Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2012

Open to public Inspection

For calendar year 2012 or tax year beginning , and ending

Name of foundation DOLORES F MARTIN FOUNDATION	**A Employer identification number** 99-0348924
Number and street (or P O box number if mail is not delivered to street address) / Room/suite 263 KAIULANI AVENUE #1	**B** Telephone number 808-926-0270
City or town, state, and ZIP code HONOLULU, HI 96815	**C** If exemption application is pending, check here ▶ ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change	**D** 1. Foreign organizations, check here ▶ ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 4,397,671. **J** Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*	**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue					
1	Contributions, gifts, grants, etc., received				
2	Check ▶ [X] if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	59,279.	59,279.		STATEMENT 1
5a	Gross rents	72,470.	72,470.		STATEMENT 2
b	Net rental income or (loss) 26,679.				STATEMENT 3
6a	Net gain or (loss) from sale of assets not on line 10	<18,075.>			
b	Gross sales price for all assets on line 6a 1,408,915.				
7	Capital gain net income (from Part IV, line 2)		0.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	488.	488.	0.	STATEMENT 4
12	**Total** Add lines 1 through 11	114,162.	132,237.	0.	
Operating and Administrative Expenses					
13	Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees STMT 5	4,414.	552.	0.	3,863.
c	Other professional fees STMT 6	21,713.	13,709.	0.	8,004.
17	Interest	6,160.	6,160.	0.	0.
18	Taxes STMT 7	1,077.	1,077.	0.	0.
19	Depreciation and depletion	3,746.	3,746.	0.	
20	Occupancy	4,583.	4,583.	0.	0.
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses STMT 8	46,011.	35,734.	0.	1,072.
24	**Total operating and administrative expenses** Add lines 13 through 23	87,704.	65,561.	0.	12,939.
25	Contributions, gifts, grants paid	255,125.			255,125.
26	**Total expenses and disbursements.** Add lines 24 and 25	342,829.	65,561.	0.	268,064.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	<228,667.>			
b	**Net investment income** (if negative, enter -0-)		66,676.		
c	**Adjusted net income** (if negative, enter -0-)			0.	

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Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1 Cash - non-interest-bearing		34,500.	12,404.	36,053.
2 Savings and temporary cash investments		42,250.	2,476.	3,383.
3 Accounts receivable ▶				
Less: allowance for doubtful accounts ▶				
4 Pledges receivable ▶				
Less: allowance for doubtful accounts ▶				
5 Grants receivable				
6 Receivables due from officers, directors, trustees, and other disqualified persons				
7 Other notes and loans receivable ▶	6,000.			
Less: allowance for doubtful accounts ▶	0.	6,000.	6,000.	6,000.
8 Inventories for sale or use				
9 Prepaid expenses and deferred charges				
10a Investments - U.S. and state government obligations				
b Investments - corporate stock				
c Investments - corporate bonds				
11 Investments - land, buildings, and equipment basis ▶	138,573.			
Less accumulated depreciation STMT 9 ▶	28,268.	114,051.	110,305.	146,732.
12 Investments - mortgage loans				
13 Investments - other STMT 10		3,420,471.	3,141,211.	3,165,681.
14 Land, buildings, and equipment: basis ▶	407,795.			
Less accumulated depreciation ▶		407,795.	407,795.	963,700.
15 Other assets (describe ▶ STATEMENT 11)		76,122.	76,122.	76,122.
16 **Total assets** (to be completed by all filers)		4,101,189.	3,756,313.	4,397,671.
Liabilities 17 Accounts payable and accrued expenses				
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable				
22 Other liabilities (describe ▶ STATEMENT 12)		342,699.	226,490.	
23 **Total liabilities** (add lines 17 through 22)		342,699.	226,490.	
Net Assets or Fund Balances **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31**				
24 Unrestricted				
25 Temporarily restricted				
26 Permanently restricted				
Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.				
27 Capital stock, trust principal, or current funds		0.	0.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds		3,758,490.	3,529,823.	
30 **Total net assets or fund balances**		3,758,490.	3,529,823.	
31 **Total liabilities and net assets/fund balances**		4,101,189.	3,756,313.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,758,490.
2 Enter amount from Part I, line 27a	2	<228,667.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	3,529,823.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,529,823.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SANFORD & BERNSTEIN - SEE ATTACHED	P	VARIOUS	VARIOUS
b	SANFORD & BERNSTEIN - SEE ATTACHED	P	VARIOUS	VARIOUS
c	SANFORD & BERNSTEIN - SEE ATTACHED	P	VARIOUS	VARIOUS
d	CAPITAL GAINS DIVIDENDS			
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	519,568.		511,276.	8,292.
b	888,387.		915,714.	<27,327.>
c	15.			15.
d	945.			945.
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				8,292.
b				<27,327.>
c				15.
d				945.
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	<18,075.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	280,326.	5,515,630.	.050824
2010	219,471.	5,431,184.	.040409
2009	313,878.	5,034,893.	.062341
2008	175,235.	5,624,603.	.031155
2007	167,084.	6,343,259.	.026340

2 Total of line 1, column (d)	2	.211069
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.042214
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	4,359,550.
5 Multiply line 4 by line 3	5	184,034.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	667.
7 Add lines 5 and 6	7	184,701.
8 Enter qualifying distributions from Part XII, line 4	8	268,064.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b			1	667.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	667.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	667.
6	Credits/Payments:				
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	3,662.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	3,662.
8	Enter any **penalty** for underpayment of estimated tax. Check here [X] if Form 2220 is attached			8	
9	**Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	2,995.
11	Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ▶ 2,995. **Refunded** ▶			11	0.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV.*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ HI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ AATS LLC Telephone no. ▶ 808-543-3388

Located at ▶ 50 S.BERETANIA STREET SUITE C-211E, HONOLULU, HI ZIP+4 ▶ 96813

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 | N/A

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes [X] No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes [X] No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes [X] No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes [X] No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes [X] No			
	(6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes [X] No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes [X] No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes [X] No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A		**5b**	
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		**6b**	X
If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A		**7b**	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
WATTERS O MARTIN, JR 71D COUNTRY CLUB ROAD HONOLULU, HI 96817	PRESIDENT/DIRECTOR 5.00	0.	0.	0.
MARTHA ANN MANEALANI RILEY 3056 KALAKAUA AVE. 9W HONOLULU, HI 96815	SECRETARY/VP/DIRECTOR 1.00	0.	0.	0.
THOMAS SKYE 2947 KALAKAUA AVE PH5 HONOLULU, HI 96815	DIRECTOR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	3,165,681.
b	Average of monthly cash balances	1b	39,436.
c	Fair market value of all other assets	1c	1,220,822.
d	**Total** (add lines 1a, b, and c)	1d	4,425,939.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,425,939.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	66,389.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,359,550.
6	**Minimum investment return** Enter 5% of line 5	6	217,978.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	217,978.
2a	Tax on investment income for 2012 from Part VI, line 5 — 2a: 667.		
b	Income tax for 2012. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	667.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	217,311.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	217,311.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	217,311.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	268,064.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	268,064.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	667.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	267,397.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				217,311.
2 Undistributed income if any, as of the end of 2012				
a Enter amount for 2011 only			256,919.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ $ 268,064.				
a Applied to 2011, but not more than line 2a			256,919.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				11,145.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				206,166.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2013** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

WATTERS O MARTIN JR, 808-923-3050
263 KAIULANI AVE # 1, HONOLULU, HI 96815

b The form in which applications should be submitted and information and materials they should include:

NONE SPECIFIED

c Any submission deadlines:

NO

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NO

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AHAHUI KAIULANI PO BOX 23075 HONOLULU, HI 96823	N/A	501C	SUPPORT HAWAII HISTORY	9,000.
BISHOP MUSEUM 1525 BERNICE STREET HONOLULU, HI 96817	N/A	501C	SUPPORT HAWAIIAN CULTURAL PRESERVATION	113,500.
CENTER FOR INDEPENDENT DOCUMENTARY 680 SOUTH MAIN STREET SHARON, MA 02067	N/A	501C	TO SUPORT FILMS FOR ISSUES OF SOCIAL AND CULTURAL CONCERN	2,500.
CENTRAL PARK BAPTIST CHURCH 1900 W. 43RD STREET BIRMINGHAM, AL 35208	N/A	501C	TO SUPPORT CHURCH	1,000.
DANIEL K. INOUYE FUND 827 FORT STREET MALL HONOLULU, HI 96813	N/A	501C	TO SUPPORT ORGANIZATIONS & CAUSES THAT THE SENATOR SUPPORTED	5,000.
Total SEE CONTINUATION SHEET(S)			▶ 3a	255,125.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14		
4 Dividends and interest from securities			14	59,279.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property			14	26,679.	
6 Net rental income or (loss) from personal property					
7 Other investment income			14	488.	
8 Gain or (loss) from sales of assets other than inventory			18	<18,075.>	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		68,371.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 68,371.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers transactions and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Signature of officer or trustee	Date	Title
[signature]	11/11/13	PRESIDENT

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
TRICIA CASTRO	Tricia Castro	11/11/13		P00851339

Firm's name ▶ AATS LLC — Firm's EIN ▶ 90-0328500

Firm's address ▶ 50 S. BERETANIA STREET SUITE C-211E HONOLULU, HI 96813 — Phone no. 808-543-3388

Form **990-PF** (2012)

