See a Social Security Number? Say Something!
Report Privacy Problems to https://public.resource.org/privacy
Or call the IRS Identity Theft Hotline at 1-800-908-4490

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491226009623

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 04-01-2012 , and ending 03-31-2013

Name of foundation
Carroll J Haas Foundation

% CARROLL J HAAS

Number and street (or P O box number if mail is not delivered to street address)
27020 Simpson Road PO Box 248
Suite

Room/suite

City or town, state, and ZIP code
Mendon, MI 490720248

A Employer identification number
38-3415066

B Telephone number (see instructions)
(269) 496-4585

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 3,115,876

J Accounting method ☑ Cash ☐ Accrual
☐ Other (specify)
(Part I, column (d) must be on cash basis.)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	0			
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	78,814	78,814		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	203,767			
	b	Gross sales price for all assets on line 6a 1,895,601				
	7	Capital gain net income (from Part IV, line 2)		203,767		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	192,887			
	12	**Total.** Add lines 1 through 11	475,468	282,581		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	5,955	1,985	0	3,970
	c	Other professional fees (attach schedule)	5,481	5,481		
	17	Interest	1,859	1,859		
	18	Taxes (attach schedule) (see instructions)	1,916	1,302		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	42	2		20
	24	**Total operating and administrative expenses.** Add lines 13 through 23	15,253	10,629	0	3,990
	25	Contributions, gifts, grants paid	134,315			134,315
	26	**Total expenses and disbursements.** Add lines 24 and 25	149,568	10,629	0	138,305
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	325,900			
	b	**Net investment income** (if negative, enter -0-)		271,952		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	45,110	73,753	73,753
3	Accounts receivable ▶ 600			
	Less allowance for doubtful accounts ▶	17,780	600	600
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	594,697	532,325	722,063
c	Investments—corporate bonds (attach schedule)	188,172	213,077	216,253
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	600,068	969,752	2,103,207
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	1,445,827	1,789,507	3,115,876
Liabilities				
17	Accounts payable and accrued expenses	0	0	
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ **and complete lines 27 through 31.**			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	1,445,827	1,789,507	
30	**Total net assets or fund balances** (see page 17 of the instructions)	1,445,827	1,789,507	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	1,445,827	1,789,507	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,445,827
2	Enter amount from Part I, line 27a	2	325,900
3	Other increases not included in line 2 (itemize) ▶	3	17,780
4	Add lines 1, 2, and 3	4	1,789,507
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,789,507

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	203,767
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	131,060	2,551,588	0 051364
2010	119,738	2,408,150	0 049722
2009	34,074	1,971,066	0 017287
2008	72,098	1,937,967	0 037203
2007	234,184	2,592,322	0 090338

2	**Total** of line 1, column (d).	2	0 245914
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 049183
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	2,797,937
5	Multiply line 4 by line 3.	5	137,611
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	2,720
7	Add lines 5 and 6.	7	140,331
8	Enter qualifying distributions from Part XII, line 4.	8	138,305

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	5,439
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	5,439
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	5,439
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a: 880		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	880
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	4,559
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ — **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶CARROLL J HAAS Telephone no ▶(269) 496-4585

Located at ▶27020 SIMPSON ROAD MENDON MI ZIP +4 ▶49072

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ **Yes** ☑ **No**			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ **Yes** ☑ **No**			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ **Yes** ☑ **No**			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ **Yes** ☑ **No**			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ **Yes** ☐ **No**			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ **Yes** ☑ **No**			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		**No**
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ **Yes** ☑ **No**			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions **3**	
Total. Add lines 1 through 3. . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	2,684,099
b	Average of monthly cash balances	1b	156,446
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	2,840,545
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	2,840,545
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	42,608
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,797,937
6	**Minimum investment return.** Enter 5% of line 5	6	139,897

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	139,897
2a	Tax on investment income for 2012 from Part VI, line 5	2a	5,439		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	5,439
3	Distributable amount before adjustments Subtract line 2c from line 1			3	134,458
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	134,458
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	134,458

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	138,305
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	138,305
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	138,305

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7					134,458
2 Undistributed income, if any, as of the end of 2012					
a Enter amount for 2011 only.				122,242	
b Total for prior years 2010 , 2009 , 2008			0		
3 Excess distributions carryover, if any, to 2012					
a From 2007.					
b From 2008.	0				
c From 2009.	0				
d From 2010.	0				
e From 2011.	0				
f Total of lines 3a through e.		0			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 138,305					
a Applied to 2011, but not more than line 2a				122,242	
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2012 distributable amount.					16,063
e Remaining amount distributed out of corpus		0			
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		0			0
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		0			
b Prior years' undistributed income Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions			0		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013					118,395
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions) . . .					
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a		0			
10 Analysis of line 9					
a Excess from 2008. . . .					
b Excess from 2009. . . .	0				
c Excess from 2010. . . .	0				
d Excess from 2011. . . .	0				
e Excess from 2012. . . .	0				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	**(b)** 2011	**(c)** 2010	**(d)** 2009	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

CARROLL J HAAS

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

CARROLL J HAAS
27020 SIMPSON ROAD
Mendon, MI 49072
(269) 496-4585

b The form in which applications should be submitted and information and materials they should include

NO SPECIFIC APPLICATION REQUIRED

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Additional Data Table				
Total . . . . ▶ **3a**				134,315
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	78,814	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	203,767	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a PARTNERSHIP INCOME	211110	192,784			
b MISCELLANEOUS INCOME		103			
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		192,887		282,581	

13 Total. Add line 12, columns (b), (d), and (e). **13** 475,468

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	**1a(1)**		No
	(2) Other assets.	**1a(2)**		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		No
	(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		No
	(3) Rental of facilities, equipment, or other assets.	**1b(3)**		No
	(4) Reimbursement arrangements.	**1b(4)**		No
	(5) Loans or loan guarantees.	**1b(5)**		No
	(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2013-07-30 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Curtis L Dally	Curtis L Dally			

Firm's name ▶ BDO USA LLP

Firm's EIN ▶

Firm's address ▶ 200 OTTAWA AVE NW STE 300 GRAND RAPIDS, MI 49503

Phone no (616) 774-7000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
MORGAN STANLEY	P	2012-01-01	2012-12-31
MORGAN STANLEY	P	2011-01-01	2012-12-31
PARTNERSHIP (PTP) PASS-THRU GAIN ADJUSTMENT	P	2012-01-01	2012-12-31
PARTNERHSIP (PTP) PASS-THRU GAIN ADJUSTMENT		2011-01-01	2012-12-31
PARTNERSHIP SEC 1256 LT		2011-01-01	2012-12-31
PARTNERSHIP SEC 1256 ST		2012-01-01	2012-12-31
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
1,051,256		1,014,169	37,087
879,647		677,666	201,981
		19	-19
		33,991	-33,991
		912	-912
		608	-608
			229

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			37,087
			201,981
			-19
			-33,991
			-912
			-608

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
CARROLL J HAAS PO BOX 248 MENDON, MI 49072	PRES & TREAS 1 0	0	0	0
ROBERT G HAAS 6278 REDFERN PORTAGE, MI 49002	SECRETARY 1 0	0		
JAMES H HAAS 7177 EAST UV AVENUE VICKSBURG, MI 49097	DIRECTOR 1 0	0		
KATHLEEN A GRAY 424 E HILLSIDE AVENUE BARRINGTON, IL 60010	DIRECTOR 1 0	0		
MARY JO MCKEE 128 PEN MOKEN PARK LEXINGTON, TX 40503	DIRECTOR 1 0	0		
THERESA HAAS 1220 FAIR OAKS ANN ARBOR, MI 48104	DIRECTOR 1 0	0		

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CARES 629 PIONEER STREET SUITE 200 KALAMAZOO,MI 49008	NONE	PUBLIC	GENERAL OPERATING	5,000
PUBLIC CITIZEN 1600 20TH STREET NW WASHINGTON,DC 20009	NONE	PUBLIC	GENERAL OPERATING	1,100
DOCTORS WITHOUT BORDERS PO BOX 5030 HAGERSTOWN,MD 21741	NONE	PUBLIC	GENERAL OPERATING	10,000
REGENTS OF THE UNIVERSITY OF MICHIGAN 3003 SOUTH STATE STREET SUITE 800 ANN ARBOR,MI 481091288	NONE	PUBLIC	GENERAL OPERATING	66,000
ST EDWARDS CATHOLIC CHURCH 332 WEST STATE STREET MENDON,MI 49072	NONE	PUBLIC	GENERAL OPERATING	20,000
SMILE TRAIN 41 MADISON AVENUE 28TH FLOOR NEW YORK,NY 10010	NONE	PUBLIC	GENERAL OPERATING	5,000
MISCELLANEOUS GRANTS 1000 DETAIL AVAILABLE UPON REQUEST Mendon,MI 490720248	NONE	PUBLIC	GENERAL OPERATING	1,515
KALAMAZOO NATURE CENTER 7000 NORTH WESTNEDGE AVENUE KALAMAZOO,MI 49009	NONE	PUBLIC	GENERAL OPERATING	1,000
SOUTHERN POVERTY LAW CENTER 400 WASHINGTON AVE MONTGOMERY,AL 36104	NONE	PUBLIC	GENERAL OPERATING	2,200
MAYO CLINIC 13400 E SHEA BLVD SCOTTSDALE,AZ 85259	NONE	PUBLIC	GENERAL OPERATING	2,000
ANN ARBOR HANDS-ON MUSEUM 220 E ANN STREET ANN ARBOR,MI 48104	NONE	PUBLIC	GENERAL OPERATING	2,000
FEED THE CHILDREN PO BOX 36 OKLAHOMA CITY,OK 73101	NONE	PUBLIC	GENERAL OPERATING	5,000
MENDON 4H 7100 CONNECTICUT AVENUE CHEVY CHASE,MD 20815	NONE	PUBLIC	GENERAL OPERATING	2,500
CYSTIC FIBROSIS CYSTIC FIBROSIS FOUNDATION NATIONA 6931 ARLINGTON ROAD 2ND FLOOR BETHESDA,MD 20814	NONE	PUBLIC	GENERAL OPERATING	1,000
LITTLE TRAVERSE CONSERVANCY 3264 POWELL ROAD HARBOR SPRINGS,MI 49740	NONE	PUBLIC	GENERAL OPERATING	1,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MENDON BOY SCOUTS BOY SCOUTS OF AMERICA NATIONAL COUN 350 5TH AVENUE 530 NEW YORK, NY 10118	NONE	PUBLIC	GENERAL OPERATING	4,000
MENDON LIBRARY 314 WEST MAIN STREET MENDON, MI 490720038	NONE	PUBLIC	GENERAL OPERATING	5,000
Total . . . . ▶ **3a**				134,315

TY 2012 Accounting Fees Schedule

Name: Carroll J Haas Foundation

EIN: 38-3415066

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	5,955	1,985		3,970

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Depreciation Schedule

Name: Carroll J Haas Foundation

EIN: 38-3415066

TY 2012 Investments Corporate Bonds Schedule

Name: Carroll J Haas Foundation

EIN: 38-3415066

Name of Bond	End of Year Book Value	End of Year Fair Market Value
MORGAN STANLEY A/C 117556	213,077	216,253

TY 2012 Investments Corporate Stock Schedule

Name: Carroll J Haas Foundation

EIN: 38-3415066

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MORGAN STANLEY A/C 110928	223,012	285,072
MORGAN STANLEY A/C 110929	145,029	218,411
MORGAN STANLEY A/C 110930	164,284	218,580

TY 2012 Investments - Other Schedule

Name: Carroll J Haas Foundation

EIN: 38-3415066

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MORGAN STANLEY A/C 117556		969,752	2,103,207

TY 2012 Land, Etc. Schedule

Name: Carroll J Haas Foundation

EIN: 38-3415066

TY 2012 Other Expenses Schedule

Name: Carroll J Haas Foundation

EIN: 38-3415066

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MISCELLANEOUS - ADR FEE	2	2		
MICHIGAN ANNUAL REPORT	20			20
MISCELLANEOUS	20			

TY 2012 Other Income Schedule

Name: Carroll J Haas Foundation

EIN: 38-3415066

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
NON-INVESTMENT PTP PASS-THRU INCOME	27,352		
MISCELLANEOUS INCOME	103		
NON-INVESTMENT PTP ORDINARY INCOME	165,432		

TY 2012 Other Increases Schedule

Name: Carroll J Haas Foundation

EIN: 38-3415066

Description	Amount
PRIOR PERIOD ADJUSTMENT	17,780

TY 2012 Other Professional Fees Schedule

Name: Carroll J Haas Foundation

EIN: 38-3415066

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	5,481	5,481		

TY 2012 Taxes Schedule

Name: Carroll J Haas Foundation

EIN: 38-3415066

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES WITHHELD	1,302	1,302		
UBIT TAXES	614			

