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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012, or tax year beginning 03-01-2012 , and ending 02-28-2013

Name of foundation OTTO H YORK FOUNDATION INC		A Employer identification number 22-5154083	
Number and street (or P O box number if mail is not delivered to street address) C/O MYRTH YORK 44 HAZARD AVENUE		B Telephone number (see instructions) (401) 421-0738	
City or town, state, and ZIP code PROVIDENCE, RI 02906		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 6,127,200		J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	234,374	234,374		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	38,260			
	b Gross sales price for all assets on line 6a _____ 2,343,828				
	7 Capital gain net income (from Part IV , line 2)		0		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	2,534	2,534		
	12 Total. Add lines 1 through 11	275,168	236,908		
	13 Compensation of officers, directors, trustees, etc	60,000	0		60,000
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	6,120	0		6,120
	c Other professional fees (attach schedule)	1,000	0		1,000
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	18,040	156		4,683
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	35,987	24,236		11,629
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	121,147	24,392		83,432
	25 Contributions, gifts, grants paid	221,610			221,610
	26 Total expenses and disbursements. Add lines 24 and 25	342,757	24,392		305,042
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-67,589			
	b Net investment income (if negative, enter -0-)		212,516		
	c Adjusted net income (if negative, enter -0-)				

Part II

Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

Assets	1	Cash—non-interest-bearing	249,415	253,222	253,222
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	5,108,853	5,037,457	5,873,978
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ 1,958 Less accumulated depreciation (attach schedule) ▶ _____ 1,958			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	5,358,268	5,290,679	6,127,200	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	5,358,268	5,290,679	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	0	0	
30	Total net assets or fund balances (see page 17 of the instructions)	5,358,268	5,290,679		
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	5,358,268	5,290,679		

Part III

Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	5,358,268
2	Enter amount from Part I, line 27a	2	-67,589
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	5,290,679
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,290,679

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	-24,062
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	186,085	5,176,701	0 035947
2010	129,473	3,204,813	0 040400
2009	139,114	2,661,586	0 052267
2008	157,438	2,815,543	0 055917
2007	130,000	3,372,527	0 038547

2 Total of line 1, column (d).	2	0 223078
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 044616
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5.	4	5,897,536
5 Multiply line 4 by line 3.	5	263,124
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	2,125
7 Add lines 5 and 6.	7	265,249
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	305,042

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
		Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	2,125
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3		Add lines 1 and 2.		3	2,125
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	2,125
6		Credits/Payments			
a		2012 estimated tax payments and 2011 overpayment credited to 2012	6a	7,700	
b		Exempt foreign organizations—tax withheld at source	6b		
c		Tax paid with application for extension of time to file (Form 8868)	6c		
d		Backup withholding erroneously withheld	6d		
7		Total credits and payments Add lines 6a through 6d.		7	7,700
8		Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	5,575
11		Enter the amount of line 10 to be Credited to 2013 estimated tax	5,575	Refunded	0

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?			No
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ 0 (2) On foundation managers \$ _____ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) RI _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶MYRTH YORK Telephone no ▶(401) 421-0738 Located at ▶44 HAZARD AVENUE PROVIDENCE RI ZIP +4 ▶02906			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MYRTH YORK	PRESIDENT	0	0	0
44 HAZARD AVENUE PROVIDENCE,RI 02906	2 00			
AMY GABARRA	TRUSTEE	0	0	0
19 BOYLSTON STREET PROVIDENCE,RI 02906	1 00			
DEBORAH M BRAYTON	TRUSTEE	60,000	0	0
127 TENTH STREET PROVIDENCE,RI 02906	1 00			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	0
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	0
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	5,651,076
b	Average of monthly cash balances.	1b	336,270
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	5,987,346
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	5,987,346
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	89,810
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	5,897,536
6	Minimum investment return. Enter 5% of line 5.	6	294,877

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	294,877
2a	Tax on investment income for 2012 from Part VI, line 5.	2a	2,125
b	Income tax for 2012 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	2,125
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	292,752
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	292,752
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	292,752

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	305,042
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	305,042
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	2,125
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	302,917
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				292,752
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only.			220,136	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2012				
a From 2007.				
b From 2008.				
c From 2009.				
d From 2010.				
e From 2011.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 305,042				
a Applied to 2011, but not more than line 2a			220,136	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2012 distributable amount.				84,906
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013.				207,846
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a.	0			
10 Analysis of line 9				
a Excess from 2008.				
b Excess from 2009.				
c Excess from 2010.				
d Excess from 2011.				
e Excess from 2012.				

