Form **990-PF** | Department of the Treasury Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning 06/01, 2012, and ending 05/31, 2013

Name of foundation: THE BALLMANN FAMILY PRIVATE FOUNDATION

A Employer identification number: 43-6466750

Number and street (or P O box number if mail is not delivered to street address): P.O. BOX 387 | Room/suite:

B Telephone number (see instructions): 314- 418-2643

City or town, state, and ZIP code: ST. LOUIS, MO 63166

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1 Foreign organizations, check here ▶ [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 29,001,154.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) ________ *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ [X] if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	801,135.	791,500.	9,634.	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	83,687.			
	b	Gross sales price for all assets on line 6a 10,300,943.				
	7	Capital gain net income (from Part IV, line 2)		83,687.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total** Add lines 1 through 11	884,822.	875,187.	9,634.	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	190,087.	95,043.		95,043.
	14	Other employee salaries and wages		NONE	NONE	
	15	Pension plans, employee benefits		NONE	NONE	
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) STMT 1	16,140.	NONE	NONE	16,140.
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions) STMT 2	18,169.	7,178.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings		NONE	NONE	
	22	Printing and publications		NONE	NONE	
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	224,396.	102,221.	NONE	111,183.
	25	Contributions, gifts, grants paid	1,341,137.			1,341,137.
	26	**Total expenses and disbursements** Add lines 24 and 25	1,565,533.	102,221.	NONE	1,452,320.
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-680,711.			
	b	**Net investment income** (if negative, enter -0-)		772,966.		
	c	**Adjusted net income** (if negative, enter -0-)			9,634.	

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Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets			
1 Cash - non-interest-bearing	319.		
2 Savings and temporary cash investments	308,574.	114,590.	114,590.
3 Accounts receivable ▶			
Less: allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7 Other notes and loans receivable (attach schedule) ▶			
Less allowance for doubtful accounts ▶ NONE			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10 a Investments - U S and state government obligations (attach schedule)			
b Investments - corporate stock (attach schedule) STMT 3	22,028,967.	22,939,219.	28,576,594.
c Investments - corporate bonds (attach schedule) STMT 12	1,700,000.	300,000.	309,970.
11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶			
12 Investments - mortgage loans			
13 Investments - other (attach schedule)			
14 Land buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶)			
16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	24,037,860.	23,353,809.	29,001,154.
Liabilities			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable (attach schedule)			
22 Other liabilities (describe ▶)			
23 **Total liabilities** (add lines 17 through 22)		NONE	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
27 Capital stock, trust principal, or current funds	24,037,860.	23,353,809.	
28 Paid-in or capital surplus, or land, bldg, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds			
30 **Total net assets or fund balances** (see instructions)	24,037,860.	23,353,809.	
31 **Total liabilities and net assets/fund balances** (see instructions)	24,037,860.	23,353,809.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	24,037,860.
2 Enter amount from Part I, line 27a	2	-680,711.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	23,357,149.
5 Decreases not included in line 2 (itemize) ▶ COST ADJ	5	3,340.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	23,353,809.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	10,300,943.		10,217,256.	83,687.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
a				83,687.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** 83,687.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	1,335,395.	27,271,538.	0.048967
2010	1,295,344.	27,007,831.	0.047962
2009	1,049,866.	24,764,179.	0.042395
2008	1,061,950.	22,870,314.	0.046434
2007	1,533,802.	29,252,669.	0.052433

2	**Total** of line 1, column (d)	2	0.238191
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.047638
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	28,124,378.
5	Multiply line 4 by line 3	5	1,339,789.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	7,730.
7	Add lines 5 and 6	7	1,347,519.
8	Enter qualifying distributions from Part XII, line 4	8	1,452,320.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ________________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	7,730.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	7,730.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	7,730.
6	Credits/Payments:		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a: 22,870.		
b	Exempt foreign organizations - tax withheld at source — 6b: NONE		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: NONE		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	22,870.
8	Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	15,140.
11	Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ▶ 7,732. **Refunded** ▶	11	7,408.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			
14	The books are in care of ▶ US BANK NA Telephone no ▶ (314)418-2643 Located at ▶ PO BOX 387, ST LOUIS, MO ZIP+4 ▶ 63166			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *If "Yes," attach the statement required by Regulations section 53.4945-5(d)*	☐ Yes ☐ No			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870*		6b		X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
US BANK NA PO BOX 387, ST LOUIS, MO 63166	TRUSTEE 0	190,087.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services . . . ▶		NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	28,552,668.
b	Average of monthly cash balances	1b	NONE
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	28,552,668.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	28,552,668.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	428,290.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	28,124,378.
6	**Minimum investment return.** Enter 5% of line 5	6	1,406,219.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	1,406,219.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	7,730.		
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	7,730.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	1,398,489.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	1,398,489.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	1,398,489.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,452,320.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,452,320.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	7,730.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,444,590.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1	Distributable amount for 2012 from Part XI, line 7				1,398,489.
2	Undistributed income, if any, as of the end of 2012				
a	Enter amount for 2011 only			24,553.	
b	Total for prior years 20 10 ,20____, 20____		NONE		
3	Excess distributions carryover, if any, to 2012:				
a	From 2007 . . . . . . NONE				
b	From 2008 . . . . . . NONE				
c	From 2009 . . . . . . NONE				
d	From 2010 . . . . . . NONE				
e	From 2011 . . . . . . NONE				
f	**Total** of lines 3a through e	NONE			
4	Qualifying distributions for 2012 from Part XII, line 4: ▶ $ 1,452,320.				
a	Applied to 2011, but not more than line 2a			24,553.	
b	Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c	Treated as distributions out of corpus (Election required - see instructions)	NONE			
d	Applied to 2012 distributable amount				1,398,489.
e	Remaining amount distributed out of corpus	29,278.			
5	Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	NONE			NONE
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e. Subtract line 5	29,278.			
b	Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d	Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e	Undistributed income for 2011 Subtract line 4a from line 2a. Taxable amount - see instructions				
f	Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				NONE
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8	Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	NONE			
9	**Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	29,278.			
10	Analysis of line 9:				
a	Excess from 2008 . . . NONE				
b	Excess from 2009 . . . NONE				
c	Excess from 2010 . . . NONE				
d	Excess from 2011 . . . NONE				
e	Excess from 2012 . . . 29,278.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

43-6466750

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 26				1,341,137.
Total ▶ **3a**				1,341,137.
b *Approved for future payment*				
Total ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	801,135.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	83,687.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				884,822.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 884,822.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

John F. Loose | 06/27/2013 | TRUSTEE

Signature of officer or trustee | Date | Title

U.S. BANK NA

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN

Firm's name ▶ | Firm's EIN ▶

Firm's address ▶ | Phone no

JSA
2E1493 1 000

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FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEE (NON-ALLOC	16,140.			16,140.
TOTALS	16,140.	NONE	NONE	16,140.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FEDERAL EXCISE TAXES	10,991.	
FOREIGN TAXES PAID	7,178.	7,178.
TOTALS	18,169.	7,178.

