Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning 02/01 **, 2012, and ending** 01/31, 20 13

Name of foundation: TR UW FOR LAUB FAMILY FOUNDATION P61578004

A Employer identification number: 52-7091387

Number and street (or P O box number if mail is not delivered to street address): 10 S DEARBORN IL1-0117 — Room/suite:

B Telephone number (see instructions): 866- 888-5157

City or town, state, and ZIP code: CHICAGO, IL 60603

C If exemption application is pending, check here ▶ [ ]

D 1 Foreign organizations, check here ▶ [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [X] Address change [ ] Name change

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 1,439,338.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) ____________
(Part I, column (d) must be on cash basis.)

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Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ [X] if the foundation is **not** required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	33,441.	31,589.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	18,350.			
	b Gross sales price for all assets on line 6a 423,311.				
	7 Capital gain net income (from Part IV, line 2)		18,350.		
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	51,791.	49,939.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	24,788.	18,591.		6,197.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 1	446.	446.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) STMT 2	250.			250.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	25,484.	19,037.		6,447.
	25 Contributions, gifts, grants paid	61,000.			61,000.
	26 **Total expenses and disbursements.** Add lines 24 and 25	86,484.	19,037.		67,447.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	-34,693.			
	b **Net investment income** (if negative, enter -0-)		30,902.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	-2,952.		
	2 Savings and temporary cash investments	24,673.	73,846.	73,846.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule)	704,235.	739,994.	935,712.
	c Investments - corporate bonds (attach schedule)	536,807.	414,225.	429,780.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule)			
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	1,262,763.	1,228,065.	1,439,338.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)		NONE	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds	1,262,763.	1,228,065.	
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	1,262,763.	1,228,065.	
	31 **Total liabilities and net assets/fund balances** (see instructions)	1,262,763.	1,228,065.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,262,763.
2 Enter amount from Part I, line 27a	2	-34,693.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	1,228,070.
5 Decreases not included in line 2 (itemize) ▶ PRIOR PERIOD ADJUSTMENT	5	5.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,228,065.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	423,311.		404,961.	18,350.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				18,350.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** 18,350.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . . . . **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	71,104.	1,419,701.	0.050084
2010	70,626.	1,399,832.	0.050453
2009	65,338.	1,301,640.	0.050197
2008	70,761.	1,395,009.	0.050724
2007	38,742.	1,581,128.	0.024503

2	**Total** of line 1, column (d)	2	0.225961
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.045192
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	1,388,118.
5	Multiply line 4 by line 3	5	62,732.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	309.
7	Add lines 5 and 6	7	63,041.
8	Enter qualifying distributions from Part XII, line 4	8	67,447.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1. Date of ruling or determination letter ________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	309.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	309.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	309.
6	Credits/Payments:		
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a: 400.		
b	Exempt foreign organizations - tax withheld at source — 6b: NONE		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 300.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments Add lines 6a through 6d	7	700.
8	Enter any **penalty** for underpayment of estimated tax Check here [ ] if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	391.
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ 391. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ________ (2) On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			
14	The books are in care of ▶ JPMORGAN CHASE BANK, NA Telephone no ▶ (866)888-5157			
	Located at ▶ 10 S DEARBORN IL1-0117, CHICAGO, IL ZIP+4 ▶ 60603			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐			
	and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Line	Yes	No
1a	During the year did the foundation (either directly or indirectly).				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JPMORGAN CHASE BANK, NA 10 S DEARBORN IL1-0117, CHICAGO, IL 60603	TRUSTEE 2	22,650.	-0-	-0-
MARILYN ELIAS, C/O JPMORGAN CHASE 270 PARK AVENUE, NY1-K355, NEW YORK, NY 10017-2014	CO-TRUSTEE 3	2,138.		

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services . . . . . . ▶		NONE

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 . . . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,338,212.
b	Average of monthly cash balances	1b	71,045.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	1,409,257.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	1,409,257.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	21,139.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,388,118.
6	**Minimum investment return.** Enter 5% of line 5	6	69,406.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	69,406.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	309.		
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	309.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	69,097.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	69,097.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	69,097.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	67,447.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	67,447.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	309.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	67,138.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1	Distributable amount for 2012 from Part XI, line 7				69,097.
2	Undistributed income, if any, as of the end of 2012				
a	Enter amount for 2011 only			60,890.	
b	Total for prior years. 20 10 , 20 ___ , 20 ___		NONE		
3	Excess distributions carryover, if any, to 2012				
a	From 2007 NONE				
b	From 2008 NONE				
c	From 2009 NONE				
d	From 2010 NONE				
e	From 2011 NONE				
f	**Total** of lines 3a through e	NONE			
4	Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 67,447.				
a	Applied to 2011, but not more than line 2a			60,890.	
b	Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c	Treated as distributions out of corpus (Election required - see instructions)	NONE			
d	Applied to 2012 distributable amount				6,557.
e	Remaining amount distributed out of corpus	NONE			
5	Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	NONE			NONE
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b	Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d	Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e	Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions				
f	Undistributed income for 2012. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				62,540.
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8	Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	NONE			
9	**Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	NONE			
10	Analysis of line 9:				
a	Excess from 2008 NONE				
b	Excess from 2009 NONE				
c	Excess from 2010 NONE				
d	Excess from 2011 NONE				
e	Excess from 2012 NONE				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section [ ] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	**(b)** 2011	**(c)** 2010	**(d)** 2009	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . .					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
RABBI ISAAC ELCHANON RABBINICAL SEMINARY AT Y 500 W 185TH ST NEW YORK NY 10033	NONE	PUBLIC CHA	GENERAL	61,000.
Total . . . . ▶ 3a				61,000.
b *Approved for future payment*				
Total . . . . ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	33,441.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	18,350.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				51,791.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 51,791.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Anthony Ward | 12/02/2013 |

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no.	

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	6.	6.
FOREIGN TAXES ON QUALIFIED FOR	394.	394.
FOREIGN TAXES ON NONQUALIFIED	46.	46.
TOTALS	446.	446.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
ATTORNEY GENERAL FEE	250.	250.
TOTALS	250.	250.

SCHEDULE D (Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041.

OMB No 1545-0092

2012

Name of estate or trust: TR UW FOR LAUB FAMILY FOUNDATION P61578004

Employer identification number: 52-7091387

Note: *Form 5227 filers need to complete only Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b	Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	1,008.
2	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4	Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet	4	( )
5	**Net short-term gain or (loss).** Combine lines 1a through 4 in column (f). Enter here and on line 13, column (3) on the back ▶	5	1,008.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a LONG-TERM CAPITAL GAIN DIVIDENDS					7,939.

b	Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	9,403.
7	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9	Capital gain distributions	9	
10	Gain from Form 4797, Part I	10	
11	Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet	11	( )
12	**Net long-term gain or (loss).** Combine lines 6a through 11 in column (f). Enter here and on line 14a, column (3) on the back ▶	12	17,342.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Part III Summary of Parts I and II

Caution: *Read the instructions* ***before*** *completing this part.*

			(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
13	**Net short-term gain or (loss)**	13			1,008.
14	**Net long-term gain or (loss):**				
a	Total for year	14a			17,342.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	14b			
c	28% rate gain	14c			
15	**Total net gain or (loss).** Combine lines 13 and 14a ▶	15			18,350.

Note: *If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 14a and 15, column (2), are net gains, go to Part V, and* ***do not*** *complete Part IV If line 15, column (3), is a net loss, complete Part IV and the* ***Capital Loss Carryover Worksheet,*** *as necessary*

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 15, column (3) **or** b $3,000 ... 16 ( )

Note: *If the loss on line 15, column (3), is more than $3,000,* ***or*** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* ***Capital Loss Carryover Worksheet*** *in the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

Caution: *Skip this part and complete the* ***Schedule D Tax Worksheet*** *in the instructions if:*

- *Either line 14b, col. (2) or line 14c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col (2) or line 14c, col. (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			17			
18	Enter the **smaller** of line 14a or 15 in column (2) but not less than zero	18					
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19					
20	Add lines 18 and 19	20					
21	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	21					
22	Subtract line 21 from line 20. If zero or less, enter -0-			22			
23	Subtract line 22 from line 17. If zero or less, enter -0-			23			
24	Enter the **smaller** of the amount on line 17 or $2,400			24			
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ **Yes.** Skip lines 25 and 26; go to line 27 and check the "No" box. ☐ **No.** Enter the amount from line 23			25			
26	Subtract line 25 from line 24			26			
27	Are the amounts on lines 22 and 26 the same? ☐ **Yes.** Skip lines 27 thru 30, go to line 31 ☐ **No.** Enter the smaller of line 17 or line 22			27			
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)			28			
29	Subtract line 28 from line 27			29			
30	Multiply line 29 by 15% (.15)					30	
31	Figure the tax on the amount on line 23 Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					31	
32	Add lines 30 and 31					32	
33	Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)					33	
34	**Tax on all taxable income.** Enter the **smaller** of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)					34	

