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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-0052

2012

Open to public inspection

For calendar year 2012 or tax year beginning

APR 1, 2012

, and ending

MAR 31, 2013

Name of foundation

HIMAN BROWN CHARITABLE TRUST

Number and street (or P.O. box number if mail is not delivered to street address)

PRYOR CASHMAN, 7 TIMES SQUARE

City or town, state, and ZIP code

NEW YORK, NY 10036

G Check all that apply:

☐

Initial return

☐

Initial return of a former public charity

☐

Final return

☐

Amended return

☐

Address change

☐

Name change

H Check type of organization:

☒

Section 501(c)(3) exempt private foundation

☐

Section 4947(a)(1) nonexempt charitable trust

☐

Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

\$ 100,616,849.

J Accounting method:

☒

Cash

☐

Accrual

☐

Other (specify)

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and
expenses per books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable purposes
(cash basis only)

1 Contributions, gifts, grants, etc., received

N/A

2 Check ☒ if the foundation is not required to attach Sch. B3 Interest on savings and temporary
cash investments

2,652.

2,652.

STATEMENT 2

4 Dividends and interest from securities

3,430,853.

3,257,159.

STATEMENT 3

5a Gross rents

b Net rental income or (loss)

1,612,009.

STATEMENT 1

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all
assets on line 6a 10,239,390.

7 Capital gain net income (from Part IV, line 2)

1,757,373.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns
and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

45,057.

9,197.

STATEMENT 4

12 Total. Add lines 1 through 11

5,090,571.

5,026,381.

13 Compensation of officers, directors, trustees, etc.

225,235.

56,309.

168,926.

14 Other employee salaries and wages

245,300.

0.

245,300.

15 Pension plans, employee benefits

5,248.

0.

5,248.

16a Legal fees

b Accounting fees

50,017.

25,009.

25,008.

c Other professional fees

427,293.

425,912.

1,381.

17 Interest

18 Taxes

93,533.

42,165.

15,368.

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

30,808.

0.

30,808.

22 Printing and publications

23 Other expenses

36,240.

11,285.

27,870.

24 Total operating and administrative

expenses. Add lines 13 through 23

1,113,674.

560,680.

519,909.

25 Contributions, gifts, grants paid

3,594,739.

3,594,739.

26 Total expenses and disbursements.

Add lines 24 and 25

4,708,413.

560,680.

4,114,648.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

382,158.

b Net investment income (if negative, enter -0-)

4,465,701.

c Adjusted net income (if negative, enter -0-)

N/A

223501
12-05-12

LHA For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	35,202.	82,547.	82,547.
	2 Savings and temporary cash investments	6,919,625.	7,209,569.	7,209,569.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	6,381.	322.	322.
	10a Investments - U.S. and state government obligations STMT 9	8,374,306.	6,002,039.	6,242,518.
	b Investments - corporate stock STMT 10	56,054,513.	58,546,065.	64,013,208.
	c Investments - corporate bonds STMT 11	22,839,598.	22,770,636.	23,068,685.
11 Investments - land, buildings, and equipment, basis ▶				
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment, basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers)	94,229,625.	94,611,178.	100,616,849.	
Liabilities	17 Accounts payable and accrued expenses	8,242.	1,610.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ PAYROLL TAX W/H)	0.	6,027.	
23 Total liabilities (add lines 17 through 22)	8,242.	7,637.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	94,221,383.	94,603,541.	
30 Total net assets or fund balances	94,221,383.	94,603,541.		
31 Total liabilities and net assets/fund balances	94,229,625.	94,611,178.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	94,221,383.
2 Enter amount from Part I, line 27a	2	382,158.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	94,603,541.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	94,603,541.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e 10,239,390.		9,333,628.	1,757,373.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e			1,757,373.	
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2 1,757,373.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		{		3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	3,161,419.	94,043,567.	.033617
2010	643,445.	89,005,945.	.007229
2009			
2008			
2007			
2 Total of line 1, column (d)			2 .040846
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .020423
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			4 95,196,070.
5 Multiply line 4 by line 3			5 1,944,189.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 44,657.
7 Add lines 5 and 6			7 1,988,846.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 4,114,648.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	44,657.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	44,657.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	44,657.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	58,089.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	25,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	83,089.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	38,432.	
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ☒ 38,432. Refunded ☒ 0.	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>RICHARD L KAY, ESQ</u> Telephone no. ► <u>212-421-4100</u> Located at ► <u>PRYOR CASHMAN, 7 TIMES SQUARE, NEW YORK, NY</u> ZIP+4 ► <u>10036</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870.

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD L KAY	TRUSTEE			
PRYOR CASHMAN, LLP, 7 TIMES SQUARE				
NEW YORK, NY 10036	20.00	225,235.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MATTHEW FORMAN				
90 ALTON ROAD, MIAMI, FL 33139	40.00	160,000.	0.	0.

Total number of other employees paid over \$50,000

0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
MORGAN STANLEY SMITH BARNEY LLC - 590 MADISON AVENUE, 11TH FL, NEW YORK, NY 10022	INVESTMENT ADVISORY	157,860.
SONTAG ADVISORY LLC - 261 MADISON AVENUE, 14TH FL, NEW YORK, NY 10016	INVESTMENT ADVISORY	95,497.
MERRILL LYNCH WEALTH MGMT 1251 AVE AMERICAS, NEW YORK, NY 10020	INVESTMENT ADVISORY	76,596.
HERTZ HERSON LLP 477 MADISON AVENUE, NEW YORK, NY 10022	ACCOUNTING SERVICES	50,017.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A**Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE	
	0.
2	
3	
4	

Part IX-B**Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1 NONE	
	0.
2	
All other program-related investments. See instructions.	
3 NONE	
	0.
	0.
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	90,964,921.
b Average of monthly cash balances	1b	5,680,514.
c Fair market value of all other assets	1c	321.
d Total (add lines 1a, b, and c)	1d	96,645,756.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	96,645,756.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,449,686.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	95,196,070.
6 Minimum investment return. Enter 5% of line 5	6	4,759,804.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	4,759,804.
2a Tax on investment income for 2012 from Part VI, line 5	2a	44,657.
b Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	44,657.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	4,715,147.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	4,715,147.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	4,715,147.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	4,114,648.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	4,114,648.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	44,657.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	4,069,991.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				4,715,147.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			2,140,448.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ \$ 4,114,648.				
a Applied to 2011, but not more than line 2a			2,140,448.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				1,974,200.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				2,740,947.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

N/A

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(1)(3) or ☐ 4942(1)(5)

- b 85% of line 2a**

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:

- (1) Value of all assets**

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

- b "Endowment" alternative test** - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:**

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)**

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)**

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income**

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

- b The form in which applications should be submitted and information and materials they should include:**

- c Any submission deadlines:**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:**

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SCHEDULE ATTACHED	N/A			3,594,739.
Total			3a	3,594,739.
b Approved for future payment				
NONE				
Total			3b	0.

Himan Brown Charitable Trust
Grants Paid 4/1/2012- 3/31/2013

12/16/13

<u>Date</u>	<u>Grantee</u>	<u>Amount</u>	<u>Purpose of Grant</u>
04/02/2012	Miami PAL 400 NW 2 nd Avenue Miami, FL 33128	\$ 25,000	educational
04/02/2012	Women of Tomorrow 22 East Flagler Street. 6 th floor Miami, FL 33131	25,000	educational
04/02/2012	Council for Educational Change 3265 Meridian Parkway, Suite 130 Weston, FL 33331	25,000	educational
04/03/2012	Aidan Montessori School 2700 27 th Street,NW Washington, DC 20008	25,000	educational
04/03/2012	American Cancer Society-Hope Lodge P O Box 897 Rt.422 & Sipe Avenue Hershey, PA 17033	25,000	medical
04/03/2012	Hillel at Syracuse University 102 Walnut Place Syracuse, NY 13210	25,000	educational
04/03/2012	Ronald McDonald House NY 405 East 73 rd Street New York, NY 10021	25,000	medical
04/03/2012	Menorah Park Foundation Hodes Way 4101 East Genesee Street Syracuse, NY 13214	25,000	aging
04/05/2012	Big Brothers & Big Sisters of NY 223 East 30 th Street New York, NY 10016	25,000	general
04/05/2012	Room to Grow 54 West 24 th Street #401 New York, NY 10010	25,000	educational
04/05/2012	All Stars Project 543 West 42 nd Street New York, NY 10036	25,000	educational

04/06/2012	Cornell University 130 E Seneca Street, Suite 400 Ithaca, NY 14850	500,000	educational
04/20/2012	PAL of North Dade 400 NW 2 nd Avenue Miami, FL 33131	5,000	educational
04/20/2012	BML- Blackbird Theatre Service (William Clinton Foundation) 77 Water Street New York, NY 10005	8,350	general
04/25/2012	The Miami Children's Initiative 5400 NW 22 nd Avenue, 4 th floor Miami, FL 33142	10,000	educational
04/25/2012	American Society for Yad Vashem 500 Fifth Avenue # 42 New York, NY 10110	5,000	general
04/27/2012	William J. Clinton Foundation 77 Water Street New York, NY 10005	50,000	general
05/02/2012	Myasthenia Gravis Foundation of America 355 Lexington Avenue, 15 th floor New York, NY 10017	10,000	medical
05/03/2012	Central Synagogue (expenses William Clinton Foundation)	4,377	general
06/04/2012	World Literacy Crusade (Girl Power) 6015 NW 7 th Avenue Miami, FL 33127	32,500	educational
06/04/2012	Belafonte TACOLCY Center 6161 N.W. 9 th Avenue Miami, FL 33127	76,000	educational
06/04/2012	Planned Parenthood of South Florida 2300 N. Florida Mango Road West Palm Beach, FL 33409	175,000	educational
06/07/2012	Wyman Center (TOP) 600 Kiwanis Drive Eureka, MO 63025	62,000	educational
06/11/2012	Mexican American Center c/o Foundation for New Educational Initiatives 1450 N.E. Second Avenue, Suite 931 Miami, Florida 33132	2,500	general

06/27/2012	New York Rugby Club c/o Karen Lampe 173 27 th Street, Apt #3 Brooklyn, NY 11232	5,000	general
07/03/2012	Univ. of Miami- Pediatric Mobile Unit 1120 NW 14 th Street (R-699) Miami, FL 33136	150,000	health services
07/11/2012	92 nd Street Y- H. Brown Senior Program 1395 Lexington Avenue New York, NY 10128	1,000,000	educational
07/11/2012	Repair the World 555 Eighth Avenue, Suite 1703 New York, NY 10018	50,000	general
07/16/2012	South Florida Youth Foundation (now Branches) 1708 West 25 th Street Miami Beach, FL 33140	5,000	educational
07/16/2012	Wyman Center 600 Kiwanis drive Eureka, MO 63025	3,028	general
07/20/2012	Dancing Dreams 23-91 Bell Boulevard, Suite 203 Bayside, NY 11360	5,000	educational
07/20/2012	New Yorkers for Children 450 Seventh Avenue, Suite 403 New York, NY 10123	50,000	educational
07/20/2012	Inwood House 114 West 26 th street New York, NY 10001	25,000	educational
07/20/2012	New York Presbyterian Office of Development 850 Third Avenue, 12 th floor New York, NY 10022	50,000	medical
08/02/2012	South Florida Urban Ministries 11500 NW 12 th Avenue Miami, FL 33168	25,000	educational
08/02/2012	Americans for Immigrant Justice 3000 Biscayne Blvd., Suite 400 Miami, FL 33137	25,000	educational
08/02/2012	En Familia, Inc. P.O. Box 924229 Homestead, FL 33032	25,000	educational

08/07/2012	Global Camps Africa 1606 Washington Plaza West Reston, VA 20190	25,000	educational
08/08/2012	Foundation for New Education Initiatives Inc 1450 N.E. Second Avenue, Suite 931 Miami, FL 33132	25,000	educational
08/08/2012	Ronald McDonald House NY 405 East 73 rd Street New York, NY 10021	1,500	medical
07/24/2012	Sloan Kettering Convio (credit card) 1275 York Avenue New York, NY 10065	3,500	medical
09/04/2012	Wyman Center 600 Kiwanis Drive Eureka, MO 63025	4,343	general
09/04/2012	World of Children 6200 Stoneridge Mall Road, 3 rd floor Pleasanton, CA 94588	25,000	educational
08/08/2012	NY Presbyterian (credit card) 850 Third Avenue, 12 th floor New York, NY 10022	1,500	medical
09/21/2012	Miami PAL 400 NW 2 nd Avenue Miami, FL 33128	1,000	educational
09/25/2012	KIPP DC INC 1003K Street, Suite 700 Washington, DC 20001	50,000	educational
10/10/2012	PAL of Miami (football program) 400 NW 2 nd Avenue Miami, FL 33128	15,000	educational
10/22/2012	Mujer, Inc.(SWER program) P.O. Box 900685 Homestead, FL 33090	5,000	general
10/25/2012	Ronald McDonald House(credit card) 405 East 73 rd Street New York, NY 10021	1,000	medical
11/14/2012	UJA- Annual Campaign 130 East 59 th Street New York, NY 10022	50,000	general
11/15/2012	World Literacy Crusade (Girl Power) 6015 NW 7 th Avenue Miami, FL 33127	2,500	educational

11/27/2012	Ruby Peck Foundation(credit card) 708 Third Avenue, 6 th floor New York, NY 10017	2,000	educational
12/04/2012	Miami Children's Initiative 5400 NW 22 nd Avenue, 4 th floor Miami, FL 33142	3,000	educational
12/07/2012	The Doe Fund, Inc 232 East 84 th Street New York, NY 10028	10,000	general
12/07/2012	Central Park Conservancy 14 East 60 th Street New York, NY 10022	10,000	general
12/10/2012	Miami Children's Initiative 5400 NW 22 nd Avenue, 4 th floor Miami, FL 33142	15,000	educational
12/10/2012	Cornell University- Africana program 130 E. Seneca Street, Suite 400 Ithaca, NY 14850	15,000	educational
12/10/2012	Miami Children's Initiative-impact zone 5400 NW 22 nd Avenue, 4 th floor Miami, FL 33142	10,000	educational
12/12/2012	Miami Children's Initiative-marketing exp 5400 NW 22 nd Avenue, 4 th floor Miami, FL 33142	10,000	educational
12/12/2012	The Umbrella Project 20445 West Shadow Street Buckeye, AZ 85396	25,000	educational
12/18/2012	Foundation for New Educational Initiatives 1450 N.E. Second Avenue, Suite 931 Miami, FL 33132	1,655	general
12/18/2012	Empowered Youth 1450 Brickell Bay Drive, #411 Miami, FL 33131	10,000	educational
12/26/2012	Native Arts & Cultures Foundation 11109 NE 14 th Street Vancouver, WA 98684	5,000	general
12/26/2012	UJA Federation-Jewish Life Homecare Fund for the Aged 120 W. 106 th Street Kaufman, 3 rd Floor New York, NY 10025	22,000	medical

01/02/2013	Tony Blair Faith Foundation 409 Prospect Street New Haven, CT 06511	60,000	general
01/02/2013	Casa Valentina 2990 SW 35 th Avenue, Suite 2 Miami, FL 33133	60,000	educational
01/03/2013	M Revak & Co. (for Miami Children's Initiative) (books for G Canada event)	4,325	educational
01/07/2013	Dana Farber Cancer Institute c/o Mr. Evan Berkley 19 Baker Avenue Westport, CT 06880	2,000	medical
01/08/2013	Miami Children's Initiative (credit card) 5400 NW 22 nd Avenue, 4 th floor Miami, FL 33142	650	educational
01/14/2013	Foundation for New Educational Initiatives 1450 N.E. Second Avenue, Suite 931 Miami, FL 33132	3,000	educational
01/14/2013	Miami Children's Initiative- G. Canada event 5400 NW 22 nd Avenue, 4 th floor Miami, FL 33142	15,000	educational
01/17/2013	Planned Parenthood of South Florida (TOP) 2300 N. Florida Mango Road West Palm Beach, FL 33409	175,000	educational
01/22/2013	ASTEP 165 West 46 th Street, Suite 1303 New York, NY 10036	5,000	educational
01/22/2013	Osborne Association 809 Westchester Avenue Bronx, NY 10455	5,000	general
01/29/2013	Cornell University- Cornell Hillel 130 East Seneca Street, Suite 400 Ithaca, NY 14850	25,000	educational
02/10/2013	Miami Pal (credit card) 400 NW 2 nd Avenue Miami, FL 33128	2,002	educational
02/07/2013	Miami Children's Initiative 5400 NW 22 nd Avenue, 4 th floor Miami, FL 33142	100,000	educational
02/07/2013	Foundation for New Educational Initiatives 1450 N.E. Second Avenue, Suite 931 Miami, FL 33132	50,000	educational

02/12/2013	UJA Federation (Symposium) 130 East 59 th Street New York, NY 10022	14,100	educational
02/13/2013	Syracuse University School of Education 230 Huntington Hall Syracuse, NY 13244	50,000	educational
02/15/2013	ArtWorksNYC, Inc. 1088 Park Avenue, 9D New York, NY 10128	5,000	educational
03/01/2013	Oasis Center 1704 Charlotte Pike, Suite 200 Nashville, TN 37203	10,000	educational
03/05/2013	Community Schools, Inc P O Box 555 Camden, ME 04843	10,000	educational
03/06/2013	Miami Children's Initiative- G Canada event 5400 NW 22 nd Avenue, 4 th floor Miami, FL 33142	5,000	educational
03/13/2013	Planned Parenthood of South Florida(Calvin Early) 2300 N. Florida Mango Road West Palm Beach, FL 33409	1,000	educational
03/25/2013	UJA Federation (Symposium) 130 East 59 th Street New York, NY 10022	10,000	educational
			\$3,594,740

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SCHEDULE ATTACHED-ML	P		
b	SCHEDULE ATTACHED-ML	P		
c	SCHEDULE ATTACHED-ML	P		
d	SCHEDULE ATTACHED-ML	P		
e	SCHEDULE ATTACHED-ML	P		
f	SCHEDULE ATTACHED-MSSB-71460	P		
g	SCHEDULE ATTACHED-MSSB-71460	P		
h	SCHEDULE ATTACHED-MSSB-71460	P		
i	SCHEDULE ATTACHED-MSSB-71460	P		
j	SCHEDULE ATTACHED-MSSB-71460	P		
k	SCHEDULE ATTACHED-MSSB-71460	P		
l	SCHEDULE ATTACHED-MSSB-71460	P		
m	SCHEDULE ATTACHED-MSSB-71460	P		
n	SCHEDULE ATTACHED-MSSB-71460	P		
o	SCHEDULE ATTACHED-MSSB-71460	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			212,414.
b			686,982.
c			4,053.
d			-3,160.
e			-6,914.
f			480.
g			-214.
h			-30,773.
i			-98,875.
j			-2,824.
k			-42,840.
l			-16,050.
m			-52,801.
n			-450.
o			6,835.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			212,414.
b			686,982.
c			4,053.
d			-3,160.
e			-6,914.
f			480.
g			-214.
h			-30,773.
i			-98,875.
j			-2,824.
k			-42,840.
l			-16,050.
m			-52,801.
n			-450.
o			6,835.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SCHEDULE ATTACHED-MSSB-116637	P		
b SCHEDULE ATTACHED-MSSB-116637	P		
c SCHEDULE ATTACHED-MSSB-116637	P		
d SCHEDULE ATTACHED-MSSB-116637	P		
e SCHEDULE ATTACHED-MSSB-116637	P		
f SCHEDULE ATTACHED-MSSB-116637	P		
g SCHEDULE ATTACHED-MSSB-116637	P		
h SCHEDULE ATTACHED-MSSB-116637	P		
i SCHEDULE ATTACHED-MSSB-116637	P		
j SCHEDULE ATTACHED-MSSB-116637	P		
k SCHEDULE ATTACHED-MSSB-116637	P		
l SCHEDULE ATTACHED-MSSB-116637	P		
m SCHEDULE ATTACHED-MSSB-116637	P		
n SCHEDULE ATTACHED-MSSB-5058E	P		
o SCHEDULE ATTACHED-MSSB-139992	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			807.
b			932.
c			35.
d			-100,642.
e			-38,284.
f			4,714.
g			1,602.
h			-11,266.
i			9,565.
j			-78,898.
k			-3,567.
l			-26,222.
m			7,588.
n			16,746.
o			2,124.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			807.
b			932.
c			35.
d			-100,642.
e			-38,284.
f			4,714.
g			1,602.
h			-11,266.
i			9,565.
j			-78,898.
k			-3,567.
l			-26,222.
m			7,588.
n			16,746.
o			2,124.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SCHEDULE ATTACHED-MSSB-139992	P		
b	SCHEDULE ATTACHED-OPPENHEIMER	P		
c	SCHEDULE ATTACHED-OPPENHEIMER	P		
d	SCHEDULE ATTACHED-FIDELITY-265551	P		
e	SCHEDULE ATTACHED-FIDELITY-275468	P		
f	SCHEDULE ATTACHED-FIDELITY-275468	P		
g	SCHEDULE ATTACHED-FIDELITY-275484	P		
h	SCHEDULE ATTACHED-FIDELITY-275484	P		
i	SCHEDULE ATTACHED-FIDELITY-275492	P		
j	SCHEDULE ATTACHED-FIDELITY-275492	P		
k	SCHEDULE ATTACHED-FIDELITY-275506	P		
l	SCHEDULE ATTACHED-FIDELITY-275506	P		
m	SCHEDULE ATTACHED-FIDELITY-211311	P		
n	SCHEDULE ATTACHED-FIDELITY-211311	P		
o	SCHEDULE ATTACHED-FIDELITY-715824	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			62,138.
b			-51,260.
c	7,407,975.	6,893,293.	514,682.
d			42,101.
e			19,439.
f			100,718.
g			53,137.
h			86,840.
i	487,031.	431,189.	55,842.
j	1,131,691.	893,189.	238,502.
k			-11,729.
l			65,370.
m			15,666.
n			19,480.
o			15,083.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			62,138.
b			-51,260.
c			514,682.
d			42,101.
e			19,439.
f			100,718.
g			53,137.
h			86,840.
i			55,842.
j			238,502.
k			-11,729.
l			65,370.
m			15,666.
n			19,480.
o			15,083.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

HIMAN BROWN CHARITABLE TRUST

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SCHEDULE ATTACHED-FIDELITY-715824		P		
b	725 UTS ENBRIDGE ENERGY PARTNERS LP	P	03/23/11	12/17/12
c	575 UTS ENBRIDGE ENERGY PARTNERS LP	P	05/05/11	12/17/12
d	950 UTS ENBRIDGE ENERGY PARTNERS LP	P	05/05/11	01/02/13
e	295 UTS ENBRIDGE ENERGY PARTNERS LP	P	06/28/11	01/02/13
f	305 UTS ENBRIDGE ENERGY PARTNERS LP	P	06/28/11	01/03/13
g	450 UTS ENBRIDGE ENERGY PARTNERS LP	P	12/17/10	08/24/12
h	95 UTS ENBRIDGE ENERGY PARTNERS LP	P	12/23/10	08/24/12
i	505 UTS ENBRIDGE ENERGY PARTNERS LP	P	12/23/10	08/24/12
j	1400 UTS ENBRIDGE ENERGY PARTNERS LP	P	01/11/11	08/24/12
k	275 UTS ENTERPRISE PRODUCTS PPTNS LP	P	12/16/10	08/24/12
l	500 UTS ENTERPRISE PRODUCTS PPTNS LP	P	12/21/10	08/24/12
m	800 UTS ENTERPRISE PRODUCTS PPTNS LP	P	12/30/10	08/24/12
n	275 UTS ENTERPRISE PRODUCTS PPTNS LP	P	05/04/11	08/24/12
o	1000 UTS ENTERPRISE PRODUCTS PPTNS LP	P	11/07/11	08/24/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			-6,469.
b	19,662.	20,914.	-1,252.
c	15,594.	16,232.	-638.
d	27,444.	29,656.	-2,212.
e	8,522.	8,766.	-244.
f	8,780.	9,063.	-283.
g	13,157.	12,216.	941.
h	2,778.	2,609.	169.
i	14,765.	13,973.	792.
j	40,934.	39,411.	1,523.
k	14,462.	10,154.	4,308.
l	26,295.	18,842.	7,453.
m	42,071.	30,848.	11,223.
n	14,462.	10,738.	3,724.
o	52,589.	43,020.	9,569.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-6,469.
b			-1,252.
c			-638.
d			-2,212.
e			-244.
f			-283.
g			941.
h			169.
i			792.
j			1,523.
k			4,308.
l			7,453.
m			11,223.
n			3,724.
o			9,569.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	150 UTS MARKWEST ENERGY PARTNERS LP	P	10/11/11	08/24/12
b	975 UTS MARKWEST ENERGY PARTNERS LP	P	11/09/11	08/24/12
c	450 UTS MARKWEST ENERGY PARTNERS LP	P	11/17/11	08/24/12
d	225 UTS MARKWEST ENERGY PARTNERS LP	P	12/30/11	08/24/12
e	845 UTS ENERGY TRANSFER PARTNERS LP	P	03/01/11	11/27/12
f	252 UTS ENERGY TRANSFER PARTNERS LP	P	03/04/11	11/27/12
g	224 UTS ENERGY TRANSFER PARTNERS LP	P	08/15/11	11/27/12
h	315 UTS ENERGY TRANSFER EQUITY LP	P	03/29/12	11/27/12
i	229 UTS ENTERPRISE PRODUCTS PARTNERS LP	P	03/01/11	04/11/12
j	999 UTS ENTERPRISE PRODUCTS PARTNERS LP	P	03/01/11	08/24/12
k	437 UTS ENTERPRISE PRODUCTS PARTNERS LP	P	03/04/11	08/24/12
l	546 UTS KINDER MORGAN ENERGY PTRS LP	P	03/01/11	11/27/12
m	217 UTS KINDER MORGAN ENERGY PTRS LP	P	03/04/11	11/27/12
n	122 UTS KINDER MORGAN ENERGY PTRS LP	P	03/01/11	04/11/12
o	516 UTS ONEOK PARTNERS LP	P	03/01/11	11/27/12

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	7,641.	6,663.	978.
b	49,669.	48,084.	1,585.
c	22,924.	22,723.	201.
d	11,462.	12,161.	-699.
e	36,385.	40,868.	-4,483.
f	10,851.	12,158.	-1,307.
g	9,645.	9,058.	587.
h	14,185.	12,015.	2,170.
i	11,296.	9,558.	1,738.
j	51,602.	41,045.	10,557.
k	22,573.	17,916.	4,657.
l	44,743.	35,759.	8,984.
m	17,782.	14,282.	3,500.
n	9,888.	8,364.	1,524.
o	30,297.	21,899.	8,398.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			978.
b			1,585.
c			201.
d			-699.
e			-4,483.
f			-1,307.
g			587.
h			2,170.
i			1,738.
j			10,557.
k			4,657.
l			8,984.
m			3,500.
n			1,524.
o			8,398.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

3

HIMAN BROWN CHARITABLE TRUST

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	180 UTS ONEOK PARTNERS LP	P	03/04/11	11/27/12
b	75 UTS ONEOK PARTNERS LP	P	08/16/11	11/27/12
c	334 UTS PLAINS ALL AMERICAN PIPELINE LP	P	03/01/11	11/27/12
d	100 UTS PLAINS ALL AMERICAN PIPELINE LP	P	03/04/11	11/27/12
e	406 UTS PLAINS ALL AMERICAN PIPELINE LP	P	03/19/12	11/27/12
f	6519 UTS GREENHAVEN CONTINUOUS COMMODITY	P	03/21/12	07/27/12
g	11214 UTS GREENHAVEN CONTINUOUS COMMODITY	P	03/29/12	07/27/12
h	SECTION 1256 LOSS	P		
i	SECTION 1256 LOSS	P		
j	LONG TERM GAIN PASSTHROUGH	P		
k	BOOK ADJUSTMENT TO BASIS			
l	CAPITAL GAINS DIVIDENDS			
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 10,569.		7,622.	2,947.
b 4,404.		3,289.	1,115.
c 15,454.		11,313.	4,141.
d 4,627.		3,426.	1,201.
e 18,785.		16,341.	2,444.
f 192,406.		183,532.	8,874.
g 330,840.		311,439.	19,401.
h -17,359.			-17,359.
i -26,039.			-26,039.
j 643.			643.
k			0.
l 25,905.			25,905.
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			2,947.
b			1,115.
c			4,141.
d			1,201.
e			2,444.
f			8,874.
g			19,401.
h			-17,359.
i			-26,039.
j			643.
k			0.
l			25,905.
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	1,757,373.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	N/A

Himan Brown Charitable Trust
 EIN 27-6669056
 Morgan Stanley Ac 5058E-i9
 Attachment to Form 990-PF, Part IV
 March 31, 2013

Quantity	Description	Maturity Date	Date Acquired	Date Sold	Sales Proceeds	Tax Cost	Short Term Gain/(Loss)	Long Term Gain/(Loss)
40,000	Nextel Comm Inc	6 875%	10/31/2013	8/29/2011	6/8/2012	40,000 00	39,595 60	404 40
16,000	Nextel Comm Inc	6 875%	10/31/2013	9/29/2011	6/8/2012	16,000 00	15,860 00	140 00
1,000	Nextel Comm Inc	6 875%	10/31/2013	9/29/2011	6/12/2012	1,000 00	991 25	8 75
22,000	Frontier Communications	8 250%	5/1/2014	10/17/2011	6/4/2012	24,530 00	22,742 50	1,787 50
1,000	Frontier Communications	8 250%	5/1/2014	10/17/2011	6/5/2012	1,092 50	1,033 75	58 75
35,000	Host Hotel & Resorts	6 875%	11/1/2014	8/29/2011	4/13/2012	35,601 65	35,700 00	-98 35
2,000	Host Hotel & Resorts	6 875%	11/1/2014	11/14/2011	4/13/2012	2,034 38	2,044 00	-9 62
23,000	Host Hotel & Resorts	6 875%	11/1/2014	11/14/2011	5/29/2012	23,395 37	23,506 00	-110 63
17,000	Host Hotel & Resorts	6 875%	11/1/2014	11/21/2011	5/29/2012	17,292 23	17,340 00	-47 77
38,000	Newfield Exploration Co	6 625%	4/15/2016	1/6/2012	1/0/1900	38,981 54	39,284 40	-302 86
39,000	Newfield Exploration Co	6 625%	4/15/2016	1/9/2012	7/3/2012	40,007 37	40,316 25	-308 88
71,000	Qwest Corp	8 375%	5/2/2016	10/6/2011	4/18/2012	86,105 96	76,502 50	9,603 46
1,000	Clean Harbors Inc	7 625%	8/15/2016	8/24/2011	7/13/2012	1,030 00	1,055 80	-25 80
2,000	Clean Harbors Inc	7 625%	8/15/2016	3/13/2012	7/13/2012	2,060 00	2,119 40	-59 40
70,000	Silgan Holdings Inc	7 250%	8/15/2016	9/28/2011	4/9/2012	78,660 05	72,953 16	5,706 89
					<u>407,791 05</u>	<u>391,044 61</u>	<u>16,746 44</u>	<u>0 00</u>

Himan Brown Charitable Trust
EIN 27-6669056
Attachment to Form 990-PF, Part IV
MSSB
A/C 398-139992-495
Y/E March 31, 2013

Quantity	Description	Date Acquired	Date Sold	Sales Proceeds	Cost Basis	Short Term Gain/(Loss)	Long Term Gain/(Loss)
21,000	Nextel Comm Inc	9/29/2011	8/24/2012	21,000 00	20,816 25	183 75	
1,000	Nextel Comm Inc	11/1/2011	8/24/2012	1,000 00	980 00	20 00	
22,000	Forest Oil Corp(canceled/resold)	5/30/2012	9/21/2012	24,251 99	23,154 34	1,097 65	
8,000	Forest Oil Corp(canceled/resold)	6/12/2012	9/21/2012	8,818 90	8,340 00	478 90	
13,000	Forest Oil Corp	6/12/2012	2/15/2013	13,845 00	13,552 50	292 50	
7,000	Forest Oil Corp	6/25/2012	2/15/2013	7,455 00	7,252 70	202 30	
8,000	Forest Oil Corp	7/19/2012	2/15/2013	8,520 00	8,210 00	310 00	
2,000	Crown Castle Intl Corp	2/28/2012	12/26/2012	2,124 60	2,210 00	-85 40	
4,000	Echostar DBS Corp	9/7/2012	12/4/2012	4,500 00	4,480 00	20 00	
2,000	Nextel Comm Inc	3/9/2012	8/20/2012	2,000 00	1,980 00	20 00	
1,000	Nextel Comm Inc	3/9/2012	10/5/2012	1,005 00	990 00	15 00	
17,000	Tescoro	7/20/2012	9/27/2012	17,297 50	17,425 00	-127 50	
20,000	Unisys Corp	10/19/2011	7/24/2012	21,250 00	21,375 00	-125 00	
4,000	Unisys Corp	10/19/2011	9/24/2012	4,250 00	4,275 00	-25 00	
3,000	Echostar DBS Corp	4/24/2012	2/11/2013	3,341 25	3,322 80	18 45	
9,000	Echostar DBS Corp	5/21/2012	2/11/2013	10,023 75	9,627 30	396 45	
23,000	Denbury Resources	3/8/2012	2/5/2013	24,247 75	25,587 50	-1,339 75	
50,000	Denbury Resources	3/13/2012	2/5/2013	52,712 50	55,437 50	-2,725 00	
76,000	Alliant Techsystems	11/16/2011	9/7/2012	77,710 00	77,805 00	-95 00	
2,000	Alliant Techsystems	2/21/2012	9/7/2012	2,045 00	2,056 00	-11 00	
32,000	Metlife Inc	5/1/2012	2/12/2013	37,744 00	38,025 60	-281 60	
15,000	Metlife Inc	6/21/2012	2/12/2013	17,692 50	17,593 50	99 00	
3,000	Donnelley	11/16/2012	2/6/2013	3,292 50	3,189 00	103 50	
15,000	Donnelley	8/1/2012	2/6/2013	16,462 50	16,192 50	270 00	
36,000	Clean Harbors Inc	8/24/2011	7/30/2012	36,409 68	38,008 80	-1,599 12	
4,000	Anadarko Pete Corp	5/1/2012	2/6/2013	4,583 20	4,632 52	-49 32	
7,000	Anadarko Pete Corp	5/1/2012	2/7/2013	8,017 45	8,106 91	-89 46	
3,000	Anadarko Pete Corp	7/10/2012	2/7/2013	3,436 05	3,429 87	6 18	
4,000	Anadarko Pete Corp	7/30/2012	2/7/2013	4,581 40	4,607 84	-26 44	
7,000	Peabody Energy Corp	6/11/2012	2/13/2013	7,980 00	7,717 50	262 50	
8,000	Sprint Nextel	9/5/2012	10/11/2012	8,268 00	8,190 00	78 00	
48,000	Arcelormittal	12/28/2012	2/11/2013	49,080 00	48,300 00	780 00	
20,000	Consol Energy Global	3/6/2012	12/4/2012	21,450 00	21,250 00	200 00	
55,000	Consol Energy Global	3/6/2012	2/11/2013	59,262 50	58,437 50	825 00	
6,000	Qwest Corp	4/25/2012	2/5/2013	6,967 50	6,885 00	82 50	
42,000	Qwest Corp	4/25/2012	2/5/2013	48,772 50	48,165 60	606 90	
5,000	Swift Energy	6/1/2012	2/7/2013	5,137 50	5,062 50	75 00	
12,000	Swift Energy	6/4/2012	2/7/2013	12,330 00	12,030 00	300 00	
7,000	Swift Energy	6/7/2012	2/7/2013	7,192 50	7,070 00	122 50	
9,000	Swift Energy	6/21/2012	2/7/2013	9,247 50	9,090 00	157 50	
20,000	Wachovia Corp	1/23/2012	12/5/2012	19,425 00	18,200 00	1,225 00	
9,000	Smithfield Foods Inc	9/26/2012	2/19/2013	10,350 00	10,170 00	180 00	
30,000	Smithfield Foods Inc	7/19/2012	2/19/2013	34,500 00	33,150 00	1,350 00	
37,000	Fidelity Natl Information Serv	3/12/2012	2/19/2013	39,965 55	40,376 25	-410 70	
3,000	Swift Energy	10/12/2012	2/7/2013	3,082 50	3,105 00	-22 50	
1,000	Smithfield Foods Inc	10/24/2012	2/19/2013	1,150 00	1,133 75	16 25	
5,000	Qwest Corp	9/26/2012	2/5/2013	5,806 25	5,900 95	-94 70	
19,000	Cloud Peak Energy	10/10/2012	2/14/2013	20,282 50	20,524 75	-242 25	
7,000	Advanced Micro	10/12/2012	2/14/2013	6,685 00	7,206 50	-521 50	
22,000	Advanced Micro	10/9/2012	2/14/2013	21,010 00	23,210 00	-2,200 00	
14,000	Advanced Micro	9/5/2012	2/14/2013	13,370 00	14,822 50	-1,452 50	
3,000	Advanced Micro	8/8/2012	2/14/2013	2,865 00	3,157 50	-292 50	
29,000	Cloud Peak Energy	9/25/2012	2/14/2013	30,957 50	31,682 50	-725 00	
39,000	Altna Group Inc	10/12/2011	9/4/2012	55,997 37	50,930 10	5,067 27	
20,000	Altna Group Inc	10/12/2011	10/2/2012	28,711 00	26,118 00	2,593 00	
37,000	Pepsico	9/6/2012	2/11/2013	49,408 32	49,996 62	-588 30	
10,000	Pepsico	8/6/2012	2/11/2013	13,353 60	13,573 20	-219 60	
12,000	Arcelormittal	8/6/2012	12/3/2012	14,205 00	13,755 00	450 00	
5,000	Arcelormittal	11/2/2012	12/3/2012	5,918 75	5,722 50	196 25	
5,000	Valero Energy Corp	9/14/2012	2/7/2013	6,860 00	6,757 75	102 25	
3,000	Valero Energy Corp	12/18/2012	2/7/2013	4,116 00	4,112 62	3 38	
10,000	Valero Energy Corp	1/20/2012	12/4/2012	12,168 50	11,095 50	1,073 00	
4,000	Kellogg Co	9/11/2012	2/12/2013	4,436 00	4,456 48	-20 48	
3,000	Kellogg Co	3/14/2012	2/12/2013	3,327 00	3,179 94	147 06	
55,000	Altna Group Inc	10/2/2012	2/13/2013	61,270 00	63,190 05	-1,920 05	
4,000	Hewlett-Packard Co	11/27/2012	2/19/2013	4,024 00	3,847 52	176 48	
8,000	Hewlett-Packard Co	6/7/2012	12/3/2012	7,756 08	8,294 72	-538 64	
5,000	Hewlett-Packard Co	6/7/2012	2/19/2013	5,030 00	5,184 20	-154 20	
23,000	Hewlett-Packard Co	7/19/2012	2/19/2013	23,138 00	24,156 21	-1,018 21	
11,000	Hewlett-Packard Co	8/10/2012	2/19/2013	11,066 00	11,527 23	-461 23	

Himan Brown Charitable Trust
 EIN 27-6669056
 Attachment to Form 990-PF, Part IV
 MSSB
 A/C 398-139992-495
 Y/E March 31, 2013

Quantity	Description	Date Acquired	Date Sold	Sales Proceeds	Cost Basis	Short Term Gain/(Loss)	Long Term Gain/(Loss)
14,000	Celgene Corp	8/29/2012	2/13/2013	13,988 80	14,006 86	-18 06	
				<u>1,209,529 24</u>	<u>1,207,405 73</u>	<u>2,123 51</u>	
35,000 00	Coventry HLTH Care Inc	8/25/2011	12/3/2012	37,781 45	38,325 00		-543 55
20,000 00	Crown Castle Intl Corp	9/8/2011	12/4/2012	21,250 00	21,598 00		-348 00
13,000 00	Crown Castle Intl Corp	9/8/2011	12/5/2012	13,819 00	14,038 70		-219 70
3,000 00	Crown Castle Intl Corp	9/8/2011	12/26/2012	3,186 90	3,239 70		-52 80
35,000 00	Crown Castle Intl Corp	12/2/2011	12/26/2012	37,180 50	38,185 00		-1,004 50
50,000 00	Frontier Communication Corp	9/14/2011	2/15/2013	55,812 50	52,500 00		3,312 50
3,000 00	Frontier Communication Corp	11/22/2011	2/15/2013	3,348 75	2,998 80		349 95
20,000 00	General Elec Cap Cor	9/15/2011	12/5/2012	19,770 00	18,727 48		1,042 52
63,000 00	General Elec Cap Cor	9/15/2011	2/11/2013	62,465 51	58,991 56		3,473 95
2,000 00	General Elec Cap Cor	1/10/2012	2/11/2013	1,983 03	1,860 00		123 03
35,000 00	Echostar DBS Corp	1/5/2012	2/11/2013	38,981 25	37,625 00		1,356 25
3,000 00	Echostar DBS Corp	1/9/2012	2/11/2013	3,341 25	3,228 75		112 50
32,000 00	Ball Corp	9/27/2011	12/3/2012	34,323 20	34,320 00		3 20
36,000 00	Anadarko Pete Corp	10/6/2011	2/6/2013	41,248 80	39,173 40		2,075 40
3,000 00	Bank of America	1/3/2012	2/15/2013	2,930 93	2,370 00		560 93
20,000 00	Bank of America	11/7/2011	12/5/2012	19,190 00	16,250 00		2,940 00
74,000 00	Bank of America	11/7/2011	2/15/2013	72,296 22	60,125 00		12,171 22
34,000 00	Peabody Energy Corp	11/15/2011	2/13/2013	38,760 00	36,890 00		1,870 00
1,000 00	Peabody Energy Corp	11/15/2011	2/13/2013	1,140 00	1,085 00		55 00
12,000 00	Wachovia Corp	1/23/2012	2/5/2013	11,779 19	10,920 00		859 19
55,000 00	Wachovia Corp	1/23/2012	2/5/2013	53,900 00	50,050 00		3,850 00
71,000 00	Goldman Sachs Group	1/24/2012	2/19/2013	89,418 11	79,334 69		10,083 42
31,000 00	Valero Energy Corp	11/17/2011	2/7/2013	42,532 00	39,705 73		2,826 27
20,000 00	International Paper Co	9/15/2011	12/3/2012	27,394 20	25,218 60		2,175 60
1,000 00	International Paper Co	9/15/2011	2/6/2013	1,370 00	1,260 93		109 07
40,000 00	International Paper Co	9/15/2011	2/5/2013	54,700 00	50,437 20		4,262 80
76,000 00	Autozone Inc	9/13/2011	2/19/2013	80,750 00	77,162 80		3,587 20
20,000 00	Prudential Financial Inc	11/10/2011	12/4/2012	22,325 00	20,350 60		1,974 40
44,000 00	Prudential Financial Inc	11/10/2011	2/7/2013	48,862 00	44,771 32		4,090 68
6,000 00	Prudential Financial Inc	1/18/2012	2/7/2013	6,663 00	6,206 64		456 36
12,000 00	Kellogg Co	9/27/2011	2/12/2013	13,308 00	12,870 84		437 16
23,000 00	Kellogg Co	11/30/2011	2/12/2013	25,507 00	24,192 78		1,314 22
12,000 00	Hewlett-Packard Co	3/8/2012	12/3/2012	11,634 12	12,800 04		-1,165 92
				<u>998,951 91</u>	<u>936,813 56</u>		<u>62,138 35</u>
Total				<u>2,208,481 15</u>	<u>2,144,219 29</u>	<u>2,123 51</u>	<u>62,138 35</u>

Himan Brown Charitable Trust

Attachment to Form 990-PF, Part IV
 Oppenheimer
 A/C G24-1651160
 3/31/2013

Quantity	Description		Date Acquired	Date Sold	Sales Proceeds	Tax Cost	Short Term Gain/(Loss)	Long Term Gain/(Loss)
1,000,000	Chesapeake Energy Corp	6 13%	1/23/2012	5/9/2012	942,495 00	993,755 00	-51,260 00	
					<u>942,495 00</u>	<u>993,755 00</u>	<u>-51,260 00</u>	
5,000 00	New York St HSG Fin AGY Rev	6 10%	12/17/2002	2/19/2013	5,000 00	4,954 70		45 31
5,000 00	New York St Med Care Facs Fin	7 40%	1/1/1901	11/1/2012	5,000 00	5,000 00		0 00
85,000 00	New York St Mtg Agy Rev	5 00%	12/17/2002	5/3/2012	85,000 00	85,376 55		-376 55
2,000,000 00	Illinois St	5 88%	2/25/2011	7/26/2012	2,227,995 00	2,025,005 00		202,990 00
10,000 00	New York St Dorm Auth Revs St	5 00%	12/17/2002	11/19/2012	10,000 00	12,412 13		-2,412 13
1,000,000	Tesoro Corp	6 50%	10/11/2011	11/1/2012	1,032,500 00	1,000,005 00		32,495 00
1,000,000	Petroleos De Venezuela SA	8 50%	1/24/2011	9/19/2012	882,495 00	692,505 00		189,990 00
550,000	Donnelley R R & Sons Co	7 63%	2/8/2011	10/19/2012	544,495 00	584,377 75		-39,882 75
450,000	Donnelley R R & Sons Co	7 63%	2/8/2011	10/19/2012	445,495 00	478,127 25		-32,632 25
1,000,000	Royal Bk Scotland PLC	6 13%	1/19/2011	9/18/2012	1,169,995 00	1,005,530 00		164,465 00
1,000,000	Barclays BK PLC	5 00%	1/27/2011	7/27/2012	1,000,000 00	1,000,000 00		0 00
					<u>7,407,975 00</u>	<u>6,893,293 38</u>		<u>514,681 63</u>

Himan Brown Charitable Trust
EIN 27-6669056
Attachment to Form 990-PF, Part IV
Fidelity Investment
A/C 647-265551
3/31/2013

Quantity	Description	Date Acquired	Date Sold	Sales Price	Tax Cost	Short Term Gain/(Loss)	Long Term Gain/(Loss)
Mutual Funds							
21,968 366	Doubleline Total Return Bond FD CL I	8/17/2011	8/28/2012	249,975 00	246,722 02		3,252 98
22,555 232	Doubleline Total Return Bond FD CL I	8/17/2011	41,250 00	256,653 54	253,312 98		3,340 56
25,484 200	Eaton Vance Emrg Mkts Local	8/10/2011	8/28/2012	249,975 00	273,209 75		-23,234 75
21,157 591	Eaton Vance Emrg Mkts Local	8/10/2011	12/7/2012	214,089 82	226,825 25		-12,735 43
58,616 650	Ridgeworth Floating Rate Income FD	8/16/2011	8/24/2012	519,318 49	500,035 00		19,283 49
19,025 875	Templeton Global Bond Advisor Class	8/16/2011	8/27/2012	249,975 00	260,482 46		-10,507 46
17,497 134	Templeton Global Bond Advisor Class	8/16/2011	12/7/2012	237,586 08	239,552 54		-1,966 46
24,850 895	Third Avenue Focused Credit Fund	8/16/2011	8/27/2012	249,975 00	265,177 61		-15,202 61
22,009 461	Third Avenue Focused Credit Fund	8/16/2011	12/7/2012	229,533 68	234,857 39		-5,323 71
38,372 985	Touchstone Emerging Markets Fund CL Y	12/21/2010	4/19/2012	474,656 30	500,035 00		-25,378 70
38,255 547	Touchstone Emerging Markets Fund CL Y	12/22/2010	4/19/2012	473,203 64	500,035 00		-26,831 36
135,593 220	Wasatch Emerging Markets Small Cap FD	12/21/2010	3/15/2013	400,000 00	337,627 12		62,372 88
44,958 253	Vanguard Total Intl Stock Index Inv	12/7/2011	3/14/2013	699,975 00	624,943 74		75,031 26
				<u>4,504,916 55</u>	<u>4,462,815 86</u>		<u>42,100 69</u>
Total				<u>5,028,162 73</u>	<u>5,002,464 12</u>	<u>-16,402 08</u>	<u>42,100 69</u>

Himan Brown Charitable Trust
 EIN 27-6669056
 Attachment to Form 990-PF, Part IV
 Fidelity Investment
 A/C 647-275468
 3/31/2013

Quantity	Description	Date Acquired	Date Sold	Sales Proceeds	Beg Inventory	Short Term Gain/(Loss)	Long Term Gain/(Loss)
263	Abbott Laboratories	9/5/2012	41,220 00	16,976 12	17,233 45	-257 33	
1,315	CME group(5-1 stock split 7-23-2012)	1/9/2012	9/14/2012	78,693 42	59,830 98	18,862 44	
612	Kraft Foods Inc CL A	9/5/2012	9/14/2012	24,505 74	25,300 56	-794 82	
489	Loews Corp	9/5/2012	11/7/2012	20,271 86	19,596 41	675 45	
273	Nestle SA SPON ADR	9/5/2012	12/3/2012	17,921 97	16,968 62	953 35	
				<u>158,369 11</u>	<u>138,930 02</u>	<u>19,439 09</u>	
93	Abbott Laboratones	12/15/2010	8/8/2012	6,132 99	4,473 11		1,659 88
135	Abbott Laboratories	12/15/2010	11/7/2012	8,713 98	6,493 23		2,220 75
82	Abbott Laboratories	12/15/2010	8/8/2012	5,407 59	3,943 98		1,463 61
849	Abbott Laboratones	12/15/2010	11/7/2012	54,801 22	40,834 66		13,966 56
360	Aflac Inc	12/15/2010	11/27/2102	18,649 28	20,057 83		-1,408 55
850	Canadian National Railways Co	4/5/2011	5/21/2012	68,632 77	64,084 34		4,548 43
741	Canadian National Railways Co	4/5/2011	6/28/2012	62,405 82	55,866 47		6,539 35
384	Kraft Foods Inc CL A	12/10/2010	9/14/2012	15,376 16	11,815 44		3,560 72
1,233	Kraft Foods Inc CL A	12/15/2010	9/14/2012	49,371 88	38,637 84		10,734 04
100	Kraft Foods Inc CL A	12/15/2010	9/14/2012	4,004 21	3,132 89		871 32
1,597	Kraft Foods Inc CL A	12/15/2010	9/14/2012	63,947 20	50,026 03		13,921 17
1,504	Life Technologies	6/9/2011	2/25/2013	88,632 06	76,365 73		12,266 33
165	Lockheed Martin Corp	4/28/2011	5/8/2012	14,328 93	13,036 61		1,292 32
762	Loews Corp	12/3/2010	6/28/2012	30,544 13	28,919 14		1,624 99
100	Loews Corp	12/9/2010	6/28/2012	4,008 42	3,845 65		162 77
205	Loews Corp	12/9/2010	6/28/2012	8,217 25	7,867 12		350 13
100	Loews Corp	12/15/2010	6/28/2012	4,008 42	3,874 45		133 97
443	Loews Corp	12/15/2010	6/28/2012	17,757 28	17,129 13		628 15
1,537	Loews Corp	12/15/2010	11/7/2012	63,717 48	59,429 95		4,287 53
705	Nestle SA SPON ADR	4/5/2011	4/16/2012	43,163 87	40,645 37		2,518 50
1,035	Nestle SA SPON ADR	4/5/2011	12/3/2012	67,945 94	59,670 87		8,275 07
494	Sanofi Sponsored ADR	8/11/2011	12/27/2012	23,330 55	16,159 56		7,170 99
24	Thermo Fischer Scientific Inc	12/3/2010	3/22/2013	1,825 90	1,262 65		563 25
200	Thermo Fischer Scientific Inc	12/3/2010	3/22/2013	15,215 83	10,522 17		4,693 66
300	Thermo Fischer Scientific Inc	12/3/2010	3/22/2013	22,823 76	15,783 26		7,040 50
9	Thermo Fischer Scientific Inc	12/3/2010	3/22/2013	684 71	473 50		211 21
4	Thermo Fischer Scientific Inc	12/3/2010	3/22/2013	304 32	210 44		93 88
136	Total ADR Each Re 1Ord Shs EUR10	12/3/2010	6/28/2012	5,794 07	6,958 56		-1,164 49
600	Total ADR Each Re 1Ord Shs EUR10	12/3/2010	6/28/2012	25,562 08	30,702 00		-5,139 92
100	Total ADR Each Re 1Ord Shs EUR10	12/9/2010	6/28/2012	4,260 35	5,210 45		-950 10
125	Total ADR Each Re 1Ord Shs EUR10	12/9/2010	6/28/2012	5,325 43	6,503 75		-1,178 32
22	Total ADR Each Re 1Ord Shs EUR10	12/15/2010	6/28/2012	937.27	1,176 44		-239 17
				<u>805,831 15</u>	<u>705,112 61</u>		<u>100,718 54</u>
Total				<u>964,200 26</u>	<u>844,042 63</u>	<u>19,439 09</u>	<u>100,718 54</u>

Himan Brown Charitable Trust
 EIN 27-6669056
 Attachment to Form 990-PF, Part IV
 Fidelity Investment
 A/C 647-275484

3/31/2013

Quantity	Description	Date Acquired	Date Sold	Sales Proceeds	Tax Cost	Short Term Gain/(Loss)	Long Term Gain/(Loss)
625	Ameren Corp	11/25/2011	6/11/2012	20,670.23	19,782.51	887.72	
675	Ameren Corp	11/30/2011	6/11/2012	22,323.84	22,708.16	-384.32	
1,000	Annaly Capital Management Inc Com	10/19/2011	8/24/2012	17,062.50	16,056.00	1,006.50	
850	Annaly Capital Management Inc Com	3/22/2012	8/24/2012	14,503.12	13,830.66	672.46	
1,375	AT & T	10/3/2011	8/24/2012	50,616.93	39,205.38	11,411.56	
500	AT & T	3/1/2012	8/24/2012	18,406.16	15,330.15	3,076.01	
650	AT & T	3/22/2012	8/24/2012	23,928.00	20,708.60	3,219.40	
300	DTE Energy Holding Co	3/1/2012	8/24/2012	17,551.31	16,326.73	1,224.58	
500	ITC Holdings Corp	6/6/2012	8/24/2012	36,021.75	34,991.75	1,030.00	
300	ITC Holdings Corp	6/12/2012	8/24/2012	21,613.05	20,615.47	997.58	
300	ITC Holdings Corp	6/28/2012	8/24/2012	21,613.04	20,628.67	984.37	
350	National Gnd New ADR	10/14/2011	8/24/2012	19,041.04	17,662.96	1,378.08	
725	National Gnd New ADR	11/2/2011	8/24/2012	39,442.15	36,270.95	3,171.20	
300	Nextera Energy Inc Com	10/17/2011	8/24/2012	20,236.35	16,474.47	3,761.88	
500	Nextera Energy Inc Com	11/3/2011	8/24/2012	33,727.25	28,806.90	4,920.35	
350	Nextera Energy Inc Com	11/16/2011	8/24/2012	23,609.07	19,596.84	4,012.23	
1,700	Penn West Petroleum Ltd	9/16/2011	6/28/2012	21,634.82	31,164.71	-9,529.89	
1,150	Penn West Petroleum Ltd	10/14/2011	6/28/2012	14,635.31	18,624.59	-3,989.28	
350	PG&E Corp	11/4/2011	8/24/2012	15,325.82	14,253.68	1,072.15	
700	PG&E Corp	11/16/2011	8/24/2012	30,651.64	27,578.24	3,073.40	
550	PG&E Corp	12/30/2011	8/24/2012	24,083.44	22,764.77	1,318.67	
275	Pinnacle West Cap CP	4/5/2012	8/24/2012	14,178.07	12,984.89	1,193.18	
300	Scana Corp New	10/19/2011	8/24/2012	14,323.71	12,532.03	1,791.68	
425	Seadrill Ltd	3/1/2012	8/24/2012	17,426.84	17,284.36	142.48	
800	Seadrill Ltd	3/7/2012	8/24/2012	32,803.47	30,993.52	1,809.95	
800	Seadrill Ltd	6/28/2012	8/24/2012	32,803.48	27,049.20	5,754.28	
600	South Jersey INDS Inc	6/6/2012	8/24/2012	30,387.13	30,050.98	336.15	
800	South Jersey INDS Inc	6/28/2012	8/24/2012	40,516.17	40,505.76	10.41	
1,300	Teco Energy Inc	3/22/2012	6/12/2012	23,044.20	22,798.74	245.46	
1,050	Telefonica Brasil SA	9/30/2011	8/24/2012	23,567.94	27,654.42	-4,086.48	
425	Telefonica Brasil SA	4/5/2012	8/24/2012	9,539.41	13,150.19	-3,610.78	
1,000	Telefonica Brasil SA	6/7/2012	8/24/2012	22,445.66	23,911.60	-1,465.94	
525	Telefonica SA ADR	10/14/2011	8/24/2012	11,783.97	14,609.41	-2,825.44	
1,075	Total ADR	9/27/2011	8/24/2012	52,802.28	47,339.42	5,462.86	
500	Total ADR	10/19/2011	8/24/2012	24,559.20	25,657.85	-1,098.65	
300	Total ADR	11/2/2011	8/24/2012	14,735.52	15,392.41	-656.89	
450	Total ADR	6/7/2012	8/24/2012	22,103.27	19,807.62	2,295.65	
150	Verizon Communications	9/16/2011	8/24/2012	6,440.39	5,525.49	914.90	
550	Verizon Communications	9/30/2011	8/24/2012	23,614.77	20,461.86	3,152.91	
875	Verizon Communications	3/7/2012	8/24/2012	37,568.96	34,151.19	3,417.77	
825	Vodafone Group Spon ADR	10/7/2011	8/24/2012	24,134.55	21,822.66	2,311.89	
750	Vodafone Group Spon ADR	12/2/2011	8/24/2012	21,940.49	20,183.43	1,757.06	
525	Wells Fargo New Dep SHS SER J 8%	11/2/2011	8/24/2012	15,841.00	14,943.99	897.01	
1,100	Williams Cos Inc	6/6/2012	8/24/2012	34,999.49	32,477.71	2,521.78	
1,300	Williams Cos Inc	6/28/2012	8/24/2012	41,363.03	36,483.58	4,879.45	
1,900	Windstream Corp	10/14/2011	6/28/2012	17,272.07	22,599.67	-5,327.60	

1,116,891.89 1,063,754.15

53,137.74

Himan Brown Charitable Trust
 EIN 27-6669056
 Attachment to Form 990-PF, Part IV
 Fidelity Investment
 A/C 647-275484

3/31/2013

Quantity	Description	Date Acquired	Date Sold	Sales Proceeds	Tax Cost	Short Term Gain/(Loss)	Long Term Gain/(Loss)
400 00	Annaly Capital Management Inc Com	12/16/2010	8/24/2012	6,825 00	7,220 44		-395 44
2,700 00	Annaly Capital Management Inc Com	7/12/2011	8/24/2012	46,068 74	48,990 70		-2,921 96
575 00	BCE Inc Com NPV ISIN	1/10/2011	8/24/2012	25,918 92	20,493 87		5,425 05
500 00	BCE Inc Com NPV ISIN	2/9/2011	8/24/2012	22,538 19	18,404 20		4,133 99
100 00	Centurylink Inc	4/6/2011	8/24/2012	4,201 71	4,071 04		130 67
25 00	Centurylink Inc	4/6/2011	8/24/2012	1,050 43	1,017 76		32 67
275 00	Centurylink Inc	4/6/2011	8/24/2012	11,554 70	11,195 36		359 34
1,175 00	Centurylink Inc	8/16/2011	8/24/2012	49,370 07	40,494 50		8,875 58
825 00	Centurylink Inc	8/16/2011	8/24/2012	34,664 10	28,432 30		6,231 80
1,000 00	Comcast Corp New 7%	12/16/2010	4/20/2012	25,000 00	25,384 26		-384 26
50 00	DTE Energy Holding CO	12/16/2010	8/24/2012	2,925 22	2,285 63		639 59
725 00	DTE Energy Holding Co	2/8/2011	8/24/2012	42,415 68	33,811 31		8,604 37
25 00	Integrus Energy Group Inc	2/1/2011	8/24/2012	1,377 55	1,208 90		168 65
1,000 00	Integrus Energy Group Inc	2/15/2011	8/24/2012	55,101 80	49,326 90		5,774 90
1,800 00	Nisource Inc	1/3/2011	8/24/2012	43,235 37	32,226 48		11,008 89
2,350 00	Nisource Inc	7/14/2011	8/24/2012	56,446 18	48,000 53		8,445 65
925 00	Penn West Petroleum Ltd	2/23/2011	6/28/2012	11,771 89	26,098 94		-14,327 05
925 00	Pinnacle West Cap CP	12/21/2010	8/24/2012	47,689 86	38,336 35		9,353 51
25 00	PPL CORP	4/27/2011	6/7/2012	690 91	684 06		6 85
1,875 00	PPL CORP	5/11/2011	6/7/2012	51,818 35	52,128 63		-310 28
1,200 00	PPL CORP	5/24/2011	6/7/2012	33,163 74	33,582 88		-419 14
1,200 00	Royal Dutch Shell ADR EA	12/16/2010	8/24/2012	87,450 01	77,750 72		9,699 29
425 00	Royal Dutch Shell ADR EA	3/21/2011	8/24/2012	30,971 88	30,313 82		658 06
450 00	Scana Corp New	8/24/2011	8/24/2012	21,485 56	17,937 81		3,547 75
325 00	Southern Co	12/21/2010	8/24/2012	14,864 32	12,470 28		2,394 04
1,000 00	Southern Co	12/31/2010	8/24/2012	45,736 38	38,369 30		7,367 08
600 00	Southern Co	3/30/2011	8/24/2012	27,441 83	22,929 88		4,511 95
425 00	Transcanada Corporation Com NPV	12/21/2010	8/24/2012	19,380 88	15,994 99		3,385 89
1,300 00	Transcanada Corporation Com NPV	2/23/2011	8/24/2012	59,282 70	50,714 03		8,568 67
875 00	Venzon Communications	8/8/2011	8/24/2012	37,568 96	29,752 88		7,816 08
1,700 00	Wells Fargo New Dep SHS SER J 8%	12/21/2010	8/24/2012	51,294 65	46,067 70		5,226 95
325 00	Windstream Corp	1/13/2011	6/28/2012	2,954 43	4,319 92		-1,365 49
3,550 00	Windstream Corp	5/24/2011	6/28/2012	32,271 50	47,675 50		-15,404 00
				<u>1,004,531.51</u>	<u>917,691 85</u>		<u>86,839 66</u>
Total				<u>2,121,423.40</u>	<u>1,981,446 00</u>	<u>53,137 74</u>	<u>86,839 66</u>

Himan Brown Charitable Trust
 EIN 27-6669056
 Attachment to Form 990-PF, Part IV
 Fidelity Investment
 A/C 647-275492

Y/E March 31, 2013

Quantity	Description	Date Acquired	Date Sold	Sales Proceeds	Tax Cost	Short Term Gain/(Loss)	Long Term Gain/(Loss)
400	AT & T Inc Com	1/26/2012	10/11/2012	14605 62	11,790 38	2,815 24	
1,260	Block H&R Inc	10/3/2012	2/6/2013	29933 76	21,792 06	8,141 70	
905	Block H&R Inc	10/3/2012	3/8/2013	24544 27	15,652 23	8,892 04	
2,445	CA Inc Com	6/6/2012	10/31/2012	55485 45	62,353 25	-6,867 80	
200	Cliffs Nat Res INC	9/11/2012	10/26/2012	7318 32	6,875 69	442 63	
935	Cliffs Nat Res INC	8/24/2012	10/26/2012	34213 15	36,257 90	-2,044 75	
815	Cliffs Nat Res INC	8/14/2012	10/26/2012	29822 16	34,195 16	-4,373 00	
940	Enbridge Energy	9/6/2012	1/13/2013	27060 78	26,601 87	458 91	
400	Freeport McMoran Copper & Gold Inc	5/31/2012	1/18/2013	13496 97	12,810 79	686 18	
685	Heinz H J Co(cancel/resold)	6/22/2012	2/14/2013	49671 74	36,722 58	12,949 16	
175	Kimberly Clark Corp	1/25/2012	8/22/2012	14666 44	12,554 75	2,111 69	
375	Lyondellbasell (cancel/resolded)	9/5/2012	1/25/2013	23403 75	17,949 82	5,453 93	
560	Meredith Corp	11/2/2011	9/14/2012	20878 74	15,079 49	5,799 25	
625	Phillips 66	5/1/2012	5/7/2012	19985 23	19,518 90	466 33	
915	Plum Creek Timber Co (cancel/resold)	2/10/2012	2/1/2013	44633 08	36,192 72	8,440 36	
2,255	RPM INTL INC (Formerly RPM INC)	7/29/2011	6/19/2012	59457 99	47,950 80	11,507 19	
803	Valley Natl Bancorp	5/2/2011	4/27/2012	10162 82	10,989 80	-826 98	
158	Rayonier Inc	10/6/2011	9/27/2012	7690 81	5,900 77	1,790 04	
				<u>487,031 08</u>	<u>431,188 96</u>	<u>55,842 12</u>	

Himan Brocharitable Trust
 EIN 27-6669056
 Attachment to Form 990-PF, Part IV
 Fidelity Investment
 A/C 647-275492

Y/E March 31, 2013

Quantity	Description	Date Acquired	Date Sold	Sales Proceeds	Tax Cost	Short Term Gain/(Loss)	Long Term Gain/(Loss)
200	Abbott Laboratories	12/15/2010	6/18/2012	12,523 33	9,609 20		2,914 13
295	Abbott Laboratories	1/31/2011	6/18/2012	18,471 90	13,872 41		4,599 50
720	Abbott Laboratories	1/31/2011	8/29/2012	47,374 97	33,858 08		13,516 89
510	Abbott Laboratories	1/31/2011	10/17/2012	35,284 12	23,982 81		11,301 31
315	AT & T Inc Com	12/9/2010	5/31/2012	10,787 45	9,069 81		1,717 64
295	AT & T Inc Com	12/10/2010	5/31/2012	10,102 54	8,500 68		1,601 86
700	AT & T Inc Com	12/15/2010	5/31/2012	23,972 12	20,531 18		3,440 94
330	AT & T Inc Com	12/15/2010	5/31/2012	11,301 14	9,675 17		1,625 97
270	AT & T Inc Com	12/15/2010	6/15/2012	9,688 31	7,916 05		1,772 26
100	AT & T Inc Com	12/15/2010	6/15/2012	3,588 26	2,932 00		656 26
605	AT & T Inc Com	12/20/2010	6/15/2012	21,709 00	17,685 38		4,023 62
410	AT & T Inc Com	1/27/2011	10/11/2012	14,970 77	11,438 75		3,532 02
210	Chevron Corp New	12/15/2010	4/9/2012	21,765 60	18,549 03		3,216 57
340	Chevron Corp New	3/15/2011	4/9/2012	35,239 55	33,862 60		1,376 95
582	Cincinnati Finl Corp	12/15/2010	8/22/2012	22,661 12	18,618 18		4,042 94
493	Cincinnati Finl Corp	12/15/2010	8/22/2012	19,195 76	15,776 00		3,419 76
200	Cincinnati Finl Corp	12/15/2010	9/27/2012	7,586 19	6,400 00		1,186 19
655	Cincinnati Finl Corp	3/15/2011	9/27/2012	24,844 77	21,103 60		3,741 17
530	Cinemark Hldgs Inc Com	3/15/2011	2/7/2013	15,104 13	9,990 70		5,113 43
730	Cinemark Hldgs Inc Com	3/23/2011	2/7/2013	20,803 79	13,864 61		6,939 18
1,225	Conagra Foods Inc	2/25/2011	12/19/2012	36,895 28	28,188 25		8,707 03
610	Conagra Foods Inc	3/10/2011	12/19/2012	18,372 34	14,263 83		4,108 51
780	Freeport McMoran Copper & Gold Inc	11/1/2011	12/5/2012	24,976 41	29,987 56		-5,011 15
130	Freeport McMoran Copper & Gold Inc	11/9/2011	12/5/2012	4,162 74	5,047 61		-884 87
260	Freeport McMoran Copper & Gold Inc	11/9/2011	1/18/2013	8,773 03	10,095 23		-1,322 20
385	Freeport McMoran Copper & Gold Inc	1/11/2012	1/18/2013	12,990 84	15,827 45		-2,836 61
65	Heinz H J Co	12/10/2010	8/22/2012	3,595 19	3,242 83		352 36
65	Heinz H J Co	12/10/2010	8/22/2012	3,595 19	3,240 25		354 94
100	Heinz H J Co	12/15/2010	8/22/2012	5,531 07	5,046 45		484 62
290	Heinz H J Co	12/15/2010	8/22/2012	16,040 09	14,612 43		1,427 66
590	Heinz H J Co	12/15/2010	2/19/2013	35,878 64	29,728 75		6,149 89
540	Heinz H J Co	1/7/2011	2/14/2013	39,157 28	26,211 45		12,945 83
260	Kimberly Clark Corp	12/15/2010	6/15/2012	21,470 62	16,169 37		5,301 25
315	Kimberly Clark Corp	12/15/2010	6/15/2012	26,012 49	19,582 36		6,430 13
140	Kimberly Clark Corp	12/15/2010	8/7/2012	11,424 16	8,706 58		2,717 58
240	Kimberly Clark Corp	12/20/2010	8/7/2012	19,584 27	15,156 00		4,428 27
91	Kimberly Clark Corp	12/20/2010	8/22/2012	7,626 55	5,746 30		1,880 25
100	Kimberly Clark Corp	12/20/2010	8/22/2012	8,380 82	6,322 95		2,057 87
9	Kimberly Clark Corp	12/20/2010	8/22/2012	754 27	568 35		185 92
150	Leggett & Platt Inc	12/7/2010	11/5/2012	4,087 24	3,294 30		792 94
400	Leggett & Platt Inc	12/10/2010	11/5/2012	10,899 30	8,874 43		2,024 87
90	Leggett & Platt Inc	12/15/2010	11/5/2012	2,452 34	2,025 86		426 49
670	Leggett & Platt Inc(cancel/resold)	12/15/2010	1/29/2013	19,821 72	15,028 03		4,793 69
745	Leggett & Platt Inc	12/15/2010	3/26/2013	24,726 85	16,710 28		8,016 57
580	Merck & Co Inc New	6/4/2010	7/20/2012	25,593 89	9,701 95		15,891 94
1,085	Meredith Corp (cancel sale/ resold)	11/2/2011	2/15/2013	46,173 60	29,216 52		16,957 08
475	RPM INTL INC (Formerly RPM INC)	7/29/2011	1/10/2013	14,565 30	10,100 50		4,464 80
160	RPM INTL INC (Formerly RPM INC)	8/4/2011	1/10/2013	4,906 21	3,131 34		1,774 87
1,745	RPM INTL INC (Formerly RPM INC)	8/4/2011	2/27/2013	53,491 33	34,151 17		19,340 16
100	Unilever PLC ADS-EA Repr 1	12/10/2010	8/9/2012	3,572 17	3,004 00		568 17
100	Unilever PLC ADS-EA Repr 1	12/10/2010	8/9/2012	3,572 17	3,011 45		560 72
200	Unilever PLC ADS-EA Repr 1	12/15/2010	8/9/2012	7,144 34	6,115 91		1,028 43
100	Unilever PLC ADS-EA Repr 1	12/15/2010	8/9/2012	3,572 17	3,053 50		518 67
200	Unilever PLC ADS-EA Repr 1	12/15/2010	2/26/2012	7,806 70	6,108 00		1,698 70
385	Unilever PLC ADS-EA Repr 1	12/15/2010	8/9/2012	13,752 87	11,756 51		1,996 36
605	Unilever PLC ADS-EA Repr 1	1/25/2011	2/26/2013	23,615 28	17,979 58		5,635 70
115	Unilever PLC ADS-EA Repr 1	7/8/2011	2/26/2013	4,488 85	3,809 91		678 94
25	Unilever PLC ADS-EA Repr 1	7/8/2011	2/26/2013	975 84	828 24		147 60
2,022	Valley Natl Bancorp	1/20/2011	4/27/2012	25,601 68	27,908 29		-2,306 61
2,825	Valley Natl Bancorp	5/2/2011	5/8/2012	34,339 89	38,674 79		-4,334 90
845	Rayonier Inc	12/15/2010	8/24/2012	40,704 08	29,170 14		11,533 94
542	Rayonier Inc	12/15/2010	9/27/2012	26,382 42	18,710 31		7,672 11
692	Rayonier Inc	10/6/2011	1/14/2013	37,248 84	25,843 89		11,404 95

1,131,691 18 893,189 15

238,502 03

Total

1,618,722 26 1,324,378 11

55,842 12 238,502 03

Himan Brown Charitable Trust
EIN#27-6669056
Attachment to Form 990-PF, Part IV
Fidelity Investment
A/C 647-275506
March 31, 2013

Quantity	Description	Date Acquired	Date Sold	Sales Proceeds	Tax Cost	Short Term Gain/(Loss)	Long Term Gain/(Loss)
1,030	Abbvie Inc Com	9/5/2012	1/9/2013	34,849 23	35,065 22	-215 99	
656	Abbvie Inc Com	8/2/2012	1/9/2013	22,195 23	22,613 95	-418 72	
2,380	Microsoft Corp	9/5/2012	1/15/2013	64,668 39	72,095 29	-7,426 90	
987	Microsoft Corp	8/24/2012	1/15/2013	26,818 36	30,486 02	-3,667 66	
				<u>148,531 21</u>	<u>160,260 49</u>	<u>-11,729 28</u>	
1,209	Abbvie Inc Com	11/21/2011	1/9/2013	40,905 55	34,025 41		6,880 14
651	Abbvie Inc Com	11/25/2011	1/9/2013	22,026 06	17,744 27		4,281 79
578	Accentru PLC Cls A	12/3/2010	12/21/2012	39,130 50	25,564 92		13,565 58
286	Apple Inc	12/6/2010	1/25/2013	127,629 90	91,623 01		36,006 89
430	C H Robinson Worldwide Inc Com New	12/3/2010	9/14/2012	24,691 36	32,681 22		-7,989 86
284	C H Robinson Worldwide Inc Com New	12/6/2010	9/14/2012	16,307 79	21,585 85		-5,278 06
2	Coach Inc	12/3/2010	7/31/2012	114 61	112 43		2 18
633	Coach Inc	12/6/2010	7/31/2012	36,273 55	35,504 65		768 90
672	Coach Inc	12/6/2010	7/31/2012	33,092 80	37,692 14		-4,599 34
267	Coach Inc	12/10/2010	7/31/2012	13,148 48	15,301 36		-2,152 88
518	Coach Inc	1/26/2011	7/31/2012	25,509 03	28,005 10		-2,496 07
58	Intuitive Surgical Inc	4/15/2011	3/7/2013	29,809 36	21,148 50		8,660 86
79	Intuitive Surgical Inc	6/8/2011	3/7/2013	40,602 40	27,157 78		13,444 62
1,260	Microsoft Corp	12/3/2010	1/15/2013	34,236 21	33,945 51		290 70
2,760	Microsoft Corp	12/6/2010	1/15/2013	74,993 59	74,031 15		962 44
571	Microsoft Corp	12/10/2010	1/15/2013	15,514 98	15,624 17		-109 19
1,076	Oracle Corporation	12/3/2010	12/19/2012	36,801 50	30,925 97		5,875 53
472	Vanan Medical Sys Inc	12/6/2010	5/16/2012	29,879 18	32,623 92		-2,744 74
				<u>640,666 85</u>	<u>575,297 35</u>		<u>65,369 50</u>
Total				<u>789,198 06</u>	<u>735,557 84</u>	<u>-11,729 28</u>	<u>65,369 50</u>

Himan Brown Charitable Trust
 EIN#27-6669056
 Attachment to Form 990-PF, Part IV
 Fidelity Investment
 A/C 648-211311
 March 31, 2013

Quantity	Description	Maturity Date	Date Acquired	Date Sold	Sales Proceeds	Beg Inventory	Short Term Gain/(Loss)	Long Term Gain/(Loss)
100,000	Virgina College Bldg Auth Va	2/1/2013	8/29/2011	6/7/2012	102,028 00	104,648 67	-2,620 67	
25,000	Wentzville Mo SCH DIST	3/1/2013	9/23/2011	8/30/2012	25,065 00	25,471 92	-406 92	
75,000	Montana St Coal Severance Tax Coal	12/1/2013	9/27/2011	8/30/2012	75,110 00	75,914 00	-804 00	
70,000	Indiana BD BK REV SCH Severance	7/15/2014	9/1/2011	8/30/2012	74,734 60	77,002 20	-2,267 60	
75,000	Yale University MTN	10/15/2014	9/8/2011	8/30/2012	78,725 75	79,876 25	-1,150 50	
75,000	Toyota MTR CRD Corp	11/17/2014	1/31/2012	8/30/2012	75,952 25	75,819 34	132 91	
60,000	Ohio St WTR Dev Auth	12/1/2014	2/23/2012	8/30/2012	59,861 60	60,005 00	-143 40	
140,000	Montgomery CNTY	5/1/2015	9/2/2011	6/15/2012	141,982 20	141,410 00	572 20	
75,000	Farmers Branch TEX Taxable REF BDS	11/1/2015	9/8/2011	8/30/2012	75,740 00	75,005 00	735 00	
75,000	Stamford Conn Go	8/1/2017	8/31/2011	8/30/2012	83,530 25	82,875 50	654 75	
70,000	Colorado Springs UTILS REV	11/15/2017	9/8/2011	8/30/2012	74,098 30	73,268 23	830 07	
75,000	Michigan ST GO BDS SER	11/1/2018	9/1/2011	8/30/2012	83,282 00	79,269 50	4,012 50	
75,000	Berks CNTY PA	11/15/2018	9/6/2011	8/30/2012	81,341 75	78,927 50	2,414 25	
75,000	New Mexico Fin Auth	6/1/2019	9/6/2011	8/30/2012	84,185 00	82,481 75	1,703 25	
70,000	Monroe CNTY NY WTR	8/1/2019	9/1/2011	8/30/2012	80,549 50	78,812 23	1,737 27	
80,000	Chesapeake VA GO BDS	12/1/2019	9/7/2011	8/30/2012	87,709 20	85,800 20	1,909 00	
75,000	Colorado River Tex	1/1/2021	12/22/2011	8/30/2012	74,629 25	75,005 00	-375 75	
75,000	Colorado River Tex	1/1/2021	12/22/2011	12/7/2012	81,165 50	75,005 00	6,160 50	
25,000	Richmond VA GO PUB IMPT BDS	7/15/2022	6/14/2012	8/30/2012	24,759 00	25,001 79	-242 79	
100,000	Federal Home Loan Banks	11/5/2012	9/27/2011	4/16/2012	100,841 00	101,644 71	-803 71	
150,000	Bank Nova Scotia Halifax	1/22/2013	9/8/2011	8/16/2012	151,145 00	153,266 50	-2,121 50	
75,000	Federal Home Loan Banks	8/15/2013	8/30/2011	8/28/2012	75,419 00	75,857 75	-438 75	
75,000	Stryker Corp,SR NT	1/15/2015	9/14/2011	8/30/2012	79,038 50	78,943 25	95 25	
60,000	Sysco Corp	6/12/2015	6/6/2012	8/30/2012	59,905 40	59,596 20	309 20	
65,000	Sysco Corp	6/12/2015	6/6/2012	12/7/2012	64,910 70	64,562 55	348 15	
55,000	Federal Home Loan Banks	12/29/2015	9/7/2011	8/28/2012	57,229 60	56,844 20	385 40	
70,000	JP Morgan Chase	8/15/2017	8/20/2012	8/30/2012	69,993 50	69,879 70	113 80	
50,000	Federal Home Loan Banks	3/18/2020	4/13/2012	8/28/2012	54,576 50	53,993 50	583 00	
75,000	Becton Dickinson & Co	11/8/2021	11/8/2011	8/30/2012	79,646 00	75,299 75	4,346 25	
					<u>2,257,154 35</u>	<u>2,241,487 18</u>	<u>15,667 17</u>	
50,000	Virgina College Bldg Auth Va	2/1/2013	8/29/2011	8/30/2012	50,649 50	52,324 33	-1,674 83	
125,000	Wentzville Mo SCH DIST	3/1/2013	9/23/2011	12/7/2012	125,387 50	127,359 58	-1,972 08	
18,000	Yale University MTN	10/15/2014	9/8/2011	3/15/2013	18,732 86	19,170 30	-437 44	
75,000	New York ST Urban Dev Corp	12/15/2014	8/23/2011	8/30/2012	77,657 75	78,556 25	-898 50	
75,000	New York NY City Transitional Fin	5/1/2015	8/26/2011	8/30/2012	75,400 25	75,005 00	395 25	
75,000	Glendale Heights ILL Go Corp	12/15/2016	8/24/2011	8/30/2012	81,615 50	82,034 00	-418 50	
75,000	Phoenix Anz Civi IMPT Corp Exercise Tax	7/1/2017	8/22/2011	8/30/2012	81,598 25	78,755 00	2,843 25	
75,000	Colorado Springs UTILS REV	11/15/2017	9/8/2011	12/7/2012	80,708 75	78,501 67	2,207 08	
75,000	New Mexico Fin Auth	6/1/2019	9/6/2011	12/7/2012	85,958 75	82,481 75	3,477 00	
75,000	Travis CNTY TEX Health Care District	3/1/2020	8/23/2011	8/30/2012	79,679 00	75,005 00	4,674 00	
75,000	Austin TEX PUB IMPT BDS Series	9/1/2021	8/26/2011	8/30/2012	84,228 50	79,474 25	4,754 25	
75,000	Federal Home Loan Banks	8/15/2013	8/30/2011	12/5/2012	75,397 25	75,857 75	-460 50	
75,000	Coca Cola Co NT	11/15/2015	8/22/2011	8/30/2012	77,087 75	76,205 00	882 75	
75,000	Coca Cola Co NT	11/15/2015	8/22/2011	10/22/2012	77,339 75	76,205 00	1,134 75	
55,000	Federal Home Loan Banks	12/29/2015	9/7/2011	12/5/2012	57,066 25	56,844 20	222 05	
75,000	Federal Home Loan Banks	9/6/2016	8/24/2011	8/28/2012	76,877 00	75,005 00	1,872 00	
75,000	Federal Home Loan Banks	9/15/2016	8/23/2011	8/28/2012	76,712 00	75,005 00	1,707 00	
75,000	Federal Farm CR BKS CONS	9/19/2018	9/9/2011	9/19/2018	76,177 25	75,005 00	1,172 25	
					<u>1,358,273 86</u>	<u>1,338,794 08</u>	<u>19,479 78</u>	
Total					<u>3,615,428 21</u>	<u>3,580,281 26</u>	<u>15,667 17</u>	<u>19,479 78</u>

Himan Brown Charitable Trust
EIN#27-6669056
Attachment to Form 990-PF, Part IV
Fidelity Investment
A/C 648-715824

March 31, 2013

Quantity	Description	Date Acquired	Date Sold	Sales Proceeds	Tax Cost	Short Term Gain/(Loss)	Long Term Gain/(Loss)
950	Kimball Intl Inc	2/1/2012	8/27/2012	10,760 97	6,078 45	4,682 52	
940	Kimball Intl Inc	2/3/2012	8/27/2012	10,647 70	6,117 95	4,529 75	
260	Modelez Intl Inc (name changed from Kra	9/7/2012	10/9/2012	7,252 30	7,009 27	243 03	
270	Modelez Intl Inc (name changed from Kra	9/6/2012	10/9/2012	7,531 24	7,294 74	236 50	
270	Modelez Intl Inc (name changed from Kra	2/1/2012	10/9/2012	7,531 24	6,767 34	763 90	
260	Modelez Intl Inc (name changed from Kra	2/3/2012	10/9/2012	7,252 30	6,601 21	651 09	
0 333	Kraft Foods Group	2/1/2012	10/5/2012	15 52	13 27	2 25	
130	Lockheed Martin Corp	9/7/2012	1/28/2013	11,874 59	11,726 67	147 92	
160	Lockheed Martin Corp	9/6/2012	1/28/2013	14,614 87	14,585 23	29 64	
120	Lockheed Martin Corp	2/1/2012	1/28/2013	10,961 15	10,040 91	920 24	
120	Lockheed Martin Corp	2/3/2012	1/28/2013	10,961 15	10,110 05	851 10	
290	Merck & Co Inc	9/7/2012	3/13/2013	12,541 12	12,488 59	52 53	
300	Merck & Co Inc	9/6/2012	3/13/2013	12,973 57	12,957 66	15 91	
210	Raytheon Co	9/7/2012	1/28/2013	11,617 10	11,778 66	-161 56	
200	Raytheon Co	9/6/2012	1/28/2013	11,063 90	11,277 41	-213 51	
220	Raytheon Co	2/1/2012	1/28/2013	12,170 29	10,761 44	1,408 85	
220	Raytheon Co	2/3/2012	1/28/2013	12,170 29	10,765 95	1,404 34	
660	Telecom Corp of New Zealand	2/1/2012	6/22/2012	6,362 61	5,892 84	469 77	
650	Telecom Corp of New Zealand	2/3/2012	6/22/2012	6,266 20	5,908 13	358 07	
450	Telefonica Brasil	9/7/2012	2/22/2013	10,932 77	9,681 83	1,250 94	
490	Telefonica Brasil	9/6/2012	2/22/2013	11,904 58	10,487 24	1,417 34	
820	Windstream Corp	9/7/2012	2/22/2013	6,936 87	8,165 06	-1,228 19	
880	Windstream Corp	9/6/2012	2/22/2013	7,444 45	8,674 63	-1,230 18	
1,560	Chimera Invt Corp	2/1/2012	8/21/2012	3,740 38	4,743 33	-1,002 95	
1,540	Chimera Invt Corp	2/3/2012	8/21/2012	3,692 43	4,835 85	-1,143 42	
220	Plum Creek Timber Co Inc	2/1/2012	8/21/2012	8,924 31	8,545 27	379 04	
220	Plum Creek Timber Co Inc	2/3/2012	8/21/2012	8,924 30	8,675 07	249 23	
				<u>247,068 20</u>	<u>231,984 05</u>	<u>15,084 15</u>	
295	Merck & Co Inc	2/1/2012	3/13/2013	12,757 34	11,438 17		1,319 17
285	Merck & Co Inc	2/3/2012	3/13/2013	12,324 89	10,919 60		1,405 29
440	Telefonica Brasil	2/1/2012	2/22/2013	10,689 83	12,198 90		-1,509 07
430	Telefonica Brasil	2/3/2012	2/22/2013	10,446 88	11,982 12		-1,535 24
810	Windstream Corp	2/1/2012	2/22/2013	6,852 28	9,936 93		-3,084 65
790	Windstream Corp	2/3/2012	2/22/2013	6,683 08	9,747 39		-3,064 31
				<u>59,754 30</u>	<u>66,223 11</u>		<u>-6,468 81</u>
Total				<u>306,822 50</u>	<u>298,207 16</u>	<u>15,084 15</u>	<u>-6,468 81</u>

Morgan Stanley Smith Barney

CLIENT STATEMENT | For the Period: July 1-31, 2012

ACTIVITY

SECURITIES ACCOUNT
RICHARD J. KAY, FTE
FBO HUMAN BROWN CHARTABLE TR

INTERESTED PARTY COPY

REALIZED GAIN/LOSS DETAIL

LONG TERM GAIN/LOSS

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig./Adj. Total Cost	Realized Gain/Loss	Comments
AMERICAN TOWER REIT COM	12/22/10	07/10/12	22,000	\$1,892.49	\$1,371.82	\$520.67	
	01/20/11	07/10/12	53,000	3,714.90	2,670.56	1,044.34	
ANNALY CAPITAL MGMT INC	03/04/11	07/17/12	155,000	2,658.30	2,769.85	(111.55)	
	03/04/11	07/25/12	60,000	1,036.17	1,072.20	(36.03)	
	03/04/11	07/30/12	110,000	1,902.97	1,965.70	(62.73)	
ANYSYS INC	01/20/11	07/19/12	36,000	2,095.44	1,886.76	209.68	
CANON INC-ADR NEW	12/22/10	07/31/12	802,000	26,846.67	40,734.06	(13,887.39)	
	01/20/11	07/31/12	478,000	16,000.88	23,769.22	(7,768.34)	
	03/04/11	07/31/12	294,000	9,841.55	13,928.69	(4,087.14)	
CF INDUSTRIES HOLDINGS INC	03/04/11	07/10/12	4,000	764.18	539.48	224.70	

CONTINUED

CLIENT STATEMENT | For the Period July 1-31, 2012

Activity
Select UMA/Active Assets Account
677-316537-693
RICHARD LUKATEE
FRANKMAN BROWN CHARITABLE TR

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig./Adj. Total Cost	Realized Gain/(Loss)	Comments
CROWN HLDGS INC (HOLDING CO)	05/31/11	07/10/12	17,000	3,247.79	2,599.13	648.66	
	01/20/13	07/24/12	39,000	1,363.62	1,284.56	69.06	
	03/04/11	07/24/12	46,000	1,596.67	1,285.09	(188.52)	
DONNELLEY R & SONS CO	05/01/11	07/19/12	1,822,000	20,952.59	29,952.59	(8,359.30)	
DR PERRIER SNAPPLE GROUP INC	05/03/11	07/30/12	61,000	2,775.26	2,465.01	310.25	
EMC CORP MASS	01/20/11	07/16/12	120,000	2,808.60	2,859.35	(50.75)	
ERSTE GROUP BANK AG SPONS ADR	12/22/10	07/18/12	1,437,000	13,015.62	33,194.70	(20,179.08)	
	01/20/11	07/18/12	1,183,000	10,715.02	29,338.40	(18,623.38)	
	03/04/11	07/18/12	973,000	8,812.94	24,808.80	(15,995.86)	
GENTEX CORP	01/20/11	07/25/12	48,000	718.77	1,473.60	(754.83)	
	03/10/11	07/25/12	99,000	1,482.46	2,920.08	(1,437.62)	
	03/04/11	07/25/12	46,000	888.82	1,378.38	(639.56)	
HCC INSURANCE HLDGS INC	01/20/11	07/09/12	12,000	376.36	356.25	20.11	
HUMAN GENOME SCIENCES INC	12/22/10	07/17/12	193,000	2,740.54	4,755.68	(2,015.14)	
	01/20/11	07/17/12	247,000	3,607.32	6,276.33	(2,719.01)	
	03/04/11	07/17/12	111,000	1,576.16	2,856.92	(1,280.76)	
IMPAX LABORATORIES INC	04/26/11	07/17/12	74,000	1,050.78	2,145.44	(1,095.66)	
	05/24/11	07/17/12	165,000	2,342.95	4,413.64	(2,070.59)	
	01/14/11	07/17/12	22,000	485.67	485.55	0.12	
	01/20/11	07/17/12	41,000	905.11	907.33	(2.22)	
	02/24/11	07/17/12	12,000	264.91	245.93	18.98	
	03/04/11	07/17/12	79,000	1,855.69	1,653.45	224	
KIMBERLY CLARK CORP	03/01/11	07/17/12	291,000	24,956.67	19,080.26	5,876.41	
	03/01/11	07/17/12	31,000	2,658.61	2,032.60	626.01	
	03/01/11	07/17/12	2,000	171.52	151.24	20.28	
LAS VEGAS SANDS CORPORATION	02/17/11	07/26/12	43,000	1,523.02	2,106.45	(583.43) W	
	03/10/11	07/26/12	53,000	1,873.60	2,310.70	(437.10)	
	03/01/11	07/26/12	42,000	1,487.61	1,880.32	(392.71)	
	03/01/11	07/26/12	19,000	671.67	850.62	(178.95)	
	03/01/11	07/26/12	11,000	388.85	479.56	(90.71) W	
MASSMART HLDGS LTD UNSP ADR	01/29/11	07/10/12	59,540	2,923.30	2,853.65	69.65	
	02/28/11	07/10/12	33,775	1,419.82	1,410.50	9.32	
	03/04/11	07/10/12	84,683	3,559.88	3,526.23	33.65	
MEADWESTVACO CORP	03/01/11	07/13/12	147,000	4,210.32	3,749.73	460.59	

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Morgan Stanley Smith Barney

CLIENT STATEMENT: For the Period July 1, 2012

Activity

RICHARD J. KATZ
JEBO HIMAN BROWN CHARITABLE TR

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL

LONG TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
MERCK & CO INC NEW COM	03/05/11	07/13/12	62,000	1,775.78	1,581.52	194.26	
	03/01/11	07/13/12	11,000	28.64	25.49	3.15	
	03/01/11	07/25/12	55,000	1,551.09	1,402.96	148.13	
	03/01/11	07/25/12	150,000	1,410.08	1,275.42	134.66	
	12/22/10	07/19/12	180,000	7,898.75	6,524.64	1,374.11	
NEMEN INT	12/22/10	07/19/12	53,000	2,325.73	1,921.14	404.59	
	12/22/10	07/19/12	2,000	87.26	72.50	15.26	
	01/19/11	07/25/12	446,000	11,552.12	10,843.38	708.74	
	01/20/11	07/25/12	889,000	23,926.54	21,546.07	2,380.47	
	03/01/11	07/25/12	280,000	6,475.41	6,765.75	(290.34)	
NEXTERA ENERGY INC COM	03/01/11	07/31/12	11,000	279.61	297.69	(18.08)	
	03/01/11	07/31/12	888,000	22,496.20	24,008.72	(1,512.52)	
	04/29/11	07/31/12	564,000	14,336.56	14,575.28	(238.72)	
	05/03/11	07/19/12	705,000	17,920.70	18,581.47	(660.77)	
	03/01/11	07/17/12	224,000	15,588.48	12,142.57	3,445.91	
NORTHEAST UTILITIES	03/01/11	07/27/12	165,000	6,591.55	5,571.65	1,019.90	
	03/04/11	07/27/12	195,000	7,790.01	6,569.16	1,220.85	
	03/01/11	07/20/12	946,000	7,370.83	13,534.29	(6,163.42)	
	03/04/11	07/10/12	142,000	1,916.98	3,499.91	(1,582.93)	
	03/24/11	07/10/12	492,000	9,337.98	10,746.21	(1,408.23)	
PT PERUSAHAAN GAS NEGARA PERSE	05/01/11	07/19/12	288,000	5,466.12	7,150.38	(1,684.26)	
	05/03/11	07/19/12	250,000	5,504.08	6,911.34	(1,407.26)	
	05/03/11	07/10/12	440,000	8,351.00	10,274.75	(1,923.75)	
	03/31/11	07/15/12	47,000	2,982.44	2,556.05	426.39	
	12/22/10	07/17/12	375,000	28,155.33	18,933.75	9,221.58	
ROLLS ROYCE HOLDINGS PLC	01/20/11	07/17/12	205,000	13,751.58	10,360.70	3,390.88	
	03/01/11	07/17/12	74,000	4,963.99	3,581.50	1,382.49	
	03/01/11	07/17/12	205,000	13,751.58	10,066.00	3,685.58	
	03/01/11	07/19/12	33,000	3,190.74	2,745.93	444.81	
	03/01/11	07/17/12	113,000	15,256.08	38,044.53	(22,788.45)	
STEVEN MADDEN LTD	05/02/11	07/19/12	75,500	2,901.68	2,616.83	284.85	
	05/11/11	07/25/12	12,500	480.41	455.20	25.21	
	12/22/10	07/30/12	99,000	1,501.40	1,556.88	(55.48)	
	12/22/10	07/30/12	59,000	918.61	956.84	(38.23)	

CONTINUED

CLIENT STATEMENT | For the Period July 1, 2011 - June 30, 2012

RICHARD L. KAY, FEE
FBO HUMAN BROWN CHARITABLE TR

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL
LONG-TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Price	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
THE MOSAIC CO. (HOLD CORP NEW)							
	12/22/10	07/30/12	42,000	653.92	702.49	(48.57)	
	12/22/10	07/30/12	3,000	46.71	50.17	(3.46)	
	01/20/11	07/30/12	73,000	1,136.58	1,304.50	(167.92)	W
	01/20/11	07/30/12	14,000	217.97	250.18	(32.21)	W
	05/21/11	07/24/12	5,000	284.03	319.46	(35.43)	
	06/22/11	07/24/12	108,000	6,134.95	6,980.64	(845.69)	
	06/28/11	07/24/12	25,000	1,420.12	1,625.69	(205.57)	
	03/01/11	07/19/12	25,000	1,086.83	1,209.67	(122.84)	
TRIMBLE NAVI LTD	05/02/11	07/19/12	46,000	2,491.42	2,294.84	196.58	
UNITEDHEALTH GRP INC	05/06/11	07/19/12	13,000	6,445.20	3,953.81	2,491.39	
	05/06/11	07/25/12	20,000	1,085.09	1,000.64	84.45	
	05/27/11	07/25/12	50,000	2,587.72	2,534.07	53.65	
	06/02/11	07/25/12	70,000	3,622.80	3,437.60	185.20	
VERISIGN	01/28/11	07/10/12	7,000	301.93	216.80	85.13	
	02/25/11	07/10/12	121,000	5,219.00	4,021.87	1,197.13	
WAL MART STORES INC	01/20/11	07/16/12	27,000	1,964.60	1,515.69	448.91	
	01/20/11	07/16/12	21,000	1,527.95	1,178.88	349.07	
	01/20/11	07/16/12	2,000	145.52	112.28	33.24	
	03/01/11	07/16/12	59,000	4,292.81	3,073.15	1,219.66	
	03/01/11	07/16/12	45,000	3,274.18	2,343.93	930.25	
	03/01/11	07/16/12	91,000	6,621.12	4,712.29	1,908.83	
WHITING PETROLEUM CORP	06/03/11	07/23/12	82,000	3,393.24	8,370.68	(4,977.44)	
WILUS GROUP HOLDINGS PLC	12/22/10	07/19/12	47,000	1,748.32	1,617.18	131.14	
	12/22/10	07/19/12	9,000	114.60	103.20	11.40	
WINDSTREAM CORP	12/22/10	07/26/12	240,000	2,260.74	3,411.96	(1,151.22)	
	12/22/10	07/26/12	70,000	659.38	995.16	(335.78)	
Long Term Total Period				\$518,843.19	\$622,956.20	\$104,113.01	

W = Wash Sales = \$3470.55
Total = \$(102,642.46) LT

CLIENT STATEMENT For the Period July 1-31, 2012

Select Investment Account RICHARD J. KAYTIEE
FBO: HUMAN BROWN CHARITABLE TR
67751 6637693

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL

SHORT TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig./Adj. Total Cost	Realized Gain/(Loss)	Comments
BANCO BILBAO VIZ ARG SA ADS	09/22/11	07/25/12	1,166,000	6,262.68	8,422.02	(2,159.34)	H
	09/22/11	07/25/12	908,000	4,876.94	6,558.49	(1,681.55)	H
	09/22/11	07/25/12	901,000	4,839.34	6,507.92	(1,668.58)	H
	09/22/11	07/25/12	240,000	1,289.06	1,733.52	(444.46)	H
CANON INC ADR NEW	04/11/12	07/13/12	165,000	5,523.31	7,667.19	(2,143.88)	
CORPORATE OFFICES PRY TR INC	12/12/11	07/12/12	2,085,000	47,403.07	44,647.24	2,755.83	
DR PEPPER SHAPLE GROUP INC	08/16/11	07/30/12	23,000	1,046.41	851.23	195.18	
ERSTE GROUP BANK AG SPONS ADR	08/16/11	07/18/12	157,000	1,422.03	3,014.40	(1,592.37)	
	01/04/12	07/18/12	1,730,000	15,669.47	15,707.88	(38.41)	
	01/26/12	07/10/12	173,000	3,146.35	3,144.66	1.69	
FIDELITY NATL FIN INC NEW	02/27/12	07/10/12	4,000	76.68	70.11	6.57	
	02/27/12	07/24/12	14,000	260.81	245.39	15.42	
GREEN DOT CORP CL A	09/09/11	07/17/12	75,000	1,766.27	2,066.67	(300.40)	H
	08/09/11	07/17/12	33,000	772.16	909.34	(137.18)	
	07/31/12	07/17/12	42,000	989.11	1,182.98	(193.85)	
	07/31/12	07/23/12	45,000	332.18	1,267.48	(935.30)	
	03/08/12	07/23/12	65,000	816.29	2,639.01	(1,822.72)	
HUMAN GENOME SCIENCES INC	07/20/11	07/17/12	130,000	1,845.96	3,029.85	(1,183.89)	
	08/01/11	07/17/12	130,000	1,845.96	2,608.33	(762.37)	
	08/04/11	07/17/12	165,000	2,626.94	2,987.55	(360.61)	
	09/12/11	07/17/12	65,000	922.98	728.59	194.39	
	10/27/11	07/17/12	25,000	354.98	256.25	98.73	
	10/27/11	07/18/12	185,000	2,628.79	1,896.25	732.54	
KLA TENCOR CORP	11/14/11	07/18/12	460,000	6,536.45	4,238.49	2,297.96	
	03/13/12	07/30/12	64,000	3,281.75	3,226.14	55.61	
	03/20/12	07/30/12	14,000	717.88	729.54	(11.66)	W
LIBERTY INTERACTIVE CO INT A	02/22/12	07/23/12	178,000	3,330.87	3,216.46	114.41	
	02/27/12	07/23/12	37,000	671.59	1,690.69	(1,019.10)	
MASSMART HLDGS LTD UNSPADR	09/05/12	07/10/12	156,000	6,567.98	7,288.32	(720.34)	
MISSION WEST PRPTS INC	12/12/11	07/12/12	5,805,000	47,394.43	44,682.25	2,712.18	
NEXEN INC	12/22/11	07/17/12	275,000	6,990.34	4,287.97	2,702.37	
NRG ENERGY INC	01/20/12	07/23/12	52,000	1,028.02	842.76	185.26	
	02/08/12	07/23/12	33,000	652.39	659.98	(75.59)	
PDG REALTY SA SAO PAULO	01/18/12	07/17/12	3,540,000	10,704.27	28,581.28	(17,877.01)	

CONTINUED

CLIENT STATEMENT For the Period July 1-31, 2012

Activity

SPICE UMA ACTIVE ASSETS ACCOUNT RICHARD L KAYE TRUST
677-116637-693 FBO HUMAN BROWN CHARITABLE TR

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL
SHORT-TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
QUEST DIAGNOSTICS INC	04/13/12	07/13/12	1,119,000	3,290.68	6,456.63	(3,165.95)	
QUESTOR PHARM INC	05/01/12	07/16/12	4,000	253.82	234.18	19.64	
QUESTOR PHARM INC	05/02/12	07/10/12	3,000	140.55	137.33	3.22	
ROLLS ROYCE HOLDINGS PLC	12/22/11	07/17/12	276,000	18,514.33	15,511.17	3,003.16	
SBM OFFSHORE NV ADR	08/02/11	07/17/12	1,020,000	12,315.10	23,027.11	(10,712.01)	
SELECT COMFORT CORP	04/14/12	07/17/12	310,000	3,742.82	6,998.44	(3,255.62)	H
UNITED HEALTH GP INC	04/14/12	07/17/12	684,000	8,258.37	12,038.40	(3,780.03)	
UNITED HEALTH GP INC	05/15/12	07/19/12	110,000	3,029.73	3,029.07	0.66	
UNITED HEALTH GP INC	07/27/11	07/25/12	20,000	1,035.09	1,009.80	25.29	H
UNITED HEALTH GP INC	07/27/11	07/25/12	10,000	517.54	504.90	12.64	H
WESTERN DIGITAL CORPORATION	11/09/11	07/30/12	53,000	2,130.07	1,411.63	718.44	
WHITING PETROLEUM CORP	08/16/11	07/23/12	11,000	455.19	537.09	(81.90)	
WHITING PETROLEUM CORP	09/07/11	07/23/12	19,000	785.24	867.92	(81.68)	
WISCONSIN ENERGY CORP	07/28/12	07/23/12	50,000	2,482.86	2,885.64	(402.78)	
WISCONSIN ENERGY CORP	08/19/11	07/17/12	50,000	2,041.33	1,442.07	599.26	
WISCONSIN ENERGY CORP	08/10/11	07/19/12	35,000	1,428.93	1,009.45	419.48	
Short-Term Total Period				255,191.37	293,477.02	(38,285.65)	

W = Wash Sales = \$ 11.66
Total = \$(38,283.99) ST

CLIENT STATEMENT For the Period August 1-31, 2012

IS (Select UMA/Asset Account) RICHARD L. KAY, TR
677-131637-693 FBO HUMAN BROWN CHARITABLE TR

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL
LONG-TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Sale Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
ABBOTT LABORATORIES	12/22/10	08/03/12	28,000	\$1,883.26	\$1,341.88	\$541.38	
	12/22/10	08/03/12	23,000	1,546.97	1,102.26	444.71	
	12/22/10	08/03/12	2,000	134.52	95.88	38.64	
	12/22/10	08/03/12	2,000	134.52	95.83	38.69	
ALUSTEITE CORP	03/01/11	08/14/12	108,000	4,081.25	3,410.42	670.83	
	03/01/11	08/14/12	36,000	3,627.77	3,031.49	596.28	
	03/01/11	08/14/12	34,000	1,284.84	1,073.55	211.19	
	03/01/11	08/14/12	2,000	75.57	63.16	12.41	
AMEREN CORP (HLDG CO)	03/01/11	08/21/12	665,000	22,081.33	18,287.50	3,803.83	
	03/01/11	08/21/12	293,000	9,733.48	8,124.36	1,609.12	
	01/20/11	08/21/12	4,000	211.71	196.32	15.39	
AMPLCIS CL A	02/03/11	08/23/12	58,000	3,089.74	2,846.64	243.10	
	03/01/11	08/21/12	5,000	284.63	249.40	35.23	
BAIDU INC ADS	03/04/11	08/23/12	21,000	2,325.29	2,577.61	(252.32) W	
	06/05/11	08/23/12	17,000	1,882.39	2,159.61	(277.22)	
	05/06/11	08/23/12	5,000	553.63	655.17	(101.54) W	
CHEVRON CORP	01/20/11	08/01/12	22,000	2,434.02	2,034.25	399.77	
	01/20/11	08/01/12	18,000	1,931.65	1,664.39	267.26	
	01/20/11	08/01/12	3,000	331.91	277.41	54.50	
	01/20/11	08/01/12	2,000	221.30	184.92	36.38	
CHIPOTLE MEXICAN GRILL INC COM	03/01/11	08/30/12	15,000	4,373.66	3,610.20	763.46	
CIELO SA SPONSORED ADR NEW	01/20/11	08/10/12	522,000	15,819.42	8,980.39	7,239.03	
COMCAST CORP CL A SPECIAL NEW	03/07/11	08/15/12	263,000	8,766.94	6,348.59	2,408.35	
COMMERCIAL INTL BNK LTD SP ADR	12/22/10	08/15/12	5,395,000	15,246.03	27,080.00	(11,833.97)	
	01/20/11	08/15/12	510,000	2,287.04	3,585.30	(1,298.26)	
COMPANHIA ENERGI DE MIN SP ADR	01/20/11	08/05/12	763,777	15,155.74	13,345.01	1,810.73	
	02/28/11	08/05/12	211,222	4,083.05	2,835.94	1,247.11	
	03/04/11	08/06/12	585,000	11,319.52	10,357.84	961.68	
CONCHO RES INC	04/11/11	08/30/12	9,000	795.25	924.35	(129.10)	
	04/11/11	08/30/12	1,000	88.35	102.71	(14.36)	
DICKS SPORTING GOODS INC	01/20/11	08/30/12	58,000	3,633.55	2,383.93	1,249.62	
DONNELLEY R R & SONS CO	03/01/11	08/16/12	736,000	9,172.26	13,319.10	(4,146.84)	
	03/01/11	08/16/12	90,000	1,121.61	1,628.69	(507.08)	
	03/01/11	08/16/12	32,000	398.79	579.09	(180.30)	

CONTINUED

CLIENT STATEMENT For the Period August 1-31, 2012

Activity
Select: UPMAX Active Assets Account
577316537593
RICHARD L KAYETEE
FBO HIMAN BROWN CHARITABLE TR

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

LONG-TERM GAIN/(LOSS) (CONTINUED)

Security/Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig/Adj Total Cost	Realized Gain/(Loss)	Comments
EATON CORPORATION	03/01/11	08/16/12	1,000	12.46	17.97	(5.51)	
	03/04/11	08/16/12	211,000	2,629.55	3,890.42	(1,260.87)	
	06/20/11	08/16/12	21,000	988.24	988.22	(19.98) W	
	06/20/11	08/16/12	7,000	322.75	329.41	(6.66) W	
	06/20/11	08/16/12	1,000	46.11	47.05	(0.94) W	
	06/21/11	08/16/12	88,000	3,957.37	4,289.18	(331.81) W	
	06/23/11	08/16/12	21,000	968.24	1,023.66	(55.32)	
	06/24/11	08/16/12	20,000	3,222.15	974.85	(52.69)	
	06/21/11	08/16/12	14,000	1,645.50	682.37	(36.87) W	
	06/22/11	08/16/12	18,000	329.92	879.74	(49.82)	
GAMESTOP CORP CL A NEW	03/04/11	08/22/12	74,000	1,396.55	1,481.29	(84.73)	
	05/17/11	08/22/12	72,000	1,358.81	1,909.12	(150.31)	
HALLIBURTON CO	12/22/10	08/16/12	62,000	2,186.84	2,807.12	(320.28)	
	01/20/11	08/16/12	68,000	2,398.46	2,564.58	(266.12)	
HUDSON CITY BANCORP INC	03/07/11	08/30/12	2,421,000	17,484.55	27,353.67	(9,869.12)	
	03/04/11	08/30/12	1,135,000	8,197.01	11,212.10	(3,015.09)	
	08/15/11	08/30/12	692,000	4,997.65	4,426.03	571.62	
INDEX LABS	08/16/11	08/30/12	112,000	1,808.87	696.84	1,122.03	
	01/20/11	08/30/12	27,000	2,553.03	1,893.24	659.79	
IMPAX LABORATORIES INC	03/01/11	08/30/12	33,000	3,120.36	2,556.84	563.52	
	03/04/11	08/30/12	113,000	2,557.90	2,447.11	110.79	
	03/23/11	08/30/12	84,000	1,935.71	2,045.81	(110.10)	
LAS VEGAS SANDS CORPORATION	03/01/11	08/23/12	19,000	824.82	828.36	(3.54)	
	03/04/11	08/23/12	45,000	1,996.94	2,007.81	(10.87)	
MEDTRONIC INC	12/22/10	08/16/12	64,000	2,593.80	2,358.21	235.59	
	12/22/10	08/16/12	39,000	1,580.60	1,437.03	143.57	
	12/22/10	08/16/12	1,000	40.44	36.85	3.59	
PEPSICO INC NC	03/02/11	08/16/12	45,000	3,267.43	2,829.26	438.17	
	03/02/11	08/16/12	22,000	1,597.41	1,383.20	214.21	
	03/02/11	08/16/12	1,000	72.61	1,62.88	9.27	
	03/04/11	08/16/12	89,000	6,462.24	5,636.19	826.05	
	03/04/11	08/16/12	175,000	5,518.33	4,812.93	705.40	
PITNEY-BOWES INC	03/01/11	8/16/12	316,000	4,265.94	7,853.08	(3,587.14)	
	03/01/11	8/16/12	230,000	3,104.89	5,701.17	(2,596.28)	

CONTINUED

CLIENT STATEMENT For the Period August 1-31, 2012

ACTIVITY

SELECT INVESTMENT ADVISORS
RICHARD L. BROWN, CHARTERED FINANCIAL ADVISOR
1677 N. 6937 8937

INTERESTED PARTY COPY

REALIZED GAIN/LOSS DETAIL (CONTINUED)

LONG TERM GAIN/LOSS (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig/Adj Total Cost	Realized Gain/Loss	Comments
POWER INTEGRATIONS INC	03/04/11	08/08/12	27,000	377.22	665.48	(288.26)	
PRICELINE.COM INC NEW	03/23/11	08/08/12	698,000	9,723.80	17,587.04	(7,863.24)	
REXAM PLC ADR NEW	07/25/11	08/08/12	86,000	2,940.46	3,268.87	(328.42)	
SANDISK CORP	03/04/11	08/08/12	16,000	5,683.46	4,250.00	1,433.46	
SINGAPORE TELECOM LTD ADR NEW	12/22/10	08/23/12	696,000	23,431.70	17,991.60	5,440.10	
SYMANTEC CORP	03/20/11	08/23/12	184,000	5,194.59	4,984.32	210.27	
ULTIMATE SOFTWARE GR INC	01/20/11	08/13/12	1,000	40.82	49.82	(9.00)	
WESCO INTL INC	03/04/11	08/13/12	62,000	2,530.99	2,943.55	(412.56)	
	03/10/11	08/13/12	765,000	20,757.73	18,127.45	2,630.28	
	08/10/11	08/23/12	1,176,000	31,074.97	27,724.33	3,350.64	
	01/20/11	08/07/12	5,000	85.70	89.35	(3.65) W	
	03/04/11	08/07/12	79,000	1,354.03	1,400.40	(46.37)	
	03/04/11	08/07/12	21,000	399.93	372.26	27.67	
	03/04/11	08/07/12	2,000	34.28	35.45	(1.17) W	
	12/22/10	08/31/12	19,000	1,872.26	925.10	947.16	
	01/20/11	08/31/12	19,000	1,872.25	919.79	952.46	
	12/22/10	08/03/12	98,000	5,526.09	5,196.30	329.79	
	01/20/11	08/03/12	47,000	2,650.27	2,567.14	83.13	
	03/04/11	08/03/12	33,000	1,860.83	1,957.89	(97.06)	
	06/07/11	08/03/12	28,000	1,578.88	1,436.46	142.42	
Long Term This Period				3363,281.44	3359,345.30	3,936.14	

W = Wash Sales = \$ 777.36

Total = \$ 4713.50 LT

SHORT TERM GAIN/LOSS

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig/Adj Total Cost	Realized Gain/Loss	Comments
ANGLO-AMERICAN PLC ADR NEW	06/29/12	08/21/12	1,675,000	25,710.84	27,692.44	(1,981.60)	
APPLIED MATERIALS INC	04/11/12	08/13/12	178,000	2,095.02	2,126.68	(31.66)	
	04/11/12	08/13/12	81,000	953.34	967.75	(14.41) W	
CARNIVAL CP NEW/PAIRED CORP	04/17/12	08/31/12	82,000	955.12	992.12	(37.00)	
	02/15/12	08/29/12	196,000	4,740.39	4,101.51	638.88	

CONTINUED

CLIENT STATEMENT | For the Period August 1-31, 2012

Activity

RICHARD L. KAY, TRS.
FBO HIMAN BROWN CHARITABLE TR.

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

SHORT-TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sale Proceeds	Orig./Adj. Total Cost	Realized Gain/(Loss)	Comments
GORNERSTONE ONDEMAND INC COM	11/01/11	08/27/12	192,000	2,735,871	1,475,971	1,259,900	
CREDIT SUISSE GROUP	08/14/12	08/14/12		171,691	0.00	171,691	1 Sale of Foreign Rights
DUN & BRADSTREET CP NEW	07/27/12	08/02/12	28,000	2,944,561	2,315,441	(270,880)	
	08/27/12	08/14/12	9,000	718,061	714,251	(26,190)	
	01/31/12	08/14/12	38,000	3,031,801	3,147,961	(116,160)	
	02/07/12	08/14/12	7,000	358,491	361,871	(3,380)	
	02/07/12	08/22/12	38,000	3,994,261	3,950,151	44,110	
FACEBOOK INC CL A	05/23/12	08/02/12	188,000	3,762,001	5,987,561	(2,225,560)	
	07/29/12	08/02/12	22,000	440,231	636,901	(196,670)	

FIDELITY NATL ENL INC NEW	02/27/12	08/17/12	164,000	3,119,471	2,874,601	244,870	
FLETCOR TECHNOLOGIES	02/14/12	08/29/12	64,000	2,715,551	2,296,711	418,840	
	02/14/12	08/29/12	24,000	1,028,981	961,261	167,720	
	02/28/12	08/29/12	26,000	1,114,731	962,001	152,730	

CLIENT STATEMENT For the Period August 1-31, 2012

SELECT UMA Active Assets Account
RICHARD LAY TTEE
FBO/HIMAN BROWN CHARITABLE TR
677-116637-693

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

SHORT TERM GAIN/(LOSS) (CONTINUED)

Security/Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
HUBSON CITY BANK CORP. INC.	07/20/12	08/30/12	866,000	6,254,29	5,064.97	1,189.32	
HUMANA INC.	05/22/12	08/21/12	38,000	2,591.83	2,939.88	(348.05) W	
	05/20/12	08/21/12	9,000	613.85	718.15	(104.30) W	
	08/20/12	08/21/12	6,000	409.23	478.75	(69.53) W	
	05/20/12	08/21/12	5,000	341.04	398.97	(57.93) W	
IRG PHOTONICS CORP.	01/20/12	08/31/12	58,000	3,373.97	3,098.55	517.42	
	04/11/12	08/31/12	2,000	123.24	97.60	25.64	
IVE SOFTWARE	04/25/12	08/31/12	140,000	2,175.94	3,109.82	(933.88)	
	05/15/12	08/31/12	20,000	910.85	388.59	(777.74)	
KLA-TEK CORP.	03/20/12	08/31/12	52,000	3,263.07	3,230.82	32.25	
LIBERTY MEDIA CO. LIBERTY CAP A	01/07/12	08/29/12	36,000	3,742.97	3,036.13	706.84	
	02/16/12	08/29/12	2,000	207.94	175.00	32.94	
LINKEDIN CORP A	11/17/11	08/02/12	31,000	2,956.90	2,352.65	604.25	
	11/17/11	08/03/12	17,000	1,798.63	1,250.16	508.47	
	03/09/12	08/03/12	35,000	3,703.06	3,149.65	553.41	
	04/11/12	08/03/12	11,000	1,163.82	1,075.25	88.57	
MIDSTATES PETROLEUM CO. INC.	04/24/12	08/22/12	208,000	1,663.04	3,221.63	(1,558.59)	
NRG ENERGY INC.	02/06/12	08/23/12	147,000	3,118.32	2,494.44	623.88	
	02/08/12	08/23/12	23,000	487.90	391.85	96.05	
BITNEY-BOWES INC.	03/05/12	08/08/12	209,000	2,919.93	3,747.97	(827.44)	
	04/11/12	08/08/12	223,000	3,115.53	3,741.94	(626.41)	
PRUDENTIAL FINANCIAL INC.	11/09/11	08/16/12	65,000	3,525.66	3,367.43	158.23	
SANISK CORP.	08/16/11	08/13/12	4,000	163.29	177.95	(14.66)	
SINGAPORE TELECOM LTD. ADR NEW	04/11/12	08/29/12	330,000	8,764.73	8,121.30	643.43	
SIRIUS XM RADIO INC. COM.	05/23/12	08/29/12	645,000	1,638.26	1,244.85	393.41	
STAMATEC CORP.	08/11/11	08/07/12	139,000	2,382.42	2,331.93	50.49	
	08/11/11	08/07/12	37,000	634.17	620.73	13.44	
	01/30/12	08/07/12	25,000	428.49	424.97	3.52	
	01/30/12	08/07/12	2,000	34.28	34.00	28	
	01/30/12	08/15/12	126,000	2,269.02	2,141.85	127.16	
	01/30/12	08/15/12	39,000	702.31	662.96	39.35	
WESTLAK CHEMICAL CORP.	06/06/12	08/06/12	57,000	3,866.42	2,949.82	916.60	

CONTINUED

Morgan Stanley Smith Barney

CLIENT STATEMENT 1 For the Period August 1-31, 2012

Activity: RICHARD L. KAY, FEE: 1.00%
FBO: HUMAN BROWN CHARITABLE TR
677-916637-693

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

SHORT TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sale Proceeds	Orig./Adj. Total Cost	Realized Gain/(Loss)	Comments
Short Term This Period				128,025.20	127,017.15	1008.05	

Less Sales = 594.22
Total = 1602.27 ST

CLIENT STATEMENT For the Period September 1, 2011 to September 30, 2012

Morgan Stanley
Smith Barney

Activity

Select UMA Assets Account
677216637693

RICHARD L. KAY, JEFF
FBO: HUMAN BROWN CHARITABLE TR

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Sale Proceeds	Orig / Adj. Total Cost	Realized Gain/(Loss)	Comments
7 DAYS GROUP HOLDINGS LTD ADR	12/22/10	09/26/12	143,000	\$1,641.56	\$3,167.06	\$(1,525.50)	
	01/20/11	09/26/12	163,000	1,858.15	3,257.31	(1,399.16)	
	03/03/11	09/26/12	10,000	114.00	182.80	(68.80)	
ACTIVISION BLIZZARD INC	06/14/11	09/04/12	220,000	2,576.25	2,524.83	51.42	
	05/29/11	09/04/12	45,000	\$25.95	\$25.60	1.35	
BAIDU INC ADS	06/09/11	09/05/12	18,000	2,014.15	2,286.64	(272.48)	

CONTINUED

CLIENT STATEMENT For the Period September 1, 2011 to September 30, 2012

Account: RICHARD KAY (CEE)
FBO HUMAN BROWN CHARITABLE TR

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)
LONG-TERM GAIN/(LOSS) (CONTINUED)

Security/Description	Acquired	Sold	Quantity	Price/Bid	OHG / Adj	Realized Gain/(Loss)	Comments
CONCHO RES INC	08/16/11	09/05/12	8,000	895.18	1,086.00	(130,82)	
	04/01/11	08/30/12	9,000	798.26	928.35	(139,10) W	
	04/01/11	08/30/12	1,000	88.35	102.71	(14,36) W	
GAMESTOP CORP CL A NEW	03/17/11	09/18/12	25,000	548.73	524.00	24.73	
INTERCONTINENTAL EXCHANGE INC	01/20/11	09/05/12	1,000	136.83	115.54	21.29	
	03/01/11	09/06/12	18,000	2,162.88	2,292.30	170.58	
LINCOLN ELECT HODGS INC	03/01/11	09/06/12	3,000	410.47	382.05	28.42	
	03/01/11	09/06/12	132,000	5,519.12	4,603.37	915.75	
	03/01/11	09/06/12	48,000	2,006.95	1,673.96	332.99	
	03/01/11	09/06/12	5,000	350.87	209.24	141.63	
	03/01/11	09/06/12	1,000	41.81	34.82	6.99	
	03/01/11	09/07/12	38,000	1,618.19	1,325.21	292.98	
	03/01/11	09/07/12	25,000	1,064.60	871.85	192.75	
MEDTRONIC INC	12/22/10	09/18/12	146,000	6,272.05	5,379.66	892.39	
	12/22/10	09/18/12	39,000	2,534.59	2,173.97	360.62	
	12/22/10	09/18/12	1,000	42.96	36.87	6.09	
	01/20/11	09/18/12	125,000	5,496.78	4,867.83	630.95	
	01/20/11	09/18/12	93,000	3,995.21	3,536.26	458.95	
	01/20/11	09/18/12	18,000	773.27	684.94	88.33	
NOVARTIS AG ADR	01/20/11	09/24/12	147,000	9,022.11	8,257.53	764.58	
	01/20/11	09/24/12	125,000	7,671.86	7,021.74	650.12	
	01/20/11	09/24/12	1,000	61.37	56.20	5.17	
	03/04/11	09/24/12	184,000	11,282.99	10,466.39	816.60	
	03/04/11	09/24/12	163,000	10,004.11	9,271.86	732.25	
PERRIGO CO	05/11/11	09/13/12	10,000	1,103.68	872.77	230.91	
	05/11/11	09/13/12	7,000	772.57	619.94	152.63	
	05/11/11	09/13/12	1,000	110.37	87.28	23.09	
GEIK TECHNOLOGIES	01/20/11	09/05/12	90,000	2,026.76	2,176.06	(149.30)	
	03/04/11	09/05/12	96,000	2,161.89	2,291.31	(129.42)	
	03/04/11	09/05/12	69,000	1,553.85	1,646.88	(93.03) H	
	03/04/11	09/06/12	15,000	356.44	358.02	(1.58)	

(CONTINUED)

Morgan Stanley Smith Barney

CLIENT STATEMENT | For the Period September 1-30, 2012

Activity

RICHARD L. KAY, FEE
FBO HIMAN BROWN CHARITABLE TR

INTERESTED PARTY COPY

REALIZED GAIN/LOSS DETAIL (CONTINUED)

LONG TERM GAIN/LOSS (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	One / Adj Total Cost	Realized Gain/Loss	Comments
QUANCOMM INC.	03/04/11	09/28/12	12,000	272.78	286.41	(13.63)	
	08/13/11	09/28/12	22,000	500.09	653.84	(153.75)	
	08/16/11	09/28/12	14,900	318.24	395.15	(76.91)	
	01/20/11	09/28/12	41,000	2,552.56	2,106.12	446.44	
	01/20/11	09/28/12	13,000	809.35	866.79	(57.44)	
	07/20/11	09/28/12	7,000	435.80	359.59	76.22	
	01/20/11	09/28/12	4,000	249.03	203.44	45.59	
REXAM PLC ADR NEW	01/20/11	09/18/12	319,000	11,000.53	8,606.62	2,393.91	
	03/01/11	09/18/12	175,000	6,034.77	5,188.75	846.02	
	03/04/11	09/18/12	626,000	18,138.81	15,669.54	2,469.27	
SCHLUMBERGER LTD	12/22/10	09/18/12	2,000	151.07	169.43	(18.36)	
	01/20/11	09/18/12	40,000	3,021.38	3,380.32	(358.94)	
	01/20/11	09/18/12	8,000	604.27	678.05	(73.79)	
SHIRE PLC ADR	01/20/11	09/20/12	38,000	2,559.34	2,362.68	196.66	
	01/20/11	09/21/12	12,000	1,068.02	945.07	122.95	
	09/09/11	09/21/12	20,000	1,780.03	1,705.36	74.67	
	03/04/11	09/21/12	25,000	2,186.93	2,181.70	5.23	
TD AMERITRADE HOLDING CORP	01/20/11	09/21/12	45,000	720.47	919.62	(199.15)	
	03/04/11	09/21/12	149,000	2,385.87	3,333.74	(947.87)	
	03/04/11	09/21/12	135,000	2,112.26	3,061.13	(948.87)	
WESTERN UNION	12/22/10	09/18/12	230,000	4,310.10	4,229.70	80.40	
	12/22/10	09/18/12	5,000	93.70	92.00	1.70	
WINDSTREAM CORP	12/22/10	09/07/12	165,000	1,687.91	2,345.72	(657.81)	
	12/22/10	09/18/12	5,000	53.60	71.08	(17.48)	
	01/20/11	09/18/12	70,000	750.38	902.15	(151.77)	
	01/20/11	09/18/12	20,000	214.39	257.75	(43.36)	
	01/20/11	09/25/12	58,000	547.99	644.39	(96.40)	
	03/01/11	09/25/12	167,000	1,836.28	2,090.61	(254.33)	
	03/01/11	09/25/12	105,000	1,150.77	1,314.39	(163.62)	
	03/01/11	09/25/12	43,000	471.27	538.27	(67.00)	
XSTRATA PLC ADR	12/22/10	09/25/12	4,886,000	14,914.67	22,324.46	(7,409.79)	
	01/20/11	09/26/12	3,566,000	10,885.32	15,726.05	(4,840.73)	
	03/04/11	09/26/12	3,626,000	10,763.23	16,325.38	(5,562.15)	

CONTINUED

CLIENT STATEMENT For the Period September 1, 2011 to September 30, 2012

RICHARD GRAY TTEE
FBO HUMAN BROWN CHARITABLE TR

Select: UMA Active Assets Account
677-116537-693

Activity

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

LONG-TERM GAIN/(LOSS) (CONTINUED)

Security/Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig./Adj. Total Cost	Realized Gain/(Loss)	Comments
Long-Term This Period	08/16/11	09/26/12	262,000	799.76	919.62	(119.86)	
				PH4126.85	205,613.01	(112,66.14)	

SHORT-TERM GAIN/(LOSS)

Security/Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig./Adj. Total Cost	Realized Gain/(Loss)	Comments
BAIDU INC ADS	10/20/11	09/06/12	12,000	223.79	247.99	(24.20)	
	10/20/11	09/10/12	10,000	1,081.52	1,239.94	(158.42)	
	02/13/12	09/10/12	21,000	2,271.20	2,908.22	(637.02)	
	07/24/12	09/10/12	21,000	2,271.20	2,498.04	(226.84)	H
	07/24/12	09/10/12	5,000	540.76	594.77	(54.01)	H
BED BATH & BEYOND INC	06/27/12	09/17/12	49,000	3,465.39	2,954.06	511.33	
BIOGEN IDEC INC	10/06/11	09/27/12	30,000	4,521.12	2,981.76	1,539.36	
CATERPILLAR INC	01/12/12	09/27/12	34,000	2,958.28	3,434.00	(475.72)	
CAYUM INC COM	05/02/12	09/10/12	118,000	4,053.85	3,126.20	927.65	
	05/09/12	09/10/12	146,000	5,015.79	3,673.10	1,342.69	
DELPHI AUTOMOTIVE PLC	05/22/12	09/26/12	106,000	3,266.61	2,987.93	278.68	
	08/06/12	09/26/12	110,000	3,389.88	3,192.85	197.03	
DEMANDWARE	03/22/12	09/17/12	86,000	2,746.00	2,192.06	553.94	

CONTINUED

Morgan Stanley Smith Barney

CLIENT STATEMENT | For the Period September 1, 2012 - 2012

Selective Account Assets Account
1677-1637-893

RICHARD L. KAY, FEE
FBO: HUMAN BROWN CHARITABLE TR

INTERESTED PARTY COPY

REALIZED GAIN/LOSS DETAIL (CONTINUED)

SHORT-TERM GAIN/LOSS (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
GUIDEWIRE SOFTWARE INC	01/26/12	09/05/12	109,000	3,320.83	1,900.72	1,620.11	
HUMAN INC	05/20/12	09/06/12	6,000	427.54	478.76	(51.22)	
LIBERTY INTERACTIVE CO INTER A	02/27/12	09/19/12	130,000	2,433.54	2,148.79	286.76	
NXSTAGE MEDICAL INC	03/28/12	09/19/12	25,000	467.99	424.78	43.21	
	01/23/12	09/07/12	178,000	2,384.04	9,114.61	(730.57)	
	02/29/12	09/07/12	13,000	174.12	261.59	(87.47)	
PALO ALTO NETWORKS INC	07/23/12	09/14/12	57,000	3,886.94	3,065.89	821.05	
	07/23/12	09/14/12	49,000	3,341.40	2,800.52	540.88	
PERRIGO CO	12/15/11	09/13/12	27,000	2,979.92	2,622.66	357.27	
	12/15/11	09/13/12	18,000	1,986.62	1,748.43	238.19	
	03/01/12	09/13/12	17,000	1,976.25	1,805.36	170.89	
PROGRESSIVE CORP OHIO	01/18/12	09/17/12	140,000	2,900.66	2,765.00	135.66	
QLIK TECHNOLOGIES	11/02/11	09/28/12	72,000	1,636.67	2,140.83	(504.16)	
QUEST DIAGNOSTICS INC	05/01/12	09/27/12	51,000	3,156.45	2,985.74	170.71	
	01/26/12	09/25/12	53,000	3,324.93	3,123.67	201.26	
SANDISK CORP	01/26/12	09/25/12	78,000	3,631.50	3,692.44	(161.34)	
SIRIUS XM RADIO INC COM	05/23/12	09/25/12	880,000	2,191.15	1,598.40	492.75	
	06/27/12	09/25/12	1,580,000	3,934.11	2,953.02	981.09	
TD AMERITRADE HOLDING CORP	07/20/12	09/27/12	58,000	900.82	913.87	(13.05)	
TERADATA CORP	09/14/11	09/07/12	20,000	1,899.07	1,009.02	590.05	
	09/14/11	09/07/12	35,000	1,199.30	756.77	442.53	

CONTINUED

CLIENT STATEMENT For the Period September 1, 2012

677-3116637-893
RICHARD KAY TTEE
FBO HIMAN BROWN CHARITABLE TR

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

SHORT TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
STRATA PLC ADR	04/11/12	09/26/12	1,548,000	4,725.32	3,287.72	(522.40)	
	07/20/12	09/26/12	1,799,000	5,491.50	4,623.43	868.07	
Short Term Total Placed				93,975.86	84,310.93	9,564.93	

CLIENT STATEMENT For the Period October 1, 2011 - October 31, 2012

ACTIVITY

Select UMA Active Assets Account
 RICHARD L. KAY, FEE
 FBO: HUMAN BROWN CHARITABLE TR
 677-116637-593

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL LONG-TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig./Adj. Total Cost	Realized Gain/(Loss)	Comments
7 DAYS GROUP HOLDINGS UADR	03/01/11	10/13/12	46,000	\$548.95	\$840.88	\$(291.93)	
	03/04/11	10/13/12	42,000	\$61.22	\$90.22	\$(419.00)	
	06/08/11	10/13/12	102,000	\$1,217.24	\$1,817.75	\$(600.51)	
	08/02/11	10/13/12	166,000	\$1,909.40	\$3,455.46	\$(1,546.06)	
	12/22/10	10/18/12	122,000	\$8,022.21	\$5,846.75	\$2,175.46	
	12/22/10	10/18/12	80,000	\$5,269.46	\$3,833.94	\$1,435.52	
	12/22/10	10/18/12	63,000	\$4,142.62	\$3,019.23	\$1,123.39	
	12/22/10	10/18/12	11,000	\$739.31	\$527.17	\$212.14	
	01/20/11	10/18/12	134,000	\$8,811.28	\$6,471.00	\$2,340.28	
	03/03/11	10/18/12	71,000	\$4,668.66	\$3,373.78	\$1,294.88	
	03/04/11	10/18/12	5,000	\$28.78	\$28.29	\$0.49	
	08/15/11	10/18/12	9,000	\$91.80	\$48.99	\$42.81	
	01/20/11	10/08/12	20,000	\$39.80	\$60.56	\$(19.76)	
	03/04/11	10/08/12	10,000	\$4,618.90	\$2,133.88	\$2,485.02	
	03/04/11	10/25/12	90,000	\$4,001.74	\$3,447.22	\$554.52	
	01/20/11	10/03/12	3,000	\$67.66	\$300.42	\$(232.76)	
	03/01/11	10/03/12	5,000	\$12.76	\$19.17	\$(6.41)	
	01/20/11	10/05/12	25,000	\$96.49	\$87.70	\$8.79	
	02/18/11	10/26/12	8,000	\$4,771.52	\$2,800.00	\$1,971.52	
	01/20/11	10/02/12	397,000	\$81.91	\$2,667.40	\$(1,785.49)	
	03/01/11	10/02/12	47,000	\$104.41	\$291.40	\$(186.99)	
	03/04/11	10/02/12	119,000	\$264.35	\$760.17	\$(495.82)	

CONTINUED

CLIENT STATEMENT | For the Period October 1-31, 2012

Activity

Richard L. K. L. L. C.
FBO HIMAN BROWN CHARITABLE TR

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

LONG-TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Original Cost	Realized Gain/(Loss)	Comments
AT&T INC.	08/15/11	10/29/12	129,000	286.57	587.21	(300.64)	
BIDVEST GROUP LTD SPONS ADR	12/29/10	10/29/12	210,000	9,828.78	10,061.98	(233.20)	
BRISTOL MYERS SQUIBB CO	03/10/11	10/25/12	145,000	4,836.92	3,720.41	1,116.51	
CENTERPOINT ENERGY INC	03/04/11	10/29/12	835,000	17,846.55	14,083.87	3,762.68	
CHEVRON CORP	03/04/11	10/29/12	155,000	3,294.24	2,450.24	844.00	
	01/20/11	10/12/12	33,000	3,691.52	3,051.38	640.14	
	01/20/11	10/12/12	3,000	335.59	277.40	58.19	
	01/20/11	10/12/12	2,000	223.72	184.93	38.79	
	03/01/11	10/12/12	92,000	10,291.51	9,472.15	819.36	
CITRIX SYSTEMS INC	03/04/11	10/17/12	95,000	6,432.23	6,884.78	(452.56)	
	03/04/11	10/17/12	5,000	335.01	358.58	(23.57)	
CMS ENERGY GR	01/20/11	10/31/12	100,000	2,368.60	1,912.83	455.77	
COACH INC	01/20/11	10/31/12	14,000	793.29	748.56	44.73	
	01/20/11	10/31/12	9,000	509.98	481.21	28.77	
	01/20/11	10/31/12	8,000	453.31	427.75	25.56	
	01/20/11	10/31/12	4,000	226.66	213.87	12.79	
	01/20/11	10/31/12	1,000	156.65	63.47	93.18	
COMPANHIA SIDERURGICA NACIONAL	03/01/11	10/31/12	35,000	1,989.24	1,889.23	100.01	
	01/20/11	10/31/12	946,000	5,096.35	15,247.72	(10,151.36)	
	01/20/11	10/31/12	905,000	4,875.49	15,779.85	(10,904.36)	
	03/04/11	10/31/12	625,000	3,367.05	10,392.25	(7,025.20)	
CORNING INC	06/13/11	10/31/12	580,000	2,963.00	6,972.08	(4,009.08)	
	12/22/10	10/31/12	209,000	2,463.93	4,041.29	(1,577.36)	
	01/20/11	10/31/12	714,000	8,417.44	13,823.04	(5,405.60)	
	03/04/11	10/31/12	535,000	6,307.19	12,196.02	(5,888.83)	
	08/13/11	10/31/12	192,000	2,263.61	2,341.06	(77.45)	
DANAHER CORPORATION	12/22/10	10/18/12	55,000	2,942.46	2,590.94	351.52	
DISH NETWORK CORP CLASS A	10/19/11	10/31/12	85,000	3,413.06	2,497.92	915.14	
EMC CORP MASS	01/20/11	10/24/12	465,000	11,090.98	11,079.97	11.01	
EMCOR GROUP INC	03/01/11	10/17/12	76,000	2,168.31	2,356.00	(187.69)	
	08/15/11	10/17/12	9,000	142.65	119.00	23.65	
	09/14/11	10/17/12	25,000	827.38	616.80	210.58	
EXXELTORS INTL WASH INC	03/01/11	10/08/12	64,000	2,268.46	3,012.69	(744.23)	

CONTINUED

Activity: RICHARD L. KAY, CEE
FBO HUMAN BROWN CHARITABLE TR
677-11657-593

INTERESTED PARTY COPY

REALIZED GAIN/LOSS DETAIL (CONTINUED)

LONG-TERM GAIN/LOSS (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Costs/Adj. Total Cost	Realized Gain/Loss	Comments
FMC TECHNOLOGIES INC	03/04/11	10/08/12	90,000	3,190.03	4,337.60	(1,147.27)	
	01/20/11	10/25/12	39,000	1,548.06	1,667.65	(119.59)	
	01/20/11	10/25/12	39,000	1,548.06	1,667.64	(119.58)	
	01/20/11	10/25/12	30,000	1,199.24	1,282.80	(83.56)	
	01/20/11	10/25/12	3,000	119.92	128.28	(8.36)	
	01/20/11	10/25/12	15,000	39.97	42.77	(2.80)	
	03/01/11	10/25/12	36,000	1,439.09	1,555.00	(115.91)	
	03/01/11	10/25/12	31,000	1,239.21	1,426.00	(186.79)	
	03/01/11	10/25/12	17,000	679.57	782.00	(102.43)	
	03/04/11	10/25/12	42,000	1,678.93	2,003.27	(324.34)	

FOREST LABORATORIES INC	03/04/11	10/04/12	29,000	330.61	811.00	(480.39)	
	03/04/11	10/04/12	85,000	3,094.24	2,237.40	856.84	
	12/22/10	10/04/12	857,000	16,531.16	29,095.15	(12,563.99)	
FUJITSU LTD-ADR NEW	01/20/11	10/04/12	603,000	9,702.65	16,744.87	(7,042.22)	
	03/04/11	10/04/12	388,000	9,433.31	15,884.40	(6,451.09)	
	03/18/11	10/04/12	885,000	17,071.27	24,308.12	(7,236.85)	
GOOGLE INC-CL A	01/20/11	10/19/12	2,000	1,382.92	1,255.12	127.80	
	02/18/10	10/19/12	4,000	2,765.84	2,516.60	249.24	
	03/04/11	10/19/12	5,000	3,487.29	3,010.35	476.94	
H & R BLOCK INC	12/22/10	10/08/12	50,000	893.47	644.88	248.59	
	12/22/10	10/31/12	79,000	1,400.28	1,018.92	381.36	
	01/20/11	10/31/12	106,900	1,878.86	1,402.17	476.69	
HEARTWARE INTL INC-COM	01/20/11	10/16/12	9,000	789.28	844.11	(54.83)	
	03/01/11	10/16/12	15,000	1,140.07	1,074.32	65.75	
	03/04/11	10/16/12	13,000	1,140.08	1,103.57	36.51	
INTERCONTINENTAL EXCHANGE, INC	03/01/11	10/17/12	11,600	1,436.56	1,400.85	35.71	
	03/01/11	10/17/12	1,000	130.80	127.35	3.25	
	03/04/11	10/17/12	10,000	1,305.97	1,294.51	11.46	

CONTINUED

CLIENT STATEMENT For the period October 1-31, 2012

Activity Sheet UMA Assets Account RICHARD L. KAY, FEE EBO HIMAN BROWN CHARITABLE TR