223622 12-05-12

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
SHORT-TERM NON-COVERED								
03/25/2011	01/05/2012	20	CELGENE CORP	68 86	55 48	1,377 25	1,109.60	267 65
02/02/2011	01/06/2012	20	MONSANTO CO	77 37	75 38	1,547 46	1,507 66	39 80
02/17/2011	01/06/2012	5	MONSANTO CO	77 37	73 85	386 86	369 24	17 62
09/27/2011	01/06/2012	20	PEPSICO INC	65 47	62 58	1,309 48	1,251.70	57 78
10/28/2011	01/06/2012	45	PEPSICO INC	65 47	63 06	2,946 33	2,837 83	108 50
03/07/2011	01/09/2012	75	LIMITED BRANDS INC	39 00	31 66	2,925 00	2,374 62	550 38
02/24/2011	01/11/2012	25	BORGWARNER INC	72 16	75 21	1,803 99	1,880 33	-76 34
05/12/2011	01/11/2012	25	CELGENE CORP	71.47	59.75	1,786.69	1,493.81	292 88
07/26/2011	01/12/2012	25	CONOCOPHILLIPS	70 79	74 35	1,769 83	1,858 65	-88 82
07/27/2011	01/12/2012	25	CONOCOPHILLIPS	70 79	73 84	1,769 83	1,846 10	-76 27
08/11/2011	01/12/2012	25	CONOCOPHILLIPS	70 79	63 15	1,769 82	1,578 72	191 10
05/17/2011	01/12/2012	70	***LYONDELLBASELL INDU-CL A	38 37	38 83	2,686 09	2,717 96	-31 87
04/25/2011	01/12/2012	45	METLIFE INC	35 68	44 18	1,605 65	1,988 16	-382 51
01/20/2011	01/12/2012	15	ROCKWELL AUTOMATION INC	78 60	74 75	1,178 95	1,121 25	57 70
02/16/2011	01/12/2012	5	ROCKWELL AUTOMATION INC	78.60	90 66	392 98	453.29	-60 31
08/04/2011	01/17/2012	15	VISA INC - CLASS A SHRS	102 46	85 68	1,536 88	1,285 16	251 72
05/31/2011	01/23/2012	30	FMC TECHNOLOGIES INC	52 31	44.39	1,569.36	1,331 84	237.52
01/11/2012	01/24/2012	55	WATSON PHARMACEUTICALS INC	56 00	63 77	3,080 06	3,507 59	-427 53
05/12/2011	01/27/2012	20	CELGENE CORP	73 05	59 75	1,460 90	1,195.05	265 85
02/04/2011	01/31/2012	100	LOWE'S COS INC	26 85	24 51	2,685 00	2,450 84	234 16
04/27/2011	01/31/2012	30	PROCTER & GAMBLE CO	62 88	63 90	1,886 52	1,916.99	-30.47
05/17/2011	02/02/2012	35	***LYONDELLBASELL INDU-CL A	43 31	38 95	1,515 79	1,363 25	152 54
05/23/2011	02/02/2012	15	***LYONDELLBASELL INDU-CL A	43 31	38 93	649 63	583 94	65 69
08/03/2011	02/06/2012	14	VF CORP	132 77	112.51	1,858 81	1,575.19	283 62
05/17/2011	02/07/2012	23	GOODRICH CORP	125 36	90 05	2,883 18	2,071 14	812 04
08/30/2011	02/08/2012	150	CMS ENERGY CORP	21 74	19 51	3,261 00	2,926 64	334.36
10/24/2011	02/08/2012	75	CMS ENERGY CORP	21 74	21 01	1,630 50	1,575 86	54 64
02/24/2011	02/09/2012	15	***ACCENTURE PLC-CL A	57 43	51 59	861 44	773 79	87 65
02/14/2011	02/10/2012	50	JOHNSON CONTROLS INC	32 97	41 39	1,648 52	2,069 70	-421 18
05/13/2011	02/14/2012	50	GENERAL MILLS INC	39.76	39 70	1,988 09	1,984 89	3 20
05/17/2011	02/14/2012	12	GOODRICH CORP	125 51	90 05	1,506 15	1,080 59	425.56
02/22/2011	02/14/2012	25	MARATHON PETROLEUM CORP	44 04	38 12	1,100 87	952 93	147 94
02/23/2011	02/14/2012	10	MARATHON PETROLEUM CORP	44 04	38.33	440.35	383.30	57 05
08/03/2011	02/15/2012	11	VF CORP	143 45	112 51	1,577 96	1,237 65	340 31
02/24/2011	02/16/2012	30	***ACCENTURE PLC-CL A	58 04	51 59	1,741 10	1,547.58	193 52
10/07/2011	02/16/2012	36	ESTEE LAUDER COMPANIES-CL A	55 60	45 94	2,001 48	1,653 80	347 68
09/13/2011	02/17/2012	185	DELL INC	18 13	14 34	3,353 37	2,652.96	700 41
02/24/2011	02/23/2012	20	MONSANTO CO	77 69	70 90	1,553 73	1,417.99	135 74
06/08/2011	02/28/2012	110	GENERAL MOTORS CO	26 24	28 91	2,886 31	3,179 94	-293 63
01/12/2012	03/15/2012	150	***NEXEN INC	19 98	17.81	2,996.45	2,671.50	324 95
04/08/2011	03/16/2012	35	MOODY'S CORP	41 84	35 84	1,464 55	1,254.41	210 14
04/08/2011	03/20/2012	35	MOODY'S CORP	41 68	35 84	1,458 78	1,254.41	204 37
08/02/2011	03/09/2012	85	CBRE GROUP INC	19 15	21 17	1,627 69	1,799 17	-171 48
08/04/2011	03/09/2012	75	CBRE GROUP INC	19 15	19 89	1,436 20	1,491 75	-55 55
09/26/2011	03/09/2012	15	CBRE GROUP INC	19 15	13 33	287 24	200.00	87 24
01/25/2012	03/21/2012	45	LAS VEGAS SANDS CORP	57 72	48 96	2,597 61	2,203 35	394 26

Capital Gains Report

DOLORES FURTADO MARTIN FOUNDATION (Account. 888-20188) January 1, 2012 through December 31, 2012

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
05/16/2011	03/22/2012	30	***TRANSOCEAN LTD	55.07	69.12	1,651.96	2,073.70	-421.74
08/30/2011	03/23/2012	5	DTE ENERGY COMPANY	54.66	50.01	273.28	250.03	23.25
08/11/2011	03/26/2012	125	METLIFE INC	38.27	32.56	4,783.75	4,069.40	714.35
11/04/2011	03/29/2012	75	DOW CHEMICAL	33.99	27.95	2,549.25	2,096.47	452.78
02/13/2012	03/29/2012	50	DOW CHEMICAL	33.99	34.19	1,699.50	1,709.33	-9.83
09/26/2011	04/02/2012	100	CBRE GROUP INC	20.22	13.33	2,022.47	1,333.35	689.12
09/09/2011	04/02/2012	35	DOLLAR GENERAL CORP	46.60	34.65	1,630.99	1,212.64	418.35
05/13/2011	04/02/2012	30	GENERAL MILLS INC	39.59	39.70	1,187.61	1,190.93	-3.32
05/19/2011	04/02/2012	20	GENERAL MILLS INC	39.59	39.89	791.74	797.77	-6.03
10/26/2011	04/03/2012	55	ILLUMINA INC	51.62	30.76	2,839.30	1,691.79	1,147.51
05/19/2011	04/11/2012	20	GENERAL MILLS INC	38.56	39.89	771.21	797.76	-26.55
08/22/2011	04/11/2012	40	GENERAL MILLS INC	38.56	36.19	1,542.43	1,447.51	94.92
08/23/2011	04/11/2012	5	GENERAL MILLS INC	38.56	36.40	192.80	182.01	10.79
08/23/2011	04/11/2012	15	GENERAL MILLS INC	38.56	36.40	578.41	546.05	32.36
04/25/2011	04/12/2012	120	GAMESTOP CORP	21.53	26.43	2,583.96	3,171.40	-587.44
11/14/2011	04/19/2012	25	PERRIGO INC	106.05	92.39	2,651.19	2,309.64	341.55
05/17/2011	04/25/2012	75	EXPRESS SCRIPTS HOLDING CO	56.93	60.06	4,269.46	4,504.14	-234.68
01/09/2012	04/25/2012	40	EXPRESS SCRIPTS HOLDING CO	56.93	49.67	2,277.05	1,986.82	290.23
09/16/2011	04/25/2012	100	***MARVELL TECHNOLOGY GROUP LT	14.83	15.18	1,482.58	1,517.71	-35.13
10/20/2011	04/25/2012	50	***MARVELL TECHNOLOGY GROUP LT	14.83	13.32	741.29	665.76	75.53
05/16/2011	04/25/2012	65	***POTASH CORP OF SASKATCHEWAN	44.10	52.25	2,866.50	3,395.96	-529.46
12/06/2011	05/04/2012	375	MORGAN STANLEY	16.00	16.51	5,999.81	6,190.80	-190.99
12/07/2011	05/04/2012	150	MORGAN STANLEY	16.00	16.71	2,399.93	2,506.50	-106.57
01/09/2012	05/08/2012	25	VERTEX PHARMACEUTICALS INC	61.11	35.29	1,527.75	882.28	645.47
12/08/2011	05/17/2012	50	ILLUMINA INC	43.81	29.14	2,190.50	1,457.23	733.27
07/15/2011	05/21/2012	50	QUALCOMM INC	57.08	54.86	2,854.00	2,742.77	111.23
08/12/2011	05/22/2012	50	***BP PLC-SPONS ADR	37.99	39.72	1,899.50	1,986.07	-86.57
10/06/2011	05/23/2012	30	***POTASH CORP OF SASKATCHEWAN	39.03	46.23	1,170.90	1,386.89	-215.99
01/18/2012	05/23/2012	55	***POTASH CORP OF SASKATCHEWAN	39.03	45.52	2,146.65	2,503.63	-356.98
08/16/2011	05/29/2012	250	CORNING INC	13.03	15.18	3,257.50	3,795.18	-537.68
08/22/2011	05/29/2012	100	CORNING INC	13.03	14.42	1,303.00	1,441.89	-138.89
01/13/2012	06/01/2012	60	FREEPORT-MCMORAN COPPER	32.33	41.78	1,939.98	2,506.72	-566.74
01/18/2012	06/01/2012	40	FREEPORT-MCMORAN COPPER	32.33	43.93	1,293.32	1,757.13	-463.81
01/20/2012	06/01/2012	40	FREEPORT-MCMORAN COPPER	32.33	43.75	1,293.32	1,750.10	-456.78
02/02/2012	06/01/2012	35	FREEPORT-MCMORAN COPPER	32.33	45.85	1,131.66	1,604.83	-473.17
03/21/2012	06/12/2012	60	DIRECTV-CLASS A	43.18	48.11	2,590.98	2,886.50	-295.52
11/02/2011	06/14/2012	25	BOEING CO/THE	71.81	64.42	1,795.25	1,610.49	184.76
11/03/2011	06/14/2012	25	BOEING CO/THE	71.81	65.63	1,795.24	1,640.74	154.50
11/08/2011	06/14/2012	30	BOEING CO/THE	71.81	65.77	2,154.29	1,973.11	181.18
11/16/2011	06/14/2012	25	BOEING CO/THE	71.81	67.00	1,795.25	1,675.07	120.18
12/19/2011	06/14/2012	20	BOEING CO/THE	71.81	70.48	1,436.20	1,409.70	26.50
01/26/2012	06/14/2012	25	BOEING CO/THE	71.81	75.49	1,795.24	1,887.17	-91.93
11/14/2011	06/14/2012	10	PERRIGO INC	110.80	92.38	1,108.00	923.85	184.15
11/28/2011	06/14/2012	15	PERRIGO INC	110.80	94.75	1,662.00	1,421.26	240.74
02/23/2012	06/14/2012	20	PERRIGO INC	110.80	95.54	2,216.00	1,910.89	305.11
07/15/2011	06/18/2012	50	BROADCOM CORP-CL A	34.50	33.37	1,725.20	1,668.51	56.69
08/31/2011	06/20/2012	50	QUALCOMM INC	56.94	51.58	2,846.76	2,579.14	267.62
02/02/2012	06/21/2012	15	GOLDMAN SACHS GROUP INC	94.69	113.31	1,420.39	1,699.71	-279.32
02/07/2012	06/21/2012	15	GOLDMAN SACHS GROUP INC	94.69	116.45	1,420.40	1,746.81	-326.41

