIVERSITY	C	-4,255,501	18,983,853	90 070 %	Yes	
CONNECTICUT BOOLA INC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-0798544	INVESTMENT	CT	YALE UNIVERSITY	C	1,196,999	15,122,088	100 000 %	Yes	
NCH INVESTORS FUND (YU) CORP C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CJ	FOURTH CENTURY LLC	C	-527,399	13,841,225	99 700 %	Yes	
FWEB LLC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 27-3304506	INVESTMENT	DE	FOURTH CENTURY LLC	C	4,424	4,687,835	99 800 %	Yes	

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end- of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No
3215186 NOVA SCOTIA LIMITED C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CA	OM HOLDINGS LP	C	799,469	2,149,859	98 600 %	Yes	
2089841 ONTARIO LIMITED C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CA	FIFTH CENTURY LP	C	1,481	1,944,093	98 800 %	Yes	
MANASLU PARTNERS LTD C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CJ	MP-MF HOLDINGS LP	C	2,087,330	1,528,510	99 600 %	Yes	
LAKE PLACE TRUST C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-6484239	INVESTMENT	CT	YALE UNIVERSITY	T	-158,716	1,347,251	100 000 %	Yes	
HARBOR HILLS COUNTRY CLUB INC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 16-1452585	INVESTMENT	DE	HARBOR HILLS HOLDINGS LP	C	17	30,501	55 000 %	Yes	
HARBOR HILLS SALES INC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 59-3343340	INVESTMENT	FL	HARBOR HILLS HOLDINGS LP	C		550	55 000 %	Yes	
DRAMA PRODUCTIONS INC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-0851103	PERFORMING ARTS	CT	YALE UNIVERSITY	C	832,424	304	100 000 %	Yes	
HIP INVESTORS INC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 75-2546639	INVESTMENT	TX	YALE UNIVERSITY	C			88 890 %	Yes	
JOHN E POLLARD TRUST C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-0646973	INVESTMENT	CT	YALE UNIVERSITY	T			100 000 %	Yes	
YALE UNIVERSITY HONG KONG TRUST C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	ACCEPTS CHARITABLE CONTRIBUTIONS	HK	YALE UNIVERSITY	T	2,002,523		100 000 %	Yes	
YORK STREET ASSOCIATES INC C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239 06-1174464	INACTIVE	CT	YALE UNIVERSITY	C			100 000 %	Yes	
CHARITABLE POOLED INCOME FUNDS (3) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CT	VARIOUS	T					No
CHARITABLE REMAINDER TRUSTS (53) C/O YALE UNIVERSITY PO BOX 208239 NEW HAVEN, CT 065208239	INVESTMENT	CT	YALE UNIVERSITY	T					No

--> **Form 990, Schedule R, Part V - Transactions With Related Organizations**

(a) Name of other organization	(b) Transaction type(a-s)	(c) Amount Involved	(d) Method of determining amount involved
100 HOWE STREET ASSOCIATES LLC	S	136,680	CASH VALUE
3C CORPORATION	B	2,102,983	CASH VALUE
3C CORPORATION	S	7,866,567	CASH VALUE
5C CORPORATION	B	9,400,947	CASH VALUE
5C CORPORATION	S	2,584,253	CASH VALUE
4620 ASSOCIATES LLC	S	65,291	CASH VALUE
DRAMA PRODUCTIONS INC	P	832,424	CASH VALUE
FARALLON CAPITAL INSTITUTIONAL PARTNERS 25 LP	B	152,997	CASH VALUE
FARALLON CAPITAL INSTITUTIONAL PARTNERS 25 LP	S	2,181,390	CASH VALUE
FARALLON CAPITAL INSTITUTIONAL PARTNERS II LP	S	5,120,608	CASH VALUE
FARALLON CAPITAL INSTITUTIONAL PARTNERS II LP	B	20,630,247	CASH VALUE
FARALLON DEPOT INVESTORS LLC	B	296,329	CASH VALUE
FIFTH CENTURY LP	B	488,982,189	CASH VALUE
FIFTH CENTURY LP	S	773,529,943	CASH VALUE
FOURTH CENTURY LLC	S	909,768,176	CASH VALUE
FOURTH CENTURY LLC	B	1,049,388,513	CASH VALUE
HARBOR HILLS HOLDINGS LP	B	1,600,000	CASH VALUE
LP VALUE LTD	S	32,733,970	CASH VALUE
LTR FOCUS FUND	B	100,000,000	CASH VALUE
LUXIVER LP	B	35,000,000	CASH VALUE
LUXIVER NAWAB LP	S	1,700,000	CASH VALUE
NEW FYI LTD	S	2,600,000	CASH VALUE
NEW FYI LTD	B	75,000,000	CASH VALUE
PAUL MELLON CENTRE FOR STUDIES IN BRITISH ART	B	4,581,721	CASH VALUE
PROSPECT STREET PARTNERS LTD	S	50,000,000	CASH VALUE

