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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2012

Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation W.M. KECK FOUNDATION		A Employer identification number 95-6092354
Number and street (or P.O. box number if mail is not delivered to street address) 550 SOUTH HOPE STREET	Room/suite 2500	B Telephone number 213-680-3833
City or town, state, and ZIP code LOS ANGELES, CA 90071		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 1,105,733,005	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ If the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		13,833.	13,833.		
4 Dividends and interest from securities		15,196,283.	20,398,336.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		42,412,181.			STATEMENT 1
b Gross sales price for all assets on line 6a		380,433,702.			
7 Capital gain net income (from Part IV, line 2)			41,773,738.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		674,200.	<54,402.>		STATEMENT 3
12 Total. Add lines 1 through 11		58,296,497.	62,131,505.		
13 Compensation of officers, directors, trustees, etc.		597,500.	0.		597,500.
14 Other employee salaries and wages		1,697,449.	0.		1,683,883.
15 Pension plans, employee benefits		538,946.	0.		545,368.
16a Legal fees STMT 4		450,000.	112,500.		371,936.
b Accounting fees STMT 5		344,129.	206,477.		137,120.
c Other professional fees STMT 6		4,483,862.	7,529,358.		164,379.
17 Interest					
18 Taxes STMT 7		2,881,835.	614,375.		149,273.
19 Depreciation and depletion		123,162.	0.		
20 Occupancy		686,508.	27,288.		739,511.
21 Travel, conferences, and meetings		80,741.	0.		80,741.
22 Printing and publications		82,034.	0.		82,034.
23 Other expenses STMT 8		488,274.	7,674.		176,724.
24 Total operating and administrative expenses. Add lines 13 through 23		12,454,440.	8,497,673.		4,728,469.
25 Contributions, gifts, grants paid		43,491,404.			44,940,095.
26 Total expenses and disbursements. Add lines 24 and 25		55,945,844.	8,497,673.		49,668,564.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		2,350,653.			
b Net investment income (if negative, enter -0-)			53,633,832.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Operating and Administrative Expenses

947-222

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing		1.	1.
	2 Savings and temporary cash investments	33,374,227.	54,208,825.	54,208,825.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ 1,748,015.			
	Less: allowance for doubtful accounts ▶ 0.	25,645.	1,748,015.	1,748,015.
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 10	46,883,803.	50,417,951.	50,417,951.
	b Investments - corporate stock STMT 11	311,093,279.	296,584,032.	296,584,032.
	c Investments - corporate bonds STMT 12	71,691,977.	83,883,938.	83,883,938.
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 13	555,651,270.	614,758,909.	614,758,909.
	14 Land, buildings, and equipment basis ▶ 4,255,070.			
	Less: accumulated depreciation STMT 9 ▶ 4,003,938.	324,895.	251,132.	251,132.
	15 Other assets (describe ▶ STATEMENT 14)	3,754,568.	3,880,202.	3,880,202.
	16 Total assets (to be completed by all filers)	1,022,799,664.	1,105,733,005.	1,105,733,005.
	17 Accounts payable and accrued expenses	945,171.	1,231,139.	
	18 Grants payable	16,939,208.	15,476,442.	
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 15)	2,687,248.	7,140,013.	
	23 Total liabilities (add lines 17 through 22)	20,571,627.	23,847,594.	
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☒			
	24 Unrestricted	1,002,228,037.	1,081,885,411.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☐			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	1,002,228,037.	1,081,885,411.	
	31 Total liabilities and net assets/fund balances	1,022,799,664.	1,105,733,005.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,002,228,037.
2 Enter amount from Part I, line 27a	2	2,350,653.
3 Other increases not included in line 2 (itemize) ▶ <u>NET UNREALIZED GAIN</u>	3	77,306,721.
4 Add lines 1, 2, and 3	4	1,081,885,411.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,081,885,411.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 380,433,702.		338,659,964.	41,773,738.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			41,773,738.

2 Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7 **2** 41,773,738.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	56,828,492.	1,128,352,263.	.050364
2010	53,704,074.	1,056,440,538.	.050835
2009	50,495,535.	890,391,952.	.056712
2008	65,159,440.	1,304,118,090.	.049964
2007	71,813,884.	1,468,509,239.	.048903

2 Total of line 1, column (d)	2 .256778
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3 .051356
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4 1,030,474,243.
5 Multiply line 4 by line 3	5 52,921,035.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6 536,338.
7 Add lines 5 and 6	7 53,457,373.
8 Enter qualifying distributions from Part XII, line 4	8 49,724,905.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI: Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1 1,072,677.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2 0.
3 Add lines 1 and 2		3 1,072,677.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4 0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5 1,072,677.
6 Credits/Payments:		
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a 1,027,369.	
b Exempt foreign organizations - tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c 650,000.	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7 1,677,369.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10 604,692.	
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ☒ 604,692. Refunded ☐	11 0.	

Part VII-A: Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		x
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		x
1c Did the foundation file Form 1120-POL for this year?		x
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		x
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	x	
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		x
b If "Yes," has it filed a tax return on Form 990-T for this year? N/A		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		x
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	x	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	x	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ CA, DE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	x	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		x
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		x

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		x
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		x
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.WMKECK.ORG	13	x	
14	The books are in care of MARIA Z. CARNES Telephone no. 213-680-3833 Located at 550 SOUTH HOPE ST, STE 2500, LOS ANGELES CA ZIP+4 90071			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 15 N/A			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country	16		x

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	x
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	x
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☒ Yes ☐ No		
b	If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	3b	x
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	x
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	x

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5bOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

N/A

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

6b**x****7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

N/A

7b**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT VIII-1 THROUGH VIII-3	SEE STATEMENT			
		597,500.	61,906.	2,880.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
M. ROXANNE FORD	PROGRAM DIRECTOR			
550 S HOPE ST #2500, L.A., CA 90071	32.50	185,000.	52,388.	2,262.
DOROTHY FLEISHER	PROGRAM DIRECTOR			
550 S HOPE ST #2500, L.A., CA 90071	32.50	173,520.	44,166.	2,818.
MERCEDES TALLEY	PROGRAM DIRECTOR			
550 S HOPE ST #2500, L.A., CA 90071	32.50	156,500.	53,537.	0.
MATESH VARMA	SR. PROGRAM DIRECTOR			
550 S HOPE ST #2500, L.A., CA 90071	19.50	137,693.	21,804.	2,128.
ANNELI STONE	SR. PROGRAM OFFICER			
550 S HOPE ST #2500, L.A., CA 90071	32.50	125,000.	27,594.	2,256.
Total number of other employees paid over \$50,000				9

Form 990-PF (2012)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
KR CAPITAL ADVISORS, INC 450 PARK AVENUE, NEW YORK, NY 10022	INVESTMENT MANAGEMENT SERVICE	906,766.
BEL AIR INVESTMENT ADVISORS, LLC - 1999 AVENUE OF THE STARS #2800, LOS ANGELES, CA	INVESTMENT MANAGEMENT SERVICE	899,262.
LAZARD ASSET MANAGEMENT LLC - 30 ROCKEFELLER PLAZA, 57TH FLOOR, NEW YORK, CA 10112	INVESTMENT MANAGEMENT SERVICE	602,922.
HANNA AND MORTON LLP - 1301 DOVE STREET #900, NEWPORT BEACH, CA 92660	LEGAL SERVICE	505,799.
EDGEWOOD MANAGEMENT LLC - 535 MADISON AVENUE, 15TH FLOOR, NEW YORK, NY 10022	INVESTMENT MANAGEMENT SERVICE	461,833.
Total number of others receiving over \$50,000 for professional services.		9

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	0.
2	
All other program-related investments. See instructions.	
3 N/A	0.
Total. Add lines 1 through 3	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	581,320,946.
b Average of monthly cash balances	1b	59,727,270.
c Fair market value of all other assets	1c	405,118,528.
d Total (add lines 1a, b, and c)	1d	1,046,166,744.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	1,046,166,744.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	15,692,501.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,030,474,243.
6 Minimum investment return. Enter 5% of line 5	6	51,523,712.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	51,523,712.
2a Tax on investment income for 2012 from Part VI, line 5	2a	1,072,677.
b Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	1,072,677.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	50,451,035.
4 Recoveries of amounts treated as qualifying distributions	4	8,557.
5 Add lines 3 and 4	5	50,459,592.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	50,459,592.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	49,668,564.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	56,341.
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	49,724,905.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	49,724,905.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				50,459,592.
2 Undistributed income, if any, as of the end of 2012:				
a Enter amount for 2011 only			6,818,336.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ \$ 49,724,905.				
a Applied to 2011, but not more than line 2a			6,818,336.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				42,906,569.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				7,553,023.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STATEMENTS XV(3A)-1 THROUGH XV(3A)-13				44,940,095.
Total			3a	44,940,095.
b Approved for future payment				
SEE STATEMENT XV(3B)-1				1,064,075.
Total			3b	1,064,075.

Part XVI-A

Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	13,833.		
4 Dividends and interest from securities			14	15,196,283.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income			14	674,200.		
8 Gain or (loss) from sales of assets other than inventory			18	42,412,181.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		58,296,497.		0.
13 Total. Add line 12, columns (b), (d), and (e)				13	58,296,497.	

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B

Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

223622
12-05-12

FORM 990-PF

GAIN OR (LOSS) FROM SALE OF ASSETS

STATEMENT 1

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
SEE STATEMENT ATTACHED					
	380,623,552.	338,021,521.	0.	0.	42,602,031.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
CAPITAL GAINS DIVIDENDS					
	2,623,694.	0.	0.	0.	2,623,694.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
CLARUS LIFESCIENCES I, LP					
	<844,886.>	0.	0.	0.	<844,886.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
VONTOBEL GLOBAL EMERGING MARKETS FUND	PURCHASED	VARIOUS	12/31/12		
	<646,035.>	0.	0.	0.	<646,035.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
VONTOBEL GLOBAL EMERGING MARKETS FUND	PURCHASED	VARIOUS	12/31/12		
	468,049.	0.	0.	0.	468,049.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
LAZARD EMERGING MARKETS INSTITUTIONAL TRUST	PURCHASED	VARIOUS	12/31/12		
	<102,724.>	0.	0.	0.	<102,724.>

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
LAZARD EMERGING MARKETS INSTITUTIONAL TRUST	PURCHASED	VARIOUS	12/31/12		
	2,295,764.	0.	0.	0.	2,295,764.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
THORNBURG INTERNATIONAL EQUITY FUND (QP), LLC	PURCHASED	VARIOUS	12/31/12	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
<443,009.>	0.	0.	0.	<443,009.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
THORNBURG INTERNATIONAL EQUITY FUND (QP), LLC	PURCHASED	VARIOUS	12/31/12	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
<814,472.>	0.	0.	0.	<814,472.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
MPM BIOVENTURES III QP, LP	PURCHASED	VARIOUS	12/31/12	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
<2,726,231.>	0.	0.	0.	<2,726,231.>

CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	42,412,181.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	2
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS & INTEREST	15,196,283.	0.	15,196,283.
TOTAL TO FM 990-PF, PART I, LN 4	15,196,283.	0.	15,196,283.

FORM 990-PF

OTHER INCOME

STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
LITIGATION SETTLEMENT INCOME	593,358.	0.	
RENTAL INCOME	67,144.	0.	
OTHER INCOME (BOOK/TAX RECLASS)	<54,402.>	<54,402.>	
THORNBURG/VONTOBEL/LAZARD (BOOK/TAX RECLASS)	54,402.	0.	
RETURNED GRANTS	1,557.	0.	
MW POST OTHER INCOME	12,141.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	674,200.	<54,402.>	

FORM 990-PF

LEGAL FEES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	450,000.	112,500.		371,936.
TO FM 990-PF, PG 1, LN 16A	450,000.	112,500.		371,936.

FORM 990-PF

ACCOUNTING FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	344,129.	206,477.		137,120.
TO FORM 990-PF, PG 1, LN 16B	344,129.	206,477.		137,120.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MGMT FEES	3,963,841.	3,963,841.		0.
CUSTODIAL FEES (NORTHERN TRUST)	201,642.	201,642.		0.
CONSULTING FEES	237,927.	150,000.		87,927.
OTHER MISC PROF FEES	80,452.	0.		76,452.
MISC PROF FEES FROM K-1 (CLARUS LIFESCIENCE)	0.	558,217.		0.
MISC PROF FEES FROM K-1 (VONTOBEL GLOBAL)	0.	323,770.		0.
MISC PROF FEES FROM K-1 (MPM BIOVENTURES)	0.	151,786.		0.
MISC PROF FEES FROM K-1 (LAZARD)	0.	100,888.		0.
MISC PROF FEES FROM K-1 (THORNBURG)	0.	476,456.		0.
MISC PROF FEES FROM K-1 (MID EUROPA)	0.	235,814.		0.
MISC PROF FEES FROM K-1 (ENERGY CAPITAL I)	0.	446,066.		0.
MISC PROF FEES FROM K-1 (ENERGY CAPITAL II-B)	0.	920,879.		0.
TO FORM 990-PF, PG 1, LN 16C	4,483,862.	7,529,359.		164,379.

FORM 990-PF

TAXES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	2,665,689.	0.		0.
PAYROLL TAXES	148,296.	0.		148,296.
TAXES & LICENSES	977.	0.		977.
FOREIGN TAX WITHHELD	66,873.	66,873.		0.
FOREIGN TAX FROM K-1 (LAZARD)	0.	296,877.		0.
FOREIGN TAX FROM K-1 (VONTOBEL GLOBAL)	0.	27,862.		0.
FOREIGN TAX FROM K-1 (THORNBURG)	0.	222,763.		0.
TO FORM 990-PF, PG 1, LN 18	2,881,835.	614,375.		149,273.

FORM 990-PF	OTHER EXPENSES			STATEMENT	8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INSURANCE	142,298.	0.		142,298.	
MEALS & ENTERTAINMENT	31,570.	0.		31,570.	
TRANSPORTATION	34,411.	0.		34,411.	
COMPUTER SERVICES	59,309.	0.		59,309.	
OFFICE SUPPLIES & EXPENSES	176,013.	0.		<127,863.>	
PARKING	5,336.	0.		5,336.	
EDUCATIONAL EXPENSES	2,707.	0.		2,707.	
CONFERENCE EXPENSES	28,956.	0.		28,956.	
INVESTMENT FEES	7,674.	7,674.		0.	
TO FORM 990-PF, PG 1, LN 23	488,274.	7,674.		176,724.	

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 9

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE	FAIR MARKET VALUE
LEASEHOLD IMPROVEMENTS	2,692,879.	2,579,567.	113,312.	113,312.
EQUIPMENT	367,182.	362,038.	5,144.	5,144.
FURNISHING	979,933.	933,347.	46,586.	46,586.
COMPUTER	215,076.	128,986.	86,090.	86,090.
TO 990-PF, PART II, LN 14	4,255,070.	4,003,938.	251,132.	251,132.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 10

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
TREASURY SECURITIES (SEE STMT)	x		50,417,951.	50,417,951.
TOTAL U.S. GOVERNMENT OBLIGATIONS			50,417,951.	50,417,951.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			50,417,951.	50,417,951.

FORM 990-PF	CORPORATE STOCK	STATEMENT	11
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE SECURITIES (SEE STMT)	274,480,808.	274,480,808.
FOREIGN SECURITIES (SEE STMT)	22,103,224.	22,103,224.
TOTAL TO FORM 990-PF, PART II, LINE 10B	296,584,032.	296,584,032.

FORM 990-PF	CORPORATE BONDS	STATEMENT	12
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS (SEE STMT)	83,883,938.	83,883,938.
TOTAL TO FORM 990-PF, PART II, LINE 10C	83,883,938.	83,883,938.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	13
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
PARTNERSHIP INTERESTS	FMV	268,305,154.	268,305,154.
FOREIGN MUTUAL FUNDS	FMV	158,956,282.	158,956,282.
DOMESTIC MUTUAL FUNDS	FMV	187,497,473.	187,497,473.
TOTAL TO FORM 990-PF, PART II, LINE 13		614,758,909.	614,758,909.

FORM 990-PF	OTHER ASSETS	STATEMENT	14
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
RECEIVABLES FROM BROKERS	399,416.	1,852,262.	1,852,262.
INTEREST RECEIVABLE	1,804,501.	1,658,309.	1,658,309.
DIVIDENDS RECEIVABLE	443,981.	247,677.	247,677.
PREPAID EXPENSES	181,546.	121,954.	121,954.
PREPAID FEDERAL EXCISE TAX	925,124.	0.	0.
TO FORM 990-PF, PART II, LINE 15	3,754,568.	3,880,202.	3,880,202.

FORM 990-PF

OTHER LIABILITIES

STATEMENT 15

DESCRIPTION

BOY AMOUNT

EOY AMOUNT

SECURITY DEPOSITS

5,595.

5,595.

PAYABLES TO BROKERS

898,482.

3,780,692.

RENT ESCALATIONS

713,156.

691,750.

OTHER PAYABLES

15.

1,411.

FEDERAL EXCISE TAXES PAYABLE

1,070,000.

2,660,565.

TOTAL TO FORM 990-PF, PART II, LINE 22

2,687,248.

7,140,013.

W.M. KECK FOUNDATION

95-6092354

CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME, PART IV, LINE 1:

	GROSS SALES PRICE	COST/ BASIS	NET GAIN/ (LOSS)
BEL AIR SELECT - SHORT TERM	9,354,735	8,794,743	559,992
BEL AIR SELECT - LONG TERM	26,960,832	20,543,799	6,417,033
BLAIR	4,995	5,203	(207)
DODGE & COX - SHORT TERM	14,792,075	14,959,097	(167,022)
DODGE & COX - LONG TERM	16,517,254	16,582,884	(65,630)
EDGEWOOD - SHORT TERM	9,755,955	9,177,924	578,031
EDGEWOOD - LONG TERM	7,570,083	7,446,498	123,585
KR CAPITAL - SHORT TERM	10,234,605	10,058,686	175,918
KR CAPITAL - LONG TERM	87,036,584	59,191,240	27,845,344
MW POST - SHORT TERM	28,274,338	27,872,303	402,035
MW POST - LONG TERM	20,663,218	20,054,115	609,103
THORNBURG	17,484	18,210	(726)
TIMES SQUARE CAPITAL MARKET - SHORT TERM	22,747,510	20,848,428	1,899,081
TIMES SQUARE CAPITAL MARKET - LONG TERM	3,562,411	2,824,535	737,877
TRADEWINDS GLOBAL INVESTORS - SHORT TERM	22,897,760	26,086,421	(3,188,661)
TRADEWINDS GLOBAL INVESTORS - LONG TERM	23,661,468	26,685,515	(3,024,048)
DISPOSITION OF TCM INVESTMENT PARTNERS INTERNATIONAL LTD	17,453,224	20,000,000	(2,546,776)
DISPOSITION OF GIOVINE INVESTMENT PARTNERS INTERNATIONAL LTD	59,119,022	47,510,363	11,608,659
TOTAL GAIN/(LOSS) - STMTS. ATTACHED	380,623,552	338,659,964	41,963,587

CLARUS LIFESCIENCES I (K-1)	(844,886)
VONTOBEL GLOBAL (K-1)	(177,986)
LAZARD (K-1)	2,193,040
THORNBURG (K-1)	(1,257,481)
MPM BIOVENTURES III (K-1)	(2,726,231)
CAPITAL GAIN DIVIDENDS	2,623,694
	<u>(189,850)</u>
	<u>41,773,737</u>

2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-20554
Recipient's Tax ID Number: 95-6092354

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Ref: GL

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Group	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales											
30249U101	FMC TECHNOLOGIES INC	FTI									
10050.0000	01/05/2012 06/17/2011					517,075.63	403,083.39	0.00	113,992.24	0.00	0.00
452327109	ILLUMINA INC	ILMN									
6950.0000	01/05/2012 08/03/2011					218,884.65	410,202.21	0.00	-191,317.56	0.00	0.00
803054204	SAP AKTIENGESELLSCHAFT SPONSORED ADR	SAP									
18275.0000	01/05/2012 12/20/2011					875,960.63	907,747.89	0.00	-31,787.26	0.00	0.00
G24140108	#REORG/COOPER INDUSTRIES CASH AND STK MERGER	CBE									
18475.0000	EATON CORP 2K1XAN1 11/30/2012 01/19/2012 Various					1,090,404.95	1,005,657.95	0.00	84,747.01	0.00	0.00
773903109	ROCKWELL INTL CORP NEW	ROK									
21475.0000	01/27/2012 Various					1,657,520.32	1,592,282.86	0.00	65,237.46	0.00	0.00
855244109	STARBUCKS CORP	SBUX									
8975.0000	03/28/2012 08/09/2011					502,178.90	313,765.10	0.00	188,414.80	0.00	0.00
37733W105	GLAXO PLC	GSK									
14125.0000	04/23/2012 10/24/2011					659,625.61	630,172.75	0.00	29,452.86	0.00	0.00
097023105	BOEING CO	BA									
7925.0000	06/01/2012 01/19/2012					538,013.01	600,554.12	0.00	-62,541.11	0.00	0.00
30249U101	FMC TECHNOLOGIES INC	FTI									
27575.0000	06/01/2012 Various					1,068,018.47	1,104,934.57	0.00	-36,916.10	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-20554
Recipient's Tax ID Number: 95-6092354

☐ Corrected

☐ 2nd TIN notice

Page 8 of 15
Ref: GL

Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stock's Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
911312106	UNITED PARCEL SERVICE INC CL B	UPS	200 0000	07/12/2012	08/04/2011	15,611.07	13,209.74	0.00	2,401.33	0.00	0.00
025816109	AMERICAN EXPRESS CO	AXP	16175 0000	07/19/2012	08/09/2011	908,058.70	696,909.58	0.00	211,149.12	0.00	0.00
911312106	UNITED PARCEL SERVICE INC CL B	UPS	9200 0000	07/19/2012	08/04/2011	734,372.61	607,648.04	0.00	126,724.57	0.00	0.00
911312106	UNITED PARCEL SERVICE INC CL B	UPS	7700 0000	07/24/2012	08/04/2011	569,011.86	508,574.99	0.00	60,436.87	0.00	0.00
Total Short Term Sales						9,354,735.41	8,794,743.19	0.00	559,992.23	0.00	0.00
Long Term 15% Sales											
452327109	ILLUMINA INC	ILMN	18650 0000	01/05/2012	01/30/2006	587,366.72	195,998.86	0.00	391,367.86	0.00	0.00
452327109	ILLUMINA INC	ILMN	25800 0000	01/08/2012	01/30/2006	811,317.51	269,038.66	0.00	542,278.85	0.00	0.00
806857108	SCHLUMBERGER LTD ISIN #AN8068571086	SLB	32025 0000	01/11/2012	Various	2,235,410.92	2,309,462.81	0.00	-74,051.89	0.00	0.00
845467109	SOUTHWESTERN ENERGY CO COM	SWN	48950 0000	01/11/2012	Various	1,524,802.33	997,970.18	0.00	526,832.15	0.00	0.00

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2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-20554
Recipient's Tax ID Number: 95-6092354

☐ Corrected

☐ 2nd TIN notice

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Ref: GL

Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
88076W103			TERADATA CORP DEL COM STK	TDC					
24900 0000	01/11/2012	12/09/2010			1,194,651.64	1,045,827.39	0.00	148,824.25	0.00
806857108			SCHLUMBERGER LTD ISIN #AN8068571086	SLB					
20200.0000	01/13/2012	Various			1,384,388.47	897,031.54	0.00	487,356.93	0.00
845467109			SOUTHWESTERN ENERGY CO COM	SWN					
45500.0000	01/13/2012	Various			1,325,553.31	638,758.39	0.00	686,794.92	0.00
037833100			APPLE COMPUTER INC	AAPL					
2375.0000	01/19/2012	06/13/2006			1,020,594.38	137,774.94	0.00	882,819.44	0.00
911312106			UNITED PARCEL SERVICE INC CL B	UPS					
1425 0000	01/19/2012	11/23/2010			107,275.50	96,914.68	0.00	10,360.82	0.00
911312106			UNITED PARCEL SERVICE INC CL B	UPS					
11800 0000	01/19/2012	01/13/2011			888,316.43	851,766.48	0.00	36,549.95	0.00
G24140108			#REORG/COOPER INDUSTRIES CASH AND STK MERGER	CBE					
5025 0000	01/19/2012	08/30/2010	EATON CORP 2K1XAN1 11/30/2012		296,578.34	213,316.28	0.00	83,262.06	0.00
40425J101			HMS HLDGS CORP COM	HMSY					
20400 0000	02/14/2012	Various			695,300.23	387,830.07	0.00	307,470.16	0.00
40425J101			HMS HLDGS CORP COM	HMSY					
805 0000	02/15/2012	09/23/2010			27,375.15	15,290.65	0.00	12,084.50	0.00

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40425J101	HMS HLDGS CORP COM	HMSY									
12100 0000	02/16/2012 09/23/2010					407,713.16	229,834.66	0.00	177,878.50	0.00	0.00
40425J101	HMS HLDGS CORP COM	HMSY									
10545.0000	02/17/2012 09/23/2010					330,225.09	200,298.06	0.00	129,927.03	0.00	0.00
037833100	APPLE COMPUTER INC	AAPL									
1625 0000	03/19/2012 06/13/2008					971,299.45	94,267.06	0.00	877,032.39	0.00	0.00
881624209	TEVA PHARMACEUTICALS INDS LTD ADR	TEVA									
17525 0000	04/23/2012 11/08/2010					785,671.90	889,702.19	0.00	-104,030.29	0.00	0.00
881624209	TEVA PHARMACEUTICALS INDS LTD ADR	TEVA									
13000 0000	05/14/2012 11/08/2010					533,218.14	659,978.80	0.00	-126,760.66	0.00	0.00
881624209	TEVA PHARMACEUTICALS INDS LTD ADR	TEVA									
18350 0000	05/21/2012 Various					708,740.01	861,591.03	0.00	-152,851.02	0.00	0.00
G24140108	#REORG/COOPER INDUSTRIES CASH AND STK MERGER	CBE									
24475 0000	EATON CORP 2K1XAN1 11/30/2012					1,717,382.04	1,035,543.02	0.00	681,839.02	0.00	0.00
037833100	APPLE COMPUTER INC	AAPL									
1325.0000	06/15/2012 Various					756,472.72	76,645.23	0.00	679,827.49	0.00	0.00
594918104	MICROSOFT CORP COM	MSFT									
26300 0000	06/15/2012 Various					785,229.37	663,377.11	0.00	121,852.26	0.00	0.00

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
747525103			QUALCOMM INC	QCOM					
14575.0000	06/15/2012	03/18/2010		817,053.27	573,591.84	0.00	243,461.43	0.00	0.00
881624209			TEVA PHARMACEUTICALS INDS LTD ADR	TEVA					
37325.0000	06/15/2012	Various		1,409,453.70	1,633,900.73	0.00	-224,447.03	0.00	0.00
59156R108			METLIFE INC	MET					
57400.0000	06/21/2012	Various		1,722,701.81	2,515,951.12	0.00	-793,249.31	0.00	0.00
747525103			QUALCOMM INC	QCOM					
10250.0000	07/12/2012	03/18/2010		546,141.58	403,383.63	0.00	142,757.95	0.00	0.00
911312106			UNITED PARCEL SERVICE INC CL B	UPS					
10075.0000	07/12/2012	11/23/2010		786,407.66	685,203.77	0.00	101,203.89	0.00	0.00
911312106			UNITED PARCEL SERVICE INC CL B	UPS					
300.0000	07/24/2012	07/27/2010		22,169.29	19,500.78	0.00	2,668.51	0.00	0.00
254687106			WALT DISNEY CO	DIS					
13875.0000	08/07/2012	08/02/2011		691,816.96	557,047.95	0.00	134,769.01	0.00	0.00
855244109			STARBUCKS CORP	SBUX					
27975.0000	10/19/2012	08/09/2011		1,275,813.23	978,003.21	0.00	297,810.02	0.00	0.00
25470F302			DISCOVERY COMMUNICATIONS INC NEW COM SERC	DISCK					
11050.0000	10/26/2012	Various		594,391.59	408,998.20	0.00	185,393.39	0.00	0.00
Total Long Term 15% Sales				26,960,831.90	20,543,799.32	0.00	6,417,032.58	0.00	0.00

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Realized Gain/Loss Summary - Taxable

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	Proceeds	Cost	Market		Realized gain/loss		Total	
			Short Term	Long Term	Translation		Short Term	Long Term
<i>Foreign Exchange</i>								
Foreign exchange								
Foreign exchange								
Euro sales - EUR	- 5,019.07	4,995.10	0.00	0.00	- 23.97		- 23.97	0.00
United States dollar purchases - USD	4,995.10	- 4,995.10	0.00	0.00	0.00		0.00	0.00
Total Foreign exchange	- 23.97	0.00	0.00	0.00	- 23.97		- 23.97	0.00
Total S/T translation gain/loss Foreign exchange					- 23.97			
Total L/T translation gain/loss Foreign exchange					0.00			
Total realized gains for Foreign exchange			0.00	0.00	0.00		0.00	0.00
Total realized losses for Foreign exchange			0.00	0.00	- 23.97		- 23.97	0.00
Total Foreign exchange	- 23.97	0.00	0.00	0.00	- 23.97		- 23.97	0.00
Total Foreign Exchange	- 23.97	0.00	0.00	0.00	- 23.97		- 23.97	0.00
<i>Gain/Loss on Cash Disbursements</i>								
Gain/loss on cash disbursements								
Gain/loss on cash disbursements								
Euro - EUR	5,019.07	- 5,202.54	0.00	0.00	- 183.47		- 183.47	0.00
Total Gain/Loss on Cash Disbursements	5,019.07	- 5,202.54	0.00	0.00	- 183.47		- 183.47	0.00
Total S/T translation gain/loss Gain/Loss on Cash Disbursements					- 183.47			

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Gain/Loss on Cash Disbursements

Total L/T translation gain/loss Gain/Loss on Cash Disbursements

Total realized gains for Gain/Loss on Cash Disbursements			0.00	0.00	0.00	0.00
Total realized losses for Gain/Loss on Cash Disbursements			0.00	0.00	- 183.47	- 183.47
Total Gain/Loss on Cash Disbursements	5,019.07	- 5,202.54	0.00	0.00	- 183.47	- 183.47
Total Gain/Loss on Cash Disbursements	5,019.07	- 5,202.54	0.00	0.00	- 183.47	- 183.47

2012 Tax Information Statement

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2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales											
31283H5J0	FEDERAL HOME LN MTG CORP POOL #G01749 5 5%	GOLD1									
33106.0800	01-01-2035 BEO 01/17/2012 06/29/2011					33,106.08	35,982.17	0.00	-2,876.09	0.00	0.00
3128M8AV6	FEDERAL HOME LN MTG CORP POOL #G0-6020 5 5%	GOLD1									
41283.3200	12-01-2039 BEO 01/17/2012 07/29/2011					41,283.32	44,779.50	0.00	-3,496.18	0.00	0.00
3138EG2L7	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0778 6.5%	FNMA									
5538.8600	01-01-2049 BEO 01/25/2012 09/14/2011					5,538.86	6,141.21	0.00	-602.35	0.00	0.00
3138EG5M2	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0851.6%	FNMA									
50005.5700	10-01-2040 BEO 01/25/2012 09/22/2011					50,005.57	55,201.46	0.00	-5,195.89	0.00	0.00
3138EHAB8	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0901 6%	FNMA									
11865.1400	07-01-2038 BEO 01/25/2012 09/28/2011					11,865.14	12,973.79	0.00	-1,108.65	0.00	0.00
31415Y4H9	FNMA POOL #993724 6% 08-01-2038 BEO	FNMA									
9531.3800	01/25/2012 10/26/2011					9,531.38	10,445.80	0.00	-914.42	0.00	0.00
31416CHP4	FNMA POOL #995838 5.5% 05-01-2039 BEO	FNMA									
37031.1400	01/25/2012 01/25/2011					37,031.14	39,692.75	0.00	-2,661.61	0.00	0.00
31419AVJ3	FNMA POOL #AE0616 6% 03-01-2040 BEO	FNMA									
5727.8000	01/25/2012 10/18/2011					5,727.80	6,278.21	0.00	-550.41	0.00	0.00
912828MJ6	UNITED STATES TREAS NTS DTD 00273 .875% DUE										
3275000.0000	01-31-2012 REG 01/31/2012 Various					3,275,000.00	3,289,048.58	0.00	-14,048.58	0.00	0.00

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2012 Tax Information Statement

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31283H5J0	FEDERAL HOME LN MTG CORP POOL #G01749 5 5% 01-01-2035 BEO	GOLD1		02/15/2012	06/29/2011	34,567.21	37,570.24	0.00	-3,003.03	0.00	0.00
34567.2100											
3128M8AV6	FEDERAL HOME LN MTG CORP POOL #G0-6020 5 5% 12-01-2039 BEO	GOLD1		02/15/2012	07/29/2011	38,558.33	41,823.74	0.00	-3,265.41	0.00	0.00
38558.3300											
3138EG2L7	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0778 6 5% 01-01-2049 BEO	FNMA		02/27/2012	09/14/2011	8,401.85	9,315.55	0.00	-913.70	0.00	0.00
8401.8500											
3138EG5M2	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0851 6% 10-01-2040 BEO	FNMA		02/27/2012	09/22/2011	44,593.60	49,227.15	0.00	-4,633.55	0.00	0.00
44593.6000											
3138EHAB8	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0901 6% 07-01-2038 BEO	FNMA		02/27/2012	09/28/2011	12,000.58	13,121.88	0.00	-1,121.30	0.00	0.00
12000.5800											
31415Y4H9	FNMA POOL #993724 6% 08-01-2038 BEO	FNMA		02/27/2012	10/28/2011	7,305.40	8,006.26	0.00	-700.86	0.00	0.00
7305.4000											
31419AVJ3	FNMA POOL #AE0616 6% 03-01-2040 BEO	FNMA		02/27/2012	10/18/2011	6,990.07	7,681.77	0.00	-671.70	0.00	0.00
6990.0700											
912828MQ0	UTD STATES TREAS. 875% DUE 02-29-2012 02-29-2012 REG			02/29/2012	03/28/2011	750,000.00	754,013.67	0.00	-4,013.67	0.00	0.00
750000.0000											
06739GAR0	BARCLAYS 8K PLC 5.125% DUE 01-08-2020			03/07/2012	Various	213,450.00	200,799.84	0.00	12,650.16	0.00	0.00
200000.0000											

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31283H5J0	FEDERAL HOME LN MTG CORP POOL #G01749 5.5% 01-01-2035 BEO	GOLD1									
38513 2500	03/15/2012 06/29/2011					38,513.25	41,859.09	0.00	-3,345.84	0.00	0.00
3128M8AV6	FEDERAL HOME LN MTG CORP POOL #G0-6020 5.5% 12-01-2039 BEO	GOLD1									
48526.2700	03/15/2012 07/29/2011					48,526.27	50,466.46	0.00	-3,940.19	0.00	0.00
3138EG2L7	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0778 6.5% 01-01-2049 BEO	FNMA									
7316.2100	03/26/2012 09/14/2011					7,316.21	8,111.85	0.00	-795.64	0.00	0.00
3138EG5M2	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0851 6% 10-01-2040 BEO	FNMA									
50890 7300	03/26/2012 09/22/2011					50,890.73	56,178.60	0.00	-5,287.87	0.00	0.00
3138EHAB8	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0901 6% 07-01-2038 BEO	FNMA									
15640.4700	03/26/2012 09/28/2011					15,640.47	17,101.88	0.00	-1,461.41	0.00	0.00
31415Y4H9	FNMA POOL #993724 6% 08-01-2038 BEO	FNMA									
10481 3000	03/26/2012 10/26/2011					10,481.30	11,486.85	0.00	-1,005.55	0.00	0.00
31419AVJ3	FNMA POOL #AE0616 6% 03-01-2040 BEO	FNMA									
4747.4400	03/26/2012 10/18/2011					4,747.44	5,203.64	0.00	-456.20	0.00	0.00
31283H5J0	FEDERAL HOME LN MTG CORP POOL #G01749 5.5% 01-01-2035 BEO	GOLD1									
36616 4400	04/16/2012 06/29/2011					36,616.44	39,797.49	0.00	-3,181.05	0.00	0.00
3128M8AV6	FEDERAL HOME LN MTG CORP POOL #G0-6020 5.5% 12-01-2039 BEO	GOLD1									
47719 8900	04/16/2012 07/29/2011					47,719.69	51,780.95	0.00	-4,061.26	0.00	0.00

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stock, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
3138EG2L7	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0778 8 5% 01-01-2049 BEO		FNMA						
5470.6600	04/25/2012	09/14/2011	5,470.66	8,065.59	0.00	-594.93	0.00	0.00	
3138EG5M2	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0851 6% 10-01-2040 BEO		FNMA						
56302.8100	04/25/2012	09/22/2011	56,302.81	62,153.02	0.00	-5,850.21	0.00	0.00	
3138EHAB8	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0901 6% 07-01-2038 BEO		FNMA						
9327.3300	04/25/2012	09/28/2011	9,327.33	10,198.85	0.00	-871.52	0.00	0.00	
31415Y4H9	FNMA POOL #993724 6% 08-01-2038 BEO		FNMA						
17320.9100	04/25/2012	10/26/2011	17,320.91	18,982.64	0.00	-1,661.73	0.00	0.00	
31419AVJ3	FNMA POOL #AE0616 6% 03-01-2040 BEO		FNMA						
5744.5900	04/25/2012	10/18/2011	5,744.59	6,296.61	0.00	-552.02	0.00	0.00	
912828NQ9	UNITED STATES TREAS NTS DTD 00303 625% DUE 07-31-2012 REG								
550000.0000	04/27/2012	08/25/2011	550,707.14	552,708.87	0.00	-2,001.73	0.00	0.00	
31283H5J0	FEDERAL HOME LN MTG CORP POOL #G01749 5.5% 01-01-2035 BEO		GOLD1						
40498.9700	05/15/2012	06/29/2011	40,498.97	44,017.32	0.00	-3,518.35	0.00	0.00	
3128M8AV6	FEDERAL HOME LN MTG CORP POOL #G0-6020 5 5% 12-01-2039 BEO		GOLD1						
45360.4600	05/15/2012	07/29/2011	45,360.46	49,191.08	0.00	-3,840.62	0.00	0.00	
3128M9BN1	FHLMC GOLD G06945 5 5 02-01-2040 5 5% 02-01-2040 BEO		GOLD1						
13490.5100	05/15/2012	03/28/2012	13,490.51	14,725.73	0.00	-1,235.22	0.00	0.00	

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Quantity Sold	Description	Stock or Other Symbol	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
3138EG2L7	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0778 6.5% 01-01-2049 BEO	FNMA						
2522.3700	05/25/2012 09/14/2011		2,522.37	2,796.68	0.00	-274.31	0.00	0.00
3138EG5M2	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0851 6% 10-01-2040 BEO	FNMA						
57869.4600	05/25/2012 09/22/2011		57,869.46	63,882.46	0.00	-6,013.00	0.00	0.00
3138EHAB8	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0901 6% 07-01-2038 BEO	FNMA						
12812.1400	05/25/2012 09/28/2011		12,812.14	14,009.27	0.00	-1,197.13	0.00	0.00
31415Y4H9	FNMA POOL #993724 6% 08-01-2038 BEO	FNMA						
7629.1600	05/25/2012 10/26/2011		7,629.16	8,361.08	0.00	-731.92	0.00	0.00
31419AVJ3	FNMA POOL #AE0618 6% 03-01-2040 BEO	FNMA						
6982.1100	05/25/2012 10/18/2011		6,982.11	7,653.05	0.00	-670.94	0.00	0.00
31283H5J0	FEDERAL HOME LN MTG CORP POOL #G01749 5 5% 01-01-2035 BEO	GOLD1						
39304.1200	06/15/2012 06/29/2011		39,304.12	42,718.67	0.00	-3,414.55	0.00	0.00
3128LXUJ7	FEDERAL HOME LN MTG CORP POOL #G02385 6% 11-01-2036 BEO	GOLD1						
45059.4400	06/15/2012 04/27/2012		45,059.44	49,825.88	0.00	-4,766.44	0.00	0.00
3128M8AV6	FEDERAL HOME LN MTG CORP POOL #G0-6020 5.5% 12-01-2039 BEO	GOLD1						
50903.3100	06/15/2012 07/29/2011		50,903.31	55,214.18	0.00	-4,310.87	0.00	0.00
3128M9BN1	FHLMC GOLD G06945 5 5 02-01-2040 5 5% 02-01-2040 BEO	GOLD1						
15576.2700	06/15/2012 03/28/2012		15,576.27	17,002.47	0.00	-1,426.20	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16396
Recipient's Tax ID Number: 95-6092354

☒ Corrected 03/22/2013 ☐ 2nd TIN notice

Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Ref: GL

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Lots Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
1366635.0600	06/19/2012	09/22/2011	1,501,163.19	1,508,636.98	0.00	-7,473.79	0.00	0.00
3138EG5M2	10-01-2040 BEO		FNMA					
11223 4200	06/25/2012	09/14/2011	11,223.42	12,443.97	0.00	-1,220.55	0.00	0.00
3138EG2L7	01-01-2049 BEO		FNMA					
67686 3000	06/25/2012	09/22/2011	67,686.30	74,719.33	0.00	-7,033.03	0.00	0.00
3138EG5M2	10-01-2040 BEO		FNMA					
16380 2700	06/25/2012	09/28/2011	16,380.27	17,910.80	0.00	-1,530.53	0.00	0.00
3138EHAB8	07-01-2038 BEO		FNMA					
9235 1600	06/25/2012	10/26/2011	9,235.16	10,121.16	0.00	-886.00	0.00	0.00
31415Y4H9	08-01-2038 BEO		FNMA					
7600.6400	06/25/2012	10/18/2011	7,600.64	8,331.01	0.00	-730.37	0.00	0.00
912828RN2	03-01-2040 BEO		FNMA					
600000.0000	07/11/2012	07/09/2012	600,021.43	600,070.31	0.00	-48.88	0.00	0.00
3128LXUJ7	07-01-2036 BEO		GOLD1					
40037 1200	07/16/2012	04/27/2012	40,037.12	44,272.30	0.00	-4,235.18	0.00	0.00
3128M8AV6	12-01-2039 BEO		GOLD1					
50521 6900	07/16/2012	07/29/2011	50,521.69	54,800.25	0.00	-4,278.56	0.00	0.00

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3128M9BN1			FHLMC GOLD G06945 5.5 02-01-2040 5 5% 02-01-2040 BEO	GOLD1						
14409.3900	07/16/2012	03/28/2012			14,409.39	15,728.75	0.00	-1,319.36	0.00	0.00
3138EG2L7			FEDERAL NATL MTG ASSN GTD MTG POOL #AL0778 6.5% 01-01-2049 BEO	FNMA						
9723.6200	07/25/2012	09/14/2011			9,723.62	10,781.06	0.00	-1,057.44	0.00	0.00
3138EG5M2			FEDERAL NATL MTG ASSN GTD MTG POOL #AL0851 6% 10-01-2040 BEO	FNMA						
66151.9600	07/25/2012	09/22/2011			66,151.96	73,025.56	0.00	-6,873.60	0.00	0.00
3138EHA88			FEDERAL NATL MTG ASSN GTD MTG POOL #AL0901 6% 07-01-2038 BEO	FNMA						
25119.2000	07/25/2012	09/28/2011			25,119.20	27,466.28	0.00	-2,347.08	0.00	0.00
31415Y4H9			FNMA POOL #993724 6% 08-01-2038 BEO	FNMA						
8299.4700	07/25/2012	10/26/2011			8,299.47	9,095.70	0.00	-796.23	0.00	0.00
31419AVJ3			FNMA POOL #AE0616 6% 03-01-2040 BEO	FNMA						
7143.5300	07/25/2012	10/18/2011			7,143.53	7,829.98	0.00	-686.45	0.00	0.00
912828NQ9			UNITED STATES TREAS NTS DTD 00303 .625% DUE 07-31-2012 REG							
250000.0000	07/31/2012	08/25/2011			250,000.00	251,231.31	0.00	-1,231.31	0.00	0.00
3128LXUJ7			FEDERAL HOME LN MTG CORP POOL #G02385 6% 11-01-2036 BEO	GOLD1						
37424.0000	08/15/2012	04/27/2012			37,424.00	41,382.76	0.00	-3,958.76	0.00	0.00
3128M9BN1			FHLMC GOLD G06945 5.5 02-01-2040 5.5% 02-01-2040 BEO	GOLD1						
15119.1300	08/15/2012	03/28/2012			15,119.13	16,503.48	0.00	-1,384.35	0.00	0.00

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3138EG2L7	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0778 6 5%	FNMA									
9194 8300	01-01-2049 BEO 08/27/2012 09/14/2011					9,194.83	10,194.77	0.00	-999.94	0.00	0.00
3138EHAB8	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0901 6%	FNMA									
11972.1300	07-01-2038 BEO 08/27/2012 09/28/2011					11,972.13	13,090.78	0.00	-1,118.65	0.00	0.00
31415Y4H9	FNMA POOL #993724 6% 08-01-2038 BEO	FNMA									
5315 7400	08/27/2012 10/26/2011					5,315.74	5,825.72	0.00	-509.98	0.00	0.00
31419AVJ3	FNMA POOL #AE0616 6% 03-01-2040 BEO	FNMA									
5764 3000	08/27/2012 10/18/2011					5,764.30	6,318.21	0.00	-553.91	0.00	0.00
46625HJC5	JPMORGAN CHASE & 4.35% DUE 08-15-2021										
200000 0000	09/07/2012 Various					219,800.00	209,477.50	0.00	10,322.50	0.00	0.00
3128LXUJ7	FEDERAL HOME LN MTG CORP POOL #G02385	GOLD1									
36913 8500	8% 11-01-2036 BEO 09/17/2012 04/27/2012					36,913.85	40,818.64	0.00	-3,904.79	0.00	0.00
3128M9BN1	FHLMC GOLD G06945 5.5 02-01-2040 5 5% 02-01-2040 BEO	GOLD1									
12711.3300	09/17/2012 03/28/2012					12,711.33	13,875.21	0.00	-1,163.88	0.00	0.00
3128M9FP2	FHLMC GOLD . G07074 5 5 01-01-2040 0% 12-31-2040 BEO	GOLD1									
58954.1000	09/17/2012 07/11/2012					58,954.10	65,217.97	0.00	-6,263.87	0.00	0.00
06739FFS5	BARCLAYS BK PLC SR NT 6 75 DUE 05-22-2019										
150000.0000	09/20/2012 07/17/2012					180,835.50	174,399.00	0.00	6,436.50	0.00	0.00

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LOS ANGELES, CA 90071

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3138EHAB8	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0901 6% 07-01-2038 BEO	FNMA	17900.7900	09/25/2012	09/28/2011	17,900.79	19,573.39	0.00	-1,672.60	0.00	0.00
31415Y4H9	FNMA POOL #993724 6% 08-01-2038 BEO	FNMA	5661.9700	09/25/2012	10/26/2011	5,661.97	6,205.17	0.00	-543.20	0.00	0.00
31419AVJ3	FNMA POOL #AE0616 6% 03-01-2040 BEO	FNMA	7547.3000	09/25/2012	10/18/2011	7,547.30	8,272.55	0.00	-725.25	0.00	0.00
539473AQ1	LLOYDS TSB BK PLC 4 2 DUE 03-28-2017		425000.0000	09/28/2012	Various	467,644.50	424,545.25	0.00	43,099.25	0.00	0.00
3128LXUJ7	FEDERAL HOME LN MTG CORP POOL #G02385 6%11-01-2036 BEO	GOLD1	34486.9700	10/15/2012	04/27/2012	34,486.97	38,135.04	0.00	-3,648.07	0.00	0.00
3128M9BN1	FHLMC GOLD G06945 5 5 02-01-2040 5.5% 02-01-2040 BEO	GOLD1	11735.4700	10/15/2012	03/28/2012	11,735.47	12,810.00	0.00	-1,074.53	0.00	0.00
3128M9FP2	FHLMC GOLD G07074 5 5 01-01-2040 0% 12-31-2040 BEO	GOLD1	51664.0300	10/15/2012	07/11/2012	51,664.03	57,153.33	0.00	-5,489.30	0.00	0.00
3128S5N67	FHLMC POOL #1Q1313 ADJ RT 09-01-2037 6.018% 09-01-2037 BEO	FHLMC	80.2000	10/15/2012	07/23/2012	60.20	65.28	0.00	-5.08	0.00	0.00
31415Y4H9	FNMA POOL #993724 6% 08-01-2038 BEO	FNMA	8671.2100	10/25/2012	10/26/2011	8,671.21	9,503.10	0.00	-831.89	0.00	0.00

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THE NORTHERN TRUST COMPANY
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3128LXUJ7		FEDERAL HOME LN MTG CORP POOL #G02385		GOLD1					
36376.9900	11/15/2012	6%11-01-2036 BEO	04/27/2012		36,376.99	40,224.99	0.00	-3,848.00	0.00
3128M9BN1		FHLMC GOLD G06945 5.5 02-01-2040 5 5% 02-01-2040 BEO		GOLD1					
15162.8800	11/15/2012		03/28/2012		15,162.88	16,551.23	0.00	-1,388.35	0.00
3128M9FP2		FHLMC GOLD G07074 5 5 01-01-2040 0% 12-31-2040 BEO		GOLD1					
52060.4900	11/15/2012		07/11/2012		52,060.49	57,591.92	0.00	-5,531.43	0.00
3128S5N67		FHLMC POOL #1Q1313 ADJ RT 09-01-2037 6.018%		FHLMC					
47.6800	09-01-2037 BEO		11/15/2012		47.68	51.70	0.00	-4.02	0.00
3128S5RV8		FHLMC POOL #1Q1400 ADJ RT 07-01-2038 5 872%		FHLMC					
60821.2400	07-01-2038 BEO		11/15/2012		60,821.24	66,314.16	0.00	-5,492.92	0.00
912828PV6		UNITED STATES TREAS NTS DTD 11/30/10 .5 DUE							
4400000.0000	11-30-2012 REG		11/30/2012		4,400,000.00	4,409,467.87	0.00	-9,467.87	0.00
912828QE3		US TREAS NTS .625 DUE 04-30-2013							
285000.0000	12/10/2012		03/21/2012		285,566.82	286,181.03	0.00	-614.21	0.00
3128LXUJ7		FEDERAL HOME LN MTG CORP POOL #G02385		GOLD1					
35704.6400	12/17/2012	6%11-01-2036 BEO	04/27/2012		35,704.64	39,481.52	0.00	-3,776.88	0.00
3128M9BN1		FHLMC GOLD G06945 5 5 02-01-2040 5 5% 02-01-2040 BEO		GOLD1					
11107.7400	12/17/2012		03/28/2012		11,107.74	12,124.79	0.00	-1,017.05	0.00

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3128M9FP2			FHLMC GOLD G07074 5 5 01-01-2040 0% 12-31-2040 BEO	GOLD1						
41939 2400	12/17/2012	07/11/2012			41,939.24	46,395.28	0.00	-4,456.04	0.00	0.00
3128S5N67			FHLMC POOL #1Q1313 ADJ RT 09-01-2037 6 018%	FHLMC						
44082.4300	09-01-2037 BEO	12/17/2012 07/23/2012			44,082.43	47,801.89	0.00	-3,719.46	0.00	0.00
3128S5RV8			FHLMC POOL #1Q1400 ADJ RT 07-01-2038 5.872%	FHLMC						
67031.5100	07-01-2038 BEO	12/17/2012 09/10/2012			67,031.51	73,085.29	0.00	-6,053.78	0.00	0.00
3138EJ6Z6			FEDERAL NATL MTG ASSN GTD MTG POOL #AL2687 4%	FNMA						
31799 6800	01-01-2027 BEO	12/26/2012 11/07/2012			31,799.68	34,139.94	0.00	-2,340.26	0.00	0.00
Total Short Term Sales					14,792,074.97	14,959,097.34	0.00	-167,022.37	0.00	0.00
Long Term 15% Sales										
83162CGC1			SMALL BUSINESS ADMIN SER 1995-20 G 6.90 GTD DEV	SBA1						
9735 9400	01/03/2012	06/05/2003	PARTN CTF DUE 07-1-2015 REG		9,735.94	10,441.79	0.00	-705.85	0.00	0.00
83162CQK2			SMALL BUSINESS ADMIN GTD DEV PARTN CTF SER	SBA1						
22793.7200	2006-20G CL-1 DUE 07-01-2028 BEO	01/03/2012 03/13/2007			22,793.72	23,997.51	0.00	-1,203.79	0.00	0.00
90783SAA0			UN PAC RR CO 4.698% DUE 01-02-2024 PASS THRU CTF	SPCL1						
11198.8000	4 698 DUE 01-02-2024 REG	01/03/2012 05/07/2003			11,198.80	11,198.80	0.00	0.00	0.00	0.00
90783WAA1			UN PAC RR CO 5 866 DUE 07-02-2030 07-02-2030	SPCL1						
6081.6500	01/03/2012	07/20/2006			6,081.65	6,081.65	0.00	0.00	0.00	0.00

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31283KJ66	10-01-2016 BEO 01/17/2012	FEDERAL HOME LN MTG CORP POOL #G11185 5 5%	04/24/2003	GOLD1	2,101.47	2,179.95	0.00	-78.48	0.00
2101 4700	04-01-2018 BEO 01/17/2012	FEDERAL HOME LN MTG CORP POOL #G11452 6 5%	09/17/2003	GOLD1	8,008.00	8,427.17	0.00	-419.17	0.00
8008.0000	08-01-2017 BEO 01/17/2012	FEDERAL HOME LN MTG CORP POOL #G11564 6 5%	04/30/2004	GOLD1	11,769.94	12,454.07	0.00	-684.13	0.00
11769 9400	08-01-2027 BEO 01/17/2012	FEDERAL HOME LN MTG CORP POOL #G30340	09/28/2007	GOLD1	11,526.24	11,618.99	0.00	-92.75	0.00
3128CULV6	11-01-2037 BEO 01/17/2012	FEDERAL HOME LN MTG CORP POOL #G0-3464 6%	12/07/2010	GOLD1	12,733.75	13,796.22	0.00	-1,062.47	0.00
12733 7500	04-01-2038 BEO 01/17/2012	FHLMC GOLD G05666 6 04-01-2038 04-01-2038 BEO	09/14/2009	GOLD1	53,659.60	56,703.11	0.00	-3,043.51	0.00
53659 6000	09-01-2037 BEO 01/17/2012	FEDERAL HOME LN MTG CORP POOL #H09070 6 5%	09/08/2007	GOLD1	3,882.33	3,921.76	0.00	-39.43	0.00
3128UNCF7	11-01-2037 BEO 01/17/2012	FEDERAL HOME LN MTG CORP POOL #H09117 6.5%	11/20/2007	GOLD1	15,387.23	15,622.85	0.00	-235.62	0.00
3128UNDW9	02-01-2038 BEO 01/17/2012	FEDERAL HOME LN MTG CORP POOL #H09152 6 5%	03/18/2008	GOLD1	1,504.54	1,549.38	0.00	-44.84	0.00
1504 5400									

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3128	UNGV8	FEDERAL HOME LN MTG CORP POOL #H0-9212 5.5%		GOLD1						
3670.6100		05-01-2038 BEO	01/17/2012 08/11/2010		3,670.61	3,902.32	0.00	-231.71	0.00	0.00
31358	S7A3	FNMA 7 5 11-25-2040		SPCL1						
8988.2600		01/25/2012 03/19/2003			8,988.26	9,815.46	0.00	-827.20	0.00	0.00
31371	NCX1	FNMA POOL #256686 6 04-01-2037 BEO		FNMA						
17435.1300		01/25/2012 08/24/2009			17,435.13	18,271.47	0.00	-836.34	0.00	0.00
31371	NLU7	FNMA POOL #256939 7% 10-01-2037 BEO		FNMA						
16891.3100		01/25/2012 09/05/2008			16,891.31	17,756.99	0.00	-865.68	0.00	0.00
31385	H8G2	FNMA POOL #545471 5.93% 02-01-2012 BEO REG		FNMA						
125.9100		01/25/2012 09/29/2006			125.91	130.19	0.00	-4.28	0.00	0.00
31385	JD21	FNMA POOL #545621 6 5% 05-01-2017 BEO		FNMA						
5427.1700		01/25/2012 05/07/2004			5,427.17	5,713.79	0.00	-286.62	0.00	0.00
31385	W3Z0	FNMA POOL #555316 4 823% 02-01-2013 BEO		FNMA						
407.4000		01/25/2012 02/15/2007			407.40	402.88	0.00	4.52	0.00	0.00
31392	16F0	FNMA SERIES 2001-W3 CLASS-A 7 09-25-2041		CMO1						
1385.3600		01/25/2012 04/30/2003			1,385.36	1,505.28	0.00	-119.92	0.00	0.00
31393	CEY5	FNMA PREASSIGN 00119 6 04-25-2043		CMO1						
3749.0400		01/25/2012 04/15/2003			3,749.04	3,923.02	0.00	-173.98	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16396
Recipient's Tax ID Number: 95-6092354

☒ Corrected 03/22/2013 ☐ 2nd TIN notice

Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Ref: GL

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
31402CVV1	FNMA POOL #725228 6% 03-01-2034 BEO	FNMA									
30995 0800	01/25/2012 03/25/2010					30,995.08	33,682.93	0.00	-2,687.85	0.00	0.00
31402RH28	FNMA POOL #735649 6% 12-01-2032 BEO	FNMA									
3130.0700	01/25/2012 11/21/2005					3,130.07	3,159.17	0.00	-29.10	0.00	0.00
31403DDB2	FNMA POOL #745398 6% 06-01-2035 BEO	FNMA									
8181.2200	01/25/2012 02/22/2006					8,181.22	8,261.12	0.00	-79.90	0.00	0.00
31403DT25	FNMA POOL #745869 6% 03-01-2036 BEO	FNMA									
4768.5600	01/25/2012 08/22/2006					4,768.56	4,785.70	0.00	-17.14	0.00	0.00
31410F6B6	FNMA POOL #888366 7% 04-01-2037 BEO	FNMA									
22836.4800	01/25/2012 10/01/2009					22,836.46	24,848.92	0.00	-2,012.46	0.00	0.00
31410F6E0	FNMA POOL #888369 7% DUE 03-01-2037 REG	FNMA									
16812.9300	01/25/2012 09/14/2010					16,812.93	18,693.88	0.00	-1,880.95	0.00	0.00
31410GE90	FNMA POOL #888560 6 11-01-2035 BEO	FNMA									
16311.9000	01/25/2012 06/15/2007					16,311.90	16,181.91	0.00	129.99	0.00	0.00
31410GHG1	FNMA POOL #888631 6% 08-01-2022 BEO	FNMA									
10178.8900	01/25/2012 07/27/2007					10,178.89	10,253.64	0.00	-74.75	0.00	0.00
31410GJS3	FNMA POOL #888673 6% 04-01-2035 BEO	FNMA									
9058.0800	01/25/2012 08/16/2007					9,058.08	9,032.60	0.00	25.48	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16396
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W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Ref: GL

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Group	Description	Stock/Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stock's Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
31410KEX8	FNMA POOL #889450 6% 03-01-2038 BEO	FNMA	8566.3900	01/25/2012	08/10/2010	8,566.39	9,317.29	0.00	-750.90	0.00	0.00
31410KMT8	FNMA POOL #889670 5 5% 06-01-2023 BEO	FNMA	22820.5200	01/25/2012	05/29/2008	22,820.52	23,023.77	0.00	-203.25	0.00	0.00
31414J6B4	FNMA POOL #968066 6% 10-01-2022 BEO	FNMA	21262.3300	01/25/2012	12/04/2008	21,262.33	21,707.51	0.00	-445.18	0.00	0.00
31415MMK8	FNMA POOL #984262 6% 06-01-2023 BEO	FNMA	18008.7100	01/25/2012	11/25/2008	18,006.71	18,378.10	0.00	-371.39	0.00	0.00
31416B4J4	FNMA POOL #995525 6% 12-01-2038 BEO	FNMA	14632.1400	01/25/2012	11/10/2010	14,632.14	15,926.17	0.00	-1,294.03	0.00	0.00
31418M4T6	FNMA POOL #AD0833 6% 01-01-2039 BEO	FNMA	19550.2700	01/25/2012	02/26/2010	19,550.27	20,772.16	0.00	-1,221.89	0.00	0.00
31418MEC2	FNMA POOL #AD0130 6 5% 08-01-2039 BEO	FNMA	6822.4000	01/25/2012	09/15/2010	6,822.40	7,425.76	0.00	-603.36	0.00	0.00
31418MRQ7	FNMA POOL #AD0494 5 5% 08-01-2037 BEO	FNMA	20589.3100	01/25/2012	12/02/2009	20,589.31	21,930.83	0.00	-1,341.52	0.00	0.00
31419ACN5	FNMA POOL #AE0076 6% 10-01-2039 BEO	FNMA	38779.7900	01/25/2012	05/26/2010	38,779.79	39,693.44	0.00	-2,913.65	0.00	0.00

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LOS ANGELES, CA 90071

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Sales are listed at Gross Proceeds less commissions and option premiums.

Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
31419ADD6	FNMA POOL #AE0099 5 5% 10-01-2036 BEO		FNMA					
23859.8700	01/25/2012 07/13/2010		23,859.87	25,748.16	0.00	-1,888.29	0.00	0.00
31419ADJ3	FNMA POOL #AE0104 5 5% 04-01-2037 BEO		FNMA					
45928.1000	01/25/2012 06/09/2010		45,928.10	49,422.94	0.00	-3,494.84	0.00	0.00
31419AQV2	FNMA POOL #AE0467 5.5% 03-01-2024 BEO		FNMA					
19619.6700	01/25/2012 09/29/2010		19,619.67	21,149.39	0.00	-1,529.72	0.00	0.00
10112RAB0	BSTN PPTYS LTD 6 25% DUE 01-15-2013 DUE							
24000.0000	01-15-2013/01-14-2013 BEO		24,998.40	23,166.05	0.00	1,832.35	0.00	0.00
14040HAR6	CAP 1 FINL CORP 6 75% DUE 09-15-2017							
110000.0000	02/13/2012 08/28/2007		127,915.70	109,687.85	0.00	18,227.85	0.00	0.00
31283KJ86	FEDERAL HOME LN MTG CORP POOL #G11185 5.5%		GOLD1					
1831.7400	10-01-2016 BEO		1,831.74	1,900.14	0.00	-68.40	0.00	0.00
31283KTH1	FEDERAL HOME LN MTG CORP POOL #G11452 6.5%		GOLD1					
8567.8800	04-01-2018 BEO		8,567.68	9,016.15	0.00	-448.47	0.00	0.00
31283KWZ7	FEDERAL HOME LN MTG CORP POOL #G11564 6.5%		GOLD1					
9726.5200	08-01-2017 BEO		9,726.52	10,291.87	0.00	-565.35	0.00	0.00
31283CULV6	FEDERAL HOME LN MTG CORP POOL #G30340		GOLD1					
10117.8400	6%08-01-2027 BEO		10,117.84	10,199.26	0.00	-81.42	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16396
Recipient's Tax ID Number: 95-6092354
☒ Corrected 03/22/2013 ☐ 2nd TIN notice

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W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071
Ref: GL

Sales are listed at Gross Proceeds less commissions and option premiums.

Quantity Sold	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
3128M5FD7	FEDERAL HOME LN MTG CORP POOL #G0-3464 6% 11-01-2037 BEO	GOLD1					
13285 0800	02/15/2012 12/07/2010		13,285.08	14,393.55	0.00	-1,108.47	0.00
3128M7TX4	FHLMC GOLD G05666 6 04-01-2038 04-01-2038 BEO	GOLD1					
62887 9600	02/15/2012 09/14/2009		62,887.96	66,454.89	0.00	-3,566.93	0.00
3128UNCF7	FEDERAL HOME LN MTG CORP POOL #H09070 6.5% 09-01-2037 BEO	GOLD1					
8415 5100	02/15/2012 09/06/2007		8,415.51	8,500.98	0.00	-85.47	0.00
3128UNDW9	FEDERAL HOME LN MTG CORP POOL #H09117 6 5% 11-01-2037 BEO	GOLD1					
3506 3500	02/15/2012 11/20/2007		3,506.35	3,560.04	0.00	-53.69	0.00
3128UNEZ1	FEDERAL HOME LN MTG CORP POOL #H09152 6 5% 02-01-2038 BEO	GOLD1					
7224 2800	02/15/2012 03/18/2008		7,224.28	7,439.60	0.00	-215.32	0.00
3128UNGV8	FEDERAL HOME LN MTG CORP POOL #H0-9212 5.5% 05-01-2038 BEO	GOLD1					
8656 0900	02/15/2012 08/11/2010		8,656.09	9,202.51	0.00	-546.42	0.00
907833AE7	UNP RR CO 1998 PASS TRST 6 7 DUE 02-23-2019	CMO1					
3080.6100	02/23/2012 07/13/2007		3,080.61	3,192.28	0.00	-111.67	0.00
31358S7A3	FNMA 7 5 11-25-2040	SPCL1					
4198 4100	02/27/2012 03/19/2003		4,198.41	4,584.79	0.00	-386.38	0.00
31371NCX1	FNMA POOL #256686 6 04-01-2037 BEO	FNMA					
20691 3500	02/27/2012 08/24/2009		20,691.35	21,683.89	0.00	-992.54	0.00

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CHICAGO, IL 60680

Account Number: 26-16396
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Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
31371NLU7	02/27/2012	09/05/2008	FNMA POOL #258939 7% 10-01-2037 BEO	FNMA	4,330.37	4,552.30	0.00	-221.93	0.00
4330.3700									0.00
31385H6G2	02/27/2012	09/29/2006	FNMA POOL #545471 5.93% 02-01-2012 BEO REG	FNMA	69,120.01	71,469.00	0.00	-2,348.99	0.00
69120.0100									0.00
31385JD21	02/27/2012	05/07/2004	FNMA POOL #545621 6.5% 05-01-2017 BEO	FNMA	5,886.30	5,986.61	0.00	-300.31	0.00
5886.3000									0.00
31385W3Z0	02/27/2012	02/15/2007	FNMA POOL #555316 4.823% 02-01-2013 BEO	FNMA	409.41	404.87	0.00	4.54	0.00
409.4100									0.00
3139216F0	02/27/2012	04/30/2003	FNMA SERIES 2001-W3 CLASS-A 7.0% 09-25-2041	CMO1	1,493.55	1,622.84	0.00	-129.29	0.00
1493.5500									0.00
31393CEY5	02/27/2012	04/15/2003	FNMA PREASSIGN 00119 6.0% 04-25-2043	CMO1	3,659.99	3,829.84	0.00	-169.85	0.00
3659.9900									0.00
31402CVV1	02/27/2012	03/25/2010	FNMA POOL #725228 6% 03-01-2034 BEO	FNMA	28,819.52	31,318.71	0.00	-2,499.19	0.00
28819.5200									0.00
31402RH28	02/27/2012	11/21/2005	FNMA POOL #735649 6% 12-01-2032 BEO	FNMA	2,101.36	2,120.90	0.00	-19.54	0.00
2101.3600									0.00
31403DDB2	02/27/2012	02/22/2006	FNMA POOL #745398 6% 06-01-2035 BEO	FNMA	6,681.40	6,746.65	0.00	-65.25	0.00
6681.4000									0.00

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CHICAGO, IL 60680

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Recipient's Tax ID Number: 95-6092354

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W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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31403DT25	FNMA POOL #745869 6% 03-01-2036 BEO	FNMA		02/27/2012	08/22/2006	6,333.27	6,356.03	0.00	-22.76	0.00	0.00
6333 2700	FNMA POOL #888366 7% 04-01-2037 BEO	FNMA		02/27/2012	10/01/2009	20,550.30	22,361.30	0.00	-1,811.00	0.00	0.00
20550 3000	FNMA POOL #888369 7% DUE 03-01-2037 REG	FNMA		02/27/2012	09/14/2010	14,642.76	16,280.92	0.00	-1,638.16	0.00	0.00
14642.7600	FNMA POOL #888560 6 11-01-2035 BEO	FNMA		02/27/2012	08/15/2007	15,538.84	15,415.02	0.00	123.82	0.00	0.00
15538 8400	FNMA POOL #888631 6% 08-01-2022 BEO	FNMA		02/27/2012	07/27/2007	9,911.17	9,983.96	0.00	-72.79	0.00	0.00
31410GHG1	FNMA POOL #888673 6% 04-01-2035 BEO	FNMA		02/27/2012	08/16/2007	6,894.06	6,874.67	0.00	19.39	0.00	0.00
6894 0600	FNMA POOL #889450 6% 03-01-2038 BEO	FNMA		02/27/2012	08/10/2010	9,143.41	9,944.89	0.00	-801.48	0.00	0.00
31410KEX8	FNMA POOL #889670 5 5% 08-01-2023 BEO	FNMA		02/27/2012	05/29/2008	33,964.00	34,266.49	0.00	-302.49	0.00	0.00
9143 4100	FNMA POOL #888066 6% 10-01-2022 BEO	FNMA		02/27/2012	12/04/2008	18,641.65	19,031.96	0.00	-390.31	0.00	0.00
31410KMT8											
33964.0000											
31414J6B4											
18641 6500											

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31415MMK8	FNMA POOL #984282 6% 06-01-2023 BEO	FNMA									
24628 8000	02/27/2012 11/25/2008					24,628.80	25,136.77	0.00	-507.97	0.00	0.00
31416B4J4	FNMA POOL #995525 6% 12-01-2038 BEO	FNMA									
12124 5700	02/27/2012 11/10/2010					12,124.57	13,196.84	0.00	-1,072.27	0.00	0.00
31416CHP4	FNMA POOL #995838 5.5% 05-01-2039 BEO	FNMA									
33851.1100	02/27/2012 01/26/2011					33,851.11	36,284.16	0.00	-2,433.05	0.00	0.00
31418M4T6	FNMA POOL #AD0833 6% 01-01-2039 BEO	FNMA									
21904 2100	02/27/2012 02/26/2010					21,904.21	23,273.22	0.00	-1,369.01	0.00	0.00
31418MEC2	FNMA POOL #AD0130 6 5% 08-01-2039 BEO	FNMA									
6386.9100	02/27/2012 09/16/2010					6,386.91	6,951.75	0.00	-564.84	0.00	0.00
31418MRQ7	FNMA POOL #AD0494 5.5% 08-01-2037 BEO	FNMA									
16864 8700	02/27/2012 12/02/2009					16,864.87	17,983.72	0.00	-1,098.85	0.00	0.00
31419ACN5	FNMA POOL #AE0076 6% 10-01-2039 BEO	FNMA									
35473.6600	02/27/2012 05/26/2010					35,473.66	38,283.84	0.00	-2,810.18	0.00	0.00
31419ADD6	FNMA POOL #AE0099 5.5% 10-01-2036 BEO	FNMA									
19561.9400	02/27/2012 07/13/2010					19,561.94	21,110.08	0.00	-1,548.14	0.00	0.00
31419ADJ3	FNMA POOL #AE0104 5 5% 04-01-2037 BEO	FNMA									
40106.8300	02/27/2012 06/09/2010					40,106.83	43,158.71	0.00	-3,051.88	0.00	0.00

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31419AQV2	FNMA POOL #AE0467 5 5% 03-01-2024 BEO	FNMA									
19787.4000	02/27/2012 09/29/2010					19,787.40	21,330.20	0.00	-1,542.80	0.00	0.00
06739GAR0	BARCLAYS BK PLC 5 125% DUE 01-08-2020										
25000.0000	03/07/2012 01/21/2010					26,681.25	25,086.25	0.00	1,595.00	0.00	0.00
31283KJ66	FEDERAL HOME LN MTG CORP POOL #G11185 5 5% 10-01-2016 BEO	GOLD1									
1944 1500	03/15/2012 04/24/2003					1,944.15	2,016.75	0.00	-72.60	0.00	0.00
31283KTH1	FEDERAL HOME LN MTG CORP POOL #G11452 6 5% 04-01-2018 BEO	GOLD1									
10900 2800	03/15/2012 09/17/2003					10,900.28	11,470.84	0.00	-570.56	0.00	0.00
31283KWZ7	FEDERAL HOME LN MTG CORP POOL #G11564 6 5% 08-01-2017 BEO	GOLD1									
12151.5900	03/15/2012 04/30/2004					12,151.59	12,857.90	0.00	-706.31	0.00	0.00
3128CULV6	FEDERAL HOME LN MTG CORP POOL #G30340 6%08-01-2027 BEO	GOLD1									
14937 6600	03/15/2012 09/28/2007					14,937.66	15,057.86	0.00	-120.20	0.00	0.00
3128M5FD7	FEDERAL HOME LN MTG CORP POOL #G0-3464 6% 11-01-2037 BEO	GOLD1									
21525 6600	03/15/2012 12/07/2010					21,525.66	23,321.71	0.00	-1,796.05	0.00	0.00
3128M7TX4	FHLMC GOLD G05666 6 04-01-2038 04-01-2038 BEO	GOLD1									
38807 3900	03/15/2012 09/14/2009					38,807.39	41,008.50	0.00	-2,201.11	0.00	0.00
3128UNCF7	FEDERAL HOME LN MTG CORP POOL #H09070 6.5% 09-01-2037 BEO	GOLD1									
4267.2900	03/15/2012 09/06/2007					4,267.29	4,310.63	0.00	-43.34	0.00	0.00

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2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16396
Recipient's Tax ID Number: 95-6092354
☒ Corrected 03/22/2013 ☐ 2nd TIN notice

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Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock/Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
3128UNDW9	FEDERAL HOME LN MTG CORP POOL #H09117 6.5% 11-01-2037 BEO	GOLD1		03/15/2012	11/20/2007	5,391.08	5,473.63	0.00	-82.55	0.00	0.00
53910800	FEDERAL HOME LN MTG CORP POOL #H09152 6.5% 02-01-2038 BEO	GOLD1		03/15/2012	03/18/2008	5,963.26	6,140.99	0.00	-177.73	0.00	0.00
3128UNEZ1	FEDERAL HOME LN MTG CORP POOL #H09212 5.5% 05-01-2038 BEO	GOLD1		03/15/2012	08/11/2010	9,801.67	10,420.40	0.00	-618.73	0.00	0.00
9801.6700	BARCLAYS BK PLC 5.125% DUE 01-08-2020			03/22/2012	Various	157,957.50	150,063.75	0.00	7,893.75	0.00	0.00
06739GAR0	FNMA 7.5 11-25-2040	SPCL1		03/26/2012	03/19/2003	2,809.49	3,068.05	0.00	-258.56	0.00	0.00
150000.0000	FNMA POOL #256686 6.04-01-2037 BEO	FNMA		03/26/2012	08/24/2009	24,930.55	26,128.44	0.00	-1,197.89	0.00	0.00
31358S7A3	FNMA POOL #256939 7% 10-01-2037 BEO	FNMA		03/26/2012	09/05/2008	12,707.60	13,358.86	0.00	-651.26	0.00	0.00
24930.5500	FNMA POOL #545621 6.5% 05-01-2017 BEO	FNMA		03/26/2012	05/07/2004	7,449.41	7,842.83	0.00	-393.42	0.00	0.00
31371NCX1	FNMA POOL #555316 4.823% 02-01-2013 BEO	FNMA		03/26/2012	02/15/2007	73,963.11	73,142.58	0.00	820.53	0.00	0.00
269.4900											
31371NLU7											
12707.6000											
31385JD21											
7449.4100											
31385W3Z0											
73963.1100											

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2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16396
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Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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3139216F0	FNMA SERIES 2001-W3 CLASS-A 7 09-25-2041	CMO1		03/26/2012	04/30/2003	2,066.44	2,245.32	0.00	-178.88	0.00	0.00
2066.4400											
31393CEY5	FNMA PREASSIGN 00119 6 04-25-2043	CMO1		03/26/2012	04/15/2003	3,693.92	3,865.34	0.00	-171.42	0.00	0.00
3693.9200											
31402CVV1	FNMA POOL #725228 6% 03-01-2034 BEO	FNMA		03/26/2012	03/25/2010	31,620.67	34,362.78	0.00	-2,742.11	0.00	0.00
31620.6700											
31402RH28	FNMA POOL #735649 6% 12-01-2032 BEO	FNMA		03/26/2012	11/21/2005	2,449.51	2,472.28	0.00	-22.77	0.00	0.00
2449.5100											
31403DDB2	FNMA POOL #745398 6% 06-01-2035 BEO	FNMA		03/26/2012	02/22/2006	8,892.16	8,979.00	0.00	-86.84	0.00	0.00
8892.1600											
31403DT25	FNMA POOL #745869 6% 03-01-2036 BEO	FNMA		03/26/2012	08/22/2006	3,877.04	3,890.97	0.00	-13.93	0.00	0.00
3877.0400											
31410F6B6	FNMA POOL #888366 7% 04-01-2037 BEO	FNMA		03/26/2012	10/01/2009	22,925.29	24,945.58	0.00	-2,020.29	0.00	0.00
22925.2900											
31410F6E0	FNMA POOL #888369 7% DUE 03-01-2037 REG	FNMA		03/26/2012	09/14/2010	17,160.21	19,080.01	0.00	-1,919.80	0.00	0.00
17160.2100											
31410GE90	FNMA POOL #888560 6 11-01-2035 BEO	FNMA		03/26/2012	06/15/2007	17,178.59	17,041.70	0.00	136.89	0.00	0.00
17178.5900											

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16396
Recipient's Tax ID Number: 95-6092354