Part XIV

1a

b

2a

Part XV

1

a

b

2

Check here ☐

a

b

C

d

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	221,610
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities.			14	234,374	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.	900000	2,534			
8 Gain or (loss) from sales of assets other than inventory			18	38,260	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. . .					
11 Other revenue a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e).		2,534		272,634	0
13 Total. Add line 12, columns (b), (d), and (e).			13		275,168

[illegible]

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

*****	2013-06-20	*****	May the IRS discuss this return with the preparer shown below (see instr)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input checked="checked" type="checkbox"/>	PTIN
	ELIZABETH M GELSON	ELIZABETH M GELSON	2013-06-20		P00071920
	Firm's name <input type="checkbox"/>	UNTRACHT EARLY LLC		Firm's EIN <input type="checkbox"/> 22-3754856	
		325 COLUMBIA TURNPIKE SUITE 202			
Firm's address <input type="checkbox"/>	FLORHAM PARK, NJ 07932		Phone no (973) 408-6700		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
EXXON MOBILE CORP - 1200 SHS	D	2011-05-13	2012-04-25
EXXON MOBILE CORP - 1200 SHS	D	2011-05-13	2013-01-14
EXXON MOBILE CORP - 560 SHS	D	2011-05-13	2013-01-25
MERCK & CO INC - 2,600 SHS	D	2011-05-13	2012-04-25
UST NTS 0 875% 022913	P	2011-08-02	2012-03-01
UST NTS 1 5% 073116	P	2011-08-08	2012-03-01
UST NTS 1 375% 03/15/13	P	2011-06-21	2012-03-01
FG A97618 4 5% 030141	P	2011-11-10	2012-04-05
FN 190346 5 5% 120133	P	2012-02-08	2012-04-16
FN 745343 5 5% 030136	P	2011-10-07	2012-04-16
FN 888283 5% 080134	P	2012-03-07	2012-04-16
FN 995676 4 5% 050139	P	2011-07-11	2012-04-16
FN 995722 5% 050138	P	2011-07-11	2012-04-16
FN AB1248 4 5% 070140	P	2011-09-09	2012-04-16
FN AI1888 4 5% 050141	P	2011-10-07	2012-04-16
FN AJ8203 4 5% 010142	P	2012-02-08	2012-04-16
BRO - BROWN AND BROWN INC COM	P	2012-05-01	2012-05-04
BA - BOEING CO	P	1997-05-22	2012-05-09
DCM - NTT DOCOMO INC ADR	P	2012-05-02	2012-05-09
GFA - GAFISA SA ADR	P	2012-05-02	2012-05-16
CPRT - COPART INC	P	2012-05-01	2012-05-29
LSTR - LANDSTAR SYSTEMS INC	P	2012-05-01	2012-05-29
NVO - NOVO NORDISK A S ADR	P	2012-05-02	2012-05-31
TPX - TEMPUR-PEDIC INTL INC	P	2012-05-04	2012-06-06
BRGY - BG GROUP PLC F	P	2012-05-02	2012-06-07
SUBCY - SUBSEA 7 INC	P	2012-05-02	2012-06-07
OGXPY - OGX PETROLEO E GAS ADR	P	2012-05-02	2012-06-08
LVMUY - LVMH MOET HENNESSY	P	2012-05-02	2012-06-18
DEO - DIAGEO PLC	P	2012-05-02	2012-06-19
PWE - PENN WEST PETROLEUM LTD	P	2012-05-02	2012-06-19

