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Form **990-PF**

**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

OMB No 1545-0052

2012

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

Open to Public Inspection

For calendar year 2012 or tax year beginning 6/1/2012 , **and ending** 5/31/2013

Name of foundation: **MOORE, BERNICE H T/U/W**

Number and street (or P O box number if mail is not delivered to street address): **Wells Fargo Bank N A Trust Tax Dept - 1 W 4th St 4th Floor MAC D4000-041**

Room/suite: _____

City or town, state, and ZIP code: **Winston Salem NC 27101-3818**

A Employer identification number: **95-6774204**

B Telephone number (see instructions): **(888) 730-4933**

C If exemption application is pending check here

D 1. Foreign organizations check here
2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply: Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 239,516**

J Accounting method: Cash Accrual
 Other (specify) _____ (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	5,951	5,872		
	5 a Gross rents				
	b Net rental income or (loss)				
	6 a Net gain or (loss) from sale of assets not on line 10	6,915			
	b Gross sales price for all assets on line 6a 73,364				
	7 Capital gain net income (from Part IV, line 2)		6,915		
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	12,866	12,787	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	2,878	2,159		720
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16 a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	1,029			1,029
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	216	139		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	10			10
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	4,133	2,298	0	1,759
25 Contributions, gifts, grants paid	9,789			9,789	
26 Total expenses and disbursements Add lines 24 and 25	13,922	2,298	0	11,548	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-1,056				
b Net investment income (if negative, enter -0-)		10,489			
c Adjusted net income (if negative, enter -0-)			0		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	4,035	7,352	7,352
	3	Accounts receivable			
		Less allowance for doubtful accounts			
	4	Pledges receivable			
		Less allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule)			
		Less allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land buildings, and equipment basis			
	Less accumulated depreciation (attach schedule)				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)	202,165	197,716	232,164	
14	Land, buildings, and equipment basis				
	Less accumulated depreciation (attach schedule)				
15	Other assets (describe)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	206,200	205,068	239,516	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>				
	27	Capital stock, trust principal, or current funds	206,200	205,068	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)	206,200	205,068		
31	Total liabilities and net assets/fund balances (see instructions)	206,200	205,068		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	206,200
2	Enter amount from Part I, line 27a	2	-1,056
3	Other increases not included in line 2 (itemize) ROUNDING	3	16
4	Add lines 1, 2, and 3	4	205,160
5	Decreases not included in line 2 (itemize) PY RETURN OF CAPITAL	5	92
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	205,068

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a See Attached Statement			
b See Attached Statement			
c See Attached Statement			
d See Attached Statement			
e See Attached Statement			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			0
b			0
c			0
d			0
e			0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			0
b			0
c			0
d			0
e			0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	6,915
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	9,288	222,222	0.041796
2010	10,039	219,755	0.045683
2009	9,543	207,407	0.046011
2008	9,558	198,204	0.048223
2007	12,860	246,677	0.052133

2 Total of line 1, column (d)	2	0.233846
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.046769
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	230,025
5 Multiply line 4 by line 3	5	10,758
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	105
7 Add lines 5 and 6	7	10,863
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	11,548

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1	a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)	105
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)	
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	0
3	Add lines 1 and 2	105
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	105
6	Credits/Payments	
a	2012 estimated tax payments and 2011 overpayment credited to 2012	201
b	Exempt foreign organizations—tax withheld at source	
c	Tax paid with application for extension of time to file (Form 8868)	
d	Backup withholding erroneously withheld	
7	Total credits and payments Add lines 6a through 6d	201
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	0
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	96
11	Enter the amount of line 10 to be Credited to 2013 estimated tax <input type="checkbox"/> 96 Refunded <input type="checkbox"/>	0

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> <u>CA</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ WELLS FARGO BANK N A Telephone no ▶ 888-730-4933 Located at ▶ 1 W 4th St 4th Floor MAC D4000-041 Winston Salem NC ZIP+4 ▶ 27101-3818			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propoganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here **5b** N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** Yes No
If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account other allowances
Wells Fargo Bank, N.A. 1 W 4th St 4th Floor MAC D4000-041 Winston S	Trustee 4 00	2,878		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 **0**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE "

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
.....		
.....		
.....		
.....		
.....		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	227,793
b	Average of monthly cash balances	1b	5,735
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	233,528
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	233,528
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	3,503
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	230,025
6	Minimum investment return. Enter 5% of line 5	6	11,501

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	11,501
2a	Tax on investment income for 2012 from Part VI, line 5	2a	105	
b	Income tax for 2012 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b	2c	105	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	11,396	
4	Recoveries of amounts treated as qualifying distributions	4		
5	Add lines 3 and 4	5	11,396	
6	Deduction from distributable amount (see instructions)	6		
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	11,396	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	11,548
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	11,548
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	105
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	11,443

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				11,396
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			4,419	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2012				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ <u>11,548</u>				
a Applied to 2011, but not more than line 2a			4,419	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2012 distributable amount				7,129
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				4,267
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Attached Statement				
Total			▶ 3a	9,789
b <i>Approved for future payment</i> NONE				
Total			▶ 3b	0

MOORE, BERNICE H T/U/W

95-6774204 Page 1 of 1

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

SACRAMENTO PUBLIC LIBRARY AUTHORITY

Street

828 1 ST

City

SACRAMENTO

State

CA

Zip Code

95814-2508

Foreign Country

Relationship

NONE

Foundation Status

501(C)(3) PUBLIC CHARITY

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

9,789

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

MOORE, BERNICE H T/U/W
 95-6774204
 5/31/2013

Security Type	Account #	EIN	CUSIP	Asset Name	FMV	Units	Fed Coast
Cash	815923	95-6774204			\$0.00	0.00	\$0.00
TOTAL					\$0.00		\$0.00
Invest - Other	815923	95-6774204	589509108	MERGER FD SH BEN INT #260	\$7,260.02	455.75	\$7,168.67
Invest - Other	815923	95-6774204	693390882	PIMCO FOREIGN BD FD USD H-INST #103	\$1,548.88	144.35	\$1,535.53
Invest - Other	815923	95-6774204	81369Y308	CONSUMER STAPLES SECTOR SPDR TR	\$5,126.40	128.00	\$3,811.57
Invest - Other	815923	95-6774204	81369Y605	FINANCIAL SELECT SECTOR SPDR	\$7,063.08	356.00	\$4,597.60
Invest - Other	815923	95-6774204	81369Y100	AMEX MATERIALS SPDR	\$2,458.30	61.00	\$2,256.93
Invest - Other	815923	95-6774204	81369Y803	AMEX TECHNOLOGY SELECT SPDR	\$10,859.38	343.00	\$8,492.89
Invest - Other	815923	95-6774204	81369Y506	AMEX ENERGY SELECT SPDR	\$5,071.50	63.00	\$3,956.39
Invest - Other	815923	95-6774204	464287804	ISHARES CORE S&P SMALL-CAP E	\$12,513.84	138.00	\$8,354.05
Invest - Other	815923	95-6774204	464287705	ISHARES S&P MIDCAP 400 VALUE	\$8,144.11	79.00	\$5,758.78
Invest - Other	815923	95-6774204	51855Q655	LAUDUS MONDRIAN INTL F-INST #2940	\$1,469.70	137.48	\$1,640.40
Invest - Other	815923	95-6774204	464287606	ISHARES S&P MIDCAP 400 GROWTH	\$10,733.31	81.00	\$7,842.74
Invest - Other	815923	95-6774204	81369Y704	AMEX INDUSTRIAL SPDR	\$5,180.07	119.00	\$3,807.83
Invest - Other	815923	95-6774204	81369Y209	AMEX HEALTH CARE SPDR	\$5,051.55	105.00	\$3,366.87
Invest - Other	815923	95-6774204	81369Y407	AMEX CONSUMER DISCR SPDR	\$6,958.88	124.00	\$4,846.49
Invest - Other	815923	95-6774204	261980494	DREYFUS EMG MKT DEBT LOC C-I #6083	\$9,030.32	618.52	\$8,957.82
Invest - Other	815923	95-6774204	922908553	VANGUARD REIT VIPER	\$9,130.62	129.00	\$3,848.48
Invest - Other	815923	95-6774204	922042858	VANGUARD FTSE EMERGING MARKETS ETF	\$25,546.48	615.00	\$23,030.12
Invest - Other	815923	95-6774204	921937827	VANGUARD SHORT TERM BOND ETF	\$16,196.58	201.00	\$16,327.32
Invest - Other	815923	95-6774204	78463X863	SPDR DJ WILSHIRE INTERNATIONAL REAL	\$8,676.32	211.00	\$6,151.38
Invest - Other	815923	95-6774204	921937819	VANGUARD INTERMEDIATE TERM B	\$6,711.90	78.00	\$6,789.88
Invest - Other	815923	95-6774204	921943858	VANGUARD FTSE DEVELOPED ETF	\$27,074.32	728.00	\$26,461.92
Invest - Other	815923	95-6774204	25264S833	DIAMOND HILL LONG-SHORT FD CL I #11	\$9,912.03	478.15	\$7,824.56
Invest - Other	815923	95-6774204	76628T645	RIDGEWORTH SEIX HY BD-I #5855	\$9,558.33	928.90	\$8,470.71
Invest - Other	815923	95-6774204	367829884	GATEWAY FUND - Y #1986	\$9,805.63	347.47	\$9,564.12
Invest - Other	815923	95-6774204	22544R305	CREDIT SUISSE COMM RET ST-I #2156	\$11,082.71	1,471.81	\$12,853.42
TOTAL					\$232,164.26		\$197,716.47
Savings & Temp Inv	815923	95-6774204	99999Y944	SECURED MARKET DEPOSIT ACCOUNT	\$7,351.79	7,351.79	\$7,351.79
Savings & Temp Inv	815923	95-6774204	99999Y944	SECURED MARKET DEPOSIT ACCOUNT	\$0.42	0.42	\$0.42
TOTAL					\$7,352.21		\$7,352.21
TOTAL					\$239,516.47		\$205,068.68