☒ Corrected 03/22/2013 ☐ 2nd TIN notice

Recipient's Name and Address: Ref. GL
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN. TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
31410GHG1			FNMA POOL #888631 6% 08-01-2022 BEO	FNMA					
9324 3600	03/26/2012	07/27/2007		9,324.36	9,392.84	0.00	-68.48	0.00	0.00
31410GJS3			FNMA POOL #888673 6% 04-01-2035 BEO	FNMA					
8919 3900	03/26/2012	08/16/2007		8,919.39	8,894.30	0.00	25.09	0.00	0.00
31410KEX8			FNMA POOL #889450 6% 03-01-2038 BEO	FNMA					
10761.4600	03/26/2012	08/10/2010		10,761.46	11,704.77	0.00	-943.31	0.00	0.00
31410KMT8			FNMA POOL #889870 5.5% 06-01-2023 BEO	FNMA					
33677 9700	03/26/2012	05/29/2008		33,677.97	33,977.91	0.00	-299.94	0.00	0.00
31414J6B4			FNMA POOL #968066 6% 10-01-2022 BEO	FNMA					
21650 7800	03/26/2012	12/04/2008		21,650.78	22,104.09	0.00	-453.31	0.00	0.00
31415MMK8			FNMA POOL #984262 6% 06-01-2023 BEO	FNMA					
26275.7500	03/26/2012	11/25/2008		26,275.75	26,817.69	0.00	-541.94	0.00	0.00
31416B4J4			FNMA POOL #995525 6% 12-01-2038 BEO	FNMA					
16456 5100	03/26/2012	11/10/2010		16,456.51	17,911.88	0.00	-1,455.37	0.00	0.00
31416CHP4			FNMA POOL #995838 5.5% 05-01-2039 BEO	FNMA					
36365 4300	03/26/2012	01/25/2011		36,365.43	38,979.20	0.00	-2,613.77	0.00	0.00
31418M4T6			FNMA POOL #AD0833 6% 01-01-2039 BEO	FNMA					
20528 5400	03/26/2012	02/26/2010		20,528.54	21,811.57	0.00	-1,283.03	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16396
Recipient's Tax ID Number: 95-6092354

☒ Corrected 03/22/2013 ☐ 2nd TIN notice

Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
31418MEC2	03/26/2012	09/15/2010	FNMA	5,483.62	5,968.58	0.00	-484.96	0.00	0.00
5483.6200	03/26/2012	09/15/2010	FNMA	5,483.62	5,968.58	0.00	-484.96	0.00	0.00
31418MRQ7	03/26/2012	12/02/2009	FNMA	18,099.20	19,278.48	0.00	-1,179.28	0.00	0.00
18099.2000	03/26/2012	12/02/2009	FNMA	18,099.20	19,278.48	0.00	-1,179.28	0.00	0.00
31419ACN5	03/26/2012	05/26/2010	FNMA	45,216.49	48,798.48	0.00	-3,581.99	0.00	0.00
45216.4900	03/26/2012	05/26/2010	FNMA	45,216.49	48,798.48	0.00	-3,581.99	0.00	0.00
31419ADD6	03/26/2012	07/13/2010	FNMA	22,828.93	24,635.63	0.00	-1,806.70	0.00	0.00
22828.9300	03/26/2012	07/13/2010	FNMA	22,828.93	24,635.63	0.00	-1,806.70	0.00	0.00
31419ADJ3	03/26/2012	06/09/2010	FNMA	38,037.90	38,780.16	0.00	-742.26	0.00	0.00
38037.9000	03/26/2012	06/09/2010	FNMA	38,037.90	38,780.16	0.00	-742.26	0.00	0.00
31419AQV2	03/26/2012	09/29/2010	FNMA	20,421.65	22,013.90	0.00	-1,592.25	0.00	0.00
20421.6500	03/26/2012	09/29/2010	FNMA	20,421.65	22,013.90	0.00	-1,592.25	0.00	0.00
404119AE9	03/27/2012	Various	HCA INC NT 6.95 DUE 05-01-2012 BEO	702,450.00	697,664.62	0.00	4,785.38	0.00	0.00
700000.0000	03/27/2012	Various	HCA INC NT 6.95 DUE 05-01-2012 BEO	702,450.00	697,664.62	0.00	4,785.38	0.00	0.00
83162CMX8	04/02/2012	04/08/2003	SBA GTD DEV PARTN CTF SER 2003-20-D PARTN CTF 4.76 DUE 04-01-23 REG	53,279.74	53,279.74	0.00	0.00	0.00	0.00
53279.7400	04/02/2012	04/08/2003	SBA GTD DEV PARTN CTF SER 2003-20-D PARTN CTF 4.76 DUE 04-01-23 REG	53,279.74	53,279.74	0.00	0.00	0.00	0.00
31283KJ66	04/09/2012	04/24/2003	FEDERAL HOME LN MTG CORP POOL #G11185 5.5% 10-01-2016 BEO	52,923.16	50,664.28	0.00	2,258.88	0.00	0.00
48840.4000	04/09/2012	04/24/2003	FEDERAL HOME LN MTG CORP POOL #G11185 5.5% 10-01-2016 BEO	52,923.16	50,664.28	0.00	2,258.88	0.00	0.00

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CHICAGO, IL 60680

Account Number: 26-16396
Recipient's Tax ID Number: 95-6092354
☒ Corrected 03/22/2013 ☐ 2nd TIN notice

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Ref: GL

Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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53079EAU8	PVTPL LIBERTY MUT GROUP INC SR NT 144A 7 25% DUE 09-01-2012 BEO			04/13/2012	08/28/2003	228,800.32	253,626.24	0.00	-24,825.92	0.00	0.00
224000.0000											
655664AM2	NORDSTROM INC 6.75% DUE 06-01-2014										
225000.0000				04/13/2012	05/20/2009	253,381.50	224,176.50	0.00	29,205.00	0.00	0.00
126410LN7	CSX TRANSN INC 8.375% DUE 10-15-2014 DUE 10-15-2014 BEO	SPCL1		04/16/2012	10/21/2008	16,370.27	16,370.27	0.00	0.00	0.00	0.00
16370.2700											
31283KJ66	FEDERAL HOME LN MTG CORP POOL #G11185 5 5% 10-01-2016 BEO	GOLD1		04/16/2012	04/24/2003	1,471.82	1,526.78	0.00	-54.96	0.00	0.00
1471.8200											
31283KTH1	FEDERAL HOME LN MTG CORP POOL #G11452 6.5% 04-01-2018 BEO	GOLD1		04/16/2012	09/17/2003	8,449.40	8,891.67	0.00	-442.27	0.00	0.00
8449.4000											
31283KWZ7	FEDERAL HOME LN MTG CORP POOL #G11564 6 5% 08-01-2017 BEO	GOLD1		04/16/2012	04/30/2004	13,509.99	14,295.26	0.00	-785.27	0.00	0.00
13509.9900											
3128CULV8	FEDERAL HOME LN MTG CORP POOL #G30340 6%08-01-2027 BEO	GOLD1		04/16/2012	09/28/2007	11,197.02	11,287.12	0.00	-90.10	0.00	0.00
11197.0200											
3128M5FD7	FEDERAL HOME LN MTG CORP POOL #G0-3464 6% 11-01-2037 BEO	GOLD1		04/16/2012	12/07/2010	17,499.18	18,959.27	0.00	-1,460.09	0.00	0.00
17499.1800											
3128M7TX4	FHLMC GOLD G05666 6 04-01-2038 04-01-2038 BEO	GOLD1		04/16/2012	09/14/2009	36,515.63	38,586.75	0.00	-2,071.12	0.00	0.00
36515.6300											

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CHICAGO, IL 60680

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3128UNCF7	FEDERAL HOME LN MTG CORP POOL #H09070 6 5%	GOLD1		09-01-2037 BEO							
4411 8600	04/16/2012 09/06/2007					4,411.86	4,456.67	0.00	-44.81	0.00	0.00
3128UNDW9	FEDERAL HOME LN MTG CORP POOL #H09117 6.5%	GOLD1		11-01-2037 BEO							
14904 6900	04/16/2012 11/20/2007					14,904.69	15,132.92	0.00	-228.23	0.00	0.00
3128UNEZ1	FEDERAL HOME LN MTG CORP POOL #H09152 6 5%	GOLD1		02-01-2038 BEO							
12103.4900	04/16/2012 03/18/2008					12,103.49	12,464.23	0.00	-360.74	0.00	0.00
3128UNG8	FEDERAL HOME LN MTG CORP POOL #H0-9212 5.5%	GOLD1		05-01-2038 BEO							
9321.7100	04/16/2012 08/11/2010					9,321.71	9,910.14	0.00	-588.43	0.00	0.00
12189PAF9	BURL NORTHN SANTA FE RY CO PASS THRU TR SER	CMO1		1999-2 MTG 7.57 1-2-21 BEO SF 7-2-00							
78662.9100	04/19/2012 03/18/2003					78,662.91	94,547.31	0.00	-15,884.40	0.00	0.00
31358S7A3	FNMA 7.5 11-25-2040	SPCL1									
7534 0000	04/25/2012 03/19/2003					7,534.00	8,227.36	0.00	-693.36	0.00	0.00
31371NCX1	FNMA POOL #256686 6 04-01-2037 BEO	FNMA									
24769.7200	04/25/2012 08/24/2009					24,769.72	25,957.89	0.00	-1,188.17	0.00	0.00
31371NLU7	FNMA POOL #256939 7% 10-01-2037 BEO	FNMA									
9907 2100	04/25/2012 09/05/2008					9,907.21	10,414.95	0.00	-507.74	0.00	0.00
31385JD21	FNMA POOL #545621 6 5% 05-01-2017 BEO	FNMA									
4439 1100	04/25/2012 05/07/2004					4,439.11	4,673.55	0.00	-234.44	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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31385W320	FNMA POOL #555316 4 823% 02-01-2013 BEO			FNMA					
53270 6900		04/25/2012	02/15/2007		53,270.69	52,679.72	0.00	590.97	0.00
3139216F0	FNMA SERIES 2001-W3 CLASS-A 7 09-25-2041			CMO1					
1698.5900		04/25/2012	04/30/2003		1,698.59	1,845.62	0.00	-147.03	0.00
31393CEY5	FNMA PREASSIGN 00119 6 04-25-2043			CMO1					
1485.7800		04/25/2012	04/15/2003		1,485.78	1,554.73	0.00	-68.95	0.00
31402CVV1	FNMA POOL #725228 6% 03-01-2034 BEO			FNMA					
29606.2000		04/25/2012	03/25/2010		29,606.20	32,173.61	0.00	-2,567.41	0.00
31402RH28	FNMA POOL #735649 6% 12-01-2032 BEO			FNMA					
3722.4300		04/25/2012	11/21/2005		3,722.43	3,757.04	0.00	-34.61	0.00
31403DD82	FNMA POOL #745398 6% 06-01-2035 BEO			FNMA					
6064 0600		04/25/2012	02/22/2006		6,064.06	6,123.28	0.00	-59.22	0.00
31403DT25	FNMA POOL #745869 6% 03-01-2036 BEO			FNMA					
7399 7200		04/25/2012	08/22/2006		7,399.72	7,426.31	0.00	-26.59	0.00
31410F8B6	FNMA POOL #888366 7% 04-01-2037 BEO			FNMA					
26563 1500		04/25/2012	10/01/2009		26,563.15	28,904.03	0.00	-2,340.88	0.00
31410F8E0	FNMA POOL #888369 7% DUE 03-01-2037 REG			FNMA					
18180.8400		04/25/2012	09/14/2010		18,180.64	20,214.60	0.00	-2,033.96	0.00

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31410GE90	FNMA POOL #888560 6 11-01-2035 BEO	FNMA									
17534.6800	04/25/2012 06/15/2007					17,534.68	17,394.95	0.00	139.73	0.00	0.00
31410GHG1	FNMA POOL #888631 6% 08-01-2022 BEO	FNMA									
8040.7000	04/25/2012 07/27/2007					8,040.70	8,099.75	0.00	-59.05	0.00	0.00
31410GJS3	FNMA POOL #888673 6% 04-01-2035 BEO	FNMA									
8402.6400	04/25/2012 08/16/2007					8,402.64	8,379.01	0.00	23.63	0.00	0.00
31410KEX8	FNMA POOL #889450 6% 03-01-2038 BEO	FNMA									
9540.6300	04/25/2012 08/10/2010					9,540.63	10,376.93	0.00	-836.30	0.00	0.00
31410KMT8	FNMA POOL #889670 5.5% 06-01-2023 BEO	FNMA									
38723.7500	04/25/2012 05/29/2008					38,723.75	39,068.63	0.00	-344.88	0.00	0.00
31414J6B4	FNMA POOL #968066 6% 10-01-2022 BEO	FNMA									
25991.6400	04/25/2012 12/04/2008					25,991.64	26,535.84	0.00	-544.20	0.00	0.00
31415MMK8	FNMA POOL #984262 6% 06-01-2023 BEO	FNMA									
34322.2400	04/25/2012 11/25/2008					34,322.24	35,030.14	0.00	-707.90	0.00	0.00
31416B4J4	FNMA POOL #995625 6% 12-01-2038 BEO	FNMA									
18859.9200	04/25/2012 11/10/2010					18,859.92	20,527.84	0.00	-1,667.92	0.00	0.00
31416CHP4	FNMA POOL #995838 5.5% 05-01-2039 BEO	FNMA									
37893.7600	04/25/2012 01/25/2011					37,893.76	40,617.37	0.00	-2,723.61	0.00	0.00

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16396
Recipient's Tax ID Number: 95-6092354

☒ Corrected 03/22/2013 ☐ 2nd TIN notice

Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Ref: GL

Sales are listed at Gross Proceeds less commissions and option premiums.

Custp	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
31418M4T6	FNMA POOL #AD0833 6% 01-01-2039 BEO	FNMA									
20901 9600	04/25/2012 02/26/2010					20,901.96	22,208.33	0.00	-1,306.37	0.00	0.00
31418MEC2	FNMA POOL #AD0130 6.5% 08-01-2039 BEO	FNMA									
7275.9600	04/25/2012 09/15/2010					7,275.96	7,919.43	0.00	-643.47	0.00	0.00
31418MRQ7	FNMA POOL #AD0494 5 5% 08-01-2037 BEO	FNMA									
16913 7300	04/25/2012 12/02/2009					16,913.73	18,015.77	0.00	-1,102.04	0.00	0.00
31419ACN5	FNMA POOL #AE0076 6% 10-01-2039 BEO	FNMA									
56145.7700	04/25/2012 05/26/2010					56,145.77	60,593.57	0.00	-4,447.80	0.00	0.00
31419ADD6	FNMA POOL #AE0099 5.5% 10-01-2036 BEO	FNMA									
21762.9500	04/25/2012 07/13/2010					21,762.95	23,485.28	0.00	-1,722.33	0.00	0.00
31419ADJ3	FNMA POOL #AE0104 5 5% 04-01-2037 BEO	FNMA									
40468 1500	04/25/2012 06/09/2010					40,468.15	43,547.52	0.00	-3,079.37	0.00	0.00
31419AQV2	FNMA POOL #AE0467 5.5% 03-01-2024 BEO	FNMA									
18756.7000	04/25/2012 09/29/2010					18,756.70	20,219.14	0.00	-1,462.44	0.00	0.00
36962G4C5	GEN ELEC CAP CORP 5.9% DUE 05-13-2014										
350000.0000	05/01/2012 05/06/2009					382,368.00	349,611.50	0.00	32,756.50	0.00	0.00
55616XAE7	MACYS RETAIL HLDGS 7.875% DUE 07-15-201507-15-2015										
150000.0000	05/01/2012 06/23/2008					176,997.00	149,868.50	0.00	27,130.50	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16396
Recipient's Tax ID Number: 95-6092354

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WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Ref: GL

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Quantity Sold	Description Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
83162CQG1	SMALL BUSINESS ADMIN GTD DEV PARTN CTF 2006-20E		SBA1					
33996 3900	PARTN CTF 0% DUE 08-01-2026 REG 05/01/2012 05/09/2006		33,996.39	33,996.39	0.00	0.00	0.00	0.00
31283KTH1	FEDERAL HOME LN MTG CORP POOL #G11452 6 5%		GOLD1					
7116 8400	04-01-2018 BEO 05/15/2012 09/17/2003		7,116.84	7,489.36	0.00	-372.52	0.00	0.00
31283KWZ7	FEDERAL HOME LN MTG CORP POOL #G11564 6.5%		GOLD1					
8530.6500	08-01-2017 BEO 05/15/2012 04/30/2004		8,530.65	9,028.49	0.00	-495.84	0.00	0.00
3128CULV6	FEDERAL HOME LN MTG CORP POOL #G30340		GOLD1					
11446.7800	6%08-01-2027 BEO 05/15/2012 09/28/2007		11,446.78	11,538.89	0.00	-92.11	0.00	0.00
3128M5FD7	FEDERAL HOME LN MTG CORP POOL #G0-3464 6%		GOLD1					
20783 7000	11-01-2037 BEO 05/15/2012 12/07/2010		20,783.70	22,517.84	0.00	-1,734.14	0.00	0.00
3128M7TX4	FHLMC GOLD G05666 6 04-01-2038 04-01-2038 BEO		GOLD1					
51196 5800	05/15/2012 09/14/2009		51,196.58	54,100.39	0.00	-2,903.81	0.00	0.00
3128UNCF7	FEDERAL HOME LN MTG CORP POOL #H09070 6 5%		GOLD1					
886.0900	09-01-2037 BEO 05/15/2012 09/06/2007		886.09	895.09	0.00	-9.00	0.00	0.00
3128UNDW9	FEDERAL HOME LN MTG CORP POOL #H09117 6 5%		GOLD1					
7854 1000	11-01-2037 BEO 05/15/2012 11/20/2007		7,854.10	7,974.37	0.00	-120.27	0.00	0.00
3128UNEZ1	FEDERAL HOME LN MTG CORP POOL #H09152 6 5%		GOLD1					
2869.1800	02-01-2038 BEO 05/15/2012 03/18/2008		2,869.18	2,954.70	0.00	-85.52	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16396
Recipient's Tax ID Number: 95-6092354

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3128UNGV8	FEDERAL HOME LN MTG CORP POOL #H0-9212 5 5%	GOLD1									
10174 2300	05-01-2038 BEO			05/15/2012	08/11/2010	10,174.23	10,816.48	0.00	-642.25	0.00	0.00
14040HAR6	CAP 1 FINL CORP 6.75% DUE 09-15-2017										
175000.0000	05/16/2012 08/28/2007					210,470.75	174,503.39	0.00	35,967.36	0.00	0.00
907818CV8	UNION PAC CORP 4 875% DUE 01-15-2015										
425000 0000	01-15-2015/11-23-2004 BEO			05/16/2012	Various	466,267.50	416,149.22	0.00	50,118.28	0.00	0.00
31358S7A3	FNMA 7 5 11-25-2040	SPCL1									
4149.2400	05/25/2012 03/19/2003					4,149.24	4,531.10	0.00	-381.86	0.00	0.00
31371NCX1	FNMA POOL #256686 6 04-01-2037 BEO	FNMA									
24391.3900	05/25/2012 08/24/2009					24,391.39	25,561.41	0.00	-1,170.02	0.00	0.00
31371NLU7	FNMA POOL #256939 7% 10-01-2037 BEO	FNMA									
11068 6000	05/25/2012 09/05/2008					11,068.60	11,635.87	0.00	-567.27	0.00	0.00
31385JD21	FNMA POOL #545621 6 5% 05-01-2017 BEO	FNMA									
5806.3900	05/25/2012 05/07/2004					5,806.39	6,113.04	0.00	-306.65	0.00	0.00
31385W3Z0	FNMA POOL #555316 4 823% 02-01-2013 BEO	FNMA									
26017.6200	05/25/2012 02/15/2007					26,017.62	25,728.99	0.00	288.63	0.00	0.00
3139216F0	FNMA SERIES 2001-W3 CLASS-A 7 09-25-2041	CMO1									
1785.9800	05/25/2012 04/30/2003					1,785.98	1,940.58	0.00	-154.60	0.00	0.00

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THE NORTHERN TRUST COMPANY
P O. BOX 803878
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31393CEY5	FNMA PREASSIGN 00119 6 04-25-2043	CMO1									
5601 1600	05/25/2012 04/15/2003					5,601.16	5,861.09	0.00	-259.93	0.00	0.00
31402CVV1	FNMA POOL #725228 6% 03-01-2034 BEO	FNMA									
31995 4400	05/25/2012 03/25/2010					31,995.44	34,770.04	0.00	-2,774.60	0.00	0.00
31402RH28	FNMA POOL #735649 6% 12-01-2032 BEO	FNMA									
3785 2300	05/25/2012 11/21/2005					3,785.23	3,800.23	0.00	-35.00	0.00	0.00
31403DD82	FNMA POOL #745398 6% 06-01-2035 BEO	FNMA									
6283.7700	05/25/2012 02/22/2006					6,283.77	6,345.14	0.00	-61.37	0.00	0.00
31403DT25	FNMA POOL #745869 6% 03-01-2036 BEO	FNMA									
4692.7400	05/25/2012 08/22/2006					4,692.74	4,709.60	0.00	-16.86	0.00	0.00
31410F6B6	FNMA POOL #888366 7% 04-01-2037 BEO	FNMA									
26468 8100	05/25/2012 10/01/2009					26,468.81	28,801.37	0.00	-2,332.56	0.00	0.00
31410F6E0	FNMA POOL #888369 7% DUE 03-01-2037 REG	FNMA									
17236.2800	05/25/2012 09/14/2010					17,236.28	19,164.59	0.00	-1,928.31	0.00	0.00
31410GE90	FNMA POOL #888560 6 11-01-2035 BEO	FNMA									
15066 8400	05/25/2012 06/15/2007					15,066.84	14,946.78	0.00	120.06	0.00	0.00
31410GHG1	FNMA POOL #888631 6% 08-01-2022 BEO	FNMA									
9366.8900	05/25/2012 07/27/2007					9,366.89	9,435.68	0.00	-68.79	0.00	0.00

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P.O. BOX 803878
CHICAGO, IL 60680

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LOS ANGELES, CA 90071

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Ref: GL

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
31410GJS3	FNMA POOL #888673 6% 04-01-2035 BEO	FNMA	8886 2800	05/25/2012	08/16/2007	8,886.26	8,861.27	0.00	24.99	0.00	0.00
31410KEX8	FNMA POOL #889450 6% 03-01-2038 BEO	FNMA	11388 4800	05/25/2012	08/10/2010	11,388.48	12,384.58	0.00	-998.10	0.00	0.00
31410KMT8	FNMA POOL #889670 5 5% 06-01-2023 BEO	FNMA	29967.3900	05/25/2012	05/29/2008	29,967.39	30,234.29	0.00	-266.90	0.00	0.00
31414J6B4	FNMA POOL #968066 6% 10-01-2022 BEO	FNMA	19968.7700	05/25/2012	12/04/2008	19,968.77	20,386.87	0.00	-418.10	0.00	0.00
31415MMK8	FNMA POOL #984262 6% 06-01-2023 BEO	FNMA	18067.1300	05/25/2012	11/25/2008	18,067.13	18,439.76	0.00	-372.63	0.00	0.00
31416B4J4	FNMA POOL #995525 6% 12-01-2038 BEO	FNMA	18063.7200	05/25/2012	11/10/2010	18,063.72	19,661.23	0.00	-1,597.51	0.00	0.00
31416CHP4	FNMA POOL #995838 5.5% 05-01-2039 BEO	FNMA	41098.7100	05/25/2012	01/25/2011	41,098.71	44,052.68	0.00	-2,953.97	0.00	0.00
31418MAT6	FNMA POOL #AD0833 6% 01-01-2039 BEO	FNMA	28295.1000	05/25/2012	02/26/2010	28,295.10	30,063.54	0.00	-1,768.44	0.00	0.00
31418MEC2	FNMA POOL #AD0130 6.5% 08-01-2039 BEO	FNMA	7901.9600	05/25/2012	09/15/2010	7,901.96	8,600.79	0.00	-698.83	0.00	0.00

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Account Number: 26-16396
Recipient's Tax ID Number: 95-6092354

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31418MRQ7	FNMA POOL #AD0494 5 5% 08-01-2037 BEO	FNMA									
15393.3900	05/25/2012 12/02/2009					15,393.39	16,396.37	0.00	-1,002.98	0.00	0.00
31419ACN5	FNMA POOL #AE0076 6% 10-01-2039 BEO	FNMA									
36407.9800	05/25/2012 05/26/2010					36,407.98	39,292.17	0.00	-2,884.19	0.00	0.00
31419ADD6	FNMA POOL #AE0099 5 5% 10-01-2036 BEO	FNMA									
17863.5000	05/25/2012 07/13/2010					17,863.50	19,277.23	0.00	-1,413.73	0.00	0.00
31419ADJ3	FNMA POOL #AE0104 5 5% 04-01-2037 BEO	FNMA									
35192.7500	05/25/2012 06/09/2010					35,192.75	37,870.70	0.00	-2,677.95	0.00	0.00
31419AQV2	FNMA POOL #AE0467 5.5% 03-01-2024 BEO	FNMA									
19364.6700	05/25/2012 09/29/2010					19,364.67	20,874.51	0.00	-1,509.84	0.00	0.00
83162CNA7	SMALL BUSINESS ADMIN GTD DEV PARTN CTF SER	CMO1									
30361.2000	2003-20 CL F 4 07 DUE 06-01-2023 REG 06/01/2012 06/03/2003					30,361.20	30,361.20	0.00	0.00	0.00	0.00
31283KTH1	FEDERAL HOME LN MTG CORP POOL #G11452 6 5% 04-01-2018 BEO	GOLD1									
8150.4000	06/15/2012 09/17/2003					8,150.40	8,577.02	0.00	-426.62	0.00	0.00
31283KWZ7	FEDERAL HOME LN MTG CORP POOL #G11564 6 5% 08-01-2017 BEO	GOLD1									
8695.9100	06/15/2012 04/30/2004					8,695.91	9,201.36	0.00	-505.45	0.00	0.00
3128CULV6	FEDERAL HOME LN MTG CORP POOL #G30340 6%08-01-2027 BEO	GOLD1									
18893.7300	06/15/2012 09/28/2007					18,893.73	19,045.76	0.00	-152.03	0.00	0.00

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THE NORTHERN TRUST COMPANY
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3128M5FD7	11-01-2037 BEO		GOLD1					
16102 7100	06/15/2012 12/07/2010			16,102.71	17,446.28	0.00	-1,343.57	0.00
3128M7TX4	FHLMC GOLD G05666 6 04-01-2038 04-01-2038 BEO		GOLD1					
31631 3200	06/15/2012 09/14/2009			31,631.32	33,425.41	0.00	-1,794.09	0.00
3128UNCF7	FEDERAL HOME LN MTG CORP POOL #H09070 6.5%		GOLD1					
5595.5400	09-01-2037 BEO 06/15/2012 09/06/2007			5,595.54	5,652.37	0.00	-56.83	0.00
3128UNDW9	FEDERAL HOME LN MTG CORP POOL #H09117 6.5%		GOLD1					
5458 8800	11-01-2037 BEO 06/15/2012 11/20/2007			5,458.88	5,542.47	0.00	-83.59	0.00
3128UNEZ1	FEDERAL HOME LN MTG CORP POOL #H09162 6 5%		GOLD1					
10833 1600	02-01-2038 BEO 06/15/2012 03/18/2008			10,833.16	11,156.04	0.00	-322.88	0.00
3128UNGV8	FEDERAL HOME LN MTG CORP POOL #H0-9212 5 5%		GOLD1					
8568.7400	05-01-2038 BEO 06/15/2012 08/11/2010			8,568.74	9,109.64	0.00	-540.90	0.00
31410KEX8	FNMA POOL #889450 6% 03-01-2038 BEO		FNMA					
272530 6700	06/15/2012 08/10/2010			299,400.50	296,419.66	0.00	2,980.84	0.00
31418M4T8	FNMA POOL #AD0833 6% 01-01-2039 BEO		FNMA					
663790 7700	06/15/2012 02/26/2010			729,288.25	705,277.71	0.00	24,010.54	0.00
31419ACN5	FNMA POOL #AE0076 6% 10-01-2039 BEO		FNMA					
1132748 1500	06/15/2012 05/26/2010			1,244,430.04	1,222,483.05	0.00	21,946.99	0.00

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404119AF6	HCA INC FORMERLY HCA-HEALTHCARE CO NT DTD										
100000 0000	09-23-2002 6 3 DUE 10-01-2012 BEO										
	06/19/2012 04/15/2008					101,125.00	99,311.01	0.00	1,813.99	0.00	0.00
31358S7A3	FNMA 7.5 11-25-2040	SPCL1									
6806.1000	06/25/2012 03/19/2003					6,806.10	7,432.47	0.00	-626.37	0.00	0.00
31371NCX1	FNMA POOL #256686 6 04-01-2037 BEO	FNMA									
24826.6800	06/25/2012 08/24/2009					24,826.68	26,017.59	0.00	-1,190.91	0.00	0.00
31371NLU7	FNMA POOL #256939 7% 10-01-2037 BEO	FNMA									
12910 1300	06/25/2012 09/05/2008					12,910.13	13,571.77	0.00	-661.64	0.00	0.00
31385JD21	FNMA POOL #545621 6 5% 05-01-2017 BEO	FNMA									
6249 0100	06/25/2012 05/07/2004					6,249.01	6,579.04	0.00	-330.03	0.00	0.00
31385W320	FNMA POOL #555316 4 823% 02-01-2013 BEO	FNMA									
17299.4000	06/25/2012 02/15/2007					17,299.40	17,107.49	0.00	191.91	0.00	0.00
3139216F0	FNMA SERIES 2001-W3 CLASS-A 7 09-25-2041	CMO1									
3286 0000	06/25/2012 04/30/2003					3,286.00	3,570.44	0.00	-284.44	0.00	0.00
31393CEY5	FNMA PREASSIGN 00119 6 04-25-2043	CMO1									
4973 6300	06/25/2012 04/15/2003					4,973.63	5,204.44	0.00	-230.81	0.00	0.00
31402CVV1	FNMA POOL #725228 6% 03-01-2034 BEO	FNMA									
32004 8700	06/25/2012 03/25/2010					32,004.87	34,780.29	0.00	-2,775.42	0.00	0.00

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ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
31402RH28	06/25/2012	11/21/2005	FNMA POOL #735649 6% 12-01-2032 BEO	FNMA	3,765.82	3,800.83	0.00	-35.01	0.00	0.00
3765 8200										
31403DDB2	06/25/2012	02/22/2006	FNMA POOL #745398 6% 08-01-2035 BEO	FNMA	7,683.97	7,759.01	0.00	-75.04	0.00	0.00
7683 9700										
31403DT25	06/25/2012	08/22/2006	FNMA POOL #745869 6% 03-01-2036 BEO	FNMA	6,532.47	6,555.95	0.00	-23.48	0.00	0.00
6532.4700										
31410F6B6	06/25/2012	10/01/2009	FNMA POOL #888366 7% 04-01-2037 BEO	FNMA	34,410.90	37,443.36	0.00	-3,032.46	0.00	0.00
34410 9000										
31410F6E0	06/25/2012	09/14/2010	FNMA POOL #888369 7% DUE 03-01-2037 REG	FNMA	17,210.74	19,136.19	0.00	-1,925.45	0.00	0.00
17210 7400										
31410GE90	06/25/2012	06/15/2007	FNMA POOL #888560 6 11-01-2035 BEO	FNMA	16,487.03	16,355.65	0.00	131.38	0.00	0.00
16487 0300										
31410GHG1	06/25/2012	07/27/2007	FNMA POOL #888631 6% 08-01-2022 BEO	FNMA	9,374.04	9,442.88	0.00	-68.84	0.00	0.00
9374.0400										
31410GJS3	06/25/2012	08/16/2007	FNMA POOL #888673 6% 04-01-2035 BEO	FNMA	9,078.10	9,052.57	0.00	25.53	0.00	0.00
9078 1000										
31410KEX8	06/25/2012	08/10/2010	FNMA POOL #889450 6% 03-01-2038 BEO	FNMA	14,448.58	15,715.09	0.00	-1,266.51	0.00	0.00
14448 5800										

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2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16396
Recipient's Tax ID Number: 95-6092354

☒ Corrected 03/22/2013 ☐ 2nd TIN notice

Recipient's Name and Address:

W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Description	Stock or Other Symbol	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
31410KMT8			FNMA POOL #889670 5.5% 06-01-2023 BEO	FNMA						
25880 2100	06/25/2012	05/29/2008			25,880.21	26,110.71	0.00	-230.50	0.00	0.00
31414J6B4			FNMA POOL #968066 6% 10-01-2022 BEO	FNMA						
20327 8000	06/25/2012	12/04/2008			20,327.80	20,753.41	0.00	-425.61	0.00	0.00
31415MMK8			FNMA POOL #984262 6% 06-01-2023 BEO	FNMA						
19448 2000	06/25/2012	11/25/2008			19,448.20	19,849.32	0.00	-401.12	0.00	0.00
31416B4J4			FNMA POOL #995525 6% 12-01-2038 BEO	FNMA						
18288 0500	06/25/2012	11/10/2010			18,288.05	19,905.40	0.00	-1,617.35	0.00	0.00
31416CHP4			FNMA POOL #995838 5 5% 05-01-2039 BEO	FNMA						
51738 1900	06/25/2012	01/25/2011			51,738.19	55,456.87	0.00	-3,718.68	0.00	0.00
31418M4T6			FNMA POOL #AD0833 6% 01-01-2039 BEO	FNMA						
35636 6800	06/25/2012	02/26/2010			35,636.68	37,863.97	0.00	-2,227.29	0.00	0.00
31418MEC2			FNMA POOL #AD0130 6.5% 08-01-2039 BEO	FNMA						
7876 1200	06/25/2012	09/15/2010			7,876.12	8,572.66	0.00	-696.54	0.00	0.00
31418MRQ7			FNMA POOL #AD0494 5 5% 08-01-2037 BEO	FNMA						
18890 6100	06/25/2012	12/02/2009			18,890.61	20,121.45	0.00	-1,230.84	0.00	0.00
31419ACN5			FNMA POOL #AE0076 6% 10-01-2039 BEO	FNMA						
58145 3200	06/25/2012	05/26/2010			58,145.32	62,751.52	0.00	-4,606.20	0.00	0.00

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CHICAGO, IL 60680

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Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Description	Stock or Other Symbol	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
31419ADD6			FNMA POOL #AE0099 5 5% 10-01-2036 BEO	FNMA						
20558 0900	06/25/2012	07/13/2010			20,558.09	22,182.91	0.00	-1,626.82	0.00	0.00
31419ADJ3			FNMA POOL #AE0104 5 5% 04-01-2037 BEO	FNMA						
36770.9000	06/25/2012	06/09/2010			36,770.90	39,568.94	0.00	-2,798.04	0.00	0.00
31419AQV2			FNMA POOL #AE0467 5 5% 03-01-2024 BEO	FNMA						
19374 2600	06/25/2012	09/29/2010			19,374.26	20,884.85	0.00	-1,510.59	0.00	0.00
83162CGC1			SMALL BUSINESS ADMIN SER 1995-20 G 6 90 GTD DEV PARTN CTF DUE 07-1-2015 REG	SBA1						
12203 1300	07/02/2012	06/05/2003			12,203.13	13,087.86	0.00	-884.73	0.00	0.00
83162CQK2			SMALL BUSINESS ADMIN GTD DEV PARTN CTF SER 2008-20G CL-1 DUE 07-01-2026 BEO	SBA1						
26139 5500	07/02/2012	03/13/2007			26,139.55	27,520.04	0.00	-1,380.49	0.00	0.00
90783SAA0			UN PAC RR CO 4 698% DUE 01-02-2024 PASS THRU CTF 4 698 DUE 01-02-2024 REG	SPCL1						
15374 1500	07/02/2012	05/07/2003			15,374.15	15,374.15	0.00	0.00	0.00	0.00
90783WAA1			UN PAC RR CO 5 866 DUE 07-02-2030 07-02-2030	SPCL1						
6044.2300	07/02/2012	07/20/2008			6,044.23	6,044.23	0.00	0.00	0.00	0.00
12189PAF9			BURL NORTHN SANTA FE RY CO PASS THRU TR SER 1999-2 MTG 7.57 1-2-21 BEO SF 7-2-00	CMO1						
226.5500	07/03/2012	03/18/2003			226.55	272.30	0.00	-45.75	0.00	0.00
126410LM9			CSX TRANSN INC 6.251% DUE 01-15-2023	SPCL1						
10908.7000	07/16/2012	12/10/2007			10,908.70	10,908.70	0.00	0.00	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16396
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ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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Qty	Description	Stock or Other Symbol	Wash Sale	Net Gain	Federal	State
Quantity	Date of Sale or Exchange	Date of Acquisition	Loss Disallowed	or Loss	Income Tax Withheld	Tax Withheld
31283H5J0	FEDERAL HOME LN MTG CORP POOL #G01749 5 5%	GOLD1				
35460 2600	01-01-2035 BEO					
	07/16/2012 06/29/2011	35,460.26	38,540.87	0.00	-3,080.61	0.00
31283KTH1	FEDERAL HOME LN MTG CORP POOL #G11452 6 5%	GOLD1				
8636 4000	04-01-2018 BEO					
	07/16/2012 09/17/2003	8,636.40	9,088.46	0.00	-452.06	0.00
31283KWZ7	FEDERAL HOME LN MTG CORP POOL #G11564 6 5%	GOLD1				
9250.6900	08-01-2017 BEO					
	07/16/2012 04/30/2004	9,250.69	9,788.39	0.00	-537.70	0.00
3128CULV6	FEDERAL HOME LN MTG CORP POOL #G30340	GOLD1				
18221 1700	6%08-01-2027 BEO					
	07/16/2012 09/28/2007	18,221.17	16,351.70	0.00	-130.53	0.00
3128M5FD7	FEDERAL HOME LN MTG CORP POOL #G0-3464 6%	GOLD1				
18801 0000	11-01-2037 BEO					
	07/16/2012 12/07/2010	18,801.00	20,369.71	0.00	-1,568.71	0.00
3128M7TX4	FHLMC GOLD G05666 6 04-01-2038 04-01-2038 BEO	GOLD1				
60568 0900	07/16/2012 09/14/2009	60,568.09	64,003.44	0.00	-3,435.35	0.00
3128UNCF7	FEDERAL HOME LN MTG CORP POOL #H09070 6 5%	GOLD1				
12108 4600	08-01-2037 BEO					
	07/16/2012 09/06/2007	12,108.46	12,229.42	0.00	-122.96	0.00
3128UNDW9	FEDERAL HOME LN MTG CORP POOL #H09117 6 5%	GOLD1				
943 8200	11-01-2037 BEO					
	07/16/2012 11/20/2007	943.82	958.27	0.00	-14.45	0.00
3128UNEZ1	FEDERAL HOME LN MTG CORP POOL #H09152 6 5%	GOLD1				
33707 5800	02-01-2038 BEO					
	07/16/2012 03/18/2008	33,707.58	34,712.22	0.00	-1,004.64	0.00

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CHICAGO, IL 60680

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Recipient's Tax ID Number: 95-6092354
☒ Corrected 03/22/2013 ☐ 2nd TIN notice

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Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Description	Stock or Other Symbol	Stock or Other Basis	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
3128 UNGV8			FEDERAL HOME LN MTG CORP POOL #H0-9212 5 5%	GOLD1						
13025 9100	07/16/2012	08/11/2010	05-01-2038 BEO		13,025.91	13,848.17	0.00	-822.26	0.00	0.00
31416 CHP4			FNMA POOL #995838 5 5% 05-01-2039 BEO	FNMA						
890403 2000	07/19/2012	01/25/2011			975,443.67	954,400.94	0.00	21,042.73	0.00	0.00
31358 S7A3			FNMA 7 5 11-25-2040	SPCL1						
15585.4900	07/25/2012	03/19/2003			15,585.49	17,019.84	0.00	-1,434.35	0.00	0.00
31371 NCX1			FNMA POOL #256686 6 04-01-2037 BEO	FNMA						
24330 8400	07/25/2012	08/24/2009			24,330.84	25,497.96	0.00	-1,167.12	0.00	0.00
31371 NLU7			FNMA POOL #256939 7% 10-01-2037 BEO	FNMA						
19757 8700	07/25/2012	09/05/2008			19,757.87	20,770.46	0.00	-1,012.59	0.00	0.00
31385 JD21			FNMA POOL #545621 6 5% 05-01-2017 BEO	FNMA						
5254.4000	07/25/2012	05/07/2004			5,254.40	5,531.90	0.00	-277.50	0.00	0.00
31385 W3Z0			FNMA POOL #555316 4 823% 02-01-2013 BEO	FNMA						
107 4400	07/25/2012	02/15/2007			107.44	106.25	0.00	1.19	0.00	0.00
31392 16F0			FNMA SERIES 2001-W3 CLASS-A 7 09-25-2041	CMO1						
1256 0400	07/25/2012	04/30/2003			1,256.04	1,364.77	0.00	-108.73	0.00	0.00
31393 CEY5			FNMA PREASSIGN 00119 6 04-25-2043	CMO1						
1802 1500	07/25/2012	04/15/2003			1,802.15	1,885.78	0.00	-83.63	0.00	0.00

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P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16396
Recipient's Tax ID Number: 95-6092354

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W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN. TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
31402CVV1	FNMA POOL #725228 6% 03-01-2034 BEO	FNMA									
32947 9800	07/25/2012 03/25/2010					32,947.98	35,805.19	0.00	-2,857.21	0.00	0.00
31402RH28	FNMA POOL #735649 6% 12-01-2032 BEO	FNMA									
3643 1200	07/25/2012 11/21/2005					3,643.12	3,676.99	0.00	-33.87	0.00	0.00
31403DDB2	FNMA POOL #745398 6% 06-01-2035 BEO	FNMA									
7150 9100	07/25/2012 02/22/2006					7,150.91	7,220.74	0.00	-69.83	0.00	0.00
31403DT25	FNMA POOL #745869 6% 03-01-2036 BEO	FNMA									
5023 2900	07/25/2012 08/22/2006					5,023.29	5,041.34	0.00	-18.05	0.00	0.00
31410F6B6	FNMA POOL #888366 7% 04-01-2037 BEO	FNMA									
35660.6100	07/25/2012 10/01/2009					35,660.61	38,803.20	0.00	-3,142.59	0.00	0.00
31410F6E0	FNMA POOL #888369 7% DUE 03-01-2037 REG	FNMA									
23294 8600	07/25/2012 09/14/2010					23,294.86	25,900.97	0.00	-2,606.11	0.00	0.00
31410GE90	FNMA POOL #888560 6 11-01-2035 BEO	FNMA									
16803 5700	07/25/2012 06/15/2007					16,803.57	16,471.26	0.00	132.31	0.00	0.00
31410GHG1	FNMA POOL #888631 6% 08-01-2022 BEO	FNMA									
9653 5600	07/25/2012 07/27/2007					9,653.56	9,724.45	0.00	-70.89	0.00	0.00
31410GJS3	FNMA POOL #888673 6% 04-01-2035 BEO	FNMA									
8871 8600	07/25/2012 08/16/2007					8,871.86	8,846.91	0.00	24.95	0.00	0.00

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THE NORTHERN TRUST COMPANY
P O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16396
Recipient's Tax ID Number: 95-6092354
☒ Corrected 03/22/2013 ☐ 2nd TIN notice

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Ref: GL

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400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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Cusip	Description	Stock/Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
31410KEX8	FNMA POOL #889450 6% 03-01-2038 BEO	FNMA									
24024.0700	07/25/2012 08/10/2010					24,024.07	26,129.93	0.00	-2,105.86	0.00	0.00
31410KMT8	FNMA POOL #889670 5 5% 06-01-2023 BEO	FNMA									
27483.2600	07/25/2012 05/29/2008					27,483.26	27,728.03	0.00	-244.77	0.00	0.00
31414J6B4	FNMA POOL #968086 6% 10-01-2022 BEO	FNMA									
17597.9300	07/25/2012 12/04/2008					17,597.93	17,966.39	0.00	-368.46	0.00	0.00
31415MMK8	FNMA POOL #984262 6% 06-01-2023 BEO	FNMA									
18485.1500	07/25/2012 11/25/2008					18,485.15	18,866.41	0.00	-381.26	0.00	0.00
31416B4J4	FNMA POOL #995525 6% 12-01-2038 BEO	FNMA									
19542.0000	07/25/2012 11/10/2010					19,542.00	21,270.25	0.00	-1,728.25	0.00	0.00
31416CHP4	FNMA POOL #995838 5 5% 05-01-2039 BEO	FNMA									
44222.0700	07/25/2012 01/25/2011					44,222.07	47,400.53	0.00	-3,178.46	0.00	0.00
31418M4T6	FNMA POOL #AD0833 6% 01-01-2039 BEO	FNMA									
36074.4700	07/25/2012 02/26/2010					36,074.47	38,329.13	0.00	-2,254.66	0.00	0.00
31418MEC2	FNMA POOL #AD0130 6 5% 08-01-2039 BEO	FNMA									
7177.9200	07/25/2012 09/15/2010					7,177.92	7,812.72	0.00	-634.80	0.00	0.00
31418MRQ7	FNMA POOL #AD0494 5 5% 08-01-2037 BEO	FNMA									
14182.8900	07/25/2012 12/02/2009					14,182.89	15,106.99	0.00	-924.10	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
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Group	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
31419ACN5	FNMA POOL #AE0076 6% 10-01-2039 BEO	FNMA									
58098 7700	07/25/2012 05/26/2010					58,098.77	62,701.28	0.00	-4,602.51	0.00	0.00
31419ADD6	FNMA POOL #AE0099 5 5% 10-01-2036 BEO	FNMA									
15587.2600	07/25/2012 07/13/2010					15,587.26	16,820.85	0.00	-1,233.59	0.00	0.00
31419ADJ3	FNMA POOL #AE0104 5.5% 04-01-2037 BEO	FNMA									
35067 7100	07/25/2012 06/09/2010					35,067.71	37,736.14	0.00	-2,668.43	0.00	0.00
31419AQV2	FNMA POOL #AE0467 5 5% 03-01-2024 BEO	FNMA									
15987 4100	07/25/2012 09/29/2010					15,987.41	17,212.37	0.00	-1,244.96	0.00	0.00
31283H5J0	FEDERAL HOME LN MTG CORP POOL #G01749 5.5% 01-01-2035 BEO	GOLD1									
31574 2300	08/15/2012 06/29/2011					31,574.23	34,317.24	0.00	-2,743.01	0.00	0.00
31283KTH1	FEDERAL HOME LN MTG CORP POOL #G11452 6 5% 04-01-2018 BEO	GOLD1									
8476.2400	08/15/2012 09/17/2003					8,476.24	8,919.92	0.00	-443.68	0.00	0.00
31283KWZ7	FEDERAL HOME LN MTG CORP POOL #G11564 6 5% 08-01-2017 BEO	GOLD1									
8573.1800	08/15/2012 04/30/2004					8,573.18	9,071.50	0.00	-498.32	0.00	0.00
3128CULV6	FEDERAL HOME LN MTG CORP POOL #G30340 6%08-01-2027 BEO	GOLD1									
13238 9700	08/15/2012 09/28/2007					13,238.97	13,345.50	0.00	-106.53	0.00	0.00
3128M5FD7	FEDERAL HOME LN MTG CORP POOL #G0-3464 6% 11-01-2037 BEO	GOLD1									
19956 6300	08/15/2012 12/07/2010					19,956.63	21,621.76	0.00	-1,665.13	0.00	0.00

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Origin	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stock Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
3128M7TX4	FHLMC GOLD G05666 6 04-01-2038 04-01-2038 BEO	GOLD1									
36715 0400	08/15/2012 09/14/2009					36,715.04	38,797.47	0.00	-2,082.43	0.00	0.00
3128M8AV6	FEDERAL HOME LN MTG CORP POOL #G0-6020 5.5% 12-01-2039 BEO	GOLD1									
44733.3400	08/15/2012 07/29/2011					44,733.34	48,521.69	0.00	-3,788.35	0.00	0.00
3128UNCF7	FEDERAL HOME LN MTG CORP POOL #H09070 6 5% 09-01-2037 BEO	GOLD1									
1460.7800	08/15/2012 09/06/2007					1,460.78	1,475.62	0.00	-14.84	0.00	0.00
3128UNDW9	FEDERAL HOME LN MTG CORP POOL #H09117 6 5% 11-01-2037 BEO	GOLD1									
20206.9300	08/15/2012 11/20/2007					20,206.93	20,516.35	0.00	-309.42	0.00	0.00
3128UNEZ1	FEDERAL HOME LN MTG CORP POOL #H09152 6.5% 02-01-2038 BEO	GOLD1									
17156 3000	08/15/2012 03/18/2008					17,156.30	17,667.64	0.00	-511.34	0.00	0.00
3128UNGV8	FEDERAL HOME LN MTG CORP POOL #H0-9212 5 5% 05-01-2038 BEO	GOLD1									
10152.2000	08/15/2012 08/11/2010					10,152.20	10,793.06	0.00	-640.86	0.00	0.00
907833AE7	UNP RR CO 1998 PASS TRST 6.7 DUE 02-23-2019	CMO1									
3250 8400	08/23/2012 07/13/2007					3,250.84	3,388.68	0.00	-117.84	0.00	0.00
31358S7A3	FNMA 7 5 11-25-2040	SPCL1									
7624 4200	08/27/2012 03/19/2003					7,624.42	8,326.10	0.00	-701.68	0.00	0.00
31371NCX1	FNMA POOL #256686 6 04-01-2037 BEO	FNMA									
31416 3300	08/27/2012 08/24/2009					31,416.33	32,923.33	0.00	-1,507.00	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16396
Recipient's Tax ID Number: 95-6092354

☒ Corrected 03/22/2013 ☐ 2nd TIN notice

Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Ref: GL

Sales are listed at Gross Proceeds less commissions and option premiums.

Quantity Sold	Date of Sale or Exchange	Description	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
31371NLU7		FNMA POOL #258939 7% 10-01-2037 BEO		FNMA					
19405.2900	08/27/2012	09/05/2008			19,405.29	20,399.81	0.00	-994.52	0.00
31385JD21		FNMA POOL #545621 6.5% 05-01-2017 BEO		FNMA					
4383.7400	08/27/2012	05/07/2004			4,383.74	4,615.26	0.00	-231.52	0.00
31385W3Z0		FNMA POOL #555316 4.823% 02-01-2013 BEO		FNMA					
100.5500	08/27/2012	02/15/2007			100.55	99.43	0.00	1.12	0.00
3139216F0		FNMA SERIES 2001-W3 CLASS-A 7 09-25-2041		CMO1					
2425.5700	08/27/2012	04/30/2003			2,425.57	2,635.53	0.00	-209.96	0.00
31393CEY5		FNMA PREASSIGN 00119 6 04-25-2043		CMO1					
2489.8800	08/27/2012	04/15/2003			2,489.88	2,605.43	0.00	-115.55	0.00
31402CVV1		FNMA POOL #725228 6% 03-01-2034 BEO		FNMA					
30102.5200	08/27/2012	03/25/2010			30,102.52	32,712.97	0.00	-2,610.45	0.00
31402RH28		FNMA POOL #735649 6% 12-01-2032 BEO		FNMA					
3660.7700	08/27/2012	11/21/2005			3,660.77	3,694.80	0.00	-34.03	0.00
31403DDB2		FNMA POOL #745398 6% 06-01-2035 BEO		FNMA					
6192.3100	08/27/2012	02/22/2006			6,192.31	6,252.78	0.00	-60.47	0.00
31403DT25		FNMA POOL #745869 6% 03-01-2036 BEO		FNMA					
9662.5400	08/27/2012	08/22/2006			9,662.54	9,697.26	0.00	-34.72	0.00

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THE NORTHERN TRUST COMPANY
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31410F6B6	FNMA POOL #888366 7% 04-01-2037 BEO	FNMA									
34284.1700	08/27/2012 10/01/2009					34,284.17	37,305.46	0.00	-3,021.29	0.00	0.00
31410F6E0	FNMA POOL #888369 7% DUE 03-01-2037 REG	FNMA									
21811.5200	08/27/2012 09/14/2010					21,811.52	24,251.68	0.00	-2,440.16	0.00	0.00
31410GE90	FNMA POOL #888560 6 11-01-2035 BEO	FNMA									
15443.9900	08/27/2012 06/15/2007					15,443.99	15,320.92	0.00	123.07	0.00	0.00
31410GHG1	FNMA POOL #888631 6% 08-01-2022 BEO	FNMA									
6998.3800	08/27/2012 07/27/2007					6,998.38	7,049.77	0.00	-51.39	0.00	0.00
31410GJS3	FNMA POOL #888673 6% 04-01-2035 BEO	FNMA									
7566.0900	08/27/2012 08/16/2007					7,566.09	7,544.81	0.00	21.28	0.00	0.00
31410KMT8	FNMA POOL #889670 5 5% 06-01-2023 BEO	FNMA									
20003.0700	08/27/2012 05/29/2008					20,003.07	20,181.22	0.00	-178.15	0.00	0.00
31414J6B4	FNMA POOL #968066 6% 10-01-2022 BEO	FNMA									
17272.9700	08/27/2012 12/04/2008					17,272.97	17,634.62	0.00	-361.65	0.00	0.00
31415MMK8	FNMA POOL #984262 6% 08-01-2023 BEO	FNMA									
18160.8900	08/27/2012 11/25/2008					18,160.89	18,535.46	0.00	-374.57	0.00	0.00
31416B4J4	FNMA POOL #985525 6% 12-01-2038 BEO	FNMA									
16637.9400	08/27/2012 11/10/2010					16,637.94	18,109.36	0.00	-1,471.42	0.00	0.00

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THE NORTHERN TRUST COMPANY
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31416CHP4	FNMA POOL #995838 5.5% 05-01-2039 BEO	FNMA									
49588 0500	08/27/2012 01/25/2011					49,586.05	53,150.05	0.00	-3,564.00	0.00	0.00
31418MEC2	FNMA POOL #AD0130 6.5% 08-01-2039 BEO	FNMA									
8018 8200	08/27/2012 09/15/2010					8,018.82	8,727.98	0.00	-709.16	0.00	0.00
31418MRQ7	FNMA POOL #AD0494 5.5% 08-01-2037 BEO	FNMA									
13121 7700	08/27/2012 12/02/2009					13,121.77	13,976.74	0.00	-854.97	0.00	0.00
31419ADD6	FNMA POOL #AE0099 5.5% 10-01-2036 BEO	FNMA									
17532 7300	08/27/2012 07/13/2010					17,532.73	18,920.28	0.00	-1,387.55	0.00	0.00
31419ADJ3	FNMA POOL #AE0104 5.5% 04-01-2037 BEO	FNMA									
33997.9900	08/27/2012 06/09/2010					33,997.99	36,585.02	0.00	-2,587.03	0.00	0.00
31419AQV2	FNMA POOL #AE0467 5.5% 03-01-2024 BEO	FNMA									
15662 1900	08/27/2012 09/29/2010					15,662.19	16,883.35	0.00	-1,221.16	0.00	0.00
31416CHP4	FNMA POOL #995838 5.5% 05-01-2039 BEO	FNMA									
.0100	08/28/2012 01/25/2011					0.01	0.01	0.00	0.00	0.00	0.00
06051GDZ9	BANK AMER CORP 7.625% DUE 06-01-2019										
200000.0000	09/08/2012 Various					244,618.00	222,959.20	0.00	21,658.80	0.00	0.00
06739GAR0	BARCLAYS BK PLC 5.125% DUE 01-08-2020										
150000.0000	09/06/2012 Various					163,548.00	145,075.85	0.00	18,472.15	0.00	0.00

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08051GDZ9	BANK AMER CORP 7.625% DUE 06-01-2019										
200000.0000	09/11/2012 Various					247,012.00	219,671.41	0.00	27,340.59	0.00	0.00
31283H5J0	FEDERAL HOME LN MTG CORP POOL #G01749 5 5%	GOLD1									
34077 2300	01-01-2035 BEO 09/17/2012 06/29/2011					34,077.23	37,037.69	0.00	-2,960.46	0.00	0.00
31283KTH1	FEDERAL HOME LN MTG CORP POOL #G11452 6.5%	GOLD1									
8505 8400	04-01-2018 BEO 09/17/2012 09/17/2003					8,505.84	8,951.07	0.00	-445.23	0.00	0.00
31283KWZ7	FEDERAL HOME LN MTG CORP POOL #G11564 6 5%	GOLD1									
11903.9400	08-01-2017 BEO 09/17/2012 04/30/2004					11,903.94	12,595.86	0.00	-691.92	0.00	0.00
3128CULV6	FEDERAL HOME LN MTG CORP POOL #G30340	GOLD1									
10893 3400	6%08-01-2027 BEO 09/17/2012 09/28/2007					10,893.34	10,981.00	0.00	-87.66	0.00	0.00
3128M5FD7	FEDERAL HOME LN MTG CORP POOL #G0-3464 6%	GOLD1									
18045 3700	11-01-2037 BEO 09/17/2012 12/07/2010					18,045.37	19,551.03	0.00	-1,505.66	0.00	0.00
3128M7TX4	FHLMC GOLD G05668 6 04-01-2038 04-01-2038 BEO	GOLD1									
21283.7900	09/17/2012 09/14/2009					21,263.79	22,469.85	0.00	-1,206.06	0.00	0.00
3128M8AV6	FEDERAL HOME LN MTG CORP POOL #G0-6020 5 5%	GOLD1									
49625.5800	12-01-2039 BEO 09/17/2012 07/29/2011					49,625.58	53,828.25	0.00	-4,202.67	0.00	0.00
3128UNCF7	FEDERAL HOME LN MTG CORP POOL #H09070 6 5%	GOLD1									
5815 2100	09-01-2037 BEO 09/17/2012 09/06/2007					5,815.21	5,874.27	0.00	-59.06	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
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3128UNDW9	11-01-2037 BEO	FEDERAL HOME LN MTG CORP POOL #H09117 6 5%		GOLD1					
15763 3500	09/17/2012	11/20/2007		15,763.35	16,004.72	0.00	-241.37	0.00	0.00
3128UNEZ1	02-01-2038 BEO	FEDERAL HOME LN MTG CORP POOL #H09152 6 5%		GOLD1					
11668 1400	09/17/2012	03/18/2008		11,668.14	12,015.91	0.00	-347.77	0.00	0.00
3128UNGV8	05-01-2038 BEO	FEDERAL HOME LN MTG CORP POOL #H0-9212 5 5%		GOLD1					
11130 0300	09/17/2012	08/11/2010		11,130.03	11,832.61	0.00	-702.58	0.00	0.00
78010XAK7		ROYAL BK SCOTLAND 6.125% DUE 01-11-2021							
275000 0000	09/18/2012	Various		324,060.00	274,825.25	0.00	49,234.75	0.00	0.00
06739GAR0		BARCLAYS BK PLC 5.125% DUE 01-08-2020							
100000 0000	09/20/2012	08/09/2010		112,148.00	96,081.08	0.00	16,066.92	0.00	0.00
31358S7A3		FNMA 7 5 11-25-2040		SPCL1					
4139 9300	09/25/2012	03/19/2003		4,139.93	4,520.93	0.00	-381.00	0.00	0.00
31371NCX1		FNMA POOL #256686 6 04-01-2037 BEO		FNMA					
18544 6100	09/25/2012	08/24/2009		18,544.61	19,434.17	0.00	-889.56	0.00	0.00
31371NLU7		FNMA POOL #256939 7% 10-01-2037 BEO		FNMA					
14401 3400	09/25/2012	09/05/2008		14,401.34	15,139.41	0.00	-738.07	0.00	0.00
31385JD21		FNMA POOL #545621 6 5% 05-01-2017 BEO		FNMA					
4883 7100	09/25/2012	05/07/2004		4,883.71	5,141.63	0.00	-257.92	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
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31385W320			FNMA POOL #555316 4 823% 02-01-2013 BEO	FNMA						
28910 7300	09/25/2012	02/15/2007			28,910.73	28,590.00	0.00	320.73	0.00	0.00
3138EG2L7			FEDERAL NATL MTG ASSN GTD MTG POOL #AL0778 6.5% 01-01-2049 BEO	FNMA						
9633.7600	09/25/2012	09/14/2011			9,633.76	10,681.43	0.00	-1,047.67	0.00	0.00
3139216F0			FNMA SERIES 2001-W3 CLASS-A 7 09-25-2041	CMO1						
1251 4800	09/25/2012	04/30/2003			1,251.48	1,359.81	0.00	-108.33	0.00	0.00
31393CEY5			FNMA PREASSIGN 00119 6 04-25-2043	CMO1						
3243.3100	09/25/2012	04/15/2003			3,243.31	3,393.82	0.00	-150.51	0.00	0.00
31402CVV1			FNMA POOL #725228 6% 03-01-2034 BEO	FNMA						
34819.6500	09/25/2012	03/25/2010			34,819.65	37,839.17	0.00	-3,019.52	0.00	0.00
31402RH28			FNMA POOL #735649 6% 12-01-2032 BEO	FNMA						
2990.1500	09/25/2012	11/21/2005			2,990.15	3,017.95	0.00	-27.80	0.00	0.00
31403DDB2			FNMA POOL #745398 6% 06-01-2035 BEO	FNMA						
6973.8300	09/25/2012	02/22/2006			6,973.83	7,041.93	0.00	-68.10	0.00	0.00
31403DT25			FNMA POOL #745869 6% 03-01-2036 BEO	FNMA						
5521.2900	09/25/2012	08/22/2006			5,521.29	5,541.13	0.00	-19.84	0.00	0.00
31410F6E0			FNMA POOL #888369 7% DUE 03-01-2037 REG	FNMA						
21327 9800	09/25/2012	09/14/2010			21,327.98	23,714.05	0.00	-2,386.07	0.00	0.00

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31410GE90	FNMA POOL #888560 6 11-01-2035 BEO	FNMA									
16084 5900	09/25/2012 06/15/2007					16,084.59	15,956.42	0.00	128.17	0.00	0.00
31410GHG1	FNMA POOL #888631 6% 08-01-2022 BEO	FNMA									
7136.9600	09/25/2012 07/27/2007					7,136.96	7,189.37	0.00	-52.41	0.00	0.00
31410GJS3	FNMA POOL #888673 6% 04-01-2035 BEO	FNMA									
8205.1500	09/25/2012 08/16/2007					8,205.15	8,182.07	0.00	23.08	0.00	0.00
31410KMT8	FNMA POOL #889670 5.5% 06-01-2023 BEO	FNMA									
24509.4200	09/25/2012 05/29/2008					24,509.42	24,727.71	0.00	-218.29	0.00	0.00
31414J6B4	FNMA POOL #968066 6% 10-01-2022 BEO	FNMA									
15649 5700	09/25/2012 12/04/2008					15,649.57	15,977.23	0.00	-327.66	0.00	0.00
31415MMK8	FNMA POOL #984262 6% 06-01-2023 BEO	FNMA									
23343 5600	09/25/2012 11/25/2008					23,343.56	23,825.02	0.00	-481.46	0.00	0.00
31416B4J4	FNMA POOL #995525 6% 12-01-2038 BEO	FNMA									
10248 5000	09/25/2012 11/10/2010					10,248.50	11,154.85	0.00	-906.35	0.00	0.00
31418MEC2	FNMA POOL #AD0130 6.5% 08-01-2039 BEO	FNMA									
8197 7400	09/25/2012 09/15/2010					8,197.74	8,922.73	0.00	-724.99	0.00	0.00
31418MRQ7	FNMA POOL #AD0494 5 5% 08-01-2037 BEO	FNMA									
17809 9500	09/25/2012 12/02/2009					17,809.95	18,757.35	0.00	-1,147.40	0.00	0.00

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31419ADD6			FNMA POOL #AE0099 5.5% 10-01-2036 BEO	FNMA					
20849.4300	09/25/2012	07/13/2010			20,849.43	22,499.47	0.00	-1,650.04	0.00
31419ADJ3			FNMA POOL #AE0104 5.5% 04-01-2037 BEO	FNMA					
40327.7900	09/25/2012	06/09/2010			40,327.79	43,396.48	0.00	-3,068.69	0.00
31419AQV2			FNMA POOL #AE0467 5.5% 03-01-2024 BEO	FNMA					
15456.2200	09/25/2012	09/29/2010			15,456.22	16,661.32	0.00	-1,205.10	0.00
31410F686			FNMA POOL #888366 7% 04-01-2037 BEO	FNMA					
27731.7300	09/26/2012	10/01/2009			27,731.73	30,175.59	0.00	-2,443.86	0.00
83182CMX8			SBA GTD DEV PARTN CTF SER 2003-20-D PARTN CTF 4.76 DUE 04-01-23 REG						
79332.3000	10/01/2012	04/08/2003			79,332.30	79,332.30	0.00	0.00	0.00
31283H5J0			FEDERAL HOME LN MTG CORP POOL #G01749 5.5% 01-01-2035 BEO	GOLD1					
32824.2300	10/15/2012	06/29/2011			32,824.23	35,675.84	0.00	-2,851.61	0.00
31283KTH1			FEDERAL HOME LN MTG CORP POOL #G11452 6.5% 04-01-2018 BEO	GOLD1					
6982.6800	10/15/2012	09/17/2003			6,982.68	7,348.18	0.00	-365.50	0.00
31283KWZ7			FEDERAL HOME LN MTG CORP POOL #G11564 6.5% 08-01-2017 BEO	GOLD1					
9178.7700	10/15/2012	04/30/2004			9,178.77	9,712.29	0.00	-533.52	0.00
3128CULV6			FEDERAL HOME LN MTG CORP POOL #G30340 6%08-01-2027 BEO	GOLD1					
10464.5300	10/15/2012	09/28/2007			10,464.53	10,548.74	0.00	-84.21	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16396
Recipient's Tax ID Number: 95-6092354
☒ Corrected 03/22/2013 ☐ 2nd TIN notice

Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Ref. GL

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3128M5FD7	FEDERAL HOME LN MTG CORP POOL #G0-3464 6%	GOLD1									
21507.7500	11-01-2037 BEO					21,507.75	23,302.30	0.00	-1,794.55	0.00	0.00
	10/15/2012 12/07/2010										
3128M7TX4	FHLMC GOLD G05686 6 04-01-2038 04-01-2038 BEO	GOLD1									
20795.3200	10/15/2012 09/14/2009					20,795.32	21,974.80	0.00	-1,179.48	0.00	0.00
3128M8AV6	FEDERAL HOME LN MTG CORP POOL #G0-8020 5 5%	GOLD1									
37221.1200	12-01-2039 BEO					37,221.12	40,373.28	0.00	-3,152.16	0.00	0.00
	10/15/2012 07/29/2011										
3128UNCF7	FEDERAL HOME LN MTG CORP POOL #H09070 6 5%	GOLD1									
1848.8100	09-01-2037 BEO					1,848.81	1,867.59	0.00	-18.78	0.00	0.00
	10/15/2012 09/06/2007										
3128UNDW9	FEDERAL HOME LN MTG CORP POOL #H09117 6 5%	GOLD1									
10864.2400	11-01-2037 BEO					10,864.24	11,030.60	0.00	-166.36	0.00	0.00
	10/15/2012 11/20/2007										
3128UNEZ1	FEDERAL HOME LN MTG CORP POOL #H09152 6.5%	GOLD1									
24720.7800	02-01-2038 BEO					24,720.78	25,457.57	0.00	-736.79	0.00	0.00
	10/15/2012 03/18/2008										
3128UNGV8	FEDERAL HOME LN MTG CORP POOL #H0-9212 5.5%	GOLD1									
6358.0700	05-01-2038 BEO					6,358.07	6,759.42	0.00	-401.35	0.00	0.00
	10/15/2012 08/11/2010										
31358S7A3	FNMA 7 5 11-25-2040	SPCL1									
2437.3300	10/25/2012 03/19/2003					2,437.33	2,661.84	0.00	-224.31	0.00	0.00
31371NCX1	FNMA POOL #256686 6 04-01-2037 BEO	FNMA									
20497.8400	10/25/2012 08/24/2009					20,497.84	21,481.10	0.00	-983.26	0.00	0.00

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31371NLU7	FNMA POOL #256939 7% 10-01-2037 BEO	FNMA		10/25/2012	09/05/2008	7,425.91	7,806.49	0.00	-380.58	0.00	0.00
7425.9100											
31385JD21	FNMA POOL #545621 8 5% 05-01-2017 BEO	FNMA		10/25/2012	05/07/2004	5,104.49	5,374.07	0.00	-269.58	0.00	0.00
5104.4900											
31385W3Z0	FNMA POOL #555316 4.823% 02-01-2013 BEO	FNMA		10/25/2012	02/15/2007	46.84	46.32	0.00	0.52	0.00	0.00
46.8400											
3138EG2L7	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0778 6 5% 01-01-2049 BEO	FNMA		10/25/2012	09/14/2011	4,155.55	4,607.47	0.00	-451.92	0.00	0.00
4155.5500											
3138EHAB8	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0901 6% 07-01-2038 BEO	FNMA		10/25/2012	09/28/2011	17,028.39	18,617.29	0.00	-1,590.90	0.00	0.00
17028.3900											
3139216F0	FNMA SERIES 2001-W3 CLASS-A 7 09-25-2041	CMO1		10/25/2012	04/30/2003	3,502.79	3,806.00	0.00	-303.21	0.00	0.00
3502.7900											
31393CEY5	FNMA PREASSIGN 00119 6 04-25-2043	CMO1		10/25/2012	04/15/2003	5,330.29	5,577.65	0.00	-247.36	0.00	0.00
5330.2900											
31402CVV1	FNMA POOL #725228 6% 03-01-2034 BEO	FNMA		10/25/2012	03/25/2010	31,587.96	34,327.23	0.00	-2,739.27	0.00	0.00
31587.9600											
31402RH28	FNMA POOL #735649 6% 12-01-2032 BEO	FNMA		10/25/2012	11/21/2005	4,325.26	4,365.47	0.00	-40.21	0.00	0.00
4325.2600											

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Quantity	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
31403DDB2	10/25/2012	02/22/2006	FNMA POOL #745398 6% 06-01-2035 BEO	FNMA	6,003.53	6,062.16	0.00	-58.63	0.00
6003 5300	10/25/2012	02/22/2006	FNMA POOL #745869 6% 03-01-2036 BEO	FNMA	4,366.96	4,382.65	0.00	-15.69	0.00
4386.9600	10/25/2012	08/22/2006	FNMA POOL #888366 7% 04-01-2037 BEO	FNMA	26,548.03	28,887.57	0.00	-2,339.54	0.00
26548.0300	10/25/2012	10/01/2009	FNMA POOL #888369 7% DUE 03-01-2037 REG	FNMA	13,498.21	15,006.10	0.00	-1,509.89	0.00
13498.2100	10/25/2012	09/14/2010	FNMA POOL #888560 6 11-01-2035 BEO	FNMA	13,932.44	13,821.42	0.00	111.02	0.00
13932.4400	10/25/2012	06/15/2007	FNMA POOL #888631 6% 08-01-2022 BEO	FNMA	8,132.32	8,192.04	0.00	-59.72	0.00
8132.3200	10/25/2012	07/27/2007	FNMA POOL #888673 6% 04-01-2035 BEO	FNMA	7,030.84	7,011.07	0.00	19.77	0.00
7030.8400	10/25/2012	08/16/2007	FNMA POOL #889670 5 5% 06-01-2023 BEO	FNMA	19,198.53	19,369.52	0.00	-170.99	0.00
31410KMT8	10/25/2012	05/29/2008	FNMA POOL #988086 6% 10-01-2022 BEO	FNMA	14,818.76	15,129.03	0.00	-310.27	0.00
19198.5300	10/25/2012	12/04/2008							
31414J6B4									
14818.7600									

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stock Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld		
31415MMK8	FNMA POOL #984282 6% 06-01-2023 BEO		FNMA							
18316.1300	10/25/2012	11/25/2008	18,316.13	18,693.90	0.00	-377.77	0.00	0.00		
31416B4J4	FNMA POOL #995525 6% 12-01-2038 BEO		FNMA							
13928.8300	10/25/2012	11/10/2010	13,928.83	15,160.66	0.00	-1,231.83	0.00	0.00		
31418MEC2	FNMA POOL #AD0130 6 5% 08-01-2039 BEO		FNMA							
6353.8500	10/25/2012	09/15/2010	6,353.85	6,915.77	0.00	-561.92	0.00	0.00		
31418MRQ7	FNMA POOL #AD0494 5.5% 08-01-2037 BEO		FNMA							
14258.7800	10/25/2012	12/02/2009	14,258.78	15,187.83	0.00	-929.05	0.00	0.00		
31419ADD6	FNMA POOL #AE0099 5.5% 10-01-2036 BEO		FNMA							
18739.2700	10/25/2012	07/13/2010	18,739.27	20,222.31	0.00	-1,483.04	0.00	0.00		
31419ADJ3	FNMA POOL #AE0104 5 5% 04-01-2037 BEO		FNMA							
35736.5900	10/25/2012	06/09/2010	35,736.59	38,455.92	0.00	-2,719.33	0.00	0.00		
31419AQV2	FNMA POOL #AE0467 5.5% 03-01-2024 BEO		FNMA							
18764.8300	10/25/2012	09/29/2010	18,764.83	20,227.90	0.00	-1,463.07	0.00	0.00		
31419AVJ3	FNMA POOL #AE0616 6% 03-01-2040 BEO		FNMA							
5695.5200	10/25/2012	10/18/2011	5,695.52	6,242.82	0.00	-547.30	0.00	0.00		
912828PD8	UNITED STATES TREAS NTS DTD .375% DUE 10-31-2012 REG									
1100000.0000	10/31/2012	08/31/2011	1,100,000.00	1,102,750.00	0.00	-2,750.00	0.00	0.00		

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83162CQG1	SMALL BUSINESS ADMIN GTD DEV PARTN CTF 2006-20E			SBA1					
25411.0900	PARTN CTF 0% DUE 05-01-2026 REG	11/01/2012	05/09/2006		25,411.09	25,411.09	0.00	0.00	0.00
31283H5J0	FEDERAL HOME LN MTG CORP POOL #G01749 5.5%			GOLD1					
39280.5300	01-01-2035 BEO	11/15/2012	06/29/2011		39,280.53	42,693.03	0.00	-3,412.50	0.00
31283KTH1	FEDERAL HOME LN MTG CORP POOL #G11452 6.5%			GOLD1					
7926.5200	04-01-2018 BEO	11/15/2012	09/17/2003		7,926.52	8,341.42	0.00	-414.90	0.00
31283KWZ7	FEDERAL HOME LN MTG CORP POOL #G11564 6.5%			GOLD1					
13444.3300	08-01-2017 BEO	11/15/2012	04/30/2004		13,444.33	14,225.78	0.00	-781.45	0.00
3128CULV6	FEDERAL HOME LN MTG CORP POOL #G30340			GOLD1					
14040.2500	6%08-01-2027 BEO	11/15/2012	09/28/2007		14,040.25	14,153.23	0.00	-112.98	0.00
3128M5FD7	FEDERAL HOME LN MTG CORP POOL #G0-3464 6%			GOLD1					
22409.6300	11-01-2037 BEO	11/15/2012	12/07/2010		22,409.63	24,279.43	0.00	-1,869.80	0.00
3128M7TX4	FHLMC GOLD G05666 6 04-01-2038 04-01-2038 BEO			GOLD1					
29933.8600		11/15/2012	09/14/2009		29,933.86	31,631.67	0.00	-1,697.81	0.00
3128M8AV6	FEDERAL HOME LN MTG CORP POOL #G0-6020 5.5%			GOLD1					
42790.8000	12-01-2039 BEO	11/15/2012	07/29/2011		42,790.80	46,414.65	0.00	-3,623.85	0.00
3128UNC7	FEDERAL HOME LN MTG CORP POOL #H09070 6.5%			GOLD1					
7112.3700	09-01-2037 BEO	11/15/2012	09/06/2007		7,112.37	7,184.60	0.00	-72.23	0.00

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3128UNDW9	FEDERAL HOME LN MTG CORP POOL #H09117 6.5%	11-01-2037 BEO		GOLD1					
12905 9300		11/15/2012	11/20/2007		12,905.93	13,103.55	0.00	-197.62	0.00
3128UNEZ1	FEDERAL HOME LN MTG CORP POOL #H09152 6.5%	02-01-2038 BEO		GOLD1					
29213 4900		11/15/2012	03/18/2008		29,213.49	30,084.19	0.00	-870.70	0.00
3128UNGV8	FEDERAL HOME LN MTG CORP POOL #H0-9212 5.5%	05-01-2038 BEO		GOLD1					
9433 5500		11/15/2012	08/11/2010		9,433.55	10,029.04	0.00	-595.49	0.00
31358S7A3	FNMA 7.5 11-25-2040			SPCL1					
11475 0700		11/26/2012	03/19/2003		11,475.07	12,531.14	0.00	-1,056.07	0.00
31371NCX1	FNMA POOL #256686 6.04-01-2037 BEO			FNMA					
41732 1100		11/26/2012	08/24/2009		41,732.11	43,733.95	0.00	-2,001.84	0.00
31371NLU7	FNMA POOL #256939 7% 10-01-2037 BEO			FNMA					
19659 1600		11/26/2012	09/05/2008		19,659.16	20,666.69	0.00	-1,007.53	0.00
31385JD21	FNMA POOL #545621 6.5% 05-01-2017 BEO			FNMA					
5509 5100		11/26/2012	05/07/2004		5,509.51	5,800.48	0.00	-290.97	0.00
31385W3Z0	FNMA POOL #555316 4.823% 02-01-2013 BEO			FNMA					
44 2100		11/26/2012	02/15/2007		44.21	43.72	0.00	0.49	0.00
3138EG2L7	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0778 6.5%	01-01-2049 BEO		FNMA					
5027 3900		11/26/2012	09/14/2011		5,027.39	5,574.12	0.00	-546.73	0.00

