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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2012

Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation FREDERIC DUCLOS BARSTOW FOUNDATION		A Employer identification number 99-6002185
Number and street (or P.O. box number if mail is not delivered to street address) P.O. BOX 3170, DEPT. 715		B Telephone number (808) 694-4525
City or town, state, and ZIP code HONOLULU, HI 96802-3170		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,125,995.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		20.	20.		STATEMENT 1
4 Dividends and interest from securities		31,689.	31,689.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		35,447.			
b Gross sales price for all assets on line 6a 608,321.					
7 Capital gain net income (from Part IV, line 2)			35,447.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		651.	0.		STATEMENT 3
12 Total. Add lines 1 through 11		67,807.	67,156.		
13 Compensation of officers, directors, trustees, etc.		17,333.	13,000.		4,333.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 4		1,600.	480.		1,120.
c Other professional fees					
17 Interest					
18 Taxes STMT 5		225.	225.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		120.	0.		120.
22 Printing and publications					
23 Other expenses STMT 6		13,851.	0.		13,851.
24 Total operating and administrative expenses. Add lines 13 through 23		33,129.	13,705.		19,424.
25 Contributions, gifts, grants paid		37,000.			37,000.
26 Total expenses and disbursements. Add lines 24 and 25		70,129.	13,705.		56,424.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-2,322.			
b Net investment income (if negative, enter -0-)			53,451.		
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing	268.	2,697.	2,697.	
	2 Savings and temporary cash investments	32,889.	30,935.	30,935.	
	3 Accounts receivable ▶				
	Less: allowance for doubtful accounts ▶				
	4 Pledges receivable ▶				
	Less: allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ▶				
	Less: allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations				
	b Investments - corporate stock				
	c Investments - corporate bonds				
Assets	11 Investments - land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶				
	12 Investments - mortgage loans				
	13 Investments - other STMT 7	1,000,328.	997,531.	1,092,363.	
	14 Land, buildings, and equipment basis ▶				
	Less accumulated depreciation ▶				
	15 Other assets (describe ▶)				
	16 Total assets (to be completed by all filers)	1,033,485.	1,031,163.	1,125,995.	
	Liabilities	17 Accounts payable and accrued expenses			
		18 Grants payable			
19 Deferred revenue					
20 Loans from officers, directors, trustees, and other disqualified persons					
21 Mortgages and other notes payable					
22 Other liabilities (describe ▶)					
23 Total liabilities (add lines 17 through 22)	0.	0.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds	1,033,485.	1,031,163.		
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.		
30 Total net assets or fund balances	1,033,485.	1,031,163.			
31 Total liabilities and net assets/fund balances	1,033,485.	1,031,163.			

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,033,485.
2 Enter amount from Part I, line 27a	2	-2,322.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,031,163.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,031,163.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
b PUBLICLY TRADED SECURITIES	P	VARIOUS	VARIOUS
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 430,767.		417,757.	13,010.
b 171,887.		155,117.	16,770.
c 5,667.			5,667.
d			
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			13,010.
b			16,770.
c			5,667.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	35,447.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	48,245.	1,094,458.	.044081
2010	48,635.	1,041,505.	.046697
2009	71,331.	939,726.	.075906
2008	68,506.	1,158,025.	.059158
2007	76,327.	1,378,035.	.055388

2 Total of line 1, column (d)	2	.281230
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.056246
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	1,081,470.
5 Multiply line 4 by line 3	5	60,828.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	535.
7 Add lines 5 and 6	7	61,363.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	56,424.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**1a** Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1.

Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b**c** All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).**2** Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)**3** Add lines 1 and 2**4** Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)**5** Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-**6** Credits/Payments:**a** 2012 estimated tax payments and 2011 overpayment credited to 2012**b** Exempt foreign organizations - tax withheld at source**c** Tax paid with application for extension of time to file (Form 8868)**d** Backup withholding erroneously withheld**7** Total credits and payments. Add lines 6a through 6d**8** Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached**9** Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed**10** Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid**11** Enter the amount of line 10 to be: Credited to 2013 estimated tax

Refunded

Part VII-A Statements Regarding Activities**1a** During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?**b** Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c Did the foundation file Form 1120-POL for this year?**d** Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.**2** Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes**4a** Did the foundation have unrelated business gross income of \$1,000 or more during the year?**b** If "Yes," has it filed a tax return on Form 990-T for this year?**5** Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T.

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year?

If "Yes," complete Part II, col. (c), and Part XV.