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750

FORM 990PF, PART II - CORPORATE STOCK
====================================

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
SCHLUMBERGER LTD	75,563.	94,209.
ABBOTT LABS	28,603.	36,670.
GOLDMAN SACHS GROUP INC		
JP MORGAN CHASE & CO	161,343.	213,884.
ECOLAB INC	47,874.	89,538.
APACHE CORP	119,102.	123,195.
CHEVRON CORP	101,519.	192,963.
PROCTER & GAMBLE CO	59,618.	85,127.
ATT INC	111,238.	139,260.
APPLE INC	59,761.	399,814.
BE AEROSPACE INC		
GARDNER DENVER INC		
BRIGHTPOINT INC		
MICROS SYS INC	14,694.	18,273.
ICU MED INC	8,925.	21,560.
J2 GLOBAL COMMUNICATIONS INC	16,201.	25,515.
PROASSURANCE CORPORATION		
TUPPERWARE BRANDS CORP	12,251.	26,804.
BERRY PETE CO		
BRANDYWINE REALTY TRUST	11,878.	18,450.
CRANE CO	13,012.	18,821.
DISNEY WALT CO	90,550.	236,172.
DUPONT FABROS TECHNOLOGY		
ENERSYS	10,304.	27,407.
HARMONIC INC		
IBM CORP	147,063.	156,015.
MEDNAX INC	18,233.	28,499.
ORACLE CORPORATION	52,056.	94,922.
WELLS FARGO CO	75,769.	109,161.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
ACCENTURE LTD	58,126.	123,658.
ACE LTD	98,683.	160,438.
MIDCAP SPDR TRUST SER 1	728,804.	1,618,500.
PIMCO TOTAL RETURN FUND	3,717,820.	3,934,634.
ALLERGAN INC	106,943.	149,235.
AMTRUST FINANCIAL SERVICES	6,532.	18,728.
CINEMARK HLDGS INC	18,304.	29,340.
EXPRESS SCRIPTS HLDGS	62,275.	68,829.
EXXON MOBIL CORP	121,293.	150,361.
GENERAL MILLS INC	66,893.	119,677.
GEOEYE INC		
HANGER ORTHOPEDIC GROUP INC		
JOHNSON JOHNSON	95,674.	143,274.
LAKELAND FINANCIAL CORP	12,047.	15,859.
MASTEC INC	12,127.	35,012.
MCDONALDS CORP	73,226.	96,570.
PERICOM SEMICONDUCTOR CORP	15,997.	12,162.
PFIZER INC	98,175.	153,414.
TEXAS ROADHOUSE INC	20,515.	32,117.
VEECO INSTRS INC		
SPDR GOLD TRUST	549,911.	671,609.
AMAZON COM INC	118,411.	228,282.
AMERICAN EQUITY INVT LIFE	20,988.	28,949.
AMERICAN EXPRESS CO	152,755.	264,985.
AMERICAN TOWER CORP	66,117.	104,461.
AMERIPRISE FINL INC	70,792.	122,606.
AMERISOURCEBERGEN CORP	55,001.	105,402.
AMPHENOL CORP	66,312.	110,540.
ARTIC CAT INC	23,415.	30,901.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
ASHFORD HOSPITALITY TR INC	12,471.	17,411.
BANK OF THE OZARKS INC		
BUFFALO WILD WINGS INC	11,760.	20,535.
C H ROBINSON WORLDWIDE INC		
CMS ENERGY CORP		
CAMERON INTL CORP	48,019.	74,322.
CARDTRONICS INC	19,538.	30,099.
CASH AMERICA INTL INC		
CENTENE CORP	17,816.	25,691.
CERNER CORPORATION	32,264.	80,688.
CLECO CORPORATION		
CLIFFS NATURAL RESOURCES INC		
COMERICA INC		
CONCHO RES INC	60,706.	72,282.
CSX CORP	43,360.	50,420.
CUMMINS INC	67,843.	98,695.
CYBERONICS INC	17,746.	22,662.
DICKS SPORTING GOODS INC	44,891.	91,176.
DIRECTV	69,296.	106,165.
DOLLAR TREE INC	37,211.	75,999.
DOW CHEM CO	49,559.	66,025.
DR PEPPER SNAPPLE GROUP	44,995.	53,613.
EMC CORPORATION		
EATON CORP	82,385.	104,771.
EDWARDS LIFESCIENCES CORP	43,528.	48,117.
ENTEGRIS INC	13,212.	19,100.
FED EX CORP	50,268.	57,322.
FINISAR CORPORATION	18,433.	14,829.
F5 NETWORKS INC		

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
GENERAL CABLE CORPORATION		
GENERAL ELECTRIC CO	113,179.	167,204.
GOOGLE INC	185,917.	261,365.
GRAINGER W W INC	50,883.	117,908.
GULFPORT ENERGY CORP	3,354.	12,352.
HEALTH MGMT ASSOC INC		
INTEL CORP	77,188.	104,817.
JOY GLOBAL INC		
KAPSTONE PAPER & PACKAGING	16,474.	27,298.
KRAFT FOODS INC	14,539.	24,753.
LAUDER ESTEE COS INC	60,255.	139,627.
LIFEPOINT HOSPITALS INC		
LITTELFUSE INC	17,258.	24,031.
LSB INDS INC	20,577.	23,774.
MACYS INC		
MASTERCARD INC	62,486.	181,910.
MATTEL INC	55,158.	113,039.
MAXIMUS INC	13,888.	32,539.
MEASUREMENT SPECIALTIES INC	8,701.	16,313.
MEDIDATA SOLUTIONS INC		
MOSIAC CO		
MULTI FINELINE ELECTRONIX INC	20,751.	15,344.
NETGEAR INC	16,670.	20,966.
NEWFIELD EXPL CO		
NIKE INC	61,380.	102,109.
NORTHWEST BANCSHARES INC		
OCCIDENTAL PETROLEUM CORP	97,685.	116,469.
OIL STATES INTERATIONAL INC	12,133.	23,345.
PERRIGO CO	83,900.	115,840.

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
PERRY ELLIS INTERNATIONAL		
PHILIP MORRIS INTL	119,728.	202,366.
PRAXAIR INC	61,959.	81,517.
PRECISION CASTPARTS CORP	66,780.	106,960.
PRICELINE COM INC	137,152.	339,258.
PROSPERITY BANCSHARES INC	23,632.	28,401.
PRUDENTIAL FINANCIAL INC		
PUBLIC SVC ENTERPRISE GRP INC		
QUALCOMM INC	69,016.	106,519.
RENASANT CORP		
ROCKWELL COLLINS INC	64,642.	72,196.
SALESFORCE COM INC	101,668.	143,245.
SYNNEX CORP		
TAL INTL GROUP INC	20,543.	25,441.
TARGET CORPORATION	78,379.	102,860.
THERMO FISHER SCIENTIFIC INC	55,214.	109,315.
TRIUMPH GROUP INC	24,717.	41,387.
TWO HARBORS INVESTMENT CORP		
UMB FINL CORP		
UNITED TECHNOLOGIES CORP	145,555.	201,093.
VERIZON COMMUNICATIONS INC	70,340.	111,989.
VISA INC	59,383.	153,557.
VOLTERRA SEMICONDUCTOR CORP	16,689.	11,895.
WESBANCO INC	9,735.	11,974.
WORLD ACCEP CORP		
WYNN RESORTS LTD	95,806.	135,890.
3M CO	74,278.	98,361.
INGERSOLL RAND PLC	49,357.	84,109.
ISHARES MSCI EMERGING MKTS ETF	896,018.	992,594.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
ISHARES S&P SMALLCAP 600 INDEX		
GAM ABSOLUTE RETURN BOND FUND	500,000.	561,567.
GCA CREDIT OPPORTUN OFFSHORE	1,000,000.	1,140,549.
IDR CORE EQUITY RE STRATEGY	236,772.	281,031.
ALERE INC	19,044.	21,027.
AMERICAN AXLE & MFG HLDGS INC	18,627.	36,256.
AMERICAN STATES WATER CO	7,648.	11,795.
AMERISTAR CASINOS INC		
ATWOOD OCEANICS INC	22,220.	25,992.
AZZ INCORPORATED	10,719.	17,588.
BERKSHIRE HILLS BANCORP INC	8,453.	9,927.
CHEMICAL FINANCIAL CORP	10,445.	12,497.
CHESAPEAKE LODGING TRUST	15,386.	19,393.
COCA COLA COMPANY	69,129.	79,980.
COMMUNITY BK SYS INC		
COMMUNITY TR BANCORP INC	9,120.	9,780.
DARLING INTL INC		
DSW INC	14,438.	25,157.
FIRST FINANCIAL BANCORP		
FLUSHING FINANCIAL CORPORATION		
GT ADVANCED TECHNOLOGIES INC		
METROPOLITAN HEALTH NETWORKS		
MOLINA HEALTHCARE INC		
MONEYGRAM INTERNATIONAL INC		
MOOG INC	18,811.	22,632.
MYRIAD GENETICS INC	15,022.	19,774.
NU SKIN ENTERPRISES INC	15,002.	19,345.
PMC SIERRA INC		
PAR PHARMACEUTICAL COS INC		

