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Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation

OMB No 1545-0052

2012

Department of the Treasury  
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012, or tax year beginning 4/01, 2012, and ending 3/31, 2013

FIREBIRD FOUNDATION FOR  
ANTHROPOLOGICAL RESEARCH  
PO BOX A  
PHILLIPS, ME 04966-1501A Employer identification number  
01-0524375B Telephone number (see the instructions)  
207-639-3939C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity  
☐ Final return ☐ Amended return  
☐ Address change ☐ Name changeH Check type of organization: ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, column (c), line 16) ☐ \$ 3,490,297.  
J Accounting method: ☒ Cash ☐ Accrual  
☐ Other (specify) (Part I, column (d) must be on cash basis)

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

REVENUE

1 Contributions, gifts, grants, etc. received (att sch)

187,748.

2 ☒ If the foundn is not req to att Sch B

3 Interest on savings and temporary cash investments

7.

7.

7.

4 Dividends and interest from securities

95,284.

95,284.

95,284.

5a Gross rents

b Net rental income or (loss)

6a Net gain/(loss) from sale of assets not on line 10

539.

b Gross sales price for all assets on line 6a

1,501,918.

7 Capital gain net income (from Part IV, line 2)

539.

8 Net short-term capital gain

1,121.

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit/(loss) (att sch)

11 Other income (attach schedule)

SEE STATEMENT 1

450.

450.

12 Total. Add lines 1 through 11

284,028.

96,280.

96,412.

ADMINISTRATIVE EXPENSES

13 Compensation of officers, directors, trustees, etc.

0.

14 Other employee salaries and wages

95,298.

95,298.

15 Pension plans, employee benefits

2,859.

2,859.

16a Legal fees (attach schedule) SEE ST 2

5,216.

5,216.

b Accounting fees (attach sch) SEE ST 3

1,500.

1,500.

c Other prof fees (attach sch) SEE ST 4

35,279.

13,320.

13,320.

35,279.

17 Interest

18 Taxes (attach schedule) (see instrs) SEE STM 5

10,436.

903.

903.

10,436.

19 Depreciation (attach sch) and depletion

10,544.

20 Occupancy

2,577.

2,577.

21 Travel, conferences, and meetings

825.

825.

22 Printing and publications

3,816.

3,816.

23 Other expenses (attach schedule)

SEE STATEMENT 6

64,874.

64,874.

24 Total operating and administrative expenses. Add lines 13 through 23

233,224.

14,223.

14,223.

222,680.

25 Contributions, gifts, grants paid STMT 7

198,394.

198,394.

26 Total expenses and disbursements. Add lines 24 and 25

431,618.

14,223.

14,223.

421,074.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

-147,590.

b Net investment income (if negative, enter -0-)

82,057.

c Adjusted net income (if negative, enter -0-)

82,189.

Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
ASSETS	1 Cash — non-interest-bearing	8,721.	51,480.	51,480.
	2 Savings and temporary cash investments	3,043.	3,043.	3,043.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — US and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)			
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment basis			
LIABILITIES	Less: accumulated depreciation (attach schedule)			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule) STATEMENT 8	2,915,089.	2,782,578.	3,098,420.
	14 Land, buildings, and equipment basis 386,095.			
	Less: accumulated depreciation (attach schedule) SEE STMT 9			
	15 Other assets (describe )			
	16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	3,268,940.	3,168,644.	3,490,297.
	17 Accounts payable and accrued expenses	710.	813.	
	18 Grants payable			
	19 Deferred revenue			
FUND ASSET BALANCES	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe SEE STATEMENT 10 )	4,474.	10,563.	
	23 Total liabilities (add lines 17 through 22)	5,184.	11,376.	
FUND ASSET BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. X			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	3,263,756.	3,157,268.	
	30 Total net assets or fund balances (see instructions)	3,263,756.	3,157,268.	
	31 Total liabilities and net assets/fund balances (see instructions)	3,268,940.	3,168,644.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,263,756.
2	Enter amount from Part I, line 27a	2	-147,590.
3	Other increases not included in line 2 (itemize) SEE STATEMENT 11	3	41,552.
4	Add lines 1, 2, and 3	4	3,157,718.
5	Decreases not included in line 2 (itemize) SEE STATEMENT 12	5	450.
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	3,157,268.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)