Capital Gains Report

DOLORES FURTADO MARTIN FOUNDATION (Account: 888-20188) January 1, 2012 through December 31, 2012

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
02/09/2012	06/21/2012	15	GOLDMAN SACHS GROUP INC	94.69	116.08	1,420.39	1,741.20	-320.81
12/19/2011	06/26/2012	50	HERSHEY CO/THE	70.22	59.85	3,510.92	2,992.70	518.22
02/13/2012	06/26/2012	40	HERSHEY CO/THE	70.22	60.04	2,808.74	2,401.49	407.25
02/21/2012	06/26/2012	35	HERSHEY CO/THE	70.22	60.62	2,457.64	2,121.60	336.04
09/13/2011	06/26/2012	75	JPMORGAN CHASE & CO	35.75	32.81	2,681.57	2,460.50	221.07
08/30/2011	06/27/2012	50	DTE ENERGY COMPANY	58.67	50.01	2,933.58	2,500.28	433.30
07/25/2011	06/29/2012	15	FLOWSERVE CORPORATION	114.69	110.46	1,720.39	1,656.94	63.45
02/21/2012	07/02/2012	70	EDISON INTERNATIONAL	46.12	41.62	3,228.40	2,913.74	314.66
06/01/2012	07/11/2012	50	YUM! BRANDS INC	62.07	66.80	3,103.56	3,339.78	-236.22
07/29/2011	07/13/2012	100	MARATHON PETROLEUM CORP	44.72	43.82	4,472.10	4,382.47	89.63
08/31/2011	07/13/2012	75	MARATHON PETROLEUM CORP	44.72	37.02	3,354.07	2,776.22	577.85
10/20/2011	07/13/2012	45	PVH CORP	75.75	66.10	3,408.89	2,974.64	434.25
12/21/2011	07/13/2012	25	PVH CORP	75.75	67.02	1,893.83	1,675.43	218.40
10/13/2011	07/16/2012	25	GANNETT CO	14.69	10.67	367.14	266.77	100.37
07/29/2011	07/18/2012	28	ANADARKO PETROLEUM CORP	73.13	83.22	2,047.73	2,330.22	-282.49
02/16/2012	07/18/2012	20	BORGWARNER INC	64.87	81.83	1,297.30	1,636.58	-339.28
09/09/2011	07/24/2012	15	DOLLAR GENERAL CORP	52.50	34.65	787.54	519.70	267.84
09/21/2011	07/24/2012	40	DOLLAR GENERAL CORP	52.50	37.40	2,100.12	1,495.90	604.22
12/22/2011	07/24/2012	60	DOLLAR GENERAL CORP	52.50	41.08	3,150.17	2,464.87	685.30
08/04/2011	07/25/2012	95	FMC TECHNOLOGIES INC	42.24	41.25	4,012.90	3,919.00	93.90
02/09/2012	07/25/2012	10	WELLS FARGO & COMPANY	33.09	30.56	330.92	305.61	25.31
12/22/2011	07/26/2012	100	CBRE GROUP INC	15.37	15.44	1,536.54	1,544.49	-7.95
02/17/2012	07/26/2012	100	CBRE GROUP INC	15.37	19.10	1,536.54	1,909.90	-373.36
09/08/2011	07/26/2012	30	***COVIDIEN PLC	53.88	50.89	1,616.26	1,526.57	89.69
10/28/2011	07/26/2012	35	***COVIDIEN PLC	53.88	48.26	1,885.64	1,689.24	196.40
11/01/2011	07/26/2012	35	***COVIDIEN PLC	53.88	45.26	1,885.64	1,584.02	301.62
10/25/2011	07/27/2012	35	TRW AUTOMOTIVE HOLDINGS CORP	34.63	41.12	1,211.94	1,439.24	-227.30
10/27/2011	07/27/2012	40	TRW AUTOMOTIVE HOLDINGS CORP	34.63	42.75	1,385.07	1,709.95	-324.88
01/09/2012	07/27/2012	55	VERTEX PHARMACEUTICALS INC	49.88	35.29	2,743.21	1,941.02	802.19
06/12/2012	07/30/2012	50	AMERICAN EXPRESS CO	58.35	56.17	2,917.50	2,808.38	109.12
12/14/2011	07/30/2012	50	CIT GROUP INC	35.76	33.45	1,787.76	1,672.51	115.25
11/10/2011	07/30/2012	35	LAM RESEARCH CORP	34.14	42.27	1,194.90	1,479.51	-284.61
11/11/2011	07/30/2012	35	LAM RESEARCH CORP	34.14	44.48	1,194.90	1,556.96	-362.06
11/07/2011	08/02/2012	10	***LYONDELLBASELL INDU-CL A	43.99	34.48	439.95	344.85	95.10
08/11/2011	08/03/2012	75	CENTURYLINK INC	41.84	34.10	3,137.69	2,557.35	580.34
03/06/2012	08/03/2012	130	LIBERTY INTERACTIVE CORP-A	18.97	18.53	2,466.10	2,408.77	57.33
10/20/2011	08/03/2012	75	***MARVELL TECHNOLOGY GROUP LT	11.29	13.32	846.52	998.64	-152.12
11/01/2011	08/03/2012	150	***MARVELL TECHNOLOGY GROUP LT	11.29	13.58	1,693.04	2,036.40	-343.36
11/07/2011	08/03/2012	125	***MARVELL TECHNOLOGY GROUP LT	11.29	14.31	1,410.86	1,789.06	-378.20
11/02/2011	08/03/2012	500	MICRON TECHNOLOGY INC	6.30	5.40	3,150.00	2,699.51	450.49
08/23/2011	08/09/2012	20	GENERAL MILLS INC	38.53	36.40	770.63	728.06	42.57
11/01/2011	08/09/2012	75	GENERAL MILLS INC	38.53	38.37	2,889.86	2,878.02	11.84
12/16/2011	08/09/2012	50	GENERAL MILLS INC	38.53	39.66	1,926.58	1,982.91	-56.33
08/31/2011	08/09/2012	30	ROCKWELL AUTOMATION INC	70.85	64.23	2,125.36	1,926.91	198.45
01/06/2012	08/09/2012	55	STRYKER CORP	52.83	51.76	2,905.77	2,846.75	59.02
01/11/2012	08/09/2012	30	STRYKER CORP	52.83	52.65	1,584.96	1,579.61	5.35
01/26/2012	08/09/2012	50	STRYKER CORP	52.83	55.34	2,641.61	2,766.95	-125.34
02/17/2012	08/09/2012	30	STRYKER CORP	52.83	53.67	1,584.96	1,610.25	-25.29
10/11/2011	08/13/2012	35	LEAR CORP	39.57	47.05	1,384.92	1,646.79	-261.87

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
10/25/2011	08/14/2012	135	CENTERPOINT ENERGY INC	20 55	21 16	2,774 25	2,855 97	-81 72
02/16/2012	08/14/2012	90	CENTERPOINT ENERGY INC	20 55	18 75	1,849 50	1,687 50	162 00
06/07/2012	08/14/2012	100	HALLIBURTON CO	35 10	28 25	3,509 73	2,824 60	685 13
05/17/2012	08/16/2012	25	AMGEN INC	83 02	71 38	2,075 50	1,784 50	291 00
07/16/2012	08/17/2012	100	CBS CORP-CLASS B NON VOTING	35 87	31.30	3,587 13	3,130 04	457.09
02/10/2012	08/21/2012	40	INFORMATICA CORPORATION	31 02	46 44	1,240 93	1,857 45	-616 52
06/27/2012	08/21/2012	110	INFORMATICA CORPORATION	31 02	42.70	3,412 57	4,697.00	-1,284 43
09/14/2011	08/23/2012	75	CENTURYLINK INC	41 56	34 84	3,117 06	2,612 71	504 35
10/10/2011	08/23/2012	75	CENTURYLINK INC	41 56	33 48	3,117 06	2,510 92	606 14
01/25/2012	08/24/2012	50	LAS VEGAS SANDS CORP	42 59	48.96	2,129.31	2,448.16	-318 85
02/10/2012	08/24/2012	30	LAS VEGAS SANDS CORP	42 59	52 06	1,277 59	1,561.77	-284 18
03/07/2012	08/24/2012	25	VISA INC - CLASS A SHRS	126 76	115 34	3,169 09	2,883 57	285 52
07/25/2012	08/27/2012	75	DOLLAR TREE INC	48 50	50 30	3,637 43	3,772 52	-135 09
03/06/2012	08/27/2012	35	MCKESSON CORP	87 68	82 38	3,068 63	2,883.41	185 22
03/21/2012	08/27/2012	20	MCKESSON CORP	87 68	86 92	1,753 50	1,738 36	15 14
10/28/2011	08/28/2012	250	NEWELL RUBBERMAID INC	17 36	15 35	4,339 88	3,838 45	501 43
10/13/2011	08/29/2012	175	GANNETT CO	15 06	10.67	2,635 50	1,867.40	768.10
11/23/2011	08/29/2012	175	GANNETT CO	15 06	10 43	2,635 50	1,824 38	811 12
06/26/2012	08/30/2012	150	KIMBERLY-CLARK CORP	83 50	81 81	12,525 60	12,271 52	254 08
06/15/2012	09/06/2012	6	CHIPOTLE MEXICAN GRILL-CL A	301 35	398 29	1,808 11	2,389 74	-581 63
08/06/2012	09/19/2012	150	CONSOLIDATED EDISON INC	59.50	64 91	8,925.29	9,736 73	-811.44
07/24/2012	09/20/2012	150	WISCONSIN ENERGY CORP	36 77	40 45	5,514 98	6,068 24	-553 26
07/17/2012	09/20/2012	175	XCEL ENERGY INC	27 43	28 85	4,800 37	5,048.56	-248 19
07/26/2012	09/21/2012	50	PEPSICO INC	70 80	71 29	3,540 07	3,564.36	-24 29
08/16/2012	09/21/2012	25	PEPSICO INC	70 80	72 89	1,770 04	1,822 21	-52.17
06/07/2012	09/24/2012	200	HALLIBURTON CO	35 60	28 25	7,119 00	5,649 20	1,469 80
10/11/2011	09/27/2012	50	GENERAL MOTORS CO	23 29	22 56	1,164 35	1,127 79	36 56
08/29/2012	10/01/2012	50	FAMILY DOLLAR STORES	65 32	63 75	3,266 08	3,187.56	78 52
08/02/2012	10/08/2012	100	MONDELEZ INTERNATIONAL-W/I	27 59	25 55	2,759 40	2,555 42	203 98
01/11/2012	10/11/2012	1,138 354	AB REAL ASSET STRATEGY CLASS 1	11 42	10 74	13,000 00	12,225 92	774 08
09/11/2012	10/12/2012	250	EDISON INTERNATIONAL	46 59	44.40	11,648 63	11,100 10	548 53
06/12/2012	10/16/2012	125	US BANCORP	33 54	30 48	4,192 91	3,809 84	383 07
06/28/2012	10/23/2012	125	MICROSOFT CORP	28 03	29 55	3,503 99	3,693 29	-189 30
08/16/2012	10/23/2012	75	MICROSOFT CORP	28 03	30 45	2,102 39	2,284 01	-181 62
06/19/2012	10/26/2012	15	PRICELINE COM INC	580 81	679 18	8,712 14	10,187 64	-1,475 50
12/16/2011	10/31/2012	105	APOLLO GROUP INC-CL A	20 03	50 07	2,103 44	5,257 80	-3,154 36
03/16/2012	10/31/2012	35	APOLLO GROUP INC-CL A	20 03	42 62	701 14	1,491 57	-790 43
06/12/2012	11/02/2012	75	AMERICAN EXPRESS CO	56.92	56 17	4,269 09	4,212 58	56 51
08/06/2012	11/08/2012	13	AUTOZONE INC	383 75	362 90	4,988 75	4,717 66	271 09
06/15/2012	11/08/2012	25	MCDONALD'S CORP	86 20	90 45	2,154 96	2,261 24	-106 28
07/30/2012	11/08/2012	125	WALGREEN CO	33 18	36 10	4,147 80	4,512 43	-364 63
06/29/2012	11/09/2012	100	ABBOTT LABORATORIES	64 43	63 90	6,443 43	6,390 00	53 43
05/30/2012	11/12/2012	50	HOME DEPOT INC	61 12	49 60	3,056 13	2,480 16	575 97
01/30/2012	11/13/2012	35	EMERSON ELECTRIC CO	50 23	51 40	1,758 03	1,799 15	-41 12
01/31/2012	11/13/2012	15	EMERSON ELECTRIC CO	50 23	51 25	753 44	768.77	-15 33
08/03/2012	11/13/2012	125	ENTERGY CORP	64 75	72 48	8,093 94	9,060'36	-966 42
11/16/2011	11/13/2012	225	GENERAL MOTORS CO	24 85	23 01	5,591.41	5,177.70	413 71
08/23/2012	11/13/2012	75	GENERAL MOTORS CO	24 85	21 28	1,863 80	1,596.01	267 79

