



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2012

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012, or tax year beginning 3/01, 2012, and ending 2/28, 2013

HARRINGTON FAMILY FOUNDATION
105 FREMONT AVENUE B
LOS ALTOS, CA 94022A Employer identification number
26-1469601B Telephone number (see the instructions)
650-941-6900G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name changeC If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐I Fair market value of all assets at end of year (from Part II, column (c), line 16)
\$ 1,911,381.
J Accounting method: ☐ Cash ☒ Accrual
(Part I, column (d) must be on cash basis)F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

REVENUE

1 Contributions, gifts, grants, etc. received (att sch)

400,000.

2 ☐ If the foundn is not req to att Sch B

3 Interest on savings and temporary cash investments

7,112.

7,112.

N/A

4 Dividends and interest from securities

29,302.

29,302.

5a Gross rents

b Net rental income or (loss)

6a Net gain/(loss) from sale of assets not on line 10

30,058.

b Gross sales price for all assets on line 6a 2,669,826.

7 Capital gain net income (from Part IV, line 2).

30,058.

8 Net short-term capital gain

9 Income modifications.

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit/(loss) (att sch)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

466,472.

66,472.

ADMINISTRATIVE EXPENSES

13 Compensation of officers, directors, trustees, etc.

0.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach sch)

c Other prof fees (attach sch)

17 Interest

18 Taxes (attach schedule)(see instrs)

19 Depreciation (attach sch) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

SEE STATEMENT 1

10.

10.

24 Total operating and administrative expenses. Add lines 13 through 23

10.

10.

25 Contributions, gifts, grants paid PART XV.

97,500.

97,500.

26 Total expenses and disbursements. Add lines 24 and 25

97,510.

0.

97,510.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

368,962.

b Net investment income (if negative, enter -0-)

66,472.

c Adjusted net income (if negative, enter -0-)

u 618

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1	Cash — non-interest-bearing				
	2	Savings and temporary cash investments		964,235.	1,214,563.	1,214,563.
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch) 355,000.	STATEMENT 2			
		Less: allowance for doubtful accounts			355,000.	355,000.
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments — U.S. and state government obligations (attach schedule)				
	b	Investments — corporate stock (attach schedule) STATEMENT 3		498,340.	348,167.	341,818.
	c	Investments — corporate bonds (attach schedule)				
	11	Investments — land, buildings, and equipment: basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments — mortgage loans					
13	Investments — other (attach schedule)					
14	Land, buildings, and equipment: basis					
	Less: accumulated depreciation (attach schedule)					
15	Other assets (describe)					
16	Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)		1,462,575.	1,917,730.	1,911,381.	
LIABILITIES	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)				
	23	Total liabilities (add lines 17 through 22)		0.	0.	
NET FUND ASSETS OR BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			X		
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, building, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds		1,462,575.	1,917,730.	
	30	Total net assets or fund balances (see instructions)		1,462,575.	1,917,730.	
	31	Total liabilities and net assets/fund balances (see instructions)		1,462,575.	1,917,730.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,462,575.
2	Enter amount from Part I, line 27a	2	368,962.
3	Other increases not included in line 2 (itemize) SEE STATEMENT 4	3	86,193.
4	Add lines 1, 2, and 3	4	1,917,730.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	1,917,730.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE ATTACHED - SHORT TERM		P	1/01/12	3/01/12
b SEE ATTACHED - LONG TERM		P	1/01/01	12/31/12
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,439,590.		2,411,843.	27,747.
b 230,236.		227,925.	2,311.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			27,747.
b			2,311.
c			
d			
e			

2 Capital gain net income or (net capital loss).	[If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	30,058.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	[If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8]	3	27,747.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

N/A

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011			
2010			
2009			
2008			
2007			

2 Total of line 1, column (d)	2
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4
5 Multiply line 4 by line 3	5
6 Enter 1% of net investment income (1% of Part I, line 27b)	6
7 Add lines 5 and 6	7
8 Enter qualifying distributions from Part XII, line 4	8

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	1,329.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,329.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,329.
6 Credits/Payments:			
a 2012 estimated tax pmts and 2011 overpayment credited to 2012.	6 a		
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c	2,800.	
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	2,800.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	15.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,456.	
11 Enter the amount of line 10 to be Credited to 2013 estimated tax.	11	1,456.	Refunded 0.

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ \$ 0. (2) On foundation managers ... ▶ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) CA		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

BAA

Form 990-PF (2012)

Part VIIA Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>THOMAS E. HARRINGTON</u> Telephone no <u>650-941-6900</u> Located at <u>105 FREMONT AVE, SUITE B LOS ALTOS CA</u> ZIP + 4 <u>94022</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country <u></u>	16		X

Part VIIIB Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If 'Yes,' list the years <u>20</u> <u></u> , <u>20</u> <u></u> , <u>20</u> <u></u> , <u>20</u> <u></u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20</u> <u></u> , <u>20</u> <u></u> , <u>20</u> <u></u> , <u>20</u> <u></u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If 'Yes,' did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b	X

BAA

Form 990-PF (2012)

