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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning 5/1/2012, and ending 4/30/2013

Name of foundation SYD & JAN M SILVERMAN FOUNDATION, INC		A Employer identification number 22-3125351
Number and street (or P O box number if mail is not delivered to street address) P O BOX 1501, NJ2-130-03-31		B Telephone number (see instructions) 609-274-6834
City or town, state, and ZIP code PENNINGTON NJ 08534-1501		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 4,229,929	J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____	
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b) (c) and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	113,507	113,164		
	5 a Gross rents				
	b Net rental income or (loss)				
	6 a Net gain or (loss) from sale of assets not on line 10	237,502			
	b Gross sales price for all assets on line 6a 3,346,853				
	7 Capital gain net income (from Part IV, line 2)		237,502		
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	351,009	350,666	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16 a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,500			2,500
	c Other professional fees (attach schedule)	47,086	28,251		18,834
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	3,869	176		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	6,242			6,242
	24 Total operating and administrative expenses. Add lines 13 through 23	59,697	28,427	0	27,576
	25 Contributions, gifts, grants paid	71,935			71,935
26 Total expenses and disbursements Add lines 24 and 25	131,632	28,427	0	99,511	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	219,377				
b Net investment income (if negative, enter -0-)		322,239			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

HTA

Form **990-PF** (2012)

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	1	3,346	3,346	
	2	Savings and temporary cash investments	25,906	30,388	30,388	
	3	Accounts receivable				
		Less allowance for doubtful accounts				
	4	Pledges receivable				
		Less allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule)				
		Less allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments—U S and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)				
	c	Investments—corporate bonds (attach schedule)				
	11	Investments—land, buildings, and equipment basis				
	Less accumulated depreciation (attach schedule)					
12	Investments—mortgage loans					
13	Investments—other (attach schedule)	4,231,851	4,196,195	4,196,195		
14	Land, buildings, and equipment basis					
	Less accumulated depreciation (attach schedule)					
15	Other assets (describe)					
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	4,257,758	4,229,929	4,229,929		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)				
	23	Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☐					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒					
	27	Capital stock, trust principal, or current funds	4,257,758	4,229,929		
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)	4,257,758	4,229,929		
31	Total liabilities and net assets/fund balances (see instructions)	4,257,758	4,229,929			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,257,758
2	Enter amount from Part I, line 27a	2	219,377
3	Other increases not included in line 2 (itemize) ☐ See Attached Statement	3	164,294
4	Add lines 1, 2, and 3	4	4,641,429
5	Decreases not included in line 2 (itemize) ☐ SALE OF REAL ESTATE - ACCRUAL ADJUSTMENT	5	411,500
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	4,229,929

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Page **3****Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement			
b See Attached Statement			
c See Attached Statement			
d See Attached Statement			
e See Attached Statement			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			0
b			0
c			0
d			0
e			0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			0
b			0
c			0
d			0
e			0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	237,502
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	80,375	3,489,765	0.023032
2010	47,895	3,182,478	0.015050
2009	22,543	389,580	0.057865
2008	9,381	265,935	0.035276
2007	13,218	278,178	0.047516

2 Total of line 1, column (d)	2	0.178739
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.035748
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	4,187,568
5 Multiply line 4 by line 3	5	149,697
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,222
7 Add lines 5 and 6	7	152,919
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	99,511

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	6,445	
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0	
3 Add lines 1 and 2		3	6,445	
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4		
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	6,445	
6 Credits/Payments				
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	3,919		
b Exempt foreign organizations—tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments Add lines 6a through 6d	7	3,919		
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	2,526		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0		
11 Enter the amount of line 10 to be Credited to 2013 estimated tax Refunded	11	0		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) DE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ MLTC, A DIVISION OF BANK OF AMERICA, N A Telephone no ▶ 609-274-6834 Located at ▶ 1300 MERRILL LYNCH DRIVE PENNINGTON NJ ZIP+4 ▶ 08534-1501			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a list the years here ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes" did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720 to determine if the foundation had excess business holdings in 2012)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?
- (3) Provide a grant to an individual for travel, study, or other similar purposes?
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

☐ Yes ☒ No

☐ Yes ☒ No

☐ Yes ☒ No

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐**5b** N/A**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?
If "Yes" to 6b file Form 8870**6b**

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?**7b** N/A**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account other allowances
SYD SILVERMAN 800 SOUTH OCEAN BLVD SUITE LC BOCA R	PRESIDENT/DIRECTOR	0		
MARIE SILVERMAN MARICH 37 SAMMIS LANE WHITE PLAINS, NY 10605	EXECUTIVE/DIRECTOR			

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	4,149,795
b	Average of monthly cash balances	1b	101,543
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	4,251,338
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	4,251,338
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	63,770
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,187,568
6	Minimum investment return. Enter 5% of line 5	6	209,378

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	209,378
2a	Tax on investment income for 2012 from Part VI, line 5	2a	6,445
b	Income tax for 2012 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	6,445
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	202,933
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	202,933
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	202,933

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	99,511
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	99,511
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	99,511

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				202,933
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			69,918	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2012				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 99,511				
a Applied to 2011, but not more than line 2a			69,918	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2012 distributable amount				29,593
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				173,340
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income**

[illegible]

N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Attached Statement				
Total			▶ 3a	71,935
b Approved for future payment NONE				
Total			▶ 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	113,507	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	237,502	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		0		351,009	0
13 Total. Add line 12, columns (b), (d), and (e)				13	351,009

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|---|--------------|-----|----|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> | | Yes | No |
| <p>a Transfers from the reporting foundation to a noncharitable exempt organization of</p> | | | |
| <p>(1) Cash</p> | 1a(1) | | X |
| <p>(2) Other assets</p> | 1a(2) | | X |
| <p>b Other transactions</p> | | | |
| <p>(1) Sales of assets to a noncharitable exempt organization</p> | 1b(1) | | X |
| <p>(2) Purchases of assets from a noncharitable exempt organization</p> | 1b(2) | | X |
| <p>(3) Rental of facilities, equipment, or other assets</p> | 1b(3) | | X |
| <p>(4) Reimbursement arrangements</p> | 1b(4) | | X |
| <p>(5) Loans or loan guarantees</p> | 1b(5) | | X |
| <p>(6) Performance of services or membership or fundraising solicitations</p> | 1b(6) | | X |
| <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> | 1c | | X |
| <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received</p> | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here ▶ Under penalties of perjury I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: [Signature] Date: 2013 Sept 4, 2013 Title: _____

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
	DONALD J ROMAN		8/22/2013		P00364310
	Firm's name ▶ PricewaterhouseCoopers, LLP			Firm's EIN ▶	13-4008324
	Firm's address ▶ 600 GRANT STREET, PITTSBURGH PA 15219			Phone no	412-355-6000

SYD & JAN SILVERMAN FDN

ACCOUNT INVESTMENT OBJECTIVE

TOTAL RETURN: Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss

If you have changes to your investment objective, please contact your Private Wealth Advisor(s).

March 29, 2013 April 30, 2013

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

CASH/MONEY ACCOUNTS									
Description	Quantity	Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%			
CASH	3,344.51	3,344.51		3,344.51					
BIF MONEY FUND	19,114.00	19,114.00	1.0000	19,114.00					
TOTAL		22,458.51		22,458.51					

MUTUAL FUNDS/CLOSED END FUNDS/UIT

Description	Quantity	Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
ALLIANZGI NFJ INTL VALUE FUND CL P	2,712	56,693.37	22.6000	61,291.20	4,597.83	56,693	4,597	1,123	1.83
SYMBOL: AFVPX Initial Purchase: 02/10/12 Equity 100%									
.9390 Fractional Share		18.66	22.6000	21.22	2.56			1	1.83
BLACKROCK EMERGING MKTS LONG SHORT EQ FD CL I	4,728	48,787.82	10.6900	50,542.32	1,754.50	48,787	1,754		
SYMBOL: BLSIX Initial Purchase: 02/10/12 Alternative Investments 100%									
.0570 Fractional Share		0.60	10.6900	.61	.01				
BLACKROCK GLOBAL ALLOCATION FD INC INSTL	14,373	261,982.20	21.1400	303,845.22	41,863.02	260,761	43,083	4,111	1.35
SYMBOL: MALOX Initial Purchase: 05/07/10									

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SYD & JAN SILVERMAN FDN

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

March 29, 2013 - April 30, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
Fixed Income 24% Equity 76% .5480 Fractional Share		9.61	21.1400	11.58	1.97			1 1.35
DOUBLELINE TOTAL RETURN BOND FUND CL I	13.911	156,170.85	11.4100	158,724.51	2,553.66	156,170	2,553	8,624 5.43
SYMBOL: DBLTX Initial Purchase: 02/10/12 Fixed Income 100% .0570 Fractional Share		0.63	11.4100	.65	.02			1 5.43
DREYFUS EMERGING MKTS DEBT LOCAL CURRENCY CL I	7.563	115,939.65	15.5900	117,907.17	1,967.52	115,939	1,967	2,843 2.41
SYMBOL: DDBIX Initial Purchase: 12/11/12 Fixed Income 100% .6620 Fractional Share		10.15	15.5900	10.32	.17			1 2.41
FIRST EAGLE GLOBAL CLASS I	11.670	562,152.14	52.2200	609,407.40	47,255.26	562,110	47,296	7,387 1.21
SYMBOL: SGIIX Initial Purchase: 05/07/10 Equity 100% .4050 Fractional Share		20.19	52.2200	21.15	.96			1 1.21
INVESCO HIGH YIELD FUND CL Y	18.433	79,319.86	4.5800	84,423.14	5,103.28	79,319	5,103	4,848 5.74
SYMBOL: AHYX Initial Purchase: 07/09/12 Fixed Income 100% .0370 Fractional Share		0.16	4.5800	.17	.01			1 5.74
ISHARES MSCI JAPAN INDEX FUND	4.365	48,784.11	11.7000	51,070.50	2,286.39	48,784	2,286	821 1.60

