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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 05-01-2012 , and ending 04-30-2013

Name of foundation THE DONNA AND MARVIN SCHWARTZ FOUNDATION		A Employer identification number 13-7114848	
Number and street (or P O box number if mail is not delivered to street address) C/O NEUBERGER BERMAN 605 THIRD AVENUE		B Telephone number (see instructions) (212) 509-6700	
City or town, state, and ZIP code NEW YORK, NY 101583698		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 94,730,892	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	1,521,715	1,521,715		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	2,075,893			
	b Gross sales price for all assets on line 6a _____ 18,759,051				
	7 Capital gain net income (from Part IV, line 2)		2,075,893		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	25,117	19,949		
	12 Total. Add lines 1 through 11	3,622,725	3,617,557		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	74,375	74,375		0
	c Other professional fees (attach schedule)				
	17 Interest	20,171	20,171		0
	18 Taxes (attach schedule) (see instructions)	67,424	29,341		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	5,950	0		0
	22 Printing and publications				
	23 Other expenses (attach schedule)	24,934	2,623		0
	24 Total operating and administrative expenses. Add lines 13 through 23	192,854	126,510		0
	25 Contributions, gifts, grants paid	4,434,461			4,434,461
	26 Total expenses and disbursements. Add lines 24 and 25	4,627,315	126,510		4,434,461
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-1,004,590			
	b Net investment income (if negative, enter -0-)		3,491,047		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	230,397	317,571	317,571
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	63,435,811	60,939,266	94,399,023
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,961,061		
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	43,488	14,298	14,298	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	65,670,757	61,271,135	94,730,892	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)	3,395,032	0	
	23	Total liabilities (add lines 17 through 22)	3,395,032	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	62,275,725	61,271,135	
	30	Total net assets or fund balances (see page 17 of the instructions)	62,275,725	61,271,135	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	65,670,757	61,271,135	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	62,275,725
2	Enter amount from Part I, line 27a	2	-1,004,590
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	61,271,135
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	61,271,135

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	2,075,893
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	}	3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	3,699,645	82,053,559	0 045088
2010	4,357,158	84,431,384	0 051606
2009	3,908,125	78,341,871	0 049886
2008	3,336,889	78,575,563	0 042467
2007	5,232,028	113,843,899	0 045958

2	Total of line 1, column (d).	2	0 235005
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 047001
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	85,732,743
5	Multiply line 4 by line 3.	5	4,029,525
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	34,910
7	Add lines 5 and 6.	7	4,064,435
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	4,434,461

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	34,910
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3		Add lines 1 and 2.		3	34,910
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	34,910
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a	2,074	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c	50,000	
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	52,074
8		Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	548
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	16,616
11		Enter the amount of line 10 to be Credited to 2013 estimated tax ☒ 16,616	Refunded ☐	11	0

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ 0 (2) On foundation managers ☒ \$ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a	Yes	
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶FREEDMAN CO CPA PC Telephone no ▶(212) 509-6700 Located at ▶61 BROADWAY SUITE 1405 NEW YORK NY ZIP +4 ▶100062704			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		☐ Yes	☒ No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?		☐ Yes	☒ No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?		☐ Yes	☒ No	
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).		☐ Yes	☒ No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		☐ Yes	☒ No	
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
Organizations relying on a current notice regarding disaster assistance check here.				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?		☐ Yes	☐ No	
If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		☐ Yes	☒ No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		No
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		☐ Yes	☒ No	
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARVIN C SCHWARTZ	TRUSTEE	0	0	0
2 EAST 88TH ST NEW YORK, NY 10128	0 00			
DONNA SCHWARTZ	TRUSTEE	0	0	0
2 EAST 88TH ST NEW YORK, NY 10128	0 00			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	86,678,918
b	Average of monthly cash balances.	1b	291,562
c	Fair market value of all other assets (see instructions).	1c	67,838
d	Total (add lines 1a, b, and c).	1d	87,038,318
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	87,038,318
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,305,575
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	85,732,743
6	Minimum investment return. Enter 5% of line 5.	6	4,286,637

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	4,286,637
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	34,910
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	2,997
c	Add lines 2a and 2b.	2c	37,907
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	4,248,730
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	4,248,730
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	4,248,730