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
01/31/2012	11/19/2012	25	EMERSON ELECTRIC CO	48 85	51 25	1,221 18	1,281.27	-60 09
02/01/2012	11/19/2012	30	EMERSON ELECTRIC CO	48 85	52 27	1,465 41	1,568 24	-102 83
02/29/2012	11/19/2012	35	EMERSON ELECTRIC CO	48 85	50 37	1,709 65	1,762 89	-53 24
03/16/2012	11/19/2012	35	EMERSON ELECTRIC CO	48.85	52 40	1,709 64	1,834 09	-124 45
08/28/2012	11/19/2012	100	EMERSON ELECTRIC CO	48 85	51 63	4,884 70	5,163 04	-278.34
06/14/2012	12/10/2012	120	LAS VEGAS SANDS CORP	43 65	45 42	5,238 55	5,450 89	-212 34
04/12/2012	12/12/2012	25	COACH INC	56 46	74 63	1,411 45	1,865 65	-454 20
04/24/2012	12/12/2012	20	COACH INC	56 46	72 19	1,129 16	1,443 77	-314 61
04/26/2012	12/12/2012	5	COACH INC	56 46	72 72	282 29	363 58	-81 29
12/27/2011	12/12/2012	100	CISCO SYSTEMS INC	19 79	18 55	1,978 72	1,854 92	123 80
06/18/2012	12/14/2012	92	AB DISCOVERY VALUE FUND CL ADV	17 90	16 02	1,650 00	1,476 71	173 29
		179						
07/31/2012	12/21/2012	100	BMC SOFTWARE INC	40 81	39 55	4,081 18	3,955 00	126 18
07/30/2012	12/21/2012	125	WALGREEN CO	36 39	36 10	4,548.18	4,512 42	35 76
07/02/2012	12/24/2012	50	O'REILLY AUTOMOTIVE INC	90 11	83 90	4,505 74	4,194 82	310 92
08/10/2012	12/28/2012	150	AMEREN CORPORATION	30 60	34 55	4,590 00	5,181.81	-591 81
08/07/2012	12/31/2012	75	CLOROX COMPANY	72 53	70 91	5,439 56	5,318 15	121 41
08/21/2012	12/31/2012	25	CLOROX COMPANY	72 53	72 29	1,813 18	1,807 28	5 90
			SUBTOTAL FOR SHORT-TERM NON-COVERED			519,567 55	511,276 32	8,291 23

LONG-TERM NON-COVERED

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
11/22/2010	01/05/2012	25	LIMITED BRANDS INC	39 28	33 05	982 00	826 37	155 63
01/04/2011	01/05/2012	25	LIMITED BRANDS INC	39 28	30 06	982 00	751 52	230 48
01/04/2011	01/09/2012	50	LIMITED BRANDS INC	39 00	30 06	1,950 00	1,503.03	446 97
07/12/2005	01/11/2012	808	ALLIANCEBERNSTEIN GLOBAL	8 18	14 80	6,611 24	11,961 65	-5,350 41
		220	REAL ESTATE INVT FD II CL I					
09/27/2005	01/11/2012	5,073	ALLIANCEBERNSTEIN GLOBAL	8 18	14 33	41,499.37	72,700 00	-31,200.63
		273	REAL ESTATE INVT FD II CL I					
04/18/2006	01/11/2012	2,277	ALLIANCEBERNSTEIN GLOBAL	8.18	15 48	18,626 94	35,250 00	-16,623.06
		132	REAL ESTATE INVT FD II CL I					
11/07/2007	01/11/2012	1,037	ALLIANCEBERNSTEIN GLOBAL	8 18	16 77	8,487 30	17,400 00	-8,912 70
		567	REAL ESTATE INVT FD II CL I					
12/27/2007	01/11/2012	8,409	ALLIANCEBERNSTEIN GLOBAL	8 18	11 57	68,791 14	97,299 94	-28,508 80
		675	REAL ESTATE INVT FD II CL I					
11/12/2008	01/11/2012	10,540	ALLIANCEBERNSTEIN GLOBAL	8 18	5 64	86,223 58	59,450 00	26,773 58
		780	REAL ESTATE INVT FD II CL I					
07/12/2010	01/11/2012	100	MARATHON OIL CORP	30 61	19 43	3,060 56	1,942 78	1,117 78
11/01/2010	01/11/2012	50	STARBUCKS CORP	47 04	28 72	2,351 80	1,435 91	915 89
05/19/2010	01/11/2012	628	OVERLAY A PORTFOLIO	10 27	10 25	6,450 00	6,437 44	12 56
		043	CLASS 1					
01/04/2011	01/17/2012	10	ALLERGAN INC	88 54	70 12	885 43	701 23	184 20
01/12/2011	01/17/2012	10	ALLERGAN INC	88 54	70 70	885 43	706 96	178 47
08/13/2010	01/17/2012	25	UNITED PARCEL SERVICE-CL B	74 21	64.59	1,855.25	1,614 64	240 61
09/23/2009	01/19/2012	25	JOHNSON CONTROLS INC	32 50	26 70	812 50	667 43	145 07
12/22/2009	01/19/2012	25	JOHNSON CONTROLS INC	32 50	27 73	812 50	693 36	119 14
06/25/2008	01/24/2012	5	APPLE INC	424 00	175 14	2,120 01	875 68	1,244 33

