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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning

6/1/2012

, and ending

5/31/2012

5/31/2013

Name of foundation

THE ULLMANN FAMILY FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

236 ROSELAND AVENUE

City or town, state, and ZIP code

ESSEX FELLS

NJ

07021

G Check all that apply:

☐

Initial return

☐

Initial return of a former public charity

☐

Final return

☐

Amended return

☐

Address change

☐

Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ 913,661

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

A Employer identification number

31-1623869

B Telephone number (see instructions)

(973) 993-8100

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ☒ if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	38,866	38,681		
5 a Gross rents				
b Net rental income or (loss)				
6 a Net gain or (loss) from sale of assets not on line 10	9,611			
b Gross sales price for all assets on line 6a 104,376				
7 Capital gain net income (from Part IV, line 2)		9,611		
8 Net short-term capital gain				
9 Income modifications				
10 a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	-66			
12 Total. Add lines 1 through 11	48,411	48,292	0	
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16 a Legal fees (attach schedule)				
b Accounting fees (attach schedule)	7,553			7,553
c Other professional fees (attach schedule)	8,117	4,870		3,247
17 Interest				
18 Taxes (attach schedule) (see instructions)	906	596		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)	290	290		
24 Total operating and administrative expenses. Add lines 13 through 23	16,866	5,756	0	10,800
25 Contributions, gifts, grants paid	38,500			38,500
26 Total expenses and disbursements. Add lines 24 and 25	55,366	5,756	0	49,300
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-6,955			
b Net investment income (if negative, enter -0-)		42,536		
c Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2012)

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 PT and Attached letter
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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	202	134	134
	2 Savings and temporary cash investments	18,058	24,727	24,727
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	472,473	455,356	737,580
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	209,953	209,646	151,220
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶			
	16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	700,686	689,863	913,661
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds	700,686	689,863	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	700,686	689,863	
	31 Total liabilities and net assets/fund balances (see instructions)	700,686	689,863	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	700,686
2 Enter amount from Part I, line 27a	2	-6,955
3 Other increases not included in line 2 (itemize) ▶ <u>FEDERAL EXCISE TAX REFUND</u>	3	182
4 Add lines 1, 2, and 3	4	693,913
5 Decreases not included in line 2 (itemize) ▶ <u>COST BASIS ADJUSTMENT</u>	5	4,050
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	689,863

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement			
b See Attached Statement			
c See Attached Statement			
d See Attached Statement			
e See Attached Statement			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			0
b			0
c			0
d			0
e			0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			0
b			0
c			0
d			0
e			0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	9,611
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	54,169	782,501	0.069225
2010	60,132	783,841	0.076715
2009	64,493	710,698	0.090746
2008	55,617	708,027	0.078552
2007	71,275	1,148,574	0.062055

2 Total of line 1, column (d)	2	0.377293
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.075459
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	833,496
5 Multiply line 4 by line 3	5	62,895
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	425
7 Add lines 5 and 6	7	63,320
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	49,300

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		1	851
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3 Add lines 1 and 2		3	851
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	851
6 Credits/Payments			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	310	
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	310	
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	541	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0	
11 Enter the amount of line 10 to be Credited to 2013 estimated tax Refunded	11	0	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of DONALD ULLMANN Telephone no. (973) 993-8100 Located at 236 ROSELAND AVENUE ESSEX FELLS, NJ ZIP+4 07021			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes	☒ No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes	☒ No
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes	☒ No
If "Yes," list the years 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐ **5b** N/A
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No **N/A**
If "Yes," attach the statement required by Regulations section 53.4945–5(d)
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** X
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b** X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DONALD ULLMANN 236 ROSELAND AVENUE ESSEX FELLS, NJ (	TRUSTEE 2.00	0		
SUSAN ULLMANN 236 ROSELAND AVENUE ESSEX FELLS, NJ (	TRUSTEE 2.00	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	826,822
b	Average of monthly cash balances	1b	19,367
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	846,189
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	846,189
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	12,693
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	833,496
6	Minimum investment return. Enter 5% of line 5	6	41,675

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	41,675
2a	Tax on investment income for 2012 from Part VI, line 5	2a	851
b	Income tax for 2012 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	851
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	40,824
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	40,824
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	40,824

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	49,300
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	49,300
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	49,300

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				40,824
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2012				
a From 2007	14,782			
b From 2008	20,826			
c From 2009	29,498			
d From 2010	22,554			
e From 2011	15,664			
f Total of lines 3a through e	103,324			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 49,300				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2012 distributable amount				40,824
e Remaining amount distributed out of corpus	8,476			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5.	111,800			
b Prior years' undistributed income Subtract line 4b from line 2b			0	
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions				0
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	14,782			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	97,018			
10 Analysis of line 9				
a Excess from 2008	20,826			
b Excess from 2009	29,498			
c Excess from 2010	22,554			
d Excess from 2011	15,664			
e Excess from 2012	8,476			

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Form 990-PF (2012) THE ULLMANN FAMILY FOUNDATION

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Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Attached Statement				
Total			3a	38,500
b Approved for future payment NONE				
Total			3b	0

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Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|---|--------------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| (1) | Cash | 1a(1) | X |
| (2) | Other assets | 1a(2) | X |
| b | Other transactions: | | |
| (1) | Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| (2) | Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| (3) | Rental of facilities, equipment, or other assets | 1b(3) | X |
| (4) | Reimbursement arrangements | 1b(4) | X |
| (5) | Loans or loan guarantees | 1b(5) | X |
| (6) | Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Signature of officer or trustee	Date	Title
---------------------------------	------	-------

**Paid
Preparer
Use Only**

Print/Type preparer's name

DONALD J ROMAN

Preparer's signature

[Signature]

Date _____

Check ☒ if self-employed

PTIN

P00364310

Firm's name ► PricewaterhouseCoopers, LLP

Firm's EIN ▶ 13-4008324

Firm's address ► 600 GRANT STREET, PITTSBURGH, PA 15219

Phone no	412-355-6000
----------	--------------

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Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Totals														
Capital Gains/Losses		Gross Sales		Cost, Other Basis and Expenses		Net Gain or Loss								
Other sales		104,376		94,765		9,611								
		0		0		0								
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
1	X	UNRECAPTURED SECTION 12						11						11
2	X	SECTION 1231 GAIN						538						538
3	X	SEE ATTACHED - 2BL04018						0						0
4	X	SEE ATTACHED - 2BL04030						4,883	8,034					-3,151
5	X	SEE ATTACHED - 2BL04032						98,446	86,731					11,715
6	X	ENTERPRISE PRODUCTS PARTNERS LP						235						235
7	X	WILLIAMS PARTNERS LP						1						1

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THE ULLMANN FAMILY FOUNDATION

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

NORTHWESTERN UNIVERSITY

Street

633 CLARK ST

City

EVANSTON

State

IL

Zip Code

60208

Foreign Country

Relationship

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

10,000

Name

MICHAEL J. FOX FOUNDATION

Street

P.O. BOX 4777

City

NEW YORK

State

NY

Zip Code

10163

Foreign Country

Relationship

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

10,000

Name

NAMI

Street

3803 FAIRFAX DRIVE

City

ARLINGTON

State

VA

Zip Code

22203

Foreign Country

Relationship

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

5,000

Name

DRESS FOR SUCCESS

Street

25 COOK AVE

City

MADISON

State

NJ

Zip Code

07940

Foreign Country

Relationship

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

1,000

Name

THE NATURE CONCERNANCY IN NJ

Street

2350 ROUTE 47

City

DELMONT

State

NJ

Zip Code

08314

Foreign Country

Relationship

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

10,000

Name

ALZHEIMERS ASSOCIATION

Street

360 LEXINGTON AVE

City

NEW YORK

State

NY

Zip Code

10017

Foreign Country

Relationship

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

2,500

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ULLMANN FAMILY FOUNDATION, INC

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The following sections are provided to facilitate your review and the preparation of your tax return.

The 2012 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

1099-B**2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS**

1a. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
95-0000	TELEFONICA-SA-SPAIN ADR CORP	06/22/12	05/16/12	1,060.96	2,927.36	0.00	(966.40)	
50-0000	Sale	05/12/11	05/17/12	817.40	1,192.95	0.00	(575.55)	
	Security Subtotal			1,678.36	3,219.91	0.00	(1,541.55)	

Covered Long Term Capital Gains and Losses Subtotal

(1,541.55)

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

CHIMERA INVESTMENT CORP								
865,0000	Sale	10/02/09	06/27/12	2,067.73	3,277.92	0.00	(1,210.19)	
41,0000	Sale	10/02/09	06/28/12	96.35	155.37	0.00	(59.02)	
389,0000	Sale	10/05/09	06/28/12	914.16	1,519.98	0.00	(605.82)	
94,0000	Sale	10/06/09	06/28/12	220.91	370.85	0.00	(149.94)	
171,0000	Sale	10/06/09	06/29/12	394.89	674.63	0.00	(279.74)	
403,0000	Sale	02/26/10	06/29/12	930.67	1,586.05	0.00	(655.38)	
112,0000	Sale	03/01/10	06/29/12	258.65	449.06	0.00	(190.41)	
	Security Subtotal			4,883.36	8,033.86	0.00	(3,150.50)	
SOUTHERN COPPER CORP								
03-05-10	Cash/Ret	03/05/10	03/07/12	22.03	29.92	0.00	(7.89)	

CUSIP Number 84265V105

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2012 ANNUAL STATEMENT SUMMARY

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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
		CUSIP Number	929042109					
650000	Sale	10/09/08	01/25/12	5,901.53	4,937.70	0.00	963.83	
150000	Sale	10/17/08	01/27/12	4,210.48	1,112.81	0.00	97.67	
100000	Sale	03/16/09	01/27/12	80.70	32.07	0.00	48.63	
	Security Subtotal			6,392.71	6,082.58	0.00	310.13	
	Noncovered Long Term Capital Gains and Losses Subtotal			11,498.10	14,137.36	0.00	(2,639.26)	
	NET LONG TERM CAPITAL GAINS AND LOSSES			13,176.46	17,357.27	0.00	(4,180.81)	

OTHER TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

		CUSIP Number	29273R109					
		09/04/05	02/03/12	7,759.15	5,168.08	0.00	N/C	
		07/17/06	02/03/12	96.38	96.34	0.00	N/C	
		10/17/06	02/03/12	144.68	138.76	0.00	N/C	
		07/17/07	02/03/12	96.38	104.19	0.00	N/C	
		04/16/07	02/03/12	96.38	117.98	0.00	N/C	
		07/17/07	02/03/12	96.40	127.06	0.00	N/C	
		06/18/10	02/03/12	42.49	48.67	0.00	N/C	
		03/08/12	03/26/12	0.84	0.70	0.00	N/C	
	Security Subtotal			8,332.61	6,780.65	0.00		
	Other Transactions Subtotal			8,332.61	5,780.65	0.00		

TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES 21,509.07

TOTAL REPORTED SALES PROCEEDS 21,509.07

N/C Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interest, derivative products purchased in the secondary market, or the determination of ordinary income and/or capital items for discount and zero-coupon issues.

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The following sections are provided to facilitate your review and the preparation of your tax return.

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1099-B**2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS**

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
AGILENT TECHNOLOGIES INC								
	CUSIP Number	00846U101						
1.0000	Sale	10/08/12	10/12/12	37.17	38.71	0.00	(1.54)	
2.0000	Sale	10/08/12	11/01/12	73.95	77.41	0.00	(3.46)	
1.0000	Sale	10/08/12	12/11/12	39.66	38.71	0.00	0.95	
	Security Subtotal			150.78	154.83	0.00	(4.05)	
AMERICAN INTERNATIONAL								
	CUSIP Number	026874784						
1.0000	Sale	09/17/12	10/12/12	35.49	34.75	0.00	0.74	
3.0000	Sale	09/17/12	11/01/12	105.08	104.26	0.00	0.82	
3.0000	Sale	09/17/12	12/04/12	99.61	104.26	0.00	(4.65)	
3.0000	Sale	09/17/12	12/11/12	104.38	104.26	0.00	0.12	
	Security Subtotal			344.56	347.53	0.00	(2.97)	
ADT CORP SHS								
	Cash/Lieu	CUSIP Number	00101J106					
		01/03/12	11/06/12	20.50	15.15	0.00	5.35	
AT&T INC								
	CUSIP Number	00206R102						
1.0000	Sale	01/03/12	10/12/12	35.71	30.46	0.00	5.25	
2.0000	Sale	01/03/12	11/01/12	69.97	60.92	0.00	9.05	
2.0000	Sale	01/03/12	12/04/12	67.88	60.91	0.00	6.97	
1.0000	Sale	01/03/12	12/11/12	34.07	30.46	0.00	3.61	
	Security Subtotal			207.63	182.75	0.00	24.88	

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
APACHE CORP								
1.0000	Sale	01/23/12	03/24/11/105	85.45	97.96	0.00	(12.51)	
17.0000	Sale	01/23/12	10/12/12	1,330.74	1,665.29	0.00	(334.55)	
8.0000	Sale	02/06/12	11/13/12	626.23	812.13	0.00	(185.90)	
4.0000	Sale	02/07/12	11/13/12	313.12	413.51	0.00	(100.39)	
	Security Subtotal			2,355.54	2,988.89	0.00	(633.35)	
BBBT CORPORATION								
43.0000	Sale	04/30/12	05/4937107	1,346.47	1,161.91	0.00	184.56	
12.0000	Sale	04/30/12	04/092/12	375.77	327.27	0.00	48.50	
	Security Subtotal			1,722.24	1,489.18	0.00	233.06	
COMCAST CORP NEW CL A								
4.0000	Sale	08/27/12	20030N101	142.69	135.99	0.00	6.70	
3.0000	Sale	08/27/12	10/12/12	112.69	102.00	0.00	10.69	
6.0000	Sale	08/27/12	12/04/12	222.80	203.99	0.00	18.81	
2.0000	Sale	08/27/12	12/11/12	74.50	68.00	0.00	6.50	
	Security Subtotal			552.68	509.98	0.00	42.70	
CVS CAREMARK CORP								
1.0000	Sale	07/09/12	126650100	47.05	47.22	0.17 (W)	0.00	
2.0000	Sale	07/09/12	10/12/12	92.81	94.43	1.62 (W)	0.00	
1.0000	Sale	07/09/12	11/01/12	45.99	47.22	1.23 (W)	0.00	
2.0000	Sale	07/09/12	12/04/12	91.97	94.43	0.00	(2.46)	
1.0000	Sale	07/09/12	12/11/12	47.58	47.22	0.00	0.36	
	Security Subtotal			325.40	330.52	3.02	(2.10)	
CONOCOPHILLIPS								
3.0000	Sale	08/29/11	20825C104	166.66	155.55	0.00	11.11	
19.0000	Sale	08/29/11	07/02/12	1,048.92	985.15	0.00	63.77	
6.0000	Sale	05/07/12	07/16/12	331.24	317.14	0.00	14.10	
9.0000	Sale	05/08/12	07/16/12	496.86	481.37	0.00	15.49	
	Security Subtotal			2,043.68	1,939.21	0.00	104.47	
CITIGROUP INC COM NEW								
7.0000	Sale	06/13/12	172967424	265.50	194.35	0.00	71.15	
4.0000	Sale	06/13/12	11/01/12	137.05	111.06	0.00	25.99	
	Security Subtotal			402.55	305.41	0.00	97.14	