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	4,434,461
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	4,434,461
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	34,910
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	4,399,551
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				4,248,730
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2012				
a From 2007.				
b From 2008.				
c From 2009.				
d From 2010.				141,599
e From 2011.				
f Total of lines 3a through e.	141,599			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 4,434,461				
a Applied to 2011, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2012 distributable amount.				4,248,730
e Remaining amount distributed out of corpus	185,731			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	327,330			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a.	327,330			
10 Analysis of line 9				
a Excess from 2008. . . .				
b Excess from 2009. . . .				
c Excess from 2010. . . .				141,599
d Excess from 2011. . . .				
e Excess from 2012. . . .				185,731

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

See Additional Data Table

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	4,434,461
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments. . . .					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities. . . .			14	1,521,715	
5	Net rental income or (loss) from real estate					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.	523000	5,168	14	19,949	
8	Gain or (loss) from sales of assets other than inventory			18	2,075,893	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory. .					
11	Other revenue a _____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e). .		5,168		3,617,557	0
13	Total. Add line 12, columns (b), (d), and (e).			13	3,622,725	

[illegible]

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

*****	2014-01-23	*****	May the IRS discuss this return with the preparer shown below (see Instr. 7)? ☒ Yes ☐ No
Signature of officer or trustee	Date	Title	

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ **Yes** ☐ **No**

**Paid
Preparer
Use
Only**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☒	PTIN
	PAUL J FREEDMAN	PAUL J FREEDMAN	2014-01-23		P00725388
	Firm's name ☐	FREEDMAN & CO CPA PC		Firm's EIN ☐ 13-3598907	
		61 BROADWAY			
	Firm's address ☐	NEW YORK, NY 10006		Phone no (212) 509-6700	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
FROM PASS THRU ACTIVITY	P	2012-05-01	2013-04-30
FROM PASS THRU ACTIVITY	P	2012-04-30	2013-04-30
FROM PASS THRU ACTIVITY - COST BASIS ADJUSTMENT	P	2012-04-30	2013-04-30
CASH IN LIEU	P	2012-04-30	2013-04-30
***BP P L C SPONSORED ADR	P	2012-12-21	2013-04-25
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2013-02-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2013-03-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2012-12-21	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2013-01-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2013-04-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2012-06-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2012-08-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2012-05-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2012-07-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2012-09-04	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2012-12-03	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2012-12-21	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2012-10-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2012-11-01	2013-04-24
ABBOTT LABORATORIES	P	2011-02-04	2012-05-16
ABBOTT LABORATORIES	P	2011-02-04	2012-05-16
ABBOTT LABORATORIES	P	2011-02-04	2012-05-16
***BARCLAYS PLC-ADR	P	2011-01-06	2012-09-17
***BARCLAYS PLC-ADR	P	2011-01-07	2012-09-17
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2012-01-03	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2012-03-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2012-02-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2012-04-02	2013-04-24
ABBOTT LABORATORIES	P	2009-04-17	2012-05-16
ALLIANCEBERNSTEIN HOLDING LPUNIT LTD PARTNERSHIP INT	P	2008-12-29	2013-04-25

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ALLIANCEBERNSTEIN HOLDING LPUNIT LTD PARTNERSHIP INT	P	2008-12-18	2012-11-20
ALLIANCEBERNSTEIN HOLDING LPUNIT LTD PARTNERSHIP INT	P	2008-12-16	2012-11-20
ALLIANCEBERNSTEIN HOLDING LPUNIT LTD PARTNERSHIP INT	P	2008-12-26	2013-04-24
ALLIANCEBERNSTEIN HOLDING LPUNIT LTD PARTNERSHIP INT	P	2008-12-18	2013-04-24
ALLIANCEBERNSTEIN HOLDING LPUNIT LTD PARTNERSHIP INT	P	2008-12-26	2013-04-25
AMGEN CORP	P	2010-01-26	2012-09-28
AMGEN CORP	P	2010-05-26	2012-10-18
AMGEN CORP	P	2010-05-26	2012-09-28
BANK NEW YORK MELLON CORP	P	2010-06-10	2013-03-12
BANK NEW YORK MELLON CORP	P	2010-06-10	2013-04-25
***BP P L C SPONSORED ADR	P	2010-12-14	2013-04-25
DEVON ENERGY CORPORATION NEW	P	1997-06-16	2013-04-25
DEVON ENERGY CORPORATION NEW	P	2001-07-10	2013-04-25
FORTRESS INVT GROUP LLCCL A	P	2010-07-13	2013-04-25
FORTRESS INVT GROUP LLCCL A	P	2010-07-07	2013-04-25
HEWLETT PACKARD CO	P	2009-03-27	2012-10-03
HEWLETT PACKARD CO	P	2009-04-08	2012-10-03
HEWLETT PACKARD CO	P	2009-04-06	2012-10-03
***METHANEX CORP	P	2008-10-31	2012-05-11
***METHANEX CORP	P	2008-10-31	2013-03-13
***METHANEX CORP	P	2010-06-03	2012-05-11
***METHANEX CORP	P	2008-10-31	2012-05-18
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2011-01-05	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2011-02-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2010-11-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2011-01-03	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2010-12-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2010-10-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2011-01-03	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2011-03-01	2013-04-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2011-07-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2011-08-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2011-09-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2011-06-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2011-10-03	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2011-04-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2011-05-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2009-09-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2009-08-03	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2009-07-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2009-10-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2009-12-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2009-11-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2010-01-04	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2010-02-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2010-07-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2010-08-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2010-09-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2010-06-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2010-03-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2010-04-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2010-05-03	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2003-07-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2003-08-04	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2003-09-03	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2003-06-03	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2003-03-04	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2003-04-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2003-05-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2003-10-02	2013-04-24