Part VII Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5 a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here ☐c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ Nob Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ Nob If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 5		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐ 0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	507,788.
b	Average of monthly cash balances	1 b	1,017,937.
c	Fair market value of all other assets (see instructions)	1 c	355,000.
d	Total (add lines 1a, b, and c)	1 d	1,880,725.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,880,725.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	28,211.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,852,514.
6	Minimum investment return. Enter 5% of line 5	6	92,626.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	92,626.
2 a	Tax on investment income for 2012 from Part VI, line 5	2 a	1,329.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2 b	
c	Add lines 2a and 2b	2 c	1,329.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	91,297.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	91,297.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	91,297.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	97,510.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	97,510.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	97,510.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7.				91,297.
2 Undistributed income, if any, as of the end of 2012:				
a Enter amount for 2011 only			0.	
b Total for prior years: 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2012.				
a From 2007				
b From 2008	23,300.			
c From 2009	36,500.			
d From 2010	60,500.			
e From 2011	89,000.			
f Total of lines 3a through e	209,300.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$ 97,510.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions).		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2012 distributable amount				91,297.
e Remaining amount distributed out of corpus.	6,213.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	215,513.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions).	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	215,513.			
10 Analysis of line 9.				
a Excess from 2008	23,300.			
b Excess from 2009	36,500.			
c Excess from 2010	60,500.			
d Excess from 2011	89,000.			
e Excess from 2012	6,213.			

BAA

Form 990-PF (2012)

N/A

b Check box to indicate whether the foundation is a private operating foundation described in section

1

4942(i)(3) o

4942(1)(5)

b 85% of line 2a.

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities .

e Qualifying distributions made directly for active conduct of exempt activities
Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon.

a 'Assets' alternative test – enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c 'Support' alternative test – enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

(3) Largest amount of support from an exempt organization .

(4) Gross investment income

Part XV	Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)
----------------	--

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).) --

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc. Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> SEE STATEMENT 6				
Total			3 a	97,500.
<i>b Approved for future payment</i>				
Total			3 b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue.					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	7,112.	
4	Dividends and interest from securities			14	29,302.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	30,058.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a						
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				66,472.	
13	Total. Add line 12, columns (b), (d), and (e)				13	66,472.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, Form 990-EZ, or Form 990-PF**

OMB No 1545-0047

2012

Name of the organization

HARRINGTON FAMILY FOUNDATION

Employer identification number

26-1469601

Organization type (check one):

Filers of:

Form 990 or 990-EZ

Section:

☐ 501(c)(____) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II)

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ► \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ,

Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

or 990-PF.

Name of organization

Employer identification number

HARRINGTON FAMILY FOUNDATION

26-1469601

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	THOMAS & SUSAN HARRINGTON 105 FREMONT AVE., SUITE B LOS ALTOS, CA 94022	\$ 400,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization

Employer identification number

HARRINGTON FAMILY FOUNDATION

26-1469601

Part III Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
	N/A		
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

BAA

Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Name of organization

HARRINGTON FAMILY FOUNDATION

Employer identification number

26-1469601

Part III

Exclusively religious, charitable, etc. individual contributions to section 501(c)(7), (8) or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry.

For organizations completing Part III, enter total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) . . . ▶ \$ N/A
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

BAA

HARRINGTON FAMILY FOUNDATION

26-1469601

STATEMENT 1
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ATTORNEY GENERAL - CALIFORNIA	\$ 10.			\$ 10.
TOTAL	\$ 10.	\$ 0.		\$ 10.

STATEMENT 2
FORM 990-PF, PART II, LINE 7
OTHER NOTES AND LOANS RECEIVABLE

NOTES AND LOANS REPORTED SEPARATELY	BOOK VALUE	FAIR MARKET VALUE	DOUBTFUL ACCOUNTS ALLOWANCE
BORROWER'S NAME: ERICA BREN			
BORROWER'S TITLE:			
DATE OF NOTE: 9/27/2012			
MATURITY DATE: 9/27/2015			
REPAYMENT TERMS: 36 MONTHS			
INTEREST RATE: 4.50%			
SECURITY PROVIDED: NONE			
PURPOSE OF LOAN: INVESTMENT			
BORROWER RELATIONSHIP: NONE			
CONSIDERATION: CASH			
CONSIDERATION FMV: \$ 355,000.			
ORIGINAL AMOUNT: \$ 355,000.			
BALANCE DUE:	\$ 355,000.	\$ 355,000.	\$ 0.
DOUBTFUL ACCT. ALLOW.:			
TOTAL	\$ 355,000.	\$ 355,000.	
ALLOWANCE FOR DOUBTFUL ACCOUNTS \$ 0.			
NET OTHER NOTES/LOANS REC. - BOOK VALUE \$ 355,000.			

STATEMENT 3
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
FIDELITY #246-613720 (SEE ATTACHED)	COST	\$ 348,167.	\$ 341,818.
	TOTAL	\$ 348,167.	\$ 341,818.

HARRINGTON FAMILY FOUNDATION

26-1469601

STATEMENT 4
FORM 990-PF, PART III, LINE 3
OTHER INCREASES

NET UNREALIZED GAINS OR LOSSES ON INVESTMENTS TOTAL \$ 86,193.
 \$ 86,193.

