



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 04-01-2012 , and ending 03-31-2013

Name of foundation HUDSON RIVER BANCORP INC FOUNDATION		A Employer identification number 22-3595668	
% HOLLY RAPPLEYEA		B Telephone number (see instructions) (518) 671-6226	
Number and street (or P O box number if mail is not delivered to street address) PO BOX 1189 Suite	Room/suite		
City or town, state, and ZIP code HUDSON, NY 12534		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change			
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 13,590,256		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	43,259	43,259		
	4 Dividends and interest from securities.	319,577	319,577		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	174,029			
	b Gross sales price for all assets on line 6a _____ 2,657,515				
	7 Capital gain net income (from Part IV , line 2)		174,029		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	536,865	536,865		
	13 Compensation of officers, directors, trustees, etc	87,500	57,500		30,000
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	1,640	1,148	0	0
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	5,174			
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy	6,532	3,266		
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	77,759	70,133		
	24 Total operating and administrative expenses. Add lines 13 through 23	178,605	132,047	0	30,000
	25 Contributions, gifts, grants paid	486,509			702,671
	26 Total expenses and disbursements. Add lines 24 and 25	665,114	132,047	0	732,671
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-128,249			
	b Net investment income (if negative, enter -0-)		404,818		
	c Adjusted net income (if negative, enter -0-) . . .				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	51,855	53,963	53,963
	2	Savings and temporary cash investments	640,902	207,317	207,317
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	27,532	24,512	24,512
	10a	Investments—U S and state government obligations (attach schedule)		 128,225	128,225
	b	Investments—corporate stock (attach schedule)	12,089,449	 12,393,917	12,393,917
	c	Investments—corporate bonds (attach schedule)	800,070	 782,322	782,322
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ 1,753 Less accumulated depreciation (attach schedule) ▶ _____ 1,753			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	13,609,808	13,590,256	13,590,256	
Liabilities	17	Accounts payable and accrued expenses	5,625	5,163	
	18	Grants payable	1,075,329	909,167	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	1,080,954	914,330	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	12,490,807	12,639,982	
	25	Temporarily restricted			
	26	Permanently restricted	38,047	35,944	
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	12,528,854	12,675,926	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	13,609,808	13,590,256	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	12,528,854
2	Enter amount from Part I, line 27a	2	-128,249
3	Other increases not included in line 2 (itemize) ▶ _____ 	3	275,321
4	Add lines 1, 2, and 3	4	12,675,926
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	12,675,926

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SALE OF SECURITIES	P	2010-04-01	2013-03-31
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,657,515		2,483,486	174,029
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			174,029
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	174,029
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	740,734	13,614,283	0 054409
2010	716,892	14,066,725	0 050964
2009	760,197	13,736,099	0 055343
2008	887,900	14,983,137	0 05926
2007	859,720	18,486,604	0 046505

2 Total of line 1, column (d).	2	0 266481
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 053296
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	12,698,751
5 Multiply line 4 by line 3.	5	676,793
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	4,048
7 Add lines 5 and 6.	7	680,841
8 Enter qualifying distributions from Part XII, line 4.	8	732,671

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	4,048
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3		Add lines 1 and 2.		3	4,048
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	4,048
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a	4,512	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	4,512
8		Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	464
11		Enter the amount of line 10 to be Credited to 2013 estimated tax ☐ 464	Refunded ☐	11	

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? ☒		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
	If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes ☒	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
	If "Yes," attach the statement required by General Instruction T. ☒			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			
	• By language in the governing instrument, or			
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		No
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ DE, NY _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No		
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No		
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13		No		
Website address ▶ <u>www.hrbtnfoundation.com</u>						
14	The books are in care of ▶ <u>HOLLY RAPPLEYEA</u> Telephone no ▶ <u>(518) 697-4303</u> Located at ▶ <u>ONE HUDSON CITY CENTRE HUDSON NY</u> ZIP +4 ▶ <u>12534</u>					
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ <table><tr><td>15</td><td></td></tr></table>	15				
15						
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No		
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶						

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total

number of other employees paid over \$50,000.