Form 990, Schedule R, Part V - Transactions With Related Organizations

(a) Name of other organization	(b) Transaction type(a-s)	(c) Amount Involved	(d) Method of determining amount involved
PROSPECT STREET PARTNERS LTD	B	75,000,000	CASH VALUE
STOECKEL E B RESIDUE TRUST	C	300,000	CASH VALUE
STRATEGIC RESIDENTIAL FUND I LLC	B	3,811,000	CASH VALUE
STRATEGIC RESIDENTIAL FUND II LLC	M	777,813	CASH VALUE
STRATEGIC RESIDENTIAL FUND II LLC	S	86,465,981	CASH VALUE
THE CHIEF EXECUTIVE LEADERSHIP INSTITUTE OF THE YALE SCHOOL OF MANAGEMENT	C	400,000	CASH VALUE
YALE UNIVERSITY ELIZABETHAN CLUB CORP	Q	75,531	CASH VALUE
YALE UNIVERSITY ELIZABETHAN CLUB CORP	B	530,269	CASH VALUE
YALE UNIVERSITY HONG KONG TRUST	C	2,004,645	CASH VALUE
YALE UNIVERSITY PRESS LONDON	L	798,000	CASH VALUE
YALE UNIVERSITY PRESS LONDON	M	1,720,000	CASH VALUE
YALE UNIVERSITY RETIREE HEALTH BENEFITS COVERAGE TRUST	Q	33,996,959	CASH VALUE
YALE UNIVERSITY RETIREE HEALTH BENEFITS COVERAGE TRUST	B	72,776,500	CASH VALUE
YANKEE FOREST LLC	B	990,000	CASH VALUE

TY 2012 Affiliated Group Schedule

Name: YALE UNIVERSITY
EIN: 06-0646973

Affiliated Group Business Name:		YALE UNIVERSITY
Address. Either US or Foreign Type:		PO BOX 208239 NEW HAVEN, CT 06520
EIN:		06-0646973
Electing Organization Checkbox:		<input checked="" type="checkbox"/>
Total Grassroots Lobbying:	18,971	
Total Direct Lobbying:	588,000	
Total Lobbying Expenditures:	606,971	
Other Exempt Purpose Expenditures:	3,153,105,551	
Total Exempt Purpose Expenditures:	3,153,712,522	
Lobbying Nontaxable Amount:	1,000,000	
Grassroots Nontaxable Amount:	250,000	
Tot Lobbying Grassroot Minus Non Tx:	0	
Tot Lobby Expend Mns Lobbying Non Tx:	0	
Share Of Excess Lobbying:	0	

Affiliated Group Business Name:		3C CORPORATION
Address. Either US or Foreign Type:		PO BOX 208239 NEW HAVEN, CT 06520
EIN:		41-2046529
Electing Organization Checkbox:		<input type="checkbox"/>
Total Grassroots Lobbying:	0	
Total Direct Lobbying:	0	
Total Lobbying Expenditures:	0	
Other Exempt Purpose Expenditures:	0	
Total Exempt Purpose Expenditures:	0	
Lobbying Nontaxable Amount:	0	
Grassroots Nontaxable Amount:	0	
Tot Lobbying Grassroot Minus Non Tx:	0	
Tot Lobby Expend Mns Lobbying Non Tx:	0	
Share Of Excess Lobbying:	0	