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**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2004-03-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2004-04-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2004-05-04	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2004-02-03	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2003-11-04	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2003-12-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2004-01-05	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2002-05-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2002-06-04	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2002-07-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2011-12-22	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2011-11-01	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2011-12-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2011-12-22	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2002-08-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2002-12-03	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2003-01-03	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2003-02-04	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2002-11-04	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2002-09-04	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2002-09-09	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2002-10-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2006-02-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2006-03-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2006-04-04	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2005-11-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2005-12-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2006-01-04	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2006-05-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2006-09-05	2013-04-24

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**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2006-10-03	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2006-11-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2006-06-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2006-07-05	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2006-08-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2004-09-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2004-10-04	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2005-02-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2004-06-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2004-07-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2004-08-03	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2005-04-04	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2005-08-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2005-09-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2005-10-04	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2005-05-03	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2005-06-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2005-07-05	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2008-02-04	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2008-03-04	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2008-04-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2007-11-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2007-12-04	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2008-01-03	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2008-05-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2008-09-03	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2002-03-04	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2002-04-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2008-06-03	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2008-07-02	2013-04-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

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**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2008-08-04	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2007-03-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2007-04-03	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2007-05-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2006-12-04	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2007-01-04	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2007-02-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2002-01-24	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2007-08-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2007-09-05	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2007-10-02	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2007-06-04	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2002-02-04	2013-04-24
**NEUBERGER BERMANHIGH INCOME BD FD INSTL CL	P	2007-07-03	2013-04-24
PULTE HOMES INC	P	2010-04-05	2013-04-25
SYMANTEC CORP	P	2010-04-15	2012-07-25
SYMANTEC CORP	P	2009-09-02	2012-07-25
SYMANTEC CORP	P	2010-06-10	2012-07-25
***TALISMAN ENERGY INC	P	2008-02-22	2013-04-25
***TALISMAN ENERGY INC	P	2008-02-28	2013-04-12
***TALISMAN ENERGY INC	P	2008-02-22	2013-04-12
***TALISMAN ENERGY INC	P	2008-02-28	2013-04-11
AON PLC CL A	P	2003-01-27	2012-09-30
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,809			5,809
16,261			16,261
22,204			22,204
10			10
1,100		1,080	20
11,025		10,854	171
11,226		11,064	162
2,166		2,126	40
10,944		10,718	226
11,000		10,887	113
11,459		10,666	793
10,965		10,591	374
11,220		10,710	510
11,493		10,923	570
10,876		10,539	337
11,298		11,076	222
22,614		22,193	421
10,589		10,337	252
10,559		10,341	218
142,957		106,093	36,864
1,040,629		772,636	267,993
58,547		43,821	14,726
486,762		571,288	-84,526
29,501		34,661	-5,160
11,712		10,780	932
11,118		10,670	448
11,317		10,627	690
11,210		10,631	579
2,951,036		2,077,437	873,599
119,502		91,217	28,285

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
102,752		112,100	-9,348
68,502		78,557	-10,055
45,353		35,238	10,115
90,706		74,734	15,972
110,309		84,571	25,738
1,683,470		1,116,000	567,470
891,537		523,301	368,236
1,683,470		1,046,602	636,868
429,044		385,937	43,107
277,545		257,291	20,254
84,618		89,440	-4,822
436,087		181,669	254,418
97,027		39,404	57,623
160,317		91,084	69,233
32,063		15,906	16,157
459,388		998,338	-538,950
306,259		664,853	-358,594
349,135		765,399	-416,264
78,387		30,152	48,235
136,842		42,213	94,629
627,098		411,463	215,635
97,047		42,213	54,834
11,844		11,439	405
11,169		10,972	197
9,140		8,979	161
14,311		13,822	489
11,606		11,125	481
10,999		10,566	433
26,155		25,262	893
8,622		8,525	97