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SYD & JAN SILVERMAN FDN

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

March 29, 2013 - April 30, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
SYMBOL: EWJ Equity 100%	Initial Purchase: 04/10/13								
ISHARES RUSSELL 1000 GROWTH INDEX FUND	2,859	180,585.35	72.8800	208,363.92	27,778.57	180,585	27,778	3,203	1.53
SYMBOL: IWV Equity 100%	Initial Purchase: 02/10/12								
ISHARES RUSSELL 1000 VALUE INDEX FUND	887	59,331.61	82.3900	73,079.93	13,748.32	59,331	13,748	1,509	2.06
SYMBOL: IWD Equity 100%	Initial Purchase: 12/12/11								
ISHARES BARCLYS 1-3 YEAR TREAS INDEX FUND	2,527	213,445.12	84.5100	213,556.77	111.65	213,445	111	690	.32
SYMBOL: SHY Fixed Income 100%	Initial Purchase: 02/10/12								
ISHARE BARCLYS 20+ YR TREAS INDEX FUND	171	21,083.06	123.0100	21,034.71	(48.35)	21,083	(48)	543	2.57
SYMBOL: TLT Fixed Income 100%	Initial Purchase: 09/22/11								
ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F	689	49,053.39	73.6300	50,731.07	1,677.68	49,053	1,677	526	1.03
SYMBOL: IYW Equity 100%	Initial Purchase: 06/11/12								
JH GLOBAL	5,433	54,936.07	11.3000	61,392.90	6,456.83	54,936	6,456	1,706	2.77

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SYD & JAN SILVERMAN FDN

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

March 29, 2013 - April 30, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
SHAREHOLDER YIELD CL I								
SYMBOL: JGYX Initial Purchase: 06/11/12 Equity 100%								
.2160 Fractional Share		2.23	11.3000	2.44	.21			1 277
KAYNE ANDERSON MLP								
SYMBOL: KYN Initial Purchase: 02/01/11 Equity 100%	1,425	40,554.46	35.3700	50,402.25	9,847.79	40,554	9,847	3,221 638
LORD ABBETT INCOME FUND CL F								
SYMBOL: LAUFEX Initial Purchase: 07/31/12 Fixed Income 100%								
.7540 Fractional Share		2.24	3.0400	2.29	.05			1 486
MAINSTAY HIGH YIELD CORPORATE BOND FD CL I								
SYMBOL: MHYX Initial Purchase: 05/09/11 Fixed Income 100%	13,454	80,725.81	6.2200	83,683.88	2,958.07	80,719	2,964	5,853 699
.3410 Fractional Share		2.02	6.2200	2.12	.10			1 699
MAINSTAY MARKETFIELD FUND CL I								
SYMBOL: MFLDX Initial Purchase: 08/06/10 Alternative Investments 100%	36,210	533,519.76	16.6800	603,982.80	70,463.04	533,519	70,463	
.2830 Fractional Share		3.52	16.6800	4.72	1.20			
MFS EMERGING MARKETS DEBT FD CL I								
	5,185	84,722.38	16.1700	83,841.45	(880.93)	84,722	(880)	4,106 489

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SYD & JAN SILVERMAN FDN

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

March 29, 2013 - April 30, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
SYMBOL: MEDIX Initial Purchase: 12/11/12								
Fixed Income 100%								
.5760 Fractional Share		9.41	16.1700	9.31	(0.10)			1 4.89
NUVEEN SANTA BARBARA								
DIVIDEND GROWTH FD CL I	2,468	63,381.45	29.6800	73,250.24	9,868.79	63,381	9,868	1,572 2.14
SYMBOL: NSBRX Initial Purchase: 02/15/12								
Equity 100%								
.0850 Fractional Share		2.14	29.6800	2.52	.38			1 2.14
PIMCO INVESTMENT GRADE								
CORPORATE BOND CL P	19,255	211,521.61	11.3400	218,351.70	6,830.09	202,054	16,297	8,741 4.00
SYMBOL: PBDPX Initial Purchase: 02/17/10								
Fixed Income 100%								
.1840 Fractional Share		2.01	11.3400	2.09	.08			1 4.00
PIMCO REAL RETURN FUND								
CL P	5,429	65,567.45	12.3400	66,993.86	1,426.41	65,567	1,426	1,200 1.79
SYMBOL: PRLPX Initial Purchase: 11/01/10								
Fixed Income 100%								
.7890 Fractional Share		9.36	12.3400	9.74	.38			1 1.79
PIMCO ALL ASSET ALL								
AUTHORITY FUND CL P	13,607	146,493.10	11.1500	151,718.05	5,224.95	146,493	5,224	7,919 5.21
SYMBOL: PAUPX Initial Purchase: 08/04/11								
Alternative Investments 100%								
.4790 Fractional Share		5.28	11.1500	5.34	.06			1 5.21
POWERSHARES S AND P SC								
	1,448	50,442.38	34.8276	50,430.36	(12.02)	50,442	(12)	1,156 2.29

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SYD & JAN SILVERMAN FDN

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

March 29, 2013 - April 30, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT	(continued)	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
FINL									
SYMBOL: PSCF Equity 100%	Initial Purchase: 04/10/13								
PRINCIPAL PREFERRED									
SECURITIES FUND CL P		1,559	15,981.56	10.7300	16,728.07	746.51	15,981	746	913 5.45
SYMBOL: PPSPX Fixed Income 100%	Initial Purchase: 09/22/11								
.8640 Fractional Share			8.17	10.7300	9.27	1.10			1 5.45
T ROWE PRICE US TREASURY									
INTERM FD CL NONE		26,865	167,160.30	6.2400	167,637.60	477.30	167,160	477	3,492 2.08
SYMBOL: PRTIX Fixed Income 100%	Initial Purchase: 05/09/11								
.4860 Fractional Share			2.88	6.2400	3.03	.15			1 2.08
TCW TOTAL RETURN									
BOND FD CL I		15,333	153,453.89	10.3700	159,003.21	5,549.32	153,453	5,549	8,464 5.32
SYMBOL: TGLMX Fixed Income 100%	Initial Purchase: 02/01/11								
.0180 Fractional Share			0.18	10.3700	.19	.01			1 5.32
TEMPLETON GBL BOND FD									
ADV CL		3,698	48,210.74	13.6100	50,329.78	2,119.04	48,210	2,119	2,837 5.63
SYMBOL: TGBAX Fixed Income 100%	Initial Purchase: 06/11/12								
.7020 Fractional Share			8.74	13.6100	9.55	.81			1 5.63
TOUCHSTONE SMALL CAP									
		4,235	72,829.61	18.9400	80,210.90	7,381.29	72,829	7,381	928 1.15

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SYD & JAN SILVERMAN FDN

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

March 29, 2013 - April 30, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
CORE FUND CLASS Y								
SYMBOL: TSFYX Initial Purchase: 07/31/12 Equity 100%								
.8190 Fractional Share		14.51	18.9400	15.51	1.00			1 1.15
WELLS FARGO ADV EMERGING MARKETS EQUITY FD ADMIN	2,456	55,731.76	22.6200	55,554.72	(177.04)	55,709	(155)	243 .43
SYMBOL: EMGYX Initial Purchase: 12/13/10 Equity 100%								
.9250 Fractional Share		21.67	22.6200	20.92	(0.75)			1 .43
Subtotal (Fixed Income)				1,733,499.57				
Subtotal (Equities)				1,656,200.32				
Subtotal (Alternative Investments)				806,253.84				
TOTAL		3,913,757.82		4,195,953.73	282,195.91	292,931	99,226	2.36
TOTAL PRINCIPAL INVESTMENTS		3,936,216.33		4,218,412.24	282,195.91		99,226	2.35

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment.

Cumulative Investment Return: is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

♦Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale".

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SYD & JAN SILVERMAN FDN

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF INCOME INVESTMENTS

March 29, 2013 - April 30, 2013

CASH/MONEY ACCOUNTS	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	0.13	0.13		.13		
BIF MONEY FUND	159.00	159.00	1.0000	159.00		
TOTAL		159.13		159.13		
TOTAL INCOME INVESTMENTS		159.13		159.13		
LONG PORTFOLIO						
TOTAL PRINCIPAL/INCOME INVESTMENTS		3,936,375.46	4,218,571.37	282,195.91	99,226	2.35

YOUR TRUST MANAGEMENT ACCOUNT TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS						
Date	Transaction Type	Quantity	Description	Income Cash	Principal Cash	Income Year To Date
04/01	Dividend		ISHARES RUSSELL 1000 GROWTH INDEX FUND DIVIDEND	783.20		147.22
04/01	Dividend		HOLDING 3412.0000 PAY DATE 04/01/2013	503.22		
04/01	Dividend		ISHARES RUSSELL 1000 VALUE INDEX FUND DIVIDEND	121.17		
04/01	Dividend		HOLDING 1297.0000 PAY DATE 04/01/2013			
04/01	Dividend		ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F DIVIDEND			
04/01	Dividend		HOLDING 611.0000 PAY DATE 04/01/2013			

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SYD AND JAN M SILVERMAN

24-Hour Assistance: (800) MERRILL
Access Code 47-847-02028

YOUR EMA BANK DEPOSIT INTEREST SUMMARY

March 29, 2013 - April 30, 2013

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
FIA Card Services, N.A.	11,274	11,274	01	0.10	11,274
TOTAL ML Bank Deposit Program	11,274			0.10	11,274

YOUR EMA ASSETS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	1.09	1.09		1.09		
ML BANK DEPOSIT PROGRAM	11,274.00	11,274.00	1.0000	11,274.00	1	.01
TOTAL		11,275.09		11,275.09	1	01

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Estimated Annual Current Yield%
PIMCO INVESTMENT GRADE CORPORATE BOND CL P SYMBOL: PBDPX Initial Purchase: REINV Fixed Income 100% 5600 Fractional Share	14	160.06	11.3400	158.76	(1.30)		158	7	4.00
PIMCO MORTGAGE BACKED SECURITIES FD CL P SYMBOL: PMRPX Initial Purchase: REINV Fixed Income 100% .8240 Fractional Share	2	22.26	10.6300	21.26	(1.00)		21	1	2.32
		8.83	10.6300	8.76	(0.07)			1	2.32

PIMCO REAL RETURN FUND CLP	1	11.59	12.3400	12.34	75		12	1	1.79
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SYD AND JAN M SILVERMAN