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check 'X' to include in Part IV	Description	Amount		Purchaser	Check 'X' if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Cost Other Basis and Expenses		Net Gain or Loss
		Long Term CG Distributions	Short Term CG Distributions									Expense of Sale and Cost of Improvements	Depreciation	
1	VANGUARD MSCI EAFE ETF		233			2/6/2013		5/24/2013	1,063	1,013				50
2	VANGUARD REIT VIPER					10/14/2011		6/9/2012	263	207				56
3	AMEX ENERGY SELECT SPDR					2/8/2013		5/24/2013	244	235				9
4	AMEX FINANCIAL SELECT SP					9/30/2010		6/9/2012	372	413				-41
5	VANGUARD REIT VIPER					9/30/2011		6/9/2012	263	203				60
6	VANGUARD REIT VIPER					9/30/2011		5/24/2013	448	306				142
7	CREDIT SUISSE COMM RT ST					8/17/2011		6/9/2012	834	930				-96
8	DIAMOND HILL LONG-SHORT					10/18/2011		5/24/2013	684	540				144
9	DREYFUS EMG MKT DEBT LO					8/17/2011		2/6/2013	1,778	1,741				37
10	DREYFUS EMG MKT DEBT LO					10/12/2010		2/6/2013	333	324				9
11	HUSSMAN STRATEGIC GROW					2/11/2008		6/9/2012	621	621				-252
12	HUSSMAN STRATEGIC GROW					2/19/2008		6/9/2012	2,575	3,582				-1,007
13	HUSSMAN STRATEGIC GROW					10/4/2011		6/9/2012	478	567				-89
14	HUSSMAN STRATEGIC GROW					2/10/2009		2/6/2013	432	515				-83
15	HUSSMAN STRATEGIC GROW					8/11/2009		2/6/2013	735	923				-180
16	HUSSMAN STRATEGIC GROW					5/4/2010		2/6/2013	458	560				-102
17	HUSSMAN STRATEGIC GROW					10/12/2010		2/6/2013	250	315				-65
18	HUSSMAN STRATEGIC GROW					2/9/2011		2/6/2013	700	800				-100
19	HUSSMAN STRATEGIC GROW					10/4/2011		2/6/2013	105	133				-28
20	AMEX FINANCIAL SELECT SP					4/30/2010		2/6/2013	807	760				47
21	FINANCIAL SELECT SECTOR					4/30/2010		5/24/2013	354	298				56
22	AMEX INDUSTRIAL SPDR					4/30/2010		6/9/2012	109	100				9
23	AMEX INDUSTRIAL SPDR					2/8/2013		5/24/2013	262	244				18
24	AMEX TECHNOLOGY SELECT					4/30/2010		6/9/2012	330	262				68
25	AMEX TECHNOLOGY SELECT					2/6/2013		5/24/2013	569	538				30
26	VANGUARD INTERMEDIATE T					9/30/2011		6/9/2012	802	789				13
27	VANGUARD INTERMEDIATE T					9/30/2011		2/6/2013	688	702				-14
28	VANGUARD INTERMEDIATE T					9/30/2011		5/24/2013	1,174	1,175				-1
29	VANGUARD INTERMEDIATE T					1/22/2011		5/24/2013	1,045	1,051				-6
30	VANGUARD SHORT TERM BO					9/30/2011		6/9/2012	811	814				-3
31	VANGUARD SHORT TERM BO					5/9/2012		2/6/2013	1,294	1,299				-5
32	VANGUARD SHORT TERM BO					9/30/2011		2/6/2013	728	733				-5