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11,520		11,187	333
11,229		10,974	255
11,807		10,929	878
10,964		10,851	113
11,443		10,178	1,265
11,183		11,010	173
10,977		10,898	79
12,524		10,579	1,945
11,958		10,040	1,918
12,808		10,223	2,585
12,287		10,913	1,374
12,547		11,235	1,312
12,239		10,972	1,267
20,358		18,757	1,601
14,068		13,034	1,034
12,547		11,443	1,104
12,037		11,327	710
11,803		11,058	745
13,272		12,050	1,222
13,563		12,412	1,151
12,271		11,509	762
12,333		11,759	574
6,243		5,946	297
6,317		5,912	405
6,060		5,696	364
5,948		5,604	344
6,328		5,890	438
6,321		5,856	465
6,158		5,801	357
6,425		6,112	313

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,961		5,794	167
6,811		6,642	169
6,068		5,849	219
5,848		5,709	139
6,342		6,073	269
5,801		5,573	228
6,491		6,303	188
7,343		7,050	293
6,628		6,318	310
6,263		5,841	422
2,845		2,601	244
10,112		9,475	637
11,358		10,314	1,044
15,019		13,732	1,287
7,150		6,549	601
6,005		5,545	460
6,737		6,242	495
6,448		5,988	460
6,361		5,801	560
5,842		5,367	475
1,378		1,268	110
5,105		4,656	449
7,297		6,897	400
7,371		6,959	412
7,262		6,818	444
6,733		6,343	390
6,787		6,394	393
6,592		6,217	375
6,687		6,251	436
8,182		7,598	584

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,061		7,527	534
8,623		8,105	518
7,876		7,298	578
7,664		7,029	635
7,742		7,133	609
6,346		6,163	183
6,144		6,017	127
5,837		5,717	120
5,953		5,620	333
7,456		7,093	363
6,131		5,878	253
6,166		5,892	274
6,098		5,884	214
6,970		6,696	274
6,483		6,168	315
5,962		5,635	327
6,975		6,664	311
6,355		6,112	243
10,086		8,896	1,190
10,265		8,937	1,328
10,408		9,019	1,389
10,052		9,365	687
9,991		9,029	962
10,155		9,115	1,040
9,933		8,843	1,090
11,150		9,373	1,777
6,927		6,594	333
6,172		5,892	280
10,100		8,930	1,170
11,736		10,048	1,688

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11,228		9,439	1,789
8,990		8,656	334
8,448		8,124	324
9,006		8,736	270
8,529		8,070	459
8,243		7,851	392
9,195		8,786	409
1,045,978		1,000,000	45,978
9,866		8,947	919
9,657		8,837	820
8,638		8,048	590
9,323		9,063	260
1,346		1,285	61
9,345		8,832	513
208,266		114,325	93,941
111,325		127,730	-16,405
185,542		188,152	-2,610
742,168		725,422	16,746
351,275		493,817	-142,542
347,331		527,456	-180,125
830,063		1,160,471	-330,408
300,446		446,996	-146,550
320,375			320,375
6,375			6,375

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,809
			16,261
			22,204
			10
			20
			171
			162
			40
			226
			113
			793
			374
			510
			570
			337
			222
			421
			252
			218
			36,864
			267,993
			14,726
			-84,526
			-5,160
			932
			448
			690
			579
			873,599
			28,285

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-9,348
			-10,055
			10,115
			15,972
			25,738
			567,470
			368,236
			636,868
			43,107
			20,254
			-4,822
			254,418
			57,623
			69,233
			16,157
			-538,950
			-358,594
			-416,264
			48,235
			94,629
			215,635
			54,834
			405
			197
			161
			489
			481
			433
			893
			97

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			333
			255
			878
			113
			1,265
			173
			79
			1,945
			1,918
			2,585
			1,374
			1,312
			1,267
			1,601
			1,034
			1,104
			710
			745
			1,222
			1,151
			762
			574
			297
			405
			364
			344
			438
			465
			357
			313

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			167
			169
			219
			139
			269
			228
			188
			293
			310
			422
			244
			637
			1,044
			1,287
			601
			460
			495
			460
			560
			475
			110
			449
			400
			412
			444
			390
			393
			375
			436
			584