YOUR EMA ASSETS

March 29, 2013 - April 30, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
SYMBOL: RPLPX Initial Purchase: REINV								
Fixed Income 100%								
.3430 Fractional Share		3.90	12.3400	4.23	33			1 1.79
T ROWE PRICE US TREASURY								
INTERM FD CL NONE	4	24.52	6.2400	24.96	.44		24	1 2.08
SYMBOL: PRTIX Initial Purchase: REINV								
Fixed Income 100%								
8020 Fractional Share		4.76	6.2400	5.00	.24			1 2.08
Subtotal (Fixed Income)								
TOTAL		242.14		241.66	(0.48)		215	14 5.79
Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.								
Cumulative Investment Return: Estimated Market Value minus Total Client Investment.								
Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.								
Initial Purchase: Date of your initial investment in this fund								
Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions								
LONG PORTFOLIO								
Adjusted/Total Cost Basis		11,517.23		Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL				11,516.75	(0.48)		15	.13

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SYD & JAN M SILVERMAN FOUNDATION, INC

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

NEW CANAAN COUNTRY SCHOOL

Street

PO BOX 997

City

NEW CANAAN

State

CT

Zip Code

06840

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

2,500

Name

LOOMIS INSTITUTE

Street

BATCHELDER ROAD

City

WINDSOR

State

CT

Zip Code

06095

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENEARL OPERATING

Amount

2,500

Name

NEW CANAAN COMMUNITY YMCA

Street

564 SOUTH AVE

City

NEW CANAAN

State

CT

Zip Code

06840

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

1,900

Name

NORWALK EDUCATION FOUNDATION INC

Street

125 EAST AVE #320

City

NORWALK

State

CT

Zip Code

06851

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

500

Name

AMERICAN TECHNION SOCIETY

Street

55 EAST 59TH STREET

City

NEW YORK

State

NY

Zip Code

10022

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

5,000

Name

CENTER FOR HEARING AND COMMUNICATION

Street

50 BROADWAY 6 FLOOR

City

NEW YORK

State

NY

Zip Code

10004

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

1,400

SYD & JAN M SILVERMAN FOUNDATION, INC

22-3125351 Page 2 of 7

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

ACTORS FUND OF AMERICA

Street

729 SEVENTH AVENUE 10TH FLOOR

City

NEW YORK

State

NY

Zip Code

10019

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

200

Name

ST VINCENTS HOSPITAL

Street

275 NORTH ST

City

HARRISON

State

NY

Zip Code

10528

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

1,500

Name

CARDINAL MCCLOSKEY COMMUNITY SERVICES

Street

115 EAST STEVENS AVENUE SUITE LL5

City

VALHALLA

State

NY

Zip Code

10595

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

2,000

Name

FAMILY SERVICES OF WESTCHESTER, INC

Street

ONE GATEWAY PLAZA 4TH FLOOR

City

PORT CHESTER

State

NY

Zip Code

10573

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

2,000

Name

THE NEW YORK PUBLIC LIBRARY

Street

476 FIFTH AVENUE

City

NEW YORK

State

NY

Zip Code

10018

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

75

Name

NATIONAL MULTIPLE SCLEROSIS SOCIETY

Street

733 THIRD AVENUE 3RD FLOOR

City

NEW YORK

State

NY

Zip Code

10017

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

700

SYD & JAN M SILVERMAN FOUNDATION, INC

22-3125351 Page 3 of 7

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

MEALS ON WHEELS

Street

311 N ST # 307

City

WHITE PLAINS

State

NY

Zip Code

10605

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

1,000

Name

ST PETERS PARISH

Street

22 BARCLAY STREET

City

NEW YORK

State

NY

Zip Code

10007

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENEARL OPERATING

Amount

1,000

Name

ST JOSEPHS CHURCH

Street

34 SOUTH CHESTNUT STREET

City

NEW PALTZ

State

NY

Zip Code

12561

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

500

Name

THE FOUNDATION OF AIDS RESEARCH

Street

120 WALL ST 13TH FLOOR

City

NEW YORK

State

NY

Zip Code

10005

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

1,000

Name

DOCTORS WITHOUT BORDERS USA INC

Street

333 SEVENTH AVENUE, 2ND FLOOR

City

NEW YORK

State

NY

Zip Code

10001

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

1,000

Name

MICHAEL J FOX FOUNDATION

Street

CHURCH STREET STATION, PO BOX 780

City

NEW YORK

State

NY

Zip Code

10008

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

500

SYD & JAN M SILVERMAN FOUNDATION, INC

22-3125351 Page 4 of 7

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

WHITE PLAINS BEAUTIFICATION FOUNDATION

Street

PO BOX 1614

City

WHITE PLAINS

State

NY

Zip Code

10602

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

5,000

Name

MANLIUS PEBBLE HILL SCHOOL

Street

JAMESVILLE ROAD

City

DEWITT

State

NY

Zip Code

13214

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

10,000

Name

WATKINS GLEN MOTOR RACING

Street

610 S DECATUR STREET

City

WATKINS GLEN

State

NY

Zip Code

14891

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

1,000

Name

BANNER ALZHEIMERS FOUNDATION

Street

1441 N 12TH STREET

City

PHOENIX

State

AZ

Zip Code

85006

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

1,000

Name

CATSKILL CENTER

Street

PO BOX 504

City

ARKVILLE

State

NY

Zip Code

12406

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

100

Name

CLASSICAL SOUTH FLORIDA

Street

330 SW SECOND STREET SUITE 207

City

FORT LAUDERDALE

State

FL

Zip Code

33312

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

360

SYD & JAN M SILVERMAN FOUNDATION, INC

22-3125351 Page 5 of 7

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

WNET

Street

825 8TH AVENUE FL 14

City

NEW YORK

State

NY

Zip Code

10019

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

500

Name

SOUTH EAST EUROPEAN FILM FESTIVAL

Street

7119 W SUNSET BLVD SUITE 306

City

LOS ANGELES

State

CA

Zip Code

90046

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

4,000

Name

OPEN SPACE INSTITUTE

Street

1350 BROADWAY RM 201

City

NEW YORK

State

NY

Zip Code

10018

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

500

Name

UNIVERSITY OF MIAMI

Street

PO BOX 248106

City

CORAL GABLES

State

FL

Zip Code

33124

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

10,000

Name

STONE RIDGE LIBRARY

Street

PO BOX 188

City

STONE RIDGE

State

NY

Zip Code

12484

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

5,000

Name

ROSENSALE THEATRE COLLECTIVE

Street

PO BOX 545

City

ROSENDALE

State

NY

Zip Code

12472

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

500

SYD & JAN M SILVERMAN FOUNDATION, INC

22-3125351 Page 6 of 7

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

HOSPICE OF THE VALLEY

Street

1510 E FLOWER STREET

City

PHOENIX

State

AZ

Zip Code

85014

Foreign Country

Relationship

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

2,000

Name

CENTRAL ARIZONA SHELTER SERVICES, INC

Street

230 S 12TH AVENUE

City

PHOENIX

State

AZ

Zip Code

85007

Foreign Country

Relationship

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

2,000

Name

WASTE NOT, INC

Street

1700 N GRANITE REEF ROAD

City

SCOTTSDALE

State

AZ

Zip Code

85257

Foreign Country

Relationship

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

1,000

Name

SOUTHWEST CENTER FOR HIV/AIDS

Street

1144 E MCDOWELL ROAD SUITE 200

City

PHOENIX

State

AZ

Zip Code

85006

Foreign Country

Relationship

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

1,000

Name

CHARITY SMITH NONPROFIT FOUNDATION

Street

13100 FILLY LANE

City

TRUCKEE

State

CA

Zip Code

96121

Foreign Country

Relationship

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

700

Name

LITERACY VOLUNTEERS OF MARICOPA COUNTY, INC

Street

729 E HATCHER ROAD

City

PHOENIX

State

AZ

Zip Code

85014

Foreign Country

Relationship

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

1,000

SYD & JAN M SILVERMAN FOUNDATION, INC

22-3125351 Page 7 of 7

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

ST MARKS EPISCOPAL CHURCH

Street

111 OENOKE RIDGE

City

NEW CANAAN

State

CT

Zip Code

06840

Foreign Country

Relationship

NONE

Foundation Status

501(C)(3)

