



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning 4/1/2012, and ending 3/31/2013

Name of foundation FRANCES DEMRICK CHARITABLE		A Employer identification number 06-6398197
Number and street (or P O box number if mail is not delivered to street address)	Room/suite	B Telephone number (see instructions) (888) 730-4933
City or town, state, and ZIP code Philadelphia PA 19106-2112		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 458,350	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	11,575	11,443		
5 a	Gross rents				
b	Net rental income or (loss)				
6 a	Net gain or (loss) from sale of assets not on line 10	-350			
b	Gross sales price for all assets on line 6a 126,807				
7	Capital gain net income (from Part IV, line 2)				
8	Net short-term capital gain				
9	Income modifications				
10 a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	11,225	11,443	0	
13	Compensation of officers, directors, trustees, etc	7,084	5,313		1,771
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16 a	Legal fees (attach schedule)	400			400
b	Accounting fees (attach schedule)	906			906
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see instructions)	1,659	206		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)	939			939
24	Total operating and administrative expenses. Add lines 13 through 23	10,988	5,519	0	4,016
25	Contributions, gifts, grants paid	8,040			8,040
26	Total expenses and disbursements. Add lines 24 and 25	19,028	5,519	0	12,056
27	Subtract line 26 from line 12	-7,803			
a	Excess of revenue over expenses and disbursements				
b	Net investment income (if negative, enter -0-)		5,924		
c	Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

HTA

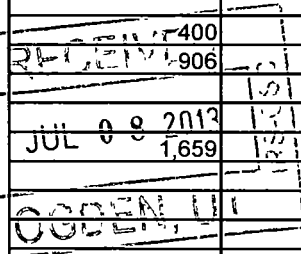
Form **990-PF** (2012)

P 15 NE

ENVELOPE
POSTMARK DATE JUL 03 2013SCANNED JUL 10 2013
Revenue

Operating and Administrative Expenses

894



Form 990-PF (2012) FRANCES DEMRICK CHARITABLE

06-6398197 Page 2

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	10,588	13,344	13,344
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis			
Less accumulated depreciation (attach schedule)				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)	428,992	418,141	445,006	
14 Land, buildings, and equipment basis				
Less accumulated depreciation (attach schedule)				
15 Other assets (describe)				
16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	439,580	431,485	458,350	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	439,580	431,485	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see instructions)	439,580	431,485		
31 Total liabilities and net assets/fund balances (see instructions)	439,580	431,485		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	439,580
2 Enter amount from Part I, line 27a	2	-7,803
3 Other increases not included in line 2 (itemize) <u>Recovery of PY Distribution</u>	3	187
4 Add lines 1, 2, and 3	4	431,964
5 Decreases not included in line 2 (itemize) <u>See Attached Statement</u>	5	479
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	431,485

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement			
b See Attached Statement			
c See Attached Statement			
d See Attached Statement			
e See Attached Statement			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			0
b			0
c			0
d			0
e			0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			0
b			0
c			0
d			0
e			0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-350
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	13,509	432,261	0.031252
2010	14,413	414,578	0.034765
2009	21,218	374,992	0.056583
2008	22,926	390,768	0.058669
2007			0.000000

2 Total of line 1, column (d)	2	0.181269
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.045317
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	433,492
5 Multiply line 4 by line 3	5	19,645
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	59
7 Add lines 5 and 6	7	19,704
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	12,056

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)	1	118
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3 Add lines 1 and 2	3	118
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	118
6 Credits/Payments		
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	925
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7	925
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	807
11 Enter the amount of line 10 to be Credited to 2013 estimated tax 118 Refunded 11	11	689

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► WELLS FARGO BANK N A Telephone no ► 888-730-4933 Located at ► 101N Independence Mall E MACY1372-062 Philadelphia PA ZIP+4 ► 19106-2112			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ► 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