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3138EHA88	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0901 6% 07-01-2038 BEO	FNMA	18879.4800	11/26/2012	09/28/2011	18,879.48	20,643.53	0.00	-1,764.05	0.00
3139216F0	FNMA SERIES 2001-W3 CLASS-A 7 09-25-2041	CMO1	1855.8500	11/26/2012	04/30/2003	1,855.85	2,016.50	0.00	-160.65	0.00
31393CEY5	FNMA PREASSIGN 00119 6 04-25-2043	CMO1	5102.0300	11/26/2012	04/15/2003	5,102.03	5,338.80	0.00	-236.77	0.00
31402CVV1	FNMA POOL #725228 6% 03-01-2034 BEO	FNMA	31266.7000	11/26/2012	03/25/2010	31,266.70	33,978.11	0.00	-2,711.41	0.00
31402RH28	FNMA POOL #735649 6% 12-01-2032 BEO	FNMA	3619.5700	11/26/2012	11/21/2005	3,619.57	3,653.22	0.00	-33.65	0.00
31403DDB2	FNMA POOL #745398 6% 06-01-2035 BEO	FNMA	7166.0400	11/26/2012	02/22/2006	7,166.04	7,236.02	0.00	-69.98	0.00
31403DT25	FNMA POOL #745869 6% 03-01-2036 BEO	FNMA	7646.2300	11/26/2012	08/22/2006	7,646.23	7,673.71	0.00	-27.48	0.00
31410F6B6	FNMA POOL #888366 7% 04-01-2037 BEO	FNMA	31637.3800	11/26/2012	10/01/2009	31,637.38	34,425.42	0.00	-2,788.04	0.00
31410F6E0	FNMA POOL #888369 7% DUE 03-01-2037 REG	FNMA	17362.0600	11/26/2012	09/14/2010	17,362.06	19,304.44	0.00	-1,942.38	0.00

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31410GE90	FNMA POOL #888560 6 11-01-2035 BEO			FNMA						
15368 3300		11/26/2012	06/15/2007		15,368.33	15,243.88	0.00	122.45	0.00	0.00
31410GHG1	FNMA POOL #888631 6% 08-01-2022 BEO			FNMA						
8971.7900		11/26/2012	07/27/2007		8,971.79	9,037.68	0.00	-65.89	0.00	0.00
31410GJS3	FNMA POOL #888673 6% 04-01-2035 BEO			FNMA						
8841 8500		11/26/2012	08/16/2007		8,841.85	8,816.98	0.00	24.87	0.00	0.00
31410KMT8	FNMA POOL #889670 5 5% 06-01-2023 BEO			FNMA						
25565 1700		11/26/2012	05/29/2008		25,565.17	25,792.86	0.00	-227.69	0.00	0.00
31414J6B4	FNMA POOL #968066 6% 10-01-2022 BEO			FNMA						
18604 0700		11/26/2012	12/04/2008		18,604.07	18,993.59	0.00	-389.52	0.00	0.00
31415MMK8	FNMA POOL #984262 6% 06-01-2023 BEO			FNMA						
18719 1100		11/26/2012	11/25/2008		18,719.11	19,105.19	0.00	-386.08	0.00	0.00
31415Y4H9	FNMA POOL #993724 6% 08-01-2038 BEO			FNMA						
13854.8700		11/26/2012	10/26/2011		13,854.87	15,184.07	0.00	-1,329.20	0.00	0.00
31416B4J4	FNMA POOL #995525 6% 12-01-2038 BEO			FNMA						
16530 8600		11/26/2012	11/10/2010		16,530.86	17,992.81	0.00	-1,461.95	0.00	0.00
31418MEC2	FNMA POOL #AD0130 6 5% 08-01-2039 BEO			FNMA						
7471 7400		11/26/2012	09/15/2010		7,471.74	8,132.52	0.00	-660.78	0.00	0.00

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16396
Recipient's Tax ID Number: 95-6092354

☒ Corrected 03/22/2013 ☐ 2nd TIN notice

Recipient's Name and Address: Ref: GL
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Quantity Sold	Description Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
31418MRQ7	FNMA POOL #AD0494 5 5% 08-01-2037 BEO		FNMA					
16685 8000	11/26/2012	12/02/2009		16,685.80	17,772.98	0.00	-1,087.18	0.00
31419ADD6	FNMA POOL #AE0099 5.5% 10-01-2036 BEO		FNMA					
21067 1500	11/26/2012	07/13/2010		21,067.15	22,734.42	0.00	-1,667.27	0.00
31419ADJ3	FNMA POOL #AE0104 5.5% 04-01-2037 BEO		FNMA					
36412 7000	11/26/2012	06/09/2010		36,412.70	39,183.48	0.00	-2,770.78	0.00
31419AQV2	FNMA POOL #AE0467 5 5% 03-01-2024 BEO		FNMA					
17354 5200	11/26/2012	09/29/2010		17,354.52	18,707.63	0.00	-1,353.11	0.00
31419AVJ3	FNMA POOL #AE0616 6% 03-01-2040 BEO		FNMA					
8429 0200	11/26/2012	10/18/2011		8,429.02	9,239.00	0.00	-809.98	0.00
83162CNA7	SMALL BUSINESS ADMIN GTD DEV PARTN CTF SER		CMO1					
49268 0000	2003-20 CL F 4.07 DUE 06-01-2023 REG	12/03/2012 06/03/2003		49,268.00	49,268.00	0.00	0.00	0.00
31283H5J0	FEDERAL HOME LN MTG CORP POOL #G01749 5 5%		GOLD1					
33720 3900	01-01-2035 BEO	12/17/2012 06/29/2011		33,720.39	36,649.85	0.00	-2,929.46	0.00
31283KTH1	FEDERAL HOME LN MTG CORP POOL #G11452 6 5%		GOLD1					
9491.1200	04-01-2018 BEO	12/17/2012 09/17/2003		9,491.12	9,987.92	0.00	-496.80	0.00
31283KWZ7	FEDERAL HOME LN MTG CORP POOL #G11564 6 5%		GOLD1					
8424 3400	08-01-2017 BEO	12/17/2012 04/30/2004		8,424.34	8,914.00	0.00	-489.66	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16396
Recipient's Tax ID Number: 95-6092354

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WEALTH & TAX ADVISORY SERVICES, INC
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3128CULV6	FEDERAL HOME LN MTG CORP POOL #G30340	GOLD1	9543.7800	12/17/2012	09/28/2007	9,543.78	9,620.58	0.00	-76.80	0.00	0.00
3128M5FD7	FEDERAL HOME LN MTG CORP POOL #G0-3464 6%	GOLD1	23458.2800	12/17/2012	12/07/2010	23,458.28	25,415.58	0.00	-1,957.30	0.00	0.00
3128M7TX4	FHLMC GOLD G05666 6 04-01-2038 04-01-2038 BEO	GOLD1	40187.2300	12/17/2012	09/14/2009	40,187.23	42,466.60	0.00	-2,279.37	0.00	0.00
3128M8AV6	FEDERAL HOME LN MTG CORP POOL #G0-6020 5.5%	GOLD1	38549.8700	12/17/2012	07/29/2011	38,549.87	41,814.56	0.00	-3,264.69	0.00	0.00
3128UNC7	FEDERAL HOME LN MTG CORP POOL #H09070 6.5%	GOLD1	10020.6600	12/17/2012	09/06/2007	10,020.66	10,122.43	0.00	-101.77	0.00	0.00
3128UNDW9	FEDERAL HOME LN MTG CORP POOL #H09117 6.5%	GOLD1	5455.7100	12/17/2012	11/20/2007	5,455.71	5,539.25	0.00	-83.54	0.00	0.00
3128UNEZ1	FEDERAL HOME LN MTG CORP POOL #H09152 8 5%	GOLD1	21871.4300	12/17/2012	03/18/2008	21,871.43	22,523.30	0.00	-651.87	0.00	0.00
3128UNGV8	FEDERAL HOME LN MTG CORP POOL #H0-9212 5 5%	GOLD1	6155.8800	12/17/2012	08/11/2010	6,155.88	6,544.47	0.00	-388.59	0.00	0.00
31358S7A3	FNMA 7.5 11-25-2040	SPCL1	1773.3900	12/28/2012	03/19/2003	1,773.39	1,936.60	0.00	-163.21	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16396
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W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN. TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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31371NCX1	FNMA POOL #256686 6 04-01-2037 BEO	FNMA									
48258 1100	12/26/2012 08/24/2009					48,258.11	50,572.99	0.00	-2,314.88	0.00	0.00
31371NLU7	FNMA POOL #256939 7% 10-01-2037 BEO	FNMA									
27784 3200	12/26/2012 09/05/2008					27,784.32	29,208.27	0.00	-1,423.95	0.00	0.00
31385JD21	FNMA POOL #545621 6.5% 05-01-2017 BEO	FNMA									
4826 7100	12/26/2012 05/07/2004					4,826.71	5,081.62	0.00	-254.91	0.00	0.00
31385W320	FNMA POOL #555316 4 823% 02-01-2013 BEO	FNMA									
47.2700	12/26/2012 02/15/2007					47.27	46.75	0.00	0.52	0.00	0.00
3138EG2L7	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0778 6 5% 01-01-2049 BEO	FNMA									
7735 1700	12/26/2012 09/14/2011					7,735.17	8,576.37	0.00	-841.20	0.00	0.00
3138EHAB8	FEDERAL NATL MTG ASSN GTD MTG POOL #AL0901 6% 07-01-2038 BEO	FNMA									
13549 9400	12/26/2012 09/28/2011					13,549.94	14,816.01	0.00	-1,266.07	0.00	0.00
3139216F0	FNMA SERIES 2001-W3 CLASS-A 7 09-25-2041	CMO1									
1928.2900	12/26/2012 04/30/2003					1,928.29	2,095.21	0.00	-166.92	0.00	0.00
31393CEY5	FNMA PREASSIGN 00119 6 04-25-2043	CMO1									
2343 2800	12/26/2012 04/15/2003					2,343.28	2,452.02	0.00	-108.74	0.00	0.00
31402CVV1	FNMA POOL #725228 6% 03-01-2034 BEO	FNMA									
27871 3100	12/26/2012 03/25/2010					27,871.31	30,288.28	0.00	-2,416.97	0.00	0.00

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31402RH28	FNMA POOL #735649 6% 12-01-2032 BEO	FNMA									
3222 6200	12/26/2012 11/21/2005					3,222.62	3,252.58	0.00	-29.96	0.00	0.00
31403DDB2	FNMA POOL #745398 6% 06-01-2035 BEO	FNMA									
5120 9500	12/26/2012 02/22/2006					5,120.95	5,170.96	0.00	-50.01	0.00	0.00
31403DT25	FNMA POOL #745869 6% 03-01-2036 BEO	FNMA									
7467 8000	12/26/2012 08/22/2006					7,467.80	7,494.64	0.00	-26.84	0.00	0.00
31410F6B6	FNMA POOL #888366 7% 04-01-2037 BEO	FNMA									
27181 3600	12/26/2012 10/01/2009					27,181.36	29,576.72	0.00	-2,395.36	0.00	0.00
31410F6E0	FNMA POOL #888369 7% DUE 03-01-2037 REG	FNMA									
17040 5600	12/26/2012 09/14/2010					17,040.56	18,946.97	0.00	-1,906.41	0.00	0.00
31410GE90	FNMA POOL #888560 6 11-01-2035 BEO	FNMA									
13709 2200	12/26/2012 06/15/2007					13,709.22	13,599.97	0.00	109.25	0.00	0.00
31410GHG1	FNMA POOL #888631 6% 08-01-2022 BEO	FNMA									
6952 1400	12/26/2012 07/27/2007					6,952.14	7,003.20	0.00	-51.06	0.00	0.00
31410GJS3	FNMA POOL #888673 6% 04-01-2035 BEO	FNMA									
7437 5100	12/26/2012 08/16/2007					7,437.51	7,416.59	0.00	20.92	0.00	0.00
31410KMT8	FNMA POOL #889670 5.5% 06-01-2023 BEO	FNMA									
30217 9000	12/26/2012 05/29/2008					30,217.90	30,487.03	0.00	-269.13	0.00	0.00

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THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Account Number: 26-16396
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☒ Corrected 03/22/2013 ☐ 2nd TIN notice

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W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
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400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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31414J6B4	FNMA POOL #968066 6% 10-01-2022 BEO	FNMA									
13547 9400	12/26/2012 12/04/2008					13,547.94	13,831.60	0.00	-283.66	0.00	0.00
31415MMK8	FNMA POOL #984262 6% 06-01-2023 BEO	FNMA									
17948 2700	12/26/2012 11/25/2008					17,948.27	18,318.45	0.00	-370.18	0.00	0.00
31415Y4H9	FNMA POOL #993724 6% 08-01-2038 BEO	FNMA									
4201.3400	12/26/2012 10/26/2011					4,201.34	4,604.41	0.00	-403.07	0.00	0.00
31416B4J4	FNMA POOL #895525 6% 12-01-2038 BEO	FNMA									
16406 1600	12/26/2012 11/10/2010					16,406.16	17,857.08	0.00	-1,450.92	0.00	0.00
31418MEC2	FNMA POOL #AD0130 6 5% 08-01-2039 BEO	FNMA									
7904 4100	12/26/2012 09/15/2010					7,904.41	8,603.46	0.00	-699.05	0.00	0.00
31418MRQ7	FNMA POOL #AD0494 5.5% 08-01-2037 BEO	FNMA									
13362 5200	12/26/2012 12/02/2009					13,362.52	14,233.17	0.00	-870.65	0.00	0.00
31419ADD6	FNMA POOL #AE0099 5 5% 10-01-2036 BEO	FNMA									
17678 9800	12/26/2012 07/13/2010					17,678.98	19,078.11	0.00	-1,399.13	0.00	0.00
31419ADJ3	FNMA POOL #AE0104 5 5% 04-01-2037 BEO	FNMA									
30994.8600	12/26/2012 06/09/2010					30,994.86	33,353.38	0.00	-2,358.52	0.00	0.00
31419AQV2	FNMA POOL #AE0467 5.5% 03-01-2024 BEO	FNMA									
13411.8000	12/26/2012 09/29/2010					13,411.80	14,457.50	0.00	-1,045.70	0.00	0.00

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2012 Tax Information Statement

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CHICAGO, IL 60680

Account Number: 26-16396
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Ref: GL
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31419AVJ3	FNMA POOL #AE0616 6% 03-01-2040 BEO	FNMA									
6174 6900	12/26/2012	10/18/2011				6,174.89	6,768.04	0.00	-593.35	0.00	0.00
Total Long Term 15% Sales						18,517,254.40	18,582,883.99	0.00	-65,629.59	0.00	0.00

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
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ATTN: TIM WYLIE
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LOS ANGELES, CA 90071

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2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums

Client	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales											
845467109	SOUTHWESTERN ENERGY CO COM	SWN									
2619 0000	01/10/2012 01/24/2011					85,202.54	102,253.09	0.00	-17,050.55	0.00	0.00
845467109	SOUTHWESTERN ENERGY CO COM	SWN									
1873 0000	01/11/2012 Various					56,822.72	73,011.21	0.00	-16,188.49	0.00	0.00
845467109	SOUTHWESTERN ENERGY CO COM	SWN									
1908 0000	01/12/2012 Various					55,409.16	73,483.02	0.00	-18,073.86	0.00	0.00
845467109	SOUTHWESTERN ENERGY CO COM	SWN									
3123.0000	01/12/2012 Various					91,781.33	117,978.17	0.00	-26,196.84	0.00	0.00
845467109	SOUTHWESTERN ENERGY CO COM	SWN									
3656 0000	01/13/2012 08/09/2011					106,622.99	130,889.55	0.00	-24,266.56	0.00	0.00
452327109	ILLUMINA INC	ILMN									
1183.0000	01/26/2012 Various					62,820.58	83,193.49	0.00	-20,372.91	0.00	0.00
452327109	ILLUMINA INC	ILMN									
1794 0000	01/27/2012 01/28/2011					93,613.42	125,081.27	0.00	-31,467.85	0.00	0.00
452327109	ILLUMINA INC	ILMN									
1971.0000	01/30/2012 03/29/2011					101,325.98	133,774.73	0.00	-32,448.75	0.00	0.00
747525103	QUALCOMM INC	QCOM									
827 0000	02/03/2012 03/15/2011					50,430.23	42,917.41	0.00	7,512.82	0.00	0.00

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747525103	QUALCOMM INC	QCOM	1679.0000	02/06/2012	Various	102,122.21	87,071.35	0.00	15,050.86	0.00	0.00
747525103	QUALCOMM INC	QCOM	377.0000	02/07/2012	03/15/2011	22,934.96	19,393.29	0.00	3,541.67	0.00	0.00
037833100	APPLE COMPUTER INC	AAPL	290.0000	03/15/2012	12/06/2011	169,832.40	113,676.46	0.00	56,155.94	0.00	0.00
037833100	APPLE COMPUTER INC	AAPL	177.0000	03/16/2012	12/06/2011	103,380.88	69,381.84	0.00	33,999.04	0.00	0.00
582839106	MEAD JOHNSON NUTRITION COM USD0.01	MJN	5703.0000	06/18/2012	06/18/2012	494,115.09	487,880.24	0.00	6,234.85	0.00	0.00
582839106	MEAD JOHNSON NUTRITION COM USD0.01	MJN	1822.0000	06/19/2012	06/18/2012	158,362.69	155,868.46	0.00	2,494.23	0.00	0.00
637071101	NATIONAL-OILWELL INC	NOV	13758.0000	06/20/2012	Various	920,701.87	905,818.26	0.00	14,883.61	0.00	0.00
637071101	NATIONAL-OILWELL INC	NOV	7347.0000	06/20/2012	06/18/2012	492,397.38	490,098.53	0.00	2,298.85	0.00	0.00
92826C839	VISA INC CLASS A SHARES	V	4930.0000	07/16/2012	06/18/2012	628,107.36	584,443.61	0.00	43,663.75	0.00	0.00

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023135106	AMAZON COM INC	AMZN									
3029.0000	07/25/2012 06/18/2012					660,732.78	666,937.03	0.00	-6,204.27	0.00	0.00
037833100	APPLE COMPUTER INC	AAPL									
588.0000	09/21/2012 06/18/2012					412,251.14	339,490.62	0.00	72,760.52	0.00	0.00
037833100	APPLE COMPUTER INC	AAPL									
191.0000	09/24/2012 06/18/2012					132,115.56	110,276.72	0.00	21,838.84	0.00	0.00
037833100	APPLE COMPUTER INC	AAPL									
179.0000	09/25/2012 06/18/2012					122,160.80	103,348.34	0.00	18,812.46	0.00	0.00
037833100	APPLE COMPUTER INC	AAPL									
211.0000	09/26/2012 06/18/2012					140,468.26	121,824.01	0.00	18,644.25	0.00	0.00
74762E102	QUANTA SERVICES INC	PWR									
38034.0000	10/02/2012 06/18/2012					941,601.81	875,268.84	0.00	66,332.97	0.00	0.00
68389X105	ORACLE CORPORATION	ORCL									
28618.0000	10/15/2012 06/18/2012					891,258.99	774,766.53	0.00	116,492.46	0.00	0.00
92826C839	VISA INC CLASS A SHARES	V									
2677.0000	10/24/2012 06/18/2012					366,339.78	317,354.07	0.00	48,985.71	0.00	0.00
12572Q105	CME GROUP INC	CME									
2553.0000	10/25/2012 06/18/2012					139,557.38	142,804.66	0.00	-3,247.28	0.00	0.00

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92826C839	VISA INC CLASS A SHARES	V									
2490.0000	10/25/2012 06/18/2012					341,854.14	295,185.52	0.00	46,668.62	0.00	0.00
68389X105	ORACLE CORPORATION	ORCL									
1929.0000	11/13/2012 06/18/2012					58,363.09	52,223.24	0.00	6,139.85	0.00	0.00
68389X105	ORACLE CORPORATION	ORCL									
1354.0000	11/13/2012 06/18/2012					40,586.73	36,656.43	0.00	3,930.30	0.00	0.00
151020104	CELGENE CORP	CELG									
2966.0000	11/15/2012 06/18/2012					218,409.58	195,553.13	0.00	22,856.43	0.00	0.00
151020104	CELGENE CORP	CELG									
2933.0000	11/16/2012 06/18/2012					217,101.07	193,377.38	0.00	23,723.69	0.00	0.00
151020104	CELGENE CORP	CELG									
3667.0000	11/16/2012 06/18/2012					270,510.72	241,771.18	0.00	28,739.54	0.00	0.00
747525103	QUALCOMM INC	QCOM									
16181.0000	11/19/2012 06/18/2012					1,006,658.93	914,872.12	0.00	91,786.81	0.00	0.00
Total Short Term Sales						9,755,954.51	9,177,923.80	0.00	578,030.71	0.00	0.00
Long Term 15% Sales											
03027X100	AMERICAN TOWER CORP	AMT									
4860.0000	01/06/2012 Various					293,006.19	255,003.41	0.00	38,002.78	0.00	0.00

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2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-64065
Recipient's Tax ID Number: 95-6092354

☐ Corrected

☐ 2nd TIN notice

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Ref: GL

Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Quantity Sold	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
845467109	SOUTHWESTERN ENERGY CO COM			SWN						
2434 0000		01/06/2012	Various		80,774.87	102,354.43	0.00	-21,579.56	0.00	0.00
03027X100	AMERICAN TOWER CORP			AMT						
934 0000		01/09/2012	11/05/2010		56,206.94	48,525.60	0.00	7,681.34	0.00	0.00
845467109	SOUTHWESTERN ENERGY CO COM			SWN						
3295 0000		01/09/2012	Various		109,173.44	136,570.53	0.00	-27,397.09	0.00	0.00
845467109	SOUTHWESTERN ENERGY CO COM			SWN						
850.0000		01/10/2012	11/20/2009		27,652.60	35,203.52	0.00	-7,550.92	0.00	0.00
46120E602	INTUITIVE SURGICAL INC COM NEW STK			ISRG						
73 0000		01/18/2012	11/04/2010		34,329.55	20,125.46	0.00	14,204.09	0.00	0.00
988498101	YUM BRANDS INC			YUM						
1369.0000		01/18/2012	Various		85,716.09	63,746.90	0.00	21,969.19	0.00	0.00
46120E602	INTUITIVE SURGICAL INC COM NEW STK			ISRG						
103 0000		01/19/2012	11/04/2010		48,501.45	28,396.20	0.00	20,105.25	0.00	0.00
988498101	YUM BRANDS INC			YUM						
1198 0000		01/19/2012	09/20/2010		74,710.99	55,771.93	0.00	18,939.06	0.00	0.00
988498101	YUM BRANDS INC			YUM						
2972 0000		01/20/2012	Various		185,498.86	138,143.99	0.00	47,354.87	0.00	0.00

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
46120E602			INTUITIVE SURGICAL INC COM NEW STK	ISRG				
203 0000	01/25/2012	Various		91,788.93	55,940.59	0.00	35,846.34	0.00
452327109			ILLUMINA INC	ILMN				
294 0000	01/26/2012	01/18/2011		15,612.22	20,662.44	0.00	-5,050.22	0.00
452327109			ILLUMINA INC	ILMN				
758 0000	01/27/2012	Various		39,553.50	53,262.00	0.00	-13,708.50	0.00
452327109			ILLUMINA INC	ILMN				
1728.0000	01/30/2012	Various		88,833.72	118,588.60	0.00	-29,754.88	0.00
452327109			ILLUMINA INC	ILMN				
2188 0000	01/30/2012	Various		112,277.25	151,552.34	0.00	-39,275.09	0.00
151020104			CELGENE CORP	CELG				
700.0000	02/03/2012	06/16/2008		51,140.25	43,275.40	0.00	7,864.85	0.00
151020104			CELGENE CORP	CELG				
1190.0000	02/06/2012	06/16/2008		87,509.02	73,568.18	0.00	13,940.84	0.00
151020104			CELGENE CORP	CELG				
417 0000	02/07/2012	06/16/2008		30,395.22	25,779.77	0.00	4,615.45	0.00
037833100			APPLE COMPUTER INC	AAPL				
17 0000	03/16/2012	12/01/2008		9,929.24	1,534.48	0.00	8,394.76	0.00

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
037833100	APPLE COMPUTER INC	AAPL	129 0000	03/19/2012	12/01/2008	77,073.14	11,643.97	0.00	65,429.17	0.00	0.00
037833100	APPLE COMPUTER INC	AAPL	115.0000	03/20/2012	12/01/2008	68,974.23	10,380.28	0.00	58,593.95	0.00	0.00
46120E602	INTUITIVE SURGICAL INC COM NEW STK	ISRG	456.0000	04/18/2012	Various	269,587.59	123,583.13	0.00	146,004.46	0.00	0.00
46120E602	INTUITIVE SURGICAL INC COM NEW STK	ISRG	455.0000	05/08/2012	Various	253,135.30	121,617.16	0.00	131,518.14	0.00	0.00
74005P104	PRAXAIR INC	PX	1921 0000	05/08/2012	Various	217,941.96	156,775.97	0.00	61,165.99	0.00	0.00
46120E602	INTUITIVE SURGICAL INC COM NEW STK	ISRG	74 0000	05/09/2012	10/22/2010	41,029.73	19,671.50	0.00	21,358.23	0.00	0.00
68389X105	ORACLE CORPORATION	ORCL	8803 0000	05/17/2012	Various	233,064.76	228,690.81	0.00	4,373.95	0.00	0.00
68389X105	ORACLE CORPORATION	ORCL	5041 0000	05/17/2012	Various	132,956.42	130,548.77	0.00	2,407.65	0.00	0.00
637071101	NATIONAL OILWELL INC	NOV	12630 0000	06/19/2012	Various	842,825.21	921,916.01	0.00	-79,090.80	0.00	0.00

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Group	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
637071101	NATIONAL-OILWELL INC	NOV									
3244 0000	06/20/2012 Various					217,413.51	218,857.87	0.00	-1,444.36	0.00	0.00
12572Q105	CME GROUP INC	CME									
745 0000	07/05/2012 06/16/2008					197,694.66	310,459.75	0.00	-112,765.09	0.00	0.00
12572Q105	CME GROUP INC	CME									
1494 0000	07/08/2012 Various					393,640.31	610,580.83	0.00	-216,940.52	0.00	0.00
12572Q105	CME GROUP INC	CME									
283.0000	07/09/2012 Various					74,441.82	91,707.65	0.00	-17,265.83	0.00	0.00
12572Q105	CME GROUP INC	CME									
391 0000	07/11/2012 Various					101,345.74	120,387.40	0.00	-19,041.66	0.00	0.00
74762E102	QUANTA SERVICES INC	PWR									
53984 0000	10/02/2012 Various					1,336,473.47	1,521,243.71	0.00	-184,770.24	0.00	0.00
74762E102	QUANTA SERVICES INC	PWR									
5000.0000	10/02/2012 02/03/2011					123,784.22	117,700.00	0.00	6,084.22	0.00	0.00
12572Q105	CME GROUP INC	CME									
1876 0000	10/24/2012 02/03/2010					106,160.64	109,936.26	0.00	-3,775.62	0.00	0.00
12572Q105	CME GROUP INC	CME									
2164 0000	10/25/2012 Various					118,293.05	126,147.75	0.00	-7,854.70	0.00	0.00

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400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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Sales are listed at Gross Proceeds less commissions and option premiums.

Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
68389X105	ORACLE CORPORATION		ORCL						
5085.0000	11/13/2012	Various		152,425.05	131,224.98	0.00	21,200.07	0.00	0.00
68389X105	ORACLE CORPORATION		ORCL						
13987.0000	11/14/2012	Various		416,278.75	359,804.97	0.00	56,473.78	0.00	0.00
68389X105	ORACLE CORPORATION		ORCL						
5515.0000	11/15/2012	Various		164,937.81	141,504.49	0.00	23,433.32	0.00	0.00
68389X105	ORACLE CORPORATION		ORCL						
6128.0000	11/15/2012	Various		182,037.95	156,882.41	0.00	25,155.54	0.00	0.00
68389X105	ORACLE CORPORATION		ORCL						
5059.0000	11/16/2012	Various		151,460.52	129,206.85	0.00	22,253.67	0.00	0.00
68389X105	ORACLE CORPORATION		ORCL						
5817.0000	11/16/2012	Various		174,498.52	148,019.40	0.00	26,479.12	0.00	0.00
Total Long Term 15% Sales				7,570,082.69	7,446,497.69	0.00	123,585.00	0.00	0.00

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-69026
Recipient's Tax ID Number: 95-6092354

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W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Ref: GL

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Equip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales											
30162A108	EXELIS INC	XLS									
15075 0000	02/07/2012 Various					150,364.19	188,021.50	0.00	-37,657.31	0.00	0.00
30162A108	EXELIS INC	XLS									
45225 0000	02/07/2012 Various					451,092.60	630,745.92	0.00	-179,653.32	0.00	0.00
450911201	ITT CORPORATION W/I	ITT									
7525.0000	02/07/2012 03/01/2011					169,018.02	182,253.11	0.00	6,784.91	0.00	0.00
450911201	ITT CORPORATION W/I	ITT									
22575 0000	02/07/2012 Various					507,054.08	489,155.28	0.00	37,898.80	0.00	0.00
044209104	ASHLAND INC NEW COM	ASH									
1700.0000	03/28/2012 Various					104,737.54	96,862.44	0.00	7,875.10	0.00	0.00
05615F102	BABCOCK & WILCOX CO NEW COM STK	BWC									
4000 0000	03/28/2012 07/07/2011					104,518.85	109,371.20	0.00	-4,852.35	0.00	0.00
219350105	CORNING INC	GLW									
7400 0000	03/28/2012 02/08/2012					104,407.95	102,478.90	0.00	1,929.05	0.00	0.00
460146103	INTERNATIONAL PAPER CO	IP									
1400.0000	03/28/2012 02/10/2012					49,586.88	43,748.04	0.00	5,838.84	0.00	0.00
713448108	PEPSICO INC	PEP									
1500 0000	03/28/2012 02/23/2012					98,776.83	94,843.35	0.00	3,933.48	0.00	0.00

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Sales are listed at Gross Proceeds less commissions and option premiums.

Orig	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
044209104	ASHLAND INC NEW COM	ASH									
11600 0000	05/29/2012 08/03/2011					759,573.02	660,943.64	0.00	98,629.38	0.00	0.00
05615F102	BABCOCK & WILCOX CO NEW COM STK	BWC									
27100 0000	05/29/2012 07/07/2011					661,577.47	740,989.88	0.00	-79,412.41	0.00	0.00
219350105	CORNING INC	GLW									
50500.0000	05/29/2012 Various					658,752.67	694,636.87	0.00	-35,884.20	0.00	0.00
460146103	INTERNATIONAL PAPER CO	IP									
14600.0000	05/29/2012 Various					436,941.92	454,869.50	0.00	-17,727.58	0.00	0.00
713448108	PEPSICO INC	PEP									
10500.0000	05/29/2012 02/23/2012					723,184.94	663,903.45	0.00	59,281.49	0.00	0.00
219350105	CORNING INC	GLW									
14900 0000	10/01/2012 02/06/2012					194,957.65	202,087.21	0.00	-7,129.56	0.00	0.00
263534109	E I DU PONT DE NEMOURS & CO	DD									
6100 0000	10/01/2012 08/30/2012					307,088.46	303,866.62	0.00	3,221.84	0.00	0.00
460146103	INTERNATIONAL PAPER CO	IP									
6000.0000	10/01/2012 02/07/2012					216,803.34	186,336.00	0.00	30,467.34	0.00	0.00
713448108	PEPSICO INC	PEP									
3100.0000	10/01/2012 Various					219,134.40	196,009.59	0.00	23,124.81	0.00	0.00

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Entity	Description	Stock/Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stock Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax (Withheld)	State Tax Withheld
219350105	CORNING INC	GLW									
14800 0000	10/03/2012 Various					199,308.60	199,061.72	0.00	246.88	0.00	0.00
263534109	E I DU PONT DE NEMOURS & CO	DD									
6100 0000	10/03/2012 08/30/2012					301,519.29	303,866.62	0.00	-2,347.33	0.00	0.00
460146103	INTERNATIONAL PAPER CO	IP									
6000.0000	10/03/2012 02/07/2012					217,314.53	186,336.00	0.00	30,978.53	0.00	0.00
713448108	PEPSICO INC	PEP									
3100.0000	10/03/2012 02/23/2012					219,475.39	196,009.59	0.00	23,465.80	0.00	0.00
01741R102	ALLEGHENY TECHNOLOGIES INC	ATI									
11600 0000	10/04/2012 08/30/2012					361,364.38	341,774.28	0.00	19,590.10	0.00	0.00
219350105	CORNING INC	GLW									
29700 0000	10/04/2012 Various					396,631.62	398,224.89	0.00	-1,593.27	0.00	0.00
263534109	E I DU PONT DE NEMOURS & CO	DD									
12200 0000	10/04/2012 08/30/2012					607,628.12	607,733.24	0.00	-105.12	0.00	0.00
460146103	INTERNATIONAL PAPER CO	IP									
11900 0000	10/04/2012 Various					433,820.24	369,445.40	0.00	64,374.84	0.00	0.00
713448108	PEPSICO INC	PEP									
6100 0000	10/04/2012 02/23/2012					432,624.87	385,696.29	0.00	46,928.58	0.00	0.00

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
01741R102	ALLEGHENY TECHNOLOGIES INC	ATI									
6700 0000	10/05/2012 08/30/2012					209,567.28	197,404.11	0.00	12,163.17	0.00	0.00
219350105	CORNING INC	GLW									
14700 0000	10/05/2012 02/03/2012					197,329.84	197,069.67	0.00	260.17	0.00	0.00
263534109	E I DU PONT DE NEMOURS & CO	DD									
6100.0000	10/05/2012 Various					307,728.34	302,387.09	0.00	5,341.25	0.00	0.00
460146103	INTERNATIONAL PAPER CO	IP									
5900 0000	10/05/2012 Various					219,485.70	183,068.15	0.00	36,417.55	0.00	0.00
713448108	PEPSICO INC	PEP									
3000 0000	10/05/2012 02/23/2012					213,235.52	189,686.70	0.00	23,548.82	0.00	0.00
Total Short Term Sales						10,234,604.53	10,058,686.25	0.00	175,918.28	0.00	0.00
Long Term 15% Sales											
654106103	NIKE INC CLASS B	NKE									
23400 0000	02/23/2012 Various					2,487,286.30	505,833.94	0.00	1,981,452.36	0.00	0.00
654106103	NIKE INC CLASS B	NKE									
7800.0000	02/23/2012 08/28/2002					829,095.43	175,531.98	0.00	653,563.45	0.00	0.00
000375204	ABB LTD SPONSORED ADR	ABB									
4300.0000	03/28/2012 02/25/2008					87,445.85	102,991.88	0.00	-15,546.03	0.00	0.00

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00846U101	AGILENT TECHNOLOGIES INC	A									
3900 0000	03/28/2012 08/04/2005					175,520.63	97,544.43	0.00	77,976.20	0.00	0.00
009158106	AIR PRODUCTS & CHEMICALS INC COM	APD									
1000 0000	03/28/2012 02/05/2010					91,278.95	68,100.80	0.00	23,178.15	0.00	0.00
01741R102	ALLEGHENY TECHNOLOGIES INC	ATI									
2300.0000	03/28/2012 01/31/2008					95,307.10	159,406.79	0.00	-64,099.69	0.00	0.00
037411105	APACHE CORP	APA									
900 0000	03/28/2012 10/20/2005					90,409.00	54,586.26	0.00	35,822.74	0.00	0.00
053015103	AUTOMATIC DATA PROCESSING INC	ADP									
2000 0000	03/28/2012 08/13/2009					111,041.51	77,116.40	0.00	33,925.11	0.00	0.00
057224107	BAKER HUGHES INC	BHI									
1200.0000	03/28/2012 02/02/2000					49,602.92	28,645.80	0.00	20,957.12	0.00	0.00
060505104	BK AMER CORP COM	BAC									
3600.0000	03/28/2012 10/27/2003					34,883.21	132,638.04	0.00	-97,754.83	0.00	0.00
071813109	BAXTER INTL INC	BAX									
3000 0000	03/28/2012 Various					179,946.76	152,210.56	0.00	27,736.20	0.00	0.00
13342B105	CAMERON INT'L CORP COM	CAM									
6100.0000	03/28/2012 10/31/2000					311,562.10	83,864.48	0.00	227,697.62	0.00	0.00

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2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-69026
Recipient's Tax ID Number: 95-6092354

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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Ref: GL

Sales are listed at Gross Proceeds less commissions and option premiums.

CUSIP	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stock, Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
143658300	CARNIVAL CORP PAIRED CTF 1 COM CARNIVAL CORP (CD)	CCL	4700.0000	03/28/2012	05/23/2008	152,488.55	175,631.01	0.00	-23,142.46	0.00	0.00
254687106	WALT DISNEY CO	DIS	2600.0000	03/28/2012	02/06/2009	114,428.37	49,020.66	0.00	65,407.71	0.00	0.00
278058102	EATON CORP	ETN	2500.0000	03/28/2012	12/04/2000	123,903.22	37,586.16	0.00	86,317.06	0.00	0.00
38141G104	GOLDMAN SACHS GROUP INC	GS	1400.0000	03/28/2012	05/05/1999	176,758.08	95,038.58	0.00	81,719.50	0.00	0.00
469814107	JACOBS ENGR GROUP INC	JEC	2500.0000	03/28/2012	07/29/2009	114,758.67	97,844.50	0.00	16,914.17	0.00	0.00
500458401	KOMATSU LTD SPON ADR NEW	KMTUY	3500.0000	03/28/2012	02/14/2011	101,014.03	107,241.75	0.00	-6,227.72	0.00	0.00
539830109	LOCKHEED MARTIN CORP	LMT	1200.0000	03/28/2012	07/23/2009	109,089.55	90,145.68	0.00	18,943.87	0.00	0.00
55616P104	MACYS INC COM STK	M	5100.0000	03/28/2012	06/06/2006	205,801.29	180,822.03	0.00	24,979.26	0.00	0.00
59156R108	METLIFE INC	MET	3500.0000	03/28/2012	01/22/2010	132,332.38	128,268.70	0.00	4,063.68	0.00	0.00

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
594918104	MICROSOFT CORP COM	MSFT								
3600.0000	03/28/2012 06/16/2004					117,645.36	98,165.52	0.00	19,479.84	0.00
61166W101	MONSANTO CO COM	MON								
1500.0000	03/28/2012 11/02/2009					119,168.88	102,100.05	0.00	17,068.83	0.00
654106103	NIKE INC CLASS B	NKE								
1100.0000	03/28/2012 08/29/2002					117,896.23	23,669.47	0.00	94,226.76	0.00
806857108	SCHLUMBERGER LTD ISIN #AN8068571086	SLB								
2200.0000	03/28/2012 05/29/1996					153,952.55	42,078.00	0.00	111,874.55	0.00
88579Y101	3M CO	MMM								
1600.0000	03/28/2012 08/30/2000					142,628.48	74,932.00	0.00	67,696.48	0.00
903293405	USG CORP NEW	USG								
3400.0000	03/28/2012 01/29/2008					61,818.77	116,834.20	0.00	-55,015.43	0.00
907818108	UNION PAC CORP COM	UNP								
1600.0000	03/28/2012 10/03/2007					175,175.59	89,578.88	0.00	85,596.71	0.00
911312106	UNITED PARCEL SERVICE INC CL B	UPS								
1800.0000	03/28/2012 07/26/2006					127,975.21	110,602.40	0.00	17,372.81	0.00
913017109	UNITED TECHNOLOGIES CORP	UTX								
2000.0000	03/28/2012 12/14/2006					164,557.31	124,122.20	0.00	40,435.11	0.00

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CUSIP	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
92927K102	WABCO HLDGS INC COM STK	WBC									
2800.0000	03/28/2012 12/05/2000					166,015.00	37,049.10	0.00	128,965.90	0.00	0.00
949746101	WELLS FARGO & CO NEW	WFC									
4100.0000	03/28/2012 05/24/1993					139,726.09	21,207.66	0.00	118,518.43	0.00	0.00
984121103	XEROX CORP	XRX									
12200.0000	03/28/2012 Various					100,892.95	144,771.66	0.00	-43,878.71	0.00	0.00
98419M100	XYLEM INC W/I	XYL									
1700.0000	03/28/2012 03/01/2011					47,724.90	54,919.36	0.00	-7,194.46	0.00	0.00
G1151C101	ACCENTURE PLC SHS CL A NEW	ACN									
2800.0000	03/28/2012 07/18/2001					181,545.97	40,600.00	0.00	140,945.97	0.00	0.00
G47791101	INGERSOLL-RAND PLC COM STK	IR									
2600.0000	03/28/2012 10/13/2010					107,552.31	100,453.34	0.00	7,098.97	0.00	0.00
G47791101	INGERSOLL-RAND PLC COM STK	IR									
11825.0000	05/09/2012 Various					505,941.20	449,142.34	0.00	56,798.86	0.00	0.00
G47791101	INGERSOLL-RAND PLC COM STK	IR									
3875.0000	05/09/2012 10/13/2010					168,647.06	149,714.11	0.00	18,932.95	0.00	0.00
000375204	ABB LTD SPONSORED ADR	ABB									
29400.0000	05/29/2012 Various					484,739.26	696,945.85	0.00	-212,206.59	0.00	0.00

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2012 Tax Information Statement

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00846U101	AGILENT TECHNOLOGIES INC	A									
26600 0000	05/29/2012 Various					1,110,820.37	664,057.01	0.00	446,763.36	0.00	0.00
009158106	AIR PRODUCTS & CHEMICALS INC COM	APD									
6600 0000	05/29/2012 02/05/2010					532,537.44	449,465.28	0.00	83,072.16	0.00	0.00
01741R102	ALLEGHENY TECHNOLOGIES INC	ATI									
15800 0000	05/29/2012 Various					551,669.91	1,080,568.28	0.00	-528,898.35	0.00	0.00
037411105	APACHE CORP	APA									
6400 0000	05/29/2012 10/20/2005					538,165.26	388,168.96	0.00	147,996.30	0.00	0.00
053015103	AUTOMATIC DATA PROCESSING INC	ADP									
13400 0000	05/29/2012 Various					710,608.85	516,288.67	0.00	194,322.18	0.00	0.00
057224107	BAKER HUGHES INC	BHI									
8000 0000	05/29/2012 Various					338,303.62	188,489.76	0.00	149,813.86	0.00	0.00
060505104	BK AMER CORP COM	BAC									
24700 0000	05/29/2012 10/27/2003					179,562.50	910,044.33	0.00	-730,481.83	0.00	0.00
071813109	BAXTER INTL INC	BAX									
20800 0000	05/29/2012 Various					1,088,805.69	1,036,270.40	0.00	52,535.29	0.00	0.00
13342B105	CAMERON INT'L CORP COM	CAM									
41400 0000	05/29/2012 Various					2,017,331.28	554,216.98	0.00	1,463,114.28	0.00	0.00

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143658300	CARNIVAL CORP PAIRED CTF 1 COM CARNIVAL CORP [QDI]	CCL	31900.0000	05/29/2012	Various	1,025,692.80	1,187,893.02	0.00	-162,200.22	0.00	0.00
254687106	WALT DISNEY CO	DIS	17500.0000	05/29/2012	02/05/2009	787,342.35	329,946.75	0.00	457,395.60	0.00	0.00
278058102	EATON CORP	ETN	16700.0000	05/29/2012	12/04/2000	735,304.56	251,075.56	0.00	484,229.00	0.00	0.00
38141G104	GOLDMAN SACHS GROUP INC	GS	9800.0000	05/29/2012	05/05/1999	949,389.00	665,270.06	0.00	284,118.94	0.00	0.00
469814107	JACOBS ENGR GROUP INC	JEC	17000.0000	05/29/2012	Various	637,028.72	664,360.70	0.00	-27,333.98	0.00	0.00
500458401	KOMATSU LTD SPON ADR NEW	KMTUY	23900.0000	05/29/2012	02/14/2011	585,006.31	732,307.95	0.00	-147,301.64	0.00	0.00
539830109	LOCKHEED MARTIN CORP	LMT	8500.0000	05/29/2012	07/23/2009	704,853.50	638,531.90	0.00	66,321.60	0.00	0.00
55616P104	MACYS INC COM STK	M	34500.0000	05/29/2012	Various	1,336,113.66	1,221,741.59	0.00	114,372.07	0.00	0.00
59156R108	METLIFE INC	MET	23500.0000	05/29/2012	Various	728,786.80	854,317.52	0.00	-125,530.72	0.00	0.00

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2012 Tax Information Statement

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
594918104	MICROSOFT CORP COM	MSFT									
24300 0000	05/29/2012 06/16/2004					715,981.02	662,617.26	0.00	53,363.76	0.00	0.00
61186W101	MONSANTO CO COM	MON									
10000 0000	05/29/2012 11/02/2009					741,512.38	680,667.00	0.00	60,845.38	0.00	0.00
654106103	NIKE INC CLASS B	NKE									
7700 0000	05/29/2012 08/29/2002					844,503.99	165,688.29	0.00	678,817.70	0.00	0.00
806857108	SCHLUMBERGER LTD ISIN #AN8068571086	SLB									
15300.0000	05/29/2012 Various					1,022,932.02	289,154.50	0.00	733,777.52	0.00	0.00
88579Y101	3M CO	MMM									
10900.0000	05/29/2012 Various					933,431.10	502,805.80	0.00	430,625.30	0.00	0.00
903293405	USG CORP NEW	USG									
23000 0000	05/29/2012 Various					380,687.46	790,349.00	0.00	-409,661.54	0.00	0.00
907818108	UNION PAC CORP COM	UNP									
10900 0000	05/29/2012 10/03/2007					1,227,203.50	610,256.12	0.00	616,947.38	0.00	0.00
911312106	UNITED PARCEL SERVICE INC CL B	UPS									
11000.0000	05/29/2012 Various					833,445.82	758,245.58	0.00	75,200.24	0.00	0.00
913017109	UNITED TECHNOLOGIES CORP	UTX									
13400 0000	05/29/2012 12/14/2006					988,582.94	831,618.74	0.00	156,964.20	0.00	0.00

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2012 Tax Information Statement

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
92927K102	WABCO HLDGS INC COM STK	WBC									
19300.0000	05/29/2012 Various					1,024,793.52	255,083.75	0.00	769,709.77	0.00	0.00
949746101	WELLS FARGO & CO NEW	WFC									
28000.0000	05/29/2012 Various					902,335.78	144,073.08	0.00	758,262.70	0.00	0.00
984121103	XEROX CORP	XRX									
83100.0000	05/29/2012 Various					594,434.21	977,501.34	0.00	-383,067.13	0.00	0.00
98419M100	XYLEM INC W/I	XYL									
11900.0000	05/29/2012 Various					308,782.60	384,435.53	0.00	-75,652.93	0.00	0.00
G1151C101	ACCENTURE PLC SHS CL A NEW	ACN									
19000.0000	05/29/2012 07/18/2001					1,107,107.09	275,500.00	0.00	831,607.09	0.00	0.00
G47791101	INGERSOLL-RAND PLC COM STK	IR									
14400.0000	05/29/2012 10/13/2010					617,504.24	556,356.96	0.00	61,147.28	0.00	0.00
907818108	UNION PAC CORP COM	UNP									
9975.0000	06/19/2012 10/03/2007					1,186,618.36	558,468.33	0.00	628,150.03	0.00	0.00
907818108	UNION PAC CORP COM	UNP									
3325.0000	06/19/2012 10/03/2007					395,539.45	186,156.11	0.00	209,383.34	0.00	0.00
071813108	BAXTER INTL INC	BAX									
21700.0000	08/30/2012 Various					1,264,011.84	1,072,027.63	0.00	191,984.21	0.00	0.00

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000375204	ABB LTD SPONSORED ADR	ABB	8600.0000	10/01/2012	Various	162,624.07	202,481.01	0.00	-39,856.94	0.00	0.00
00846U101	AGILENT TECHNOLOGIES INC	A	7800.0000	10/01/2012	Various	304,399.87	191,970.67	0.00	112,429.20	0.00	0.00
009158106	AIR PRODUCTS & CHEMICALS INC COM	APD	2000.0000	10/01/2012	02/05/2010	165,777.28	136,201.60	0.00	29,575.68	0.00	0.00
01741R102	ALLEGHENY TECHNOLOGIES INC	ATI	6800.0000	10/01/2012	Various	217,897.71	458,124.12	0.00	-240,226.41	0.00	0.00
037411105	APACHE CORP	APA	1900.0000	10/01/2012	10/20/2005	164,454.04	115,237.86	0.00	49,216.38	0.00	0.00
044209104	ASHLAND INC NEW COM	ASH	3400.0000	10/01/2012	08/03/2011	243,401.90	193,724.86	0.00	49,677.04	0.00	0.00
053015103	AUTOMATIC DATA PROCESSING INC	ADP	4000.0000	10/01/2012	08/14/2009	233,660.76	153,924.40	0.00	79,736.36	0.00	0.00
05615F102	BABCOCK & WILCOX CO NEW COM STK	BWC	8000.0000	10/01/2012	07/07/2011	203,436.24	218,742.40	0.00	-15,306.16	0.00	0.00
057224107	BAKER HUGHES INC	BHI	2400.0000	10/01/2012	02/04/2000	108,414.45	55,429.92	0.00	52,984.53	0.00	0.00

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060505104	BK AMER CORP COM	BAC									
7300.0000	10/01/2012 10/27/2003					65,569.31	268,960.47	0.00	-203,391.16	0.00	0.00
071813109	BAXTER INTL INC	BAX									
4500.0000	10/01/2012 04/26/2010					271,037.02	215,625.15	0.00	55,411.87	0.00	0.00
13342B105	CAMERON INT'L CORP COM	CAM									
12200.0000	10/01/2012 02/04/2003					682,761.36	148,715.26	0.00	534,046.10	0.00	0.00
143658300	CARNIVAL CORP PAIRED CTF 1 COM CARNIVAL CORP (QDI)	CCL									
9400.0000	10/01/2012 Various					338,450.69	341,526.92	0.00	-3,076.23	0.00	0.00
254687106	WALT DISNEY CO	DIS									
5100.0000	10/01/2012 02/05/2009					265,782.58	96,155.91	0.00	169,626.67	0.00	0.00
278058102	EATON CORP	ETN									
4900.0000	10/01/2012 12/04/2000					230,808.83	73,668.87	0.00	157,139.96	0.00	0.00
38141G104	GOLDMAN SACHS GROUP INC	GS									
2900.0000	10/01/2012 05/05/1999					338,633.82	196,865.63	0.00	141,768.19	0.00	0.00
469814107	JACOBS ENGR GROUP INC	JEC									
5000.0000	10/01/2012 11/18/2009					202,974.45	194,598.00	0.00	8,376.45	0.00	0.00
500458401	KOMATSU LTD SPON ADR NEW	KMTUY									
7000.0000	10/01/2012 Various					135,661.15	214,483.50	0.00	-78,822.35	0.00	0.00

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Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
539830109	LOCKHEED MARTIN CORP	LMT	2500 0000	10/01/2012	Various	233,360.27	187,803.50	0.00	45,556.77	0.00	0.00
55616P104	MACYS INC COM STK	M	10200 0000	10/01/2012	Various	393,367.44	301,458.68	0.00	91,908.76	0.00	0.00
59156R108	METLIFE INC	MET	6900 0000	10/01/2012	09/01/2009	236,724.72	247,698.96	0.00	-10,974.24	0.00	0.00
594918104	MICROSOFT CORP COM	MSFT	7100 0000	10/01/2012	08/16/2004	209,524.11	193,604.22	0.00	15,919.89	0.00	0.00
61166W101	MONSANTO CO COM	MON	2900 0000	10/01/2012	11/02/2009	262,603.32	197,393.43	0.00	65,209.89	0.00	0.00
654106103	NIKE INC CLASS B	NKE	2300 0000	10/01/2012	08/29/2002	219,158.18	49,490.71	0.00	169,667.47	0.00	0.00
806857108	SCHLUMBERGER LTD ISIN #AN8068571086	SLB	4500 0000	10/01/2012	06/03/1996	326,980.22	83,642.69	0.00	243,337.53	0.00	0.00
88579Y101	3M CO	MMM	3200 0000	10/01/2012	09/08/2000	298,986.90	144,755.84	0.00	154,231.06	0.00	0.00
903293405	USG CORP NEW	USG	6800 0000	10/01/2012	01/29/2008	145,139.34	233,668.40	0.00	-88,529.06	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-69026
Recipient's Tax ID Number: 95-6092354

Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

☐ Corrected

☐ 2nd TIN notice

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
907818108	UNION PAC CORP COM	UNP									
2200 0000	10/01/2012 10/03/2007					260,598.46	123,170.96	0.00	137,427.50	0.00	0.00
911312106	UNITED PARCEL SERVICE INC CL B	UPS									
3200 0000	10/01/2012 08/03/2006					230,630.99	216,912.96	0.00	13,718.03	0.00	0.00
913017109	UNITED TECHNOLOGIES CORP	UTX									
3900.0000	10/01/2012 12/14/2006					305,550.35	242,038.29	0.00	63,512.06	0.00	0.00
92927K102	WABCO HLDGS INC COM STK	WBC									
5700.0000	10/01/2012 Various					327,290.65	74,699.86	0.00	252,590.79	0.00	0.00
949746101	WELLS FARGO & CO NEW	WFC									
8200.0000	10/01/2012 06/04/1993					284,966.57	41,616.64	0.00	243,349.93	0.00	0.00
984121103	XEROX CORP	XRX									
4800.0000	10/01/2012 Various					35,132.81	51,264.00	0.00	-16,131.19	0.00	0.00
984121103	XEROX CORP	XRX									
19700 0000	10/01/2012 01/26/2011					144,190.91	208,782.57	0.00	-64,591.66	0.00	0.00
98419M100	XYLEM INC W/I	XYL									
3500 0000	10/01/2012 03/01/2011					87,559.28	113,069.27	0.00	-25,509.99	0.00	0.00
G1151C101	ACCENTURE PLC SHS CL A NEW	ACN									
5600.0000	10/01/2012 07/18/2001					391,935.21	81,200.00	0.00	310,735.21	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-69026
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W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Ref: GL

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Quantity Sold	Description Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
G47791101	INGERSOLL-RAND PLC COM STK		IR					
4300.0000	10/01/2012	10/14/2010		192,439.17	163,432.25	0.00	29,006.92	0.00
000375204	ABB LTD SPONSORED ADR		ABB					
8600.0000	10/03/2012	08/20/2008		163,569.19	201,464.46	0.00	-37,895.27	0.00
00846U101	AGILENT TECHNOLOGIES INC		A					
7800.0000	10/03/2012	08/05/2005		305,607.29	191,581.52	0.00	114,025.77	0.00
009158106	AIR PRODUCTS & CHEMICALS INC COM		APD					
1900.0000	10/03/2012	02/05/2010		157,238.29	129,391.52	0.00	27,844.77	0.00
01741R102	ALLEGHENY TECHNOLOGIES INC		ATI					
6800.0000	10/03/2012	Various		210,268.96	269,401.24	0.00	-59,132.28	0.00
037411105	APACHE CORP		APA					
1900.0000	10/03/2012	10/20/2005		164,399.70	115,237.66	0.00	49,162.04	0.00
044209104	ASHLAND INC NEW COM		ASH					
3400.0000	10/03/2012	08/03/2011		246,320.06	193,724.86	0.00	52,595.20	0.00
053015103	AUTOMATIC DATA PROCESSING INC		ADP					
3900.0000	10/03/2012	08/14/2009		229,708.75	150,076.29	0.00	79,632.46	0.00
05615F102	BABCOCK & WILCOX CO NEW COM STK		BWC					
7900.0000	10/03/2012	07/07/2011		201,838.10	216,008.12	0.00	-14,170.02	0.00

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Ref GL

Sales are listed at Gross Proceeds less commissions and option premiums.

Quantity Sold	Date of Sale or Exchange	Description	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
057224107		BAKER HUGHES INC		BHI					
2400 0000	10/03/2012	02/04/2000			105,333.87	55,429.92	0.00	49,903.95	0.00
060505104		BK AMER CORP COM		BAC					
7300 0000	10/03/2012	10/27/2003			66,297.84	268,960.47	0.00	-202,662.63	0.00
071813109		BAXTER INTL INC		BAX					
4500 0000	10/03/2012	04/26/2010			275,241.28	215,625.15	0.00	59,616.13	0.00
13342B105		CAMERON INT'L CORP COM		CAM					
12200 0000	10/03/2012	Various			664,066.49	128,118.65	0.00	535,947.84	0.00
143658300		CARNIVAL CORP PAIRED CTF 1 COM CARNIVAL CORP (ODI)		CCL					
9400 0000	10/03/2012	06/16/2008			344,468.44	339,027.92	0.00	5,440.52	0.00
254687106		WALT DISNEY CO		DIS					
5100 0000	10/03/2012	02/05/2009			268,563.55	96,155.91	0.00	172,407.64	0.00
278058102		EATON CORP		ETN					
4900 0000	10/03/2012	Various			229,423.14	73,653.17	0.00	155,769.97	0.00
38141G104		GOLDMAN SACHS GROUP INC		GS					
2900 0000	10/03/2012	05/05/1999			341,610.60	196,865.63	0.00	144,744.97	0.00
468814107		JACOBS ENGR GROUP INC		JEC					
5000 0000	10/03/2012	11/18/2009			203,941.43	194,598.00	0.00	9,343.43	0.00

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Account Number: 26-69026
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Sales are listed at Gross Proceeds less commissions and option premiums.

CUAIP	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
500458401	KOMATSU LTD SPON ADR NEW	KMTUY									
7000.0000	10/03/2012 02/14/2011					134,746.98	214,483.50	0.00	-79,736.52	0.00	0.00
539830109	LOCKHEED MARTIN CORP	LMT									
2500.0000	10/03/2012 07/23/2009					233,363.02	187,803.50	0.00	45,559.52	0.00	0.00
55616P104	MACYS INC COM STK	M									
10100.0000	10/03/2012 Various					396,012.12	282,236.42	0.00	113,775.70	0.00	0.00
59156R108	METLIFE INC	MET									
6900.0000	10/03/2012 Various					236,863.41	247,698.96	0.00	-10,835.55	0.00	0.00
594918104	MICROSOFT CORP COM	MSFT									
7100.0000	10/03/2012 06/16/2004					211,495.03	193,604.22	0.00	17,890.81	0.00	0.00
61166W101	MONSANTO CO COM	MON									
2900.0000	10/03/2012 11/02/2009					257,709.39	197,393.43	0.00	60,315.96	0.00	0.00
654106103	NIKE INC CLASS B	NKE									
2300.0000	10/03/2012 08/29/2002					216,422.39	49,490.71	0.00	166,931.68	0.00	0.00
806857108	SCHLUMBERGER LTD ISIN #AN8068571086	SLB									
4500.0000	10/03/2012 06/03/1996					321,407.09	83,642.69	0.00	237,764.40	0.00	0.00
88579Y101	3M CO	MMM									
3200.0000	10/03/2012 09/08/2000					300,099.51	144,755.84	0.00	155,343.67	0.00	0.00

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THE NORTHERN TRUST COMPANY
P O. BOX 803878
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Account Number: 26-69026
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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
903293405	USG CORP NEW	USG									
6800.0000	10/03/2012 01/29/2008					151,892.95	233,668.40	0.00	-81,775.45	0.00	0.00
907818108	UNION PAC CORP COM	UNP									
2200.0000	10/03/2012 10/03/2007					264,148.74	123,170.96	0.00	140,977.78	0.00	0.00
911312106	UNITED PARCEL SERVICE INC CL B	UPS									
3200.0000	10/03/2012 08/03/2006					233,518.28	216,912.96	0.00	16,605.32	0.00	0.00
913017109	UNITED TECHNOLOGIES CORP	UTX									
3900.0000	10/03/2012 12/14/2006					307,001.51	242,038.29	0.00	64,963.22	0.00	0.00
92927K102	WABCO HLDGS INC COM STK	WBC									
5700.0000	10/03/2012 Various					330,791.51	74,532.43	0.00	256,259.08	0.00	0.00
949746101	WELLS FARGO & CO NEW	WFC									
8200.0000	10/03/2012 06/04/1993					291,678.94	41,818.64	0.00	250,062.30	0.00	0.00
984121103	XEROX CORP	XRX									
24400.0000	10/03/2012 Various					177,871.99	258,593.64	0.00	-80,721.65	0.00	0.00
98419M100	XYLEM INC W/I	XYL									
3500.0000	10/03/2012 03/01/2011					87,592.18	113,069.27	0.00	-25,477.09	0.00	0.00
G1151C101	ACCENTURE PLC SHS CL A NEW	ACN									
5600.0000	10/03/2012 07/18/2001					395,194.90	81,200.00	0.00	313,994.90	0.00	0.00

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-69026
Recipient's Tax ID Number: 95-6092354

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ATTN: TIM WYLIE
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LOS ANGELES, CA 90071

Ref: GL

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
G47791101	INGERSOLL-RAND PLC COM STK	IR	4200.0000	10/03/2012	10/14/2010	188,472.87	159,631.50	0.00	28,841.37	0.00
000375204	ABB LTD SPONSORED ADR	ABB	17200.0000	10/04/2012	Various	332,542.50	343,918.83	0.00	-11,376.33	0.00
00846U101	AGILENT TECHNOLOGIES INC	A	15600.0000	10/04/2012	08/05/2005	606,661.04	383,163.03	0.00	223,498.01	0.00
009158106	AIR PRODUCTS & CHEMICALS INC COM	APD	3900.0000	10/04/2012	02/05/2010	325,139.22	265,593.12	0.00	59,546.10	0.00
01741R102	ALLEGHENY TECHNOLOGIES INC	ATI	1900.0000	10/04/2012	09/09/2008	59,188.99	71,987.39	0.00	-12,798.40	0.00
037411105	APACHE CORP	APA	3700.0000	10/04/2012	Various	319,340.58	223,891.27	0.00	95,449.31	0.00
044209104	ASHLAND INC NEW COM	ASH	6800.0000	10/04/2012	Various	494,958.19	374,327.79	0.00	120,630.40	0.00
053015103	AUTOMATIC DATA PROCESSING INC	ADP	7900.0000	10/04/2012	Various	465,553.94	304,000.69	0.00	161,553.25	0.00
05615F102	BABCOCK & WILCOX CO NEW COM STK	BWC	15900.0000	10/04/2012	Various	403,707.85	434,750.52	0.00	-31,042.67	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-89026
Recipient's Tax ID Number: 95-6092354

☐ Corrected

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Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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Quantity Sold	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
057224107	BAKER HUGHES INC			BHI					
4700.0000		10/04/2012	02/04/2000		206,231.84	108,550.26	0.00	97,681.58	0.00
060505104	BK AMER CORP COM			BAC					
14500.0000		10/04/2012	Various		135,861.95	534,076.28	0.00	-398,214.33	0.00
071813109	BAXTER INTL INC			BAX					
9000.0000		10/04/2012	Various		556,102.94	285,416.92	0.00	270,686.02	0.00
133428105	CAMERON INT'L CORP COM			CAM					
24300.0000		10/04/2012	08/18/1998		1,318,422.84	171,087.19	0.00	1,147,335.65	0.00
143658300	CARNIVAL CORP PAIRED CTF 1 COM CARNIVAL CORP [ODI]			CCL					
18700.0000		10/04/2012	06/16/2008		690,063.15	674,449.16	0.00	15,613.99	0.00
254687106	WALT DISNEY CO			DIS					
10300.0000		10/04/2012	02/05/2009		540,801.92	194,197.23	0.00	346,604.69	0.00
278058102	EATON CORP			ETN					
9800.0000		10/04/2012	12/14/2000		457,149.95	147,292.47	0.00	309,857.48	0.00
381416104	GOLDMAN SACHS GROUP INC			GS					
5700.0000		10/04/2012	Various		679,226.42	371,076.71	0.00	308,149.71	0.00
469814107	JACOBS ENGR GROUP INC			JEC					
10000.0000		10/04/2012	Various		409,867.81	389,196.00	0.00	20,671.81	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
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CUSIP	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
500458401	KOMATSU LTD SPON ADR NEW	KMTUY									
14000 0000	10/04/2012 Various					270,338.14	427,410.06	0.00	-157,071.92	0.00	0.00
539830109	LOCKHEED MARTIN CORP	LMT									
5000 0000	10/04/2012 Various					471,538.93	375,331.24	0.00	96,207.69	0.00	0.00
55616P104	MACYS INC COM STK	M									
20300.0000	10/04/2012 12/17/2007					803,090.59	567,267.26	0.00	235,823.33	0.00	0.00
59156R108	METLIFE INC	MET									
13800 0000	10/04/2012 09/01/2009					482,438.57	495,397.92	0.00	-12,959.35	0.00	0.00
594918104	MICROSOFT CORP COM	MSFT									
14300 0000	10/04/2012 06/16/2004					426,273.44	389,935.26	0.00	36,338.18	0.00	0.00
61166W101	MONSANTO CO COM	MON									
5900.0000	10/04/2012 11/02/2009					535,593.54	401,593.53	0.00	134,000.01	0.00	0.00
654106103	NIKE INC CLASS B	NKE									
4500.0000	10/04/2012 08/29/2002					430,684.45	96,829.65	0.00	333,854.80	0.00	0.00
806857108	SCHLUMBERGER LTD ISIN #AN8068571086	SLB									
9000.0000	10/04/2012 06/03/1996					645,074.94	167,285.39	0.00	477,789.55	0.00	0.00
88579Y101	3M CO	MMM									
6400.0000	10/04/2012 Various					803,164.72	284,578.44	0.00	318,586.28	0.00	0.00

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
903293405	USG CORP NEW	USG									
13500 0000	10/04/2012 01/29/2008		300,103.67			463,900.50		0.00	-163,796.83	0.00	0.00
907818108	UNION PAC CORP COM	UNP									
4400.0000	10/04/2012 Various		535,307.84			215,419.11		0.00	319,888.73	0.00	0.00
911312106	UNITED PARCEL SERVICE INC CL B	UPS									
6500.0000	10/04/2012 08/03/2006		475,345.40			440,604.45		0.00	34,740.95	0.00	0.00
813017109	UNITED TECHNOLOGIES CORP	UTX									
7900 0000	10/04/2012 12/14/2006		620,900.01			490,282.69		0.00	130,617.32	0.00	0.00
92927K102	WABCO HLDGS INC COM STK	WBC									
11400 0000	10/04/2012 12/06/2000		676,636.40			149,045.49		0.00	527,590.91	0.00	0.00
949746101	WELLS FARGO & CO NEW	WFC									
16500.0000	10/04/2012 06/04/1993		591,651.99			83,740.80		0.00	507,911.19	0.00	0.00
984121103	XEROX CORP	XRX									
32900 0000	10/04/2012 01/26/2011		238,328.81			348,677.49		0.00	-110,348.68	0.00	0.00
984121103	XEROX CORP	XRX									
15900.0000	10/04/2012 Various		115,180.19			164,967.27		0.00	-49,787.08	0.00	0.00
98419M100	XYLEM INC W/I	XYL									
7000 0000	10/04/2012 03/01/2011		175,024.77			226,138.54		0.00	-51,113.77	0.00	0.00

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-69026
Recipient's Tax ID Number: 95-6092354

Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Ref: GL

☐ Corrected

☐ 2nd TIN notice

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
G1151C101	ACCENTURE PLC SHS CL A NEW	ACN	11200.0000	10/04/2012	Various	799,620.64	151,865.37	0.00	647,755.27	0.00	0.00
G47791101	INGERSOLL-RAND PLC COM STK	IR	8500.0000	10/04/2012	10/14/2010	387,436.61	323,063.75	0.00	64,372.86	0.00	0.00
000375204	ABB LTD SPONSORED ADR	ABB	8500.0000	10/05/2012	10/14/2008	165,831.28	145,893.15	0.00	19,938.13	0.00	0.00
00846U101	AGILENT TECHNOLOGIES INC	A	7700.0000	10/05/2012	Various	302,864.24	187,205.23	0.00	115,659.01	0.00	0.00
009158106	AIR PRODUCTS & CHEMICALS INC COM	APD	1900.0000	10/05/2012	02/05/2010	180,222.84	129,391.52	0.00	30,831.32	0.00	0.00
037411105	APACHE CORP	APA	1800.0000	10/05/2012	06/08/2006	155,688.59	106,059.06	0.00	49,629.53	0.00	0.00
044209104	ASHLAND INC NEW COM	ASH	3400.0000	10/05/2012	Various	251,704.52	184,080.82	0.00	67,623.70	0.00	0.00
053015103	AUTOMATIC DATA PROCESSING INC	ADP	3900.0000	10/05/2012	08/14/2009	233,722.15	150,076.29	0.00	83,645.86	0.00	0.00
05615F102	BABCOCK & WILCOX CO NEW COM STK	BWC	7900.0000	10/05/2012	Various	202,982.79	215,996.12	0.00	-13,013.33	0.00	0.00

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057224107	BAKER HUGHES INC	BHI	2300.0000	10/05/2012	02/04/2000	100,756.83	53,120.34	0.00	47,636.49	0.00	0.00
060505104	BK AMER CORP COM	BAC	7200.0000	10/05/2012	10/29/2003	68,625.26	262,604.88	0.00	-193,979.62	0.00	0.00
071813109	BAXTER INTL INC	BAX	4400.0000	10/05/2012	12/23/2003	272,146.66	127,500.12	0.00	144,646.54	0.00	0.00
133428105	CAMERON INT'L CORP COM	CAM	12000.0000	10/05/2012	08/18/1998	663,628.33	84,487.50	0.00	579,140.83	0.00	0.00
143658300	CARNIVAL CORP PAIRED CTF 1 COM CARNIVAL CORP [QDI]	CCL	9300.0000	10/05/2012	Various	347,968.44	294,442.06	0.00	53,526.38	0.00	0.00
254687106	WALT DISNEY CO	DIS	5100.0000	10/05/2012	02/05/2009	270,282.21	96,155.91	0.00	174,126.30	0.00	0.00
278058102	EATON CORP	ETN	4900.0000	10/05/2012	12/14/2000	233,207.82	73,646.24	0.00	159,561.58	0.00	0.00
38141G104	GOLDMAN SACHS GROUP INC	GS	2800.0000	10/05/2012	08/05/1999	336,591.37	165,149.32	0.00	171,442.05	0.00	0.00
469814107	JACOBS ENGR GROUP INC	JEC	4900.0000	10/05/2012	11/18/2009	204,237.22	190,706.04	0.00	13,531.18	0.00	0.00

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500458401	KOMATSU LTD SPON ADR NEW	KMTUY									
6900.0000	10/05/2012 02/10/2011					135,437.06	208,579.41	0.00	-73,142.35	0.00	0.00
539830109	LOCKHEED MARTIN CORP	LMT									
2500.0000	10/05/2012 07/24/2009					236,909.94	187,516.25	0.00	49,393.69	0.00	0.00
55616P104	MACYS INC COM STK	M									
10000.0000	10/05/2012 Various					398,463.08	273,690.00	0.00	124,773.08	0.00	0.00
59156R108	METLIFE INC	MET									
6800.0000	10/05/2012 09/01/2009					238,860.96	244,109.12	0.00	-5,248.16	0.00	0.00
594918104	MICROSOFT CORP COM	MSFT									
7000.0000	10/05/2012 08/16/2004					210,415.98	190,877.40	0.00	19,538.58	0.00	0.00
61168W101	MONSANTO CO COM	MON									
2900.0000	10/05/2012 11/02/2009					265,598.66	197,393.43	0.00	68,205.23	0.00	0.00
654106103	NIKE INC CLASS B	NKE									
2200.0000	10/05/2012 08/29/2002					211,591.69	47,338.94	0.00	164,252.75	0.00	0.00
806857108	SCHLUMBERGER LTD ISIN #AN8088571086	SLB									
4400.0000	10/05/2012 06/03/1996					317,453.76	81,783.96	0.00	235,669.80	0.00	0.00
88579Y101	3M CO	MMM									
3200.0000	10/05/2012 Various					303,490.80	140,044.89	0.00	163,445.91	0.00	0.00

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903293405	USG CORP NEW	USG		10/05/2012	Various	154,336.40	230,126.02	0.00	-75,789.62	0.00	0.00
907818108	UNION PAC CORP COM	UNP		10/05/2012	02/05/2009	269,553.80	101,225.74	0.00	168,328.06	0.00	0.00
911312106	UNITED PARCEL SERVICE INC CL B	UPS		10/05/2012	08/03/2006	235,470.56	216,912.96	0.00	18,557.60	0.00	0.00
913017109	UNITED TECHNOLOGIES CORP	UTX		10/05/2012	12/14/2006	308,522.86	242,038.29	0.00	66,484.57	0.00	0.00
92927K102	WABCO HLDGS INC COM STK	WBC		10/05/2012	12/06/2000	337,376.76	73,215.33	0.00	264,161.43	0.00	0.00
949746101	WELLS FARGO & CO NEW	WFC		10/05/2012	06/04/1993	295,834.61	41,616.64	0.00	254,217.97	0.00	0.00
984121103	XEROX CORP	XRX		10/05/2012	Various	174,937.97	249,757.63	0.00	-74,819.66	0.00	0.00
98419M100	XYLEM INC W/I	XYL		10/05/2012	03/01/2011	86,349.56	109,838.72	0.00	-23,489.16	0.00	0.00
G1151C101	ACCENTURE PLC SHS CL A NEW	ACN		10/05/2012	09/20/2001	392,201.71	71,812.95	0.00	320,388.76	0.00	0.00

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G47791101	INGERSOLL-RAND PLC COM STK	IR									
4200.0000	10/05/2012 Various					193,994.50	159,631.50	0.00	34,362.99	0.00	0.00
98419M100	XYLEM INC W/I	XYL									
6300.0000	10/11/2012 Various					153,392.10	181,121.54	0.00	-27,729.44	0.00	0.00
98419M100	XYLEM INC W/I	XYL									
18900.0000	10/11/2012 Various					460,176.32	605,151.44	0.00	-144,975.12	0.00	0.00
98419M100	XYLEM INC W/I	XYL									
4100.0000	10/12/2012 08/17/2011					101,243.12	107,527.12	0.00	-6,284.00	0.00	0.00
254687108	WALT DISNEY CO	DIS									
6100.0000	11/27/2012 02/05/2009					297,057.85	115,010.01	0.00	182,047.84	0.00	0.00
278058102	EATON CORP	ETN									
41100.0000	12/03/2012 Various					2,123,020.50	606,736.45	0.00	1,516,284.05	0.00	0.00
05615F102	BABCOCK & WILCOX CO NEW COM STK	BWC									
5200.0000	12/20/2012 07/08/2011					134,505.90	141,870.56	0.00	-7,364.66	0.00	0.00
55616P104	MACYS INC COM STK	M									
15900.0000	12/20/2012 12/19/2007					600,380.07	426,021.42	0.00	174,358.65	0.00	0.00
55616P104	MACYS INC COM STK	M									
5300.0000	12/20/2012 Various					200,126.69	115,483.40	0.00	84,643.29	0.00	0.00

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2012 Tax Information Statement

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
55616P104	MACYS INC COM STK	M									
8400 0000	12/27/2012	10/14/2008				306,718.28	94,009.44	0.00	212,708.84	0.00	0.00
Total Long Term 15% Sales						87,036,583.98	59,191,239.95	0.00	27,845,344.02	0.00	0.00

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Ref: GL

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Crslp	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales											
01449JAB1	ALERE INC 9% DUE 05-15-2018										
200000.0000	01/03/2012 10/12/2011					203,725.00	191,391.10	0.00	12,333.90	0.00	0.00
037933AE8	APRIA HEALTHCARE 11 25% DUE 11-01-2014										
100000.0000	01/03/2012 05/05/2011					104,605.00	106,250.00	0.00	-1,645.00	0.00	0.00
270321AC8	EARTHLINK INC 8 875% DUE 05-15-2019										
175000.0000	01/04/2012 05/12/2011					161,848.75	169,455.34	0.00	-7,606.59	0.00	0.00
41148AAB2	HARBINGER GROUP 10.625% DUE 11-15-2015										
100000.0000	01/05/2012 04/13/2011					98,750.00	102,887.50	0.00	-4,137.50	0.00	0.00
527297AA3	PVTPL LEVEL 3 ESCROW INC SR NT 144A 8 125% DUE 07-01-2019/06-09-2011 BEQ	PVTPL1									
125000.0000	01/05/2012 06/16/2011					123,281.25	124,375.00	0.00	-1,093.75	0.00	0.00
97314XAF1	PVTPL WIND ACQSTN FIN S A US\$ SR SECD NT144A 7 25 DUE 02-15-2018 BEQ	PVTPL1									
100000.0000	01/05/2012 09/20/2011					92,125.00	87,914.48	0.00	4,210.52	0.00	0.00
29843XAA5	PVTPL EURAMAX INTL INC SR SECD NT 144A 9.5% DUE 04-01-2016/03-18-2011 BEQ	PVTPL1									
250000.0000	01/06/2012 03/11/2011					194,968.75	250,000.00	0.00	-55,031.25	0.00	0.00
46263YAD2	PVTPL IPAYMENT HLDGS INC-DEL SR NT 144A 15% DUE 11-15-2018/05-06-2011 BEQ	PVTPL1									
103937.0000	01/11/2012 Various					88,333.46	104,887.50	0.00	-16,554.04	0.00	0.00
47009WAA9	PVTPL JAGUAR HLDG CO/MERGER 9 5 12-1-19	PVTPL1									
250000.0000	01/13/2012 11/15/2011					267,500.00	250,000.00	0.00	17,500.00	0.00	0.00