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			534
			518
			578
			635
			609
			183
			127
			120
			333
			363
			253
			274
			214
			274
			315
			327
			311
			243
			1,190
			1,328
			1,389
			687
			962
			1,040
			1,090
			1,777
			333
			280
			1,170
			1,688

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,789
			334
			324
			270
			459
			392
			409
			45,978
			919
			820
			590
			260
			61
			513
			93,941
			-16,405
			-2,610
			16,746
			-142,542
			-180,125
			-330,408
			-146,550
			320,375
			6,375

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

MARVIN C SCHWARTZ
DONNA SCHWARTZ

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AFRICAN PARKS FOUNDATION OF AMERICA PO BOX 2262 NEW YORK,NY 10021	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	100,000
AISH HATORAH 408 SOUTH LAKE DRIVE LAKEWOOD,NJ 08701	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	15,000
ALUMNI ASSOCIATION OF CCNY SHEPARD HALL ROOM 162 NEW YORK,NY 10031	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	33
AMERICAN COUNCIL OF TRUSTEES & ALUMNI 1726 M STREET NW SUITE 802 WASHINGTON,DC 20036	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	35,000
AMERICAN FOLK ART MUSEUM 2 LINCOLN SQUARE NEW YORK,NY 10023	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	28,500
AMERICAN FRIENDS OF THE ISRAEL MUSEUM 500 FIFTH AVE STE 2540 NEW YORK,NY 10110	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
AMERICAN MUSEUM OF NATURAL HISTORY 200 CENTRAL PARK WEST NEW YORK,NY 10024	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	24,755
ARTS CONNECTION 520 EIGHTH AVE SUITE 321 NEW YORK,NY 10018	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	6,000
ASPCA 424 E 92ND ST NEW YORK,NY 10128	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,500
BEDFORD FIRE DEPT 34 VILLAGE GREEN PO BOX 230 BEDFORD,NY 10506	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	3,000
BEDFORD HISTORICAL SOCIETY 612 OLD POST ROAD PO BOX 491 BEDFORD,NY 10506	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	1,000
BEDFORD PBA 307 BEDFORD RD BEDFORD HILLS,NY 10507	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,500
BEDFORD PRESBYTERIAN CHURCH 44 VILLAGE GREEN PO BOX 280 BEDFORD,NY 10506	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	4,500
BEDFORD RIDING LANES ASSN INC PO BOX 178 BEDFORD,NY 10506	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	3,450
BETH ISRAEL FOUNDATION 555 W 57TH ST STE 5-23 NEW YORK,NY 10019	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	35,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BIDEAWEE 410 E 38TH ST NEWYORK,NY 10016	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	22,495
BIG LIFE FOUNDATION USA 5847 NE 75TH STREET SEATTLE,WA 98115	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	25,000
BLYTHEDALE CHILDRENS HOSPITAL 95 BRADHURST AVE VALHALLA,NY 10595	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
CARNEGIE HILL NEIGHBORS 170 EAST 91ST STREET NEWYORK,NY 10128	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	4,600
CASA COLUMBIA 633 THIRD AVE 19TH FLOOR NEWYORK,NY 10017	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
CENTRAL PARK CONSERVANCY 14 E 60TH ST NEWYORK,NY 10022	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	105,500
CIVITAS 1457 LEXINGTON AVE NEWYORK,NY 10128	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	250
CROTON FALLS VOLUNTEER FIRE DEPT 620 RTE 22 CROTON FALLS,NY 10519	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	500
DAMON RUNYON CANCER RESEARCH FUND 55 BROADWAY STE 302 NEWYORK,NY 10006	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	3,016
DAVIDSON COLLEGE DEVELOPMENT SERVICES BOX 7174 DAVIDSON,NC 280357174	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	500
DIABETES RESEARCH INSTITUTE FOUNDATION 200 S PARK ROAD SUITE 100 HOLLYWOOD,FL 33021	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	3,000
EAST END HOSPICE 481 WESTHAMPTON-RIVERHEAD ROAD PO BOX 1048 WESTHAMPTON BEACH,NY 11978	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
EASTPORT VOLUNTEER FIRE DEPT 21 UNION AVE EASTPORT,NY 11941	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	1,000