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ULLMANN FAMILY FOUNDATION INC

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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
-CHUBB-CORP								
4-0000	Sale	08/07/11	11/23/10	276.38	246.34	0.00	28.04	
16-0000	Sale	08/07/11	02/13/12	1,105.52	1,011.27	0.00	94.25	
	Security Subtotal			1,381.90	1,257.61	0.00	124.29	
CISCO SYSTEMS INC COM								
2-0000	Sale	02/21/12	10/12/12	36.84	40.76	0.00	(3.92)	
3-0000	Sale	02/21/12	11/01/12	52.67	61.14	0.00	(8.47)	
1-0000	Sale	02/21/12	12/04/12	19.13	20.38	1.25 (W)	0.00	
1-0000	Sale	02/21/12	12/11/12	19.70	20.38	0.00	(0.68)	
	Security Subtotal			128.34	142.66	1.25	(13.07)	
-CORNING-INC								
37-0000	Sale	03/07/11	219350105	508.43	840.34	0.00	(331.91)	
25-0000	Sale	04/04/11	02/06/12	342.18	515.65	0.00	(173.47)	
39-0000	Sale	04/06/11	02/06/12	533.82	886.33	0.00	(352.51)	
	Security Subtotal			1,384.43	2,242.32	0.00	(857.89)	
DISNEY (WALT) CO COM STK								
1-0000	Sale	11/13/12	254687106	49.01	47.97	0.00	1.04	
1-0000	Sale	11/13/12	12/04/12	49.51	47.97	0.00	1.54	
	Security Subtotal			98.52	95.94	0.00	2.58	
E M C CORPORATION MASS								
1-0000	Sale	10/08/12	268648102	25.67	27.23	0.00	(1.56)	
4-0000	Sale	10/08/12	11/01/12	100.40	108.93	0.00	(8.53)	
3-0000	Sale	10/08/12	12/04/12	74.34	81.70	7.36 (W)	0.00	
2-0000	Sale	10/08/12	12/11/12	50.54	54.46	3.92 (W)	0.00	
	Security Subtotal			250.95	272.32	11.28	(10.09)	
GOLDMAN SACHS GROUP INC								
2-0000	Sale	04/30/12	38141G104	248.95	229.57	0.00	19.38	
1-0000	Sale	04/30/12	12/04/12	116.94	114.78	0.00	2.16	
	Security Subtotal			365.89	344.35	0.00	21.54	
HUMANA INC								
8-0000	Sale	10/10/11	444859102	592.46	574.03	0.00	18.43	

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ULLMANN FAMILY FOUNDATION INC

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2012 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1a. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
INGERSOLL-RAND PLC								
1.0000	Sale	12/03/12	12/04/12	47.86	48.42	0.56 (W)	0.00	
1.0000	Sale	12/03/12	12/04/12	47.87	48.43	0.00	(0.56)	
Security Subtotal				95.73	96.85	0.56	(0.56)	
LORILLARD INC								
10.0000	Sale	09/26/11	02/13/12	1,220.23	1,007.94	0.00	212.29	
5.0000	Sale	09/26/11	04/30/12	675.66	343.97	0.00	331.69	
2.0000	Sale	10/03/11	04/30/12	270.27	228.34	0.00	41.93	
3.0000	Sale	10/03/11	05/07/12	402.06	339.92	0.00	62.14	
2.0000	Sale	10/04/11	05/04/12	260.65	220.13	0.00	40.52	
Security Subtotal				2,836.27	2,417.00	0.00	419.27	
METLIFE INC								
2.0000	COM Sale	05/14/12	11/01/12	69.82	68.53	0.00	1.29	
1.0000	Sale	05/14/12	12/04/12	33.30	34.27	0.97 (W)	0.00	
2.0000	Sale	05/14/12	12/04/12	66.61	68.53	0.00	(1.92)	
1.0000	Sale	05/14/12	12/11/12	33.03	34.27	0.00	(1.24)	
Security Subtotal				202.76	205.60	0.97	(1.87)	
MACYS INC								
59.0000	Sale	12/05/11	10/08/12	2,316.40	1,948.55	0.00	367.85	
MERCK AND CO INC SHS								
2.0000	Sale	06/25/12	10/12/12	91.24	79.60	0.00	11.64	
2.0000	Sale	06/25/12	11/01/12	91.80	79.60	0.00	12.20	
3.0000	Sale	06/25/12	12/04/12	133.71	119.41	0.00	14.30	
1.0000	Sale	06/25/12	12/11/12	45.07	39.80	0.00	5.27	
Security Subtotal				361.82	318.41	0.00	43.41	
MOTOROLA SOLUTIONS INC								
25.0000	Sale	02/07/11	07/30/12	1,147.08	1,005.14	0.00	141.94	
MARATHON PETROLEUM CORP								
1.0000	Sale	03/26/12	10/12/12	54.76	44.90	0.00	9.86	
4.0000	Sale	03/26/12	12/04/12	238.05	179.59	0.00	58.46	
2.0000	Sale	03/26/12	12/11/12	122.47	89.79	0.00	32.68	
Security Subtotal				415.28	314.28	0.00	101.00	

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
MICROSOFT CORP								
5.0000	Sale	07/02/12	09/02/12	145.96	152.23	0.00	(6.27)	
6.0000	Sale	07/02/12	11/01/12	177.08	182.68	0.00	(5.60)	
1.0000	Sale	07/02/12	12/04/12	26.41	30.44	4.03 (W)	0.00	
1.0000	Sale	07/02/12	12/04/12	26.42	30.45	0.00	(4.03)	
2.0000	Sale	07/02/12	12/11/12	54.39	60.89	0.00	(6.50)	
Security Subtotal				430.26	456.69	4.03	(22.40)	
NEWS CORP CL A								
5.0000	Sale	08/06/12	10/12/12	120.72	118.25	0.00	2.47	
5.0000	Sale	08/06/12	11/01/12	121.29	118.25	0.00	3.04	
4.0000	Sale	08/06/12	12/04/12	97.39	94.60	0.00	2.79	
2.0000	Sale	08/06/12	12/11/12	49.78	47.30	0.00	2.48	
Security Subtotal				389.18	378.40	0.00	10.78	
NORTHROP GRUMMAN CORP								
21.0000	Sale	08/22/11	08/06/12	1,396.85	1,054.97	0.00	341.88	
PRUDENTIAL FINANCIAL INC								
2.0000	Sale	07/07/11	05/14/12	100.60	120.88	0.00	(20.28)	
7.0000	Sale	07/08/11	05/14/12	351.78	448.59	0.00	(96.81)	
22.0000	Sale	07/18/11	05/14/12	1,103.60	1,312.93	0.00	(207.33)	
12.0000	Sale	07/30/12	05/14/12	603.07	685.29	0.00	(82.22)	
10.0000	Sale	07/30/12	05/15/12	492.68	571.08	0.00	(78.40)	
5.0000	Sale	07/31/12	05/15/12	246.34	286.72	0.00	(40.38)	
Security Subtotal				2,099.95	2,434.50	0.00	(334.55)	
PARKER HANIFIN CORP								
1.0000	Sale	01/03/12	701094104	79.26	79.03	0.00	0.23	
1.0000	Sale	11/01/12	10/12/12	80.59	79.03	0.00	1.56	
1.0000	Sale	01/03/12	12/04/12	80.92	79.03	0.00	1.89	
Security Subtotal				240.77	237.09	0.00	3.68	
PHILLIPS 66 SHS								
11.0000	Sale	08/29/11	718546104	324.81	338.00	0.00	(13.19)	
SUNCOR ENERGY INC NEW								
3.0000	Sale	11/13/12	867224107	97.76	98.50	0.74 (W)	0.00	



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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
3M COMPANY								
1,000	Sale	CUSIP Number 06/25/12	88579Y101 11/01/12	89.18	85.81	0.00	3.37	
1,000	Sale	06/25/12	12/04/12	90.07	85.81	0.00	4.26	
1,000	Sale	06/25/12	12/11/12	93.34	85.81	0.00	7.53	
	Security Subtotal			272.59	257.43	0.00	15.16	
TRAVELERS COS INC								
1,000	Sale	CUSIP Number 05/14/12	89417E109 10/12/12	68.57	64.62	0.00	3.95	
1,000	Sale	05/14/12	11/01/12	69.94	64.62	0.00	5.32	
1,000	Sale	05/14/12	12/04/12	70.72	64.62	0.00	6.10	
	Security Subtotal			209.23	193.86	0.00	15.37	
PENTAIR LTD								
	Cash/Lieu	CUSIP Number 01/03/12	H6169Q108 11/06/12	24.93	20.96	0.00	3.97	
3,000	Sale	01/03/12	12/03/12	144.41	106.65	0.00	37.76	
	Security Subtotal			169.34	127.61	0.00	41.73	
US BANCORP (NEW)								
5,000	Sale	CUSIP Number 07/23/12	902973304 11/01/12	168.21	166.09	0.00	2.12	
3,000	Sale	07/23/12	12/04/12	94.34	99.65	0.00	(5.31)	
	Security Subtotal			262.55	265.74	0.00	(3.19)	
VERIZON COMMUNICATIONS COM								
1,000	Sale	CUSIP Number 02/06/12	92343V104 10/12/12	44.79	38.00	0.00	6.79	
3,000	Sale	02/06/12	11/01/12	134.93	113.99	0.00	20.94	
3,000	Sale	02/06/12	12/04/12	131.55	113.99	0.00	17.56	
1,000	Sale	02/06/12	12/11/12	44.32	38.00	0.00	6.32	
	Security Subtotal			355.59	303.98	0.00	51.61	
WESTERN UN CO								
3,000	Sale	CUSIP Number 01/03/12	959802109 10/12/12	53.66	55.89	0.00	(2.23)	
75,000	Sale	01/03/12	11/13/12	944.18	1,397.35	0.00	(453.17)	
45,000	Sale	01/03/12	11/14/12	565.60	838.41	0.00	(272.81)	
22,000	Sale	01/04/12	11/14/12	276.52	403.07	0.00	(126.55)	
	Security Subtotal			1,839.96	2,694.72	0.00	(854.76)	

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
WAL-MART STORES INC								
1.0000	Sale	07/09/12	10/12/12	75.74	71.50	0.00	4.24	
1.0000	Sale	07/09/12	12/04/12	72.49	71.50	0.00	0.99	
1.0000	Sale	07/09/12	12/11/12	71.39	71.50	0.11 (W)	0.00	
Security Subtotal				219.62	214.50	0.11	5.23	
WELLS FARGO & CO NEW DEL								
2.0000	Sale	01/03/12	11/01/12	67.92	56.72	0.00	11.20	
2.0000	Sale	01/03/12	12/04/12	65.06	56.72	0.00	8.34	
Security Subtotal				132.98	113.44	0.00	19.54	
Covered Short Term Capital Gains and Losses Subtotal				28,942.83	29,585.83	21.96	(621.04)	
NET SHORT TERM CAPITAL GAINS AND LOSSES				28,942.83	29,585.83	21.96	(621.04)	

LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

ALCOA INC								
38.0000	Sale	02/07/11	06/25/12	318.63	660.88	0.00	(342.25)	
50.0000	Sale	02/07/11	06/26/12	420.83	869.58	0.00	(448.75)	
26.0000	Sale	02/07/11	06/27/12	219.07	452.18	0.00	(233.11)	
23.0000	Sale	02/28/11	06/27/12	193.81	386.69	0.00	(192.88)	
38.0000	Sale	02/28/11	06/28/12	320.10	638.88	0.00	(318.78)	
Security Subtotal				1,472.44	3,008.21	0.00	(1,535.77)	
ADT CORP SHS								
1.0000	Sale	09/12/11	11/01/12	41.10	25.79	0.00	15.31	
1.0000	Sale	09/12/11	12/04/12	44.27	25.79	0.00	18.48	
1.0000	Sale	09/12/11	12/11/12	44.92	25.79	0.00	19.13	
Security Subtotal				130.29	77.37	0.00	52.92	

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
APPLIED MATERIALS INC								
56,000	Sale	07/10/11	03/22/10	724.79	770.89	0.00	(46.90)	
16,000	Sale	07/10/11	07/27/12	205.06	206.20	0.00	(1.14)	
44,000	Sale	07/17/11	02/24/12	525.49	575.28	0.00	(49.79)	
88,000	Sale	07/18/11	07/27/12	1,025.35	1,213.07	0.00	(187.72)	
Security Subtotal				2,477.69	2,778.24	0.00	(300.55)	
CONOCOPHILLIPS								
8,000	Sale	05/02/11	20/25/10	444.40	480.85	0.00	(36.45)	
10,000	Sale	05/03/11	07/02/12	555.50	577.01	0.00	(21.51)	
Security Subtotal				999.90	1,057.86	0.00	(57.96)	
CHUBB CORP								
1,000	Sale	08/01/11	17/23/10	75.71	63.20	0.00	12.51	
2,000	Sale	08/01/11	11/01/12	152.53	126.42	0.00	26.11	
Security Subtotal				228.24	189.62	0.00	38.62	
FLUOR CORP NEW DEL COM								
9,000	Sale	05/12/11	34/41/10	434.28	636.66	0.00	(202.38)	
13,000	Sale	05/31/11	07/09/12	627.31	894.00	0.00	(266.69)	
Security Subtotal				1,061.59	1,530.66	0.00	(469.07)	
GENL DYNAMICS CORP COM								
22,000	Sale	07/25/11	36/55/10	1,466.14	1,563.68	0.00	(97.54)	
4,000	Sale	07/25/11	10/08/12	266.45	284.30	0.00	(17.85)	
11,000	Sale	08/15/11	10/08/12	732.76	684.17	0.00	48.59	
Security Subtotal				2,465.35	2,532.15	0.00	(66.80)	
INTEL CORP								
30,000	Sale	08/15/11	45/14/10	587.47	623.24	0.00	(35.77)	
JPMORGAN CHASE & CO								
1,000	Sale	04/25/11	46/25/10	42.51	44.82	2.31	0.00	(W)
LOCKHEED MARTIN CORP								
2,000	Sale	04/04/11	53/30/10	175.63	161.77	0.00	13.86	
5,000	Sale	04/04/11	05/09/12	433.06	404.42	0.00	28.64	
7,000	Sale	04/04/11	08/06/12	624.46	566.19	0.00	58.27	
Security Subtotal				624.47	568.89	0.00	55.58	

