Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning 03/01, **2012, and ending** 02/28, 20 13

Name of foundation
BRUMBERGER GRUBER FOUNDATIONS, INC

A Employer identification number
~~16-6035604~~ 11-6035604

Number and street (or P O box number if mail is not delivered to street address)
C/O MICHAEL K. BRAUNSTEIN & COMPANY
236 WEST 30TH ST

Room/suite
7TH FL

B Telephone number (see instructions)
(212) 687-3939

City or town, state, and ZIP code
NEW YORK, NY 10001

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply
☐ Initial return
☐ Initial return of a former public charity
☐ Final return
☐ Amended return
☒ Address change
☒ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 624,237.

J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) ________
(Part I, column (d) must be on cash basis)

	Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc , received (attach schedule)				
2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	11,276.	11,276.		ATCH 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	9,755.			
b	Gross sales price for all assets on line 6a 185,659.				
7	Capital gain net income (from Part IV, line 2)		9,755.		
8	Net short-term capital gain			2,152.	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	**Total.** Add lines 1 through 11	21,031.	21,031.	2,152.	
13	Compensation of officers, directors, trustees, etc	0			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) ATCH 2	1,400.			1,400.
c	Other professional fees (attach schedule) *	2,493.	2,493.		
17	Interest				
18	Taxes (attach schedule) (see instructions) ATCH 4	206.			
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule) ATCH 5	50.			
24	**Total operating and administrative expenses.** Add lines 13 through 23	4,149.	2,493.		1,400.
25	Contributions, gifts, grants paid	37,010.			37,010.
26	**Total expenses and disbursements.** Add lines 24 and 25	41,159.	2,493.	0	38,410.
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	-20,128.			
b	**Net investment income** (if negative, enter -0-)		18,538.		
c	**Adjusted net income** (if negative, enter -0-)			2,152.	

Revenue

Operating and Administrative Expenses

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JSA For Paperwork Reduction Act Notice, see instructions. *ATCH 3

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	39,578.	45,219.	45,219.
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 6	81,745.	55,976.	579,018.
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule) ATCH 7			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	121,323.	101,195.	624,237.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	121,323.	101,195.	
	30	**Total net assets or fund balances** (see instructions)	121,323.	101,195.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	121,323.	101,195.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	121,323.
2	Enter amount from Part I, line 27a	2	-20,128.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	101,195.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	101,195.

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	9,755.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	2,152.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	29,085.	628,601.	0.046269
2010	27,835.	607,255.	0.045837
2009	27,275.	500,971.	0.054444
2008	36,225.	596,438.	0.060736
2007	37,030.	754,492.	0.049079

2 **Total** of line 1, column (d)	2	0.256365
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.051273
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	617,718.
5 Multiply line 4 by line 3	5	31,672.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	185.
7 Add lines 5 and 6	7	31,857.
8 Enter qualifying distributions from Part XII, line 4	8	38,410.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	185.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	185.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	185.
6	Credits/Payments.		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	0
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	185.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation ▶ $ ____________ (2) On foundation managers ▶ $ ____________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either. • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13		X

Website address ▶ N/A

14 The books are in care of ▶ MICHAEL K. BRAUNSTEIN Telephone no ▶ 212-687-3939

Located at ▶236 WEST 30TH ST NEW YORK, NY ZIP+4 ▶ 10001

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☐ No If "Yes," list the years ▶			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	X	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 8		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services . . . . ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes:		
a	Average monthly fair market value of securities	1a	584,726.
b	Average of monthly cash balances	1b	42,399.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	627,125.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	627,125.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	9,407.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	617,718.
6	**Minimum investment return.** Enter 5% of line 5	6	30,886.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	30,886.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	185.		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	185.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	30,701.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	30,701.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	30,701.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	38,410.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	38,410.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	185.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	38,225.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7					30,701.
2 Undistributed income, if any, as of the end of 2012					
a Enter amount for 2011 only				4,548.	
b Total for prior years 20 10 ,20 09 ,20 08			2,547.		
3 Excess distributions carryover, if any, to 2012:					
a From 2007					
b From 2008					
c From 2009					
d From 2010					
e From 2011					
f Total of lines 3a through e					
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ $ 38,410.					
a Applied to 2011, but not more than line 2a				4,548.	
b Applied to undistributed income of prior years (Election required - see instructions)					
c Treated as distributions out of corpus (Election required - see instructions)					
d Applied to 2012 distributable amount					30,701.
e Remaining amount distributed out of corpus		3,161.			
5 Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5		3,161.			
b Prior years' undistributed income. Subtract line 4b from line 2b			2,547.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b. Taxable amount - see instructions			2,547.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions					
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013					
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)					
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a		3,161.			
10 Analysis of line 9					
a Excess from 2008					
b Excess from 2009					
c Excess from 2010					
d Excess from 2011					
e Excess from 2012	3,161.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . .					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
PER SCHEDULE ATTACHED				37,010.
Total . . . . ▶ 3a				37,010.
b Approved for future payment				
Total . . . . ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		11,276.			
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory		9,755.			
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		21,031.			

13 Total. Add line 12, columns (b), (d), and (e) . . . . 13 21,031.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶	6/21/13	▶ SEC/TREASURER
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
		6/21/13		P00362767

Firm's name ▶ MICHAEL K. BRAUNSTEIN & COMPANY

Firm's EIN ▶ 42-1608463

Firm's address ▶ 236 WEST 30TH ST. 7TH FLOOR
NEW YORK, NY 10001

Phone no 212-687-3939

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					342.	
		PER SCHEDULE ATTACHED PROPERTY TYPE: SECURITIES				P		
66,104.		63,952.					2,152.	
TOTAL GAIN(LOSS)							9,755.	

ATTACHMENT 1

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FIDELITY		27.	27.
SANFORD BERNSTEIN		11,249.	11,249.
	TOTAL	11,276.	11,276.

ATTACHMENT 2

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
MICHAEL BRAUNSTEIN & CO	1,400.			
TOTALS	1,400.			

ATTACHMENT 3

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INVESTMENT FEES		2,493.	2,493.
	TOTALS	2,493.	2,493.

ATTACHMENT 4

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS
FOREIGN TAXES	206.
TOTALS	206.

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS
NY DEPT OF LAW		50.
	TOTALS	50.

ATTACHMENT 6

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
BERNSTEIN ACCOUNT	55,979.	579,018.
TOTALS	55,979.	579,018.

ATTACHMENT 7

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION

FIDELITY

TOTALS

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 8

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION		
MICHAEL BRAUNSTEIN 236 WEST 30TH STREET-7TH FLOOR NEW YORK, NY 10001	SECRETARY/TREASURER	0	0	0
	GRAND TOTALS	0		

BRUMBERGER FOUNDATION
2012 CONTRIBUTIONS

DATE	NAME OF CHARITY	STATE	AMOUNT CONTRIBUTED
2012	Irving Schools Foundation	TX	1,000.00
2012	College Park Foundation	MD	1,000.00
2012	The Grammy Foundation	CA	500.00
2012	V-Day	NY	1,000.00
2012	Arthritis Foundation	MD	3,500.00
2012	Be the Match Foundation	MN	1,500.00
2012	Make-A-Wish Foundation	MN	1,500.00
2012	Saint Judes Children's Hospital	TN	2,000.00
2012	Chris 4 Life	VA	5,000.00
2012	Winship Cancer Institute of Emory University	GA	1,000.00
	TOTAL CONTRIBUTIONS		**18,000.00**

BRUMBERGER FOUNDATION
2012 CONTRIBUTIONS

DATE	NAME OF CHARITY	STATE	AMOUNT CONTRIBUTED
2012	MOMA Museum of Modern Arts	NY	85.00
2012	Hewlett PTA Scholarship Fund	NY	100.00
2012	Southampton Hospice	NY	100.00
2012	Temple Beth El	NY	3,000.00
2012	Hole In Wall Gang	CT	100.00
2012	Scripps Foundation	FL	400.00
2012	Jupiter Hospital Foundation	FL	1,000.00
2012	A.D.L. Anti Defamation	Wash D.C.	1,000.00
2012	Atlantic Beach Rescue	NY	500.00
2012	Long Beach Fire Dept	NY	150.00
2012	Salvation Army	NY	250.00
2012	UJA Federation	NY	1,500.00
2012	Simon Weisenthal Foundation	Calif	1,000.00
2012	Jewish Foundation Group Homes	MD	300.00
2012	American Red Cross	NY	300.00
2012	Juvenile Diabetes	NY	500.00
2012	Friends of Israel Defences	NY	500.00
2012	D.A.V. Disabled Vets	Ohio	100.00
2012	St. Francis Hospital Foundation	Wash D.C.	200.00
2012	U.S.O	Wash D.C.	200.00
2012	Holocaust Museum	Wash D.C.	200.00
2012	Heritage Foundation	FL	150.00
2012	P.B. Gardens Fire	FL	150.00
2012	P B. Gardens Police	FL	150.00
2012	American Friends Magen David	NY	500.00
2012	American Friends Hebrew Univ	NY	200.00
2012	Z.O.A. Zionist Foundation	NY	100.00
2012	World Jewish Congress	NY	200.00
2012	Jostens	LA	325.00
2012	United Jewish Federation	LA	1,000.00
2012	TJ Martel Foundation	LA	1,000.00
2012	Westside Germa Shepard Rescues	LA	500.00
2012	Beit T'Shurah	LA	3,250.00
	TOTAL CONTRIBUTIONS		**19,010.00**

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041.*

OMB No 1545-0092

2012

Name of estate or trust: · BRUMBERGER GRUBER FOUNDATIONS, INC

Employer identification number: 16-6035604

Note: *Form 5227 filers need to complete only Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

	(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a						

b	Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	2,152.
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4	Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet	4	( )
5	**Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	2,152.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a						

b	Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	7,261.
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9	Capital gain distributions	9	342.
10	Gain from Form 4797, Part I	10	
11	Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet	11	( )
12	**Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶	12	7,603.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Part III **Summary of Parts I and II** **Caution:** *Read the instructions **before** completing this part.*		(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
13 **Net short-term gain or (loss)**	13			2,152.
14 **Net long-term gain or (loss):**				
a Total for year	14a			7,603.
b Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b			
c 28% rate gain	14c			
15 **Total net gain or (loss).** Combine lines 13 and 14a ▶	15			9,755.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet,** as necessary*

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 15, column (3) or b $3,000 . . . 16 ( )

Note: *If the loss on line 15, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:*

- *Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col (2) or line 14c, col. (2) is more than zero

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			17			
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18					
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19					
20	Add lines 18 and 19	20					
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	21					
22	Subtract line 21 from line 20 If zero or less, enter -0-			22			
23	Subtract line 22 from line 17. If zero or less, enter -0-			23			
24	Enter the **smaller** of the amount on line 17 or $2,400			24			
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ **No.** Enter the amount from line 23			25			
26	Subtract line 25 from line 24			26			
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30; go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22			27			
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)			28			
29	Subtract line 28 from line 27			29			
30	Multiply line 29 by 15% (.15)					30	
31	Figure the tax on the amount on line 23 Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					31	
32	Add lines 30 and 31					32	
33	Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)					34	

SCHEDULE D-1 (Form 1041)

Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.
▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*.

