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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

2012

Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation THE JULIA STEARNS DOCKWEILER CHARITABLE FOUNDATION		A Employer identification number 95-4830299
Number and street (or P.O. box number if mail is not delivered to street address) P.O. BOX 7597 DOCKWEILER STATION	Room/suite	B Telephone number (909) 394-1276
City or town, state, and ZIP code LOS ANGELES, CA 90007		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 7,177,059.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		238,615.	238,615.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		63,640.			
b Gross sales price for all assets on line 6a		2,454,090.			
7 Capital gain net income (from Part IV, line 2)			63,640.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales, less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		302,255.	302,255.		
13 Compensation of officers, directors, trustees, etc.		88,000.	44,000.		17,600.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 2		11,853.	0.		0.
b Accounting fees STMT 3		23,800.	19,900.		3,900.
c Other professional fees STMT 4		60,410.	57,390.		3,020.
17 Interest					
18 Taxes STMT 5		5,327.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		7,237.	0.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		196,627.	121,290.		24,520.
25 Contributions, gifts, grants paid		337,500.			337,500.
26 Total expenses and disbursements. Add lines 24 and 25		534,127.	121,290.		362,020.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<231,872.>			
b Net investment income (if negative, enter -0-)			180,965.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	15,753.	3,877.	3,877.
	2 Savings and temporary cash investments	51,585.	128,851.	128,851.
	3 Accounts receivable ▶ 1,923.			
	Less: allowance for doubtful accounts ▶		1,923.	1,923.
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 7	1,186,592.	959,528.	1,006,026.
	b Investments - corporate stock STMT 8	3,606,240.	3,578,925.	4,306,652.
	c Investments - corporate bonds STMT 9	1,768,456.	1,727,146.	1,729,730.
	11 Investments - land, buildings, and equipment, basis ▶ Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment, basis ▶ Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers)	6,628,626.	6,400,250.	7,177,059.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ DEFERRED INCOME)	2,745.	5,041.	
	23 Total liabilities (add lines 17 through 22)	2,745.	5,041.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	7,095,502.	7,095,502.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	<469,621.>	<700,293.>	
	30 Total net assets or fund balances	6,625,881.	6,395,209.	
	31 Total liabilities and net assets/fund balances	6,628,626.	6,400,250.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,625,881.
2 Enter amount from Part I, line 27a	2	<231,872.>
3 Other increases not included in line 2 (itemize) ▶ PRIOR PERIOD ADJUSTMENT	3	1,200.
4 Add lines 1, 2, and 3	4	6,395,209.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	6,395,209.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CHARLES SCHWAB (NOM. - 6114-2844)	P	VARIOUS	VARIOUS
b CHARLES SCHWAB (NOM. - 6114-2844)	P	VARIOUS	VARIOUS
c CIL	P		
d CAPITAL GAINS DIVIDENDS			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 684,680.		690,405.	<5,725.>
b 1,767,826.		1,700,026.	67,800.
c 16.		19.	<3.>
d 1,568.			1,568.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			<5,725.>
b			67,800.
c			<3.>
d			1,568.
e			

2 Capital gain net income or (net capital loss)	2	63,640.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	349,099.	7,307,419.	.047773
2010	339,035.	7,165,293.	.047316
2009	364,253.	6,921,711.	.052625
2008	390,951.	8,498,207.	.046004
2007	192,737.	7,912,124.	.024360

2 Total of line 1, column (d)	2	.218078
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.043616
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	7,267,408.
5 Multiply line 4 by line 3	5	316,975.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,810.
7 Add lines 5 and 6	7	318,785.
8 Enter qualifying distributions from Part XII, line 4	8	362,020.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	1,810.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1,810.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,810.
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	1,530.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,530.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	5.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	285.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ☒ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>LINDA NELSON, CPA</u> Telephone no. ► <u>(909) 394-1276</u> Located at ► <u>1475 PASEO MARAVILLA, SAN DIMAS, CA</u> ZIP+4 ► <u>91773-3908</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a During the year did the foundation (either directly or indirectly):			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A ► ☐	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years ►	☐ Yes ☒ No		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►			
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)
5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