STATEMENT 5
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED	COMPEN- SATION	CONTRI- BUTION TO EBP & DC	EXPENSE ACCOUNT/ OTHER
THOMAS E. HARRINGTON 105 FREMONT AVE, SUITE B LOS ALTOS, CA 94022	PRESIDENT 0	\$ 0.	\$ 0.	\$ 0.
SUSAN C. HARRINGTON 105 FREMONT AVE, SUITE B LOS ALTOS, CA 94022	VICE PRESIDENT 0	0.	0.	0.
JONATHAN HARRINGTON 105 FREMONT AVE, SUITE B LOS ALTOS, CA 94022	CFO 0	0.	0.	0.
KATHERINE M. HARRINGTON 105 FREMONT AVE, SUITE B LOS ALTOS, CA 94022	DIRECTOR 0	0.	0.	0.
ROBIN C. QUILL 105 FREMONT AVE, SUITE B LOS ALTOS, CA 94022	DIRECTOR 0	0.	0.	0.
	TOTAL	\$ 0.	\$ 0.	\$ 0.

STATEMENT 6
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
GLOBAL FUND FOR CHILDREN 1101 FOURTEENTH STREET, NW SUITE 42 WASHINGTON D.C., DC 20005	NONE	501 (C)	ASSIST CHILDREN OF THE WORLD	\$ 12,000.
NPR-KQED 2601 MARIPOSA STREET SAN FRANCISCO, CA 94110	NONE	501 (C)	PUBLIC RADIO	2,000.

HARRINGTON FAMILY FOUNDATION

26-1469601

STATEMENT 6 (CONTINUED)
 FORM 990-PF, PART XV, LINE 3A
 RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
DUKE UNIVERSITY (ENGINEERING FUND) 225 ALLEN BUILDING POB 90032 DURHAM, NC 27708	NONE	501 (C)	EDUCATIONAL OUTREACH	\$ 5,000.
THE DEL MAR FOUNDATION P.O. BOX 2913 DEL MAR, CA 92014	NONE	501 (C)	PROMOTE CIVIC PRIDE	1,000.
KIDS KORP USA 2210 ENCINITAS BLVD, SUITE N ENCINITAS, CA 92024	NONE	501 (C)	PROMOTE YOUTH	3,000.
CAROL FRANC BUCK BREAST CARE CENTER 1600 DIVISADERO ST 2ND FLOOR SAN FRANCISCO, CA 94115	NONE	501 (C)	BREAST CANCER RESEARCH	10,000.
WILDLIFE CONSERVATION NETWORK 25745 BASSETT LANE LOS ALTOS, CA 94022	NONE	501 (C)	WILDLIFE CONSERVATION	3,000.
SECOND HARVEST FOOD BANK 750 CURTNER AVE SAN JOSE, CA 95128	NONE	501 (C)	FOOD BANK SERVING SANTA CLARA AND SAN MATEO COUNTIES	5,000.
REMOTE AREA MEDICAL FOUNDATION 1834 BEECH STREET KNOXVILLE, TN 37920	NONE	501 (C)	MEDICAL AID RELIEF	5,000.
SMILE TRAIN 41 MADISON AVENUE 28TH FLOOR NEW YORK, NY 10010	NONE	501 (C)	DENTAL RELIEF	5,000.
COMMUNITY FOUNDATION OF HENDERSON 401 NORTH MAIN STREET 3RD FLOOR HENDERSONVILLE, NC 28793	NONE	501 (C)	COMMUNITY ASSISTANCE	10,000.
MUSIC FOR MINORS 883 NORTH SHORELINE BLVD C120 MOUNTAIN VIEW, CA 94043	NONE	501 (C)	MUSIC FOR MINORS	5,000.

HARRINGTON FAMILY FOUNDATION

26-1469601

STATEMENT 6 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
PLANNED PARENTHOOD MAR MONTE 1691 THE ALAMEDA SAN JOSE, CA 95126	NONE	501 (C)	FAMILY PLANNING	\$ 5,000.
NEW TEACHER CENTER 725 FRONT STREET, SUITE 400 SANTA CRUZ, CA 95060	NONE	501 (C)	NEW TEACHER ASSISTANCE	5,000.
ALEARN 3777 STEVENS CREEK BLVD, SUITE 330 SANTA CLARA, CA 95051	NONE	501 (C)	COMMITTED TO HELPING UNDERREPRESENTED STUDENTS GET TO AND SUCCEED IN COLLEGE	4,000.
CAMPBELL VETERANS MEMORIAL P.O. BOX 622 CAMPBELL, CA 95009	NONE	501 (C)	VETERANS MEMORIAL	5,000.
BEAR VALLEY MUSIC FESTIVAL P.O. BOX 5068 BEAR VALLEY, CA 95223	NONE	501 (C)	COMMUNITY EVENT	1,000.
PHILANTHROPY - TPW 201 CALIFORNIA STREET, SUITE 630 SAN FRANCISCO, CA 94111	NONE	501 (C)	PHILANTHROPY ASSISTANCE	10,000.
LOS ALTOS ROTARY PO BOX 794 LOS ALTOS, CA 94023	NONE	501 (C)	PHILANTHROPY ASSISTANCE	1,500.
TOTAL				\$ <u>97,500.</u>