EMORY UNIVERSITY 201 DOWMAN DR ATLANTA,GA 30322	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	150,000
FEGS 315 HUDSON ST NEWYORK,NY 10013	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
FAMILY SERVICE LEAGUE 790 PARK AVE HUNTINGTON,NY 11743	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	15,000
FIDELITY CHARITABLE SERVICES PO BOX 770001 CINCINNATI,OH 45277	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	600,000
FRIENDS OF THE NEUBERGER MUSEUM OF ART 735 ANDERSON HILL ROAD PURCHASE,NY 10577	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	56,760
FRIENDS OF THE WEST HAMPTON AMBULANCE 3 HAZELWOOD AVE WHAMPTON BEACH,NY 11978	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	100
FULL MOON ARTS CENTER 63 TUTHILL POINT ROAD EAST MORICHES,NY 11940	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	15,000
GLOBAL SPORTS DEVELOPMENT 333 SOUTH HOPE STREET LOS ANGELES,CA 90071	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	25,000
HISTORIC HUDSON VALLEY 639 BEDFORD RD POCANTICO HILLS,NY 10591	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
JANE GOODALL INSTITUTE 4245 NORTH FAIRFAX DR SUITE 600 ARLINGTON,VA 22203	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	1,000
JEWISH COMMUNAL FUND 575 MADISON AVENUE SUITE 70 NEWYORK,NY 10022	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	1,500,000
JUVENILE DIABETES RESEARCH FDN - JDRF 26 BROADWAY NEWYORK,NY 10004	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	6,000
LIGHTHOUSE INTERNATIONAL REF NEWYORK,NY 10022	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,000
LINCOLN CENTER FOR THE PERFORMING ARTS 10 LINCOLN CENTER PLAZA NEWYORK,NY 10023	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	14,350
MANHATTAN INSTITUTE 52 VANDERBILT AVE NEWYORK,NY 10017	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	22,750
MARX TOY MUSEUM 915 SECOND STREET MOUNDSVILLE,WV 26041	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
MONMOUTH COUNTY HISTORICAL ASSOCIATION 70 COURT ST FREEHOLD,NJ 07728	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	25,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NAVY SEAL FOUNDATION 1619 D STREET BLDG 5326 VIRGINIA BEACH,VA 23459	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	15,000
NEW JERSEY SYMPHONY ORCHESTRA 60 PARK PLACE 9TH FLOOR NEWARK,NJ 07102	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
NEW YORK HISTORICAL SOCIETY 170 CENTRAL PARK WEST NEW YORK,NY 10024	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	19,300
NEW YORK PHILHARMONIC 10 LINCOLN CENTER PLAZA NEW YORK,NY 10023	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,600
NEW YORK RESTORATION PROJECT 254 WEST 31ST STREET NEW YORK,NY 10001	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	6,500
NINOS DE LA AMAZONIA 137 MERCER ST JERSEY CITY,NJ 07302	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
NORTH SALEM OPEN LAND FOUNDATION PO BOX 176 NORTH SALEM,NY 10560	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,000
NORTH SALEM VOLUNTEER AMBULANCE CORP 14 DANIEL ROAD NORTH SALEM,NY 10560	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	100
NORTH SHORE ANIMAL LEAGUE 25 DAVIS AVE PORT WASHINGTON,NY 11050	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	1,000
NORTH SHORE UNIVERSITY HOSPITAL AUXILIARY 300 COMMUNITY DR MANHASSET,NY 11030	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
NYJTL - NY JUNIOR TENNIS LEAGUE 58-12 QUEENS BLVD STE 1 WOODSIDE,NY 11377	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	15,064
PARK AVENUE ARMORY 643 PARK AVE NEW YORK,NY 10065	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	392,750
PEF ISRAEL ENDOWMENT FUNDS INC 317 MADISON AVE SUITE 607 NEW YORK,NY 10017	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	35,000
PHOENIX HOUSE 164 WEST 74TH ST NEW YORK,NY 10023	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	7,000
REMSENBURG ACADEMY ASSOCIATION INC PO BOX 171 REMSENBURG,NY 11960	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	1,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
