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Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

OMB No 1545-0052

2012

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012 or tax year beginning

04/01, 2012, and ending

03/31, 2013

Name of foundation

J. IRA & NICKI HARRIS FAMILY FOUNDATION, INC.

A Employer identification number

26-0818520

Number and street (or P.O. box number if mail is not delivered to street address)

C/O BCRS ASSOCIATES, LLC
77 WATER STREET - 9TH FLOOR

Room/suite

B Telephone number (see instructions)

(212) 440-0800

City or town, state, and ZIP code

NEW YORK, NY 10005

C If exemption application is
pending, check here ☐

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1 Foreign organizations, check here ☐2 Foreign organizations meeting the
85% test, check here and attach
computation ☐

H Check type of organization.

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated
under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end

J Accounting method ☒ Cash ☐ AccrualF If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐

of year (from Part II, col (c), line

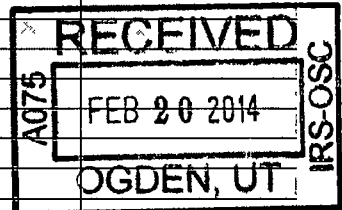
☐ Other (specify) _____

16) \$ 29,006,246.

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income N/A	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)	NONE			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments	417.	417.		ATCH 1
4 Dividends and interest from securities	82,950.	82,950.		ATCH 2
5a Gross rents				
b Net rental income or (loss)	1,903,979.			
6a Net gain or (loss) from sale of assets not on line 10				
b Gross sales price for all assets on line 6a	3,734,469.			
7 Capital gain net income (from Part IV, line 2)		1,903,979.		
8 Net short-term capital gain				
9 Income modifications				
10 a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule) ATCH 3	922,789.	579,120.		
12 Total. Add lines 1 through 11	2,910,135.	2,566,466.	0	
13 Compensation of officers, directors, trustees, etc.	100,000.			100,000.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule) ATCH 4	630.			630.
b Accounting fees (attach schedule) ATCH 5	24,000.	12,000.		12,000.
c Other professional fees (attach schedule) *	1,500.	1,500.		
17 Interest				
18 Taxes (attach schedule) (see instructions) ATCH 7	23,000.			
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 8	44,744.	43,853.		891.
24 Total operating and administrative expenses. Add lines 13 through 23	193,874.	57,353.		113,521.
25 Contributions, gifts, grants paid	633,066.			633,066.
26 Total expenses and disbursements Add lines 24 and 25	826,940.	57,353.	0	746,587.
27 Subtract line 26 from line 12	2,083,195.			
a Excess of revenue over expenses and disbursements		2,509,113.		
b Net investment income (if negative, enter -0-)				
c Adjusted net income (if negative, enter -0-)			0	



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USA For Paperwork Reduction Act Notice, see instructions.

*ATCH 6

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V 12-7.10

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing	31,151.	13,560.	13,560.	
	2	Savings and temporary cash investments	1,568,628.	4,471,225.	4,471,225.	
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U.S. and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule) ATCH 9	1,341,128.	1,290,701.	1,536,312.	
	c	Investments - corporate bonds (attach schedule)				
	Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶ (attach schedule)				
12		Investments - mortgage loans				
13		Investments - other (attach schedule)				
14		Land, buildings, and equipment basis ▶				
		Less accumulated depreciation ▶ (attach schedule)				
15		Other assets (describe ▶ ATCH 10)	13,549,040.	12,700,456.	22,985,149.	
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	16,489,947.	18,475,942.	29,006,246.	
17		Accounts payable and accrued expenses	132,500.	35,300.		
18		Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)	132,500.	35,300.		
Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>					
	27	Capital stock, trust principal, or current funds	16,357,447.	18,440,642.		
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)	16,357,447.	18,440,642.		
31	Total liabilities and net assets/fund balances (see instructions)	16,489,947.	18,475,942.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	16,357,447.
2	Enter amount from Part I, line 27a	2	2,083,195.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	18,440,642.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	18,440,642.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	1,903,979.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	2,160,630.	25,600,318.	0.084399
2010	1,487,135.	26,464,066.	0.056195
2009	3,394,885.	26,388,515.	0.128650
2008	1,502,892.	28,975,473.	0.051868
2007	1,721,576.	38,487,905.	0.044730
2 Total of line 1, column (d)			2 0.365842
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.073168
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			4 26,883,405.
5 Multiply line 4 by line 3			5 1,967,005.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 25,091.
7 Add lines 5 and 6			7 1,992,096.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 746,587.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)	1	50,182.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3 Add lines 1 and 2	3	50,182.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	50,182.
6 Credits/Payments		
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	25,817.
b Exempt foreign organizations - tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	22,000.
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7	47,817.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	2,451. *
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11 Enter the amount of line 10 to be Credited to 2013 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/> 11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> FL,		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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* INCLUDES \$27 INTEREST AND \$59
LATE PAYMENT PENALTY

