Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning 04/01, 2012, and ending 03/31, 2013

Name of foundation: JACQUELINE HARRIS HOCHBERG FOUNDATION INC.

A Employer identification number: 26-0294769

Number and street (or P O box number if mail is not delivered to street address) / Room/suite:
C/O BCRS ASSOCIATES, LLC
77 WATER STREET - 9TH FLOOR

B Telephone number (see instructions): (212) 440-0800

City or town, state, and ZIP code: NEW YORK, NY 10005

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1 Foreign organizations, check here ▶ [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 883,615.

J Accounting method [X] Cash [ ] Accrual [ ] Other (specify) ____________ (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income N/A	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	101,875.			
	2	Check ▶ [ ] if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	278.	278.		ATCH 1
	4	Dividends and interest from securities	13,537.	13,537.		ATCH 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	11,329.			
	b	Gross sales price for all assets on line 6a 87,897.				
	7	Capital gain net income (from Part IV, line 2)		11,329.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	127,019.	25,144.	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule) ATCH 3	560.			560.
	b	Accounting fees (attach schedule) ATCH 4	6,500.	3,250.		3,250.
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions) ATCH 5	502.			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) ATCH 6	2,677.	2,501.		176.
	24	**Total operating and administrative expenses** Add lines 13 through 23	10,239.	5,751.		3,986.
	25	Contributions, gifts, grants paid	108,250.			108,250.
	26	**Total expenses and disbursements** Add lines 24 and 25	118,489.	5,751.	0	112,236.
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	8,530.			
	b	**Net investment income** (if negative, enter -0-)		19,393.		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets			
1 Cash - non-interest-bearing	4,880.	4,880.	4,880.
2 Savings and temporary cash investments	684,138.	388,650.	388,650.
3 Accounts receivable ▶			
Less allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7 Other notes and loans receivable (attach schedule) ▶			
Less allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10 a Investments - U S and state government obligations (attach schedule)			
b Investments - corporate stock (attach schedule) ATCH 7	147,847.	451,865.	490,085.
c Investments - corporate bonds (attach schedule)			
11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶			
12 Investments - mortgage loans			
13 Investments - other (attach schedule)			
14 Land, buildings, and equipment basis ▶			
Less accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶)			
16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	836,865.	845,395.	883,615.
Liabilities			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable (attach schedule)			
22 Other liabilities (describe ▶)			
23 **Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances			
Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24 Unrestricted			
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ X			
27 Capital stock, trust principal, or current funds	836,865.	845,395.	
28 Paid-in or capital surplus, or land, bldg , and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds			
30 **Total net assets or fund balances** (see instructions)	836,865.	845,395.	
31 **Total liabilities and net assets/fund balances** (see instructions)	836,865.	845,395.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	836,865.
2 Enter amount from Part I, line 27a	2	8,530.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	845,395.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	845,395.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	11,329.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	97,094.	795,931.	0.121988
2010	107,026.	802,339.	0.133392
2009	154,289.	820,452.	0.188054
2008	99,408.	1,018,925.	0.097562
2007	133,652.	1,257,170.	0.106312

2	**Total** of line 1, column (d)	2	0.647308
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.129462
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	818,816.
5	Multiply line 4 by line 3	5	106,006.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	194.
7	Add lines 5 and 6	7	106,200.
8	Enter qualifying distributions from Part XII, line 4	8	112,236.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ______________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	194.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	194.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	194.
6	Credits/Payments		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a: 500.		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	500.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	306.
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ 306. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0 (2) On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		N/A
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ FL,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ BCRS ASSOCIATES, LLC Telephone no ▶ 212-440-0800
Located at ▶ 77 WATER STREET - 9TH FLOOR NEW YORK, NY ZIP+4 ▶ 10005

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		N/A
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		N/A
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ________, ________, ________, ________			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		N/A
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ________, ________, ________, ________			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*	3b		N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		5b	N/A
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 8		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		0	0	0

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		0
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 PLEASE NOTE, THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS EXEMPT UNDER SECTION	0
2 501(C)(3) OF THE INTERNAL REVENUE CODE.	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	0
2 N/A	
All other program-related investments See instructions 3 N/A	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	505,096.
b	Average of monthly cash balances	1b	326,189.
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	831,285.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	831,285.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	12,469.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	818,816.
6	**Minimum investment return.** Enter 5% of line 5	6	40,941.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	40,941.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	194.		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	194.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	40,747.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	40,747.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	40,747.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	112,236.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	112,236.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	194.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	112,042.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				40,747.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only				
b Total for prior years 20____,20____,20____				
3 Excess distributions carryover, if any, to 2012				
a From 2007 ...... 31,199.				
b From 2008 ...... 48,462.				
c From 2009 ...... 113,266.				
d From 2010 ...... 66,909.				
e From 2011 ...... 57,301.				
f **Total** of lines 3a through e	317,137.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 112,236.				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2012 distributable amount				40,747.
e Remaining amount distributed out of corpus	71,489.			
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	388,626.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	31,199.			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	357,427.			
10 Analysis of line 9				
a Excess from 2008 ... 48,462.				
b Excess from 2009 ... 113,266.				
c Excess from 2010 ... 66,909.				
d Excess from 2011 ... 57,301.				
e Excess from 2012 ... 71,489.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE LIST ATTACHED				108,250.
Total . . . . ▶ **3a**				108,250.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	278.	
4 Dividends and interest from securities			14	13,537.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	11,329.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				25,144.	

13 Total Add line 12, columns (b), (d), and (e) . . . 13 25,144.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of.			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? [ ] Yes [X] No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Jacqueline Hochberg 2/15/2014 DIRECTOR

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instructions)? [X] Yes [ ] No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check [ ] if self-employed	PTIN
JOHN D. COOK		12/23/13		P01450182

Firm's name ▶ BCRS ASSOCIATES, LLC Firm's EIN ▶ 13-4078147

Firm's address ▶ 77 WATER STREET, 9TH FLOOR NEW YORK, NY 10005 Phone no 212-440-0800

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**

OMB No 1545-0047

2012

Name of the organization
JACQUELINE HARRIS HOCHBERG FOUNDATION INC.