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	12,310,458
b	Average of monthly cash balances.	1b	581,675
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	12,892,133
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	12,892,133
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	193,382
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	12,698,751
6	Minimum investment return. Enter 5% of line 5.	6	634,938

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	634,938
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	4,048
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	4,048
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	630,890
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	630,890
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	630,890

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	732,671
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	732,671
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	4,048
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	728,623
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				630,890
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.			570,962	
b Total for prior years 2010 , 2009 , 2008				
3 Excess distributions carryover, if any, to 2012				
a From 2007.				
b From 2008.				
c From 2009.				
d From 2010.				
e From 2011.				0
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 732,671				
a Applied to 2011, but not more than line 2a			570,962	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2012 distributable amount.				161,709
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:	0			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				469,181
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . . .				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2008. . . .				
b Excess from 2009. . . .				
c Excess from 2010. . . .				
d Excess from 2011. . . .				
e Excess from 2012. . . .	0			

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2012	(b) 2011	(c) 2010	(d) 2009	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail of the person to whom applications should be addressed

HOLLY RAPPLEYEA
PO BOX 1189
HUDSON, NY 12534
(518) 671-6226

b

The form in which applications should be submitted and information and materials they should include

CALL FOR INFORMATION

c

Any submission deadlines

CALL FOR INFORMATION

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

CALL FOR INFORMATION

Form 990-PF (2012)

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE ATTACHED SCHEDULE C/O PO BOX 1189 HUDSON,NY 12534			SEE ATTACHED SCHEDULE	702,671
Total  3a				702,671
b Approved for future payment AMOUNT ADJUSTED FOR CONTRIBUTIONS PAYABLE C/O PO BOX 1189 HUDSON,NY 12534			SEE ATTACHED SCHEDULE	909,167
Total  3b				909,167

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments			14	43,259	
4 Dividends and interest from securities.			14	319,577	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	174,029	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. . .					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e).				536,865	
13 Total. Add line 12, columns (b), (d), and (e).				536,865	536,865

[illegible]

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

**Paid
Preparer
Use Only**

Sign Here ***** Signature of officer or trustee	2013-07-29 Date		***** Title	May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No	
	Print/Type preparer's name SUZANNE MULDOON	Preparer's Signature SUZANNE MULDOON	Date	Check if self-employed ☒	PTIN
Paid Preparer Use Only	Firm's name PATTISON KOSKEY HOWE BUCCI PC			Firm's EIN	
	502 UNION STREET Firm's address			Phone no (518) 828-1565	
HUDSON, NY 12534					

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARILYN HERRINGTON	PRESIDENT 4 0	5,500	0	0
46 DEER TRACK LANE HILLSDALE, NY 12529				
TONY JONES	VICE PRESIDENT 4 0	5,500	0	0
215 WEST VIEW DRIVE GHENT, NY 12075				
CARLA FLORIO	TREASURER 8 0	5,500	0	0
641 GROOMS ROAD SUITE 231 CLIFTON PARK, NY 12065				
HOLLY RAPPLEYEA	SECRETARY 25 0	60,000	0	0
23 FORDHAM ROAD VALATIE, NY 12184				
JOSEPH PHELAN	DIRECTOR 4 0	5,500	0	0
273 HOVER AVENUE GERMANTOWN, NY 12526				
SID RICHTER	DIRECTOR 4 0	5,500	0	0
4A GIFFORD PARKWAY HUDSON, NY 12534				

TY 2012 Accounting Fees Schedule

Name: HUDSON RIVER BANCORP INC FOUNDATION

EIN: 22-3595668

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEE	1,640	1,148		

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2012 Depreciation Schedule

Name: HUDSON RIVER BANCORP INC FOUNDATION

EIN: 22-3595668

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
TELEPHONE	2006-12-12	213	213	SL	5				
COMPUTER	2007-01-08	1,540	1,540	SL	5				

TY 2012 General Explanation Attachment

Name: HUDSON RIVER BANCORP INC FOUNDATION

EIN: 22-3595668

Identifier	Return Reference	Explanation
LIST OF GRANT RECIPIENTS	PART XV-ATTACHMENT 15	

**TY 2012 Investments Corporate
Bonds Schedule**

Name: HUDSON RIVER BANCORP INC FOUNDATION
EIN: 22-3595668

Name of Bond	End of Year Book Value	End of Year Fair Market Value
VARIOUS CORPORATE BONDS	782,322	782,322