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BTE - BAYTEX ENERGY CORP	P	2012-05-02	2012-06-25
CS - CREDIT SUISSE GROUP F	P	2012-05-02	2012-06-27
BASFY - BASF SE SPONSORED ADR	P	2012-05-02	2012-06-28
PDS - PRECISION DRILLING CORP	P	2012-05-02	2012-06-28
SBGSY - SCHNEIDER ELECTRIC SA-	P	2012-05-02	2012-06-28
WFC J - WELLS FARGO & CO 8% PFD	P	2008-01-09	2012-07-06
UST 3 00% 7/15/12	P	2011-06-21	2012-07-16
UST 3 00% 7/15/13	P	2012-03-27	2012-07-16
RIO - RIO TINTO PLC	P	2012-05-02	2012-07-19
INT - WORLD FUEL SERVICE CORP	P	2012-05-01	2012-07-26
CEO - CNOOC LTD-ADR	P	2012-06-18	2012-07-27
TKGBY - TURKIYE GARA BANKASI-ADR	P	2012-05-02	2012-07-27
FHLMC 0 625% 122914	P	2012-02-16	2012-08-03
FHLMC 0 625% 122915	P	2012-02-22	2012-08-03
FNMA 0 75% 12/18/13	P	2011-06-21	2012-08-03
CMXHY - CSL LTD- UNSPON ADR	P	2012-05-02	2012-08-08
CMXHY - CSL LTD- UNSPON ADR	P	2011-10-07	2012-08-09
CMXHY - CSL LTD- UNSPON ADR	P	2011-07-11	2012-08-09
CMXHY - CSL LTD- UNSPON ADR	P	2012-05-01	2012-08-13
CMXHY - CSL LTD- UNSPON ADR	P	2012-05-01	2012-08-14
CHD - CHURCH & DWIGHT INC	P	2012-05-01	2012-08-16
NATI - NATL INSTRUMENTS CORP	P	2012-05-01	2012-08-16
VOD - VODAFONE GROUP SPONS ADR	P	2012-05-02	2012-08-16
VOD - VODAFONE GROUP SPONS ADR	P	2012-05-02	2012-08-20
SUBSEA 7 INC RTS	P	2012-08-29	2012-08-30
UST NTS 2% 021522	P	2012-02-28	2012-09-14
UST NTS 2% 021523	P	2012-02-29	2012-09-14
UST NTS 2% 021524	P	2012-03-01	2012-09-14
UST NTS 2% 021525	P	2012-03-20	2012-09-14
RUK - REED ELSEVIER PLC-SPOS	P	2012-05-02	2012-09-17

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SAGE GROUP PLC / UNSPON	P	2012-05-02	2012-09-17
TM - TOYOTA MTR CORP ADR F	P	2012-05-02	2012-09-17
UST NTS 2 125%081521	P	2011-09-13	2012-09-17
TSCDY - TESCO PLC	P	2012-05-02	2012-09-19
SDXAY - SODEXHO SPON ADR	P	2012-05-02	2012-09-20
SFTBY - SOFTBANK CORP ADR	P	2012-05-02	2012-09-20
BRDCY - BRIDGESTONE CORP LTD ADR	P	2012-05-02	2012-09-24
WOOF - VCA ANTECH INC	P	2012-05-01	2012-09-24
WOOF - VCA ANTECH INC	P	2012-05-02	2012-09-27
WOOF - VCA ANTECH INC	P	2012-05-02	2012-10-09
BZLFY - BUNZL PLC	P	2012-05-02	2012-10-17
BZLFY - BUNZL PLC	P	2012-05-02	2012-10-17
BZLFY - BUNZL PLC	P	2012-05-02	2012-11-02
EXPGY - EXPERIAN PLC	P	2012-05-02	2012-11-09
FG G04913 5% 030138	P	2011-11-10	2012-11-15
FN 934231 5% 010139	P	2011-10-11	2012-11-15
FN 735893 5% 100135	P	2012-07-10	2012-11-26
FN 888343 5% 110135	P	2012-09-07	2012-11-26
FN 888343 5% 110136	P	2011-07-11	2012-11-26
FG G06875 5 5% 120138	P	2012-08-07	2012-11-28
FG G06875 5 5% 120139	P	2011-10-07	2012-11-28
SAP - SAP AG SPONSORED ADR	P	2012-05-02	2012-12-06
SAP - SAP AG SPONSORED ADR	P	2012-05-02	2012-12-06
UST NTS 2% 111521	P	2012-01-26	2012-12-07
UST NTS 2% 111522	P	2012-01-27	2012-12-07
UST NTS 2% 111523	P	2012-01-31	2012-12-07
UST NTS 2% 111524	P	2012-07-02	2012-12-07
FMS - ADR FRESENIUS MED CRE AG	P	2012-05-02	2012-12-13
ALU - ALCATEL-LUCENT ADR	P	2012-05-02	2012-12-18
GG - GOLDCORP INC	P	2012-05-02	2012-12-19