REMSENBURG COMMUNITY PRESBYTERIAN CHURCH PO BOX 546 REMSENBURG,NY 11960	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
ROTHKO CHAPEL 1409 SUL ROSS ST HOUSTON,TX 77006	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
RUBIN MUSEUM OF ART 150 W 17TH ST NEW YORK,NY 10011	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	13,000
SABIN VACCINE INSTITUTE 2000 PENNSYLVANIA AVE NW SUITE 7100 WASHINGTON,DC 20006	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	25,000
SCAN - NEW YORK 345 EAST 102ND ST NEW YORK,NY 10029	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
SHELBURNE MUSEUM 6000 SHELBURNE ROAD PO BOX 10 SHELBURNE,VT 05482	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	132,000
TEAM RUBICON INC 1030 W HILLCREST BLVD INGLEWOOD,CA 90301	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
THE ACTOR'S FUND OF AMERICA 729 SEVENTH AVE FLOOR 10 NEW YORK,NY 10019	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	4,400
THE AMERICAN ISRAEL EDUCATION FDN INC 251 H STREET NW WASHINGTON,DC 200012604	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	55,000
THE BARUCH COLLEGE FUND ONE BERNARD BARUCH WAY NEW YORK,NY 10010	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	297,600
THE CAMPAIGN STORE REF SACRAMENTO,CA 95826	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
THE DOE FUND INC 232 E 84TH ST NEW YORK,NY 10028	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	107,800
THE GLAUCOMA FOUNDATION 70 MAIDEN LANE STE 700 NEW YORK,NY 10038	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	30,000
THE METROPOLITAN OPERA ASSOCIATION INC LINCOLN CENTER NEW YORK,NY 10023	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	25,000
THE MICHAEL J FOX FOUNDATION GRAND CENTRAL STATION PO BOX 4777 NEW YORK,NY 10163	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE MUNICIPAL ART SOCIETY OF NEW YORK 111 W 57TH ST NEW YORK,NY 10019	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	30,000
THE NEUBERGER BERMAN FOUNDATION 605 THIRD AVE 36TH FLOOR NEW YORK,NY 10158	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	25,000
THE NEW YORK BOTANICAL GARDEN 2900 SOUTHERN BLVD BRONX,NY 10458	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	70,470
UJA FEDERATION OF NEW YORK 130 EAST 59TH STREET NEW YORK,NY 10022	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
UNCF - UNITED NEGRO COLLEGE FUND PO BOX 10444 FAIRFAX,VA 22031	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	3,500
UNITED STATES HOLOCAUST MEMORIAL MUSEUM 100 RAOUL WALLENBERG PLACE SW WASHINGTON,DC 20024	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
UNITED WAY OF WESTCHESTER & PUTNAM 336 CENTRAL PARK AVE WHITE PLAINS,NY 10606	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,000
USET FDN - US EQUESTRIAN TEAM FDN 1040 POTTERSVILLE ROAD PO BOX 3 GLADSTONE,NJ 07934	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	200
WALL STREET SYNAGOGUE 47 BEEKMAN ST NEW YORK,NY 10038	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	300
WESTCHESTER LAND TRUST 403 HARRIS ROAD BEDFORD HILLS,NY 10507	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	9,250
WESTHAMPTON BEACH PAC 76 MAIN STREET WESTHAMPTON BEACH,NY 11978	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	46,000
WHEELCHAIR FOUNDATION 3820 BLACKHAWK ROAD DANVILLE,CA 94506	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
WHITNEY MUSEUM OF AMERICAN ART 945 MADISON AVE NEW YORK,NY 10021	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	9,515
WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BLVD BRONX,NY 10460	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	33,333
WOUNDED WARRIOR PROJECT PO BOX 758517 TOPEKA,KS 66675	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	2,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YOUTH COMMUNICATION 224 W 29TH ST 2ND FLOOR NEW YORK,NY 10001	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	5,000
ZERO TO THREE 1255 23 ST NW STE 350 WASHINGTON,DC 20037	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	10,000
MISC - FROM PASS THRU ACTIVITY VARIOUS VARIOUS,NY 10105	NONE	OTHER PUBLIC CHARITY	CHARITABLE CONTRIBUTION TO PUBLIC CHARITY	220
Total  3a				4,434,461