33	VANGUARD SHORT TERM BO					10/18/2011		2/6/2013	317	384				-67
34	HUSSMAN STRATEGIC GROW					1/23/2011		2/6/2013	147	183				-36
35	HUSSMAN STRATEGIC GROW					2/13/2012		2/6/2013	1,321	1,508				-185
36	HUSSMAN STRATEGIC GROW					5/11/2012		2/6/2013	496	558				-62
37	HUSSMAN STRATEGIC GROW					10/14/2011		6/9/2012	1,396	1,400				-4
38	ISHARES MSCI EAFE					12/22/2008		2/6/2013	10,464	7,779				2,685
39	ISHARES MSCI EAFE					2/6/2008		2/6/2013	4,794	3,304				1,490
40	ISHARES MSCI EAFE					9/30/2011		2/6/2013	5,261	4,350				911
41	ISHARES MSCI EAFE					10/14/2011		2/6/2013	4,794	4,250				544
42	ISHARES MSCI EAFE					1/22/2011		2/6/2013	4,268	3,516				752
43	ISHARES MSCI EAFE					5/9/2012		2/6/2013	1,286	1,122				164
44	ISHARES S&P MIDCAP 400 GF					2/6/2013		5/24/2013	400	372				28
45	ISHARES TR SMALLCAP 600 I					5/9/2012		6/9/2012	74	73				1
46	ISHARES CORE S&P SMALL-C					10/14/2011		2/6/2013	2,351	1,799				552
47	ISHARES CORE S&P SMALL-C					1/22/2011		2/6/2013	1,511	1,162				349
48	ISHARES CORE S&P SMALL-C					8/15/2011		2/6/2013	420	319				101
49	ISHARES CORE S&P SMALL-C					5/9/2012		5/24/2013	272	218				54
50	ISHARES CORE S&P SMALL-C					8/15/2011		5/24/2013	182	128				54
51	LAUDUS MONDRIAN INTL FLI					10/18/2011		6/9/2012	1,422	1,480				-58
52	LAUDUS MONDRIAN INTL FLI					10/4/2011		6/9/2012	697	709				-12
53	LAUDUS MONDRIAN INTL FLI					2/13/2012		2/6/2013	345	367				-22
54	MERGER FD SH BEN INT 260					5/4/2010		6/9/2012	223	220				3
55	PIMCO FOREIGN BD FD USD					8/17/2011		6/9/2012	2,291	2,202				89
56	PIMCO FOREIGN BD FD USD					8/17/2011		2/6/2013	368	383				-15
57	RIDGEWORTH SEIX HY BD-#					8/13/2012		2/6/2013	1,989	1,919				70
58	RIDGEWORTH SEIX HY BD-#					10/18/2011		2/6/2013	997	906				91
59	SPDR DJ WILSHIRE INTERNA					5/2/2011		6/9/2012	616	666				-50
60	SPDR DJ WILSHIRE INTERNA					5/2/2011		5/24/2013	1,119	1,082				37
61	SPDR DJ WILSHIRE INTERNA					2/7/2011		5/24/2013	86	79				7
62	AMEX HEALTH CARE SPDR					5/24/2012		6/9/2012	77	73				4
63	AMEX HEALTH CARE SPDR					10/14/2011		2/6/2013	691	524				167
64	AMEX HEALTH CARE SPDR					1/22/2011		2/6/2013	432	323				109
65	AMEX HEALTH CARE SPDR					5/24/2012		6/9/2012	295	218				77
66	CONSUMER STAPLES SECTO					4/30/2010		6/9/2012	143	143				0
67	CONSUMER STAPLES SECTO					2/6/2013		5/24/2013	250	226				24
68	AMEX CONSUMER DISCR SPI					4/30/2010		6/9/2012	357	285				72