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GG - GOLDCORP INC	P	2012-09-18	2012-12-19
GG - GOLDCORP INC	P	2012-05-02	2012-12-20
GG - GOLDCORP INC	P	2012-05-01	2012-12-21
FII - FEDERATED INVTS INC CL B	P	2012-05-01	2012-12-21
GE CAPITAL 5 875%2/18/33	P	2011-04-01	2012-12-21
GE CAPITAL 5 875%2/18/34	P	2011-04-01	2012-12-21
GE CAPITAL 5 875%2/18/35	P	2011-04-01	2012-12-21
GE CAPITAL 5 875%2/18/36	P	2011-04-01	2012-12-21
GE CAPITAL 5 875%2/18/37	P	2011-04-01	2012-12-21
GE CAPITAL 5 875%2/18/38	P	2011-04-01	2012-12-21
GE CAPITAL 5 875%2/18/39	P	2011-04-01	2012-12-21
GE CAPITAL 5 875%2/18/40	P	2011-04-01	2012-12-21
GE CAPITAL 5 875%2/18/41	P	2012-05-02	2012-12-31
HI - HILLENBRAND INC	P	2012-05-01	2013-01-09
FN AB4297 3 5% 010142	P	2012-01-10	2013-01-09
FN AB5468 3 5% 060142	P	2012-06-07	2013-01-09
FN AB5670 3 5% 070142	P	2012-10-05	2013-01-09
BAC L - BANK OF AMERICA 7 25%	P	2009-01-06	2013-01-14
MCHP - MICROCHIP TECHNOLOGY INC	P	2012-05-01	2013-01-14
AED - AEGON N V 6 5% PFD	P	2008-01-09	2013-01-25
AED - AEGON N V 6 5% PFD	P	2008-01-09	2013-01-25
AED - AEGON N V 6 5% PFD	P	2008-01-09	2013-01-25
AED - AEGON N V 6 5% PFD	P	2008-01-09	2013-01-25
AED - AEGON N V 6 5% PFD	P	2008-01-09	2013-01-25
BA - BOEING CO	P	1997-05-22	2013-01-25
BAC J - BANK OF AMER 7 25% PFD J	P	2007-11-28	2013-01-25
BAC J - BANK OF AMER 7 25% PFD J	P	2009-01-06	2013-01-25
DTK - DEUTSCHE BANK 7 60% PFD	P	2008-02-12	2013-01-25
DTK - DEUTSCHE BANK 7 60% PFD	P	2008-02-12	2013-01-25
EMC - EMC CORP	P	1997-12-09	2013-01-25

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EWJ - ISHARES MSCI JAPAN ETF	P	2011-04-01	2013-01-25
EWZ - ISHARES MSCI BRAZIL ETF	P	2011-04-01	2013-01-25
INTC - INTEL CORP	P	1997-05-22	2013-01-25
INTC - INTEL CORP	P	2009-06-01	2013-01-25
INTC - INTEL CORP	P	2009-09-01	2013-01-25
INTC - INTEL CORP	P	2009-12-01	2013-01-25
INTC - INTEL CORP	P	2010-03-01	2013-01-25
INTC - INTEL CORP	P	2010-06-01	2013-01-25
INTC - INTEL CORP	P	2010-09-01	2013-01-25
INTC - INTEL CORP	P	2010-12-01	2013-01-25
INTC - INTEL CORP	P	2011-03-01	2013-01-25
INTC - INTEL CORP	P	2011-06-01	2013-01-25
INTC - INTEL CORP	P	2011-09-01	2013-01-25
INTC - INTEL CORP	P	2011-12-01	2013-01-25
INTC - INTEL CORP	P	2012-03-01	2013-01-25
INTC - INTEL CORP	P	2012-06-01	2013-01-25
INTC - INTEL CORP	P	2012-09-04	2013-01-25
INTC - INTEL CORP	P	2012-12-03	2013-01-25
RBS L - ROYAL BK SCOTLAND 5 75%	P	2012-02-03	2013-01-25
RBS L - ROYAL BK SCOTLAND 5 75%	P	2012-02-03	2013-01-25
RBS L - ROYAL BK SCOTLAND 5 75%	P	2012-02-03	2013-01-25
RBS L - ROYAL BK SCOTLAND 5 75%	P	2012-02-03	2013-01-25
RBS L - ROYAL BK SCOTLAND 5 75%	P	2012-02-03	2013-01-25
RBS L - ROYAL BK SCOTLAND 5 75%	P	2012-02-03	2013-01-25
RBS L - ROYAL BK SCOTLAND 5 75%	P	2012-02-03	2013-01-25
RBS L - ROYAL BK SCOTLAND 5 75%	P	2012-02-03	2013-01-25
RBS L - ROYAL BK SCOTLAND 5 75%	P	2012-02-03	2013-01-25
RBS L - ROYAL BK SCOTLAND 5 75%	P	2012-02-03	2013-01-25
IIF - MS INDIA INVT FD INC	P	2011-04-01	2013-01-25
IIF - MS INDIA INVT FD INC	P	2011-04-01	2013-01-25