8a Enter the states to which the foundation reports or with which it is registered (see instructions) HI**b** If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation**9** Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV**10** Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.BOH.COM/SITES/FOUNDATION/INDEX.ASP</u>	13	X	
14	The books are in care of ► <u>BANK OF HAWAII</u> Telephone no. ► <u>(808) 694-4525</u> Located at ► <u>130 MERCHANT STREET, HONOLULU, HI</u> ZIP+4 ► <u>96813</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years ► _____ , _____ , _____	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____ , _____ , _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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0

Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,072,986.
b	Average of monthly cash balances	1b	24,953.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,097,939.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,097,939.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	16,469.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,081,470.
6	Minimum investment return. Enter 5% of line 5	6	54,074.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	54,074.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	1,069.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,069.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	53,005.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	53,005.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	53,005.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	56,424.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	56,424.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	56,424.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				53,005.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			25,928.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ \$ 56,424.				
a Applied to 2011, but not more than line 2a			25,928.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				30,496.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				22,509.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- 3 Complete 3a, b, or c for the alternative test relied upon:
 - a "Assets" alternative test - enter:
 - (1) Value of all assets
 - (2) Value of assets qualifying under section 4942(j)(3)(B)(i)
 - b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed
 - c "Support" alternative test - enter:
 - (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)
 - (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)
 - (3) Largest amount of support from an exempt organization
 - (4) Gross investment income

2012.03030 FREDERIC DUCLOS BARSTOW FOU 21502061

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STATEMENT-GRA				37,000.
Total			3a	37,000.
b Approved for future payment				
NONE				
Total			3b	0.

Part XVI-A

Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:				
a _____				
b _____				
c _____				
d _____				
e _____				
f _____				
g Fees and contracts from government agencies				
2 Membership dues and assessments				
3 Interest on savings and temporary cash investments				
		14	20.	
4 Dividends and interest from securities				
		14	31,689.	
5 Net rental income or (loss) from real estate:				
a Debt-financed property				
b Not debt-financed property				
6 Net rental income or (loss) from personal property				
7 Other investment income				
8 Gain or (loss) from sales of assets other than inventory				
		18	35,447.	
9 Net income or (loss) from special events				
10 Gross profit or (loss) from sales of inventory				
11 Other revenue:				
a 2011 FEDERAL TAX REFUND		01	651.	
b _____				
c _____				
d _____				
e _____				
12 Subtotal. Add columns (b), (d), and (e)				
	0.		67,807.	0.
13 Total. Add line 12, columns (b), (d), and (e)				
			13	67,807.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B

Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a. Transfers from the reporting foundation to a noncharitable exempt organization of:

(1) Cash

(2) Other assets

b Other transactions:

(1) Sales of assets to a noncharitable exempt organization

(2) Purchases of assets from a noncharitable exempt organization

(3) Rental of facilities, equipment, or other assets

(4) Reimbursement arrangements

(5) Loans or loan guarantees

(6) Performance of services or membership or fundraising solicitations

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

BANK OF HAWAII, Trustee

MAY 06 2013

Signature of officer or trustee the President

Date _____

Title

May the IRS discuss this return with the preparer shown below (see instr 1)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ self-employed

PTIN

Firm's name ▶

Firm's EIN ▶

Firm's address ►

Phone no.

Barstow Foundation

Grants Paid 1/1/2012 to 12/31/2012

2/6/2013

Payee Organization Project Title	Amount Paid Date
Feleti Barstow Public Library P O Box 997687 Pago Pago, American Samoa 96799 <i>Unsolicited Award to be used toward support of the Library during 2012</i>	\$2,000 00 4/6/2012
University of Hawaii Foundation 2444 Dole Street Bachman Hall 105 Honolulu, HI 96822 <i>Frederic Duclos Barstow Foundation Scholarship at UH Manoa for 2012-2013 School Year</i>	\$20,000 00 4/6/2012
University of Hawaii Foundation 2444 Dole Street Bachman Hall 105 Honolulu, HI 96822 <i>Frederic Duclos Barstow Foundation Scholarship at UH Hilo for 2012-2013 School Year</i>	\$15,000 00 4/6/2012
Grand Total (3 items)	\$37,000 00

Frederic Duclos Barstow Foundation
EIN: 99-6002185
2012 Form 990-PF
Investments - Other