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2012 Tax Information Statement

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488360AF5	KEMET CORP SR NT 10 5 DUE 05-01-2018								
100000.0000		01/17/2012	06/15/2011	106,250.00	111,520.00	0.00	-5,270.00	0.00	0.00
093845AG4	PVTPL BLOCK COMMUNICATIONS INC 144A 7 252-1-2020			PVTPL1					
75000.0000		01/19/2012	01/12/2012	76,500.00	75,000.00	0.00	1,500.00	0.00	0.00
20367QAA5	PVTPL CMNTY CHOICE FINL INC SR SECD NT 144A 10.75			PVTPL1					
100000.0000	DUE 05-01-2019/04-29-2011BEO	01/19/2012	08/25/2011	98,750.00	102,270.00	0.00	-3,520.00	0.00	0.00
302051AQ0	EXIDE TECHNOLOGIES 8.625 DUE 02-01-2018								
25000.0000		01/19/2012	06/21/2011	20,250.00	25,187.50	0.00	-4,937.50	0.00	0.00
716495AN6	PETROHAWK ENERGY 6 25% DUE 06-01-2019								
175000.0000		01/19/2012	06/06/2011	195,562.50	172,375.00	0.00	23,187.50	0.00	0.00
03077JAB6	AMERIGAS FIN CORP / AMERIGAS FIN LLC GTDSR NT 7								
100000.0000	5-20-22	01/25/2012	01/05/2012	100,500.00	100,000.00	0.00	500.00	0.00	0.00
75886AAF5	REGENCY ENERGY 6 5% DUE 07-15-2021								
150000.0000		01/25/2012	05/23/2011	161,625.00	150,000.00	0.00	11,625.00	0.00	0.00
225310AE1	#REORG/CREDIT ACCEP MANDATORY EXCHANGE			PVTPL1					
150000.0000	CREDIT ACCEP 4608987 3/5/2012	01/27/2012	02/28/2011	158,250.00	159,000.00	0.00	-750.00	0.00	0.00
88033GBN9	#REORG/TENET HEALTHCARE CORP MANDATORY			PVTPL1					
300000.0000	EXCHANGE 4T13A73 EFF 06-14-2012	01/27/2012	11/04/2011	315,750.00	300,000.00	0.00	15,750.00	0.00	0.00

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51509BAA2	LANDRYS INC 11 625% DUE 12-01-2015										
175000 0000	01/30/2012 11/30/2011					188,125.00	179,375.00	0.00	8,750.00	0.00	0.00
74959HAB4	RGHL US ESCR II 9 875% DUE 08-15-2019	PVTPL1									
100000 0000	01/30/2012 07/26/2011					101,000.00	99,318.00	0.00	1,682.00	0.00	0.00
97314XAF1	PVTPL WIND ACOSTN FIN S A US\$ SR SECD NT144A 7 25	PVTPL1									
100000 0000	DUE 02-15-2018 BEO 01/30/2012 09/20/2011					96,250.00	88,015.54	0.00	8,234.46	0.00	0.00
03077JAB6	AMERIGAS FIN CORP / AMERIGAS FIN LLC GTDSR NT 7										
150000 0000	5-20-22 01/31/2012 01/05/2012					150,375.00	150,000.00	0.00	375.00	0.00	0.00
44044K119	HORIZON LINES INC WT EXP	HORZW									
.0700	01/31/2012 02/10/2011					0.26	0.05	0.00	0.21	0.00	0.00
737448AA2	PVTPL POST HLDGS INC 7.375 DUE 02-15-2022/02-15-2017	PVTPL1									
100000 0000	BEO 01/31/2012 01/27/2012					103,875.00	100,000.00	0.00	3,875.00	0.00	0.00
737446AA2	PVTPL POST HLDGS INC 7.375 DUE 02-15-2022/02-15-2017	PVTPL1									
150000 0000	BEO 02/01/2012 01/27/2012					157,125.00	150,000.00	0.00	7,125.00	0.00	0.00
527297AA3	PVTPL LEVEL 3 ESCROW INC SR NT 144A 8 125% DUE	PVTPL1									
125000 0000	07-01-2019/06-09-2011 BEO 02/13/2012 06/16/2011					127,796.88	124,375.00	0.00	3,421.88	0.00	0.00
165167CH8	CHES ENERGY CORP 6 775% DUE 03-15-2019 03-15-2019										
100000 0000	02/14/2012 02/13/2012					99,000.00	98,750.94	0.00	249.06	0.00	0.00

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165167CH8	CHES ENERGY CORP 6.775% DUE 03-15-2019 03-15-2019										
75000 0000	02/14/2012 02/13/2012					74,062.50	74,063.21	0.00	-0.71	0.00	0.00
98416JAB6	XERIUM 8.875% DUE 06-15-2018										
200000 0000	02/14/2012 05/20/2011					179,500.00	200,000.00	0.00	-20,500.00	0.00	0.00
165167CH8	CHES ENERGY CORP 6.775% DUE 03-15-2019 03-15-2019										
200000 0000	02/15/2012 02/13/2012					197,500.00	197,502.83	0.00	-2.83	0.00	0.00
093645AG4	PVTPL BLOCK COMMUNICATIONS INC 144A 7.252-1-2020	PVTPL1									
100000 0000	02/16/2012 01/12/2012					102,500.00	100,000.00	0.00	2,500.00	0.00	0.00
516546AC4	LANTHEUS MED IMAGING INC SR NT 9.75 5-15-2017										
50000 0000	02/17/2012 03/16/2011					41,000.00	50,750.00	0.00	-9,750.00	0.00	0.00
884768AC6	THOMPSON CREEK 7.375% DUE 06-01-2018										
150000 0000	02/21/2012 05/13/2011					145,125.00	150,000.00	0.00	-4,875.00	0.00	0.00
761735AM1	PVTPL REYNOLDS GROUP ISSUER INC/ REYNOLDGROU	PVTPL1									
250000 0000	SR NT 9.875 DUE 08-15-2019					253,750.00	250,000.00	0.00	3,750.00	0.00	0.00
75281AAN9	RANGE RES CORP 5 DUE 08-15-2022 REG										
150000 0000	02/24/2012 02/24/2012					153,000.00	150,000.00	0.00	3,000.00	0.00	0.00
019645AC4	ALLIS-CHALMERS ENERGY INC FORMERLY ALLI SR NT 9										
400000 0000	DUE 01-15-2014 BEO					400,000.00	400,000.00	0.00	0.00	0.00	0.00
	03/01/2012 10/18/2011										

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16398
Recipient's Tax ID Number: 95-6092354

☒ Corrected 03/27/2013 ☐ 2nd TIN notice

Recipient's Name and Address: Ref: GL
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
18451QAD0	CLEAR CHANNEL 9 25% DUE 12-15-2017										
150000.0000	03/01/2012 Various					166,106.26	161,343.75	0.00	4,762.51	0.00	0.00
806281AC7	PVTPL SCHAEFFLER FIN B V 7 75 DUE 02-15-2017 BEO	PVTPL1									
100000.0000	03/01/2012 02/02/2012					106,625.00	98,981.00	0.00	7,644.00	0.00	0.00
516545AC4	LANTHEUS MED IMAGING INC SR NT 9.75 5-15-2017										
100000.0000	03/02/2012 03/16/2011					82,750.00	101,500.00	0.00	-18,750.00	0.00	0.00
20367QAA5	PVTPL CMNTY CHOICE FINL INC SR SECD NT 144A 10 75 DUE 05-01-2019/04-29-2011 BEO	PVTPL1									
400000.0000	03/06/2012 Various					384,000.00	407,560.00	0.00	-23,560.00	0.00	0.00
45823WAA7	INTEGRA TELECOM 10.75% DUE 04-15-2016										
75000.0000	03/06/2012 04/12/2011					67,125.00	81,187.50	0.00	-14,062.50	0.00	0.00
81760NAL3	PVTPL SERVICEMASTER CO SR NT 8 DUE 02-15-2020	PVTPL1									
150000.0000	03/06/2012 02/13/2012					159,000.00	154,500.00	0.00	4,500.00	0.00	0.00
03073TAC6	AMERIGROUP CORP 7 5% DUE 11-15-2019										
275000.0000	03/07/2012 Various					302,500.00	285,193.75	0.00	17,306.25	0.00	0.00
527297AA3	PVTPL LEVEL 3 ESCROW INC SR NT 144A 8 125% DUE 07-01-2019/06-09-2011 BEO	PVTPL1									
100000.0000	03/07/2012 06/16/2011					103,000.00	99,500.00	0.00	3,500.00	0.00	0.00
46284PAN4	IRON MTN INC DEL 7 75% DUE 10-01-2019										
125000.0000	03/08/2012 09/21/2011					136,875.00	125,625.00	0.00	11,250.00	0.00	0.00

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806261AC7	PVTPL SCHAEFFLER FIN B V 7 75 DUE 02-15-2017 BEO	PVTPL1									
275000 0000	03/08/2012 02/02/2012					289,093.75	272,197.75	0.00	16,896.00	0.00	0.00
228411AE4	CROWN MEDIA HLDGS 10 5% DUE 07-15-2019										
300000 0000	03/09/2012 06/29/2011					324,375.00	300,000.00	0.00	24,375.00	0.00	0.00
31620MAG1	FID NATL 5% DUE 03-15-2022										
225000 0000	03/12/2012 03/05/2012					224,156.25	225,000.00	0.00	-843.75	0.00	0.00
31620MAG1	FID NATL 5% DUE 03-15-2022										
275000 0000	03/14/2012 03/05/2012					274,312.50	275,000.00	0.00	-687.50	0.00	0.00
03754HAA2	APERAM 144A 7 75 DUE 04-01-2018 BEO										
100000 0000	03/15/2012 07/27/2011					96,000.00	99,750.00	0.00	-3,750.00	0.00	0.00
35802XAF0	PVTPL FRESENIUS MED CARE US FIN II INC 5 875 DUE 01-31-2022 BEO	PVTPL1									
150000 0000	03/16/2012 01/17/2012					156,918.75	150,000.00	0.00	6,918.75	0.00	0.00
81760NAL3	PVTPL SERVICEMASTER CO SR NT 8 DUE 02-15-2020	PVTPL1									
100000 0000	03/16/2012 Various					106,487.50	101,500.00	0.00	4,987.50	0.00	0.00
088611AA6	BI-LO LLC / BI-LO 9 25% DUE 02-15-2019										
200000 0000	03/19/2012 08/01/2011					212,475.00	204,000.00	0.00	8,475.00	0.00	0.00
148867AA2	CATALINA MARKETING 10 5% DUE 10-01-2015 DUE 10-01-2015/10-01-2011 BEO										
100000 0000	03/19/2012 07/12/2011					96,000.00	106,250.00	0.00	-10,250.00	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16398
Recipient's Tax ID Number: 95-6092354

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WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Ref: GL

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
29444UAK2	EQUINIX INC 7% DUE 07-15-2021										
275000.0000	03/19/2012 10/06/2011					303,153.13	276,375.00	0.00	26,778.13	0.00	0.00
489399AC9	KENNEDY WILSON INC 8.75 DUE 04-01-2019										
300000.0000	03/19/2012 Various					314,962.51	300,394.75	0.00	14,567.76	0.00	0.00
89400RAA7	TRANSUNION HLDG CO 9 625% DUE 06-15-2018										
325000.0000	03/22/2012 03/16/2012					341,658.25	340,884.38	0.00	771.87	0.00	0.00
46262EAE5	IPAYMENT INC 10 25 DUE 05-15-2018										
100000.0000	03/28/2012 04/29/2011					92,375.00	100,000.00	0.00	-7,625.00	0.00	0.00
781748AG3	PVTPL RURAL/METRO CORP SR NT 144A 10.125% DUE 07-15-2019/02-03-2012 BEO	PVTPL1									
300000.0000	04/02/2012 02/01/2012					277,500.00	258,582.57	0.00	18,917.43	0.00	0.00
148867AA2	CATALINA MARKETING 10.5% DUE 10-01-2015 DUE 10-01-2015/10-01-2011 BEO										
100000.0000	04/09/2012 07/12/2011					96,750.00	106,250.00	0.00	-9,500.00	0.00	0.00
03754HAA2	APERAM 144A 7.75 DUE 04-01-2018 BEO										
100000.0000	04/10/2012 07/27/2011					96,250.00	99,750.00	0.00	-3,500.00	0.00	0.00
40415RAG0	PVTPL HD SUP INC SR SECD 2ND PRY NT 11 DUE 04-15-2020	PVTPL1									
275000.0000	04/10/2012 04/05/2012					281,875.00	275,000.00	0.00	6,875.00	0.00	0.00
458207AH0	INTELSAT JACKSON HLDGS LTD 8 5 DUE 11-01-2019 REG										
200000.0000	04/11/2012 10/26/2011					221,000.00	209,000.00	0.00	12,000.00	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
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W M KECK FOUNDATION
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400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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Group	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
488360AG3	PVTPL KEMET CORP SR NT 10 5 DUE 05-01-2018 BEO	PVTPL1									
175000.0000	04/12/2012 03/22/2012					187,250.00	184,625.00	0.00	2,625.00	0.00	0.00
30250KAC3	FGI HLDG CO INC SR PIK NT 11.25 DUE 10-01-2015										
28397.0000	04/19/2012 Various					29,958.84	0.00	0.00	29,958.84	0.00	0.00
29977HAA8	PVTPL EVEREST ACQUISITION LLC / EVEREST ACQUIS	PVTPL1									
175000.0000	SR NT 9 375 DUE 05-01-2020 05/01/2012 04/10/2012					187,687.50	175,000.00	0.00	12,687.50	0.00	0.00
40415RAE5	PVTPL HD SUP INC SR SECD 1ST PRY NT 8.125 DUE	PVTPL1									
150000.0000	04-15-2019 05/01/2012 04/05/2012					161,250.00	150,000.00	0.00	11,250.00	0.00	0.00
30251GAH0	PVTPL FMG RES AUGUST 2006 PTY LTD SR NT 8 25 DUE	PVTPL1									
200000.0000	11-01-2019 05/03/2012 10/25/2011					221,000.00	200,000.00	0.00	21,000.00	0.00	0.00
750323AC1	PVTPL RADIATION THERAPY SVCS INC 8.875	PVTPL1									
100000.0000	01-15-2017/05-10-2012 BEO 05/08/2012 04/28/2012					99,500.00	99,530.57	0.00	-30.57	0.00	0.00
142812AB2	PVTPL CARLSON WAGONLIT BV SR SECD NT 144A 6 875	PVTPL1									
75000.0000	DUE 06-15-2019/05-16-2012 BEO 05/17/2012 05/09/2012					75,750.00	75,000.00	0.00	750.00	0.00	0.00
97314XAF1	PVTPL WIND ACQSTN FIN S A US\$ SR SECD NT144A 7 25	PVTPL1									
200000.0000	DUE 02-15-2018 BEO 05/23/2012 09/20/2011					174,000.00	175,000.00	0.00	-1,000.00	0.00	0.00
08579QAP8	BERRY PLASTICS CORP SR SECD NT FLTG DUE										
350000.0000	02-15-2015/02-15-2013 REG 05/24/2012 09/14/2011					348,687.50	332,505.72	0.00	16,181.78	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16398
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WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
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Quantity Sold	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale (Loss Disallowed)	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
185167CD7	CHES ENERGY CORP SR NT DTD 01/09 9 5								
100000.0000	DUE02-15-2015 REG	05/31/2012	05/17/2012		105,500.00	99,757.14	0.00	5,742.86	0.00
527298AU7	LEVEL 3 FING INC 8.125 DUE 07-01-2019								
175000.0000		06/01/2012	06/16/2011		174,125.00	174,125.00	0.00	0.00	0.00
125581GA0	PVTPL CIT GROUP INC NEW 2ND PRY SECD NT SER C			PVTPL1					
123654.9100	144A 7 DUE 05-02-2017/01-01-2012	06/04/2012	05/07/2012		123,654.91	124,118.61	0.00	-463.70	0.00
148867AA2	CATALINA MARKETING 10.5% DUE 10-01-2015 DUE								
100000.0000	10-01-2015/10-01-2011 BEO	06/05/2012	07/12/2011		96,250.00	106,250.00	0.00	-10,000.00	0.00
457983AC8	PVTPL INMET MNG CORP SR NT 8 75			IMNCN					
125000.0000	DUE06-01-2020/05-18-2012 BEO	06/06/2012	05/15/2012		122,812.50	123,230.00	0.00	-417.50	0.00
87509RAA1	PVTPL TAMINCO GLOBAL CHEM CORP 2ND PRY SR			PVTPL1					
375000.0000	SECD NT 9.75 DUE 03-31-2020	06/11/2012	01/20/2012		380,625.00	375,000.00	0.00	5,625.00	0.00
459745GK5	INTL LEASE FIN 8.625% DUE 01-15-2022								
250000.0000		06/12/2012	12/19/2011		285,312.50	250,000.00	0.00	35,312.50	0.00
796038AA5	PVTPL SAMSON INVT CO SR NT 144A 9 75% DUE			PVTPL1					
125000.0000	02-15-2020/02-08-2012 BEO	06/13/2012	02/03/2012		125,312.50	125,000.00	0.00	312.50	0.00
45820EAX0	INTELSAT LTD 11.25% DUE 06-15-2016								
84000.0000		06/15/2012	04/23/2012		87,150.00	88,410.00	0.00	-1,260.00	0.00

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
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497125AA2	PVTPL KINOVE GERMAN BOND CO GMBH SR SECD NT 144A 9.625 DUE 08-15-2018/06-22-2011	06/15/2012 06/17/2011	PVTPL1	21,630.00	21,000.00	0.00	630.00	0.00	0.00
21000 0000									
796038AA5	PVTPL SAMSON INVT CO SR NT 144A 9.75% DUE 02-15-2020/02-08-2012 BEO	06/18/2012 02/03/2012	PVTPL1	274,312.50	275,000.00	0.00	-687.50	0.00	0.00
275000.0000									
04523XAB3	ASPECT SOFTWARE INC SR SECD LIEN NT 10 625 DUE 05-15-2017	06/21/2012 05/25/2012		106,000.00	107,012.50	0.00	-1,012.50	0.00	0.00
100000.0000									
912809AG3	UTD STS STL CORP 7 5 DUE 03-15-2022	06/22/2012 03/12/2012		236,250.00	250,000.00	0.00	-13,750.00	0.00	0.00
250000 0000									
04523XAB3	ASPECT SOFTWARE INC SR SECD LIEN NT 10 625 DUE 05-15-2017	06/28/2012 05/25/2012		184,843.75	187,271.88	0.00	-2,428.13	0.00	0.00
175000 0000									
428303AJ0	HEXION U S FIN 8 875% DUE 02-01-2018	07/03/2012 12/14/2011		257,500.00	229,627.16	0.00	27,872.84	0.00	0.00
250000 0000									
03073TAC6	AMERIGROUP CORP 7 5% DUE 11-15-2019	07/09/2012 12/22/2011		290,000.00	258,781.25	0.00	31,218.75	0.00	0.00
250000.0000									
03754HAA2	APERAM 144A 7 75 DUE 04-01-2018 BEO	07/12/2012 07/27/2011		82,750.00	99,750.00	0.00	-17,000.00	0.00	0.00
100000.0000									
142812AB2	PVTPL CARLSON WAGONLIT BV SR SECD NT 144A 6 875 DUE 06-15-2019/05-16-2012 BEO	07/12/2012 05/09/2012	PVTPL1	283,937.50	275,000.00	0.00	8,937.50	0.00	0.00
275000 0000									

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412690AC3	PVTPL HARLAND CLARKE HLDGS CORP SR SECD NT 9.75 DUE 08-01-2018	PVTPL1	150000.0000	07/23/2012	07/17/2012	143,625.00	144,017.67	0.00	-392.67	0.00	0.00
750323AD9	RADIATION THERAPY SVCS INC 8.875 DUE 01-15-2017		150000.0000	07/24/2012	04/26/2012	140,625.00	149,290.50	0.00	-8,665.50	0.00	0.00
090613AF7	PVTPL BIOMET INC SR NT 144A 6 5 DUE 08-01-2020	PVTPL1	100000.0000	07/30/2012	07/25/2012	102,750.00	100,000.00	0.00	2,750.00	0.00	0.00
090613AF7	PVTPL BIOMET INC SR NT 144A 6 5 DUE 08-01-2020	PVTPL1	175000.0000	08/02/2012	07/25/2012	180,250.00	175,000.00	0.00	5,250.00	0.00	0.00
881936AY6	PVTPL OMEGA HEALTHCARE INVS INC GTD SR NT 5.875 DUE 03-15-2024 BEO	PVTPL1	350000.0000	08/06/2012	03/05/2012	376,687.50	350,000.00	0.00	26,687.50	0.00	0.00
12543DAR1	CHS / CMNTY HEALTH SYS INC SR SECD NT 5.125 DUE 08-15-2018		75000.0000	08/08/2012	08/08/2012	76,781.25	75,000.00	0.00	1,781.25	0.00	0.00
750323AD9	RADIATION THERAPY SVCS INC 8.875 DUE 01-15-2017		325000.0000	08/09/2012	Various	309,562.50	321,810.75	0.00	-12,248.25	0.00	0.00
07370UAA8	PVTPL BEAGLE ACQUISITION CORP SR NT 144A 11% DUE 12-31-2019/11-02-2011 BEO	PVTPL1	100000.0000	08/13/2012	03/16/2012	114,500.00	113,012.50	0.00	1,487.50	0.00	0.00
07370UAA8	PVTPL BEAGLE ACQUISITION CORP SR NT 144A 11% DUE 12-31-2019/11-02-2011 BEO	PVTPL1	100000.0000	08/15/2012	03/16/2012	114,250.00	113,012.50	0.00	1,237.50	0.00	0.00

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527298AV5	PVTPL LEVEL 3 FING INC 7 DUE 06-01-2020/08-06-2012 BEO			PVTPL1					
300000 0000		08/16/2012	08/01/2012		298,500.00	300,000.00	0.00	-1,500.00	0.00
52078PAD4	LAWSON SOFTWARE 9 375% DUE 04-01-2019								
125000.0000		08/17/2012	04/25/2012		135,000.00	130,312.50	0.00	4,687.50	0.00
125581FZ6	PVTPL CIT GROUP INC 7 5-2-2016			PVTPL1					
54434 4400		08/20/2012	02/02/2012		54,434.44	54,706.61	0.00	-272.17	0.00
23918KAP3	DAVITA INC 5 75 DUE 08-15-2022								
100000 0000		08/31/2012	08/14/2012		104,000.00	100,000.00	0.00	4,000.00	0.00
23918KAP3	DAVITA INC 5.75 DUE 08-15-2022								
100000 0000		09/04/2012	08/14/2012		104,375.00	100,000.00	0.00	4,375.00	0.00
125581FZ6	PVTPL CIT GROUP INC 7 5-2-2016			PVTPL1					
158767 1300		09/05/2012	02/02/2012		158,767.13	159,560.97	0.00	-793.84	0.00
125581GA0	PVTPL CIT GROUP INC NEW 2ND PRY SECD NTSER C			PVTPL1					
95977.7300	144A 7 DUE 05-02-2017/01-01-2012	09/05/2012	05/07/2012		95,977.73	96,337.65	0.00	-359.92	0.00
165258AA2	PVTPL CHESAPEAKE OIL OP/FIN 6 625 15/11/19			PVTPL1					
175000 0000		09/12/2012	10/25/2011		171,500.00	175,000.00	0.00	-3,500.00	0.00
212015AJ0	PVTPL CONTINENTAL RES INC 5 DUE			PVTPL1					
100000.0000	09-15-2022/08-16-2012 BEO	09/13/2012	08/13/2012		105,000.00	102,375.00	0.00	2,625.00	0.00

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16398
Recipient's Tax ID Number: 95-6092354

☒ Corrected 03/27/2013 ☐ 2nd TIN notice

Recipient's Name and Address: Ref. GL
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN. TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
450913AC2	PVTPL IAMGOLD CORP SR NT 144A 6 75% DUE	PVTPL1									
25000 0000	10-01-2020/09-21-2012 BEO			09/14/2012	09/14/2012	25,187.50	25,000.00	0.00	187.50	0.00	0.00
12543DAL4	CHS / CMNTY HLTH 8% DUE 11-15-2019										
100000 0000	09/17/2012 03/07/2012					111,750.00	102,500.00	0.00	9,250.00	0.00	0.00
125581FZ6	PVTPL CIT GROUP INC 7 5-2-2016	PVTPL1									
61798 4300	09/17/2012 02/02/2012					61,798.43	62,107.42	0.00	-308.99	0.00	0.00
450913AC2	PVTPL IAMGOLD CORP SR NT 144A 6 75% DUE	PVTPL1									
150000 0000	10-01-2020/09-21-2012 BEO			09/17/2012	09/14/2012	150,000.00	150,000.00	0.00	0.00	0.00	0.00
30251GAH0	PVTPL FMG RES AUGUST 2006 PTY LTD SR NT 8 25 DUE	PVTPL1									
125000.0000	11-01-2019			09/18/2012	10/25/2011	126,875.00	125,000.00	0.00	1,875.00	0.00	0.00
450913AC2	PVTPL IAMGOLD CORP SR NT 144A 6.75% DUE	PVTPL1									
75000 0000	10-01-2020/09-21-2012 BEO			09/18/2012	09/14/2012	74,906.25	75,000.00	0.00	-93.75	0.00	0.00
30251GAH0	PVTPL FMG RES AUGUST 2006 PTY LTD SR NT 8.25 DUE	PVTPL1									
275000 0000	11-01-2019			09/19/2012	Various	279,125.00	274,625.00	0.00	4,500.00	0.00	0.00
91911XAM6	PVTPL VALEANT PHARMACEUTICALS INTL GTD SR NT	PVTPL1									
200000.0000	144A 7C 10-01-2020/09-28-2010 BEO			09/19/2012	06/07/2012	212,500.00	197,500.00	0.00	15,000.00	0.00	0.00
37045XAD8	PVTPL GEN MTRS FINL CO INC 4 75 DUE 08-15-2017 BEO	PVTPL1									
350000 0000	09/25/2012 08/13/2012					361,375.00	350,000.00	0.00	11,375.00	0.00	0.00

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16398
Recipient's Tax ID Number: 95-6092354

☒ Corrected 03/27/2013 ☐ 2nd TIN notice

Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Ref: GL

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91911XAT1	PVTPL VALEANT PHARMACEUTICALS INTL SR NT6.375 DUE 10-15-20	PVTPL1	575000 0000	09/25/2012	09/20/2012	585,062.50	575,000.00	0.00	10,062.50	0.00	0.00
18451QAH1	CLEAR CHANNEL WORLDWIDE HLDGS INC 7 625%GTD SR SUB NT SER B DUE 03-15-2020		150000 0000	09/28/2012	02/29/2012	146,250.00	150,000.00	0.00	-3,750.00	0.00	0.00
88033GBV1	PVTPL TENET HEALTHCARE CORP SR NT 6 75 DUE 02-01-2020 BEO	PVTPL1	100000 0000	10/02/2012	10/01/2012	101,250.00	100,000.00	0.00	1,250.00	0.00	0.00
88033GBV1	PVTPL TENET HEALTHCARE CORP SR NT 6 75 DUE 02-01-2020 BEO	PVTPL1	300000 0000	10/03/2012	10/01/2012	302,250.00	300,000.00	0.00	2,250.00	0.00	0.00
88033GBV1	PVTPL TENET HEALTHCARE CORP SR NT 6 75 DUE 02-01-2020 BEO	PVTPL1	200000 0000	10/03/2012	10/01/2012	201,500.00	200,000.00	0.00	1,500.00	0.00	0.00
126634AA2	PVTPL CVR REFNG LLC INC 2ND LIEN SR SECNT 6 5 DUE 11-01-2022	PVTPL1	25000 0000	10/10/2012	10/10/2012	25,125.00	25,000.00	0.00	125.00	0.00	0.00
126634AA2	PVTPL CVR REFNG LLC INC 2ND LIEN SR SECNT 6 5 DUE 11-01-2022	PVTPL1	50000 0000	10/10/2012	10/10/2012	50,250.00	50,000.00	0.00	250.00	0.00	0.00
78375PAK3	RYERSON INC SR SECNT NT FLTG RATE DUE 11-01-2014/11-01-2009 REG		100000 0000	10/10/2012	01/19/2012	100,250.00	94,066.89	0.00	6,183.11	0.00	0.00
15672JAA1	PVTPL CEQUEL COMMUNICATIONS HOLDINGS I LLC 6.375 DUE 09-15-2020	PVTPL1	50000 0000	10/11/2012	10/11/2012	50,750.00	50,000.00	0.00	750.00	0.00	0.00

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16398
Recipient's Tax ID Number: 95-6092354
☒ Corrected 03/27/2013 ☐ 2nd TIN notice

Recipient's Name and Address: Ref. GL
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN. TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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15672JAA1	PVTPL CEQUEL COMMUNICATIONS HOLDINGS I LLC	PVTPL1	100000.0000	10/11/2012	10/11/2012	101,750.00	100,000.00	0.00	1,750.00	0.00	0.00
30218AAB8	EXPRESS LLC / 8.75% DUE 03-01-2018		100000.0000	10/11/2012	12/16/2011	108,500.00	106,012.50	0.00	2,487.50	0.00	0.00
126634AA2	PVTPL CVR REFNG LLC INC 2ND LIEN SR SECNT 6 5	PVTPL1	275000.0000	10/12/2012	10/10/2012	275,343.75	275,000.00	0.00	343.75	0.00	0.00
15672JAA1	PVTPL CEQUEL COMMUNICATIONS HOLDINGS I LLC	PVTPL1	50000.0000	10/12/2012	10/11/2012	50,562.50	50,000.00	0.00	562.50	0.00	0.00
402635AA4	PVTPL GULFPORT ENERGY CORP SR NT 7 75 DUE	PVTPL1	25000.0000	10/12/2012	10/12/2012	24,718.75	24,633.62	0.00	85.13	0.00	0.00
80877UAY3	PVTPL MOMENTIVE PERFORMANCE MATLS INC SRSECD	PVTPL1	175000.0000	10/12/2012	05/17/2012	172,375.00	175,000.00	0.00	-2,625.00	0.00	0.00
78571CAA6	PVTPL SABRE INC SR SECNT 8 5 DUE 05-15-2019	PVTPL1	150000.0000	10/12/2012	09/20/2012	157,125.00	155,250.00	0.00	1,875.00	0.00	0.00
165258AA2	PVTPL CHESAPEAKE OIL OP/FIN 6 625 15/11/19	PVTPL1	75000.0000	10/15/2012	10/25/2011	72,000.00	75,000.00	0.00	-3,000.00	0.00	0.00
18451QAH1	CLEAR CHANNEL WORLDWIDE HLDGS INC 7 625%GTD		150000.0000	10/15/2012	02/29/2012	147,000.00	150,000.00	0.00	-3,000.00	0.00	0.00

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16398
Recipient's Tax ID Number: 95-6092354

☒ Corrected 03/27/2013 ☐ 2nd TIN notice

Recipient's Name and Address: Ref: GL
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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212015AJ0	PVTPL CONTINENTAL RES INC 5 DUE 09-15-2022/08-16-2012 BEO	PVTPL1		10/16/2012	08/13/2012	264,062.50	255,937.50	0.00	8,125.00	0.00	0.00
250000.0000											
165258AA2	PVTPL CHESAPEAKE OIL OP/FIN 6 625 15/11/19	PVTPL1				144,000.00	150,000.00	0.00	-6,000.00	0.00	0.00
150000.0000				10/17/2012	10/25/2011						
402635AA4	PVTPL GULFPORT ENERGY CORP SR NT 7 75 DUE 11-01-2020 BEO	PVTPL1				123,281.25	123,167.50	0.00	113.75	0.00	0.00
125000.0000				10/17/2012	10/12/2012						
402635AA4	PVTPL GULFPORT ENERGY CORP SR NT 7.75 DUE 11-01-2020 BEO	PVTPL1				122,968.75	123,167.50	0.00	-198.75	0.00	0.00
125000.0000				10/18/2012	10/12/2012						
749951AA7	PVTPL RADIO SYS CORP SR SECD 2ND LIEN NT8.375 DUE 11-01-2019	PVTPL1				505,000.00	500,000.00	0.00	5,000.00	0.00	0.00
500000.0000				10/19/2012	10/16/2012						
29977HAA8	PVTPL EVEREST ACQUISITION LLC / EVEREST ACQUIS SR NT 9 375 DUE 05-01-2020	PVTPL1				27,906.25	25,000.00	0.00	2,906.25	0.00	0.00
25000.0000				10/22/2012	04/10/2012						
55336TAA3	MPM ESCR LLC / MPM 8.875% DUE 10-15-2020					75,093.75	75,000.00	0.00	93.75	0.00	0.00
75000.0000				10/22/2012	10/11/2012						
172967GD7	CITIGROUP INC DEP SHS REPSTG 5.95 12-29-2049					431,375.00	425,000.00	0.00	6,375.00	0.00	0.00
425000.0000				10/23/2012	10/22/2012						
55336TAA3	MPM ESCR LLC / MPM 8.875% DUE 10-15-2020					223,734.38	225,000.00	0.00	-1,265.62	0.00	0.00
225000.0000				10/23/2012	10/11/2012						

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16398
Recipient's Tax ID Number: 95-6092354

☒ Corrected 03/27/2013 ☐ 2nd TIN notice

Recipient's Name and Address: Ref GL
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
81753FAA3	PVTPL SERTA SIMMONS HLDGS LLC SR NT 144A8.125% DUE 10-01-2020/10-01-2012 BEO	PVTPL1	125000 0000	10/23/2012	09/19/2012	126,250.00	125,000.00	0.00	1,250.00	0.00	0.00
351647AA0	PVTPL FOXCO ACQSTN SUB LLC / FOXCO ACQUIS 13 375 DUE 07-15-2016 BEO	PVTPL1	250000 0000	10/25/2012	03/28/2012	268,720.00	273,750.00	0.00	-7,030.00	0.00	0.00
81753FAA3	PVTPL SERTA SIMMONS HLDGS LLC SR NT 144A8.125% DUE 10-01-2020/10-01-2012 BEO	PVTPL1	50000 0000	10/25/2012	09/19/2012	50,750.00	50,000.00	0.00	750.00	0.00	0.00
78375RAA1	RYERSON INC / 8% DUE 10-15-2017		100000 0000	11/01/2012	09/27/2012	102,250.00	100,000.00	0.00	2,250.00	0.00	0.00
45820EAX0	INTELSAT LTD 11.25% DUE 06-15-2016		266000 0000	11/02/2012	Various	275,975.00	279,590.00	0.00	-3,615.00	0.00	0.00
30218AAB8	EXPRESS LLC / 8 75% DUE 03-01-2018		125000 0000	11/05/2012	12/16/2011	135,625.00	132,515.63	0.00	3,109.37	0.00	0.00
093645AG4	PVTPL BLOCK COMMUNICATIONS INC 144A 7.252-1-2020	PVTPL1	50000 0000	11/06/2012	01/12/2012	53,115.00	50,000.00	0.00	3,115.00	0.00	0.00
23283PAA2	CYRUSONE LP / 6 375% DUE 11-15-2022		100000 0000	11/06/2012	11/06/2012	102,375.00	100,000.00	0.00	2,375.00	0.00	0.00
412690AC3	PVTPL HARLAND CLARKE HLDGS CORP SR SECD NT 9 75 DUE 08-01-2018	PVTPL1	100000 0000	11/06/2012	07/17/2012	96,480.00	96,141.19	0.00	338.81	0.00	0.00

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16398
Recipient's Tax ID Number: 95-6092354

Ref: GL

Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

☒ Corrected 03/27/2013 ☐ 2nd TIN notice

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78375RAA1	RYERSON INC / 9% DUE 10-15-2017										
125000 0000	11/06/2012 09/27/2012					128,125.00	125,000.00	0.00	3,125.00	0.00	0.00
714615AA9	PERRY ELLIS INTL 7.875% DUE 04-01-2019										
225000 0000	11/13/2012 05/18/2012					234,000.00	225,590.63	0.00	8,409.37	0.00	0.00
001546AQ3	AK STL CORP 8.75% DUE 12-01-2018										
225000 0000	11/15/2012 11/15/2012					225,000.00	225,000.00	0.00	0.00	0.00	0.00
001546AQ3	AK STL CORP 8.75% DUE 12-01-2018										
50000 0000	11/16/2012 11/15/2012					50,375.00	50,000.00	0.00	375.00	0.00	0.00
457983AC8	PVTPL INMET MNG CORP SR NT 8.75 DUE 06-01-2020/05-18-2012 BEO	IMNCN									
125000 0000	11/20/2012 05/15/2012					130,468.75	123,230.00	0.00	7,238.75	0.00	0.00
536022AH9	PVTPL LINN ENERGY LLC / LINN ENERGY FIN CORP 6.25 DUE 11-01-2019/03-02-2012 BEO	PVTPL1									
400000 0000	11/27/2012 02/28/2012					397,500.00	399,956.00	0.00	-2,456.00	0.00	0.00
714615AA9	PERRY ELLIS INTL 7.875% DUE 04-01-2019										
25000 0000	11/27/2012 05/18/2012					26,000.00	25,065.62	0.00	934.38	0.00	0.00
817082AF7	PVTPL SENECA GAMING CORP SR NT 144A 8.25% DUE 12-01-2018/11-18-2010 BEO	PVTPL1									
225000 0000	11/27/2012 06/15/2012					234,000.00	226,732.50	0.00	7,267.50	0.00	0.00
81753FAA3	PVTPL SERTA SIMMONS HLDGS LLC SR NT 144A 8.125% DUE 10-01-2020/10-01-2012 BEO	PVTPL1									
250000 0000	11/28/2012 09/19/2012					249,687.50	250,000.00	0.00	-312.50	0.00	0.00

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16398
Recipient's Tax ID Number: 95-6092354

☒ Corrected 03/27/2013 ☐ 2nd TIN notice

Recipient's Name and Address: Ref: GL
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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78375RAA1	RYERSON INC / 9% DUE 10-15-2017										
100000 0000	12/03/2012 09/27/2012					99,250.00	100,000.00	0.00	-750.00	0.00	0.00
913364AB1	UNITYMEDIA HESSEN GMBH & CO KG / UNITYMEDIA NRW GMBH 144A 5.5 01-15-2023	PVTPL1									
275000 0000	12/06/2012 11/30/2012					281,187.50	275,000.00	0.00	6,187.50	0.00	0.00
172967GF2	CITIGROUP INC FLT RT BD DUE 12-29-2049/02-15-2023 REG										
465000 0000	12/07/2012 12/06/2012					466,162.50	465,000.00	0.00	1,162.50	0.00	0.00
090613AF7	PVTPL BIOMET INC SR NT 144A 6 5 DUE 08-01-2020	PVTPL1									
275000 0000	12/10/2012 09/18/2012					293,562.50	288,750.00	0.00	4,812.50	0.00	0.00
451102AH0	ICAHN ENTERPRISES 8% DUE 01-15-2018										
125000 0000	12/17/2012 01/06/2012					134,375.00	128,125.00	0.00	6,250.00	0.00	0.00
15672WAA2	PVTPL CEQUEL COM HLDG I/CAP CP SR NT 144A 8.625 DUE 11-15-2017	PVTPL1									
150000 0000	12/28/2012 05/31/2012					160,585.50	158,487.50	0.00	2,118.00	0.00	0.00
Total Short Term Sales						28,274,337.61	27,872,302.92	0.00	402,034.69	0.00	0.00
Long Term 15% Sales											
034918AH5	ANGIOTECH PHARMACEUTICALS INC VAR RT DUE 12-01-2013										
100000 0000	01/04/2012 Various					85,855.00	89,391.25	0.00	-3,536.25	0.00	0.00
76972KAC3	ROADHOUSE FING INC SR SECD NT 10.75 10-15-2017										
100000 0000	01/04/2012 09/27/2010					96,250.00	100,000.00	0.00	-3,750.00	0.00	0.00

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CHICAGO, IL 60680

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
60877UAW7	MOMENTIVE 9% DUE 01-15-2021							
150000 0000	01/09/2012	10/27/2010	119,437.50	150,000.00	0.00	-30,562.50	0.00	0.00
637004AC8	NATL MONEY MART CO 10 375% DUE 12-15-2016							
125000 0000	01/09/2012	09/28/2010	135,000.00	133,593.75	0.00	1,406.25	0.00	0.00
37250WAD0	GEOEYE INC 8 625% DUE 10-01-2016							
125000 0000	01/10/2012	10/01/2010	130,937.50	125,000.00	0.00	5,937.50	0.00	0.00
37250WAD0	GEOEYE INC 8 625% DUE 10-01-2016							
125000 0000	01/11/2012	10/01/2010	130,937.50	125,000.00	0.00	5,937.50	0.00	0.00
81180WAB7	#REORG/SEAGATE HDD MAND EXCHANGE SEAGATEHDD		PVTPL1					
100000 0000	0004Y1WAD2	01/18/2012 01/17/2012 12/08/2010	109,503.47	100,000.00	0.00	9,503.47	0.00	0.00
89236LAB8	TOYS R US PPTY CO 10.75% DUE 07-15-2017							
125000 0000	01/17/2012	07/01/2009	137,500.00	122,500.24	0.00	14,999.76	0.00	0.00
302051AQ0	EXIDE TECHNOLOGIES 8.625 DUE 02-01-2018							
150000 0000	01/19/2012	01/13/2011	121,500.00	150,000.00	0.00	-28,500.00	0.00	0.00
76972KAC3	ROADHOUSE FING INC SR SECD NT 10 75 10-15-2017							
200000 0000	01/19/2012	09/27/2010	189,500.00	200,000.00	0.00	-10,500.00	0.00	0.00
89236LAB8	TOYS R US PPTY CO 10.75% DUE 07-15-2017							
300000 0000	01/19/2012	Various	332,625.00	293,415.21	0.00	39,209.79	0.00	0.00

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2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16398
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302051AQ0	EXIDE TECHNOLOGIES 8 625 DUE 02-01-2018										
280000 0000	01/20/2012 01/13/2011					203,125.00	250,000.00	0.00	-46,875.00	0.00	0.00
125581FV5	CIT GROUP INC NEW 7% DUE 05-01-2015										
500000 0000	01/23/2012 03/09/2010					500,000.00	471,202.67	0.00	28,797.33	0.00	0.00
75886AAE8	REGENCY ENERGY 8 875% DUE 12-01-2018										
225000 0000	01/30/2012 10/13/2010					245,250.00	225,000.00	0.00	20,250.00	0.00	0.00
81180WAE1	SEAGATE HDD CAYMAN 7 75 DUE 12-15-2018 REG										
100000 0000	01/30/2012 Various					110,000.00	100,000.00	0.00	10,000.00	0.00	0.00
01449JAE5	ALERE INC SR SUB NT 8 625 DUE 10-01-2018										
100000 0000	01/31/2012 09/15/2010					104,250.00	100,750.00	0.00	3,500.00	0.00	0.00
81180WAE1	SEAGATE HDD CAYMAN 7 75 DUE 12-15-2018 REG										
175000 0000	01/31/2012 12/08/2010					194,250.00	175,000.00	0.00	19,250.00	0.00	0.00
125182AC9	PVTPL CEVA GROUP P L C 1ST LIEN SR SECD NT 8 375% DUE 12-01-2017/12-14-2010 BEO	PVTPL1									
100000 0000	02/01/2012 12/09/2010					97,500.00	100,000.00	0.00	-2,500.00	0.00	0.00
125182AC9	PVTPL CEVA GROUP P L C 1ST LIEN SR SECD NT 8.375% DUE 12-01-2017/12-14-2010 BEO	PVTPL1									
200000 0000	02/03/2012 12/09/2010					195,000.00	200,000.00	0.00	-5,000.00	0.00	0.00
637004AC6	NATL MONEY MART CO 10.375% DUE 12-15-2016										
100000 0000	02/09/2012 02/18/2010					110,750.00	105,000.00	0.00	5,750.00	0.00	0.00

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16398
Recipient's Tax ID Number: 95-6092354

☒ Corrected 03/27/2013 ☐ 2nd TIN notice

Recipient's Name and Address: Ref: GL
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN. TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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Quantity Sold	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
81760NAE9	SERVICEMASTER CO 10 75% DUE 07-15-2015/07-15-2012								
100000.0000	BEO	02/13/2012	05/26/2010		105,375.00	100,000.00	0.00	5,375.00	0.00
014477AM5	ALERIS INTL INC 7.625 DUE 02-15-2018								
175000.0000		02/15/2012	02/04/2011		182,000.00	175,000.00	0.00	7,000.00	0.00
750323AB3	RADIATION THERAPY 9 875% DUE 04-15-2017								
250000.0000		02/15/2012	04/09/2010		176,875.00	248,427.50	0.00	-71,552.50	0.00
81180WAE1	SEAGATE HDD CAYMAN 7.75 DUE 12-15-2018 REG								
250000.0000		02/15/2012	12/08/2010		280,000.00	250,000.00	0.00	30,000.00	0.00
41135QAA2	HAPAG-LLOYD A G 9 75% DUE 10-15-2017								
150000.0000		02/16/2012	Various		137,625.00	152,342.33	0.00	-14,717.33	0.00
911385AU8	UTD RENTALS N AMER 9 25% DUE 12-15-2019								
200000.0000		02/22/2012	11/10/2009		222,000.00	196,764.00	0.00	25,236.00	0.00
00289RAA0	PVTPL ABENGOA SA PVTPL ABENGOA FIN SAU 8 875			PVTPL1					
500000.0000	11-1-2017 8 875 DUE 11-1-2017	02/28/2012	10/19/2010		500,000.00	491,860.77	0.00	8,139.23	0.00
093645AD1	PVTPL BLOCK COMMUNICATIONS INC SR NT 144A 8 25%			PVTPL1					
225000.0000	DUE 12-15-2015/12-15-2011 BEO	03/01/2012	01/11/2010		231,187.50	222,750.00	0.00	8,437.50	0.00
29273VAC4	ENERGY TRANSFER 7 5% DUE 10-15-2020								
375000.0000		03/01/2012	01/05/2011		429,375.00	392,812.50	0.00	36,562.50	0.00

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92240MAP3	VECTOR GROUP LTD 11% DUE 08-15-2015										
200000 0000	08-15-2015/08-15-2008 REG 03/05/2012 03/24/2009					210,210.00	173,769.88	0.00	36,440.12	0.00	0.00
45823WAA7	INTEGRA TELECOM 10.75% DUE 04-15-2016										
250000 0000	03/06/2012 04/09/2010					22,375.00	25,000.00	0.00	-2,625.00	0.00	0.00
81760NAE9	SERVICEMASTER CO 10.75% DUE 07-15-2015/07-15-2012										
200000 0000	BEO 03/07/2012 05/26/2010					21,075.00	20,000.00	0.00	1,075.00	0.00	0.00
81760NAE9	SERVICEMASTER CO 10 75% DUE 07-15-2015/07-15-2012										
260000 0000	BEO 03/15/2012 Various					27,397.50	26,351.68	0.00	1,045.82	0.00	0.00
488360AF5	KEMET CORP SR NT 10 5 DUE 05-01-2018										
100000 0000	03/23/2012 04/21/2010					108,875.00	98,689.11	0.00	10,185.89	0.00	0.00
09776NAB8	BON-TON DEPT STORES INC SR NT 10.25% DUE 03-15-2014/03-15-2012	MTN1									
100000 0000	03/27/2012 11/29/2010					88,000.00	101,000.00	0.00	-13,000.00	0.00	0.00
41135QAA2	HAPAG-LLOYD A G 9 75% DUE 10-15-2017										
100000 0000	03/28/2012 10/01/2010					95,750.00	99,373.10	0.00	-3,623.10	0.00	0.00
00828DAJ0	AFFINION GROUP INC SR SUB NT 11.5% DUE 10-15-2015/10-15-2012	MTN1									
125000 0000	03/29/2012 11/12/2010					118,437.50	130,937.50	0.00	-12,500.00	0.00	0.00
12467AAA6	PVTPL C&S GROUP ENTERPRISES LLC SR SECD NT 04/27/2010 144A 8.375 DUE 05-01-2017	PVTPL1									
300000 0000	03/30/2012 07/29/2010					30,900.00	29,084.95	0.00	1,815.05	0.00	0.00

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16398
Recipient's Tax ID Number: 95-6092354

☒ Corrected 03/27/2013 ☐ 2nd TIN notice

Ref: GL
Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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412690AB5	HARLAND CLARKE 9.5% DUE 05-15-2015										
100000 0000	05-15-2015/05-15-2008 REG					85,000.00	98,750.00	0.00	-13,750.00	0.00	0.00
	04/02/2012 03/08/2011										
823777AH0	SHERIDAN GROUP INC SR SECD NT 12 5 4-15-2014	SPCL1									
97262.4100	04/11/2012 04/06/2011					81,943.58	93,105.87	0.00	-11,162.29	0.00	0.00
09778NAB8	BON-TON DEPT STORES INC SR NT 10 25% DUE 03-15-2014/03-15-2012	MTN1									
200000.0000	04/16/2012 Various					164,000.00	197,875.00	0.00	-33,875.00	0.00	0.00
147446AR9	CASE NEW HOLLAND INC SR NT 7 875 12-1-2017										
125000.0000	04/16/2012 06/22/2010					144,375.00	124,150.00	0.00	20,225.00	0.00	0.00
12612DAD8	CNG HLDGS INC 13 75% DUE 08-15-2015										
103000.0000	04/18/2012 10/21/2010					114,304.25	98,284.20	0.00	16,020.05	0.00	0.00
147446AR9	CASE NEW HOLLAND INC SR NT 7.875 12-1-2017										
150000.0000	04/19/2012 06/22/2010					173,625.00	148,980.00	0.00	24,645.00	0.00	0.00
30250KAC3	FGI HLDG CO INC SR PIK NT 11 25 DUE 10-01-2015										
478372 0000	04/19/2012 Various					502,572.46	435,808.81	0.00	66,763.65	0.00	0.00
44044K309	HORIZON LINES INC COM NEW COM NEW	HRZL									
535.0000	04/19/2012 02/10/2011					1,524.71	6,468.72	0.00	-4,944.01	0.00	0.00
30250XAA9	FGI OPER CO INC 10 25% DUE 08-01-2015 08-01-2015										
180000 0000	04/20/2012 07/15/2009					193,381.20	177,590.47	0.00	15,790.73	0.00	0.00

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16398
Recipient's Tax ID Number: 95-6092354

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W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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51508LAK9	LANDRYS 11 625% DUE 12-01-2015 12-01-2015								
425000 0000	04/24/2012 Various				476,000 00	434,699.88	0.00	41,300.32	0.00
89421JAB8	TRAVELPORT LLC / 9% DUE 03-01-2016								
300000 0000	04/25/2012 08/12/2010				189,750 00	300,000 00	0.00	-110,250 00	0.00
92831RAK8	PVTPL VISKASE COS INC SR SECD NT 144A 9.875% DUE 01-15-2018/12-21-2009 BEO			PVTPL1					
100000 0000	04/27/2012 12/14/2009				105,250 00	98,305.00	0.00	5,945 00	0.00
95058TAB3	WENDYS / ARBYS 10% DUE 07-15-2016								
200000 0000	05/03/2012 08/18/2009				217,475 00	196,658.63	0.00	20,816.37	0.00
12612DAA4	CNG HLDGS INC 12 25% DUE 02-15-2015								
200000 0000	05/09/2012 12/06/2010				218,600 00	219,000.00	0.00	-400.00	0.00
12612DAD8	CNG HLDGS INC 13.75% DUE 08-15-2015								
147000 0000	05/09/2012 10/21/2010				173,210.10	140,361.97	0.00	32,848.13	0.00
01449JAE5	ALERE INC SR SUB NT 8 625 DUE 10-01-2018								
75000 0000	05/16/2012 09/15/2010				76,687.50	75,000 00	0.00	1,687.50	0.00
818149AB2	SEVERSTAL COLBS 10 25 DUE 02-15-2018								
450000 0000	05/18/2012 Various				475,875 00	457,651.25	0.00	18,223.75	0.00
085790AU7	BERRY PLASTICS 9 5% DUE 05-15-2018								
200000 0000	05/22/2012 03/03/2011				211,000 00	199,000 00	0.00	12,000.00	0.00

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
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Client	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
404030AC2	H & E EQUIP SVCS 8 375% DUE 07-15-2016									
250000 0000	07-15-2016/07-15-2011 BEO									
	05/22/2012 07/28/2006					258,437.50	250,000.00	0.00	8,437.50	0.00
41146AAB2	HARBINGER GROUP 10 625% DUE 11-15-2015									
150000 0000	08/04/2012 Various					154,687.50	151,170.97	0.00	3,516.53	0.00
582411AF1	MCMORAN EXPL CO 11 875% DUE 11-15-2014									
150000 0000	11-15-2014/11-15-2008 REG					154,687.50	160,125.00	0.00	-5,437.50	0.00
	06/07/2012 05/03/2010									
582411AF1	MCMORAN EXPL CO 11 875% DUE 11-15-2014									
250000 0000	11-15-2014/11-15-2008 REG					257,500.00	266,875.00	0.00	-9,375.00	0.00
	06/08/2012 05/03/2010									
69138WAA7	PVTPL OXEA FIN & CY S C A SR SECD NT 144A 9 5%	PVTPL1								
14000 0000	DUE 07-15-2017/07-15-2013 BEO					14,420.00	14,000.00	0.00	420.00	0.00
	06/15/2012 07/09/2010									
225310AD3	CR ACCEP CORP MICH 9 125% DUE 02-01-2017									
100000 0000	06/26/2012 02/28/2011					108,750.00	106,000.00	0.00	2,750.00	0.00
01449JAE5	ALERE INC SR SUB NT 8 625 DUE 10-01-2018									
75000 0000	06/27/2012 09/15/2010					75,856.25	75,000.00	0.00	856.25	0.00
1248EPAL7	CCO HLDGS LLC / 7.875% DUE 04-30-2018									
450000 0000	07/11/2012 04/14/2010					490,500.00	450,000.00	0.00	40,500.00	0.00
823777AH0	SHERIDAN GROUP INC SR SECD NT 12.5 4-15-2014	SPCL1								
170209 2100	07/12/2012 04/08/2011					142,963.76	163,755.34	0.00	-20,791.58	0.00

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Account Number: 26-16398
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868536AS2	SUPERVALU INC 7 5% DUE 11-15-2014										
350000.0000	11-15-2014/11-15-2010 REG										
	08/02/2012 07/08/2011					335,125.00	352,625.00	0.00	-17,500.00	0.00	0.00
69138WAA7	PVTPL OXEA FIN & CY S C A SR SECD NT 144A 9 5%	PVTPL1									
14000.0000	DUE 07-15-2017/07-15-2013 BEO					14,420.00	14,000.00	0.00	420.00	0.00	0.00
	08/10/2012 07/09/2010										
46262EAE5	IPAYMENT INC 10 25 DUE 05-15-2018										
100000.0000	08/21/2012 04/29/2011					91,737.50	100,000.00	0.00	-8,262.50	0.00	0.00
707882AB2	PENN VA CORP 10.375% DUE 06-15-2016										
250000.0000	08/22/2012 08/17/2011					249,375.00	271,300.00	0.00	-21,925.00	0.00	0.00
497125AA2	PVTPL KINOVE GERMAN BOND CO GMBH SR SECD NT	PVTPL1									
179000.0000	144A 9 625 DUE 06-15-2018/06-22-2011					196,416.70	179,000.00	0.00	17,416.70	0.00	0.00
	09/06/2012 06/17/2011										
761735AH2	REYNOLDS GROUP ISSUER INC/ REYNOLDS GROU 875										
175000.0000	DUE 08-15-2019					187,468.75	173,806.50	0.00	13,662.25	0.00	0.00
	09/07/2012 07/26/2011										
81760NAE9	SERVICEMASTER CO 10 75% DUE 07-15-2015/07-15-2012										
104000.0000	BEO					106,795.00	105,054.30	0.00	1,740.70	0.00	0.00
	09/10/2012 11/02/2009										
57161AAC6	MARQUETTE TRANSN 10.875% DUE 01-15-2017										
225000.0000	09/11/2012 Various					239,343.75	223,308.00	0.00	16,035.75	0.00	0.00
761735AK5	REYNOLDS GROUP ISSUER INC/ REYNOLDS GROU 7 125										
375000.0000	DUE 04-15-2019					397,500.00	375,000.00	0.00	22,500.00	0.00	0.00
	09/17/2012 10/06/2010										

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
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41135QAA2		HAPAG-LLOYD A G 9 75% DUE 10-15-2017								
150000.0000	09/19/2012	10/01/2010			147,750.00	149,059.65	0.00	-1,309.65	0.00	0.00
516545AC4		LANTHEUS MED IMAGING INC SR NT 9 75 5-15-2017								
100000.0000	09/21/2012	Various			93,750.00	100,375.00	0.00	-6,625.00	0.00	0.00
637004AC6		NATL MONEY MART CO 10 375% DUE 12-15-2016								
400000.0000	09/25/2012	Various			448,726.01	401,793.50	0.00	46,932.51	0.00	0.00
85224CAB0		SQUARETWO FINL 11 625% DUE 04-01-2017								
225000.0000	09/25/2012	04/01/2010			199,814.63	222,135.67	0.00	-22,321.04	0.00	0.00
89078WAB5		TOPS HLDG CORP / 10 125% DUE 10-15-2015								
375000.0000	09/25/2012	Various			396,305.63	375,097.57	0.00	21,208.06	0.00	0.00
12621EAD5		PVTPL CNO FINL GROUP INC SR SECD NT 144A9% DUE 01-15-2018/12-21-2010 BEO		PVTPL1						
375000.0000	09/28/2012	Various			440,118.75	376,375.00	0.00	63,743.75	0.00	0.00
41135QAA2		HAPAG-LLOYD A G 9 75% DUE 10-15-2017								
50000.0000	10/02/2012	10/01/2010			48,000.00	49,686.55	0.00	-1,686.55	0.00	0.00
41135QAA2		HAPAG-LLOYD A G 9 75% DUE 10-15-2017								
125000.0000	10/05/2012	10/01/2010			121,250.00	124,216.37	0.00	-2,966.37	0.00	0.00
284138AK0		ELAN FIN PLC/ELAN FIN CP 8 75 DUE 10-15-2016								
235000.0000	10/09/2012	Various			256,934.90	239,183.50	0.00	17,751.40	0.00	0.00

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284138AM6	ELAN FIN PLC / ELAN FIN CORP GTD SR NT 8.75 10-15-2016										
575000 0000	10/09/2012 08/11/2010					628,670.50	560,489.76	0.00	68,180.74	0.00	0.00
29380TAS4	ENTERTAINMENT PPTYS TR SR NT 7.75 DUE 07-15-2020										
225000 0000	10/09/2012 06/25/2010					261,000.00	221,152.50	0.00	39,847.50	0.00	0.00
78375PAK3	RYERSON INC SR SECD NT FLTG RATE DUE 11-01-2014/11-01-2009 REG										
300000 0000	10/10/2012 07/10/2009					300,750.00	262,992.38	0.00	37,757.62	0.00	0.00
00828DAJ0	AFFINION GROUP INC SR SUB NT 11.5% DUE 10-15-2015/10-15-2012	MTN1									
125000 0000	10/12/2012 11/12/2010					108,250.00	130,937.50	0.00	-24,687.50	0.00	0.00
03754HAA2	APERAM 144A 7.75 DUE 04-01-2018 BEO										
75000 0000	10/12/2012 07/27/2011					62,250.00	74,812.50	0.00	-12,562.50	0.00	0.00
088611AA6	BI-LO LLC / BI-LO 9.25% DUE 02-15-2019										
100000 0000	10/12/2012 Various					105,375.00	101,000.00	0.00	4,375.00	0.00	0.00
44049HAA1	PVTPL HORIZON LINES L L C SR SECD NT 11 DUE 10-15-2016 BEO	PVTPL1									
2000 0000	10/15/2012 10/05/2011					2,000.00	2,000.00	0.00	0.00	0.00	0.00
03754HAA2	APERAM 144A 7.75 DUE 04-01-2018 BEO										
50000 0000	10/18/2012 07/27/2011					41,875.00	49,875.00	0.00	-8,000.00	0.00	0.00
034918AH5	ANGIOTECH PHARMACEUTICALS INC VAR RT DUE 12-01-2013										
24000 0000	10/17/2012 Various					24,000.00	22,308.88	0.00	1,691.12	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-16398
Recipient's Tax ID Number: 95-6092354

☒ Corrected 03/27/2013 ☐ 2nd TIN notice

Ref. GL
Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
84762LAG0	SPECTRUM BRANDS 9 5% DUE 06-15-2018									
150000.0000	11/01/2012 06/04/2010					170,250.00	147,951.00	0.00	22,299.00	0.00
03754HAA2	APERAM 144A 7.75 DUE 04-01-2018 BEO									
100000.0000	11/02/2012 07/27/2011					81,250.00	99,750.00	0.00	-18,500.00	0.00
74819RAK2	QUEBECOR MEDIA INC 7 75% DUE 03-15-2016									
229000.0000	03-15-2016/03-15-2010 REG 11/02/2012 Various					234,915.07	222,595.39	0.00	12,319.68	0.00
84762LAG0	SPECTRUM BRANDS 9 5% DUE 06-15-2018									
175000.0000	11/02/2012 06/04/2010					199,062.50	172,609.50	0.00	26,453.00	0.00
488380AF5	KEMET CORP SR NT 10 5 DUE 05-01-2018									
100000.0000	11/08/2012 04/21/2010					99,750.00	98,689.11	0.00	1,060.89	0.00
861642AK2	STONE ENERGY CORP 8.625% DUE 02-01-2017 02-01-2017									
255000.0000	11/08/2012 01/12/2010					271,575.00	251,718.15	0.00	19,856.85	0.00
444454AA0	HUGHES SATELLITE 7 625% DUE 06-15-2021									
125000.0000	11/14/2012 05/17/2011					139,375.00	125,000.00	0.00	14,375.00	0.00
12467AAA6	PVTPL C&S GROUP ENTERPRISES LLC SR SECD NT	PVTPL1								
100000.0000	04/27/2010 144A 8.375 DUE 05-01-2017 11/20/2012 07/29/2010					104,625.00	98,758.83	0.00	7,866.17	0.00
714615AA9	PERRY ELLIS INTL 7 875% DUE 04-01-2019									
200000.0000	11/27/2012 03/02/2011					208,000.00	200,000.00	0.00	8,000.00	0.00

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THE NORTHERN TRUST COMPANY
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45031TAR5	ITC DELTACOM INC 10 5 04-01-2016										
24000 0000	12/06/2012 01/11/2011					24,720.00	26,430.00	0.00	-1,710.00	0.00	0.00
516545AC4	LANTHEUS MED IMAGING INC SR NT 9 75 5-15-2017										
225000 0000	12/07/2012 Various					209,250.00	221,021.22	0.00	-11,771.22	0.00	0.00
29667WAA0	PVTPL ESSAR STL ALGOMA INC SR SECD NT 144A 9 375	PVTPL1									
50000 0000	DUE 03-15-2015/12-14-2009 BEO 12/11/2012 02/04/2011					43,000.00	50,250.00	0.00	-7,250.00	0.00	0.00
29667WAA0	PVTPL ESSAR STL ALGOMA INC SR SECD NT 144A 9 375	PVTPL1									
150000 0000	DUE 03-15-2015/12-14-2009 BEO 12/12/2012 02/04/2011					135,000.00	150,750.00	0.00	-15,750.00	0.00	0.00
60877UAT4	MOMENTIVE 12.5% DUE 06-15-2014										
250000 0000	12/17/2012 05/24/2010					257,812.50	270,000.00	0.00	-12,187.50	0.00	0.00
84762LAG0	SPECTRUM BRANDS 9.5% DUE 06-15-2018										
200000.0000	12/17/2012 11/02/2011					228,250.00	217,000.00	0.00	11,250.00	0.00	0.00
87263GAB9	TPC GROUP LLC 8 25% DUE 10-01-2017										
250000.0000	12/20/2012 09/28/2010					278,112.50	248,375.00	0.00	29,737.50	0.00	0.00
41146AAB2	HARBINGER GROUP 10 625% DUE 11-15-2015										
350000.0000	12/24/2012 11/05/2010					382,133.50	346,847.19	0.00	35,286.31	0.00	0.00
74819RAK2	QUEBECOR MEDIA INC 7 75% DUE 03-15-2016										
271000.0000	03-15-2016/03-15-2010 REG 12/26/2012 Various					278,079.88	258,129.47	0.00	19,950.41	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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90320RAA2	PVTPL UPC GERMANY GMBH USD SR SECD NT 144A	PVTPL1									
200000 0000	8 125 DUE 12-01-2017 / 12-01-2012 BEO 12/31/2012 08/25/2011					218,250.00	203,025.00	0.00	13,225.00	0.00	0.00
Total Long Term 15% Sales						20,663,217.85	20,054,115.34	0.00	609,102.51	0.00	0.00

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Gain/Loss on Cash Disbursements

Gain/loss on cash disbursements							
Gain/loss on cash disbursements							
Euro - EUR	17,568.09	- 18,210.31	0.00	0.00	- 642.22	- 642.22	0.00
Total Gain/Loss on Cash Disbursements	17,568.09	- 18,210.31	0.00	0.00	- 642.22	- 642.22	0.00
Total S/T translation gain/loss Gain/Loss on Cash Disbursements					- 642.22		

◆ **Realized Gain/Loss Summary - Taxable** Page 7 of 30

	Proceeds	Cost	Short Term	Market Long Term	Realized gain/loss Translation	Short Term	Total Long Term
<i>Gain/Loss on Cash Disbursements</i>							
Gain/loss on cash disbursements							
Total LT translation gain/loss Gain/Loss on Cash Disbursements					0.00		
Total realized gains for Gain/Loss on Cash Disbursements			0.00	0.00	0.00	0.00	0.00
Total realized losses for Gain/Loss on Cash Disbursements			0.00	0.00	- 642.22	- 642.22	0.00
Total Gain/Loss on Cash Disbursements	17,568.09	- 18,210.31	0.00	0.00	- 642.22	- 642.22	0.00
Total Gain/Loss on Cash Disbursements	17,568.09	- 18,210.31	0.00	0.00	- 642.22	- 642.22	0.00

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400 S. HOPE STREET, SUITE 2000
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2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales											
018581108	ALLIANCE DATA SYSTEMS CORP	ADS	400.0000	01/03/2012	10/03/2011	41,233.65	35,552.80	0.00	5,680.85	0.00	0.00
018581108	ALLIANCE DATA SYSTEMS CORP	ADS	1900.0000	01/03/2012	Various	198,360.93	177,709.30	0.00	20,651.63	0.00	0.00
20605P101	CONCHO RES INC COM STK	CXO	500.0000	01/03/2012	09/08/2011	48,291.57	44,064.80	0.00	4,226.77	0.00	0.00
G7496G103	RENAISSANCE HOLDINGS LTD	RNR	200.0000	02/08/2012	11/16/2011	15,012.25	14,007.54	0.00	1,004.71	0.00	0.00
23918K108	DAVITA INC	DVA	500.0000	02/07/2012	05/23/2011	42,144.84	41,915.95	0.00	228.89	0.00	0.00
82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE	SHPG	300.0000	02/07/2012	02/14/2011	31,080.72	24,642.60	0.00	6,438.12	0.00	0.00
82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE	SHPG	1000.0000	02/07/2012	Various	104,483.19	82,312.44	0.00	22,170.75	0.00	0.00
82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE	SHPG	1400.0000	02/07/2012	Various	145,589.22	127,547.24	0.00	18,041.98	0.00	0.00
G7496G103	RENAISSANCE HOLDINGS LTD	RNR	700.0000	02/07/2012	11/03/2011	52,691.27	47,856.34	0.00	4,834.93	0.00	0.00

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
G7496G103	RENAISSANCE HOLDINGS LTD	RNR	400.0000	02/07/2012	Various	30,163.38	27,847.93	0.00	2,315.45	0.00	0.00
189754104	COACH INC	COH	900.0000	02/09/2012	11/15/2011	66,644.53	57,728.25	0.00	8,916.28	0.00	0.00
82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE	SHPG	1300.0000	02/09/2012	Various	135,079.75	106,218.41	0.00	28,861.34	0.00	0.00
835898107	SOTHEBYS HOLDINGS INC CL A	BID	300.0000	02/09/2012	04/29/2011	11,697.61	15,045.99	0.00	-3,348.38	0.00	0.00
835898107	SOTHEBYS HOLDINGS INC CL A	BID	3500.0000	02/09/2012	Various	136,894.61	176,256.68	0.00	-39,362.07	0.00	0.00
82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE	SHPG	1500.0000	02/10/2012	Various	156,802.08	121,470.81	0.00	35,331.27	0.00	0.00
835898107	SOTHEBYS HOLDINGS INC CL A	BID	1200.0000	02/10/2012	Various	45,283.04	53,772.00	0.00	-8,488.96	0.00	0.00
835898107	SOTHEBYS HOLDINGS INC CL A	BID	4400.0000	02/10/2012	Various	166,869.87	215,460.50	0.00	-48,590.63	0.00	0.00
23918K108	DAVITA INC	DVA	200.0000	02/13/2012	Various	16,842.66	16,765.00	0.00	77.66	0.00	0.00

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Group	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
835898107	SOTHEBYS HOLDINGS INC CL A	BID									
2500 0000	02/13/2012 Various					95,434.41	107,697.20	0.00	-12,262.79	0.00	0.00
835898107	SOTHEBYS HOLDINGS INC CL A	BID									
1100 0000	02/13/2012 Various					42,058.79	45,358.95	0.00	-3,300.16	0.00	0.00
23918K108	DAVITA INC	DVA									
300 0000	02/14/2012 06/27/2011					25,259.51	25,145.43	0.00	114.08	0.00	0.00
23918K108	DAVITA INC	DVA									
300.0000	02/15/2012 08/01/2011					26,084.59	24,656.07	0.00	1,428.52	0.00	0.00
835898107	SOTHEBYS HOLDINGS INC CL A	BID									
3300.0000	02/15/2012 Various					128,151.54	127,404.49	0.00	747.05	0.00	0.00
23918K108	DAVITA INC	DVA									
300 0000	02/17/2012 08/01/2011					26,292.99	24,656.07	0.00	1,636.92	0.00	0.00
835898107	SOTHEBYS HOLDINGS INC CL A	BID									
1400 0000	02/17/2012 Various					54,885.45	51,306.18	0.00	3,579.27	0.00	0.00
835898107	SOTHEBYS HOLDINGS INC CL A	BID									
1700.0000	02/21/2012 Various					67,076.88	58,228.38	0.00	8,848.50	0.00	0.00
835898107	SOTHEBYS HOLDINGS INC CL A	BID									
1000 0000	02/22/2012 Various					38,712.80	32,988.10	0.00	5,724.70	0.00	0.00

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78388J106	SBA COMMUNICATIONS CORP	SBAC	900.0000	02/23/2012	03/31/2011	42,265.03	35,752.86	0.00	6,512.17	0.00	0.00
835898107	SOTHEBYS HOLDINGS INC CL A	BID	2500.0000	02/23/2012	Various	96,044.51	73,955.39	0.00	22,089.12	0.00	0.00
25470F302	DISCOVERY COMMUNICATIONS INC NEW COM SER C	DISCK	800.0000	03/06/2012	11/11/2011	34,719.93	31,444.00	0.00	3,275.93	0.00	0.00
189754104	COACH INC	COH	700.0000	03/08/2012	11/15/2011	53,700.09	44,899.75	0.00	8,800.34	0.00	0.00
78388J106	SBA COMMUNICATIONS CORP	SBAC	1000.0000	03/08/2012	Various	49,168.81	39,510.92	0.00	9,657.89	0.00	0.00
78388J106	SBA COMMUNICATIONS CORP	SBAC	900.0000	03/09/2012	Various	44,729.28	35,171.44	0.00	9,557.84	0.00	0.00
25470F302	DISCOVERY COMMUNICATIONS INC NEW COM SER C	DISCK	500.0000	03/13/2012	Various	22,474.59	19,591.00	0.00	2,883.59	0.00	0.00
485170302	KANSAS CITY SOUTHERN INDS INC NEW	KSU	600.0000	03/16/2012	01/24/2012	44,459.19	38,755.80	0.00	5,703.39	0.00	0.00
25470F302	DISCOVERY COMMUNICATIONS INC NEW COM SER C	DISCK	1100.0000	03/19/2012	Various	49,868.92	42,456.48	0.00	7,412.44	0.00	0.00

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485170302			KANSAS CITY SOUTHERN INDS INC NEW	KSU					
100.0000	03/19/2012	01/24/2012		7,456.90	6,459.30	0.00	997.60	0.00	0.00
485170302			KANSAS CITY SOUTHERN INDS INC NEW	KSU					
300.0000	03/20/2012	01/24/2012		21,663.17	19,304.94	0.00	2,358.23	0.00	0.00
25470F302			DISCOVERY COMMUNICATIONS INC NEW COM SER C	DISCK					
300.0000	03/21/2012	06/23/2011		13,597.07	10,899.72	0.00	2,697.35	0.00	0.00
678026105			OIL STS INTL INC COM ISIN US6780261052	OIS					
2800.0000	03/21/2012	Various		228,570.52	227,338.29	0.00	1,232.23	0.00	0.00
678026105			OIL STS INTL INC COM ISIN US6780261052	OIS					
1300.0000	03/23/2012	Various		105,020.81	101,489.32	0.00	3,531.49	0.00	0.00
25470F302			DISCOVERY COMMUNICATIONS INC NEW COM SER C	DISCK					
500.0000	04/02/2012	Various		23,587.22	18,071.44	0.00	5,515.78	0.00	0.00
23918K108			DAVITA INC	DVA					
1400.0000	04/03/2012	Various		125,324.08	107,899.29	0.00	17,424.79	0.00	0.00
78388J106			SBA COMMUNICATIONS CORP	SBAC					
1000.0000	04/11/2012	04/29/2011		51,477.04	38,750.00	0.00	12,727.04	0.00	0.00
78388J106			SBA COMMUNICATIONS CORP	SBAC					
1000.0000	04/16/2012	Various		52,232.82	38,482.00	0.00	13,750.82	0.00	0.00

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88023U101	TEMPUR-PEDIC INTL INC COM	TPX									
1200 0000	04/17/2012 Various					103,071.33	88,311.41	0.00	14,759.92	0.00	0.00
20605P101	CONCHO RES INC COM STK	CXO									
700.0000	04/19/2012 Various					69,024.33	70,503.88	0.00	-1,479.55	0.00	0.00
189754104	COACH INC	COH									
900.0000	04/20/2012 Various					67,577.68	56,066.03	0.00	11,511.65	0.00	0.00
92769L101	VIRGIN MEDIA INC COM STK	VMED									
1200.0000	04/24/2012 Various					28,529.47	36,021.00	0.00	-7,491.53	0.00	0.00
25470F302	DISCOVERY COMMUNICATIONS INC NEW COM SERC	DISCK									
900.0000	04/28/2012 06/13/2011					43,901.19	32,485.95	0.00	11,415.24	0.00	0.00
78388J106	SBA COMMUNICATIONS CORP	SBAC									
1000 0000	04/30/2012 08/29/2011					53,909.99	36,270.00	0.00	17,639.99	0.00	0.00
78388J106	SBA COMMUNICATIONS CORP	SBAC									
200.0000	04/30/2012 Various					10,768.08	7,468.50	0.00	3,299.58	0.00	0.00
23918K108	DAVITA INC	DVA									
600 0000	05/01/2012 Various					53,681.81	44,097.44	0.00	9,584.37	0.00	0.00
92769L101	VIRGIN MEDIA INC COM STK	VMED									
100.0000	05/02/2012 07/08/2011					2,447.15	2,898.00	0.00	-450.85	0.00	0.00

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Ref: GL

Sales are listed at Gross Proceeds less commissions and option premiums.

Group	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
G24140108	#REORG/COOPER INDUSTRIES CASH AND STK MERGER	CBE	700.0000	05/04/2012	02/23/2012	43,215.70	42,477.75	0.00	737.95	0.00	0.00
88023U101	TEMPUR-PEDIC INTL INC COM	TPX	4900.0000	05/07/2012	Various	253,097.44	354,227.67	0.00	-101,130.23	0.00	0.00
20605P101	CONCHO RES INC COM STK	CXO	700.0000	05/08/2012	Various	64,120.10	63,650.68	0.00	469.42	0.00	0.00
G24140108	#REORG/COOPER INDUSTRIES CASH AND STK MERGER	CBE	700.0000	05/09/2012	Various	42,550.29	42,137.20	0.00	413.09	0.00	0.00
G24140108	#REORG/COOPER INDUSTRIES CASH AND STK MERGER	CBE	500.0000	05/10/2012	02/27/2012	30,507.21	30,000.70	0.00	506.51	0.00	0.00
G24140108	#REORG/COOPER INDUSTRIES CASH AND STK MERGER	CBE	500.0000	05/11/2012	Various	30,567.16	29,983.87	0.00	583.29	0.00	0.00
189754104	COACH INC	COH	1000.0000	05/16/2012	Various	67,501.18	63,248.04	0.00	4,253.14	0.00	0.00
23918K108	DAVITA INC	DVA	4800.0000	05/21/2012	Various	383,854.10	376,588.96	0.00	7,265.14	0.00	0.00
G24140108	#REORG/COOPER INDUSTRIES CASH AND STK MERGER	CBE	1200.0000	05/21/2012	Various	84,024.99	65,511.00	0.00	18,513.99	0.00	0.00

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-91432
Recipient's Tax ID Number: 95-6092354

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Ref: GL

Sales are listed at Gross Proceeds less commissions and option premiums.

Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
G24140108	#REORG/COOPER INDUSTRIES CASH AND STK MERGER EATON CORP 2K1XAN1 11/30/2012	05/21/2012 Various	CBE	397,593.45	335,071.32	0.00	62,522.13	0.00
5700 0000								
G24140108	#REORG/COOPER INDUSTRIES CASH AND STK MERGER EATON CORP 2K1XAN1 11/30/2012	05/21/2012 Various	CBE	769,727.52	628,682.33	0.00	140,045.19	0.00
11000 0000								
G24140108	#REORG/COOPER INDUSTRIES CASH AND STK MERGER EATON CORP 2K1XAN1 11/30/2012	05/22/2012 Various	CBE	14,053.28	10,899.59	0.00	3,153.67	0.00
200.0000								
G24140108	#REORG/COOPER INDUSTRIES CASH AND STK MERGER EATON CORP 2K1XAN1 11/30/2012	05/23/2012 01/04/2012	CBE	7,019.86	5,443.27	0.00	1,576.59	0.00
100.0000								
189754104	COACH INC		COH					
1000 0000	05/24/2012 Various			69,075.85	61,234.50	0.00	7,841.35	0.00
G24140108	#REORG/COOPER INDUSTRIES CASH AND STK MERGER EATON CORP 2K1XAN1 11/30/2012	05/24/2012 01/04/2012	CBE	21,359.94	16,329.81	0.00	5,030.13	0.00
300 0000								
G7496G103	RENAISSANCE HOLDINGS LTD		RNR					
800 0000	05/24/2012 Various			62,677.94	59,736.08	0.00	2,941.88	0.00
G24140108	#REORG/COOPER INDUSTRIES CASH AND STK MERGER EATON CORP 2K1XAN1 11/30/2012	05/25/2012 01/04/2012	CBE	14,279.89	10,886.54	0.00	3,393.35	0.00
200.0000								
G24140108	#REORG/COOPER INDUSTRIES CASH AND STK MERGER EATON CORP 2K1XAN1 11/30/2012	05/29/2012 01/04/2012	CBE	14,296.37	10,886.54	0.00	3,409.83	0.00
200 0000								

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-91432
Recipient's Tax ID Number: 95-6092354

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Ref: GL

Sales are listed at Gross Proceeds less commissions and option premiums.

Quantity Sold	Date of Sale or Exchange	Description	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
G24140108 400.0000	05/30/2012	#REORG/COOPER INDUSTRIES CASH AND STK MERGER EATON CORP 2K1XAN1 11/30/2012	Various	CBE	28,365.60	21,528.78	0.00	6,836.82	0.00
G24140108 300.0000	05/31/2012	#REORG/COOPER INDUSTRIES CASH AND STK MERGER EATON CORP 2K1XAN1 11/30/2012	12/12/2011	CBE	21,131.07	15,963.36	0.00	5,167.71	0.00
G24140108 200.0000	06/01/2012	#REORG/COOPER INDUSTRIES CASH AND STK MERGER EATON CORP 2K1XAN1 11/30/2012	12/12/2011	CBE	13,793.59	10,642.24	0.00	3,151.35	0.00
G24140108 300.0000	06/04/2012	#REORG/COOPER INDUSTRIES CASH AND STK MERGER EATON CORP 2K1XAN1 11/30/2012	12/12/2011	CBE	20,304.68	15,963.36	0.00	4,341.32	0.00
G24140108 300.0000	06/05/2012	#REORG/COOPER INDUSTRIES CASH AND STK MERGER EATON CORP 2K1XAN1 11/30/2012	12/21/2011	CBE	20,342.30	15,839.91	0.00	4,502.39	0.00
G24140108 200.0000	06/06/2012	#REORG/COOPER INDUSTRIES CASH AND STK MERGER EATON CORP 2K1XAN1 11/30/2012	12/21/2011	CBE	13,762.55	10,559.94	0.00	3,202.61	0.00
G24140108 1200.0000	06/07/2012	#REORG/COOPER INDUSTRIES CASH AND STK MERGER EATON CORP 2K1XAN1 11/30/2012	08/01/2011	CBE	83,304.17	63,074.18	0.00	20,230.01	0.00
G24140108 1800.0000	06/12/2012	#REORG/COOPER INDUSTRIES CASH AND STK MERGER EATON CORP 2K1XAN1 11/30/2012	Various	CBE	123,607.18	94,003.57	0.00	29,603.61	0.00
485170302 900.0000	06/20/2012	KANSAS CITY SOUTHERN INDS INC NEW	08/19/2012	KSU	62,802.48	64,329.57	0.00	-1,527.09	0.00

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2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-91432
Recipient's Tax ID Number: 95-6092354

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN. TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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Ref GL

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
N63218106	NIELSEN HOLDINGS B V EURO 07	NLSN									
1600.0000	06/22/2012 03/20/2012					43,845.25	48,400.00	0.00	-4,554.75	0.00	0.00
23918K108	DAVITA INC	DVA									
1200.0000	06/27/2012 06/19/2012					115,002.06	112,097.28	0.00	2,904.78	0.00	0.00
78388J106	SBA COMMUNICATIONS CORP	SBAC									
3000.0000	08/27/2012 06/18/2012					172,376.13	163,112.70	0.00	9,263.43	0.00	0.00
278865100	ECOLAB INC	ECL									
1300.0000	08/29/2012 06/18/2012					88,156.61	86,991.32	0.00	1,165.29	0.00	0.00
189754104	COACH INC	COH									
3200.0000	07/03/2012 Various					188,357.36	197,732.36	0.00	-9,375.00	0.00	0.00
25470F302	DISCOVERY COMMUNICATIONS INC NEW COM SERC	DISCK									
1000.0000	07/09/2012 06/18/2012					48,696.20	47,968.00	0.00	728.20	0.00	0.00
25470F302	DISCOVERY COMMUNICATIONS INC NEW COM SERC	DISCK									
800.0000	07/10/2012 06/18/2012					37,171.48	38,374.40	0.00	-1,202.92	0.00	0.00
25470F302	DISCOVERY COMMUNICATIONS INC NEW COM SERC	DISCK									
700.0000	07/10/2012 06/18/2012					32,708.37	33,577.60	0.00	-869.23	0.00	0.00
278865100	ECOLAB INC	ECL									
1600.0000	07/11/2012 06/18/2012					107,292.95	107,066.24	0.00	226.71	0.00	0.00

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W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
018581108	ALLIANCE DATA SYSTEMS CORP	ADS	400.0000	07/12/2012	06/18/2012	52,381.14	52,103.98	0.00	277.18	0.00	0.00
018581108	ALLIANCE DATA SYSTEMS CORP	ADS	4700.0000	07/12/2012	06/18/2012	614,610.86	612,221.53	0.00	2,389.33	0.00	0.00
018581108	ALLIANCE DATA SYSTEMS CORP	ADS	700.0000	07/13/2012	06/18/2012	92,237.00	91,181.93	0.00	1,055.07	0.00	0.00
018581108	ALLIANCE DATA SYSTEMS CORP	ADS	2000.0000	07/17/2012	06/18/2012	262,158.12	260,519.80	0.00	1,638.32	0.00	0.00
018581108	ALLIANCE DATA SYSTEMS CORP	ADS	1300.0000	07/18/2012	06/18/2012	167,934.52	169,337.87	0.00	-1,403.35	0.00	0.00
278865100	ECOLAB INC	ECL	400.0000	07/20/2012	06/18/2012	26,970.11	26,766.56	0.00	203.55	0.00	0.00
278865100	ECOLAB INC	ECL	500.0000	07/23/2012	06/18/2012	33,302.30	33,458.20	0.00	-155.90	0.00	0.00
92769L101	VIRGIN MEDIA INC COM STK	VMED	2900.0000	07/26/2012	Various	75,612.32	78,589.26	0.00	-2,976.94	0.00	0.00
92769L101	VIRGIN MEDIA INC COM STK	VMED	600.0000	07/27/2012	07/28/2011	16,210.80	16,104.24	0.00	106.56	0.00	0.00

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2012 Tax Information Statement

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Quantity Sold	Date of Sale or Exchange	Description	Date of Acquisition	Stock or Other Symbol	Stock or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
92769L101		VIRGIN MEDIA INC COM STK		VMED					
1800.0000	07/27/2012	Various			48,791.32	47,061.24	0.00	1,730.08	0.00
20605P101		CONCHO RES INC COM STK		CXO					
1900.0000	07/30/2012	06/18/2012			161,848.25	170,674.53	0.00	-8,826.28	0.00
25470F302		DISCOVERY COMMUNICATIONS INC NEW COM SER C		DISCK					
1800.0000	07/30/2012	06/18/2012			86,849.31	86,342.40	0.00	506.91	0.00
278865100		ECOLAB INC		ECL					
300.0000	07/30/2012	06/18/2012			20,494.04	20,074.92	0.00	419.12	0.00
278865100		ECOLAB INC		ECL					
1800.0000	07/30/2012	06/18/2012			122,865.24	120,449.52	0.00	2,415.72	0.00
278865100		ECOLAB INC		ECL					
3700.0000	07/31/2012	06/18/2012			243,143.37	247,590.68	0.00	-4,447.31	0.00
278865100		ECOLAB INC		ECL					
7400.0000	07/31/2012	06/18/2012			486,095.84	495,181.36	0.00	-9,085.52	0.00
678026105		OIL STS INTL INC COM ISIN US6780261052		OIS					
500.0000	07/31/2012	Various			36,479.18	37,006.83	0.00	-527.65	0.00
678026105		OIL STS INTL INC COM ISIN US6780261052		OIS					
1600.0000	07/31/2012	Various			119,054.77	119,628.88	0.00	-574.11	0.00

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2012 Tax Information Statement

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LOS ANGELES, CA 90071

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Ref: GL

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
678026105	OIL STS INTL INC COM ISIN US6780261052	OIS	5100.0000	07/31/2012	Various	374,532.03	389,476.14	0.00	-14,944.11	0.00	0.00
23918K108	DAVITA INC	DVA	1300.0000	08/01/2012	06/19/2012	128,487.43	121,438.72	0.00	7,048.71	0.00	0.00
23918K108	DAVITA INC	DVA	500.0000	08/01/2012	06/19/2012	49,343.94	46,707.20	0.00	2,636.74	0.00	0.00
678026105	OIL STS INTL INC COM ISIN US6780261052	OIS	9400.0000	08/01/2012	Various	704,578.11	653,431.31	0.00	51,146.80	0.00	0.00
678026105	OIL STS INTL INC COM ISIN US6780261052	OIS	2700.0000	08/01/2012	08/18/2012	206,767.03	181,436.76	0.00	25,330.27	0.00	0.00
366651107	GARTNER GROUP INC NEW CL A	IT	3000.0000	08/03/2012	06/19/2012	140,543.24	131,427.60	0.00	9,115.64	0.00	0.00
678026105	OIL STS INTL INC COM ISIN US6780261052	OIS	6500.0000	08/03/2012	06/18/2012	495,506.64	436,792.20	0.00	58,714.44	0.00	0.00
78388J106	SBA COMMUNICATIONS CORP	SBAC	900.0000	08/03/2012	06/18/2012	54,227.20	48,933.81	0.00	5,293.39	0.00	0.00
92769L101	VIRGIN MEDIA INC COM STK	VMED	4000.0000	08/03/2012	Various	111,997.47	102,097.22	0.00	9,900.25	0.00	0.00

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WEALTH & TAX ADVISORY SERVICES, INC
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25470F302	DISCOVERY COMMUNICATIONS INC NEW COM SERC			DISCK					
3100.0000	COM SER C	08/08/2012	06/18/2012		157,166.47	148,700.80	0.00	8,465.67	0.00
92769L101	VIRGIN MEDIA INC COM STK			VMED					
2100.0000	Various	08/09/2012	Various		57,338.16	52,405.59	0.00	4,932.57	0.00
25470F302	DISCOVERY COMMUNICATIONS INC NEW COM SERC			DISCK					
3600.0000	COM SER C	08/04/2012	06/18/2012		186,553.93	172,684.80	0.00	13,869.13	0.00
23918K108	DAVITA INC			DVA					
800.0000		09/05/2012	08/21/2012		81,625.29	77,240.00	0.00	4,385.29	0.00
485170302	KANSAS CITY SOUTHERN INDS INC NEW			KSU					
2000.0000		09/05/2012	06/19/2012		153,392.16	142,954.60	0.00	10,437.56	0.00
20605P101	CONCHO RES INC COM STK			CXO					
1000.0000		09/06/2012	06/18/2012		93,248.71	89,828.70	0.00	3,420.01	0.00
92769L101	VIRGIN MEDIA INC COM STK			VMED					
1000.0000		09/06/2012	10/31/2011		28,749.35	24,408.30	0.00	4,341.05	0.00
485170302	KANSAS CITY SOUTHERN INDS INC NEW			KSU					
500.0000		09/10/2012	06/19/2012		39,858.75	35,738.65	0.00	4,120.10	0.00
485170302	KANSAS CITY SOUTHERN INDS INC NEW			KSU					
400.0000		09/10/2012	06/19/2012		31,893.28	28,580.92	0.00	3,292.36	0.00

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
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009363102	AIRGAS INC	ARG									
1800.0000	09/11/2012 Various					154,205.60	149,023.14	0.00	5,182.46	0.00	0.00
189754104	COACH INC	COH									
1000.0000	09/11/2012 11/18/2011					62,268.00	60,976.40	0.00	1,291.60	0.00	0.00
189754104	COACH INC	COH									
2200.0000	09/11/2012 Various					133,545.58	134,054.39	0.00	-508.81	0.00	0.00
485170302	KANSAS CITY SOUTHERN INDS INC NEW	KSU									
800.0000	09/11/2012 06/19/2012					64,798.22	57,181.84	0.00	7,616.38	0.00	0.00
009363102	AIRGAS INC	ARG									
1000.0000	09/12/2012 Various					83,265.23	82,497.87	0.00	767.36	0.00	0.00
009363102	AIRGAS INC	ARG									
3400.0000	09/13/2012 Various					279,290.14	280,382.56	0.00	-1,092.42	0.00	0.00
099724106	BORG WARNER AUTOMOTIVE INC	BWA									
1800.0000	09/18/2012 08/28/2012					135,322.94	123,320.34	0.00	12,002.60	0.00	0.00
485170302	KANSAS CITY SOUTHERN INDS INC NEW	KSU									
1900.0000	09/19/2012 Various					150,154.20	133,683.71	0.00	16,470.49	0.00	0.00
92769L101	VIRGIN MEDIA INC COM STK	VMED									
300.0000	09/19/2012 10/31/2011					8,974.03	7,310.49	0.00	1,663.54	0.00	0.00

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366651107	GARTNER GROUP INC NEW CL A	IT	500.0000	09/21/2012	06/19/2012	24,163.90	21,904.60	0.00	2,259.30	0.00	0.00
366651107	GARTNER GROUP INC NEW CL A	IT	800.0000	09/25/2012	06/19/2012	37,909.38	35,047.36	0.00	2,862.02	0.00	0.00
485170302	KANSAS CITY SOUTHERN INDS INC NEW	KSU	2200.0000	10/02/2012	06/18/2012	165,824.80	153,657.02	0.00	12,167.78	0.00	0.00
23918K108	DAVITA INC	DVA	400.0000	10/03/2012	09/10/2012	42,310.89	39,384.92	0.00	2,925.97	0.00	0.00
23918K108	DAVITA INC	DVA	2400.0000	10/03/2012	Various	257,141.51	229,593.98	0.00	27,547.53	0.00	0.00
099724106	BORG WARNER AUTOMOTIVE INC	BWA	100.0000	10/09/2012	09/26/2012	7,195.83	6,931.91	0.00	263.92	0.00	0.00
099724106	BORG WARNER AUTOMOTIVE INC	BWA	1100.0000	10/10/2012	09/26/2012	73,684.48	76,251.01	0.00	-2,566.53	0.00	0.00
099724106	BORG WARNER AUTOMOTIVE INC	BWA	1100.0000	10/11/2012	Various	74,317.30	75,927.89	0.00	-1,610.59	0.00	0.00
92769L101	VIRGIN MEDIA INC COM STK	VMED	3600.0000	10/12/2012	Various	110,877.51	84,816.24	0.00	26,061.27	0.00	0.00

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2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-91432
Recipient's Tax ID Number: 95-6092354

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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Ref: GL

Sales are listed at Gross Proceeds less commissions and option premiums.

Gusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
92769L101	VIRGIN MEDIA INC COM STK	VMED	4700 0000	10/15/2012	06/19/2012	146,167.66	110,381.38	0.00	35,786.28	0.00	0.00
189754104	COACH INC	COH	1000 0000	10/17/2012	06/18/2012	56,948.72	60,907.50	0.00	-3,958.78	0.00	0.00
189754104	COACH INC	COH	2300.0000	10/17/2012	06/18/2012	130,898.34	140,087.25	0.00	-9,188.91	0.00	0.00
92769L101	VIRGIN MEDIA INC COM STK	VMED	4700 0000	10/17/2012	06/19/2012	152,794.04	110,381.38	0.00	42,412.66	0.00	0.00
23918K108	DAVITA INC	DVA	200 0000	10/19/2012	06/19/2012	22,107.58	18,682.88	0.00	3,424.70	0.00	0.00
23918K108	DAVITA INC	DVA	2600.0000	10/22/2012	06/19/2012	289,381.57	242,877.44	0.00	46,504.13	0.00	0.00
25470F302	DISCOVERY COMMUNICATIONS INC NEW COM SERC	DISCK	800 0000	10/22/2012	06/18/2012	33,775.58	28,780.80	0.00	4,994.78	0.00	0.00
366651107	GARTNER GROUP INC NEW CL A	IT	4800 0000	10/22/2012	06/19/2012	206,983.39	201,522.32	0.00	5,461.07	0.00	0.00
366651107	GARTNER GROUP INC NEW CL A	IT	200.0000	10/22/2012	06/19/2012	8,994.79	8,761.84	0.00	232.95	0.00	0.00

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2012 Tax Information Statement

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92769L101	VIRGIN MEDIA INC COM STK	VMED									
3300.0000	10/22/2012 06/19/2012					108,223.71	77,501.82	0.00	30,721.89	0.00	0.00
N07059186	#REORG/ASML HLDG REVERSE STOCK SPLIT ESCASML	ASML									
5400.0000	10/22/2012 10/17/2012					290,108.49	282,648.96	0.00	7,459.53	0.00	0.00
92769L101	VIRGIN MEDIA INC COM STK	VMED									
4400.0000	10/23/2012 06/19/2012					150,872.61	103,335.76	0.00	47,536.85	0.00	0.00
92769L101	VIRGIN MEDIA INC COM STK	VMED									
4700.0000	10/23/2012 06/19/2012					159,937.41	110,381.38	0.00	49,556.03	0.00	0.00
25470F302	DISCOVERY COMMUNICATIONS INC NEW COM SERC	DISCK									
300.0000	10/24/2012 06/18/2012					16,623.13	14,390.40	0.00	2,232.73	0.00	0.00
25470F302	DISCOVERY COMMUNICATIONS INC NEW COM SERC	DISCK									
100.0000	10/25/2012 06/18/2012					5,545.69	4,796.80	0.00	748.89	0.00	0.00
23918K108	DAVITA INC	DVA									
500.0000	10/26/2012 06/19/2012					55,473.75	46,707.20	0.00	8,766.55	0.00	0.00
23918K108	DAVITA INC	DVA									
1600.0000	10/26/2012 Various					178,989.10	147,560.51	0.00	31,428.59	0.00	0.00
78388J106	SBA COMMUNICATIONS CORP	SBAC									
4700.0000	10/31/2012 06/18/2012					311,380.23	255,543.23	0.00	55,837.00	0.00	0.00

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Description	Stock or Other Symbol	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
25470F302			DISCOVERY COMMUNICATIONS INC NEW COM SERC	DISCK						
1500.0000	11/01/2012	06/18/2012	COM SER C		83,230.58	71,952.00	0.00	11,278.58	0.00	0.00
366651107			GARTNER GROUP INC NEW CL A	IT						
1800.0000	11/02/2012	10/23/2012			82,949.69	81,346.68	0.00	1,603.01	0.00	0.00
485170302			KANSAS CITY SOUTHERN INDS INC NEW	KSU						
1500.0000	11/02/2012	06/18/2012			123,160.73	104,766.15	0.00	18,394.58	0.00	0.00
25470F302			DISCOVERY COMMUNICATIONS INC NEW COM SERC	DISCK						
2600.0000	11/05/2012	06/18/2012	COM SER C		141,299.03	124,716.80	0.00	16,582.23	0.00	0.00
25470F302			DISCOVERY COMMUNICATIONS INC NEW COM SERC	DISCK						
100.0000	11/08/2012	06/18/2012	COM SER C		5,394.87	4,796.80	0.00	598.07	0.00	0.00
366651107			GARTNER GROUP INC NEW CL A	IT						
200.0000	11/06/2012	10/23/2012			9,361.15	9,038.52	0.00	322.63	0.00	0.00
78388J106			SBA COMMUNICATIONS CORP	SBAC						
1600.0000	11/06/2012	06/18/2012			106,093.14	86,993.44	0.00	19,099.70	0.00	0.00
78388J106			SBA COMMUNICATIONS CORP	SBAC						
1400.0000	11/06/2012	06/18/2012			92,578.10	76,119.26	0.00	16,458.84	0.00	0.00
92769L101			VIRGIN MEDIA INC COM STK	VMED						
1900.0000	11/16/2012	Various			61,068.52	44,137.52	0.00	16,929.00	0.00	0.00

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92769L101	VIRGIN MEDIA INC COM STK	VMED	2800.0000	11/16/2012	Various	91,065.71	65,716.16	0.00	25,349.55	0.00	0.00
92769L101	VIRGIN MEDIA INC COM STK	VMED	3200.0000	11/16/2012	06/19/2012	102,623.29	75,153.28	0.00	27,470.01	0.00	0.00
23918K108	DAVITA INC	DVA	2300.0000	11/19/2012	06/18/2012	262,672.05	208,601.95	0.00	54,070.10	0.00	0.00
78388J106	SBA COMMUNICATIONS CORP	SBAC	3000.0000	11/21/2012	06/18/2012	201,592.48	163,112.70	0.00	38,479.78	0.00	0.00
189754104	COACH INC	COH	4450.0000	11/23/2012	06/18/2012	264,082.88	271,038.38	0.00	-6,955.50	0.00	0.00
009363102	AIRGAS INC	ARG	13900.0000	11/27/2012	Various	1,222,587.41	1,135,477.16	0.00	87,110.25	0.00	0.00
184496107	CLEAN.HBRS INC COM	CLH	400.0000	11/27/2012	11/27/2012	22,904.60	22,696.80	0.00	207.80	0.00	0.00
009363102	AIRGAS INC	ARG	9500.0000	11/28/2012	Various	835,808.36	748,484.16	0.00	87,324.20	0.00	0.00
92769L101	VIRGIN MEDIA INC COM STK	VMED	4700.0000	11/29/2012	06/18/2012	162,274.66	108,777.27	0.00	53,497.39	0.00	0.00

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N07059186	#REORG/ASML HLDG REVERSE STOCK SPLIT ESCASML	ASML									
6027 0000	HLDG 0002K1QA71 0006066130 11/28/12			12/06/2012	10/17/2012	348,970.36	315,467.64	0.00	33,502.72	0.00	0.00
485170302	KANSAS CITY SOUTHERN INDS INC NEW	KSU									
200.0000	12/13/2012 06/18/2012			12/13/2012	06/18/2012	16,327.79	13,968.82	0.00	2,358.97	0.00	0.00
485170302	KANSAS CITY SOUTHERN INDS INC NEW	KSU									
2200.0000	12/13/2012 06/18/2012			12/13/2012	06/18/2012	179,744.55	153,657.02	0.00	26,087.53	0.00	0.00
92769L101	VIRGIN MEDIA INC COM STK	VMED									
4500 0000	12/14/2012 06/18/2012			12/14/2012	06/18/2012	162,872.04	103,968.45	0.00	58,903.59	0.00	0.00
92769L101	VIRGIN MEDIA INC COM STK	VMED									
4200 0000	12/14/2012 06/18/2012			12/14/2012	06/18/2012	152,131.92	97,037.22	0.00	55,094.70	0.00	0.00
485170302	KANSAS CITY SOUTHERN INDS INC NEW	KSU									
4000.0000	12/18/2012 06/18/2012			12/18/2012	06/18/2012	324,764.32	279,376.40	0.00	45,387.92	0.00	0.00
64126X201	NEUSTAR INC CL A	NSR									
3300.0000	12/18/2012 Various			12/18/2012	Various	142,321.18	120,056.02	0.00	22,265.16	0.00	0.00
485170302	KANSAS CITY SOUTHERN INDS INC NEW	KSU									
2400.0000	12/20/2012 06/18/2012			12/20/2012	06/18/2012	198,549.46	167,625.84	0.00	30,923.62	0.00	0.00
485170302	KANSAS CITY SOUTHERN INDS INC NEW	KSU									
600 0000	12/21/2012 06/18/2012			12/21/2012	06/18/2012	50,368.87	41,906.46	0.00	8,462.41	0.00	0.00

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-91432
Recipient's Tax ID Number: 95-6092354

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
23918K108	DAVITA INC	DVA									
1200 0000	12/24/2012 Various					133,229.41	128,563.74	0.00	4,665.67	0.00	0.00
189754104	COACH INC	COH									
3200 0000	12/28/2012 06/18/2012					175,260.07	194,904.00	0.00	-19,643.93	0.00	0.00
Total Short Term Sales						22,747,509.65	20,848,428.43	0.00	1,899,081.24	0.00	0.00
Long Term 15% Sales											
018581108	ALLIANCE DATA SYSTEMS CORP	ADS									
1300 0000	01/03/2012 Various					134,009.36	90,428.96	0.00	43,580.40	0.00	0.00
018581108	ALLIANCE DATA SYSTEMS CORP	ADS									
1300 0000	01/04/2012 Various					131,105.74	89,070.14	0.00	42,035.60	0.00	0.00
018581108	ALLIANCE DATA SYSTEMS CORP	ADS									
1600 0000	01/04/2012 Various					161,816.89	111,060.20	0.00	50,756.69	0.00	0.00
018581108	ALLIANCE DATA SYSTEMS CORP	ADS									
300 0000	01/04/2012 04/21/2010					30,311.41	20,454.75	0.00	9,856.66	0.00	0.00
018581108	ALLIANCE DATA SYSTEMS CORP	ADS									
300 0000	01/04/2012 Various					30,171.92	20,689.27	0.00	9,482.65	0.00	0.00
485170302	KANSAS CITY SOUTHERN INDS INC NEW	KSU									
300 0000	01/10/2012 09/10/2010					21,854.04	11,465.79	0.00	10,388.25	0.00	0.00

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485170302		KANSAS CITY SOUTHERN INDS INC NEW		KSU						
400 0000	01/11/2012		09/10/2010		28,862.68	15,287.72	0.00	13,574.96	0.00	0.00
278865100		ECOLAB INC		ECL						
1800.0000	01/19/2012		04/21/2010		108,449.89	83,523.78	0.00	24,926.11	0.00	0.00
485170302		KANSAS CITY SOUTHERN INDS INC NEW		KSU						
1000 0000	01/20/2012		09/10/2010		72,389.30	38,219.30	0.00	34,170.00	0.00	0.00
G02602103		AMDOCS LTD ORD		DOX						
1200 0000	02/08/2012		04/21/2010		36,322.34	37,216.80	0.00	-894.46	0.00	0.00
903236107		URS CORP NEW		URS						
1300 0000	02/09/2012	Various			54,592.84	52,458.76	0.00	2,134.08	0.00	0.00
278865100		ECOLAB INC		ECL						
1400.0000	02/22/2012		04/21/2010		86,117.62	64,962.94	0.00	21,154.68	0.00	0.00
278865100		ECOLAB INC		ECL						
600.0000	02/27/2012		04/21/2010		37,443.52	27,841.26	0.00	9,602.26	0.00	0.00
82481R106		SHIRE PHARMACEUTICALS GROUP PLC SPONSORE		SHPG						
1400 0000	02/27/2012		02/10/2011		148,091.57	111,837.46	0.00	36,254.11	0.00	0.00
278865100		ECOLAB INC		ECL						
500 0000	02/28/2012		04/21/2010		30,663.54	23,201.05	0.00	7,462.49	0.00	0.00

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903236107	URS CORP NEW	URS	1200.0000	03/13/2012	Various	52,112.54	47,558.83	0.00	4,553.70	0.00	0.00
903236107	URS CORP NEW	URS	1200.0000	03/13/2012	11/01/2010	52,988.16	47,428.80	0.00	5,559.36	0.00	0.00
903236107	URS CORP NEW	URS	1200.0000	03/14/2012	Various	52,457.30	47,315.70	0.00	5,141.58	0.00	0.00
903236107	URS CORP NEW	URS	900.0000	03/16/2012	Various	39,383.65	35,340.02	0.00	4,043.63	0.00	0.00
485170302	KANSAS CITY SOUTHERN INDS INC NEW	KSU	100.0000	03/20/2012	09/10/2010	7,221.06	3,821.93	0.00	3,399.13	0.00	0.00
903236107	URS CORP NEW	URS	1300.0000	03/21/2012	10/29/2010	55,909.91	51,031.63	0.00	4,878.28	0.00	0.00
903236107	URS CORP NEW	URS	200.0000	03/26/2012	10/29/2010	8,600.70	7,851.02	0.00	749.68	0.00	0.00
78388J106	SBA COMMUNICATIONS CORP	SBAC	200.0000	04/11/2012	03/29/2011	10,295.41	7,794.28	0.00	2,501.13	0.00	0.00
78388J106	SBA COMMUNICATIONS CORP	SBAC	800.0000	04/11/2012	03/29/2011	41,186.99	31,177.12	0.00	10,009.87	0.00	0.00

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.				
485170302	KANSAS CITY SOUTHERN INDS INC NEW		KSU				
200.0000	04/12/2012	09/10/2010	14,602.07	7,643.86	0.00	6,958.21	0.00
485170302	KANSAS CITY SOUTHERN INDS INC NEW		KSU				
500.0000	04/12/2012	09/09/2010	36,338.08	18,945.25	0.00	17,392.83	0.00
485170302	KANSAS CITY SOUTHERN INDS INC NEW		KSU				
200.0000	04/12/2012	09/10/2010	14,532.67	7,643.86	0.00	6,888.81	0.00
485170302	KANSAS CITY SOUTHERN INDS INC NEW		KSU				
200.0000	04/13/2012	09/09/2010	14,474.17	7,578.10	0.00	6,896.07	0.00
485170302	KANSAS CITY SOUTHERN INDS INC NEW		KSU				
200.0000	04/13/2012	09/09/2010	14,416.67	7,578.10	0.00	6,838.57	0.00
25470F302	DISCOVERY COMMUNICATIONS INC NEW COM SERC		DISCK				
1200.0000	04/27/2012	11/11/2010	60,477.44	42,900.00	0.00	17,577.44	0.00
78388J106	SBA COMMUNICATIONS CORP		SBAC				
800.0000	04/30/2012	03/21/2011	43,072.31	29,876.88	0.00	13,195.43	0.00
20605P101	CONCHO RES INC COM STK		CXO				
300.0000	05/08/2012	Various	27,480.04	26,153.37	0.00	1,326.67	0.00
20605P101	CONCHO RES INC COM STK		CXO				
400.0000	05/08/2012	01/04/2011	36,640.06	35,067.84	0.00	1,572.22	0.00

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Ref GL

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
G02602103	AMDOCS LTD ORD	DOX	1300.0000	05/08/2012	04/21/2010	38,966.10	40,318.20	0.00	-1,352.10	0.00	0.00
20605P101	CONCHO RES INC COM STK	CXO	600.0000	05/10/2012	12/27/2010	54,379.78	52,150.14	0.00	2,229.64	0.00	0.00
G02602103	AMDOCS LTD ORD	DOX	5800.0000	07/17/2012	04/21/2010	172,728.82	179,881.20	0.00	-7,152.38	0.00	0.00
G02602103	AMDOCS LTD ORD	DOX	6800.0000	07/23/2012	04/21/2010	197,873.51	210,895.20	0.00	-13,021.69	0.00	0.00
92769L101	VIRGIN MEDIA INC COM STK	VMED	700.0000	07/26/2012	07/08/2011	18,251.25	20,258.00	0.00	-2,006.75	0.00	0.00
92769L101	VIRGIN MEDIA INC COM STK	VMED	600.0000	07/27/2012	07/18/2011	18,210.60	15,971.82	0.00	2,238.78	0.00	0.00
92769L101	VIRGIN MEDIA INC COM STK	VMED	100.0000	07/27/2012	07/18/2011	2,710.63	2,661.97	0.00	48.66	0.00	0.00
278865100	ECOLAB INC	ECL	3000.0000	07/31/2012	04/21/2010	197,143.28	139,206.30	0.00	57,936.98	0.00	0.00
278865100	ECOLAB INC	ECL	2600.0000	08/01/2012	04/21/2010	170,808.89	120,645.46	0.00	50,163.43	0.00	0.00

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-91432
Recipient's Tax ID Number: 95-6092354

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Ref: GL

Sales are listed at Gross Proceeds less commissions and option premiums.

Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
G02602103	AMDOCS LTD ORD		DOX					
1000.0000	08/01/2012	04/21/2010		29,400.24	31,014.00	0.00	-1,613.76	0.00
92769L101	VIRGIN MEDIA INC COM STK		VMED					
1500.0000	09/06/2012	08/15/2011		43,124.03	36,626.10	0.00	6,497.93	0.00
92769L101	VIRGIN MEDIA INC COM STK		VMED					
1900.0000	09/19/2012	Various		56,835.51	45,951.66	0.00	10,883.85	0.00
278865100	ECOLAB INC		ECL					
900.0000	09/25/2012	04/21/2010		58,101.34	41,761.89	0.00	16,339.45	0.00
278865100	ECOLAB INC		ECL					
1900.0000	09/26/2012	04/21/2010		122,404.75	88,163.99	0.00	34,240.76	0.00
278865100	ECOLAB INC		ECL					
1900.0000	09/26/2012	04/21/2010		122,317.73	88,163.99	0.00	34,153.74	0.00
278865100	ECOLAB INC		ECL					
5100.0000	09/27/2012	04/21/2010		331,442.58	236,650.71	0.00	94,791.87	0.00
92769L101	VIRGIN MEDIA INC COM STK		VMED					
900.0000	10/12/2012	Various		27,719.38	21,502.32	0.00	6,217.06	0.00
G02602103	AMDOCS LTD ORD		DOX					
1200.0000	12/08/2012	04/21/2010		40,362.77	37,216.80	0.00	3,145.97	0.00

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
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WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Group	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
G02602103	AMDOCS LTD ORD	DOX									
5000 0000	12/10/2012	04/21/2010				167,237.74	155,070.00	0.00	12,167.74	0.00	0.00
Total Long Term 15% Sales						3,562,411.02	2,824,534.52	0.00	737,876.46	0.00	0.00

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Recipient's Tax ID Number: 95-6092354

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Ref: GL

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W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales											
242370104	DEAN FOODS CO NEW	DF									
9670.0000	01/04/2012 01/19/2011					104,556.79	93,379.32	0.00	11,177.47	0.00	0.00
86562X106	ADR SUMITOMO MITSUI TR HLDGS INC SPONSORED ADR	SUTNY									
162857.0000	01/13/2012 04/01/2011					468,237.45	583,028.06	0.00	-114,790.61	0.00	0.00
457153104	INGRAM MICRO INC CL A	IM									
11960.0000	01/25/2012 05/27/2011					227,067.00	223,203.50	0.00	3,863.50	0.00	0.00
477143AG6	JETBLUE AWYS CORP DEB CONV SER 8 6 75% DUE										
185000.0000	10-15-2039/10-15-2016 REG										
	01/26/2012 08/08/2011					261,771.30	219,842.90	0.00	41,928.40	0.00	0.00
H8817H100	TRANSOCEAN LTD	RIG									
3926.0000	01/27/2012 01/11/2012					187,630.92	158,166.77	0.00	29,464.15	0.00	0.00
001084102	AGCO CORP	AGCO									
812.0000	01/30/2012 09/29/2011					41,922.02	29,214.70	0.00	12,707.32	0.00	0.00
958102105	WESTERN DIGITAL CORP COM	WDC									
1651.0000	02/01/2012 08/09/2011					62,895.45	48,071.15	0.00	14,224.30	0.00	0.00
13645T100	CANADIAN PACIFIC RAILWAY LTD COM	CP									
2698.0000	02/08/2012 09/26/2011					207,305.48	129,098.22	0.00	78,207.26	0.00	0.00
205363104	COMPUTER SCIENCES CORP	CSC									
1966.0000	02/08/2012 08/03/2011					62,196.75	65,693.69	0.00	-3,496.94	0.00	0.00

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Account Number: 26-91428
Recipient's Tax ID Number: 95-6092354

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LOS ANGELES, CA 90071

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Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
205363104	COMPUTER SCIENCES CORP	CSC									
2214 0000	02/08/2012 08/03/2011					69,495.68	73,980.59	0.00	-4,484.91	0.00	0.00
13321L108	CAMECO CORP COM	CCJ									
5012 0000	02/09/2012 03/14/2011					117,153.50	161,027.54	0.00	-43,874.04	0.00	0.00
205363104	COMPUTER SCIENCES CORP	CSC									
1151.0000	02/09/2012 08/03/2011					36,300.81	38,460.55	0.00	-2,159.74	0.00	0.00
242370104	DEAN FOODS CO NEW	DF									
10172.0000	02/16/2012 08/10/2011					122,419.84	85,896.44	0.00	36,523.40	0.00	0.00
87262N109	TNT EXPRESS N V ADR	TNTEY									
20508.0000	02/17/2012 09/13/2011					257,023.73	171,910.00	0.00	85,113.73	0.00	0.00
13321L108	CAMECO CORP COM	CCJ									
3864.0000	02/22/2012 Various					99,687.72	120,356.07	0.00	-20,668.35	0.00	0.00
87262N109	TNT EXPRESS N V ADR	TNTEY									
18984 0000	02/22/2012 Various					245,309.35	131,557.84	0.00	113,751.51	0.00	0.00
13321L108	CAMECO CORP COM	CCJ									
1692 0000	02/23/2012 03/15/2011					42,879.85	50,493.17	0.00	-7,613.32	0.00	0.00
87262N109	TNT EXPRESS N V ADR	TNTEY									
11075 0000	02/24/2012 11/23/2011					138,631.03	69,212.11	0.00	69,418.92	0.00	0.00

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65334H102	NEXEN INC COMMON	NX									
832 0000	02/29/2012 05/10/2011					17,188.39	20,013.18	0.00	-2,824.79	0.00	0.00
66988K102	NOVACOPPER INC COM	NCQ									
10031 5200	05/01/2012 Various					37,998.40	55,307.40	0.00	-17,309.00	0.00	0.00
13645T100	CANADIAN PACIFIC RAILWAY LTD COM	CP									
3039 0000	05/04/2012 09/26/2011					227,915.33	145,414.94	0.00	82,500.39	0.00	0.00
242370104	DEAN FOODS CO NEW	DF									
9314.0000	05/09/2012 Various					133,201.18	78,404.62	0.00	54,796.56	0.00	0.00
665859104	NORTHERN TRUST CORP	NTRS									
5881.0000	05/10/2012 09/30/2011					267,136.67	207,224.09	0.00	59,912.58	0.00	0.00
66988K102	NOVACOPPER INC COM	NCQ									
4623 9300	05/14/2012 04/23/2012					14,107.29	18,887.68	0.00	-4,780.39	0.00	0.00
00844W109	#REORG/AGEAS SPONSORED REV STK SPLIT AGEAS										
65011 0000	SPONSORED 2J1FA31 8/7/2012					105,295.13	112,570.93	0.00	-7,275.80	0.00	0.00
018804104	ALLIANT TECHSYSTEMS INC	ATK									
16559 0000	05/29/2012 Various					826,521.54	966,137.85	0.00	-139,616.31	0.00	0.00
039380100	ARCH COAL INC	ACI									
84844 0000	05/29/2012 Various					615,568.22	1,273,514.10	0.00	-657,945.88	0.00	0.00

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086516101			BEST BUY INC	BBY				
52380.0000	05/29/2012	Various		999,379.17	1,205,560.12	0.00	-206,180.95	0.00
124805102			CBIZ INC COM	CBZ				
43750.0000	05/29/2012	Various		252,634.33	263,777.78	0.00	-11,143.45	0.00
126117100			CNA FINANCIAL CORP	CNA				
5266.0000	05/29/2012	Various		151,351.45	143,707.35	0.00	7,644.10	0.00
13321L108			CAMECO CORP COM	CCJ				
11836.0000	05/29/2012	Various		231,695.09	251,639.52	0.00	-19,944.43	0.00
15234Q108			ADR CENTRAIS ELETRICAS BRASILEIRAS S A	EBR/B				
36882.0000	05/29/2012	Various	SPONSORED ADR REPSTG PFD					
				319,220.21	461,922.34	0.00	-142,702.13	0.00
165167107			CHESAPEAKE ENERGY CORP	CHK				
36872.0000	05/29/2012	Various		606,638.97	754,913.62	0.00	-148,274.65	0.00
205383104			COMPUTER SCIENCES CORP	CSC				
21800.0000	05/29/2012	Various		591,372.23	621,386.73	0.00	-30,014.50	0.00
20854P109			CONSOL ENERGY INC COM	CNX				
12676.0000	05/29/2012	Various		379,369.28	461,907.68	0.00	-82,538.40	0.00
226406106			CRESUD SPONS ADR EACH CNV INTO 1 ORD	CRESY				
1840.0000	05/29/2012	01/12/2012	ARS 1'B'					
				14,284.52	21,215.20	0.00	-6,930.68	0.00

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
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242370104	DEAN FOODS CO NEW	DF									
29194 0000	05/29/2012 Various					442,127.77	239,642.96	0.00	202,484.81	0.00	0.00
24823Q107	DENDREON CORP COM STK ISIN US24823Q1076	DNDN									
48345 0000	05/29/2012 Various					332,124.17	449,313.62	0.00	-117,189.45	0.00	0.00
24823QAC1	DENDREON CORP SR NT CONV 0% DUE 01-15-2016 REG										
508000 0000	05/29/2012 Various					358,571.80	363,740.10	0.00	-5,168.30	0.00	0.00
318027208	ADR FINMECCANICA SPA ADR	FINMY									
233127 0000	05/29/2012 Various					376,567.04	575,092.82	0.00	-198,525.78	0.00	0.00
382410AC2	GOODRICH PETE CORP SR NT CONV 5% DUE 10-01-2029/10-01-2014 REG										
906000.0000	05/29/2012 Various					853,696.62	824,223.23	0.00	29,473.39	0.00	0.00
452553308	ADR IMPALA PLATINUM HLDGS LTD SPONSORED ADR	IMPLY									
28635 0000	REPSTG 1/4 SH 05/29/2012 Various					475,319.33	619,614.77	0.00	-144,295.44	0.00	0.00
46579N103	#REORG/IVANHOE MINES NAME CHANGE WITH CUSIP TORQUOISE HILL 2L19A81 8/8/2012										
38538 0000	05/29/2012 Various					356,360.89	552,028.87	0.00	-195,667.98	0.00	0.00
471100206	ADR JAPAN STL WKS LTD ADR ADR COMM STK	JPSWY									
4028 0000	05/29/2012 05/07/2012					207,316.51	237,669.72	0.00	-30,353.21	0.00	0.00
486902404	KINROSS GOLD CORP COM NPV NEW	KGC									
56308.0000	05/29/2012 Various					453,541.73	699,474.74	0.00	-245,933.01	0.00	0.00

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2012 Tax Information Statement

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-91428
Recipient's Tax ID Number: 95-6092354

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521050104	LAYNE CHRISTENSEN CO COM	LAYN									
19355.0000	05/29/2012 Various					372,389.96	469,217.58	0.00	-96,827.62	0.00	0.00
56418H100	MANPOWER INC WIS	MAN									
10724.0000	05/29/2012 Various					390,019.15	418,376.99	0.00	-28,357.84	0.00	0.00
60871R209	MOLSÖN COORS BREWING CO CL B	TAP									
5150.0000	05/29/2012 08/11/2011					203,810.45	219,179.88	0.00	-15,369.43	0.00	0.00
626805204	MURRAY & ROBERTS-SPON ADR	MURZY									
42600.0000	05/29/2012 07/29/2011					132,908.99	195,474.36	0.00	-62,565.37	0.00	0.00
62913F201	NII HLDGS INC COM NEW CL B NEW	NIHD									
50486.0000	05/29/2012 Various					583,060.93	799,861.22	0.00	-216,800.29	0.00	0.00
65334H102	NEXEN INC COMMON	NXY									
36309.0000	05/29/2012 Various					590,756.83	716,422.71	0.00	-125,665.88	0.00	0.00
66987E206	NOVAGOLD RES INC COM NEW	NG									
79636.0000	05/29/2012 Various					455,889.03	567,449.35	0.00	-111,560.32	0.00	0.00
680223104	OLD REPUBLIC INTERNATIONAL CORP	ORI									
24477.0000	05/29/2012 01/25/2012					244,267.24	230,328.57	0.00	13,938.67	0.00	0.00
704549104	PEABODY ENERGY CORP COM	BTU									
19890.0000	05/29/2012 Various					493,346.54	634,228.07	0.00	-140,881.53	0.00	0.00

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
78390X101			SAIC INC COM STK USD0 0001	SAI				
30414 0000	05/29/2012	09/08/2011		333,862.52	394,165.44	0.00	-60,302.92	0.00
78440P108			ADR SK TELECOM LTD SPONSORED ADR	SKM				
9255.0000	05/29/2012	12/15/2011		106,779.21	132,779.63	0.00	-26,000.42	0.00
820280105			SHAW GROUP INC	SHAW				
24363.0000	05/29/2012	Various		630,085.89	586,337.64	0.00	43,748.25	0.00
82823L106			SILVER STD RES INC COM	SSRI				
42737 0000	05/29/2012	Various		479,912.91	615,904.11	0.00	-135,991.20	0.00
832248108			SMITHFIELD FOODS INC	SFD				
9837 0000	05/29/2012	Various		199,815.12	201,052.05	0.00	-1,236.93	0.00
844741108			SOUTHWEST AIRLINES CO	LUV				
84450.0000	05/29/2012	Various		746,537.69	704,920.19	0.00	41,617.50	0.00
845467109			SOUTHWESTERN ENERGY CO COM	SWN				
23226.0000	05/29/2012	Various		686,413.25	700,911.91	0.00	-14,498.66	0.00
87425E103			TALISMAN ENERGY INC	TLM				
57786 0000	05/29/2012	Various		619,462.63	742,374.06	0.00	-122,911.43	0.00
900111204			ADR TURKCELL ILETISIM HIZMETLERI A S SPONSORED	TKC				
17842 0000	05/29/2012	08/08/2011	ADR NEW		250,501.68	0.00	-48,824.59	0.00

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915438109	UPM KYMMENE CORP SPONSORED ADR	UPMKY									
18922.0000	05/29/2012 09/09/2011					196,837.60	222,891.70	0.00	-26,054.10	0.00	0.00
958102105	WESTERN DIGITAL CORP COM	WDC									
7109.0000	05/29/2012 08/09/2011					234,813.06	209,571.90	0.00	25,241.16	0.00	0.00
98956P102	ZIMMER HLDGS INC	ZMH									
1650.0000	05/29/2012 12/15/2011					102,230.95	79,142.25	0.00	23,088.70	0.00	0.00
G0692U109	AXIS CAPITAL HOLDINGS LTD COM	AXS									
3780.0000	05/29/2012 04/04/2012					127,386.09	127,079.06	0.00	307.03	0.00	0.00
G30397106	ENDURANCE SPECIALTY HOLDINGS LTD COM USD1	ENH									
9575.0000	05/29/2012 Various					373,415.46	371,667.02	0.00	1,748.44	0.00	0.00
H27013103	WEATHERFORD INTL LTD	WFT									
30361.0000	05/29/2012 Various					397,619.18	450,724.56	0.00	-53,105.38	0.00	0.00
H8817H100	TRANSOCEAN LTD	RIG									
7110.0000	05/29/2012 Various					309,234.46	285,724.27	0.00	23,510.19	0.00	0.00
126117100	CNA FINANCIAL CORP	CNA									
7366.0000	05/30/2012 09/13/2011					208,371.80	175,402.14	0.00	32,969.66	0.00	0.00
226406106	CRESUD SPONS ADR EACH CNV INTO 1 ORD	CRESY									
6722.0000	05/30/2012 01/12/2012					49,708.74	77,504.66	0.00	-27,795.92	0.00	0.00

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THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-91428
Recipient's Tax ID Number: 95-6092354

☒ Corrected 03/26/2013 ☐ 2nd TIN notice

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Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

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Quantity Sold	Date of Sale or Exchange	Description	Date of Acquisition	Stock or Other Symbol	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
466294105		ADR JSC RUSHYDRO SPONSORED ADR		RSHYY						
46569.0000	05/30/2012	01/24/2012			109,462.80	159,792.21	0.00	-50,329.61	0.00	0.00
626805204		MURRAY & ROBERTS-SPON ADR		MURZY						
64407.0000	05/30/2012	Various			197,460.95	290,398.79	0.00	-92,937.84	0.00	0.00
828231106		SILVER STD RES INC COM		SSRI						
19369.0000	05/30/2012	Various			217,718.71	247,921.36	0.00	-30,204.65	0.00	0.00
830879102		SKYWEST INC		SKYW						
10084.0000	05/30/2012	07/18/2011			71,465.72	122,628.50	0.00	-51,162.78	0.00	0.00
226406106		CRESUD SPONS ADR EACH CNV INTO 1 ORD		CRESY						
2900.0000	05/31/2012	Various			20,702.05	33,364.25	0.00	-12,662.20	0.00	0.00
716461109		ADR PETROBRAS ARGENTINA S A SPONSORED ADR		PZE						
8131.0000	05/31/2012	04/18/2012			68,817.15	96,230.39	0.00	-27,413.24	0.00	0.00
830879102		SKYWEST INC		SKYW						
25220.0000	05/31/2012	Various			176,209.61	226,764.64	0.00	-50,555.03	0.00	0.00
226406106		CRESUD SPONS ADR EACH CNV INTO 1 ORD		CRESY						
8372.0000	06/01/2012	01/13/2012			59,943.84	96,110.56	0.00	-36,166.72	0.00	0.00
226406106		CRESUD SPONS ADR EACH CNV INTO 1 ORD		CRESY						
15406.0000	06/04/2012	Various			106,380.45	156,518.43	0.00	-50,137.98	0.00	0.00

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THE NORTHERN TRUST COMPANY
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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Total Short Term Sales				22,897,759.78	26,086,420.71	0.00	-3,188,660.93	0.00	0.00
Long Term 15% Sales									
00817Y108	AETNA INC NEW		AET						
2406 0000	01/03/2012 04/22/2010			101,982.61	73,944.56	0.00	28,038.05	0.00	0.00
13645T100	CANADIAN PACIFIC RAILWAY LTD COM		CP						
4828 0000	01/03/2012 04/22/2010			330,363.07	285,916.09	0.00	44,446.98	0.00	0.00
958102105	WESTERN DIGITAL CORP COM		WDC						
3916.0000	01/10/2012 Various			131,876.98	133,102.65	0.00	-1,225.67	0.00	0.00
023608102	AMEREN CORP COM		AEE						
11669 0000	01/11/2012 04/22/2010			376,547.89	311,180.72	0.00	65,367.17	0.00	0.00
501044101	KROGER CO		KR						
6142.0000	01/20/2012 04/27/2010			146,392.98	142,354.98	0.00	4,038.00	0.00	0.00
878237106	TECH DATA CORP		TECD						
3123 0000	01/20/2012 04/22/2010			163,568.97	135,860.49	0.00	27,708.48	0.00	0.00
878237106	TECH DATA CORP		TECD						
1376 0000	01/20/2012 04/22/2010			71,937.13	59,860.40	0.00	12,076.73	0.00	0.00
540424108	LOEWS CORP		L						
4415.0000	01/26/2012 05/17/2010			167,172.08	151,034.50	0.00	16,137.58	0.00	0.00

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540424108	LOEWS CORP	L									
6285.0000	01/27/2012 Various					236,544.82	214,312.30	0.00	22,232.32	0.00	0.00
001084102	AGCO CORP	AGCO									
2562.0000	01/30/2012 06/09/2010					132,271.22	67,680.35	0.00	64,590.87	0.00	0.00
001084102	AGCO CORP	AGCO									
3118.0000	01/30/2012 06/09/2010					161,117.68	82,388.21	0.00	78,749.47	0.00	0.00
540424108	LOEWS CORP	L									
3426.0000	01/30/2012 05/14/2010					127,895.60	116,460.02	0.00	11,435.58	0.00	0.00
540424108	LOEWS CORP	L									
3618.0000	01/30/2012 05/14/2010					135,071.81	122,988.67	0.00	12,083.14	0.00	0.00
540424108	LOEWS CORP	L									
656.0000	01/31/2012 05/14/2010					24,531.16	22,299.41	0.00	2,231.75	0.00	0.00
98956P102	ZIMMER HLDGS INC	ZMH									
5514.0000	01/31/2012 Various					335,035.78	286,404.81	0.00	48,630.97	0.00	0.00
001084102	AGCO CORP	AGCO									
4196.0000	02/01/2012 06/09/2010					216,353.35	110,845.73	0.00	105,507.62	0.00	0.00
958102105	WESTERN DIGITAL CORP COM	WDC									
5822.0000	02/01/2012 Various					221,791.23	186,791.87	0.00	34,999.36	0.00	0.00

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13645T100	CANADIAN PACIFIC RAILWAY LTD COM	CP	572.0000	02/08/2012	04/22/2010	43,950.61	33,874.07	0.00	10,076.54	0.00	0.00
066800103	BANRO CORP COM STK	BAA	17678.0000	02/09/2012	Various	85,269.83	35,511.01	0.00	49,758.82	0.00	0.00
066800103	BANRO CORP COM STK	BAA	7318.0000	02/10/2012	07/13/2010	34,603.89	14,659.41	0.00	19,944.48	0.00	0.00
242370104	DEAN FOODS CO NEW	DF	4352.0000	02/15/2012	01/19/2011	52,582.09	42,025.52	0.00	10,556.57	0.00	0.00
242370104	DEAN FOODS CO NEW	DF	9465.0000	02/15/2012	01/19/2011	113,494.66	91,399.72	0.00	22,094.94	0.00	0.00
242370104	DEAN FOODS CO NEW	DF	4956.0000	02/16/2012	01/19/2011	59,645.37	47,858.11	0.00	11,787.26	0.00	0.00
242370104	DEAN FOODS CO NEW	DF	10888.0000	02/16/2012	01/19/2011	131,034.71	105,141.06	0.00	25,893.65	0.00	0.00
549463AH0	LUCENT TECHNOLOGIES INC SR DEB CONV SER B 2.875% DUE 06-15-2025/06-20-2013 REG		223000.0000	02/16/2012	05/11/2010	212,686.25	195,961.29	0.00	16,724.96	0.00	0.00
549463AH0	LUCENT TECHNOLOGIES INC SR DEB CONV SER B 2.875% DUE 06-15-2025/06-20-2013 REG		457000.0000	02/16/2012	Various	435,363.79	401,598.59	0.00	33,765.20	0.00	0.00

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Client	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
38059T106	GOLD FIELDS LTD NEW SPONSORED ADR	GFI									
11199 0000	02/17/2012 04/22/2010					179,918.76	144,012.42	0.00	35,906.34	0.00	0.00
451107106	IDACORP INC COMMON	IDA									
4302 0000	02/22/2012 04/22/2010					178,662.28	154,571.72	0.00	24,090.56	0.00	0.00
82823L106	SILVER STD RES INC COM	SSRI									
12130 0000	02/22/2012 05/20/2010					203,168.55	209,481.46	0.00	-6,314.91	0.00	0.00
87971M202	TELUS CORP NON VTG SHS	TU									
4214 0000	02/23/2012 04/22/2010					237,466.91	152,288.48	0.00	85,178.43	0.00	0.00
451107106	IDACORP INC COMMON	IDA									
8047 0000	02/24/2012 04/22/2010					330,943.00	289,130.32	0.00	41,812.68	0.00	0.00
65334H102	NEXEN INC COMMON	NXV									
8113 0000	02/29/2012 04/22/2010					167,607.50	213,435.99	0.00	-45,828.49	0.00	0.00
066800103	BANRO CORP COM STK	BAA									
39503 0000	03/02/2012 Various					217,353.41	75,858.03	0.00	141,495.38	0.00	0.00
066800103	BANRO CORP COM STK	BAA									
11775.0000	03/14/2012 07/19/2010					61,568.95	22,570.32	0.00	38,998.63	0.00	0.00
037389103	AON CORP										
9300 0000	04/02/2012 04/22/2010					456,141.75	410,512.23	0.00	45,629.52	0.00	0.00

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00817Y108	AETNA INC NEW	AET									
4192.0000	04/04/2012 04/22/2010					206,642.11	128,834.41	0.00	77,807.70	0.00	0.00
66988K102	NOVACOPPER INC COM	NCQ									
1646.4800	05/01/2012 04/22/2010					6,236.70	7,739.67	0.00	-1,502.97	0.00	0.00
953432101	ADR WEST JAPAN RY CO ADR	WJRY									
8178.0000	05/01/2012 Various					333,614.85	315,094.91	0.00	18,519.94	0.00	0.00
345838108	FOREST LABS INC	FRX									
3778.0000	05/10/2012 04/22/2010					128,605.52	102,982.99	0.00	25,622.53	0.00	0.00
66988K102	NOVACOPPER INC COM	NCQ									
5890.0700	05/14/2012 04/22/2010					17,970.20	27,687.67	0.00	-9,717.47	0.00	0.00
00817Y108	AETNA INC NEW	AET									
16030.0000	05/29/2012 Various					665,546.58	490,632.69	0.00	174,913.89	0.00	0.00
00844W109	#REORG/AGEAS SPONSORED REV STK SPLIT AGEAS										
178571.0000	SPONSORED 2J1FA31 8/7/2012										
	05/29/2012 Various					289,222.70	511,636.29	0.00	-222,413.59	0.00	0.00
018804104	ALLIANT TECHSYSTEMS INC	ATK									
1617.0000	05/29/2012 11/12/2010					80,710.51	118,182.33	0.00	-37,471.82	0.00	0.00
023608102	AMEREN CORP COM	AEE									
27198.0000	05/29/2012 Various					878,880.04	724,573.93	0.00	154,306.11	0.00	0.00

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039380100			ARCH COAL INC	ACI					
27877.0000	05/29/2012	04/22/2010		202,255.85	767,738.16	0.00	-565,482.31	0.00	0.00
039380100			ARCH COAL INC	ACI					
6035.0000	05/29/2012	05/23/2011		43,785.70	174,602.81	0.00	-130,817.11	0.00	0.00
066800103			BANRO CORP COM STK	BAA					
55063.0000	05/29/2012	Various		246,803.31	103,253.81	0.00	143,549.50	0.00	0.00
110394103			BRISTOW GROUP INC COM	BRS					
4788.0000	05/29/2012	08/25/2010		203,443.67	158,050.92	0.00	45,392.75	0.00	0.00
126117100			CNA FINANCIAL CORP	CNA					
11100.0000	05/29/2012	04/22/2010		319,027.94	313,748.16	0.00	5,279.78	0.00	0.00
13321L108			CAMECO CORP COM	CCJ					
35332.0000	05/29/2012	Various		691,639.96	888,538.06	0.00	-196,898.10	0.00	0.00
13321L108			CAMECO CORP COM	CCJ					
26885.0000	05/29/2012	Various		525,894.59	772,944.87	0.00	-247,050.28	0.00	0.00
15234Q108			ADR CENTRAIS ELETRICAS BRASILEIRAS S A SPONSORED ADR REPSTG PFD	EBR/B					
9350.0000	05/29/2012	05/24/2010		80,925.90	132,994.40	0.00	-52,068.50	0.00	0.00
165187107			CHESAPEAKE ENERGY CORP	CHK					
36947.0000	05/29/2012	Various		607,872.91	799,920.24	0.00	-192,047.33	0.00	0.00

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20854P109	CONSOL ENERGY INC COM	CNX									
10138 0000	05/29/2012 Various					303,411.62	410,004.13	0.00	-106,592.51	0.00	0.00
226406106	CRESUD SPONS ADR EACH CNV INTO 1 ORD	CRESY									
15100.0000	05/29/2012 Various					117,226.21	214,197.78	0.00	-96,971.57	0.00	0.00
234064301	ADR DAIWA SECS GROUP SPON ADR	DSEY									
65767.0000	05/29/2012 04/23/2010					205,550.11	347,572.02	0.00	-142,021.91	0.00	0.00
345838106	FOREST LABS INC	FRX									
18400 0000	05/29/2012 Various					616,503.01	500,444.22	0.00	116,058.79	0.00	0.00
377316104	P H GLATFELTER CO	GLT									
14682.0000	05/29/2012 Various					223,962.13	190,116.74	0.00	33,845.39	0.00	0.00
38059T106	GOLD FIELDS LTD NEW SPONSORED ADR	GFI									
50477.0000	05/29/2012 04/22/2010					654,420.31	649,103.94	0.00	5,316.37	0.00	0.00
403227101	ADR GUOCO GROUP LTD ADR	GULRY									
9068.0000	05/29/2012 Various					137,439.47	201,462.70	0.00	-64,023.23	0.00	0.00
457153104	INGRAM MICRO INC CL A	IM									
35782.0000	05/29/2012 Various					643,856.19	623,432.92	0.00	20,423.27	0.00	0.00
457153104	INGRAM MICRO INC CL A	IM									
5040.0000	05/29/2012 05/27/2011					90,689.04	94,059.00	0.00	-3,369.96	0.00	0.00

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466294105	ADR JSC RUSHYDRO SPONSORED ADR	RSHYY									
54963 0000	05/29/2012 Various					133,557.06	279,643.10	0.00	-146,086.04	0.00	0.00
471100206	ADR JAPAN STL WKS LTD ADR ADR COMM STK	JPSWY									
3542 0000	05/29/2012 04/08/2011					182,302.65	260,112.44	0.00	-77,809.79	0.00	0.00
496902404	KINROSS GOLD CORP COM NPV NEW	KGC									
85782.0000	05/29/2012 Various					690,944.75	1,527,859.82	0.00	-836,915.07	0.00	0.00
501044101	KROGER CO	KR									
37805.0000	05/29/2012 Various					847,331.94	847,821.41	0.00	-489.47	0.00	0.00
521050104	LAYNE CHRISTENSEN CO COM	LAYN									
7578.0000	05/29/2012 07/15/2010					145,800.62	187,502.45	0.00	-41,701.83	0.00	0.00
521050104	LAYNE CHRISTENSEN CO COM	LAYN									
10103.0000	05/29/2012 Various					194,381.60	288,984.82	0.00	-94,603.22	0.00	0.00
65334H102	NEXEN INC COMMON	NXV									
23297 0000	05/29/2012 Various					379,048.22	505,018.84	0.00	-125,970.62	0.00	0.00
65334H102	NEXEN INC COMMON	NXV									
9768 0000	05/29/2012 Various					158,927.89	234,088.93	0.00	-75,161.04	0.00	0.00
66987E206	NOVAGOLD RES INC COM NEW	NG									
45220 0000	05/29/2012 04/22/2010					258,869.13	309,632.91	0.00	-50,763.78	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 24 of 34

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-91428
Recipient's Tax ID Number: 95-6092354

☒ Corrected 03/26/2013 ☐ 2nd TIN notice

Recipient's Name and Address:
W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Ref: GL

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
66987E206	NOVAGOLD RES INC COM NEW	NG								
8298.0000	05/29/2012 05/11/2011					47,503.23	81,011.47	0.00	-33,508.24	0.00
71646J109	ADR PETROBRAS ARGENTINA S A SPONSORED ADR	PZE								
8231.0000	05/29/2012 04/27/2010					76,537.52	136,177.98	0.00	-59,640.46	0.00
73180Y203	POLYUS GOLD INTL LTD GDR LEVEL 1	PLZLY								
232000.0000	05/29/2012 Various					557,854.54	746,043.99	0.00	-188,189.45	0.00
78390X101	SAIC INC COM STK USDO 0001	SAI								
40979.0000	05/29/2012 Various					449,837.31	709,894.22	0.00	-260,056.91	0.00
78440P108	ADR SK TELECOM LTD SPONSORED ADR	SKM								
34600.0000	05/29/2012 04/22/2010					399,196.17	623,239.42	0.00	-224,043.25	0.00
82823L106	SILVER STD RES INC COM	SSRI								
3952.0000	05/29/2012 05/20/2010					44,378.78	68,249.86	0.00	-23,871.08	0.00
830879102	SKYWEST INC	SKYW								
23415.0000	05/29/2012 Various					170,094.45	341,264.51	0.00	-171,170.06	0.00
852278100	ADR ST BARBARA LTD SPONSORED ADR	STBMY								
42200.0000	05/29/2012 Various					394,783.13	439,663.37	0.00	-44,880.24	0.00
900111204	ADR TURKCELL ILETISIM HIZMETLERI A S SPONSORED ADR NEW	TKC								
18190.0000	05/29/2012 05/12/2011					205,610.70	255,403.97	0.00	-49,793.27	0.00

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W M KECK FOUNDATION
WEALTH & TAX ADVISORY SERVICES, INC
ATTN: TIM WYLIE
400 S. HOPE STREET, SUITE 2000
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
958102105	WESTERN DIGITAL CORP COM	WDC									
4985.0000	05/29/2012 10/08/2010					164,656.50	144,342.17	0.00	20,314.33	0.00	0.00
98956P102	ZIMMER HLDGS INC	ZMH									
5917.0000	05/29/2012 Various					366,606.36	287,902.65	0.00	78,703.71	0.00	0.00
G0408V102	AON PLC COM	AON									
9300.0000	05/29/2012 04/22/2010					437,797.69	456,141.75	0.00	-18,344.06	0.00	0.00
G0692U109	AXIS CAPITAL HOLDINGS LTD COM	AXS									
16500.0000	05/29/2012 04/22/2010					556,050.38	523,317.30	0.00	32,733.08	0.00	0.00
G36738105	FRESH DEL MONTE PRODUCE INC	FDP									
24635.0000	05/29/2012 Various					587,531.57	511,880.60	0.00	75,650.97	0.00	0.00
066800103	BANRO CORP COM STK	BAA									
16900.0000	05/30/2012 08/11/2010					70,123.27	30,134.39	0.00	39,988.88	0.00	0.00
234064301	ADR DAIWA SECS GROUP SPON ADR	DSEFY									
85533.0000	05/30/2012 04/23/2010					267,558.27	452,033.35	0.00	-184,475.08	0.00	0.00
466294105	ADR JSC RUSHYDRO SPONSORED ADR	RSHYY									
39886.0000	05/30/2012 02/18/2011					93,753.90	201,338.55	0.00	-107,582.65	0.00	0.00
71646J109	ADR PETROBRAS ARGENTINA S A SPONSORED ADR	PZE									
7265.0000	05/30/2012 04/27/2010					62,987.58	120,195.98	0.00	-57,208.40	0.00	0.00

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2012 Tax Information Statement

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Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
73180Y203	POLYUS GOLD INTL LTD GDR LEVEL 1	PLZLY									
163274 0000	05/30/2012 Various					411,800.35	463,351.43	0.00	-51,551.08	0.00	0.00
830879102	SKYWEST INC	SKYW									
23521 0000	05/30/2012 Various					166,694.28	314,655.47	0.00	-147,961.19	0.00	0.00
G36738105	FRESH DEL MONTE PRODUCE INC	FDP									
2395 0000	05/30/2012 06/07/2010					56,931.85	47,715.35	0.00	9,216.50	0.00	0.00
066800103	BANRO CORP COM STK	BAA									
22900 0000	05/31/2012 08/11/2010					92,294.62	40,832.99	0.00	51,461.63	0.00	0.00
71646J109	ADR PETROBRAS ARGENTINA S A SPONSORED ADR	PZE									
9004 0000	05/31/2012 04/27/2010					76,205.83	148,966.90	0.00	-72,761.07	0.00	0.00
830879102	SKYWEST INC	SKYW									
15667 0000	05/31/2012 07/16/2010					109,463.76	188,251.54	0.00	-78,787.78	0.00	0.00
Total Long Term 15% Sales						23,661,467.50	26,685,515.21	0.00	-3,024,047.71	0.00	0.00

This is important tax information and is being furnished to you.

Delaware

PAGE 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE RESTATED CERTIFICATE OF "W. M. KECK FOUNDATION", FILED IN THIS OFFICE ON THE EIGHTEENTH DAY OF DECEMBER, A.D. 2012, AT 5:14 O'CLOCK P.M.

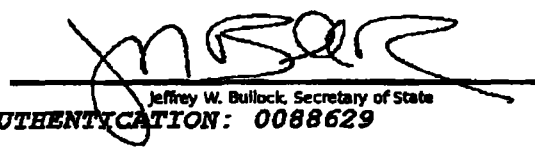
A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

0532303 8100

121359230

You may verify this certificate online
at corp.delaware.gov/authver.shtml




Jeffrey W. Bullock, Secretary of State
AUTHENTICATION: 0088629

DATE: 12-20-12

State of Delaware
Secretary of State
Division of Corporations
Delivered 05:14 PM 12/18/2012
FILED 05:14 PM 12/18/2012
SRV 121359230 - 0532303 FILE

RESTATED CERTIFICATE OF INCORPORATION

OF

W. M. KECK FOUNDATION

The W. M. Keck Foundation, a corporation organized and existing under the General Corporation Law of the State of Delaware, was incorporated on January 20, 1959.

FIRST: The name of the Corporation is
W. M. KECK FOUNDATION

SECOND: The objects or purposes to be promoted or carried out are as follows:

To receive and maintain a fund or funds, the principal and income of which shall be used exclusively to make contributions or gifts to, or for the use of, and to aid and assist institutions, organizations, agencies and activities that are organized and operated exclusively for charitable, religious, scientific, literary or educational purposes, including without limitation those that are concerned with science and engineering education and research, medical education and research and for

general charitable causes in Southern California as defined by the Board of Directors, from time to time, no part of the net earnings of any of which inures to the benefit of any Member or individual, and no part of the activities of which is carrying on propaganda or otherwise attempting to influence legislation, or participating in, or intervening in (including the publication or distribution of statements), any political campaign on behalf of any candidate for public office.

THIRD: In furtherance of, and not in limitation of, the general powers conferred by the laws of the State of Delaware, and the objects and purposes hereinabove set forth, it is expressly provided that the Corporation shall also have the following powers:

(a) To purchase, lease, hold, sell, mortgage, or otherwise acquire or dispose of real or personal property, both domestic and foreign; to borrow money and obtain credit, and to pledge or mortgage any property; to enter into, make, perform or carry out contracts of every kind with any person, firm, corporation or association;

(b) To hold forever, or to sell, in the exercise of discretion, all securities of other corporations or government obligations which may at any time and from time to time be held by this Corporation, whether the same is purchased or is received by gift or bequest, without liability for holding or selling or purchasing the same;

(c) To do all such acts and things, and to engage in such activities, as are necessary, useful, suitable, expedient, desirable or proper to promote, carry on or further the activities, objects and purposes of the Corporation, either directly or indirectly, either alone or in conjunction or cooperation with others, whether such others be persons or organizations of any sort or nature, such as firm, association, trusts, syndicates, institutions, agencies, corporations or government bureaus, departments or agencies;

(d) To have offices and to promote, carry on and further the activities, objects and purposes of the Corporation, within or outside the State of Delaware, in other states, the District of Columbia, the territories or colonies of the United

States, and outside the United States, its territories and colonies;

(e) In general, to have all powers conferred upon a corporation by the laws of the State of Delaware, except as hereinafter prohibited or as forbidden by the Bylaws of the Corporation.

(f) To distribute its income for each taxable year at such time and in such manner as not to become subject to the tax on undistributed income imposed by Section 4942 of the Internal Revenue Code of 1954, or corresponding provisions of any subsequent Federal tax laws.

FOURTH: In limitation of the general powers conferred by the laws of the State of Delaware, it is expressly provided that the Corporation shall not have the following powers:

(a) To carry on propaganda or otherwise attempt to influence legislation, except as permitted by applicable law;

(b) To influence the outcome of any specific public election, or to carry on, directly or indirectly, any voter registration drive, except as provided by law;

(c) In general, to do any act or thing, to engage in any activity, or to amend this Restated Certificate of Incorporation in any way which would disqualify the Corporation from exemption under Section 501(c)(3) of the Internal Revenue Code of 1954, or as it may hereafter be amended, or violate the terms and provisions of Chapter 42, Subchapter A, of the Internal Revenue Code as such Chapter governs and regulates the W. M. Keck Foundation as may be amended, from time to time.

(d) To engage in any act of self-dealing as defined in Section 4941(d) of the Internal Revenue Code of 1954, or corresponding provisions of any subsequent Federal tax laws.

(e) To retain any excess business holdings as defined in Section 4943(c) of the Internal Revenue Code of 1954, or corresponding provisions of any subsequent Federal tax laws.

(f) To make any investments in such manner as to subject it to tax under Section 4945(d) of the Internal Revenue Code of 1954, or corresponding provisions of any subsequent Federal tax laws.

(g) To make any taxable expenditures as defined in Section 4945 (d) of the Internal Revenue

Code of 1954, or corresponding provisions of any subsequent Federal tax laws.

FIFTH: The Corporation is not organized for pecuniary profit and shall not have any capital stock; no part of its net earnings or of its principal shall inure to the benefit of any Member, Director (however styled), or officer of the Corporation, or any other individual, but reimbursement for expenditures or the payment of reasonable compensation for services rendered shall not be deemed to be a distribution of income or principal.

SIXTH: The property of this Corporation is irrevocably dedicated to religious, hospital, scientific, charitable or educational purposes meeting the requirements for exemption provided by Section 501(c)(3) of the Internal Revenue Code. Upon the dissolution or winding up of the Corporation, its assets remaining after payment, or provisions for payment, of all debts and liabilities of the Corporation shall be distributed to a nonprofit fund, foundation or corporation which is organized and operated exclusively for religious, hospital,

scientific, charitable or educational purposes meeting the requirements for exemption provided by, and which has established its tax-exempt status under, Section 501(c)(3) of the Internal Revenue Code.

SEVENTH: The conditions for Membership in the Corporation shall be stated in its Bylaws, except that each descendant of W. M. Keck, one of the incorporators hereinafter named, shall, upon attaining twenty-one (21) years of age, automatically become eligible for Membership in the Corporation and shall become a Member thereof upon filing written application with any officer of the Corporation, whereupon his or her application shall be, and shall be deemed to be, accepted, without further action by the Corporation.

EIGHTH: [Omitted.]

NINTH: The Corporation shall have perpetual existence.

TENTH: The private property of the Members shall not be subject to the payment of

corporate debts, except as they may be liable by reason of their own conduct or acts.

ELEVENTH: (a) The activities and affairs of the Corporation shall be managed by a Board of Directors. The number of Directors which shall constitute the entire Board of Directors shall be determined and fixed from time to time by resolution of the Board of Directors; provided, however, that in no event shall such number be less than three (3) nor more than thirty-two (32).

(b) Notwithstanding any other provision of this Restated Certificate of Incorporation or the Bylaws, at no time may the number of Directors who are Keck Relatives constitute more than a minority of the total number of Directors constituting the entire Board of Directors. For the purposes of this Article ELEVENTH, the term "Keck Relatives" shall include persons who are descendants of W. M. Keck, adoptees of descendants of W. M. Keck, or descendants of said adoptees.

(c) Directors need not be Members of the Corporation, unless so required by the Bylaws, and shall be elected by the Members at the annual meeting

of the Corporation to be held on such date as the Bylaws may provide.

(d) At the annual meeting of the Corporation, each Member may cast one vote for as many persons as there are Directors to be elected, and the persons receiving the greatest number of votes shall be elected as the Directors; provided, however, that persons who are Keck Relatives may not constitute more than a minority of the entire Board of Directors and therefore not more than the number of such Keck Relatives which constitute a minority of the Board of Directors may be elected Directors. Cumulative voting is not permitted.

(e) Any Director may be removed, either with or without cause, at any time, by the affirmative vote of a majority of the Members entitled to vote, at a special meeting of the Members called for the purpose, and the vacancy in the Board of Directors caused by any such removal may be filled by the Members at such meeting or at any subsequent meeting; provided, however, that a Director who is a descendant of W. M. Keck may only be removed, in addition to the affirmative vote of a majority of the Members, by the affirmative vote of three-quarters

(3/4) of the Members, other than the descendant who is being removed, who are the descendants of W. M. Keck.