X
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b
Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors
1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		88,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	7,152,106.
b	Average of monthly cash balances	1b	225,973.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	7,378,079.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	7,378,079.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	110,671.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,267,408.
6	Minimum investment return. Enter 5% of line 5	6	363,370.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	363,370.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	1,810.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,810.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	361,560.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	361,560.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	361,560.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	362,020.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	362,020.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,810.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	360,210.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				361,560.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			337,486.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$ 362,020.				
a Applied to 2011, but not more than line 2a			337,486.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				24,534.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				337,026.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
BOOKENDS 2957 S. SEPULVEDA BLVD. LOS ANGELES, CA 90064	NONE	SEC. 501(C)(3)	COMMITMENT TO LITERACY	1,000.
BRaille INSTITUTE 41 N. VERMONT AVE. LOS ANGELES, CA 90029	NONE	SEC. 501(C)(3)	BRaille CHALLENGE OF THE BRaille INST. OF AMERICA	2,000.
CAMBRIDGE IN AMERICA 292 MADISON AVE., 8TH FL. NEW YORK, NY 10017	NONE	SEC. 501(C)(3)	SQUIRE LAW LIBRARY	1,000.
CLAREMONT INSTITUTE FOR THE STUDY OF STATESMANSHIP & POLITICAL PHILOSOPHY 937 W. FOOTHILL BLVD., STE E CLAREMONT, CA 91711	NONE	SEC. 501(C)(3)	UNRESTRICTED	1,000.
DIRECT RELIEF INTERNATIONAL 27 S. LA PATERA LANE SANTA BARBARA, CA 93117	NONE	SEC. 501(C)(3)	UNRESTRICTED	10,000.
Total	SEE CONTINUATION SHEET(S)			3a 337,500.
b Approved for future payment				
NONE				
Total				3b 0.

Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations.)

223821
12-05-12

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

15
Date


 Title

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No**Paid
Preparer
Use Only**

Print/Type preparer's name

MIN HIGA

Preparer's signature

Min. Hgs

Date _____

5/4/13

Check ☐ if
self-employed

PTIN	
------	--

P01396466

Firm's name ► **MARTIN WERBELOW LLP**

Firm's EIN ► 95-1720829

Firm's address ► 300 N LAKE AVE, SUITE 930
PASADENA, CA 91101-4106

Phone no. (626) 577-1440

Form **990-PF** (2012)

**THE JULIA STEARNS DOCKWEILER
CHARITABLE FOUNDATION**

95-4830299

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
EXCEPTIONAL MINDS 13400 RIVERSIDE DR. #211 SHERMAN OAKS, CA 91423	NONE	SEC. 501(C)(3)	UNRESTRICTED	25,000.
FREEDOM ALLIANCE 22570 MARKEY COURT DULLES, VA 20166	NONE	SEC. 501(C)(3)	FREEDOM ALLIANCE SCHOLARSHIP FUND	5,000.
GUIDE DOGS FOR THE BLIND P.O. BOX 151200 SAN RAFAEL, CA 94915	NONE	SEC. 501(C)(3)	VETERINARIAN SERVICES FOR GUIDE DOGS	5,000.
HILLSDALE COLLEGE 33 E. COLLEGE ST. HILLSDALE, MI 49242	NONE	SEC. 501(C)(3)	UNRESTRICTED	2,000.
HOMEBOY INDUSTRIES 130 W. BRUNO STREET LOS ANGELES, CA 90012	NONE	SEC. 501(C)(3)	TATOO REMOVAL PROGRAM	15,000.
HOSPITALER FOUNDATION 2468 S. ST. ANDREWS PLACE LOS ANGELES, CA 90018	NONE	SEC. 501(C)(3)	UNRESTRICTED	10,000.
HOWARD CENTER 934 NORTH MAIN ROAD ROCKFORD, IL 61103	NONE	SEC. 501(C)(3)	UNRESTRICTED	5,000.
HUNTINGTON LIBRARY AND GARDENS 1151 OXFORD ROAD SAN MARINO, CA 91108	NONE	SEC. 501(C)(3)	UNRESTRICTED SUPPORT OF THE HUNTINGTON ARBOREAL RECOVERY PROJECT (HARP)	5,000.
JESUITS CA PROVINCE P.O. BOX 68 LOS GATOS, CA 95031	NONE	SEC. 501(C)(3)	UNRESTRICTED	5,000.
L.A. MUSIC CENTER FOUNDERS 135 N. GRAND AVE. LOS ANGELES, CA 90012-2616	NONE	SEC. 501(C)(3)	UNRESTRICTED	1,000.
Total from continuation sheets				322,500.