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
11/10/2009	01/25/2012	50	BROADCOM CORP-CL A	35.71	28.12	1,785.46	1,405.83	379.63
11/27/2009	01/25/2012	75	EMC CORP/MASS	25.73	16.75	1,929.54	1,256.29	673.25
07/12/2010	01/25/2012	63	MARATHON PETROLEUM CORP	38.50	25.04	2,425.81	1,577.46	848.35
07/20/2010	01/25/2012	25	MARATHON PETROLEUM CORP	38.50	25.35	962.62	633.63	328.99
06/25/2010	01/30/2012	10	AMAZON COM INC	192.27	119.71	1,922.72	1,197.07	725.65
11/10/2010	01/31/2012	50	STARBUCKS CORP	48.02	30.43	2,400.80	1,521.72	879.08
05/27/2009	02/01/2012	50	DANAHER CORP	52.97	30.55	2,648.38	1,527.27	1,121.11
04/20/2010	02/02/2012	85	***INGERSOLL-RAND PLC	36.27	37.35	3,083.00	3,174.87	-91.87
06/25/2008	02/08/2012	5	APPLE INC	472.78	175.14	2,363.92	875.68	1,488.24
11/18/2010	02/08/2012	90	GENERAL MOTORS CO	25.81	34.00	2,322.92	3,059.95	-737.03
12/22/2009	02/08/2012	70	JOHNSON CONTROLS INC	32.75	27.73	2,292.19	1,941.41	350.78
09/23/2010	02/08/2012	50	ORACLE CORP	28.67	27.35	1,433.39	1,367.61	65.78
01/25/2011	02/09/2012	30	***ACCENTURE PLC-CL A	57.43	51.37	1,722.88	1,541.02	181.86
12/22/2009	02/10/2012	55	JOHNSON CONTROLS INC	32.97	27.73	1,813.38	1,525.39	287.99
07/22/2010	02/14/2012	37	MARATHON PETROLEUM CORP	44.04	26.33	1,629.30	974.07	655.23
08/13/2010	02/14/2012	15	UNITED PARCEL SERVICE-CL B	76.85	64.59	1,152.76	968.79	183.97
09/03/2010	02/14/2012	15	UNITED PARCEL SERVICE-CL B	76.85	67.56	1,152.75	1,013.40	139.35
01/27/2011	02/21/2012	225	DELTA AIR LINES INC	9.82	12.19	2,209.53	2,743.43	-533.90
02/11/2011	02/21/2012	125	DELTA AIR LINES INC	9.82	11.94	1,227.51	1,491.96	-264.45
12/14/2010	02/22/2012	25	CITRIX SYSTEMS INC	73.47	68.78	1,836.73	1,719.58	117.15
02/17/2011	02/23/2012	25	MONSANTO CO	77.69	73.85	1,942.17	1,846.20	95.97
12/16/2010	02/23/2012	5	***POTASH CORP OF SASKATCHEWAN	46.55	46.35	232.73	231.73	1.00
12/20/2010	02/23/2012	45	***POTASH CORP OF SASKATCHEWAN	46.55	46.50	2,094.53	2,092.61	1.92
06/25/2008	02/28/2012	5	APPLE INC	533.76	175.13	2,668.79	875.67	1,793.12
06/25/2008	03/06/2012	5	APPLE INC	529.77	175.14	2,648.83	875.68	1,773.15
06/05/2009	03/06/2012	50	JPMORGAN CHASE & CO	39.31	34.88	1,965.69	1,744.19	221.50
10/25/2010	03/07/2012	50	CENTURYLINK INC	38.65	40.90	1,932.33	2,045.11	-112.78
07/09/2010	03/15/2012	25	DOW CHEMICAL	34.74	25.57	868.57	639.32	229.25
07/19/2010	03/15/2012	50	DOW CHEMICAL	34.74	25.00	1,737.13	1,250.02	487.11
09/01/2010	03/15/2012	20	DOW CHEMICAL	34.74	25.59	694.85	511.80	183.05
10/18/2010	03/20/2012	17	GOLDMAN SACHS GROUP INC	126.74	152.85	2,154.65	2,598.52	-443.87
09/01/2010	03/21/2012	55	DOW CHEMICAL	35.04	25.59	1,927.19	1,407.44	519.75
03/21/2011	03/23/2012	60	DTE ENERGY COMPANY	54.66	47.64	3,279.31	2,858.55	420.76
11/10/2009	03/27/2012	40	BROADCOM CORP-CL A	39.19	25.02	1,567.72	1,000.92	566.80
08/27/2010	03/27/2012	10	BROADCOM CORP-CL A	39.19	32.77	391.93	327.68	64.25
01/20/2011	03/27/2012	13	GOLDMAN SACHS GROUP INC	126.89	165.07	1,649.57	2,145.91	-496.34
02/08/2011	03/27/2012	10	GOLDMAN SACHS GROUP INC	126.89	167.72	1,268.90	1,677.21	-408.31
12/18/2007	03/28/2012	100	TRAVELERS COS INC/THE	58.82	52.67	5,881.76	5,266.88	614.88
08/03/2010	03/28/2012	45	TRAVELERS COS INC/THE	58.82	50.35	2,646.79	2,265.53	381.26
03/07/2011	03/28/2012	30	TRAVELERS COS INC/THE	58.82	59.03	1,764.53	1,770.90	-6.37
06/25/2008	04/02/2012	5	APPLE INC	616.18	175.13	3,080.90	875.67	2,205.23
10/09/2008	04/02/2012	5	APPLE INC	616.18	91.34	3,080.90	456.72	2,624.18
05/04/2010	04/02/2012	25	EOG RESOURCES INC	113.79	109.42	2,844.78	2,735.49	109.29
03/19/2010	04/02/2012	33	GILEAD SCIENCES INC	48.67	47.77	1,606.01	1,576.31	29.70
12/16/2010	04/02/2012	15	INTUIT INC	60.70	48.75	910.46	731.25	179.21
01/11/2011	04/02/2012	20	INTUIT INC	60.70	47.59	1,213.95	951.83	262.12
09/03/2010	04/11/2012	20	UNITED PARCEL SERVICE-CL B	78.97	67.56	1,579.48	1,351.20	228.28
10/19/2010	04/11/2012	30	UNITED PARCEL SERVICE-CL B	78.97	68.97	2,369.23	2,068.99	300.24
11/10/2010	04/19/2012	30	STARBUCKS CORP	59.26	30.43	1,777.87	913.03	864.84

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
03/18/2011	04/23/2012	25	BORGWARNER INC	79 95	74 72	1,998 79	1,867 97	130 82
03/25/2011	04/23/2012	10	BORGWARNER INC	79 95	76 78	799 51	767 85	31 66
11/12/2010	04/23/2012	20	FLOWSERVE CORPORATION	109 26	106 42	2,185 12	2,128 43	56 69
03/18/2011	04/23/2012	10	FLOWSERVE CORPORATION	109 26	122 77	1,092 56	1,227 70	-135 14
08/27/2010	04/25/2012	25	BROADCOM CORP-CL A	36 20	32 77	905 05	819 19	85 86
09/09/2010	04/25/2012	50	BROADCOM CORP-CL A	36 20	34 09	1,810 11	1,704 72	105 39
02/22/2011	04/25/2012	25	BROADCOM CORP-CL A	36 20	41.19	905 05	1,029 77	-124 72
06/03/2010	04/25/2012	30	EXPRESS SCRIPTS HOLDING CO	56 93	51 94	1,707 79	1,558 33	149 46
11/23/2010	04/25/2012	30	EXPRESS SCRIPTS HOLDING CO	56 93	52 81	1,707 79	1,584.32	123 47
03/07/2011	04/25/2012	50	EXPRESS SCRIPTS HOLDING CO	56 93	54 64	2,846 31	2,731.88	114 43
11/01/2010	04/25/2012	75	***MARVELL TECHNOLOGY GROUP LT	14 83	19 42	1,111 94	1,456 78	-344 84
12/20/2010	04/25/2012	125	***MARVELL TECHNOLOGY GROUP LT	14 83	18 90	1,853 22	2,362.10	-508 88
12/23/2010	04/26/2012	60	NORTHROP GRUMMAN CORP	63 46	58 86	3,807 30	3,531 85	275 45
01/04/2011	04/26/2012	25	NORTHROP GRUMMAN CORP	63 46	59 19	1,586 38	1,479.75	106 63
12/16/2009	04/30/2012	100	CONSTELLATION BRANDS INC-A	21 53	15 25	2,152 80	1,525 21	627 59
01/11/2010	04/30/2012	175	CONSTELLATION BRANDS INC-A	21 53	15 68	3,767 40	2,744 74	1,022 66
10/19/2010	05/08/2012	20	UNITED PARCEL SERVICE-CL B	77 54	68 97	1,550 88	1,379 33	171 55
10/21/2010	05/08/2012	20	UNITED PARCEL SERVICE-CL B	77 54	69 86	1,550 88	1,397 20	153 68
10/29/2010	05/08/2012	30	UNITED PARCEL SERVICE-CL B	77 54	67 29	2,326 31	2,018 80	307 51
11/09/2010	05/08/2012	25	UNITED PARCEL SERVICE-CL B	77 54	69 44	1,938 59	1,735.94	202 65
12/08/2010	05/08/2012	25	UNITED PARCEL SERVICE-CL B	77 54	72 01	1,938 60	1,800.19	138 41
03/08/2011	05/08/2012	25	UNITED PARCEL SERVICE-CL B	77 54	73 07	1,938 59	1,826 82	111 77
03/19/2010	05/17/2012	5	GILEAD SCIENCES INC	50 82	47 77	254.10	238 83	15 27
04/16/2010	05/17/2012	3	GILEAD SCIENCES INC	50 82	45 75	152 46	137 26	15 20
04/29/2010	05/17/2012	64	GILEAD SCIENCES INC	50 82	40 89	3,252 48	2,616.75	635 73
11/24/2010	05/17/2012	25	GILEAD SCIENCES INC	50 82	37 61	1,270 50	940 37	330 13
01/27/2011	05/21/2012	65	QUALCOMM INC	57 08	54 96	3,710 20	3,572 39	137 81
02/11/2011	05/21/2012	40	QUALCOMM INC	57 08	57 28	2,283 20	2,291 18	-7 98
02/15/2011	05/21/2012	35	QUALCOMM INC	57 08	58 71	1,997.80	2,054 94	-57 14
02/18/2011	05/21/2012	25	QUALCOMM INC	57 08	59 08	1,427.00	1,477 10	-50 10
03/24/2011	05/21/2012	60	QUALCOMM INC	57 08	52 84	3,424 80	3,170 53	254 27
04/27/2011	05/21/2012	50	QUALCOMM INC	57.08	57.52	2,854 00	2,876.00	-22.00
05/16/2011	05/23/2012	25	***POTASH CORP OF SASKATCHEWAN	39 03	52 25	975 75	1,306 14	-330 39
10/07/2009	05/29/2012	150	CORNING INC	13 03	15 49	1,954 50	2,323.29	-368 79
03/16/2011	05/30/2012	20	MONSANTO CO	76 79	67 44	1,535 72	1,348.78	186 94
04/14/2011	05/30/2012	25	MONSANTO CO	76 79	67 79	1,919 66	1,694 80	224 86
04/21/2011	05/30/2012	25	MONSANTO CO	76 79	67 76	1,919 65	1,693 89	225 76
05/05/2011	05/30/2012	25	MONSANTO CO	76 79	66 10	1,919 66	1,652 48	267 18
05/27/2011	05/30/2012	25	MONSANTO CO	76 79	69 38	1,919 65	1,734 56	185 09
02/18/2011	05/31/2012	63	CITIGROUP INC	26 27	48 79	1,654 80	3,074 06	-1,419 26
03/21/2011	05/31/2012	37	CITIGROUP INC	26 27	45 44	971 86	1,681 39	-709 53
06/05/2009	05/31/2012	15	JPMORGAN CHASE & CO	33 13	34 88	497 00	523.26	-26 26
07/13/2009	05/31/2012	100	JPMORGAN CHASE & CO	33 13	33 69	3,313 36	3,369 12	-55 76
12/22/2009	05/31/2012	60	JPMORGAN CHASE & CO	33 13	41 94	1,988 02	2,516.39	-528 37
12/29/2009	05/31/2012	75	JPMORGAN CHASE & CO	33 13	41 73	2,485 02	3,129 88	-644 86
09/07/2010	05/31/2012	150	JPMORGAN CHASE & CO	33 13	38.46	4,970.04	5,769.30	-799.26
10/25/2010	06/06/2012	70	CENTURYLINK INC	37 41	40 90	2,618 92	2,863 15	-244 23
10/27/2010	06/06/2012	30	CENTURYLINK INC	37 41	40.76	1,122 40	1,222.72	-100 32
04/25/2011	06/06/2012	40	CENTURYLINK INC	37 41	39 20	1,496 53	1,568.14	-71 61