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*

OMB No 1545-0092

2012

Name of estate or trust: TR UW FOR LAUB FAMILY FOUNDATION P61578004

Employer identification number: 52-7091387

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr.)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 5. OCCIDENTAL PETE CORP	VAR	02/01/2012	496.00	430.00	66.00
15. COCA-COLA CO	11/09/2011	02/03/2012	1,023.00	1,010.00	13.00
8. MONSANTO CO NEW	VAR	02/07/2012	638.00	556.00	82.00
11. BAKER HUGHES INC	11/10/2011	02/10/2012	533.00	629.00	-96.00
11. DARDEN RESTAURANTS INC	10/05/2011	02/21/2012	561.00	477.00	84.00
3. DOMINION RES INC VA NEW	10/14/2011	02/21/2012	150.00	151.00	-1.00
12. DOMINION RES INC VA NE	10/14/2011	02/21/2012	602.00	605.00	-3.00
1. MICROS SYS INC	10/25/2011	02/21/2012	51.00	50.00	1.00
1. MICROS SYS INC	10/25/2011	02/22/2012	51.00	50.00	1.00
2. MICROS SYS INC	10/25/2011	02/22/2012	102.00	100.00	2.00
1. MICROS SYS INC	10/25/2011	02/23/2012	51.00	50.00	1.00
1. MICROS SYS INC	10/25/2011	02/23/2012	51.00	50.00	1.00
9. SOUTHWESTERN ENERGY CO	08/16/2011	03/05/2012	293.00	355.00	-62.00
15. NEXTERA ENERGY INC	12/12/2011	03/16/2012	901.00	857.00	44.00
8. TARGET CORP	VAR	03/20/2012	464.00	380.00	84.00
5. TARGET CORP	06/14/2011	03/21/2012	291.00	236.00	55.00
2. TARGET CORP	06/14/2011	03/21/2012	115.00	95.00	20.00
1. TARGET CORP	06/14/2011	03/21/2012	58.00	47.00	11.00
3. TARGET CORP	06/14/2011	03/22/2012	173.00	142.00	31.00
1. AMAZON COM INC	08/10/2011	04/09/2012	192.00	199.00	-7.00
4. CAMPBELL SOUP CO	07/12/2011	04/12/2012	132.00	139.00	-7.00
6. CAMPBELL SOUP CO	07/12/2011	04/13/2012	198.00	209.00	-11.00
1. MERCK & CO INC	01/09/2012	04/13/2012	38.00	38.00	
1. MERCK & CO INC	01/09/2012	04/13/2012	38.00	38.00	

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

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OMB No 1545-0092

2012

Name of estate or trust: TR UW FOR LAUB FAMILY FOUNDATION P61578004

Employer identification number: 52-7091387

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a	1. MERCK & CO INC	01/09/2012	04/13/2012	38.00	38.00	
	1. MERCK & CO INC	01/09/2012	04/13/2012	38.00	38.00	
	10. XILINX INC	11/01/2011	04/13/2012	354.00	330.00	24.00
	1. MERCK & CO INC	01/09/2012	04/16/2012	38.00	38.00	
	2. MERCK & CO INC	01/09/2012	04/16/2012	76.00	76.00	
	1. MERCK & CO INC	01/09/2012	04/16/2012	38.00	38.00	
	2. MERCK & CO INC	VAR	04/16/2012	76.00	75.00	1.00
	1. MERCK & CO INC	05/17/2011	04/16/2012	38.00	37.00	1.00
	1. MERCK & CO INC	05/17/2011	04/16/2012	38.00	37.00	1.00
	1. XILINX INC	11/01/2011	04/16/2012	35.00	33.00	2.00
	2. MERCK & CO INC	05/17/2011	04/17/2012	76.00	75.00	1.00
	3. MERCK & CO INC	05/17/2011	04/17/2012	115.00	112.00	3.00
	1. MERCK & CO INC	04/18/2011	04/17/2012	38.00	34.00	4.00
	14. JUNIPER NETWORKS INC	VAR	04/23/2012	283.00	447.00	-164.00
	34. TEXAS INSTRS INC	VAR	05/07/2012	1,045.00	1,125.00	-80.00
	7. AMERIPRISE FINANCIAL IN	02/09/2012	06/01/2012	322.00	380.00	-58.00
	3. AMERIPRISE FINANCIAL IN	02/09/2012	06/04/2012	137.00	163.00	-26.00
	3. AMERIPRISE FINANCIAL IN	02/09/2012	06/04/2012	137.00	163.00	-26.00
	58. GENERAL ELEC CO	09/16/2011	06/15/2012	1,149.00	943.00	206.00
	4. EXXON MOBIL CORP	01/19/2012	06/21/2012	330.00	347.00	-17.00
	6. PROCTER & GAMBLE CO	02/01/2012	06/21/2012	359.00	381.00	-22.00
	9. CVS CORP	01/09/2012	06/22/2012	411.00	376.00	35.00
	2. CVS CORP	01/09/2012	06/22/2012	91.00	84.00	7.00
	2. CVS CORP	01/09/2012	06/22/2012	91.00	84.00	7.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.
▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs gov/form1041*

OMB No 1545-0092

2012

Name of estate or trust: TR UW FOR LAUB FAMILY FOUNDATION P61578004

Employer identification number: 52-7091387

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 1. WILLIAMS COS INC	01/04/2012	06/22/2012	28.00	27.00	1.00
1. WILLIAMS COS INC	01/04/2012	06/22/2012	28.00	27.00	1.00
1. WILLIAMS COS INC	01/04/2012	06/22/2012	28.00	27.00	1.00
2. WILLIAMS COS INC	01/04/2012	06/22/2012	57.00	54.00	3.00
1. WILLIAMS COS INC	01/04/2012	06/25/2012	27.00	27.00	
1. WILLIAMS COS INC	01/04/2012	06/25/2012	27.00	27.00	
1. WILLIAMS COS INC	01/04/2012	06/25/2012	28.00	27.00	1.00
5. EQT CORPORATION	VAR	07/02/2012	268.00	263.00	5.00
1. EQT CORPORATION	03/05/2012	07/03/2012	55.00	52.00	3.00
2. EQT CORPORATION	02/22/2012	07/03/2012	109.00	105.00	4.00
1. EQT CORPORATION	02/22/2012	07/03/2012	55.00	52.00	3.00
1. EQT CORPORATION	03/05/2012	07/05/2012	55.00	52.00	3.00
1. EQT CORPORATION	03/05/2012	07/05/2012	55.00	52.00	3.00
1. EQT CORPORATION	03/05/2012	07/06/2012	54.00	52.00	2.00
1. HUMANA INC	10/31/2011	07/11/2012	75.00	86.00	-11.00
8. MARRIOTT INTL INC NEW C	02/28/2012	07/11/2012	303.00	283.00	20.00
1. HUMANA INC	10/31/2011	07/12/2012	74.00	86.00	-12.00
1. HUMANA INC	10/31/2011	07/13/2012	75.00	86.00	-11.00
4. CAMERON INTERNATIONAL C	02/10/2012	07/18/2012	180.00	227.00	-47.00
1. HUMANA INC	10/31/2011	07/18/2012	73.00	86.00	-13.00
1. HUMANA INC	10/31/2011	07/19/2012	75.00	86.00	-11.00
5. EXXON MOBIL CORP	01/19/2012	07/27/2012	431.00	434.00	-3.00
12. JOHNSON & JOHNSON	VAR	07/27/2012	831.00	815.00	16.00
1. AUTOZONE INC	08/19/2011	08/03/2012	368.00	290.00	78.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