Purpose of grant/contribution

GENERAL OPERATING

Amount

1,000

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

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Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV		Amount		Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Totals		Cost, Other Basis and Expenses		Net Gain or Loss
		Long Term CG Distributions	Short Term CG Distributions									Capital Gains/Losses	Other sales	Gross Sales	3,346,853	
1	X			INVESTCO HIGH YIELD FUND	00142C193			7/9/2012		4/25/2013	774	721				53
2	X			INVESTCO HIGH YIELD FUND	00142C193			12/11/2012		4/25/2013	3	3				0
3	X			AQR DIVERSIFIED	00203H602			5/8/2012		6/11/2012	1,003	1,010				-7
4	X			INVESTCO HIGH YIELD FUND	00142C193			7/9/2012		4/10/2013	9,917	9,302				615
5	X			INVESTCO HIGH YIELD FUND	00142C193			12/11/2012		4/10/2013	1	1				0
6	X			AQR DIVERSIFIED	00203H602			5/8/2012		6/11/2012	3	3				0
7	X			AQR DIVERSIFIED	00203H602			5/8/2012		7/31/2012	49,824	50,094				-270
8	X			AQR MANAGED FUTURES	00203H859			5/8/2012		6/11/2012	1	1				0
9	X			AQR MANAGED FUTURES	00203H859			5/8/2012		6/11/2012	1,104	1,063				41
10	X			AQR MANAGED FUTURES	00203H859			5/8/2012		6/11/2012	1	1				0
11	X			AQR MANAGED FUTURES	00203H859			5/8/2012		6/11/2012	1	1				0
12	X			AQR MANAGED FUTURES	00203H859			5/8/2012		7/31/2012	16,433	15,982				471
13	X			AQR MANAGED FUTURES	00203H859			5/8/2012		7/31/2012	8	8				0
14	X			CAMBIAR SMALL CAP FUND	0075W0817			6/11/2012		7/31/2012	3	3				0
15	X			CAMBIAR SMALL CAP FUND	0075W0817			6/11/2012		7/31/2012	24,173	23,886				287
16	X			ALLIANZGI NFJ INTL VALUE	018922849			12/13/2010		5/8/2012	5,227	5,506				-279
17	X			ALLIANZGI NFJ INTL VALUE	018922849			2/10/2012		5/8/2012	5	5				0
18	X			ALLIANZGI NFJ INTL VALUE	018922849			2/10/2012		6/11/2012	2,319	2,544				-225
19	X			ALLIANZGI NFJ INTL VALUE	018922849			2/10/2012		6/11/2012	18	20				-2
20	X			ALLIANZGI NFJ INTL VALUE	018922849			12/13/2010		6/11/2012	9,821	11,094				-1,273
21	X			ALLIANZGI NFJ INTL VALUE	018922849			2/10/2012		6/11/2012	11	12				-1
22	X			ALLIANZGI NFJ INTL VALUE	018922849			2/10/2012		4/25/2013	357	318				39
23	X			ALLIANZGI NFJ INTL VALUE	018922849			2/10/2012		4/25/2013	1	1				0
24	X			ALLIANZGI NFJ INTL VALUE	018922849			4/10/2013		4/25/2013	12	11				1
25	X			ARBITRAGE FUNDS CL I	03875R205			5/8/2012		6/11/2012	1	1				0
26	X			ARBITRAGE FUNDS CL I	03875R205			5/8/2012		6/11/2012	12	12				0
27	X			ARBITRAGE FUNDS CL I	03875R205			5/8/2012		6/11/2012	719	720				-1
28	X			ARBITRAGE FUNDS CL I	03875R205			5/8/2012		7/31/2012	12	12				0
29	X			ARBITRAGE FUNDS CL I	03875R205			5/8/2012		7/31/2012	33,276	33,325				-49
30	X			ARTIO GLOBAL HIGH INCOME	04315J860			9/30/2010		5/8/2012	350	375				-25
31	X			ARTIO GLOBAL HIGH INCOME	04315J860			2/1/2011		5/8/2012	5,554	5,957				-403
32	X			ARTIO GLOBAL HIGH INCOME	04315J860			2/1/2011		5/8/2012	2	3				-1
33	X			ARTIO GLOBAL HIGH INCOME	04315J860			9/15/2010		5/8/2012	16,488	17,632				-1,144
34	X			ARTIO GLOBAL HIGH INCOME	04315J860			10/29/2010		5/8/2012	10	10				0
35	X			ARTIO GLOBAL HIGH INCOME	04315J860			10/29/2010		5/8/2012	320	350				-30
36	X			ARTIO GLOBAL HIGH INCOME	04315J860			9/15/2010		5/8/2012	6	6				0
37	X			ARTIO GLOBAL HIGH INCOME	04315J860			9/22/2011		7/9/2012	18,528	18,298				230
38	X			ARTIO GLOBAL HIGH INCOME	04315J860			6/11/2012		7/9/2012	10	10				0
39	X			ARTIO GLOBAL HIGH INCOME	04315J860			11/23/2011		7/9/2012	10	10				0
40	X			ARTIO GLOBAL HIGH INCOME	04315J860			6/3/2011		7/9/2012	16,315	17,666				-1,351
41	X			ARTIO GLOBAL HIGH INCOME	04315J860			6/11/2012		7/9/2012	316	311				5
42	X			ARTIO GLOBAL HIGH INCOME	04315J860			6/3/2011		7/9/2012	10	10				0
43	X			ARTIO GLOBAL HIGH INCOME	04315J860			11/23/2011		7/9/2012	12,214	11,986				228
44	X			ARTIO GLOBAL HIGH INCOME	04315J860			2/1/2011		7/9/2012	6,591	7,163				-572
45	X			ARTIO GLOBAL HIGH INCOME	04315J860			6/11/2012		7/9/2012	6	6				0
46	X			BARON SMALL CAP FD CL	068278803			12/13/2010		5/8/2012	2,753	2,568				185
47	X			BARON SMALL CAP FD CL	068278803			2/10/2012		5/8/2012	3	3				0
48	X			BARON SMALL CAP FD CL	068278803			12/13/2010		5/8/2012	1	1				0
49	X			BARON SMALL CAP FD CL	068278803			2/10/2012		6/11/2012	11,496	11,284				212
50	X			BARON SMALL CAP FD CL	068278803			2/10/2012		6/11/2012	24,768	25,882				-1,114
51	X			BARON SMALL CAP FD CL	068278803			12/13/2010		6/11/2012	23	22				1
52	X			BLACKROCK EMERGING MKT	091936690			2/10/2012		7/31/2012	13,992	13,157				835
53	X			BLACKROCK EMERGING MKT	091936690			5/8/2012		7/31/2012	5	5				0
54	X			BLACKROCK EMERGING MKT	091936690			2/10/2012		4/25/2013	627	595				32
55	X			BLACKROCK EMERGING MKT	091936690			4/10/2013		4/25/2013	1	1				0
56	X			BLACKROCK GLOBAL LONG	091936732			5/8/2012		6/11/2012	683	686				-3
57	X			BLACKROCK GLOBAL LONG	091936732			5/8/2012		6/11/2012	1	1				0
58	X			BLACKROCK GLOBAL LONG	091936732			5/8/2012		6/11/2012	3	3				0
59	X			BLACKROCK GLOBAL LONG	091936732			5/8/2012		7/31/2012	10	9				1
60	X			BLACKROCK GLOBAL LONG	091936732			5/8/2012		7/31/2012	33,633	33,372				261
61	X			BLACKROCK GLOBAL	09251T509			1/29/2010		5/7/2012	8,525	7,774				751
62	X			BLACKROCK GLOBAL	09251T509			5/7/2010		5/7/2012	36,707	35,381				3,326
63	X			BLACKROCK GLOBAL	09251T509			2/10/2012		5/7/2012	3	2				1
64	X			BLACKROCK GLOBAL	09251T509			2/10/2012		5/8/2012	3	2				1
65	X			BLACKROCK GLOBAL	09251T509			2/10/2012		5/8/2012	191	176				15
66	X			BLACKROCK GLOBAL	09251T509			2/10/2012		6/11/2012	4	4				0
67	X			BLACKROCK EMERGING MKT	091936690			2/10/2012		6/11/2012	960	938				22
68	X			BLACKROCK EMERGING MKT	091936690			5/8/2012		6/11/2012	4	4				0

