Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545 0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning 05/01 , 2012, and ending 04/30, 2013

Name of foundation TR U/W A AND AL SINSHEIMER FOUNDATION
P60134007

A Employer identification number 13-6047421

Number and street (or P O box number if mail is not delivered to street address) 10 S DEARBORN IL1-0117 | Room/suite

B Telephone number (see instructions) 866- 888-5157

City or town, state, and ZIP code CHICAGO, IL 60603

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☒ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 10,222,378.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) ________
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ ☒ if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	138,678.	140,762.		STMT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	309,417.			
	b Gross sales price for all assets on line 6a 3,618,361.				
	7 Capital gain net income (from Part IV, line 2)		309,417.		
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)		11,764.		STMT 2
	12 **Total** Add lines 1 through 11	448,095.	461,943.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	94,562.	68,546.		23,640.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 3	9,475.	2,455.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) STMT 4	250.	2,170.		250.
	24 **Total operating and administrative expenses** Add lines 13 through 23	104,287.	73,171.		23,890.
	25 Contributions, gifts, grants paid	430,000.			430,000.
	26 **Total expenses and disbursements** Add lines 24 and 25	534,287.	73,171.		453,890.
	27 Subtract line 26 from line 12				
	a **Excess of revenue over expenses and disbursements**	-86,192.			
	b **Net investment income** (if negative, enter -0-)		388,772.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets				
1	Cash - non-interest-bearing	78,492.	41,310.	41,310.
2	Savings and temporary cash investments	439,422.	282,129.	282,129.
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10 a	Investments - U S and state government obligations (attach schedule)			
b	Investments - corporate stock (attach schedule)	6,898,689.	5,211,718.	6,205,367.
c	Investments - corporate bonds (attach schedule)	1,601,746.	1,168,713.	1,192,780.
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans			
13	Investments - other (attach schedule)		2,234,926.	2,500,792.
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	9,018,349.	8,938,796.	10,222,378.
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)		NONE	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ X			
27	Capital stock, trust principal, or current funds	9,018,349.	8,938,796.	
28	Paid-in or capital surplus, or land, bldg, and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	9,018,349.	8,938,796.	
31	**Total liabilities and net assets/fund balances** (see instructions)	9,018,349.	8,938,796.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,018,349.
2	Enter amount from Part I, line 27a	2	-86,192.
3	Other increases not included in line 2 (itemize) ▶ MUTUAL FUND INCOME TIMING DIFFERENCE	3	6,639.
4	Add lines 1, 2, and 3	4	8,938,796.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	8,938,796.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	3,618,361.		3,308,944.	309,417.
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				309,417.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** 309,417.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	484,937.	9,277,973.	0.052268
2010	550,404.	9,404,514.	0.058526
2009	482,162.	8,869,641.	0.054361
2008	637,935.	8,846,239.	0.072114
2007	628,899.	12,057,528.	0.052158

2	**Total** of line 1, column (d)	2	0.289427
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.057885
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	9,444,365.
5	Multiply line 4 by line 3	5	546,687.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,888.
7	Add lines 5 and 6	7	550,575.
8	Enter qualifying distributions from Part XII, line 4	8	453,890.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	7,775.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	7,775.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	NONE
5	**Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-	5	7,775.
6	Credits/Payments.		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a: 11,595.		
b	Exempt foreign organizations - tax withheld at source — 6b: NONE		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 6,335.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	17,930.
8	Enter any **penalty** for underpayment of estimated tax Check here [X] if Form 2220 is attached	8	43.
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	10,112.
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ NONE **Refunded** ▶	11	10,112.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to ***1a*** *or* ***1b****, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ na

14 The books are in care of ▶ JPMORGAN CHASE BANK Telephone no ▶ (866)888-5157
Located at ▶ 10 S DEARBORN ST. FL 21, CHICAGO, IL ZIP+4 ▶ 60603

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a	During the year did the foundation pay or incur any amount to		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No		
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**	X
	If "Yes" to 6b, file Form 8870.		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions)

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JPMorgan Chase Bank, NA 10 S Dearborn St Fl 21, Chicago, IL 60603	trustee 10	94,562	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1a	9,230,898.
b Average of monthly cash balances	1b	357,290.
c Fair market value of all other assets (see instructions)	1c	NONE
d Total (add lines 1a, b, and c)	1d	9,588,188.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2 Acquisition indebtedness applicable to line 1 assets	2	NONE
3 Subtract line 2 from line 1d	3	9,588,188.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	143,823.
5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	9,444,365.
6 **Minimum investment return.** Enter 5% of line 5	6	472,218.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6			1	472,218.
2a Tax on investment income for 2012 from Part VI, line 5	2a	7,775.		
b Income tax for 2012 (This does not include the tax from Part VI.)	2b			
c Add lines 2a and 2b			2c	7,775.
3 Distributable amount before adjustments. Subtract line 2c from line 1			3	464,443.
4 Recoveries of amounts treated as qualifying distributions			4	NONE
5 Add lines 3 and 4			5	464,443.
6 Deduction from distributable amount (see instructions)			6	NONE
7 **Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	464,443.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	453,890.
b Program-related investments - total from Part IX-B	1b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3a	NONE
b Cash distribution test (attach the required schedule)	3b	NONE
4 **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	453,890.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6 **Adjusted qualifying distributions.** Subtract line 5 from line 4	6	453,890.

Note *The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.*

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				464,443.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			NONE	
b Total for prior years 20____,20____,20____		NONE		
3 Excess distributions carryover, if any, to 2012				
a From 2007 ...... 6,007.				
b From 2008 ...... 173,247.				
c From 2009 ...... 53,548.				
d From 2010 ...... NONE				
e From 2011 ...... 32,053.				
f Total of lines 3a through e	264,855.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 453,890.				
a Applied to 2011, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2012 distributable amount				453,890.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	10,553.			10,553.
6 **Enter the net total of each column as indicated below.**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	254,302.			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions			NONE	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	NONE			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	254,302.			
10 Analysis of line 9				
a Excess from 2008 ... 168,701.				
b Excess from 2009 ... 53,548.				
c Excess from 2010 ... NONE				
d Excess from 2011 ... 32,053.				
e Excess from 2012 ...				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year (a) 2012	Prior 3 years (b) 2011	(c) 2010	(d) 2009	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc , Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

SEE STATEMENT 5

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHED STATEMENT FOR LINE 2

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Rockefeller University 1230 YORK AVE New York NY 10065	NONE	PUBLIC CHA	GRANT: DR W FREIWALD AND DR. V RUTA	100,000.
Albert Einstein College of Medicine 500 W 185TH ST New York NY 10033	NONE	PUCLIC CHA	GRANT; DR. DAVID SHECHTER	50,000.
City University of NY Sophie Davis 230 WEST 41ST STREET New York NY 10036	NONE	PUBLIC CHA	GRANT: DR. ITZHAK MANO-BIOMEDICAL	50,000.
New York University 726 BROADWAY- NINTH FL New York NY 10003	NONE	PUBLIC CHA	CONTRIBUTION	60,000.
Columbia University IN NYC 615 WEST 131 ST New York NY 10027	NONE	PUBLIC CHA	CONTRIBUTION	60,000.
New York Weill Cornell Medical Cent 575 LEXINGTON AVE, 9TH FL New York NY 10022	NONE	PUBLIC CHA	CONTRIBUTION	60,000.
University of Medicine and Dentistry of NJ 120 ALBANY ST, TOWER II, STE 850 New Brusnwi	NONE	PUBLIC CHA	GRANT: DR. ZHIPING PANG	50,000.
Total . . . . . ▶ 3a				430,000.
b Approved for future payment				
Total . . . . . ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	138,678.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	309,417.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				448,095.	

13 Total Add line 12, columns (b), (d), and (e) . . . . . 13 448,095.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of.			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Anthony Ward | 12/12/2013 | ▶

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN

Firm's name ▶ | Firm's EIN ▶

Firm's address ▶ | Phone no

SCHEDULE D (Form 1041)

Department of the Treasury Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041.

OMB No 1545-0092

2012

Name of estate or trust: TR U/W A AND AL SINSHEIMER FOUNDATION

Employer identification number: 13-6047421

Note. *Form 5227 filers need to complete only Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b	Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	-14,646.
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4	Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet	4	( )
5	**Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	-14,646.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS					70,585.

b	Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	253,478.
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9	Capital gain distributions	9	
10	Gain from Form 4797, Part I	10	
11	Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet	11	( )
12	**Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶	12	324,063.

Part III Summary of Parts I and II Caution: *Read the instructions before completing this part*		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
13 Net short-term gain or (loss)	13			-14,646.
14 Net long-term gain or (loss):				
a Total for year	14a			324,063.
b Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b			
c 28% rate gain	14c			
15 **Total net gain or (loss).** Combine lines 13 and 14a ▶	15			309,417.

Note. *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and* **do not** *complete Part IV If line 15, column (3), is a net loss, complete Part IV and the* **Capital Loss Carryover Worksheet,** *as necessary*

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of
a The loss on line 15, column (3) **or** b $3,000 . . . 16 ( )

Note. *If the loss on line 15, column (3), is more than $3,000,* **or** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* **Capital Loss Carryover Worksheet** *in the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

Caution. *Skip this part and complete the* **Schedule D Tax Worksheet** *in the instructions if.*

- *Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

Line	Description						
17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			17			
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18					
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19					
20	Add lines 18 and 19	20					
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	21					
22	Subtract line 21 from line 20 If zero or less, enter -0-			22			
23	Subtract line 22 from line 17. If zero or less, enter -0-			23			
24	Enter the **smaller** of the amount on line 17 or $2,400			24			
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26, go to line 27 and check the "No" box. ☐ **No.** Enter the amount from line 23			25			
26	Subtract line 25 from line 24			26			
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22			27			
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)			28			
29	Subtract line 28 from line 27			29			
30	Multiply line 29 by 15% (.15)					30	
31	Figure the tax on the amount on line 23. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					31	
32	Add lines 30 and 31					32	
33	Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)					34	

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a

▶ Information about Schedule D (Form 1041) and its separate instructions is at www irs gov/form1041

OMB No 1545-0092

2012

Name of estate or trust: TR U/W A AND AL SINSHEIMER FOUNDATION

Employer identification number: 13-6047421

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 42. TEXAS INSTRS INC	VAR	05/07/2012	1,291.00	1,390.00	-99.00
3906.09 JPMORGAN HIGH YIEL	12/12/2011	05/29/2012	30,546.00	30,194.00	352.00
9. AMERIPRISE FINANCIAL IN	02/09/2012	06/01/2012	414.00	489.00	-75.00
4. AMERIPRISE FINANCIAL IN	02/09/2012	06/04/2012	182.00	217.00	-35.00
4. AMERIPRISE FINANCIAL IN	02/09/2012	06/04/2012	183.00	217.00	-34.00
9295.733 DREYFUS/LAUREL FD	07/26/2011	06/07/2012	126,980.00	142,132.00	-15,152.00
74. GENERAL ELEC CO	09/16/2011	06/15/2012	1,466.00	1,204.00	262.00
5. EXXON MOBIL CORP	01/19/2012	06/21/2012	412.00	434.00	-22.00
8. PROCTER & GAMBLE CO	02/01/2012	06/21/2012	479.00	508.00	-29.00
11. CVS CORP	01/09/2012	06/22/2012	503.00	460.00	43.00
3. CVS CORP	01/09/2012	06/22/2012	137.00	125.00	12.00
2. CVS CORP	01/09/2012	06/22/2012	91.00	84.00	7.00
1. WILLIAMS COS INC	01/04/2012	06/22/2012	28.00	27.00	1.00
1. WILLIAMS COS INC	01/04/2012	06/22/2012	28.00	27.00	1.00
1. WILLIAMS COS INC	01/04/2012	06/22/2012	28.00	27.00	1.00
2. WILLIAMS COS INC	01/04/2012	06/22/2012	57.00	54.00	3.00
1. WILLIAMS COS INC	01/04/2012	06/25/2012	27.00	27.00	
1. WILLIAMS COS INC	01/04/2012	06/25/2012	27.00	27.00	
1. WILLIAMS COS INC	01/04/2012	06/25/2012	27.00	27.00	
1. WILLIAMS COS INC	01/04/2012	06/25/2012	27.00	27.00	
6. EQT CORPORATION	VAR	07/02/2012	322.00	315.00	7.00
2. EQT CORPORATION	VAR	07/03/2012	109.00	105.00	4.00
3. EQT CORPORATION	02/22/2012	07/03/2012	164.00	157.00	7.00
1. EQT CORPORATION	03/05/2012	07/03/2012	55.00	52.00	3.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)
Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a
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OMB No 1545-0092