Employer identification number
26-0294769

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $______________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization JACQUELINE HARRIS HOCHBERG FOUNDATION INC.

Employer identification number 26-0294769

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MAIZE AND BLUE CHARITABLE TRUST 220 SUNRISE AVENUE, SUITE 210 PALM BEACH, FL 33480	$ 101,875.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)

Name of organization JACQUELINE HARRIS HOCHBERG FOUNDATION INC.

Employer identification number 26-0294769

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization JACQUELINE HARRIS HOCHBERG FOUNDATION INC.

Employer identification number 26-0294769

Part III ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e)** and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once See instructions) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

• If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . . . . ▶ ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions JACQUELINE HARRIS HOCHBERG FOUNDATION, INC.	Employer identification number (EIN) or 26-0294769
File by the due date for filing your return See instructions	Number, street, and room or suite no If a P O. box, see instructions. c/o BCRS ASSOCIATES, LLC; 77 WATER STREET - 9TH FLOOR	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10005	

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

• The books are in the care of ▶ BCRS ASSOCIATES, LLC

Telephone No. ▶ 212-440-0811 FAX No. ▶ N/A

• If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box . . ▶ ☐ . If it is for part of the group, check this box . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until FEBRUARY 17, 20 14 .

5 For calendar year ______ , or other tax year beginning APRIL 1, 20 12 , and ending MARCH 31, 20 13 .

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension ALL INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE TAX RETURN IS NOT YET AVAILABLE.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	$ 250.00
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$ 500.00
c	**Balance due.** Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	8c	$ 0.00

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ CPA Date ▶ 11/15/2013

Form **8868**

(Rev January 2013)

Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

OMB No 1545-1709

• If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ ☒

• If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (*e-file*). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits.*

Part I **Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only . . . . ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions. JACQUELINE HARRIS HOCHBERG FOUNDATION, INC.	Employer identification number (EIN) or 26-0294769
	Number, street, and room or suite no. If a P.O. box, see instructions. c/o BCRS ASSOCIATES, LLC; 77 WATER STREET - 9TH FL	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10005	

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

• The books are in the care of ▶ BCRS ASSOCIATES, LLC

Telephone No. ▶ 212-440-0811 FAX No. ▶N/A

• If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box . . . ▶ ☐ . If it is for part of the group, check this box . . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until NOVEMBER 15 , 20 13 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ ☐ calendar year 20 ____ or

▶ ☒ tax year beginning APRIL 1 , 20 12 , and ending MARCH 31 , 20 13 .

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$ 250.00
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$ 500.00
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	$ 0.00

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS					2,271.	
		SEE STATEMENT I ATTACHED PROPERTY TYPE: SECURITIES				P	VAR	VAR
63,579.		58,666.					4,913.	
		SEE STATEMENT I ATTACHED PROPERTY TYPE: SECURITIES				P	VAR	VAR
11,047.		6,902.					4,145.	
		SEE STATEMENT II ATTACHED PROPERTY TYPE: SECURITIES				P	VAR	4/3/2012
11,000.		11,000.						
TOTAL GAIN(LOSS)							11,329.	

JACQUELINE HARRIS HOCHBERG FOUNDATION INC. **EIN: 26-0294769**

SUPPLEMENTARY STATEMENTS

PART: XV **LINE: 2** **SUPPLEMENTAL INFORMATION**

THE ORGANIZATION'S PRIMARY ACTIVITY IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS QUALIFYING FOR EXEMPTION UNDER SECTION 501(c)(3) OF THE INTERNAL REVENUE CODE THE TRUSTEES CHOOSE THESE ORGANIZATIONS BASED UPON THEIR KNOWLEDGE OF THE ORGANIZATIONS' ACTIVITIES NO CONTRIBUTIONS, GRANTS, GIFTS, LOANS OR SCHOLARSHIPS ARE MADE TO INDIVIDUALS THE ORGANIZATION DOES NOT PRESENTLY RECEIVE APPLICATIONS OR CONDUCT PROGRAMS FOR DONATIONS IN ITS ACTIVITIES

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
INTEREST ON CHECKING		278.	278.	
	TOTAL	278.	278.	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
DIVIDENDS THRU BROKERAGE		10,543.	10,543.	
FOREIGN DIVIDENDS		2,994.	2,994.	
	TOTAL	13,537.	13,537.	

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
HODGSON RUSS LLP	560.			560.
TOTALS	560.			560.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
BCRS ASSOCIATES, LLC	6,500.	3,250.		3,250.
TOTALS	6,500.	3,250.		3,250.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
BALANCE DUE W/ TAX RETURN - FYE 3/31/2012	2.			
4TH QTR ESTIMATE - FYE 3/31/13	500.			
TOTALS	502.			

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
FLORIDA FILING FEE	61.			61.
CHARITABLE EXPENSE	115.			115.
UBS ANNUAL FEE	2,271.	2,271.		
FOREIGN TAX WITHHELD	230.	230.		
TOTALS	2,677.	2,501.		176.

ATTACHMENT 7

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
LONG POSITION I	147,847.	151,715.	181,554.
LONG POSITION II	0	300,150.	308,531.
TOTALS	147,847.	451,865.	490,085.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 8

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JACQUELINE HARRIS HOCHBERG C/O BCRS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005	DIRECTOR/PRES/SEC - AS NEEDED	0	0	0
ROBERT HOCHBERG C/O BCRS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005	DIRECTOR - AS NEEDED	0	0	0
NICKI HARRIS C/O BCRS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005	DIRECTOR/VP/TREAS - AS NEEDED	0	0	0
	GRAND TOTALS	0	0	0

SCHEDULE D (Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*.

