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Form <b>990</b>  Department of the Treasury Internal Revenue Service	<b>Return of Organization Exempt From Income Tax</b>  <b>Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)</b>	OMB No 1545-0047 <div> <div>2012</div> <div>Open to Public Inspection</div> </div>
	The organization may have to use a copy of this return to satisfy state reporting requirements	

**A For the 2012 calendar year, or tax year beginning 06-01-2012 , 2012, and ending 05-31-2013**

<b>B</b> Check if applicable <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	<b>C</b> Name of organization DRUG POLICY ALLIANCE		<b>D</b> Employer identification number 52-1516692
	Doing Business As		
	Number and street (or P O box if mail is not delivered to street address) 131 W 33RD STREET 15TH FLOOR	Room/suite	<b>E</b> Telephone number (212) 613-8040
	City or town, state or country, and ZIP + 4 NEW YORK, NY 10001		<b>G</b> Gross receipts \$ 9,892,638
<b>F</b> Name and address of principal officer ETHAN NADELMANN 131 W 33RD STREET 15TH FLOOR NEW YORK, NY 10001		<b>H(a)</b> Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <b>H(b)</b> Are all affiliates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list (see instructions) <b>H(c)</b> Group exemption number ▶	
<b>I</b> Tax-exempt status <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) ( ) ◀ (Insert no ) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527			
<b>J</b> Website: ▶ HTTP //WWW.DRUGPOLICY.ORG/			




**K** Form of organization ☒ Corporation ☐ Trust ☐ Association ☐ Other ☐ **L** Year of formation 2000 **M** State of legal domicile DC

## Part I Summary

Activities & Governance	1 Briefly describe the organization's mission or most significant activities DRUG POLICY ALLIANCE SUPPORTED DRUG POLICY AND LEGAL REFORMS AT THE NATIONAL LEVEL AND IN ALL STATES DRUG POLICY ALLIANCE'S ADVOCACY GRANTS PROGRAM ALSO PROVIDED APPROXIMATELY \$1,100,000 MILLION TO PARTNER ORGANIZATIONS AT THE NATIONAL AND LOCAL LEVELS IN SUPPORT OF THEIR EFFORTS TO REFORM DRUG POLICY			
	2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets			
	3	Number of voting members of the governing body (Part VI, line 1a)	18	
	4	Number of independent voting members of the governing body (Part VI, line 1b)	17	
	5	Total number of individuals employed in calendar year 2012 (Part V, line 2a)	70	
	6	Total number of volunteers (estimate if necessary)	17	
	7a	Total unrelated business revenue from Part VIII, column (C), line 12	0	
	7b	Net unrelated business taxable income from Form 990-T, line 34	0	
Revenue	8	Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9	Program service revenue (Part VIII, line 2g)	46,628,606	8,899,787
	10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	486,685	151,163
	11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	-120	9,105
	12	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	20,181	-4,361
	12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	47,135,352	9,055,694
Expenses	13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	1,096,970	1,156,507
	14	Benefits paid to or for members (Part IX, column (A), line 4)	0	0
	15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	4,638,468	5,400,503
	16a	Professional fundraising fees (Part IX, column (A), line 11e)	132,547	81,500
	b	Total fundraising expenses (Part IX, column (D), line 25) <input checked="" type="checkbox"/> 1,693,841		
	17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	4,015,661	4,529,939
	18	Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	9,883,646	11,168,449
	19	Revenue less expenses Subtract line 18 from line 12	37,251,706	-2,112,755
Net Assets or Fund Balances			Beginning of Current Year	End of Year
	20	Total assets (Part X, line 16)	48,729,843	46,498,673
	21	Total liabilities (Part X, line 26)	3,906,352	3,783,532
	22	Net assets or fund balances Subtract line 21 from line 20	44,823,491	42,715,141

## Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b>	*****			2014-04-14	
	Signature of officer			Date	
	ETHAN NADELMANN EXECUTIVE DIRECTOR Type or print name and title				
<b>Paid Preparer Use Only</b>	Print/Type preparer's name GARRETT HIGGINS		Preparer's signature		Date 2014-04-04
	Check <input type="checkbox"/> if self-employed			PTIN P00543209	
	Firm's name  O'CONNOR DAVIES LLP			Firm's EIN  27-1728945	
	Firm's address  665 FIFTH AVENUE  NEW YORK, NY 10022			Phone no (212) 286-2600	

May the IRS discuss this return with the preparer shown above? (see instructions) . . . . . ☒ Yes ☐ No

Part IIISTatement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III

1Briefly describe the organization’s mission

THE DRUG POLICY ALLIANCE ENVISIONS A JUST SOCIETY IN WHICH THE USE AND REGULATION OF DRUGS ARE GROUNDED IN SCIENCE, COMPASSION, HEALTH, AND HUMAN RIGHTS, IN WHICH PEOPLE ARE NO LONGER PUNISHED FOR WHAT THEY PUT INTO THEIR OWN BODIES BUT ONLY FOR CRIMES AGAINST OTHERS, AND IN WHICH THE FEARS, PREJUDICES, AND PUNITIVE PROHIBITIONS OF TODAY ARE NO MORE OUR MISSION IS TO ADVANCE THOSE POLICIES AND ATTITUDES THAT BEST REDUCE THE HARMS OF BOTH DRUG USE AND DRUG PROHIBITION, AND TO PROMOTE THE SOVEREIGNTY OF INDIVIDUALS OVER THEIR MINDS AND BODIES

2Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? Yes No

If “Yes,” describe these new services on Schedule O



















3Did the organization cease conducting, or make significant changes in how it conducts, any program services? Yes No

If “Yes,” describe these changes on Schedule O

4Describe the organization’s program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a	(Code ) (Expenses \$ 5,074,644 including grants of \$ ) (Revenue \$ 143,882 )
	PUBLIC POLICY AND LEGAL AFFAIRS- THE DRUG POLICY ALLIANCE SEEKS TO FUNDAMENTALLY TRANSFORM THE WAY SOCIETY THINKS ABOUT AND ADDRESSES DRUGS, THE PEOPLE WHO USE THEM, AND THE MARKETS THROUGH WHICH THEY ARE AVAILABLE WE ADVOCATE A WHOLESALE CHANGE OF DRUG POLICY - AN END TO THE WAR ON DRUGS - BASED ON OUR ORGANIZATIONAL VALUES OF REASON, COMPASSION, JUSTICE, FREEDOM, AND RESPONSIBILITY MOST GENERALLY, OUR GOAL IS TO SHIFT THE U S RESPONSE TO DRUGS FROM A PUNITIVE CRIMINAL JUSTICE MODEL TO ONE BASED ON PUBLIC HEALTH AND HUMAN RIGHTS WHILE OUR WORK ADDRESSES THE WIDE RANGE OF SOCIAL, POLITICAL, AND ECONOMIC ISSUES TOUCHED BY THE WAR ON DRUGS, NEARLY ALL OF OUR EFFORTS FALL UNDER FOUR SUBSTANTIVE ISSUE AREAS REFORMING THE CRIMINAL JUSTICE SYSTEM, EMPOWERING PUBLIC HEALTH APPROACHES, REFORMING CANNABIS POLICY, AND PROMOTING EFFECTIVE DRUG EDUCATION FOR YOUTH THE DRUG POLICY ALLIANCE SUPPORTS INCREMENTAL POLICY AND LEGAL REFORMS AT THE LOCAL, STATE, AND FEDERAL LEVELS THAT CAN BE ACCOMPLISHED OVER THE NEXT FEW YEARS, WITH THE GOAL THAT EACH REFORM 1) CREATES REAL AND MEASURABLE BENEFITS FOR PEOPLE WHO HAVE BEEN OR WOULD BE UNJUSTLY VICTIMIZED BY THE WAR ON DRUGS, THEREBY REDUCING THE DEATH, DISEASE, CRIME, AND SUFFERING ASSOCIATED WITH BOTH DRUG USE AND DRUG PROHIBITION, 2) BUILDS POLITICAL CONSCIOUSNESS AMONG OTHER ORGANIZATIONS AND THE PUBLIC REGARDING THE NEED TO REFORM DRUG POLICIES, AND 3) ADVANCES OUR LONG-TERM VISION OF A SOCIETY IN WHICH CRIMINALIZATION AND CRIMINAL JUSTICE INSTITUTIONS PLAY A MINIMAL ROLE IN DEALING WITH DRUGS, DRUG USERS, AND DRUG MARKETS THE DRUG POLICY ALLIANCE IS CURRENTLY MOST ACTIVE AT THE FEDERAL LEVEL AND IN CALIFORNIA, COLORADO, NEW JERSEY, NEW MEXICO, NEW YORK, AND WASHINGTON, D C
4b	(Code ) (Expenses \$ 1,285,959 including grants of \$ 1,156,507 ) (Revenue \$ )
	GRANTS- THE DRUG POLICY ALLIANCE'S ADVOCACY GRANTS PROGRAM ADVANCES DRUG POLICY REFORM AT THE LOCAL, STATE, AND NATIONAL LEVELS BY STRATEGICALLY FUNDING SMALLER AND GEOGRAPHICALLY LIMITED ORGANIZATIONS AND PROJECTS IT HAS THREE FUNDING TRACKS THE FIRST IS PROMOTING POLICY CHANGE, THROUGH WHICH WE AWARDED APPROXIMATELY \$750,000 MILLION THE SECOND, TOTALING APPROXIMATELY \$400,000, IS RAPID RESPONSE/SPECIAL OPPORTUNITIES, THROUGH WHICH WE AWARDED SMALLER GRANTS TO TIME-SENSITIVE PROJECTS THE THIRD IS A DISCRETIONARY FUND OF APPROXIMATELY \$20,000, THROUGH WHICH WE AWARD SMALL GRANTS OF UP TO \$2,500 WE ALSO ALLOCATE FUNDS TO BRING TOGETHER GRANTEEES ANNUALLY TO IMPROVE THE COORDINATION AMONG ORGANIZATIONS AND WITH THE DRUG POLICY ALLIANCE'S PROGRAMS
4c	(Code ) (Expenses \$ 1,625,185 including grants of \$ ) (Revenue \$ 7,281 )
	COMMUNICATIONS- THE DRUG POLICY ALLIANCE INITIATES AND SHAPES LOCAL, NATIONAL, AND INTERNATIONAL DIALOGUE ON DRUG POLICY REFORM, EXPOSING THE PUBLIC TO OUR MESSAGES AND GENERATING EARNED MEDIA EACH YEAR EQUIVALENT IN IMPACT TO WHAT WOULD COST MILLIONS OF DOLLARS IN PAID ADVERTISING OUR PUBLIC RELATIONS EFFORTS SUPPORT OUR LOCAL AND NATIONAL PROGRAMS AND PROJECTS, HELP SMALLER ORGANIZATIONS PROMOTE THEIR OWN CAMPAIGNS, AND TAKE ADVANTAGE OF BREAKING NEWS TO PROVOKE DEBATE FRAMED BY OUR MISSION AND VISION WE PUBLISH BOOKLETS AND OTHER MATERIALS ON CUTTING EDGE DRUG POLICY ISSUES, AND COLLABORATE WITH ALLIED ORGANIZATIONS IN PRODUCING ASSESSMENTS OF STATE AND FEDERAL DRUG AND CRIME POLICIES THE LINDESMITH LIBRARY, HOUSED IN OUR NEW YORK OFFICE AND OPEN TO THE PUBLIC, IS AMONG THE WORLD,S LEADING REPOSITORIES OF PRINT AND OTHER MEDIA MATERIALS ABOUT DRUGS AND DRUG POLICY, AND HAS EMERGED AS THE DE FACTO ARCHIVES OF THE HARM REDUCTION AND DRUG POLICY REFORM MOVEMENTS WHILE OUR PRIORITY IN OUR PUBLIC EDUCATION WORK IS TO BUILD SUPPORT FOR SHORT-TERM STATE CAMPAIGNS, WE ALSO SEEK TO ENGAGE IN LONGER-TERM CAMPAIGNS TO EDUCATE PEOPLE MORE BROADLY ABOUT OUR MISSION AND VISION WE USE MARKETING TO PROMOTE DPA'S "BRAND" AS THE LEADING ORGANIZATION ADVANCING ALTERNATIVES TO THE WAR ON DRUGS
	(Code ) (Expenses \$ including grants of \$ ) (Revenue \$ )
	OTHER PROGRAM SERVICES INCLUDE- CONFERENCES, HEALTH AND HARM REDUCTION, TREATMENT AND PREVENTION, AND SPECIAL PROJECTS
4d	Other program services (Describe in Schedule O )
	(Expenses \$ including grants of \$ ) (Revenue \$ )
4e	Total program service expenses 7,985,788