☐ Yes ☒ No**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank, N.A. 101N Independence Mall E MACY1372-062 Phi	Trustee 4 00	7,084		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3 NONE	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	427,453
b	Average of monthly cash balances	1b	12,640
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	440,093
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	440,093
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	6,601
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	433,492
6	Minimum investment return. Enter 5% of line 5	6	21,675

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	21,675
2a	Tax on investment income for 2012 from Part VI, line 5	2a	118
b	Income tax for 2012 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	118
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	21,557
4	Recoveries of amounts treated as qualifying distributions	4	187
5	Add lines 3 and 4	5	21,744
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	21,744

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	12,056
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	12,056
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	12,056

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				21,744
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			6,979	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2012				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 12,056				
a Applied to 2011, but not more than line 2a			6,979	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2012 distributable amount				5,077
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				16,667
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

[illegible]

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed

- b** The form in which applications should be submitted and information and materials they should include

- c Any submission deadlines**

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Attached Statement				
Total			3a	8,040
b Approved for future payment NONE				
Total			3b	0

Form **990-PF** (2012)

FRANCES DEMRICK CHARITABLE

06-6398197 Page 1 of 2

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

VISITING NURSE ASS OF RIDGEFIELD

Street

90 EAST RIDGE

City

RIDGEFIELD

State

CT

Zip Code

06877

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3) PUBLIC CHARITY

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

1,122

Name

THE RIDGEFIELD BOYS CLUB INC

Street

PO BOX 294

City

RIDGEFIELD

State

CT

Zip Code

06877

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3) PUBLIC CHARITY

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

1,122

Name

ABILITY BEYOND DISABILITY

Street

4 BERKSHIRE BLVD HEIR PAVILLION

City

BETHEL

State

CT

Zip Code

06801

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3) PUBLIC CHARITY

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

1,122

Name

RIDGEFIELD SYMPOHNY ORCHESTRA

Street

112 PEACHEABLE RIDGE ROAD

City

RIDGEFIELD

State

CT

Zip Code

06877

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3) PUBLIC CHARITY

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

1,122

Name

RIDGEFIELD SCHOLARSHIP GRP, INC

Street

PO BOX 823

City

RIDGEFIELD

State

CT

Zip Code

06877

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3) PUBLIC CHARITY

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

1,309

Name

A BETTER CHANCE IN RIDGEFIELD

Street

PO BOX 1044

City

RIDGEFIELD

State

CT

Zip Code

06877

Foreign Country**Relationship**

NONE

Foundation Status

501(C)(3) PUBLIC CHARITY

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