INTERESTED PARTY COPY

REALIZED GAIN/LOSS DETAIL (CONTINUED)
LONG-TERM GAIN/LOSS (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
KBR INC	12/22/10	10/01/12	295,000	8,903.33	8,755.09	148.24	
IBM RESEARCH CORPORATION	01/20/11	10/01/12	50,000	2,707.09	2,901.99	(194.90)	
UNION PAC FLOORS INC	03/04/11	10/01/12	5,000	212.19	350.34	(138.15)	
MEADWESTVAC CORP	03/15/11	10/01/12	54,000	1,909.89	2,791.80	(881.91)	
UNION PAC FLOORS INC	03/01/11	10/01/12	25,000	962.12	871.85	90.27	
MEADWESTVAC CORP	03/01/11	10/01/12	315,000	9,676.58	8,035.14	1,641.44	
NEXTERA ENERGY INC. COM	03/01/11	10/01/12	216,000	14,602.38	11,383.65	3,218.72	
ORACLE AUTOMOTIVE INC. NEW	01/20/11	10/01/12	17,000	1,464.82	287.32	477.50	
ORACLE AUTOMOTIVE INC. NEW	01/20/11	10/01/12	17,000	1,464.82	287.32	477.50	
ORACLE AUTOMOTIVE INC. NEW	01/20/11	10/01/12	11,000	897.07	638.85	258.22	
ORACLE AUTOMOTIVE INC. NEW	01/20/11	10/01/12	1,000	81.55	58.01	23.54	
ORACLE AUTOMOTIVE INC. NEW	03/01/11	10/01/12	20,000	1,631.04	1,100.06	530.98	
ORACLE AUTOMOTIVE INC. NEW	03/01/11	10/01/12	5,000	407.76	275.02	132.74	
OPTIMER PHARMACEUTICALS INC	03/01/11	10/01/12	58,000	3,040.49	2,090.12	950.37	
OPTIMER PHARMACEUTICALS INC	03/29/11	10/01/12	118,000	1,317.78	1,448.69	(130.91)	
OPTIMER PHARMACEUTICALS INC	08/09/11	10/01/12	21,000	792.90	151.91	273.89	
PEET'S COFFEE & TEA	08/09/11	10/01/12	53,000	591.88	387.43	204.45	
PERSICO INC	10/03/11	10/01/12	51,000	3,746.88	2,784.05	962.83	
PERSICO INC	03/04/11	10/01/12	89,000	6,305.62	5,636.19	669.43	
PERSICO INC	03/04/11	10/01/12	16,000	1,133.60	1,013.25	120.35	
PETROLEO BRASILEIRO SA ADR	03/15/11	10/01/12	109,000	7,722.61	6,919.27	803.34	
PETROLEO BRASILEIRO SA ADR	12/22/10	10/01/12	357,000	7,868.82	10,984.18	(3,115.36)	
PETROLEO BRASILEIRO SA ADR	01/20/11	10/01/12	388,000	8,652.10	12,787.16	(4,235.06)	
PLUM CREEK TIMBER CO INC. RE	02/03/11	10/01/12	634,000	13,974.31	21,572.04	(7,597.73)	
PLUM CREEK TIMBER CO INC. RE	02/24/11	10/01/12	255,000	5,620.58	9,034.68	(3,414.10)	
PLUM CREEK TIMBER CO INC. RE	02/24/11	10/01/12	76,000	1,675.15	2,708.48	(1,033.33)	
PLUM CREEK TIMBER CO INC. RE	03/04/11	10/01/12	645,000	14,216.78	23,174.27	(8,957.49)	
PLUM CREEK TIMBER CO INC. RE	12/22/10	10/01/12	235,000	9,961.80	8,677.91	1,283.89	
PLUM CREEK TIMBER CO INC. RE	01/20/11	10/01/12	222,000	9,410.72	8,862.24	548.48	
SALESFORCE.COM, INC.	03/01/11	10/01/12	196,000	8,308.57	8,034.55	274.02	
SALESFORCE.COM, INC.	03/04/11	10/01/12	253,000	10,724.83	10,339.43	385.40	
SHIRE PLC ADR	01/20/11	10/01/12	16,000	2,368.33	2,121.65	246.68	
SHIRE PLC ADR	03/04/11	10/01/12	4,000	592.08	518.36	73.72	
SHIRE PLC ADR	03/04/11	10/01/12	41,000	3,608.80	3,495.99	112.81	

CONTINUED

CLIENT STATEMENT | For the Period October 1-31, 2012

Activity

SELECTED ACCOUNTS: ASIA PACIFIC RICHARD L. KAY, FEE
1677-106637-693 EBO/HIMAN/BROWN/CHARITABLE TR

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

LONG-TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig./Adj. Total Cost	Realized Gain/(Loss)	Comments
SHO PRITE MEDS LTD ADR	12/22/10	10/25/12	75,000	3,058.06	2,216.25	841.81	
TEVA PHARMACEUTICALS ADR	01/20/11	10/25/12	161,000	6,586.49	8,648.62	(2,062.13)	
	01/20/11	10/25/12	68,000	2,781.87	3,552.83	(870.96)	
	01/20/11	10/25/12	3,000	122.73	161.13	(38.40)	
	02/08/11	10/25/12	38,000	1,554.58	1,951.26	(396.68)	
	02/08/11	10/25/12	15,000	613.65	770.24	(156.59)	
	02/04/11	10/25/12	38,000	1,554.58	1,959.12	(404.54)	
	02/10/11	10/25/12	15,000	613.65	773.34	(159.69)	
	03/02/11	10/25/12	15,000	1,595.48	1,971.41	(375.92)	
	03/02/11	10/25/12	15,000	613.65	775.23	(161.58)	
	03/04/11	10/25/12	172,000	7,193.48	8,532.33	(1,338.85)	
	03/04/11	10/25/12	121,000	4,360.10	6,072.75	(1,712.65)	
TRAVELERS COMPANIES INC COM	03/01/11	10/16/12	270,000	19,051.96	16,045.78	3,006.18	
	03/01/11	10/16/12	88,000	6,209.52	5,229.71	979.81	
	03/01/11	10/16/12	79,000	5,574.46	4,694.85	879.61	
UNILEVER NV NY SH NEW	03/01/11	10/16/12	3,000	211.69	178.13	33.56	
	12/22/10	10/25/12	160,000	5,858.83	4,367.68	1,491.15	
	01/20/11	10/25/12	329,000	12,047.21	10,907.52	2,098.69	
WESTERN UNION CO	03/04/11	10/25/12	295,000	10,838.83	9,930.37	908.46	
	12/22/10	10/25/12	707,000	12,692.08	13,001.69	(309.63)	
	12/22/10	10/25/12	79,000	1,418.20	1,452.80	(34.60)	
	01/20/11	10/25/12	39,000	700.13	746.38	(46.25)	
	12/22/10	10/31/12	78,000	997.79	1,397.84	(399.86)	
WILLIAMS CO INC	01/20/11	10/31/12	414,000	5,435.36	7,923.13	(2,487.77)	
	03/10/11	10/18/12	69,000	2,460.29	1,663.65	796.74	
	03/10/11	10/18/12	1,000	35.63	24.11	11.54	
Long-Term This Period				547,844.03	626,711.68	(78,867.65)	



CLIENT STATEMENT For the Period October 1, 2012

Activity Select UMA Active Assets Account RICHARD L. KAY JTEE
677-116637-693 FBO HIMAN BROWN CHARITABLE TR

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

SHORT-TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Sale Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
7-DAYS GROUP/HUBS LTD ADR	11/16/11	10/17/12	20,000	238.67	292.22	(63.55)	
ARQUE INC	03/09/12	10/02/12	178,000	395.42	1,320.23	(924.81)	
CAMERON INTNL CORP	02/08/12	10/26/12	48,000	2,431.55	2,725.39	(293.84)	
	02/08/12	10/26/12	40,000	2,026.30	2,294.16	(267.86)	
	02/08/12	10/26/12	64,000	3,107.88	3,633.86	(525.98)	
	04/26/12	10/31/12	60,000	2,913.64	3,094.95	(181.31)	
CARNIVAL CR NEW/PAIRED COM	02/15/12	10/25/12	135,000	5216.89	4,101.51	1,115.47	
CATERPILLAR INC	01/12/12	10/10/12	10,000	834.98	1,018.00	(183.02)	
	01/17/12	10/10/12	29,000	2,421.45	3,016.00	(594.55)	
	01/20/12	10/10/12	28,000	2,337.95	2,960.47	(622.52)	
	01/26/12	10/10/12	37,000	584.48	786.51	(202.03)	
	01/26/12	10/16/12	19,000	1,571.91	2,134.81	(562.90)	
	02/03/12	10/15/12	28,000	2,151.03	2,943.02	(791.99)	
	04/13/12	10/16/12	29,000	2,399.22	3,068.11	(668.89)	

FLEETCOR TECHNOLOGIES	02/28/12	10/21/12	60,000	2,733.39	2,220.00	513.39	
	04/11/12	10/12/12	13,000	592.23	498.29	93.94	

FUTURES LTD ADR NEW	04/11/12	10/04/12	390,000	7,600.08	9,712.10	(2,112.02)	
GREENHILL & CO INC	10/22/11	10/05/12	121,000	6,383.30	4,355.38	2,027.92	
LIBERTY INTERACTIVE CO INTER A	03/28/12	10/31/12	30,000	601.43	509.73	91.70	

CONTINUED

Morgan Stanley

CLIENT STATEMENT for the Period October 1, 2011 - October 31, 2012

Activity: RICHARD L. KAYATTEE, FBO HUMAN BROWN CHARITABLE TR, Select UNANALYZED Assets Account, 677-116637-693

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

SHORT TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig/Adj Total Cost	Realized Gain/(Loss)	Comments
NRG ENERGY INC	02/08/12	10/26/12	145,000	3,226.23	2,470.37	755.86	
NXSTAGE MEDICAL INC	02/29/12	10/24/12	144,000	1,600.28	2,897.64	(1,297.36)	
PEEPS COFFEE & TEA	05/09/12	10/24/12	50,000	555.69	793.38	(237.73)	
	11/17/11	10/22/12	2,000	146.94	112.25	34.69	
	02/07/12	10/22/12	43,000	3,012.20	2,797.14	215.06	
PROGEM NETWORKS INC NEW	06/21/12	10/22/12	5,000	367.34	300.94	66.40	
PROGRESSIVE CORP OHIO	01/30/12	10/01/12	194,000	3,138.40	2,250.93	887.47	
QUICK TECHNOLOGIES	01/18/12	10/02/12	16,000	336.83	316.00	20.83	
	03/06/12	10/02/12	129,000	2,715.71	2,777.21	(61.50)	
	11/02/11	10/04/12	115,000	2,181.70	3,419.37	(1,237.67)	
	07/06/12	10/04/12	25,000	474.28	453.96	20.32	
SHIRE PLC ADR	07/06/12	10/08/12	140,000	2,800.81	2,542.19	258.62	
STANTEC CORP	04/12/12	10/03/12	32,000	2,816.63	2,986.99	(170.36)	
TEVA PHARMACEUTICALS ADR	01/30/12	10/31/12	270,000	4,940.37	4,589.71	350.66	
UNILEVER NV NY SH NEW	12/22/11	10/25/12	30,000	1,227.29	1,262.36	(35.06)	
	04/18/12	10/25/12	70,000	2,563.24	2,275.62	287.62	
	04/11/12	10/25/12	183,000	5,701.03	5,973.10	(272.07)	
Short Term This Period				85,726.82	86,845.84	(3,569.07)	

CLIENT STATEMENT For the Period November 1, 2012

ACTIVITY

Acting Accountant: RICHARD L. KAY, CFP®
FBO HUMAN BROWN CHARITABLE TR

677-016637348

INTERESTED PARTY COPY

SECURITY ACTIVITY

SECURITY TRANSFERS (CONTINUED)

Date	Activity Type	Security Symbol	Comments	Quantity	Acquired Interest	Amount

REALIZED GAIN/(LOSS) DETAIL

LONG TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
AEINA INC (NEW) (GT)	03/04/11	11/01/12	90,000	\$3,994.79	\$3,447.72	\$547.07	
	10/14/11	11/01/12	167,000	7,412.66	6,284.79	1,127.77	
ALLIANZ-FIX INC SHRS-SERIES M	08/16/11	11/09/12	1,921,000	21,592.04	20,536.49	1,055.55	
	08/16/11	11/26/12	22,054,000	247,886.95	235,757.26	12,129.70	
	09/01/11	11/26/12	600,000	6,744.00	6,378.00	366.00	
ALLIANZ-FIX INC SHRS-SERIES C	08/16/11	11/09/12	1,661,000	22,871.97	21,642.83	1,229.14	

CONTINUED

CLIENT STATEMENT For the Period November 30, 2012

Activity

Active Assets Account: RICHARD L KAY TEE
FBO HUMAN BROWN CHARITABLE TR
677-116637348

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

LONG-TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig/Adj Total Cost	Realized Gain/(Loss)	Comments
ALTEGRA GP	08/16/11	11/23/12	18,999.000	243,818.10	235,829.97	12,488.13	
	09/01/11	11/23/12	450.000	6,174.00	5,850.00	324.00	
	10/25/11	11/23/12	660.000	6,957.99	8,118.50	799.49	
	03/04/11	11/23/12	51.000	1,581.01	2,283.27	(682.26)	
ARCHER DANIELS MIDLAND	03/09/11	11/02/12	128.000	3,813.01	5,002.87	(1,189.86)	
	10/14/11	11/02/12	81.000	2,511.01	2,882.10	(351.09)	
	12/22/10	11/06/12	191.000	5,083.52	5,735.48	(651.96)	
	01/20/11	11/06/12	299.000	7,957.97	9,974.04	(2,016.07)	
BAXTER INTL INC	01/20/11	11/09/12	164.000	4,171.44	5,470.71	(1,299.27)	
	03/04/11	11/09/12	893.000	9,996.20	14,527.75	(4,531.55)	
	05/10/11	11/09/12	218.000	5,844.97	7,289.40	(1,444.43)	
	06/27/11	11/09/12	230.000	5,850.19	6,850.80	(1,000.61)	
CHEVRON CORP	03/03/11	11/01/12	181.000	11,813.00	9,447.71	2,065.29	
	03/07/11	11/01/12	91.000	3,248.78	2,882.08	366.69	
	08/01/11	11/01/12	9.000	573.31	469.78	103.53	
	09/01/11	11/01/12	19.000	13,228.71	12,252.03	976.68	
CORNING INC	03/01/11	11/01/12	95.000	10,560.73	9,781.03	779.70	
	03/07/11	11/01/12	64.000	7,114.60	6,586.32	528.28	
	03/01/11	11/01/12	19.000	2,112.15	1,956.21	155.94	
	03/01/11	11/01/12	6.000	666.99	617.75	49.24	
DAIMLER AG SPONSORED ADR	08/15/11	11/03/12	310.000	3,656.12	4,748.58	(1,092.46)	
	09/15/11	11/02/12	840.000	39,828.94	41,697.80	(1,868.86)	
	12/22/10	11/05/12	52.000	565.44	1,773.10	(1,107.66)	
	01/20/11	11/05/12	463.000	5,924.97	15,239.18	(9,314.21)	
DEARROLLA CORP HOMEX SR DE	02/28/11	11/05/12	214.000	2,738.54	6,874.28	(3,135.74)	
	03/04/11	11/05/12	827.000	15,743.97	13,989.43	1,754.54	
	09/03/11	11/07/12	42.000	1,070.73	2,204.16	(1,133.43)	
	06/22/11	11/07/12	44.000	1,821.71	2,641.88	(820.17)	
EXPRESS SCRIPTS HLDG CO/COM	08/15/11	11/07/12	17.000	1,433.39	750.55	682.84	
	09/16/11	11/07/12	418.000	101.97	176.60	(74.63)	
	01/20/11	11/06/12	98.000	5,566.35	5,597.36	(31.01)	
	12/22/10	11/07/12	179.000	7,783.57	13,239.20	(5,455.63)	
INFOSIS LIMITED ADR	01/20/11	11/07/12	117.000	6,087.58	8,299.92	(2,212.34)	
	02/28/11	11/07/12	37.000	1,608.89	2,482.87	(873.98)	

CONTINUED

CLIENT STATEMENT For the Period November 1-30, 2012

Active Assets Account
RICHARD KAY TTEE
FBO HIMAN BROWN CHARITABLE TR

INTERESTED PARTY COPY

REALIZED GAIN/LOSS DETAIL (CONTINUED)

LONG TERM GAIN/LOSS (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sale Proceeds	Orig/Adj Total Cost	Realized Gain/Loss	Comments
ROYAL DUTCH SHELL PLC CL.B	03/04/11	11/07/12	167,000	2,261.77	11,304.73	(4,042.96)	
WESTERN UNION CO	03/04/11	11/07/12	14,000	1,009.88	1,001.11	7.97	
	01/20/11	11/01/12	145,000	1,819.89	2,794.15	(974.26)	
	01/20/11	11/01/12	95,000	1,184.18	1,811.11	(633.93)	
	03/04/11	11/01/12	249,000	3,103.78	5,440.15	(2,336.37)	
	03/04/11	11/01/12	181,000	2,256.17	3,954.49	(1,698.32)	
	03/04/11	11/01/12	114,000	1,321.01	2,490.67	(1,069.66)	
	08/23/11	11/01/12	139,000	1,332.64	2,284.70	(952.06)	
	08/23/11	11/01/12	36,000	448.74	591.72	(142.98)	
Long Term This Period				\$771,809.00	\$798,031.42	\$(26,222.42)	

SHORT TERM GAIN/LOSS

Security Description	Date Acquired	Date Sold	Quantity	Sale Proceeds	Orig/Adj Total Cost	Realized Gain/Loss	Comments
ABIGMED INC	03/30/12	11/02/12	145,000	2,144.89	3,261.71	(1,106.82)	
ACING INC (NFACT)	07/20/12	11/01/12	37,000	1,642.30	1,388.80	253.50	
	07/31/12	11/01/12	51,000	2,263.72	1,925.77	387.95	
	02/08/12	11/26/12	965,000	1,108,466.60	10,315,885	530.75	
	03/20/12	11/26/12	760,000	8,542.40	8,025.60	516.80	
	04/11/12	11/26/12	8,950,000	100,710.40	96,409.60	4,300.80	
	04/12/12	11/26/12	5,435,000	38,609.40	36,926.25	1,683.15	
	07/03/12	11/26/12	455,000	5,114.20	4,973.15	141.05	
	08/08/12	11/26/12	465,000	5,226.60	5,152.20	74.40	
	09/10/12	11/26/12	545,489	6,131.30	6,076.75	54.55	
	09/14/12	11/26/12	501,349	5,635.16	5,975.00	60.16	
	03/20/12	11/21/12	690,000	9,465.79	8,921.70	545.09	
	04/13/12	11/21/12	2,180,000	98,509.53	95,488.80	3,020.73	
	04/12/12	11/21/12	2,270,000	38,004.87	36,397.80	1,606.87	
	07/03/12	11/21/12	420,000	5,762.40	5,532.20	230.20	
	08/16/12	11/21/12	411,213	6,841.84	6,559.60	282.24	
	09/10/12	11/21/12	47,452	6,512.22	6,483.75	28.47	

CONTINUED

CLIENT STATEMENT For the Period November 30, 2012

AGENCY: RICHARD L. KATZ, FBO HUMAN BROWN CHARITABLE TR

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

SHORT TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Cost (Adj. Total Cost)	Realized Gain/(Loss)	Comments
ANTERA CP	09/14/12	11/02/12	481,059	6,600.45	6,537.60	62.85	
	09/18/12	11/02/12	33,000	1,023.00	1,113.41	(90.41)	
	09/18/12	11/07/12	52,000	1,594.31	1,754.47	(160.16)	
APPLE INC	07/20/12	11/07/12	50,000	1,533.42	1,555.50	(22.08)	
	11/16/12	11/09/12	2,000	1,097.74	778.88	318.86	
	05/09/12	11/09/12	8,000	4,390.94	4,577.38	(186.44)	
	05/09/12	11/09/12	2,000	1,091.02	1,144.95	(53.93)	
	05/19/12	11/09/12	10,000	5,455.10	5,719.50	(264.40)	
	08/29/12	11/09/12	10,000	5,455.11	5,819.42	(364.31)	
ARCHER DANIELS MIDLAND	06/20/12	11/09/12	135,000	3,438.81	4,030.52	(591.71)	
	07/19/12	11/09/12	170,000	4,324.06	4,639.15	(315.09)	
BAXTER INC	04/25/12	11/07/12	64,000	4,070.30	3,519.15	551.15	
CHEVRON CORP	05/09/12	11/01/12	2,300	222.34	195.55	26.79	
CORNING INC	03/09/12	11/01/12	290,000	3,420.24	3,746.46	(326.22)	
	04/11/12	11/01/12	272,000	3,207.95	3,876.52	(668.57)	
	07/31/12	11/07/12	438,000	5,165.74	5,019.39	146.35	
DAIMLER AG SPONSORED ADR	12/22/11	11/02/12	370,000	17,543.53	16,479.80	1,063.73	
	04/11/12	11/02/12	150,000	7,112.24	8,173.50	(1,061.26)	
DESARROLLADORA HOMEX SA DE	02/22/12	11/09/12	374,000	4,786.04	7,845.10	(3,059.06)	
DEVRY INC	04/11/12	11/05/12	509,000	6,398.46	8,181.30	(1,782.84)	
	07/20/12	11/07/12	2,000	50.99	55.81	(4.82)	
DOCKEN CL A COM STK	04/03/12	11/09/12	85,000	2,912.93	3,242.41	(329.48)	
EXPRESS SCRIPTS HEDG CO COM	05/17/12	11/06/12	12,000	657.10	629.26	27.84	
	05/22/12	11/09/12	40,000	2,190.33	2,119.12	71.21	
FORUM ENERGY TECHNOLOGIES	05/07/12	11/01/12	4,000	91.79	86.22	5.57	
	05/22/12	11/01/12	133,000	3,052.01	2,917.98	134.03	
MIDSTATES PETROLEUM CO INC	04/24/12	11/07/12	197,000	1,208.45	8,051.26	(6,842.81)	
	07/20/12	11/01/12	198,000	1,214.58	2,016.65	(802.07)	
PRUDENTIAL FINANCIAL INC	05/20/12	11/06/12	320,000	18,448.60	15,520.13	2,928.47	
ROYAL DUTCH SHELL PLC CL B	07/20/12	11/07/12	119,600	8,577.21	8,560.84	16.37	
WESTERN JUN CO	11/30/12	11/01/12	56,000	698.04	973.84	(275.80)	
	11/30/12	11/01/12	24,000	174.51	243.46	(68.95)	
	12/05/12	11/01/12	50,000	874.90	1,083.00	(208.10)	
	12/05/12	11/01/12	15,000	186.98	270.75	(83.77)	

CONTINUED

CLIENT STATEMENT For the Period November 1, 2012

Active Assets Account: RICHARD L. KAY, TRUST
FBO HIMAN BROWN CHARITABLE TR

ACTIVITY

INTERESTED PARTY COPY

REALIZED GAIN/LOSS DETAIL (CONTINUED)

SHORT-TERM GAIN/LOSS (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
ZURICH INSURANCE GRP LTD ADR	08/13/12	11/01/12	30,000	373.94	484.47	(110.53)	
	07/26/12	11/01/12	646,000	15,892.34	14,354.12	1,538.22	
Short-Term This Period				\$495,168.75	\$497,580.98	\$7,597.81	

PERSONAL ACCOUNTS RETIREMENT ACCOUNTS EDUCATION ACCOUNTS TRUST ACCOUNTS BUSINESS ACCOUNTS

Himan Brown Charitable Trust
MorganStanley SmithBarney
A/C 677-116637-693
Y/E March 31, 2013
Portfolio

Quantity	Description	%	Maturity Date	Date Acquired	Date Sold	Sales Price	Cost	Short Term Gain/(Loss)	Long Term Gain/(Loss)
Government bonds									
34,000	US TSY INFLATION INDEX NTS CPI U NON-SEASONALLY ADJ	3 000%	7/15/2012	8/16/2011	7/12/2012	43,485 66	44,117 30	(631 64)	
3,000	US TSY INFLATION INDEX NTS CPI U NON-SEASONALLY ADJ	3 000%	7/15/2012	3/20/2012	7/12/2012	3,836 97	3,872 83	(35 86)	
9,000	US TSY INFLATION INDEX NTS CPI U NON-SEASONALLY ADJ	3 000%	7/15/2012	4/11/2012	7/12/2102	11,510 91	11,595 93		(85 02)
36,000	US TREASURY NOTES SER E-2021	2 125%	8/15/2021	9/13/2011	9/17/2012	37,466 71	36,450 00		1,016 71
5,000	US TREASURY NOTES SER E-2021	2 125%	8/15/2021	3/20/2012	9/17/2012	5,203 71	4,927 15	276 56	
11,000	US TREASURY NOTES SER E-2021	2 125%	8/15/2021	4/11/2012	9/17/2012	11,448 16	11,172 73	275 43	
5,000	US TREASURY NOTES SER E-2021	1 485%	8/15/2021	7/2/2012	9/17/2012	5,203 71	5,224 42	(20 71)	
11,000	US TREASURY NOTES SER B-2022	2 000%	2/15/2022	2/29/2012	9/14/2012	11,221 72	11,003 44	218 28	
13,000	US TREASURY NOTES SER B-2022	2 000%	2/15/2022	2/28/2012	9/14/2012	13,262 03	13,122 89	139 14	
3,000	US TREASURY NOTES SER B-2022	2 000%	2/15/2022	3/1/2012	9/14/2012	3,060 47	2,988 98	71 49	
5,000	US TREASURY NOTES SER B-2022	2 000%	2/15/2022	3/20/2012	9/14/2012	5,100 78	4,833 59	267 19	
13,000	US TREASURY NOTES SER B-2022	2 000%	2/15/2022	4/18/2012	9/14/2012	13,262 02	13,015 23	246 79	
						<u>164,062 85</u>	<u>162,324 49</u>	<u>806 67</u>	<u>931 69</u>

Himan Brown Chantable Trust
Morgan Stanley Smith Barney
A/C 677-116637-693
Y/E March 31, 2013
Portfolio

Quantity	Description	%	Maturity Date	Date Acquired	Date Sold	Sales Price	Cost	Short Term Gain/(Loss)	Long Term Gain/(Loss)
Mortgage backed securities Bond									
	FNMA PL#735288 Principal Payment	5 000%	3/1/2035	9/9/2011		689.83	689.83		
	FNMA PL#735288 Principal Payment	5 000%	3/1/2035	8/25/2012		781.18	781.18		
	FNMA PL#735288 Principal Payment	5 000%	3/1/2035	9/25/2012		800.54	800.54		
	FNMA PL#735288 Principal Payment	5 000%	3/1/2035	10/25/2012		699.70	699.70		
	FNMA PL#735288 Principal Payment	5 000%	3/1/2035	11/25/2012		799.99	799.99		
	FNMA PL#735676 Principal Payment	5 000%	3/1/2035	9/9/2011		840.32	840.32		
	FNMA PL#735676 Principal Payment	5 000%	3/1/2035	8/25/2012		811.37	811.37		
	FNMA PL#735676 Principal Payment	5.000%	3/1/2035	9/25/2012		893.75	893.75		
	FNMA PL#735676 Principal Payment	5 000%	3/1/2035	10/25/2012		792.72	792.72		
	FNMA PL#735676 Principal Payment	5 000%	3/1/2035	11/25/2012		948.88	948.88		
	FHLMC#GO1840 Pnnicipal Payment	5.000%	7/1/1935	9/15/2012		571.92	571.92		
	FHLMC#GO1840 Principal Payment	5 000%	7/1/1935	10/15/2012		574.09	574.09		
	FHLMC#GO1840 Pnnicipal Payment	5 000%	7/1/1935	11/15/2012		611.35	611.35		
	FNMA PL#888450 Principal Payment	5 500%	8/1/2035	4/23/2012		395.68	395.68		
	FNMA PL#888450 Principal Payment	5 500%	8/1/2035	8/25/2012		426.91	426.91		
	FNMA PL#888450 Principal Payment	5.500%	8/1/2035	9/25/2012		458.76	458.76		
	FNMA PL#888450 Principal Payment	5.500%	8/1/2035	10/25/2012		397.36	397.36		
	FNMA PL#888450 Principal Payment	5.500%	8/1/2035	11/25/2012		457.08	457.08		
	FNMA PL#889974 Principal Payment	5 000%	9/1/2035	2/8/2012		192.16	192.16		
	FNMA PL#889974 Principal Payment	5 000%	9/1/2035	8/25/2012		211.51	211.51		
	FNMA PL#889974 Principal Payment	5 000%	9/1/2035	9/25/2012		249.17	249.17		
	FNMA PL#889974 Principal Payment	5 000%	9/1/2035	10/25/2012		229.26	229.26		
	FNMA PL#889974 Principal Payment	5 000%	9/1/2035	11/25/2012		241.19	241.19		
	FNMA PL#888343 Principal Payment	5 000%	11/1/1935	10/25/2012		1,318.36	1,318.36		
	FNMA PL#888343 Principal Payment	5 000%	11/1/1935	11/25/2012		1,705.20	1,705.20		
	FNMA PL#745274 Principal Payment	5 500%	1/1/2036	2/8/2012		408.61	408.61		
	FNMA PL#745274 Principal Payment	5.500%	1/1/2036	8/25/2012		412.60	412.60		
	FNMA PL#745274 Principal Payment	5 500%	1/1/2036	9/25/2012		199.92	199.92		
	FNMA PL#745274 Principal Payment	5.500%	1/1/2036	10/25/2012		288.13	288.13		
	FNMA PL#745274 Pnnicipal Payment	5 500%	1/1/2036	11/25/2012		237.73	237.73		
	FNMA PL#745343 Principal Payment	5 500%	3/1/2036	10/7/2011		80.59	80.59		
	FNMA PL#745343 Pnnicipal Payment	5 500%	3/1/2036	8/25/2012		56.72	56.72		
	FNMA PL#745343 Principal Payment	5 500%	3/1/2036	9/25/2012		76.59	76.59		
	FNMA PL#745343 Pnnicipal Payment	5 500%	3/1/2036	10/25/2012		39.55	39.55		
	FNMA PL#745343 Principal Payment	5.500%	3/1/2036	11/25/2012		61.66	61.66		
	FNMA PL#745418 Principal Payment	5 500%	4/1/2036	9/9/2011		3,019.61	3,019.61		
	FNMA PL#745418 Principal Payment	5 500%	4/1/2036	8/25/2012		2,867.04	2,867.04		
	FNMA PL#745418 Principal Payment	5.500%	4/1/2036	9/25/2012		2,938.05	2,938.05		
	FNMA PL#745418 Pnnicipal Payment	5.500%	4/1/2036	10/25/2012		2,365.89	2,365.89		
	FNMA PL#745418 Principal Payment	5.500%	4/1/2036	11/25/2012		2,767.06	2,767.06		
	FNMA PL#G02342 Pnnicipal Payment	5.000%	10/1/2036	4/23/2012		430.05	430.05		
	FNMA PL#G02342 Pnnicipal Payment	5 000%	10/1/2036	8/15/2012		374.98	374.98		
	FNMA PL#G02342 Pnnicipal Payment	5.000%	10/1/2036	9/15/2012		384.17	384.17		
	FNMA PL#G02342 Pnnicipal Payment	5 000%	10/1/2036	10/15/2012		350.74	350.74		
	FNMA PL#G02342 Pnnicipal Payment	5.000%	10/1/2036	11/15/2012		392.63	392.63		
	FHLMC PL#G04913 Principal Payment	5 000%	3/1/2038	11/10/2011		97.14	97.14		
	FHLMC PL#G04913 Pnnicipal Payment	5.000%	3/1/2038	8/15/2012		95.54	95.54		
	FHLMC PL#G04913 Principal Payment	5.000%	3/1/2038	9/15/2012		103.57	103.57		
	FHLMC PL#G04913 Pnnicipal Payment	5 000%	3/1/2038	10/15/2012		86.27	86.27		
	FHLMC PL#G04913 Pnnicipal Payment	5.000%	3/1/2038	11/15/2012		98.33	98.33		
	FHLMC PL#G04913 Principal Payment	5.000%	3/1/2038	11/15/2012		98.45	98.45		
	FHLMC PL#G04913 Pnnicipal Payment(AC	5 000%	3/1/2038	11/15/2012		-98.33	-98.33		
	FHLMC PL#G04913 Pnnicipal Payment(AC	5 000%	3/1/2038	11/15/2012		-98.45	-98.45		
	FHLMC PL#G04913 Principal Payment	5 000%	3/1/2038	11/15/2012		98.33	98.33		
	FHLMC PL#G06875 Pnnicipal Payment	5 500%	12/1/1938	9/15/2012		159.92	159.92		
	FHLMC PL#G06875 Principal Payment	5 500%	12/1/1938	10/15/2012		134.33	134.33		
	FHLMC PL#G06875 Pnnicipal Payment	5 500%	12/1/1938	11/15/2012		146.82	146.82		
	FNMA PL#934231 Pnnicipal Payment	5 000%	1/1/2039	10/11/2011		69.12	69.12		
	FNMA PL#934231 Pnnicipal Payment	5 000%	1/1/2039	8/25/2012		46.15	46.15		

Himan Brown Charitable Trust
MorganStanley SmithBarney
A/C 677-116637-693
Y/E March 31, 2013
Portfolio

Quantity	Description	%	Maturity Date	Date Acquired	Date Sold	Sales Pnce	Cost	Short Term Gain/(Loss)	Long Term Gain/(Loss)
Mortgage backed securities Bond									
31,000	FNMA	0 750%	12/18/2013	8/16/2011	8/3/2012	31,205 22	31,247 01	(41 79)	
12,000	FNMA	0 750%	12/18/2013	4/11/2012	8/3/2012	12,079 44	12,085 07	(5 63)	
8,000	FNMA	0 625%	12/29/2014	2/16/2012	8/3/2012	8,053 68	8,017 60	36 08	
9,000	FNMA	0 625%	12/29/2014	2/22/2012	8/3/2012	9,060 39	9,023 58	36 81	
4,000	FNMA	0 625%	12/29/2014	6/19/2012	8/3/2012	4,026 84	4,016 84	10 00	
						<u>64,425 57</u>	<u>64,390 10</u>	<u>35 47</u>	<u>0 00</u>

HIMAN BROWN CHARITABLE TRUST
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Security Description	Acquisition Date	Sale Date	Wash Sale	Quantity	Cost	Proceeds	ST Gain/(Loss)	LT Gain/(Loss)
ADTRAN INC COM	12/12/2011	11/27/2012		635	19,221	11,976	-7,246	0
ADTRAN INC COM	7/20/2012	11/27/2012		99	2,147	1,867	-280	0
ADTRAN INC COM	3/5/2012	11/27/2012		112	3,633	2,112	-1,521	0
AFFILIATED MANAGERS GRP	3/4/2011	11/27/2012		35	3,749	4,492	0	743
AFFILIATED MANAGERS GRP	3/1/2011	11/27/2012		44	4,569	5,647	0	1,079
AIA GROUP LTD	9/25/2012	11/27/2012		647	9,556	10,125	569	0
AIA GROUP LTD	4/11/2012	11/27/2012		433	6,175	6,776	602	0
AIA GROUP LTD	4/3/2012	11/27/2012		1,110	16,117	17,371	1,254	0
AIA GROUP LTD	2/14/2012	11/27/2012		3,400	47,022	53,209	6,187	0
AIR LIQUIDE ADR	3/4/2011	11/27/2012		1,050	24,990	25,575	0	585
AIR LIQUIDE ADR	1/20/2011	11/27/2012		1,483	34,005	36,122	0	2,116
AIR LIQUIDE ADR	1/20/2011	11/27/2012		1	23	24	0	1
AIR LIQUIDE ADR	12/22/2010	11/27/2012		2,039	47,672	49,664	0	1,992
AIR LIQUIDE ADR	4/11/2012	11/27/2012		772	17,887	18,804	916	0
AIR LIQUIDE ADR	4/11/2012	11/27/2012		1	23	24	1	0
ALEXANDRIA REAL EST EQTS	3/4/2011	11/27/2012		351	26,873	23,674	0	-3,198
ALEXANDRIA REAL EST EQTS	3/1/2011	11/27/2012		268	20,866	18,076	0	-2,790
ALEXANDRIA REAL EST EQTS	1/20/2011	11/27/2012		262	19,736	17,671	0	-2,065
ALEXANDRIA REAL EST EQTS	12/22/2010	11/27/2012		290	20,802	19,560	0	-1,242
ALIGN TECH INC DEL COM	10/9/2012	11/27/2012		170	6,397	4,619	-1,778	0
AMERICAN CAMPUS CMNTYS	3/4/2011	11/27/2012		815	26,023	36,145	0	10,122
AMERICAN CAMPUS CMNTYS	12/22/2010	11/27/2012		705	21,517	31,267	0	9,750
AMERICAN CAMPUS CMNTYS	3/1/2011	11/27/2012		700	22,610	31,045	0	8,435
AMERICAN CAMPUS CMNTYS	1/20/2011	11/27/2012		614	18,549	27,231	0	8,682
AMETEK INC NEW	3/5/2012	11/27/2012		59	1,856	2,180	323	0
AMETEK INC NEW	3/5/2012	11/27/2012		1	31	37	6	0
AMETEK INC NEW	3/4/2011	11/27/2012		133	3,764	4,913	0	1,149
AMETEK INC NEW	3/4/2011	11/27/2012		1	28	37	0	9
AMETEK INC NEW	1/20/2011	11/27/2012		23	602	850	0	247
AMETEK INC NEW	3/1/2011	11/27/2012		191	5,266	7,056	0	1,790
AMETEK INC NEW	3/1/2011	11/27/2012		1	26	37	0	11
AMPHENOL CORP CL A NEW	3/4/2011	11/27/2012		91	5,291	5,614	0	324
AMPHENOL CORP CL A NEW	3/1/2011	11/27/2012		154	8,646	9,501	0	855
AMPHENOL CORP CL A NEW	1/20/2011	11/27/2012		14	734	864	0	130
APARTMENT INVT & MGMT CO	10/15/2012	11/27/2012		1,850	47,490	46,865	-625	0
ARCOS DORADOS HOLDNG INC	10/24/2012	11/27/2012		230	3,126	2,754	-372	0
ARCOS DORADOS HOLDNG INC	8/23/2012	11/27/2012		205	2,765	2,455	-311	0
ARCOS DORADOS HOLDNG INC	5/21/2012	11/27/2012		75	928	898	-30	0
ARCOS DORADOS HOLDNG INC	5/15/2012	11/27/2012		230	3,151	2,754	-397	0
ARCOS DORADOS HOLDNG INC	5/8/2012	11/27/2012		220	3,117	2,634	-483	0
ARCOS DORADOS HOLDNG INC	5/7/2012	11/27/2012		430	6,231	5,149	-1,082	0
ARUBA NETWORKS INC	12/22/2010	11/27/2012		300	6,654	5,695	0	-959
ARUBA NETWORKS INC	7/20/2012	11/27/2012		254	3,548	4,822	1,273	0
ARUBA NETWORKS INC	5/24/2012	11/27/2012		165	2,287	3,132	845	0
ARUBA NETWORKS INC	5/10/2012	11/27/2012		110	1,826	2,088	262	0
ARUBA NETWORKS INC	12/21/2011	11/27/2012		20	356	380	23	0
ARUBA NETWORKS INC	11/23/2011	11/27/2012		149	2,831	2,829	0	-2
ARUBA NETWORKS INC	8/16/2011	11/27/2012		18	386	342	0	-44
ARUBA NETWORKS INC	8/15/2011	11/27/2012		28	623	532	0	-91
ARUBA NETWORKS INC	7/28/2011	11/27/2012		65	1,479	1,234	0	-245
ARUBA NETWORKS INC	6/17/2011	11/27/2012		85	2,041	1,614	0	-427
ARUBA NETWORKS INC	6/6/2011	11/27/2012		70	1,950	1,329	0	-621
ARUBA NETWORKS INC	5/20/2011	11/27/2012		127	3,508	2,411	0	-1,097
ARUBA NETWORKS INC	3/4/2011	11/27/2012		89	2,868	1,690	0	-1,179
ARUBA NETWORKS INC	1/20/2011	11/27/2012		49	1,117	930	0	-187
ASSOCIATED ESTATES RLTY	7/20/2012	11/27/2012		356	5,226	5,335	109	0
ASSOCIATED ESTATES RLTY	3/4/2011	11/27/2012		868	13,905	13,007	0	-898
ASSOCIATED ESTATES RLTY	3/1/2011	11/27/2012		585	9,342	8,767	0	-576
ASSOCIATED ESTATES RLTY	1/20/2011	11/27/2012		610	8,833	9,141	0	308
ASSOCIATED ESTATES RLTY	12/22/2010	11/27/2012		490	7,281	7,343	0	62
ATLAS COPCO A ADR NEW	7/20/2012	11/27/2012		372	7,879	9,393	1,514	0
ATLAS COPCO A ADR NEW	8/16/2011	11/27/2012		162	3,501	4,090	0	590
ATLAS COPCO A ADR NEW	3/4/2011	11/27/2012		462	11,587	11,665	0	78
ATLAS COPCO A ADR NEW	1/20/2011	11/27/2012		574	13,432	14,493	0	1,062
ATLAS COPCO A ADR NEW	12/22/2010	11/27/2012		892	22,469	22,522	0	53
AUTOMATIC DATA PROC	3/4/2011	11/27/2012		233	11,718	12,946	0	1,229
AUTOMATIC DATA PROC	1/20/2011	11/27/2012		681	33,376	37,839	0	4,463
AVALONBAY CMMUN INC	3/4/2011	11/27/2012		170	19,615	22,829	0	3,214
AVALONBAY CMMUN INC	1/20/2011	11/27/2012		190	21,316	25,515	0	4,198
AVALONBAY CMMUN INC	12/22/2010	11/27/2012		136	15,028	18,263	0	3,235
AVEO PHARMACEUTICALS INC	4/11/2012	11/27/2012		30	327	216	-111	0
AVEO PHARMACEUTICALS INC	3/5/2012	11/27/2012		66	871	476	-394	0
AVEO PHARMACEUTICALS INC	12/22/2010	11/27/2012		35	520	252	0	-268
AVEO PHARMACEUTICALS INC	3/4/2011	11/27/2012		77	1,044	555	0	-489
AVEO PHARMACEUTICALS INC	1/20/2011	11/27/2012		67	965	483	0	-481
BADGER METER INCORP	8/10/2011	11/27/2012		307	10,220	13,874	0	3,654

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BADGER METER INCORP	9/28/2012	11/27/2012		147	5,390	6,643	1,253	0
BADGER METER INCORP	3/5/2012	11/27/2012		66	2,046	2,983	937	0
BALCHEM CORP COM	11/8/2011	11/27/2012		85	3,366	3,030	0	-336
BALCHEM CORP COM	10/3/2011	11/27/2012		60	2,233	2,139	0	-94
BALCHEM CORP COM	8/16/2011	11/27/2012		10	383	356	0	-27
BALCHEM CORP COM	4/1/2011	11/27/2012 WS		73	2,108	2,602	0	494
BALCHEM CORP COM	3/29/2011	11/27/2012 WS		22	635	784	0	149
BALCHEM CORP COM	3/4/2011	11/27/2012		69	2,447	2,459	0	13
BANK OF MONTREAL COM	3/1/2011	11/27/2012		227	14,412	13,556	0	-856
BANK OF MONTREAL COM	8/15/2011	11/27/2012		17	1,031	1,015	0	-16
BANK OF MONTREAL COM	3/4/2011	11/27/2012		22	1,399	1,314	0	-85
BARD C R INC	7/20/2012	11/27/2012		21	2,217	2,062	-155	0
BARD C R INC	3/4/2011	11/27/2012		35	3,383	3,437	0	53
BARD C R INC	3/1/2011	11/27/2012		30	2,893	2,946	0	52
BCE INC	3/4/2011	11/27/2012		90	3,279	3,823	0	544
BCE INC	3/1/2011	11/27/2012		221	8,049	9,388	0	1,339
BOSTON PPTYS INC	3/4/2011	11/27/2012		196	18,144	20,002	0	1,858
BOSTON PPTYS INC	3/1/2011	11/27/2012		80	7,497	8,164	0	667
BOSTON PPTYS INC	1/20/2011	11/27/2012		187	16,856	19,083	0	2,227
BOSTON PPTYS INC	12/22/2010	11/27/2012		241	20,543	24,594	0	4,051
BRIGHTCOVE INC	8/15/2012	11/27/2012		265	3,119	2,638	-481	0
BUNGE LIMITED	4/11/2012	11/27/2012		63	4,266	4,603	337	0
BUNGE LIMITED	3/5/2012	11/27/2012		50	3,350	3,653	303	0
BUNGE LIMITED	3/4/2011	11/27/2012		83	5,956	6,064	0	108
BUNGE LIMITED	1/20/2011	11/27/2012		189	13,183	13,808	0	625
BUNGE LIMITED	12/22/2010	11/27/2012		208	13,237	15,196	0	1,959
C H ROBINSON WORLDWIDE,	3/5/2012	11/27/2012		34	2,233	2,082	-152	0
C H ROBINSON WORLDWIDE,	3/4/2011	11/27/2012		114	8,278	6,980	0	-1,298
CABOT MICROELECTRS CORP	9/10/2012	11/27/2012		233	8,248	7,422	-826	0
CABOT MICROELECTRS CORP	6/13/2011	11/27/2012		185	8,627	5,893	0	-2,734
CABOT MICROELECTRS CORP	7/20/2012	11/27/2012		61	1,767	1,943	176	0
CABOT MICROELECTRS CORP	3/5/2012	11/27/2012		71	2,441	2,262	-179	0
CALAMOS MKT NTRL INC I	8/15/2011	11/27/2012		5,480	65,102	68,719	0	3,617
CALAMOS MKT NTRL INC I	8/15/2011	11/27/2012		1	12	13	0	1
CALAMOS MKT NTRL INC I	3/4/2011	11/27/2012		42,958	519,362	538,693	0	19,331
CALAMOS MKT NTRL INC I	1/20/2011	11/27/2012		46,995	559,710	589,317	0	29,607
CALAMOS MKT NTRL INC I	1/20/2011	11/27/2012		1	12	13	0	1
CALAMOS MKT NTRL INC I	12/23/2010	11/27/2012		63,595	754,237	797,481	0	43,245
CAMDEN PPTY TR COM SBI	3/4/2011	11/27/2012		295	16,328	19,475	0	3,147
CAMDEN PPTY TR COM SBI	3/1/2011	11/27/2012		215	12,150	14,194	0	2,044
CAMDEN PPTY TR COM SBI	1/20/2011	11/27/2012		207	11,072	13,666	0	2,593
CAMDEN PPTY TR COM SBI	12/22/2010	11/27/2012		308	16,105	20,333	0	4,228
CARBO CERAMICS INC	3/30/2011	11/27/2012		25	3,502	1,883	0	-1,619
CARBO CERAMICS INC	3/4/2011	11/27/2012		33	4,049	2,486	0	-1,564
CARBO CERAMICS INC	7/20/2012	11/27/2012		17	1,439	1,280	-158	0
CARBO CERAMICS INC	6/3/2011	11/27/2012		70	10,505	5,272	0	-5,233
CARPENTER TECHNOLOGY	7/20/2012	11/27/2012		95	4,444	4,386	-58	0
CARPENTER TECHNOLOGY	4/11/2012	11/27/2012		51	2,631	2,354	-277	0
CARPENTER TECHNOLOGY	3/26/2012	11/27/2012		10	519	462	-57	0
CARPENTER TECHNOLOGY	11/23/2011	11/27/2012		40	1,908	1,847	0	-61
CARPENTER TECHNOLOGY	8/12/2011	11/27/2012		60	2,942	2,770	0	-172
CARPENTER TECHNOLOGY	8/9/2011	11/27/2012		4	180	185	0	5
CARPENTER TECHNOLOGY	8/4/2011	11/27/2012		12	614	554	0	-60
CARPENTER TECHNOLOGY	7/19/2011	11/27/2012		61	3,511	2,816	0	-695
CARPENTER TECHNOLOGY	8/1/2011	11/27/2012		62	3,556	2,862	0	-693
CARPENTER TECHNOLOGY	7/28/2011	11/27/2012		61	3,583	2,816	0	-767
CARRIZO OIL & GAS INC	7/9/2012	11/27/2012		255	6,044	5,205	-839	0
CBL & ASSOC PPTYS INC	12/12/2011	11/27/2012		3,195	47,765	70,097	22,332	0
CBL & ASSOCIATES PROP	12/22/2010	11/27/2012		179	4,189	4,555	0	367
CBL & ASSOCIATES PROP	8/15/2011	11/27/2012		35	841	891	0	49
CBL & ASSOCIATES PROP	3/4/2011	11/27/2012		231	5,623	5,879	0	256
CBL & ASSOCIATES PROP	3/1/2011	11/27/2012		229	5,569	5,828	0	259
CBL & ASSOCIATES PROP	1/20/2011	11/27/2012		196	4,604	4,988	0	384
CEPHEID INC CALIF COM	10/17/2012	11/27/2012		192	6,467	6,311	-156	0
CHELSEA THRPTCS INTL LTD	1/20/2011	11/27/2012		361	2,347	601	0	-1,746
CHELSEA THRPTCS INTL LTD	12/22/2010	11/27/2012		298	2,229	496	0	-1,733
CHELSEA THRPTCS INTL LTD	7/20/2012	11/27/2012		1,223	1,309	2,035	726	0
CHELSEA THRPTCS INTL LTD	4/11/2012	11/27/2012		187	383	311	-72	0
CHELSEA THRPTCS INTL LTD	8/15/2011	11/27/2012		568	2,431	945	0	-1,486
CHELSEA THRPTCS INTL LTD	3/4/2011	11/27/2012		194	776	323	0	-453
CHIPOTLE MEXICAN GRILL	7/20/2012	11/27/2012		13	4,152	3,471	-681	0
CHIPOTLE MEXICAN GRILL	3/4/2011	11/27/2012		16	4,067	4,272	0	205
CHIPOTLE MEXICAN GRILL	3/1/2011	11/27/2012		4	963	1,068	0	105
CHURCH&DWIGHT CO INC	8/11/2011	11/27/2012		62	2,486	3,347	0	861
CHURCH&DWIGHT CO INC	3/4/2011	11/27/2012		106	4,031	5,722	0	1,691
CHURCH&DWIGHT CO INC	3/1/2011	11/27/2012		132	4,941	7,125	0	2,184

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CHURCH&DWIGHT CO INC	1/20/2011	11/27/2012		12	420	648	0	228
CINN FINCL CRP OHIO	3/4/2011	11/27/2012		203	6,729	8,166	0	1,436
CINN FINCL CRP OHIO	3/1/2011	11/27/2012		538	18,055	21,641	0	3,586
CITRIX SYSTEMS INC COM	8/14/2012	11/27/2012		83	6,290	5,049	-1,241	0
CITRIX SYSTEMS INC COM	8/10/2012	11/27/2012		41	3,095	2,494	-601	0
CITRIX SYSTEMS INC COM	3/4/2011	11/27/2012		71	5,091	4,319	0	-773
CITRIX SYSTEMS INC COM	3/4/2011	11/27/2012		6	430	365	0	-65
CITRIX SYSTEMS INC COM	3/4/2011	11/27/2012		3	215	182	0	-33
CLARCOR INC	3/4/2011	11/27/2012		178	7,435	8,264	0	829
CLARCOR INC	3/1/2011	11/27/2012		300	12,117	13,929	0	1,812
CLEAN HARBORS INC	7/23/2012	11/27/2012		54	3,126	3,048	-78	0
CLEAN HARBORS INC	7/20/2012	11/27/2012		11	644	621	-23	0
CLEAN HARBORS INC	5/29/2012	11/27/2012		93	5,906	5,249	-657	0
CME GROUP INC	2/8/2012	11/27/2012		320	18,218	17,477	-740	0
CME GROUP INC	2/1/2012	11/27/2012 WS		10	569	546	-23	0
CME GROUP INC	3/24/2011	11/27/2012 WS		65	3,700	3,550	0	-150
CME GROUP INC	3/21/2011	11/27/2012 WS		20	1,139	1,092	0	-46
CME GROUP INC	2/9/2011	11/27/2012 WS		35	1,993	1,912	0	-81
COCHLEAR LTD-ADR	12/22/2010	11/27/2012		473	19,246	18,442	0	-805
COCHLEAR LTD-ADR	3/4/2011	11/27/2012		305	12,520	11,892	0	-629
COCHLEAR LTD-ADR	1/20/2011	11/27/2012		374	14,549	14,582	0	33
COCHLEAR LTD-ADR	4/11/2012	11/27/2012		153	4,827	5,965	1,138	0
COCHLEAR LTD-ADR	8/16/2011	11/27/2012		78	3,038	3,041	0	3
COMCAST CRP NEW CL A SPL	7/5/2011	11/27/2012		70	1,742	2,483	0	742
COMCAST CRP NEW CL A SPL	5/13/2011	11/27/2012		759	18,026	26,924	0	8,898
COMCAST CRP NEW CL A SPL	3/22/2011	11/27/2012		33	750	1,171	0	420
COMCAST CRP NEW CL A SPL	3/7/2011	11/27/2012		28	676	993	0	318
COMCAST CRP NEW CL A SPL	8/15/2011	11/27/2012		145	3,031	5,144	0	2,113
COPART INC COM	3/1/2011	11/27/2012		204	4,168	6,115	0	1,947
COPART INC COM	3/4/2011	11/27/2012		204	4,184	6,115	0	1,931
CORCEPT THERAPEUTICS INC	1/5/2011	11/27/2012		167	651	236	0	-415
CORCEPT THERAPEUTICS INC	3/1/2011	11/27/2012		77	286	109	0	-177
CORCEPT THERAPEUTICS INC	1/20/2011	11/27/2012		214	847	303	0	-545
CORCEPT THERAPEUTICS INC	8/3/2012	11/27/2012		49	176	69	-107	0
CORCEPT THERAPEUTICS INC	8/16/2011	11/27/2012		22	61	31	0	-30
CORCEPT THERAPEUTICS INC	8/15/2011	11/27/2012		183	529	259	0	-270
CORCEPT THERAPEUTICS INC	3/4/2011	11/27/2012		153	609	216	0	-393
CORPORATE EXEC BOARD CO	6/11/2012	11/27/2012		223	8,614	9,401	786	0
CORPORATE EXEC BOARD CO	7/22/2011	11/27/2012 WS		11	357	464	0	107
CORPORATE EXEC BOARD CO	7/21/2011	11/27/2012		301	13,121	12,689	0	-432
CROCS INC	7/10/2012	11/27/2012		110	1,636	1,485	-150	0
CROCS INC	5/10/2012	11/27/2012		130	2,187	1,756	-431	0
CROCS INC	12/21/2011	11/27/2012		275	4,166	3,714	-453	0
CROCS INC	11/2/2011	11/27/2012		115	2,050	1,553	0	-497
CROCS INC	10/20/2011	11/27/2012		20	303	270	0	-33
CROCS INC	10/19/2011	11/27/2012		370	5,902	4,997	0	-905
DASSAULT SYS SA SPN ADR	4/11/2012	11/27/2012		116	10,372	13,000	2,628	0
DASSAULT SYS SA SPN ADR	8/16/2011	11/27/2012		41	3,229	4,595	0	1,366
DASSAULT SYS SA SPN ADR	12/22/2010	11/27/2012		231	17,498	25,887	0	8,389
DASSAULT SYS SA SPN ADR	3/4/2011	11/27/2012		306	23,938	34,292	0	10,353
DASSAULT SYS SA SPN ADR	1/20/2011	11/27/2012		442	32,416	49,533	0	17,116
DBS GROUP HLDGS SPN ADR	4/11/2012	11/27/2012		212	8,929	9,791	861	0
DBS GROUP HLDGS SPN ADR	7/21/2011	11/27/2012		142	7,026	6,558	0	-468
DBS GROUP HLDGS SPN ADR	7/20/2011	11/27/2012		398	19,701	18,381	0	-1,320
DBS GROUP HLDGS SPN ADR	3/4/2011	11/27/2012		317	14,183	14,640	0	458
DBS GROUP HLDGS SPN ADR	1/20/2011	11/27/2012		103	4,712	4,757	0	45
DEMANDWARE INC	3/22/2012	11/27/2012		28	713	769	55	0
DEMANDWARE INC	8/23/2012	11/27/2012		21	509	577	68	0
DEMANDWARE INC	7/20/2012	11/27/2012		125	3,198	3,432	234	0
DENTSPLY INTL INC	3/4/2011	11/27/2012		66	2,478	2,575	0	98
DENTSPLY INTL INC	3/1/2011	11/27/2012		75	2,758	2,926	0	169
DENTSPLY INTL INC	1/20/2011	11/27/2012		19	684	741	0	57
DICKS SPORTING GOODS INC	3/4/2011	11/27/2012		128	4,892	6,655	0	1,762
DICKS SPORTING GOODS INC	3/1/2011	11/27/2012		151	5,428	7,850	0	2,422
DICKS SPORTING GOODS INC	1/20/2011	11/27/2012		36	1,262	1,872	0	610
DIGITAL RLTY TR INC	1/20/2011	11/27/2012		77	3,985	4,937	0	952
DIGITAL RLTY TR INC	12/22/2010	11/27/2012		182	9,033	11,669	0	2,636
DIGITAL RLTY TR INC	12/22/2010	11/27/2012		75	3,722	4,809	0	1,086
DIGITAL RLTY TR INC	12/22/2010	11/27/2012		1	50	64	0	14
DIGITAL RLTY TR INC	3/4/2011	11/27/2012		301	17,211	19,298	0	2,087
DIGITAL RLTY TR INC	3/4/2011	11/27/2012		124	7,090	7,950	0	860
DIGITAL RLTY TR INC	3/1/2011	11/27/2012		396	23,229	25,389	0	2,160
DIGITAL RLTY TR INC	3/1/2011	11/27/2012		177	10,383	11,348	0	965
DIGITAL RLTY TR INC	3/1/2011	11/27/2012		29	1,701	1,859	0	158
DIGITAL RLTY TR INC	1/20/2011	11/27/2012		185	9,574	11,861	0	2,287
DISNEY (WALT) CO COM STK	12/23/2011	11/27/2012		400	15,004	19,588	4,584	0

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DOUGLAS EMMETT INC	12/22/2010	11/27/2012		792	12,775	18,082	0	5,308
DOUGLAS EMMETT INC	3/1/2011	11/27/2012		773	13,837	17,649	0	3,812
DOUGLAS EMMETT INC	1/20/2011	11/27/2012		624	10,377	14,247	0	3,870
DOUGLAS EMMETT INC	3/4/2011	11/27/2012		830	14,849	18,950	0	4,101
E V INC FD OF BOSTON I	8/15/2011	11/27/2012		329,866	1,870,340	1,952,807	0	82,467
EAST WEST BANCORP INC	3/17/2011	11/27/2012		154	3,360	3,301	0	-59
EAST WEST BANCORP INC	3/4/2011	11/27/2012		173	4,005	3,708	0	-297
EAST WEST BANCORP INC	3/1/2011	11/27/2012		103	2,338	2,208	0	-130
EASTGROUP PROPERTIES INC	3/4/2011	11/27/2012		415	18,268	21,440	0	3,172
EASTGROUP PROPERTIES INC	3/1/2011	11/27/2012		257	11,398	13,277	0	1,879
EASTGROUP PROPERTIES INC	1/20/2011	11/27/2012		283	11,532	14,620	0	3,088
EASTGROUP PROPERTIES INC	12/22/2010	11/27/2012		191	8,049	9,867	0	1,819
ELI LILLY & CO	6/7/2011	11/27/2012		625	23,450	30,064	0	6,614
EPR PPTYS	12/22/2010	11/27/2012		14	623	625	0	2
EPR PPTYS	12/22/2010	11/27/2012		2	89	89	0	0
EPR PPTYS	12/22/2010	11/27/2012		47	2,091	2,099	0	8
EPR PPTYS	12/12/2011	11/27/2012		269	11,230	12,016	786	0
EPR PPTYS	12/12/2011	11/27/2012		78	3,256	3,484	228	0
EPR PPTYS	3/4/2011	11/27/2012		340	15,133	15,187	0	55
EPR PPTYS	3/4/2011	11/27/2012		99	4,406	4,422	0	16
EPR PPTYS	3/1/2011	11/27/2012		538	24,064	24,032	0	-32
EPR PPTYS	3/1/2011	11/27/2012		120	5,367	5,360	0	-7
EPR PPTYS	3/1/2011	11/27/2012		38	1,700	1,697	0	-2
EPR PPTYS	1/20/2011	11/27/2012		201	8,647	8,978	0	332
EPR PPTYS	1/20/2011	11/27/2012		26	1,118	1,161	0	43
EPR PPTYS	1/20/2011	11/27/2012		22	946	983	0	36
EPR PPTYS	1/20/2011	11/27/2012		11	473	491	0	18
EQUIFAX INC	3/4/2011	11/27/2012		310	11,132	15,804	0	4,672
EQUIFAX INC	3/1/2011	11/27/2012		482	17,101	24,573	0	7,471
ESSEX PPTY TR INC COM	12/12/2011	11/27/2012		97	12,850	13,689	840	0
ESSEX PPTY TR INC COM	3/4/2011	11/27/2012		81	9,474	11,431	0	1,958
ESSEX PPTY TR INC COM	12/22/2010	11/27/2012		150	16,962	21,169	0	4,207
ESSEX PPTY TR INC COM	3/1/2011	11/27/2012		102	12,213	14,395	0	2,181
ESSEX PPTY TR INC COM	1/20/2011	11/27/2012		85	9,356	11,996	0	2,640
EXAR CORP DELAWARE COM	10/3/2012	11/27/2012		395	3,196	3,212	16	0
EXXON MOBIL CORP COM	8/4/2011	11/27/2012		91	6,799	7,992	0	1,194
EXXON MOBIL CORP COM	12/22/2010	11/27/2012		241	17,550	21,167	0	3,617
EXXON MOBIL CORP COM	3/4/2011	11/27/2012		170	14,442	14,931	0	489
EXXON MOBIL CORP COM	3/1/2011	11/27/2012		229	19,472	20,113	0	641
FACTSET RESH SYS INC	3/4/2011	11/27/2012		46	4,788	4,260	0	-528
FACTSET RESH SYS INC	3/1/2011	11/27/2012		48	4,889	4,445	0	-444
FACTSET RESH SYS INC	1/20/2011	11/27/2012		33	3,184	3,056	0	-128
FARO TECHNOLOGIES INC	8/3/2012	11/27/2012		45	1,530	1,583	53	0
FARO TECHNOLOGIES INC	8/1/2012	11/27/2012		90	3,011	3,166	155	0
FARO TECHNOLOGIES INC	10/4/2011	11/27/2012		85	2,672	2,990	0	318
FARO TECHNOLOGIES INC	8/17/2011	11/27/2012		91	3,210	3,201	0	-9
FARO TECHNOLOGIES INC	8/16/2011	11/27/2012		5	180	176	0	-4
FARO TECHNOLOGIES INC	8/15/2011	11/27/2012		7	267	246	0	-21
FARO TECHNOLOGIES INC	7/19/2011	11/27/2012		42	1,948	1,477	0	-470
FDL R INV TR SBI NEW MD	12/22/2010	11/27/2012		200	15,466	20,674	0	5,208
FDL R INV TR SBI NEW MD	3/4/2011	11/27/2012		185	15,122	19,124	0	4,002
FDL R INV TR SBI NEW MD	3/1/2011	11/27/2012		147	12,063	15,195	0	3,133
FDL R INV TR SBI NEW MD	1/20/2011	11/27/2012		160	12,334	16,539	0	4,205
FIRST C FIN SVCS PV\$0 01	3/5/2012	11/27/2012		173	7,290	8,340	1,050	0
FIRST C FIN SVCS PV\$0 01	8/16/2011	11/27/2012		37	1,672	1,784	0	111
FIRST C FIN SVCS PV\$0 01	3/4/2011	11/27/2012		420	14,196	20,248	0	6,052
FIRST C FIN SVCS PV\$0 01	3/1/2011	11/27/2012		208	6,787	10,027	0	3,240
FISERV INC WISC PV 1CT	1/20/2011	11/27/2012		33	2,046	2,484	0	438
FISERV INC WISC PV 1CT	6/6/2012	11/27/2012		35	2,388	2,634	246	0
FISERV INC WISC PV 1CT	3/4/2011	11/27/2012		56	3,443	4,214	0	771
FISERV INC WISC PV 1CT	3/1/2011	11/27/2012		48	2,982	3,612	0	631
FLUIDIGM CORP DEL	8/21/2012	11/27/2012		200	3,126	2,870	-256	0
FLUIDIGM CORP DEL	8/16/2011	11/27/2012		11	153	158	0	5
FLUIDIGM CORP DEL	3/4/2011	11/27/2012		49	686	703	0	17
FLUIDIGM CORP DEL	2/22/2011	11/27/2012		155	2,137	2,224	0	87
FORUM ENERGY TECHNOLOGS	5/11/2012	11/27/2012		138	2,974	3,361	387	0
F5 NETWORKS INC COM	3/4/2011	11/27/2012		28	3,180	2,596	0	-584
F5 NETWORKS INC COM	3/1/2011	11/27/2012		26	2,940	2,410	0	-530
F5 NETWORKS INC COM	1/20/2011	11/27/2012		18	1,992	1,669	0	-323
GENERAL MILLS	9/22/2011	11/27/2012		215	8,490	8,768	0	277
GENERAL MILLS	3/4/2011	11/27/2012		132	4,839	5,383	0	544
GENERAL MILLS	3/1/2011	11/27/2012 WS		15	548	612	0	64
GENERAL MILLS	3/1/2011	11/27/2012		238	8,773	9,705	0	933
GENESEE & WYOM CL A	3/30/2011	11/27/2012		30	1,763	2,199	0	435
GENESEE & WYOM CL A	3/30/2011	11/27/2012		2	118	147	0	29
GENESEE & WYOM CL A	6/23/2011	11/27/2012		26	1,451	1,905	0	455

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GENESEE & WYOM CL A	3/30/2011	11/27/2012	WS	21	1,238	1,539	0	301
GENESEE & WYOM CL A	3/30/2011	11/27/2012	WS	14	825	1,026	0	201
GENESEE & WYOM CL A	3/30/2011	11/27/2012		45	2,645	3,298	0	653
GENESEE & WYOM CL A	7/20/2012	11/27/2012		44	2,477	3,225	748	0
GENESEE & WYOM CL A	7/20/2012	11/27/2012		40	2,253	2,931	678	0
GENESEE & WYOM CL A	3/20/2012	11/27/2012		15	884	1,099	215	0
GENESEE & WYOM CL A	3/20/2012	11/27/2012		10	590	733	143	0
GENESEE & WYOM CL A	2/15/2012	11/27/2012		6	351	440	89	0
GENESEE & WYOM CL A	2/15/2012	11/27/2012		4	234	293	59	0
GENESEE & WYOM CL A	2/10/2012	11/27/2012		28	1,697	2,052	355	0
GENESEE & WYOM CL A	2/10/2012	11/27/2012		18	1,091	1,319	228	0
GENESEE & WYOM CL A	2/8/2012	11/27/2012		18	1,079	1,319	241	0
GENESEE & WYOM CL A	2/8/2012	11/27/2012		14	839	1,026	187	0
GENESEE & WYOM CL A	2/8/2012	11/27/2012		3	180	220	40	0
GENESEE & WYOM CL A	6/23/2011	11/27/2012		41	2,287	3,005	0	717
GENTEX CORP	3/5/2012	11/27/2012		40	977	690	-288	0
GENTEX CORP	6/23/2011	11/27/2012		115	3,297	1,983	0	-1,315
GENTEX CORP	3/4/2011	11/27/2012		47	1,357	810	0	-547
GLAXOSMITHKLINE PLC ADR	3/4/2011	11/27/2012		860	32,990	36,875	0	3,886
GRACO INC	3/4/2011	11/27/2012		231	9,485	11,365	0	1,880
GRACO INC	3/1/2011	11/27/2012		363	14,535	17,859	0	3,325
GREENHILL COMPANY INC	8/3/2012	11/27/2012		50	2,056	2,444	388	0
GREENHILL COMPANY INC	5/16/2012	11/27/2012		33	1,167	1,613	446	0
GREENHILL COMPANY INC	3/9/2012	11/27/2012		60	2,619	2,933	314	0
GREENHILL COMPANY INC	11/22/2011	11/27/2012		41	1,476	2,004	0	529
GUGNHM MGD FUT STR FD H	3/5/2012	11/27/2012		5,224	120,204	110,226	-9,978	0
GUGNHM MGD FUT STR FD H	3/5/2012	11/27/2012		1	24	21	-3	0
GUGNHM MGD FUT STR FD H	12/22/2010	11/27/2012		25,157	645,529	530,813	0	-114,716
GUGNHM MGD FUT STR FD H	3/4/2011	11/27/2012		12,382	328,494	261,260	0	-67,234
GUGNHM MGD FUT STR FD H	3/4/2011	11/27/2012		1	26	21	0	-5
GUGNHM MGD FUT STR FD H	1/20/2011	11/27/2012		14,726	377,133	310,719	0	-66,414
GUGNHM MGD FUT STR FD H	1/20/2011	11/27/2012		1	26	21	0	-5
GUIDEWIRE SOFTWARE INC	7/20/2012	11/27/2012		109	3,050	3,215	165	0
GUIDEWIRE SOFTWARE INC	1/26/2012	11/27/2012		3	52	88	36	0
HARMAN INTL INDS INC NEW	7/20/2012	11/27/2012		89	3,495	3,455	-40	0
HARMAN INTL INDS INC NEW	5/13/2011	11/27/2012		66	3,223	2,562	0	-661
HARMAN INTL INDS INC NEW	5/5/2011	11/27/2012		154	7,200	5,978	0	-1,221
HARMAN INTL INDS INC NEW	9/12/2011	11/27/2012		21	672	815	0	144
HARMAN INTL INDS INC NEW	8/15/2011	11/27/2012		49	1,708	1,902	0	194
HARMAN INTL INDS INC NEW	8/11/2011	11/27/2012		90	2,860	3,494	0	633
HARMAN INTL INDS INC NEW	5/18/2011	11/27/2012		75	3,637	2,911	0	-725
HCP INC	3/1/2011	11/27/2012		414	14,887	18,761	0	3,874
HCP INC	3/4/2011	11/27/2012		414	15,016	18,761	0	3,745
HCP INC	3/4/2011	11/27/2012		232	8,415	10,514	0	2,099
HCP INC	3/4/2011	11/27/2012		23	834	1,042	0	208
HCP INC	3/1/2011	11/27/2012		777	27,941	35,211	0	7,270
HEALTH CARE REIT INC COM	9/21/2011	11/27/2012		85	4,150	5,042	0	892
HEALTH CARE REIT INC COM	3/4/2011	11/27/2012		491	24,594	29,123	0	4,529
HEALTH CARE REIT INC COM	3/1/2011	11/27/2012		404	20,147	23,963	0	3,815
HEALTH CARE REIT INC COM	1/20/2011	11/27/2012		373	17,330	22,124	0	4,794
HEALTH CARE REIT INC COM	12/22/2010	11/27/2012		17	775	1,008	0	233
HEARTWARE INTL INC	8/3/2012	11/27/2012		20	1,750	1,563	-187	0
HEARTWARE INTL INC	3/4/2011	11/27/2012		15	1,273	1,172	0	-101
HEINZ H J CO PV 25CT	3/1/2011	11/27/2012		286	14,094	16,582	0	2,488
HEINZ H J CO PV 25CT	3/1/2011	11/27/2012		90	4,435	5,218	0	783
HEINZ H J CO PV 25CT	3/4/2011	11/27/2012		286	14,014	16,582	0	2,568
HEINZ H J CO PV 25CT	3/4/2011	11/27/2012		152	7,448	8,813	0	1,365
HEINZ H J CO PV 25CT	3/1/2011	11/27/2012		706	34,792	40,933	0	6,141
HILLENBRAND INC	3/1/2011	11/27/2012		557	11,998	11,234	0	-764
HILLENBRAND INC	6/13/2011	11/27/2012		387	8,711	7,805	0	-906
HILLENBRAND INC	3/4/2011	11/27/2012		431	9,391	8,692	0	-699
HOSPITALITY PPTYS TRUST	1/20/2011	11/27/2012		474	11,670	10,663	0	-1,007
HOSPITALITY PPTYS TRUST	12/22/2010	11/27/2012		547	12,116	12,305	0	189
HOSPITALITY PPTYS TRUST	12/12/2011	11/27/2012		570	12,506	12,822	317	0
HOSPITALITY PPTYS TRUST	8/16/2011	11/27/2012		130	2,922	2,924	0	2
HOSPITALITY PPTYS TRUST	3/4/2011	11/27/2012		724	16,348	16,287	0	-61
HOSPITALITY PPTYS TRUST	3/1/2011	11/27/2012		535	12,096	12,035	0	-61
HOST HOTELS & RESORTS	3/4/2011	11/27/2012		1,267	22,388	18,476	0	-3,912
HOST HOTELS & RESORTS	3/1/2011	11/27/2012		1,240	21,787	18,083	0	-3,704
HOST HOTELS & RESORTS	1/20/2011	11/27/2012		982	17,637	14,320	0	-3,317
HOST HOTELS & RESORTS	12/22/2010	11/27/2012		1,353	24,002	19,730	0	-4,272
HOYA CORP ADR	8/2/2012	11/27/2012		456	10,301	8,787	-1,514	0
HOYA CORP ADR	4/11/2012	11/27/2012		560	12,550	10,791	-1,759	0
HOYA CORP ADR	3/4/2011	11/27/2012		593	13,906	11,427	0	-2,479
HOYA CORP ADR	1/20/2011	11/27/2012		785	18,487	15,127	0	-3,360
HOYA CORP ADR	12/22/2010	11/27/2012		1,006	23,923	19,385	0	-4,538

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ICICI BANK LTD SPD ADR	12/22/2010	11/27/2012		700	35,168	26,809	0	-8,359
ICICI BANK LTD SPD ADR	4/11/2012	11/27/2012		257	8,818	9,843	1,025	0
ICICI BANK LTD SPD ADR	3/4/2011	11/27/2012		437	19,731	16,737	0	-2,994
ICICI BANK LTD SPD ADR	1/20/2011	11/27/2012		536	24,340	20,528	0	-3,811
IDEX CORP DELAWARE COM	3/4/2011	11/27/2012		76	3,139	3,346	0	208
IDEX CORP DELAWARE COM	3/1/2011	11/27/2012		85	3,437	3,743	0	306
IDEX CORP DELAWARE COM	2/3/2012	11/27/2012		63	2,688	2,774	86	0
IDEXX LAB INC DEL \$0 10	3/1/2011	11/27/2012		61	4,726	5,640	0	914
IDEXX LAB INC DEL \$0 10	3/4/2011	11/27/2012		71	5,533	6,565	0	1,032
IHS INC	3/1/2011	11/27/2012		95	7,806	8,532	0	726
IHS INC	1/20/2011	11/27/2012		14	1,107	1,257	0	150
IHS INC	3/4/2011	11/27/2012		57	4,985	5,119	0	134
ILLUMINA INC COM	7/26/2012	11/27/2012		71	2,930	3,663	733	0
ILLUMINA INC COM	5/31/2012	11/27/2012		133	5,752	6,861	1,109	0
ILLUMINA INC COM	4/23/2012	11/27/2012		71	3,086	3,663	576	0
IMMUNOGEN INC	8/8/2012	11/27/2012		44	568	537	-32	0
IMMUNOGEN INC	6/13/2012	11/27/2012		198	2,946	2,414	-532	0
IMPERIAL OIL LTD COM NEW	4/11/2012	11/27/2012		166	7,155	7,118	-37	0
IMPERIAL OIL LTD COM NEW	3/4/2011	11/27/2012		249	13,302	10,677	0	-2,625
IMPERIAL OIL LTD COM NEW	1/20/2011	11/27/2012		368	15,471	15,779	0	309
IMPERIAL OIL LTD COM NEW	12/22/2010	11/27/2012		516	20,361	22,126	0	1,764
INCYTE CORPORATION	9/13/2012	11/27/2012		205	3,596	3,710	115	0
INCYTE CORPORATION	8/3/2012	11/27/2012		160	3,098	2,896	-202	0
INFOBLOX INC	10/18/2012	11/27/2012		75	1,396	1,088	-308	0
INFOBLOX INC	9/27/2012	11/27/2012		124	2,926	1,799	-1,127	0
INFOBLOX INC	8/20/2012	11/27/2012		146	3,070	2,118	-952	0
IRONWOOD PHARMACEUTICALS	12/22/2010	11/27/2012		104	1,133	1,130	0	-2
IRONWOOD PHARMACEUTICALS	3/4/2011	11/27/2012		54	662	587	0	-75
IRONWOOD PHARMACEUTICALS	1/20/2011	11/27/2012		78	874	848	0	-27
IRONWOOD PHARMACEUTICALS	9/10/2012	11/27/2012		294	3,525	3,195	-330	0
ITAU UNIBANCO BANCO HOLD	9/26/2012	11/27/2012		705	11,076	10,526	-550	0
ITAU UNIBANCO BANCO HOLD	1/20/2011	11/27/2012		571	12,762	8,525	0	-4,237
ITAU UNIBANCO BANCO HOLD	12/22/2010	11/27/2012		701	16,431	10,466	0	-5,965
ITAU UNIBANCO BANCO HOLD	7/31/2012	11/27/2012		1,629	25,689	24,321	-1,368	0
ITAU UNIBANCO BANCO HOLD	4/11/2012	11/27/2012		211	3,676	3,150	-525	0
ITAU UNIBANCO BANCO HOLD	8/16/2011	11/27/2012		44	771	657	0	-114
ITAU UNIBANCO BANCO HOLD	3/4/2011	11/27/2012		584	13,274	8,719	0	-4,555
J M SMUCKER CO	7/22/2011	11/27/2012		43	3,422	3,714	0	292
J M SMUCKER CO	7/24/2011	11/27/2012 WS		3	217	259	0	43
J M SMUCKER CO	7/22/2011	11/27/2012 WS		73	5,268	6,305	0	1,037
J M SMUCKER CO	7/19/2012	11/27/2012		41	3,151	3,541	390	0
JACK HENRY & ASSOC INC	3/1/2011	11/27/2012		491	15,486	19,049	0	3,563
JACK HENRY & ASSOC INC	4/11/2012	11/27/2012		148	4,906	5,742	836	0
JACK HENRY & ASSOC INC	3/4/2011	11/27/2012		389	12,596	15,092	0	2,496
JGC CORP-UN	5/13/2011	11/27/2012		628	31,519	41,045	0	9,526
JGC CORP-UN	4/11/2012	11/27/2012		109	6,404	7,124	720	0
JOHNSON CONTROLS INC	7/20/2012	11/27/2012		123	3,122	3,300	179	0
JOHNSON CONTROLS INC	11/16/2011	11/27/2012		480	15,125	12,880	0	-2,245
KANSAS CITY SOUTHERN	3/4/2011	11/27/2012		32	1,716	2,539	0	823
KANSAS CITY SOUTHERN	1/20/2011	11/27/2012		60	2,903	4,761	0	1,858
LEXINGTON REALTY TRUST	12/12/2011	11/27/2012		5,735	41,980	53,565	11,585	0
LI & FUNG LTD-UNSP ADR	4/11/2012	11/27/2012		2,559	10,850	8,035	-2,815	0
LI & FUNG LTD-UNSP ADR	2/10/2012	11/27/2012		2,573	11,862	8,079	-3,782	0
LI & FUNG LTD-UNSP ADR	2/9/2012	11/27/2012		87	409	273	-136	0
LI & FUNG LTD-UNSP ADR	9/2/2011	11/27/2012		10,140	37,011	31,839	0	-5,172
LI & FUNG LTD-UNSP ADR	12/22/2010	11/27/2012		3,864	21,716	12,133	0	-9,583
LI & FUNG LTD-UNSP ADR	12/22/2010	11/27/2012 WS		1,926	10,824	6,048	0	0
LI & FUNG LTD-UNSP ADR	1/20/2011	11/27/2012		3,418	21,602	10,732	0	-10,869
LI & FUNG LTD-UNSP ADR	8/16/2011	11/27/2012		1,205	4,193	3,784	0	-410
LI & FUNG LTD-UNSP ADR	3/4/2011	11/27/2012		2,437	14,110	7,652	0	-6,458
LINCOLN ELEC HLDGS INC	3/1/2011	11/27/2012		11	384	512	0	129
LINCOLN ELEC HLDGS INC	3/1/2011	11/27/2012		90	3,138	4,193	0	1,054
LINCOLN ELEC HLDGS INC	3/1/2011	11/27/2012		57	1,988	2,655	0	668
LINCOLN ELEC HLDGS INC	4/13/2011	11/27/2012		23	855	1,071	0	217
LINCOLN ELEC HLDGS INC	3/4/2011	11/27/2012		243	8,814	11,320	0	2,507
LINCOLN ELEC HLDGS INC	3/4/2011	11/27/2012		78	2,829	3,634	0	805
LINCOLN ELEC HLDGS INC	3/4/2011	11/27/2012		13	472	606	0	134
LINCOLN ELEC HLDGS INC	4/13/2011	11/27/2012		65	2,416	3,028	0	612
LKQ CORP	3/1/2011	11/27/2012		228	2,684	5,007	0	2,323
LKQ CORP	1/20/2011	11/27/2012		194	2,264	4,260	0	1,996
LKQ CORP	12/20/2011	11/27/2012		258	3,883	5,666	1,783	0
LKQ CORP	8/16/2011	11/27/2012		22	258	483	0	225
LKQ CORP	3/4/2011	11/27/2012		276	3,279	6,061	0	2,782
MATERION	8/1/2012	11/27/2012		84	1,667	1,697	30	0
MATERION	3/5/2012	11/27/2012		105	2,907	2,121	-786	0
MATERION	12/19/2011	11/27/2012		6	140	121	-19	0

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MATERION	11/16/2011	11/27/2012		120	3,020	2,424	0	-596
MAXIM INTEGRATED PRODS	3/4/2011	11/27/2012		36	990	1,025	0	35
MAXIM INTEGRATED PRODS	3/1/2011	11/27/2012	WS	283	7,783	8,061	0	279
MAXIM INTEGRATED PRODS	3/1/2011	11/27/2012		581	15,548	16,549	0	1,002
MC CORMICK NON VTG	10/3/2012	11/27/2012		50	3,144	3,253	109	0
MC CORMICK NON VTG	7/23/2012	11/27/2012		102	6,097	6,637	540	0
MERGE HEALTHCARE INC	9/14/2012	11/27/2012		607	2,294	1,993	-301	0
MERGE HEALTHCARE INC	7/20/2012	11/27/2012		661	2,003	2,170	168	0
MERGE HEALTHCARE INC	4/11/2012	11/27/2012		122	559	401	-158	0
MERGE HEALTHCARE INC	4/4/2012	11/27/2012		245	1,267	804	-462	0
MERGE HEALTHCARE INC	3/14/2012	11/27/2012		415	2,718	1,363	-1,356	0
MERGE HEALTHCARE INC	1/31/2012	11/27/2012		575	3,151	1,888	-1,263	0
MICROCHIP TECHNOLOGY INC	3/4/2011	11/27/2012		164	5,871	4,894	0	-976
MICROCHIP TECHNOLOGY INC	3/1/2011	11/27/2012		594	20,777	17,727	0	-3,050
MICROS SYSTEMS INC	1/20/2011	11/27/2012		18	807	786	0	-22
MICROS SYSTEMS INC	3/4/2011	11/27/2012		103	5,057	4,497	0	-560
MICROS SYSTEMS INC	3/1/2011	11/27/2012		167	7,831	7,291	0	-540
MICROSTRATEGY INC CLA NE	8/19/2011	11/27/2012		33	3,537	2,839	0	-699
MICROSTRATEGY INC CLA NE	3/15/2011	11/27/2012		12	1,375	1,032	0	-343
MICROSTRATEGY INC CLA NE	10/24/2012	11/27/2012		10	1,120	860	-260	0
MICROSTRATEGY INC CLA NE	10/8/2012	11/27/2012		30	3,679	2,581	-1,098	0
MICROSTRATEGY INC CLA NE	8/6/2012	11/27/2012		52	6,453	4,473	-1,980	0
MICROSTRATEGY INC CLA NE	1/23/2012	11/27/2012		3	334	258	-76	0
MONMOUTH R E INVS CORP A	3/4/2011	11/27/2012		721	5,912	7,403	0	1,491
MONMOUTH R E INVS CORP A	12/22/2010	11/27/2012		604	5,176	6,201	0	1,025
MONMOUTH R E INVS CORP A	3/1/2011	11/27/2012		789	6,486	8,101	0	1,615
MONMOUTH R E INVS CORP A	1/20/2011	11/27/2012		527	4,564	5,411	0	847
MRC GLOBAL INC	7/20/2012	11/27/2012		84	1,926	2,246	320	0
MRC GLOBAL INC	4/26/2012	11/27/2012		165	3,305	4,411	1,106	0
MRC GLOBAL INC	4/13/2012	11/27/2012		150	3,119	4,010	892	0
NATIONAL GRID PLC SP ADR	3/4/2011	11/27/2012		184	8,668	10,468	0	1,799
NATIONAL GRID PLC SP ADR	3/1/2011	11/27/2012		467	21,519	26,567	0	5,048
NATIONAL GRID PLC SP ADR	1/20/2011	11/27/2012		71	3,105	4,039	0	934
NATIONAL GRID PLC SP ADR	12/22/2010	11/27/2012		8	357	455	0	98
NATIONAL RETAIL PPTYS	12/22/2010	11/27/2012		477	12,354	14,683	0	2,329
NATIONAL RETAIL PPTYS	3/1/2011	11/27/2012		447	11,103	13,760	0	2,656
NATIONAL RETAIL PPTYS	1/20/2011	11/27/2012		353	8,514	10,866	0	2,352
NATIONAL RETAIL PPTYS	3/4/2011	11/27/2012		436	11,009	13,421	0	2,412
NETSCOUT SYSTEMS INC COM	3/20/2012	11/27/2012		168	3,431	4,138	708	0
NETSCOUT SYSTEMS INC COM	3/2/2012	11/27/2012		152	3,189	3,744	555	0
NETSCOUT SYSTEMS INC COM	7/20/2012	11/27/2012		78	1,796	1,921	125	0
NEXTERA ENERGY INC SHS	3/4/2011	11/27/2012		240	13,056	16,349	0	3,293
NEXTERA ENERGY INC SHS	3/1/2011	11/27/2012		120	6,504	8,174	0	1,670
NISOURCE INC	3/1/2011	11/27/2012		1,228	23,123	29,280	0	6,157
NISOURCE INC	8/16/2011	11/27/2012		128	2,607	3,052	0	445
NISOURCE INC	7/25/2011	11/27/2012		875	18,401	20,863	0	2,462
NISOURCE INC	3/4/2011	11/27/2012		684	13,003	16,309	0	3,306
NOKIAN TYRES OYJ-UNSPON	3/12/2012	11/27/2012		1,550	35,960	31,108	-4,852	0
NOKIAN TYRES OYJ-UNSPON	6/28/2012	11/27/2012		898	15,940	18,022	2,083	0
NOKIAN TYRES OYJ-UNSPON	4/11/2012	11/27/2012		182	4,281	3,653	-628	0
O'REILLY AUTOMOTIVE INC	3/4/2011	11/27/2012		103	5,795	9,545	0	3,750
O'REILLY AUTOMOTIVE INC	3/4/2011	11/27/2012		39	2,194	3,614	0	1,420
O'REILLY AUTOMOTIVE INC	3/1/2011	11/27/2012		13	715	1,205	0	490
O'REILLY AUTOMOTIVE INC	3/4/2011	11/27/2012		22	1,238	2,039	0	801
O'REILLY AUTOMOTIVE INC	3/1/2011	11/27/2012		13	715	1,205	0	490
OIL STS INTL INC DEL COM	3/4/2011	11/27/2012		52	3,971	3,483	0	-488
OIL STS INTL INC DEL COM	1/20/2011	11/27/2012		118	7,556	7,903	0	348
OMEGA HEALTHCARE INVS	10/17/2012	11/27/2012		270	6,342	6,214	-128	0
PANERA BREAD CO CL A	1/20/2011	11/27/2012		21	2,108	3,391	0	1,284
PANERA BREAD CO CL A	3/4/2011	11/27/2012		46	5,511	7,429	0	1,918
PANERA BREAD CO CL A	3/1/2011	11/27/2012		58	6,722	9,367	0	2,645
PEOPLES UNITED FNL INC	3/7/2011	11/27/2012		4	50	49	0	-2
PEOPLES UNITED FNL INC	3/4/2011	11/27/2012		565	7,119	6,887	0	-232
PEOPLES UNITED FNL INC	3/1/2011	11/27/2012		1,409	18,204	17,175	0	-1,029
PEPSICO INC	3/15/2011	11/27/2012		108	6,856	7,601	0	746
PEPSICO INC	3/15/2011	11/27/2012		95	6,031	6,686	0	656
PEPSICO INC	3/15/2011	11/27/2012		13	825	915	0	90
PERFORMANT FINL CORP	10/15/2012	11/27/2012		290	3,164	2,258	-906	0
PERFORMANT FINL CORP	8/13/2012	11/27/2012		305	3,190	2,375	-816	0
PERRIGO CO	3/1/2012	11/27/2012		19	2,018	1,941	-77	0
PERRIGO CO	7/19/2012	11/27/2012		34	3,907	3,474	-433	0
PERRIGO CO	3/1/2012	11/27/2012		55	5,840	5,619	-222	0
PIMCO EMERGING LOCAL	8/15/2011	11/27/2012		113,341	1,247,884	1,233,150	0	-14,734
POLARIS INDUSTRIES COM	1/24/2012	11/27/2012		49	3,069	4,193	1,124	0
POLARIS INDUSTRIES COM	3/13/2012	11/27/2012		48	3,215	4,108	893	0
POLARIS INDUSTRIES COM	2/2/2012	11/27/2012		47	3,094	4,022	928	0

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POLARIS INDUSTRIES COM	7/20/2012	11/27/2012		19	1,415	1,626	211	0
PPG INDUSTRIES INC SHS	3/4/2011	11/27/2012		88	7,772	10,824	0	3,052
PPG INDUSTRIES INC SHS	3/1/2011	11/27/2012		311	27,356	38,252	0	10,897
PROCERA NETWORKS INC SHS	8/3/2012	11/27/2012		12	274	246	-28	0
PROCERA NETWORKS INC SHS	7/20/2012	11/27/2012		117	2,760	2,394	-366	0
PROCERA NETWORKS INC SHS	1/30/2012	11/27/2012		7	118	143	26	0
PROOFPOINT INC	10/3/2012	11/27/2012		25	328	254	-74	0
PROOFPOINT INC	8/22/2012	11/27/2012		236	3,240	2,395	-845	0
PROOFPOINT INC	4/24/2012	11/27/2012		224	3,134	2,274	-860	0
PROS HLDGS INC	7/20/2012	11/27/2012		196	2,787	3,334	547	0
PROS HLDGS INC	4/11/2012	11/27/2012		142	2,648	2,416	-233	0
PROS HLDGS INC	3/9/2012	11/27/2012		30	554	510	-44	0
PROS HLDGS INC	8/15/2011	11/27/2012		69	943	1,174	0	231
PROS HLDGS INC	12/22/2010	11/27/2012		294	3,234	5,001	0	1,767
PROS HLDGS INC	3/4/2011	11/27/2012		106	1,261	1,803	0	542
PROS HLDGS INC	1/20/2011	11/27/2012		26	282	442	0	160
PUBLIC STORAGE \$0 10	12/22/2010	11/27/2012		236	23,758	33,596	0	9,838
PUBLIC STORAGE \$0 10	3/1/2011	11/27/2012		226	24,964	32,173	0	7,209
PUBLIC STORAGE \$0 10	1/20/2011	11/27/2012		230	23,909	32,742	0	8,833
PUBLIC STORAGE \$0 10	3/4/2011	11/27/2012		263	28,699	37,440	0	8,741
PUMA BIOTECH INC	10/19/2012	11/27/2012		194	4,295	3,515	-780	0
QIAGEN NV	3/5/2012	11/27/2012		239	3,616	4,410	794	0
QIAGEN NV	3/4/2011	11/27/2012		420	8,711	7,751	0	-960
QIAGEN NV	1/20/2011	11/27/2012		731	13,779	13,490	0	-290
QIAGEN NV	12/22/2010	11/27/2012		960	18,634	17,716	0	-918
QUALYS INC COM	10/26/2012	11/27/2012		665	9,543	8,074	-1,468	0
R R DONNELLEY SONS	4/11/2012	11/27/2012		455	5,460	4,424	-1,036	0
R R DONNELLEY SONS	4/11/2012	11/27/2012		227	2,724	2,207	-517	0
R R DONNELLEY SONS	3/4/2011	11/27/2012		309	5,695	3,005	0	-2,690
R R DONNELLEY SONS	3/4/2011	11/27/2012		259	4,773	2,518	0	-2,255
RAYONIER INC REIT	12/12/2011	11/27/2012		1,485	60,900	72,150	11,251	0
RAYTHEON CO DELAWARE NEW	3/4/2011	11/27/2012		201	10,305	11,370	0	1,065
RAYTHEON CO DELAWARE NEW	3/1/2011	11/27/2012		225	11,385	12,728	0	1,343
RAYTHEON CO DELAWARE NEW	8/15/2011	11/27/2012		53	2,183	2,998	0	815
REALTY INCM CRP MD PV\$1	12/22/2010	11/27/2012		547	18,882	21,579	0	2,696
REALTY INCM CRP MD PV\$1	3/4/2011	11/27/2012		485	16,922	19,133	0	2,211
REALTY INCM CRP MD PV\$1	3/1/2011	11/27/2012		370	13,028	14,596	0	1,569
REALTY INCM CRP MD PV\$1	1/20/2011	11/27/2012		425	14,331	16,766	0	2,435
RED HAT INC	6/20/2011	11/27/2012		74	3,111	3,566	0	455
RED HAT INC	3/4/2011	11/27/2012		38	1,558	1,831	0	273
RED HAT INC	3/1/2011	11/27/2012		13	523	626	0	104
RED HAT INC	1/20/2011	11/27/2012		34	1,486	1,638	0	152
RED HAT INC	6/6/2012	11/27/2012		55	3,017	2,650	-367	0
ROPER INDUSTRIES INC COM	3/4/2011	11/27/2012		101	8,684	11,279	0	2,595
ROPER INDUSTRIES INC COM	3/1/2011	11/27/2012		22	1,831	2,457	0	626
ROPER INDUSTRIES INC COM	1/21/2011	11/27/2012 WS		5	357	558	0	202
SANCHEZ ENERGY CORP COM	7/20/2012	11/27/2012		19	352	352	0	0
SANCHEZ ENERGY CORP COM	3/7/2012	11/27/2012		146	3,209	2,702	-507	0
SASOL LTD SPONSORED ADR	8/16/2011	11/27/2012		12	535	518	0	-18
SASOL LTD SPONSORED ADR	3/4/2011	11/27/2012		190	10,585	8,194	0	-2,391
SASOL LTD SPONSORED ADR	1/20/2011	11/27/2012		194	9,884	8,367	0	-1,517
SASOL LTD SPONSORED ADR	12/22/2010	11/27/2012		209	10,216	9,014	0	-1,202
SASOL LTD SPONSORED ADR	4/11/2012	11/27/2012		72	3,258	3,105	-153	0
SCIQEST INC NEW	4/17/2012	11/27/2012		209	3,181	3,108	-73	0
SEADRILL LTD	3/2/2011	11/27/2012 WS		137	4,162	5,275	0	1,113
SEADRILL LTD	6/8/2011	11/27/2012		443	15,120	17,057	0	1,938
SEADRILL LTD	3/19/2011	11/27/2012 WS		215	6,910	8,278	0	1,368
SEADRILL LTD	9/2/2011	11/27/2012		395	12,695	15,209	0	2,514
SENIOR HSG PPTYS TRSBI	12/12/2011	11/27/2012		285	6,073	6,404	331	0
SENIOR HSG PPTYS TRSBI	10/17/2012	11/27/2012		285	6,307	6,404	97	0
SENIOR HSG PPTYS TRSBI	12/12/2011	11/27/2012		1,670	35,588	37,526	1,938	0
SIGMA ALDRICH CORP	3/4/2011	11/27/2012		63	4,042	4,539	0	497
SIGMA ALDRICH CORP	3/1/2011	11/27/2012		79	4,930	5,692	0	762
SIGNATURE BANK	9/5/2012	11/27/2012		45	2,953	3,214	261	0
SIGNATURE BANK	8/11/2011	11/27/2012		90	4,763	6,429	0	1,666
SIGNATURE BANK	3/4/2011	11/27/2012		36	1,956	2,571	0	616
SIGNATURE BANK	3/1/2011	11/27/2012		21	1,083	1,500	0	417
SIMON PROPERTY GROUP DEL	3/4/2011	11/27/2012		499	52,974	75,477	0	22,503
SIMON PROPERTY GROUP DEL	3/1/2011	11/27/2012		343	36,852	51,881	0	15,029
SIMON PROPERTY GROUP DEL	12/22/2010	11/27/2012		352	34,683	53,242	0	18,560
SIMON PROPERTY GROUP DEL	2/17/2011	11/27/2012		102	11,016	15,428	0	4,412
SIMON PROPERTY GROUP DEL	1/20/2011	11/27/2012		379	37,457	57,326	0	19,870
SONOVA HOLD AG UNSPOND	3/29/2011	11/27/2012 WS		369	5,753	8,099	0	2,347
SONOVA HOLD AG UNSPOND	3/29/2011	11/27/2012		1,412	28,466	30,993	0	2,527
SONOVA HOLD AG UNSPOND	4/11/2012	11/27/2012		443	9,626	9,724	97	0
SONOVA HOLD AG UNSPOND	8/12/2011	11/27/2012		605	9,432	13,279	0	3,848

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SPECTRA ENERGY CORP	3/1/2011	11/27/2012		242	6,365	6,726	0	362
SPECTRA ENERGY CORP	3/1/2011	11/27/2012		1	26	28	0	1
SPECTRA ENERGY CORP	3/4/2011	11/27/2012		295	7,918	8,199	0	281
SPECTRA ENERGY CORP	3/4/2011	11/27/2012		176	4,724	4,892	0	168
SPECTRA ENERGY CORP	3/1/2011	11/27/2012		894	23,512	24,848	0	1,336
SPECTRA ENERGY CORP	3/1/2011	11/27/2012		294	7,732	8,171	0	439
SPLUNK INC	10/10/2012	11/27/2012		97	3,040	2,808	-232	0
SPLUNK INC	7/20/2012	11/27/2012		34	1,001	984	-17	0
SPLUNK INC	4/24/2012	11/27/2012		174	6,200	5,037	-1,162	0
STERICYCLE INC COM	3/4/2011	11/27/2012		73	6,276	6,810	0	534
STERICYCLE INC COM	3/1/2011	11/27/2012		90	7,551	8,396	0	845
STERICYCLE INC COM	1/20/2011	11/27/2012		24	1,886	2,239	0	353
SVB FINL GROUP	8/3/2012	11/27/2012		10	572	564	-8	0
SVB FINL GROUP	7/24/2012	11/27/2012		20	1,136	1,129	-7	0
SVB FINL GROUP	9/19/2011	11/27/2012		37	1,514	2,088	0	574
SVB FINL GROUP	8/15/2011	11/27/2012		13	607	734	0	127
SVB FINL GROUP	6/8/2011	11/27/2012		63	3,601	3,556	0	-45
SVB FINL GROUP	3/16/2011	11/27/2012		63	3,327	3,556	0	229
SVB FINL GROUP	4/5/2011	11/27/2012		64	3,718	3,612	0	-106
SVB FINL GROUP	3/29/2011	11/27/2012		55	3,164	3,104	0	-60
SVENSKA HANDELSBANKEN AB	7/23/2012	11/27/2012		1,975	32,133	34,107	1,974	0
SYNCHRONOSS TECHNOLOGIES	6/21/2012	11/27/2012		175	3,238	3,092	-145	0
SYNCHRONOSS TECHNOLOGIES	5/8/2012	11/27/2012		310	6,194	5,478	-716	0
SYNTEL INC COM	3/1/2011	11/27/2012		336	17,092	20,395	0	3,302
SYNTEL INC COM	3/4/2011	11/27/2012		221	11,492	13,414	0	1,922
SYSMEX CORP-UNSPON	5/25/2012	11/27/2012		2,025	40,520	44,373	3,853	0
TEXAS INSTRUMENTS	10/5/2011	11/27/2012		510	13,979	15,124	0	1,145
THERMO FISHER SCIENTIFIC	10/25/2012	11/27/2012		120	7,388	7,491	103	0
THERMO FISHER SCIENTIFIC	7/10/2012	11/27/2012		290	15,234	18,104	2,871	0
THOR INDUSTRIES INC	11/5/2012	11/27/2012		315	12,644	12,331	-313	0
TRACTOR SUPPLY CO	3/1/2011	11/27/2012		84	4,248	7,513	0	3,265
TRACTOR SUPPLY CO	7/20/2012	11/27/2012		27	2,120	2,415	295	0
TRACTOR SUPPLY CO	3/4/2011	11/27/2012		83	4,459	7,423	0	2,965
TRIMBLE NAV LTD	3/1/2011	11/27/2012		109	5,273	5,969	0	695
TRIMBLE NAV LTD	3/4/2011	11/27/2012		103	5,148	5,640	0	492
TURKIYE GRTI BK SPN ADR	1/20/2011	11/27/2012		2,434	11,659	11,508	0	-150
TURKIYE GRTI BK SPN ADR	12/22/2010	11/27/2012		2,002	10,250	9,466	0	-784
TURKIYE GRTI BK SPN ADR	7/20/2012	11/27/2012		2,501	9,604	11,825	2,221	0
TURKIYE GRTI BK SPN ADR	4/11/2012	11/27/2012		1,606	6,247	7,593	1,346	0
TURKIYE GRTI BK SPN ADR	3/11/2011	11/27/2012		7,022	33,776	33,201	0	-574
TURKIYE GRTI BK SPN ADR	3/4/2011	11/27/2012		1,376	6,013	6,506	0	493
UNDER ARMOUR INC	10/8/2012	11/27/2012		112	6,371	6,143	-228	0
UNICHARM CORP	1/20/2011	11/27/2012		1,825	13,961	18,559	0	4,598
UNICHARM CORP	12/22/2010	11/27/2012		2,800	22,540	28,474	0	5,934
UNICHARM CORP	4/11/2012	11/27/2012		1,325	14,178	13,474	-703	0
UNICHARM CORP	3/4/2011	11/27/2012		2,120	15,942	21,559	0	5,616
UNITED PARCEL SVC CL B	8/10/2011	11/27/2012		143	8,998	10,380	0	1,383
UNITED PARCEL SVC CL B	3/7/2011	11/27/2012		4	287	290	0	4
UNITED PARCEL SVC CL B	3/4/2011	11/27/2012		114	8,216	8,275	0	59
UNITED PARCEL SVC CL B	3/1/2011	11/27/2012		249	17,918	18,075	0	156
UNIVERSAL DISPLAY CORP	7/20/2012	11/27/2012		36	1,225	842	-383	0
UNIVERSAL DISPLAY CORP	4/24/2012	11/27/2012		65	2,484	1,520	-964	0
UNIVERSAL DISPLAY CORP	12/19/2011	11/27/2012		70	2,372	1,637	-734	0
UNIVERSAL DISPLAY CORP	8/16/2011	11/27/2012		118	3,980	2,760	0	-1,220
UNIVERSAL DISPLAY CORP	8/15/2011	11/27/2012		8	286	187	0	-99
UNIVERSAL DISPLAY CORP	7/11/2011	11/27/2012		25	848	585	0	-263
UNIVERSAL DISPLAY CORP	6/21/2011	11/27/2012		10	353	234	0	-119
UNIVERSAL DISPLAY CORP	6/9/2011	11/27/2012		29	1,065	678	0	-387
VALLEY NATL BANCORP N J	7/20/2012	11/27/2012		155	1,629	1,461	-168	0
VALLEY NATL BANCORP N J	5/5/2011	11/27/2012		849	10,961	8,001	0	-2,959
VALLEY NATL BANCORP N J	5/5/2011	11/27/2012		1	13	9	0	-4
VALLEY NATL BANCORP N J	5/3/2011	11/27/2012 WS		23	246	217	0	-30
VALLEY NATL BANCORP N J	5/2/2011	11/27/2012		138	1,797	1,301	0	-496
VALLEY NATL BANCORP N J	4/30/2011	11/27/2012 WS		286	3,063	2,695	0	-368
VALLEY NATL BANCORP N J	4/30/2011	11/27/2012		1	12	9	0	-2
VARIAN MEDICAL SYS INC	6/22/2011	11/27/2012		51	3,494	3,529	0	36
VARIAN MEDICAL SYS INC	3/4/2011	11/27/2012		116	8,162	8,028	0	-134
VARIAN MEDICAL SYS INC	3/1/2011	11/27/2012		33	2,280	2,284	0	3
VENTAS INC	3/4/2011	11/27/2012		320	16,883	20,641	0	3,758
VENTAS INC	3/1/2011	11/27/2012		265	14,138	17,093	0	2,956
VENTAS INC	1/20/2011	11/27/2012		221	11,850	14,255	0	2,405
VENTAS INC	12/22/2010	11/27/2012		242	12,526	15,610	0	3,084
VERISIGN INC	3/5/2012	11/27/2012		55	2,021	2,195	174	0
VERISIGN INC	2/25/2011	11/27/2012		29	964	1,157	0	194
VERISIGN INC	3/14/2011	11/27/2012		98	3,236	3,911	0	675
VERISIGN INC	3/4/2011	11/27/2012		83	2,763	3,312	0	549

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VERIZON COMMUNICATNS COM	3/1/2011	11/27/2012		595	21,432	25,686	0	4,254
VERIZON COMMUNICATNS COM	3/4/2011	11/27/2012		524	18,869	22,621	0	3,751
VOLCANO CORP	11/29/2011	11/27/2012		70	1,604	1,884	280	0
VOLCANO CORP	12/22/2010	11/27/2012		45	1,265	1,211	0	-53
VOLCANO CORP	3/4/2011	11/27/2012		232	6,243	6,245	0	2
VOLCANO CORP	1/20/2011	11/27/2012		163	4,540	4,388	0	-152
WASTE MANAGEMENT INC NEW	3/1/2011	11/27/2012		725	26,644	23,282	0	-3,361
WASTE MANAGEMENT INC NEW	8/15/2011	11/27/2012		28	868	899	0	31
WASTE MANAGEMENT INC NEW	3/4/2011	11/27/2012		382	14,203	12,267	0	-1,935
WD 40 COMPANY DELAWARE	7/20/2012	11/27/2012		82	3,884	3,888	4	0
WD 40 COMPANY DELAWARE	3/4/2011	11/27/2012		281	11,482	13,323	0	1,842
WD 40 COMPANY DELAWARE	3/1/2011	11/27/2012		413	16,660	19,582	0	2,921
WEINGARTEN RLTY INVS SBI	3/4/2011	11/27/2012		649	15,952	17,526	0	1,573
WEINGARTEN RLTY INVS SBI	3/1/2011	11/27/2012		513	12,907	13,853	0	946
WEINGARTEN RLTY INVS SBI	1/20/2011	11/27/2012		478	11,434	12,908	0	1,474
WEINGARTEN RLTY INVS SBI	12/22/2010	11/27/2012		569	13,303	15,366	0	2,062
WESCO INTERNATIONAL INC	8/9/2011	11/27/2012		64	2,484	4,072	0	1,588
WESCO INTERNATIONAL INC	6/7/2011	11/27/2012		40	2,050	2,545	0	495
WILEY JOHN & SONS CL A	3/1/2011	11/27/2012		370	17,190	15,549	0	-1,641
WILEY JOHN & SONS CL A	3/4/2011	11/27/2012		285	13,401	11,977	0	-1,424
WOLVERINE WORLD WIDE	6/11/2012	11/27/2012		100	4,025	4,318	293	0
WOLVERINE WORLD WIDE	11/22/2011	11/27/2012		340	11,682	14,682	0	3,000
WPP PLC ADR	4/11/2012	11/27/2012		172	11,398	11,653	255	0
WPP PLC ADR	12/22/2010	11/27/2012		200	12,208	13,551	0	1,343
WPP PLC ADR	3/4/2011	11/27/2012		443	29,331	30,014	0	683
WPP PLC ADR	1/20/2011	11/27/2012		492	30,903	33,334	0	2,432
XINYI GLASS HOLDI-UNSP	7/11/2012	11/27/2012		145	1,617	1,617	0	0
XINYI GLASS HOLDI-UNSP	7/9/2012	11/27/2012		2,275	25,594	25,366	-228	0
YOUNG INNOVATIONS INC	3/4/2011	11/27/2012		7	223	252	0	29
YOUNG INNOVATIONS INC	3/2/2011	11/27/2012	WS	8	229	288	0	59
YOUNG INNOVATIONS INC	3/1/2011	11/27/2012		307	9,514	11,045	0	1,531
3M COMPANY	9/26/2011	11/27/2012		37	2,730	3,360	0	630
3M COMPANY	8/15/2011	11/27/2012		13	1,079	1,181	0	102
3M COMPANY	7/5/2011	11/27/2012		68	6,545	6,175	0	-370
3M COMPANY	3/4/2011	11/27/2012		108	9,915	9,808	0	-108
3M COMPANY	3/1/2011	11/27/2012		389	35,205	35,326	0	122
7 DAYS GROUP HOLDINGS	4/11/2012	11/27/2012		127	1,615	1,497	-118	0
7 DAYS GROUP HOLDINGS	11/16/2011	11/27/2012		143	2,089	1,686	0	-403
A N S Y S INC COM	1/20/2011	11/28/2012		7	367	454	0	87
A N S Y S INC COM	3/4/2011	11/28/2012		74	4,061	4,800	0	739
A N S Y S INC COM	3/1/2011	11/28/2012		99	5,383	6,422	0	1,039
ABBOTT LABS	3/4/2011	11/28/2012		28	1,362	1,794	0	432
ABBOTT LABS	3/1/2011	11/28/2012		147	6,984	9,420	0	2,436
ABBOTT LABS	3/1/2011	11/28/2012		145	6,889	9,292	0	2,403
ABBOTT LABS	3/1/2011	11/28/2012		87	4,133	5,575	0	1,442
ABBOTT LABS	3/1/2011	11/28/2012		74	3,516	4,742	0	1,226
ABBOTT LABS	1/20/2011	11/28/2012		215	10,294	13,778	0	3,484
ABBOTT LABS	1/20/2011	11/28/2012		134	6,416	8,587	0	2,171
ACTIVISION BLIZZARD INC	6/29/2011	11/28/2012		220	2,570	2,504	0	-66
AETNA INC NEW	10/17/2011	11/28/2012		60	2,260	2,545	0	285
AKBANK TURK SPON ADR REG	12/22/2010	11/28/2012		280	3,046	2,598	0	-448
ALLIANZ SE SPD ADR	12/22/2010	11/28/2012		2,287	27,147	28,976	0	1,829
ALLIANZ SE SPD ADR	12/22/2010	11/28/2012		2	24	25	0	2
ALLIANZ SE SPD ADR	1/20/2011	11/28/2012		1,350	17,685	17,104	0	-581
ALLIANZ SE SPD ADR	1/20/2011	11/28/2012		1,711	22,414	21,678	0	-736
ALLIANZ SE SPD ADR	1/20/2011	11/28/2012		96	1,258	1,216	0	-41
ALLIANZ SE SPD ADR	12/22/2010	11/28/2012		2,744	32,571	34,766	0	2,194
ALLSTATE CORP DEL COM	3/1/2011	11/28/2012		65	2,052	2,625	0	573
ALLSTATE CORP DEL COM	3/1/2011	11/28/2012		25	789	1,009	0	220
ALLSTATE CORP DEL COM	3/1/2011	11/28/2012		18	568	727	0	159
ALLSTATE CORP DEL COM	3/1/2011	11/28/2012		2	63	81	0	18
AMDOCS LIMITED	2/17/2011	11/28/2012	WS	11	302	361	0	59
AMDOCS LIMITED	3/4/2011	11/28/2012		53	1,605	1,738	0	133
AMDOCS LIMITED	3/2/2011	11/28/2012		15	449	492	0	43
AMDOCS LIMITED	3/31/2011	11/28/2012		11	319	361	0	41
AMERICA MOVIL SAB DE CV	1/20/2011	11/28/2012		464	13,591	10,908	0	-2,682
AMERICA MOVIL SAB DE CV	1/20/2011	11/28/2012		491	14,381	11,543	0	-2,838
AMERICA MOVIL SAB DE CV	1/20/2011	11/28/2012		207	6,063	4,866	0	-1,197
AMERICA MOVIL SAB DE CV	12/22/2010	11/28/2012		3	85	71	0	-14
AMERICA MOVIL SAB DE CV	12/22/2010	11/28/2012		53	1,501	1,246	0	-255
AMERICA MOVIL SAB DE CV	12/22/2010	11/28/2012		3	85	71	0	-14
AMERICA MOVIL SAB DE CV	1/20/2011	11/28/2012		150	4,394	3,526	0	-867
AMERICA MOVIL SAB DE CV	12/22/2010	11/28/2012		597	16,907	14,035	0	-2,872
AMERICA MOVIL SAB DE CV	12/22/2010	11/28/2012		576	16,312	13,542	0	-2,771
AMERICA MOVIL SAB DE CV	12/22/2010	11/28/2012		366	10,365	8,605	0	-1,761
AMERICAN WTR WKS CO INC	3/4/2011	11/28/2012		172	4,745	6,455	0	1,710

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AMERICAN WTR WKS CO INC	3/4/2011	11/28/2012		80	2,207	3,002	0	795
AMERICAN WTR WKS CO INC	3/4/2011	11/28/2012		77	2,124	2,890	0	765
AMERICAN WTR WKS CO INC	3/1/2011	11/28/2012		842	23,104	31,600	0	8,495
AMERICAN WTR WKS CO INC	3/1/2011	11/28/2012		168	4,610	6,305	0	1,695
AMERICAN WTR WKS CO INC	3/1/2011	11/28/2012		1	27	38	0	10
AMERIPRISE FINL INC	2/7/2011	11/28/2012		90	5,273	5,391	0	118
AMERISOURCEBERGEN CORP	1/20/2011	11/28/2012		100	3,550	4,098	0	548
AMN ELEC POWER CO	12/22/2010	11/28/2012		51	1,840	2,119	0	279
AMN ELEC POWER CO	12/22/2010	11/28/2012		3	108	125	0	16
AMN ELEC POWER CO	1/10/2012	11/28/2012		42	1,736	1,745	9	0
AMN ELEC POWER CO	3/4/2011	11/28/2012		77	2,717	3,199	0	483
AMN ELEC POWER CO	3/4/2011	11/28/2012		23	811	956	0	144
AMN ELEC POWER CO	3/4/2011	11/28/2012		15	529	623	0	94
AMN ELEC POWER CO	1/20/2011	11/28/2012		92	3,351	3,823	0	472
AMN ELEC POWER CO	1/20/2011	11/28/2012		45	1,639	1,870	0	231
AMN ELEC POWER CO	12/22/2010	11/28/2012		102	3,680	4,238	0	558
ANHEUSER-BUSCH INBEV ADR	5/4/2012	11/28/2012		380	28,059	32,442	4,382	0
AON PLC	3/25/2011	11/28/2012		9	442	509	0	67
AON PLC	3/4/2011	11/28/2012		61	2,994	3,450	0	456
ARM HLDGS PLC SPD ADR	12/22/2010	11/28/2012		880	18,040	32,466	0	14,426
ASAHI KASEI CORP ADR	12/22/2010	11/28/2012		297	3,692	3,320	0	-371
ASAHI KASEI CORP ADR	1/20/2011	11/28/2012		673	9,139	7,524	0	-1,615
AT&T INC	3/4/2011	11/28/2012		157	4,401	5,238	0	837
AT&T INC	3/4/2011	11/28/2012		180	5,045	6,005	0	960
AT&T INC	3/1/2011	11/28/2012		705	19,803	23,521	0	3,718
AT&T INC	3/1/2011	11/28/2012		459	12,893	15,314	0	2,420
AT&T INC	3/1/2011	11/28/2012		386	10,843	12,878	0	2,035
AT&T INC	3/1/2011	11/28/2012		273	7,669	9,108	0	1,440
AT&T INC	3/1/2011	11/28/2012		117	3,287	3,903	0	617
AT&T INC	3/1/2011	11/28/2012		2	56	67	0	11
AT&T INC	3/1/2011	11/28/2012		1	28	33	0	5
AVIVA PLC SHS	11/1/2012	11/28/2012		320	3,520	3,530	10	0
BANCO MACRO SA-	12/22/2010	11/28/2012		260	13,078	3,581	0	-9,497
BARCLAYS PLC ADR	9/18/2012	11/28/2012		240	3,502	3,702	200	0
BAXTER INTERNTL INC	3/4/2011	11/28/2012		56	3,009	3,671	0	662
BAXTER INTERNTL INC	4/25/2012	11/28/2012		132	7,257	8,654	1,396	0
BAXTER INTERNTL INC	4/25/2012	11/28/2012		56	3,079	3,671	592	0
BAXTER INTERNTL INC	4/11/2012	11/28/2012		78	4,534	5,114	579	0
BAXTER INTERNTL INC	3/4/2011	11/28/2012		208	11,178	13,636	0	2,458
BEMIS CO INC	1/20/2011	11/28/2012		7	227	235	0	9
BEMIS CO INC	2/18/2011	11/28/2012		3	99	101	0	2
BEST BUY CO INC	5/16/2012	11/28/2012		8	152	104	-47	0
BEST BUY CO INC	8/11/2011	11/28/2012 WS		142	3,130	1,854	0	-1,276
BG GROUP PLC SPON ADR	3/4/2011	11/28/2012		764	18,298	12,884	0	-5,414
BG GROUP PLC SPON ADR	3/4/2011	11/28/2012		596	14,274	10,051	0	-4,224
BG GROUP PLC SPON ADR	1/20/2011	11/28/2012		1,046	21,788	17,639	0	-4,149
BG GROUP PLC SPON ADR	1/20/2011	11/28/2012		174	3,624	2,934	0	-690
BHP BILLITON PLC SP ADR	11/30/2011	11/28/2012		130	7,961	8,018	57	0
BIDVEST GROUP LTD ADR	1/20/2011	11/28/2012		30	1,340	1,387	0	48
BIDVEST GROUP LTD ADR	12/29/2010	11/28/2012		40	1,916	1,850	0	-66
BJS RESTAURANTS INC	1/5/2011	11/28/2012		5	170	171	0	1
BJS RESTAURANTS INC	7/20/2012	11/28/2012		10	411	342	-69	0
BJS RESTAURANTS INC	6/27/2012	11/28/2012		47	1,745	1,605	-140	0
BJS RESTAURANTS INC	3/4/2011	11/28/2012		138	5,060	4,713	0	-347
BJS RESTAURANTS INC	1/20/2011	11/28/2012		50	1,772	1,708	0	-64
BLACKROCK INC	5/23/2012	11/28/2012		20	3,252	3,915	663	0
BLOCK H&R INC	3/1/2011	11/28/2012		60	884	1,079	0	196
BLOCK H&R INC	1/20/2011	11/28/2012		30	397	540	0	143
BORG WARNER INC COM	1/20/2011	11/28/2012		20	1,375	1,304	0	-71
BRANDYWNE RLTY T SBI NEW	12/12/2011	11/28/2012		5,030	43,258	58,955	15,697	0
CA INC	4/4/2012	11/28/2012		57	1,540	1,256	-283	0
CA INC	4/4/2012	11/28/2012 WS		5	135	110	0	0
CA INC	4/4/2012	11/28/2012 WS		19	513	419	0	0
CA INC	4/4/2012	11/28/2012 WS		14	378	309	0	0
CA INC	4/4/2012	11/28/2012 WS		13	351	287	0	0
CA INC	4/4/2012	11/28/2012 WS		6	162	132	0	0
CA INC	4/4/2012	11/28/2012 WS		2	54	44	0	0
CA INC	4/4/2012	11/28/2012 WS		2	54	44	0	0
CA INC	5/8/2012	11/28/2012		47	1,233	1,036	-197	0
CA INC	5/8/2012	11/28/2012		395	10,361	8,706	-1,655	0
CA INC	5/8/2012	11/28/2012		131	3,436	2,887	-549	0
CA INC	5/8/2012	11/28/2012		65	1,719	1,433	-286	0
CA INC	5/8/2012	11/28/2012		38	1,005	838	-167	0
CA INC	5/8/2012	11/28/2012		24	630	529	-101	0
CA INC	5/8/2012	11/28/2012		12	317	264	-53	0
CABOT OIL & GAS CORP	6/27/2012	11/28/2012		80	3,243	3,758	515	0

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Security Description	Acquisition Date	Sale Date	Wash Sale	Quantity	Cost	Proceeds	ST Gain/(Loss)	LT Gain/(Loss)
CANADIAN NATL RAILWAY CO	3/4/2011	11/28/2012		135	9,940	12,015	0	2,075
CANADIAN NATL RAILWAY CO	2/2/2011	11/28/2012		308	21,332	27,411	0	6,079
CANADIAN NATL RAILWAY CO	4/11/2012	11/28/2012		6	467	534	67	0
CANADIAN NATL RAILWAY CO	3/10/2011	11/28/2012		181	13,380	16,109	0	2,729
CARNIVAL CORP PAIRED SHS	1/20/2011	11/28/2012	WS	90	2,806	3,439	0	633
CATAMARAN CORP SHS	3/4/2011	11/28/2012		60	1,561	2,774	0	1,213
CATAMARAN CORP SHS	3/1/2011	11/28/2012		160	3,965	7,397	0	3,432
CAVIUM INC	5/9/2012	11/28/2012		101	2,540	3,447	907	0
CAVIUM INC	7/20/2012	11/28/2012		14	345	478	133	0
CAVIUM INC	5/10/2012	11/28/2012		165	3,810	5,632	1,822	0
CELGENE CORP COM	9/21/2011	11/28/2012		50	3,250	3,892	0	642
CHEVRON CORP	3/4/2011	11/28/2012		54	5,571	5,601	0	30
CHEVRON CORP	3/4/2011	11/28/2012		99	10,214	10,269	0	55
CHEVRON CORP	3/4/2011	11/28/2012		67	6,912	6,950	0	37
CISCO SYSTEMS INC COM	5/25/2012	11/28/2012		190	3,116	3,561	445	0
CLICKS GROUP LTD SHS	5/31/2011	11/28/2012		70	1,736	1,886	0	150
COACH INC	3/1/2011	11/28/2012		31	1,673	1,826	0	152
COACH INC	3/1/2011	11/28/2012		12	648	707	0	59
COACH INC	3/1/2011	11/28/2012		77	4,156	4,534	0	379
COGNEX CORP	10/4/2011	11/28/2012		6	155	209	0	53
COGNEX CORP	10/4/2011	11/28/2012		11	285	383	0	98
COGNEX CORP	10/4/2011	11/28/2012		9	233	313	0	80
COGNEX CORP	10/22/2012	11/28/2012		10	355	348	-7	0
COGNEX CORP	10/22/2012	11/28/2012		40	1,418	1,392	-26	0
COGNEX CORP	9/6/2012	11/28/2012		171	6,353	5,951	-402	0
COGNEX CORP	9/6/2012	11/28/2012		141	5,238	4,907	-331	0
COGNEX CORP	7/10/2012	11/28/2012		44	1,341	1,531	191	0
COGNEX CORP	11/2/2011	11/28/2012		76	2,548	2,645	0	97
COGNEX CORP	10/4/2011	11/28/2012		52	1,347	1,810	0	463
COMP DE BEBIDAS SPN ADR	3/4/2011	11/28/2012		63	1,758	2,579	0	821
COMP DE BEBIDAS SPN ADR	2/28/2011	11/28/2012		77	2,067	3,152	0	1,086
CONCHO RESOURCES INC	5/4/2011	11/28/2012		7	679	545	0	-135
CONCHO RESOURCES INC	5/4/2011	11/28/2012		32	3,106	2,490	0	-616
CONCHO RESOURCES INC	5/4/2011	11/28/2012		8	776	622	0	-154
CONCHO RESOURCES INC	4/26/2011	11/28/2012		34	3,608	2,646	0	-963
CONCHO RESOURCES INC	4/26/2011	11/28/2012		22	2,335	1,712	0	-623
CONCHO RESOURCES INC	4/26/2011	11/28/2012		12	1,273	934	0	-340
CONCHO RESOURCES INC	4/18/2011	11/28/2012	WS	9	850	700	0	-149
CONCHO RESOURCES INC	4/18/2011	11/28/2012	WS	1	94	78	0	-17
CONCHO RESOURCES INC	4/11/2011	11/28/2012		8	822	622	0	-199
CONCHO RESOURCES INC	4/11/2011	11/28/2012		4	411	311	0	-100
CONCHO RESOURCES INC	4/11/2011	11/28/2012		3	308	233	0	-75
CONCHO RESOURCES INC	4/11/2011	11/28/2012		1	103	78	0	-25
CONCHO RESOURCES INC	1/21/2011	11/28/2012	WS	5	422	389	0	-33
CONCHO RESOURCES INC	1/21/2011	11/28/2012	WS	4	337	311	0	-26
CONOCOPHILLIPS	1/20/2011	11/28/2012		26	1,344	1,463	0	119
CONOCOPHILLIPS	12/22/2010	11/28/2012		179	9,226	10,072	0	846
CONOCOPHILLIPS	12/22/2010	11/28/2012		43	2,216	2,420	0	203
CONOCOPHILLIPS	12/22/2010	11/28/2012		37	1,907	2,082	0	175
CONOCOPHILLIPS	12/22/2010	11/28/2012		5	258	281	0	24
CORE LAB N V COM	3/4/2011	11/28/2012		25	2,561	2,532	0	-28
CORE LAB N V COM	1/20/2011	11/28/2012		46	4,001	4,659	0	658
CORE LAB N V COM	12/22/2010	11/28/2012		9	821	912	0	91
CORNERSTONE ONDEMAND INC	3/5/2012	11/28/2012		24	500	654	153	0
CORNERSTONE ONDEMAND INC	11/1/2011	11/28/2012		106	1,534	2,888	0	1,354
COVIDIEN PLC SHS NEW	5/11/2012	11/28/2012		50	2,775	2,867	92	0
CREDIT SUISSE GP SP ADR	12/22/2010	11/28/2012		360	14,440	8,241	0	-6,198
CROWN HLDGS INC	3/4/2011	11/28/2012		8	310	294	0	-16
CROWN HLDGS INC	3/4/2011	11/28/2012	WS	42	1,630	1,544	0	0
CSL LTD SHS	4/11/2012	11/28/2012		141	2,573	3,679	1,105	0
CSL LTD SHS	3/4/2011	11/28/2012		644	11,689	16,802	0	5,113
CSL LTD SHS	1/20/2011	11/28/2012		577	10,773	15,054	0	4,281
CSL LTD SHS	12/22/2010	11/28/2012		698	12,725	18,210	0	5,486
CVS CAREMARK CORP	1/21/2011	11/28/2012	WS	8	270	363	0	93
CVS CAREMARK CORP	1/21/2011	11/28/2012	WS	4	135	181	0	46
CVS CAREMARK CORP	3/4/2011	11/28/2012		15	494	680	0	187
CVS CAREMARK CORP	3/4/2011	11/28/2012		3	99	136	0	37
DANAHER CORP DEL COM	12/22/2010	11/28/2012		30	1,413	1,569	0	156
DESARROLLADORA SAB ADR	2/22/2012	11/28/2012		30	629	375	-254	0
DEUTSCHE LUFT AG SPN ADR	12/22/2010	11/28/2012		216	4,687	3,553	0	-1,134
DEUTSCHE LUFT AG SPN ADR	1/20/2011	11/28/2012		264	5,689	4,343	0	-1,346
DEVRY INC DEL	5/12/2011	11/28/2012	WS	30	1,158	779	0	-379
DEVRY INC DEL	4/28/2011	11/28/2012	WS	28	1,081	727	0	-354
DEVRY INC DEL	4/10/2011	11/28/2012	WS	32	1,236	831	0	-404
DEXCOM INC	8/3/2012	11/28/2012		170	1,982	2,076	94	0
DEXCOM INC	7/24/2012	11/28/2012		31	358	379	21	0

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DEXCOM INC	1/20/2011	11/28/2012		166	2,455	2,027	0	-428
DEXCOM INC	12/22/2010	11/28/2012		26	358	317	0	-41
DEXCOM INC	7/20/2012	11/28/2012		119	1,451	1,453	3	0
DEXCOM INC	3/5/2012	11/28/2012		135	1,397	1,649	251	0
DEXCOM INC	8/15/2011	11/28/2012		133	1,508	1,624	0	116
DEXCOM INC	3/4/2011	11/28/2012		170	2,579	2,076	0	-503
DISH NETWORK CORPATION	11/18/2011	11/28/2012		23	574	788	0	215
DISH NETWORK CORPATION	11/7/2011	11/28/2012		24	590	823	0	233
DISH NETWORK CORPATION	10/18/2011	11/28/2012		3	78	103	0	25
DOLLAR GENERAL CORP	6/6/2012	11/28/2012		30	1,465	1,488	23	0
DOW CHEMICAL CO	4/4/2012	11/28/2012		200	6,684	5,906	-778	0
DU PONT E I DE NEMOURS	7/5/2011	11/28/2012		66	3,596	2,845	0	-751
DU PONT E I DE NEMOURS	7/5/2011	11/28/2012		75	4,086	3,233	0	-853
DU PONT E I DE NEMOURS	7/5/2011	11/28/2012		59	3,214	2,543	0	-671
DU PONT E I DE NEMOURS	3/4/2011	11/28/2012		92	4,944	3,966	0	-979
DU PONT E I DE NEMOURS	3/4/2011	11/28/2012		61	3,278	2,629	0	-649
DU PONT E I DE NEMOURS	3/1/2011	11/28/2012		304	16,170	13,103	0	-3,066
DU PONT E I DE NEMOURS	3/1/2011	11/28/2012		202	10,744	8,707	0	-2,038
DU PONT E I DE NEMOURS	3/1/2011	11/28/2012		1	53	43	0	-10
E M C CORPORATION MASS	1/20/2011	11/28/2012		20	476	490	0	13
EATON CORP	5/22/2012	11/28/2012		315	13,400	16,291	2,891	0
EATON CORP	5/22/2012	11/28/2012		105	4,467	5,430	964	0
EATON CORP	4/11/2012	11/28/2012		90	4,169	4,655	486	0
EATON CORP	4/11/2012	11/28/2012		30	1,390	1,552	162	0
EATON VANCE CORP NVT	6/19/2012	11/28/2012		640	16,653	20,169	3,516	0
EBAY INC COM	4/19/2012	11/28/2012		40	1,638	2,050	412	0
ECOLAB INC	1/20/2011	11/28/2012		50	2,482	3,491	0	1,009
EDWARDS LIFESCIENCES CRP	1/20/2011	11/28/2012 WS		7	549	586	0	37
EDWARDS LIFESCIENCES CRP	1/20/2011	11/28/2012 WS		7	549	586	0	37
EDWARDS LIFESCIENCES CRP	4/25/2011	11/28/2012 WS		7	549	586	0	37
EDWARDS LIFESCIENCES CRP	4/25/2011	11/28/2012 WS		3	235	251	0	16
EDWARDS LIFESCIENCES CRP	4/25/2011	11/28/2012		20	1,662	1,675	0	13
EDWARDS LIFESCIENCES CRP	4/25/2011	11/28/2012		11	914	921	0	7
EDWARDS LIFESCIENCES CRP	4/25/2011	11/28/2012		3	249	251	0	2
EDWARDS LIFESCIENCES CRP	3/4/2011	11/28/2012 WS		9	706	754	0	48
EDWARDS LIFESCIENCES CRP	3/4/2011	11/28/2012 WS		4	314	335	0	21
EDWARDS LIFESCIENCES CRP	1/20/2011	11/28/2012 WS		10	785	838	0	53
EDWARDS LIFESCIENCES CRP	6/6/2011	11/28/2012		6	516	503	0	-13
EDWARDS LIFESCIENCES CRP	6/6/2011	11/28/2012		13	1,118	1,089	0	-29
EDWARDS LIFESCIENCES CRP	6/3/2011	11/28/2012		27	2,330	2,261	0	-68
EDWARDS LIFESCIENCES CRP	6/3/2011	11/28/2012		13	1,122	1,089	0	-33
ENDOLOGIX INC	7/24/2012	11/28/2012		94	1,277	1,253	-24	0
ENDOLOGIX INC	8/15/2011	11/28/2012		308	2,864	4,106	0	1,242
ENDOLOGIX INC	8/11/2011	11/28/2012		275	2,439	3,666	0	1,227
ENDOLOGIX INC	7/26/2011	11/28/2012		41	351	547	0	196
ENDOLOGIX INC	7/20/2011	11/28/2012 WS		10	86	133	0	48
ENDOLOGIX INC	7/20/2011	11/28/2012		52	500	693	0	193
ENSCO PLC SHS CL A	11/25/2011	11/28/2012		60	2,887	3,354	0	467
FANUC LTD-UNSP	8/16/2011	11/28/2012		97	2,784	2,714	0	-70
FANUC LTD-UNSP	3/4/2011	11/28/2012		761	20,296	21,290	0	994
FANUC LTD-UNSP	1/20/2011	11/28/2012		987	25,563	27,612	0	2,049
FANUC LTD-UNSP	12/22/2010	11/28/2012		1,275	31,327	35,669	0	4,343
FANUC LTD-UNSP	3/5/2012	11/28/2012		80	2,402	2,238	-164	0
FIRST REP BK SAN FRANCISCO	11/8/2011	11/28/2012		4	114	134	0	19
FIRST REP BK SAN FRANCISCO	10/14/2011	11/28/2012		86	2,192	2,875	0	683
FLUOR CORP NEW DEL COM	12/22/2010	11/28/2012		10	660	526	0	-134
FMC TECHS INC COM	3/4/2011	11/28/2012		95	4,531	3,853	0	-677
FMC TECHS INC COM	3/4/2011	11/28/2012		83	3,958	3,366	0	-592
FMC TECHS INC COM	3/4/2011	11/28/2012		51	2,432	2,069	0	-364
FMC TECHS INC COM	3/4/2011	11/28/2012		31	1,478	1,257	0	-221
FORD MOTOR CO	10/26/2012	11/28/2012		340	3,509	3,757	248	0
FRANKLIN RES INC	12/22/2010	11/28/2012		20	2,214	2,619	0	405
FREEMPT-MCMRAN CPR & GLD	3/1/2011	11/28/2012		50	2,582	1,905	0	-677
FRESENIUS MEDICAL CARE	4/11/2012	11/28/2012		10	681	677	-3	0
FRESENIUS MEDICAL CARE	5/24/2011	11/28/2012		297	20,927	20,119	0	-808
FRESENIUS MEDICAL CARE	12/22/2010	11/28/2012		347	19,720	23,506	0	3,786
FRESENIUS MEDICAL CARE	3/4/2011	11/28/2012		228	15,835	15,445	0	-390
FRESENIUS MEDICAL CARE	1/20/2011	11/28/2012		238	13,390	16,122	0	2,732
FUSION-IO INC COM	12/19/2011	11/28/2012		145	3,174	3,405	231	0
FUSION-IO INC COM	9/22/2011	11/28/2012		5	79	117	0	39
GAMESTOP CORP NEW CL A	3/17/2011	11/28/2012		63	1,320	1,662	0	342
GAMESTOP CORP NEW CL A	5/17/2012	11/28/2012		28	533	739	206	0
GAMESTOP CORP NEW CL A	9/21/2011	11/28/2012		59	1,408	1,557	0	149
GAP INC DELAWARE	9/18/2012	11/28/2012		50	1,803	1,762	-41	0
GDF SUEZ ADR	8/11/2011	11/28/2012		540	14,818	11,734	0	-3,084
GENERAL ELECTRIC	11/29/2011	11/28/2012		315	4,716	6,583	1,868	0

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GENERAL ELECTRIC	11/29/2011	11/28/2012		300	4,491	6,270	1,779	0
GENERAL ELECTRIC	10/20/2011	11/28/2012		86	1,416	1,797	0	381
GENERAL ELECTRIC	10/20/2011	11/28/2012		60	988	1,254	0	266
GENERAL ELECTRIC	10/20/2011	11/28/2012		30	494	627	0	133
GENERAL ELECTRIC	3/7/2011	11/28/2012		9	183	188	0	5
GENERAL ELECTRIC	3/7/2011	11/28/2012		6	122	125	0	3
GENERAL ELECTRIC	3/7/2011	11/28/2012		3	61	63	0	2
GENERAL ELECTRIC	3/4/2011	11/28/2012		396	8,055	8,276	0	222
GENERAL ELECTRIC	3/4/2011	11/28/2012		241	4,902	5,037	0	135
GENERAL ELECTRIC	3/4/2011	11/28/2012		140	2,848	2,926	0	78
GENERAL ELECTRIC	3/4/2011	11/28/2012		40	814	836	0	22
GENERAL ELECTRIC	3/1/2011	11/28/2012		580	11,757	12,122	0	365
GENERAL ELECTRIC	3/1/2011	11/28/2012		335	6,790	7,001	0	211
GENERAL ELECTRIC	3/1/2011	11/28/2012		206	4,176	4,305	0	130
GENERAL ELECTRIC	3/1/2011	11/28/2012		78	1,581	1,630	0	49
GENERAL ELECTRIC	12/22/2010	11/28/2012		3	54	63	0	9
GENERAL ELECTRIC	12/22/2010	11/28/2012		229	4,108	4,786	0	678
GENERAL ELECTRIC	12/22/2010	11/28/2012		167	2,996	3,490	0	494
GENERAL ELECTRIC	12/22/2010	11/28/2012		83	1,489	1,735	0	246
GENERAL ELECTRIC	12/22/2010	11/28/2012		3	54	63	0	9
GEOSPACE TECHNOLOGIES	4/23/2012	11/28/2012		60	3,103	4,415	1,312	0
GEOSPACE TECHNOLOGIES	6/22/2012	11/28/2012		20	850	1,472	622	0
GILEAD SCIENCES INC COM	10/2/2012	11/28/2012		10	690	739	49	0
GLOBAL PMTS INC GEORGIA	6/14/2011	11/28/2012		5	248	219	0	-30
GLOBAL PMTS INC GEORGIA	7/1/2011	11/28/2012		65	3,329	2,846	0	-484
GRUPO TELEvisa SA ADR	12/22/2010	11/28/2012		60	1,579	1,394	0	-185
HANNOVER RUECKVERS ADR	12/22/2010	11/28/2012		410	11,111	14,983	0	3,872
HARRIS CORP DEL	3/1/2011	11/28/2012		120	5,524	5,585	0	61
HCA HOLDINGS INC SHS	11/7/2012	11/28/2012	WS	20	665	610	0	0
HCC INS HOLDING INC	3/4/2011	11/28/2012		1	30	36	0	6
HCC INS HOLDING INC	1/20/2011	11/28/2012		39	1,158	1,415	0	257
HEXCEL CORP NEW COM	6/28/2012	11/28/2012		120	2,942	3,008	65	0
HEXCEL CORP NEW COM	6/21/2012	11/28/2012		122	2,972	3,058	86	0
HEXCEL CORP NEW COM	8/22/2012	11/28/2012		40	940	1,003	62	0
HEXCEL CORP NEW COM	7/11/2012	11/28/2012		118	2,941	2,958	17	0
HOLLYFRONTIER CORP	4/17/2012	11/28/2012		50	1,443	2,237	794	0
HOME DEPOT INC	8/9/2011	11/28/2012		138	4,032	8,840	0	4,808
HOME DEPOT INC	8/9/2011	11/28/2012		16	468	1,025	0	557
HOME DEPOT INC	8/9/2011	11/28/2012		16	468	1,025	0	557
HONEYWELL INTL INC DEL	12/22/2010	11/28/2012		110	5,898	6,671	0	773
HSBC HLDG PLC SP ADR	12/22/2010	11/28/2012		136	7,000	6,752	0	-248
HSBC HLDG PLC SP ADR	12/22/2010	11/28/2012		2	103	99	0	-4
HSBC HLDG PLC SP ADR	3/4/2011	11/28/2012		62	3,295	3,078	0	-216
HSBC HLDG PLC SP ADR	1/20/2011	11/28/2012		147	8,167	7,299	0	-869
HSBC HLDG PLC SP ADR	1/20/2011	11/28/2012		76	4,223	3,773	0	-449
HSBC HLDG PLC SP ADR	12/22/2010	11/28/2012		259	13,331	12,859	0	-471
HSBC HLDG PLC SP ADR	3/5/2012	11/28/2012		97	4,313	4,816	503	0
HSBC HLDG PLC SP ADR	3/5/2012	11/28/2012		30	1,334	1,490	156	0
HSBC HLDG PLC SP ADR	3/4/2011	11/28/2012		121	6,430	6,008	0	-422
HUMANA INC	5/22/2012	11/28/2012	WS	11	793	710	-84	0
HUMANA INC	5/22/2012	11/28/2012	WS x	9	649	581	0	0
ILLINOIS TOOL WORKS INC	3/4/2011	11/28/2012		49	2,662	2,981	0	319
ILLINOIS TOOL WORKS INC	2/28/2011	11/28/2012		24	1,297	1,460	0	163
ILLINOIS TOOL WORKS INC	1/27/2011	11/28/2012		87	4,796	5,293	0	497
IMPAX LABS INC	6/6/2011	11/28/2012		130	3,062	2,640	0	-421
IMPAX LABS INC	3/23/2011	11/28/2012		60	1,461	1,219	0	-242
IMPERIAL TOB GRP SPS ADR	2/7/2011	11/28/2012		40	2,536	3,200	0	664
ING GP NV SPSP ADR	2/22/2012	11/28/2012		200	1,744	1,745	1	0
INTEL CORP	3/1/2011	11/28/2012		1	21	20	0	-1
INTEL CORP	3/1/2011	11/28/2012		875	18,681	17,405	0	-1,276
INTEL CORP	3/1/2011	11/28/2012		706	15,073	14,044	0	-1,029
INTEL CORP	3/1/2011	11/28/2012		738	15,756	14,680	0	-1,076
INTERCONTINENTALEXCHANGE	5/4/2011	11/28/2012		6	684	783	0	99
INTERCONTINENTALEXCHANGE	5/4/2011	11/28/2012		12	1,368	1,565	0	197
INTERCONTINENTALEXCHANGE	4/15/2011	11/28/2012		25	3,049	3,261	0	212
INTERCONTINENTALEXCHANGE	4/15/2011	11/28/2012		18	2,195	2,348	0	153
INTERCONTINENTALEXCHANGE	4/15/2011	11/28/2012		16	1,951	2,087	0	136
INTERCONTINENTALEXCHANGE	3/4/2011	11/28/2012		22	2,848	2,869	0	21
INTERCONTINENTALEXCHANGE	3/4/2011	11/28/2012		1	129	130	0	1
INTERCONTINENTALEXCHANGE	3/4/2011	11/28/2012		17	2,201	2,217	0	17
INTERCONTINENTALEXCHANGE	3/4/2011	11/28/2012		3	388	391	0	3
INTL BUSINESS MACHINES	5/16/2012	11/28/2012		10	2,000	1,905	-95	0
INTL PAPER CO	6/9/2011	11/28/2012		281	8,287	10,193	0	1,907
INTL PAPER CO	6/9/2011	11/28/2012		225	6,635	8,162	0	1,527
INTL PAPER CO	6/9/2011	11/28/2012		218	6,429	7,908	0	1,479
INTL PAPER CO	5/6/2011	11/28/2012		81	2,600	2,938	0	338