(f) There shall be an executive committee of the Board of Directors composed of the chairman of the Board of Directors and not less than five (5) other Directors who shall be appointed by the Board of Directors at the annual meeting of the Corporation, and who shall serve until their successors are appointed; provided, however, that Directors who are Keck Relatives may not constitute more than a minority of the total number of Directors constituting the entire executive committee. To the fullest extent permitted by law, the executive committee shall have and may exercise the full power and authority of the Board of Directors. Without limiting the generality of the foregoing, the executive committee shall have and may exercise the full power and authority to nominate persons for election to the Board of Directors, and also shall nominate persons for appointment to committees of the Board and as officers, and shall also nominate persons to fill vacancies on the Board, any committee thereof, or vacancies in any officer positions. Persons not so nominated by the executive committee may not be

3

nominated by the Board for election to the Board of Directors or as officers, be appointed by the Board to any committee of the Board, or be appointed by the Board to fill any vacancy on the Board, any committee thereof or any officer position, without the affirmative vote of at least seventy-five percent (75%) of the Directors.

(g) To the fullest extent permitted by law and subject to the provisions of this Article ELEVENTH, the Board of Directors may, by resolution or resolutions passed from time to time by a majority of the entire Board establish, prescribe the powers of, appoint and change the membership of, and terminate the existence of, one or more committees of the Board of Directors, which committee or committees shall have such name or names as may be stated in the Bylaws of the Corporation or as may be determined by such resolution of the Board of Directors; provided, however, that Directors who are Kack Relatives may not constitute more than a minority of the total number of Directors constituting any such committee; and, provided further, that no such committee shall consist of less than three (3) Directors.

(h) The Corporation may elect such officers as the Bylaws may specify, who shall have such titles and exercise such duties as the Bylaws may provide to the fullest extent permitted by law.

(i) The Board of Directors is expressly authorized to make, alter or repeal the Bylaws of this Corporation.

(j) The Corporation may in its Bylaws confer powers upon its Board of Directors in addition to the foregoing, and in addition to the powers and authorities expressly conferred upon them by statute, provided that the Board of Directors shall not exercise any power or authority conferred herein or by statute upon the Members.

(k) The Bylaws shall specify the number of Directors necessary to constitute a quorum. The Directors of the Corporation may, if the Bylaws so provide, be classified as to the term of office.

(l) The Corporation may, at its direction and by a vote of a majority of the Board of Directors, appoint a retired Director to the position of Director Emeritus. A Director Emeritus may attend meetings of the Corporation's Board of Directors but will not receive compensation for attending such

meetings, will not have a vote on the Board of Directors, and will not count as a Director in determining the total number of Directors permitted under paragraph (a) of Article ELEVENTH of this Restated Certificate of Incorporation.

TWELFTH: Subject to the limitations set out in Article FOURTH of this Restated Certificate of Incorporation, the Corporation shall, to the fullest extent permitted by applicable law as it presently exists or may hereafter be amended, indemnify and hold harmless and advance expenses to any person who was or is, or is threatened to be made, a party to any threatened, pending, or completed action, suit, or proceeding, or is otherwise involved in any action, suit, or proceeding, whether civil, criminal, administrative or investigative (other than an action by or in the right of the Corporation) by reason of the fact that such a person, or a person for whom such person is the legal representative, is or was a Director, officer, employee, or agent of the Corporation or is or was serving at the request of the Corporation as a Director, officer, employee or agent of another corporation or of a partnership, joint

venture, trust or other enterprise, against expenses (including attorneys' fees) judgments, fines, and amounts paid in settlement actually and reasonably incurred by such person in connection with such action, suit or proceeding if such person acted in good faith and in a manner such person reasonably believed to be in or not opposed to the best interests of the Corporation, and, with respect to any criminal action or proceeding, had no reasonable cause to believe such person's conduct was unlawful. The termination of any action, suit or proceeding by judgment, order, settlement, conviction, or upon a plea of nolo contendere or its equivalent, shall not, of itself, create a presumption that the person did not act in good faith and in a manner which the person reasonably believed to be in or not opposed to the best interests of the Corporation, and, with respect to any criminal action or proceeding, had reasonable cause to believe that the person's conduct was unlawful.

This Article TWELFTH shall also conform to the requirements of the General Corporation Law of the State of Delaware Section 145 as may be amended from time to time.

THIRTEENTH: Meetings of Members of the Corporation may be held outside of the State of Delaware, if the Bylaws so provide. A meeting and vote of Members may be dispensed with only if all of the Members shall consent in writing to the corporate action being taken and such written consent is filed with the minute books of the Corporation. The books of the Corporation may be kept (subject to any provision contained in the statutes) outside of the State of Delaware at such place or places as may be from time to time designated by the Board of Directors.

FOURTEENTH: Any amendment to this Restated Certificate of Incorporation shall be approved by seventy-five percent (75%) of the Members, and any amendment to Article SEVENTE thereof shall, in addition, be approved by all the Members who are descendants of said W. M. Keck.

FIFTEENTH: The registered office of the Corporation in the State of Delaware is located at 1209 Orange Street, in the City of Wilmington, County

of New Castle. The name and address of its resident agent is THE CORPORATION TRUST COMPANY, 1209 Orange Street, Wilmington, Delaware.

SIXTEENTH: Pursuant to subsection (b) of Section 102 Title 8, of the General Corporation Law of the State of Delaware and subject to the limitations contained in paragraph (d) of Article FOURTH of this Restated Certificate of Incorporation, there shall be no personal liability of a Director to the Corporation for monetary damages for breach of fiduciary duty as a Director provided that this provision shall not eliminate or limit the liability of a Director (i) for any breach of the Director's duty of loyalty, (ii) for acts or omissions not in good faith or which involve intentional misconduct or a knowing violation of law, (iii) under section 174 of this Title, or (iv) for any transaction from which the Director derived an improper personal benefit.

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IN WITNESS WHEREOF, this Restated Certificate of Incorporation, which restates, integrates and further

amends the provisions of the Corporation's Certificate of Incorporation as originally filed on January 20, 1959 and as subsequently amended heretofore, having been duly adopted by the Board of Directors and by the Members of the Corporation in accordance with the provisions of Sections 242 and 245 of the General Corporation Law of the State of Delaware, has been executed this 13th day of December, 2012.

W. M. KECK FOUNDATION

Attest:

Judith A. Lower
Secretary
Judith A. Lower

By:

Robert A. Day
Chairman of the Board
Robert A. Day

W. M. Keck Foundation
Amended and Restated Bylaws

As of June 2012

W. M. Keck Foundation Bylaws

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AMENDED AND RESTATED

BYLAWS

Of

W. M. KECK FOUNDATION

* * * * *

ARTICLE I

CORPORATE PROPERTY

Section 1.1. Property. All property received by the Corporation, whether by gift, bequest, devise or otherwise, shall be used exclusively to promote, carry on and further the activities, objects and purposes set forth in the Restated Certificate of Incorporation.

Section 1.2. Dissolution. On dissolution, all property of the Corporation, from whatever source arising, shall be distributed to such organizations as are exempt from tax by virtue of Section 501(c)(3) of the Internal Revenue Code of 1954, or as it may be amended, as the Board of Directors of the Corporation shall determine.

ARTICLE II

MEMBERS

Section 2.1. Membership. The Members of the Corporation shall consist of those descendants of

W. M. Keck who shall apply for membership and of such other persons as shall be elected to membership at any meeting by the vote of the majority of all of the Members entitled to vote. "Descendant" as used in these Bylaws and the Restated Certificate of Incorporation means a lineal descendant and does not include adoptees. Each Member shall be entitled to one vote on each issue at all meetings of Members. The Corporation shall at all times have at least three Members.

Section 2.2. Place of Meeting. All meetings of the Members of the Corporation, whether for the election of directors or otherwise, shall be held at such places, within or outside of the State of Delaware, as from time to time may be fixed by the Board of Directors, or as shall be specified or fixed in the respective notice, or waivers of notice thereof, or in the vote of adjournment, as the case may be.

Section 2.3. Annual Meetings. The annual meeting of the Members shall be held in the month of December in each year, for the election of directors and the transaction of such other business as may come before the meeting. Such meeting shall be at the time and location as designated in the notice of such meeting.

Section 2.4. Special Meetings. Special meetings of the Members may be called at any time by the President, the Board of Directors, or by any two Members, at such a time and place and for such purposes as shall be stated in the call thereof.

Section 2.4.1 Advisory Meetings. In addition to other duties and responsibilities of the Members, there shall be no less than one advisory meeting of the Members to be conducted preceding or concurrently with the meetings of the Board of Directors at which the grant applications recommended or rejected by the Executive Committee and Grant Committees are reviewed. The advisory meetings may be held separately or jointly with the Board of Directors' meeting and shall be conducted in such a manner as to allow all Members present an opportunity to comment upon said grant applications; provided, however, that in no event shall the Members' comments be binding upon the Directors who shall act upon same in accordance with their corporate powers and final decision-making responsibilities set forth herein; provided, however, if the Executive Committee determines that any grants should be made at a time when there is no Members meeting or at a time when there is no Board of Directors meeting, the Executive Committee shall have the authority to approve and to otherwise act on such

grants in place and instead of either or both the Members (in its above mentioned review and advisory capacity) and the Board of Directors.

Section 2.5. Notice of Meeting. Except as otherwise required by law, written notice of annual and special meetings of the Members shall, at least ten days before the day on which the meeting is to be held, be given to each Member, by delivering such notice to such Member, personally, or by mailing such notice first class mail, postage prepaid, addressed to such Member at such Member's address as registered with the Corporation or by facsimile or email or other then currently acceptable means of electronic communication. Such notice shall be given by the Secretary or other officer, or by a person designated either by the Secretary, or by the person or persons calling the meeting, or by the Board of Directors.

Section 2.6. Waivers, etc. Anything herein contained to the contrary notwithstanding, if any Member shall, in person or by attorney duly authorized, waive notice or any meeting, whether before or after such meeting, notice thereof shall not be required as to such Member.

Section 2.7. Quorum and Manner of Acting. At all meetings of Members, the presence, in person or by

proxy duly authorized, of a majority of the Members shall constitute a quorum for the transaction of business; and, except as otherwise required by statute, the Restated Certificate of Incorporation or the Bylaws, the act of a majority of the Members present at any such meeting at which a quorum is present shall be the act of the Members; but, in the absence of a quorum a majority of the Members present in person or by proxy may adjourn the meeting, from time to time, but not for a period of more than thirty days at any one time, until a quorum shall attend. At any such adjourned meeting, at which a quorum shall be present, any business may be transacted which might have been transacted at the meeting as originally called. No notice of an adjourned meeting need be given.

Section 2.8. Proxies. Any Member entitled to vote at any meeting may vote by proxy. Proxies shall be in writing and shall be revocable at the will of the Members executing the same. Unless the duration of the proxy shall be otherwise specified in the proxy, it shall become invalid upon the expiration of thirty-six months from the date of its execution.

Section 2.9. Removal of Members. Any Member may be removed, either with or without cause, at any time, by the affirmative vote of a majority of the Members

entitled to vote, at a special meeting of the Members called for the purpose, and the vacancy caused by any such removal may be filled by the Members at such meeting or at any subsequent meeting. However, a Member who is a descendant of W. M. Keck may be removed only for cause and only, in addition to the affirmative vote of a majority of the Members, by the affirmative vote of three-quarters (3/4) of the Members, other than the descendant who is being removed, who are descendants of W. M. Keck, and upon such descendant being so removed he or she shall not again be, or be deemed to be, a Member by tender of application pursuant to article SEVENTH of the Restated Certificate of Incorporation.

Section 2.10. Resignations. Any Member of the Corporation may resign at any time either by oral tender of resignation at any meeting of the Members or by giving written notice thereof to the Secretary of the Corporation. Such resignation shall take effect at the time specified therefore; and, unless otherwise specified with respect thereto, the acceptance of such resignation shall not be necessary to make it effective.

ARTICLE III

BOARD OF DIRECTORS

Section 3.1. General Powers. All corporate powers shall be vested in and may be exercised by the Board of Directors of the Corporation, except as otherwise expressly provided by law or in the Restated Certificate of Incorporation or in the Bylaws. No Director need be a Member.

Section 3.2. Number, Election and Term of Office. Subject to the Restated Certificate of Incorporation, the number of Directors shall be determined and fixed, from time to time, by resolution of the Board of Directors. The Directors shall be elected at the annual meeting of the Members in each year and each director shall hold office until the next annual meeting of the Members and until his or her respective successor is chosen, unless he or she sooner dies, resigns, is removed or becomes disqualified.

Section 3.3. Place of Meeting. Regular and special meetings of the Board of Directors, or of any committee thereof, may be held either within or outside the State of Delaware.

Section 3.4. Regular Meetings. Regular meetings of the Board of Directors may be held without call

or formal notice at such places and at such times as the Board of Directors may from time to time determine by vote.

Section 3.5. Special Meetings. Special meetings of the Board of Directors may be held at such places and at such times as the Chairman of the Board of Directors, the President or any two of the Directors may determine. Notice of such meeting shall be mailed to each Director, addressed to such Director at his or her residence or usual place of business, not later than forty-eight hours before the day on which the meeting is to be held, or shall be sent to such Director at such place by facsimile or email or other currently acceptable means of electronic communication, or be delivered personally, or by telephone, not later than twenty-four hours before the day on which the meeting is to be held.

Section 3.5.1. Attendance at Meetings. A director's presence in person shall constitute attendance for the purposes of determining a quorum and participating at any regular or special meeting of the Board of Directors or at any meeting of a committee thereof. Members of the Board of Directors, or any committee designated by the Board, may participate in a meeting of the Board or such committee by means of conference telephone or other communications equipment by means of which all persons

participating in the meeting can hear each other, and participation in such a meeting shall constitute presence in person at the meeting.

Section 3.6. Notice of Meeting. Notice of any meeting of the Board of Directors need not be given to any director if waived by such director in writing (including email or other then currently acceptable means of electronic communication); except as otherwise provided in the Bylaws or as may be indicated in the notice thereof, any and all business may be transacted at any special meeting of the Board of Directors.

Section 3.7. Consent of Directors. To the extent permitted by law, any action required or permitted to be taken at any meeting of the Board of Directors or of any committee thereof may be taken without a meeting, if prior to such meeting a written consent thereto is signed by all members of the Board of Directors, or of such committee, as the case may be, and such written consent is filed with the minutes of proceedings of the Board of Directors or of such committee.

Section 3.8. Quorum and Manner of Acting. Except as herein otherwise provided, one-third (1/3) of the Directors shall constitute a quorum for the transaction of business, except that in no event shall a quorum be less

than two (2) directors; and except as otherwise required by law, in the Restated Certificate of Incorporation or in the Bylaws, the act of a majority of the Directors present any such meeting at which a quorum is present, shall be the act of the Board of Directors. In the absence of a quorum, a majority of the Directors present may adjourn the meeting, from time to time, until a quorum is present. No notice of any adjourned meeting need be given. This quorum requirement shall also apply to the quorum and manner of voting of all committees of the Board of Directors.

Section 3.9. Resignations. Any Director of the Corporation may resign at any time either by oral tender of resignation at any meeting of the Board of Directors or by written notice thereof given to the Secretary of the Corporation. Such resignation shall take effect at the time specified therefore, and, unless otherwise specified with respect thereto, the acceptance of such resignation shall not be necessary to make it effective.

Section 3.10. Filling of Vacancies Not Caused by Removal. In case of any increase in the number of directors, or of any vacancy caused by death or resignation, the additional Director or Directors may be elected, or, as the case may be, the vacancy or vacancies may be filled, either (a) by the Board of Directors at any

regular meeting, or at a special meeting called for the purpose, by the affirmative vote of the majority of the Directors then in office, or (b) by the Members, at a special meeting called for the purpose, by the affirmative vote of a majority of the Members entitled to vote.

ARTICLE IV

COMMITTEES

Section 4.1. Creation, Membership, etc. There shall be the following standing committees: Executive Committee, Investment Committee, Compensation Committee, Audit Committee, Legal and Governance Committee, Program Chairs Committee, Medical Research Committee, Science and Engineering Committee, Southern California and Liberal Arts Committee, and Keck Medicine Special Project Review Committee. The Board of Directors may, from time to time, appoint such of the standing or special committees as it may deem desirable, and shall provide for their powers and duties. Subject to the Restated Certificate of Incorporation, the Board of Directors may at any time and from time to time, by resolution adopted by a majority of the whole board of directors, create additional committees or change the membership of or terminate the existence of any committee. Unless otherwise provided in the Restated

Certificate of Incorporation or these Bylaws, each committee shall consist of three (3) or more of the Directors of the Corporation. Members of each committee shall be appointed by the Board of Directors and will serve until their successors are appointed. Persons who are Keck Relatives as defined in Article ELEVENTH (b) of the Restated Certificate of Incorporation) may not constitute more than a minority of the total number of Directors constituting any Committee.

Section 4.2. Executive Committee. The Executive Committee duties and powers (including its duties and powers to recommend nominees for election to the Board of Directors) shall be governed by the Corporation's Restated Certificate of Incorporation. In the event of death, disability or other inability to perform duties, removal, or resignation of the Chairman of the Board of Directors, Chief Executive Officer or the President, the Executive Committee shall select seven (7) members of the Board of Directors to review and recommend to the Executive Committee one or more candidates for election as the Chairman of the Board of Directors, President or Chief Executive Officer. Such subcommittee shall be ad hoc and shall be named the Ad Hoc Leadership Nominating Committee, shall be appointed from time to time by the Executive

Committee as needed, and shall have as committee members three (3) Keck Relatives as that term is defined in Article ELEVENTH (b) of the Corporation's Restated Certificate of Incorporation and four (4) members of the Board of Directors who are not Keck Relatives.

Section 4.3. Investment Committee. The Investment Committee shall be responsible in the interim between Executive Committee meetings to act on behalf of the Executive Committee and the Corporation with respect to the Corporation's investment related matters, including without limitation, investment policies, asset allocation, retention and replacement of investment managers, and general supervision over investments and the taking of appropriate actions on behalf of the Executive Committee and the Corporation. The Investment Committee shall also be responsible for such other investment and fiscal matters as the Board of Directors may from time to time determine.

Section 4.4. Compensation Committee. The Compensation Committee shall review and make recommendations to the Executive Committee and the Board of Directors from time to time on compensation related matters and benefits for officers and employees as may be requested by the Executive Committee or Board of Directors.

Section 4.5. Ad Hoc Leadership Nominating Committee. The Ad Hoc Leadership Nominating Committee's duties and powers shall be governed by the Corporation's Restated Certificate of Incorporation, Bylaws and such rules of procedure set out by the Executive Committee.

Section 4.6. Audit Committee. There shall be an Audit Committee composed of not less than five (5) members of the Board of Directors. It shall be the duty of the Committee to recommend to the President, Chief Executive Officer and Executive Committee the accounting firm to be selected as independent auditor of the Corporation and to act on behalf of the Board in meeting and reviewing with the independent auditors and the appropriate corporate officers, matters relating to Corporation financial reporting and accounting procedures and policy, adequacy of financial, accounting and operating controls and the scope of the audit of the independent auditors. The Committee shall review the result of the audit with and shall report thereon to the Board of Directors. The Committee shall additionally submit to the Board of Directors any recommendations it may have from time to time with respect to financial reporting and accounting practices and with respect to financial, accounting, and operational controls and safeguards.

Members of the Audit Committee shall be elected by the Board of Directors at the same time and for the same term as Members of the Executive Committee. In connection with its financial and accounting affairs, in general, and the duties and obligations of the Audit Committee in particular, the Corporation shall comply with all applicable laws, including, without limitation, the terms and provisions of Delaware law and California law as found in the applicable statutes relating to the supervision of the California Attorney General relating to foreign charitable nonprofit corporation doing business in or holding property in the State of California.

Section 4.7. Legal and Governance Committee.

There shall be a Legal and Governance Committee composed of not less than three members of the Board of Directors. It shall be the duty of the Legal and Governance Committee to consider, and in its discretion, advise and make recommendations to any of the President, the Chief Executive Officer, the Chairman of the Board, or the Board of Directors, with respect to legal matters and governance policies relating to the general conduct of the business of the Corporation and with respect to the Corporation as may be submitted to it by the Executive Committee or the Board of Directors. Members of the Legal and Governance

Committee shall be appointed by the Board of Directors and each member shall serve until his or her successor is appointed.

Section 4.8. Grant Committees. There shall be three (3) standing Grant Committees each composed of not less than five (5) members of the Board of Directors and the President of the Corporation. The grant committees will be as follows: Medical Research Committee, Science and Engineering Committee, Southern California and Liberal Arts Committee. There shall also be a Program Chairs Committee composed of the Chairs of each Grant Committee. The Program Chairs Committee shall initially invite, review and recommend or reject grant applications and submit said recommended or rejected grant applications to the grant committees. Each grant committee shall review and recommend or reject grant applications and submit said recommended or rejected grant applications to the Executive Committee or if there is no Executive Committee meeting called at the time of grant approval, to the Board of Directors. The responsibilities of the Keck Medicine Special Project Review Committee are to review the progress of the University of Southern California in its efforts on behalf of Keck Medicine and related entities in accordance

with the appertaining Grant Agreements between the Corporation and the University.

ARTICLE V

OFFICERS AND AGENTS: POWERS AND DUTIES

Section 5.1. Officers. The officers of the Corporation may be a Chairman of the Board of Directors, a Vice Chairman of the Board of Directors, a President, one or more Vice Presidents, a Secretary and a Chief Financial Officer. The Board of Directors may also appoint one or more assistant secretaries, one or more assistant financial officers and such other officers and agents as from time to time may appear to be necessary or advisable in the conduct of the affairs of the Corporation.

The Chairman and Vice Chairman of the Board of Directors shall be chosen from among the Directors. The President may, but need not be, chosen from among the Directors. So far as is permitted by law, any two (2) or more offices may be held by the same person, except that the President shall not also be the Secretary.

Section 5.2 Term of Office. So far as practicable, all elected officers shall be elected at the annual meeting of the Board of Directors in each year, and each officer shall hold office until the annual meeting

thereof in the next subsequent year and until his or her successor is chosen, unless he or she sooner dies, resigns, is removed or becomes disqualified. All appointed officers shall hold office during the pleasure of the Board of Directors.

Section 5.3. Removal of Elected Officers. Any elected officer may be removed at any time, either with or without cause, by the affirmative vote of a majority of the whole Board of Directors, at any meeting thereof; provided, however, that an officer who is a descendant of W. M. Keck may be removed only for cause and only, in addition to the affirmative vote of a majority of the whole Board of Directors, by the affirmative vote of three-quarters (3/4) of the Directors, other than the descendant whose removal is being considered, who are descendants of W. M. Keck.

Section 5.4. Vacancies. If any vacancy occurs in any office, the Board of Directors may elect or appoint a successor to fill such vacancy for the remainder of the term.

Section 5.5. Chairman of the Board of Directors. The Chairman shall preside at all meetings of Members and Directors at which he or she is present. Except where by law the signature of the President is required, the Chairman shall possess the same power as the

President to sign all certificates, contracts and other instruments of the Corporation which may be authorized by the Board of Directors. The Chairman shall have the right to exercise all of the powers usually appertaining to the office of the Chairman of the Board of a corporation.

. Section 5.6. President. The President shall have general and active control of its business and affairs. He or she shall have general power to appoint and fix (subject to any legally required approval of the Board of Directors) the compensation of all employees and agents of the corporation whose appointment is not otherwise provided for, to remove and suspend all such employees and agents, and to exercise all of the powers usually appertaining to the office of the President of a corporation.

Section 5.7. Chief Executive Officer. The Chief Executive Officer shall have the duties and exercise the powers designated to that office by the Board of Directors. The Chief Executive Officer may be the same person as the President. There is no requirement that there be a Chief Executive Officer appointed separate from the President, although the separation of these two offices is permissible at the discretion of the Board of Directors.

Section 5.8. Vice Chairman of the Board of Directors. The Vice Chairman of the Board of Directors and shall serve at the pleasure of and perform such other duties and services as from time to time may be assigned to or required of him or her by the Board of Directors or the Chairman of the Board of Directors.

Section 5.9. Vice-President. The several Vice-Presidents shall perform such duties and services as from time to time may be assigned to or required of them by the Board of Directors or the President, respectively.

Section 5.10. Secretary. The Secretary shall attend to the giving of notice of all meetings of members and of the Board of Directors, except as herein otherwise provided, and shall keep and attest true records of all proceedings thereat. In his or her absence from any such meeting, the assistant secretary, if any, or a chosen temporary secretary, shall record the proceedings. The Secretary shall have charge of the corporate seal and shall have authority to attest any and all instruments or writings to which the same may be affixed and generally shall perform all of the duties usually appertaining to the office of secretary of a corporation.

Section 5.11. Chief Financial Officer. The Chief Financial Officer shall have the care and custody of

all moneys, funds and securities of the corporation and shall deposit or cause to be deposited all funds of the corporation in and with such depositaries as the Board of Directors from time to time may direct. He or she shall keep all books of account relating to the business of the Corporation and shall render a statement of the Corporation's financial condition whenever required to do by the Board of Directors, Chief Executive Officer or the President.

Section 5.12. Duties. In addition to the foregoing especially enumerated duties and powers, the several officers of the Corporation shall perform such other duties and exercise such other powers as may be provided in the Bylaws, or as the Board of Directors from time to time may determine, or as may be assigned to them by any competent superior officer.

Section 5.13. Death or Disability of Chairman, President or Chief Executive Officer. Subject to the provisions of Section 4.2, the Vice Chairman of the Board of Directors shall act in the place of the President or Chief Executive Officer, exercising all of his or her powers and performing all of his or her duties during the time such officer or officers are disabled or otherwise unable to perform his or her duties or in the event of such

officer's death. The Vice Chairman shall so act on behalf of such officer or officers until the next regularly scheduled meeting of the Board of Directors.

Subject to the provisions of Section 4.2, the Vice Chairman shall act in the place of the Chairman of the Board of Directors, exercising all of his or her powers and performing all of the duties of that office during the time the Chairman is disabled or is otherwise unable to perform his or her duties or in the event of the death of the Chairman. The Vice Chairman shall so act in the Chairmanship capacity from the date of such inability to act, disability or death until the second of the regularly scheduled meetings of the Board (in mid-June and mid-December) thereafter. (The mid-June meeting will be counted as a regularly scheduled meeting even if it is not held.)

If the offices of President and Chairman of the Board of Directors or Chief Executive Officer and Chairman of the Board of Directors are held by the same person, the separate nominating processes, for each, described above in this Section 5.13 will apply.

If the President, the Chief Executive Officer, the Chairman of the Board of Directors and the Vice Chairman of the Board of Directors all die, all become

disabled or all are otherwise unable to perform their duties, the Executive Committee shall act in their place until the next regularly scheduled meeting of the Board.

ARTICLE VI

ANNUAL REPORT

Section 6.1. Board of Directors. At each annual meeting of the Members a report shall be presented in such form and with such contents as shall be required by statute, and with such other contents as may be deemed advisable by the Board of Directors, which report shall be filed as required by statute.

ARTICLE VII

COMPENSATION

Section 7.1. General. The Board of Directors may make such contracts and employ such agents, servants, brokers and attorneys as it deems necessary or appropriate to the accomplishment of the corporate purposes. When authorized by the Board of Directors, committees appointed pursuant to Section 4.1 of the Bylaws, or the officers of the Corporation, may likewise make such contracts or employ such agents, servants, brokers and attorneys. Directors and officers, and firms and corporations in which directors

and officers are interested, may be parties to such contracts and may be so employed if so disclosed and approved by the Board of Directors. Any person, firm or corporation with which the Corporation makes any such contract, or which it so employs, may be paid reasonable compensation for performing such contracts or rendering such services; provided, however, that nothing in this section shall be construed to limit the powers which the Board of Directors, or committees appointed by the Board of Directors, or the officers of the corporation, would have absent this section.

Section 7.2. Officers. The compensation of all officers of the corporation shall be fixed from time to time by the Board of Directors; provided, however, that the compensation of any officer appointed by the Chief Executive Officer or President may be fixed by such officer between Board meetings, subject, however, to review and superseding action by the Board of Directors.

ARTICLE VIII

MISCELLANEOUS

Section 8.1. Fiscal Year. The fiscal year of the corporation shall be the calendar year.

Section 8.2. Signature of Negotiable Instruments. All bills, notes, checks or other instruments for the payment of money shall be signed or countersigned by such officers and in such manner as from time to time may be prescribed by the Board of Directors.

Section 8.3. Seal. The seal of the corporation shall be in such form as from time to time may be adopted by the Board of Directors.

Section 8.4. Amendments. The Bylaws of the Corporation may be altered or repealed, in any particular, and new Bylaws, not inconsistent with any provision of law or the Restated Certificate of Incorporation, may be adopted, either by the affirmative vote of a majority of the Members entitled to vote at any meeting thereof, or by the affirmative vote of a majority of the whole Board of Directors at any meeting thereof; in either event the notice of any such meeting shall include the form of the proposed alteration or repeal or of the proposed new Bylaws, or a summary thereof.

W.M. Keck Foundation

Compensation of Officers & Directors - 2012

Name and Address	Title(s)	Contributions to Employee Benefit Plans	Expense Account Other All.	Compensation and Directors and Committee Fees	
Robert A. Day 550 S. Hope St. #2500 Los Angeles, CA 90071	Chairman of the Board, CEO, Member/Director	0	0	0	
James A. Baker III 550 S. Hope St. #2500 Los Angeles, CA 90071	Member/Director	0	0	0	
Peter Barker 550 S. Hope St. #2500 Los Angeles, CA 90071	Member/Director	0	0	20,000	(1)
Marsh Cooper 550 S. Hope St. #2500 Los Angeles, CA 90071	Vice President and Member/Director	0	0	0	
Matt Day, Sr. 550 S. Hope St. #2500 Los Angeles, CA 90071	Vice Chairman and Member/Director	0	0	0	
Theodore J. Day 550 S. Hope St. #2500 Los Angeles, CA 90071	Member/Director	0	0	0	
Joseph Deegan-Day 550 S. Hope St. #2500 Los Angeles, CA 90071	Member/Director	0	0	0	
Dr. Thomas E. Everhart 550 S. Hope St. #2500 Los Angeles, CA 90071	Member/Director and Senior Scientific Advisor	0	0	37,500	(2)
Richard N. Foster 550 S. Hope St. #2500 Los Angeles, CA 90071	Member/Director	0	0	0	
Lucinda D. Fournier 550 S. Hope St. #2500 Los Angeles, CA 90071	Member/Director	0	0	0	
Bradford Freeman 550 S. Hope St. #2500 Los Angeles, CA 90071	Member/Director	0	0	0	

W.M. Keck Foundation

Compensation of Officers & Directors - 2012

Name and Address	Title(s)	Contributions to Employee Benefit Plans	Expense Account Other All.	Compensation and Directors and Committee Fees
Howard B. Keck, Jr. 550 S. Hope St. #2500 Los Angeles, CA 90071	Vice President and Member/Director	0	0	0
Stephen M. Keck 550 S. Hope St. #2500 Los Angeles, CA 90071	Member/Director	0	0	0
Theodore J. Keck 550 S. Hope St. #2500 Los Angeles, CA 90071	Member/Director	0	0	0
W.M. Keck II 550 S. Hope St. #2500 Los Angeles, CA 90071	Member/Director	0	0	0
W.M. Keck III 550 S. Hope St. #2500 Los Angeles, CA 90071	Member/Director	0	0	0
John E. Kolb 550 S. Hope St. #2500 Los Angeles, CA 90071	Member/Director	0	0	0
Kent Kresa 550 S. Hope St. #2500 Los Angeles, CA 90071	Member/Director	0	0	0
James Paul Lower 550 S. Hope St. #2500 Los Angeles, CA 90071	Member/Director	0	0	0
Kerry K. Mott 550 S. Hope St. #2500 Los Angeles, CA 90071	Member/Director	0	0	0
Dr. Simon Ramo 550 S. Hope St. #2500 Los Angeles, CA 90071	Member/Director	0	0	0
Nelson Rising 550 S. Hope St. #2500 Los Angeles, CA 90071	Member/Director	0	0	0
Dr. Stephen J. Ryan 550 S. Hope St. #2500 Los Angeles, CA 90071	Member/Director	0	0	0

W.M. Keck Foundation

Compensation of Officers & Directors - 2012

Name and Address	Title(s)	Contributions to Employee Benefit Plans	Expense Account Other All.	Compensation and Directors and Committee Fees	
Dr. Edward C. Stone, Jr. 550 S. Hope St. #2500 Los Angeles, CA 90071	Member/Director	0	0	20,000	(1)
Hon. David A. Thomas 550 S. Hope St. #2500 Los Angeles, CA 90071	Member/Director	0	0	0	
James R. Ukropina 550 S. Hope St. #2500 Los Angeles, CA 90071	President, Member/Director	0	0	95,000	(1),(3)
Allison M. Keller 550 S. Hope St. #2500 Los Angeles, CA 90071	Vice President, Executive Director and Chief Financial Officer	18,000	0	150,000	
Judith A. Lower 550 S. Hope St. #2500 Los Angeles, CA 90071	Secretary	0	0	17,000	(4)
Dr. Maria Pellegrini 550 S. Hope St. #2500 Los Angeles, CA 90071	Executive Director of Programs	43,906	2,880	275,000	

Compensation of Directors & Officers 2012 - Notes

- (1) \$20,000 authorized as payment of compensation for services rendered as Chairman of specified Board Committees.
- (2) \$37,500 authorized as payment of compensation for services rendered as Senior Scientific Advisor.
- (3) \$150,000 authorized as annual compensation for services rendered as President, effective July 1, 2012.
- (4) Compensation of \$17,000 paid to Hanna and Morton, LLP for services as Secretary of the W.M. Keck Foundation in 2012.

Grantee Responsibilities/ Grant Agreement

Potential applicants are advised that by submitting a request they are consenting to comply with the following requirements in the event that a grant is awarded:

- The grantee, as a condition of accepting the funds, affirms that the entire proceeds will be used to implement the charitable purposes of the project for which the grant is made. No overhead, indirect, or investment management fees may be charged to this award. The grantee further affirms that no funds have been or will be paid to either organization or consultants engaged in fundraising or public relations for services rendered in obtaining the grant.
- Should the grantee (a) become aware that the purposes for (and/or implementation of) this grant have become impracticable, (b) wish to terminate the charitable project funded, (c) wish to redirect the purpose of the grant, or (d) make a change in lead personnel, the grantee shall immediately notify the Foundation in writing. In such event, the Foundation may, in its sole discretion, among its other rights and remedies, terminate this grant and require the grantee to immediately repay the Foundation any unspent principal and accrued interest to date of repayment.
- Should the grantee wish to make alterations or additions to the approved budget totaling 10% or more in any budget category, prior approval from the Foundation is required.
- Grant funds shall be placed in a separate interest-bearing account until they are needed for the project for which the grant was made. The accrued interest must also be used only to support that project.
- Written progress reports and a financial accounting on the earnings and expenditure of grant funds must be submitted to the Foundation. Report forms and schedules will be provided by the Foundation. [Click Here for Reporting Requirements.](#)
- The grantee must secure Foundation approval in writing before releasing any public announcements or statements to the media regarding the grant, referencing it in internally produced publications, or making any commitments for permanent recognition of the W. M. Keck Foundation. Foundation staff is happy to assist in developing such material. The Foundation reserves the right to publish the abstract submitted by the grantee. In addition we may include a link to the website of the recipient institution and/or grant project in Foundation publications.
- If a matching grant is awarded, the grantee is required to secure the matching funds necessary to fully implement the project. Upon raising the match, the grantee is asked to provide the Foundation with a detailed accounting of the sources of the matching gifts. Government grants, bonds, the institution's endowment or other existing support do not qualify as a match.
- If a grant for endowment is awarded, the principal must be maintained in perpetuity and earnings will be used solely to support the purpose for which the grant was made.
- For endowed fellowships, chairs and similar awards, the grantee is asked to provide the Foundation with periodic reports on the selection process, the progress of the search and the names and backgrounds of those receiving the awards. However, the Foundation does not wish to influence the selection in any way.
- Grant agreement will be deemed to have been entered into in the County of Los Angeles, State of California; and, California law will apply to the interpretation and enforcement of the grant provisions.
- The Foundation will monitor and assess the impact of prior grants to help determine the Foundation's future program objectives.



Application Process and Overview

The W. M. Keck Foundation employs a two-phase application process. Applicants are urged to follow the process carefully to avoid disqualification. Grants are awarded twice annually, in June and December. Only applications that reflect institutional priorities as certified by the applicant's President/Chief Executive Officer will be considered.

Initial Contact

Applicants are strongly urged to contact Foundation staff well in advance of submitting a Phase I Application. Potential applicants are encouraged to submit their ideas for grants in the form of a single-page concept paper. Foundation staff may require additional preliminary information and may recommend a conference call or office visit with the institution's key administrative and scientific or program personnel. Consultations are scheduled on a first come, first served basis during the pre-application counseling period, which take place between January 1 and February 15 leading up to a May 1 submittal, or between July 1 and August 15 leading up to a November 1 submittal. For more information about deadlines, please see our Grant Cycle Timeline.

Initial contact from a multi-unit organization (such as a college, university or agency branch location) must be coordinated through the institution's central development office. Most colleges and universities have designated an official liaison to the Foundation. Other personnel contacting the Foundation will be referred to the central liaison.

General Rules

Applicants may submit one request per grant cycle to each of the Foundation's program areas for which they are eligible: Medical Research, Science and Engineering Research, Undergraduate Education and Southern California.

At any phase of the application process, an institution may request a withdrawal of its application without prejudice to future requests.

The Foundation will notify applicants if they are invited to submit a proposal. Unsolicited proposals are not accepted at any time.

Please select a Phase below:

Medical Research and Science and Engineering Research Programs

Phase I Application

Phase II Proposal



Phase I Application

Deadlines

For the December grant cycle, applications must be received no later than May 1, 4:30 p.m. (PT). For the June grant cycle, the deadline is no later than November 1, 4:30 p.m. (PT). If either date falls on a weekend, the deadline is extended to 4:30 p.m. (PT) on the following Monday. Deadlines are based on Pacific Time. We appreciate early submissions. Phase I applications received after the deadlines will not be considered.

Notification

Receipt of Phase I Applications will be acknowledged within four weeks. Completed applications are then carefully reviewed and the Foundation will notify those applicants that will be invited to proceed to Phase II. No inquiries regarding applications will be entertained in the interim.

Grant Cycle Timeline

[Click here to see the Grant Cycle Timeline](#)

Requirements

The Foundation will only consider applications that are complete and contain all the requirements listed below along with all the required forms and information listed on the Phase I Application.

All applicants must provide determination letters from the Internal Revenue Service that verify 501(c)(3) tax-exempt status and classification as a public charity described under section 509(a)(1) or 509(a)(2) or 170(b). Organizations located in the State of California must also provide determination letters from the California State Franchise Tax Board verifying 23701(d) tax exempt status.

All applicants must provide a copy of the organization's current full, certified, audited financial statements prepared on an annual basis in conformity with generally accepted accounting principles. An accountant's review or compilation does not suffice. If in existence less than five years, the organization must provide three consecutive full, certified, audited financial statements prepared on an annual basis.

All tax exempt documentation and audited financial statements must be in the same, current and full name of the qualifying organization.

Medical Research/Science and Engineering Research Forms

Phase I Application Form

Fundraising History Form

Budget Form

To request a copy via fax or email, contact us [here](#).

Submission Address

One unbound original of the application must be mailed or delivered to:

W. M. Keck Foundation
550 South Hope Street, Suite 2500
Los Angeles, CA 90071

In addition to sending a hard copy, we would appreciate receiving an electronic copy of the Phase I Application

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Phase I Application

(excluding audits) to MedRsch@wmkeck.org or SciEng@wmkeck.org. Please note, this is not in lieu of the required hard copy.



Phase II Proposal

Deadlines

Unsolicited proposals are not accepted at any time. Proposals received after the deadline will not be considered.

Notification

Receipt of Phase II Proposals will be acknowledged within four weeks. Upon receipt of a complete Phase II proposal, the Foundation will notify the institution if any additional information is required for the review process. After the Board of Directors has made its final grant decisions in a given grant cycle (June or December), all Phase II applicants will be notified in writing. No inquiries regarding the Board's decision will be entertained in the interim.

Requirements

The Foundation will only consider proposals that are complete and contain all the required forms and information listed on the Phase II Instructions.

Medical Research/Science and Engineering Research Forms

Phase II Instructions

Project Overview Form

Budget Form

To request a copy via fax or email, contact us [here](#).

Submission

One unbound original of the proposal must be mailed or delivered to:

W. M. Keck Foundation
550 South Hope Street, Suite 2500
Los Angeles, CA 90071

In addition to sending a hard copy, we would appreciate receiving an electronic copy of the Phase II Proposal to proposals@wmkeck.org. Please note, this is not in lieu of the required hard copy.



Grant Cycle Timeline

For awards to be determined in December:

<i>Pre-application Counseling*</i>	<i>Phase I Application Deadline</i>	<i>Notification of Invitation to Submit Full Proposal</i>	<i>Full Proposal Deadline</i>
January 1 to February 15	May 1	July 15	August 15

For awards to be determined in June:

<i>Pre-application Counseling*</i>	<i>Phase I Application Deadline</i>	<i>Notification of Invitation to Submit Full Proposal</i>	<i>Full Proposal Deadline</i>
July 1 to August 15	November 1	January 15	February 15

* Potential applicants are encouraged to submit their ideas for grants early in the form of a single-page concept paper to allow time to schedule a possible consultation.

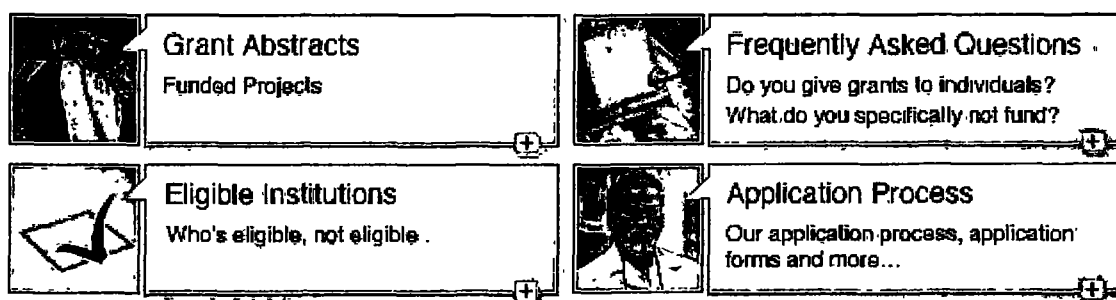
An institution may submit one Phase I application per program per cycle for any program in which they are eligible.

If a full proposal is invited, site visits are conducted at the discretion of the Foundation and are generally scheduled in September and October for the December cycle and March and April for the June cycle.



Science and Engineering Program

The Science and Engineering Research Program seeks to benefit humanity by supporting projects that are distinctive and novel in their approach, question the prevailing paradigm, or have the potential to break open new territory in their field. Past grants have been awarded to major universities and independent research institutions to support pioneering science and engineering research and the development of promising new technologies, and to facilitate the purchase of advanced instruments where such instruments would further specific research ventures. Historically, grants range from \$500,000 to \$5 million and are typically \$2 million or less. In 2013, research grants will continue to be capped at \$1 million.



Program Priorities

Funding is awarded to universities and institutions nationwide for projects in science and engineering research that:

- Focus on important and emerging areas of research
- Have the potential to develop breakthrough technologies, instrumentation or methodologies
- Are innovative, distinctive and interdisciplinary
- Demonstrate a high level of risk due to unconventional approaches, or by challenging the prevailing paradigm
- Have the potential for transformative impact, such as the founding of a new field of research, the enabling of observations not previously possible or the altered perception of a previously intractable problem
- Fall outside the mission of public funding agencies
- Demonstrate that private philanthropy generally, and the W. M. Keck Foundation in particular, is essential to the project's success



Eligible Institutions

Research universities, medical colleges and major private independent scientific and medical research institutes are eligible. Organizations must be exempt from federal taxation as defined by Section 501(c)(3) of the Internal Revenue Code and be designated as a public charity (and not a private foundation) as defined by Section 509(a)(1) or 509(a)(2) or 170(b) of the Internal Revenue Code. If the institution is located in the State of California, the organization must also be exempt from California State Franchise or Income Tax under Section 23701(d) of the Revenue and Taxation Code.

Organizations must be able to provide current full, certified, audited financial statements prepared on an annual basis in conformity with generally accepted accounting principles. If in existence less than five years, the organization must be able to provide at least three consecutive full, certified, audited financial statements prepared on an annual basis.

All tax-exempt documentation and audited financial statements must be in the same, current and full name of the qualifying organization.

The management and fiscal condition of an institution is taken into account when reviewing an institution for eligibility.

If a collaborative project is being considered, the lead institution must be identified and all members must meet the Foundation's eligibility requirements.

Not Eligible for Funding

- General operating expenses, endowments or deficit reduction
- General and federated campaigns, including fundraising events, dinners or mass mailings
- Grants to individuals
- Conference or seminar sponsorship
- Book publication and film or theater productions
- Public policy research
- Institutions that are located outside the United States
- Institutions that do not have a tax-exempt ruling from the federal government and (if located in California) the State of California
- Institutions that do not have at least three consecutive full, certified, audited financial statements
- "Conduit" organizations, unified funds or organizations that use grant funds from donors to support other organizations or individuals
- Institutions that are subsidiaries or affiliates of larger entities that do not have a separate board of directors and independent audited financial statements



Medical Research Program

The Medical Research Program seeks to advance the frontiers of the life sciences by supporting basic research that is high-risk and has the potential to transform its field. Successful projects are distinctive and novel in their approach to problems, push the edge of their field or question the prevailing paradigm. Past grants have been awarded to major research universities, medical schools and independent research institutions to support pioneering biological research, including the development of promising new technologies, instrumentation or methodologies. Historically, grants range from \$500,000 to \$5 million and are typically \$2 million or less. In 2013, research grants will continue to be capped at \$1 million.



Program Priorities

Funding is awarded to universities and institutions nationwide for projects in biomedical research that:

- Focus on important and emerging areas of research
- Have the potential to develop breakthrough technologies, instrumentation or methodologies
- Are innovative, distinctive and interdisciplinary
- Demonstrate a high level of risk due to unconventional approaches, or by challenging the prevailing paradigm
- Have the potential for transformative impact, such as the founding of a new field of research, the enabling of observations not previously possible, or the altered perception of a previously intractable problem
- Fall outside the mission of public funding agencies
- Demonstrate that private philanthropy generally, and the W. M. Keck Foundation in particular, is essential to the project's success



Eligible Institutions

Research universities, medical colleges and major private independent scientific and medical research institutes are eligible. Organizations must be exempt from federal taxation as defined by Section 501(c)(3) of the Internal Revenue Code and be designated as a public charity (and not a private foundation) as defined by Section 509(a)(1) or 509(a)(2) or 170(b) of the Internal Revenue Code. If the institution is located in the State of California, the organization must also be exempt from California State Franchise or Income Tax under Section 23701(d) of the Revenue and Taxation Code.

Organizations must be able to provide current full, certified, audited financial statements prepared on an annual basis in conformity with generally accepted accounting principles. If in existence less than five years, the organization must be able to provide at least three consecutive full, certified, audited financial statements prepared on an annual basis.

All tax-exempt documentation and audited financial statements must be in the same, current and full name of the qualifying organization.

The management and fiscal condition of an institution is taken into account when reviewing an institution for eligibility.

If a collaborative project is being considered, the lead institution must be identified and all members must meet the Foundation's eligibility requirements.

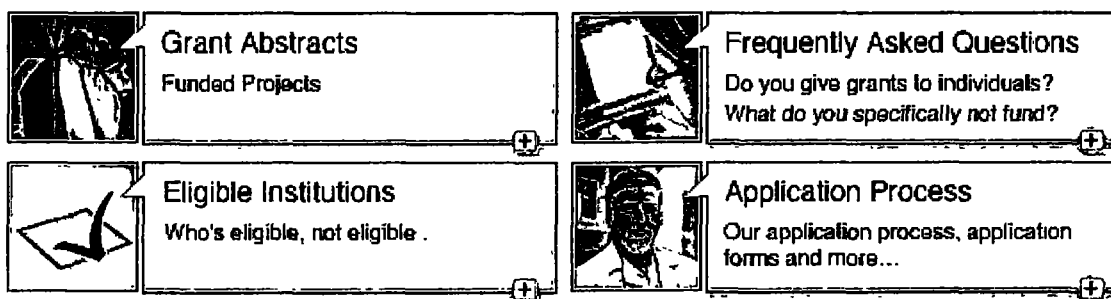
Not Eligible for Funding

- General operating expenses, endowments or deficit reduction
- General and federated campaigns, including fundraising events, dinners or mass mailings
- Grants to individuals
- Conference or seminar sponsorship
- Book publication and film or theater productions
- Public policy research
- Institutions that are located outside the United States
- Institutions that do not have a tax-exempt ruling from the federal government and (if located in California) the State of California
- Institutions that do not have at least three consecutive full, certified, audited financial statements
- "Conduit" organizations, unified funds or organizations that use grant funds from donors to support other organizations or individuals
- Institutions that are subsidiaries or affiliates of larger entities that do not have a separate board of directors and independent audited financial statements



Undergraduate Education Program

The Foundation's Undergraduate Education Program promotes distinctive learning and research experiences in science, engineering and the liberal arts at four year undergraduate colleges only in Foundation designated states, or through national organizations that address undergraduate needs. Public institutions and research universities located in the designated states may apply but must demonstrate a compelling or unique resource to be competitive. Historically, grants range from \$200,000 to \$1 million, and are typically under \$500,000. On a case by case basis, the Foundation may consider the award of smaller seed grants for planning and piloting. In 2013, undergraduate education grants will continue to be capped at \$250,000.



Program Priorities

- Foster new levels of student engagement and understanding, especially through active learning and collaborative curriculum development
- Expand interdisciplinary activities in balance with needs of each discipline
- Incorporate research activities into the curriculum and raise the bar of expectations regarding publications and presentations by undergraduates
- Enhance science and technology literacy for students in all disciplines
- Develop new ways to stimulate critical thinking and other core competencies of a liberal arts education



Eligible Institutions

Colleges and universities, especially private, predominantly undergraduate institutions located in Foundation designated states are eligible. Additionally, organizations that address undergraduate needs at the national level may be considered. Organizations must be exempt from federal taxation as defined by Section 501(c)(3) of the Internal Revenue Code and be designated as a public charity (and not a private foundation) as defined by Section 509(a)(1) or 509(a)(2) or 170(b) of the Internal Revenue Code. If the institution is located in the State of California, the organization must also be exempt from California State Franchise or Income Tax under Section 23701(d) of the Revenue and Taxation Code.

Organizations must be able to provide current full, certified, audited financial statements prepared on an annual basis in conformity with generally accepted accounting principles. If in existence less than five years, the organization must be able to provide at least three consecutive full, certified, audited financial statements prepared on an annual basis.

All tax-exempt documentation and audited financial statements must be in the same, current and full name of the qualifying organization.

The management and fiscal condition of an institution is taken into account when reviewing an institution for eligibility.

If a collaborative project is being considered, the lead institution must be identified and all members must meet the Foundation's eligibility requirements.

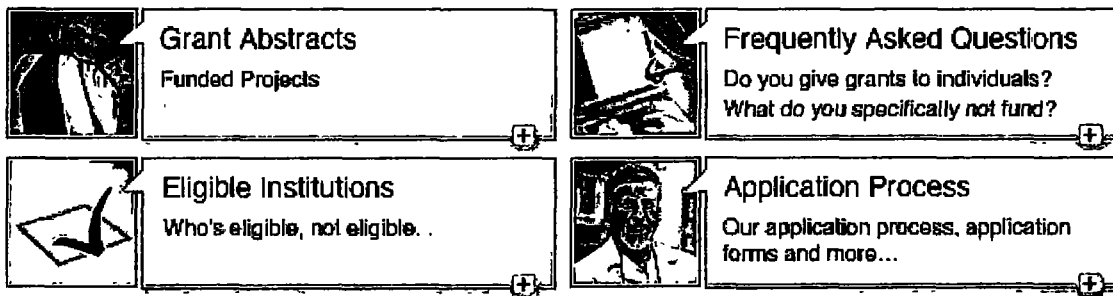
Not Eligible for Funding

- General operating expenses, endowments or deficit reduction
- General and federated campaigns, including fundraising events, dinners or mass mailings
- Grants to individuals
- Conference or seminar sponsorship
- Book publication and film or theater productions
- Public policy research
- Located outside the United States
- Do not have a tax-exempt ruling from the federal government and (if located in California) the State of California
- Do not have at least three consecutive full, certified, audited financial statements
- "Conduit" organizations, unified funds or organizations that use grant funds from donors to support other organizations or individuals
- Subsidiaries or affiliates of larger entities that do not have a separate board of directors and independent audited financial statements
- Requests over \$1 million



Southern California Program

The Southern California Program seeks to promote the education and healthy development of children and youth, strengthen families and enhance the lives of people in the greater Los Angeles area through its support of organizations that provide arts and cultural enrichment, civic and community services, early childhood and pre-collegiate education, and health care. Projects that address compelling issues and have the potential to have a significant impact on the target population, organization, region and/or field are encouraged. A special emphasis is placed on projects that focus on children and youth from low-income families, special needs populations and safety-net services. Collaborative initiatives as well as projects arising from the vision of one organization's strong leadership are supported. Nonprofit organizations, including colleges and universities, pursuing relevant projects in Los Angeles County are eligible to apply. Historically, grants range from \$100,000 to \$1 million, and typically are under \$500,000.



Program Priorities

Preference is given to exemplary institutions and organizations with a history of achievement and effective management, and whose financial condition is strong, as demonstrated by their current audited financial statements prepared in conformity with generally accepted accounting principles. Projects must address significant issues or problems in the areas of arts and culture, civic and community service, early childhood, health care, or pre-collegiate education. Only projects serving communities in Los Angeles County will be considered.

In addition, projects must meet one or more of the following criteria:

- Are innovative and distinctive
- Have the potential to create a significant and long-lasting impact for the target population, organization, region and/or field
- Focus on children who are from low-income families
- Benefit special needs populations, including those with developmental disabilities or delays, those in or transitioning from the foster care system and homeless families
- Have strong institutional support, excellent leadership and can demonstrate thorough planning
- Demonstrate that other funding sources are utilized to the fullest extent possible and that private philanthropy generally, and the W. M. Keck Foundation in particular, is essential to the project's success



Eligible Institutions

Organizations must be headquartered in Southern California. On a case by case basis, national or statewide organizations with an independent affiliate or local chapter or local office in Southern California will be considered. The project must benefit communities within Los Angeles County.

Organizations must be exempt from federal taxation as defined by Section 501(c) (3) of the Internal Revenue Code and be designated as a public charity (and not a private foundation) as defined by Section 509(a)(1) or 509(a)(2) or 170(b) of the Internal Revenue Code. If the institution is located in the State of California, the organization must also be exempt from California State Franchise or Income Tax under Section 23701(d) of the Revenue and Taxation Code.

Organizations must be able to provide current full, certified, audited financial statements prepared on an annual basis in conformity with generally accepted accounting principles. If in existence less than five years, the organization must be able to provide at least two consecutive full, certified, audited financial statements prepared on an annual basis. In addition, organizations must be able to submit an IRS Form 990.

All tax-exempt documentation, audited financial statements and the Form 990 must be in the same, current and full name of the qualifying organization.

The management and fiscal condition of an institution is taken into account when reviewing an institution for eligibility.

If a collaborative project is being considered, the lead institution must be identified and must meet the Foundation's eligibility requirements. On a case by case basis, other members of the collaborative may be requested to submit additional documentation.

Not Eligible for Funding

- General operating expenses, endowments or deficit reduction
- General and federated campaigns, including fundraising events, dinners or mass mailings
- "Conduit" organizations, unified funds, or organizations using grant funds from donors to support other organizations or individuals
- Grants to individuals
- Conference or seminar sponsorship
- Book publication and film or theater productions
- Public policy research
- Projects to be undertaken outside Los Angeles County
- Requests over \$1 million



**W. M. Keck Foundation
Schedule of Grants Paid in 2012**

Program/Organization Name	Tax Status	Date	Amount
<u>Medical Research</u>			
Broad Institute Inc. Seven Cambridge Center Cambridge, MA 02142	501(c)(3) 509(a)(1)	6/28/2012	\$1,000,000
Dana-Farber Cancer Institute 44 Binney Street Boston, MA 02115	501(c)(3) 509(a)(1)	6/28/2012	\$200,000
Fred Hutchinson Cancer Research Center 1100 Fairview Avenue North P.O. Box 19024 Seattle, WA 98109	501(c)(3) 509(a)(1)	6/28/2012	\$122,437
J. David Gladstone Institutes Post Office Box 419100 San Francisco, CA 94141-9100	501(c)(3) 509(a)(1) 23701(d)	6/28/2012	\$1,000,000
Massachusetts Institute of Technology Building 68, Room 583 77 Massachusetts Avenue Cambridge, MA 02139-4307	501(c)(3) 509(a)(1)	6/28/2012	\$200,000
Memorial Sloan-Kettering Cancer Center 1275 York Avenue New York, NY 10021	501(c)(3) 509(a)(1)	12/21/2012	\$500,000
Northwestern University 633 Clark Street Evanston, IL 60208-1100	501(c)(3) 509(a)(1)	6/28/2012	\$250,000
Oregon Health & Science University 3181 S.W. Sam Jackson Park Road Mail Code L101 Portland, OR 97201-3098	501(c)(3) 509(a)(1)	6/28/2012	\$1,000,000
Princeton University Princeton, NJ 08544	501(c)(3) 509(a)(1)	6/28/2012	\$197,002
Stanford University Stanford, CA 94305	501(c)(3) 509(a)(1) 23701(d)	6/28/2012	\$479,945
University of California, Berkeley 200 California Hall #1500 Berkeley, CA 94720	501(c)(3) 509(a)(1) 23701(d)	various	\$1,204,954
University of California, San Diego 9500 Gilman Drive La Jolla, CA 92093-0653	501(c)(3) 509(a)(1) 23701(d)	6/28/2012	\$1,000,000
University of California, San Francisco San Francisco, CA 94143	501(c)(3) 509(a)(1) 23701(d)	6/28/2012	\$200,000

University of Michigan Ann Arbor, MI 48109-1340	501(c)(3) 509(a)(1)	12/21/2012	\$500,000
University of North Carolina at Chapel Hill Chapel Hill, NC 27599-3290	501(c)(3) 509(a)(1)	12/21/2012	\$1,000,000
University of Southern California Office of the Provost Bovard Administration Building 102 Los Angeles, CA 90089-4019	501(c)(3) 509(a)(2) 23701(d)	12/18/2012	\$7,500,000
University of Wisconsin, Madison Madison, WI 53706	501(c)(3) 509(a)(1)	6/28/2012	\$1,000,000
Whitehead Institute for Biomedical Research Nine Cambridge Center Cambridge, MA 02142-1479	501(c)(3) 509(a)(1)	6/28/2012	\$200,000

Science and Engineering

California Association for Research in Astronomy 65-1120 Mamalahoa Highway Kamuela, HI 96743	501(c)(3) 509(a)(1) 23701(d)	6/28/2012	\$1,500,000
California Institute of Technology 1201 East California Boulevard Pasadena, CA 91125-0001	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$3,000,000
National Academy of Engineering Fund Washington, DC 20418	501(c)(3) 509(a)(1)	12/21/2012	\$500,000
National Academy of Sciences 500 Fifth Street, NW Washington, DC 20001	501(c)(3) 509(a)(1)	12/21/2012	\$3,132,702
Northwestern University 633 Clark Street Evanston, IL 60208-1100	501(c)(3) 509(a)(1)	6/28/2012	\$1,000,000
Purdue University 1031 Hovde Hall, Room 200 West Lafayette, IN 47907-1031	501(c)(3) 509(a)(1)	12/21/2012	\$1,000,000
University of California, Berkeley 200 California Hall #1500 Berkeley, CA 94720	501(c)(3) 509(a)(1) 23701(d)	6/28/2012	\$1,000,000
University of California, Irvine Irvine, CA 92697-1900	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$1,000,000
University of California, Los Angeles 405 Hilgard Avenue Box 951361 Los Angeles, CA 90095-1361	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$1,000,000

University of Houston 212 East Cullen Building Houston, TX 77204-2018	501(c)(3) 509(a)(2)	6/28/2012	\$1,000,000
University of Illinois at Urbana-Champaign Swanlund Administration Building 601 East John Street Champaign, IL 61820	501(c)(3) 509(a)(1)	12/21/2012	\$1,000,000
University of Massachusetts at Amherst Amherst, MA 01003	501(c)(3) 509(a)(1)	12/21/2012	\$1,000,000
University of Utah 201 Presidents Circle, Room 205 Salt Lake City, UT 84112	501(c)(3) 509(a)(1)	6/28/2012	\$1,000,000

Southern California

Advancement Project 1910 Sunset Boulevard, Suite 500 Los Angeles, CA 90026	501(c)(3) 509(a)(1) 23701(d)	6/28/2012	\$250,000
Alliance for College Ready Public Schools 601 S. Figueroa St., 4th Floor Los Angeles, CA 90017	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$250,000
Chrysalis Center 1853 Lincoln Boulevard Santa Monica, CA 90404	501(c)(3) 509(a)(1) 23701(d)	6/28/2012	\$200,000
College Bound - Dollars for Achievers 17316 Edwards Road, Suite 180 Cerritos, CA 90703	501(c)(3) 509(a)(1) 23701(d)	6/28/2012	\$150,000
CollegeSpring 145 Natoma Street Third Floor San Francisco, CA 94105	501(c)(3) 509(a)(1) 23701(d)	1/31/2012	\$100,000
Direct Relief International 27 South La Patera Lane Santa Barbara, CA 93117	501(c)(3) 509(a)(2) 23701(d)	12/21/2012	\$100,000
Enterprise Community Partners 600 Wilshire Boulevard, Suite 600 Los Angeles, CA 90017	501(c)(3) 509(a)(1) 23701(d)	6/28/2012	\$200,000
First Place for Youth 426 17th Street Oakland, CA 94216	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$200,000
Harmony Project 817 Vine Street, Suite 212 Los Angeles, CA 90038	501(c)(3) 509(a)(1) 23701(d)	various	\$100,000

Inner City Law Center 1309 East Seventh Street Los Angeles, CA 90021	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$150,000
LAMP Community 526 San Pedro Street Los Angeles, CA 90013	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$150,000
Level Playing Field Institute 2201 Broadway, Suite 101 Oakland, CA 94612	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$100,000
Los Angeles Education Partnership 1055 West Seventh Street, Suite 200 Los Angeles, CA 90017	501(c)(3) 509(a)(1) 23701(d)	6/28/2012	\$125,000
Natural History Museum of Los Angeles County 900 Exposition Boulevard Los Angeles, CA 90007	501(c)(3) 509(a)(2) 23701(d)	12/21/2012	\$200,000
New Teacher Center Los Angeles, CA	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$200,000
P. S. ARTS 1728 Abbot Kinney Boulevard, Suite 101 Venice, CA 90291	501(c)(3) 509(a)(1) 23701(d)	6/28/2012	\$250,000
Public Counsel Law Center 610 South Ardmore Avenue Los Angeles, CA 90005	501(c)(3) 509(a)(1) 23701(d)	6/28/2012	\$250,000
Pueblo Nuevo Development 3435 West Temple Street Los Angeles, CA 90026	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$200,000
Southern California Public Radio 474 South Raymond Avenue Pasadena, CA 91105	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$500,000
Spark Los Angeles 315 West 9th Street, Suite 1009 Los Angeles, CA 90015	501(c)(3) 509(a)(1) 23701(d)	6/28/2012	\$150,000
St. John's Well Child & Family Center 808 West 58th Street Los Angeles, CA 90037	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$125,000
UMMA Community Clinic 5849 Crocker Street, Unit K Los Angeles, CA 90003	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$250,000
University of California System 1111 Franklin Street Oakland, CA 94607-5200	501(c)(3) 509(a)(1) 23701(d)	6/28/2012	\$100,000
Venice Arts 610 California Avenue Venice, CA 90291	501(c)(3) 509(a)(1) 23701(d)	6/28/2012	\$75,000

Undergraduate Education

California State University, Dominguez Hills 1000 East Victoria Street Carson, CA 90747	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$200,000
California State University, East Bay 25800 Carlos Bee Boulevard Hayward, CA 94542-3001	501(c)(3) 509(a)(2) 23701(d)	6/28/2012	\$250,000
California State University, Stanislaus 801 West Monte Vista Avenue Turlock, CA 95382-0299	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$250,000
Loyola Marymount University One LMU Drive Los Angeles, CA 90045	501(c)(3) 509(a)(1) 23701(d)	6/28/2012	\$250,000
Reed College 3203 Southeast Woodstock Boulevard Portland, OR 97202-8199	501(c)(3) 509(a)(1)	12/21/2012	\$150,000
St. Edward's University 3001 South Congress Avenue Austin, TX 78704-6489	501(c)(3) 509(a)(1)	12/21/2012	\$200,000
St. Mary's University One Camino Santa Maria San Antonio, TX 78228	501(c)(3) 509(a)(1)	6/28/2012	\$250,000
Trinity University One Trinity Place San Antonio, TX 78212-7200	501(c)(3) 509(a)(1)	6/28/2012	\$250,000
University of San Francisco 2130 Fulton Street San Francisco, CA 94117-1080	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$200,000
University of Utah 201 Presidents Circle, Room 205 Salt Lake City, UT 84112	501(c)(3) 509(a)(1)	12/21/2012	\$200,000

Other Grants

1736 Family Crisis Center 2116 Arlington Avenue, Suite 200 Los Angeles, CA 90018	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$30,000
A Noise Within 234 South Brand Boulevard Glendale, CA 91204	501(c)(3) 509(a)(2) 23701(d)	12/21/2012	\$500
AAUW - CA Special Projects Fund AAUW - California 1414 K Street, Suite 220 Sacramento, CA 95814	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$4,000

Achievable Foundation Agency 5901 Green Valley Circle, Suite 320 Culver City, CA 90230-6949	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$20,000
AIDS Project Los Angeles The David Geffen Center 611 South Kinglsey Drive Los Angeles, CA 90005	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$100
American Academy of Arts and Sciences 136 Irving Street Cambridge, MA 02138	501(c)(3) 509(a)(1)	12/21/2012	\$10,000
American Cancer Society Oakland, CA	501(c)(3) 509(a)(1) 23701(d)	10/1/2012	\$650
American Guild of English Handbell Ringers Inc. Area XII 1228 Isabelle Avenue Mountain View, CA 94040	501(c)(3) 509(a)(2) 23701(d)	10/1/2012	\$500
Associates of the University of Toronto 58 West 84 Street, #2F New York, NY 10024	501(c)(3) 509(a)(1)	10/1/2012	\$30,000
Bonnie J. Addario Lung Cancer Foundation 1100 Industrial Road, Suite 1 San Carlos, CA 94070	501(c)(3) 509(a)(1) 23701(d)	10/1/2012	\$10,000
Boy Scouts of America, Nevada Area Council Reno, NV	501(c)(3) 509(a)(1)	12/21/2012	\$35,000
Boys & Girls Clubs of Central Oregon 500 Northwest Wall Street Bend, OR 97701	501(c)(3) 509(a)(1)	6/28/2012	\$5,000
California Association for Research in Astronomy 65-1120 Mamalahoa Highway Kamuela, HI 96743	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$2,250
California Community Foundation 221 South Figueroa Street, Suite 400 Los Angeles, CA 90012	501(c)(3) 509(a)(1) 23701(d)	various	\$10,000
California Institute of Technology 1201 East California Boulevard Pasadena, CA 91125-0001	501(c)(3) 509(a)(1) 23701(d)	10/1/2012	\$85,000
Cambridge in America P.O. Box 9123 JAF Building New York, NY 10087	501(c)(3) 509(a)(1)	12/21/2012	\$5,000
CASA of Los Angeles Edmund D. Edelman Children's Court 201 Centre Plaza Drive, Suite 1100 Monterey Park, CA 91754-2141	501(c)(3) 509(a)(1) 23701(d)	various	\$2550

Central Asia Institute P.O. Box 7209 Bozeman, MT 59771	501(c)(3) 509(a)(1)	12/21/2012	\$2,500
Chandler School 1005 Armada Drive Pasadena, CA 91103	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$30,000
Children's Hospital Los Angeles Post Office Box 54700 Los Angeles, CA 90054-0700	501(c)(3) 509(a)(1) 23701(d)	various	\$5,850
Children's Hospital of Orange County 1201 West La Veta Ave. Orange, CA 92868	501(c)(3) 509(a)(1) 23701(d)	10/1/2012	\$5,000
Claremont McKenna College Bauer Center 500 East Ninth Street Claremont, CA 91711-6400	501(c)(3) 509(a)(1) 23701(d)	various	\$55,000
Conquer Cancer Foundation 2318 Mill Road, Suite 800 Alexandria, VA 22314	501(c)(3) 509(a)(1)	12/21/2012	\$5,000
Council on Foreign Relations 58 East 68th Street New York, NY 10021	501(c)(3) 509(a)(1)	6/28/2012	\$10,000
Dillon International, Inc. 3227 East 31st Street, Suite 200 Tulsa, OK 74105	501(c)(3) 509(a)(1)	10/1/2012	\$500
Direct Relief International 27 South La Patera Lane Santa Barbara, CA 93117	501(c)(3) 509(a)(2) 23701(d)	12/21/2012	\$20,000
Doheny Eye Institute 1450 San Pablo Street DEI 3050 Los Angeles, CA 90033-4697	501(c)(3) 509(a)(1) 23701(d)	various	\$40,000
DonorsChoose.org 213 West 35th Street 2nd Floor East New York, NY 10001	501(c)(3) 509(a)(1)	10/1/2012	\$100
Dunn School Post Office Box 98 Los Olivos, CA 93441	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$475,000

Foreign Affairs Museum Council U.S. Diplomacy Center U.S. Department of State Attn: John Caliste PA/USDC SA-29-Room 535 2100 Pennsylvania Avenue, N.W. Washington, DC 20037	501(c)(3) 509(a)(1)	12/21/2012	\$60,000
Friends of the Family 15350 Sherman Way, Suite 140 Van Nuys, CA 91406	501(c)(3) 509(a)(2) 23701(d)	various	\$300
Fulfillment Fund 6100 Wilshire Boulevard, Suite 600 Los Angeles, CA 90048	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$500
Goliad ISD Education Foundation PO Box 830 Goliad, TX 77963	501(c)(3) 509(a)(1)	10/1/2012	\$5,000
Gonzaga University 502 East Boone Avenue Spokane, WA 99258-0102	501(c)(3) 509(a)(1)	12/21/2012	\$30,000
Greater Houston Community Foundation 5120 Woodway Drive, Suite 6000 Houston, TX 77056	501(c)(3) 509(a)(1)	10/1/2012	\$18,000
Griffith Observatory 2800 East Observatory Road Los Angeles, CA 90027-0310	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$500
Habitat for Humanity of Southern Santa Barbara County P.O. Box 176 Goleta, CA 93117	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$10,000
Harvard University Massachusetts Hall Cambridge, MA 02138	501(c)(3) 509(a)(1)	12/21/2012	\$10,000
Harvard-Westlake School 3700 Coldwater Canyon North Hollywood, CA 91604	501(c)(3) 509(a)(1) 23701(d)	various	\$17,500
Hayden Enterprises Giving Fund 2464 SW Glacier Place, Suite 110 Redmond, OR 97756	501(c)(3) 509(a)(1)	6/28/2012	\$10,000
Heifer International 1015 Louisiana Little Rock, AR 72202	501(c)(3) 509(a)(1)	12/21/2012	\$350
Henry E. Huntington Library 1151 Oxford Road San Marino, CA 91108	501(c)(3) 509(a)(1) 23701(d)	various	\$42,500
Homeboy Industries 130 West Bruno Street Los Angeles, CA 90012	501(c)(3) 509(a)(1) 23701(d)	6/28/2012	\$100

John Tracy Clinic 806 West Adams Boulevard Los Angeles, CA 90007-2599	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$5,000
Johns Hopkins University Baltimore, MD 21218	501(c)(3) 509(a)(1)	6/28/2012	\$40,000
KCET-Community Television of Southern California 2900 West Alameda Avenue, Suite 600 Burbank, CA 91505	501(c)(3) 509(a)(1) 23701(d)	various	\$150
KCRW 1900 Pico Boulevard Santa Monica, CA 90405	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$200
Keck Graduate Institute of Applied Life Sciences 535 Watson Drive Claremont, CA 91711	501(c)(3) 509(a)(1) 23701(d)	various	\$60,500
Kidspace Museum 480 North Arroyo Boulevard Pasadena, CA 91103	501(c)(3) 509(a)(1) 23701(d)	6/28/2012	\$6,000
Lenox Hill Hospital 100 East 77th Street New York, NY 10021	501(c)(3) 509(a)(1)	12/21/2012	\$7,500
Linfield College 900 Southeast Baker Street McMinnville, OR 97128	501(c)(3) 509(a)(1)	6/28/2012	\$20,000
Long Beach Education Foundation 1515 Hughes Way Long Beach, CA 90810	501(c)(3) 509(a)(1) 23701(d)	various	\$150
Los Angeles Conservancy 523 West Sixth Street, Suite 826 Los Angeles, CA 90014	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$40
Los Angeles County Museum of Art 5905 Wilshire Boulevard Los Angeles, CA 90036	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$1,005,090
Los Angeles Music and Art School 3630 East Third Street Los Angeles, CA 90063	501(c)(3) 509(a)(1) 23701(d)	3/30/2012	\$1,000
Los Angeles Opera Company 135 North Grand Avenue Los Angeles, CA 90012	501(c)(3) 509(a)(1) 23701(d)	various	\$302,000
Loyola High School 1901 Venice Boulevard Los Angeles, CA 90006	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$30,000
Loyola Marymount University One LMU Drive Los Angeles, CA 90045	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$5,000

Marylhurst University Marylhurst, OR 97036	501(c)(3) 509(a)(1)	12/21/2012	\$30,000
Massachusetts Institute of Technology Building 68, Room 583 77 Massachusetts Avenue Cambridge, MA 02139-4307	501(c)(3) 509(a)(1)	12/21/2012	\$20,000
Memorial Sloan-Kettering Cancer Center 1275 York Avenue New York, NY 10021	501(c)(3) 509(a)(1)	6/28/2012	\$12,500
Mount Wilson Institute Post Office Box 1909 Atlanta, GA 30301-1909	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$3,000
National Academy of Engineering Fund Washington, DC 20418	501(c)(3) 509(a)(1)	12/21/2012	\$20,000
National Academy of Sciences 500 Fifth Street, NW Washington, DC 20001	501(c)(3) 509(a)(1)	6/28/2012	\$41,000
Navy Seal Foundation 1619 D Street, Building 5326 Virginia Beach, CA 23459	501(c)(3) 509(a)(1)	12/21/2012	\$300
NeighborImpact 2303 SW First Street Redmond, OR 97756	501(c)(3) 509(a)(1)	6/28/2012	\$5,000
Now I Lay Me Down to Sleep 2305 East Arapahoe Road, Suite 220 Centennial, CO 80122	501(c)(3) 509(a)(1)	10/1/2012	\$100
Occidental College 1600 Campus Road Los Angeles, CA 90041	501(c)(3) 509(a)(1) 23701(d)	6/28/2012	\$20,000
Pasadena Humane Society & SPCA 361 South Raymond Avenue Pasadena, CA 91105	501(c)(3) 509(a)(2) 23701(d)	12/21/2012	\$75
Pasadena Symphony 117 East Colorado Boulevard, Suite 200 Pasadena, CA 91105	501(c)(3) 509(a)(2) 23701(d)	12/21/2012	\$2,000
Pasadena Waldorf School 209 East Mariposa Street Altadena, CA 91001-5133	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$10,000
Peterson Institute for International Economics 1750 Massachusetts Avenue, NW Washington, DC 20036-1903	501(c)(3) 509(a)(1)	12/21/2012	\$167,500
Planetary Society 85 South Grand Pasadena, CA 91105-1602	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$1,000