OMB No 1545-0092

2012

Name of estate or trust: BRUMBERGER GRUBER FOUNDATIONS, INC

Employer identification number: 16-6035604

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a	PER SCHEDULE ATTACHED			66,104.	63,952.	2,152.

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . . 2,152.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D-1 (Form 1041) 2012**

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side	**Employer identification number**

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo, day, yr)	(c) Date sold (mo, day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a	PER SCHEDULE ATTACHED			119,555.	112,294.	7,261.

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . . 7,261.

Capital Gains Report

BRUMBERGER FOUNDATION INC. (Account: 888-36240)

January 1, 2012 through December 31, 2012

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
01/25/2012	08/23/2012	10	LAS VEGAS SANDS CORP	43.29	48.96	432.93	489.63	-56.70
01/25/2012	09/06/2012	10	FREEPORT-MCMORAN COPPER	36.21	43.80	362.10	437.99	-75.89
10/06/2011	09/12/2012	30	APPLIED MATERIALS INC	11.59	10.65	347.79	319.49	28.30
10/21/2011	09/12/2012	10	MOODY'S CORP	43.55	31.29	435.48	312.90	122.58
01/09/2012	09/12/2012	5	VERTEX PHARMACEUTICALS INC	55.63	35.29	278.14	176.46	101.68
11/07/2011	09/13/2012	15	LAM RESEARCH CORP	33.70	43.29	505.50	649.42	-143.92
10/20/2011	09/13/2012	50	NEWELL RUBBERMAID INC	18.98	13.08	949.16	654.20	294.96
10/05/2011	09/21/2012	15	CENTURYLINK INC	41.95	32.12	629.31	481.85	147.46
05/16/2012	09/21/2012	5	OCEANEERING INTL INC	57.09	48.56	285.46	242.78	42.68
01/05/2012	09/24/2012	10	WELLS FARGO & COMPANY	34.98	29.08	349.81	290.82	58.99
04/23/2012	09/24/2012	15	WELLS FARGO & COMPANY	34.98	32.67	524.71	490.09	34.62
09/28/2011	09/27/2012	20	GENERAL MOTORS CO	23.29	21.29	465.74	425.87	39.87
11/29/2011	10/02/2012	3	PERRIGO INC	117.35	97.31	352.05	291.94	60.11
02/29/2012	10/02/2012	5	PERRIGO INC	117.35	102.91	586.76	514.56	72.20
06/12/2012	10/03/2012	35	INTEL CORP	22.55	26.46	789.25	926.21	-136.96
05/01/2012	10/10/2012	30	LEUCADIA NATIONAL CO	22.32	25.53	669.59	765.92	-96.33
12/21/2011	10/17/2012	21	APOLLO GROUP INC-CL A	21.83	52.03	458.47	1,092.65	-634.18
05/07/2012	10/17/2012	9	APOLLO GROUP INC-CL A	21.83	33.76	196.48	303.80	-107.32
02/14/2012	10/24/2012	3	NATIONAL - OILWELL INC	77.33	83.52	232.00	250.57	-18.57
11/15/2011	10/26/2012	15	GENERAL MOTORS CO	23.33	23.06	349.93	345.83	4.10
03/29/2012	10/31/2012	4	JPMORGAN CHASE & CO	41.40	45.67	165.62	182.67	-17.05
10/05/2012	11/07/2012	6	MEAD JOHNSON NUTRITION CO	66.33	72.89	398.01	437.37	-39.36
10/09/2012	11/07/2012	3	MEAD JOHNSON NUTRITION CO	66.33	71.80	199.00	215.39	-16.39
06/18/2012	11/08/2012	10	INTEL CORP	21.00	27.44	209.99	274.39	-64.40
06/20/2012	11/08/2012	5	INTEL CORP	21.00	27.56	104.99	137.78	-32.79
06/20/2012	11/13/2012	25	INTEL CORP	20.55	27.56	513.74	688.89	-175.15
07/02/2012	11/13/2012	20	MORGAN STANLEY	16.78	14.68	335.58	293.60	41.98
05/02/2012	11/19/2012	15	EMERSON ELECTRIC CO	48.85	49.33	732.71	740.02	-7.31
09/28/2012	11/19/2012	5	EMERSON ELECTRIC CO	48.85	48.20	244.23	241.02	3.21
12/06/2011	12/05/2012	5	JOHNSON & JOHNSON	69.74	63.57	348.69	317.85	30.84
02/08/2012	12/05/2012	5	JOHNSON & JOHNSON	69.74	65.26	348.68	326.29	22.39
04/17/2012	12/10/2012	5	COACH INC	56.72	74.69	283.58	373.47	-89.89
05/16/2012	12/10/2012	6	LAS VEGAS SANDS CORP	43.65	48.88	261.93	293.28	-31.35
06/01/2012	12/10/2012	10	LAS VEGAS SANDS CORP	43.65	43.45	436.54	434.50	2.04
11/08/2012	12/10/2012	4	LAS VEGAS SANDS CORP	43.65	43.01	174.62	172.04	2.58
07/02/2012	12/12/2012	40	MORGAN STANLEY	17.75	14.68	710.09	587.21	122.88
07/06/2012	12/12/2012	20	MORGAN STANLEY	17.75	14.34	355.04	286.71	68.33
05/07/2012	12/14/2012	15	GENERAL MOTORS CO	24.72	22.31	370.74	334.62	36.12
04/17/2012	12/19/2012	8	COACH INC	59.82	74.69	478.53	597.55	-119.02
04/26/2012	12/19/2012	3	COACH INC	59.82	72.53	179.45	217.59	-38.14
05/16/2012	12/20/2012	5	OCEANEERING INTL INC	53.69	48.55	268.44	242.77	25.67
05/22/2012	12/20/2012	1	OCEANEERING INTL INC	53.69	47.82	53.69	47.82	5.87
07/10/2012	12/21/2012	1	CHIPOTLE MEXICAN GRILL-CL A	287.09	382.23	287.09	382.23	-95.14
09/14/2012	12/27/2012	15	FORD MOTOR CO	12.57	10.52	188.54	157.79	30.75
			SUBTOTAL FOR SHORT-TERM NON-COVERED			74,908.22	74,146.73	761.49
			1/1/12 - 2/28/12			(26,037.11)	(25,420.84)	(616.27)
			3/1/12 - 12/31/12			48,871.11	48,725.89	145.22
			1/1/13 - 2/28/13			17,232.46	15,226.34	2006.12
			3/1/12 - 2/28/13			66,103.57	63,952.23	2151.34

Capital Gains Report

BRUMBERGER FOUNDATION INC. (Account: 888-36240)