OMB No 1545-0092

2012

Name of estate or trust: TR UW FOR LAUB FAMILY FOUNDATION P61578004

Employer identification number: 52-7091387

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 5. COGNIZANT TECHNOLOGY SO	VAR	08/03/2012	288.00	306.00	-18.00
1. COGNIZANT TECHNOLOGY SO	05/07/2012	08/03/2012	57.00	58.00	-1.00
5. MARRIOTT INTL INC NEW C	VAR	08/13/2012	184.00	176.00	8.00
14. MARRIOTT INTL INC NEW	01/26/2012	08/14/2012	517.00	488.00	29.00
5. EXXON MOBIL CORP	01/19/2012	09/07/2012	449.00	434.00	15.00
23. WALGREEN CO	VAR	09/07/2012	802.00	686.00	116.00
2. EXXON MOBIL CORP	01/19/2012	09/13/2012	183.00	173.00	10.00
9. CBS CORP	10/06/2011	09/26/2012	321.00	194.00	127.00
1.5 ADT CORPORATION	06/15/2012	10/05/2012	57.00	52.00	5.00
2. ADT CORPORATION	06/15/2012	10/15/2012	73.00	23.00	50.00
2. ADT CORPORATION	06/15/2012	10/16/2012	75.00	23.00	52.00
1. MARATHON PETROLEUM CORP	07/31/2012	10/16/2012	55.00	48.00	7.00
1. MARATHON PETROLEUM CORP	07/31/2012	10/16/2012	55.00	48.00	7.00
1. MARATHON PETROLEUM CORP	07/30/2012	10/16/2012	55.00	47.00	8.00
7. MARATHON PETROLEUM CORP	VAR	10/16/2012	383.00	330.00	53.00
4. MARATHON PETROLEUM CORP	VAR	10/17/2012	223.00	188.00	35.00
5. MARATHON PETROLEUM CORP	07/18/2012	10/17/2012	278.00	234.00	44.00
1. MARATHON PETROLEUM CORP	07/18/2012	10/17/2012	56.00	47.00	9.00
1. MARATHON PETROLEUM CORP	07/18/2012	10/17/2012	55.00	47.00	8.00
2. ABBOTT LABS	VAR	10/18/2012	132.00	140.00	-8.00
9. ABBOTT LABS	VAR	10/18/2012	589.00	592.00	-3.00
1. ABBOTT LABS	04/26/2012	10/18/2012	66.00	62.00	4.00
9. ABBOTT LABS	VAR	10/18/2012	591.00	548.00	43.00
2. CAPITAL ONE FINL CORP	12/05/2011	10/22/2012	120.00	94.00	26.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.
▶ Information about Schedule D (Form 1041) and its separate instructions is at *www.irs.gov/form1041*

OMB No 1545-0092

2012

Name of estate or trust: TR UW FOR LAUB FAMILY FOUNDATION P61578004

Employer identification number: 52-7091387

Part I **Short-Term Capital Gains and Losses - Assets Held One Year or Less**

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 5. CAPITAL ONE FINL CORP	12/05/2011	10/22/2012	300.00	235.00	65.00
1. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	60.00	47.00	13.00
1. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	60.00	47.00	13.00
1. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	59.00	47.00	12.00
1. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	60.00	47.00	13.00
2. CAPITAL ONE FINL CORP	12/05/2011	10/23/2012	119.00	94.00	25.00
18. ALTERA CORP	VAR	10/26/2012	546.00	690.00	-144.00
1. COVIDIEN PLC NEW	07/27/2012	10/26/2012	55.00	55.00	
1. COVIDIEN PLC NEW	07/27/2012	10/31/2012	55.00	55.00	
2. COVIDIEN PLC NEW	07/27/2012	11/02/2012	112.00	110.00	2.00
1. EOG RESOURCES INC	09/10/2012	11/05/2012	116.00	114.00	2.00
1. EOG RESOURCES INC	09/07/2012	11/05/2012	116.00	113.00	3.00
2. PIONEER NAT RES CO	04/19/2012	11/05/2012	213.00	212.00	1.00
1. COVIDIEN PLC NEW	07/27/2012	11/05/2012	56.00	55.00	1.00
2. LAM RESEARCH CORPORATIO	01/06/2012	11/16/2012	69.00	75.00	-6.00
5. LAM RESEARCH CORPORATIO	VAR	11/19/2012	174.00	185.00	-11.00
4. LAM RESEARCH CORPORATIO	07/06/2012	11/19/2012	139.00	141.00	-2.00
2. LAM RESEARCH CORPORATIO	07/06/2012	11/20/2012	68.00	71.00	-3.00
2. CSX CORP	05/29/2012	12/04/2012	39.00	43.00	-4.00
9. CSX CORP	VAR	12/04/2012	177.00	194.00	-17.00
3. CSX CORP	05/25/2012	12/05/2012	59.00	64.00	-5.00
16. FREEPORT-MCMORAN COPPE B	VAR	12/05/2012	525.00	737.00	-212.00
1. FREEPORT-MCMORAN COPPER	03/20/2012	12/05/2012	33.00	39.00	-6.00
1. CARNIVAL CORPORATION	09/07/2012	12/12/2012	38.00	37.00	1.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)
Department of the Treasury
Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.
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OMB No 1545-0092

2012

Name of estate or trust: TR UW FOR LAUB FAMILY FOUNDATION P61578004

Employer identification number: 52-7091387

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a	1. CARNIVAL CORPORATION	09/07/2012	12/13/2012	38.00	37.00	1.00
	11. ALTERA CORP	VAR	12/14/2012	362.00	417.00	-55.00
	8. ALTERA CORP	VAR	12/14/2012	265.00	302.00	-37.00
	1. CARNIVAL CORPORATION	09/07/2012	12/14/2012	38.00	37.00	1.00
	1. INTERCONTINENTAL EXCHAN	01/23/2012	12/14/2012	128.00	119.00	9.00
	1. CARNIVAL CORPORATION	09/07/2012	12/17/2012	38.00	37.00	1.00
	1. CARNIVAL CORPORATION	09/07/2012	12/17/2012	39.00	37.00	2.00
	2. CARNIVAL CORPORATION	09/07/2012	12/17/2012	76.00	74.00	2.00
	3. CARNIVAL CORPORATION	09/26/2012	12/17/2012	115.00	111.00	4.00
	1. INTERCONTINENTAL EXCHAN	01/23/2012	12/17/2012	128.00	119.00	9.00
	5. CARNIVAL CORPORATION	VAR	12/18/2012	195.00	184.00	11.00
	2. CARNIVAL CORPORATION	09/10/2012	12/18/2012	78.00	74.00	4.00
	1. CARNIVAL CORPORATION	09/10/2012	12/18/2012	39.00	37.00	2.00
	6. CARNIVAL CORPORATION	09/10/2012	12/18/2012	235.00	221.00	14.00
	2. KINDER MORGAN INC	VAR	01/10/2013	74.00	64.00	10.00
	1. KINDER MORGAN INC	06/29/2012	01/11/2013	37.00	32.00	5.00
	1. KINDER MORGAN INC	06/29/2012	01/15/2013	37.00	32.00	5.00
	1. LINKEDIN CORP - A	04/09/2012	01/15/2013	118.00	99.00	19.00
	2. LINKEDIN CORP - A	04/09/2012	01/15/2013	236.00	198.00	38.00
	1. GOLDMAN SACHS GROUP INC	01/26/2012	01/16/2013	141.00	109.00	32.00
	1. GOLDMAN SACHS GROUP INC	01/26/2012	01/16/2013	139.00	109.00	30.00
	1. KINDER MORGAN INC	06/22/2012	01/16/2013	37.00	32.00	5.00
	1. KINDER MORGAN INC	06/28/2012	01/16/2013	37.00	31.00	6.00
	2. LINKEDIN CORP - A	04/09/2012	01/16/2013	235.00	198.00	37.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b . . . .

SCHEDULE D-1 (Form 1041)

Department of the Treasury Internal Revenue Service

Continuation Sheet for Schedule D (Form 1041)

▶ Attach to Schedule D to list additional transactions for lines 1a and 6a.

▶ Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041

OMB No 1545-0092

2012

Name of estate or trust: TR UW FOR LAUB FAMILY FOUNDATION P61578004

Employer identification number: 52-7091387

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
1a 2. ORACLE CORP	04/12/2012	01/16/2013	70.00	57.00	13.00
2. KINDER MORGAN INC	VAR	01/17/2013	74.00	62.00	12.00
1. KINDER MORGAN INC	06/27/2012	01/17/2013	37.00	31.00	6.00
1. LINKEDIN CORP - A	04/09/2012	01/17/2013	118.00	99.00	19.00
1. ORACLE CORP	04/12/2012	01/17/2013	35.00	29.00	6.00
8. ORACLE CORP	04/12/2012	01/17/2013	278.00	229.00	49.00
2. EOG RESOURCES INC	01/19/2012	01/18/2013	252.00	212.00	40.00
1. EOG RESOURCES INC	01/19/2012	01/18/2013	126.00	106.00	20.00
1. KINDER MORGAN INC	06/27/2012	01/18/2013	37.00	31.00	6.00
1. KINDER MORGAN INC	06/28/2012	01/18/2013	37.00	31.00	6.00
1. KINDER MORGAN INC	06/27/2012	01/18/2013	37.00	31.00	6.00
1. KINDER MORGAN INC	06/28/2012	01/22/2013	38.00	31.00	7.00
1. KINDER MORGAN INC	06/27/2012	01/22/2013	38.00	31.00	7.00
1. KINDER MORGAN INC	06/26/2012	01/22/2013	38.00	31.00	7.00
1. KINDER MORGAN INC	06/26/2012	01/23/2013	38.00	31.00	7.00
1. KINDER MORGAN INC	06/26/2012	01/25/2013	37.00	31.00	6.00
1. KINDER MORGAN INC	06/26/2012	01/25/2013	37.00	31.00	6.00
2. KINDER MORGAN INC	VAR	01/28/2013	75.00	62.00	13.00
1. KINDER MORGAN INC	06/25/2012	01/29/2013	37.00	31.00	6.00