OMB No 1545-0092

2012

Name of estate or trust: JACQUELINE HARRIS HOCHBERG FOUNDATION INC.

Employer identification number: 26-0294769

Note: *Form 5227 filers need to complete **only** Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	4,913.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet	4	( )
5 **Net short-term gain or (loss).** Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ▶	5	4,913.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS					2,271.

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	4,145.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet	11	( )
12 **Net long-term gain or (loss).** Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ▶	12	6,416.

Part III **Summary of Parts I and II**
Caution: *Read the instructions* ***before*** *completing this part.*

			(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			4,913.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			6,416.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b			
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			11,329.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and* ***do not*** *complete Part IV If line 15, column (3), is a net loss, complete Part IV and the* ***Capital Loss Carryover Worksheet,*** *as necessary*

Part IV **Capital Loss Limitation**

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of.
a The loss on line 15, column (3) **or** b $3,000 . . . 16 ( )

Note: *If the loss on line 15, column (3), is more than $3,000,* ***or*** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* ***Capital Loss Carryover Worksheet*** *in the instructions to figure your capital loss carryover*

Part V **Tax Computation Using Maximum Capital Gains Rates**

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero
Caution: *Skip this part and complete the* ***Schedule D Tax Worksheet*** *in the instructions if*
- *Either line 14b, col (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			17			
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18					
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19					
20	Add lines 18 and 19	20					
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	21					
22	Subtract line 21 from line 20 If zero or less, enter -0-			22			
23	Subtract line 22 from line 17 If zero or less, enter -0-			23			
24	Enter the **smaller** of the amount on line 17 or $2,400			24			
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26, go to line 27 and check the "No" box ☐ **No.** Enter the amount from line 23			25			
26	Subtract line 25 from line 24			26			
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22			27			
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)			28			
29	Subtract line 28 from line 27			29			
30	Multiply line 29 by 15% (15)					30	
31	Figure the tax on the amount on line 23 Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					31	
32	Add lines 30 and 31					32	
33	Figure the tax on the amount on line 17 Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)					34	

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs gov/form1041

OMB No 1545-0092

2012

Name of estate or trust: JACQUELINE HARRIS HOCHBERG FOUNDATION INC.

Employer identification number: 26-0294769

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a SEE STATEMENT I ATTACHED	VAR	VAR	63,579.	58,666.	4,913.

1b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 1b . . . . 4,913.

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side	Employer identification number

Part II **Long-Term Capital Gains and Losses - Assets Held More Than One Year**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a SEE STATEMENT I ATTACHED	VAR	VAR	11,047.	6,902.	4,145.
SEE STATEMENT II ATTACHED	VAR	4/3/2012	11,000.	11,000.	0

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b	4,145.

JACQUELINE HARRIS HOCHBERG FOUNDATION, INC.
CHARITABLE CONTRIBUTIONS
FYE 3/31/2013
EIN: 26-0294769

DATE	CHK #	PAYEE	CITY, STATE	AMOUNT
4/23/2012	1218	GARDEN OF DREAMS FOUNDATION	NEW YORK, NY	$ 1,000.00
4/24/2012	1222	GIRLS INCORPORATED OF NEW YORK CITY	NEW YORK, NY	$ 1,000 00
4/19/2012	1223	CROHN'S AND COLITIS FOUNDATION OF AMERICA	NEW YORK, NY	$ 1,200 00
4/18/2012	1224	WIZO	NEW YORK, NY	$ 1,000 00
4/20/2012	1225	THE JEWISH MUSEUM	NEW YORK, NY	$ 1,000 00
4/25/2012	1226	BABBY BUGGY INC	NEW YORK, NY	$ 1,000 00
4/30/2012	1231	JBFCS	NEW YORK, NY	$ 500 00
4/30/2012	1232	SOUTHAMPTON FRESH AIR HOME	SOUTHAMPTON, NY	$ 2,500 00
5/7/2012	1228	THE CHRISTOPHER RICARDO CYSTIC FIBROSIS FOUNDATION INC	BETHESDA, MD	$ 250.00
5/7/2012	1229	HEALTH AND HUMANITARIAN AID FOUNDATION	GARNERVILLE, NY	$ 1,500 00
5/11/2012	1230	ALBERT EINSTEIN COLLEGE OF MEDICINE	BRONX, NY	$ 1,500.00
5/16/2012	1233	92ND STREET Y	NEW YORK, NY	$ 15,000.00
5/21/2012	1234	COLUMBIA GRAMMAR & PREPARATORY SCHOOL	NEW YORK, NY	$ 500 00
5/29/2012	1235	THE MOUNT SINAI CHILDREN'S CENTER FOUNDATION	NEW YORK, NY	$ 2,500 00
5/21/2012	1236	HELP FUNDING INC	NEW YORK, NY	$ 10,000.00
5/16/2012	1237	THE SIGGI WILZIG HAMPTONS JEWISH CHILDREN'S CENTER	EAST HAMPTON, NY	$ 250 00
5/24/2012	1238	NYU LANGONE MEDICAL CENTER	NEW YORK, NY	$ 500.00
6/13/2012	1239	MANHATTAN YOUTH BASKETBALL	NEW YORK, NY	$ 2,000 00
6/29/2012	1240	MANHATTAN YOUTH BASKETBALL	NEW YORK, NY	$ 3,000 00
8/1/2012	1227	MEMORIAL SLOAN-KETTERING CANCER CENTER	NEW YORK, NY	$ 250 00
8/18/2012	1241	THE MAX CURE FOUNDATION	NEW YORK, NY	$ 1,000 00
9/11/2012	1243	SOUTHAMPTON HOSPITAL FOUNDATION	SOUTHAMPTON, NY	$ 1,000 00
9/10/2012	1244	THE JEWISH CENTER OF THE HAMPTONS	EAST HAMPTON, NY	$ 750 00
10/12/2012	1242	ALBERT EINSTEIN COLLEGE OF MEDICINE	BRONX, NY	$ 5,000.00
10/9/2012	1245	THE JEWISH CENTER OF THE HAMPTONS	EAST HAMPTON, NY	$ 1,000.00
11/7/2012	1248	SCLERODERMA RESEARCH FOUNDATION	SAN FRANCISCO, CA	$ 2,500 00
11/8/2012	1249	CENTRAL SYNAGOGUE	NEW YORK, NY	$ 2,500 00
11/13/2012	1250	COLUMBIA GRAMMAR & PREPARATORY SCHOOL	NEW YORK, NY	$ 300 00
11/13/2012	1251	COLUMBIA GRAMMAR & PREPARATORY SCHOOL	NEW YORK, NY	$ 25,000 00
12/26/2012	1247	THE JOHNS HOPKINS UNIVERSITY	BALTIMORE, MD	$ 250.00
12/21/2012	1252	THE JEWISH MUSEUM	NEW YORK, NY	$ 2,500 00
12/20/2012	1253	UNIVERSITY OF MICHIGAN	ANN ARBOR, MI	$ 5,000.00
12/21/2012	1254	UNIVERSITY OF MICHIGAN	ANN ARBOR, MI	$ 1,000.00
2/7/2013	1255	FARE	MCLEAN, VA	$ 1,000 00
2/11/2013	1256	NEW YORK PRESBYTERIAN HOSPITAL	NEW YORK, NY	$ 2,500 00
2/20/2013	1258	CROHN'S AND COLITIS FOUNDATION OF AMERICA	NEW YORK, NY	$ 1,000 00
3/25/2013	1259	92ND STREET Y	NEW YORK, NY	$ 5,000 00
3/11/2013	1261	COLUMBIA GRAMMAR & PREPARATORY SCHOOL	NEW YORK, NY	$ 2,500.00
3/18/2013	1262	RANDALL'S ISLAND PARK ALLIANCE	NEW YORK, NY	$ 2,000.00
		TOTAL CONTRIBUTIONS PAID *		**$ 108,250.00**