Totals	Capital Gains/Losses	73,364	0	Cost Other Basis and Expenses	66,449	0	Net Gain or Loss	6,915
	Other sales	0	0					0

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

69	Check "X" to include in Part IV	Description	Amount	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
	X	AMEX CONSUMER DISCR SP	233 0	813697407			2/6/2013	5/24/2013	340	304		0	0	0	6,915
Totals															
										Capital Gains/Losses					6,915
										Other sales					0

Part I, Line 16b (990-PF) - Accounting Fees

		1,029	0	0	1,029
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	1,029			1,029

Part I, Line 18 (990-PF) - Taxes

		216	139	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	139	139		
2	ESTIMATED EXCISE TAXES	77			

Part I, Line 23 (990-PF) - Other Expenses

		10	0	0	10
	Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	STATE AG FEES	10	0		10

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/09	Adjusted Basis as of 12/31/09	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis of Losses	6,682
1 VANGUARD MSCI EMU ETF	921943859		7/2/2013	6/24/2013	1,063				1,012	50	0	0	0	50
2 VANGUARD BEIT VPER	922980855		10/14/2011	6/2/2013	263				235	28	0	0	0	28
3 AMEX ENERGY SELECT SPDR	813697505		7/2/2013	6/24/2013	244				235	9	0	0	0	9
4 AMEX FINANCIAL SELECT SPDR	813697605		4/30/2011	6/24/2013	374				373	1	0	0	0	1
5 VANGUARD BEIT VPER	922980855		6/30/2011	6/24/2013	363				356	7	0	0	0	7
6 VANGUARD BEIT VPER	922980855		6/30/2011	6/24/2013	448				430	18	0	0	0	18
7 CREDIT SUISSE COMARLT ST CO 2156	225443535		8/17/2011	6/24/2013	634				630	4	0	0	0	4
8 DIAMOND HILL LONG SHORT ED CLT	252645833		10/18/2011	6/24/2013	684				640	144	0	0	0	144
9 DREYFUS EMU MKT DEBT LOC C 1660	261980984		8/17/2011	6/24/2013	171				171	0	0	0	0	0
10 DREYFUS EMU MKT DEBT LOC C 1660	261980984		10/17/2011	6/24/2013	133				124	9	0	0	0	9
11 HUSSMAN STRATEGIC GROWTH FUND	448108100		2/11/2008	6/24/2013	621				624	252	0	0	0	252
12 HUSSMAN STRATEGIC GROWTH FUND	448108100		2/11/2008	6/24/2013	2,574				2,582	1,007	0	0	0	1,007
13 HUSSMAN STRATEGIC GROWTH FUND	448108100		10/24/2011	6/24/2013	432				387	45	0	0	0	45
14 HUSSMAN STRATEGIC GROWTH FUND	448108100		2/10/2008	6/24/2013	738				735	3	0	0	0	3
15 HUSSMAN STRATEGIC GROWTH FUND	448108100		6/11/2008	6/24/2013	488				515	160	0	0	0	160
16 HUSSMAN STRATEGIC GROWTH FUND	448108100		10/17/2011	6/24/2013	258				260	102	0	0	0	102
17 HUSSMAN STRATEGIC GROWTH FUND	448108100		2/20/2011	6/24/2013	700				690	100	0	0	0	100