January 1, 2012 through December 31, 2012

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
08/01/2011	02/23/2012	15	CBRE GROUP INC	18.43	21.88	276.44	328.18	-51.74
02/23/2011	02/23/2012	12	CITIGROUP INC	32.80	48.94	393.66	587.31	-193.65
03/01/2011	02/23/2012	3	CITIGROUP INC	32.80	46.40	98.41	139.21	-40.80
02/28/2011	02/23/2012	5	DEVON ENERGY CORPORATION	74.85	91.01	374.24	455.04	-80.80
05/24/2011	02/23/2012	5	DEVON ENERGY CORPORATION	74.85	81.48	374.24	407.39	-33.15
09/09/2011	02/23/2012	10	DOLLAR GENERAL CORP	41.91	34.65	419.12	346.47	72.65
05/13/2011	02/23/2012	15	GENERAL MILLS INC	38.75	39.70	581.21	595.47	-14.26
12/12/2011	02/23/2012	6	ILLUMINA INC	51.27	27.16	307.61	162.95	144.66
10/21/2011	02/23/2012	10	HARLEY DAVIDSON INC	46.88	37.13	468.81	371.28	97.53
11/02/2011	02/23/2012	5	HARLEY DAVIDSON INC	46.88	38.69	234.41	193.47	40.94
08/24/2011	02/23/2012	5	LORILLARD INC	129.01	110.93	645.05	554.65	90.40
03/25/2011	02/23/2012	10	MOODY'S CORP	39.01	32.82	390.06	328.23	61.83
03/14/2011	02/23/2012	5	MONSANTO CO	77.50	66.64	387.50	333.21	54.29
04/04/2011	02/23/2012	5	MONSANTO CO	77.50	74.04	387.49	370.19	17.30
04/25/2011	02/23/2012	15	METLIFE INC	38.12	44.16	571.79	662.47	-90.68
04/07/2011	02/23/2012	6	***POTASH CORP OF SASKATCHEWAN	46.50	59.23	279.00	355.37	-76.37
08/18/2011	02/23/2012	1	ROCKWELL AUTOMATION INC	81.31	57.01	81.31	57.01	24.30
06/30/2011	02/23/2012	5	UNITEDHEALTH GROUP INC	54.94	51.77	274.69	258.83	15.86
07/11/2011	02/23/2012	10	UNITEDHEALTH GROUP INC	54.94	51.10	549.39	511.05	38.34
08/04/2011	02/23/2012	3	VISA INC - CLASS A SHRS	115.95	85.68	347.85	257.03	90.82
06/08/2011	02/28/2012	25	GENERAL MOTORS CO	26.24	28.91	655.98	722.71	-66.73
01/11/2012	03/13/2012	30	***NEXEN INC	19.97	17.75	599.12	532.41	66.71
12/09/2011	03/20/2012	4	GOLDMAN SACHS GROUP INC	126.74	102.69	506.98	410.78	96.20
03/25/2011	03/20/2012	10	MOODY'S CORP	41.68	32.82	416.79	328.23	88.56
08/01/2011	03/09/2012	15	CBRE GROUP INC	19.15	21.88	287.24	328.18	-40.94
09/14/2011	03/09/2012	20	CBRE GROUP INC	19.15	14.61	382.99	292.11	90.88
03/21/2011	03/21/2012	5	DTE ENERGY COMPANY	54.38	47.64	271.91	238.21	33.70
03/22/2011	03/21/2012	5	DTE ENERGY COMPANY	54.38	47.63	271.91	238.13	33.78
09/06/2011	03/21/2012	6	LAS VEGAS SANDS CORP	57.72	44.93	346.35	269.56	76.79
05/16/2011	03/22/2012	5	***TRANSOCEAN LTD	55.07	69.12	275.33	345.62	-70.29
06/06/2011	03/26/2012	10	METLIFE INC	38.27	41.51	382.70	415.10	-32.40
08/29/2011	03/26/2012	10	METLIFE INC	38.27	33.24	382.70	332.43	50.27
10/06/2011	03/27/2012	13	DOW CHEMICAL	35.52	24.94	461.77	324.19	137.58
12/12/2011	04/04/2012	9	ILLUMINA INC	51.96	27.16	467.67	244.43	223.24
12/01/2011	04/04/2012	3	SALESFORCE.COM INC	154.72	118.40	464.16	355.21	108.95
08/04/2011	04/16/2012	15	GENERAL MILLS INC	38.67	36.61	580.03	549.15	30.88
10/21/2011	04/16/2012	10	GENERAL MILLS INC	38.67	39.94	386.69	399.39	-12.70
11/02/2011	04/16/2012	10	GENERAL MILLS INC	38.67	38.51	386.69	385.06	1.63
12/22/2011	04/16/2012	10	GENERAL MILLS INC	38.67	40.38	386.68	403.83	-17.15
11/10/2011	04/20/2012	7	PERRIGO INC	105.99	90.21	741.94	631.44	110.50
04/29/2011	04/25/2012	7	***POTASH CORP OF SASKATCHEWAN	44.10	55.75	308.70	390.25	-81.55
05/06/2011	04/25/2012	3	***POTASH CORP OF SASKATCHEWAN	44.10	53.47	132.30	160.41	-28.11
05/16/2011	04/26/2012	15	EXPRESS SCRIPTS HOLDING CO	56.31	59.89	844.71	898.29	-53.58
01/09/2012	04/26/2012	10	EXPRESS SCRIPTS HOLDING CO	56.31	49.67	563.14	496.70	66.44
12/05/2011	05/03/2012	75	MORGAN STANLEY	16.34	16.45	1,225.71	1,233.94	-8.23
01/09/2012	05/07/2012	10	VERTEX PHARMACEUTICALS INC	54.59	35.29	545.87	352.92	192.95
12/15/2011	05/14/2012	20	GENERAL ELECTRIC CO	18.69	16.82	373.73	336.32	37.41
12/29/2011	05/14/2012	5	GENERAL ELECTRIC CO	18.69	18.04	93.43	90.19	3.24
05/24/2011	05/21/2012	11	TRW AUTOMOTIVE HOLDINGS CORP	38.84	53.56	427.19	589.12	-161.93

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
10/20/2011	05/21/2012	4	TRW AUTOMOTIVE HOLDINGS CORP	38.84	39.14	155.34	156.56	-1.22
12/29/2011	06/01/2012	55	GENERAL ELECTRIC CO	18.59	18.04	1,022.43	992.09	30.34
03/06/2012	06/04/2012	15	LIBERTY INTERACTIVE CORP-A	16.07	18.53	241.05	277.94	-36.89
03/12/2012	06/04/2012	15	LIBERTY INTERACTIVE CORP-A	16.07	18.68	241.04	280.25	-39.21
09/16/2011	06/04/2012	25	***MARVELL TECHNOLOGY GROUP LT	11.98	15.18	299.61	379.43	-79.82
10/20/2011	06/04/2012	25	***MARVELL TECHNOLOGY GROUP LT	11.98	13.32	299.61	332.88	-33.27
11/01/2011	06/04/2012	25	***MARVELL TECHNOLOGY GROUP LT	11.98	13.58	299.61	339.40	-39.79
02/08/2012	06/04/2012	20	***MARVELL TECHNOLOGY GROUP LT	11.98	16.48	239.68	329.58	-89.90
01/09/2012	06/04/2012	10	***POTASH CORP OF SASKATCHEWAN	36.94	41.39	369.39	413.91	-44.52
02/06/2012	06/04/2012	10	***POTASH CORP OF SASKATCHEWAN	36.94	46.78	369.39	467.82	-98.43
08/17/2011	06/05/2012	40	CORNING INC	12.40	15.09	495.86	603.44	-107.58
12/22/2011	06/08/2012	20	CBRE GROUP INC	16.05	15.44	321.04	308.90	12.14
02/13/2012	06/08/2012	25	CBRE GROUP INC	16.05	17.92	401.30	447.93	-46.63
10/06/2011	06/19/2012	5	DEVON ENERGY CORPORATION	57.46	56.90	287.32	284.49	2.83
12/06/2011	06/19/2012	9	DEVON ENERGY CORPORATION	57.46	66.85	517.16	601.68	-84.52
05/07/2012	06/19/2012	6	DEVON ENERGY CORPORATION	57.46	64.55	344.78	387.28	-42.50
10/18/2011	07/05/2012	20	CENTERPOINT ENERGY INC	20.48	20.67	409.68	413.36	-3.68
01/30/2012	07/06/2012	9	EMERSON ELECTRIC CO	44.79	51.41	403.12	462.70	-59.58
02/01/2012	07/06/2012	1	EMERSON ELECTRIC CO	44.79	52.28	44.79	52.28	-7.49
01/13/2012	07/06/2012	15	FREEPORT-MCMORAN COPPER	34.84	41.78	522.67	626.68	-104.01
08/10/2011	07/09/2012	10	MARATHON PETROLEUM CORP	44.27	35.54	442.72	355.36	87.36
09/20/2011	07/09/2012	10	MARATHON PETROLEUM CORP	44.27	33.96	442.72	339.62	103.10
09/30/2011	07/09/2012	10	MARATHON PETROLEUM CORP	44.27	27.37	442.72	273.73	168.99
01/06/2012	07/09/2012	10	MARATHON PETROLEUM CORP	44.27	31.59	442.72	315.91	126.81
01/09/2012	07/10/2012	11	STRYKER CORP	53.17	51.72	584.85	568.92	15.93
07/29/2011	07/17/2012	6	ANADARKO PETROLEUM CORP	71.16	83.22	426.97	499.33	-72.36
08/01/2011	07/17/2012	4	ANADARKO PETROLEUM CORP	71.16	82.10	284.64	328.39	-43.75
02/01/2012	07/20/2012	9	EMERSON ELECTRIC CO	46.30	52.27	416.70	470.47	-53.77
02/08/2012	07/20/2012	6	EMERSON ELECTRIC CO	46.30	51.80	277.80	310.82	-33.02
03/28/2012	07/24/2012	15	EMERSON ELECTRIC CO	45.28	51.34	679.21	770.17	-90.96
04/24/2012	07/24/2012	10	EMERSON ELECTRIC CO	45.28	50.92	452.80	509.18	-56.38
02/17/2012	07/25/2012	10	INFORMATICA CORPORATION	27.83	48.20	278.35	481.99	-203.64
08/04/2011	07/25/2012	2	PRECISION CASTPARTS CORP	159.90	151.85	319.80	303.70	16.10
01/11/2012	07/25/2012	9	STRYKER CORP	51.40	52.65	462.59	473.88	-11.29
01/25/2012	07/25/2012	10	STRYKER CORP	51.40	54.24	513.99	542.39	-28.40
08/08/2011	08/01/2012	5	GILEAD SCIENCES INC	54.10	36.86	270.50	184.28	86.22
08/23/2011	08/03/2012	10	DTE ENERGY COMPANY	61.67	48.27	616.67	482.74	133.93
08/08/2011	08/03/2012	9	GILEAD SCIENCES INC	58.06	36.86	522.52	331.70	190.82
10/17/2011	08/06/2012	9	PVH CORP	78.13	65.82	703.13	592.40	110.73
12/21/2011	08/06/2012	5	PVH CORP	78.13	66.00	390.63	330.00	60.63
08/10/2011	08/10/2012	6	***COVIDIEN PLC	56.94	45.73	341.61	274.41	67.20
11/01/2011	08/10/2012	10	***COVIDIEN PLC	56.94	45.26	569.36	452.58	116.78
12/22/2011	08/10/2012	10	***COVIDIEN PLC	56.94	44.62	569.36	446.20	123.16
02/02/2012	08/10/2012	3	GOLDMAN SACHS GROUP INC	102.81	113.31	308.44	339.94	-31.50
02/07/2012	08/10/2012	4	GOLDMAN SACHS GROUP INC	102.81	116.45	411.26	465.82	-54.56
10/18/2011	08/14/2012	10	CENTERPOINT ENERGY INC	20.55	20.67	205.50	206.68	-1.18
02/27/2012	08/14/2012	15	CENTERPOINT ENERGY INC	20.55	18.69	308.25	280.28	27.97
01/19/2012	08/22/2012	10	FREEPORT-MCMORAN COPPER	36.26	43.93	362.65	439.27	-76.62
09/06/2011	08/23/2012	4	LAS VEGAS SANDS CORP	43.29	44.92	173.17	179.70	-6.53