ST TRANSACTIONS

	Proceeds	Cost Basis	Gain/(Loss)
1099 STMT	961,955 40	\$ 932,782 82	\$ 29,172 58
JANUARY 2013 STMT	\$ 855,542 96	\$ 846,286 25	\$ 9,256 71
FEBRUARY 2013 STMT	\$ 622,092 08	\$ 632,774 06	\$ (10,681 98)
	<u>\$ 2,439,590 44</u>	<u>\$ 2,411,843.13</u>	<u>\$ 27,747.31</u>

PER GAIN/LOSS REPORT**Diff:****LT TRANSACTIONS**

	Proceeds	Cost Basis	Gain/(Loss)
1099 STMT	\$ 228,102 14	\$ 227,924 96	\$ 177 18
JANUARY 2013 STMT	2,134 00		\$ 2,134.00
FEBRUARY 2013 STMT			
	<u>\$ 230,236 14</u>	<u>\$ 227,924.96</u>	<u>\$ 2,311 18</u>

Investment Report

January 1, 2013 - January 31, 2013

Online FAST(sm)-Automated Telephone Customer Service
Fidelity.com
800-544-5555
800-544-6666

00014016 02 AV 0360 18014016 Envelope 236140101
HARRINGTON FAMILY FOUNDATION
ATTN: THOMAS EINAR HARRINGTON
105 FREMONT AVE STE B
LOS ALTOS CA 94022-3914

Fidelity Accountsm HARRINGTON FAMILY FOUNDATION

Account Summary

Beginning value as of Jan 1 \$988,299.80
Transaction costs, loads and fees -114.94
Change in investment value 29,084.15
Ending value as of Jan 31 \$1,017,269.01

Account trades from Feb 2012 - Jan 2013 70

Income Summary

	This Period	Year to Date
Taxable	\$1,510.00	\$1,510.00
Dividends	421.50	421.50
Interest	\$1,931.50	\$1,931.50
Total		

Realized Gain/Loss from Sales

	This Period	Year to Date
Short-term gain	\$12,303.77	\$12,303.77
Short-term loss	-3,047.06	-3,047.06
Net short	9,256.71	9,256.71
Long-term gain	\$2,133.67	\$2,133.67

857,676.42
Less 2,133.67 T2
ST Proceeds 855,542.91e
855,542.91e
Less 9,256.71
846,286.20

Transaction Details (for holdings with activity this period)

Core Account - Cash

Description	Amount	Balance	Description	Amount	Balance
Beginning		\$71,142.80	Core account income	2.75	
Investment Activity			Income	1,928.75	
Securities bought	-\$245,564.92		Subtotal of Investment Activity	\$614,043.21	
Securities sold	857,676.63		Ending		\$685,186.01

Investment Activity

Settlement Date	Security	Description	Quantity	Price per Unit	Cost Basis of Close	Transaction Amount
1/02	JPMORGAN CHASE CAP XXIX GTD CAP SECS SER CC 6.7% 04/02/2040	Interest				\$418.75
1/11	NUVEEN QUALITY PFD INCOME FD 2	You sold Transaction cost: -\$0.91 Short-term gain: \$1,235.52	-4,262.000	\$9.50200	\$39,261.09	40,496.61
1/11	NUVEEN QUALITY PFD INCOME FD 2 EX-DIV DATE 01/11/13 RECORD DATE 01/15/13 PAYABLE DTE 02/01/13	You sold Transaction cost: -\$0.11	-500.000	9.50000	4,611.12	4,749.89

Investment Report

January 1, 2013 - January 31, 2013

Fidelity Accountsm Z46-613720 HARRINGTON FAMILY FOUNDATION

Transaction Details

Investment Activity

Settlement Date	Security	Description	Quantity	Price per Unit	Cost Basis of Close	Transaction Amount
1/11	NUVEEN QUALITY PFD INCOME FD 2 EX-DIV DATE 01/11/13 RECORD DATE 01/15/13 PAYABLE DTE 02/01/13	Short-term gain: \$138.77 You sold Transaction cost: -\$8.01	-238,000	9.50100	2,196.74f	2,253.23
1/15	JOHN HANCOCK PREMIUM DIVID FD II	Short-term gain: \$56.49 You sold Transaction cost: -\$1.35	-4,299,000	13.96140	58,508.96f	60,018.71
1/15	JOHN HANCOCK PREMIUM DIVID FD II	Short-term gain: \$1,509.75 You sold Transaction cost: -\$0.68	-2,159,000	13.94000	29,431.81f	30,095.78
1/15	JOHN HANCOCK PREMIUM DIVID FD II	Short-term gain: \$663.97 You sold Transaction cost: -\$0.52	-1,641,000	13.93000	22,368.44f	22,858.61
1/15	JOHN HANCOCK PREMIUM DIVID FD II	Short-term gain: \$490.17 You sold Transaction cost: -\$0.38	-1,200,000	13.94000	16,357.32f	16,727.62
1/15	JOHN HANCOCK PREMIUM DIVID FD II	Short-term gain: \$370.30 You sold Transaction cost: -\$0.32	-1,012,000	13.92000	13,791.95f	14,086.72
1/15	JOHN HANCOCK PREMIUM DIVID FD II	Short-term gain: \$294.77 You sold Transaction cost: -\$0.16	-501,000	13.97000	6,820.68f	6,998.81
1/15	JOHN HANCOCK PREMIUM DIVID FD II	Short-term gain: \$178.13 You sold Transaction cost: -\$0.13	-400,000	13.94000	5,449.23f	5,575.87
1/15	JOHN HANCOCK PREMIUM DIVID FD II	Short-term gain: \$126.64 You sold Transaction cost: -\$0.13	-400,000	13.94000	5,416.99f	5,575.87
1/15	JOHN HANCOCK PREMIUM DIVID FD II	Short-term gain: \$158.88 You sold Transaction cost: -\$0.13	-400,000	13.93000	5,416.99f	5,571.87