***ALL CONTRIBUTIONS WERE MADE TO THE GENERAL PURPOSE FUND OF PUBLIC CHARITABLE ORGANIZATIONS EXEMPT UNDER SECTION 501(c)(3) OF THE INTERNAL REVENUE CODE.**

JACQUELINE HARRIS HOCHBERG FOUNDATION, INC.
UBS - REALIZED GAINS (LOSSES) REPORT
APRIL 1, 2012 TO MARCH 31, 2013

UBS - Y1-16292-GH

SECURITY	DATE ACQUIRED	DATE SOLD	QTY	SALES PROCEEDS	COST OR OTHER BASIS	TOTAL GAIN/LOSS	GAIN/LOSS TYPE
3M CO	10/10/2011	4/13/2012	57 00	4,908 16	4,210 79	697.37	SHORT TERM
PROCTER & GAMBLE CO	2/6/2012	5/4/2012	74 00	4,695 45	4,693.94	1 51	SHORT TERM
AT&T INC	2/6/2012	5/10/2012	159.00	5,172 15	4,585.57	586 58	SHORT TERM
BRITISH AMER TOBACCO PLC GB	9/30/2011	8/9/2012	1.00	108.79	34.27	74.52	SHORT TERM
CSX CORPORATION	6/12/2012	8/9/2012	26 00	601 70	547 56	54 14	SHORT TERM
COCA COLA CO	9/30/2011	8/9/2012	5 00	405.36	171 35	234 01	SHORT TERM
COLGATE PALMOLIVE CO	9/30/2011	8/9/2012	3 00	319 79	267 27	52 52	SHORT TERM
NESTLE S A SPONSORED ADR	2/6/2012	8/9/2012	81.00	5,042 94	4,478.08	564.86	SHORT TERM
NEXTERA ENERGY INC	9/30/2011	8/9/2012	6.00	424.57	325.14	99.43	SHORT TERM
SANOFI SPON ADR	9/30/2011	8/9/2012	2.00	83.36	66.30	17.06	SHORT TERM
TOTAL S A. FRANCE SPON ADR	7/9/2012	8/9/2012	16 00	771 39	706 22	65 17	SHORT TERM
VODAFONE GROUP PLC NEW SPON ADR	9/30/2011	8/9/2012	5 00	148.31	129 45	18.86	SHORT TERM
EXXON MOBILE CORP	8/6/2012	9/13/2012	61.00	5,481.94	4,476 66	1,005 28	SHORT TERM
BANK OF NOVA SCOTIA CANADA	3/12/2012	10/11/2012	4.00	219.91	215 73	4 18	SHORT TERM
TORONTO DOMINION BK NEW	3/12/2012	10/11/2012	2.00	166 61	165.03	1.58	SHORT TERM
TOTAL S A FRANCE SPON ADR	7/9/2012	10/11/2012	2.00	99 42	88.28	11.14	SHORT TERM
WPP PLC SPON ADR	2/6/2012	10/11/2012	3.00	209 25	183 68	25.57	SHORT TERM
NTT DOCOMO INC	10/8/2012	11/5/2012	344.00	5,035.14	6,190.51	(1,155.37)	SHORT TERM
GLAXO SMITHKLINE PLC ADR	8/6/2012	11/15/2012	115.00	4,979.38	5,411.49	(432.11)	SHORT TERM
WPP PLC SPON ADR	8/6/2012	11/15/2012	79 00	5,090 14	4,860.97	229 17	SHORT TERM
VODAFONE GROUP PLC NEW SPON ADR	11/12/2012	1/17/2013	214 00	5,655 93	5,595 11	60.82	SHORT TERM
BANK OF NOVA SCOTIA CANADA	3/12/2012	2/14/2013	1.00	58 59	53 93	4 66	SHORT TERM
CSX CORPORATION	6/12/2012	2/14/2013	7.00	158 22	147 42	10 80	SHORT TERM
CLOROX CO	10/8/2012	2/14/2013	2 00	217 00	148 23	68 77	SHORT TERM
OCCIDENTAL PETROLEUM CRP	4/10/2012	2/14/2013	6.00	522 73	548.07	(25 34)	SHORT TERM
UNILEVER PLC AMER SHS NEW SPON ADR	10/8/2012	2/14/2013	1 00	39 46	37 30	2 16	SHORT TERM
GENL MILLS INC	11/12/2012	3/14/2013	142 00	6,536 11	5,525 01	1,011 10	SHORT TERM
RAYTHEON CO	2/11/2013	3/21/2013	112 00	6,427 83	4,802 92	1,624 91	SHORT TERM
		TOTAL STCG(L)		$63,579.63	$58,666.28	$4,913.35	