1,122

FRANCES DEMRICK CHARITABLE

06-6398197 Page 2 of 2

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

REGIONAL HOSPICE OF WESTERN CT

Street

405 MAIN STREET

City

DANBURY

State

CT

Zip Code

06/41

Foreign Country

Relationship

NONE

Foundation Status

501(C)(3) PUBLIC CHARITY

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

1,121

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Security Type	Account #	EIN	CUSIP	Asset Name	FMV	Units	Fed Cost
Cash	9513850013	06-6398197	589509108		\$0.00	0.00	\$0.00
Savings & Temp Inv	9513850013	06-6398197	99999Y944	SECURED MARKET DEPOSIT ACCOUNT	\$12,532.65	12,532.65	\$12,532.65
Savings & Temp Inv	9513850013	06-6398197	99999Y944	SECURED MARKET DEPOSIT ACCOUNT	\$811.47	811.47	\$811.47
				TOTAL CASH, SAVINGS, AND TEMP INV	\$13,344.12		\$13,344.12
Invest - Other	9513850013	06-6398197	589509108	MERGER FD SH BEN INT #260	\$9,134.82	575.60	\$9,179.03
Invest - Other	9513850013	06-6398197	693390882	PIMCO FOREIGN BD FD USD H-INST #103	\$8,456.49	775.83	\$8,219.67
Invest - Other	9513850013	06-6398197	81369Y308	CONSUMER STAPLES SECTOR SPDR TR	\$8,828.94	222.00	\$6,795.90
Invest - Other	9513850013	06-6398197	81369Y605	AMEX FINANCIAL SELECT SPDR	\$11,526.30	633.00	\$9,869.91
Invest - Other	9513850013	06-6398197	81369Y100	AMEX MATERIALS SPDR	\$4,035.54	103.00	\$4,011.38
Invest - Other	9513850013	06-6398197	81369Y803	AMEX TECHNOLOGY SELECT SPDR	\$18,464.70	610.00	\$16,196.88
Invest - Other	9513850013	06-6398197	81369Y506	AMEX ENERGY SELECT SPDR	\$8,803.41	111.00	\$8,098.25
Invest - Other	9513850013	06-6398197	464287804	ISHARES CORE S&P SMALL-CAP E	\$16,280.22	187.00	\$12,428.26
Invest - Other	9513850013	06-6398197	464287705	ISHARES S&P MIDCAP 400 VALUE	\$12,207.69	121.00	\$9,471.42
Invest - Other	9513850013	06-6398197	51855Q655	LAUDUS MONDRIAN INTL FI-INST #2940	\$8,152.73	740.48	\$8,319.32
Invest - Other	9513850013	06-6398197	464287606	ISHARES S&P MIDCAP 400 GROWTH	\$16,273.78	127.00	\$13,488.68
Invest - Other	9513850013	06-6398197	81369Y704	AMEX INDUSTRIAL SPDR	\$8,811.36	211.00	\$7,771.99
Invest - Other	9513850013	06-6398197	81369Y209	AMEX HEALTH CARE SPDR	\$8,603.68	187.00	\$6,207.71
Invest - Other	9513850013	06-6398197	81369Y407	AMEX CONSUMER DISCR SPDR	\$11,660.00	220.00	\$9,269.58
Invest - Other	9513850013	06-6398197	261980494	DREYFUS EMG MKT DEBT LOC C-I #6083	\$19,239.57	1,259.13	\$18,441.66
Invest - Other	9513850013	06-6398197	262028855	DRIEHAUS ACTIVE INCOME FUND	\$9,037.40	842.26	\$8,841.93
Invest - Other	9513850013	06-6398197	922908553	VANGUARD REIT VIPER	\$16,010.31	227.00	\$12,684.24
Invest - Other	9513850013	06-6398197	922042858	VANGUARD FTSE EMERGING MARKETS ETF	\$34,959.42	815.00	\$36,617.59
Invest - Other	9513850013	06-6398197	921937827	VANGUARD SHORT TERM BOND ETF	\$58,393.79	721.00	\$58,050.67
Invest - Other	9513850013	06-6398197	78463X863	SPDR DJ WILSHIRE INTERNATIONAL REAL	\$16,515.84	384.00	\$15,079.31
Invest - Other	9513850013	06-6398197	921937819	VANGUARD INTERMEDIATE TERM B	\$26,320.97	299.00	\$24,722.94
Invest - Other	9513850013	06-6398197	921943858	VANGUARD MSCI EAFE ETF	\$43,460.99	1,193.00	\$43,364.12
Invest - Other	9513850013	06-6398197	25264S833	DIAMOND HILL LONG-SHORT FD CL I #11	\$12,255.96	614.64	\$10,450.84
Invest - Other	9513850013	06-6398197	76628T645	RIDGEMORTH SEIX HY BD-I #5855	\$19,400.38	1,890.88	\$18,876.08
Invest - Other	9513850013	06-6398197	367829884	GATEWAY FUND - Y #1986	\$16,136.08	576.08	\$15,837.49
Invest - Other	9513850013	06-6398197	22544R305	CREDIT SUISSE COMM RET ST-I #2156	\$22,035.22	2,785.74	\$25,846.02
				TOTAL OTHER INVESTMENTS	\$445,005.59		\$418,140.87
				GRAND TOTAL	\$458,349.71		\$431,484.99