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
PRIMORIS SERVICES CORP	16,063.	22,418.
PROTECTIVE LIFE CORP	13,326.	30,325.
RALPH LAUREN CORP	64,372.	138,146.
RAMCO GERSHENSON PPTYS TR	12,015.	17,952.
RUE21 INC	14,178.	24,690.
SABRA HEALTH CARE REIT INC	8,986.	19,070.
SKULLCANDY INC		
SONIC AUTOMOTIVE INC	12,441.	18,239.
SPIRIT AIRLINES INC	16,752.	29,821.
STONE ENERGY CORP	20,545.	23,748.
TENNECO AUTOMOTIVE INC	25,176.	30,475.
TITAN INTL INC III		
TITAN MACHY INC		
I SHARES MSCI EAFE INDEX FUND	1,013,151.	1,346,469.
NUVEEN TRADEWINDS GLBL		
PUT ON SPY 10/15/12		
PUT ON SPY 10/15/12		
COLUMBIA INCOME FD	2,000,000.	1,973,560.
OPPENHEIMER SR FLOAT RATE Y	1,000,000.	1,000,000.
ABBVIE INC	12,947.	21,345.
BELO CORPORATION	20,739.	24,168.
BIO REFERENCE LABS INC	23,692.	27,487.
BLUCORA INC	19,198.	21,791.
CARMIKE CINEMAS INC	6,796.	6,663.
CELADON GROUP INC	15,463.	16,096.
CME GROUP INC	164,969.	203,790.
CNO FINANCIAL GROUP INC	21,834.	23,261.
CONOCOPHILLIPS	93,825.	92,010.
ELECTRONICS FOR IMAGING INC	21,592.	25,798.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
EPL OIL GAS INC	18,853.	25,883.
FIRSTMERIT CORP	23,997.	29,494.
GRAPHIC PACKAGING HLDG CO	15,094.	16,795.
HANGER INC	14,859.	27,347.
HILLTOP HOLDINGS INC	20,612.	20,672.
HOME BANCSHARES INC	12,719.	14,482.
LITHIA MOTORS INC	9,652.	18,752.
MACK CALI REALTY CORP	18,953.	18,365.
MATRIX SERVICE CO	12,451.	14,115.
MEDASSETS INC	26,453.	22,517.
MICROSEMI CORP	17,068.	20,066.
MONDELEZ INTERNATIONAL W I	26,935.	39,762.
MYR GROUP INC	11,487.	10,343.
NEW RESIDENTIAL INVESTMENT	13,888.	14,104.
NEWCASTLE INVT CORP	16,472.	10,434.
ORBITAL SCIENCES CORP	21,828.	29,033.
PACWEST BANCORP	23,868.	25,572.
PENNYMAC MTG INV TR	22,780.	21,585.
PIONEER NAT RES CO	149,213.	173,350.
ROSETTA RESOURCES INC	6,954.	6,842.
RPX CORP	10,016.	11,214.
RUDOLPH TECHNOLOGIES INC	14,498.	14,712.
SAPIENT CORP	16,666.	18,847.
SINCLAIR BROADCAST GROUP INC	7,613.	16,596.
STERLING FINL CORP SPOKANE	25,193.	25,628.
SWIFT TRANSPORTATION CO	19,063.	30,110.
TEREX CORP NEW	22,375.	31,350.
TETRA TECH INC	22,052.	22,359.
THOR INDUSTRIES INC	24,200.	27,334.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
UNISYS CORPORATION	19,581.	20,774.
WABASH NATL CORP	17,452.	17,346.
WAL MART STORES INC	68,350.	74,840.
RIO TINTO PLC ADR	122,728.	106,800.
ABERDEEN FDS EMRGN MKT INSTL	500,000.	492,958.
FORWARD INTL REAL ESTATE	500,000.	496,321.
ISHARES CORE S&P SMALL CAP ETF	562,587.	906,800.
NUVEEN GLOBAL INFRASTR FD	500,000.	496,602.
NUVEEN REAL ESTATE SECS	500,000.	521,422.
SCOUT INTERNATIONAL FUND	950,000.	1,008,140.
UTILITIES SELECT SECTOR SPDR	91,159.	94,200.
CALL ON EEM 10/10/13 @ 46.70	-23,712.	-1,741.
CALL ON EEM 10/10/13 @ 57.74	8,473.	2.
CALL ON EFA 10/10/13 @ 58.28	-20,269.	-40,416.
CALL ON EFA 10/01/13 @ 69.10	5,043.	978.
CALL ON SPY 10/10/13 @ 157.88	-132,024.	-499,655.
CALL ON SPY 10/10/13 @ 185.74	8,316.	15,501.
PUT ON EEM 10/10/13 @ 32.99	-23,712.	-3,780.
PUT ON EEM 10/10/13 @ 39.18	48,887.	14,199.
PUT ON EFA 10/10/13 @ 42.52	-20,381.	-1,500.
PUT ON EFA 10/10/13 @ 50.49	47,491.	4,916.
PUT ON SPY 10/10/13 @ 114.30	-171,632.	-10,152.
PUT ON SPY 10/10/13 @ 135.74	435,213.	35,110.
TOTALS	22,939,219.	28,576,594.

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
RBC 4YR GSCI COMMOD 8/30/13	200,000.	212,280.
BANK OF MONTREAL 6% 10/29/12		
BARC 5YR CMDY D1 MTN 3/17/14	100,000.	97,690.
TOTALS	300,000.	309,970.

RECIPIENT NAME:
YOUTHBRIDGE ASSOCIATION
ADDRESS:
12685 OLIVE STREET ROAD STE 100
ST LOUIS, MO 63141
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 67,500.

RECIPIENT NAME:
SALVATION ARMY
ADDRESS:
1130 HAMPTON AVE
ST LOUIS, MO 63139-3147
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 67,500.

RECIPIENT NAME:
SHRINERS CHILDRENS HOSPITAL
ADDRESS:
PO BOX 863770
ORLANDO, FL 32886-3770
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 67,500.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
ST LOUIS COLLEGE OF PHARMACY
ADDRESS:
4588 PARKVIEW PLACE
ST LOUIS, MO 63110-1029
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 67,500.