DIAGEO PLC NEW GB SPON ADR	9/30/2011	10/11/2012	3 00	345.71	228 87	116 84	LONG TERM
GENL MILLS INC	9/30/2011	10/11/2012	1 00	39.85	38 79	1 06	LONG TERM
AFLAC INC	9/30/2011	10/11/2012	6 00	291 08	210 66	80 42	LONG TERM
HOME DEPOT INC	10/10/2011	10/11/2012	103 00	6,427 36	3,432.31	2,995 05	LONG TERM
ILLINOIS TOOL WORKS INC	9/30/2011	10/11/2012	5.00	300.99	213.65	87.34	LONG TERM
MEDTRONIC INC	9/30/2011	10/11/2012	11.00	486.64	371 25	115.39	LONG TERM
NOVARTIS AG SPON ADR	9/30/2011	10/11/2012	3.00	187.58	168 15	19.43	LONG TERM
PEARSON PLC SPON ADR	9/30/2011	10/11/2012	5.00	100 54	88.50	12 04	LONG TERM
SANOFI SPON ADR	9/30/2011	10/11/2012	5 00	221.44	165 75	55 69	LONG TERM
AIR PROD & CHEMICAL INC	9/30/2011	2/14/2013	2 00	176 79	70.22	106 57	LONG TERM
EMERSON ELECTRIC CO	9/30/2011	2/14/2013	6.00	344.95	258 60	86.35	LONG TERM
GENL MILLS INC	9/30/2011	2/14/2013	1 00	42.59	38 79	3 80	LONG TERM
JOHNSON & JOHNSON COM	9/30/2011	2/14/2013	1 00	75.33	63.30	12 03	LONG TERM
MEDTRONIC INC	9/30/2011	2/14/2013	10.00	471.09	337 50	133.59	LONG TERM
NOVARTIS AG SPON ADR	9/30/2011	2/14/2013	5 00	341.19	280.25	60.94	LONG TERM
NORTHEAST UTILITIES	9/30/2011	2/14/2013	2.00	82 23	67.78	14 45	LONG TERM
NEXTERA ENERGY INC	9/30/2011	2/14/2013	1 00	72.12	54.19	17 93	LONG TERM
UNITED PARCEL SERVICE INC	9/30/2011	2/14/2013	5.00	412 51	320.00	92 51	LONG TERM
UNTD TECHNOLOGIES CORP	9/30/2011	2/14/2013	7.00	626 77	493.64	133 13	LONG TERM
		TOTAL LTCG(L)		**$11,046.76**	**$6,902 20**	**$4,144.56**	
		TOTAL		**$74,626.39**	**$65,568.48**	**$9,057.91**	

JACQUELINE HARRIS HOCHBERG FOUNDATION, INC.
UBS - REALIZED GAINS (LOSSES) REPORT
APRIL 1, 2011 TO MARCH 31, 2013

UBS - Y1 1119-GH

SECURITY	DATE ACQUIRED	DATE SOLD	QTY	SALES PROCEEDS	COST OR OTHER BASIS	TOTAL GAIN/LOSS	GAIN/LOSS TYPE
UBS SELECT PRIME INVESTOR FUND	VARIOUS	4/3/2012	11,000	11,000 00	11,000.00	0 00	LONG TERM
		TOTAL LONG-TERM		$11,000.00	$11,000.00	$0.00	

Account name: JACQUELINE HARRIS HOCHBERG
Friendly account name: Hochberg Fndtn2

Your Financial Advisor:
GOODHUE TEAM
212-821-7000/800-308-3140

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 28 ($)	Value on Mar 28 ($)	Unrealized gain or loss ($)	Holding period
AFLAC INC								
Symbol AFL Exchange NYSE								
EAI $169 Current yield 2 68%	Sep 30, 11	94 000	35 110	3,300 34	52 020	4,889 88	1,589 54	LT
	Mar 12, 12	11 000	44 870	493 57	52 020	572 22	78 65	LT
	Aug 6, 12	8 000	45 146	361 17	52 020	416 16	54 99	ST
	Nov 12, 12	1 000	49 630	49 63	52 020	52 02	2 39	ST
	Feb 11, 13	7 000	50 207	351 45	52 020	364 14	12 69	ST
Security total		121 000	37 654	4,556 16		6,294 42	1,738 26	
AIR PROD & CHEMICAL INC								
Symbol APD Exchange NYSE								
EAI $196 Current yield 3 26%	Sep 30, 11	54 000	77 870	4,204 98	87 120	4,704 48	499 50	LT
	Aug 6, 12	9 000	82 664	743 98	87 120	784 08	40 10	ST
	Nov 12, 12	6 000	79 826	478 96	87 120	522 72	43 76	ST
Security total		69 000	78 666	5,427 92		6,011 28	583 36	
ASTRAZENECA PLC SPON ADR								
Symbol AZN Exchange NYSE								
EAI $358 Current yield 5 60%	Sep 30, 11	99 000	44 460	4,401 54	49 980	4,948 02	546 48	LT
	Feb 6, 12	7 000	47 360	331 52	49 980	349 86	18 34	LT
	Mar 12, 12	4 000	45 010	180 04	49 980	199 92	19 88	LT