Investment Report

January 1, 2013 - January 31, 2013

Fidelity AccountSM HARRINGTON FAMILY FOUNDATION Transaction Details Investment Activity

Settlement Date	Security	Description	Quantity	Price per Unit	Cost Basis of Close	Transaction Amount
1/15	JOHN HANCOCK PREMIUM DIVID FD II	Short-term gain: \$154.88 You sold Transaction cost: -\$0.10 Short-term gain: \$91.42 You sold Transaction cost: -\$0.10 Short-term gain: \$92.57 You sold Transaction cost: -\$0.10 Short-term gain: \$90.63 You sold Transaction cost: -\$0.10 Short-term gain: \$86.78 You sold Transaction cost: -\$0.06 Short-term gain: \$54.74 You sold Transaction cost: -\$0.06 Short-term gain: \$55.27 You sold Transaction cost: -\$0.05 Short-term gain: \$42.48 You sold Transaction cost: -\$0.04 Short-term gain: \$34.12 You sold Transaction cost: -\$0.04 Short-term gain: \$34.19 You sold Transaction cost: -\$0.04 Short-term gain: \$32.85	-300.000 -300.000 -300.000 -300.000 -188.000 -172.000 -150.000 -100.000 -100.000 -100.000	13.95000 13.94000 13.93000 13.92070 13.92260 13.95000 13.92000 13.97270 13.97000 13.96000	4,093.48/ 4,089.33/ 4,088.27/ 4,089.33/ 2,562.65/ 2,344.07/ 2,045.47/ 1,363.11/ 1,362.77/ 1,363.11/ 1,395.96	4,184.90 4,181.90 4,178.90 4,176.11 2,617.39 2,399.34 2,087.95 1,397.23 1,396.96 1,395.96



Investment Report

January 1, 2013 - January 31, 2013

Fidelity Accountsm Transaction Details Investment Activity

HARRINGTON FAMILY FOUNDATION

Settlement Date	Security	Description	Quantity	Price per Unit	Cost Basis of Close	Transaction Amount
1/15	JOHN HANCOCK PREMIUM DIVID FD II	You sold Transaction cost: -\$0.04 Short-term gain: \$31.98	-100.000	13.95130	1,363.11f	1,395.09
1/15	JOHN HANCOCK PREMIUM DIVID FD II	You sold Transaction cost: -\$0.04 Short-term gain: \$40.71	-100.000	13.95000	1,354.25f	1,394.96
1/15	JOHN HANCOCK PREMIUM DIVID FD II	You sold Transaction cost: -\$0.04 Short-term gain: \$31.21	-100.000	13.94360	1,363.11f	1,394.32
1/15	JOHN HANCOCK PREMIUM DIVID FD II	You sold Transaction cost: -\$0.04 Short-term gain: \$30.99	-100.000	13.94140	1,363.11f	1,394.10
1/15	JOHN HANCOCK PREMIUM DIVID FD II	You sold Transaction cost: -\$0.04 Short-term gain: \$31.19	-100.000	13.94000	1,362.77f	1,393.96
1/15	JOHN HANCOCK PREMIUM DIVID FD II	You sold Transaction cost: -\$0.04 Short-term gain: \$29.12	-100.000	13.92000	1,362.84f	1,391.96
1/15	JOHN HANCOCK PREMIUM DIVID FD II	You sold Transaction cost: -\$0.04 Short-term gain: \$37.71	-100.000	13.92000	1,354.25f	1,391.96
1/15	JOHN HANCOCK PREMIUM DIVID FD II	You sold Transaction cost: -\$7.99 Short-term gain: \$26.90	-100.000	13.98000	1,363.11f	1,390.01
1/15	JOHN HANCOCK PREMIUM DIVID FD II	You sold Transaction cost: -\$7.99 Short-term gain: \$26.17	-100.000	13.97000	1,362.84f	1,389.01
1/15	JOHN HANCOCK PREMIUM DIVID FD II	You sold Transaction cost: -\$0.02 Short-term gain: \$14.10	-50.000	13.91000	681.38f	695.48
1/15	JOHN HANCOCK PREMIUM DIVID FD II	You sold Transaction cost: -\$0.01	-28.000	13.95000	381.58f	390.59



Investment Report

January 1, 2013 - January 31, 2013

Fidelity AccountSM HARRINGTON FAMILY FOUNDATION Transaction Details Investment Activity