RECIPIENT NAME:
RANKEN TECHNICAL COLLEGE
ADDRESS:
4431 FINNEY AVE
ST LOUIS, MO 63113-2811
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 67,500.

RECIPIENT NAME:
BARNES JEWISH HOSPITAL FOUNDATION
ADDRESS:
1001 HIGHLANDS PLAZA DR WEST
ST LOUIS, MO 63110-1337
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 40,500.

RECIPIENT NAME:
ST LOUIS UNIVERSITY SCHOOL OF NURSING
ADDRESS:
3437 CAROLINE ST
ST LOUIS, MO 63104-1111
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 40,500.

RECIPIENT NAME:
ST ANDREWS AT HOME SERVICES
ADDRESS:
6633 DELMAR BLVD
ST LOUIS, MO 63130-4505
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 67,500.

RECIPIENT NAME:
ST LOUIS ALTENHEIM
ADDRESS:
5408 S BROADWAY
ST LOUIS, MO 63111-2023
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 27,000.

RECIPIENT NAME:
HUMANE SOCIETY OF MISSOURI
ADDRESS:
1201 MACKLIND AVE
ST LOUIS, MO 63110-1431
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 67,500.

RECIPIENT NAME:
PEREGRINE SOCIETY OF STL
ADDRESS:
2343 HAMPTON AVE
ST LOUIS, MO 63139-2908
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 27,000.

RECIPIENT NAME:
MEALS ON WHEELS
ADDRESS:
25 BEAVER DRIVE
ST LOUIS, MO 63141-7901
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 67,500.

RECIPIENT NAME:
VISITING NURSE ASSOCIATION
ADDRESS:
11440 OLIVE BLVD SUITE 200
CREVE COEUR, MO 63141
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 67,500.

RECIPIENT NAME:
ANNIE MALONE CHILDRENS HOME
ADDRESS:
2612 ANNIE MALONE DRIVE
ST LOUIS, MO 63113-2929
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 27,000.

RECIPIENT NAME:
GOOD SHEPARD CILDRENS AND
FAMILY SERVICES
ADDRESS:
1340 PARTRIDGE AVE
ST LOUIS, MO 63140
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 27,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
EDGEWOOD CHILDRENS CENTER
ADDRESS:
330 N GORE AVE
ST LOUIS, MO 63119-1600
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 27,000.

RECIPIENT NAME:
INDEPENDENCE CENTER
ADDRESS:
4245 FOREST PARK AVE
ST LOUIS, MO 63108-2810
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 27,000.

RECIPIENT NAME:
CHILDRENS HOME SOCIETY OF MISSOURI
ADDRESS:
9445 LITZSINGER RD
ST LOUIS, MO 63144-2113
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 67,500.

RECIPIENT NAME:
UNITY SCHOOL OF CHRISTIANITY OF MO
ADDRESS:
1901 NW BLUE PKWY
UNITY VILLAGE, MO 64065-0001
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 27,000.

RECIPIENT NAME:
BEREA COLLEGE
ADDRESS:
CPO 2214
BEREA, KY 40404
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 27,000.

RECIPIENT NAME:
MRIAM FOUNDATION
ADDRESS:
501 BACON AVE
ST LOUIS, MO 63119-1512
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 27,000.

RECIPIENT NAME:
RANKEN JORDAN HOME FOR CHILDREN
ADDRESS:
11365 DORSETT ROAD
MARYLAND HEIGHTS, MO 63043-3411
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 27,000.

RECIPIENT NAME:
GOOD SAMARITAN HOME
ADDRESS:
6633 DELMAR BLVD STE 300
ST LOUIS, MO 63130-4505
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 101,250.

RECIPIENT NAME:
MISSOURI COUNCIL FOR THE BLIND
ADDRESS:
5453 CHIPPEWA ST
ST LOUIS, MO 63109-1635
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 67,500.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
DEACONESS FOUNDATION
ADDRESS:
211 N BROADWAY STE 1260
ST LOUIS, MO 63102-2733
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 27,000.

RECIPIENT NAME:
EPWORTH CHILDRENS HOME
ADDRESS:
110 N ELM AVE
ST LOUIS, MO 63119-2418
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 27,000.

RECIPIENT NAME:
XAVIER UNIVERSITY
ADDRESS:
3800 VICTORY PARKWAY
CINCINNATI, OH 45207
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 6,000.

RECIPIENT NAME:
UNIVERSITY OF ARKANSAS
ADDRESS:
114 SILAS HUNT HALL
FAYETTEVILLE, AR 72701
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 6,000.

RECIPIENT NAME:
ST LOUIS COMMUNITY COLLEGE
ADDRESS:
300 SOUTH BROADWAY
ST LOUIS, MO 63102
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 2,715.

RECIPIENT NAME:
MERAMEC COMMUNITY COLLEGE
ADDRESS:
11333 BIG BEND BLVD
ST LOUIS, MO 63122
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 2,195.

RECIPIENT NAME:
EMORY UNIVERSITY
ADDRESS:
200 DOWMAN DR STE 300
ATLANTA, GA 30322
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 6,000.

RECIPIENT NAME:
FLORISSANT VALLEY COMMUNITY
COLLEGE
ADDRESS:
3400 PERSHALL ROAD
ST LOUIS, MO 63135
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 1,116.

RECIPIENT NAME:
NORTHWESTERN UNIVERSITY
ADDRESS:
1801 HINMANN AVE
EVANSTON, IL 60208-1270
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 6,000.

RECIPIENT NAME:
UNIVERSITY OF EVANSVILLE
ADDRESS:
OLMSTEASD ADMINISTRATION HALL
EVANSVILLE, IN 47722
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 3,000.

RECIPIENT NAME:
ST CHARLES COMMUNITY COLLEGE
ADDRESS:
4601 MID RIVERS MALL DRIVE
COTTLEVILLE, MO 63376
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 612.

RECIPIENT NAME:
UNIVERSITY OF MO - ST LOUIS
ADDRESS:
ONE UNIVERSITY BLVD
ST LOUIS, MO 63121
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 4,749.

RECIPIENT NAME:
MISSOURI STATE UNIVERSITY
ADDRESS:
901 NATIONAL AVENUE
SPRINGFIELD, MO 65897
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 9,000.

RECIPIENT NAME:
UNIVERSITY OF MISSOURI AT
COLUMBIA
ADDRESS:
11 JESSE HALL
COLUMBIA, MO 65211
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 9,000.

RECIPIENT NAME:
TRUMAN STATE UNIVERSITY
ADDRESS:
100 EAST NORMAL
KIRKSVILLE, MO 63501
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 15,000.

RECIPIENT NAME:
MARYVILLE UNIVERSITY
ADDRESS:
650 MARYVILLE UNIVERSITY DR
ST LOUIS, MO 63141
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 6,000.

RECIPIENT NAME:
UNIVERSITY OF EVANSVILLE
ADDRESS:
1800 LINCOLN AVENUE
EVANSVILLE, IN 47722
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 3,000.

RECIPIENT NAME:
WEBSTER UNIVERSITY
ADDRESS:
430 E LOCKWOOD AVE
ST LOUIS, MO 63119
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 12,000.

TOTAL GRANTS PAID: 1,341,137.

SCHEDULE D (Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*.

OMB No 1545-0092

2012

Name of estate or trust: THE BALLMANN FAMILY PRIVATE FOUNDATION

Employer identification number: 43-6466750

Note: *Form 5227 filers need to complete* ***only*** *Parts I and II.*

Part I — Short-Term Capital Gains and Losses - Assets Held One Year or Less

	(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a						

b	Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	284,438.
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4	Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet	4	( )
5	**Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	284,438.