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions										Amount		Totals																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																								
Short Term CG Distributions										772		Capital Gains/Losses																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																								
Short Term CG Distributions										0		Other sales																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																								
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																						

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

		Amount		Totals										Net Gain or Loss			
		772		Capital Gains/Losses										127,157		-350	
		0		Other sales										0		0	
Check "x" to include in Part IV	Description	CUSIP #	Purchaser	Check "x" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Net Gain or Loss				
69	X AMEX MATERIALS SPDR	81369Y100			4/14/2011		5/24/2012	102	118				0	-16			
70	X AMEX MATERIALS SPDR	81369Y100			3/6/2011		5/24/2012	102	116				0	-14			
71	X AMEX HEALTH CARE SPDR	81369Y209			4/14/2011		5/9/2012	773	706				0	67			
72	X AMEX HEALTH CARE SPDR	81369Y209			6/3/2011		8/9/2012	154	141				0	13			

Part I, Line 16a (990-PF) - Legal Fees

		400	0	0	400
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	LEGAL FEES	400			400

Part I, Line 16b (990-PF) - Accounting Fees

		906	0	0	906
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	ACCOUNTING FEES	906			906

Part I, Line 18 (990-PF) - Taxes

		1,659	206	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAX WITHHELD	206	206		
2	PY EXCISE TAX DUE	528			
3	ESTIMATED EXCISE PAYMENT	925			

Part I, Line 23 (990-PF) - Other Expenses

		939	0	0	939
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	TREASURER STATE OF CONNECTICUT FEES	939	0		939

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	Recovery of PY Distribution	1	187
2	Total	2	187