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 28 ($)	Value on Mar 28 ($)	Unrealized gain or loss ($)	Holding period
	Aug 6, 12	2 000	48 170	96 34	49 980	99 96	3 62	ST
	Nov 12, 12	12 000	45 460	545 52	49 980	599 76	54 24	ST
	Feb 11, 13	4 000	47 427	189 71	49 980	199 92	10 21	ST
Security total		128 000	44 880	5,744 67		6,397 44	652 77	
BANK OF NOVA SCOTIA CANADA CAD Symbol BNS Exchange NYSE EAI $241 Current yield 4 02% CAD Exchange rate 1 01595	Mar 12, 12	87 000	53 932	4,692 16	58 270	5,069 49	377 33	LT
	Aug 6, 12	12 000	52 090	625 08	58 270	699 24	74 16	ST
	Nov 12, 12	4 000	54 090	216 36	58 270	233 08	16 72	ST
Security total		103 000	53 724	5,533 60		6,001 81	468 21	
BOEING COMPANY Symbol BA Exchange NYSE EAI $153 Current yield 2 26%	Sep 30, 11	63 000	61 380	3,866 94	85 850	5,408 55	1,541 61	LT
	Oct 10, 11	2 000	63 950	127 90	85 850	171 70	43 80	LT
	Mar 12, 12	2 000	73 700	147 40	85 850	171 70	24 30	LT
	Aug 6, 12	7 000	73 098	511 69	85 850	600 95	89 26	ST
	Nov 12, 12	2 000	73 840	147 68	85 850	171 70	24 02	ST
	Feb 11, 13	3 000	76 170	228 51	85 850	257 55	29 04	ST
Security total		79 000	63 672	5,030 12		6,782 15	1,752 03	
BRITISH AMER TOBACCO PLC GB SPON ADR Symbol BTI Exchange AMEX EAI $242 Current yield 3 90%	Sep 30, 11	47 000	85 050	3,997 35	107 050	5,031 35	1,034 00	LT
	Feb 6, 12	2 000	96 810	193 62	107 050	214 10	20 48	LT
	Nov 12, 12	6 000	102 205	613 23	107 050	642 30	29 07	ST
	Feb 11, 13	3 000	103 440	310 32	107 050	321 15	10 83	ST
Security total		58 000	88 181	5,114 52		6,208 90	1,094 38	
CLOROX CO Symbol CLX Exchange NYSE EAI $192 Current yield 2 89%	Oct 8, 12	72 000	74 116	5,336 37	88 530	6,374 16	1,037 79	ST
	Nov 12, 12	3 000	73 010	219 03	88 530	265 59	46 56	ST
Security total		75 000	74 072	5,555 40		6,639 75	1,084 35	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 28 ($)	Value on Mar 28 ($)	Unrealized gain or loss ($)	Holding period
COCA COLA CO COM								
Symbol KO Exchange NYSE								
EAI $175 Current yield 2 77%	Sep 30, 11	108 000	34 270	3,701 16	40 440	4,367 52	666 36	LT
	Oct 10, 11	10 000	33 245	332 45	40 440	404 40	71 95	LT
	Feb 6, 12	14 000	33 955	475 37	40 440	566 16	90 79	LT
	Oct 8, 12	5 000	38 656	193 28	40 440	202 20	8 92	ST
	Nov 12, 12	19 000	36 118	686 26	40 440	768 36	82 10	ST
Security total		156 000	34 542	5,388 52		6,308 64	920 12	
COLGATE PALMOLIVE CO								
Symbol CL Exchange NYSE								
EAI $152 Current yield 2 30%	Sep 30, 11	45 000	89 090	4,009 05	118 030	5,311 35	1,302 30	LT
	Oct 10, 11	1 000	91 360	91 36	118 030	118 03	26 67	LT
	Feb 6, 12	4 000	91 110	364 44	118 030	472 12	107 68	LT
	Nov 12, 12	4 000	104 410	417 64	118 030	472 12	54 48	ST
	Feb 11, 13	2 000	108 500	217 00	118 030	236 06	19 06	ST
Security total		56 000	91 062	5,099 49		6,609 68	1,510 19	
CSX CORPORATION								
Symbol CSX Exchange NYSE								
EAI $155 Current yield 2 28%	Jun 12, 12	226 000	21 060	4,759 56	24 630	5,566 38	806 82	ST
	Oct 8, 12	10 000	21 636	216 36	24 630	246 30	29 94	ST
	Nov 12, 12	40 000	20 000	800 00	24 630	985 20	185 20	ST
Security total		276 000	20 927	5,775 92		6,797 88	1,021 96	
DIAGEO PLC NEW GB SPON ADR								
Symbol DEO Exchange NYSE								
EAI $145 Current yield 2 26%	Sep 30, 11	48 000	76 290	3,661 92	125 840	6,040 32	2,378 40	LT
	Nov 12, 12	1 000	114 780	114 78	125 840	125 84	11 06	ST
	Feb 11, 13	2 000	117 560	235 12	125 840	251 68	16 56	ST
Security total		51 000	78 663	4,011 82		6,417 84	2,406 02	
EMERSON ELECTRIC CO								
Symbol EMR Exchange NYSE								
EAI $174 Current yield 2 94%	Sep 30, 11	92 000	43 100	3,965 20	55 870	5,140 04	1,174 84	LT
	Oct 10, 11	2 000	44 915	89 83	55 870	111 74	21 91	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 28 ($)	Value on Mar 28 ($)	Unrealized gain or loss ($)	Holding period
	Aug 6, 12	10 000	48 997	489 97	55 870	558 70	68 73	ST
	Nov 12, 12	2 000	50 270	100 54	55 870	111 74	11 20	ST
Security total		106 000	43 826	4,645 54		5,922 22	1,276 68	
ILLINOIS TOOL WORKS INC								
Symbol ITW Exchange NYSE								
EAI $147 Current yield 2 49%	Sep 30, 11	82 000	42 730	3,503 86	60 940	4,997 08	1,493 22	LT
	Oct 10, 11	2 000	44 605	89 21	60 940	121 88	32 67	LT
	Aug 6, 12	7 000	56 174	393 22	60 940	426 58	33 36	ST
	Nov 12, 12	2 000	60 590	121 18	60 940	121 88	0 70	ST
	Feb 11, 13	4 000	62 710	250 84	60 940	243 76	-7 08	ST
Security total		97 000	44 931	4,358 31		5,911 18	1,552 87	
INTEL CORP								
Symbol INTC Exchange OTC								
EAI $260 Current yield 4 12%	Sep 30, 11	181 000	21 930	3,969 33	21 835	3,952 13	-17 20	LT
	Oct 10, 11	3 000	22 850	68 55	21 835	65 50	-3 05	LT
	Aug 6, 12	21 000	26 396	554 33	21 835	458 53	-95 80	ST
	Oct 8, 12	39 000	22 486	876 98	21 835	851 56	-25 42	ST
	Nov 12, 12	28 000	20 756	581 19	21 835	611 38	30 19	ST
	Feb 11, 13	17 000	21 010	357 17	21 835	371 19	14 02	ST
Security total		289 000	22 171	6,407 55		6,310 31	-97 26	
JOHNSON & JOHNSON COM								
Symbol JNJ Exchange NYSE								
EAI $195 Current yield 2 99%	Sep 30, 11	66 000	63 300	4,177 80	81 530	5,380 98	1,203 18	LT
	Oct 10, 11	3 000	64 086	192 26	81 530	244 59	52 33	LT
	Feb 6, 12	7 000	65 410	457 87	81 530	570 71	112 84	LT
	Aug 6, 12	1 000	69 110	69 11	81 530	81 53	12 42	ST
	Nov 12, 12	3 000	69 700	209 10	81 530	244 59	35 49	ST
Security total		80 000	63 827	5,106 14		6,522 40	1,416 26	
MEDTRONIC INC								
Symbol MDT Exchange NYSE								
EAI $133 Current yield 2 21%	Sep 30, 11	100 000	33 750	3,375 00	46 960	4,696 00	1,321 00	LT
	Oct 10, 11	9 000	33.414	300 73	46 960	422 64	121 91	LT
	Mar 12, 12	3 000	37 600	112 80	46 960	140 88	28 08	LT