Part II — Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a	LONG-TERM CAPITAL GAIN DIVIDENDS					87,130.

b	Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	-288,688.
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9	Capital gain distributions	9	807.
10	Gain from Form 4797, Part I	10	
11	Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet	11	( )
12	**Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶	12	-200,751.

Part III **Summary of Parts I and II**
Caution: *Read the instructions **before** completing this part.*

			(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			284,438.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			-200,751.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b			807.
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			83,687.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary*

Part IV **Capital Loss Limitation**

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 15, column (3) **or** **b** $3,000 . . . 16 ( )

Note: *If the loss on line 15, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.*

Part V **Tax Computation Using Maximum Capital Gains Rates**

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.
Caution· *Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if.*
- *Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col. (2) or line 14c, col. (2) is more than zero

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			17			
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18					
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19					
20	Add lines 18 and 19	20					
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	21					
22	Subtract line 21 from line 20. If zero or less, enter -0-			22			
23	Subtract line 22 from line 17. If zero or less, enter -0-			23			
24	Enter the **smaller** of the amount on line 17 or $2,400			24			
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ **No.** Enter the amount from line 23			25			
26	Subtract line 25 from line 24			26			
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22			27			
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)			28			
29	Subtract line 28 from line 27			29			
30	Multiply line 29 by 15% (.15)					30	
31	Figure the tax on the amount on line 23. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					31	
32	Add lines 30 and 31					32	
33	Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)					34	

SCHEDULE D-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.
▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*

OMB No 1545-0092

2012

Name of estate or trust: THE BALLMANN FAMILY PRIVATE FOUNDATION

Employer identification number: 43-6466750

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 189. FINISAR CORPORATION	10/13/2011	06/05/2012	2,466.00	3,231.00	-765.00
1161. P M C - SIERRA INC	09/15/2011	06/05/2012	7,099.00	7,697.00	-598.00
931. BRIGHTPOINT INC	03/29/2012	06/06/2012	4,378.00	8,554.00	-4,176.00
39. GARDNER DENVER INC	02/29/2012	06/07/2012	2,108.00	2,806.00	-698.00
233. TITAN INTL INC ILL	01/30/2012	06/07/2012	5,145.00	5,515.00	-370.00
521. MOLINA HEALTHCARE INC	12/23/2011	06/08/2012	11,385.00	11,815.00	-430.00
443. TITAN INTL INC ILL	12/01/2011	06/28/2012	10,402.00	9,715.00	687.00
122. GEOEYE INC	11/23/2011	06/29/2012	1,891.00	2,575.00	-684.00
96. BRIGHTPOINT INC	03/29/2012	07/03/2012	851.00	794.00	57.00
43. AZZ INCORPORATED	02/06/2012	07/05/2012	2,873.00	2,234.00	639.00
92. PAR PHARMACEUTICAL COS	01/26/2012	07/17/2012	4,606.00	3,306.00	1,300.00
208. MASTEC INC	11/07/2011	07/30/2012	3,413.00	3,675.00	-262.00
308. AMERICAN STATES WATER	06/28/2012	08/22/2012	13,595.00	11,258.00	2,337.00
199. PAR PHARMACEUTICAL CO	06/22/2012	09/06/2012	9,902.00	6,941.00	2,961.00
243. NORTHWESTERN CORP	06/28/2012	09/11/2012	8,869.00	8,845.00	24.00
339. SABRA HEALTH CARE REI	06/11/2012	09/11/2012	6,573.00	5,345.00	1,228.00
174. KAPSTONE PAPER & PACK	02/13/2012	10/05/2012	3,917.00	3,220.00	697.00
68. NORTHWESTERN CORP	06/28/2012	10/18/2012	2,479.00	2,475.00	4.00
68. CLECO CORPORATION	06/28/2012	10/19/2012	2,863.00	2,801.00	62.00
945. SKULLCANDY INC	05/07/2012	10/22/2012	11,304.00	14,951.00	-3,647.00
52400. PUT ON SPY 10/15/12	10/14/2011	10/24/2012	299,195.00		299,195.00
1500000. BMO 7MO SPY RX CV 10/29/12	03/26/2012	10/29/2012	1,532,563.00	1,500,000.00	32,563.00
565. GT ADVANCED TECHNOLOG	09/11/2012	11/01/2012	2,452.00	3,754.00	-1,302.00
819. GT ADVANCED TECHNOLOG	09/11/2012	11/14/2012	2,834.00	4,705.00	-1,871.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.
▶ Information about Schedule D (Form 1041) and its separate instructions is at www irs.gov/form1041

OMB No 1545-0092

2012

Name of estate or trust: THE BALLMANN FAMILY PRIVATE FOUNDATION

Employer identification number: 43-6466750

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 189. GT ADVANCED TECHNOLOG	08/03/2012	11/16/2012	576.00	914.00	-338.00
343. MONEYGRAM INTERNATION	03/29/2012	12/11/2012	4,288.00	6,287.00	-1,999.00
452. NORTHWEST BANCSHARES	07/20/2012	12/12/2012	5,430.00	5,215.00	215.00
275. MONEYGRAM INTERNATION	04/19/2012	12/14/2012	3,355.00	4,763.00	-1,408.00
429. CLIFFS NATURAL RESOUR	02/29/2012	12/17/2012	14,676.00	28,167.00	-13,491.00
1400. METROPOLITAN HEALTH	09/28/2012	12/21/2012	15,750.00	12,178.00	3,572.00
407. ALTERRA CAPITAL HOLDI	07/31/2012	01/04/2013	11,628.00	9,654.00	1,974.00
55. LITHIA MOTORS INC CL A	07/25/2012	01/09/2013	2,152.00	1,475.00	677.00
390. ALTERRA CAPITAL HOLDI	08/03/2012	01/09/2013	11,336.00	9,116.00	2,220.00
162. SABRA HEALTH CARE REI	06/11/2012	01/10/2013	3,830.00	2,332.00	1,498.00
184. NU SKIN ENTERPRISES I	07/27/2012	01/11/2013	7,618.00	8,733.00	-1,115.00
26. MULTI FINELINE ELECTRO	12/11/2012	01/15/2013	428.00	490.00	-62.00
66725.979 PIMCO TOTAL RETU	12/19/2012	01/22/2013	750,667.00	756,005.00	-5,338.00
241. NORTHWESTERN CORP	06/28/2012	01/24/2013	8,800.00	8,772.00	28.00
203. B/E AEROSPACE INC	05/29/2012	02/01/2013	10,587.00	9,168.00	1,419.00
552. CIRRUS LOGIC INC	11/30/2012	02/22/2013	13,890.00	20,159.00	-6,269.00
283. AMERISTAR CASINOS INC	06/11/2012	03/07/2013	7,414.00	5,422.00	1,992.00
160. SINCLAIR BROADCAST GR	02/01/2013	03/07/2013	2,868.00	2,243.00	625.00
113. COMMUNITY BK SYS INC	07/19/2012	03/12/2013	3,268.00	3,111.00	157.00
1115. SPECTRUM PHARMACEUTI	02/01/2013	03/14/2013	8,725.00	16,572.00	-7,847.00
1500. CLIFFS NATURAL RESOU	01/22/2013	03/15/2013	32,837.00	55,980.00	-23,143.00
11. BERKSHIRE HILLS BANCOR	04/19/2012	03/22/2013	278.00	253.00	25.00
8. BLUCORA INC	01/09/2013	03/22/2013	124.00	131.00	-7.00 *
19. CHESAPEAKE LODGING TRU	06/06/2012	03/22/2013	430.00	342.00	88.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a
▶ Information about Schedule D (Form 1041) and its separate instructions is at www irs.gov/form1041