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ACCENTURE PLC IRELAND	P	2012-05-02	2013-01-28
AHONY - KONINKLIJKE AHOLD ADR	P	2012-05-02	2013-01-28
ARKAY - ARKEMA-SPONSORED ADR	P	2012-05-02	2013-01-28
BASFY - BASF SE SPONSORED ADR	P	2012-05-02	2013-01-28
BNS - BANK NOVA SCOTIA HALIFAX	P	2012-08-01	2013-01-28
BRGY - BG GROUP PLC F	P	2012-05-02	2013-01-28
CHKP - CHECK POINT SOFTWARE F	P	2012-08-21	2013-01-28
CIOXY - CIELO SA SPONSORED ADR	P	2012-12-11	2013-01-28
CRNCY - CAIRN ENRGY PLC UNSP ADR	P	2012-08-01	2013-01-28
CS - CREDIT SUISSE GROUP F	P	2012-05-02	2013-01-28
CVE - CENOVUS ENERGY INC	P	2012-05-02	2013-01-28
DBOEY - DEUTSCHE BOERSE AG-UNSP	P	2012-05-02	2013-01-28
DTEGY - DEUTSCHE TELEKOM AG	P	2012-05-02	2013-01-28
EC - ECOPETROL SA-SPON ADR	P	2012-06-22	2013-01-28
ERIC - ERICSSON (LM) TEL-SP ADR	P	2012-05-02	2013-01-28
FMS - ADR FRESENIUS MED CRE AG	P	2012-05-02	2013-01-28
GVDNY - GIVAUDAN-UNSPON-ADR	P	2012-05-02	2013-01-28
IAPLY - ICAP PLC SPONSORED ADR	P	2012-05-02	2013-01-28
JMHLY - JARDINE MATHSN HD UNADR	P	2013-01-25	2013-01-28
LNEG - LINDE AG SPON ADR LVL 1	P	2012-05-02	2013-01-28
LRLCY - L OREAL CO ADR	P	2012-05-02	2013-01-28
NRBAY - NORDEA BANK AB SPON ADR	P	2012-05-02	2013-01-28
NSRGY - NESTLE S A REG ADR	P	2012-05-02	2013-01-28
NVO - NOVO NORDISK A S ADR	P	2012-05-02	2013-01-28
NVS - NOVARTIS AG	P	2012-05-02	2013-01-28
PDRDY - PERNOD-RICARD SA-UNSPON	P	2012-06-19	2013-01-28
RHHBY - ROCHE HOLDINGS LTD ADR	P	2012-05-02	2013-01-28
SAFRY - SAFRAN SA-UNSPON ADR	P	2012-10-16	2013-01-28
SBGSY - SCHNEIDER ELECTRIC SA-	P	2012-05-02	2013-01-28
SBRCY - SBERBANK RUSSIA SPON ADR	P	2012-07-27	2013-01-28

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SDXAY - SODEXHO SPON ADR	P	2012-05-02	2013-01-28
SGSOY - SGS SOC GEN SURVEILL ADR	P	2012-05-02	2013-01-28
SHG - SHINHAN FINL GRP ADR	P	2012-05-02	2013-01-28
SUBCY - SUBSEA 7 INC	P	2012-05-02	2013-01-28
SVCBY - SVENSKA CELLULOSA AB F	P	2012-10-15	2013-01-28
UN - UNILEVER N V F	P	2012-05-02	2013-01-28
VLKPY - VOLKSWAGEN AG-SPONS ADR	P	2013-01-24	2013-01-28
VOD - VODAFONE GROUP SPONS ADR	P	2012-05-02	2013-01-28
WSH - WILLIS GROUP HOLDINGS	P	2012-05-02	2013-01-28
BUD - ANHEUSER BUSCH INBEV ADR	P	2012-05-02	2013-02-06
LFUGY - LI & FUNG LTD-UNSPON ADR	P	2012-12-14	2013-02-06
LFUGY - LI & FUNG LTD-UNSPON ADR	P	2013-01-28	2013-02-06
LFUGY - LI & FUNG LTD-UNSPON ADR	P	2012-05-02	2013-02-07
DCI - DONALDSON COMPANY INC	P	2012-05-01	2013-02-11
SIRO - SIRONA DENTAL SYSTEMS	P	2012-05-01	2013-02-13
SIRO - SIRONA DENTAL SYSTEMS	P	2012-05-01	2013-02-14
SIRO - SIRONA DENTAL SYSTEMS	P	2012-05-02	2013-02-15
SIRO - SIRONA DENTAL SYSTEMS	P	2013-02-11	2013-02-15
SIRO - SIRONA DENTAL SYSTEMS	P	2012-05-02	2013-02-19
SIRO - SIRONA DENTAL SYSTEMS	P	2013-02-11	2013-02-19
HCS - HSBC HOLDING PLC 8 125%	P	2013-02-19	2013-02-21
JPM C - JPM TRUST PFD XXIX 6 70%	P	2013-02-19	2013-02-20
AXAHY - AXA-ADR	P	2013-02-19	2013-02-21
TM - TOYOTA MTR CORP ADR F	P	2013-02-19	2013-02-21
BNS - BANK NOVA SCOTIA HALIFAX	P	2013-02-19	2013-02-21
HBC - HSBC HOLDINGS PLCSPONS	P	2013-02-19	2013-02-21
RBGLY - RECKITT BENCKSR SPON ADR	P	2013-02-19	2013-02-21
SU - SUNCOR ENERGY INC NEW	P	2013-02-19	2013-02-21
XSRAY - XSTRATA PLC -ADR	P	2013-02-19	2013-02-21
ABB - ABB LTD - SPONS ADR F	P	2013-02-19	2013-02-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
103,526		108,957	-5,431
106,981		108,957	-1,976
51,230		50,847	383
99,348		129,701	-30,353
9,909		9,343	566
40,216		39,807	409
20,241		20,352	-111
8,628		9,750	-1,122
12,951		13,814	-863
20,614		24,299	-3,685
13,449		13,848	-399
60,868		75,097	-14,229
6,860		8,446	-1,586
4,385		5,519	-1,134
1,659		1,990	-331
17,205		17,125	80
1,192		1,224	-32
22,078		15,056	7,022
1,035		1,048	-13
204		241	-37
1,060		1,035	25
756		757	-1
941		1,029	-88
776		1,953	-1,177
311		378	-67
238		311	-73
537		821	-284
359		407	-48
1,301		1,351	-50
539		676	-137