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
LOCKHEED MARTIN CORP								
4.0000	Sale	04/05/11	09/17/12	369.74	325.08	0.00	44.66	
4.0000	Sale	04/06/11	09/17/12	369.74	325.32	0.00	44.42	
7.0000	Sale	04/06/11	09/18/12	639.77	569.31	0.00	70.46	
	Security Subtotal			3,236.87	2,920.98	0.00	315.89	
MOTOROLA SOLUTIONS INC								
21.0000	Sale	02/07/11	04/30/12	1,065.67	844.31	0.00	221.36	
1.0000	Sale	02/08/11	04/30/12	60.75	40.05	0.00	20.70	
14.0000	Sale	02/08/11	05/01/12	723.40	500.84	0.00	222.56	
	Security Subtotal			1,850.82	1,445.00	0.00	405.82	
MURPHY OIL CORP								
5.0000	Sale	05/16/11	06/25/12	218.62	330.75	0.00	(112.13)	
7.0000	Sale	05/16/11	06/26/12	309.28	463.04	0.00	(153.76)	
7.0000	Sale	05/16/11	06/27/12	321.40	463.04	0.00	(141.64)	
5.0000	Sale	05/16/11	06/28/12	237.50	330.75	0.00	(93.25)	
	Security Subtotal			1,086.80	1,587.58	0.00	(500.78)	
NORTHROP GRUMMAN CORP								
4.0000	Sale	08/22/11	09/17/12	265.39	200.94	0.00	64.45	
3.0000	Sale	08/22/11	09/19/12	199.59	150.71	0.00	48.88	
1.0000	Sale	09/06/11	09/19/12	66.53	51.14	0.00	15.39	
3.0000	Sale	09/06/11	09/21/12	200.63	153.43	0.00	47.20	
21.0000	Sale	09/06/11	10/08/12	1,455.18	1,074.00	0.00	381.18	
	Security Subtotal			2,187.32	1,630.22	0.00	557.10	
PHILIPS 66 SAS								
4.0000	Sale	05/02/11	05/08/12	448.10	442.00	0.00	6.10	
5.0000	Sale	05/03/11	05/08/12	447.63	441.47	0.00	6.16	
	Security Subtotal			895.73	883.47	0.00	12.26	
RAYTHEON CO DELAWARE NEW								
2.0000	Sale	04/17/08	04/03/12	97.76	136.43	0.00	(38.67)	
	Security Subtotal			97.76	136.43	0.00	(38.67)	
SPRINT NEXTEL CORP								
170.0000	Sale	02/28/11	08/27/12	824.39	739.72	0.00	84.67	

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
TYCO INTL LTD NAMEN-AKT								
1.0000	Sale	09/12/11	10/12/12	27.03	19.72	0.00	7.31	
3.0000	Sale	09/12/11	11/01/12	82.73	59.16	0.00	23.57	
2.0000	Sale	09/12/11	12/04/12	55.80	39.44	0.00	16.36	
1.0000	Sale	09/12/11	12/11/12	28.39	19.72	0.00	8.67	
	Security Subtotal			193.95	138.04	0.00	55.91	
TIME WARNER CABLE INC								
1.0000	Sale	09/06/11	10/12/12	96.99	62.39	0.00	34.60	
2.0000	Sale	09/06/11	12/04/12	190.13	124.78	0.00	65.35	
1.0000	Sale	09/06/11	12/11/12	93.61	62.39	0.00	31.22	
	Security Subtotal			380.73	249.56	0.00	131.17	
PENTAIR LTD								
1.0000	Sale	09/12/11	11/01/12	44.92	29.39	0.00	15.53	
6.0000	Sale	09/12/11	12/03/12	288.80	176.36	0.00	112.44	
3.0000	Sale	11/07/11	12/03/12	144.40	101.92	0.00	42.48	
2.0000	Sale	11/08/11	12/03/12	96.26	67.84	0.00	28.42	
	Security Subtotal			574.38	375.51	0.00	198.87	
VALERO ENERGY CORP NEW								
56.0000	Sale	03/14/11	07/02/12	1,352.03	1,602.90	0.00	(250.87)	
1.0000	Sale	03/14/11	10/12/12	29.24	28.63	0.00	0.61	
1.0000	Sale	03/14/11	11/01/12	28.86	28.62	0.00	0.24	
3.0000	Sale	03/15/11	12/04/12	97.06	84.02	0.00	13.04	
1.0000	Sale	03/15/11	12/11/12	32.60	28.01	0.00	4.59	
	Security Subtotal			1,539.79	1,772.18	0.00	(232.39)	
WALGREEN CO								
2.0000	Sale	09/06/11	10/12/12	72.06	69.62	0.00	2.44	
2.0000	Sale	09/06/11	11/01/12	71.01	69.62	0.00	1.39	
1.0000	Sale	09/06/11	12/04/12	34.26	34.81	0.00	(0.55)	
	Security Subtotal			177.33	174.05	0.00	3.28	
Covered Long Term Capital Gains and Losses Subtotal				21,870.35	23,320.81	2.31	(1,448.15)	

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)								
AMERISOURCEBERGEN CORP								
	1,000 Sale	11/18/08	03/07/12	39.51	15.27	0.00	24.24	
	2,000 Sale	11/18/08	11/01/12	81.43	30.54	0.00	50.89	
	1,000 Sale	11/18/08	12/11/12	42.97	15.27	0.00	27.70	
	Security Subtotal			163.91	61.08	0.00	102.83	
AETNA INC NEW								
	4,000 Sale	09/28/09	06/11/12	171.66	116.91	0.00	54.75	
	18,000 Sale	09/28/09	10/08/12	757.77	526.12	0.00	231.65	
	48,000 Sale	06/14/10	10/08/12	2,020.74	1,413.24	0.00	607.50	
	Security Subtotal			2,950.17	2,056.27	0.00	893.90	
ASSURANT INC								
	3,000 Sale	03/09/10	07/16/12	102.88	96.94	0.00	5.94	
	9,000 Sale	03/12/10	07/16/12	308.64	296.26	0.00	12.38	
	2,000 Sale	03/12/10	07/17/12	68.82	65.83	0.00	2.99	
	12,000 Sale	03/15/10	07/17/12	412.93	391.89	0.00	21.04	
	7,000 Sale	03/15/10	07/18/12	242.47	228.60	0.00	13.87	
	5,000 Sale	03/16/10	07/18/12	173.20	165.16	0.00	8.04	
	1,000 Sale	03/16/10	11/01/12	37.94	33.03	0.00	4.91	
	20,000 Sale	03/16/10	12/03/12	677.35	660.64	0.00	16.71	
	Security Subtotal			2,024.23	1,938.35	0.00	85.88	
AVERY DENNISON CORP								
	28,000 Sale	05/16/10	05/31/12	824.07	1,007.95	0.00	(183.88)	
	8,000 Sale	05/16/10	01/03/12	235.46	282.63	0.00	(47.17)	
	Security Subtotal			1,059.53	1,290.58	0.00	(231.05)	
BERKSHIRE HATHAWAY INC								
	1,000 Sale	12/27/10	08/16/12	87.11	79.94	0.00	7.17	
BRISTOL-MYERS SQUIBB CO								
	25,000 Sale	11/14/10	11/01/12	675.17	656.97	0.00	18.20	
	14,000 Sale	11/14/10	01/03/12	490.10	384.20	0.00	105.90	
	2,000 Sale	12/13/10	01/03/12	106.03	88.90	0.00	17.13	
	1,000 Sale	12/13/10	11/01/12	33.05	26.30	0.00	6.75	
	1,000 Sale	12/13/10	11/01/12	33.59	26.30	0.00	7.29	

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1a. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
BRISTOL-MYERS SQUIBB CO								
2.0000	Sale	12/13/10	11/02/10	64.98	52.60	0.00	12.38	
1.0000	Sale	12/13/10	12/11/12	33.01	26.30	0.00	6.71	
	Security Subtotal			1,634.93	1,233.57	0.00	401.36	
CARDINAL HEALTH INC OHIO								
8.0000	Sale	11/23/09	14/49Y108	326.22	257.47	0.00	68.75	
2.0000	Sale	11/24/09	06/25/12	81.56	64.59	0.00	16.97	
10.0000	Sale	11/24/09	06/26/12	408.53	322.96	0.00	85.57	
11.0000	Sale	11/24/09	06/27/12	445.73	355.26	0.00	90.47	
5.0000	Sale	11/24/09	06/28/12	203.76	161.48	0.00	42.28	
5.0000	Sale	11/30/09	06/28/12	203.76	160.77	0.00	42.99	
5.0000	Sale	11/30/09	07/09/12	211.56	160.78	0.00	50.78	
5.0000	Sale	11/30/09	07/10/12	213.55	160.77	0.00	52.78	
1.0000	Sale	12/03/09	07/10/12	42.72	32.58	0.00	10.14	
5.0000	Sale	12/03/09	07/11/12	212.13	162.92	0.00	49.21	
5.0000	Sale	12/03/09	07/12/12	209.99	162.91	0.00	47.08	
5.0000	Sale	12/03/09	07/13/12	212.38	162.92	0.00	49.46	
5.0000	Sale	12/03/09	07/16/12	211.44	162.91	0.00	48.53	
	Security Subtotal			2,983.33	2,328.32	0.00	655.01	
CMS-ENERGY CORP-								
5.0000	Sale	04/28/09	125896100	109.48	59.37	0.00	49.91	
16.0000	Sale	05/04/09	01/03/12	330.34	194.34	0.00	136.00	
25.0000	Sale	05/05/09	01/03/12	547.41	365.59	0.00	181.82	
10.0000	Sale	05/06/09	04/03/12	216.07	120.50	0.00	95.57	
5.0000	Sale	05/06/09	04/04/12	409.28	68.25	0.00	341.03	
10.0000	Sale	05/07/09	04/04/12	416.19	326.83	0.00	83.36	
	Security Subtotal			1,750.95	967.88	0.00	783.07	
CAPITAL ONE FINL								
3.0000	Sale	04/06/10	14040H105	434.00	129.29	0.00	304.71	
29.0000	Sale	04/07/10	07/30/12	1,295.36	1,254.38	0.00	41.06	
1.0000	Sale	04/07/10	07/30/12	46.45	43.26	0.00	3.19	
10.0000	Sale	05/09/10	07/30/12	818.19	810.00	0.00	8.19	
12.0000	Sale	05/04/10	01/13/12	545.48	544.04	0.00	1.44	
	Security Subtotal			2,838.46	2,706.88	0.00	131.58	

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1a. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
COVENTRY HEALTH CARE INC								
	CUSIP Number	222862104						
14.0000	Sale	09/09/09	06/11/12	452.13	326.58	0.00	125.55	
1.0000	Sale	09/09/09	06/12/12	32.44	23.33	0.00	9.11	
14.0000	Sale	09/10/09	06/12/12	454.16	334.81	0.00	119.35	
4.0000	Sale	09/10/09	06/13/12	130.01	95.66	0.00	34.35	
11.0000	Sale	09/11/09	06/13/12	357.53	269.05	0.00	88.48	
5.0000	Sale	09/11/09	06/14/12	163.27	122.29	0.00	40.98	
11.0000	Sale	09/14/09	06/14/12	359.20	270.54	0.00	88.66	
16.0000	Sale	09/14/09	06/15/12	530.88	393.51	0.00	137.37	
	Security Subtotal			2,479.62	1,835.77	0.00	643.85	
CHEVRON CORP								
	CUSIP Number	166764100						
1.0000	Sale	02/23/05	10/12/12	112.16	59.84	0.00	52.32	
1.0000	Sale	02/23/05	11/01/12	111.24	59.83	0.00	51.41	
2.0000	Sale	04/17/08	12/04/12	208.84	184.36	0.00	24.48	
1.0000	Sale	04/17/08	12/11/12	107.56	92.18	0.00	15.38	
	Security Subtotal			539.80	396.21	0.00	143.59	
CONOCOPHILLIPS								
	CUSIP Number	20825C104						
11.0000	Sale	02/16/10	07/02/12	611.05	421.35	0.00	189.70	
11.0000	Sale	03/01/10	07/02/12	611.05	413.80	0.00	197.25	
6.0000	Sale	03/02/10	07/02/12	333.30	229.78	0.00	103.52	
	Security Subtotal			1,555.40	1,064.93	0.00	490.47	
CBS CORP NEW								
	CUSIP Number	124857202						
45.0000	Sale	11/22/10	07/03/12	1,242.28	739.49	0.00	502.79	
28.0000	Sale	11/22/10	10/08/12	1,014.35	460.12	0.00	554.23	
36.0000	Sale	12/27/10	10/08/12	1,304.17	594.29	0.00	609.88	
	Security Subtotal			3,560.80	1,993.90	0.00	1,566.90	
CA INC								
	CUSIP Number	12673P105						
2.0000	Sale	04/17/08	10/12/12	49.79	44.82	0.00	4.97	
2.0000	Sale	04/17/08	11/01/12	46.36	44.82	0.00	1.54	
54.0000	Sale	04/17/08	12/03/12	1,178.69	1,210.15	0.00	(31.46)	
	Security Subtotal			1,274.84	1,298.79	0.00	(24.95)	