Planned Parenthood 400 West 30th Street Los Angeles, CA 90007	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$50
Pomona College 550 North College Avenue Claremont, CA 91711	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$150
Project Angel Food 922 Vine Street Los Angeles, CA 90038-2702	501(c)(3) 509(a)(1) 23701(d)	6/28/2012	\$100
Public Counsel Law Center 610 South Ardmore Avenue Los Angeles, CA 90005	501(c)(3) 509(a)(1) 23701(d)	6/28/2012	\$50
Saint John's Health Center 1328 Twenty Second Street Santa Monica, CA 90404-2032	501(c)(3) 509(a)(1) 23701(d)	6/28/2012	\$10,000
Saint Monica's Catholic Church F/B/O Saint Monica's Catholic School 725 California Avenue Santa Monica, CA 90403	501(c)(3) 509(a)(1) 23701(d)	3/30/2012	\$60,000
Salvation Army, Southern California Division Matching Gifts 180 East Ocean Boulevard, Suite 500 Long Beach, CA 90802	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$10,000
Sierra Nevada College 999 Tahoe Boulevard Incline Village, NV 89451	501(c)(3) 509(a)(1)	12/21/2012	\$20,000
Skirball Cultural Center 2701 North Sepulveda Boulevard Los Angeles, CA 90049-6833	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$500
South Texas Public Broadcasting System 4455 South Padre Island Drive, #38 Corpus Christi, TX 78411	501(c)(3) 509(a)(1)	various	\$4,000
Southeastern Community College Foundation 1500 West Agency Road PO Box 180 West Burlington, IA 52655-0180	501(c)(3) 509(a)(1)	12/21/2012	\$45,000
Southern California Foster Family & Adoption Agency 155 North Occidental Boulevard Los Angeles, CA 90026	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$500
Southern California Institute of Architecture 5454 Beethoven Street Los Angeles, CA 90066	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$20,000
Southern California Public Radio 474 South Raymond Avenue Pasadena, CA 91105	501(c)(3) 509(a)(1) 23701(d)	various	\$25,125

spcaLA 5026 West Jefferson Boulevard Los Angeles, CA 90016	501(c)(3) 509(a)(2) 23701(d)	various	\$85
St. George's Academy 23802 Avenida de la Carlota Laguna Hills, CA 92653	501(c)(3) 509(a)(1) 23701(d)	6/28/2012	\$10,000
St. Jude Children's Research Hospital Workplace Giving 501 St. Jude Place Memphis, TN 38105	501(c)(3) 509(a)(1)	various	\$250
St. Vincent Meals on Wheels 2200 West Third Street, Suite 200 Los Angeles, CA 90057	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$4,500
Stanford University Stanford, CA 94305	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$31,000
UCLA Foundation 330 Charles E. Young Drive Los Angeles, CA 90095	501(c)(3) 509(a)(1) 23701(d)	10/1/2012	\$150,000
Union Rescue Mission 545 South San Pedro Street Los Angeles, CA 90013-9983	501(c)(3) 509(a)(1) 23701(d)	6/28/2012	\$50
Union Station Homeless Services 825 East Orange Grove Boulevard Pasadena, CA 91104	501(c)(3) 509(a)(1) 23701(d)	6/28/2012	\$50
United States Fund for UNICEF 10351 Santa Monica Boulevard, Suite 420 Los Angeles, CA 90025	501(c)(3) 509(a)(1)	12/21/2012	\$5,000
United Way of Greater Los Angeles 1150 South Olive Street, Suite T500 Los Angeles, CA 90015	501(c)(3) 509(a)(1) 23701(d)	12/21/2012	\$5,000
University of Chicago Chicago, IL 60637	509(a)(1) 501(c)(3)	12/21/2012	\$5,000
University of Michigan Ann Arbor, MI 48109-1340	501(c)(3) 509(a)(1)	3/30/2012	\$100,000
University of Southern California Office of the Provost Bovard Administration Building 102 Los Angeles, CA 90089-4019	501(c)(3) 509(a)(2) 23701(d)	various	\$40,390
University of Utah 201 Presidents Circle, Room 205 Salt Lake City, UT 84112	501(c)(3) 509(a)(1)	10/1/2012	\$10,000
Ventura County Community Foundation 1317 Del Norte Road Camarillo, CA 93010	501(c)(3) 509(a)(1) 23701(d)	10/1/2012	\$25,000

Wallis Annenberg Center for Performing Arts
9911 West Pico Boulevard, Suite 680
Los Angeles, CA 90035

501(c)(3)
509(a)(1)
23701(d)

12/21/2012

\$100,000

Western Folklife Center
501 Railroad Street
Elko, NV 89501

501(c)(3)
509(a)(1)

12/21/2012

\$5,000

Women's Cancer Research Foundation
351 Hospital Road, Suite 506
Newport Beach, CA 92663

501(c)(3)
509(a)(2)
23701(d)

3/30/2012

\$10,000

Wooden Floor
1810 North Main Street
Santa Ana, CA 92708

501(c)(3)
509(a)(1)
23701(d)

10/1/2012

\$500

Yale University
Box 2038
New Haven, CT 06521-2038

501(c)(3)
509(a)(1)

6/28/2012

\$60,000

Yorba Linda High School Theater Boosters
c/o Yorba Linda High School
19900 Bastanchury Road
Yorba Linda, CA 92886

501(c)(3)
509(a)(1)
23701(d)

various

\$1,400

Grand Totals

\$44,940,095

W. M. Keck Foundation

Schedule of Conditional Grants Approved in 2012 for Future Payment

Payee Organization Project Title, Total Grant Amount	Request ID Ref. No.	Due Date	Payment Amount
City College of the City University of New York New York NY 10031 <i>Dr. Dorothy Fleisher Employee Matching</i> \$75.00	986858 EM1200293	5/13/2013	\$75
East Valley Community Health Center, Inc. West Covina CA 91790 <i>To expand access to primary health care by renovating a larger facility to house the Pomona clinic.</i> \$250,000.00	985545 120002225	6/6/2013	\$250,000
Level Playing Field Institute Oakland CA 94612 <i>To expand the Summer Math and Science Honors Academy for low-income underrepresented high school students at two local university campuses.</i> \$200,000.00	986339 120002250	6/12/2014	\$100,000
Presidio La Bahia Houston TX 77027 <i>John E. Kolb, Directors' Designated Gift Program</i> \$14,000.00	986535 DG1200490	3/13/2013	\$14,000
Southern California Public Radio Pasadena CA 91105 <i>To support the digital component of an initiative to expand local news coverage.</i> \$1,000,000.00	985892 120002257	12/12/2013	\$500,000
St. John's Well Child & Family Center Los Angeles CA 90037 <i>To support a multi-agency collaboration to improve developmental outcomes for children age 0-5 in South Los Angeles at high risk of entering the foster care system.</i> \$250,000.00	985464 120002259	12/12/2013	\$125,000
Venice Arts Venice CA 90291 <i>To enhance and expand the Art Mentoring program serving low-income youth</i> \$150,000.00	985540 120002242	12/12/2013	\$75,000
Grand Total			\$1,064,075

Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		Total
Shares/PAR value					Market	Translation	

Equities

Common stock

Brazil - USD

ADR CENTRAIS ELETRICAS BRASILEIRAS S A SPONSORED ADR REPSTG PFD CUSIP 15234Q108

0 000	5 01	152 68	0 00	0 00	0 00	0 00	0 00
Total USD		152 68	0 00	0 00	0 00	0 00	0 00
Total Brazil		152 68	0 00	0 00	0 00	0 00	0 00

Germany - USD

ADR SAP AG SPONSORED ADR CUSIP 803054204
SAP

37 775.000	80 38	0 00	3,036,354 50	2,144,557 32	891,797 18	0 00	891,797 18
Total USD		0 00	3,036,354 50	2,144,557 32	891,797 18	0 00	891,797 18
Total Germany		0 00	3,036,354 50	2,144,557 32	891,797 18	0 00	891,797 18

Japan - USD

ADR KOMATSU LTD SPONSORED ISIN US5004584018 CUSIP 500458401

58,800 000	25 71	0 00	1 511,748 00	1,777,459 32	-265,711 32	0 00	-265,711 32
Total USD		0 00	1,511,748 00	1,777,459 32	-265,711 32	0 00	-265,711 32
Total Japan		0 00	1,511,748 00	1,777,459 32	-265,711 32	0 00	-265,711 32

Netherlands - USD

ADR ASML HOLDING NV NY REG 2012 (POST REV SPLIT) CUSIP N07059210

22 638.000	64 41	0 00	1,458,113 58	1,206,803 26	251,310 32	0 00	251,310 32
Total USD		0 00	1,458,113 58	1,206,803 26	251,310 32	0 00	251,310 32
Total Netherlands		0 00	1,458,113 58	1,206,803 26	251,310 32	0 00	251,310 32

Switzerland - USD

Northern Trust

*Generated by Northern Trust from reviewed periodic data on 24 May 13 B003

♦ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		Total
Shares/PAIR value					Market	Translation	

Equities

Common stock

Switzerland - USD

ADR ABB LTD SPONSORED ADR CUSIP 000375204
ABB

72 400 000	20.79	0 00	1,505,196 00	1,100,617 05	404,578 95	0 00	404,578 95
Total USD		0 00	1 505,196.00	1,100,617 05	404 578.95	0 00	404,578 95
Total Switzerland		0 00	1,505,196 00	1,100,617.05	404,578 95	0 00	404,578 95

United Kingdom - USD

ADR GLAXOSMITHKLINE PLC SPONSORED ADR CUSIP 37733W105

65 450 000	43.47	37,892 27	2,845,111 50	2,902,049 19	-56 937 69	0 00	-56 937 69
Total USD		37,892 27	2,845,111 50	2,902,049 19	-56,937 69	0 00	-56,937 69
Total United Kingdom		37,892 27	2,845 111 50	2,902 049 19	-56,937 69	0 00	-56,937 69

United States - USD

3M CO COM CUSIP 88579Y101

26,800,000	92.85	0 00	2,497,565 00	1,127,988.88	1 369 676 12	0 00	1,369,676 12
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ACCENTURE PLC SHS CL A NEW CUSIP G1151C101

46,900,000	86.50	0 00	3,118,850 00	596,030 84	2 532,819 16	0.00	2,532,819 16
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AGILENT TECHNOLOGIES INC COM CUSIP 00846U101

65,500 000	40.84	6,550 00	2,681,570 00	1 550,713 54	1,130 856 46	0 00	1 130 856 46
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AIR PROD & CHEM INC COM CUSIP 009158106

15,400 000	84.02	10,496.00	1,377,928 00	1,115 923 62	262,004 38	0.00	262,004 38
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Northern Trust

*Generated by Northern Trust from reviewed periodic data on 24 May 13 B003

Keck

Account number: Multiple Accounts

31 DEC 13

2620554 2818398 2604065 2669026 2600231 2618398 2691432 2691428 KKF05

◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
Shares/PAF value							

Equities

Common stock

United States - USD

ALLEGHENY TECHNOLOGIES INC COM CUSIP 01741R102

58,700,000	30.35	0.00	1,721,412.00	1,377,821.27	343,790.73	0.00	343,790.73
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ALLERGAN INC COM CUSIP 018490102

20,118,000	51.73	0.00	1,045,240.68	1,337,528.64	507,712.04	0.00	507,712.04
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ALLIANCE DATA SYS CORP COM CUSIP C18581108

18,900,000	144.78	0.00	2,735,954.00	1,762,377.59	973,586.41	0.00	973,586.41
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AMAZON COM INC COM CUSIP 023135106

14,288,000	251.14	0.00	3,588,539.46	2,362,750.74	1,225,788.72	0.00	1,225,788.72
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AMDOCS ORD GBP001 CUSIP G02602103

66,700,000	33.99	8,671.00	2,267,133.00	1,988,915.53	277,217.47	0.00	277,217.47
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AMERICAN EXPRESS CO CUSIP 025816109

54,900,000	57.48	0.00	3,155,852.00	2,303,368.79	852,285.21	0.00	852,285.21
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AMERICAN TOWER CORP CUSIP 03027X100

43,013,000	77.27	0.00	3,323,614.51	2,195,154.71	1,124,459.80	0.00	1,124,459.80
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AMGEN INC COM CUSIP 031162100

45,725,000	86.32	0.00	3,946,982.00	2,090,383.60	956,598.40	0.00	956,598.40
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APACHE CORP COM CUSIP 037411105

15,700,000	78.50	0.00	1,232,450.00	825,070.69	307,379.31	0.00	307,379.31
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Northern Trust

*Generated by Northern Trust from reviewed periodic data on 24 May 13 B003

*Generated by Northern Trust from reviewed periodic data on 24 May 13 B003

31DEC42

2620654,2618398,2694065,2669026,2600231,2618398,2691432,2691432,KKF03

◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
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Equities

Common stock

United States - USD

BORG WARNER INC COM CUSIP 069724106

38 000 000	71 62	0 00	2,721 560 00	2,478,629 45	242,930 55	0 00	242,930 55
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CAMERON INTL CORP COM STK CUSIP 13342B105

102 100 000	56 46	0 00	5,764,566 00	619,600 87	5,144 965 03	0 00	5,144,965 03
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CAMPBELL SOUP CO COM CUSIP 13442B109

89,725 000	34 89	26 020 25	3 130 503 25	3,025,936 64	104,568 61	0 00	104,568 61
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CARNIVAL CORP COM PAIRED CUSIP 143658300

78,500 000	36 77	0 00	2 866,445 00	1,881,875 79	1 004 569 21	0 00	1,004,569 21
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CELGENE CORP COM CUSIP 151020104

CELG

48,093 000	78 72	0 00	3,785,880 96	2,883,376 13	902,504 83	0 00	902,504 83
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CHIPOTLE MEXICAN GRILL INC COM STK CUSIP 169856105

12 862 000	297 46	0 00	3 775 362 32	3,557,279 02	218,083 30	0 00	218 083 30
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CLEAN H-BRS INC COM CUSIP 184496107

57,600 000	55 01	0 00	3 168,576 00	3 188,845 81	-20,269 81	0 00	-20 269 81
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CME GROUP INC COM STK CUSIP 125720105

52 892 000	50 71	0 00	2,687 224 32	2,711,311 85	-24,087 63	0 00	-24 087 63
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COACH INC COM CUSIP 189754104

92 078 000	55 51	0 00	5,111,249 78	5,301,817 87	-190,568 09	0 00	-190 568 09
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Northern Trust

*Generated by Northern Trust from reviewed periodic data on 24 May 13 B003

◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
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Equities

Common stock

United States - USD

COCA COLA CO COM CUSIP 191216100

86 850 000	36.25	0.00	3 220 812.50	3 113 677.75	107 134.75	0.00	107 134.75
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COGNIZANT TECH SOLUTIONS CORP CL A CUSIP 92448102

67 012 000	74.05	0.00	4 962 236.60	3 266 759.66	1 695 476.94	0.00	1 695 476.94
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CONCHO RES INC COM STK CUSIP 20505P101

15 500 000	80.56	0.00	1 361 454.00	1 460 588.69	-99 124.69	0.00	-99 124.69
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CORNING INC COM CUSIP 219350106

124 500 000	12.62	0.00	1 571 190.00	1 666 059.45	-97 869.45	0.00	-97 869.45
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COVIDIEN PLC USD0 20(POST CONSOLDTN) CUSIP G2554F113

28 400 000	57.74	0.00	1 636 816.00	1 483 772.20	156 043.80	0.00	156 043.80
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CUMMINS INC CUSIP 231021106

7 100 000	108.35	0.00	769 265.00	619 479.04	149 805.96	0.00	149 805.96
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DAVITA HEALTHCARE PARTNERS INC COM CUSIP 23918K108

52 100 000	110.53	0.00	5 758 613.00	4 006 916.35	1 751 696.65	0.00	1 751 696.65
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DISCOVERY COMMUNICATIONS INC NEW COM SERC COM SER C CUSIP 25470F302

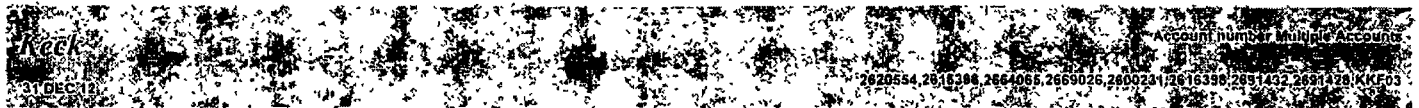
62 550 000	58.50	0.00	5 414 175.00	3 557 364.73	1 856 810.27	0.00	1 856 810.27
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DU PONT E I DE MEMOURS & CO COM STK CUSIP 263534109

51 320 000	44.97	0.00	2 306 961.00	2 501 694.50	-195 033.50	0.00	-195 033.50
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Northern Trust

*Generated by Northern Trust from reviewed periodic data on 24 May 13 B003



◆ Asset Detail - Base Currency

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Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		Total
Shares/PAF value					Market	Translation	
<i>Equities</i>							
Common stock							
United States - USD							
EATON CORP PLC COM USD0 50 CUSIP 028183103							
41,100 300	54 20	0 00	2,227,820 00	2 123,020 50	104,599 50	0 00	104,599 50
ECOLAB INC COM CUSIP 278955100							
34,257 000	71 90	0 00	2 463,078 30	2,106,785 99	356,292 31	0 00	356,292 31
EMC CORP COM CUSIP 268648102							
EMC							
95,450,000	25 30	0 00	2 414,885 00	2,266,328 12	148,555 88	0 00	148,555 88
EXPRESS SCRIPTS HLDG CO COM CUSIP 30219G138							
13 100,000	54 00	0 00	707 400 00	675,641 04	31 758 96	0 00	31,758 96
EXXON MOBIL CORP COM CUSIP 30231G102							
35,400 000	86 55	0 00	3,063,870 00	3,023,698 59	40,171 44	0 00	40 171 44
GARTNER INC COM CUSIP 366651107							
70,400,000	45 02	0 00	3,239 808,00	2 899 425 32	340,382 68	0 00	340,382 68
GENERAL MILLS INC COM CUSIP 370334104							
77,800 000	40 41	0 00	3,143,898 00	3,117,254 52	26,643 48	0 00	26,643 48
GILEAD SCIENCES INC CUSIP 375558103							
GILD							
18 580 000	73 45	0 00	1 364 701 00	1,358 599 04	5,141 96	0 00	5 141 96
GOLDMAN SACHS GROUP INC COM CUSIP 36141G104							
GS							
24 200 000	127 56	0 00	3 086,952 00	1 350 260 52	1,736,691 48	0 00	1,736 691 48

Northern Trust

*Generated by Northern Trust from reviewed periodic data on 24 May 13 B003

◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
Shares/PAR value							
<i>Equities</i>							
Common stock							
United States - USD							
GOOGLE INC CL A CL A CUSIP 38259P508							
5,566,000	709.37	0.00	3,064,668.93	3,065,858.00	868,810.93	0.00	868,810.93
IHS INC COM CL A COM CL A CUSIP 451734107							
34,249,000	98.00	0.00	3,287,904.00	3,364,571.68	-76,667.68	0.00	-76,667.68
ILLUMINA INC COM CUSIP 452327109							
64,228,000	55.59	0.00	3,570,490.11	2,876,838.57	693,551.54	0.00	693,551.54
INGERSOLL-RAND PLC COM STK CUSIP G47781101							
35,600,300	47.98	0.00	1,707,376.00	1,351,438.83	345,937.17	0.00	345,937.17
INTL PAPER CO COM CUSIP 450146103							
50,000,000	39.84	0.00	1,992,000.00	1,484,122.22	507,877.78	0.00	507,877.78
INTUITIVE SURGICAL INC COM NEW STK CUSIP 46120E902							
3,354,000	490.37	0.00	3,116,810.98	2,727,572.45	388,238.53	0.00	388,238.53
JACOBS ENGR GROUP INC COM CUSIP 469614107							
41,800,000	42.57	0.00	1,776,426.00	1,550,466.14	225,959.86	0.00	225,959.86
JPMORGAN CHASE & CO COM CUSIP 46625H100							
JPM							
58,125,000	43.97	0.00	2,555,756.25	2,288,184.11	268,572.14	0.00	268,572.14
KINDER MORGAN MGMT LLC KINDER MORGAN MGMT LLC FR CUSIP CUSIP EKE55U103							
17,533,000	0.00	0.00	0.00	0.00	0.00	0.00	0.00

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2520554 2616395 2664065 2669026 2600231 2616395 2661432 2661432 KKP03
 1 DEC 12

◆ Asset Detail - Base Currency

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Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
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Equities

Common stock

United States - USD

KS CY SOUTHN CUSIP 485170302

20,500 000	83.46	0 00	1,719,688.00	891 272 58	828,415 42	0.00	828,415 42
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LOCKHEED MARTIN CORP COM CUSIP 535630109

20,800 000	92.29	0 00	1,919,632 00	1,524,818 41	394,815 59	0 00	394 815 59
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MACYS INC COM STK CUSIP 55616P104

55,500 000	39 02	17,020 00	2,165,810 00	596,064 84	1 569,545 16	0 00	1,569,545 16
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MC DONALDS CORP COM CUSIP 580135101

27,200 000	88 21	0 00	2 389,312 00	2 320,804 64	78,507 36	0 00	78,507,36
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MEAD JOHNSON NUTRITION COM USD 01 CUSIP 582839106

36,349 000	65 89	11 504 70	2,526 815 61	2 784,362 43	-257 542 82	0 00	-257 546,82
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MERCK & CO INC NEW COM CUSIP 58933Y105

76,825 000	40 94	33,034 75	3,146,215 90	2 945 655 60	189 559 90	0 00	189,559 90
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MULTI LIFE INC COM CUSIP - 59156R108

58,100 000	32 94	0 00	1 913,814 00	1,945,855 42	-33,041 42	0 00	-33,041 42
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MICROSOFT CORP COM CUSIP 584918104

200,700 000	26 73	0 00	5,364,711 00	4 774,029 83	590,681 17	0 00	590 681 17
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MONSANTO CO NEW COM CUSIP 511661V101

42,900 000	94 85	0 00	4,060,485 00	2,613,559 74	1 146,925 26	0 00	1 146,925 26
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Northern Trust

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Keck

Account number Multiple Accounts

31 DEC 12

2620654,2616396,2664065,2669026,2600231,2616398,2691432,2691428,KKF03

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◆ Asset Detail - Base Currency

Description / Asset ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
Shares/PAR value							
<i>Equities</i>							
Common stock							
United States - USD							
MOTOROLA SOLUTIONS INC CUSIP 620076307							
46,475,000	55.68	12,363.50	2,587,728.00	2,234,016.07	353,711.93	0.00	353,711.93
NASDAQ OMX GROUP CUSIP 8311C3108							
115,500,000	25.01	0.00	2,888,656.00	2,551,982.95	336,692.05	0.00	336,692.05
NEUSTAR INC CL A CUSIP 64126X201							
69,500,000	41.93	0.00	2,914,135.00	2,212,731.84	701,403.16	0.00	701,403.16
NIELSEN HOLDINGS B V EURO 07 CUSIP N83218106							
161,100,000	30.59	0.00	4,928,049.00	4,551,493.33	376,555.67	0.00	376,555.67
NIKE INC CL B CUSIP 654106103							
36,000,000	51.60	0.00	1,960,800.00	400,281.22	1,560,518.78	0.00	1,560,518.78
NORTEL NETWORKS CORP NEW COM CUSIP 656568508							
5,000	0.005	0.00	0.02	29.68	-29.66	0.00	-29.66
OCCIDENTAL PETROLEUM CORP CUSIP 674586105							
32,075,000	76.61	0.00	1,661,165.75	2,139,175.67	-448,008.92	0.00	-448,008.92
PEPSICO INC COM CUSIP 713448108							
25,800,000	68.43	13,867.50	1,765,484.00	1,531,305.82	134,188.38	0.00	134,188.38
PRAXAIR INC COM CUSIP 74005P104							
17,416,000	129.45	0.00	1,906,181.20	1,593,530.84	312,600.36	0.00	312,600.36

Northern Trust

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31 DEC 12 2520554 2516398 2564085 2669026 2600231 2616398 2691432 2691425 KKF031

◆ Asset Detail - Base Currency

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Description / Asset ID	Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
<i>Equities</i>								
Common stock								
United States - USD								
PROCTER & GAMBLE COM NPV CUSIP 7427*8106								
55 250 000		67.89	0.00	3,750,922.50	3,498,996.96	251,925.54	0.00	251,925.54
QUALCOMM INC COM CUSIP 747525103								
134,186,000		82.02	0.00	8,461,615.72	4,519,294.47	1,942,321.25	0.00	1,942,321.25
RENAISSANCE RE HLDGS LTD COM CUSIP G7496G103								
81,100,000		81.26	0.00	6,590,186.00	5,641,375.30	948,810.70	0.00	948,810.70
SBA COMMUNICATIONS CORP CL A COM CUSIP 76386J106								
75,500,000		71.02	0.00	5,362,010.00	3,289,403.62	2,072,606.38	0.00	2,072,606.38
SCHLUMBERGER LTD COM COM CUSIP 606857106								
37,700,000		69.29	10,367.50	2,612,233.00	661,808.26	1,930,324.74	0.00	1,930,324.74
STRYKER CORP CUSIP 8636571C								
27,525,000		54.82	7,294.12	1,508,920.50	1,494,390.05	14,530.45	0.00	14,530.45
T ROVE PRICE GROUP INC CUSIP 74144T106								
62,786,000		65.13	0.00	4,087,949.58	3,426,978.36	660,973.22	0.00	660,973.22
UNION PAC CORP COM CUSIP 9076181C8								
18,490,000		125.72	12,696.00	2,313,248.00	841,702.00	1,471,546.00	0.00	1,471,546.00
UNITED PARCEL SVC INC CL B CUSIP 911312106								
57,700,000		73.73	0.00	4,254,221.00	3,765,096.33	489,124.67	0.00	489,124.67

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◆ Asset Detail - Base Currency

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Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued Income/expense	Market Value	Cost	Unrealized gain/loss		Total
Shares/PAR value					Market	Translation	
<i>Equities</i>							
Common stock							
United States - USD							
UNITED TECHNOLOGIES CORP COM CUSIP 913017109							
65 075 000	82.01	0.00	5,172,780.75	1,206,548.02	966,232.73	0.00	966,232.73
USG CORP COM NEW CUSIP 903293405							
56,600 000	28.07	0.00	1,588,762.00	1,600,853.67	-11,891.67	0.00	-11,891.67
VIACOM INC NEW CL B CUSIP 92553P201							
53,495 000	52.74	0.00	2,821,326.30	2,534,429.77	286,896.53	0.00	286,896.53
VIRGIN MEDIA INC COM STK CUSIP 52766L101							
149,200 000	36.75	0.00	5,483,100.00	3,079,016.90	2,404,083.10	0.00	2,404,083.10
VISA INC COM CL A STK CUSIP 92626C839							
V							
27 961 000	151.58	0.00	4,223,170.38	2,131,476.40	2,091,691.98	0.00	2,091,691.98
WABCO HLOGS INC COM STK CUSIP 92927K102							
47 633 000	65.19	0.00	3,105,195.27	611,151.95	2,494,043.32	0.00	2,494,043.32
WALT DISNEY CO CUSIP 254887106							
91 550 000	49.79	0.00	4,558,274.50	2,849,012.45	1,709,262.05	0.00	1,709,262.05
WELLS FARGO & CO NEW COM STK CUSIP 929746101							
WFC							
69 100 000	34.18	0.00	2,361,838.00	342,396.72	2,019,441.28	0.00	2,019,441.28
XEROX CORP COM CUSIP 9841211C3							
204,800 000	6.82	8,706.25	1,397,418.00	2,104,287.56	-706,869.56	0.00	-706,869.56

Northern Trust

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Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		
Shares/PAR value					Market	Translation	Total

Common stock

United States - USD

YUM BRANDS INC COM CUSIP 998498101

39,583,000	68.40	0.00	2,628,311.20	2,080,975.45	547,335.75	0.00	547,335.75
Total USD		209,632.07	273,393,488.17	206,579,012.25	66,814,475.92	0.00	66,814,475.92
Total United States		209,632.07	273,393,488.17	206,579,012.25	66,814,475.92	0.00	66,814,475.92
Total Common Stock							
5,233,814,000		247,677.02	263,760,911.75	215,710,498.39	68,039,513.36	0.00	68,039,513.36

Preferred stock

United States - USD

CITIGROUP CAP XIII 7.875% TR PFD SECS FIXED/FLTG CUSIP 173080201

24,000,000	27.90	0.00	689,600.00	640,920.00	28,680.00	0.00	28,680.00
CMAC LLC PFD 7.25 CALLABLE 2/7/08 DUE 2/7/33 CUSIP 36166C400							
11,000,000	25.00	0.00	275,000.00	252,450.00	22,550.00	0.00	22,550.00
Total USD		0.00	944,600.00	893,370.00	51,230.00	0.00	51,230.00
Total United States		0.00	944,600.00	893,370.00	51,230.00	0.00	51,230.00
Total Preferred Stock							
35,000,000		0.00	944,600.00	893,370.00	51,230.00	0.00	51,230.00

Rights/warrants

United States - USD

HORIZON LINES INC WT EXP CUSIP 44041K119

2 642 965 000	0 054	0 00	142,720 11	387,709 54	-244,989 43	0.00	-244,989 43
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◆ Asset Detail - Base Currency

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Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		
Shares/PAR value					Market	Translation	Total
<i>Equities</i>							
<i>Rights/warrants</i>							
Total USD		0.00	142,720.11	387,709.54	-244,989.43	0.00	-244,989.43
Total United States		0.00	142,720.11	387,709.54	-244,989.43	0.00	-244,989.43
Total Rights/Warrants		0.00	142,720.11	387,709.54	-244,989.43	0.00	-244,989.43
2,642,966,000		0.00	142,720.11	387,709.54	-244,989.43	0.00	-244,989.43
Total Equities							
7,911,779,000		247,677.02	284,837,331.86	216,991,677.93	67,846,753.93	0.00	67,846,753.93

Fixed Income

Government bonds

United States - USD

UNITED STATES TREAS NTS 0.25 10-31-2013 CUSIP 912828RN2

2,975,000,000	100.3625	1,273.82	2,976,859.37	2,975,436.53	1,422.84	0.00	1,422.84
Issue Date 31 Oct 11	Rate 0.25%	Yield to Maturity 0.094%	Maturity Date 31 Oct 13				

UNITED STATES TREAS NTS 0.375 7-31-2013 CUSIP 912828QW3

1,500,000,000	100.1406	2,363.93	1,502,109.00	1,501,952.19	116.81	0.00	116.81
Issue Date 31 Jun 11	Rate 0.375%	Yield to Maturity 0.068%	Maturity Date 31 Jul 13				

UNITED STATES TREAS NTS DTD 00423 25% DUE 09-30-2014 REG CUSIP 912828TQ3

2,500,000,000	100.0234	1,596.84	2,500,585.00	2,496,981.04	3,603.96	0.00	3,603.96
Issue Date 30 Sep 12	Rate 0.25%	Yield to Maturity 0.181%	Maturity Date 30 Sep 14				

UNITED STATES TREAS NTS DTD 04/30/2012 25% DUE 04-30-2014 REG CUSIP 912828SR2

4,030,000,000	100.043	1,712.70	4,001,720.00	4,001,253.40	466.60	0.00	466.60
Issue Date 30 Apr 12	Rate 0.25%	Yield to Maturity 0.144%	Maturity Date 30 Apr 14				

Northern Trust

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♦ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
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Fixed Income

Government bonds

United States - USD

US TREAS NTS 50 DUE 11-15-2013 REG CUSIP 912828PU8

700,000,000	100.2773	454.41	701,941.10	702,269.53	-328.43	0.00	-328.43
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Issue Date 15 Nov 10 Rate 0.50% Yield to Maturity 0.085% Maturity Date 15 Nov 13

US TREAS NTS 625 DUE 04-30-2013 CUSIP 912828OE3

3,455,000,000	100.1758	3,706.06	3,471,001.47	3,476,358.67	-5,257.20	0.00	-5,257.20
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Rate 0.825% Yield to Maturity 0.197% Maturity Date 30 Apr 13

US TREAS NTS DTD 00314 0.5 DUE 10-15-2013 REG CUSIP 912828PBC

1,600,000,000	100.2578	1,714.28	1,604,124.80	1,605,125.00	-1,000.20	0.00	-1,000.20
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Issue Date 15 Oct 10 Rate 0.50% Yield to Maturity 0.09% Maturity Date 15 Oct 13

Total USD		12,815.06	16,758,430.74	16,762,426.56	-3,995.82	0.00	-3,995.82
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Total United States		12,815.06	16,758,430.74	16,762,426.56	-3,995.82	0.00	-3,995.82
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Total Government Bonds		12,815.06	16,758,430.74	16,762,426.56	-3,995.82	0.00	-3,995.82
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Government agencies

KOREA, REPUBLIC OF - USD

EXPT IMP BK KOREA NT 4 DUE 01-1-2017 REG CUSIP 302154BD0

400,000,000	108.4341	7,555.55	433,736.40	420,504.00	13,232.40	0.00	13,232.40
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Issue Date 11 Jan 12 Rate 4.00% Yield to Maturity 1.533% Maturity Date 11 Jan 17

Total USD		7,555.55	433,736.40	420,504.00	13,232.40	0.00	13,232.40
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Total KOREA, REPUBLIC OF		7,555.55	433,736.40	420,504.00	13,232.40	0.00	13,232.40
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United States - USD

Northern Trust

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Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		
Shares/PAR value					Market	Translation	Total

Government agencies

United States - USD

SBA GTD DEV PARTN CTE SER 2003 20-D PARTN CTE 476 DUE 04-01-23 REG CUSIP 83182CMXB

481,694.230	110.5316	5.732.16	532,424.38	481,694.25	50,730.11	0.00	50,730.11
Issue Date: 16 Apr 03 Rate: 4.76% Maturity Date: 01 Apr 23							

Issue Date 16 Apr 03 Rate 4.75% Maturity Date 01 Apr 23

SMALL BUSINESS ADMIN GTD DEV PARTN CTF SER 2003-20 CL F 4.07 DUE 08-01-2023 REG CUSIP 83182CNA7

297,438.200	100.17	1,008.81	324,713.28	297,438.20	27,275.08	0.00	27,275.08
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Issue Date 11 Jun 03 Rate 4.07% Maturity Date 01 Jun 23

SMALL BUSINESS ADMIN SER 1995-20 G 6.90 GTD DEV PARTN CTF DUE 07-1-2015 REG CUSIP 83162CGC1

41,214.790	104.179	1.42* 91	42.937.15	44,202.86	-1,265.71	0.00	-1.265,71
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Issue Date 12 Jul 95 Rate 6.90% Call Date 01 Jul 13 Call Price 100.00 Maturity Date 01 Jul 15

Total USD	8,162.88	800,074.79	823,335.31	76,739.48	0.00	76,739.48
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Total United States	8 162 88	900 074 79	823 335 31	78 739 48	0 00	76 739 48
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Total Government Agencies

1,220,347.240	15,718.43	1,333,811.19	1,243,839.31	89,971.88	0.00	89,971.88
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Municipal/provincial bonds

United States - USD

CALIFORNIA ST 5.7% 11-01-2021 BEO TAXABLE CUSIP 13063BJA1

200 000 000	116 175	1 900 00	242,350 00	199,972 08	32,377 92	0 00	32 377 92
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Issue Date 01 Dec 10 Rate 5.70% Yield to Maturity 2.74% Maturity Date 01 Nov 21

CALIFORNIA ST 7 3% 10-01-2039 BE0 TAXABLE CUSIP . 13083A7D0

150,000 000	138 573	15,512 50	1,177,870 50	845,888 00	331,972 50	0 00	331,972 50
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Issue Date: 15 Oct '99 Rate: 7.30% Yield to Maturity: 4.307% Maturity Date: 01 Oct '99

Northern Trust

*Generated by Northern Trust from reviewed periodic data on 24 May 13 B003

◆ Asset Detail - Base Currency

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Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
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Fixed Income

Municipal/provincial bonds

United States - USD

CALIFORNIA ST 7.5% 04-01-2034 BEQ TAXABLE CUSIP 13063A5E3

525,000,000	138.71	9,843.75	726,227.50	526,856.75	199,368.75	0.00	199,368.75
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Issue Date: 26 Apr 09 Rate: 7.50% Yield to Maturity: 4.26% Maturity Date: 01 Apr 34

CALIFORNIA ST 7.625% 03-01-2040 BEQ TAXABLE CUSIP 13063BFR8

150,000,000	143.609	3,812.50	215,413.50	152,551.50	62,862.00	0.00	62,862.00
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Issue Date: 01 Apr 10 Rate: 7.625% Yield to Maturity: 4.32% Maturity Date: 01 Apr 40

CALIFORNIA STATE 7.55 MUN BDS DUE 04-01-2039 USD5000 CUSIP 13063A5G5

725,000,000	143.498	13,684.37	1,040,360.50	727,506.00	312,854.50	0.00	312,854.50
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Issue Date: 28 Apr 09 Rate: 7.55% Yield to Maturity: 4.32% Maturity Date: 01 Apr 39

ILLINOIS ST 5.665 MUN BD DUE 03-01-2018 CUSIP 452152HT1

675,000,000	113.848	12,749.24	767,124.00	716,936.75	50,187.25	0.00	50,187.25
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Issue Date: 10 Mar 11 Rate: 5.665% Yield to Maturity: 2.586% Maturity Date: 01 Mar 18

ILLINOIS ST 4.961% 03-01-2016 BEQ TAXABLE CUSIP 452152HR5

200,000,000	109.405	3,307.33	218,810.00	210,524.00	8,286.00	0.00	8,286.00
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Issue Date: 10 Mar 11 Rate: 4.961% Yield to Maturity: 1.483% Maturity Date: 01 Mar 16

ILLINOIS ST 5.365% 05-01-2017 BEQ TAXABLE CUSIP 452152HS3

525,000,000	111.996	9,386.74	587,979.00	525,000.00	62,979.00	0.00	62,979.00
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Issue Date: 10 Mar 11 Rate: 5.365% Yield to Maturity: 2.237% Maturity Date: 01 Mar 17

LOS ANGELES CALIF UNI SCH DIST 6.756% DUE 07-01-2034 BEQ TAXABLE CUSIP 544646ZR6

425,000,000	132.311	14,380.75	562,321.75	425,000.00	137,321.75	0.00	137,321.75
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Issue Date: 04 Mar 10 Rate: 6.756% Yield to Maturity: 3.855% Maturity Date: 01 Jul 34

Northern Trust

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Reck	2620554	2618396	2664065	2669026	2600211	2616388	2691432	2681428	KMF031
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Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss	Total
Shares/PAR value					Market Translation	

Fixed Income

Municipal/provincial bonds

United States - USD

NEW JERSEY ST TPK AUTH TPK REV 7 102% 01-01-2041 BEO TAXABLE CUSIP 648139X83

375 000 000	141.805	13 316.25	531,768.75	375 000.00	156 768.75	0.00	156,768.75
Issue Date 15 Dec 10	Rate 7 102%	Yield to Maturity 4 104%	Maturity Date 01 Jan 41				
Total USD		97,872.43	6,062,225.50	4,707,247.08	1,354,978.42	0.00	1,354,978.42
Total United States		97,872.43	6,062,225.50	4,707,247.08	1,354,978.42	0.00	1,354,978.42
Total Municipal/Provincial Bonds		97,872.43	6,062,225.50	4,707,247.08	1,354,978.42	0.00	1,354,978.42

Corporate bonds

Bermuda - USD

INTELSAT JACKSON HLDGS LTD 8.5 DUE 11-01-2019 REG CUSIP 458207AH0

325 000 000	112.00	4 604.16	364 000.00	339,625.00	24 375.00	0.00	24 375.00
Issue Date 20 Oct 09	Rate 8.50%	Call Date 01 Nov 14	Call Price 104.25	Yield to Maturity 5.14%	Maturity Date 01 Nov 19		
PVTPL AIRCASTLE LTD SR NT 144A 6.25% DUE 12-01-2019 BEO CUSIP 006280AH4							
450,000 000	104.25	2,421.87	466,125.00	451,962.50	17,562.50	0.00	17 562.50
Issue Date 30 Nov 12	Rate 6.25%	Maturity Date 01 Dec 19					
Total USD		7,028.03	833,125.00	791,187.50	41 937.50	0.00	41,937.50
Total Bermuda		7,028.03	833,125.00	791,187.50	41,937.50	0.00	41,937.50

Brazil - USD

PETROBRAS INTL FIN 5.375% DUE 01-27-2021 CUSIP 71645WAR2

400 000 000	112.582	8,197.22	450,326.00	434,228.00	16 100.00	0.00	16 100.00
Issue Date 27 Jan 11	Rate 5.375%	Yield to Maturity 3.808%	Maturity Date 27 Jan 21				

Northern Trust

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31 DEC 12	Account number/Multiple Accounts
2620654, 2616396, 2664065, 2669026, 2600231, 2616398, 2691432, 2691428, KKF03	

◆ Asset Detail - Base Currency

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Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
Shares/PAR value							
<i>Fixed Income</i>							
Corporate bonds							
Total USD		9,197.22	450,328.00	434,228.00	16,100.00	0.00	16,100.00
Total Brazil		9,197.22	450,328.00	434,228.00	16,100.00	0.00	16,100.00
Canada - USD							
ANGIOTECH PHARMACEUTICALS INC VAR RT DUE 12-01-2013 CUSIP 034916AH5							
36,000.000	100.00	155.00	36,000.00	23,027.62	12,972.38	0.00	12,972.38
Issue Date 01 Mar 11 Rate 5.00% Yield to Maturity 4.163% Maturity Date 01 Dec 13							
ANGIOTECH PHARMACEUTICALS US INC 9 DUE 12-01-2016 CUSIP 03476EAA6							
446,000.000	101.00	3,360.00	452,480.00	304,741.25	147,738.75	0.00	147,738.75
Issue Date 13 Aug 12 Rate 9.00% Yield to Maturity 3.97% Maturity Date 01 Dec 16							
PVTP L INMET MNG CORP SR NT 144A 7.5% DUE 06-01-2021/12-18-2012 BEO CUSIP 457983AE4							
150,000.000	103.75	406.24	155,625.00	150,000.00	5,625.00	0.00	5,625.00
Issue Date 18 Dec 12 Rate 7.50% Call Date 01 Dec 16 Call Price 103.75 Yield to Maturity 6.767% Maturity Date 01 Jun 21							
PVTP L INMET MNG CORP SR NT 8.75 DUE 06-01-2020/05-18-2012 BEO CUSIP 457983AC8							
275,000.000	109.25	2,005.20	300,437.50	271,108.00	29,331.50	0.00	29,331.50
Issue Date 18 May 12 Rate 8.75% Call Date 01 Jun 16 Call Price 104.38 Yield to Maturity 7.283% Maturity Date 01 Jun 20							
PVTP L MOOD MEDIA CORP SR NT 144A 9.25 DUE 10-13-2020 CUSIP 61534JAG0							
500,000.000	105.375	9,250.00	526,875.00	500,000.00	26,875.00	0.00	26,875.00
Issue Date 19 Oct 12 Rate 9.25% Call Date 15 Oct 15 Call Price 106.94 Yield to Maturity 9.343% Maturity Date 15 Oct 20							
PVTP L QUEBECOR MEDIA INC SR NT 5.75 DUE 01-15-2023 BEO CUSIP 74819RAN6							
175,000.000	105.375	447.22	184,406.25	175,000.00	9,406.25	0.00	9,406.25
Issue Date 11 Oct 12 Rate 5.75% Yield to Maturity 5.187% Maturity Date 15 Jan 23							

Northern Trust

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31 DEC 12	262035	2816396	2664055	2689026	280023	2816396	2801432	2851423	XX03
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◆ Asset Detail - Base Currency

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Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
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Fixed Income

Corporate bonds

Canada - USD

PVTPL RELANCE INTER HOLDINGS LP SR NT USD 144A 9 5 DUE 12-15 2019 CUSIP 758479AA6

325 000 000	113.50	1,372.22	368,875.00	313,141.22	55 733.78	0.00	55,733.78	
Issue Date 30 Jul 09	Rate 9.50%	Call Date 15 Dec 13	Call Price 100.00	Yield to Maturity 7.551%	Maturity Date 15 Dec 19			
Total USD			18,995.88	2 024,698.75	1,737 016.09	287,682.66	0.00	287,682.66
Total Canada			18,995.88	2,024,698.75	1,737,016.09	287 682.66	0.00	287,682.66

France - USD

ARCELORMITTAL SA LUXEMBOURG 10.35 DUE 08-01-2019/05-20-2009 REG CUSIP 03638LAM6

225,000 000	119.9371	1,940.82	269,858.47	264,937.50	4,920.97	0.00	4,920.97
Issue Date 20 May 09	Rate 10.35%	Yield to Maturity 4.892%	Maturity Date 01 Jun 19				

COMPAGNIE GENERALE DE GEOPHYSIQUE VERITAS SR NT 6.5 DUE 06-01-2021 CUSIP 204384AB7

525,000,000	107.00	2,843.75	561,750.00	508,530.59	53,219.41	0.00	53,219.41
Issue Date 31 May 11	Rate 6.50%	Call Date 01 Jun 16	Call Price 103.25	Yield to Maturity 5.567%	Maturity Date 01 Jun 21		

LAFARGE S A 6.5% DUE 07-15-2016 CUSIP 505861AB0
1486536

625 000 000	111.75	18,732.63	698,437.50	637,161.75	61,275.75	0.00	61,275.75
Issue Date 16 Jul 08	Rate 6.50%	Yield to Maturity 2.559%	Maturity Date 15 Jul 16				

PVTPL LAFARGE S A 5.5 DUE 07-08-2015 CUSIP 505861AD8

350 000 000	108.00	8,886.66	324 000.00	299 742.00	24 258.00	0.00	24 258.00	
Issue Date 09 Jul 10	Rate 6.20%	Yield to Maturity 2.428%	Maturity Date 09 Jul 15					
Total USD			32,403.66	1,854,045.97	1 710,371.84	143,674.13	0.00	143,674.13
Total France			32,403.66	1,854,045.97	1 710,371.84	143,674.13	0.00	143,674.13

Germany - USD

Northern Trust

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◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		Total
Shares/PAF value					Market	Translation	

Fixed Income

Corporate bonds

Germany - USD

UNITYMEDIA HESSEN GMBH & CO KG / UNITYMEDIA NRW GMBH 144A 5 5 01-15-2023 CUSIP 913364AB1

325,000,000	103.25	844.09	335,562.50	325,000.00	10,562.50	0.00	10,562.50
Issue Date 14 Dec 12 Rate 5.50% Call Date 15 Jan 18 Call Price 102.75 Yield to Maturity 5.038% Maturity Date 15 Jan 23							
Total USD		844.09	335,562.50	325,000.00	10,562.50	0.00	10,562.50
Total Germany		844.09	335,562.50	325,000.00	10,562.50	0.00	10,562.50

Iceland - USD

PVTPL KAUPTHING BK MEDIUM TERM SUB TRANCHE # 01 7 125 5-19 16 BD IN DEFAULT CUSIP 48632HAA5

775,000,000	0.00	0.00	0.00	778,356.00	-778,356.00	0.00	-778,356.00
Issue Date 19 May 08 Rate 7.125% Yield to Maturity 9.832% Maturity Date 19 May 16							
Total USD		0.00	0.00	778,356.00	-778,356.00	0.00	-778,356.00
Total Iceland		0.00	0.00	778,356.00	-778,356.00	0.00	-778,356.00

Ireland - USD

NARA CABLE FDG LTD 8 875% DUE 12-01-2018 CUSIP 63080TAA5

600,000,000	101.75	4,437.49	610,500.00	583,452.08	27,047.92	0.00	27,047.92
Issue Date 02 Feb 12 Rate 8.875% Call Date 01 Dec 13 Call Price 106.88 Yield to Maturity 7.323% Maturity Date 01 Dec 18							
PVTPL ELAN FIN PLC / ELAN FIN CORP GTD SR NT 6.25 DUE 10-15-2019 CUSIP 284138AN4							

725,000,000	104.50	11,328.12	757,625.00	726,000.00	31,625.00	0.00	31,625.00
Issue Date 01 Oct 12 Rate 6.25% Call Date 15 Oct 15 Call Price 104.69 Yield to Maturity 0.71% Maturity Date 15 Oct 19							
Total USD		15,765.61	1,388,125.00	1,309,452.08	58,672.92	0.00	58,672.92
Total Ireland		15,765.61	1,388,125.00	1,309,452.08	58,672.92	0.00	58,672.92

Italy - USD

Northern Trust

*Generated by Northern Trust from reviewed periodic data on 24 May 13 B003



◆ Asset Detail - Base Currency

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Description / Asset ID

Investment Mgr ID

Exchange rate/
local market price

Accrued
income/expense

Market Value

Cost

Unrealized gain/loss
Translation

Total

Fixed Income

Corporate bonds

Italy - USD

TELECOM ITALIA CAP 6 175% DUE 06-18-2014 CUSIP 87927VAW8

200,000 000	105 40	445,97	210,800 00	196,560 00	12,240 00	0 00	12,240 00
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Issue Date 19 Jun 09 Rate: 6 175% Yield to Maturity 1 682% Maturity Date 18 Jun 14

TELECOM ITALIA CAP 6 999% DUE 06-01-2018 CUSIP 87927VAU2

425 000 000	114,30	2,230 83	465,775 00	454 980 00	30,785 00	0 00	30,785 00
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Issue Date 04 Jun 08 Rate: 6 999% Yield to Maturity 3,533% Maturity Date 04 Jun 18

Total USD		2 676 80	696,575 00	653,550 00	43,025 00	0 00	43 025 00
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Total Italy		2,676 80	696,575 00	653 550 00	43,025 00	0 00	43,025 00
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Luxembourg - USD

PVTPL ALTICE FINCO S A SR NT 144A 9 875% DUE 12-15-2020/12-12-2012 BEO CUSIP . 02154EAA7

200,000 000	107 75	1,042 36	215,500 00	200 000 00	15,500 00	0 00	15,500 00
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Issue Date 12 Dec 12 Rate: 9 875% Call Date 15 Dec 16 Call Price 104 94 Yield to Maturity 7 354% Maturity Date 15 Dec 20

PVTPL OXEA FIN & CV S C A SR SECD NT 144A 9 5% DUE 07-15-2017/07-15-2013 BEO CUSIP 59138WAA7

264 000 000	109 50	12 440,77	310,980 00	284 000 00	26,980 00	0 00	26,980 00
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Issue Date 16 Jul 10 Rate: 6 50% Call Date 15 Jul 13 Call Price 107,13 Yield to Maturity 7 188% Maturity Date 15 Jul 17

TI CAPITAL 7 175% DUE 06-18-2019 CUSIP 872456AA6

200,000,000	116 05	518 19	232 100 00	212 596 00	19,502 00	0 00	19 502 00
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Issue Date 18 Jun 09 Rate 7 175% Yield to Maturity 3 782% Maturity Date 18 Jun 19

Total USD		14,001 32	758 580 00	696,598 00	61,982 00	0 00	61 982 00
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Total Luxembourg		14,001 32	758,580 00	696 598 00	61 982 00	0 00	61,982 00
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Norway - USD

Northern Trust

*Generated by Northern Trust from reviewed periodic data on 24 May 13 B003



◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
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Fixed Income

Corporate bonds

Norway - USD

PVTPL PETE GEO SVCS ASA NEW SR NT *44A 7 375 DUE 12-15-2018 BEO CUSIP 716596AC9

525,000,000	108.00	1,720.83	567,000.00	517,849.50	49,150.50	0.00	49,150.50
Issue Date 15 Nov 11	Rate 7.375%	Call Date 15 Dec 15	Call Price 103.89	Yield to Maturity 5.051%	Maturity Date 15 Dec 18		
Total USD		1,720.83	567,000.00	517,849.50	49,150.50	0.00	49,150.50
Total Norway		1,720.83	567,000.00	517,849.50	49,150.50	0.00	49,150.50

United Kingdom - USD

HSBC HLDGS PLC 6.5% DUE 05-02-2038 CUSIP 404280AG4

450,000,000	124.938	4,793.75	562,221.00	482,863.36	99,357.62	0.00	99,357.62
Issue Date 05 May 06	Rate 5.50%	Yield to Maturity 4.652%	Maturity Date 02 May 38				

HSBC HLDGS PLC 6.5% DUE 09-15-2037 CUSIP 404280AH2

350,000,000	124.6189	6,698.61	438,866.15	345,248.75	91,617.40	0.00	91,617.40
Issue Date 12 Sep 07	Rate 6.50%	Yield to Maturity 4.652%	Maturity Date 15 Sep 37				

HSBC HOLDINGS PLC 5.1 DUE 04-05-2021 CUSIP 404280AK5

250,000,000	118.0861	3,045.83	295,220.75	249,457.76	45,762.99	0.00	45,762.99
Issue Date 05 Apr 11	Rate 5.10%	Yield to Maturity 2.497%	Maturity Date 05 Apr 21				

ROYAL BK SCOTLAND 4.375% DUE 03-16-2016 CUSIP 78009PCC3

225,000,000	108.201	2,871.09	243,452.25	224,561.25	18,891.00	0.00	18,891.00
Issue Date 16 Mar 11	Rate 4.375%	Yield to Maturity 1.147%	Maturity Date 16 Mar 16				

ROYAL BK SCOTLAND 6.125% DUE 01-11-2021 CUSIP 78010XAK7

550,000,000	120.6177	15,907.98	664,497.35	547,970.50	116,526.85	0.00	116,526.85
Issue Date 11 Jan 11	Rate 6.125%	Yield to Maturity 2.918%	Maturity Date 11 Jan 21				

Northern Trust

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◆ Asset Detail - Base Currency

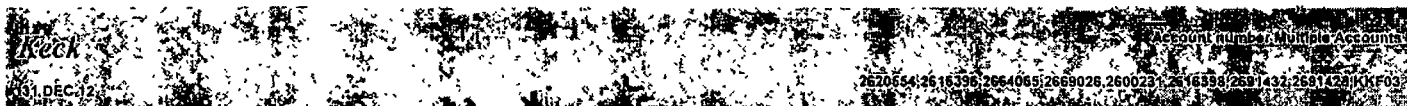
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Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
Shares/PAR value							
<i>Fixed Income</i>							
Corporate bonds							
Total USD		33 317 26	2,202,257 00	1,830 101 64	372,155 36	0 00	372,155 36
Total United Kingdom		33,317 26	2 202,257 00	1,830,101.64	372 155.36	0 00	372,155 36
United States - USD							
#REORG/CSC HLDGS LLC SR NT MANDATORY EXCH CSC HOLDINGS 4T1KAJ4 1/8/2013 CUSIP 126307AD9							
500 000 000	110 875	4 312.50	554 375 00	500,000.00	54,375.00	0 00	54 375 00
Issue Date 15 Nov 11 Rate 8.75% Maturity Date 15 Nov 21							
AFFINION GROUP INC SR SUB NT 11 5% DUE 10-15-2015/10-15-2012 CUSIP 00628DAJ0							
275 000 000	81 25	6,676 36	223,437 50	267,809 38	-64,371 88	0 00	-64,371 88
Issue Date 26 Apr 08 Rate 11.50% Call Date 15 Oct 13 Call Price 100 00 Yield to Maturity *8.578% Maturity Date 15 Oct 15							
ALERE INC SR SUB NT 8.625 DUE 10-01-2016 CUSIP 01446JAE5							
275 000 000	100 50	5,929.68	276,375 00	274,500 00	1,875 00	0 00	1,875 00
Issue Date 21 Sep 10 Rate 8.625% Call Date 01 Oct 14 Call Price 104 31 Yield to Maturity 6.993% Maturity Date 01 Oct 18							
ALLY FINL INC 4.5% DUE 02-11-2014 CUSIP 02005NAF7							
425,000 000	102 875	7,437 50	437 218 75	425 000 00	12,218.75	0.00	12,218 75
Issue Date 11 Feb 11 Rate 4.50% Yield to Maturity 1.585% Maturity Date 11 Feb 14							
AMERIGAS FIN CORP / AMERIGAS FIN LLC GTDSR NT 7.5-20-22 CUSIP 03077JAB6							
350,000 C30	111 25	2,790.27	369,375 00	350 000 00	36,375 00	0 00	36 375 00
Issue Date 12 Jan 12 Rate 7.00% Call Date 20 May 17 Call Price 103 50 Yield to Maturity 5.345% Maturity Date 20 May 22							
AMERN INTL GROUP 4.25% DUE 09-15-2014 CUSIP 026874CA5							
200 000 000	105 3399	2,502.77	210 679 80	196 896 00	11,783 80	0 00	11,783 80
Issue Date 13 Sep 11 Rate 4.25% Yield to Maturity 0.881% Maturity Date 15 Sep 14							

Northern Trust

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◆ Asset Detail - Base Currency

Description / Asset ID		Exchange rate/		Accrued		Unrealized gain/loss		Total
Investment Mgr ID		local market price		income/expense		Market	Translation	
Shares/PAR value					Cost			
<i>Fixed Income</i>								
Corporate bonds								
United States - USD								
AMERN INTL GROUP 8.25% DUE 08-15-2018 CUSIP 026874BT3								
375,000,000		131.3866		11,687.50	492,707.25	375,000.00	117,707.25	0.00
Issue Date 15 Feb 09 Rate 8.25% Yield to Maturity 2.265% Maturity Date 15 Aug 18								
AMERN STORES CO 7.9% DUE 05-01-2017 CUSIP 030086AG6								
250,000,000		94.75		3,291.66	236,875.00	249,375.30	-12,500.00	0.00
Issue Date 02 May 97 Rate 7.90% Yield to Maturity 3.898% Maturity Date 01 May 17								
AMERN TIRE DISTR 8.75% DUE 06-01-2017 CUSIP 03021QAF5								
400,000,000		106.00		3,250.00	424,000.00	404,042.50	19,957.50	0.00
Issue Date 01 Dec 10 Rate 9.75% Call Date 01 Jun 13 Call Price 107.31 Yield to Maturity 7.612% Maturity Date 01 Jun 17								
AOL TIME WARNER 7.825% DUE 04-15-2031 CUSIP 00184AAC9								
1,050,000,000		137.6662		16,902.08	1,445,516.10	1,091,334.50	354,181.60	0.00
Issue Date 19 Apr 01 Rate 7.625% Call Date 14 Apr 31 Call Price 100.00 Yield to Maturity 4.303% Maturity Date 15 Apr 31								
APRIA HEALTHCARE 11.25% DUE 11-01-2014 CUSIP 037933AE8								
550,000,000		103.375		10,312.50	568,562.50	561,274.38	7,288.12	0.00
Issue Date 01 May 10 Rate 11.25% Call Date 01 Nov '3 Call Price 100.00 Yield to Maturity 7.385% Maturity Date 01 Nov 14								
AT&T CORP USD SR NT VAR RATE DUE 11-15-2031/11-14-2031 BEQ CUSIP 001997BD0								
350,000,000		151.3324		3,577.77	529,663.40	353,838.50	175,824.90	0.00
Issue Date 15 May 02 Rate 8.00% Call Date 14 Nov 31 Call Price 100.00 Yield to Maturity 4.063% Maturity Date 15 Nov 31								
BAC CAP TR A16 6.25% DUE 05-23-2035 CUSIP 056335AA0								
325,000,000		112.0843		2,272.74	364,273.97	344,434.25	19,839.72	0.00
Issue Date 23 May 06 Rate 6.625% Yield to Maturity 5.293% Maturity Date 23 May 35								

Northern Trust

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31 DEC 12

Account number Multiple Accounts

2520554;2616398;2664065;2689026;2600231;2616398;2691432;2691432;KRF03

◆ Asset Detail - Base Currency

Description / Asset ID

Investment / Agr ID	Exchange rate/ local market price	Accrued Income/expense	Market Value	Cost	Unrealized gain/loss		Total
Shares/PAR value					Market	Translation	

Fixed Income

Corporate bonds

United States - USD

BANK AMER CORP 7.625% DUE 06-01-2019 CUSIP 06051GDZ9

915,000,000	127.9571	5,514.06	1,170,807.46	921,147.29	249,660.17	0.00	249,660.17
Issue Date: 02 Jun 00 Rate: 7.625% Yield to Maturity: 2.613% Maturity Date: 01 Jun 19							

BANK ONE CAP III 8.75% DUE 09-01-2030 CUSIP 06423KAA9

500,000,000	137.6101	14,563.33	688,050.50	648,380.00	39,670.50	0.00	39,670.50
Issue Date: 30 Aug 00 Rate: 8.75% Call Date: 31 Aug 30 Call Price: 100.00 Yield to Maturity: 5.063% Maturity Date: 01 Sep 30							

BI-LO LLC / BI-LO 9.25% DUE 02-15-2019 CUSIP 086611AA6

225,000,000	107.00	7,862.49	240,750.00	225,000.00	15,750.00	0.00	15,750.00
Issue Date: 03 Feb 11 Rate: 9.25% Call Date: 15 Feb 15 Call Price: 100.00 Yield to Maturity: 7.21% Maturity Date: 15 Feb 19							

BK AMER N A CHARLOTTE N C MEDIUM TERM TRANCHE # TR 00229 5.3 DUE 03-15-2017 CUSIP 06050TKN1

675,000,000	112.471	10,533.75	759,179.25	674,372.25	84,807.00	0.00	84,807.00
Issue Date: 13 Mar 07 Rate: 5.30% Yield to Maturity: 1.945% Maturity Date: 15 Mar 17							

BSTN PPTYS LTD 5.625% DUE 04-15-2015 CUSIP 10112RAF1

800,000,000	109.8136	9,500.00	878,508.80	799,184.00	79,324.80	0.00	79,324.80
Issue Date: 18 Mar 03 Rate: 5.625% Call Date: 14 Apr 15 Call Price: 100.00 Yield to Maturity: 0.91% Maturity Date: 15 Apr 15							

BSTN SCIENTIFIC 8.4% DUE 06-15-2018 CUSIP 10113TAG2

450,000,000	14.947	1,279.99	517,261.50	406,500.00	108,761.50	0.00	108,761.50
Issue Date: 02 Jun 06 Rate: 6.40% Yield to Maturity: 1.669% Maturity Date: 15 Jun 16							

CAP 1 FINL CORP 4.75% DUE 07-15-2021 CUSIP 14040HAY1

150,000,000	115.3182	3,285.41	172,974.30	149,305.50	23,668.80	0.00	23,668.80
Issue Date: 19 Jul 11 Rate: 4.75% Yield to Maturity: 2.727% Maturity Date: 15 Jul 21							

Northern Trust

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◆ Asset Detail - Base Currency

Description / Asset ID	Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		
Shares/PAR value						Market	Translation	Total
Fixed Income								
Corporate bonds								
United States - USD								
CAP 1 FINL CORP 6.75% DUE 09-15-2017 CUSIP 14040HAR6								
590,000.000		122.1279	11,726.25	720,554.61	568,834.08	151,920.53	0.00	151,920.53
Issue Date: 04 Sep 07 Rate: 6.75% Yield to Maturity: 1.666% Maturity Date: 15 Sep 17								
CARRIZO OIL & GAS 8.625 DUE 10-15-2018 CUSIP 144577AC7								
225,000.000		108.00	4,066.87	243,000.00	225,562.50	17,437.50	0.00	17,437.50
Issue Date: 15 Apr 11 Rate: 8.625% Call Date: 15 Oct 14 Call Price: 104.31 Yield to Maturity: 6.268% Maturity Date: 15 Oct 18								
CATALINA MARKETING 10.5% DUE 10-01-2015 CUSIP 148867AA2								
200,000.000		101.25	5,750.00	202,500.00	212,500.00	-10,000.00	0.00	-10,000.00
Issue Date: 02 Oct 08 Rate: 10.50% Call Date: 01 Oct 13 Call Price: 100.00 Maturity Date: 01 Oct 15								
CCO HLDGS LLC / 5.125% DUE 02-15-2023 CUSIP 1248EPAZ6								
500,000.000		99.75	896.52	498,750.00	500,000.00	-1,250.00	0.00	-1,250.00
Issue Date: 17 Dec 12 Rate: 5.125% Call Date: 15 Feb 18 Call Price: 102.56 Yield to Maturity: 4.994% Maturity Date: 15 Feb 23								
CHS / CMNTY HEALTH SYS INC SR SEC2 NT 5.125 DUE 08-15-2018 CUSIP 12543DAR1								
225,000.000		104.25	4,292.18	234,562.50	225,000.00	9,562.50	0.00	9,562.50
Issue Date: 17 Aug 12 Rate: 5.125% Call Date: 15 Aug 15 Call Price: 102.56 Yield to Maturity: 3.857% Maturity Date: 15 Aug 18								
CHS / CMNTY HLTH 8% DUE 11-15-2019 CUSIP 12543DAL4								
225,000.000		108.25	2,269.96	243,562.50	230,625.00	12,937.50	0.00	12,937.50
Issue Date: 15 May 12 Rate: 8.00% Call Date: 15 Nov 15 Call Price: 104.00 Yield to Maturity: 5.534% Maturity Date: 15 Nov 19								
CIGNA CORP 7.875 DEB DUE 05-15-2027 CUSIP 125509AZ2								
600,000.000		132.068	6,037.50	792,396.00	642,664.00	149,732.00	0.00	149,732.00
Issue Date: 15 May 97 Rate: 7.875% Yield to Maturity: 4.225% Maturity Date: 15 May 27								

Northern Trust

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♦ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued Income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
Shares/PAIR value							

Fixed Income

Corporate bonds

United States - USD

CIGNA CORP 5% DUE 05-01-2019 CUSIP 125609BL2

80 000 000	131 5049	1,133,33	105 203 92	101,808 00	3,395 92	0 00	3,395 92
Issue Date 07 May 09	Rate 8.50%	Yield to Maturity 2.931%	Maturity Date 01 May 19				

CITIGROUP INC 6.125% DUE 11-21-2017 CUSIP 172967EM9

550 000 000	119 0157	3,743 05	654,586 35	550 884 40	103,701 95	0 00	103,701 95
Issue Date 21 Nov 07	Rate 6.125%	Yield to Maturity 1.785%	Maturity Date 21 Nov 17				

CITIGROUP INC GLOBAL SR NT/FLTG RATE DUECS-15-2018 REG CUSIP 172967ET4

750 000 000	100 7795	1,968 12	755 846 25	750 000 00	5,846 25	0 00	5,846 25
Issue Date 13 May 08	Rate 2.01%	Yield to Maturity 1.18%	Maturity Date 15 May 18				

CLEAR CHANNEL WORLDWIDE HLDGS INC 7.625%GTD SR SUB NT SER B DUE 03-15-2020 CUSIP 18451QAH1

200 000 000	100 75	4 490 27	201,500 00	200 000 00	1,500 00	0 00	1,500 00
Issue Date 15 Mar 12	Rate 7.625%	Call Date 15 Mar 15	Call Price 105.72	Yield to Maturity 6.22%	Maturity Date 15 Mar 20		

COMCAST CORP NEW 5.9% DUE 03-15-2016 CUSIP 20030NAL5

100 000 000	114 8934	1 737 22	114 893,40	99 862,00	15,031 40	0 00	15 031 40
Issue Date 02 Mar 06	Rate 5.90%	Yield to Maturity 0.852%	Maturity Date 15 Mar 16				

COMCAST CORP NEW 6.3% DUE 11-15-2017 CUSIP 20030NAU5

375 000 000	122 6765	3 018 75	460 036,87	329 856 50	130,180,37	0 00	130,180,37
Issue Date 23 Aug 07	Rate 6.30%	Yield to Maturity 1.216%	Maturity Date 15 Nov 17				

COMCAST CORP NEW 6.5 DUE 15-01-2017 CUSIP 20030NAP8

250 000 000	120 8043	7,493 05	301,510 75	249,397 50	52,113 25	0 00	52 113 25
Issue Date 14 Jul 06	Rate 6.50%	Yield to Maturity 0.969%	Maturity Date 15 Jan 17				

Northern Trust

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◆ Asset Detail - Base Currency

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Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
Shares/PAI value							
Fixed Income							
Corporate bonds							
United States - USD							
COMCAST CORP NEW 6.95% DUE 06-15-2037 CUSIP 20030NAV3							
175,000,000	135.6066	4,594.72	237,311.55	206,369.00	28,942.55	0.00	28,942.55
Issue Date 23 Aug 07	Rate 6.95%	Call Date 14 Aug 37	Yield to Maturity 4.272%	Maturity Date 15 Aug 37			
COPANO ENERGY L L 7.125% DUE 04-01-2021 CUSIP 217203AE8							
375,000,000	107.375	6,679.68	402,666.25	383,437.50	19,218.75	0.00	19,218.75
Issue Date 06 Apr 11	Rate 7.125%	Call Date 01 Apr 16	Call Price 103.56	Yield to Maturity 4.718%	Maturity Date 01 Apr 21		
CR ACCEP CORP MICH 9.125% DUE 02-01-2017 CUSIP 275310AD3							
225,000,000	108.25	8,554.68	245,812.50	238,500.00	7,312.50	0.00	7,312.50
Issue Date 01 Feb 10	Rate 9.125%	Call Date 01 Feb 14	Call Price 104.56	Yield to Maturity 6.385%	Maturity Date 01 Feb 17		
CSX TRANSN INC 6.251% DUE 01-15-2023 CUSIP 126410LM9							
199,682,400	121.00	5,755.65	241,615.70	199,682.40	41,933.30	0.00	41,933.30
Issue Date 13 Dec 07	Rate 6.251%	Yield to Maturity 2.775%	Maturity Date 15 Jan 23				
CSX TRANSN INC 8.375% DUE 10-15-2014 CUSIP 126410LN7							
335,791,270	111.00	5,938.97	372,728.31	335,791.27	36,937.04	0.00	36,937.04
Issue Date 24 Oct 08	Rate 8.375%	Yield to Maturity 1.667%	Maturity Date 15 Oct 14				
DAVE & BUSTERS INC 11% DUE 06-01-2018 CUSIP 23833NAG9							
150,000,000	112.00	1,375.00	168,000.00	163,875.00	4,125.00	0.00	4,125.00
Issue Date 01 Jun 10	Rate 11.00%	Call Date 01 Jun 14	Call Price 105.50	Yield to Maturity 7.819%	Maturity Date 01 Jun 18		
DAVITA INC 5.75 DUE 06-15-2022 CUSIP 23819KAP3							
125,000,000	105.375	2,455.72	131,718.75	125,000.00	6,718.75	0.00	6,718.75
Issue Date 29 Aug 12	Rate 5.75%	Call Date 15 Aug 17	Call Price 102.88	Yield to Maturity 4.871%	Maturity Date 15 Aug 22		

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◆ Asset Detail - Base Currency

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Description / Asset ID

Investment Mgr ID	Exchange rate/ local market once	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		Total
Shares/PAR value					Market	Translation	
<i>Fixed Income</i>							
Corporate bonds							
United States - USD							
DELTA 2010-2B PASS 6 75% DUE 11-23-2015 CUSIP 247817AA7							
325,000.000	104.00	2,316.82	338,000.00	325,000.00	13,000.00	0.00	13,000.00
Issue Date: 1-Feb-11 Rate: 6.75% Yield to Maturity: 4.555% Maturity Date: 23-Nov-15							
DILLARDS INC 7 75% DUE 07-15-2026 CUSIP 254063AU4							
300,000.000	105.25	10,720.83	315,750.00	282,750.00	33,000.00	0.00	33,000.00
Issue Date: 17-Jul-06 Rate: 7.75% Yield to Maturity: 8.403% Maturity Date: 15-Jul-26							
DISH DBS CORP SR NT 7 875 DUE 09-01-2019 CUSIP 25470XAB1							
500,000.000	118.50	13,125.00	592,500.00	542,500.00	50,000.00	0.00	50,000.00
Issue Date: 17-Aug-09 Rate: 7.875% Yield to Maturity: 5.247% Maturity Date: 01-Sep-19							
DOW CHEM CO 7 375% DUE 11-01-2029 CUSIP 260543BJ1							
250,000.000	132.4782	3,072.91	331,195.50	286,137.50	36,058.00	0.00	36,058.00
Issue Date: 01-Nov-99 Rate: 7.375% Yield to Maturity: 4.303% Maturity Date: 01-Nov-29							
DOW CHEM CO 8 55% DUE 05-15-2019 CUSIP 260543BX0							
735,000.000	135.0076	8,029.87	992,305.86	829,789.00	162,516.86	0.00	162,516.86
Issue Date: 13-May-00 Rate: 8.55% Yield to Maturity: 2.335% Maturity Date: 15-May-19							
DUPONT FABROS TECHNOLOGY L P 8 5 DUE 12-15-2017 CUSIP 268131AC4							
250,000.000	109.25	944.44	273,125.00	252,827.50	20,297.50	0.00	20,297.50
Issue Date: 15-Jun-10 Rate: 8.50% Call Date: 15-Dec-13 Call Price: 104.25 Yield to Maturity: 6.308% Maturity Date: 15-Dec-17							
EMDEON INC 11% DUE 12-31-2018 CUSIP 28094TAA2							
300,000.000	115.50	91.65	346,500.00	304,187.50	42,312.50	0.00	42,312.50
Issue Date: 30-Jun-12 Rate: 11.00% Call Date: 31-Dec-15 Call Price: 105.50 Yield to Maturity: 7.733% Maturity Date: 31-Dec-19							

Northern Trust

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Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		
Shares/PAR value					Market	Translation	Total

Corporate bonds

United States - USD

ENERGYSOLUTIONS INC / ENERGYSOLUTIONS LLC SR NT 10 75 8-15-2018 CUSIP 292757A87

450,000.00	54.50	18,274.99	425,250.00	444.0500	-18,856.00	0.00	-18,855.00
Issue Date: 15 Feb 11	Rate: 10.75%	Call Date: 15 Aug 14	Call Price: 105.38	Yield to Maturity: 8.782%	Maturity Date: 15 Aug 18		

ENTERTAINMENT PPTYS TR SR NT 7 75 DUE 07-15-2020 CUSIP 29380TAS4

150,000,000	115,5728	5,360.41	173,350.35	147,435.00	25,924.35	0.00	25,924.35
Issue Date: 15 Jan 11	Rate: 7.75%	Yield to Maturity: 4.282%	Maturity Date: 15 Jul 20				

EVEREST ACQUISITION LLC / EVEREST ACQUIS 375 05-01-2020 BEO CUSIP 29977HAB6

225,000,000	112.75	3,515.62	253,887.50	225,030.00	26,687.50	0.00	28,687.50
Issue Date: 01 Nov 12	Rate: 9.375%	Call Date: 01 May 16	Call Price: 104.69	Yield to Maturity: 8.43%	Maturity Date: 01 May 20		

EXPRESS LLC / 8.75% DUE 03-01-2018 CUSIP 30218AAB8

275,000.000	108.25	8,020.83	297,887.50	275,151.62	22,535.98	0.00	22,535.98
Issue Date: 21 Sep 10	Rate: 8.75%	Call Date: 01 Mar 14	Call Price: 104.38	Yield to Maturity: 8.603%	Maturity Date: 01 Mar 18		

FORD MTR CR CO LLC 5.75% DUE 02-01-2021 CUSIP 345397VR1

1 400 000 000	115,1326	33 541 66	1 611 856 40	1,478,750 00	133 106,40	0 00	133 106 40
Issue Date 07 Feb 1*	Rate 5.75%	Yield to Maturity 3.447%	Maturity Date 01 Feb 21				

GEN ELEC CAP CORP 4 625 DUE 01-07-2021 REG CUSIP . 38962G4Y7

200,000.000	113.4296	4,470.83	226,859.20	207,132.00	19,727.20	0.00	19,727.20
Issue Date: 07 Jan 11	Rate: 4.625%	Yield to Maturity: 2.567%	Maturity Date: 07 Jan 21				

GEN ELEC CAP CORP 5.5% DUE 01-06-2020 CUSIP 38062G4J0

525,090.000	118.3711	13.878.04	621,448.27	538,571.00	81.877.27	0.00	81.877.27
Issue Date: 08 Jan 10	Rate: 5.50%	Yield to Mat: 4.2228%	Maturity Date: 08 Jan 20				

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Account number Multiple Accounts

31 DEC 12

2820554,2616396,2664065,2669026,2600231,2616398,2691432,2691428,KKF03

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◆ Asset Detail - Base Currency

Description / Asset ID		Exchange rate/ local market price		Accrued income/expense		Market Value	Cost	Unrealized gain/loss		Total
Investment Mgr ID								Market	Translation	
Shares/PAR value										
Fixed Income										
Corporate bonds										
United States - USD										
HEALTH MGMT ASSOCS INC NEW SR NT 7 375 01-15-2020 CUSIP 421933AL6										
250 000 000		108 00		8,501 73		270,000 00	250,000 00	20 000 00	0 00	20 000 00
Issue Date	15 Jul 12	Rate	7 375%	Call Date	15 Jan 16	Call Price	103.69	Yield to Maturity	5 397%	Maturity Date 15 Jan 20
HEALTHNET INC SR NT 6.375% DUE 06-01-2017/05-18-2007 CUSIP 422248AA2										
200 000 000		106 125		1,062 50		212,250 00	197 274 00	14 976 00	0 00	14 976 00
Issue Date	18 May 07	Rate	6.375%	Yield to Maturity	4 19%	Maturity Date	01 Jun 17			
HOLLY ENERGY 8 25% DUE 03-15-2019 CUSIP 435765AD4										
500,000 000		108 75		12,145.83		543 750 00	515 000 00	28 750 00	0 00	28 750 00
Issue Date	15 Mar 11	Rate	8 25%	Call Date	15 Mar 14	Call Price	104 13	Yield to Maturity	8 229%	Maturity Date 15 Mar 18
HORIZON LINES L L 11% DUE 10-15-2016 CUSIP 44049HAG8										
448,000 300		95 00		10,403.55		443,520 00	448,000 00	-4 480.00	0 00	-4,480 00
Issue Date	15 Oct 12	Rate	11 00%	Yield to Maturity	10.996%	Maturity Date	15 Oct 16			
HUGHES SATELLITE 5 5% DUE 06-15-2019 CUSIP 444454AB8										
250 000 000		110 25		722 22		275 825 00	258,281 25	17 343 75	0 00	17 343 75
Issue Date	15 Dec 11	Rate	5 50%	Yield to Maturity	4 384%	Maturity Date	15 Jun 19			
HUNTINGTON INGALLS 6 875% DUE 03-15-2018 CUSIP 446413AB2										
425,000 300		108 75		8 603 29		462,187 50	403 750 00	58 437 50	0 00	58,437 50
Issue Date	15 Sep 11	Rate	6 875%	Call Date	15 Mar 15	Call Price	103 44	Yield to Maturity	4 426%	Maturity Date 15 Mar 18
ICAHN ENTERPRISES 8% DUE 01-15-2018 CUSIP 451102AH0										
1/5 000,000		107 375		6 455 55		187,906.25	179 375 00	8,531 25	0 00	8,531 25
Issue Date	15 Jul 10	Rate	8 00%	Call Date	15 Jan 14	Call Price	104 00	Yield to Maturity	6,139%	Maturity Date 15 Jan 18