Account Detail

Fiduciary Services Basic Securities Account
272-110928-053

CARROLL J HAAS FOUNDATION
LORD ABBOTT

STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ANADARKO PETE (APC)	6/23/10	23 000	$40 849	$939 52	$2,011 35	$1 071 83 LT		
	2/17/11	22 000	78 165	1 719 63	1 923 90	204 27 LT		
	Total	45 000		2,659 15	**3,935 25**	1 276 10 LT	16 20	0 41
Share Price $87 450 Next Dividend Payable 06/2013								
APACHE CORP (APA)	11/10 10	5 000	110 093	550 47	385 80	(164 67) LT		
	1/13/11	6 000	125 645	753 87	462 96	(290 91) LT		
	Total	11 000		1,304 34	**848.76**	(455 58) LT	8 80	1 03
Share Price $77 160 Next Dividend Payable 05/2013								
ARCHER DANIELS MIDLAND (ADM)	7/11/12	3 000	27 773	83 32	101 19	17 87 ST		
	8/1/12	6 000	25 998	155 99	202 38	46 39 ST		
	8/23/12	6.000	26 292	157.75	202.38	44 63 ST		
	10/9/12	2 000	28 325	56 65	67 46	10 81 ST		
	11/1 12	7 000	27 196	190 37	236 11	45 74 ST		
	11/6/12	5 000	26 636	133 18	168 65	35 47 ST		
	1/14/13	10 000	28 478	284 78	337.30	52 52 ST		
	Total	39 000		1,062 04	**1,315.47**	253 43 ST	29 64	2.25
Share Price $33 730 Next Dividend Payable 06 2013								
AT&T INC (T)	1/20/06	10.000	24 666	246.66	366.90	120 24 LT		
	1/23/06	16 000	24 631	394 10	587 04	192 94 LT		
	1/25/07	26 000	36 895	959 28	953 94	(5 34) LT		
	5/25/10	32 000	23 883	764 25	1 174 08	409 83 LT		
	6/11/10	37 000	25 278	935 29	1,357 53	422 24 LT		
	8/15/11	16.000	28 739	459 83	587 04	127 21 LT		
	8/22/11	14 000	28 576	400 06	513 66	113 60 LT		
	9/20/11	30 000	28 928	867 84	1 100 70	232 86 LT		
	9/22/11	46 000	27.817	1,279 57	1,687 74	408 17 LT		
	3/6/12	6.000	30 817	184 90	220 14	35 24 LT		
	3/20 12	8 000	31 760	254 08	293 52	39 44 LT		
	Total	241 000		6 745 86	**8,842.29**	2 096 43 LT	433 80	4 90
Share Price $36 690, Next Dividend Payable 05/2013								
BANK OF AMERICA CORP (BAC)	11/29/10	102 000	11 266	1 149 08	1 242 36	93 28 LT		
	1 4/11	182 000	14 224	2 588 77	2 216 76	(372 01) LT		
	7/6/12	38 000	7 771	295 29	462 84	167 55 ST		
	7/18/12	17 000	7 759	131 91	207 06	75 15 ST		
	7 19/12	28 000	7 540	211 11	341 04	129 93 ST		
	7/20/12	39 000	7 110	277 29	475 02	197 73 ST		

Account Detail

Fiduciary Services Basic Securities Account
272-110928-053

CARROLL J HAAS FOUNDATION
LORD ABBOTT

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	7/23/12	18 000	6 967	125 41	219 24	93 83 ST		
	10/8/12	24 000	9 313	223 52	292 32	68 80 ST		
	Total	448 000		5 002 38	**5,456.64**	(278 73) LT 732 99 ST	17 92	0 32
Share Price $12 180 Next Dividend Payable 06/2013								
BRISTOL MYERS SQUIBB CO (BMY)	12/1/11	17 000	32 885	559 05	700 23	141 18 LT		
	12/2/11	16 000	32 996	527 94	659 04	131 10 LT		
	12/6/11	13 000	33 246	432 20	535 47	103 27 LT		
	12/14/11	13 000	33.506	435 58	535 47	99 89 LT		
	12/30/11	11 000	35 356	388 92	453 09	64 17 LT		
	5/8/12	14 000	33.047	462 66	576 66	114 00 ST		
	Total	84 000		2 806 35	**3,459.96**	539 61 LT 114 00 ST	117 60	3 39
Share Price $41 190 Next Dividend Payable 05/2013								
BROADCOM CORP CL A (BRCM)	9/24/12	11 000	35 797	393 77	381 46	(12 31) ST		
	9/25/12	12 000	35 122	421 46	416 14	(5.32) ST		
	9/27/12	8 000	34 495	275 96	277 43	1 47 ST		
	9/28/12	8 000	34 728	277 82	277 43	(0 39) ST		
	10/1/12	3 000	34 720	104 16	104 03	(0 13) ST		
	Total	42 000		1,473 17	**1,456.51**	(16 68) ST	18 48	1 26
Share Price $34 679, Next Dividend Payable 06/2013								
CAPITAL ONE FINANCIAL CORP (COF)	3/15/13	14 000	54 935	769 09	769 30	0 21 ST		
	3/18/13	8 000	54 495	435 96	439 60	3 64 ST		
	3/20/13	8 000	53 832	430 66	439 60	8 94 ST		
	3/21/13	6 000	53.835	323 01	329 70	6 69 ST		
	3/22/13	5 000	54 072	270 36	274 75	4 39 ST		
	3/26/13	5 000	55 162	275 81	274 75	(1 06) ST		
	3/28/13	6 000	54 853	329 12	329 70	0 58 ST		
	Total	52 000		2 834 01	**2,857 40**	23 39 ST	10 40	0 36
Share Price $54 950 Next Dividend Payable 05/2013								
CARNIVAL CP NEW PAIRED COM (CCL)	6/30/09	18 000	25 617	461 11	617 40	156 29 LT		
	6/7/10	10,000	34 622	346 22	343 00	(3 22) LT		
	Total	28 000		807 33	**960 40**	153 07 LT	28 00	2 91
Share Price $34 300 Next Dividend Payable 06/2013								

Account Detail

Fiduciary Services Basic Securities Account
272-110928-053

CARROLL J HAAS FOUNDATION
LORD ABBOTT

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
CATERPILLAR INC (CAT)	5/13 09	9 000	36 375	327 38	782 73	455 35 LT		
	6/30/09	14 000	33 132	463 85	1 217 58	753 73 LT		
	10/1/10	5 000	78 186	390 93	434 85	43 92 LT		
	Total	28 000		1 182 16	**2,435.16**	1 253 00 LT	58 24	2 39
Share Price $86 970								
CHEVRON CORP (CVX)	9/11/09	7 000	70 560	493 92	831 74	337 82 LT		
	9/14/09	17 000	70 565	1,199 61	2,019 94	820 33 LT		
	9/18/09	8 000	72 996	583 97	950 56	366 59 LT		
	10/1/09	5 000	69 344	346 72	594 10	247 38 LT		
	1/25/10	11 000	74 481	819 29	1,307 02	487 73 LT		
	5/6/10	18 000	79 697	1,434 55	2,138 76	704 21 LT		
	6/8/10	11 000	70 692	777 61	1 307 02	529 41 LT		
	10/13 10	3 000	83 777	251 33	356 46	105 13 LT		
	Total	80 000		5 907 00	**9,505 60**	3 598 60 LT	288 00	3 02
Share Price $118 820, Next Dividend Payable 06/2013								
CIGNA CORPORATION COM (CI)	9/14/10	2 000	35 047	70 09	124 74	54 65 LT		
	11/5/10	15 000	37 173	557 59	935 55	377 96 LT		
	6/28/12	7 000	44 304	310 13	436 59	126 46 ST		
	Total	24 000		937 81	**1,496.88**	432 61 LT 126.46 ST	0.96	0 06
Share Price $62 370, Next Dividend Payable 04/10/13								
CISCO SYS INC (CSCO)	11/14/11	5 000	18 989	94 95	104 47	9 52 LT		
	11/18/11	36 000	18 605	669 77	752 21	82 44 LT		
	11/25/11	21 000	17 668	371 03	438 79	67.76 LT		
	11/29/11	16 000	17 868	285 88	334 31	48 43 LT		
	12/7/11	15 000	18 901	283 52	313 42	29 90 LT		
	1/13/12	21 000	18,990	398 78	438 79	40 01 LT		
	1/31/12	18 000	19 702	354 63	376 10	21 47 LT		
	3/20/12	15,000	20 384	305 76	313 42	7 66 LT		
	5/8/12	14 000	18 789	263 04	292 52	29 48 ST		
	6/27/12	12 000	16 748	200 98	250 73	49 75 ST H		
	9/14/12	13 000	19 643	255 36	271 63	16 27 ST		
	12/13/12	17 000	19 641	333.89	355 21	21 32 ST		
	1/3/13	12 000	20 465	245 58	250 73	5 15 ST		
	3/11/13	14 000	21 859	306 02	292 52	(13 50) ST		
	3 12/13	10 000	21 681	216 81	208 94	(7 87) ST		

Account Detail

Fiduciary Services Basic Securities Account
272-110928-053

CARROLL J HAAS FOUNDATION
LORD ABBOTT

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	Total	239 000		4,586 00	**4,993.90**	307 19 LT 100 60 ST	133 84	2 68
Share Price $20 895								
CLIFFS NATURAL RESOURCES INC (CLF)	12/7/09	8 000	42 396	339 17	152 08	(187 09) LT		
	6/4/10	7 000	49 077	343 54	133 07	(210 47) LT		
	Total	15 000		682 71	**285.15**	(397 56) LT	9 00	3 15
Share Price $19 010 Next Dividend Payable 06/2013								
COLGATE PALMOLIVE CO (CL)	8/20/10	6 000	75 971	455 83	708 18	252.35 LT		
	8/23/10	9 000	75 939	683 45	1 062 27	378 82 LT		
	4/7/11	6 000	81 445	488 67	708 18	219 51 LT		
	8/10/11	7 000	81 721	572 05	826 21	254.16 LT		
	Total	28 000		2,200 00	**3,304 84**	1 104.84 LT	76 16	2 30
Share Price $118 030 Next Dividend Payable 05/2013								
COMCAST CORP (NEW) CLASS A (CMCSA)	6/20/10	26 000	17 065	443 68	1,091 48	647 80 LT		
	6/30/10	26 000	17 652	458 95	1 091 48	632 53 LT		
	9/30/10	46 000	17 854	821 28	1 931 08	1 109 80 LT		
	Total	98.000		1,723 91	**4,114.04**	2,390 13 LT	76.44	1 85
Share Price $41 980, Next Dividend Payable 04/2013								
COVIDIEN PLC NEW (COV)	2/25/13	9 000	62 476	562 28	610 56	48 28 ST		
	2/26/13	9 000	61 453	553 08	610 56	57.48 ST		
	3/12/13	5 000	65 400	327.00	339 20	12 20 ST		
	3/13/13	4 000	65 800	263.20	271 36	8 16 ST		
	3/14/13	5 000	65 728	328 64	339 20	10 56 ST		
	3/15/13	2 000	65 335	130.67	135 68	5 01 ST		
	3/21/13	4 000	66 335	265 34	271 36	6 02 ST		
	Total	38 000		2 430 21	**2,577 92**	147 71 ST	39 52	1 53
Share Price $67 840								
CVS CAREMARK CORP (CVS)	8/30/11	5 000	35 817	179 08	274 95	95 87 LT		
	9/2/11	18 000	35 851	645 31	989 82	344 51 LT		
	9/6/11	18 000	35 614	641 06	989 82	348 76 LT		
	9/9/11	16 000	36 556	584 89	879 84	294 95 LT		
	9/12/11	6 000	36 300	217 80	329 94	112 14 LT		
	11/25/11	12 000	37 023	444 28	659 88	215 60 LT		
	Total	75 000		2,712 42	**4,124 25**	1 411 83 LT	67 50	1 63
Share Price $54 990 Next Dividend Payable 05/2013								