<u>Description</u>	<u>Units</u>	<u>Book Value</u>	<u>Market Value</u>
00206R102 AT&T INC	300.000	8,711.21	10,113.00
003019395 ABERDEEN INTERNATIONAL EQUITY FUND INST	8296.199	90,822.66	121,125.00
003021409 ABERDEEN CORE FIXED INCOME FUND CL I	10883.096	118,148.83	120,476.00
003021698 ABERDEEN ASIA-PACIFIC EX-JAPAN EQUITY FUND INSTITUTIONAL CLASS	3312.819	22,557.88	39,853.00
00846U101 AGILENT TECHNOLOGIES INC	30.000	1,247.40	1,228.00
020002101 ALLSTATE CORP	30.000	913.20	1,205.00
02209S103 ALTRIA GROUP INC	260.000	6,639.91	8,174.00
025537101 AMERICAN ELECTRIC POWER CO	50.000	2,026.70	2,134.00
025816109 AMERICAN EXPRESS CO	70.000	2,674.07	4,024.00
03073E105 AMERISOURCEBERGEN CORP	40.000	1,498.30	1,727.00
037411105 APACHE CORP	20.000	1,975.61	1,570.00
037833100 APPLE INC	9.000	3,071.61	4,790.00
046353108 ASTRAZENECA PLC PLC SP ADR	190.000	9,031.41	8,981.00
05534B760 BCE INC	190.000	7,565.24	8,159.00
064058100 BANK OF NEW YORK MELLON CORP	50.000	1,326.86	1,285.00
071813109 BAXTER INTL INC	30.000	1,447.96	2,000.00
073730103 BEAM INC	10.000	397.44	611.00
084670702 BERKSHIRE HATHAWAY INC CL B	50.000	4,234.26	4,485.00
099724106 BORGWARNER INC	10.000	668.50	716.00
110122108 BRISTOL MYERS SQUIBB CO	230.000	6,497.99	7,496.00
124857202 CBS CORPORATION CL B	30.000	1,131.90	1,142.00
156700106 CENTURYLINK INC	210.000	7,948.83	8,215.00
166764100 CHEVRON CORP	40.000	3,324.95	4,326.00
189754104 COACH INC	40.000	2,263.20	2,220.00
191216100 COCA COLA CO	60.000	2,238.70	2,175.00
192446102 COGNIZANT TECH SOLUTIONS CORP	40.000	2,503.92	2,955.00
197199813 COLUMBIA ACORN INTERNATIONAL FUND CL Z	741.889	28,249.10	30,299.00
20825C104 CONOCOPHILLIPS	160.000	7,901.03	9,278.00
231021106 CUMMINS ENGINE INC	30.000	2,671.53	3,251.00
244199105 DEERE & CO	10.000	827.90	864.00
25746U109 DOMINION RESOURCES INC /VA	50.000	2,353.26	2,590.00
260003108 DOVER CORP	30.000	1,626.47	1,971.00
26441C204 DUKE ENERGY CORP	123.000	7,066.09	7,847.00
268648102 EMC CORP	130.000	2,651.67	3,289.00
278642103 EBAY INC	30.000	1,222.80	1,530.00
302130109 EXPEDITORS INTL WASH INC	60.000	2,461.40	2,373.00
30219G108 EXPRESS SCRIPTS HOLDING CO	80.000	3,943.37	4,320.00
35671D857 FREEPORT MCMORAN COPPER & GOLD CLASS B	50.000	2,290.73	1,710.00
369604103 GENERAL ELECTRIC CO	130.000	2,144.75	2,729.00
375558103 GILEAD SCIENCES INC	40.000	1,444.70	2,938.00
37733W105 GLAXOSMITHKLINE PLC ADR	210.000	8,918.06	9,129.00
38141G104 GOLDMAN SACHS GROUP INC	10.000	1,100.10	1,276.00
38259P508 GOOGLE INC CL A	6.000	3,230.83	4,244.00
40414L109 HCP INC	60.000	2,521.13	2,710.00
406216101 HALLIBURTON CO	40.000	1,119.60	1,388.00
42217K106 HEALTH CARE REIT INC	60.000	2,931.74	3,677.00
423074103 HEINZ H J CO	150.000	7,715.59	8,652.00
42809H107 HESS CORP	20.000	1,136.73	1,059.00
438516106 HONEYWELL INTERNATIONAL INC	30.000	1,502.68	1,904.00

Frederic Duclos Barstow Foundation
EIN: 99-6002185
2012 Form 990-PF
Investments - Other