2012

Name of estate or trust: TR U/W A AND AL SINSHEIMER FOUNDATION

Employer identification number: 13-6047421

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1. EQT CORPORATION	03/05/2012	07/05/2012	55.00	52.00	3.00
2. EQT CORPORATION	03/05/2012	07/05/2012	110.00	104.00	6.00
1. EQT CORPORATION	03/05/2012	07/06/2012	54.00	52.00	2.00
18. CISCO SYS INC	VAR	07/11/2012	296.00	322.00	-26.00
1. HUMANA INC	10/31/2011	07/11/2012	75.00	86.00	-11.00
11. MARRIOTT INTL INC NEW	02/28/2012	07/11/2012	417.00	389.00	28.00
2. HUMANA INC	10/31/2011	07/12/2012	147.00	171.00	-24.00
4. CAMERON INTERNATIONAL C	02/10/2012	07/18/2012	180.00	227.00	-47.00
2. CAMERON INTERNATIONAL C	02/10/2012	07/18/2012	90.00	114.00	-24.00
1. HUMANA INC	10/31/2011	07/18/2012	73.00	86.00	-13.00
1. HUMANA INC	10/31/2011	07/19/2012	75.00	86.00	-11.00
1. HUMANA INC	10/31/2011	07/20/2012	74.00	86.00	-12.00
6. EXXON MOBIL CORP	01/19/2012	07/27/2012	517.00	520.00	-3.00
15. JOHNSON & JOHNSON	VAR	07/27/2012	1,039.00	1,018.00	21.00
1. AUTOZONE INC	08/19/2011	08/03/2012	368.00	290.00	78.00
5. COGNIZANT TECHNOLOGY SO	08/11/2011	08/03/2012	288.00	310.00	-22.00
2. COGNIZANT TECHNOLOGY SO	08/11/2011	08/03/2012	114.00	124.00	-10.00
6. MARRIOTT INTL INC NEW C	VAR	08/13/2012	221.00	211.00	10.00
17. MARRIOTT INTL INC NEW	01/26/2012	08/14/2012	628.00	593.00	35.00
7. EXXON MOBIL CORP	01/19/2012	09/07/2012	628.00	607.00	21.00
29. WALGREEN CO	VAR	09/07/2012	1,011.00	865.00	146.00
175000. DB QARN SPX 03/06/	02/17/2012	09/12/2012	184,450.00	173,250.00	11,200.00
4. EXXON MOBIL CORP	01/19/2012	09/13/2012	365.00	347.00	18.00
19000. ISHARES INC MSCI JA	03/09/2012	09/14/2012	178,628.00	191,710.00	-13,082.00

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

OMB No 1545 0092

2012

Name of estate or trust: TR U/W A AND AL SINSHEIMER FOUNDATION

Employer identification number: 13-6047421

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a	12. CBS CORP	10/06/2011	09/26/2012	428.00	259.00	169.00
	.927 ADT CORPORATION	06/15/2012	10/05/2012	35.00	33.00	2.00
	2. ADT CORPORATION	06/15/2012	10/15/2012	73.00	17.00	56.00
	4. ADT CORPORATION	06/15/2012	10/16/2012	149.00	34.00	115.00
	1. MARATHON PETROLEUM CORP	07/31/2012	10/16/2012	55.00	48.00	7.00
	2. MARATHON PETROLEUM CORP	VAR	10/16/2012	110.00	95.00	15.00
	2. MARATHON PETROLEUM CORP	VAR	10/16/2012	111.00	95.00	16.00
	8. MARATHON PETROLEUM CORP	VAR	10/16/2012	438.00	376.00	62.00
	4. MARATHON PETROLEUM CORP	VAR	10/17/2012	223.00	188.00	35.00
	5. MARATHON PETROLEUM CORP	07/18/2012	10/17/2012	278.00	234.00	44.00
	1. MARATHON PETROLEUM CORP	07/18/2012	10/17/2012	55.00	47.00	8.00
	1. MARATHON PETROLEUM CORP	07/18/2012	10/17/2012	56.00	47.00	9.00
	2. MARATHON PETROLEUM CORP	07/18/2012	10/17/2012	110.00	94.00	16.00
	3. ABBOTT LABS	VAR	10/18/2012	198.00	207.00	-9.00
	10. ABBOTT LABS	VAR	10/18/2012	654.00	653.00	1.00
	2. ABBOTT LABS	04/26/2012	10/18/2012	131.00	124.00	7.00
	11. ABBOTT LABS	VAR	10/18/2012	722.00	669.00	53.00
	1. CAPITAL ONE FINL CORP	12/05/2011	10/22/2012	60.00	47.00	13.00
	2. CAPITAL ONE FINL CORP	12/05/2011	10/22/2012	120.00	94.00	26.00
	6. CAPITAL ONE FINL CORP	12/05/2011	10/22/2012	360.00	282.00	78.00
	1. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	60.00	47.00	13.00
	1. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	60.00	47.00	13.00
	1. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	59.00	47.00	12.00
	1. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	60.00	47.00	13.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)
Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a
▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

OMB No 1545-0092

2012

Name of estate or trust	Employer identification number
TR U/W A AND AL SINSHEIMER FOUNDATION	13-6047421

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	59.00	47.00	12.00
2. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	119.00	94.00	25.00
22. ALTERA CORP	VAR	10/26/2012	667.00	843.00	-176.00
2. COVIDIEN PLC NEW	07/27/2012	10/26/2012	110.00	110.00	
1. COVIDIEN PLC NEW	07/27/2012	10/26/2012	55.00	55.00	
1. COVIDIEN PLC NEW	07/27/2012	10/31/2012	55.00	55.00	
1. COVIDIEN PLC NEW	07/27/2012	11/02/2012	56.00	55.00	1.00
1. EOG RESOURCES INC	09/10/2012	11/05/2012	116.00	114.00	2.00
1. EOG RESOURCES INC	09/07/2012	11/05/2012	116.00	113.00	3.00
2. PIONEER NAT RES CO	04/19/2012	11/05/2012	213.00	212.00	1.00
1. COVIDIEN PLC NEW	07/27/2012	11/05/2012	56.00	55.00	1.00
1. GOLDMAN SACHS GROUP INC	01/26/2012	11/08/2012	116.00	109.00	7.00
1. GOLDMAN SACHS GROUP INC	01/26/2012	11/08/2012	117.00	109.00	8.00
1. GOLDMAN SACHS GROUP INC	01/26/2012	11/08/2012	116.00	109.00	7.00
1. GOLDMAN SACHS GROUP INC	01/26/2012	11/09/2012	115.00	109.00	6.00
3. LAM RESEARCH CORPORATIO	01/06/2012	11/16/2012	103.00	113.00	-10.00
6. LAM RESEARCH CORPORATIO	VAR	11/19/2012	208.00	221.00	-13.00
5. LAM RESEARCH CORPORATIO	07/06/2012	11/19/2012	173.00	177.00	-4.00
3. LAM RESEARCH CORPORATIO	07/06/2012	11/20/2012	103.00	106.00	-3.00
2. CSX CORP	05/29/2012	12/04/2012	39.00	43.00	-4.00
11. CSX CORP	VAR	12/04/2012	216.00	237.00	-21.00
4. CSX CORP	VAR	12/05/2012	79.00	86.00	-7.00
21. FREEPORT-MCMORAN COPPE B	VAR	12/05/2012	689.00	954.00	-265.00
2. FREEPORT-MCMORAN COPPER	03/20/2012	12/05/2012	66.00	78.00	-12.00

1b Total. *Combine the amounts in column (f) Enter here and on Schedule D, line 1b* . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D-1 (Form 1041) 2012**

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SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a

▶ Information about Schedule D (Form 1041) and its separate instructions is at www irs gov/form1041

OMB No 1545-0092

2012

Name of estate or trust: TR U/W A AND AL SINSHEIMER FOUNDATION

Employer identification number: 13-6047421

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 2. CARNIVAL CORPORATION	09/07/2012	12/12/2012	76.00	74.00	2.00
1. CARNIVAL CORPORATION	09/07/2012	12/12/2012	38.00	37.00	1.00
2. CARNIVAL CORPORATION	09/07/2012	12/13/2012	76.00	74.00	2.00
14. ALTERA CORP	VAR	12/14/2012	460.00	531.00	-71.00
10. ALTERA CORP	VAR	12/14/2012	331.00	378.00	-47.00
1. CARNIVAL CORPORATION	09/07/2012	12/14/2012	38.00	37.00	1.00
2. INTERCONTINENTAL EXCHAN	01/23/2012	12/14/2012	256.00	237.00	19.00
1. CARNIVAL CORPORATION	09/07/2012	12/17/2012	38.00	37.00	1.00
1. CARNIVAL CORPORATION	09/07/2012	12/17/2012	39.00	37.00	2.00
3. CARNIVAL CORPORATION	VAR	12/17/2012	114.00	111.00	3.00
3. CARNIVAL CORPORATION	09/26/2012	12/17/2012	115.00	111.00	4.00
1. INTERCONTINENTAL EXCHAN	01/23/2012	12/17/2012	128.00	119.00	9.00
6. CARNIVAL CORPORATION	VAR	12/18/2012	234.00	221.00	13.00
2. CARNIVAL CORPORATION	09/10/2012	12/18/2012	78.00	74.00	4.00
1. CARNIVAL CORPORATION	09/10/2012	12/18/2012	39.00	37.00	2.00
8. CARNIVAL CORPORATION	VAR	12/18/2012	314.00	294.00	20.00
1. KINDER MORGAN INC	06/29/2012	01/10/2013	37.00	32.00	5.00
3. KINDER MORGAN INC	VAR	01/10/2013	111.00	96.00	15.00
1. KINDER MORGAN INC	06/29/2012	01/11/2013	37.00	32.00	5.00
1. LINKEDIN CORP - A	04/09/2012	01/14/2013	118.00	99.00	19.00
1. KINDER MORGAN INC	06/22/2012	01/15/2013	37.00	32.00	5.00
1. KINDER MORGAN INC	06/22/2012	01/15/2013	37.00	32.00	5.00
1. LINKEDIN CORP - A	04/09/2012	01/15/2013	118.00	99.00	19.00
2. LINKEDIN CORP - A	04/09/2012	01/15/2013	236.00	198.00	38.00

1b Total. *Combine the amounts in column (f). Enter here and on Schedule D, line 1b* . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D-1 (Form 1041) 2012**

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

OMB No 1545-0092

2012

Name of estate or trust: TR U/W A AND AL SINSHEIMER FOUNDATION

Employer identification number: 13-6047421

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1. KINDER MORGAN INC	06/22/2012	01/16/2013	37.00	32.00	5.00
1. KINDER MORGAN INC	06/22/2012	01/16/2013	37.00	32.00	5.00
1. KINDER MORGAN INC	06/28/2012	01/16/2013	37.00	31.00	6.00
2. LINKEDIN CORP - A	04/09/2012	01/16/2013	235.00	198.00	37.00
1. LINKEDIN CORP - A	04/09/2012	01/16/2013	118.00	99.00	19.00
2. KINDER MORGAN INC	VAR	01/17/2013	74.00	62.00	12.00
1. KINDER MORGAN INC	06/27/2012	01/17/2013	37.00	31.00	6.00
1. KINDER MORGAN INC	06/25/2012	01/17/2013	37.00	31.00	6.00
1. KINDER MORGAN INC	06/27/2012	01/17/2013	37.00	31.00	6.00
1. LINKEDIN CORP - A	04/09/2012	01/17/2013	118.00	99.00	19.00
1. ORACLE CORP	04/12/2012	01/17/2013	35.00	29.00	6.00
2. EOG RESOURCES INC	01/19/2012	01/18/2013	252.00	212.00	40.00
1. EOG RESOURCES INC	01/19/2012	01/18/2013	126.00	106.00	20.00
1. KINDER MORGAN INC	06/25/2012	01/18/2013	37.00	31.00	6.00
1. KINDER MORGAN INC	06/28/2012	01/18/2013	37.00	31.00	6.00
1. KINDER MORGAN INC	06/27/2012	01/22/2013	38.00	31.00	7.00
1. KINDER MORGAN INC	06/27/2012	01/22/2013	38.00	31.00	7.00
1. KINDER MORGAN INC	06/26/2012	01/22/2013	38.00	31.00	7.00
1. KINDER MORGAN INC	06/26/2012	01/23/2013	38.00	31.00	7.00
2. KINDER MORGAN INC	06/26/2012	01/25/2013	74.00	62.00	12.00
2. KINDER MORGAN INC	VAR	01/28/2013	75.00	62.00	13.00
1. KINDER MORGAN INC	06/25/2012	01/28/2013	37.00	31.00	6.00
1. AMERICAN EXPRESS CO	10/22/2012	02/01/2013	60.00	57.00	3.00
1. AMERICAN EXPRESS CO	10/22/2012	02/01/2013	60.00	57.00	3.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

