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Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2012Department of the Treasury
Internal Revenue Service

Note: The foundation may be able to use a copy of this return to satisfy state reporting requirements.

Open to Public Inspection

For calendar year **2012** or tax year beginning **02/01, 2012**, and ending **01/31, 2013**

Name of foundation ALFRED N JOHNSON MEMORIAL FUND		A Employer identification number 14-6099201
C/O BANK OF AMERICA		B Telephone number (see instructions) 888-866-3275
Number and street (or P.O. box number if mail is not delivered to street address) P O BOX 1802		
Room/suite		
City or town, state, and ZIP code PROVIDENCE, RI 02901-1802		C If exemption application is pending, check here ☐
G Check all that apply:	☐ Initial return ☐ Final return ☐ Address change	☐ Initial return of a former public charity ☐ Amended return ☐ Name change
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation		D 1 Foreign organizations, check here ☐
☒ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		2 Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 594,521.		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
(Part I, column (d) must be on cash basis.)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)					
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		13,772.	13,772.		STMT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		32,955.			
b Gross sales price for all assets on line 6a 120,350.					
7 Capital gain net income (from Part IV, line 2)			32,955.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)		134.	-73.		STMT 2
12 Total. Add lines 1 through 11		46,861.	46,654.		
13 Compensation of officers, directors, trustees, etc.		5,679.	3,407.		2,271.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule)					
b Accounting fees (attach schedule) STMT 3		450.	NONE	NONE	450.
c Other professional fees (attach schedule)					
17 Interest					
18 Taxes (attach schedule) (see instructions) STMT 4		368.	368.		
19 Depreciation (attach schedule) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications		3.			3.
23 Other expenses (attach schedule) STMT 5		100.			100.
24 Total operating and administrative expenses. Add lines 13 through 23		6,600.	3,775.	NONE	2,824.
25 Contributions, gifts, grants paid		44,375.			44,375.
26 Total expenses and disbursements. Add lines 24 and 25		50,975.	3,775.	NONE	47,199.
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements		-4,114.			
b Net investment income (if negative, enter -0-)			42,879.		
c Adjusted net income (if negative, enter -0-)					

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	29,327.	23,991.	23,991.
	3	Accounts receivable ▶ ----- Less allowance for doubtful accounts ▶ -----			
	4	Pledges receivable ▶ ----- Less allowance for doubtful accounts ▶ -----			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ ----- Less allowance for doubtful accounts ▶ -----			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) STMT 6	535,660.	539,043.	570,530.
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶ -----			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶ -----			
15	Other assets (describe ▶ -----)				
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	564,987.	563,034.	594,521.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ -----)			
23	Total liabilities (add lines 17 through 22)		NONE		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒				
	27	Capital stock, trust principal, or current funds	564,987.	563,034.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see instructions)	564,987.	563,034.		
31	Total liabilities and net assets/fund balances (see instructions)	564,987.	563,034.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	564,987.
2	Enter amount from Part I, line 27a	2	-4,114.
3	Other increases not included in line 2 (itemize) ▶ CTF ADJU	3	2,161.
4	Add lines 1, 2, and 3	4	563,034.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	563,034.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a					
b OTHER GAINS AND LOSSES					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b 120,350.		87,395.	32,955.		
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a					
b			32,955.		
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	32,955.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	43,764.	578,899.	0.075599
2010	33,954.	575,246.	0.059025
2009	31,282.	523,451.	0.059761
2008	32,797.	586,349.	0.055934
2007	33,390.	697,059.	0.047901
2 Total of line 1, column (d)			2 0.298220
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.059644
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			4 574,422.
5 Multiply line 4 by line 3			5 34,261.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 429.
7 Add lines 5 and 6			7 34,690.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 47,199.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	429.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	
3 Add lines 1 and 2		3	429.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	429.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	372.	
b Exempt foreign organizations - tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	NONE	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	372.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	57.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	
11 Enter the amount of line 10 to be Credited to 2013 estimated tax ☐ NONE ☒ Refunded ☐		11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ► NONE				
14	The books are in care of ► US TRUST FIDUCIARY TAX SERVICES Telephone no. ► (888) 866-3275			
	Located at ► P.O. BOX 1802, PROVIDENCE, RI ZIP+4 ► 02901-1802			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here			
	and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	X
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes ☒ No	
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b**Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** ☒