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <input type="checkbox"/> N/A				
14	The books are in care of <input type="checkbox"/> BCRS ASSOCIATES, LLC Telephone no <input type="checkbox"/> 212-440-0800			
Located at <input type="checkbox"/> 77 WATER STREET - 9TH FLOOR NEW YORK, NY ZIP+4 <input type="checkbox"/> 10005				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/>			
and enter the amount of tax-exempt interest received or accrued during the year <input type="checkbox"/> 15				
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <input type="checkbox"/>				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/>	1b	N/A
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? <input type="checkbox"/>	1c	N/A
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years <input type="checkbox"/>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) <input type="checkbox"/>	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="checkbox"/>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012) <input type="checkbox"/>	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/>	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012? <input type="checkbox"/>	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
 Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **SEE STATEMENT ATTACHED** ☒ Yes ☐ No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
 If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 11		100,000.	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		0	0	0

Total number of other employees paid over \$50,000 ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over \$50,000 for professional services ►

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 PLEASE NOTE, THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS EXEMPT UNDER INTERNAL	NONE
2 REVENUE CODE SECTION 501(C)(3).	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	NONE
2 N/A	
All other program-related investments See instructions	
3 N/A	
Total. Add lines 1 through 3 ►	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,450,777.
b	Average of monthly cash balances	1b	2,570,815.
c	Fair market value of all other assets (see instructions)	1c	23,271,205.
d	Total (add lines 1a, b, and c)	1d	27,292,797.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	27,292,797.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	409,392.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	26,883,405.
6	Minimum investment return. Enter 5% of line 5	6	1,344,170.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	1,344,170.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	50,182.
b	Income tax for 2012 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	50,182.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	1,293,988.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,293,988.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	1,293,988.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	746,587.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	746,587.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	746,587.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Page **9****Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				1,293,988.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			NONE	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2012				
a From 2007	NONE			
b From 2008	36,613.			
c From 2009	883,647.			
d From 2010	167,669.			
e From 2011	882,506.			
f Total of lines 3a through e	1,970,435.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 746,587.				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2012 distributable amount				746,587.
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	547,401.			547,401.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,423,034.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions			NONE	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	1,423,034.			
10 Analysis of line 9				
a Excess from 2008	NONE			
b Excess from 2009	372,859.			
c Excess from 2010	167,669.			
d Excess from 2011	882,506.			
e Excess from 2012	NONE			

Form **990-PF** (2012)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test - enter				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test - enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE LIST ATTACHED				633,066.
Total			3a	633,066.
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
----------	--

Form 990-PF (2012)

J. IRA & NICKI HARRIS FAMILY FOUNDATION, INC.

EIN: 26-0818520

FYE 3/31/2013

Attachment to Form 990-PF

Part VII-B, Question 5c

EXPENDITURE RESPONSIBILITY STATEMENT

Pursuant to IRC Regulation § 53.4945-5(d)(3), The J Ira & Nicki Harris Family Foundation, Inc. provides the following information:

- | | |
|----------------------|---|
| [1] Grantee | Jonathan M Harris Foundation, Inc
c/o BCRS Associates, LLC
77 Water Street - 9th Floor, NY, NY 10005 |
| [2] Amount of Grant | \$ 50,000 00
4/10/2012 |
| [3] Purpose of Grant | Funds were paid to The Jonathan M Harris Foundation, Inc. as part of the core endowment from which distributions will be made to primary, secondary, post-secondary and graduate educational institutions. |
| [4] & [6] | The Jonathan M Harris Foundation, Inc has furnished The J. Ira & Nicki Harris Family Foundation, Inc with its Annual Report The Directors of The J Ira & Nicki Harris Family Foundation, Inc. have been assured by The Jonathan M Harris Foundation, Inc. that the funds have been used according to the terms of the contract. |
| [5] Diversions | To the knowledge of the Grantor, no funds have been or will be diverted to any activity other than the activity for which the grant was originally made. |
| [7] Verification | The grantor has no reason to doubt the accuracy or reliability of the report from the grantee, therefore, no independent verification of the reports was made |

FORM 990-PF - PART IV**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
152,473.		GAIN PARTIAL REDEMPTION BLACKROCK ASIA C 150,129.					2,344.	
3,793.		GAIN PARTIAL REDEMPTION BLACKROCK ASIA C 3,012.					781.	
2,500,000.		GAIN PARTIAL REDEMPTION THIRTEEN PARTNER 1,677,349.					822,651.	
59,092.		STCG THRU K-1'S					59,092.	
207,111.		LTCG THRU K-1'S					207,111.	
232,000.		GAIN IN EXCESS OF BASIS LONE PINON					232,000.	
580,000.		GAIN IN EXCESS OF BASIS LONE CEDAR					580,000.	
TOTAL GAIN (LOSS)							<u>1,903,979.</u>	

J. IRA AND NICKI HARRIS FAMILY FOUNDATION INC.

EIN: 26-0818520

SUPPLEMENTARY STATEMENTS

PART: XV

LINE: 2

SUPPLEMENTAL INFORMATION

THE ORGANIZATION'S PRIMARY ACTIVITY IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS QUALIFYING FOR EXEMPTION UNDER SECTION 501(c)(3) OF THE INTERNAL REVENUE CODE THE TRUSTEES CHOOSE THESE ORGANIZATIONS BASED UPON THEIR KNOWLEDGE OF THE ORGANIZATIONS' ACTIVITIES NO CONTRIBUTIONS, GRANTS, GIFTS, LOANS OR SCHOLARSHIPS ARE MADE TO INDIVIDUALS THE ORGANIZATION DOES NOT PRESENTLY RECEIVE APPLICATIONS OR CONDUCT PROGRAMS FOR DONATIONS IN ITS ACTIVITIES

ATTACHMENT 1FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
INTEREST ON CHECKING	251.	251.	
INTEREST ON CREDIT BALANCE	166.	166.	
TOTAL	<u>417.</u>	<u>417.</u>	

ATTACHMENT 2FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
DIVIDENDS	42,654.	42,654.	
DIVIDENDS THRU K-1'S	39,403.	39,403.	
INTEREST THRU K-1'S	893.	893.	
TOTAL	<u>82,950.</u>	<u>82,950.</u>	

ATTACHMENT 3FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
SEC 988 INCOME THRU K-1'S RECEIVED FROM BERNARD L. MADOFF TRUSTEE	-1. 922,790.	-1. 579,121.	
TOTALS	922,789.	579,120.	

ATTACHMENT 4

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
HODGSON RUSS LLP	630.			630.
TOTALS	<u>630.</u>			<u>630.</u>

ATTACHMENT 5FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
BCRS ASSOCIATES, LLC	24,000.	12,000.		12,000.
TOTALS	<u>24,000.</u>	<u>12,000.</u>		<u>12,000.</u>

ATTACHMENT 6FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT ADVISORY FEES	1,500.	1,500.		
TOTALS	<u>1,500.</u>	<u>1,500.</u>		

ATTACHMENT 7

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
FEDERAL TAX PAID: -4TH QTR EST FYE 3/31/2013	23,000.			
TOTALS	23,000.			