Account Detail

Fiduciary Services Basic Securities Account
272-110928-053

CARROLL J HAAS FOUNDATION
LORD ABBOTT

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
DEVON ENERGY CORP NEW (DVN)	11/12/10	24 000	72 179	1 732 30	**1,354 08**	(378 22) LT	21 12	1.55
Share Price $56 420, Next Dividend Payable 06/2013								
DOW CHEMICAL CO (DOW)	7/27/09	21 000	20 084	421 76	668 64	246 88 LT		
	8/27/09	32 000	21 274	680 77	1,018 88	338 11 LT		
	10/1/09	6 000	24,972	149 83	191 04	41 21 LT		
	10/22/09	23 000	25 317	582 30	732 32	150 02 LT		
	10/28/09	22 000	24 575	540 64	700 48	159 84 LT		
	12/9/10	22 000	33 708	741 57	700 48	(41 09) LT		
	Total	126 000		3 116 87	**4,011.84**	894 97 LT	161 28	4 02
Share Price $31 640 Next Dividend Payable 04/30/13								
DUKE ENERGY CORP NEW (DUK)	10/10/07	17 000	51 469	874 98	1 234 03	359 05 LT		
	5/18/10	12 000	46 601	559 21	871 08	311 87 LT		
	5/24/12	1 000	65 370	65 37	72 59	7 22 ST		
	5/25/12	7 000	62 844	439 91	508 13	68.22 ST		
	5/29/12	6 000	69 493	416 96	435 54	18 58 ST		
	6/1/12	8 000	72 559	580 47	580 72	0 25 ST		
	6/5/12	3 000	60 643	181 93	217.77	35 84 ST		
	6/6/12	2 000	79 220	158 44	145 18	(13 26) ST		
	Total	56 000		3,277 27	**4,065.04**	670 92 LT 116 85 ST	171 36	4 21
Share Price $72 590, Next Dividend Payable 06/2013								
EATON CORP PLC SHS (ETN)	12/3/12	42 000	51 655	2,169 51	**2,572.50**	402 99 ST	70 56	2 74
Share Price $61 250 Next Dividend Payable 06/2013								
ELI LILLY & CO (LLY)	5/29/12	3 000	41 266	123 80	170 37	46 57 ST		
	5/31/12	11 000	41 047	451 52	624 69	173 17 ST		
	6/1/12	8 000	40 405	323 24	454 32	131 08 ST		
	6/4/12	6 000	40 123	240 74	340 74	100 00 ST		
	6/7/12	7 000	41 341	289 39	397 53	108 14 ST		
	6/19/12	3 000	42 390	127 17	170 37	43.20 ST		
	9/10/12	5.000	46 718	233.59	283.95	50 36 ST		
	Total	43 000		1 789 45	**2,441 97**	652 52 ST	84.28	3 45
Share Price $56 790, Next Dividend Payable 05/2013								
EMC CORP MASS (EMC)	3/16/10	1 000	18 760	18 76	23 89	5 13 LT		
	3/29/10	33 000	18 023	594 75	788 37	193 62 LT		
	12/13/10	38 000	22 668	861.39	907.82	46 43 LT		

Account Detail

Fiduciary Services Basic Securities Account 272-110928-053

CARROLL J HAAS FOUNDATION
LORD ABBOTT

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	Total	72 000		1 474 90	**1,720.08**	245.18 LT	—	—
Share Price $23 890								
EMERSON ELECTRIC CO (EMR)	7/31/09	14 000	36 623	512 72	782 18	269 46 LT		
	1/3/11	28 000	57 495	1 609 85	1 564 36	(45 49) LT		
	2/9/11	12 000	60 976	731 71	670 44	(61 27) LT		
	Total	54 000		2,854 28	**3,016 98**	162 70 LT	88 56	2 93
Share Price $55 870, Next Dividend Payable 06/2013								
EXXON MOBIL CORP (XOM)	12/14/09	35 000	69 456	2 430.95	3,153 85	722 90 LT		
	3/16/10	7 000	66 163	463 14	630 77	167 63 LT		
	4/27/10	7 000	69 800	488 60	630 77	142 17 LT		
	4/29/10	9 000	68 710	618 39	810 99	192 60 LT		
	6/6/12	5 000	79 244	396 22	450 55	54 33 ST		
	6/12/12	27 000	81 115	2 190 11	2 432 97	242 86 ST		
	6/21/12	15 000	82 425	1 236 37	1,351 65	115 28 ST		
	6/22/12	12 000	82 545	990 54	1 081 32	90 78 ST		
	7/3/12	7 000	85 966	601 76	630 77	29 01 ST		
	7/5/12	9 000	85 637	770 73	810 99	40 26 ST		
	Total	133.000		10,186 81	**11,984.63**	1,225 30 LT 572 52 ST	303 24	2 53
Share Price $90 110, Next Dividend Payable 06/2013								
FORD MOTOR CO NEW (F)	9/30/09	33 000	7 444	245 66	433 95	188 29 LT		
	12/31/09	96 000	9 969	957 05	1 262 40	305 35 LT		
	1/30/12	18 000	12 302	221 44	236 70	15 26 LT		
	6/29/12	54 000	9 630	520 00	710 10	190 10 ST		
	12/13/12	9 000	11 290	101 61	118 35	16 74 ST		
	Total	210 000		2 045 76	**2,761 50**	508 90 LT 206 84 ST	84 00	3 04
Share Price $13 150, Next Dividend Payable 06/2013								
GENERAL ELECTRIC CO (GE)	9/5/07	3 000	38 606	115 82	69 36	(46 46) LT		
	11/26/08	40 000	15 979	639 14	924 80	285 66 LT		
	11/28/08	7.000	17 034	119 24	161 84	42 60 LT		
	3/23/11	80 000	19 533	1,562 67	1,849 60	286 93 LT		
	6/24/11	98 000	18 078	1 771 65	2 265 76	494 11 LT		
	11/29/11	24 000	15 004	360 09	554 88	194 79 LT		
	3/6/12	23 000	18 476	424 95	531 76	106 81 LT		
	3/20/12	16 000	20 112	321 79	369 92	48 13 LT		

Account Detail

Fiduciary Services Basic Securities Account
272-110928-053

CARROLL J HAAS FOUNDATION
LORD ABBOTT

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	5/8/12	34 000	19 094	649 18	786 08	136 90 ST		
	6/12/12	27 000	19 188	518 08	624.24	106 16 ST		
	10/5/12	15 000	23 157	347.35	346 80	(0 55) ST		
	3/4/13	19 000	23 140	439 66	439 28	(0 38) ST		
	Total	386 000		7,269 62	**8,924.32**	1,412 57 LT 242 13 ST	293.36	3.28
Share Price $23 120, Next Dividend Payable 04/25/13								
GOLDMAN SACHS GRP INC (GS)	12/31/08	8 000	85.647	685.18	1,177 20	492 02 LT		
	2/3/09	7 000	83 086	581 60	1,030 05	448 45 LT		
	2/9/09	6 000	97 138	582 83	882.90	300 07 LT		
	7/28/10	8 000	147 573	1,180 58	1,177 20	(3 38) LT		
	8/16/10	2 000	147.475	294 95	294 30	(0 65) LT		
	8/31/10	4 000	136 488	545 95	588.60	42 65 LT		
	9/20/10	2 000	150 390	300 78	294.30	(6 48) LT		
	Total	37 000		4,171 87	**5,444 55**	1,272 68 LT	74 00	1 35
Share Price $147 150, Next Dividend Payable 06/2013								
HALLIBURTON CO (HAL)	6/30/09	2 000	20.750	41 50	80 82	39 32 LT		
	9/9/09	25 000	25 246	631 15	1,010 25	379 10 LT		
	6/21/12	7.000	28 704	200 93	282 87	81 94 ST		
	6/27/12	9 000	27 019	243 17	363 69	120 52 ST		
	9/10/12	3.000	34 617	103 85	121 23	17 38 ST		
	12/19/12	5 000	34 818	174 09	202 05	27 96 ST		
	Total	51 000		1,394.69	**2,060.91**	418 42 LT 247 80 ST	25 50	1 23
Share Price $40 410 Next Dividend Payable 06/2013								
HARTFORD FIN SERS GRP INC (HIG)	1/30/13	23 000	24 697	568 02	593 40	25 38 ST		
	1/31/13	24.000	24 567	589 60	619.20	29 60 ST		
	2/6/13	12 000	24 458	293 50	309 60	16 10 ST		
	2/8/13	12 000	24.151	289 81	309 60	19 79 ST		
	2/13/13	12 000	24.445	293 34	309.60	16 26 ST		
	3/12/13	9 000	25 734	231 61	232 20	0 59 ST		
	Total	92 000		2,265 88	**2,373.60**	107 72 ST	36 80	1 55
Share Price $25 800, Next Dividend Payable 04/01/13								
HERTZ GLOBAL HOLDINGS, INC (HTZ)	2/26/09	73 000	3 262	238 13	1,624.98	1 386.85 LT		
	5/20/10	5.000	10.354	51 77	111 30	59 53 LT		
	5/20/10	25 000	10 354	258.84	556.50	297 66 LT		

Account Detail

Fiduciary Services Basic Securities Account
272-110928-053

CARROLL J HAAS FOUNDATION
LORD ABBOTT

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	9/30/10	68 000	10 549	717 32	1,513.68	796 36 LT		
	Total	171 000		1 266.06	**3,806.46**	2 540.40 LT	—	—
Share Price $22 260								
HESS CORPORATION (HES)	3/31/09	6 000	54 629	327 77	429 66	101 89 LT		
	6/2/09	15 000	63 874	958 [illegible]	1,071 15	116 04 LT		
	9/9/10	5 000	53 960	269 80	358 05	88 25 LT		
	9/14/10	7 000	54 000	378 00	501.27	123 27 LT		
	1/8/13	2 000	54 975	109 95	143 22	33 27 ST D		
	Total	35 000		2 043 63	**2,506 35**	429 45 LT 33 27 ST	14 00	0 55
Share Price $71 610 Next Dividend Payable 06/2013								
HEWLETT PACKARD (HPQ)	1/19/11	20 000	46 435	928 70	476 80	(451 90) LT		
	11/7/11	19 000	27 253	517 81	452 96	(64 85) LT		
	11/25/11	13 000	25 577	332 50	309.92	(22 58) LT		
	12/1/11	9 000	28 238	254 14	214 56	(39 58) LT		
	3/6 12	6 000	24 582	147 49	143 04	(4 45) LT		
	7/19/12	12 000	19 258	231 10	286 08	54 98 ST		
	Total	79 000		2,411 74	**1,883.36**	(583 36) LT 54 98 ST	41 71	2 21
Share Price $23 840, Next Dividend Payable 04/03/13								
HOME DEPOT INC (HD)	3/23/12	3 000	49 410	148 23	209 34	61 11 LT		
	3/26/12	16 000	49 971	799 53	1 116 48	316 95 LT		
	4/5/12	8 000	50 524	404 19	558 24	154 05 ST		
	5/7/12	8 000	51 630	413 04	558 24	145 20 ST		
	Total	35 000		1,764 99	**2,442.30**	378 06 LT 299 25 ST	54 60	2 23
Share Price $69 780 Next Dividend Payable 06/2013								
HSN INC (HSNI)	3 31 10	5 000	29 941	149 71	274 30	124 59 LT		
	5/20/10	18 000	24 703	444 66	987 48	542 82 LT		
	6/23/10	5 000	24,222	121,11	274 30	153 19 LT		
	6/24/10	7 000	24 087	168 61	384.02	215 41 LT		
	8/31/10	5 000	26 120	130 60	274 30	143 70 LT		
	Total	40 000		1 014 69	**2,194 40**	1 179 71 LT	28 80	1 3[illegible]
Share Price $54 860 Next Dividend Payable 06/2013								

Account Detail

Fiduciary Services Basic Securities Account
272-110928-053

CARROLL J HAAS FOUNDATION
LORD ABBOTT

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
HYATT HOTELS CORP COM CL A (H)	1/29/10	12 000	29 726	356 71	518 76	162 05 LT		
	2/1/10	3 000	30 030	90 09	129 69	39 60 LT		
	6/30/10	20 000	36 994	739 88	864.60	124 72 LT		
	7/1/10	3 000	36 763	110 29	129 69	19 40 LT		
	Total	38 000		1,296 97	**1,642.74**	345 77 LT	—	—
Share Price $43 230								
INTEL CORP (INTC)	10/10/11	19.000	22 838	433 93	414.86	(19.07) LT		
	10/14/11	23 000	23 321	536 39	502 20	(34.19) LT		
	10/17/11	26 000	23 315	606 20	567 71	(38 49) LT		
	1/30/12	11 000	26 665	293 32	240 18	(53 14) LT		
	5/22/12	11 000	26 146	287 61	240 18	(47 43) ST		
	Total	90 000		2 157 45	**1,965.15**	(144 89) LT (47 43) ST	81 00	4 12
Share Price $21 835 Next Dividend Payable 06/2013								
INTERNATIONAL PAPER CO (IP)	9/23/10	84 000	21 042	1 767 50	**3,912 72**	2 145 22 LT	100 80	2 57
Share Price $46 580 Next Dividend Payable 06/2013								
JOHNSON & JOHNSON (JNJ)	8/4/09	5 000	60 862	304 31	407 65	103 34 LT		
	9/11/09	10 000	60 434	604 34	815 30	210 96 LT		
	9/21/09	4 000	60 625	242 50	326 12	83 62 LT		
	11/11/10	8 000	63 755	510 04	652 24	142 20 LT		
	4/28/11	29 000	65 393	1 896 39	2 364 37	467 98 LT		
	6/1/11	20 000	67 113	1 342 25	1 630 60	288 35 LT		
	9/22/11	11 000	61 859	680 45	896 83	216 38 LT		
	Total	87 000		5,580 28	**7,093 11**	1,512 83 LT	212 28	2 99
Share Price $81 530 Next Dividend Payable 06/2013								
JPMORGAN CHASE & CO (JPM)	12/31/07	16 000	43 326	693 22	759 36	66 14 LT		
	3/3/08	51,000	39 923	2 036 08	2,420 46	384 38 LT		
	6/11/08	49 000	37 651	1,844 92	2,325 54	480 62 LT		
	6/20/08	16 000	38 995	623 92	759 36	135 44 LT		
	9/15/08	20 000	40 241	804 82	949 20	144 38 LT		
	12/1/08	24 000	29 000	696.00	1 139 04	443 04 LT		
	9/22/10	29 000	39.903	1 157.20	1,376.34	219 14 LT		
	11/22/10	25 000	38 679	966 97	1 186 50	219 53 LT		
	Total	230 000		8 823 13	**10,915.80**	2,092 67 LT	276 00	2 52
Share Price $47 460 Next Dividend Payable 04/2013								