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INTL PAPER CO	5/6/2011	11/28/2012		43	1,380	1,560	0	180
INTL PAPER CO	5/6/2011	11/28/2012		34	1,091	1,233	0	142
INTL PAPER CO	5/6/2011	11/28/2012		4	128	145	0	17
INTL PAPER CO	5/6/2011	11/28/2012		3	96	109	0	13
INTL PAPER CO	6/21/2011	11/28/2012		252	7,237	9,141	0	1,904
INTL PAPER CO	6/21/2011	11/28/2012		203	5,830	7,364	0	1,534
INTL PAPER CO	6/16/2011	11/28/2012		269	7,255	9,758	0	2,503
INTL PAPER CO	6/16/2011	11/28/2012		134	3,614	4,861	0	1,247
INTL PAPER CO	6/16/2011	11/28/2012		107	2,886	3,881	0	996
INTL PAPER CO	6/9/2011	11/28/2012		346	10,204	12,551	0	2,348
INTRPUBLIC GRP OF CO	7/6/2012	11/28/2012		150	1,638	1,558	-80	0
INTUIT INC COM	12/22/2010	11/28/2012		6	300	349	0	49
INTUIT INC COM	1/20/2011	11/28/2012		111	5,244	6,450	0	1,206
INTUIT INC COM	1/20/2011	11/28/2012		110	5,196	6,392	0	1,195
INTUIT INC COM	12/22/2010	11/28/2012		13	649	755	0	106
INTUIT INC COM	12/22/2010	11/28/2012		7	350	407	0	57
INTUIT INC COM	3/1/2011	11/28/2012		28	1,439	1,627	0	188
INTUIT INC COM	3/1/2011	11/28/2012		24	1,233	1,395	0	161
INTUIT INC COM	3/1/2011	11/28/2012		11	565	639	0	74
IPG PHOTONICS CORP DEL	4/11/2012	11/28/2012		5	244	301	57	0
IPG PHOTONICS CORP DEL	5/23/2012	11/28/2012		35	1,503	2,108	605	0
IXIA COM	4/23/2012	11/28/2012		130	1,552	1,860	308	0
JOHNSON AND JOHNSON COM	12/22/2010	11/28/2012		2	124	138	0	14
JOHNSON AND JOHNSON COM	12/22/2010	11/28/2012		2	124	138	0	14
JOHNSON AND JOHNSON COM	3/4/2011	11/28/2012		29	1,751	2,003	0	252
JOHNSON AND JOHNSON COM	3/4/2011	11/28/2012		86	5,193	5,940	0	748
JOHNSON AND JOHNSON COM	3/4/2011	11/28/2012		1	60	69	0	9
JOHNSON AND JOHNSON COM	3/1/2011	11/28/2012		175	10,638	12,088	0	1,450
JOHNSON AND JOHNSON COM	3/1/2011	11/28/2012		149	9,058	10,292	0	1,234
JOHNSON AND JOHNSON COM	3/1/2011	11/28/2012		149	9,058	10,292	0	1,234
JOHNSON AND JOHNSON COM	3/1/2011	11/28/2012		6	365	414	0	50
JOHNSON AND JOHNSON COM	1/20/2011	11/28/2012		53	3,329	3,661	0	331
JOHNSON AND JOHNSON COM	1/20/2011	11/28/2012		42	2,638	2,901	0	263
JOHNSON AND JOHNSON COM	1/20/2011	11/28/2012		42	2,638	2,901	0	263
JOHNSON AND JOHNSON COM	12/22/2010	11/28/2012		116	7,207	8,013	0	805
JOHNSON AND JOHNSON COM	12/22/2010	11/28/2012		102	6,337	7,045	0	708
JOHNSON AND JOHNSON COM	12/22/2010	11/28/2012		102	6,337	7,045	0	708
JOHNSON AND JOHNSON COM	12/22/2010	11/28/2012		4	249	276	0	28
JPMORGAN CHASE & CO	5/3/2011	11/28/2012		420	19,232	16,924	0	-2,308
KIMBERLY CLARK	3/4/2011	11/28/2012		65	4,194	5,578	0	1,384
KIMBERLY CLARK	3/1/2011	11/28/2012		223	14,620	19,137	0	4,518
KIMBERLY CLARK	3/1/2011	11/28/2012		182	11,932	15,619	0	3,687
KLA TENCOR CORP PV\$0 001	3/20/2012	11/28/2012		30	1,563	1,347	-216	0
KRATON PERFRMCE POLYMRS	3/27/2012	11/28/2012		4	109	93	-16	0
KRATON PERFRMCE POLYMRS	3/12/2012	11/28/2012		116	3,225	2,686	-539	0
KURARAY CO LTD SHS	5/9/2011	11/28/2012		120	5,411	4,348	0	-1,063
L OREAL CO ADR	4/11/2012	11/28/2012		138	3,291	3,718	427	0
L OREAL CO ADR	3/4/2011	11/28/2012		700	16,135	18,862	0	2,727
L OREAL CO ADR	1/20/2011	11/28/2012		783	17,664	21,098	0	3,433
L OREAL CO ADR	12/22/2010	11/28/2012		1,119	25,289	30,151	0	4,862
LANDSTAR SYS INC COM	3/1/2011	11/28/2012		426	18,322	21,169	0	2,847
LANDSTAR SYS INC COM	3/4/2011	11/28/2012		184	8,188	9,143	0	955
LEAR CORP SHS	5/4/2011	11/28/2012		10	504	427	0	-76
LIBERTY INTERACTIVE CORP	3/28/2012	11/28/2012		70	1,189	1,338	149	0
LOCKHEED MARTIN CORP	3/1/2011	11/28/2012		14	1,106	1,297	0	191
LOCKHEED MARTIN CORP	1/20/2011	11/28/2012 WS		6	444	556	0	112
LONZA GROUP AG SHS	12/22/2010	11/28/2012		9	70	42	0	-28
LONZA GROUP AG SHS	12/22/2010	11/28/2012		623	4,859	2,891	0	-1,969
LONZA GROUP AG SHS	12/22/2010	11/28/2012		486	3,791	2,255	0	-1,536
LONZA GROUP AG SHS	8/15/2011	11/28/2012		2,975	19,903	13,804	0	-6,099
LONZA GROUP AG SHS	3/4/2011	11/28/2012		471	3,796	2,185	0	-1,611
LONZA GROUP AG SHS	1/20/2011	11/28/2012		1,046	7,270	4,853	0	-2,416
LONZA GROUP AG SHS	12/22/2010	11/28/2012		786	6,131	3,647	0	-2,484
LONZA GROUP AG SHS	4/11/2012	11/28/2012		80	365	371	6	0
LONZA GROUP AG SHS	3/5/2012	11/28/2012		486	2,469	2,255	-214	0
LONZA GROUP AG SHS	3/5/2012	11/28/2012		168	853	780	-74	0
LTC PROPERTIES INC	3/4/2011	11/28/2012		340	9,628	11,009	0	1,380
LTC PROPERTIES INC	3/1/2011	11/28/2012		367	10,356	11,883	0	1,527
LTC PROPERTIES INC	1/20/2011	11/28/2012		349	9,520	11,300	0	1,780
LTC PROPERTIES INC	12/22/2010	11/28/2012		244	6,815	7,900	0	1,086
LUFKIN INDS INC	10/4/2012	11/28/2012		5	263	266	3	0
LUFKIN INDS INC	7/30/2012	11/28/2012		35	1,659	1,865	206	0
LUFKIN INDS INC	6/27/2012	11/28/2012		25	1,264	1,332	68	0
LUFKIN INDS INC	1/20/2011	11/28/2012		72	4,361	3,837	0	-524
LUFKIN INDS INC	7/27/2011	11/28/2012		56	4,554	2,984	0	-1,570
LUFKIN INDS INC	3/4/2011	11/28/2012		27	2,283	1,439	0	-844

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LUFKIN INDS INC	6/15/2012	11/28/2012		19	1,067	1,013	-55	0
LUFKIN INDS INC	3/9/2012	11/28/2012		10	783	533	-250	0
LUFKIN INDS INC	10/7/2011	11/28/2012		69	3,338	3,677	0	340
LUFKIN INDS INC	8/9/2011	11/28/2012		12	765	640	0	-126
LVMH MOET HENNESSY ADR	3/4/2011	11/28/2012		360	11,185	12,274	0	1,089
LVMH MOET HENNESSY ADR	1/20/2011	11/28/2012		828	25,295	28,231	0	2,935
LVMH MOET HENNESSY ADR	12/22/2010	11/28/2012		62	2,076	2,114	0	38
MAP PHARMACEUTICALS INC	12/22/2010	11/28/2012		102	1,675	1,632	0	-43
MAP PHARMACEUTICALS INC	3/4/2011	11/28/2012		40	646	640	0	-6
MAP PHARMACEUTICALS INC	1/20/2011	11/28/2012		68	1,068	1,088	0	20
MARATHON OIL CORP	3/1/2011	11/28/2012		170	5,148	5,213	0	65
MARKETAXESS HOLDINGS INC	3/8/2012	11/28/2012		60	2,126	1,804	-322	0
MARKETAXESS HOLDINGS INC	2/23/2012	11/28/2012		100	3,188	3,006	-182	0
MATTEL INC COM	8/31/2011	11/28/2012		170	4,631	6,193	0	1,562
MEADWESTVACO CORP	3/1/2011	11/28/2012		6	153	182	0	29
MEADWESTVACO CORP	3/4/2011	11/28/2012		46	1,189	1,392	0	203
MEADWESTVACO CORP	3/1/2011	11/28/2012		26	663	787	0	124
MEADWESTVACO CORP	2/24/2012	11/28/2012		28	763	847	85	0
MEADWESTVACO CORP	2/24/2012	11/28/2012		12	327	363	36	0
MEADWESTVACO CORP	2/9/2012	11/28/2012		82	2,189	2,481	292	0
MEADWESTVACO CORP	2/9/2012	11/28/2012		20	534	605	71	0
MEADWESTVACO CORP	2/9/2012	11/28/2012		3	80	91	11	0
MEADWESTVACO CORP	1/20/2012	11/28/2012		20	559	605	46	0
MEADWESTVACO CORP	1/20/2012	11/28/2012		5	140	151	12	0
MEADWESTVACO CORP	3/4/2011	11/28/2012		162	4,188	4,902	0	714
MEDNAX INC	3/4/2011	11/28/2012		12	801	930	0	128
MEDNAX INC	2/17/2011	11/28/2012		8	519	620	0	101
MEDTRONIC INC COM	3/1/2011	11/28/2012		12	475	509	0	34
MEDTRONIC INC COM	1/20/2011	11/28/2012		128	4,867	5,428	0	562
MERCK AND CO INC SHS	4/5/2012	11/28/2012		70	2,714	3,080	366	0
MERCK AND CO INC SHS	10/14/2011	11/28/2012		94	3,080	4,135	0	1,055
MERCK AND CO INC SHS	10/14/2011	11/28/2012		84	2,753	3,695	0	943
MERCK AND CO INC SHS	3/4/2011	11/28/2012		132	4,355	5,807	0	1,452
MERCK AND CO INC SHS	3/4/2011	11/28/2012		122	4,025	5,367	0	1,342
MERCK AND CO INC SHS	12/22/2010	11/28/2012		2	72	88	0	16
MERCK AND CO INC SHS	3/4/2011	11/28/2012		3	99	132	0	33
MERCK AND CO INC SHS	12/22/2010	11/28/2012		143	5,182	6,291	0	1,109
METLIFE INC COM	12/22/2010	11/28/2012		224	10,069	7,301	0	-2,768
METLIFE INC COM	12/22/2010	11/28/2012 WS		20	899	652	0	0
METLIFE INC COM	12/22/2010	11/28/2012 WS		322	14,474	10,495	0	0
METLIFE INC COM	12/22/2010	11/28/2012 WS		1	45	33	0	0
METLIFE INC COM	12/22/2010	11/28/2012 WS		162	7,282	5,280	0	0
METLIFE INC COM	12/22/2010	11/28/2012 WS		1	45	33	0	0
MICHAEL KORS HLDGS LTD	3/23/2012	11/28/2012		30	1,413	1,563	150	0
MICROSOFT CORP	8/21/2012	11/28/2012		56	1,727	1,521	-206	0
MICROSOFT CORP	8/21/2012	11/28/2012		29	894	787	-107	0
MICROSOFT CORP	1/19/2012	11/28/2012		105	2,975	2,851	-123	0
MICROSOFT CORP	12/7/2011	11/28/2012		355	9,056	9,640	584	0
MICROSOFT CORP	11/28/2011	11/28/2012		173	4,299	4,698	399	0
MICROSOFT CORP	11/28/2011	11/28/2012		52	1,292	1,412	120	0
MICROSOFT CORP	5/3/2011	11/28/2012		426	11,008	11,568	0	560
MICROSOFT CORP	4/1/2011	11/28/2012		384	9,738	10,427	0	689
MICROSOFT CORP	2/7/2011	11/28/2012 WS		110	2,749	2,987	0	238
MITSUBISHI CP SPNSRD ADR	12/22/2010	11/28/2012		440	23,320	16,412	0	-6,908
MITSUBISHI UFJ FINL GRP	7/31/2012	11/28/2012 WS		960	4,723	4,332	0	0
MONSANTO CO NEW DEL COM	7/7/2011	11/28/2012 WS		30	2,242	2,722	0	479
MTN GROUP LTD-SPONS ADR	1/20/2011	11/28/2012		630	11,239	11,737	0	497
MTN GROUP LTD-SPONS ADR	1/20/2011	11/28/2012		763	13,612	14,214	0	602
MTN GROUP LTD-SPONS ADR	12/22/2010	11/28/2012		355	6,908	6,614	0	-295
MTN GROUP LTD-SPONS ADR	12/22/2010	11/28/2012		230	4,476	4,285	0	-191
MTN GROUP LTD-SPONS ADR	12/22/2010	11/28/2012		2	39	37	0	-2
MURPHY OIL CORP	10/3/2012	11/28/2012		30	1,676	1,703	27	0
NATIXIS ASG GLOBAL	12/22/2010	11/28/2012		8,840	97,947	93,262	0	-4,685
NATL AUSTRALIA B ADR	1/20/2011	11/28/2012		51	1,219	1,270	0	51
NATL AUSTRALIA B ADR	12/22/2010	11/28/2012		289	7,000	7,199	0	199
NEDBANK GROUP LTD ADR	12/22/2010	11/28/2012		160	3,053	3,208	0	155
NESTLE S A REP RG SH ADR	2/16/2012	11/28/2012		199	12,016	12,955	940	0
NESTLE S A REP RG SH ADR	2/16/2012	11/28/2012		41	2,476	2,669	194	0
NESTLE S A REP RG SH ADR	3/4/2011	11/28/2012		460	25,714	29,947	0	4,233
NESTLE S A REP RG SH ADR	3/4/2011	11/28/2012		57	3,186	3,711	0	525
NESTLE S A REP RG SH ADR	2/9/2011	11/28/2012		46	2,435	2,995	0	559
NESTLE S A REP RG SH ADR	2/9/2011	11/28/2012		5	265	326	0	61
NESTLE S A REP RG SH ADR	1/20/2011	11/28/2012		698	37,908	45,442	0	7,533
NESTLE S A REP RG SH ADR	12/22/2010	11/28/2012		3	177	195	0	19
NESTLE S A REP RG SH ADR	1/20/2011	11/28/2012		87	4,725	5,664	0	939
NESTLE S A REP RG SH ADR	12/22/2010	11/28/2012		270	15,903	17,578	0	1,675

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NESTLE S A REP RG SH ADR	12/22/2010	11/28/2012		214	12,605	13,932	0	1,327
NESTLE S A REP RG SH ADR	12/22/2010	11/28/2012		60	3,534	3,906	0	372
NETEASE COM INC ADR	3/4/2011	11/28/2012		50	2,375	2,205	0	-170
NISSAN MTR LTD SPN ADR	4/26/2012	11/28/2012	WS	450	9,333	8,419	0	0
NORTHROP GRUMMAN CORP	1/27/2011	11/28/2012		16	1,002	1,049	0	47
NORTHROP GRUMMAN CORP	1/27/2011	11/28/2012		13	814	852	0	38
NORTHROP GRUMMAN CORP	1/27/2011	11/28/2012		6	376	393	0	18
NORTHROP GRUMMAN CORP	1/27/2011	11/28/2012		3	188	197	0	9
NORTHROP GRUMMAN CORP	1/27/2011	11/28/2012		2	125	131	0	6
NRG ENERGY INC	1/17/2012	11/28/2012	WS	10	147	206	60	0
NYSE EURONEXT	8/24/2011	11/28/2012		10	268	229	0	-40
OAO GAZPROM SPON ADR	3/4/2011	11/28/2012		1	15	9	0	-6
OAO GAZPROM SPON ADR	3/4/2011	11/28/2012		360	5,461	3,154	0	-2,308
OAO GAZPROM SPON ADR	3/4/2011	11/28/2012		75	1,138	657	0	-481
OAO GAZPROM SPON ADR	8/12/2011	11/28/2012		205	2,403	1,796	0	-607
OAO GAZPROM SPON ADR	8/12/2011	11/28/2012		917	10,747	8,033	0	-2,714
OAO GAZPROM SPON ADR	7/22/2011	11/28/2012		70	1,022	613	0	-409
OAO GAZPROM SPON ADR	7/22/2011	11/28/2012		70	1,022	613	0	-409
OAO GAZPROM SPON ADR	5/23/2011	11/28/2012		264	3,538	2,313	0	-1,225
OAO GAZPROM SPON ADR	5/23/2011	11/28/2012		262	3,511	2,295	0	-1,216
OAO GAZPROM SPON ADR	5/23/2011	11/28/2012		2	27	18	0	-9
OAO GAZPROM SPON ADR	3/4/2011	11/28/2012		434	6,584	3,802	0	-2,782
OASIS PETE INC NEW	2/10/2012	11/28/2012		108	3,161	3,181	20	0
OASIS PETE INC NEW	7/20/2012	11/28/2012		16	450	471	21	0
OASIS PETE INC NEW	5/1/2012	11/28/2012		84	2,792	2,474	-318	0
OASIS PETE INC NEW	2/14/2012	11/28/2012		92	2,920	2,710	-210	0
ON SEMICONDUCTOR CRP COM	12/22/2010	11/28/2012		530	4,897	3,416	0	-1,482
ORASCOM CONSTRU-SPON AD	12/30/2010	11/28/2012		100	4,972	3,510	0	-1,462
OWENS & MINOR INC NEW COM	3/1/2011	11/28/2012		561	17,391	16,022	0	-1,369
OWENS & MINOR INC NEW COM	3/4/2011	11/28/2012		399	12,445	11,395	0	-1,050
PARTNERRE LTD	3/4/2011	11/28/2012	WS	18	1,149	1,468	0	319
PARTNERRE LTD	2/23/2011	11/28/2012	WS	4	254	326	0	72
PARTNERRE LTD	2/10/2011	11/28/2012	WS	2	128	163	0	35
PARTNERRE LTD	2/10/2011	11/28/2012	WS	15	986	1,224	0	238
PARTNERRE LTD	1/25/2012	11/28/2012		1	63	82	18	0
PETROLEO BRAS VTG SPD ADR	7/18/2012	11/28/2012		63	1,233	1,140	-93	0
PETROLEO BRAS VTG SPD ADR	2/7/2011	11/28/2012		899	34,063	16,272	0	-17,791
PETROLEO BRAS VTG SPD ADR	2/7/2011	11/28/2012		27	1,023	489	0	-534
PETROLEO BRAS VTG SPD ADR	4/11/2012	11/28/2012		251	6,144	4,543	-1,601	0
PETROLEO BRAS VTG SPD ADR	3/4/2011	11/28/2012		501	20,766	9,068	0	-11,699
PETROLEO BRAS VTG SPD ADR	3/1/2011	11/28/2012		190	7,467	3,439	0	-4,028
PETROLEO BRAS VTG SPD ADR	2/24/2011	11/28/2012		539	21,501	9,756	0	-11,745
PFIZER INC	12/22/2010	11/28/2012		2	35	49	0	14
PFIZER INC	12/22/2010	11/28/2012		44	770	1,070	0	300
PFIZER INC	12/22/2010	11/28/2012		2	35	49	0	14
PFIZER INC	12/22/2010	11/28/2012		165	2,888	4,013	0	1,125
PFIZER INC	12/22/2010	11/28/2012		149	2,608	3,624	0	1,016
PFIZER INC	12/22/2010	11/28/2012		121	2,118	2,943	0	825
PFIZER INC	12/22/2010	11/28/2012		55	963	1,338	0	375
PFIZER INC	3/4/2011	11/28/2012		50	984	1,216	0	232
PFIZER INC	3/4/2011	11/28/2012		313	6,157	7,612	0	1,455
PFIZER INC	3/4/2011	11/28/2012		154	3,029	3,745	0	716
PFIZER INC	3/1/2011	11/28/2012		573	11,030	13,935	0	2,905
PFIZER INC	3/1/2011	11/28/2012		456	8,778	11,090	0	2,312
PFIZER INC	3/1/2011	11/28/2012		253	4,870	6,153	0	1,283
PFIZER INC	3/1/2011	11/28/2012		203	3,908	4,937	0	1,029
PHILLIPS 66 SHS	12/22/2010	11/28/2012		21	643	1,059	0	416
PHILLIPS 66 SHS	12/22/2010	11/28/2012		5	153	252	0	99
PHILLIPS 66 SHS	3/4/2011	11/28/2012		67	2,451	3,378	0	927
PHILLIPS 66 SHS	3/4/2011	11/28/2012		23	841	1,160	0	318
PHILLIPS 66 SHS	3/2/2011	11/28/2012		15	537	756	0	219
PHILLIPS 66 SHS	3/2/2011	11/28/2012		2	72	101	0	29
PHILLIPS 66 SHS	1/20/2011	11/28/2012		118	3,624	5,949	0	2,326
PHILLIPS 66 SHS	1/20/2011	11/28/2012		23	706	1,160	0	453
PHILLIPS 66 SHS	12/22/2010	11/28/2012		106	3,247	5,344	0	2,098
PHILPPNE L D TEL ADR	12/22/2010	11/28/2012		40	2,294	2,486	0	192
POSCO SPN ADR	1/18/2012	11/28/2012		100	8,817	7,220	-1,597	0
POTASH CORP SASKATCHEWAN	9/26/2012	11/28/2012		660	28,492	25,448	-3,044	0
PPC LTD SHS ADR	12/22/2010	11/28/2012		370	3,707	2,383	0	-1,325
PRICESMART INC	1/6/2012	11/28/2012		70	4,254	5,365	1,111	0
PRICESMART INC	11/15/2011	11/28/2012		10	669	766	0	97
PROCTER & GAMBLE CO	6/19/2012	11/28/2012		125	7,820	8,660	840	0
PROCTER & GAMBLE CO	6/19/2012	11/28/2012		75	4,692	5,196	504	0
PROCTER & GAMBLE CO	3/7/2011	11/28/2012		4	247	277	0	30
PROCTER & GAMBLE CO	3/7/2011	11/28/2012		3	185	208	0	23
PROCTER & GAMBLE CO	3/4/2011	11/28/2012		137	8,454	9,491	0	1,037

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PROCTER & GAMBLE CO	3/4/2011	11/28/2012		113	6,973	7,828	0	855
PROCTER & GAMBLE CO	3/1/2011	11/28/2012		1	63	69	0	6
PROCTER & GAMBLE CO	3/1/2011	11/28/2012		339	21,289	23,485	0	2,196
PROCTER & GAMBLE CO	3/1/2011	11/28/2012		283	17,772	19,605	0	1,833
PRUDENTIAL FINANCIAL INC	11/9/2011	11/28/2012		10	521	511	0	-10
PRUDENTIAL PLC ADR	12/22/2010	11/28/2012		60	1,245	1,700	0	455
QUESTCOR PHARMACEUTICALS	5/2/2012	11/28/2012 WS		20	915	546	0	0
QUESTCOR PHARMACEUTICALS	5/2/2012	11/28/2012 WS		32	1,465	873	0	0
QUESTCOR PHARMACEUTICALS	5/2/2012	11/28/2012		13	595	355	-240	0
QUESTCOR PHARMACEUTICALS	10/2/2012	11/28/2012		83	1,624	2,264	640	0
QUESTCOR PHARMACEUTICALS	10/2/2012	11/28/2012		13	254	355	100	0
QUESTCOR PHARMACEUTICALS	9/25/2012	11/28/2012		99	1,925	2,701	776	0
RALPH LAUREN CORP	8/10/2011	11/28/2012		20	2,568	3,237	0	669
RANGE RESOURCES CORP DEL	3/4/2011	11/28/2012		5	249	330	0	82
RANGE RESOURCES CORP DEL	3/4/2011	11/28/2012		2	100	132	0	33
RANGE RESOURCES CORP DEL	9/27/2011	11/28/2012		59	3,776	3,900	0	124
RANGE RESOURCES CORP DEL	9/27/2011	11/28/2012		28	1,792	1,851	0	59
RANGE RESOURCES CORP DEL	3/4/2011	11/28/2012		8	398	529	0	131
RANGE RESOURCES CORP DEL	3/4/2011	11/28/2012		6	299	397	0	98
RANGE RESOURCES CORP DEL	5/9/2012	11/28/2012		33	2,222	2,181	-41	0
RANGE RESOURCES CORP DEL	5/9/2012	11/28/2012		26	1,751	1,719	-32	0
RANGE RESOURCES CORP DEL	5/9/2012	11/28/2012		3	202	198	-4	0
REED ELSEVIER N V	12/22/2010	11/28/2012		250	6,033	7,078	0	1,045
REGIONS FINL CORP	10/26/2012	11/28/2012		540	3,537	3,556	19	0
REYNOLDS AMERICAN INC	2/28/2011	11/28/2012		80	2,735	3,450	0	714
RLI CORP ILLINOIS COM	7/20/2012	11/28/2012		33	2,093	2,151	59	0
RLI CORP ILLINOIS COM	6/13/2011	11/28/2012		208	12,332	13,559	0	1,227
RLI CORP ILLINOIS COM	3/4/2011	11/28/2012		113	6,409	7,366	0	957
RLI CORP ILLINOIS COM	3/1/2011	11/28/2012		116	6,562	7,562	0	1,000
ROCHE HLDG LTD SPN ADR	1/20/2011	11/28/2012		318	11,610	15,350	0	3,739
ROCHE HLDG LTD SPN ADR	1/20/2011	11/28/2012		451	16,466	21,769	0	5,303
ROCHE HLDG LTD SPN ADR	12/22/2010	11/28/2012		498	17,948	24,038	0	6,090
ROCHE HLDG LTD SPN ADR	12/22/2010	11/28/2012		402	14,488	19,404	0	4,916
ROCHE HLDG LTD SPN ADR	12/22/2010	11/28/2012		1	36	48	0	12
ROCKWELL AUTOMATION INC	10/26/2012	11/28/2012		110	7,598	8,659	1,062	0
ROYAL KPN N V SP ADR	6/27/2012	11/28/2012		960	8,842	5,424	-3,418	0
SALIX PHRMCTCLS LTD COM	12/19/2011	11/28/2012		64	2,785	2,586	-200	0
SALIX PHRMCTCLS LTD COM	3/16/2012	11/28/2012		55	2,870	2,222	-648	0
SALIX PHRMCTCLS LTD COM	3/5/2012	11/28/2012		53	2,667	2,141	-526	0
SALIX PHRMCTCLS LTD COM	7/24/2012	11/28/2012		38	1,963	1,535	-428	0
SAP AG SHS	3/4/2011	11/28/2012		375	22,988	28,878	0	5,890
SAP AG SHS	1/20/2011	11/28/2012		501	27,269	38,581	0	11,311
SAP AG SHS	12/22/2010	11/28/2012		564	28,268	43,432	0	15,165
SCHNEIDER ELEC SA ADR	3/4/2011	11/28/2012		574	9,643	7,636	0	-2,007
SCHNEIDER ELEC SA ADR	1/20/2011	11/28/2012		1,735	25,938	23,082	0	-2,856
SCHNEIDER ELEC SA ADR	12/22/2010	11/28/2012		2,011	31,070	26,754	0	-4,316
SCHRODER EM MKT EQ INV	1/20/2011	11/28/2012		5,110	71,080	66,277	0	-4,803
SHOPRITE HOLDINGS LTD	12/22/2010	11/28/2012		90	2,660	3,762	0	1,102
SIRIUS XM RADIO INC	10/19/2012	11/28/2012		50	148	135	-13	0
SMITH-NPHW PLC SPADR NEW	1/20/2011	11/28/2012		83	4,602	4,325	0	-277
SMITH-NPHW PLC SPADR NEW	12/22/2010	11/28/2012		67	3,431	3,491	0	60
SOUTHWEST AIRLNS CO	7/17/2012	11/28/2012		160	1,512	1,497	-15	0
STAGE STORES INC COM NEW	10/10/2012	11/28/2012		138	3,151	3,412	262	0
STAGE STORES INC COM NEW	10/22/2012	11/28/2012		62	1,478	1,533	55	0
STAPLES INC	7/19/2012	11/28/2012		329	4,224	3,896	-328	0
STAPLES INC	7/19/2012	11/28/2012		268	3,441	3,174	-267	0
STAPLES INC	7/19/2012	11/28/2012		48	616	568	-48	0
STAPLES INC	7/19/2012	11/28/2012		25	321	296	-25	0
STAPLES INC	7/17/2012	11/28/2012		482	6,044	5,708	-336	0
STAPLES INC	7/17/2012	11/28/2012		243	3,047	2,878	-170	0
STAPLES INC	7/13/2011	11/28/2012		15	232	178	0	-55
STAPLES INC	7/13/2011	11/28/2012		26	402	308	0	-95
STAPLES INC	7/13/2011	11/28/2012		16	248	189	0	-58
STAPLES INC	7/13/2011	11/28/2012		1,132	17,523	13,405	0	-4,118
STAPLES INC	7/13/2011	11/28/2012		885	13,700	10,480	0	-3,220
STAPLES INC	7/13/2011	11/28/2012		172	2,663	2,037	0	-626
STAPLES INC	7/13/2011	11/28/2012		39	604	462	0	-142
STATOIL ASA SHS	3/7/2011	11/28/2012 WS		106	2,833	2,553	0	-280
STATOIL ASA SHS	3/7/2011	11/28/2012 WS		63	1,684	1,517	0	-167
STATOIL ASA SHS	3/4/2011	11/28/2012		624	17,197	15,029	0	-2,169
STATOIL ASA SHS	3/4/2011	11/28/2012		379	10,445	9,128	0	-1,317
STATOIL ASA SHS	3/4/2011	11/28/2012		97	2,673	2,336	0	-337
STATOIL ASA SHS	3/1/2011	11/28/2012		216	5,702	5,202	0	-500
STATOIL ASA SHS	3/1/2011	11/28/2012		114	3,010	2,746	0	-264
STATOIL ASA SHS	3/1/2011	11/28/2012		1	26	24	0	-2
SWATCH GROUP AG UNSPOND	1/20/2011	11/28/2012		821	16,092	19,326	0	3,234

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SWATCH GROUP AG UNSPOND	12/22/2010	11/28/2012		904	20,376	21,280	0	904
SWATCH GROUP AG UNSPOND	7/20/2012	11/28/2012		170	3,169	4,002	833	0
SWATCH GROUP AG UNSPOND	8/16/2011	11/28/2012		124	2,812	2,919	0	107
SWATCH GROUP AG UNSPOND	3/4/2011	11/28/2012		661	14,079	15,560	0	1,480
SYMANTEC CORP COM	1/30/2012	11/28/2012		23	391	411	21	0
SYMANTEC CORP COM	1/30/2012	11/28/2012		13	221	233	12	0
SYMANTEC CORP COM	3/4/2011	11/28/2012 WS		2	28	36	0	8
SYMANTEC CORP COM	1/20/2011	11/28/2012 WS		73	1,007	1,306	0	299
SYMANTEC CORP COM	1/20/2011	11/28/2012 WS		14	193	250	0	57
SYMANTEC CORP COM	1/20/2011	11/28/2012 WS		5	69	89	0	20
TAIWAN S MANUFCTRING ADR	12/22/2010	11/28/2012		1	12	17	0	4
TAIWAN S MANUFCTRING ADR	12/22/2010	11/28/2012		9	111	151	0	40
TAIWAN S MANUFCTRING ADR	12/22/2010	11/28/2012		8	98	134	0	36
TAIWAN S MANUFCTRING ADR	1/20/2011	11/28/2012		925	12,275	15,543	0	3,268
TAIWAN S MANUFCTRING ADR	1/20/2011	11/28/2012		1,452	19,268	24,398	0	5,130
TAIWAN S MANUFCTRING ADR	1/20/2011	11/28/2012		173	2,296	2,907	0	611
TAIWAN S MANUFCTRING ADR	1/20/2011	11/28/2012		22	292	370	0	78
TANGER FACTORY OUTLT CEN	12/12/2011	11/28/2012		908	25,433	29,201	3,768	0
TANGER FACTORY OUTLT CEN	3/4/2011	11/28/2012		511	13,419	16,433	0	3,015
TANGER FACTORY OUTLT CEN	3/1/2011	11/28/2012		399	10,454	12,832	0	2,378
TANGER FACTORY OUTLT CEN	1/20/2011	11/28/2012		230	5,693	7,397	0	1,704
TANGER FACTORY OUTLT CEN	12/22/2010	11/28/2012		212	5,330	6,818	0	1,488
TARGET CORP COM	1/20/2011	11/28/2012		2	111	125	0	14
TARGET CORP COM	1/20/2011	11/28/2012		79	4,397	4,956	0	559
TARGET CORP COM	1/20/2011	11/28/2012		26	1,447	1,631	0	184
TARGET CORP COM	8/26/2011	11/28/2012		55	2,785	3,450	0	666
TARGET CORP COM	3/4/2011	11/28/2012		178	9,172	11,166	0	1,994
TARGET CORP COM	3/4/2011	11/28/2012		59	3,041	3,701	0	660
TARGET CORP COM	2/28/2011	11/28/2012		111	5,809	6,963	0	1,155
TARGET CORP COM	2/28/2011	11/28/2012		37	1,936	2,321	0	385
TARGET CORP COM	2/18/2011	11/28/2012		40	2,077	2,509	0	432
TARGET CORP COM	2/18/2011	11/28/2012		13	675	816	0	140
TELECOM ITALIA S P A NEW	6/21/2012	11/28/2012		550	5,187	4,802	-384	0
TELENOR ASA ADR	6/22/2011	11/28/2012		260	12,254	15,418	0	3,164
TERADATA CORP DEL	9/14/2011	11/28/2012		3	151	183	0	32
TERADATA CORP DEL	11/8/2011	11/28/2012		22	1,241	1,342	0	101
TERADATA CORP DEL	9/14/2011	11/28/2012		39	1,968	2,379	0	411
TERADATA CORP DEL	9/14/2011	11/28/2012		21	1,059	1,281	0	221
TERADATA CORP DEL	9/14/2011	11/28/2012		20	1,009	1,220	0	211
TERADATA CORP DEL	2/8/2012	11/28/2012		1	57	61	4	0
TERADATA CORP DEL	2/8/2012	11/28/2012		2	115	122	7	0
TERADATA CORP DEL	2/7/2012	11/28/2012		15	863	915	52	0
TERADATA CORP DEL	2/7/2012	11/28/2012		15	863	915	52	0
TERADATA CORP DEL	11/8/2011	11/28/2012		22	1,241	1,342	0	101
TESCO PLC SPNRD ADR	1/20/2011	11/28/2012		595	11,472	9,181	0	-2,291
TESCO PLC SPNRD ADR	12/22/2010	11/28/2012		495	9,900	7,638	0	-2,262
TEVA PHARMACTCL INDS ADR	3/4/2011	11/28/2012 WS		50	2,509	2,010	0	0
THE MOSAIC COMPANY	6/28/2011	11/28/2012		60	3,901	3,163	0	-738
THERAVANCE INC	7/20/2012	11/28/2012		46	1,380	977	-403	0
THERAVANCE INC	3/5/2012	11/28/2012		144	2,547	3,057	510	0
TIGER BRANDS LTD ADR	1/20/2011	11/28/2012		23	629	771	0	142
TIGER BRANDS LTD ADR	12/22/2010	11/28/2012		37	1,053	1,240	0	188
TIME WARNER INC SHS	3/8/2011	11/28/2012 WS		35	1,253	1,629	0	376
TIME WARNER INC SHS	3/8/2011	11/28/2012 WS		83	2,972	3,863	0	891
TIME WARNER INC SHS	3/4/2011	11/28/2012		288	10,751	13,405	0	2,653
TIME WARNER INC SHS	3/4/2011	11/28/2012		144	5,376	6,702	0	1,327
TIME WARNER INC SHS	3/4/2011	11/28/2012		144	5,376	6,702	0	1,327
TIME WARNER INC SHS	3/1/2011	11/28/2012		238	8,968	11,077	0	2,110
TIME WARNER INC SHS	3/1/2011	11/28/2012		238	8,968	11,077	0	2,110
TMPLTN GLBL BD FO ADV CL	8/15/2011	11/28/2012		4,770	65,301	64,538	0	-763
TOTAL S A SP ADR	3/1/2011	11/28/2012		338	20,118	16,617	0	-3,501
TOTAL S A SP ADR	3/1/2011	11/28/2012		438	26,070	21,533	0	-4,537
TOTAL S A SP ADR	3/1/2011	11/28/2012		230	13,690	11,307	0	-2,383
TOTAL S A SP ADR	3/1/2011	11/28/2012		84	5,000	4,130	0	-870
TOTAL S A SP ADR	3/1/2011	11/28/2012		79	4,702	3,884	0	-818
TOTAL S A SP ADR	3/1/2011	11/28/2012		61	3,631	2,999	0	-632
TOTAL S A SP ADR	3/1/2011	11/28/2012		34	2,024	1,671	0	-352
TOTAL S A SP ADR	3/1/2011	11/28/2012		3	179	147	0	-31
TOTAL S A SP ADR	3/1/2011	11/28/2012		3	179	147	0	-31
TRAVELERS COS INC	3/1/2011	11/28/2012 WS		5	295	352	0	57
TRAVELERS COS INC	3/1/2011	11/28/2012 WS		31	1,831	2,183	0	352
TRAVELERS COS INC	3/1/2011	11/28/2012 WS		20	1,181	1,408	0	227
TRAVELERS COS INC	3/1/2011	11/28/2012		1	59	70	0	11
TRAVELERS COS INC	3/1/2011	11/28/2012		273	16,222	19,224	0	3,003
TRAVELERS COS INC	3/1/2011	11/28/2012		190	11,290	13,380	0	2,090
TYSON FOODS INC CL A	7/24/2012	11/28/2012		90	1,323	1,727	404	0

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ULTA SALON COSMETICS &	2/14/2012	11/28/2012		8	658	746	88	0
ULTA SALON COSMETICS &	2/14/2012	11/28/2012		2	165	186	22	0
ULTA SALON COSMETICS &	2/28/2012	11/28/2012		32	2,656	2,984	328	0
ULTA SALON COSMETICS &	2/14/2012	11/28/2012		28	2,304	2,611	307	0
ULTIMATE SOFTWARE GROUP	3/4/2011	11/28/2012		8	456	727	0	271
ULTIMATE SOFTWARE GROUP	1/20/2011	11/28/2012		42	2,033	3,817	0	1,784
UNILEVER PLC NEW ADR	12/22/2010	11/28/2012		310	9,480	11,902	0	2,422
UNION PACIFIC CORP	12/22/2010	11/28/2012		60	5,517	7,299	0	1,782
UNION PACIFIC CORP	12/22/2010	11/28/2012		10	920	1,216	0	297
UNITED NAT FOODS INC	5/6/2011	11/28/2012		80	3,335	4,182	0	847
UNITED TECHS CORP COM	1/20/2011	11/28/2012		1	79	79	0	0
UNITED TECHS CORP COM	1/20/2011	11/28/2012		1	79	79	0	0
UNITED TECHS CORP COM	5/29/2012	11/28/2012		102	7,615	8,103	487	0
UNITED TECHS CORP COM	5/29/2012	11/28/2012		68	5,077	5,402	325	0
UNITED TECHS CORP COM	5/29/2012	11/28/2012		20	1,493	1,589	96	0
UNITED TECHS CORP COM	3/4/2011	11/28/2012		103	8,491	8,182	0	-309
UNITED TECHS CORP COM	3/4/2011	11/28/2012		85	7,007	6,752	0	-255
UNITED TECHS CORP COM	1/20/2011	11/28/2012		44	3,494	3,495	0	1
UNITED TECHS CORP COM	1/20/2011	11/28/2012		30	2,383	2,383	0	1
UNITED TECHS CORP COM	1/20/2011	11/28/2012		6	477	477	0	0
UNITEDHEALTH GROUP INC	4/28/2011	11/28/2012	WS	10	505	529	0	24
UPM KYMMENE OY SP5D ADR	8/14/2012	11/28/2012		730	8,008	7,804	-205	0
URS CORP NEW COM	3/1/2011	11/28/2012		40	1,801	1,447	0	-354
VALERO ENERGY CORP NEW	10/31/2012	11/28/2012		2	58	63	5	0
VALERO ENERGY CORP NEW	8/21/2012	11/28/2012		92	2,765	2,885	120	0
VALERO ENERGY CORP NEW	11/25/2011	11/28/2012		93	1,914	2,916	0	1,002
VALERO ENERGY CORP NEW	11/25/2011	11/28/2012		25	515	784	0	269
VALERO ENERGY CORP NEW	10/31/2012	11/28/2012		2	58	63	5	0
VALERO ENERGY CORP NEW	10/31/2012	11/28/2012		6	173	188	15	0
VODACOM GROUP LTD SHS	2/10/2012	11/28/2012		430	5,577	5,641	64	0
VODAFONE GROPC PLC SP ADR	1/10/2011	11/28/2012		210	5,834	5,292	0	-541
VODAFONE GROPC PLC SP ADR	1/10/2011	11/28/2012		97	2,695	2,445	0	-250
VODAFONE GROPC PLC SP ADR	12/22/2010	11/28/2012		66	1,740	1,663	0	-77
VODAFONE GROPC PLC SP ADR	12/22/2010	11/28/2012	WS	11	290	277	0	0
VODAFONE GROPC PLC SP ADR	12/22/2010	11/28/2012	WS	37	976	932	0	0
VODAFONE GROPC PLC SP ADR	12/22/2010	11/28/2012	WS	3	79	76	0	0
VODAFONE GROPC PLC SP ADR	1/18/2011	11/28/2012		56	1,616	1,411	0	-205
VOLKSWAGEN A G SPONS ADR	1/26/2011	11/28/2012		90	2,789	3,546	0	757
WAL-MART STORES INC	3/4/2011	11/28/2012		53	2,747	3,716	0	969
WAL-MART STORES INC	3/4/2011	11/28/2012		98	5,079	6,870	0	1,791
WAL-MART STORES INC	3/4/2011	11/28/2012		90	4,665	6,309	0	1,645
WAL-MART STORES INC	7/14/2011	11/28/2012		86	4,600	6,029	0	1,429
WAL-MART STORES INC	7/14/2011	11/28/2012		103	5,509	7,221	0	1,711
WEICHAI PWR CO LTD	12/23/2010	11/28/2012		90	2,073	1,262	0	-811
WESTAMERICA BANCORP	5/18/2012	11/28/2012		390	17,472	16,206	-1,266	0
WESTERN UN CO	2/9/2012	11/28/2012		163	2,893	2,050	-844	0
WESTERN UN CO	2/9/2012	11/28/2012		52	923	654	-269	0
WESTERN UN CO	12/21/2011	11/28/2012		131	2,362	1,647	-715	0
WESTERN UN CO	12/21/2011	11/28/2012		34	613	428	-185	0
WHIRLPOOL CORP	8/5/2011	11/28/2012		130	8,633	13,155	0	4,522
WILLIAMS COMPANIES DEL	3/10/2011	11/28/2012		1	24	33	0	8
WILLIAMS COMPANIES DEL	3/10/2011	11/28/2012		142	3,422	4,627	0	1,205
WILLIAMS COMPANIES DEL	3/10/2011	11/28/2012		19	458	619	0	161
WILLIAMS COMPANIES DEL	4/26/2012	11/28/2012	WS	114	3,821	3,715	0	0
WILLIAMS COMPANIES DEL	4/26/2012	11/28/2012	WS	69	2,313	2,248	0	0
WILLIAMS COMPANIES DEL	4/26/2012	11/28/2012	WS	47	1,575	1,531	0	0
WILLIAMS COMPANIES DEL	3/20/2012	11/28/2012		45	1,422	1,466	44	0
WILLIAMS COMPANIES DEL	3/20/2012	11/28/2012		15	474	489	15	0
WILLIAMS COMPANIES DEL	3/5/2012	11/28/2012		105	3,196	3,421	225	0
WILLIAMS COMPANIES DEL	3/5/2012	11/28/2012		35	1,065	1,140	75	0
WILLIAMS COMPANIES DEL	1/19/2012	11/28/2012		79	2,270	2,574	304	0
WILLIAMS COMPANIES DEL	1/19/2012	11/28/2012		26	747	847	100	0
WILLIAMS COMPANIES DEL	3/10/2011	11/28/2012		357	8,604	11,632	0	3,029
WILLIAMS COMPANIES DEL	3/10/2011	11/28/2012		336	8,098	10,948	0	2,850
WINDSTREAM CORP	3/1/2011	11/28/2012		645	8,069	5,330	0	-2,739
WINDSTREAM CORP	3/1/2011	11/28/2012		332	4,153	2,744	0	-1,410
WINDSTREAM CORP	3/1/2011	11/28/2012		47	588	388	0	-200
WINDSTREAM CORP	3/1/2011	11/28/2012		43	538	355	0	-183
WINDSTREAM CORP	3/1/2011	11/28/2012		63	788	521	0	-268
WINDSTREAM CORP	3/1/2011	11/28/2012		30	375	248	0	-127
WINDSTREAM CORP	3/1/2011	11/28/2012		10	125	83	0	-42
WISCONSIN ENERGY CORP	3/27/2012	11/28/2012		35	1,232	1,287	55	0
WISCONSIN ENERGY CORP	3/27/2012	11/28/2012		15	528	552	24	0
WISCONSIN ENERGY CORP	3/20/2012	11/28/2012		88	3,023	3,236	214	0
WISCONSIN ENERGY CORP	3/20/2012	11/28/2012		22	756	809	53	0
WISCONSIN ENERGY CORP	2/2/2012	11/28/2012		76	2,589	2,795	206	0

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WISCONSIN ENERGY CORP	2/2/2012	11/28/2012		19	647	699	51	0
WISCONSIN ENERGY CORP	1/31/2012	11/28/2012		100	3,383	3,678	295	0
WISCONSIN ENERGY CORP	1/31/2012	11/28/2012		24	812	883	71	0
WISCONSIN ENERGY CORP	8/10/2011	11/28/2012		10	288	368	0	79
WISCONSIN ENERGY CORP	1/31/2012	11/28/2012		1	34	37	3	0
WISCONSIN ENERGY CORP	12/2/2011	11/28/2012		144	4,802	5,296	493	0
WISCONSIN ENERGY CORP	12/2/2011	11/28/2012		36	1,201	1,324	123	0
WISCONSIN ENERGY CORP	8/10/2011	11/28/2012		80	2,307	2,942	0	635
WOOLWORTHS HLDGS LTD	7/10/2012	11/28/2012		170	11,023	13,039	2,016	0
WORLD FUEL SERVICES CRP	3/4/2011	11/28/2012		271	10,978	10,274	0	-704
WORLD FUEL SERVICES CRP	3/2/2011	11/28/2012	WS	21	725	796	0	71
WORLD FUEL SERVICES CRP	3/1/2011	11/28/2012		508	20,213	19,259	0	-954
WSTN DIGITAL CORP DEL	11/9/2011	11/28/2012		40	1,065	1,356	0	290
XCEL ENERGY INC	9/13/2011	11/28/2012		20	483	529	0	45
YPF SOCIEDAD ANON SPN	3/31/2011	11/28/2012		70	3,060	727	0	-2,334
YUM BRANDS INC	12/22/2010	11/28/2012		10	501	736	0	235
ZIMMER HOLDINGS INC COM	3/6/2012	11/28/2012		30	1,801	1,974	173	0
ZURICH INSURANCE GROUP	12/22/2010	11/28/2012		40	954	988	0	34
ACTIVISION BLIZZARD INC	9/7/2011	11/29/2012		86	1,003	982	0	-21
ACTIVISION BLIZZARD INC	7/1/2011	11/29/2012	WS	20	233	228	0	-5
ACTIVISION BLIZZARD INC	6/29/2011	11/29/2012		35	409	400	0	-9
COMMAVULT SYS INC	11/27/2012	11/29/2012		9	600	602	2	0
DSW INC	11/27/2012	11/29/2012		6	408	408	0	0
FUEL SYSTEMS SOLUTIONS	11/27/2012	11/29/2012	WS	21	319	312	0	0
GAMESTOP CORP NEW CL A	5/17/2012	11/29/2012		65	1,238	1,703	465	0
ELIZABETH ARDEN INC COM	11/27/2012	11/30/2012	WS	9	426	417	0	0
FUEL SYSTEMS SOLUTIONS	11/27/2012	11/30/2012	WS	29	440	434	0	0
KODIAK OIL & GAS CORP	11/27/2012	11/30/2012		14	119	119	-1	0
KODIAK OIL & GAS CORP	11/27/2012	11/30/2012	WS	20	171	170	0	0
SAP AG SHS	4/11/2012	11/30/2012		36	2,377	2,803	426	0
SAP AG SHS	3/4/2011	11/30/2012		18	1,103	1,401	0	298
SUSSER HOLDINGS CORPORAT	11/27/2012	11/30/2012	WS	9	324	321	0	0
COOPER INDUSTRIES PLC	11/27/2012	12/3/2012		100	7,923	7,937	14	0
COOPER INDUSTRIES PLC	11/28/2012	12/3/2012		20	1,584	1,587	4	0
EATON CORP	6/21/2011	12/3/2012	WS	88	3,487	4,568	0	1,081
EATON CORP	6/21/2011	12/3/2012	WS	14	555	727	0	172
EATON CORP	6/20/2011	12/3/2012	WS	21	832	1,090	0	258
EATON CORP	6/20/2011	12/3/2012	WS	7	277	363	0	86
EATON CORP	3/19/2012	12/3/2012		285	14,504	14,793	289	0
EATON CORP	3/19/2012	12/3/2012		95	4,835	4,931	96	0
EATON CORP	6/24/2011	12/3/2012	WS	26	1,025	1,350	0	325
EATON CORP	6/24/2011	12/3/2012	WS	9	355	467	0	112
EATON CORP	6/24/2011	12/3/2012	WS	3	118	156	0	37
EATON CORP	6/22/2011	12/3/2012		241	11,778	12,509	0	732
EATON CORP	6/22/2011	12/3/2012		90	4,398	4,672	0	273
EATON CORP	6/22/2011	12/3/2012		11	538	571	0	33
FOREST LABS INC	3/4/2011	12/3/2012		41	1,330	1,467	0	137
MEDTRONIC INC COM	3/1/2011	12/3/2012		21	832	881	0	49
MEDTRONIC INC COM	3/4/2011	12/3/2012		74	2,893	3,104	0	212
MEDTRONIC INC COM	3/1/2011	12/3/2012		346	13,705	14,514	0	809
MEDTRONIC INC COM	3/1/2011	12/3/2012		232	9,190	9,732	0	542
MEDTRONIC INC COM	3/1/2011	12/3/2012		52	2,060	2,181	0	122
NRG ENERGY INC	1/17/2012	12/3/2012	WS	63	925	1,334	409	0
DEXCOM INC	8/3/2012	12/4/2012		50	583	700	117	0
GAP INC DELAWARE	9/28/2012	12/4/2012		175	6,246	5,369	-877	0
GAP INC DELAWARE	9/18/2012	12/4/2012		16	577	491	-87	0
GAP INC DELAWARE	9/18/2012	12/4/2012		162	5,847	5,122	-725	0
GAP INC DELAWARE	9/18/2012	12/4/2012		42	1,515	1,328	-187	0
PROGRESSIVE CRP OHIO	3/6/2012	12/4/2012	WS	10	215	213	0	0
PROGRESSIVE CRP OHIO	3/13/2012	12/4/2012	WS	60	1,330	1,277	0	0
PROGRESSIVE CRP OHIO	3/13/2012	12/4/2012	WS	20	443	426	0	0
AMERISOURCEBERGEN CORP	3/4/2011	12/5/2012		37	1,384	1,574	0	190
AMERISOURCEBERGEN CORP	1/20/2011	12/5/2012		9	320	383	0	63
ENDOLOGIX INC	7/24/2012	12/5/2012		36	489	504	14	0
HANNOVER RUECKVERS ADR	1/20/2011	12/5/2012		161	4,411	5,881	0	1,470
HANNOVER RUECKVERS ADR	12/22/2010	12/5/2012		866	23,469	31,635	0	8,167
KODIAK OIL & GAS CORP	11/27/2012	12/5/2012	WS	20	171	170	-1	0
KODIAK OIL & GAS CORP	11/27/2012	12/5/2012		36	307	306	-1	0
MARKETAXESS HOLDINGS INC	3/8/2012	12/5/2012		22	779	686	-93	0
PRUDENTIAL PLC ADR	1/20/2011	12/5/2012		214	4,565	6,238	0	1,674
PRUDENTIAL PLC ADR	12/22/2010	12/5/2012		791	16,413	23,058	0	6,645
SMART BALANCE INC	11/27/2012	12/5/2012		40	495	499	4	0
SMART BALANCE INC	11/28/2012	12/5/2012		10	124	125	1	0
THORATEC CORP COM NEW	11/27/2012	12/5/2012	WS	68	2,631	2,565	0	0
TIME WARNER INC SHS	5/13/2011	12/5/2012		35	1,267	1,621	0	354
TIME WARNER INC SHS	3/8/2011	12/5/2012	WS	48	1,719	2,223	0	504

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SAP AG SHS	4/11/2012	12/6/2012		37	2,443	2,919	476	0
TIME WARNER INC SHS	5/13/2011	12/6/2012		246	8,905	11,363	0	2,457
TOTAL S A SP ADR	3/1/2011	12/6/2012		41	2,440	2,064	0	-377
ARM HLDGS PLC SPD ADR	12/22/2010	12/7/2012		301	6,171	10,877	0	4,706
ILLINOIS TOOL WORKS INC	3/4/2011	12/7/2012		63	3,423	3,851	0	428
JONES (THE) GROUP INC	11/27/2012	12/7/2012		20	234	226	-8	0
JONES (THE) GROUP INC	11/27/2012	12/7/2012 WS		70	819	790	0	0
SAP AG SHS	4/11/2012	12/7/2012		25	1,651	1,973	322	0
TESCO PLC SPNRD ADR	3/4/2011	12/7/2012		64	1,274	1,032	0	-242
TESCO PLC SPNRD ADR	1/20/2011	12/7/2012		183	3,528	2,950	0	-578
TOTAL S A SP ADR	3/4/2011	12/7/2012		16	983	793	0	-191
TOTAL S A SP ADR	3/1/2011	12/7/2012		188	11,190	9,314	0	-1,876
MICRON TECHNOLOGY INC	1/29/2011	12/10/2012 WS x		10	83	67	0	0
MICRON TECHNOLOGY INC	1/21/2011	12/10/2012 WS		20	126	133	0	8
MICRON TECHNOLOGY INC	6/24/2011	12/10/2012		120	880	800	0	-79
MICRON TECHNOLOGY INC	1/29/2011	12/10/2012 WS		74	612	494	0	-118
MICRON TECHNOLOGY INC	3/25/2011	12/10/2012 WS		116	789	774	0	-15
NRG ENERGY INC	6/18/2012	12/10/2012		47	707	1,044	336	0
NRG ENERGY INC	2/8/2012	12/10/2012		17	290	377	88	0
NRG ENERGY INC	1/17/2012	12/10/2012 WS		15	220	333	113	0
TIME WARNER INC SHS	5/13/2011	12/10/2012		186	6,733	8,693	0	1,960
TIME WARNER INC SHS	5/13/2011	12/10/2012		18	652	841	0	190
WSTN DIGITAL CORP DEL	11/9/2011	12/10/2012		57	1,518	2,179	0	662
FOREST LABS INC	4/15/2011	12/11/2012		32	1,080	1,178	0	98
FOREST LABS INC	3/4/2011	12/11/2012		14	454	515	0	61
GEORGIA GULF CORP SHS	11/27/2012	12/11/2012		2	92	90	-2	0
GEORGIA GULF CORP SHS	11/27/2012	12/11/2012		10	460	449	-11	0
HORNBECK OFFSHORE SVCS	11/27/2012	12/11/2012		2	71	72	2	0
INCONTACT INC	11/27/2012	12/11/2012		5	28	27	-2	0
INCONTACT INC	11/27/2012	12/11/2012 WS		20	113	107	0	0
INTERCONTINENTALEXCHANGE	8/16/2011	12/11/2012		5	559	649	0	90
INTERCONTINENTALEXCHANGE	5/4/2011	12/11/2012		12	1,368	1,558	0	190
INTERCONTINENTALEXCHANGE	8/16/2011	12/11/2012		7	782	909	0	126
TIME WARNER INC SHS	5/13/2011	12/11/2012		113	4,091	5,303	0	1,212
TITAN MACHY INC	11/27/2012	12/11/2012		28	632	658	26	0
WINTRUST FINL CP ILL COM	11/27/2012	12/11/2012 WS		9	331	327	0	0
EXPRESS SCRIPTS HLDG CO	3/4/2011	12/12/2012		72	4,041	3,906	0	-136
HEALTHSTREAM INC TENN	11/27/2012	12/12/2012		6	139	134	-5	0
HEALTHSTREAM INC TENN	11/27/2012	12/12/2012 WS		20	462	445	0	0
HFF INC	11/27/2012	12/12/2012 WS		20	282	279	0	0
INCONTACT INC	11/27/2012	12/12/2012 WS		20	116	106	-9	0
INCONTACT INC	11/27/2012	12/12/2012		55	311	293	-18	0
MEDICIS PHARMS CL A COM	11/28/2012	12/12/2012		20	861	880	19	0
MEDICIS PHARMS CL A COM	11/27/2012	12/12/2012		80	3,453	3,520	67	0
SPS COMM INC	11/27/2012	12/12/2012		17	601	630	28	0
WM MORRISON SUPERMARKETS	11/28/2012	12/12/2012		60	1,258	1,291	33	0
WM MORRISON SUPERMARKETS	11/27/2012	12/12/2012		220	4,622	4,734	111	0
APPLE INC	2/18/2011	12/13/2012		6	2,100	3,167	0	1,067
BANCO DO BRASIL SA-SPON	12/28/2010	12/13/2012 WS		2	37	22	0	0
BANCO DO BRASIL SA-SPON	12/28/2010	12/13/2012 WS		393	7,215	4,307	0	0
BANCO DO BRASIL SA-SPON	12/28/2010	12/13/2012 WS		179	3,286	1,962	0	0
BANCO DO BRASIL SA-SPON	12/28/2010	12/13/2012		221	4,058	2,422	0	-1,636
BANCO DO BRASIL SA-SPON	12/28/2010	12/13/2012 WS		246	4,517	2,696	0	0
GAMESTOP CORP NEW CL A	5/23/2012	12/13/2012		9	171	253	81	0
GAMESTOP CORP NEW CL A	5/17/2012	12/13/2012		64	1,219	1,797	579	0
KINDER MORGAN MANAGEMENT	11/27/2012	12/13/2012		10	750	727	-23	0
APPLE INC	2/18/2011	12/14/2012		14	4,900	7,180	0	2,280
ARM HLDGS PLC SPD ADR	1/20/2011	12/14/2012		238	5,852	8,529	0	2,676
ARM HLDGS PLC SPD ADR	12/22/2010	12/14/2012		82	1,681	2,938	0	1,257
GDF SUEZ ADR	7/20/2012	12/14/2012		365	7,833	7,242	-591	0
GDF SUEZ ADR	8/11/2011	12/14/2012		353	9,686	7,004	0	-2,682
GDF SUEZ ADR	4/11/2012	12/14/2012		324	7,795	6,429	-1,367	0
GDF SUEZ ADR	3/15/2012	12/14/2012		655	17,109	12,996	-4,112	0
GDF SUEZ ADR	8/17/2011	12/14/2012		915	27,230	18,155	0	-9,075
GDF SUEZ ADR	8/16/2011	12/14/2012		127	3,729	2,520	0	-1,209
QUALCOMM INC	1/20/2011	12/14/2012 WS		20	962	1,204	0	243
QUALCOMM INC	1/20/2011	12/14/2012		101	5,187	6,082	0	894
QUALCOMM INC	1/20/2011	12/14/2012		45	2,311	2,710	0	399
SCHLUMBERGER LTD	1/20/2011	12/14/2012 WS		2	170	136	0	0
SCHLUMBERGER LTD	1/20/2011	12/14/2012 WS		2	170	136	0	0
SCHLUMBERGER LTD	1/20/2011	12/14/2012 WS		2	170	136	0	0
SCHLUMBERGER LTD	1/20/2011	12/14/2012		43	3,644	2,934	0	-711
SCHLUMBERGER LTD	1/20/2011	12/14/2012		26	2,204	1,774	0	-430
SCHLUMBERGER LTD	1/20/2011	12/14/2012		5	424	341	0	-83
SCHLUMBERGER LTD	1/20/2011	12/14/2012		6	509	409	0	-99
SCHLUMBERGER LTD	1/20/2011	12/14/2012 WS		16	1,356	1,092	0	0

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SCHLUMBERGER LTD	1/20/2011	12/14/2012	WS	12	1,017	819	0	0
SCHLUMBERGER LTD	1/20/2011	12/14/2012	WS	9	763	614	0	0
SCHLUMBERGER LTD	1/20/2011	12/14/2012	WS	7	593	478	0	0
SCHLUMBERGER LTD	1/20/2011	12/14/2012	WS	7	593	478	0	0
SCHLUMBERGER LTD	1/20/2011	12/14/2012	WS	3	254	205	0	0
BONANZA CREEK ENERGY INC	11/27/2012	12/17/2012		3	70	78	8	0
CIRRUS LOGIC INC DEL	11/27/2012	12/17/2012	WS	10	308	264	0	0
CIRRUS LOGIC INC DEL	11/27/2012	12/17/2012		3	92	79	-13	0
ELIZABETH ARDEN INC COM	11/27/2012	12/17/2012		10	473	477	4	0
FRESENIUS MEDICAL CARE	4/11/2012	12/17/2012		58	1,974	1,990	15	0
8X8 INC NEW DELAWARE COM	11/27/2012	12/17/2012		25	160	183	22	0
ALCATEL LUCENT SPD ADR	11/27/2012	12/18/2012		1,123	1,279	1,564	284	0
ALKERMES PLC NEW	11/27/2012	12/18/2012	WS	20	397	385	0	0
ALKERMES PLC NEW	11/27/2012	12/18/2012		4	79	77	-2	0
FRESENIUS MEDICAL CARE	4/11/2012	12/18/2012		36	1,225	1,244	19	0
HALLIBURTON COMPANY	1/20/2011	12/18/2012		267	10,421	9,267	0	-1,154
HALLIBURTON COMPANY	1/20/2011	12/18/2012	WS	70	2,732	2,430	0	0
PROSPECT CAPITAL CORP	11/27/2012	12/18/2012		20	214	215	1	0
SYMANTEC CORP COM	1/30/2012	12/18/2012		482	8,189	9,075	885	0
TYSON FOODS INC CL A	9/26/2012	12/18/2012		181	2,961	3,562	601	0
TYSON FOODS INC CL A	7/24/2012	12/18/2012		114	1,676	2,243	568	0
ALCATEL LUCENT SPD ADR	11/27/2012	12/19/2012		1,578	1,798	2,241	443	0
AMERISOURCEBERGEN CORP	3/4/2011	12/19/2012		39	1,459	1,703	0	245
CARNIVAL CORP PAIRED SHS	3/4/2011	12/19/2012	WS	120	3,840	4,693	0	853
CARNIVAL CORP PAIRED SHS	1/20/2011	12/19/2012	WS	177	5,664	6,923	0	1,259
CARNIVAL CORP PAIRED SHS	1/20/2011	12/19/2012	WS	98	3,056	3,833	0	777
DSW INC	11/27/2012	12/19/2012	WS	2	136	131	0	0
FRESENIUS MEDICAL CARE	4/11/2012	12/19/2012		31	1,055	1,070	15	0
GEORGIA GULF CORP SHS	11/27/2012	12/19/2012		9	414	398	-16	0
WSTN DIGITAL CORP DEL	4/30/2012	12/19/2012		45	1,747	1,848	101	0
WSTN DIGITAL CORP DEL	11/9/2011	12/19/2012		11	293	452	0	159
BROWN SHOE CO INC COM	11/27/2012	12/20/2012		9	164	169	4	0
CONNIS INC	11/27/2012	12/20/2012		10	275	303	28	0
ENDOLOGIX INC	7/24/2012	12/20/2012		12	163	161	-2	0
FRESENIUS MEDICAL CARE	4/11/2012	12/20/2012		32	1,089	1,103	14	0
FRESENIUS MEDICAL CARE	4/11/2012	12/20/2012		13	443	450	7	0
GOLDCORP INC	11/28/2012	12/20/2012		60	2,317	2,112	-205	0
GOLDCORP INC	11/27/2012	12/20/2012		230	9,167	8,098	-1,069	0
GULFPORT ENERGY NEW\$0 01	10/3/2012	12/20/2012		8	238	304	66	0
HEALTHWAYS, INC	11/27/2012	12/20/2012		48	492	503	11	0
LUMBER LIQUIDATORS	11/27/2012	12/20/2012	WS	5	273	253	0	0
NRG ENERGY INC	6/18/2012	12/20/2012		148	2,227	3,391	1,164	0
NYSE EURONEXT	5/1/2012	12/20/2012		119	3,029	3,787	758	0
NYSE EURONEXT	4/11/2012	12/20/2012		231	6,313	7,351	1,039	0
NYSE EURONEXT	8/26/2011	12/20/2012		774	20,486	24,631	0	4,145
NYSE EURONEXT	8/24/2011	12/20/2012		216	5,736	6,874	0	1,137
ROYAL KPN N V SP ADR	7/17/2012	12/20/2012		3,350	30,988	15,923	-15,065	0
ROYAL KPN N V SP ADR	6/27/2012	12/20/2012		2,580	23,762	12,263	-11,499	0
TELENOR ASA ADR	8/16/2011	12/20/2012		55	2,575	3,339	0	765
TELENOR ASA ADR	6/22/2011	12/20/2012		438	20,643	26,591	0	5,948
TELENOR ASA ADR	4/11/2012	12/20/2012		237	12,431	14,388	1,958	0
CONCHO RESOURCES INC	5/11/2011	12/21/2012		34	3,159	2,702	0	-456
CONCHO RESOURCES INC	5/11/2011	12/21/2012		37	3,437	2,941	0	-496
CONCHO RESOURCES INC	5/4/2011	12/21/2012		33	3,203	2,623	0	-580
FRESENIUS MEDICAL CARE	4/11/2012	12/21/2012		14	477	481	5	0
GUGHM MGD FUT STR FD H	3/5/2012	12/21/2012		0 346	8	7	-1	0
MERIT MEDICAL SYS INC	11/27/2012	12/21/2012		74	1,022	1,006	-16	0
MERIT MEDICAL SYS INC	11/27/2012	12/21/2012	WS	120	1,657	1,631	0	0
NATL AUSTRALIA B ADR	3/4/2011	12/21/2012		146	3,760	3,768	0	8
NATL AUSTRALIA B ADR	3/1/2011	12/21/2012		297	7,612	7,664	0	52
NATL AUSTRALIA B ADR	1/20/2011	12/21/2012		819	19,574	21,135	0	1,561
PIMCO EM LOCAL BD FD P	8/15/2011	12/21/2012		0 689	8	8	0	0
YUM BRANDS INC	1/20/2011	12/21/2012		29	1,410	1,844	0	434
YUM BRANDS INC	12/22/2010	12/21/2012		33	1,653	2,098	0	445
CALAMOS MKT NTRL INC I	8/15/2011	12/26/2012		0 45	5	6	0	0
E V INC FD OF BOSTON I	8/15/2011	12/26/2012		0 314	2	2	0	0
FORD MOTOR CO	10/26/2012	12/26/2012		375	3,870	4,691	821	0
LIBERTY VENTURES SRS A	3/28/2012	12/26/2012		8	354	520	166	0
LIBERTY VENTURES SRS A	2/27/2012	12/26/2012		7	280	455	175	0
LIBERTY VENTURES SRS A	8/17/2012	12/26/2012		51	2,126	3,316	1,191	0
LIBERTY VENTURES SRS A	6/12/2012	12/26/2012		10	399	650	251	0
MICHAEL KORS HLDGS LTD	3/23/2012	12/27/2012		82	3,863	3,957	94	0
CONCHO RESOURCES INC	9/6/2012	12/28/2012		5	472	385	-87	0
CONCHO RESOURCES INC	10/6/2011	12/28/2012		19	1,446	1,464	0	18
CONCHO RESOURCES INC	10/6/2011	12/28/2012		18	1,370	1,387	0	17
CONCHO RESOURCES INC	5/11/2011	12/28/2012		4	372	308	0	-63

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DISH NETWORK CORPATION	4/11/2012	12/31/2012		60	1,919	2,135	216	0
DISH NETWORK CORPATION	11/18/2011	12/31/2012		82	2,046	2,918	0	872
ELOQUA LTD COM	11/28/2012	12/31/2012		10	177	236	59	0
ELOQUA LTD COM	11/27/2012	12/31/2012		20	353	472	118	0
ALCATEL LUCENT SPD ADR	11/28/2012	1/2/2013		1,140	1,279	1,599	320	0
ALCATEL LUCENT SPD ADR	11/27/2012	1/2/2013		999	1,138	1,401	263	0
DEVRY INC DEL	7/20/2012	1/2/2013		48	1,339	1,140	-199	0
DEVRY INC DEL	3/5/2012	1/2/2013		31	1,077	736	-341	0
DEVRY INC DEL	11/18/2011	1/2/2013		49	1,741	1,164	0	-577
GEO GROUP INC	12/18/2012	1/2/2013		120	0	0	0	0
LOUISIANA PAC CORP	11/27/2012	1/2/2013		63	1,088	1,201	113	0
A N S Y S INC COM	3/4/2011	1/3/2013		10	549	699	0	150
ABBVIE INC SHS	8/15/2011	1/3/2013		16	415	554	0	139
ABBVIE INC SHS	8/15/2011	1/3/2013		9	234	312	0	78
ABBVIE INC SHS	3/4/2011	1/3/2013		215	5,442	7,450	0	2,008
ABBVIE INC SHS	3/4/2011	1/3/2013		134	3,392	4,643	0	1,252
ABBVIE INC SHS	3/4/2011	1/3/2013		101	2,556	3,500	0	943
ACCENTURE PLC SHS	11/27/2012	1/3/2013		10	676	688	13	0
ACCURAY INC CALIF	11/27/2012	1/3/2013		10	64	67	3	0
ACE LIMITED	12/22/2010	1/3/2013		10	621	820	0	199
ACORDA THERAPEUTICS INC	11/27/2012	1/3/2013		10	249	269	20	0
AETNA INC NEW	10/17/2011	1/3/2013		40	1,507	1,823	0	316
AGRIUM INC	11/27/2012	1/3/2013		10	1,012	1,018	6	0
AKBANK TURK SPON ADR REG	12/22/2010	1/3/2013		140	1,523	1,410	0	-113
AKZO NOBEL N V SPNSD ADR	11/27/2012	1/3/2013		20	373	436	63	0
ALLIANT ENERGY CORP	7/28/2011	1/3/2013		10	398	451	0	53
ALLIANZ SE SPD ADR	1/20/2011	1/3/2013		320	4,192	4,509	0	317
ALLSTATE CORP DEL COM	3/1/2011	1/3/2013		10	316	414	0	99
ALTEGRIS MGD FUT STRAT I	11/28/2012	1/3/2013		330	3,086	3,115	30	0
AMDOCS LIMITED	3/31/2011	1/3/2013		10	290	342	0	52
AMERICA MOVIL SAB DE CV	3/4/2011	1/3/2013		238	6,690	5,594	0	-1,096
AMERICA MOVIL SAB DE CV	2/28/2011	1/3/2013		10	284	235	0	-49
AMERICA MOVIL SAB DE CV	2/28/2011	1/3/2013		7	199	165	0	-34
AMERICA MOVIL SAB DE CV	2/28/2011	1/3/2013		5	142	118	0	-25
AMERICA MOVIL SAB DE CV	1/20/2011	1/3/2013		50	1,465	1,175	0	-289
AMERICAN EQUITY INVT	11/27/2012	1/3/2013		10	115	127	12	0
AMERICAN TOWER REIT INC	1/20/2011	1/3/2013		11	554	848	0	294
AMERICAN TOWER REIT INC	1/20/2011	1/3/2013		32	1,612	2,468	0	856
AMERICAN WTR WKS CO INC	3/4/2011	1/3/2013		10	276	380	0	104
AMERIPRISE FINL INC	2/7/2011	1/3/2013		40	2,344	2,618	0	274
AMN ELEC POWER CO	1/10/2012	1/3/2013		30	1,240	1,309	68	0
ANHEUSER-BUSCH INBEV ADR	5/4/2012	1/3/2013		10	738	871	132	0
ANNALY CAP MGMT INC	3/1/2011	1/3/2013 WS x		30	546	434	0	0
ANTARES PHARMA INC	11/27/2012	1/3/2013		10	40	39	-1	0
AOL INC	11/27/2012	1/3/2013		10	317	302	-16	0
APPLIED MATERIAL INC	4/11/2012	1/3/2013 WS		10	105	118	13	0
ARKEMA ADR	11/27/2012	1/3/2013		10	1,003	1,086	84	0
ARTISAN INTL FD INV CL	11/28/2012	1/3/2013		530	12,757	13,149	392	0
ASAHI KASEI CORP ADR	1/20/2011	1/3/2013		550	7,469	6,556	0	-913
ASPEN TECHNOLOGY INC DEL	11/27/2012	1/3/2013		10	263	278	16	0
ASSA ABLOY AB ADR	11/27/2012	1/3/2013		30	538	567	28	0
ASTRAZENECA PLC SPND ADR	7/11/2012	1/3/2013		20	910	962	52	0
AT&T INC	3/4/2011	1/3/2013		30	841	1,052	0	211
ATLANTIA SPA SHS	11/27/2012	1/3/2013		70	581	639	58	0
ATWOOD OCEANICS INC	11/27/2012	1/3/2013		30	1,356	1,431	75	0
AVIVA PLC SHS	11/1/2012	1/3/2013		310	3,410	3,863	453	0
AXA -SPONS ADR	11/27/2012	1/3/2013		60	963	1,084	121	0
BAE SYS PLC SPN ADR	11/27/2012	1/3/2013		90	1,854	2,047	193	0
BAIDU INC SPON ADR	10/26/2012	1/3/2013 WS		10	1,143	1,052	0	0
BANCFIRST CORP COM	11/27/2012	1/3/2013		10	416	430	14	0
BANCO DO BRASIL SA-SPON	12/28/2010	1/3/2013 WS		7	126	91	0	-35
BANCO DO BRASIL SA-SPON	12/28/2010	1/3/2013 WS		393	7,094	5,109	0	-1,985
BANCO DO BRASIL SA-SPON	12/28/2010	1/3/2013 WS		179	3,231	2,327	0	-904
BANCO DO BRASIL SA-SPON	12/28/2010	1/3/2013 WS		2	36	26	0	-10
BANCO DO BRASIL SA-SPON	12/28/2010	1/3/2013		259	4,755	3,367	0	-1,388
BANCO MACRO SA-	12/22/2010	1/3/2013		60	3,018	1,117	0	-1,901
BANK MANDIRI TBK-UNSPON	1/20/2011	1/3/2013		1	7	8	0	2
BANK MANDIRI TBK-UNSPON	12/30/2010	1/3/2013		369	2,753	3,074	0	321
BANK OF NOVA SCOTIA	11/27/2012	1/3/2013		10	549	580	30	0
BARCLAYS PLC ADR	9/18/2012	1/3/2013		160	2,334	2,833	498	0
BARRETT BILL CORP	11/27/2012	1/3/2013		20	357	388	31	0
BARRICK GOLD CORPORATION	3/4/2011	1/3/2013 WS		10	527	346	0	0
BASF SE SPONSORED ADR	12/22/2010	1/3/2013		30	2,421	2,832	0	411
BAYER AG SP ADR	9/15/2011	1/3/2013		50	2,724	4,687	0	1,963
BAYERISCHE MOTORENWERKE	11/27/2012	1/3/2013		10	288	328	41	0
BELDEN INC	11/27/2012	1/3/2013		10	364	455	91	0

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BELGACOM SA DE DROIT	11/27/2012	1/3/2013		20	116	116	1	0
BEMIS CO INC	2/18/2011	1/3/2013		10	331	340	0	9
BENCHMARK ELECTRONICS	11/27/2012	1/3/2013		10	153	172	19	0
BERRY PETE SF CALIF CL A	11/27/2012	1/3/2013		10	319	351	33	0
BEST BUY CO INC	5/16/2012	1/3/2013		20	379	240	-138	0
BG GROUP PLC SPON ADR	3/4/2011	1/3/2013		160	3,832	2,637	0	-1,195
BHP BILLITON LTD ADR	12/22/2010	1/3/2013		65	5,957	5,134	0	-824
BHP BILLITON LTD ADR	12/22/2010	1/3/2013 WS		45	4,124	3,554	0	0
BIDVEST GROUP LTD ADR	1/20/2011	1/3/2013		60	2,679	3,130	0	451
BIO REFERENCE LABS 01NEW	11/27/2012	1/3/2013		10	251	294	43	0
BLOCK H&R INC	3/1/2011	1/3/2013		20	295	383	0	88
BNP PARIBAS SPONSORD ADR	12/22/2010	1/3/2013		200	6,510	5,790	0	-720
BP PLC SPON ADR	6/14/2012	1/3/2013		170	6,569	7,335	766	0
BRAMBLES LTD SHS	11/27/2012	1/3/2013		10	152	160	8	0
BRANDYWNE RLTY T SBI NEW	12/12/2011	1/3/2013		10	86	121	0	35
BRIDGESTONE CORP ADR	11/27/2012	1/3/2013		10	477	525	48	0
BRINKS CO	11/27/2012	1/3/2013		10	270	298	28	0
BRITISH AMN TOBACO SPADR	11/27/2012	1/3/2013 WS		10	1,049	1,008	0	0
BRITISH SKY BDCT SPD ADR	11/27/2012	1/3/2013		10	498	503	5	0
BUNZL PLC ADR	11/27/2012	1/3/2013		10	867	821	-45	0
CA INC	4/25/2012	1/3/2013 WS		7	192	158	-34	0
CA INC	4/25/2012	1/3/2013 WS		13	357	294	-63	0
CA INC	4/25/2012	1/3/2013 WS		6	165	136	-29	0
CA INC	4/25/2012	1/3/2013 WS		2	55	45	-10	0
CA INC	4/25/2012	1/3/2013 WS		2	55	45	-10	0
CABLEVISION NY GRP CL A	7/10/2012	1/3/2013		10	130	151	21	0
CABOT OIL & GAS CORP	6/27/2012	1/3/2013		10	405	500	95	0
CAIRN ENERGY PLC SHS ADR	11/27/2012	1/3/2013		20	170	177	7	0
CALGON CARBON CORP	11/27/2012	1/3/2013		30	389	431	43	0
CANADIAN NATURAL RES LTD	11/27/2012	1/3/2013		30	848	895	47	0
CANADIAN PACIFIC RAILWAY	11/27/2012	1/3/2013		10	927	1,067	140	0
CANON INC ADR REP5SH	11/27/2012	1/3/2013		30	1,056	1,204	147	0
CAPITAL SENIOR LIVING	11/27/2012	1/3/2013		10	171	193	22	0
CASH AMERICAN INTL INC	11/27/2012	1/3/2013		10	374	401	27	0
CELGENE CORP COM	9/21/2011	1/3/2013		10	650	821	0	171
CENOVUS ENERGY INC	11/27/2012	1/3/2013		10	326	338	12	0
CENTERPOINT ENERGY INC	3/4/2011	1/3/2013		20	316	392	0	76
CENTRICA PLC	12/14/2012	1/3/2013		100	2,188	2,172	-16	0
CHEMTURA CORP	11/27/2012	1/3/2013		10	200	223	23	0
CHEVRON CORP	4/11/2012	1/3/2013		9	909	988	79	0
CHEVRON CORP	4/11/2012	1/3/2013		7	707	769	61	0
CHEVRON CORP	3/4/2011	1/3/2013		4	413	439	0	26
CHINA CONSTRUCT UNSPN AD	12/22/2010	1/3/2013		520	9,287	8,751	0	-536
CHINA MOBILE LTD SPN ADR	1/26/2012	1/3/2013		80	4,000	4,733	733	0
CIELO S A SPONSORED ADR	1/20/2011	1/3/2013		160	2,629	4,648	0	2,019
CIGNA CORP	7/25/2012	1/3/2013		10	396	543	147	0
CISCO SYSTEMS INC COM	5/25/2012	1/3/2013		110	1,804	2,250	446	0
CLICKS GROUP LTD SHS	5/31/2011	1/3/2013		110	2,728	3,410	0	682
CMS ENERGY CORP	1/20/2011	1/3/2013		20	382	498	0	115
CNOOC LTD ADR	7/10/2012	1/3/2013		11	2,178	2,462	284	0
CNOOC LTD ADR	7/10/2012	1/3/2013		8	1,584	1,791	207	0
CNOOC LTD ADR	7/10/2012	1/3/2013		1	198	224	26	0
COACH INC	1/17/2012	1/3/2013		4	250	221	-29	0
COACH INC	1/17/2012	1/3/2013		22	1,375	1,215	-160	0
COACH INC	3/4/2011	1/3/2013		17	932	939	0	7
COACH INC	3/4/2011	1/3/2013		17	932	939	0	7
COGNEX CORP	10/22/2012	1/3/2013		10	355	384	30	0
COGNIZANT TECH SOLUTNS A	3/4/2011	1/3/2013		10	760	755	0	-6
COINSTAR INC	11/27/2012	1/3/2013		10	472	524	52	0
COML INL BK SP ADR	1/20/2011	1/3/2013		1,040	7,311	6,054	0	-1,258
COMP DE BEBIDAS SPN ADR	3/4/2011	1/3/2013		60	1,675	2,540	0	865
CONOCOPHILLIPS	1/20/2011	1/3/2013		20	1,034	1,181	0	147
CONOCOPHILLIPS	1/20/2011	1/3/2013		20	1,034	1,181	0	147
CORUS ENTMT INC CL B N V	11/27/2012	1/3/2013		10	233	247	14	0
COVIDIEN PLC SHS NEW	5/15/2012	1/3/2013		4	218	233	14	0
COVIDIEN PLC SHS NEW	5/11/2012	1/3/2013		6	333	349	16	0
CREDIT SUISSE GP SP ADR	12/22/2010	1/3/2013		230	9,225	5,795	0	-3,431
CSL LTD SHS	4/11/2012	1/3/2013		10	183	279	97	0
CUBESMART COM	11/27/2012	1/3/2013		10	136	147	12	0
CVS CAREMARK CORP	3/4/2011	1/3/2013		50	1,646	2,483	0	837
CYPRESS SEMICNDTR PV1CTS	11/27/2012	1/3/2013		10	100	110	10	0
DAITO TR CONSTRUCTION CO	11/27/2012	1/3/2013		20	490	474	-17	0
DANAHER CORP DEL COM	12/22/2010	1/3/2013		20	942	1,162	0	220
DEL FRISCOS RESTAURANT	11/27/2012	1/3/2013		10	146	164	18	0
DESARROLLADORA SAB ADR	2/22/2012	1/3/2013		110	2,307	1,442	-865	0
DEUTSCHE BK AG REG SHS	11/27/2012	1/3/2013		10	438	452	14	0

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DEUTSCHE BOERSE AG SHS	11/27/2012	1/3/2013		40	222	243	21	0
DEUTSCHE LUFT AG SPN ADR	1/20/2011	1/3/2013		280	6,034	5,437	0	-597
DEUTSCHE TELE AG SPN ADR	11/27/2012	1/3/2013		20	215	228	12	0
DOMINOS PIZZA INC	11/27/2012	1/3/2013		10	417	448	30	0
DOW CHEMICAL CO	4/4/2012	1/3/2013		150	5,013	4,979	-34	0
DU PONT E I DE NEMOURS	7/5/2011	1/3/2013		30	1,634	1,356	0	-278
DYNNAVAX TECHNOLOGIES	11/27/2012	1/3/2013		20	57	59	2	0
E M C CORPORATION MASS	1/20/2011	1/3/2013		10	238	244	0	6
EATON CORP PLC	12/4/2012	1/3/2013		80	4,152	4,518	365	0
EATON VANCE CORP NVT	6/19/2012	1/3/2013		10	260	326	65	0
EBAY INC COM	4/19/2012	1/3/2013		10	410	525	115	0
ECOPETROL SA SPON ADR	11/27/2012	1/3/2013		10	583	621	38	0
ENDO HEALTH SOLU INC	1/5/2011	1/3/2013	WS x	10	305	264	0	0
ENI S P A SPONSORED ADR	12/4/2012	1/3/2013		10	478	495	17	0
ENSCO PLC SHS CL A	11/25/2011	1/3/2013		40	1,924	2,436	0	512
ERICSSON AMERICAN	11/27/2012	1/3/2013		200	1,796	2,068	272	0
ESTACIO PARTICIPACO-SPON	12/13/2012	1/3/2013		10	190	195	5	0
EVEREST RE GROUP LTD	4/25/2012	1/3/2013		10	952	1,125	173	0
EXPERIAN PLC SP ADR	11/27/2012	1/3/2013		30	498	491	-6	0
FACEBOOK INC	10/25/2012	1/3/2013		10	228	276	49	0
FANUC LTD-UNSP	3/5/2012	1/3/2013		50	1,502	1,590	88	0
FASTENAL COMPANY	3/1/2011	1/3/2013		70	2,115	3,350	0	1,235
FIFTH THIRD BANCORP	9/5/2012	1/3/2013		110	1,657	1,715	58	0
FINISH LINE INC CL A	11/27/2012	1/3/2013		10	205	192	-13	0
FINL ENGINES INC	11/27/2012	1/3/2013		10	262	282	20	0
FIRST INDL REALTY TR INC	11/27/2012	1/3/2013		10	133	143	11	0
FIRST REP BK SAN FRANCISCO	11/8/2011	1/3/2013		20	572	666	0	94
FLEXTRONICS INTL LTD	12/22/2010	1/3/2013		800	6,224	5,104	0	-1,120
FLUOR CORP NEW DEL COM	12/22/2010	1/3/2013		10	660	605	0	-55
FMC TECHS INC COM	3/4/2011	1/3/2013	WS	2	95	86	0	0
FMC TECHS INC COM	5/4/2011	1/3/2013	WS	8	352	346	0	0
FORD MOTOR CO	10/26/2012	1/3/2013		330	3,406	4,471	1,066	0
FOREST LABS INC	4/15/2011	1/3/2013		10	338	361	0	23
FORWARD AIR CORP	11/27/2012	1/3/2013		20	651	718	68	0
FRANKLIN EL CO PV10CT	11/27/2012	1/3/2013		10	592	632	40	0
FRESH MKT INC	11/27/2012	1/3/2013		20	1,214	962	-252	0
FUEL SYSTEMS SOLUTIONS	11/27/2012	1/3/2013		10	152	153	2	0
FUJIFILM HLDGS CORP ADR	11/27/2012	1/3/2013		80	1,427	1,632	205	0
FULTON FINL CORP PA	11/27/2012	1/3/2013		20	194	205	11	0
GEO GROUP INC	11/27/2012	1/3/2013		10	282	292	11	0
GETINGE AB SHS	11/27/2012	1/3/2013		10	325	343	18	0
GILEAD SCIENCES INC COM	10/2/2012	1/3/2013		10	690	751	61	0
GIVAUDAN SA UNSP ADR	11/27/2012	1/3/2013		20	402	418	16	0
GLIMCHER REALTY TR SBI	11/27/2012	1/3/2013		10	106	113	6	0
GLOBAL PMTS INC GEORGIA	7/26/2011	1/3/2013		5	243	232	0	-12
GLOBAL PMTS INC GEORGIA	7/1/2011	1/3/2013		5	256	232	0	-24
GLOBUS MED INC	11/27/2012	1/3/2013		10	139	112	-27	0
GOLD FIELDS SP ADR NEW	11/27/2012	1/3/2013		40	478	484	6	0
GRUPO TELEvisa SA ADR	12/22/2010	1/3/2013		110	2,895	3,060	0	164
HALCON RESOURCES CORP	11/27/2012	1/3/2013		10	61	71	10	0
HALLIBURTON COMPANY	1/20/2011	1/3/2013		100	3,903	3,623	0	-280
HANCOCK HOLDING CO	11/27/2012	1/3/2013		10	313	328	15	0
HANNOVER RUECKVERS ADR	1/20/2011	1/3/2013		40	1,096	1,564	0	468
HARRIS CORP DEL	3/1/2011	1/3/2013		30	1,381	1,488	0	107
HATTERAS FINL CORP	11/27/2012	1/3/2013		10	264	258	-5	0
HCA HOLDINGS INC SHS	11/7/2012	1/3/2013	WS	10	333	316	0	0
HCC INS HOLDING INC	3/4/2011	1/3/2013		10	305	381	0	77
HECLA MINING CO DEL	11/27/2012	1/3/2013		40	233	239	6	0
HERBALIFE LTD	11/27/2012	1/3/2013		10	471	356	-116	0
HEXCEL CORP NEW COM	8/22/2012	1/3/2013		10	235	286	51	0
HITACHI LTD 10 NEW ADR	12/20/2012	1/3/2013		90	5,269	5,422	154	0
HOME DEPOT INC	8/9/2011	1/3/2013		10	292	633	0	340
HOME RETAIL GROUP PLC	11/27/2012	1/3/2013		240	1,694	1,963	269	0
HONEYWELL INTL INC DEL	12/22/2010	1/3/2013		40	2,145	2,603	0	458
HOSPIRA INC	2/7/2011	1/3/2013		62	3,329	1,998	0	-1,331
HOSPIRA INC	1/20/2011	1/3/2013		28	1,556	902	0	-654
HSBC HLDG PLC SP ADR	3/5/2012	1/3/2013		60	2,668	3,200	533	0
HUANENG PWR INTL SP ADR	11/27/2012	1/3/2013		50	1,588	1,858	270	0
HUNTSMAN CORP	11/27/2012	1/3/2013		30	491	502	11	0
ICAP PLC SPONSORED ADR	11/27/2012	1/3/2013		30	282	301	19	0
IMPALA PLATINUM SPON ADR	11/27/2012	1/3/2013		50	850	970	120	0
IMPAX LABS INC	6/8/2011	1/3/2013		17	351	361	0	11
IMPAX LABS INC	6/6/2011	1/3/2013		3	71	64	0	-7
IMPERIAL TOB GRP SPS ADR	2/7/2011	1/3/2013		30	1,902	2,329	0	427
INDRA SISTEMAS SA SHS	11/27/2012	1/3/2013		470	2,712	3,177	465	0
INDUSTRL AND COMMRCIAL BNK	12/7/2012	1/3/2013		40	551	600	49	0

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INFORMA PLC UNSP ADR	11/27/2012	1/3/2013		60	806	894	88	0
ING GP NV SPSP ADR	2/22/2012	1/3/2013		430	3,750	4,082	333	0
INTEL CORP	3/1/2011	1/3/2013		140	2,989	2,976	0	-14
INTERSIL CORP CL A	11/27/2012	1/3/2013		10	69	86	17	0
INTESA SANPAOLO SPON ADR	11/27/2012	1/3/2013		90	875	972	97	0
INTL PAPER CO	6/21/2011	1/3/2013		149	4,279	6,011	0	1,732
INTL PAPER CO	6/21/2011	1/3/2013		1	29	40	0	12
INTRPUBLIC GRP OF CO	7/6/2012	1/3/2013		20	218	237	19	0
INTUIT INC COM	3/4/2011	1/3/2013		3	158	185	0	27
INTUIT INC COM	3/1/2011	1/3/2013		7	360	432	0	73
INTUITIVE SURGICAL INC	1/20/2011	1/3/2013		10	2,882	5,029	0	2,147
ISHARES MSCI EAFE INDEX	11/27/2012	1/3/2013		120	6,527	6,870	343	0
ISHARES TR RUSSELL	11/27/2012	1/3/2013		60	3,021	3,240	219	0
ISHARES TR RUSSELL 2000	11/27/2012	1/3/2013		70	5,678	6,075	397	0
IXIA COM	4/23/2012	1/3/2013		20	239	354	115	0
JETBLUE AIRWAYS CORP DEL	11/27/2012	1/3/2013		10	51	59	8	0
JONES (THE) GROUP INC	11/27/2012	1/3/2013		20	234	229	-5	0
JPMORGAN CHASE & CO	5/3/2011	1/3/2013		110	5,037	4,881	0	-156
JUPITER TELECOM CO ADR	11/27/2012	1/3/2013		70	913	869	-43	0
KASIKORNBANK PCL-UNSPON	11/27/2012	1/3/2013		10	235	259	24	0
KB FINL GROUP INC SPN AD	8/12/2011	1/3/2013		110	4,257	4,055	0	-202
KBC GROUPE SA SHS	11/27/2012	1/3/2013		30	448	512	65	0
KBR INC	3/4/2011	1/3/2013		9	318	276	0	-41
KBR INC	1/20/2011	1/3/2013		161	5,191	4,942	0	-249
KIMBERLY CLARK DE MX SAB	12/22/2010	1/3/2013		320	3,267	4,128	0	861
KLA TENCOR CORP PV\$0 001	3/20/2012	1/3/2013		10	521	489	-32	0
KOC HLDG AS-UNSPON	12/22/2010	1/3/2013		160	3,587	4,186	0	598
KOMATSU NEW NEW SPNSDADR	9/16/2011	1/3/2013		400	9,328	10,540	0	1,212
KONINKLIJKE AHOLD NV ADR	12/22/2010	1/3/2013		320	4,090	4,288	0	198
KRATON PERFRMNC POLYMRS	3/27/2012	1/3/2013		10	272	258	-14	0
KURARAY CO LTD SHS	5/9/2011	1/3/2013		110	4,960	4,345	0	-615
L OREAL CO ADR	4/11/2012	1/3/2013		10	239	275	36	0
LA-Z-BOY INC MICHIGAN	11/27/2012	1/3/2013		10	165	148	-17	0
LAKELAND FINCL CORP IND	11/27/2012	1/3/2013		10	251	265	13	0
LAM RESEARCH CORP COM	3/15/2011	1/3/2013		10	517	381	0	-136
LAS VEGAS SANDS CORP	2/17/2011	1/3/2013 WS		10	408	497	0	88
LEAR CORP SHS	5/4/2011	1/3/2013		10	504	474	0	-30
LENNAR CORP CL A	10/8/2012	1/3/2013		10	377	401	23	0
LI & FUNG LTD-UNSP ADR	1/11/2011	1/3/2013 WS		60	357	222	0	-135
LIBERTY INTERACTIVE CORP	3/28/2012	1/3/2013		10	170	204	34	0
LIFE TECHNOLOGIES CORP	10/26/2012	1/3/2013		55	2,683	2,783	100	0
LINDE AG SHS SP ADR	11/27/2012	1/3/2013		20	349	344	-6	0
LINDSAY CORPORATION	11/27/2012	1/3/2013		10	789	827	38	0
LINKEDIN CORP	11/27/2012	1/3/2013		20	2,173	2,244	71	0
LIXIL GROUP CORPORATION	11/27/2012	1/3/2013		10	413	450	37	0
LLOYDS BANKING GROUP PLC	11/27/2012	1/3/2013		240	710	773	62	0
LOEWS CORP	6/8/2012	1/3/2013		10	397	418	21	0
LONZA GROUP AG SHS	4/11/2012	1/3/2013		740	3,374	4,003	629	0
LOUISIANA PAC CORP	11/27/2012	1/3/2013		10	173	201	28	0
LUKOIL SPONSORED ADR	8/12/2011	1/3/2013		60	3,358	4,024	0	666
LVMH MOET HENNESSY ADR	3/4/2011	1/3/2013		10	311	369	0	58
MADDEN STEVEN LTD	5/11/2011	1/3/2013		20	729	848	0	119
MAGNA INTL INC CL A VTG	11/27/2012	1/3/2013		10	455	510	55	0
MAINSTAY MARKETFIELD	11/28/2012	1/3/2013		940	14,720	15,106	385	0
MAKITA CORP SPOND ADR	11/27/2012	1/3/2013		40	1,666	1,920	254	0
MANULIFE FINANCIAL CORP	11/27/2012	1/3/2013		20	251	280	29	0
MARATHON OIL CORP	3/1/2011	1/3/2013		20	606	632	0	26
MARKET LEADER INC	11/27/2012	1/3/2013		10	64	70	6	0
MASTERCARD INC	8/2/2012	1/3/2013		10	4,273	5,108	835	0
MAXIMUS INC	11/27/2012	1/3/2013		10	618	640	22	0
MEAD JOHNSON NUTRTION CO	11/27/2012	1/3/2013		50	3,366	3,502	136	0
MEADWESTVACO CORP	2/24/2012	1/3/2013		10	272	327	55	0
MEDASSETS INC	11/27/2012	1/3/2013		10	167	177	10	0
MEDICLINIC INTL	11/27/2012	1/3/2013		20	563	641	78	0
MEDIDATA SOLUTIONS INC	11/27/2012	1/3/2013		10	412	411	0	0
MERCK KGAA	11/27/2012	1/3/2013		10	440	441	1	0
MERIDIAN BIOSCIENCE INC	11/27/2012	1/3/2013		10	206	208	2	0
MERIT MEDICAL SYS INC	11/27/2012	1/3/2013		10	138	139	1	0
METLIFE INC COM	1/11/2011	1/3/2013 WS		98	4,432	3,449	0	-984
METLIFE INC COM	1/11/2011	1/3/2013 WS		1	45	35	0	-10
METLIFE INC COM	1/11/2011	1/3/2013 WS		1	45	35	0	-10
METLIFE INC COM	12/22/2010	1/3/2013		80	3,596	2,815	0	-781
MICRON TECHNOLOGY INC	1/29/2011	1/3/2013 WS x		10	73	69	0	0
MICRON TECHNOLOGY INC	6/24/2011	1/3/2013 WS		40	293	277	0	0
MITSUBISHI CP SPNSRD ADR	12/22/2010	1/3/2013		180	9,540	7,094	0	-2,446
MITSUBISHI UFJ FINL GRP	7/31/2012	1/3/2013		390	1,919	2,147	229	0

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MOBILE TELESYS OJSC ADR	12/22/2010	1/3/2013		280	5,726	5,260	0	-466
MOLSON COOR BREW CO CL B	8/21/2012	1/3/2013		40	1,775	1,715	-60	0
MONSANTO CO NEW DEL COM	7/7/2011	1/3/2013	WS	10	747	955	0	208
MONSTER WORLDWIDE INC	11/27/2012	1/3/2013		10	56	60	3	0
MONTPELIER RE HLDGS LTD	11/27/2012	1/3/2013		10	209	236	27	0
MR PRICE GROUP LTD ADR	11/27/2012	1/3/2013		30	464	500	37	0
MS&AD INS GROUP HLDGS	11/27/2012	1/3/2013		100	888	1,012	124	0
MTN GROUP LTD-SPONS ADR	1/20/2011	1/3/2013		160	2,854	3,312	0	458
MVC CAPITAL INC	11/27/2012	1/3/2013		10	119	121	2	0
NABORS INDUSTRIES LTD	11/27/2012	1/3/2013		80	1,113	1,206	94	0
NATIONAL-OILWELL VARCO	11/27/2012	1/3/2013		25	1,758	1,745	-13	0
NATIXIS ASG GLOBAL	12/22/2010	1/3/2013		1,160	12,853	12,528	0	-325
NATL AUSTRALIA B ADR	3/4/2011	1/3/2013		60	1,545	1,590	0	45
NATURAL GROCERS BY	11/27/2012	1/3/2013		10	201	197	-4	0
NAVIGATORS GROUP INC	11/27/2012	1/3/2013		10	524	525	1	0
NEDBANK GROUP LTD ADR	12/22/2010	1/3/2013		140	2,671	3,087	0	416
NETEASE COM INC ADR	3/4/2011	1/3/2013		40	1,900	1,720	0	-180
NEW GOLD INC CDA	11/27/2012	1/3/2013		40	416	437	21	0
NISSAN MTR LTD SPN ADR	4/26/2012	1/3/2013	WS	300	6,222	5,826	0	0
NOBLE CORP NAMEN-AKT	11/27/2012	1/3/2013		20	688	729	41	0
NORDEA BANK AB-SPON ADR	11/27/2012	1/3/2013		40	367	390	23	0
NORFOLK SOUTHERN CORP	1/20/2011	1/3/2013		50	3,208	3,197	0	-11
NORTHROP GRUMMAN CORP	1/27/2011	1/3/2013		10	627	682	0	56
NOVARTIS ADR	4/12/2011	1/3/2013		17	940	1,082	0	142
NOVARTIS ADR	3/4/2011	1/3/2013		53	3,015	3,374	0	359
OAD GAZPROM SPON ADR	8/12/2011	1/3/2013		350	4,102	3,367	0	-735
OASIS PETE INC NEW	7/20/2012	1/3/2013		10	281	325	44	0
OCCIDENTAL PETE CORP CAL	8/15/2012	1/3/2013		10	887	784	-103	0
OCEANEERING INTL INC	11/27/2012	1/3/2013		75	4,009	4,218	209	0
OLD DOMINION FGHT LINES	11/27/2012	1/3/2013		10	338	354	16	0
OMNIVISION TECHS INC DEL	11/27/2012	1/3/2013		20	309	304	-5	0
ON SEMICONDUCTOR CRP COM	1/20/2011	1/3/2013		65	720	479	0	-241
ON SEMICONDUCTOR CRP COM	12/22/2010	1/3/2013		485	4,481	3,574	0	-907
ONYX PHARMACEUTICALS	11/27/2012	1/3/2013		10	763	775	12	0
ORASCOM CONSTRU-SPON AD	12/30/2010	1/3/2013		90	4,475	3,755	0	-720
ORICA LTD-UNSP ADR	11/27/2012	1/3/2013		20	490	538	48	0
ORIFLAME COSMETI SA-ADR	12/22/2010	1/3/2013		150	3,810	2,476	0	-1,334
PACIFIC DRILLING S A	11/27/2012	1/3/2013		10	96	97	0	0
PERNOD-RICARD SA-UNSPON	11/27/2012	1/3/2013		20	455	458	3	0
PETROLEO BRAS VTG SPD ADR	7/18/2012	1/3/2013		200	3,914	4,018	104	0
PETROFAC LTD-	11/27/2012	1/3/2013		50	637	670	33	0
PETROLEUM GEO SVS SP ADR	11/27/2012	1/3/2013		30	507	529	22	0
PFIZER INC	3/4/2011	1/3/2013		50	984	1,286	0	303
PHILLIPS 66 SHS	3/4/2011	1/3/2013		40	1,463	2,142	0	678
PHILPPNE L D TEL ADR	12/22/2010	1/3/2013		50	2,868	3,169	0	301
PITNEY BOWES INC	3/25/2011	1/3/2013	WS x	40	543	442	0	0
PLANTRONICS INC NEW COM	11/27/2012	1/3/2013		10	326	374	48	0
PNC FINCL SERVICES GROUP	12/22/2010	1/3/2013		40	2,436	2,378	0	-58
PORTFOLIO RECOVERY ASSOC	11/27/2012	1/3/2013		10	957	1,043	86	0
PORTLAND GEN ELEC CO	11/27/2012	1/3/2013		10	260	279	19	0
POSCO SPN ADR	1/18/2012	1/3/2013		60	5,290	5,184	-106	0
POTASH CORP SASKATCHEWAN	9/26/2012	1/3/2013		30	1,295	1,232	-63	0
PPC LTD SHS ADR	12/22/2010	1/3/2013		150	1,503	1,207	0	-296
PRDNTL GLB REAL ESTATE Z	11/28/2012	1/3/2013		2,130	45,028	46,604	1,576	0
PRESTIGE BRANDS HLDG INC	11/27/2012	1/3/2013		30	642	607	-35	0
PRGX GLOBAL INC	12/10/2012	1/3/2013		10	62	65	2	0
PROGRESSIVE CRP OHIO	3/13/2012	1/3/2013	WS	10	222	220	0	0
PROGRESSIVE CRP OHIO	3/6/2012	1/3/2013	WS	10	216	220	4	0
PROTECTIVE LIFE CORP COM	11/27/2012	1/3/2013		10	266	291	26	0
PRUDENTIAL FINANCIAL INC	11/9/2011	1/3/2013		40	2,084	2,220	0	136
PRUDENTIAL PLC ADR	1/20/2011	1/3/2013		110	2,346	3,229	0	882
PT UNITED TRACTORS TBK	1/20/2011	1/3/2013		100	4,800	4,318	0	-482
PTT EXPLRTION&PR-SP ADR	7/27/2011	1/3/2013		100	1,256	1,088	0	-168
QUALCOMM INC	1/20/2011	1/3/2013	WS	50	2,405	3,225	0	820
QUALCOMM INC	1/20/2011	1/3/2013	WS	40	1,924	2,580	0	656
QUESTCOR PHARMACEUTICALS	6/1/2012	1/3/2013	WS	10	457	268	-189	0
RALPH LAUREN CORP	9/15/2011	1/3/2013		3	438	472	0	34
RALPH LAUREN CORP	8/10/2011	1/3/2013		7	899	1,101	0	202
RANGE RESOURCES CORP DEL	5/23/2012	1/3/2013		6	373	379	6	0
RANGE RESOURCES CORP DEL	5/23/2012	1/3/2013		15	933	949	16	0
RANGE RESOURCES CORP DEL	5/9/2012	1/3/2013		28	1,885	1,771	-115	0
RED ELECTRICA CORP UNSPN	11/27/2012	1/3/2013		30	282	298	15	0
REED ELSEVIER N V	12/22/2010	1/3/2013		100	2,413	2,998	0	585
REGAL BELOIT CORP WIS	11/27/2012	1/3/2013		10	689	725	36	0
REGIONS FINL CORP	10/26/2012	1/3/2013		380	2,489	2,759	270	0
REXAM PLC- NEW NEW ADR	11/27/2012	1/3/2013		20	700	722	22	0

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RIO TINTO PLC SPNSRD ADR	10/11/2011	1/3/2013		100	5,104	5,852	0	748
ROCHE HLDG LTD SPN ADR	1/20/2011	1/3/2013		120	4,381	6,144	0	1,763
ROCKWELL AUTOMATION INC	10/26/2012	1/3/2013		30	2,072	2,586	514	0
ROSS STORES INC COM	7/5/2012	1/3/2013		20	1,346	1,176	-170	0
ROYAL DUTCH SHEL PLC	12/22/2010	1/3/2013		70	4,580	4,974	0	394
ROYAL DUTCH SHELL PLC	3/1/2011	1/3/2013		19	1,351	1,313	0	-38
ROYAL DUTCH SHELL PLC	3/1/2011	1/3/2013		30	2,133	2,074	0	-59
ROYAL DUTCH SHELL PLC	3/1/2011	1/3/2013		1	71	69	0	-2
RYANAIR HLDGS PLC	11/27/2012	1/3/2013		20	691	710	19	0
SAFRAN SA-UNSPON ADR	11/27/2012	1/3/2013		10	398	433	35	0
SALESFORCE COM INC	3/4/2011	1/3/2013		10	1,296	1,686	0	390
SALLY BEAUTY HLDGS INC	11/27/2012	1/3/2013		10	248	249	1	0
SAMPO PLC SHS	11/27/2012	1/3/2013		30	476	491	16	0
SANDVIK AB ADR	11/27/2012	1/3/2013		30	438	487	50	0
SANLAM LTD SP ADR	12/22/2010	1/3/2013		120	2,480	3,187	0	707
SANOFI ADR	6/21/2012	1/3/2013		137	5,024	6,544	1,520	0
SANOFI ADR	4/11/2012	1/3/2013		3	110	143	34	0
SAP AG SHS	4/11/2012	1/3/2013		10	660	806	146	0
SBERBANK SPONSORED ADR	8/5/2011	1/3/2013		920	12,586	12,162	0	-423
SCHLUMBERGER LTD	1/20/2011	1/3/2013		45	3,814	3,191	0	-622
SCHNEIDER ELEC SA ADR	3/4/2011	1/3/2013 WS		110	1,848	1,613	0	0
SCHRODER EM MKT EQ INV	1/20/2011	1/3/2013		2,570	35,749	35,852	0	103
SCHWAB CHARLES CORP NEW	11/27/2012	1/3/2013		100	1,306	1,508	202	0
SCHWEITZER MAUDIT INTL	11/27/2012	1/3/2013		10	369	398	29	0
SEALED AIR CORP (NEW)	11/2/2012	1/3/2013		10	164	181	17	0
SEGA SAMMY HOLDINGS INC	11/27/2012	1/3/2013		80	330	338	7	0
SEMEN GRESIK-UNSPON ADR	1/20/2011	1/3/2013		70	1,283	2,301	0	1,018
SEMTECH CORPORATION	11/27/2012	1/3/2013		20	500	587	87	0
SERVICE CORP INTL	11/27/2012	1/3/2013		10	140	144	5	0
SEVEN AND I HOLDINGS CO	11/27/2012	1/3/2013 WS		10	576	568	0	0
SGS SA ADR	11/27/2012	1/3/2013		20	437	452	15	0
SHFL ENTERTAINMENT INC	11/27/2012	1/3/2013		10	138	145	7	0
SHIN-ETSU CHEM-UNSPON	11/27/2012	1/3/2013		50	731	774	43	0
SHINHAN FINL GRP SP ADR	12/22/2010	1/3/2013		100	4,525	3,784	0	-741
SHOPRITE HOLDINGS LTD	1/20/2011	1/3/2013		49	1,271	2,360	0	1,090
SHOPRITE HOLDINGS LTD	12/22/2010	1/3/2013		11	325	530	0	205
SIEMENS AG ADR	6/6/2011	1/3/2013 WS		30	3,911	3,293	0	0
SILVER WHEATON CORP	11/27/2012	1/3/2013 WS		70	2,601	2,504	0	0
SIRIUS XM RADIO INC	10/19/2012	1/3/2013		110	322	338	17	0
SMITH-NPHW PLC SPADR NEW	1/20/2011	1/3/2013		70	3,881	3,880	0	-1
SOCIEDAD Q&M CHLE SPDADR	11/27/2012	1/3/2013		10	558	583	25	0
SOCIETE GENERAL SPN ADR	12/5/2012	1/3/2013		220	1,626	1,696	71	0
SOFTBANK CORP SHS	11/27/2012	1/3/2013		50	970	920	-49	0
SOUTHWEST AIRLNS CO	7/17/2012	1/3/2013		30	284	321	37	0
SPECTRANETICS CORP COM	11/27/2012	1/3/2013		10	144	153	9	0
STANCORP FINANCL GRP INC	11/27/2012	1/3/2013		10	338	376	38	0
STANLEY BLACK & DECKER	12/18/2012	1/3/2013 WS		10	748	746	0	0
STAPLES INC	7/19/2012	1/3/2013 WS		14	180	165	0	0
STAPLES INC	7/19/2012	1/3/2013 WS		26	334	306	0	0
STARBUCKS CORP	6/23/2011	1/3/2013		45	1,674	2,496	0	822
STATOIL ASA SHS	4/17/2012	1/3/2013		135	3,609	3,431	-178	0
STATOIL ASA SHS	3/7/2011	1/3/2013 WS		15	401	381	0	-20
STD BANK GROUP LTD SHS	12/22/2010	1/3/2013		180	2,819	2,511	0	-308
STURM RUGER&CO INC	11/27/2012	1/3/2013		10	569	468	-102	0
SUBSEA 7 SA SPNSRD ADR	11/27/2012	1/3/2013		10	230	242	12	0
SUMITOMO MITSUI TR HLDGS	3/18/2011	1/3/2013		793	2,815	2,799	0	-16
SUMITOMO MITSUI TR HLDGS	3/18/2011	1/3/2013 WS		1,057	3,752	3,731	0	0
SUMITOMO MITSUI-UNSPONS	11/27/2012	1/3/2013		110	699	822	123	0
SUN HYDRAULICS INC COM	11/27/2012	1/3/2013		10	254	281	27	0
SUNCOR ENERGY INC NEW	11/27/2012	1/3/2013		10	334	337	4	0
SUPERIOR ENERGY SVCS INC	2/27/2012	1/3/2013		280	8,369	6,165	-2,204	0
SVENSKA C AKTI SPONS ADR	11/27/2012	1/3/2013		10	199	218	19	0
SWATCH GROUP AG UNSPOND	7/20/2012	1/3/2013		20	373	529	156	0
SWEDBANK A B ADR	11/27/2012	1/3/2013		10	183	199	16	0
SYMANTEC CORP COM	1/30/2012	1/3/2013		210	3,568	4,081	513	0
SYNAPTICS INC	11/27/2012	1/3/2013		10	262	305	43	0
TAIWAN S MANUFCTRING ADR	1/20/2011	1/3/2013		380	5,043	6,918	0	1,876
TANGER FACTORY OUTLT CEN	12/12/2011	1/3/2013		10	280	345	0	65
TARGET CORP COM	8/26/2011	1/3/2013		28	1,418	1,691	0	274
TARGET CORP COM	8/26/2011	1/3/2013		2	101	121	0	20
TECHNIP SP ADR	11/27/2012	1/3/2013		10	289	286	-3	0
TEJON RANCH CO PV 0 50	11/27/2012	1/3/2013		10	267	299	32	0
TELECOM ITALIA S P A NEW	6/21/2012	1/3/2013		190	1,792	1,778	-14	0
TELEKOMUNIKASI INDONESIA	12/22/2010	1/3/2013		110	3,843	4,114	0	271
TENARIS S A ADR	11/27/2012	1/3/2013		30	1,174	1,265	91	0
TENET HEALTHCARE CORP	11/27/2012	1/3/2013		10	283	343	60	0

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TERADYNE INC	11/27/2012	1/3/2013		10	157	172	15	0
TESCO PLC SPNRD ADR	3/4/2011	1/3/2013 WS		110	2,189	1,864	0	0
TEVA PHARMACTCL INDS ADR	3/4/2011	1/3/2013 WS		71	3,563	2,676	0	0
TEVA PHARMACTCL INDS ADR	3/18/2011	1/3/2013 WS x		50	2,475	1,884	0	0
TEVA PHARMACTCL INDS ADR	4/26/2011	1/3/2013 WS		9	414	339	0	0
THE MOSAIC COMPANY	6/28/2011	1/3/2013		60	3,901	3,417	0	-485
TIGER BRANDS LTD ADR	1/20/2011	1/3/2013		50	1,367	1,948	0	581
TORO CO	11/27/2012	1/3/2013		30	1,323	1,324	1	0
TOTAL S A SP ADR	3/4/2011	1/3/2013		14	860	732	0	-129
TOTAL S A SP ADR	3/4/2011	1/3/2013		46	2,827	2,404	0	-423
TOYOTA MOTOR CORP ADR	11/27/2012	1/3/2013		10	855	957	101	0
TRANSOCEAN LTD	11/27/2012	1/3/2013		20	918	986	68	0
TRIUMPH GROUP INC NEW	11/27/2012	1/3/2013		10	644	690	46	0
TTM TECHNOLOGIES INC COM	11/27/2012	1/3/2013		20	175	189	14	0
TULLOW OIL PLC SHS	11/27/2012	1/3/2013		80	881	829	-52	0
TURKCELL ILETISIM ADR	12/22/2010	1/3/2013		270	4,520	4,437	0	-82
UBS AG REG	11/27/2012	1/3/2013		10	156	160	4	0
UNILEVER NV NY REG SHS	11/27/2012	1/3/2013		10	375	383	8	0
UNILEVER PLC NEW ADR	12/22/2010	1/3/2013		20	612	774	0	163
UNION PACIFIC CORP	12/22/2010	1/3/2013		20	1,839	2,586	0	747
UNITED NAT FOODS INC	5/6/2011	1/3/2013		10	417	538	0	121
UNITED TECHS CORP COM	10/25/2012	1/3/2013		5	391	421	30	0
UNITED TECHS CORP COM	5/29/2012	1/3/2013		5	373	421	48	0
UNT D OVERSEAS BK SPN ADR	11/27/2012	1/3/2013		10	300	328	28	0
UPM KYMMENE OY SPSP ADR	8/14/2012	1/3/2013		360	3,949	4,370	421	0
USG CORP COM NEW	11/27/2012	1/3/2013		10	274	294	20	0
VALE SA	11/27/2012	1/3/2013		160	2,827	3,395	568	0
VALE SA PFD SHS ADR	12/22/2010	1/3/2013		190	5,719	3,906	0	-1,813
VALEO SPONSORED ADR	11/27/2012	1/3/2013		10	224	250	26	0
VALERO ENERGY CORP NEW	10/31/2012	1/3/2013		338	9,734	11,891	2,156	0
VALERO ENERGY CORP NEW	10/31/2012	1/3/2013		12	346	422	77	0
VALUECLICK INC DEL COM	11/27/2012	1/3/2013		10	186	197	10	0
VCA ANTECH INC COM	12/5/2012	1/3/2013		10	206	219	12	0
VERISK ANALYTICS INC	11/27/2012	1/3/2013		25	1,202	1,336	134	0
VISA INC CL A SHRS	1/20/2011	1/3/2013		35	2,470	5,462	0	2,992
VITAMIN SHOPPE INC	11/27/2012	1/3/2013		10	589	567	-22	0
VMWARE, INC	11/6/2012	1/3/2013		10	921	928	7	0
VODACOM GROUP LTD SHS	2/10/2012	1/3/2013		160	2,075	2,402	326	0
VODAFONE GROPC PLC SP ADR	1/12/2011	1/3/2013 WS		37	983	938	0	-45
VODAFONE GROPC PLC SP ADR	1/12/2011	1/3/2013 WS		3	80	76	0	-4
VOLKSWAGEN A G SPONS ADR	1/26/2011	1/3/2013		90	2,789	3,949	0	1,160
WASTE CONNECTIONS INC	11/27/2012	1/3/2013		10	323	343	20	0
WEATHERFORD INTL LTD	11/27/2012	1/3/2013		220	2,155	2,491	336	0
WEBSTER FINL CP PV \$0 01	11/27/2012	1/3/2013		10	212	213	1	0
WEICHAI PWR CO LTD	12/23/2010	1/3/2013		100	2,303	1,857	0	-446
WELLS FARGO & CO NEW DEL	12/22/2010	1/3/2013		50	1,560	1,739	0	180
WESTAMERICA BANCORP	5/18/2012	1/3/2013		10	448	445	-3	0
WESTERN UN CO	2/9/2012	1/3/2013		10	178	135	-42	0
WHIRLPOOL CORP	8/5/2011	1/3/2013		20	1,328	2,136	0	807
WHITEWAVE FOODS CO	11/27/2012	1/3/2013		10	154	162	8	0
WILLIAMS COMPANIES DEL	4/26/2012	1/3/2013		10	335	336	1	0
WILLIS GROUP HOLDINGS	12/22/2010	1/3/2013		17	585	589	0	4
WILLIS GROUP HOLDINGS	12/22/2010	1/3/2013		3	103	104	0	1
WISCONSIN ENERGY CORP	3/27/2012	1/3/2013		10	352	376	24	0
WMS INDS INC COM	11/27/2012	1/3/2013		10	167	185	18	0
WOLSELEY PLC SHS ADR	11/27/2012	1/3/2013		110	519	525	6	0
WOLTERS KLUWR NV SPN ADR	11/27/2012	1/3/2013		80	1,494	1,635	141	0
WOOLWORTHS HLDGS LTD	7/10/2012	1/3/2013		30	1,945	2,475	530	0
WYNN MACAU LTD SHS	11/27/2012	1/3/2013		60	1,763	1,689	-74	0
XCEL ENERGY INC	9/13/2011	1/3/2013		10	242	273	0	31
XEROX CORP	3/1/2011	1/3/2013		320	3,402	2,307	0	-1,094
YAHOO JAPAN CORP SHS	11/27/2012	1/3/2013		20	224	217	-7	0
YPF SOCIEDAD ANON SPN	3/31/2011	1/3/2013		50	2,186	744	0	-1,442
ZILLOW INC SHS CL A	11/27/2012	1/3/2013		10	257	282	26	0
ZURICH INSURANCE GROUP	12/22/2010	1/3/2013		240	5,722	6,441	0	720
LUKOIL SPONSORED ADR	8/15/2011	1/4/2013		27	1,552	1,800	0	248
LUKOIL SPONSORED ADR	8/12/2011	1/4/2013		83	4,645	5,533	0	888
TAIWAN S MANUFCTRING ADR	2/28/2011	1/4/2013		112	1,369	2,022	0	653
TAIWAN S MANUFCTRING ADR	2/28/2011	1/4/2013		119	1,454	2,148	0	694
TAIWAN S MANUFCTRING ADR	1/20/2011	1/4/2013		215	2,853	3,881	0	1,028
ALKERMES PLC NEW	11/27/2012	1/7/2013		17	337	357	19	0
CHART INDS INC	1/20/2011	1/7/2013		14	516	928	0	413
FIFTH & PACIFIC CO INC	11/27/2012	1/7/2013		28	339	372	33	0
FIFTH & PACIFIC CO INC	11/27/2012	1/7/2013		14	170	191	21	0
THERAVANCE INC	8/3/2012	1/7/2013		21	585	457	-128	0
THERAVANCE INC	7/20/2012	1/7/2013		13	390	283	-107	0

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AMN VANGUARD CORP	11/27/2012	1/8/2013		17	587	529	-58	0
GNC HOLDINGS INC SHS	11/27/2012	1/8/2013		4	139	132	-6	0
MARRIOTT VACATIONS	11/27/2012	1/8/2013		10	400	433	33	0
WALTER INV MGMT CORP	11/27/2012	1/8/2013		9	357	441	84	0
WELLCARE HLTH PLANS INC	11/27/2012	1/8/2013		10	486	464	-22	0
ACCURAY INC CALIF	11/28/2012	1/9/2013		30	184	144	-40	0
ACCURAY INC CALIF	11/27/2012	1/9/2013		70	451	335	-115	0
FUSION-IO INC COM	12/19/2011	1/9/2013		30	657	578	0	-79
LOUISIANA PAC CORP	11/27/2012	1/9/2013		19	328	400	71	0
WELLCARE HLTH PLANS INC	11/27/2012	1/9/2013		14	680	650	-30	0
WHITEWAVE FOODS CO	11/28/2012	1/9/2013		10	151	161	9	0
WHITEWAVE FOODS CO	11/27/2012	1/9/2013		30	461	482	20	0
WINTRUST FINL CP ILL COM	11/27/2012	1/9/2013		21	771	792	21	0
BLOOMIN BRANDS INC	11/28/2012	1/10/2013		10	153	164	11	0
BLOOMIN BRANDS INC	11/27/2012	1/10/2013		40	630	655	25	0
BROWN SHOE CO INC COM	11/28/2012	1/10/2013		10	186	163	-23	0
BROWN SHOE CO INC COM	11/27/2012	1/10/2013		31	566	504	-62	0
COACH INC	3/13/2012	1/10/2013		3	235	172	-63	0
COACH INC	3/5/2012	1/10/2013		13	994	744	-249	0
COACH INC	3/5/2012	1/10/2013		8	612	458	-153	0
COACH INC	3/5/2012	1/10/2013		5	382	286	-96	0
COACH INC	1/17/2012	1/10/2013		19	1,187	1,088	-99	0
DYNAVAX TECHNOLOGIES	11/28/2012	1/10/2013		70	196	230	34	0
DYNAVAX TECHNOLOGIES	11/27/2012	1/10/2013		240	682	790	108	0
LOEWS CORP	6/8/2012	1/10/2013		26	1,031	1,105	74	0
SYMANTEC CORP COM	1/30/2012	1/10/2013		86	1,461	1,714	253	0
ELLIE MAE INCCOM	11/27/2012	1/11/2013		10	244	257	12	0
FORD MOTOR CO	10/31/2012	1/11/2013		174	1,888	2,435	547	0
FORD MOTOR CO	10/26/2012	1/11/2013		475	4,902	6,646	1,744	0
IMPALA PLATINUM SPON ADR	11/27/2012	1/11/2013		111	1,887	2,194	307	0
LAS VEGAS SANDS CORP	3/1/2011	1/11/2013 WS		2	82	105	0	23
LAS VEGAS SANDS CORP	2/17/2011	1/11/2013 WS		33	1,347	1,728	0	381
MAKITA CORP SPOND ADR	11/27/2012	1/11/2013		35	1,458	1,602	144	0
MICHAEL KORS HLDGS LTD	6/12/2012	1/11/2013		23	926	1,210	285	0
MICHAEL KORS HLDGS LTD	4/11/2012	1/11/2013		16	686	842	156	0
MICHAEL KORS HLDGS LTD	3/23/2012	1/11/2013		18	848	947	99	0
NATURAL GROCERS BY	11/27/2012	1/11/2013		40	805	715	-89	0
NATURAL GROCERS BY	11/28/2012	1/11/2013		10	190	179	-11	0
RANGE RESOURCES CORP DEL	6/4/2012	1/11/2013		7	373	447	75	0
RANGE RESOURCES CORP DEL	6/4/2012	1/11/2013		8	426	511	85	0
RANGE RESOURCES CORP DEL	5/23/2012	1/11/2013		25	1,555	1,597	43	0
VISA INC CL A SHRS	1/20/2011	1/11/2013		13	917	2,082	0	1,165
WYNN MACAU LTD SHS	11/12/2012	1/11/2013		744	21,762	22,059	297	0
CIRRUS LOGIC INC DEL	11/27/2012	1/14/2013 WS		10	346	292	-54	0
CIRRUS LOGIC INC DEL	11/27/2012	1/14/2013		27	831	787	-44	0
FIFTH & PACIFIC CO INC	11/28/2012	1/14/2013		14	169	200	30	0
FIFTH & PACIFIC CO INC	11/27/2012	1/14/2013		8	97	114	17	0
FINL ENGINES INC	11/27/2012	1/14/2013		3	78	86	8	0
GEORGIA GULF CORP SHS	11/27/2012	1/14/2013		8	368	397	29	0
IXIA COM	4/23/2012	1/14/2013		16	191	303	112	0
PARK ELECTROCHMCL	11/27/2012	1/14/2013		5	121	133	12	0
BLOUNT INTL INC	11/27/2012	1/15/2013		23	326	368	41	0
ENERGY XXI LTD NEW COM	11/27/2012	1/15/2013		20	619	650	31	0
HFF INC	11/27/2012	1/15/2013		19	268	302	34	0
WESTPORT INNOVATIONS	11/28/2012	1/15/2013		10	261	271	10	0
WESTPORT INNOVATIONS	11/27/2012	1/15/2013		20	533	542	8	0
BHP BILLITON LTD ADR	12/22/2010	1/16/2013		51	4,674	3,893	0	-781
HEALTHSTREAM INC TENN	11/27/2012	1/16/2013		24	555	569	14	0
HEALTHSTREAM INC TENN	11/27/2012	1/16/2013 WS		20	479	474	-5	0
VAIL RESORTS INC	11/27/2012	1/16/2013		20	1,114	1,051	-63	0
CAVIUM INC	7/20/2012	1/17/2013		20	493	647	154	0
HORNBECK OFFSHORE SVCS	11/27/2012	1/17/2013		28	988	1,002	14	0
GLOBUS MED INC	11/28/2012	1/18/2013		20	274	254	-20	0
GLOBUS MED INC	11/27/2012	1/18/2013		50	697	635	-62	0
HEALTHWAYS, INC	11/28/2012	1/18/2013		30	304	303	-2	0
HEALTHWAYS, INC	11/27/2012	1/18/2013		42	431	424	-7	0
LIFE TECHNOLOGIES CORP	10/26/2012	1/18/2013		102	4,977	6,250	1,273	0
MERCK KGAA	11/27/2012	1/18/2013		128	5,632	5,667	35	0
ONYX PHARMACEUTICALS	11/27/2012	1/18/2013		15	1,145	1,150	5	0
OPNET TECHNOLOGIES INC	11/28/2012	1/18/2013		10	414	366	-49	0
OPNET TECHNOLOGIES INC	11/27/2012	1/18/2013		40	1,661	1,462	-199	0
SANOFI ADR	6/21/2012	1/18/2013		741	27,172	35,448	8,276	0
SPS COMM INC	11/28/2012	1/18/2013		10	356	374	18	0
SPS COMM INC	11/27/2012	1/18/2013		3	106	112	6	0
ACCENTURE PLC SHS	11/27/2012	1/22/2013		19	1,284	1,331	47	0
AGRIUM INC	11/27/2012	1/22/2013		7	708	765	56	0

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AKZO NOBEL N V SPNSD ADR	11/27/2012	1/22/2013		122	2,275	2,784	509	0
ANHEUSER-BUSCH INBEV ADR	5/4/2012	1/22/2013		108	7,975	9,756	1,782	0
ARKEMA ADR	11/27/2012	1/22/2013		20	2,005	2,143	138	0
ARTISAN INTL FD INV CL	11/28/2012	1/22/2013		6,650	160,066	168,378	8,313	0
ASSA ABLOY AB ADR	11/27/2012	1/22/2013		197	3,534	3,614	80	0
ASSOC BRIT FOODS ADR NEW	12/10/2012	1/22/2013		61	1,480	1,585	104	0
ATLANTIA SPA SHS	11/27/2012	1/22/2013		290	2,407	2,587	180	0
AUTOLIV INC	11/27/2012	1/22/2013		22	1,313	1,437	124	0
BAIDU INC SPON ADR	10/26/2012	1/22/2013	WS	7	800	754	0	0
BANK MANDIRI TBK-UNSPON	1/20/2011	1/22/2013		242	1,597	2,125	0	528
BANK OF NOVA SCOTIA	11/27/2012	1/22/2013		25	1,373	1,465	92	0
BASF SE SPONSORED ADR	12/22/2010	1/22/2013		19	1,533	1,851	0	317
BAYER AG SP ADR	9/15/2011	1/22/2013		23	1,253	2,236	0	983
BAYERISCHE MOTORENWERKE	11/27/2012	1/22/2013		81	2,330	2,659	329	0
BG GROUP PLC SPON ADR	7/20/2012	1/22/2013		31	626	566	-60	0
BG GROUP PLC SPON ADR	3/4/2011	1/22/2013		185	4,431	3,376	0	-1,054
BHP BILLITON PLC SP ADR	11/30/2011	1/22/2013		36	2,205	2,388	0	183
BNP PARIBAS SPONSORD ADR	12/22/2010	1/22/2013		10	326	309	0	-16
BNP PARIBAS SPONSORD ADR	12/22/2010	1/22/2013	WS	60	1,953	1,855	0	0
BNP PARIBAS SPONSORD ADR	1/20/2011	1/22/2013		19	693	588	0	-105
BRAMBLES LTD SHS	11/27/2012	1/22/2013		101	1,534	1,694	160	0
BRITISH AMN TOBACO SPADR	11/27/2012	1/22/2013		39	4,093	3,991	-102	0
BRITISH SKY BDCT SPD ADR	11/27/2012	1/22/2013		28	1,394	1,407	13	0
BUNZL PLC ADR	11/27/2012	1/22/2013		35	3,033	3,036	3	0
CAIRN ENERGY PLC SHS ADR	11/27/2012	1/22/2013		121	1,029	1,096	68	0
CANON INC ADR REP5SH	11/27/2012	1/22/2013		75	2,640	2,733	93	0
CENOVUS ENERGY INC	11/27/2012	1/22/2013		41	1,335	1,362	27	0
CHECK POINT SOFTWARE TECH	11/27/2012	1/22/2013		63	2,898	3,006	108	0
CHINA CONSTRUCT UNSPN AD	12/22/2010	1/22/2013		154	2,750	2,646	0	-105
CIELO S A SPONSORED ADR	1/20/2011	1/22/2013		130	2,136	3,571	0	1,435
CNOOC LTD ADR	7/10/2012	1/22/2013		7	1,386	1,460	73	0
COGNIZANT TECH SOLUTNS A	3/4/2011	1/22/2013		19	1,445	1,478	0	33
CORUS ENTMT INC CL B N V	11/27/2012	1/22/2013		79	1,840	1,951	112	0
CREDIT SUISSE GP SP ADR	12/22/2010	1/22/2013		42	1,685	1,217	0	-468
CSL LTD SHS	4/11/2012	1/22/2013		81	1,478	2,300	821	0
DAITO TR CONSTRUCTION CO	11/27/2012	1/22/2013		98	2,403	2,402	-1	0
DEUTSCHE BOERSE AG SHS	11/27/2012	1/22/2013		293	1,629	1,824	195	0
DEUTSCHE TELE AG SPN ADR	11/27/2012	1/22/2013		162	1,745	1,910	165	0
ECOPETROL SA SPON ADR	11/27/2012	1/22/2013		28	1,631	1,723	92	0
ENI S P A SPONSORED ADR	12/4/2012	1/22/2013		36	1,722	1,861	140	0
ESTACIO PARTICIPACO-SPON	12/13/2012	1/22/2013		75	1,426	1,507	81	0
EXPERIAN PLC SP ADR	11/27/2012	1/22/2013		162	2,688	2,796	108	0
FANUC LTD-UNSP	3/5/2012	1/22/2013		35	1,051	923	-128	0
FANUC LTD-UNSP	3/5/2012	1/22/2013	WS	56	1,682	1,478	0	0
FRESENIUS MEDICAL CARE	4/11/2012	1/22/2013		52	1,770	1,762	-8	0
GETINGE AB SHS	11/27/2012	1/22/2013		55	1,787	1,690	-97	0
GIVAUDAN SA UNSP ADR	11/27/2012	1/22/2013		159	3,196	3,443	247	0
HSBC HLDG PLC SP ADR	3/5/2012	1/22/2013		46	2,045	2,538	493	0
ICAP PLC SPONSORED ADR	11/27/2012	1/22/2013		180	1,690	1,890	200	0
INDUSTRL AND COMMRLCL BNK	12/7/2012	1/22/2013		159	2,189	2,434	245	0
INFORMA PLC UNSP ADR	11/27/2012	1/22/2013		307	4,126	4,659	533	0
ING GP NV SPSP ADR	2/22/2012	1/22/2013		214	1,866	2,146	280	0
JUPITER TELECOM CO ADR	11/27/2012	1/22/2013		395	5,151	4,784	-367	0
KASIKORNBANK PCL-UNSPON	11/27/2012	1/22/2013		109	2,562	2,815	254	0
KOC HLDG AS-UNSPON	12/22/2010	1/22/2013		77	1,726	2,249	0	523
KOMATSU NEW NEW SPNSDADR	9/16/2011	1/22/2013		74	1,726	1,969	0	243
L OREAL CO ADR	4/11/2012	1/22/2013		96	2,290	2,770	481	0
LI & FUNG LTD-UNSP ADR	1/11/2011	1/22/2013	WS	494	2,940	1,503	0	-1,437
LINDE AG SHS SP ADR	11/27/2012	1/22/2013		195	3,407	3,422	15	0
LIXIL GROUP CORPORATION	11/27/2012	1/22/2013		48	1,983	2,234	251	0
LVMH MOET HENNESSY ADR	4/11/2012	1/22/2013		41	1,365	1,519	154	0
LVMH MOET HENNESSY ADR	3/4/2011	1/22/2013		3	93	111	0	18
MEDICLINIC INTL	11/27/2012	1/22/2013		56	1,576	1,800	225	0
MERCK KGAA	11/27/2012	1/22/2013		46	2,024	2,022	-2	0
MOBILE TELESYS OJSC ADR	12/22/2010	1/22/2013		113	2,311	2,183	0	-127
MR PRICE GROUP LTD ADR	11/27/2012	1/22/2013		77	1,190	1,044	-146	0
NESTLE S A REP RG SH ADR	2/16/2012	1/22/2013		39	2,355	2,647	292	0
NEW GOLD INC CDA	11/27/2012	1/22/2013		224	2,327	2,422	95	0
NORDEA BANK AB-SPON ADR	11/27/2012	1/22/2013		237	2,176	2,394	218	0
NOVO NORDISK A S ADR	11/27/2012	1/22/2013		15	2,396	2,656	260	0
ORICA LTD-UNSP ADR	11/27/2012	1/22/2013		57	1,397	1,524	128	0
PARTNER COMMUNICTNS ADR	11/27/2012	1/22/2013		280	1,694	1,605	-89	0
PERNOD-RICARD SA-UNSPON	11/27/2012	1/22/2013		103	2,345	2,490	145	0
PETROLEUM GEO SVS SP ADR	11/27/2012	1/22/2013		74	1,251	1,266	16	0
POTASH CORP SASKATCHEWAN	9/26/2012	1/22/2013		40	1,727	1,665	-62	0
PRUDENTIAL PLC ADR	1/20/2011	1/22/2013		113	2,410	3,333	0	923

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PT SEMEN INDONESIA	3/4/2011	1/22/2013		39	780	1,237	0	457
PT SEMEN INDONESIA	3/4/2011	1/22/2013		1	19	32	0	12
PT SEMEN INDONESIA	1/20/2011	1/22/2013		77	1,411	2,443	0	1,032
RED ELECTRICA CORP UNSPN	11/27/2012	1/22/2013		156	1,468	1,737	269	0
REED ELSEVIER P L C	11/27/2012	1/22/2013		37	1,483	1,611	128	0
REXAM PLC- NEW NEW ADR	11/27/2012	1/22/2013		71	2,486	2,601	116	0
RIO TINTO PLC SPNSRD ADR	10/11/2011	1/22/2013		30	1,531	1,695	0	164
ROCHE HLDG LTD SPN ADR	1/20/2011	1/22/2013		88	3,213	4,677	0	1,465
ROYAL DUTCH SHELL PLC	3/4/2011	1/22/2013		15	1,085	1,056	0	-29
ROYAL DUTCH SHELL PLC	3/1/2011	1/22/2013		26	1,849	1,830	0	-18
RYANAIR HLDGS PLC	11/27/2012	1/22/2013		43	1,486	1,638	152	0
SAFRAN SA-UNSPON ADR	11/27/2012	1/22/2013		29	1,154	1,308	155	0
SAMPO PLC SHS	11/27/2012	1/22/2013		125	1,981	2,133	152	0
SANDS CHINA LTD UNSP ADR	11/27/2012	1/22/2013		37	1,594	1,822	228	0
SANDVIK AB ADR	11/27/2012	1/22/2013		112	1,634	1,831	196	0
SAP AG SHS	4/11/2012	1/22/2013		91	6,009	7,017	1,008	0
SBERBANK SPONSORED ADR	8/8/2011	1/22/2013		143	1,786	1,954	0	168
SBERBANK SPONSORED ADR	8/5/2011	1/22/2013		277	3,789	3,785	0	-4
SGS SA ADR	11/27/2012	1/22/2013		186	4,062	4,258	196	0
SHINHAN FINL GRP SP ADR	12/22/2010	1/22/2013		49	2,217	1,865	0	-352
SILVER WHEATON CORP	11/27/2012	1/22/2013		74	2,749	2,748	-2	0
SOCIEDAD Q&M CHLE SPDADR	11/27/2012	1/22/2013		29	1,618	1,698	80	0
SODEXO ADR	11/27/2012	1/22/2013		42	3,360	3,650	291	0
SOFTBANK CORP SHS	11/27/2012	1/22/2013		211	4,091	3,490	-601	0
STD BANK GROUP LTD SHS	12/22/2010	1/22/2013		84	1,315	1,128	0	-188
SUBSEA 7 SA SPONSRD ADR	11/27/2012	1/22/2013		87	2,004	2,118	114	0
SUMITOMO MITSUI-UNSPONS	11/27/2012	1/22/2013		424	2,695	3,098	403	0
SVENSKA C AKTI SPONS ADR	11/27/2012	1/22/2013		59	1,174	1,296	122	0
SWATCH GROUP AG UNSPOND	7/20/2012	1/22/2013		38	708	1,029	321	0
SWEDBANK A B ADR	11/27/2012	1/22/2013		79	1,445	1,645	200	0
TAIWAN S MANUFCTRING ADR	3/4/2011	1/22/2013		142	1,759	2,571	0	812
TAIWAN S MANUFCTRING ADR	3/4/2011	1/22/2013		125	1,549	2,263	0	715
TAIWAN S MANUFCTRING ADR	2/28/2011	1/22/2013		18	220	326	0	106
TECHNIP SP ADR	11/27/2012	1/22/2013		73	2,110	1,977	-133	0
TELEKOMUNIKASI INDONESIA	12/22/2010	1/22/2013		71	2,481	2,784	0	303
TOYOTA MOTOR CORP ADR	11/27/2012	1/22/2013		18	1,540	1,704	164	0
TULLOW OIL PLC SHS	11/27/2012	1/22/2013		294	3,237	2,692	-545	0
TURKCELL ILETISIM ADR	1/20/2011	1/22/2013		85	1,383	1,467	0	85
TURKCELL ILETISIM ADR	12/22/2010	1/22/2013		30	502	518	0	16
TURKYE HALK BANKI A S	1/18/2013	1/22/2013		79	1,776	1,785	9	0
UNILEVER NV NY REG SHS	11/27/2012	1/22/2013		87	3,260	3,389	129	0
UNILEVER PLC NEW ADR	1/20/2011	1/22/2013		88	2,649	3,423	0	774
UNILEVER PLC NEW ADR	12/22/2010	1/22/2013		49	1,498	1,906	0	408
UNTD OVERSEAS BK SPN ADR	11/27/2012	1/22/2013		61	1,828	1,878	49	0
VALE SA	11/27/2012	1/22/2013		97	1,714	1,935	221	0
VALEO SPONSORED ADR	11/27/2012	1/22/2013		68	1,523	1,827	305	0
VODAFONE GROPC PLC SP ADR	1/18/2011	1/22/2013		18	519	467	0	-53
VODAFONE GROPC PLC SP ADR	1/18/2011	1/22/2013		44	1,270	1,141	0	-129
VODAFONE GROPC PLC SP ADR	1/12/2011	1/22/2013 WS		11	292	285	0	-7
WILLIS GROUP HOLDINGS	12/22/2010	1/22/2013		83	2,855	2,880	0	24
WOLSELEY PLC SHS ADR	11/27/2012	1/22/2013		453	2,136	2,106	-30	0
YAHOO JAPAN CORP SHS	11/27/2012	1/22/2013		154	1,725	1,793	68	0
AMERISOURCEBERGEN CORP	6/6/2011	1/23/2013		24	974	1,112	0	138
AMERISOURCEBERGEN CORP	3/4/2011	1/23/2013		26	972	1,204	0	232
ANNIES INC	1/18/2013	1/23/2013		4	146	145	0	0
ANNIES INC	12/5/2012	1/23/2013		19	685	691	5	0
ASTRAZENECA PLC SPND ADR	7/11/2012	1/23/2013		250	11,373	12,274	902	0
BAYER AG SP ADR	9/16/2011	1/23/2013		281	15,418	27,245	0	11,827
BAYER AG SP ADR	9/15/2011	1/23/2013		79	4,304	7,660	0	3,356
CHART INDS INC	1/20/2011	1/23/2013		10	368	626	0	258
COACH INC	7/20/2012	1/23/2013		18	1,064	920	-144	0
COACH INC	7/20/2012	1/23/2013		18	1,064	920	-144	0
COACH INC	4/24/2012	1/23/2013		20	1,448	1,022	-426	0
COACH INC	4/24/2012	1/23/2013		20	1,448	1,022	-426	0
COACH INC	3/13/2012	1/23/2013		20	1,567	1,022	-544	0
COACH INC	3/13/2012	1/23/2013		16	1,253	818	-435	0
CVS CAREMARK CORP	3/4/2011	1/23/2013		42	1,382	2,207	0	824
CVS CAREMARK CORP	3/4/2011	1/23/2013		18	592	946	0	353
KBR INC	3/4/2011	1/23/2013		220	7,764	6,693	0	-1,071
LIFE TECHNOLOGIES CORP	11/27/2012	1/23/2013		157	7,739	9,689	1,950	0
LIFE TECHNOLOGIES CORP	10/26/2012	1/23/2013		553	26,981	34,127	7,146	0
MAP PHARMACEUTICALS INC	8/15/2011	1/23/2013		24	302	593	0	291
MAP PHARMACEUTICALS INC	3/4/2011	1/23/2013		9	145	222	0	77
MEDNAX INC	4/12/2011	1/23/2013		50	3,371	4,328	0	957
MEDNAX INC	3/4/2011	1/23/2013		10	668	866	0	198
PETROFAC LTD-	11/27/2012	1/23/2013		237	3,019	3,171	151	0