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
04/26/2011	06/06/2012	60	CENTURYLINK INC	37.41	39.99	2,244.79	2,399.65	-154.86
01/10/2008	06/07/2012	25	SCHLUMBERGER LTD	65.38	96.35	1,634.39	2,408.80	-774.41
09/29/2008	06/07/2012	25	SCHLUMBERGER LTD	65.38	83.21	1,634.39	2,080.23	-445.84
10/16/2008	06/07/2012	50	SCHLUMBERGER LTD	65.38	54.55	3,268.78	2,727.37	541.41
06/25/2010	06/08/2012	13	AMAZON.COM INC	218.18	119.71	2,836.34	1,556.19	1,280.15
07/13/2010	06/08/2012	17	AMAZON COM INC	218.18	122.82	3,709.06	2,087.89	1,621.17
01/31/2011	06/08/2012	15	AMAZON COM INC	218.18	169.43	3,272.70	2,541.43	731.27
03/10/2011	06/08/2012	10	AMAZON COM INC	218.18	166.12	2,181.80	1,661.19	520.61
05/12/2010	06/08/2012	70	THE WALT DISNEY CO	45.77	35.51	3,204.10	2,485.85	718.25
09/16/2010	06/08/2012	30	THE WALT DISNEY CO	45.77	33.92	1,373.19	1,017.56	355.63
04/01/2010	06/12/2012	30	COMCAST CORP-CLASS A	29.99	18.91	899.84	567.37	332.47
04/19/2010	06/12/2012	130	COMCAST CORP-CLASS A	29.99	18.38	3,899.32	2,389.45	1,509.87
05/04/2010	06/12/2012	23	COMCAST CORP-CLASS A	29.99	19.85	689.88	456.56	233.32
02/28/2011	06/12/2012	105	COMCAST CORP-CLASS A	29.99	25.48	3,149.46	2,675.89	473.57
03/18/2011	06/12/2012	65	COMCAST CORP-CLASS A	29.99	24.10	1,949.66	1,566.62	383.04
01/24/2011	06/12/2012	55	DIRECTV-CLASS A	43.18	42.16	2,375.07	2,318.91	56.16
05/20/2011	06/12/2012	35	DIRECTV-CLASS A	43.18	50.24	1,511.40	1,758.50	-247.10
07/12/2010	06/15/2012	75	MARATHON OIL CORP	24.76	19.43	1,857.17	1,457.09	400.08
07/20/2010	06/15/2012	50	MARATHON OIL CORP	24.76	19.58	1,238.11	978.92	259.19
07/22/2010	06/15/2012	75	MARATHON OIL CORP	24.76	20.07	1,857.16	1,504.88	352.28
02/22/2011	06/15/2012	50	MARATHON OIL CORP	24.76	29.44	1,238.11	1,472.22	-234.11
02/23/2011	06/15/2012	50	MARATHON OIL CORP	24.76	29.61	1,238.11	1,480.43	-242.32
10/09/2008	06/18/2012	5	APPLE INC	583.14	91.34	2,915.72	456.72	2,459.00
02/22/2011	06/18/2012	50	BROADCOM CORP-CL A	34.50	41.19	1,725.20	2,059.53	-334.33
05/05/2011	06/18/2012	50	BROADCOM CORP-CL A	34.50	34.31	1,725.20	1,715.33	9.87
11/27/2009	06/18/2012	100	EMC CORP/MASS	24.57	16.75	2,456.89	1,675.06	781.83
02/16/2010	06/18/2012	175	EMC CORP/MASS	24.57	17.39	4,299.56	3,043.46	1,256.10
04/23/2010	06/18/2012	100	EMC CORP/MASS	24.57	19.72	2,456.89	1,971.51	485.38
02/25/2011	06/18/2012	75	EMC CORP/MASS	24.57	26.85	1,842.67	2,013.62	-170.95
02/19/2008	06/18/2012	12	GOOGLE INC-CL A	568.28	529.71	6,819.38	6,356.49	462.89
06/18/2008	06/18/2012	5	GOOGLE INC-CL A	568.28	563.64	2,841.40	2,818.19	23.21
01/09/2009	06/18/2012	6	GOOGLE INC-CL A	568.28	319.82	3,409.69	1,918.90	1,490.79
01/29/2010	06/18/2012	175	GENERAL ELECTRIC CO	19.66	16.29	3,440.69	2,851.40	589.29
09/27/2010	06/19/2012	45	ORACLE CORP	28.09	26.85	1,264.05	1,208.35	55.70
10/05/2010	06/19/2012	55	ORACLE CORP	28.09	27.20	1,544.95	1,495.98	48.97
10/13/2010	06/19/2012	50	ORACLE CORP	28.09	28.54	1,404.50	1,427.20	-22.70
11/10/2010	06/19/2012	125	ORACLE CORP	28.09	28.66	3,511.25	3,581.88	-70.63
12/08/2010	06/19/2012	100	ORACLE CORP	28.09	29.13	2,809.00	2,912.86	-103.86
02/03/2011	06/19/2012	75	ORACLE CORP	28.09	32.79	2,106.75	2,459.27	-352.52
03/21/2011	06/19/2012	50	ORACLE CORP	28.09	31.58	1,404.50	1,578.95	-174.45
05/25/2011	06/19/2012	50	ORACLE CORP	28.09	33.15	1,404.50	1,657.54	-253.04
11/05/2010	06/20/2012	80	HEALTH NET INC	24.64	28.63	1,971.44	2,290.57	-319.13
06/25/2010	06/22/2012	140	JOHNSON & JOHNSON	66.60	59.10	9,324.00	8,274.44	1,049.56
09/07/2010	06/26/2012	125	JPMORGAN CHASE & CO	35.75	38.46	4,469.29	4,807.75	-338.46
09/30/2010	06/26/2012	50	JPMORGAN CHASE & CO	35.75	38.18	1,787.71	1,909.17	-121.46
11/09/2010	06/26/2012	50	JPMORGAN CHASE & CO	35.75	40.48	1,787.72	2,023.85	-236.13
02/24/2011	06/27/2012	50	DEVON ENERGY CORPORATION	55.98	88.47	2,798.93	4,423.56	-1,624.63
05/05/2011	06/27/2012	25	DEVON ENERGY CORPORATION	55.98	84.32	1,399.47	2,108.00	-708.53
05/14/2007	06/27/2012	100	PFIZER INC	22.60	27.21	2,260.48	2,720.63	-460.15

Capital Gains Report

DOLORES FURTADO MARTIN FOUNDATION (Account. 888-20188) January 1, 2012 through December 31, 2012

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
09/27/2005	06/28/2012	1,991	BERNSTEIN INTERMEDIATE	14 06	13 28	28,000 00	26,446.65	1,553 35
		465	DURATION PORTFOLIO					
03/18/2011	06/29/2012	10	FLOWSERVE CORPORATION	114 69	122 77	1,146 93	1,227.70	-80 77
04/14/2011	06/29/2012	15	FLOWSERVE CORPORATION	114 69	127 05	1,720 39	1,905 75	-185 36
05/17/2011	06/29/2012	15	FLOWSERVE CORPORATION	114 69	119 20	1,720 40	1,787 97	-67 57
08/26/2010	07/11/2012	125	GANNETT CO	14 21	12 32	1,776 19	1,540.33	235 86
02/23/2011	07/13/2012	15	MARATHON PETROLEUM CORP	44 72	38 33	670 82	574.96	95 86
08/26/2010	07/16/2012	125	GANNETT CO	14 69	12 32	1,835 73	1,540 32	295 41
06/13/2011	07/18/2012	85	ANADARKO PETROLEUM CORP	73 13	71 92	6,216 31	6,113.23	103 08
07/01/2011	07/18/2012	4	ANADARKO PETROLEUM CORP	73 13	77 53	292 53	310.14	-17 61
03/25/2011	07/18/2012	15	BORGWARNER INC	64 87	76 78	972 98	1,151 77	-178 79
05/25/2011	07/18/2012	25	BORGWARNER INC	64 87	69 58	1,621 62	1,739 62	-118 00
04/28/2010	07/19/2012	200	FORD MOTOR CO	9 35	13 14	1,870 32	2,627 28	-756 96
10/09/2008	07/20/2012	15	APPLE INC	606 93	91.34	9,103 90	1,370 15	7,733 75
05/31/2011	07/25/2012	45	FMC TECHNOLOGIES INC	42 24	44 39	1,900 85	1,997 76	-96 91
04/21/2010	07/25/2012	10	WELLS FARGO & COMPANY	33 09	33 17	330 92	331 75	-0 83
07/19/2010	07/25/2012	75	WELLS FARGO & COMPANY	33 09	25 91	2,481 93	1,943.21	538 72
05/18/2011	07/26/2012	60	***COVIDIEN PLC	53 88	57 00	3,232 53	3,419 83	-187 30
07/20/2011	07/26/2012	30	***COVIDIEN PLC	53 88	51 81	1,616 26	1,554 36	61 90
07/22/2011	07/26/2012	35	***COVIDIEN PLC	53 88	51 94	1,885 64	1,817 87	67 77
05/27/2009	07/26/2012	30	DANAHER CORP	52 11	30 55	1,563.26	916.36	646 90
09/09/2009	07/26/2012	20	DANAHER CORP	52 11	32 99	1,042 18	659.87	382 31
05/24/2011	07/27/2012	60	TRW AUTOMOTIVE HOLDINGS CORP	34 63	53 56	2,077 61	3,213.37	-1,135 76
09/27/2005	07/27/2012	14	BERNSTEIN INTERMEDIATE	14 16	13 28	210 80	197 70	13 10
		887	DURATION PORTFOLIO					
05/06/2011	07/30/2012	70	LAM RESEARCH CORP	34 14	48 71	2,389 80	3,409 88	-1,020 08
05/23/2011	08/02/2012	35	***LYONDELLBASELL INDU-CL A	43 99	12 25	1,539 82	428 71	1,111 11
01/12/2011	08/03/2012	10	ALLERGAN INC	86 32	70 70	863 23	706 96	156 27
02/14/2011	08/03/2012	25	ALLERGAN INC	86 32	74 01	2,158 07	1,850 16	307 91
03/02/2011	08/03/2012	20	ALLERGAN INC	86.32	71 23	1,726 45	1,424 54	301 91
03/16/2011	08/03/2012	20	ALLERGAN INC	86 32	69 38	1,726 45	1,387 66	338 79
03/31/2011	08/03/2012	25	ALLERGAN INC	86 32	71.18	2,158 07	1,779 50	378 57
04/25/2011	08/03/2012	25	ALLERGAN INC	86 32	77 96	2,158 07	1,948.94	209 13
06/14/2011	08/03/2012	16	PRECISION CASTPARTS CORP	156 27	152 70	2,500 35	2,443 13	57 22
02/16/2011	08/09/2012	20	ROCKWELL AUTOMATION INC	70 85	90 66	1,416 91	1,813.18	-396 27
04/01/2011	08/09/2012	15	ROCKWELL AUTOMATION INC	70 85	96 37	1,062 68	1,445 51	-382 83
07/08/2010	08/13/2012	60	LEAR CORP	39 57	33 20	2,374 14	1,992 13	382 01
10/28/2010	08/13/2012	50	LEAR CORP	39 57	44 03	1,978 45	2,201.26	-222 81
08/12/2011	08/15/2012	50	***BP PLC-SPONS ADR	42 43	39.72	2,121.40	1,986.07	135.33
04/29/2008	08/15/2012	30	HEWLETT-PACKARD CO	19 31	47 87	579 33	1,436 20	-856 87
06/19/2008	08/15/2012	65	HEWLETT-PACKARD CO	19 31	46 53	1,255 20	3,024 38	-1,769 18
07/16/2008	08/15/2012	19	HEWLETT-PACKARD CO	19 31	41 38	366 91	786.28	-419 37
05/20/2011	08/15/2012	95	MCGRAW-HILL COMPANIES INC	49 05	42 83	4,659 31	4,068 55	590 76
08/08/2011	08/16/2012	100	GILEAD SCIENCES INC	57 12	36 86	5,711 65	3,685 61	2,026 04
05/14/2007	08/16/2012	100	PFIZER INC	23 93	27 21	2,393 36	2,720 63	-327 27
07/20/2007	08/16/2012	100	PFIZER INC	23 93	24 99	2,393 36	2,499.32	-105.96
11/29/2010	08/16/2012	75	VIACOM INC-CLASS B	49 87	37 47	3,740 30	2,809 97	930 33
09/09/2009	08/17/2012	50	DANAHER CORP	53.25	32 99	2,662 50	1,649.68	1,012 82
08/04/2011	08/17/2012	25	VISA INC - CLASS A SHRS	128 98	85 68	3,224 39	2,141 93	1,082 46