OMB No 1545-0092

2012

Name of estate or trust: TR U/W A AND AL SINSHEIMER FOUNDATION

Employer identification number: 13-6047421

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1. AMERICAN EXPRESS CO	10/23/2012	02/01/2013	60.00	56.00	4.00
1. AMERICAN EXPRESS CO	10/23/2012	02/01/2013	60.00	56.00	4.00
1. AMERICAN EXPRESS CO	10/23/2012	02/01/2013	60.00	56.00	4.00
1. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	59.00	56.00	3.00
1. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	59.00	56.00	3.00
1. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	60.00	56.00	4.00
1. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	60.00	56.00	4.00
1. AMERICAN EXPRESS CO	10/23/2012	02/04/2013	59.00	56.00	3.00
1. AMERICAN EXPRESS CO	10/23/2012	02/05/2013	60.00	56.00	4.00
1. AMERICAN EXPRESS CO	10/23/2012	02/05/2013	60.00	56.00	4.00
1. AMERICAN EXPRESS CO	10/23/2012	02/05/2013	60.00	56.00	4.00
2. AMERICAN EXPRESS CO	VAR	02/05/2013	121.00	112.00	9.00
1. TIME WARNER CABLE INC	07/27/2012	02/07/2013	88.00	85.00	3.00
8. TIME WARNER CABLE INC	07/27/2012	02/07/2013	701.00	677.00	24.00
8. NETAPP INC	03/20/2012	02/08/2013	288.00	363.00	-75.00
1. NETAPP INC	03/20/2012	02/08/2013	36.00	45.00	-9.00
1. NETAPP INC	03/20/2012	02/08/2013	36.00	45.00	-9.00
2. NETAPP INC	03/20/2012	02/08/2013	71.00	91.00	-20.00
1. TIME WARNER CABLE INC	07/27/2012	02/08/2013	88.00	85.00	3.00
11. NETAPP INC	07/06/2012	02/11/2013	390.00	327.00	63.00
2. GENERAL MLS INC	04/13/2012	02/13/2013	86.00	78.00	8.00
19. GENERAL MLS INC	VAR	02/13/2013	815.00	736.00	79.00
3. HALLIBURTON CO	01/07/2013	02/13/2013	123.00	110.00	13.00
18. HALLIBURTON CO	VAR	02/13/2013	735.00	659.00	76.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a

▶ Information about Schedule D (Form 1041) and its separate instructions is at www irs gov/form1041

OMB No 1545-0092

2012

Name of estate or trust: TR U/W A AND AL SINSHEIMER FOUNDATION

Employer identification number: 13-6047421

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 4514.633 JPMORGAN SHORT DU FUND	06/07/2012	02/13/2013	49,571.00	49,571.00	
2. PIONEER NAT RES CO	04/19/2012	02/26/2013	242.00	212.00	30.00
9. FREEPORT-MCMORAN COPPER	03/20/2012	03/01/2013	282.00	351.00	-69.00
1. PRUDENTIAL FINL INC	06/05/2012	03/04/2013	55.00	46.00	9.00
2. WELLS FARGO & CO NEW	03/16/2012	03/04/2013	71.00	68.00	3.00
2. WELLS FARGO & CO NEW	03/16/2012	03/04/2013	71.00	68.00	3.00
1. WELLS FARGO & CO NEW	03/16/2012	03/04/2013	36.00	34.00	2.00
1. WELLS FARGO & CO NEW	03/16/2012	03/04/2013	36.00	34.00	2.00
1. PRUDENTIAL FINL INC	06/05/2012	03/05/2013	57.00	46.00	11.00
1. PRUDENTIAL FINL INC	06/05/2012	03/05/2013	57.00	46.00	11.00
1. PRUDENTIAL FINL INC	06/05/2012	03/05/2013	57.00	46.00	11.00
1. PRUDENTIAL FINL INC	06/05/2012	03/05/2013	57.00	46.00	11.00
1. WELLS FARGO & CO NEW	03/16/2012	03/05/2013	36.00	34.00	2.00
2. WELLS FARGO & CO NEW	03/16/2012	03/05/2013	72.00	68.00	4.00
2. WELLS FARGO & CO NEW	03/16/2012	03/05/2013	72.00	68.00	4.00
1. WELLS FARGO & CO NEW	03/16/2012	03/05/2013	36.00	34.00	2.00
1. PRUDENTIAL FINL INC	06/05/2012	03/06/2013	57.00	46.00	11.00
10. QUALCOMM INC	05/07/2012	03/06/2013	666.00	619.00	47.00
2. QUALCOMM INC	05/07/2012	03/06/2013	133.00	124.00	9.00
2. WELLS FARGO & CO NEW	03/16/2012	03/06/2013	72.00	68.00	4.00
3. QUALCOMM INC	12/14/2012	03/07/2013	200.00	181.00	19.00
3. QUALCOMM INC	12/14/2012	03/08/2013	201.00	181.00	20.00
14. MICROSOFT CORP	07/06/2012	03/15/2013	392.00	421.00	-29.00
1. CBS CORP	12/03/2012	03/19/2013	47.00	36.00	11.00
1b Total Combine the amounts in column (f) Enter here and on Schedule D, line 1b					

SCHEDULE D-1 (Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a

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OMB No 1545 0092

2012

Name of estate or trust: TR U/W A AND AL SINSHEIMER FOUNDATION

Employer identification number: 13-6047421

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 2. CBS CORP	12/03/2012	03/19/2013	91.00	72.00	19.00
1. CBS CORP	12/03/2012	03/19/2013	47.00	36.00	11.00
2. CBS CORP	12/03/2012	03/19/2013	92.00	72.00	20.00
1. CBS CORP	12/03/2012	03/19/2013	46.00	36.00	10.00
1. CBS CORP	12/03/2012	03/19/2013	46.00	36.00	10.00
1. CBS CORP	12/03/2012	03/19/2013	46.00	36.00	10.00
2. CBS CORP	VAR	03/20/2013	93.00	72.00	21.00
2. CBS CORP	VAR	03/20/2013	93.00	71.00	22.00
2. CBS CORP	12/04/2012	03/25/2013	91.00	71.00	20.00
5. CBS CORP	12/04/2012	03/25/2013	227.00	179.00	48.00
2. CBS CORP	12/04/2012	03/25/2013	91.00	71.00	20.00
2. CBS CORP	12/04/2012	03/26/2013	92.00	71.00	21.00
1. CBS CORP	12/04/2012	03/26/2013	46.00	36.00	10.00
1. CBS CORP	12/04/2012	03/26/2013	45.00	36.00	9.00
2. CBS CORP	12/05/2012	03/28/2013	93.00	71.00	22.00
1. CBS CORP	12/05/2012	03/28/2013	46.00	36.00	10.00
1. CBS CORP	12/05/2012	04/01/2013	47.00	36.00	11.00
1. CBS CORP	12/05/2012	04/01/2013	46.00	36.00	10.00
1. CBS CORP	12/05/2012	04/01/2013	46.00	36.00	10.00
1. CBS CORP	12/05/2012	04/01/2013	46.00	36.00	10.00
1. CBS CORP	12/05/2012	04/02/2013	45.00	36.00	9.00
2. CBS CORP	12/05/2012	04/03/2013	90.00	71.00	19.00
3. BAIDU.COM-ADR	09/26/2012	04/04/2013	255.00	339.00	-84.00
3. CBS CORP	12/05/2012	04/04/2013	135.00	107.00	28.00
1b **Total.** Combine the amounts in column (f). Enter here and on Schedule D, line 1b					

SCHEDULE D-1 (Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a

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OMB No 1545-0092

2012

Name of estate or trust: TR U/W A AND AL SINSHEIMER FOUNDATION

Employer identification number: 13-6047421

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 7. CAMERON INTERNATIONAL C	VAR	04/04/2013	429.00	450.00	-21.00
14. CHENIERE ENERGY INC	02/13/2013	04/04/2013	355.00	303.00	52.00
3. CAMERON INTERNATIONAL C	VAR	04/05/2013	182.00	175.00	7.00
9. CHENIERE ENERGY INC	VAR	04/05/2013	231.00	154.00	77.00
1. CAREFUSION CORPORATION	09/18/2012	04/08/2013	34.00	28.00	6.00
9. CAREFUSION CORPORATION	VAR	04/08/2013	308.00	251.00	57.00
1. CAREFUSION CORPORATION	09/10/2012	04/08/2013	34.00	28.00	6.00
2. PRUDENTIAL FINL INC	06/05/2012	04/08/2013	111.00	92.00	19.00
2. CAREFUSION CORPORATION	09/10/2012	04/09/2013	69.00	55.00	14.00
3. CAREFUSION CORPORATION	VAR	04/09/2013	104.00	81.00	23.00
1. CAREFUSION CORPORATION	04/30/2012	04/10/2013	35.00	26.00	9.00
6. CAREFUSION CORPORATION	VAR	04/10/2013	208.00	156.00	52.00
1. CAREFUSION CORPORATION	04/13/2012	04/10/2013	35.00	26.00	9.00
2. EMERSON ELEC CO	VAR	04/12/2013	112.00	110.00	2.00
4. EMERSON ELEC CO	VAR	04/12/2013	223.00	220.00	3.00
7. COGNIZANT TECHNOLOGY SO	05/07/2012	04/16/2013	513.00	408.00	105.00
11. 3M CO	VAR	04/22/2013	1,162.00	1,067.00	95.00
7. CISCO SYS INC	02/08/2013	04/25/2013	144.00	149.00	-5.00
3. CISCO SYS INC	02/08/2013	04/25/2013	62.00	64.00	-2.00
12. CISCO SYS INC	VAR	04/25/2013	248.00	254.00	-6.00
20. CISCO SYS INC	01/16/2013	04/25/2013	413.00	422.00	-9.00
7. CISCO SYS INC	VAR	04/26/2013	144.00	143.00	1.00
2. CISCO SYS INC	12/05/2012	04/26/2013	41.00	39.00	2.00
1. CISCO SYS INC	12/05/2012	04/26/2013	21.00	19.00	2.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

SCHEDULE D-1 (Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a

▶ Information about Schedule D (Form 1041) and its separate instructions is at www irs gov/form1041

OMB No 1545 0092

2012

Name of estate or trust: TR U/W A AND AL SINSHEIMER FOUNDATION

Employer identification number: 13-6047421

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a	7. CISCO SYS INC	12/05/2012	04/26/2013	144.00	136.00	8.00
	4. CISCO SYS INC	12/05/2012	04/29/2013	83.00	77.00	6.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . -14,646.00

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D-1 (Form 1041) 2012**

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Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: TR U/W A AND AL SINSHEIMER FOUNDATION