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 	1 Yes	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? 	2 Yes	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I 	3	No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II 	4 Yes	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III 	5	No
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I 	6	No
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II 	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 	8	No
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 	9	No
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V 	10	No
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI. 	11a Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII 	11b	No
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII 	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX 	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X 	11e	No
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X 	11f Yes	
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII 	12a Yes	
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional 	12b	No
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13	No
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a Yes	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV 	14b Yes	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? If "Yes," complete Schedule F, Parts II and IV 	15	No
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? If "Yes," complete Schedule F, Parts III and IV 	16	No
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) 	17 Yes	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II 	18 Yes	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III 	19	No
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a	No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i> . . . . .	21	Yes	
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i> . . . . .	22		No
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i> . . . . .	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25</i> . . . . .	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . .	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . .	24d		
25a	<b>Section 501(c)(3) and 501(c)(4) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i> . . . . .	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i> . . . . .	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highest compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i> . . . . .	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i> . . . . .	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i> . . . .	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i> . . . .	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i> . . . . .	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i> . . . . .	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i> . . . . .	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i> . . . . .	33		No
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i> . . . . .	34		No
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		No
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> . . . .	35b		
36	<b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i> . . . . .	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O . . . . .	38	Yes	

Part V

Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response to any question in this Part V

☐

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.	61	
1b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	0	
1c			
2a		70	
2b		Yes	
3a			No
3b			
4a			No
b			
5a			No
5b			No
5c			
6a			No
6b			
7			
7a		Yes	
7b		Yes	
7c			No
7d			
7e			No
7f			No
7g			
7h			
8			
9			
9a			
9b			
10			
10a			
10b			
11			
11a			
11b			
12a			
12b			
13			
13a			
13b			
13c			
14a			No
14b			

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	18	
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O		
b	Enter the number of voting members included in line 1a, above, who are independent	17	
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3	No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5	No
6	Did the organization have members or stockholders?	6	No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	No
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following		
a	The governing body?	8a	Yes
b	Each committee with authority to act on behalf of the governing body?	8b	Yes
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a	No
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	Yes
13	Did the organization have a written whistleblower policy?	13	Yes
14	Did the organization have a written document retention and destruction policy?	14	Yes
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a	The organization's CEO, Executive Director, or top management official	15a	Yes
b	Other officers or key employees of the organization	15b	No
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)		
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed	AL, AK, AZ, AR, CA, CO, CT, DE, DC, FL, GA, ID, IL, IA, KS, KY, LA, ME, MD, MA, MI, MN, MS, MO, MT, NE, NH, NJ, NM, NY, NC, ND, OH, OK, OR, PA, RI, SC, SD, TN, TX, VI, VT, VA, WA, WI, WY, IN, NV, HI, UT, WV
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.	<input checked="" type="checkbox"/> Own website <input checked="" type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request <input type="checkbox"/> Other (explain in Schedule O)
19	Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year	
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization	RYAN CHAVEZ DIR OF FINANCE AND ADMIN 131 W 33RD STREET 15TH FLOOR NEW YORK, NY (212) 613-8041

Part VII

Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response to any question in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year
- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid

List all of the organization's **current** key employees, if any See instructions for definition of "key employee "

List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations

List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations

List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(1) IRA GLASSER PRESIDENT	2 00	X		X				0	0	0
(2) REV EDWIN SANDERS SECRETARY	2 00	X		X				0	0	0
(3) RICHARD B WOLF TREASURER	2 00	X		X				0	0	0
(4) ETHAN NADELMANN EXECUTIVE DIRECTOR	52 00	X		X				257,966	0	35,760
(5) CARL HART PHD DIRECTOR	1 00	X						0	0	0
(6) JODIE EVANS DIRECTOR	1 00	X						0	0	0
(7) JAMES E FERGUSON II DIRECTOR	1 00	X						0	0	0
(8) MATHILDE KRIM PHD DIRECTOR	2 00	X						0	0	0
(9) PAMELA LICHTY DIRECTOR	1 00	X						0	0	0
(10) ROBERT NEWMAN MD DIRECTOR	2 00	X						0	0	0
(11) GEORGE SOROS DIRECTOR	1 00	X						0	0	0
(12) DR CHRISTINE DOWNTON DIRECTOR	1 00	X						0	0	0
(13) JASON FLOM DIRECTOR	1 00	X						0	0	0
(14) JOHN VASCONCELLOS DIRECTOR	1 00	X						0	0	0
(15) ILONA SZABO DE CAVALHO DIRECTOR	1 00	X						0	0	0
(16) THE HON LARRY CAMPBELL DIRECTOR	1 00	X						0	0	0
(17) DAVID C LEWIS MD DIRECTOR	1 00	X						0	0	0



## Part VII

<b>1b</b>	<b>Sub-Total . . . . .</b>			
<b>c</b>	<b>Total from continuation sheets to Part VII, Section A . . . . .</b>			
<b>d</b>	<b>Total (add lines 1b and 1c) . . . . .</b>	989,599	0	146,336

**2** Total number of individuals (including but not limited to those listed in Item 1) who received more than \$100,000 of reportable compensation from the organization. 9

<b>3</b>	Did the organization list any <b>former</b> officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> . . . . .
<b>4</b>	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> . . . . .
<b>5</b>	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> . . . . .

## **Section B. Independent Contractors**

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization 0

Part VIII

Statement of Revenue

Check if Schedule O contains a response to any question in this Part VIII

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns . . .	1a				
	b	Membership dues . . . . .	1b	1,116,352			
	c	Fundraising events . . . . .	1c	17,150			
	d	Related organizations . . . .	1d				
	e	Government grants (contributions)	1e				
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	7,766,285			
	g	Noncash contributions included in lines 1a-1f \$		666,642			
	h	Total. Add lines 1a-1f . . . . .		8,899,787			
Program Service Revenue			Business Code				
	2a	DRUG POLICY ACTION ADM	900099	142,932	142,932		
	b	PUBLICATIONS AND VIDEO	900099	7,281	7,281		
	c	REGISTRATION AND CONFE	900099	950	950		
	d						
	e						
	f	All other program service revenue					
	g	Total. Add lines 2a-2f . . . . .		151,163			
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts) . . . . .		8,656			8,656
	4	Income from investment of tax-exempt bond proceeds . .					
	5	Royalties . . . . .		9,890			9,890
			(i) Real	(ii) Personal			
	6a	Gross rents					
	b	Less rental expenses					
	c	Rental income or (loss)					
	d	Net rental income or (loss) . . . . .					
			(i) Securities	(ii) Other			
	7a	Gross amount from sales of assets other than inventory	750,295				
	b	Less cost or other basis and sales expenses	749,846				
	c	Gain or (loss)	449				
	d	Net gain or (loss) . . . . .		449			449
	8a	Gross income from fundraising events (not including \$ 17,150 of contributions reported on line 1c) See Part IV, line 18 . . . . .					
		a	72,150				
	b	Less direct expenses . . . .	b	87,098			
	c	Net income or (loss) from fundraising events . .		-14,948			-14,948
	9a	Gross income from gaming activities See Part IV, line 19 . . . . .					
		a					
	b	Less direct expenses . . . .	b				
	c	Net income or (loss) from gaming activities . .					
	10a	Gross sales of inventory, less returns and allowances .					
	a						
b	Less cost of goods sold . . .	b					
c	Net income or (loss) from sales of inventory . .						
Miscellaneous Revenue		Business Code					
11a	HONORARIUMS AND REBATE	900099	697			697	
b							
c							
d	All other revenue . . . . .						
e	Total. Add lines 11a-11d . . . . .		697				
12	Total revenue. See Instructions . . . . .		9,055,694	151,163	0	4,744	

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response to any question in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the United States. See Part IV, line 21.	1,156,507	1,156,507		
2	Grants and other assistance to individuals in the United States. See Part IV, line 22.				
3	Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16.				
4	Benefits paid to or for members.				
5	Compensation of current officers, directors, trustees, and key employees.	460,454	183,707	215,511	61,236
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).				
7	Other salaries and wages.	3,913,537	3,072,804	547,593	293,140
8	Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions).	254,576	196,655	39,868	18,053
9	Other employee benefits.	430,675	316,570	80,453	33,652
10	Payroll taxes.	341,261	246,272	67,854	27,135
11	Fees for services (non-employees):				
a	Management.	24,641	2,900	18,066	3,675
b	Legal.	38,715	25	38,690	
c	Accounting.	34,793		34,793	
d	Lobbying.	426,930	426,930		
e	Professional fundraising services. See Part IV, line 17.	81,500			81,500
f	Investment management fees.				
g	Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O).				
12	Advertising and promotion.	131,217	130,797	250	170
13	Office expenses.	648,176	533,180	113,954	1,042
14	Information technology.	61,534	49,499	8,470	3,565
15	Royalties.	84,474	5,146		79,328
16	Occupancy.	586,085	406,912	137,165	42,008
17	Travel.	457,734	410,920	34,401	12,413
18	Payments of travel or entertainment expenses for any federal, state, or local public officials.				
19	Conferences, conventions, and meetings.	181,344	176,890	4,454	
20	Interest.				
21	Payments to affiliates.				
22	Depreciation, depletion, and amortization.	65,203	41,865	16,638	6,700
23	Insurance.	61,012	4,586	56,426	
24	Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O).				
a	FUNDRAISING EXPENSE	1,014,171			1,014,171
b	PROGRAM FEES	585,758	514,934	59,368	11,456
c	MEMBERSHIP/SUBSCRIPTION	115,707	108,689	2,421	4,597
d	BOARD EXPENSES	12,445		12,445	
e	All other expenses				
25	Total functional expenses. Add lines 1 through 24e.	11,168,449	7,985,788	1,488,820	1,693,841
26	Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).	466,672	146,672	0	320,000

Part X

Balance Sheet

Check if Schedule O contains a response to any question in this Part X

☒

				(A)		(B)
				Beginning of year		End of year
Assets	1	Cash—non-interest-bearing		265,842	1	157,660
	2	Savings and temporary cash investments		3,251,141	2	3,654,627
	3	Pledges and grants receivable, net		39,425,255	3	37,001,808
	4	Accounts receivable, net		125,569	4	71,091
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L			5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L			6	
	7	Notes and loans receivable, net			7	
	8	Inventories for sale or use			8	
	9	Prepaid expenses and deferred charges		68,282	9	60,965
	10a	Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D	10a5,926,100			
	b	Less accumulated depreciation	10b396,287	5,503,706	10c	5,529,813
	11	Investments—publicly traded securities			11	
	12	Investments—other securities See Part IV, line 11			12	
	13	Investments—program-related See Part IV, line 11			13	
	14	Intangible assets			14	
	15	Other assets See Part IV, line 11		90,048	15	22,709
	16	<b>Total assets.</b> Add lines 1 through 15 (must equal line 34)		48,729,843	16	46,498,673
Liabilities	17	Accounts payable and accrued expenses		824,207	17	767,772
	18	Grants payable			18	
	19	Deferred revenue			19	
	20	Tax-exempt bond liabilities			20	
	21	Escrow or custodial account liability Complete Part IV of Schedule D			21	
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L			22	
	23	Secured mortgages and notes payable to unrelated third parties		3,082,145	23	3,015,760
	24	Unsecured notes and loans payable to unrelated third parties			24	
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D			25	
	26	<b>Total liabilities.</b> Add lines 17 through 25		3,906,352	26	3,783,532
Net Assets or Fund Balances	<b>Organizations that follow SFAS 117 (ASC 958), check here</b> <input checked="" type="checkbox"/> <b>and complete lines 27 through 29, and lines 33 and 34.</b>					
	27	Unrestricted net assets		6,033,363	27	6,865,876
	28	Temporarily restricted net assets		38,790,128	28	35,849,265
	29	Permanently restricted net assets			29	
	<b>Organizations that do not follow SFAS 117 (ASC 958), check here</b> <input type="checkbox"/> <b>and complete lines 30 through 34.</b>					
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		44,823,491	33	42,715,141
	34	Total liabilities and net assets/fund balances		48,729,843	34	46,498,673

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI . . . . .

1	Total revenue (must equal Part VIII, column (A), line 12) . . . . .	1	9,055,694
2	Total expenses (must equal Part IX, column (A), line 25) . . . . .	2	11,168,449
3	Revenue less expenses Subtract line 2 from line 1 . . . . .	3	-2,112,755
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) . . . . .	4	44,823,491
5	Net unrealized gains (losses) on investments . . . . .	5	
6	Donated services and use of facilities . . . . .	6	
7	Investment expenses . . . . .	7	
8	Prior period adjustments . . . . .	8	
9	Other changes in net assets or fund balances (explain in Schedule O) . . . . .	9	4,405
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	42,715,141

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII . . . . .

		Yes	No
1	Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		No
2b	Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	Yes	
2c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		No
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

SCHEDULE A  
(Form 990 or 990EZ)

Department of the Treasury  
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public  
Inspection

Name of the organization DRUG POLICY ALLIANCE	Employer identification number 52-1516692
--------------------------------------------------	----------------------------------------------

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box )

1	<input type="checkbox"/>	A church, convention of churches, or association of churches described in <b>section 170(b)(1)(A)(i).</b>
2	<input type="checkbox"/>	A school described in <b>section 170(b)(1)(A)(ii).</b> (Attach Schedule E )
3	<input type="checkbox"/>	A hospital or a cooperative hospital service organization described in <b>section 170(b)(1)(A)(iii).</b>
4	<input type="checkbox"/>	A medical research organization operated in conjunction with a hospital described in <b>section 170(b)(1)(A)(iii).</b> Enter the hospital's name, city, and state _____
5	<input type="checkbox"/>	An organization operated for the benefit of a college or university owned or operated by a governmental unit described in <b>section 170(b)(1)(A)(iv).</b> (Complete Part II )
6	<input type="checkbox"/>	A federal, state, or local government or governmental unit described in <b>section 170(b)(1)(A)(v).</b>
7	<input checked="" type="checkbox"/>	An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in <b>section 170(b)(1)(A)(vi).</b> (Complete Part II )
8	<input type="checkbox"/>	A community trust described in <b>section 170(b)(1)(A)(vi)</b> (Complete Part II )
9	<input type="checkbox"/>	An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See <b>section 509(a)(2).</b> (Complete Part III )
10	<input type="checkbox"/>	An organization organized and operated exclusively to test for public safety See <b>section 509(a)(4).</b>
11	<input type="checkbox"/>	An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See <b>section 509(a)(3).</b> Check the box that describes the type of supporting organization and complete lines 11e through 11h <div><b>a</b> <input type="checkbox"/> Type I   <b>b</b> <input type="checkbox"/> Type II   <b>c</b> <input type="checkbox"/> Type III - Functionally integrated   <b>d</b> <input type="checkbox"/> Type III - Non-functionally integrated</div>
e	<input type="checkbox"/>	By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)
f	<input type="checkbox"/>	If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
g	<input type="checkbox"/>	Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons? <div><b>(i)</b> A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? <b>(ii)</b> A family member of a person described in (i) above? <b>(iii)</b> A 35% controlled entity of a person described in (i) or (ii) above?</div>
h	<input type="checkbox"/>	Provide the following information about the supported organization(s)

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1 - 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
Total									

Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)  
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")	9,689,876	8,402,823	9,039,672	46,628,606	8,899,787	82,660,764
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	9,689,876	8,402,823	9,039,672	46,628,606	8,899,787	82,660,764
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						55,721,212
6 Public support. Subtract line 5 from line 4						26,939,552

Section B. Total Support							
Calendar year (or fiscal year beginning in) ▶		(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
7	Amounts from line 4	9,689,876	8,402,823	9,039,672	46,628,606	8,899,787	82,660,764
8	Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	57,310	29,654	16,995	11,758	18,546	134,263
9	Net income from unrelated business activities, whether or not the business is regularly carried on						
10	Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV )	22,837	5,292	446,117	16,251	697	491,194
11	Total support (Add lines 7 through 10)						83,286,221
12	Gross receipts from related activities, etc (see instructions)					12	2,094,292
13	First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here . . . . . ▶						

Section C. Computation of Public Support Percentage			
14	Public support percentage for 2012 (line 6, column (f) divided by line 11, column (f))	14	32 350 %
15	Public support percentage for 2011 Schedule A, Part II, line 14	15	31 850 %
16a	<b>33 1/3% support test—2012.</b> If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization		
b	<b>33 1/3% support test—2011.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization		
17a	<b>10%-facts-and-circumstances test—2012.</b> If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization		
b	<b>10%-facts-and-circumstances test—2011.</b> If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and <b>stop here.</b> Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization		
18	<b>Private foundation.</b> If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions		

Part IIISupport Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6.)						

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage		
15 Public support percentage for 2012 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2011 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage		
17 Investment income percentage for 2012 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2011 Schedule A, Part III, line 17	18	
19a 33 1/3% support tests—2012. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
b 33 1/3% support tests—2011. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶		



Part IV

Supplemental Information. Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test
DPA WAS ORGANIZED AND INCORPORATED IN 2000 AS A 501(C)(3) PUBLIC CHARITY TO SUPPORT DRUG POLICY AND LEGAL REFORM AT THE NATIONAL, LOCAL AND STATE LEVEL DPA'S ADVOCACY GRANTS PROGRAM SUPPORTS PARTNER ORGANIZATIONS AT THE NATIONAL AND LOCAL LEVELS IN SUPPORT OF THEIR EFFORTS TO REFORM DRUG POLICY DPA IS AN ORGANIZATION WHICH RELIES HEAVILY ON CONTRIBUTIONS FROM THE PUBIC TO SUPPORT ITS INTERNAL ADVOCACY AND GRANTS PROGRAMS BETWEEN 2000 AND 2010, DPA HAD PUBLIC SUPPORT PERCENTAGES WHICH CONSISTENTLY EXCEEDED THE 33 1/3% PUBLIC SUPPORT THRESHOLD AS THE VAST MAJORITY OF ITS FUNDING COMES FROM CHARITABLE CONTRIBUTIONS IN 2011, THE OPEN POLICY FOUNDATION MADE A VERY GENEROUS \$50,000,000 PLEDGE WHICH IS TO BE PAID IN \$5,000,000 INCREMENTS FROM 2011 (FYE 06/30/2012) TO 2021 (FYE 06/30/2022) DPA CAN NOW PLAN ACCORDINGLY KNOWING IT HAS LOCKED IN FUNDING TO COVER ITS FUTURE OPERATIONAL COSTS SINCE DPA IS AN ACCRUAL BASED TAX PAYER, THIS LARGE PLEDGE WAS PROPERLY INCLUDED IN ITS ENTIRETY (AFTER MINIMAL DISCOUNTING) ON FORM 990, SCHEDULE A, PART II, LINE 1 UNFORTUNATELY, THE 2% LIMITATION ON ALL CONTRIBUTIONS OF THE DONOR INCLUDED ON SCHEDULE A (WHETHER A PLEDGE OR ACTUALLY RECEIVED BY THE ORGANIZATION) ELIMINATES A MAJORITY OF OPEN POLICY FOUNDATION'S CONTRIBUTIONS, AND DECREASES THE ORGANIZATION'S PUBLIC SUPPORT PERCENTAGE FOR 2011 AND 2012, TO 31 85% AND 32 35% RESPECTIVELY IF THE PUBLIC SUPPORT TEST INSTEAD WAS BASED ON ACTUAL CONTRIBUTIONS RECEIVED \$5,000,000 IN 2011 INSTEAD OF THE ENTIRE DISCOUNTED PLEDGE AMOUNT OF \$41,743,818, THE ORGANIZATION PUBLIC SUPPORT PERCENTAGE WOULD HAVE CONTINUED TO CONSISTENTLY EXCEED THE 33 1/3% PUBLIC SUPPORT PERCENTAGE THRESHOLD SINCE THE REQUIRED PUBLIC SUPPORT PERCENTAGE FOR 2011 AND 2012 WAS NOT EXCEEDED, WITH THE INFORMATION PROVIDED BELOW DPA SETS FORTH TO DEMONSTRATE THE ORGANIZATION'S CAPACITY TO SATISFY THE ELEMENTS OF THE "10% FACTS AND CIRCUMSTANCES TEST" AS DESCRIBED IN REGULATION SECTION 1.170A-9(F)(3) AND CONTINUE TO OPERATE AS A PUBLIC CHARITY 1) THE TOTAL AMOUNT OF PUBLIC SUPPORT NORMALLY RECEIVED BY THE ORGANIZATION IS AT LEAST 10% OF ITS SUPPORT NORMALLY RECEIVED SINCE ITS INCEPTION, DPA HAS MET AND EXCEEDED THIS ELEMENT OF THE TEST IN FACT, FOR THE TWO YEARS IN QUESTION, 2011 AND 2012, THE FOUNDATION'S PUBLIC SUPPORT LEVELS FAR EXCEEDED 10% OF THE REQUIRED MINIMUM PUBLIC SUPPORT PERCENTAGE TO BE CONSIDERED SUBSTANTIALLY SUPPORTED BY THE GENERAL PUBLIC (31 85% AND 32 35% RESPECTIVELY) SINCE DPA CONTINUES TO ACTIVELY SOLICIT CONTRIBUTIONS (\$8,899,787 IN 2012), DPA EXPECTS ITS PUBLIC SUPPORT PERCENTAGE TO CONSISTENTLY INCREASE AND WILL EVENTUALLY VASTLY INCREASE WHEN THE LARGE PLEDGE FROM OPEN SOCIETY FOUNDATION IS REMOVED FROM DPA'S PUBLIC SUPPORT CALCULATION IN 2016 (FYE 06/30/2017) 2) THE ORGANIZATION HAS A CONTINUOUS AND BONA-FIDE PROGRAM FOR SOLICITATION OF FUNDS FROM THE GENERAL PUBLIC, GOVERNMENTAL UNITS, OR PUBLIC CHARITIES IN 2012, \$1,693,841 OF ITS TOTAL FUNCTIONAL EXPENSES OF \$11,168,449 WAS DEDICATED TO FUNDRAISING IN 2012, DPA HIRED OUTSIDE FUNDRAISERS, A B DATA AND MF PRODUCTIONS, WHICH RAISED \$1,050,352 AND \$73,800, RESPECTIVELY FOR THE ORGANIZATION SINCE DPA HAS MINIMAL INVESTMENTS OR OTHER INCOME, DPA MUST CONTINUE TO ACTIVELY SOLICIT CONTRIBUTIONS TO BE ABLE TO SUPPORT ITS INTERNAL ADVOCACY PROGRAMS AND TO PROVIDE SUPPORT TO SIMILAR ORGANIZATIONS THROUGHOUT THE NATION 3) ALL OTHER PERTINENT FACTS AND CIRCUMSTANCES INCLUDING THE PERCENTAGE OF ITS SUPPORT FROM GOVERNMENTAL AND PUBLIC SOURCES, THE PUBLIC NATURE OF THE ORGANIZATION'S GOVERNING BOARD, THE EXTENT TO WHICH ITS FACILITIES AND PROGRAMS ARE PUBLICLY AVAILABLE, AND WHETHER ITS ACTIVITIES ARE LIKELY TO APPEAL TO PERSONS HAVING SOME BOARD COMMON INTEREST OR PURPOSE DPA IS AN ORGANIZATION WHICH HAS CONSISTENTLY EXCEEDED ITS PUBLIC SUPPORT THRESHOLD AND WILL CONTINUE TO EXCEED THIS THRESHOLD ONCE THE LARGE PLEDGE FROM THE OPEN SOCIETY FOUNDATION IS ELIMINATED FROM THE PUBLIC SUPPORT TEST (IF NOT SOONER) SIXTEEN OF THE SEVENTEEN MEMBERS OF THE DPA'S BOARD ARE CLASSIFIED AS INDEPENDENT VOTING MEMBERS, THESE BOARD MEMBERS HAVE DIVERSE BACKGROUNDS INCLUDING BUSINESS, LAW, AND PUBLIC ADVOCACY, BUT THEY ALL HAVE A COMMON INTEREST IN DRUG POLICY AND OTHER LEGAL REFORM ON A FEDERAL AND STATE LEVEL DPA'S PROGRAMS AND GRANTS ARE FOCUSED ON CHANGES TO THE FEDERAL AND STATE DRUG LAWS WHICH WILL DIRECTLY BENEFIT THE GENERAL PUBLIC AND SOCIETY AS A WHOLE DPA'S ADVOCACY GRANTS PROGRAM SUPPORTS PARTNER ORGANIZATIONS THROUGHOUT THE COUNTRY AT THE NATIONAL AND LOCAL LEVELS TO ENSURE AS MANY MEMBERS OF GENERAL PUBLIC AS POSSIBLE BENEFIT FROM ITS EFFORTS TO REFORM DRUG POLICY