Line 5 - Decreases not included in Part III, Line 2

1	Cost Basis Adjustment	1	309
2	PY Return of Capital Adjustment	2	170
3	Total	3	479

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions										772	Amount									
Short Term CG Distributions										0										
Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj. Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses							
1 DREYFUS ENG MGT DEBT LOC C1 #608	261800494		6/7/2011	2/6/2013	1,519			1,483	36				-1,122							
2 DREYFUS ENG MGT DEBT LOC C1 #608	261800494		4/18/2011	2/6/2013	1,647			1,588	59				36							
3 HUSMAN STRATEGIC GROWTH FUND	448108100		6/7/2011	9/9/2012	4,257			4,675	-418				-418							
4 HUSMAN STRATEGIC GROWTH FUND	448108100		6/7/2011	9/9/2012	1,231			1,376	-145				-145							
5 HUSMAN STRATEGIC GROWTH FUND	448108100		2/13/2012	9/9/2012	2,382			2,521	-159				-159							
6 HUSMAN STRATEGIC GROWTH FUND	448108100		5/11/2012	9/9/2012	816			861	-45				-45							
7 HUSMAN STRATEGIC GROWTH FUND	448108100		1/19/2011	9/9/2012	103			112	-9				-9							
8 HUSMAN STRATEGIC GROWTH FUND	448108100		1/19/2011	9/9/2012	3,678			4,288	-609				-609							
9 ISARES MSCI EAFE	464287465		3/10/2011	9/9/2012	3,960			4,600	-640				-640							
10 ISARES MSCI EAFE	464287465		4/14/2011	9/9/2012	517			684	-167				-167							
11 ISARES MSCI EAFE	464287465		4/14/2011	9/9/2012	2,068			2,444	-376				-376							
12 ISARES MSCI EAFE	464287465		1/19/2011	9/9/2012	13,621			13,850	-229				-229							
13 ISARES MSCI EAFE	464287465		3/8/2011	9/9/2012	13,153			13,632	-479				-479							
14 ISARES MSCI EAFE	464287465		4/14/2011	9/9/2012	3,624			3,789	-165				-165							
15 ISARES MSCI EAFE	464287465		6/3/2011	9/9/2012	10,640			10,990	-350				-350							
16 ISARES MSCI EAFE	464287465		10/21/2011	9/9/2012	4,443			3,929	514				514							
17 ISARES MSCI EAFE	464287465		5/9/2012	9/9/2012	1,111			969	142				142							
18 ISARES S&P MIDCAP 400 VALUE	464287705		4/14/2011	9/9/2012	823			847	-24				-24							
19 ISARES S&P MIDCAP 400 VALUE	464287705		3/8/2011	9/9/2012	165			188	-23				-23							
20 ISARES TR SMALLCAP 600 INDEX FD	464287804		4/14/2011	9/9/2012	372			360	12				12							
21 ISARES CORE S&P SMALL-CAP E	464287804		4/14/2011	9/9/2012	4,854			4,247	607				607							
22 ISARES CORE S&P SMALL-CAP E	464287804		6/3/2011	9/9/2012	4,030			3,432	598				598							
23 ISARES CORE S&P SMALL-CAP E	464287804		3/8/2011	9/9/2012	336			283	53				53							
24 LAUDUS MONTANIAN INTL F-HNST 2940	518505085		3/10/2011	9/9/2012	719			715	4				4							
25 CREDIT SUISSE COMM RT ST-CC 215622344R305	518505085		8/11/2011	9/9/2012	1,168			1,282	-114				-114							
26 LAUDUS MONTANIAN INTL F-HNST 2940	518505085		1/19/2011	9/9/2012	2,171			2,151	20				20							
27 LAUDUS MONTANIAN INTL F-HNST 2940	518505085		1/19/2011	9/9/2012	778			821	-43				-43							
28 MERGER FD SH BEN INT 260	599508108		6/7/2011	9/9/2012	1,363			1,389	-26				-26							
29 AMEX HEALTH CARE SPDR	813697209		4/18/2011	9/9/2012	492			491	1				1							
30 AMEX HEALTH CARE SPDR	813697209		5/24/2012	9/9/2012	771			73	738				738							
31 AMEX HEALTH CARE SPDR	813697209		4/14/2011	9/9/2012	1,468			1,442	26				26							
32 CONSUMER STAPLES SECTOR SPDR	813697308		4/14/2011	9/9/2012	1,120			1,015	105				105							
33 CONSUMER STAPLES SECTOR SPDR	813697308		4/14/2011	9/9/2012	178			154	25				25							
34 AMEX CONSUMER DISCR SPDR	813697407		4/14/2011	9/9/2012	971			858	113				113							
35 AMEX CONSUMER DISCR SPDR	813697407		4/14/2011	9/9/2012	134			117	17				17							
36 AMEX ENERGY SELECT SPDR	813697506		6/3/2011	9/9/2012	1,748			1,929	-181				-181							