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PETROFAC LTD-	11/27/2012	1/23/2013		147	1,873	1,975	103	0
WSTN DIGITAL CORP DEL	7/20/2012	1/23/2013		24	770	1,137	368	0
WSTN DIGITAL CORP DEL	4/30/2012	1/23/2013		36	1,398	1,706	308	0
AMERICAN RAILCAR INDS	11/27/2012	1/24/2013		20	588	743	154	0
CVS CAREMARK CORP	3/4/2011	1/24/2013		17	559	890	0	330
HOME LN SERVICING SOLTNS	1/8/2013	1/24/2013		9	177	186	9	0
HOME LN SERVICING SOLTNS	12/19/2012	1/24/2013		9	169	186	17	0
HOME LN SERVICING SOLTNS	12/19/2012	1/24/2013		8	152	165	13	0
HONEYWELL INTL INC DEL	12/22/2010	1/24/2013		122	6,542	8,340	0	1,798
INTUIT INC COM	3/4/2011	1/24/2013		52	2,741	3,322	0	581
SOUTHWEST AIRLNS CO	7/24/2012	1/24/2013		150	1,295	1,720	425	0
SOUTHWEST AIRLNS CO	7/17/2012	1/24/2013		130	1,229	1,490	262	0
STARBUCKS CORP	7/15/2011	1/24/2013		49	1,934	2,673	0	739
STARBUCKS CORP	6/23/2011	1/24/2013		2	74	109	0	35
SYMANTEC CORP COM	1/30/2012	1/24/2013		102	1,733	2,198	465	0
ZIMMER HOLDINGS INC COM	3/16/2012	1/24/2013		5	319	374	55	0
ZIMMER HOLDINGS INC COM	3/6/2012	1/24/2013		23	1,381	1,720	340	0
BLOCK H&R INC	11/2/2011	1/25/2013		29	434	630	0	196
BLOCK H&R INC	8/15/2011	1/25/2013		20	279	435	0	155
BLOCK H&R INC	3/1/2011	1/25/2013		68	1,002	1,478	0	476
ELLIE MAE INCCOM	11/27/2012	1/25/2013		20	489	440	-48	0
KLA TENCOR CORP PV\$0 001	7/10/2012	1/25/2013		54	2,488	3,073	585	0
KLA TENCOR CORP PV\$0 001	3/20/2012	1/25/2013 WS		14	645	797	152	0
KLA TENCOR CORP PV\$0 001	3/20/2012	1/25/2013		6	313	341	29	0
NATIONSTAR MTG HLDGS INC	11/27/2012	1/25/2013		9	252	310	58	0
PORTFOLIO RECOVERY ASSOC	11/27/2012	1/25/2013		2	191	209	18	0
KOC HLDG AS-UNSPON	12/22/2010	1/28/2013		77	1,726	2,102	0	375
DSW INC	11/28/2012	1/29/2013		8	543	535	-8	0
DSW INC	11/27/2012	1/29/2013 WS		2	140	134	-7	0
DSW INC	11/27/2012	1/29/2013		2	136	134	-2	0
EDWARDS LIFESCIENCES CRP	8/15/2011	1/29/2013		1	69	90	0	21
EDWARDS LIFESCIENCES CRP	6/6/2011	1/29/2013		21	1,805	1,899	0	94
HERBALIFE LTD	11/28/2012	1/29/2013		10	454	396	-57	0
HERBALIFE LTD	11/27/2012	1/29/2013		20	942	793	-150	0
HEXCEL CORP NEW COM	8/22/2012	1/29/2013		41	964	1,132	168	0
PRIMORIS SERVICES CORP	12/5/2012	1/29/2013		10	147	188	41	0
ROYAL DUTCH SHELL PLC	5/17/2011	1/29/2013		14	971	1,016	0	45
ROYAL DUTCH SHELL PLC	3/4/2011	1/29/2013		66	4,774	4,792	0	18
ROYAL DUTCH SHELL PLC	3/4/2011	1/29/2013		28	2,025	2,033	0	8
VMWARE, INC	11/6/2012	1/29/2013		19	1,750	1,475	-275	0
AMN VANGUARD CORP	11/27/2012	1/30/2013		17	587	564	-23	0
BANCO DO BRASIL SA-SPON	1/19/2012	1/30/2013		12	180	147	0	-33
BANCO DO BRASIL SA-SPON	1/19/2012	1/30/2013		1,113	16,684	13,638	0	-3,045
BANCO DO BRASIL SA-SPON	1/19/2012	1/30/2013		298	4,467	3,652	0	-815
BANCO DO BRASIL SA-SPON	5/18/2011	1/30/2013		338	5,918	4,142	0	-1,777
BANCO DO BRASIL SA-SPON	5/18/2011	1/30/2013		264	4,623	3,235	0	-1,388
BANCO DO BRASIL SA-SPON	3/4/2011	1/30/2013		346	6,401	4,240	0	-2,161
BANCO DO BRASIL SA-SPON	3/4/2011	1/30/2013		271	5,014	3,321	0	-1,693
BANCO DO BRASIL SA-SPON	3/1/2011	1/30/2013		85	1,545	1,042	0	-504
BANCO DO BRASIL SA-SPON	3/1/2011	1/30/2013		66	1,200	809	0	-391
BANCO DO BRASIL SA-SPON	1/20/2011	1/30/2013		462	8,746	5,661	0	-3,084
BANCO DO BRASIL SA-SPON	1/20/2011	1/30/2013		363	6,872	4,448	0	-2,423
BANCO DO BRASIL SA-SPON	12/28/2010	1/30/2013 WS		239	4,314	2,929	0	-1,385
DUFF AND PHELPS CORP NEW	11/27/2012	1/30/2013		40	484	627	143	0
EDWARDS LIFESCIENCES CRP	9/29/2011	1/30/2013		9	630	814	0	184
EDWARDS LIFESCIENCES CRP	9/29/2011	1/30/2013		12	840	1,085	0	245
EDWARDS LIFESCIENCES CRP	8/15/2011	1/30/2013		11	764	994	0	230
EDWARDS LIFESCIENCES CRP	8/15/2011	1/30/2013		4	278	362	0	84
PEREGRINE SEMICONDUCTOR	1/14/2013	1/30/2013		35	532	444	-88	0
PROCTER & GAMBLE CO	6/19/2012	1/30/2013		13	813	977	164	0
PROCTER & GAMBLE CO	6/19/2012	1/30/2013		111	6,944	8,342	1,398	0
TEXTAINER GROUP HOLDINGS	11/27/2012	1/30/2013		3	91	122	31	0
VMWARE, INC	11/7/2012	1/30/2013		27	2,440	2,098	-342	0
VMWARE, INC.	11/6/2012	1/30/2013		40	3,684	3,108	-576	0
ZURICH INSURANCE GROUP	12/22/2010	1/30/2013		911	23,399	25,860	0	2,461
BLOCK H&R INC	11/2/2011	1/31/2013		96	1,436	2,194	0	757
CIELO S A SPONSORED ADR	3/4/2011	1/31/2013		290	5,014	8,216	0	3,202
CIELO S A SPONSORED ADR	3/4/2011	1/31/2013		1	17	28	0	11
CIELO S A SPONSORED ADR	1/20/2011	1/31/2013		117	1,922	3,315	0	1,392
DUFF AND PHELPS CORP NEW	11/28/2012	1/31/2013		20	241	313	73	0
DUFF AND PHELPS CORP NEW	11/27/2012	1/31/2013		20	242	313	71	0
ELIZABETH ARDEN INC COM	11/28/2012	1/31/2013		1	47	37	-10	0
ELIZABETH ARDEN INC COM	11/27/2012	1/31/2013 WS		9	431	333	-98	0
ELIZABETH ARDEN INC COM	11/27/2012	1/31/2013		11	520	407	-113	0
ENDO HEALTH SOLU INC	3/1/2011	1/31/2013		39	1,404	1,257	0	-147
ENDO HEALTH SOLU INC	2/16/2011	1/31/2013		9	314	290	0	-24

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ENDO HEALTH SOLU INC	1/5/2011	1/31/2013	WS	10	303	322	0	20
ENDO HEALTH SOLU INC	1/5/2011	1/31/2013	WS	2	61	64	0	3
INTUIT INC COM	3/4/2011	1/31/2013		19	1,001	1,188	0	186
THORATEC CORP COM NEW	11/27/2012	1/31/2013	WS	13	503	473	0	0
THORATEC CORP COM NEW	11/27/2012	1/31/2013	WS	7	271	255	0	0
VMWARE, INC	11/27/2012	1/31/2013		8	720	617	-103	0
VMWARE, INC	11/7/2012	1/31/2013		42	3,796	3,240	-556	0
INTUIT INC COM	3/4/2011	2/1/2013		7	369	438	0	69
INTUIT INC COM	3/4/2011	2/1/2013		13	685	814	0	128
ANTARES PHARMA INC	11/28/2012	2/4/2013		30	118	112	-6	0
ANTARES PHARMA INC	11/27/2012	2/4/2013		70	279	261	-19	0
CONNS INC	11/27/2012	2/4/2013		13	358	379	22	0
ECOLAB INC	1/20/2011	2/4/2013	WS	61	3,110	4,469	0	1,358
ECOLAB INC	1/20/2011	2/4/2013		5	248	366	0	118
INTUIT INC COM	3/4/2011	2/4/2013		40	2,108	2,488	0	380
MICHAEL KORS HLDGS LTD	6/12/2012	2/4/2013		41	1,650	2,259	610	0
MILLENNIAL MEDIA INC	11/27/2012	2/4/2013		43	578	480	-98	0
BEMIS CO INC	2/18/2011	2/5/2013		30	993	1,086	0	94
CUBESMART COM	11/27/2012	2/5/2013		52	706	794	88	0
DAITO TR CONSTRUCTION CO	11/27/2012	2/5/2013		74	1,814	1,700	-115	0
MC GRAW HILL COMPANIES	12/10/2012	2/5/2013		47	2,642	2,222	-421	0
MC GRAW HILL COMPANIES	12/7/2012	2/5/2013		44	2,464	2,080	-384	0
MICHAEL KORS HLDGS LTD	6/26/2012	2/5/2013		47	2,033	2,544	512	0
MICHAEL KORS HLDGS LTD	6/26/2012	2/5/2013		21	908	1,131	223	0
MICHAEL KORS HLDGS LTD	6/12/2012	2/5/2013		12	483	646	164	0
PARK ELECTROCHMCL	11/27/2012	2/5/2013		25	604	681	77	0
ACTIVISION BLIZZARD INC	12/20/2011	2/6/2013		59	702	697	0	-5
ACTIVISION BLIZZARD INC	9/7/2011	2/6/2013		39	455	461	0	6
AVIS BUDGET GROUP INC	1/10/2013	2/6/2013		8	169	182	13	0
BONANZA CREEK ENERGY INC	11/27/2012	2/6/2013		9	210	306	97	0
EDWARDS LIFESCIENCES CRP	2/3/2012	2/6/2013		19	1,358	1,611	0	253
EDWARDS LIFESCIENCES CRP	2/3/2012	2/6/2013		9	643	763	0	120
EDWARDS LIFESCIENCES CRP	1/25/2012	2/6/2013		19	1,491	1,611	0	120
EDWARDS LIFESCIENCES CRP	1/25/2012	2/6/2013		9	706	763	0	57
EDWARDS LIFESCIENCES CRP	9/29/2011	2/6/2013		16	1,120	1,357	0	237
HALLIBURTON COMPANY	3/4/2011	2/6/2013	WS	32	1,489	1,299	0	0
HALLIBURTON COMPANY	1/27/2011	2/6/2013	WS	121	5,209	4,910	0	0
HALLIBURTON COMPANY	1/20/2011	2/6/2013	WS	70	2,518	2,841	0	322
HALLIBURTON COMPANY	1/20/2011	2/6/2013		23	898	933	0	36
HALLIBURTON COMPANY	3/4/2011	2/6/2013		151	7,028	6,128	0	-900
HALLIBURTON COMPANY	3/4/2011	2/6/2013	WS	31	1,443	1,258	0	0
HALLIBURTON COMPANY	3/4/2011	2/6/2013	WS	63	2,932	2,557	0	0
JIVE SOFTWARE INC COM	11/28/2012	2/6/2013		10	137	138	0	0
JIVE SOFTWARE INC COM	11/27/2012	2/6/2013		30	416	413	-4	0
LI & FUNG LTD-UNSP ADR	1/11/2011	2/6/2013	WS	1,372	8,165	3,607	0	-4,558
LTC PROPERTIES INC	3/4/2011	2/6/2013		12	337	444	0	107
LVMH MOET HENNESSY ADR	4/11/2012	2/6/2013		181	6,027	6,445	418	0
MC GRAW HILL COMPANIES	12/17/2012	2/6/2013		63	3,432	2,802	-630	0
MC GRAW HILL COMPANIES	12/10/2012	2/6/2013		63	3,542	2,802	-740	0
MC GRAW HILL COMPANIES	12/18/2012	2/6/2013		10	544	445	-99	0
NOVARTIS ADR	4/12/2011	2/6/2013		30	1,659	2,063	0	404
OASIS PETE INC NEW	7/20/2012	2/6/2013		5	141	193	52	0
VALERO ENERGY CORP NEW	11/2/2012	2/6/2013		17	485	776	290	0
VALERO ENERGY CORP NEW	11/2/2012	2/6/2013		5	143	228	85	0
VALERO ENERGY CORP NEW	10/31/2012	2/6/2013		190	5,472	8,668	3,196	0
WALTER INV MGMT CORP	11/28/2012	2/6/2013		10	384	455	71	0
WALTER INV MGMT CORP	11/27/2012	2/6/2013		1	40	46	6	0
AMERICAN AXLE&MFG HLDGS	1/9/2013	2/7/2013		27	314	315	0	0
AMERICAN AXLE&MFG HLDGS	1/8/2013	2/7/2013		18	209	210	0	0
ANHEUSER-BUSCH INBEV ADR	5/4/2012	2/7/2013		87	6,424	7,525	1,101	0
AVIS BUDGET GROUP INC	1/10/2013	2/7/2013		12	254	274	20	0
FRESENIUS MEDICAL CARE	4/11/2012	2/7/2013		66	2,247	2,288	41	0
TITANIUM METALS CORP NEW	11/28/2012	2/7/2013		40	662	660	-2	0
TITANIUM METALS CORP NEW	11/27/2012	2/7/2013		160	2,651	2,640	-11	0
ACTIVISION BLIZZARD INC	12/20/2011	2/8/2013		204	2,426	2,724	0	298
AIR METHODS CRP COM\$0 06	11/27/2012	2/8/2013		5	181	222	41	0
AOL INC	11/27/2012	2/8/2013		8	254	279	25	0
CHUYS HLDGS INC	11/27/2012	2/8/2013		13	297	394	97	0
GRUPO TELEvisa SA ADR	1/20/2011	2/8/2013		216	5,350	6,021	0	670
GRUPO TELEvisa SA ADR	12/22/2010	2/8/2013		185	4,869	5,157	0	287
IMPERVA INC COM	11/27/2012	2/8/2013		5	145	182	37	0
INTUIT INC COM	8/24/2011	2/8/2013		27	1,234	1,656	0	422
INTUIT INC COM	8/24/2011	2/8/2013		32	1,462	1,962	0	500
INTUIT INC COM	3/4/2011	2/8/2013		40	2,108	2,453	0	344
LEAR CORP SHS	5/4/2011	2/8/2013		27	1,360	1,431	0	71
MILLENNIAL MEDIA INC	11/28/2012	2/8/2013		20	262	233	-29	0

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MILLENNIAL MEDIA INC	11/27/2012	2/8/2013		27	363	315	-48	0
NETSUITE INC	11/27/2012	2/8/2013		8	478	535	58	0
PORTFOLIO RECOVERY ASSOC	11/27/2012	2/8/2013		5	478	551	73	0
PORTFOLIO RECOVERY ASSOC	11/27/2012	2/8/2013		3	287	330	43	0
SANOFI ADR	6/21/2012	2/8/2013		189	6,931	8,715	1,785	0
TEXTAINER GROUP HOLDINGS	11/27/2012	2/8/2013		7	213	300	87	0
AMERISOURCEBERGEN CORP	3/5/2012	2/11/2013		5	183	233	50	0
AMERISOURCEBERGEN CORP	9/21/2011	2/11/2013		63	2,462	2,937	0	475
AMERISOURCEBERGEN CORP	6/6/2011	2/11/2013		32	1,299	1,492	0	193
BEMIS CO INC	3/4/2011	2/11/2013		11	359	403	0	44
BEMIS CO INC	2/18/2011	2/11/2013		40	1,324	1,467	0	143
INTUIT INC COM	8/24/2011	2/11/2013		5	228	308	0	80
SOUTHWEST AIRLNS CO	7/24/2012	2/11/2013		145	1,251	1,688	436	0
CIGNA CORP	7/25/2012	2/12/2013		36	1,426	2,224	797	0
LEAR CORP SHS	6/6/2011	2/12/2013		18	897	979	0	82
LEAR CORP SHS	5/4/2011	2/12/2013		18	906	979	0	73
MEDNAX INC	6/7/2011	2/12/2013		28	1,974	2,412	0	438
MEDNAX INC	4/12/2011	2/12/2013		3	202	258	0	56
ONYX PHARMACEUTICALS	11/28/2012	2/12/2013		20	1,482	1,457	-26	0
ONYX PHARMACEUTICALS	11/27/2012	2/12/2013		15	1,145	1,092	-52	0
COOPER TIRE RUBBER	11/27/2012	2/13/2013		8	197	207	10	0
HANNOVER RUECKVERS ADR	3/1/2011	2/13/2013		54	1,554	2,112	0	558
HANNOVER RUECKVERS ADR	1/20/2011	2/13/2013		373	10,220	14,591	0	4,371
PRUDENTIAL PLC ADR	1/20/2011	2/13/2013		680	14,504	20,039	0	5,535
STARZ SERIES A LIBERTY	2/16/2012	2/13/2013		35	368	652	285	0
STARZ SERIES A LIBERTY	12/4/2012	2/13/2013		9	121	168	47	0
STARZ SERIES A LIBERTY	7/20/2012	2/13/2013		19	215	354	139	0
STARZ SERIES A LIBERTY	2/24/2012	2/13/2013		37	391	690	299	0
ALTERRA CAPITAL HOLDINGS	11/27/2012	2/14/2013		66	1,506	2,042	535	0
GNC HOLDINGS INC SHS	11/27/2012	2/14/2013		16	554	608	53	0
HOSPIRA INC	2/7/2011	2/14/2013		38	2,041	1,133	0	-908
HOSPIRA INC	10/5/2011	2/14/2013		150	5,532	4,471	0	-1,061
HOSPIRA INC	5/5/2011	2/14/2013		64	3,572	1,908	0	-1,665
HOSPIRA INC	3/4/2011	2/14/2013		233	12,563	6,945	0	-5,619
HOSPIRA INC	2/15/2011	2/14/2013 WS		95	3,326	2,832	0	-494
HOSPIRA INC	11/28/2012	2/14/2013		90	2,610	2,683	73	0
HOSPIRA INC	4/11/2012	2/14/2013		77	2,696	2,295	-401	0
HOSPIRA INC	12/21/2011	2/14/2013		25	726	745	0	19
HOSPIRA INC	11/1/2011	2/14/2013		195	6,076	5,812	0	-264
MONRO MUFFLER BRAKE INC	1/25/2013	2/14/2013		7	248	265	16	0
NATIONSTAR MTG HLDGS INC	11/27/2012	2/14/2013		9	252	369	117	0
WILLIAMS COMPANIES DEL	4/26/2012	2/14/2013		48	1,609	1,705	96	0
MONRO MUFFLER BRAKE INC	1/25/2013	2/15/2013		15	532	568	36	0
VODAFONE GROU PLC SP ADR	2/17/2011	2/15/2013		26	765	681	0	-84
VODAFONE GROU PLC SP ADR	1/18/2011	2/15/2013		198	5,714	5,186	0	-528
WILLIAMS COMPANIES DEL	4/26/2012	2/15/2013		69	2,313	2,419	106	0
WILLIAMS COMPANIES DEL	4/26/2012	2/15/2013		10	335	351	16	0
ALTERRA CAPITAL HOLDINGS	11/28/2012	2/19/2013		5	114	155	41	0
ALTERRA CAPITAL HOLDINGS	11/27/2012	2/19/2013		64	1,461	1,983	523	0
AMDQCS LIMITED	3/31/2011	2/19/2013		75	2,178	2,719	0	541
APPLE INC	2/18/2011	2/19/2013		6	2,100	2,735	0	635
FIRST REP BK SAN FRANCISCO	11/8/2011	2/19/2013		24	686	891	0	205
FORTINET INC	11/27/2012	2/19/2013		64	1,248	1,503	255	0
ALTERRA CAPITAL HOLDINGS	11/28/2012	2/20/2013		25	571	776	205	0
APPLE INC	2/18/2011	2/20/2013		6	2,100	2,697	0	597
LA-Z-BOY INC MICHIGAN	11/27/2012	2/20/2013		22	364	388	25	0
PETROFAC LTD-	11/27/2012	2/20/2013 WS		105	1,338	1,282	0	0
DEUTSCHE TELE AG SPN ADR	11/28/2012	2/21/2013		140	1,504	1,470	-33	0
DEUTSCHE TELE AG SPN ADR	11/27/2012	2/21/2013		348	3,748	3,655	-93	0
AMERICAN RAILCAR INDS	11/27/2012	2/22/2013		10	294	417	123	0
ARUBA NETWORKS INC	1/29/2013	2/22/2013		24	564	595	31	0
FRANKLIN EL CO PV10CT	11/27/2012	2/22/2013		3	178	196	18	0
LIFE TECHNOLOGIES CORP	11/27/2012	2/22/2013		515	25,384	29,724	4,340	0
MERIT MEDICAL SYS INC	11/27/2012	2/22/2013 WS		120	1,688	1,301	-387	0
MERIT MEDICAL SYS INC	11/27/2012	2/22/2013		156	2,155	1,692	-463	0
OCCIDENTAL PETE CORP CAL	11/27/2012	2/22/2013		28	2,116	2,339	223	0
OCCIDENTAL PETE CORP CAL	10/1/2012	2/22/2013		205	17,741	17,124	-617	0
OCCIDENTAL PETE CORP CAL	8/15/2012	2/22/2013		375	33,263	31,324	-1,938	0
SIBANYE GOLD LTD SHS ADR	11/28/2012	2/22/2013		55	399	319	-80	0
SIBANYE GOLD LTD SHS ADR	11/27/2012	2/22/2013		200	1,488	1,161	-327	0
SUPERIOR ENERGY SVCS INC	2/27/2012	2/22/2013		164	4,902	4,335	-567	0
USG CORP COM NEW	11/27/2012	2/22/2013		12	329	333	4	0
VALERO ENERGY CORP NEW	11/2/2012	2/22/2013		40	1,142	1,831	689	0
BARRETT BILL CORP	11/27/2012	2/25/2013		58	1,036	1,012	-24	0
BARRETT BILL CORP	11/28/2012	2/25/2013		30	519	524	5	0
BARRETT BILL CORP	11/27/2012	2/25/2013 WS		10	179	175	0	0

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BARRETT BILL CORP	11/27/2012	2/25/2013	WS	19	339	332	0	0
BARRETT BILL CORP	11/27/2012	2/25/2013	WS	13	232	227	0	0
FRANKLIN EL CO PV10CT	11/27/2012	2/25/2013		6	355	399	44	0
IPG PHOTONICS CORP DEL	5/23/2012	2/25/2013		6	258	352	95	0
REGAL BELOIT CORP WIS	11/27/2012	2/25/2013		15	1,034	1,150	116	0
SIBANYE GOLD LTD SHS ADR	1/22/2013	2/25/2013		139	1,075	831	-243	0
SIBANYE GOLD LTD SHS ADR	11/28/2012	2/25/2013		7	51	42	-9	0
USG CORP COM NEW	11/27/2012	2/25/2013		21	576	586	10	0
KAO CORP SPD ADR	11/27/2012	2/26/2013		50	1,355	1,606	252	0
MEADWESTVACO CORP	3/23/2012	2/26/2013		15	410	524	114	0
MEADWESTVACO CORP	3/23/2012	2/26/2013		22	601	768	167	0
MEADWESTVACO CORP	3/23/2012	2/26/2013		20	546	698	152	0
MEADWESTVACO CORP	2/24/2012	2/26/2013		5	136	175	0	38
OASIS PETE INC NEW	8/30/2012	2/26/2013		2	58	72	14	0
OASIS PETE INC NEW	7/20/2012	2/26/2013		10	281	359	78	0
SOUTHWEST AIRLNS CO	9/25/2012	2/26/2013		69	615	781	167	0
SOUTHWEST AIRLNS CO	7/24/2012	2/26/2013		80	690	906	215	0
SYMANTEC CORP COM	1/30/2012	2/26/2013		164	2,786	3,673	0	887
FRANKLIN EL CO PV10CT	11/28/2012	2/27/2013		10	582	650	68	0
FRANKLIN EL CO PV10CT	11/27/2012	2/27/2013		1	59	65	6	0
SUSSER HOLDINGS CORPORAT	11/27/2012	2/27/2013		10	360	451	91	0
BLACKROCK INC	5/23/2012	2/28/2013		5	813	1,205	392	0
CATAMARAN CORP SHS	3/4/2011	2/28/2013		60	1,561	3,221	0	1,660
INTREPID POTASH INC	11/28/2012	2/28/2013		17	360	337	-23	0
INTREPID POTASH INC	11/27/2012	2/28/2013		50	1,062	991	-71	0
KOMATSU NEW NEW SPNSDADR	4/11/2012	2/28/2013		394	11,205	9,968	-1,238	0
KOMATSU NEW NEW SPNSDADR	2/22/2012	2/28/2013		830	25,215	20,998	0	-4,217
KOMATSU NEW NEW SPNSDADR	9/16/2011	2/28/2013		1,341	31,272	33,926	0	2,654
SUMITOMO MITSUI TR HLDGS	4/21/2011	2/28/2013		566	1,958	2,154	0	196
SUMITOMO MITSUI TR HLDGS	4/7/2011	2/28/2013	WS	1,057	3,644	4,023	0	379
SUMITOMO MITSUI TR HLDGS	4/1/2011	2/28/2013		5,012	17,492	19,077	0	1,585
SUMITOMO MITSUI TR HLDGS	3/18/2011	2/28/2013	WS	1,712	5,752	6,516	0	764
SUMITOMO MITSUI TR HLDGS	3/18/2011	2/28/2013		4,779	16,965	18,190	0	1,224
ADVISORY BOARD CO	11/27/2012	3/1/2013		4	176	209	34	0
BLACKROCK INC	5/23/2012	3/1/2013		9	1,463	2,118	654	0
CMS ENERGY CORP	3/4/2011	3/1/2013		102	1,952	2,692	0	740
CMS ENERGY CORP	1/20/2011	3/1/2013		13	249	343	0	95
INTREPID POTASH INC	11/28/2012	3/1/2013		3	63	58	-5	0
US TSY 0 7500 OCT 31 20	11/28/2012	3/1/2013		46,000	46,262	46,142	-120	0
WILLIAMS COMPANIES DEL	5/10/2012	3/1/2013	WS	24	770	812	42	0
WILLIAMS COMPANIES DEL	4/26/2012	3/1/2013		98	3,285	3,317	32	0
AMN VANGUARD CORP	11/28/2012	3/4/2013		10	334	304	-30	0
AMN VANGUARD CORP	11/27/2012	3/4/2013		6	207	182	-25	0
MERIDIAN BIOSCIENCE INC	11/27/2012	3/4/2013		34	701	727	25	0
WILLIAMS COMPANIES DEL	5/11/2012	3/4/2013	WS	47	1,522	1,585	63	0
WILLIAMS COMPANIES DEL	5/11/2012	3/4/2013	WS	69	2,234	2,327	93	0
WILLIAMS COMPANIES DEL	5/10/2012	3/4/2013	WS	23	738	776	37	0
EATON CORP PLC	12/4/2012	3/5/2013		59	3,062	3,671	609	0
EATON CORP PLC	12/4/2012	3/5/2013		92	4,775	5,725	949	0
FINISAR CORPORATION	1/18/2013	3/5/2013		35	502	507	5	0
HECLA MINING CO DEL	11/27/2012	3/5/2013	WS	220	1,283	887	0	0
MERIDIAN BIOSCIENCE INC	11/27/2012	3/5/2013		14	289	302	14	0
QUALITY DISTRIBUTION INC	2/7/2013	3/5/2013		54	441	443	2	0
QUALITY DISTRIBUTION INC	2/5/2013	3/5/2013		53	414	434	21	0
SAPIENT CORP	11/27/2012	3/5/2013		64	672	738	66	0
WHITE MTNS INS GRP LTD	11/28/2012	3/5/2013		5	2,588	2,830	243	0
AIR LEASE CORP CL A	1/8/2013	3/6/2013		10	219	288	69	0
BEST BUY CO INC	5/16/2012	3/6/2013	WS	132	2,500	2,499	0	0
BOTTOMLINE TECH DEL INC	12/6/2012	3/6/2013		18	422	500	79	0
BOTTOMLINE TECH DEL INC	1/9/2013	3/6/2013		12	326	333	8	0
BOTTOMLINE TECH DEL INC	12/18/2012	3/6/2013		10	255	278	22	0
CHUYS HLDGS INC	11/27/2012	3/6/2013		14	320	431	111	0
DAITO TR CONSTRUCTION CO	11/28/2012	3/6/2013		110	2,698	2,445	-253	0
DAITO TR CONSTRUCTION CO	11/27/2012	3/6/2013		198	4,855	4,402	-453	0
EXPRESS SCRIPTS HLDG CO	3/4/2011	3/6/2013		41	2,301	2,382	0	81
QUALCOMM INC	1/20/2011	3/6/2013	WS	57	2,741	3,791	0	1,050
SAPIENT CORP	11/28/2012	3/6/2013		20	207	234	27	0
SAPIENT CORP	11/27/2012	3/6/2013		26	273	304	31	0
PROGRESSIVE CRP OHIO	3/20/2012	3/7/2013		67	1,528	1,660	132	0
PROGRESSIVE CRP OHIO	3/13/2012	3/7/2013	WS	10	214	248	34	0
PROGRESSIVE CRP OHIO	3/13/2012	3/7/2013	WS	20	444	496	52	0
PROGRESSIVE CRP OHIO	3/13/2012	3/7/2013		50	1,108	1,239	131	0
SEATTLE GENETICS INC COM	11/27/2012	3/7/2013		11	281	338	57	0
WHIRLPOOL CORP	8/12/2011	3/7/2013		23	1,456	2,692	0	1,236
WHIRLPOOL CORP	8/5/2011	3/7/2013	WS	70	4,431	8,192	0	3,761
WHIRLPOOL CORP	8/5/2011	3/7/2013		28	1,859	3,277	0	1,417

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Security Description	Acquisition Date	Sale Date	Wash Sale	Quantity	Cost	Proceeds	ST Gain/(Loss)	LT Gain/(Loss)
CERNER CORP COM	3/4/2011	3/8/2013		35	1,821	3,217	0	1,395
CERNER CORP COM	3/4/2011	3/8/2013		55	2,862	5,055	0	2,193
CERNER CORP COM	3/1/2011	3/8/2013		1	50	92	0	42
CERNER CORP COM	3/4/2011	3/8/2013		31	1,613	2,849	0	1,236
CERNER CORP COM	3/1/2011	3/8/2013		43	2,162	3,952	0	1,790
CERNER CORP COM	3/1/2011	3/8/2013		23	1,156	2,114	0	957
CERNER CORP COM	3/1/2011	3/8/2013		15	754	1,379	0	624
IMPERIAL TOB GRP SPS ADR	4/11/2012	3/8/2013		85	6,650	5,967	-684	0
IMPERIAL TOB GRP SPS ADR	3/4/2011	3/8/2013		259	16,320	18,181	0	1,861
IMPERIAL TOB GRP SPS ADR	3/1/2011	3/8/2013		90	5,670	6,318	0	648
IMPERIAL TOB GRP SPS ADR	2/7/2011	3/8/2013		126	7,987	8,845	0	857
WHIRLPOOL CORP	8/12/2011	3/8/2013		28	1,772	3,314	0	1,542
AMERICAN RAILCAR INDS	11/28/2012	3/11/2013		10	287	452	165	0
AMERICAN RAILCAR INDS	11/27/2012	3/11/2013		10	294	452	158	0
IMPALA PLATINUM SPON ADR	11/28/2012	3/11/2013		90	1,490	1,342	-148	0
IMPALA PLATINUM SPON ADR	11/27/2012	3/11/2013		139	2,363	2,072	-291	0
MARKET LEADER INC	11/27/2012	3/11/2013		29	185	250	64	0
PRGX GLOBAL INC	12/10/2012	3/11/2013		72	449	506	58	0
SALLY BEAUTY HLDGS INC	11/28/2012	3/11/2013		60	1,487	1,773	286	0
SALLY BEAUTY HLDGS INC	11/27/2012	3/11/2013		200	4,968	5,910	942	0
SOFTBANK CORP SHS	11/27/2012	3/11/2013		86	1,668	1,674	7	0
EATON VANCE CORP NVT	6/19/2012	3/12/2013		9	234	360	125	0
NU SKIN ENTERPRS A \$001	11/27/2012	3/12/2013 WS		37	1,657	1,563	0	0
PRGX GLOBAL INC	12/10/2012	3/12/2013		66	411	466	55	0
SYMANTEC CORP COM	4/24/2012	3/12/2013		467	7,673	11,389	3,716	0
SYMANTEC CORP COM	4/24/2012	3/12/2013		283	4,650	6,902	2,252	0
SYMANTEC CORP COM	1/31/2012	3/12/2013		146	2,489	3,561	0	1,071
SYMANTEC CORP COM	1/31/2012	3/12/2013		38	648	927	0	279
SYMANTEC CORP COM	1/30/2012	3/12/2013		116	1,971	2,829	0	858
VISA INC CL A SHRS	1/20/2011	3/12/2013		12	847	1,912	0	1,065
CERNER CORP COM	3/4/2011	3/13/2013		17	885	1,578	0	694
EBAY INC COM	4/19/2012	3/13/2013		3	123	154	31	0
EBAY INC COM	4/19/2012	3/13/2013		26	1,065	1,336	272	0
INTL PAPER CO	6/21/2011	3/13/2013		352	10,109	16,149	0	6,039
BRIDGESTONE CORP ADR	11/27/2012	3/14/2013		135	6,440	8,913	2,473	0
BRITISH AMN TOBACO SPADR	11/27/2012	3/14/2013		13	1,364	1,362	-3	0
CERNER CORP COM	3/4/2011	3/14/2013		13	677	1,207	0	531
CERNER CORP COM	3/4/2011	3/14/2013		15	781	1,393	0	612
HALLIBURTON COMPANY	3/4/2011	3/14/2013		30	1,396	1,259	0	-137
HALLIBURTON COMPANY	2/4/2011	3/14/2013 WS		121	5,590	5,078	0	-512
INTL PAPER CO	8/5/2011	3/14/2013		116	3,003	5,320	0	2,317
INTL PAPER CO	6/21/2011	3/14/2013		8	230	367	0	137
SALESFORCE COM INC	3/4/2011	3/14/2013		18	2,332	3,214	0	882
TESCO PLC SPNRD ADR	3/4/2011	3/14/2013		176	3,502	3,001	0	-502
WESTERN ALLIANCE	11/27/2012	3/14/2013		36	379	503	123	0
ARM HLDGS PLC SPD ADR	1/20/2011	3/15/2013		132	3,246	5,537	0	2,291
BRITISH AMN TOBACO SPADR	11/27/2012	3/15/2013		20	2,099	2,109	11	0
CENTERPOINT ENERGY INC	1/10/2012	3/15/2013		107	2,099	2,533	0	434
CENTERPOINT ENERGY INC	3/4/2011	3/15/2013		35	553	829	0	276
HCA HOLDINGS INC SHS	11/7/2012	3/15/2013		75	2,495	2,857	362	0
INTUITIVE SURGICAL INC	1/20/2011	3/15/2013		7	2,017	3,220	0	1,203
JONES (THE) GROUP INC	11/27/2012	3/15/2013 WS		70	829	892	63	0
JONES (THE) GROUP INC	11/27/2012	3/15/2013		130	1,520	1,657	136	0
METLIFE INC COM	1/11/2011	3/15/2013 WS		206	9,317	8,245	0	-1,073
METLIFE INC COM	1/11/2011	3/15/2013 WS		64	2,895	2,561	0	-333
CSL LTD SHS	4/11/2012	3/18/2013		88	1,606	2,738	1,132	0
ECOPETROL SA SPON ADR	11/27/2012	3/18/2013		52	3,029	2,845	-184	0
ORICA LTD-UNSP ADR	11/28/2012	3/18/2013		70	1,721	1,757	36	0
ORICA LTD-UNSP ADR	11/27/2012	3/18/2013		183	4,484	4,592	109	0
ECOPETROL SA SPON ADR	11/28/2012	3/19/2013		30	1,733	1,631	-102	0
ECOPETROL SA SPON ADR	11/27/2012	3/19/2013		10	583	544	-39	0
HALLIBURTON COMPANY	3/13/2011	3/19/2013 WS		20	972	779	0	-193
HALLIBURTON COMPANY	3/12/2011	3/19/2013 WS		32	1,590	1,246	0	-344
HALLIBURTON COMPANY	3/4/2011	3/19/2013		44	2,048	1,714	0	-334
VOCERA COMMUNICATION INC	1/18/2013	3/19/2013		9	244	200	-44	0
BEST BUY CO INC	7/23/2012	3/20/2013		51	954	1,187	233	0
BEST BUY CO INC	5/16/2012	3/20/2013		13	246	302	56	0
BLOUNT INTL INC	11/28/2012	3/20/2013 WS		2	28	28	0	0
BLOUNT INTL INC	11/27/2012	3/20/2013 WS		37	525	510	0	0
EXPERIAN PLC SP ADR	11/27/2012	3/20/2013		124	2,057	2,173	116	0
INFORMA PLC UNSP ADR	11/27/2012	3/20/2013		36	484	552	68	0
MICRON TECHNOLOGY INC	7/15/2011	3/20/2013 WS		164	1,115	1,538	0	423
MICRON TECHNOLOGY INC	7/8/2011	3/20/2013 WS		40	329	375	0	46
MICRON TECHNOLOGY INC	6/24/2011	3/20/2013		70	513	657	0	144
MICRON TECHNOLOGY INC	2/12/2011	3/20/2013 WS		10	81	94	0	12
SYMANTEC CORP COM	4/24/2012	3/20/2013		160	2,629	3,929	1,300	0

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8X8 INC NEW DELAWARE COM	11/27/2012	3/20/2013		53	340	371	32	0
ANNALY CAP MGMT INC	3/1/2011	3/21/2013	WS	40	728	628	0	-100
BLOCK H&R INC	11/16/2011	3/21/2013		47	741	1,311	0	571
BLOCK H&R INC	11/2/2011	3/21/2013		72	1,077	2,009	0	932
CENTERPOINT ENERGY INC	1/26/2012	3/21/2013		14	262	325	0	63
CENTERPOINT ENERGY INC	1/10/2012	3/21/2013		58	1,138	1,346	0	208
INFORMA PLC UNSP ADR	11/27/2012	3/21/2013		118	1,586	1,810	224	0
LUFKIN INDS INC	10/4/2012	3/21/2013		10	526	646	120	0
NOVO NORDISK A S ADR	11/28/2012	3/21/2013		6	949	968	20	0
NOVO NORDISK A S ADR	11/27/2012	3/21/2013		25	3,994	4,035	41	0
ORACLE CORP \$0 01 DEL	3/14/2013	3/21/2013	WS	14	508	455	0	0
ASTRAZENECA PLC SPND ADR	7/11/2012	3/22/2013		53	2,411	2,606	195	0
CABOT CORP	11/28/2012	3/22/2013		5	184	171	-13	0
CABOT CORP	11/27/2012	3/22/2013		30	1,136	1,025	-111	0
COVIDIEN PLC SHS NEW	5/15/2012	3/22/2013		31	1,691	2,063	372	0
EXACT TARGET INC	11/28/2012	3/22/2013		10	200	224	24	0
EXACT TARGET INC	11/27/2012	3/22/2013		30	596	673	78	0
FLEETCOR TECHNOLOGIS INC	7/9/2012	3/22/2013		17	600	1,280	680	0
FLEETCOR TECHNOLOGIS INC	4/11/2012	3/22/2013		56	2,146	4,216	2,069	0
HOLLYSYS AUTOMATION	1/24/2013	3/22/2013		42	554	526	-28	0
LUFKIN INDS INC	10/4/2012	3/22/2013		14	737	903	166	0
NOVO NORDISK A S ADR	11/28/2012	3/22/2013		4	632	643	10	0
ORACLE CORP \$0 01 DEL	3/18/2013	3/22/2013		43	1,562	1,370	-192	0
ORACLE CORP \$0 01 DEL	3/14/2013	3/22/2013	WS	122	4,975	3,887	-1,089	0
ORACLE CORP \$0 01 DEL	3/14/2013	3/22/2013	WS	14	562	446	-116	0
ORACLE CORP \$0 01 DEL	3/14/2013	3/22/2013	WS	122	4,430	3,887	0	0
ORACLE CORP \$0 01 DEL	3/14/2013	3/22/2013		50	1,815	1,593	-222	0
SPIRIT AIRLS INC COM	1/16/2013	3/22/2013		21	398	561	163	0
AMERICA MOVIL SAB DE CV	3/5/2012	3/25/2013		149	3,558	3,037	0	-521
AMERICA MOVIL SAB DE CV	11/4/2011	3/25/2013		503	12,666	10,253	0	-2,413
AMERICA MOVIL SAB DE CV	11/4/2011	3/25/2013		470	11,835	9,580	0	-2,254
AMERICA MOVIL SAB DE CV	11/4/2011	3/25/2013		349	8,788	7,114	0	-1,674
AMERICA MOVIL SAB DE CV	11/4/2011	3/25/2013		10	252	204	0	-48
AMERICA MOVIL SAB DE CV	3/4/2011	3/25/2013		430	12,087	8,765	0	-3,322
AMERICA MOVIL SAB DE CV	3/4/2011	3/25/2013		409	11,497	8,337	0	-3,160
AMERICA MOVIL SAB DE CV	3/4/2011	3/25/2013		59	1,658	1,203	0	-456
ASTRAZENECA PLC SPND ADR	7/11/2012	3/25/2013		100	4,549	4,954	405	0
CABOT CORP	11/28/2012	3/25/2013		5	184	171	-13	0
COVIDIEN PLC SHS NEW	5/16/2012	3/25/2013		11	599	738	138	0
COVIDIEN PLC SHS NEW	5/15/2012	3/25/2013		19	1,036	1,274	238	0
FULTON FINL CORP PA	11/27/2012	3/25/2013		27	262	318	57	0
PROTO LABS INC SHS	11/27/2012	3/25/2013		11	394	515	121	0
PROTO LABS INC SHS	11/27/2012	3/25/2013		19	680	870	190	0
SPIRIT AIRLS INC COM	1/24/2013	3/25/2013		12	238	318	81	0
SPIRIT AIRLS INC COM	1/16/2013	3/25/2013		21	398	557	159	0
SUMITOMO MITSUI TR HLDGS	7/20/2012	3/25/2013		264	744	1,214	469	0
SUMITOMO MITSUI TR HLDGS	4/21/2011	3/25/2013		465	1,609	2,138	0	529
ULTIMATE SOFTWARE GROUP	3/4/2011	3/25/2013		14	798	1,424	0	626
UNITED NAT FOODS INC	6/8/2011	3/25/2013		24	967	1,154	0	187
VANGUARD HEALTH SYSTEMS	11/27/2012	3/25/2013		26	258	397	139	0
8X8 INC NEW DELAWARE COM	1/30/2013	3/25/2013		22	145	151	6	0
8X8 INC NEW DELAWARE COM	11/28/2012	3/25/2013		30	192	205	14	0
8X8 INC NEW DELAWARE COM	11/27/2012	3/25/2013		32	205	219	14	0
INTL PAPER CO	8/5/2011	3/26/2013		64	1,657	2,928	0	1,271
INTL PAPER CO	8/5/2011	3/26/2013		20	518	915	0	397
SEATTLE GENETICS INC COM	11/27/2012	3/26/2013		3	77	108	31	0
VALERO ENERGY CORP NEW	11/2/2012	3/26/2013		157	4,481	7,041	2,560	0
ACTUANT CORP CL A	3/21/2013	3/27/2013		55	1,670	1,664	-6	0
ACTUANT CORP CL A	11/28/2012	3/27/2013		10	279	303	23	0
ACTUANT CORP CL A	11/27/2012	3/27/2013		40	1,122	1,210	89	0
ADVENT SOFTWARE INC	11/27/2012	3/27/2013		10	220	273	53	0
AIR LEASE CORP CL A	1/8/2013	3/27/2013		5	110	145	35	0
AIR METHODS CRP COM\$0 06	11/27/2012	3/27/2013		5	181	244	63	0
ALBEMARLE CORP COM	11/27/2012	3/27/2013		5	290	309	19	0
ALEXANDER & BALDWIN INC	11/27/2012	3/27/2013		5	149	177	28	0
ALIGN TECH INC DEL COM	1/31/2013	3/27/2013		5	159	168	10	0
ALKERMES PLC NEW	11/27/2012	3/27/2013		5	99	118	19	0
ALLSCRIPTS HEALTHCARE	1/14/2013	3/27/2013		15	154	204	50	0
AOL INC	11/27/2012	3/27/2013		5	159	195	37	0
ARIAD P NEW COM\$0 001RTD	11/27/2012	3/27/2013		10	218	183	-35	0
ARTISAN PARTNERS ASSET	3/8/2013	3/27/2013		5	201	190	-11	0
ASPEN INSURANCE HLDS LTD	11/28/2012	3/27/2013		20	619	767	148	0
ASPEN INSURANCE HLDS LTD	11/27/2012	3/27/2013		60	1,852	2,301	448	0
ATWOOD OCEANICS INC	11/27/2012	3/27/2013		135	6,103	6,867	764	0
AVIS BUDGET GROUP INC	1/10/2013	3/27/2013		4	86	109	24	0
AVIS BUDGET GROUP INC	1/10/2013	3/27/2013		1	21	27	6	0

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BALLY TECHNOLOGIES INC	11/27/2012	3/27/2013		5	228	259	31	0
BANCFIRST CORP COM	11/27/2012	3/27/2013		14	583	588	6	0
BANCORPSOUTH INC	12/19/2012	3/27/2013		5	73	82	9	0
BARRETT BILL CORP	11/27/2012	3/27/2013	WS	5	86	101	15	0
BELDEN INC	11/28/2012	3/27/2013		20	702	1,012	311	0
BELDEN INC	11/27/2012	3/27/2013		30	1,091	1,519	427	0
BENCHMARK ELECTRONICS	11/28/2012	3/27/2013		18	277	325	48	0
BENCHMARK ELECTRONICS	11/27/2012	3/27/2013		250	3,835	4,513	678	0
BERRY PETE SF CALIF CL A	11/28/2012	3/27/2013		20	620	925	305	0
BERRY PETE SF CALIF CL A	11/27/2012	3/27/2013		40	1,274	1,850	576	0
BIO REFERENCE LABS0 01NEW	11/27/2012	3/27/2013		200	5,018	5,257	239	0
BIOSCRIP, INC	12/12/2012	3/27/2013		5	54	63	9	0
BLACKBAUD INC	11/28/2012	3/27/2013		20	444	593	149	0
BLACKBAUD INC	11/27/2012	3/27/2013		60	1,335	1,779	444	0
BOB EVANS FARMS INC	11/28/2012	3/27/2013		10	371	423	52	0
BOB EVANS FARMS INC	11/27/2012	3/27/2013		60	2,208	2,537	329	0
BONANZA CREEK ENERGY INC	11/27/2012	3/27/2013		5	117	191	74	0
BRANDYWNE RLTY T SBI NEW	12/12/2011	3/27/2013		25	210	368	0	159
BRINKS CO	11/27/2012	3/27/2013		30	809	838	29	0
BROADSOFT INC	11/27/2012	3/27/2013	WS	5	162	137	0	0
BROWN SHOE CO INC COM	3/20/2013	3/27/2013		24	416	388	-27	0
BROWN SHOE CO INC COM	3/11/2013	3/27/2013		25	438	404	-34	0
BROWN SHOE CO INC COM	2/19/2013	3/27/2013		45	812	728	-84	0
BUCKEYE TECHNOLOGIES INC	11/28/2012	3/27/2013		20	542	586	44	0
BUCKEYE TECHNOLOGIES INC	11/27/2012	3/27/2013		80	2,172	2,342	170	0
CABELAS INC	11/27/2012	3/27/2013		5	236	302	66	0
CAIRN ENERGY PLC SHS ADR	11/28/2012	3/27/2013		110	927	907	-20	0
CAIRN ENERGY PLC SHS ADR	11/27/2012	3/27/2013		249	2,117	2,054	-63	0
CALGON CARBON CORP	11/27/2012	3/27/2013		385	4,986	6,908	1,922	0
CAPITAL SENIOR LIVING	11/27/2012	3/27/2013		10	171	268	97	0
CARDIOVASCULAR SYS INC	2/4/2013	3/27/2013		10	157	201	44	0
CASH AMERICAN INTL INC	11/27/2012	3/27/2013		70	2,619	3,647	1,029	0
CAVIUM INC	7/20/2012	3/27/2013		5	123	191	68	0
CEC ENTMT INC KANS \$0 1	11/27/2012	3/27/2013		45	1,386	1,483	98	0
CHART INDS INC	11/28/2012	3/27/2013		28	1,648	2,223	576	0
CHART INDS INC	11/27/2012	3/27/2013		20	1,174	1,588	414	0
CHART INDS INC	3/4/2011	3/27/2013		50	2,263	3,970	0	1,707
CHART INDS INC	1/20/2011	3/27/2013		70	2,578	5,558	0	2,980
CHUYS HLDGS INC	11/28/2012	3/27/2013		2	46	62	16	0
CHUYS HLDGS INC	11/27/2012	3/27/2013		3	69	93	25	0
CMNTY BANK SYS INC DEL	11/28/2012	3/27/2013		10	266	297	31	0
CMNTY BANK SYS INC DEL	11/27/2012	3/27/2013		60	1,610	1,783	173	0
COHERENT INC CAL	11/27/2012	3/27/2013		5	227	284	57	0
COINSTAR INC	11/28/2012	3/27/2013		30	1,397	1,713	316	0
COINSTAR INC	11/27/2012	3/27/2013		100	4,720	5,709	989	0
COLFAX CORP	11/27/2012	3/27/2013		5	189	230	41	0
COLUMBIA SPORTSWEAR CO	11/27/2012	3/27/2013		5	284	291	7	0
COMPASS MINERALS INTL	11/28/2012	3/27/2013		10	773	780	6	0
COMPASS MINERALS INTL	11/27/2012	3/27/2013		30	2,328	2,339	11	0
CONNIS INC	11/27/2012	3/27/2013		5	138	175	37	0
COOPER TIRE RUBBER	11/27/2012	3/27/2013		5	123	134	11	0
CORNERSTONE ONDEMAND INC	3/5/2012	3/27/2013		15	313	499	0	186
CORRECTIONS CORP OF AMER	11/27/2012	3/27/2013		15	512	573	61	0
CTS CORPORATION	11/28/2012	3/27/2013		30	253	311	58	0
CTS CORPORATION	11/27/2012	3/27/2013		100	845	1,036	192	0
CUBESMART COM	11/28/2012	3/27/2013		40	546	632	85	0
CUBESMART COM	11/27/2012	3/27/2013		88	1,195	1,390	195	0
CUBIC CORP DEL	11/28/2012	3/27/2013		10	478	431	-46	0
CUBIC CORP DEL	11/27/2012	3/27/2013		40	1,953	1,725	-228	0
CUBIST PHARMACEUTICALS	11/27/2012	3/27/2013		5	199	233	34	0
CURTISS WRIGHT	11/28/2012	3/27/2013		10	312	349	38	0
CURTISS WRIGHT	11/27/2012	3/27/2013		30	940	1,048	108	0
CYPRESS SEMICNDR PV1CTS	11/27/2012	3/27/2013		80	791	879	88	0
CYPRESS SEMICNDR PV1CTS	11/28/2012	3/27/2013		30	292	330	38	0
DEL FRISCOS RESTAURANT	11/27/2012	3/27/2013		5	73	84	11	0
DEXCOM INC	8/3/2012	3/27/2013		10	117	169	53	0
DRIL QUIP	1/17/2013	3/27/2013		5	393	437	44	0
DUPONT FABROS TECHNOLOGY	11/27/2012	3/27/2013		30	693	728	36	0
EAGLE MATERIALS INC	11/27/2012	3/27/2013		5	276	327	50	0
EATON VANCE CORP NVT	6/19/2012	3/27/2013		10	260	410	150	0
ECHO GLOBAL LOGISTIC INC	1/10/2013	3/27/2013		5	95	108	13	0
EL PASO ELECTRIC CO NEW	11/28/2012	3/27/2013		10	309	333	23	0
EL PASO ELECTRIC CO NEW	11/27/2012	3/27/2013		60	1,859	1,997	138	0
ENDOLOGIX INC	7/24/2012	3/27/2013		10	136	162	26	0
ENDURANCE SPECIALTY HLDG	11/28/2012	3/27/2013		40	1,582	1,872	290	0
ENDURANCE SPECIALTY HLDG	11/27/2012	3/27/2013		180	7,133	8,423	1,290	0

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EPAM SYSTEMS INC SHS	2/4/2013	3/27/2013		5	109	114	6	0
EXAR CORP DELAWARE COM	2/8/2013	3/27/2013		5	54	52	-2	0
EZCHIP SEMICONDUCTOR LTD	11/27/2012	3/27/2013		5	189	119	-70	0
E2OPEN INC	1/18/2013	3/27/2013		10	186	212	25	0
FAIRCHILD SEMICON INTL	2/8/2013	3/27/2013		5	77	69	-7	0
FIESTA RESTAURANT GROUP	3/6/2013	3/27/2013		5	119	130	11	0
FIFTH & PACIFIC CO INC	12/13/2012	3/27/2013		4	50	75	26	0
FIFTH & PACIFIC CO INC	11/28/2012	3/27/2013		6	73	113	41	0
FINISH LINE INC CL A	11/28/2012	3/27/2013		30	608	546	-61	0
FINISH LINE INC CL A	11/27/2012	3/27/2013		100	2,045	1,821	-224	0
FINL ENGINES INC	11/27/2012	3/27/2013		5	131	180	49	0
FIRST INDL REALTY TR INC	11/27/2012	3/27/2013		10	133	169	36	0
FLEETMATS GROUP PLC	1/30/2013	3/27/2013		5	131	120	-11	0
FORESTAR GROUP INC	3/26/2013	3/27/2013		5	111	111	0	0
FORTINET INC	11/27/2012	3/27/2013		15	293	346	53	0
FORWARD AIR CORP	11/27/2012	3/27/2013		100	3,253	3,721	468	0
FRESH MKT INC	11/27/2012	3/27/2013 WS		5	303	213	0	0
FUEL SYSTEMS SOLUTIONS	11/27/2012	3/27/2013 WS		29	444	481	37	0
FUEL SYSTEMS SOLUTIONS	11/27/2012	3/27/2013 WS		21	324	348	25	0
FUEL SYSTEMS SOLUTIONS	11/27/2012	3/27/2013		140	2,123	2,323	200	0
FULTON FINL CORP PA	11/28/2012	3/27/2013		60	575	697	122	0
FULTON FINL CORP PA	11/27/2012	3/27/2013		173	1,676	2,010	334	0
FUSION-IO INC COM	12/19/2011	3/27/2013		10	219	163	0	-55
GENCORP INC	1/25/2013	3/27/2013		10	112	132	20	0
GENERAC HLDGS INC	11/27/2012	3/27/2013		5	170	176	6	0
GENESCO INC	11/27/2012	3/27/2013		10	600	588	-13	0
GENMARK DIAGNOSTICS INC	1/17/2013	3/27/2013		10	114	121	8	0
GEO GROUP INC	11/27/2012	3/27/2013		5	141	183	42	0
GLIMCHER REALTY TR SBI	11/27/2012	3/27/2013		10	106	115	9	0
GLOBUS MED INC	3/11/2013	3/27/2013 WS		10	149	146	0	0
GOVT PPTYS INCOME TR	11/28/2012	3/27/2013		10	229	254	26	0
GOVT PPTYS INCOME TR	11/27/2012	3/27/2013		60	1,386	1,526	140	0
GRAHAM CORP DEL	12/17/2012	3/27/2013		5	103	121	19	0
H AND E EQUIP SVCS INC	1/24/2013	3/27/2013		5	94	98	4	0
HALCON RESOURCES CORP	11/27/2012	3/27/2013		20	122	153	31	0
HANCOCK HOLDING CO	11/28/2012	3/27/2013		30	933	927	-6	0
HANCOCK HOLDING CO	11/27/2012	3/27/2013		130	4,068	4,016	-52	0
HARMONIC INC \$0.001	11/27/2012	3/27/2013		595	2,585	3,419	834	0
HATTERAS FINL CORP	11/27/2012	3/27/2013		70	1,845	1,909	63	0
HECLA MINING CO DEL	3/11/2013	3/27/2013		422	1,812	1,675	-136	0
HECLA MINING CO DEL	12/3/2012	3/27/2013 WS		220	1,340	873	-467	0
HECLA MINING CO DEL	11/28/2012	3/27/2013		200	1,155	794	-361	0
HECLA MINING CO DEL	11/27/2012	3/27/2013		440	2,565	1,747	-818	0
HFF INC	11/27/2012	3/27/2013		5	71	96	26	0
HMS HLDGS CORP	3/7/2013	3/27/2013		5	157	141	-17	0
HUNTINGTON INGALLS INDS	2/4/2013	3/27/2013		23	1,017	1,213	196	0
HUNTINGTON INGALLS INDS	1/23/2013	3/27/2013		30	1,367	1,582	215	0
IBERIABANK CORP COM	2/26/2013	3/27/2013		9	453	449	-4	0
IBERIABANK CORP COM	2/20/2013	3/27/2013		8	419	399	-20	0
IBERIABANK CORP COM	2/19/2013	3/27/2013		6	314	299	-15	0
IBERIABANK CORP COM	2/7/2013	3/27/2013		16	824	798	-26	0
IMPAX LABS INC	8/15/2011	3/27/2013		122	2,292	1,879	0	-413
IMPAX LABS INC	6/8/2011	3/27/2013		73	1,505	1,125	0	-381
INNOPHOS HLDGS INC	11/27/2012	3/27/2013		30	1,430	1,647	217	0
INTERDIGITAL, INC.	11/28/2012	3/27/2013		20	833	943	110	0
INTERDIGITAL, INC	11/27/2012	3/27/2013		70	2,893	3,300	407	0
INTERSIL CORP CL A	11/28/2012	3/27/2013		60	417	525	108	0
INTERSIL CORP CL A	11/27/2012	3/27/2013		190	1,316	1,662	347	0
IPG PHOTONICS CORP DEL	5/23/2012	3/27/2013		5	215	332	117	0
ISHARES TR RUSSELL 2000	11/27/2012	3/27/2013		615	49,889	57,648	7,759	0
ITRON INC	11/28/2012	3/27/2013		20	862	911	49	0
ITRON INC	11/27/2012	3/27/2013		90	3,809	4,100	291	0
IXIA COM	5/24/2012	3/27/2013		227	2,465	4,792	2,327	0
IXIA COM	4/23/2012	3/27/2013		94	1,122	1,984	862	0
JETBLUE AIRWAYS CORP DEL	11/27/2012	3/27/2013		15	76	103	26	0
KAMAN CORP COM	11/27/2012	3/27/2013		5	171	178	7	0
KEYW HLDG CORP	3/22/2013	3/27/2013		10	156	161	5	0
KRATON PERFRMCE POLYMRS	3/27/2012	3/27/2013		10	272	240	-32	0
LA-Z-BOY INC MICHIGAN	11/27/2012	3/27/2013		10	165	188	22	0
LAKELAND FINCL CORP IND	11/27/2012	3/27/2013		115	2,888	3,075	188	0
LINDSAY CORPORATION	11/27/2012	3/27/2013		100	7,892	8,517	625	0
LINDSAY CORPORATION	11/28/2012	3/27/2013		40	3,108	3,407	299	0
LIVE NATION ENT INC	3/20/2013	3/27/2013		10	118	124	6	0
LOUISIANA PAC CORP	11/27/2012	3/27/2013		15	259	320	61	0
LTC PROPERTIES INC	3/4/2011	3/27/2013		52	1,461	2,093	0	632
LUFKIN INDS INC	10/4/2012	3/27/2013		5	263	329	66	0

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MADDEN STEVEN LTD	3/5/2012	3/27/2013		49	2,136	2,110	0	-26
MADDEN STEVEN LTD	5/11/2011	3/27/2013		59	2,151	2,541	0	390
MARKET LEADER INC	11/28/2012	3/27/2013		4	25	36	10	0
MARKET LEADER INC	11/27/2012	3/27/2013		11	70	98	28	0
MARRIOTT VACATIONS	11/27/2012	3/27/2013		5	200	215	15	0
MARTIN MARIETTA MATLS	11/27/2012	3/27/2013		5	434	508	74	0
MATSON INC	11/27/2012	3/27/2013		10	225	243	18	0
MBIA INC COM	11/27/2012	3/27/2013		25	225	260	35	0
MEDICINES CO DEL COM	11/27/2012	3/27/2013		5	108	166	58	0
MERIDIAN BIOSCIENCE INC	11/28/2012	3/27/2013		21	409	476	67	0
MERIDIAN BIOSCIENCE INC	11/27/2012	3/27/2013		42	866	952	85	0
MERU NETWORKS INC	2/28/2013	3/27/2013		10	50	62	13	0
MICREL INC	11/27/2012	3/27/2013		20	188	210	22	0
MONOLITHIC PWR SYSTEMS	2/8/2013	3/27/2013		5	125	121	-4	0
MONSTER WORLDWIDE INC	11/27/2012	3/27/2013		25	140	130	-10	0
MONTPELIER RE HLDGS LTD	11/27/2012	3/27/2013		90	1,884	2,339	454	0
MVC CAPITAL INC	11/27/2012	3/27/2013		101	1,203	1,272	69	0
MWI VETERINARY SUPPLY IN	11/27/2012	3/27/2013		5	586	668	82	0
MYRIAD GENETICS INC	1/30/2013	3/27/2013		4	109	100	-9	0
MYRIAD GENETICS INC	11/28/2012	3/27/2013		50	1,480	1,247	-233	0
MYRIAD GENETICS INC	11/27/2012	3/27/2013		160	4,814	3,991	-823	0
NATIONSTAR MTG HLDGS INC	11/27/2012	3/27/2013		5	140	179	39	0
NAVIGATORS GROUP INC	11/27/2012	3/27/2013		41	2,148	2,389	241	0
NEKTAR THERAPEUTICS	3/4/2013	3/27/2013		5	46	55	10	0
NICE SYSTS LTD SPSP ADR	11/27/2012	3/27/2013		22	730	812	82	0
NORTHWEST PIPE CO	11/27/2012	3/27/2013		5	104	145	41	0
NOVADAQ TECHNOLOGIES INC	11/27/2012	3/27/2013		20	185	206	21	0
OASIS PETE INC NEW	8/30/2012	3/27/2013		5	144	192	48	0
OMNIVISION TECHS INC DEL	11/28/2012	3/27/2013		60	917	835	-83	0
OMNIVISION TECHS INC DEL	11/27/2012	3/27/2013		160	2,474	2,226	-248	0
OWENS & MINOR INC NEW COM	3/4/2011	3/27/2013		73	2,277	2,363	0	86
PACIFIC DRILLING S A	11/27/2012	3/27/2013		15	144	148	4	0
PANTRY INC	11/27/2012	3/27/2013		5	61	65	4	0
PAREXEL INTRNL CORP	11/27/2012	3/27/2013		125	3,956	4,899	943	0
PERFORMANT FINL CORP	2/12/2013	3/27/2013 WS		10	130	127	0	0
PINNACLE ENMT INC COM	11/27/2012	3/27/2013		5	63	74	12	0
PLANTRONICS INC NEW COM	11/28/2012	3/27/2013		30	973	1,302	329	0
PLANTRONICS INC NEW COM	11/27/2012	3/27/2013		90	2,935	3,906	971	0
POLYONE CORP COM	12/17/2012	3/27/2013		5	98	119	20	0
PORTFOLIO RECOVERY ASSOC	11/28/2012	3/27/2013		20	1,906	2,504	598	0
PORTFOLIO RECOVERY ASSOC	11/27/2012	3/27/2013		60	5,739	7,512	1,773	0
PORTLAND GEN ELEC CO	11/28/2012	3/27/2013		30	788	903	115	0
PORTLAND GEN ELEC CO	11/27/2012	3/27/2013		80	2,082	2,407	325	0
PRESTIGE BRANDS HLDG INC	11/27/2012	3/27/2013		211	4,514	5,419	905	0
PRIMERICA INC COM	3/25/2013	3/27/2013		38	1,239	1,231	-8	0
PROASSURANCE CORP	11/27/2012	3/27/2013		5	222	233	11	0
PROCERA NETWORKS INC SHS	2/22/2013	3/27/2013 WS		5	87	60	0	0
PTC INC SHS	12/28/2012	3/27/2013		5	113	124	11	0
QLIK TECHNOLOGIES INC	11/27/2012	3/27/2013		10	188	258	70	0
QUESTCOR PHARMACEUTICALS	10/2/2012	3/27/2013		51	998	1,614	616	0
QUESTCOR PHARMACEUTICALS	6/1/2012	3/27/2013 WS		20	914	633	-281	0
QUESTCOR PHARMACEUTICALS	6/1/2012	3/27/2013 WS		22	1,006	696	-310	0
RADIAN GROUP INC	2/27/2013	3/27/2013		10	84	104	19	0
REGAL BELOIT CORP WIS	11/27/2012	3/27/2013		5	345	407	62	0
REGIS CORP MINN	11/28/2012	3/27/2013		20	330	362	32	0
REGIS CORP MINN	11/27/2012	3/27/2013		100	1,650	1,809	160	0
RESTORATION HARDWARE	12/13/2012	3/27/2013		5	168	174	6	0
RITCHIE BROS AUCTIONEERS	11/27/2012	3/27/2013		10	220	212	-8	0
RIVERBED TECHNOLOGY INC	1/18/2013	3/27/2013		10	191	147	-44	0
RTI INTL METALS INC OHIO	11/27/2012	3/27/2013		5	123	160	37	0
RYLAND GROUP INC	11/27/2012	3/27/2013		10	345	419	74	0
SALIX PHRMCTCLS LTD COM	7/24/2012	3/27/2013 WS		5	258	252	0	0
SCHNITZER STEEL INDS A	11/28/2012	3/27/2013		20	547	529	-18	0
SCHNITZER STEEL INDS A	11/27/2012	3/27/2013		100	2,732	2,644	-88	0
SCHWEITZER MAUDIT INTL	11/28/2012	3/27/2013		30	1,100	1,155	56	0
SCHWEITZER MAUDIT INTL	11/27/2012	3/27/2013		80	2,949	3,080	131	0
SEALED AIR CORP (NEW)	11/2/2012	3/27/2013		5	82	120	38	0
SEATTLE GENETICS INC COM	11/27/2012	3/27/2013		5	128	181	53	0
SEMTECH CORPORATION	11/28/2012	3/27/2013		90	2,240	3,130	889	0
SEMTECH CORPORATION	11/27/2012	3/27/2013		260	6,498	9,041	2,543	0
SENSIENT TECHNOLOGY CORP	11/28/2012	3/27/2013		10	354	387	32	0
SENSIENT TECHNOLOGY CORP	11/27/2012	3/27/2013		60	2,161	2,321	161	0
SERVICE CORP INTL	11/27/2012	3/27/2013		25	349	411	62	0
SHFL ENTERTAINMENT INC	11/27/2012	3/27/2013		20	276	328	52	0
SILICON LABS INC	12/19/2012	3/27/2013		1	43	40	-3	0
SILICON LABS INC	12/18/2012	3/27/2013		4	171	161	-10	0

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SIRONA DENTAL SYSTEMS	11/27/2012	3/27/2013		5	311	364	53	0
SPECTRANETICS CORP COM	11/27/2012	3/27/2013		10	144	183	39	0
STAGE STORES INC COM NEW	10/22/2012	3/27/2013		70	1,669	1,808	140	0
STANCORP FINANCL GRP INC	11/28/2012	3/27/2013		20	668	853	185	0
STANCORP FINANCL GRP INC	11/27/2012	3/27/2013		30	1,014	1,279	266	0
STEIN MART INC COM	1/16/2013	3/27/2013		10	81	85	5	0
STIFEL FINANCIAL CORP	11/27/2012	3/27/2013		5	155	173	17	0
SUN HYDRAULICS INC COM	11/28/2012	3/27/2013		50	1,264	1,615	351	0
SUN HYDRAULICS INC COM	11/27/2012	3/27/2013		170	4,317	5,491	1,174	0
SUPERIOR ENERGY SVCS INC	2/27/2012	3/27/2013		183	5,470	4,664	0	-806
SUSSER HOLDINGS CORPORAT	11/27/2012	3/27/2013		5	180	253	73	0
SYNAPTICS INC	11/28/2012	3/27/2013		60	1,598	2,384	786	0
SYNAPTICS INC	11/27/2012	3/27/2013		200	5,233	7,948	2,715	0
TEAM HEALTH HOLDINGS INC	12/20/2012	3/27/2013		3	87	110	23	0
TEAM HEALTH HOLDINGS INC	12/20/2012	3/27/2013		7	203	256	53	0
TEARLAB CORP	1/14/2013	3/27/2013		10	55	69	14	0
TEJON RANCH CO PV 0 50	11/27/2012	3/27/2013		5	134	151	18	0
TENET HEALTHCARE CORP	11/27/2012	3/27/2013		5	141	238	96	0
TERADYNE INC	11/27/2012	3/27/2013 WS		5	79	79	0	0
TEXAS INDUST INC	11/27/2012	3/27/2013		5	230	325	95	0
THE HOWARD HUGHES CORP	11/27/2012	3/27/2013		10	735	833	97	0
THERMON GROUP HLDGS INC	11/27/2012	3/27/2013 WS		10	241	221	0	0
THORATEC CORP COM NEW	11/27/2012	3/27/2013 WS		25	961	891	-70	0
THORATEC CORP COM NEW	11/27/2012	3/27/2013		92	3,560	3,279	-281	0
TIBCO SOFTWARE INC	11/27/2012	3/27/2013		5	128	101	-27	0
TIDEWATER INC COM NEW	11/28/2012	3/27/2013		10	440	497	57	0
TIDEWATER INC COM NEW	11/27/2012	3/27/2013		60	2,663	2,984	321	0
TORO CO	11/28/2012	3/27/2013		15	657	688	31	0
TORO CO	11/27/2012	3/27/2013		250	11,026	11,464	438	0
TREDEGAR CORP VIRGINIA	11/27/2012	3/27/2013		5	94	145	51	0
TRUSTMARK CORP COM	11/27/2012	3/27/2013		60	1,342	1,488	146	0
TRUSTMARK CORP COM	11/28/2012	3/27/2013		20	435	496	61	0
TTM TECHNOLOGIES INC COM	11/27/2012	3/27/2013		234	2,044	1,792	-252	0
TUMI HLDGS INC	11/27/2012	3/27/2013		5	111	113	2	0
TWO HARBORS INVT CORP	11/27/2012	3/27/2013		15	169	208	40	0
TYLER TECHS INC DEL COM	11/27/2012	3/27/2013		5	237	300	63	0
U S AWYS GROUP INC	11/27/2012	3/27/2013		10	128	166	38	0
U S SILICA HLDGS INC	3/1/2013	3/27/2013 WS		5	121	113	0	0
UNITED NAT FOODS INC	8/3/2012	3/27/2013		31	1,679	1,486	-193	0
UNITED NAT FOODS INC	11/22/2011	3/27/2013		85	2,909	4,074	0	1,165
UNITED NAT FOODS INC	8/15/2011	3/27/2013		15	599	719	0	120
UNITED NAT FOODS INC	6/8/2011	3/27/2013		71	2,860	3,403	0	543
UNITED THERAPEUTICS CORP	10/3/2012	3/27/2013		5	291	301	10	0
UNIVERSAL ELECTRONCS INC	11/27/2012	3/27/2013		10	172	242	70	0
UNS ENERGY CORP	11/28/2012	3/27/2013		10	415	476	61	0
UNS ENERGY CORP	11/27/2012	3/27/2013		50	2,064	2,380	316	0
USG CORP COM NEW	11/27/2012	3/27/2013		5	137	130	-7	0
VANGUARD HEALTH SYSTEMS	11/27/2012	3/27/2013		5	50	82	32	0
VCA ANTECH INC COM	2/13/2013	3/27/2013		128	2,833	2,994	161	0
VCA ANTECH INC COM	12/5/2012	3/27/2013		234	4,829	5,474	645	0
VERA BRADLEY INC	2/27/2013	3/27/2013		213	5,570	5,061	-509	0
VIOPHARMA INC COM	1/22/2013	3/27/2013		5	136	122	-13	0
VOCERA COMMUNICATION INC	1/18/2013	3/27/2013		5	136	114	-22	0
WAGeworks INC	11/27/2012	3/27/2013		10	179	250	71	0
WASHINGTON FEDL INC	11/28/2012	3/27/2013		20	320	348	28	0
WASHINGTON FEDL INC	11/27/2012	3/27/2013		70	1,140	1,218	78	0
WASTE CONNECTIONS INC	11/27/2012	3/27/2013		5	162	180	18	0
WEB COM GROUP INC	11/27/2012	3/27/2013		5	74	85	11	0
WEBMD HEALTH CORP	3/5/2013	3/27/2013		5	119	121	3	0
WEBSTER FINL CP PV \$0 01	11/28/2012	3/27/2013		20	411	481	70	0
WEBSTER FINL CP PV \$0 01	11/27/2012	3/27/2013		60	1,270	1,443	173	0
WEST PHARMACTL SVCS INC	11/27/2012	3/27/2013		59	3,211	3,786	575	0
WESTAMERICA BANCORP	5/18/2012	3/27/2013		60	2,688	2,701	13	0
WESTERN ALLIANCE	11/27/2012	3/27/2013		10	105	137	31	0
WINTRUST FINL CP ILL COM	3/25/2013	3/27/2013		11	407	405	-2	0
WINTRUST FINL CP ILL COM	11/28/2012	3/27/2013		11	397	405	8	0
WINTRUST FINL CP ILL COM	11/27/2012	3/27/2013 WS		9	328	331	3	0
WINTRUST FINL CP ILL COM	11/27/2012	3/27/2013		20	735	736	1	0
WMS INDS INC COM	11/28/2012	3/27/2013		40	656	1,008	352	0
WMS INDS INC COM	11/27/2012	3/27/2013		120	2,006	3,024	1,019	0
WORLD FUEL SERVICES CRP	3/4/2011	3/27/2013 WS		10	405	395	0	0
BANCFIRST CORP COM	11/28/2012	3/28/2013		20	830	832	3	0
BANCFIRST CORP COM	11/27/2012	3/28/2013		36	1,498	1,498	0	0
BENCHMARK ELECTRONICS	11/28/2012	3/28/2013		52	800	935	135	0
CEC ENTMT INC KANS \$0 1	11/28/2012	3/28/2013		20	616	655	40	0
CEC ENTMT INC KANS \$0 1	11/27/2012	3/28/2013		5	154	164	10	0

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ML A/C
3/31/2013

Security Description	Acquisition Date	Sale Date	Wash Sale	Quantity	Cost	Proceeds	ST Gain/(Loss)	LT Gain/(Loss)
FORWARD AIR CORP	11/28/2012	3/28/2013		1	33	37	5	0
FORWARD AIR CORP	11/27/2012	3/28/2013		90	2,928	3,365	438	0
MERIDIAN BIOSCIENCE INC	11/28/2012	3/28/2013		9	175	205	29	0
MVC CAPITAL INC	11/27/2012	3/28/2013		89	1,060	1,124	64	0
MVC CAPITAL INC	11/28/2012	3/28/2013		50	593	632	39	0
NAVIGATORS GROUP INC	11/28/2012	3/28/2013		3	155	176	21	0
NAVIGATORS GROUP INC	11/27/2012	3/28/2013		49	2,567	2,874	307	0
PRESTIGE BRANDS HLDG INC	11/28/2012	3/28/2013		90	1,883	2,294	411	0
PRESTIGE BRANDS HLDG INC	11/27/2012	3/28/2013		59	1,262	1,504	241	0
TTM TECHNOLOGIES INC COM	11/28/2012	3/28/2013		120	1,022	911	-111	0
TTM TECHNOLOGIES INC COM	11/27/2012	3/28/2013		206	1,799	1,563	-236	0
WEST PHARMACTL SVCS INC	11/28/2012	3/28/2013		20	1,071	1,295	224	0
WEST PHARMACTL SVCS INC	11/27/2012	3/28/2013		11	599	712	113	0
					19,603,755 00	20,473,503 00	212,414 00	686,982 00

Himan Brown Charitable Trust
 EIN 27-6669056

Attachment to Form 990-PF, Part IV
 Merrill Lynch
 A/C 850-21258
 Y/E March 31, 2013

Quantity	Description	%	Maturity Date	Date Acquired	Date Sold	Sales Price	Tax Cost	Short Term Gain/(Loss)	Long Term Gain/(Loss)
Government bonds									
46,000	US TREASURY NOTES	0.750%	10/31/2017	12/13/2012	3/1/2013	46,259.98	46,225.22	34.76	
54,000	US TREASURY NOTES	0.750%	10/31/2017	12/13/2012	3/6/2013	54,190.60	54,264.38	(73.78)	
5,000	US TREASURY NOTES 2019	1.375%	2/28/2019	8/7/2013	11/28/2012	5,144.92	5,108.40	36.52	
5,000	US TREASURY NOTES	2.125%	8/15/2021	8/7/2012	12/7/2012	5,235.94	5,264.65	(28.71)	
36,000	US TREASURY NOTES SER F-2021	2.000%	11/15/2021	1/31/2012	12/7/2012	37,698.75	36,596.25	1,102.50	
13,000	US TREASURY NOTES SER F-2021	2.000%	11/15/2021	1/26/2012	11/28/2012	13,612.67	13,058.91	553.76	
5,000	US TREASURY NOTES SER F-2021	2.000%	11/15/2021	3/20/2012	12/7/2012	5,235.93	4,852.54	383.39	
20,000	US TREASURY NOTES SER F-2021	2.000%	11/15/2021	4/11/2012	12/7/2012	20,943.75	20,021.09	922.66	
1,000	US TREASURY NOTES SER F-2021	2.000%	11/15/2021	1/27/2012	11/28/2012	1,047.96	1,006.72	41.24	
8,000	US TREASURY NOTES SER F-2021	2.000%	11/15/2021	1/27/2012	12/7/2012	8,377.49	8,053.75	323.74	
15,000	US TREASURY NOTES SER F-2021	2.000%	11/15/2021	4/18/2012	12/7/2012	15,707.82	15,069.73	638.09	
6,000	US TREASURY NOTES	2.000%	11/15/2021	9/18/2012	12/7/2012	6,283.13	6,176.48	106.65	
1,000	US TREASURY NOTES	2.000%	11/15/2021	12/6/2012	12/7/2012	1,047.19	1,052.63	(5.44)	
2,000	US TREASURY NOTES	2.500%	1/29/2015	7/31/2012	11/28/2012	3,088.38	3,070.71	17.67	
						<u>223,874.51</u>	<u>219,821.45</u>	<u>4,053.06</u>	

Himan Brown Charitable Trust
EIN 27-6669056

Attachment to Form 990-PF, Part IV
Merrill Lynch
A/C 850-21258
Y/E March 31, 2013

Quantity	Description	%	Maturity Date	Date Acquired	Date Sold	Sales Price	Tax Cost	Short Term Gain/(Loss)	Long Term Gain/(Loss)
Mortgage and asset backed securities									
81,000	FNMA PL#735288	5 000%	3/1/2035	9/9/2011	11/28/2012	18,610 73	19,549 78		-939 05
94,000	FNMA PL#735676	5 000%	7/1/2035	10/7/2011	11/28/2012	22,389 91	23,182 26		-792 35
60,000	FHLMC#G01840	5 000%	7/1/1935	8/7/2012	11/28/2012	13,222 99	13,639 36	-416 37	
47,000	FNMA PL#888450	5 500%	8/1/2035	4/23/2012	11/28/2012	11,416 00	11,946 02	-530 02	
17,000	FNMA PL#889974	5 000%	9/1/2035	2/8/2012	11/28/2012	6,024 53	6,202 06	-177 53	
34,000	FNMA PL#888343	5 000%	11/1/1935	9/7/2012	11/28/2012	10,204 88	10,438 66	-233 78	
43,000	FNMA PL#745274	5 500%	1/1/2036	2/8/2012	11/28/2012	10,577 91	10,934 03	-356 12	
6,000	FNMA PL#745343	5 500%	3/1/2036	10/7/2011	11/28/2012	1,237 26	1,309 76		-72 50
273,000	FNMA PL#745418	5 500%	4/1/2036	9/9/2011	11/28/2012	60,669 72	65,097 03		-4,427 31
34,000	FHLMC PL#G02342	5 000%	10/1/2036	4/23/2012	11/28/2012	8,445 23	8,723 00	-277 77	
7,000	FHLMC PL#G04913	5 000%	3/1/2038	11/10/2011	11/28/2012	2,020 72	2,100 38		-79 66
5,000	FHLMC PL#G06875	5 500%	12/1/1938	8/7/2012	11/28/2012	3,645 43	3,785 14	-139 71	
5,000	FNMA PL#934231	5 000%	1/1/2039	10/11/2011	11/28/2012	935 69	1,009 76		-74 07
32,000	FNMA PL#PAB1248	4 500%	7/1/2040	9/9/2011	11/28/2012	18,678 57	19,161 52		-482 95
1,000	FNMA PAE0937	3 500%	1/1/2041	12/20/2012	1/11/2013	805 77	841 92	-36 15	
1,000	FNMA PAE0828	3 500%	1/1/2041	1/14/2013	1/11/2013	776 28	778 06	-1 78	
16,000	FNMA PL#PAJ4052	4 000%	10/1/2041	4/23/2012	11/28/2012	13,419 84	13,485 24	-65 40	
1,000	FNMA PL#PAB4297	3 500%	1/1/2042	1/10/2012	1/11/2013	859 28	905 03		-45 75
20,000	FHLMC PL#Q05925	3 500%	2/1/2042	4/23/2012	11/28/2012	19,262 69	18,899 54	363 15	
1,000	FNMA PL#PAB5472	3 500%	6/1/2042	6/7/2012	1/11/2013	901 32	986 20	-84 88	
95,000	FNMA PL#PAB5670	3 500%	7/1/2042	10/5/2012	1/11/2013	95,329 32	96,533 38	-1,204 06	
						319,434 07	329,508 12	(3,160 41)	(6,913 65)

Himan Brown Charitable Trust
Morgan Stanley Smith Barney
A/C 062-71460
Y/E March 31, 2013
Portfolio

Quantity	Description	%	Maturity Date	Date Acquired	Date Sold	Sales Price	Tax Cost	Short Term Gain/(Loss)	Long Term Gain/(Loss)
10,000	US TREASURY NOTES SER F-2021	2.000%	11/15/2021	1/26/2012	6/1/2012	10,525.78	10,045.31	480.47	
<u>10,000</u>						<u>10,525.78</u>	<u>10,045.31</u>	<u>480.47</u>	<u>-</u>

Hiram Brown Charitable Trust
 Morgan Stanley Smith Barney
 A/C 062-71460
 Y/E March 31, 2013
 Portfolio

Quantity	Description	%	Maturity Date	Date Acquired	Date Sold	Sales Price	Tax Cost	Short Term Gain/(Loss)	Long Term Gain/(Loss)
4,000	FNMA PL#735288	5.000%	3/1/2035	9/9/2011	4/5/2012	1,205.64	1,225.94	(20.30)	
3,000	FNMA PL#745418	5.500%	4/1/2036	9/9/2011	4/5/2012	915.65	932.34	(16.69)	
20,000	FHLMC PL#G04688	5.500%	9/1/2038	11/10/2011	6/12/2012	5,629.94	5,723.96	(94.02)	
3,000	FNMA PL#A81248	4.500%	7/1/2040	9/9/2011	6/12/2012	2,072.90	2,095.76	(22.86)	
11,000	FHLMC PL#A97618	4.500%	3/1/2041	11/10/2011	4/5/2012	9,490.55	9,479.47	11.08	
10,000	FNMA PL#A10620	4.500%	5/1/2041	1/18/2012	4/24/2012	8,478.64	8,540.17	(61.53)	
2,000	FNMA PL#AJ8203	4.500%	1/1/2042	2/8/2012	4/24/2012	2,123.85	2,133.09	(9.24)	
						29,917.17	30,130.73	(213.56)	-

Morgan Stanley Smith Barney

Reserved Client Financial Management Account April 1 - April 30, 2012

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Ref: 00000011 00002955

RICHARD L KAY TTEE

Account number 062-71450-12 693

GAIN/LOSS DETAILS

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Absent specific instructions from you, trades are allocated using the FIFO (first-in/first-out) method. Day traders should therefore not rely on this section for day trading results. Your reinvestment activity has been summarized. Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099 Year-end summary.

Realized gain or loss

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
ARCH CAPITAL GROUP LTD Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	03/04/11 04/17/12 Sold	44	\$ 1,313.55	\$ 29.85	37.85 1,665.36	\$ 351.81 LT	\$ 351.81 LT	\$ 351.81 \$ 0.00
ARCH CAPITAL GROUP LTD Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	04/13/11 04/17/12 Sold	28	956.57	34.16	37.85 1,059.77	103.20 LT	103.20 LT	103.20 0.00
ARCH CAPITAL GROUP LTD Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	04/13/11 04/24/12 Sold	6	204.98	34.16	38.686 232.11	27.13 LT	27.13 LT	27.13 0.00
ARCH CAPITAL GROUP LTD Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	04/13/11 04/26/12 Sold	44	1,503.19	34.16	38.664 1,701.19	198.00 LT	198.00 LT	198.00 0.00
ARCH CAPITAL GROUP LTD Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	05/04/11 04/26/12 Sold	36	1,229.04	34.14	38.664 1,391.88	162.84 ST	162.84 ST	162.84 0.00
Total		158	\$ 5,207.33		\$ 6,050.31	\$ 842.98	\$ 842.98	\$ 842.98 \$ 0.00
COOPER INDUSTRIES PLC DUBLIN-USD Morgan Stanley Smith Barney LLC acted as your agent	12/22/10 04/04/12 Sold	103	6,108.09	59.30	63.03 6,491.99	383.90 LT	383.90 LT	383.90 0.00
FRESH DEL MONTE PRODUCE Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	01/20/11 04/11/12 Sold	311	8,422.13	27.08	22.142 6,886.00	(1,536.13) LT	(1,536.13) LT	(1,536.13) 0.00
	03/04/11 04/11/12 Sold	98	2,544.92	25.96	22.142 2,169.87	(375.05) LT	(375.05) LT	(375.05) 0.00



Ref: 00000011 00002556

RICHARD L KAY TTEE

Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
FRESH DEL MONTE PRODUCE MorganStanley SmithBarney LLC acted as your agent In this transaction.	03/19/12 04/11/12 Sold	161	\$ 3,688.98	\$ 22.91	22.142 3,584.78	(\$ 124.20)	(\$ 124.20)	ST (\$ 124.20) ST \$ 0.00
	04/05/12 04/11/12 Sold	140	3,133.20	22.38	22.142 3,099.81	(33.39)	(33.39)	ST (33.39) ST 0.00
Total		710	\$ 17,789.23		\$ 15,720.46	(\$ 2,068.77)	(\$ 2,068.77)	(\$ 2,068.77) \$ 0.00
WILLIS GROUP HDGS PLC IRELAND MorganStanley SmithBarney LLC acted as your agent	12/22/10 04/12/12 Sold	117	4,025.73	34.40	36.201 4,235.49	209.76	209.76	LT 209.76 LT 0.00
CORE LABORATORIES N V/USD MorganStanley SmithBarney LLC acted as your agent In this transaction.	12/22/10 04/11/12 Sold	25	2,280.75	91.23	132.23 3,305.67	1,024.92	1,024.92	LT 1,024.92 LT 0.00
ADTRAN INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	12/12/11 04/11/12 Sold	147	4,450.93	30.27	30.722 4,516.08	65.15	65.15	ST 65.15 ST 0.00
AEROPOSTALE INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	03/04/11 04/12/12 Sold	55	1,407.89	25.59	21.082 1,159.49	D	0.00	0.00 0.00
	04/13/11 04/12/12 Sold	13	339.78	26.13	21.082 274.05	D	0.00	0.00 0.00
	04/13/11 04/12/12 Sold	80	2,091.05	26.13	21.082 1,686.53	(404.52)	(404.52)	ST (404.52) ST 0.00
AEROPOSTALE INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	02/01/11 04/24/12 Sold	61	1,567.97	16.44	20.992 1,280.48	(287.49)	(287.49)	LT (287.49) LT 0.00
	02/15/11 04/24/12 Sold	49	1,329.52	16.44	20.992 1,028.58	(300.94)	(300.94)	LT (300.94) LT 0.00
	04/13/11 04/24/12 Sold	40	1,045.52	26.13	20.992 839.66	(205.86)	(205.86)	LT (205.86) LT 0.00
Total		298	\$ 7,781.73		\$ 6,288.79	(\$ 1,198.81)	(\$ 1,198.81)	(\$ 1,198.81) \$ 0.00
AFFILIATED MANAGERS GROUP MorganStanley SmithBarney LLC acted as your agent In this transaction.	01/20/11 04/11/12 Sold	15	1,502.10	100.14	107.38 1,610.68	108.56	108.56	LT 108.56 LT 0.00



Ref: 00000011 00002857

RICHARD L KAY TTEE

Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary income
ALLIANT TECHSYSTEMS INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11	32	\$ 2,412.98 X	\$ 58.76	50.18	(\$ 807.27) LT	(\$ 807.27) LT	(\$ 807.27)
	04/11/12 Sold				1,605.72			\$ 0.00
	02/18/11	18	1,288.74 X	58.76	50.18	(383.52) LT	(383.52) LT	(383.52)
	04/11/12 Sold				903.22			0.00
	02/18/11	20	1,511.01 X	60.10	50.18	(507.43) LT	(507.43) LT	(507.43)
	04/11/12 Sold				1,003.58			0.00
	03/04/11	25	1,815.51 X	60.10	50.18	(561.04) LT	(561.04) LT	(561.04)
	04/11/12 Sold				1,254.47			0.00
	03/04/11	5	382.39 X	60.10	50.18	(131.50) LT	(131.50) LT	(131.50)
	04/11/12 Sold				250.89			0.00
	03/04/11	7	516.77 X	57.45	50.18	(165.52) LT	(165.52) LT	(165.52)
	04/11/12 Sold				351.25			0.00
	04/08/11	44	3,300.65 X	57.45	50.18	(1,092.78) LT	(1,092.78) LT	(1,092.78)
	04/11/12 Sold				2,207.87			0.00
	04/08/11	4	308.22 X	57.45	50.18	(107.49) LT	(107.49) LT	(107.49)
	04/11/12 Sold				200.73			0.00
	12/19/11	5	278.84	55.96	50.18	(28.95) ST	(28.95) ST	(28.95)
	04/11/12 Sold				250.89			0.00
	02/03/12	8	470.08	58.76	50.18	(68.65) ST	(68.65) ST	(68.65)
	04/11/12 Sold				401.43			0.00
Total		168	\$ 12,284.20		\$ 8,430.05	(\$ 3,854.15)	(\$ 3,854.15)	(\$ 3,854.15)
AMERICAN CAMPUS CMNTYS INC. Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	12/22/10	551	16,816.74	31.15	43,702	7,262.74 LT	7,262.74 LT	7,262.74
	04/11/12 Sold				24,079.48			0.00
AMERICAN INTL GROUP INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	07/29/11	59	1,864.27 X	31.59	32,583	58.08 ST	58.08 ST	58.08
	04/10/12 Sold				1,922.35			0.00
	08/04/11	11	300.78	27.34	32,583	57.62 ST	57.62 ST	57.62
	04/10/12 Sold				358.40			0.00
AMERICAN INTL GROUP INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	08/04/11	220	6,015.59	27.34	31.81	982.50 ST	982.50 ST	982.50
	04/11/12 Sold				6,998.09			0.00
	08/10/11	265	8,195.41	23.37	31.81	2,234.10 ST	2,234.10 ST	2,234.10
	04/11/12 Sold				8,429.51			0.00
Total		555	\$ 14,376.05		\$ 17,708.35	\$ 3,332.30	\$ 3,332.30	\$ 3,332.30
								\$ 0.00



Ref: 00000011.00002658

RICHARD L KAY TTEE

Account number: 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary income
AMGEN INC	01/20/11	13	\$ 744.87	\$ 57.29	66.76	\$ 122.99	\$ 122.99	\$ 122.99
Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	04/11/12 Sold				867.86			\$ 0.00
	03/04/11	75	3,919.43	52.25	66.76	1,087.46	1,087.46	1,087.46
	04/11/12 Sold				5,006.89			0.00
Total		88	\$ 4,664.30		\$ 5,874.75	\$ 1,210.45	\$ 1,210.45	\$ 1,210.45
ANGLOGOLD ASHANTI LTD	12/22/10	9	429.34	47.70	33.604	(126.90)	(126.90)	(126.90)
ADR	04/11/12 Sold				302.44			0.00
Morgan Stanley Smith Barney LLC acted as your agent	01/20/11	98	4,364.72	44.53	33.604	(1,071.52)	(1,071.52)	(1,071.52)
	04/11/12 Sold				3,293.20			0.00
	03/04/11	53	2,619.23	47.53	33.604	(738.22)	(738.22)	(738.22)
	04/11/12 Sold				1,781.01			0.00
	05/23/11	174	7,725.19	44.40	33.604	(1,879.08)	(1,879.08)	(1,879.08)
	04/11/12 Sold				5,847.11			0.00
	03/05/12	63	2,571.66	40.82	33.604	(454.61)	(454.61)	(454.61)
	04/11/12 Sold				2,117.05			0.00
Total		387	\$ 17,611.14		\$ 13,240.81	(\$ 4,270.33)	(\$ 4,270.33)	(\$ 4,270.33)
ANSYS INC	12/22/10	5	266.53	53.30	62.77	47.31	47.31	47.31
Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	04/11/12 Sold				313.84			0.00
	01/20/11	43	2,253.63	52.41	62.77	445.42	445.42	445.42
	04/11/12 Sold				2,699.05			0.00
Total		48	\$ 2,520.16		\$ 3,012.89	\$ 492.73	\$ 492.73	\$ 492.73
AON CORP	01/20/11	34	1,529.66	44.99	49.08	139.06	139.06	139.06
	04/02/12 Merger				1,668.72			0.00
	02/01/11	58	2,673.80	46.10	49.08	172.84	172.84	172.84
	04/02/12 Merger				2,846.64			0.00
	03/04/11	66	3,389.76	51.36	49.08	(150.48)	(150.48)	(150.48)
	04/02/12 Merger				3,239.28			0.00
	03/25/11	67	3,508.12	52.36	49.08	(219.76)	(219.76)	(219.76)
	04/02/12 Merger				3,288.36			0.00
	07/20/11	85	4,201.55	49.43	49.08	(29.75)	(29.75)	(29.75)
	04/02/12 Merger				4,171.80			0.00
	02/03/12	25	1,188.68	47.54	49.08	38.32	38.32	38.32
	04/02/12 Merger				1,227.00			0.00
Total		335	\$ 16,491.57		\$ 16,441.80	(\$ 49.77)	(\$ 49.77)	(\$ 49.77)
								\$ 0.00



Reserved Client Financial Management Account April 1 - April 30, 2012

MorganStanley
SmithBarney

Ref: 00000011 00002359

Account number 062-71460-12 '693

RICHARD L KAY TTEE

Realized gain or loss: continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss), Ordinary income
APACHE CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/22/10 04/25/12 Sold	108	\$ 12,769.62	\$ 118.22	92,706 10,012.07	(\$ 2,756.55)	(\$ 2,756.55)	LT (\$ 2,756.55) LT \$ 0.00
	01/20/11 04/25/12 Sold	199	24,655.83	123.94	92,706 18,448.16	(6,217.67)	(6,217.67)	LT (6,217.67) LT 0.00
	01/28/11 04/25/12 Sold	24	2,734.76	113.94	92,706 2,224.90	(509.86)	(509.86)	LT (509.86) LT 0.00
	03/04/11 04/25/12 Sold	101	12,349.62	122.27	92,706 9,363.14	(2,986.48)	(2,986.48)	LT (2,986.48) LT 0.00
	04/11/12 04/25/12 Sold	94	8,753.00	93.11	92,706 8,714.20	(38.80)	(38.80)	ST (38.80) ST 0.00
Total		526	\$ 61,271.83		\$ 48,762.47	(\$ 12,509.36)	(\$ 12,509.36)	(\$ 12,509.36) \$ 0.00
APPLE INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/18/11 04/24/12 Sold	4	1,400.00	350.00	559,839 2,239.30	839.30	839.30	LT 839.30 LT 0.00
ARCH COAL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/13/12 04/11/12 Sold	655	9,142.56	13.95	9,991 6,543.96	(2,598.60)	(2,598.60)	ST (2,598.60) ST 0.00
	02/10/12 04/11/12 Sold	445	6,394.47	14.36	9,991 4,445.89	(1,948.58)	(1,948.58)	ST (1,948.58) ST 0.00
	03/05/12 04/11/12 Sold	220	2,694.58	12.24	9,991 2,187.97	(496.59)	(496.59)	ST (496.59) ST 0.00
	04/10/12 04/11/12 Sold	245	2,454.90	10.02	9,991 2,447.74	(7.16)	(7.16)	ST (7.16) ST 0.00
Total		1,565	\$ 20,686.49		\$ 15,635.56	(\$ 5,050.93)	(\$ 5,050.93)	(\$ 5,050.93) \$ 0.00
ARCHER-DANIELS-MIDLAND CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/22/10 04/11/12 Sold	205	6,155.88	30.02	31,03 6,361.18	205.30	205.30	LT 205.30 LT 0.00
ARDEA BIOSCIENCES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/22/10 04/11/12 Sold	46	1,188.18	25.83	20.17 927.79	(260.39)	(260.39)	LT (260.39) LT 0.00
ARDEA BIOSCIENCES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/22/10 04/24/12 Sold	19	490.77	25.83	31.62 600.77	110.00	110.00	LT 110.00 LT 0.00
	01/20/11 04/24/12 Sold	30	786.60	26.22	31.62 948.58	151.98	151.98	LT 151.98 LT 0.00



Ref: 00000011 00002960

RICHARD L KAY TTEE

Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary income
ARDEA BIOSCIENCES INC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	03/04/11 04/24/12 Sold	37	\$ 988.27	\$ 26.71	31.62 1,169.91	\$ 181.64 LT	\$ 181.64 LT	\$ 181.64 \$ 0.00
	08/11/11 04/24/12 Sold	60	946.66	15.77	31.62 1,897.16	950.50 ST	950.50 ST	950.50 0.00
	08/16/11 04/24/12 Sold	8	121.82	15.24	31.62 252.95	131.03 ST	131.03 ST	131.03 0.00
Total		200	\$ 4,522.40		\$ 5,797.16	\$ 1,274.76	\$ 1,274.76	\$ 1,274.76 \$ 0.00
ASSOCIATED ESTATES RLTY CORP Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	12/22/10 04/11/12 Sold	447	6,642.50	14.89	15.843 7,081.97	439.47 LT	439.47 LT	439.47 0.00
AUTOMATIC DATA PROCESSING INC. Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	01/20/11 04/11/12 Sold	121	5,930.92	49.01	54.643 6,611.65	680.73 LT	680.73 LT	680.73 0.00
AVALONBAY CMNTYS INC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	12/22/10 04/11/12 Sold	97	10,719.00	110.50	137.357 13,323.39	2,604.39 LT	2,604.39 LT	2,604.39 0.00
BADGER METER INC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	08/10/11 04/11/12 Sold	42	1,395.36	33.29	33.01 1,386.38	(11.98) ST	(11.98) ST	(11.98) 0.00
BANK OF MONTREAL Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	03/01/11 04/11/12 Sold	30	1,904.88	63.49	58.05 1,741.46	(163.42) LT	(163.42) LT	(163.42) 0.00
BANK NEW YORK MELLON CORP Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	12/22/10 04/04/12 Sold	240	7,287.84	30.36	24.001 5,760.11	(1,527.73) LT	(1,527.73) LT	(1,527.73) 0.00
C R BARD INC NEW JERSEY Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	01/20/11 04/11/12 Sold	8	746.85	93.35	95.70 765.58	18.73 LT	18.73 LT	18.73 0.00
	03/01/11 04/11/12 Sold	26	2,507.65	96.44	95.70 2,488.14	(19.51) LT	(19.51) LT	(19.51) 0.00
Total		34	\$ 3,254.50		\$ 3,253.72	(\$.78)	(\$.78)	(\$.78) \$ 0.00



Ref: 00000011 00002961

RICHARD L-KAY TTEE Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss) Ordinary Income
BARRICK GOLD CORP CAD MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/22/10 04/11/12 Sold	61	\$ 3,145.65	\$ 51.56	41,091 2,506.54	(\$ 639.11) LT	(\$ 639.11) LT	(\$ 639.11) \$ 0.00
	01/20/11 04/11/12 Sold	392	18,384.02	46.89	41,091 16,107.62	(2,276.40) LT	(2,276.40) LT	(2,276.40) 0.00
	03/04/11 04/11/12 Sold	133	7,014.16	52.73	41,091 \$ 465.09	(1,549.07) LT	(1,549.07) LT	(1,549.07) 0.00
Total		586	\$ 28,543.83		\$ 24,079.25	(\$ 4,464.58)	(\$ 4,464.58)	(\$ 4,464.58) \$ 0.00
BED BATH & BEYOND MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/06/12 04/11/12 Sold	6	367.53	61.25	69,975 419.84	52.31 ST	52.31 ST	52.31 0.00
BEMIS CO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/22/10 04/11/12 Sold	26	862.87	33.18	31,091 808.35	(54.52) LT	(54.52) LT	(54.52) 0.00
	01/20/11 04/11/12 Sold	85	2,751.27	32.36	31,091 2,642.87	(108.60) LT	(108.60) LT	(108.60) 0.00
Total		111	\$ 3,614.14		\$ 3,451.02	(\$ 163.12)	(\$ 163.12)	(\$ 163.12) \$ 0.00
BEST BUY INC. MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/01/11 04/11/12 Sold	30	837.51 X	24.12	22,01 660.29	(177.22) LT	(177.22) LT	(177.22) 0.00
	08/03/11 04/11/12 Sold	142	3,753.04	26.43	22,01 3,125.36	D	0.00	0.00 0.00
	08/03/11 04/11/12 Sold	113	2,986.61	26.43	22,01 2,487.11	(499.50) ST	(499.50) ST	(499.50) 0.00
	08/19/11 04/11/12 Sold	120	2,937.14	24.47	22,01 2,641.16	(295.98) ST	(295.98) ST	(295.98) 0.00
	09/12/11 04/11/12 Sold	180	4,342.61	24.12	22,01 3,961.75	(380.86) ST	(380.86) ST	(380.86) 0.00
	01/03/12 04/11/12 Sold	375	8,906.06	23.74	22,01 8,253.84	(652.42) ST	(652.42) ST	(652.42) 0.00
Total		960	\$ 23,762.97		\$ 21,129.31	(\$ 2,005.98)	(\$ 2,005.98)	(\$ 2,005.98) \$ 0.00
BY'S RESTAURANTS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/22/10 04/11/12 Sold	99	3,319.70	37.30	47,94 4,266.57	946.67 LT	946.67 LT	946.67 0.00
	01/05/11 04/11/12 Sold	6	203.99	33.99	47,94 287.63	83.64 LT	83.64 LT	83.64 0.00
Total		105	\$ 3,523.69		\$ 4,554.20	\$ 1,030.51	\$ 1,030.51	\$ 1,030.51 \$ 0.00



Ref: 00000611 00002962

RICHARD L KAY TTEE

Account number: 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
CBL & ASSOC PROP 7.375% PERP REPTG 1/10TH PRD SR O STRIP YIELD = 7.460% 7.3750% DUE 99/99/9999	12/22/10 04/11/12 Sold	160	\$ 3,744.25	\$ 23.40	24,864 3,978.17	\$ 233.92	\$ 233.92	\$ 233.92 \$ 0.00
C.H. ROBINSON WORLDWIDE INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	12/22/10 04/11/12 Sold	52	4,164.02	80.07	64,174 3,337.01	(827.01)	(827.01)	(827.01) 0.00
C.H. ROBINSON WORLDWIDE INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	12/22/10 04/25/12 Sold	6	480.46	80.07	61,262 367.57	(112.89)	(112.89)	(112.89) 0.00
C.H. ROBINSON WORLDWIDE INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11 04/25/12 Sold	41	3,186.85	77.72	61,262 2,511.69	(675.16)	(675.16)	(675.16) 0.00
C.H. ROBINSON WORLDWIDE INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11 04/28/12 Sold	96	7,461.89	77.72	58,921 5,696.29	(1,805.60)	(1,805.60)	(1,805.60) 0.00
Total		195	\$ 15,293.22		\$ 11,872.56	(\$ 3,420.66)	(\$ 3,420.66)	(\$ 3,420.66) \$ 0.00
CABOT MICROELECTRONICS CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	08/13/11 04/11/12 Sold	121	5,642.45	46.63	36.26 4,387.36	(1,255.09)	(1,255.09)	(1,255.09) 0.00
CAMDEN PROPERTY TRUST SBI Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	12/22/10 04/11/12 Sold	115	6,014.43	52.81	63,482 7,300.26	1,285.83	1,285.83	1,285.83 0.00
CAMECO CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	12/22/10 04/11/12 Sold	264	10,485.29	39.71	19,854 5,241.34	(5,243.95)	(5,243.95)	(5,243.95) 0.00
	01/20/11 04/11/12 Sold	276	10,608.67	38.43	19,854 5,479.58	(5,129.09)	(5,129.09)	(5,129.09) 0.00
	03/04/11 04/11/12 Sold	148	6,028.40	40.73	19,854 2,938.33	(3,090.07)	(3,090.07)	(3,090.07) 0.00
	03/16/11 04/11/12 Sold	211	6,790.63	32.18	19,854 4,188.10	(2,601.53)	(2,601.53)	(2,601.53) 0.00
	04/12/11 04/11/12 Sold	310	14,401.84	28.23	19,854 10,125.31	(4,276.53)	(4,276.53)	(4,276.53) 0.00
	08/09/11 04/11/12 Sold	131	3,202.85	24.44	19,854 2,600.81	(602.04)	(602.04)	(602.04) 0.00
Total		1,540	\$ 51,517.68		\$ 30,574.47	(\$ 20,943.21)	(\$ 20,943.21)	(\$ 20,943.21) \$ 0.00



Morgan Stanley Smith Barney

Reserved Client Financial Management Account April 1 - April 30, 2012

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Ref: 00000011 00002933

RICHARD L KAY TTEE

Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary income
CARBO CERAMICS INC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	03/04/11 04/11/12 Sold	18	\$ 2,208.78	\$ 122.71	94.14 1,694.48	(\$ 514.30)	LT (\$ 514.30)	LT (\$ 514.30) \$ 0.00
CARREFOUR SA-SP ADR Morgan Stanley Smith Barney LLC acted as your agent	12/22/10 04/11/12 Sold	407	3,374.03	8.29	4.18 1,701.22	(1,672.81)	LT (1,672.81)	LT (1,672.81) 0.00
	01/20/11 04/11/12 Sold	203	1,762.04	8.68	4.18 848.52	(913.52)	LT (913.52)	LT (913.52) 0.00
	03/04/11 04/11/12 Sold	179	1,602.05	8.95	4.18 748.20	(853.85)	LT (853.85)	LT (853.85) 0.00
	08/15/11 04/11/12 Sold	124	818.40	6.60	4.18 518.31	(300.09)	ST (300.09)	ST (300.09) 0.00
	08/18/11 04/11/12 Sold	23	147.66	6.42	4.18 96.14	(51.52)	ST (51.52)	ST (51.52) 0.00
	03/05/12 04/11/12 Sold	374	1,836.34	4.91	4.18 1,563.28	(273.06)	ST (273.06)	ST (273.06) 0.00
Total		1,310	\$ 9,540.52		\$ 5,475.67	(\$ 4,064.85)	(\$ 4,064.85)	(\$ 4,064.85) \$ 0.00
CATERPILLAR INC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	01/12/12 04/11/12 Sold	17	1,717.00	101.00	101.90 1,732.26	15.26	ST 15.26	ST 15.26 0.00
CELENE CORP Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	09/21/11 04/11/12 Sold	22	1,430.00	65.00	78.593 1,729.01	299.01	ST 299.01	ST 299.01 0.00
CENTRAIS ELETRICAS BRASILEIRAS SP-ADR SA REP PFD Morgan Stanley Smith Barney LLC acted as your agent	12/22/10 04/11/12 Sold	245	3,882.30	15.84	11.95 2,927.80	(954.50)	LT (954.50)	LT (954.50) 0.00
	01/20/11 04/11/12 Sold	211	3,433.60	16.27	11.95 2,521.50	(912.10)	LT (912.10)	LT (912.10) 0.00
	03/04/11 04/11/12 Sold	126	2,323.04	18.43	11.95 1,505.73	(817.31)	LT (817.31)	LT (817.31) 0.00
	08/15/11 04/11/12 Sold	95	1,298.94	13.67	11.95 1,135.27	(163.67)	ST (163.67)	ST (163.67) 0.00
	09/28/11 04/11/12 Sold	483	5,801.41	12.01	11.95 5,771.96	(29.45)	ST (29.45)	ST (29.45) 0.00
Total		1,160	\$ 16,739.29		\$ 13,862.26	(\$ 2,877.03)	(\$ 2,877.03)	(\$ 2,877.03) \$ 0.00



Ref: 00000011 00002954

RICHARD L KAY TTEE

Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
CENTRAIS ELETRICAS BRASILEIRAS SPON ADR MorganStanley SmithBarney LLC acted as your agent	12/22/10 04/13/12 Sold	318	\$ 4,180.94	\$ 13.14	8.62	(\$ 1,439.84)	LT (\$ 1,439.84)	LT (\$ 1,439.84)
					2,741.10			\$ 0.00
	01/20/11	257	3,484.71	13.55	8.62	(1,269.42)	LT (1,269.42)	LT (1,269.42)
	04/11/12 Sold				2,215.29			0.00
	03/04/11	149	2,203.37	14.78	8.62	(919.02)	LT (919.02)	LT (919.02)
	04/11/12 Sold				1,284.35			0.00
	08/15/11	126	1,332.83	10.57	8.62	(246.74)	ST (246.74)	ST (246.74)
	04/11/12 Sold				1,086.09			0.00
Total		850	\$ 11,201.85		\$ 7,326.83	(\$ 3,875.02)	(\$ 3,875.02)	(\$ 3,875.02)
								\$ 0.00
CERNER CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/01/11 04/25/12 Sold	41	2,061.76	50.28	72.761	921.39	LT 921.39	LT 921.39
					2,983.15			0.00
CHESAPEAKE ENERGY CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/01/11 04/11/12 Sold	432	14,531.32	33.63	20.111	(5,843.30)	LT (5,843.30)	LT (5,843.30)
					8,688.02			0.00
	03/04/11	78	2,609.09	33.44	20.111	(1,040.42)	LT (1,040.42)	LT (1,040.42)
	04/11/12 Sold				1,568.67			0.00
Total		510	\$ 17,140.41		\$ 10,256.69	(\$ 6,883.72)	(\$ 6,883.72)	(\$ 6,883.72)
								\$ 0.00
CHINA RES ENTERPRISES LTD ADR MorganStanley SmithBarney LLC acted as your agent	12/22/10 04/05/12 Sold	1,191	9,980.58	8.38	6.807	(1,873.50)	LT (1,873.50)	LT (1,873.50)
					8,107.08			0.00
	01/20/11	1,331	11,204.73	7.83	6.807	(1,463.99)	LT (1,463.99)	LT (1,463.99)
	04/05/12 Sold				9,740.74			0.00
	03/04/11	1,376	10,545.66	7.66	6.807	(1,179.30)	LT (1,179.30)	LT (1,179.30)
	04/05/12 Sold				9,366.36			0.00
Total		3,998	\$ 31,730.97		\$ 27,214.18	(\$ 4,516.79)	(\$ 4,516.79)	(\$ 4,516.79)
								\$ 0.00
CHURCH & DWIGHT CO INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/20/11 04/11/12 Sold	51	1,783.47	34.97	49.75	753.72	LT 753.72	LT 753.72
					2,537.19			0.00
CINCINNATI FINANCIAL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/01/11 04/13/12 Sold	112	3,759.62	33.56	33.41	(17.79)	LT (17.79)	LT (17.79)
					3,741.83			0.00



Ref: 00000011 00002565

RICHARD L KAY TTEE

Account number 062-71450-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
CITRIX SYSTEMS INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/01/11 04/09/12 Sold	42	\$ 2,861.81	\$ 68.13	75.001 3,192.00	\$ 330.19 LT	\$ 330.19 LT	\$ 330.19 \$ 0.00
	03/04/11 04/09/12 Sold	3	215.15	71.71	76.001 228.00	12.85 LT	12.85 LT	12.85 0.00
Total		45	\$ 3,076.96		\$ 3,420.00	\$ 343.04	\$ 343.04	\$ 343.04 \$ 0.00
CLEAN HARBORS INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11 04/12/12 Sold	49	2,042.17	41.67	66.151 3,241.36	1,199.19 LT	1,199.19 LT	1,199.19 0.00
	01/20/11 04/23/12 Sold	25	1,041.93	41.67	64.03 1,600.71	558.78 LT	558.78 LT	558.78 0.00
	03/04/11 04/23/12 Sold	70	3,240.30	46.29	64.03 4,452.00	1,241.70 LT	1,241.70 LT	1,241.70 0.00
Total		144	\$ 6,324.40		\$ 9,324.07	\$ 2,999.67	\$ 2,999.67	\$ 2,999.67 \$ 0.00
COGNEX CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	10/04/11 04/11/12 Sold	28	673.58	25.90	40.46 1,051.93	378.35 ST	378.35 ST	378.35 0.00
COGNIZANT TECH SOLUTIONS CL A Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	12/22/10 04/11/12 Sold	19	1,388.00	72.94	75.47 1,433.90	47.90 LT	47.90 LT	47.90 0.00
	01/20/11 04/11/12 Sold	83	6,120.57	73.74	75.47 6,263.86	143.29 LT	143.29 LT	143.29 0.00
Total		102	\$ 7,506.57		\$ 7,657.76	\$ 191.19	\$ 191.19	\$ 191.19 \$ 0.00
COMCAST CORP CL A - SPL Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	09/07/11 04/11/12 Sold	442	10,668.48	24.13	28.611 12,645.86	1,976.38 LT	1,976.38 LT	1,976.38 0.00
COMPANHIA SIDERURGICA NACIONAL SPONSORED ADR REPSTG ORD SHS -USD- Morgan Stanley Smith Barney LLC	12/22/10 04/11/12 Sold	324	5,222.27	16.11	9.07 2,938.84	(2,283.63) LT	(2,283.63) LT	(2,283.63) 0.00



Ref: 00000011-00002966

RICHARD L. KAY TTEE

Account number 062-71450-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary income
COMPANHIA ENERGETICA DE MINAS SP ADR	12/22/10	716	\$ 11,690.98	\$ 16.32	24,847	\$ 6,099.56	\$ 6,099.56	\$ 6,099.56
Morgan Stanley Smith Barney LLC acted as your agent	04/13/12 Sold				17,790.55			\$ 0.00
	01/20/11	54	919.62	17.03	24,847	422.13	422.13	422.13
	04/13/12 Sold				1,341.75			0.00
Total		770	\$ 12,610.61		\$ 19,132.30	\$ 6,521.69	\$ 6,521.69	\$ 6,521.69
COMPANHIA DE BEBIDAS DAS AMERS SPONS ADR	01/20/11	150	4,103.70	27.35	41,857	2,174.77	2,174.77	2,174.77
Morgan Stanley Smith Barney LLC acted as your agent	04/30/12 Sold				6,278.47			0.00
	02/28/11	285	7,651.94	26.84	41,857	4,277.15	4,277.15	4,277.15
	04/30/12 Sold				11,929.09			0.00
Total		435	\$ 11,755.64		\$ 18,207.56	\$ 6,451.92	\$ 6,451.92	\$ 6,451.92
COMPUTER SCIENCES CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	08/08/11	190	6,331.37	33.32	27.20	(1,163.49)	(1,163.49)	(1,163.49)
	04/11/12 Sold				5,167.88			0.00
	08/15/11	10	300.50	30.05	27.20	(28.51)	(28.51)	(28.51)
	04/11/12 Sold				271.99			0.00
	12/19/11	230	5,783.53	25.14	27.20	472.33	472.33	472.33
	04/11/12 Sold				6,255.86			0.00
Total		430	\$ 12,415.40		\$ 11,695.73	(\$ 719.67)	(\$ 719.67)	(\$ 719.67)
CONCHO RESOURCES INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/07/11	37	3,918.30	105.90	95.23	(394.86)	(394.86)	(394.86)
	04/11/12 Sold				3,523.44			0.00
	03/04/11	44	4,753.76	108.04	95.23	(563.72)	(563.72)	(563.72)
	04/11/12 Sold				4,190.04			0.00
Total		81	\$ 8,672.06		\$ 7,713.48	(\$ 958.58)	(\$ 958.58)	(\$ 958.58)
CORCEPT THERAPEUTICS INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/03/11	274	1,068.60	3.90	3,722	(48.64)	(48.64)	(48.64)
	04/11/12 Sold				1,019.96			0.00
CORPORATE EXECUTIVE BRO CO Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	07/21/11	59	2,572.23	43.59	39.73	(228.22)	(228.22)	(228.22)
	04/11/12 Sold				2,344.01			0.00
COSTCO WHOLESALE CORP NEW Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	12/22/10	22	1,560.11	72.27	87,203	328.31	328.31	328.31
	04/11/12 Sold				1,918.42			0.00



Morgan Stanley Smith Barney

Reserved Client Financial Management Account April 1 - April 30, 2012

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Account number 062-71460-12 693

RICHARD L. KAY TTEE

Realized gain or loss - continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary income
CRESUD.S A CIFY-A SPONS ADR	01/17/12 Sold 04/11/12 Sold	119 327	\$ 1,386.10 3,802.42	\$ 11.64 11.62	11,234 3,736.81 3,673.43	(\$ 49.29) (128.99) (128.99)	ST ST ST	\$ 0.00 (49.29) (128.99) 0.00
Morgan Stanley Smith Barney LLC acted as your agent								
	03/05/12 04/11/12 Sold	60	761.39	12.68	11,234 674.03	(87.36) (87.36)	ST ST	(87.36) 0.00
Total		506	\$ 5,949.91		\$ 5,684.27	(\$ 265.64)		(\$ 265.64) \$ 0.00
DAWA SECURITIES GR SPONS ADR	12/22/10 04/10/12 Sold	576	2,998.83	5.20	3.77 2,171.47	(827.36) (827.36)	LT LT	(827.36) 0.00
Morgan Stanley Smith Barney LLC acted as your agent								
	01/20/11 04/10/12 Sold	169	880.49	5.21	3.77 637.11	(243.38) (243.38)	LT LT	(243.38) 0.00
DAWA SECURITIES GR SPONS ADR	01/20/11 04/11/12 Sold	1,035	5,392.35	5.21	3.76 3,891.51	(1,500.84) (1,500.84)	LT LT	(1,500.84) 0.00
Morgan Stanley Smith Barney LLC acted as your agent								
	03/04/11 04/11/12 Sold	908	4,726.14	5.20	3.76 3,414.00	(1,312.14) (1,312.14)	LT LT	(1,312.14) 0.00
	08/15/11 04/11/12 Sold	307	1,246.42	4.06	3.76 1,154.30	(92.12) (92.12)	ST ST	(92.12) 0.00
Total		2,995	\$ 15,244.23		\$ 11,268.39	(\$ 3,975.84)		(\$ 3,975.84) \$ 0.00
DEAN FOODS CO-NEW Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11 04/11/12 Sold	169	1,578.81	9.86	11,541 1,846.68	268.05 268.05	LT LT	268.05 0.00
	03/04/11 04/11/12 Sold	294	2,927.48	9.95	11,541 3,390.24	465.76 465.76	LT LT	465.76 0.00
	08/12/11 04/11/12 Sold	356	3,049.78	8.56	11,541 4,108.83	1,059.05 1,059.05	ST ST	1,059.05 0.00
Total		810	\$ 7,556.07		\$ 9,348.73	\$ 1,792.86		\$ 1,792.86 \$ 0.00
DECKERS OUTDOOR CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/01/11 04/27/12 Sold	9	754.47	83.83	51,812 456.30	(288.17) (288.17)	LT LT	(288.17) 0.00
	03/04/11 04/27/12 Sold	15	1,301.77	86.78	51,812 777.16	(524.61) (524.61)	LT LT	(524.61) 0.00
Total		24	\$ 2,056.24		\$ 1,243.46	(\$ 812.78)		(\$ 812.78) \$ 0.00
DEMANDWARE INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/22/12 04/11/12 Sold	12	305.87	25.48	26.57 318.83	12.96 12.96	ST ST	12.96 0.00



MorganStanley
SmithBarney

Reserved Client
Financial Management Account
April 1 - April 30, 2012

Ref: 00000011-00002968

RICHARD L KAY TTEE

Account number: 052-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
DENTSPLY INTL INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	01/20/11 04/11/12 Sold	18	\$ 648.18	\$ 36.01	38.91 700.36	\$ 52.18 LT	\$ 52.18 LT	\$ 52.18 \$ 0.00
DICKS SPORTING GOODS INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	12/22/10 04/11/12 Sold	36	1,355.72	37.65	48.85 1,758.56	402.84 LT	402.84 LT	402.84 0.00
DR. PEPPER SNAPPLE GROUP INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	04/20/11 04/11/12 Sold	41	1,437.37	35.05	48.85 2,002.81	565.44 LT	565.44 LT	565.44 0.00
Total:		77	\$ 2,793.09		\$ 3,761.37	\$ 968.28	\$ 968.28	\$ 968.28 \$ 0.00
DIGITAL REALTY TR INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	12/22/10 04/11/12 Sold	190	9,431.18	49.63	71,687 13,620.30	4,189.12 LT	4,189.12 LT	4,189.12 0.00
DR. PEPPER SNAPPLE GROUP INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	06/01/11 04/24/12 Sold	25	1,027.00	41.08	40,303 1,007.55	(19.45) ST	(19.45) ST	(19.45) 0.00
EAST JAPAN RY CO-JPY MorganStanley SmithBarney LLC acted as your agent In this transaction.	03/18/11 04/11/12 Sold	1,051	9,456.58	8.99	10,31 10,835.57	1,378.99 LT	1,378.99 LT	1,378.99 0.00
ECOLAB INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	04/11/11 04/11/12 Sold	794	7,160.21	9.01	10,31 8,185.95	1,025.74 ST	1,025.74 ST	1,025.74 0.00
Total:		1,845	\$ 16,616.79		\$ 19,021.52	\$ 2,404.73	\$ 2,404.73	\$ 2,404.73 \$ 0.00
ECOLAB INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	01/20/11 04/11/12 Sold	64	3,176.72	49.63	60.30 3,859.11	682.39 LT	682.39 LT	682.39 0.00
ELECTRICITE DE FRANCE-EUR MorganStanley SmithBarney LLC acted as your agent In this transaction.	12/22/10 04/11/12 Sold	1,615	13,404.50	8.30	4.30 6,944.83	(6,459.67) LT	(6,459.67) LT	(6,459.67) 0.00
ECOLAB INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	01/20/11 04/11/12 Sold	1,182	10,373.35	8.77	4.30 5,082.84	(5,290.51) LT	(5,290.51) LT	(5,290.51) 0.00
ECOLAB INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	03/04/11 04/11/12 Sold	845	7,376.85	8.73	4.30 3,633.67	(3,743.18) LT	(3,743.18) LT	(3,743.18) 0.00
ECOLAB INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	03/16/11 04/11/12 Sold	1,289	9,912.41	7.69	4.30 5,542.96	(4,369.45) LT	(4,369.45) LT	(4,369.45) 0.00
ECOLAB INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	04/07/11 04/11/12 Sold	454	3,560.36	7.84	4.30 1,952.29	(1,608.07) LT	(1,608.07) LT	(1,608.07) 0.00



Ref: 00000011 00002969

RICHARD L KAY TTEE Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
ELECTRICITE DE FRANCE-EUR Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	08/15/11 04/11/12 Sold	869	\$ 5,474.70	\$ 6.30	4.30 3,766.88	(\$ 1,737.82)	ST (\$ 1,737.82)	ST (\$ 1,737.82) \$ 0.00
	03/05/12 04/11/12 Sold	1,596	10,179.60	5.10	4.30 8,583.21	(1,596.39)	ST (1,596.39)	ST (1,596.39) 0.00
Total		8,250	\$ 60,281.77		\$ 35,476.68	(\$ 24,805.09)	(\$ 24,805.09)	(\$ 24,805.09) \$ 0.00
ENDOLOGIX INC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	07/20/11 04/11/12 Sold	307	2,952.66	9.61	13.501 4,144.89	1,192.23	ST 1,192.23	ST 1,192.23 0.00
ENTERPRISE PRODS PARTNERS-LP Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	03/01/11 04/11/12 Sold	229	9,907.78	43.26	49.327 11,295.74	1,387.96	LT 1,387.96	LT 1,387.96 0.00
EQUIFAX INC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	03/01/11 04/11/12 Sold	178	6,316.86	35.48	43.392 7,723.69	1,406.83	LT 1,406.83	LT 1,406.83 0.00
EXELON CORP Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	01/31/12 04/11/12 Sold	236	9,387.07	39.77	38.232 9,022.66	(364.41)	ST (364.41)	ST (364.41) 0.00
FMC TECHNOLOGIES INC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	01/20/11 04/11/12 Sold	108	4,818.10	42.76	47.332 5,111.79	493.69	LT 493.69	LT 493.69 0.00
FACTSET RESEARCH SYSTEMS INC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	12/22/10 04/11/12 Sold	9	855.12	95.01	97.29 875.59	20.47	LT 20.47	LT 20.47 0.00
	01/20/11 04/11/12 Sold	6	578.88	96.48	97.29 583.72	4.84	LT 4.84	LT 4.84 0.00
Total		45	\$ 1,434.00		\$ 1,459.31	\$ 25.31	\$ 25.31	\$ 25.31 \$ 0.00

Morgan Stanley
Smith Barney

Reserved Client
Financial Management Account
April 1 - April 30, 2012

Ref: 0000011-00002970

RICHARD L KAY TTEE

Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary income
FEDERATED INVS INC PA OL B Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/01/11 04/11/12 Sold	139	3,851.68	27.70	21.20 2,946.73	(904.95)	(904.95) LT	(904.95) 0.00
FIDELITY NATL INFORMATION SVCS INC	12/22/10 04/23/12 Sold	80	2,183.70	27.29	32.816 2,625.27	441.57	441.57 LT	441.57 0.00
Morgan Stanley Smith Barney LLC acted as your agent	01/13/11 04/23/12 Sold	46	1,370.34	29.79	32.816 1,509.53	139.19	139.19 LT	139.19 0.00
Total		126	\$ 3,554.04		\$ 4,134.80	\$ 580.76	\$ 580.76	\$ 580.76 \$ 0.00
FINMECCANICA SPA-EUR Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	08/23/11 04/11/12 Sold	1,780	5,933.10	3.33	2.23 3,969.31	(1,963.79)	(1,963.79) ST	(1,963.79) 0.00
	01/23/12 04/11/12 Sold	1,370	3,123.60	2.28	2.23 3,055.03	(68.37)	(68.37) ST	(68.37) 0.00
Total		3,150	\$ 9,056.70		\$ 7,024.34	(\$ 2,032.36)	(\$ 2,032.36)	(\$ 2,032.36) \$ 0.00
FISERV INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11 04/11/12 Sold	27	1,673.73	61.99	66.31 1,844.32	170.59	170.59 LT	170.59 0.00



Morgan Stanley
Smith Barney

Reserved Client
Financial Management Account
April 1 - April 30, 2012

Ref: 00000011 00002971

RICHARD L KAY TTEE

Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary income
FOREST LABORATORIES INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11 04/11/12 Sold	90	\$ 2,875.32	\$ 31.94	33,593 3,023.30	\$ 147.98	\$ 147.98	\$ 0.00
	03/03/11 04/11/12 Sold	84	2,722.41	32.40	33,593 2,821.75	99.34	99.34	99.34
	03/04/11 04/11/12 Sold	42	1,362.48	32.44	33,593 1,410.87	48.39	48.39	48.39
Total		216	\$ 6,960.21		\$ 7,255.92	\$ 295.71	\$ 295.71	\$ 295.71
FOSSIL INC. Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	02/10/12 04/11/12 Sold	8	802.73	100.34	132.90 1,063.17	260.44	260.44	260.44
						0.00		0.00
GAMESTOP CORP NEW CL A Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11 04/11/12 Sold	57	1,196.43	20.99	21.28 1,212.93	16.50	16.50	16.50
	03/04/11 04/11/12 Sold	25	500.43	20.01	21.28 531.89	31.56	31.56	31.56
Total		82	\$ 1,696.86		\$ 1,744.82	\$ 48.06	\$ 48.06	\$ 48.06
GAZPROM OAO SPONS ADR Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11 04/11/12 Sold	1,376	17,815.52	13.02	11.80 16,236.43	(1,679.09)	(1,679.09)	(1,679.09)
	03/04/11 04/11/12 Sold	36	546.12	15.17	11.80 424.79	(121.33)	(121.33)	(121.33)
Total		1,412	\$ 18,461.64		\$ 16,661.22	(\$ 1,800.42)	(\$ 1,800.42)	(\$ 1,800.42)
GENESSEE & WYOMING INC CLASS A Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/30/11 04/11/12 Sold	35	2,057.34	\$9.78	52.05 1,821.70	D	0.00	0.00
GEORESOURCES INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	10/20/11 04/11/12 Sold	36	810.84	22.51	30.62 1,102.29	291.65	291.65	291.65
GEORESOURCES INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	10/20/11 04/30/12 Sold	96	2,161.72	22.51	37.951 3,643.26	1,481.54	1,481.54	1,481.54
	11/14/11 04/30/12 Sold	100	2,601.67	26.01	37.951 3,795.06	1,193.39	1,193.39	1,193.39
Total		232	\$ 5,574.03		\$ 6,540.61	\$ 2,966.58	\$ 2,966.58	\$ 2,966.58
						\$ 0.00		\$ 0.00



Ref: 00000011 00002972

RICHARD L KAY TTEE

Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss) Ordinary Income
GLOBAL PAYMENTS INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	06/14/11 04/11/12 Sold	57	\$ 2,831.76	\$ 49.68	44.42 2,631.88	(\$ 299.88) ST	(\$ 299.88) ST	\$ 0.00
GOLD FIELDS LTD SPONS ADR MorganStanley SmithBarney LLC acted as your agent In this transaction.	01/20/11 04/11/12 Sold	252	4,144.90	16.44	12,792 3,223.51	(921.39) LT	(921.39) LT	(921.39) 0.00
GREEN MOUNTAIN COFFEE ROASTER INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	03/04/11 04/11/12 Sold	288	5,050.84	17.53	12,792 3,694.01	(1,366.93) LT	(1,366.93) LT	(1,366.93) 0.00
Total		540	\$ 9,185.84		\$ 6,907.52	(\$ 2,288.32)	(\$ 2,288.32)	(\$ 2,288.32) \$ 0.00
GRACO INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	03/01/11 04/11/12 Sold	90	3,604.32	40.04	52.03 4,692.59	1,078.27 LT	1,078.27 LT	1,078.27 0.00
GREEN MOUNTAIN COFFEE ROASTER INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	03/04/11 04/11/12 Sold	24	996.64	41.52	42.94 1,030.53	33.89 LT	33.89 LT	33.89 0.00
GUIDEWIRE SOFTWARE INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	01/26/12 04/11/12 Sold	68	1,185.77	17.43	27.65 1,880.15	694.38 ST	694.38 ST	694.38 0.00
GUOCO GROUP LTD UNSPON ADR MorganStanley SmithBarney LLC acted as your agent In this transaction.	12/22/10 04/11/12 Sold	262	6,584.06	25.13	17.02 4,459.14	(2,124.92) LT	(2,124.92) LT	(2,124.92) 0.00
GUOCO GROUP LTD UNSPON ADR MorganStanley SmithBarney LLC acted as your agent In this transaction.	01/20/11 04/11/12 Sold	177	4,529.43	25.59	17.02 3,012.47	(1,516.96) LT	(1,516.96) LT	(1,516.96) 0.00
GUOCO GROUP LTD UNSPON ADR MorganStanley SmithBarney LLC acted as your agent In this transaction.	03/04/11 04/11/12 Sold	112	2,884.00	25.75	17.02 1,906.20	(977.80) LT	(977.80) LT	(977.80) 0.00
GUOCO GROUP LTD UNSPON ADR MorganStanley SmithBarney LLC acted as your agent In this transaction.	03/03/12 04/11/12 Sold	76	1,558.00	20.50	17.02 1,293.49	(264.51) ST	(264.51) ST	(264.51) 0.00
Total		627	\$ 15,555.49		\$ 10,671.30	(\$ 4,884.19)	(\$ 4,884.19)	(\$ 4,884.19) \$ 0.00
HCC INSURANCE HOLDINGS INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	01/20/11 04/09/12 Sold	109	3,235.94	29.68	31.22 3,402.90	166.96 LT	166.96 LT	166.96 0.00



Morgan Stanley
Smith Barney

Reserved Client Financial Management Account April 1 - April 30, 2012

Ref: 000000113 00002973

RICHARD L KAY TTEE

Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing/Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary income
HESS CORP Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	01/20/11 04/27/12 Sold	231	\$ 18,286.93	\$ 79.16	51,364 11,864.89	(\$ 6,422.04)	LT (\$ 6,422.04)	LT (\$ 6,422.04)
	02/25/11 04/27/12 Sold	161	13,604.63	84.50	51,364 8,269.47	(\$ 5,335.16)	LT (\$ 5,335.16)	LT (\$ 5,335.16)
	03/04/11 04/27/12 Sold	202	16,987.35	84.09	51,364 10,375.38	(\$ 6,611.99)	LT (\$ 6,611.99)	LT (\$ 6,611.99)
	08/15/11 04/27/12 Sold	121	7,259.76	59.99	51,364 6,214.94	(\$ 1,044.82)	ST (\$ 1,044.82)	ST (\$ 1,044.82)
	04/11/12 04/27/12 Sold	170	9,444.69	55.55	51,364 8,731.73	(\$ 712.96)	ST (\$ 712.96)	ST (\$ 712.96)
Total		885	\$ 65,583.36		\$ 45,456.39	(\$ 20,126.97)	(\$ 20,126.97)	(\$ 20,126.97)
HOLOGIC INC COMMON STOCK Morgan Stanley Smith Barney LLC acted as your agent	07/21/11 04/04/12 Sold	5	116.72 X	15.48	21,931 109.85	(7.07)	ST (7.07)	ST (7.07)
	07/21/11 04/04/12 Sold	1	22.37 X	15.48	21,931 21.93	(.44)	ST (.44)	ST (.44)
	07/21/11 04/24/12 Sold	126	2,818.79 X	15.48	20,351 2,564.23	(\$ 254.56)	ST (\$ 254.56)	ST (\$ 254.56)
	07/21/11 04/24/12 Sold	5	94.27 X	15.48	20,351 101.76	7.49	ST 7.49	ST 7.49
	11/02/11 04/24/12 Sold	17	263.31	15.48	20,351 345.96	82.65	ST 82.65	ST 82.65
Total		154	\$ 3,315.46		\$ 3,143.53	(\$ 171.93)	(\$ 171.93)	(\$ 171.93)
HOME DEPOT INC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	06/13/11 04/11/12 Sold	318	10,691.10	33.61	49,773 15,827.45	5,136.35	ST 5,136.35	ST 5,136.35
IRSA INVERSIONES Y GDR Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	02/07/12 04/11/12 Sold	310	3,242.76	10.46	8,784 2,722.98	(\$ 519.78)	ST (\$ 519.78)	ST (\$ 519.78)
	03/09/12 04/11/12 Sold	285	3,174.33	11.13	8,784 2,503.38	(\$ 670.95)	ST (\$ 670.95)	ST (\$ 670.95)
Total		595	\$ 6,417.09		\$ 5,226.36	(\$ 1,190.73)	(\$ 1,190.73)	(\$ 1,190.73)
ILLINOIS TOOL WORKS INC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	01/27/11 04/11/12 Sold	42	2,315.54	55.13	55.35 2,324.64	9.10	LT 9.10	LT 9.10