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
944		1,317	-373
304		384	-80
257		324	-67
695		1,034	-339
261		322	-61
113,399		97,619	15,780
63,950		65,281	-1,331
5,116		5,162	-46
1,266		1,508	-242
1,403		1,605	-202
197		201	-4
708		661	47
12,081		12,026	55
13,087		13,034	53
50,331		50,044	287
463		429	34
7,266		10,267	-3,001
11,588		15,903	-4,315
1,020		1,136	-116
2,318		2,503	-185
370		358	12
1,154		1,213	-59
176		168	8
985		1,017	-32
11		12	-1
19,383		19,180	203
17,343		17,005	338
4,081		3,985	96
6,121		5,800	321
468		405	63

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
286		264	22
574		560	14
59,322		57,713	1,609
334		313	21
320		324	-4
466		339	127
1,030		1,002	28
2,423		2,909	-486
233		203	30
326		294	32
266		261	5
1,300		1,289	11
873		829	44
366		356	10
3,182		5,067	-1,885
27,234		58,710	-31,476
26,977		32,571	-5,594
4,818		5,319	-501
25,100		40,420	-15,320
2,944		3,381	-437
80,587		133,129	-52,542
711		592	119
618		595	23
36,668		35,137	1,531
13,620		13,087	533
57,621		55,915	1,706
5,238		5,224	14
803		809	-6
575		633	-58
1,381		1,446	-65

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
218		279	-61
241		246	-5
1,257		1,114	143
3,461		3,859	-398
27,597		27,891	-294
25,108		25,355	-247
14,046		14,199	-153
3,511		3,550	-39
4,014		4,057	-43
3,512		3,552	-40
1,506		1,522	-16
3,514		3,548	-34
456		523	-67
2,507		2,239	268
49,036		58,687	-9,651
55,065		61,748	-6,683
1,006		1,059	-53
47,514		28,571	18,943
2,873		3,076	-203
60,671		53,619	7,052
21,841		19,294	2,547
26,205		23,135	3,070
2,529		2,233	296
2,529		2,239	290
105,040		70,263	34,777
73,450		73,784	-334
35,964		21,428	14,536
86,209		77,500	8,709
25,024		22,500	2,524
25,299		6,933	18,366

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
19,663		20,859	-1,196
14,167		19,964	-5,797
90,397		86,739	3,658
1,469		1,120	349
1,174		1,130	44
1,225		1,138	87
1,309		1,289	20
1,281		1,299	-18
1,529		1,308	221
1,293		1,320	-27
1,487		1,530	-43
1,445		1,542	-97
1,870		1,802	68
880		1,044	-164
818		1,052	-234
876		1,061	-185
964		1,146	-182
1,225		1,156	69
82,353		62,316	20,037
15,759		11,965	3,794
14,094		10,683	3,411
5,362		4,059	1,303
8,161		6,175	1,986
8,331		6,281	2,050
4,720		3,559	1,161
4,708		3,559	1,149
2,354		1,777	577
2,351		1,777	574
10,131		13,221	-3,090
4,875		6,366	-1,491