Account Detail

Fiduciary Services Basic Securities Account
272-110928-053

CARROLL J HAAS FOUNDATION
LORD ABBOTT

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
KINDER MORGAN INCORP (KMI)	3/1/06	1 000	27.979	27 98	38 68	10 70 LT		
	12/15/06	2 000	32.070	64 14	77.36	13 22 LT		
	12/18/06	18 000	32 071	577 27	696 24	118 97 LT		
	2/6/08	20 000	32 214	644 28	773 60	129 32 LT		
	11/19/09	22 000	20 914	460 10	850 96	390 86 LT		
	12/3/09	12 000	21 133	253 60	464 16	210 56 LT		
	Total	75 000		2,027 37	**2,901.00**	873 63 LT	111.00	3 82
Share Price $38 680 Next Dividend Payable 05/2013								
KRAFT FOODS GROUP INC COM (KRFT)	6/4/12	1 000	46 185	46 19	51 53	5 34 ST		
	6/5/12	4 000	39 500	158 00	206 12	48 12 ST		
	6/6/12	1 000	39 830	39 83	51 53	11 70 ST		
	6/8/12	2 000	33 560	67 12	103 06	35 94 ST		
	6/12/12	2 000	46 955	93 91	103 06	9 15 ST		
	6/13/12	2 000	47 330	94 66	103 06	8 40 ST		
	7/6/12	6 000	36 542	219 25	309 18	89 93 ST		
	1/4/13	2 000	46 015	92 03	103 06	11 03 ST		
	3/4/13	6 000	48 577	291 46	309 18	17 72 ST		
	Total	26 000		1,102 45	**1,339.78**	237 33 ST	52 00	3 88
Share Price $51 530 Next Dividend Payable 04/12/13								
KROGER CO (KR)	12/31/09	31 000	20 579	637 96	1 027 34	389 38 LT		
	7/12/12	8 000	21 885	175 08	265 12	90 04 ST		
	8/2/12	13 000	21 859	284 17	430 82	146 65 ST		
	10/10/12	11 000	23.530	258 83	364 54	105.71 ST		
	Total	63 000		1,356 04	**2,087 82**	389 38 LT 342.40 ST	37 80	1.81
Share Price $33 140, Next Dividend Payable 06/2013								
MARATHON OIL CO (MRO)	10/21/09	12 000	21 245	254 94	404 64	149 70 LT		
	12/4/09	16 000	18 976	303 61	539 52	235 91 LT		
	4/26/10	8 000	19 743	157 94	269 76	111 82 LT		
	4/27/10	20 000	19 252	385 04	674 40	289.36 LT		
	11/13/12	8 000	30 256	242 05	269 76	27 71 ST		
	Total	64 000		1,343.58	**2,158 08**	786 79 LT 27 71 ST	43 52	2 01
Share Price $33 720 Next Dividend Payable 06/2013								

Account Detail

Fiduciary Services Basic Securities Account
272-110928-053

CARROLL J HAAS FOUNDATION
LORD ABBOTT

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
MARATHON PETROLEUM CORP (MPC)	10/21/09	6 318	28 743	181 60	566 09	384 49 LT		
	12 4/09	7 884	25 671	202 39	706 41	504 02 LT		
	4/26 10	3 942	26 710	105 29	353 20	247 91 LT		
	4/27/10	9 856	25 044	256 69	883 10	626 41 LT		
	Total	28 000		745 97	**2,508.80**	1 762 83 LT	39 20	1 56
Share Price $89 600, Next Dividend Payable 06/2013								
MARRIOTT INTL INC NEW CL A (MAR)	3/13/09	10 000	14.428	144.28	422 30	278 02 LT		
	6/30/09	21 000	20 580	432 17	886 83	454 66 LT		
	Total	31 000		576 45	**1,309 13**	732 68 LT	16 12	1 23
Share Price $42 230 Next Dividend Payable 06/2013								
MCKESSON CORP (MCK)	4/29/11	8 000	82 999	663 99	863 68	199 69 LT		
	2/3/12	5 000	81 576	407 88	539 80	131 92 LT		
	2/13/12	2 000	81 585	163 17	215 92	52 75 LT		
	2/29/12	3 000	83 753	251 26	323 88	72 62 LT		
	3/6/12	5 000	82 440	412 20	539 80	127 60 LT		
	Total	23 000		1 898 50	**2,483 08**	584 58 LT	18 40	0 74
Share Price $107 960, Next Dividend Payable 04/01/13								
MEDTRONIC INC (MDT)	8/15/12	10 000	40 306	403 06	469 60	66.54 ST		
	8/16/12	9 000	40 462	364 16	422 64	58.48 ST		
	8/17/12	10 000	40 637	406 37	469 60	63 23 ST		
	8/20/12	6 000	41 203	247 22	281 76	34 54 ST		
	8/21/12	6 000	41 280	247 68	281 76	34 08 ST		
	8/23/12	4 000	40 528	162 11	187 84	25 73 ST		
	9/13/12	2 000	42 070	84 14	93 92	9 78 ST		
	9/21/12	6 000	43 410	260 46	281 76	21 30 ST		
	9/24/12	9 000	43 569	392 12	422 64	30 52 ST		
	10/9/12	7 000	43 223	302 56	328 72	26 16 ST		
	1/4/13	2 000	42 655	85 31	93 92	8 61 ST		
	1/29/13	8 000	46 750	374 00	375 68	1.68 ST		
	2/13/13	7 000	47 036	329 25	328 72	(0 53) ST		
	Total	86 000		3 658 44	**4,038 56**	380 12 ST	89 44	2 21
Share Price $46 960								
MERCK & CO INC NEW COM (MRK)	8/4/09	32 000	29 708	950 65	1 414 40	463 75 LT		
	8 13/10	10 000	34 965	349 65	442 00	92 35 LT		
	8 19/10	7 000	34 654	242 58	309 40	66 82 LT		
	5 3/11	38 000	36 477	1 386 13	1 679 60	293 47 LT		

Account Detail

Fiduciary Services Basic Securities Account
272-110928-053

CARROLL J HAAS FOUNDATION
LORD ABBOTT

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	5/18/11	15 000	37 569	563 54	663 00	99 46 LT		
	6/1/12	19 000	37 218	707 14	839 80	132.66 ST		
	Total	121 000		4,199 69	**5,348 20**	1,015 85 LT 132.66 ST	208 12	3.89
Share Price $44 200, Next Dividend Payable 04/05/13								
METLIFE INCORPORATED (MET)	7/1/09	9 000	29 742	267 68	342 18	74 50 LT		
	8/16/10	16 000	38.675	618.80	608 32	(10 48) LT		
	11/5/10	17.000	42 156	716 66	646 34	(70.32) LT		
	12/24/12	7.000	32 809	229 66	266 14	36 48 ST		
	1/3/13	6 000	35 202	211 21	228 12	16 91 ST		
	1/9/13	8 000	36 093	288 74	304 16	15 42 ST		
	1/14/13	6 000	36 012	216.07	228 12	12 05 ST		
	1/24/13	7 000	37 227	260 59	266 14	5.55 ST		
	1/28/13	7 000	37 621	263 35	266 14	2 79 ST		
	2/14/13	8 000	36 904	295 23	304 16	8 93 ST		
	Total	91.000		3,367 99	**3,459.82**	(6 30) LT 98 13 ST	67 34	1.94
Share Price $38 020, Next Dividend Payable 06/2013								
MICROSOFT CORP (MSFT)	5/19/11	6 000	24.567	147.40	171 63	24 23 LT		
	5/24/11	32 000	24 141	772 52	915 36	142.84 LT		
	12/12/12	16 000	27 346	437 53	457 68	20 15 ST		
	12/19/12	6 000	27 318	163 91	171 63	7 72 ST		
	Total	60 000		1,521 36	**1,716 30**	167.07 LT 27 87 ST	55 20	3 21
Share Price $28 605, Next Dividend Payable 06/2013								
MONDELEZ INTL INC COM (MDLZ)	5/10/12	11 000	25 418	279 60	336 76	57 16 ST		
	5/22/12	7 000	25.136	175 95	214 30	38 35 ST		
	6/4/12	7 000	24 411	170 88	214 30	43 42 ST		
	6/5/12	12 000	24 355	292 26	367 37	75 11 ST		
	6/6/12	3 000	24 557	73 67	91 84	18 17 ST		
	6/8/12	5 000	24 830	124.15	153 07	28 92 ST		
	6/12/12	7 000	24 817	173 72	214 30	40 58 ST		
	6/13/12	7 000	25 016	175 11	214 30	39 19 ST		
	7/6/12	16.000	25.354	405.66	489 83	84 17 ST		
	1/28/13	7 000	27 753	194 27	214 30	20 03 ST		
	3/28/13	11 000	30 552	336 07	336 76	0 69 ST		

Account Detail

Fiduciary Services Basic Securities Account
272-110928-053

CARROLL J HAAS FOUNDATION
LORD ABBOTT

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	Total	93 000		2 401 34	**2,847 19**	445 79 ST	48 36	1 69
Share Price $30 615 Next Dividend Payable 04 15/13								
MORGAN STANLEY (MS)	1/23/09	39 000	21 685	845 70	857 22	11 52 LT		
	5/7/09	6 000	28 562	171 37	131 88	(39 49) LT		
	5/8/09	45 000	25 721	1 157 46	989 10	(168 36) LT		
	Total	90 000		2 174 53	**1,978.20**	(196 33) LT	18 00	0 90
Share Price $21 980, Next Dividend Payable 05/2013								
NEXTERA ENERGY INC COM (NEE)	8/10/10	20 000	53 451	1,069 02	1,553 60	484.58 LT		
	5/8/12	3 000	64 113	192 34	233 04	40 70 ST		
	Total	23 000		1 261 36	**1,786 64**	484 58 LT 40 70 ST	60 72	3 39
Share Price $77 680 Next Dividend Payable 06/2013								
OCCIDENTAL PETROLEUM CORP DE (OXY)	2 6/12	3 000	103 011	309 03	235 11	(73 92) LT		
	2/8/12	8 000	103 634	829 07	626 96	(202 11) LT		
	2/9/12	7 000	104 680	732 76	548 59	(184 17) LT		
	6/21/12	4 000	82 703	330 81	313 48	(17 33) ST H		
	6/21/12	3 000	82 703	248 11	235 11	(13 00) ST		
	6/28/12	2 000	80 630	161 26	156 74	(4.52) ST		
	7/5/12	4 000	86 793	347 17	313 48	(33.69) ST		
	7/17/12	2 000	84 725	169 45	156 74	(12.71) ST		
	8/15/12	4 000	88 805	355.22	313 48	(41 74) ST		
	10/1/12	1 000	86 700	86 70	78 37	(8 33) ST		
	1/3/13	4 000	78 478	313 91	313 48	(0 43) ST		
	Total	42 000		3 883 49	**3,291 54**	(460 20) LT (131 75) ST	107 52	3 26
Share Price $78 370 Next Dividend Payable 04 15/13								
OMNICOM GROUP (OMC)	12/6/10	5 000	47 590	237 95	**294 50**	56 55 LT	8 00	2 71
Share Price $58 900 Next Dividend Payable 04/02/13								
ORACLE CORP (ORCL)	9/8/10	37 000	24 061	890.25	1 196.21	305 96 LT		
	1/28/13	7 000	35 494	248 46	226.31	(22 15) ST		
	Total	44 000		1 138 71	**1,422 52**	305 96 LT (22 15) ST	10 56	0 74
Share Price $32 330								
PEPSICO INC NC (PEP)	1/27/10	7 000	60 004	420 03	553 77	133 74 LT		
	11/10/10	14 000	65 311	914 36	1 107 54	193 18 LT		
	6/15 12	4 000	69 488	277 95	316 44	38 49 ST		