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Global Wealth Management

Capital Gains

Account: BRUMBERGER FOUNDATION INC. (888-36240)
January 1, 2013 - February 28, 2013

Nontaxable Summary[1 2]

Description		Gain/(Loss)
Short-Term		$2,006.12
Long-Term		($577 65)
	Total	**$1,428.47**

Nontaxable Short-Term Capital Gains

Account No.	Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
888-36240	06/27/2012	02/28/2013	4	CUMMINS INC	$116.44	$90.39	$465.75	$361.55	$104 20
888-36240	05/25/2012	02/28/2013	10	HELMERICH & PAYNE	$66.49	$46.02	$664.86	$460 22	$204 64
888-36240	05/22/2012	02/28/2013	2	HELMERICH & PAYNE	$66.49	$45.62	$132.97	$91 23	$41.74
888-36240	04/20/2012	02/28/2013	20	DELTA AIR LINES INC	$14.33	$10.73	$286.62	$214.66	$71.96
888-36240	08/08/2012	02/25/2013	4	CELGENE CORP	$100.35	$71 61	$401 39	$286 42	$114.97
888-36240	06/26/2012	02/21/2013	1	VF CORP	$159.10	$139.72	$159 10	$139.72	$19 38
888-36240	06/13/2012	02/21/2013	3	VF CORP	$159.10	$137.88	$477 31	$413.63	$63.68
888-36240	11/21/2012	02/20/2013	1	ULTA SALON COSMETICS & FRAGRAN	$86.35	$90.65	$86.35	$90.65	($4.30)
888-36240	11/15/2012	02/20/2013	3	ULTA SALON COSMETICS & FRAGRAN	$86.35	$87.08	$259.07	$261 24	($2.17)
888-36240	08/02/2012	02/15/2013	2	INTERCONTINENTALEXCHANGE INC	$157.45	$127.21	$314 89	$254.42	$60 47
888-36240	06/05/2012	02/15/2013	2	LINKEDIN CORP - A	$161.34	$92 33	$322.68	$184 65	$138 03
888-36240	03/21/2012	02/15/2013	15	DIRECTV	$49.40	$48.11	$741.06	$721 63	$19.43
888-36240	07/06/2012	02/12/2013	10	RACKSPACE HOSTING INC	$74.23	$44.51	$742 30	$445 09	$297.21
888-36240	05/22/2012	02/06/2013	8	HELMERICH & PAYNE	$64.06	$45.61	$512.47	$364.91	$147.56
888-36240	09/24/2012	02/05/2013	5	MCGRAW-HILL COMPANIES INC	$46.85	$54.51	$234.26	$272 57	($38.31)
888-36240	08/06/2012	02/05/2013	10	MCGRAW-HILL COMPANIES INC	$46.85	$48.58	$468 52	$485 80	($17.28)
888-36240	05/15/2012	02/05/2013	1	UNITEDHEALTH GROUP INC	$56.95	$55.46	$56.95	$55 46	$1.49
888-36240	04/23/2012	02/05/2013	6	UNITEDHEALTH GROUP INC	$56.95	$59.16	$341.70	$354.96	($13.26)
888-36240	03/27/2012	02/05/2013	1	NATIONAL - OILWELL INC	$68.59	$78.32	$68.59	$78 32	($9.73)
888-36240	02/16/2012	02/05/2013	4	NATIONAL - OILWELL INC	$68 59	$83.85	$274 35	$335 41	($61 06)
888-36240	02/14/2012	02/05/2013	3	NATIONAL - OILWELL INC	$68 59	$83.52	$205 76	$250.56	($44 80)

BERNSTEIN
Global Wealth Management

Capital Gains

Account: BRUMBERGER FOUNDATION INC. (888-36240)
January 1, 2013 - February 28, 2013

Nontaxable Short-Term Capital Gains

Account No.	Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
888-36240	04/23/2012	02/04/2013	3	MCKESSON CORP	$102.72	$90.44	$308.16	$271.33	$36.83
888-36240	03/12/2012	02/04/2013	5	MCKESSON CORP	$102.72	$86.32	$513.59	$431.61	$81.98
888-36240	11/09/2012	01/30/2013	15	FACEBOOK INC-A	$31.23	$19.88	$468.42	$298.25	$170.17
888-36240	06/28/2012	01/30/2013	5	PHILIP MORRIS INTERNATIONAL	$87.66	$84.57	$438.29	$422.83	$15.46
888-36240	05/22/2012	01/30/2013	5	OCEANEERING INTL INC	$62.23	$47.82	$311.17	$239.08	$72.09
888-36240	05/16/2012	01/30/2013	10	TIBCO SOFTWARE INC	$23.44	$29.21	$234.36	$292.09	($57.73)
888-36240	04/10/2012	01/30/2013	5	TIBCO SOFTWARE INC	$23.44	$32.47	$117.18	$162.35	($45.17)
888-36240	07/10/2012	01/25/2013	12	RED HAT INC	$56.29	$51.20	$675.51	$614.40	$61.11
888-36240	06/28/2012	01/24/2013	5	PHILIP MORRIS INTERNATIONAL	$89.54	$84.57	$447.68	$422.83	$24.85
888-36240	11/19/2012	01/23/2013	3	TRIMBLE NAVIGATION LTD	$62.67	$54.18	$188.01	$162.55	$25.46
888-36240	11/15/2012	01/23/2013	2	ULTA SALON COSMETICS & FRAGRAN	$97.01	$87.08	$194.02	$174.16	$19.86
888-36240	11/14/2012	01/23/2013	1	AMAZON.COM INC	$268.47	$224.39	$268.47	$224.39	$44.08
888-36240	11/09/2012	01/23/2013	15	FACEBOOK INC-A	$31.16	$19.88	$467.40	$298.25	$169.15
888-36240	10/04/2012	01/23/2013	10	MEDTRONIC INC	$45.92	$43.97	$459.18	$439.72	$19.46
888-36240	09/14/2012	01/23/2013	20	FORD MOTOR CO	$13.89	$10.52	$277.80	$210.38	$67.42
888-36240	08/20/2012	01/23/2013	15	PULTE GROUP INC	$20.99	$13.00	$314.85	$194.93	$119.92
888-36240	08/09/2012	01/23/2013	5	EXXON MOBIL CORP	$90.86	$88.22	$454.28	$441.11	$13.17
888-36240	08/08/2012	01/23/2013	3	CELGENE CORP	$98.19	$71.61	$294.58	$214.82	$79.76
888-36240	07/20/2012	01/23/2013	1	CHIPOTLE MEXICAN GRILL-CL A	$292.95	$318.25	$292.95	$318.25	($25.30)
888-36240	07/17/2012	01/23/2013	3	IDEXX LABS CORP	$95.19	$91.71	$285.58	$275.14	$10.44
888-36240	06/28/2012	01/23/2013	3	ANSYS INC	$72.75	$61.85	$218.25	$185.55	$32.70
888-36240	06/26/2012	01/23/2013	2	AFFILIATED MANAGERS GROUP INC	$143.19	$102.54	$286.38	$205.08	$81.30
888-36240	06/08/2012	01/23/2013	7	STATE STREET CORP	$55.36	$42.08	$387.54	$294.59	$92.95
888-36240	06/05/2012	01/23/2013	2	LINKEDIN CORP - A	$120.71	$92.33	$241.42	$184.65	$56.77
888-36240	05/24/2012	01/23/2013	10	MACY'S INC	$38.46	$37.72	$384.64	$377.17	$7.47
888-36240	04/20/2012	01/23/2013	5	DELTA AIR LINES INC	$13.83	$10.73	$69.15	$53.67	$15.48
888-36240	04/16/2012	01/23/2013	3	F5 NETWORKS INC	$98.56	$122.82	$295.67	$368.45	($72.78)
888-36240	03/12/2012	01/23/2013	2	MCKESSON CORP	$104.96	$86.33	$209.92	$172.65	$37.27
888-36240	02/10/2012	01/23/2013	5	WELLS FARGO & COMPANY	$35.03	$30.23	$175.17	$151.14	$24.03

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Global Wealth Management

Capital Gains

Account: BRUMBERGER FOUNDATION INC. (888-36240)
January 1, 2013 - February 28, 2013

Nontaxable Short-Term Capital Gains

Account No.	Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
888-36240	07/10/2012	01/07/2013	1	CHIPOTLE MEXICAN GRILL-CL A	$298.17	$382.23	$298.17	$382.23	($84.06)
888-36240	04/10/2012	01/07/2013	5	TIBCO SOFTWARE INC	$21.89	$32.47	$109.43	$162.36	($52.93)
888-36240	03/30/2012	01/07/2013	15	TIBCO SOFTWARE INC	$21.89	$30.48	$328.29	$457.23	($128.94)
						Total	**$17,232.46**	**$15,226.34**	**$2,006.12**