Employer identification number: 13-6047421

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 100000. CS BREN EAFE 05/0	04/21/2011	05/02/2012	89,457.00	99,000.00	-9,543.00
5. NORFOLK SOUTHN CORP	01/14/2009	05/24/2012	337.00	198.00	139.00
1. NORFOLK SOUTHN CORP	01/14/2009	05/25/2012	67.00	40.00	27.00
2. NORFOLK SOUTHN CORP	01/14/2009	05/25/2012	134.00	79.00	55.00
1. NORFOLK SOUTHN CORP	01/14/2009	05/25/2012	67.00	40.00	27.00
1. NORFOLK SOUTHN CORP	01/14/2009	05/25/2012	66.00	40.00	26.00
1. NORFOLK SOUTHN CORP	01/14/2009	05/25/2012	66.00	40.00	26.00
7. NORFOLK SOUTHN CORP	01/14/2009	05/29/2012	468.00	277.00	191.00
2. NORFOLK SOUTHN CORP	01/14/2009	05/29/2012	134.00	79.00	55.00
5. NORFOLK SOUTHN CORP	01/14/2009	05/30/2012	327.00	198.00	129.00
1. NORFOLK SOUTHN CORP	01/14/2009	05/30/2012	66.00	40.00	26.00
10386.133 EATON VANCE MUT	01/07/2011	05/31/2012	92,852.00	93,342.00	-490.00
4. NORFOLK SOUTHN CORP	01/14/2009	05/31/2012	261.00	158.00	103.00
3. NORFOLK SOUTHN CORP	01/14/2009	05/31/2012	197.00	119.00	78.00
14. US BANCORP DEL NEW	04/02/2009	06/05/2012	408.00	213.00	195.00
5. US BANCORP DEL NEW	04/02/2009	06/05/2012	146.00	76.00	70.00
14. US BANCORP DEL NEW	04/02/2009	06/05/2012	408.00	213.00	195.00
8. US BANCORP DEL NEW	04/02/2009	06/05/2012	234.00	121.00	113.00
2. US BANCORP DEL NEW	04/02/2009	06/05/2012	58.00	30.00	28.00
2. US BANCORP DEL NEW	04/02/2009	06/05/2012	58.00	30.00	28.00
7008.048 DREYFUS/LAUREL FD	04/27/2010	06/07/2012	95,730.00	98,393.00	-2,663.00
10629.328 JPMORGAN INTERNA CURRENCY	12/16/2009	06/07/2012	114,584.00	115,222.00	-638.00
2161. POWERSHARES DB COMMO TRACKING FUND	06/09/2010	06/07/2012	55,055.00	57,496.00	-2,441.00
1. NIKE INC	03/30/2011	06/15/2012	101.00	77.00	24.00
3. NIKE INC	VAR	06/15/2012	304.00	200.00	104.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . .

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TR U/W A AND AL SINSHEIMER FOUNDATION

Employer identification number

13-6047421

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 2. NIKE INC	01/07/2008	06/15/2012	201.00	124.00	77.00
1. NIKE INC	01/07/2008	06/18/2012	101.00	62.00	39.00
1. PROCTER & GAMBLE CO	03/21/2000	06/21/2012	60.00	28.00	32.00
3. CVS CORP	10/11/2005	06/22/2012	137.00	76.00	61.00
4285.398 JPMORGAN ASIA EQU	VAR	06/26/2012	118,063.00	122,957.00	-4,894.00
1. WILLIAMS COS INC	05/05/2011	06/26/2012	28.00	25.00	3.00
2. WILLIAMS COS INC	05/05/2011	06/26/2012	55.00	51.00	4.00
3. WILLIAMS COS INC	05/05/2011	06/26/2012	83.00	76.00	7.00
100000. BARC BREN EAFE 06	06/10/2011	06/27/2012	88,507.00	99,000.00	-10,493.00
1. WILLIAMS COS INC	05/05/2011	06/27/2012	28.00	25.00	3.00
2. WILLIAMS COS INC	05/05/2011	06/27/2012	56.00	51.00	5.00
1. WILLIAMS COS INC	05/05/2011	06/27/2012	28.00	25.00	3.00
1. WILLIAMS COS INC	05/05/2011	06/27/2012	28.00	25.00	3.00
1. WILLIAMS COS INC	05/05/2011	06/27/2012	28.00	25.00	3.00
1. WILLIAMS COS INC	05/05/2011	06/27/2012	28.00	25.00	3.00
1. WILLIAMS COS INC	05/05/2011	06/28/2012	28.00	25.00	3.00
1. WILLIAMS COS INC	05/05/2011	06/28/2012	28.00	25.00	3.00
1. WILLIAMS COS INC	05/05/2011	06/28/2012	28.00	25.00	3.00
1. WILLIAMS COS INC	05/05/2011	06/28/2012	28.00	25.00	3.00
1. WILLIAMS COS INC	05/05/2011	06/29/2012	29.00	25.00	4.00
1. WILLIAMS COS INC	05/05/2011	06/29/2012	28.00	25.00	3.00
1. WILLIAMS COS INC	05/05/2011	06/29/2012	29.00	25.00	4.00
1. WILLIAMS COS INC	05/05/2011	06/29/2012	29.00	25.00	4.00
50. EMC CORP	VAR	07/06/2012	1,185.00	1,027.00	158.00
20. CVS CORP	10/11/2005	07/09/2012	943.00	508.00	435.00

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TR U/W A AND AL SINSHEIMER FOUNDATION

Employer identification number 13-6047421

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 1380.9 JPMORGAN US REAL ES	12/16/2009	07/10/2012	25,160.00	16,516.00	8,644.00
500. POWERSHARES DB COMMOD TRACKING FUND	06/09/2010	07/10/2012	13,116.00	13,286.00	-170.00
3419.779 THORNBURG VALUE F	12/16/2009	07/10/2012	101,499.00	107,655.00	-6,156.00
2. YUM BRANDS INC	09/10/2009	07/11/2012	124.00	67.00	57.00
5. YUM BRANDS INC	09/10/2009	07/12/2012	312.00	168.00	144.00
1. CAMERON INTERNATIONAL C	03/10/2011	07/18/2012	45.00	58.00	-13.00
8. CAMERON INTERNATIONAL C	VAR	07/18/2012	360.00	421.00	-61.00
3. CAMERON INTERNATIONAL C	05/03/2011	07/18/2012	134.00	155.00	-21.00
18. DU PONT E I DE NEMOURS	VAR	07/18/2012	875.00	739.00	136.00
500. POWERSHARES DB COMMOD TRACKING FUND	06/09/2010	07/20/2012	13,770.00	13,286.00	484.00
2961.671 THORNBURG VALUE F	VAR	07/20/2012	87,251.00	86,458.00	793.00
13. COLGATE PALMOLIVE CO	VAR	07/27/2012	1,392.00	1,021.00	371.00
2. EXXON MOBIL CORP	10/18/2010	09/13/2012	183.00	132.00	51.00
3. NIKE INC	01/07/2008	09/18/2012	293.00	185.00	108.00
2. APPLE COMPUTER INC	VAR	09/19/2012	1,403.00	715.00	688.00
100000. HSBC CONT BUFF EQ	09/02/2011	09/19/2012	120,000.00	99,000.00	21,000.00
2. NIKE INC	01/07/2008	09/19/2012	200.00	124.00	76.00
1. NIKE INC	01/07/2008	09/19/2012	99.00	62.00	37.00
2. NIKE INC	01/07/2008	09/20/2012	194.00	124.00	70.00
1. NIKE INC	01/07/2008	09/20/2012	98.00	62.00	36.00
1. NIKE INC	01/07/2008	09/25/2012	96.00	62.00	34.00
52. CBS CORP	VAR	09/26/2012	1,854.00	1,469.00	385.00
2. ADT CORPORATION	01/13/2011	10/04/2012	77.00	133.00	-56.00
4.878 ADT CORPORATION	VAR	10/05/2012	191.00	91.00	100.00
6. ADT CORPORATION	VAR	10/10/2012	225.00	154.00	71.00

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TR U/W A AND AL SINSHEIMER FOUNDATION

Employer identification number 13-6047421

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a . ADT CORPORATION		10/11/2012	19.00		19.00
. PENTAIR LTD		10/11/2012	38.00		38.00
5. KRAFT FOODS GROUP INC	VAR	10/15/2012	236.00	183.00	53.00
2. KRAFT FOODS GROUP INC	VAR	10/15/2012	94.00	73.00	21.00
1. KRAFT FOODS GROUP INC	VAR	10/15/2012	47.00	36.00	11.00
3. KRAFT FOODS GROUP INC	VAR	10/15/2012	142.00	108.00	34.00
9. KRAFT FOODS GROUP INC	VAR	10/16/2012	422.00	326.00	96.00
6. DU PONT E I DE NEMOURS	05/21/2010	10/17/2012	299.00	215.00	84.00
100000. HSBC CONT BUFF EQ	09/30/2011	10/17/2012	120,000.00	99,000.00	21,000.00
4. DU PONT E I DE NEMOURS	05/21/2010	10/18/2012	201.00	143.00	58.00
4. DU PONT E I DE NEMOURS	05/21/2010	10/18/2012	199.00	143.00	56.00
2. CENTERPOINT ENERGY INC	07/15/2011	10/19/2012	43.00	39.00	4.00
9. CENTERPOINT ENERGY INC	07/15/2011	10/19/2012	192.00	174.00	18.00
7. CENTERPOINT ENERGY INC	07/15/2011	10/22/2012	149.00	135.00	14.00
24. CENTERPOINT ENERGY INC	VAR	10/22/2012	509.00	413.00	96.00
1. CENTERPOINT ENERGY INC	03/14/2011	10/22/2012	21.00	16.00	5.00
. APPLE COMPUTER INC		10/23/2012	24.00		24.00
6. CAPITAL ONE FINL CORP	VAR	10/23/2012	357.00	266.00	91.00
1. CAPITAL ONE FINL CORP	08/11/2011	10/23/2012	60.00	44.00	16.00
1. CAPITAL ONE FINL CORP	08/10/2011	10/23/2012	60.00	42.00	18.00
1. CAPITAL ONE FINL CORP	08/10/2011	10/23/2012	60.00	42.00	18.00
1. CAPITAL ONE FINL CORP	08/10/2011	10/24/2012	60.00	41.00	19.00
19. LOWES COS INC	VAR	10/24/2012	617.00	463.00	154.00
3. LOWES COS INC	02/19/2010	10/24/2012	97.00	69.00	28.00
2. LOWES COS INC	02/19/2010	10/25/2012	64.00	46.00	18.00

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Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 2. LOWES COS INC	02/19/2010	10/25/2012	65.00	46.00	19.00
8. AT&T INC	12/05/2007	11/05/2012	277.00	306.00	-29.00
16. LAUDER ESTEE COS INC C	VAR	11/05/2012	950.00	810.00	140.00
3. CENTERPOINT ENERGY INC	03/14/2011	11/07/2012	62.00	48.00	14.00
6. CENTERPOINT ENERGY INC	03/14/2011	11/07/2012	125.00	95.00	30.00
95000. GS BREN EEM 11/05/1	10/19/2011	11/07/2012	109,472.00	94,050.00	15,422.00
1. CENTERPOINT ENERGY INC	03/14/2011	11/08/2012	21.00	16.00	5.00
25. CENTERPOINT ENERGY INC	03/14/2011	11/08/2012	518.00	397.00	121.00
4. CENTERPOINT ENERGY INC	03/14/2011	11/08/2012	82.00	63.00	19.00
8. CENTERPOINT ENERGY INC	03/14/2011	11/08/2012	166.00	127.00	39.00
1. GOLDMAN SACHS GROUP INC	10/17/2011	11/09/2012	116.00	96.00	20.00
3. JOHNSON CTLS INC	10/28/2011	11/15/2012	75.00	100.00	-25.00
7. JOHNSON CTLS INC	VAR	11/15/2012	176.00	231.00	-55.00
3. JOHNSON CTLS INC	08/09/2010	11/15/2012	75.00	91.00	-16.00
2. LAM RESEARCH CORPORATIO	10/28/2011	11/15/2012	69.00	88.00	-19.00
12. JOHNSON CTLS INC	VAR	11/16/2012	301.00	355.00	-54.00
4. LAM RESEARCH CORPORATIO	10/28/2011	11/16/2012	138.00	175.00	-37.00
3. LAM RESEARCH CORPORATIO	10/28/2011	11/16/2012	103.00	132.00	-29.00
8. DU PONT E I DE NEMOURS	VAR	12/03/2012	343.00	286.00	57.00
7. DU PONT E I DE NEMOURS	06/01/2010	12/03/2012	299.00	250.00	49.00
10. DU PONT E I DE NEMOURS	06/01/2010	12/03/2012	426.00	358.00	68.00
1. DU PONT E I DE NEMOURS	06/01/2010	12/04/2012	42.00	36.00	6.00
6. DU PONT E I DE NEMOURS	06/01/2010	12/04/2012	255.00	215.00	40.00
. BANK OF AMERICA CORPORAT		12/05/2012	19.00		19.00
6. FREEPORT-MCMORAN COPPER	11/08/2011	12/05/2012	197.00	250.00	-53.00