Capital Gains Report

DOLORES FURTADO MARTIN FOUNDATION (Account. 888-20188) January 1, 2012 through December 31, 2012

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
05/05/2009	08/20/2012	50	TIME WARNER CABLE	89.47	33.88	4,473.33	1,694.00	2,779.33
07/23/2009	08/20/2012	35	TIME WARNER CABLE	89.47	32.66	3,131.33	1,143.22	1,988.11
09/09/2009	08/24/2012	40	DANAHER CORP	53.36	32.99	2,134.36	1,319.74	814.62
10/27/2009	08/24/2012	10	DANAHER CORP	53.36	34.56	533.59	345.64	187.95
08/04/2011	08/24/2012	15	VISA INC - CLASS A SHRS	126.76	85.68	1,901.45	1,285.16	616.29
07/09/2010	08/27/2012	15	EOG RESOURCES INC	109.17	106.58	1,637.54	1,598.70	38.84
09/28/2010	08/27/2012	20	EOG RESOURCES INC	109.17	90.93	2,183.38	1,818.55	364.83
04/23/2010	08/28/2012	35	NOBLE ENERGY INC	87.04	79.58	3,046.44	2,785.20	261.24
07/14/2010	08/28/2012	20	NOBLE ENERGY INC	87.04	66.96	1,740.82	1,339.13	401.69
04/08/2011	09/12/2012	20	MOODY'S CORP	43.55	35.84	870.96	716.80	154.16
06/17/2011	09/12/2012	90	MOODY'S CORP	43.55	36.07	3,919.33	3,245.98	673.35
08/21/2009	09/12/2012	4	NVR INC	851.21	665.28	3,404.85	2,661.14	743.71
05/16/2011	09/12/2012	20	***TRANSOCEAN LTD	46.22	69.12	924.43	1,382.46	-458.03
07/18/2011	09/12/2012	30	***TRANSOCEAN LTD	46.22	62.43	1,386.64	1,872.91	-486.27
07/29/2011	09/12/2012	25	***TRANSOCEAN LTD	46.22	60.91	1,155.54	1,522.82	-367.28
10/08/2010	09/13/2012	15	EOG RESOURCES INC	115.24	99.17	1,728.56	1,487.51	241.05
01/20/2011	09/13/2012	5	EOG RESOURCES INC	115.24	99.82	576.18	499.10	77.08
07/27/2011	09/14/2012	250	APPLIED MATERIALS INC	11.87	12.75	2,968.18	3,187.38	-219.20
03/23/2011	09/27/2012	100	CITIGROUP INC	33.15	43.63	3,315.13	4,362.60	-1,047.47
03/30/2011	09/27/2012	25	CITIGROUP INC	33.15	44.97	828.78	1,124.28	-295.50
06/30/2011	09/27/2012	100	GENERAL MOTORS CO	23.29	30.48	2,328.69	3,047.96	-719.27
08/08/2011	09/27/2012	50	GILEAD SCIENCES INC	66.68	36.86	3,334.00	1,842.81	1,491.19
08/16/2011	10/05/2012	75	GILEAD SCIENCES INC	69.57	38.58	5,218.01	2,893.23	2,324.78
07/08/2005	10/11/2012	1,347.129	BERNSTEIN INTERNATIONAL PORTFOLIO	13.27	20.93	17,876.40	28,195.41	-10,319.01
07/12/2005	10/11/2012	84.672	BERNSTEIN INTERNATIONAL PORTFOLIO	13.27	21.33	1,123.60	1,806.05	-682.45
01/11/2011	10/12/2012	15	INTUIT INC	59.83	47.59	897.49	713.87	183.62
01/21/2011	10/12/2012	15	INTUIT INC	59.83	47.31	897.49	709.67	187.82
09/16/2010	10/16/2012	25	THE WALT DISNEY CO.	51.26	33.92	1,281.57	847.96	433.61
11/04/2010	10/16/2012	25	THE WALT DISNEY CO	51.26	36.85	1,281.57	921.32	360.25
04/02/2009	10/26/2012	10	APPLE INC	608.56	110.17	6,085.58	1,101.74	4,983.84
08/03/2011	11/08/2012	50	***TRANSOCEAN LTD	46.74	58.47	2,336.92	2,923.34	-586.42
11/04/2011	11/08/2012	70	***TRANSOCEAN LTD	46.74	49.66	3,271.69	3,476.20	-204.51
05/06/2011	11/12/2012	100	TYSON FOODS INC-CL A	16.88	19.02	1,688.39	1,901.95	-213.56
10/11/2011	11/13/2012	50	GENERAL MOTORS CO	24.85	22.56	1,242.54	1,127.79	114.75
05/26/2010	11/16/2012	75	***ASTRAZENECA PLC-SPONS ADR	44.38	40.95	3,328.40	3,070.90	257.50
08/09/2007	11/16/2012	100	PFIZER INC	23.74	24.41	2,374.29	2,440.63	-66.34
11/04/2010	11/28/2012	25	THE WALT DISNEY CO	49.00	36.85	1,224.95	921.31	303.64
09/29/2011	11/28/2012	25	THE WALT DISNEY CO	49.00	31.00	1,224.94	774.91	450.03
08/04/2011	11/28/2012	10	PRECISION CASTPARTS CORP	180.91	151.85	1,809.09	1,518.49	290.60
01/20/2011	12/10/2012	15	EOG RESOURCES INC	117.08	99.82	1,756.18	1,497.28	258.90
07/20/2011	12/10/2012	10	EOG RESOURCES INC	117.08	104.29	1,170.78	1,042.86	127.92
08/17/2011	12/12/2012	150	NV ENERGY INC	18.67	14.52	2,799.78	2,178.47	621.31
05/19/2010	12/19/2012	4,087.452	OVERLAY A PORTFOLIO CLASS 1	10.52	10.25	43,000.00	41,896.38	1,103.62
08/26/2005	12/19/2012	579.893	BERNSTEIN EMERGING MARKETS PORTFOLIO	27.87	39.79	16,000.00	23,072.73	-7,072.73
07/12/2005	12/19/2012	505	BERNSTEIN INTERNATIONAL	13.85	21.33	7,000.00	10,780.50	-3,780.50

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
		415	PORTFOLIO					
03/30/2011	12/21/2012	45	CITIGROUP INC	39 40	44 97	1,772 91	2,023 69	-250 78
04/08/2011	12/21/2012	5	CITIGROUP INC	39 40	45 98	196 99	229 92	-32 93
08/18/2011	12/21/2012	30	LORILLARD INC	116 93	107 37	3,507 99	3,221 21	286 78
02/10/2009	12/21/2012	25	SCHLUMBERGER LTD	69 88	46 28	1,747 04	1,157 11	589.93
07/12/2005	12/24/2012	1,090	BERNSTEIN INTERNATIONAL	13 76	21 33	15,000 00	23,252 18	-8,252 18
		116	PORTFOLIO					
	SUBTOTAL FOR LONG-TERM NON-COVERED					888,387 30	915,713 83	-27,326 53
CASH IN LIEU COVERED								
	11/13/2012		KRAFT FOODS GROUP INC-W/I			15 19		15 19
	SUBTOTAL FOR CASH IN LIEU COVERED					15 19	0 00	15 19
TOTAL						1,407,970 04	1,426,990.15	-19,020.11

** ACCOUNT TOTALS **

CURRENT PERIOD - G/L		-19,020 11
SHORT TERM - COVERED (COST BASIS REPORTED ON 1099-B)	0 00	
SHORT TERM - NON-COVERED (COST BASIS NOT REPORTED ON 1099-B)	8,291 23	
SHORT TERM TOTAL		8,291 23
LONG TERM - COVERED (COST BASIS REPORTED ON 1099-B)	0 00	
LONG TERM - NON-COVERED (COST BASIS NOT REPORTED ON 1099-B)	-27,326 53	
LONG TERM TOTAL		-27,326 53
CIL - COVERED		15 19

Capital-Gains Distributions If you own shares of the Sanford C Bernstein Fund, Inc , you may have received an annual capital-gains distribution (different from the capital gains or losses on securities sold, which are listed in this report) Long-term capital-gains distributions are a separate item on the I R S forms, and appear on the Summary-of-Key-Items report

Zero-Cost Transactions If you transferred securities to Bernstein for which the purchase price was unavailable, the total capital-gains figure that appears on this report needs to be adjusted Any such transactions are segregated on the Capital-Gains report, with the cost entered as zero You will need to update the report data with the correct purchase information

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DAUGHTER'S OF HAWAII 2913 PALI HIGHWAY HONOLULU, HI 96817	N/A	501C	TO SUPPORT HAWAIIAN CULTURE	1,000.
EAST-WEST CENTER FOUNDATION 1601 EAST-WEST ROAD HONOLULU, HI 96848	N/A	501C	TO SUPPORT ISSUES OF CONCERN TO THE ASIA-PACIFIC REGION	3,450.
FRIENDS OF THE JUDICIARY HISTORY CENTER P.O. BOX 2415 HONOLULU, HI 96804	N/A	501C	TO SUPPORT JUDICIAL HISTORY	100.
HAWAII INTERNATIONAL FILM FESTIVAL 680 IWILEI ROAD SUITE 100 HONOLULU, HI 96817	N/A	501C	TO SUPPORT INTERNATIONAL & CULTURAL AWARENESS	500.
HAWAII PUBLIC RADIO 738 KAHEKA ST HONOLULU, HI 96814	N/A	501C	SUPPORT THE ARTS	500.
HAWAIIAN CIVIC CLUB OF HONOLULU PO BOX 1513 HONOLULU, HI 96817	N/A	501C	SUPPORT HAWAIIAN CIVIC ACTIVITIES	4,150.
HONOLULU MUSEUM OF ART 900 S BERETANIA STREET HONOLULU, HI 96814	N/A	501C	TO SUPPORT THE ARTS	16,100.
IOLANI PALACE PO BOX 2259 HONOLULU, HI 96804	N/A	501C	SUPPORT HAWAIIAN CULTURAL HERITAGE	8,925.
LUNALILO HOME 501 KEKAULULHI STREET HONOLULU, HI 96825	N/A	501C	TO SUPPORT CULTURE	1,200.
MAIKI AIU BUILDING CORP P.O. BOX 23075 HONOLULU, HI 96823	N/A	501C	TO SUPPORT HAWAIIAN CULTURE	13,000.
Total from continuation sheets				124,125.