Settlement Date	Security	Description	Quantity	Price per Unit	Cost Basis of Close	Transaction Amount
1/15	ISHARES TR MSCI EMERGING MKTS INDEX FD	Short-term gain: \$9.01 You bought	400.000	44.87560		-17,950.24
1/15	ISHARES TR MSCI EMERGING MKTS INDEX FD	You bought	600.000	44.87500		-26,925.00
1/16	GENERAL ELEC CAP CORP PFD INTERNTS 6 50% 08/15/2048	You sold Transaction cost: -\$0.21	-338.000	27.33000	9,206.43f	9,237.33
1/16	GENERAL ELEC CAP CORP PFD INTERNTS 6 50% 08/15/2048	Short-term gain: \$30.90 You sold Transaction cost: -\$8.02	-100.000	27.34000	2,723.90f	2,725.98
1/16	GENERAL ELEC CAP CORP PFD INTERNTS 6 50% 08/15/2048	Short-term gain: \$2.18 You sold Transaction cost: -\$0.04	-62.000	27.32000	1,688.75f	1,693.80
1/16	JOHN HANCOCK PREMIUM DIVID FD II	Short-term gain: \$5.05 You sold Transaction cost: -\$0.41	-1,300.000	13.91000	17,439.17f	18,082.59
1/16	JOHN HANCOCK PREMIUM DIVID FD II	Short-term gain: \$643.42 You sold Transaction cost: -\$8.36	-1,300.000	13.91400	17,267.90f	18,079.84
1/16	JOHN HANCOCK PREMIUM DIVID FD II	Short-term gain: \$811.94 You sold Transaction cost: -\$0.38	-1,200.000	13.91820	16,276.65f	16,701.46
1/16	JOHN HANCOCK PREMIUM DIVID FD II	Short-term gain: \$424.81 You sold Transaction cost: -\$0.25	-800.000	13.91010	10,838.26f	11,127.83
1/16	JOHN HANCOCK PREMIUM DIVID FD II	Short-term gain: \$289.57 You sold Transaction cost: -\$0.10	-300.000	13.92280	4,062.74f	4,176.74
1/16	JOHN HANCOCK PREMIUM DIVID FD II	Short-term gain: \$114.00				

0001

130131 0001 236140101 04 18 000
REME5DHG3033118 014016 0003/0005 00



Investment Report

January 1, 2013 - January 31, 2013

Fidelity Accountsm HARRINGTON FAMILY FOUNDATION

Transaction Details Investment Activity

Settlement Date	Security	Description	Quantity	Price per Unit	Cost Basis of Close	Transaction Amount
1/16	JOHN HANCOCK PREMIUM DIVID FD II	You sold Transaction cost: -\$0.04 Short-term gain: \$37.47	-100.000	13.91760	1,354.25f	1,391.72
1/16	NUVEEN PREFERRED INCOME OPPORTUNITIES FUND	You sold Transaction cost: -\$1.77 Short-term gain: \$1,513.03	-7,920.000	9.97000	77,447.60f	78,960.63
1/16	NUVEEN PREFERRED INCOME OPPORTUNITIES FUND	You sold Transaction cost: -\$1.15 Short-term gain: \$510.13	-5,111.000	9.96000	50,394.28f	50,904.41
1/16	NUVEEN PREFERRED INCOME OPPORTUNITIES FUND	You sold Transaction cost: -\$9.05 Short-term gain: \$698.02	-4,889.000	9.97240	48,047.99f	48,746.01
1/16	NUVEEN PREFERRED INCOME OPPORTUNITIES FUND	You sold Transaction cost: -\$0.36 Short-term gain: \$259.51	-1,580.000	9.98430	15,515.32f	15,774.83
1/16	NUVEEN PREFERRED INCOME OPPORTUNITIES FUND	You sold Transaction cost: -\$8.07 Short-term gain: \$77.02	-500.000	9.99000	4,909.91f	4,986.93
1/30	ISHARES TR MSCI EMERGING MKTS INDEX FD	You bought Transaction cost: -\$2.23 Short-term gain: \$584.31 Long-term gain: \$1,364.53	300.000	44.11500	-13,234.50	-13,234.50
1/30	ISHARES TR MSCI EMERGING MKTS INDEX FD	You bought	1,700.000	44.11600	-74,997.20	-74,997.20
1/30	JP MORGAN CHASE CAP X PFD 7% 02/15/2032	You sold Transaction cost: -\$2.23 Short-term gain: \$584.31 Long-term gain: \$1,364.53	-3,800.000	26.12990	97,342.55f	99,291.39



Investment Report

January 1, 2013 - January 31, 2013

Fidelity Accountsm HARRINGTON FAMILY FOUNDATION

Transaction Details

Investment Activity

Settlement Date	Security	Description	Quantity	Price per Unit	Cost Basis of Close	Transaction Amount
1/30	JP MORGAN CHASE CAP X PFD 7% 02/15/2032	You sold Transaction cost: -\$8.66 Long-term gain: \$769.14	-1,200.000	26.12000	30,566.20/	31,335.34
1/30	JPMORGAN CHASE CAP XXIX GTD CAP SECS SER CC 6 7% 04/02/2040	You sold Transaction cost: -\$8.54 Short-term loss: \$156.49	-1,000.000	26.21990	26,367.85/	26,211.36
1/30	MARKET VECTORS ETF TR AGRIBUSINESS ETF	You bought Transaction cost: -\$7.95	997.000	56.22000		-56,059.29
1/30	MARKET VECTORS ETF TR AGRIBUSINESS ETF	You bought	1,003.000	56.23000		-56,398.69
1/30	WELLS FARGO & CO NEW DEP SHS SER J PERP 8.00%	You sold Transaction cost: -\$3.26 Short-term loss: \$2,595.07	-4,900.000	29.64330	147,843.98/	145,248.91
1/30	WELLS FARGO & CO NEW DEP SHS SER J PERP 8.00%	You sold Transaction cost: -\$8.02	-100.000	29.64000	3,028.39/	2,955.98
1/31	CASH GENERAL ELEC CAP CORP PFD INTERNTS 6.50% 08/15/2048	Short-term loss: \$72.41 Interest earned You sold Transaction cost: -\$8.19	-391.000	26.81060	10,650.03/	2.75 10,474.75
1/31	GENERAL ELEC CAP CORP PFD INTERNTS 6.50% 08/15/2048	Short-term loss: \$175.28 You sold Transaction cost: -\$0.07	-109.000	26.80000	2,968.94/	2,921.13
1/31	JOHN HANCOCK PREMIUM DIVID FD II f - FIFO (First-In, First-Out)	Short-term loss: \$47.81 Dividend received				1,510.00