Account name: JACQUELINE HARRIS HOCHBERG
Friendly account name: Hochberg Fndtn2

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 28 ($)	Value on Mar 28 ($)	Unrealized gain or loss ($)	Holding period
	Aug 6, 12	2 000	39 975	79 95	46 960	93 92	13 97	ST
	Nov 12, 12	14 000	41 217	577 04	46 960	657 44	80 40	ST
Security total		128 000	34 731	4,445 52		6,010 88	1,565 36	
NEXTERA ENERGY INC COM								
Symbol NEE Exchange NYSE								
EAI $222 Current yield 3 40%	Sep 30, 11	70 000	54 190	3,793 30	77 680	5,437 60	1,644 30	LT
	Oct 10, 11	3 000	54 700	164 10	77 680	233 04	68 94	LT
	Feb 6, 12	2 000	60 150	120 30	77 680	155 36	35 06	LT
	Nov 12, 12	9 000	66 970	602 73	77 680	699 12	96 39	ST
Security total		84 000	55 719	4,680 43		6,525 12	1,844 69	
NORTHEAST UTILITIES								
Symbol NU Exchange NYSE								
EAI $216 Current yield 3 38%	Sep 30, 11	116 000	33 890	3,931 24	43 460	5,041 36	1,110 12	LT
	Oct 10, 11	12 000	32 537	390 45	43 460	521 52	131 07	LT
	Feb 6, 12	5 000	35 230	176 15	43 460	217 30	41 15	LT
	Aug 6, 12	1 000	39 690	39 69	43 460	43 46	3 77	ST
	Nov 12, 12	13 000	37 940	493 22	43 460	564 98	71 76	ST
Security total		147 000	34 223	5,030 75		6,388 62	1,357 87	
NOVARTIS AG SPON ADR								
Symbol NVS Exchange NYSE								
EAI $191 Current yield 3 01%	Sep 30, 11	68 000	56 050	3,811 40	71 240	4,844 32	1,032 92	LT
	Oct 10, 11	2 000	57 735	115 47	71 240	142 48	27 01	LT
	Feb 6, 12	13 000	55 626	723 15	71 240	926 12	202 97	LT
	Nov 12, 12	6 000	60 260	361 56	71 240	427 44	65 88	ST
Security total		89 000	56 310	5,011 58		6,340 36	1,328 78	
OCCIDENTAL PETROLEUM CRP								
Symbol OXY Exchange NYSE								
EAI $174 Current yield 3 27%	Apr 10, 12	48 000	91 345	4,384 56	78 370	3,761 76	-622 80	ST
	Aug 6, 12	6 000	89 300	535 80	78 370	470 22	-65 58	ST
	Nov 12, 12	14 000	76 710	1,073 94	78 370	1,097 18	23 24	ST
Security total		68 000	88 151	5,994 30		5,329 16	-665 14	