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Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a . ASML HOLDING N.V.		12/10/2012	25.00		25.00
5 AT&T INC	12/05/2007	12/14/2012	170.00	191.00	-21.00
14. AT&T INC	12/05/2007	12/14/2012	477.00	536.00	-59.00
1. INTERCONTINENTAL EXCHAN	08/10/2011	12/17/2012	128.00	112.00	16.00
2. INTERCONTINENTAL EXCHAN	08/10/2011	12/17/2012	255.00	223.00	32.00
1. INTERCONTINENTAL EXCHAN	08/10/2011	12/18/2012	128.00	112.00	16.00
16. AT&T INC	VAR	12/20/2012	543.00	591.00	-48.00
17. AT&T INC	VAR	12/21/2012	574.00	526.00	48.00
2618.658 HIGHBRIDGE DYNAMI COMMODITIES	01/11/2011	12/27/2012	36,714.00	49,440.00	-12,726.00
13. LENNAR CORP	10/21/2011	01/10/2013	533.00	216.00	317.00
4. LENNAR CORP	10/21/2011	01/10/2013	164.00	66.00	98.00
7. PROCTER & GAMBLE CO	03/21/2000	01/15/2013	488.00	199.00	289.00
1. GOLDMAN SACHS GROUP INC	10/17/2011	01/16/2013	140.00	96.00	44.00
1. GOLDMAN SACHS GROUP INC	10/17/2011	01/16/2013	141.00	96.00	45.00
1. GOLDMAN SACHS GROUP INC	10/17/2011	01/16/2013	141.00	96.00	45.00
1. GOLDMAN SACHS GROUP INC	12/23/2008	01/16/2013	141.00	75.00	66.00
1. GOLDMAN SACHS GROUP INC	12/23/2008	01/16/2013	139.00	75.00	64.00
1. ORACLE CORP	12/07/2010	01/16/2013	35.00	29.00	6.00
1. ORACLE CORP	12/07/2010	01/16/2013	35.00	29.00	6.00
2. ORACLE CORP	12/07/2010	01/16/2013	70.00	58.00	12.00
1. ORACLE CORP	12/07/2010	01/16/2013	35.00	29.00	6.00
2. ORACLE CORP	12/07/2010	01/17/2013	69.00	58.00	11.00
8. ORACLE CORP	12/07/2010	01/17/2013	278.00	233.00	45.00
14. JOHNSON CTLS INC	VAR	01/18/2013	430.00	230.00	200.00
2. JOHNSON CTLS INC	03/19/2009	01/18/2013	61.00	23.00	38.00
6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b					

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Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
ia 90000. DB BREN ASIA BASKET	01/13/2012	01/30/2013	106,200.00	89,100.00	17,100.00
75000. DB 95% PPN FX BASKE	07/29/2011	02/01/2013	71,250.00	75,052.00	-3,802.00
3. MERCK & CO INC	04/18/2011	02/05/2013	123.00	102.00	21.00
4. MERCK & CO INC	04/18/2011	02/05/2013	165.00	136.00	29.00
2. MERCK & CO INC	04/18/2011	02/05/2013	83.00	68.00	15.00
1. PFIZER INC	03/03/2009	02/05/2013	27.00	12.00	15.00
5. PFIZER INC	03/03/2009	02/05/2013	137.00	60.00	77.00
1. PFIZER INC	03/03/2009	02/05/2013	27.00	12.00	15.00
3. MERCK & CO INC	04/18/2011	02/06/2013	123.00	102.00	21.00
2. MERCK & CO INC	04/18/2011	02/06/2013	82.00	68.00	14.00
4. PFIZER INC	03/03/2009	02/06/2013	109.00	48.00	61.00
1. PFIZER INC	03/03/2009	02/06/2013	27.00	12.00	15.00
10. JOHNSON CTLS INC	03/19/2009	02/07/2013	306.00	114.00	192.00
2. MERCK & CO INC	VAR	02/07/2013	82.00	64.00	18.00
1. PFIZER INC	03/03/2009	02/07/2013	27.00	12.00	15.00
3. JOHNSON CTLS INC	03/19/2009	02/08/2013	93.00	34.00	59.00
8. MERCK & CO INC	VAR	02/08/2013	328.00	249.00	79.00
2. NETAPP INC	09/20/2011	02/08/2013	71.00	71.00	
2. PFIZER INC	03/03/2009	02/08/2013	54.00	24.00	30.00
1. PFIZER INC	03/03/2009	02/08/2013	27.00	12.00	15.00
4. PFIZER INC	03/03/2009	02/08/2013	107.00	48.00	59.00
11. NETAPP INC	09/20/2011	02/11/2013	390.00	392.00	-2.00
1. PFIZER INC	03/03/2009	02/11/2013	27.00	12.00	15.00
4. PFIZER INC	03/03/2009	02/11/2013	108.00	48.00	60.00
1. PFIZER INC	03/03/2009	02/11/2013	27.00	12.00	15.00

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TR U/W A AND AL SINSHEIMER FOUNDATION	13-6047421

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 1. PFIZER INC	03/03/2009	02/11/2013	27.00	12.00	15.00
1. PFIZER INC	03/03/2009	02/12/2013	27.00	12.00	15.00
3. PFIZER INC	03/03/2009	02/12/2013	81.00	36.00	45.00
1. PFIZER INC	03/03/2009	02/12/2013	27.00	12.00	15.00
1. PFIZER INC	03/03/2009	02/12/2013	27.00	12.00	15.00
1. PFIZER INC	03/03/2009	02/12/2013	27.00	12.00	15.00
2. PFIZER INC	03/03/2009	02/12/2013	54.00	24.00	30.00
10627.065 JPMORGAN US LARG PLUS FUND SEL	05/20/2011	02/13/2013	253,137.00	228,163.00	24,974.00
19858.795 JPMORGAN GROWTH FUND	08/19/2011	02/13/2013	212,688.00	150,530.00	62,158.00
9794.26 JPMORGAN US REAL E	12/16/2009	02/13/2013	163,760.00	117,139.00	46,621.00
8275.902 JPMORGAN HIGH YIE	VAR	02/13/2013	67,862.00	63,157.00	4,705.00
1. PFIZER INC	03/03/2009	02/13/2013	27.00	12.00	15.00
2. PFIZER INC	03/03/2009	02/13/2013	54.00	24.00	30.00
1. PFIZER INC	03/03/2009	02/13/2013	27.00	12.00	15.00
4. PFIZER INC	03/03/2009	02/13/2013	108.00	48.00	60.00
. AXIALL CORPORATION		02/15/2013	43.00		43.00
90000. GS BREN EAFE 02/21	02/03/2012	02/21/2013	100,450.00	89,100.00	11,350.00
6. PIONEER NAT RES CO	VAR	02/26/2013	728.00	526.00	202.00
1. PIONEER NAT RES CO	08/10/2011	02/26/2013	122.00	74.00	48.00
1. PIONEER NAT RES CO	08/10/2011	02/26/2013	124.00	74.00	50.00
1. PIONEER NAT RES CO	08/10/2011	02/26/2013	124.00	74.00	50.00
20. FREEPORT-MCMORAN COPPE B	02/09/2009	03/01/2013	628.00	302.00	326.00
2. PRUDENTIAL FINL INC	08/12/2011	03/04/2013	110.00	103.00	7.00
1. PRUDENTIAL FINL INC	08/12/2011	03/04/2013	55.00	52.00	3.00
35. PFIZER INC	03/03/2009	03/12/2013	983.00	421.00	562.00

6b Total Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . .

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Employer identification number: 13-6047421

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 5. PFIZER INC	03/03/2009	03/13/2013	140.00	60.00	80.00
7. PFIZER INC	03/03/2009	03/13/2013	196.00	84.00	112.00
2. PFIZER INC	03/03/2009	03/13/2013	56.00	24.00	32.00
7. JUNIPER NETWORKS INC	10/05/2011	03/14/2013	143.00	129.00	14.00
4. JUNIPER NETWORKS INC	VAR	03/14/2013	82.00	66.00	16.00
8. JUNIPER NETWORKS INC	04/01/2009	03/14/2013	164.00	127.00	37.00
9. PFIZER INC	03/03/2009	03/14/2013	252.00	108.00	144.00
1. PFIZER INC	03/03/2009	03/14/2013	28.00	12.00	16.00
2. PFIZER INC	03/03/2009	03/14/2013	56.00	24.00	32.00
8. JUNIPER NETWORKS INC	04/01/2009	03/15/2013	163.00	127.00	36.00
24. JUNIPER NETWORKS INC	04/01/2009	03/15/2013	485.00	381.00	104.00
1. MICROSOFT CORP	08/11/2011	03/15/2013	28.00	25.00	3.00
4. PFIZER INC	03/03/2009	03/15/2013	112.00	48.00	64.00
4. PFIZER INC	03/03/2009	03/15/2013	111.00	48.00	63.00
1. CME GROUP INC	02/14/2012	03/18/2013	63.00	58.00	5.00
3. CME GROUP INC	02/14/2012	03/18/2013	188.00	173.00	15.00
1. CME GROUP INC	02/14/2012	03/18/2013	63.00	58.00	5.00
5. PFIZER INC	03/03/2009	03/18/2013	140.00	60.00	80.00
1. CME GROUP INC	02/14/2012	03/19/2013	62.00	58.00	4.00
3. CME GROUP INC	02/14/2012	03/19/2013	184.00	173.00	11.00
4. PFIZER INC	03/03/2009	03/19/2013	112.00	48.00	64.00
4. PFIZER INC	03/03/2009	03/19/2013	112.00	48.00	64.00
1. CME GROUP INC	02/14/2012	03/20/2013	62.00	58.00	4.00
2. CME GROUP INC	02/14/2012	03/20/2013	124.00	116.00	8.00
2. CME GROUP INC	02/14/2012	03/20/2013	124.00	116.00	8.00

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lame of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	Employer identification number
TR U/W A AND AL SINSHEIMER FOUNDATION	13-6047421

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 1. CME GROUP INC	02/14/2012	03/20/2013	62.00	58.00	4.00
1. CME GROUP INC	02/14/2012	03/20/2013	62.00	58.00	4.00
100000. HSBC BREN EAFE 03	03/02/2012	03/20/2013	108,899.00	99,000.00	9,899.00
7. PFIZER INC	03/03/2009	03/20/2013	197.00	84.00	113.00
5. PFIZER INC	03/03/2009	03/20/2013	141.00	60.00	81.00
9. PFIZER INC	03/03/2009	03/21/2013	253.00	108.00	145.00
4. PFIZER INC	03/03/2009	03/22/2013	113.00	48.00	65.00
2. PFIZER INC	03/03/2009	03/22/2013	57.00	24.00	33.00
3. PFIZER INC	03/03/2009	03/22/2013	85.00	36.00	49.00
1. VERTEX PHARMACEUTICALS	12/15/2011	03/28/2013	55.00	31.00	24.00
1. VERTEX PHARMACEUTICALS	12/15/2011	04/01/2013	54.00	31.00	23.00
1. VERTEX PHARMACEUTICALS	12/15/2011	04/01/2013	54.00	31.00	23.00
1. VERTEX PHARMACEUTICALS	12/15/2011	04/02/2013	55.00	31.00	24.00
2. VERTEX PHARMACEUTICALS	12/15/2011	04/03/2013	106.00	63.00	43.00
6. BAIDU.COM-ADR	09/21/2010	04/04/2013	511.00	552.00	-41.00
175000. CS CONT BUFF EQ SP	03/16/2012	04/04/2013	194,691.00	173,250.00	21,441.00
1. VERTEX PHARMACEUTICALS	12/15/2011	04/04/2013	53.00	31.00	22.00
3. CARDINAL HEALTH INC	09/16/2011	04/08/2013	128.00	129.00	-1.00
1. CARDINAL HEALTH INC	07/01/2010	04/08/2013	42.00	33.00	9.00
10. CARDINAL HEALTH INC	VAR	04/08/2013	425.00	332.00	93.00
13. PRUDENTIAL FINL INC	04/02/2009	04/08/2013	722.00	291.00	431.00
1. PRUDENTIAL FINL INC	04/02/2009	04/08/2013	55.00	22.00	33.00
1. PRUDENTIAL FINL INC	04/02/2009	04/08/2013	55.00	22.00	33.00
9. PRUDENTIAL FINL INC	04/02/2009	04/08/2013	499.00	202.00	297.00
3. COVIDIEN PLC NEW	02/11/2011	04/08/2013	201.00	151.00	50.00