**TY 2012 Investments Corporate
Stock Schedule****Name:** HUDSON RIVER BANCORP INC FOUNDATION**EIN:** 22-3595668

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MUTUAL FUNDS	485,077	485,077
VARIOUS STOCKS	8,155,688	8,155,688
FIRST NIAGARA FINANCIAL GROUP	3,050,897	3,050,897
PREFERRED STOCK	702,255	702,255

**TY 2012 Investments Government
Obligations Schedule****Name:** HUDSON RIVER BANCORP INC FOUNDATION**EIN:** 22-3595668**US Government Securities - End of
Year Book Value:**

128,225

**US Government Securities - End of
Year Fair Market Value:**

128,225

**State & Local Government
Securities - End of Year Book
Value:****State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2012 Land, Etc. Schedule**Name:** HUDSON RIVER BANCORP INC FOUNDATION**EIN:** 22-3595668

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
TELEPHONE	213	213		
COMPUTER	1,540	1,540		

TY 2012 Other Expenses Schedule**Name:** HUDSON RIVER BANCORP INC FOUNDATION**EIN:** 22-3595668

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SUPPLIES	2,519	1,260		
PAYROLL TAXES AND RELATED INS	10,798	5,399		
MEMBERSHIP/DUES	725	363		
ADMINISTRATION/COMMISSIONS FEE	62,504	62,504		
INSURANCE	1,213	607		

TY 2012 Other Income Schedule

Name: HUDSON RIVER BANCORP INC FOUNDATION

EIN: 22-3595668

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
SPECIAL EVENT NET OF EXPENSES			

TY 2012 Other Increases Schedule

Name: HUDSON RIVER BANCORP INC FOUNDATION

EIN: 22-3595668

Description	Amount
UNREALIZED GAINS ON INVESTMENTS	275,321

TY 2012 Other Liabilities Schedule

Name: HUDSON RIVER BANCORP INC FOUNDATION

EIN: 22-3595668

Description	Beginning of Year - Book Value	End of Year - Book Value
DUE TO KINDERHOOK C.S.D		

TY 2012 Taxes Schedule

Name: HUDSON RIVER BANCORP INC FOUNDATION

EIN: 22-3595668

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAX	4,048			
STATE TAXES	1,126			

**HUDSON RIVER BANK & TRUST CO. FOUNDATION
GRANTS - APRIL 1, 2012 through MARCH 31, 2013**

PART XV-ATTACHMENT 15

Date	Grant Recipient	Amount	Purpose of the Grant
5/1/2012	COARC PO Box 2 Mellenville, NY 12544	500 00	Support the disabilities program
5/2/2012	Cystic Fibrosis Foundation 16 Wade Road Latham, NY 12110	250 00	Support medical research
5/2/2012	Niskayuna High School 1626 Balltown Road Niskayuna, NY 12309	250 00	Support the citizenship award
5/2/2012	Brunswick Central School District c/o Tamarac High School 3992 NY 2 Troy, NY 12180	250 00	Support the citizenship award
5/2/2012	Hudson City School District Community Fdn 215 Harry Howard Avenue Hudson, NY 12534	500 00	Support the citizenship award
5/7/2012	Rensselaer High School 25 Van Rensselaer Drive Rensselaer, NY 12144	250 00	Support the citizenship award
5/7/2012	Ichsood Crane Central School Route 9 Valatie, NY 12184	500 00	Support the citizenship award
5/7/2012	New Lebanon Jr /Sr High School 14665 Rte 22 New Lebanon, NY 12125	500 00	Support the citizenship award
5/9/2012	Chatham Central School District 50 Woodbridge Avenue Chatham, NY 12037	500 00	Support the citizenship award
5/9/2012	Germantown Central School District 123 Main Street Germantown, NY 12526	500 00	Support the citizenship award
5/9/2012	Colonie Central High School 1 Raider Boulevard Albany, NY 12205-2784	250 00	Support the citizenship award
5/15/2012	Shalmon High School 1 Sabre Drive Schenectady, NY 12306	250 00	Support the citizenship award
5/16/2012	Columbia County Fair Housing Adv Board c/o Bill Fisher 8 Union Turnpike Hudson, NY 12534	112 50	Support the fairhousing poster contest
5/17/2012	Harlem Valley Rail Trail Association PO Box 356 Millerton, NY 12546	5,000 00	Support the trail
5/17/2012	Hendrick Hudson Chapter NSDAR 113 Warren Street Hudson NY 12534	2 500 00	Support the stain glass window project
6/6/2012	American Cancer Society 260 Osborne Road Loudonville, NY 12111	250 00	Support medical research
6/6/2012	Catskill High School 341 West Main Street Catskill, NY 12414	500 00	Support the citizenship award
6/20/2012	Taconic Hills Central School PO Box 482, 73 County Route 11a Craryville, NY 12521	500 00	Support the citizenship award