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1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
DELL INC								
		CUSIP Number	24702R101					
97,000	Sale	08/30/10	07/23/12	1,134.46	1,176.29	0.00	(41.83)	
44,000	Sale	08/30/10	07/24/12	511.56	533.58	0.00	(22.02)	
8,000	Sale	08/30/10	07/25/12	92.23	97.01	0.00	(4.78)	
37,000	Sale	09/13/10	07/25/12	426.59	453.87	0.00	(27.28)	
9,000	Sale	09/13/10	07/26/12	106.26	110.40	0.00	(4.14)	
	Security Subtotal			2,271.10	2,371.15	0.00	(100.05)	
DISCOVER FINL SVCS								
		CUSIP Number	254709108					
29,000	Sale	11/15/10	10/08/12	1,167.24	1,176.29	0.00	(9.05)	
7,000	Sale	11/15/10	10/09/12	279.55	286.88	0.00	(7.33)	
2,000	Sale	11/15/10	11/01/12	82.20	87.86	0.00	(5.66)	
3,000	Sale	11/15/10	12/04/12	123.07	128.79	0.00	(5.72)	
	Security Subtotal			2,432.79	2,432.43	0.00	0.36	
EDISON INTL GATF								
		CUSIP Number	281020107					
2,000	Sale	07/26/10	07/23/12	88.64	88.64	0.00	0.00	
6,000	Sale	07/27/10	07/23/12	241.04	241.04	0.00	0.00	
35,000	Sale	08/02/10	07/23/12	1,451.68	1,451.68	0.00	0.00	
	Security Subtotal			1,774.36	1,774.36	0.00	0.00	
EXXON MOBIL CORP COM								
		CUSIP Number	30231G102					
3,000	Sale	02/04/10	07/23/12	262.65	262.65	0.00	0.00	
3,000	Sale	02/04/10	07/23/12	262.65	262.65	0.00	0.00	
3,000	Sale	02/04/10	07/23/12	262.65	262.65	0.00	0.00	
3,000	Sale	02/04/10	07/23/12	262.65	262.65	0.00	0.00	
1,000	Sale	12/27/10	10/12/12	90.99	90.99	0.00	0.00	
2,000	Sale	12/27/10	11/01/12	183.05	183.05	0.00	0.00	
2,000	Sale	12/27/10	12/04/12	175.14	175.14	0.00	0.00	
	Security Subtotal			1,850.01	1,850.01	0.00	0.00	
ENGILITY HOLDINGS INC								
		CUSIP Number	29285W104					
1,000	Sale	06/01/10	07/24/12	14.77	14.77	0.00	0.00	
1,000	Sale	06/01/10	07/24/12	14.77	14.77	0.00	0.00	
1,000	Sale	06/01/10	07/24/12	14.78	14.78	0.00	0.00	
	Security Subtotal			44.32	44.32	0.00	0.00	

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
GANNETT CO								
29,000	Sale	05/11/10	08/27/12	439.87	484.95	0.00	(45.08)	
44,000	Sale	05/12/10	08/27/12	667.39	745.27	0.00	(77.88)	
	Security Subtotal			1,107.26	1,230.22	0.00	(122.96)	
GAP INC DELAWARE								
1,000	Sale	04/17/08	10/12/12	36.12	18.98	0.00	17.14	
1,000	Sale	04/17/08	11/01/12	35.56	18.98	0.00	16.58	
4,000	Sale	04/17/08	12/04/12	126.81	75.92	0.00	50.89	
1,000	Sale	04/17/08	12/11/12	31.66	18.98	0.00	12.68	
13,000	Sale	04/17/08	12/21/12	407.52	246.74	0.00	160.78	
25,000	Sale	04/17/08	12/26/12	765.65	474.50	0.00	291.15	
28,000	Sale	04/17/08	12/27/12	852.38	531.45	0.00	320.93	
	Security Subtotal			2,255.70	1,385.55	0.00	870.15	
GENERAL ELECTRIC								
1,000	Sale	10/25/10	10/12/12	22.44	16.20	0.00	6.24	
2,000	Sale	10/25/10	11/01/12	42.49	32.40	0.00	10.09	
4,000	Sale	10/25/10	12/04/12	83.37	64.79	0.00	18.58	
	Security Subtotal			148.30	113.39	0.00	34.91	
HUMANA INC								
2,000	Sale	01/19/10	06/11/12	157.85	102.66	0.00	55.19	
5,000	Sale	01/19/10	10/08/12	370.27	256.66	0.00	113.61	
7,000	Sale	04/12/10	10/08/12	518.38	311.95	0.00	206.43	
6,000	Sale	04/13/10	10/08/12	444.33	264.32	0.00	180.01	
6,000	Sale	04/14/10	10/08/12	444.33	261.36	0.00	182.97	
	Security Subtotal			1,935.16	1,196.95	0.00	738.21	
INTEL CORP								
1,000	Sale	06/28/10	10/12/12	21.50	20.45	0.00	1.05	
6,000	Sale	06/28/10	11/01/12	133.51	122.69	0.00	10.82	
69,000	Sale	06/28/10	12/03/12	1,351.17	1,410.88	0.00	(59.71)	
	Security Subtotal			1,506.18	1,554.02	0.00	(47.84)	
INTL PAPER CO								
14,000	Sale	08/01/09	04/09/12	475.80	313.21	0.00	162.59	
19,000	Sale	11/09/09	04/09/12	648.74	413.49	0.00	235.25	
3,000	Sale	11/09/09	11/01/12	110.01	74.76	0.00	35.25	

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
INTL PAPER CO								
3,000	Sale	11/09/09	12/04/12	109.77	74.76	0.00	35.01	
2,000	Sale	11/09/09	12/11/12	73.97	49.84	0.00	24.13	
	Security Subtotal			1,415.29	986.06	0.00	429.23	
JPMORGAN CHASE & CO								
1,000	Sale	07/06/09	10/12/12	41.65	32.08	0.00	9.57	
9,000	Sale	07/06/09	11/01/12	384.92	288.71	0.00	96.21	
3,000	Sale	07/06/09	12/04/12	121.65	96.23	0.00	25.42	
	Security Subtotal			1,434.71	1,283.13	0.00	151.58	
KROGER CO								
21,000	Sale	07/06/10	07/09/12	473.15	421.30	0.00	51.85	
20,000	Sale	07/06/10	07/10/12	452.90	401.24	0.00	51.66	
12,000	Sale	07/06/10	07/11/12	273.87	240.74	0.00	33.13	
8,000	Sale	12/20/10	07/11/12	182.58	173.85	0.00	8.73	
20,000	Sale	12/20/10	07/12/12	438.88	434.62	0.00	4.26	
15,000	Sale	12/20/10	07/13/12	332.16	325.96	0.00	6.20	
5,000	Sale	12/21/10	07/13/12	110.72	108.50	0.00	2.22	
16,000	Sale	12/21/10	07/16/12	351.63	347.18	0.00	4.45	
	Security Subtotal			2,615.89	2,453.39	0.00	162.50	
L-3 COMMUNCTNS HLDGS								
5,000	Sale	05/11/09	08/06/12	345.52	372.16	0.00	(26.64)	
7,000	Sale	06/01/10	08/06/12	483.73	556.78	0.00	(73.05)	
6,000	Sale	06/01/10	08/07/12	416.76	477.23	0.00	(60.47)	
	Security Subtotal			1,246.01	1,406.17	0.00	(160.16)	
ELI LILLY & CO								
1,000	Sale	09/27/10	10/12/12	50.43	36.07	0.00	14.36	
3,000	Sale	09/27/10	11/01/12	147.58	108.20	0.00	39.38	
1,000	Sale	09/27/10	12/04/12	49.28	36.07	0.00	13.21	
1,000	Sale	09/27/10	12/11/12	50.44	36.07	0.00	14.37	
	Security Subtotal			297.73	216.41	0.00	81.32	

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1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
LIMITED BRANDS INC								
	1.0000 Sale	05/03/10	532716107	48.25	27.85	0.00	20.40	
	2.0000 Sale	05/03/10	10/12/12	102.58	55.70	0.00	46.88	
	1.0000 Sale	05/03/10	12/11/12	50.30	27.85	0.00	22.45	
	Security Subtotal			201.13	111.40	0.00	89.73	
MARATHON OIL CORP								
	9.0000 Sale	02/28/08	565849106	229.56	282.71	0.00	(53.15)	
	13.0000 Sale	02/28/08	05/14/12	331.60	428.00	0.00	(86.40)	
	9.0000 Sale	02/28/08	05/14/12	225.88	200.77	0.00	(25.11)	
	2.0000 Sale	02/28/08	10/12/12	58.85	64.62	0.00	(5.77)	
	1.0000 Sale	02/28/08	11/01/12	30.28	32.31	0.00	(2.03)	
	2.0000 Sale	02/28/08	12/04/12	60.83	64.62	0.00	(3.79)	
	Security Subtotal			937.00	1,155.03	0.00	(218.03)	
MEADOWS LACOCORP								
	1.0000 Sale	12/07/09	583334107	420.48	395.22	0.00	25.26	
	7.0000 Sale	12/07/09	02/06/12	209.50	197.61	0.00	11.89	
	27.0000 Sale	12/07/09	02/07/12	898.11	757.11	0.00	141.00	
	Security Subtotal			1,438.09	1,349.94	0.00	88.15	
MISOURCE INC								
	15.0000 Sale	08/10/09	65473P105	375.79	210.67	0.00	165.12	
	26.0000 Sale	08/11/09	04/03/12	642.30	244.32	0.00	397.98	
	21.0000 Sale	08/12/09	04/03/12	494.56	277.31	0.00	217.25	
	15.0000 Sale	08/13/09	01/04/12	348.07	196.66	0.00	151.41	
	Security Subtotal			1,832.62	1,028.85	0.00	803.77	
PHILLIPS 66 SHS								
	5.0000 Sale	02/16/10	718546104	148.86	113.83	0.00	35.03	
	6.0000 Sale	03/01/10	05/07/12	178.64	134.35	0.00	44.29	
	1.0000 Sale	03/02/10	05/07/12	29.78	22.76	0.00	7.02	
	2.0000 Sale	03/02/10	05/07/12	59.05	45.52	0.00	13.53	
	Security Subtotal			446.33	316.46	0.00	129.87	
Pfizer Inc								
	5.0000 Sale	04/17/08	717081103	125.41	101.55	0.00	23.86	
	13.0000 Sale	04/17/08	12/04/12	326.72	264.03	0.00	62.69	
	Security Subtotal			452.13	365.58	0.00	86.55	

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
RAYTHEON CO DELAWARE NEW								
7.0000	Sale	04/11/08	04/11/08	342.48	457.04	0.00	(114.56)	
22.0000	Sale	05/18/09	04/03/12	1,075.44	984.71	0.00	90.73	
1.0000	Sale	05/18/09	11/01/12	57.32	44.76	0.00	12.56	
1.0000	Sale	08/09/10	12/04/12	56.46	46.45	0.00	10.01	
	Security Subtotal			1,531.40	1,532.96	0.00	(1.56)	
RYDER SYSTEM INC								
4.0000	Sale	07/08/08	03/20/12	215.34	213.88	0.00	(1.46)	
3.0000	Sale	07/28/08	03/20/12	161.51	193.57	0.00	(32.06)	
6.0000	Sale	07/29/08	03/20/12	323.02	401.42	0.00	(78.40)	
1.0000	Sale	07/30/08	03/26/12	53.85	67.57	0.00	(13.72)	
2.0000	Sale	07/31/08	03/26/12	407.67	333.40	0.00	(74.27)	
18.0000	Sale	11/24/08	03/26/12	959.08	608.08	0.00	(351.00)	
	Security Subtotal			1,869.40	1,077.92	0.00	(791.48)	
SOUTHWEST AIRLINS CO								
30.0000	Sale	11/01/10	06/14/12	276.53	416.28	0.00	(139.75)	
53.0000	Sale	11/02/10	06/14/12	488.56	741.81	0.00	(253.25)	
30.0000	Sale	11/02/10	06/15/12	267.96	419.89	0.00	(151.93)	
56.0000	Sale	11/03/10	06/15/12	500.22	782.98	0.00	(282.76)	
	Security Subtotal			1,533.27	2,360.96	0.00	(827.69)	
SPRINT NEXTEL CORP								
40.0000	Sale	08/09/10	06/25/12	123.31	181.63	0.00	(58.32)	
35.0000	Sale	08/09/10	06/26/12	109.39	158.93	0.00	(49.54)	
55.0000	Sale	08/09/10	06/27/12	171.08	249.74	0.00	(78.66)	
79.0000	Sale	08/09/10	06/28/12	251.00	358.71	0.00	(107.71)	
8.0000	Sale	08/09/10	08/27/12	38.79	36.33	0.00	2.46	
158.0000	Sale	08/10/10	08/27/12	766.18	721.49	0.00	44.69	
	Security Subtotal			1,459.75	1,706.83	0.00	(247.08)	
UNUM GROUP								
17.0000	Sale	05/08/08	10/31/12	344.24	405.85	0.00	(61.61)	
42.0000	Sale	03/02/09	10/31/12	850.49	397.12	0.00	453.37	
16.0000	Sale	03/02/09	11/01/12	328.08	151.28	0.00	176.80	
	Security Subtotal			1,522.81	954.25	0.00	568.56	

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
UNITEDHEALTH GROUP INC								
15,000	Sale	04/19/10	01/24/12	774.44	469.74	0.00	304.70	
14,000	Sale	04/20/10	01/24/12	720.02	434.33	0.00	285.69	
6,000	Sale	04/20/10	06/13/12	348.72	186.14	0.00	162.58	
1,000	Sale	04/20/10	10/12/12	56.98	31.02	0.00	25.96	
1,000	Sale	04/20/10	11/01/12	56.70	31.03	0.00	25.67	
1,000	Sale	04/20/10	12/04/12	53.79	31.02	0.00	22.77	
1,000	Sale	06/01/10	12/04/12	53.80	29.39	0.00	24.41	
1,000	Sale	06/01/10	12/11/12	54.70	29.39	0.00	25.31	
Security Subtotal				2,116.15	1,242.06	0.00	874.09	
WELLPOINT INC								
3,000	Sale	04/17/08	06/11/12	207.53	144.09	0.00	63.44	
8,000	Sale	04/17/08	06/26/12	553.77	384.25	0.00	169.52	
1,000	Sale	04/17/08	06/26/12	69.60	48.03	0.00	21.57	
6,000	Sale	11/03/08	06/26/12	417.67	236.06	0.00	181.61	
8,000	Sale	11/03/08	06/27/12	558.97	314.75	0.00	244.22	
6,000	Sale	11/03/08	06/28/12	396.41	236.07	0.00	160.34	
Security Subtotal				2,203.95	1,363.25	0.00	840.70	
Noncovered Long Term Capital Gains and Losses Subtotal				64,712.58	52,804.78	0.00	11,907.80	
NET LONG TERM CAPITAL GAINS AND LOSSES				86,582.93	76,125.59	2.31	10,459.65	
OTHER TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)								
PENTAIR LTD								
	Cash/Lieu	CUSIP Number	H6169Q108	0.13	N/A	0.00	N/A	
Other Transactions Subtotal				0.13		0.00		
TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES				115,525.89				
TOTAL REPORTED SALES PROCEEDS				115,525.89				

(W) This transaction has been identified as a "Wash Sale" based on IRS regulations. The Wash Sale Loss Disallowed column reflects the deferred loss amount. The cost basis of the related transaction(s) has been adjusted by the deferred loss amount from either the cost basis on purchases or proceeds from short sales. Please refer to the instructions for Form 8949 for how to report the deferred loss amount and applicable adjustment code.