TY 2012 Accounting Fees Schedule

Name: THE DONNA AND MARVIN SCHWARTZ FOUNDATION

EIN: 13-7114848

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PROFESSIONAL FEES	74,375	74,375		0

TY 2012 Investments Corporate
 Stock Schedule

Name: THE DONNA AND MARVIN SCHWARTZ FOUNDATION
 EIN: 13-7114848

Name of Stock	End of Year Book Value	End of Year Fair Market Value
19000 SHS ALLIANCEBERNSTEIN HOLDING LP	232,466	450,110
115000 SHS ANADARKO PETROLEUM CORP	2,521,931	9,747,400
25000 SHS ANNALY CAPITAL MGMT INC	433,231	398,500
30000 SHS AON CORP	1,472,400	1,810,500
86000 SHS ASSURANT INC	2,922,172	4,088,440
10000 SHS BARRICK GOLD CORP	496,338	197,098
25000 SHS CANADIAN NATURAL RESOURCES LTD	882,288	733,500
45000 SHS CARPENTER TECHNOLOGY CORP	2,074,527	2,023,200
70000 SHS COMCAST CORP	1,141,425	2,750,300
110000 SHS DR HORTON INC *	1,011,191	2,868,800
50000 SHS DEEP SEA SUPPLY PLC	77,301	78,005
10000 SHS DEERE & CO	858,107	893,000
90000 SHS DELTA AIR LINES INC DEL COM NEW	871,121	1,542,600
100000 SHS DENBURY RESOURCES INC NEW	1,808,668	1,789,000
126520 SHS DEVON ENERGY CORPORATION NEW	1,513,670	6,966,191
25000 ENCANA CORP	721,668	460,738
70000 SHS ENSCO INTERNATIONAL INC	4,507,781	4,037,600
10000 SHS EOG RES INC	934,418	1,211,600
158400 SHS FORTRESS INVT GROUP LLC	491,739	1,020,096
1005 SHS GOLDMAN SACHS GROUP INC	118,498	146,800
50000 SHS HAWAIIAN HOLDINGS INC	292,155	274,500
45000 SHS INTERNATIONAL BUSINESS MACHINES CORP	5,405,222	9,114,300
50000 SHS INTERNATIIONAL PAPER CO	1,466,348	2,349,000
55500 SHS JPMORGAN CHASE & CO	2,147,240	2,720,055
12500 SHS KOHLS CORP	549,802	588,250
40000 SHS LENNAR CORP	603,792	1,648,800
40000 SHS LINCOLN NATIONAL CORP INC	1,021,332	1,360,400
10000 SHS LOEWS CORPORATION	351,939	446,700
28630 SHS METLIFE INC	1,100,168	1,116,284
100000 SHS NORTHERN OFFSHORE	121,873	171,610

Name of Stock	End of Year Book Value	End of Year Fair Market Value
16500 SHS OCCIDENTAL PETE CORP	1,203,974	1,472,790
25000 SHS PIONEER NATURAL RESOURCES CO	792,383	3,055,750
375000 SHS PULTEGROUP INC	3,966,176	7,871,250
50000 SHS STANDARD PACIFIC CORP	252,795	452,500
245650 SHS TALISMAN ENERGY INC	4,015,213	2,945,000
5000 TOLL BROTHERS INC	89,509	171,550
6750 TORCHMARK CORP	156,630	418,973
48000 SHS TRAVELERS COMPANIES INC	1,807,350	4,099,680
26500 SHS TSAKOS ENERGY NAVIG USD1	405,614	100,170
15000 SHS UNITED CONTINENTAL HOLDINGS INC	392,091	484,500
60000 SHS US AIRWAYS GROUP INC	643,971	1,014,000
10811 VALIDUS HOLDINGS LTD	245,405	417,413
330000 SHS VANTAGE DRILLING CO	510,613	557,700
35000 SHS VIACOM INC	1,731,020	2,239,650
30000 SHS WARNER CHILCOTT PLC	420,062	431,400
15000 SHS WELLPOINT INC	996,015	1,093,800
46000 SHS WHITLING PETROLEUM CORPORATION	808,349	2,047,000
294000 SHS XEROX CORP	4,351,285	2,522,520

TY 2012 Other Assets Schedule

Name: THE DONNA AND MARVIN SCHWARTZ FOUNDATION

EIN: 13-7114848

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED DIVIDENDS	43,488	14,298	14,298

TY 2012 Other Expenses Schedule**Name:** THE DONNA AND MARVIN SCHWARTZ FOUNDATION**EIN:** 13-7114848

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER EXPENSES FROM PASS THRU ACTIVITY	15,434	1,123		0
FILING FEE	1,500	1,500		0
MEMBERSHIP	8,000	0		0

TY 2012 Other Income Schedule

Name: THE DONNA AND MARVIN SCHWARTZ FOUNDATION

EIN: 13-7114848

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ORDINARY INCOME FROM PASS THRU ACTIVITY	19,949	19,949	19,949
ORDINARY INCOME FROM PASS THRU ACTIVITY	5,168	0	5,168

TY 2012 Other Liabilities Schedule

Name: THE DONNA AND MARVIN SCHWARTZ FOUNDATION

EIN: 13-7114848

Description	Beginning of Year - Book Value	End of Year - Book Value
DUE TO BROKER	3,395,032	0

TY 2012 Taxes Schedule**Name:** THE DONNA AND MARVIN SCHWARTZ FOUNDATION**EIN:** 13-7114848

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	31,944	0		0
FOREIGN TAX	29,341	29,341		0
STATE TAX	6,139	0		0