**Morgan Stanley
Smith Barney**

**Reserved Client
Financial Management Account**
April 1 - April 30, 2012

RICHARD L KAY TTEE

Account number: 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
IMPALA PLATINUM HOLDINGS LTD SPONSORED ADR Morgan Stanley Smith Barney LLC acted as your agent	07/19/11 04/11/12 Sold	245	\$ 8,372.38	\$ 28.00	18.64 4,566.70	(\$ 1,805.68)	ST (\$ 1,805.68)	ST \$ 0.00
	02/05/12 04/11/12 Sold	52	1,127.88	21.69	18.64 969.26	(158.62)	ST (158.62)	ST 9.00
	03/30/12 04/11/12 Sold	658	13,257.71	20.14	18.64 12,264.94	(992.87)	ST (992.87)	ST 0.00
Total		965	\$ 20,757.97		\$ 17,800.80	(\$ 2,957.17)	(\$ 2,957.17)	(\$ 2,957.17)
INDUSTRIAS BACHOCO S A B DE C.V ADR -NEW EACH REPT 12 SER B SHS Morgan Stanley Smith Barney LLC	10/04/11 04/11/12 Sold	255	\$ 5,589.34	21.95	19.658 5,012.68	(586.66)	ST (586.66)	ST 0.00
	03/05/12 04/11/12 Sold	60	1,229.40	20.49	19.658 1,179.45	(49.95)	ST (49.95)	ST 0.00
Total		315	\$ 6,828.74		\$ 6,192.13	(\$ 636.61)	(\$ 636.61)	(\$ 636.61)
INGRAM MICRO INC GLASS A Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	11/25/11 04/11/12 Sold	330	\$ 7,065.03	17.29	18.492 6,102.35	396.32	ST 396.32	ST 0.00
	03/01/11 04/11/12 Sold	681	14,545.41	21.35	27.953 19,035.56	4,490.15	LT 4,490.15	LT 4,490.15
INTEL CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/01/11 04/04/12 Sold	17	2,720.41	160.02	206.037 3,502.55	782.14	LT 782.14	LT 782.14
	03/01/11 04/04/12 Sold	10	1,657.47	161.29	206.037 2,060.32	402.85	LT 402.85	LT 402.85
	03/04/11 04/04/12 Sold	50	8,064.89	161.29	206.037 10,301.63	2,236.74	LT 2,236.74	LT 2,236.74
	03/04/11 04/05/12 Sold	63	10,161.76	161.29	205.204 12,927.61	2,765.85	LT 2,765.85	LT 2,765.85
Total		140	\$ 22,604.53		\$ 28,792.11	\$ 6,187.58	\$ 6,187.58	\$ 6,187.58
INTUITIVE SURGICAL INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/18/11 04/11/12 Sold	4	1,175.70	293.92	538.76 2,155.07	979.37	LT 979.37	LT 979.37
	01/20/11 04/11/12 Sold	2	576.43	288.21	538.76 1,077.53	501.10	LT 501.10	LT 501.10
Total		6	\$ 1,752.13		\$ 3,232.60	\$ 1,480.47	\$ 1,480.47	\$ 1,480.47
								\$ 0.00



Ref: 00000011-00002975

**Morgan Stanley
Smith Barney**

**Reserved Client
Financial Management Account**
April 1 - April 30, 2012

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Account number 062-71460-12 693

RICHARD L KAY-TTEE

Realized gain or loss - Continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
IROBOT CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	05/03/11	55	\$ 1,838.12	\$ 33.38	24.412	(\$ 493.49)	ST (\$ 493.49)	\$ 0.00
	04/11/12 Sold				1,342.63			
	08/03/11	98	3,039.96	31.02	24.412	(647.64)	ST (647.64)	0.00
	04/11/12 Sold				2,392.32			
Total		153	\$ 4,878.08		\$ 3,734.95	(\$ 1,141.13)	(\$ 1,141.13)	(\$ 1,141.13)
IVANHOE MINES LIMITED-CAD Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	02/17/12	385	6,398.62	16.61	13.203	(1,315.32)	ST (1,315.32)	0.00
	04/11/12 Sold				5,083.30			
	12/20/10	132	5,575.64	42.27	44.04	233.51	LT 233.51	233.51
	04/11/12 Sold				5,813.15			0.00
JPMORGAN CHASE & CO Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	07/20/11	375	16,743.00	44.64	44.04	(228.37)	LT (228.37)	0.00
	04/11/12 Sold				16,514.63			
	03/04/11	178	8,077.37	45.37	44.04	(238.43)	LT (238.43)	0.00
	04/11/12 Sold				7,838.94			
Total		685	\$ 30,400.01		\$ 30,166.72	(\$ 233.29)	(\$ 233.29)	(\$ 233.29)
RUSHYDRO-SP ADR Morgan Stanley Smith Barney LLC acted as your agent	02/15/11	549	2,302.55	5.10	3.49	(886.68)	LT (886.68)	0.00
	04/11/12 Sold				1,915.97			
	02/15/11	476	2,441.50 X	5.10	3.49	(780.30)	LT (780.30)	0.00
	04/11/12 Sold				1,661.20			
	02/18/11	573	2,924.65	5.10	3.49	(924.93)	LT (924.93)	0.00
	04/11/12 Sold				1,998.72			
	03/02/11	77	408.10	5.30	3.49	(139.38)	LT (139.38)	0.00
	04/11/12 Sold				268.72			
	03/03/11	509	2,668.64	5.24	3.49	(892.27)	LT (892.27)	0.00
	04/11/12 Sold				1,776.37			
	03/04/11	1,235	6,471.40	5.24	3.49	(2,161.35)	LT (2,161.35)	0.00
	04/11/12 Sold				4,370.05			
	04/01/11	760	3,852.00	5.20	3.49	(1,299.66)	LT (1,299.66)	0.00
	04/11/12 Sold				2,692.34			
	08/09/11	1,376	6,765.44	4.19	3.49	(963.31)	ST (963.31)	0.00
	04/11/12 Sold				4,802.13			
	08/15/11	155	686.50	4.30	3.49	(125.55)	ST (125.55)	0.00
	04/11/12 Sold				540.85			
Total		6,710	\$ 28,100.88		\$ 19,927.45	(\$ 8,173.43)	(\$ 8,173.43)	(\$ 8,173.43)



Ref: 00000011 0002978

RICHARD L KAY TTEE

Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
JAPAN ST.LVKS LTD ADR	04/14/13	139	\$ 10,914.28	\$ 76.52	8,668.45	(\$ 2,247.83)	ST (\$ 2,247.83)	ST (\$ 2,247.83)
Morgan Stanley Smith Barney LLC acted as your agent	04/11/12 Sold	99	6,916.68	69.66	6,172.51	(744.17)	ST (744.17)	ST (744.17)
Total		238	\$ 17,830.96		\$ 14,838.96	(\$ 2,992.00)	(\$ 2,992.00)	(\$ 2,992.00)
KT-CORP SPONSORED ADR Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	12/22/10	160	3,391.41	21.19	13.69	(1,201.06)	LT (1,201.06)	LT (1,201.06)
	04/11/12 Sold				2,190.35			0.00
	01/20/11	110	2,178.95	19.80	13.69	(673.08)	LT (673.08)	LT (673.08)
	04/11/12 Sold				1,505.87			0.00
	03/04/11	93	1,807.87	19.43	13.69	(534.73)	LT (534.73)	LT (534.73)
	04/11/12 Sold				1,273.14			0.00
	05/23/11	167	3,323.27	19.89	13.69	(1,037.09)	ST (1,037.09)	ST (1,037.09)
	04/11/12 Sold				2,286.18			0.00
	08/15/11	27	436.32	16.16	13.69	(66.70)	ST (66.70)	ST (66.70)
	04/11/12 Sold				369.62			0.00
	01/26/12	408	5,287.57	15.41	13.69	(702.18)	ST (702.18)	ST (702.18)
	04/11/12 Sold				5,585.39			0.00
	03/05/12	145	2,106.49	14.52	13.69	(121.49)	ST (121.49)	ST (121.49)
	04/11/12 Sold				1,985.00			0.00
Total		1,110	\$ 19,531.88		\$ 15,195.55	(\$ 4,336.33)	(\$ 4,336.33)	(\$ 4,336.33)
KINDER MORGAN ENERGY PARTNERS LP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/01/11	122	8,883.61	72.81	81,052	1,004.50	LT 1,004.50	LT 1,004.50
	04/11/12 Sold				9,888.11			0.00
KINROSS GOLD CORP CAD (NEW) Morgan Stanley Smith Barney LLC acted as your agent	12/22/10	282	5,202.34	18.44	9,182	(2,613.07)	LT (2,613.07)	LT (2,613.07)
	04/11/12 Sold				2,589.27			0.00
	01/20/11	404	6,871.23	17.00	9,182	(3,161.79)	LT (3,161.79)	LT (3,161.79)
	04/11/12 Sold				3,709.44			0.00
	03/04/11	259	4,047.29	15.62	9,182	(1,669.21)	LT (1,669.21)	LT (1,669.21)
	04/11/12 Sold				2,378.08			0.00
	11/28/11	215	2,850.75	13.25	9,182	(876.66)	ST (876.66)	ST (876.66)
	04/11/12 Sold				1,974.09			0.00
	01/18/12	290	3,033.40	10.46	9,182	(370.68)	ST (370.68)	ST (370.68)
	04/11/12 Sold				2,662.72			0.00
Total		1,450	\$ 22,005.01		\$ 13,313.60	(\$ 8,691.41)	(\$ 8,691.41)	(\$ 8,691.41)



Morgan Stanley Smith Barney

Reserved Client Financial Management Account April 1 - April 30, 2012

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Ref: 00000011 00002977

RICHARD L KAY TTEE

Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary income
KONICA MINOLTA HLDGS UNSPON ADR Morgan Stanley Smith Barney LLC acted as your agent	03/04/11 04/26/12 Sold	3,969	\$ 74,793.82	\$ 18.84	63,814.13	(\$ 10,979.69)	LT (\$ 10,979.69)	LT (\$ 10,979.69)
	04/11/12	537	8,806.80	16.40	8,833.96	(172.84)	ST (172.84)	ST (172.84)
	04/26/12 Sold							
Total		4,506	\$ 83,600.62		\$ 72,648.09	(\$ 11,152.53)	(\$ 11,152.53)	(\$ 11,152.53)
KOREA ELEC PWR CORP SP ADR Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	12/23/10 04/11/12 Sold	622	8,347.24	13.42	9,601	(2,375.49)	LT (2,375.49)	LT (2,375.49)
	01/23/11	208	2,647.82	12.72	5,971.75	(650.84)	LT (650.84)	LT (650.84)
	04/11/12 Sold				1,996.98			
	02/02/11	67	875.72	13.07	9,601	(232.46)	LT (232.46)	LT (232.46)
	04/11/12 Sold				643.26			
Total		897	\$ 11,870.78		\$ 8,611.99	(\$ 3,258.79)	(\$ 3,258.79)	(\$ 3,258.79)
KROGER CO Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	02/29/12 04/11/12 Sold	405	9,671.36	23.87	23,503	(152.86)	ST (152.86)	ST (152.86)
	03/09/11	94	4,043.55	43.01	56,418	1,259.70	LT 1,259.70	LT 1,259.70
	04/11/12 Sold				5,303.25			
LAS VEGAS SANDS CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	02/14/11 04/11/12 Sold	53	2,546.12	48.04	60,723	672.15	LT 672.15	LT 672.15
	02/17/11	13	636.83	48.98	60,723	152.56	LT 152.56	LT 152.56
	04/11/12 Sold				789.39			
Total		66	\$ 3,182.95		\$ 4,007.65	\$ 824.71	\$ 824.71	\$ 824.71
ELI LILLY & CO Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/04/11 04/10/12 Sold	80	2,758.24	34.47	39,902	433.84	LT 433.84	LT 433.84
	03/04/11	275	9,481.45	34.47	39,564	1,398.41	LT 1,398.41	LT 1,398.41
	04/11/12 Sold				10,879.85			
ELI LILLY & CO Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	06/07/11 04/11/12 Sold	220	8,255.48	37.52	39,564	448.40	ST 448.40	ST 448.40
	04/11/12 Sold				8,703.88			
Total		575	\$ 20,495.17		\$ 22,775.82	\$ 2,280.65	\$ 2,280.65	\$ 2,280.65



Ref: 00000011: 00002978

RICHARD L KAY TTEE

Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary income
LINCOLN ELECTRIC CO HOLDINGS INC (NEW) Morgan Stanley Smith Barney LLC acted as your agent	03/01/11 04/11/12 Sold	130	\$ 4,533.62	\$ 34.87	43,985 5,717.92	\$ 1,184.30 LT	\$ 1,184.30 LT	\$ 1,184.30 \$ 0.00
MS&AD INSURANCE UNSPON ADR Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11 04/11/12 Sold	389	4,757.47	12.23	9.74 3,788.77	(968.70) LT	(968.70) LT	(968.70) 0.00
MARINE HARVEST UNSPON ADR Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	02/07/11 04/11/12 Sold	222	2,685.75	12.14	9.74 2,162.23	(533.52) LT	(533.52) LT	(533.52) 0.00
	03/04/11 04/11/12 Sold	319	4,121.10	12.91	9.74 3,106.99	(1,014.11) LT	(1,014.11) LT	(1,014.11) 0.00
Total		930	\$ 11,574.32		\$ 9,057.99	(\$ 2,516.33)	(\$ 2,516.33)	(\$ 2,516.33) \$ 0.00
	08/18/11 04/11/12 Sold	505	5,512.58	10.91	9.50 4,797.39	(715.19) ST	(715.19) ST	(715.19) 0.00
	09/29/11 04/11/12 Sold	320	3,065.86	9.58	9.50 3,039.93	(25.93) ST	(25.93) ST	(25.93) 0.00
	03/01/12 04/11/12 Sold	85	905.25	10.65	9.50 807.48	(97.77) ST	(97.77) ST	(97.77) 0.00
Total		910	\$ 9,483.69		\$ 8,644.80	(\$ 838.89)	(\$ 838.89)	(\$ 838.89) \$ 0.00
MAXIM INTEGRATED PRODS INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	08/01/11 04/11/12 Sold	180	4,818.24	26.76	27,392 4,930.49	112.25 LT	112.25 LT	112.25 0.00
MICROS SYSTEMS INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11 04/11/12 Sold	61	2,738.46	44.86	54.00 3,293.92	557.46 LT	557.46 LT	557.46 0.00
MICROSOFT CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/01/11 04/11/12 Sold	596	15,608.70	26.18	30.37 18,100.11	2,491.41 LT	2,491.41 LT	2,491.41 0.00
MICRON TECHNOLOGY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/04/11 04/11/12 Sold	116	1,349.85	11.63	7.273 843.65	(508.20) LT	(508.20) LT	(508.20) 0.00
	06/24/11 04/11/12 Sold	245	1,795.85	7.33	7.273 1,781.84	(14.01) ST	(14.01) ST	(14.01) 0.00
Total		361	\$ 3,145.70		\$ 2,625.49	(\$ 520.21)	(\$ 520.21)	(\$ 520.21) \$ 0.00



Morgan Stanley Smith Barney

Reserved Client Financial Management Account April 1 - April 30, 2012

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Ref: 000000011 00002979

Account number 052-71460-12 693

RICHARD L KAY TTEE

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
WITSUI & CO LTD ADR Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/04/11 04/11/12 Sold	5	\$ 1,850.00	\$ 370.00	311.76 1,558.76	(\$ 291.24) LT	(\$ 291.24) LT	(\$ 291.24) \$ 0.00
	05/23/11 04/11/12 Sold	22	7,145.16	324.78	311.76 6,858.57	(286.59) ST	(286.59) ST	(286.59) 0.00
Total		27	\$ 8,995.16		\$ 8,417.33	(\$ 577.83)	(\$ 577.83)	(\$ 577.83)
MONMOUTH REAL EST INV CORP CLA Morgan Stanley Smith Barney LLC acted as your agent	12/22/10 04/11/12 Sold	299	2,563.63	8.57	9,338 2,791.99	228.36 LT	228.36 LT	228.36 0.00
MONSANTO CO NEW Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	07/07/11 04/11/12 Sold	30	2,233.71	74.45	76,361 2,290.79	57.08 ST	57.08 ST	57.08 0.00
	02/14/11 04/11/12 Sold	27	2,023.75	74.95	76,361 2,061.72	37.97 ST	37.97 ST	37.97 0.00
Total		57	\$ 4,257.46		\$ 4,352.51	\$ 95.05	\$ 95.05	\$ 95.05
NIU HLDS INC CLASS B NEW Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/09/11 04/11/12 Sold	92	3,526.53	38.33	19,002 1,748.14	(1,778.39) LT	(1,778.39) LT	(1,778.39) 0.00
	08/15/11 04/11/12 Sold	68	2,561.41	37.66	19,002 1,292.11	(1,269.30) ST	(1,269.30) ST	(1,269.30) 0.00
	08/22/11 04/11/12 Sold	78	2,893.87	37.10	19,002 1,482.12	(1,411.75) ST	(1,411.75) ST	(1,411.75) 0.00
	10/31/11 04/11/12 Sold	127	3,070.66	24.17	19,002 2,413.20	(657.46) ST	(657.46) ST	(657.46) 0.00
	03/05/12 04/11/12 Sold	200	3,468.00	17.34	19,002 3,800.31	332.31 ST	332.31 ST	332.31 0.00
Total		565	\$ 15,520.47		\$ 10,735.88	(\$ 4,784.59)	(\$ 4,784.59)	(\$ 4,784.59)
NTT DOCOMO INC SPONS ADR Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11 04/11/12 Sold	197	3,508.08	17.80	16,762 3,302.04	(206.04) LT	(206.04) LT	(206.04) 0.00
	03/04/11 04/11/12 Sold	133	2,510.36	18.87	16,762 2,229.30	(281.06) LT	(281.06) LT	(281.06) 0.00
	03/05/12 04/11/12 Sold	47	710.83	17.33	16,762 687.22	(23.61) ST	(23.61) ST	(23.61) 0.00
Total		377	\$ 6,729.27		\$ 6,218.56	(\$ 510.71)	(\$ 510.71)	(\$ 510.71)



Ref: 00000011 00002980

RICHARD L KAY TTEE

Account number 062-71460-12.693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary income
NATIONAL AUSTRALIA BK LTD SPONSORED ADR -USD- Morgan Stanley Smith Barney LLC acted as your agent	12/22/10 04/11/12 Sold	911	\$ 22,072.71	\$ 24.22	22,883.80	\$ 811.09 LT	\$ 811.09 LT	\$ 811.09 \$ 0.00
NATIONAL GRID PLC GBP SPONS-ADR NEW Morgan Stanley Smith Barney LLC acted as your agent	12/22/10 04/11/12 Sold	106	4,727.39	44.59	51,002 5,406.15	678.76 LT	678.76 LT	678.76 0.00
NEWCREST MINING LTD SPON ADR Morgan Stanley Smith Barney LLC acted as your agent	12/22/10 04/11/12 Sold 01/20/11 04/11/12 Sold	284 210	11,382.72 7,644.92	40.08 36.40	29.56 29.56 8,395.11 6,207.65	(2,987.61) LT (1,437.27) LT	(2,987.61) LT (1,437.27) LT	(2,987.61) (1,437.27) 0.00 0.00
	03/04/11 04/11/12 Sold	126	5,140.80	40.80	29.56 3,724.59	(1,416.21) LT	(1,416.21) LT	(1,416.21) 0.00
	03/05/12 04/11/12 Sold	132	4,526.28	34.29	29.56 3,901.95	(624.33) ST	(624.33) ST	(624.33) 0.00
Total:		752	\$ 28,694.72		\$ 22,229.30	(\$ 6,465.42)	(\$ 6,465.42)	(\$ 6,465.42) \$ 0.00
NEWMONT MINING CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11 04/11/12 Sold	287	15,936.28	55.52	47,883 13,742.11	(2,194.17) LT	(2,194.17) LT	(2,194.17) 0.00
	03/04/11 04/11/12 Sold	188	10,191.07	54.20	47,883 9,001.80	(1,189.27) LT	(1,189.27) LT	(1,189.27) 0.00
	03/17/11 04/11/12 Sold	200	10,174.00	50.87	47,883 9,576.39	(597.61) LT	(597.61) LT	(597.61) 0.00
	03/05/12 04/11/12 Sold	104	6,030.73	57.98	47,883 4,979.72	(1,051.01) ST	(1,051.01) ST	(1,051.01) 0.00
Total		779	\$ 42,332.06		\$ 37,300.02	(\$ 5,032.06)	(\$ 5,032.06)	(\$ 5,032.06) \$ 0.00
NEXTERA ENERGY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/01/11 04/11/12 Sold	166	8,998.51	54.20	62,393 10,357.41	1,358.60 LT	1,358.60 LT	1,358.60 0.00
NINTENDO CO LTD ADR NEW Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	12/22/10 04/11/12 Sold	199	7,299.32	36.68	18.33 3,647.59	(3,651.73) LT	(3,651.73) LT	(3,651.73) 0.00
	01/20/11 04/11/12 Sold	175	6,074.25	34.71	18.33 3,207.68	(2,866.57) LT	(2,866.57) LT	(2,866.57) 0.00
	03/04/11 04/11/12 Sold	132	4,626.60	35.05	18.33 2,419.51	(2,207.09) LT	(2,207.09) LT	(2,207.09) 0.00



MorganStanley
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Ref: 00000011 00002981

RICHARD L KAY TTEE

Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
NINTENDO CO. LTD ADR NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/02/11	179	\$ 3,409.95	\$ 19.05	18.33	(\$ 128.95)	ST (\$ 128.95)	ST (\$ 128.95)
	04/11/12 Sold				3,281.00			\$ 0.00
	08/15/11	89	1,728.38	19.42	18.33	(97.05)	ST (97.05)	ST (97.05)
	04/11/12 Sold				1,631.33			0.00
	03/05/12	141	2,483.01	17.51	18.33	101.45	ST 101.45	ST 101.45
	04/11/12 Sold				2,584.46			0.00
Total		915	\$ 25,621.51		\$ 16,771.57	(\$ 8,849.94)	(\$ 8,849.94)	(\$ 8,849.94)
NIPPON TEL & TEL SPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/17/11	955	21,684.52	22.70	22,341	(348.96)	LT (348.96)	LT (348.96)
	04/11/12 Sold				21,335.56			0.00
	06/01/11	1,085	7,245.95	6.67	4,311	(2,568.63)	ST (2,568.63)	ST (2,568.63)
	04/11/12 Sold				4,677.33			0.00
	08/15/11	423	2,676.53	6.32	4,311	(853.02)	ST (853.02)	ST (853.02)
	04/11/12 Sold				1,823.51			0.00
NOVAGOLD RESOURCES INC-ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/05/12	352	1,826.00	5.18	4,311	(308.56)	ST (308.56)	ST (308.56)
	04/11/12 Sold				1,517.44			0.00
	Total	1,360	\$ 11,748.49		\$ 8,018.28	(\$ 3,730.21)	(\$ 3,730.21)	(\$ 3,730.21)
	02/15/12	740	6,348.02	8.57	6,592	(1,470.06)	ST (1,470.06)	ST (1,470.06)
	04/11/12 Sold				4,877.96			0.00
NOVAGOLD RESOURCES INC-ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/05/12	30	643.04	8.03	6,592	(115.69)	ST (115.69)	ST (115.69)
	04/11/12 Sold				527.35			0.00
	Total	820	\$ 6,991.06		\$ 5,405.31	(\$ 1,585.75)	(\$ 1,585.75)	(\$ 1,585.75)
	01/20/11	64	3,716.98	58.07	96,027	2,428.65	LT 2,428.65	LT 2,428.65
	04/19/12 Sold				6,145.63			0.00
OCCIDENTAL PETROLEUM CORP-DEL MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/20/11	41	3,849.29	96.82	89.40	(283.98)	LT (283.98)	LT (283.98)
	04/11/12 Sold				3,665.31			0.00
	01/20/11	33	3,178.69	96.32	88,149	(269.82)	LT (269.82)	LT (269.82)
	04/16/12 Sold				2,908.87			0.00
	Total	74	\$ 7,127.98		\$ 6,574.18	(\$ 553.80)	(\$ 553.80)	(\$ 553.80)
OCCIDENTAL PETROLEUM CORP-DEL MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/20/11	33	3,178.69	96.32	88,149	(269.82)	LT (269.82)	LT (269.82)
	04/16/12 Sold				2,908.87			0.00
	01/20/11	74	\$ 7,127.98		\$ 6,574.18	(\$ 553.80)	(\$ 553.80)	(\$ 553.80)
	04/16/12 Sold				2,908.87			0.00
	Total	74	\$ 7,127.98		\$ 6,574.18	(\$ 553.80)	(\$ 553.80)	(\$ 553.80)



**Morgan Stanley
Smith Barney**

**Reserved Client
Financial Management Account**
April 1 - April 30, 2012

Ref: 00000011-00002882

RICHARD L KAY TTEE

Account number 062-71450-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary income
LUKOIL OIL SPONS ADR. Morgan Stanley-Smith Barney LLC. acted as your agent in this transaction.	08/12/11 04/11/12 Sold	59	\$ 3,302.06	\$ 55.96	60.95 3,595.96	\$ 293.90 ST	\$ 293.90 ST	\$ 293.90 ST
OLD REPUBLIC INTERNATIONAL CORP. Morgan Stanley-Smith Barney LLC. acted as your agent in this transaction.	03/23/11 04/11/12 Sold	272	3,325.25	12.22	10.402 2,829.28	(495.97) LT	(495.97) LT	(495.97) 0.00
OPNET TECHNOLOGIES INC Morgan Stanley-Smith Barney LLC. acted as your agent in this transaction.	08/10/11 04/11/12 Sold	643	8,063.04	9.42	10.402 6,688.33	625.29 ST	625.29 ST	625.29 0.00
OPNET TECHNOLOGIES INC Morgan Stanley-Smith Barney LLC. acted as your agent in this transaction.	11/23/11 04/11/12 Sold	85	2,840.61	33.41	22,782 1,936.42	(904.19) ST	(904.19) ST	(904.19) 0.00
OPNET TECHNOLOGIES INC Morgan Stanley-Smith Barney LLC. acted as your agent in this transaction.	12/19/11 04/11/12 Sold	54	2,038.18	37.74	22,782 1,230.20	(807.98) ST	(807.98) ST	(807.98) 0.00
OPNET TECHNOLOGIES INC Morgan Stanley-Smith Barney LLC. acted as your agent in this transaction.	12/19/11 04/30/12 Sold	15	566.16	37.74	22,951 344.26	(221.90) ST	(221.90) ST	(221.90) 0.00
OPNET TECHNOLOGIES INC Morgan Stanley-Smith Barney LLC. acted as your agent in this transaction.	01/06/12 04/30/12 Sold	30	989.64	32.98	22,951 688.52	(301.12) ST	(301.12) ST	(301.12) 0.00
OPNET TECHNOLOGIES INC Morgan Stanley-Smith Barney LLC. acted as your agent in this transaction.	01/27/12 04/30/12 Sold	88	3,068.48	35.68	22,951 1,973.77	(1,094.71) ST	(1,094.71) ST	(1,094.71) 0.00
Total		270	\$ 9,503.07		\$ 6,173.17 (\$ 3,329.90)			
OPTIMER PHARMACEUTICALS INC Morgan Stanley-Smith Barney LLC. acted as your agent in this transaction.	03/29/11 04/11/12 Sold	115	1,411.85	12.27	12,842 1,476.86	65.01 LT	65.01 LT	65.01 0.00
ORIFLAME COSMETICS UNSPON ADR Morgan Stanley-Smith Barney LLC. acted as your agent in this transaction.	12/22/10 04/11/12 Sold	204	5,181.60	25.40	18.71 3,816.75	(1,364.85) LT	(1,364.85) LT	(1,364.85) 0.00
PNC FINANCIAL SERVICES GROUP Morgan Stanley-Smith Barney LLC. acted as your agent in this transaction.	12/22/10 04/11/12 Sold	92	5,603.22	60.90	62,693 5,767.65	164.43 LT	164.43 LT	164.43 0.00



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Reserved Client Financial Management Account April 1 - April 30, 2012

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Ref: 00000071 00002983

Account number 062-71460-12 693

RICHARD L KAY TTEE

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary income
UNITED TRACTORS UNSPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/22/10 04/11/12 Sold	42	\$ 2,204.58	\$ 52.49	66.25 2,782.43	\$ 577.85 LT	\$ 577.85 LT	\$ 577.85 \$ 0.00
	01/20/11 04/11/12 Sold	73	3,504.00	48.00	66.25 4,836.14	1,332.14 LT	1,332.14 LT	1,332.14 0.00
Total		115	\$ 5,708.58		\$ 7,618.57	\$ 1,909.99	\$ 1,909.99	\$ 1,909.99 \$ 0.00
PATTERSON UTI ENERGY INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/27/11 04/10/12 Sold	77	2,195.18 X	18.87	16.64 1,281.25	(913.93) ST	(913.93) ST	(913.93) 0.00
	06/07/11 04/10/12 Sold	10	266.08 X	18.87	16.64 166.40	(99.69) ST	(99.69) ST	(99.69) 0.00
	07/21/11 04/10/12 Sold	34	849.93 X	18.87	16.64 585.75	(284.18) ST	(284.18) ST	(284.18) 0.00
	01/31/12 04/10/12 Sold	45	849.55	18.87	16.64 788.77	(100.78) ST	(100.78) ST	(100.78) 0.00
Total		166	\$ 4,160.75		\$ 2,762.17	(5,139.85)	(5,139.85)	(\$ 1,398.58) \$ 0.00
PEOPLES UNITED FINCL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/01/11 04/11/12 Sold	272	3,516.50	12.92	12.544 3,411.89	(104.61) LT	(104.61) LT	(104.61) 0.00
PT TELEKOMUNIKASI INDONESIA SPONS ADR MorganStanley SmithBarney LLC acted as your agent	12/22/10 04/11/12 Sold	555	19,395.03	34.94	32.742 18,171.40	(1,223.63) LT	(1,223.63) LT	(1,223.63) 0.00
PETROBRAS ARGENTINA SA ADR MorganStanley SmithBarney LLC acted as your agent	12/22/10 04/11/12 Sold	210	5,112.62	24.34	11.475 2,409.76	(2,702.86) LT	(2,702.86) LT	(2,702.86) 0.00
	03/28/11 04/11/12 Sold	156	3,528.41	22.62	11.475 1,790.11	(1,739.30) LT	(1,739.30) LT	(1,739.30) 0.00
	03/01/12 04/11/12 Sold	79	1,149.45	14.55	11.475 906.53	(242.92) ST	(242.92) ST	(242.92) 0.00
	03/28/12 04/11/12 Sold	55	719.94	13.08	11.475 631.12	(88.82) ST	(88.82) ST	(88.82) 0.00
Total		500	\$ 10,511.42		\$ 5,737.52	(\$ 4,773.90)	(\$ 4,773.90)	(\$ 4,773.90) \$ 0.00
PFIZER INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/22/10 04/11/12 Sold	723	12,652.50	17.50	22.014 15,915.76	3,263.26 LT	3,263.26 LT	3,263.26 0.00



**Morgan Stanley
Smith Barney**

**Reserved Client
Financial Management Account**
April 1 - April 30, 2012

Ref: 00000011 00002984

RICHARD L KAY TTEE

Account number 062571460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
POLYUS-GOLD INTERNATIONAL LTD GDR Morgan Stanley Smith Barney LLC acted as your agent	12/23/10	736.9567	\$ 3,083.45	\$ 4.18	3.015	(\$ 861.58)	LT	(\$ 861.58)
	04/11/12 Sold				2,221.87			\$ 0.00
	01/21/11	651.2641	2,635.45	4.04	3.015	(671.93)	LT	(671.93)
	04/11/12 Sold				1,963.52			0.00
	01/28/11	685.5411	2,667.77	3.89	3.015	(600.91)	LT	(600.91)
	04/11/12 Sold				2,066.86			0.00
	03/18/11	1,885.2381	6,819.41	3.61	3.015	(1,135.55)	LT	(1,135.55)
	04/11/12 Sold				5,683.86			0.00
	08/15/11	507	1,713.66	3.38	3.015	(185.09)	ST	(185.09)
	04/11/12 Sold				1,528.57			0.00
POWER INTEGRATIONS INC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	11/02/11	699	3,002.66	3.34	3.015	(292.23)	ST	(292.23)
	04/11/12 Sold				2,710.43			0.00
	Total	5,365	\$ 19,922.40		\$ 16,175.11	(\$ 3,747.29)		(\$ 3,747.29)
	03/04/11	41	1,620.32	39.52	36.23	(134.93)	LT	(134.93)
	04/11/12 Sold				1,485.39			0.00
	04/05/11	25	944.72	37.78	36.23	(38.99)	LT	(38.99)
	04/11/12 Sold				905.73			0.00
	07/25/11	8	303.34	37.91	36.23	(13.51)	ST	(13.51)
	04/11/12 Sold				289.83			0.00
	Total	74	\$ 2,868.38		\$ 2,690.95	(\$ 187.43)		(\$ 187.43)
PRICELINE.COM INC (NEW) Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	01/20/11	16	6,800.00	425.00	759.15	5,346.12	LT	5,346.12
	04/10/12 Sold				12,146.12			0.00
	01/30/12	45	755.91	16.79	22.58	260.16	ST	260.16
	04/11/12 Sold				1,016.07			0.00
	03/31/11	2	114.30	57.15	61.172	8.03	LT	8.03
	04/04/12 Sold				122.33			0.00
	Total							
	03/31/11	2	114.30	57.15	61.172	8.03	LT	8.03
	04/04/12 Sold				122.33			0.00
	Total							



Morgan Stanley Smith Barney

Reserved Client Financial Management Account April 1 - April 30, 2012

Ref: 00000011 00002985

RICHARD L KAY TTEE Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary income
RALPH LAUREN CORP CL A Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	06/09/11 04/11/12 Sold	16	\$ 1,855.52	\$ 122.22	170.86 2,733.70	\$ 778.18 ST	\$ 778.18 ST	\$ 778.18 \$ 0.00
	06/23/11 04/11/12 Sold	2	251.18	125.58	170.86 341.71	90.55 ST	90.55 ST	90.55 0.00
Total		18	\$ 2,206.68		\$ 3,075.41	\$ 868.73	\$ 868.73	\$ 868.73 \$ 0.00
RANGE RESOURCES CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/04/11 04/11/12 Sold	41	2,040.08	49.75	55.61 2,279.95	239.87 LT	239.87 LT	239.87 0.00
RAYTHEON COMPANY NEW Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/01/11 04/11/12 Sold	66	3,340.03	50.60	51.591 3,404.93	64.90 LT	64.90 LT	64.90 0.00
REALTY INCOME CORP -BEL- Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	12/22/10 04/11/12 Sold	193	6,663.90	34.52	37.572 7,251.23	587.33 LT	587.33 LT	587.33 0.00
REPUBLIC SVCS INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	08/18/11 04/09/12 Sold	108	2,943.56	27.25	30.60 3,304.72	361.16 ST	361.16 ST	361.16 0.00
REXAM PLC SPONS ADR -NEW- Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	12/22/10 04/19/12 Sold	361	9,331.85	25.85	34.67 12,515.58	3,183.73 LT	3,183.73 LT	3,183.73 0.00
RIVERBED TECHNOLOGY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	06/22/11 04/11/12 Sold	101	3,465.04	34.30	25.48 2,573.42	(891.62) ST	(891.62) ST	(891.62) 0.00
ROBBINS & MYERS INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/21/12 04/11/12 Sold	20	913.08	45.65	49.65 992.97	79.89 ST	79.89 ST	79.89 0.00
ROPER INDUSTRIES INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11 04/11/12 Sold	21	1,543.92	73.52	95.05 2,017.00	473.08 LT	473.08 LT	473.08 0.00
	03/01/11 04/11/12 Sold	28	2,329.88	83.21	95.05 2,689.34	359.46 LT	359.46 LT	359.46 0.00
Total		49	\$ 3,873.90		\$ 4,705.34	\$ 832.54	\$ 832.54	\$ 832.54 \$ 0.00



MorganStanley SmithBarney

Reserved Client Financial Management Account April 1 - April 30, 2012

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Ref: 00000011 00002988

RICHARD L KAY TTEE

Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary income
ROYAL DUTCH SHELL PLC ADR CL B MorganStanley SmithBarney LLC acted as your agent	12/22/10 04/11/12 Sold	152	\$ 9,936.35	\$ 55.43	60.17 10,513.62	\$ 567.27 LT	\$ 567.27 LT	\$ 567.27 \$ 0.00
SK TELECOM LTD SPON ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/22/10 04/11/12 Sold	182	3,383.02	18.58	13.691 2,481.71	(891.31) LT	(891.31) LT	(891.31) 0.00
01/20/11 04/11/12 Sold	118	2,089.54	17.70	13.691 1,615.50	(474.04) LT	(474.04) LT	(474.04)	0.00
03/04/11 04/11/12 Sold	683	12,333.82	18.05	13.691 9,350.74	(2,983.08) LT	(2,983.08) LT	(2,983.08)	0.00
08/15/11 04/11/12 Sold	37	545.68	14.74	13.691 508.56	(39.12) ST	(39.12) ST	(39.12)	0.00
03/05/12 04/11/12 Sold	120	1,692.76	14.10	13.691 1,642.88	(49.88) ST	(49.88) ST	(49.88)	0.00
Total	1,140	\$ 20,044.82	\$ 15,607.39	(\$ 4,437.43)	(\$ 4,437.43)	(\$ 4,437.43)	(\$ 4,437.43)	\$ 0.00
SXC HEALTH SOLUTIONS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/20/11 04/30/12 Sold	12	540.84	45.07	90.91 1,090.90	550.06 LT	550.06 LT	550.06 0.00
03/01/11 04/30/12 Sold	26	1,288.66	49.56	90.91 2,363.63	1,075.07 LT	1,075.07 LT	1,075.07	0.00
Total	38	\$ 1,829.40	\$ 3,454.53	\$ 1,625.13	\$ 1,625.13	\$ 1,625.13	\$ 1,625.13	\$ 0.00
SALESFORCE.COM INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/20/11 04/11/12 Sold	24	3,167.44	131.97	157.46 3,778.95	611.51 LT	611.51 LT	611.51 0.00
01/28/11 04/11/12 Sold	4	530.41	132.60	157.46 629.83	99.42 LT	99.42 LT	99.42	0.00
Total	28	\$ 3,697.85	\$ 4,408.78	\$ 710.93	\$ 710.93	\$ 710.93	\$ 710.93	\$ 0.00
SANDISK CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/20/11 04/11/12 Sold	55	2,739.84	49.81	41.924 2,305.77	(434.07) LT	(434.07) LT	(434.07) 0.00
SBERBANK SPONSORED ADR MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/05/11 04/11/12 Sold	458	6,288.52	13.68	12.80 5,862.26	(406.66) ST	(406.66) ST	(406.66) 0.00



Morgan Stanley Smith Barney

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Ref: 00000011 00002987

RICHARD L KAY TTEE

Account number 062-71460-12 693

Realized gain or loss (continued)

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost Basis/ Adjusted Basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary income
SERVICESOURCE INTL LLC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	10/07/11 04/04/12 Sold	200	\$2,851.80	\$14.25	15,202 3,040.45	\$188.65	\$188.65	\$188.65 \$0.00
	10/26/11 04/04/12 Sold	23	291.45	12.67	15,202 349.65	58.20	58.20	58.20 0.00
SERVICESOURCE INTL LLC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	10/26/11 04/17/12 Sold	212	2,886.45	12.67	15,834 3,356.77	670.32	670.32	670.32 0.00
Total		435	\$5,829.70		\$6,746.87	\$917.17	\$917.17	\$917.17 \$0.00
SEVEN & 1 HLDGS CO LTD-JPY Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	01/20/11 04/11/12 Sold	77	4,000.15	51.35	58.75 4,523.65	523.50	523.50	523.50 0.00
	03/04/11 04/11/12 Sold	93	5,132.67	55.19	58.75 5,453.62	330.95	330.95	330.95 0.00
Total		170	\$9,132.82		\$9,987.27	\$854.45	\$854.45	\$854.45 \$0.00
SIGNATURE BANK Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	03/01/11 04/11/12 Sold	21	1,083.18	51.58	63.63 1,336.20	253.02	253.02	253.02 0.00
SILVER STANDARD RESOURCES INC -CAD Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	11/10/11 04/11/12 Sold	395	6,020.79	15.24	13.832 5,453.51	(557.28)	(557.28)	(557.28) 0.00
SOUTHWEST AIRLINES CO Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	10/04/11 04/11/12 Sold	749	5,419.99	7.23	7.972 5,971.42	551.43	551.43	551.43 0.00
	11/03/11 04/11/12 Sold	351	3,007.12	8.56	7.972 2,798.35	(208.77)	(208.77)	(208.77) 0.00
	01/03/12 04/11/12 Sold	685	5,987.65	8.74	7.972 5,451.18	(526.47)	(526.47)	(526.47) 0.00
	03/19/12 04/11/12 Sold	160	1,339.20	8.37	7.972 1,275.60	(63.60)	(63.60)	(63.60) 0.00
Total		1,945	\$15,753.36		\$15,506.55	(\$247.41)	(\$247.41)	(\$247.41) \$0.00
SOUTHWESTERN ENERGY CO DEL Morgan Stanley Smith Barney LLC acted as your agent	02/28/12 04/11/12 Sold	196	8,463.71	32.97	28.792 5,643.20	(820.51)	(820.51)	(820.51) 0.00



Ref: 50000014 00002968

RICHARD L KAY TTEE Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss) Ordinary Income
STARBUCKS CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	05/23/11 04/11/12 Sold	85	\$ 3,162.14	\$ 37.20	58,963 5,011.74	\$ 1,849.60	\$ 1,849.60	ST \$ 1,849.60 \$ 0.00
STATOILHYDRO ASA SPONS ADR Morgan Stanley Smith Barney LLC acted as your agent	03/01/11 04/11/12 Sold	184	4,859.00	26.40	25,762 4,740.21	0.00	0.00	0.00
STRATASYS INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11 04/17/12 Sold	42	1,538.02	26.02	43,344 1,820.43	282.41	282.41	LT 282.41 0.00
	08/15/11 04/17/12 Sold	8	208.16	26.02	43,344 346.75	138.59	138.59	ST 138.59 0.00
	08/16/11 04/17/12 Sold	28	689.51	24.62	43,344 1,213.62	524.11	524.11	ST 524.11 0.00
	03/05/12 04/17/12 Sold	15	520.20	34.68	43,344 650.15	129.95	129.95	ST 129.95 0.00
Total		83	\$ 2,955.89		\$ 4,030.95	\$ 1,075.06	\$ 1,075.06	\$ 1,075.06 \$ 0.00
SUMITOMO MITSU TR SPON ADR Morgan Stanley Smith Barney LLC acted as your agent	03/18/11 04/11/12 Sold	1,991	7,079.27	3.55	2.98 5,933.04	(1,146.23)	(1,146.23)	LT (1,146.23) 0.00
SUNOCO INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	07/18/11 04/17/12 Sold	11	379.99	34.54	38,462 423.07	43.08	43.08	ST 43.08 0.00
	07/18/11 04/25/12 Sold	75	2,590.85	34.54	39,613 2,970.91	380.06	380.06	ST 380.06 0.00
	07/21/11 04/25/12 Sold	11	390.06	35.45	39,613 435.73	45.67	45.67	ST 45.67 0.00
Total		97	\$ 3,360.90		\$ 3,829.71	\$ 468.81	\$ 468.81	\$ 468.81 \$ 0.00
TD-AMERITRADE HOLDING CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11 04/11/12 Sold	83	1,696.19	20.43	19,041 1,580.36	(115.83)	(115.83)	LT (115.83) 0.00



Morgan Stanley Smith Barney

Reserved Client Financial Management Account April 1 - April 30, 2012

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Ref: 00000011 00002989

RICHARD L KAY TTEE

Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary income
TARGACEPT INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/09/11	120	\$ 3,540.53	\$ 29.50	4,578	(\$ 2,991.14)	LT (\$ 2,991.14)	\$ 0.00
	04/18/12 Sold				549.39			
	03/18/11	4	108.94	27.23	4,578	(90.63)	LT (90.63)	(90.63)
	04/18/12 Sold				18.31			0.00
	08/10/11	72	1,104.18	15.33	4,578	(774.54)	ST (774.54)	(774.54)
	04/18/12 Sold				329.84			0.00
	03/05/12	257	1,826.60	7.10	4,578	(649.98)	ST (649.98)	(649.98)
TEAVANA HOLDINGS INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	04/18/12 Sold				1,176.62			0.00
	04/11/12	272	1,179.39	4.33	4,578	65.91	ST 65.91	65.91
	04/18/12 Sold				1,245.30			0.00
	Total	725	\$ 7,759.64		\$ 3,319.26	(\$ 4,440.38)	(\$ 4,440.38)	(\$ 4,440.38)
TELECOM ITALIA S.P.A ADR (NEW) REPSTG SVG SHS Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	07/29/11	39	1,090.35	27.95	19.26	(339.23)	ST (339.23)	(339.23)
	04/12/12 Sold				751.12			0.00
	08/07/11	4	114.89	26.36	19.26	(37.84)	ST (37.84)	(37.84)
	04/12/12 Sold				77.04			0.00
	08/17/11	100	2,636.08	26.36	19.26	(710.13)	ST (710.13)	(710.13)
	04/12/12 Sold				1,925.95			0.00
	09/22/11	24	458.52	19.02	19.26	5.71	ST 5.71	5.71
TELECOM ITALIA S.P.A ADR (NEW) REPSTG SVG SHS Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	04/12/12 Sold				462.23			0.00
	09/22/11	12	228.26	19.02	20.461	17.26	ST 17.26	17.26
	04/23/12 Sold				245.52			0.00
	Total	179	\$ 4,526.09		\$ 3,461.86	(\$ 1,064.23)	(\$ 1,064.23)	(\$ 1,064.23)
	01/26/12	735	6,329.16	8.61	8.96	256.66	ST 256.66	256.66
	04/11/12 Sold				6,585.82			0.00
	12/22/10	119	5,657.26	47.54	52.51	591.29	LT 591.29	591.29
TELECOM ITALIA S.P.A ADR (NEW) REPSTG SVG SHS Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	04/18/12 Sold				6,248.55			0.00
	01/20/11	126	5,651.10	44.85	52.51	965.01	LT 965.01	965.01
	04/19/12 Sold				6,616.11			0.00
	03/01/11	77	3,766.07	48.91	52.51	277.11	LT 277.11	277.11
	04/19/12 Sold				4,043.18			0.00
	03/04/11	5	246.70	49.34	52.51	15.84	LT 15.84	15.84
	04/19/12 Sold				262.54			0.00
Total		327	\$ 15,321.13		\$ 17,170.38	\$ 1,849.25	\$ 1,849.25	\$ 1,849.25



**Morgan Stanley
Smith Barney**

**Reserved Client
Financial Management Account**
April 1 - April 30, 2012

RICHARD L KAY TTEE

Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
TERADYNE INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	05/04/11	62	\$ 968.44	\$ 15.62	16.421	\$ 49.66	\$ 49.66	\$ 0.00
	04/25/12 Sold				1,018.10			
	05/13/11	169	2,751.32	16.28	16.421	23.81	23.81	23.81
	04/25/12 Sold				2,775.13			0.00
Total		231	\$ 3,719.76		\$ 3,793.23	\$ 73.47	\$ 73.47	\$ 73.47
THERAVANCE INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/05/12	39	689.99	17.69	20.98	128.21	128.21	128.21
	04/11/12 Sold				818.20			0.00
	12/22/10	532	17,081.46	32.10	36.912	2,555.44	2,555.44	2,555.44
	04/04/12 Sold				19,636.90			0.00
TIME WARNER INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11	283	9,165.24	32.38	36.912	1,280.71	1,280.71	1,280.71
	04/04/12 Sold				10,445.95			0.00
	03/01/11	166	6,256.45	37.68	36.912	0.00	0.00	0.00
	04/04/12 Sold				6,127.25			0.00
TRAVELERS COMPANIES INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/01/11	339	12,776.90	37.68	36.912	(263.86)	(263.86)	(263.86)
	04/04/12 Sold				12,513.04			0.00
	Total	1,320	\$ 45,280.05		\$ 48,723.14	\$ 3,372.29	\$ 3,372.29	\$ 3,372.29
								\$ 0.00
TRIMBLE NAVIGATION LTD Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/01/11	155	9,211.42	59.42	58.122	(202.63)	(202.63)	(202.63)
	04/11/12 Sold				9,008.79			0.00
	12/22/10	2	80.67	40.33	52.74	24.81	24.81	24.81
	04/11/12 Sold				105.48			0.00
TURKCELL ILETISM HIZMET ADR Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11	77	3,251.54	42.22	52.74	809.35	809.35	809.35
	04/11/12 Sold				4,060.89			0.00
	03/01/11	11	532.26	48.38	52.74	47.86	47.86	47.86
	04/11/12 Sold				580.12			0.00
Total		90	\$ 3,864.47		\$ 4,746.49	\$ 882.02	\$ 882.02	\$ 882.02
								\$ 0.00
TURKCELL ILETISM HIZMET ADR Morgan Stanley Smith Barney LLC acted as your agent	12/22/10	1,543	25,842.94	16.74	12.23	(6,971.86)	(6,971.86)	(6,971.86)
	04/11/12 Sold				18,871.08			0.00



Ref: 00000011 00002981

RICHARD L KAY TTEE Account number 062-71460-12.693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
ULTIMATE SOFTWARE GROUP INC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	12/22/10 04/11/12 Sold	18	\$ 878.42	\$ 48.68	70.76 1,273.65	\$ -397.23	\$ -397.23	\$ 397.23 \$ 0.00
ULTIMATE SOFTWARE GROUP INC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	12/22/10 04/28/12 Sold	4	194.76	48.68	75.806 303.22	108.46	108.46	108.46 0.00
UNITED PARCEL SERVICE CL B Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	03/01/11 04/11/12 Sold	125	8,996.00	71.96	78.962 9,670.12	874.12	874.12	874.12 0.00
VALLEY NATIONAL BANCORP Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	05/02/11 04/11/12 Sold	363	4,965.74	13.68	-12,503 4,538.73	(427.01)	(427.01)	(427.01) 0.00
VARIAN MEDICAL SYSTEMS INC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	01/20/11 04/28/12 Sold	50	3,528.93	70.57	63.782 3,189.07	(339.86)	(339.86)	(339.86) 0.00
VARIAN MEDICAL SYSTEMS INC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	01/27/11 04/28/12 Sold	39	2,681.25	68.75	63.782 2,487.47	(193.78)	(193.78)	(193.78) 0.00
VARIAN MEDICAL SYSTEMS INC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	03/01/11 04/28/12 Sold	81	4,215.33	69.10	63.782 3,890.66	(324.67)	(324.67)	(324.67) 0.00
Total		150	\$10,425.51		\$ 9,667.20	(\$ 858.31)	(\$ 858.31)	(\$ 858.31) \$ 0.00
VERISIGN INC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	01/28/11 04/11/12 Sold	45	1,424.68	33.72	39.43 1,813.73	389.05	389.05	389.05 0.00
VISA INC COM CL A Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	01/20/11 04/11/12 Sold	56	3,952.24	70.57	117.147 6,560.11	2,607.87	2,607.87	2,607.87 0.00



Ref: 00000011 00002992

RICHARD L KAY TTEE

Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost Basis/ Adjusted Basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
VIVENDI S.A. Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	02/09/12 04/11/12 Sold	290	\$ 8,313.30	\$ 21.77	17.06 4,947.29	(\$ 1,366.01) ST	(\$ 1,366.01) ST	\$ 0.00
	03/05/12 04/11/12 Sold	\$4	1,009.26	18.69	17.06 921.22	(88.04) ST	(88.04) ST	0.00
	03/14/12 04/11/12 Sold	171	3,142.88	18.37	17.06 2,917.19	(225.69) ST	(225.69) ST	0.00
Total		515	\$ 10,465.44		\$ 8,785.70	(\$ 1,679.74)	(\$ 1,679.74)	\$ 0.00
WD 40 CO Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/01/11 04/11/12 Sold	107	4,316.56	40.34	44.40 4,750.69	434.13 LT	434.13 LT	0.00
WAL-MART-DE MEXICO SA DE CV-SPON ADR Morgan Stanley Smith Barney LLC acted as your agent	12/22/10 04/24/12 Sold	514	14,803.20	28.80	27.458 14,113.30	(689.90) LT	(689.90) LT	0.00
	01/20/11 04/24/12 Sold	363	10,172.98	28.02	27.458 9,967.08	0.00	0.00	0.00
	01/20/11 04/24/12 Sold	52	1,457.40	28.02	27.458 1,427.90	(29.50) LT	(29.50) LT	0.00
	03/01/11 04/24/12 Sold	64	1,849.60	28.90	27.458 1,757.29	(92.31) LT	(92.31) LT	0.00
Total		993	\$ 28,283.18		\$ 27,265.57	(\$ 811.71)	(\$ 811.71)	\$ 0.00
WASTE MGMT INC DEL Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	12/22/10 04/24/12 Sold	186	6,792.35	36.51	35.973 6,690.85	(101.50) LT	(101.50) LT	0.00
	01/20/11 04/24/12 Sold	99	3,674.59	37.11	35.973 3,561.26	(113.33) LT	(113.33) LT	0.00
	03/01/11 04/24/12 Sold	320	11,762.56	36.75	35.973 11,511.13	(251.43) LT	(251.43) LT	0.00
Total		605	\$ 22,229.50		\$ 21,763.24	(\$ 466.26)	(\$ 466.26)	\$ 0.00
WEBMD HEALTH CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/02/12 04/13/12 Sold	128	3,229.82	25.23	23.994 2,943.28	(286.54) ST	(286.54) ST	0.00
WEINGARTEN RLTY INVS SBI Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	12/22/10 04/11/12 Sold	373	8,720.74	23.38	25.325 9,448.08	725.34 LT	725.34 LT	0.00



**Morgan Stanley
Smith Barney**

**Reserved Client
Financial Management Account**
April 1 - April 30, 2012

Ref: 00000011 00002953

RICHARD L KAY TTEE Account number: 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary income
WELLS FARGO & CO NEW Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	12/22/10 04/11/12 Sold	190	\$ 5,927.62	\$ 31.19	33,683 6,401.52	\$ 473.90 LT	\$ 473.90 LT	\$ 473.90 \$ 0.00
WEST JAPAN RY CO-JPY Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	02/28/11 04/11/12 Sold	88	3,680.89	41.82	40.35 3,550.72	(130.17) LT	(130.17) LT	(130.17) 0.00
	03/04/11 04/11/12 Sold	60	2,457.60	40.96	40.35 2,420.94	(36.66) LT	(36.66) LT	(36.66) 0.00
Total		148	\$ 6,138.49		\$ 5,971.66	(\$ 166.83)	(\$ 166.83)	(\$ 166.83) \$ 0.00
WESTERN DIGITAL CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	10/17/11 04/11/12 Sold	215	5,672.84	26.38	38,093 8,189.81	2,516.97 ST	2,516.97 ST	2,516.97 0.00
	11/09/11 04/11/12 Sold	304	8,096.89	26.63	38,093 11,580.01	3,483.12 ST	3,483.12 ST	3,483.12 0.00
Total		519	\$ 13,769.73		\$ 19,769.82	\$ 6,000.09	\$ 6,000.09	\$ 6,000.09 \$ 0.00
WESTPORT INNOVATIONS INC:CAD Morgan Stanley Smith Barney LLC acted as your agent	05/20/11 04/10/12 Sold	130	2,945.49	22.65	36,281 4,716.42	1,770.93 ST	1,770.93 ST	1,770.93 0.00
	08/18/11 04/10/12 Sold	10	238.40	23.84	36,281 362.80	124.40 ST	124.40 ST	124.40 0.00
	11/03/11 04/10/12 Sold	24	689.13	27.88	36,281 870.72	201.59 ST	201.59 ST	201.59 0.00
Total		164	\$ 3,853.02		\$ 5,949.94	\$ 2,096.92	\$ 2,096.92	\$ 2,096.92 \$ 0.00



Morgan Stanley
Smith Barney

Reserved Client
Financial Management Account
April 1 - April 30, 2012

Ref: 00000011.00002894

RICHARD L KAY TTEE Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing/Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
YUM BRANDS INC	12/22/10	.46	\$ 2,304.89	\$ 50.10	71.857	\$ -4,000.49	\$ 1,000.49	LT \$ 1,000.49
Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	04/15/12 Sold.				3,305.38			\$ 0.00
Total Long Term this period						(\$ 98,875.44)	(\$ 98,875.44)	
Total Short Term this period						(32,403.84)	(32,403.84)	
Total realized gain or (loss) this period			2,075,787.54	1,942,876.95		(131,279.28)	(131,279.28)	
Total losses disallowed due to wash sale this period								(\$ 1,631.34) ST



Ref: 00000028-00002942

RICHARD L KAY TTEE

Account number 062-71460-12 693

GAIN/LOSS DETAILS

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Absent specific instructions from you, trades are allocated using the FIFO (first-in, first-out) method. Day traders should therefore not rely on this section for day trading results. Your reinvestment activity has been summarized. Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099-Year-end summary.

Realized gain or loss

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
AON PLC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	01/20/11 05/01/12 Sold	30	\$ 1,472.40	\$ 49.08	52,382 1,571.43	\$ 99.03 LT	\$ 99.03 LT	\$ 99.03 \$ 0.00
ARCH CAPITAL GROUP LTD Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	05/04/11 05/22/12 Sold	78	2,662.92	34.14	38.53 3,005.33	342.41 LT	342.41 LT	342.41 0.00
	08/04/11 05/22/12 Sold	6	197.56	32.92	38.53 231.18	33.62 ST	33.62 ST	33.62 0.00
Total		84	\$ 2,860.48		\$ 3,236.51	\$ 376.03	\$ 376.03	\$ 376.03 \$ 0.00
COOPER INDUSTRIES PLC DUBLIN-USD Morgan Stanley Smith Barney LLC acted as your agent	12/22/10 05/22/12 Sold	184	10,911.53	59.30	70,111 12,900.24	1,988.71 LT	1,988.71 LT	1,988.71 0.00
	01/20/11 05/22/12 Sold	158	9,360.84	59.24	70,111 11,077.38	1,716.54 LT	1,716.54 LT	1,716.54 0.00
	09/03/11 05/22/12 Sold	2	128.91	64.45	70,111 140.22	11.31 LT	11.31 LT	11.31 0.00
COOPER INDUSTRIES PLC DUBLIN-USD Morgan Stanley Smith Barney LLC acted as your agent	03/04/11 05/23/12 Sold	101	6,510.02	64.45	70,035 7,073.40	563.38 LT	563.38 LT	563.38 0.00
	08/16/11 05/23/12 Sold	15	713.28	47.55	70,035 1,050.51	337.23 ST	337.23 ST	337.23 0.00
	04/11/12 05/23/12 Sold	100	8,080.90	60.80	70,035 7,003.37	922.47 ST	922.47 ST	922.47 0.00
Total		580	\$ 33,705.48		\$ 39,245.12	\$ 5,539.64	\$ 5,539.64	\$ 5,539.64 \$ 0.00
INGERSOLL-RAND PUBLIC LTD CO Morgan Stanley Smith Barney LLC acted as your agent	12/14/11 05/11/12 Sold	715	21,713.84	30.36	44.04 31,488.18	9,774.34 ST	9,774.34 ST	9,774.34 0.00
	04/11/12 05/11/12 Sold	161	6,304.36	39.15	44.04 7,090.34	785.96 ST	785.96 ST	785.96 0.00
Total		876	\$ 28,018.20		\$ 38,578.52	\$ 10,560.32	\$ 10,560.32	\$ 10,560.32 \$ 0.00



Reserved Client Financial Management Account May 1 - May 31, 2012

MorganStanley
SmithBarney

Ref: 00000028-00002943

RICHARD L KAY TTEE Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary income
PARTNERRE LTD-BMD MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/04/11 05/03/12 Sold	37	\$ 2,833.73	\$ 79.29	73,228 2,709.37	(\$ 224.36)	(\$ 224.36)	ST (\$ 224.36) \$ 0.00
	08/04/11 05/03/12 Sold	8	508.52	63.69	73,228 585.81	76.29	76.29	ST 76.29 0.00
Total		45	\$ 3,443.25		\$ 3,295.18	(\$ 148.07)	(\$ 148.07)	(\$ 148.07) \$ 0.00
CORE LABORATORIES N.V.-USD MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/22/10 05/24/12 Sold	6	547.38	91.23	198,598 810.57	272.19	272.19	LT 272.19 0.00
MAKENATRIIP LTD-ORD MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/31/10 05/01/12 Sold	61	1,676.02	27.47	19,911 1,214.55	(461.47)	(461.47)	LT (461.47) 0.00
	01/20/11 05/01/12 Sold	29	841.00	29.00	19,911 577.41	(263.59)	(263.59)	LT (263.59) 0.00
	02/28/11 05/01/12 Sold	104	2,780.80	26.73	19,911 2,070.71	(710.09)	(710.09)	LT (710.09) 0.00
	03/04/11 05/01/12 Sold	72	1,928.16	26.78	19,911 1,433.57	(494.59)	(494.59)	LT (494.59) 0.00
	03/31/11 05/01/12 Sold	54	1,556.08	28.81	19,911 1,075.16	(480.92)	(480.92)	LT (480.92) 0.00
Total		320	\$ 8,782.06		\$ 6,371.40	(\$ 2,410.66)	(\$ 2,410.66)	(\$ 2,410.66) \$ 0.00
ACCO BRANDS CORP. CASH IN LIEU OF 39472 RECORD 04/24/12 PAY 04/30/12	03/01/11 05/01/12 Cash in lieu	5701	5.44	9.56	5.99	.55	.55	LT .55 0.00
	03/04/11 05/01/12 Cash in lieu	1791	1.73	9.69	1.88	.15	.15	LT .15 0.00
	01/20/12 05/01/12 Cash in lieu	6215	23	10.48	23	0.00	0.00	0.00 0.00
	02/09/12 05/01/12 Cash in lieu	10904	90	10.01	95	.05	.05	ST .05 0.00
	02/24/12 05/01/12 Cash in lieu	6474	48	10.21	50	.02	.02	ST .02 0.00
	03/23/12 05/01/12 Cash in lieu	10862	88	10.24	90	.02	.02	ST .02 0.00
ACCO BRANDS CORP. MorganStanley SmithBarney LLC acted as your agent	03/01/11 05/03/12 Sold	217,8009	2,078.49	9.56	11,113 2,420.36	341.87	341.87	LT 341.87 0.00
	03/04/11 05/03/12 Sold	68,4329	661.95	9.69	11,113 760.48	98.53	98.53	LT 98.53 0.00



Ref: 00000028-00002944

RICHARD L KAY TTEE

Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
AGCO BRANDS CORP Morgan Stanley Smith Barney LLC acted as your agent	01/20/12	8.2251	\$ 86.02	\$ 10.48	11.113	\$ 5.38	\$ 5.38	\$ 5.38
	05/03/12 Sold				91.40	ST	ST	\$ 0.00
	02/09/12	34.5455	345.13	10.01	11.113	38.77	38.77	38.77
	05/03/12 Sold				383.90	ST	ST	0.00
	02/23/12	18.0952	184.45	10.21	11.113	16.64	16.64	16.64
05/03/12 Sold					201.09	ST	ST	0.00
	03/23/12	32.9004	336.34	10.24	11.113	29.27	29.27	29.27
	05/03/12 Sold				365.61	ST	ST	0.00
	Total	380.8947	\$ 3,702.04		\$ 4,233.29	\$ 531.25	\$ 531.25	\$ 531.25
								\$ 0.00
ABBOTT LABORATORIES Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	12/22/10	435	20,847.97	47.92	61.218	5,782.42	5,782.42	5,782.42
	05/23/12 Sold				26,829.49	LT	LT	0.00
	08/15/11	13	871.88	40.91	53.011	D	0.00	0.00
	05/01/12 Sold				689.13			0.00
	08/15/11	25	1,789.65	40.91	53.011	D	0.00	0.00
05/01/12 Sold					1,325.25			0.00
	08/15/11	6	429.52	40.91	53.011	(111.46)	(111.46)	(111.46)
	05/01/12 Sold				318.06	ST	ST	0.00
	08/22/11	84	4,801.67	40.91	53.011	(348.82)	(348.82)	(348.82)
	05/01/12 Sold				4,452.85	ST	ST	0.00
01/10/12		4	181.43	40.91	53.011	30.61	30.61	30.61
	05/01/12 Sold				212.04	ST	ST	0.00
	01/10/12	22	997.85	40.91	46.505	25.25	25.25	25.25
	05/08/12 Sold				1,023.10	ST	ST	0.00
	02/03/12	13	801.81	47.62	46.505	(197.25)	(197.25)	(197.25)
05/08/12 Sold					604.56	ST	ST	0.00
	02/03/12	25	1,654.90	47.62	46.505	(492.28)	(492.28)	(492.28)
	05/08/12 Sold				1,162.82	ST	ST	0.00
	Total	182	\$ 11,528.71		\$ 9,787.61	(\$ 1,093.95)	(\$ 1,093.95)	(\$ 1,093.95)
								\$ 0.00
AEROPOSTALE INC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	02/01/11	26	688.32	16.44	22.614	(80.35)	(80.35)	(80.35)
	05/03/12 Sold				587.97	LT	LT	0.00
	03/04/11	55	1,384.16	20.65	22.614	(140.38)	(140.38)	(140.38)
	05/03/12 Sold				1,243.77	LT	LT	0.00



Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
AEROPOSTALE INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/16/11 05/03/12 Sold	56	\$ 1,434.40 X	\$ 16.44	22,614 1,266.39	(\$ 168.01) LT	(\$ 168.01) LT	(\$ 168.01) \$ 0.00
	04/13/11 05/03/12 Sold	13	334.18 X	20.65	22,614 293.98	(40.20) LT	(40.20) LT	(40.20) 0.00
Total		150	\$ 3,821.05		\$ 3,392.11	(\$ 428.94)	(\$ 428.94)	(\$ 428.94)
FIXED INCOME SHARES FD SERIES C CONFIRM #005660329 MorganStanley SmithBarney LLC	08/16/11 05/17/12 Sold	1,495	19,479.85	13.03	13.33 19,928.35	448.50 ST	448.50 ST	448.50 0.00
FIXED INCOME SHARES FD SERIES M CONFIRM #007766063 MorganStanley SmithBarney LLC	08/16/11 05/17/12 Sold	1,935	20,685.15	10.69	10.86 21,014.10	328.95 ST	328.95 ST	328.95 0.00
APPROACH RESOURCES INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	08/09/11 05/21/12 Sold	81	1,574.15	19.43	30,731 2,489.18	915.03 ST	915.03 ST	915.03 0.00
ARCHER-DANIELS-MIDLAND CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/22/10 05/11/12 Sold	90	2,702.58	30.02	33,001 2,970.08	267.48 LT	267.48 LT	267.48 0.00
ARES CAPITAL CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/01/11 05/11/12 Sold	892	15,599.30	17.48	15.61 13,923.80	(1,675.50) LT	(1,675.50) LT	(1,675.50) 0.00
	03/04/11 05/11/12 Sold	541	9,536.75	17.62	15.61 8,444.82	(1,091.93) LT	(1,091.93) LT	(1,091.93) 0.00
	08/29/11 05/11/12 Sold	402	5,908.07	14.69	15.61 6,275.08	367.01 ST	367.01 ST	367.01 0.00
Total		1,835	\$ 31,044.12		\$ 28,643.70	(\$ 2,400.42)	(\$ 2,400.42)	(\$ 2,400.42)
AUTODESK INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/13/12 05/18/12 Sold	85	3,224.77	37.93	29,001 2,465.04	(759.73) ST	(759.73) ST	(759.73) 0.00
	03/16/12 05/18/12 Sold	21	861.15	41.00	29,001 609.01	(252.14) ST	(252.14) ST	(252.14) 0.00



Account number 062-71460-12 699									
RICHARD L KAY TTEE									
Realized gain or loss continued									
Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income	
TODESK INC	03/16/12	52	\$ 2,132.38	\$ 41.00	29,614	(\$ 592.46) ST	(\$ 592.46) ST	(\$ 592.46)	
rganStanley SmithBarney LLC	05/18/12 Sold				1,539.92			\$ 0.00	
ed as your agent	03/21/12	78	3,234.63	41.46	29,614	(924.75) ST	(924.75) ST	(924.75)	
his transaction.	05/18/12 Sold				2,309.88			0.00	
	03/27/12	74	3,144.25	42.48	29,614	(952.83) ST	(952.83) ST	(952.83)	
	05/18/12 Sold				2,191.42			0.00	
Total		310	\$ 12,597.18		\$ 9,115.27	(\$ 3,481.91)	(\$ 3,481.91)	(\$ 3,481.91)	\$ 0.00
IDU INC SPON ADR RPTNG	01/20/11	22	2,325.84	105.72	124,428	411.52 LT	411.52 LT	411.52	
D SHS CL A	05/09/12 Sold				2,737.36			0.00	
rganStanley SmithBarney LLC									
ed as your agent									
IDU INC SPON ADR RPTNG	01/20/11	28	2,960.16	105.72	123,334	494.53 LT	494.53 LT	494.53	
D SHS CL A	05/11/12 Sold				3,454.69			0.00	
rganStanley SmithBarney LLC	03/04/11	18	2,209.30	122.73	123,384	11.58 LT	11.58 LT	11.58	
ed as your agent	05/11/12 Sold				2,220.88			0.00	
IDU INC SPON ADR RPTNG	03/04/11	16	1,963.82	122.73	116,866	(94.01) LT	(94.01) LT	(94.01)	
D SHS CL A	05/25/12 Sold				1,869.81			0.00	
rganStanley SmithBarney LLC									
ed as your agent									
Total		84	\$ 9,459.12		\$ 10,282.74	\$ 823.62	\$ 823.62	\$ 823.62	\$ 0.00
NK NEW YORK MELLON CORP	12/22/10	328	9,960.05	30.36	21,575	(2,883.31) LT	(2,883.31) LT	(2,883.31)	
rganStanley SmithBarney LLC	05/14/12 Sold				7,076.74			0.00	
ed as your agent	01/20/11	267	8,428.66	31.56	21,575	(2,668.02) LT	(2,668.02) LT	(2,668.02)	
his transaction.	05/14/12 Sold				5,760.64			0.00	
	03/04/11	336	10,085.38	30.01	21,575	(2,836.04) LT	(2,836.04) LT	(2,836.04)	
	05/14/12 Sold				7,249.34			0.00	
	07/21/11	274	7,100.71	25.91	21,575	(1,189.05) ST	(1,189.05) ST	(1,189.05)	
	05/14/12 Sold				5,911.66			0.00	
	04/11/12	237	5,545.21	23.39	21,575	(431.84) ST	(431.84) ST	(431.84)	
	05/14/12 Sold				5,113.37			0.00	
Total		1,442	\$ 41,120.01		\$ 31,111.75	(\$ 10,008.26)	(\$ 10,008.26)	(\$ 10,008.26)	\$ 0.00

Morgan Stanley Smith Barney

Reserved Client Financial Management Account May 1 - May 31, 2012

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Ref: 00000028 00002947

Account number 062-71460-12 693

RICHARD L KAY TTEE

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
CVS CAREMARK CORP Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	01/20/11 05/18/12 Sold	130	\$ 4,593.68	\$ 35.33	44,573 5,794.48	\$ 1,200.80 LT	\$ 1,200.80 LT	\$ 1,200.80 \$ 0.00
CARNIVAL CORP (PAIRED STOCK) Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	12/22/10 05/10/12 Sold	148	6,891.81	46.56	31,623 4,680.14	(2,211.67) LT	(2,211.67) LT	(2,211.67) 0.00
	01/20/11 05/10/12 Sold	188	8,637.76	45.94	31,623 5,944.95	D 0.00	0.00	0.00
	01/20/11 05/10/12 Sold	177	8,132.64	45.94	31,623 5,597.29	D 0.00	0.00	0.00
	03/04/11 05/10/12 Sold	251	10,124.84	40.33	31,623 7,937.27	D 0.00	0.00	0.00
	02/15/12 05/10/12 Sold	211	6,410.52	30.38	31,623 6,672.37	261.85 ST	261.85 ST	261.85 0.00
Total		875	\$ 40,197.57		\$ 30,832.02	(\$ 1,949.82)	(\$ 1,949.82)	(\$ 1,949.82) \$ 0.00
CENTERPOINT ENERGY INC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	03/04/11 05/16/12 Sold	70	1,108.56	15.80	20,022 1,401.50	294.94 LT	294.94 LT	294.94 0.00
CHESAPEAKE ENERGY CORP Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	03/04/11 05/25/12 Sold	334	11,172.27	33.44	16.00 5,343.88	(5,828.39) LT	(5,828.39) LT	(5,828.39) 0.00
	12/14/11 05/25/12 Sold	581	13,123.80	22.58	16.00 9,295.79	(3,828.01) ST	(3,828.01) ST	(3,828.01) 0.00
	01/12/12 05/25/12 Sold	275	6,082.51	22.11	16.00 4,398.90	(1,682.61) ST	(1,682.61) ST	(1,682.61) 0.00
	01/31/12 05/25/12 Sold	295	6,249.48	21.18	16.00 4,719.89	(1,529.57) ST	(1,529.57) ST	(1,529.57) 0.00
Total		1,485	\$ 36,628.04		\$ 23,759.46	(\$ 12,868.58)	(\$ 12,868.58)	(\$ 12,868.58) \$ 0.00
CLIFFS NATURAL RESOURCES Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	03/01/11 05/01/12 Sold	1	95.05	95.05	62.102 62.10	(32.95) LT	(32.95) LT	(32.95) 0.00
	03/04/11 05/01/12 Sold	56	5,516.17	98.50	62.102 3,477.64	(2,038.53) LT	(2,038.53) LT	(2,038.53) 0.00
	05/08/11 05/01/12 Sold	121	10,452.91	86.38	62.102 7,514.18	(2,938.73) ST	(2,938.73) ST	(2,938.73) 0.00
Total		178	\$ 16,064.13		\$ 11,053.92	(\$ 5,010.21)	(\$ 5,010.21)	(\$ 5,010.21) \$ 0.00



**Morgan Stanley
Smith Barney**

**Reserved Client
Financial Management Account
May 1 - May 31, 2012**

Ref: 00000028 00002948

RICHARD L KAY FEE

Account number 062-71460-12.693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
COGNIZANT TECH SOLUTIONS, CL A Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11 05/07/12 Sold	164	\$ 12,083.65	\$ 73.74	56,944 9,338.62	(\$ 2,755.03)	LT (\$ 2,755.03)	LT (\$ 2,755.03) \$ 0.00
COGNIZANT TECH SOLUTIONS, CL A Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11 05/07/12 Sold	77	5,678.12	73.74	56,019 4,313.41	(1,364.71)	LT (1,364.71)	LT (1,364.71) 0.00
COGNIZANT TECH SOLUTIONS, CL A Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/01/11 05/07/12 Sold	113	8,422.09	74.53	56,019 6,330.07	(2,092.02)	LT (2,092.02)	LT (2,092.02) 0.00
COGNIZANT TECH SOLUTIONS, CL A Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/04/11 05/07/12 Sold	30	2,280.92	76.03	56,019 1,680.55	(600.37)	LT (600.37)	LT (600.37) 0.00
Total		384	\$ 28,474.78		\$ 21,662.65	(\$ 6,812.13)	(\$ 6,812.13)	(\$ 6,812.13) \$ 0.00
COMPANHIA ENERGETICA DE MINAS SP ADR CASH IN LIEU OF 25000 RECORD 05/02/12 PAY 05/11/12	05/11/12 Cash in lieu	.0445			.76	0.00	0.00	0.00
	01/20/11	.1024	1.74	17.03		.01	LT .01	LT .01
	05/11/12 Cash in lieu				1.75			0.00
	02/28/11	.0273	.37	13.42		.10	LT .10	LT .10
	05/11/12 Cash in lieu				.47			0.00
	03/04/11	.0758	1.34	17.70		(.05)	LT (.05)	LT (.05)
	05/11/12 Cash in lieu				1.29			0.00
Total		.25	\$ 3.45		\$ 4.27	\$.06	\$.06	\$.06 \$ 0.00
DR PEPPER SNAPPLE GROUP INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	06/01/11 05/16/12 Sold	63	2,588.04	41.08	41,083 2,588.17	.13	ST .13	ST .13
	06/03/11	27	1,091.07	40.41	41,083	18.14	ST 18.14	ST 18.14
	05/16/12 Sold				1,109.21			0.00
Total		90	\$ 3,679.11		\$ 3,697.38	\$ 18.27	\$ 18.27	\$ 18.27 \$ 0.00
EXPEDITORS INTL OF WASH INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11 05/29/12 Sold	19	1,091.55	54.29	38,811 737.40	(294.15)	LT (294.15)	LT (294.15) 0.00
	03/01/11	41	1,930.00	47.07	38,811	(338.77)	LT (338.77)	LT (338.77)
	05/29/12 Sold				1,591.23			0.00
Total		60	\$ 2,961.55		\$ 2,328.63	(\$ 632.92)	(\$ 632.92)	(\$ 632.92) \$ 0.00
FIDELITY NATL INFORMATION SVCS INC Morgan Stanley Smith Barney LLC acted as your agent	01/13/11 05/23/12 Sold	10	297.90	29.79	32,442 324.42	26.52	LT 26.52	LT 26.52 0.00



Morgan Stanley Smith Barney

Reserved Client Financial Management Account May 1 - May 31, 2012

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Ref: 00000028 00002949

Account number 062-71460-12 693

RICHARD L KAY TTEE

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
FOSSIL INC. Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	02/10/12	56	\$ 5,619.07	\$ 100.34	72.035	(\$ 1,585.19)	ST (\$ 1,585.19)	\$ 0.00
	05/16/12 Sold				4,033.88			
	03/05/12	13	1,639.06	128.08	72.035	(702.62)	ST (702.62)	0.00
	05/16/12 Sold				936.44			
Total		69	\$ 7,258.13		\$ 4,970.32	(\$ 2,287.81)	(\$ 2,287.81)	\$ 0.00
FUSION-IO INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	06/17/11	45	850.89	21.13	21.106	(1.14)	ST (1.14)	0.00
	05/08/12 Sold				949.75			
	08/05/11	150	4,047.24	26.98	21.106	(881.41)	ST (881.41)	0.00
	05/08/12 Sold				3,165.83			
	08/15/11	39	1,148.55	29.45	21.106	(325.44)	ST (325.44)	0.00
	05/08/12 Sold				823.11			
	08/25/11	41	879.91	21.46	21.106	(14.58)	ST (14.58)	0.00
	05/08/12 Sold				865.33			
FUSION-IO INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	09/22/11	5	78.85	15.77	21.106	26.67	ST 26.67	0.00
	05/08/12 Sold				105.52			
	09/22/11	155	2,444.37	15.77	21.706	920.09	ST 920.09	0.00
	05/11/12 Sold				3,364.46			
	Total	435	\$ 9,549.81		\$ 9,274.00	(\$ 275.81)	(\$ 275.81)	\$ 0.00
GLAXOSMITHKLINE PLC SP ADR Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/01/11	627	23,962.69	38.21	44.193	3,745.69	LT 3,745.69	0.00
	05/31/12 Sold				27,708.38			
	03/04/11	33	1,266.19	38.36	44.193	192.15	LT 192.15	0.00
	05/31/12 Sold				1,458.34			
	Total	660	\$ 25,228.88		\$ 29,166.72	\$ 3,937.84	\$ 3,937.84	\$ 0.00
GOLDMAN SACHS GROUP INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	04/16/12	52	6,134.99	117.98	105.20	(768.71)	ST (768.71)	0.00
	05/11/12 Sold				5,366.28			
GOLDMAN SACHS GROUP INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	04/17/12	52	6,121.88	117.72	100.213	(910.89)	ST (910.89)	0.00
	05/14/12 Sold				5,210.99			
	Total	104	\$ 12,256.87		\$ 10,577.27	(\$ 1,679.60)	(\$ 1,679.60)	\$ 0.00



**MorganStanley
SmithBarney**

**Reserved Client
Financial Management Account
May 1 - May 31, 2012**

Ref: 00000028-00002850

RICHARD L KAY TTEE Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary income
GREEN MOUNTAIN COFFEE ROASTER INC								
MorganStanley SmithBarney LLC acted as your agent	03/04/11	60	\$ 2,451.59	\$ 41.52	25.601	(\$ 955.57)	LT	(\$ 955.57)
	05/03/12 Sold				1,536.02			\$ 0.00
	08/16/11	13	1,307.23	100.55	25.601	(974.43)	ST	(974.43)
	05/03/12 Sold				332.80			0.00
Total		73	\$ 3,758.82		\$ 1,868.82	(\$ 1,930.00)		(\$ 1,930.00)
JUPITER TELECOM UNSPON ADR								
MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/22/10	302	20,143.40	66.70	66.125	(173.98)	LT	(173.98)
	05/25/12 Sold				19,969.42			0.00
	01/20/11	153	10,671.75	69.75	66.125	(554.79)	LT	(554.79)
	05/25/12 Sold				10,116.36			0.00
	03/04/11	130	9,241.70	71.09	66.125	(645.59)	LT	(645.59)
	05/25/12 Sold				8,596.11			0.00
Total		585	\$ 40,056.85		\$ 38,682.49	(\$ 1,374.36)		(\$ 1,374.36)
LINKEDIN CORP								
MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/17/11	31	2,352.65	75.89	105.00	902.27	ST	902.27
	05/02/12 Sold				3,254.92			0.00
MAKO SURGICAL CORP								
MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/04/11	25	500.64	20.02	26.473	161.17	LT	161.17
	05/09/12 Sold				661.81			0.00
	08/15/11	49	1,565.06	31.94	26.473	(267.91)	ST	(267.91)
	05/09/12 Sold				1,297.15			0.00
	08/16/11	8	251.62	31.45	26.473	(39.84)	ST	(39.84)
	05/09/12 Sold				211.78			0.00
Total		82	\$ 2,317.32		\$ 2,170.74	(\$ 146.58)		(\$ 146.58)
MAXWELL TECHNOLOGIES INC								
MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/22/12	178	3,200.85	17.98	9.554	(1,500.28)	ST	(1,500.28)
	05/01/12 Sold				1,700.57			0.00
MICROSOFTE CORP								
MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/01/11	56	1,466.89	26.18	30.52	242.49	LT	242.49
	05/09/12 Sold				1,709.08			0.00
	03/04/11	388	23,077.79	25.98	30.52	4,023.36	LT	4,023.36
	05/09/12 Sold				27,101.15			0.00
	03/07/11	23	593.63	25.81	30.52	108.31	LT	108.31
	05/09/12 Sold				701.94			0.00
	03/14/11	52	1,328.08	25.54	30.52	258.93	LT	258.93
	05/09/12 Sold				1,587.01			0.00



Morgan Stanley Smith Barney

Reserved Client Financial Management Account May 1 - May 31, 2012

Ref: 00000028 00002851

Account number 062-71460-12 693

RICHARD L KAY TTEE

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
MICROSOF CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/14/11 05/15/12 Sold	150	\$ 3,831.00	\$ 25.54	30.481 4,572.04	\$ 741.04	\$ 741.04	LT \$ 0.00
MICROSOF CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/14/11 05/15/12 Sold	71	1,813.34	25.54	30.20 2,144.15	330.81	330.81	LT 0.00
MICROSOF CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	04/01/11 05/15/12 Sold	24	608.87	25.36	30.20 724.78	115.91	115.91	LT 0.00
MICROSOF CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	04/01/11 05/15/12 Sold	280	7,103.46	25.36	30.10 8,427.81	1,324.35	1,324.35	LT 0.00
Total		1,544	\$ 3,39,822.78		\$ 46,967.96	\$ 7,145.20	\$ 7,145.20	LT \$ 0.00
MICROSTRATEGY INC CL A NEW Morgan Stanley Smith Barney LLC acted as your agent	01/28/11 05/02/12 Sold	1	105.35	105.35	140.331 140.33	34.98	34.98	LT -0.00
	03/04/11 05/02/12 Sold	4	487.60	121.90	140.331 561.31	73.71	73.71	LT 0.00
	03/15/11 05/02/12 Sold	18	2,062.68	114.59	140.331 2,595.90	463.22	463.22	LT 0.00
Total		23	\$ 2,655.63		\$ 3,227.54	\$ 571.91	\$ 571.91	LT \$ 0.00
NRG ENERGY INC NEW Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/17/12 05/01/12 Sold	88	1,561.98	17.75	17,002 1,496.19	D	0.00	0.00
	01/17/12 05/01/12 Sold	75	1,331.27	17.75	17,002 1,275.20	(56.07)	(56.07)	ST 0.00
Total		163	\$ 2,893.25		\$ 2,771.39	(56.07)	(56.07)	ST \$ 0.00
NATIONAL OILWELL VARCO INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	12/22/10 05/11/12 Sold	87	5,699.98	65.51	68,339 5,945.44	245.46	245.46	LT 0.00
	01/20/11 05/11/12 Sold	189	13,461.99	67.64	68,339 13,599.33	137.34	137.34	LT 0.00
	08/04/11 05/11/12 Sold	135	10,512.83	80.83	68,339 9,225.68	(1,687.15)	(1,687.15)	LT 0.00
	05/12/11 05/11/12 Sold	104	7,138.00	68.63	68,339 7,107.19	(30.81)	(30.81)	ST 0.00
	04/11/12 05/11/12 Sold	117	9,039.13	77.25	68,339 7,995.59	(1,043.54)	(1,043.54)	ST 0.00
Total		642	\$ 46,251.93		\$ 43,873.23	(5,378.70)	(5,378.70)	ST \$ 0.00



**Morgan Stanley
Smith Barney**

**Reserved Client
Financial Management Account**
May 1 - May 31, 2012

Ref: 00000028, 00002952

Account number 062-71450-12 693

RICHARD L KAY TTEE

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
NETAPP INC. Morgan Stanley Smith Barney LLC acted as your agent. In this transaction.	12/22/10 05/22/12 Sold	44	\$ 2,415.50	\$ 54.89	33.55 1,478.17	(\$ 939.33) LT	(\$ 939.33) LT	(\$ 939.33) \$ 0.00
	01/20/11 05/22/12 Sold	95	5,325.31	56.05	3,187.19	(2,138.12) LT	(2,138.12) LT	(2,138.12) 0.00
	03/04/11 05/22/12 Sold	25	1,296.45	51.85	33.55 838.74	(457.71) LT	(457.71) LT	(457.71) 0.00
NETAPP INC Morgan Stanley Smith Barney LLC acted as your agent. In this transaction.	03/04/11 05/24/12 Sold	36	1,866.88	51.85	28,584 1,029.03	(837.85) LT	(837.85) LT	(837.85) 0.00
	03/30/11 05/24/12 Sold	73	3,513.02	48.12	28,584 2,086.64	(1,426.38) LT	(1,426.38) LT	(1,426.38) 0.00
	08/16/11 05/24/12 Sold	6	255.28	42.54	28,584 171.50	(83.79) ST	(83.79) ST	(83.79) 0.00
	11/17/11 05/24/12 Sold	51	1,825.01	35.78	28,584 1,457.79	(367.22) ST	(367.22) ST	(367.22) 0.00
	12/22/11 05/24/12 Sold	80	2,379.99	35.99	28,584 2,286.74	(593.25) ST	(593.25) ST	(593.25) 0.00
Total		410	\$ 19,377.45	\$ 12,533.80	\$ 12,533.80	(\$ 6,843.65)	(\$ 6,843.65)	(\$ 6,843.65) \$ 0.00
OGC ENERGY CORP Morgan Stanley Smith Barney LLC acted as your agent. In this transaction.	01/20/11 05/09/12 Sold	10	450.70	45.07	53,716 537.15	86.45 LT	86.45 LT	86.45 0.00
	03/01/11 05/09/12 Sold	51	2,441.37	47.87	53,716 2,739.46	298.09 LT	298.09 LT	298.09 0.00
	03/04/11 05/09/12 Sold	8	389.84	48.73	53,716 429.72	39.88 LT	39.88 LT	39.88 0.00
Total		69	\$ 3,281.91	\$ 3,706.33	\$ 3,706.33	\$ 424.42	\$ 424.42	\$ 424.42 \$ 0.00
OCCIDENTAL PETROLEUM CORP-DEL Morgan Stanley Smith Barney LLC acted as your agent. In this transaction.	01/20/11 05/09/12 Sold	68	6,550.04	96.32	83,877 5,703.56	(846.48) LT	(846.48) LT	(846.48) 0.00
ORACLE CORP Morgan Stanley Smith Barney LLC acted as your agent. In this transaction.	12/22/10 05/16/12 Sold	21	665.04	31.66	26.80 562.79	(102.25) LT	(102.25) LT	(102.25) 0.00
	01/20/11 05/16/12 Sold	199	6,407.40	32.19	26.80 5,333.09	(1,074.31) LT	(1,074.31) LT	(1,074.31) 0.00
ORACLE CORP Morgan Stanley Smith Barney LLC acted as your agent. In this transaction.	01/20/11 05/18/12 Sold	308	9,916.99	32.19	25,773 7,938.15	(1,978.84) LT	(1,978.84) LT	(1,978.84) 0.00
	03/04/11 05/18/12 Sold	2	65.08	32.53	25,773 51.55	(13.53) LT	(13.53) LT	(13.53) 0.00



Morgan Stanley Smith Barney

Reserved Client Financial Management Account May 1 - May 31, 2012

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Ref: 00000028 00002553

RICHARD L KAY TTEE Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary income
ORACLE CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction	03/04/11 05/18/12 Sold	350	\$ 11,388.86 \$ 11,388.86	\$ 32.53	25,417 8,895.82	(\$ 2,493.04) (\$ 2,493.04)	LT (\$ 2,493.04) LT (\$ 2,493.04)	\$ 0.00 \$ 0.00
Total		880	\$ 28,443.37		\$ 22,781.40	(\$ 5,661.97)	(\$ 5,661.97)	\$ 0.00
PERRIGO COMPANY Morgan Stanley Smith Barney LLC acted as your agent in this transaction	04/12/11 05/01/12 Sold	35	3,059.85	84.99	105,026 3,780.85	720.90 3,780.85	LT 720.90 LT 3,780.85	LT 720.90 LT 3,780.85
Total		23	2,607.37	87.27	105,026	408.17	ST 408.17	ST 408.17
PRICE SMART INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	11/15/11 05/10/12 Sold	80	5,354.44	66.93	77,532 6,202.42	847.98 6,202.42	ST 847.98 ST 6,202.42	ST 847.98 ST 6,202.42
Total		59	\$ 5,067.32		\$ 5,196.39	\$ 1,129.07	\$ 1,129.07	\$ 1,129.07
RALPH LAUREN CORP CL A Morgan Stanley Smith Barney LLC acted as your agent in this transaction	06/23/11 05/21/12 Sold	21	2,657.23	125.58	143.91 3,022.05	384.82 3,022.05	ST 384.82 ST 3,022.05	ST 384.82 ST 3,022.05
Total		128	4,391.33	34.30	16,791	(2,242.14)	ST (2,242.14)	ST (2,242.14)
RIVERBED TECHNOLOGY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	05/29/12 Sold	9	301.78 X	25.26	16,791 151.12	(150.66) 151.12	ST (150.66) ST 151.12	ST (150.66) ST 151.12
Total		107	3,030.96	28.32	16,791	(1,234.36)	ST (1,234.36)	ST (1,234.36)
Total		244	\$ 7,724.06		\$ 4,096.90	(\$ 3,627.16)	(\$ 3,627.16)	(\$ 3,627.16)
SCRIPPS NETWORK INTERACTIVE Morgan Stanley Smith Barney LLC acted as your agent in this transaction	02/23/12 05/23/12 Sold	90	3,981.46	44.23	51,914 4,672.16	690.70 4,672.16	ST 690.70 ST 4,672.16	ST 690.70 ST 4,672.16
Total		270	7,978.50	29.55	34.48	1,330.89	LT 1,330.89	LT 1,330.89
SHOPRITE HOLDINGS UNSPON ADR Morgan Stanley Smith Barney LLC acted as your agent	12/22/10 05/02/12 Sold	70	2,068.50	29.55	34.56 2,419.14	350.64 2,419.14	LT 350.64 LT 2,419.14	LT 350.64 LT 2,419.14
Total		340	\$ 10,047.00		\$ 11,728.53	\$ 1,681.53	\$ 1,681.53	\$ 1,681.53



**Morgan Stanley
Smith Barney**

**Reserved Client
Financial Management Account
May 1 - May 31, 2012**

Ref: 00000028 00002854

RICHARD L KAY TTEE

Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
SUNOCO INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	07/21/11 05/01/12 Sold	71	\$ 2,517.65	\$ 35.45	50.062 3,594.32	\$ 1,036.67 ST	\$ 1,036.67 ST	\$ 1,036.67 \$ 0.00
	09/28/11 05/01/12 Sold	80	2,444.08	30.55	50.062 4,004.87	1,560.79 ST	1,560.79 ST	1,560.79 0.00
	10/05/11 05/01/12 Sold	7	192.25	27.46	50.062 350.43	158.18 ST	158.18 ST	158.18 0.00
Total		158	\$ 5,153.98		\$ 7,909.62	\$ 2,755.64	\$ 2,755.64	\$ 2,755.64 \$ 0.00
TAKE-TWO INTERACTIVE SOFTWARE Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/04/11 05/23/12 Sold	127	2,031.49	15.99	11,396 1,447.37	(584.12) LT	(584.12) LT	(584.12) 0.00
	08/15/11 05/23/12 Sold	45	535.50	11.90	512.85	(22.65) ST	(22.65) ST	(22.65) 0.00
	03/05/12 05/23/12 Sold	36	558.72	15.52	11,396 410.28	(148.44) ST	(148.44) ST	(148.44) 0.00
Total		208	\$ 3,125.71		\$ 2,370.50	(\$ 755.21)	(\$ 755.21)	(\$ 755.21) \$ 0.00
TEAVANA HOLDINGS INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	08/24/11 05/07/12 Sold	36	888.19	18.93	19,814 713.29	(174.90) ST	(174.90) ST	(174.90) 0.00
	09/22/11 05/07/12 Sold	116	2,206.52	19.02	19,814 2,298.38	91.86 ST	91.86 ST	91.86 0.00
Total		152	\$ 3,094.71		\$ 3,011.67	(\$ 83.04)	(\$ 83.04)	(\$ 83.04) \$ 0.00
TELEFONICA S.A. SPON ADR Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/01/11 05/24/12 Sold	1,269	32,326.44	25.07	11,913 15,355.90	(16,970.54) LT	(16,970.54) LT	(16,970.54) 0.00
	09/23/11 05/24/12 Sold	511	11,193.52	18.32	11,913 7,278.86	(3,914.66) ST	(3,914.66) ST	(3,914.66) 0.00
Total		1,900	\$ 43,519.96		\$ 22,634.76	(\$ 20,885.20)	(\$ 20,885.20)	(\$ 20,885.20) \$ 0.00
TERADYNE INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	05/13/11 05/02/12 Sold	39	634.92	16.28	17,011 663.41	28.49 ST	28.49 ST	28.49 0.00
	06/28/11 05/02/12 Sold	45	651.75	14.47	17,011 765.48	114.33 ST	114.33 ST	114.33 0.00
	07/20/11 05/02/12 Sold	25	347.75	13.91	17,011 425.26	77.51 ST	77.51 ST	77.51 0.00
	03/05/12 05/02/12 Sold	81	1,248.92	15.41	17,011 1,377.86	128.94 ST	128.94 ST	128.94 0.00
Total		190	\$ 2,882.74		\$ 3,232.01	\$ 349.27	\$ 349.27	\$ 349.27 \$ 0.00



Morgan Stanley Smith Barney

Reserved Client Financial Management Account May 1 - May 31, 2012

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Ref: 00000028 00002855

RICHARD L KAY TTEE Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
TOWERS WATSON & CO Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11 05/16/12 Sold	12	\$ 638.16	\$ 53.18	64.561 774.71	\$ -136.55 LT	\$ -136.55 LT	\$ 136.55 \$ 0.00
ULTIMATE SOFTWARE GROUP INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	12/22/10 05/23/12 Sold	14	581.66	48.68	79.33 1,110.59	428.93 LT	428.93 LT	428.93 0.00
VALLEY NATIONAL BANCORP CASH IN LIEU OF .85000 RECORD 05/11/12 PAY 05/25/12	05/02/11 05/25/12 Cash in lieu 05/05/11 05/25/12 Cash in lieu 08/16/11 05/25/12 Cash in lieu 05/25/12 Cash in lieu	.0907 .5566 .1874 .0153 .19 .85	1.18 7.19 2.37 10.71 .18 \$ 10.93	13.04 12.92 10.71 10.71 2.17 \$ 9.86	1.05 6.46 2.17 .18 \$ 9.86	(.13) LT (.73) LT (.20) ST (.01) ST (.01) ST (\$ 1.07)	(.13) LT (.73) LT (.20) ST (.01) ST (.01) ST (\$ 1.07)	(.13) 0.00 (.73) 0.00 (.20) 0.00 (\$ 1.07) \$ 0.00
WAL-MART DE MEXICO SA DE CV SPON ADR Morgan Stanley Smith Barney LLC acted as your agent	01/20/11 05/10/12 Sold 03/01/11 05/10/12 Sold 03/04/11 05/10/12 Sold	363 391 686 1,440	12,428.11 X 11,299.90 20,876.04 \$ 44,404.05	33.67 28.90 30.14 \$ 36,990.47	25,688 9,324.68 25,688 17,621.85 \$ 36,990.47	(3,103.43) LT (1,255.96) LT (3,054.19) LT (\$ 7,413.58)	(3,103.43) LT (1,255.96) LT (3,054.19) LT (\$ 7,413.58)	(3,103.43) 0.00 (1,255.96) 0.00 (3,054.19) 0.00 (\$ 7,413.58) \$ 0.00
WISCONSIN ENERGY CORP HLDG CO Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	08/10/11 05/16/12 Sold	145	4,182.00	28.84	37.323 5,411.71	1,229.71 ST	1,229.71 ST	1,229.71 0.00



Morgan Stanley
Smith Barney

Reserved Client
Financial Management Account
May 1 - May 31, 2012

Ref: 00000028-00002956

RICHARD L. KAY TTEE

Account number 062-71460-12 693

Realized gain or loss: continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary income
XCEL ENERGY INC	08/31/11	124	\$ 3,045.19	\$ 24.55	27.391	\$ 351.27	\$ 351.27	\$ 351.27
Morgan Stanley Smith Barney LLC	05/16/12 Sold				3,396.46			\$ 0.00
acted as your agent	09/13/11	51	1,232.57	24.16	27.391	164.36	164.36	164.36
In this transaction.	05/16/12 Sold				1,396.93			0.00
Total		175	\$ 4,277.76		\$ 4,793.39	\$ 515.63	\$ 515.63	\$ 515.63
Total Long Term this period						(\$ 42,839.60)	(\$ 42,839.60)	\$ 0.00
Total Short Term this period						(\$ 10,952.67)	(\$ 10,952.67)	\$ 0.00
Total realized gain or (loss) this period			\$ 786,215.31		\$ 734,295.15	(\$ 53,792.27)	(\$ 53,792.27)	\$ 0.00

Total losses disallowed due to wash sale this period (\$ 8,128.67) ST



Reserved Client Financial Management Account June 1 - June 30, 2012

Account number 062-71460-12 693

RICHARD L KAY TTEE

MorganStanley
SmithBarney

Ref: 00000200-00025324

GAIN/LOSS DETAILS

Please note: this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Absent specific instructions from you, trades are allocated using the FIFO (first-in, first-out) method. Day traders should therefore not rely on this section for day trading results. Your reinvestment activity has been summarized. Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) Information. Detailed information will be available at year-end in your 1099 Year-end summary.

Realized gain or loss

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss) Ordinary income
ARCH CAPITAL GROUP LTD MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/04/11 05/05/12 Sold	78	\$ 2,568.28	\$ 32.92	37.50 2,924.93	\$ 356.65 ST	\$ 356.65 ST	\$ 356.65 \$ 0.00
PARTNERRE LTD-BMD MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/02/11 06/26/12 Sold	30	2,379.67 X	63.45	73.002 2,190.01	(189.66) LT	(189.66) LT	(189.66) 0.00
	02/03/11 05/26/12 Sold	17	1,345.89 X	63.45	73.002 1,241.01	(104.88) LT	(104.88) LT	(104.88) 0.00
	03/04/11 06/26/12 Sold	73	4,649.37	63.69	73.002 5,329.03	679.66 ST	679.66 ST	679.66 0.00
Total		120	\$ 8,374.93		\$ 8,760.05	\$ 385.12	\$ 385.12	\$ 385.12 \$ 0.00
SEADRILL LTD MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/01/11 06/14/12 Sold	437	16,437.80	37.61	32.762 14,317.97	(2,120.73) LT	(2,120.73) LT	(2,120.73) 0.00
	03/04/11 06/14/12 Sold	258	9,568.58	37.20	32.762 8,452.64	(1,145.94) LT	(1,145.94) LT	(1,145.94) 0.00
	03/31/11 06/14/12 Sold	1,162	41,997.24	36.14	32.762 38,069.64	(3,927.60) LT	(3,927.60) LT	(3,927.60) 0.00
	06/08/11 06/14/12 Sold	23	785.20	34.13	32.762 753.52	(31.68) LT	(31.68) LT	(31.68) 0.00
Total		1,880	\$ 58,818.82		\$ 61,592.87	(\$ 7,225.95)	(\$ 7,225.95)	(\$ 7,225.95) \$ 0.00



Morgan Stanley
Smith Barney

Reserved Client
Financial Management Account
June 1 - June 30, 2012

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Ref: 00000200 00025325

RICHARD L KAY-TTEE

Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
MAKEMYTRIP LTD ORD Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/31/11 06/07/12 Sold	68	\$ 1,959.51	\$ 28.81	15.01 1,020.69	(\$ 938.82) LT	(\$ 938.82) LT	\$ 0.00 (\$ 938.82)
	06/05/11 06/07/12 Sold	52	1,241.87	23.88	15.01 780.53	(461.34) LT	(461.34) LT	(461.34) 0.00
	06/27/11 06/07/12 Sold	40	938.45	23.46	15.01 600.40	(338.05) ST	(338.05) ST	(338.05) 0.00
Total		160	\$ 4,139.83		\$ 2,401.62	(\$ 1,738.21)	(\$ 1,738.21)	(\$ 1,738.21) \$ 0.00
AT&T INC. Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/01/11 06/13/12 Sold	1,006	28,258.54	28.09	34.86 35,068.77	6,810.23 LT	6,810.23 LT	6,810.23 0.00
AMERISOURCEBERGEN CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	12/22/10 06/13/12 Sold	4	136.15	34.03	36.813 147.25	11.10 LT	11.10 LT	11.10 0.00
	01/20/11 06/13/12 Sold	16	\$68.13	35.50	36.813 589.00	20.87 LT	20.87 LT	20.87 0.00
Total		20	\$ 704.28		\$ 736.25	\$ 31.97	\$ 31.97	\$ 31.97 \$ 0.00
ANNALY CAPITAL MANAGEMENT INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/04/11 06/13/12 Sold	200	3,574.00	17.87	16.802 3,360.32	(213.68) LT	(213.68) LT	(213.68) 0.00
APPROACH RESOURCES INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	08/09/11 06/06/12 Sold	47	913.39	19.43	27.75 1,304.22	390.83 ST	390.83 ST	390.83 0.00
	09/06/11 06/06/12 Sold	50	871.45	17.42	27.75 1,387.46	516.01 ST	516.01 ST	516.01 0.00
Total		97	\$ 1,784.84		\$ 2,691.68	\$ 906.84	\$ 906.84	\$ 906.84 \$ 0.00
BED BATH & BEYOND Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/06/12 06/06/12 Sold	45	2,756.50	61.25	71.977 3,238.89	482.39 ST	482.39 ST	482.39 0.00
CVS CAREMARK CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11 06/19/12 Sold	302	10,671.47	35.33	46.037 13,902.86	3,231.39 LT	3,231.39 LT	3,231.39 0.00
	03/04/11 06/19/12 Sold	18	592.50	32.91	46.037 828.65	236.15 LT	236.15 LT	236.15 0.00
Total		320	\$ 11,263.97		\$ 14,731.51	\$ 3,467.54	\$ 3,467.54	\$ 3,467.54 \$ 0.00



**Morgan Stanley
Smith Barney**

**Reserved Client
Financial Management Account**
June 1 - June 30, 2012

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Ref: 00000200 00002326

RICHARD L KAY TTEE

Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary income
CAMERON INTERNATIONAL CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction	02/08/12 06/21/12 Sold	16	\$ 917.66	\$ 57.35	42,367 677.85	(\$ 239.81)	ST (\$ 239.81)	\$ 0.00 \$ 239.81
CENTERPOINT ENERGY INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	03/04/11 06/19/12 Sold	20	316.16	15.80	20,861 417.22	101.06	LT 101.06	101.06 0.00
COMPANHIA ENERGETICA DE MINAS SPADR Morgan Stanley Smith Barney LLC acted as your agent in this transaction	06/05/12 Sold 01/20/11 06/05/12 Sold	343,777A 7,222B	122.98	17.03	17.06 123.21	23	LT 23	23 0.00
Total		351	\$ 122.98	\$ 123.21	\$ 123.21	\$ 23	\$ 23	\$ 23 \$ 0.00
COMPANHIA DE BEBIDAS DAS AMERS SPONSADR SALE OF PARTS ON 730,000 SHS CONCHO RESOURCES INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	06/11/12 06/11/12 Tender 03/04/11 06/21/12 Sold 04/11/11 06/21/12 Sold	730 10 44	.01 1,080.40 3,519.06	11.38 108.04 102.70	82,335 823.34 82,335 3,622.68	257.06	LT 257.06	257.06 0.00 0.00 (\$ 1,153.44)
Total		50	\$ 5,599.46	\$ 4,446.02	\$ 4,446.02	(\$ 1,153.44)	(\$ 1,153.44)	\$ 0.00 \$ 0.00
CROWN HOLDINGS INC (HOLDING COMPANY) Morgan Stanley Smith Barney LLC acted as your agent	12/22/10 06/27/12 Sold 01/20/11 06/27/12 Sold	40 50	1,352.35 1,646.88	33.80 32.93	33,601 1,344.03 33,601 1,680.03	8.32	LT 8.32	8.32 0.00 33.15 0.00
Total		90	\$ 2,999.23	\$ 3,024.06	\$ 3,024.06	\$ 24.83	\$ 24.83	\$ 24.83 \$ 0.00
DECKERS OUTDOOR CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction	03/04/11 06/22/12 Sold 08/16/11 06/22/12 Sold 03/03/12 06/22/12 Sold	40 3 12	3,471.37 269.67 920.52	86.78 89.89 76.71	45,105 1,804.18 45,105 135.31 541.26	1,667.19	LT 1,667.19	1,667.19 0.00 134.36 0.00 379.26 (\$ 2,180.81)
Total		55	\$ 4,661.56	\$ 2,480.75	\$ 2,480.75	(\$ 2,180.81)	(\$ 2,180.81)	(\$ 2,180.81) \$ 0.00



**Morgan Stanley
Smith Barney**

**Reserved Client
Financial Management Account
June 1 - June 30, 2012**

Ref: 00000200.00025327

Account number: 062-71460-12.693.

RICHARD L KAY TTEE

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
EMC CORP-MASS Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11 06/14/12 Sold	245	\$ 5,837.84	\$ 23.82	23,806 5,832.45	(\$ 5.39) LT	(\$ 5.39) LT	(\$ 5.39) \$ 0.00
ENERPLUS CORPORATION Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/01/11 06/08/12 Sold	659	21,217.49	32.19	13,133 8,654.91	(12,562.58) LT	(12,562.58) LT	(12,562.58) 0.00
ENERPLUS CORPORATION Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/01/11 06/13/12 Sold	440	14,165.46	32.19	12,717 5,595.48	(8,570.98) LT	(8,570.98) LT	(8,570.98) 0.00
ENERPLUS CORPORATION Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/01/11 06/15/12 Sold	84	2,704.51	32.19	12,333 1,036.00	(1,668.51) LT	(1,668.51) LT	(1,668.51) 0.00
ENERPLUS CORPORATION Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/04/11 06/15/12 Sold	356	11,537.46	32.40	12,333 4,390.65	(7,146.81) LT	(7,146.81) LT	(7,146.81) 0.00
Total		1,539	\$ 49,625.92	\$ 18,677.04	\$ 29,946.88	(\$ 29,946.88)	(\$ 29,946.88)	(\$ 29,946.88) \$ 0.00
FACEBOOK INC - A Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	05/23/12 06/05/12 Sold	92	2,930.08	31.84	26,761 2,462.03	(468.05) ST	(468.05) ST	(468.05) 0.00
FAMILY DOLLAR STORES INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	05/02/12 06/07/12 Sold	45	3,090.47	68.67	67.43 3,034.28	(56.19) ST	(56.19) ST	(56.19) 0.00
FEDERATED INVS INC PA CL B Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/01/11 06/19/12 Sold	746	20,671.58	27.70	21,977 16,394.77	(4,276.81) LT	(4,276.81) LT	(4,276.81) 0.00
	03/04/11 06/19/12 Sold	290	8,038.08	27.71	21,977 6,373.30	(1,664.78) LT	(1,664.78) LT	(1,664.78) 0.00
Total		1,036	\$ 28,709.66	\$ 22,768.07	(\$ 5,941.59)	(\$ 5,941.59)	(\$ 5,941.59)	(\$ 5,941.59) \$ 0.00



Morgan Stanley
Smith Barney

Reserved Client
Financial Management Account
June 1 - June 30, 2012

Ref: 00000208 00025328

Account number 052-71450-12-693

RICHARD L KATZEE

Realized gain or loss - continued

Description	Original Trade Date/ Closing Trans Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
FIDELITY NATL INFORMATION SVCS INC Morgan Stanley Smith Barney LLC acted as your agent	01/13/11 05/04/12 Sold 07/20/11	2 89	\$ 59.58 2,658.03	\$ 29.79 29.97	31,131 2,770.60	\$ 2.68 LT 102.57 LT	\$ 2.68 LT 102.57 LT	\$ 2.68 \$ 0.00 102.57 0.00
FIDELITY NATL INFORMATION SVCS INC Morgan Stanley Smith Barney LLC acted as your agent	07/20/11 05/18/12 Sold 03/04/11 06/18/12 Sold	21 71	629.53 2,239.34	29.97 31.54	33,172 2,355.21	67.08 LT 115.87 LT	67.08 LT 115.87 LT	67.08 0.00 115.87 0.00
FIDELITY NATL INFORMATION SVCS INC Morgan Stanley Smith Barney LLC acted as your agent	03/04/11 05/26/12 Sold 03/03/12 05/26/12 Sold	21 71	652.34 2,232.94	31.54 31.44	32,633 2,316.89	22.94 LT 83.95 ST	22.94 LT 83.95 ST	22.94 83.95 0.00
Total		275	\$ 8,491.76		\$ 8,886.85	\$ 395.09	\$ 395.09	\$ 395.09 \$ 0.00
GREEN DOT CLASS A Morgan Stanley Smith Barney LLC acted as your agent in this transaction	04/29/11 05/12/12 Sold 05/03/11 06/12/12 Sold	83 77	3,830.38 3,293.42	46.14 42.77	20,581 1,584.62	(2,122.28) LT (1,708.80) LT	(2,122.28) LT (1,708.80) LT	(2,122.28) 0.00 (1,708.80) 0.00
GREEN DOT CLASS A Morgan Stanley Smith Barney LLC acted as your agent in this transaction	04/29/11 06/22/12 Sold 05/03/11 06/22/12 Sold	2 9	83.87 X 380.95	27.55 42.77	21,581 194.23	(40.71) LT (190.72) LT	(40.71) LT (190.72) LT	(40.71) 0.00 (190.72) 0.00
IMPAX LABORATORIES INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	06/27/11 06/22/12 Sold 06/27/11 06/22/12 Sold	59 15	1,889.83 484.42	33.72 32.29	21,581 323.72	(716.64) LT (160.70) ST	(716.64) LT (160.70) ST	(716.64) 0.00 (160.70) 0.00
Total		360	\$ 13,531.39		\$ 7,608.96	(\$ 5,922.43)	(\$ 5,922.43)	(\$ 5,922.43) \$ 0.00
IMPAX LABORATORIES INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction	01/14/11 06/23/12 Sold	57	1,258.00	22.07	20,151 1,148.60	(109.40) LT	(109.40) LT	(109.40) 0.00



Morgan Stanley
Smith Barney

Reserved Client
Financial Management Account
June 1 - June 30, 2012

Ref: 00000200 00025329

RICHARD L KAY TTEE

Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost Basis/ Adjusted Basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
IRIBOT CORP Morgan Stanley Smith Barney LLC, acted as your agent in this transaction.	08/03/11 06/06/12 Sold	12	\$ 372.24	\$ 31.02	21,301 255.61	(\$ 116.63) ST	(\$ 116.63) ST	\$ 0.00
	08/09/11	25	631.26	25.25	21,301	(98.74) ST	(98.74) ST	0.00
	06/06/12 Sold				532.52			0.00
	09/15/11	90	2,390.09	26.55	21,301	(473.04) ST	(473.04) ST	0.00
	06/06/12 Sold				1,917.05			0.00
Total		127	\$ 3,393.59		\$ 2,705.18	(\$ 688.41)	(\$ 688.41)	\$ 0.00
JPMORGAN CHASE & CO Morgan Stanley Smith Barney LLC, acted as your agent in this transaction.	03/04/11 06/04/12 Sold	122	5,536.18	45.37	31,316 3,820.49	(1,715.69) LT	(1,715.69) LT	0.00
	05/03/11	148	6,777.14	45.79	31,316	(2,142.45) LT	(2,142.45) LT	0.00
	06/04/12 Sold				4,634.69			0.00
Total		270	\$ 12,313.32		\$ 8,455.18	(\$ 3,858.14)	(\$ 3,858.14)	\$ 0.00
LINCOLN ELECTRIC CO HOLDINGS INC.(NEW) Morgan Stanley Smith Barney LLC, acted as your agent.	03/01/11 06/11/12 Sold	129	4,498.75	34.87	44,967 5,800.69	1,301.94 LT	1,301.94 LT	1,301.94
								0.00
METLIFE INC Morgan Stanley Smith Barney LLC, acted as your agent. in this transaction.	12/22/10 06/13/12 Sold	100	4,495.00	44.95	29,581 2,958.08	(1,536.92) LT	(1,536.92) LT	0.00
MITEK SYSTEMS INC Morgan Stanley Smith Barney LLC, acted as your agent in this transaction.	02/14/12 06/05/12 Sold	299	3,281.23	10.97	2,152 643.67	(2,637.56) ST	(2,637.56) ST	0.00
	04/04/12	101	808.91	8.00	2,152	(591.38) ST	(591.38) ST	0.00
	06/05/12 Sold				217.43			0.00
	04/11/12	98	622.10	6.34	2,152	(411.14) ST	(411.14) ST	0.00
	06/05/12 Sold				210.96			0.00
Total		498	\$ 9,712.14		\$ 1,072.06	(\$ 3,640.08)	(\$ 3,640.08)	\$ 0.00
NAVISTAR INTL CORP NEW Morgan Stanley Smith Barney LLC, acted as your agent in this transaction.	07/28/11 06/18/12 Sold	411	21,095.36	51.32	28,191 11,586.41	(9,508.95) ST	(9,508.95) ST	0.00



**Morgan Stanley
Smith Barney**

**Reserved Client
Financial Management Account**
June 1 - June 30, 2012

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Ref: 0000200 00025399

RICHARD L KAY TTEE

Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
NAVISTAR INTL CORP NEW Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	07/28/11 06/20/12 Sold	139	\$ 7,134.44	\$ 51.32	28,163 3,914.62	(\$ 3,219.82) ST	(\$ 3,219.82) ST	\$ 0.00
	08/24/11 06/20/12 Sold	155	\$ 987.04	\$ 38.69	28,163 4,355.23	(\$ 1,631.81) ST	(\$ 1,631.81) ST	\$ 0.00
	04/11/12 06/20/12 Sold	226	\$ 8278.97	\$ 36.63	28,163 6,364.79	(\$ 1,914.18) ST	(\$ 1,914.18) ST	\$ 0.00
Total		501	\$ 42,505.81		\$ 26,231.05	(\$ 16,274.76)	(\$ 16,274.76)	\$ 0.00
OGE ENERGY CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/04/11 06/07/12 Sold	57	\$ 2,777.61	\$ 48.73	54.04 3,080.21	302.60 LT	302.60 LT	302.60 0.00
O REILLY AUTOMOTIVE INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11 05/06/12 Sold	6	\$ 348.47	\$ 58.07	36,661 591.95	243.48 LT	243.48 LT	243.48 0.00
OCCIDENTAL PETROLEUM CORP-DEL Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11 06/15/12 Sold	34	\$ 3,275.02	\$ 96.32	84,725 2,880.61	(\$ 394.41) LT	(\$ 394.41) LT	(\$ 394.41) 0.00
OCCIDENTAL PETROLEUM CORP-DEL Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	02/05/11 06/21/12 Sold	6	\$ 567.19 X	\$ 87.36	81,647 489.97	(\$ 77.32) LT	(\$ 77.32) LT	(\$ 77.32) 0.00
	02/24/11 06/21/12 Sold	22	\$ 2,289.04	\$ 104.04	81,647 1,796.19	(\$ 492.85) LT	(\$ 492.85) LT	(\$ 492.85) 0.00
	03/04/11 06/21/12 Sold	76	\$ 7,763.86	\$ 102.15	81,647 6,205.03	(\$ 1,558.83) LT	(\$ 1,558.83) LT	(\$ 1,558.83) 0.00
Total		138	\$ 13,895.11		\$ 11,371.70	(\$ 2,523.41)	(\$ 2,523.41)	(\$ 2,523.41) \$ 0.00
RALPH LAUREN CORP CL A Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	06/23/11 06/29/12 Sold	2	\$ 251.17	\$ 125.58	140,015 280.02	28.85 LT	28.85 LT	28.85 0.00
	08/10/11 06/29/12 Sold	2	\$ 267.12	\$ 128.39	140,015 280.02	12.90 ST	12.90 ST	12.90 0.00
	08/10/11 06/29/12 Sold	17	\$ 2,182.73	\$ 128.39	140,015 2,380.22	197.49 ST	197.49 ST	197.49 0.00
Total		21	\$ 2,701.02		\$ 2,940.26	\$ 239.24	\$ 239.24	\$ 239.24 \$ 0.00



MorganStanley
SmithBarney

Reserved Client
Financial Management Account
June 1 - June 30, 2012

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Ref: 00000200100025331

RICHARD L KAY TTEE

Account number: 062-71460-12.693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary income
ROBBINS & MYERS INC MorganStanley SmithBarney LLC acted as your agent In this transaction.	03/21/12 06/27/12 Sold	50	\$ 2,282.70	\$ 45.65	40,123 2,006.11	(\$ 276.59) ST	(\$ 276.59) ST	\$ 0.00
SALIX PHARMACEUTICALS LTD MorganStanley SmithBarney LLC acted as your agent In this transaction.	12/02/11 06/22/12 Sold	68	2,991.48	43.99	51,20 3,481.52	490.04 ST	490.04 ST	490.04 0.00
SALIX PHARMACEUTICALS LTD MorganStanley SmithBarney LLC acted as your agent In this transaction.	12/08/11 06/22/12 Sold	8	351.60	43.95	51.20 409.59	57.99 ST	57.99 ST	57.99 0.00
SALIX PHARMACEUTICALS LTD MorganStanley SmithBarney LLC acted as your agent In this transaction.	12/08/11 06/27/12 Sold	62	2,724.90	43.95	52,284 3,240.32	515.42 ST	515.42 ST	515.42 0.00
Total		138	\$ 6,067.98		\$ 7,131.43	\$ 1,063.45	\$ 1,063.45	\$ 1,063.45 \$ 0.00
SCRIPPS NETWORK/INTERACTIVE MorganStanley SmithBarney LLC acted as your agent In this transaction.	02/23/12 06/13/12 Sold	56	2,477.36	44.23	56,602 3,189.63	692.27 ST	692.27 ST	692.27 0.00
SCRIPPS NETWORK/INTERACTIVE MorganStanley SmithBarney LLC acted as your agent In this transaction.	03/14/12 06/13/12 Sold	1	47.86	47.86	56,602 56.60	8.74 ST	8.74 ST	8.74 0.00
SCRIPPS NETWORK/INTERACTIVE MorganStanley SmithBarney LLC acted as your agent In this transaction.	03/14/12 06/27/12 Sold	57	2,723.02	47.86	55,983 3,190.96	462.94 ST	462.94 ST	462.94 0.00
Total		114	\$ 5,253.24		\$ 6,417.19	\$ 1,163.95	\$ 1,163.95	\$ 1,163.95 \$ 0.00
SVNESKA-CELLULOZA AKTIEBOLAGET SCA SPONSORED ADR MorganStanley SmithBarney LLC	08/02/11 06/15/12 Sold	2,040	29,585.51	14.50	14,815 30,222.53	637.02 ST	637.02 ST	637.02 0.00
SVNESKA-CELLULOZA AKTIEBOLAGET SCA SPONSORED ADR MorganStanley SmithBarney LLC	08/02/11 06/15/12 Sold	2,104	30,513.88	14.50	14,82 31,182.06	668.38 ST	668.38 ST	668.38 0.00
SVNESKA-CELLULOZA AKTIEBOLAGET SCA SPONSORED ADR MorganStanley SmithBarney LLC	08/03/11 06/15/12 Sold	148	2,075.09 X	13.45	14,82 2,163.77	88.68 ST	88.68 ST	88.68 0.00
Total		4,290	\$ 62,174.28		\$ 63,568.38	\$ 1,394.08	\$ 1,394.08	\$ 1,394.08 \$ 0.00
TELENOX ASA ADR/REPSTG MorganStanley SmithBarney LLC acted as your agent	03/04/11 06/27/12 Sold	150	7,401.00	49.34	47,952 7,182.65	(208.35) LT	(208.35) LT	(208.35) 0.00
TELENOX ASA ADR/REPSTG MorganStanley SmithBarney LLC acted as your agent	06/22/11 06/27/12 Sold	120	5,656.04	47.13	47,952 5,754.12	98.08 LT	98.08 LT	98.08 0.00
Total		270	\$ 13,057.04		\$ 12,946.77	(\$ 110.27)	(\$ 110.27)	(\$ 110.27) \$ 0.00



**Morgan Stanley
Smith Barney**

**Reserved Client
Financial Management Account**
June 1 - June 30, 2012

Account number 062-71460-12 693

RICHARD L KAY TTEE

Realized gain or loss continued.

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary income
TEMPUR-PEDIC INTERNATIONAL INC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	03/01/11 06/07/12 Sold	63	\$ 2,908.08	\$ 46.16	21.74 1,369.59	(\$ 1,538.49) LT	(\$ 1,538.49) LT	(\$ 1,538.49) \$ 0.00
	03/04/11 06/07/12 Sold	267	12,940.42	48.46	21.74 5,804.44	(7,135.98) LT	(7,135.98) LT	(7,135.98) 0.00
Total		330	\$ 15,848.50		\$ 7,174.03	(\$ 8,674.47)	(\$ 8,674.47)	(\$ 8,674.47) \$ 0.00
TRACTOR SUPPLY CO Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	01/20/11 06/06/12 Sold	40	1,890.40	47.26	88.524 3,540.90	1,650.50 LT	1,650.50 LT	1,650.50 0.00
	03/01/11 06/08/12 Sold	15	758.58	50.57	88.524 1,327.84	589.26 LT	589.26 LT	589.26 0.00
Total		55	\$ 2,648.98		\$ 4,868.74	\$ 2,219.76	\$ 2,219.76	\$ 2,219.76 \$ 0.00
UNITED NATURAL FOODS INC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	01/20/11 06/22/12 Sold	6	223.13	37.18	52.575 315.44	92.31 LT	92.31 LT	92.31 0.00
	03/04/11 06/22/12 Sold	125	5,407.88	43.26	52.575 6,571.72	1,163.84 LT	1,163.84 LT	1,163.84 0.00
	05/06/11 06/22/12 Sold	9	375.23	41.69	52.575 473.17	97.94 LT	97.94 LT	97.94 0.00
Total		140	\$ 6,006.24		\$ 7,360.30	\$ 1,354.09	\$ 1,354.09	\$ 1,354.09 \$ 0.00
UNITEDHEALTH GROUP INC Morgan Stanley Smith Barney LLC acted as your agent In this transaction.	04/29/11 06/18/12 Sold	25	1,230.07	49.20	58.427 1,460.65	230.58 LT	230.58 LT	230.58 0.00
	05/02/11 06/18/12 Sold	25	1,247.19	49.88	58.427 1,460.65	213.46 LT	213.46 LT	213.46 0.00
Total		50	\$ 2,477.26		\$ 2,921.30	\$ 444.04	\$ 444.04	\$ 444.04 \$ 0.00



Morgan Stanley
Smith Barney

Reserved Client
Financial Management Account
June 1 - June 30, 2012

Ref: 00000200 00025333

RICHARD L KAY TTEE

Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
WISCONSIN ENERGY CORP HLDG CO Morgan Stanley Smith Barney LLC acted as your agent in this transaction	06/10/11 06/11/12 Sold	125	\$3,605.18	\$ 28.84	38,743 4,842.77	\$ 1,237.59	\$ 1,237.59	\$ 1,237.59
Total Long Term this period						(\$ 52,800.82)	(\$ 52,800.82)	
Total Short Term this period						(16,048.52)	(16,048.52)	
Total realized gain or (loss) this period			471,396.39		402,549.05	(68,849.34)	(68,849.34)	

Total losses disallowed due to wash sale this period

\$ 0.00



Reserved Client Financial Management Account July 1 - July 31, 2012

Ref: 00000132 00003169

MorganStanley
SmithBarney

RICHARD L KAY TTEE

Account number 062-71460-12 693

GAIN/LOSS DETAILS

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. Absent specific instructions from you, trades are allocated using the FIFO (first-in-first-out) method. Day traders should therefore not rely on this section for day trading results. Your reinvestment activity has been summarized. Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information. Detailed information will be available at year-end in your 1099. Year-end summary.

Realized gain or loss

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost Basis/ Adjusted Basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
MAKEMTRIP LTD. ORD MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/27/11 07/03/12 Sold	106	\$ 2,486.91	\$ 23.46	14,946 1,594.32	(\$ 902.59) LT	(\$ 902.59)	(\$ 902.59) \$ 0.00
	08/01/11 07/03/12 Sold	54	1,187.97	21.99	14,946 807.11	(380.86) ST	(380.86)	(380.86) 0.00
Total		160	\$ 3,674.88		\$ 2,391.43	(\$ 1,283.45)	(\$ 1,283.45)	(\$ 1,283.45) \$ 0.00
AMETEK INC CASH IN LIEU OF 50000 RECORD 06/15/12 PAY 06/29/12	06/29/12 Cash in lieu	5			16.89		0.00	0.00
BRISTOL MYERS SQUIBB CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/01/11 07/03/12 Sold	590	15,138.22	25.65	35,142 20,733.49	5,595.27 LT	5,595.27	5,595.27 0.00
COHERENT INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/02/12 07/02/12 Sold	56	3,203.84	55.23	42,933 2,490.09	(713.75) ST	(713.75)	(713.75) 0.00
	04/18/12 07/02/12 Sold	56	3,042.19	54.32	42,933 2,404.22	(637.97) ST	(637.97)	(637.97) 0.00
Total		114	\$ 6,246.03		\$ 4,894.31	(\$ 1,351.72)	(\$ 1,351.72)	(\$ 1,351.72) \$ 0.00
DECKERS OUTDOOR CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/01/11 07/05/12 Sold	9	855.26 X	63.01	46,019 414.16	(441.10) LT	(441.10)	(441.10) 0.00
	03/04/11 07/05/12 Sold	5	489.92 X	63.01	46,019 230.09	(259.83) LT	(259.83)	(259.83) 0.00
	03/05/12 07/05/12 Sold	4	306.84	76.71	46,019 184.07	(122.77) ST	(122.77)	(122.77) 0.00
	03/23/12 07/05/12 Sold	49	3,233.77	65.99	46,019 2,254.88	(978.89) ST	(978.89)	(978.89) 0.00
Total		67	\$ 4,885.29		\$ 3,063.20	(\$ 1,802.59)	(\$ 1,802.59)	(\$ 1,802.59) \$ 0.00



**Morgan Stanley
Smith Barney**

**Reserved Client
Financial Management Account**
July 1 - July 31, 2012

Ref: 00000132 00003170

RICHARD L KAY TTEE

Account number 062-71460-12 693

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
HOME DEPOT INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	06/13/11 07/03/12 Sold	197	\$ 6,623.70	\$ 33.81	51,575 10,160.15	\$ 3,537.05 LT	\$ 3,537.05 LT	\$ 3,537.05
	08/09/11 07/02/12 Sold	10	282.21	29.22	51,575 515.74	223.53 ST	223.53 ST	223.53 0.00
Total		207	\$ 6,915.31	\$ 10,875.89		\$ 3,760.58	\$ 3,760.58	\$ 3,760.58 \$ 0.00
INFORMATICA CORP. Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	05/04/12 07/06/12 Sold	67	3,089.14	46.10	30,314 2,031.04	(1,058.10) ST	(1,058.10) ST	(1,058.10) 0.00
	06/15/12 07/09/12 Sold	69	2,912.18	42.20	30,314 2,891.87	(820.51) ST	(820.51) ST	(820.51) 0.00
Total		136	\$ 6,001.32	\$ 4,122.71		(\$ 1,878.61)	(\$ 1,878.61)	(\$ 1,878.61) \$ 0.00
NRG ENERGY INC NEW Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/17/12 07/03/12 Sold	9	159.75	17.75	17,502 157.52	(2.23) ST	(2.23) ST	(2.23) 0.00
	01/20/12 07/03/12 Sold	161	2,509.33	16.20	17,502 2,817.77	208.44 ST	208.44 ST	208.44 0.00
Total		170	\$ 2,769.08	\$ 2,975.29		\$ 206.21	\$ 206.21	\$ 206.21 \$ 0.00
QUEST DIAGNOSTICS INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/31/11 07/02/12 Sold	8	362.90	57.15	60,378 362.26	19.36 LT	19.36 LT	19.36 0.00
ROBBINS & MYERS INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	03/22/12 07/02/12 Sold	74	3,281.89	44.48	41,566 3,075.86	(216.03) ST	(216.03) ST	(216.03) 0.00
SANOFI SPONS ADR Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	09/15/11 07/02/12 Sold	755	25,337.85	33.56	37,609 28,384.76	3,056.81 ST	3,056.81 ST	3,056.81 0.00
	04/11/12 07/02/12 Sold	85	3,105.80	36.54	37,609 3,196.76	90.86 ST	90.86 ST	90.86 0.00
Total		840	\$ 28,443.85	\$ 31,581.52		\$ 3,147.67	\$ 3,147.67	\$ 3,147.67 \$ 0.00
TD AMERITRADE HOLDING CORP Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	01/20/11 07/02/12 Sold	327	6,882.57	20.43	17.00 5,558.87	(1,123.70) LT	(1,123.70) LT	(1,123.70) 0.00



**Morgan Stanley
Smith Barney**

**Reserved Client
Financial Management Account**
July 1 - July 31, 2012

Ref: 00000132 00003171

RICHARD L KAY TTEE

Account number 062-71460-12 693.

Realized gain or loss continued

Description	Original Trade Date/ Closing Trade Date	Quantity	Cost basis/ Adjusted basis	Purchase price/ Adjusted price	Sale price/ Proceeds	Original Realized gain/(loss)	Adjusted Realized gain/(loss)	Capital gain/(loss)/ Ordinary Income
ULTIMATE SOFTWARE GROUP, INC Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	12/22/10 07/02/12 Sold	10	\$ 489.50	\$ 48.68	89,736 897.33	\$ 410.43 LT	\$ 410.43 LT	\$ 410.43 \$ 0.00
WISCONSIN ENERGY CORP HLDG CO Morgan Stanley Smith Barney LLC acted as your agent in this transaction.	08/10/11 07/02/12 Sold	80	2,307.31	28.84	40,113 3,208.96	901.65 ST	901.65 ST	901.65 0.00
Total Long Term this period						\$ 6,834.89	\$ 6,834.89	
Total Short Term this period						(\$ 449.82)	(\$ 449.82)	
Total realized gain or (loss) this period			\$ 87,186.05		\$ 83,571.32	\$ 6,385.07	\$ 6,385.07	

Total losses disallowed due to wash sale this period

\$ 0.00



FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
SCHEDULE ATTACHED-ML	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	212,414.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SCHEDULE ATTACHED-ML	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	686,982.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
SCHEDULE ATTACHED-ML	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	4,053.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SCHEDULE ATTACHED-ML	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-3,160.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SCHEDULE ATTACHED-ML	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-6,914.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SCHEDULE ATTACHED-MSSB-71460	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	480.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SCHEDULE ATTACHED-MSSB-71460	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-214.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	DATE ACQUIRED	DATE SOLD
SCHEDULE ATTACHED-MSSB-71460	0.	0.	0.		0.	-30,773.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	DATE ACQUIRED	DATE SOLD
SCHEDULE ATTACHED-MSSB-71460	0.	0.	0.		0.	-98,875.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	DATE ACQUIRED	DATE SOLD
SCHEDULE ATTACHED-MSSB-71460	0.	0.	0.		0.	-2,824.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	DATE ACQUIRED	DATE SOLD
SCHEDULE ATTACHED-MSSB-71460	0.	0.	0.		0.	-42,840.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SCHEDULE ATTACHED-MSSB-71460	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-16,050.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SCHEDULE ATTACHED-MSSB-71460	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-52,801.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SCHEDULE ATTACHED-MSSB-71460	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-450.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SCHEDULE ATTACHED-MSSB-71460	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	6,835.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	(E) DEPREC.	DATE ACQUIRED	DATE SOLD	(F) GAIN OR LOSS
SCHEDULE ATTACHED-MSSB-116637	0.	0.	0.		0.			807.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	(E) DEPREC.	DATE ACQUIRED	DATE SOLD	(F) GAIN OR LOSS
SCHEDULE ATTACHED-MSSB-116637	0.	0.	0.		0.			932.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	(E) DEPREC.	DATE ACQUIRED	DATE SOLD	(F) GAIN OR LOSS
SCHEDULE ATTACHED-MSSB-116637	0.	0.	0.		0.			35.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	(E) DEPREC.	DATE ACQUIRED	DATE SOLD	(F) GAIN OR LOSS
SCHEDULE ATTACHED-MSSB-116637	0.	0.	0.		0.			-100,642.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SCHEDULE ATTACHED-MSSB-116637	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-38,284.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SCHEDULE ATTACHED-MSSB-116637	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	4,714.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SCHEDULE ATTACHED-MSSB-116637	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	1,602.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SCHEDULE ATTACHED-MSSB-116637	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-11,266.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	(E) DEPREC.	DATE ACQUIRED	DATE SOLD	(F) GAIN OR LOSS
SCHEDULE ATTACHED-MSSB-116637	0.	0.	0.		0.			9,565.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	(E) DEPREC.	DATE ACQUIRED	DATE SOLD	(F) GAIN OR LOSS
SCHEDULE ATTACHED-MSSB-116637	0.	0.	0.		0.			-78,898.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	(E) DEPREC.	DATE ACQUIRED	DATE SOLD	(F) GAIN OR LOSS
SCHEDULE ATTACHED-MSSB-116637	0.	0.	0.		0.			-3,567.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	(E) DEPREC.	DATE ACQUIRED	DATE SOLD	(F) GAIN OR LOSS
SCHEDULE ATTACHED-MSSB-116637	0.	0.	0.		0.			-26,222.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SCHEDULE ATTACHED-MSSB-116637	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	7,588.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SCHEDULE ATTACHED-MSSB-5058E	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	16,746.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SCHEDULE ATTACHED-MSSB-139992	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	2,124.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SCHEDULE ATTACHED-MSSB-139992	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	62,138.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SCHEDULE ATTACHED-OPPENHEIMER	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	-51,260.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SCHEDULE ATTACHED-OPPENHEIMER	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
7,407,975.	6,890,989.	0.	0.	516,986.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SCHEDULE ATTACHED-FIDELITY-265551	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	42,101.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED	DATE SOLD
SCHEDULE ATTACHED-FIDELITY-275468	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	19,439.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
SCHEDULE ATTACHED-FIDELITY-275468	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	100,718.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
SCHEDULE ATTACHED-FIDELITY-275484	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	53,137.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
SCHEDULE ATTACHED-FIDELITY-275484	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	86,840.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
SCHEDULE ATTACHED-FIDELITY-275492	PURCHASED			
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
487,031.	431,189.	0.	0.	55,842.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	(E) DEPREC.	DATE ACQUIRED	(F) GAIN OR LOSS	DATE SOLD
SCHEDULE ATTACHED-FIDELITY-275492	1,131,691.	902,891.	0.		0.		228,800.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	(E) DEPREC.	DATE ACQUIRED	(F) GAIN OR LOSS	DATE SOLD
SCHEDULE ATTACHED-FIDELITY-275506	0.	0.	0.		0.		-11,729.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	(E) DEPREC.	DATE ACQUIRED	(F) GAIN OR LOSS	DATE SOLD
SCHEDULE ATTACHED-FIDELITY-275506	0.	0.	0.		0.		65,370.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	(E) DEPREC.	DATE ACQUIRED	(F) GAIN OR LOSS	DATE SOLD
SCHEDULE ATTACHED-FIDELITY-211311	0.	0.	0.		0.		15,666.	

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED		DATE SOLD
SCHEDULE ATTACHED-FIDELITY-211311	PURCHASED				
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
0.	0.	0.	0.	19,480.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SCHEDULE ATTACHED-FIDELITY-715824			PURCHASED		
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
0.	0.	0.	0.	15,083.	

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED		DATE ACQUIRED		DATE SOLD
SCHEDULE ATTACHED-FIDELITY-715824	PURCHASED				
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
0.	0.	0.	0.	-6,469.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
725 UTS ENBRIDGE ENERGY PARTNERS LP	19,662.	23,236.	0.	0.	-3,574.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
575 UTS ENBRIDGE ENERGY PARTNERS LP	15,594.	17,950.	0.	0.	-2,356.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
950 UTS ENBRIDGE ENERGY PARTNERS LP	27,444.	29,656.	0.	0.	-2,212.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
295 UTS ENBRIDGE ENERGY PARTNERS LP	8,522.	8,766.	0.	0.	-244.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
305 UTS ENBRIDGE ENERGY PARTNERS LP	8,780.	9,063.	0.	0.	-283.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
450 UTS ENBRIDGE ENERGY PARTNERS LP	13,157.	13,397.	0.	0.	-240.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
95 UTS ENBRIDGE ENERGY PARTNERS LP	2,778.	2,878.	0.	0.	-100.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
505 UTS ENBRIDGE ENERGY PARTNERS LP	14,765.	15,299.	0.	0.	-534.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1400 UTS ENBRIDGE ENERGY PARTNERS LP	40,934.	43,588.	0.	0.	-2,654.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
275 UTS ENTERPRISE PRODUCTS PPTNS LP	14,462.	10,739.	0.	0.	3,723.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
500 UTS ENTERPRISE PRODUCTS PPTNS LP	26,295.	19,932.	0.	0.	6,363.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
800 UTS ENTERPRISE PRODUCTS PPTNS LP	42,071.	32,688.	0.	0.	9,383.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
275 UTS ENTERPRISE PRODUCTS PPTNS LP	14,462.	11,290.	0.	0.	3,172.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
1000 UTS ENTERPRISE PRODUCTS PPTNS LP	52,589.	44,324.	0.	PURCHASED	11/07/11	08/24/12

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
150 UTS MARKWEST ENERGY PARTNERS LP	7,641.	6,880.	0.	PURCHASED	10/11/11	08/24/12

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
975 UTS MARKWEST ENERGY PARTNERS LP	49,669.	49,002.	0.	PURCHASED	11/09/11	08/24/12

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED (E) DEPREC.	DATE ACQUIRED (F) GAIN OR LOSS	DATE SOLD
450 UTS MARKWEST ENERGY PARTNERS LP	22,924.	23,145.	0.	PURCHASED	11/17/11	08/24/12

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
225 UTS MARKWEST ENERGY PARTNERS LP	11,462.	12,388.	0.	0.	-926.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
845 UTS ENERGY TRANSFER PARTNERS LP	36,385.	36,385.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
252 UTS ENERGY TRANSFER PARTNERS LP	10,851.	10,851.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
224 UTS ENERGY TRANSFER PARTNERS LP	9,645.	9,645.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
315 UTS ENERGY TRANSFER EQUITY LP	14,185.	14,185.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
229 UTS ENTERPRISE PRODUCTS PARTNERS LP	11,296.	11,296.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
999 UTS ENTERPRISE PRODUCTS PARTNERS LP	51,602.	51,602.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
437 UTS ENTERPRISE PRODUCTS PARTNERS LP	22,573.	22,573.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
546 UTS KINDER MORGAN ENERGY PTRS LP	PURCHASED	03/01/11	11/27/12		
	44,743.	44,743.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
217 UTS KINDER MORGAN ENERGY PTRS LP	PURCHASED	03/04/11	11/27/12		
	17,782.	17,782.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
122 UTS KINDER MORGAN ENERGY PTRS LP	PURCHASED	03/01/11	04/11/12		
	9,888.	9,888.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
516 UTS ONEOK PARTNERS LP	PURCHASED	03/01/11	11/27/12		
	30,297.	30,297.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
180 UTS ONEOK PARTNERS LP	10,569.	10,569.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
75 UTS ONEOK PARTNERS LP	4,404.	4,404.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
334 UTS PLAINS ALL AMERICAN PIPELINE LP	15,454.	15,454.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
100 UTS PLAINS ALL AMERICAN PIPELINE LP	4,627.	4,627.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
406 UTS PLAINS ALL AMERICAN PIPELINE LP	18,785.	18,785.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
6519 UTS GREENHAVEN CONTINUOUS COMMODITY	192,406.	199,956.	0.	0.	-7,550.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
11214 UTS GREENHAVEN CONTINUOUS COMMODITY	330,840.	339,692.	0.	0.	-8,852.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SECTION 1256 LOSS	-17,359.	-17,359.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SECTION 1256 LOSS					
	-26,039.	-26,039.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
LONG TERM GAIN PASSTHROUGH					
	643.	643.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
BOOK ADJUSTMENT TO BASIS					
	0.	69,723.	0.	0.	-69,723.