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January 01, 2013 - January 31, 2013

BLACKROCK LCV

YOUR EMA TRANSACTIONS**REALIZED GAINS/(LOSSES)**

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
AMERISOURCEBERGEN CORP	1.0000	11/18/08	01/10/13	43.79	15.27	28.52	
CHUBB CORP	1.0000	08/01/11	01/10/13	77.54	63.21	14.33	
DISCOVER FINL SVCS	1.0000	11/15/10	01/10/13	40.47	18.93	21.54	
EXXON MOBIL CORP COM	1.0000	12/27/10	01/10/13	88.84	73.09	15.75	
GAP INC DELAWARE	28.0000	04/17/08	12/27/12	852.38	531.45	320.93	
GENERAL ELECTRIC	1.0000	10/25/10	01/10/13	21.10	16.20	4.90	
INTL PAPER CO	1.0000	11/09/09	01/10/13	39.93	24.92	15.01	
JPMORGAN CHASE & CO	1.0000	04/25/11	01/10/13	45.99	44.82	1.17	
ELI LILLY & CO	19.0000	09/27/10	01/02/13	942.67	685.28	257.39	
ELI LILLY & CO	1.0000	09/27/10	01/10/13	53.38	36.07	17.31	
MARATHON OIL CORP	1.0000	02/28/08	01/10/13	32.17	32.31	(.14)	
PARKER HANNIFIN CORP	1.0000	01/03/12	01/10/13	89.18	79.03	10.15	
PFIZER INC	18.0000	04/17/08	01/02/13	462.10	365.59	96.51	
PFIZER INC	9.0000	06/09/08	01/02/13	231.06	162.61	68.45	
PFIZER INC	3.0000	06/09/08	01/10/13	80.15	54.20	25.95	
VALERO ENERGY CORP NEW	1.0000	03/15/11	01/10/13	34.61	28.01	6.60	
WALGREEN CO	1.0000	09/06/11	01/10/13	38.55	34.81	3.74	
WELLS FARGO & CO NEW DEL	1.0000	01/03/12	01/10/13	35.10	28.36	6.74	
Subtotal (Long-Term)						593.92	593.92
COMCAST CORP NEW CL A	44.0000	08/27/12	01/02/13	1,685.99	1,495.94	190.05	
COMCAST CORP NEW CL A	1.0000	08/27/12	01/10/13	38.43	34.00	4.43	
CVS CAREMARK CORP	1.0000	07/09/12	01/10/13	50.92	47.22	3.70	
CITIGROUP INC COM NEW	2.0000	06/13/12	01/10/13	85.77	55.53	30.24	
CISCO SYSTEMS INC COM	55.0000	02/21/12	01/02/13	1,108.49	1,120.87	(12.38)	
DISNEY (WALT) CO COM STK	1.0000	11/13/12	01/10/13	50.82	47.97	2.85	
INGERSOLL-RAND PLC	1.0000	12/03/12	01/10/13	49.28	48.27	1.01	
METLIFE INC COM	1.0000	05/14/12	01/10/13	36.37	34.27	2.10	
MERCK AND CO INC SHS	8.0000	06/25/12	01/02/13	329.14	318.41	10.73	
MERCK AND CO INC SHS	11.0000	06/26/12	01/02/13	452.59	440.03	12.56	
MERCK AND CO INC SHS	1.0000	06/26/12	01/10/13	43.01	40.00	3.01	

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BLACKROCK LCV

YOUR EMA TRANSACTIONS

January 01, 2013 - January 31, 2013

REALIZED GAINS/(LOSSES) (continued)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
MARATHON PETROLEUM CORP	17.0000	03/26/12	01/02/13	1,079.13	763.26	315.87	
MARATHON PETROLEUM CORP	1.0000	03/26/12	01/10/13	62.50	44.90	17.60	
NEWS CORP CL A	3.0000	08/06/12	01/10/13	80.60	70.95	9.65	
NIKE INC CL B	1.0000	01/02/13	01/10/13	52.50	51.72	.78	
STATE STREET CORP	1.0000	01/02/13	01/10/13	50.19	48.11	2.08	
SUNCOR ENERGY INC NEW	1.0000	11/13/12	01/10/13	34.08	32.83	1.25	
US BANCORP (NEW)	18.0000	07/23/12	01/02/13	588.78	597.92	(9.14)	
US BANCORP (NEW)	2.0000	07/23/12	01/10/13	66.73	66.44	.29	
VERIZON COMMUNICATNS COM	1.0000	02/06/12	01/10/13	43.32	38.00	5.32	
WAL-MART STORES INC	1.0000	07/09/12	01/10/13	68.21	71.50	N/C	
Subtotal (Short-Term)						592.00	592.00
TOTAL				9,265.86	7,762.30	1,185.92	1,185.92

* - Excludes transactions for which we have insufficient data

N/C - Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interests, derivative products purchased in the secondary market, or the determination of ordinary income and/or capital items for discount and zero-coupon issues.

* - Trades settling this month but executed in 2012 must be reported in 2012. These totals exclude (DK) broad-based index option results. Short Term Total 0.00 Long Term

Total 3:20.93

✦ This transaction has been affected by a "Wash Sale" based on IRS regulations. There are two different types of adjustments that may be occurring.

(A) If the gain/loss displays as N/C, this transaction has been identified as a "Wash Sale" based on IRS regulations and the loss has been added to the cost basis of the related purchase.

(B) If the gain/loss is calculated, the cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and your gain or loss will be inclusive of this amount.

NOTE: Transactions which must be reported on your 2012 tax return have been noted below with an asterisk (*).

These reportable transactions have been excluded from the capital gain and loss summary for 2013 shown above.

CASH/OTHER TRANSACTIONS

Date	Transaction Type	Quantity	Description	Debit	Credit
01/08	Journal Entry		ML CONSULTS FEE 1Q2013	611.70	
01/10	Journal Entry		TR TO 2BLO4018		1,500.00
			N/O THE ULLMANN FAMILY F		

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BLACKROCK LCV

YOUR EMA TRANSACTIONS

February 01, 2013 - February 28, 2013

SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
		Subtotal (Sales)				5,090.03		
		TOTAL				3,077.08		5,090.03

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) *	Year to Date
LIMITED BRANDS INC	16.0000	05/03/10	02/01/13	761.56	445.63	315.93		
LIMITED BRANDS INC	15.0000	05/04/10	02/01/13	713.97	406.06	307.91		
UNITEDHEALTH GROUP INC	24.0000	06/01/10	02/20/13	1,333.13	705.31	627.82		
UNITEDHEALTH GROUP INC	13.0000	06/21/10	02/21/13	718.41	408.75	309.66		
UNITEDHEALTH GROUP INC	3.0000	06/21/10	02/22/13	163.75	94.33	69.42		
Subtotal (Long-Term)						1,630.74		2,224.66
LIMITED BRANDS INC	1.0000	12/05/12	02/01/13	47.60	51.35	(3.75)		
NEWS CORP CL A	46.0000	08/06/12	02/01/13	1,297.02	1,087.87	209.15		
UNITEDHEALTH GROUP INC	1.0000	12/05/12	02/22/13	54.59	53.96	.63		
Subtotal (Short-Term)						206.03		798.03
TOTAL				5,090.03	3,253.26	1,836.77		3,022.69

* Excludes transactions for which we have insufficient data

YOUR EMA MONEY ACCOUNT TRANSACTIONS

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
02/01	ML BANK DEPOSIT PROGRAM		47.00	02/21	ML BANK DEPOSIT PROGRAM		13.00
02/04	ML BANK DEPOSIT PROGRAM		87.00	02/25	ML BANK DEPOSIT PROGRAM		1.00
02/05	ML BANK DEPOSIT PROGRAM		18.00	02/26	ML BANK DEPOSIT PROGRAM		1,333.00

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BLACKROCK LCV

YOUR EMA TRANSACTIONS

March 01, 2013 - March 28, 2013

SECURITY TRANSACTIONS (continued)
 TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
		REQUEST ML ACTED AS AGENT						
		CUS NO 03073E105 SEC NO 014S5 PRINCIPAL 1963.09						
		TRN FEE 0.04						
		Subtotal (Sales)				6,378.48		
		TOTAL				5,754.77	6,378.48	

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
AMERISOURCEBERGEN CORP	38.0000	11/18/08	03/19/13	1,912.71	580.35	1,332.36	
ELI LILLY & CO	8.0000	09/27/10	03/01/13	437.75	288.54	149.21	
TIME WARNER CABLE INC	7.0000	09/06/11	03/01/13	616.11	436.71	179.40	
VERIZON COMMUNICATNS COM	19.0000	02/06/12	03/18/13	926.30	721.96	204.34	
VERIZON COMMUNICATNS COM	3.0000	02/07/12	03/18/13	146.26	113.66	32.60	
Subtotal (Long-Term)						1,897.91	4,122.57
AMERISOURCEBERGEN CORP	1.0000	12/05/12	03/19/13	50.34	42.48	7.86	
COMCAST CORP NEW CL A	17.0000	08/27/12	03/01/13	678.10	577.98	100.12	
GOLDMAN SACHS GROUP INC	3.0000	04/30/12	03/18/13	454.00	344.35	109.65	
MARATHON PETROLEUM CORP	13.0000	03/26/12	03/18/13	1,156.91	583.66	573.25	
Subtotal (Short-Term)						790.88	1,588.91
TOTAL				6,378.48	3,689.69	2,688.79	5,711.48

* - Excludes transactions for which we have insufficient data

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BLACKROCK LCV

YOUR EMA TRANSACTIONS

May 01, 2013 - May 31, 2013

SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
05/07		CST BRANDS INC SHS	Stock Dividend	6				
		ISSUED HOLDING 55.0000						
		PAID BY VALERO RFNG& MKT PAY DATE 05/07/2013						
05/15		ADT CORP SHS	Ret of Capital				3.75	
		HOLDING 30.0000 RECORD DATE: 04/24/2013						
		PAY DATE 05/15/2013						
		Subtotal (Other Security Transactions)					3.75	
		TOTAL				775.84	3,565.39	

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
CST BRANDS INC SHS	3.0000	03/15/11	05/15/13	96.49	62.38	34.11	
CST BRANDS INC SHS	2.0000	05/09/11	05/15/13	64.32	40.72	23.60	
VERIZON COMMUNICATNS COM	12.0000	02/07/12	05/01/13	641.64	454.63	187.01	
VERIZON COMMUNICATNS COM	26.0000	02/13/12	05/01/13	1,390.24	991.43	398.81	
Subtotal (Long-Term)						643.53	4,766.10
CST BRANDS INC SHS	1.0000	12/05/12	05/15/13	32.17	20.73	11.44	
VERIZON COMMUNICATNS COM	9.0000	05/07/12	05/01/13	481.24	360.39	120.85	
VERIZON COMMUNICATNS COM	15.0000	05/08/12	05/01/13	802.06	608.21	193.85	
VERIZON COMMUNICATNS COM	1.0000	12/05/12	05/01/13	53.48	44.16	9.32	
Subtotal (Short-Term)						335.46	1,924.37
TOTAL				3,561.64	2,582.65	978.99	6,690.47

* - Excludes transactions for which we have insufficient data

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COPY**Part I, Line 11 (990-PF) - Other Income**

		-66	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	ORDINARY LOSS FROM PARTNERSHIPS	-240		
2	NET RENTAL INCOME FROM PARTNERSHIPS	33		
3	NET ROYALTY INCOME FROM PARTNERSHIPS	141		

COPY**Part I, Line 16b (990-PF) - Accounting Fees**

		7,553	0	0	7,553
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	7,553			7,553

COPY**Part I, Line 16c (990-PF) - Other Professional Fees**

		8,117	4,870	0	3,247
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	EMA/PIA/CONSULTS FEES	8,117	4,870		3,247

COPY**Part I, Line 18 (990-PF) - Taxes**

		906	596	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	PY FED EXCISE TAX	0	0		
2	ESTIMATED EXCISE TAX PAID	310	0		
3	FOREIGN TAX WITHHELD	596	596		

COPY**Part I, Line 23 (990-PF) - Other Expenses**

		290	290	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	ADR FEES	26	26		
2	STATE AG FEES				
3	INVESTMENT FEES				
4	PARTNERSHIP DEDUCTIONS	264	264		
5	OTHER MISC FEES				
6	INTEREST EXPENSE				

Amount

AMOUNT	282	0
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Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	DONALD ULLMANN		236 ROSELAND AVENUE	ESSEX FELS	NJ	07021		TRUSTEE	2 00	0	0	0
2	SUSAN ULLMANN		236 ROSELAND AVENUE	ESSEX FELS	NJ	07021		TRUSTEE	2 00	0		

COPY**Part VI, Line 6a (990-PF) - Estimated Tax Payments**

	Date	Amount
1 Credit from prior year return	1	0
2 First quarter estimated tax payment	2	310
3 Second quarter estimated tax payment	3	
4 Third quarter estimated tax payment	4	
5 Fourth quarter estimated tax payment	5	
6 Other payments	6	0
7 Total	7	310

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PRIVATE BANKING &
INVESTMENT GROUP

FOUNDATION MAIN

24-Hour Assistance: (800) MERRILL
Access Code: 67-225-04018

May 01, 2013 - May 31, 2013

YOUR EMA ASSETS

LONG PORTFOLIO		Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL		343,378.19	360,003.14	(45,781.05)		19,074	5.30

Total values exclude N/A items

YOUR EMA TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS				Income	Income Year To Date
Date	Transaction Type	Quantity	Description		
05/31	Bank Interest		BANK DEPOSIT INTEREST	.32	
	Subtotal (Taxable Interest)			.32	.96
	Subtotal (Taxable Dividends)				7,514.92
NET TOTAL				.32	7,515.88

YOUR EMA MONEY ACCOUNT TRANSACTIONS

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
05/01	ML BANK DEPOSIT PROGRAM		3,124.00				
NET TOTAL			3,124.00				

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COPYPRIVATE BANKING &
INVESTMENT GROUP

PIA INCOME

24-Hour Assistance: (800) MERRILL
Access Code: 67-225-04030
May 01, 2013 - May 31, 2013

ACCOUNT INVESTMENT OBJECTIVE

TOTAL RETURN: Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Private Wealth Advisor(s).