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b 1,008.00

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: TR UW FOR LAUB FAMILY FOUNDATION P61578004

Employer identification number: 52-7091387

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a	8. NORFOLK SOUTHN CORP	10/27/2005	02/08/2012	579.00	316.00	263.00
	2. CARNIVAL CORPORATION	02/22/2011	02/28/2012	60.00	84.00	-24.00
	11. CARNIVAL CORPORATION	VAR	02/28/2012	329.00	444.00	-115.00
	2. CARNIVAL CORPORATION	04/07/2010	02/28/2012	60.00	78.00	-18.00
	3. CARNIVAL CORPORATION	VAR	02/28/2012	89.00	112.00	-23.00
	1. CARNIVAL CORPORATION	01/14/2010	02/28/2012	30.00	35.00	-5.00
	2. CARNIVAL CORPORATION	01/14/2010	02/28/2012	60.00	69.00	-9.00
	6. CARNIVAL CORPORATION	01/14/2010	02/29/2012	181.00	208.00	-27.00
	1. NORFOLK SOUTHN CORP	10/27/2005	03/20/2012	67.00	40.00	27.00
	4. NORFOLK SOUTHN CORP	10/27/2005	03/20/2012	268.00	158.00	110.00
	2. AMAZON COM INC	VAR	04/09/2012	384.00	328.00	56.00
	20. CAMPBELL SOUP CO	VAR	04/12/2012	662.00	728.00	-66.00
	5. XILINX INC	12/22/2010	04/16/2012	176.00	143.00	33.00
	2. XILINX INC	12/22/2010	04/17/2012	71.00	57.00	14.00
	18. XILINX INC	12/22/2010	04/18/2012	635.00	515.00	120.00
	7. JUNIPER NETWORKS INC	09/09/2009	04/23/2012	142.00	183.00	-41.00
	2. MERCK & CO INC	04/18/2011	04/23/2012	76.00	68.00	8.00
	7. DEVON ENERGY CORP NEW	VAR	04/24/2012	468.00	442.00	26.00
	1. MERCK & CO INC	04/18/2011	04/24/2012	38.00	34.00	4.00
	2. MERCK & CO INC	04/18/2011	04/25/2012	77.00	68.00	9.00
	1. MERCK & CO INC	04/18/2011	04/26/2012	38.00	34.00	4.00
	2. MERCK & CO INC	04/18/2011	04/26/2012	77.00	68.00	9.00
	2. MERCK & CO INC	04/18/2011	04/27/2012	77.00	68.00	9.00
	2. MERCK & CO INC	04/18/2011	04/27/2012	77.00	68.00	9.00
	4. NORFOLK SOUTHN CORP	10/27/2005	05/24/2012	269.00	158.00	111.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041 Do not enter name and employer identification number if shown on the other side: TR UW FOR LAUB FAMILY FOUNDATION P61578004

Employer identification number: 52-7091387

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 1. NORFOLK SOUTHN CORP	10/27/2005	05/25/2012	67.00	40.00	27.00
1. NORFOLK SOUTHN CORP	10/27/2005	05/25/2012	67.00	40.00	27.00
1. NORFOLK SOUTHN CORP	10/27/2005	05/25/2012	67.00	40.00	27.00
1. NORFOLK SOUTHN CORP	10/27/2005	05/25/2012	66.00	40.00	26.00
6. NORFOLK SOUTHN CORP	10/27/2005	05/29/2012	401.00	237.00	164.00
2. NORFOLK SOUTHN CORP	10/27/2005	05/29/2012	134.00	79.00	55.00
1778.215 BLACKROCK FDS HI INSTL*	03/30/2011	05/30/2012	13,550.00	13,888.00	-338.00
212.51 DODGE & INTERNATION FUND	03/16/2007	05/30/2012	6,010.00	9,382.00	-3,372.00
4015.94 JPMORGAN INTERNATI FUND	VAR	05/30/2012	43,091.00	45,575.00	-2,484.00
1215.731 JPMORGAN ASIA EQU	05/08/2009	05/30/2012	34,162.00	30,284.00	3,878.00
3614.46 JPMORGAN GROWTH AD	VAR	05/30/2012	34,699.00	33,000.00	1,699.00
4. NORFOLK SOUTHN CORP	10/27/2005	05/30/2012	262.00	158.00	104.00
4. NORFOLK SOUTHN CORP	VAR	05/31/2012	261.00	158.00	103.00
2. NORFOLK SOUTHN CORP	01/14/2009	05/31/2012	131.00	79.00	52.00
12. US BANCORP DEL NEW	12/20/2007	06/05/2012	349.00	379.00	-30.00
4. US BANCORP DEL NEW	12/20/2007	06/05/2012	117.00	126.00	-9.00
11. US BANCORP DEL NEW	12/20/2007	06/05/2012	321.00	348.00	-27.00
7. US BANCORP DEL NEW	04/02/2009	06/05/2012	204.00	106.00	98.00
2. US BANCORP DEL NEW	04/02/2009	06/05/2012	58.00	30.00	28.00
1. US BANCORP DEL NEW	04/02/2009	06/05/2012	29.00	15.00	14.00
1. NIKE INC	03/30/2011	06/15/2012	101.00	77.00	24.00
2. NIKE INC	01/07/2008	06/15/2012	203.00	124.00	79.00
1. NIKE INC	01/07/2008	06/15/2012	100.00	62.00	38.00
1. NIKE INC	01/07/2008	06/18/2012	101.00	62.00	39.00
2. CVS CORP	02/27/2003	06/22/2012	91.00	25.00	66.00

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

TR UW FOR LAUB FAMILY FOUNDATION P61578004

Employer identification number 52-7091387

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a	1. WILLIAMS COS INC	05/05/2011	06/25/2012	27.00	25.00	2.00
	1. WILLIAMS COS INC	05/05/2011	06/25/2012	27.00	25.00	2.00
	1. WILLIAMS COS INC	05/05/2011	06/26/2012	28.00	25.00	3.00
	2. WILLIAMS COS INC	05/05/2011	06/26/2012	56.00	51.00	5.00
	1. WILLIAMS COS INC	05/05/2011	06/27/2012	28.00	25.00	3.00
	2. WILLIAMS COS INC	05/05/2011	06/27/2012	56.00	51.00	5.00
	1. WILLIAMS COS INC	05/05/2011	06/27/2012	28.00	25.00	3.00
	1. WILLIAMS COS INC	05/05/2011	06/27/2012	28.00	25.00	3.00
	2425.222 JPMORGAN TR I	04/14/2011	06/28/2012	23,040.00	30,000.00	-6,960.00
	2456.779 JPMORGAN SHORT DU FUND	12/04/2007	06/28/2012	27,000.00	26,263.00	737.00
	1. WILLIAMS COS INC	05/05/2011	06/28/2012	28.00	25.00	3.00
	1. WILLIAMS COS INC	05/05/2011	06/28/2012	28.00	25.00	3.00
	1. WILLIAMS COS INC	05/05/2011	06/28/2012	28.00	25.00	3.00
	1. WILLIAMS COS INC	05/05/2011	06/28/2012	28.00	25.00	3.00
	1. WILLIAMS COS INC	05/05/2011	06/29/2012	29.00	25.00	4.00
	1. WILLIAMS COS INC	05/05/2011	06/29/2012	28.00	25.00	3.00
	1. WILLIAMS COS INC	05/05/2011	06/29/2012	29.00	25.00	4.00
	38. EMC CORP	VAR	07/06/2012	900.00	779.00	121.00
	16. CVS CORP	02/27/2003	07/09/2012	755.00	199.00	556.00
	14. CISCO SYS INC	VAR	07/11/2012	230.00	279.00	-49.00
	2. YUM BRANDS INC	01/09/2008	07/11/2012	124.00	74.00	50.00
	4. YUM BRANDS INC	01/09/2008	07/12/2012	250.00	148.00	102.00
	8. CAMERON INTERNATIONAL C	VAR	07/18/2012	360.00	421.00	-61.00
	2. CAMERON INTERNATIONAL C	05/03/2011	07/18/2012	89.00	104.00	-15.00
	14. DU PONT E I DE NEMOURS	VAR	07/18/2012	681.00	551.00	130.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side: TR UW FOR LAUB FAMILY FOUNDATION P61578004