Northern Trust

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◆ Asset Detail - Base Currency

Description / Asset ID	Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		Total
						Market	Translation	
Fixed Income								
Corporate bonds								
United States - USD								
INFOR US INC 0 375 DUE 04-01-2019 CUSIP 456724AB7								
	425 000 000	112.25	9 980.93	477,062.50	429 062.50	48,000.00	0.00	48,000.00
Issue Date	01 Oct 12	Rate 9.375%	Call Date 01 Apr 15	Call Price 107.03	Yield to Maturity 8.487%	Maturity Date 01 Apr 19		
INTEGRA TELECOM 10 75% DUE 04-15-2016 CUBIP 45823WAA7								
	225 000.000	104.50	5,106.24	235 125.00	225 000.00	10,125.00	0.00	10,125.00
Issue Date	29 Apr 10	Rate 10.75%	Call Date 15 Apr 14	Call Price 102.89	Maturity Date 15 Apr 16			
INTL LEASE FIN 8 75% DUE 03 15-2017 CUSIP 459745GG4								
	250 000 000	115.60	8,440.97	288,750.00	277,187.50	11 562.50	0.00	11,562.50
Issue Date	15 Mar 11	Rate 8.75%	Yield to Maturity 3.155%	Maturity Date 15 Mar 17				
INTL LEASE FIN 8 875% DUE 09-01-2017 CUSIP 459745GE9								
	250 000 000	117.509	7 395.83	293 770.00	272 500.00	21,270.00	0.00	21 270.00
Issue Date	20 Aug 10	Rate 6.875%	Yield to Maturity 3.515%	Maturity Date 01 Sep 17				
IPAYMENT INC 10 25 DUE 05-15-2018 CUSIP 46262EAE5								
	225,000 000	80.25	2,948.87	180 562.50	225 000.00	-44 437.50	0.00	-44,437.50
Issue Date	15 Nov 11	Rate 10.25%	Call Date 15 May 15	Call Price 105.13	Yield to Maturity 12.574%	Maturity Date 15 May 18		
IRON MOUNTAIN INC DEL 5 75 DUE 08-15-2024 CUSIP 46284PAP9								
	175 000 000	101.25	3,941.14	177,187.50	175 000.00	2 187.50	0.00	2 187.50
Issue Date	10 Aug 12	Rate 5.75%	Call Date 15 Aug 17	Call Price 102.86	Yield to Maturity 5.391%	Maturity Date 15 Aug 24		
IRON MTH INC DEL 7 75% DUE 10-01-2019 CUSIP 46284PAN4								
	225,000 000	112.75	4,359.37	253 687.50	225,572.50	28 115.00	0.00	28 115.00
Issue Date	23 Sep 11	Rate 7.75%	Call Date 01 Oct 15	Call Price 103.88	Yield to Maturity 5.331%	Maturity Date 01 Oct 19		

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Account number Multiple Accounts

31 DEC 12

2620554,2616396,2664065,2669026,2600231,2616398,2691432,2691428,KKF03

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◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID

Shares/PAF value

Exchange rate/
local market price

Accrued
income/expense

Market Value

Cost

Unrealized gain/loss
Translation

Total

Fixed Income

Corporate bonds

United States - USD

LIBERTY MEDIA CORP 6.5% DUE 07-15-2029 CUSIP 530715AD3

112,000,000	108.25	4,389.77	121,240.00	98,320.00	24,920.00	0.00	24,920.00
Issue Date 07 Jul 99	Rate 6.50%	Call Date 14 Jul 29	Call Price 100.00	Yield to Maturity 7.132%	Maturity Date 15 Jul 29		

LINN ENERGY LLC LINN ENERGY FIN CORP SR NT 8.625 DUE 04-15-2020 CUSIP 530022AC0

150,000,000	109.00	2,731.24	163,500.00	148,328.00	17,172.00	0.00	17,172.00
Issue Date 15 Oct 10	Rate 8.625%	Call Date 15 Apr 15	Call Price 104.31	Yield to Maturity 6.498%	Maturity Date 15 Apr 20		

MACYS INC 6.9% DUE 04-01-2029 CUSIP 31410HAQ4

175,000,300	120.8528	3,018.75	211,142.40	203,812.00	7,330.40	0.00	7,330.40
Issue Date 24 Mar 99	Rate 5.90%	Yield to Maturity 4.753%	Maturity Date 01 Apr 29				

MAGNUM HUNTER RES 9.75 BDS 05/15/20 USD1000 CUSIP 55973BAC6

375,000,000	103.75	4,671.87	389,082.50	382,500.00	6,582.50	0.00	6,582.50
Issue Date 15 Nov 12	Rate 9.75%	Call Date 15 May 18	Call Price 104.88	Yield to Maturity 9.181%	Maturity Date 15 May 20		

MANTECH INTL CORP 7.25% DUE 04-15-2018 CUSIP 564563AB0

500,000,000	106.25	7,652.77	531,250.00	514,937.50	16,312.50	0.00	16,312.50
Issue Date 13 Apr 10	Rate 7.25%	Call Date 15 Apr 14	Call Price 103.63	Yield to Maturity 6.725%	Maturity Date 15 Apr 18		

MAY DEPT STORES CO 6.7% DUE 08-15-2028 CUSIP 577778BH5

350,000,000	116.2573	6,904.72	405,900.55	351,743.00	55,157.55	0.00	55,157.55
Issue Date 28 Sep 95	Rate 6.70%	Yield to Maturity 5.026%	Maturity Date 15 Sep 28				

MAY DEPT STORES CO 6.9% DUE 01-15-2032 CUSIP 577778BQ5

600,000,000	119.3811	19,090.00	716,286.80	322,500.00	393,786.80	0.00	393,786.80
Issue Date 11 Jan 02	Rate 6.50%	Yield to Maturity 5.252%	Maturity Date 15 Jan 32				

Northern Trust

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Account number Multiple Accounts

31 DEC 12

2620554,2618396,2664065,2669026,2600231,2616398,2691432,2691428,KKF03

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◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		Total
Shares/PAR value					Market	Translation	

Fixed Income

Corporate bonds

United States - USD

WPM ESCR LLC 7.875% DUE 10-15-2020 CUSIP 55336TAA3

300,000,000	101.00	4,681.21	303,000.00	300,000.00	3,000.00	0.00	3,000.00
Issue Date 25 Oct 12 Rate 6.875% Call Date 15 Oct 15 Call Price 108.66 Maturity Date 15 Oct 20							

NATIONSTAR MTG LLC 7.875% DUE 10-01-2020 CUSIP 63860JAG5

300,000,000	105.50	6,365.62	316,500.00	302,093.75	14,406.25	0.00	14,406.25
Issue Date 24 Sep 12 Rate 7.875% Call Date 01 Oct 16 Call Price 103.94 Yield to Maturity 5.856% Maturity Date 01 Oct 20							

NEWS AMER HLDGS 6.4% DUE 12-15-2035 CUSIP 852482BL3

200,000,000	124.1349	568.86	248,269.80	229,568.00	18,701.80	0.00	18,701.80
Issue Date 23 Dec 05 Rate 6.40% Yield to Maturity 4.482% Maturity Date 15 Dec 35							

NEWS AMER INC 6.65% DUE 11-15-2037 CUSIP 852482BQ2

175,000,000	129.2193	1,487.01	228,133.77	187,335.75	38,798.02	0.00	38,798.02
Issue Date 14 Nov 07 Rate 6.65% Yield to Maturity 4.852% Maturity Date 15 Nov 37							

NORDSTROM INC 6.95% DUE 03-15-2028 CUSIP 655664AH3

225,000,000	131.6716	4,604.37	296,281.10	244,113.75	52,167.35	0.00	52,167.35
Issue Date 16 Mar 98 Rate 6.95% Yield to Maturity 3.776% Maturity Date 15 Mar 28							

OMEGA HEALTHCARE 5.875% DUE 03-15-2024 CUSIP 681895AZ3

200,000,000	106.00	3,459.72	212,000.00	200,000.00	12,000.00	0.00	12,000.00
Issue Date 15 Sep 12 Rate 5.875% Call Date 15 Mar 17 Call Price 102.94 Yield to Maturity 4.774% Maturity Date 15 Mar 24							

PAETEC HLDG CORP 6.875% DUE 06-30-2017 CUSIP 685459AD9

250,000,000	107.25	61.83	268,125.00	251,320.00	16,805.00	0.00	16,805.00
Issue Date 28 Jun 09 Rate 6.875% Call Date 30 Jun 13 Call Price 104.44 Maturity Date 30 Jun 17							

Northern Trust

*Generated by Northern Trust from reviewed periodic data on 24 May 13 B003

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Account number: 10000000000000000000

205542610398150400528630262100214710388288472897321KKF03

◆ Assct Detail - Base Currency

Description / Asset ID

Investment Mgr ID

Exchange rate/
local market priceAccrued
income/expense

Market Value

Cost

Market

Unrealized gain/loss

Translation

Total

Fixed Income

Corporate bonds

United States - USD

PHIBRO ANIMAL HLTH 9.25% DUE 07-01-2018 CUSIP 717420AQ9

350,000,000	100.00	16,167.50	350,000.00	305,445.00	44,555.00	0.00	44,555.00
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Issue Date	09 Jul 10	Rate	9.25%	Call Date	01 Jul 14	Call Price	104.63	Yield to Maturity	7.301%	Maturity Date	01 Jul 18
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PRESTIGE BRANDS 8.25% DUE 04-01-2018 CUSIP 74112BAE5

400,000,000	110.875	8,250.00	443,500.00	413,552.25	29,947.75	0.00	29,947.75
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Issue Date	24 Mar 10	Rate	8.25%	Call Date	01 Apr 14	Call Price	104.13	Yield to Maturity	6.131%	Maturity Date	01 Apr 18
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PVTPAL ALERE INC SR NT 144A 7.25% DUE 07-01-2018/12-11-2012 BEC CUSIP 01449JAF2

275,000,000	100.25	1,107.83	275,887.50	276,031.25	-343.75	0.00	-343.75
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Issue Date	11 Dec 12	Rate	7.25%	Call Date	15 Dec 15	Call Price	103.63	Yield to Maturity	5.505%	Maturity Date	01 Jul 18
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PVTPAL ALERIS INTL INC SR NT 7.875% DUE 11-01-2020 BEC CUSIP 014477AN3

500,000,000	100.00	7,437.50	500,000.00	500,000.00	0.00	0.00	0.00
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Issue Date	23 Oct 12	Rate	7.875%	Call Date	01 Nov 15	Call Price	105.91	Maturity Date	01 Nov 20
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PVTPAL ALTEGRITY INC 12 DUE 11-01-2015 BEC CUSIP 02152FAC2

525,000,000	100.625	10,500.00	528,281.25	526,281.25	2,000.00	0.00	2,000.00
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Issue Date	03 Aug 10	Rate	12.00%	Call Date	03 Feb 14	Call Price	100.00	Maturity Date	01 Nov 15
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PVTPAL BLOCK COMMUNICATIONS INC 144A 7.252-1-2020 CUSIP 09364SAG4

175,000,000	108.25	5,286.45	185,837.50	175,000.00	10,837.50	0.00	10,837.50
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Issue Date	27 Jan 12	Rate	7.25%	Call Date	01 Feb 16	Call Price	103.63	Yield to Maturity	5.542%	Maturity Date	01 Feb 20
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PVTPAL C&S GROUP ENTERPRISES LLC SR SECN NT 04/27/2010 144A 8.375% DUE 05-01-2017 CUSIP 12467AAA6

170,000,000	105.75	2,372.91	179,775.00	162,350.00	17,425.00	0.00	17,425.00
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Issue Date	27 Apr 10	Rate	8.375%	Call Date	01 May 14	Call Price	104.19	Yield to Maturity	6.229%	Maturity Date	01 May 17
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Northern Trust

*Generated by Northern Trust from reviewed periodic data on 24 May 13 B003

◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
Shares/PAR value							

Fixed Income

Corporate bonds

United States - USD

PVTPL CATALENT PHARMA SOLUTIONS INC SR NT 141A 7 875 DUE 10-15-2018 CUSIP 14879EAC2

425 000 000	100.75	9,575.78	428,187.50	425,000.00	3,187.50	0.00	3,187.50
Issue Date 18 Sep 12	Rate 7.875%	Call Date 18 Sep 13	Call Price 101.50	Yield to Maturity 7.449%	Maturity Date 15 Oct 18		

PVTPL CLEAR CHANNEL WORLDWIDE HLDGS INC SR NT SER A 144A 6.5 DUE 11-15-2022 CUSIP 18451QAJ7

425 000 000	102.75	3,222.81	436,667.50	420,750.00	15,937.50	0.00	15,937.50
Issue Date 19 Nov 12	Rate 6.50%	Call Date 15 Nov 17	Call Price 103.25	Yield to Maturity 5.843%	Maturity Date 15 Nov 22		

PVTPL COMPASS INVESTORS INC SR NT 7.75 DUE 01-15-2021/12-27-2012 BEO CUSIP 08276CAA5

475 000 000	98.50	409.02	467,875.00	475,000.00	-7,125.00	0.00	-7,125.00
Issue Date 27 Dec 12	Rate 7.75%	Call Date 15 Jan 16	Call Price 103.88	Yield to Maturity 7.232%	Maturity Date 15 Jan 21		

PVTPL COX COMMUNICATIONS INC NT 144A 9.375% DUE 01-15-2019/12-15-2008 BEO CUSIP 224044BU0

900,000 000	137.4962	38,908.25	1,237,465.80	1,037,080.75	200,385.05	0.00	200,385.05
Issue Date 15 Dec 08	Rate 9.375%	Yield to Maturity 2.236%	Maturity Date 15 Jan 19				

PVTPL FORESIGHT ENERGY LLC / FORESIGHT ENERGY SR NT 144A 9.825 8-15-17 CUSIP 345525AA7

575,000 000	107.30	20,907.83	615,250.00	583,506.25	21,743.75	0.00	21,743.75
Issue Date 12 Aug 10	Rate 9.825%	Yield to Maturity 7.278%	Maturity Date 15 Aug 17				

PVTPL FRESenius MED CARE US FIN II INC 5.975 DUE 01-31-2022 BEO CUSIP 35802XAF0

425 000 000	108.50	10,473.00	461,125.00	425,000.00	36,125.00	0.00	36,125.00
Issue Date 26 Jan 12	Rate 5.875%	Yield to Maturity 3.902%	Maturity Date 31 Jan 22				

PVTPL HARBINGER GROUP INC SR BCD NT 144A 7.875 DUE 07-15-2019/12-24-2012 BEO CUSIP 41146AAD8

550 000 000	99.125	842.18	545,187.50	547,128.00	-1,941.50	0.00	-1,941.50
Issue Date 24 Dec 12	Rate 7.875%	Call Date 15 Jan 16	Call Price 105.91	Yield to Maturity 6.483%	Maturity Date 15 Jul 19		

Northern Trust

*Generated by Northern Trust from reviewed periodic data on 24 May 13 B003

31 DEC 12	2620554125163962864085266902626002312216388269143226914291KIF03
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◆ Asset Detail - Base Currency

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Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
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Fixed Income

Corporate bonds

United States - USD

PVTPL HARLAND CLARKE HLDGS CORP SR SEC2 NT 9.75 DUE 08-01-2018 CUSIP 412690AC3

350,000,000	97.25	14,862.29	340,375.00	336,752.35	3,622.65	0.00	3,622.65
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Issue Date: 24 Jul 12 Rate: 9.75% Call Date: 01 Aug 15 Call Price: 104.88 Yield to Maturity: 7.384% Maturity Date: 01 Aug 18

PVTPL HD SUP INC SR SEC2 1ST PRY NT 8.125 DUE 04-15-2019 CUSIP 40415RAE5

150,000,000	114.00	2,572.81	171,000.00	150,000.00	21,000.00	0.00	21,000.00
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Issue Date: 12 Apr 12 Rate: 8.125% Call Date: 15 Apr 15 Call Price: 106.39 Yield to Maturity: 5.51% Maturity Date: 15 Apr 19

PVTPL HUB INTL LTD SR NT 8.125 DUE 10-15-2018 BEO CUSIP 44332PAA9

550,000,000	132.50	12,785.59	583,750.00	550,000.00	13,750.00	0.00	13,750.00
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Issue Date: 19 Sep 12 Rate: 8.125% Call Date: 15 Oct 14 Call Price: 104.08 Yield to Maturity: 6.445% Maturity Date: 15 Oct 18

PVTPL INNOVATION VENTURES LLC INNOVATION VEN SR SEC2 9.5 DUE 08-15-2019 CUSIP 45779GAA6

300,000,000	94.00	11,785.83	282,000.00	304,125.00	-22,125.00	0.00	-22,125.00
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Issue Date: 02 Aug 12 Rate: 9.50% Call Date: 15 Aug 15 Call Price: 107.13 Yield to Maturity: 12.16% Maturity Date: 15 Aug 19

PVTPL LEGG MASON INC SR NT 5.5 DUE 05-21-2019 BEO CUSIP 524901AQ8

425,000,000	107.8057	2,587.22	458,174.22	420,584.26	37,589.97	0.00	37,589.97
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Issue Date: 21 May 12 Rate: 5.50% Maturity Date: 21 May 19

PVTPL LIN TELEVISION CORP BNDS 1-44A 6.375 DUE 01-15-2021 BEO CUSIP 532776AV3

175,000,000	105.00	2,448.17	183,750.00	175,000.00	8,750.00	0.00	8,750.00
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Issue Date: 12 Oct 12 Rate: 6.375% Call Date: 15 Jan 17 Call Price: 103.19 Yield to Maturity: 5.181% Maturity Date: 15 Jan 21

PVTPL MEDIMPACT HLDGS INC SR SEC2 NT 10.5% DUE 02-01-2018/02-08-2011 BEO CUSIP 584705AA5

450,000,000	110.875	19,667.50	498,937.50	456,750.00	42,187.50	0.00	42,187.50
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Issue Date: 08 Feb 11 Rate: 10.50% Call Date: 01 Feb 15 Call Price: 105.25 Yield to Maturity: 7.568% Maturity Date: 01 Feb 18

Northern Trust

*Generated by Northern Trust from reviewed periodic data on 24 May 13 B003



◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		
Shares/PAR value					Market	Translation	Total

Fixed Income

Corporate bonds

United States - USD

PVTPL NEFF RENT LLC / NEFF FIN CORP SR 05-15-2016/05-13-2011 BEQ CUSIP 540098ADS

250 000 000	103 50	3,074 85	258,750 00	248 781 25	9 968 75	0 00	9,968 75
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Issue Date 13 May 11 Rate 9.625% Call Date 15 May 14 Call Price 103 51 Yield to Maturity 7.027% Maturity Date 15 May 16

PVTPL PHYSIO-CTL INTL CORP SR SEC2 NT 8.875 DUE 01-15-2019 CUSIP 719431AA6

500 000 000	103 75	22,767 36	548,750 00	533,250 00	15 500 00	0 00	15,500 00
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Issue Date 30 Jan 12 Rate 9.875% Call Date 15 Jan 15 Call Price 107 41 Yield to Maturity 8.816% Maturity Date 15 Jan 19

PVTPL PROSPECT MED HLDGS INC SR SEC2 NT 8.375 DUE 05-01-2019 CUSIP 743494AC0

350 000 000	105 25	4,885 41	368 375 00	350,000 00	18,375 00	0 00	18,375 00
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Issue Date 03 May 12 Rate 8.375% Call Date 01 May 15 Call Price 105 28 Yield to Maturity 6.631% Maturity Date 01 May 19

PVTPL REYNOLDS GROUP ISSUER INC/REYNOLDS GROUP SR SEC2 NT 5.75 10-15-2020 CUSIP 761735AN9

550 000 000	103 25	9,555 20	671,125 00	650 000 00	21 125 00	0 00	21,125 00
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Issue Date 28 Sep 12 Rate 5.75% Call Date 15 Oct 15 Call Price 104 31 Yield to Maturity 4.979% Maturity Date 15 Oct 20

PVTPL RURAL / METRO CORP SR NT 144A 10 125 DUE 07-15-2019/06-30-2011 BEQ CUSIP 781749AAA

125 000 000	99 50	5,835 93	120,625 00	115 953 13	4,671 87	0 00	4,671 87
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Issue Date 30 Jun 11 Rate 10 125% Call Date 15 Jul 15 Call Price 105 05 Yield to Maturity 9.416% Maturity Date 15 Jul 18

PVTPL SABRE INC SR SEC2 NT 8.5 DUE 05-15-2019 CUSIP 78571CAA6

350 000 000	106 50	3 801 38	372 750 00	362,250 00	10,500 00	0 00	10,500 00
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Issue Date 09 May 12 Rate 8.50% Call Date 15 May 15 Call Price 106 38 Yield to Maturity 6.236% Maturity Date 15 May 19

PVTPL SAWGRASS MERG SUB 8.75 DUE 12-15-2020 BEQ CUSIP 89236YAA2

550 000 000	100 75	1 470 46	554,125 00	563 750 00	-9 625 00	0 00	-9,625 00
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Issue Date 20 Dec 12 Rate 8.75% Call Date 15 Dec 16 Call Price 104 38 Yield to Maturity 7.778% Maturity Date 15 Dec 20

Northern Trust

*Generated by Northern Trust from reviewed periodic data on 24 May 13 B003

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31 DEC 12

Account number Multiple Accounts

2620554,2616396,2664065,2689026,2600231,2616398,2691432,2691428,KKF03

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◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued Income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
Shares/PAF value							

Fixed Income

Corporate bonds

United States - USD

PVTPL SERVICEMASTER CO SR NT 7 DUE 08-15-2020 CUSIP 81750NASS

200,000,000	100.25	5,055.55	200,500.00	200,000.00	500.00	0.00	500.00
Issue Date: 21 Aug 12	Rate: 7.00%	Call Date: 15 Aug 15	Call Price: 105.25	Yield to Maturity: 6.369%	Maturity Date: 15 Aug 20		

PVTPL SHALE-INLAND HLDGS LLC SR SEC2 NT 8.75 DUE 11-15-2019 BEO CUSIP 818204AA6

325,000,000	104.75	4,344.81	340,437.50	323,221.32	17,216.18	0.00	17,216.18
Issue Date: 06 Nov 12	Rate: 8.75%	Call Date: 15 Nov 15	Call Price: 108.56	Yield to Maturity: 7.618%	Maturity Date: 15 Nov 19		

PVTPL SPECTRUM BRANDS ESCROW CORP SR NT 4.44A 5.375 DUE 11-15-2020/11-15-2012 BEO CUSIP 84783BAA4

475,000,000	105.00	3,785.15	498,750.00	481,582.50	17,167.50	0.00	17,167.50
Issue Date: 16 Nov 12	Rate: 5.375%	Call Date: 15 Nov 16	Call Price: 103.19	Yield to Maturity: 4.893%	Maturity Date: 15 Nov 20		

PVTPL SPL LOGISTICS ESCROW LLC 8.875 DUE 08-01-2020 CUSIP 78488VAA0

300,000,000	105.00	11,187.70	318,000.00	300,000.00	18,000.00	0.00	18,000.00
Issue Date: 31 Jul 12	Rate: 8.875%	Call Date: 01 Aug 16	Call Price: 104.44	Yield to Maturity: 7.862%	Maturity Date: 01 Aug 20		

PVTPL SPRINT NEXTEL CORP FORMERLY SPRINTCORP GTD NT 7 DUE 03-01-2020 BEO CUSIP 852061AQ3

275,000,000	118.25	8,418.66	319,887.50	279,125.00	40,562.50	0.00	40,562.50
Issue Date: 01 Mar 12	Rate: 7.00%	Yield to Maturity: 4.628%	Maturity Date: 01 Mar 20				

PVTPL TRANS HLDG CO INC SR PIK TOGGLE NT 8.125 DUE 08-15-2018 CUSIP 89400RAC3

575,000,000	103.25	7,786.45	593,687.50	592,250.00	1,437.50	0.00	1,437.50
Issue Date: 01 Nov 12	Rate: 8.125%	Call Date: 15 Jun 14	Call Price: 104.05	Maturity Date: 15 Jun 18			

PVTPL TRANSDIGM INC SR SUB NT 144A 5.5% DUE 10-15-2020/10-15-2012 BEO CUSIP 883647A00

225,000,000	104.00	2,612.49	234,000.00	225,000.00	9,000.00	0.00	9,000.00
Issue Date: 15 Oct 12	Rate: 5.50%	Call Date: 15 Oct 15	Call Price: 104.13	Yield to Maturity: 4.427%	Maturity Date: 15 Oct 20		

Northern Trust

*Generated by Northern Trust from reviewed periodic data on 24 May 13 8003

31 DEC 12

2620654 2616396 2664066 2669028 2600231 2616396 2691431 2691438 KKF03

◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		Total
Shares/PAR value					Market	Translation	

Fixed Income

Corporate bonds

United States - USD

PVTPL TRUVEN HEALTH 10 625 DUE 06-01-2020 BEQ CUSIP 58514TAA8

350,000 000	108 50	3,098.95	372,760 00	347 707 50	25,042 50	0 00	25,042 50
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Issue Date 05 Jun 12 Rate 10 625% Call Date 01 Jun 16 Call Price 105.31 Yield to Maturity 7 91% Maturity Date 01 Jun 20

PVTPL UNUMPROVIDENT FIN CO PLC BD 144A 6.85% DUE 11-15-2015/11-16-2005 BEQ CUSIP 91529MAA0

100,000 000	113 141 60	875 27	113,141 60	103,156 00	9,985 60	0 00	9 985 60
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Issue Date 16 Nov 05 Rate 6 85% Yield to Maturity 1 626% Maturity Date 15 Nov 15

PVTPL UPCB FIN V LTD SR SECD NT 7 25 DUE 11-15-2021 CUSIP 90320TAA8

400 000 000	110 00	3 705.55	440,000 00	400,000 00	40,000 00	0 00	40,000 00
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Issue Date 16 Nov 11 Rate 7 25% Call Date 15 Nov 16 Call Price 103.63 Yield to Maturity 5.541% Maturity Date 15 Nov 21

PVTPL VIASAT INC SR NT 6 875 DUE 06-15-2020 CUSIP 92552VAG5

575 000 000	104 50	1 756 94	600 875 00	565,875 00	5,000 00	0 00	5,000 00
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Issue Date 15 Jun 12 Rate 6 875% Call Date 15 Jun 16 Call Price 103 44 Maturity Date 15 Jun 20

PVTPL VISKASE COS INC SR SECD NT 144A 9 875% DUE 01-15-2018/12-21-2009 BEQ CUSIP 92831RAK8

225 000 000	102 00	10 245 31	229,500 00	223 436 25	6,063 75	0 00	6 063 75
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Issue Date 21 Dec 09 Rate 9 875% Call Date 15 Jan 14 Call Price 104 94 Yield to Maturity 7.898% Maturity Date 15 Jan 18

REED ELSEVIER CAP 8 625% DUE 01-15-2019 CUSIP 758202AG0

525,000 000	128 9384	20,879 68	676,931 85	533 511 50	143,420 35	0 00	143,420 35
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Issue Date 16 Jan 09 Rate 8 625% Yield to Maturity 2 885% Maturity Date 15 Jan 19

RES-CARE INC SR NT 10 75 DUE 01-15-2019 CUSIP 760943AM2

450,000 000	111 00	22,308 24	499 500 00	497 317 50	2 182 50	0 00	2,182 50
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Issue Date 22 Dec 10 Rate 10 75% Call Date 15 Jan 15 Call Price 105 38 Yield to Maturity 7 873% Maturity Date 15 Jan 19

Northern Trust

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Account number Multiple Accounts

31 DEC 12

2620564,2616396,2664065,2669026,2600231,2616398,2691432,2691428,KKF03

◆ Asset Detail - Base Currency

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Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		Total
Shares/PAR value					Market	Translation	

Fixed Income

Corporate bonds

United States - USD

RYERSON INC 8% DUE 10-15-2017 CUSIP 78375RAA1

175 000 000	102 00	3,543 75	178,500 00	175,000 00	3 500 00	0 00	3,500 00
Issue Date: 10 Oct 12	Rate: 9 00%	Call Date: 15 Apr 15	Call Price: 104 50	Yield to Maturity: 6 634%	Maturity Date: 15 Oct 17		

SERVICEMASTER CO 8 DUE 02-15-2020 CUSIP 81760NAN9

175 000 000	134 25	5,286 88	182 437 50	175,000 00	7,437 50	0 00	7 437 50
Issue Date: 13 Feb 12	Rate: 8 00%	Call Date: 15 Feb 15	Call Price: 108 00	Yield to Maturity: 6 587%	Maturity Date: 15 Feb 20		

SLM CORP 6 25 JAN 2017 CUSIP 78442FEM6

475 000 000	108 25	12,350 00	514,187 50	469 082 50	45 125 00	0 00	45,125 00
Issue Date: 27 Jan 12	Rate: 9 30%	Yield to Maturity: 3 617%	Maturity Date: 25 Jan 17				

SLM CORP MEDIUM 6 45% DUE 06-15-2018 CUSIP 78442FEH7

375 000 000	117 00	1,408 33	438,750 00	387 612 50	71 137 50	0 00	71 137 50
Issue Date: 18 Jun 08	Rate: 8 45%	Yield to Maturity: 4 593%	Maturity Date: 15 Jun 18				

SPRINT NEXTEL CORPORATION 6 DUE 12-01-2016 BEO CUSIP 852061AD2

575 000 000	106 75	2,875 00	625 312 50	526 887 50	98,425 00	0 00	98,425 00
Issue Date: 20 Nov 06	Rate: 6 00%	Yield to Maturity: 3 458%	Maturity Date: 01 Dec 16				

SQUARE TWO FINL 11 625% DUE 04-01-2017 CUSIP 85224CA80

350 000 000	94 75	10 171 87	331 625 00	339 228 02	-7 601 02	0 00	-7 601 02
Issue Date: 01 Apr 11	Rate: 11 625%	Call Date: 01 Apr 14	Call Price: 105 80	Yield to Maturity: 10 425%	Maturity Date: 01 Apr 17		

ST PAUL TRAVELERS COS INC 8D 5.5 DUE 12-01-2015 BEO CUSIP 782860AH1

225 000 000	113 184	1,031 24	254,694 00	213 180 75	41,483 25	0 00	41,483 25
Issue Date: 26 Nov 05	Rate: 5 00%	Yield to Maturity: 0 695%	Maturity Date: 01 Dec 15				

Northern Trust

*Generated by Northern Trust from reviewed periodic data on 24 May 13 B003

◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued Income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
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Fixed Income

Corporate bonds

United States - USD

STREAM GLOBAL SVCS 11.25% DUE 10-01-2014 CUSIP 86323MAB6

400,000.000	104.50	11,250.00	418,000.00	417,675.00	125.00	0.00	125.00
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Issue Date 01 Apr 10 Rate 11.25% Call Date 01 Oct 13 Call Price 100.00 Yield to Maturity 8.567% Maturity Date 01 Oct 14

SUNCOKE ENERGY INC 7.625% DUE 06-01-2019 CUSIP 86722AAC7

465,000.000	103.00	14,773.43	478,950.00	463,837.50	15,112.50	0.00	15,112.50
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Issue Date 26 Jul 11 Rate 7.625% Call Date 01 Aug 14 Call Price 105.72 Yield to Maturity 6.02% Maturity Date 01 Aug 19

TIME WARNER CABLE 8.25% DUE 04-01-2019 CUSIP 88732JAS7

275,000.000	133.0737	5,871.87	365,952.67	280,595.00	85,357.67	0.00	85,357.67
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Issue Date 26 Mar 06 Rate 8.25% Yield to Maturity 2.534% Maturity Date 01 Apr 19

TIME WARNER CABLE 8.75% DUE 02-14-2019 CUSIP 88732JAP3

825,000.000	134.915	20,811.63	843,225.00	671,393.25	171,831.75	0.00	171,831.75
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Issue Date 18 Nov 08 Rate 8.75% Yield to Maturity 2.465% Maturity Date 14 Feb 19

TRAVELERS COS INC SR NT 5.75% DUE 12-15-2017/05-29-2007 CUSIP 88417EAC3

225,000.000	121.4481	575.00	273,258.22	224,410.50	-8,847.72	0.00	-8,847.72
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Issue Date 29 May 07 Rate 5.75% Yield to Maturity 1.155% Maturity Date 15 Dec 17

UN PAC RR CO 4.666% DUE 01-02-2024 CUSIP 90783SAA0

510,940.250	111.447	11,935.30	569,427.58	510,940.25	58,487.33	0.00	58,487.33
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Issue Date 14 May 03 Rate 4.666% Yield to Maturity 2.065% Maturity Date 02 Jan 24

UN PAC RR CO 5.865% DUE 07-02-2030 CUSIP 90783WAA1

772,199.910	120.297	7,939.27	327,448.32	272,199.91	55,248.41	0.00	55,248.41
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Issue Date 27 Jul 06 Rate 5.865% Yield to Maturity 2.56% Maturity Date 02 Jul 30

Northern Trust

*Generated by Northern Trust from reviewed periodic data on 24 May 13 B003

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Account number Multiple Accounts

31 DEC 12

2620554,2616396,2664065,2669026,2600231,2616398,2691432,2691428,KKF03

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◆ Asset Detail - Base Currency

Description / Asset ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		Total
Investment Mgr ID					Market	Translation	
Shares/PAR value							
<i>Fixed Income</i>							
Corporate bonds							
United States - USD							
VECTOR GROUP LTD 11% DUE 08-15-2015 CUSIP 9224CMAVC							
400,000 000	104.125	16,622.22	416,500.00	402,912.50	13,587.50	0.00	13,587.50
Issue Date 15 Feb 10 Rate 11.00% Call Date 15 Aug 13 Call Price 101.83 Maturity Date 15 Aug 15							
WACHOVIA BK NATL ASSN MEDIUM TERM SUB BKTRANCHE # 5B 00008 6 DUE 11-15-2017 CUSIP 92976GAH4							
425,000 000	116.9176	3,268.33	508,649.80	421,812.75	86,837.05	0.00	86,837.05
Issue Date 21 Nov 07 Rate 6.00% Yield to Maturity 1.475% Maturity Date 15 Nov 17							
WELLPOINT INC 5.25% DUE 01-15-2016 CUSIP 94973VAK3							
750,000 000	111.4385	18,156.24	835,788.75	727,185.25	108,603.50	0.00	108,603.50
Issue Date 10 Jan 08 Rate 5.25% Yield to Maturity 1.07% Maturity Date 15 Jun 16							
WESTN AGRIC INS CO SR NT 7.75 DUE 06-01-2018 CUSIP 217203ADC							
175,000 000	105.375	1,130.20	184,406.25	184,428.13	-21.88	0.00	-21.88
Issue Date 01 Dec 06 Rate 7.75% Call Date 01 Jun 13 Call Price 103.88 Yield to Maturity 6.68% Maturity Date 01 Jun 18							
XEROX CORP 6.4% DUE 03-15-2016 CUSIP 984121BP7							
600,000 000	112.667	11,306.66	676,122.00	488,874.25	187,247.75	0.00	187,247.75
Issue Date 20 Mar 06 Rate 6.40% Yield to Maturity 1.86% Maturity Date 15 Mar 16							
XEROX CORP 6.75% DUE 02-01-2017 CUSIP 984121BQ5							
300,000 000	116.3062	8,437.30	348,927.60	309,719.00	39,208.60	0.00	39,208.60
Issue Date 15 Aug 06 Rate 6.75% Yield to Maturity 2.227% Maturity Date 01 Feb 17							
XEROX CORP MEDIUM 7.2% DUE 04-01-2018 CUSIP 984121BA1							
175,000 000	114.8373	3,150.00	200,965.27	184,843.75	16,121.52	0.00	16,121.52
Issue Date 28 Mar 96 Rate 7.20% Yield to Maturity 2.121% Maturity Date 01 Apr 18							

Northern Trust

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Account number Multiple Accounts

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◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
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Fixed Income

Corporate bonds

United States - USD

ZAYO GROUP LLC / 8 125% DUE 31-01-2020 CUSIP 386164AG0

550,000,000	111.25	22,716.14	611,875.00	556,625.00	53,250.00	0.00	53,250.00
Issue Date 28 Jun 12 Rate 8.125% Call Date 01 Jul 15 Call Price 104.06 Yield to Maturity 5.791% Maturity Date 01 Jan 20							
Total USD		1,143,768.62	65,354,223.79	59,378,202.75	5,976,021.04	0.00	5,976,021.04
Total United States		1,143,768.62	65,354,223.79	59,378,202.75	5,976,021.04	0.00	5,976,021.04
Total Corporate Bonds							
69,689,613,830		1,277,717.42	76,444,521.01	70,161,913.40	6,282,607.61	0.00	6,282,607.61

Bank loans

France - USD

OBERTHUR TECHNOLOGIES TERM LN B DUE 11-30-2018 BEO CUSIP LX1235329

497,500,000	99.00	86.40	492,525.00	477,314.25	15,210.75	0.00	15,210.75
Issue Date 30 Mar 12 Maturity Date 30 Nov 18							
Total USD		86.40	492,525.00	477,314.25	15,210.75	0.00	15,210.75
Total France		86.40	492,525.00	477,314.25	15,210.75	0.00	15,210.75

United States - USD

APTALIS PHARMA INCREMENTAL LN DUE 02-10-2017 BEO CUSIP 05464DAJ0

0.000	100.50	4,580.74	0.00	0.00	0.00	0.00	0.00
Issue Date 11 Feb 11 Maturity Date 10 Feb 17							
ARDENT HEALTH SVCS INC DUE 09-15-2015 BEO CUSIP 03979VAF9							
0.000	89.875	72.72	0.00	0.00	0.00	0.00	0.00
Issue Date 15 Mar 10 Maturity Date 15 Sep 15							

Northern Trust

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Account number Multiple Accounts

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◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
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Fixed Income

Bank loans

United States - USD

ARDENT MEDICAL SERVICES INC TERM LOAN (SECOND LIEN 2012) DUE 11-02-2018 BFO CUSIP LX1269203

825 000 000	101 75	0 00	635 937 50	612 729 93	23 207 57	0 00	23 207 57
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Issue Date 19 Nov 12 Maturity Date 02 Nov 15

ASSURAMED TERM LN B DUE 10-19-2019 BFO CUSIP LX1262737

500 000 000	100 6125	3 131 64	504 062 50	485 153 26	8 909 24	0 00	8 909 24
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Issue Date 17 Oct 12 Maturity Date 19 Oct 19

ASURION CORP TERM LN (2ND LIEN) DUE 05-24-2019 BFO CUSIP 04649VAB6

0 000	102 8036	579 62	0 00	0 00	0 00	0 00	0 00
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Issue Date 20 May 11 Maturity Date 24 May 19

BLUE COAT SYSTEMS INC NEW TERM LOAN DUE 02-16-2018 BFO CUSIP LX1262610

569 677 730	100 5417	5 644 36	573 065 29	565 238 71	7 826 58	0 00	7 826 58
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Issue Date 16 Oct 12 Maturity Date 16 Feb 16

CHG COMPANIES INC TERM LN 2ND LIEN DUE 11-13-2020 BFO CUSIP LX1267140

600 000 000	100 50	3 943 75	603 000 00	592 501 52	10 498 48	0 00	10 498 48
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Issue Date 10 Nov 12 Maturity Date 13 Nov 20

COMPUCOM SYS INC TERM LOAN DUE 10-02-2018 BFO CUSIP LX1261036

700 000 000	100 25	3 412 50	701 750 00	685 108 99	6 643 01	0 00	6 643 01
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Issue Date 2 Sep 12 Maturity Date 02 Oct 16

DELTEK SYS INC TERM LOAN FIRST LIEN DUE 10-04-2018 BFO CUSIP LX1256929

625 000 000	100 6563	8 004 17	628 101 87	619 729 26	9 372 61	0 00	9 372 61
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Issue Date 04 Oct 12 Maturity Date 04 Oct 16

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31 DEC 12

2620554, 2618338, 2664065, 2669026, 2600231, 2616398, 2601432, 2691428, KKF03

◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		Total
Shares/PAR value					Market	Translation	

Fixed Income

Bank loans

United States - USD

DS WATERS ENTERPRISES L P 1ST LIEN TERM LOAN DUE 08-29-2017 BEQ CUSIP 2333SPAG7

Shares/PAR value	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Translation	Total
297 790 000	102.50	86.84	305,193.75	291,696.09	13,497.66	0.00	13,497.66

Issue Date: 27 Feb 12 Maturity Date: 29 Aug 17

ENDURANCE INTL GROUP TERM LN B DUE 10-15-2019 BEQ CUSIP 28552BAG4

575 000 300	100.00	4,192.71	575,000.00	569,382.85	5,617.15	0.00	5,617.15
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Issue Date: 31 Oct 12 Maturity Date: 15 Oct 19

FMG RES AUGUST 2006 PTY LTD TERM BANK LOAN DUE 10-18-2017 BEQ CUSIP Q3930AA54

0.000	100.7031	83.64	0.00	0.00	0.00	0.00	0.00
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Issue Date: 12 Oct 12 Maturity Date: 18 Oct 17

GENCORP INC BRIDGE LOAN DUE 10-19-2020 BEQ CUSIP LX1266548

400 000 000	100.00	0.00	400,000.00	400 000.00	0.00	0.00	0.00
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Issue Date: 19 Oct 12 Maturity Date: 19 Oct 20

* Market value based on prices received from an external manager or other client-directed pricing source

GENERIC DRUG HOLDINGS INC CLOSING DATE TERM LN DUE 10-29-2019 BEQ CUSIP 37149FAB7

391 304 250	101.00	2,152.17	395,217.29	387 533.77	7,683.52	0.00	7 683.52
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Issue Date: 04 Oct 12 Maturity Date: 29 Oct 19

GRATON RESORT & CASINO TERM LN B DUE 08-14-2018 BEQ CUSIP LX1254023

350 000 000	102.625	2,362.50	359,167.50	343 437.45	15,730.05	0.00	15,730.05
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Issue Date: 11 Aug 12 Maturity Date: 14 Aug 18

GREENEDEN U S HLDGS II LLC / GENESYS TELTERM LN DUE 01-31-2019 BEQ CUSIP 39479RAC4

0.000	101.0825	79.09	0.00	0.00	0.00	0.00	0.00
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Northern Trust

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Account number Multiple Accounts

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2620554,2616396,2664065,2669026,2600231,2616398,2691432,2691428,KKF03

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◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
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Fixed Income

Bank loans

United States - USD

Issue Date 24 Jan 12 Maturity Date 31 Jan 19

HARVARD DRUG GROUP LLC DELAYED DRAW TERM LN DUE 10-04-2019 BEQ CUSIP LX1263943

58 685 750	100.50	158.76	58,089.22	58,128.85	860.37	0.00	860.37
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Issue Date 15 Oct 12 Maturity Date 04 Oct 19

HEARTLAND DENTAL CARE 2ND LIEN TERM LN DUE 12-20-2019 BEQ CUSIP LX1270813

575 000 000	99.00	0.00	569,250.00	566 436.91	2 841.19	0.00	2,841.19
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Issue Date 20 Dec 12 Maturity Date 20 Dec 19

HEARTLAND DENTAL CARE TERM LN 1ST LIEN DUE 2-21-2018 BEQ CUSIP LX1273605

225,000 000	98.75	0.00	324 187.50	321 765.09	2,422.41	0.00	2,422.41
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Issue Date 20 Nov 12 Maturity Date 21 Dec 18

INC RESEARCH INC TERM LOAN DUE 07-12-2018 BEQ CUSIP LX1202865

320 937 500	100.125	4,617.83	321 336.67	313 305.75	8 032.92	0.00	8,032.92
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Issue Date 11 Jul 11 Maturity Date 12 Jul 16

INTRAVEST BK TERM LN DUE 12-03-2017 BEQ CUSIP LX1268940

300,000 000	100.125	1 108.33	300,375.00	295 577.47	4 797.53	0.00	4,797.53
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Issue Date 03 Dec 12 Maturity Date 03 Dec 17

ISTAR FINANCIAL INC TERM BANK LOAN DUE 10-11-2017 BEQ CUSIP LX1262729

351 497 130	100.9375	1,096.63	354,886.16	358,023.03	6 863.13	0.00	6,863.13
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Issue Date 11 Oct 12 Maturity Date 11 Oct 17

KINETIC CONCEPTS INC TERM LN 8 DUE 05-04-2018 BEQ CUSIP 45480YAN5

0.000	100.925	3,087.78	0.00	0.00	0.00	0.00	0.00
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Northern Trust

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◆ Asset Detail - Base Currency

Description / Asset ID		Exchange rate/ Investment Mgr ID		Accrued		Unrealized gain/loss		
Shares/PAIR value	local market price	income/expense	Market Value	Cost	Market	Translation	Total	
Fixed Income								
Bank loans								
United States - USD								
Issue Date 20 Oct 11 Rate 5.75% Maturity Date 04 May 18								
KRONOS INC TERM LOAN B DUE 10-26-2019 BEC CUSIP 50105JAC1								
0.000	100.75	1,222.22	0.00	0.00	0.00	0.00	0.00	
Issue Date 26 Oct 12 Maturity Date 26 Oct 19								
LONESTAR INTERMEDIATE SUPER HOLDINGS LLC TERM LN DUE 09-02-2019 BEC CUSIP 54238GAB1								
825,000,000	105.825	252.08	871,406.25	832,974.55	38,431.70	0.00	38,431.70	
Issue Date 28 Feb 12 Maturity Date 02 Sep 19								
MISYS PLC TERM LN DUE 12-12-2018 BEC CUSIP 55915EAF4								
374,062,500	100.825	3,991.27	378,400.39	362,840.62	13,559.77	0.00	13,559.77	
Issue Date 24 May 12 Rate 6.00% Maturity Date 12 Dec 18								
ONE CALL MED INC TERM LOAN DUE 08-19-2019 BEC CUSIP 58234PAC3								
450,000,000	100.1867	698.50	450,750.15	441,454.38	9,295.77	0.00	9,295.77	
Issue Date 23 Aug 12 Maturity Date 19 Aug 19								
PL PROPYLENE LLC (PETROLOGISTICS) TERM LOAN B DUE 03-27-2017 BEC CUSIP 69341AAC4								
545,875,000	100.875	6,888.97	560,651.40	536,622.72	14,028.68	0.00	14,028.68	
Issue Date 23 Mar 12 Maturity Date 27 Mar 17								
QUINTILES TRANSNATIONAL CORP HOLDCO TERM LOAN DUE 02-24-2017 BEC CUSIP 74877BAB6								
575,000,000	101.125	11,380.21	581,488.75	570,284.00	11,184.75	0.00	11,184.75	
Issue Date 08 Jun 11 Maturity Date 24 Feb 17								
RED PRAIRIE CORP BANK TERM LOAN DUE 06-04-2020 BEC CUSIP LX1273981								
475,000,000	100.825	0.00	477,868.75	465,560.89	12,407.86	0.00	12,407.86	

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31 DEC 12

Account number Multiple Accounts

2620564 2616398 2684065 2659026 260023 2616398 2631432 2691428 11103

◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		Total
Shares/PAR value					Market	Translation	

Fixed Income

Bank loans

United States - USD

Issue Date 23 Nov '12 Maturity Date 04 Jun 20

REDPRARIE CORP TERM LN B DUE 12-14-2018 BEO CUSIP LX1273973

403 000 300	96 575	0 00	399,500 00	392,056.36	7,443 64	0 00	7,443 64
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Issue Date 06 Aug 12 Maturity Date 14 Dec 18

REGIONALCARE HOSP TERM LN DUE 11-04-2018 BEO CUSIP 74933RAB3

569 481 500	100.00	126 55	569 491 50	559,578.51	9,912 89	0 00	9,912 89
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Issue Date 11 Nov '11 Maturity Date 04 Nov 18

RESIDENTIAL CAP LLC TLA2 DUE 11-18-2013 BEO CUSIP 74954MAD4

226 000 000	100 75	464 06	226,687 50	223,339 01	3,348 49	0 00	3,348 49
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Issue Date 01 Jun 12 Maturity Date 16 Nov 13

REVLON NON-CONTRIBUTED LOAN DUE 10-08-2014 BEO CUSIP 761520AT2

275 000 000	100 50	4 090 62	276,375 00	275 000 00	1,375 00	0 00	1,375 00
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Issue Date 27 Apr 12 Maturity Date 08 Oct 14

ROCKET SOFTWARE INC TERM LOAN B (FIRST LIEN) DUE 02-02-2018 BEO CUSIP 77313DAC5

616 750 000	100 30	98 93	620,606 25	615,784 39	4,821 86	0 00	4,821 86
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Issue Date 02 Feb 12 Maturity Date 08 Feb 18

SAMSON INVESTMENT CO TERM LOAN B DUE 09-19-2018 BEO CUSIP LX1259246

325 000 000	100 7292	325 00	327 369 90	322,676.58	4,693 32	0 00	4,693 32
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Issue Date 20 Sep 12 Maturity Date 19 Sep 18

SRA INTL INC TERM LN B DUE 07-22-2018 BEO CUSIP 78466RAC7

575 000 000	95 125	9,551 39	546,968 75	564,110.00	-17 141 25	0 00	-17 141 25
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Northern Trust

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Account number Multiple Accounts

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◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
Shares/PAR value							

Fixed Income

Bank loans

United States - USD

Issue Date 12 Jul 11 Maturity Date 20 Jul 18

TERM BANK LOAN DUE 10-09-2013 BEO CUSIP LX1270342

375,000,000	100.00	0.00	375,000.00	375,000.00	0.00	0.00	0.00
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Issue Date 09 Oct 12 Maturity Date 09 Oct 13

* Market value based on prices received from an external manager or other client directed pricing source

TRUVEN HEALTH ANALYTICS INC TERM LN B DUE 05-25-2019 BEO CUSIP 87789PAB3

473,812,500	100.00	6,610.87	473,812.50	465,568.20	8,246.30	0.00	8,246.30
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Issue Date 02 Oct 12 Maturity Date 25 May 19

US RENAL CARE INC TERM LOAN (SECOND LIEN) DUE 01-03-2020 BEO CUSIP 90324LAH6

226,000,000	100.75	64.06	226,687.50	220,793.80	5,893.70	0.00	5,893.70
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Issue Date 11 Jun 12 Maturity Date 03 Jan 20

US RENAL CARE INC TERM LOAN B 2012 DUE 07-03-2019 BEO CUSIP 90324LAG8

273,625,000	101.25	47.50	277,045.31	269,808.40	7,236.91	0.00	7,236.91
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Issue Date 25 Jun 12 Maturity Date 03 Jul 19

VCPH HLDNGS CORP/WOLVERINE HLTHCR TL B (1ST LIEN) DUE 08-6-2019 BEO CUSIP LX1241640

3,000	100.22	444.20	0.00	0.00	0.00	0.00	0.00
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Issue Date 25 May 12 Maturity Date 05 Jun 19

VMG ACQUISITION CORP TERM LOAN B DUE 11-01-2018 BEO CUSIP 92829LAK4

0.000	101.0417	1,509.38	0.00	0.00	0.00	0.00	0.00
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Issue Date 25 Oct 12 Maturity Date 01 Nov 18

Total USD		95,859.89	15,248,732.15	14,979,169.34	269,562.81	0.00	269,562.81
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Northern Trust

*Generated by Northern Trust from reviewed periodic data on 24 May 13 B003

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31 DEC 12

2620554, 2616396, 2664065, 2663026, 2600231, 2616398, 2691432, 2691428, KKF03

◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		Total
Shares/PAR value					Market	Translation	

Fixed Income

Bank loans

Total United States		95,859.89	15,248,732.15	14,879,169.34	269,562.81	0.00	269,562.81
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Total Bank Loans

15,653,278.860		96,946.29	15,741,267.16	15,456,483.59	284,773.56	0.00	284,773.56
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Government mortgage backed securities

United States - USD

FEDERAL HOME LN MTG CORP POOL #G01749 5.5% 01-01-2035 BEO CUSIP 31283H5J0

786,194.410		106.6842	3,520.89	634,905.94	634,631.29	-25.35	0.00	-25.35
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Issue Date 01 Dec 04 Rate 5.50% Yield to Maturity 3.853% Maturity Date 01 Jan 35

FEDERAL HOME LN MTG CORP POOL #G02385 6% 11-01-2036 BEO CUSIP 3128LXUJ7

663,744.030		108.9811	3,418.72	745,517.6	756,071.34	-10,916.58	0.00	-10,916.58
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Issue Date 01 Oct 06 Rate 6.00% Yield to Maturity 3.002% Maturity Date 01 Nov 36

FEDERAL HOME LN MTG CORP POOL #G0-3484 6% 11-01-2037 BEO CUSIP 3128M5FD7

440,560.980		108.8248	2,202.95	479,472.23	477,352.79	2,119.44	0.00	2,119.44
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Issue Date 01 Oct 07 Rate 6.00% Yield to Maturity 2.575% Maturity Date 01 Nov 37

FEDERAL HOME LN MTG CORP POOL #G0-6020 5.5% 12-01-2039 BEO CUSIP 3128M8AV8

605,328.570		106.0279	3,695.67	871,080.14	874,614.85	-3,554.71	0.00	-3,554.71
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Issue Date 01 Oct 10 Rate 5.50% Yield to Maturity 2.558% Maturity Date 01 Dec 39

FEDERAL HOME LN MTG CORP POOL #G11452 6.5% 04-01-2018 BEO CUSIP 31283KTH1

193,534.180		107.7908	994.14	197,832.93	193,141.05	4,691.88	0.00	4,691.88
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Issue Date 01 Sep 03 Rate 6.50% Yield to Maturity 1.926% Maturity Date 01 Apr 18

FEDERAL HOME LN MTG CORP POOL #G11564 6.5% 06-01-2017 BEO CUSIP 31283KNZ7

299,267.040		108.4636	1,821.02	324,595.80	316,651.83	7,933.87	0.00	7,933.87
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Northern Trust

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31 DEC 12

Account number Multiple Accounts

2620554;2616398;2684065;2689026;2600231;2616387;2691432;2691432;KIF003

◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ Local market price	Accrued Income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
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Fixed Income

Government mortgage backed securities

United States - USD

Issue Date 01 May 04 Rate 6.50% Yield to Maturity 1.413% Maturity Date 01 Aug 17

FEDERAL HOME LN MTG CORP POOL #G50340 6%06-01-2027 BEQ CUSIP 3128CULV8

448,545,610	108.8873	2,232.72	486,231.45	450,138.89	36,092.56	0.00	36,092.56
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Issue Date 01 Aug 07 Rate 6.00% Yield to Maturity 2.719% Maturity Date 01 Aug 27

FEDERAL HOME LN MTG CORP POOL #H09070 6.5% 06-01-2037 BEQ CUSIP 3128UNC77

177,272,540	110.7936	960.22	196,406.92	179,072.96	17,333.96	0.00	17,333.96
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Issue Date 01 Sep 07 Rate 6.50% Yield to Maturity 3.213% Maturity Date 01 Sep 37

FEDERAL HOME LN MTG CORP POOL #H09117 6.5% 11-01-2037 BEQ CUSIP 3128UNDW9

208,645,440	110.7936	1,130.16	231,165.79	211,840.31	19,325.48	0.00	19,325.48
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Issue Date 01 Nov 07 Rate 6.50% Yield to Maturity 3.598% Maturity Date 01 Nov 37

FEDERAL HOME LN MTG CORP POOL #H09152 6.5% 02-01-2038 BEQ CUSIP 3128UNEZ1

364,348,980	109.4954	1,973.55	398,830.98	375,208.29	23,622.67	0.00	23,622.67
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Issue Date 01 Mar 08 Rate 6.50% Yield to Maturity 3.714% Maturity Date 01 Feb 38

FEDERAL HOME LN MTG CORP POOL #H0-9212 5.5% 05-01-2038 BEQ CUSIP 3128UNGVB

163,853,860	107.3092	750.99	175,830.29	174,197.16	1,633.13	0.00	1,633.13
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Issue Date 01 Aug 10 Rate 5.50% Yield to Maturity 2.885% Maturity Date 01 May 38

FEDERAL NATL MTG ASSN GTD MTG POOL #AL0778 6.5% 01-01-2049 BEQ CUSIP 3138EG2L7

146,801,440	110.028	794.06	161,302.83	162,544.34	-1,241.71	0.00	-1,241.71
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Issue Date 01 Oct 11 Rate 6.50% Yield to Maturity 2.126% Maturity Date 01 Jan 49

FEDERAL NATL MTG ASSN GTD MTG POOL #AL0901 6% 01-01-2038 BEQ CUSIP 3136EHAB8

282,141,000	108.2311	1,410.70	305,364.30	308,503.55	-3,139.25	0.00	-3,139.25
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Northern Trust

*Generated by Northern Trust from reviewed periodic data on 24 May 13 B003

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Account number Multiple Accounts

12620554 12618338 2684055 2689028 2600211 2616338 2681432 2681428 KAF03

◆ Asset Detail - Base Currency

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Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		
Shares/PAR value					Market	Translation	Total

Fixed Income

Government mortgage backed securities

United States - USD

Issue Date: 01 Sep 11 Rate: 5.00% Yield to Maturity: 3.173% Maturity Date: 01 Jul 38

FEDERAL NATL MTG ASSN GTD MTG POOL #AL2687 4% 01-01-2C27 BEO CUSIP 3138EJ629

1,018,200.320	107.112	3,394.00	1,090,614.72	1,093,133.50	-2,518.78	0.00	-2,518.78
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Issue Date: 21 Nov 12 Rate: 4.00% Yield to Maturity: 1.312% Maturity Date: 01 Jan 27

FHLMC GOLD 007074 5 5 01-01-2040 CUSIP 3128M9FP2

1,354,342.520	110.715	6,207.40	1,498,460.32	1,498,241.43	1,218.89	0.00	1,218.89
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Issue Date: 01 Jul 12 Rate: 5.50% Yield to Maturity: 2.13% Maturity Date: 01 Jan 40

FHLMC GOLD G05966 5 04-01-2038 CUSIP 3126M7TX4

535,858.480	105.825	2,678.29	582,930.34	586,040.35	16,889.95	0.00	16,889.95
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Issue Date: 01 Oct 09 Rate: 5.00% Yield to Maturity: 2.915% Maturity Date: 01 Apr 38

FHLMC GOLD G05645 5 5 02-01-2040 CUSIP 3128M9BN1

289,478.260	108.0279	1,326.77	312,717.30	315,983.64	-3,266.34	0.00	-3,266.34
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Issue Date: 01 Apr 12 Rate: 5.50% Yield to Maturity: 2.556% Maturity Date: 01 Feb 40

FHLMC POOL #101315 ADJ RT 09-01 2037 CUSIP 31285N67

348,590.740	105.6856	3,532.38	378,957.93	378,003.08	854.84	0.00	854.84
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Issue Date: 01 Nov 11 Rate: 5.00% Yield to Maturity: 1.494% Maturity Date: 01 Sep 37

FHLMC POOL #101400 ADJ RT 07-01-2036 CUSIP 312655RV8

1,513,303.940	107.2789	14,856.60	1,628,819.76	1,655,425.77	-26,606.01	0.00	-26,606.01
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Issue Date: 01 May 12 Rate: 5.871% Yield to Maturity: 1.849% Maturity Date: 01 Jul 35

FNMA 7 5 11-25-2040 CUSIP 31356S7A3

711,384.150	113.7358	4,446.21	809,108.82	776,864.64	32,245.18	0.00	32,245.18
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Generated by Northern Trust from reviewed periodic data on 24 May 13 B003

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Account number Multiple Accounts

2620554,2616396,2664065,2669026,2600231,2618398,2691432,2691428,KKF03

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◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued Income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
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Fixed Income

Government mortgage backed securities

United States - USD

Issue Date 01 Feb 01 Rate 7.50% Yield to Maturity 1.789% Maturity Date 25 Nov 40

FNMA POOL #256686 6 04-01-2037 BEO CUSIP 31371NCX1

859,828,450		108,231	4,194.83	907,978.48	879,168.71	28,809.77	0.00	28,809.77
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Issue Date 01 Mar 07 Rate 5.00% Yield to Maturity 3.393% Maturity Date 01 Apr 37

FNMA POOL #256839 7% 10-01-2037 BEO CUSIP 31371NLU7

340,138,550		119,0231	1,984.14	404,843.44	367,570.67	47,272.77	0.00	47,272.77
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Issue Date 01 Sep 07 Rate 7.00% Yield to Maturity 3.02% Maturity Date 01 Oct 37

FNMA POOL #545621 6.5% 05-01-2017 BEO CUSIP 31385JD21

142,809,670		108,2203	772.47	154,332.82	150,141.43	4,191.39	0.00	4,191.39
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Issue Date 01 Apr 02 Rate 6.50% Yield to Maturity 1.528% Maturity Date 01 May 17

FNMA POOL #555318 4.823% 02-01-2013 BEO CUSIP 31385V320

18,483,950		99,5954	74.29	18,462.85	18,278.88	184.06	0.00	184.06
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Rate 4.823% Maturity Date 01 Feb 13

FNMA POOL #725228 6% 03-01-2034 BEO CUSIP 31402CVV1

1,087,721,350		111,092	5,438.60	1,208,371.40	1,182,047.20	26,324.20	0.00	26,324.20
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Issue Date 01 Feb 04 Rate 8.00% Yield to Maturity 2.827% Maturity Date 01 Mar 34

FNMA POOL #735649 6% 12-01-2032 BEO CUSIP 31402RH28

80,296,780		111,70	401.48	89,681.50	81,043.30	8,648.20	0.00	8,648.20
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Issue Date 01 Jun 05 Rate 6.00% Yield to Maturity 2.329% Maturity Date 01 Dec 32

FNMA POOL #745398 6% 06-01-2035 BEO CUSIP 31403DDB2

226,064,500		111,9702	1,130.27	253,113.97	228,262.07	24,851.80	0.00	24,851.80
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Northern Trust

*Generated by Northern Trust from reviewed periodic data on 24 May 13 B003

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Account number Multiple Accounts

31 DEC 12

2620554,2616396,2664065,2669026,2600231,2616398,2691432,2691428,KKF03

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◆ Asset Detail - Base Currency

Description / Asset ID		Exchange rate/		Accrued		Unrealized gain/loss				
Investment Mgr ID		local market price		income/expense	Market Value	Cost	Market	Translation	Total	
Shares/PAF value										
Fixed Income										
Government mortgage backed securities										
United States - USD										
Issue Date	01 Mar 06	Rate	5.00%	Yield to Maturity	2.378%	Maturity Date	01 Jun 35			
FNMA POOL #745869 6% 03-01-2036 BEO CUSIP 31403DT25										
	189,913,420			111.70	849.56	189,793.29	170,524.03	19,269.26	0.00	19,269.26
Issue Date	01 Sep 06	Rate	6.00%	Yield to Maturity	2.411%	Maturity Date	01 Mar 36			
FNMA POOL #883356 7% 04-01-2037 BEO CUSIP 31410F6B5										
	857,720,630			115.2687	5,003.37	986,168.78	933,307.28	54,861.52	0.00	54,861.52
Issue Date	01 Apr 07	Rate	7.00%	Yield to Maturity	3.027%	Maturity Date	01 Apr 37			
FNMA POOL #883359 7% DUE 03-01-2037 REG CUSIP 31410F6E0										
	594,339,240			115.2088	3,486.97	684,731.10	595,830.95	23,900.15	0.00	23,900.15
Issue Date	01 Apr 07	Rate	7.00%	Yield to Maturity	3.027%	Maturity Date	01 Mar 37			
FNMA POOL #883560 6.11-01-2035 BEO CUSIP 31410GE90										
	515,542,290			111.6998	2,577.71	575,858.70	511,434.06	64,425.64	0.00	64,425.64
Issue Date	01 Jul 07	Rate	6.00%	Yield to Maturity	2.438%	Maturity Date	01 Nov 35			
FNMA POOL #883631 6% 08-01-2022 BEO CUSIP 31410GHG1										
	285,002,240			109.6931	1,425.01	313,197.78	287,065.23	26,102.56	0.00	26,102.56
Issue Date	01 Aug 07	Rate	6.00%	Yield to Maturity	1.813%	Maturity Date	01 Aug 22			
FNMA POOL #883673 6% 04-01-2035 BEO CUSIP 31410GJ83										
	251,832,060			111.971	1,258.28	281,777.32	250,944.28	30,833.04	0.00	30,833.04
Issue Date	01 Aug 07	Rate	6.00%	Yield to Maturity	2.383%	Maturity Date	01 Apr 35			
FNMA POOL #883670 5.5% 06-01-2023 BEO CUSIP 31410KMT8										
	975,288,170			111.054	4,459.87	1,083,074.31	983,954.14	99,120.17	0.00	99,120.17

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*Generated by Northern Trust from reviewed periodic data on 24 May 13 B003

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		
Shares/PAR value					Market	Transition	Total

Government mortgage backed securities

Issue Date 01 Jun 08 Rate 5.50% Yield to Maturity 4.058% Maturity Date 01 Jun 23

FNMA POOL #880229 5% 07-01-2025 BEO CUSIP 31410LA69

1 060, 98 610	108 8927	4,454 99	1,164,279 45	1,167,097 33	-2 817 88	0 00	-2,817 88
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Issue Date: 01 Jul 10 Rate: 5.00% Yield to Maturity: 1.952% Maturity Date: 01 Jul 25

FNMA POOL #968066 6% 10-01-2022 BEO CUSIP 31414J9B4

707 227,540	112 0466	3 536.13	792,424.41	722 335.11	70,389.30	0.00	70,389.30
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Issue Date 01 Dec 07 Rate 5.00% Yield to Maturity, 1.53% Maturity Date 01 Oct 22

FNMA POOL #984262 6% 08-01-2023 BEO CUSIP 3-415MMKE

977 610 850	113 252	4,868 09	1 107 174 03	997 753 25	109,390 77	3 00	109 390 77
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Issue Date 01 May 08 Rate: 5.00% Yield to Maturity 0.771% Maturity Date 01 Jun 23

FNMA POOL #993724 5% 08-01-2038 BEO CUSIP 31415Y4H9

475,981.870	139,481.1	2,379.90	521,110.18	521,846.36	-536.20	0.00	-536.20
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Issue Date 01 Oct 08 Rate 5.00% Yield to Maturity 2.692% Maturity Date 01 Aug 38

FNMA POOL #995525 6% '2-01-2038 BEO CUSIP 31416B4J4

389 112 340	109 5436	1,845 56	428,247 68	423,524 46	2 723 20	0 00	2,723 20
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Issue Date 01 Mar 09 Rate 6.03% Yield to Maturity 2.543% Maturity Date 01 Dec 38

FNMA POOL #ADD130 6.5% 08-01-2039 BEO CUSIP 31419MEC2

173,074 690	112 2704	937 48	194 311,64	188 380 97	5,930 67	0,00	5 930 67
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Issue Date 01 Aug 09 Rate 6.50% Yield to Maturity 2.432% Maturity Date 01 Aug 39

FNMA POOL 44AD2494 5.5% 08-01-2037 BEO CUSIP .31418MRQ7

768 170 090	109 9029	1,779 11	426 610 18	413,461 80	13 148,38	0 00	13 148 38
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*Generated by Northern Trust from reviewed periodic data on 24 May 13 B003

◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued Income/expense	Market Value	Cost	Unrealized gain/loss		Total
Shares/PAR value					Market	Translation	
<i>Fixed Income</i>							
Government mortgage backed securities							
United States - USD							
Issue Date 01 Dec 09 Rate 5.50% Yield to Maturity 2.554% Maturity Date 01 Aug 37							
FNMA POOL #AE0099 5.5% 10-01-2036 BEO CUSIP 31419ADD6							
457 335 180	110.0505	2,733.61	536,314.80	525,903.18	10,411.62	0.00	10,411.62
Issue Date 01 Jun 10 Rate 5.50% Yield to Maturity 2.485% Maturity Date 01 Oct 36							
FNMA POOL #AE0104 5.5% 04-01-2037 BEO CUSIP 31419ADJ3							
881 962 310	105.886	4,088.16	980,168.46	959,835.07	20 333.39	0.00	20 333.39
Issue Date 01 Jun 10 Rate 5.50% Yield to Maturity 2.506% Maturity Date 01 Apr 37							
FNMA POOL #AE0467 5.5% 03-01-2040 BEO CUSIP 31419AQV2							
369 632 680	108.1505	1,831.64	432,204.74	430 791.65	1,413.19	0.00	1,413.19
Issue Date 01 Oct 10 Rate 5.50% Yield to Maturity 1.565% Maturity Date 01 Mar 24							
FNMA POOL #AE0616 6% 03-01-2040 BEO CUSIP 31419AVJ3							
150 502 160	105.481	752.51	164,771.27	164 954.48	-183.21	0.00	-183.21
Issue Date 01 Dec 10 Rate 6.00% Yield to Maturity 2.702% Maturity Date 01 Mar 40							
FNMA PREASSIGN 00'19 6.04-25-2045 CUSIP 31393CEY5							
425 586 660	111.7459	2,127.93	475 575.86	445 336.74	30,239.12	0.00	30 239.12
Issue Date 01 Apr 03 Rate 6.00% Yield to Maturity 0.756% Maturity Date 25 Apr 13							
FNMA SERIES 2001-V3 CLASS-A 7.09-25-2041 CUSIP 3139216F0							
195 569 350	118.3539	1 140.82	231 464.64	212 498.87	18,965.67	0.00	18,965.67
Issue Date 01 Oct 01 Rate 7.00% Yield to Maturity 0.383% Maturity Date 25 Sep 41							
SMALL BUSINESS ADMIN GTD DEV PARTN CTF SER 2006-2CG CL-1 DUE 07-01-2026 BEO CUSIP 83162CQK2							
329 855 560	115.3519	10 011.11	380 484.49	347 276.09	33,218.60	0.00	33,218.60

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Account number Multiple Accounts

31 DEC 12

2620554,2616396,2664065,2669026,2600231 2616398,2691432,2691428,KKF03

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◆ Asset Detail - Base Currency

Description / Asset ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Unrealized gain/loss		Total
Investment Mgr ID					Market	Translation	
Shares/PAR value							
<i>Fixed Income</i>							
Government mortgage backed securities							
United States - USD							
Issue Date 12 Jul 08 Rate 5.07% Call Date 01 Jul 13 Call Price 102.43 Maturity Date 01 Jul 28							
Total USD		136,301.24	26,697,219.49	25,813,108.66	884,110.81	0.00	884,110.81
Total United States		136,301.24	26,697,219.49	25,813,108.66	884,110.81	0.00	884,110.81
Total Government Mortgage Backed Securities							
24,191,536.120		136,301.24	26,697,219.49	25,813,108.66	884,110.81	0.00	884,110.81
Asset backed securities							
United States - USD							
BURL NORTON SANTA FE RY CO PASS THRU TR SER 1999-2 MTG 7.57 1-3-21 BEO SF 7-3-00 CUSIP 12189PAF9							
337,449.840		116.762	31,521.37	977,823.18	1,005,556.09	-25,732.91	-28,732.91
Issue Date 25 Sep 99 Rate 7.57% Maturity Date 02 Jan 21							
CHABESERIES 2012-6 NT CLA 54 DUE 10-6-2017 CUSIP 161571FR0							
510,300.000		99.9119	423.15	908,198.29	910,150.79	-952.50	-952.50
Issue Date 21 Nov 12 Rate 0.54% Call Date 15 Oct 15 Call Price 100.00 Yield to Maturity 0.549% Maturity Date 16 Oct 17							
PVTP LLM PRIVATE ED LN TR 2012-8 CL A-2 3.75 DUE 12-16-2030 CUSIP 78448XAB1							
650,000.000		136.686	1,005.33	693,472.00	649,933.25	43,538.75	43,538.75
Issue Date 12 Apr 12 Rate 3.45% Maturity Date 15 Oct 30							
SMALL BUSINESS ADMIN GTD DEV PARTN CTF 2006-20E PARTN CTF 0% DUE 05-01-2026 REG CUSIP 83162CQG1							
314,504.750		115.9802	3,076.90	364,763.23	314,504.75	50,258.48	50,258.48
Issue Date 17 May 06 Rate 5.66666% Maturity Date 01 May 26							
UNP RR CO 1998 PASS TRST 5.7 DUE 02-23-2019 CUSIP 907833AE7							
57,818.770		114.315	1,372.80	65,866.69	59,707.46	6,159.23	6,159.23

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◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Translation	Total
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Fixed Income

Asset backed securities

United States - USD

Issue Date 30 Apr 98 Rate 5.70% Yield to Maturity 1.527% Maturity Date 23 Feb 19

Total USD		37,399.35	3,011,123.59	2,940,852.34	70,271.25	0.00	70,271.25
Total United States		37,399.35	3,011,123.59	2,940,852.34	70,271.25	0.00	70,271.25
Total Asset Backed Securities							
2,769,573.360		37,399.35	3,011,123.59	2,940,852.34	70,271.25	0.00	70,271.25
Total Fixed Income							
134,814,349.410		1,673,770.22	146,048,588.67	137,085,870.96	8,962,717.71	0.00	8,962,717.71

All Other

Recoverable taxes

USD - United States dollar

	1.00	2,692.31	0.00	0.00	0.00	0.00	0.00
Total recoverable taxes - all currencies		2,692.31	0.00	0.00	0.00	0.00	0.00
Total recoverable taxes - all countries		2,692.31	0.00	0.00	0.00	0.00	0.00
Total Recoverable taxes							
0.000		2,692.31	0.00	0.00	0.00	0.00	0.00
Total All Other							
0.000		2,692.31	0.00	0.00	0.00	0.00	0.00

Cash and Cash Equivalents

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Keck

Account number Multiple Accounts

31 DEC 12

2620554,2616396,2664065,2669028,2600231,2616398,2691432,2691428,KKF03

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◆ Assct Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued income/expense	Market Value	Cost	Market	Unrealized gain/loss Transition	Total
Shares/PAR value							

Cash and Cash Equivalents

Funds - short term investment

USD - KECK CUSTOM SHORT TERM INVT FD

1.00	-15,460.88	51,606,271.29	51,606,271.29	0.00	0.00	0.00
Total funds - short term investment - all currencies						
	-15,460.88	51,606,271.29	51,606,271.29	0.00	0.00	0.00
Total funds - short term investment - all countries						
	-15,460.88	51,606,271.29	51,606,271.29	0.00	0.00	0.00
Total Funds - Short Term Investment						
51,606,271.290	-15,460.88	51,606,271.29	51,606,271.29	0.00	0.00	0.00

Invested cash

USD - United States dollar

1.00	0.00	50,398.29	50,398.29	0.00	0.00	0.00
Total invested cash - all currencies						
	0.00	50,398.29	50,398.29	0.00	0.00	0.00
Total invested cash - all countries						
	0.00	50,398.29	50,398.29	0.00	0.00	0.00
Total Invested cash						
50,398.290	0.00	50,398.29	50,398.29	0.00	0.00	0.00
Total Cash and Cash Equivalents						
51,656,669.580	-15,460.88	51,656,669.58	51,656,669.58	0.00	0.00	0.00

Adjustments To Cash

Pending trade purchases

Northern Trust

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◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID	Exchange rate/ local market price	Accrued Income/expense	Market Value	Cost	Unrealized gain/loss		Total
Shares/PAF value					Market	Translation	

Adjustments To Cash

Pending trade purchases

USD - United States dollar

	1.00	0.00	-3,743,541.55	-3,743,541.55	0.00	0.00	0.00
Total pending trade purchases - all currencies		0.00	-3,743,541.55	-3,743,541.55	0.00	0.00	0.00
Total pending trade purchases - all countries		0.00	-3,743,541.55	-3,743,541.55	0.00	0.00	0.00
Total Pending trade purchases 0.000		0.00	-3,743,541.55	-3,743,541.55	0.00	0.00	0.00

Pending trade sales

USD - United States dollar

	1.00	0.00	1,852,262.46	1,852,262.46	0.00	0.00	0.00
Total pending trade sales - all currencies		0.00	1,852,262.46	1,852,262.46	0.00	0.00	0.00
Total pending trade sales - all countries		0.00	1,852,262.46	1,852,262.46	0.00	0.00	0.00
Total Pending trade sales 0.000		0.00	1,852,262.46	1,852,262.46	0.00	0.00	0.00

Other payables

USD - United States dollar

	1.00	0.00	-37,150.27	-37,150.27	0.00	0.00	0.00
Total other payables - all currencies		0.00	-37,150.27	-37,150.27	0.00	0.00	0.00
Total other payables - all countries		0.00	-37,150.27	-37,150.27	0.00	0.00	0.00

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31 DEC 12

Account number Multiple Accounts

2620554 2816398 2664065 2669026 2600231 2616336 2661432 2661428 KKF03

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◆ Asset Detail - Base Currency

Description / Asset ID

Investment Mgr ID

Exchange rate/
local market price

Accrued
income/expense

Market Value

Cost

Unrealized gain/loss

Shares/PAR value

local market price

income/expense

Market Value

Cost

Market

Translation

Total

Adjustments To Cash

Total Other Payables

0.000

0.00

-37,160.27

-37,160.27

0.00

0.00

0.00

Total Adjustments To Cash

0.000

0.00

-1,928,429.36

-1,928,429.36

0.00

0.00

0.00

Total

194,332,757.990

1,908,576.675

2,460,914,166.75

403,005,689.11

78,808,471.54

0.00

76,808,471.44

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