<u>Description</u>	<u>Units</u>	<u>Book Value</u>	<u>Market Value</u>
458140100 INTEL CORP	50.000	959.37	1,031.00
46625H100 JP MORGAN CHASE & CO	40.000	1,390.90	1,759.00
47103C357 JANUS TRITON FUND CL I	2296.583	35,628.98	41,614.00
47803W406 JOHN HANCOCK III DISC M/C-IS	3268.551	42,491.16	43,472.00
478160104 JOHNSON & JOHNSON	90.000	5,759.66	6,309.00
494368103 KIMBERLY CLARK CORP	60.000	4,009.68	5,066.00
500255104 KOHL'S CORP	20.000	912.60	860.00
50076Q106 KRAFT FOODS GROUP INC	150.000	6,971.85	6,821.00
50540R409 LABORATORY CORP AMERICA HOLDINGS	10.000	793.41	866.00
532457108 LILLY ELI & CO	150.000	5,410.72	7,398.00
543487326 NATIXIS LOOMIS SAYLES LTD GOV AND AGCY FD CL Y	1283.628	15,326.94	15,442.00
544147101 LORILLARD INC	20.000	2,450.20	2,333.00
56062X708 MAINSTAY HIGH YIELD CORP BOND FUND CL I	1249.024	7,330.77	7,632.00
580135101 MCDONALDS CORP	60.000	5,360.02	5,293.00
58933Y105 MERCK & CO INC	180.000	6,987.20	7,369.00
594918104 MICROSOFT CORP	40.000	975.37	1,068.00
611740101 MONSTER BEVERAGE CORP	30.000	1,610.43	1,585.00
61945C103 THE MOSAIC COMPANY	20.000	1,156.50	1,133.00
636274300 NATIONAL GRID PLC SP ADR	170.000	8,214.32	9,765.00
637071101 NATIONAL OILWELL VARCO INC	20.000	1,284.75	1,367.00
65339F101 NEXTERA ENERGY INC	20.000	1,087.81	1,384.00
674599105 OCCIDENTAL PETROLEUM CORP	10.000	863.70	766.00
68389X105 ORACLE	30.000	850.20	1,000.00
69351T106 PPL CORPORATION	160.000	4,467.31	4,581.00
713448108 PEPSICO INC	40.000	2,490.70	2,737.00
714290103 PERRIGO CO.	20.000	1,295.12	2,081.00
718172109 PHILIP MORRIS INTERNATIONAL	50.000	3,091.89	4,182.00
74144Q203 T ROWE PRICE INSTITUTIONAL EMERGING MARKETS EQUITY FUND	1306.872	41,754.09	40,722.00
741503403 PRICELINE.COM INC	2.000	1,266.28	1,241.00
742718109 PROCTER & GAMBLE CO	60.000	3,646.12	4,073.00
744573106 PUBLIC SERVICE ENT GROUP INC	40.000	1,269.20	1,224.00
747525103 QUALCOMM INC	70.000	3,512.34	4,330.00
74834L100 QUEST DIAGNOSTICS INC	10.000	505.63	583.00
74972H648 RS GLOBAL NATURAL RESOURCES FUND CL Y	1580.361	64,101.77	58,837.00
761713106 REYNOLDS AMERICAN INC	190.000	7,039.58	7,872.00
77958B402 T ROWE PRICE INSTITUTIONAL FLOATING RATE FUND CL I	1121.285	10,851.56	11,448.00
780259107 ROYAL DUTCH SHELL PLC ADR B	140.000	9,218.21	9,925.00
78463V107 SPDR GOLD TRUST	170.000	28,338.28	27,543.00
80004C101 SANDISK CORP	30.000	1,044.90	1,305.00
806857108 SCHLUMBERGER LTD	30.000	2,055.90	2,079.00
808513105 CHARLES SCHWAB CORP	220.000	2,623.82	3,159.00
842587107 SOUTHERN CO	190.000	7,618.37	8,134.00
858912108 STERICYCLE INC	20.000	1,439.60	1,866.00
880208400 TEMPLETON GLOBAL BOND FUND CL AD	1300.830	17,621.71	17,353.00
884116401 THIRD AVENUE REAL ESTATE VALUE FUND CL IS	1061.409	23,860.48	26,928.00
88732J207 TIME WARNER CABLE INC	10.000	559.59	972.00
89151E109 TOTAL SA SP ADR	190.000	9,804.33	9,882.00
902973304 US BANCORP	30.000	888.60	958.00
904767704 UNILEVER PLC SPONSORED ADR	70.000	2,264.94	2,710.00

Frederic Duclos Barstow Foundation
EIN: 99-6002185
2012 Form 990-PF
Investments - Other