(b) How acquired  
P — Purchase  
D — Donation(c) Date acquired  
(month, day, year)(d) Date sold  
(month, day, year)

1 a SEE STATEMENT 13			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	[ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 ]	2	539.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	[ If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 ]	3	1,121.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	402,640.	3,581,074.	0.112436
2010	738,262.	3,222,484.	0.229097
2009	233,913.	1,903,943.	0.122857
2008	472,660.	467,123.	1.011853
2007	182,050.	469,338.	0.387887

2 Total of line 1, column (d)	2	1.864130
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.372826
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	3,420,570.
5 Multiply line 4 by line 3	5	1,275,277.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	821.
7 Add lines 5 and 6	7	1,276,098.
8 Enter qualifying distributions from Part XII, line 4	8	421,074.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	1,641.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,641.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-		5	1,641.
6 Credits/Payments:			
a 2012 estimated tax pmts and 2011 overpayment credited to 2012	6 a	1,500.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c	1,000.	
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	2,500.	
8 Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	859.	
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax <b>859.</b> Refunded	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file <b>Form 1120-POL</b> for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>N/A</u>		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>	X	
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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**Part VII-A. Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address _____	13	X	
14	The books are in care of ▶ <u>DR. GEORGE N. APPELL</u> Telephone no ▶ <u>207-639-3939</u> Located at ▶ <u>PO BOX A, PHILLIPS, ME</u> ZIP + 4 ▶ <u>04966-1501</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — Check here and enter the amount of tax-exempt interest received or accrued during the year	N/A ▶ <input type="checkbox"/> 15 N/A		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country ▶				X

**Part VII-B. Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		
Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
If 'Yes,' list the years ▶ 20 __ , 20 __ , 20 __ , 20 __		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement — see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20 __ , 20 __ , 20 __ , 20 __		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b If 'Yes,' did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b	X

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**Part VII** Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐

5b N/A

**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

**6 a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870

6b X

**7 a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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C

1 N/A

2

3

4

1

$$\overline{N/A}$$

2

3

0.



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1 a	3,103,685.
b Average of monthly cash balances	1 b	31,622.
c Fair market value of all other assets (see instructions)	1 c	337,353.
d Total (add lines 1a, b, and c)	1 d	3,472,660.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	3,472,660.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	52,090.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,420,570.
6 Minimum investment return. Enter 5% of line 5	6	171,029.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1 Minimum investment return from Part X, line 6	N/A	1	
2 a Tax on investment income for 2012 from Part VI, line 5	2 a		
b Income tax for 2012. (This does not include the tax from Part VI.)	2 b		
c Add lines 2a and 2b	2 c		
3 Distributable amount before adjustments. Subtract line 2c from line 1	3		
4 Recoveries of amounts treated as qualifying distributions	4		
5 Add lines 3 and 4	5		
6 Deduction from distributable amount (see instructions)	6		
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7		

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	421,074.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	421,074.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	421,074.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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**Part XIII Undistributed Income** (see instructions)

N/A

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2012.				
a Enter amount for 2011 only				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e.				
4 Qualifying distributions for 2012 from Part XII, line 4: \$ _____				
a Applied to 2011, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2012 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a) )				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount — see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a. Taxable amount — see instructions				
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

BAA

Form 990-PF (2012)

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1** a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling **8/30/00**

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☒ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	82,189.	58,823.	74,611.	51,468.	267,091.
<b>b</b> 85% of line 2a	69,861.	50,000.	63,419.	43,748.	227,028.
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed	421,074.	402,640.	738,262.	233,913.	1,795,889.
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					0.
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	421,074.	402,640.	738,262.	233,913.	1,795,889.
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> 'Assets' alternative test — enter					
<b>(1)</b> Value of all assets	3,490,297.	3,470,714.	3,793,503.	3,089,239.	13,843,753.
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					0.
<b>b</b> 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
<b>c</b> 'Support' alternative test — enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

GEORGE N APPELL

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
<b>Total</b>				<b>3 a</b>
<b>b</b> Approved for future payment				
<b>Total</b>				<b>3 b</b>

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			1		7.
4	Dividends and interest from securities			1		95,284.
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					539.
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a	<b>PARTNERSHIP INCOME</b>					450.
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)					96,280.
13	<b>Total.</b> Add line 12, columns (b), (d), and (e)					96,280.