7/16/2012	Rodgers Mills Historical Association PO Box 1 Malden Bridge, NY 12115	500.00	Support the community event
7/16/2012	Animal Kind 721 Warren Street Hudson, NY 12534	1,000.00	support the cost to spay and neuter cats
7/16/2012	Chatham Kids Club PO Box 221 Chatham, NY 12037	500.00	Support the after school programs
7/30/2012	Perfect Ten After School, Inc 32 Warren Street Hudson, NY 12534	600.00	Scholarship program to attend summer camp
8/1/2012	Make-A-Wish Foundation One Mustang Drive Cohoes, NY 12047	250.00	Support the local chapter
8/14/2012	North East Community Center PO Box 35 Millerion, NY 12546	1,000.00	Support the farmers market
8/22/2012	Kanderhook Tr Village Rotary Route 9 Valatie, NY 12184	1,000.00	Support the rotary ride
8/27/2012	Catskill Rotary Club PO Box 96 Catskill, NY 12414	300.00	Support the Rotary
8/29/2012	Community Hospice of Col/Greene Counties 295 Valley View Boulevard Rensselaer, NY 12144	10,000.00	Support the telehomecare project
8/29/2012	Home Aide Service of Eastern NY, Inc 433 River Street, Suite 3000 Troy, NY 12180	5,000.00	Online patient education program
8/31/2012	Columbia County Sons & Daughters of Italy 27 Bridge Street Hudson, NY 12534	150.00	Support the organization
9/12/2012	Triform, Camphill Community 20 Triform Road Hudson, NY 12534	5,000.00	support the Farm Fundraising/Grain Silo & Equipment
9/12/2012	Friends of First Presbyterian Church 369 Warren Street Hudson, NY 12534	3,333.00	Floor repair project
9/19/2012	Columbia County Habitat for Humanity 829 Route 66 Hudson, NY 12534	30,000.00	support the Columbia Passive Townhouses/ Columbia Street Campaign Phase III project
9/19/2012	Equine Advocates, Inc PO Box 354 Chatham, NY 12037-0354	5,000.00	Support the animal sanctuary
9/24/2012	Alzheimer's Association of Northeastern NY 4 Pine West Plaza, Suite 405 Albany, NY 12205	5,000.00	support the Equipping Caregivers for Person-Centered Dementia care project
9/26/2012	Crellin Park Foundation, Inc 488 Route 295 Chatham, NY 1203	5,000.00	assist with the development of a new Skate Park and a new Pavilion/Life safety building
10/2/2012	WMHT 4 Global View Troy, NY 12180	5,000.00	Support the Ready-To-Learn Community Engagement Activities in Columbia County
10/2/2012	Columbia Festival Orchestra 437 East Allen Street Hudson, NY 12534	2,250.00	support the ClaverackLanding great music in great space
10/2/2012	Columbia Land Conservancy, Inc PO Box 299	15,000.00	support the Greenport Conservation Public Access Improvements project