Account Detail

Fiduciary Services Basic Securities Account
272-110928-053

CARROLL J HAAS FOUNDATION
LORD ABBOTT

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	Total	25 000		1,612 34	**1,977.75**	326 92 LT 38 49 ST	53 75	2,71
Share Price $79 110, Next Dividend Payable 06/2013								
PFIZER INC (PFE)	11/1'`'0	133 000	16 977	2 257 93	3 838 38	1 580 45 LT		
	1/6/11	151 000	18 132	2,737 98	4,357 86	1 619 88 LT		
	9/22/11	39 000	17 492	682 18	1 125 54	443 36 LT		
	Total	323 000		5,678 09	**9,321.78**	3,643.69 LT	310 08	3 32
Share Price $28 860, Next Dividend Payable 06/2013								
PG&E CORPORATION (PCG)	10/1/09	1 000	40 324	40 32	44 53	4 21 LT		
	1/25/10	10 000	44 279	442 79	445 30	2 51 LT		
	5/7/10	18 000	43 118	776 13	801 54	25 41 LT		
	10/5/12	6 000	42 987	257 92	267 18	9 26 ST		
	3/13/13	3 000	43 207	129 62	133 59	3 97 ST		
	Total	38 000		1 646 78	**1,692.14**	32 13 LT 13 23 ST	69 16	4 08
Share Price $44 530, Next Dividend Payable 04/15 13								
PHILIP MORRIS INTL INC (PM)	3/7/11	15 000	63 950	959 25	1,390 65	431 40 LT		
	8/2/11	8 000	70 594	564 75	741 68	176 93 LT		
	8/10/11	13 000	65 971	857 62	1,205.23	347,61 LT		
	6/15/12	6 000	87 367	524 20	556 26	32 06 ST		
	Total	42 000		2 905 82	**3,893 82**	955 94 LT 32 06 ST	142 80	3 66
Share Price $92 710 Next Dividend Payable 04/12/13								
PNC FINL SVCS GP (PNC)	4/11/08	10 000	64 503	645 03	665 00	19 97 LT		
	4/18/08	24 000	66,530	1,596 72	1,596 00	(0 72) LT		
	6/20/08	9 000	57 522	517 70	598 50	80 80 LT		
	7/16/08	4 000	53 210	212 84	266 00	53 16 LT		
	Total	47 000		2 972 29	**3,125 50**	153 21 LT	75 20	2 40
Share Price $66 500 Next Dividend Payable 05/2013								
PPL CORPORATION (PPL)	6/23/10	13 000	24 842	322 95	407 03	84 08 LT		
	8/10/10	16 000	26 353	421,65	500 96	79 31 LT		
	8/18/11	20 000	27 182	543 64	626 20	82 56 LT		
	Total	49 000		1 288 24	**1,534 19**	245 95 LT	72 03	4 69
Share Price $31 310 Next Dividend Payable 04/01/13								
PROCTER & GAMBLE (PG)	11/18/10	33 000	64 141	2,116 67	2 542 98	426 31 LT		
	2/7/11	19 000	64 553	1,226 50	1,464 14	237 64 LT		
	5/3/11	15 000	65 656	984 84	1,155 90	171 06 LT		

Account Detail

Fiduciary Services Basic Securities Account
272-110928-053

CARROLL J HAAS FOUNDATION
LORD ABBOTT

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	8/2/11	9 000	60 968	548 71	693 54	144 83 LT		
	8/10/11	22,000	59 620	1 311 64	1,695 32	383 68 LT		
	9/19/11	8 000	63 860	510 88	616 48	105 60 LT		
	Total	106 000		6 699 24	**8,168 36**	1 469 12 LT	238 29	2 91
Share Price $77 060 Next Dividend Payable 05/2013								
PRUDENTIAL FINANCIAL INC (PRU)	12/17/10	52 000	58 131	3,022 83	3,067 48	44 65 LT		
	2/24/11	10 000	63 857	638 57	589 90	(48 67) LT		
	Total	62 000		3,661 40	**3,657.38**	(4 02) LT	99.20	2 71
Share Price $58 990 Next Dividend Payable 06/2013								
REGIONS FINANCIAL CORP NEW (RF)	9/30/09	35,000	6 261	219.13	286 65	67 52 LT		
	10/14/09	25 000	6 061	151 53	204 75	53 22 LT		
	1/26/10	90 000	6 199	557 91	737 10	179 19 LT		
	4/14/10	78 000	8 810	687 16	638 82	(48 34) LT		
	Total	228 000		1 615 73	**1,867.32**	251 59 LT	9 12	0 48
Share Price $8 190 Next Dividend Payable 04/01/13								
SCHLUMBERGER LTD (SLB)	12 21/06	2 000	63 573	127 15	149 78	22 63 LT		
	11/24/08	9 000	46 050	414 45	674 01	259 56 LT		
	5/15 09	12 000	52 876	634 51	898 68	264 17 LT		
	6/22/09	14 000	52 598	736 37	1 048 46	312 09 LT		
	1/22/10	7 000	65 293	457 05	524 23	67 18 LT		
	Total	41 000		2 369 53	**3,295 16**	925 63 LT	55.00	1 66
Share Price $74 890 Next Dividend Payable 04/12/13								
SOUTHERN CO (SO)	7/12/11	24 000	40 337	968 08	1 126 08	158 00 LT		
	8/16/11	13 000	40 177	522 30	609.96	87 66 LT		
	8/18/11	16 000	40 081	641 30	750 72	109 42 LT		
	9/20/11	14 000	42 954	601 35	656 88	55 53 LT		
	3/20/12	5 000	43 866	219 33	234 60	15 27 LT		
	Total	72 000		2,952 36	**3,378.24**	425 88 LT	141 12	4 17
Share Price $46 920, Next Dividend Payable 06/2013								
SUNCOR ENERGY INC NEW COM (SU)	10/28/09	13 000	33 933	441 13	390 13	(51 00) LT		
	12/7/09	18,000	34 903	628 25	540 18	(88 07) LT		
	11/10/10	22,000	36 243	797 35	660 22	(137 13) LT		
	11/5/12	4 000	34 730	138 92	120 04	(18 88) ST		
	2/7/13	10 000	32 020	320 20	300 10	(20 10) ST		

Account Detail

Fiduciary Services Basic Securities Account
272-110928-053

CARROLL J HAAS FOUNDATION
LORD ABBOTT

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	Total	67 000		2,325 85	**2,010 67**	(276 20) LT (38,98) ST	34 10	1 69
Share Price $30 010, Next Dividend Payable 06/2013								
SUNTRUST BKS (STI)	7/1/09	18 000	16 220	291 95	518 58	226 63 LT		
	9/24/09	55 000	22 786	1,253 22	1,584 55	331,33 LT		
	10/19/09	19 000	21 036	399 69	547 39	147 70 LT		
	11/16/09	23,000	20 850	479 56	662 63	183 07 LT		
	12/18/09	16,000	20 136	322 18	460,96	138 78 LT		
	2/3/10	23 000	23 012	529 28	662 63	133 35 LT		
	10/20/10	13 000	25 116	326 51	374 53	48 02 LT		
	Total	167 000		3,602 39	**4,811 27**	1,208 88 LT	33 40	0 69
Share Price $28 810, Next Dividend Payable 06/2013								
TARGET CORPORATION (TGT)	12/26/08	17 000	32 445	551 56	1,163 65	612 09 LT		
	3/16/09	2 000	29 905	59 81	136 90	77 09 LT		
	6/6/12	4 000	57 288	229 15	273 80	44,65 ST		
	6/7/12	6 000	57 758	346 55	410 70	64 15 ST		
	2/12/13	6 000	62 678	376 07	410 70	34 63 ST		
	2/15/13	5 000	62 420	312 10	342 25	30 15 ST		
	Total	40 000		1,875 24	**2,738 00**	689 18 LT 173 58 ST	57 60	2 10
Share Price, $68 450, Next Dividend Payable 06/2013								
TEXAS INSTRUMENTS (TXN)	12/9/10	89 000	33 839	3,011 64	**3,157 72**	146 08 LT	99 68	3 15
Share Price $35 480 Next Dividend Payable 05/2013								
THE MOSAIC CO (HLDG CO) NEW (MOS)	12/8/09	2 000	59 372	118 74	119 22	0 48 LT		
	1/13/10	13 000	61 299	796 89	774 93	(21 96) LT		
	3/25/13	3 000	59 410	178 23	178 83	0 60 ST		
	Total	18 000		1,093 86	**1,072.98**	(21 48) LT 0 60 ST	18 00	1 67
Share Price $59 610, Next Dividend Payable 05/2013								
TIME WARNER CABLE INC NEW (TWC)	1/3/11	16 000	67 607	1,081 71	**1,536.96**	455 25 LT	41 60	2.70
Share Price $96 060, Next Dividend Payable 06/2013								
TRAVELERS COMPANIES INC COM (TRV)	10/25/11	8 000	56 597	452 78	673 52	220 74 LT		
	11/4/11	7 000	58 109	406 76	589 33	182 57 LT		
	11/25/11	4 000	53 543	214 17	336 76	122 59 LT		
	11/29/11	5 000	54 168	270 84	420 95	150 11 LT		
	12/16/11	4 000	57 325	229 30	336 76	107 46 LT		

Account Detail

Fiduciary Services Basic Securities Account
272-110928-053

CARROLL J HAAS FOUNDATION
LORD ABBOTT

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	12 19/11	5 000	57 482	287 41	420 95	133 54 LT		
	1/4/13	8 000	73 694	589 55	673 52	83 97 ST		
	2/8 13	3 000	78 880	236 64	252 57	15 93 ST		
	3/1/13	3 000	80 453	241 36	252 57	11 21 ST		
	Total	47 000		2 928.81	**3,956.93**	917 01 LT 111 11 ST	86 48	2 18
Share Price $84 190, Next Dividend Payable 06/2013								
UNITED STS STL CP (NEW) (X)	1/27/10	13 000	45 527	591.85	253 50	(338 35) LT		
	7/1/10	13 000	37 987	493 83	253 50	(240 33) LT		
	9/21/10	18 000	45 224	814 04	351 00	(463 04) LT		
	Total	44 000		1 899 72	**858.00**	(1 041 72) LT	8 80	1 02
Share Price $19 500, Next Dividend Payable 06/2013								
UNITED TECHNOLOGIES CORP (UTX)	2 2/11	21 000	82 386	1 730 11	1 962 03	231 92 LT		
	2/9/11	19 000	83 841	1 592 97	1 775 17	182 20 LT		
	Total	40 000		3 323 08	**3,737.20**	414 12 LT	85 60	2 29
Share Price $93 430, Next Dividend Payable 06/2013								
UNITEDHEALTH GP INC (UNH)	11/9/09	2 000	28 774	57 55	114 42	56 87 LT		
	12/31/09	42 000	30 811	1,294 08	2,402 82	1,108 74 LT		
	10/4/10	18 000	35 031	630 55	1,029 78	399 23 LT		
	Total	62 000		1 982 18	**3,547.02**	1 564 84 LT	52 70	1 48
Share Price $57 210, Next Dividend Payable 06/2013								
VALERO ENERGY CP DELA NEW (VLO)	3/31/10	18 000	19 716	354 88	818 82	463 94 LT		
	5 6/10	10 000	19 776	197 76	454 90	257 14 LT		
	5 27/10	20 000	17 960	359 20	909 80	550 60 LT		
	Total	48 000		911 84	**2,183.52**	1 271 68 LT	38 40	1 75
Share Price $45 490 Next Dividend Payable 06/2013								
VERIZON COMMUNICATIONS (VZ)	6/19/09	27 000	27.869	752 45	1,327 05	574 60 LT		
	7/30/10	19 000	29 064	552 22	933 85	381 63 LT		
	12/14/11	23 000	38 178	878 10	1,130 45	252 35 LT		
	3/20/12	7 000	39 670	277 69	344 05	66 36 LT		
	6/6/12	6 000	41 600	249 60	294 90	45 30 ST		
	Total	82 000		2 710 06	**4,030 30**	1,274 94 LT 45 30 ST	168 92	4 19
Share Price $49 150, Next Dividend Payable 05/2013								

