Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning 04/01/12 , and ending 03/31/13

Name of foundation
The James & Alvina Bartos Balog Foundation, Inc.

A Employer identification number
65-0829807

Number and street (or P O box number if mail is not delivered to street address): Curtis Ward, 3055 Cardinal Drive
Room/suite: 202

B Telephone number (see instructions): 772-234-8400

City or town, state, and ZIP code: Vero Beach FL 32963

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 2,025,476

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☒ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	5	5	5	
	4	Dividends and interest from securities	79,312	79,312	79,312	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10 Stmt 1	450			
	b	Gross sales price for all assets on line 6a 466,312				
	7	Capital gain net income (from Part IV, line 2)		19,570		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	79,767	98,887	79,317	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) Stmt 2	4,125	1,650	1,650	2,475
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions) Stmt 3	5,851			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (att. sch) Stmt 4	239	239	239	
	24	**Total operating and administrative expenses.** Add lines 13 through 23	10,215	1,889	1,889	2,475
	25	Contributions, gifts, grants paid	98,000			98,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	108,215	1,889	1,889	100,475
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-28,448			
	b	**Net investment income** (if negative, enter -0-)		96,998		
	c	**Adjusted net income** (if negative, enter -0-)			77,428	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash – non-interest-bearing	14,200	464	464
	2	Savings and temporary cash investments	6,462	19,199	19,199
	3	Accounts receivable ▶			
		Less· allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less· allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (att. schedule) ▶			
		Less allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U S. and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule) See Stmt 5	1,268,569	1,241,120	2,005,813
	c	Investments – corporate bonds (attach schedule)			
	11	Investments – land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	12	Investments – mortgage loans			
	13	Investments – other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)	1,289,231	1,260,783	2,025,476
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ [X] **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	1,289,231	1,260,783	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ [ ] **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	1,289,231	1,260,783	
	31	**Total liabilities and net assets/fund balances** (see instructions)	1,289,231	1,260,783	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,289,231
2	Enter amount from Part I, line 27a	2	-28,448
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,260,783
5	Decreases not included in line 2 (itemize) ▶ See Statement 6	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	1,260,783

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Attached	P	Various	Various
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	151,270		131,700	19,570
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				19,570
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	19,570
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	87,505	1,857,503	0.047109
2010	72,443	1,724,573	0.042006
2009	94,441	1,604,367	0.058865
2008	95,693	1,762,322	0.054299
2007	94,103	2,081,641	0.045206

2	Total of line 1, column (d)	2	0.247485
3	Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.049497
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	2,005,664
5	Multiply line 4 by line 3	5	99,274
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	970
7	Add lines 5 and 6	7	100,244
8	Enter qualifying distributions from Part XII, line 4	8	100,475

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	970
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	970
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	970
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a 1,602		
b	Exempt foreign organizations – tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	1,602
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	632
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ 632 Refunded ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ FL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation N/A	8b		
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ Curtis,Ward & Assoc.,PA Telephone no ▶ 772-234-8400
3055 Cardinal Drive, Ste 202
Located at ▶ Vero Beach FL ZIP+4 ▶ 32963

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X

See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If "Yes," enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly).				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	N/A	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement – see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A ☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53 4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X (No)
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 7				

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	1,972,494
b	Average of monthly cash balances		1b	63,713
c	Fair market value of all other assets (see instructions)		1c	0
d	**Total** (add lines 1a, b, and c)		1d	2,036,207
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d		3	2,036,207
4	Cash deemed held for charitable activities Enter 1½% of line 3 (for greater amount, see instructions)		4	30,543
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	2,005,664
6	**Minimum investment return.** Enter 5% of line 5		6	100,283

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	100,283
2a	Tax on investment income for 2012 from Part VI, line 5	2a 970		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	970
3	Distributable amount before adjustments Subtract line 2c from line 1		3	99,313
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	99,313
6	Deduction from distributable amount (see instructions)		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	99,313