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

► Attach to Form 990, Form 990-EZ, or Form 990-PF

OMB No 1545-0047

**2012**

Name of the organization **FIREBIRD FOUNDATION FOR  
ANTHROPOLOGICAL RESEARCH**

Employer identification number  
**01-0524375**

**Organization type** (check one)

**Filers of:**

Form 990 or 990-EZ

**Section:**

- ☐ 501(c)( ) (enter number) organization  
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation  
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation  
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation  
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ \_\_\_\_\_

**Caution:** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.** Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Name of organization

Employer identification number

FIREBIRD FOUNDATION FOR

01-0524375

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DR GEORGE N. APPELL PO BOX A PHILLIPS, ME 04966	\$ 187,648.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II if there is a noncash contribution.)



Employer identification number

01-0524375

## Part II

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	N/A		
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

**BAA**

Employer identification number

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**Exclusively religious, charitable, etc., individual contributions to section 501(c)(3), (5), or (6) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry.**

► \$ N/A

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	N/A		
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
_____			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
_____			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
_____			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
_____			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

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FIREBIRD FOUNDATION FOR  
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STATEMENT 1  
FORM 990-PF, PART I, LINE 11  
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
PARTNERSHIP INCOME	\$ 450.	\$ 450.	
TOTAL	\$ 450.	\$ 450.	0.

STATEMENT 2  
FORM 990-PF, PART I, LINE 16A  
LEGAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	\$ 5,216.			\$ 5,216.
TOTAL	\$ 5,216.	\$ 0.	\$ 0.	\$ 5,216.

STATEMENT 3  
FORM 990-PF, PART I, LINE 16B  
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	\$ 1,500.			\$ 1,500.
TOTAL	\$ 1,500.	\$ 0.	\$ 0.	\$ 1,500.

STATEMENT 4  
FORM 990-PF, PART I, LINE 16C  
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	\$ 13,320.	\$ 13,320.	\$ 13,320.	\$ 13,320.
OUTSIDE CONSULTING	21,959.			21,959.
TOTAL	\$ 35,279.	\$ 13,320.	\$ 13,320.	\$ 35,279.

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STATEMENT 5  
FORM 990-PF, PART I, LINE 18  
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	\$ 903.	\$ 903.	\$ 903.	\$ 903.
INCOME TAXES	2,500.			2,500.
PAYROLL TAXES	7,033.			7,033.
<b>TOTAL</b>	<b>\$ 10,436.</b>	<b>\$ 903.</b>	<b>\$ 903.</b>	<b>\$ 10,436.</b>

STATEMENT 6  
FORM 990-PF, PART I, LINE 23  
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK AND PAYROLL PROCESSING FEES	\$ 2,674.			\$ 2,674.
COMPUTER REPAIRS	991.			991.
INSURANCE	8,782.			8,782.
MAINTENANCE	17,354.			17,354.
MEMBERSHIPS	724.			724.
MISCL	119.			119.
OFFICE SUPPLIES	1,132.			1,132.
PROJECT PROMOTION	7,250.			7,250.
REFERENCE	2,206.			2,206.
RENTAL EXPENSES	12,000.			12,000.
SMALL EQUIPMENT	5,564.			5,564.
TELEPHONE	2,409.			2,409.
WEB PAGE	3,669.			3,669.
<b>TOTAL</b>	<b>\$ 64,874.</b>	<b>\$ 0.</b>	<b>\$ 0.</b>	<b>\$ 64,874.</b>

STATEMENT 7  
FORM 990-PF, PART I, LINE 25  
CONTRIBUTIONS, GIFTS, AND GRANTSCASH GRANTS AND ALLOCATIONS

CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	DR. DAVID LIPSET	
DONEE'S ADDRESS:	395 HUMPHERY CENTER MINNEAPOLIS, MN 55455	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		\$ 5,000.
CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	AMBIKA ALYADURI	
DONEE'S ADDRESS:	11 ARTS LINK , 117570 SINGAPORE	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		9,800.