Affiliated Group Business Name:		5C CORPORATION
Address. Either US or Foreign Type:		PO BOX 208239 NEW HAVEN, CT 06520
EIN:		20-0763050
Electing Organization Checkbox:		<input type="checkbox"/>
Total Grassroots Lobbying:	0	
Total Direct Lobbying:	0	
Total Lobbying Expenditures:	0	
Other Exempt Purpose Expenditures:	0	
Total Exempt Purpose Expenditures:	0	
Lobbying Nontaxable Amount:	0	
Grassroots Nontaxable Amount:	0	
Tot Lobbying Grassroot Minus Non Tx:	0	
Tot Lobby Expend Mns Lobbying Non Tx:	0	
Share Of Excess Lobbying:	0	

Affiliated Group Business Name:		ALUMNI FUND ASSOCIATION OF YALE UNIVERSITY
Address. Either US or Foreign Type:		157 CHURCH STREET NEW HAVEN, CT 06520
EIN:		06-6078326
Electing Organization Checkbox:		<input type="checkbox"/>
Total Grassroots Lobbying:	0	
Total Direct Lobbying:	0	
Total Lobbying Expenditures:	0	
Other Exempt Purpose Expenditures:	0	
Total Exempt Purpose Expenditures:	0	
Lobbying Nontaxable Amount:	0	
Grassroots Nontaxable Amount:	0	
Tot Lobbying Grassroot Minus Non Tx:	0	
Tot Lobby Expend Mns Lobbying Non Tx:	0	
Share Of Excess Lobbying:	0	

Affiliated Group Business Name:	BOARD OF TRUSTEES OF THE SHEFFIELD SCIENTIFIC SCHOOL		
Address. Either US or Foreign Type:	PO BOX 208239 NEW HAVEN, CT 06520		
EIN:	06-6032565		
Electing Organization Checkbox:	<input type="checkbox"/>		
Total Grassroots Lobbying:	0		
Total Direct Lobbying:	0		
Total Lobbying Expenditures:	0		
Other Exempt Purpose Expenditures:	17,369,534		
Total Exempt Purpose Expenditures:	17,369,534		
Lobbying Nontaxable Amount:	1,000,000		
Grassroots Nontaxable Amount:	250,000		
Tot Lobbying Grassroot Minus Non Tx:	0		
Tot Lobby Expend Mns Lobbying Non Tx:	0		
Share Of Excess Lobbying:	0		

Affiliated Group Business Name:	THE CHIEF EXECUTIVE LEADERSHIP INST OF THE YALE SCHOOL OF MANAGEMENT INC		
Address. Either US or Foreign Type:	101 CONSTITUTION AVUENUE SUITE 900 EAST WASHINGTON, DC 20001		
EIN:	58-2617787		
Electing Organization Checkbox:	<input type="checkbox"/>		
Total Grassroots Lobbying:	0		
Total Direct Lobbying:	0		
Total Lobbying Expenditures:	0		
Other Exempt Purpose Expenditures:	1,589,377		
Total Exempt Purpose Expenditures:	1,589,377		
Lobbying Nontaxable Amount:	229,469		
Grassroots Nontaxable Amount:	57,367		
Tot Lobbying Grassroot Minus Non Tx:	0		
Tot Lobby Expend Mns Lobbying Non Tx:	0		
Share Of Excess Lobbying:	0		

Affiliated Group Business Name: THE NEW CLINICAL PROGRAM DEVELOPMENT CORPORATION

Address. Either US or Foreign Type: 789 HOWARD AVENUE
NEW HAVEN, CT 06519

EIN: 06-1565494

Electing Organization Checkbox: ☐

Total Grassroots Lobbying: 0
Total Direct Lobbying: 0
Total Lobbying Expenditures: 0
Other Exempt Purpose Expenditures: 8,484,098
Total Exempt Purpose Expenditures: 8,484,098
Lobbying Nontaxable Amount: 574,205
Grassroots Nontaxable Amount: 143,551
Tot Lobbying Grassroot Minus Non Tx: 0
Tot Lobby Expend Mns Lobbying Non Tx: 0
Share Of Excess Lobbying: 0