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BANK OF AMERICA, N.A. 1 EAST AVE, ROCHESTER, NY 14638	TRUSTEE 40	5,679.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ **NONE**

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over \$50,000 for professional services **NONE**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	545,493.
b	Average of monthly cash balances	1b	37,677.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	583,170.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	583,170.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	8,748.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	574,422.
6	Minimum investment return. Enter 5% of line 5	6	28,721.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	28,721.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	429.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	429.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	28,292.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	28,292.
6	Deduction from distributable amount (see instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	28,292.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	47,199.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	47,199.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	429.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	46,770.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				28,292.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			NONE	
b Total for prior years 20____, 20____, 20____		NONE		
3 Excess distributions carryover, if any, to 2012				
a From 2007	NONE			
b From 2008	NONE			
c From 2009	NONE			
d From 2010	NONE			
e From 2011	4,544.			
f Total of lines 3a through e	4,544.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 47,199.				
a Applied to 2011, but not more than line 2a . . .			NONE	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2012 distributable amount				28,292.
e Remaining amount distributed out of corpus . .	18,907.			
5 Excess distributions carryover applied to 2012 . (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	23,451.			
b Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2011. Subtract line 4a from line 2a Taxable amount - see instructions			NONE	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . .	NONE			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions) . . .	NONE			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	23,451.			
10 Analysis of line 9:				
a Excess from 2008 . . .	NONE			
b Excess from 2009 . . .	NONE			
c Excess from 2010 . . .	NONE			
d Excess from 2011 . . .	4,544.			
e Excess from 2012 . . .	18,907.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

SEE STATEMENT 10

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines.

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHED SCHEDULE	SEE ATTACHED SCHEDULE	SEE ATTACH	SEE ATTACHED SCHEDULE	44,375.
Total			▶ 3a	44,375.
b Approved for future payment				
Total			▶ 3b	

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ALFRED N JOHNSON MEMORIAL FUND

14-6099201

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
USGI REPORTED AS NONQUALIFIED DIVIDENDS	3.	3.
FOREIGN DIVIDENDS	2,921.	2,921.
DOMESTIC DIVIDENDS	4,370.	4,370.
OTHER INTEREST	5,244.	5,244.
FOREIGN INTEREST	261.	261.
U.S. GOVERNMENT INTEREST (FEDERAL TAXABLE	449.	449.
NONDISTRIBUTIVE DIVIDENDS	5.	5.
NONQUALIFIED FOREIGN DIVIDENDS	362.	362.
NONQUALIFIED DOMESTIC DIVIDENDS	157.	157.
	-----	-----
TOTAL	13,772.	13,772.
	=====	=====

ALFRED N JOHNSON MEMORIAL FUND

14-6099201

FORM 990PF, PART I - OTHER INCOME
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
OTHER INCOME	-73.	-73.
EXCISE TAX REFUND	207.	
	-----	-----
TOTALS	134.	-73.
	=====	=====

ALFRED N JOHNSON MEMORIAL FUND

14-6099201

FORM 990PF, PART I - ACCOUNTING FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE - BOA	450.			450.
TOTALS	450.	NONE	NONE	450.
	=====	=====	=====	=====

ALFRED N JOHNSON MEMORIAL FUND

14-6099201

FORM 990PF, PART I - TAXES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
FOREIGN TAXES	368.	368.
	-----	-----
TOTALS	368.	368.
	=====	=====

ALFRED N JOHNSON MEMORIAL FUND

14-6099201

FORM 990PF, PART I - OTHER EXPENSES
=====

REVENUE
AND
EXPENSES
PER BOOKS

CHARITABLE
PURPOSES

DESCRIPTION

OTHER CHARITABLE EXPENSES

100.

100.