Nontaxable Long-Term Capital Gains

Account No.	Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
888-36240	02/07/2012	02/28/2013	19	CISCO SYSTEMS INC	$20.87	$20.26	$396.49	$384.85	$11.64
888-36240	12/22/2011	02/28/2013	11	CISCO SYSTEMS INC	$20.87	$18.16	$229.55	$199.73	$29.82
888-36240	07/28/2008	02/28/2013	2	APPLE INC	$445.59	$160.93	$891.18	$321.85	$569.33
888-36240	10/11/2011	02/22/2013	5	BP PLC-SPONS ADR	$40.87	$38.15	$204.33	$190.73	$13.60
888-36240	10/07/2011	02/22/2013	15	BP PLC-SPONS ADR	$40.87	$37.07	$612.99	$556.05	$56.94
888-36240	09/14/2011	02/22/2013	25	BP PLC-SPONS ADR	$40.87	$38.22	$1,021.64	$955.48	$66.16
888-36240	08/12/2011	02/22/2013	20	BP PLC-SPONS ADR	$40.87	$39.72	$817.32	$794.43	$22.89
888-36240	12/28/2011	02/19/2013	30	MGM RESORTS INTERNATIONAL	$12.80	$10.13	$384.14	$303.92	$80.22
888-36240	12/28/2011	02/19/2013	40	MGM RESORTS INTERNATIONAL	$12.80	$10.13	$512.18	$405.22	$106.96
888-36240	03/18/2011	02/19/2013	2	FLOWSERVE CORPORATION	$159.44	$122.77	$318.89	$245.54	$73.35
888-36240	08/30/2011	02/15/2013	9	DIRECTV	$49.40	$43.84	$444.64	$394.56	$50.08
888-36240	02/27/2009	02/15/2013	5	SCHLUMBERGER LTD	$79.63	$37.78	$398.16	$188.90	$209.26
888-36240	12/21/2010	02/12/2013	5	ASTRAZENECA PLC-SPONS ADR	$47.23	$45.80	$236.17	$228.98	$7.19
888-36240	12/14/2010	02/12/2013	5	ASTRAZENECA PLC-SPONS ADR	$47.23	$48.97	$236.17	$244.86	($8.69)
888-36240	11/29/2010	02/12/2013	15	ASTRAZENECA PLC-SPONS ADR	$47.23	$47.31	$708.51	$709.63	($1.12)
888-36240	11/21/2011	02/11/2013	5	APPLIED MATERIALS INC	$13.62	$10.85	$68.09	$54.24	$13.85
888-36240	10/14/2011	02/11/2013	20	APPLIED MATERIALS INC	$13.62	$11.49	$272.37	$229.77	$42.60
888-36240	10/06/2011	02/05/2013	3	UNITEDHEALTH GROUP INC	$56.95	$44.47	$170.85	$133.40	$37.45
888-36240	05/10/2011	02/05/2013	15	MCGRAW-HILL COMPANIES INC	$46.85	$42.12	$702.78	$631.80	$70.98
888-36240	11/29/2010	02/04/2013	5	ASTRAZENECA PLC-SPONS ADR	$48.06	$47.31	$240.31	$236.55	$3.76
888-36240	07/29/2010	02/04/2013	10	ASTRAZENECA PLC-SPONS ADR	$48.06	$51.56	$480.63	$515.63	($35.00)
888-36240	05/19/2010	02/04/2013	35	ASTRAZENECA PLC-SPONS ADR	$48.06	$42.04	$1,682.20	$1,471.27	$210.93

Capital Gains Report

BRUMBERGER FOUNDATION INC. (Account: 888-36240) January 1, 2012 through December 31, 2012

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
06/19/2007	02/23/2012	5	TRAVELERS COS INC/THE	58.96	53.16	294.79	265.82	28.97
07/13/2007	02/23/2012	10	TRAVELERS COS INC/THE	58.96	53.52	589.58	535.22	54.36
04/20/2009	02/23/2012	5	TIME WARNER CABLE	77.16	28.06	385.78	140.29	245.49
08/16/2010	02/23/2012	6	UNITED PARCEL SERVICE-CL B	76.63	64.59	459.77	387.52	72.25
06/19/2007	02/23/2012	22.677	BERNSTEIN EMERGING MARKETS PORTFOLIO	28.73	46.42	645.00	1,052.76	-407.76
07/02/2007	02/23/2012	86.841	BERNSTEIN EMERGING MARKETS PORTFOLIO	28.73	46.91	2,470.00	4,073.64	-1,603.64
06/19/2007	02/23/2012	335.479	BERNSTEIN INTERNATIONAL PORTFOLIO	13.98	28.81	4,690.00	9,665.15	-4,975.15
04/14/2008	02/28/2012	1	APPLE INC	533.76	148.45	533.76	148.45	385.31
10/22/2010	03/09/2012	4	GOLDMAN SACHS GROUP INC	117.59	158.18	470.38	632.73	-162.35
10/22/2010	03/20/2012	1	GOLDMAN SACHS GROUP INC	126.74	158.18	126.74	158.18	-31.44
11/15/2010	03/27/2012	10	DOW CHEMICAL	35.52	31.40	355.21	314.05	41.16
12/22/2010	03/27/2012	7	DOW CHEMICAL	35.52	34.15	248.64	239.07	9.57
09/01/2010	03/28/2012	15	BROADCOM CORP-CL A	38.51	31.18	577.66	467.68	109.98
07/13/2007	03/28/2012	5	TRAVELERS COS INC/THE	58.82	53.52	294.09	267.61	26.48
07/29/2010	03/28/2012	10	TRAVELERS COS INC/THE	58.82	50.63	588.18	506.28	81.90
03/07/2011	03/28/2012	10	TRAVELERS COS INC/THE	58.82	59.03	588.17	590.30	-2.13
02/03/2011	04/09/2012	4	GOLDMAN SACHS GROUP INC	116.94	163.20	467.75	652.81	-185.06
09/07/2010	04/12/2012	11	UNITED PARCEL SERVICE-CL B	79.33	66.99	872.60	736.88	135.72
07/28/2008	04/16/2012	1	APPLE INC	592.83	160.92	592.83	160.92	431.91
09/30/2010	04/19/2012	5	STARBUCKS CORP	59.26	25.50	296.31	127.48	168.83
10/28/2010	04/19/2012	5	STARBUCKS CORP	59.26	28.17	296.31	140.87	155.44
02/14/2011	04/23/2012	3	BORGWARNER INC	79.95	79.29	239.85	237.86	1.99
02/24/2011	04/23/2012	5	BORGWARNER INC	79.95	75.21	399.76	376.07	23.69
02/25/2011	04/25/2012	10	BROADCOM CORP-CL A	36.20	41.94	362.02	419.44	-57.42
06/03/2010	04/26/2012	5	EXPRESS SCRIPTS HOLDING CO	56.31	51.94	281.57	259.72	21.85
10/08/2010	04/26/2012	5	EXPRESS SCRIPTS HOLDING CO	56.31	48.13	281.57	240.64	40.93
03/14/2011	04/26/2012	10	EXPRESS SCRIPTS HOLDING CO	56.31	51.78	563.14	517.82	45.32
08/26/2009	04/27/2012	15	CONSTELLATION BRANDS INC-A	22.00	15.11	330.01	226.59	103.42
11/13/2009	04/27/2012	40	CONSTELLATION BRANDS INC-A	22.00	16.76	880.02	670.39	209.63
12/28/2010	05/01/2012	19	NORTHROP GRUMMAN CORP	63.62	58.72	1,208.78	1,115.59	93.19
01/27/2011	05/02/2012	12	QUALCOMM INC	64.03	54.96	768.35	659.52	108.83
02/10/2011	05/02/2012	3	QUALCOMM INC	64.03	56.54	192.09	169.61	22.48
11/20/2009	05/14/2012	15	GENERAL ELECTRIC CO	18.69	15.57	280.30	233.62	46.68
02/16/2010	05/14/2012	50	GENERAL ELECTRIC CO	18.69	15.91	934.31	795.60	138.71
10/11/2010	05/16/2012	10	CENTURYLINK INC	38.50	39.69	385.03	396.94	-11.91
01/08/2010	05/16/2012	15	EMC CORP/MASS	25.73	17.63	385.89	264.48	121.41
02/10/2011	05/17/2012	3	QUALCOMM INC	58.96	56.54	176.87	169.61	7.26
02/15/2011	05/17/2012	2	QUALCOMM INC	58.96	58.71	117.92	117.43	0.49
10/29/2010	05/18/2012	8	UNITED PARCEL SERVICE-CL B	74.38	67.29	595.01	538.35	56.66
05/18/2010	06/01/2012	25	MARATHON OIL CORP	24.01	19.60	600.14	489.97	110.17
01/08/2010	06/04/2012	4	JPMORGAN CHASE & CO	31.03	44.43	124.10	177.72	-53.62
06/16/2010	06/04/2012	2	JPMORGAN CHASE & CO	31.03	38.67	62.05	77.35	-15.30
09/15/2010	06/04/2012	10	JPMORGAN CHASE & CO	31.03	40.71	310.27	407.14	-96.87
11/09/2010	06/04/2012	10	JPMORGAN CHASE & CO	31.03	40.48	310.26	404.77	-94.51
11/01/2010	06/04/2012	15	***MARVELL TECHNOLOGY GROUP LT	11.98	19.42	179.76	291.36	-111.60
11/15/2010	06/04/2012	15	***MARVELL TECHNOLOGY GROUP LT	11.98	19.42	179.76	291.31	-111.55

Capital Gains Report

BRUMBERGER FOUNDATION INC. (Account: 888-36240)