YOUR EMA BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
FIA Card Services, N.A.	4,418	5,935	.05	0.25	7,379
TOTAL ML Bank Deposit Program	4,418			0.25	7,379

YOUR EMA ASSETS

CASH/MONEY ACCOUNTS	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	132.46	132.46		132.46		
ML BANK DEPOSIT PROGRAM	7,379.00	7,379.00	1.0000	7,379.00	4	.05
TOTAL		7,511.46		7,511.46	4	.05

EQUITIES	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Estimated Current Yield%
ALLIANCE RESRC PTNRS LP	ARLP	03/04/05	154	39.5500	6,090.70	72.2800	11,131.12	5,040.42	697	6.25
		04/07/06	8	37.2400	297.92	72.2800	578.24	280.32	37	6.25
		04/17/06	50	36.1400	1,807.00	72.2800	3,614.00	1,807.00	226	6.25
		07/31/06	20	36.1480	722.96	72.2800	1,445.60	722.64	91	6.25
		08/15/06	3	37.7433	113.23	72.2800	216.84	103.61	14	6.25
		11/15/06	3	34.9733	104.92	72.2800	216.84	111.92	14	6.25
		02/15/07	4	35.5000	142.00	72.2800	289.12	147.12	19	6.25

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PIA INCOME

YOUR EMA ASSETS

May 01, 2013 - May 31, 2013

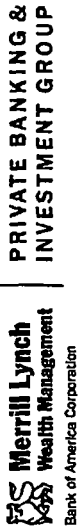
EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
ALLIANCE RESRC PTNRS LP	ARLP	05/16/07	3	40.6000	121.80	72.2800	216.84	95.04	14	6.25
		08/15/07	4	36.0000	144.00	72.2800	289.12	145.12	19	6.25
(.0971 FRACTIONAL SHARE)		02/28/12		47.1678	4.58	72.2800	7.02	2.44	1	6.25
<i>Subtotal</i>			249.0971		7,889		18,004.74	8,455.63	1,132	6.25
ANNALY CAP MGMT INC	NLY	11/08/07	327	17.5133	5,726.85	13.5800	4,440.66	(1,286.19)	589	13.25
		11/09/07	248	17.4529	4,328.34	13.5800	3,367.84	(960.50)	447	13.25
<i>Subtotal</i>			575		10,055.19		7,808.50	(2,246.69)	1,036	13.25
AT&T INC	T	10/08/08	210	24.8853	5,225.93	34.9900	7,347.90	2,121.97	378	5.14
BAYTEX ENERGY CORP SHS	BTE	09/08/08	200	28.1216	5,624.32	37.8100	7,562.00	1,937.68	519	6.86
BCE INC	BCE	10/07/11	195	37.3381	7,280.93	44.6800	8,712.60	1,431.67	453	5.19
BRITISH AMN TOBACO SPADR	BTI	05/18/12	75	96.0225	7,201.69	109.7700	8,232.75	1,031.06	318	3.85
BUCKEYE PARTNERS L P	BPL	12/09/09	125	52.8272	4,080	66.1400	8,267.50	1,664.10	525	6.35
EL PASO PIPELINE PARTNER LP	EPB	08/03/11	105	34.9717	3,672.03	41.0900	4,314.45	642.42	261	6.03
		10/13/11	144	36.7572	5,293.05	41.0900	5,916.96	623.91	358	6.03
<i>Subtotal</i>			249		7,935		10,231.41	1,266.33	619	6.03
ENBRIDGE ENERGY PARTNERS L.P.	EEP	01/22/09	166	14.9273	2,477.94	29.5100	4,898.66	2,420.72	361	7.36
		01/23/09	244	15.2559	3,722.45	29.5100	7,200.44	3,477.99	531	7.36
<i>Subtotal</i>			410		1,800		12,099.10	5,898.71	892	7.36
ENERGY TRANSFER EQUITY L P	ETE	03/07/07	275	34.8596	3,000	57.1600	15,719.00	6,132.61	710	4.51
ENTERPRISE PRDTS PRTN LP	EPD	03/04/05	72	27.1400	1,954.08	59.3900	4,276.08	2,322.00	193	4.51
		04/17/06	91	24.8600	2,262.26	59.3900	5,404.49	3,142.23	244	4.51
		08/15/06	5	25.4280	127.14	59.3900	296.95	169.81	14	4.51
		11/13/06	6	26.2400	157.44	59.3900	356.34	198.90	17	4.51
		02/14/07	5	28.4680	142.34	59.3900	296.95	154.61	14	4.51
		05/17/07	5	30.6920	153.46	59.3900	296.95	143.49	14	4.51
		08/20/07	6	27.8200	166.92	59.3900	356.34	189.42	17	4.51

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PIA INCOME

24-Hour Assistance: (800) MERRILL
Access Code: 67-225-04030

May 01, 2013 - May 31, 2013

YOUR EMA ASSETS

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
ENTERPRISE PRDTS PRTN LP	EPD	01/21/09	31	22.0883	684.74	59.3900	1,841.09	1,156.35	84 4.51
		01/22/09	54	22.1092	1,193.90	59.3900	3,207.06	2,013.16	145 4.51
(.3838 FRACTIONAL SHARE)		07/12/12		35.2266	13.52	59.3900	22.79	9.27	2 4.51
Subtotal			275.3838		1,039		16,355.04	9,499.24	744 4.51
EPR PTYS	EPR	03/04/05	142	41.8278	5,939.56	52.4200	7,443.64	1,504.08	449 6.02
COM SH BEN INT		04/17/06	48	39.2081	1,881.99	52.4200	2,516.16	634.17	152 6.02
		07/17/06	3	41.3366	124.01	52.4200	157.26	33.25	10 6.02
		10/16/06	2	52.4300	104.86	52.4200	104.84	(0.02)	7 6.02
		01/17/07	2	59.8800	119.76	52.4200	104.84	(14.92)	7 6.02
		04/17/07	3	61.7166	185.15	52.4200	157.26	(27.89)	10 6.02
(.1522 FRACTIONAL SHARE)		N/A		N/A	N/A	52.4200	7.98	N/A	1 6.02
Subtotal			200.1522		8,355.33		10,491.98	2,128.67	636 6.02
GENUINE PARTS CO	GPC	12/09/09	170	37.6098	6,393.68	77.7400	13,215.80	6,822.12	366 2.76
GOLAR LNG LIMITED	GLNG	07/16/12	150	38.6660	5,799.90	34.0000	5,100.00	(699.90)	270 5.29
KINDER MORGAN ENERGY PARTNERS LP	KMP	12/09/09	155	57.6900	2,524	83.4000	12,927.00	3,985.05	806 6.23
LOCKHEED MARTIN CORP	LMT	01/27/12	50	82.2254	4,111.27	105.8300	5,291.50	1,180.23	230 4.34
LORILLARD INC	LO	02/06/12	240	37.3483	8,963.60	42.4400	10,185.60	1,222.00	528 5.18
MAGELLAN MIDSTREAM PARTNERS LP	MMP	03/04/05	372	15.6250	5,812.51	51.9900	19,340.28	13,527.77	756 3.90
		04/17/06	100	16.5050	1,650.50	51.9900	5,199.00	3,548.50	203 3.90
		08/15/06	6	18.1000	108.60	51.9900	311.94	203.34	13 3.90
		11/15/06	8	19.0250	152.20	51.9900	415.92	263.72	17 3.90
		02/15/07	6	21.7500	130.50	51.9900	311.94	181.44	13 3.90
		05/16/07	6	24.8700	149.22	51.9900	311.94	162.72	13 3.90
		08/15/07	8	21.6000	172.80	51.9900	415.92	243.12	17 3.90
		10/12/12	1	44.1500	44.15	51.9900	51.99	7.84	3 3.90
(.1284 FRACTIONAL SHARE)		02/28/12		45.8722	5.89	51.9900	6.68	.79	1 3.90
Subtotal			507.1284		4,622		26,365.61	18,139.24	1,036 3.90

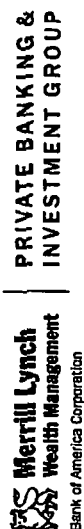
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PIA INCOME

YOUR EMA ASSETS

May 01, 2013 - May 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
NATURAL RESOURCE PARTNERS L.P.	NRP	03/04/05	202	30.5750	6,176.15	22.2500	4,494.50	(1,681.65)	445	9.88
		04/17/06	92	26.4550	2,433.86	22.2500	2,047.00	(386.86)	203	9.88
		08/15/06	4	27.5500	110.20	22.2500	89.00	(21.20)	9	9.88
		11/15/06	4	26.3750	105.50	22.2500	89.00	(16.50)	9	9.88
		02/15/07	4	31.1200	124.48	22.2500	89.00	(35.48)	9	9.88
		N/A	1	N/A	N/A	22.2500	22.25	N/A	3	9.88
(.4804 FRACTIONAL SHARE)		02/28/12		23.3555	11.22	22.2500	10.69	(0.53)	2	9.88
Subtotal			307.4804		7,831		6,841.44	(2,142.22)	690	9.88
PFIZER INC	PFE	09/02/10	460	16.3683	7,529.46	27.2300	12,525.80	4,996.34	442	3.52
PHILIP MORRIS INTL INC	PM	11/04/10	155	60.6032	9,393.51	90.9100	14,091.05	4,697.54	528	3.74
PLAINS ALL AMERN PIPL LP	PAA	04/10/06	168	20.9423	3,518.32	56.1800	9,438.24	5,919.92	387	4.09
		04/17/06	70	22.2350	1,556.45	56.1800	3,932.60	2,376.15	161	4.09
		04/17/06	88	20.9920	1,847.30	56.1800	4,943.84	3,096.54	203	4.09
		08/15/06	4	23.4000	93.60	56.1800	224.72	131.12	10	4.09
		08/15/06	6	17.4500	104.70	56.1800	337.08	232.38	14	4.09
		11/15/06	6	24.1750	145.05	56.1800	337.08	192.03	14	4.09
		11/15/06	6	24.9000	149.40	56.1800	337.08	187.68	14	4.09
		N/A	2	N/A	N/A	56.1800	112.36	N/A	5	4.09
		02/15/07	10	27.1700	271.70	56.1800	561.80	290.10	23	4.09
		05/16/07	10	30.6000	306.00	56.1800	561.80	255.80	23	4.09
		08/15/07	12	28.4750	341.70	56.1800	674.16	332.46	28	4.09
		02/28/12		59.0649	15.54	56.1800	14.78	(0.76)	1	4.09
		N/A		N/A	N/A	56.1800	14.78	N/A	1	4.09
Subtotal			382.5262		3,412		21,490.32	13,013.42	884	4.09
PVR PARTNERS LP	PVR	09/30/05	196	27.0944	5,310.51	25.7600	5,048.96	(261.55)	432	8.54
		04/17/06	75	29.0400	2,178.00	25.7600	1,932.00	(246.00)	165	8.54
		08/15/06	3	25.7200	77.16	25.7600	77.28	.12	7	8.54
		11/15/06	5	24.8300	124.15	25.7600	128.80	4.65	11	8.54
		02/15/07	4	26.3000	105.20	25.7600	103.04	(2.16)	9	8.54

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PIA INCOME

 24-Hour Assistance: (800) MERRILL
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May 01, 2013 - May 31, 2013

YOUR EMA ASSETS

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Yield%	Estimated Current
PVR PARTNERS LP	PVR	05/16/07	4	28.1500	112.60	25.7600	103.04	(9.56)		9	8.54
		08/15/07	5	26.7700	133.85	25.7600	128.80	(5.05)		11	8.54
(.2756 FRACTIONAL SHARE)		N/A		N/A	N/A	25.7600	7.10	N/A		1	8.54
Subtotal			292.2756		3,415.00		7,529.02	(519.55)		645	8.54
SEADRILL LTD	SDRL	11/05/10	120	32.9825	3,957.90	40.5300	4,863.60	905.70		423	8.68
		05/11/11	175	33.3094	5,829.16	40.5300	7,092.75	1,263.59		616	8.68
Subtotal			295		9,787.06		11,956.35	2,169.29		1,039	8.68
SOUTHERN COPPER CORP	SCCO	03/05/10	252	30.9973	7,811.33	31.1500	7,849.80	38.47		865	11.01
SSE PLC SPONSORED ADR	SSEZY	12/09/09	365	17.8000	6,497.00	23.6100	8,617.65	2,120.65		459	5.31
STARWOOD PPTY TR INC	STWD	11/04/10	129	20.5678	2,653.25	25.3700	3,272.73	619.48		238	7.25
COMMON STOCK		11/05/10	86	20.8541	1,793.46	25.3700	2,181.82	388.36		159	7.25
		11/19/10	235	20.7371	4,873.24	25.3700	5,961.95	1,088.71		433	7.25
Subtotal			450		9,319.95		11,416.50	2,096.55		830	7.25
SUNOCO LOGISTICS PRTS LP	SXL	06/16/05	372	12.6474	4,704.84	60.5400	22,520.88	17,816.04		852	3.78
		04/17/06	129	13.7933	1,779.34	60.5400	7,809.66	6,030.32		296	3.78
		08/15/06	9	14.6600	131.94	60.5400	544.86	412.92		21	3.78
		11/15/06	9	16.1566	145.41	60.5400	544.86	399.45		21	3.78
		02/15/07	9	18.4000	165.60	60.5400	544.86	379.26		21	3.78
		05/16/07	6	20.2666	121.60	60.5400	363.24	241.64		14	3.78
		08/15/07	12	17.0500	204.60	60.5400	726.48	521.88		28	3.78
		12/11/12		68.5310	11.15	60.5400	9.85	(1.30)		1	3.78
(.1627 FRACTIONAL SHARE)		N/A		N/A	N/A	60.5400	18.55	N/A		1	3.78
Subtotal			546.4691		39.03		33,083.24	25,800.21		1,255	3.78