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes.		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	100,475
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	100,475
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	970
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	99,505

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				99,313
2 Undistributed income, if any, as of the end of 2012.				
a Enter amount for 2011 only			86,934	
b Total for prior years: 20____ , 20____ , 20____				
3 Excess distributions carryover, if any, to 2012.				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f **Total** of lines 3a through e				
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 100,475				
a Applied to 2011, but not more than line 2a			86,934	
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2012 distributable amount				13,541
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount – see instructions				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				85,772
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2013.** *Subtract lines 7 and 8 from line 6a*				
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test – enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter. (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

James Balog

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed·

N/A

b The form in which applications should be submitted and information and materials they should include.

N/A

c Any submission deadlines.

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Attached Schedule				98,000
Total			▶ 3a	98,000
b Approved for future payment N/A				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	5	
4 Dividends and interest from securities			14	79,312	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	450	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		79,767	0

13 Total. Add line 12, columns (b), (d), and (e) 13 79,767

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

DAA

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

James Balog | 12-27-13 | President

Signature of officer or trustee | Date | Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed
Ned P. Curtis, C.P.A.	Ned P. Curtis	06/26/13	
Firm's name ▶ Curtis, Ward & Associates, P.A.		PTIN	P00123847
Firm's address ▶ 3055 Cardinal Drive, Suite 202		Firm's EIN ▶	59-2744719
Vero Beach, FL 32963		Phone no	772-234-8400

DAA

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets

Description: Whom Sold	Date Acquired	Date Sold	How Received / Sale Price	Cost	Expense	Depreciation	Net Gain / Loss
See Attached	Various	Various	Purchase $ 315,042	$ 334,162	$	$	$ -19,120
Total			$ 315,042	$ 334,162	$ 0	$ 0	$ -19,120

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Curtis, Ward & Associates, P.A.	$ 4,125	$ 1,650	$ 1,650	$ 2,475
Total	$ 4,125	$ 1,650	$ 1,650	$ 2,475

Statement 3 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Foreign Tax Withheld	$ 4,140	$	$	$
Florida Filing Fee	136			
Federal Excise Tax	1,575			
Total	$ 5,851	$ 0	$ 0	$ 0

Federal Statements

Statement 4 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
	$	$	$	$
Expenses				
Investment Fees	227	227	227	
Bank Charges	12	12	12	
Total	$ 239	$ 239	$ 239	$ 0

Federal Statements

Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
See Attached Schedule	$ 1,268,569	$ 1,241,120	Cost	$ 2,005,813
Total	$ 1,268,569	$ 1,241,120		$ 2,005,813

Federal Statements

Statement 6 - Form 990-PF, Part III, Line 5 - Other Decreases

Description	Amount
Basis Adjustment	$
Total	$ 0

Federal Statements

Statement 7 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
James Balog 785 Saint Annes Lane Vero Beach FL 32967	Director	5.00	0	0	0
Alvina B. Balog 785 Saint Annes Lane Vero Beach FL 32967	Director	2.00	0	0	0
James D. Balog 785 Saint Annes Lane Vero Beach FL 32967	Director	2.00	0	0	0
Stephen J. Balog 785 Saint Annes Lane Vero Beach FL 32967	Director	5.00	0	0	0
Michael G. Balog 785 Saint Annes Lane Vero Beach FL 32967	Director	2.00	0	0	0

Form 990-PF, Part XV, Line 1a - Managers Who Contributed Over 2% or $5,000

Name of Manager	Amount
James Balog	$
Total	$ 0

The James & Alvina Bartos Balog Foundation
Form 990-PF
Part XV line 3 Schedule of Contributions
ID# 65-0829807
March 31, 2013