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TR U/W A AND AL SINSHEIMER FOUNDATION

Employer identification number 13-6047421

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 1. PRUDENTIAL FINL INC	04/02/2009	04/09/2013	56.00	22.00	34.00
1. COVIDIEN PLC NEW	07/25/2011	04/09/2013	67.00	50.00	17.00
1. COVIDIEN PLC NEW	07/25/2011	04/10/2013	68.00	50.00	18.00
1. COVIDIEN PLC NEW	07/25/2011	04/11/2013	68.00	50.00	18.00
1. COVIDIEN PLC NEW	07/25/2011	04/11/2013	69.00	50.00	19.00
1. COVIDIEN PLC NEW	07/25/2011	04/11/2013	68.00	50.00	18.00
9. EMERSON ELEC CO	07/13/2011	04/12/2013	503.00	510.00	-7.00
2. EMERSON ELEC CO	05/18/2011	04/12/2013	112.00	108.00	4.00
1. COVIDIEN PLC NEW	08/16/2011	04/12/2013	68.00	50.00	18.00
2. BIOGEN IDEC INC	08/16/2011	04/15/2013	401.00	182.00	219.00
2. COGNIZANT TECHNOLOGY SO	06/16/2010	04/16/2013	147.00	108.00	39.00
2156.351 EATON VANCE MUT F	01/07/2011	04/16/2013	19,882.00	19,380.00	502.00
24220.443 JPMORGAN HIGH YI FUND	04/01/2008	04/16/2013	201,272.00	182,622.00	18,650.00
1. COVIDIEN PLC NEW	08/16/2011	04/16/2013	67.00	50.00	17.00
3. GOLDMAN SACHS GROUP INC	12/23/2008	04/18/2013	418.00	226.00	192.00
1. COVIDIEN PLC NEW	08/16/2011	04/18/2013	66.00	50.00	16.00
7. CME GROUP INC	02/14/2012	04/19/2013	412.00	405.00	7.00
9. GOLDMAN SACHS GROUP INC	12/23/2008	04/19/2013	1,247.00	678.00	569.00
2. COGNIZANT TECHNOLOGY SO	06/16/2010	04/25/2013	124.00	108.00	16.00
2. COGNIZANT TECHNOLOGY SO	VAR	04/25/2013	123.00	102.00	21.00
100000. UBS PALLADIUM CBEN	04/13/2012	04/25/2013	108,000.00	99,000.00	9,000.00
1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	62.00	48.00	14.00
6. COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	372.00	289.00	83.00
1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/26/2013	62.00	48.00	14.00
1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	63.00	48.00	15.00

6b Total Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

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TR U/W A AND AL SINSHEIMER FOUNDATION

Employer identification number

13-6047421

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
5a 1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	63.00	48.00	15.00
1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	63.00	48.00	15.00
1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	63.00	48.00	15.00
1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	63.00	48.00	15.00
1. COGNIZANT TECHNOLOGY SO	02/26/2010	04/29/2013	63.00	48.00	15.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b 253,478.00

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
DIVIDENDS AND INTEREST	136,176.	136,176.
MUNICIPAL INTEREST		2,084.
ORIGINAL ISSUE DISCOUNT	2,084.	2,084.
LIQUIDATING DIVIDEND	418.	418.
TOTAL	138,678.	140,762.

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
POWERSHARES DB COMMODITY TRACKING INDEX		1,417.
JPMORGAN ACCESS MULTI STRATFUND LLC STC		3,883.
JPMORGAN ACCESS MULTI STRATFUND LLC- LTC		6,379.
JPMORGAN ACCESS MULTI STRATFUND LLC 1231		11.
JPMORGAN ACCESS MULTI STRT FUND LLC 1256		74.
TOTALS		11,764.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FED 2210	114.	
ESTIMATED TAX FORM 990-PF	1,112.	
ESTIMATED TAX FORM 990-T	1,958.	
NY CT 13 TAX	3,836.	
FOREIGN TAX PAID	2,455.	2,455.
TOTALS	9,475.	2,455.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
NY STATE AG FILING FEE	250.		250.
POWERSHARES DB COMMODITY TRACK		2,170.	
TOTALS	250.	2,170.	250.

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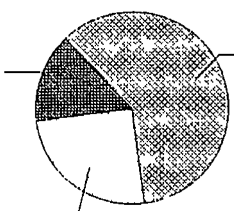
RECIPIENT NAME:
Wing Wilson, Vice President
ADDRESS:
270 Park Ave., fl 16
New York, NY 10017
RECIPIENT'S PHONE NUMBER: 212-464-1497
FORM, INFORMATION AND MATERIALS:
Sinsheimer Scholar Awards Application form
SUBMISSION DEADLINES:
February 15 to be considered by April 30
RESTRICTIONS OR LIMITATIONS ON AWARDS:
medical students in medical schools
in Metropolitan New York

Account Summary

PRINCIPAL

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Equity	5,133,311 64	6,205,367 20	1,072,055 56	84,705 23	60%
Alternative Assets	2,456,489 22	2,500,791 90	44,302 68	3,156 28	25%
Cash & Fixed Income	2,059,332 01	1,474,908 70	(584,423 31)	32,541 43	15%
Market Value	**$9,649,132.87**	**$10,181,067.80**	**$531,934.93**	**$120,402.94**	**100%**

Asset Allocation



INCOME

Cash Position	Beginning Market Value	Ending Market Value	Change In Value
Cash Balance	78,491 88	41,309.71	(37,182 17)
Accruals	3,609 31	747 55	(2,861.76)
Market Value	**$82,101.19**	**$42,057.26**	**($40,043.93)**

Account Summary CONTINUED

Portfolio Activity	PRINCIPAL Current Period Value	PRINCIPAL Year-to-Date Value	INCOME Current Period Value	INCOME Year-to-Date Value
Beginning Market Value	**9,649,132.87**	**9,649,132.87**	**78,491.88**	**78,491.88**
Additions	50,000 00	50,000 00	263,643 59	263,643 59
Withdrawals & Fees	(417,781 74)	(417,781 74)	(436,402 56)	(436,402 56)
Securities Transferred In	853,114 40	853,114 40	--	--
Securities Transferred Out	(853,249 26)	(853,249 26)	--	--
Net Additions/Withdrawals	**($367,916.60)**	**($367,916.60)**	**($172,758.97)**	**($172,758.97)**
Income	2,321 54	2,321 54	135,576 80	135,576 80
Change In Investment Value	897,529 99	897,529 99		
Ending Market Value	**$10,181,067.80**	**$10,181,067.80**	**$41,309.71**	**$41,309.71**
Accruals	--	--	747 55	747 55
Market Value with Accruals	**--**	**--**	**$42,057.26**	**$42,057.26**

Account Summary CONTINUED

Tax Summary	Current Period Value	Year-to-Date Value
Domestic Dividends/Distributions	135,170 27	135,170 27
Foreign Dividends	518 84	518 84
Interest Income	125 08	125 08
Original Issue Discount	2,084 15	2,084 15
Taxable Income	**$137,898.34**	**$137,898.34**

	Current Period Value	Year-to-Date Value
LT Capital Gain Distributions	68,915 36	68,915 36
ST Realized Gain/Loss	(14,800 97)	(14,800 97)
LT Realized Gain/Loss	269,061 38	269,061 38
Realized Gain/Loss	**$323,175.77**	**$323,175.77**

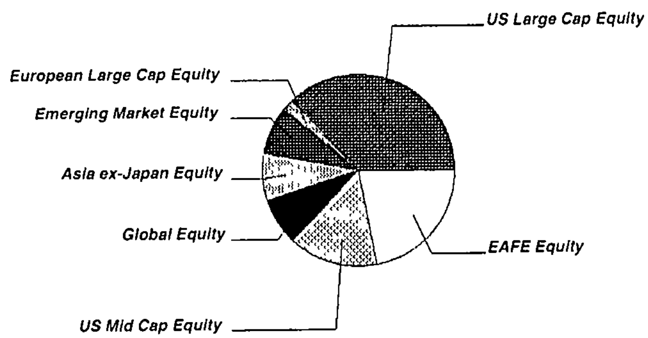
	To-Date Value
Unrealized Gain/Loss	**$1,063,793.07**

Cost Summary	Cost
Equity	5,211,717 96
Cash & Fixed Income	1,450,841 53
Total	**$6,662,559.49**

Equity Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
US Large Cap Equity	2,579,263 49	2,368,339 00	(210,924.49)	22%
US Mid Cap Equity	770,732 19	894,184 86	123,452 67	9%
EAFE Equity	1,031,981.83	1,335,368.51	303,386 68	13%
European Large Cap Equity	0 00	106,381.00	106,381 00	1%
Japanese Large Cap Equity	185,060 00	0 00	(185,060 00)	
Asia ex-Japan Equity	375,676 13	509,876 04	134,199 91	5%
Emerging Market Equity	190,598 00	484,514 74	293,916 74	5%
Global Equity	0 00	506,703 05	506,703.05	5%
Total Value	**$5,133,311.64**	**$6,205,367.20**	**$1,072,055.56**	**60%**

Asset Categories



Equity as a percentage of your portfolio - 60 %

Market Value/Cost	Current Period Value
Market Value	6,205,367 20
Tax Cost	5,211,717 96
Unrealized Gain/Loss	993,649 24
Estimated Annual Income	84,705 23
Accrued Dividends	182 20
Yield	1 36%

Note· P indicates position adjusted for Pending Trade Activity

Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc. / Accrued Div.	Yield
US Large Cap Equity							
ACE LTD NEW H0023R-10-5 ACE	89 14	34 000	3,030 76	1,955 93	1,074 83	66 64	2 20%
ADOBE SYSTEMS INC 00724F-10-1 ADBE	45 08	22 000	991 76	720 38	271 38		
ALLERGAN INC 018490-10-2 AGN	113 55	15 000	1,703 25	1,296 64	406 61	3 00	0 18%
AMAZON COM INC 023135-10-6 AMZN	253 81	12 000	3,045 72	1,368 10	1,677 62		
AMERICAN INTERNATIONAL GROUP INC NEW 026874-78-4 AIG	41 42	24 000	994 08	933 53	60 55		
ANADARKO PETROLEUM CORP 032511-10-7 APC	84 76	24 000	2,034 24	1,768 21	266 03	8 64	0 42%
APACHE CORP 037411-10-5 APA	73 88	11 000	812 68	820 87	(8 19)	8 80 2 20	1.08%
APPLE INC. 037833-10-0 AAPL	442 78	18 000	7,970 04	1,821 20	6,148 84	219 60	2 76%
P **APPLIED MATERIALS INC** 038222-10-5 AMAT	14 51	48 000	696 48	679 08	17 40	19 20	2 76%
ARCHER DANIELS MIDLAND CO 039483-10-2 ADM	33 94	33 000	1,120 02	1,063 14	56 88	25 08	2 24%
ASML HOLDING N.V. N07059-21-0 ASML	74 37	21 000	1,561 77	1,471 14	90 63	12 32 10 47	0 79%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US Large Cap Equity							
AUTOZONE INC 053332-10-2 AZO	409 09	4 000	1,636 36	1,316 85	319 51		
P **AVAGO TECHNOLOGIES LTD** Y0486S-10-4 AVGO	31 96	30 000	958 80	980 31	(21 51)	22 80	2.38%
AXIALL CORPORATION 05463D-10-0 AXLL	52 45	28 000	1,468 60	1,334 02	134 58	8 96	0.61%
BANK OF AMERICA CORP 060505-10-4 BAC	12 31	306 000	3,766 86	2,322 03	1,444 83	12 24	0 32%
BARC MARKET PLUS SPX 06/12/13 71% CONTIN BARRIER- 0%CPN ,UNCAPPED INITIAL LEVEL-12/02/11 SPX 1244.28 06738K-A6-8	127 61	125,000 000	159,512.50	123,437 50	36,075 00		
BIOGEN IDEC INC 09062X-10-3 BIIB	218 93	15 000	3,283 95	1,054 08	2,229 87		
BRISTOL MYERS SQUIBB CO 110122-10-8 BMY	39 72	53 000	2,105 16	2,095 67	9 49	74 20 15 05	3 52%
BROADCOM CORP CL A 111320-10-7 BRCM	36 00	43 000	1,548 00	1,431 60	116 40	18 92	1 22%
CAMERON INTERNATIONAL CORPORATION 13342B-10-5 CAM	61 55	21 000	1,292 55	1,137 12	155 43		
CAPITAL ONE FINANCIAL CORP 14040H-10-5 COF	57 78	19 000	1,097.82	1,015 12	82 70	3 80	0 35%
CARDINAL HEALTH INC 14149Y-10-8 CAH	44 22	29 000	1,282 38	679.92	602 46	31 90	2 49%

		Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc / Accrued Div	Yield
	US Large Cap Equity							
	CAREFUSION CORPORATION 14170T-10-1 CFN	33 44	25 000	836 00	639 61	196 39		
	CELGENE CORPORATION 151020-10-4 CELG	118 07	24 000	2,833 68	1,019 97	1,813 71		
	CERNER CORP 156782-10-4 CERN	96 77	14 000	1,354 78	1,120 84	233 94		
	CHENIERE ENERGY INC 16411R-20-8 LNG	28 48	42 000	1,196 16	669 35	526 81		
P	**CISCO SYSTEMS INC** 17275R-10-2 CSCO	20 92	140 000	2,928 80	2,160 36	768 44	95 20	3 25 %
	CITIGROUP INC NEW 172967-42-4 C	46 66	84 000	3,919 44	3,048 58	870.86	3.36	0 09 %
	CITRIX SYSTEMS INC 177376-10-0 CTXS	62 16	16 000	994 56	965 28	29 28		
	CME GROUP INC CLASS A COMMON STOCK 12572Q-10-5 CME	60 86	15 000	912 90	805 31	107 59	27 00	2 96 %
P	**COGNIZANT TECHNOLOGY SOLUTIONS CORP** CL A 192446-10-2 CTSH	64 80	6 000	388 80	289 11	99 69		
	COMCAST CORP CL A 20030N-10-1 CMCS A	41 30	94 000	3,882 20	2,250 50	1,631 70	73 32	1 89 %
	COSTCO WHOLESALE CORP 22160K-10-5 COST	108 43	9 000	975 87	819 80	156 07	9 90	1 01 %
	COVIDIEN PLC NEW G2554F-11-3 COV	63 84	21 000	1,340 64	770 89	569 75	21 84 8 32	1.63 %

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US Large Cap Equity							
CSX CORP 126408-10-3 CSX	24 59	61 000	1,499 99	1,302.80	197 19	36 60	2 44 %
CVS CAREMARK CORPORATION 126650-10-0 CVS	58 18	39 000	2,269 02	2,227 13	41 89	35 10 8 78	1.55 %
DAVITA HEALTHCARE PARTNERS, INC 23918K-10-8 DVA	118 65	11 000	1,305.15	1,216 48	88 67		
DISH NETWORK CORP CL A 25470M-10-9 DISH	39 19	26 000	1,018 94	957 50	61 44		
DOW CHEMICAL CO 260543-10-3 DOW	33 91	72 000	2,441 52	2,250 90	190 62	92 16	3 77 %
EMERSON ELECTRIC CO 291011-10-4 EMR	55 51	35 000	1,942 85	1,748.10	194 75	57 40	2 95 %
ENSCO PLC G3157S-10-6 ESV	57.68	27 000	1,557 36	1,309 29	248 07	43 87	2 82 %
EOG RESOURCES INC 26875P-10-1 EOG	121 16	12 000	1,453.92	958 84	495 08	9 00	0.62 %
EXXON MOBIL CORP 30231G-10-2 XOM	88 99	46 000	4,093.54	139 85	3,953 69	115 92	2 83 %
FLUOR CORP 343412-10-2 FLR	56 98	35 000	1,994 30	1,787 61	206 69	22 40	1.12 %
GENERAL MILLS INC 370334-10-4 GIS	50 42	33 000	1,663 86	972 39	691 47	50 16 10 89	3 01 %
GENERAL MOTORS CO 37045V-10-0 GM	30 84	88 000	2,713 92	2,653 67	60 25		

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
GOOGLE INC CL A 38259P-50-8 GOOG	824 57	6 000	4,947 42	3,902 96	1,044 46		
HOME DEPOT INC 437076-10-2 HD	73 35	38 000	2,787 30	1,565 26	1,222 04	59 28	2 13%
HONEYWELL INTERNATIONAL INC 438516-10-6 HON	73 54	48 000	3,529 92	2,312 82	1,217.10	78 72	2 23%
HUMANA INC 444859-10-2 HUM	74 11	18 000	1,333 98	1,352 56	(18 58)	19 44	1.46%
INTERCONTINENTAL EXCHANGE INC 45865V-10-0 ICE	162 93	6 000	977.58	874 35	103 23		
INTUITIVE SURGICAL INC 46120E-60-2 ISRG	492 29	2 000	984.58	1,082 40	(97 82)		
INVESCO LTD G491BT-10-8 IVZ	31 74	70 000	2,221 80	1,435 33	786 47	63 00	2 84%
JOHNSON & JOHNSON 478160-10-4 JNJ	85 23	64.000	5,454 72	4,779 66	675 06	168 96	3 10%
JOHNSON CONTROLS INC 478366-10-7 JCI	35 01	40 000	1,400 40	454 10	946 30	30 40	2 17%
JPM INTREPID AMERICA FD - SEL FUND 1206 4812A2-10-8 JPIA X	29 64	9,528 683	282,430 16	202,821.70	79,608 46	7,136 98	2 53%
JPM LARGE CAP GROWTH FD - SEL FUND 3118 4812C0-53-0 SEEG X	25 96	12,680 164	329,177 06	267,424 67	61,752 39	2,612 11	0 79%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US Large Cap Equity							
JPM US LRGE CAP CORE PLUS FD - SEL FUND 1002 4812A2-38-9 JLPS X	25 03	8,364 074	209,352 77	160,761 56	48,591 21	3,345 62	1 60%
LAM RESEARCH CORP 512807-10-8 LRCX	46 22	34 000	1,571 48	1,433 78	137 70		
LENNAR CORP 526057-10-4 LEN	41 22	23.000	948 06	320 38	627 68	3 68 0 92	0 39%
LINKEDIN CORP - A 53578A-10-8 LNKD	192 09	7 000	1,344 63	1,213 00	131 63		
LOWES COMPANIES INC 548661-10-7 LOW	38 42	75 000	2,881 50	1,569 15	1,312 35	48 00 12 00	1 67%
MASCO CORP 574599-10-6 MAS	19 44	92 000	1,788 48	1,260 60	527 88	27 60 6 90	1 54%
MASTERCARD INC - CLASS A 57636Q-10-4 MA	552 93	4 000	2,211 72	1,015 17	1,196 55	9 60 2 40	0 43%
MERCK AND CO INC 58933Y-10-5 MRK	47 00	76 000	3,572 00	2,145.26	1,426 74	130 72	3 66%
METLIFE INC 59156R-10-8 MET	38.99	91 000	3,548 09	2,982.13	565 96	100 10	2 82%
METTLER TOLEDO INTERNATIONAL INC 592688-10-5 MTD	208 96	5 000	1,044 80	1,047 72	(2 92)		
MICROSOFT CORP 594918-10-4 MSFT	33 10	204 000	6,752 40	3,700 67	3,051 73	187 68	2 78%
MONDELEZ INTERNATIONAL-W/I 609207-10-5 MDLZ	31 45	77 000	2,421 65	1,807 61	614 04	40 04	1 65%
MORGAN STANLEY 617446-44-8 MS	22 15	87 000	1,927 05	1,789 36	137 69	17 40 4 35	0 90%

US Large Cap Equity

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc / Accrued Div	Yield
NISOURCE INC 65473P-10-5 NI	30 73	50 000	1,536 50	1,391 45	145 05	48 00 12 00	3 12%
OCCIDENTAL PETROLEUM CORP 674599-10-5 OXY	89 26	42 000	3,748 92	2,327 54	1,421 38	107 52	2 87%
ONYX PHARMACEUTICALS INC 683399-10-9 ONXX	94 80	8 000	758 40	704 78	53 62		
ORACLE CORP 68389X-10-5 ORCL	32 78	97 000	3,179 66	2,276 00	903 66	23 28	0 73%
PACCAR INC 693718-10-8 PCAR	49 78	53 000	2,638 34	1,376 06	1,262 28	42.40	1 61%
PARNASSUS FD EQTY INCM INST 701769-40-8 PRIL X	33 28	10,965 915	364,945 65	326,262 00	38,683 65	8,948 18	2 45%
PENTAIR LTD SHS H6169Q-10-8 PNR	54 35	17 000	923 95	708 10	215 85	15 64 3 91	1 69%
PEPSICO INC 713448-10-8 PEP	82 47	23 000	1,896 81	1,661 86	234 95	49 45	2 61%
PHILIP MORRIS INTERNATIONAL 718172-10-9 PM	95 59	31 000	2,963 29	2,602 17	361 12	105 40	3 56%
PHILLIPS 66 718546-10-4 PSX	60 95	32 000	1,950 40	1,559 56	390 84	40 00	2.05%
PRICELINE.COM INC 741503-40-3 PCLN	695 99	2 000	1,391 98	1,385 09	6 89		
PROCTER & GAMBLE CO 742718-10-9 PG	76 77	39 000	2,994 03	1,107 51	1,886 52	93 83 23 46	3 13%

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
QUALCOMM INC 747525-10-3 QCOM	61.60	43 000	2,648 80	1,549 23	1,099 57	60 20	2 27%
SCHLUMBERGER LTD 806857-10-8 SLB	74 43	47 000	3,498 21	3,874 36	(376 15)	58 75	1 68%
SPDR S&P 500 ETF TRUST 78462F-10-3 SPY	159 68	5,094 000	813,409 92	650,602 36	162,807.56	16,214 20	1 99%
STATE STREET CORP 857477-10-3 STT	58 47	22 000	1,286 34	1,298 05	(11 71)	22 88	1 78%
TARGET CORP 87612E-10-6 TGT	70 56	35 000	2,469 60	2,282 25	187 35	50 40	2 04%
TD AMERITRADE HOLDING CORPORATION 87236Y-10-8 AMTD	19.91	40 000	796 40	616 46	179.94	14 40 3 60	1 81%
TEXAS INSTRUMENTS INC 882508-10-4 TXN	36 21	35 000	1,267 35	1,228 26	39 09	39 20 9 80	3 09%
TIME WARNER INC NEW 887317-30-3 TWX	59 78	117 000	6,994 26	2,626 42	4,367 84	134 55	1 92%
TYCO INTERNATIONAL LTD H89128-10-4 TYC	32 12	41 000	1,316 92	847 44	469 48	26 24 5 58	1 99%
UNITEDHEALTH GROUP INC 91324P-10-2 UNH	59 93	38 000	2,277 34	1,666 81	610 53	32 30	1 42%
UNITED TECHNOLOGIES CORP 913017-10-9 UTX	91 29	57 000	5,203 53	3,487 79	1,715 74	121 98	2 34%
VERIZON COMMUNICATIONS INC 92343V-10-4 VZ	53 91	56 000	3,018 96	1,398 11	1,620 85	115 36 28 84	3 82%
VERTEX PHARMACEUTICALS INC 92532F-10-0 VRTX	76 82	12 000	921 84	376 35	545 49		