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV		Long Term CG Distributions		Amount		CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Totals		Gross Sales		Cost, Other Basis and Expenses		Net Gain or Loss	
		Short Term CG Distributions		17,499								Capital Gains/Losses		3,346,853		3,109,351		237,502	
		Description										Gross Sales	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss	
69	X	BLACKROCK GLOBAL			09251T509				5/7/2010		6/11/2012	46,814	44,700					2,114	
70	X	BLACKROCK GLOBAL			09251T509				5/7/2010		4/25/2013	2,671	2,229					442	
71	X	BLACKROCK GLOBAL			09251T509				5/7/2010		4/25/2013	9	8					1	
72	X	BLACKROCK GLOBAL			09251T509				4/10/2013		4/25/2013	4	4					0	
73	X	COLUMBIA SELIGMAN			19766H338				5/8/2012		6/11/2012	1,027	1,107					-80	
74	X	COLUMBIA SELIGMAN			19766H338				5/8/2012		6/11/2012	40	40					-4	
75	X	COLUMBIA SELIGMAN			19766H338				2/10/2012		6/11/2012	45	45					0	
76	X	COLUMBIA SELIGMAN			19766H338				2/10/2012		6/11/2012	2,902	3,220					-318	
77	X	COLUMBIA SELIGMAN			19766H338				5/7/2010		6/11/2012	27,326	23,993					3,333	
78	X	CREDIT SUISSE COMMODITY			22544R305				6/11/2012		7/31/2012	8	8					0	
79	X	CREDIT SUISSE COMMODITY			22544R305				6/11/2012		7/31/2012	3	3					0	
80	X	CREDIT SUISSE COMMODITY			22544R305				6/11/2012		7/31/2012	622	558					64	
81	X	CREDIT SUISSE COMMODITY			22544R305				9/22/2011		7/31/2012	1,277	1,324					-47	
82	X	CREDIT SUISSE COMMODITY			22544R305				6/3/2011		7/31/2012	15,229	17,793					-2,564	
83	X	CREDIT SUISSE COMMODITY			22544R305				5/8/2012		7/31/2012	1,260	1,200					60	
84	X	CREDIT SUISSE COMMODITY			22544R305				6/11/2012		7/31/2012	8	8					0	
85	X	DOUBLELINE TOTAL RETURN			258620103				5/9/2011		5/8/2012	53,620	53,047					573	
86	X	DOUBLELINE TOTAL RETURN			258620103				2/10/2012		5/8/2012	9	9					0	
87	X	DOUBLELINE TOTAL RETURN			258620103				2/10/2012		6/11/2012	2	2					0	
88	X	DOUBLELINE TOTAL RETURN			258620103				5/9/2011		6/11/2012	3,338	3,308					30	
89	X	DOUBLELINE TOTAL RETURN			258620103				5/9/2011		6/11/2012	1	1					0	
90	X	DOUBLELINE TOTAL RETURN			258620103				6/3/2011		12/11/2012	9,434	9,235					199	
91	X	DOUBLELINE TOTAL RETURN			258620103				6/3/2011		12/11/2012	3	3					0	
92	X	DOUBLELINE TOTAL RETURN			258620103				5/9/2011		12/11/2012	8,512	8,303					209	
93	X	DOUBLELINE TOTAL RETURN			258620103				10/12/2012		12/11/2012	8	8					0	
94	X	DOUBLELINE TOTAL RETURN			258620103				6/3/2011		4/10/2013	5	4					1	
95	X	DOUBLELINE TOTAL RETURN			258620103				6/3/2011		4/10/2013	8,675	8,500					175	
96	X	DOUBLELINE TOTAL RETURN			258620103				9/22/2011		4/10/2013	6,674	6,645					29	
97	X	DOUBLELINE TOTAL RETURN			258620103				2/10/2012		4/10/2013	159	156					3	
98	X	DOUBLELINE TOTAL RETURN			258620103				6/3/2011		4/25/2013	3	3					0	
99	X	DOUBLELINE TOTAL RETURN			258620103				2/10/2012		4/25/2013	1,109	1,083					26	
100	X	DREYFUS EMERGING MKTS			261980494				6/11/2012		7/9/2012	1,995	1,910					85	
101	X	DREYFUS EMERGING MKTS			261980494				6/11/2012		7/9/2012	14	14					0	
102	X	DREYFUS EMERGING MKTS			261980494				5/8/2012		7/9/2012	6	5					1	
103	X	DREYFUS EMERGING MKTS			261980494				5/8/2012		7/9/2012	94,324	95,389					-1,065	
104	X	DREYFUS EMERGING MKTS			261980494				12/11/2012		4/10/2013	13,001	12,800					201	
105	X	DREYFUS EMERGING MKTS			261980494				12/11/2012		4/10/2013	2	2					0	
106	X	FIRST EAGLE			32008F606				5/8/2012		6/11/2012	12	13					-1	
107	X	FIRST EAGLE			32008F606				5/7/2010		6/11/2012	16	14					2	
108	X	FIRST EAGLE			32008F606				5/7/2010		6/11/2012	46,501	41,432					5,069	
109	X	FIRST EAGLE			32008F606				5/7/2010		4/25/2013	882	689					193	
110	X	AMERICAN GROWTH FUND			399874825				4/10/2013		5/8/2012	26	25					1	
111	X	AMERICAN GROWTH FUND			399874825				2/10/2012		5/7/2012	48,950	48,950					-2,647	
112	X	AMERICAN GROWTH FUND			399874825				5/7/2012		5/7/2012	14	13					1	
113	X	AMERICAN GROWTH FUND			399874825				2/10/2011		5/7/2012	27,712	27,218					494	
114	X	AMERICAN GROWTH FUND			399874825				2/10/2012		5/8/2012	1	1					0	
115	X	AMERICAN GROWTH FUND			399874825				2/10/2012		5/8/2012	9	9					0	
116	X	AMERICAN GROWTH FUND			399874825				2/10/2012		5/8/2012	64	63					1	
117	X	AMERICAN GROWTH FUND			399874825				2/10/2012		6/11/2012	71,882	74,529					-2,647	
118	X	AMERICAN GROWTH FUND			399874825				2/10/2012		6/11/2012	22	23					-1	
119	X	SHARES MSCI JAPAN			464286848				4/10/2013		4/25/2013	1,594	1,542					52	
120	X	SHARE BARCLYS 20+ YR			464287432				6/3/2011		5/8/2012	15,197	12,362					2,835	
121	X	SHARE BARCLYS 20+ YR			464287432				6/3/2011		6/11/2012	3,652	2,801					851	
122	X	SHARES 20+ YEAR			464287432				6/3/2011		6/10/2013	3,253	2,608					645	
123	X	SHARES 20+ YEAR			464287432				9/22/2011		4/10/2013	15,905	16,059					-154	
124	X	SHARES 20+ YEAR			464287432				9/22/2011		4/25/2013	367	365					2	
125	X	SHARES BARCLYS 1-3 YEAR			464287457				2/10/2012		5/8/2012	26,010	26,014					-4	
126	X	SHARES BARCLYS 1-3 YEAR			464287457				2/10/2012		6/11/2012	9,033	9,037					-4	
127	X	SHARES 1-3 YEAR			464287457				2/10/2012		4/10/2013	20,608	20,608					0	
128	X	SHARES 1-3 YEAR			464287457				2/10/2012		4/25/2013	591	591					0	
129	X	SHARES S&P GLOBAL 100			464287572				5/7/2010		4/10/2013	22,710	17,959					4,751	
130	X	SHARES S&P GLOBAL 100			464287572				5/8/2012		4/10/2013	15,484	12,892					2,592	
131	X	SHARES S&P GLOBAL 100			464287572				5/8/2012		4/10/2013	138	121					17	
132	X	SHARES RUSSELL 1000			464287598				2/10/2011		4/10/2013	15,049	12,286					2,763	
133	X	SHARES RUSSELL 1000			464287598				1/23/2011		4/10/2013	10,387	7,433					2,954	
134	X	SHARES RUSSELL 1000			464287598				1/23/2011		4/10/2013	8,015	6,060					1,955	
135	X	SHARES RUSSELL 1000			464287598				12/12/2010		4/25/2013	82	62					20	
136	X	SHARES RUSSELL 1000			464287614				12/12/2010		5/8/2012	1,795	1,598					197	

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV		Long Term CG Distributions		Amount		Purchaser		Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Totals		Capital Gains/Losses		Gross Sales		Cost, Other Basis and Expenses		Net Gain or Loss	
		Short Term CG Distributions		17,499	0								Other sales		3,109,351		3,346,853		0		0	
		Description	CUSIP #										Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss				
137	X	ISHARES RUSSELL 1000	464287614		12/13/2010			4/10/2013			30,384	24,196						6,188				
138	X	ISHARES RUSSELL 1000	464287614		2/10/2012			4/10/2013			8,599	7,537						1,062				
139	X	ISHARES RUSSELL 1000	464287614		2/10/2012			4/25/2013				565										
140	X	JH GLOBAL	47803U509		6/11/2012			4/25/2013			1,183	989						194				
141	X	JH GLOBAL	47803U509		4/10/2013			4/25/2013			1	1						0				
142	X	JP MORGAN HIGHBRIDGE	4812A2439		5/6/2012			6/11/2012			814	816						-2				
143	X	JP MORGAN HIGHBRIDGE	4812A2439		5/6/2012			7/31/2012			2	2						0				
144	X	JP MORGAN HIGHBRIDGE	4812A2439		5/6/2012			7/31/2012			32,861	33,240						-379				
145	X	JP MORGAN HIGHBRIDGE	4812A2439		5/6/2012			7/31/2012			12	12						0				
146	X	ISHARES S&P GLOBAL 100	464287572		6/11/2012			4/10/2013			1,170	980						0				
147	X	ISHARES S&P GLOBAL 100	464287572		10/12/2012			4/10/2013			9,703	8,556						190				
148	X	KAYNE ANDERSON MLP	486606106		2/1/2011			6/11/2012			941	955						847				
149	X	KAYNE ANDERSON MLP	486606106		2/1/2011			4/10/2013			106	88						-14				
150	X	LOOMIS SAYLES BOND FD	543495840		2/17/2010			5/6/2012			1	1						0				
151	X	LOOMIS SAYLES BOND FD	543495840		2/17/2010			5/6/2012			48,679	44,468						4,211				
152	X	LOOMIS SAYLES BOND FD	543495840		2/2/2010			5/6/2012			10,379	9,523						856				
153	X	LOOMIS SAYLES BOND FD	543495840		2/2/2010			5/6/2012			9	9						0				
154	X	LOOMIS SAYLES BOND FD	543495840		2/23/2010			7/31/2012			88	80						8				
155	X	LOOMIS SAYLES BOND FD	543495840		4/21/2010			7/31/2012			1,228	1,172						56				
156	X	LOOMIS SAYLES BOND FD	543495840		2/17/2010			7/31/2012			34,430	31,581						2,849				
157	X	LOOMIS SAYLES BOND FD	543495840		6/11/2012			7/31/2012			12	11						1				
158	X	LOOMIS SAYLES BOND FD	543495840		6/11/2012			7/31/2012			73	70						3				
159	X	LOOMIS SAYLES BOND FD	543495840		6/11/2012			7/31/2012			15	13						2				
160	X	LOOMIS SAYLES BOND FD	543495840		9/22/2011			7/31/2012			57,033	54,647						2,166				
161	X	LOOMIS SAYLES BOND FD	543495840		9/22/2011			7/31/2012			15	14						1				
162	X	LOOMIS SAYLES BOND FD	543495840		6/3/2011			7/31/2012			47,544	48,617						-1,073				
163	X	LOOMIS SAYLES BOND FD	543495840		10/26/2010			7/31/2012			1,067	1,049						18				
164	X	LOOMIS SAYLES BOND FD	543495840		9/21/2010			7/31/2012			1,111	1,072						39				
165	X	LOOMIS SAYLES BOND FD	543495840		8/24/2010			7/31/2012			1,111	1,053						58				
166	X	LOOMIS SAYLES BOND FD	543495840		8/24/2010			7/31/2012			15	14						1				
167	X	LOOMIS SAYLES BOND FD	543495840		6/22/2010			7/31/2012			1,301	1,210						91				
168	X	LOOMIS SAYLES BOND FD	543495840		5/27/2010			7/31/2012			15	13						2				
169	X	LOOMIS SAYLES BOND FD	543495840		5/26/2010			7/31/2012			1,330	1,218						112				
170	X	LOOMIS SAYLES BOND FD	543495840		4/27/2010			7/31/2012			102	98						4				
171	X	LOOMIS SAYLES BOND FD	543495840		3/24/2010			7/31/2012			15	14						1				
172	X	LOOMIS SAYLES BOND FD	543495840		5/7/2010			7/31/2012			12,500	11,688						812				
173	X	LOOMIS SAYLES BOND FD	543495840		3/24/2010			7/31/2012			15	14						1				
174	X	LOOMIS SAYLES BOND FD	543495840		2/18/2010			7/31/2012			15	13						2				
175	X	LOOMIS SAYLES BOND FD	543495840		3/23/2010			7/31/2012			1,126	1,064						62				
176	X	LOOMIS SAYLES BOND FD	543495840		5/3/2010			7/31/2012			15	14						4				
177	X	LOOMIS SAYLES BOND FD	543495840		7/27/2010			7/31/2012			1,228	1,162						66				
178	X	LOOMIS SAYLES BOND FD	543495840		3/23/2010			7/31/2012			88	83						5				
179	X	LOOMIS SAYLES BOND FD	543495840		2/3/2010			7/31/2012			1,140	1,046						94				
180	X	LORD ABBETT INCOME	543916365		7/31/2012			4/10/2013			18,615	18,369						246				
181	X	LORD ABBETT INCOME	543916365		12/11/2012			4/10/2013			7	7						0				
182	X	LORD ABBETT INCOME	543916365		7/31/2012			4/25/2013			1	1						0				
183	X	LORD ABBETT INCOME	543916365		7/31/2012			4/25/2013			1,285	1,264						21				
184	X	MFS EMERGING MARKETS	55273E640		12/11/2012			4/25/2013			0	0						0				
185	X	MFS EMERGING MARKETS	55273E640		12/11/2012			4/10/2013			6	6						0				
186	X	MFS EMERGING MARKETS	55273E640		12/11/2012			4/10/2013			7	7						0				
187	X	MFS EMERGING MARKETS	55273E640		12/11/2012			4/10/2013			7,152	7,222						-70				
188	X	MAINSTAY HIGH YIELD	56062X708		3/31/2010			5/6/2012			6	6						0				
189	X	MAINSTAY HIGH YIELD	56062X708		9/22/2011			5/6/2012			1	1						0				
190	X	MAINSTAY HIGH YIELD	56062X708		9/15/2010			5/6/2012			11,404	11,118						286				
191	X	MAINSTAY HIGH YIELD	56062X708		8/31/2010			5/6/2012			227	219						8				
192	X	MAINSTAY HIGH YIELD	56062X708		3/6/2010			5/6/2012			6	6						0				
193	X	MAINSTAY HIGH YIELD	56062X708		7/30/2010			5/6/2012			227	219						8				
194	X	MAINSTAY HIGH YIELD	56062X708		6/1/2010			5/6/2012			6	6						0				
195	X	MAINSTAY HIGH YIELD	56062X708		5/7/2010			5/6/2012			6,195	5,905						290				
196	X	MAINSTAY HIGH YIELD	56062X708		4/30/2010			5/6/2012			179	173						6				
197	X	MAINSTAY HIGH YIELD	56062X708		3/30/2010			5/6/2012			161	155						6				
198	X	MAINSTAY HIGH YIELD	56062X708		3/6/2010			5/6/2012			6	6						0				
199	X	MAINSTAY HIGH YIELD	56062X708		3/5/2010			5/6/2012			658	627						31				
200	X	MAINSTAY HIGH YIELD	56062X708		2/26/2010			5/6/2012			167	158						9				
201	X	MAINSTAY HIGH YIELD	56062X708		2/22/2010			5/6/2012			2,745	1,684						1,061				
202	X	MAINSTAY HIGH YIELD	56062X708		2/26/2010			5/6/2012			12	11						1				
203	X	MAINSTAY HIGH YIELD	56062X708		6/29/2010			5/6/2012			227	215						12				
204	X	MAINSTAY HIGH YIELD	56062X708		5/3/2010			5/6/2012			6	6						0				