ATTACHMENT 8FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
AMEX ANNUAL FEES	120.			120.
FILING FEES	61.			61.
BANK CHARGE	15.			15.
PORTFOLIO DEDUCTIONS THRU K-1S	43,562.	43,562.		
FOREIGN TAX THRU K-1'S	291.	291.		
MEMBERSHIP DUES	695.			695.
TOTALS	<u>44,744.</u>	<u>43,853.</u>		<u>891.</u>

ATTACHMENT 9FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SEE LONG POSITION ATTACHED	1,341,128.	1,290,701.	1,536,312.
TOTALS	<u>1,341,128.</u>	<u>1,290,701.</u>	<u>1,536,312.</u>

ATTACHMENT 10FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
BLACKROCK ASIA SPV B 2012 SER1	166,409.	16,281.	15,638.
BLACKROCK ASIA SPV A 2011 SER9	20,457.	17,445.	21,573.
LONE PINON LTD	NONE	NONE	1,150,377.
LONE CEDAR LTD	NONE	NONE	3,207,894.
LONE CASCADE LP	1,572,840.	2,579,530.	3,186,193.
THIRTEEN PARTNERS OFFSHORE LTD	11,017,239.	9,339,890.	14,573,712.
SUMMIT VENTURES VI-B LP	146,052.	81,681.	251,293.
WILLIAM BLAIR MEZZ CAP FUND II	29,039.	28,868.	1,156.
OCM OPPORTUNITIES FUND LP	47.	NONE	NONE
(FULLY DISPOSED)			
SUMMIT SUBORD DEBT FUND LP	-26,813.	-26,853.	1,948.
OCM/GFI POWER OPPS FUND II	14,069.	-17,987.	44,670.
JOHN HANCOCK LIFE INSURANCE	609,701.	681,601.	530,695.
TOTALS	<u>13,549,040.</u>	<u>12,700,456.</u>	<u>22,985,149.</u>

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 11

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
J. IRA HARRIS C/O BCRS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005	DIRECTOR/PRESIDENT - AS NEEDED	0	0	0
NICKI HARRIS C/O BCRS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005	DIRECTOR/VP - AS NEEDED	0	0	0
JONATHAN M HARRIS C/O BCRS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005	DIRECTOR/TREASURER - AS NEEDED	0	0	0
JACQUELINE HARRIS HOCHBERG C/O BCRS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005	DIRECTOR/SECRETARY - AS NEEDED	100,000.	0	0
DAVID MOORE 280 PARK AVENUE - 22ND FLOOR NEW YORK, NY 10017	DIRECTOR - AS NEEDED	0	0	0

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 11 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
BRUCE MOSKOWITZ C/O BCBS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005	DIRECTOR - AS NEEDED	0	0	0
CRAIG SHADUR C/O BCBS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005	DIRECTOR - AS NEEDED	0	0	0
GRAND TOTALS		100,000.	0	0

J. IRA & NICKI HARRIS FAMILY FOUNDATION, INC.

26-0818520

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 12

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	RELATED OR EXEMPT FUNCTION INCOME	
				AMOUNT	
SEC 988 INCOME THRU K-1'S RECEIVED FROM BERNARD L. MADOFF TRUSTEE	01			-1.	
	01			579,121.	343,669.
TOTALS				<u>579,120.</u>	<u>343,669.</u>

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► Attach to Form 1041, Form 5227, or Form 990-T.
► Information about Schedule D (Form 1041) and its separate instructions is at
www.irs.gov/form1041.