TOTALS

100.
=====

100.
=====

ALFRED N JOHNSON MEMORIAL FUND

14-6099201

FORM 990PF, PART II - CORPORATE STOCK
=====

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
-----	-----	---
202671913 AGGREGATE BOND CTF	209,313.	210,317.
1261291H8 LARGE CAP CORE CTF	199,863.	212,364.
1261291Q8 SMALL CAP CTF	19,131.	21,726.
1261292H7 INTERNATIONAL EQUITY	56,754.	64,563.
29099J109 EMERGING MARKETS STO	26,485.	28,199.
302993993 MID CAP VALUE CTF	14,028.	16,800.
323991307 MID CAP GROWTH CTF	13,469.	16,561.
	-----	-----
TOTALS	539,043.	570,530.
	=====	=====

ALFRED N JOHNSON MEMORIAL FUND
Schedule D Detail of Long-term Capital Gains and Losses

14-6099201

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
OTHER GAINS AND LOSSES					
159.006 LARGE CAP CORE CTF	07/31/2010	03/31/2012	10,980.00	9,846.00	1,134.00
412.71 LARGE CAP CORE CTF	07/31/2010	07/06/2012	27,050.00	26,116.00	934.00
24.221 SMALL CAP CTF	07/31/2010	03/31/2012	493.00	426.00	67.00
131.384 SMALL CAP CTF	07/31/2010	07/06/2012	2,510.00	2,359.00	151.00
.443 SMALL CAP CTF	01/31/2011	07/06/2012	8.00	9.00	-1.00
52.177 INTERNATIONAL EQUITY CTF	05/14/2004	03/31/2012	995.00	1,135.00	-140.00
61.655 INTERNATIONAL EQUITY CTF	05/14/2004	07/06/2012	1,090.00	1,348.00	-258.00
129.873 INTERNATIONAL EQUITY CTF	12/31/2008	07/06/2012	2,297.00	1,826.00	471.00
49.113 INTERNATIONAL EQUITY CTF	07/09/2010	07/06/2012	868.00	883.00	-15.00
144.762 INTERNATIONAL EQUITY CTF	07/31/2010	07/06/2012	2,560.00	2,692.00	-132.00
1397.423 AGGREGATE BOND CTF	07/31/2010	07/06/2012	23,849.00	23,456.00	393.00
68.532 AGGREGATE BOND CTF	09/30/2010	07/06/2012	1,170.00	1,153.00	17.00
151.767 AGGREGATE BOND CTF	12/31/2010	07/06/2012	2,590.00	2,505.00	85.00
11.821 AGGREGATE BOND CTF	01/31/2011	07/06/2012	202.00	195.00	7.00
25.095 EMERGING MARKETS STOCK COMMON	01/31/2011	03/31/2012	1,145.00	1,224.00	-79.00
67.244 EMERGING MARKETS STOCK COMMON	01/31/2011	07/06/2012	2,859.00	3,209.00	-350.00
26.486 MID CAP VALUE CTF	05/14/2004	03/31/2012	510.00	519.00	-9.00
31.301 MID CAP VALUE CTF	05/14/2004	07/06/2012	572.00	616.00	-44.00
8.223 MID CAP VALUE CTF	11/19/2004	07/06/2012	150.00	162.00	-12.00
33.923 MID CAP VALUE CTF	12/31/2008	07/06/2012	620.00	448.00	172.00
31.761 MID CAP VALUE CTF	07/31/2010	07/06/2012	581.00	563.00	18.00
48.491 MID CAP GROWTH CTF	06/30/2007	03/31/2012	970.00	1,024.00	-54.00
11.099 MID CAP GROWTH CTF	06/30/2007	07/06/2012	206.00	234.00	-28.00
90.03 MID CAP GROWTH CTF	12/31/2008	07/06/2012	1,672.00	1,381.00	291.00
TOTAL OTHER GAINS AND LOSSES			85,947.00	83,329.00	2,618.00
Totals			85,947.00	83,329.00	2,618.00

ALFRED N JOHNSON MEMORIAL FUND

14-6099201

GAINS AND LOSSES FROM PASS-THRU ENTITIES
=====NET SHORT-TERM GAIN (LOSS) FROM PARTNERSHIPS, S CORPORATIONS
AND OTHER FIDUCIARIES

COMMON TRUST FUNDS

6,877.00

TOTAL NET SHORT-TERM GAIN OR LOSS (ROUNDED)

6,877.00
=====NET LONG-TERM GAIN (LOSS) FROM PARTNERSHIPS, S CORPORATIONS
AND OTHER FIDUCIARIES

COMMON TRUST FUNDS

23,381.00

TOTAL NET LONG-TERM GAIN OR LOSS (ROUNDED)