Employer identification number: 52-7091387

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example: 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 10. COLGATE PALMOLIVE CO	VAR	07/27/2012	1,071.00	786.00	285.00
3. EXXON MOBIL CORP	VAR	09/13/2012	274.00	185.00	89.00
3. NIKE INC	01/07/2008	09/18/2012	293.00	185.00	108.00
1. APPLE COMPUTER INC	08/10/2011	09/19/2012	701.00	367.00	334.00
1. NIKE INC	10/08/2008	09/19/2012	100.00	56.00	44.00
1. NIKE INC	10/08/2008	09/19/2012	99.00	56.00	43.00
299.492 DODGE & INTERNATIO FUND	03/16/2007	09/20/2012	9,982.00	13,223.00	-3,241.00
869.397 HARTFORD CAPITAL A FUND	05/08/2009	09/20/2012	28,934.00	21,587.00	7,347.00
611.186 JPMORGAN US LARGE PLUS FUND SEL	03/16/2007	09/20/2012	14,467.00	11,466.00	3,001.00
1803.292 JP MORGAN TAX AWA RETURN FUND	06/12/2008	09/20/2012	18,917.00	18,213.00	704.00
2231.715 JPMORGAN SHORT DU FUND	12/04/2007	09/20/2012	24,594.00	23,857.00	737.00
2. NIKE INC	10/08/2008	09/20/2012	194.00	112.00	82.00
1. NIKE INC	10/08/2008	09/25/2012	96.00	56.00	40.00
41. CBS CORP	VAR	09/26/2012	1,461.00	1,152.00	309.00
2. ADT CORPORATION	11/10/2010	10/04/2012	77.00	116.00	-39.00
4.5 ADT CORPORATION	VAR	10/05/2012	170.00	114.00	56.00
4. ADT CORPORATION	VAR	10/10/2012	150.00	96.00	54.00
. PENTAIR LTD		10/11/2012	31.00		31.00
4. KRAFT FOODS GROUP INC	VAR	10/15/2012	189.00	146.00	43.00
1. KRAFT FOODS GROUP INC	VAR	10/15/2012	47.00	36.00	11.00
1. KRAFT FOODS GROUP INC	08/04/2011	10/15/2012	47.00	36.00	11.00
3. KRAFT FOODS GROUP INC	VAR	10/15/2012	142.00	108.00	34.00
7. KRAFT FOODS GROUP INC	VAR	10/16/2012	328.00	254.00	74.00
4. DU PONT E I DE NEMOURS	05/12/2010	10/17/2012	199.00	155.00	44.00
3. DU PONT E I DE NEMOURS	VAR	10/18/2012	151.00	114.00	37.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

Name of estate or trust as shown on Form 1041. Do not enter name and employer identification number if shown on the other side

TR UW FOR LAUB FAMILY FOUNDATION P61578004

Employer identification number 52-7091387

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 3. DU PONT E I DE NEMOURS	06/24/2010	10/18/2012	150.00	110.00	40.00
2. CENTERPOINT ENERGY INC	07/15/2011	10/19/2012	43.00	39.00	4.00
7. CENTERPOINT ENERGY INC	07/15/2011	10/19/2012	150.00	135.00	15.00
1. CENTERPOINT ENERGY INC	07/15/2011	10/22/2012	21.00	19.00	2.00
6. CENTERPOINT ENERGY INC	07/15/2011	10/22/2012	127.00	116.00	11.00
18. CENTERPOINT ENERGY INC	VAR	10/22/2012	382.00	313.00	69.00
4. CAPITAL ONE FINL CORP	VAR	10/23/2012	238.00	177.00	61.00
1. CAPITAL ONE FINL CORP	08/12/2011	10/23/2012	60.00	44.00	16.00
1. CAPITAL ONE FINL CORP	08/10/2011	10/23/2012	60.00	42.00	18.00
2. CAPITAL ONE FINL CORP	VAR	10/24/2012	120.00	83.00	37.00
15. LOWES COS INC	VAR	10/24/2012	487.00	352.00	135.00
2. LOWES COS INC	02/19/2010	10/24/2012	65.00	46.00	19.00
1. LOWES COS INC	02/19/2010	10/25/2012	32.00	23.00	9.00
2. LOWES COS INC	02/19/2010	10/25/2012	65.00	46.00	19.00
12. AT&T INC	VAR	11/05/2012	415.00	465.00	-50.00
12. LAUDER ESTEE COS INC C	VAR	11/05/2012	713.00	607.00	106.00
2. CENTERPOINT ENERGY INC	03/14/2011	11/07/2012	42.00	32.00	10.00
5. CENTERPOINT ENERGY INC	03/14/2011	11/07/2012	104.00	79.00	25.00
1. CENTERPOINT ENERGY INC	03/14/2011	11/08/2012	21.00	16.00	5.00
20. CENTERPOINT ENERGY INC	03/14/2011	11/08/2012	414.00	317.00	97.00
3. CENTERPOINT ENERGY INC	03/14/2011	11/08/2012	61.00	48.00	13.00
6. CENTERPOINT ENERGY INC	03/14/2011	11/08/2012	125.00	95.00	30.00
2. GOLDMAN SACHS GROUP INC	10/14/2008	11/08/2012	232.00	246.00	-14.00
1. GOLDMAN SACHS GROUP INC	10/14/2008	11/09/2012	115.00	123.00	-8.00
2. JOHNSON CTLS INC	10/28/2011	11/15/2012	50.00	67.00	-17.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

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TR UW FOR LAUB FAMILY FOUNDATION P61578004

Employer identification number 52-7091387

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 sh 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo., day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a 5. JOHNSON CTLS INC	10/28/2011	11/15/2012	126.00	167.00	-41.00
2. JOHNSON CTLS INC	08/09/2010	11/15/2012	50.00	60.00	-10.00
2. LAM RESEARCH CORPORATIO	10/28/2011	11/15/2012	69.00	88.00	-19.00
10. JOHNSON CTLS INC	VAR	11/16/2012	251.00	296.00	-45.00
3. LAM RESEARCH CORPORATIO	10/28/2011	11/16/2012	103.00	132.00	-29.00
2. LAM RESEARCH CORPORATIO	10/28/2011	11/16/2012	69.00	88.00	-19.00
6. DU PONT E I DE NEMOURS	VAR	12/03/2012	257.00	219.00	38.00
5. DU PONT E I DE NEMOURS	05/21/2010	12/03/2012	214.00	179.00	35.00
9. DU PONT E I DE NEMOURS	06/01/2010	12/03/2012	383.00	322.00	61.00
1. DU PONT E I DE NEMOURS	06/01/2010	12/04/2012	42.00	36.00	6.00
5. DU PONT E I DE NEMOURS	06/01/2010	12/04/2012	213.00	179.00	34.00
5. FREEPORT-MCMORAN COPPER	11/08/2011	12/05/2012	164.00	208.00	-44.00
1. FREEPORT-MCMORAN COPPER	11/08/2011	12/05/2012	33.00	42.00	-9.00
999.725 BLACKROCK FDS HI Y INSTL*	03/30/2011	12/06/2012	8,048.00	7,808.00	240.00
256.403 EATON VANCE MUT FD	07/12/2011	12/06/2012	2,336.00	2,313.00	23.00
1282.036 JP MORGAN TAX AWA RETURN FUND	06/12/2008	12/06/2012	13,615.00	12,949.00	666.00
5090.566 JPMORGAN SHORT DU FUND	12/04/2007	12/06/2012	56,047.00	54,418.00	1,629.00
. ASML HOLDING N.V.		12/10/2012	20.00		20.00
4. AT&T INC	12/03/2007	12/14/2012	136.00	153.00	-17.00
11. AT&T INC	VAR	12/14/2012	375.00	421.00	-46.00
1. INTERCONTINENTAL EXCHAN	08/10/2011	12/17/2012	128.00	112.00	16.00
1. INTERCONTINENTAL EXCHAN	08/10/2011	12/17/2012	128.00	112.00	16.00
1. INTERCONTINENTAL EXCHAN	08/10/2011	12/18/2012	128.00	112.00	16.00
13. AT&T INC	VAR	12/20/2012	441.00	426.00	15.00
13. AT&T INC	07/11/2011	12/21/2012	439.00	401.00	38.00

6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b . . . .