37 AMEX ENERGY SELECT SPDR	813697506		6/3/2011	5/24/2012	586			668	-82				-82							
38 AMEX ENERGY SELECT SPDR	813697506		10/21/2011	9/9/2012	130			136	-6				-6							
39 AMEX ENERGY SELECT SPDR	813697506		4/14/2011	9/9/2012	72			76	-4				-4							
40 AMEX FINANCIAL SELECT SPDR	813697605		3/8/2011	9/9/2012	327			366	-39				-39							
41 AMEX FINANCIAL SELECT SPDR	813697605		1/19/2011	9/9/2012	119			130	-11				-11							
42 AMEX FINANCIAL SELECT SPDR	813697605		1/19/2011	9/9/2012	208			228	-20				-20							
43 AMEX FINANCIAL SELECT SPDR	813697605		1/19/2011	9/9/2012	772			718	54				54							
44 AMEX INDUSTRIAL SPDR	813697704		4/14/2011	9/9/2012	1,428			1,478	-50				-50							
45 AMEX INDUSTRIAL SPDR	813697704		3/8/2011	9/9/2012	393			404	-11				-11							
46 AMEX INDUSTRIAL SPDR	813697704		3/8/2011	9/9/2012	146			147	-1				-1							
47 AMEX TECHNOLOGY SELECT SPDR	813697803		3/8/2011	9/9/2012	660			576	84				84							
48 AMEX UTILITIES SPDR	813697886		1/19/2011	9/9/2012	390			349	41				41							
49 AMEX UTILITIES SPDR	813697886		3/8/2011	9/9/2012	284			257	27				27							
50 AMEX UTILITIES SPDR	813697886		4/14/2011	9/9/2012	284			254	30				30							
51 AMEX UTILITIES SPDR	813697886		6/3/2011	9/9/2012	106			99	7				7							
52 LAUDUS MONTANIAN INTL F-HNST 2940	518505085		2/13/2012	9/9/2012	1,467			1,469	-2				-2							
53 VANGUARD INTERMEDIATE TERM B	921937819		2/9/2012	9/9/2012	3,789			3,762	27				27							
54 VANGUARD INTERMEDIATE TERM B	921937819		2/9/2012	9/9/2012	980			962	18				18							
55 VANGUARD INTERMEDIATE TERM B	921937819		2/9/2012	9/9/2012	1,309			1,312	-3				-3							
56 VANGUARD SHORT TERM BOND ETF	921937827		5/9/2012	9/9/2012	1,541			1,543	-2				-2							
57 VANGUARD SHORT TERM BOND ETF	921937827		5/9/2012	9/9/2012	2,588			2,599	-11				-11							
58 VANGUARD SHORT TERM BOND ETF	921937827		5/9/2012	9/9/2012	162			162	0				0							
59 VANGUARD REIT VIPER	922908553		4/14/2011	9/9/2012	653			582	71				71							
60 VANGUARD REIT VIPER	922908553		4/14/2011	9/9/2012	592			515	77				77							
61 PIMCO FOREIGN BD FD USD H-INST 10	693390882		7/18/2011	9/9/2012	4,937			4,723	214				214							
62 PIMCO FOREIGN BD FD USD H-INST 10	693390882		7/18/2011	9/9/2012	1,003			985	18				18							
63 RIDGEMOUNT SEIX HY BD-1 5655	766281645		4/18/2011	9/9/2012	1,525			1,570	-45				-45							
64 RIDGEMOUNT SEIX HY BD-1 5655	766281645		4/18/2011	9/9/2012	420			4245	25				25							
65 RIDGEMOUNT SEIX HY BD-1 5655	766281645		6/7/2011	9/9/2012	417			414	3				3							
66 SPDR DJ WILSHIRE INTERNATIONAL R	78483X863		5/3/2011	9/9/2012	867			980	-113				-113							
67 SPDR DJ WILSHIRE INTERNATIONAL R	78483X863		5/3/2011	9/9/2012	347			367	-20				-20							
68 SPDR DJ WILSHIRE INTERNATIONAL R	78483X863		5/3/2011	9/9/2012	743			735	8				8							
69 AMEX MATERIALS SPDR	813697100		4/14/2011	5/24/2012	102			116	-16				-16							
70 AMEX MATERIALS SPDR	813697100		3/8/2011	5/24/2012	102			116	-16				-16							
71 AMEX HEALTH CARE SPDR	813697209		4/14/2011	9/9/2012	773			705	67				67							
72 AMEX HEALTH CARE SPDR	813697209		5/3/2011	9/9/2012	154			141	13				13							

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date		Amount
1 Credit from prior year return		1	0
2 First quarter estimated tax payment	8/9/2012	2	231
3 Second quarter estimated tax payment	9/11/2012	3	231
4 Third quarter estimated tax payment	12/11/2012	4	231
5 Fourth quarter estimated tax payment	3/11/2013	5	232
6 Other payments		6	0
7 Total		7	925

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2011 that remained undistributed at the beginning of the 2012 tax year	1	6,979
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	6,979