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PACIFIC FAITH FELLOWSHIP 87-1784 FARRINGTON HIGHWAY UNIT 8 WAIANAE, HI 96792	N/A	501C	TO SUPPORT CULTURE	3,000.
PATSY TAKEMOT MINK EDUCATION FOUNDATION P.O. BOX 479 HONOLULU, HI 96809	N/A	501C	TO SUPPORT EDUCATION	15,000.
PBS HAWAII 2350 DOLE STREET HONOLULU, HI 96822	N/A	501C	TO SUPPORT EDUCATION	500.
PKHCC SCHOLARSHIP FUND P.O. BOX 4728 HONOLULU, HI 96812	N/A	501C	TO SUPPORT EDUCATION	250.
PORTUGUESE GENEOLOGY SOCIETY 810 N VINEYARD BLVD HONOLULU, HI 96817	N/A	501C	SUPPORT PORTUGUESE CULTURAL ACTIVITIES IN HAWAII	8,500.
PRINCE KUHIO HAWAIIAN CIVIC CLUB P.O. BOX 4728 HONOLULU, HI 96812	N/A	501C	TO SUPPORT HAWAIIAN CULTURE	500.
PUNAHOU SCHOOL 1601 PUNAHOU ST HONOLULU, HI 96822	N/A	501C	SUPPORT EDUCATION	40,000.
SAINT FRANCIS SCHOOL 2707 PAMOA ROAD HONOLULU, HI 96822	N/A	501C	TO SUPPORT EDUCATION	100.
SHRINERS HOSPITAL FOR CHILDREN 1310 PUNAHOU STREET HONOLULU, HI 96826	N/A	501C	TO SUPPORT COMMUNITY	100.
TAU DANCE THEATER P.O. BOX 1878 HONOLULU, HI 96805	N/A	501C	TO SUPPORT ARTS	500.
Total from continuation sheets				

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05-01-12

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE CHILDRENS ALLIANCE OF HAWAII INC 200 N. VINYARD BLVD., SUITE 410 HONOLULU, HI 96817	N/A	501C	TO SUPPORT SEXUALLY ABUSED CHILDREN	250.
WAIOLA CHURCH 535 WAINEE STREET LAHAINA, HI 96767	N/A	501C	TO SUPPORT CHURCH	5,000.
WOMEN MAKE MOVIES 115 W. 29TH STREET, SUITE 1200 NEW YORK, NY 10001	N/A	501C	TO SUPPORT FILMS BY AND ABOUT WOMEN	1,500.
Total from continuation sheets				

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05-01-12

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
BANK OF HAWAII	2.	0.	2.
BERNSTEIN GLOBAL WEALTH MANAGEMENT	60,222.	945.	59,277.
TOTAL TO FM 990-PF, PART I, LN 4	60,224.	945.	59,279.

FORM 990-PF	RENTAL INCOME	STATEMENT 2

KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
RESIDENTIAL REAL PROPERTY - HAWAII	1	72,470.
TOTAL TO FORM 990-PF, PART I, LINE 5A		72,470.

FORM 990-PF	RENTAL EXPENSES	STATEMENT 3

DESCRIPTION	ACTIVITY NUMBER	AMOUNT	TOTAL
DEPRECIATION		3,746.	
BANK SERVICE CHARGES		11.	
PROFESSIONAL FEES		3,011.	
GENERAL EXCISE TAX		2,919.	
INSURANCE		3,001.	
LEASE RENT		4,583.	
MAINTENANCE AND LEASE		13,416.	
REAL PROPERTY TAX		3,350.	
REPAIRS & MAINTENANCE		5,253.	
UTILITIES		6,501.	
- SUBTOTAL -	1		45,791.
TOTAL RENTAL EXPENSES			45,791.
NET RENTAL INCOME TO FORM 990-PF, PART I, LINE 5B			26,679.

FORM 990-PF OTHER INCOME STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
CLASS ACTION SETTLEMENT	488.	488.	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	488.	488.	0.

FORM 990-PF ACCOUNTING FEES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	4,414.	552.	0.	3,863.
TO FORM 990-PF, PG 1, LN 16B	4,414.	552.	0.	3,863.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
APPRAISAL FEES	8,004.	0.	0.	8,004.
SANFORD & BERNSTEIN BROKERAGE FEES	10,698.	10,698.	0.	0.
PROFESSIONAL FEES	3,011.	3,011.		0.
TO FORM 990-PF, PG 1, LN 16C	21,713.	13,709.		8,004.

FORM 990-PF TAXES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	1,077.	1,077.	0.	0.
TO FORM 990-PF, PG 1, LN 18	1,077.	1,077.	0.	0.

FORM 990-PF OTHER EXPENSES STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DONATION - GOODS/SVCS RECEIVED	8,725.	0.	0.	0.
NON DEDUCTIBLE	480.	0.	0.	0.
OFFICE EXPENSE	1,226.	154.	0.	1,072.
OTHER INVESTMENT EXPENSES	1,129.	1,129.	0.	0.
BANK SERVICE CHARGES	11.	11.		0.
GENERAL EXCISE TAX	2,919.	2,919.		0.
INSURANCE	3,001.	3,001.		0.
MAINTENANCE AND LEASE	13,416.	13,416.		0.
REAL PROPERTY TAX	3,350.	3,350.		0.
REPAIRS & MAINTENANCE	5,253.	5,253.		0.
UTILITIES	6,501.	6,501.		0.
TO FORM 990-PF, PG 1, LN 23	46,011.	35,734.		1,072.

FORM 990-PF DEPRECIATION OF ASSETS HELD FOR INVESTMENT STATEMENT 9

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
BUILDING - EXECTIVE CENTRE 1227	129,518.	25,093.	104,425.
FURNITURES - EXECTIVE CENTRE 1227	2,800.	2,101.	699.
FURNITURE - EXEC CTR #1227 DRAPERY	2,469.	431.	2,038.
FURNITURE - EXEC CTR #1227 ELECTRICAL WORK	2,000.	337.	1,663.
FURNITURE - EXEC CTR #1227 SLIDING DOOR INSTALL	1,786.	306.	1,480.
TOTAL TO FM 990-PF, PART II, LN 11	138,573.	28,268.	110,305.

FORM 990-PF OTHER INVESTMENTS STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SANFORD & BERNSTEIN ACCOUNT	FMV	3,141,211.	3,165,681.
TOTAL TO FORM 990-PF, PART II, LINE 13		3,141,211.	3,165,681.

FORM 990-PF OTHER ASSETS STATEMENT 11

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
ART & MISCELLANEOUS PROPERTY	76,122.	76,122.	76,122.
TO FORM 990-PF, PART II, LINE 15	76,122.	76,122.	76,122.

FORM 990-PF OTHER LIABILITIES STATEMENT 12

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
MARGIN ACCOUNT LOAN	342,699.	226,490.
TOTAL TO FORM 990-PF, PART II, LINE 22	342,699.	226,490.

2012 DEPRECIATION AND AMORTIZATION REPORT

RESIDENTIAL REAL PROPERTY - HAWAII RENT 1

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
1	BUILDING - EXECTIVE CENTRE 1227	033105	SL	40.00	17	129,518.			129,518.	21,855.		3,238.
3	FURNITURES - EXECTIVE CENTRE 122	033105	SL	10.00	17	2,800.			2,800.	1,821.		280.
16	FURNITURE - EXEC CTR #1227 DRAPERY	031808	SL	27.50	17	2,469.			2,469.	341.		90.
17	FURNITURE - EXEC CTR #1227 ELECTRICA	053108	SL	27.50	17	2,000.			2,000.	264.		73.
18	FURNITURE - EXEC CTR #1227 SLIDING D	041808	SL	27.50	17	1,786.			1,786.	241.		65.
	* TOTAL 990-PF RENTAL DEPR					138,573.		0.	138,573.	24,522.	0.	3,746.

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05-01-12

(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions DOLORES F MARTIN FOUNDATION	Employer identification number (EIN) or 99-0348924
File by the due date for filing your return See instructions	Number, street, and room or suite no If a P O box, see instructions 263 KAIULANI AVENUE #1	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions HONOLULU, HI 96815	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ AATS LLC
50 S.BERETANIA STREET SUITE C-211E - HONOLULU, HI 96813
Telephone No ▶ 808-543-3388 FAX No ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until NOVEMBER 15, 2013

5 For calendar year 2012 , or other tax year beginning ______, and ending ______.

6 If the tax year entered in line 5 is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
ADDITIONAL TIME IS NEEDED TO GATHER THE NECESSARY INFORMATION TO FILE A COMPLETE AND ACCURATE TAX RETURN.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	$ 678.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	$ 3,662.
c	**Balance due.** Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	$ 0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ Date ▶

223842
01-21-13

• If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II and check this box ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an Automatic 3-Month Extension, complete only Part I (on page 1)

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print. File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions: DOLORES F MARTIN FOUNDATION	Employer identification number (EIN) or: 99-0348924
	Number, street, and room or suite no. If a P.O. box, see instructions.: 263 KAIULANI AVENUE #1	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.: HONOLULU, HI 96815	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
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Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

• The books are in the care of ▶ AATS LLC 1130 N. NIMITZ HWY C-250 - HONOLULU, HI 96817

Telephone No. ▶ 808-543-3388 FAX No. ▶

• If the organization does not have an office or place of business in the United States, check this box ▶ [ ]

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ____ . If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3 month extension of time until NOVEMBER 15, 2013.

5 For calendar year 2012 , or other tax year beginning ________ , and ending ________

6 If the tax year entered in line 5 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME IS NEEDED TO GATHER THE NECESSARY INFORMATION TO FILE A COMPLETE AND ACCURATE TAX RETURN.

8a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	$ 678.
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c	**Balance due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	$ 0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Sucia Castro Title ▶ Agent Date ▶ 8/15/13