Cost basis and gain/loss information is provided as a service to our customers and is based on standards for filing US Federal Tax Returns as determined by Fidelity. This information is not intended to address tax law or reporting requirements applicable in your country of tax residence.



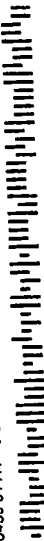


Investment Report

February 1, 2013 - February 28, 2013

Online Fidelity.com
FAST(sm)-Automated Telephone 800-544-5555
Customer Service 800-544-6666

00020439 01 AV 0 350 13020439 Envelope 135169893



HARRINGTON FAMILY FOUNDATION
ATTN: THOMAS EINAR HARRINGTON
105 FREMONT AVE STE B
LOS ALTOS CA 94022-3914

Fidelity Accountsm HARRINGTON FAMILY FOUNDATION

Account Summary

Beginning value as of Feb 1 \$1,017,269.01
Transaction costs, loads and fees -101.46
Change in investment value -22,092.19
Ending value as of Feb 28 \$995,075.36

Account trades from Mar 2012 - 78
Feb 2013

Income Summary

	This Period	Year to Date
Taxable	\$1,266.00	\$2,776.00
Dividends	4.99	426.49
Interest		
Total	\$1,270.99	\$3,202.49

Realized Gain/Loss from Sales

	This Period	Year to Date
Short-term gain	\$0.00	\$12,303.77
Short-term loss	-11,592.51	-15,510.27
St disallowed loss	910.53	1,781.23
Net short	-10,681.98	-1,425.27
Long-term gain	\$0.00	\$2,133.67

422,692.08 T2
10,681.98
Add 432,774.06 T2
Page 1 of 6

Transaction Details

(for holdings with activity this period)

Core Account - Cash

Description	Amount	Balance	Description	Amount	Balance
Beginning		\$685,186.01	Core account income	4.99	
Investment Activity			Income	1,266.00	
Securities bought	-\$655,291.72		Subtotal of Investment Activity	-\$31,928.65	
Securities sold	622,092.08		Ending		\$653,257.36

Investment Activity

Settlement Date	Security	Description	Quantity	Price per Unit	Cost Basis of Close	Transaction Amount
2/01	NUVEEN PREFERRED INCOME OPPORTUNITIES FUND	Dividend received				\$1,266.00
2/01	SPDR S&P 500 ETF	You bought	500.000	\$150.56830		-75,292.10
2/01	TRUST UNIT SER 1 S&P	Transaction cost: -\$7.95				
2/01	SPDR S&P 500 ETF	You bought	1,000.000	150.34000		-150,347.95
2/04	TRUST UNIT SER 1 S&P	Transaction cost: -\$7.95				
2/04	SPDR S&P 500 ETF	You sold	-1,000.000	150.04230		150,038.93
	TRUST UNIT SER 1 S&P	Transaction cost: -\$3.37			\$150,628.79/	
		Short-term loss: \$589.86*				
		Short-term disallowed loss: \$589.86*				





Investment Report

February 1, 2013 - February 28, 2013

Fidelity AccountSM HARRINGTON FAMILY FOUNDATION

Transaction Details

Investment Activity

Settlement Date	Security	Description	Quantity	Price per Unit	Cost Basis of Close	Transaction Amount
2/04	SPDR S&P 500 ETF TRUST UNIT SER 1 S&P	Wash sale of 1/30/13: \$589.86* You sold Transaction cost: -\$1.01 Short-term loss: \$163.67* Short-term disallowed loss: \$163.67* Wash sale of 1/30/13: \$163.67* You sold Transaction cost: -\$8.63 Short-term loss: \$117.17* Short-term disallowed loss: \$117.17* Wash sale of 1/30/13: \$117.17* You bought Transaction cost: -\$7.95 You sold Transaction cost: -\$2.85 Short-term loss: \$1,846.34 You sold Transaction cost: -\$0.10 Short-term loss: \$112.16 Short-term disallowed loss: \$112.16 Wash sale of 2/12/13: \$112.16 You sold Transaction cost: -\$0.63 Short-term loss: \$395.62 Short-term disallowed loss: \$390.80 Wash sale of 2/12/13: \$390.80 You sold Transaction cost: -\$8.58 Short-term loss: \$407.57 Short-term disallowed loss: \$407.57	-300.000	150.04200	45,175.26f	45,011.59
2/04	SPDR S&P 500 ETF TRUST UNIT SER 1 S&P		-200.000	150.04150	30,116.84f	29,999.67
2/07	SPDR GOLD TR GOLD SHS		1,000.000	162.15100		-162,158.95
2/15	ISHARES TR MSCI EMERGING MKTS INDEX FD		-2,900.000	43.75450	128,731.54f	126,885.20
2/15	ISHARES TR MSCI EMERGING MKTS INDEX FD		-100.000	43.75500	4,487.56f	4,375.40
2/15	MARKET VECTORS ETF TR AGRIBUSINESS ETF		-500.000	55.44290	28,116.44f	27,720.82
2/15	MARKET VECTORS ETF TR AGRIBUSINESS ETF		-500.000	55.43000	28,113.99f	27,706.42