OMB No 1545-0092

2012

Name of estate or trust

J. IRA & NICKI HARRIS FAMILY FOUNDATION, INC.

Employer identification number

26-0818520

Note: Form 5227 filers need to complete *only* Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	62,217.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back	5	62,217.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo., day, yr.)	(c) Date sold (mo., day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	1,841,762.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back	12	1,841,762.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041

Schedule D (Form 1041) 2012

Part III Summary of Parts I and II**Caution:** Read the instructions *before* completing this part

	(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
13 Net short-term gain or (loss)	13		62,217.
14 Net long-term gain or (loss):			
a Total for year	14a		1,841,762.
b Unrecaptured section 1250 gain (see line 18 of the wrkst)	14b		
c 28% rate gain	14c		
15 Total net gain or (loss). Combine lines 13 and 14a	15		1,903,979.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of	16	()
a The loss on line 15, column (3) or b \$3,000		

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

17 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17	
18 Enter the smaller of line 14a or 15 in column (2) but not less than zero	18	
19 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19	
20 Add lines 18 and 19	20	
21 If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0-	21	
22 Subtract line 21 from line 20. If zero or less, enter -0-	22	
23 Subtract line 22 from line 17. If zero or less, enter -0-	23	
24 Enter the smaller of the amount on line 17 or \$2,400	24	
25 Is the amount on line 23 equal to or more than the amount on line 24? <input type="checkbox"/> Yes. Skip lines 25 and 26, go to line 27 and check the "No" box <input type="checkbox"/> No. Enter the amount from line 23	25	
26 Subtract line 25 from line 24	26	
27 Are the amounts on lines 22 and 26 the same? <input type="checkbox"/> Yes. Skip lines 27 thru 30, go to line 31 <input type="checkbox"/> No. Enter the smaller of line 17 or line 22	27	
28 Enter the amount from line 26 (If line 26 is blank, enter -0-)	28	
29 Subtract line 28 from line 27	29	
30 Multiply line 29 by 15% (15)	30	
31 Figure the tax on the amount on line 23. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31	
32 Add lines 30 and 31	32	
33 Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33	
34 Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34	