Contributions

Recipient	*Address*	*if recipient is an individual, show any relationship to any foundation manager or substantial contributor*	*Foundation Status of Recipient*	*Purpose of grant or contribution*	*Amount*
American Wildlife Foundation	P O Box 1246 Molalla, Oregon 97038	N/A	Public	unrestricted	2,000 00
Boulder Country Day School	4820 Nautilus Court North Boulder, CO 80306	N/A	Public	unrestricted	1,000 00
Boys & Girls Club of Indian River County	PO Box 3068 Vero Beach, FL 32964	N/A	Public	unrestricted	300 00
Brain Grant Foundation	720 NW Davis, #300 Portland, OR 97209	N/A	Public	unrestricted	
Brunswick School	100 Maher Avenue Greenwich, CT 06830	N/A	Public	unrestricted	7,500 00
Burlington Assembly of God	821 Tucker Street Burlington, NC 27215	N/A	Public	unrestricted	500 00
Camp Chewonki	485 Chewonki Neck Road Wiscasset, Maine 04578	N/A	Public	unrestricted	1,000 00
Camp Dudley	126 Dudley Road Westport, NY 12993	N/A	Public	unrestricted	
CarbondFund org	3 Bethesda Metro Ctr Ste 70 Bethesda, MD 20814	N/A	Public	unrestricted	4,000 00
Center for Civic Responsibility	450 Main St Metuchen, NJ 08840	N/A	Public	unrestricted	9,000 00
Children of Armenia	111 W 57th Street, Ste 1101 New York, NY 10019	N/A	Public	unrestricted	500 00
Citizen Campaign	744 Broadway Albany, NY 12207	N/A	Public	unrestricted	
College Men's Club of Westfield	P O Box 2694 Westfield, New Jersey 07091	N/A	Public	unrestricted	300 00
Colorado Outward Bound School	945 Pennsylvania Street Denver, CO 80203	N/A	Public	unrestricted	
Colorado Public Radio	7409 South Alton Court Centennial, CO 80112	N/A	Public	unrestricted	500 00
Colorado Symphony	1000 14th Street, #15 Denver, CO 80202-2333	N/A	Public	unrestricted	500 00
Community Gatepath	875 Stanton Road	N/A	Public	unrestricted	1,000 00

The James & Alvina Bartos Balog Foundation
Form 990-PF
Part XV line 3 Schedule of Contributions
ID# 65-0829807
March 31, 2013

Contributions

Recipient	*Address*	*if recipient is an individual, show any relationship to any foundation manager or substantial contributor*	*Foundation Status of Recipient*	*Purpose of grant or contribution*	*Amount*
	Burlingame, CA 94010				
Community Servings	18 Marbury Terrace Boston, MA 02130	N/A	Public	unrestricted	
Covenant House of New Jersey	330 Washington Street Newark, NJ 07102	N/A	Public	unrestricted	7,000 00
Denver Museum of Art	100 W 14th Ave Pkwy Denver, CO 80204	N/A	Public	unrestricted	500 00
Daisy Bridgewater Hope Center	8445 64th Ave Wabasso, FL 32970	N/A	Public	unrestricted	200 00
Dollars for Scholars	1550 American Boulevard E , Suite 155 Minneapolis, MN 55425	N/A	Public	unrestricted	300 00
Engineers Without Borders	1811 Lefthand Circle, Ste A-1 Longmont, CO 80501	N/A	Public	unrestricted	500 00
Environmental Learning Center	255 Live Oak Drive Vero Beach, FL 32963	N/A	Public	unrestricted	200 00
Foundation for Individual Rights	601 Walnut St , Ste 105 Philadelphia, PA 19106	N/A	Public	unrestricted	250 00
Foundation for Teaching Economics	260 Russell Blvd Suite B Davis, CA 95616	N/A	Public	unrestricted	
Friends of Hawthorne	12700 Grevillea Ave Hawthorne, CA 95616	N/A	Public	unrestricted	
Gifford Youth Center	4875 43rd Ave Vero Beach, FL 32967	N/A	Public	unrestricted	500 00
Green Belt Movement Int	39 North Broadway White Plains, NY 10601	N/A	Public	unrestricted	1,500 00
Habitat fo Humanity of IRC	4568 N U S Hwy 1 Vero Beach, FL 32967	N/A	Public	unrestricted	200 00
Harding Library Capital Campaign	PO Box 283 New Vernon, NJ 07976-0283	N/A	Public	unrestricted	5,000 00
Harding Land Trust	PO Box 283 New Vernon, NJ 07976-0283	N/A	Public	unrestricted	250 00
Hibiscus Children's Center	2400 N E Dixie Highway Jensen Beach, FL 34957	N/A	Public	unrestricted	