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STATEMENT 7 (CONTINUED)  
FORM 990-PF, PART I, LINE 25  
CONTRIBUTIONS, GIFTS, AND GRANTS

CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	AUNG SI	
DONEE'S ADDRESS:	4 WONGGOOLA CLOSE, O'CONNOR , CANBERRA ACT 2602 AUSTRALIA	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		\$ 9,064.
CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	DANIELLE BARTH	
DONEE'S ADDRESS:	1797 COLUMBIA STREET EUGENE, OR 97402	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		9,665.
CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	CAI RANG DOU JE	
DONEE'S ADDRESS:	3 DANYUAN #202 WENJIAO LOU , QINGHAI CHINA	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		9,237.
CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	ROBERT CANCEL	
DONEE'S ADDRESS:	641 SPARTA DRIVE ENCINITAS, CA 92024	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		3,670.
CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	CHONGOM DAMERENTHANG AIMOL	
DONEE'S ADDRESS:	D/III/49 LANGOL HOUSING COMPLEX , IMPHAL 795004 INDIA	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		8,500.
CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	MEGAN COLLINS	
DONEE'S ADDRESS:	41 WILLIAM STEET , PETONE LOWER HUTT 5012 NEW ZEALAND	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		9,950.
CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	MERLIN FRANCO FRANCIS	
DONEE'S ADDRESS:	CURTIN SDN BHD CDT 250 , SARAWAK 98009 MIRI MALAYSIA	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		7,100.

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STATEMENT 7 (CONTINUED)  
FORM 990-PF, PART I, LINE 25  
CONTRIBUTIONS, GIFTS, AND GRANTS

CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	NIANG JIJA	
DONEE'S ADDRESS:	ROOM 242, UNIT 2, BLDG 11 , CHENGXI, XINING CITY 810008 CHINA	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		\$ 9,723.
CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	REBECCA GNEUCHTEL	
DONEE'S ADDRESS:	SPAKASSE OBERLAUSITZ , BOXBERG/OBERLAISITZ 02943 GERMANY	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		9,150.
CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	KEPOR MARA	
DONEE'S ADDRESS:	VIVEK VIHAR ITANGAR , ARUNACHAL PRADESH 791113 INDIA	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		5,750.
CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	TAM NGUYEN	
DONEE'S ADDRESS:	86310 N MODESTO DRIVE EUGENE, OR 97402	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		10,000.
CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	DARIO NOVELLINO	
DONEE'S ADDRESS:	MARLOWE BLDG, UNIV OF KENT , CANTERBURY, KENT CT2 7NR UNITED KINGDOM	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		4,000.
CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	PAUTHANG HAKIP	
DONEE'S ADDRESS:	ASSAM UNIVERSITY , SILCHAR, ASSAM 788011 INDIA	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	
AMOUNT GIVEN:		8,299.
CLASS OF ACTIVITY:	ANTHROPOLOGICAL RESEARCH	
DONEE'S NAME:	PUHUA DANGZHI	
DONEE'S ADDRESS:	35 WUSIXI RD CHENGXI DISTRICT , XINING, QINGHAI 810008 CHINA	
RELATIONSHIP OF DONEE:	NONE	
ORGANIZATIONAL STATUS OF DONEE:	INDIVIDUAL	

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STATEMENT 7 (CONTINUED)  
FORM 990-PF, PART I, LINE 25  
CONTRIBUTIONS, GIFTS, AND GRANTS

AMOUNT GIVEN: \$ 7,025.