Schedule D (Form 1041) 2012

J. Ira & Nicki Harris Family Foundation
EIN: 26-0818520
Charitable Contributions - F.Y.E. March 31, 2013

Date	Organization	City/State	Amount
04/10/12	Jonathan M Harris Family Foundation, Inc.	New York, NY	\$ 50,000.00
04/03/12	Sacred Heart School	Lake Worth, FL	\$ 5,000.00
04/04/12	Health & Humanitarian Aid Foundation	New York, NY	\$ 1,000.00
04/05/12	Marine Industry Cares Foundation	Ft Lauderdale, FL	\$ 1,000 00
04/05/12	National MS Society	Houston, TX	\$ 1,000.00
04/09/12	Cancer Research Institute	New York, NY	\$ 2,000 00
05/02/12	NYU Langone Medical Center & Vascular Institute	New York, NY	\$ 500.00
05/11/12	Park East Day School	New York, NY	\$ 1,000 00
05/11/12	Museum of Science and Industry	Chicago, IL	\$ 2,500.00
05/14/12	Pan-Massachusetts Challenge	Needham, MA	\$ 250 00
05/30/12	Central Synagogue	New York, NY	\$ 50,000.00
05/31/12	HELP Funding, Inc	New York, NY	\$ 1,000.00
06/08/12	The Bachmann-Strauss Dystonia & Parkinson Foundation, Inc	New York, NY	\$ 2,500.00
06/12/12	The Raymond F Kravis Center for the Performing Arts, Inc.	West Palm Beach, FL	\$ 100,000 00
06/12/12	Naval Historical Foundation	Washington Navy Yard, D.C.	\$ 5,000.00
06/14/12	Palm Beach Civic Association	Palm Beach, FL	\$ 5,000.00
06/15/12	National Museum of American Jewish History	Philadelphia, PA	\$ 10,000.00
06/18/12	University of Michigan	Ann Arbor, MI	\$ 20,000.00
06/20/12	Amherst College	Amherst, MA	\$ 25,000 00
06/20/12	Jewish Board of Family & Children's Services	New York, NY	\$ 25,000.00
07/23/12	Palm Beach Police Foundation	Palm Beach, FL	\$ 10,000 00
07/25/12	Dana-Farber Cancer Institute and the Jimmy Fund	Brookline, MA	\$ 5,000 00
08/06/12	The Community Foundation for the Greater Capital Region	Albany, NY	\$ 500.00
08/24/12	Wilsonville Touchdown Club	Wilsonville, OR	\$ 500.00
09/05/12	Memorial Sloan-Kettering Cancer Center	New York, NY	\$ 1,000 00
09/05/12	The Rodman Ride for Kids	Foxboro, MA	\$ 1,000 00
09/11/12	Palm Beach Country Club Foundation	Palm Beach, FL	\$ 1,000 00
09/11/12	The American Hospital of Paris Foundation	New York, NY	\$ 1,000 00
09/14/12	The Bachmann-Strauss Dystonia & Parkinson Foundation, Inc.	New York, NY	\$ 1,000.00
10/03/12	One Family Fund	Teaneck, NJ	\$ 250 00
10/04/12	The Netanya Foundation, Inc	New York, NY	\$ 1,000 00
10/04/12	MorseLife Foundation, Inc	West Palm Beach, FL	\$ 20,000 00
10/04/12	MorseLife Foundation, Inc	West Palm Beach, FL	\$ 9,500 00
10/08/12	Norton Museum of Art	West Palm Beach, FL	\$ 10,000.00
10/08/12	Susan G Komen for the Cure	Palm Beach, FL	\$ 25,000.00
10/08/12	Planned Parenthood of South Florida and the Treasure Coast	West Palm Beach, FL	\$ 3,500.00
10/08/12	Preservation Foundation of Palm Beach	Palm Beach, FL	\$ 10,000 00
10/08/12	Norton Museum of Art	West Palm Beach, FL	\$ 2,500 00
10/08/12	University of Michigan Hillel	Ann Arbor, MI	\$ 1,000.00
10/09/12	George Eastman House	Rochester, NY	\$ 1,000 00
10/12/12	YMCA of South Palm Beach County	Boca Raton, FL	\$ 200 00
10/18/12	The Paradies Shops Foundation, Inc	Atlanta, GA	\$ 2,500 00
10/29/12	The Par 3 Foundation, Inc.	Palm Beach, FL	\$ 9,816 00
10/29/12	The Jewish Museum	New York, NY	\$ 2,500 00
10/31/12	SLE Lupus Foundation	New York, NY	\$ 1,000.00
10/31/12	National Museum of American Jewish History	Philadelphia, PA	\$ 12,500.00
11/05/12	Brigham and Women's Hospital	Boston, MA	\$ 25,000 00
11/05/12	Norton Museum of Art	West Palm Beach, FL	\$ 10,000 00
11/05/12	Jewish Community Center of the Greater Palm Beaches	Boynton Beach, FL	\$ 2,500 00
11/27/12	Town of Palm Beach United Way, Inc	Palm Beach, FL	\$ 35,000 00

J. Ira & Nicki Harris Family Foundation
EIN: 26-0818520
Charitable Contributions - F.Y.E. March 31, 2013

Date	Organization	City/State	Amount
11/28/12	Brigham and Women's Hospital	Boston, MA	\$ 5,000 00
11/29/12	Yeshiva University	New York, NY	\$ 1,000.00
12/10/12	H O W (Palm Healthcare Foundation)	West Palm Beach, FL	\$ 1,500 00
12/18/12	Israel Cancer Association USA	West Palm Beach, FL	\$ 1,000 00
12/18/12	Brain Research Foundation	Chicago, IL	\$ 1,000.00
12/18/12	Museum of Science and Industry	Chicago, IL	\$ 5,000 00
12/21/12	American Jewish Committee	New York, NY	\$ 10,000 00
12/26/12	National Alliance for Youth Sports	West Palm Beach, FL	\$ 2,500 00
12/27/12	LearnLead	Washington, DC	\$ 2,500 00
12/28/12	Norton Museum of Art	West Palm Beach, FL	\$ 25,000.00
01/10/13	Los Angeles Jewish Home	Reseda, CA	\$ 1,000 00
01/30/13	The Bachmann-Strauss Dystonia & Parkinson Foundation	New York, NY	\$ 2,500 00
02/08/13	Horatio Alger Association	Alexandria, VA	\$ 24,000 00
02/25/13	Preservation Foundation of Palm Beach	Palm Beach, FL	\$ 2,500 00
02/27/13	JCC of the Greater Palm Beaches	Palm Beach Gardens, FL	\$ 1,800.00
03/04/13	Horace Mann School - Parents Association	Bronx, NY	\$ 250 00
03/07/13	Horace Mann School	Bronx, NY	\$ 10,000 00
03/14/13	Marine Industry Cares Foundation	Ft. Lauderdale, FL	\$ 1,000 00
03/18/13	Arthur I Meyer Jewish Academy	West Palm Beach, FL	\$ 2,000.00
03/25/13	University of Michigan	Ann Arbor, MI	\$ 20,000 00
Total Contributions Paid *			\$ 633,066.00