CAPITAL GAINS DIVIDENDS FROM PART IV	25,905.
TOTAL TO FORM 990-PF, PART I, LINE 6A	1,612,009.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	AMOUNT
FIDELITY MONEY MARKET	210.
JPM BANK DEPOSIT SWEEP	1,585.
ML BANK DEPOSIT	236.
MSSB CREDIT INTEREST	404.
OPPENHEIMER ADVANTAGE MONEY MARKET	9.
OPPENHEIMER CREDIT INTEREST	208.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	2,652.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
ACCRUED INTEREST PAID	-153,097.	0.	-153,097.
FIDELITY INV NOM DIV	75,898.	0.	75,898.
FIDELITY INV NOM DIV	73.	0.	73.
FIDELITY INV NOM DIV	48,805.	0.	48,805.
FIDELITY INV NOM DIV	124,458.	0.	124,458.
FIDELITY INV NOM DIV	45,516.	0.	45,516.
FIDELITY INV NOM DIV	2.	0.	2.
FIDELITY INV NOM DIV	57,383.	0.	57,383.
FIDELITY INV NOM DIV	22,284.	0.	22,284.
FIDELITY INV NOM DIV	443,064.	5,473.	437,591.
FIDELITY INV NOM INT	29,335.	0.	29,335.
FIDELITY INV NOM TAXABLE MUNI	82,074.	0.	82,074.
MERRILL LYNCH-NOM-CORP	6,270.	0.	6,270.
MERRILL LYNCH-NOM-DIV	292,448.	20,052.	272,396.
MERRILL LYNCH-NOM-DIV	77.	0.	77.
MERRILL LYNCH-NOM-US	1,792.	0.	1,792.
MORGANSTANLEY SMITHBARNEY NOM INT	9,879.	0.	9,879.
MORGANSTANLEY SMITHBARNEY NOM INT	94,821.	0.	94,821.
MORGANSTANLEY SMITHBARNEY NOM INT	5,009.	0.	5,009.
MORGANSTANLEY SMITHBARNEY NOM INT	36,285.	0.	36,285.
MORGANSTANLEY SMITHBARNEY NOM US	4,704.	0.	4,704.
MSSB-NOM-DIV	340,243.	0.	340,243.
MSSB-NOM-DIV	305,220.	380.	304,840.
OPPENHEIMER NOM INT	1,378,669.	0.	1,378,669.
OPPENHEIMER NOM TAX EX MUNI	107,203.	0.	107,203.
OPPENHEIMER NOM TAXABLE MUNI	98,343.	0.	98,343.
TOTAL TO FM 990-PF, PART I, LN 4	3,456,758.	25,905.	3,430,853.

FORM 990-PF OTHER INCOME STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ENDURO ROYALTY TRUST	4,306.	4,306.	
PTP ROYALTY INCOME	0.	345.	
PTP NON-UBTI ORDINARY (LOSS)	0.	-1,015.	
PTP INTEREST INCOME	0.	586.	
PTP DIVIDEND INCOME	0.	1,505.	

PTP UBTI	0.	0.
PTP UBTI ORDINARY GAINS	0.	0.
PTP DISTRIBUTIONS	37,281.	0.
FORM 1099-MISC INVESTMENT INCOME	1,319.	1,319.
FOREIGN CURRENCY GAIN	2,151.	2,151.
TOTAL TO FORM 990-PF, PART I, LINE 11	45,057.	9,197.

FORM 990-PF	ACCOUNTING FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	50,017.	25,009.		25,008.	
TO FORM 990-PF, PG 1, LN 16B	50,017.	25,009.		25,008.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT ADVISORY FEES	76,596.	76,596.			0.
INVESTMENT ADVISORY FEES	191,456.	191,456.			0.
INVESTMENT ADVISORY FEES	157,860.	157,860.			0.
CONSULTING FEES	1,381.	0.			1,381.
TO FORM 990-PF, PG 1, LN 16C	427,293.	425,912.			1,381.

FORM 990-PF	TAXES		STATEMENT		7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FEDERAL EXCISE TAX	36,000.	0.		0.	
PAYROLL TAXES	15,368.	0.		15,368.	
FOREIGN WITHHOLDING TAXES	42,165.	42,165.		0.	
TO FORM 990-PF, PG 1, LN 18	93,533.	42,165.		15,368.	

FORM 990-PF	OTHER EXPENSES		STATEMENT	8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BOOKKEEPING	12,100.	0.		12,100.
NY STATE FILING FEE	1,500.	0.		1,500.
FLORIDA FILING FEE	216.	0.		216.
INSURANCE	7,286.	0.		7,286.
OFFICE EXPENSE	6,768.	0.		6,768.
ADR FEES	9,600.	9,600.		0.
ACCOUNT FEES	1,685.	1,685.		0.
REFUND OF LATE PENALTY	-2,915.	0.		0.
TO FORM 990-PF, PG 1, LN 23	36,240.	11,285.		27,870.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS		STATEMENT	9
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
FIDELITY INVESTMENTS 648-211311		X	1,283,078.	1,313,954.
FIDELITY INVESTMENTS 648-211311	X		279,009.	287,254.
OPPENHEIMER & CO INC		X	3,927,579.	4,132,386.
MERRILL LYNCH 850-21258	X		512,373.	508,924.
TOTAL U.S. GOVERNMENT OBLIGATIONS			791,382.	796,178.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			5,210,657.	5,446,340.
TOTAL TO FORM 990-PF, PART II, LINE 10A			6,002,039.	6,242,518.

FORM 990-PF	CORPORATE STOCK		STATEMENT	10
DESCRIPTION			BOOK VALUE	FAIR MARKET VALUE
FIDELITY INVESTMENTS 647-265551			15,203,324.	17,148,090.
FIDELITY INVESTMENTS 647-275468			3,749,654.	4,408,306.
FIDELITY INVESTMENTS 647-275492			3,079,022.	3,574,638.
FIDELITY INVESTMENTS 647-275506			3,506,707.	4,136,532.
FIDELITY INVESTMENTS 648-715824			1,840,430.	2,085,809.
MERRILL LYNCH 850-21258			31,166,928.	32,659,833.
TOTAL TO FORM 990-PF, PART II, LINE 10B			58,546,065.	64,013,208.

Himan Brown Charitable Trust
EIN 27-6669056

Attachment to Form 990-PF, Part II
Fidelity A/C 211311
March 31, 2013

Total	Description		Maturity Date	Ending Book Value	Ending FMV
75,000	Montana St Coal Severance Tax Coal	1 466%	12/1/2013	75,914 00	75,269 25
70,000	Indiana BD BK REV SCH Severance	4 770%	7/15/2014	77,002 20	73,799 60
60,000	Ohio St WTR Dev Auth	0 686%	12/1/2014	60,005 00	60,273 60
75,000	New York ST Urban Dev Corp	2 626%	12/15/2014	78,556 25	77,592 00
75,000	New York NY City Transitional Fin	1 600%	5/1/2015	75,005 00	76,171.50
75,000	Farmers Branch TEX Taxable REF BDS	1 630%	11/1/2015	75,005 00	76,720 50
75,000	Glendale Heights ILL Go Corp	3 875%	12/15/2016	82,034 00	82,209 75
75,000	Phoenix Anz Civi IMPT Corp Exercise Tax	3 302%	7/1/2017	78,755 00	80,718 00
75,000	Stamford Conn Go	4 250%	8/1/2017	82,875 50	84,441 75
75,000	Michigan ST GO BDS SER	3 890%	11/1/2018	79,269 50	84,954 00
75,000	Berks CNTY PA	3 630%	11/15/2018	78,927 50	82,170 75
75,000	Monroe CNTY NY WTR	4 649%	8/1/2019	84,441.67	87,021 75
80,000	Chesapeake VA GO BDS	3 585%	12/1/2019	85,800 20	88,580 00
75,000	Travis CNTY TEX Health Care Distnct	3 410%	3/1/2020	75,005 00	81,262 50
75,000	Austin TEX PUB IMPT BDS Series	4.000%	9/1/2021	79,474 25	85,995 75
115,000 00	Richmond VA GO PUB IMPT BDS	2.749%	7/15/2022	115,008.21	116,773 30
				<u>1,283,078 28</u>	<u>1,313,954 00</u>

Himan Brown Charitable Trust
EIN 27-6669056

Attachment to Form 990-PF, Part II
Fidelity A/C 211311
March 31, 2013

Total	Description		Maturity Date	Ending Book Value	Ending FMV
75,000	Federal Home Loan Banks	1 36%	9/6/2016	75,005 00	77,097 00
75,000	Federal Home Loan Banks	1 32%	9/15/2016	75,005 00	76,995 00
75,000	Federal Farm CR BKS CONS	1 850%	9/19/2018	75,005 00	78,260 25
50,000	Federal Home Loan Banks	3 000%	3/18/2020	53,993 50	54,902 00
				<u>279,008 50</u>	<u>287,254 25</u>

Himan Brown C. Trust
EIN 27-6669056
Attachment to Form 990-PF, Part II
Oppenheimer
A/C G24-1651160
3/31/2013

Quantity	Description	%	Maturity Date	Ending Book Basis	Fair Mkt Value
5,000	New York St HSG Fin AGY Rev	6.1%	11/1/2015	5,003.70	5,011.80
15,000	New York St Mtg Agy Rev	5.0%	10/1/2017	15,060.75	15,043.95
1,000,000	Puerto Rico Elec Pwr Auth PWR	5.25%	7/1/2028	950,005.00	995,760.00
1,000,000	New York NY	5.00%	5/15/2033	972,505.00	1,147,070.00
2,000,000	Illinois St	5.100%	6/1/2033	1,985,005.00	1,969,500.00
				<u>3,927,579.45</u>	<u>4,132,385.75</u>

Himan Brown Charitable Trust
 EIN 27-6669056
 Attachment to Form 990-PF, Part II
 MERRILL LYNCH

March 31, 2013

Quantity	Description	%	Maturity Date	Book Value	End of Year Fair Mkt Value
110,000	US TREASURY NOTES	0.125%	10/31/2017	110,661.72	110,317.90
1,000	US TREASURY NOTES	0.750%	10/31/2017	1,008.50	1,002.89
10,000	US TREASURY NOTES	0.750%	10/31/2017	10,048.96	10,028.90
1,000	US TREASURY NOTES	0.750%	10/31/2017	1,004.70	1,002.89
41,000	US TREASURY NOTES	1.375%	2/28/2019	40,807.81	41,925.78
11,000	US TREASURY NOTES	1.375%	2/28/2019	10,999.14	11,248.38
75,000	US TREASURY NOTES	1.125%	12/31/2019	74,329.51	74,730.75
1,000	US TREASURY NOTES	0.125%	7/1/2022	1,088.66	1,090.86
55,000	US TREASURY NOTES	0.125%	7/15/2022	59,587.04	59,997.29
31,000	US TREASURY NOTES	0.125%	7/15/2022	34,113.42	33,816.65
1,000	US TREASURY NOTES	0.125%	7/15/2022	1,097.17	1,090.86
28,000	US TREASURY NOTES	2.000%	2/15/2023	28,398.29	28,354.48
29,000	US TREASURY NOTES	2.000%	2/15/2023	29,239.46	29,367.14
10,000	US TREASURY NOTES	2.500%	1/29/2015	15,353.55	14,976.79
22,000	US TREASURY NOTES	2.375%	1/15/2025	37,407.00	36,055.51
36,000	US TREASURY NOTES	2.500%	1/15/2029	57,227.98	53,916.44
Total US TREASURIES				<u>512,372.91</u>	<u>508,923.51</u>

Himan Brown Charitable Trust
EIN 27-6669056

Attachment to Form 990-PF, Part II
Fidelity A/C 211311
March 31, 2013

Total	Description		Maturity Date	Ending Book Value	Ending FMV
57,000	Yale University MTN	2 900%	10/15/2014	60,705 95	59,090 19
80,000	Toyota MTR CRD Corp	1 250%	11/17/2014	80,873 96	80,788 00
75,000	Stryker Corp SR NT	3 00%	1/15/2015	78,943 25	78,330 00
75,000	Becton Dickinson & Co	3 125%	11/8/2021	75,299 75	78,197 25
70,000	JP Morgan Chase	2 00%	8/15/2017	69,879 70	71,085 00
50,000	Intel Corp	1 350%	12/15/2017	50,288 88	50,170 00
65,000	Unitedhealth Group	0 850%	10/22/2012	64,995 05	65,196.95
				<u>480,986 54</u>	<u>482,857 39</u>

Himan Brown Charitable Trust
EIN 27-6669056
Attachment to Form 990-PF, Part II
Oppenheimer
A/C G24-1651160
3/31/2013

Quantity	Description	%	Maturity Date	Ending Book Basis	Fair Mkt Value
1,500,000	INTL Finance Corp	8.250%	1/15/2014	988,099.34	756,787.50
1,500,000	Anheuser Busch	9.750%	11/17/2015	1,009,252.45	812,328.00
2,000,000	Sunoco Inc	5.610%	1/15/2017	2,012,505.00	2,255,920.00
1,000,000	Morgan Stanley	6.625%	4/1/2018	1,106,690.00	1,195,400.00
1,000,000	GE Cap Aust FDG PR	6.000%	3/15/2019	992,250.00	1,097,418.14
1,000,000	Pitney Bowes Inc	6.250%	3/15/2019	1,072,300.00	1,109,410.00
1,000,000	Credit Suisse New York Branch	5.40%	1/14/2020	1,020,000.00	1,120,860.00
1,000,000	Nasdaq Omx Group Inc	5.550%	1/15/2020	1,015,000.00	1,078,350.00
1,000,000	Penney J C Corp Inc	6.491%	6/1/2020	950,005.00	808,750.00
1,000,000	Eurofima	5.500%	6/30/2020	979,836.75	1,093,731.23
1,000,000	Arcelormittal SA Luxembourg	5.500%	3/1/2021	1,030,005.00	1,052,900.00
500,000	Ford Mtr Co Del	8.875%	1/15/2022	564,380.00	654,700.00
1,500,000	Bank Amer Corp	5.700%	1/24/2022	1,567,505.00	1,753,500.00
1,000,000	Alcoa Inc	5.870%	2/23/2022	1,062,335.00	1,068,490.00
475,000	Frontier Communications Corp	6.196%	1/15/2023	508,255.00	480,937.50
525,000	Frontier Communications Corp	6.196%	1/15/2023	561,755.00	531,562.50
1,000,000	Frontier Communications Corp	6.164%	1/15/2023	1,072,505.00	1,012,500.00
1,000,000	TSY Corp Victoria	5.500%	12/17/2024	1,075,100.25	1,140,775.78
1,000,000	Jefferies Group Inc New	5.738%	6/8/2027	1,067,855.00	1,105,000.00
2,000,000	Century Tel Enterprises INC	6.112%	1/15/2028	2,150,005.00	1,980,000.00
				<u>21,805,638.79</u>	<u>22,109,320.64</u>

Himan Brown Charitable Trust
EIN 27-6669056
Attachment to Form 990-PF, Part II
MERRILL LYNCH

March 31, 2013

Quantity	Description	%	Maturity Date	Book Value	End of Year Fair Mkt Value
85,000	FNMA PL#888343	5.000%	11/1/1935	21,938.97	21,165.18
2,000	FNMA PL#745275	5.000%	1/1/2036	434.36	427.57
107,000	FHLMC 7021	5.000%	1/1/2039	67,382.18	66,981.69
5,000	FNMA PAE#7731	4.500%	1/1/2040	3,149.00	3,114.29
127,000	FNMA PL#PAB1248	4.500%	7/1/2040	64,158.53	61,785.18
7,000	FNMA PAE0937	3.500%	1/1/2041	5,399.55	5,332.53
2,000	FNMA PAE0828	3.500%	1/1/2041	1,476.47	1,461.30
19,000	FNMA PL#PAJ4052	4.000%	10/1/2041	13,324.07	13,085.61
61,000	FNMA PL#AB4115	4.000%	12/1/2041	40,362.66	39,553.58
36,000	FNMA PL#PAB4297	3.500%	1/1/2042	28,932.20	29,457.08
7,000	FNMA PL#PAJ8203	4.500%	1/1/2042	7,116.57	7,283.95
65,000	FNMA PL#PAL1948	4.000%	1/1/2042	65,554.41	64,940.90
55,000	FNMA PL# PMA1235	3.000%	1/1/2042	56,816.73	55,549.90
4,000	FNMA PAL#2118	4.000%	1/1/2042	3,365.42	3,326.67
49,000	FNMA PL#PAB5472	3.500%	6/1/2042	40,920.48	40,760.67
2,000	FNMA PL#PAB5670	3.500%	7/1/2042	1,993.55	1,894.12
59,000	FNMA PL#AB7079	3.000%	11/1/2042	61,685.09	60,386.47
Total OTHER BONDS				<u>484,010.24</u>	<u>476,506.69</u>

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
AN SYS INC COM	ANSS	03/04/11	22	54.8800	1,207.36	81.4200	1,791.24	583.88	
ABBOTT LABS	ABT	03/04/11	101	23.3398	2,357.32	35.3200	3,567.32	1,210.00	57 1.58
		03/04/11	134	23.3398	3,127.54	35.3200	4,732.88	1,605.34	76 1.58
		03/04/11	215	23.3398	5,018.07	35.3200	7,593.80	2,575.73	121 1.58
		08/15/11	9	23.9288	215.36	35.3200	317.88	102.52	6 1.58
		08/15/11	16	23.9293	382.87	35.3200	565.12	182.25	9 1.58
		01/03/13	479	33.0254	15,819.21	35.3200	16,918.28	1,099.07	269 1.58
		01/23/13	279	32.8159	9,155.66	35.3200	9,854.28	698.62	157 1.58
			1,233		36,076.03		43,549.56	7,473.53	695 1.58
Subtotal									
ACCENTURE PLC SHS	ACN	11/27/12	51	67.5770	3,446.43	75.9700	3,874.47	428.04	83 2.13
		11/28/12	20	67.0900	1,341.80	75.9700	1,519.40	177.60	33 2.13
Subtotal			71		4,788.23		5,393.87	605.64	116 2.13

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
ACE LIMITED	ACE	12/22/10	41	62.0500	2,544.05	88.9700	3,647.77	1,103.72	81 2.20
		01/20/11	239	60.7300	14,514.47	88.9700	21,263.83	6,749.36	469 2.20
		03/04/11	135	62.2100	8,398.35	88.9700	12,010.95	3,612.60	265 2.20
		04/11/12	47	72.0000	3,384.00	88.9700	4,181.59	797.59	93 2.20
		11/27/12	1,120	78.8355	88,295.76	88.9700	99,646.40	11,350.64	2,196 2.20
		11/28/12	460	78.4600	36,091.60	88.9700	40,926.20	4,834.60	902 2.20
		01/23/13	10	84.6100	846.10	88.9700	889.70	43.60	20 2.20
		02/28/13	92	85.8027	7,893.85	88.9700	8,185.24	291.39	181 2.20
Subtotal			2,144		161,968.18		190,751.68	28,783.50	4,207 2.20
ACORDA THERAPEUTICS INC	ACOR	11/27/12	30	24.9300	747.90	32.0300	960.90	213.00	
		11/28/12	10	24.6300	246.30	32.0300	320.30	74.00	
		12/13/12	8	25.4825	203.86	32.0300	256.24	52.38	
		12/14/12	15	25.3120	379.68	32.0300	480.45	100.77	
		12/17/12	6	25.4183	152.51	32.0300	192.18	39.67	
Subtotal			69		1,730.25		2,210.07	479.82	
ACTIVISION BLIZZARD INC	ATVI	12/20/11	67	11.8900	796.63	14.5700	976.19	179.56	13 1.30
		01/27/12	40	12.1300	485.20	14.5700	582.80	97.60	8 1.30
		03/07/12	40	11.8300	473.20	14.5700	582.80	109.60	8 1.30
		04/10/12	255	12.3700	3,154.35	14.5700	3,715.35	561.00	49 1.30
Subtotal			402		4,909.38		5,857.14	947.76	78 1.30
ACTUANT CORP CLA	ATU	11/27/12	40	28.0400	1,121.60	30.6200	1,224.80	103.20	2 .13
		11/28/12	10	27.9200	279.20	30.6200	306.20	27.00	1 .13
		03/21/13	55	30.3636	1,670.00	30.6200	1,684.10	14.10	3 .13
Subtotal			105		3,070.80		3,215.10	144.30	6 .13
ADVENT SOFTWARE INC	ADVS	11/27/12	60	21.9888	1,319.33	27.9700	1,678.20	358.87	
		11/28/12	20	21.3900	427.80	27.9700	559.40	131.60	
Subtotal			80		1,747.13		2,237.60	490.47	
ADVISORY BOARD CO	ABCO	11/27/12	16	43.9000	702.40	52.5200	840.32	137.92	

Account Number: 850-21258

RICHARD L KAY TTEE

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
				Cost Basis	Market Price						
AETNA INC NEW	AET	10/17/11	58	37.6700	51.1300	2,184.86	51.1300	2,965.54	780.68	47	156
		04/11/12	70	47.7200	51.1300	3,340.40	51.1300	3,579.10	238.70	56	156
		06/21/12	440	41.1500	51.1300	18,106.00	51.1300	22,497.20	4,391.20	352	156
		07/20/12	117	37.5300	51.1300	4,391.01	51.1300	5,982.21	1,591.20	94	156
Subtotal			685			28,022.27		35,024.05	7,001.78	549	156
AGRIUM INC	AGU	11/27/12	23	101.2100	97.5000	2,327.83	97.5000	2,242.50	(85.33)	46	205
		11/28/12	10	99.6200	97.5000	996.20	97.5000	975.00	(21.20)	20	205
Subtotal			33			3,324.03		3,217.50	(106.53)	66	205
AIR LEASE CORP CL A	AL	01/08/13	36	21.9266	29.3200	789.36	29.3200	1,055.52	266.16	4	34
AIR METHODS CRP COM\$0.06	AIRM	11/27/12	25	36.1944	48.2400	904.86	48.2400	1,208.00	301.14		
AKBANK TURK SPON ADR REG	AKBTY	12/22/10	115	10.8800	10.5800	1,251.20	10.5800	1,216.70	(34.50)	10	81
ANONIM SIRKETI		01/20/11	861	10.0200	10.5800	8,627.22	10.5800	9,109.38	482.16	75	81
		02/28/11	816	9.4100	10.5800	7,678.56	10.5800	8,633.28	954.72	71	81
		03/04/11	618	8.9400	10.5800	5,524.92	10.5800	6,538.44	1,013.52	54	81
		08/15/11	160	7.0800	10.5800	1,132.80	10.5800	1,692.80	560.00	14	81
Subtotal			2,570			24,214.70		27,190.60	2,975.90	224	81
AKZO NOBEL N V SPNSD ADR	AKZOY	11/27/12	258	18.6500	21.1500	4,811.70	21.1500	5,456.70	645.00	129	235
		11/28/12	120	18.6500	21.1500	2,238.00	21.1500	2,538.00	300.00	60	235
Subtotal			378			7,049.70		7,994.70	945.00	189	235
ALBEMARLE CORP COM	ALB	11/27/12	60	58.0195	62.5200	3,481.17	62.5200	3,751.20	270.03	58	153
		11/28/12	10	58.5000	62.5200	585.00	62.5200	625.20	40.20	10	153
Subtotal			70			4,066.17		4,376.40	310.23	68	153
ALEXANDER & BALDWIN INC NEW	ALEX	11/27/12	70	29.7900	35.7500	2,085.30	35.7500	2,502.50	417.20		
		11/28/12	10	29.2700	35.7500	292.70	35.7500	357.50	64.80		
Subtotal			80			2,378.00		2,860.00	482.00		
ALIGN TECH INC DEL COM	ALGN	01/31/13	63	31.7306	33.5100	1,999.03	33.5100	2,111.13	112.10		
		02/08/13	38	32.1807	33.5100	1,222.87	33.5100	1,273.38	50.51		
Subtotal			101			3,221.90		3,384.51	162.61		

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
ALKERMES PLC NEW	ALKS	11/27/12	29	19.8500	575.65	23.7000	687.30	111.65		
		11/27/12	20	19.7665	395.33	23.7000	474.00	78.67		
Subtotal			49		970.98		1,161.30	190.32		
ALLEGHANY CORP DEL COM	Y	03/05/13	5	381.8940	1,909.47	395.9200	1,979.80	70.13		
ALLERGAN INC	AGN	11/02/12	68	92.3900	6,282.52	111.6300	7,590.84	1,308.32		14 .17
		11/08/12	34	91.5700	3,113.38	111.6300	3,795.42	682.04		7 .17
		11/27/12	1,050	92.2552	96,867.96	111.6300	117,211.50	20,343.54		211 .17
		11/28/12	340	91.1800	31,001.20	111.6300	37,954.20	6,953.00		69 .17
Subtotal			1,492		137,265.06		166,551.96	29,286.90		301 .17
ALLIANT ENERGY CORP	LNT	07/28/11	79	39.7700	3,141.83	50.1800	3,964.22	822.39		149 3.74
		08/11/11	81	36.7200	2,974.32	50.1800	4,064.58	1,090.26		153 3.74
		10/27/11	74	41.0300	3,036.22	50.1800	3,713.32	677.10		140 3.74
		11/22/11	71	40.8300	2,898.93	50.1800	3,562.78	663.85		134 3.74
		03/20/12	60	42.8300	2,569.80	50.1800	3,010.80	441.00		113 3.74
		03/01/13	57	47.3159	2,697.01	50.1800	2,860.26	163.25		108 3.74
Subtotal			422		17,318.11		21,175.96	3,857.85		797 3.74
ALLIANZ SE SPD ADR	AZSEY	01/20/11	288	13.1000	3,772.80	13.6000	3,916.80	144.00		125 3.19
		03/04/11	1,867	14.6100	24,354.87	13.6000	22,671.20	(1,683.67)		724 3.19
		03/04/11	2,001	14.6100	29,234.61	13.6000	27,213.60	(2,021.01)		869 3.19
		08/16/11	192	10.9100	2,094.72	13.6000	2,611.20	516.48		84 3.19
		08/16/11	232	10.9100	2,531.12	13.6000	3,155.20	624.08		101 3.19
		07/20/12	915	9.6400	8,820.60	13.6000	12,444.00	3,623.40		398 3.19
		07/20/12	1,132	9.6400	10,912.48	13.6000	15,395.20	4,482.72		492 3.19
Subtotal			6,427		81,721.20		87,407.20	5,686.00		2,793 3.19
ALLSCRIPTS HEALTHCARE SOLUTIONS INC	MDRX	01/14/13	102	10.2500	1,045.50	13.5900	1,386.18	340.68		
		01/18/13	23	10.2995	236.89	13.5900	312.57	75.68		
Subtotal			125		1,282.39		1,698.75	416.36		

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
ALLSTATE CORP DEL COM	ALL	03/01/11	9	31,5700	284.13	49,0700	441.63	157.50	9	2.03
		03/01/11	204	31,5700	6,440.28	49,0700	10,010.28	3,570.00	204	2.03
		03/01/11	291	31,5700	9,186.87	49,0700	14,279.37	5,092.50	291	2.03
		03/04/11	71	31,5700	2,241.47	49,0700	3,483.97	1,242.50	71	2.03
		03/04/11	179	31,5700	5,651.03	49,0700	8,783.53	3,132.50	179	2.03
		02/24/12	34	31,3600	1,066.24	49,0700	1,668.38	602.14	34	2.03
		02/24/12	87	31,3600	2,728.32	49,0700	4,269.09	1,540.77	87	2.03
		03/13/12	22	31,9100	702.02	49,0700	1,079.54	377.52	22	2.03
		03/13/12	58	31,9100	1,850.78	49,0700	2,846.06	995.28	58	2.03
		11/06/12	80	39,2600	3,140.80	49,0700	3,925.60	784.80	80	2.03
		12/18/12	24	41,2795	990.71	49,0700	1,177.68	186.97	24	2.03
Subtotal			1,059		34,282.65		51,965.13	17,682.48	1,059	2.03
AMAZON COM INC COM	AMZN	12/22/10	3	184,9100	554.73	266,4900	799.47	244.74		
		01/20/11	60	181,7100	10,902.60	266,4900	15,989.40	5,086.80		
		03/04/11	57	170,4200	9,713.94	266,4900	15,189.93	5,475.99		
		11/27/12	320	244,8855	78,363.39	266,4900	85,276.80	6,913.41		
		11/28/12	120	244,1499	29,297.99	266,4900	31,978.80	2,680.81		
		12/03/12	10	253,0620	2,530.62	266,4900	2,664.90	134.28		
		02/07/13	16	259,2087	4,147.34	266,4900	4,263.84	116.50		
		02/13/13	9	267,8311	2,410.48	266,4900	2,398.41	(12.07)		
Subtotal			595		137,921.09		158,561.55	20,640.46		
AMDOCS LIMITED	DOX	03/31/11	26	29,0400	755.04	36,2500	942.50	187.46	14	1.43
		04/12/11	14	28,9100	404.74	36,2500	507.50	102.76	8	1.43
		05/03/11	115	30,4300	3,499.45	36,2500	4,168.75	669.30	60	1.43
		06/17/11	115	29,0200	3,337.30	36,2500	4,168.75	831.45	60	1.43
		03/05/12	83	30,4600	2,528.18	36,2500	3,008.75	480.57	44	1.43
Subtotal			353		10,524.71		12,796.25	2,271.54	186	1.43

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
AMER EAGLE OUTFITTERS	AEO	11/27/12	80	19.7400	1,579.20	18.7000	1,496.00	(83.20)	36 2.35
		11/28/12	10	20.9900	209.90	18.7000	187.00	(22.90)	5 2.35
Subtotal			90		1,789.10		1,683.00	(106.10)	41 2.35
↑ AMERICA MOVIL SAB DE CV ADR	AMX	03/05/12	157	23.8900	3,749.16	20.9600	3,290.72	(458.44)	48 1.43
		03/05/12	421	23.8800	10,053.48	20.9600	8,824.16	(1,229.32)	127 1.43
		03/05/12	443	23.8800	10,578.84	20.9600	9,285.28	(1,293.56)	134 1.43
		04/11/12	177	23.4600	4,152.42	20.9600	3,709.92	(442.50)	54 1.43
		04/11/12	244	23.4600	5,724.24	20.9600	5,114.24	(610.00)	74 1.43
		04/11/12	256	23.4600	6,005.76	20.9600	5,365.76	(640.00)	78 1.43
Subtotal			1,698		40,263.90		35,590.08	(4,673.82)	515 1.43
AMERICAN EQUITY INVT LIFE HLDG CO	AEL	11/27/12	170	11.4745	1,950.68	14.8900	2,531.30	580.62	26 1.00
		11/28/12	50	11.3800	569.00	14.8900	744.50	175.50	8 1.00
Subtotal			220		2,519.68		3,275.80	756.12	34 1.00
AMERICAN TOWER REIT INC (HLDG CO) SHS	AMT	01/20/11	62	50.3800	3,123.56	76.9200	4,769.04	1,645.48	65 1.35
		03/04/11	109	52.7600	5,750.84	76.9200	8,384.28	2,633.44	114 1.35
		06/06/11	16	51.8500	829.60	76.9200	1,230.72	401.12	17 1.35
		06/06/11	60	51.8500	3,111.00	76.9200	4,615.20	1,504.20	63 1.35
		07/12/12	7	70.8400	495.88	76.9200	538.44	42.56	8 1.35
		07/12/12	9	70.8300	637.47	76.9200	692.28	54.81	10 1.35
		07/12/12	754	70.8300	53,405.82	76.9200	57,997.68	4,591.86	785 1.35
		11/27/12	940	74.5205	70,049.27	76.9200	72,304.80	2,255.53	978 1.35
		11/28/12	590	73.9400	43,624.60	76.9200	45,382.80	1,758.20	614 1.35
Subtotal			2,547		181,028.04		195,915.24	14,887.20	2,654 1.35
AMERICAN WTR WKS CO INC NEW	AWK	03/04/11	145	27.5900	4,000.55	41.4400	6,008.80	2,008.25	145 2.41
		02/06/12	18	33.8300	608.94	41.4400	745.92	136.98	18 2.41
		02/06/12	92	33.8300	3,112.36	41.4400	3,812.48	700.12	92 2.41
		03/22/13	67	40.8859	2,739.36	41.4400	2,776.48	37.12	67 2.41
Subtotal			322		10,461.21		13,343.68	2,882.47	322 2.41

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
AMERIPRISE FINL INC	AMP	02/07/11	8	58.5900	468.72	73.6500	589.20	120.48	15	2.44
		03/01/11	295	62.4100	18,410.95	73.6500	21,726.75	3,315.80	531	2.44
		03/04/11	129	63.0200	8,129.58	73.6500	9,500.85	1,371.27	233	2.44
		08/15/11	32	45.4200	1,453.44	73.6500	2,356.80	903.36	58	2.44
Subtotal			464		28,462.69		34,173.60	5,710.91	837	2.44
AMERISOURCEBERGEN CORP	ABC	03/05/12	59	36.6800	2,164.12	51.4500	3,035.55	871.43	50	1.63
		04/30/12	66	37.2500	2,458.50	51.4500	3,395.70	937.20	56	1.63
		09/18/12	30	37.7300	1,131.90	51.4500	1,543.50	411.60	26	1.63
Subtotal			155		5,754.52		7,974.75	2,220.23	132	1.63
AMGEN INC COM PV \$0.0001	AMGN	01/29/13	90	85.9986	7,739.88	102.5100	9,225.90	1,486.02	170	1.83
		01/29/13	1	85.0000	85.00	102.5100	102.51	17.51	2	1.83
		02/04/13	14	85.9914	1,203.88	102.5100	1,435.14	231.26	27	1.83
		02/06/13	46	86.5754	3,982.47	102.5100	4,715.46	732.99	87	1.83
		03/12/13	25	91.5076	2,287.69	102.5100	2,562.75	275.06	47	1.83
		03/18/13	28	91.0789	2,550.21	102.5100	2,870.28	320.07	53	1.83
Subtotal			204		17,849.13		20,912.04	3,062.91	386	1.83
AMN ELEC POWER CO	AEP	01/10/12	136	41.3400	5,622.24	48.6300	6,613.68	991.44	256	3.86
		01/10/12	419	41.3400	17,321.46	48.6300	20,375.97	3,054.51	788	3.86
		03/05/12	48	38.2300	1,835.04	48.6300	2,334.24	499.20	91	3.86
		03/05/12	97	38.2300	3,708.31	48.6300	4,717.11	1,008.80	183	3.86
Subtotal			700		28,487.05		34,041.00	5,553.95	1,318	3.86
ANHEUSER-BUSCH INBEV ADR	BUD	05/04/12	90	73.8400	6,645.60	99.5500	8,959.50	2,313.90	117	1.29
		06/28/12	180	73.0600	13,150.80	99.5500	17,919.00	4,768.20	233	1.29
Subtotal			270		19,796.40		26,878.50	7,082.10	350	1.29
ANNALY CAP MGMT INC	NLY	03/01/11	34	18.2000	618.80	15.8900	540.26	(78.54)	62	11.32
		03/01/11	175	18.2000	3,185.00	15.8900	2,780.75	(404.25)	315	11.32
		03/01/11	30	18.0853	542.56	15.8900	476.70	(65.86)	54	11.32
		03/04/11	1	17.8700	17.87	15.8900	15.89	(1.98)	2	11.32
		03/04/11	17	17.8700	303.79	15.8900	270.13	(33.66)	31	11.32
		03/04/11	34	17.8700	607.58	15.8900	540.26	(67.32)	62	11.32

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
ANNALY CAP MGMT INC	NLY	03/04/11	50	17.8700	893.50	15.8900	794.50	(99.00)	90 11.32
		03/04/11	709	17.8700	12,669.83	15.8900	11,266.01	(1,403.82)	1,277 11.32
		03/10/11	223	17.8500	3,980.55	15.8900	3,543.47	(437.08)	402 11.32
		03/10/11	372	17.8500	6,640.20	15.8900	5,911.08	(729.12)	670 11.32
		03/31/11	56	15.7900	884.24	15.8900	889.84	5.60	101 11.32
		03/31/11	94	15.7900	1,484.26	15.8900	1,493.66	9.40	170 11.32
		03/31/11	250	15.7900	3,947.50	15.8900	3,972.50	25.00	450 11.32
		03/22/12	75	16.2000	1,215.00	15.8900	1,191.75	(23.25)	135 11.32
		03/22/12	125	16.2000	2,025.00	15.8900	1,986.25	(38.75)	225 11.32
		04/09/12	80	15.8100	1,264.80	15.8900	1,271.20	6.40	144 11.32
		04/09/12	135	15.8100	2,134.35	15.8900	2,145.15	10.80	243 11.32
		11/01/12	195	16.0600	3,131.70	15.8900	3,098.55	(33.15)	351 11.32
		11/01/12	195	16.0600	3,131.70	15.8900	3,098.55	(33.15)	351 11.32
		11/28/12	250	14.7150	3,678.75	15.8900	3,972.50	293.75	450 11.32
		12/13/12	125	14.3650	1,795.63	15.8900	1,986.25	190.62	225 11.32
		12/19/12	130	14.4070	1,872.91	15.8900	2,065.70	192.79	234 11.32
Subtotal			3,355		56,025.52		53,310.95	(2,714.57)	6,044 11.32
AOL INC	AOL	11/27/12	22	31.7300	698.06	38.4900	846.78	148.72	
		11/28/12	10	31.5300	315.30	38.4900	384.90	69.60	
Subtotal			32		1,013.36		1,231.68	218.32	
AON PLC	AON	03/25/11	58	49.0800	2,846.64	61.5000	3,567.00	720.36	37 1.02
		07/20/11	85	49.0800	4,171.80	61.5000	5,227.50	1,055.70	54 1.02
		02/03/12	25	49.0800	1,227.00	61.5000	1,537.50	310.50	16 1.02
		03/25/13	49	60.4291	2,961.03	61.5000	3,013.50	52.47	31 1.02
Subtotal			217		11,206.47		13,345.50	2,139.03	138 1.02
APPLE INC	AAPL	02/18/11	28	350.0000	9,800.00	442.6600	12,394.48	2,594.48	297 2.39
		02/21/11	41	359.3400	14,732.94	442.6600	18,149.06	3,416.12	435 2.39
		03/04/11	18	359.3400	6,468.12	442.6600	7,967.88	1,499.76	191 2.39
		05/25/11	9	336.1400	3,025.26	442.6600	3,983.94	958.68	96 2.39
		11/16/11	4	389.4400	1,557.76	442.6600	1,770.64	212.88	43 2.39

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
APPLE INC	AAPL	11/27/12	370	588.1611	217,619.61	442.6600	163,784.20	(53,835.41)	3,922	2.39
		11/28/12	150	577.7395	86,660.93	442.6600	66,399.00	(20,261.93)	1,590	2.39
		03/15/13	11	441.4854	4,856.34	442.6600	4,869.26	12.92	117	2.39
Subtotal			631		344,720.96		279,318.46	(65,402.50)	6,691	2.39
APPLIED MATERIAL INC	AMAT	04/11/12	71	10.5200	746.924	13.4800	957.08	210.16	29	2.96
		04/17/12	174	12.0900	2,103.66	13.4800	2,345.52	241.86	70	2.96
		07/09/12	275	10.9700	3,016.75	13.4800	3,707.00	690.25	110	2.96
		11/28/12	10	10.4800	104.80	13.4800	134.80	30.00	4	2.96
Subtotal			530		5,972.13		7,144.40	1,172.27	213	2.96
ARIAD P NEW COM\$0.001RTD	ARIA	11/27/12	110	21.7999	2,397.99	18.0800	1,988.80	(409.19)		
		11/28/12	30	21.1200	633.60	18.0800	542.40	(91.20)		
Subtotal			140		3,031.59		2,531.20	(500.39)		
ARKEMA ADR	ARKAY	11/27/12	50	100.2500	5,012.50	91.1000	4,555.00	(457.50)	67	1.46
		11/28/12	30	98.8500	2,965.50	91.1000	2,733.00	(232.50)	41	1.46
Subtotal			80		7,978.00		7,288.00	(690.00)	108	1.46
ARM HLDGS PLC SPD ADR	ARMH	01/20/11	950	24.5900	23,360.50	42.3700	40,251.50	16,891.00	262	.64
		03/04/11	690	29.1400	20,106.60	42.3700	29,235.30	9,128.70	190	.64
		04/11/12	385	27.8400	10,718.40	42.3700	16,312.45	5,594.05	106	.64
		08/01/12	732	26.2300	19,200.36	42.3700	31,014.84	11,814.48	202	.64
Subtotal			2,757		73,385.86		116,814.09	43,428.23	760	.64
ARTISAN PARTNERS ASSET MGMT INC CLASS A	APAM	03/08/13	69	40.1108	2,767.65	39.4500	2,722.05	(45.60)		
ASAHI KASEI CORP ADR	AHKS	01/20/11	271	13.5800	3,680.18	13.4700	3,650.37	(29.81)	76	2.06
		03/01/11	519	13.9000	7,214.10	13.4700	6,990.93	(223.17)	145	2.06
		03/04/11	1,400	14.2200	19,908.00	13.4700	18,858.00	(1,050.00)	390	2.06
		04/11/12	397	12.0900	4,799.73	13.4700	5,347.59	547.86	111	2.06
		07/16/12	3,178	10.8000	34,322.40	13.4700	42,807.66	8,485.26	884	2.06
Subtotal			5,765		69,924.41		77,654.55	7,730.14	1,606	2.06

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
ASML HLDG NV NY REG SHS	ASML	03/14/13 03/21/13	35 19 54	71.0742 69.5784	2,487.60 1,321.99 3,809.59	67.9900 67.9900	2,379.65 1,291.81 3,671.46	(107.95) (30.18) (138.13)	21 12 33	.88 .88 .88
Subtotal										
ASPEN INSURANCE HLDS LTD	AHL	11/27/12 11/28/12	60 20 80	30.8700 30.9300	1,852.20 618.60 2,470.80	38.5800 38.5800	2,314.80 771.60 3,086.40	462.60 153.00 615.60	41 14 55	1.76 1.76 1.76
Subtotal										
ASPEN TECHNOLOGY INC DEL	AZPN	11/27/12 11/28/12	30 20 50	26.2500 25.1300	787.50 502.60 1,290.10	32.2900 32.2900	968.70 645.80 1,614.50	181.20 143.20 324.40		
Subtotal										
ASSA ABLOY AB ADR	ASAZ	11/27/12 11/28/12	503 220 723	17.9400 17.5500	9,023.82 3,861.00 12,884.82	20.3300 20.3300	10,225.99 4,472.60 14,698.59	1,202.17 611.60 1,813.77	158 69 227	1.53 1.53 1.53
Subtotal										
ASSOC BRIT FOODS ADR NEW	ASBF	12/10/12	210	24.2700	5,096.72	28.9100	6,071.10	974.38	83	1.36
ASSURED GUARANTY LTD	AGO	02/06/13 03/01/13	202 91 293	18.8448 18.4573	3,806.65 1,679.62 5,486.27	20.6100 20.6100	4,163.22 1,875.51 6,038.73	356.57 195.89 552.46	81 37 118	1.94 1.94 1.94
Subtotal										
ASTRAZENECA PLC SPND ADR	AZN	07/11/12 07/25/12 10/01/12 11/28/12 01/22/13	237 395 340 180 128 1,280	45.4900 45.1400 47.1800 46.6200 48.8700	10,781.13 17,830.30 16,041.20 8,391.60 6,255.36 59,299.59	49.9800 49.9800 49.9800 49.9800 49.9800	11,845.26 19,742.10 16,993.20 8,996.40 6,397.44 63,974.40	1,064.13 1,911.80 952.00 604.80 142.08 4,674.81	664 1,106 952 504 359 3,585	5.60 5.60 5.60 5.60 5.60 5.60
Subtotal										
AT&T INC	T	03/04/11 03/04/11 03/04/11 03/07/11 03/07/11 03/07/11	19 321 484 13 16 22 875	28.0300 28.0300 28.0300 27.9200 27.9200 27.9200	532.57 8,997.63 13,566.52 362.96 446.72 614.24 24,520.64	36.6900 36.6900 36.6900 36.6900 36.6900 36.6900	697.11 11,777.49 17,757.96 476.97 587.04 807.18 32,103.75	164.54 2,779.86 4,191.44 114.01 140.32 192.94 7,583.11	35 578 872 24 29 40 1,578	4.90 4.90 4.90 4.90 4.90 4.90 4.90
Subtotal										

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
ATLANTIA SPA SHS	ATASY	11/27/12	790	8.3000	6,557.00	8.0600	6,367.40	(189.60)	277	4.34
Subtotal		11/28/12	350	8.1643	2,857.51	8.0600	2,821.00	(36.51)	123	4.34
			1,140		9,414.51		9,188.40	(226.11)	400	4.34
ATLAS AIR WORLDWIDE HLDS NEW COM STOC	AAWW	11/27/12	30	42.2500	1,267.50	40.7600	1,222.80	(44.70)		
ATWOOD OCEANICS INC	ATW	11/27/12	220	45.2040	9,944.88	52.5400	11,558.80	1,613.92		
Subtotal		11/28/12	90	44.3300	3,989.70	52.5400	4,728.60	738.90		
			310		13,934.58		16,287.40	2,352.82		
AUTOLIV INC	ALV	11/27/12	38	59.6797	2,267.83	69.1400	2,627.32	359.49	76	2.89
Subtotal		11/28/12	20	59.2000	1,184.00	69.1400	1,382.80	198.80	40	2.89
			58		3,451.83		4,010.12	558.29	116	2.89
AVIS BUDGET GROUP INC	CAR	01/10/13	1	21.1500	21.15	27.8300	27.83	6.68		
Subtotal		01/10/13	24	21.4187	514.05	27.8300	667.92	153.87		
		01/17/13	11	21.2954	234.25	27.8300	306.13	71.88		
			36		769.45		1,001.88	232.43		
AVIVA PLC SHS	AV	11/01/12	582	11.0000	6,402.00	9.1200	5,307.84	(1,094.16)	342	6.43
Subtotal		11/02/12	4,823	10.9900	53,004.77	9.1200	43,985.76	(9,019.01)	2,832	6.43
			5,405		59,406.77		49,293.60	(10,113.17)	3,174	6.43
AXA SPONS ADR	AXAHY	11/27/12	410	16.0440	6,578.04	17.2500	7,072.50	494.46	319	4.50
Subtotal		11/28/12	130	15.9900	2,078.70	17.2500	2,242.50	163.80	102	4.50
		01/23/13	186	17.9969	3,347.44	17.2500	3,208.50	(138.94)	145	4.50
			726		12,004.18		12,523.50	519.32	566	4.50
AXIAL CORP	AXLL	11/27/12	11	46.0000	506.00	62.1600	683.76	177.76	4	.51
Subtotal		11/27/12	10	46.0600	460.60	62.1600	621.60	161.00	4	.51
			21		966.60		1,305.36	338.76	8	.51

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
Description					Cost Basis							
BAE SYS PLC SPN ADR	BAESY 11/27/12	640	20.6000	13,184.00	24.1400	15,449.60	2,265.60	751	4.85			
	11/28/12	220	20.4700	4,503.40	24.1400	5,310.80	807.40	259	4.85			
	01/23/13	481	21.8419	10,506.00	24.1400	11,611.34	1,105.34	565	4.85			
Subtotal		1,341		28,193.40		32,371.74	4,178.34	1,575	4.85			
BAIDU INC SPON ADR	BIDU 10/26/12	203	114.2800	23,198.84	87.7000	17,803.10	(5,395.74)					
	10/26/12	7	119.6928	837.85	87.7000	613.90	(223.95)					
	11/03/12	10	122.0570	1,220.57	87.7000	877.00	(343.57)					
	11/28/12	20	94.5700	1,891.40	87.7000	1,754.00	(137.40)					
	01/11/13	25	112.9756	2,824.39	87.7000	2,192.50	(631.89)					
	01/11/13	49	113.1402	5,543.87	87.7000	4,297.30	(1,246.57)					
	02/04/13	29	107.6293	3,121.25	87.7000	2,543.30	(577.95)					
	02/14/13	174	95.1986	16,584.56	87.7000	15,259.80	(1,304.76)					
Subtotal		517		55,202.73		45,340.90	(9,861.83)					
BALCHEM CORP COM	BCPC 01/30/13	14	38.2307	535.23	43.9400	615.16	79.93	4	.50			
BALFOUR BEATTY PLC SPN ADR	BAFY 03/08/13	855	8.4581	7,231.76	7.0900	6,061.95	(1,169.81)	536	8.82			
	03/11/13	314	8.4012	2,637.98	7.0900	2,226.26	(411.72)	197	8.82			
Subtotal		1,169		9,869.74		8,288.21	(1,581.53)	733	8.82			
BALLY TECHNOLOGIES INC	BYI 11/27/12	60	45.5100	2,730.60	51.9700	3,118.20	387.60					
	11/28/12	20	45.1400	902.80	51.9700	1,039.40	136.60					
Subtotal		80		3,633.40		4,157.60	524.20					
BANCFIRST CORP COM	BANF 11/27/12	50	41.6198	2,080.99	41.7000	2,085.00	4.01	58	2.78			
	11/28/12	20	41.4900	829.80	41.7000	834.00	4.20	24	2.78			
Subtotal		70		2,910.79		2,919.00	8.21	82	2.78			

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
BANCO DO BRASIL SASPON	BDORY	01/19/12	1,107	14.9900	16,593.93	13.6800	15,143.76	(1,450.17)	265 1.74
		04/10/12	569	13.3900	7,618.91	13.6800	7,783.92	165.01	136 1.74
		04/10/12	726	13.3900	9,721.14	13.6800	9,931.68	210.54	174 1.74
		07/25/12	218	9.7900	2,134.22	13.6800	2,982.24	848.02	53 1.74
		07/25/12	1,712	9.7900	16,760.48	13.6800	23,420.16	6,659.68	410 1.74
		09/25/12	195	12.8900	2,513.55	13.6800	2,667.60	154.05	47 1.74
Subtotal			4,527		55,342.23		61,929.36	6,587.13	1,085 1.74
BANCO MACRO SA- ADR	BMA	12/22/10	16	50.3000	804.80	14.7200	235.52	(569.28)	
		01/20/11	194	49.8200	9,665.08	14.7200	2,855.68	(6,809.40)	
		03/01/11	62	41.0900	2,547.58	14.7200	912.64	(1,634.94)	
		03/04/11	189	40.8300	7,716.87	14.7200	2,782.08	(4,934.79)	
		03/30/11	112	40.3800	4,522.56	14.7200	1,648.64	(2,873.92)	
		05/06/11	102	35.3300	3,603.66	14.7200	1,501.44	(2,102.22)	
		08/09/11	100	29.1700	2,917.00	14.7200	1,472.00	(1,445.00)	
		08/15/11	111	29.8000	3,307.80	14.7200	1,633.92	(1,673.88)	
Subtotal			886		35,085.35		13,041.92	(22,043.43)	
BANCORPSOUTH INC	BXS	12/19/12	14	14.6192	204.67	16.3000	228.20	23.53	1 .24
		12/20/12	14	14.8300	207.62	16.3000	228.20	20.58	1 .24
Subtotal			28		412.29		456.40	44.11	2 .24
BANK MANDIRI TBK-JNSPON ADR	PPERY	01/20/11	1,107	6.6000	7,306.20	10.3000	11,402.10	4,095.90	87 .75
		08/16/11	101	8.6000	868.60	10.3000	1,040.30	171.70	8 .75
		03/21/12	2,925	7.5400	22,054.50	10.3000	30,127.50	8,073.00	229 .75
		11/28/12	520	9.0000	4,680.00	10.3000	5,356.00	676.00	41 .75
Subtotal			4,653		34,909.30		47,925.90	13,016.60	365 .75
BANK OF NOVA SCOTIA	BNS	11/27/12	55	54.9200	3,020.60	58.2700	3,204.85	184.25	129 4.00
		11/28/12	30	55.0200	1,650.60	58.2700	1,748.10	97.50	71 4.00
Subtotal			85		4,671.20		4,952.95	281.75	200 4.00

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
BANK OF THE OZARKS INC	OZRK	11/27/12	50	32.3300	1,616.50	44.3500	2,217.50	601.00	30	1.35
		11/28/12	10	31.6600	316.60	44.3500	443.50	126.90	6	1.35
Subtotal			60		1,933.10		2,661.00	727.90	36	1.35
BARCLAYS PLC ADR	BCS	09/18/12	3,135	14.5900	45,739.65	17.7600	55,677.60	9,937.95	1,245	2.23
BARRETT BILL CORP	BBG	11/27/12	13	17.2869	224.73	20.2700	263.51	38.78		
		11/27/12	19	17.7878	337.97	20.2700	385.13	47.16		
		12/25/12	10	21.8650	218.65	20.2700	202.70	(15.95)		
Subtotal			42		781.35		851.34	69.99		
BARRICK GOLD CORPORATION	ABX	03/04/11	44	52.7300	2,320.12	29.4000	1,293.60	(1,026.52)	36	2.72
		03/23/11	10	53.0030	530.03	29.4000	294.00	(236.03)	8	2.72
		12/29/11	130	44.4900	5,783.70	29.4000	3,822.00	(1,961.70)	104	2.72
		01/30/12	565	49.1600	27,775.40	29.4000	16,611.00	(11,164.40)	452	2.72
		07/20/12	135	34.6100	4,672.35	29.4000	3,969.00	(703.35)	108	2.72
		11/27/12	80	34.9000	2,792.00	29.4000	2,352.00	(440.00)	64	2.72
		11/28/12	290	34.2398	9,929.57	29.4000	8,526.00	(1,403.57)	232	2.72
		01/22/13	190	34.8515	6,621.80	29.4000	5,586.00	(1,035.80)	152	2.72
Subtotal			1,444		60,424.97		42,453.60	(17,971.37)	1,156	2.72
BASF SE SPONSORED ADR	BASFY	12/22/10	85	80.7100	6,860.35	87.8000	7,463.00	602.65	210	2.80
		01/20/11	195	75.4200	14,706.90	87.8000	17,121.00	2,414.10	480	2.80
		03/01/11	67	82.0000	5,494.00	87.8000	5,882.60	388.60	165	2.80
		03/04/11	176	84.4000	14,854.40	87.8000	15,452.80	598.40	434	2.80
		08/16/11	15	76.8800	1,153.20	87.8000	1,317.00	163.80	37	2.80
		04/11/12	124	82.0400	10,172.96	87.8000	10,887.20	714.24	306	2.80
		07/18/12	244	70.6900	17,248.36	87.8000	21,423.20	4,174.84	601	2.80
		11/28/12	50	87.8000	4,390.00	87.8000	4,390.00		124	2.80
Subtotal			956		74,880.17		83,936.80	9,056.63	2,357	2.80
BAXTER INTERNTL INC	BAX	04/25/12	75	54.9800	4,123.50	72.6400	5,448.00	1,324.50	135	2.47
		07/27/12	390	59.6400	23,259.60	72.6400	28,329.60	5,070.00	703	2.47
Subtotal			465		27,383.10		33,777.60	6,394.50	838	2.47

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
BAYER AG SP ADR	BAYRY	09/16/11 10/21/11 07/20/12	47 370 179 596	54.8700 61.8300 71.3000	2,578.89 22,803.10 12,762.70 38,144.69	103.5500 103.5500 103.5500	4,866.85 38,313.50 18,535.45 61,715.80	2,287.96 15,510.40 5,772.75 23,571.11	85 665 322 1,072	1.73 1.73 1.73 1.73
Subtotal										
BAYERISCHE MOTORENWERKE AG BMW SHS	BAMXY	11/27/12 11/28/12	209 80 289	28.7600 28.7700	6,010.84 2,301.60 8,312.44	28.8500 28.8500	6,029.65 2,308.00 8,337.65	18.81 6.40 25.21	140 54 194	2.31 2.31 2.31
Subtotal										
BELDEN INC	BDC	11/27/12 11/28/12	30 20 50	36.3800 35.0800	1,091.40 701.60 1,793.00	51.6500 51.6500	1,549.50 1,033.00 2,582.50	458.10 331.40 789.50	6 4 10	38 38 38
Subtotal										
BELGACOM SA DE DROIT PUBLIC SHS	BGAOY	11/27/12 11/28/12 01/23/13	2,630 700 1,543 4,873	5.7800 5.7800 5.9211	15,201.40 4,046.00 9,136.41 28,383.81	4.9500 4.9500 4.9500	13,018.50 3,465.00 7,637.85 24,121.35	(2,182.90) (581.00) (1,498.56) (4,262.46)	1,166 311 684 2,161	8.94 8.94 8.94 8.94
Subtotal										
BEMIS CO INC	BMS	03/04/11 11/02/11 03/05/12 12/21/12 12/27/12	93 25 80 58 41 297	32.6300 28.1100 31.4900 33.3798 33.4368	3,034.59 702.75 2,519.20 1,936.03 1,370.91 9,563.48	40.3600 40.3600 40.3600 40.3600 40.3600	3,753.48 1,009.00 3,228.80 2,340.88 1,654.76 11,988.92	718.89 306.25 709.60 404.85 283.85 2,423.44	97 26 84 61 43 311	2.57 2.57 2.57 2.57 2.57 2.57
Subtotal										
BENCHMARK ELECTRONICS	BHE	11/27/12 11/28/12	250 70 320	15.3400 15.3900	3,835.00 1,077.30 4,912.30	18.0200 18.0200	4,505.00 1,261.40 5,766.40	670.00 184.10 854.10		
Subtotal										
BERRY PETE SF CALIF CL A	BRY	11/27/12 11/28/12	40 20 60	31.8600 31.0200	1,274.40 620.40 1,894.80	46.2900 46.2900	1,851.60 925.80 2,777.40	577.20 305.40 882.60	13 7 20	.69 .69 .69
Subtotal										

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total	Estimated	Market Value	Unrealized	Estimated Current
Description					Cost Basis	Cost Basis		Market Price		Gain/(Loss) Annual	Income Yield%
BEST BUY CO INC		BBY	07/23/12	119	18.7000	2,225.30	22.1500	2,635.85	410.55	81	3.07
			11/01/12	205	15.0900	3,093.45	22.1500	4,540.75	1,447.30	140	3.07
Subtotal				324		5,318.75		7,176.60	1,857.85	221	3.07
BG GROUP PLC SPON ADR		BRGY	07/20/12	689	20.1800	13,904.02	17.1500	11,816.35	(2,087.67)	180	1.52
			09/26/12	2,155	20.4000	43,962.00	17.1500	36,958.25	(7,003.75)	563	1.52
Subtotal				2,844		57,866.02		48,774.60	(9,091.42)	743	1.52
BHP BILLITON LTD ADR		BHP	12/22/10	54	91.6500	4,949.10	68.4300	3,695.22	(1,253.88)	124	3.33
			01/10/11	45	90.3573	4,066.08	68.4300	3,079.35	(986.73)	103	3.33
			01/20/11	325	88.0900	28,629.25	68.4300	22,239.75	(6,389.50)	742	3.33
			03/04/11	220	95.4500	20,999.00	68.4300	15,054.60	(5,944.40)	502	3.33
			09/02/11	195	82.0700	16,003.65	68.4300	13,343.85	(2,659.80)	445	3.33
			04/11/12	150	69.3500	10,402.50	68.4300	10,264.50	(138.00)	342	3.33
			11/27/12	260	71.0661	18,477.21	68.4300	17,791.80	(685.41)	593	3.33
			11/28/12	390	70.7422	27,589.46	68.4300	26,687.70	(901.76)	890	3.33
Subtotal				1,639		131,116.25		112,156.77	(18,959.48)	3,741	3.33
BHP BILLITON PLC SP ADR		BBL	11/30/11	70	61.2400	4,286.80	58.0600	4,064.20	(222.60)	160	3.92
			04/11/12	24	58.8300	1,411.92	58.0600	1,393.44	(18.48)	55	3.92
Subtotal				94		5,698.72		5,457.64	(241.08)	215	3.92
BIDVEST GROUP LTD ADR		BDSV	01/20/11	75	44.6500	3,348.75	53.0000	3,975.00	626.25	139	3.49
			03/01/11	183	44.7000	8,180.10	53.0000	9,699.00	1,518.90	339	3.49
			03/04/11	188	45.1500	8,488.20	53.0000	9,964.00	1,475.80	348	3.49
			03/23/11	84	42.1400	3,539.76	53.0000	4,452.00	912.24	156	3.49
			05/09/11	80	44.8000	3,584.00	53.0000	4,240.00	656.00	148	3.49
Subtotal				610		27,140.81		32,330.00	5,189.19	1,130	3.49
BIO REFERENCE LABS 0.01NEW		BRL	11/27/12	230	25.0900	5,770.70	25.9800	5,975.40	204.70		
			11/28/12	60	25.1400	1,508.40	25.9800	1,558.80	50.40		
Subtotal				290		7,279.10		7,534.20	255.10		

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
BIOMARIN PHARMACEUTICALS	BIIB	10/06/11	55	99.3900	5,466.45	192.6180	10,593.99	5,127.54		
		01/11/12	51	115.9900	5,915.49	192.6180	9,823.52	3,908.03		
		01/12/12	22	116.0500	2,553.10	192.6180	4,237.60	1,684.50		
		12/04/12	17	152.0300	2,584.51	192.6180	3,274.51	690.00		
		01/07/13	30	146.3033	4,389.10	192.6180	5,778.54	1,389.44		
Subtotal			175		20,908.65		33,708.16	12,799.51		
BIOSCRIP, INC.	BMRN	11/27/12	20	48.7185	974.37	62.2600	1,245.20	270.83		
		11/28/12	10	48.0900	480.90	62.2600	622.60	141.70		
Subtotal			30		1,455.27		1,867.80	412.53		
BJS RESTAURANTS INC	BIOS	12/12/12	45	10.8211	486.95	12.7100	571.95	85.00		
		07/25/12	48	41.0500	1,970.40	33.2800	1,597.44	(372.96)		
			12	38.6300	463.56	33.2800	399.36	(64.20)		
Subtotal			60		2,433.96		1,996.80	(437.16)		
BLACKBAUD INC	BLKB	11/27/12	60	22.2500	1,335.00	29.6300	1,777.80	442.80		29 1.62
		11/28/12	20	22.2100	444.20	29.6300	592.60	148.40		10 1.62
Subtotal			80		1,779.20		2,370.40	591.20		39 1.62
BLACKROCK INC	BLK	05/23/12	39	162.5900	6,341.01	256.8800	10,018.32	3,677.31		263 2.61
		07/20/12	15	173.5100	2,602.65	256.8800	3,853.20	1,250.55		101 2.61
Subtotal			54		8,943.66		13,871.52	4,927.86		364 2.61
BLOCK H&R INC	HRB	11/16/11	88	15.7600	1,386.88	29.4200	2,588.96	1,202.08		71 2.71
		04/30/12	85	14.7600	1,254.60	29.4200	2,500.70	1,246.10		68 2.71
		07/30/12	95	16.3300	1,551.35	29.4200	2,794.90	1,243.55		76 2.71
Subtotal			268		4,192.83		7,884.56	3,691.73		215 2.71
BLOUNT INTL INC	BLT	11/28/12	8	13.9800	111.84	13.3800	107.04	(4.80)		
		11/30/12	34	14.3435	487.68	13.3800	454.92	(32.76)		
Subtotal			42		599.52		561.96	(37.56)		

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
BNP PARIBAS SPONSORD ADR	BNPQY	01/19/11	60	32.1403	1,928.42	25.8900	1,553.40	(375.02)	73	4.69
		01/20/11	541	36.4500	19,719.45	25.8900	14,006.49	(5,712.96)	658	4.69
		03/04/11	367	37.1000	13,615.70	25.8900	9,501.63	(4,114.07)	446	4.69
		05/09/11	466	37.9800	17,698.68	25.8900	12,064.74	(5,633.94)	567	4.69
		02/22/12	882	24.1500	21,300.30	25.8900	22,834.98	1,534.68	1,072	4.69
		04/11/12	262	20.4400	5,355.28	25.8900	6,783.18	1,427.90	319	4.69
		07/20/12	370	17.3200	6,408.40	25.8900	9,579.30	3,170.90	450	4.69
		11/28/12	40	26.9700	1,078.80	25.8900	1,035.60	(43.20)	49	4.69
Subtotal			2,988		87,105.03		77,359.32	(9,745.71)	3,634	4.69
BOB EVANS FARMS INC	BOBE	11/27/12	60	36.8000	2,208.00	42.6200	2,557.20	349.20	66	2.58
		11/28/12	10	37.1000	371.00	42.6200	426.20	55.20	11	2.58
Subtotal			70		2,579.00		2,983.40	404.40	77	2.58
BONANZA CREEK ENERGY INC	BCEI	11/27/12	38	23.3000	885.40	38.6700	1,469.46	584.06		
		11/28/12	10	22.1700	221.70	38.6700	386.70	165.00		
Subtotal			48		1,107.10		1,856.16	749.06		
BORG WARNER INC COM	BWA	01/20/11	46	68.7400	3,162.04	77.3400	3,557.64	395.60		
		01/28/11	39	68.9900	2,690.61	77.3400	3,016.26	325.65		
		03/01/11	20	77.0200	1,540.40	77.3400	1,546.80	6.40		
		03/04/11	61	77.3000	4,715.30	77.3400	4,717.74	2.44		
		04/19/11	25	71.0000	1,775.00	77.3400	1,933.50	158.50		
		09/21/11	11	65.3600	718.96	77.3400	850.74	131.78		
Subtotal			202		14,602.31		15,622.68	1,020.37		
BP PLC SPON ADR	BP	06/14/12	1,425	38.6400	55,062.00	42.3500	60,348.75	5,286.75	3,079	5.10
		07/18/12	390	41.7700	16,290.30	42.3500	16,516.50	226.20	843	5.10
		07/31/12	400	40.1000	16,040.00	42.3500	16,940.00	900.00	865	5.10
		11/28/12	30	41.0000	1,230.00	42.3500	1,270.50	40.50	65	5.10
		01/22/13	152	43.7946	6,656.79	42.3500	6,437.20	(219.59)	329	5.10
Subtotal			2,397		95,279.09		101,512.95	6,233.86	5,181	5.10

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
BRAMBLES LTD SHS	BMBLY	11/27/12	209	15.1900	3,174.71	17.7600	3,711.84	537.13	103 2.76
		11/28/12	80	15.1600	1,212.80	17.7600	1,420.80	208.00	40 2.76
Subtotal			289		4,387.51		5,132.64	745.13	143 2.76
BRANDYWNE RLTY T SBI NEW REIT	BDN	12/12/11	195	8.3862	1,635.32	14.8500	2,895.75	1,260.43	117 4.04
BRIDGESTONE CORP ADR	BRDCY	11/27/12	15	47.7000	715.50	66.7000	1,000.50	285.00	10 .91
		11/28/12	40	47.6100	1,904.40	66.7000	2,668.00	763.60	25 91
		01/23/13	92	51.8542	4,770.59	66.7000	6,136.40	1,365.81	57 91
Subtotal			147		7,390.49		9,804.90	2,414.41	92 .91
BRINKS CO	BCO	11/27/12	90	26.9710	2,427.39	28.2600	2,543.40	116.01	36 1.41
		11/28/12	30	26.9600	808.80	28.2600	847.80	39.00	12 1.41
Subtotal			120		3,236.19		3,391.20	155.01	48 1.41
BRISTOW GROUP INC	BRS	11/27/12	50	51.6000	2,580.00	65.9400	3,297.00	717.00	40 1.21
		11/28/12	10	51.0100	510.10	65.9400	659.40	149.30	8 1.21
Subtotal			60		3,090.10		3,956.40	866.30	48 1.21
BRITISH AMN TOBACO SPADR	BTI	11/27/12	138	104.9455	14,482.48	107.0500	14,772.90	290.42	576 3.89
		11/27/12	10	111.0250	1,110.25	107.0500	1,070.50	(39.75)	42 3.89
		11/28/12	60	104.6500	6,279.00	107.0500	6,423.00	144.00	251 3.89
		12/04/12	11	106.8409	1,175.25	107.0500	1,177.55	2.30	46 3.89
Subtotal			219		23,046.98		23,443.95	396.97	915 3.89
BRITISH SKY BDCT SPD ADR	BSBY	11/27/12	92	49.7800	4,579.76	53.8400	4,953.28	373.52	159 3.20
		11/28/12	40	49.8100	1,992.40	53.8400	2,153.60	161.20	69 3.20
Subtotal			132		6,572.16		7,106.88	534.72	228 3.20
BROADSOFT INC	BSFT	11/27/12	30	32.3800	971.40	26.4700	794.10	(177.30)	
		11/28/12	10	32.6700	326.70	26.4700	264.70	(62.00)	
		03/04/13	25	21.4680	536.70	26.4700	661.75	125.05	
Subtotal			65		1,834.80		1,720.55	(114.25)	

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income	Yield%
Description					Cost Basis							
BROOKFIELD ASSET MGMT INC		BAM	11/27/12	70	34.3200		2,402.40	36.4900	2,554.30	151.90	42	1.64
	CL A		11/28/12	20	34.2065		684.13	36.4900	729.80	45.67	12	1.64
	Subtotal			90			3,086.53		3,284.10	197.57	54	1.64
BROWN SHOE CO INC	COM	BWS	02/19/13	45	18.0337		811.52	16.0000	720.00	(91.52)	13	1.75
			03/11/13	25	17.5204		438.01	16.0000	400.00	(38.01)	7	1.75
			03/20/13	24	17.3150		415.56	16.0000	384.00	(31.56)	7	1.75
	Subtotal			94			1,665.09		1,504.00	(161.09)	27	1.75
BUCKEYE TECHNOLOGIES INC		BKI	11/27/12	80	27.1500		2,172.00	29.9500	2,396.00	224.00	29	1.20
			11/28/12	20	27.1000		542.00	29.9500	599.00	57.00	8	1.20
	Subtotal			100			2,714.00		2,995.00	281.00	37	1.20
BUFFALO WILD WINGS INC		BWLD	11/27/12	20	73.0300		1,460.60	87.5400	1,750.80	290.20		
			11/28/12	10	72.3400		723.40	87.5400	875.40	152.00		
	Subtotal			30			2,184.00		2,626.20	442.20		
BUNZL PLC	ADR	BZLFY	11/27/12	65	86.6500		5,632.25	98.9700	6,433.05	800.80	138	2.13
			11/28/12	40	83.0200		3,320.80	98.9700	3,958.80	638.00	85	2.13
	Subtotal			105			8,953.05		10,391.85	1,438.80	223	2.13
CA INC		CA	04/25/12	7	27.4271		191.99	25.1800	176.26	(15.73)	7	3.97
			04/25/12	19	27.4283		521.10	25.1800	478.42	(42.68)	19	3.97
			04/25/12	5	27.4260		137.13	25.1800	125.90	(11.23)	5	3.97
			05/08/12	590	26.2300		15,475.70	25.1800	14,856.20	(619.50)	590	3.97
			05/23/12	65	25.1100		1,632.15	25.1800	1,636.70	4.55	65	3.97
			05/23/12	196	25.1100		4,921.56	25.1800	4,935.28	13.72	196	3.97
			05/23/12	329	25.1100		8,261.19	25.1800	8,284.22	23.03	329	3.97
			05/24/12	12	25.1000		301.20	25.1800	302.16	.96	12	3.97
			05/24/12	36	25.1000		903.60	25.1800	906.48	2.88	36	3.97
			05/24/12	62	25.1000		1,556.20	25.1800	1,561.16	4.96	62	3.97
			07/09/12	39	26.1600		1,020.24	25.1800	982.02	(38.22)	39	3.97
			07/09/12	53	26.1600		1,386.48	25.1800	1,334.54	(51.94)	53	3.97
			07/09/12	98	26.1600		2,563.68	25.1800	2,467.64	(96.04)	98	3.97

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
CA INC	CA	07/30/12	46	24.3900	1,121.94	25.1800	1,158.28	36.34		46 3.97
		07/30/12	86	24.3900	2,097.54	25.1800	2,165.48	67.94		86 3.97
		07/30/12	213	24.3900	5,195.07	25.1800	5,363.34	168.27		213 3.97
Subtotal			1,856		47,286.77		46,734.08	(552.69)		1,856 3.97
CABELAS INC	CAB	11/27/12	90	47.1900	4,247.10	60.7800	5,470.20	1,223.10		
		11/28/12	20	46.9600	939.20	60.7800	1,215.60	276.40		
Subtotal			110		5,186.30		6,685.80	1,499.50		
CABLEVISION NY GRP CL A	CVC	07/10/12	224	12.9900	2,909.76	14.9600	3,351.04	441.28		135 4.01
		09/08/12	201	15.3600	3,087.36	14.9600	3,006.96	(80.40)		121 4.01
		11/27/12	20	13.8600	277.20	14.9600	299.20	22.00		12 4.01
		11/28/12	130	13.6300	1,771.90	14.9600	1,944.80	172.90		78 4.01
		12/05/12	121	13.7761	1,666.92	14.9600	1,810.16	143.24		73 4.01
		03/25/13	192	14.7857	2,838.87	14.9600	2,872.32	33.45		116 4.01
Subtotal			888		12,552.01		13,284.48	732.47		535 4.01
CABOT OIL & GAS CORP	COG	06/27/12	56	40.5400	2,270.24	67.6100	3,786.16	1,515.92		5 .11
		06/28/12	144	40.8000	5,875.20	67.6100	9,735.84	3,860.64		12 .11
Subtotal			200		8,145.44		13,522.00	5,376.56		17 .11
CAIRN ENERGY PLC SHS ADR	CRNCY	11/27/12	249	8.5000	2,116.50	8.2300	2,049.27	(67.23)		
		11/28/12	110	8.4300	927.30	8.2300	905.30	(22.00)		
Subtotal			359		3,043.80		2,954.57	(89.23)		
CALGON CARBON CORP	CCC	11/27/12	410	12.9499	5,309.46	18.1000	7,421.00	2,111.54		158 1.68
		11/28/12	120	12.9099	1,549.19	18.1000	2,172.00	622.81		56 1.68
Subtotal			530		6,858.65		9,593.00	2,734.35		214 1.68
CANADIAN NATL RAILWAY CO	CNI	04/11/12	93	77.7800	7,233.54	100.3000	9,327.90	2,094.36		
		12/04/12	33	89.3575	2,948.80	100.3000	3,309.90	361.10		
Subtotal			126		10,182.34		12,637.80	2,455.46		

RICHARD L KAY TEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
CANADIAN NATURAL RES LTD	CNQ	11/27/12	290	28.2500	8,192.50	32.1300	9,317.70	1,125.20	141	1.50
		11/28/12	100	27.9300	2,793.00	32.1300	3,213.00	420.00	49	1.50
Subtotal			390		10,985.50		12,530.70	1,545.20	190	1.50
CANADIAN PACIFIC RAILWAY LTD	CP	11/27/12	90	92.7004	8,343.04	130.4700	11,742.30	3,399.26	124	1.05
		11/28/12	20	92.6600	1,853.20	130.4700	2,609.40	756.20	28	1.05
Subtotal			110		10,196.24		14,351.70	4,155.46	152	1.05
CANON INC ADR REPF5H	CAJ	11/27/12	235	35.2050	8,273.18	36.6900	8,622.15	348.97	332	3.84
		11/28/12	100	34.1880	3,418.80	36.6900	3,669.00	250.20	141	3.84
Subtotal			335		11,691.98		12,291.15	599.17	473	3.84
CAPITAL ONE FINL	COF	01/31/13	727	56.3774	40,986.44	54.9500	39,948.85	(1,037.79)	146	.36
CAPITAL SENIOR LIVING	CSU	11/27/12	30	17.1000	513.00	26.4300	792.90	279.90		
		11/28/12	10	17.2000	172.00	26.4300	264.30	92.30		
Subtotal		02/20/13	24	22.2450	533.88	26.4300	634.32	100.44		
			64		1,218.88		1,691.52	472.64		
CARDIOVASCULAR SYS INC	CSII	02/04/13	34	15.7144	534.29	20.4800	696.32	162.03		
		03/06/13	19	16.0684	305.30	20.4800	389.12	83.82		
		03/11/13	18	17.4005	313.21	20.4800	368.64	55.43		
Subtotal			71		1,152.80		1,454.08	301.28		
CARLSBERG AS SPONSOREDAD	CABGY	02/04/13	81	21.5765	1,747.70	19.6700	1,593.27	(154.43)	11	.67
		02/05/13	229	21.5430	4,933.35	19.6700	4,504.43	(428.92)	31	.67
Subtotal			310		6,681.05		6,097.70	(583.35)	42	.67
CARNIVAL CORP PAIRED SHS	CCL	03/04/11	131	32.0000	4,192.00	34.3000	4,493.30	301.30	131	2.91
		02/15/12	145	30.3800	4,405.10	34.3000	4,973.50	568.40	145	2.91
		02/17/12	410	31.0500	12,730.50	34.3000	14,063.00	1,332.50	411	2.91
		04/25/12	124	32.0000	3,968.00	34.3000	4,253.20	285.20	124	2.91
		02/14/13	312	37.2444	11,620.28	34.3000	10,701.60	(918.68)	313	2.91
Subtotal			1,122		36,915.88		38,484.60	1,568.72	1,124	2.91

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
CASEYS GEN STORES INC	CASY	11/27/12	40	47.7400	1,909.60	58.3000	2,332.00	422.40	27	1.13
		11/28/12	10	47.4600	474.60	58.3000	583.00	108.40	7	1.13
Subtotal			50		2,384.20		2,915.00	530.80	34	1.13
CASH AMERICAN INTL INC	CSH	11/27/12	90	37.4090	3,366.81	52.4700	4,722.30	1,355.49	13	.26
		11/28/12	30	36.8900	1,106.70	52.4700	1,574.10	467.40	5	.26
Subtotal			120		4,473.51		6,296.40	1,822.89	18	.26
CAVIUM INC	CAVM	07/20/12	55	24.6400	1,355.20	38.8100	2,134.55	779.35		
		12/11/12	21	35.8809	753.50	38.8100	815.01	61.51		
		12/18/12	9	33.3588	300.23	38.8100	349.29	49.06		
		01/07/13	7	31.6700	221.69	38.8100	271.67	49.98		
		02/08/13	6	35.3050	211.83	38.8100	232.86	21.03		
Subtotal			98		2,842.45		3,803.38	960.93		
CBS CORP NEW CL B	CBS	04/27/12	182	34.3500	6,251.70	46.6900	8,497.58	2,245.88	88	1.02
		05/15/12	93	31.9000	2,968.70	46.6900	4,342.17	1,375.47	45	1.02
		06/25/12	95	31.2500	2,968.75	46.6900	4,435.55	1,466.80	46	1.02
		11/28/12	10	34.9700	349.70	46.6900	466.90	117.20	5	1.02
Subtotal			380		12,536.85		17,742.20	5,205.35	184	1.02
CEC ENTMT INC KANS \$0.1	CEC	11/27/12	50	30.7900	1,539.50	32.7500	1,637.50	98.00	48	2.93
		11/28/12	20	30.7800	615.60	32.7500	655.00	39.40	20	2.93
Subtotal			70		2,155.10		2,292.50	137.40	68	2.93
CELGENE CORP COM	CELG	09/22/11	46	62.9600	2,896.16	115.9100	5,331.86	2,435.70		
		09/29/11	44	62.6400	2,756.16	115.9100	5,100.04	2,343.88		
		10/27/11	44	65.8100	2,895.64	115.9100	5,100.04	2,204.40		
		05/11/12	86	70.9900	6,105.14	115.9100	9,968.26	3,863.12		
		07/20/12	43	66.4700	2,858.21	115.9100	4,984.13	2,125.92		
Subtotal			263		17,511.31		30,484.33	12,973.02		

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
CENOVUS ENERGY INC	CVE	11/27/12	89	32.5649	2,898.28	30.9900	2,758.11	(140.17)	85 3.07
		11/28/12	40	32.6400	1,305.60	30.9900	1,239.60	(66.00)	39 3.07
Subtotal			129		4,203.88		3,997.71	(206.17)	124 3.07
CENTERPOINT ENERGY INC	CNP	01/26/12	191	18.7300	3,577.43	23.9600	4,576.36	998.93	159 3.46
		08/22/12	30	20.4200	612.60	23.9600	718.80	106.20	25 3.46
		08/29/12	150	20.6400	3,096.00	23.9600	3,594.00	498.00	125 3.46
		11/28/12	10	19.5400	195.40	23.9600	239.60	44.20	9 3.46
		02/22/13	104	20.7579	2,158.83	23.9600	2,491.84	333.01	87 3.46
Subtotal			485		9,640.26		11,820.60	1,980.34	405 3.46
CENTRICA PLC SPR ADR NEW	CPYY	12/14/12	2,680	21.8768	58,630.09	22.5400	60,407.20	1,777.11	2,603 4.30
CERNER CORP COM	CERN	03/04/11	72	52.0400	3,746.88	94.7400	6,821.28	3,074.40	
		07/30/12	55	73.6000	4,048.00	94.7400	5,210.70	1,162.70	
		08/16/12	85	73.4900	6,246.65	94.7400	8,052.90	1,806.25	
		11/27/12	920	76.9148	70,761.62	94.7400	87,160.80	16,399.18	
		11/28/12	390	76.8498	29,971.46	94.7400	36,948.60	6,977.14	
Subtotal			1,522		114,774.61		144,194.28	29,419.67	
CF INDS HLDGS INC	CF	05/31/11	3	152.8900	458.67	190.3700	571.11	112.44	5 .84
		09/22/11	20	145.9900	2,919.80	190.3700	3,807.40	887.60	32 .84
		10/05/11	9	133.4000	1,200.60	190.3700	1,713.33	512.73	15 .84
Subtotal			32		4,579.07		6,091.84	1,512.77	52 .84
CHART INDS INC	GTL	01/20/11	70	36.8300	2,578.10	80.0100	5,600.70	3,022.60	
		03/04/11	50	45.2600	2,263.00	80.0100	4,000.50	1,737.50	
		11/27/12	20	58.6900	1,173.80	80.0100	1,600.20	426.40	
		11/28/12	50	58.8500	2,942.50	80.0100	4,000.50	1,058.00	
Subtotal			190		8,957.40		15,201.90	6,244.50	

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
CHECK POINT SOFTWARE TECH	CHKP	11/27/12 11/28/12	117 50 167	46.0049 45.6500	5,382.58 2,282.50 7,665.08	46.9900 46.9900	5,497.83 2,349.50 7,847.33	115.25 67.00 182.25		
Subtotal										
CHEMTURA CORP. COM STK	CHMT	11/27/12 11/28/12	50 20 70	19.9900 20.0500	999.50 401.00 1,400.50	21.6100 21.6100	1,080.50 432.20 1,512.70	81.00 31.20 112.20		
Subtotal										
CHEVRON CORP	CVX	04/11/12 04/11/12 04/11/12 04/27/12 04/27/12 04/27/12 08/06/12 08/06/12 08/06/12	12 26 35 36 45 68 11 16 26 275	101.0100 101.0100 101.0100 105.8600 105.8600 105.8600 97.7700 97.7700 97.7700	1,212.12 2,626.26 3,535.35 3,810.96 4,763.70 7,198.48 1,075.47 1,564.32 2,542.02 28,328.68	118.8200 118.8200 118.8200 118.8200 118.8200 118.8200 118.8200 118.8200 118.8200	1,425.84 3,089.32 4,158.70 4,277.52 5,346.90 8,079.76 1,307.02 1,901.12 3,089.32 32,675.50	213.72 463.06 623.35 466.56 583.20 881.28 231.55 336.80 547.30 4,346.82	44 94 126 130 162 245 40 58 94 993	3.02 3.02 3.02 3.02 3.02 3.02 3.02 3.02 3.02 3.02
Subtotal										
CHICOS FAS INC COM	CHS	11/27/12 11/28/12	70 10 80	18.5500 18.7800	1,298.50 187.80 1,486.30	16.8000 16.8000	1,176.00 168.00 1,344.00	(122.50) (19.80) (142.30)	16 3 19	1.30 1.30 1.30
Subtotal										
CHINA CONSTRUCT UNSPN AD	CICHY	12/22/10 01/20/11 02/28/11 03/04/11 04/10/12 05/03/12 07/30/12 08/29/12 11/28/12	183 448 108 371 726 770 540 625 470 4,241	17.8600 18.2000 17.4500 17.8700 15.0800 15.3700 13.0100 13.5300 14.9500	3,268.38 8,153.60 1,884.60 6,629.77 10,948.08 11,834.90 7,025.40 8,458.25 7,026.50 65,227.48	16.2700 16.2700 16.2700 16.2700 16.2700 16.2700 16.2700 16.2700 16.2700	2,977.41 7,288.96 1,757.16 6,036.17 11,812.02 12,527.90 8,785.80 10,168.75 7,646.90 69,001.07	(290.97) (864.64) (127.44) (593.60) 863.94 693.00 1,760.40 1,712.50 620.40 3,773.59	115 280 68 232 453 480 337 390 293 2,648	3.82 3.82 3.82 3.82 3.82 3.82 3.82 3.82 3.82 3.82
Subtotal										

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

Equities (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income	Yield%
CHINA MOBILE LTD SPN ADR	CHL	01/26/12	235	50.0000	11,750.00	53.1300	12,485.55	735.55	465	3.72
		02/10/12	315	50.5400	15,920.10	53.1300	16,735.95	815.85	624	3.72
		03/07/12	365	51.6600	18,855.90	53.1300	19,392.45	536.55	722	3.72
		11/27/12	290	56.0150	16,244.35	53.1300	15,407.70	(836.65)	574	3.72
		11/28/12	330	56.4465	18,627.35	53.1300	17,532.90	(1,094.45)	653	3.72
		01/22/13	116	55.6093	6,450.69	53.1300	6,163.08	(287.61)	230	3.72
		03/18/13	69	52.6147	3,630.42	53.1300	3,665.97	35.55	137	3.72
		03/19/13	9	52.0011	468.01	53.1300	478.17	10.16	18	3.72
Subtotal			1,729		91,946.82		91,861.77	(85.05)	3,423	3.72
CHUYS HLDGS INC	CHUY	11/27/12	3	22.8400	68.52	32.5800	97.74	29.22		
		11/28/12	10	23.1300	231.30	32.5800	325.80	94.50		
		11/29/12	10	25.0400	250.40	32.5800	325.80	75.40		
		01/16/13	13	23.9700	311.61	32.5800	423.54	111.93		
Subtotal			36		861.83		1,172.88	311.05		
CIE GENERALE DES ETABLISSEMENTS MICHELIN	MGDDY	02/13/13	3,398	18.6944	63,523.62	16.6900	56,712.62	(6,811.00)	1,645	2.89
CIELO S A SPONSORED ADR	CIOXY	03/04/11	772	17.2900	13,347.88	29.8400	23,036.48	9,688.60	863	3.74
		11/27/12	340	27.5100	9,353.40	29.8400	10,145.60	792.20	380	3.74
		11/28/12	550	27.7064	15,238.52	29.8400	16,412.00	1,173.48	615	3.74
		12/17/12	185	26.5480	4,911.38	29.8400	5,520.40	609.02	207	3.74
Subtotal			1,847		42,851.18		55,114.48	12,263.30	2,065	3.74
CIGNA CORP	CIG	07/25/12	29	39.6200	1,148.98	62.3700	1,808.73	659.75	2	.06
		09/26/12	59	47.1400	2,781.26	62.3700	3,679.83	898.57	3	.06
Subtotal			88		3,930.24		5,488.56	1,558.32	5	.06
CISCO SYSTEMS INC COM	CSCO	05/25/12	1,500	16.4000	24,600.00	20.8950	31,342.50	6,742.50	841	2.68
CITIGROUP INC COM NEW	C	03/11/13	140	47.7145	6,680.04	44.2400	6,193.60	(486.44)	6	.09
		03/15/13	57	47.3168	2,697.06	44.2400	2,521.68	(175.38)	3	.09
Subtotal			197		9,377.10		8,715.28	(661.82)	9	.09

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income	Yield%
CLICKS GROUP LTD-SP	CLCGY	05/31/11 07/05/11	945 280 1,225	24.8000 25.5600	23,436.00 7,156.80 30,592.80	26.3200 26.3200	24,872.40 7,369.60 32,242.00	1,436.40 212.80 1,649.20	526 156 682	2.11 2.11 2.11
Subtotal										
CMNTY BANK SYS INC DEL	CBU	11/27/12 11/28/12	60 10 70	26.8395 26.6300	1,610.37 266.30 1,876.67	29.6300 29.6300	1,777.80 296.30 2,074.10	167.43 30.00 197.43	65 11 76	3.64 3.64 3.64
Subtotal										
CMS ENERGY CORP	CMS	03/04/11 04/07/11 03/07/12 04/11/12 08/07/12 08/22/12 11/28/12	126 188 50 118 65 135 10 690	19.1400 19.3300 21.5000 21.4500 24.1000 23.1000 24.0300	2,411.64 3,595.38 1,075.00 2,531.10 1,586.50 3,118.50 240.30 14,538.42	27.9400 27.9400 27.9400 27.9400 27.9400 27.9400 27.9400	3,520.44 5,196.84 1,397.00 3,296.92 1,816.10 3,771.90 279.40 19,278.60	1,108.80 1,601.46 322.00 765.82 249.60 653.40 39.10 4,740.18	129 190 51 121 67 138 11 707	3.65 3.65 3.65 3.65 3.65 3.65 3.65 3.65
Subtotal										
TCNOOCLTD ADR	CEO	07/10/12 08/23/12 08/23/12 08/23/12 09/17/12 10/18/12	113 8 68 114 30 25 358	198.0100 191.3000 191.3000 191.3000 207.0500 206.9900	22,375.13 1,530.40 13,008.40 21,808.20 6,211.50 5,174.75 70,108.38	191.5000 191.5000 191.5000 191.5000 191.5000 191.5000	21,639.50 1,532.00 13,022.00 21,831.00 5,745.00 4,787.50 68,557.00	(735.63) 1.60 13.60 22.80 (466.50) (387.25) (1,551.38)	616 44 371 622 164 137 1,954	2.84 2.84 2.84 2.84 2.84 2.84 2.84
Subtotal										
COGNEX CORP	CGNX	10/22/12	55	35.4500	1,949.75	42.1500	2,318.25	368.50	25	1.04
COGNIZANT TECH SOLUTIONS A	CTSH	03/04/11 06/10/11 11/27/12 11/28/12	202 44 880 340 1,466	76.0300 72.7800 65.8738 65.1904	15,358.06 3,202.32 57,969.03 22,164.74 98,694.15	76.6210 76.6210 76.6210 76.6210	15,477.44 3,371.32 67,426.48 26,051.14 112,326.38	119.38 169.00 9,457.45 3,886.40 13,632.23		
Subtotal										

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
COHERENT INC CAL	COHR	11/27/12	50	45.3200	2,266.00	56.7400	2,837.00	571.00		
		11/28/12	20	45.2600	905.20	56.7400	1,134.80	229.60		
Subtotal			70		3,171.20		3,971.80	800.60		
COINSTAR INC	CSTR	11/27/12	100	47.2000	4,720.00	58.4200	5,842.00	1,122.00		
		11/28/12	30	46.5700	1,397.10	58.4200	1,752.60	355.50		
Subtotal			130		6,117.10		7,594.60	1,477.50		
COLFAX CORP	CFX	11/27/12	90	37.8260	3,404.34	46.5400	4,188.60	784.26		
		11/28/12	20	38.4100	768.20	46.5400	930.80	162.60		
Subtotal			110		4,172.54		5,119.40	946.86		
COLUMBIA SPORTSWEAR CO	COLM	11/27/12	30	56.7800	1,703.40	57.8800	1,736.40	33.00		27 152
		11/28/12	10	57.5900	575.90	57.8800	578.80	2.90		9 152
Subtotal			40		2,279.30		2,315.20	35.90		36 152
COML INL BK SP ADR	CIBEY	01/20/11	390	7.0300	2,741.70	4.3300	1,688.70	(1,053.00)		64 376
		03/01/11	584	5.5500	3,130.20	4.3300	2,442.12	(688.08)		92 376
		03/04/11	834	5.2900	4,411.86	4.3300	3,611.22	(800.64)		136 376
		05/11/11	752	4.8900	3,677.28	4.3300	3,256.16	(421.12)		123 376
		11/28/12	1,230	5.2500	6,457.50	4.3300	5,325.90	(1,131.60)		201 376
Subtotal			3,770		20,418.54		16,324.10	(4,094.44)		616 376
COMMERZBANK A G SPNS ADR	CRZBY	03/25/13	4,799	1.5444	7,411.58	1.5100	7,246.49	(165.09)		
COMINVAVLT SYS INC	CVLT	11/27/12	11	66.6200	732.82	82.0000	902.00	169.18		
COMP DE BEBIDAS SPN ADR	ABV	03/04/11	310	27.9098	8,652.06	42.3300	13,122.30	4,470.24		195 148
		05/10/11	220	32.2698	7,099.37	42.3300	9,312.60	2,213.23		139 148
		09/20/12	205	37.6999	7,728.48	42.3300	8,677.65	949.17		129 148
Subtotal			735		23,479.91		31,112.55	7,632.64		463 148
COMPASS MINERALS INTL INC	CMP	11/27/12	30	77.6000	2,328.00	78.9000	2,367.00	39.00		66 276
		11/28/12	10	77.3400	773.40	78.9000	789.00	15.60		22 276
Subtotal			40		3,101.40		3,156.00	54.60		88 276
CONCUR TECHNOLOGIES INC	CNQR	11/27/12	30	63.8800	1,916.40	68.6600	2,059.80	143.40		

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Yield%
CONNIS INC	CONN	11/27/12	27	27.5000	742.50	35.9400	970.38	227.88		
		11/28/12	10	27.5300	275.30	35.9400	359.40	84.10		
Subtotal			37		1,017.80		1,329.78	311.98		
CONOCOPHILLIPS	COP	01/20/11	216	51.6800	11,162.88	60.1000	12,981.60	1,818.72	571	4.39
		03/02/11	5	60.2200	301.10	60.1000	300.50	(0.60)	14	4.39
		03/02/11	30	60.2200	1,806.60	60.1000	1,803.00	(3.60)	80	4.39
		03/04/11	48	61.5400	2,830.84	60.1000	2,764.60	(66.24)	122	4.39
		03/04/11	234	61.5400	14,400.36	60.1000	14,063.40	(336.96)	618	4.39
		03/08/11	15	60.1200	901.80	60.1000	901.50	(0.30)	40	4.39
		03/08/11	76	60.1200	4,569.12	60.1000	4,567.60	(1.52)	201	4.39
		07/05/11	11	58.4000	642.40	60.1000	661.10	18.70	30	4.39
		07/05/11	61	58.4000	3,562.40	60.1000	3,666.10	103.70	162	4.39
		05/14/12	56	52.6900	2,950.64	60.1000	3,365.60	414.96	148	4.39
		05/14/12	285	52.6900	15,016.65	60.1000	17,128.50	2,111.85	753	4.39
Subtotal			1,035		58,144.79		62,203.50	4,058.71	2,739	4.39
COOPER TIRE RUBBER	CTB	11/27/12	22	24.5800	540.76	25.6600	564.52	23.76	10	1.63
		11/28/12	10	24.4700	244.70	25.6600	256.60	11.90	5	1.63
Subtotal			32		785.46		821.12	35.66	15	1.63
CORE LAB N.V. COM	CLB	03/04/11	7	102.4200	716.94	137.9200	965.44	248.50	9	.92
		07/20/12	27	107.8400	2,911.68	137.9200	3,723.84	812.16	35	.92
Subtotal			34		3,628.62		4,689.28	1,060.66	44	.92
CORNERSTONE ONDEMAND INC	CSOD	03/05/12	76	20.8500	1,584.60	34.1000	2,591.60	1,007.00		
		02/14/13	5	31.3600	156.80	34.1000	170.50	13.70		
		03/13/13	32	34.5053	1,104.17	34.1000	1,091.20	(12.97)		
		03/14/13	8	34.4725	275.78	34.1000	272.80	(2.98)		
Subtotal			121		3,121.35		4,126.10	1,004.75		
CORRECTIONS CORP OF AMER	CXW	11/27/12	90	34.1400	3,072.60	39.0700	3,516.30	443.70	191	5.42
		11/28/12	30	33.4500	1,003.50	39.0700	1,172.10	168.60	64	5.42
Subtotal			120		4,076.10		4,688.40	612.30	255	5.42

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income	Yield %
CORUS ENTMT INC CL B NV	CJREF	11/27/12 11/28/12	161 70	23.2860 23.2000	3,749.05 1,624.00	25.7492 25.7492	4,145.62 1,802.44	396.57 178.44	165 72	3.95 3.95
Subtotal			231		5,373.05		5,948.08	575.01	237	3.95
COSTCO WHOLESALE CRP DEL	COST	12/22/10 01/20/11 03/04/11 07/20/12 11/27/12 11/28/12	16 63 63 17 630 180	72.2700 72.4900 72.6700 95.7100 97.0655 100.7998	1,156.32 4,566.87 4,578.21 1,627.07 61,151.27 18,143.98	106.1100 106.1100 106.1100 106.1100 106.1100 106.1100	1,697.76 6,684.93 6,684.93 1,803.87 66,849.30 19,099.80	541.44 2,118.06 2,106.72 176.80 5,698.03 955.82	18 70 70 19 694 199	1.03 1.03 1.03 1.03 1.03 1.03
Subtotal			969		91,223.72		102,820.59	11,596.87	1,070	1.03
COVIDIEN PLC SHS NEW	COV	05/16/12 05/30/12 07/26/12	43 58 120	54.4800 52.2200 53.4900	2,342.64 2,924.32 6,418.80	67.8400 67.8400 67.8400	2,917.12 3,799.04 8,140.80	574.48 874.72 1,722.00	45 59 125	1.53 1.53 1.53
Subtotal			219		11,685.76		14,856.96	3,171.20	229	1.53
CREDIT SUISSE GP SP ADR	CS	12/22/10 01/20/11 03/01/11 03/04/11 09/13/11 09/15/11 12/22/11 07/25/12	5 383 120 301 379 645 725 1,235	40.1100 44.2300 45.7200 44.0000 23.0500 25.3500 23.2900 16.2900	200.55 16,940.09 5,486.40 13,244.00 8,735.95 16,350.75 16,885.25 20,118.15	26.2000 26.2000 26.2000 26.2000 26.2000 26.2000 26.2000 26.2000	131.00 10,034.60 3,144.00 7,886.20 9,929.80 16,899.00 18,995.00 32,357.00	(69.55) (6,905.49) (2,342.40) (5,357.80) 1,193.85 548.25 2,109.75 12,238.85	4 300 94 236 297 506 568 967	2.98 2.98 2.98 2.98 2.98 2.98 2.98 2.98
Subtotal			3,793		97,961.14		99,376.60	1,415.46	2,972	2.98
CROWN HLDGS INC	CCK	03/04/11 03/10/11 05/11/11 09/20/11 01/23/12	57 42 78 15 70	38.8000 38.7007 40.5800 31.6300 34.8700	2,211.60 1,625.43 3,165.24 474.45 2,440.90	41.6100 41.6100 41.6100 41.6100 41.6100	2,371.77 1,747.62 3,245.58 624.15 2,912.70	160.17 122.19 80.34 149.70 471.80		
Subtotal			262		9,917.62		10,901.82	984.20		

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
CSL LTD SHS	CMXHY	04/11/12	159	18.2500	2,901.75	31.1200	4,948.08	2,046.33	97 1.96
CTS CORPORATION	CTS	11/27/12	100	8.4450	844.50	10.4400	1,044.00	199.50	14 1.34
		11/28/12	30	8.4300	252.90	10.4400	313.20	60.30	5 1.34
Subtotal			130		1,097.40		1,357.20	259.80	19 1.34
CUBESMART COM	CUBE	11/27/12	88	13.5800	1,195.04	15.8000	1,390.40	195.36	39 2.78
		11/28/12	40	13.6600	546.40	15.8000	632.00	85.60	18 2.78
Subtotal			128		1,741.44		2,022.40	280.96	57 2.78
CUBIC CORP DEL	CUB	11/27/12	40	48.8200	1,952.80	42.7200	1,708.80	(244.00)	10 .56
		11/28/12	10	47.7500	477.50	42.7200	427.20	(50.30)	3 .56
Subtotal			50		2,430.30		2,136.00	(294.30)	13 .56
CUBIST PHARMACEUTICALS	CBST	11/27/12	50	39.7786	1,988.93	46.8200	2,341.00	352.07	
		11/28/12	10	39.0900	390.90	46.8200	468.20	77.30	
Subtotal			60		2,379.83		2,809.20	429.37	
CURTISS WRIGHT	CW	11/27/12	30	31.3230	939.69	34.7000	1,041.00	101.31	11 1.03
		11/28/12	10	31.1500	311.50	34.7000	347.00	35.50	4 1.03
Subtotal			40		1,251.19		1,388.00	136.81	15 1.03
CVS CAREMARK CORP	CVS	03/04/11	77	32.9100	2,534.07	54.9900	4,234.23	1,700.16	70 1.63
		08/16/11	35	33.7900	1,182.65	54.9900	1,924.65	742.00	32 1.63
		08/16/11	157	33.7900	5,305.03	54.9900	8,633.43	3,328.40	142 1.63
		08/16/11	229	33.7900	7,737.91	54.9900	12,592.71	4,854.80	207 1.63
		02/24/12	26	44.0000	1,144.00	54.9900	1,429.74	285.74	24 1.63
		02/24/12	44	44.0000	1,936.00	54.9900	2,419.56	483.56	40 1.63
		03/05/12	52	45.2500	2,353.00	54.9900	2,859.48	506.48	47 1.63
		03/05/12	88	45.2500	3,982.00	54.9900	4,839.12	857.12	80 1.63
		03/16/12	18	45.1500	812.70	54.9900	989.82	177.12	17 1.63
		03/16/12	32	45.1500	1,444.80	54.9900	1,759.68	314.88	29 1.63
		04/16/12	75	43.6900	3,276.75	54.9900	4,124.25	847.50	68 1.63
		04/16/12	125	43.6900	5,461.25	54.9900	6,873.75	1,412.50	113 1.63
Subtotal			958		37,170.16		52,680.42	15,510.26	869 1.63

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total	Estimated	Estimated	Unrealized	Estimated Current
Description					Cost Basis	Market Price	Cost Basis	Market Price	Market Value	Gain/(Loss)/Annual	Income Yield%
CYBERONICS INC		CYBX	02/05/13	21	46.6590	46.8000	979.84	46.8000	982.80	2.96	
			02/06/13	8	46.8300	46.8000	374.64	46.8000	374.40	(0.24)	
Subtotal				29			1,354.48		1,357.20	2.72	
CYPRESS SEMICONDTR PVICTS		CY	11/27/12	80	10.0000	11.0300	800.00	11.0300	882.40	82.40	36 3.98
			11/28/12	30	9.8300	11.0300	294.90	11.0300	330.90	36.00	14 3.98
Subtotal				110			1,094.90		1,213.30	118.40	50 3.98
DANAHER CORP DEL COM		DHR	12/22/10	132	47.1000	62.1500	6,217.20	62.1500	8,203.80	1,986.60	14 .16
			01/20/11	274	46.1800	62.1500	12,653.32	62.1500	17,029.10	4,375.78	28 .16
			03/04/11	164	50.6800	62.1500	8,311.52	62.1500	10,192.60	1,881.08	17 .16
			08/31/11	65	45.5400	62.1500	2,960.10	62.1500	4,039.75	1,079.65	7 16
			09/15/11	35	46.0000	62.1500	1,810.00	62.1500	2,175.25	565.25	4 .16
Subtotal				670			31,752.14		41,640.50	9,888.36	70 .16
DANONE-SPONS ADR		DANOY	01/23/13	1,090	13.7775	14.0300	15,017.48	14.0300	15,292.70	275.22	274 1.78
DARDEN RESTAURANTS INC		DRI	01/31/13	354	46.3835	51.6800	16,419.76	51.6800	18,294.72	1,874.96	709 3.87
			02/05/13	525	47.2379	51.6800	24,799.90	51.6800	27,132.00	2,332.10	1,051 3.87
Subtotal				879			41,219.66		45,426.72	4,207.06	1,760 3.87
DBS GROUP HLDGS SPN ADR		DBSDY	03/11/13	626	49.8887	51.8600	31,217.81	51.8600	32,464.36	1,246.55	1,092 3.36
DEL FRISCOS RESTAURANT GROUP INC		DFRG	11/27/12	40	14.5900	16.6000	583.60	16.6000	664.00	80.40	
			11/28/12	30	14.3000	16.6000	429.00	16.6000	498.00	69.00	
Subtotal				70			1,012.60		1,162.00	149.40	
DELHAIZE GROUP SPONS ADR		DEG	03/08/13	592	52.9208	54.5900	31,329.17	54.5900	32,317.28	988.11	961 2.97
DELTA AIR LINES INC		DAL	01/16/13	279	13.9931	16.5100	3,904.10	16.5100	4,606.29	702.19	
			01/23/13	192	13.8995	16.5100	2,657.20	16.5100	3,169.92	512.72	
Subtotal				471			6,561.30		7,776.21	1,214.91	
DESARROLLADORA SAB ADR		HXM	02/22/12	1,310	20.9700	8.9400	27,470.70	8.9400	11,711.40	(15,759.30)	

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
DEUTSCHE BK AG REG SHS	DB	11/27/12	130	43.8019	5,694.25	39.1200	5,085.60	(608.65)	121	2.36
		11/28/12	50	42.6430	2,132.15	39.1200	1,956.00	(176.15)	47	2.36
		01/22/13	78	48.2629	3,764.51	39.1200	3,051.36	(713.15)	73	2.36
Subtotal			258		11,590.91		10,092.96	(1,497.95)	241	2.36
DEUTSCHE BOERSE AG SHS	DBOEY	11/27/12	657	5.5600	3,652.92	6.0500	3,974.85	321.93	123	3.07
		11/28/12	270	5.5600	1,501.20	6.0500	1,633.50	132.30	51	3.07
Subtotal			927		5,154.12		5,608.35	454.23	174	3.07
DEUTSCHE LUFT AG SPN ADR	DLAKY	01/20/11	394	21.5500	8,490.70	19.5400	7,698.76	(791.94)	88	1.13
		03/01/11	372	20.1100	7,480.92	19.5400	7,268.88	(212.04)	83	1.13
		03/04/11	927	20.1100	18,641.97	19.5400	18,113.58	(528.39)	205	1.13
		09/02/11	1,127	15.9400	17,964.38	19.5400	22,021.58	4,057.20	250	1.13
		04/11/12	397	13.1900	5,236.43	19.5400	7,757.38	2,520.95	88	1.13
		07/20/12	394	11.9400	4,704.36	19.5400	7,698.76	2,994.40	88	1.13
Subtotal			3,611		62,518.76		70,558.94	8,040.18	802	1.13
DEVON ENERGY CORP NEW	DVN	04/27/12	495	69.5900	34,447.05	56.4200	27,927.90	(6,519.15)	436	1.55
		05/11/12	270	64.7800	17,490.60	56.4200	15,233.40	(2,257.20)	238	1.55
		06/19/12	50	57.8100	2,890.50	56.4200	2,821.00	(69.50)	44	1.55
		11/28/12	60	52.5000	3,150.00	56.4200	3,385.20	235.20	53	1.55
Subtotal			875		57,978.15		49,367.50	(8,610.65)	771	1.55
DEXCOM INC	DXCM	08/03/12	70	11.6600	818.20	16.7200	1,170.40	354.20		
DIAGEO PLC SPSD ADR NEW	DEO	11/27/12	50	120.4400	6,022.00	125.8400	6,292.00	270.00	143	2.26
		11/28/12	20	120.0900	2,401.80	125.8400	2,516.80	115.00	57	2.26
		12/04/12	11	119.4327	1,313.76	125.8400	1,384.24	70.48	32	2.26
Subtotal			81		9,737.56		10,193.04	455.48	232	2.26
DIODES INC COM	DIOD	02/08/13	29	19.7189	571.85	20.9800	608.42	36.57		
DISNEY (WALT) CO COM STK	DIS	01/23/13	120	53.9956	6,479.48	56.8000	6,816.00	336.52	90	1.32
		01/25/13	48	54.2981	2,606.31	56.8000	2,726.40	120.09	36	1.32
Subtotal			168		9,085.79		9,542.40	456.61	126	1.32

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Yield%
DOLBY LABORATORIES INC CL A	DLB	04/27/12	73	39.6700	2,895.91	33.5600	2,449.88	(446.03)		
		07/20/12	101	35.3600	3,571.36	33.5600	3,389.56	(181.80)		
		07/30/12	6	34.9500	209.70	33.5600	201.36	(8.34)		
		08/03/12	95	31.4000	2,983.00	33.5600	3,188.20	205.20		
		01/08/13	62	29.9677	1,858.00	33.5600	2,080.72	222.72		
		01/15/13	63	29.9860	1,889.12	33.5600	2,114.28	225.16		
Subtotal			400		13,407.09		13,424.00	16.91		
DOLLAR GENERAL CORP	DG	06/06/12	32	48.8400	1,562.88	50.5800	1,618.56	55.68		
		06/06/12	180	48.1200	8,661.60	50.5800	9,104.40	442.80		
		07/31/12	58	50.9400	2,954.52	50.5800	2,933.64	(20.88)		
		10/31/12	65	48.2500	3,136.25	50.5800	3,287.70	151.45		
		02/28/13	60	48.5986	2,795.92	50.5800	3,034.80	238.88		
Subtotal			395		19,111.17		19,979.10	867.93		
DOMINOS PIZZA INC	DPZ	11/27/12	20	41.7225	834.45	51.4400	1,028.80	194.35	16	1.55
		11/28/12	10	41.1200	411.20	51.4400	514.40	103.20	8	1.55
		03/06/13	16	49.9875	799.80	51.4400	823.04	23.24	13	1.55
		03/25/13	7	50.7314	355.12	51.4400	360.08	4.96	6	1.55
Subtotal			53		2,400.57		2,726.32	325.75	43	1.55
DOW CHEMICAL CO	DOW	04/04/12	515	33.4200	17,211.30	31.8400	16,397.60	(813.70)	660	4.02
		04/05/12	470	33.8900	15,928.30	31.8400	14,964.80	(963.50)	602	4.02
		04/09/12	95	32.3000	3,068.50	31.8400	3,024.80	(43.70)	122	4.02
		07/20/12	147	30.9600	4,551.12	31.8400	4,680.48	129.36	189	4.02
		10/08/12	183	28.9900	5,305.17	31.8400	5,826.72	521.55	235	4.02
Subtotal			1,410		46,064.39		44,894.40	(1,169.99)	1,808	4.02
DR PEPPER SNAPPLE GROUP INC	DPS	08/16/11	45	37.0100	1,665.45	46.9500	2,112.75	447.30	69	3.23
		10/04/11	56	36.9700	2,070.32	46.9500	2,629.20	558.88	86	3.23
		11/02/11	25	37.2500	931.25	46.9500	1,173.75	242.50	38	3.23
		02/10/12	10	38.1900	381.90	46.9500	469.50	87.60	16	3.23
		11/28/12	10	44.2600	442.60	46.9500	469.50	26.90	16	3.23

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
DR PEPPER SNAPPLE GROUP	DPS	12/19/12	40	44.8445	1,785.78	46.9500	1,878.00	92.22	61	3.23
		12/26/12	108	44.4208	4,797.45	46.9500	5,070.60	273.15	165	3.23
		03/07/13	125	43.9552	5,494.40	46.9500	5,868.75	374.35	190	3.23
Subtotal			419		17,569.15		19,672.05	2,102.90	641	3.23
↑ DRIL QUIP	DRQ	01/17/13	14	78.6400	1,100.96	87.1700	1,220.38	119.42		
		01/23/13	3	79.9633	239.89	87.1700	261.51	21.62		
		02/04/13	3	81.8500	245.55	87.1700	261.51	15.96		
Subtotal			20		1,586.40		1,743.40	157.00		
DU PONT E I DE NEMOURS	DD	07/05/11	105	54.4800	5,720.40	49.1600	5,161.80	(558.60)	181	3.49
		08/15/11	6	47.6700	286.02	49.1600	294.96	8.94	11	3.49
		08/15/11	9	47.6700	429.03	49.1600	442.44	13.41	16	3.49
		09/26/11	26	40.6700	1,057.42	49.1600	1,278.16	220.74	45	3.49
		09/26/11	39	40.6700	1,586.13	49.1600	1,917.24	331.11	68	3.49
		03/09/12	194	51.4600	9,983.24	49.1600	9,537.04	(446.20)	334	3.49
		03/09/12	291	51.4600	14,974.86	49.1600	14,305.56	(669.30)	501	3.49
Subtotal			670		34,037.10		32,937.20	(1,099.90)	1,156	3.49
DUN & BRADSTREET COR-NEW	DNB	05/01/12	40	78.8500	3,154.00	83.6500	3,346.00	192.00	64	1.91
		05/10/12	78	64.5800	5,037.24	83.6500	6,524.70	1,487.46	125	1.91
Subtotal			118		8,191.24		9,870.70	1,679.46	189	1.91
DUPONT FABROS TECHNOLOGY	DFT	11/27/12	60	23.0900	1,385.40	24.2700	1,456.20	70.80	48	3.29
		11/28/12	20	22.4900	449.80	24.2700	485.40	35.60	16	3.29
		12/11/12	6	24.4633	146.78	24.2700	145.62	(1.16)	5	3.29
		12/12/12	9	24.4922	220.43	24.2700	218.43	(2.00)	8	3.29
Subtotal			95		2,202.41		2,305.65	103.24	77	3.29
E M C CORPORATION MASS	EMC	01/20/11	61	23.8200	1,453.02	23.8900	1,457.29	4.27		
		02/03/11	64	22.2100	1,421.44	23.8900	1,528.96	107.52		
		03/04/11	370	27.2400	10,078.80	23.8900	8,839.30	(1,239.50)		
Subtotal			495		12,953.26		11,825.55	(1,127.71)		

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
EAGLE MATERIALS INC	EXP	11/27/12	10	55.2900	552.90	66.6300	666.30	113.40	4 .60
		11/28/12	10	54.0000	540.00	66.6300	666.30	126.30	4 .60
		12/05/12	8	54.7987	438.39	66.6300	533.04	94.65	4 .60
Subtotal			28	1,531.29			1,865.64	334.35	12 .60
EATON CORP PLC	ETN	12/04/12	751	51.9055	38,981.10	61.2500	45,998.75	7,017.65	1,262 2.74
		12/20/12	62	54.3698	3,370.93	61.2500	3,797.50	426.57	105 2.74
Subtotal			813	42,352.03			49,796.25	7,444.22	1,367 2.74
EATON VANCE CORP NVT	EV	06/19/12	151	26.0200	3,929.02	41.8300	6,316.33	2,387.31	121 1.91
EBAY INC COM	EBAY	04/19/12	147	40.9900	6,025.53	54.2200	7,970.34	1,944.81	
		04/20/12	74	40.7400	3,014.76	54.2200	4,012.28	997.52	
		07/20/12	37	45.1500	1,670.55	54.2200	2,006.14	335.59	
		10/15/12	133	47.4000	6,304.20	54.2200	7,211.26	907.06	
Subtotal			391	17,015.04			21,200.02	4,184.98	
ECHO GLOBAL LOGISTIC INC	ECHO	01/10/13	9	19.0655	171.59	22.1200	199.08	27.49	
		01/10/13	32	19.0684	610.19	22.1200	707.84	97.65	
		01/10/13	15	18.9980	284.97	22.1200	331.80	46.83	
Subtotal			56	1,066.75			1,238.72	171.97	
ECOLAB INC	ECL	01/20/11	6	50.9900	305.94	80.1800	481.08	175.14	6 1.14
		01/23/11	70	48.2200	3,375.40	80.1800	5,612.60	2,237.20	65 1.14
		09/22/11	114	48.9900	5,584.86	80.1800	9,140.52	3,555.66	105 1.14
		07/20/12	57	67.2200	3,831.54	80.1800	4,570.26	738.72	53 1.14
Subtotal			247	13,097.74			19,804.46	6,706.72	229 1.14
EL PASO ELECTRIC CO NEW	EE	11/27/12	60	30.9800	1,858.80	33.6500	2,019.00	160.20	60 2.97
		11/28/12	10	30.9400	309.40	33.6500	336.50	27.10	10 2.97
Subtotal			70	2,168.20			2,355.50	187.30	70 2.97
ENBRIDGE INC COM	ENB	03/19/13	55	45.4614	2,500.38	46.5400	2,559.70	59.32	68 2.63
		03/20/13	11	45.8000	503.80	46.5400	511.94	8.14	14 2.63
Subtotal			66	3,004.18			3,071.64	67.46	82 2.63

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RICHARD L KAY TEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
ENDO HEALTH SOLU INC	ENDP	03/01/11	37	36.0000	1,332.00	30.7600	1,138.12	(193.88)		
		03/04/11	65	35.9800	2,338.70	30.7600	1,999.40	(339.30)		
		04/29/11	93	39.1300	3,639.09	30.7600	2,860.68	(778.41)		
		04/11/12	95	35.2000	3,344.00	30.7600	2,922.20	(421.80)		
		07/20/12	44	31.0800	1,367.52	30.7600	1,353.44	(14.08)		
		10/08/12	16	30.9900	495.84	30.7600	492.16	(3.68)		
		11/28/12	20	28.1400	562.80	30.7600	615.20	52.40		
		12/18/12	69	26.1200	1,802.28	30.7600	2,122.44	320.16		
		12/26/12	55	26.1307	1,437.19	30.7600	1,691.80	254.61		
Subtotal			494		16,319.42		15,195.44	(1,123.98)		
ENDOLOGIX INC	ELGX	07/24/12	57	13.5900	774.63	16.1500	920.55	145.92		
ENDURANCE SPECIALTY HLDG	ENH	11/27/12	180	39.6275	7,132.95	47.8100	8,605.80	1,472.85	231	2.67
		11/28/12	40	39.5400	1,581.60	47.8100	1,912.40	330.80	52	2.67
Subtotal			220		8,714.55		10,518.20	1,803.65	283	2.67
ENERGIZER HLDGS INC COM	ENR	11/27/12	20	78.6400	1,572.80	99.7300	1,994.60	421.80	32	1.60
ENERGY XXI LTD NEW COM SHARES	EXXI	11/27/12	40	30.9400	1,237.60	27.2200	1,088.80	(148.80)	12	1.02
		11/28/12	10	30.4000	304.00	27.2200	272.20	(31.80)	3	1.02
		12/05/12	47	33.4636	1,572.79	27.2200	1,279.34	(293.45)	14	1.02
		12/19/12	56	32.7719	1,835.23	27.2200	1,524.32	(310.91)	16	1.02
		01/23/13	50	33.5300	1,676.50	27.2200	1,361.00	(315.50)	14	1.02
Subtotal			203		6,626.12		5,525.66	(1,100.46)	59	1.02
ENI S P A SPONSORED ADR	E	12/04/12	17	47.8294	813.10	44.8900	763.13	(49.97)	38	4.96
		12/05/12	140	47.0872	6,592.22	44.8900	6,284.60	(307.62)	312	4.96
Subtotal			157		7,405.32		7,047.73	(357.59)	350	4.96
ENSCO PLC SHS CL A	ESV	11/25/11	430	48.1100	20,687.30	60.0000	25,800.00	5,112.70	699	2.70
		04/11/12	63	52.0000	3,276.00	60.0000	3,780.00	504.00	103	2.70
Subtotal			493		23,963.30		29,580.00	5,616.70	802	2.70

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
ENSIGN ENERGY SVCS INC	ESVIF	11/27/12	90	14.3400	1,290.60	17.0480	1,534.32	243.72	39	2.48
		11/28/12	20	14.5500	291.00	17.0480	340.96	49.96	9	2.48
Subtotal			110		1,581.60		1,875.28	293.68	48	2.48
ENSTAR GROUP LTD	ESGR	01/02/13	11	120.2781	1,323.06	124.2900	1,367.19	44.13		
EPAM SYSTEMS INC SHS	EPAM	02/04/13	38	21.7423	826.21	23.2300	882.74	56.53		
EQUINIX INC	EQIX	11/27/12	400	183.3370	73,334.80	216.3100	86,524.00	13,189.20		
		11/28/12	110	181.8149	19,999.64	216.3100	23,794.10	3,794.46		
		02/28/13	37	212.2448	7,853.06	216.3100	8,003.47	150.41		
		03/06/13	18	217.6516	3,917.73	216.3100	3,893.58	(24.15)		
Subtotal			565		106,105.23		122,215.15	17,109.92		
ERICSSON AMERICAN	ERIC	11/27/12	1,700	8.9799	15,265.83	12.6000	21,420.00	6,154.17	929	4.33
SEK 10 NEW		11/28/12	510	8.9799	4,579.75	12.6000	6,426.00	1,846.25	279	4.33
		01/22/13	428	10.3525	4,430.91	12.6000	5,392.80	961.89	234	4.33
Subtotal			2,638		24,276.49		33,238.80	8,962.31	1,442	4.33
ESTADIO PARTICIPACO-SPON	ECPCY	12/13/12	238	19.0110	4,524.62	22.5200	5,359.76	835.14	20	.35
		01/11/13	63	21.3706	1,346.35	22.5200	1,418.76	72.41	6	.35
Subtotal			301		5,870.97		6,778.52	907.55	26	.35
EVEREST RE GROUP LTD	RE	04/25/12	23	95.1500	2,188.45	129.8600	2,986.78	798.33	45	1.47
		04/27/12	32	99.6800	3,189.76	129.8600	4,155.52	965.76	62	1.47
		04/30/12	32	99.2800	3,176.32	129.8600	4,155.52	979.20	62	1.47
		08/02/12	23	101.4000	2,332.20	129.8600	2,986.78	654.58	45	1.47
		11/28/12	20	106.3900	2,127.80	129.8600	2,597.20	469.40	39	1.47
Subtotal			130		13,014.53		16,881.80	3,867.27	253	1.47
EXAR CORP DELAWARE COM	EXAR	02/08/13	52	10.8280	563.06	10.5000	548.00	(17.06)		
EXPERIAN PLC SP ADR	EXPGY	11/27/12	204	16.5900	3,384.36	17.3300	3,535.32	150.96	60	1.68
		11/28/12	150	16.2900	2,443.50	17.3300	2,599.50	156.00	44	1.68
Subtotal			354		5,827.86		6,134.82	306.96	104	1.68

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
EXPRESS SCRIPTS HLDG CO	ESRX	03/04/11	79	56.1300	4,434.27	57.6200	4,551.98	117.71		
		11/09/11	125	46.4900	5,811.25	57.6200	7,202.50	1,391.25		
		05/11/12	50	56.2200	2,811.00	57.6200	2,881.00	70.00		
		05/17/12	43	52.4300	2,254.49	57.6200	2,477.66	223.17		
		03/19/13	713	59.2504	42,245.54	57.6200	41,083.06	(1,162.48)		
Subtotal			1,010		57,556.55		58,196.20	639.65		
EZCHIP SEMICONDUCTOR LTD	EZCH	11/27/12	60	37.7300	2,263.80	24.1300	1,447.80	(816.00)		
		11/28/12	20	37.6645	753.29	24.1300	482.60	(270.69)		
Subtotal			80		3,017.09		1,930.40	(1,086.69)		
E2OPEN INC	EOPN	01/18/13	39	18.6189	726.14	19.9400	777.66	51.52		
		01/23/13	20	17.7600	355.20	19.9400	398.80	43.60		
Subtotal			59		1,081.34		1,176.46	95.12		
FACEBOOK INC	FB	10/25/12	265	22.7500	6,028.75	25.5800	6,778.70	749.95		
		11/27/12	270	26.3738	7,120.95	25.5800	6,906.60	(214.35)		
		11/28/12	140	26.2400	3,673.60	25.5800	3,581.20	(92.40)		
		12/17/12	104	26.5633	2,762.59	25.5800	2,660.32	(102.27)		
Subtotal			779		19,585.89		19,926.82	340.93		
FAIRCHILD SEMICON INTL	FCS	02/08/13	18	15.2994	275.39	14.1400	254.52	(20.87)		
		02/08/13	18	15.1800	273.24	14.1400	254.52	(18.72)		
Subtotal			36		548.63		509.04	(39.59)		
FANUC LTD-UNSP	FANUY	03/05/12	99	30.0300	2,972.97	25.7100	2,545.29	(427.68)		34 1.32
		04/02/12	56	30.5491	1,710.75	25.7100	1,439.76	(270.99)		20 1.32
		04/11/12	334	29.6400	9,899.76	25.7100	8,587.14	(1,312.62)		114 1.32
Subtotal			489		14,583.48		12,572.19	(2,011.29)		168 1.32
FASTENAL COMPANY	FAST	03/01/11	89	30.2200	2,689.58	51.3300	4,568.37	1,878.79		36 .77
		03/04/11	176	30.8300	5,426.08	51.3300	9,034.08	3,608.00		71 .77
		11/27/12	1,650	41.2052	67,988.58	51.3300	84,694.50	16,705.92		661 .77
		11/28/12	590	40.5848	23,945.09	51.3300	30,284.70	6,339.61		237 .77
Subtotal			2,505		100,049.33		128,581.65	28,532.32		1,005 .77

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual	Estimated Current Income Yield%
FEDEX CORP DELAWARE COM	FDX	02/05/13	38	104.7455	3,980.33	98.2000	3,731.60	(248.73)	22 .57
		02/06/13	24	105.7416	2,537.80	98.2000	2,356.80	(181.00)	14 .57
		02/06/13	12	105.0916	1,261.10	98.2000	1,178.40	(82.70)	7 .57
		02/07/13	23	106.4404	2,448.13	98.2000	2,258.60	(189.53)	13 .57
		03/05/13	39	108.3351	4,225.07	98.2000	3,829.80	(395.27)	22 .57
Subtotal			136		14,452.43		13,355.20	(1,097.23)	78 .57
FIESTA RESTAURANT GROUP INC SHS	FRGI	03/06/13	9	23.8800	214.92	26.5700	239.13	24.21	
		03/06/13	8	24.1850	193.48	26.5700	212.56	19.08	
		03/11/13	7	23.9914	167.94	26.5700	185.99	18.05	
Subtotal			24		576.34		637.68	61.34	
FIFTH & PACIFIC CO INC COMPANIES INC SHS	FNP	11/28/12	6	12.0900	72.54	18.8800	113.28	40.74	
		12/13/12	68	12.3839	842.11	18.8800	1,283.84	441.73	
Subtotal			74		914.65		1,397.12	482.47	
FIFTH THIRD BANCORP	FTB	09/05/12	1,965	15.0600	29,592.90	16.3100	32,049.15	2,456.25	885 2.69
FINISH LINE INC CL A	FINL	11/27/12	100	20.4500	2,045.00	19.5900	1,959.00	(86.00)	28 1.42
		11/28/12	30	20.2500	607.50	19.5900	587.70	(19.80)	9 1.42
Subtotal			130		2,652.50		2,546.70	(105.80)	37 1.42
FINL ENGINES INC	FNGN	11/27/12	17	26.1600	444.72	36.2200	615.74	171.02	4 .55
		11/28/12	20	25.2600	505.20	36.2200	724.40	219.20	4 .55
Subtotal			37		949.92		1,340.14	390.22	8 .55
FINNING INTL INC NEW	FINGF	11/27/12	20	23.1300	462.60	24.9126	498.25	35.65	11 2.15
		11/28/12	10	22.6600	226.60	24.9126	249.13	22.53	6 2.15
Subtotal			30		689.20		747.38	58.18	17 2.15
FIRST INDL REALTY TR INC REIT	FR	11/27/12	100	13.2673	1,326.73	17.1300	1,713.00	386.27	34 1.98
		11/28/12	40	13.2600	530.40	17.1300	685.20	154.80	14 1.98
Subtotal			140		1,857.13		2,398.20	541.07	48 1.98

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
FIRST REP BK SAN FRANCISCO CALIF NEW COM SHARES	FRC	11/08/11	58	28.5900	1,658.22	38.6200	2,239.96	581.74	24	1.03
		12/01/11	103	28.2200	2,906.66	38.6200	3,977.86	1,071.20	42	1.03
		02/23/12	105	32.2200	3,383.10	38.6200	4,055.10	672.00	42	1.03
		03/13/12	15	30.9200	463.80	38.6200	579.30	115.50	6	1.03
		03/21/12	95	31.9100	3,031.45	38.6200	3,668.90	637.45	38	1.03
		04/11/12	67	32.3700	2,168.79	38.6200	2,587.54	418.75	27	1.03
Subtotal			443		13,612.02		17,108.66	3,496.64	179	1.03
FIRSTMERIT CORP	FMER	12/21/12	163	14.2195	2,317.79	16.5400	2,696.02	378.23	105	3.86
FLEETMATIC GROUP PLC ORDINARY SHARES	FLTX	01/30/13	31	26.1035	809.21	24.2500	751.75	(57.46)		
Subtotal		02/01/13	13	25.1107	326.44	24.2500	315.25	(11.19)		
			44		1,135.65		1,067.00	(68.65)		
FLEXTRONICS INTL LTD	FLEX	12/22/10	2,459	7.7800	19,131.02	6.7600	16,622.84	(2,508.18)		
		01/20/11	1,611	8.1800	13,177.98	6.7600	10,890.36	(2,287.62)		
		03/04/11	1,711	8.0200	13,722.22	6.7600	11,566.36	(2,155.86)		
		08/15/11	887	5.6500	5,011.55	6.7600	5,996.12	984.57		
		07/19/12	332	6.3000	2,091.60	6.7600	2,244.32	152.72		
Subtotal		11/28/12	280	5.6605	1,584.94	6.7600	1,892.80	307.86		
			7,280		54,719.31		49,212.80	(5,506.51)		
FLUOR CORP NEW DEL COM	FLR	12/22/10	63	66.0400	4,160.52	66.3300	4,178.79	18.27	41	.96
		01/20/11	116	70.1300	8,135.08	66.3300	7,694.28	(440.80)	75	.96
		03/04/11	102	70.8200	7,223.64	66.3300	6,765.66	(457.98)	66	.96
Subtotal			281		19,519.24		18,638.73	(880.51)	182	.96
FMC TECHS INC COM	FTI	03/24/11	2	51.1150	102.23	54.3900	108.78	6.55		
		05/04/11	47	44.0600	2,070.82	54.3900	2,556.33	485.51		
		05/04/11	92	44.0600	4,053.52	54.3900	5,003.88	950.36		
		05/24/11	8	47.4800	379.84	54.3900	435.12	55.28		
		08/16/11	7	41.1100	287.77	54.3900	380.73	92.96		
		08/16/11	13	41.1100	534.43	54.3900	707.07	172.64		

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
FMC TECHS INC COM	FTI	01/06/12	17	51.6400	877.88	54.3900	924.63	46.75		
		01/06/12	29	51.6400	1,497.56	54.3900	1,577.31	79.75		
		01/23/13	60	46.6600	2,799.60	54.3900	3,263.40	463.80		
Subtotal			275		12,603.65		14,957.25	2,353.60		
FORD MOTOR CO	F	10/31/12	1,461	10.8500	15,851.85	13.1500	19,212.15	3,360.30	585	3.04
		11/01/12	1,355	11.2400	15,230.20	13.1500	17,818.25	2,588.05	542	3.04
		03/12/13	224	13.3871	2,998.73	13.1500	2,945.60	(53.13)	90	3.04
		03/13/13	950	13.4035	12,733.33	13.1500	12,492.50	(240.83)	380	3.04
		03/19/13	1,287	13.1625	16,940.14	13.1500	16,924.05	(16.09)	515	3.04
Subtotal			5,277		63,754.25		69,392.55	5,638.30	2,112	3.04
FOREST LABS INC	FRX	04/15/11	62	33.7500	2,092.50	38.0400	2,358.48	265.98		
		08/15/11	40	34.4800	1,379.20	38.0400	1,521.60	142.40		
		10/26/11	60	30.8600	1,851.60	38.0400	2,282.40	430.80		
		07/30/12	86	33.8100	2,907.66	38.0400	3,271.44	363.78		
		11/28/12	10	33.5600	335.60	38.0400	380.40	44.80		
Subtotal			258		8,566.56		9,814.32	1,247.76		
FORTINET INC	FTNT	11/27/12	76	19.4998	1,481.99	23.6800	1,799.68	317.69		
		11/28/12	50	19.2600	963.00	23.6800	1,184.00	221.00		
		12/11/12	25	20.2504	506.26	23.6800	592.00	85.74		
		12/12/12	35	20.5422	718.98	23.6800	828.80	109.82		
Subtotal			186		3,670.23		4,404.48	734.25		
FORWARD AIR CORP	FWRD	11/27/12	190	32.5298	6,180.68	37.2900	7,085.10	904.42	76	1.07
		11/28/12	60	32.6600	1,959.60	37.2900	2,237.40	277.80	24	1.07
Subtotal			250		8,140.28		9,322.50	1,182.22	100	1.07
FRANKLIN RES INC	BEN	12/22/10	18	110.7000	1,992.60	150.8100	2,714.58	721.98	21	.76
		01/20/11	72	118.1200	8,504.64	150.8100	10,858.32	2,353.68	84	.76
		03/04/11	48	125.0900	5,754.14	150.8100	6,937.26	1,183.12	54	.76
		09/13/11	24	112.5000	2,700.00	150.8100	3,619.44	919.44	28	.76
Subtotal			160		18,951.38		24,129.60	5,178.22	187	.76

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RICHARD L KAY TEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income	Yield%
↑ FREEPRT-MCMRAN CPR & GLD	FCX	03/04/11 06/06/11 06/10/11 03/05/12 04/11/12	157 241 140 131 125 794	51.5000 49.8700 49.1900 40.4500 35.9700	8,085.50 12,018.67 6,886.80 5,298.95 4,496.25 36,785.97	33.1000 33.1000 33.1000 33.1000 33.1000	5,196.70 7,977.10 4,634.00 4,336.10 4,137.50 26,281.40	(2,888.80) (4,041.57) (2,252.60) (962.85) (358.75) (10,504.57)	197 302 175 164 157 995	3.77 3.77 3.77 3.77 3.77 3.77
Subtotal										
FRESENIUS MEDICAL CARE AG & CO SPON ADR	FMS	04/11/12	72	34.0400	2,450.88	33.8600	2,437.92	(12.96)	23	.92
FRESH MKT INC COMMON STOCK	TFM	11/27/12 11/28/12 03/06/13	40 30 31 101	60.6800 51.4000 38.6845	2,427.20 1,542.00 1,199.22 5,168.42	42.7700 42.7700 42.7700	1,710.80 1,283.10 1,325.87 4,319.77	(716.40) (258.90) 126.65 (848.65)		
Subtotal										
FUEL SYSTEMS SOLUTIONS INC	FSYS	11/27/12 11/27/12 11/27/12	140 21 29 190	15.1675 15.4128 15.3137	2,123.45 323.67 444.10 2,891.22	16.4700 16.4700 16.4700	2,305.80 345.87 477.63 3,129.30	182.35 22.20 33.53 238.08		
Subtotal										
FUJIFILM HLDGS CORP ADR	FUJIV	11/27/12 11/28/12 01/23/13	480 160 322 962	17.8400 17.5500 20.5664	8,563.20 2,808.00 6,622.41 17,993.61	19.6400 19.6400 19.6400	9,427.20 3,142.40 6,324.08 18,893.68	864.00 334.40 (298.33) 900.07	175 59 117 351	1.84 1.84 1.84 1.84
Subtotal										
FULTON FINL CORP PA	FULT	11/27/12 11/28/12	173 60 233	9.6856 9.5800	1,675.61 574.80 2,250.41	11.7000 11.7000	2,024.10 702.00 2,726.10	348.49 127.20 475.69	56 20 76	2.73 2.73 2.73
Subtotal										
FUSION-HO INC COM	FIO	12/19/11 03/09/12 07/24/12	50 55 45 150	21.8900 31.3700 18.2700	1,094.50 1,725.35 822.15 3,642.00	16.3700 16.3700 16.3700	818.50 900.35 736.65 2,455.50	(276.00) (825.00) (85.50) (1,186.50)		
Subtotal										

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
GAMESTOP CORP NEW CLA	GME	05/23/12	161	19.0400	3,065.44	27.9700	4,503.17	1,437.73	178 3.93
		07/10/12	66	17.5600	1,141.40	27.9700	1,818.05	676.65	72 3.93
		07/20/12	66	16.3500	1,079.10	27.9700	1,846.02	766.92	73 3.93
Subtotal			292		5,285.94		8,167.24	2,881.30	323 3.93
GATX CORPORATION	GMT	03/11/13	7	51.0014	357.01	51.9700	363.79	6.78	9 2.38
		03/14/13	9	51.0133	459.12	51.9700	467.73	8.61	12 2.38
		03/20/13	5	51.4220	257.11	51.9700	259.85	2.74	7 2.38
Subtotal			21		1,073.24		1,091.37	18.13	28 2.38
GENCORP INC	GY	01/25/13	21	11.2190	235.80	13.3000	279.30	43.70	
		01/30/13	54	10.8257	584.59	13.3000	718.20	133.61	
		02/14/13	20	11.8420	236.84	13.3000	266.00	29.16	
Subtotal			95		1,057.03		1,263.50	206.47	
† GENERAC HLDGS INC	GNRC	11/27/12	20	33.9800	679.60	35.3400	706.80	27.20	
		11/28/12	10	34.0500	340.50	35.3400	353.40	12.90	
		12/17/12	3	32.6300	97.89	35.3400	106.02	8.13	
		12/20/12	5	34.3320	171.66	35.3400	176.70	5.04	
		01/07/13	6	34.0800	204.48	35.3400	212.04	7.56	
Subtotal			44		1,494.13		1,554.96	60.83	
GENERAL ELECTRIC	GE	11/29/11	286	14.9700	4,281.42	23.1200	6,612.32	2,330.90	218 3.28
		11/29/11	844	14.9700	12,634.68	23.1200	19,513.28	6,878.60	642 3.28
		04/28/12	55	19.4700	1,070.85	23.1200	1,271.60	200.75	42 3.28
		04/26/12	110	19.4700	2,141.70	23.1200	2,543.20	401.50	84 3.28
		04/26/12	155	19.4700	3,017.85	23.1200	3,583.60	565.75	118 3.28
Subtotal			1,450		23,146.50		33,524.00	10,377.50	1,104 3.28
GENESCO INC	GCO	11/27/12	90	60.0100	5,400.90	60.0900	5,408.10	7.20	
		11/28/12	30	60.3300	1,809.90	60.0900	1,802.70	(7.20)	
Subtotal			120		7,210.80		7,210.80		
GENESEE & WYOM CLA	GWR	01/24/13	9	85.3933	768.54	93.1100	837.99	69.45	

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

Equities (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
GENMARK DIAGNOSTICS INC	GNMK	01/17/13	51	11.3498	578.84	12.9200	658.92	80.08	
		02/08/13	24	10.7041	256.90	12.9200	310.08	53.18	
Subtotal			75		835.74		969.00	133.26	
GEO GROUP INC	GEO	11/27/12	80	28.1500	2,252.00	37.6200	3,009.60	757.60	160 5.31
		11/28/12	30	27.8200	834.60	37.6200	1,128.60	294.00	60 5.31
		12/31/12	18	28.3200	509.76	37.6200	677.16	167.40	36 5.31
Subtotal			128		3,596.36		4,815.36	1,219.00	256 5.31
GEOSPACE TECHNOLOGIES CORP SHS	GEOS	06/22/12	50	42.5000	2,125.00	107.9200	5,396.00	3,271.00	
		02/21/13	6	106.1166	636.70	107.9200	647.52	10.82	
		02/22/13	2	100.9150	201.83	107.9200	215.84	14.01	
		03/06/13	3	106.3666	319.10	107.9200	323.76	4.66	
		03/22/13	3	108.9800	326.94	107.9200	323.76	(3.18)	
Subtotal			64		3,609.57		6,906.88	3,297.31	
GETINGE AB SHS	GNGBY	11/27/12	145	32.4900	4,711.05	30.6690	4,447.01	(264.04)	
		11/28/12	60	32.5000	1,950.00	30.6690	1,840.14	(109.86)	
Subtotal			205		6,661.05		6,287.15	(373.90)	
GILEAD SCIENCES INC COM	GILD	10/02/12	108	34.4950	3,725.46	48.9400	5,285.52	1,560.06	
		10/03/12	34	34.9100	1,186.94	48.9400	1,663.96	477.02	
		10/03/12	90	35.0000	3,150.00	48.9400	4,404.60	1,254.60	
		10/10/12	92	34.1650	3,143.18	48.9400	4,502.48	1,359.30	
		10/15/12	104	33.8850	3,524.04	48.9400	5,089.76	1,565.72	
		03/05/13	104	45.1706	4,697.75	48.9400	5,089.76	392.01	
Subtotal			532		19,427.37		26,036.08	6,608.71	
GNAUDAN SA UNSP ADR	GVDNY	11/27/12	311	20.1000	6,251.10	24.7000	7,681.70	1,430.60	221 2.86
		11/28/12	140	19.9700	2,795.80	24.7000	3,458.00	662.20	100 2.86
Subtotal			451		9,046.90		11,139.70	2,092.80	321 2.86

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual	Estimated Current Income	Yield%
GLAXOSMITHKLINE PLC ADR	GSK	12/10/12	72	44.0061	3,168.44	46.9100	3,377.52	209.08	169	4.99
		12/11/12	58	44.3544	2,483.85	46.9100	2,626.96	143.11	132	4.99
		12/11/12	12	44.1741	530.09	46.9100	562.92	32.83	29	4.99
		12/12/12	55	44.4465	2,444.56	46.9100	2,580.05	135.49	129	4.99
		12/14/12	83	44.1453	3,664.06	46.9100	3,893.53	229.47	195	4.99
Subtotal			278		12,291.00		13,040.98	749.98	654	4.99
GLIMCHER REALTY TR SBI REIT	GRT	11/27/12	170	10.6300	1,807.10	11.6000	1,972.00	164.90	68	3.44
		11/28/12	50	10.5300	526.50	11.6000	580.00	53.50	20	3.44
Subtotal			220		2,333.60		2,552.00	218.40	88	3.44
GLOBAL PMTS INC GEORGIA	GPN	07/26/11	65	48.6900	3,164.85	49.6600	3,227.90	63.05	6	16
		01/12/12	63	48.1700	3,034.71	49.6600	3,128.58	93.87	6	16
		09/06/12	92	42.0800	3,871.36	49.6600	4,568.72	697.36	8	16
		10/05/12	65	44.1300	2,868.45	49.6600	3,227.90	359.45	6	16
Subtotal			285		12,939.37		14,153.10	1,213.73	26	16
GLOBUS MED INC CL A COMMON STOCK	GMED	03/11/13	60	14.8753	892.52	14.6800	880.80	(11.72)		
		03/22/13	16	14.3050	228.88	14.6800	234.88	6.00		
Subtotal			76		1,121.40		1,115.68	(5.72)		
GOLD FIELDS SP ADR NEW	GFI	11/27/12	800	11.9555	9,564.40	7.7500	6,200.00	(3,364.40)	209	3.36
		11/28/12	250	11.6600	2,915.00	7.7500	1,937.50	(977.50)	66	3.36
		01/22/13	558	12.4232	6,907.35	7.7500	4,309.00	(2,598.35)	146	3.36
Subtotal			1,606		19,386.75		12,446.50	(6,940.25)	421	3.36
GOOGLE INC CL A	GOOG	01/20/11	8	594.0200	4,752.16	794.1880	6,353.50	1,601.34		
		01/20/11	4	580.8800	2,323.52	794.1880	3,176.75	853.23		
		03/04/11	1	602.0600	602.06	794.1880	794.19	192.13		
		03/04/11	9	602.0600	5,418.54	794.1880	7,147.69	1,729.15		
		05/16/11	11	525.4500	5,779.95	794.1880	8,736.07	2,956.12		
		08/05/11	7	580.8700	4,068.09	794.1880	5,559.32	1,493.23		
		09/21/11	4	546.2100	2,184.84	794.1880	3,176.75	991.91		
		05/16/12	7	627.8700	4,395.09	794.1880	5,559.32	1,164.23		
		10/26/12	23	677.5800	15,584.34	794.1880	18,266.32	2,681.98		