Chatham, NY 12037

10/2/2012	Regional Food Bank 965 Albany-Staker Road Latham, NY 12110	5,000 00	Support the Backpack program
10/2/2012	Campbell Village 84 Campdull Road Copake, NY 12516	5,000 00	support the New Weaving Shop
10/9/2012	Columbia County Youth Project 7 Cedar Parkway Hudson, NY 12534	2,000 00	support the education program in Columbia County
10/11/2012	MDA 1 Marcus Boulevard #203 Albany, NY 12205	6,000 00	support the cost of wheelchair repairs for Columbia County residents
10/11/2012	Columbia Opportunities Inc 510 Columbia Street Hudson, NY 12534	10,000 00	Support the Supplemental Energy Assistance program
11/6/2012	American Red Cross 33 Everett Road Albany, NY 12205	10,000 00	Support the disaster assistance program
11/6/2012	Valatie Santa Claus Club PO Box 602 Valatie, NY 12184	1,000 00	Purchase gifts for children
11/16/2012	Catskill Community Center, Inc PO Box 389 Catskill, NY 12414	750 00	Support the children's programs
1/22/2013	Sean Patrick French Memorial Run/Walk 96 Metz Road Ghent NY 12075	5 000 00	Support the annual event
1/22/2013	Happy Kids Day Care 1150 Maple Hill Road Castleton, NY 12033	1,000 00	Purchase supplies for day care
1/22/2013	OK Kids, Inc PO Box 107 Kinderhook, NY 12106	1,000 00	support program enhancements
1/22/2013	Girl Scouts of Northeastern NY 8 Mountain View Avenue Albany, NY 12205	500 00	Support the gold award project
1/29/2013	NAMI Columbia County PO Box 269 Valatie, NY 12184	1,000 00	Flame Band Concerts
1/29/2013	Cornell University-Cooperative Extension 479 Route 66 Hudson, NY 12534	4,000 00	support the Cornell Garden-Based Learning project
1/29/2013	Northern Columbia Little League PO Box 264 Kinderhook, NY 12106	2,500 00	Assist in the infield project at the Volunteer s Town Park, Kinderhook
1/29/2013	Olana Partnership PO Box 199 Hudson, NY 12534	15,000 00	2013 Education Programs
1/29/2013	Columbia-Greene Humane Society 125 Humane Society Road Hudson, NY 12534	12,500 00	Assist with the new shelter project
1/29/2013	Hudson Day Care Center 10-12 Warren Street Hudson, NY 12534	15,000 00	assist with the scholarship program
1/29/2013	Bard College PO Box 5000 Annandale-on-Hudson, NY 12504	4,000 00	Dream to Achieve Basketball Program

1/29/2013	Regional Food Bank 965 Albany-Shaker Road Latham, NY 12110	20,000 00	Support the Columbia County food pantries	230395 5
1/29/2013	HRC Showcase Theatre 735 County Route 25 Stuyvesant, NY 12179	1 000 00	support the theatre publicity	
1/29/2013	Columbia-Greene Hospital Foundation 71 Prospect Ave Hudson NY 12534	5,000 00	Annual appeal	
1/29/2013	Hudson City School District Community Fdn 415 Harry Howard Avenue Hudson, NY 12534	1,250 00	Hudson Reads program	
1/29/2013	Thomas Cole National Historic Site PO Box 426 Catskill NY 12414	3,000 00	Thomas Cole's New Studio project	
1/29/2013	Hudson Development Corporation	5,000 00	Flag Day	
2/14/2013	Albany Medical Center Foundation 43 New Scotland Avenue, Mail Code 119 Albany, NY 12208-3478	10,000 00	assist with the Patient Pavilion project	
2/20/2013	Parsons Child & Family Center Northeast Parent and Child Society 530 Franklin Street, 3 rd Floor Schenectady NY 12305-2011	20 000 00	Camp To Belong New York" program	
2/28/2013	Nature Institute 20 May Hill Road Ghent, NY 12075	950 00	purchase furnishing and equipment for the new classroom/lecture room	
2/28/2013	Rip Van Winkle Amateur Radio Society PO Box 153 Ghent, NY 12075	1,000 00	assist in the purchase of equipment	
2/28/2013	Columbia County Youth Bureau 401 State Street Hudson, NY 12534	500 00	Assist in the scholarship program	
2/28/2013	Cornell University-Cooperative Extension 479 Route 66 Hudson, NY 12534	500 00	assist with the costs associated with the second Columbia/Greene Interagency Awareness Day	
2/28/2013	Fast Break Fund One Mustang Drive Cohoes, NY 12047	1 000 00	assist in the cost of sending 5 Columbia County girls to the Siena team camp	279595 5
2/28/2013	Valatie Free Library PO Box 336 Valatie NY 12184	5,000 00	Support the local library	
2/28/2013	Canaan Public Library Canaan, NY 12029	5,000 00	Library donation	
2/28/2013	Chatham Public Library Woodbridge Avenue Chatham, NY 12037	5,000 00	Library donation	
2/28/2013	Claverack Public Library Box 417, Route 9H & 23B Claverack, NY 12513	5 000 00	Library donation	
2/28/2013	New Lebanon Public Library PO Box 630 New Lebanon, NY 12125	5 000 00	Library donation	
2/28/2013	Kinderhook Memorial Library 18 Hudson Street Kinderhook, NY 12106	5 000 00	Library donation	
2/28/2013	Livingston Free Library	5 000 00	Library donation	