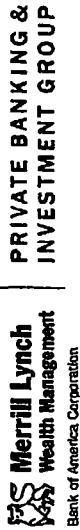
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PRIVATE BANKING &
INVESTMENT GROUP

PIA INCOME

YOUR EMA ASSETS

May 01, 2013 - May 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
VENTAS INC REIT	VTR	03/04/05 04/17/06 06/29/06 10/02/06 01/16/07 04/02/07 07/14/11	197 47 2 3 2 3 254.6360	27.3200 31.7400 32.9900 38.6400 42.0000 42.0700 49.9528	5,382.04 1,491.78 65.98 115.92 84.00 126.21 31.77	71.3700 71.3700 71.3700 71.3700 71.3700 71.3700 71.3700	14,059.89 3,354.39 142.74 214.11 142.74 214.11 45.39	8,677.85 1,862.61 76.76 98.19 58.74 87.90 13.62	528 126 6 9 6 9 2	3.75 3.75 3.75 3.75 3.75 3.75 3.75
(.6360 FRACTIONAL SHARE) Subtotal					7,297.70		18,173.37	10,875.67	686	3.75
VODAFONE GROPC PLC SP ADR	VOD	11/18/08 11/19/08 11/25/08 03/04/09	75 10 100 60	19.0854 18.2380 19.0158 17.3471	1,431.41 182.38 1,901.58 1,040.83	28.9600 28.9600 28.9600 28.9600	2,172.00 289.60 2,896.00 1,737.60	740.59 1,072.22 994.42 696.77	116 16 154 93	5.30 5.30 5.30 5.30
Subtotal			245		4,556.20		7,095.20	2,539.00	379	5.30
WESTPAC BANKING ADR	WBK	02/06/06 04/17/06 07/13/06 12/22/06 07/12/07 01/03/13	68 19 2 2 2 93.8072	88.6389 90.6100 82.5000 95.2000 112.9800 103.1962	6,027.45 1,721.59 165.00 190.40 225.96 83.30	134.4200 134.4200 134.4200 134.4200 134.4200 134.4200	9,140.56 2,553.98 268.84 268.84 268.84 108.50	3,113.11 832.39 103.84 78.44 42.88 25.20	634 178 19 19 19 8	6.93 6.93 6.93 6.93 6.93 6.93
(.8072 FRACTIONAL SHARE) Subtotal					8,413.70		12,609.56	4,195.96	877	6.93
WILLIAMS PARTNERS L.P. COM UNIT LTD PARTNERSHIP	WPZ	05/30/08 06/30/08 07/01/08 07/02/08	95 41 38 26	35.9431 32.5039 32.5192 32.3761	3,414.60 1,332.66 1,235.73 841.78	49.8900 49.8900 49.8900 49.8900	4,739.55 2,045.49 1,895.82 1,297.14	1,324.95 712.83 660.09 455.36	323 139 129 89	6.79 6.79 6.79 6.79
Subtotal			200		2,938		9,978.00	3,153.23	680	6.79
TOTAL					243,988.13		387,175.33	143,004.18	21,447	5.54
LONG PORTFOLIO				Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%	
TOTAL				251,499.59	394,686.79	143,004.18		21,450	5.43	

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BLACKROCK LCV

MERRILL LYNCH CONSULTS SERVICE

May 01, 2013 - May 31, 2013

YOUR INVESTMENT MANAGER - BLACKROCK - LARGE CAP VALUE

To assist in monitoring and evaluating your portfolio's performance, the Asset Information and Measurement Service (AIM) has been delivered to you on a quarterly basis each year. Should you have any questions regarding this report, please contact your Financial Advisor.

YOUR EMA BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
FIA Card Services, N.A.	6,741	9,515	.05	0.40	9,713
TOTAL ML Bank Deposit Program	6,741			0.40	9,713

YOUR EMA ASSETS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	0.44	0.44		.44		
ML BANK DEPOSIT PROGRAM	9,713.00	9,713.00	1.0000	9,713.00	5	.05
TOTAL		9,713.44		9,713.44	5	.05

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Estimated Current Yield%
ADT CORP SHS	ADT	09/12/11	12	25.5416	306.50	40.5900	487.08	180.58	6	1.23
		11/07/11	7	29.5600	206.92	40.5900	284.13	77.21	4	1.23
		11/08/11	3	30.0533	90.16	40.5900	121.77	31.61	2	1.23
		01/03/12	7	30.9442	216.61	40.5900	284.13	67.52	4	1.23
		12/05/12	1	43.9300	43.93	40.5900	40.59	(3.34)	1	1.23
Subtotal			30		864.12		1,217.70	353.58	17	1.23

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May 01, 2013 - May 31, 2013

BLACKROCK LCV

YOUR EMA ASSETS

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Yield%
AGILENT TECHNOLOGIES INC	A	10/08/12	37	38.7054	1,432.10	45.4500	1,681.65	249.55	18	1.05
AMERICAN INTERNATIONAL GROUP INC	AIG	09/17/12 10/08/12	52 42	34.7525 35.6500	1,807.13 1,497.30	44.4600 44.4600	2,311.92 1,867.32	504.79 370.02		
Subtotal			94		3,304.43		4,179.24	874.81		
AT&T INC	T	01/03/12 12/05/12	56 1	30.4575 34.2800	1,705.62 34.28	34.9900 34.9900	1,959.44 34.99	253.82 .71	101	5.14
Subtotal			57		1,739.90		1,994.43	254.53	103	5.14
BERKSHIRE HATHAWAY INC DEL CL B NEW	BRKB	12/27/10	15	79.9420	1,199.13	114.0700	1,711.05	511.92		
BRISTOL-MYERS SQUIBB CO	BMV	12/13/10 12/20/10 12/21/10 12/05/12	18 24 6 1	26.3011 26.5325 26.4183 32.8300	473.42 636.78 158.51 32.83	46.0100 46.0100 46.0100 46.0100	828.18 1,104.24 276.06 46.01	354.76 467.46 117.55 13.18	26 34 9 2	3.04 3.04 3.04 3.04
Subtotal			49		1,301.54		2,254.49	952.95	71	3.04
CHEVRON CORP	CVX	04/17/08 06/07/10 01/03/12 01/04/12 12/05/12	8 9 22 2 1	92.1800 71.8155 110.2127 109.8700 105.5900	737.44 646.34 2,424.68 219.74 105.59	122.7500 122.7500 122.7500 122.7500 122.7500	982.00 1,104.75 2,700.50 245.50 122.75	244.56 458.41 275.82 25.76 17.16	32 36 88 8 4	3.25 3.25 3.25 3.25 3.25
Subtotal			42		4,133.79		5,155.50	1,021.71	168	3.25
CHUBB CORP	CB	08/01/11 08/02/11 01/30/12 01/31/12 03/19/12 12/05/12	1 2 13 3 11 1	63.2000 62.2550 66.9107 67.4266 69.0554 77.8200	63.20 124.51 869.84 202.28 759.61 77.82	87.1000 87.1000 87.1000 87.1000 87.1000 87.1000	87.10 174.20 1,132.30 261.30 958.10 87.10	23.90 49.69 262.46 59.02 198.49 9.28	2 4 23 6 20 2	2.02 2.02 2.02 2.02 2.02 2.02
Subtotal			31		2,097.26		2,700.10	602.84	57	2.02

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BLACKROCK LCV

YOUR EMA ASSETS

May 01, 2013 - May 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
CISCO SYSTEMS INC COM	CSCO	02/21/12	26	20.3792	529.86	24.1150	626.99	97.13	18	2.81
		02/22/12	1	20.5800	20.58	24.1150	24.12	3.54	1	2.81
Subtotal			27		550.44		651.11	100.67	19	2.81
CITIGROUP INC COM NEW	C	06/13/12	106	27.7640	2,942.99	51.9900	5,510.94	2,567.95	5	.07
		06/25/12	19	26.6905	507.12	51.9900	987.81	480.69	1	.07
		06/26/12	12	26.7383	320.86	51.9900	623.88	303.02	1	.07
		06/27/12	16	27.0718	433.15	51.9900	831.84	398.69	1	.07
Subtotal			153		4,204.12		7,954.47	3,750.35	8	.07
COMCAST CORP NEW CL A	CMCSA	08/27/12	5	33.9980	169.99	40.1650	200.83	30.84	4	1.94
		10/08/12	46	36.2643	1,668.16	40.1650	1,847.59	179.43	36	1.94
		10/31/12	18	37.4300	673.74	40.1650	722.97	49.23	15	1.94
		12/05/12	3	37.0366	111.11	40.1650	120.50	9.39	3	1.94
Subtotal			72		2,623.00		2,891.89	268.89	58	1.94
CVS CAREMARK CORP	CVS	07/09/12	12	47.2150	566.58	57.5800	690.96	124.38	11	1.56
		07/09/12	1	48.9100	48.91	57.5800	57.58	8.67	1	1.56
		07/09/12	2	49.5500	99.10	57.5800	115.16	16.06	2	1.56
		07/10/12	11	47.1845	519.03	57.5800	633.38	114.35	10	1.56
		07/10/12	1	47.3400	47.34	57.5800	57.58	10.24	1	1.56
		07/11/12	11	46.8645	515.51	57.5800	633.38	117.87	10	1.56
		07/12/12	10	47.4670	474.67	57.5800	575.80	101.13	9	1.56
		07/13/12	10	47.9770	479.77	57.5800	575.80	96.03	9	1.56
		07/16/12	11	47.9754	527.73	57.5800	633.38	105.65	10	1.56
		10/08/12	13	48.7384	633.60	57.5800	748.54	114.94	12	1.56
Subtotal			82		3,912.24		4,721.56	809.32	75	1.56
DISCOVER FINL SVCS	DFS	11/15/10	31	18.9293	586.81	47.4100	1,469.71	882.90	25	1.68
		11/16/10	30	18.2426	547.28	47.4100	1,422.30	875.02	24	1.68
		12/05/12	1	41.0000	41.00	47.4100	47.41	6.41	1	1.68
Subtotal			62		1,175.09		2,939.42	1,764.33	50	1.68

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YOUR EMA ASSETS

May 01, 2013 - May 31, 2013

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
DISNEY (WALT) CO COM STK	DIS	11/13/12	25	47.9568	1,199.17	63.0800	1,577.00	377.83		19 1.18
		12/05/12	1	49.9000	49.90	63.0800	63.08	13.18		1 1.18
		01/02/13	12	50.8641	610.37	63.0800	756.96	146.59		9 1.18
Subtotal			38		1,859.44		2,397.04	537.60		29 1.18
E M C CORPORATION MASS	EMC	10/08/12	71	27.2321	1,933.48	24.7600	1,757.96	(175.52)		29 1.61
		10/08/12	3	27.2600	81.78	24.7600	74.28	(7.50)		2 1.61
		10/08/12	2	26.7700	53.54	24.7600	49.52	(4.02)		1 1.61
		12/03/12	24	24.8079	595.39	24.7600	594.24	(1.15)		10 1.61
		12/05/12	1	25.0300	25.03	24.7600	24.76	(0.27)		1 1.61
Subtotal			101		2,689.22		2,500.76	(188.46)		43 1.61
ELI LILLY & CO	LLY	09/27/10	38	36.0676	1,370.57	53.1600	2,020.08	649.51		75 3.68
		12/05/12	1	49.5400	49.54	53.1600	53.16	3.62		2 3.68
Subtotal			39		1,420.11		2,073.24	653.13		77 3.68
EXXON MOBIL CORP COM	XOM	12/27/10	13	73.0869	950.13	90.4700	1,176.11	225.98		33 2.78
		01/03/11	22	74.5054	1,639.12	90.4700	1,990.34	351.22		56 2.78
		01/31/11	9	80.2044	721.84	90.4700	814.23	92.39		23 2.78
		02/07/11	12	83.8708	1,006.45	90.4700	1,085.64	79.19		31 2.78
		07/16/12	10	85.0140	850.14	90.4700	904.70	54.56		26 2.78
Subtotal			66		5,167.68		5,971.02	803.34		169 2.78
GENERAL ELECTRIC	GE	10/25/10	56	16.1975	907.06	23.3200	1,305.92	398.86		43 3.25
		04/04/11	15	20.5580	308.37	23.3200	349.80	41.43		12 3.25
		04/05/11	19	20.4821	389.16	23.3200	443.08	53.92		15 3.25
		12/05/12	1	21.2900	21.29	23.3200	23.32	2.03		1 3.25
		02/04/13	72	22.3822	1,611.52	23.3200	1,679.04	67.52		55 3.25
Subtotal			163		3,237.40		3,801.16	563.76		126 3.25
GOLDMAN SACHS GROUP INC	GS	04/30/12	14	114.7842	1,606.98	162.0800	2,269.12	662.14		28 1.23
		05/01/12	11	115.5954	1,271.55	162.0800	1,782.88	511.33		22 1.23
		09/10/12	6	115.5783	693.47	162.0800	972.48	279.01		12 1.23
Subtotal			31		3,572.00		5,024.48	1,452.48		62 1.23

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YOUR EMA ASSETS

May 01, 2013 - May 31, 2013

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
INGERSOLL-RAND PLC	IR	12/03/12 12/04/12	46 1	48.2654 49.0800	2,220.21 49.08	57.5300 57.5300	2,646.38 57.53	426.17 8.45		39 1.46 1 1.46
Subtotal			47		2,269.29		2,703.91	434.62		40 1.46
INTL BUSINESS MACHINES CORP/IBM	IBM	03/01/13 03/18/13	7 7	202.3428 214.1214	1,416.40 1,498.85	208.0200 208.0200	1,456.14 1,456.14	39.74 (42.71)		27 1.82 27 1.82
Subtotal			14		2,915.25		2,912.28	(2.97)		54 1.82
INTL PAPER CO	IP	11/09/09 06/06/11 11/13/12 12/03/12 12/05/12	11 31 20 16 2	24.9209 29.7638 34.3495 37.0475 36.0300	274.13 922.68 686.99 592.76 72.06	46.1500 46.1500 46.1500 46.1500 46.1500	507.65 1,430.65 923.00 738.40 92.30	233.52 507.97 236.01 145.64 20.24		14 2.60 38 2.60 24 2.60 20 2.60 3 2.60
Subtotal			60		2,548.62		3,692.00	1,143.38		99 2.60
JPMORGAN CHASE & CO	JPM	04/25/11 04/25/11 02/13/12 04/02/12 06/25/12 06/26/12 06/27/12 06/28/12	20 1 59 36 14 9 9 4	44.8170 43.5800 38.1277 46.0180 35.1521 35.7700 36.4411 35.4175	896.34 43.58 2,249.54 1,656.65 492.13 321.93 327.97 141.67	54.5900 54.5900 54.5900 54.5900 54.5900 54.5900 54.5900 54.5900	1,091.80 54.59 3,220.81 1,965.24 764.26 491.31 491.31 218.36	195.46 11.01 971.27 308.59 272.13 169.38 163.34 76.69		31 2.78 2 2.78 90 2.78 55 2.78 22 2.78 14 2.78 14 2.78 7 2.78
Subtotal			152		6,129.81		8,297.68	2,167.87		235 2.78
MARATHON OIL CORP	MRO	02/28/08 05/17/10 07/11/11	6 29 27	32.3066 18.5920 31.9755	193.84 539.17 863.34	34.3900 34.3900 34.3900	206.34 997.31 928.53	12.50 458.14 65.19		5 1.97 20 1.97 19 1.97
Subtotal			62		1,596.35		2,132.18	535.83		44 1.97
MARATHON PETROLEUM CORP	MPC	03/26/12 04/09/12 12/05/12	3 28 2	44.8966 42.4528 58.0600	134.69 1,188.68 116.12	82.5000 82.5000 82.5000	247.50 2,310.00 165.00	112.81 1,121.32 48.88		5 1.69 40 1.69 3 1.69
Subtotal			33		1,439.49		2,722.50	1,283.01		48 1.69