OMB No 1545-0092

2012

Name of estate or trust: THE BALLMANN FAMILY PRIVATE FOUNDATION

Employer identification number: 43-6466750

Part I — Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 17. FIRST FINANCIAL BANCOR	05/07/2012	03/22/2013	270.00	288.00	-18.00
73. MATRIX SERVICE CO	01/25/2013	03/22/2013	1,104.00	1,062.00	42.00
17. MEDASSETS INC	03/19/2013	03/22/2013	330.00	331.00	-1.00
94. MULTI FINELINE ELECTRO	12/11/2012	03/22/2013	1,405.00	1,772.00	-367.00
9. NU SKIN ENTERPRISES INC	05/03/2012	03/22/2013	386.00	416.00	-30.00
6. OIL STATES INTERNATIONA	09/10/2012	03/22/2013	482.00	496.00	-14.00
25. ORBITAL SCIENCES CORP	02/27/2013	03/22/2013	409.00	376.00	33.00
8. PENNYMAC MTG INV TR	02/01/2013	03/22/2013	202.00	216.00	-14.00
11. RPX CORP	03/11/2013	03/22/2013	153.00	147.00	6.00
9. RUDOLPH TECHNOLOGIES IN	12/14/2012	03/22/2013	106.00	111.00	-5.00
17. RUE21 INC	04/19/2012	03/22/2013	470.00	507.00	-37.00
14. STONE ENERGY CORP	09/11/2012	03/22/2013	313.00	364.00	-51.00
123. UMB FINL CORP	10/22/2012	03/22/2013	5,910.00	5,615.00	295.00
39187.525 PIMCO TOTAL RETU	12/19/2012	04/08/2013	442,819.00	443,995.00	-1,176.00
231. SINCLAIR BROADCAST GR	02/01/2013	04/11/2013	5,499.00	3,144.00	2,355.00
91. MEDIDATA SOLUTIONS INC	12/19/2012	04/17/2013	4,934.00	3,670.00	1,264.00
134. ARCTIC CAT INC	01/09/2013	05/02/2013	5,863.00	4,985.00	878.00
391. FIRST FINANCIAL BANCO	07/27/2012	05/14/2013	6,019.00	6,367.00	-348.00
236. SINCLAIR BROADCAST GR	10/22/2012	05/20/2013	6,179.00	2,926.00	3,253.00
665. MCDERMOTT INTL INC	05/01/2013	05/24/2013	5,788.00	6,956.00	-1,168.00
142. TITAN MACHY INC	06/11/2012	05/28/2013	2,880.00	3,770.00	-890.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b 284,438.00

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D-1 (Form 1041) 2012**

JSA
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* INDICATES WASH SALE

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

THE BALLMANN FAMILY PRIVATE FOUNDATION

Employer identification number 43-6466750

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 59. GARDNER DENVER INC	04/19/2007	06/07/2012	3,189.00	2,143.00	1,046.00
135. CENTENE CORP	05/11/2011	06/08/2012	4,826.00	4,881.00	-55.00
82. LITTELFUSE INC	08/11/2010	06/08/2012	4,872.00	3,247.00	1,625.00
107. CYBERONICS INC	02/18/2011	06/25/2012	4,702.00	3,618.00	1,084.00
398. GENERAL CABLE CORPORA	06/22/2011	06/28/2012	9,709.00	16,813.00	-7,104.00
93. GEOEYE INC	11/23/2010	06/29/2012	1,441.00	3,936.00	-2,495.00
93. MAXIMUS INC	06/22/2010	06/29/2012	4,618.00	2,896.00	1,722.00
1552. BRIGHTPOINT INC	05/19/2011	07/03/2012	13,759.00	11,203.00	2,556.00
120. CRANE CO	04/19/2007	07/03/2012	4,302.00	4,957.00	-655.00
78. UMB FINL CORP	05/03/2011	07/03/2012	4,000.00	3,082.00	918.00
87. MAXIMUS INC	06/22/2010	07/11/2012	4,389.00	2,709.00	1,680.00
147. BANK OF THE OZARKS IN	12/22/2010	07/16/2012	4,852.00	3,275.00	1,577.00
260. BANK OF THE OZARKS IN	12/21/2010	07/20/2012	8,544.00	5,698.00	2,846.00
192. WORLD ACCEP CORP	03/10/2011	07/23/2012	12,604.00	11,042.00	1,562.00
277. GEOEYE INC	06/07/2011	07/24/2012	5,579.00	10,393.00	-4,814.00
473. SONIC AUTOMOTIVE INC	03/10/2011	07/25/2012	8,038.00	7,044.00	994.00
295. BANK OF THE OZARKS IN	03/17/2011	07/27/2012	9,359.00	6,340.00	3,019.00
32. MASTEC INC	02/25/2011	07/30/2012	525.00	575.00	-50.00
94. NETGEAR INC	09/23/2010	07/30/2012	3,252.00	2,404.00	848.00
147. GEOEYE INC	06/07/2011	07/31/2012	3,605.00	3,891.00	-286.00
141. GEOEYE INC	09/17/2009	08/02/2012	3,438.00	3,673.00	-235.00
298. TWO HARBORS INVESTMEN	05/20/2011	08/02/2012	3,329.00	3,233.00	96.00
251. CASH AMERICA INTL INC	05/06/2011	08/03/2012	9,433.00	11,935.00	-2,502.00
21872.266 PIMCO TOTAL RETU	12/28/2010	08/10/2012	250,219.00	234,689.00	15,530.00
64. CLECO CORPORATION	08/19/2011	08/20/2012	2,696.00	2,173.00	523.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

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THE BALLMANN FAMILY PRIVATE FOUNDATION