	PO Box 105, Old Post Road Livingston NY 1241	
2/28/2013	North Chatham Free Library PO Box 907, Main Street, Route 203 North Chatham, NY 12132	5,000.00 Library donation
2/28/2013	Roeliff Jansen Community Library PO Box 15 Copake, NY 12516	5,000.00 Library donation
2/28/2013	Hudson Area Assoc. Library 400 State Street Hudson, NY 12534	5,000.00 Support the library
2/28/2013	Germantown Library 70 Palatine Park Road Germantown NY 12526	5,000.00 Library donation
2/28/2013	Adam Dutcher Brooks Memorial Fund c/o Sheela Clary 2 Water Street Housatonic, MA 01236	1,000.00 Travel Education program
2/28/2013	Roving Actors' Repertory Ensemble, Inc 2254 County Route 7 Copake NY 12516	1,000.00 Support the theatre
2/28/2013	FASNY Museum of Firefighting 117 Harry Howard Avenue Hudson, NY 12534	7,500.00 purchase one children's questions kiosk
2/28/2013	Friends of Clermont 87 Clermont Avenue Germantown, NY 12526	25,000.00 Assist with to help furnish the new library
2/28/2013	Family Resource Center PO Box 319 Chatham, NY 12136	4,500.00 support the tag sale event
2/28/2013	Columbia Opportunities Inc 540 Columbia Street Hudson NY 12534	20,000.00 Support the Supplemental Energy Assistance program
2/28/2013	Rensselaer County Housing Resources 415 River Street Troy NY 12180	1,000.00 support the Mini Repair program
3/12/2013	Church of St. John in the Wilderness 261 Route 344 PO Box 180 Copake Falls, NY 12517-0180	2,500.00 Support the bell tower project
3/14/2013	Salvation Army PO Box 746 Hudson, NY 12534	10,000.00 Support the kitchen program
3/20/2013	Columbia-Greene Hospital Foundation 71 Prospect Ave Hudson NY 12534	40,000.00 the purchase of a SonoSite Point of Care Ultrasound System
3/20/2013	Columbia Memorial Hospital 71 Prospect Avenue Hudson, NY 12534	5,000.00 support the Community Health Services Community Education program
3/20/2013	Cystic Fibrosis Foundation 16 Wade Road Latham, NY 12110	2,500.00 Support the walk event in Hudson
3/20/2013	Mt. Pleasant Reformed Church 33 Church Road Hudson, NY 12534	5,000.00 support the restoration and repair of the Bell/Bell carriage
3/20/2013	Hudson City School District Community Fdn 215 Harry Howard Avenue Hudson, NY 12534	2,500.00 Support the book festival
3/20/2013	Columbia County Agricultural Society	10,000.00 Fair

PO Box 257 Chatham, NY 12037	
3/20/2013 Columbia County Agricultural Society PO Box 257 Chatham, NY 12037	12,000.00 Roof project
3/20/2013 St. Luke's Lutheran Church PO Box 127 Valatie, NY 12184	700.00 support the dinner program
3/20/2013 Greene County Council on the Arts 339 Main Street, PO Box 463 Catskill, NY 12414	500.00 Sprouts program
3/20/2013 American Red Cross 33 Everett Road Albany, NY 12205	7,500.00 Columbia County Disaster program
3/20/2013 Hudson Opera House 327 Warren Street Hudson, NY 12534	10,000.00 Capital improvement project
3/22/2013 Artspire c/o Hudson Music Festival PO Box 770 Hudson, NY 12534	1,500.00 Support the music festival
3/22/2013 Perfect Ten After School, Inc 32 Warren Street Hudson, NY 12534	1,500.00 Support the children's camp
3/26/2013 Millay Colony for the Arts, Inc 454 East Hill Road Austerlitz, NY 12017	5,000.00 assist in the arts-education program at Germantown Central School
3/26/2013 Historic Hudson, Inc 611 Warren Street Hudson, NY 12534	7,500.00 support the matching funding for the first step in Phase II Emergency Stabilization - Bronson House project
3/27/2013 Columbia-Greene Hospital Foundation 71 Prospect Ave Hudson, NY 12534	122,500.00 Support the hospital
TOTAL HUDSON RIVER BANK FOUNDATION	644,795.50
HEGARTY FOUNDATION	
5/9/2012 Huntington Disease Foundation c/o Suzanne Kengla Hudson, NY 12534	250.00 Support medical research
7/1/2012 Colonie Senior Service Center 6 Winners Circle Albany, NY 12205	200.00 Support the various programs within the center
8/3/2012 Leukemia & Lymphoma 5 Computer Drive W Albany, NY 12205	100.00 Support medical research
8/21/2012 Ashland Fire Department 12094 Route 23 Ashland, NY 12047	50.00 Support the fire company
8/23/2012 NY Junior Tennis 58-12 Queens Blvd #1 New York, NY 11377	500.00 Support the tennis programs
8/27/2012 Community Foundation of the Capital Region 6 Tower Place Albany, NY 12206	100.00 Support the children's programs
9/5/2012 American Cancer Society 260 Osborne Road Loudonville, NY 12211	125.00 Support medical research
9/20/2012 Junior Achievement	100.00 Support the in-school programs