The James & Alvina Bartos Balog Foundation
Form 990-PF
Part XV line 3 Schedule of Contributions
ID# 65-0829807
March 31, 2013

Contributions

Recipient	Address	if recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation Status of Recipient	Purpose of grant or contribution	Amount
Holy Cross Church	500 Iris Lane Vero Beach, FL 32963	N/A	Public	unrestricted	500 00
Hope & Heros Cancer Fund	161 Fort Washington Ave, Ste 210 New York, NY 10032	N/A	Public	unrestricted	1,000 00
Impact 100	100 P O Box 643968 Vero Beach, Florida 32964	N/A	Public	unrestricted	1,100 00
Indian River Hospital Foundation	36th Street Vero Beach, FL 32960	N/A	Public	unrestricted	500 00
Injured Marine Semper Fi Fund	825 College Blvd, Suite 102 • PMB 609 Oceanside, CA 92057	N/A	Public	unrestricted	1,000 00
International Center of Photography	1114 Ave of the Americas New York, NY 10036	N/A	Public	unrestricted	
Jarrow Montessori School	3900 Orange Court Boulder, Colorado 80304	N/A	Public	unrestricted	1,000 00
John Hopkins	201 N Charles Street, Suite 2500 Baltimore, MD 21201	N/A	Public	unrestricted	
KUVO Radio	2900 Welton St , #200 Denver, CO 80205	N/A	Public	unrestricted	500 00
KGNU Radio	PO Box 885 Boulder, CO 80306	N/A	Public	unrestricted	500 00
Kids in Crisis	One Salem Street Cos Cob, CT 06807	N/A	Public	unrestricted	
McKee Botanical Gardens	350 US Hwy 1 Vero Beach, FL 32962	N/A	Public	unrestricted	250 00
Mercy Center-Asbury Park	1106 Main St Asbury Park, NJ 07712	N/A	Public	unrestricted	
Middle East Forum	1500 Walnut Street, Suite 1050 Philadelphia, PA 19102	N/A	Public	unrestricted	500 00
Museum of Fine Arts, Houston	PO Box 6826 Houston, TX 77005	N/A	Public	unrestricted	1,500 00
New Beginnings Family Academy	184 Garden Street Bridgeport, CT 06605	N/A	Public	unrestricted	

The James & Alvina Bartos Balog Foundation
Form 990-PF
Part XV line 3 Schedule of Contributions
ID# 65-0829807
March 31, 2013