Explanation
SCHEDULE A, PART II, LINE 10, EXPLANATION OF OTHER INCOME OTHER INCOME - 2008 AMOUNT \$ 22,837 2009 AMOUNT \$ 5,292 2011 AMOUNT \$ 16,251 HONORARIUMS AND REBATE - 2012 AMOUNT \$ 697 REIMBURSED FEES - 2010 AMOUNT \$ 269,769 TAX REFUND - 2010 AMOUNT \$ 3,823 PROP 19 CAMPAIGN - 2010 AMOUNT \$ 172,525

SCHEDULE C

(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

▶ Complete if the organization is described below. ▶ Attach to Form 990 or Form 990-EZ.  
▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

If the organization answered “Yes” to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered “Yes” to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered “Yes” to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization DRUG POLICY ALLIANCE	Employer identification number  52-1516692
--------------------------------------------------	--------------------------------------------------

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1	Provide a description of the organization’s direct and indirect political campaign activities in Part IV	
2	Political expenditures	▶ \$
3	Volunteer hours	

Part I-B Complete if the organization is exempt under section 501(c)(3).

1	Enter the amount of any excise tax incurred by the organization under section 4955	▶ \$
2	Enter the amount of any excise tax incurred by organization managers under section 4955	▶ \$
3	If the organization incurred a section 4955 tax, did it file Form 4720 for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
4a	Was a correction made?	<input type="checkbox"/> Yes <input type="checkbox"/> No
b	If “Yes,” describe in Part IV	

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1	Enter the amount directly expended by the filing organization for section 527 exempt function activities	▶ \$
2	Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities	▶ \$
3	Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b	▶ \$
4	Did the filing organization file Form 1120-POL for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
5	Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization’s funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV	

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A

Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A
- Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)
- B
- Check ☐ if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)		13,846													
b Total lobbying expenditures to influence a legislative body (direct lobbying)		542,776													
c Total lobbying expenditures (add lines 1a and 1b)		556,622													
d Other exempt purpose expenditures		9,470,203													
e Total exempt purpose expenditures (add lines 1c and 1d)		10,026,825													
f Lobbying nontaxable amount Enter the amount from the following table in both columns		651,341													
<table><tr><th>If the amount on line 1e, column (a) or (b) is:</th><th>The lobbying nontaxable amount is:</th></tr><tr><td>Not over \$500,000</td><td>20% of the amount on line 1e</td></tr><tr><td>Over \$500,000 but not over \$1,000,000</td><td>\$100,000 plus 15% of the excess over \$500,000</td></tr><tr><td>Over \$1,000,000 but not over \$1,500,000</td><td>\$175,000 plus 10% of the excess over \$1,000,000</td></tr><tr><td>Over \$1,500,000 but not over \$17,000,000</td><td>\$225,000 plus 5% of the excess over \$1,500,000</td></tr><tr><td>Over \$17,000,000</td><td>\$1,000,000</td></tr></table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
g Grassroots nontaxable amount (enter 25% of line 1f)		162,835													
h Subtract line 1g from line 1a If zero or less, enter -0-		0													
i Subtract line 1f from line 1c If zero or less, enter -0-		0													
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		<input type="checkbox"/> Yes <input type="checkbox"/> No													

4-Year Averaging Period Under Section 501(h)  
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) Total
2a Lobbying nontaxable amount	508,157	541,707	577,479	651,341	2,278,684
b Lobbying ceiling amount (150% of line 2a, column(e))					3,418,026
c Total lobbying expenditures	419,304	273,989	286,765	556,622	1,536,680
d Grassroots nontaxable amount	127,039	135,427	144,370	162,835	569,671
e Grassroots ceiling amount (150% of line 2d, column (e))					854,507
f Grassroots lobbying expenditures	14,551	7,485	11,406	13,846	47,288

Part II-B

Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?			
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c	Media advertisements?			
d	Mailings to members, legislators, or the public?			
e	Publications, or published or broadcast statements?			
f	Grants to other organizations for lobbying purposes?			
g	Direct contact with legislators, their staffs, government officials, or a legislative body?			
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i	Other activities?			
j	Total. Add lines 1c through 1i.			
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b	If "Yes," enter the amount of any tax incurred under section 4912.			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912.			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

		Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1	
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV

Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, line 2, and Part II-B, line 1. Also, complete this part for any additional information.

Identifier	Return Reference	Explanation
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SCHEDULE D  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Supplemental Financial Statements

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization DRUG POLICY ALLIANCE	Employer identification number 52-1516692
--------------------------------------------------	----------------------------------------------

Part I

Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	
2	Aggregate contributions to (during year)	
3	Aggregate grants from (during year)	
4	Aggregate value at end of year	
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? <div><input type="checkbox"/> Yes<input type="checkbox"/> No</div>	
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? <div><input type="checkbox"/> Yes<input type="checkbox"/> No</div>	

Part II

Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1

Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or education)☐ Preservation of an historically important land area☐ Protection of natural habitat☐ Preservation of a certified historic structure☐ Preservation of open space

2

Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
a	Total number of conservation easements
b	Total acreage restricted by conservation easements
c	Number of conservation easements on a certified historic structure included in (a)
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register

3

Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶\_\_\_\_\_

4

Number of states where property subject to conservation easement is located ▶\_\_\_\_\_

5

Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes☐ No

6

Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶\_\_\_\_\_

7

Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶\$ \_\_\_\_\_

8

Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

☐ Yes☐ No

9

In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a

If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b

If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1

▶\$ \_\_\_\_\_

(ii) Assets included in Form 990, Part X

▶\$ \_\_\_\_\_

2

If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a

Revenues included in Form 990, Part VIII, line 1

▶\$ \_\_\_\_\_

b

Assets included in Form 990, Part X

▶\$ \_\_\_\_\_

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** *(continued)*

3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☐ Public exhibition

b

☐ Scholarly research

c

☐ Preservation for future generations

d

☐ Loan or exchange programs

e

☐ Other

4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☐ No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII and complete the following table

c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

	Amount
1c	
1d	
1e	
1f	

2a

Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes

☐ No

b

If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII

☐

**Part V Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current year	(b)Prior year	b (c)Two years back	(d)Three years back	(e)Four years back
1a	Beginning of year balance				
b	Contributions				
c	Net investment earnings, gains, and losses				
d	Grants or scholarships				
e	Other expenditures for facilities and programs				
f	Administrative expenses				
g	End of year balance				

2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a

Board designated or quasi-endowment

b

Permanent endowment

c

Temporarily restricted endowment

The percentages in lines 2a, 2b, and 2c should equal 100%

3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i)

unrelated organizations

(ii)

related organizations

b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

	Yes	No
3a(i)		
3a(ii)		
3b		

4 Describe in Part XIII the intended uses of the organization's endowment funds

**Part VI Land, Buildings, and Equipment.** See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings		4,340,092		4,340,092
c Leasehold improvements		855,820	27,909	827,911
d Equipment		680,278	368,378	311,900
e Other		49,910		49,910
Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				5,529,813



**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return**

<b>1</b>	Total revenue, gains, and other support per audited financial statements . . . . .	<b>1</b>	9,142,792
<b>2</b>	Amounts included on line 1 but not on Form 990, Part VIII, line 12		
<b>a</b>	Net unrealized gains on investments . . . . .	<b>2a</b>	
<b>b</b>	Donated services and use of facilities . . . . .	<b>2b</b>	
<b>c</b>	Recoveries of prior year grants . . . . .	<b>2c</b>	
<b>d</b>	Other (Describe in Part XIII ) . . . . .	<b>2d</b>	87,098
<b>e</b>	Add lines <b>2a</b> through <b>2d</b> . . . . .	<b>2e</b>	87,098
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b> . . . . .	<b>3</b>	9,055,694
<b>4</b>	Amounts included on Form 990, Part VIII, line 12, but not on line <b>1</b>		
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	<b>4a</b>	
<b>b</b>	Other (Describe in Part XIII ) . . . . .	<b>4b</b>	
<b>c</b>	Add lines <b>4a</b> and <b>4b</b> . . . . .	<b>4c</b>	0
<b>5</b>	Total revenue Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 12 ) . . . . .	<b>5</b>	9,055,694

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return**

<b>1</b>	Total expenses and losses per audited financial statements . . . . .	<b>1</b>	11,251,142
<b>2</b>	Amounts included on line 1 but not on Form 990, Part IX, line 25		
<b>a</b>	Donated services and use of facilities . . . . .	<b>2a</b>	
<b>b</b>	Prior year adjustments . . . . .	<b>2b</b>	
<b>c</b>	Other losses . . . . .	<b>2c</b>	
<b>d</b>	Other (Describe in Part XIII ) . . . . .	<b>2d</b>	87,098
<b>e</b>	Add lines <b>2a</b> through <b>2d</b> . . . . .	<b>2e</b>	87,098
<b>3</b>	Subtract line <b>2e</b> from line <b>1</b> . . . . .	<b>3</b>	11,164,044
<b>4</b>	Amounts included on Form 990, Part IX, line 25, but not on line <b>1</b> :		
<b>a</b>	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	<b>4a</b>	
<b>b</b>	Other (Describe in Part XIII ) . . . . .	<b>4b</b>	4,405
<b>c</b>	Add lines <b>4a</b> and <b>4b</b> . . . . .	<b>4c</b>	4,405
<b>5</b>	Total expenses Add lines <b>3</b> and <b>4c</b> . (This must equal Form 990, Part I, line 18 ) . . . . .	<b>5</b>	11,168,449

**Part XIII Supplemental Information**

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Identifier	Return Reference	Explanation
DESCRIPTION OF UNCERTAIN TAX POSITIONS UNDER FIN 48	PART X, LINE 2	DPA RECOGNIZES THE EFFECT OF INCOME TAX POSITIONS ONLY IF THOSE POSITIONS ARE MORE LIKELY THAN NOT OF BEING SUSTAINED. MANAGEMENT HAS DETERMINED THAT DPA HAD NO UNCERTAIN TAX POSITIONS THAT WOULD REQUIRE FINANCIAL STATEMENT RECOGNITION. DPA IS NO LONGER SUBJECT TO AUDITS BY THE APPLICABLE TAXING JURISDICTIONS FOR THE PERIODS PRIOR TO 2009.
PART XI, LINE 2D - OTHER ADJUSTMENTS		EVENT EXPENSES REPORTED ON FORM 990, PART VIII, LINE 8B 87,098
PART XII, LINE 2D - OTHER ADJUSTMENTS		EVENT EXPENSES REPORTED ON FORM 990, PART VIII, LINE 8B 87,098
PART XII, LINE 4B - OTHER ADJUSTMENTS		GRANT REFUNDS NETTED AGAINST GRANT EXPENSE 4,405



SCHEDULE F  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Statement of Activities Outside the United States

► Complete if the organization answered "Yes" to Form 990,  
Part IV, line 14b, 15, or 16.  
  