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV	Long Term CG Distributions	Short Term CG Distributions	Amount	CUSIP #	Description	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Totals			Cost Other Basis and Expenses			Net Gain or Loss	
											Capital Gains/Losses	Gross Sales	Other Sales	Gross Sales	Cost or Other Basis	Valuation Method		Expense of Sale and Cost of Improvements
205	X		17,499	56062X708	MAINSTAY HIGH YIELD			3/30/2010		5/8/2012	18	17						237,502
206	X		0	56062X708	MAINSTAY HIGH YIELD			9/15/2010		5/8/2012	6	6						0
207	X		0	56062X708	MAINSTAY HIGH YIELD			5/28/2010		5/8/2012	227	214						13
208	X		0	56062X708	MAINSTAY HIGH YIELD			9/22/2011		6/11/2012	3	3						0
209	X		0	56062X708	MAINSTAY HIGH YIELD			9/15/2010		6/11/2012	500	496						4
210	X		0	56062X708	MAINSTAY HIGH YIELD			9/15/2010		6/11/2012	2	2						0
211	X		0	56062X708	MAINSTAY HIGH YIELD			9/15/2010		4/10/2013	8,784	8,273						511
212	X		0	56062X708	MAINSTAY HIGH YIELD			9/29/2010		4/10/2013	367	344						23
213	X		0	56062X708	MAINSTAY HIGH YIELD			10/29/2010		4/10/2013	3	3						0
214	X		0	56062X708	MAINSTAY HIGH YIELD			10/29/2010		4/10/2013	248	237						11
215	X		0	56062X708	MAINSTAY HIGH YIELD			10/29/2010		4/10/2013	6	6						0
216	X		0	56062X708	MAINSTAY HIGH YIELD			12/11/2012		4/10/2013	2	2						0
217	X		0	56062X708	MAINSTAY HIGH YIELD			10/29/2010		4/25/2013	1	1						0
218	X		0	56062X708	MAINSTAY HIGH YIELD			10/29/2010		4/25/2013	106	101						5
219	X		0	56062X708	MAINSTAY HIGH YIELD			5/9/2011		4/25/2013	654	633						21
220	X		0	56064B852	MAINSTAY MARKETFIELD			8/6/2010		4/25/2013	12	9						3
221	X		0	56064B852	MAINSTAY MARKETFIELD			8/6/2010		4/25/2013	1,810	1,345						465
222	X		0	56064B852	MAINSTAY MARKETFIELD			4/10/2013		4/25/2013	1	1						0
223	X		0	589509108	THE MERGER FUND CL N			5/8/2012		6/11/2012	394	395						-1
224	X		0	589509108	THE MERGER FUND CL N			5/8/2012		6/11/2012	6	6						0
225	X		0	589509108	THE MERGER FUND CL N			5/8/2012		7/31/2012	16,632	16,631						1
226	X		0	589509108	THE MERGER FUND CL N			5/8/2012		7/31/2012	3	3						0
227	X		0	67065W639	NUVEEN SANTA BARBARA			2/15/2012		4/10/2013	29,255	25,259						3,996
228	X		0	67065W639	NUVEEN SANTA BARBARA			10/12/2012		4/10/2013	10	9						1
229	X		0	67065W639	NUVEEN SANTA BARBARA			2/15/2012		4/25/2013	323	281						42
230	X		0	67065W639	NUVEEN SANTA BARBARA			10/12/2012		4/25/2013	13	11						2
231	X		0	714199106	PERMANENT PORTFOLIO FUND			5/8/2012		6/11/2012	35	36						-1
232	X		0	714199106	PERMANENT PORTFOLIO FUND			5/8/2012		6/11/2012	93	95						-2
233	X		0	714199106	PERMANENT PORTFOLIO FUND			5/8/2012		6/11/2012	2	2						0
234	X		0	714199106	PERMANENT PORTFOLIO FUND			5/8/2012		7/31/2012	45	46						-1
235	X		0	714199106	PERMANENT PORTFOLIO FUND			5/8/2012		7/31/2012	26,668	27,075						-207
236	X		0	72201M438	PIMCO ALL ASSET ALL			6/11/2012		7/31/2012	1	1						0
237	X		0	72201M438	PIMCO ALL ASSET ALL			8/4/2011		7/31/2012	7	7						0
238	X		0	72201M438	PIMCO ALL ASSET ALL			8/4/2011		7/31/2012	16,126	16,439						-313
239	X		0	72201M438	PIMCO ALL ASSET ALL			10/12/2012		12/11/2012	11	11						0
240	X		0	72201M438	PIMCO ALL ASSET ALL			8/4/2011		12/11/2012	2,092	2,020						72
241	X		0	72201M438	PIMCO ALL ASSET ALL			8/4/2011		12/11/2012	0	0						0
242	X		0	72201M438	PIMCO ALL ASSET ALL			8/4/2011		4/25/2013	6	6						0
243	X		0	72201M438	PIMCO ALL ASSET ALL			8/4/2011		4/25/2013	942	938						-4
244	X		0	72201M438	PIMCO ALL ASSET ALL			4/10/2013		4/25/2013	4	4						0
245	X		0	72201M636	PIMCO REAL RETURN FUND			11/1/2010		5/8/2012	12	11						1
246	X		0	72201M636	PIMCO REAL RETURN FUND			11/1/2010		5/8/2012	14,847	14,422						425
247	X		0	72201M636	PIMCO REAL RETURN FUND			10/29/2010		5/8/2012	24	24						0
248	X		0	72201M636	PIMCO REAL RETURN FUND			10/29/2010		5/8/2012	1	1						0
249	X		0	72201M636	PIMCO REAL RETURN FUND			11/1/2010		6/11/2012	2,089	2,006						83
250	X		0	72201M636	PIMCO REAL RETURN FUND			10/29/2010		6/11/2012	0	0						0
251	X		0	72201M636	PIMCO REAL RETURN FUND			10/12/2012		12/11/2012	1	1						0
252	X		0	72201M636	PIMCO REAL RETURN FUND			11/1/2010		12/11/2012	818	760						58
253	X		0	72201M636	PIMCO REAL RETURN FUND			11/1/2010		4/10/2013	3,617	3,502						115
254	X		0	72201M636	PIMCO REAL RETURN FUND			10/12/2012		4/10/2013	3	3						0
255	X		0	72201M636	PIMCO REAL RETURN FUND			11/1/2010		4/25/2013	3	2						1
256	X		0	72201M636	PIMCO REAL RETURN FUND			11/1/2010		4/25/2013	222	214						8
257	X		0	72201M636	PIMCO REAL RETURN FUND			10/12/2012		4/25/2013	3	3						0
258	X		0	72201M651	PIMCO MORTGAGE BACKED			12/13/2010		5/8/2012	41,386	40,961						425
259	X		0	72201M651	PIMCO MORTGAGE BACKED			4/30/2010		5/8/2012	0	0						0
260	X		0	72201M651	PIMCO MORTGAGE BACKED			12/13/2010		6/11/2012	655	648						7
261	X		0	72201M651	PIMCO MORTGAGE BACKED			12/13/2010		6/11/2012	1	1						0
262	X		0	72201M651	PIMCO MORTGAGE BACKED			4/30/2010		6/11/2012	3	3						0
263	X		0	72201M651	PIMCO MORTGAGE BACKED			10/12/2012		12/11/2012	3	3						0
264	X		0	72201M651	PIMCO MORTGAGE BACKED			10/12/2012		12/11/2012	9,687	9,696						-9
265	X		0	72201M651	PIMCO MORTGAGE BACKED			10/12/2012		12/11/2012	11	11						0
266	X		0	72201M651	PIMCO MORTGAGE BACKED			6/3/2011		12/11/2012	19,548	19,548						0
267	X		0	72201M651	PIMCO MORTGAGE BACKED			6/3/2011		12/11/2012	11	11						0
268	X		0	72201M651	PIMCO MORTGAGE BACKED			12/13/2010		12/11/2012	7,352	7,190						162
269	X		0	72201M685	PIMCO INVESTMENT GRADE			2/17/2010		5/8/2012	31,053	31,484						-431
270	X		0	72201M685	PIMCO INVESTMENT GRADE			2/22/2010		5/8/2012	31,421	32,234						-813
271	X		0	72201M685	PIMCO INVESTMENT GRADE			2/22/2010		5/8/2012	5	5						0
272	X		0	72201M685	PIMCO INVESTMENT GRADE			2/17/2010		6/11/2012	3,805	3,861						-56