* ALL CONTRIBUTIONS WERE MADE TO THE GENERAL PURPOSE
FUND OF PUBLIC CHARITABLE ORGANIZATIONS THAT WERE
CLASSIFIED UNDER SECTION 501(c)(3) OF THE INTERNAL REVENUE
CODE



Business Services Account

March 2013

Account name J IRA & NICKI HARRIS FAMILY

Friendly account name Harris Fam Fdn

Your Financial Advisor

GOODHUE TEAM

212-821-7000/800-308-3140

Cash alternatives

Money market funds

Money market funds are neither insured nor guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although money market funds seek to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in these funds.

Total reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Mar 28 (\$)	Value on Mar 28 (\$)	Unrealized gain or loss (\$)	Investment return (\$)	Holding period
UBS SELECT PRIME INSTITUTIONAL FUND	92,150.850	1.000	92,150.85	92,150.85	1.000	92,150.85			
EAI \$74 Current yield 0.08%									



Business Services Account
March 2013

Account name. J IRA & NICKI HARRIS FAMILY
Friendly account name. Harris Fam Fdn

Your Financial Advisor:
GOODHUE TEAM
212-821-7000/800 308-3140

Your assets (continued)

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share (\$)	Cost basis (\$)	Price per share on Mar 28 (\$)	Value on Mar 28 (\$)	Unrealized gain or loss (\$)	Holding period
FRANKLIN FINANCIAL CORP								
Symbol FRNK Exchange OTC	Jun 30, 11	1 000 000	12 155	12 155 25	18 250	18,250 00	6,094 75	LT
	Jul 1, 11	1,000 000	12 195	12 195 25	18 250	18 250 00	6,054 75	LT
	Jul 6, 11	3,000 000	12 120	36,360 00	18 250	54 750 00	18 390 00	LT
	Jul 6, 11	2 000,000	12 132	24,265 25	18 250	36 500 00	12 234 75	LT
	Jul 6 11	1 000 000	12 115	12,115 25	18 250	18 250 00	6 134 75	LT
	Jul 6, 11	1,000 000	12 120	12,120 00	18 250	18,250 00	6,130 00	LT
	Jul 6, 11	1 000 000	12 130	12 130 00	18 250	18 250 00	6,120 00	LT
Security total		10,000 000	12 134	121,341 00		182,500 00	61,159 00	
MDC PARTNERS INC SUB VTG CL A								
CAD								
Symbol MDCA Exchange OTC								
EAI \$6 384 Current yield 3 46%								
CAD Exchange rate 1 01595	Oct 29, 09	6 400 000	8 306	53,160 64	16 170	103,488 00	50 327 36	LT
	May 31, 12	2,552 000	9 751	24,885 97	16 170	41,265 84	16,379 87	ST
	Jun 1 12	155 000	10 105	1,566 37	16 170	2 506 35	939 98	ST
	Jun 4 12	1 461 000	9 755	14,253 11	16 170	23,624 37	9 371.26	ST
	Jun 4 12	832 000	9 876	8,217 25	16 170	13 453.44	5,236.19	ST
Security total		11 400 000	8 955	102,083 34		184,338 00	82,254 66	
WESTERN UNION CO								
Symbol WU Exchange NYSE								
EAI \$19 000 Current yield 3 32%	Nov 9 12	10,000 000	12 560	125 600 00	15 040	150,400 00	24,800 00	ST
	Nov 9, 12	10,000 000	12 570	125,705 25	15 040	150,400 00	24,694 75	ST
	Nov 9, 12	5,000 000	12 430	62,150 00	15 040	75 200 00	13 050 00	ST
	Nov 12, 12	13 000 000	12 430	161,595 25	15 040	195 520 00	33,924 75	ST
Security total		38 000 000	12 501	475 050 50		571 520 00	96,469.50	
Total				\$698,474.84		\$938,358 00	\$239,883.16	
Total estimated annual income \$25,384								