► Attach to Form 990. ► See separate instructions.

OMB No 1545-0047

2012

Open to Public  
Inspection

Name of the organization  
DRUG POLICY ALLIANCE

Employer identification number  
  
52-1516692

Part I

General Information on Activities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

- 1

**For grantmakers.** Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . ☒ **Yes** ☐ **No**
- 2

**For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of grant funds outside the United States.
- 3

Activites per Region (The following Part I, line 3 table can be duplicated if additional space is needed )

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
SOUTH AMERICA	0	1	PROGRAM SERVICES	WORKING WITH/ADVISING/CONSULTING THE COALITION OF CIVIL SOCIETY ORGANIZATIONS IN THE COUNTRY OF URAGUAY, FORMED TO CREATE THE PUBLIC CAMPAIGN IN SUPPORT OF THE COUNTRY'S MARIJUANA REGULATION BILL WE HELD EVENTS AROUND THE COUNTRY TO RAISE AWARENESS OF THE BILL, DISSEMINATED PRINT INFORMATION, DID PRESS OUTREACH, AND PRODUCED AN EXTENDED PAID MEDIA CAMPAIGN IN THE FORM OF TV AND RADIO SPOTS AND POSTERS ON BUSES	21,942
3a Sub-total	0	1			21,942
3b Total from continuation sheets to Part I	0	0			0
3c Totals (add lines 3a and 3b)	0	1			21,942

Part II

Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)

- 2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . ▶
- 3 Enter total number of other organizations or entities . . . . . ▶

## Part III

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
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**Part IV Foreign Forms**

- 1

Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)*

☐ Yes

☒ No
- 2

Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A)*

☐ Yes

☒ No
- 3

Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)*

☐ Yes

☒ No
- 4

Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)*

☐ Yes

☒ No
- 5

Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships. (see Instructions for Form 8865)*

☐ Yes

☒ No
- 6

Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713).*

☐ Yes

☒ No

**Supplemental Information**  
Complete this part to provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

[illegible]

SCHEDULE G  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Supplemental Information Regarding  
Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a. Form 990-EZ filers are not required to complete this part.  
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization  
DRUG POLICY ALLIANCE

Employer identification number  
52-1516692

Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17.

- 1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- a ☒ Mail solicitations

e ☒ Solicitation of non-government grants

b ☒ Internet and email solicitations

f ☒ Solicitation of government grants

c ☒ Phone solicitations

g ☒ Special fundraising events

d ☒ In-person solicitations
- 2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☒ Yes ☐ No
- b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
AB DATA PO BOX 170062  MILWAUKEE, WI 532178000	PROFESSIONAL FUNDRAISER		No	1,116,352	66,000	1,050,352
MF PRODUCTIONS 425 W 13TH STREET  NEWYORK, NY 10014	FUNDRAISING EVENT PRODUCTION AND SILENT AUCTION		No	89,300	15,500	73,800
Total . . . . . ▶				1,205,652	81,500	1,124,152

3 List all states in which the organization is registered or licensed to solicit funds or has been notified it is exempt from registration or licensing

AL, AK, AZ, AR, CA, CO, CT, DE, FL, GA, HI, ID, IL, IN, IA, KS, KY, LA, ME, MD, MA, MI, MN, MS, MO, MT, NE, NV, NH, NJ, NM, NY, NC, ND, OH, OK, OR, PA, RI, SC, SD, TN, TX, UT, VT, VA, WA, WV, WI, WY

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
		ART AUCTION (event type)	(event type)	(total number)	(add col (a) through col (c))
Revenue	1	Gross receipts . . . .	89,300		89,300
	2	Less Contributions . . .	17,150		17,150
	3	Gross income (line 1 minus line 2) . . . .	72,150		72,150
Direct Expenses	4	Cash prizes . . . .			
	5	Noncash prizes . . .			
	6	Rent/facility costs . . .	15,000		15,000
	7	Food and beverages .	19,480		19,480
	8	Entertainment . . . .	500		500
	9	Other direct expenses .	52,118		52,118
	10	Direct expense summary Add lines 4 through 9 in column (d) . . . . . ▶			
	11	Net income summary Combine line 3, column (d), and line 10 . . . . . ▶			
					(87,098)
					-14,948

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
Revenue	1	Gross revenue . . . . .			
	2	Cash prizes . . . . .			
	3	Non-cash prizes . . . .			
	4	Rent/facility costs . . . .			
	5	Other direct expenses . . .			
Direct Expenses	6	Volunteer labor . . . .			
	7	Direct expense summary Add lines 2 through 5 in column (d) . . . . . ▶			
	8	Net gaming income summary Combine lines 1 and 7 in column (d) . . . . . ▶			

9 Enter the state(s) in which the organization operates gaming activities \_\_\_\_\_

a Is the organization licensed to operate gaming activities in each of these states? . . . . . ☐ Yes ☐ No

b If "No," explain \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? . . . . . ☐ Yes ☐ No

b If "Yes," explain \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Does the organization operate gaming activities with nonmembers? ☐ Yes ☐ No

**12** Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☐ No

**13** Indicate the percentage of gaming activity operated in

<b>a</b> The organization's facility	<b>13a</b>	
<b>b</b> An outside facility	<b>13b</b>	

**14** Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

**15a** Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☐ No

**b** If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ▶ \$ \_\_\_\_\_

**c** If "Yes," enter name and address of the third party

Name ▶ \_\_\_\_\_

Address ▶ \_\_\_\_\_

**16** Gaming manager information

Name ▶ \_\_\_\_\_

Gaming manager compensation ▶ \$ \_\_\_\_\_

Description of services provided ▶ \_\_\_\_\_

☐ Director/officer ☐ Employee ☐ Independent contractor

**17** Mandatory distributions

**a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ Yes ☐ No

**b** Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ \_\_\_\_\_

**Part IV Supplemental Information.** Complete this part to provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

Identifier	Return Reference	Explanation
EXPLANATION OF FUNDRAISING PAYMENTS	SCHEDULE G, PART I, LINE 2B, COLUMN (V)	A B DATA, LTD WAS RETAINED FOR THE CHARITABLE PURPOSE OF FUNDRAISING SOLICITATION THROUGH DIRECT MAILING TO ASSIST DPA'S WORK IN BROADENING THE PUBLIC DEBATE ON DRUG POLICY AND TO PROMOTE REALISTIC ALTERNATIVES TO WAR ON DRUGS BASED ON SCIENCE, COMPASSION, PUBLIC HEALTH AND HUMAN RIGHTS THE ORGANIZATION DISTINGUISHES BETWEEN PAYMENT FOR CONSULTING FEES AND EXPENSE REIMBURSEMENT WITH A B DATA BASED ON SPECIFIC CONTRACT ARRANGEMENTS AND ITEMIZED INVOICING IN ADDITION TO THE \$66,000 OF CONSULTANT FEES PAID, A B DATA ALSO RECEIVED ADDITIONAL AMOUNTS OF \$1,012,733 AS REIMBURSEMENT FOR EXPENSES INCURRED MF PRODUCTIONS WAS RETAINED BY DRUG POLICY ALLIANCE AS THEIR ART AUCTION CONSULTANT AND TO ASSIST WITH FUNDRAISING PRODUCTIONS DRUG POLICY ALLIANCE AGREED TO RETAIN MF PRODUCTIONS IN CONNECTION WITH THE EVENT FOR A RETAINER FEE TOTALING \$15,500 IN ADDITION TO THE CONSULTANT FEES PAID, MF PRODUCTIONS ALSO RECEIVED ADDITIONAL AMOUNTS OF \$719 AS REIMBURSEMENT FOR EXPENSES INCURRED



Schedule I  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Name of the organization  
DRUG POLICY ALLIANCE

Grants and Other Assistance to Organizations,  
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990

OMB No 1545-0047

2012

Open to Public  
Inspection

Employer identification number  
52-1516692

Part I General Information on Grants and Assistance

1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . .

☒ Yes ☐ No

2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							

2

Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . .

54

3

Enter total number of other organizations listed in the line 1 table . . . . .

54

**Part III**

**Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22.  
Part III can be duplicated if additional space is needed.

(a)Type of grant or assistance	(b)Number of recipients	(c)Amount of cash grant	(d)Amount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance

**Part IV**

**Supplemental Information.**

Complete this part to provide the information required in Part I, line 2, Part III, column (b), and any other additional information

Identifier	Return Reference	Explanation
PROCEDURE FOR MONITORING GRANTS IN THE U S	PART I, LINE 2	SCHEDULE I, PART I, LINE 2 THE DRUG POLICY ALLIANCE'S ADVOCACY GRANTS PROGRAM IS DIVIDED INTO TWO DISTINCT FUNDING POOLS, THE ANNUAL PROMOTING POLICY CHANGE PROGRAM (PPC), WHICH GRANTS ROUGHLY \$750,000 OVER THE COURSE OF THE FISCAL YEAR, AND THE MONTHLY SPECIAL OPPORTUNITIES (SPOPP) PROGRAM, WHICH HAS THE CAPACITY TO GRANT \$420,000 OVER THE SAME PERIOD THE GRANTS PROGRAM IS PROMOTED THROUGH DPA'S WEB SITE, OTHER DRUG POLICY WEBSITES, CONFERENCES, AND WEBINARS AND BY DPA STAFF IN ALL STATES WHERE DPA HAS A PRESENCE GRANTS ARE OVERWHELMINGLY MADE TO ORGANIZATIONS THAT HAVE ACHIEVED 501(C)(3) STATUS AND THAT ORGANIZE AND CONDUCT PUBLIC EDUCATION CAMPAIGNS ALIGNED WITH OUR PRIMARY EXEMPT PURPOSE ALL APPLICANTS ARE REQUIRED TO SUBMIT A PROJECT PROPOSAL, MOST CURRENT IRS FORM 990, AUDITED FINANCIAL STATEMENTS, BOARD MEETINGS, KEY STAFF RESUMES, 501(C)(3) IRS EXEMPT LETTER APPLICANT ORGANIZATIONS THAT HAVE NOT RECEIVED THEIR 501(C)(3) EXEMPT LETTER NEED TO APPLY THROUGH A FISCAL SPONSOR WITH 501 (C)(3) STATUS THE PROGRAM IS MANAGED BY TWO PART-TIME STAFFERS WHO REVIEW ALL APPLICATIONS AND CONSULT HEAVILY WITH DPA STAFF KNOWLEDGEABLE ON THE ISSUES AND THE APPLICANT ORGANIZATIONS, COMMUNITY LEADERS AND OTHER DRUG POLICY REFORM EXPERTS AFTER THEIR INTERNAL REVIEW, THE STAFF PRESENTS THE APPLICATION AND THEIR RECOMMENDATIONS TO A SIX-PERSON REVIEW COMMITTEE COMPRISED OF A DPA BOARD MEMBER, COMMUNITY LEADERS, AND DPA'S EXECUTIVE DIRECTOR THE COMMITTEE IS ULTIMATELY RESPONSIBLE FOR MAKING AWARD DECISIONS THE PROGRAM STAFF MAINTAINS COMMUNICATION WITH THE GRANTEEES THROUGHOUT THE YEAR, AND THE GRANT RECIPIENT ORGANIZATIONS ATTEND AN ANNUAL PARTNERS MEETING TO DISCUSS THEIR PROJECTS AND OUTCOMES AT THE END OF THE GRANT CYCLE, ALL GRANTEEES SUBMIT A FINAL NARRATIVE AND EXPENDITURE REPORT