18 HUSSMAN STRATEGIC GROWTH FUND	448108100		10/24/2011	6/24/2013	108				133	25	0	0	0	25
19 AMEX FINANCIAL SELECT SPDR	813697605		4/30/2011	6/24/2013	807				760	47	0	0	0	47
20 AMEX INDUSTRIAL SPDR	813697504		4/30/2011	6/24/2013	354				298	56	0	0	0	56
21 AMEX INDUSTRIAL SPDR	813697504		2/29/2013	6/24/2013	282				100	182	0	0	0	182
22 AMEX TECHNOLOGY SELECT SPDR	813697603		4/30/2011	6/24/2013	330				244	86	0	0	0	86
23 AMEX TECHNOLOGY SELECT SPDR	813697603		2/29/2013	6/24/2013	369				262	107	0	0	0	107
24 VANGUARD INTERMEDIATE TERM B	921937819		9/30/2011	6/24/2013	692				539	153	0	0	0	153
25 VANGUARD INTERMEDIATE TERM B	921937819		9/30/2011	6/24/2013	686				789	103	0	0	0	103
26 VANGUARD INTERMEDIATE TERM B	921937819		9/30/2011	6/24/2013	174				702	528	0	0	0	528
27 VANGUARD INTERMEDIATE TERM B	921937819		9/30/2011	6/24/2013	1,045				1,051	6	0	0	0	6
28 VANGUARD SHORT TERM BOND ETF	921937827		1/12/2012	6/24/2013	814				814	0	0	0	0	0
29 VANGUARD SHORT TERM BOND ETF	921937827		9/30/2011	6/24/2013	1,284				1,299	15	0	0	0	15
30 VANGUARD SHORT TERM BOND ETF	921937827		9/30/2011	6/24/2013	728				733	5	0	0	0	5
31 HUSSMAN STRATEGIC GROWTH FUND	448108100		10/18/2011	6/24/2013	377				384	7	0	0	0	7
32 HUSSMAN STRATEGIC GROWTH FUND	448108100		1/25/2012	6/24/2013	147				183	36	0	0	0	36
33 HUSSMAN STRATEGIC GROWTH FUND	448108100		2/13/2012	6/24/2013	1,321				1,506	185	0	0	0	185
34 HUSSMAN STRATEGIC GROWTH FUND	448108100		5/11/2012	6/24/2013	496				558	62	0	0	0	62
35 HUSSMAN STRATEGIC GROWTH FUND	448108100		2/20/2011	6/24/2013	1,064				1,400	336	0	0	0	336
36 ISHARES MSCI EAFE	464287685		12/22/2008	6/24/2013	1,286				779	2,685	0	0	0	2,685
37 ISHARES MSCI EAFE	464287685		10/14/2011	6/24/2013	474				3,304	1,490	0	0	0	1,490
38 ISHARES MSCI EAFE	464287685		9/30/2011	6/24/2013	526				4,350	911	0	0	0	911
39 ISHARES MSCI EAFE	464287685		10/14/2011	6/24/2013	474				4,250	544	0	0	0	544
40 ISHARES MSCI EAFE	464287685		10/14/2011	6/24/2013	2,286				3,516	752	0	0	0	752
41 ISHARES MSCI EAFE	464287685		5/9/2012	6/24/2013	400				372	28	0	0	0	28
42 ISHARES MSCI EAFE	464287685		5/9/2012	6/24/2013	74				73	1	0	0	0	1
43 ISHARES S&P MIDCAP 400 GROWTH	464287604		5/9/2012	6/24/2013	420				1,759	552	0	0	0	552
44 ISHARES TR SMALLCAP 600 INDEX FD	464287604		5/9/2012	6/24/2013	2,351				1,162	349	0	0	0	349
45 ISHARES CORE S&P SMALL-CAP E	464287604		10/14/2011	6/24/2013	3,511				319	1,01	0	0	0	1,01
46 ISHARES CORE S&P SMALL-CAP E	464287604		8/15/2012	6/24/2013	420				218	54	0	0	0	54