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
72		65	7
58		51	7
113		89	24
102		81	21
59		52	7
18		24	-6
50		50	0
56		54	2
46		46	0
29		23	6
33		35	-2
79		74	5
36		34	2
63		56	7
32		29	3
35		35	0
66		59	7
11		12	-1
66		66	0
146		134	12
30		24	6
93		78	15
70		61	9
182		147	35
68		55	13
24		20	4
109		91	18
47		39	8
92		74	18
100		78	22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
86		81	5
166		137	29
39		35	4
100		104	-4
48		36	12
81		69	12
50		49	1
54		56	-2
35		36	-1
1,656		1,386	270
788		1,032	-244
52		59	-7
488		492	-4
1,226		1,158	68
1,294		932	362
358		259	99
1,201		1,289	-88
444		463	-19
1,127		1,142	-15
387		404	-17
797		799	-2
1,119		1,119	0
139		149	-10
404		409	-5
411		408	3
492		509	-17
177		184	-7
1,980		2,021	-41
1,908		2,088	-180
268		276	-8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,655			4,655

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-5,431
			-1,976
			383
			-30,353
			566
			409
			-111
			-1,122
			-863
			-3,685
			-399
			-14,229
			-1,586
			-1,134
			-331
			80
			-32
			7,022
			-13
			-37
			25
			-1
			-88
			-1,177
			-67
			-73
			-284
			-48
			-50
			-137

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-373
			-80
			-67
			-339
			-61
			15,780
			-1,331
			-46
			-242
			-202
			-4
			47
			55
			53
			287
			34
			-3,001
			-4,315
			-116
			-185
			12
			-59
			8
			-32
			-1
			203
			338
			96
			321
			63

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			22
			14
			1,609
			21
			-4
			127
			28
			-486
			30
			32
			5
			11
			44
			10
			-1,885
			-31,476
			-5,594
			-501
			-15,320
			-437
			-52,542
			119
			23
			1,531
			533
			1,706
			14
			-6
			-58
			-65

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-61
			-5
			143
			-398
			-294
			-247
			-153
			-39
			-43
			-40
			-16
			-34
			-67
			268
			-9,651
			-6,683
			-53
			18,943
			-203
			7,052
			2,547
			3,070
			296
			290
			34,777
			-334
			14,536
			8,709
			2,524
			18,366

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,196
			-5,797
			3,658
			349
			44
			87
			20
			-18
			221
			-27
			-43
			-97
			68
			-164
			-234
			-185
			-182
			69
			20,037
			3,794
			3,411
			1,303
			1,986
			2,050
			1,161
			1,149
			577
			574
			-3,090
			-1,491

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			7
			7
			24
			21
			7
			-6
			0
			2
			0
			6
			-2
			5
			2
			7
			3
			0
			7
			-1
			0
			12
			6
			15
			9
			35
			13
			4
			18
			8
			18
			22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5
			29
			4
			-4
			12
			12
			1
			-2
			-1
			270
			-244
			-7
			-4
			68
			362
			99
			-88
			-19
			-15
			-17
			-2
			0
			-10
			-5
			3
			-17
			-7
			-41
			-180
			-8