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Account name: JACQUELINE HARRIS HOCHBERG
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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 28 ($)	Value on Mar 28 ($)	Unrealized gain or loss ($)	Holding period
PEARSON PLC SPON ADR								
Symbol PSO Exchange NYSE								
EAI $222 Current yield 3 88%	Sep 30, 11	229 000	17 700	4,053 30	17 990	4,119 71	66 41	LT
	Oct 10, 11	7 000	18 260	127 82	17 990	125 93	-1 89	LT
	Feb 6, 12	20 000	19 090	381 80	17 990	359 80	-22 00	LT
	Aug 6, 12	17 000	19 410	329 98	17 990	305 83	-24 15	ST
	Nov 12, 12	17 000	19 530	332 01	17 990	305 83	-26 18	ST
	Feb 11, 13	28 000	19 007	532 22	17 990	503 72	-28 50	ST
Security total		318 000	18 104	5,757 13		5,720 82	-36 31	
SANOFI SPON ADR								
Symbol SNY Exchange NYSE								
EAI $170 Current yield 2 56%	Sep 30, 11	110 000	33 150	3,646 50	51 080	5,618 80	1,972 30	LT
	Oct 10, 11	5 000	34 310	171 55	51 080	255 40	83 85	LT
	Feb 6, 12	9 000	36 446	328 02	51 080	459 72	131 70	LT
	Nov 12, 12	6 000	43 410	260 46	51 080	306 48	46 02	ST
Security total		130 000	33 896	4,406 53		6,640 40	2,233 87	
TEVA PHARMACEUTICALS IND LTD								
ISRAEL ADR								
Symbol TEVA Exchange OTC								
EAI $138 Current yield 2 20%	Feb 11, 13	158 000	38 516	6,085 62	39 680	6,269 44	183 82	ST
TORONTO DOMINION BK NEW CANADA								
CAD								
Symbol TD Exchange NYSE								
EAI $222 Current yield 3 81%								
CAD Exchange rate 1 01595	Mar 12, 12	58 000	82 515	4,785 88	83 270	4,829 66	43 78	LT
	Aug 6, 12	8 000	79 385	635 08	83 270	666 16	31 08	ST
	Nov 12, 12	4 000	80 550	322 20	83 270	333 08	10 88	ST
Security total		70 000	82 045	5,743 16		5,828 90	85 74	
TOTAL S A FRANCE SPON ADR								
Symbol TOT Exchange NYSE								
EAI $298 Current yield 5 26%	Jul 9, 12	110 000	44 139	4,855 39	47 980	5,277 80	422 41	ST
	Nov 12, 12	8 000	48 130	385 04	47 980	383 84	-1 20	ST
Security total		118 000	44 410	5,240 43		5,661 64	421 21	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 28 ($)	Value on Mar 28 ($)	Unrealized gain or loss ($)	Holding period
UNILEVER PLC AMER SHS NEW SPON ADR								
Symbol UL Exchange NYSE								
EAI $192 Current yield 2 97%	Oct 8, 12	146 000	37 303	5,446 24	42 240	6,167 04	720 80	ST
	Nov 12, 12	7 000	36 827	257 79	42 240	295 68	37 89	ST
Security total		153 000	37 281	5,704 03		6,462 72	758 69	
UNITED PARCEL SERVICE INC CL B								
Symbol UPS Exchange NYSE								
EAI $181 Current yield 2 89%	Sep 30, 11	63 000	64 000	4,032 00	85 900	5,411 70	1,379 70	LT
	Aug 6, 12	3 000	76 160	228 48	85 900	257 70	29 22	ST
	Nov 12, 12	7 000	72 390	506 73	85 900	601 30	94 57	ST
Security total		73 000	65 304	4,767 21		6,270 70	1,503 49	
UNTD TECHNOLOGIES CORP								
Symbol UTX Exchange NYSE								
EAI $143 Current yield 2 28%	Sep 30, 11	49 000	70 520	3,455 48	93 430	4,578 07	1,122 59	LT
	Oct 10, 11	2 000	73 250	146 50	93 430	186 86	40 36	LT
	Feb 6, 12	1 000	80 530	80 53	93 430	93 43	12 90	LT
	Aug 6, 12	11 000	77 480	852 28	93 430	1,027 73	175 45	ST
	Nov 12, 12	4 000	76 350	305 40	93 430	373 72	68 32	ST
Security total		67 000	72 242	4,840 19		6,259 81	1,419 62	
VF CORP								
Symbol VFC Exchange NYSE								
EAI $139 Current yield 2 07%	Nov 12, 12	36 000	156 848	5,646 55	167 750	6,039 00	392 45	ST
	Feb 11, 13	4 000	151 370	605 48	167 750	671 00	65 52	ST
Security total		40 000	156 301	6,252 03		6,710 00	457 97	
Total				**$151,714.59**		**$181,554.47**	**$29,839.86**	

Total estimated annual income: $5,595

Account name· JACQUELINE HARRIS HOCHBERG
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Your assets (continued)

Your total assets

		Value on Mar 28 ($)	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Equities	**Common stock**	**181,554.47**	**151,714.59**	**5,595.00**	**29,839.86**
Total		181,554 47	151,714 59	5,595 00	29,839 86

Account name: JACQUELINE HARRIS HOCHBERG
Friendly account name: Hochberg Fndtn

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Your assets (continued)

Equities

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Mar 28 ($)	Value on Mar 28 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
BARON OPPORTUNITY FUND									
Symbol BIOPX									
Trade date Mar 30, 12	9,463 722	15 857	150,075 00	150,075 00	16 510 •	156,246 05	6,171 05	6,171 05	ST

Fixed income

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Mar 28 ($)	Value on Mar 28 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
DOUBLE LINE TOTAL RETURN									
FUND N									
Symbol DLTNX									
Trade date Mar 30, 12	13,440 860	11 165	150,075 00	150,075 00	11 330	152,284 94	2,209 94	2,209 94	ST
EAI $8,146 Current yield 5 35%									

Account name: JACQUELINE HARRIS HOCHBERG
Friendly account name. Hochberg Fndtn

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Your assets (continued)

Your total assets

		Value on Mar 28 ($)	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Equities	**Mutual funds**	**156,246.05**	**150,075.00**		**6,171.05**
Fixed income	**Mutual funds**	**152,284.94**	**150,075.00**	**8,146.00**	**2,209.94**
Total		308,530 99	300,150 00	8,146 00	8,380 99