Morgan Stanley

Account Detail

Fiduciary Services Basic Securities Account
272-110928-053

CARROLL J HAAS FOUNDATION
LORD ABBOTT

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
WALT DISNEY CO HLDG CO (DIS)	2/14/11	20 000	43 228	864.56	1,136 00	271 44 LT		
	2/24/11	20 000	41 839	836 78	1,136 00	299 22 LT		
	5/11/11	27 000	41 845	1,129 81	1,533 60	403 79 LT		
	11/9/12	6 000	47 197	283.18	340 80	57 62 ST		
	Total	73 000		3,114 33	**4,146 40**	974 45 LT 57 62 ST	54 75	1 32
Share Price $56 800 Next Dividend Payable 12/2013								
WELLS FARGO & CO NEW (WFC)	6/26/08	117 000	24 381	2,852.58	4,327 83	1 475 25 LT		
	11/13/08	30 000	26 920	807 59	1,109 70	302 11 LT		
	11/14/08	5 000	27 688	138 44	184 95	46 51 LT		
	11/17/08	21 000	27 582	579 23	776 79	197 56 LT		
	12/31/08	11 000	28 694	315 63	406 89	91 26 LT		
	5/11/09	28 000	28 065	785 81	1,035 72	249 91 LT		
	12/31/09	56 000	26 939	1 508 59	2 071 44	562 85 LT		
	Total	268 000		6 987 87	**9,913 32**	2 925 45 LT	268 00	2 70
Share Price $36 990 Next Dividend Payable 06/2013								
ZIONS BANCORP (ZION)	9/9/09	11 000	16 270	178 97	274 89	95 92 LT		
	9/25 09	5 000	17 672	88 36	124 95	36 59 LT		
	9/28/09	5 000	17 696	88 48	124 95	36 47 LT		
	9/30/09	3 000	17 870	53 61	74 97	21 36 LT		
	10/14/09	17 000	18 415	313 06	424 83	111 77 LT		
	11/12/09	16 000	13 173	210 76	399 84	189 08 LT		
	Total	57 000		933 24	**1,424 43**	491 19 LT	2 26	0 16
Share Price $24 990 Next Dividend Payable 05/2013								

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
STOCKS	95.9%	$223,011.36	$285,072 33	$55,677 60 LT $6,383 16 ST	$6,961.11 $0.00	2.44%

Account Detail

Fiduciary Services Basic Securities Account
272-110929-053

CARROLL J HAAS FOUNDATION
KAYNE ANDERSON

STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ANSYS INC (ANSS)	10/16/08	118 000	$27 839	$3,284 96	**$9,607.56**	$6,322 60 LT	—	—
Share Price $81 420								
CHURCH & DWIGHT CO INC (CHD)	3/2/06	84 000	17 474	1,467 85	5,428 92	3,961 07 LT		
	9/3/09	66 000	28 244	1,864 08	4,265 58	2 401 50 LT		
	Total	150 000		3,331 93	**9,694 50**	6,362 57 LT	168 00	1 73
Share Price $64 630, Next Dividend Payable 06/2013								
CLARCOR INC (CLC)	7/2/08	128 000	34 879	4,464 48	**6,704.64**	2,240 16 LT	69 12	1 03
Share Price $52 380, Next Dividend Payable 04/2013								
COHEN & STEERS INC (CNS)	8/8/12	142 000	33 431	4,747 23	5 121 94	374 71 ST R		
	12/31/12	68 000	30 448	2,070 43	2,452 76	382 33 ST		
	Total	210 000		6,817 66	**7,574 70**	757 04 ST	168.00	2 21
Share Price $36 070, Next Dividend Payable 04/12/13								
COPART INC (CPRT)	7/16/02	71 000	7 105	504 42	2,433 17	1,928 75 LT		
	11/20/08	140 000	12 933	1,810 56	4,797 80	2,987 24 LT		
	Total	211.000		2,314 98	**7,230 97**	4,915 99 LT	—	—
Share Price $34 270								
CORE LABORATORIES N V (CLB)	7/26/12	36 000	110 435	3,975 66	**4,965 12**	989 46 ST	46 08	0.92
Share Price $137 920, Next Dividend Payable 05/2013								
DONALDSON CO INC (DCI)	6/28/07	153 000	18 007	2,755 10	**5,537 07**	2,781.97 LT	61 20	1 10
Share Price $36 190, Next Dividend Payable 06/2013								
DRESSER-RAND GROUP INC (DRC)	11/4/09	152 000	30 257	4,599 12	**9,372 32**	4,773 20 LT	—	—
Share Price $61 660								
EATON VANCE CP (EV)	7/16/02	121 000	13 765	1,665 56	5,061 43	3 395 87 LT		
	12/21/11	115 000	23 098	2,656 22	4,810 45	2 154 23 LT		
	Total	236 000		4,321 78	**9,871 88**	5 550 10 LT	188 80	1 91
Share Price $41 830								
EQUIFAX INC (EFX)	3/25/13	83 000	56 236	4,667 56	**4,779.97**	112 41 ST	73 04	1.52
Share Price $57 590, Next Dividend Payable 06/2013								
EXPEDITORS INTL WASH INC (EXPD)	8/10/12	39 000	36.440	1,421 15	1,393 47	(27 68) ST		
	8/13/12	127 000	36.713	4,662 56	4,537 71	(124 85) ST		
	3/25/13	91 000	35 838	3,261 27	3,251 43	(9 84) ST		
	Total	257 000		9,344 98	**9,182.61**	(162.37) ST	143 92	1 56
Share Price $35 730, Next Dividend Payable 06/2013								
FACTSET RESEARCH SYSTEMS INC (FDS)	12/9/08	32 000	39 033	1,249 06	2,963 20	1,714 14 LT		
	1/20/12	42 000	92 132	3,869.56	3,889.20	19 64 LT		
	12/28/12	47 000	88 090	4,140 24	4,352 20	211 96 ST		

Account Detail

Fiduciary Services Basic Securities Account
272-110929-053

CARROLL J HAAS FOUNDATION
KAYNE ANDERSON

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	Total	121 000		9,258.86	**11,204 60**	1,733 78 LT 211 96 ST	150 04	1 33
Share Price $92 600, Next Dividend Payable 06/2013								
HEICO CORP CLASS A (HEI'A)	10/7/08	122 000	13 987	1,706 41	**4,185.82**	2,479 41 LT	14.64	0 34
Share Price $34 310, Next Dividend Payable 06/2013								
HILLENBRAND INC (HI)	1/24/12	65 000	23 220	1,509 30	1,643 20	133 90 LT		
	3/2/12	169 000	22 930	3,875 10	4,272 32	397 22 LT		
	Total	234 000		5,384 40	**5,915.52**	531 12 LT	182 52	3 08
Share Price $25 280, Next Dividend Payable 06/2013								
JACK HENRY & ASSOC INC (JKHY)	7/16/02	108 000	13 940	1,505 52	4,990 68	3 485 16 LT		
	8/18/05	27 000	18 862	509 28	1,247.67	738.39 LT		
	10/20/05	111 000	17.149	1,903.58	5,129 31	3,225 73 LT		
	Total	246 000		3,918 38	**11,367 66**	7,449 28 LT	127 92	1 12
Share Price $46 210, Next Dividend Payable 06/2013								
LANDSTAR SYSTEMS INC (LSTR)	3/11/09	12 000	29 665	355 98	685 08	329 10 LT		
	11/5/10	71 000	39.110	2,776 84	4,053.39	1,276 55 LT		
	Total	83 000		3,132 82	**4,738.47**	1,605 65 LT	20 75	0 43
Share Price $57 090								
MICROS SYST (MCRS)	1/17/13	148 000	44 524	6,589 61	**6,735.48**	145 87 ST	—	—
Share Price $45 510								
MSC INDL DIRECT CO CLASS A (MSM)	4/15/11	48 000	70 247	3,371 84	4,117 44	745 60 LT		
	8/11/11	73 000	55.574	4,056 88	6,261 94	2,205 06 LT		
	Total	121 000		7,428 72	**10,379.38**	2,950 66 LT	145.20	1 39
Share Price $85 780								
MSCI INC COM (MSCI)	10/4/12	121 000	27 130	3,282 78	4,105 53	822 75 ST		
	10/8/12	34 000	26 672	906 84	1,153 62	246 78 ST		
	Total	155 000		4,189 62	**5,259.15**	1,069 53 ST	—	—
Share Price $33 930								
NATL INSTRUMS CP (NATI)	5/12/09	45 142	14 322	646 52	1,478 40	831 88 LT		
	8/19/10	110 858	19 734	2,187 68	3,630 60	1,442 92 LT		
	Total	156 000		2,834 20	**5,109 00**	2,274 80 LT	87 36	1 70
Share Price $32 750, Next Dividend Payable 06/2013								
NORDSON CP (NDSN)	5/30/12	77 000	53 517	4,120.80	**5,078 15**	957 35 ST	46 20	0 90
Share Price $65 950 Next Dividend Payable 06/2013								
PRICESMART INC (PSMT)	6/21/11	34 000	47 547	1,616 60	**2,646.22**	1,029 62 LT	20.40	0 77
Share Price $77 830, Next Dividend Payable 06/2013								

Account Detail

Fiduciary Services Basic Securities Account
272-110929-053

CARROLL J HAAS FOUNDATION
KAYNE ANDERSON

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
RBC BEARINGS INC (ROLL)	9/23/09	102 000	23 964	2,444 33	**5,157.12**	2,712 79 LT	—	—
Share Price $50 560								
ROSS STORES INC (ROST)	6/9/10	89 000	27 985	2,490 66	5,395 18	2,904 52 LT		
	3/25/13	70 000	59 125	4,138 74	4,243 40	104 66 ST		
	Total	159 000		6 629 40	**9,638 58**	2,904 52 LT 104 66 ST	108 12	1 12
Share Price $60 620, Next Dividend Payable 06/2013								
SILGAN HLDGS INC (SLGN)	2/16/11	107 000	37 804	4,045 08	**5,057.89**	1,012 81 LT	59 92	1 18
Share Price $47 270, Next Dividend Payable 06/2013								
SIRONA DENTAL SYSTEMS INC (SIRO)	9/15/10	90,000	36,294	3,266 44	6,635 70	3,369 26 LT		
	12/21/11	54 000	43 351	2,340 95	3,981 42	1,640 47 LT		
	Total	144 000		5,607 39	**10,617.12**	5,009 73 LT	—	—
Share Price $73 730								
TECHNE CP (TECH)	11/10/08	44 000	69 361	3,051 88	2,985.40	(66.48) LT		
	3/6/09	35 000	46 889	1,641 12	2,374 75	733 63 LT		
	2/8/13	37 000	69 030	2,554 11	2,510 45	(43 66) ST		
	Total	116 000		7,247 11	**7,870.60**	667 15 LT (43 66) ST	139 20	1 76
Share Price $67 850, Next Dividend Payable 06/2013								
WILEY JOHN & SON CL A (JW'A)	12/27/07	67 000	42 229	2,829 36	2,610 32	(219.04) LT		
	1/23/08	96 000	36 483	3,502 37	3,740 16	237 79 LT		
	4/23/10	60 000	43 080	2,584 82	2,337 60	(247 22) LT		
	Total	223 000		8,916 55	**8,688.08**	(228 47) LT	214 08	2 46
Share Price $38 960, Next Dividend Payable 04/12/13								
WOLVERINE WORLD WIDE (WWW)	11/11/11	135 000	37 099	5,008 34	5,989 95	981 61 LT		
	4/19/12	49 000	37 117	1,818 73	2,174 13	355 40 ST		
	Total	184 000		6,827.07	**8,164.08**	981 61 LT 355 40 ST	88 32	1 08
Share Price $44 370, Next Dividend Payable 05/01/13								
WORLD FUEL SERVICES CORP (INT)	3/7/07	153 000	21 266	3,253 64	**6,077 16**	2,823 52 LT	22 95	0 37
Share Price $39 720, Next Dividend Payable 04/05/13								

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / *Accrued Interest*	Yield %
STOCKS	**92.1%**	**$145,029 20**	**$218,411.42**	**$68,884.57 LT** **$4,497.65 ST**	**$2,345.78** **$0.00**	**1.07%**