<u>Description</u>	<u>Units</u>	<u>Book Value</u>	<u>Market Value</u>
913017109 UNITED TECHNOLOGIES CORP	20.000	1,451.19	1,640.00
921937835 VANGUARD TOTAL BOND MARKET ETF	1590.000	133,628.10	133,608.00
922031786 VANGUARD LONG-TERM TREASURY FUND CL ADM	1165.526	15,400.04	15,233.00
92220P105 VARIAN MEDICAL SYSTEMS INC	40 000	2,475.42	2,810.00
92343V104 VERIZON COMMUNICATIONS	210.000	7,576.05	9,087.00
92345Y106 VERISK ANALYTICS INC CL A	50.000	1,669.77	2,549.00
92826C839 VISA INC CL A SHARES	20 000	1,462.52	3,032.00
92857W209 VODAFONE GROUP PLC SP ADR	340.000	9,068.93	8,565.00
931142103 WAL-MART STORES INC	30.000	1,622.20	2,047.00
942683103 WATSON PHARMACEUTICAL	20.000	886.77	1,720.00
949746101 WELLS FARGO COMPANY	70.000	1,853.43	2,393.00
97381W104 WINDSTREAM CORP	170.000	2,079.67	1,408 00
Total Investments - Other		997,530.75	1,092,363.00

Frederic Duclos Barstow Foundation for American Samoans
(Bank of Hawaii, Trustee)

Grant Application Information for Program Support

Grantmaking Policy

The Deed of Trust states that the purpose of the foundation is education in American Samoa and benefiting the youth of American Samoa. Among which, without limiting this generality, may be: assistance to schools, government and/or private; education of Samoan youths abroad; education of residents in hygiene; and assistance in creating and maintaining a Cultural Education Center, including a museum or library. Education of Samoan youth was adopted by the first Permanent Committee as their main field in which to serve the Samoan people. The benefits of the Foundation may be extended for projects in cases which the Permanent Committee deems it appropriate.

Organizations must be exempt from federal taxes under Section 501(c)(3) of the Internal Revenue Code and be classified as a public charity. The Foundation does not give grants or scholarships to individuals, nor does it provide grants for deficit funding or endowments.

The Distribution Committee will accept only one request a year from an organization. A new request will not be accepted if a prior pledge or report is still outstanding.

Deadline Date: Grant requests must be postmarked by October 1.

Application Procedures (A thru D)

Submit 6 (six) completed sets of the proposal containing:

- (A) Funding Request cover sheet – To be placed on top of each set (see Page 4).
- (B) Proposal Narrative - Provide who, what, when, where, why, and how in three pages or less.

Narrative Format Information:

Pages: Maximum 3 pages
Margins: 1-inch margins on each side
Font: 12 point font
Spacing: Double space in between paragraphs
Paragraph Height: Maximum of 1 ½ inches
Bullet points: Acceptable

USE THESE 7 HEADINGS IN THE ORDER LISTED FOR THE PROPOSAL NARRATIVE:

1. **Organization:** Briefly describe your organization's mission and programs. (maximum of 3 sentences)
2. **Population to be Served:** Provide an estimated UNDUPLICATED number and age range of the eligible individuals you propose to serve.
 - o If the projections are an increase over the current volume, provide information on current volume (percentages can only be used if accompanied with figures, i.e. 20% over 500).
3. **Program/Project:** Describe using specific information and in layman terms. (Acronyms must be explained in the narrative and budgets.)
4. **Goals & Objectives:** Separately list each goal with corresponding objective, and specifically describe how the goal will be accomplished through the objective.
5. **Outreach:** For new, expansion, or existing program/project:
 - o Describe how your organization will market the program/project to the community you propose to serve.
 - o Provide an estimated UNDUPLICATED number of group/individuals who will be new participants
 - o Provide UNDUPLICATED numbers for existing participants/groups/individuals.

6. Evaluation: Provide information on how and/or what the following measures are that will be used to evaluate the program/project (**note**: pre/post-tests are not acceptable):
 - o Process Measures – extent to how the program/project will be implemented
 - o Outcome Measures – effectiveness in meeting goals and objectives
7. Cost: Total cost of the program/project, amount requested, and plans for future support.