8 Stanley Circle

Latham, NY 12110

10/31/2012	Columbia Greene Humane Society Humane Society Road Hudson, NY 12534	150 00	Support the purchase of food for shelter
2/28/2013	Devil Cats State Street Hudson, NY 12534	200 00	Support the baseball programs
2/28/2013	Philmont Library Philmont, NY 12565	5,000 00	Support the library
3/5/2013	Twin Rivers Council 253 Washington Avenue Extension Albany, NY 12205	750 00	Support the boy scouts programs
3/15/2013	Northeast Parent & Child Society 530 Franklin Street, 3 rd Floor Schenectady, NY 12305-2011	25 000 00	assist in the installation of a school security system
3/15/2013	Columbia Children's Center Union Turnpike Hudson, NY 12534	5,000 00	support the purchase of equipment and supplies
3/15/2013	University @ Albany Foundation 1400 Washington Avenue Albany NY 12222	3,500 00	Support the University
3/22/2013	Hudson City School District Community Fdn 215 Harry Howard Avenue Hudson NY 12534	250 00	Support the High School program
3/26/2013	Columbia-Greene Hospital Foundation 71 Prospect Ave Hudson, NY 12534	5,000 00	Support the hospital
	TOTAL HEGARTY FOUNDATION	46,275.00	

FLORIO FOUNDATION

5/1/2012	COARC PO Box 2, 630 Route 217 Mellenville, NY 12544	200 00	support the various disability programs
5/3/2012	CBA JROTC Military 12 Airline Drive Albany, NY 12205	150 00	support the academy
7/2/2012	Colonie Senior Service Center 6 Winners Circle Albany, NY 12205	200 00	Support the various programs within the center
8/8/2012	American Joint Care Foundation Route 66 Ghent, NY 12075	200 00	Support research
8/21/2012	NY Junior Tennis 58-12 Queens Blvd #1 New York, NY 11377	425 00	Support the tennis programs
8/27/2012	Community Foundation of the Capital Region 6 Tower Place Albany NY 12206	100 00	Support the children's programs
9/5/2012	American Cancer Society 260 Osborne Road Loudonville, NY 12211	275 00	Support medical research
9/20/2012	Junior Achievement 8 Stanley Circle Latham NY 12110	50 00	Support the in-school programs
12/31/2012	Histiocytosis Association 332 North Broadway Pittman, NY 08071	500 00	Support medical research
2/28/2013	Schenectady Adult Community Center 6 Clifton Common Court	750 00	Support the adult home services

Clifton Park, NY 12065

3/15/2013 University @ Albany Foundation
1400 Washington Avenue
Albany, NY 12222

6,500.00 Support the University

3/6/2013 WMBH
4 Global View
Troy NY 12180

750.00 Support the public programming

TOTAL FLORIO FOUNDATION

10,100.00

VICTORIA A. SIMONS LOCAVORE AWARD FUND

8/31/2012 Columbia County Agricultural Society
PO Box 257
Chatham, NY 12037

1,500.00 Support the society

Total Victoria Simons Locavore Award Fund

1,500.00

TOTAL

¹
702,671