Software ID:

Software Version:

EIN: 52-1516692

Name: DRUG POLICY ALLIANCE

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
A BETTER WAY FOUNDATIONPO BOX 942 HARTFORD,CT 06101	06-1576383	501(C)3	20,000		N/A	N/A	IN SUPPORT OF THEIR WORK ON CONNECTICUT'S DRUG FREE SCHOOL ZONE LAW
A NEW PATH2527 DOUBLETREE ROAD SPRING VALLEY,CA 91978	33-0883927	501(C)3	50,000		N/A	N/A	TO SUPPORT EXPANDING PROGRAMS, CAMPAIGNS, VISIBILITY, INFLUENCE, AND THE EXPANSION OF THE MOMS UNITED TO END THE WAR ON DRUGS CAMPAIGN ON A NATIONAL LEVEL

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ALABAMA CITIZENS FOR DRUG POLICY REFORM 4633 PEARSON CHAPEL RD ALEXANDER CITY,AL 35010	82-0587071	501(C)3	15,000		N/A	N/A	TO ACCOMPLISH ORGANIZATION AND MOBILIZATION OF CONSTITUENCIES,
ALTERNET77 FEDERAL PLAZA FLOOR 2 SAN FRANCISCO,CA 94107	52-1309876	501(C)3	25,000		N/A		TO CONTINUE AND EXPAND ITS ROLE AS THE GO-TO OUTLET FOR EXPERT ANALYSIS, ORIGINAL REPORTING AND SOLUTIONS-BASED JOUINALISM ON THE ISSUE OF THE "DRUG WAR" AND DRUG REFORM

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CALIFORNIA SOCIETY OF ADDICTION MEDICINE (CSAM)575 MARKET STREET STE 2125 SAN FRANCISCO, CA 94105	23-7364605	501(C)3	30,000		N/A	N/A	TO CONTINUE ITS PUBLIC POLICY AND COMMUNICATIONS EFFORTS ON A STATEWIDE BASIS, AND PREPARE A REPORT ON DRUG POLICY REFORM IN CALIFORNIA
CANGRESS (DBA LA COMMUNITY ACTION NETWORK)530 S MAIN STREET LOS ANGELES, CA 90013	02-0661629	501(C)3	25,000		N/A	N/A	TO SUPPORT CANGRESS" CIVIL RIGHTS ADVOCACY PROJECT, WHICH INCLUDES GRASSROOTS ORGANIZLNG ADVOCACY AND PUBLIC EDUCATION COMPONENTS TO REVERSE THE PUNITIVE APPROACH TO DRUG OFFENSES WITHIN THE CITY OF LOS ANGELES, PARTICUTAF1Y FOCUSING ON "SAFER CRTIES" PO

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CANNABIS EDUCATION PROJECT (FS A NEW PATH) 4755 LONG BEACH AVENUE SAN DIEGO,CA 92107	33-0883927	501(C)3	15,000		N/A	N/A	"THE SHORT-TERM STRATEGIC GOAL OF THIS PROJECT IS TO
CENTER FOR NULEADERSHIP510 GATES AVENUE 1ST FLOOR BROOKLYN,NY 11216	11-2245967	501(C)3	35,625		N/A	N/A	"TO SUPPORT THE NEW YORKER FOR SAFETY AND HEALTH PROJECT, WHICH SEEKS TO END NEW YORK CITY'S MARIJUANA

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CENTRAL OUTREACH AND ADVOCACY CENETER201 WASHINGTON STREET SW ATLANTA,GA 30303	58-2255636	501(C)3	9,500		N/A	N/A	FUNDING FOR THE "EDUCATIONAL CAMPAIGN" ASPECT OF OUR POLICY CHANGE INITIATIVE TO LIFT THE GEORGIA FOOD STAMP BAN FOR THOSE WHO HAVE DRUG CONVICTIONS
CHICAGO RECOVERY ALLIANCE3110 WTAYLOR STREET CHICAGO,IL 60612	36-3809778	501(C)3	25,000		N/A	N/A	NOVEMBER 2012-TO PURCHASE NALOXONE TO INTEGRATE TO OVERDOSE PREVENTION PROGRAMS

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CITIWIIDE HARM REDUCTION226 EAST 144TH STREET BRONX, NY 10451	13-4009817	501(C)3	20,000		N/A	N/A	TO CREATE AND RUN A MODEL SUPERVISED INJECTION FACILITY (SIF) ROOM AT THEIR SOUTH BRONX DRO~IN CENTER SYRINGE EXCHANGE PROGRAM LOCATION
COLORADO CRIMINAL JUSTICE REFORM COALITION (CCJRC)1212 MARIPOSA STREET 6 DENVER, CO 80204	84-1449882	501(C)3	40,000		N/A	N/A	GENERAL OPERATING SUPPORT TO BUILD ON PREVIOUS SUCCESSES AND ADVANCE DRUG POLICY REFORM



Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COLORADO FOUNDATION FOR PUBLIC HEALTH & ENVIRONMENT9457 SOUTH UNIVERSITY BLVD 513 HIGHLANDS RANCH,CO 80126	84-1267213	501(C)3	25,000		N/A	N/A	CFPHE WILL PROVIDE THE FISCAL AGENCY AND ADMINISTRATION IN PARTNERSHIP WITH THE DRUG POLICY ALLIANCE TO ADVANCE A PUBLIC HEALTH APPROACH TO DRUG USE IN COLORADO BY HOSTING A ONE-DAY NEW DIRECTIONS CONFERENCE OUR OBJECTIVES ARE TO FAMILIARIZE STATEWIDE ST
COMMUNITY STUDIES OF NEW YORK155 WEST 72ND STREET SUITE 402 NEW YORK,NY 10023	13-3736055	501(C)3	10,625		N/A	N/A	"TO SUPPORT THE NEW YORKER FOR SAFETY AND HEALTH PROJECT, WHICH SEEKS TO END NEW YORK CITY'S MARIJUANA

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DANCESAFE1440 BROADWAY STE 510 OAKLAND,CA 94612	94-3365608	501(C)3	15,000		N/A	N/A	TO SUPPORT THE 2013 CLUB HEALTH CONFERENCE
DIRECT ACTION FOR RIGHTS AND EQUALITY (DARE)340 LOCKWOOD STREET RHODE ISLAND,RI 02907	05-0422763	501(C)3	15,000		N/A	N/A	TO SUPPORT THE BEHIND THE WALLS PRISON COMMITTEE IN ITS COMMUNITY ORGANIZING EFFORT TO FIGHT FOR SYSTEMIC CHANGES IN THE CRIMINAL JUSTICE SYSTEM AND DRUG POLICY

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DRCNET FOUNDATION INC 1623 CONNECTICUT AVENUE WASHINGTON,DC 20009	52-2034867	501(C)3	15,000		N/A	N/A	GENERAL SUPPORT TO BE APPLIED TO THEIR ONLINE EDUCATIONAL PUBLISHING, SPECIFICALLY THE DRUG WAR CHRONICLE NEWSLETTER AND ALSO TO SUPPORT PHIL SMITH'S REPORTS FOR DRCNET
DRUG POLICY FORUM OF HAWAIIPO BOX 61233 HONOLULU,HI 96839	94-3263242	501(C)3	15,000		N/A	N/A	SUPPORT PARTIAL COMPENSATION FOR THE HALF-TIME EXECUTIVE DIRECTOR, AS WELL AS UNDERWRITING THE EXPENSES OF NEWSLETTERS BROCHURES, EVENT FLYERS, ARTICLES AND RESEARCH PAPERS TO DISTRIBUTE TO POLICY MAKERS AND OTHER PRINTED MATERIAL

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DRUGTRUTH NETWORK 9539 PECAN GLEN COURT HOUSTON, TX 77040	76-0514790	501(C)3	15,000		N/A	N/A	TO COVER THE COSTS OF PRODUCING PROGRAMS, LOCATING AND RECRUITING HIGH QUALITY INTERVIEWEES AND TRAVELING CONFERENCES AND OTHER EVENTS WHERE DRUG WAR OPPONENTS AND PROPONENTS CAN BE INTERVIEWED
GEORGIA JUSTICE PROJECT 438 EDGEWOOD AVE ATLANTA, GA 30312	58-1917659	501(C)3	20,000		N/A	N/A	TO FUND A PORTION OF THE EXPENSES TO PLAN AND HOST THE 2013 NEW SOUTHERN STRATEGY COALITION CONFERENCE (NSSC) IN DURHAM, NORTH CAROLINA SPECIFICALLY, A GRANT FROM DPA WILL PARTIALLY FUND TRAVEL, LODGING, CONFERENCE SPACE, FOOD, AND TRANSPORTATION COSTS

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HARM REDUCTION ACTION CENTER733 SANTA FE DRIVE DENVER,CO 80204	84-1493585	501(C)3	15,000		N/A	N/A	TO SUPPORT A PROJECT THAT WILL USE PHOTOGRAPHS AND OTHER MEDIA TO INFORM AND MOBILIZE COMPASSIONATE, TRADITIONALLY CONSERVATIVE COMMUNITY MEMBERS ON THE STIGMATIZATION OF HEALTHCARE ISSUES ENDEMIC TO POPULATIONS OF IDUS
HEALTH COMMUNITIES INITIATIVE401 EAST COLFAX SUITE 207 SOUTH BEND,IN 46617	35-1994551	501(C)3	15,000		N/A	N/A	COORDINATE A LOCAL DRUG POLICY REFORM WORKING GROUP, PULLING TOGETHER COALITION PARTNERS TO SET AND MOVE AN AGENDA FOR DRUG POLICY REFORM IN INDIANA THAT INCLUDES MARIJUANA DECRIM AND GOOD SAM

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ILLINOIS CONSORTIUM ON DRUG POLICY430 S MICHIGAN AVENUE CHICAGO,IL 60505	36-2167854	501(C)3	30,000		N/A	N/A	SUPPORT ANALYSIS OF THE NUMBER AND GEOGRAPHY OF CANNABIS ARRESTEES IN ORDER TO REFINE THE INFORMATION AND MESSAGING FOR ADVOCACY GROUPS WORKING TO DECRIMINALIZE CANNABIS STATEWIDE
ILLINOIS CONSORTIUM ON DRUG POLICY (FS ROOSEVELT UNIVERSITY) 430 S MICHIGAN AVENUE CHICAGO,IL 60605	36-2167854	501(C)3	16,722		N/A	N/A	INTRODUCE A GOOD SAMARITAN/NALOXONE BILL IN MISSOURI WITH THE GOAL TO REDUCE OD DEATHS IN MISSOURI

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JUSTICE MAPPING SYSTEMS155 WASHINGTON AVE BROOKLYN,NY 11205	26-0228998	501(C)3	15,000		N/A	N/A	CONTACT (\$10,000/\$5,000)--TO HIGHLIGHT DRAMATIZE, AND OTHERWISE ILLUSTRATE KEY POINTS IN THE DPA/NYAM PENDING REPORT, "A PUBLIC HEALTH AND SAFETY APPROACH TO DRUG POLICY IN NEW YORK," THROUGH THE VISUAL DISPLAY OF QUANTITATIVE DATA AND RELATED INFORMATIO
JUSTICE STRATEGIES107 RIVER PARK DRIVE RARITAN,NJ 08869	94-3213100	501(C)3	25,000		N/A	N/A	TO CONTINUE TO PROVIDE INVALUABLE TECHNICAL ASSISTANCE ON POLICING AND SENTENCING ISSUES