Contributions

Recipient	Address	if recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation Status of Recipient	Purpose of grant or contribution	Amount
New Vernon Volunteer Fire Department	22 Village Road New Vernon, NJ 07976	N/A	Public	unrestricted	750 00
NY History Society	170 Central Park West New York, NY 10024	N/A	Public	unrestricted	5,000 00
National MS Society	900 South Broadway, Suite 200 Denver, CO 80209	N/A	Public	unrestricted	1,000 00
North American Nature Photography Association	6382 Charleston Road Alma, IL 62807	N/A	Public	unrestricted	1,000 00
Oratory Development Campaign	14 Pennsylvania Plaza, Suite 1400 New York, NY 10122	N/A	Public	unrestricted	2,500 00
Our Lady of Lourdes School	5525 SW 84th St Miami, FL 33143	N/A	Public	unrestricted	
Outdoor Lab Foundation	809 Quail Street, Building 1 Lakewood, CO 80215	N/A	Public	unrestricted	500.00
Ovarian Cancer Research Fund	14 Pennsylvania Plaza, Suite 1400 New York, NY 10122	N/A	Public	unrestricted	
Pennsylvania State University	309 Old Main Park, PA 16802	N/A	Public	unrestricted	2,500 00
Pingry School	P O Box 366 Martinsville, New Jersey 08836	N/A	Public	unrestricted	1,000 00
Rutgers University Foundation	7 College Ave New Brunswick, NJ 08901	N/A	Public	unrestricted	
Sabre Foundation	872 Massachusetts Ave, Ste 2-1 Cambridge, MA 02139	N/A	Public	unrestricted	
Salvation Army	P O Box 269 Alexandria, VA 22313	N/A	Public	unrestricted	150 00
Save the Redwoods League	114 Sansome Street, Suite 1200 San Francisco, CA 94104-3823	N/A	Public	unrestricted	1,000 00
Scholarship Fund for Inner City Children	PO Box 9500 Newark, NJ 07104	N/A	Public	unrestricted	
Seeds of Peace	370 Lexington Ave, Ste 2103 New York, NY 10017	N/A	Public	unrestricted	
Seeing Eye Inc	P O Box 375	N/A	Public	unrestricted	

The James & Alvina Bartos Balog Foundation
Form 990-PF
Part XV line 3 Schedule of Contributions
ID# 65-0829807
March 31, 2013

Contributions

Recipient	Address	if recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation Status of Recipient	Purpose of grant or contribution	Amount
	Morristown, NJ 07963				
Senior Resource Center	3227 Chase St Denver, CO 80212	N/A	Public	unrestricted	
Sierra House	11 South Maple Avenue East Orange, NJ 07018	N/A	Public	unrestricted	600 00
St Catherine's Church	215 Essex Ave Spring Lake, NJ 07762	N/A	Public	unrestricted	500 00
St James Parrish	148 Grenville St Woodbridge, NJ 07095	N/A	Public	unrestricted	
St Joan of Arc Catholic School	501 SW 3rd Avenue Boca Raton, FL 33432	N/A	Public	unrestricted	750 00
Students Bridging the Information Gap (SBIGS)	P O Box 874 Summit, NJ 07902	N/A	Public	unrestricted	3,000 00
There with Care	4949 N Broadway Street, Suite 124 Boulder, Colorado 80304	N/A	Public	unrestricted	2,500 00
Tree Musketeers	136 Main Street El Segundo, CA 90245	N/A	Public	unrestricted	500 00
United Way of IRC	1836 14th Avenue Vero Beach, FL	N/A	Public	unrestricted	500 00
Vero Beach Opera	PO Box 6912 Vero Beach, FL 32961	N/A	Public	unrestricted	200 00
VNA Hospice	1111 36th St Vero Beach, FL 32960	N/A	Public	unrestricted	
WQCS	3209 Virginia Ave Ft Pierce, FL 34981	N/A	Public	unrestricted	200 00
Wildlands Restoration Volunteers	3012 Sterling Circle, Ste 201 Boulder, CO 80301	N/A	Public	unrestricted	1,000 00
Wild Foundation	717 Poplar Avenue Boulder, CO 80304 USA	N/A	Public	unrestricted	20,000 00
					98,000 00

The James and Alvina Bartos Balog
Foundation, Inc.
Schedule D Attachment
March 31, 2013