Affiliated Group Business Name: YALE SOUTHERN OBSERVATORY INC

Address. Either US or Foreign Type: PO BOX 208239
NEW HAVEN, CT 06520

EIN: 06-6068906

Electing Organization Checkbox: ☐

Total Grassroots Lobbying: 0
Total Direct Lobbying: 0
Total Lobbying Expenditures: 0
Other Exempt Purpose Expenditures: 54,292
Total Exempt Purpose Expenditures: 54,292
Lobbying Nontaxable Amount: 10,858
Grassroots Nontaxable Amount: 2,715
Tot Lobbying Grassroot Minus Non Tx: 0
Tot Lobby Expend Mns Lobbying Non Tx: 0
Share Of Excess Lobbying: 0

Affiliated Group Business Name:		YALE UNIVERSITY RETIREE HEALTH BENEFITS COVERAGE TRUST
Address. Either US or Foreign Type:		PO BOX 208239 NEW HAVEN, CT 06520
EIN:		06-6414276
Electing Organization Checkbox:		<input type="checkbox"/>
Total Grassroots Lobbying:	0	
Total Direct Lobbying:	0	
Total Lobbying Expenditures:	0	
Other Exempt Purpose Expenditures:	31,811,417	
Total Exempt Purpose Expenditures:	31,811,417	
Lobbying Nontaxable Amount:	1,000,000	
Grassroots Nontaxable Amount:	250,000	
Tot Lobbying Grassroot Minus Non Tx:	0	
Tot Lobby Expend Mns Lobbying Non Tx:	0	
Share Of Excess Lobbying:	0	

Affiliated Group Business Name:		YALE NEW HAVEN MEDICAL CENTER INC
Address. Either US or Foreign Type:		20 YORK STREET NEW HAVEN, CT 06510
EIN:		23-7440891
Electing Organization Checkbox:		<input type="checkbox"/>
Total Grassroots Lobbying:	0	
Total Direct Lobbying:	0	
Total Lobbying Expenditures:	0	
Other Exempt Purpose Expenditures:	1,048,653	
Total Exempt Purpose Expenditures:	1,048,653	
Lobbying Nontaxable Amount:	179,865	
Grassroots Nontaxable Amount:	44,966	
Tot Lobbying Grassroot Minus Non Tx:	0	
Tot Lobby Expend Mns Lobbying Non Tx:	0	
Share Of Excess Lobbying:	0	

Affiliated Group Business Name:

THE GRUBER FOUNDATION

**Address. Either US or Foreign
Type:**

PO BOX 208239
NEW HAVEN, CT 06520

EIN:

45-1540594

Electing Organization Checkbox:



Total Grassroots Lobbying: 0

Total Direct Lobbying: 0

Total Lobbying Expenditures: 0

**Other Exempt Purpose
Expenditures:** 6,293,423

**Total Exempt Purpose
Expenditures:** 6,293,423

Lobbying Nontaxable Amount: 464,671

Grassroots Nontaxable Amount: 116,168

**Tot Lobbying Grassroot Minus Non
Tx:** 0

**Tot Lobby Expend Mns Lobbying
Non Tx:** 0

Share Of Excess Lobbying: 0

Additional Data

Software ID:

Software Version:

EIN: 06-0646973

Name: YALE UNIVERSITY

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
RICHARD C LEVIN TRUSTEE AND PRESIDENT	50 00 2 20	X		X				1,356,767	0	483,517
BYRON G AUGUSTE TRUSTEE	5 00 0 00	X						0	0	0
EDWARD P BASS TRUSTEE	5 00 0 00	X						0	0	0
JEFFREY L BEWKES TRUSTEE	5 00 0 00	X						0	0	0
MAUREEN C CHIQUET TRUSTEE	5 00 0 00	X						0	0	0
FRANCISCO G CIGARROA TRUSTEE	5 00 0 00	X						0	0	0
PETER B DERVAN TRUSTEE	5 00 0 00	X						0	0	0
DONNA L DUBINSKY TRUSTEE	5 00 0 00	X						0	0	0
MIMI GARDNER GATES TRUSTEE	5 00 0 00	X						0	0	0
CHARLES W GOODYEAR IV TRUSTEE	5 00 0 00	X						0	0	0
PAUL L JOSKOW TRUSTEE	5 00 0 00	X						0	0	0
NEAL L KENY-GUYER TRUSTEE	5 00 0 00	X						0	0	0
DANNEL P MALLOY TRUSTEE (EX-OFFICIO)	0 00 0 00	X						0	0	0
MARGARET H MARSHALL TRUSTEE	5 00 0 00	X						0	0	0
INDRA NOOYI TRUSTEE	5 00 0 00	X						0	0	0
E JOHN RICE JR TRUSTEE	5 00 0 00	X						0	0	0
KEVIN P RYAN TRUSTEE	5 00 0 00	X						0	0	0
DOUGLAS A WARNER III TRUSTEE	5 00 0 00	X						0	0	0
NANCY WYMAN TRUSTEE (EX-OFFICIO)	0 00 0 00	X						0	0	0
FAREED ZAKARIA TRUSTEE	5 00 0 00	X						0	0	0
PETER SALOVEY PROVOST (UNTIL 1/14/13), PRES-ELECT	50 00 20			X				600,976	0	42,341
BENJAMIN POLAK PROVOST (EFFECTIVE 1/14/13)	50 00 20			X				286,796	0	32,697
BRUCE D ALEXANDER VP FOR NEW HAVEN & STATE AFFAIRS	50 00 0 00			X				512,890	0	41,460
KIMBERLY M GOFF-CREWS SECRETARY AND VP FOR STUDENT LIFE	50 00 1 00			X				241,735	0	17,821
SHAUNA R KING VP FOR FINANCE & BUSINESS OPERATIONS	50 00 1 20			X				551,849	0	36,120

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		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
LINDA K LORIMER VP OF THE UNIVERSITY	50 00 2 00			X				1,694,044	0	54,723
JOAN E O'NEILL VP FOR DEVELOPMENT	50 00 40			X				343,743	0	96,086
MICHAEL A PEEL VP FOR HR & ADMINISTRATION	50 00 0 00			X				535,729	0	47,716
DOROTHY K ROBINSON VP & GENERAL COUNSEL	50 00 20			X				1,627,768	0	38,407
ROBERT J ALPERN DEAN SCHOOL OF MEDICINE	50 00 0 00				X			792,733	0	31,547
JOHN H BOLLIER AVP FOR FACILITIES	50 00 0 00				X			341,977	0	64,202
SCOTT A STROBEL VP WEST CAMPUS PLAN & PROG DEVEL	50 00 0 00				X			365,256	0	52,235
DAVID F SWENSEN CHIEF INVESTMENT OFFICER	50 00 3 40				X			2,633,535	0	463,843
DEAN J TAKAHASHI SR DIRECTOR INVESTMENTS	50 00 2 00				X			1,972,231	0	234,097
KHALID M ABBED CHIEF, YALE SPINE INSTITUTE	50 00 0 00					X		1,087,843	0	57,564
DAVID J LEFFELL DAVID P SMITH PROF OF DERMATOLOGY	50 00 0 00					X		1,395,877	0	58,996
THOMAS J LYNCH DIRECTOR, YALE CANCER CENTER	50 00 0 00					X		1,016,198	0	57,936
THOMAS J RUTHERFORD PROFESSOR, OB/GYN	50 00 0 00					X		1,320,221	0	39,596
DAVID D YUH PROFESSOR OF CARDIAC SURGERY	50 00 0 00					X		999,175	0	58,009
INGEBORG T REICHENBACH FORMER VP FOR DEVELOPMENT	50 00 0 00						X	411,610	0	4,769
MICHAEL J DONOGHUE FORMER VP W CAMPUS PLAN & PROG	50 00 0 00						X	292,480	0	48,090