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Employer identification number: 52-7091387

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

	(a) Description of property (Example 100 sh. 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) Subtract (e) from (d)
6a	11. LENNAR CORP	10/21/2011	01/10/2013	451.00	183.00	268.00
	3. LENNAR CORP	10/21/2011	01/10/2013	123.00	50.00	73.00
	6. PROCTER & GAMBLE CO	07/31/2007	01/15/2013	419.00	377.00	42.00
	1. GOLDMAN SACHS GROUP INC	10/14/2008	01/16/2013	140.00	123.00	17.00
	2. GOLDMAN SACHS GROUP INC	10/14/2008	01/16/2013	282.00	246.00	36.00
	1. ORACLE CORP	12/07/2010	01/16/2013	35.00	29.00	6.00
	1. ORACLE CORP	12/07/2010	01/16/2013	35.00	29.00	6.00
	11. JOHNSON CTLS INC	VAR	01/18/2013	338.00	293.00	45.00
	2. JOHNSON CTLS INC	07/20/2006	01/18/2013	61.00	47.00	14.00

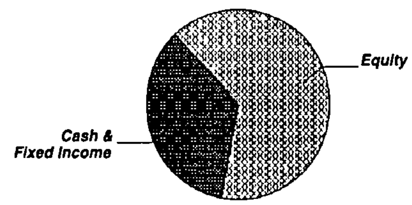
6b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 6b 9,403.00

Account Summary

PRINCIPAL

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Equity	891,011.35	935,712 22	44,700.87	15,592 76	65%
Cash & Fixed Income	558,730 26	507,028 65	(51,701.61)	15,755.25	35%
Market Value	**$1,449,741.61**	**$1,442,740.87**	**($7,000.74)**	**$31,348.01**	**100%**

Asset Allocation



INCOME

Cash Position	Beginning Market Value	Ending Market Value	Change In Value
Cash Balance	8,952 44	(3,402.26)	(12,354.70)
Accruals	1,641.61	897.80	(743.81)
Market Value	**$10,594.05**	**($2,504.46)**	**($13,098.51)**

Account Summary CONTINUED

	PRINCIPAL		INCOME	
Portfolio Activity	Current Period Value	Year-to-Date Value	Current Period Value	Year-to-Date Value
Beginning Market Value	**1,449,741.61**	**1,374,478.55**	**8,952.44**	**(2,951.81)**
Additions	8,952.44	20,406 08		11,938 27
Withdrawals & Fees	(61,000.00)	(73,188.39)	(14,549.57)	(45,200 25)
Securities Transferred In		749.52	--	--
Securities Transferred Out		(948 00)	--	--
Net Additions/Withdrawals	**($52,047.56)**	**($52,980.79)**	**($14,549.57)**	**($33,261.98)**
Income		189 92	2,194.87	32,811.53
Change In Investment Value	45,046.82	121,053.19		
Ending Market Value	**$1,442,740.87**	**$1,442,740.87**	**($3,402.26)**	**($3,402.26)**
Accruals	--	--	897.80	897.80
Market Value with Accruals	**--**	**--**	**($2,504.46)**	**($2,504.46)**

Account Summary CONTINUED

Tax Summary	Current Period Value	Year-to-Date Value
Domestic Dividends/Distributions	2,117.95	30,939.05
Foreign Dividends	10.45	406.28
Interest Income	3.39	18 92
Taxable Income	**$2,131.79**	**$31,364.25**
Tax-Exempt Income	63.08	1,637.20
Tax-Exempt Income	**$63.08**	**$1,637.20**

	Current Period Value	Year-to-Date Value
LT Capital Gain Distributions		7,939 41
ST Realized Gain/Loss	429.20	919.93
LT Realized Gain/Loss	506.30	9,487.91
Realized Gain/Loss	**$935.50**	**$18,347.25**

	To-Date Value
Unrealized Gain/Loss	**$211,273.60**

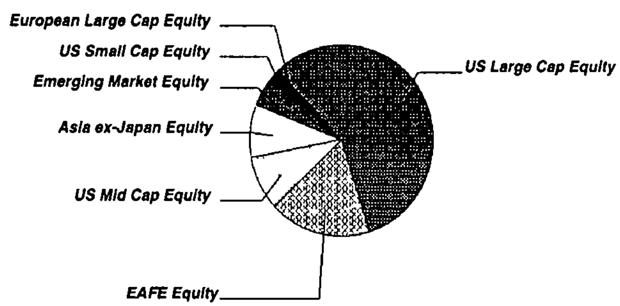
Cost Summary	Cost
Equity	739,994.16
Cash & Fixed Income	491,473.11
Total	**$1,231,467.27**

Equity Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
US Large Cap Equity	507,112 32	535,228 35	28,116 03	37%
US Mid Cap Equity	77,142.80	82,499.48	5,356.68	6%
US Small Cap Equity	18,438.86	19,701.37	1,262.51	1%
EAFE Equity	164,182 58	171,745 39	7,562 81	12%
European Large Cap Equity	14,652.00	15,279.00	627 00	1%
Asia ex-Japan Equity	79,292.67	80,630.10	1,337 43	6%
Emerging Market Equity	30,190 12	30,628.53	438 41	2%
Total Value	**$891,011.35**	**$935,712.22**	**$44,700.87**	**65%**

Market Value/Cost	Current Period Value
Market Value	935,712.22
Tax Cost	(739,994.16)
Unrealized Gain/Loss	195,718.06
Estimated Annual Income	15,592 76
Accrued Dividends	165.73
Yield	1 66%

Asset Categories



Equity as a percentage of your portfolio - 65 %

Note. P indicates position adjusted for Pending Trade Activity.

Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div.	Yield
US Large Cap Equity							
ACE LTD NEW H0023R-10-5 ACE	85.33	27.000	2,303.91	1,529.63	774 28	52 92	2.30%
ADOBE SYSTEMS INC 00724F-10-1 ADBE	37.83	18 000	680.94	590 40	90 54		
ALLERGAN INC 018490-10-2 AGN	105.01	12 000	1,260.12	1,037.32	222 80	2.40	0.19%
AMAZON COM INC 023135-10-6 AMZN	265.50	10.000	2,655 00	1,124.40	1,530.60		
AMERICAN EXPRESS CO 025816-10-9 AXP	58.81	12.000	705.72	671 58	34.14	9.60 2.40	1 36%
ANADARKO PETROLEUM CORP 032511-10-7 APC	80 02	19 000	1,520.38	1,399 78	120.60	6.84	0 45%
APPLE INC. 037833-10-0 AAPL	455.49	15 000	6,832.35	2,457.99	4,374.36	159.00	2.33%
ASML HOLDING N.V. N07059-21-0 ASML	75.09	17.000	1,276.53	1,190.14	86.39	10.20	0.80%
AUTOZONE INC 053332-10-2 AZO	369.70	3 000	1,109.10	944.79	164.31		
BAIDU INC SPONS ADR 056752-10-8 BIDU	108 30	7 000	758 10	686 22	71.88		
BANK OF AMERICA CORP 060505-10-4 BAC	11 32	194 000	2,196 08	2,502 08	(306 00)	7 76	0 35%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc / Accrued Div.	Yield

US Large Cap Equity

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc / Accrued Div.	Yield
BBH FD INC TAX EFFIC EQ N 05528X-60-4 BBTE X	18 25	1,626 606	29,685 56	28,742 12	943 44	156 15	0 53 %
BIOGEN IDEC INC 09062X-10-3 BIIB	156 08	13.000	2,029 04	790 99	1,238 05		
BROADCOM CORP CL A 111320-10-7 BRCM	32 45	34 000	1,103.30	1,133 59	(30.29)	14 96	1 36 %
CAMERON INTERNATIONAL CORPORATION 13342B-10-5 CAM	63 31	18 000	1,139 58	978 54	161 04		
CARDINAL HEALTH INC 14149Y-10-8 CAH	43 81	34.000	1,489.54	1,026 49	463 05	37 40	2 51 %
CAREFUSION CORPORATION 14170T-10-1 CFN	31 04	38.000	1,179 52	1,001.20	178.32		
CBS CORP CLASS B W/I 124857-20-2 CBS	41 72	31.000	1,293.32	1,110.34	182.98	14 88	1.15 %
CELGENE CORPORATION 151020-10-4 CELG	98.96	19 000	1,880.24	968.90	911.34		
CERNER CORP 156782-10-4 CERN	82.55	11.000	908.05	877 74	30.31		
CHENIERE ENERGY INC 16411R-20-8 LNG	21.23	41.000	870.43	662.26	208.17		
CISCO SYSTEMS INC 17275R-10-2 CSCO	20 57	142.000	2,920.94	2,658.04	262 90	79 52	2.72 %
CITIGROUP INC NEW 172967-42-4 C	42 16	61.000	2,571 76	2,256 43	315 33	2 44 0.61	0 09 %