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual	Estimated Current Income Yield%
GOOGLE INC CL A	GOOG	10/28/12	28	677.5800	18,972.24	794.1880	22,237.26	3,265.02	
		10/31/12	22	678.1800	14,919.96	794.1880	17,472.14	2,552.18	
		11/27/12	130	673.7506	87,587.58	794.1880	103,244.44	15,656.86	
		11/28/12	60	677.6700	40,660.20	794.1880	47,651.28	6,991.08	
		12/17/12	2	715.0150	1,430.03	794.1880	1,588.38	158.35	
Subtotal			316		208,676.60		250,963.41	42,286.81	
GOVT PPTYS INCOME TR	GOV	11/27/12	60	23.1000	1,386.00	25.7300	1,543.80	157.80	104 6.68
		11/28/12	10	22.8500	228.50	25.7300	257.30	28.80	18 6.68
Subtotal			70		1,614.50		1,801.10	186.60	122 6.68
GRAHAM CORP DEL	GHM	12/17/12	12	20.5100	246.12	24.7400	296.88	50.76	2 .48
		12/18/12	5	20.7100	103.55	24.7400	123.70	20.15	1 .48
		01/23/13	10	22.5300	225.30	24.7400	247.40	22.10	2 .48
Subtotal			27		574.97		667.98	93.01	5 .48
GRUPO FIN BANORTE-SPON ADR	GBOY	03/20/13	97	38.8311	3,766.62	40.3200	3,911.04	144.42	25 .62
GRUPO TELEvisa SA ADR	TV	01/20/11	284	24.7700	7,034.68	26.6100	7,557.24	522.56	32 .41
		02/28/11	118	23.5500	2,778.90	26.6100	3,139.98	361.08	14 .41
		03/04/11	428	23.6800	10,135.04	26.6100	11,389.08	1,254.04	48 .41
Subtotal			830		19,948.62		22,086.30	2,137.68	94 .41
GULFMARK OFSHRE INC CLA	GLF	12/12/12	23	33.2543	764.85	38.9600	896.08	131.23	23 2.56
GULFPORT ENERGY NEW\$0.01	GPOR	10/03/12	100	29.7200	2,972.00	45.8300	4,583.00	1,611.00	
H AND E EQUIP SVCS INC	HEES	01/24/13	42	18.8528	791.82	20.4000	856.80	64.98	
HACHIJUNI BANK LTD ADR	HACBY	11/27/12	100	51.5900	5,159.00	59.4600	5,946.00	787.00	76 1.27
		11/28/12	30	50.9400	1,528.20	59.4600	1,783.80	255.60	23 1.27
		01/24/13	31	50.7300	1,572.63	59.4600	1,843.26	270.63	24 1.27
		01/28/13	34	50.0300	1,701.02	59.4600	2,021.64	320.62	26 1.27
Subtotal			195		9,960.85		11,594.70	1,633.85	149 1.27

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual	Estimated Current Income	Yield%
HALCON RESOURCES CORP	HK	11/27/12	80	6.0900	487.20	7.7900	623.20	136.00		
		11/28/12	20	5.9100	118.20	7.7900	155.80	37.60		
		12/19/12	28	7.1785	201.00	7.7900	218.12	17.12		
		01/02/13	52	7.2334	376.14	7.7900	405.08	28.94		
Subtotal			180		1,182.54		1,402.20	219.66		
HALLIBURTON COMPANY	HAL	03/13/11	43	48.6020	2,089.89	40.4100	1,737.63	(352.26)	22	1.23
		03/13/11	31	48.6270	1,507.44	40.4100	1,252.71	(254.73)	16	1.23
		08/18/11	43	45.8000	1,969.40	40.4100	1,737.63	(231.77)	22	1.23
		08/19/11	25	41.2700	1,031.75	40.4100	1,010.25	(21.50)	13	1.23
		10/17/11	85	34.9800	2,973.30	40.4100	3,434.85	461.55	43	1.23
		03/23/12	95	33.5200	3,184.40	40.4100	3,838.95	654.55	48	1.23
		04/11/12	220	32.2500	7,095.00	40.4100	8,890.20	1,795.20	110	1.23
		05/24/12	470	31.3400	14,729.80	40.4100	18,992.70	4,262.90	235	1.23
Subtotal			1,012		34,580.98		40,894.92	6,313.94	509	1.23
HANCOCK HOLDING CO	HBHC	11/27/12	130	31.2920	4,067.96	30.9200	4,019.60	(48.36)	125	3.10
		11/28/12	30	31.0900	932.70	30.9200	927.60	(5.10)	29	3.10
Subtotal			160		5,000.66		4,947.20	(53.46)	154	3.10
HANNOVER RUECKVERS ADR	HVRRY	03/01/11	183	28.7800	5,266.74	39.2700	7,186.41	1,919.67	180	2.49
		03/04/11	621	28.6600	17,797.86	39.2700	24,386.67	6,588.81	609	2.49
		07/20/12	432	29.3500	12,679.20	39.2700	16,984.64	4,285.44	424	2.49
Subtotal			1,236		35,743.80		48,537.72	12,793.92	1,213	2.49
HARMAN INTL INDS INC NEW	HAR	01/09/13	4	49.1575	196.63	44.6300	178.52	(18.11)	3	1.34
		01/09/13	7	49.1971	344.38	44.6300	312.41	(31.97)	5	1.34
		01/31/13	8	44.7225	357.78	44.6300	357.04	(0.74)	5	1.34
Subtotal			19		898.79		847.97	(50.82)	13	1.34
HARMONIC INC \$0.001	HLIT	11/27/12	720	4.3443	3,127.90	5.7900	4,168.80	1,040.90		
		11/28/12	200	4.3650	873.00	5.7900	1,158.00	285.00		
Subtotal			920		4,000.90		5,326.80	1,325.90		

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
HARRIS CORP DEL	HRS	03/01/11	436	46.0300	20,069.08	46.3400	20,204.24	135.16	646	3.19
Subtotal		03/04/11	179	45.9400	8,223.26	46.3400	8,294.86	71.60	265	3.19
			615		28,292.34		28,499.10	206.76	911	3.19
HATTERAS FINL CORP	HTS	11/27/12	100	26.3622	2,636.22	27.4300	2,743.00	106.78	280	10.20
Subtotal		11/28/12	40	26.4000	1,058.00	27.4300	1,097.20	41.20	112	10.20
			140		3,692.22		3,840.20	147.98	392	10.20
HCA HOLDINGS INC SHS	HCA	11/07/12	83	33.2700	2,761.41	40.6300	3,372.29	610.88		
Subtotal		11/07/12	10	32.7390	327.39	40.6300	406.30	78.91		
		11/09/12	102	31.8900	3,252.78	40.6300	4,144.26	891.48		
		11/30/12	20	33.8515	677.03	40.6300	812.60	135.57		
		12/21/12	54	31.1012	1,679.47	40.6300	2,194.02	514.55		
		12/24/12	46	31.1330	1,432.12	40.6300	1,868.98	436.86		
Subtotal			315		10,130.20		12,798.45	2,668.25		
HCC INS HOLDING INC	HCC	03/04/11	144	30.4600	4,386.24	42.0300	6,052.32	1,666.08	96	1.57
Subtotal		09/25/12	86	34.2200	2,942.92	42.0300	3,614.58	671.66	57	1.57
			230		7,329.16		9,666.90	2,337.74	153	1.57
HECLA MINING CO DEL	HL	11/27/12	440	5.8300	2,565.20	3.9500	1,738.00	(827.20)	5	.25
Subtotal		11/28/12	200	5.7750	1,155.00	3.9500	790.00	(365.00)	2	.25
		12/03/12	220	6.0928	1,340.43	3.9500	869.00	(471.43)	3	.25
		03/11/13	422	4.2931	1,811.73	3.9500	1,666.90	(144.83)	5	.25
Subtotal			1,282		6,872.36		5,063.90	(1,808.46)	15	.25
HEXCEL CORP NEW COM	HXL	08/22/12	84	23.5100	1,974.84	29.0100	2,436.84	462.00		
HFF INC	HF	11/27/12	31	14.1032	437.20	19.9300	617.83	180.63		
Subtotal		11/27/12	20	13.9345	278.69	19.9300	398.60	119.91		
			51		715.89		1,016.43	300.54		
HITACHI LTD 10 NEW ADR	HTHIY	12/20/12	1,015	58.5428	59,421.04	57.9000	58,768.50	(652.54)	1,118	1.90
Subtotal		02/13/13	379	56.7218	21,497.60	57.9000	21,944.10	446.50	418	1.90
			1,394		80,918.64		80,712.60	(206.04)	1,536	1.90

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
HMS HLDGS CORP	HMSY	03/07/13	45	31.4102	1,413.46	27.1500	1,221.75	(191.71)	
HOLLYFRONTIER CORP	HFC	04/17/12	58	28.8600	1,673.88	51.4500	2,984.10	1,310.22	70 2.33
		05/31/12	92	29.2500	2,691.00	51.4500	4,733.40	2,042.40	111 2.33
Subtotal			150		4,364.88		7,717.50	3,352.62	181 2.33
HOME DEPOT INC	HD	08/09/11	110	29.2200	3,214.20	69.7800	7,675.80	4,461.60	172 2.23
		10/08/12	20	62.0700	1,241.40	69.7800	1,395.60	154.20	32 2.23
		10/10/12	50	60.5300	3,026.50	69.7800	3,489.00	462.50	78 2.23
		11/06/12	45	62.7200	2,822.40	69.7800	3,140.10	317.70	71 2.23
		11/12/12	50	61.1700	3,058.50	69.7800	3,489.00	430.50	78 2.23
Subtotal			275		13,363.00		19,189.50	5,826.50	431 2.23
HOME RETAIL GROUP PLC	HMRTY	11/27/12	1,200	7.0600	8,472.00	9.3600	11,232.00	2,760.00	58 51
		11/28/12	390	7.1200	2,776.80	9.3600	3,650.40	873.60	19 51
		01/23/13	782	8.2868	6,480.28	9.3600	7,319.52	839.24	38 51
Subtotal			2,372		17,729.08		22,201.92	4,472.84	115 51
HONEYWELL INTL INC DEL	HON	12/22/10	37	53.6200	1,983.94	75.3500	2,787.95	804.01	61 2.17
		01/20/11	259	54.7600	14,182.84	75.3500	19,515.65	5,332.81	425 2.17
		03/04/11	207	56.3400	11,662.38	75.3500	15,597.45	3,935.07	340 2.17
		04/11/12	93	57.3200	5,330.76	75.3500	7,007.55	1,676.79	153 2.17
Subtotal			596		33,159.92		44,908.60	11,748.68	979 2.17
HSBC HLDG PLC SPADR	HBC	03/05/12	241	44.4600	10,714.86	53.3400	12,854.94	2,140.08	543 4.21
		03/05/12	823	44.4600	36,590.58	53.3400	43,898.82	7,308.24	1,852 4.21
		04/11/12	113	43.0200	4,861.26	53.3400	6,027.42	1,166.16	255 4.21
		04/11/12	217	43.0200	9,335.34	53.3400	11,574.78	2,239.44	489 4.21
		01/16/13	226	54.6838	12,358.54	53.3400	12,054.84	(303.70)	509 4.21
Subtotal			1,620		73,860.58		86,410.80	12,550.22	3,648 4.21

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
HUANENG PWR INTL SP ADR	HNP	11/27/12	270	31.7620	8,575.74	42.2400	11,404.80	2,829.06	72 .62
		11/28/12	80	32.4000	2,592.00	42.2400	3,379.20	787.20	22 .62
		01/22/13	73	37.4695	2,735.28	42.2400	3,083.52	348.24	20 .62
		01/23/13	104	37.1577	3,864.41	42.2400	4,392.96	528.55	28 .62
Subtotal			527		17,767.43		22,260.48	4,493.05	142 .62
HUMANA INC	HUM	05/22/12	18	72.1200	1,298.16	69.1100	1,243.98	(54.18)	19 1.50
		05/27/12	9	72.9277	656.35	69.1100	621.99	(34.36)	10 1.50
		06/20/12	11	79.7900	877.69	69.1100	760.21	(117.48)	12 1.50
		06/20/12	9	72.1200	649.08	69.1100	621.99	(27.09)	10 1.50
		06/20/12	6	70.3400	422.04	69.1100	414.66	(7.38)	7 1.50
		06/20/12	5	63.4400	317.20	69.1100	345.55	28.35	6 1.50
		07/10/12	4	75.8600	303.44	69.1100	276.44	(27.00)	5 1.50
		08/06/12	61	63.4400	3,869.84	69.1100	4,215.71	345.87	64 1.50
		01/10/13	24	69.2958	1,663.10	69.1100	1,658.64	(4.46)	25 1.50
Subtotal			147		10,056.90		10,159.17	102.27	158 1.50
HUNTINGTON INGALLS INDS INC	HII	01/23/13	30	45.5600	1,366.80	53.3300	1,599.90	233.10	12 .75
		02/04/13	23	44.2056	1,016.73	53.3300	1,226.59	209.86	10 .75
Subtotal			53		2,383.53		2,826.49	442.96	22 .75
HUNTSMAN CORP	HUN	11/27/12	170	16.3565	2,780.62	18.5900	3,160.30	379.68	85 2.68
		11/28/12	60	15.9100	954.60	18.5900	1,115.40	160.80	30 2.68
Subtotal			230		3,735.22		4,275.70	540.48	115 2.68
IBERIABANK CORP COM	IBKC	02/07/13	16	51.5056	824.09	50.0200	800.32	(23.77)	22 2.71
		02/19/13	6	52.3383	314.03	50.0200	300.12	(13.91)	9 2.71
		02/20/13	8	52.3462	418.77	50.0200	400.16	(18.61)	11 2.71
		02/26/13	9	50.3100	452.79	50.0200	450.18	(2.61)	13 2.71
Subtotal			39		2,009.68		1,950.78	(58.90)	55 2.71
ICAP PLC SPONSORED ADR	IAPLY	11/27/12	380	9.3900	3,568.20	8.9100	3,385.80	(182.40)	254 7.49
		11/28/12	170	9.2700	1,575.90	8.9100	1,514.70	(61.20)	114 7.49
Subtotal			550		5,144.10		4,900.50	(243.60)	368 7.49

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual	Estimated Current Income Yield%
ICICI BANK LTD SPD ADR	IBN	03/08/13	65	44.8092	2,912.60	42.9000	2,788.50	(124.10)	38 1.33
		03/11/13	47	44.9619	2,113.21	42.9000	2,016.30	(96.91)	27 1.33
Subtotal			112		5,025.81		4,804.80	(221.01)	65 1.33
IMPAX LABS INC	IPXL	06/08/11	73	20.6200	1,505.26	15.4400	1,127.12	(378.14)	
		08/15/11	122	18.7900	2,292.38	15.4400	1,883.68	(408.70)	
Subtotal			195		3,797.64		3,010.80	(786.84)	
IMPERIAL HLDG LTD SP ADR	IHL	02/19/13	673	23.1136	15,555.52	22.9500	15,445.35	(110.17)	472 3.05
IMPERVA INC COM	IMPV	11/27/12	15	29.0100	435.15	38.5000	577.50	142.35	
INDRA SISTEMAS SA SHS	ISMAY	11/27/12	2,150	5.7700	12,405.50	5.8900	12,663.50	258.00	643 5.07
		11/28/12	730	5.7900	4,226.70	5.8900	4,299.70	73.00	219 5.07
		01/23/13	1,594	6.6654	10,624.65	5.8900	9,388.66	(1,235.99)	477 5.07
Subtotal			4,474		27,256.85		26,351.86	(904.99)	1,339 5.07
INDUSTRIAL AND COMMRCIAL BANK OF CHN	IDCBY	12/07/12	270	13.7661	3,716.85	14.0400	3,790.80	73.95	143 3.75
		12/24/12	225	14.2322	3,202.25	14.0400	3,159.00	(43.25)	119 3.75
Subtotal			495		6,919.10		6,949.80	30.70	262 3.75
INFORMA PLC UNSP ADR	IFPJY	11/27/12	639	13.4400	8,588.16	16.0400	10,249.56	1,661.40	326 3.17
		11/28/12	380	13.3100	5,057.80	16.0400	6,095.20	1,037.40	194 3.17
Subtotal			1,019		13,645.96		16,344.76	2,698.80	520 3.17
ING GP NV SPSP ADR	ING	02/22/12	6,161	8.7200	53,723.92	7.2100	44,420.81	(9,303.11)	
		04/11/12	990	7.2800	7,207.20	7.2100	7,137.90	(69.30)	
		07/20/12	810	6.2500	5,062.50	7.2100	5,840.10	777.60	
Subtotal			7,961		65,993.62		57,398.81	(8,594.81)	
INGERSOLL-RAND PLC	IR	11/27/12	130	47.8405	6,219.27	55.0100	7,151.30	932.03	110 1.52
		11/28/12	40	47.6500	1,906.00	55.0100	2,200.40	294.40	34 1.52
Subtotal			170		8,125.27		9,351.70	1,226.43	144 1.52
INNPHOS HLDGS INC	IPHS	11/27/12	30	47.6800	1,430.40	54.5900	1,637.70	207.30	42 2.56

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
INTEL CORP	INTC	03/01/11	167	21.3500	3,565.45	21.8350	3,646.45	81.00	151	4.12
		03/01/11	1,137	21.3500	24,274.95	21.8350	24,826.40	551.45	1,024	4.12
		03/04/11	35	21.6700	758.45	21.8350	764.23	5.78	32	4.12
		03/04/11	306	21.6700	6,631.02	21.8350	6,681.51	50.49	276	4.12
		03/04/11	443	21.6700	9,599.81	21.8350	9,672.91	73.10	399	4.12
		03/04/11	683	21.6700	14,800.61	21.8350	14,913.31	112.70	615	4.12
		07/18/12	55	25.9300	1,426.15	21.8350	1,200.93	(225.22)	50	4.12
		07/18/12	74	25.9300	1,918.82	21.8350	1,615.79	(303.03)	67	4.12
Subtotal			2,900		62,975.26		63,321.53	346.27	2,614	4.12
INTERDIGITAL, INC.	IDCC	11/27/12	70	41.3300	2,893.10	47.8700	3,350.90	457.80	28	.83
		11/28/12	20	41.6300	832.60	47.8700	957.40	124.80	8	.83
Subtotal			90		3,725.70		4,308.30	582.60	36	.83
INTERSIL CORP CLA	ISIL	11/27/12	190	6.9236	1,315.50	8.7100	1,654.90	339.40	92	5.51
		11/28/12	60	6.9500	417.00	8.7100	522.60	105.60	29	5.51
Subtotal			250		1,732.50		2,177.50	445.00	121	5.51
INTESA SANPAOLO SPON ADR	ISNPY	11/27/12	560	9.7200	5,443.20	8.8500	4,956.00	(487.20)	158	3.17
		11/28/12	190	9.5800	1,820.20	8.8500	1,681.50	(138.70)	54	3.17
		01/23/13	275	11.8489	3,258.45	8.8500	2,433.75	(824.70)	78	3.17
Subtotal			1,025		10,521.85		9,071.25	(1,450.60)	290	3.17
INTL BUSINESS MACHINES CORP IBM	IBM	05/16/12	20	200.0000	4,000.00	213.3000	4,266.00	266.00	68	1.59
		05/17/12	15	199.1700	2,987.55	213.3000	3,199.50	211.95	51	1.59
		05/17/12	15	198.1800	2,972.70	213.3000	3,199.50	226.80	51	1.59
		05/18/12	30	196.7500	5,902.50	213.3000	6,399.00	496.50	102	1.59
		05/22/12	14	197.7300	2,768.22	213.3000	2,986.20	217.98	48	1.59
		08/25/12	34	192.7900	6,554.86	213.3000	7,252.20	697.34	116	1.59
		07/19/12	16	195.2500	3,124.00	213.3000	3,412.80	288.80	55	1.59
		07/23/12	16	190.6000	3,049.60	213.3000	3,412.80	363.20	55	1.59
		09/07/12	32	199.0000	6,368.00	213.3000	6,825.60	457.60	109	1.59
		03/21/13	14	212.6035	2,976.45	213.3000	2,986.20	9.75	48	1.59
Subtotal			206		40,703.88		43,939.80	3,235.92	703	1.59

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income	Yield%
INTL PAPER CO	IP	08/05/11	20	25.8900	517.80	46.5800	931.60	413.80	24	2.57
		08/05/11	171	25.8900	4,427.19	46.5800	7,985.18	3,537.99	206	2.57
		08/05/11	171	25.8900	4,427.19	46.5800	7,985.18	3,537.99	206	2.57
		08/05/11	172	25.8900	4,453.08	46.5800	8,011.76	3,558.68	207	2.57
		08/10/11	26	24.7800	644.28	46.5800	1,211.08	566.80	32	2.57
		08/10/11	32	24.7800	792.96	46.5800	1,490.56	697.60	39	2.57
		08/10/11	67	24.7800	1,660.26	46.5800	3,120.86	1,460.60	81	2.57
		04/11/12	79	32.8100	2,591.99	46.5800	3,679.82	1,087.83	95	2.57
		04/11/12	99	32.8100	3,248.19	46.5800	4,611.42	1,363.23	119	2.57
		04/11/12	202	32.8100	6,627.62	46.5800	9,409.16	2,781.54	243	2.57
Subtotal			1,039		29,390.56		48,396.62	19,006.06	1,252	2.57
INTRPUBLIC GRP OF CO	IPG	07/06/12	110	10.9200	1,201.20	13.0300	1,433.30	232.10	33	2.30
		08/01/12	40	9.7200	388.80	13.0300	521.20	132.40	12	2.30
		11/07/12	315	10.0400	3,162.60	13.0300	4,104.45	941.85	95	2.30
Subtotal			465		4,752.60		6,058.95	1,306.35	140	2.30
INTUITIVE SURGICAL INC NEW	ISRG	01/20/11	5	288.2100	1,441.05	491.1900	2,455.95	1,014.90		
		03/04/11	7	328.9100	2,302.37	491.1900	3,438.33	1,135.96		
		10/17/12	12	538.4200	6,461.04	491.1900	5,894.28	(566.76)		
		11/27/12	90	528.2635	47,543.72	491.1900	44,207.10	(3,336.62)		
		11/28/12	30	530.5000	15,915.00	491.1900	14,735.70	(1,179.30)		
		03/06/13	10	520.6050	5,206.05	491.1900	4,911.90	(294.15)		
		03/15/13	10	465.4720	4,654.72	491.1900	4,911.90	257.18		
		03/19/13	17	476.1723	8,094.93	491.1900	8,350.23	255.30		
Subtotal			181		91,618.88		88,905.39	(2,713.49)		
IPG PHOTONICS CORP DEL	IPGP	05/23/12	32	42.9400	1,374.08	66.4100	2,125.12	751.04		
		07/09/12	4	42.4100	169.64	66.4100	265.64	96.00		
		08/03/12	20	57.3900	1,147.80	66.4100	1,328.20	180.40		
		12/05/12	6	60.7433	364.46	66.4100	398.46	34.00		
Subtotal			62		3,055.98		4,117.42	1,061.44		

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
IRON INC	ITRI	11/27/12	90	42.3195	3,808.76	46.4000	4,176.00	367.24		
		11/28/12	20	43.1200	862.40	46.4000	928.00	65.60		
Subtotal			110		4,671.16		5,104.00	432.84		
IXIA	XXIA	04/23/12	94	11.9400	1,122.36	21.6400	2,034.16	911.80		
		05/24/12	285	10.8600	3,095.10	21.6400	6,167.40	3,072.30		
Subtotal			379		4,217.46		8,201.56	3,984.10		
JARDINE MATHESON HD UNSP ADR	JMHLY	01/30/13	52	64.6771	3,363.21	65.4000	3,400.80	37.59	66	1.93
		01/31/13	26	64.9846	1,689.60	65.4000	1,700.40	10.80	33	1.93
		03/11/13	34	67.0588	2,280.00	65.4000	2,223.60	(56.40)	44	1.93
Subtotal			112		7,332.81		7,324.80	(8.01)	143	1.93
JETBLUE AIRWAYS CORP DEL	JBLU	11/27/12	200	5.0950	1,019.00	6.9000	1,380.00	361.00		
		11/28/12	70	4.9900	349.30	6.9000	483.00	133.70		
Subtotal			270		1,368.30		1,863.00	494.70		
JOHNSON AND JOHNSON COM	JNJ	03/04/11	58	60.3800	3,502.04	81.5300	4,728.74	1,226.70	142	2.99
		03/04/11	107	60.3800	6,460.66	81.5300	8,723.71	2,263.05	262	2.99
		08/15/11	85	64.3600	5,470.60	81.5300	6,930.05	1,459.45	208	2.99
		08/15/11	85	64.3600	5,470.60	81.5300	6,930.05	1,459.45	208	2.99
		08/15/11	102	64.3600	6,564.72	81.5300	8,316.06	1,751.34	249	2.99
Subtotal			437		27,468.62		35,628.61	8,159.99	1,069	2.99
JPMORGAN CHASE & CO	JPM	05/03/11	124	45.7900	5,677.96	47.4600	5,885.04	207.08	149	2.52
		08/16/11	41	36.1600	1,482.56	47.4600	1,945.86	463.30	50	2.52
		10/20/11	90	32.5800	2,932.20	47.4600	4,271.40	1,339.20	108	2.52
		11/07/11	745	33.9800	25,315.10	47.4600	35,357.70	10,042.60	894	2.52
		11/30/11	385	29.9900	11,546.15	47.4600	18,272.10	6,725.95	462	2.52
Subtotal			1,385		46,953.97		65,732.10	18,778.13	1,663	2.52
JUPITER TELECOM CO ADR	JUPIY	11/27/12	835	13.0400	10,888.40	12.9500	10,813.25	(75.15)	195	1.79
		11/28/12	350	13.0900	4,581.50	12.9500	4,532.50	(49.00)	82	1.79
Subtotal			1,185		15,469.90		15,345.75	(124.15)	277	1.79

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income	Yield%
KAMAN CORP COM	KAMN	11/27/12	60	34.2400	2,054.40	35.4700	2,128.20	73.80	39	1.80
		11/28/12	10	34.1700	341.70	35.4700	354.70	13.00	7	1.80
Subtotal			70		2,396.10		2,482.90	86.80	46	1.80
KAO CORP SPD ADR	KCRPY	11/27/12	320	27.0900	8,668.80	32.4000	10,368.00	1,699.20	195	1.87
		11/28/12	100	27.2200	2,722.00	32.4000	3,240.00	518.00	61	1.87
		01/23/13	258	27.5977	7,120.23	32.4000	8,359.20	1,238.97	157	1.87
Subtotal			678		18,511.03		21,967.20	3,456.17	413	1.87
KASIKORNBANK PCL-JUNSPON	KPCPY	11/27/12	321	23.5000	7,543.50	28.8800	9,270.48	1,726.98	171	1.84
		11/28/12	100	23.9500	2,395.00	28.8800	2,888.00	493.00	54	1.84
Subtotal			421		9,938.50		12,158.48	2,219.98	225	1.84
KB FINL GROUP INC SPN AD	KB	08/12/11	450	38.7000	17,415.00	33.0400	14,868.00	(2,547.00)	215	1.44
		08/15/11	50	39.0800	1,954.00	33.0400	1,652.00	(302.00)	24	1.44
		09/06/11	155	37.8300	5,863.65	33.0400	5,121.20	(742.45)	74	1.44
		11/17/11	400	33.6000	13,440.00	33.0400	13,216.00	(224.00)	191	1.44
		11/28/12	10	31.6800	316.80	33.0400	330.40	13.60	5	1.44
Subtotal			1,065		38,989.45		35,187.60	(3,801.85)	509	1.44
KBC GROUPE SA SHS	KBCSY	11/27/12	140	14.9200	2,088.80	17.2500	2,415.00	326.20	1	.01
		11/28/12	50	14.6400	732.00	17.2500	862.50	130.50	1	.01
Subtotal			190		2,820.80		3,277.50	456.70	2	.01
KBR INC	KBR	03/04/11	22	35.2900	776.38	32.0800	705.76	(70.62)	8	.99
		08/16/11	27	29.3000	791.10	32.0800	866.16	75.06	9	.99
		08/23/11	75	26.9600	2,022.00	32.0800	2,406.00	384.00	24	.99
		04/11/12	104	34.2600	3,563.04	32.0800	3,336.32	(226.72)	34	.99
		05/11/12	631	30.2200	19,068.82	32.0800	20,242.48	1,173.66	202	.99
		07/19/12	250	24.4800	6,120.00	32.0800	8,020.00	1,900.00	80	.99
		07/20/12	191	23.5500	4,498.05	32.0800	6,127.28	1,629.23	62	.99
Subtotal			1,300		36,839.39		41,704.00	4,864.61	419	.99
KEYW HLDG CORP	KEYW	03/22/13	57	15.5585	886.84	16.1300	919.41	32.57		

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
KIMBERLY CLARK	KMB	03/04/11	4	64.5200	258.08	97.9800	391.92	133.84	13	3.30
		03/04/11	116	64.5200	7,484.32	97.9800	11,365.68	3,881.36	376	3.30
		03/04/11	122	64.5200	7,871.44	97.9800	11,953.56	4,082.12	396	3.30
		03/07/11	1	64.5800	64.58	97.9800	97.98	33.40	4	3.30
		04/01/11	42	65.4300	2,748.06	97.9800	4,115.16	1,367.10	137	3.30
		04/01/11	65	65.4300	4,252.95	97.9800	6,368.70	2,115.75	211	3.30
Subtotal			350		22,679.43		34,293.00	11,613.57	1,137	3.30
KIMBERLY CLARK DE CV	KCDMY	12/22/10	1,240	10.2100	12,660.40	17.3700	21,538.80	8,878.40	575	2.66
		01/20/11	1,035	9.8900	10,236.15	17.3700	17,977.95	7,741.80	480	2.66
		03/01/11	438	9.7400	4,266.12	17.3700	7,608.06	3,341.94	203	2.66
		03/04/11	522	9.7000	5,063.40	17.3700	9,067.14	4,003.74	242	2.66
		11/28/12	10	12.5400	125.40	17.3700	173.70	48.30	5	2.66
Subtotal			3,245		32,351.47		58,365.65	24,014.18	1,505	2.66
KINROSS GOLD CORP	KGC	11/27/12	730	10.3299	7,540.83	7.9300	5,788.90	(1,751.93)	117	2.01
		11/28/12	200	10.2600	2,052.00	7.9300	1,586.00	(466.00)	32	2.01
		01/22/13	609	9.8693	6,010.46	7.9300	4,829.37	(1,181.09)	98	2.01
Subtotal			1,539		15,603.29		12,204.27	(3,399.02)	247	2.01
KLA TENCOR CORP PV\$0.001	KLAC	07/10/12	6	46.0700	276.42	52.7400	316.44	40.02	10	3.03
		09/06/12	60	51.9000	3,114.00	52.7400	3,164.40	50.40	96	3.03
		09/25/12	24	47.0500	1,129.20	52.7400	1,265.76	136.56	39	3.03
		10/01/12	66	47.8200	3,156.12	52.7400	3,480.84	324.72	106	3.03
		03/21/13	63	51.3050	3,232.22	52.7400	3,322.62	90.40	101	3.03
Subtotal			219		10,907.96		11,550.06	642.10	352	3.03
KOC HLDG AS-UNSPON	KHOLY	12/22/10	216	22.4199	4,842.71	29.0200	6,268.32	1,425.61	61	.96
		01/20/11	278	22.1300	6,152.14	29.0200	8,067.56	1,915.42	79	.96
		03/01/11	219	18.9299	4,145.66	29.0200	6,355.38	2,209.72	62	.96
		03/01/11	1	20.6300	20.63	29.0200	29.02	8.39	1	.96
		03/01/11	416	19.6299	8,166.07	29.0200	12,072.32	3,906.25	117	.96
		03/04/11	233	19.1799	4,488.93	29.0200	6,761.66	2,292.73	66	.96

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
KOC HLDG AS-UNSPON	KHOLY	08/15/11	1	19.2300	19.23	29.0200	29.02	9.79	1 .96
		08/15/11	23	16.0000	368.00	29.0200	667.46	299.46	7 .96
		11/28/12	330	23.1000	7,623.00	29.0200	9,576.60	1,953.60	93 .96
Subtotal			1,717		35,806.37		49,827.34	14,020.97	487 .96
KOMATSU NEW NEW SPNSDADR	KMTUY	04/11/12	3	28.4400	85.32	23.7600	71.28	(14.04)	2 195
		11/28/12	330	21.9500	7,243.50	23.7600	7,840.80	597.30	154 195
Subtotal			333		7,328.82		7,912.08	583.26	156 195
KONINKLUKE AHOLD NV ADR	AHONY	12/22/10	1,352	12.7800	17,278.56	15.3500	20,753.20	3,474.64	648 312
		01/20/11	1,148	12.9800	14,901.04	15.3500	17,621.80	2,720.76	550 312
		03/01/11	376	13.3900	5,034.84	15.3500	5,771.60	736.96	181 312
		03/04/11	947	13.1800	12,481.46	15.3500	14,536.45	2,054.99	454 312
		04/11/12	688	13.4700	8,997.96	15.3500	10,253.80	1,255.84	320 312
		07/20/12	690	11.6900	8,066.10	15.3500	10,591.50	2,525.40	331 312
		11/28/12	970	12.5400	12,163.80	15.3500	14,889.50	2,725.70	465 312
		01/23/13	106	14.2019	1,505.41	15.3500	1,627.10	121.69	51 312
		03/12/13	282	14.8956	4,200.56	15.3500	4,328.70	128.14	136 312
Subtotal			6,539		84,629.53		100,373.65	15,744.12	3,136 312
KRATON PERFORMANCE POLYMRS INC	KRA	03/27/12	110	27.1900	2,990.90	23.4000	2,574.00	(416.90)	
		05/16/12	40	21.7500	870.00	23.4000	936.00	66.00	
Subtotal			150		3,860.90		3,510.00	(350.90)	
KT CORP ADR	KT	11/27/12	850	17.8355	15,160.18	15.7100	13,353.50	(1,806.68)	584 436
		11/28/12	280	17.3650	4,514.90	15.7100	4,084.60	(430.30)	179 436
		01/22/13	531	18.0067	9,561.56	15.7100	8,342.01	(1,219.55)	365 436
Subtotal			1,661		29,236.64		25,780.11	(3,456.53)	1,128 436
KURARAY CO LTD SHS	KURRY	05/09/11	955	45.0900	43,060.95	42.4000	40,492.00	(2,568.95)	1,048 258
		04/11/12	159	41.9500	6,670.05	42.4000	6,741.60	71.55	175 258
Subtotal			1,114		49,731.00		47,233.60	(2,497.40)	1,223 258
L OREAL CO ADR	LRLCY	04/11/12	273	23.8500	6,511.05	31.6500	8,640.45	2,129.40	134 154

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RICHARD L KAY TEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

Equities (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
LA-ZBOY INC MICHIGAN	LZB	11/27/12	48	16.5300	793.44	18.8700	905.76	112.32	8	84
		11/28/12	30	16.1000	483.00	18.8700	566.10	83.10	5	84
Subtotal			78		1,276.44		1,471.86	195.42	13	84
LAKELAND FINCL CORP IND	LKFN	11/27/12	140	25.1100	3,515.40	26.6900	3,736.60	221.20	96	254
		11/28/12	50	24.4300	1,221.50	26.6900	1,334.50	113.00	34	254
Subtotal			190		4,736.90		5,071.10	334.20	130	254
LAM RESEARCH CORP COM	LRCX	03/15/11	7	51.7000	361.90	41.4600	290.22	(71.68)		
		03/31/11	63	56.5800	3,584.54	41.4600	2,611.98	(952.56)		
		07/07/11	80	45.0500	3,604.00	41.4600	3,316.80	(287.20)		
		03/05/12	24	39.7000	952.80	41.4600	995.04	42.24		
		07/12/12	86	33.8400	2,910.24	41.4600	3,565.56	655.32		
		11/28/12	10	34.8500	348.50	41.4600	414.60	66.10		
Subtotal			270		11,741.98		11,194.20	(547.78)		
LANDSTAR SYS INC COM	LSTR	03/04/11	46	44.5000	2,047.00	57.0900	2,626.14	579.14	12	43
LAS VEGAS SANDS CORP	LVS	03/01/11	9	40.8300	367.47	56.3500	507.15	139.68	13	248
		03/04/11	173	43.6400	7,549.72	56.3500	9,748.55	2,198.83	243	248
		09/28/11	63	44.3800	2,795.94	56.3500	3,550.05	754.11	89	248
Subtotal			245		10,713.13		13,805.75	3,092.62	345	248
LAUDER ESTEE COS INC A	EL	01/20/11	74	41.6600	3,082.84	64.0300	4,738.22	1,655.38	54	112
		03/04/11	84	46.0000	3,864.00	64.0300	5,378.52	1,514.52	61	112
		11/28/12	10	57.8700	578.70	64.0300	640.30	61.60	8	112
		12/04/12	33	58.8615	1,942.43	64.0300	2,112.99	170.56	24	112
		12/05/12	7	58.9457	412.82	64.0300	448.21	35.39	6	112
Subtotal			208		9,880.59		13,318.24	3,437.65	153	112
LEAR CORP SHS	LEA	06/06/11	51	49.8500	2,542.35	54.8700	2,798.37	256.02	35	123
		07/07/11	66	54.4000	3,590.40	54.8700	3,621.42	31.02	45	123
		03/20/12	10	46.5000	465.00	54.8700	548.70	83.70	7	123
		07/20/12	40	36.7400	1,469.60	54.8700	2,194.80	725.20	28	123
Subtotal			167		8,067.35		9,163.29	1,095.94	115	123

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued)	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
LENNAR CORP CL A	LEN	10/08/12	75	37.7400	2,830.50	41.4800	3,111.00	280.50	12	.38
		10/19/12	79	38.7100	3,058.09	41.4800	3,276.92	218.83	13	.38
		11/06/12	80	38.9000	3,112.00	41.4800	3,318.40	206.40	13	.38
		11/28/12	10	38.0800	380.80	41.4800	414.80	34.00	2	.38
Subtotal			244		9,381.39		10,121.12	739.73	40	.38
LIBERTY INTERACTIVE CORP SERIES A	LINTA	03/28/12	25	16.9900	424.75	21.3700	534.25	109.50		
		06/12/12	210	14.5700	3,059.70	21.3700	4,487.70	1,428.00		
Subtotal			235		3,484.45		5,021.95	1,537.50		
LIBERTY SPINCO INC SHS CL A	LMCA	02/16/12	35	76.9954	2,694.84	111.6300	3,907.05	1,212.21		
		02/24/12	37	77.3824	2,863.15	111.6300	4,130.31	1,267.16		
		07/20/12	19	83.0668	1,578.27	111.6300	2,120.97	542.70		
		12/04/12	9	98.1488	883.34	111.6300	1,004.67	121.33		
Subtotal			100		8,019.60		11,163.00	3,143.40		
LIFE TECHNOLOGIES CORP	LIFE	11/27/12	698	49.2900	34,404.42	64.6300	45,111.74	10,707.32		
		11/28/12	610	48.6999	29,706.94	64.6300	39,424.30	9,717.36		
Subtotal			1,308		64,111.36		84,536.04	20,424.68		
LINDE AG SHS SP ADR	LNEG	11/27/12	385	17.4700	6,725.95	18.6800	7,191.80	465.85	86	1.18
		11/28/12	180	17.2700	3,108.60	18.6800	3,362.40	253.80	40	1.18
Subtotal			565		9,834.55		10,554.20	719.65	126	1.18
LINDSAY CORPORATION	LNN	11/27/12	100	78.9223	7,892.23	88.1800	8,818.00	925.77	46	.52
		11/28/12	40	77.6900	3,107.60	88.1800	3,527.20	419.60	19	.52
Subtotal			140		10,999.83		12,345.20	1,345.37	65	.52
LINKEDIN CORP CLASS A COMMON STOCK	LNKD	11/27/12	380	108.6587	41,290.34	176.0600	66,902.80	25,612.46		
		11/28/12	120	106.9300	12,831.60	176.0600	21,127.20	8,295.60		
		01/18/13	124	118.5033	14,694.42	176.0600	21,831.44	7,137.02		
		02/28/13	29	168.4365	4,894.66	176.0600	5,105.74	221.08		
Subtotal			653		73,701.02		114,967.18	41,266.16		
LIQUIDITY SVCS INC	LQDT	11/30/12	31	39.5980	1,227.54	29.8100	924.11	(303.43)		

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
LIVE NATION ENT INC	LYW	03/20/13	22	11.8259	260.17	12.3700	272.14	11.97		
		03/22/13	51	12.1564	619.98	12.3700	630.87	10.89		
Subtotal			73		880.15		903.01	22.86		
LIXIL GROUP CORPORATION ADR	JSGRY	11/27/12	142	41.3100	5,866.02	39.8900	5,664.38	(201.64)	117	2.05
		11/28/12	50	42.1500	2,107.50	39.8900	1,994.50	(113.00)	41	2.05
Subtotal			192		7,973.52		7,658.88	(314.64)	158	2.05
LLOYDS BANKING GROUP PLC ADR	LYG	11/27/12	1,880	2.9598	5,564.61	3.0000	5,640.00	75.39		
		11/28/12	650	2.8900	1,878.50	3.0000	1,950.00	71.50		
		01/22/13	1,132	3.3693	3,814.05	3.0000	3,396.00	(418.05)		
Subtotal			3,662		11,257.16		10,986.00	(271.16)		
LOCKHEED MARTIN CORP	LMT	03/01/11	86	79.0200	6,795.72	96.5200	8,300.72	1,505.00	396	4.76
		03/04/11	150	79.3300	11,899.50	96.5200	14,478.00	2,578.50	691	4.76
		08/02/11	89	73.9500	6,581.55	96.5200	8,590.28	2,008.73	410	4.76
Subtotal			325		25,276.77		31,369.00	6,092.23	1,497	4.76
LOEWS CORP	L	06/08/12	38	39.6600	1,507.08	44.0700	1,674.66	167.58	10	.56
		06/11/12	74	39.6700	2,935.58	44.0700	3,261.18	325.60	19	.56
		07/02/12	74	40.9700	3,031.78	44.0700	3,261.18	229.40	19	.56
		08/08/12	10	40.5500	405.50	44.0700	440.70	35.20	3	.56
		10/01/12	73	41.5300	3,031.69	44.0700	3,217.11	185.42	19	.56
		11/28/12	10	40.6900	406.90	44.0700	440.70	33.80	3	.56
Subtotal			279		11,318.53		12,295.53	977.00	73	.56
LONZA GROUP AG SHS	LZAGY	04/11/12	293	4.5600	1,336.08	6.4800	1,898.64	562.56		
		10/15/12	5,922	4.9700	29,432.34	6.4800	38,374.56	8,942.22		
		11/02/12	2,390	5.0500	12,069.50	6.4800	15,487.20	3,417.70		
Subtotal			8,605		42,837.92		55,760.40	12,922.48		
LOUISIANA PAC CORP	LPX	11/27/12	168	17.2755	2,902.29	21.6000	3,628.80	726.51		
		11/28/12	60	17.1665	1,029.99	21.6000	1,296.00	266.01		
Subtotal			228		3,932.28		4,924.80	992.52		

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income	Yield%
LTC PROPERTIES INC	LTC	03/04/11	52	28.0978	1,461.09	40.7300	2,117.96	656.87	97	4.56
REITS-HEALTH CARE										
LUFKIN INDS INC	LUFK	10/04/12	31	52.6400	1,631.84	66.3900	2,058.09	426.25	16	.75
		10/11/12	25	54.3000	1,357.50	66.3900	1,659.75	302.25	13	.75
Subtotal			56		2,989.34		3,717.84	728.50	29	.75
LUKOIL SPONSORED ADR	LUKOY	08/15/11	190	57.4900	10,923.10	64.5000	12,255.00	1,331.90	581	4.73
		08/16/11	10	58.7400	587.40	64.5000	645.00	77.60	31	4.73
		09/06/11	131	58.3000	7,637.30	64.5000	8,449.50	812.20	401	4.73
		11/28/12	20	60.6400	1,212.80	64.5000	1,290.00	77.20	62	4.73
Subtotal			351		20,340.60		22,639.50	2,298.90	1,075	4.73
LUMBER LIQUIDATORS HOLDINGS INC SHS	LL	11/27/12	5	54.5000	272.50	70.2200	351.10	78.60		
		11/27/12	5	57.5980	287.99	70.2200	351.10	63.11		
		11/28/12	5	53.7000	268.50	70.2200	351.10	82.60		
Subtotal			15		828.99		1,053.30	224.31		
MADDEN STEVEN LTD	SHOO	05/11/11	59	36.4500	2,150.55	43.2280	2,550.45	399.90		
		03/05/12	54	43.6000	2,354.40	43.2280	2,334.31	(20.09)		
		07/20/12	47	34.1800	1,606.46	43.2280	2,031.72	425.26		
		11/28/12	40	43.4900	1,739.60	43.2280	1,729.12	(10.48)		
Subtotal			200		7,851.01		8,645.60	794.59		
MAGNA INTL INC CL A VTG	MGA	11/27/12	100	45.5338	4,553.38	58.7000	5,870.00	1,316.62	128	2.18
		11/28/12	30	45.5300	1,365.90	58.7000	1,761.00	395.10	39	2.18
		01/22/13	54	53.2146	2,873.59	58.7000	3,169.80	296.21	70	2.18
Subtotal			184		8,792.87		10,800.80	2,007.93	237	2.18
MAKITA CORP SPOND ADR	MKTAY	11/27/12	105	41.6555	4,373.83	44.2300	4,644.15	270.32	85	1.82
		11/28/12	60	40.6600	2,439.60	44.2300	2,653.80	214.20	49	1.82
Subtotal			165		6,813.43		7,297.95	484.52	134	1.82

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
MANULIFE FINANCIAL CORP	MFC	11/27/12	180	12.5698	2,262.58	14.7200	2,649.60	387.02	92	3.43
		11/28/12	60	12.6000	756.00	14.7200	883.20	127.20	31	3.43
Subtotal			240		3,018.58		3,532.80	514.22	123	3.43
MARATHON OIL CORP	MRO	03/01/11	212	30.2800	6,419.36	33.7200	7,148.64	729.28	145	2.01
		03/04/11	145	30.8000	4,466.00	33.7200	4,889.40	423.40	99	2.01
		07/13/11	444	31.8100	14,123.64	33.7200	14,971.68	848.04	302	2.01
		07/20/12	163	26.4300	4,308.09	33.7200	5,496.36	1,188.27	111	2.01
Subtotal			964		29,317.09		32,506.08	3,188.99	657	2.01
MARKET LEADER INC	LEDR	11/27/12	11	6.3900	70.29	8.9600	98.56	28.27		
		11/28/12	20	6.3500	127.00	8.9600	179.20	52.20		
		02/13/13	36	8.2572	297.26	8.9600	322.56	25.30		
Subtotal			67		494.55		600.32	105.77		
MARRIOTT VACATIONS WORLDWIDE CORP SHS WH	VAC	11/27/12	20	40.0000	800.00	42.9100	858.20	58.20		
		11/28/12	10	39.6100	396.10	42.9100	429.10	33.00		
		02/26/13	4	39.7800	159.12	42.9100	171.64	12.52		
Subtotal			34		1,355.22		1,458.94	103.72		
MARTIN MARIETTA MATLS	MLM	11/27/12	10	86.7400	867.40	102.0200	1,020.20	152.80	16	1.56
		11/28/12	10	84.8900	848.90	102.0200	1,020.20	171.30	16	1.56
Subtotal			20		1,716.30		2,040.40	324.10	32	1.56
MASTERCARD INC	MA	08/02/12	19	427.2600	8,117.94	541.1300	10,281.47	2,163.53	46	.44
		08/13/12	15	423.2600	6,348.90	541.1300	8,116.95	1,768.05	36	.44
Subtotal			34		14,466.84		18,398.42	3,931.58	82	.44
MATSON INC COM	MATX	11/27/12	70	22.5000	1,575.00	24.6000	1,722.00	147.00	42	2.43
		11/28/12	10	22.8200	228.20	24.6000	246.00	17.80	6	2.43
		12/13/12	31	23.8548	739.50	24.6000	762.60	23.10	19	2.43
		12/14/12	14	24.3364	340.71	24.6000	344.40	3.69	9	2.43
Subtotal			125		2,883.41		3,075.00	191.59	76	2.43

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Yield%
MATTEL INC COM	MAT	08/31/11	280	27.2400	7,627.20	43.7700	12,255.60	4,628.40	404	3.28
Subtotal		09/28/11	550	25.4200	13,981.00	43.7700	24,073.50	10,092.50	792	3.28
MAXIMUS INC	MMS	11/27/12	830	61.7500	21,608.20		36,329.10	14,720.90	1,196	3.28
Subtotal		11/28/12	50	61.7500	3,087.50	79.9700	3,998.50	911.00	18	.45
		11/29/12	20	61.3700	1,227.40	79.9700	1,599.40	372.00	8	.45
		11/29/12	6	62.9383	377.63	79.9700	479.82	102.19	3	.45
Subtotal			76		4,692.53		6,077.72	1,385.19	29	.45
MBIA INC COM	MBI	11/27/12	210	8.9950	1,888.95	10.2700	2,156.70	267.75		
Subtotal		11/28/12	60	8.8800	532.80	10.2700	616.20	83.40		
			270		2,421.75		2,772.90	351.15		
MEAD JOHNSON NUTRITION CO	MJN	11/27/12	970	67.3100	65,290.70	77.4500	75,126.50	9,835.80	1,320	1.75
Subtotal		11/28/12	280	68.0382	19,050.70	77.4500	21,686.00	2,635.30	381	1.75
		03/06/13	79	75.3760	5,954.71	77.4500	6,118.55	163.84	108	1.75
Subtotal			1,329		90,296.11		102,931.05	12,634.94	1,809	1.75
MEADWESTVACO CORP	MWV	03/23/12	43	27.3200	1,174.76	36.3000	1,560.90	386.14	43	2.75
Subtotal		08/30/12	110	28.4400	3,128.40	36.3000	3,993.00	864.60	110	2.75
MEDASSETS INC	MDAS	11/27/12	153		4,303.16		5,553.90	1,250.74	153	2.75
Subtotal		11/28/12	110	16.7299	1,840.29	19.2500	2,117.50	277.21		
		01/16/13	40	16.3300	653.20	19.2500	770.00	116.80		
Subtotal			53	19.4826	1,032.58	19.2500	1,020.25	(12.33)		
MEDICINES CO DEL COM	MDCO	11/27/12	203		3,526.07		3,907.75	381.68		
Subtotal		11/28/12	20	21.6000	432.00	33.4100	668.20	236.20		
			10	21.1000	211.00	33.4100	334.10	123.10		
Subtotal			30		643.00		1,002.30	359.30		
MEDICLINIC INTL	MCFF	11/27/12	144	28.1400	4,052.16	35.1500	5,061.60	1,009.44	52	1.01
UNSP ADR		11/28/12	70	27.8400	1,948.80	35.1500	2,460.50	511.70	26	1.01
Subtotal			214		6,000.96		7,522.10	1,521.14	78	1.01

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
MEDIDATA SOLUTIONS INC	MDSO	11/27/12	80	41.1800	3,294.40	57.9800	4,638.40	1,344.00		
		11/28/12	30	40.4400	1,213.20	57.9800	1,739.40	526.20		
		02/06/13	18	47.0316	846.57	57.9800	1,043.64	197.07		
Subtotal			128		5,354.17		7,421.44	2,067.27		
MEDNAX INC	MD	06/07/11	21	70.5100	1,480.71	89.6300	1,882.23	401.52		
		02/10/12	42	72.9900	3,065.58	89.6300	3,764.46	698.88		
Subtotal			63		4,546.29		5,646.69	1,100.40		
MEDTRONIC INC COM	MDT	03/04/11	136	39.0900	5,316.24	46.9600	6,386.56	1,070.32	142	2.21
		03/04/11	315	39.0900	12,313.35	46.9600	14,792.40	2,479.05	328	2.21
		04/11/12	103	37.7900	3,892.37	46.9600	4,836.88	944.51	108	2.21
		04/11/12	156	37.7900	5,895.24	46.9600	7,325.76	1,430.52	163	2.21
Subtotal			710		27,417.20		33,341.60	5,924.40	741	2.21
↑ MERCK AND CO INC SHS	MRK	04/05/12	63	38.7700	2,442.51	44.2000	2,784.60	342.09	109	3.89
		04/05/12	226	38.7700	8,762.02	44.2000	9,989.20	1,227.18	389	3.89
		04/05/12	396	38.7700	15,352.92	44.2000	17,503.20	2,150.28	682	3.89
Subtotal			685		26,557.45		30,277.00	3,719.55	1,180	3.89
MERCK KGAA	MRKAY	11/27/12	96	44.0000	4,224.00	50.4290	4,841.18	617.18	48	.97
		11/28/12	80	43.8600	3,508.80	50.4290	4,034.32	525.52	40	.97
Subtotal			176		7,732.80		8,875.50	1,142.70	88	.97
MERIDIAN BIOSCIENCE INC	VIVO	11/27/12	42	20.6300	866.46	22.8150	958.23	91.77	32	3.33
		11/28/12	30	19.4900	584.70	22.8150	684.45	99.75	23	3.33
Subtotal			72		1,451.16		1,642.68	191.52	55	3.33
MERITAGE HOMES CORP	MTH	01/23/13	13	43.0069	559.09	46.8600	609.18	50.09		
MERU NETWORKS INC COMMON SHARES	MERU	02/28/13	91	4.9560	451.00	6.7500	614.25	163.25		

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
Description					Cost Basis							
METLIFE INC	COM	MET	01/11/11	116	45.2285		5,246.51	38.0200	4,410.32	(836.19)	86	1.94
			01/11/11	20	45.2280		904.56	38.0200	760.40	(144.16)	15	1.94
			01/20/11	96	45.3700		4,355.52	38.0200	3,649.92	(705.60)	72	1.94
			01/20/11	110	45.3700		4,990.70	38.0200	4,182.20	(808.50)	82	1.94
			01/20/11	124	45.3700		5,625.88	38.0200	4,714.48	(911.40)	92	1.94
			01/20/11	220	45.3700		9,981.40	38.0200	8,364.40	(1,617.00)	163	1.94
			03/02/11	17	44.7000		759.90	38.0200	646.34	(113.56)	13	1.94
			03/02/11	30	44.7000		1,341.00	38.0200	1,140.60	(200.40)	23	1.94
			03/02/11	94	44.7000		4,201.80	38.0200	3,573.88	(627.92)	70	1.94
			03/02/11	95	44.7000		4,246.50	38.0200	3,611.90	(634.60)	71	1.94
			03/04/11	132	45.0900		5,951.88	38.0200	5,018.64	(933.24)	98	1.94
			03/04/11	284	45.0900		11,903.76	38.0200	10,037.28	(1,866.48)	196	1.94
			03/04/11	265	45.0900		11,948.85	38.0200	10,075.30	(1,873.55)	197	1.94
			07/13/11	34	42.3200		1,438.88	38.0200	1,292.68	(146.20)	26	1.94
			07/13/11	69	42.3200		2,920.08	38.0200	2,623.38	(296.70)	52	1.94
			07/13/11	70	42.3200		2,962.40	38.0200	2,661.40	(301.00)	52	1.94
			08/04/11	36	37.7600		1,359.36	38.0200	1,368.72	9.36	27	1.94
			08/04/11	72	37.7600		2,718.72	38.0200	2,737.44	18.72	54	1.94
			08/04/11	72	37.7600		2,718.72	38.0200	2,737.44	18.72	54	1.94
Subtotal				1,936			85,576.42		73,606.72	(11,969.70)	1,443	1.94
METROPOLIS COMM INC		PCS	01/03/13	350	9.5826		3,353.91	10.9000	3,815.00	461.09		
			01/07/13	181	9.5172		1,722.63	10.9000	1,972.90	250.27		
			01/14/13	181	9.5790		1,733.80	10.9000	1,972.90	239.10		
			01/23/13	330	9.5350		3,146.55	10.9000	3,597.00	450.45		
			03/20/13	379	10.6083		4,020.55	10.9000	4,131.10	110.55		
Subtotal				1,421			13,977.44		15,488.90	1,511.46		
MICREL INC		MCRL	11/27/12	180	9.3937		1,690.87	10.5100	1,891.80	200.93	31	1.61
			11/28/12	50	9.2896		464.48	10.5100	525.50	61.02	9	1.61
Subtotal				230			2,155.35		2,417.30	261.95	40	1.61

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
MICRON TECHNOLOGY INC	MU	07/15/11	81	6.8000	550.80	9.9750	807.98	257.18		
		12/02/11	89	5.7600	512.64	9.9750	887.78	375.14		
		05/02/12	141	6.8000	958.80	9.9750	1,406.48	447.68		
		07/20/12	113	5.8700	663.31	9.9750	1,127.18	463.87		
		07/23/12	32	5.6500	180.80	9.9750	319.20	138.40		
		01/17/13	144	7.8100	1,124.64	9.9750	1,436.40	311.76		
Subtotal			600		3,990.99		5,985.02	1,994.03		
MICROSOFT CORP	MSFT	08/21/12	378	30.8400	11,657.52	28.6050	10,812.69	(844.83)	348	3.21
		08/21/12	587	30.8400	18,103.08	28.6050	16,791.14	(1,311.94)	541	3.21
		11/06/12	34	29.9600	1,018.64	28.6050	972.57	(46.07)	32	3.21
		11/06/12	38	29.9600	1,138.48	28.6050	1,086.99	(51.49)	35	3.21
		11/06/12	60	29.9600	1,797.60	28.6050	1,716.30	(81.30)	56	3.21
		11/06/12	1,128	29.9600	33,794.88	28.6050	32,266.44	(1,528.44)	1,038	3.21
		11/09/12	25	28.9000	722.50	28.6050	715.13	(7.37)	23	3.21
		11/09/12	570	28.9000	16,473.00	28.6050	16,304.85	(168.15)	525	3.21
		01/23/13	310	27.4850	8,520.35	28.6050	8,867.55	347.20	286	3.21
Subtotal			3,130		93,226.05		89,533.66	(3,692.39)	2,884	3.21
MINDRAY MED INTL SPN ADR	MR	03/15/13	45	38.3848	1,727.32	39.9400	1,797.30	69.98	21	1.15
		03/18/13	39	38.1907	1,489.44	39.9400	1,557.66	68.22	18	1.15
		03/19/13	48	38.6404	1,854.74	39.9400	1,917.12	62.38	23	1.15
Subtotal			132		5,071.50		5,272.08	200.58	62	1.15
MITSUBISHI CP SPNSRD ADR	MSBY	12/22/10	81	53.0000	4,293.00	37.5300	3,039.93	(1,253.07)	105	3.42
		01/20/11	364	57.4000	20,893.60	37.5300	13,660.92	(7,232.68)	468	3.42
		03/01/11	163	55.3900	9,028.57	37.5300	6,117.39	(2,911.18)	210	3.42
		03/04/11	376	55.2500	20,774.00	37.5300	14,111.28	(6,662.72)	484	3.42
		04/11/12	203	44.4900	9,031.47	37.5300	7,618.59	(1,412.88)	261	3.42
		07/20/12	179	39.7900	7,122.41	37.5300	6,717.87	(404.54)	231	3.42
Subtotal			1,366		71,143.05		51,265.98	(19,877.07)	1,759	3.42

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income	Yield%
MITSUBISHI UFJ FINL GRP INC	MTU	07/31/12	5,535	4.9200	27,232.20	6.0000	33,210.00	5,977.80	748	2.25
		08/23/12	980	5.6401	5,414.50	6.0000	5,760.00	345.50	130	2.25
		12/21/12	5,482	5.2330	28,687.31	6.0000	32,892.00	4,204.69	741	2.25
Subtotal			11,977		61,334.01		71,862.00	10,527.99	1,619	2.25
MOBILE TELESYS OJSC ADR	MBT	12/22/10	773	20.4500	15,807.85	20.7400	16,032.02	224.17	573	3.56
		01/20/11	679	20.0800	13,634.32	20.7400	14,082.46	448.14	503	3.56
		02/28/11	203	18.6900	3,794.07	20.7400	4,210.22	416.15	151	3.56
		03/04/11	494	19.8800	9,721.92	20.7400	10,245.56	523.64	366	3.56
		10/31/12	283	17.1100	4,899.93	20.7400	5,454.62	954.69	195	3.56
		11/28/12	450	16.5050	7,427.25	20.7400	9,333.00	1,905.75	333	3.56
Subtotal			2,862		54,885.34		59,357.88	4,472.54	2,121	3.56
MOLSON COOR BREW CO CL B	TAP	08/21/12	315	44.3700	13,976.55	48.9300	15,412.95	1,436.40	404	2.61
		10/12/12	360	44.1900	15,908.40	48.9300	17,614.80	1,706.40	461	2.61
		11/28/12	40	40.1600	1,606.40	48.9300	1,957.20	350.80	52	2.61
Subtotal			715		31,491.35		34,984.95	3,493.60	917	2.61
MONOLITHIC PWR SYSTEMS INC	MPWR	02/08/13	11	24.9654	274.62	24.3700	268.07	(6.55)		
		02/11/13	11	24.5236	269.76	24.3700	268.07	(1.69)		
Subtotal			22		544.38		536.14	(8.24)		
MONSANTO CO NEW DEL COM	MON	07/07/11	50	74.7400	3,737.00	105.6300	5,281.50	1,544.50	75	1.42
		07/14/11	19	74.9500	1,424.05	105.6300	2,006.97	582.92	29	1.42
		07/15/11	50	74.2200	3,711.00	105.6300	5,281.50	1,570.50	75	1.42
		07/21/11	24	69.2300	1,661.52	105.6300	2,535.12	873.60	36	1.42
		08/29/11	15	69.2300	1,038.45	105.6300	1,584.45	546.00	23	1.42
		09/19/11	40	69.2500	2,770.00	105.6300	4,225.20	1,455.20	60	1.42
		09/30/11	90	60.9900	5,489.10	105.6300	9,506.70	4,017.60	135	1.42
		05/08/12	42	72.8900	3,061.38	105.6300	4,436.46	1,375.08	63	1.42
		08/22/12	50	78.1900	3,909.50	105.6300	5,281.50	1,372.00	75	1.42
Subtotal			380		26,802.00		40,139.40	13,337.40	571	1.42

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
MONSTER WORLDWIDE INC	MWW	11/27/12	400	5.6150	2,246.00	5.0700	2,028.00	(218.00)		
		11/28/12	110	5.4400	598.40	5.0700	557.70	(40.70)		
Subtotal			510		2,844.40		2,585.70	(258.70)		
MONTPELIER RE HLDGS LTD	MRH	11/27/12	100	20.9388	2,093.88	26.0500	2,605.00	511.14	46	1.76
		11/28/12	30	21.1500	634.50	26.0500	781.50	147.00	14	1.76
		02/15/13	48	24.8556	1,193.07	26.0500	1,250.40	57.33	23	1.76
		02/20/13	32	24.8450	795.04	26.0500	833.60	38.56	15	1.76
Subtotal			210		4,716.47		5,470.50	754.03	98	1.76
MR PRICE GROUP LTD ADR	MRPLY	11/27/12	203	15.4600	3,138.38	12.8000	2,598.40	(539.98)	105	4.00
		11/28/12	100	14.9500	1,495.00	12.8000	1,280.00	(215.00)	52	4.00
Subtotal			303		4,633.38		3,878.40	(754.98)	157	4.00
MS&AD INS GROUP HLDGS	MSADY	11/27/12	470	8.8800	4,173.60	11.0200	5,179.40	1,005.80	125	2.40
		11/28/12	170	8.6800	1,475.60	11.0200	1,873.40	397.80	46	2.40
UNSP ADR		01/23/13	326	10.1200	3,299.12	11.0200	3,592.52	293.40	87	2.40
Subtotal			966		8,948.32		10,645.32	1,697.00	258	2.40
MTN GROUP LTD-SPONS ADR	MTNOY	01/20/11	391	17.8400	6,975.44	17.7300	6,932.43	(43.01)	293	4.22
		03/04/11	298	18.1300	5,402.74	17.7300	5,283.54	(119.20)	224	4.22
		03/04/11	653	18.1300	11,838.89	17.7300	11,577.69	(261.20)	490	4.22
		03/04/11	713	18.1300	12,926.69	17.7300	12,641.49	(285.20)	535	4.22
		04/11/12	182	16.5000	3,003.00	17.7300	3,226.86	223.86	137	4.22
		04/11/12	282	16.5000	4,653.00	17.7300	4,999.86	346.86	212	4.22
Subtotal			2,519		44,799.76		44,661.87	(137.89)	1,891	4.22
MURPHY OIL CORP	MUR	10/03/12	27	55.8700	1,508.49	63.7300	1,720.71	212.22	34	1.96
		12/10/12	31	56.4470	1,749.86	63.7300	1,975.63	225.77	39	1.96
Subtotal			58		3,258.35		3,696.34	437.99	73	1.96
MVC CAPITAL INC	MVC	11/27/12	190	11.9098	2,262.88	12.8300	2,437.70	174.82	103	4.20
		11/28/12	50	11.8600	593.00	12.8300	641.50	48.50	27	4.20
Subtotal			240		2,855.88		3,079.20	223.32	130	4.20

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
MWI VETERINARY SUPPLY INC	MWIV	11/27/12	10	117.1900	1,171.90	132.2600	1,322.60	150.70	
		11/28/12	10	114.0200	1,140.20	132.2600	1,322.60	182.40	
Subtotal			20		2,312.10		2,645.20	333.10	
MYRIAD GENETICS INC	MYGN	11/27/12	160	30.0855	4,813.68	25.4100	4,065.60	(748.08)	
		11/28/12	50	29.6000	1,480.00	25.4100	1,270.50	(209.50)	
		01/30/13	84	27.1409	2,279.84	25.4100	2,134.44	(145.40)	
Subtotal			294		8,573.52		7,470.54	(1,102.98)	
NABORS INDUSTRIES LTD	NBR	11/27/12	780	13.9098	10,849.72	16.2200	12,651.60	1,801.88	125 .98
		11/28/12	230	13.9850	3,218.55	16.2200	3,730.60	514.05	37 .98
Subtotal			1,010		14,068.27		16,382.20	2,315.93	162 .98
NATIONAL-OILWELL VARCO INC	NOV	11/27/12	685	70.3255	48,172.97	70.7500	48,463.75	290.78	357 .73
		11/28/12	210	69.1400	14,519.40	70.7500	14,857.50	338.10	110 .73
		02/27/13	597	68.5649	40,933.25	70.7500	42,237.75	1,304.50	311 .73
Subtotal			1,492		103,625.62		105,559.00	1,933.38	778 .73
NATIONSTAR MTG HLDGS INC	NSM	11/27/12	12	27.9700	335.64	36.9000	442.80	107.16	
		11/28/12	10	28.6800	286.80	36.9000	369.00	82.20	
		02/06/13	5	38.1620	190.81	36.9000	184.50	(6.31)	
		03/25/13	9	33.3300	299.97	36.9000	332.10	32.13	
Subtotal			36		1,113.22		1,328.40	215.18	
NATL AUSTRALIA B ADR	NABZY	03/04/11	678	25.7500	17,458.50	32.4500	22,001.10	4,542.60	1,250 5.67
		08/16/11	239	24.1600	5,774.24	32.4500	7,755.55	1,981.31	441 5.67
		07/20/12	258	24.7800	6,393.24	32.4500	8,372.10	1,978.86	476 5.67
Subtotal			1,175		29,625.98		38,128.75	8,502.77	2,167 5.67
NAVIGATORS GROUP INC	NAV	11/27/12	90	52.3964	4,715.68	58.7500	5,287.50	571.82	
		11/28/12	30	51.6500	1,549.50	58.7500	1,762.50	213.00	
Subtotal			120		6,265.18		7,050.00	784.82	

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual	Estimated Current Income	Yield%
NEDBANK GROUP LTD ADR	NDBKY	12/22/10	590	19.0800	11,257.20	21.3400	12,590.60	1,333.40	408	3.23
		01/20/11	490	18.8100	9,216.90	21.3400	10,456.60	1,239.70	339	3.23
		03/04/11	410	19.8000	8,118.00	21.3400	8,749.40	631.40	283	3.23
		08/15/11	80	19.4000	1,552.00	21.3400	1,707.20	155.20	56	3.23
		08/16/11	50	18.9500	947.50	21.3400	1,067.00	119.50	35	3.23
Subtotal			1,620		31,091.60		34,570.80	3,479.20	1,121	3.23
NEKTAR THERAPEUTICS	NKTR	03/04/13	54	9.1477	493.98	11.0000	594.00	100.02		
NESTLE S A REP RG SH ADR	NSRGY	02/16/12	96	60.3800	5,796.48	72.4700	6,957.12	1,160.64	176	2.52
		04/11/12	24	60.6100	1,454.64	72.4700	1,739.28	284.64	44	2.52
		04/11/12	195	60.6100	11,818.95	72.4700	14,131.65	2,312.70	357	2.52
Subtotal			315		19,070.07		22,828.05	3,757.98	577	2.52
NETEASE.COM INC ADR	NTES	03/04/11	390	47.5000	18,525.00	54.7700	21,360.30	2,835.30		
		03/12/11	11	48.7400	536.14	54.7700	602.47	66.33		
		07/20/12	65	54.9500	3,571.75	54.7700	3,560.05	(11.70)		
		03/18/13	39	52.4069	2,043.87	54.7700	2,136.03	92.16		
Subtotal			505		24,676.76		27,658.85	2,982.09		
NETSUITE INC		N 11/27/12	2	59.7000	119.40	80.0600	160.12	40.72		
		11/28/12	10	59.6600	596.60	80.0600	800.60	204.00		
Subtotal			12		716.00		960.72	244.72		
NEW GOLD INC CDA	NGD	11/27/12	536	10.3877	5,567.81	9.1000	4,877.60	(690.21)		
		11/28/12	220	10.2350	2,251.70	9.1000	2,002.00	(249.70)		
Subtotal			756		7,819.51		6,879.60	(939.91)		
NEWELL RUBBERMAID INC	NWL	03/07/13	193	24.0655	4,644.66	26.1000	5,037.30	392.64	116	2.29
		03/08/13	444	24.7429	10,985.85	26.1000	11,588.40	602.55	267	2.29
		03/11/13	48	25.0510	1,202.45	26.1000	1,252.80	50.35	29	2.29
Subtotal			685		16,832.96		17,878.50	1,045.54	412	2.29
NEWMARKET CORP	NEU	11/27/12	20	267.9810	5,359.62	260.3600	5,207.20	(152.42)	72	1.38

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income	Yield%
NEWMONT MINING CORP	NEM	11/27/12	320	46.9840	15,034.88	41.8900	13,404.80	(1,630.08)	544	4.05
		11/28/12	90	46.5000	4,185.00	41.8900	3,770.10	(414.90)	153	4.05
		01/22/13	245	45.5506	11,159.90	41.8900	10,263.05	(896.85)	417	4.05
Subtotal			655		30,379.78		27,437.95	(2,941.83)	1,114	4.05
NEWS CORP CL A	NWSA	01/30/13	233	27.9951	6,522.86	30.5100	7,108.83	585.97	40	.55
		02/04/13	91	27.9702	2,545.29	30.5100	2,776.41	231.12	16	.55
		02/27/13	129	28.7717	3,711.56	30.5100	3,935.79	224.23	22	.55
Subtotal			453		12,779.71		13,821.03	1,041.32	78	.55
NICE SYSTS LTD SPSP ADR	NICE	11/27/12	30	33.1700	995.10	36.8300	1,104.90	109.80		
		03/19/13	22	36.9159	812.15	36.8300	810.26	(1.89)		
Subtotal			52		1,807.25		1,915.16	107.91		
NINTENDO LTD ADR	NTDOY	11/27/12	490	15.3300	7,511.70	13.4400	6,585.60	(926.10)	63	.95
		11/28/12	150	15.2400	2,286.00	13.4400	2,016.00	(270.00)	20	.95
		01/23/13	525	12.9486	6,798.02	13.4400	7,056.00	257.98	68	.95
Subtotal			1,165		16,595.72		15,657.60	(938.12)	151	.95
NISSAN MTR LTD SPN ADR	NSANY	04/26/12	2,415	20.7400	50,087.10	19.2000	46,368.00	(3,719.10)	1,131	2.43
		04/26/12	300	19.5930	5,877.91	19.2000	5,760.00	(117.91)	141	2.43
		05/19/12	450	20.3030	9,136.36	19.2000	8,640.00	(496.36)	211	2.43
		07/20/12	353	18.3300	6,470.49	19.2000	6,777.60	307.11	166	2.43
		12/21/12	62	18.2725	1,132.90	19.2000	1,190.40	57.50	30	2.43
Subtotal			3,580		72,704.76		68,736.00	(3,968.76)	1,679	2.43
NOBLE CORP NAMEN-AKT	NE	11/27/12	350	34.4235	12,048.23	38.1500	13,352.50	1,304.27	186	1.38
		11/28/12	100	33.9635	3,396.35	38.1500	3,815.00	418.65	53	1.38
Subtotal			450		15,444.58		17,167.50	1,722.92	239	1.38
NORDEA BANK AB-SPON ADR	NRBAY	11/27/12	523	9.1800	4,801.14	11.4300	5,977.89	1,176.75	329	5.49
NORDEA BANK AB		11/28/12	230	9.1000	2,093.00	11.4300	2,628.90	535.90	145	5.49
Subtotal			753		6,894.14		8,606.79	1,712.65	474	5.49

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income	Yield%
NORFOLK SOUTHERN CORP	NSC	01/20/11	130	64.1600	8,340.80	77.0800	10,020.40	1,679.60	260	2.59
		01/31/11	43	61.1300	2,628.59	77.0800	3,314.44	685.85	86	2.59
		03/04/11	152	65.0500	9,887.60	77.0800	11,716.16	1,828.56	304	2.59
		03/02/12	45	67.9900	3,059.55	77.0800	3,468.60	409.05	90	2.59
		04/11/12	91	66.3300	6,036.03	77.0800	7,014.28	978.25	182	2.59
		05/23/12	194	66.6600	12,932.04	77.0800	14,953.52	2,021.48	388	2.59
		10/12/12	55	66.4300	3,653.65	77.0800	4,239.40	585.75	110	2.59
		11/28/12	20	58.7100	1,174.20	77.0800	1,541.60	367.40	40	2.59
Subtotal			730		47,712.46		56,268.40	8,555.94	1,460	2.59
NORTHROP GRUMMAN CORP	NOC	01/27/11	65	62.6500	4,072.25	70.1500	4,559.75	487.50	143	3.13
		03/01/11	48	59.4500	2,853.60	70.1500	3,367.20	513.60	106	3.13
		03/01/11	244	59.4500	14,505.80	70.1500	17,116.60	2,610.80	537	3.13
		03/04/11	20	59.9100	1,198.20	70.1500	1,403.00	204.80	44	3.13
		03/04/11	106	59.9100	6,350.46	70.1500	7,435.90	1,085.44	234	3.13
		02/02/12	10	58.7600	587.60	70.1500	701.50	113.90	22	3.13
		02/02/12	52	58.7600	3,055.52	70.1500	3,647.80	592.28	115	3.13
Subtotal			545		32,623.43		38,231.75	5,608.32	1,201	3.13
NORTHWEST PIPE CO	NWPX	11/27/12	50	20.7000	1,035.00	27.9800	1,399.00	364.00		
		11/28/12	10	20.6420	206.42	27.9800	279.80	73.38		
Subtotal			60		1,241.42		1,678.80	437.38		
NOVADAQ TECHNOLOGIES INC	NVDQ	11/27/12	70	9.2600	648.20	9.9100	693.70	45.50		
		11/28/12	30	9.2800	278.40	9.9100	297.30	18.90		
		03/07/13	35	10.0200	350.70	9.9100	346.85	(3.85)		
Subtotal			135		1,277.30		1,337.85	60.55		
NOVARTIS ADR	NVS	04/12/11	240	55.3000	13,272.00	71.2400	17,097.60	3,825.60	515	3.01
		04/12/11	335	55.3000	18,525.50	71.2400	23,865.40	5,339.90	719	3.01
		03/23/12	76	55.0500	4,183.80	71.2400	5,414.24	1,230.44	164	3.01
		03/23/12	89	55.0500	4,899.45	71.2400	6,340.36	1,440.91	191	3.01
		10/15/12	75	62.2600	4,669.50	71.2400	5,343.00	673.50	161	3.01

RICHARD L KAY TEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
NOVARTIS ADR	NVS	11/27/12	450	60.6257	27,281.57	71.2400	32,058.00	4,776.43	966	3.01
		11/28/12	380	60.9900	23,176.20	71.2400	27,071.20	3,895.00	816	3.01
		01/22/13	36	64.9852	2,339.47	71.2400	2,564.64	225.17	78	3.01
Subtotal			1,681		98,347.49		119,754.44	21,406.95	3,610	3.01
NU SKIN ENTERPRS A \$.001	NUS	11/27/12	23	44.7795	1,029.93	44.2000	1,016.60	(13.33)	28	2.71
		11/28/12	20	45.0600	901.20	44.2000	884.00	(17.20)	24	2.71
Subtotal			43		1,931.13		1,900.60	(30.53)	52	2.71
NXP SEMICONDUCTORS N.V.	NPXI	01/11/13	89	28.3044	2,519.10	30.2900	2,695.81	176.71		
		01/14/13	44	28.2070	1,241.11	30.2900	1,332.76	91.65		
		01/17/13	82	29.9728	2,457.77	30.2900	2,483.78	26.01		
		01/29/13	128	30.2785	3,875.66	30.2900	3,877.12	1.46		
		02/04/13	172	30.2184	5,197.58	30.2900	5,209.88	12.30		
Subtotal			515		15,291.22		15,599.35	308.13		
OAO GAZPROM SPON ADR	OGZPY	08/12/11	363	11.7200	4,254.36	8.5000	3,085.50	(1,168.86)	166	5.35
		09/06/11	11	11.4000	125.40	8.5000	93.50	(31.90)	5	5.35
		09/06/11	194	11.4000	2,211.60	8.5000	1,649.00	(562.60)	89	5.35
		09/06/11	205	11.4000	2,337.00	8.5000	1,742.50	(594.50)	94	5.35
		10/03/11	447	9.4500	4,224.15	8.5000	3,799.50	(424.65)	204	5.35
		10/03/11	448	9.4500	4,233.60	8.5000	3,808.00	(425.60)	204	5.35
		07/20/12	223	9.5700	2,134.11	8.5000	1,895.50	(238.61)	102	5.35
		07/20/12	541	9.5700	5,177.37	8.5000	4,598.50	(578.87)	247	5.35
		07/27/12	205	9.2700	1,900.35	8.5000	1,742.50	(157.85)	94	5.35
		07/27/12	348	9.2700	3,225.96	8.5000	2,958.00	(267.96)	159	5.35
Subtotal			2,985		29,823.90		25,372.50	(4,451.40)	1,364	5.35
OASIS PETE INC NEW	OAS	08/30/12	108	28.7900	3,109.32	38.0700	4,111.56	1,002.24		
OCCIDENTAL PETE CORP CAL	OXY	11/27/12	812	75.5612	61,355.70	78.3700	63,636.44	2,280.74	2,079	3.26
		11/28/12	410	75.4000	30,914.00	78.3700	32,131.70	1,217.70	1,050	3.26
Subtotal			1,222		92,269.70		95,768.14	3,498.44	3,129	3.26

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
OCEANEERING INTL INC	OII	11/27/12	1,395	53.4555	74,570.43	66.4100	92,641.95	18,071.52	1,005	1.08
		11/28/12	450	51.7800	23,301.00	66.4100	29,884.50	6,583.50	325	1.08
Subtotal			1,845		97,871.43		122,526.45	24,655.02	1,330	1.08
OLD DOMINION FIGHT LINES	ODFL	11/27/12	100	33.8000	3,380.00	38.2000	3,820.00	440.00		
		11/28/12	40	33.2900	1,331.60	38.2000	1,528.00	196.40		
		01/08/13	11	35.6300	391.93	38.2000	420.20	28.27		
		01/09/13	11	35.3600	388.96	38.2000	420.20	31.24		
Subtotal			162		5,492.49		6,188.40	695.91		
OMNIVISION TECHS INC DEL	OVN	11/27/12	160	15.4600	2,473.60	13.7700	2,203.20	(270.40)		
		11/28/12	60	15.2900	917.40	13.7700	826.20	(91.20)		
Subtotal			220		3,391.00		3,029.40	(361.60)		
ON SEMICONDUCTOR CORP COM	ONNN	01/20/11	670	11.0800	7,423.60	8.2750	5,544.25	(1,879.35)		
		03/04/11	726	11.1200	8,073.12	8.2750	6,007.65	(2,065.47)		
		08/15/11	320	7.7900	2,492.80	8.2750	2,648.00	155.20		
		08/16/11	119	7.5400	897.26	8.2750	984.73	87.47		
		08/23/11	90	7.3000	657.00	8.2750	744.75	87.75		
		03/05/12	435	8.4400	3,671.40	8.2750	3,599.63	(71.77)		
		04/11/12	609	8.5500	5,206.95	8.2750	5,039.48	(167.47)		
		05/21/12	851	6.9000	5,871.90	8.2750	7,042.03	1,170.13		
		09/12/12	420	6.6100	2,776.20	8.2750	3,475.50	699.30		
Subtotal			4,240		37,070.23		35,086.02	(1,984.21)		
ORASCOM CONSTRU-SPON AD	ORSCY	12/30/10	137	49.7200	6,811.64	33.3500	4,568.95	(2,242.69)	285	6.23
		03/31/11	133	41.8000	5,559.40	33.3500	4,435.55	(1,123.85)	277	6.23
		04/01/11	418	42.2000	17,639.60	33.3500	13,940.30	(3,699.30)	870	6.23
Subtotal			688		30,010.64		22,944.80	(7,065.84)	1,432	6.23

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

Equities (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
ORIFLAME COSMETICS SA	ORFLY	12/22/10	522	25.4000	13,258.80	17.0800	8,915.76	(4,343.04)	482	5.40
SPN ADR		01/20/11	594	23.1200	13,733.28	17.0800	10,145.52	(3,587.76)	549	5.40
		03/04/11	478	29.0900	13,905.02	17.0800	8,164.24	(5,740.78)	442	5.40
		08/16/11	62	21.0600	1,305.72	17.0800	1,058.96	(246.76)	58	5.40
		11/28/12	110	13.9100	1,530.10	17.0800	1,878.80	348.70	102	5.40
Subtotal			1,766		43,732.92		30,163.28	(13,569.64)	1,633	5.40
ORKLA AS A SHS ADR	ORKLY	03/20/13	1,199	8.2825	9,930.72	8.1100	9,723.89	(206.83)	436	4.47
OWENS & MINOR INC NEW COM	OMI	03/04/11	73	31.1900	2,276.87	32.5600	2,376.88	100.01	71	2.94
PACIFIC DRILLING S.A	PACD	11/27/12	80	9.6300	770.40	10.1000	808.00	37.60		
REG.SHS		11/28/12	30	9.5100	285.30	10.1000	303.00	17.70		
		01/16/13	53	9.6766	512.86	10.1000	535.30	22.44		
		02/04/13	23	10.5726	243.17	10.1000	232.30	(10.87)		
Subtotal			186		1,611.73		1,878.60	66.87		
PANTRY INC	PTRY	11/27/12	80	12.1600	972.80	12.4700	997.60	24.80		
		11/28/12	20	12.0530	241.06	12.4700	249.40	8.34		
Subtotal			100		1,213.86		1,247.00	33.14		
PAREXEL INTRNL CORP	PRXL	11/27/12	160	31.6446	5,063.14	39.5400	6,326.40	1,263.26		
		11/28/12	40	31.8300	1,273.20	39.5400	1,581.60	308.40		
Subtotal			200		6,336.34		7,908.00	1,571.66		
PARTNER COMMUNICTNS ADR	PTNR	11/27/12	670	6.0499	4,053.44	6.2300	4,174.10	120.66	244	5.84
		11/28/12	210	6.1265	1,286.57	6.2300	1,308.30	21.73	77	5.84
Subtotal			880		5,340.01		5,482.40	142.39	321	5.84
PARTNERRE LTD	PRE	01/25/12	145	63.4500	9,200.25	93.1100	13,500.95	4,300.70	372	2.74
		01/27/12	145	64.5200	9,355.40	93.1100	13,500.95	4,145.55	372	2.74
		07/31/12	130	73.3600	9,536.80	93.1100	12,104.30	2,567.50	333	2.74
Subtotal			420		28,092.45		39,106.20	11,013.75	1,077	2.74
PATTERSON UTI ENERGY INC	PTEN	01/25/13	175	20.1270	3,522.24	23.8400	4,172.00	649.76	35	.83

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
PERFORMANT FINL CORP	PFMT	02/12/13	29	12.9975	376.93	12.2800	356.12	(20.81)		
		02/14/13	36	13.1008	471.63	12.2800	442.08	(29.55)		
		03/25/13	28	12.8478	359.74	12.2800	343.84	(15.90)		
Subtotal			93		1,208.30		1,142.04	(66.26)		
PERNOD-RICARD SA-UNSPON	PDRDY	11/27/12	187	22.7700	4,257.99	24.8300	4,643.21	385.22		54 1.15
		11/28/12	110	22.6500	2,491.50	24.8300	2,731.30	239.80		32 1.15
Subtotal			297		6,749.49		7,374.51	625.02		86 1.15
PETROLEO BRAS VTG SPD ADR	PBR	07/18/12	315	19.5700	6,164.55	16.5700	5,219.55	(945.00)		35 .66
		10/04/12	185	22.8500	4,227.25	16.5700	3,065.45	(1,161.80)		21 .66
		10/04/12	1,710	22.8500	39,073.50	16.5700	28,334.70	(10,738.80)		189 .66
		10/15/12	8	22.9800	183.84	16.5700	132.56	(51.28)		1 .66
		10/15/12	212	22.9800	4,871.76	16.5700	3,512.84	(1,358.92)		24 .66
Subtotal			2,430		54,520.90		40,265.10	(14,255.80)		270 .66
PETROFAC LTD-	POFCY	11/27/12	721	12.7400	9,185.54	10.8800	7,844.48	(1,341.06)		207 2.63
		11/28/12	350	12.6500	4,427.50	10.8800	3,808.00	(619.50)		101 2.63
		12/20/12	105	12.2002	1,281.03	10.8800	1,142.40	(138.63)		31 2.63
		03/15/13	129	11.6713	1,505.60	10.8800	1,403.52	(102.08)		38 2.63
Subtotal			1,305		16,399.67		14,198.40	(2,201.27)		377 2.63
PETROLEUM GEO SVS SP ADR	PGSVY	11/27/12	228	16.9000	3,819.40	15.5200	3,507.52	(311.88)		30 .83
		11/28/12	90	16.6200	1,495.80	15.5200	1,396.80	(99.00)		12 .83
Subtotal			316		5,315.20		4,904.32	(410.88)		42 .83
PFIZER INC	PFE	03/04/11	271	19.6700	5,330.57	28.8600	7,821.06	2,490.49		261 3.32
		03/04/11	371	19.6700	7,297.57	28.8600	10,707.06	3,409.49		357 3.32
		07/05/11	123	20.7500	2,552.25	28.8600	3,549.78	997.53		119 3.32
		07/05/11	123	20.7500	2,552.25	28.8600	3,549.78	997.53		119 3.32
		07/05/11	154	20.7500	3,195.50	28.8600	4,444.44	1,248.94		148 3.32
		07/31/12	148	23.9500	3,544.60	28.8600	4,271.28	726.68		143 3.32
Subtotal			1,190		24,472.74		34,343.40	9,870.66		1,147 3.32

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
PHILLIPS 66 SHS	PSX	03/04/11	10	36.5800	365.80	69.9700	699.70	333.90	13	1.78
		03/08/11	7	35.7300	250.11	69.9700	489.79	239.68	9	1.78
		03/08/11	1	35.7500	35.75	69.9700	69.97	34.22	2	1.78
		03/08/11	38	35.7300	1,357.74	69.9700	2,658.86	1,301.12	48	1.78
		07/05/11	5	34.7100	173.55	69.9700	349.85	176.30	7	1.78
		07/05/11	31	34.7100	1,076.01	69.9700	2,169.07	1,093.06	39	1.78
		05/16/12	77	31.8000	2,448.60	69.9700	5,387.69	2,939.09	97	1.78
		05/16/12	163	31.8000	5,183.40	69.9700	11,405.11	6,221.71	204	1.78
		05/16/12	228	31.8000	7,250.40	69.9700	15,953.16	8,702.76	285	1.78
Subtotal			560		18,141.36		39,183.20	21,041.84	704	1.78
† PHILPPNE L D TEL ADR	PHI	12/22/10	86	57.3500	4,932.10	70.9400	6,100.84	1,168.74	185	3.02
		01/20/11	250	57.4500	14,362.50	70.9400	17,735.00	3,372.50	537	3.02
		02/28/11	78	49.7100	3,877.38	70.9400	5,533.32	1,655.94	168	3.02
		03/04/11	246	52.2800	12,860.88	70.9400	17,451.24	4,590.36	529	3.02
		03/28/11	75	46.7100	3,503.25	70.9400	5,320.50	1,817.25	162	3.02
Subtotal			735		39,536.11		52,140.90	12,604.79	1,581	3.02
PINNACLE ENTMT INC COM	PNK	11/27/12	80	12.5500	1,004.00	14.6200	1,169.60	165.60		
		11/28/12	30	12.2700	368.10	14.6200	438.60	70.50		
Subtotal			110		1,372.10		1,608.20	236.10		
PITNEY BOWES INC	PBI	03/25/11	190	13.5800	2,580.20	14.8600	2,823.40	243.20	285	10.09
		03/25/11	40	13.4402	537.61	14.8600	594.40	56.79	60	10.09
		09/27/12	225	13.9900	3,147.75	14.8600	3,343.50	195.75	338	10.09
		10/11/12	15	13.5400	203.10	14.8600	222.90	19.80	23	10.09
		11/28/12	10	11.0700	110.70	14.8600	148.60	37.90	15	10.09
		12/19/12	89	10.9098	970.98	14.8600	1,322.54	351.56	134	10.09
Subtotal			569		7,550.34		8,455.34	905.00	855	10.09
PLANTRONICS INC NEW COM	PLT	11/27/12	90	32.6100	2,934.90	44.1900	3,977.10	1,042.20	36	.90
		11/28/12	30	32.4400	973.20	44.1900	1,325.70	352.50	12	.90
Subtotal			120		3,908.10		5,302.80	1,394.70	48	.90

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
PNC FINCL SERVICES GROUP	PNC	12/22/10	176	60.9000	10,718.40	66.5000	11,704.00	985.60	282	2.40
		01/20/11	172	60.9500	10,483.40	66.5000	11,438.00	954.60	276	2.40
		03/04/11	105	60.9300	6,397.65	66.5000	6,982.50	584.85	168	2.40
		11/28/12	60	55.0100	3,300.60	66.5000	3,990.00	689.40	96	2.40
Subtotal			513		30,900.05		34,114.50	3,214.45	822	2.40
POLYONE CORP COM	POL	12/17/12	12	19.6433	235.72	24.4100	292.92	57.20	3	.98
		12/18/12	11	19.8400	218.24	24.4100	268.51	50.27	3	.98
		12/20/12	27	20.2381	546.43	24.4100	659.07	112.64	7	.98
Subtotal			50		1,000.39		1,220.50	220.11	13	.98
PORTFOLIO RECOVERY ASSOC INC	PRAA	11/27/12	60	95.6498	5,738.99	126.9200	7,615.20	1,876.21		
		11/28/12	20	95.3170	1,906.34	126.9200	2,538.40	632.06		
Subtotal			80		7,645.33		10,153.60	2,508.27		
PORTLAND GEN ELEC CO	POR	11/27/12	80	26.0300	2,082.40	30.3300	2,426.40	344.00	87	3.56
		11/28/12	30	26.2500	787.50	30.3300	909.90	122.40	33	3.56
Subtotal			110		2,869.90		3,336.30	466.40	120	3.56
POSCO SPN ADR	PKX	01/18/12	156	88.1700	13,754.52	73.7100	11,498.76	(2,255.76)	272	2.36
		01/19/12	129	90.7300	11,704.17	73.7100	9,508.59	(2,195.58)	225	2.36
		04/11/12	84	81.4800	6,844.32	73.7100	6,191.84	(652.68)	147	2.36
		07/20/12	53	78.6500	4,168.45	73.7100	3,906.63	(261.82)	93	2.36
		07/25/12	313	77.3600	24,213.68	73.7100	23,071.23	(1,142.45)	545	2.36
Subtotal			735		60,685.14		54,176.85	(6,508.29)	1,282	2.36
POTASH CORP SASKATCHEWAN	POT	09/26/12	520	43.1700	22,448.40	39.2500	20,410.00	(2,038.40)	583	2.85
PPC LTD SHS ADR	PPCY	12/22/10	838	10.0200	8,396.76	6.9900	5,857.62	(2,539.14)	216	3.67
		01/20/11	642	9.1200	5,855.04	6.9900	4,487.58	(1,367.46)	165	3.67
		03/04/11	795	7.7200	6,137.40	6.9900	5,557.05	(580.35)	205	3.67
		07/20/12	309	5.9300	1,832.37	6.9900	2,159.91	327.54	80	3.67
Subtotal			2,584		22,221.57		18,062.16	(4,159.41)	668	3.67

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

Equities (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
PRAXAIR INC	PX	11/27/12	800	107.0799	85,663.92	111.5400	89,232.00	3,568.08	1,920	2.15
		11/28/12	240	105.8100	25,394.40	111.5400	26,769.60	1,375.20	576	2.15
Subtotal			1,040		111,058.32		116,001.60	4,943.28	2,496	2.15
PRECISION CASTPARTS	PCP	01/26/12	38	170.8100	6,149.16	189.6200	6,826.32	677.16	5	.06
		01/27/12	19	163.6700	3,109.73	189.6200	3,602.78	493.05	3	.06
		03/05/12	19	166.8800	3,170.72	189.6200	3,602.78	432.06	3	.06
		06/25/12	36	163.5600	5,888.16	189.6200	6,826.32	938.16	5	.06
		10/10/12	20	161.0000	3,220.00	189.6200	3,792.40	572.40	3	.06
		11/27/12	470	178.8092	84,040.37	189.6200	89,121.40	5,081.03	57	.06
		11/28/12	180	180.0400	32,407.20	189.6200	34,131.60	1,724.40	22	.06
Subtotal			780		137,985.34		147,903.60	9,918.26	98	.06
PRESTIGE BRANDS HLDG INC	PBH	11/27/12	270	21.3951	5,776.70	25.6900	6,936.30	1,159.60		
		11/28/12	90	20.9200	1,882.80	25.6900	2,312.10	429.30		
Subtotal			360		7,659.50		9,248.40	1,588.90		
PRICELINE COM INC	PCLN	03/04/11	2	467.0300	934.06	688.1620	1,376.32	442.26		
		08/05/11	4	521.0500	2,084.20	688.1620	2,752.65	668.45		
		08/10/11	5	511.6300	2,558.15	688.1620	3,440.81	882.66		
		10/28/11	5	522.2400	2,611.20	688.1620	3,440.81	829.61		
		01/20/12	8	516.8100	4,134.48	688.1620	5,505.30	1,370.82		
		05/10/12	8	684.9000	5,479.20	688.1620	5,505.30	26.10		
		08/30/12	4	608.3000	2,425.20	688.1620	2,752.65	327.45		
		09/06/12	5	608.0300	3,040.15	688.1620	3,440.81	400.66		
		09/07/12	5	619.8200	3,099.10	688.1620	3,440.81	341.71		
		09/17/12	10	644.0800	6,440.80	688.1620	6,881.62	440.82		
		11/27/12	110	642.4427	70,668.70	688.1620	75,697.82	5,029.12		
		11/28/12	50	639.2200	31,961.00	688.1620	34,408.10	2,447.10		
Subtotal			216		135,436.24		148,643.00	13,206.76		

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
PRICESMART INC	PSMT	01/06/12	40	60.7700	2,430.80	77.8300	3,113.20	682.40		24 .77
		08/03/12	12	70.8100	849.72	77.8300	933.96	84.24		8 .77
Subtotal			52		3,280.52		4,047.16	766.64		32 .77
PRIMERICA INC COM	PRI	03/25/13	38	32.6102	1,239.19	32.7800	1,245.64	6.45		17 1.34
PRIMORIS SERVICES CORP	PRIM	12/05/12	41	14.6643	601.24	22.1100	906.51	305.27		5 .54
		12/18/12	17	14.7600	250.92	22.1100	375.87	124.95		3 .54
		01/07/13	11	15.7500	173.25	22.1100	243.21	69.96		2 .54
Subtotal			69		1,025.41		1,525.59	500.18		10 .54
PROASSURANCE CORP	PRA	11/27/12	20	44.3300	886.60	47.3300	946.60	60.00		20 2.11
		11/28/12	20	44.1750	883.50	47.3300	946.60	63.10		20 2.11
Subtotal			40		1,770.10		1,893.20	123.10		40 2.11
PROCERA NETWORKS INC SHS	PKT	02/22/13	29	17.3410	502.89	11.8900	344.81	(158.08)		
		02/25/13	17	17.7029	300.95	11.8900	202.13	(98.82)		
Subtotal			46		803.84		546.94	(256.90)		
PROCTER & GAMBLE CO	PG	06/19/12	246	62.5600	15,389.76	77.0600	18,956.76	3,567.00		554 2.91
		06/20/12	136	60.1500	8,180.40	77.0600	10,480.16	2,299.76		306 2.91
		06/20/12	184	60.1500	9,884.60	77.0600	12,637.84	2,773.24		369 2.91
Subtotal			546		33,434.76		42,074.76	8,640.00		1,229 2.91
PROGRESSIVE CRP OHIO	PGR	03/20/12	68	22.8100	1,551.08	25.2700	1,718.36	167.28		20 1.12
		03/22/12	60	22.1178	1,327.07	25.2700	1,516.20	189.13		18 1.12
		07/12/12	150	19.5700	2,935.50	25.2700	3,790.50	855.00		43 1.12
		12/13/12	19	21.2473	403.70	25.2700	480.13	76.43		6 1.12
		12/26/12	75	21.2782	1,595.87	25.2700	1,895.25	299.38		22 1.12
Subtotal			372		7,813.22		9,400.44	1,587.22		109 1.12
PROTECTIVE LIFE CORP COM	PL	11/27/12	50	26.5500	1,327.50	35.8000	1,790.00	462.50		36 2.01
		11/28/12	20	28.5895	531.79	35.8000	716.00	184.21		15 2.01
Subtotal			70		1,859.29		2,506.00	646.71		51 2.01

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual	Estimated Current Income	Yield%
PRUDENTIAL FINANCIAL INC	PRU	11/09/11	365	52.1100	19,020.15	58.9900	21,531.35	2,511.20	584	2.71
		04/11/12	81	60.3300	4,886.73	58.9900	4,778.19	(108.54)	130	2.71
		06/20/12	114	48.5000	5,529.00	58.9900	6,724.86	1,195.86	183	2.71
		02/07/13	173	56.4364	9,763.51	58.9900	10,205.27	441.76	277	2.71
Subtotal			733		39,199.39		43,239.67	4,040.28	1,174	2.71
PRUDENTIAL PLC ADR	PUK	01/20/11	170	21.3300	3,626.10	32.3600	5,501.20	1,875.10	153	2.77
		03/01/11	460	22.5200	10,359.20	32.3600	14,885.60	4,526.40	414	2.77
		03/04/11	871	23.0700	20,093.97	32.3600	28,185.56	8,091.59	784	2.77
		04/11/12	583	22.9900	12,943.37	32.3600	18,218.68	5,275.31	507	2.77
		03/13/13	75	33.4412	2,508.09	32.3600	2,427.00	(81.09)	68	2.77
Subtotal			2,139		49,530.73		69,218.04	19,687.31	1,926	2.77
PT SEMEN INDONESIA (PERSERO) TBK SHS UNSP ADR	PSGT	03/04/11	600	20.0000	12,000.00	36.6400	21,984.00	9,984.00	305	1.38
		11/28/12	10	30.3000	303.00	36.6400	366.40	63.40	6	1.38
Subtotal			610		12,303.00		22,350.40	10,047.40	311	1.38
PT UNITED TRACTORS TBK SHS	PUTKY	01/20/11	59	48.0000	2,832.00	37.6500	2,221.35	(610.65)	61	2.70
		03/04/11	166	53.5900	8,895.94	37.6500	6,249.90	(2,646.04)	169	2.70
		07/20/12	273	46.0000	12,558.00	37.6500	10,278.45	(2,279.55)	278	2.70
		08/31/12	102	42.9400	4,379.88	37.6500	3,840.30	(539.58)	104	2.70
		11/28/12	30	36.5000	1,095.00	37.6500	1,129.50	34.50	31	2.70
Subtotal			630		29,760.82		23,719.50	(6,041.32)	643	2.70
PTC INC SHS	PMTIC	12/28/12	39	22.6551	883.55	25.4900	994.11	110.56		
		12/31/12	16	22.6875	363.00	25.4900	407.84	44.84		
		01/04/13	44	23.1452	1,018.39	25.4900	1,121.56	103.17		
		01/07/13	13	23.1515	300.97	25.4900	331.37	30.40		
Subtotal			112		2,565.91		2,854.88	288.97		

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
PTT EXPLRTN&PR-SP ADR	PEXXY	07/27/11	730	12.5600	9,168.80	10.2800	7,504.40	(1,664.40)	149	1.98
		08/26/11	20	11.1500	223.00	10.2800	205.60	(17.40)	5	1.98
		09/07/11	936	11.1500	10,436.40	10.2800	9,622.08	(814.32)	191	1.98
		11/28/12	40	10.1700	406.80	10.2800	411.20	4.40	9	1.98
Subtotal			1,726		20,235.00		17,743.28	(2,491.72)	354	1.98
QLIK TECHNOLOGIES INC	QLIK	11/27/12	100	18.8100	1,881.00	25.8300	2,583.00	702.00		
		11/28/12	30	19.1300	573.90	25.8300	774.90	201.00		
Subtotal			130		2,454.90		3,357.90	903.00		
QUAKER CHEMICAL CORP	KWR	11/27/12	20	49.1100	982.20	59.0200	1,180.40	198.20	20	1.66
		11/28/12	10	48.3500	483.50	59.0200	590.20	106.70	10	1.66
Subtotal			30		1,465.70		1,770.60	304.90	30	1.66
QUALCOMM INC	QCOM	01/20/11	52	48.0900	2,500.68	66.9400	3,480.88	980.20	52	1.49
		03/04/11	51	57.9800	2,956.98	66.9400	3,413.94	456.96	51	1.49
		03/04/11	134	57.9800	7,769.32	68.9400	8,969.96	1,200.64	134	1.49
		08/10/11	27	48.0900	1,298.43	66.9400	1,807.38	508.95	27	1.49
		08/10/11	73	48.0900	3,510.57	66.9400	4,886.62	1,376.05	73	1.49
		05/30/12	13	57.6200	749.06	66.9400	870.22	121.16	13	1.49
		05/30/12	37	57.6200	2,131.94	66.9400	2,476.78	344.84	37	1.49
		06/27/12	74	54.9900	4,069.26	66.9400	4,953.56	884.30	74	1.49
		06/27/12	196	54.9900	10,778.04	66.9400	13,120.24	2,342.20	196	1.49
		11/27/12	2,110	62.5199	131,916.99	66.9400	141,243.40	9,326.41	2,111	1.49
		11/28/12	880	62.0298	54,586.31	66.9400	58,907.20	4,320.89	881	1.49
Subtotal			3,647		222,267.58		244,130.18	21,862.60	3,649	1.49
QUESTOR PHARMACEUTICALS	QCOR	06/01/12	22	45.7122	1,005.67	32.5400	715.88	(289.79)	22	3.07
		06/01/12	20	45.7115	914.23	32.5400	650.80	(263.43)	20	3.07
		10/02/12	65	19.5700	1,272.05	32.5400	2,115.10	843.05	65	3.07
		10/10/12	145	20.1600	2,923.20	32.5400	4,718.30	1,795.10	145	3.07
		02/05/13	41	26.7343	1,096.11	32.5400	1,334.14	238.03	41	3.07
Subtotal			293		7,211.26		9,534.22	2,322.96	293	3.07

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RICHARD L KAY TEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

Equities (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual	Estimated Current Income Yield%
RADIAN GROUP INC	RDN	02/27/13	73	8.4200	614.66	10.7100	781.83	167.17	1 .09
Subtotal		03/11/13	20	9.5830	191.66	10.7100	214.20	22.54	1 .09
			93		806.32		996.03	189.71	2 .09
RALPH LAUREN CORP	RL	09/15/11	36	146.0800	5,258.88	169.3100	6,095.16	836.28	58 .94
		09/07/12	13	160.8200	2,090.66	169.3100	2,201.03	110.37	21 .94
		09/11/12	20	154.9800	3,099.60	169.3100	3,386.20	286.60	32 .94
		10/12/12	19	155.7400	2,959.06	169.3100	3,216.89	257.83	31 .94
		12/07/12	21	154.1680	3,237.53	169.3100	3,555.51	317.98	34 .94
Subtotal			109		16,645.73		18,454.79	1,809.06	176 .94
RANGE RESOURCES CORP DEL	RRC	06/04/12	11	53.2300	585.53	81.0400	891.44	305.91	2 .19
		06/25/12	16	57.8900	926.24	81.0400	1,296.64	370.40	3 .19
		06/25/12	34	57.8900	1,968.26	81.0400	2,755.36	787.10	6 .19
		06/28/12	19	63.0400	1,197.76	81.0400	1,539.76	342.00	4 .19
		06/28/12	41	63.0400	2,584.64	81.0400	3,322.64	738.00	7 .19
		02/06/13	65	70.9670	4,612.86	81.0400	5,267.60	654.74	11 .19
Subtotal			186		11,875.29		15,073.44	3,198.15	33 .19
RED ELECTRIC CORP UNSPN	RDEI	11/27/12	334	9.4100	3,142.94	10.1090	3,376.41	233.47	135 3.98
ADR		11/28/12	140	9.2800	1,299.20	10.1090	1,415.26	116.06	57 3.98
		01/22/13	102	11.1687	1,139.21	10.1090	1,031.12	(108.09)	42 3.98
Subtotal			576		5,581.35		5,822.79	241.44	234 3.98
REED ELSEVIER N V	ENL	12/22/10	417	24.1300	10,062.21	33.9900	14,173.83	4,111.62	426 3.00
		01/20/11	540	26.0100	14,045.40	33.9900	18,354.60	4,309.20	551 3.00
		03/04/11	621	26.3500	16,363.35	33.9900	21,107.79	4,744.44	634 3.00
		08/16/11	42	22.8400	959.28	33.9900	1,427.58	468.30	43 3.00
		04/11/12	446	24.2400	10,811.04	33.9900	15,159.54	4,348.50	455 3.00
Subtotal			2,066		52,241.28		70,223.34	17,982.06	2,109 3.00
REED ELSEVIER P L C	RUK	11/27/12	93	40.0898	3,728.36	47.5300	4,420.29	691.93	131 2.94
SPONSORED ADR NEW		11/28/12	30	40.5700	1,217.10	47.5300	1,425.90	208.80	42 2.94
Subtotal			123		4,945.46		5,846.19	900.73	173 2.94

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
REGAL BELOIT CORP WIS	RBC	11/27/12	15	68.9300	1,033.95	81.5600	1,223.40	189.45	12	.93
		11/28/12	20	67.8400	1,352.80	81.5600	1,631.20	278.40	16	.93
		11/30/12	11	69.5309	764.84	81.5600	897.16	132.32	9	.93
		01/07/13	3	73.3400	220.02	81.5600	244.68	24.66	3	.93
		01/14/13	4	73.5575	294.23	81.5600	326.24	32.01	4	.93
		01/14/13	3	73.6200	220.86	81.5600	244.68	23.82	3	.93
Subtotal			56		3,886.70		4,567.36	680.66	47	.93
REGIONS FINL CORP	RF	10/26/12	1,207	6.5500	7,905.85	8.1900	9,885.33	1,979.48	49	.48
		10/31/12	293	6.4700	1,895.71	8.1900	2,399.67	503.96	12	.48
		11/02/12	2,785	6.7200	18,715.20	8.1900	22,809.15	4,093.95	112	.48
Subtotal			4,285		28,516.76		35,094.15	6,577.39	173	.48
REGIS CORP MINN	RGS	11/27/12	100	16.4973	1,649.73	18.1900	1,819.00	169.27	24	1.31
		11/28/12	20	16.5000	330.00	18.1900	363.80	33.80	5	1.31
Subtotal			120		1,979.73		2,182.80	203.07	29	1.31
RESTORATION HARDWARE HOLDINGS	RH	12/13/12	15	33.6420	504.63	35.0000	525.00	20.37		
		01/23/13	10	35.4500	354.50	35.0000	350.00	(4.50)		
Subtotal			25		859.13		875.00	15.87		
REXAM PLC SHS ADR	REXMY	11/27/12	188	35.0305	6,585.74	40.2000	7,557.60	971.86	239	3.16
		11/28/12	81	34.7195	2,812.28	40.2000	3,256.20	443.92	103	3.16
Subtotal			269		9,398.02		10,813.80	1,415.78	342	3.16
REYNOLDS AMERICAN INC	RAI	02/28/11	72	34.1900	2,461.68	44.4900	3,203.28	741.60	170	5.30
		03/01/11	390	34.1000	13,299.00	44.4900	17,351.10	4,052.10	921	5.30
		03/04/11	238	34.7000	8,258.60	44.4900	10,588.62	2,330.02	562	5.30
Subtotal			700		24,019.28		31,143.00	7,123.72	1,653	5.30

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual	Estimated Current Income	Yield%
RIO TINTO PLC SPNSRD ADR	RIO	10/11/11	385	51.0400	19,650.40	47.0800	18,125.80	(1,524.60)	645	3.55
		12/22/11	645	49.1400	31,695.30	47.0800	30,366.60	(1,328.70)	1,080	3.55
		07/20/12	190	45.2400	8,595.60	47.0800	8,945.20	349.60	318	3.55
		11/28/12	180	46.9538	8,451.70	47.0800	8,474.40	22.70	302	3.55
		12/18/12	113	58.1415	6,569.99	47.0800	5,320.04	(1,249.95)	190	3.55
Subtotal			1,513		74,962.99		71,232.04	(3,730.95)	2,535	3.55
RITCHIE BROS AUCTIONEERS	RBA	11/27/12	80	22.0300	1,762.40	21.7000	1,736.00	(26.40)	40	2.25
		11/28/12	20	22.0200	440.40	21.7000	434.00	(6.40)	10	2.25
Subtotal			100		2,202.80		2,170.00	(32.80)	50	2.25
RIVERBED TECHNOLOGY INC COM	RVB	11/27/12	110	18.1523	1,996.76	14.9200	1,641.20	(355.56)		
		11/28/12	30	17.8900	536.70	14.9200	447.60	(89.10)		
		N/A	13	N/A	N/A	14.9200	193.96	N/A		
Subtotal			153		2,533.46		2,282.76	(444.66)		
RLI CORP ILLINOIS COM	RLI	07/20/12	9	63.4100	570.69	71.8500	646.65	75.96	12	1.78
ROCHE HLDG LTD SPN ADR	RHHBY	01/20/11	33	36.5100	1,204.83	58.6000	1,933.80	728.97	56	2.89
		03/04/11	2	36.0700	72.14	58.6000	117.20	45.06	4	2.89
		03/04/11	524	36.0700	18,900.68	58.6000	30,706.40	11,805.72	888	2.89
		03/04/11	648	36.0700	23,373.36	58.6000	37,972.80	14,599.44	1,098	2.89
		04/11/12	166	42.0500	6,980.30	58.6000	9,727.60	2,747.30	282	2.89
		04/11/12	206	42.0500	8,662.30	58.6000	12,071.60	3,409.30	349	2.89
Subtotal			1,579		59,193.61		92,529.40	33,335.79	2,677	2.89
ROCKWELL AUTOMATION INC	ROK	10/26/12	360	69.0700	24,865.20	86.3500	31,086.00	6,220.80	677	2.17
ROSS STORES INC COM	ROST	07/05/12	26	67.2900	1,749.54	60.6200	1,576.12	(173.42)	18	1.12
		08/16/12	92	67.9800	6,254.16	60.6200	5,577.04	(677.12)	63	1.12
		10/26/12	52	60.9600	3,169.92	60.6200	3,152.24	(17.68)	36	1.12
		11/28/12	50	57.0500	2,852.50	60.6200	3,031.00	178.50	34	1.12
Subtotal			220		14,026.12		13,336.40	(689.72)	151	1.12

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
ROYAL DUTCH SHEL PLC	RDSB	12/22/10	195	65.4300	12,758.85	66.8200	13,029.90	271.05	671	5.14
SPONS ADR B		01/20/11	419	67.7700	28,395.63	66.8200	27,997.58	(398.05)	1,442	5.14
		03/01/11	122	70.6500	8,619.30	66.8200	8,152.04	(467.26)	420	5.14
		03/04/11	379	71.5000	27,098.50	66.8200	25,324.78	(1,773.72)	1,304	5.14
		11/28/12	240	68.4600	16,430.40	66.8200	16,036.80	(393.60)	826	5.14
		01/22/13	137	72.4054	9,919.54	66.8200	9,154.34	(765.20)	472	5.14
Subtotal			1,492		103,222.22		99,695.44	(3,526.78)	5,135	5.14
ROYAL DUTCH SHEL PLC	RDSA	05/17/11	32	69.3600	2,219.52	65.1600	2,085.12	(134.40)	94	4.48
SPONS ADR A		05/17/11	180	69.3600	12,484.80	65.1600	11,728.80	(756.00)	527	4.48
		05/17/11	339	69.3600	23,513.04	65.1600	22,089.24	(1,423.80)	992	4.48
		05/25/11	102	68.6900	7,006.38	65.1600	6,646.32	(360.06)	299	4.48
		05/25/11	153	68.6900	10,509.57	65.1600	9,969.48	(540.09)	448	4.48
		04/11/12	66	67.8300	4,476.78	65.1600	4,300.56	(176.22)	193	4.48
		04/11/12	101	67.8300	6,850.83	65.1600	6,581.16	(269.67)	296	4.48
		11/28/12	200	66.2450	13,249.00	65.1600	13,032.00	(217.00)	585	4.48
Subtotal			1,173		80,309.92		76,432.68	(3,877.24)	3,434	4.48
RTI INTL METALS INC OHIO	RTI	11/27/12	50	24.5600	1,228.00	31.6900	1,584.50	356.50		
		11/28/12	10	24.7100	247.10	31.6900	316.90	69.80		
		03/07/13	28	31.4589	880.85	31.6900	887.32	6.47		
Subtotal			88		2,355.95		2,788.72	432.77		
RYANAIR HLDGS PLC	RYAAY	11/27/12	137	34.5549	4,734.03	41.7800	5,723.86	989.83		
SP ADR		11/28/12	60	34.5000	2,070.00	41.7800	2,506.80	436.80		
Subtotal			197		6,804.03		8,230.66	1,426.63		
RYDER SYSTEM INC	R	01/09/13	10	52.0430	520.43	59.7500	597.50	77.07	13	2.07
		01/23/13	5	55.3480	276.74	59.7500	298.75	22.01	7	2.07
Subtotal			15		797.17		896.25	99.08	20	2.07
RYLAND GROUP INC	RYL	11/27/12	80	34.4900	2,759.20	41.6200	3,329.60	570.40	10	.28
		11/28/12	20	33.1000	662.00	41.6200	832.40	170.40	3	.28
Subtotal			100		3,421.20		4,162.00	740.80	13	.28

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual	Estimated Current Income Yield%
SABMiller PLC SPON ADR	SBMRY	02/06/13	152	49.7121	7,556.25	52.8900	8,039.28	483.03	138 1.71
SAFRAN SA-UNSPON ADR	SAFRY	11/27/12	61	39.7800	2,426.58	44.5000	2,714.50	287.92	41 1.49
		11/28/12	30	39.7200	1,191.60	44.5000	1,335.00	143.40	20 1.49
Subtotal			91		3,618.18		4,049.50	431.32	61 1.49
SALESFORCE COM INC	CRM	03/04/11	4	129.5800	518.32	178.8300	715.32	197.00	
		03/30/11	26	131.3300	3,414.58	178.8300	4,649.58	1,235.00	
		04/12/11	27	132.7800	3,585.06	178.8300	4,828.41	1,243.35	
		08/16/11	3	132.3400	397.02	178.8300	536.49	139.47	
		10/06/11	46	120.0000	5,520.00	178.8300	8,226.18	2,706.18	
		01/20/12	8	114.3000	914.40	178.8300	1,430.64	516.24	
		05/18/12	40	146.2000	5,848.00	178.8300	7,153.20	1,305.20	
		06/15/12	22	132.4200	2,913.24	178.8300	3,934.26	1,021.02	
		06/19/12	12	139.6500	1,675.80	178.8300	2,145.96	470.16	
		11/27/12	630	158.4000	99,792.00	178.8300	112,662.90	12,870.90	
		11/28/12	240	156.3557	37,525.39	178.8300	42,919.20	5,393.81	
Subtotal			1,058		162,103.81		189,202.14	27,098.33	
SALIX PHARMCTCLS LTD COM	SLXP	07/24/12	22	51.6700	1,136.74	51.1800	1,125.96	(10.78)	
		08/01/12	43	44.9500	1,932.85	51.1800	2,200.74	267.89	
		01/28/13	187	47.2759	8,840.61	51.1800	9,570.66	730.05	
		01/31/13	72	47.9498	3,452.39	51.1800	3,684.96	232.57	
Subtotal			324		15,362.59		16,582.32	1,219.73	
SAMPO PLC SHS	SAPY	11/27/12	345	15.8500	5,468.25	19.2600	6,644.70	1,176.45	248 3.71
		11/28/12	130	15.8000	2,054.00	19.2600	2,503.80	449.80	94 3.71
Subtotal			475		7,522.25		9,148.50	1,626.25	342 3.71
SANDS CHINA LTD UNSP ADR	SCHY	11/27/12	83	43.0800	3,575.64	52.2100	4,333.43	757.79	126 2.89
		11/28/12	40	43.2500	1,730.00	52.2100	2,088.40	358.40	61 2.89
		03/20/13	77	48.6179	3,743.58	52.2100	4,020.17	276.59	117 2.89
Subtotal			200		9,049.22		10,442.00	1,392.78	304 2.89

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
SANDVIK AB ADR	SDVXY	11/27/12	298	14.5900	4,347.82	15.4400	4,601.12	253.30	250	5.41
Subtotal		11/28/12	120	14.5900	1,750.80	15.4400	1,852.80	102.00	101	5.41
			418		6,098.62		6,453.92	355.30	351	5.41
SANLAM LTD SP ADR	SLLDY	12/22/10	188	20.6700	3,472.56	25.5900	4,299.12	826.56	133	3.08
		01/20/11	495	19.4900	9,647.55	25.5900	12,667.05	3,019.50	391	3.08
		03/04/11	447	19.9900	8,935.53	25.5900	11,438.73	2,503.20	353	3.08
		08/15/11	50	18.6800	934.00	25.5900	1,279.50	345.50	40	3.08
		08/16/11	50	18.1500	907.50	25.5900	1,279.50	372.00	40	3.08
		11/28/12	290	23.1800	6,722.20	25.5900	7,421.10	698.90	229	3.08
Subtotal			1,500		30,619.34		38,385.00	7,765.66	1,186	3.08
SANOFI ADR	SNY	06/21/12	630	36.6700	23,102.10	51.0800	32,180.40	9,078.30	821	2.55
		07/16/12	415	37.3700	15,508.55	51.0800	21,198.20	5,689.65	541	2.55
		11/27/12	180	44.4217	7,995.91	51.0800	9,194.40	1,198.49	235	2.55
		11/28/12	660	44.3053	29,241.50	51.0800	33,712.80	4,471.30	860	2.55
		01/22/13	69	47.8524	3,288.02	51.0800	3,524.52	236.50	90	2.55
		02/14/13	134	48.4537	6,492.80	51.0800	6,844.72	351.92	175	2.55
		03/11/13	45	49.2802	2,217.61	51.0800	2,298.60	80.99	59	2.55
Subtotal			2,133		87,846.49		108,953.64	21,107.15	2,781	2.55
SAP AG SHS	SAP	04/11/12	32	66.0300	2,112.96	80.5400	2,577.28	464.32	22	.83
		05/04/12	296	63.6400	18,837.44	80.5400	23,839.84	5,002.40	200	.83
Subtotal			328		20,950.40		26,417.12	5,466.72	222	.83
SBA COMMUNICATIONS CRP A	SBAC	06/25/12	108	55.2000	5,961.60	72.0000	7,776.00	1,814.40		
		06/26/12	52	56.0700	2,915.64	72.0000	3,744.00	828.36		
		06/27/12	50	57.5100	2,875.50	72.0000	3,600.00	724.50		
		11/28/12	40	67.1800	2,687.20	72.0000	2,880.00	192.80		
Subtotal			250		14,439.94		18,000.00	3,560.06		

RICHARD L KAY TEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual	Estimated Current Income Yield%
SBERBANK SPONSORED ADR	SBRCY	08/08/11	617	12.4900	7,706.33	12.7500	7,866.75	160.42	120 151
		09/06/11	275	10.9600	3,014.00	12.7500	3,506.25	492.25	54 151
		11/17/11	1,140	10.5800	12,061.20	12.7500	14,535.00	2,473.80	221 151
		07/20/12	422	11.2800	4,760.16	12.7500	5,380.50	620.34	82 151
		07/27/12	601	11.1000	6,671.10	12.7500	7,662.75	991.65	116 151
		08/29/12	635	11.8500	7,524.75	12.7500	8,096.25	571.50	123 151
		11/27/12	320	11.5100	3,683.20	12.7500	4,080.00	396.80	62 151
		11/28/12	1,640	11.3400	18,597.60	12.7500	20,910.00	2,312.40	317 151
			5,650		64,018.34		72,037.50	8,019.16	1,095 151
Subtotal									
SCHLUMBERGER LTD	SLB	01/20/11	231	84.7500	19,577.25	74.8900	17,299.59	(2,277.66)	289 166
		01/20/11	2	86.1000	172.20	74.8900	149.78	(22.42)	3 166
		01/20/11	2	86.1000	172.20	74.8900	149.78	(22.42)	3 166
		01/20/11	2	86.0950	172.19	74.8900	149.78	(22.41)	3 166
		01/20/11	3	86.0968	258.29	74.8900	224.67	(33.62)	4 166
		01/20/11	7	86.0957	602.67	74.8900	524.23	(78.44)	9 166
		01/20/11	7	86.0957	602.67	74.8900	524.23	(78.44)	9 166
		01/20/11	9	86.0955	774.86	74.8900	674.01	(100.85)	12 166
		01/20/11	12	86.0950	1,033.14	74.8900	898.68	(134.46)	15 166
		01/20/11	16	86.0943	1,377.51	74.8900	1,198.24	(179.27)	20 166
		03/04/11	21	90.8000	1,906.80	74.8900	1,572.69	(334.11)	27 166
		03/04/11	24	90.8000	2,179.20	74.8900	1,797.36	(381.84)	30 166
		03/04/11	275	90.8000	24,970.00	74.8900	20,594.75	(4,375.25)	344 166
		05/11/11	12	82.1400	985.68	74.8900	898.68	(87.00)	15 166
		05/11/11	76	82.1400	6,242.64	74.8900	5,691.64	(551.00)	95 166
		10/13/11	5	67.4600	337.30	74.8900	374.45	37.15	7 166
		10/13/11	36	67.4600	2,428.56	74.8900	2,696.04	267.48	45 166
		04/11/12	17	68.2700	1,160.59	74.8900	1,273.13	112.54	22 166
		04/11/12	109	68.2700	7,441.43	74.8900	8,163.01	721.58	137 166
		05/10/12	58	70.6800	4,099.44	74.8900	4,343.62	244.18	73 166
		05/10/12	351	70.6800	24,808.68	74.8900	26,286.39	1,477.71	439 166
		07/06/12	33	65.5700	2,163.81	74.8900	2,471.37	307.56	42 166

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
SCHLUMBERGER LTD	SLB	07/06/12	45	65.5700	2,950.65	74.8900	3,370.05	419.40	57	1.66
		07/06/12	86	65.5700	5,639.02	74.8900	6,440.54	801.52	108	1.66
		07/06/12	156	65.5700	10,228.92	74.8900	11,682.84	1,453.92	195	1.66
		08/09/12	40	74.2500	2,970.00	74.8900	2,995.60	25.60	50	1.66
		08/10/12	80	74.6600	5,972.80	74.8900	5,991.20	18.40	100	1.66
		08/16/12	80	74.9900	5,999.20	74.8900	5,991.20	(8.00)	100	1.66
		10/25/12	8	71.1000	568.80	74.8900	599.12	30.32	10	1.66
		10/25/12	67	71.1000	4,763.70	74.8900	5,017.63	253.93	84	1.66
Subtotal			1,870		142,560.20		140,044.30	(2,515.90)	2,347	1.66
SCHNEIDER ELEC SA ADR	SBGSY	03/04/11	443	16.8000	7,442.40	14.6500	6,489.95	(952.45)	147	2.25
		03/24/11	110	16.9197	1,861.17	14.6500	1,611.50	(249.67)	37	2.25
		04/11/12	478	11.9400	5,707.32	14.6500	7,002.70	1,295.38	158	2.25
		07/20/12	793	10.5000	8,326.50	14.6500	11,617.45	3,290.95	262	2.25
		01/23/13	126	14.7794	1,862.21	14.6500	1,845.90	(16.31)	42	2.25
Subtotal			1,950		25,199.60		28,567.50	3,367.90	646	2.25
SCHNITZER STEEL INDS A	SCHN	11/27/12	100	27.3180	2,731.80	26.6700	2,667.00	(64.80)	75	2.81
		11/28/12	20	27.3400	546.80	26.6700	533.40	(13.40)	15	2.81
Subtotal			120		3,278.60		3,200.40	(78.20)	90	2.81
SCHWAB CHARLES CORP NEW	SCHW	11/27/12	3,440	13.0569	44,915.74	17.6900	60,853.60	15,937.86	826	1.35
		11/28/12	970	13.1098	12,716.60	17.6900	17,159.30	4,442.70	233	1.35
Subtotal			4,410		57,632.34		78,012.90	20,380.56	1,059	1.35
SCHWEITZER MAUDIT INTL	SWM	11/27/12	80	36.8600	2,948.80	38.7300	3,098.40	149.60	96	3.09
		11/28/12	30	36.6500	1,099.50	38.7300	1,161.90	62.40	36	3.09
Subtotal			110		4,048.30		4,260.30	212.00	132	3.09

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

Equities (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual	Estimated Current Income	Yield%
SEALED AIR CORP (NEW)	SEE	11/02/12	182	16.3600	2,977.52	24.1100	4,388.02	1,410.50	95	2.15
		11/28/12	10	16.9600	169.60	24.1100	241.10	71.50	6	2.15
		12/04/12	90	16.4067	1,476.61	24.1100	2,169.90	693.29	47	2.15
		01/09/13	12	18.2075	218.49	24.1100	289.32	70.83	7	2.15
		01/09/13	14	18.1692	254.37	24.1100	337.54	83.17	8	2.15
		01/10/13	17	18.2411	310.10	24.1100	409.87	99.77	9	2.15
Subtotal			325		5,406.69		7,835.75	2,429.06	172	2.15
SEATTLE GENETICS INC COM	SGEN	11/27/12	39	25.5800	997.62	35.5050	1,384.70	387.08		
		11/28/12	10	24.8800	248.80	35.5050	355.05	106.25		
		01/15/13	39	29.1841	1,138.18	35.5050	1,384.70	246.52		
Subtotal			88		2,384.60		3,124.45	739.85		
SECOM CO LTD ADR	SOMLY	11/27/12	1,200	12.5400	15,048.00	12.8400	15,408.00	360.00	250	1.61
		11/28/12	340	12.5500	4,267.00	12.8400	4,365.60	98.60	71	1.61
		01/23/13	851	12.2892	10,458.11	12.8400	10,926.84	468.73	177	1.61
Subtotal			2,391		29,773.11		30,700.44	927.33	498	1.61
SEGA SAMMY HOLDINGS INC ADR	SGAMY	11/27/12	1,750	4.1300	7,227.50	5.0200	8,785.00	1,557.50	174	1.97
		11/28/12	550	4.1500	2,282.50	5.0200	2,761.00	478.50	55	1.97
		01/23/13	1,174	4.3090	5,058.88	5.0200	5,893.48	834.60	117	1.97
Subtotal			3,474		14,568.88		17,439.48	2,870.60	346	1.97
SEMITECH CORPORATION	SMTC	11/27/12	260	24.9926	6,498.08	35.4000	9,204.00	2,705.92		
		11/28/12	90	24.8900	2,240.10	35.4000	3,186.00	945.90		
Subtotal			350		8,738.18		12,390.00	3,651.82		
SENSIENT TECHNOLOGY CORP	SXT	11/27/12	60	36.0100	2,160.60	39.0900	2,345.40	184.80	53	2.25
		11/28/12	10	35.4400	354.40	39.0900	390.90	36.50	9	2.25
Subtotal			70		2,515.00		2,736.30	221.30	62	2.25
SERVICE CORP INTL	SCI	11/27/12	210	13.9628	2,932.19	16.7300	3,513.30	581.11	51	1.43
		11/28/12	70	13.8100	966.70	16.7300	1,171.10	204.40	17	1.43
Subtotal			280		3,898.89		4,684.40	785.51	68	1.43

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
SEVEN AND I HOLDINGS CO LTD SHS	SVNDY	11/27/12	230	57.5700	13,241.10	66.0400	15,189.20	1,948.10	330	2.16
		11/28/12	70	57.4500	4,021.50	66.0400	4,622.80	601.30	101	2.16
		12/17/12	10	60.5950	605.95	66.0400	660.40	54.45	15	2.16
		01/23/13	43	59.8244	2,572.45	66.0400	2,839.72	267.27	62	2.16
		03/18/13	48	62.3058	2,990.68	66.0400	3,169.92	179.24	69	2.16
Subtotal			401		23,431.68		26,482.04	3,050.36	577	2.16
SGS SA ADR	SGSOY	11/27/12	344	21.8400	7,512.96	24.5900	8,458.96	946.00	58	.67
		11/28/12	150	21.9000	3,285.00	24.5900	3,688.50	403.50	26	.67
Subtotal			494		10,797.96		12,147.46	1,349.50	84	.67
SHFL ENTERTAINMENT INC	SHFL	11/27/12	200	13.7950	2,759.00	16.5700	3,314.00	555.00		
		11/28/12	70	13.5295	947.07	16.5700	1,159.90	212.83		
Subtotal			270		3,706.07		4,473.90	767.83		
SHINETSU CHEM-UNSPON	SHECY	11/27/12	640	14.6100	9,350.40	16.5000	10,560.00	1,209.60	164	1.54
		11/28/12	200	14.5000	2,900.00	16.5000	3,300.00	400.00	51	1.54
		01/23/13	461	15.1911	7,003.10	16.5000	7,606.50	603.40	118	1.54
Subtotal			1,301		19,253.50		21,466.50	2,213.00	333	1.54
SHINHAN FINL GRP SP ADR	SHG	12/22/10	291	45.2500	13,167.75	35.9000	10,446.90	(2,720.85)	147	1.40
		01/20/11	306	46.0400	14,088.24	35.9000	10,985.40	(3,102.84)	155	1.40
		02/28/11	34	42.3900	1,441.26	35.9000	1,220.60	(220.66)	18	1.40
		03/04/11	172	44.5700	7,666.04	35.9000	6,174.80	(1,491.24)	87	1.40
		04/29/11	68	48.6200	3,306.16	35.9000	2,441.20	(864.96)	35	1.40
		08/29/12	230	32.4000	7,452.00	35.9000	8,257.00	805.00	117	1.40
		11/28/12	130	30.9563	4,024.32	35.9000	4,667.00	642.68	66	1.40
Subtotal			1,231		51,145.77		44,192.90	(6,952.87)	625	1.40
SHISEIDO LTD SPONSRD ADR	SSDOY	11/27/12	710	14.1000	10,011.00	13.9500	9,904.50	(106.50)	374	3.77
		11/28/12	200	14.1000	2,820.00	13.9500	2,790.00	(30.00)	106	3.77
		01/23/13	532	13.7181	7,298.08	13.9500	7,421.40	123.32	280	3.77
Subtotal			1,442		20,129.08		20,115.90	(13.18)	760	3.77

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual	Estimated Current Income Yield%
Description										
SHOPRITE HOLDINGS LTD (SHP) SHS		SRHGY	01/20/11	225	25.9300	5,834.25	39.6500	8,921.25	3,087.00	178 1.98
			02/28/11	185	28.0100	5,181.85	39.6500	7,335.25	2,153.40	146 1.98
			03/04/11	258	29.2000	7,533.60	39.6500	10,229.70	2,696.10	204 1.98
			08/16/11	27	28.6000	772.20	39.6500	1,070.55	298.35	22 1.98
	Subtotal			695		19,321.90		27,556.75	8,234.85	550 1.98
↑ SIEMENS AG ADR		SI	06/06/11	225	130.3700	29,333.25	107.8000	24,255.00	(5,078.25)	662 2.72
			06/25/11	30	133.1680	3,995.04	107.8000	3,234.00	(761.04)	89 2.72
			04/11/12	33	95.3500	3,146.55	107.8000	3,557.40	410.85	98 2.72
			07/20/12	39	84.1400	3,281.46	107.8000	4,204.20	922.74	115 2.72
			10/18/12	108	102.9500	11,118.60	107.8000	11,642.40	523.80	318 2.72
			11/27/12	30	101.8100	3,054.30	107.8000	3,234.00	179.70	89 2.72
			11/28/12	130	101.7892	13,232.60	107.8000	14,014.00	781.40	383 2.72
			01/22/13	54	112.5555	6,078.00	107.8000	5,821.20	(256.80)	159 2.72
			03/04/13	348	103.3856	35,978.19	107.8000	37,514.40	1,536.21	1,024 2.72
	Subtotal			997		109,217.99		107,476.60	(1,741.39)	2,937 2.72
SILICON LABS INC		SLAB	12/18/12	4	42.7775	171.11	41.3600	165.44	(5.67)	
			12/19/12	5	42.8040	214.02	41.3600	206.80	(7.22)	
			12/19/12	3	42.5700	127.71	41.3600	124.08	(3.63)	
			01/18/13	7	42.2057	295.44	41.3600	289.52	(5.92)	
	Subtotal			6	43.7383	262.43	41.3600	248.16	(14.27)	
			25		1,070.71		1,034.00	(36.71)		
● SILVER BAY RLTY CORP DIVIDEND PENDING 04/24		SBY	N/A	11	N/A	N/A	20.7000	227.70	N/A	1 .19
SILVER WHEATON CORP		SLW	11/27/12	2,166	37.1513	80,469.93	31.3500	67,904.10	(12,565.83)	1,213 1.78
			11/27/12	70	37.4238	2,619.67	31.3500	2,194.50	(425.17)	40 1.78
			11/28/12	710	36.1286	25,651.31	31.3500	22,258.50	(3,392.81)	398 1.78
	Subtotal			2,946		108,740.91		92,357.10	(16,383.81)	1,651 1.78

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
SIRIUS XM RADIO INC	SIRI	10/19/12	2,010	2,9242	5,877.70	3.0800	6,190.80	313.10		
		10/23/12	995	2,8142	2,800.16	3.0800	3,064.60	264.44		
		10/24/12	75	2,8642	214.82	3.0800	231.00	16.18		
		10/26/12	1,110	2,7942	3,101.60	3.0800	3,418.80	317.20		
Subtotal			4,190		11,994.28		12,905.20	910.92		
SIRONA DENTAL SYSTEMS INC	SIRO	11/27/12	60	62.1800	3,730.80	73.7300	4,423.80	693.00		
		11/28/12	20	61.7500	1,235.00	73.7300	1,474.60	239.60		
Subtotal			80		4,965.80		5,898.40	932.60		
SMITH-NPHW PLC SPADR NEW	SNN	01/20/11	179	55.4400	9,923.76	57.7300	10,333.67	409.91		229 2.20
		03/04/11	381	58.0500	22,117.05	57.7300	21,995.13	(121.92)		486 2.20
		04/11/12	106	48.7900	5,171.74	57.7300	6,119.38	947.64		136 2.20
		07/25/12	474	51.0200	24,183.48	57.7300	27,364.02	3,180.54		605 2.20
Subtotal			1,140		61,396.03		65,812.20	4,416.17		1,456 2.20
↓ SOCIEDAD Q&M CHLE SPADR	SQM	11/27/12	61	55.8081	3,404.30	55.4500	3,382.45	(21.85)		62 1.82
		11/28/12	30	55.6900	1,670.70	55.4500	1,663.50	(7.20)		31 1.82
Subtotal			91		5,075.00		5,045.95	(29.05)		93 1.82
SOCIETE GENERAL SPN ADR	SCGLY	12/05/12	8,299	7.3892	61,323.38	6.6200	54,939.38	(6,384.00)		781 1.41
SODEXO ADR	SDXAY	11/27/12	68	79.9900	5,439.32	93.6000	6,364.80	925.48		124 1.94
		11/28/12	30	79.8700	2,396.10	93.6000	2,808.00	411.90		55 1.94
		12/14/12	16	84.9368	1,358.99	93.6000	1,497.60	138.61		30 1.94
Subtotal			114		9,194.41		10,670.40	1,475.99		209 1.94
SOFTBANK CORP SHS	SFTBY	11/27/12	403	19.3900	7,814.17	22.8000	9,188.40	1,374.23		124 1.34
		11/28/12	260	19.2800	5,012.80	22.8000	5,928.00	915.20		80 1.34
Subtotal			663		12,826.97		15,116.40	2,289.43		204 1.34
SOTHEBY'S (DELAWARE)	BID	11/27/12	60	29.2900	1,757.40	37.4100	2,244.60	487.20		24 1.06
		11/28/12	10	28.9500	289.50	37.4100	374.10	84.60		4 1.06
Subtotal			70		2,046.90		2,618.70	571.80		28 1.06
SOURCEFIRE INC	FIRE	02/19/13	32	43.6600	1,397.12	59.2300	1,895.36	498.24		

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
SOUTHWEST AIRLNS CO	LUV	09/25/12	316	8.9100	2,815.56	13.4800	4,259.68	1,444.12	13 .29
SPECTRANETICS CORP COM	SPNC	11/27/12	70	14.4000	1,008.00	18.5300	1,297.10	289.10	
		11/28/12	30	14.1500	424.50	18.5300	555.90	131.40	
Subtotal			100		1,432.50		1,853.00	420.50	
STAGE STORES INC COM NEW	SSI	10/22/12	70	23.8400	1,668.80	25.8800	1,811.80	142.80	28 1.54
STANCORP FINANCL GRP INC	SFG	11/27/12	30	33.7900	1,013.70	42.7600	1,282.80	269.10	28 2.17
		11/28/12	20	33.4100	668.20	42.7600	855.20	187.00	19 2.17
Subtotal			50		1,681.90		2,138.00	456.10	47 2.17
STANLEY BLACK & DECKER INC	SWK	12/18/12	414	74.7578	30,949.73	80.9700	33,521.58	2,571.85	812 2.42
		12/22/12	10	75.1260	751.26	80.9700	809.70	58.44	20 2.42
		01/07/13	98	74.9555	7,345.64	80.9700	7,935.06	589.42	193 2.42
Subtotal			522		39,046.63		42,266.34	3,219.71	1,025 2.42
STAPLES INC	SPLS	07/19/12	485	12.8400	6,227.40	13.4200	6,508.70	281.30	233 3.57
		07/19/12	26	12.2196	317.71	13.4200	348.92	31.21	13 3.57
		07/19/12	14	12.2192	171.07	13.4200	187.88	16.81	7 3.57
		08/16/12	395	11.3800	4,495.10	13.4200	5,300.90	805.80	190 3.57
		08/16/12	1,380	11.3800	15,704.40	13.4200	18,519.60	2,815.20	663 3.57
		08/20/12	70	11.2700	788.90	13.4200	939.40	150.50	34 3.57
		08/20/12	87	11.2700	980.49	13.4200	1,167.54	187.05	42 3.57
		08/20/12	263	11.2700	2,964.01	13.4200	3,529.46	565.45	127 3.57
		08/28/12	48	10.8000	518.40	13.4200	644.16	125.76	24 3.57
		08/28/12	78	10.8000	842.40	13.4200	1,046.76	204.36	38 3.57
		08/28/12	144	10.8000	1,555.20	13.4200	1,932.48	377.28	70 3.57
		09/05/12	280	11.1100	3,110.80	13.4200	3,757.60	646.80	135 3.57
		12/31/12	109	11.1490	1,215.25	13.4200	1,462.78	247.53	53 3.57
Subtotal			3,379		38,891.13		45,346.18	6,455.05	1,629 3.57