47 ISHARES CORE S&P SMALL-CAP E	464287604		8/15/2012	6/24/2013	182				128	54	0	0	0	54
48 ISHARES CORE S&P SMALL-CAP E	464287604		10/18/2011	6/24/2013	182				128	54	0	0	0	54
49 ISHARES CORE S&P SMALL-CAP E	464287604		10/18/2011	6/24/2013	182				128	54	0	0	0	54
50 LAUDUS MONDRIAN INTL FIN INST	518550655		10/18/2011	6/24/2013	1,422				1,480	58	0	0	0	58
51 LAUDUS MONDRIAN INTL FIN INST	518550655		10/18/2011	6/24/2013	697				709	12	0	0	0	12
52 LAUDUS MONDRIAN INTL FIN INST	518550655		2/13/2012	6/24/2013	345				367	22	0	0	0	22
53 LAUDUS MONDRIAN INTL FIN INST	518550655		5/4/2010	6/24/2013	223				220	3	0	0	0	3
54 MERGER FD SH BEN INT 260	589509108		8/17/2011	6/24/2013	2,291				2,202	89	0	0	0	89
55 PIMCO FOREIGN BD FD USD H-INST 10	693390882		8/17/2011	6/24/2013	386				383	3	0	0	0	3
56 PIMCO FOREIGN BD FD USD H-INST #1	766281645		8/13/2012	6/24/2013	1,989				1,919	70	0	0	0	70
57 RIDGEWORTH SEIX HY BD 1#855	766281645		10/18/2011	6/24/2013	997				906	91	0	0	0	91
58 RIDGEWORTH SEIX HY BD 1#855	766281645		5/2/2011	6/24/2013	616				666	50	0	0	0	50
59 SPDR DJ WILSHIRE INTERNATIONAL R	784632663		5/2/2011	6/24/2013	1,119				1,082	37	0	0	0	37
60 SPDR DJ WILSHIRE INTERNATIONAL R	784632663		5/2/2011	6/24/2013	86				79	7	0	0	0	7
61 SPDR DJ WILSHIRE INTERNATIONAL R	784632663		2/7/2011	6/24/2013	77				73	4	0	0	0	4
62 AMEX HEALTH CARE SPDR	813697209		5/24/2012	6/24/2013	691				524	167	0	0	0	167
63 AMEX HEALTH CARE SPDR	813697209		10/14/2011	6/24/2013	432				323	109	0	0	0	109
64 AMEX HEALTH CARE SPDR	813697209		1/12/2011	6/24/2013	295				218	77	0	0	0	77
65 AMEX HEALTH CARE SPDR	813697209		5/24/2012	6/24/2013	143				112	31	0	0	0	31
66 CONSUMER STAPLES SECTOR SPDR	813697308		4/30/2010	6/24/2013	250				226	24	0	0	0	24
67 CONSUMER STAPLES SECTOR SPDR	813697308		2/6/2013	6/24/2013	357				285	72	0	0	0	72
68 AMEX CONSUMER DISCR SPDR	813697407		4/30/2010	6/24/2013	340				304	36	0	0	0	36
69 AMEX CONSUMER DISCR SPDR	813697407		2/6/2013	6/24/2013	340				304	36	0	0	0	36

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date	Amount
1 Credit from prior year return		124
2 First quarter estimated tax payment		
3 Second quarter estimated tax payment	10/10/2012	77
4 Third quarter estimated tax payment		
5 Fourth quarter estimated tax payment		
6 Other payments		0
7 Total		201

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2011 that remained undistributed at the beginning of the 2012 tax year	1	4,419
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	4,419