Business Services Account
March 2013

Account name J IRA & NICKI HARRIS FAMILY
Friendly account name Harris Fam Fdn

Your Financial Advisor
GOODHUE TEAM
212-821-7000/800-308-3140

Your assets (continued)

Fixed income

Mutual funds

Total/reinvested is the total of all reinvested dividends. It does not include any cash dividends. It is not a tax lot for the purposes of determining holding periods or cost basis. The shares you receive each time you reinvest dividends become a separate tax lot.

Cost basis is the total purchase cost of the security, including reinvested dividends. The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes.

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date. The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes.

Investment return is the current value minus the amount you invested. It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss. It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/Average price per share (\$)	Client investment (\$)	Cost basis (\$)	Price per share on Mar 28 (\$)	Value on Mar 28 (\$)	Unrealized (tax) gain or loss (\$)	Investment return (\$)	Holding period
DOUBLE LINE TOTAL RETURN									
FUND N									
Symbol: DLTNX									
Trade date: Mar 8, 12									
EAI \$27,054 Current yield 5.35%									
	44,642.857	11.201	500,075.00	500,075.00	11.330	505,803.56	5,728.56	5,728.56	LT

Your total assets

\$1,290,700.69 \$1,536,312.41 \$245,611.72

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► File a separate application for each return.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. J. IRA & NICKI HARRIS FAMILY FOUNDATION, INC.	Employer identification number (EIN) or 26-0818520
	Number, street, and room or suite no. If a P.O. box, see instructions. c/o BCRS ASSOCIATES, LLC; 77 WATER STREET - 9TH FL	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10005	

Enter the Return code for the return that this application is for (file a separate application for each return) **04**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► **BCRS ASSOCIATES, LLC**

Telephone No. ► **212-440-0811**

FAX No. ► **N/A**

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **NOVEMBER 15**, 20 **13**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☐ calendar year 20 ____ or

► ☒ tax year beginning **APRIL 1**, 20 **12**, and ending **MARCH 31**, 20 **13**.

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	47,817.00
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	25,817.00
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	22,000.00

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2013)

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **Part II**

Note. Only complete **Part II** if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the due date for filing your return. See instructions.	Enter filer's identifying number, see instructions	
	Name of exempt organization or other filer, see instructions. J. IRA & NICKI HARRIS FAMILY FOUNDATION, INC.	Employer identification number (EIN) or 26-0818520
	Number, street, and room or suite no. If a P.O. box, see instructions. c/o BCRS ASSOCIATES, LLC; 77 WATER STREET - 9TH FLOOR	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions NEW YORK, NY 10005	

Enter the Return code for the return that this application is for (file a separate application for each return) **04**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

• The books are in the care of **BCRS ASSOCIATES, LLC**

Telephone No. **212-440-0811**

FAX No. **N/A**

• If the organization does not have an office or place of business in the United States, check this box ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) **0000**. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until **FEBRUARY 17**, 20 **14**.
- 5 For calendar year **2013**, or other tax year beginning **APRIL 1**, 20 **12**, and ending **MARCH 31**, 20 **13**.
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period
- 7 State in detail why you need the extension **ALL INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE TAX RETURN IS NOT YET AVAILABLE.**

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	47,817.00
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	47,817.00
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.00

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature 

Title **CPA**

Date **11/15/2013**

Form **8868** (Rev. 1-2013)