Account Detail

Fiduciary Services Basic Securities Account 272-110930-053

CARROLL J HAAS FOUNDATION
CONGRESS - LARGE GROWTH EQUITY

STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ACCENTURE PLC IRELAND CL A (ACN)	7/2/09	90 000	$33 460	$3 011 39	**$6,837.30**	$3,825 91 LT	$145 80	2 13
Share Price $75 970, Next Dividend Payable 05/2013								
ADOBE SYSTEMS (ADBE)	12/28/12	103 000	37 224	3,834 08	**4,482.04**	647 96 ST	—	—
Share Price $43 515								
ALLERGAN INC (AGN)	10/4/12	55 000	93 738	5 155.61	**6,139.65**	984 04 ST	11.00	0 17
Share Price $111 630, Next Dividend Payable 06/2013								
AMERICAN TOWER REIT COM (AMT)	10/4/11	72 000	51 585	3,714 12	**5,538.24**	1,824 12 LT	74 88	1 35
Share Price $76 920								
APPLE INC (AAPL)	3/25/09	7 000	106 696	746 87	3,098 62	2,351 75 LT		
	5/20/09	2.000	126 770	253 54	885 32	631 78 LT		
	Total	9 000		1,000 41	**3,983.94**	2,983 53 LT	95 40	2 39
Share Price $442 660, Next Dividend Payable 05/2013								
BB & T CORP (BBT)	2/7/12	167 000	29 531	4,931 73	**5,242.13**	310 40 LT	153 64	2 93
Share Price $31 390 Next Dividend Payable 06/2013								
BED BATH & BEYOND INC (BBBY)	8/16/12	75 000	65 546	4,915 94	**4,831.50**	(84 44) ST	—	—
Share Price $64 420								
CANADIAN NATL RAILWAY CO (CNI)	7/2/09	59 000	42 036	2,480 13	**5,917.70**	3,437 57 LT	102 31	1 72
Share Price $100 300, Next Dividend Payable 06/2013								
CHARLES SCHWAB NEW (SCHW)	3/6/13	325 000	17 534	5,698 45	**5,749.25**	50 80 ST	78.00	1 35
Share Price $17 690, Next Dividend Payable 05/2013								
CITRIX SYSTEMS INC (CTXS)	11/5/12	54 000	62 485	3,374 19	**3,896.10**	521 91 ST	—	—
Share Price $72 150								
COCA COLA CO (KO)	9/7/10	130 000	28 859	3,751 72	**5,257 20**	1,505 48 LT	145 60	2 76
Share Price $40 440, Next Dividend Payable 04/01/13								
COLGATE PALMOLIVE CO (CL)	10/8/08	13 000	69 529	903 87	1,534 39	630 52 LT		
	2/4/09	14 000	65 886	922 40	1,652 42	730.02 LT		
	7/2/09	21 000	72 064	1,513 34	2,478 63	965 29 LT		
	Total	48 000		3,339 61	**5,665.44**	2,325 83 LT	130 56	2 30
Share Price $118 030, Next Dividend Payable 05/2013								
COMCAST CORP (NEW) CLASS A (CMCSA)	10/24/12	140 000	36 707	5,138 91	**5,877 20**	738 29 ST	109 20	1 85
Share Price, $41 980, Next Dividend Payable 04/2013								
CUMMINS INC (CMI)	11/5/12	40 000	100 499	4,019 97	**4,632.40**	612 43 ST	80 00	1 72
Share Price $115 810, Next Dividend Payable 06/2013								
DOLLAR TREE INC (DLTR)	12/5/11	92 000	41 869	3,851 95	**4,455 56**	603 61 LT	—	—
Share Price $48 430								

Account Detail

Fiduciary Services Basic Securities Account
272-110930-053

CARROLL J HAAS FOUNDATION
CONGRESS - LARGE GROWTH EQUITY

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
EBAY INC (EBAY)	8/16/12	115 000	45 810	5,268 20	**6,235.30**	967 10 ST	—	—
Share Price $54 220								
EDWARD LIFESCIENCES CORP (EW)	3/19/13	65 000	79.775	5,185 38	**5,340.40**	155 02 ST	—	—
Share Price $82 160								
EMC CORP MASS (EMC)	10/6/09	202 000	17 510	3,537 00	**4,825.78**	1,288 78 LT	—	—
Share Price $23 890								
ESTEE LAUDER CO INC CL A (EL)	9/20/12	58 000	61 416	3,562 12	3,713 74	151 62 ST		
	9/21/12	15.000	62 015	930 22	960 45	30 23 ST		
	Total	73 000		4,492 34	**4,674.19**	181 85 ST	52 56	1 12
Share Price $64 030, Next Dividend Payable 06/2013								
EXXON MOBIL CORP (XOM)	7/8/10	60 000	58 569	3,514 13	**5,406.60**	1,892 47 LT	136 80	2 53
Share Price $90 110, Next Dividend Payable 06/2013								
FASTENAL CO (FAST)	1/31/13	105 000	49 643	5,212 53	**5,389.65**	177 12 ST	42.00	0 77
Share Price $51 330, Next Dividend Payable 06/2013								
GOOGLE INC-CL A (GOOG)	2/2/11	9 000	613 241	5,519 17	**7,147.69**	1,628 52 LT	—	—
Share Price $794 188								
INTL BUSINESS MACHINES CORP (IBM)	7/2/09	26 000	102 463	2,664 03	**5,545.80**	2,881 77 LT	88 40	1 59
Share Price $213 300, Next Dividend Payable 06/2013								
INTUITIVE SURGICAL INC (ISRG)	8/30/10	8.000	269 367	2,154 94	**3,929.52**	1,774 58 LT	—	—
Share Price $491 190								
JOHNSON & JOHNSON (JNJ)	6/29/12	70 000	67 547	4,728 31	**5,707.10**	978 79 ST	170 80	2 99
Share Price $81 530, Next Dividend Payable 06/2013								
KRAFT FOODS GROUP INC COM (KRFT)	7/2/09	41 000	27 483	1,126 80	**2,112.73**	985 93 LT	82 00	3.88
Share Price $51 530 Next Dividend Payable 04/12/13								
MICROSOFT CORP (MSFT)	11/2/12	170 000	29 675	5,044 67	**4,862.85**	(181 82) ST	156 40	3 21
Share Price $28 605, Next Dividend Payable 06/2013								
MONDELEZ INTL INC COM (MDLZ)	7/2/09	125 000	16 947	2,118 34	**3,826.87**	1,708 53 LT	65 00	1 69
Share Price $30 615, Next Dividend Payable 04/15/13								
MONSANTO CO/NEW (MON)	5/25/12	60 000	73 522	4,411 33	**6,337.80**	1,926 47 ST	90 00	1 42
Share Price $105 630, Next Dividend Payable 04/2013								
NATIONAL OILWELL VARCO INC (NOV)	1/7/11	74 000	65 305	4,832 59	**5,235.50**	402 91 LT	38 48	0 73
Share Price $70 750, Next Dividend Payable 06/2013								
PERRIGO CO (PRGO)	12/19/12	50 000	103 220	5,160 98	**5,937.00**	776 02 ST	18 00	0 30
Share Price $118 740, Next Dividend Payable 06/2013								

Account Detail

Fiduciary Services Basic Securities Account
272-110930-053

CARROLL J HAAS FOUNDATION
CONGRESS - LARGE GROWTH EQUITY

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
PRAXAIR INC (PX)	3/7/08	14 000	79 178	1,108 49	1,561 56	453.07 LT		
	4/24/08	7 000	91 683	641 78	780 78	139 00 LT		
	6/12/08	6 000	95 967	575 80	669 24	93 44 LT		
	7/2/09	17 000	70 114	1,191 94	1,896 18	704 24 LT		
	Total	44 000		3,518 01	**4,907.76**	1 389 75 LT	105 60	2.15
Share Price $111 540, Next Dividend Payable 06/2013								
PRECISION CASTPARTS CORP (PCP)	9/20/12	27 000	160.187	4,325 05	**5,119 74**	794 69 ST	3 24	0 06
Share Price $189 620, Next Dividend Payable 04/01/13								
QUALCOMM INC (QCOM)	7/18/08	30 000	45 254	1,357 63	2,008 20	650 57 LT		
	3/12/09	16 000	36 468	583 49	1,071 04	487 55 LT		
	4/22/09	38 000	40 590	1,542 43	2,543.72	1,001 29 LT		
	Total	84 000		3,483.55	**5,622 96**	2,139 41 LT	84 00	1.49
Share Price $66 940, Next Dividend Payable 06/2013								
ROPER IND INC (ROP)	3/20/12	50 000	99 562	4,978 10	**6,365.50**	1,387 40 LT	33 00	0 51
Share Price $127 310								
SCHLUMBERGER LTD (SLB)	5/11/09	34 000	55 421	1 884 32	2,546.26	661.94 LT		
	7/2/09	41 000	52 029	2,133 19	3,070 49	937 30 LT		
	Total	75 000		4,017 51	**5,616.75**	1,599 24 LT	93 75	1 66
Share Price $74 890, Next Dividend Payable 04/12/13								
TJX COS INC NEW (TJX)	5/21/10	119 000	21 933	2,610 06	**5,563.25**	2,953 19 LT	54 74	0 98
Share Price $46 750, Next Dividend Payable 06/2013								
TRAVELERS COMPANIES INC COM (TRV)	2/7/12	78 000	59 845	4,667 91	**6,566.82**	1,898 91 LT	143 52	2 18
Share Price $84 190, Next Dividend Payable 06/2013								
UNITED PARCEL SERVICE INC CL-B (UPS)	8/3/10	64 000	66 457	4,253 25	**5,497.60**	1,244 35 LT	158 72	2 88
Share Price $85 900, Next Dividend Payable 06/2013								
UNITED TECHNOLOGIES CORP (UTX)	6/2/06	24 000	63 019	1,512 46	2,242 32	729 86 LT		
	1/4/07	25 000	62 845	1,571.12	2,335 75	764 63 LT		
	7/2/09	18 000	50 531	909.55	1,681 74	772 19 LT		
	Total	67 000		3,993.13	**6,259 81**	2,266.68 LT	143 38	2 29
Share Price $93 430, Next Dividend Payable 06/2013								
V F CORPORATION (VFC)	2/24/12	36 000	145 496	5,237 86	**6,039 00**	801 14 LT	125 28	2 07
Share Price $167 750, Next Dividend Payable 06/2013								

Account Detail

Fiduciary Services Basic Securities Account
272-110930-053

CARROLL J HAAS FOUNDATION
CONGRESS - LARGE GROWTH EQUITY

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
STOCKS	98.3%	$164,273 38	$218,579.62	$45,060.01 LT $9,246 23 ST	$3,012.06 $0.00	1.38%

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
TOTAL MARKET VALUE	100.0%	$164,273 38	$222,396.17	$45,060.01 LT $9,246.23 ST	$3,012.44 $0.00	1.35%

TOTAL VALUE (includes accrued interest) $222,396.17

Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available Cash, MMI , Deposits and positions stating 'Please Provide' are not included

ACTIVITY

INVESTMENT RELATED ACTIVITY

PURCHASES, DIVIDEND REINVESTMENTS, SALES AND REDEMPTIONS

Trade Date	Settlement Date	Activity Type	Description	Comments	Quantity	Price	Credits/(Debits)
3/5	3/8	Sold	CAPITAL ONE FINANCIAL CORP	ACTED AS AGENT	91 000	$54 0373	$4,917 27
3/6	3/11	Bought	CHARLES SCHWAB NEW	ACTED AS AGENT	325 000	17 5337	(5,698 45)
3/19	3/22	Sold	AGILENT TECHNOLOGIES	ACTED AS AGENT	125.000	42 0095	5,251 07
3/19	3/22	Bought	EDWARD LIFESCIENCES CORP	ACTED AS AGENT	65 000	79 7750	(5,185 38)
TOTAL PURCHASES, DIVIDEND REINVESTMENTS, SALES AND REDEMPTIONS							**$(715.49)**
TOTAL PURCHASES							$(10,883 83)
TOTAL SALES AND REDEMPTIONS							$10,168 34

Purchase and Sale transactions above may have received an average price execution Details regarding the actual prices are available upon request

TAXABLE INCOME

Date	Activity Type	Description	Comments	Credits/(Debits)
3/1	Qualified Dividend	BB & T CORP		$38 41
3/1	Qualified Dividend	CUMMINS INC		20 00
3/7	Qualified Dividend	TJX COS INC NEW		13 69
3/9	Qualified Dividend	INTL BUSINESS MACHINES CORP		22 10
3/10	Qualified Dividend	UNITED TECHNOLOGIES CORP		35 85
3/11	Qualified Dividend	EXXON MOBIL CORP		34.20
3/12	Qualified Dividend	JOHNSON & JOHNSON		42 70
3/12	Qualified Dividend	UNITED PARCEL SERVICE INC CL-B		39 68
3/14	Qualified Dividend	MICROSOFT CORP		39 10
3/15	Qualified Dividend	PRAXAIR INC		26 40