(C) These 7 items must be attached to each of the 6 sets of the proposal narrative

- 1) Staff: Describe the qualifications of the staff or volunteers who will oversee and coordinate the program/project
 - 2) Board of Directors - List of Board members with their professional or business affiliation.
 - o Percent who actively participate in activities and attend meetings
 - 3) Endorsement Letters - Two letters endorsing the activity
- (The following items must have a Start & End date that includes: month, day and year)**
- 4) Project/Program Budget
 - o List all revenue (funding sources) and expenses for the project or program.
 - o Requests for small capital and/or to purchase equipment require additional information to validate the expenses in the project budget (i.e. estimates from vendors).
 - 5) Organization's Operating Budget – Current Fiscal Year
 - o If funding is for support during the following fiscal year, also provide a DRAFT of Operating Budget for such year.
 - 6) Income Statement - Recently Completed Fiscal Year
 - 7) Current Balance Sheet - (not older than three months)

(D) IRS, Charters & By-Laws

Provide ONE copy of each of the following:

- Internal Revenue Service letter advising that the organization is tax-exempt under Section 501 (c)(3) of the Code, and is considered a public charity.
- Charter & By-laws
- Articles of Incorporation - if applicable

Proposals are to be sent to the following mailing address:

Postal Service
 Frederic Duclos Barstow Foundation
 c/o Bank of Hawaii, Corporate Trustee
 Charitable Foundation Services #758
 P. O. Box 3170
 Honolulu, Hawaii 96802-3170

Over night deliveries may be mailed to:
 Frederic Duclos Barstow Foundation
 c/o Bank of Hawaii
 Charitable Foundation Services
 130 Merchant Street, Rm. 530
 Honolulu, Hawaii 96813

Applicants will be notified in writing of the action taken on their requests. The recipient of a grant will be required to submit a narrative report specific to the Foundation's Reporting Guidelines on what has been accomplished as a result of the grant, and a fiscal accounting of the grant expenditures.

The Foundation receives more requests for assistance than its funds permit supporting. However, normally, all applications are considered, and each is acted upon according to its current and projected relative merit, provided it is in keeping with the deed of trust.

Contact Information for Frederic Duclos Barstow Foundation:

Paula Boyce, AVP & Grants Administrator
 Phone: (808) 694-4945 Fax: (808) 694-4006
 Email address: paula.boyce@boh.com

Elaine Moniz, Administrative Assistant
 Phone: (808) 694-4944 Fax: (808) 694-4006
 Email address: elaine.moniz@boh.com

Frederic Duclos Barstow Foundation

Bank of Hawaii, Trustee

Funding Request Cover Sheet (Program)

(TYPE all information, except for signatures, and submit with your request for funding.)

Date _____

Organization Information

(Must be a 501 (c) (3) tax-exempt organization)

(If this is a collaborative project, submit names of collaborating agencies on a separate sheet.)

Name: _____

Complete Address: _____

Phone: _____ Fax: _____

BOARD MEMBER INFORMATION

No. of Board Members: _____ Percent (%) who make an annual financial contribution: _____

Total Contribution During Fiscal Year: _____ Median Gift: _____ Average Gift: _____
(Check One: Past ☐ or Current FY ☐)

Contact Information

Name: _____ Title: _____

Complete Address: _____

Phone: _____ Email: _____

Project Information

Project Title: _____

Amount requested: \$ _____

Two signatures are required

Executive Director (Chief Compensated Staff)

Board Chair or President (Chief volunteer)

Print or Type Name and Title

Print or Type Name and Title

Frederic Duclos Barstow Foundation for American Samoans
(Bank of Hawaii, Trustee)

Grant Application Information for Tuition Aid

Grantmaking Policy

The Deed of Trust states that the purpose of the foundation is education in American Samoa and benefiting the youth of American Samoa. Among which, without limiting this generality, may be: assistance to schools, government and/or private; education of Samoan youths abroad; education of residents in hygiene; and assistance in creating and maintaining a Cultural Education Center, including a museum or library. Education of Samoan youth was adopted by the first Permanent Committee as their main field in which to serve the Samoan people. The benefits of the Foundation may be extended for projects in cases which the Permanent Committee deems it appropriate.

Organizations must be exempt from federal taxes under Section 501(c)(3) of the Internal Revenue Code and be classified as a public charity. The Foundation does not give grants or scholarships to individuals, nor does it provide grants for deficit funding or endowments.

The Permanent Committee will accept only one request a year from an organization. A new request will not be accepted if a prior pledge or report is still outstanding.

Tuition Aid Programs

The Permanent Committee provides grants for scholarship/tuition aid to 4-year accredited colleges and universities in the State of Hawaii for the benefit of students from American Samoa. Criteria for QUALIFIED American Samoa students receiving a Barstow Foundation scholarship can be found on page 4. Please ensure there are qualified students enrolled in your college or university prior to applying for a grant.