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Totals										Net Gain or Loss	
Capital Gains/Losses										Net Gain or Loss	
Other sales										Net Gain or Loss	
Gross Sales										Net Gain or Loss	
3,346,853										3,109,351	
0										0	
237,502										237,502	
Long Term CG Distributions										Long Term CG Distributions	
Short Term CG Distributions										Short Term CG Distributions	
Amount										Amount	
17,499										17,499	
0										0	
Check "X" to include in Part IV										Check "X" to include in Part IV	
Description										Description	
CUSIP #										CUSIP #	
Purchaser										Purchaser	
Check "X" if Purchaser is a Business										Check "X" if Purchaser is a Business	
Date Acquired										Date Acquired	
Acquisition Method										Acquisition Method	
Date Sold										Date Sold	
Gross Sales Price										Gross Sales Price	
Cost or Other Basis										Cost or Other Basis	
Valuation Method										Valuation Method	
Expense of Sale and Cost of Improvements										Expense of Sale and Cost of Improvements	
Depreciation										Depreciation	
Adjustments										Adjustments	
Net Gain or Loss										Net Gain or Loss	
273	X	PIMCO INVESTMENT GRADE	72201M685							0	0
274	X	PIMCO INVESTMENT GRADE	72201M685							0	398
275	X	PIMCO INVESTMENT GRADE	72201M685							0	0
276	X	PIMCO INVESTMENT GRADE	72201M685							0	0
277	X	PIMCO INVESTMENT GRADE	72201M685							0	49
278	X	PIMCO INVESTMENT GRADE	72201M685							0	0
279	X	PIMCO EMERGING MARKETS	72201M818							0	0
280	X	PIMCO EMERGING MARKETS	72201M818							0	0
281	X	PIMCO EMERGING MARKETS	72201M818							0	0
282	X	PIMCO EMERGING MARKETS	72201M818							0	0
283	X	PIMCO EMERGING MARKETS	72201M818							0	339
284	X	PIMCO EMERGING MARKETS	72201M818							0	1
285	X	PIMCO EMERGING MARKETS	72201M818							0	705
286	X	PIMCO EMERGING MARKETS	72201M818							0	3,763
287	X	PIMCO EMERGING MARKETS	72201M818							0	27
288	X	PIMCO EMERGING MARKETS	72201M818							0	1
289	X	PIMCO EMERGING MARKETS	72201M818							0	4,231
290	X	PIMCO GLOBAL MULTI	72201P605							0	-5,203
291	X	PIMCO GLOBAL MULTI	72201P605							0	-1
292	X	PIMCO GLOBAL MULTI	72201P605							0	1,127
293	X	PIMCO GLOBAL MULTI	72201P605							0	2
294	X	PIMCO GLOBAL MULTI	72201P605							0	7
295	X	PIMCO GLOBAL MULTI	72201P605							0	0
296	X	PIMCO GLOBAL MULTI	72201P605							0	704
297	X	PIMCO GLOBAL MULTI	72201P605							0	-385
298	X	PIMCO GLOBAL MULTI	72201P605							0	-8
299	X	PIMCO GLOBAL MULTI	72201P605							0	12
300	X	POWERSHARES S AND P SC	73937B803							0	-153
301	X	POWERSHARES S AND P SC	73937B803							0	889
302	X	POWERSHARES S AND P SC	73937B803							0	0
303	X	POWERSHARES S AND P SC	73937B803							0	1,824
304	X	PRINCIPAL PREFERRED	74255L787							0	0
305	X	PRINCIPAL PREFERRED	74255L787							0	0
306	X	PRINCIPAL PREFERRED	74255L787							0	0
307	X	PRINCIPAL PREFERRED	74255L787							0	3
308	X	PRINCIPAL PREFERRED	74255L787							0	0
309	X	PRINCIPAL PREFERRED	74255L787							0	2
310	X	PRINCIPAL PREFERRED	74255L787							0	1
311	X	PRINCIPAL PREFERRED	74255L787							0	1
312	X	PRINCIPAL PREFERRED	74255L787							0	97
313	X	PRINCIPAL PREFERRED	74255L787							0	0
314	X	PRINCIPAL PREFERRED	74255L787							0	0
315	X	PRINCIPAL PREFERRED	74255L787							0	28
316	X	PRINCIPAL PREFERRED	74255L787							0	872
317	X	PRINCIPAL PREFERRED	74255L787							0	-5,000
318	X	PRINCIPAL PREFERRED	74255L787							0	5
319	X	PRINCIPAL PREFERRED	74255L787							0	6
320	X	PRINCIPAL PREFERRED	74255L787							0	0
321	X	PRINCIPAL PREFERRED	74255L787							0	0
322	X	PRINCIPAL PREFERRED	74255L787							0	11
323	X	PRINCIPAL PREFERRED	74255L787							0	515
324	X	PRINCIPAL PREFERRED	74255L787							0	16
325	X	PRINCIPAL PREFERRED	74255L787							0	1
326	X	PRINCIPAL PREFERRED	74255L787							0	20
327	X	PRINCIPAL PREFERRED	74255L787							0	0
328	X	PRINCIPAL PREFERRED	74255L787							0	608
329	X	PRINCIPAL PREFERRED	74255L787							0	0
330	X	PRINCIPAL PREFERRED	74255L787							0	258
331	X	PRINCIPAL PREFERRED	74255L787							0	0
332	X	PRINCIPAL PREFERRED	74255L787							0	211
333	X	PRINCIPAL PREFERRED	74255L787							0	0
334	X	PRINCIPAL PREFERRED	74255L787							0	7
335	X	PRINCIPAL PREFERRED	74255L787							0	0
336	X	PRINCIPAL PREFERRED	74255L787							0	19
337	X	PRINCIPAL PREFERRED	74255L787							0	1
338	X	PRINCIPAL PREFERRED	74255L787							0	1
339	X	T ROWE PRICE US TREASURY	77957T107							0	1,540
340	X	T ROWE PRICE US TREASURY	77957T107							0	0