Employer identification number

43-6466750

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 56. DSW INC CL A	08/18/2011	08/20/2012	3,437.00	2,338.00	1,099.00
63. LSB INDS INC	06/02/2009	08/20/2012	2,369.00	1,108.00	1,261.00
581. TWO HARBORS INVESTMEN	05/20/2011	08/20/2012	6,542.00	6,304.00	238.00
89. UMB FINL CORP	04/19/2011	08/20/2012	4,353.00	3,329.00	1,024.00
175. CLECO CORPORATION	08/19/2011	09/10/2012	7,352.00	5,878.00	1,474.00
39130.435 PIMCO TOTAL RETU	12/28/2010	09/12/2012	449,217.00	419,870.00	29,347.00
58. CYBERONICS INC	02/18/2011	09/27/2012	3,011.00	1,961.00	1,050.00
.6 AMTRUST FINANCIAL SERVI	08/12/2009	09/28/2012	15.00	7.00	8.00
148. ICU MED INC	03/14/2011	10/01/2012	9,066.00	5,816.00	3,250.00
4306.632 PIMCO TOTAL RETUR	12/28/2010	10/04/2012	49,957.00	46,210.00	3,747.00
76. LSB INDS INC	06/02/2009	10/05/2012	3,378.00	1,336.00	2,042.00
98. MEDIDATA SOLUTIONS INC	02/10/2011	10/05/2012	3,893.00	2,634.00	1,259.00
162. BERRY PETE CO CL A	08/05/2010	10/12/2012	6,578.00	4,404.00	2,174.00
84. GULFPORT ENERGY CORP	05/06/2011	10/12/2012	2,723.00	2,400.00	323.00
557. SYNNEX CORP	09/30/2011	10/12/2012	17,808.00	15,969.00	1,839.00
4314.064 PIMCO TOTAL RETUR	12/28/2010	10/15/2012	50,000.00	46,290.00	3,710.00
52400. PUT ON SPY 10/15/12	10/14/2011	10/15/2012		604,699.00	-604,699.00
279. PERICOM SEMICONDUCTOR	10/14/2009	10/17/2012	2,259.00	3,115.00	-856.00
201. CLECO CORPORATION	08/09/2011	10/19/2012	8,464.00	6,246.00	2,218.00
.6667 KRAFT FOODS GROUP IN	09/08/2010	10/19/2012	31.00	22.00	9.00
217. BERRY PETE CO CL A	05/21/2009	11/01/2012	7,510.00	3,755.00	3,755.00
414. LIFEPOINT HOSPITALS I	05/06/2011	11/01/2012	15,239.00	17,760.00	-2,521.00
262. MASTEC INC	02/25/2011	11/01/2012	5,879.00	4,037.00	1,842.00
64. MEDIDATA SOLUTIONS INC	02/10/2011	11/01/2012	2,714.00	1,720.00	994.00
77. AMTRUST FINANCIAL SERV	08/12/2009	11/07/2012	2,074.00	913.00	1,161.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

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THE BALLMANN FAMILY PRIVATE FOUNDATION

Employer identification number 43-6466750

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a	124. CINEMARK HLDGS INC	06/13/2011	11/09/2012	3,238.00	2,363.00	875.00
	1173. GT ADVANCED TECHNOLO	11/18/2010	11/16/2012	3,578.00	9,268.00	-5,690.00
	123. AMERISTAR CASINOS INC	09/15/2011	11/19/2012	2,402.00	2,190.00	212.00
	42. DSW INC CL A	08/18/2011	11/21/2012	2,827.00	1,754.00	1,073.00
	62. GULFPORT ENERGY CORP	05/06/2011	11/29/2012	2,307.00	919.00	1,388.00
	1586. EATON CORP	09/08/2010	12/03/2012	82,726.00	61,426.00	21,300.00
	2157. HEALTH MGMT ASSOC IN	02/09/2011	12/04/2012	15,971.00	20,692.00	-4,721.00
	339. NORTHWEST BANCSHARES	10/14/2010	12/11/2012	4,048.00	3,859.00	189.00
	60. B/E AEROSPACE INC	03/15/2011	12/12/2012	2,879.00	2,019.00	860.00
	159. CINEMARK HLDGS INC	06/13/2011	12/12/2012	4,247.00	3,011.00	1,236.00
	113. GULFPORT ENERGY CORP	12/01/2011	12/12/2012	4,363.00	3,160.00	1,203.00
	271. NORTHWEST BANCSHARES	10/14/2010	12/12/2012	3,255.00	3,085.00	170.00
	571. CLIFFS NATURAL RESOUR	09/08/2010	12/17/2012	19,533.00	38,637.00	-19,104.00
	778. GOLDMAN SACHS GROUP I	06/02/2009	12/17/2012	93,700.00	111,674.00	-17,974.00
	1077. NEWFIELD EXPL CO	09/08/2010	12/17/2012	27,768.00	54,927.00	-27,159.00
	25117.214 NUVEEN TRADEWIND	07/29/2011	12/17/2012	595,027.00	750,000.00	-154,973.00
	1248. P M C - SIERRA INC	09/26/2011	12/19/2012	6,624.00	8,000.00	-1,376.00
	547. AMERISTAR CASINOS INC	09/15/2011	12/24/2012	14,505.00	9,740.00	4,765.00
	60. GARDNER DENVER INC	04/19/2007	12/24/2012	4,091.00	2,180.00	1,911.00
	133. B/E AEROSPACE INC	03/15/2011	01/03/2013	6,762.00	4,354.00	2,408.00
	1606. COMERICA INC	09/08/2010	01/08/2013	51,648.00	59,117.00	-7,469.00
	3606. E M C CORPORATION	09/08/2010	01/08/2013	85,968.00	72,481.00	13,487.00
	2453. MACYS INC	09/08/2010	01/08/2013	92,280.00	51,029.00	41,251.00
	762. MOSAIC CO	09/08/2010	01/08/2013	44,813.00	45,644.00	-831.00
	1517. PUBLIC SVC ENTERPRIS	12/23/2010	01/08/2013	46,344.00	47,628.00	-1,284.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

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. THE BALLMANN FAMILY PRIVATE FOUNDATION

Employer identification number
43-6466750

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 170. B/E AEROSPACE INC	10/16/2008	01/09/2013	8,637.00	1,571.00	7,066.00
187. SONIC AUTOMOTIVE INC	03/10/2011	01/09/2013	4,215.00	2,785.00	1,430.00
128. AMERISTAR CASINOS INC	09/27/2011	01/10/2013	3,407.00	2,275.00	1,132.00
73. TRIUMPH GROUP INC	11/05/2010	01/15/2013	5,041.00	3,273.00	1,768.00
655. BRANDYWINE REALTY TRU	08/24/2011	01/23/2013	8,528.00	6,272.00	2,256.00
198. MASTEC INC	08/09/2010	01/23/2013	5,597.00	2,243.00	3,354.00
332. RAMCO GERSHENSON PROP	01/11/2012	01/23/2013	4,840.00	3,244.00	1,596.00
616. ASHFORD HOSPITALITY T	06/24/2011	01/24/2013	7,069.00	7,007.00	62.00
809. DUPONT FABROS TECHNOL	02/18/2011	01/24/2013	19,470.00	13,460.00	6,010.00
117. GARDNER DENVER INC	05/04/2009	01/30/2013	8,230.00	3,651.00	4,579.00
193. B/E AEROSPACE INC	10/16/2008	02/01/2013	10,066.00	1,783.00	8,283.00
134. CINEMARK HLDGS INC	04/22/2010	02/01/2013	3,782.00	2,533.00	1,249.00
979. DARLING INTL INC	08/29/2011	02/14/2013	16,440.00	16,735.00	-295.00
252. RENASANT CORP	07/26/2010	02/15/2013	5,506.00	3,849.00	1,657.00
172. COMMUNITY BK SYS INC	10/21/2011	02/19/2013	4,981.00	4,392.00	589.00
169. SONIC AUTOMOTIVE INC	06/22/2011	02/19/2013	4,057.00	2,437.00	1,620.00
313. RENASANT CORP	06/09/2011	02/22/2013	6,805.00	4,701.00	2,104.00
174. TITAN MACHY INC	09/09/2011	02/22/2013	4,959.00	4,240.00	719.00
497. PERRY ELLIS INTERNATI	09/15/2011	02/27/2013	8,136.00	12,954.00	-4,818.00
156. MEDIDATA SOLUTIONS IN	02/18/2011	02/27/2013	8,076.00	4,148.00	3,928.00
268. RENASANT CORP	06/09/2011	02/28/2013	5,873.00	3,865.00	2,008.00
408. AMERISTAR CASINOS INC	09/27/2011	03/07/2013	10,689.00	7,232.00	3,457.00
117. GULFPORT ENERGY CORP	06/14/2010	03/07/2013	4,859.00	1,593.00	3,266.00
67. MEDIDATA SOLUTIONS INC	02/18/2011	03/07/2013	3,606.00	1,761.00	1,845.00
199. TEXAS ROADHOUSE INC	10/08/2010	03/07/2013	3,910.00	3,005.00	905.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