The Foundation does not give scholarships to individuals; nor does it provide grants for endowments.

COLLEGE/UNIVERSITY GRANT APPLICATION PROCEDURE

DEADLINE DATE: Requests for tuition aid programs are normally reviewed during the first quarter of the year. **Proposals must be postmarked by October 1.**

Application Procedures

Submit 6 (six) completed sets of the proposal containing the following 10 items:

1. Funding Request Cover Sheet - To be placed on top (see page 3)
2. Proposal Narrative – ONE(1) PAGE

Format Information:

Pages: 1 page

Margins: 1-inch margins on each side

Font: 12 point font

Spacing: Double space in between paragraphs

Paragraph Height: Maximum of 1 ½ inches

Bullet points: Acceptable

NOTE: ONE PAGE Proposal narrative that includes the following information:

- Brief description of applicant organization and its purpose – two sentences.
 - Concise summary of the following: school's tuition aid program; what the school does to generate tuition aid funds; award policy for those in financial need; description of how the tuition aid program is to be carried out; a statement of need and an indication of the population to be served.
3. Board of Directors – List of Board members with their professional or business affiliation.
 - Percent who actively participate in activities and meetings

4. Endorsement Letters - Two letters from recipients are required. (Thank you letters are NOT endorsement letters.)

(The remaining items must have a Start & End date that includes: month, day and year)

5. Organization's Tuition Aid Program Revenue & Expense Budget
 - For proposed school year – (must include all sources of revenue and expenses)
6. Organization's Operating Budget – Current Fiscal Year
7. Income Statement - Recently Completed Fiscal Year
8. Balance Sheet – (not older than 3 months)
9. Internal Revenue Service determination letter advising that the organization is tax-exempt under Section 501(c)(3) and is a public charity.
10. Organization's charter and by-laws, and Articles of Incorporation if applicable.

Proposals are to be sent to the following mailing address:

Postal Service

Frederic Duclos Barstow Foundation
C/o Bank of Hawaii, Corporate Trustee
Charitable Foundation Services #758
P. O. Box 3170
Honolulu, Hawaii 96802-3170

Over Night Deliveries:

Frederic Duclos Barstow Foundation
C/o Bank of Hawaii
Charitable Foundation Services
130 Merchant Street, Rm. 530
Honolulu, Hawaii 96813

Within six months, applicants will be notified in writing of the action taken on their requests. The recipient of a grant will be required to submit a narrative report on what has been accomplished as a result of the grant, and a fiscal accounting of the grant expenditures.

The Foundation receives more requests for assistance than its funds permit supporting. However, normally, all applications are considered, and each is acted upon according to its current and projected relative merit, provided it is in keeping with the deed of trust.

NOTE: Although not ordinarily required, an audited financial statement may be requested if circumstances warrant.

Contact Information for the Barstow Foundation:

Paula Boyce, AVP and Grants Administrator
Phone: (808) 694-4945 Fax: (808) 694-4006
Email address: paula.boyce@boh.com

Elaine Moniz, Trust Specialist
Phone: (808) 694-4944 Fax: (808) 694-4006
Email address: elaine.moniz@boh.com

Frederic Duclos Barstow Foundation

Bank of Hawaii, Trustee

Funding Request Cover Sheet (Tuition Aid)

Please complete and submit with your request for funding

Date _____

Organization Information

(Must be a 501 (c) (3) tax-exempt organization)

(If this is a collaborative project, please submit names of collaborating agencies on a separate sheet.)

Name: _____

Complete Address: _____

Phone: _____ Fax: _____ Email: _____

BOARD MEMBER INFORMATION

No. of Board Members: _____ Percent (%) of Financial Participation: _____

Total Contribution Current Fiscal Year: _____ Median Gift: _____ Average Gift: _____
(Check One: Past ☐ or Current FY ☐

Contact Information

Name: _____ Title: _____

Address: _____

Phone: _____ Email: _____

Project Information

Project Title: _____

Amount requested: \$ _____

Two signatures are required

Executive Director (Chief Compensated Staff)

Board Chair or President (Chief volunteer)

Print or Type Name and Title

Print or Type Name and Title

Frederic Duclos Barstow Foundation for American Samoans
BANK OF HAWAII, TRUSTEE

Frederic Duclos Barstow Foundation
Tuition Aid Criteria

PURPOSE:

To provide monetary assistance to 2nd year Samoan students arriving in Hawaii directly from American Samoa or within one year after leaving American Samoa. Assistance is available to Samoan youth who are of financial need attending any 4-year university in Hawaii, and pursuing a degree that requires a math and/or science major. The scholarship will assist the student each year during their last three years as they work to attain an undergraduate degree.