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc. / Accrued Div.	Yield

US Large Cap Equity

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc. / Accrued Div.	Yield
CITRIX SYSTEMS INC 177376-10-0 CTXS	73.16	13 000	951 08	785 30	165 78		
CME GROUP INC CLASS A COMMON STOCK 12572Q-10-5 CME	57.84	30.000	1,735 20	1,679 80	55.40	54 00	3 11%
COGNIZANT TECHNOLOGY SOLUTIONS CORP CL A 192446-10-2 CTSH	78 18	21 000	1,641.78	1,086.88	554 90		
COMCAST CORP CL A 20030N-10-1 CMCS A	38 08	66 000	2,513 28	1,462.49	1,050.79	42 90	1 71%
COSTCO WHOLESALE CORP 22160K-10-5 COST	102.34	7.000	716.38	637.62	78 76	7.70	1.07%
COVIDIEN PLC NEW G2554F-11-3 COV	62 34	25 000	1,558 50	1,046 26	512 24	26.00	1.67%
CSX CORP 126408-10-3 CSX	22 03	48.000	1,057 44	1,024 95	32.49	26.88	2.54%
CULLEN HIGH DIVIDEND EQUITY FUND 230001-40-6 CHDV X	14.43	2,065 771	29,809.08	28,043.60	1,765.48	712.69 2 73	2 39%
DAVITA HEALTHCARE PARTNERS, INC 23918K-10-8 DVA	115.41	9.000	1,038.69	994.11	44.58		
DISH NETWORK CORP CL A 25470M-10-9 DISH	37.26	12.000	447.12	439.91	7.21		
DOW CHEMICAL CO 260543-10-3 DOW	32 20	27 000	869 40	822 97	46.43	34.56	3 98%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc. / Accrued Div.	Yield
US Large Cap Equity							
EMERSON ELECTRIC CO 291011-10-4 EMR	57 25	42 000	2,404 50	2,183 20	221.30	68 88	2 86%
ENSCO PLC G3157S-10-6 ESV	63.57	22.000	1,398 54	1,067.05	331.49	33 00	2 36%
EOG RESOURCES INC 26875P-10-1 EOG	124.98	9.000	1,124.82	719.13	405.69	6.12	0.54%
EXXON MOBIL CORP 30231G-10-2 XOM	89.97	36.000	3,238.92	2,098 79	1,140 13	82 08	2 53%
FLUOR CORP 343412-10-2 FLR	64 83	28.000	1,815.24	1,435.43	379.81	17.92	0.99%
FREEPORT-MCMORAN COPPER & GOLD INC CL B 35671D-85-7 FCX	35 25	23 000	810 75	560 21	250 54	28 75 7.19	3 55%
GENERAL MILLS INC 370334-10-4 GIS	41.94	42.000	1,761 48	1,391.72	369.76	55 44 13.86	3.15%
GENERAL MOTORS CO 37045V-10-0 GM	28 09	70 000	1,966.30	2,081.20	(114 90)		
GOLDMAN SACHS GROUP INC 38141G-10-4 GS	147 86	9.000	1,330.74	769.87	560 87	18 00	1.35%
GOOGLE INC CL A 38259P-50-8 GOOG	755.69	4.000	3,022 76	2,548 06	474.70		
HALLIBURTON CO 406216-10-1 HAL	40.68	16.000	650.88	585.74	65.14	5.76	0.88%
HARTFORD CAPITAL APPRECIATION FUND 416649-30-9 ITHI X	36.34	1,949.773	70,854 75	48,412 87	22,441.88	674.62	0.95%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc. / Accrued Div.	Yield

US Large Cap Equity

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc. / Accrued Div.	Yield
HOME DEPOT INC 437076-10-2 HD	66.92	30.000	2,007.60	1,248 08	759 52	34 80	1.73 %
HONEYWELL INTERNATIONAL INC 438516-10-6 HON	68.24	33 000	2,251 92	1,457.22	794.70	54 12	2 40 %
HUMANA INC 444859-10-2 HUM	74.36	14.000	1,041.04	1,027.28	13.76	14 56	1 40 %
INVESCO LTD G491BT-10-8 IVZ	27.25	56 000	1,526.00	1,149 26	376.74	38 64	2.53 %
JOHNSON & JOHNSON 478160-10-4 JNJ	73.92	21.000	1,552 32	1,516.12	36.20	51 24	3 30 %
JOHNSON CONTROLS INC 478366-10-7 JCI	31.09	42 000	1,305.78	807 43	498 35	31 92	2.44 %
JPM TR I GROWTH ADVANTAGE FD - SEL FUND 1567 4812A3-71-8 JGAS X	10.57	3,999 998	42,279.98	19,999 99	22,279 99	487.99	1.15 %
JPM US LRGE CAP CORE PLUS FD - SEL FUND 1002 4812A2-38-9 JLPS X	23 37	4,945 841	115,584.30	75,316 68	40,267.62	1,978 33	1.71 %
JUNIPER NETWORKS INC 48203R-10-4 JNPR	22.38	40.000	895.20	725 17	170.03		
P **KINDER MORGAN INC** 49456B-10-1 KMI	37 46			0 00		0.37	3.95 %
LENNAR CORP 526057-10-4 LEN	41.54	18.000	747.72	251 34	496 38	2 88 / 0.72	0 39 %
LOWES COMPANIES INC 548661-10-7 LOW	38.19	60.000	2,291.40	1,249.80	1,041.60	38 40 / 9 60	1.68 %

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc. / Accrued Div.	Yield
US Large Cap Equity							
MASCO CORP 574599-10-6 MAS	18 39	73 000	1,342 47	1,001.59	340 88	21 90 / 5.48	1 63%
MASTERCARD INC - CLASS A 57636Q-10-4 MA	518.40	3 000	1,555 20	837.77	717 43	3 60 / 0 90	0 23%
MERCK AND CO INC 58933Y-10-5 MRK	43.25	69.000	2,984 25	2,179.14	805.11	118.68	3 98%
METLIFE INC 59156R-10-8 MET	37.34	59.000	2,203 06	1,932 72	270 34	43 66	1.98%
MICROSOFT CORP 594918-10-4 MSFT	27.45	173.000	4,748.85	4,039.17	709 68	159.16	3.35%
MONDELEZ INTERNATIONAL-W/I 609207-10-5 MDLZ	27.79	61.000	1,695 19	1,430.11	265.08	31 72	1 87%
MORGAN STANLEY 617446-44-8 MS	22.85	30 000	685.50	617.92	67.58	6.00	0 88%
NETAPP INC 64110D-10-4 NTAP	36.00	29 000	1,044.00	1,077.59	(33.59)		
NEUBERGER BERMAN MULTI CAP OPPORTUNITIES FUND 64122Q-30-9 NMUL X	11.71	1,341.643	15,710 64	14,945 90	764.74	181.12	1 15%
OCCIDENTAL PETROLEUM CORP 674599-10-5 OXY	88.27	33 000	2,912.91	2,061.56	851 35	71.28	2 45%
ORACLE CORP 68389X-10-5 ORCL	35.51	77.000	2,734.27	1,746 11	988 16	18 48	0 68%
PACCAR INC 693718-10-8 PCAR	47.06	42.000	1,976.52	1,489.59	486 93	33 60	1.70%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div.	Yield
US Large Cap Equity							
PENTAIR LTD SHS H6169Q-10-8 PNR	50 68	7 000	354 76	233 04	121 72	6.44 1 61	1.82%
PEPSICO INC 713448-10-8 PEP	72 85	18.000	1,311.30	1,300 81	10 49	38 70	2 95%
PFIZER INC 717081-10-3 PFE	27.28	124.000	3,382 72	1,762 72	1,620.00	119 04 29.76	3 52%
PHILIP MORRIS INTERNATIONAL 718172-10-9 PM	88.16	25.000	2,204.00	2,099.43	104 57	85.00	3.86%
PHILLIPS 66 718546-10-4 PSX	60.57	25.000	1,514.25	1,213 44	300.81	25 00	1.65%
PIONEER NATURAL RESOURCES CO 723787-10-7 PXD	117.54	9.000	1,057.86	785.57	272.29	0.72	0.07%
PPG INDUSTRIES INC EXCHANGE OFFER EXPIRING 1/25/2013 5 Reserved Corp Action 693995-87-0 PPG	137.87	5 000	689.35	707.50	(18.15)	11 80	1.71%
PROCTER & GAMBLE CO 742718-10-9 PG	75.16	31.000	2,329 96	1,666.79	663.17	69.68 17 42	2.99%
PRUDENTIAL FINANCIAL INC 744320-10-2 PRU	57.88	28.000	1,620.64	1,171.23	449.41	44.80	2.76%
QUALCOMM INC 747525-10-3 QCOM	66.02	48.000	3,168.96	2,095.52	1,073.44	48.00	1.51%
SCHLUMBERGER LTD 806857-10-8 SLB	78.05	38.000	2,965.90	3,121.59	(155.69)	47.50	1.60%
SPDR S&P 500 ETF TRUST 78462F-10-3 SPY	149.70	508 000	76,047 60	65,119 54	10,928 06	1,576 32	2 07%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc. / Accrued Div.	Yield
US Large Cap Equity							
TARGET CORP 87612E-10-6 TGT	60.41	23 000	1,389.43	1,472.65	(83.22)	33 12	2 38 %
TD AMERITRADE HOLDING CORPORATION 87236Y-10-8 AMTD	19.39	31 000	601.09	478.61	122.48	11 16 2 79	1 86 %
TIME WARNER INC NEW 887317-30-3 TWX	50.52	89 000	4,496.28	2,387.42	2,108.86	92.56	2.06 %
TIME WARNER CABLE INC 88732J-20-7 TWC	89 34	8.000	714.72	676 52	38.20	20 80	2 91 %
TYCO INTERNATIONAL LTD H89128-10-4 TYC	30.23	32.000	967.36	651 56	315.80	19.20 4.08	1.98 %
UNITEDHEALTH GROUP INC 91324P-10-2 UNH	55.21	30.000	1,656.30	1,312 63	343.67	25.50	1.54 %
UNITED TECHNOLOGIES CORP 913017-10-9 UTX	87.57	45.000	3,940.65	2,768.21	1,172.44	96.30	2.44 %
VERIZON COMMUNICATIONS INC 92343V-10-4 VZ	43.61	44 000	1,918.84	1,291.03	627 81	90 64 22.66	4.72 %
VERTEX PHARMACEUTICALS INC 92532F-10-0 VRTX	44.78	15.000	671.70	470.42	201 28		
WALTER ENERGY INC 93317Q-10-5 WLT	37.55	21.000	788 55	1,153.84	(365 29)	10 50	1 33 %
WELLS FARGO & CO 949746-10-1 WFC	34.83	134 000	4,667.22	3,596.82	1,070.40	134.00 33 50	2.87 %
WILLIAMS COMPANIES INC 969457-10-0 WMB	35.05	50.000	1,752.50	1,451 07	301.43	67.75	3 87 %
YUM BRANDS INC 988498-10-1 YUM	64 94	30.000	1,948.20	1,036.30	911 90	40.20 10 05	2.06 %