THE BALLMANN FAMILY PRIVATE FOUNDATION

Employer identification number 43-6466750

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 95. UMB FINL CORP	04/19/2011	03/08/2013	4,356.00	3,553.00	803.00
252. COMMUNITY BK SYS INC	10/21/2011	03/12/2013	7,287.00	6,435.00	852.00
117. PROASSURANCE CORPORAT	09/27/2011	03/13/2013	5,509.00	4,244.00	1,265.00
547. F5 NETWORKS INC	09/08/2010	03/15/2013	50,293.00	52,786.00	-2,493.00
950. PRUDENTIAL FINANCIAL	09/08/2010	03/15/2013	57,284.00	51,359.00	5,925.00
83. MEDIDATA SOLUTIONS INC	02/09/2011	03/18/2013	4,504.00	2,074.00	2,430.00
105. SABRA HEALTH CARE REI	01/26/2012	03/18/2013	2,880.00	1,429.00	1,451.00
246. TWO HARBORS INVESTMEN	05/20/2011	03/18/2013	3,392.00	2,669.00	723.00
84. UMB FINL CORP	04/19/2011	03/18/2013	4,000.00	3,142.00	858.00
8. AZZ INCORPORATED	02/06/2012	03/22/2013	383.00	208.00	175.00
32. AMERICAN EQUITY INVT L	01/19/2011	03/22/2013	473.00	415.00	58.00
7. AMERICAN STATES WATER C	01/11/2012	03/22/2013	395.00	241.00	154.00
7. BRANDYWINE REALTY TRUST	08/24/2011	03/22/2013	101.00	66.00	35.00
9. CYBERONICS INC	02/18/2011	03/22/2013	409.00	304.00	105.00
15. DSW INC CL A	12/05/2011	03/22/2013	984.00	705.00	279.00
43. ENTEGRIS INC	12/14/2010	03/22/2013	409.00	318.00	91.00
51. FLUSHING FINANCIAL COR	02/11/2009	03/22/2013	832.00	425.00	407.00
34. HANGER INC	03/01/2010	03/22/2013	1,056.00	635.00	421.00
51. HARMONIC INC	09/29/2010	03/22/2013	292.00	339.00	-47.00
11. KAPSTONE PAPER & PACKA	01/26/2012	03/22/2013	302.00	194.00	108.00
19. LSB INDS INC	07/02/2009	03/22/2013	669.00	329.00	340.00
16. LAKELAND FINANCIAL COR	01/19/2011	03/22/2013	422.00	378.00	44.00
10. MASTEC INC	08/09/2010	03/22/2013	294.00	107.00	187.00
9. MAXIMUS INC	11/18/2011	03/22/2013	699.00	361.00	338.00
13. MEASUREMENT SPECIALTIE	01/18/2011	03/22/2013	495.00	356.00	139.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	Employer identification number
THE BALLMANN FAMILY PRIVATE FOUNDATION	43-6466750

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 6. MEDNAX INC	04/19/2007	03/22/2013	526.00	347.00	179.00
30. PERICOM SEMICONDUCTOR	10/08/2009	03/22/2013	210.00	323.00	-113.00
19. PRIMORIS SERVICES CORP	02/23/2012	03/22/2013	416.00	311.00	105.00
14. PROSPERITY BANCSHARES	04/07/2011	03/22/2013	663.00	618.00	45.00
18. PROTECTIVE LIFE CORP	09/15/2011	03/22/2013	625.00	311.00	314.00
15. RAMCO GERSHENSON PROPE	01/26/2012	03/22/2013	243.00	168.00	75.00
11. SONIC AUTOMOTIVE INC C	06/22/2011	03/22/2013	256.00	149.00	107.00
19. TAL INTL GROUP INC	03/25/2011	03/22/2013	853.00	658.00	195.00
13. TUPPERWARE BRANDS CORP	12/06/2010	03/22/2013	1,044.00	623.00	421.00
85. UMB FINL CORP	12/02/2011	03/22/2013	4,084.00	3,074.00	1,010.00
10. VOLTERRA SEMICONDUCTOR	09/27/2010	03/22/2013	143.00	224.00	-81.00
14. WESBANCO INC	03/10/2011	03/22/2013	339.00	286.00	53.00
95. MEDIDATA SOLUTIONS INC	06/13/2011	03/26/2013	5,237.00	2,172.00	3,065.00
549. PROASSURANCE CORPORAT	09/27/2011	03/26/2013	25,505.00	15,193.00	10,312.00
666. TWO HARBORS INVESTMEN	05/26/2011	03/26/2013	9,218.00	7,098.00	2,120.00
1145. C H ROBINSON WORLDWI	09/08/2010	04/08/2013	67,066.00	78,326.00	-11,260.00
93555.838 PIMCO TOTAL RETU	12/28/2010	04/08/2013	1,057,181.00	990,569.00	66,612.00
265486.726 PIMCO TOTAL RET INST	06/03/2009	04/10/2013	2,994,690.00	2,776,991.00	217,699.00
. HURON CONSULTING GROUP I SECURITIES LITIGATION		04/17/2013	24.00		24.00
85. MEDIDATA SOLUTIONS INC	06/13/2011	04/17/2013	4,609.00	1,881.00	2,728.00
340. VEECO INSTRS INC DEL	11/21/2011	04/22/2013	10,803.00	10,657.00	146.00
82. GULFPORT ENERGY CORP	07/13/2010	04/30/2013	4,247.00	1,114.00	3,133.00
39. OIL STATES INTERNATION	10/10/2011	05/02/2013	3,553.00	2,245.00	1,308.00
38. BUFFALO WILD WINGS INC	04/26/2012	05/03/2013	3,463.00	3,289.00	174.00
321. AMERICAN AXLE & MFG H	03/26/2012	05/06/2013	4,857.00	4,142.00	715.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side

. THE BALLMANN FAMILY PRIVATE FOUNDATION

Employer identification number 43-6466750

Part II **Long-Term Capital Gains and Losses - Assets Held More Than One Year**

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a	50. ICU MED INC	10/13/2009	05/09/2013	3,333.00	1,808.00	1,525.00
	75. FIRST FINANCIAL BANCOR	05/07/2012	05/14/2013	1,155.00	1,269.00	-114.00
	354. FLUSHING FINANCIAL CO	02/18/2009	05/14/2013	5,496.00	2,830.00	2,666.00
	217. AMERICAN AXLE & MFG H	03/26/2012	05/17/2013	3,599.00	2,591.00	1,008.00
	322. FLUSHING FINANCIAL CO	03/18/2009	05/17/2013	5,060.00	2,182.00	2,878.00
	43. OIL STATES INTERNATION	10/10/2011	05/17/2013	4,354.00	2,249.00	2,105.00
	929. FIRST FINANCIAL BANCO	05/07/2012	05/20/2013	14,637.00	15,714.00	-1,077.00
	113. ENERSYS	08/16/2010	05/22/2013	5,599.00	2,545.00	3,054.00
	337. FLUSHING FINANCIAL CO	03/18/2009	05/28/2013	5,308.00	2,101.00	3,207.00
	224. TITAN MACHY INC	09/09/2011	05/28/2013	4,543.00	4,912.00	-369.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . -288,688.00