January 1, 2012 through December 31, 2012

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
05/06/2011	06/04/2012	5	***POTASH CORP OF SASKATCHEWAN	36.94	53.47	184.70	267.35	-82.65
09/30/2009	06/05/2012	25	CORNING INC	12.40	15.28	309.91	381.92	-72.01
11/19/2010	06/05/2012	25	CORNING INC	12.40	17.75	309.91	443.87	-133.96
06/05/2009	06/06/2012	18	JPMORGAN CHASE & CO	33.10	34.88	595.83	627.91	-32.08
01/08/2010	06/06/2012	6	JPMORGAN CHASE & CO	33.10	44.43	198.61	266.57	-67.96
06/16/2010	06/06/2012	1	JPMORGAN CHASE & CO	33.10	38.68	33.10	38.68	-5.58
06/16/2010	06/08/2012	15	JPMORGAN CHASE & CO	33.58	38.68	503.65	580.13	-76.48
04/06/2011	06/08/2012	5	MONSANTO CO	79.01	69.77	395.07	348.83	46.24
03/18/2011	06/13/2012	5	FLOWSERVE CORPORATION	105.40	122.77	527.00	613.85	-86.85
07/28/2008	06/19/2012	1	APPLE INC	587.54	160.92	587.54	160.92	426.62
07/13/2010	06/20/2012	2	AMAZON.COM INC	221.67	122.81	443.34	245.63	197.71
01/31/2011	06/20/2012	3	AMAZON.COM INC	221.67	169.43	665.00	508.28	156.72
06/16/2010	06/21/2012	12	JPMORGAN CHASE & CO	35.80	38.68	429.65	464.11	-34.46
08/30/2010	06/22/2012	20	JPMORGAN CHASE & CO	36.12	36.28	722.50	725.58	-3.08
10/22/2010	06/22/2012	3	JPMORGAN CHASE & CO	36.12	37.62	108.37	112.85	-4.48
03/09/2010	06/27/2012	10	COMCAST CORP-CLASS A	31.12	17.49	311.18	174.93	136.25
03/31/2009	06/29/2012	2	GILEAD SCIENCES INC	50.87	46.13	101.74	92.26	9.48
01/26/2010	06/29/2012	1	GILEAD SCIENCES INC	50.87	45.18	50.87	45.18	5.69
04/29/2010	06/29/2012	2	GILEAD SCIENCES INC	50.87	40.88	101.75	81.77	19.98
06/19/2007	06/29/2012	50	PFIZER INC	22.89	26.14	1,144.48	1,307.23	-162.75
10/11/2010	07/02/2012	15	CENTURYLINK INC	39.47	39.69	592.11	595.40	-3.29
11/19/2010	07/03/2012	20	GANNETT CO	14.92	12.69	298.36	253.80	44.56
01/31/2011	07/05/2012	2	AMAZON.COM INC	227.98	169.43	455.96	338.86	117.10
03/14/2011	07/05/2012	2	AMAZON.COM INC	227.98	165.43	455.96	330.86	125.10
03/31/2010	07/10/2012	17	COMCAST CORP-CLASS A	31.37	18.81	533.26	319.82	213.44
05/28/2010	07/10/2012	3	COMCAST CORP-CLASS A	31.37	18.16	94.11	54.47	39.64
05/20/2011	07/10/2012	12	***COVIDIEN PLC	53.71	56.89	644.56	682.63	-38.07
11/05/2010	07/10/2012	4	UNITED PARCEL SERVICE-CL B	78.69	69.81	314.77	279.25	35.52
11/05/2010	07/11/2012	6	UNITED PARCEL SERVICE-CL B	78.74	69.81	472.43	418.88	53.55
11/23/2010	07/12/2012	5	UNITED PARCEL SERVICE-CL B	78.48	67.85	392.42	339.24	53.18
03/08/2011	07/12/2012	5	UNITED PARCEL SERVICE-CL B	78.48	73.07	392.42	365.36	27.06
06/10/2011	07/13/2012	4	ANADARKO PETROLEUM CORP	68.69	73.24	274.76	292.97	-18.21
07/11/2011	07/13/2012	6	ANADARKO PETROLEUM CORP	68.69	77.20	412.15	463.20	-51.05
05/06/2009	07/19/2012	3	TIME WARNER CABLE	85.79	33.64	257.36	100.92	156.44
08/26/2009	07/19/2012	8	TIME WARNER CABLE	85.79	35.92	686.30	287.38	398.92
05/12/2009	07/25/2012	8	DANAHER CORP	50.66	29.84	405.30	238.69	166.61
09/14/2009	07/25/2012	2	DANAHER CORP	50.66	33.96	101.32	67.92	33.40
06/22/2011	07/25/2012	2	PRECISION CASTPARTS CORP	159.90	158.88	319.80	317.76	2.04
03/18/2011	07/30/2012	5	BORGWARNER INC	67.33	74.72	336.63	373.59	-36.96
03/31/2011	07/30/2012	4	BORGWARNER INC	67.33	79.29	269.31	317.18	-47.87
05/04/2010	08/03/2012	7	EOG RESOURCES INC	105.51	109.42	738.60	765.94	-27.34
09/14/2009	08/07/2012	10	DANAHER CORP	53.63	33.96	536.27	339.59	196.68
01/11/2011	08/07/2012	5	INTUIT INC	59.97	47.59	299.85	237.96	61.89
09/29/2010	08/07/2012	10	ORACLE CORP	31.61	27.14	316.11	271.38	44.73
10/25/2010	08/07/2012	5	ORACLE CORP	31.61	29.09	158.06	145.43	12.63
02/15/2011	08/07/2012	5	QUALCOMM INC	61.11	58.71	305.58	293.56	12.02
02/18/2011	08/07/2012	5	QUALCOMM INC	61.11	59.08	305.57	295.42	10.15
05/12/2010	08/08/2012	15	THE WALT DISNEY CO.	50.35	35.51	755.26	532.69	222.57
07/29/2011	08/09/2012	25	APPLIED MATERIALS INC	11.88	12.46	297.05	311.57	-14.52

Capital Gains Report

BRUMBERGER FOUNDATION INC. (Account: 888-36240)

January 1, 2012 through December 31, 2012

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
09/28/2010	08/09/2012	5	EOG RESOURCES INC	110.31	90.93	551.55	454.64	96.91
05/31/2011	08/09/2012	10	***LYONDELLBASELL INDU-CL A	47.10	20.08	470.98	200.85	270.13
03/23/2011	08/09/2012	5	QUALCOMM INC	61.74	52.06	308.70	260.32	48.38
07/20/2011	08/10/2012	6	***COVIDIEN PLC	56.94	51.81	341.61	310.87	30.74
07/22/2011	08/10/2012	6	***COVIDIEN PLC	56.94	51.94	341.61	311.63	29.98
06/28/2010	08/15/2012	5	JOHNSON & JOHNSON	68.51	59.45	342.53	297.25	45.28
10/11/2010	08/20/2012	5	CENTURYLINK INC	41.61	39.69	208.03	198.47	9.56
04/25/2011	08/20/2012	10	CENTURYLINK INC	41.61	39.20	416.05	392.04	24.01
10/07/2010	08/21/2012	5	EOG RESOURCES INC	109.25	98.34	546.23	491.69	54.54
10/22/2010	08/23/2012	7	JPMORGAN CHASE & CO	37.36	37.62	261.49	263.32	-1.83
07/22/2011	08/23/2012	14	JPMORGAN CHASE & CO	37.36	42.06	522.97	588.90	-65.93
09/29/2008	08/30/2012	1	GOOGLE INC-CL A	682.58	413.38	682.58	413.38	269.20
08/30/2011	09/06/2012	6	DIRECTV	53.06	43.84	318.37	263.04	55.33
06/28/2010	09/06/2012	25	JOHNSON & JOHNSON	67.99	59.45	1,699.71	1,486.27	213.44
07/20/2010	09/06/2012	5	JOHNSON & JOHNSON	67.99	58.12	339.94	290.59	49.35
09/30/2010	09/06/2012	10	JOHNSON & JOHNSON	67.99	61.86	679.89	618.57	61.32
11/19/2010	09/07/2012	30	GANNETT CO	15.98	12.69	479.46	380.69	98.77
07/29/2011	09/12/2012	20	APPLIED MATERIALS INC	11.59	12.46	231.86	249.25	-17.39
06/09/2011	09/12/2012	10	MOODY'S CORP	43.55	40.02	435.48	400.24	35.24
08/20/2009	09/12/2012	1	NVR INC	851.21	642.53	851.21	642.53	208.68
08/26/2009	09/12/2012	6	TIME WARNER CABLE	91.83	35.92	550.98	215.53	335.45
05/05/2011	09/13/2012	15	LAM RESEARCH CORP	33.70	47.65	505.50	714.81	-209.31
08/02/2011	09/17/2012	14	FMC TECHNOLOGIES INC	48.93	44.33	685.04	620.68	64.36
08/24/2011	09/17/2012	5	LORILLARD INC	116.92	110.93	584.60	554.64	29.96
05/04/2010	09/17/2012	8	NOBLE ENERGY INC	95.26	76.64	762.06	613.11	148.95
10/25/2010	09/20/2012	15	ORACLE CORP	32.52	29.09	487.85	436.30	51.55
11/10/2010	09/20/2012	10	ORACLE CORP	32.52	28.65	325.23	286.55	38.68
08/11/2011	09/21/2012	15	CENTURYLINK INC	41.95	34.10	629.30	511.47	117.83
09/14/2011	09/21/2012	20	CENTURYLINK INC	41.95	34.84	839.07	696.72	142.35
05/04/2010	09/24/2012	10	WELLS FARGO & COMPANY	34.98	33.24	349.81	332.45	17.36
02/01/2010	09/26/2012	25	EMC CORP/MASS	26.72	17.01	667.99	425.25	242.74
04/12/2010	09/26/2012	5	EMC CORP/MASS	26.72	19.18	133.60	95.92	37.68
03/01/2011	09/27/2012	10	CITIGROUP INC	33.15	46.40	331.51	464.02	-132.51
06/22/2011	09/27/2012	10	GENERAL MOTORS CO	23.29	30.02	232.87	300.23	-67.36
08/08/2011	09/27/2012	6	GILEAD SCIENCES INC	66.68	36.86	400.08	221.14	178.94
08/16/2011	09/27/2012	5	GILEAD SCIENCES INC	66.68	38.58	333.40	192.88	140.52
08/16/2011	10/04/2012	8	GILEAD SCIENCES INC	69.65	38.58	557.16	308.62	248.54
09/30/2010	10/04/2012	5	JOHNSON & JOHNSON	69.39	61.86	346.96	309.28	37.68
03/01/2011	10/05/2012	12	CITIGROUP INC	35.15	46.40	421.76	556.82	-135.06
10/06/2011	10/09/2012	7	UNITEDHEALTH GROUP INC	57.54	44.47	402.78	311.27	91.51
05/16/2011	10/12/2012	4	***TRANSOCEAN LTD	45.51	69.12	182.05	276.49	-94.44
07/15/2011	10/12/2012	10	***TRANSOCEAN LTD	45.51	62.38	455.14	623.81	-168.67
08/02/2011	10/12/2012	1	***TRANSOCEAN LTD	45.51	60.31	45.51	60.31	-14.80
03/10/2011	10/12/2012	7	WELLPOINT INC	61.98	68.36	433.89	478.54	-44.65
04/08/2011	10/12/2012	13	WELLPOINT INC	61.98	68.91	805.79	895.82	-90.03
03/31/2011	10/18/2012	8	CITIGROUP INC	38.41	44.19	307.32	353.50	-46.18
04/08/2011	10/22/2012	5	AT&T INC	35.24	30.55	176.22	152.73	23.49
04/25/2011	10/22/2012	5	AT&T INC	35.24	30.48	176.21	152.40	23.81
05/14/2010	10/23/2012	10	THE WALT DISNEY CO.	50.86	34.24	508.56	342.45	166.11