Investment Report

February 1, 2013 - February 28, 2013

Fidelity AccountSM Z HARRINGTON FAMILY FOUNDATION

Transaction Details

Investment Activity

Settlement Date	Security	Description	Quantity	Price per Unit	Cost Basis of Close	Transaction Amount
2/21	SPDR GOLD TR GOLD SHS	Wash sale of 2/12/13: \$407.57 You sold Transaction cost: -\$11.46 Short-term loss: \$5,656.81	-1,000,000	156.51360	162,158.95/	156,502.14
2/25	INTUITIVE SURGICAL INC COM NEW	You bought Transaction cost: -\$7.95	100,000	574.49000		-57,456.95
2/26	MARKET VECTORS ETF TR AGRIBUSINESS ETF	You sold Transaction cost: -\$8.80 Short-term loss: \$2,214.77	-700,000	53.86630	39,912.38/	37,697.61
2/26	MARKET VECTORS ETF TR AGRIBUSINESS ETF	You sold Transaction cost: -\$0.25 Short-term loss: \$638.60	-200,000	53.85340	11,409.03/	10,770.43
2/26	MARKET VECTORS ETF TR AGRIBUSINESS ETF	You sold Transaction cost: -\$0.13 Short-term loss: \$320.64	-100,000	53.84000	5,704.51/	5,383.87
2/26	WEYERHAEUSER CO COM	You bought Transaction cost: -\$7.95	100,000	29.48800		-2,948.80
2/26	WEYERHAEUSER CO COM	You bought Transaction cost: -\$7.95	100,000	29.49800		-2,957.75
2/26	WEYERHAEUSER CO COM	You bought Transaction cost: -\$7.95	900,000	29.48690		-26,546.16
2/26	WEYERHAEUSER CO COM	You bought	900,000	29.49690		-26,547.21
2/27	SPDR S&P 500 ETF TRUST UNIT SER 1 S&P	You bought Transaction cost: -\$7.95	1,000,000	151.02790		-151,035.85
2/28	CASH I - FIFO (First-In, First-Out)	Interest earned				4.99

* This information has been previously reported and is reflected in year to date totals only.

Cost basis and gain/loss information is provided as a service to our customers and is based on standards for filing US Federal Tax Returns as determined by Fidelity. This information is not intended to address tax law or reporting requirements applicable in your country of tax residence.





Investment Report

January 1, 2013 - January 31, 2013

Fidelity Accountsm

HARRINGTON FAMILY FOUNDATION

Trades Pending Settlement on January 31, 2013

Trade Date	Settlement Date	Security	Description	Quantity	Price per Unit	Cost Basis of Close	Settlement Amount
1/29	2/01	SPDR S&P 500 ETF TRUST UNIT SER 1 S&P (SPY)	Bought	1,000,000	\$150.34000		-\$150,347.95
1/29	2/01	SPDR S&P 500 ETF TRUST UNIT SER 1 S&P (SPY)	Bought	500,000	150.56830		-75,292.10
1/30	2/04	SPDR S&P 500 ETF TRUST UNIT SER 1 S&P (SPY)	Sold	-200,000	150.04150	\$30,116.84f	29,999.67
			Short-term loss: \$117.17				
			Short-term disallowed loss: \$117.17				
			Wash sale of 1/30/13: \$117.17				
			Sold	-1,000,000	150.04230	150,628.79f	150,038.93
			Short-term loss: \$589.86				
			Sold	-300,000	150.04200	45,175.26f	45,011.59
			Short-term loss: \$163.67				
			Short-term disallowed loss: \$163.67				
			Wash sale of 1/30/13: \$163.67				

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No. 1545-1709

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only ☐*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns***Enter filer's identifying number, see instructions**

Type or print	Name of exempt organization or other filer, see instructions		Employer identification number (EIN) or
	HARRINGTON FAMILY FOUNDATION		26-1469601
	Number, street, and room or suite number. If a P.O. box, see instructions		Social security number (SSN)
	105 FREMONT AVENUE B		
File by the due date for filing your return. See instructions	City, town or post office, state, and ZIP code. For a foreign address, see instructions		
	LOS ALTOS, CA 94022		

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ THOMAS E. HARRINGTON

Telephone No. ▶ 650-941-6900 FAX No. ▶ _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 10/15, 20 13, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ▶ ☐ calendar year 20 _____ or
- ▶ ☒ tax year beginning 3/01, 20 12, and ending 2/28, 20 13

2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	2,800.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	2,800.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.