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions			Amount		Totals										Net Gain or Loss			
Short Term CG Distributions			17,489		Capital Gains/Losses										3,109,351		237,502	
			0												0		0	
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss				
341	T ROWE PRICE US TREASURY	779571107			2/1/2011		5/8/2012	8,960	8,266				0	594				
342	T ROWE PRICE US TREASURY	779571107			2/1/2011		5/8/2012	2	1				0	1				
343	T ROWE PRICE US TREASURY	779571107			5/9/2011		6/11/2012	4,247	3,986				0	261				
344	T ROWE PRICE US TREASURY	779571107			5/9/2011		6/11/2012	2	2				0	0				
345	T ROWE PRICE US TREASURY	779571107			5/9/2011		4/10/2013	14,941	14,292				0	649				
346	T ROWE PRICE US TREASURY	779571107			12/11/2012		4/10/2013	4	5				0	-1				
347	T ROWE PRICE US TREASURY	779571107			5/9/2011		4/25/2013	3	3				0	0				
348	T ROWE PRICE US TREASURY	779571107			5/9/2011		4/25/2013	430	410				0	20				
349	T ROWE PRICE US TREASURY	779571107			12/11/2012		4/25/2013	0	0				0	0				
350	TCW TOTAL RETURN	87234N880			2/10/2012		5/8/2012	1	1				0	0				
351	TCW TOTAL RETURN	87234N880			2/1/2011		5/8/2012	7	7				0	0				
352	TCW TOTAL RETURN	87234N880			2/1/2011		5/8/2012	54,346	54,565				0	-219				
353	TCW TOTAL RETURN	87234N880			2/1/2011		6/11/2012	10	10				0	0				
354	TCW TOTAL RETURN	87234N880			2/1/2011		6/11/2012	2,124	2,135				11	0				
355	TCW TOTAL RETURN	87234N880			2/1/2011		6/11/2012	3	3				0	0				
356	TCW TOTAL RETURN	87234N880			2/1/2011		6/11/2012	1,294	1,301				0	-7				
357	TCW TOTAL RETURN	87234N880			2/1/2011		6/11/2012	3	3				0	0				
358	TCW TOTAL RETURN	87234N880			10/12/2012		12/11/2012	8	8				0	0				
359	TCW TOTAL RETURN	87234N880			2/1/2011		12/11/2012	19,022	18,321				0	701				
360	TCW TOTAL RETURN	87234N880			2/1/2011		4/10/2013	15,527	14,955				0	572				
361	TCW TOTAL RETURN	87234N880			10/12/2012		4/10/2013	0	0				0	0				
362	TCW TOTAL RETURN	87234N880			2/1/2011		4/25/2013	1,339	1,281				0	58				
363	TCW TOTAL RETURN	87234N880			10/12/2012		4/25/2013	2	2				0	0				
364	TEMPLETON GLBL BOND FD	880208400			2/10/2012		5/8/2012	6	6				0	0				
365	TEMPLETON GLBL BOND FD	880208400			9/22/2011		5/8/2012	12,872	12,484				0	388				
366	TEMPLETON GLBL BOND FD	880208400			6/3/2011		5/8/2012	17,003	16,302				0	-1,299				
367	TEMPLETON GLBL BOND FD	880208400			6/3/2011		5/8/2012	13	14				0	-1				
368	TEMPLETON GLBL BOND FD	880208400			10/19/2010		5/8/2012	285	303				0	-18				
369	TEMPLETON GLBL BOND FD	880208400			10/19/2010		5/8/2012	13	14				0	-1				
370	TEMPLETON GLBL BOND FD	880208400			9/15/2010		5/8/2012	62,600	65,259				0	-2,659				
371	TEMPLETON GLBL BOND FD	880208400			10/12/2012		12/11/2012	0	0				0	0				
372	TEMPLETON GLBL BOND FD	880208400			2/10/2012		12/11/2012	9	9				0	0				
373	TEMPLETON GLBL BOND FD	880208400			9/22/2011		12/11/2012	7,132	6,581				0	551				
374	TEMPLETON GLBL BOND FD	880208400			2/10/2012		4/10/2013	5	5				0	0				
375	TEMPLETON GLBL BOND FD	880208400			2/10/2012		4/10/2013	4,822	4,642				0	180				
376	TEMPLETON GLBL BOND FD	880208400			6/11/2012		4/10/2013	2	2				0	0				
377	TEMPLETON GLBL BOND FD	880208400			6/11/2012		4/10/2013	150	137				0	13				
378	TEMPLETON GLBL BOND FD	880208400			6/11/2012		4/26/2013	2	2				0	0				
379	THORNBERG INTERNATIONAL	885215566			2/10/2012		5/8/2012	12	13				0	-1				
380	THORNBERG INTERNATIONAL	885215566			12/13/2010		5/8/2012	5,328	5,834				0	-506				
381	THORNBERG INTERNATIONAL	885215566			2/10/2012		6/11/2012	4	5				0	-1				
382	THORNBERG INTERNATIONAL	885215566			2/10/2012		6/11/2012	26,409	28,969				0	-2,560				
383	THORNBERG INTERNATIONAL	885215566			12/13/2010		6/11/2012	9,371	11,011				0	-1,640				
384	MARKETFIELD FUND	89833W865			6/11/2012		7/9/2012	7	7				0	0				
385	MARKETFIELD FUND	89833W865			8/6/2010		7/9/2012	5,336	4,345				0	991				
386	MARKETFIELD FUND	89833W865			8/6/2010		7/9/2012	5	4				0	1				
387	MARKETFIELD FUND	89833W865			8/6/2010		7/31/2012	25,161	20,840				0	4,321				
388	MARKETFIELD FUND	89833W865			8/6/2010		7/31/2012	4	4				0	0				
389	TURNER SPECTRUM FUND	900297664			5/8/2012		6/11/2012	218	223				0	-5				
390	TURNER SPECTRUM FUND	900297664			5/8/2012		6/11/2012	1	1				0	0				
391	TEMPLETON GLBL BOND FD	880208400			2/10/2012		12/11/2012	30,772	29,731				0	1,041				
392	TEMPLETON GLBL BOND FD	880208400			2/10/2012		12/11/2012	14	13				0	1				
393	TURNER SPECTRUM FUND	900297664			5/8/2012		7/31/2012	26,373	27,027				0	-654				
394	TURNER SPECTRUM FUND	900297664			5/8/2012		7/31/2012	6	6				0	0				
395	WELLS FARGO ADV EMERGIN	94984B355			12/17/2010		5/8/2012	1	1				0	0				
396	WELLS FARGO ADV EMERGIN	94984B355			12/17/2010		5/8/2012	6,055	6,701				0	-646				
397	WELLS FARGO ADV EMERGIN	94984B355			12/17/2010		6/11/2012	7	7				0	-1				
398	WELLS FARGO ADV EMERGIN	94984B355			12/13/2010		6/11/2012	10,053	12,066				0	-2,013				
399	WELLS FARGO ADV EMERGIN	94984B355			12/13/2010		4/25/2013	45	47				2	0				
400	WELLS FARGO ADV EMERGIN	94984B355			12/13/2010		4/25/2013	2	2				0	0				
401	WELLS FARGO ADV EMERGIN	94984B355			4/10/2013		4/25/2013	17	17				0	0				
402	SALE OF 680 & 692 EMOUGH				2/1/2012		10/4/2012	630,000	473,114				0	156,886				

Part I, Line 16b (990-PF) - Accounting Fees

		2,500	0	0	2,500
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	2,500			2,500

Part I, Line 16c (990-PF) - Other Professional Fees

		47,086	28,251	0	18,834
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	MERRILL LYNCH FEES AS AGENT	47,086	28,251		18,834

Part I, Line 18 (990-PF) - Taxes

		3,869	176	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Foreign Tax Withheld	176	176		
2	Estimated Excise Payments	3,693			

Part I, Line 23 (990-PF) - Other Expenses

		6,242	0	0	6,242
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	State AG Fees	1,049			1,049
2	Other Misc Fees	5,193			5,193
3					

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	CHANGE IN UNREALIZED GAIN/LOSS	1	144,612
2	COST BASIS ADJUSTMENT	2	19,682
3	Total	3	164,294

Line 5 - Decreases not included in Part III, Line 2

1	SALE OF REAL ESTATE - ACCRUAL ADJUSTMENT	1	411,500
2	Total	2	411,500

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100
1	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100

[illegible]

[illegible]

Part IV (990-PF) • Capital Gains and Losses for Tax on Investment Income

[illegible]

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions										Amount	23	3,109,374	220,003	0	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj. Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses	220,003
Short Term CG Distributions										17,499	0	0	0	0	0	0	0	0
Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj. Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses					
337 PRINCIPAL PREFERRED	742551767	Acquired	9/22/2011	4/25/2013	2		0	1		1	0	0	1					
338 PRINCIPAL PREFERRED	742551767	Acquired	9/22/2011	4/25/2013	2		0	1		1	0	0	1					
339 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	5/6/2012	29,246		0	27,706	1,540	1,540	0	0	1,540					
340 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	5/6/2012	2		0	2		0	0	0	0					
341 T ROWE PRICE US TREASURY	779571107	Acquired	2/1/2011	5/6/2012	8,860		0	8,266	594	594	0	0	594					
342 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	5/6/2012	2		0	1		1	0	0	1					
343 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	4,247		0	3,866	381	381	0	0	381					
344 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	14,941		0	14,292	649	649	0	0	649					
345 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	4/10/2013	4		0	5		0	0	0	0					
346 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	4/10/2013	430		0	410	20	20	0	0	20					
347 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	4/25/2013	2		0	0		0	0	0	0					
348 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	4/25/2013	1		0	1		0	0	0	0					
349 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	5/6/2012	7		0	7		0	0	0	0					
350 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	5/6/2012	54,346		0	54,555	219	219	0	0	219					
351 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	10		0	10		0	0	0	0					
352 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	2,124		11	2,135	0	0	0	0	0					
353 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	3		0	3		0	0	0	0					
354 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	1,294		0	1,301	-7	-7	0	0	-7					
355 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	3		0	3		0	0	0	0					
356 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	8		0	8		0	0	0	0					
357 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	19,022		0	18,321	701	701	0	0	701					
358 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	15,527		0	14,955	572	572	0	0	572					
359 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	2		0	2		0	0	0	0					
360 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	1,339		0	1,281	58	58	0	0	58					
361 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	2		0	2		0	0	0	0					
362 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	6		0	6		0	0	0	0					
363 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	12,872		0	12,484	388	388	0	0	388					
364 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	17,003		0	16,302	701	701	0	0	701					
365 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	13		0	13		0	0	0	0					
366 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	285		0	303	-18	-18	0	0	-18					
367 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	62,000		0	65,259	-3,259	-3,259	0	0	-3,259					
368 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	9		0	9		0	0	0	0					
369 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	7,132		0	6,581	551	551	0	0	551					
370 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	5		0	5		0	0	0	0					
371 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	4,822		0	4,642	180	180	0	0	180					
372 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	2		0	2		0	0	0	0					
373 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	150		0	137	13	13	0	0	13					
374 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	2		0	2		0	0	0	0					
375 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	12		0	13	-1	-1	0	0	-1					
376 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	5,328		0	5,834	-506	-506	0	0	-506					
377 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	4		0	5	-1	-1	0	0	-1					
378 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	26,409		0	28,989	-2,580	-2,580	0	0	-2,580					
379 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	9,371		0	11,011	-1,640	-1,640	0	0	-1,640					
380 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	7		0	7		0	0	0	0					
381 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	5,336		0	4,345	991	991	0	0	991					
382 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	5		0	4	1	1	0	0	1					
383 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	25,161		0	20,840	4,321	4,321	0	0	4,321					
384 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	4		0	4		0	0	0	0					
385 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	218		0	223	-5	-5	0	0	-5					
386 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	30,772		0	29,731	1,041	1,041	0	0	1,041					
387 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	14		0	13	1	1	0	0	1					
388 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	26,373		0	27,027	-654	-654	0	0	-654					
389 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	1		0	1		0	0	0	0					
390 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	6,055		0	6,701	-646	-646	0	0	-646					
391 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	6		0	7	-1	-1	0	0	-1					
392 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	10,053		0	12,066	-2,013	-2,013	0	0	-2,013					
393 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	45		2	47	-2	-2	0	0	-2					
394 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	2		0	2		0	0	0	0					
395 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	17		0	17		0	0	0	0					
396 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	630,000		0	473,114	156,886	156,886	0	0	156,886					
397 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	1		0	1		0	0	0	0					
398 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	1		0	1		0	0	0	0					
399 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	1		0	1		0	0	0	0					
400 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	1		0	1		0	0	0	0					
401 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	1		0	1		0	0	0	0					
402 T ROWE PRICE US TREASURY	779571107	Acquired	5/6/2011	6/1/2012	1		0	1		0	0	0	0					

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

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Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date		Amount
1 Credit from prior year return .		1	226
2 First quarter estimated tax payment	9/13/2012	2	754
3 Second quarter estimated tax payment	10/15/2012	3	980
4 Third quarter estimated tax payment .	1/14/2013	4	980
5 Fourth quarter estimated tax payment	4/15/2013	5	979
6 Other payments		6	
7 Total		7	3,919

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2011 that remained undistributed at the beginning of the 2012 tax year	1	69,918
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	69,918