Date Purchased	Investment	Cost	Purch Shares	Purchase Amount	Date Sold	Sales Shares	Proceeds	Gain/ (loss)	
4/26/2011	CVR Partners	20,336 00			05/14/12	1,000	22,357 77	2,021 77	LT
4/26/2011	CVR Partners	23,604 70			05/14/12	1,000	22,301.26	(1,303.44)	LT
5/3/2012	CVR Partners		2,000	55,585 67	05/14/12	2,000	44,602 52	(10,983.15)	ST
2/17/2012	Ishares MSCI Japan Index	49,845 00			03/26/13	5,000	53,753 80	3,908 80	LT
10/14/2011	McCormick & Co	47,394 00			02/28/13	800	52,857.62	14,942.42	LT
2/21/2012	Novartis	86,014.45			05/07/12	800	43,318.88	(2,555 49)	ST
12/12/2011	Phillip Morris Intl	74,858 86			09/10/12	1,000	89,320.20	14,461.34	ST
11/7/2011	Transocean Inc	50,687 20			07/03/12	1,000	42,789.00	(7,898 20)	ST
11/15/2011	Transocean Inc	47,357 00			07/03/12	1,000	42,789.00	(4,568 00)	ST
8/16/2012	VodaFone	-	2,000	59,798 00	02/20/13	2,000 00	52,221 46	(7,576 54)	ST
		-						-	
		1,268,568.80	14,900	438,413 02		15,600	466,311.51	449 51	

The James and Alvina Bartos Balog Foundation Inc.
EIN: 65-0829807
Attachment to Balance Sheet
March 31, 2013

Investment	Beginning of the Year @ Cost	End of the Year @ Cost	Cost or Market	Fair Market Value
AIG	42,874.45	42,874 45	COST	58,230 00
Altria Group	55,538.00	55,538 00	COST	68,780.00
Altria Group	30,086.95	30,086 95	COST	34,390 00
AT&T	85,257 60	85,257 60	COST	110,070.00
Bristol Myers Squibb	22,684.50	22,684 50	COST	41,190.00
Bristol Myers Squibb	31,378 00	31,378.00	COST	41,190.00
CVR Partners	20,336 00	-	COST	
CVR Partners	23,604 70	-	COST	
Enbridge Income Fd	47,145.00	47,145 00	COST	99,153 60
Enbridge Income Fd	39,973 44	39,973 44	COST	99,153 60
Enbridge Inc	36,775 00	36,775 00	COST	186,160 00
Enbridge Inc	28,742 00	28,742 00	COST	93,080 00
Gannett Co Inc	-	64,964 10	COST	109,350 00
Ishares Barclays TIPS	50,502 94	50,502 94	COST	
Ishares MSCI Japan Index	49,845.00	-	COST	
Ishares S&P PFD Fd	-	59,892 00	COST	
Johnson and Johnson	40,990.00	40,990.00	COST	81,530.00
Johnson and Johnson	26,117 00	26,117 00	COST	81,530 00
Joy Global	-	63,122.00	COST	59,520 00
Kane Anderson Midstream	44,357 14	44,357 14	COST	66,400 00
McCormick & Co	47,394 00	9,478.80	COST	14,710.00
McCormick & Co	49,356 96	49,356 96	COST	73,550 00
Nestle S A Sponsored ADR	17,460.95	17,460 95	COST	235,527 50
Novartis	86,014 45	40,140.08	COST	49,868 00
Pentair Inc	-	43,887.15	COST	52,750 00
Pentair Inc	-	20,188 15	COST	21,100.00
Pepco Holdings	91,241 00	91,241 00	COST	107,000 00
Phillip Morris Intl	74,858 86	-	COST	
Royal Dutch Petroleum	24,405 00	24,405 00	COST	32,580 00
Royal Dutch Petroleum	37,106 00	37,106 00	COST	32,580 00
SeaDrill Ltd	66,479 66	66,479 66	COST	74,420 00
Transocean Inc	50,687 20	-	COST	
Transocean Inc	47,357 00	-	COST	
Unilever	-	34,618.00	COST	41,000.00
Unilever	-	36,357.95	COST	41,000.00
	1,268,568 80	1,241,119.82		2,005,812 70