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4,655

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ASZZOPROVIDENCE STUDENT UNION 407 SMITH STREET PROVIDENCE,RI 02908	NONE	PUBLIC	GENERAL UNRESTRICTED	10,000
BREAKTHROUGH PROVIDENCE 216 HOPE STREET PROVIDENCE,RI 02908	NONE	PUBLIC	GENERAL UNRESTRICTED	10,000
CAMFED USA FOUNDATION 465 CALIFORNIA ST STE 626 SAN FRANCISCO,CA 94101	NONE	PUBLIC	GENERAL UNRESTRICTED	5,000
COLLEGE VISIONS 131 WASHINGTON STREET PROVIDENCE,RI 02903	NONE	PUBLIC	GENERAL UNRESTRICTED	25,000
INSPIRING MINDS 763 WESTMINSTER STREET PROVIDENCE,RI 02903	NONE	PUBLIC	GENERAL UNRESTRICTED	20,000
INSTITUTE FOR STUDYPRACTICE OF NONVIOLENCE 265 OXFORD STREET PROVIDENCE,RI 02905	NONE	PUBLIC	GENERAL UNRESTRICTED	10,000
NEW URBAN ARTS 705 WESTMINSTER STREET PROVIDENCE,RI 02903	NONE	PUBLIC	GENERAL UNRESTRICTED	20,000
PLANNED PARENTHOOD OF SE NE 345 WHITNEY AVENUE NEW HAVEN,CT 06511	NONE	PUBLIC	GENERAL UNRESTRICTED	20,000
PROVIDENCE COMMUNITY LIBRARY PO BOX 9267 PROVIDENCE,RI 02940	NONE	PUBLIC	GENERAL UNRESTRICTED	20,000
PRYSM 669 ELMWOOD AVE 19 PROVIDENCE,RI 02907	NONE	PUBLIC	GENERAL UNRESTRICTED	10,000
SOIL 124 CHURCH ROAD SHERBURNE,NY 13460	NONE	PUBLIC	GENERAL UNRESTRICTED	2,500
SOPHIA ACADEMY 979 BRANCH AVENUE PROVIDENCE,RI 02904	NONE	PUBLIC	GENERAL UNRESTRICTED	10,000
THE STEEL YARD 27 SIMS AVENUE PROVIDENCE,RI 02909	NONE	PUBLIC	GENERAL UNRESTRICTED	13,000
TOSTAN 2121 DECATUR PLACE NW WASHINGTON,DC 20008	NONE	PUBLIC	GENERAL UNRESTRICTED	2,500
TRINITY REPERTORY COMPANY 201 WASHINGTON STREET PROVIDENCE,RI 02903	NONE	PUBLIC	GENERAL UNRESTRICTED	15,960

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
VARTAN GREGORIAN PTO PO BOX 603131 PROVIDENCE,RI 02906	NONE	PUBLIC	GENERAL UNRESTRICTED	1,500
VSA ARTS RHODE ISLAND 500 PROSPECT STREET PAWTUCKET,RI 02860	NONE	PUBLIC	GENERAL UNRESTRICTED	8,000
WOMEN'S FUND OF RHODE ISLAND ONE UNION STATION PROVIDENCE,RI 02903	NONE	PUBLIC	GENERAL UNRESTRICTED	6,150
YOUTH IN ACTION 672 BROAD STREET PROVIDENCE,RI 02907	NONE	PUBLIC	GENERAL UNRESTRICTED	12,000
Total  3a				221,610

TY 2012 Accounting Fees Schedule

Name: OTTO H YORK FOUNDATION INC

EIN: 22-5154083

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	6,120	0		6,120

**TY 2012 Investments Corporate
Stock Schedule****Name:** OTTO H YORK FOUNDATION INC**EIN:** 22-5154083

Name of Stock	End of Year Book Value	End of Year Fair Market Value
THROUGH WELLS FARGO #6981	2,304,880	2,949,627
TRHOUGH WELLS FARGO #9999	1,828,482	2,011,109
THROUGH WELLS FARGO #0016	91,070	97,555
THROUGH WELLS FARGO #6998	129,168	126,657
THROUGH WELLS FARGO #8011	408,922	408,168
THROUGH WELLS FARGO #8817	146,840	143,989
THROUGH WELLS FARGO #9442	128,095	136,873

TY 2012 Other Expenses Schedule**Name:** OTTO H YORK FOUNDATION INC**EIN:** 22-5154083

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	24,226	24,226		0
RI FILING FEE	20	10		10
PROFESSIONAL DUES	695	0		695
OFFICE EXPENSES	239	0		125
NON-DEDUCTIBLE EXPENSES FROM K-1S	7	0		0
PAYROLL FEES	1,281	0		1,281
WORKERS COMPENSATION INSURANCE	379	0		379
WORKERS COMPENSATION WAIVER FEE	5	0		5
HEALTH INSURANCE	9,134	0		9,134
PENALTIES	1	0		0

TY 2012 Other Income Schedule

Name: OTTO H YORK FOUNDATION INC

EIN: 22-5154083

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ORDINARY LOSS FROM K-1 BUCKEYE PARTNERS LP	-948	-948	-948
ORDINARY INCOME FROM K-1 KKR & CO LP	3,482	3,482	3,482

TY 2012 Other Professional Fees Schedule

Name: OTTO H YORK FOUNDATION INC

EIN: 22-5154083

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TRUSTEE FEE	1,000	0		1,000

TY 2012 Taxes Schedule**Name:** OTTO H YORK FOUNDATION INC**EIN:** 22-5154083

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAXES	13,201	0		0
FOREIGN TAXES	156	156		0
PAYROLL TAXES	4,683	0		4,683