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MARIJUANA MAJORITY (FS SSDP)1317 F STREET NW SUITE 501 WASHINGTON,DC 20004	52-2296291	501(C)3	14,316		N/A	N/A	MARIJUANA MAJORITY SEEKS FUNDS TO SUPPORT THE LAUNCH OF MARIJUANAMAJORITY.COM, A WEBSITE THAT WILL SHOWCASE THE VARIOUS PROMINENT ORGANIZATIONS AND INDIVIDUALS THAT SUPPORT MARIJUANA POLICY REFORM
MOTHERS AGAINST TEEN VIOLENCE (MATV)2904 FLOYD STREET SUITE F DALLAS,TX 75204	75-2539186	501(C)3	25,000		N/A	N/A	GENERAL OPERATING SUPPORT



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NATIONAL ADVOCATES FOR PREGNANT WOMEN15 WEST 36TH STREET SUITE 901 NEW YORK,NY 10018	52-2282183	501(C)3	15,000		N/A	N/A	TO ENABLE NAPW TO PUT COCAINE PROPAGANDA ON TRAIL INSTEAD OF RENNIE GIBBS
NEW MEXICO WOMEN'S JUSTICE PROJECTPO BOX 25501 ALBUQUERQUE,NM 871255501	81-0548043	501(C)3	15,000		N/A	N/A	TO COMPENSATE STAFF TO ORGANIZE AND PARTICIPATE IN THE MARIJUANA REFORM CAMPAIGN, COMPENSATE STAFF TO BUILD SUPPORT FOR HARM REDUCTION MEASURES ON THE TASK FORCE AND IN THE LEGISLATURE, AND TO HELP BUILD GRASS ROOTS SUPPORT BY DEVELOPING A CURRICULUM AND

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NORTH CAROLINA HARM REDUCTION COALITION PO BOX 1376 DURHAM,NC 27709	20-3452075	501(C)3	15,000		N/A	N/A	TO SUPPORT THEIR COALITION WORK ON HARM REDUCTION AND DRUG POLICY REFORM SPECIFICALLY WITH REGARD TO ENLISTING LAW ENFORWMENT AND CONSERVATIVE ALLIES IN DRUG POLICY REFORM
NY ACADEMY OF MEDICINE1216 FIFTH AVENUE NEW YORK,NY 10029	13-1656674	501(C)3	40,000		N/A	N/A	TO IMPLEMENT THE RECOMMENDATIONS IN OUR FORTHCOMING BLUEPRINT FOR A PUBLIC HEALTH SAFETY APPROACH TO DRUG POLICY IN NEW YORK STATE

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ONE VOICE MISSISSIPPI PO BOX 3886 JACKSON,MS 39207	02-0787550	501(C)3	15,000		N/A	N/A	TO FOCUS ITS ATTENTION ON THE STATE'S SENTENCING COMMISSION WHICH BRINGS TOGETHER A BROAD SWATH OF LAW ENFORCEMENT AND ADVOCATES
PROGRESSIVE CHRISTIANS UNITING 634 S SPRING ST SUITE 300 LOS ANGELES,CA 90014	95-4643127	501(C)3	15,000		N/A	N/A	FOR SUPPORT OF INTERFAITH JUSTICE NOT JAILS PROJECT-WORKING CLOSELY WITH THE DRUG POLICY ALLIANCE IN CALIFORNIA

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PROJECT LAZARUS (NORTHWEST COMMUNITY CARE NETWORK)PO BOX 15046 WINSTONSALEM,NC 271130046	27-0602284	501(C)3	15,000		N/A	N/A	TO SUPPORT DISPENSING NALOXONE TO THOSE AT RISK OF OVERDOSE
PROJECT SOUTH9 GAMMON AVE ATLANTA,GA 30315	58-1956686	501(C)3	20,000		N/A	N/A	TO SUPPORT THE VOTING RIGHTS PROJECT IN THE SOUTH THAT FOCUSES ON THE DRUG WAR AS A CHIEF MECHANISM CAUSING DISENFRANCHISEMENT

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PROTECT FAMILIES FIRST FISCAL SPONSOR TH164 SUMMIT AVENUE PROVIDENCE,RI 02906	05-0377502	501(C)3	25,000		N/A	N/A	TO EXPAND A COALITION OF RHODE ISLAND DRUG POLICY REFORMERS WHO ADVOCATE FOR DRUG POLICIES THAT BETTER MEET THE NEEDS OF COMMUNITIES AND FAMILIES BY INCLUDING MORE PARENTS AND COMMUNITY LEADERS THIS LARGER COALITION WILL BE INSTRUMENTAL IN BOTH THE SUCCE
PUBLIC HEALTH FOUNDATION ENTERPRISES INC12801 CROSSROADS PARKWAY SOUTH 200 CITY OF INDUSTRY,CA 91746	95-2557063	501(C)3	15,000		N/A	N/A	TO ASSESS PHAMACY IMPLEMENTATION OF CALIFORNIA SENATE BILL (SB) 41 EFFECTIVE JANUARY 1, 2012 WHICH ALLOWS PHARAMACIES TO SELL UP TO 30 SYRINGES WITHOUR PRESCRIPTION AND WITHOUT PROOF OF MEDICAL NEED TO ANY PERSON AT LEAST 18 YEARS OF AGE

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SAMUEL DEWITT PROCTOR CONFERENCE 4533 S LAKE PARK CHICAGO,IL 60653	06-1707903	501(C)3	10,000		N/A	N/A	TO SUPPORT THE SAMUEL DEWITT PROCTOR CONFERENCE HELD IN DALLAS, FEBRUARY 18-21, 2013
SAN FRANCISCO DRUG USERS' UNION (FS HARM REDUCTION THERAPY CENTER)1015 MARTIN LUTHER KING JR WAY OAKLAND,CA 94607	94-3363781	501(C)3	50,000		N/A	N/A	TO SUPPORT BASIC INFRASTRUCTURE STAFF SALARIES, VOLUNTEER STIPENDS,OCCUPANCY COSTS FOR DRUG USER SPACE, AND MATERIALS AND SUPPLIES

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SENSIBLE COLORADOPO BOX 18942 DENVER,CO 802180768	33-1099047	501(C)3	15,000		N/A	N/A	THIS PROJECT WILL FOCUS ON ENACTING LOCAL ORDINANCES FOR ADULT MARIJUANA REGULATION IN STRATEGIC COMMUNITIES ACROSS COLORADO THESE COMMUNITIES WILL BE THE FIRST LOCALITIES IN HISTORY TO REGULATE THE ADULT SALE OF MARIJUANA AND WILL SERVE AS A MODEL FOR C
SOUTHERN COALITION FOR SOCIAL JUSTICE1415 WEST HIGHWAY 54 SUITE 101 DURHAM,NC 27707	26-0688375	501(C)3	15,000		N/A	N/A	WE REQUEST FUNDING FOR A CAMPAIGN TO ADVOCATE FOR THE RECLASSIFICATION OF MARIJUANA, CURRENTLY A MISDEMEANOR, TO A NON-CRIMINAL INFRACTION THE PROJECT WILL ACHIEVE THIS GOAL BY EDUCATING, EMPOWERING, AND CONNECTING A BROAD COALITION OF ADVOCATES WHO WILL

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STUDENTS FOR SENSIBLE DRUG POLICY1317 F STREET NWSUITE 501 WASHINGTON,DC 20004	52-2296291	501(C)3	10,000		N/A	N/A	TO ORGANIZE A TRAINING LOBBY DAY IN WASHINGTON THAT WILL KICK OFF A GRASSROOTS CONTACT CAMPAIGN THAT (1) GETS MEMBERS OF CONGRESS ON THE RECORD ABOUT MARIJUANA POLICY ISSUES AND THAT (2) CONNECTS MEMBERS OF CONGRESS TO THEIR CONSTITUENTS AND TO OUR BEST S
THE CENTER FOR PRISONER HEALTH AND HUMAN RIGHTS8 THIRD STREET 2ND FLOOR PROVIDENCE,RI 02906	05-0377502	501(C)3	18,544		N/A	N/A	THE REQUESTED FUNDING WILL BE USED TO HOLD THE DRUG POLICY ALLIANCE'S NEW DIRECTIONS CONFERENCE IN RHODE ISLAND ON DECEMBER 7, 2012 THE CONFERENCE WILL RAISE AWARENESS IN RHODE ISLAND ABOUT THE IMPACT OF THE DRUG WAR ON YOUNG PEOPLE, FAMILIES, AND COMMUN



Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

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THE DEFENDERS ASSOCIATION810 THIRD AVENUE SUITE 800 SEATTLE,WA 94607	91-0852323	501(C)3	25,000		N/A	N/A	"TO FUND THEIR PROGRAM MANAGER FUNCTION FOR THE LAW ENFORCEMENT DIVERSION (LEAD) PROJECT, AND TO SUPPORT COMMUNICATION ABOUT LEAD TO INTERESTED JURISDICTIONS AROUND THE COUNTRY (AND POSSIBLY
THE ORDINARY PEOPLE SOCIETY (TOPS)403 WEST POWELL STREET DOTHAN,AL 36303	82-0587071	501(C)3	35,000		N/A	N/A	LEADERSHIP TEAM OF THE NATIONAL FORMERLY INCARCERATED AND CONVICTED PEOPLE'S MOVEMENT, AND LEADING SOUTHERN EFFORT IN THIS AREA TO CONNECT THE DRUG WAR AND MASS INCARCERATION WITH FELONY DISFRANCHISEMENT, ADVOCATING FOR VICTIMS OF DRUG WAR INJUSTICES IN

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THE PEOPLE'S HARM REDUCTION ALLIANCEPO BOX 85038 SEATTLE,WA 98145	35-2307112	501(C)3	15,000		N/A	N/A	TO HELP TRANSFORM THE URBAN SURVIVORS UNION INTO A FEDERALLY RECOGNIZED NOT-FOR-PROFIT ORGANIZATION
UPFRONT PROGRAMS2329 CAMINITO RECODO SAN DIEGO,CA 92107	39-6647700	501(C)3	15,000		N/A	N/A	TO CONTINUE IN A CONTRACTUAL RELATIONSHIP WITH THE NYPO,THE CONDUIT THROUGH WHICH THE ORGANIZATION IS WORKING TO RE-DEFINE OUR ROLE AS LEADERS IN THE AREA OF YOUNG PEOPLE AND DRUG LAW REFORM

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VOCAL (FS NYC AIDS HOUSING NETWORK - NYCAHN)80-A FOURTH AVENUE BROOKLYN,NY 11217	13-4094385	501(C)3	60,625		N/A	N/A	VOCAL IS THE PIVOTAL ALLY ON A RANGE OF ISSUES - MARIJUANA ARRESTS, THE SUPERVISED INJECTION SITE WITH CITIWIDE HARM REDUCTION, OVERDOSE, AND MORE THEIR ROLE IN THE PUBLIC EDUCATION CAMPAIGN THAT WILL ACCOMPANY THIS EFFORT IT BSOLUTELY VITAL ALSO, TO SU
WILLIAM C VELASQUEZ 2914 NORTH MAIN 1ST FLOOR LOS ANGELES,CA 90031	74-2378901	501(C)3	25,000		N/A	N/A	TO EXPAND ITS PUBLIC ADVOCACY OF HEALTH-CENTERED DRUG POLICY REFORMS

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WILLIAM C VELASQUEZ INSTITUTE1426 EL PASO STREETM STE A SAN ANTONIO,TX 78207	74-2378901	501(C)3	16,000		N/A	N/A	WCVI PROPOSES TO CONDUCT AN INTENSE ADVOCACY CAMPAIGN OF EDUCATIONAL AND OUTREACH ACTIVITIES PROMOTING MEDICAL MARIJUANA LEGISLATION IN THE 83RD TEXAS LEGISLATIVE SESSION
WOMEN ON THE RISE TELLING HER STORY (WORTH)171 EAST 122ND STREET SUITE 2R NEW YORK,NY 10035	13-5563028	501(C)3	15,000		N/A	N/A	TO RAISE AWARENESS ABOUT THE IMPACT OF DRUG AND HEALTH POLICIES ON WOMEN WORTH WILL ORGANIZE A FORUM SPECIFICALLY ON WOMEN, DRUG POLICIES AND PUBLIC HEALTH THAT COMPLEMENTS THE UPCOMING DPA/NYAM REPORT A POLICY ADVISORY GROUP WILL EMERGE TO ADDRESS WOMEN

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WOMEN WITH A VISION 215 N JEFF DAVIS PARKWAY NEW ORLEANS, LA 70119	72-1202185	501(C)3	15,000		N/A	N/A	TO CONTINUE COMMUNITY AWARENESS AND MOBILIZATION EFFORTS FOCUSED ON THE EFFECTS OF THE US'S DRUG WAR
YOUNG WOMEN UNITEDPO BOX 8490 ALBUQUERGUE,NM 87108	85-0481224	501(C)3	8,000		N/A	N/A	YOUNG WOMEN UNITED (YWU) IS REQUESTING FUNDING TO SUPPORT OUR EFFORTS IN 1) BUILDING THE POLITICAL ENGAGEMENT OF WOMEN WHO HAVE EXPERIENCED PREGNANCY WHILE SUBSTANCE USING, SPECIFICALLY DEVELOPING THE CAPACITY OF THESE WOMEN TO BECOME LEADERS AND ACTIVIST

Schedule J  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, question 23.

▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization  
DRUG POLICY ALLIANCE

Employer identification number  
52-1516692

Part I	Questions Regarding Compensation		Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items			
	<div><input type="checkbox"/> First-class or charter travel</div> <div><input type="checkbox"/> Travel for companions</div> <div><input type="checkbox"/> Tax idemnification and gross-up payments</div> <div><input type="checkbox"/> Discretionary spending account</div>	<div><input type="checkbox"/> Housing allowance or residence for personal use</div> <div><input type="checkbox"/> Payments for business use of personal residence</div> <div><input type="checkbox"/> Health or social club dues or initiation fees</div> <div><input type="checkbox"/> Personal services (e g , maid, chauffeur, chef)</div>		
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b		
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?	2		
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III			
	<div><input type="checkbox"/> Compensation committee</div> <div><input type="checkbox"/> Independent compensation consultant</div> <div><input checked="" type="checkbox"/> Form 990 of other organizations</div>	<div><input type="checkbox"/> Written employment contract</div> <div><input checked="" type="checkbox"/> Compensation survey or study</div> <div><input checked="" type="checkbox"/> Approval by the board or compensation committee</div>		
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization			
a	Receive a severance payment or change-of-control payment?	4a		No
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b		No
c	Participate in, or receive payment from, an equity-based compensation arrangement?	4c		No
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III			
	Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.			
5	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of			
a	The organization?	5a		No
b	Any related organization?	5b		No
	If "Yes," to line 5a or 5b, describe in Part III			
6	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of			
a	The organization?	6a		No
b	Any related organization?	6b		No
	If "Yes," to line 6a or 6b, describe in Part III			
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7		No
8	Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III	8		No
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	9		

**Part II** **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.  
**Note.** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(1)ETHAN NADELMANN EXECUTIVE DIRECTOR	(i)	257,966	0	0	26,583	9,177	293,726	0
	(ii)	0	0	0	0	0	0	0
(2)STEPHEN GUTWILLIG STATE DIR. OF CALIFORNIA	(i)	136,820	0	0	14,000	6,451	157,271	0
	(ii)	0	0	0	0	0	0	0

**Part III**   **Supplemental Information**

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
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SCHEDULE M  
(Form 990)

Noncash Contributions

OMB No 1545-0047

2012

Open to Public Inspection

►Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.  
► Attach to Form 990.

Name of the organization  
DRUG POLICY ALLIANCE

Employer identification number  
52-1516692

Part I

Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art . . . . .	X	67	0	N/A
2 Art—Historical treasures . . . . .				
3 Art—Fractional interests . . . . .				
4 Books and publications . . . . .				
5 Clothing and household goods . . . . .				
6 Cars and other vehicles . . . . .				
7 Boats and planes . . . . .				
8 Intellectual property . . . . .				
9 Securities—Publicly traded . . . . .	X	5	666,642	FMV
10 Securities—Closely held stock . . . . .				
11 Securities—Partnership, LLC, or trust interests . . . . .				
12 Securities—Miscellaneous . . . . .				
13 Qualified conservation contribution—Historic structures . . . . .				
14 Qualified conservation contribution—Other . . . . .				
15 Real estate—Residential . . . . .				
16 Real estate—Commercial . . . . .				
17 Real estate—Other . . . . .				
18 Collectibles . . . . .				
19 Food inventory . . . . .				
20 Drugs and medical supplies . . . . .				
21 Taxidermy . . . . .				
22 Historical artifacts . . . . .				
23 Scientific specimens . . . . .				
24 Archeological artifacts . . . . .				
25 Other ► ( )				
26 Other ►( )				
27 Other ►( )				
28 Other ► ( )				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . . . .29

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1-28 that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period? . . . . .

31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? . . . . .

33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II

Yes

No

No

No

No

Part II

Supplemental Information.

Complete this part to provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Identifier	Return Reference	Explanation
METHOD FOR DETERMINING NUMBER OF CONTRIBUTIONS	PART I, COLUMN (B)	THE ORGANIZATION IS REPORTING THE NUMBER OF CONTRIBUTORS IN PART I COLUMN (B)

**SCHEDULE O**  
(Form 990 or 990-EZ)Department of the Treasury  
Internal Revenue Service**Supplemental Information to Form 990 or 990-EZ****Complete to provide information for responses to specific questions on  
Form 990 or to provide any additional information.**  
▶ **Attach to Form 990 or 990-EZ.**

OMB No 1545-0047

**2012****Open to Public  
Inspection**Name of the organization  
DRUG POLICY ALLIANCE**Employer identification number**

52-1516692

Identifier	Return Reference	Explanation
	FORM 990, PART VI, SECTION B, LINE 11	WE HAVE ENGAGED AN OUTSIDE ACCOUNTING FIRM TO PREPARE OUR FORM 990. ONCE THE FORM IS PREPARED, IT IS FORWARDED TO MANAGEMENT FOR THEIR INITIAL REVIEW FOR COMPLIANCE WITH THE FINANCIAL STATEMENTS, AND ALSO FORWARDED VIA E-MAIL TO THE PRESIDENT OF THE BOARD AS WELL AS THE EXECUTIVE DIRECTOR FOR THEIR REVIEW. ONCE ANY QUESTIONS OR CONCERNS ARE ADDRESSED, MANAGEMENT THEN FORWARDS THE RETURN TO THE FULL BOARD BY EMAIL OR PAPER COPY FOR THEIR REVIEW. ANY QUESTIONS FROM BOARD MEMBERS ARE DIRECTED BY THE BOARD PRESIDENT TO STAFF OR TO THE ACCOUNTING FIRM, AS APPROPRIATE. ONCE ALL QUESTIONS FROM THE BOARD ARE SATISFACTORILY RESOLVED, THE FINANCE STAFF THEN CARRIES OUT A FINAL REVIEW, PAGE BY PAGE, TO VERIFY ALL CHANGES HAVE BEEN INCORPORATED. THE FINISHED FORM 990 IS THEN SUBMITTED BY MANAGEMENT TO THE EXECUTIVE DIRECTOR FOR HIS APPROVAL AND SIGNATURE, AND THE FINALIZED FORM 990 IS THEN FILED WITH THE IRS.

Identifier	Return Reference	Explanation
	FORM 990, PART VI, SECTION B, LINE 12C	THE CONFLICT OF INTEREST DOCUMENT IS FORWARDED TO THE FULL BOARD ANNUALLY FOR THEIR REVIEW AND SIGNATURE. THE BOARD MEMBERS SIGN THE FORM AND RETURN THE SIGNED FORM TO MANAGEMENT WHO REVIEW THE SIGNED COPIES FOR ANY CONFLICTS. THE POLICY COVERS ALL BOARD MEMBERS EQUALLY. IF IT IS ESTABLISHED THAT AN ACTUAL CONFLICT EXISTS, THE BOARD MEMBER WILL BE NOTIFIED IMMEDIATELY AND WILL NOT BE ALLOWED TO VOTE OR BE A PART OF ANY DISCUSSIONS ABOUT ANY SUCH TRANSACTIONS THAT HAVE TO DO WITH THE CONFLICT UNTIL THE CONFLICT IS RESOLVED. THE BOARD MEMBER WILL HAVE TO EXCUSE HIMSELF FROM THE MEETING AND ALLOW THE OTHER BOARD MEMBERS TO VOTE ON THE ISSUE.

Identifier	Return Reference	Explanation
	FORM 990, PART VI, SECTION B, LINE 15A	THE EXECUTIVE COMMITTEE OF THE BOARD OF DIRECTORS OF DRUG POLICY ALLIANCE (DPA) DETERMINE ANNUALLY THE COMPENSATION OF THE EXECUTIVE DIRECTOR. THE DPA COMMITTEE UTILIZE SURVEYS OF SIMILAR ORGANIZATIONS, COMPENSATION EXPERTS AND /OR ANY OTHER INPUT THE COMMITTEE DEEMS APPROPRIATE. THE COMPENSATION DECISION IS THEN E-MAILED TO HR FOR PAYROLL UPDATES AND RECORD KEEPING. THE DPA POLICY ADOPTED SHALL BE REVIEWED BY THE BOARD, OR AT ITS DISCRETION BY AN APPROPRIATE COMMITTEE, NO LESS THAN ONCE EVERY THREE YEARS. THIS PROCESS WAS LAST COMPLETED JUNE 2013.

Identifier	Return Reference	Explanation
	FORM 990, PART VI, SECTION C, LINE 18	DRUG POLICY ALLIANCE MAKES ITS FORM 990 AVAILABLE FOR PUBLIC INSPECTION AS REQUIRED UNDER SECTION 6104 OF THE INTERNAL REVENUE CODE BY POSTING IT ON THEIR WEBSITE AS WELL AS GUIDESTAR.ORG THE 990 IS ALSO MADE AVAILABLE TO THE PUBLIC UPON REQUEST BY WRITING OR CALLING THE ORGANIZATION DIRECTLY

Identifier	Return Reference	Explanation
	FORM 990, PART VI, SECTION C, LINE 19	THE FINANCIAL STATEMENTS ARE MADE AVAILABLE TO THE PUBLIC UPON REQUEST BY WRITING OR CALLING THE ORGANIZATION DIRECTLY. HOWEVER, THE GOVERNING DOCUMENTS AND CONFLICT OF INTEREST POLICY ARE NOT MADE AVAILABLE TO THE PUBLIC. IN ADDITION, THE ORGANIZATION MAKES ITS FORM 990 AVAILABLE BY POSTING IT ON ITS WEBSITE AS WELL AS GUIDESTAR.ORG AND OTHER SIMILAR WEBSITES.

Identifier	Return Reference	Explanation
CHANGES IN NET ASSETS OR FUND BALANCES	FORM 990, PART XI, LINE 9	GRANT REFUNDS 4,405



Identifier	Return Reference	Explanation
OVERSIGHT OF AUDIT AND SELECTION OF INDEPENDENT ACCOUNTANT	FORM 990, PART XII, LINE 2C	THE ORGANIZATION DOES HAVE A COMMITTEE THAT ASSUMES RESPONSIBILITY FOR OVERSIGHT OF THE AUDIT THE ORGANIZATIONS OVERSIGHT PROCESS HAS NOT CHANGED DURING THE TAX YEAR