CLASS OF ACTIVITY: ANTHROPOLOGICAL RESEARCH  
DONEE'S NAME: HANNAH SARVASY  
DONEE'S ADDRESS: JAMES COOK UNIV., PO BOX 6811  
CAIRNS, QSLD 4870 AUSTRALIARELATIONSHIP OF DONEE: NONE  
ORGANIZATIONAL STATUS OF DONEE: INDIVIDUAL  
AMOUNT GIVEN: 8,147.CLASS OF ACTIVITY: ANTHROPOLOGICAL RESEARCH  
DONEE'S NAME: L. SARBAJIT SINGH  
DONEE'S ADDRESS: MANIPUR UNIVERSITY  
MANIPUR IMPHAL-795 003 INDIARELATIONSHIP OF DONEE: NONE  
ORGANIZATIONAL STATUS OF DONEE: INDIVIDUAL  
AMOUNT GIVEN: 10,000.CLASS OF ACTIVITY: ANTHROPOLOGICAL RESEARCH  
DONEE'S NAME: MIKKO SALMINEN  
DONEE'S ADDRESS: LA RESERVE AEGSCHHUS  
MOEL-FILET VALAIS CH-3983  
SWITZERLANDRELATIONSHIP OF DONEE: NONE  
ORGANIZATIONAL STATUS OF DONEE: INDIVIDUAL  
AMOUNT GIVEN: 8,404.CLASS OF ACTIVITY: ANTHROPOLOGICAL RESEARCH  
DONEE'S NAME: JILL STANSBURY  
DONEE'S ADDRESS: 408 E MAIN STREET  
BATTLEGROUND, WA 98604RELATIONSHIP OF DONEE: NONE  
ORGANIZATIONAL STATUS OF DONEE: INDIVIDUAL  
AMOUNT GIVEN: 10,000.CLASS OF ACTIVITY: ANTHROPOLOGICAL RESEARCH  
DONEE'S NAME: VISHNI SINGH  
DONEE'S ADDRESS: TIBHUVAN UNIVERSITY  
KIRTIPUR, KATHMANDU NEPALRELATIONSHIP OF DONEE: NONE  
ORGANIZATIONAL STATUS OF DONEE: INDIVIDUAL  
AMOUNT GIVEN: 9,800.CLASS OF ACTIVITY: ANTHROPOLOGICAL RESEARCH  
DONEE'S NAME: ZHA XI RONG BU  
DONEE'S ADDRESS: BORMEI VILLAGE, BORMEI TOWNSHIP  
XILONG, SICHUAN CHINARELATIONSHIP OF DONEE: NONE  
ORGANIZATIONAL STATUS OF DONEE: INDIVIDUAL  
AMOUNT GIVEN: 7,820.CLASS OF ACTIVITY:  
DONEE'S NAME: YINA JODI  
DONEE'S ADDRESS: FOREIGN AFFAIRS DEPT, QINHAI UNIV  
XINING, QINGHAI 810008 CHINARELATIONSHIP OF DONEE:  
ORGANIZATIONAL STATUS OF DONEE:

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STATEMENT 7 (CONTINUED)  
FORM 990-PF, PART I, LINE 25  
CONTRIBUTIONS, GIFTS, AND GRANTS

AMOUNT GIVEN: \$ 9,990.

CLASS OF ACTIVITY: ANTHROPOLOGICAL RESEARCH  
DONEE'S NAME: DR. YONTEN DARGYE  
DONEE'S ADDRESS: PO BOX 185

RELATIONSHIP OF DONEE: NONE

ORGANIZATIONAL STATUS OF DONEE: INDIVIDUAL

AMOUNT GIVEN: 8,300.

TOTAL \$ 198,394.

STATEMENT 8  
FORM 990-PF, PART II, LINE 13  
INVESTMENTS - OTHER

OTHER INVESTMENTS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
HIGHMOUNT CAPITAL	COST	\$ 2,746,102.	\$ 3,061,944.
SPC LLC'S RESIDUAL VALUE	COST	36,476.	36,476.
TOTAL		\$ 2,782,578.	\$ 3,098,420.

STATEMENT 9  
FORM 990-PF, PART II, LINE 14  
LAND, BUILDINGS, AND EQUIPMENT

CATEGORY	BASIS	ACCUM. DEPREC.	BOOK VALUE	FAIR MARKET VALUE
FURNITURE AND FIXTURES	\$ 32,615.	\$ 10,997.	\$ 21,618.	\$ 0.
MACHINERY AND EQUIPMENT	23,749.	23,749.	0.	0.
BUILDINGS	295,858.	18,223.	277,635.	305,064.
IMPROVEMENTS	1,583.	1,583.	0.	0.
LAND	32,290.		32,290.	32,290.
TOTAL	\$ 386,095.	\$ 54,552.	\$ 331,543.	\$ 337,354.

STATEMENT 10  
FORM 990-PF, PART II, LINE 22  
OTHER LIABILITIES

DUE TO OTHER ORGANIZATION \$ 10,563.

TOTAL \$ 10,563.