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
STARBUCKS CORP	SBUX	07/15/11	131	39.4600	5,169.26	56.9500	7,460.45	2,291.19	111	1.47
		07/28/11	85	40.0000	3,400.00	56.9500	4,840.75	1,440.75	72	1.47
		08/16/11	65	39.0400	2,537.60	56.9500	3,701.75	1,164.15	55	1.47
		10/16/12	78	49.2000	3,837.60	56.9500	4,442.10	604.50	66	1.47
		11/27/12	1,680	50.7598	85,276.63	56.9500	95,676.00	10,399.37	1,412	1.47
		11/28/12	590	50.6970	29,911.23	56.9500	33,600.50	3,689.27	496	1.47
		02/28/13	112	55.0281	6,163.15	56.9500	6,378.40	215.25	95	1.47
		03/06/13	66	57.0913	3,768.03	56.9500	3,758.70	(9.33)	56	1.47
		03/19/13	113	56.2022	6,350.85	56.9500	6,435.35	84.50	95	1.47
Subtotal			2,920		146,414.35		166,294.00	19,879.65	2,458	1.47
STATOIL ASA SHS	STO	04/17/12	642	26.7300	17,160.66	24.6200	15,806.04	(1,354.62)	581	3.67
		04/17/12	1,478	26.7300	39,506.94	24.6200	36,388.36	(3,118.58)	1,337	3.67
		07/31/12	525	23.8500	12,521.25	24.6200	12,925.50	404.25	475	3.67
Subtotal			2,645		69,188.85		65,119.90	(4,068.95)	2,393	3.67
STD BANK GROUP LTD SHS SPONSORE ADR	SGBLY	12/22/10	718	15.6600	11,243.88	13.0700	9,384.26	(1,859.62)	767	8.16
		01/20/11	628	14.8200	9,306.96	13.0700	8,207.96	(1,099.00)	671	8.16
		02/28/11	108	14.3900	1,554.12	13.0700	1,411.56	(142.56)	116	8.16
		03/04/11	500	14.3200	7,160.00	13.0700	6,535.00	(625.00)	534	8.16
		11/28/12	320	12.0300	3,849.60	13.0700	4,182.40	332.80	342	8.16
Subtotal			2,274		33,114.56		29,721.18	(3,393.38)	2,430	8.16
STEIN MART INC COM	SMRT	01/18/13	66	8.0765	533.05	8.3800	553.08	20.03		
STIFEL FINANCIAL CORP	SF	11/27/12	30	31.0600	931.80	34.6700	1,040.10	108.30		
		11/28/12	10	30.5600	305.60	34.6700	346.70	41.10		
		02/06/13	14	37.6364	526.91	34.6700	485.38	(41.53)		
Subtotal			54		1,764.31		1,872.18	107.87		
STURM RUGER&CO INC	RGR	11/27/12	20	56.9100	1,138.20	50.7300	1,014.60	(123.60)	33	3.18
		11/28/12	10	58.2800	582.80	50.7300	507.30	(75.50)	17	3.18
Subtotal			30		1,721.00		1,521.90	(199.10)	50	3.18

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual	Estimated Current Income Yield%
SUBSEA 7 SA SPONSRD ADR	SUBCY	11/27/12	193	23.0400	4,446.72	23.5100	4,537.43	90.71	112 2.46
Subtotal		11/28/12	70	22.7900	1,595.30	23.5100	1,645.70	50.40	41 2.46
SUMITOMO MITSUI TR HLDGS INC SPONSORED ADR	SUTNY	07/20/12	263		6,042.02		6,183.13	141.11	153 2.46
Subtotal		11/28/12	1,673	2.8200	4,717.86	4.6720	7,816.26	3,098.40	144 1.84
SUMITOMO MITSUI-UNSPONS ADR	SMFG	11/27/12	510	3.0800	1,570.80	4.6720	2,382.72	811.92	44 1.84
Subtotal			2,183		6,288.66		10,198.98	3,910.32	188 1.84
SUN HYDRAULICS INC COM	SNHY	11/27/12	1,236	6.3555	7,855.40	8.1600	10,085.76	2,230.36	280 2.76
Subtotal		11/28/12	540	6.2955	3,399.57	8.1600	4,406.40	1,006.83	123 2.76
SUNCOR ENERGY INC NEW	SU	11/27/12	1,776		11,254.97		14,492.16	3,237.19	403 2.76
Subtotal			170	25.3948	4,317.13	32.5100	5,526.70	1,209.57	62 1.10
SUPERIOR ENERGY SVCS INC	SPN	02/27/12	50	25.2728	1,263.64	32.5100	1,625.50	361.86	18 1.10
Subtotal		01/22/13	220		5,580.77		7,152.20	1,571.43	80 1.10
SUSSEER HOLDINGS CORPORAT	SUSS	11/27/12	670	33.3555	22,348.19	30.0100	20,106.70	(2,241.49)	341 1.69
Subtotal		11/28/12	200	32.5900	6,518.00	30.0100	6,002.00	(516.00)	102 1.69
Svenska C Akti SPONS ADR	SVCBY	11/27/12	191	34.3218	6,555.48	30.0100	5,731.91	(823.57)	98 1.69
Subtotal			1,061		35,421.67		31,840.61	(3,581.06)	541 1.69
SUSSEER HOLDINGS CORPORAT	SPN	02/27/12	536	29.8900	16,021.04	25.9700	13,919.92	(2,101.12)	341 1.69
Subtotal		04/11/12	369	24.9800	9,217.62	25.9700	9,582.93	365.31	102 1.69
SUSSEER HOLDINGS CORPORAT	SPN	06/21/12	311	19.2700	5,992.97	25.9700	8,076.67	2,083.70	98 1.69
Subtotal		11/28/12	200	18.6300	3,726.00	25.9700	5,194.00	1,468.00	541 1.69
SUSSEER HOLDINGS CORPORAT	SUSS	11/27/12	1,416		34,957.63		36,773.52	1,815.89	541 1.69
Subtotal		11/28/12	21	36.0100	756.21	51.1100	1,073.31	317.10	81 2.21
Svenska C Akti SPONS ADR	SVCBY	11/27/12	9	35.8300	322.47	51.1100	459.99	137.52	81 2.21
Subtotal		11/28/12	1	35.4900	35.49	51.1100	51.11	15.62	35 2.21
Svenska C Akti SPONS ADR	SVCBY	11/27/12	31		1,114.17		1,584.41	470.24	116 2.21
Subtotal		11/28/12	141	19.9000	2,805.90	25.8800	3,649.08	843.18	116 2.21
Svenska C Akti SPONS ADR	SVCBY	11/27/12	60	19.9600	1,197.60	25.8800	1,552.80	355.20	35 2.21
Subtotal			201		4,003.50		5,201.88	1,198.38	116 2.21

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
Description	ADR				Cost Basis	Subtotal						
SWATCH GROUP AG UNSPOND		SWGAY	07/20/12	225	18.6400		4,194.00	29.2800	6,588.00	2,394.00	48	71
SWEDBANK A B	ADR	SWDBY	11/27/12	221	18.2900		4,042.09	22.8800	5,056.48	1,014.39	294	5.80
			11/28/12	80	18.3000		1,464.00	22.8800	1,830.40	366.40	107	5.80
Subtotal				301			5,506.09		6,886.88	1,380.79	401	5.80
SYMANTEC CORP	COM	SYMC	04/24/12	435	16.4300		7,147.05	24.6800	10,735.80	3,588.75		
			04/25/12	19	16.2600		308.94	24.6800	468.92	159.98		
			04/25/12	76	16.2500		1,235.00	24.6800	1,875.68	640.68		
			05/16/12	64	15.1500		969.60	24.6800	1,579.52	609.92		
			05/16/12	241	15.1500		3,651.15	24.6800	5,947.88	2,296.73		
			07/19/12	1	13.8000		13.80	24.6800	24.68	10.88		
			10/01/12	135	17.8600		2,411.10	24.6800	3,331.80	920.70		
			10/01/12	204	17.8600		3,643.44	24.6800	5,034.72	1,391.28		
			10/01/12	212	17.8600		3,786.32	24.6800	5,232.16	1,445.84		
			10/01/12	339	17.8600		6,054.54	24.6800	8,366.52	2,311.98		
Subtotal				1,726			29,220.94		42,597.68	13,376.74		
SYNAPTICS INC		SYNA	11/27/12	200	26.1650		5,233.00	40.6900	8,138.00	2,905.00		
			11/28/12	60	26.6400		1,598.40	40.6900	2,441.40	843.00		
Subtotal				260			6,831.40		10,579.40	3,748.00		
TAIWAN S MANUFACTURING ADR		TSM	03/04/11	680	12.3900		8,425.20	17.1900	11,689.20	3,264.00	270	2.30
			03/04/11	1,026	12.3900		12,712.14	17.1900	17,636.94	4,924.80	408	2.30
			07/27/12	457	13.5200		6,178.64	17.1900	7,855.83	1,677.19	182	2.30
			07/27/12	911	13.5200		12,316.72	17.1900	15,660.09	3,343.37	362	2.30
			08/01/12	725	13.7100		9,939.75	17.1900	12,462.75	2,523.00	288	2.30
Subtotal				3,799			49,572.45		65,304.81	15,732.36	1,510	2.30
TALISMAN ENERGY INC COM		TLM	11/27/12	220	11.6550		2,564.10	12.2500	2,695.00	130.90	60	2.20
			11/28/12	60	11.5000		690.00	12.2500	735.00	45.00	17	2.20
Subtotal				280			3,254.10		3,430.00	175.90	77	2.20

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual	Estimated Current Income	Yield%
TANGER FACTORY OUTLET REIT	SKT	12/12/11	65	28.0093	1,820.61	36.1800	2,351.70	531.09	55	2.32
TARGET CORP COM	TGT	08/26/11	145	50.6300	7,341.35	68.4500	9,925.25	2,583.90	209	2.10
		01/18/12	19	49.4000	938.60	68.4500	1,300.55	361.95	28	2.10
		01/18/12	26	49.4000	1,284.40	68.4500	1,779.70	495.30	38	2.10
		01/18/12	60	49.4000	2,964.00	68.4500	4,107.00	1,143.00	87	2.10
		03/09/12	41	57.5400	2,359.14	68.4500	2,806.45	447.31	60	2.10
		03/09/12	124	57.5400	7,134.96	68.4500	8,487.80	1,352.84	179	2.10
		11/05/12	10	63.3900	633.90	68.4500	684.50	50.60	15	2.10
		11/05/12	285	63.3900	18,066.15	68.4500	19,508.25	1,442.10	411	2.10
		12/26/12	65	58.8100	3,822.65	68.4500	4,449.25	626.60	94	2.10
Subtotal			775		44,545.15		53,048.75	8,503.60	1,121	2.10
TEAM HEALTH HOLDINGS INC	TMH	12/20/12	7	29.0500	203.35	36.3800	254.66	51.31		
		12/20/12	11	28.9954	318.95	36.3800	400.18	81.23		
		01/14/13	7	31.8842	223.19	36.3800	254.66	31.47		
		01/18/13	8	33.0262	264.21	36.3800	291.04	26.83		
		01/29/13	27	34.1248	921.37	36.3800	982.26	60.89		
		01/30/13	12	33.8641	406.37	36.3800	436.56	30.19		
		02/07/13	8	34.1137	272.91	36.3800	291.04	18.13		
		02/12/13	23	33.3178	766.31	36.3800	836.74	70.43		
Subtotal			103		3,376.66		3,747.14	370.48		
TEARLAB CORP	TEAR	01/14/13	52	5.5053	286.28	6.9000	358.80	72.52		
		03/11/13	37	6.1175	226.35	6.9000	255.30	28.95		
Subtotal			89		512.63		614.10	101.47		
TECHNIP SP ADR	TKPPY	11/27/12	187	28.9000	5,404.30	25.6600	4,798.42	(605.88)	80	1.66
		11/28/12	80	28.8500	2,308.00	25.6600	2,052.80	(255.20)	35	1.66
Subtotal			267		7,712.30		6,851.22	(861.08)	115	1.66
TECK RESOURCES LTD CLS B	TCK	12/10/12	91	35.7275	3,251.21	28.1600	2,562.56	(688.65)	84	3.24

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
TEJON RANCH CO PV 0.50	TRC	11/27/12	50	26.7088	1,335.44	29.7800	1,489.00	153.56		
		11/28/12	20	26.2500	525.00	29.7800	595.60	70.60		
Subtotal			70		1,860.44		2,084.60	224.16		
↓ TELECOM ITALIA S P A NEW	TI	06/21/12	2,770	9.4300	26,121.10	7.1300	19,750.10	(6,371.00)	1,773	8.97
TELEKOMUNIKASI INDONESIA SP ADR	TLK	12/22/10	50	34.9400	1,747.00	45.0800	2,254.00	507.00	55	2.43
		01/20/11	593	33.6700	19,968.31	45.0800	26,732.44	6,766.13	651	2.43
		02/17/11	163	33.6900	5,491.47	45.0800	7,348.04	1,856.57	179	2.43
		02/28/11	106	34.0300	3,607.18	45.0800	4,778.48	1,171.30	117	2.43
		03/04/11	337	33.1400	11,168.18	45.0800	15,191.96	4,023.78	370	2.43
		11/28/12	320	38.4850	12,315.20	45.0800	14,425.60	2,110.40	352	2.43
Subtotal			1,569		54,295.34		70,730.52	16,435.18	1,724	2.43
TENAGA NASL BHD SPNS ADR	TNABY	01/30/13	3,443	9.1293	31,432.52	9.3000	32,019.90	587.38	792	2.47
		03/05/13	3,370	9.0068	30,353.25	9.3000	31,341.00	987.75	776	2.47
Subtotal			6,813		61,785.77		63,360.90	1,575.13	1,568	2.47
TENARIS SA ADR	TS	11/27/12	240	39.1298	9,391.17	40.7800	9,787.20	396.03	183	1.86
		11/28/12	80	38.6900	3,095.20	40.7800	3,262.40	167.20	61	1.86
Subtotal			320		12,486.37		13,049.60	563.23	244	1.86
TENET HEALTHCARE CORP COM NEW	THC	11/27/12	70	28.2767	1,979.37	47.5800	3,330.60	1,351.23		
		11/28/12	30	27.9100	837.30	47.5800	1,427.40	590.10		
Subtotal			100		2,816.67		4,758.00	1,941.33		
TERADATA CORP DEL	TDC	02/08/12	24	57.4900	1,379.76	58.5100	1,404.24	24.48		
		02/08/12	27	57.4900	1,552.23	58.5100	1,579.77	27.54		
		06/04/12	54	64.7300	3,495.42	58.5100	3,159.54	(335.88)		
		06/04/12	55	64.7300	3,560.15	58.5100	3,218.05	(342.10)		
		11/29/12	47	59.1119	2,778.26	58.5100	2,749.97	(28.29)		
Subtotal			207		12,765.82		12,111.57	(654.25)		

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
TERADYNE INC	TER	11/27/12	100	15.7350	1,573.50	16.2200	1,622.00	48.50	
		11/28/12	40	15.4962	619.85	16.2200	648.80	28.95	
		02/11/13	211	17.1700	3,622.87	16.2200	3,422.42	(200.45)	
		02/13/13	206	17.4670	3,598.22	16.2200	3,341.32	(256.90)	
		03/21/13	188	16.1023	2,705.19	16.2200	2,724.96	19.77	
Subtotal			725		12,119.63		11,759.50	(360.13)	
TEREX CORP DEL NEW COM	TEX	11/27/12	90	24.4700	2,202.30	34.4200	3,097.80	895.50	
		11/28/12	20	23.4900	469.80	34.4200	688.40	218.60	
Subtotal			110		2,672.10		3,786.20	1,114.10	
TESCO PLC SPNRD ADR	TSCDY	03/04/11	272	19.9000	5,412.80	17.4700	4,751.84	(660.96)	180 3.77
		03/24/11	110	19.7540	2,172.95	17.4700	1,921.70	(251.25)	73 3.77
		11/07/11	620	19.5700	12,133.40	17.4700	10,831.40	(1,302.00)	409 3.77
		04/11/12	313	15.3800	4,813.94	17.4700	5,468.11	654.17	207 3.77
		01/23/13	456	16.8036	7,662.48	17.4700	7,966.32	303.84	301 3.77
Subtotal			1,771		32,195.57		30,939.37	(1,256.20)	1,170 3.77
TEVA PHARMACTCL INDS ADR	TEVA	03/04/11	71	52.0095	3,692.68	39.6800	2,817.28	(875.40)	62 2.20
		04/06/11	50	49.7024	2,485.12	39.6800	1,984.00	(501.12)	44 2.20
		04/26/11	60	46.0000	2,760.00	39.6800	2,380.80	(379.20)	53 2.20
		04/26/11	167	46.0000	7,682.00	39.6800	6,626.56	(1,055.44)	146 2.20
		05/15/11	9	46.2100	415.89	39.6800	357.12	(58.77)	8 2.20
		12/08/11	311	40.2500	12,517.75	39.6800	12,340.48	(177.27)	272 2.20
		12/08/11	747	40.2500	30,066.75	39.6800	29,640.96	(425.79)	653 2.20
		12/22/11	127	42.0700	5,342.89	39.6800	5,039.36	(303.53)	111 2.20
		12/22/11	378	42.0700	15,902.46	39.6800	14,999.04	(903.42)	330 2.20
		12/12/12	126	39.5187	4,979.36	39.6800	4,999.68	20.32	110 2.20
		01/22/13	85	37.8998	3,221.49	39.6800	3,372.80	151.31	75 2.20
Subtotal			2,131		89,066.39		84,558.08	(4,508.31)	1,864 2.20

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
TEXAS INDUST INC	TXI	11/27/12	20	45.9600	919.20	63.1100	1,262.20	343.00		
		11/28/12	10	45.7180	457.18	63.1100	631.10	173.92		
Subtotal			30		1,376.38		1,893.30	516.92		
TEXAS ROADHOUSE INC-CL A	TXRH	03/08/13	125	20.0208	2,502.60	20.1900	2,523.75	21.15	60	2.37
TEXTAINER GROUP HOLDINGS LTD	TGH	11/28/12	10	30.1000	301.00	39.5500	395.50	94.50	18	4.55
		01/07/13	3	33.2200	99.66	39.5500	118.65	18.99	6	4.55
		02/14/13	7	41.6771	291.74	39.5500	276.85	(14.89)	13	4.55
Subtotal			20		692.40		791.00	98.60	37	4.55
THE HOWARD HUGHES CORP	HHC	11/27/12	30	73.5100	2,205.30	83.8100	2,514.30	309.00		
SHS		11/28/12	10	73.5000	735.00	83.8100	838.10	103.10		
Subtotal			40		2,940.30		3,352.40	412.10		
THE MOSAIC COMPANY COMMON SHARES	MOS	06/28/11	120	65.0200	7,802.40	59.6100	7,153.20	(649.20)	120	1.67
		06/30/11	265	67.1600	17,797.40	59.6100	15,796.65	(2,000.75)	265	1.67
		02/09/12	157	56.0700	8,802.99	59.6100	9,358.77	555.78	157	1.67
		04/11/12	188	50.1100	9,420.68	59.6100	11,206.68	1,786.00	188	1.67
		10/08/12	85	54.6100	4,641.85	59.6100	5,066.85	425.00	85	1.67
Subtotal			815		48,465.32		48,582.15	116.83	815	1.67
THERMON GROUP HLDGS INC	THR	11/27/12	40	24.0900	963.60	22.2100	888.40	(75.20)		
		11/28/12	10	23.6160	236.16	22.2100	222.10	(14.06)		
		03/08/13	40	22.5475	901.90	22.2100	888.40	(13.50)		
		03/11/13	27	22.6503	611.56	22.2100	599.67	(11.89)		
		03/12/13	10	22.4780	224.78	22.2100	222.10	(2.68)		
Subtotal			127		2,938.00		2,820.67	(117.33)		
THORATEC CORP COM NEW	THOR	11/27/12	92	38.6975	3,560.17	37.4980	3,449.82	(110.35)		
		11/27/12	68	38.4539	2,614.87	37.4980	2,549.86	(65.01)		
		11/27/12	7	40.5214	283.65	37.4980	262.49	(21.16)		
		11/27/12	13	39.0823	508.07	37.4980	487.47	(20.60)		
		11/28/12	2	37.4800	74.96	37.4980	75.00	.04		
Subtotal			182		7,041.72		6,824.64	(217.08)		

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
↑ TIBCO SOFTWARE INC	TIBX	11/27/12	60	25.5900	1,535.40	20.2150	1,212.90	(322.50)	
		11/28/12	20	25.6400	512.80	20.2150	404.30	(108.50)	
Subtotal			80		2,048.20		1,617.20	(431.00)	
TIDEWATER INC COM NEW	TOW	11/27/12	60	44.3900	2,663.40	50.5000	3,030.00	366.60	60 1.98
		11/28/12	10	44.0200	440.20	50.5000	505.00	64.80	10 1.98
Subtotal			70		3,103.60		3,535.00	431.40	70 1.98
TIGER BRANDS LTD ADR	TBLMY	01/20/11	208	27.3300	5,684.64	32.1500	6,687.20	1,002.56	168 2.51
		03/01/11	213	26.2000	5,580.60	32.1500	6,847.95	1,267.35	172 2.51
		03/04/11	359	26.5800	9,542.22	32.1500	11,541.85	1,999.63	290 2.51
Subtotal			780		20,807.46		25,077.00	4,269.54	630 2.51
TILE SHOP HLDGS INC	TTS	12/14/12	23	15.8734	365.09	21.0100	483.23	118.14	
		01/23/13	20	17.5400	350.80	21.0100	420.20	69.40	
Subtotal			43		715.89		903.43	187.54	
TITAN MACHY INC	TTN	11/27/12	22	22.5700	496.54	27.7700	610.94	114.40	
		11/28/12	10	22.2400	222.40	27.7700	277.70	55.30	
		01/07/13	8	25.6012	204.81	27.7700	222.16	17.35	
		01/25/13	6	29.4500	176.70	27.7700	166.62	(10.08)	
Subtotal			46		1,100.45		1,277.42	176.97	
TNT EXPRESS NV SHS ADR	TNEY	02/05/13	1,281	7.6308	9,775.18	7.2600	9,300.06	(475.12)	34 .35
		02/06/13	1,250	7.6031	9,503.88	7.2600	9,075.00	(428.88)	33 .35
		02/27/13	1,143	7.4862	8,556.73	7.2600	8,298.18	(258.55)	30 .35
		02/28/13	206	7.6129	1,568.26	7.2600	1,495.56	(72.70)	6 .35
Subtotal			3,880		29,404.05		28,168.80	(1,235.25)	103 .35
TORO CO	TTT	11/27/12	250	44.1022	11,025.55	46.0400	11,510.00	484.45	140 1.21
		11/28/12	70	43.7900	3,065.30	46.0400	3,222.80	157.50	40 1.21
Subtotal			320		14,090.85		14,732.80	641.95	180 1.21

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
TOSHIBA CORP SHS	TOSY	01/23/13	1,280	24.8900	31,859.20	30.4000	38,912.00	7,052.80	638	1.63
		02/28/13	1,291	27.7424	35,815.44	30.4000	39,246.40	3,430.96	643	1.63
Subtotal			2,571		67,674.64		78,158.40	10,483.76	1,281	1.63
TOTAL S.A. SP ADR	TOT	03/04/11	121	61.4600	7,436.66	47.9800	5,805.58	(1,631.08)	306	5.25
		03/04/11	209	61.4600	12,845.14	47.9800	10,027.82	(2,817.32)	527	5.25
		08/16/11	6	47.7800	286.68	47.9800	287.88	1.20	16	5.25
		08/16/11	14	47.7800	668.92	47.9800	671.72	2.80	36	5.25
		08/16/11	25	47.7800	1,194.50	47.9800	1,199.50	5.00	64	5.25
		04/11/12	28	48.6800	1,363.04	47.9800	1,343.44	(19.60)	71	5.25
		04/11/12	61	48.6800	2,969.48	47.9800	2,926.78	(42.70)	154	5.25
		04/11/12	97	48.6800	4,721.96	47.9800	4,654.06	(67.90)	245	5.25
		06/20/12	62	44.6400	2,767.68	47.9800	2,974.76	207.08	157	5.25
		06/20/12	134	44.6400	5,981.76	47.9800	6,429.32	447.56	338	5.25
		06/20/12	208	44.6400	9,285.12	47.9800	9,979.84	694.72	525	5.25
		08/21/12	31	43.4200	1,346.02	47.9800	1,487.38	141.36	79	5.25
		08/21/12	68	43.4200	2,952.56	47.9800	3,262.64	310.08	172	5.25
		06/21/12	106	43.4200	4,602.52	47.9800	5,085.88	483.36	268	5.25
Subtotal			1,170		58,422.04		56,136.60	(2,285.44)	2,958	5.25
TOTAL SYS SVCS INC	TSS	02/05/13	153	23.3586	3,573.87	24.7800	3,791.34	217.47	62	1.61
		02/12/13	162	23.1001	3,742.23	24.7800	4,014.36	272.13	65	1.61
		02/14/13	79	22.9849	1,815.81	24.7800	1,957.62	141.81	32	1.61
		02/20/13	183	21.9985	4,025.74	24.7800	4,534.74	509.00	74	1.61
Subtotal			577		13,157.65		14,298.06	1,140.41	233	1.61
TOWERS WATSON & CO CL A	TW	01/20/11	14	53.1800	744.52	69.3200	970.48	225.96	7	.66
		03/04/11	22	58.0500	1,277.10	69.3200	1,525.04	247.94	11	.66
		03/25/11	68	55.4900	3,773.32	69.3200	4,713.76	940.44	32	.66
		05/24/11	56	62.3500	3,491.60	69.3200	3,881.92	390.32	26	.66
		02/10/12	28	63.9900	1,791.72	69.3200	1,940.96	149.24	13	.66
		04/09/12	46	64.6600	2,974.36	69.3200	3,188.72	214.36	22	.66
Subtotal			234		14,052.62		16,220.88	2,168.26	111	.66

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
Description					Cost Basis							
TOYOTA MOTOR CORP ADR		TM	11/27/12	52	85.5400		4,448.08	102.6400	5,337.28	889.20	72	1.33
			11/28/12	20	84.5100		1,690.20	102.6400	2,052.80	362.60	28	1.33
Subtotal				72			6,138.28		7,390.08	1,251.80	100	1.33
TRANSOCEAN LTD		RIG	11/27/12	280	45.8982		11,933.54	51.9600	13,509.60	1,576.06		
			11/28/12	80	44.8900		3,591.20	51.9600	4,156.80	565.60		
Subtotal				340			15,524.74		17,666.40	2,141.66		
TRAVELERS COS INC		TRV	03/01/11	42	59.0600		2,480.52	84.1900	3,535.98	1,055.46	78	2.18
			03/01/11	60	59.0600		3,543.60	84.1900	5,051.40	1,507.80	111	2.18
			03/01/11	71	59.0800		4,193.26	84.1900	5,977.49	1,784.23	131	2.18
			03/01/11	92	59.0600		5,433.52	84.1900	7,745.48	2,311.96	170	2.18
			03/04/11	40	59.0600		2,362.40	84.1900	3,367.60	1,005.20	74	2.18
			03/04/11	62	59.0600		3,661.72	84.1900	5,219.78	1,558.06	115	2.18
			08/26/11	23	47.1900		1,085.37	84.1900	1,936.37	851.00	43	2.18
			08/26/11	35	47.1900		1,651.65	84.1900	2,946.65	1,295.00	65	2.18
Subtotal				425			24,412.04		35,780.75	11,368.71	787	2.18
TREDEGAR CORP VIRGINIA		TG	11/27/12	90	18.8000		1,692.00	29.4400	2,649.60	957.60	26	.95
			11/28/12	10	18.8100		188.10	29.4400	294.40	106.30	3	.95
Subtotal				100			1,880.10		2,944.00	1,063.90	29	.95
TRIUMPH GROUP INC NEW		TGI	11/27/12	50	64.3994		3,219.97	78.5000	3,925.00	705.03	8	.20
			11/28/12	20	63.3500		1,267.00	78.5000	1,570.00	303.00	4	.20
Subtotal				70			4,486.97		5,495.00	1,008.03	12	.20
TRUSTMARK CORP COM		TRMK	11/27/12	60	22.3600		1,341.60	25.0100	1,500.60	159.00	56	3.67
			11/28/12	20	21.7500		435.00	25.0100	500.20	65.20	19	3.67
Subtotal				80			1,776.60		2,000.80	224.20	75	3.67
TTM TECHNOLOGIES INC COM		TTMI	11/27/12	440	8.7350		3,843.40	7.6000	3,344.00	(499.40)		
			11/28/12	120	8.5150		1,021.80	7.6000	912.00	(109.80)		
Subtotal				560			4,865.20		4,256.00	(609.20)		

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual	Estimated Current Income	Yield%
TULLOW OIL PLC SHS	TUWOY	11/27/12	766	11.0100	8,433.66	9.4000	7,200.40	(1,233.26)	53	.73
Subtotal		11/28/12	350	10.7900	3,776.50	9.4000	3,290.00	(486.50)	25	.73
			1,116		12,210.16		10,490.40	(1,719.76)	78	.73
TUMI HLDGS INC	TUMI	11/27/12	60	22.2280	1,333.68	20.9400	1,256.40	(77.28)		
Subtotal		11/28/12	20	21.8800	437.60	20.9400	418.80	(18.80)		
			80		1,771.28		1,675.20	(96.08)		
TURKCELL ILETISIM ADR	TKC	01/20/11	997	16.2700	16,221.19	16.6400	16,590.08	368.89		
		02/28/11	398	13.9900	5,568.02	16.6400	6,622.72	1,054.70		
		03/04/11	5	13.9300	69.65	16.6400	83.20	13.55		
		03/04/11	1,010	13.9100	14,049.10	16.6400	16,806.40	2,757.30		
		09/26/11	232	12.0200	2,788.64	16.6400	3,860.48	1,071.84		
		07/20/12	319	12.8100	4,086.39	16.6400	5,308.16	1,221.77		
		11/28/12	140	14.7625	2,066.76	16.6400	2,329.60	262.84		
Subtotal			3,101		44,849.75		51,600.64	6,750.89		
TURKYE HALK BANKI A S	THBIY	01/18/13	42	22.4871	944.46	21.5990	907.16	(37.30)	9	.94
HALKBANK UNSPOND A ADR		01/22/13	246	22.5039	5,535.98	21.5990	5,313.35	(222.63)	51	.94
Subtotal			288		6,480.44		6,220.51	(259.93)	60	.94
TWO HARBORS INVT CORP	TWO	11/27/12	200	11.2350	2,247.00	12.6100	2,522.00	275.00	256	10.15
SHS		11/28/12	50	11.2900	564.50	12.6100	630.50	66.00	64	10.15
Subtotal			250		2,811.50		3,152.50	341.00	320	10.15
TYLER TECHS INC DEL COM	TYL	11/27/12	20	47.3000	946.00	61.2600	1,225.20	279.20		
		11/30/12	6	47.3033	283.82	61.2600	367.56	83.74		
		01/07/13	5	50.0080	250.04	61.2600	306.30	56.26		
Subtotal			31		1,479.86		1,899.06	419.20		
U S AWYS GROUP INC	LCC	11/27/12	80	12.8300	1,026.40	16.9700	1,357.60	331.20		
		11/28/12	30	12.7600	382.80	16.9700	509.10	126.30		
Subtotal			110		1,409.20		1,866.70	457.50		

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
U S SILICA HLDGS INC COMMON STOCK	SILCA	03/01/13	10	24.1610	241.61	23.5800	235.80	(5.81)		
		03/05/13	18	25.6827	462.29	23.5800	424.44	(37.85)		
		03/20/13	13	22.7869	296.23	23.5800	306.54	10.31		
Subtotal			41		1,000.13		966.78	(33.35)		
UBS AG REG	UBS	11/27/12	310	15.5716	4,827.22	15.3900	4,770.90	(56.32)	34	.70
		11/28/12	100	15.3799	1,537.99	15.3900	1,539.00	1.01	11	.70
Subtotal			410		6,365.21		6,309.90	(55.31)	45	.70
ULTA SALON COSMETICS & FRAGRAN	ULTA	02/28/12	8	82.9900	663.92	81.2900	650.32	(13.60)		
		04/10/12	23	93.3400	2,146.82	81.2900	1,869.67	(277.15)		
		07/20/12	8	87.4000	699.20	81.2900	650.32	(48.88)		
		07/20/12	30	87.4000	2,622.00	81.2900	2,438.70	(183.30)		
		08/30/12	61	93.9500	5,730.95	81.2900	4,958.69	(772.26)		
		03/13/13	23	87.5221	2,013.01	81.2900	1,869.67	(143.34)		
Subtotal			153		13,875.90		12,437.37	(1,438.53)		
ULTIMATE SOFTWARE GROUP	ULTI	01/18/13	14	95.1578	1,332.21	104.1600	1,458.24	126.03		
UMB FINANCIAL CORP	UMBF	11/27/12	20	42.6000	852.00	49.0700	981.40	129.40	18	1.75
		11/28/12	10	42.3800	423.80	49.0700	490.70	66.90	9	1.75
Subtotal			30		1,275.80		1,472.10	196.30	27	1.75
UNILEVER NV NY REG SHS	UN	11/27/12	313	37.4699	11,728.08	41.0000	12,833.00	1,104.92	334	2.59
		11/28/12	120	37.5445	4,505.34	41.0000	4,920.00	414.66	128	2.59
		12/19/12	27	38.5600	1,041.12	41.0000	1,107.00	65.88	29	2.59
Subtotal			460		17,274.54		18,860.00	1,585.46	491	2.59
UNILEVER PLC NEW ADR	UL	01/20/11	236	30.1000	7,103.60	42.2400	9,968.64	2,865.04	296	2.96
		03/04/11	194	29.7900	5,779.26	42.2400	8,194.56	2,415.30	244	2.96
		03/05/12	89	32.6600	2,906.74	42.2400	3,759.36	852.62	112	2.96
Subtotal			519		15,789.60		21,922.56	6,132.96	652	2.96

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
UNION PACIFIC CORP	UNP	12/22/10	60	91.9500	5,517.00	142.4100	8,544.60	3,027.60		166 1.93
		01/20/11	67	94.7400	6,347.58	142.4100	9,541.47	3,193.89		185 1.93
		01/20/11	136	94.7400	12,884.64	142.4100	19,367.76	6,483.12		376 1.93
		01/20/11	137	94.7400	12,979.38	142.4100	19,510.17	6,530.79		379 1.93
		03/04/11	80	95.0000	7,600.00	142.4100	11,392.80	3,792.80		221 1.93
		03/04/11	120	95.0000	11,400.00	142.4100	17,089.20	5,689.20		332 1.93
		08/18/11	8	91.8900	550.14	142.4100	854.46	304.32		17 1.93
		08/16/11	9	91.6900	825.21	142.4100	1,281.69	456.48		25 1.93
Subtotal			615		58,103.95		87,582.15	29,478.20		1,701 1.93
UNITED NAT FOODS INC	UNFI	06/08/11	71	40.2800	2,859.88	49.2000	3,493.20	633.32		
		08/15/11	15	39.9200	598.80	49.2000	738.00	139.20		
		11/22/11	85	34.2200	2,908.70	49.2000	4,182.00	1,273.30		
		08/03/12	60	54.1500	3,249.00	49.2000	2,952.00	(297.00)		
Subtotal			231		9,616.38		11,365.20	1,748.82		
UNITED TECHS CORP COM	UTX	10/25/12	23	78.2100	1,798.83	93.4300	2,148.89	350.06		50 2.29
		10/25/12	232	78.2100	18,144.72	93.4300	21,675.76	3,531.04		497 2.29
Subtotal			255		19,943.55		23,824.65	3,881.10		547 2.29
UNITED THERAPEUTICS CORP	UTHR	10/03/12	55	58.2900	3,205.95	60.8700	3,347.85	141.90		
		10/31/12	83	45.4100	3,769.03	60.8700	5,052.21	1,283.18		
		11/27/12	30	52.9400	1,588.20	60.8700	1,826.10	237.90		
		11/28/12	50	51.4400	2,572.00	60.8700	3,043.50	471.50		
		03/22/13	17	60.8211	1,033.96	60.8700	1,034.79	.83		
Subtotal			235		12,169.14		14,304.45	2,135.31		
UNITEDHEALTH GROUP INC	UNH	04/28/11	110	50.4800	5,552.80	57.2100	6,293.10	740.30		94 1.48
		04/28/11	78	47.8600	3,733.08	57.2100	4,462.38	729.30		67 1.48
		04/29/11	47	47.8600	2,249.42	57.2100	2,688.87	439.45		40 1.48
		08/01/11	95	47.8600	4,546.70	57.2100	5,434.95	888.25		81 1.48
Subtotal			330		16,082.00		18,879.30	2,797.30		282 1.48

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
UNIVERSAL ELECTRONICS INC	UEIC	11/27/12	90	17.1900	1,547.10	23.2500	2,092.50	545.40		
		11/28/12	20	17.0300	340.60	23.2500	465.00	124.40		
Subtotal			110		1,887.70		2,557.50	669.80		
UNS ENERGY CORP	UNS	11/27/12	50	41.2800	2,064.00	48.9400	2,447.00	383.00	87	3.55
		11/28/12	10	41.5100	415.10	48.9400	489.40	74.30	18	3.55
Subtotal			60		2,479.10		2,936.40	457.30	105	3.55
UNTD OVERSEAS BK SPN ADR	UOVEY	11/27/12	129	29.9700	3,866.13	32.9500	4,250.55	384.42	123	2.88
		11/28/12	60	30.4600	1,827.60	32.9500	1,977.00	149.40	58	2.88
Subtotal			189		5,693.73		6,227.55	533.82	181	2.88
UPM KYMMENE OY SPSD ADR	UPMKY	08/14/12	1,735	10.9700	19,032.95	11.1500	19,345.25	312.30	986	5.09
		08/23/12	2,970	11.3800	33,798.60	11.1500	33,115.50	(683.10)	1,687	5.09
Subtotal			4,705		52,831.55		52,460.75	(370.80)	2,673	5.09
URS CORP NEW COM	URS	03/01/11	5	45.0200	225.10	47.4100	237.05	11.95	5	1.77
		03/04/11	31	46.4300	1,439.33	47.4100	1,469.71	30.38	27	1.77
		03/16/11	76	44.6500	3,393.40	47.4100	3,603.16	209.76	64	1.77
		08/15/11	37	34.1900	1,265.03	47.4100	1,754.17	489.14	32	1.77
		09/21/11	21	30.9800	650.58	47.4100	995.61	345.03	18	1.77
		04/11/12	22	40.0100	880.22	47.4100	1,043.02	162.80	19	1.77
		06/11/12	18	35.1000	631.80	47.4100	853.38	221.58	16	1.77
		02/19/13	50	42.2230	2,111.15	47.4100	2,370.50	259.35	42	1.77
Subtotal			260		10,596.61		12,326.60	1,729.99	223	1.77
USG CORP COM NEW	USG	11/27/12	67	27.4082	1,836.35	26.4400	1,771.48	(64.87)		
		11/28/12	30	26.4100	792.30	26.4400	793.20	.90		
Subtotal			97		2,628.65		2,564.68	(63.97)		
VALE SA	VALE	11/27/12	703	17.6699	12,421.94	17.2900	12,154.87	(267.07)	525	4.31
		11/28/12	290	17.2078	4,990.29	17.2900	5,014.10	23.81	217	4.31
Subtotal			993		17,412.23		17,168.97	(243.26)	742	4.31

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
VALE SA PFD SHS ADR	VALEP	12/22/10	715	30.1000	21,521.50	16.5300	11,818.95	(9,702.55)	534	4.51
		01/20/11	575	31.4000	18,055.00	16.5300	9,504.75	(8,550.25)	429	4.51
		02/28/11	347	29.8900	10,371.83	16.5300	5,735.91	(4,635.92)	259	4.51
		03/04/11	507	29.9500	15,184.65	16.5300	8,380.71	(6,803.94)	379	4.51
		04/27/12	141	21.9100	3,089.31	16.5300	2,330.73	(758.58)	106	4.51
		11/28/12	100	16.8499	1,684.99	16.5300	1,653.00	(31.99)	75	4.51
Subtotal			2,385		69,907.28		39,424.05	(30,483.23)	1,782	4.51
VALEO SPONSORED ADR	VLEEV	11/27/12	192	22.3944	4,299.74	27.1500	5,212.80	913.06	139	2.65
		11/28/12	70	22.5300	1,577.10	27.1500	1,900.50	323.40	51	2.65
Subtotal			262		5,876.84		7,113.30	1,236.46	190	2.65
VALERO ENERGY CORP NEW	VLO	11/02/12	633	28.5400	18,065.82	45.4900	28,795.17	10,729.35	507	1.75
		11/09/12	430	29.6300	12,740.90	45.4900	19,580.70	6,819.80	344	1.75
Subtotal			1,063		30,806.72		48,355.87	17,549.15	851	1.75
VALUECLICK INC DEL COM	VCLK	11/27/12	140	18.6204	2,606.86	29.5600	4,138.40	1,531.54		
		11/28/12	40	18.4665	738.66	29.5600	1,182.40	443.74		
Subtotal			180		3,345.52		5,320.80	1,975.28		
VANGUARD HEALTH SYSTEMS INC COM STOCK	VHS	11/27/12	24	9.9400	238.56	14.8700	356.88	118.32		
		11/28/12	10	9.8800	98.80	14.8700	148.70	49.90		
		02/04/13	24	14.1641	339.94	14.8700	356.88	16.94		
Subtotal			58		677.30		862.46	185.16		
VCA ANTECH INC COM	WOOF	12/05/12	234	20.6373	4,829.13	23.4900	5,496.66	667.53		
		02/13/13	128	22.1317	2,832.86	23.4900	3,006.72	173.86		
Subtotal			362		7,661.99		8,503.38	841.39		
VEECO INSTRUMENTS INC	VECO	11/27/12	50	27.4596	1,372.98	38.4100	1,920.50	547.52		
		11/28/12	10	28.0600	280.60	38.4100	384.10	103.50		
Subtotal			60		1,653.58		2,304.60	651.02		
VERA BRADLEY INC	VRA	02/27/13	213	26.1514	5,570.25	23.6300	5,033.19	(537.06)		

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
VERISK ANALYTICS INC CLASS A	VRSK	11/27/12	1,285	48.0760	61,777.66	61.6200	79,181.70	17,404.04	
		11/28/12	360	48.4903	17,456.54	61.6200	22,183.20	4,726.66	
		01/24/13	218	55.4333	12,084.46	61.6200	13,433.16	1,348.70	
		02/28/13	36	58.3333	2,100.00	61.6200	2,218.32	118.32	
Subtotal			1,899		93,418.66		117,016.38	23,597.72	
VIROPHARMA INC COM	VPHM	01/22/13	99	27.1169	2,684.58	25.1600	2,490.84	(193.74)	
VISA INC CL A SHRS	V	01/20/11	26	70.5700	1,834.82	169.8400	4,415.84	2,581.02	35.77
		03/04/11	79	74.4400	5,880.76	169.8400	13,417.36	7,536.60	105.77
		05/10/11	161	79.9800	12,876.78	169.8400	27,344.24	14,467.46	213.77
		06/08/11	41	76.9700	3,155.77	169.8400	6,963.44	3,807.67	55.77
		11/27/12	530	147.2510	78,043.03	169.8400	90,015.20	11,972.17	700.77
		11/28/12	260	146.6000	38,116.00	169.8400	44,158.40	6,042.40	344.77
		02/28/13	57	159.2310	9,076.17	169.8400	9,680.88	604.71	76.77
Subtotal			1,154		148,983.33		195,995.36	47,012.03	1,528.77
VITAMIN SHOPPE INC	VSJ	11/27/12	80	58.8900	4,711.20	48.8500	3,908.00	(803.20)	
		11/28/12	20	59.0200	1,180.40	48.8500	977.00	(203.40)	
Subtotal			100		5,891.60		4,885.00	(1,006.60)	
VMWARE, INC.	VMW	11/27/12	712	90.0443	64,111.61	78.8800	56,162.56	(7,949.05)	
		11/28/12	230	91.3190	21,003.39	78.8800	18,142.40	(2,860.99)	
Subtotal			942		85,115.00		74,304.96	(10,810.04)	
VOCERA COMMUNICATION INC	VCRA	01/18/13	22	27.1409	597.10	23.0000	506.00	(91.10)	
		02/08/13	5	27.2800	136.40	23.0000	115.00	(21.40)	
Subtotal			27		733.50		621.00	(112.50)	
VODACOM GROUP LTD SHS	VDCMY	02/10/12	1,065	12.9700	13,813.05	11.9900	12,769.35	(1,043.70)	758.592
		07/20/12	273	11.3000	3,084.90	11.9900	3,273.27	188.37	195.592
Subtotal			1,338		16,897.95		16,042.62	(855.33)	953.592

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit		Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual	Estimated Current Income	Yield%
				Cost Basis	Quantity						
VODAFONE GROU PLC SP ADR	VOD	02/17/11	52	29.4100		1,529.32	28.4000	1,476.80	(52.52)	78	5.27
		02/17/11	170	29.4100		4,999.70	28.4000	4,828.00	(171.70)	255	5.27
		03/01/11	102	28.3500		2,891.70	28.4000	2,896.80	5.10	153	5.27
		03/01/11	219	28.3500		6,208.65	28.4000	6,219.60	10.95	329	5.27
		03/04/11	147	29.0100		4,264.47	28.4000	4,174.80	(89.67)	221	5.27
		03/04/11	316	29.0100		9,167.16	28.4000	8,974.40	(192.76)	474	5.27
		04/01/11	44	28.9900		1,275.56	28.4000	1,249.60	(25.96)	66	5.27
		04/01/11	196	28.9900		5,682.04	28.4000	5,566.40	(115.64)	294	5.27
		04/01/11	379	28.9900		10,987.21	28.4000	10,763.60	(223.61)	568	5.27
		09/12/12	34	28.1300		956.42	28.4000	965.60	9.18	51	5.27
		09/12/12	185	28.1300		5,204.05	28.4000	5,254.00	49.95	278	5.27
Subtotal			1,844			53,166.28		52,369.60	(796.68)	2,767	5.27
VOLKSWAGEN A G ADR	VLKPY	01/24/13	101	48.8153		4,930.35	39.9700	4,038.97	(893.38)	69	1.70
VOLKSWAGEN A G SPONS ADR	VLKAY	01/26/11	832	30.9900		25,783.68	37.7900	31,441.28	5,657.60	559	1.77
		03/01/11	317	30.0900		9,538.53	37.7900	11,979.43	2,440.90	213	1.77
		03/04/11	391	29.6800		11,604.88	37.7900	14,775.89	3,171.01	263	1.77
		03/15/12	275	34.2900		9,429.75	37.7900	10,392.25	962.50	185	1.77
		04/11/12	207	30.7700		6,369.39	37.7900	7,822.53	1,453.14	139	1.77
Subtotal			2,022			62,726.23		76,411.38	13,685.15	1,359	1.77
VOLVO AKTIEBOLAGET ADR	VOLV	03/15/13	341	15.8124		5,392.06	14.5800	4,971.78	(420.28)	136	2.72
W W GRAINGER INCORP	GWV	10/17/12	30	210.3100		6,309.30	224.9800	6,749.40	440.10	96	1.42
		11/06/12	1	204.1500		204.15	224.9800	224.98	20.83	4	1.42
		11/12/12	16	194.0000		3,104.00	224.9800	3,599.68	495.68	52	1.42
		11/28/12	10	190.6200		1,906.20	224.9800	2,249.80	343.60	32	1.42
		12/03/12	7	194.1214		1,358.85	224.9800	1,574.86	216.01	23	1.42
Subtotal			64			12,882.50		14,398.72	1,516.22	207	1.42
WABCO HOLDINGS INC	WBC	11/27/12	40	60.9800		2,439.20	70.5900	2,823.60	384.40		
		11/28/12	10	59.9800		599.80	70.5900	705.90	106.10		
Subtotal			50			3,039.00		3,529.50	490.50		

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Current Yield%
WAGEWORKS INC	WAGE	11/27/12	50	17.9000	895.00	25.0300	1,251.50	356.50		
		11/28/12	10	17.9200	179.20	25.0300	250.30	71.10		
		03/19/13	6	25.3933	152.36	25.0300	150.18	(2.18)		
		03/20/13	7	25.4557	178.19	25.0300	175.21	(2.98)		
Subtotal			73		1,404.75		1,827.19	422.44		
WAL-MART STORES INC	WMT	07/14/11	94	53.4900	5,028.06	74.8300	7,034.02	2,005.96	177	2.51
		07/14/11	216	53.4900	11,553.84	74.8300	16,163.28	4,609.44	407	2.51
		07/15/11	54	53.5000	2,889.00	74.8300	4,040.82	1,151.82	102	2.51
		07/15/11	71	53.5000	3,798.50	74.8300	5,312.93	1,514.43	134	2.51
Subtotal			435		23,269.40		32,551.05	9,281.65	820	2.51
WASHINGTON FEDL INC	WAFD	11/27/12	70	16.2900	1,140.30	17.5000	1,225.00	84.70	26	2.05
		11/28/12	20	15.9900	319.80	17.5000	350.00	30.20	8	2.05
Subtotal			90		1,460.10		1,575.00	114.90	34	2.05
WASTE CONNECTIONS INC	WCN	11/27/12	90	32.3298	2,909.69	35.9800	3,238.20	328.51	36	1.11
		11/28/12	30	32.2100	966.30	35.9800	1,079.40	113.10	12	1.11
Subtotal			120		3,875.99		4,317.60	441.61	48	1.11
WEATHERFORD INTL LTD. REG.	WFT	11/27/12	1,070	9.7955	10,481.19	12.1400	12,989.80	2,508.61		
		11/28/12	350	9.7850	3,424.75	12.1400	4,249.00	824.25		
Subtotal			1,420		13,905.94		17,238.80	3,332.86		
WEB COM GROUP INC	WWW	11/27/12	60	14.7900	887.40	17.0800	1,024.80	137.40		
		11/28/12	10	14.6300	146.30	17.0800	170.80	24.50		
Subtotal			70		1,033.70		1,195.60	161.90		
WEBMD HEALTH CORP	WBMD	03/05/13	22	23.7404	522.29	24.3200	535.04	12.75		
		03/05/13	16	23.9712	383.54	24.3200	389.12	5.58		
Subtotal			38		905.83		924.16	18.33		
WEBSTER FINL CP PV \$0.01	WBS	11/27/12	60	21.1700	1,270.20	24.2600	1,455.60	185.40	24	1.64
		11/28/12	20	20.5600	411.20	24.2600	485.20	74.00	8	1.64
Subtotal			80		1,681.40		1,940.80	259.40	32	1.64

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RICHARD L KAY TEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
WEICHA PWR CO LTD	WEICY	12/23/10	128	23.0299	2,947.83	13.3500	1,708.80	(1,239.03)	12	.69
UNSPOND ADR		01/20/11	218	23.6499	5,155.69	13.3500	2,910.30	(2,245.39)	21	.69
		03/04/11	1	23.3100	23.31	13.3500	13.35	(9.96)	1	.69
		03/04/11	296	22.9899	6,805.03	13.3500	3,951.60	(2,853.43)	28	.69
		03/24/11	686	20.3000	13,925.80	13.3500	9,158.10	(4,767.70)	64	.69
		06/13/11	1	19.9000	19.90	13.3500	13.35	(6.55)	1	.69
		06/13/11	382	17.7300	6,772.86	13.3500	5,099.70	(1,673.16)	36	.69
Subtotal			1,712		35,650.42		22,855.20	(12,795.22)	163	.69
WELLCARE HLTH PLANS INC	WCG	11/27/12	26	48.6000	1,263.60	57.9600	1,506.96	243.36		
		11/28/12	10	47.2190	472.19	57.9600	579.60	107.41		
Subtotal			36		1,735.79		2,086.56	350.77		
WELLS FARGO & CO NEW DEL	WFC	12/22/10	219	31.1900	6,830.61	36.9900	8,100.81	1,270.20	219	2.70
		01/20/11	393	31.7500	12,477.75	36.9900	14,537.07	2,059.32	393	2.70
		03/04/11	259	31.8300	8,243.97	36.9900	9,580.41	1,336.44	259	2.70
		11/28/12	10	32.5000	325.00	36.9900	369.90	44.90	10	2.70
		12/06/12	453	33.0339	14,964.40	36.9900	16,756.47	1,792.07	453	2.70
		12/17/12	434	33.9312	14,726.18	36.9900	16,053.66	1,327.48	434	2.70
		12/19/12	288	34.9265	10,058.86	36.9900	10,653.12	594.26	288	2.70
		12/20/12	70	34.9205	2,444.44	36.9900	2,589.30	144.86	70	2.70
Subtotal			2,126		70,071.21		78,640.74	8,569.53	2,126	2.70
WEST PHARMACTL SVCS INC	WST	11/27/12	70	54.4200	3,809.40	64.9400	4,545.80	736.40	54	1.16
		11/28/12	20	53.5500	1,071.00	64.9400	1,298.80	227.80	16	1.16
Subtotal			90		4,880.40		5,844.60	964.20	70	1.16
WESTAMERICA BANCORP	WABC	05/18/12	60	44.8000	2,688.00	45.3300	2,719.80	31.80	89	3.26
WESTAR ENERGY INC	WR	12/03/12	112	28.4607	3,187.60	33.1800	3,716.16	528.56	153	4.09
		02/13/13	122	30.9690	3,778.22	33.1800	4,047.96	269.74	166	4.09
		02/26/13	58	30.7472	1,783.34	33.1800	1,924.44	141.10	79	4.09
Subtotal			292		8,749.16		9,688.56	939.40	398	4.09

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
WESTERN ALLIANCE BANCORP	WAL	11/27/12	44	10.5400	463.76	13.8400	608.96	145.20	
		11/28/12	20	10.2500	205.00	13.8400	276.80	71.80	
Subtotal			64		668.76		885.76	217.00	
WESTERN UNION CO	WU	02/09/12	25	17.7500	443.75	15.0400	376.00	(67.75)	13 3.32
		03/20/12	41	17.8600	732.26	15.0400	616.64	(115.62)	21 3.32
		03/20/12	154	17.8600	2,750.44	15.0400	2,316.16	(434.28)	77 3.32
		06/13/12	56	16.1400	903.84	15.0400	842.24	(61.60)	28 3.32
		06/13/12	324	16.1400	5,229.36	15.0400	4,872.96	(356.40)	162 3.32
Subtotal			600		10,059.65		9,024.00	(1,035.65)	301 3.32
WHIRLPOOL CORP	WHR	08/12/11	131	63.3000	8,292.30	118.4600	15,518.26	7,225.96	262 1.68
WHITE MTNS INS GRP LTD	WTM	11/28/12	5	517.5000	2,587.50	567.1200	2,835.60	248.10	5 17
WILLIAMS COMPANIES DEL	WMB	05/11/12	67	32.3792	2,169.41	37.4600	2,509.82	340.41	91 3.61
		07/02/12	22	28.9300	636.46	37.4600	824.12	187.66	30 3.61
		07/02/12	68	28.9300	1,967.24	37.4600	2,547.28	580.04	93 3.61
		12/13/12	132	31.4430	4,150.48	37.4600	4,944.72	794.24	179 3.61
		12/14/12	73	31.4104	2,292.96	37.4600	2,734.58	441.62	99 3.61
Subtotal			362		11,216.55		13,560.52	2,343.97	492 3.61
WILLIS GROUP HOLDINGS PUBLIC LIMITED COMPANY	WSH	12/22/10	68	34.4000	2,339.20	39.4900	2,685.32	346.12	77 2.83
		01/20/11	18	35.4200	637.56	39.4900	710.82	73.26	21 2.83
		01/20/11	57	35.4200	2,018.94	39.4900	2,250.93	231.99	64 2.83
		01/20/11	251	35.4200	8,890.42	39.4900	9,911.99	1,021.57	282 2.83
		03/04/11	68	38.3000	2,604.40	39.4900	2,685.32	80.92	77 2.83
		03/04/11	228	38.3000	8,732.40	39.4900	9,003.72	271.32	256 2.83
		05/11/11	20	40.8200	816.40	39.4900	789.80	(26.60)	23 2.83
		05/11/11	68	40.8200	2,775.76	39.4900	2,685.32	(90.44)	77 2.83
		02/15/12	30	35.3700	1,061.10	39.4900	1,184.70	123.60	34 2.83
		02/15/12	102	35.3700	3,607.74	39.4900	4,027.98	420.24	115 2.83
		04/11/12	32	35.0900	1,122.88	39.4900	1,263.68	140.80	36 2.83

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
WILLIS GROUP HOLDINGS	WSH	04/11/12	110	35.0900	3,859.90	39.4900	4,343.90	484.00	124	2.83
		11/28/12	320	33.9158	10,853.08	39.4900	12,636.80	1,783.74	359	2.83
		01/10/13	37	34.7921	1,287.31	39.4900	1,461.13	173.82	42	2.83
Subtotal			1,409		50,607.07		55,641.41	5,034.34	1,587	2.83
WINDSTREAM CORP	WIN	03/01/11	70	12.5100	875.70	7.9300	555.10	(320.60)	70	12.61
		03/04/11	338	12.3900	4,187.82	7.9300	2,680.34	(1,507.48)	338	12.61
		03/04/11	338	12.3900	4,187.82	7.9300	2,680.34	(1,507.48)	338	12.61
		03/07/11	10	12.7170	127.17	7.9300	79.30	(47.87)	10	12.61
		03/07/11	30	12.7166	381.50	7.9300	237.90	(143.60)	30	12.61
		03/07/11	63	12.7166	801.15	7.9300	499.59	(301.56)	63	12.61
		03/07/11	47	12.7165	597.68	7.9300	372.71	(224.97)	47	12.61
		04/06/11	142	12.6500	1,796.30	7.9300	1,126.06	(670.24)	142	12.61
		04/06/11	142	12.6500	1,796.30	7.9300	1,126.06	(670.24)	142	12.61
		08/14/12	145	9.4500	1,370.25	7.9300	1,149.85	(220.40)	145	12.61
		03/05/13	359	8.7593	3,144.59	7.9300	2,846.87	(297.72)	359	12.61
Subtotal			1,684		19,266.28		13,354.12	(5,912.16)	1,684	12.61
WINTRUST FINL CP ILL COM	WTFC	11/27/12	20	36.7300	734.60	37.0400	740.80	6.20	4	.48
		11/27/12	9	36.4811	328.33	37.0400	333.36	5.03	2	.48
		11/28/12	11	36.0600	396.66	37.0400	407.44	10.78	2	.48
		03/25/13	11	36.9690	406.66	37.0400	407.44	.78	2	.48
Subtotal			51		1,866.25		1,889.04	22.79	10	.48
WISCONSIN ENERGY CORP	WEC	03/27/12	15	35.2100	528.15	42.8900	643.35	115.20	21	3.17
		08/13/12	13	38.9400	506.22	42.8900	557.57	51.35	18	3.17
		08/13/12	36	38.9400	1,401.84	42.8900	1,544.04	142.20	49	3.17
		08/13/12	81	38.9400	3,154.14	42.8900	3,474.09	319.95	111	3.17
		09/18/12	54	37.0200	1,999.08	42.8900	2,316.06	316.98	74	3.17
		09/18/12	101	37.0200	3,739.02	42.8900	4,331.89	592.87	138	3.17
		01/30/13	64	39.0187	2,497.20	42.8900	2,744.96	247.76	88	3.17
Subtotal			364		13,825.65		15,611.96	1,786.31	499	3.17

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

Equities (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
WMS INDS INC COM	WMS	11/27/12	120	16.7140	2,005.69	25.2100	3,025.20	1,019.51		
		11/28/12	40	16.4000	656.00	25.2100	1,008.40	352.40		
Subtotal			160		2,661.69		4,033.60	1,371.91		
WOLSELEY PLC SHS ADR	WOSY	11/27/12	1,187	4.7150	5,596.71	5.0200	5,958.74	362.03	100	1.67
		11/28/12	584	4.6836	2,641.57	5.0200	2,831.28	189.71	48	1.67
Subtotal			1,751		8,238.28		8,790.02	551.74	148	1.67
WOLTERS KLUWR NV SPN ADR	WTKWY	11/27/12	730	18.6800	13,636.40	21.7600	15,884.80	2,248.40	539	3.39
		11/28/12	220	18.6800	4,109.60	21.7600	4,787.20	677.60	163	3.39
		01/23/13	520	20.1285	10,466.87	21.7600	11,315.20	848.33	384	3.39
Subtotal			1,470		28,212.87		31,987.20	3,774.33	1,086	3.39
WOOLWORTHS HLDGS LTD	WLWHY	07/10/12	370	64.8400	23,990.80	76.7500	28,397.50	4,406.70	1,043	3.67
WORLD FUEL SERVICES CRP	INT	03/04/11	76	40.5100	3,078.76	39.7200	3,018.72	(60.04)	12	.37
WSTN DIGITAL CORP DEL	WDC	07/20/12	19	32.0700	609.33	50.2900	955.51	346.18	19	1.98
		09/20/12	14	39.1500	548.10	50.2900	704.06	155.96	14	1.98
		10/18/12	109	36.0300	3,927.27	50.2900	5,481.61	1,554.34	109	1.98
Subtotal			142		5,084.70		7,141.18	2,056.48	142	1.98
WYNN MACAU LTD SHS	WYNNY	11/12/12	16	29.2500	468.00	26.8000	428.80	(39.20)		
UNSP ADR		11/27/12	440	29.3900	12,931.60	26.8000	11,792.00	(1,139.60)		
		11/28/12	160	29.3200	4,691.20	26.8000	4,288.00	(403.20)		
		03/20/13	388	27.2976	10,591.47	26.8000	10,398.40	(193.07)		
Subtotal			1,004		28,682.27		26,907.20	(1,775.07)		
XCEL ENERGY INC	XEL	09/13/11	37	24.1600	893.92	29.7000	1,098.90	204.98	40	3.63
		10/06/11	113	24.5300	2,771.89	29.7000	3,356.10	584.21	123	3.63
		10/27/11	115	26.2200	3,015.30	29.7000	3,415.50	400.20	125	3.63
		12/01/11	100	26.3500	2,635.00	29.7000	2,970.00	335.00	108	3.63
		03/21/12	45	26.2800	1,182.60	29.7000	1,336.50	153.90	49	3.63
		02/20/13	80	28.3575	2,268.60	29.7000	2,376.00	107.40	87	3.63
Subtotal			490		12,767.31		14,553.00	1,785.69	532	3.63

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
XEROX CORP	XRXX	03/01/11	2,232	10.6300	23,726.16	8.6000	19,195.20	(4,530.96)	514	2.67
		03/04/11	806	10.4500	8,422.70	8.6000	6,931.60	(1,491.10)	186	2.67
		04/11/12	627	7.8500	4,921.95	8.6000	5,392.20	470.25	145	2.67
		07/20/12	420	6.8500	2,877.00	8.6000	3,612.00	735.00	97	2.67
		11/28/12	190	6.6056	1,255.08	8.6000	1,634.00	378.92	44	2.67
Subtotal			4,275		41,202.89		36,765.00	(4,437.89)	986	2.67
YAHOO JAPAN CORP SHS	YAHXY	11/27/12	376	11.2000	4,211.20	15.3700	5,779.12	1,567.92	45	.76
		11/28/12	160	11.2600	1,801.60	15.3700	2,459.20	657.60	19	.76
Subtotal			536		6,012.80		8,238.32	2,225.52	64	.76
YARA INTL ASA SP ADR	YARIY	11/27/12	10	47.8000	478.00	45.6000	458.00	(22.00)	20	4.17
YPF SOCIEDAD ANON SPN	YPF	03/31/11	421	43.7200	18,406.12	14.2900	6,016.09	(12,390.03)	68	1.11
		08/15/11	42	38.7400	1,627.08	14.2900	600.18	(1,026.90)	7	1.11
		11/08/11	257	36.0100	9,254.57	14.2900	3,672.53	(5,582.04)	42	1.11
Subtotal			720		29,287.77		10,288.80	(18,998.97)	117	1.11
YUM BRANDS INC	YUM	01/20/11	38	48.6200	1,847.56	71.9400	2,733.72	886.16	51	1.86
		03/04/11	78	50.7400	3,957.72	71.9400	5,611.32	1,653.60	105	1.86
		02/05/13	93	62.1384	5,778.88	71.9400	6,690.42	911.54	125	1.86
Subtotal			209		11,584.16		15,035.46	3,451.30	281	1.86
ZILLOW INC SHS	CL A	11/27/12	20	25.6500	513.00	54.6700	1,093.40	580.40		
		11/28/12	10	26.2590	262.59	54.6700	546.70	284.11		
Subtotal			30		775.59		1,640.10	864.51		
ZIMMER HOLDINGS INC COM	ZMH	03/16/12	44	63.7400	2,804.56	75.2200	3,309.68	505.12	36	1.06
		03/21/12	50	63.7400	3,187.00	75.2200	3,761.00	574.00	40	1.06
Subtotal			94		5,991.56		7,070.68	1,079.12	76	1.06

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RICHARD L KAY TEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
ZURICH INSURANCE GROUP		ZURVY	12/22/10	203	25.6848	5,214.02	28.0200	5,688.06	474.04		
AG SHS SPON ADR			01/20/11	716	26.3048	18,834.24	28.0200	20,062.32	1,228.08		
			03/01/11	261	28.6947	7,489.34	28.0200	7,313.22	(176.12)		
			03/04/11	698	28.7748	20,084.82	28.0200	19,557.96	(526.86)		
			08/16/11	71	23.7447	1,685.88	28.0200	1,989.42	303.54		
			07/20/12	200	22.2200	4,444.00	28.0200	5,604.00	1,160.00		
Subtotal				2,149		57,752.30		60,214.98	2,462.68		
TOTAL						15,310,120.62		16,811,153.75	1,500,611.47	342,437	2.04

MUTUAL FUNDS/CLOSED END FUNDS/UIT

Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Current Yield%
ALTEGRIS MANAGED FUTURES STRATEGY FUND CL I	360,048	3,367,667.98	9.5400	3,434,857.92	67,189.94	3,367,667	67,189		
SYMBOL: MFTIX Initial Purchase: 11/28/12 Alternative Investments 100%									

ARTISAN INTERNATIONAL FUND INVESTOR CL	19,200	462,144.00	26.0700	500,544.00	38,400.00	462,144	38,400	5,261	1.05
SYMBOL: ARTIX Initial Purchase: 11/28/12 Equity 100%									

FIXED INCOME SHARES SERIES C F CL INSTL	30,316	416,402.96	13.5000	409,268.00	(7,136.96)	416,402	(7,136)	19,187	4.68
SYMBOL: FXICX Initial Purchase: 11/28/12 Fixed Income 100%									

FIXED INCOME SHARES SERIES M F CL INSTL	36,449	410,416.86	11.1900	407,884.31	(2,552.55)	410,416	(2,552)	14,977	3.67
SYMBOL: FXIMX Initial Purchase: 11/28/12 Fixed Income 100%									

RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
ISHARES MSCI EAFE INDEX FUND	5,577	313,351.31	58.9800	328,931.46	15,580.15	313,351	15,580	9,805 2.98
SYMBOL: EFA Equity 100% Initial Purchase: 11/27/12								
ISHARES TR RUSSELL MICROCAP INDEX FD	930	46,724.51	58.6600	54,553.80	7,829.29	46,724	7,829	941 1.72
SYMBOL: IWC Equity 100% Initial Purchase: 11/27/12								
ISHARES TR RUSSELL 2000 INDEX FD	1,160	93,887.86	94.4300	109,538.80	15,650.94	93,887	15,650	1,971 1.79
SYMBOL: IWM Equity 100% Initial Purchase: 11/27/12								
JPMORGAN HIGHBRIDGE DYNAMIC FUND CL SELECT	80,570	1,521,411.22	13.5500	1,091,723.50	(429,687.72)	1,521,411	(429,687)	66,301 6.07
SYMBOL: HDCSX Alternative Investments 100% Initial Purchase: 12/22/10 .0850 Fractional Share		1.55	13.5500	1.15	(0.40)			1 6.07
MAINSTAY MARKETFIELD FUND CL I	117,348	1,837,669.67	16.6600	1,955,017.68	117,348.01	1,837,669	117,348	
SYMBOL: MFLDX Alternative Investments 100% Initial Purchase: 11/28/12								
NATIXIS ASG GLOBAL ALTERNATIVES FUND CL Y	84,385	916,515.37	11.0700	934,141.95	17,626.58	916,515	17,626	
SYMBOL: GAFYX Alternative Investments 100% Initial Purchase: 12/22/10								

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST ASSETS

March 01, 2013 - March 28, 2013

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
.1230 Fractional Share		1.35	11 0700	1.36	.01			
PIMCO HIGH YIELD FD CL P SYMBOL: PHLPX Initial Purchase:12/03/12 Fixed Income 100%	193,318	1,855,852.80	9.7100	1,877,117.78	21,264.98	1,855,852	21,264	5.83
PIMCO EMERGING MARKETS BOND FD CL P SYMBOL: PEMPX Initial Purchase:11/29/12 Fixed Income 100%	99,300	1,236,285.00	12.2000	1,211,480.00	(24,825.00)	1,236,285	(24,825)	4.51
PRUDENTIAL GLOBAL REAL ESTATE FUND CL Z SYMBOL: PURZX Initial Purchase:11/28/12 Equity 100%	103,549	2,189,025.85	22.9300	2,374,378.57	185,352.72	2,189,025	185,352	1.51
SCHRODER EMERGING MARKET EQUITY FUND INV CL SYMBOL: SEMNX Initial Purchase:01/20/11 Equity 100%	43,562	603,730.49	13.3900	583,295.18	(20,435.31)	603,730	(20,435)	84
.8850 Fractional Share		12.28	13.3900	11.85	(0.43)			1 84
TEMPLETON GLBL BOND FD ADV CL SYMBOL: TGBAX Initial Purchase:08/15/11 Fixed Income 100%	45,511	619,579.82	13.4000	609,847.40	(9,732.42)	619,579	(9,732)	5.79
.3730 Fractional Share		4.84	13.4000	5.00	.16			1 5.79

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RICHARD L KAY TTEE

Account Number: 850-21258

March 01, 2013 - March 28, 2013

YOUR CMA FOR TRUST ASSETS

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value
TOTAL		15,890,885.72		15,982,557.71

Unsettled Trades
see attached

Cost
33,878.31

Estimated
Market Value
33,878.31

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value
TOTAL	31,166,928.03	32,659,933.15

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST TRANSACTIONS

March 01, 2013 - March 28, 2013

UNSETTLED TRADES

Trade Date	Settlement Date	Description	Symbol/ Cusip	Transaction Type	Quantity	Price	Amount
03/26	04/01	FORESTAR GROUP INC	FOR	Purchase	54	22.1503	(1,196.12)
03/26	04/01	INTL PAPER CO	IP	Sale	84	45.7571	3,843.51
03/26	04/01	KEYW HLDG CORP	KEYW	Purchase	17	16.1260	(274.14)
03/26	04/01	KLA TENCOR CORP PV\$0.001	KLAC	Purchase	35	52.0483	(1,821.69)
03/26	04/01	MARKET LEADER INC	LEDR	Purchase	66	8.9501	(590.71)
03/26	04/01	ORKLA AS A SHS ADR	ORKLY	Purchase	604	8.1889	(4,946.10)
03/26	04/01	SEATTLE GENETICS INC COM	SGEN	Sale	3	35.8820	107.64
03/26	04/01	SOCIETE GENERAL SPN ADR	SCGLY	Purchase	3,308	6.6484	(22,036.90)
03/26	04/01	U S SILICA HLDGS INC	SLCA	Purchase	10	23.2300	(232.30)

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RICHARD L KAY TEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

March 01, 2013 - March 28, 2013

UNSETTLED TRADES (continued)

Trade Date	Settlement Date	Description	Symbol/ Cusip	Transaction Type	Quantity	Price	Amount
03/26	04/01	VALERO ENERGY CORP NEW	VLO	Sale	157	44.8477	7,040.93
03/26	04/01	WEBMD HEALTH CORP	WBMD	Purchase	11	24.4600	(269.06)
03/27	04/02	AN S Y INC COM	ANSS	Purchase	5	79.2500	(396.25)
03/27	04/02	ACORDA THERAPEUTICS INC	ACOR	Purchase	5	31.4073	(157.04)
03/27	04/02	ACTUANT CORP CL A	ATU	Sale	105	30.2593	3,177.16
03/27	04/02	ADVENT SOFTWARE INC	ADVS	Sale	10	27.3232	273.22
03/27	04/02	AIR LEASE CORP CL A	AL	Sale	5	28.9843	144.91
03/27	04/02	AIR METHODS CRP COM\$0.06	AIRM	Sale	5	48.8700	244.34
03/27	04/02	ALBEMARLE CORP COM	ALB	Sale	5	61.7218	308.60
03/27	04/02	ALERE INC	ALR	Purchase	105	25.0227	(2,627.38)
03/27	04/02	ALEXANDER & BALDWIN INC	ALEX	Sale	5	35.4340	177.16
03/27	04/02	ALIGN TECH INC DEL COM	ALGN	Sale	5	33.6460	168.22
03/27	04/02	ALKERMES PLC NEW	ALKS	Sale	5	23.6515	118.25
03/27	04/02	ALLSCRIPTS HEALTHCARE	MDRX	Sale	15	13.5735	203.59
03/27	04/02	AMERICAN AXLE&MFG HLDGS	AXL	Purchase	335	13.4600	(4,509.10)
03/27	04/02	AMERICAN EQUITY INVT	AEL	Purchase	220	14.9682	(3,293.00)
03/27	04/02	AMERICAN STATES WATER CO	AWR	Purchase	30	56.6420	(1,699.26)
03/27	04/02	AMTR FINL SRVS INC	AFSI	Purchase	75	34.5643	(2,592.32)
03/27	04/02	ANIXTER INTL INC	AXE	Purchase	20	70.0180	(1,400.36)
03/27	04/02	AOL INC	AOL	Sale	5	39.0541	195.26
03/27	04/02	ARCTIC CAT INC COM	ACAT	Purchase	83	43.1985	(3,585.48)
03/27	04/02	ARGO GROUP INTERNATIONAL	AGII	Purchase	49	40.9462	(2,006.36)
03/27	04/02	ARIAD P NEW COM\$0.001RTD	ARIA	Sale	10	18.3300	183.29
03/27	04/02	ARTISAN PARTNERS ASSET	APAM	Sale	5	37.9509	189.74
03/27	04/02	ASHFORD HOSPITALITY TR	AHT	Purchase	170	12.5346	(2,130.88)
03/27	04/02	ASPEN INSURANCE HLD\$ LTD	AHL	Sale	80	38.3448	3,067.51
03/27	04/02	ATWOOD OCEANICS INC	ATW	Sale	135	50.8653	6,866.67
03/27	04/02	AVIS BUDGET GROUP INC	CAR	Sale	5	27.3000	136.49

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Account Number: 850-21258

RICHARD L KAY TTEE

YOUR CMA FOR TRUST TRANSACTIONS

March 01, 2013 - March 28, 2013

UNSETTLED TRADES (continued)

Trade Date	Settlement Date	Description	Symbol/ Cusip	Transaction Type	Quantity	Price	Amount
03/27	04/02	AVISTA CORP	AVA	Purchase	60	27.1154	(1,626.92)
03/27	04/02	AZZ INC TEXAS COM	AZZ	Purchase	55	48.0314	(2,641.73)
03/27	04/02	BALLY TECHNOLOGIES INC	BYI	Sale	5	51.7701	258.84
03/27	04/02	BANCFIRST CORP COM	BANF	Sale	14	42.0275	588.38
03/27	04/02	BANCORPSOUTH INC	BXS	Sale	5	16.3401	81.69
03/27	04/02	BANK OF THE OZARKS INC	OZRK	Purchase	40	44.4300	(1,777.20)
03/27	04/02	BARNES GROUP INC DE \$0.01	B	Purchase	47	28.7437	(1,350.95)
03/27	04/02	BARRETT BILL CORP	BBG	Sale	5	20.2400	101.19
03/27	04/02	BELDEN INC	BDC	Sale	50	50.6226	2,531.07
03/27	04/02	BELO CORP SER A	BLC	Purchase	280	9.7469	(2,729.13)
03/27	04/02	BENCHMARK ELECTRONICS	BHE	Sale	268	18.0534	4,838.20
03/27	04/02	BERKSHIRE HILLS BANCORP	BHLB	Purchase	50	25.7396	(1,286.98)
03/27	04/02	BERRY PETE SF CALIF CL A	BRY	Sale	60	46.2521	2,775.07
03/27	04/02	BIO REFERENCE LABS0.01NEW	BRLI	Sale	200	26.2872	5,257.32
03/27	04/02	BIOMED REALTY TR INC	BMR	Purchase	75	21.6914	(1,626.86)
03/27	04/02	BIOSCRIP, INC.	BIOS	Sale	5	12.5600	62.79
03/27	04/02	BLACK HILLS CORP	BKH	Purchase	45	43.9447	(1,977.51)
03/27	04/02	BLACKBAUD INC	BLKB	Sale	80	29.6569	2,372.50
03/27	04/02	BLOUNT INTL INC	BLT	Purchase	66	13.3726	(882.59)
03/27	04/02	BLUCORA INC	BCOR	Purchase	115	15.3633	(1,766.78)
03/27	04/02	BOB EVANS FARMS INC	BOBE	Sale	70	42.2837	2,959.79
03/27	04/02	BONANZA CREEK ENERGY INC	BCEI	Sale	5	38.2000	190.99
03/27	04/02	BRANDYWINE RLTY T SBI NEW	BDN	Sale	25	14.7371	368.42
03/27	04/02	BRINKS CO	BCO	Sale	30	27.9443	838.31
03/27	04/02	BRISTOW GROUP INC	BRS	Purchase	5	65.9057	(329.53)
03/27	04/02	BROADSOFT INC	BSFT	Sale	5	27.3300	136.64
03/27	04/02	BROWN SHOE CO INC COM	BWS	Sale	94	16.1763	1,520.54
03/27	04/02	BUCKEYE TECHNOLOGIES INC	BKI	Sale	100	29.2806	2,927.99

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

March 01, 2013 - March 28, 2013

UNSETTLED TRADES (continued)

Trade Date	Settlement Date	Description	Symbol/ Cusip	Transaction Type	Quantity	Price	Amount
03/27	04/02	BUFFALO WILD WINGS INC	BWLD	Purchase	35	87.9045	(3,076.66)
03/27	04/02	CABELAS INC	CAB	Sale	5	60.4723	302.35
03/27	04/02	CAIRN ENERGY PLC SHS ADR	CRNCY	Sale	249	8.2473	2,053.53
03/27	04/02	CAIRN ENERGY PLC SHS ADR	CRNCY	Sale	110	8.2473	907.18
03/27	04/02	CALGON CARBON CORP	CCC	Sale	385	17.9434	6,908.06
03/27	04/02	CAPITAL SENIOR LIVING	CSU	Sale	10	26.8386	268.38
03/27	04/02	CARBO CERAMICS INC	CRR	Purchase	20	94.0084	(1,880.13)
03/27	04/02	CARDIOVASCULAR SYS INC	CSII	Sale	10	20.0788	200.78
03/27	04/02	CARDTRONICS INC	CATM	Purchase	54	26.8009	(1,447.25)
03/27	04/02	CASEYS GEN STORES INC	CASY	Purchase	10	58.2807	(582.81)
03/27	04/02	CASH AMERICAN INTL INC	CSH	Sale	70	52.1067	3,647.39
03/27	04/02	CATO CORP NEW CL A	CATO	Purchase	49	24.1165	(1,181.71)
03/27	04/02	CAVIUM INC	CAVM	Sale	5	38.1901	190.94
03/27	04/02	CEC ENTMT INC KANS \$0.1	CEC	Sale	45	32.9587	1,483.11
03/27	04/02	CENTENE CORP	CNC	Purchase	60	44.2465	(2,654.79)
03/27	04/02	CHART INDS INC	GTLS	Sale	168	79.4075	13,340.16
03/27	04/02	CHEMICAL FINL CORP	CHFC	Purchase	7	26.4170	(184.92)
03/27	04/02	CHESAPEAKE LODGING TR	CHSP	Purchase	115	22.8073	(2,622.84)
03/27	04/02	CHUYS HLDGS INC	CHUY	Sale	5	31.1400	155.69
03/27	04/02	CINEMARK HLDGS INC	CNK	Purchase	130	29.1315	(3,787.10)
03/27	04/02	CLARCOR INC	CLC	Purchase	45	52.1946	(2,348.76)
03/27	04/02	CLECO CORP NEW LOUISIANA	CNL	Purchase	36	46.5076	(1,674.27)
03/27	04/02	CMNTY BANK SYS INC DEL	CBU	Sale	70	29.7210	2,080.42
03/27	04/02	CNO FINL GROUP INC	CNO	Purchase	245	11.4657	(2,809.10)
03/27	04/02	COHERENT INC CAL	COHR	Sale	5	56.7500	283.74
03/27	04/02	COINSTAR INC	CSTR	Sale	130	57.0928	7,421.89
03/27	04/02	COLFAX CORP	CFX	Sale	5	46.0000	229.99
03/27	04/02	COLUMBIA SPORTSWEAR CO	COLM	Sale	5	58.1193	290.59

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RICHARD L KAY TTEE

Account Number: 850-21258

March 01, 2013 - March 28, 2013

YOUR CMA FOR TRUST TRANSACTIONS

UNSETTLED TRADES (continued)

Trade Date	Settlement Date	Description	Symbol/ Cusip	Transaction Type	Quantity	Price	Amount
03/27	04/02	COMMUNITY TR BANCORP INC	CTBI	Purchase	16	34.2222	(547.56)
03/27	04/02	COMPASS MINERALS INTL	CMP	Sale	40	77.9796	3,119.11
03/27	04/02	CONNS INC	CONN	Sale	5	34.9800	174.89
03/27	04/02	COOPER TIRE RUBBER	CTB	Sale	5	26.7601	133.79
03/27	04/02	CORNERSTONE ONDEMAND INC	CSOD	Sale	15	33.2500	498.74
03/27	04/02	CORRECTIONS CORP OF AMER	CXW	Sale	15	38.2031	573.04
03/27	04/02	CRANE CO DELAWARE	CR	Purchase	40	55.2950	(2,211.80)
03/27	04/02	CTS CORPORATION	CTS	Sale	130	10.3614	1,346.95
03/27	04/02	CUBESMART COM	CUBE	Sale	128	15.7960	2,021.84
03/27	04/02	CUBIC CORP DEL	CUB	Sale	50	43.1261	2,156.26
03/27	04/02	CUBIST PHARMACEUTICALS	CBST	Sale	5	46.5628	232.80
03/27	04/02	CURTISS WRIGHT	CW	Sale	40	34.9240	1,396.93
03/27	04/02	CYBERONICS INC	CYBX	Purchase	45	46.2762	(2,082.43)
03/27	04/02	CYPRESS SEMICNDTR PV1CTS	CY	Sale	110	10.9853	1,208.35
03/27	04/02	DEL FRISCOS RESTAURANT	DFRG	Sale	5	16.7587	83.78
03/27	04/02	DEXCOM INC	DXCM	Sale	10	16.9243	169.23
03/27	04/02	DIODES INC COM	DIOD	Purchase	33	20.7756	(685.59)
03/27	04/02	DOMINOS PIZZA INC	DPZ	Purchase	5	50.8000	(254.00)
03/27	04/02	DRIL QUIP	DRQ	Sale	5	87.4100	437.04
03/27	04/02	DSW INC	DSW	Purchase	45	64.1323	(2,885.95)
03/27	04/02	DUPONT FABROS TECHNOLOGY	DFT	Sale	30	24.2821	728.44
03/27	04/02	EAGLE MATERIALS INC	EXP	Sale	5	65.3900	326.94
03/27	04/02	EARTHLINK INC COM	ELNK	Purchase	214	5.4521	(1,166.75)
03/27	04/02	EATON VANCE CORP NVT	EV	Sale	10	41.0076	410.07
03/27	04/02	ECHO GLOBAL LOGISTIC INC	ECHO	Sale	5	21.6986	108.48
03/27	04/02	EDUCATION REALTY TR INC	EDR	Purchase	125	10.5184	(1,314.80)
03/27	04/02	EL PASO ELECTRIC CO NEW	EE	Sale	70	33.2791	2,329.49
03/27	04/02	ELECTRONICS FOR IMAGING	EFI	Purchase	120	25.9119	(3,109.43)

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

March 01, 2013 - March 28, 2013

UNSETTLED TRADES (continued)

Trade Date	Settlement Date	Description	Symbol/ Cusip	Transaction Type	Quantity	Price	Amount
03/27	04/02	ENDOLOGIX INC	ELGX	Sale	10	16.2378	162.37
03/27	04/02	ENDURANCE SPECIALTY HLDG	ENH	Sale	220	46.7953	10,294.74
03/27	04/02	ENERSYS	ENS	Purchase	85	44.9138	(3,817.67)
03/27	04/02	ENPRO INDS INC	NPO	Purchase	35	50.0518	(1,751.81)
03/27	04/02	ENTEGRIIS INC MINNESOTA	ENTG	Purchase	245	9.8115	(2,403.82)
03/27	04/02	EPAM SYSTEMS INC SHS	EPAM	Sale	5	22.8700	114.34
03/27	04/02	EPL OIL AND GAS INC	EPL	Purchase	110	27.2043	(2,992.47)
03/27	04/02	EVERCORE PARTNERS INC	EVR	Purchase	35	41.9133	(1,466.97)
03/27	04/02	EXAR CORP DELAWARE COM	EXAR	Sale	5	10.3493	51.74
03/27	04/02	EZCHIP SEMICONDUCTOR LTD	EZCH	Sale	5	23.7136	118.56
03/27	04/02	EZOPEN INC	EOPN	Sale	10	21.1538	211.53
03/27	04/02	F N B CORP	FNB	Purchase	86	11.8112	(1,015.76)
03/27	04/02	FABRINET	FN	Purchase	61	14.1787	(864.90)
03/27	04/02	FAIRCHILD SEMICON INTL	FCS	Sale	5	13.9000	69.49
03/27	04/02	FIESTA RESTAURANT GROUP	FRGI	Sale	5	26.0900	130.44
03/27	04/02	FIFTH & PACIFIC CO INC	FNP	Sale	10	18.8701	188.69
03/27	04/02	FINISAR CORPORATION	FNSR	Purchase	130	13.4820	(1,752.66)
03/27	04/02	FINISH LINE INC CL A	FINL	Sale	130	18.2144	2,367.82
03/27	04/02	FINL ENGINES INC	FNGN	Sale	5	36.0318	180.15
03/27	04/02	FIRST INDL REALTY TR INC	FR	Sale	10	16.8661	168.65
03/27	04/02	FIRSTMERIT CORP	FMER	Purchase	42	16.5176	(693.74)
03/27	04/02	FLEETMATICS GROUP PLC	FLTX	Sale	5	23.9824	119.90
03/27	04/02	FLOWERS FOODS INC COM	FLO	Purchase	60	32.5473	(1,952.84)
03/27	04/02	FLUSHING FINCL CORP	FFIC	Purchase	38	16.8323	(639.63)
03/27	04/02	FORESTAR GROUP INC	FOR	Sale	5	22.2000	110.99
03/27	04/02	FORTINET INC	FTNT	Sale	15	23.0543	345.80
03/27	04/02	FORWARD AIR CORP	FWRD	Sale	100	37.2142	3,721.34
03/27	04/02	FRANKLIN EL CO PV10CT	FELE	Purchase	55	33.6708	(1,851.89)

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RICHARD L KAY TTEE

YOUR CMA FOR TRUST TRANSACTIONS

March 01, 2013 - March 28, 2013

UNSETTLED TRADES (continued)

Trade Date	Settlement Date	Description	Symbol/ Cusip	Transaction Type	Quantity	Price	Amount
03/27	04/02	FRESH MKT INC	TFM	Sale	5	42.6823	213.40
03/27	04/02	FUEL SYSTEMS SOLUTIONS	FSYS	Sale	190	16.5951	3,153.00
03/27	04/02	FULTON FINL CORP PA	FULT	Sale	233	11.6192	2,707.21
03/27	04/02	FUSION-IO INC COM	FIO	Sale	10	16.3422	163.41
03/27	04/02	G&K SERVICES INC CL A	GK	Purchase	30	45.1592	(1,354.78)
03/27	04/02	G-III APPAREL GROUP LTD	GIII	Purchase	45	39.4285	(1,774.28)
03/27	04/02	GATX CORPORATION	GMT	Purchase	28	50.7873	(1,422.04)
03/27	04/02	GENCORP INC	GY	Sale	10	13.2012	132.00
03/27	04/02	GENERAC HLDGS INC	GNRC	Sale	5	35.2000	175.99
03/27	04/02	GENESCO INC	GCO	Sale	10	58.7542	587.53
03/27	04/02	GENMARK DIAGNOSTICS INC	GNMK	Sale	10	12.1101	121.09
03/27	04/02	GEO GROUP INC	GEO	Sale	5	36.5449	182.71
03/27	04/02	GLIMCHER REALTY TR SBI	GRT	Sale	10	11.5117	115.11
03/27	04/02	GLOBUS MED INC	GMED	Sale	10	14.6301	146.29
03/27	04/02	GOVT PPTYS INCOME TR	GOV	Sale	70	25.4406	1,780.80
03/27	04/02	GRAHAM CORP DEL	GHM	Sale	5	24.2300	121.14
03/27	04/02	GRAPHIC PACKAGING HLDG C	GPK	Purchase	285	7.4700	(2,128.95)
03/27	04/02	GREATBATCH INC	GB	Purchase	48	30.3554	(1,457.06)
03/27	04/02	GULFMARK OFSHRE INC CLA	GLF	Purchase	32	39.0267	(1,248.85)
03/27	04/02	GULFPORT ENERGY NEW\$0.01	GPOR	Purchase	45	46.2644	(2,081.90)
03/27	04/02	H AND E EQUIP SVCS INC	HEES	Sale	5	19.5820	97.90
03/27	04/02	HAEMONETICS CORP MASS	HAE	Purchase	31	41.2523	(1,278.82)
03/27	04/02	HALCON RESOURCES CORP	HK	Sale	20	7.6543	153.08
03/27	04/02	HANCOCK HOLDING CO	HBHC	Sale	160	30.8902	4,942.32
03/27	04/02	HANGER INC	HGR	Purchase	45	31.8512	(1,433.30)
03/27	04/02	HARMONIC INC \$0.001	HLIT	Sale	595	5.7467	3,419.21
03/27	04/02	HATTERAS FINL CORP	HTS	Sale	70	27.2684	1,908.75
03/27	04/02	HEALTHCARE REALTY TR	HR	Purchase	63	28.1625	(1,774.24)

RICHARD L KAY TTEE

Account Number: 850-21258

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YOUR CMA FOR TRUST TRANSACTIONS

March 01, 2013 - March 28, 2013

UNSETTLED TRADES (continued)

Trade Date	Settlement Date	Description	Symbol/ Cusip	Transaction Type	Quantity	Price	Amount
03/27	04/02	HECLA MINING CO DEL	HL	Sale	1,282	3.9703	5,089.81
03/27	04/02	HELEN OF TRY LTD	HELE	Purchase	45	38.2432	(1,720.94)
03/27	04/02	HFF INC	HF	Sale	5	19.2500	96.24
03/27	04/02	HMS HLDGS CORP	HMSY	Sale	5	28.1075	140.53
03/27	04/02	HOME BANCSHARES INC	HOMB	Purchase	45	37.4612	(1,685.75)
03/27	04/02	HOME PROPERTIES INC	HME	Purchase	25	63.8296	(1,595.74)
03/27	04/02	HUNTINGTON INGALLS INDS	HII	Sale	53	52.7228	2,794.25
03/27	04/02	IBERIABANK CORP COM	IBKC	Sale	39	49.8558	1,944.34
03/27	04/02	ICU MEDICAL INC	ICUI	Purchase	26	59.6501	(1,550.90)
03/27	04/02	IMPAX LABS INC	IPXL	Sale	195	15.4047	3,003.85
03/27	04/02	INNOPHOS HLDGS INC	IPHS	Sale	30	54.9062	1,647.15
03/27	04/02	INTERDIGITAL, INC.	IDCC	Sale	90	47.1384	4,242.36
03/27	04/02	INTERSIL CORP CL A	ISIL	Sale	250	8.7490	2,187.20
03/27	04/02	IPG PHOTONICS CORP DEL	IPGP	Sale	5	66.4291	332.14
03/27	04/02	ISHARES TR RUSSELL	IWC	Purchase	41	58.4247	(2,395.41)
03/27	04/02	ISHARES TR RUSSELL 2000	IWM	Sale	615	93.7388	57,648.07
03/27	04/02	ITRON INC	ITRI	Sale	110	45.5570	5,011.16
03/27	04/02	IXIA COM	XXIA	Sale	321	21.1121	6,776.83
03/27	04/02	JACK IN THE BOX INC	JACK	Purchase	75	34.9434	(2,620.76)
03/27	04/02	JETBLUE AIRWAYS CORP DEL	JBLU	Sale	15	6.8417	102.62
03/27	04/02	J2 GLOBAL INC	JCOM	Purchase	180	39.0341	(7,026.14)
03/27	04/02	KAMAN CORP COM	KAMN	Sale	5	35.5524	177.75
03/27	04/02	KAPSTONE PAPR AND PKG	KS	Purchase	120	27.3584	(3,283.01)
03/27	04/02	KEYW HLDG CORP	KEYW	Sale	10	16.0601	160.59
03/27	04/02	KOPPERS HLDGS INC	KOP	Purchase	31	44.0747	(1,366.32)
03/27	04/02	KRATON PERFORMANCE POLYMRS	KRA	Sale	10	23.9849	239.84
03/27	04/02	LA-Z-BOY INC MICHIGAN	LZB	Sale	10	18.7735	187.73
03/27	04/02	LAKELAND FINCL CORP IND	LKFN	Sale	115	26.7432	3,075.40

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Account Number: 850-21258

YOUR CMA FOR TRUST TRANSACTIONS

March 01, 2013 - March 28, 2013

UNSETTLED TRADES (continued)

Trade Date	Settlement Date	Description	Symbol/ Cusip	Transaction Type	Quantity	Price	Amount
03/27	04/02	LINDSAY CORPORATION	LNN	Sale	140	85.1759	11,924.36
03/27	04/02	LITHIA MOTORS INC CL A	LAD	Purchase	45	47.0073	(2,115.33)
03/27	04/02	LITTELFUSE INC DEL COM	LFUS	Purchase	23	67.9926	(1,563.83)
03/27	04/02	LIVE NATION ENT INC	LWV	Sale	10	12.4032	124.02
03/27	04/02	LOUISIANA PAC CORP	LPX	Sale	15	21.3388	320.07
03/27	04/02	LSB INDUSTRIES INC	LXU	Purchase	75	34.4944	(2,587.08)
03/27	04/02	LTC PROPERTIES INC	LTC	Sale	52	40.2439	2,092.63
03/27	04/02	LUFKIN INDS INC	LUFK	Sale	5	55.8701	329.34
03/27	04/02	MACK CALI REALTY CORP	CLI	Purchase	90	28.8810	(2,581.29)
03/27	04/02	MADDEN STEVEN LTD	SHOO	Sale	108	43.0627	4,650.67
03/27	04/02	MARKET LEADER INC	LEDR	Sale	15	8.9234	133.84
03/27	04/02	MARRIOTT VACATIONS	VAC	Sale	5	42.9045	214.51
03/27	04/02	MARTIN MARIETTA MATLS	MLM	Sale	5	101.5240	507.61
03/27	04/02	MASTEC INC FLA	MTZ	Purchase	145	29.3133	(4,250.43)
03/27	04/02	MATRIX SERVICE CO	MTRX	Purchase	62	15.0995	(936.17)
03/27	04/02	MATSON INC	MATX	Sale	10	24.3119	243.11
03/27	04/02	MATTHEWS INTL INC CL A	MATW	Purchase	45	34.6002	(1,557.01)
03/27	04/02	MBIA INC COM	MBI	Sale	25	10.4044	260.10
03/27	04/02	MEASUREMENT SPLS INC COM	MEAS	Purchase	15	38.9540	(584.31)
03/27	04/02	MEDASSETS INC	MDAS	Purchase	135	19.2374	(2,597.05)
03/27	04/02	MEDICINES CO DEL COM	MDCO	Sale	5	33.1200	165.59
03/27	04/02	MEDIDATA SOLUTIONS INC	MDSO	Purchase	15	56.4891	(847.34)
03/27	04/02	MEDNAX INC	MD	Purchase	40	88.9762	(3,559.05)
03/27	04/02	MEREDITH CORP	MDP	Purchase	20	39.3866	(787.73)
03/27	04/02	MERIDIAN BIOSCIENCE INC	VIVO	Sale	63	22.6618	1,427.66
03/27	04/02	MERU NETWORKS INC	MERU	Sale	10	6.2500	62.49
03/27	04/02	MICREL INC	MCRL	Sale	20	10.4852	209.69
03/27	04/02	MICROS SYSTEMS INC	MCRS	Purchase	55	45.5649	(2,506.07)

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

March 01, 2013 - March 28, 2013

UNSETTLED TRADES (continued)

Trade Date	Settlement Date	Description	Symbol/ Cusip	Transaction Type	Quantity	Price	Amount
03/27	04/02	MICROSEMI CORP	MSCC	Purchase	120	22.7746	(2,732.95)
03/27	04/02	MID AMERICA APT CMNTYS	MAA	Purchase	25	69.0154	(1,725.39)
03/27	04/02	MINERALS TECHNOLOGIES	MTX	Purchase	55	41.2812	(2,270.47)
03/27	04/02	MONOLITHIC PWR SYSTEMS	MPWR	Sale	5	24.1909	120.94
03/27	04/02	MONRO MUFFLER BRAKE INC	MNRO	Purchase	50	40.1884	(2,009.42)
03/27	04/02	MONSTER WORLDWIDE INC	MWW	Sale	25	5.2039	130.09
03/27	04/02	MONTPELIER RE HLDGS LTD	MRH	Sale	90	25.9884	2,338.91
03/27	04/02	MOOG INC CL A	MOGA	Purchase	44	45.8403	(2,016.97)
03/27	04/02	MULTIFINELINE	MFLX	Purchase	37	14.5896	(539.82)
03/27	04/02	MVC CAPITAL INC	MVC	Sale	101	12.5934	1,271.90
03/27	04/02	MWI VETERINARY SUPPLY IN	MWIV	Sale	5	133.6834	668.41
03/27	04/02	MYR GROUP INC DEL	MYRG	Purchase	48	24.2919	(1,166.01)
03/27	04/02	MYRIAD GENETICS INC	MYGN	Sale	214	24.9436	5,337.81
03/27	04/02	NATIONSTAR MTG HLDGS INC	NSM	Sale	5	35.8500	179.24
03/27	04/02	NAVIGATORS GROUP INC	NAVG	Sale	41	58.2765	2,389.29
03/27	04/02	NEKTAR THERAPEUTICS	NKTR	Sale	5	11.0735	55.36
03/27	04/02	NETGEAR INC	NTGR	Purchase	80	33.6117	(2,688.94)
03/27	04/02	NEWCASTLE INVESTMENT CRP	NCT	Purchase	265	10.9900	(2,912.35)
03/27	04/02	NICE SYSTS LTD SPSD ADR	NICE	Sale	22	36.9191	812.20
03/27	04/02	NORTHWEST PIPE CO	NWPX	Sale	5	28.9346	144.66
03/27	04/02	NORTHWESTERN CORP	NWE	Purchase	31	39.6780	(1,230.02)
03/27	04/02	NOVADAQ TECHNOLOGIES INC	NVDQ	Sale	20	10.3238	206.47
03/27	04/02	NU SKIN ENTERPRS A \$ 001	NUS	Purchase	45	44.4817	(2,001.68)
03/27	04/02	OASIS PETE INC NEW	OAS	Sale	5	38.4600	192.29
03/27	04/02	OIL STS INTL INC DEL COM	OIS	Purchase	40	81.9732	(3,278.93)
03/27	04/02	OLD DOMINION FIGHT LINES	ODFL	Purchase	30	37.8475	(1,135.43)
03/27	04/02	OMNIVISION TECHS INC DEL	OVNI	Sale	220	13.9103	3,060.20
03/27	04/02	ORBITAL SCIENCES CORP	ORB	Purchase	172	16.7839	(2,886.83)

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST TRANSACTIONS

March 01, 2013 - March 28, 2013

UNSETTLED TRADES (continued)

Trade Date	Settlement Date	Description	Symbol/ Cusip	Transaction Type	Quantity	Price	Amount
03/27	04/02	OWENS & MINOR INC NEW COM	OMI	Sale	73	32.3658	2,362.65
03/27	04/02	PACIFIC DRILLING S.A.	PACD	Sale	15	9.8838	148.25
03/27	04/02	PACWEST BANCORP	PACW	Purchase	121	28.9691	(3,505.26)
03/27	04/02	PANTRY INC	PTRY	Sale	5	13.0000	64.99
03/27	04/02	PAREXEL INTRNL CORP	PRXL	Sale	125	39.1928	4,898.99
03/27	04/02	PENNYMAC MTG INVT TR	PMT	Purchase	100	25.7015	(2,570.15)
03/27	04/02	PERFORMANT FINL CORP	PFMT	Sale	10	12.6835	126.83
03/27	04/02	PERICOM SEMICONDUCTOR	PSEM	Purchase	41	6.8013	(278.85)
03/27	04/02	PINNACLE ENTMT INC COM	PNK	Sale	5	14.8906	74.44
03/27	04/02	PLANTRONICS INC NEW COM	PLT	Sale	120	43.4020	5,208.12
03/27	04/02	PLEXUS CORP COM	PLXS	Purchase	90	24.3161	(2,188.45)
03/27	04/02	POLYONE CORP COM	POL	Sale	5	23.7147	118.56
03/27	04/02	PORTFOLIO RECOVERY ASSOC	PRAA	Sale	80	125.2018	10,015.92
03/27	04/02	PORTLAND GEN ELEC CO	POR	Sale	110	30.0918	3,310.03
03/27	04/02	PRESTIGE BRANDS HLDG INC	PBH	Sale	211	25.6843	5,419.27
03/27	04/02	PRIMERICA INC COM	PRI	Sale	38	32.4067	1,231.42
03/27	04/02	PRIMORIS SERVICES CORP	PRIM	Purchase	130	22.1803	(2,883.44)
03/27	04/02	PROASSURANCE CORP	PRA	Sale	5	46.5414	232.70
03/27	04/02	PROCERA NETWORKS INC SHS	PKT	Sale	5	12.0200	60.09
03/27	04/02	PROGRESS SOFTWARE CORP	PRGS	Purchase	115	22.5887	(2,597.70)
03/27	04/02	PROSPERITY BANCSHARES	PB	Purchase	75	47.4425	(3,558.19)
03/27	04/02	PROTECTIVE LIFE CORP COM	PL	Purchase	35	35.6589	(1,248.06)
03/27	04/02	PS BUSINESS PARKS INC	PSB	Purchase	29	78.5263	(2,277.26)
03/27	04/02	PTC INC SHS	PMTG	Sale	5	24.8317	124.15
03/27	04/02	QLIK TECHNOLOGIES INC	QLIK	Sale	10	25.7698	257.69
03/27	04/02	QUESTOR PHARMACEUTICALS	QCOR	Sale	93	31.6437	2,942.79
03/27	04/02	RADIAN GROUP INC	RDN	Sale	10	10.3593	103.58
03/27	04/02	RAMCO-GERSENHSON PPTY SBI	RPT	Purchase	150	16.7410	(2,511.15)

RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

March 01, 2013 - March 28, 2013

UNSETTLED TRADES (continued)

Trade Date	Settlement Date	Description	Symbol/ Cusip	Transaction Type	Quantity	Price	Amount
03/27	04/02	REGAL BELOIT CORP WIS	RBC	Sale	5	81.4208	407.09
03/27	04/02	REGIS CORP MINN	RGS	Sale	120	18.0938	2,171.21
03/27	04/02	RESTORATION HARDWARE	RH	Sale	5	34.8660	174.32
03/27	04/02	RITCHIE BROS AUCTIONEERS	RBA	Sale	10	21.1900	211.89
03/27	04/02	RIVERBED TECHNOLOGY INC	RVBD	Sale	10	14.7043	147.03
03/27	04/02	RPX CORP COM	RPXC	Purchase	40	14.3324	(573.30)
03/27	04/02	RTI INTL METALS INC OHIO	RTI	Sale	5	31.9700	159.84
03/27	04/02	RUDOLPH TECHNOLOGIES INC	RTEC	Purchase	92	11.8155	(1,087.03)
03/27	04/02	RUE21 INC	RUE	Purchase	80	28.6212	(2,289.70)
03/27	04/02	RUSH ENTERPRISES IN CL A	RUSA	Purchase	60	24.3779	(1,462.67)
03/27	04/02	RYLAND GROUP INC	RYL	Sale	10	41.8736	418.73
03/27	04/02	SABRA HEALTH CARE REIT	SBRA	Purchase	90	28.0559	(2,525.03)
03/27	04/02	SALIX PHRMCTCLS LTD COM	SLXP	Sale	5	50.4128	252.05
03/27	04/02	SANDERSON FARMS INC COM	SAFM	Purchase	45	53.9863	(2,429.38)
03/27	04/02	SAPIENT CORP	SAPE	Purchase	195	12.1712	(2,373.38)
03/27	04/02	SCHNITZER STEEL INDS A	SCHN	Sale	120	26.4414	3,172.90
03/27	04/02	SCHWEITZER MAUDIT INTL	SWM	Sale	110	38.5025	4,235.19
03/27	04/02	SEALED AIR CORP (NEW)	SEE	Sale	5	24.0195	120.09
03/27	04/02	SEATTLE GENETICS INC COM	SGEN	Sale	5	36.2200	181.09
03/27	04/02	SELECTIVE INS GRP INC	SIGI	Purchase	68	23.8386	(1,621.02)
03/27	04/02	SEMTECH CORPORATION	SMTC	Sale	350	34.7733	12,170.39
03/27	04/02	SENSIENT TECHNOLOGY CORP	SXT	Sale	70	38.6867	2,708.01
03/27	04/02	SERVICE CORP INTL	SCI	Sale	25	16.4482	411.20
03/27	04/02	SHFL ENTERTAINMENT INC	SHFL	Sale	20	16.4186	328.36
03/27	04/02	SIGNATURE BANK	SBNY	Purchase	20	78.6608	(1,573.22)
03/27	04/02	SILICON LABS INC	SLAB	Sale	5	40.1900	200.94
03/27	04/02	SINCLAIR BRDCSTING CL A	SBGI	Purchase	140	19.5298	(2,734.17)
03/27	04/02	SIRONA DENTAL SYSTEMS	SIRO	Sale	5	72.8337	364.16

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST TRANSACTIONS

March 01, 2013 - March 28, 2013

UNSETTLED TRADES (continued)

Trade Date	Settlement Date	Description	Symbol/ Cusip	Transaction Type	Quantity	Price	Amount
03/27	04/02	SONIC AUTOMOTIVE INC A	SAH	Purchase	105	22.8194	(2,396.04)
03/27	04/02	SPECTRANETICS CORP COM	SPNC	Sale	10	18.3101	183.09
03/27	04/02	SPIRIT AIRLS INC COM	SAVE	Purchase	125	25.4944	(3,186.80)
03/27	04/02	STAGE STORES INC COM NEW	SSI	Sale	70	25.8361	1,808.49
03/27	04/02	STANCORP FINANCL GRP INC	SFG	Sale	50	42.6423	2,132.07
03/27	04/02	STEIN MART INC COM	SMRT	Sale	10	8.5500	85.49
03/27	04/02	STERLING FINANCIAL	STSA	Purchase	61	21.8311	(1,331.70)
03/27	04/02	STIFEL FINANCIAL CORP	SF	Sale	5	34.5204	172.59
03/27	04/02	STONE ENERGY CORP COM	SGY	Purchase	135	22.0691	(2,979.33)
03/27	04/02	SUN HYDRAULICS INC COM	SNHY	Sale	220	32.3005	7,105.95
03/27	04/02	SUPERIOR ENERGY SVCS INC	SPN	Sale	183	25.4847	4,663.60
03/27	04/02	SUSSER HOLDINGS CORPORAT	SUSS	Sale	5	50.5401	252.69
03/27	04/02	SWIFT TRANSPORTATION	SWFT	Purchase	235	14.2875	(3,357.56)
03/27	04/02	SYKES ENTERPRISES INC	SYKE	Purchase	43	15.8626	(682.09)
03/27	04/02	SYNAPTICS INC	SYNA	Sale	260	39.7405	10,332.30
03/27	04/02	TAL INTL GROUP INC	TAL	Purchase	70	44.9430	(3,146.01)
03/27	04/02	TEAM HEALTH HOLDINGS INC	TMH	Sale	10	36.5962	365.95
03/27	04/02	TEARLAB CORP	TEAR	Sale	10	6.8733	68.72
03/27	04/02	TEJON RANCH CO PV 0.50	TRC	Sale	5	30.2543	151.26
03/27	04/02	TENET HEALTHCARE CORP	THC	Sale	5	47.5718	237.85
03/27	04/02	TENNECO INC DEL	TEN	Purchase	90	39.3288	(3,539.59)
03/27	04/02	TERADYNE INC	TER	Sale	5	15.7035	78.51
03/27	04/02	TEREX CORP DEL NEW COM	TEX	Purchase	110	34.5442	(3,799.86)
03/27	04/02	TETRA TECH INC NEW	TTEK	Purchase	105	30.3605	(3,187.85)
03/27	04/02	TEXAS INDUST INC	TXI	Sale	5	64.9100	324.54
03/27	04/02	TEXAS ROADHOUSE INC-CL A	TXRH	Purchase	50	20.2451	(1,012.26)
03/27	04/02	THE HOWARD HUGHES CORP	HHC	Sale	10	83.2600	832.58
03/27	04/02	THERMON GROUP HLDGS INC	THR	Sale	10	22.0600	220.59

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

March 01, 2013 - March 28, 2013

UNSETTLED TRADES (continued)

Trade Date	Settlement Date	Description	Symbol/ Cusip	Transaction Type	Quantity	Price	Amount
03/27	04/02	THORATEC CORP COM NEW	THOR	Sale	117	35.8404	4,169.84
03/27	04/02	TIBCO SOFTWARE INC	TIBX	Sale	5	20.1439	100.71
03/27	04/02	TIDEWATER INC COM NEW	TDW	Sale	70	49.7359	3,481.43
03/27	04/02	TITAN MACHY INC	TTTN	Purchase	90	27.9100	(2,511.90)
03/27	04/02	TORO CO	TTC	Sale	265	45.8564	12,151.68
03/27	04/02	TOWER GROUP INTL LTD	TWGP	Purchase	80	18.2677	(1,461.42)
03/27	04/02	TREDEGAR CORP VIRGINIA	TG	Sale	5	29.0337	145.16
03/27	04/02	TREEHOUSE FOODS INC COM	THS	Purchase	50	64.2521	(3,212.61)
03/27	04/02	TRIUMPH GROUP INC NEW	TGI	Purchase	65	77.8719	(5,061.67)
03/27	04/02	TRUSTMARK CORP COM	TRMK	Sale	80	24.7967	1,983.70
03/27	04/02	TTM TECHNOLOGIES INC COM	TTMI	Sale	234	7.6567	1,791.63
03/27	04/02	TUMI HLDGS INC	TUMI	Sale	5	22.5400	112.69
03/27	04/02	TUPPERWARE BRANDS CORP	TUP	Purchase	45	81.6703	(3,675.16)
03/27	04/02	TWO HARBORS INVT CORP	TWO	Sale	15	13.8950	208.42
03/27	04/02	TYLER TECHS INC DEL COM	TYL	Sale	5	59.9700	299.84
03/27	04/02	U S AWYS GROUP INC	LCC	Sale	10	16.6401	166.39
03/27	04/02	U S SILICA HLDGS INC	SLCA	Sale	5	22.7000	113.49
03/27	04/02	UMB FINANCIAL CORP	UMBF	Purchase	40	49.0514	(1,962.06)
03/27	04/02	UMPQUA HLDGS CORP ORE	UMPO	Purchase	88	13.3053	(904.76)
03/27	04/02	UNISYS CORP	UIS	Purchase	130	22.7554	(2,958.20)
03/27	04/02	UNITED BKSHRS INC W.V.	UBSI	Purchase	50	26.5788	(1,328.94)
03/27	04/02	UNITED NAT FOODS INC	UNFI	Sale	202	47.9292	9,681.48
03/27	04/02	UNITED STATIONERS INC	USTR	Purchase	40	39.1130	(1,564.52)
03/27	04/02	UNITED THERAPEUTICS CORP	UTHR	Sale	5	60.2723	301.35
03/27	04/02	UNIVERSAL ELECTRONICS INC	UEIC	Sale	10	24.2300	242.29
03/27	04/02	UNS ENERGY CORP	UNS	Sale	60	47.5970	2,855.76
03/27	04/02	USG CORP COM NEW	USG	Sale	5	25.9501	129.74
03/27	04/02	VALUECLICK INC DEL COM	VCLK	Purchase	90	29.2274	(2,630.47)

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RICHARD L KAY TTEE

Account Number: 850-21258

March 01, 2013 - March 28, 2013

YOUR CMA FOR TRUST TRANSACTIONS

UNSETTLED TRADES (continued)

Trade Date	Settlement Date	Description	Symbol/Cusip	Transaction Type	Quantity	Price	Amount
03/27	04/02	VANGUARD HEALTH SYSTEMS	VHS	Sale	5	16.3700	81.84
03/27	04/02	VCA ANTECH INC COM	WOOF	Sale	362	23.3924	8,467.86
03/27	04/02	VEECO INSTRUMENTS INC	VECO	Purchase	40	37.9299	(1,517.20)
03/27	04/02	VERA BRADLEY INC	VRA	Sale	213	23.7612	5,061.03
03/27	04/02	VIROPHARMA INC COM	VPHM	Sale	5	24.4900	122.44
03/27	04/02	VOCERA COMMUNICATION INC	VCRA	Sale	5	22.7700	113.84
03/27	04/02	VOCUS INC	VOCS	Purchase	78	13.6601	(1,065.49)
03/27	04/02	VOLTERRA SEMICONDUCTR	VLTR	Purchase	85	14.2971	(1,215.25)
03/27	04/02	WAGEWORKS INC	WAGE	Sale	10	25.0077	250.07
03/27	04/02	WASHINGTON FEDL INC	WAFD	Sale	90	17.4062	1,566.52
03/27	04/02	WASTE CONNECTIONS INC	WCN	Sale	5	36.0140	180.06
03/27	04/02	WEB COM GROUP INC	WWWV	Sale	5	17.0500	85.24
03/27	04/02	WEBMD HEALTH CORP	WBMD	Sale	5	24.2650	121.32
03/27	04/02	WEBSTER FINL CP PV \$0.01	WBS	Sale	80	24.0548	1,924.34
03/27	04/02	WESBANCO INC	WSBC	Purchase	42	24.0846	(1,011.55)
03/27	04/02	WEST PHARMACTL SVCS INC	WST	Sale	59	64.1719	3,786.06
03/27	04/02	WESTAMERICA BANCORP	WABC	Sale	60	45.0205	2,701.17
03/27	04/02	WESTERN ALLIANCE	WAL	Sale	10	13.6899	136.69
03/27	04/02	WINTRUST FINL CP ILL COM	WTFC	Sale	51	36.7812	1,875.80
03/27	04/02	WMS INDS INC COM	WMS	Sale	160	25.2025	4,032.31
03/27	04/02	WORLD FUEL SERVICES CRP	INT	Sale	10	39.4877	394.87
03/27	04/02	ZEBRA TECHNOLOGIES CRP A	ZBRA	Purchase	58	46.3267	(2,686.95)
03/27	04/02	ZILLOW INC SHS CL A	Z	Purchase	5	56.1900	(280.95)
03/27	04/02	1ST FINANCIAL BCSHS INC	FFIN	Purchase	11	48.4212	(532.63)
03/27	04/02	1ST FINCL BANCORP OHIO	FFBC	Purchase	101	15.9710	(1,613.07)
03/28	04/03	ARCTIC CAT INC COM	ACAT	Purchase	22	43.4672	(956.28)
03/28	04/03	ARGO GROUP INTERNATIONAL	AGII	Purchase	11	41.2583	(453.84)
03/28	04/03	BANCFIRST CORP COM	BANF	Sale	56	41.6242	2,330.91

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RICHARD L KAY TTEE

Account Number: 850-21258

24-Hour Assistance: (800) MERRILL

YOUR CMA FOR TRUST TRANSACTIONS

March 01, 2013 - March 28, 2013

UNSETTLED TRADES (continued)

Trade Date	Settlement Date	Description	Symbol/ Cusip	Transaction Type	Quantity	Price	Amount
03/28	04/03	BARNES GROUP INC DE \$0.1	B	Purchase	38	29.0338	(1,103.28)
03/28	04/03	BENCHMARK ELECTRONICS	BHE	Sale	52	17.9843	935.16
03/28	04/03	BLOUNT INTL INC	BLT	Purchase	54	13.4661	(727.17)
03/28	04/03	BLUCORA INC	BCOR	Purchase	40	15.5485	(621.94)
03/28	04/03	CARDTRONICS INC	CATM	Purchase	181	27.5525	(4,987.00)
03/28	04/03	CATO CORP NEW CL A	CATO	Purchase	46	24.0042	(1,104.19)
03/28	04/03	CEC ENTMT INC KANS \$0.1	CEC	Sale	25	32.7726	819.30
03/28	04/03	CHEMICAL FINL CORP	CHFC	Purchase	16	26.4856	(423.77)
03/28	04/03	CLECO CORP NEW LOUISIANA	CNL	Purchase	44	46.9327	(2,065.04)
03/28	04/03	COMMUNITY TR BANCORP INC	CTBI	Purchase	19	34.0947	(647.80)
03/28	04/03	DIODES INC COM	DIOD	Purchase	47	20.7057	(973.17)
03/28	04/03	EARTHLINK INC COM	ELNK	Purchase	241	5.4217	(1,306.63)
03/28	04/03	F N B CORP	FNB	Purchase	89	11.8563	(1,055.21)
03/28	04/03	FABRINET	FN	Purchase	94	14.5378	(1,366.55)
03/28	04/03	FLUSHING FINCL CORP	FFIC	Purchase	97	16.9273	(1,641.95)
03/28	04/03	FORWARD AIR CORP	FWRD	Sale	91	37.3949	3,402.86
03/28	04/03	GATX CORPORATION	GMT	Purchase	32	51.5411	(1,649.32)
03/28	04/03	GREATBATCH INC	GB	Purchase	42	30.5458	(1,282.92)
03/28	04/03	HAEMONETICS CORP MASS	HAE	Purchase	49	41.5076	(2,033.87)
03/28	04/03	HANGER INC	HGR	Purchase	65	31.6549	(2,057.57)
03/28	04/03	HEALTHCARE REALTY TR	HR	Purchase	32	28.2724	(904.72)
03/28	04/03	ICU MEDICAL INC	ICUI	Purchase	19	59.5481	(1,131.41)
03/28	04/03	ISHARES TR RUSSELL	IWC	Purchase	784	58.7739	(46,078.74)
03/28	04/03	KOPPERS HLDGS INC	KOP	Purchase	34	44.0197	(1,496.67)
03/28	04/03	LITTELFUSE INC DEL COM	LFUS	Purchase	17	68.0978	(1,157.66)
03/28	04/03	MATRIX SERVICE CO	MTRX	Purchase	48	15.0033	(720.16)
03/28	04/03	MEASUREMENT SPLS INC COM	MEAS	Purchase	35	39.4855	(1,381.99)
03/28	04/03	MERIDIAN BIOSCIENCE INC	VIVO	Sale	9	22.7450	204.70

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RICHARD L KAY TTEE

Account Number: 850-21258

YOUR CMA FOR TRUST TRANSACTIONS

March 01, 2013 - March 28, 2013

UNSETTLED TRADES (continued)

Trade Date	Settlement Date	Description	Symbol/ Cusip	Transaction Type	Quantity	Price	Amount
03/28	04/03	MOOG INC CL A	MOGA	Purchase	16	45.9737	(735.58)
03/28	04/03	MULTIFINELINE	MFLX	Purchase	88	14.9866	(1,317.06)
03/28	04/03	MVC CAPITAL INC	MVC	Sale	139	12.6317	1,755.77
03/28	04/03	MYR GROUP INC DEL	MYRG	Purchase	17	24.3861	(414.56)
03/28	04/03	NAVIGATORS GROUP INC	NAVG	Sale	52	58.6589	3,050.19
03/28	04/03	NORTHWESTERN CORP	NWE	Purchase	34	39.9656	(1,358.83)
03/28	04/03	ORBITAL SCIENCES CORP	ORB	Purchase	38	16.7730	(637.37)
03/28	04/03	PACWEST BANCORP	PACW	Purchase	59	29.0941	(1,716.55)
03/28	04/03	PERICOM SEMICONDUCTOR	PSEM	Purchase	84	6.8325	(573.93)
03/28	04/03	PRESTIGE BRANDS HLDG INC	PBH	Sale	149	25.4875	3,797.55
03/28	04/03	PS BUSINESS PARKS INC	PSB	Purchase	6	78.8609	(473.17)
03/28	04/03	RUDOLPH TECHNOLOGIES INC	RTEC	Purchase	63	11.8603	(747.20)
03/28	04/03	RUSH ENTERPRISES IN CL A	RUSHA	Purchase	30	24.3662	(730.99)
03/28	04/03	SELECTIVE INS GRP INC	SIGI	Purchase	62	24.0226	(1,489.40)
03/28	04/03	STERLING FINANCIAL	STSA	Purchase	54	21.6584	(1,169.55)
03/28	04/03	SYKES ENTERPRISES INC	SYKE	Purchase	72	16.1311	(1,161.44)
03/28	04/03	TTM TECHNOLOGIES INC COM	TTMI	Sale	326	7.5899	2,474.25
03/28	04/03	UMPQUA HLDGS CORP ORE	UMPQ	Purchase	87	13.3276	(1,159.50)
03/28	04/03	VOCUS INC	VOCV	Purchase	77	13.9408	(1,073.44)
03/28	04/03	WESBANCO INC	WSBC	Purchase	23	24.1134	(554.61)
03/28	04/03	WEST PHARMACLT SVCS INC	WST	Sale	31	64.7295	2,006.57
03/28	04/03	ZEBRA TECHNOLOGIES CRP A	ZBRA	Purchase	2	46.4115	(92.82)
03/28	04/03	1ST FINANCIAL BCSHS INC	FFIN	Purchase	36	48.6982	(1,753.14)
03/28	04/03	1ST FINCL BANCORP OHIO	FFBC	Purchase	84	15.9859	(1,342.82)
NET TOTAL SALES							33,878.31

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FORM 990-PF

CORPORATE BONDS

STATEMENT 11

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
FIDELITY INVESTMENTS 648-211311	480,987.	482,857.
OPPENHEIMER & CO INC	21,805,639.	22,109,321.
MERRILL LYNCH 850-21258	484,010.	476,507.
TOTAL TO FORM 990-PF, PART II, LINE 10C	22,770,636.	23,068,685.

Himan Brown Charitable Trust
EIN 27-6669056
Attachment to Form 990-PF, Part II
Fidelity #26551
March 31, 2013

Shares	Description	End of Year	
		Book Value	Fair Mkt Value
<u>58,939.096</u>	Blackrock Stategic Income Oprrts Instl	<u>600,025.00</u>	597,642.43
41,706.616	Driehaus Active Income Fund	435,000.00	
9,442.871	Driehaus Active Income Fund	100,000.00	
<u>16,068.053</u>	Driehaus Active Income Fund	<u>170,000.00</u>	
67,217.540		705,000.000	721,244.20
44,162.437	Eaton Vance Global Macro	435,025.00	
10,030.090	Eaton Vance Global Macro	100,035.00	
<u>17,068.273</u>	Eaton Vance Global Macro	<u>170,035.00</u>	
71,260.800		705,095.000	704,056.70
64,754.857	Jefferies Asset Mgmt	700,025.00	
<u>49,139.887</u>	Jefferies Asset Mgmt	<u>519,925.00</u>	
113,894.744		1,219,950.000	1,214,117.97
38,216.561	Pimco All Asset All Authority-Instit	420,025.00	
9,328.358	Pimco All Asset All Authority-Instit	100,035.00	
<u>16,022.620</u>	Pimco All Asset All Authority-Instit	<u>170,035.00</u>	
63,567.539		690,095.000	694,793.20
<u>52,128.584</u>	Pimco Unconstrained	<u>600,025.00</u>	602,085.14
36,019.811	Schwab S & P 500 Index FD-Select Shs	800,025.00	
102,301.790	Schwab S & P 500 Index FD-Select Shs	2,000,035.00	
51,072.523	Schwab S & P 500 Index FD-Select Shs	1,000,035.00	
<u>76,254.347</u>	Schwab S & P 500 Index FD-Select Shs	<u>1,535,035.00</u>	
265,648.471		5,335,130.000	6,519,013.47
65,209.993	Wasatch Emerging Markets Small Cap FD	162,372.88	
<u>200,000.000</u>	Wasatch Emerging Markets Small Cap FD	<u>500,000.00</u>	
265,209.993		662,372.880	779,717.37
<u>49,309.665</u>	Yacktman Focused	<u>1,000,000.00</u>	1,134,615.39
20,543.186	Vanguard Total Intl Stock Index Inv	285,561.26	
184,823.441	Vanguard Total Intl Stock Index Inv	2,460,035.00	
<u>66,290.550</u>	Vanguard Total Intl Stock Index Inv	<u>940,035.00</u>	
271,657.177		3,685,631.260	4,180,803.95
Total		<u>15,203,324.14</u>	<u>17,148,089.82</u>

Himan Brown Charitable Trust
EIN 27-6669056
Attachment to Form 990-PF, Part II
Fidelity #275468
March 31, 2013

Shares	Description	Book Value	End of Year
			Fair Mkt Value
437	Advanced Auto Parts Inc	31,045.22	
958	Advanced Auto Parts Inc	60,205.51	
600	Advanced Auto Parts Inc	37,707.84	
295	Advanced Auto Parts Inc	19,372.92	
<u>2,290</u>		<u>148,331.49</u>	<u>189,268.50</u>
638	Aflac Inc	29,283.28	
790	Aflac Inc	44,024.28	
280	Aflac Inc	15,600.54	
573	Aflac Inc	20,547.71	
890	Aflac Inc	40,116.33	
<u>3,171</u>		<u>149,572.14</u>	<u>164,955.42</u>
2,406	American Intl Group	84,215.06	
2,263	American Intl Group	85,706.85	
<u>4,669</u>		<u>169,921.91</u>	<u>181,250.58</u>
1,052	Apache Corp	84,447.47	
<u>1,052</u>		<u>84,447.47</u>	<u>81,172.32</u>
57	Apple Inc	29,011.83	
104	Apple Inc	34,850.80	
195	Apple Inc	70,400.81	
47	Apple Inc	21,101.17	
<u>403</u>		<u>155,364.61</u>	<u>178,391.98</u>
1,393	Barrick Gold Corp Com NPV	51,725.03	
259	Barrick Gold Corp Com NPV	12,548.96	
1,930	Barrick Gold Corp Com NPV	86,523.48	
500	Barrick Gold Corp Com NPV	24,421.70	
330	Barrick Gold Corp Com NPV	14,191.35	
<u>4,412</u>		<u>189,410.52</u>	<u>129,712.80</u>
779	Berkshire Hathaway Inc	66,611.13	
403	Berkshire Hathaway Inc	33,893.20	
753	Berkshire Hathaway Inc	54,275.00	
<u>1,935</u>		<u>154,779.33</u>	<u>201,627.00</u>
845	Canadian Pacific	61,521.92	
845		61,521.92	110,247.15
3,554	Check Point Software	171,351.27	
<u>3,554</u>		<u>171,351.27</u>	<u>167,002.46</u>

Himan Brown Charitable Trust
EIN 27-6669056
Attachment to Form 990-PF, Part II
Fidelity #275468
March 31, 2013

Shares	Description	End of Year	
		Book Value	Fair Mkt Value
263	Chevron Corp New	29,236.09	
1,200	Chevron Corp New	116,061.24	
<u>1,463</u>		<u>145,297.33</u>	<u>173,833.66</u>
171	CVS Caremark Corp	5,520.28	
320	CVS Caremark Corp	10,716.75	
300	CVS Caremark Corp	10,204.95	
2,600	CVS Caremark Corp	88,364.90	
<u>3,391</u>		<u>114,806.88</u>	<u>186,471.09</u>
2,112	Dollar General Corp	90,904.63	
1,882	Dollar General Corp	84,907.04	
<u>3,994</u>		<u>175,811.67</u>	<u>202,016.52</u>
3,002	Halliburton Co	95,111.31	
2,236	Halliburton Co	61,850.34	
<u>5,238</u>		<u>156,961.65</u>	<u>211,667.58</u>
223	Intl Business Machines	43,351.26	
616	Intl Business Machines	97,590.49	
<u>839</u>		<u>140,941.75</u>	<u>178,958.70</u>
1,214	Johnson & Johnson	81,322.88	
<u>1,214</u>		<u>81,322.88</u>	<u>98,977.42</u>
338	Lockheed Martin Corp	30,707.48	
1,461	Lockheed Martin Corp	115,433.21	
<u>1,799</u>		<u>146,140.69</u>	<u>173,639.48</u>
653	Market Vectors ETF	30,182.43	
1,110	Market Vectors ETF	59,535.03	
<u>1,763</u>		<u>89,717.46</u>	<u>66,729.55</u>
546	McDonalds Corp	48,414.56	
1,293	McDonalds Corp	94,515.07	
<u>1,839</u>		<u>142,929.63</u>	<u>183,329.91</u>
386	Mckesson Corp	33,590.72	
1,479	Mckesson Corp	117,051.06	
<u>1,865</u>		<u>150,641.78</u>	<u>201,345.40</u>
787	Microsoft Corp	23,857.99	
315	Microsoft Corp	8,505.73	
490	Microsoft Corp	13,257.55	
1,100	Microsoft Corp	30,575.74	

Himan Brown Charitable Trust
EIN 27-6669056
Attachment to Form 990-PF, Part II
Fidelity #275468
March 31, 2013

Shares	Description	Book Value	End of Year
			Fair Mkt Value
2,150	Microsoft Corp	59,748.50	
495	Microsoft Corp	12,803.70	
857	Microsoft Corp	22,948.73	
<u>6,194</u>		<u>171,697.94</u>	<u>177,179.37</u>
3,300	NCR Corp	74,003.52	
3,772	NCR Corp	83,934.95	
<u>7,072</u>		<u>157,938.47</u>	<u>194,904.32</u>
279	Novartis AG ADR	16,428.77	
0.822	Novartis AG ADR	45.02	
235.178	Novartis AG ADR	12,880.98	
1,190	Novartis AG ADR	70,382.17	
1,066	Novartis AG ADR	59,306.92	
<u>2,771</u>		<u>159,043.86</u>	<u>197,406.04</u>
2,758	Oracle Corporation	82,891.92	
451	Oracle Corporation	14,104.18	
2,147	Oracle Corporation	57,824.08	
<u>5,356</u>		<u>154,820.18</u>	<u>173,159.48</u>
520	Sanofi Sponsored ADR	21,072.11	
1176	Sanofi Sponsored ADR	38,468.91	
1,810	Sanofi Sponsored ADR	60,802.95	
<u>3,506</u>		<u>120,343.97</u>	<u>179,086.48</u>
688	Thermo Fischer Scientific Inc	39,090.68	
7	Thermo Fischer Scientific Inc	368.28	
200	Thermo Fischer Scientific Inc	10,379.35	
50	Thermo Fischer Scientific Inc	2,593.00	
1,380	Thermo Fischer Scientific Inc	77,425.95	
<u>2,325</u>		<u>129,857.26</u>	<u>177,839.25</u>
78	Total ADR Each Re 1Ord Shs EUR10	4,171.01	
1,000	Total ADR Each Re 1Ord Shs EUR10	53,400.00	
200	Total ADR Each Re 1Ord Shs EUR10	10,679.26	
165	Total ADR Each Re 1Ord Shs EUR10	8,810.90	
<u>1,443</u>		<u>77,061.17</u>	<u>69,235.14</u>
554	Vodafone Group Spon ADR REP	16,093.62	
914	Vodafone Group Spon ADR REP	23,625.07	
465	Vodafone Group Spon ADR REP	12,074.70	

Himan Brown Charitable Trust
 EIN 27-6669056
 Attachment to Form 990-PF, Part II
 Fidelity #275468
 March 31, 2013

Shares	Description	End of Year	
		Book Value	Fair Mkt Value
500	Vodafone Group Spon ADR REP	13,307.15	
200	Vodafone Group Spon ADR REP	5,319.78	
100	Vodafone Group Spon ADR REP	2,659.97	
2,150	Vodafone Group Spon ADR REP	57,187.85	
712	Vodafone Group Spon ADR REP	19,350.85	
<u>5,595.000</u>		<u>149,618.99</u>	<u>158,898.00</u>
Total Corporate Stocks		<u>3,749,654.23</u>	<u>4,408,305.60</u>

Himan Brown Charitable Trust
EIN 27-6669056

Attachment to Form 990-PF, Part II
Fidelity A/C 275492
March 31, 2013

Quantity	Description	Ending Book Value	Ending FMV
2,435	Abbvie Inc Com	89,938.69	99,299.30
3,015	AT & T Inc Com	98,965.30	110,620.35
1,605	Baytex Energy Corp	72,526.61	67,297.65
2,935	Block H&R Inc	50,411.01	86,347.70
4,585	Canadian Oil Sands Limited	107,312.51	94,502.58
3,165	Cinemark Hldgs Inc Com	60,739.05	93,177.60
1,715	Conagra Foods Inc	40,102.39	61,414.15
1,740	Conocophillips	92,774.41	104,574.00
7,230	Cypress Semiconductr	73,875.84	79,746.90
1,130	Diebold Inc	33,339.67	34,261.60
2,630	Du Pont E I De Nemours & Co	124,540.66	129,290.80
1,720	Eaton Corp PLC Com	64,767.54	105,350.00
3,330	Exelis INC	34,413.18	36,263.70
8,850	F N B Corporation	88,086.87	107,085.00
3,100	Gallagher Arthur J & Co	87,927.29	128,061.00
1,515	Gamestop Corp	38,129.29	42,374.55
2,095	Garmin Ltd Com	78,211.10	69,239.75
2,120	Glaxosmithkline	95,947.06	99,449.20
780	Greif Inc Cl A	35,119.18	41,823.60
2,760	Hasbro Inc	99,619.98	121,274.40
5,300	Intel Corp	121,535.21	115,725.50
2,335	Leggett & Platt Inc	52,216.47	79,315.55
1,705	Lockheed Martin Corp	131,131.30	164,566.60
1,745	Lyondellbasell	84,419.75	110,441.05
2,920	Merck & Co Inc New	103,032.91	129,064.00
1,800	Meredith Corp	48,149.13	68,868.00
1,120	Microchip Tech Inc	33,465.26	41,182.40
3,500	National Cinemedia	57,642.01	55,230.00
2,590	Nucor Corp	86,015.62	119,528.50
8,540	Peoples UTD FINL Inc	103,443.68	114,606.80
1,535	Plum Creek Timber Co	61,289.94	80,127.00
3,120	Seagate Technology	80,692.91	114,051.60
3,575	Sonoco Prods Co	118,125.16	125,089.25
1,185	Thomson-Reuters Co	38,451.27	38,451.27
1,785	Tronox Ltd Ord Reg	37,519.42	35,360.85
920	Unilever PLC ADS-EA Repr 1	28,323.99	38,860.80
4,000	Hospitality Properties Trust	91,364.51	109,760.00
2,830	Enduro RTY TR Unit	60,227.20	45,336.60
1,545	Alliance Resource Partners L P	98,267.65	98,416.50
4,175	PVR Partners LP	103,809.37	100,659.25
1,765	Surburban Propane	73,151.30	78,542.50
		<u>3,079,021.69</u>	<u>3,574,637.85</u>

Hi Brown Charitable Trust
EIN 27-6669056

Attachment to Form 990-PF, Part II
Fidelity A/C 275506
March 31, 2013

Quantity	Description	Ending Book Value	Ending FMV
6,366	Abbott Laboratories	195,265.37	224,847.12
3,053	Accenture PLC Cls A	153,211.37	231,936.41
2,828	Allergan Inc	216,926.05	315,689.64
343	Apple Inc	174,907.58	151,832.38
1,861	C H Robinson Worldwide Inc Com New	137,003.85	110,655.06
4,012	Cognizant Tech Solutions Corp	281,892.51	307,403.45
1,954	Factset Research Sys Inc	183,586.23	180,940.40
1,649	Fastenal Co	86,592.82	84,643.17
828	Gartner Inc	43,319.39	45,051.48
401	Google Inc CL A	244,824.98	318,469.38
395	Grainger W W Inc	81,215.96	88,867.10
3,590	Intuit Inc	203,651.28	235,719.40
300	Intuitive Surgical Inc	121,630.65	147,357.00
5,169	Nike Inc	260,992.27	305,022.69
6,117	Oracle Corporation	181,836.31	197,762.61
4,442	Qualcomm Inc	231,559.76	297,347.48
4,531	Starbucks Corp	169,227.88	258,040.45
3,879	T Rowe Price Group Inc	232,033.15	290,420.73
2,839	Varian Medical Sys Inc	176,281.31	204,408.00
825	Visa Inc Com Cl A	130,748.51	140,118.00
		<u>3,506,707.23</u>	<u>4,136,531.95</u>

Himan Brown Charitable Trust
 EIN 27-6669056
 Attachment to Form 990-PF, Part II
 Fidelity A/C 648-715824
 March 31, 2013

Quantity	Description	Ending Book Value	Ending FMV
1,280	AT&T Inc	42,660.42	46,963.20
2,450	Banco LatinoAmericano De Comercio	49,218.32	60,613.00
1,060	Centurylink Inc	42,247.89	37,237.80
1,060	Du Pont E I De Nemours & Co	53,605.69	52,109.60
2,980	Eaton Vance	33,027.91	33,376.00
930	Eaton Corp	55,271.53	56,962.50
2,780	Gannet	55,065.85	60,798.60
1,170	GATX Corp	49,505.28	60,804.90
1,960	General Electric Co	43,892.35	45,315.20
850	Genuine Parts Co	53,840.91	66,300.00
3,510	Hercules Tech Growth Cap Inc	37,556.81	42,997.50
1,070	Honeywell Intl Inc	62,867.10	80,624.50
2,660	Ishares TR	103,725.93	107,783.20
4,470	JPMorganChase&Co Alerian MLP Index	178,104.26	203,295.60
850	Kimberly Clark Corp	66,105.45	83,283.00
353.3335	Kraft Foods Group	14,840.10	18,190.09
3,510	MFS Govt Mkts Income TR	25,212.85	23,025.60
1,810	Microchip Tech Inc	64,009.87	66,553.70
3,300	OLIN Corp	72,640.43	83,226.00
2,660	Pfizer Inc	60,100.41	76,767.60
2,130	Powershares Exchanges	52,838.00	53,463.00
4,150	Tortoise Energy Infrastructure Corp	167,372.59	204,927.00
		<u>1,383,709.95</u>	<u>1,564,617.59</u>
1,170	American Campus Cmnty Inc	52,518.75	53,047.80
850	American Cap AGY Corp	27,240.57	27,863.00
1,810	Annaly Capital Management Inc	31,075.35	28,760.90
750	Digital Rlty TR Inc	54,540.00	50,182.50
2,130	Hospitality Properties Trust	52,031.58	58,447.20
1,280	LTC PPTYS Inc	42,520.54	52,134.40
960	National Health Invs Inc	49,129.98	62,832.00
2,770	Omega Healthcare Invs Inc	62,692.86	84,097.20
1,170	Rayonier Inc	55,362.16	69,813.90
750	Realty Income Corp	29,608.70	34,012.50
		<u>456,720.49</u>	<u>521,191.40</u>
		1,840,430.44	2,085,808.99

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	HIMAN BROWN CHARITABLE TRUST	X 27-6669056
	Number, street, and room or suite no. If a P.O. box, see instructions	Social security number (SSN)
	C/O RICHARD KAY, PRYOR CASHMAN, 7 TIMES SQUARE, 40TH FL City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	NEW YORK, NY 10036	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720- (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► _____

Telephone No. ► _____ FAX No. ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until NOVEMBER 15, 20 13, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☐ calendar year 20 ____ or
- ☒ tax year beginning APRIL 1, 20 12, and ending MARCH 31, 20 13.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$ 25,000.00
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$ 0.00
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$ 25,000.00

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2013)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box. ☒ **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions		Enter filer's identifying number, see instructions	
	HIMAN BROWN CHARITABLE TRUST		Employer identification number (EIN) or	
	Number, street, and room or suite no. If a P.O. box, see instructions		X 27-6669056	
	C/O RICHARD KAY, PRYOR CASHMAN, 7 TIMES SQUARE, 40TH FL		Social security number (SSN)	
City, town or post office, state, and ZIP code. For a foreign address, see instructions				
NEW YORK, NY 10036				

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ☐ Telephone No. ☐ FAX No. ☐
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐ If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- I request an additional 3-month extension of time until FEBRUARY 15, 20 14.
- For calendar year 2013, or other tax year beginning APRIL 1, 20 12, and ending MARCH 31, 20 13.
- If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period
- State in detail why you need the extension Information and third-party source documents necessary to file a complete and accurate return have not yet been made available to taxpayer. As a result, taxpayer is unable to complete and file its tax return.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a \$	0.00
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b \$	0.00
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c \$	0.00

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☐Title ☐ C.P.A.Date ☐ 11/12/13

Form 8868 (Rev. 1-2013)