23,381.00
=====



ALFRED N JOHNSON MEMORIAL FUND
FORM 990PF, PART XV - LINES 2a - 2d
=====

14-6099201

RECIPIENT NAME:

GLOVERSVILLE HIGH SCHOOL

ADDRESS:

199 LINCOLN ST

GLOVERSVILLE, NY 12708

FORM, INFORMATION AND MATERIALS:

SEE ATTACHED APPLICATION

SUBMISSION DEADLINES:

MAY 15

RESTRICTIONS OR LIMITATIONS ON AWARDS:

GRADUATES OF GLOVERSVILLE HIGH SCHOOL,
GLOVERSVILLE, NY

FEDERAL FOOTNOTES

=====

THE COMPENSATION SHOWN ON THE RETURN THAT IS PAID TO BANK OF AMERICA, N.A. AS CORPORATE TRUSTEE IS NOT CALCULATED BASED UPON AN HOURLY RATE FOR TIME SPENT BY THE TRUSTEE; RATHER, BANK OF AMERICA'S COMPENSATION AS CORPORATE TRUSTEE IS CALCULATED USING A MARKET VALUE FEE SCHEDULE. THE TRUST OFFICER'S TIME SPENT PERFORMING ADMINISTRATIVE RESPONSIBILITIES FOR THIS FOUNDATION AVERAGES ONE HOUR PER WEEK. IN ADDITION, TIME IS SPENT BY OTHER STAFF MEMBERS FOR RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, RENDERING STATEMENTS AND ACCOUNTINGS, REGULATORY REPORTING, REGULATORY COMPLIANCE, AND TAX SERVICES.

FORM 990PF, PART XV-GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Posting Date	Distribution	Relationship to substantial contributor	Purpose	Foundation Status of Recipient	Amount
4/17/2012	SMITH COLLEGE	NONE	SCHOLARSHIP	PC	\$ 1,250.00
4/24/2012	UNIV OF NORTH CAROLINA ASHEVILLE	NONE	SCHOLARSHIP	PC	\$ 625.00
7/26/2012	SUNY ALBANY	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	ST MARY'S COLLEGE OF NOTRE DAME	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	MISERICORDIA UNIVERSITY	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	SUNY PLATTSBURGH	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	SUNY EMPIRE STATE COLLEGE	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	ROBERTS WESLEYAN COLLEGE	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	LEMOYNE COLLEGE	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	HOUGHTON COLLEGE	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	UNION COLLEGE	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	ROCHESTER INST OF TECHNOLOGY	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	SUNY ONEONTA	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	SUNY ALBANY	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	NAZARETH COLLEGE OF ROCHESTER	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	MONMOUTH UNIVERSITY	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	NAZARETH COLLEGE OF ROCHESTER	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	SUNY ALBANY	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	COLLEGE OF ST ROSE	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	FULTON MONTGOMERY COMM COLLEGE	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	TRINITY COLLEGE	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	SMITH COLLEGE	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	SUNY BINGHAMTON	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	WELLS COLLEGE	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	TEXAS CHRISTIAN UNIVERSITY	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	CLARKSON UNIVERSITY	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	SCHENECTADY CO COMMUNITY COLLEGE	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	ST JOHN FISHER COLLEGE	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	FULTON MONTGOMERY COMM COLLEGE	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	LEMOYNE COLLEGE	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	SUNY GENESEO	NONE	SCHOLARSHIP	PC	\$ 1,250.00
7/26/2012	SKIDMORE COLLEGE	NONE	SCHOLARSHIP	PC	\$ 1,250.00
8/6/2012	CORNELL COLLEGE OF HUMAN ECOLOGY	NONE	SCHOLARSHIP	PC	\$ 1,250.00
8/24/2012	SKIDMORE COLLEGE	NONE	SCHOLARSHIP	PC	\$ 1,250.00
8/30/2012	FULTON MONTGOMERY COMM COLLEGE	NONE	SCHOLARSHIP	PC	\$ 1,250.00
10/30/2012	SUNY POTSDAM	NONE	SCHOLARSHIP	PC	\$ 1,250.00
Total					\$ 44,375.00

Alfred N. Johnson Memorial Fund

SCHOLARSHIP APPLICATION FORM - 2013-2014 SCHOOL YEAR

Education:

1. Name of High School: _____
 - Dates of attendance: From: _____ To: _____
(month/year) (month/year)
 - Have you graduated? () Yes () No, but will graduate at the end of this school year
2. What are your plans?
 - For college? (include: major field of study, number of years to complete degree, etc.)