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STATEMENT 11  
FORM 990-PF, PART III, LINE 3  
OTHER INCREASES

MUNICIPAL INTEREST	\$	10,164.
NON DIVIDEND DISTRIBUTIONS		739.
NON REALIZED GAINS ON SECURITIES		30,649.
TOTAL	\$	<u>41,552.</u>

STATEMENT 12  
FORM 990-PF, PART III, LINE 5  
OTHER DECREASES

BOOK TO TAX ADJUSTMENTS	\$	450.
TOTAL	\$	<u>450.</u>

STATEMENT 13  
FORM 990-PF, PART IV, LINE 1  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	593.723 FRANKLIN TEMPLETON EMERGING MKTS	PURCHASED	2/07/2012	11/21/2012
2	50,000 ARCELOMITTAL 5.75%	PURCHASED	7/31/2012	11/28/2012
3	50,000 FEDERAL FARM CREDIT BK 2.98%	PURCHASED	10/18/2012	3/06/2013
4	100000 FHLB	PURCHASED	4/05/2012	7/19/2012
5	100000 FHMLC	PURCHASED	5/10/2011	4/12/2012
6	100000 FHLMC	PURCHASED	12/04/2012	2/22/2013
7	50000 FNMA	PURCHASED	10/09/2012	3/22/2013
8	50000 HEWLETT PACKARD 4.05%	PURCHASED	5/18/2012	3/26/2013
9	50000 PITNEY BOWES 5.6%	PURCHASED	3/13/2012	11/28/2012
10	275 WISDOM TREE EMERGING MKTS	PURCHASED	8/30/2011	5/10/2012
11	PASS THRU	PURCHASED	VARIOUS	VARIOUS
12	4034.895 EATON VANCE FLTG RATE	PURCHASED	VARIOUS	VARIOUS
13	4470.646 FRANKLIN TEMPLETON EMERGING MKTS	PURCHASED	VARIOUS	11/21/2012
14	2575 ISHARES MSCI CANADA IDX FD	PURCHASED	VARIOUS	3/15/2013
15	105 ISHARES RUSSELL 300 INDEX FUND	PURCHASED	VARIOUS	5/10/2012
16	765 ISHARES RUSSELL 300 INDEX FUND	PURCHASED	VARIOUS	5/10/2012
17	2772 MATTHEWS CHINA FUND	PURCHASED	VARIOUS	11/02/2012
18	50000 MBNA 5%	PURCHASED	VARIOUS	10/15/2012
19	50000 MORGAN STANLEY 5.55%	PURCHASED	VARIOUS	9/25/2012
20	4900 POWERSHARES HIGH YIELD CORPORATE BOND	PURCHASED	VARIOUS	6/06/2012
21	100000 PROTECTIVE LIFE 7.375%	PURCHASED	VARIOUS	5/15/2012
22	50000 ROYAL BANK OF SCOTLAND 6.125%	PURCHASED	VARIOUS	2/25/2013
23	515 SPDR TRUST SER 1	PURCHASED	VARIOUS	5/10/2012
24	766.69 TOCQUEVILLE GOLD	PURCHASED	VARIOUS	2/28/2013
25	370 TORTOISE ENERGY INFRASTRUCTURE	PURCHASED	VARIOUS	5/10/2012
26	100000 UBS AG STAMFORD CT 5.875%	PURCHASED	VARIOUS	10/16/2012
27	370 VANGUARD EMERGING MKTS	PURCHASED	VARIOUS	5/10/2012
28	PASS THRU	PURCHASED	VARIOUS	VARIOUS
29	PASS-THRU SEC 1256	PURCHASED	VARIOUS	VARIOUS
30	CAPITAL GAIN DISTRIBUTIONS	PURCHASED	VARIOUS	VARIOUS
31	3815.261 FIDELITY ADVISOR FLTG RATE HIGH	PURCHASED	VARIOUS	5/10/2012
32	FRAC SPDR GOLD TR	PURCHASED	VARIOUS	VARIOUS