DESCRIPTION OF PROGRAM:

The purpose of this fund is to assist financially needy Samoan youth who are full time students enrolled in the field of math and/or science study on a university campus. Students who receive scholarships in their 2nd year are encouraged to reapply in their 3rd and 4th year.

CRITERIA:

The scholarship is to be used to fund college scholarships for 2nd year students who have arrived in Hawaii directly from American Samoa, or within one year after leaving American Samoa, providing they have been enrolled in an accredited education system during the year. Scholarship funds may be used toward tuition, fees, books, supplies and equipment required for the enrolled courses. Scholarships are based on the following criteria:

1. Students must be Samoan youth who have recently arrived from American Samoa and demonstrate financial need.
2. Students must be entering their 2nd year (3rd or 4th if applicable).
3. Students are to be pursuing a degree that requires a Math and/or Science major.
 - a. Nursing and Computer Science majors are acceptable alternative fields to Math & Science only after a search for candidates in the preferred majors are exhausted.
4. Students must be maintaining a 2.0 GPA in their CORE classes.
5. Students must be classified and enrolled, or plan to enroll, as full-time.
6. No maximum scholarship size.

ELIGIBILITY:

Although students receiving a Barstow Foundation Scholarship will be selected prior to the academic year, scholarships are issued only after the student provides evidence of having registered in a full time program of math and/or science study at the university. Continued enrollment as a full time student is a requirement. If the necessary credit load is not maintained after the initial registration, students will be required to return scholarship funds.

STUDENT APPLICATION PROCEDURES:

Students must complete a Free Application for Federal Student Aid (FAFSA). In addition, scholarship applicants must complete an application which includes a personal essay as to why they are applying for the scholarship and how will it be of benefit to them, a resume, and arrange for letters of reference and transcripts to be furnished to the selection committee. Please refer to the campus financial aid office for additional instructions relating to general eligibility and submission deadlines.

BANK OF HAWAII
P.O. BOX 3170
HONOLULU, HI 96802

2012 RETURN OF PRIVATE FOUNDATION
FORM 990-PF

EIN	NAME	TAX DUE
99-6002185	FREDERIC DUCLOS BARSTOW FOUNDATION	469
99-6002663	LILI P BERNDT TRUST EMIL A & LILI P BERNDT FUN	0
99-6002220	J RENNEY & MARGARET M L CATTON TRUST	0
91-6572385	MARY LOU CECIL CHARITABLE TRUST	325
99-6044219	COTTINGTON TRUST FOR GIFTED CHILDREN	0
99-0170573	CHARLES H & MARGARET B EDMONDSON CHAR T	0
26-3478306	JEAN ESTES EPSTEIN CHARITABLE FOUNDATION	1446
91-6571634	CHARLES FURNEAUX CHARITABLE TRUST	1094
99-0290717	HARRISON R AND DOROTHEA S COOKE TRUST	1,260
99-6002776	ARTHUR R KELLER TRUST U/W	0
99-0207400	MEMORIAL FOUNDATION OF BISHOP YOUSOON K	0
99-6002371	SOPHIE OVEREND TRUST	0
99-6011145	GEORGE F STRAUB TRUST ESTATE	0
99-6002418	SULTAN FOUNDATION	0
99-0221736	TOKYU FOUNDATION	0
99-6058300	GEORGINA WALKER CHARITABLE TRUST	0
99-6058301	RAPHAEL A WALKER CHARITABLE TRUST	262
99-6002445	GEORGE N WILCOX GENERAL TRUST	0
99-6006669	HANS AND CLARA ZIMMERMAN FOUNDATION	0
TAX DUE TO BE PAID VIA ELECTRONIC PAYMENT		

FORM 990-PF	TAXES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX	225.	225.		0.
TO FORM 990-PF, PG 1, LN 18	225.	225.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GRANT ADMINISTRATION FEES	5,801.	0.		5,801.
BANK OF HAWAII - SPECIAL SERVICES FEES	8,050.	0.		8,050.
TO FORM 990-PF, PG 1, LN 23	13,851.	0.		13,851.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	7
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT-INV	COST	997,531.	1,092,363.
TOTAL TO FORM 990-PF, PART II, LINE 13		997,531.	1,092,363.