3. What college / technical is your first choice? _____
 - Name of college / technical school: _____
 - Address of Financial Aid/Bursar's Office: _____

 - Are you currently admitted at this college / technical school? () Yes () No
 - Dates of attendance: From: _____ To: _____
(month/year) (month/year)

Financial Information:

1. Gross Income (*Federal Income Tax Return*)
Father: _____ Mother: _____ Joint: _____
2. Number of Exemptions (*Income Tax*): _____
3. Number of dependent children (*other than applicant*): _____
4. Any Income not included on the return (e.g., *Interest on Municipal Bonds, Disability, Pensions*)

Alfred N. Johnson Memorial Fund

SCHOLARSHIP APPLICATION FORM - 2013-2014 SCHOOL YEAR

5. Are there any additional financial circumstances that should be considered relevant to this application?

6. Please list any other **expected** Scholarships / Grants and their amounts:

_____	\$ _____
_____	\$ _____
_____	\$ _____

7. Please list any **expected** Financial Aid / Loans and their amounts:

_____	\$ _____
_____	\$ _____
_____	\$ _____

NOTE: Please Attach A Copy Of Your Financial Aid Package

8. Can you reasonably expect financial help from your family? () Yes () No
9. Will other members of your immediate family be attending college full / part time during the next school year?
- () Yes () No
 - If Yes, how many? _____
 - Full / Part-Time: _____
10. What are your best estimates of annual costs for attendance at the college of your choice?

*Tuition for a whole school year	\$ _____
Books and fees for a whole school year	\$ _____
Room and Board for a whole school year	\$ _____
Transportation for a whole school year	\$ _____

Alfred N. Johnson Memorial Fund

SCHOLARSHIP APPLICATION FORM - 2013-2014 SCHOOL YEAR

11. Miscellaneous expenses (itemized) per school year:

<u>ITEM DESCRIPTION</u>	<u>ESTIMATED COST</u>
.....	\$
.....	\$
.....	\$
.....	\$ misc total → \$

Total estimated expenses for a whole school year..... \$

Applicant's Financial Data:

1. Are you currently employed? Full-time () Yes () No
Part-time () Yes () No

2. Employer Name:

Employer Address:
(No. & Street) (City) (State) (Zip)

3. Length of Service:

4. Gross Income (From Federal Income Tax Return) : \$

5. Will you be receiving any Social Security Benefits: \$

6. Balance of Cash:

- Savings \$
- Checking Account(s): \$

7. Other investments - Present Market Value: \$

Miscellaneous Information:

1. You may be considered for a scholarship for more than one year, do you intend to renew your application?
- For 2013 - 2014 school year? () Yes () No
 - For 2014 - 2015 school year? () Yes () No
 - For 2015 - 2016 school year? () Yes () No

Alfred N. Johnson Memorial Fund

SCHOLARSHIP APPLICATION FORM - 2013-2014 SCHOOL YEAR

2. Please list your SCHOOL activities in the last three years: _____

3. Please list your COMMUNITY activities in the last three years:

Additional Materials Required:

1. Two Letters of Reference:
 - **Teacher / Counselors**
 - **Personal (i.e., *peer, church affiliate*)**
2. Student Verification Form (*to be provided by Guidance Office*)
3. GPA - High School Transcript, SAT / ACT Score or, Official College Transcript
4. Please verify tuition cost by sending along a copy of the appropriate page from your college catalog/bulletin or, a copy of your tuition bill.

REMINDER:

Please Attach A Copy of Financial Aid Package. Thank you!

AFFIRMATION OF ACCURACY OF APPLICATION

The undersigned hereby affirms that the foregoing application for a Scholarship Grant to the undersigned is true and correct and that any attachments hereto are authentic or true copies of such.

Signature of Applicant

Date:

-
-
- We suggest that before you return your application that you make a **Copy** to keep for your own records.