Capital Gains Report

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Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
09/14/2009	10/24/2012	5	DANAHER CORP	52.48	33.96	262.38	169.80	92.58
04/15/2011	10/24/2012	2	MONSANTO CO	86.89	67.35	173.78	134.71	39.07
07/28/2008	10/26/2012	1	APPLE INC	608.56	160.92	608.56	160.92	447.64
10/06/2011	10/26/2012	15	GENERAL MOTORS CO	23.33	22.20	349.93	332.93	17.00
09/13/2011	10/31/2012	10	JPMORGAN CHASE & CO	41.40	32.81	414.04	328.07	85.97
11/04/2011	11/08/2012	10	FMC TECHNOLOGIES INC	40.00	46.70	400.03	467.05	-67.02
08/18/2011	11/08/2012	4	ROCKWELL AUTOMATION INC	76.54	57.01	306.17	228.03	78.14
04/29/2010	11/12/2012	8	GILEAD SCIENCES INC	72.49	40.89	579.96	327.10	252.86
12/10/2010	11/13/2012	25	ORACLE CORP	30.20	29.76	754.88	743.91	10.97
01/20/2011	11/15/2012	10	ORACLE CORP	29.73	31.87	297.33	318.70	-21.37
03/22/2011	11/15/2012	10	ORACLE CORP	29.73	31.28	297.33	312.81	-15.48
06/07/2011	11/15/2012	10	ORACLE CORP	29.73	31.91	297.33	319.08	-21.75
09/30/2010	11/16/2012	7	JOHNSON & JOHNSON	68.82	61.86	481.73	433.00	48.73
08/04/2011	11/16/2012	3	PRECISION CASTPARTS CORP	173.70	151.85	521.10	455.54	65.56
08/04/2011	11/19/2012	1	PRECISION CASTPARTS CORP	176.10	151.85	176.10	151.85	24.25
03/08/2011	11/20/2012	10	BROADCOM CORP-CL A	31.01	41.23	310.13	412.32	-102.19
04/12/2010	11/20/2012	10	EMC CORP/MASS	24.09	19.18	240.92	191.84	49.08
01/11/2011	11/20/2012	5	INTUIT INC	58.16	47.59	290.78	237.95	52.83
02/15/2011	11/20/2012	5	INTUIT INC	58.16	49.96	290.78	249.79	40.99
02/22/2011	11/20/2012	3	INTUIT INC	58.16	52.83	174.47	158.49	15.98
04/15/2011	11/27/2012	3	MONSANTO CO	90.96	67.36	272.88	202.07	70.81
07/12/2010	11/28/2012	15	MARATHON OIL CORP	31.05	19.43	465.78	291.42	174.36
02/25/2011	11/28/2012	20	MARATHON OIL CORP	31.05	29.32	621.03	586.31	34.72
09/30/2010	12/03/2012	3	JOHNSON & JOHNSON	69.63	61.86	208.89	185.57	23.32
12/23/2010	12/03/2012	4	JOHNSON & JOHNSON	69.63	62.31	278.51	249.25	29.26
12/23/2010	12/05/2012	1	JOHNSON & JOHNSON	69.74	62.31	69.74	62.31	7.43
04/07/2011	12/05/2012	5	JOHNSON & JOHNSON	69.74	59.43	348.68	297.16	51.52
08/18/2011	12/07/2012	1	ROCKWELL AUTOMATION INC	80.33	57.01	80.33	57.01	23.32
11/04/2011	12/07/2012	5	ROCKWELL AUTOMATION INC	80.33	70.41	401.65	352.07	49.58
08/02/2011	12/07/2012	10	***TRANSOCEAN LTD	46.03	60.31	460.29	603.08	-142.79
11/09/2011	12/07/2012	15	***TRANSOCEAN LTD	46.03	50.59	690.43	758.90	-68.47
11/15/2011	12/14/2012	20	GENERAL MOTORS CO	24.72	23.05	494.32	461.10	33.22
12/04/2008	12/19/2012	5	SCHLUMBERGER LTD	71.14	41.28	355.70	206.40	149.30
12/18/2008	12/19/2012	5	SCHLUMBERGER LTD	71.14	42.25	355.69	211.27	144.42
12/18/2008	12/20/2012	5	SCHLUMBERGER LTD	71.05	42.25	355.25	211.27	143.98
04/20/2010	12/27/2012	30	FORD MOTOR CO	12.57	13.85	377.08	415.57	-38.49
05/10/2010	12/27/2012	10	FORD MOTOR CO	12.57	12.20	125.69	122.00	3.69
			LONG-TERM NON-COVERED			99,699.62	95,112.78	4,586.74
			1/1/12 - 2/28/12			(28,771.82)	32,024.52	3252.70
			3/1/12 - 12/31/12			70,927.70	63,088.26	7839.44
			1/1/13 - 2/28/13			48,627.75	49,205.40	(577.65)
			3/1/12 - 2/28/13			119,555.45	112,293.66	7261.79

Capital Gains

Account: BRUMBERGER FOUNDATION INC. (888-36240)
January 1, 2013 - February 28, 2013

Nontaxable Short-Term Capital Gains

Account No.	Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
888-36240	07/10/2012	01/07/2013	1	CHIPOTLE MEXICAN GRILL-CL A	$298.17	$382.23	$298.17	$382.23	($84.06)
888-36240	04/10/2012	01/07/2013	5	TIBCO SOFTWARE INC	$21.89	$32.47	$109.43	$162.36	($52.93)
888-36240	03/30/2012	01/07/2013	15	TIBCO SOFTWARE INC	$21.89	$30.48	$328.29	$457 23	($128.94)
						Total	$17,232.46	$15,226.34	$2,006.12

Nontaxable Long-Term Capital Gains

Account No.	Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
888-36240	02/07/2012	02/28/2013	19	CISCO SYSTEMS INC	$20.87	$20.26	$396.49	$384.85	$11.64
888-36240	12/22/2011	02/28/2013	11	CISCO SYSTEMS INC	$20.87	$18.16	$229.55	$199.73	$29.82
888-36240	07/28/2008	02/28/2013	2	APPLE INC	$445 59	$160 93	$891.18	$321 85	$569.33
888-36240	10/11/2011	02/22/2013	5	BP PLC-SPONS ADR	$40.87	$38.15	$204 33	$190.73	$13.60
888-36240	10/07/2011	02/22/2013	15	BP PLC-SPONS ADR	$40 87	$37.07	$612.99	$556 05	$56.94
888-36240	09/14/2011	02/22/2013	25	BP PLC-SPONS ADR	$40.87	$38.22	$1,021 64	$955.48	$66.16
888-36240	08/12/2011	02/22/2013	20	BP PLC-SPONS ADR	$40.87	$39.72	$817.32	$794 43	$22.89
888-36240	12/28/2011	02/19/2013	30	MGM RESORTS INTERNATIONAL	$12.80	$10.13	$384 14	$303.92	$80 22
888-36240	12/28/2011	02/19/2013	40	MGM RESORTS INTERNATIONAL	$12.80	$10.13	$512.18	$405 22	$106.96
888-36240	03/18/2011	02/19/2013	2	FLOWSERVE CORPORATION	$159.44	$122.77	$318 89	$245.54	$73 35
888-36240	08/30/2011	02/15/2013	9	DIRECTV	$49.40	$43.84	$444.64	$394 56	$50.08
888-36240	02/27/2009	02/15/2013	5	SCHLUMBERGER LTD	$79.63	$37 78	$398 16	$188.90	$209 26
888-36240	12/21/2010	02/12/2013	5	ASTRAZENECA PLC-SPONS ADR	$47.23	$45.80	$236 17	$228.98	$7 19
888-36240	12/14/2010	02/12/2013	5	ASTRAZENECA PLC-SPONS ADR	$47.23	$48.97	$236.17	$244 86	($8.69)
888-36240	11/29/2010	02/12/2013	15	ASTRAZENECA PLC-SPONS ADR	$47.23	$47 31	$708 51	$709.63	($1 12)
888-36240	11/21/2011	02/11/2013	5	APPLIED MATERIALS INC	$13.62	$10.85	$68.09	$54.24	$13.85
888-36240	10/14/2011	02/11/2013	20	APPLIED MATERIALS INC	$13.62	$11.49	$272 37	$229.77	$42 60
888-36240	10/06/2011	02/05/2013	3	UNITEDHEALTH GROUP INC	$56.95	$44.47	$170.85	$133.40	$37 45
888-36240	05/10/2011	02/05/2013	15	MCGRAW-HILL COMPANIES INC	$46.85	$42.12	$702.78	$631.80	$70.98
888-36240	11/29/2010	02/04/2013	5	ASTRAZENECA PLC-SPONS ADR	$48.06	$47.31	$240.31	$236.55	$3 76
888-36240	07/29/2010	02/04/2013	10	ASTRAZENECA PLC-SPONS ADR	$48.06	$51.56	$480.63	$515 63	($35.00)
888-36240	05/19/2010	02/04/2013	35	ASTRAZENECA PLC-SPONS ADR	$48.06	$42.04	$1,682.20	$1,471.27	$210 93