Morgan Stanley

Account Detail

Active Assets Account
272-117556-053

CARROLL J HAAS FOUNDATION
PO BOX 248

STOCKS

COMMON STOCKS

Morgan Stanley & Co LLC (Morgan Stanley), Citi Research, and Standard & Poor's equity research ratings may be shown for certain securities All research ratings represent the "opinions" of the research provider and are not representations or guarantees of performance Because the applicable research report contains more complete information regarding the analyst's opinions, analysis and rating, you should read the entire research report and not infer its contents from the rating Where a particular company is covered by both Morgan Stanley and Citi Research, you can and should view both research reports Citi Research's equity research ratings are (1) Buy, (2) Neutral and (3) Sell For ease of comparison Morgan Stanley and Standard & Poor's equity research ratings have been normalized to a 1 (Buy), 2 (Hold), and 3 (Sell) Please refer to the quarter month-end statement (or your first statement, if you have not yet received a statement at the quarter-end) for a summary guide describing Morgan Stanley, Citi Research and Standard & Poor's ratings Morgan Stanley Smith Barney LLC does not take responsibility for, and does not guarantee the accuracy, completeness or timeliness of research prepared for Standard & Poor's

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
AMERICAN CAPITAL AGENCY (AGNC)	12/27/12	1,700 000	$29 101	$49,471.40	**$55,726.00**	$6 254 60 ST	$8,500 00	15 25
Share Price $32 780, Rating Morgan Stanley 2, Citigroup 2, Next Dividend Payable 04/26/13								
AMERICAN CAPITAL MORTGAGE (MTGE)	6/21/12	4,400 000	23 101	101,646 50	**113,696.00**	12,049 50 ST	15,840 00	13 93
Share Price $25 840, Rating Citigroup 2, Next Dividend Payable 04/26/13								
APOLLO RESIDENTIAL MTGE INC (AMTG)	9/26/12	5,300 000	22 261	117,984 50	**118,137.00**	152.50 ST	14,840 00	12 56
Share Price $22 290 Rating Morgan Stanley 2, Next Dividend Payable 04/30/13								
ARMOUR RESIDENTIAL REIT INC (ARR)	2/14/13	13,400 000	6.670	89,384 50	**87,502.00**	(1 882 50) ST	11,256.00	12 86
Share Price $6 530, Next Dividend Payable 04/2013								
ENTERPRISE PROD PRTNRS L.P. (EPD)	1/17/03	1,400 000	18 955	26,536 96	84,406 00	57,869 04 LT		
	4/29/04	2,400 000	21 000	50,400 00	144,696 00	94,296 00 LT		
	8/4/04	2,500 000	20 200	50,500 00	150,725 00	100,225 00 LT		
	Total	6,300 000		127,436 96	**379,827.00**	252,390 04 LT	16,632 00	4 37
Share Price $60 290, Rating Morgan Stanley 2 Citigroup 1, S&P 1, Next Dividend Payable 05/2013								
HALLIBURTON CO (HAL)	3/17/05	500 000	21 250	10,625 00	**20,205.00**	9,580 00 LT	250 00	1 23
Share Price $40 410, Rating Morgan Stanley 2, Citigroup 1 S&P 2 Next Dividend Payable 06/2013								
KINDER MORGAN ENERGY PTRS LP (KMP)	2/7/02	100.000	31 492	3,149 15	8,977 00	5,827 85 LT		
	7/24/02	1,200 000	29 999	35,999 00	107,724 00	71,725 00 LT		
	Total	1,300 000		39,148 15	**116,701.00**	77,552 85 LT	6,708 00	5 74
Share Price $89 770, Rating Morgan Stanley 2, S&P 1, Next Dividend Payable 05/2013								
KINDER MORGAN INCORP (KMI)	8/13/12	2,400 000	34 830	83,591 06	**92,832.00**	9,240 94 ST	3,552 00	3 82
Share Price $38 680, Rating Morgan Stanley 2, S&P 1, Next Dividend Payable 05/2013								
MORGAN STANLEY (MS)	3/13/07	300 000	62 900	18,869 91	**6,594.00**	(12,275.91) LT	60 00	0 90
Share Price $21 980, Rating Citigroup 2, S&P 1, Next Dividend Payable 05/2013								
ONEOK INC NEW (OKE)	3/6/13	675 000	45 185	30,499 56	**32,177.25**	1,677 69 ST	972 00	3.02
Share Price $47 670, Rating Morgan Stanley 1, Citigroup 2, S&P 1, Next Dividend Payable 05/2013								
PLAINS ALL AMERICAN PIPLIN L P (PAA)	10/25/01	1,540 000	13 200	20,328 00	86,979 20	66,651 20 LT		
	8/15/02	6,000 000	11 750	70,500 00	338,880 00	268,380 00 LT		
	3/24/04	770 000	18 507	14,250 00	43,489 60	29,239 60 LT		
	9/8/05	1 848 000	20 779	38,400 00	104,375 04	65,975 04 LT		

Account Detail

Active Assets Account 272-117556-053

CARROLL J HAAS FOUNDATION
PO BOX 248

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	Total	10,158 000		143,478 00	**573,723 84**	430,245 84 LT	22,855 50	3 98
Share Price $56 480, Rating Morgan Stanley 1, Citigroup 1, S&P 1, Next Dividend Payable 05/2013								
SEASPAN CORP (SSW)	8/8/05	3,475.000	15 486	53,813 68	69,673 75	15,860.07 LT R		
	5/9/07	300 000	24 728	7,418 54	6,015 00	(1,403 54) LT R		
	Total	3,775 000		61,232 22	**75,688.75**	14,456 53 LT	3,775.00	4 98
Share Price $20 050, Next Dividend Payable 05/2013								
SPECTRA ENERGY CORP COM (SE)	3/6/13	1,100 000	29 216	32,137 94	**33,825.00**	1,687.06 ST	1,342 00	3 96
Share Price $30 750, Rating Morgan Stanley 2, Citigroup 2, S&P 1, Next Dividend Payable 06/2013								
TARGA RESOURCES CP (TRGP)	3/6/13	750 000	61 339	46,003 93	**50,970.00**	4 966 07 ST	1,372 50	2 69
Share Price $67 960, Rating Morgan Stanley 1, Citigroup 1H, Next Dividend Payable 05/2013								
TOYOTA MOTOR CP ADR NEW (TM)	8/9/02	400 000	52 050	20,820 00	**41,056 00**	20,236 00 LT	550 00	1 33
Share Price $102 640, Rating S&P 2								
WILLIAMS CO INC (WMB)	8/13/12	2,700 000	31 807	85,878 11	**101,142.00**	15,263 89 ST	3,658 50	3 61
Share Price $37 460, Rating Morgan Stanley 1, Citigroup 1 S&P 1, Next Dividend Payable 06/2013								
COMMON STOCKS				**$1,058,207.74**	**$1,899,802.84**	**$792,185.35 LT**	**$112,163 50**	**5.90%**
						$49,409 75 ST	**$0.00**	

PREFERRED STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
HEALTH CARE REIT 6.5000% SER J (HCN.J)	2/29/12	1,600 000	$25,000	$40,000 00	**$42,864.00**	$2,864 00 LT	$2,600 00	6 06
Share Price $26 790, Moody BAA3 S&P BB, Next Dividend Payable 04/15/13								

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / *Accrued Interest*	Yield %
STOCKS	79 4%	**$1,098,207.74**	**$1,942,666.84**	**$795,049 35 LT**	**$114,763.50**	**5.91%**
				$49,409.75 ST	**$0.00**	

EXCHANGE-TRADED & CLOSED-END FUNDS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
BLACKSTONE/GSO STRAT CREDIT FD (BGB)	9/25/12	2,000.000	$19 912	$39,824 52	**$40,160.00**	$335 48 ST R	$2,808 00	6 99
Share Price $20 080, Next Dividend Payable 04/2013								
WESTERN ASST GLB CP DEF OPP FD (GDO)	11/23/09	3,000 000	20 000	60,000 00	**59,940.00**	(60 00) LT	4,320 00	7 20
Share Price $19 980, Next Dividend Payable 04/2013								

Account Detail

Active Assets Account 272-117556-053

CARROLL J HAAS FOUNDATION
PO BOX 248

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
EXCHANGE-TRADED & CLOSED-END FUNDS	4 1%	$99,824 52	$100,100.00	$(60.00) LT 335 48 ST	$7,128 00 $0.00	7 12%

CORPORATE FIXED INCOME

CORPORATE BONDS

Security Description	Trade Date	Face Value	Orig. Unit Cost / Adj Unit Cost	Orig. Total Cost / Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
GENERAL ELEC 6.25% FIX TO 12/15/2022 AND FLTS THEREAFTER CUSIP 369622SP1	8/13/12	100,000 000	$104 733 $104 711	$104,733 00 $104,710 50	**$109,753.00**	$5,042.50 ST	$6,250 00 $1,840 27	5 69
Unit Price $109 753, Coupon Rate 6 250% Perpetual Maturity, Int Semi-Annually Jun/Dec 15, Callable $100 00 on 12/15/22, Yield to Maturity 5 634%, Floater, Moody BAA1 S&P AA-, Issued 07/27/12								
MS FIX TO FLOATING RATE NOTE BASED ON 3ML CUSIP 61745ET92	11/8/12	100,000 000	108 885 108 367	108,885 00 108,366 94	**106,500 00**	(1,866 94) ST	5,000 00 888 88	4 69
Unit Price $106 500, Coupon Rate 5 000%, Matures 10/27/2018, Interest Paid Quarterly Oct 27 Yield to Maturity 3 698%, Floater, Moody BAA1 S&P A-, Issued 10/27/11								

	Face Value / Percentage of Assets %	Orig. Total Cost / Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
CORPORATE FIXED INCOME	200,000 000	$213,618.00 $213,077.44	$216,253.00	$3,175.56 ST	$11,250.00 $2,729.15	5 20%
TOTAL CORPORATE FIXED INCOME (incl accr int)	8 9%		$218,982 15			

Account Detail

Active Assets Account
272-117556-053

CARROLL J HAAS FOUNDATION
PO BOX 248

ALTERNATIVE INVESTMENTS

Your interests in Alternative Investments may not be held at Morgan Stanley Smith Barney LLC, but may have been purchased through Morgan Stanley Smith Barney LLC, and are not covered by SIPC The information provided to you 1) is included solely as a service to you and certain transactions may not be reported, 2) is derived from you or another external source for which we are not responsible, and may have been modified to take into consideration capital calls or distributions to the extent applicable, 3) may not reflect actual shares, share prices or values, 4) may include invested or distributed amounts in addition to a fair value estimate, and 5) should not be relied upon for tax reporting purposes Alternative Investments are illiquid and may not be valued daily, therefore the estimated valuation provided will be as of the most recent date available for presentation and will be included in summaries of your assets Such valuation may not be the most recent valuation delivered by the fund in which you are invested No representation is made that the valuation is a market value or that the interest could be liquidated at this value We are not required to take any action with respect to your investment unless valid instructions are received from you in a timely manner Some positions reflected on your statement may not represent interests in the fund, but rather redemption proceeds withheld by the issuer pending final valuations which are not subject to the investment performance of the fund and may or may not accrue interest for the length of the withholding

For Managed Futures and Alternative Investments there are likely to be restrictions on redemptions please see applicable offering document

The Commitment/Aggregate investment reflected in the Hedge Funds category is equal to the total investment to date Total cost as reflected in the Hedge Funds category is equal to the Commitment/Aggregate Investment plus any placement fees reported Redemptions as reflected in the Hedge Funds category are equal to any past redemptions/sales that were reported to us Estimated value is the value reported to us as of the most recent date available Commitment in the Private Equity and Real Estate section is equal to the obligation of an investor to provide funding to the Partnership from time to time in accordance with the Limited Partnership Agreement The Contributions field reflected in the Private Equity and Real Estate categories is equal to the total investor funding to date in accordance with the Limited Partnership Agreement Distributions in the Hedge Funds, Private Equity and Real Estate categories consist of distributed prior income or return of capital from the fund The Total Return reflected in the Hedge Funds category is calculated based on Estimated Value plus Distributions and Redemptions less the Total Cost This is presented for informational purposes only and should not be used for tax reporting purposes and would not be included in any tax reporting that we provide

MANAGED FUTURES

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Estimated Value	Unrealized Gain/(Loss)		Valuation Date
MFF ORION A (EST.VAL)	1/1/06	21 721	$1,611 340	$35,000 00	$57,710 52	$22,710 52	F	3/27/13
Estimated NAV $2,656 90								

	Percentage of Assets %	Estimated Value
ALTERNATIVE INVESTMENTS	2.4%	$57,710.52

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / Accrued Interest	Yield %
TOTAL MARKET VALUE	100 0%	$1,446,109.70	$2,445,006 76	$817,699.87 LT $52,920.79 ST	$133,142.38 $2,729 15	5.44%

TOTAL VALUE (includes accrued interest) $2,447,735 91

F - You will receive either a Schedule K-1, 1099 or such other documentation from the fund each year for use in preparing your annual tax return
R - The cost basis for this tax lot was adjusted due to a reclassification of income
Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available Cash, MMF, Deposits and positions stating 'Please Provide' are not included