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STATEMENT 13 (CONTINUED)  
FORM 990-PF, PART IV, LINE 1  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	7,689.		7,000.	689.				\$ 689.
2	49,555.		48,362.	1,193.				1,193.
3	50,000.		50,394.	-394.				-394.
4	100,000.		100,000.	0.				0.
5	100,000.		101,094.	-1,094.				-1,094.
6	50,000.		50,120.	-120.				-120.
7	50,000.		50,078.	-78.				-78.
8	50,347.		48,833.	1,514.				1,514.
9	52,403.		52,750.	-347.				-347.
10	15,136.		15,533.	-397.				-397.
11	155.		0.	155.				155.
12	37,000.		36,630.	370.				370.
13	57,895.		55,000.	2,895.				2,895.
14	73,801.		79,968.	-6,167.				-6,167.
15	8,459.		8,215.	244.				244.
16	61,627.		61,627.	0.				0.
17	63,728.		80,000.	-16,272.				-16,272.
18	54,098.		50,410.	3,688.				3,688.
19	54,600.		49,294.	5,306.				5,306.
20	89,654.		90,686.	-1,032.				-1,032.
21	116,709.		104,452.	12,257.				12,257.
22	60,736.		53,195.	7,541.				7,541.
23	70,167.		69,988.	179.				179.
24	39,952.		65,000.	-25,048.				-25,048.
25	15,044.		12,665.	2,379.				2,379.
26	110,642.		103,411.	7,231.				7,231.
27	15,092.		15,092.	0.				0.
28	5,274.		0.	5,274.				5,274.
29	0.		3,812.	-3,812.				-3,812.
30	4,071.		0.	4,071.				4,071.
31	38,000.		37,770.	230.				230.
32	84.		0.	84.				84.
TOTAL								\$ 539.

STATEMENT 14  
FORM 990-PF, PART VIII, LINE 1  
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
GEORGE N APPELL PO BOX A PHILLIPS, ME 04966	PRESIDENT 0	\$ 0.	\$ 0.	0.
LAURA P.A. WARREN ST MARKS SCHOOL SOUTHBOROUGH, MA 01772	TREASURER 0	0.	0.	0.

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STATEMENT 14 (CONTINUED)  
FORM 990-PF, PART VIII, LINE 1  
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
AMITY A DOOLITTLE 119 EVERIT STREET NEW HAVEN, CT 06511	0	\$ 0.	\$ 0.	\$ 0.
CHARITY R APPELL MCNABB PO BOX 248 ASCUTNEY, VT 05030	0	0.	0.	0.
ANTON PLOEG G.SLAGMASTER 9,7217 SE HARFSEN THE NETHERLANDS,	0	0.	0.	0.
MICHAEL J DOOLITTLE 119 EVERIT STREET NEW HAVEN, CT 06511	0	0.	0.	0.
JOHN C WARREN ST MARKS SCHOOL SOUTHBOROUGH, MA 01772	0	0.	0.	0.
ALAN C MCNAB PO BOX 248 ASCUTNEY, VT 05036	0	0.	0.	0.
LAURA W. R. APPELL PO BOX A PHILLIPS, ME 04966	0	0.	0.	0.
TOTAL		\$ 0.	\$ 0.	\$ 0.

Form **8868**

(Rev January 2013)

Department of the Treasury  
Internal Revenue Service**Application for Extension of Time To File an  
Exempt Organization Return**

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns***Enter filer's identifying number, see instructions**

<b>Type or print</b>	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	FIREBIRD FOUNDATION FOR ANTHROPOLOGICAL RESEARCH	01-0524375
	Number, street, and room or suite number. If a P.O. box, see instructions	Social security number (SSN)
	PO BOX A	
File by the due date for filing your return. See instructions	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	PHILLIPS, ME 04966-1501	

Enter the Return code for the return that this application is for (file a separate application for each return)

**04**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► DR. GEORGE N. APPELL

Telephone No ► 207-639-3939 FAX No ► \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 11/15, 20 13, to file the exempt organization return for the organization named above. The extension is for the organization's return for

- ☐ calendar year 20 \_\_\_\_ or  
 ► ☒ tax year beginning 4/01, 20 12, and ending 3/31, 20 13.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return  
☐ Change in accounting period

<b>3a</b> If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	<b>3a</b>	\$	2,500.
<b>b</b> If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	<b>3b</b>	\$	2,500.
<b>c Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	<b>3c</b>	\$	0.

**Caution.** If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions**BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions.**Form **8868** (Rev 1-2013)

FIFZ0501L 01/21/13