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
US Large Cap Equity							
VMWARE INC-CLASS A 928563-40-2 VMW	70 50	13.000	916 50	1,098 45	(181 95)		
WALTER ENERGY INC 93317Q-10-5 WLT	17 92	26 000	465 92	1,442 94	(977 02)	13 00	2 79%
WELLS FARGO & CO 949746-10-1 WFC	37 98	155 000	5,886 90	2,968 35	2,918 55	186 00	3 16%
WILLIAMS COMPANIES INC 969457-10-0 WMB	38 13	63 000	2,402 19	1,840 60	561 59	85 36	3 55%
YUM BRANDS INC 988498-10-1 YUM	68 12	38 000	2,588 56	1,275 18	1,313 38	50 92 12.73	1 97%
Total US Large Cap Equity			**$2,368,339.00**	**$1,875,680.33**	**$492,658.67**	**$42,008.10** **$182.20**	**1.77%**
US Mid Cap Equity							
ASTON OPTIMUM MID CAP FUND I 00078H-15-8 ABMI X	38 93	9,179 478	357,357 08	303,696.88	53,660 20	3,561 63	1 00%
ISHARES RUSSELL MIDCAP INDEX FUND 464287-49-9 IWR	128 97	1,750 000	225,697 50	139,660 85	86,036 65	3,666 25	1 62%
JPMORGAN MARKET EXPANSION ENHANCED INDEX FUND-SELECT 4812C1-63-7 PGMI X	12 10	25,713 246	311,130 28	259,291 59	51,838 69	4,525 53	1 45%
Total US Mid Cap Equity			**$894,184.86**	**$702,649.32**	**$191,535.54**	**$11,753.41**	**1.31%**

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
EAFE Equity							
BNP BREN EAFE 07/10/13 10%BUFFER-2 XLEV- 11 3%CAP 22 6%MAXRTRN INITIAL LEVEL-06/22/12 SX5E 2186 81 UKX 5513 69 TPX 750 92 05567L-5V-5	120 61	90,000 000	108,550 62	89,100 00	19,450 62		
DODGE & COX INTERNATIONAL STOCK 256206-10-3 DODF X	37 38	14,503.002	542,122 21	414,870.73	127,251 48	10,833 74	2 00%
GS BREN MXEA 3/5/14 10%BUFFER-1 5XLEV- 7 55%CAP 11 325%MAXPYMT INITIAL LEVEL-02/15/13 MXEA 1663 46 38141G-NY-6	103 78	105,000 000	108,970 05	103,950 00	5,020 05		
GS BREN EAFE 05/15/13 10%BUFFER-2 XLEV- 10 10%CAP 20 20%MAXRTRN INITIAL LEVEL-04/27/12 SX5E 2344 02 UKX·5777 11 TPX·59 64 38143U-2Y-6	117 97	100,000 000	117,965 00	99,000 00	18,965 00		
ISHARES MSCI EAFE INDEX FUND 464287-46-5 EFA	61 94	2,249 000	139,303 06	134,569 14	4,733 92	3,955 99	2 84%
MANNING & NAPIER WORLD OPPORTUNITIES SERIES FUND 563821-54-5 EXWA X	8 24	25,897 399	213,394.57	224,838 59	(11,444 02)	3,133 58	1 47%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
EAFE Equity							
SG BREN MXEA 04/02/14 10%BUFFER-1 5 XLEV- 7%CAP 10.5%MAXRTRN INITIAL LEVEL-03/15/13 MXEA 1713 66 78423E-GF-5	100 06	105,000 000	105,063 00	103,950 00	1,113 00		
Total EAFE Equity			**$1,335,368.51**	**$1,170,278.46**	**$165,090.05**	**$17,923.31**	**1.34 %**
European Large Cap Equity							
DB MARKET PLUS SX5E 03/19/14 80% CONTIN BARRIER- 7 6%CPN ,UNCAPPED INITIAL LEVEL-09/14/12 SX5E 2594 56 2515A1-LR-0	106 38	100,000 000	106,381 00	98,750 00	7,631 00		
Asia ex-Japan Equity							
COLUMBIA FDS SER TR II MASS ASIA PC JP R5 19763P-57-2 TAPR X	13 65	13,593 716	185,554 22	165,082 29	20,471 93	3,479 99	1 88 %
MATTHEWS PACIFIC TIGER INSTL FUND 577130-83-4 MIPT X	25.96	8,758 352	227,366 82	175,467 90	51,898 92	1,769 18	0 78 %

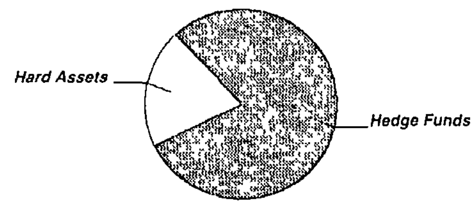
	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
Asia ex-Japan Equity							
MS REN MXASJ 2/12/14 2 XLEV- 10 2%CAP- 20 4%MAXPYMT INITIAL LEVEL-01/25/13 MXASJ.554 47 61761J-BT-5	96 96	100,000 000	96,955 00	99,000 00	(2,045 00)		
Total Asia ex-Japan Equity			**$509,876.04**	**$439,550.19**	**$70,325.85**	**$5,249.17**	**1.03 %**
Emerging Market Equity							
CS REN HSCEI 02/20/14 2XLEV- 16 25%CAP 32 5%MAXRTRN INITIAL LEVEL-2/1/13 HSCEI 12215 USDCNH· 22546T-X9-7	87.28	100,000 000	87,281 50	99,000 00	(11,718 50)		
JPM EM MKTS EQUITY FD - SEL FUND 1235 4812A0-62-3 JEMS X	23 94	12,937 061	309,713 24	301,500 83	8,212 41	5,705 24	1 84 %
VANGUARD FTSE EMERGING MARKETS ETF 922042-85-8 VWO	43 76	2,000 000	87,520 00	93,980 00	(6,460 00)	2,066 00	2 36 %
Total Emerging Market Equity			**$484,514.74**	**$494,480.83**	**($9,966.09)**	**$7,771.24**	**1.60 %**

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc / Accrued Div	Yield
Global Equity							
BNP DELTA ONE MSCI WORLD TR 6/14/13 100%A F, UNCAPPED INITIAL LEVEL5/25/12 NDDUWI 3000 541 05567L-5F-0	125 78	135,000 000	169,797 04	133,650 00	36,147 04		
HSBC DELTA ONE MSCI WORLD TR 6/14/13 100%A F, UNCAPPED INITIAL LEVEL5/25/12 NDDUWI 3000.541 4042K1-P4-9	125 82	135,000.000	169,857 00	133,650 00	36,207 00		
JPMORGAN TR I GL RES ENH IDX 46637K-51-3 JEIT X	15 79	10,579 418	167,049 01	163,028 83	4,020 18		
Total Global Equity			**$506,703.05**	**$430,328.83**	**$76,374.22**	**$0.00**	**0.00 %**

Alternative Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Hedge Funds	1,690,714 50	2,009,986 43	319,271 93	20%
Real Estate & Infrastructure	204,393 68	0 00	(204,393 68)	
Hard Assets	561,381 04	490,805 47	(70,575.57)	5%
Total Value	**$2,456,489.22**	**$2,500,791.90**	**$44,302.68**	**25%**

Asset Categories



Alternative Assets as a percentage of your portfolio - 25 %

Note P indicates position adjusted for Pending Trade Activity

Alternative Assets Detail

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
ARBITRAGE FUNDS - I CL I 03875R-20-5 ARBN X	12 70	20,804 203	264,213 38	267,426 28
BLACKSTONE PARTNERS OFFSHORE FUND LTD CLASS H2 - NEW ISSUES INELIGIBLE NON-SELF DEALING FIDUCIARY INVESTORS - LEAD SERIES N/O Client 092911-96-5	1,259.85 3/29/13	711.910	896,899 81	800,984 41
JPM ACCESS MULTI-STRATEGY FUND RIC 07-06 N/O Client 15199Q-93-7	1 15 3/29/13	250,000 000	288,694.25	250,000 00
OCH-ZIFF OZOFII PRIVATE INVESTORS OFFSHORE LTD CLASS G PRIME - NEW ISSUES INELIGIBLE NON-SELF DEALING FIDUCIARY INVESTORS N/O Client 986903-92-0	149 83 3/29/13	3,738 771	560,178 99	474,999 87
Total Hedge Funds			**$2,009,986.43**	**$1,793,410.56**

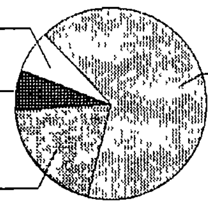
Hard Assets

	Price	Quantity	Estimated Value	Cost
BARC BREN COMMODITY BSKT 12/19/13 LKD TO CO1 PLTMLNPM LOCADY & SPGCGRP 2 02%LEV, 20%BUFFER, 30 30%MAXRTN 12/16/11 06738K-D6-5	112 19	100,000 000	112,190 00	98,500.00
PIMCO COMMODITIES PLUS STRATEGY P 72201P-16-7 PCLP X	10 61	8,161 213	86,590 47	86,416 91
POWERSHARES DB COMMODITY INDEX TRACKING FUND 73935S-10-5 DBC	26 27	7,500 000	197,025 00	162,549 00
P **SG QARN PALLADIUM 05/14/14** 100/100/100-80%BARRIER- 14 02%CPN LNKD TO PLDMLNPM INITIAL LEVEL-04/26/13 PLDMLNPM 681 00 83368W-CV-1	100 00 4/26/13	95,000 000	95,000 00	94,050 00
Total Hard Assets			**$490,805.47**	**$441,515.91**

Cash & Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	439,421 80	282,128 60	(157,293 20)	3%
US Fixed Income	1,077,992 35	994,220 93	(83,771 42)	10%
Complementary Structured Strategies	136,197 50	66,885 00	(69,312 50)	1%
Foreign Exchange & Non-USD Fixed Income	405,720 36	131,674 17	(274,046 19)	1%
Total Value	**$2,059,332.01**	**$1,474,908.70**	**($584,423.31)**	**15%**

Asset Categories



Market Value/Cost	Current Period Value
Market Value	1,474,908 70
Tax Cost	1,450,841 53
Unrealized Gain/Loss	24,067 17
Estimated Annual Income	32,541 43
Accrued Interest	565 35
Yield	2 20%

Cash & Fixed Income as a percentage of your portfolio - 15 %

SUMMARY BY MATURITY

Cash & Fixed Income	Market Value	% of Bond Portfolio
0-6 months[1]	1,474,908 70	100%

[1] The years indicate the number of years until the bond is scheduled to mature based on the statement end date Some bonds may be called, or paid in full, before their stated maturity

SUMMARY BY TYPE

Cash & Fixed Income	Market Value	% of Bond Portfolio
Cash	282,128 60	17%
International Bonds	110,229 47	7%
Mutual Funds	1,015,665 63	72%
Complementary Structure	66,885 00	4%
Total Value	**$1,474,908.70**	**100%**

Note O - Bonds purchased at a discount show accretion
[1] This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
Cash							
US DOLLAR PRINCIPAL	1 00	375,770 33	375,770 33	375,770 33		112 73	0 03 % [1]
COST OF PENDING PURCHASES	1 00	(94,487 63)	(94,487 63)	(94,487 63)			
PROCEEDS FROM PENDING SALES	1 00	845 90	845 90	845 90			
Total Cash			**$282,128.60**	**$282,128.60**	**$0.00**	**$112.73**	**0.04 %**
US Fixed Income							
JPM STR INC OPP FD FUND 3844 4812A4-35-1	11 97	9,208 81	110,229 47	106,730 12	3,499 35	3,895 32 267.06	3 53 %
DOUBLELINE FDS TR TTL RTN BD I 258620-10-3	11.41	8,203 37	93,600 45	91,795 71	1,804 74	5,200 93	5 56 %
EATON VANCE FLOATING RATE I 277911-49-1	9 23	31,194 07	287,921 29	276,857 22	11,064 07	12,508 82	4 34 %
JPM SHORT DURATION BOND FD - SEL FUND 3133 4812C1-33-0	10 98	37,286 69	409,407 87	401,016 30	8,391 57	6,674 31 298 29	1 63 %

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
US Fixed Income							
VANGUARD FI SECS F INTR TRM CP PT FUND 71 922031-88-5	10 29	9,043 91	93,061.85	91,795 71	1,266 14	3,138 23	3 37 %
Total US Fixed Income			**$994,220.93**	**$968,195.06**	**$26,025.87**	**$31,417.61** **$565.35**	**3.16 %**
Complementary Structured Strategies							
O **BARC 93% PPN CURRENCY BASKET 9/13/13** LNKED TO 3 CURRENCY BSKTS 50% 35% & 15% WEIGHT,UNCAPPED 9/9/11 06738K-UP-4	95 55	70,000 00	66,885 00	71,512 20 68,950.00	(4,627 20)		
Foreign Exchange & Non-USD Fixed Income							
JPM INTL CURRENCY INCOME FD 4812A3-29-6	11 33	11,621 73	131,674 17	129,005 67	2,668 50	1,011 09	0 77 %