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc. / Accrued Div.	Yield
US Large Cap Equity							
3M CO 88579Y-10-1 MMM	100 55	9 000	904.95	872 68	32.27	21 24	2 35%
Total US Large Cap Equity			**$535,228.35**	**$397,222.61**	**$138,005.74**	**$8,607.42** **$165.73**	**1.61%**
US Mid Cap Equity							
ISHARES CORE S&P MID-CAP ETF 464287-50-7 IJH	109.10	387.000	42,221 70	30,847 77	11,373 93	561 53	1 33%
JPM MARKET EXPANSION INDEX FD - SEL FUND 3708 4812C1-63-7 PGMI X	11.31	3,561.254	40,277.78	25,000.00	15,277.78	904.55	2.25%
Total US Mid Cap Equity			**$82,499.48**	**$55,847.77**	**$26,651.71**	**$1,466.08**	**1.78%**
US Small Cap Equity							
JPM SMALL CAP CORE FD - SEL FUND 690 4812A1-23-3 VSSC X	43 85	449 290	19,701 37	14,247.00	5,454 37	327 98	1.66%
EAFE Equity							
ARTISAN INTL VALUE FUND - INV 04314H-88-1 ARTK X	31.86	2,161 355	68,860 77	54,250 00	14,610 77	635 43	0.92%
DODGE & COX INTERNATIONAL STOCK 256206-10-3 DODF X	36.37	1,575.284	57,293 08	54,143 80	3,149 28	1,176 73	2 05%

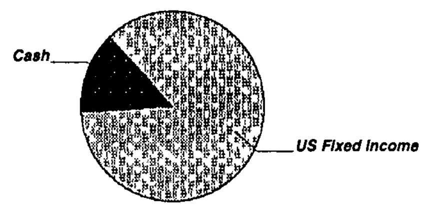
	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc. / Accrued Div.	Yield
EAFE Equity							
ISHARES MSCI EAFE INDEX FUND 464287-46-5 EFA	58 98	773 000	45,591 54	44,935 27	656 27	1,359 70	2 98%
Total EAFE Equity			**$171,745.39**	**$153,329.07**	**$18,416.32**	**$3,171.86**	**1.84%**
European Large Cap Equity							
VANGUARD MSCI EUROPE ETF 922042-87-4 VGK	50 93	300 000	15,279 00	14,356 62	922 38	440 70	2 88%
Asia ex-Japan Equity							
COLUMBIA FDS SER TR II MASS ASIA PC JP R5 19763P-57-2 TAPR X	13.22	2,994.820	39,591.52	39,925 00	(333.48)	766.67	1 94%
MATTHEWS PACIFIC TIGER INSTL FUND 577130-83-4 MIPT X	24.95	1,644.833	41,038.58	33,900 00	7,138.58	332.25	0 81%
Total Asia ex-Japan Equity			**$80,630.10**	**$73,825.00**	**$6,805.10**	**$1,098.92**	**1.36%**
Emerging Market Equity							
DELAWARE EMERGING MARKETS FUND 245914-81-7 DEMI X	14.82	1,044.408	15,478 13	16,324.10	(845 97)	148.30	0.96%

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc. / Accrued Div.	Yield
Emerging Market Equity							
VANGUARD FTSE EMERGING MARKETS ETF 922042-85-8 VWO	44 56	340 000	15,150 40	14,841 99	308 41	331 50	2 19%
Total Emerging Market Equity			**$30,628.53**	**$31,166.09**	**($537.56)**	**$479.80**	**1.57%**

Cash & Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	129,976 68	(77,248.19)	(52,728.49)	5%
US Fixed Income	428,753.58	429,780 46	1,026 88	30%
Total Value	**$558,730.26**	**$507,028.65**	**($51,701.61)**	**35%**

Asset Categories



Cash & Fixed Income as a percentage of your portfolio - 35 %

Market Value/Cost	Current Period Value
Market Value	507,028 65
Tax Cost	(491,473.11)
Unrealized Gain/Loss	15,555 54
Estimated Annual Income	15,755.25
Accrued Interest	732.07
Yield	3 10%

SUMMARY BY MATURITY

Cash & Fixed Income	Market Value	% of Bond Portfolio
0-6 months[1]	507,028 65	100%

[1] The years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

SUMMARY BY TYPE

Cash & Fixed Income	Market Value	% of Bond Portfolio
Cash	77,248.19	15%
International Bonds	39,638 64	7%
Mutual Funds	360,132.97	73%
Other	30,008.85	5%
Total Value	**$507,028.65**	**100%**

Note: [1] This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
Cash							
US DOLLAR PRINCIPAL	1.00	77,210.88	77,210.88	77,210.88		23 16	0 03 %[1]
PROCEEDS FROM PENDING SALES	1.00	37 31	37 31	37.31			
Total Cash			**$77,248.19**	**$77,248.19**	**$0.00**	**$23.16**	**0.03%**
US Fixed Income							
JPM STR INC OPP FD FUND 3844 4812A4-35-1	11 92	3,325 39	39,638.64	35,126.74	4,511 90	1,915 42 73.16	4 83%
DOUBLELINE FDS TR TTL RTN BD I 258620-10-3	11.34	2,402 14	27,240 21	27,000 00	240 21	1,597 41 110.74	5 86%
EATON VANCE FLOATING RATE I 277911-49-1	9.17	4,732.51	43,397.13	42,687 25	709.88	1,921.39 156 81	4.43%
JPM HIGH YIELD FD - SEL FUND 3580 4812C0-80-3	8.20	4,081.63	33,469.39	32,000 00	1,469 39	2,795.91 167.35	8.35%
JPM SHORT DURATION BOND FD - SEL FUND 3133 4812C1-33-0	10.98	19,496.58	214,072.46	208,418 45	5,654 01	4,191.76 175.47	1.96%

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Income Accrued Interest	Yield
US Fixed Income							
JPM TR I TAX AWARE REAL RTRN FD -SEL FUND 992 4812A2-54-6	10 51	2,855 27	30,008 85	28,838 19	1,170 66	739 51 48.54	2 46%
BLACKROCK HIGH YIELD BOND 091929-63-8	8 16	5,141 39	41,953 78	40,154.29	1,799 49	2,570.69	6 13%
Total US Fixed Income			**$429,780.46**	**$414,224.92**	**$15,555.54**	**$15,732.09** **$732.07**	**3.66%**