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YOUR EMA ASSETS

May 01, 2013 - May 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
MERCK AND CO INC SHS	MRK	06/26/12	4	40.0025	160.01	46.7000	186.80	26.79	7	3.68
		06/27/12	16	40.5868	649.39	46.7000	747.20	97.81	28	3.68
		06/28/12	11	40.3736	444.11	46.7000	513.70	69.59	19	3.68
		10/08/12	32	46.2596	1,480.31	46.7000	1,494.40	14.09	56	3.68
		12/05/12	2	44.5750	89.15	46.7000	93.40	4.25	4	3.68
Subtotal			65		2,822.97		3,035.50	212.53	114	3.68
METLIFE INC COM	MET	05/14/12	22	34.2672	753.88	44.2100	972.62	218.74	25	2.48
		05/15/12	9	33.5722	302.15	44.2100	397.89	95.74	10	2.48
		05/15/12	1	34.4500	34.45	44.2100	44.21	9.76	2	2.48
		05/21/12	30	30.6736	920.21	44.2100	1,326.30	406.09	33	2.48
Subtotal			62		2,010.69		2,741.02	730.33	70	2.48
MICROSOFT CORP	MSFT	07/02/12	118	30.4467	3,592.72	34.9000	4,118.20	525.48	109	2.63
		07/03/12	1	30.7400	30.74	34.9000	34.90	4.16	1	2.63
		07/16/12	36	29.3536	1,056.73	34.9000	1,256.40	199.67	34	2.63
Subtotal			155		4,680.19		5,409.50	729.31	144	2.63
NEWS CORP CL A	NWSA	08/06/12	98	23.6492	2,317.63	32.1100	3,146.78	829.15	17	.52
		12/05/12	3	24.3800	73.14	32.1100	96.33	23.19	1	.52
Subtotal			101		2,390.77		3,243.11	852.34	18	.52
NIKE INC CL B	NKE	01/02/13	45	51.7248	2,327.62	61.6600	2,774.70	447.08	38	1.36
PARKER HANNIFIN CORP	PH	01/03/12	13	79.0292	1,027.38	99.7600	1,296.88	269.50	24	1.80
		01/23/12	18	81.2683	1,462.83	99.7600	1,795.68	332.85	33	1.80
		12/05/12	1	83.0300	83.03	99.7600	99.76	16.73	2	1.80
Subtotal			32		2,573.24		3,192.32	619.08	59	1.80
PFIZER INC	PFE	06/09/08	28	18.0678	505.90	27.2300	762.44	256.54	27	3.52
		10/08/12	170	25.3821	4,314.97	27.2300	4,629.10	314.13	164	3.52
Subtotal			198		4,820.87		5,391.54	570.67	191	3.52

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YOUR EMA ASSETS

May 01, 2013 - May 31, 2013

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
RAYTHEON CO DELAWARE NEW	RTN	08/09/10	9	46.4511	418.06	66.6400	599.76	181.70	20	3.30
		08/10/10	3	46.1166	138.35	66.6400	199.92	61.57	7	3.30
		11/14/11	16	45.2350	723.76	66.6400	1,066.24	342.48	36	3.30
Subtotal			28		1,280.17		1,865.92	585.75	63	3.30
STATE STREET CORP	STT	01/02/13	38	48.1102	1,828.19	66.1800	2,514.84	686.65	40	1.57
SUNCOR ENERGY INC NEW	SU	11/13/12	62	32.8332	2,035.56	30.3100	1,879.22	(156.44)	49	2.60
		11/13/12	3	32.8733	98.62	30.3100	90.93	(7.69)	3	2.60
		12/03/12	15	32.6246	489.37	30.3100	454.65	(34.72)	12	2.60
Subtotal			80		2,623.65		2,424.80	(198.85)	64	2.60
SUNTRUST BKS INC COM	STI	01/02/13	59	29.0267	1,712.58	32.0900	1,893.31	180.73	24	1.24
		02/04/13	51	28.7364	1,465.56	32.0900	1,636.59	171.03	21	1.24
Subtotal			110		3,178.14		3,529.90	351.76	45	1.24
TE CONNECTIVITY LTD REG.SHS	TEL	05/23/13	16	45.6581	730.53	44.3900	710.24	(20.29)	16	2.25
		05/24/13	1	45.3100	45.31	44.3900	44.39	(0.92)	1	2.25
Subtotal			17		775.84		754.63	(21.21)	17	2.25
TESORO CORP	TSO	03/01/13	25	57.4364	1,435.91	61.6500	1,541.25	105.34	20	1.29
TIME WARNER CABLE INC SHS	TWC	09/06/11	6	62.3866	374.32	95.5100	573.06	198.74	16	2.72
		09/07/11	5	63.7660	318.83	95.5100	477.55	158.72	13	2.72
		09/12/11	13	60.8092	790.52	95.5100	1,241.63	451.11	34	2.72
		12/05/12	1	94.6100	94.61	95.5100	95.51	.90	3	2.72
Subtotal			25		1,578.28		2,387.75	809.47	66	2.72
TRAVELERS COS INC	TRV	05/14/12	26	64.6215	1,680.16	83.7200	2,176.72	496.56	52	2.38
		05/15/12	9	64.3944	579.55	83.7200	753.48	173.93	18	2.38
Subtotal			35		2,259.71		2,930.20	670.49	70	2.38

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YOUR EMA ASSETS

May 01, 2013 - May 31, 2013

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
TYCO INTL LTD NAMEN-AKT	TYC	09/12/11	23	19.7182	453.52	33.8100	777.63	324.11		15 1.89
		11/07/11	14	22.7914	319.08	33.8100	473.34	154.26		9 1.89
		11/08/11	7	23.1657	162.16	33.8100	236.67	74.51		5 1.89
		01/03/12	14	23.8500	333.90	33.8100	473.34	139.44		9 1.89
		12/05/12	1	28.6300	28.63	33.8100	33.81	5.18		1 1.89
Subtotal			59		1,297.29		1,984.79	697.50		39 1.89
UNITED CONTL HLDGS INC	UAL	03/01/13	51	27.5217	1,403.61	32.4600	1,655.46	251.85		
US BANCORP (NEW)	USB	07/23/12	3	33.2166	99.65	35.0600	105.18	5.53		3 2.22
		07/24/12	32	33.3334	1,066.67	35.0600	1,121.92	55.25		25 2.22
		07/25/12	22	33.3772	734.30	35.0600	771.32	37.02		18 2.22
		09/10/12	23	33.9126	779.99	35.0600	806.38	26.39		18 2.22
		10/31/12	24	33.1995	796.79	35.0600	841.44	44.65		19 2.22
Subtotal			104		3,477.40		3,646.24	168.84		83 2.22
VALERO ENERGY CORP NEW	VLO	03/15/11	28	25.6953	719.47	40.6300	1,137.64	418.17		23 1.96
		05/09/11	25	25.1580	628.95	40.6300	1,015.75	386.80		20 1.96
		12/05/12	2	28.8850	57.77	40.6300	81.26	23.49		2 1.96
Subtotal			55		1,406.19		2,234.65	828.46		45 1.96
WAL-MART STORES INC	WMT	07/09/12	16	71.5018	1,144.03	74.8400	1,197.44	53.41		31 2.51
		07/09/12	1	71.9400	71.94	74.8400	74.84	2.90		2 2.51
		07/09/12	1	72.2300	72.23	74.8400	74.84	2.61		2 2.51
		07/10/12	5	71.8240	359.12	74.8400	374.20	15.08		10 2.51
		07/11/12	4	72.3100	289.24	74.8400	299.36	10.12		8 2.51
		07/12/12	4	72.1900	288.76	74.8400	299.36	10.60		8 2.51
		01/02/13	25	68.9352	1,723.38	74.8400	1,871.00	147.62		47 2.51
Subtotal			56		3,948.70		4,191.04	242.34		108 2.51
WALGREEN CO	WAG	09/06/11	29	34.8072	1,009.41	47.7600	1,385.04	375.63		32 2.30
		09/19/11	18	36.8444	663.20	47.7600	859.68	196.48		20 2.30
Subtotal			47		1,672.61		2,244.72	572.11		52 2.30

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YOUR EMA ASSETS

May 01, 2013 - May 31, 2013

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total	Estimated	Estimated	Unrealized	Estimated	Current
Description					Cost Basis	Market Price	Cost Basis	Market Value	Market Value	Gain/(Loss)	Annual Income	Yield%
WELLS FARGO & CO NEW DEL		WFC	01/03/12	42	28.3623	40.5500	1,191.22	1,703.10	1,703.10	511.88	51	2.95
			12/05/12	1	33.0800	40.5500	33.08	40.55	40.55	7.47	2	2.95
Subtotal				43			1,224.30	1,743.65	1,743.65	519.35	53	2.95
3M COMPANY		MMM	06/25/12	2	85.8050	110.2700	171.61	220.54	220.54	48.93	6	2.30
			06/26/12	6	86.1133	110.2700	516.68	661.62	661.62	144.94	16	2.30
			06/27/12	5	87.1300	110.2700	435.65	551.35	551.35	115.70	13	2.30
			06/28/12	3	86.4666	110.2700	259.40	330.81	330.81	71.41	8	2.30
			12/03/12	16	90.5118	110.2700	1,448.19	1,764.32	1,764.32	316.13	41	2.30
Subtotal				32			2,831.53	3,528.64	3,528.64	697.11	84	2.30
TOTAL							117,229.69	149,257.03	149,257.03	32,027.34	3,153	2.11

LONG PORTFOLIO

	Adjusted/Total	Estimated	Unrealized	Estimated	Estimated	Current
	Cost Basis	Market Value	Gain/(Loss)	Accrued Interest	Annual Income	Yield%
TOTAL	126,943.13	158,970.47	32,027.34		3,157	1.99

♦ Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale".

YOUR EMA TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS				Income	Year To Date
Date	Transaction Type	Quantity	Description		
05/31	* Bank Interest		BANK DEPOSIT INTEREST	.40	
05/01	* Dividend		AT&T INC	.40	1.40
			HOLDING 57.0000		
			PAY DATE 05/01/2013		
05/01	* Dividend		BRISTOL-MYERS SQUIBB CO	17.15	
			HOLDING 49.0000		
			PAY DATE 05/01/2013		

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24-Hour Assistance: (800) MERRILL
Access Code: 67-225-04018
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YOUR EMA BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
FIA Card Services, N.A.	4,511	7,534	.05	0.32	7,635
TOTAL ML Bank Deposit Program	4,511			0.32	7,635

YOUR EMA ASSETS

CASH/MONEY ACCOUNTS	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	1.08	1.08		1.08		
ML BANK DEPOSIT PROGRAM	7,635.00	7,635.00	1.0000	7,635.00	4	.05
TOTAL		7,636.08		7,636.08	4	.05

EQUITIES	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Estimated Current Yield%
BANK OF AMERICA CORP	BAC	02/01/92	1,278	8.3175	10,629.78	13.6600	17,457.48	6,827.70	52	.29
		01/15/93	498	9.7451	4,853.10	13.6600	6,802.68	1,949.58	20	.29
Subtotal			1,776		15,482.88		24,260.16	8,777.28	72	.29
INTL BUSINESS MACHINES CORP IBM	IBM	N/A	300	N/A	N/A	208.0200	62,406.00	N/A	1,140	1.82
QUALCOMM INC	QCOM	03/07/12	900	63.3936	57,054.29	63.4800	57,132.00	77.71	1,260	2.20
SEADRILL LTD	SDRL	12/27/10	800	33.7313	26,985.11	40.5300	32,424.00	5,438.89	2,816	8.68
TOTAL S.A. SP ADR	TOT	12/27/10	500	53.1470	26,573.54	49.8500	24,925.00	(1,648.54)	1,287	5.15
TOTAL					126,095.82		201,147.16	12,645.34	6,575	3.27

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FOUNDATION MAIN

YOUR EMA ASSETS

May 01, 2013 - May 31, 2013

RESEARCH RATINGS

Security	Symbol	BofAML Research	Morningstar	S&P
INTL BUSINESS MACHINES	IBM	Neutral (B27)	Hold	Buy
QUALCOMM INC	QCOM	Buy (C17)	Buy	Buy
SEADRILL LTD	SDRL	Neutral (C27)	Hold	No Coverage
TOTAL S.A. SP ADR	TOT	Underperform (C37)	Hold	Buy

PLEASE REFER TO THE BACK OF YOUR STATEMENT FOR A GUIDE TO BOFAML AND THIRD PARTY RESEARCH RATINGS.

MUTUAL FUNDS/CLOSED END FUNDS/UIT

Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
CLOUGH GBL OPPTYS	11,570	209,646.29	13.0700	151,219.90	(58,426.39)	209,646	(58,426)	12,496	8.26
SYMBOL: GLO Initial Purchase: 01/18/07									
Fixed Income 22% Equity 78%									
Subtotal (Fixed Income)				33,268.38					
Subtotal (Equities)				117,951.52					
TOTAL		209,646.29		151,219.90	(58,426.39)		(58,426)	12,496	8.26

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment

Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

Initial Purchase: Date of your initial investment in this fund.

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