BERNSTEIN
Global Wealth Management

Capital Gains

Account: BRUMBERGER FOUNDATION INC. (888-36240)
January 1, 2013 - February 28, 2013

Nontaxable Long-Term Capital Gains

Account No.	Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
888-36240	12/18/2008	01/30/2013	5	SCHLUMBERGER LTD	$78.86	$42.25	$394.29	$211.26	$183.03
888-36240	07/02/2007	01/30/2013	10	PFIZER INC	$27 60	$25.70	$276.05	$257 00	$19.05
888-36240	12/22/2011	01/28/2013	5	DOLLAR GENERAL CORP	$46.97	$41 08	$234.83	$205.41	$29 42
888-36240	11/11/2011	01/28/2013	1	BOEING CO/THE	$74 37	$66.97	$74.37	$66.97	$7 40
888-36240	11/07/2011	01/28/2013	5	BOEING CO/THE	$74 37	$65 59	$371 87	$327.95	$43 92
888-36240	08/12/2011	01/24/2013	10	UNITEDHEALTH GROUP INC	$56.01	$44.89	$560.12	$448.91	$111.21
888-36240	06/30/2011	01/24/2013	5	CITRIX SYSTEMS INC	$69.33	$79 35	$346 65	$396.76	($50.11)
888-36240	12/28/2011	01/23/2013	25	MGM RESORTS INTERNATIONAL	$12.95	$10.13	$323 71	$253.27	$70 44
888-36240	12/22/2011	01/23/2013	34	CISCO SYSTEMS INC	$20.65	$18.16	$702.20	$617.33	$84.87
888-36240	12/16/2011	01/23/2013	4	HERSHEY CO/THE	$78 77	$59.35	$315.08	$237.39	$77.69
888-36240	11/02/2011	01/23/2013	5	BOEING CO/THE	$74 38	$64.42	$371.90	$322.10	$49.80
888-36240	11/02/2011	01/23/2013	3	HARLEY DAVIDSON INC	$51.84	$38.69	$155.53	$116 08	$39.45
888-36240	10/20/2011	01/23/2013	5	TRW AUTOMOTIVE HOLDINGS CORP	$56 50	$39.14	$282.48	$195.71	$86.77
888-36240	10/14/2011	01/23/2013	25	APPLIED MATERIALS INC	$12 66	$11.49	$316 51	$287.22	$29.29
888-36240	09/30/2011	01/23/2013	10	LYONDELLBASELL INDU-CL A	$61.92	$24.96	$619 21	$249.56	$369.65
888-36240	08/12/2011	01/23/2013	10	BP PLC-SPONS ADR	$44.23	$39.72	$442.34	$397.21	$45 13
888-36240	08/12/2011	01/23/2013	5	UNITEDHEALTH GROUP INC	$55.85	$44.89	$279 23	$224.46	$54 77
888-36240	08/04/2011	01/23/2013	1	VISA INC - CLASS A SHRS	$159.47	$85.68	$159 47	$85 68	$73 79
888-36240	05/19/2011	01/23/2013	5	CITRIX SYSTEMS INC	$69 19	$82 97	$345.95	$414 87	($68.92)
888-36240	05/03/2011	01/23/2013	25	TYSON FOODS INC-CL A	$22 30	$19.78	$557.39	$494 47	$62 92
888-36240	04/29/2011	01/23/2013	5	WELLPOINT INC	$64 62	$76.33	$323.07	$381 63	($58.56)
888-36240	04/26/2011	01/23/2013	5	WELLPOINT INC	$64.62	$73.04	$323 08	$365.21	($42.13)
888-36240	04/25/2011	01/23/2013	8	CITIGROUP INC	$42 10	$45.58	$336.83	$364.66	($27 83)
888-36240	04/14/2011	01/23/2013	1	FLOWSERVE CORPORATION	$154.97	$127.05	$154 97	$127.05	$27 92
888-36240	03/31/2011	01/23/2013	7	CITIGROUP INC	$42 10	$44.19	$294.73	$309 32	($14 59)
888-36240	03/23/2011	01/23/2013	5	QUALCOMM INC	$65 25	$52 06	$326 25	$260.32	$65 93
888-36240	03/07/2011	01/23/2013	2	ALLERGAN INC	$104 58	$71.50	$209.16	$142.99	$66 17
888-36240	02/23/2011	01/23/2013	30	DELTA AIR LINES INC	$13.83	$10.47	$414.90	$314.20	$100 70
888-36240	02/22/2011	01/23/2013	7	COMCAST CORP-CLASS A	$40.05	$25.27	$280.35	$176 89	$103.46
888-36240	01/28/2011	01/23/2013	10	DELTA AIR LINES INC	$13.83	$12.07	$138.30	$120.72	$17.58

BERNSTEIN
Global Wealth Management

Capital Gains

Account: BRUMBERGER FOUNDATION INC. (888-36240)
January 1, 2013 - February 28, 2013

Nontaxable Long-Term Capital Gains

Account No.	Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain/(Loss)
888-36240	12/17/2010	01/23/2013	15	VIACOM INC-CLASS B	$59.25	$38.63	$888.70	$579 41	$309.29
888-36240	11/24/2010	01/23/2013	10	KROGER CO	$27.58	$22.98	$275.78	$229.76	$46 02
888-36240	10/28/2010	01/23/2013	5	STARBUCKS CORP	$54 53	$28.17	$272.65	$140 87	$131 78
888-36240	09/10/2010	01/23/2013	1	NOBLE ENERGY INC	$107.44	$75 10	$107.44	$75.10	$32 34
888-36240	08/11/2010	01/23/2013	5	THE WALT DISNEY CO.	$53.99	$34.55	$269 96	$172.73	$97.23
888-36240	06/22/2010	01/23/2013	468 779	OVERLAY A PORTFOLIO CLASS 1	$10.89	$10.29	$5,105.00	$4,823 74	$281.26
888-36240	05/04/2010	01/23/2013	2	NOBLE ENERGY INC	$107.44	$76 64	$214.88	$153 28	$61 60
888-36240	05/04/2010	01/23/2013	5	WELLS FARGO & COMPANY	$35.03	$33.24	$175 17	$166.22	$8 95
888-36240	09/21/2009	01/23/2013	2	DANAHER CORP	$60.46	$33.87	$120 91	$67 74	$53 17
888-36240	09/14/2009	01/23/2013	3	DANAHER CORP	$60.46	$33.96	$181.37	$101 88	$79.49
888-36240	09/29/2008	01/23/2013	1	GOOGLE INC-CL A	$745 98	$413.38	$745.98	$413 38	$332.60
888-36240	07/28/2008	01/23/2013	1	APPLE INC	$513 76	$160 92	$513 76	$160.92	$352 84
888-36240	06/26/2007	01/23/2013	25	PFIZER INC	$26.71	$25.61	$667.72	$640 30	$27 42
888-36240	06/19/2007	01/23/2013	539.858	BERNSTEIN INTERMEDIATE DURATION PORTFOLIO	$14 05	$12.98	$7,585.00	$7,007 36	$577 64
888-36240	06/19/2007	01/23/2013	480.675	BERNSTEIN INTERNATIONAL PORTFOLIO	$14.23	$28.81	$6,840.00	$13,848.25	($7,008.25)
888-36240	06/19/2007	01/23/2013	15	PFIZER INC	$26.71	$26.14	$400.64	$392.17	$8 47
888-36240	10/18/2011	01/17/2013	65	MICRON TECHNOLOGY INC	$7.79	$5.68	$506.49	$368 90	$137.59
888-36240	08/08/2011	01/15/2013	10	UNITEDHEALTH GROUP INC	$53 46	$42.64	$534.59	$426.41	$108 18
888-36240	02/03/2011	01/15/2013	5	CITRIX SYSTEMS INC	$68.89	$65.28	$344 46	$326.42	$18.04
888-36240	09/09/2011	01/10/2013	10	DOLLAR GENERAL CORP	$42.96	$34.65	$429.64	$346.47	$83.17
888-36240	08/08/2011	01/08/2013	5	UNITEDHEALTH GROUP INC	$51.77	$42.64	$258.83	$213.20	$45.63
888-36240	03/07/2011	01/07/2013	1	ALLERGAN INC	$98.34	$71.50	$98.34	$71.50	$26 84
888-36240	02/14/2011	01/07/2013	4	ALLERGAN INC	$98.34	$74.01	$393 36	$296.02	$97 34
888-36240	09/29/2008	01/07/2013	1	GOOGLE INC-CL A	$736.67	$413.38	$736 67	$413 38	$323 29
						Total	**$48,627.75**	**$49,205.40**	**($577.65)**

[2] The selected account is Nontaxable

Form **8868**

(Rev January 2013)

Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print

File by the due date for filing your return See instructions

Name of exempt organization or other filer, see instructions: BRUMBERGER GRUBER FOUNDATIONS, INC

Employer identification number (EIN) or: 16-6035604

Number, street, and room or suite no If a P O box, see instructions.: C/O MICHAEL K. BRAUNSTEIN & COMPANY

Social security number (SSN):

City, town or post office, state, and ZIP code. For a foreign address, see instructions.: NEW YORK, NY 10001

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720- (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ MICHAEL K. BRAUNSTEIN

Telephone No ▶ 212 687-3939 FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box . . . . . . ▶ ☐ If it is for part of the group, check this box . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 10/15, 20 13, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ ☐ calendar year 20 ____ or

▶ ☒ tax year beginning 03/01, 2012, and ending 02/28, 20 13.

2 If the tax year entered in line 1 is for less than 12 months, check reason. ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions.	3a	$
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$
c	**Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	3c	$

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see Instructions. Form **8868** (Rev 1-2013)