**THE JULIA STEARNS DOCKWEILER
CHARITABLE FOUNDATION**

95-4830299

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
L.A. PHILHARMONIC YOLA 151 SOUTH GRAND AVENUE LOS ANGELES, CA 90012	NONE	SEC. 501(C)(3)	UNRESTRICTED	10,000.
LAS MADRINAS 4681 SUNSET BLVD. LOS ANGELES, CA 90027	NONE	SEC. 501(C)(3)	SUPPORT FOR CHILDRENS HOSPITAL LOS ANGELES	12,000.
LIVING DESERT 47900 PORTOLA AVE. PALM DESERT, CA 92260	NONE	SEC. 501(C)(3)	SUPPORT FOR VETERINARY SERVICES DEPARTMENT	5,000.
LOS ANGELES ORPHANAGE GUILD, MARYVALE 1437 CLUB VIEW DR. LOS ANGELES, CA 90024	NONE	SEC. 501(C)(3)	CARE FOR ORPHANS	10,000.
LOYOLA HIGH SCHOOL SCHOLARSHIP 1901 VENICE BLVD. LOS ANGELES, CA 90006-4401	NONE	SEC. 501(C)(3)	SCHOLARSHIP ENDOWMENT	10,000.
LOYOLA MARYMOUNT UNIVERSITY - ARCHIVES AND SPECIAL COLLECTIONS 1 LMU DRIVE, SUITE 2800 LOS ANGELES, CA 90045-2659	NONE	SEC. 501(C)(3)	UNRESTRICTED	5,000.
LOYOLA MARYMOUNT UNIVERSITY - BELLARMINE COLLEGE 1 LMU DRIVE, SUITE 2800 LOS ANGELES, CA 90045-2659	NONE	SEC. 501(C)(3)	LIBERAL ARTS SCHOLARSHIP FUND	5,000.
LOYOLA MARYMOUNT UNIVERSITY HISTORY DEPT. (HENRY DOCKWEILER) 1 LMU DRIVE, SUITE 2800 LOS ANGELES, CA 90045-2659	NONE	SEC. 501(C)(3)	HENRY DOCKWEILER HISTORY AWARD AND LIBERAL ARTS SCHOLARSHIP FUND	1,000.
MARIN BRAIN INJURY NETWORK 1132 MAGNOLIA AVE. LARKSPUR, CA 94939	NONE	SEC. 501(C)(3)	UNRESTRICTED	5,000.
MARYMOUNT HIGH SCHOOL 10643 SUNSET BLVD LOS ANGELES, CA 90077	NONE	SEC. 501(C)(3)	SCHOLARSHIP PROGRAM IN MEMORY OF JULIA STEARNS DOCKWEILER '35	5,000.
Total from continuation sheets				

**THE JULIA STEARNS DOCKWEILER
CHARITABLE FOUNDATION**

95-4830299

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MUSIC ACADEMY OF THE WEST 1070 FAIRWAY ROAD SANTA BARBARA, CA 93108	NONE	SEC. 501(C)(3)	SCHOLARSHIP	25,000.
PASADENA CONSERVANCY OF MUSIC 100 N. HILL AVE. #105 PASADENA, CA 91106	NONE	SEC. 501(C)(3)	UNRESTRICTED	5,000.
RONALD REAGAN LIBRARY FOUNDATION 40 PRESIDENTIAL DRIVE SIMI VALLEY, CA 93065	NONE	SEC. 501(C)(3)	UNRESTRICTED	2,000.
SANTA CLARA UNIVERSITY 500 EL CAMINO REAL SANTA CLARA, CA 95053-1400	NONE	SEC. 501(C)(3)	SCHOLARSHIP	10,000.
SEE INTERNATIONAL 6950 HOLLISTER AVE., SUITE A SANTA BARBARA, CA 93117	NONE	SEC. 501(C)(3)	UNRESTRICTED	20,000.
SISTER SERVANTS OF MARY 2131 WEST 27TH ST. LOS ANGELES, CA 90018-3018	NONE	SEC. 501(C)(3)	UNRESTRICTED	10,000.
ST. VINCENT'S MEDICAL CENTER AUXILARY 2131 WEST THIRD STREET LOS ANGELES, CA 90057-0992	NONE	SEC. 501(C)(3)	CHRISTMAS CELEBRATION, CASA LOS AMIGOS DE SAN VINCENTE, AND UNRESTRICTED	5,000.
U.S. DEPARTMENT OF STATE 2201 C STREET NW WASHINGTON, DC 20520	NONE	SEC. 501(C)(3)	DIPLOMATIC RECEPTION ROOMS	1,000.
USC CARUSO CATHOLIC CENTER 3163 S. HOOVER ST. LOS ANGELES, CA 90007	NONE	SEC. 501(C)(3)	UNRESTRICTED	1,500.
USC DEPT. OF ATHLETICS 3501 WATT WAY LOS ANGELES, CA 90089-8003	NONE	SEC. 501(C)(3)	ATHLETIC SCHOLARSHIPS	10,000.
Total from continuation sheets				

**THE JULIA STEARNS DOCKWEILER
CHARITABLE FOUNDATION**

95-4830299

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
USC LIBRARIES IN SUPPORT OF THE HISTORIC FAMILIES INITIATIVE USC DML 100 LOS ANGELES, CA 90089-0182	NONE	SEC. 501(C)(3)	UNRESTRICTED	5,000.
USC NORRIS CANCER CENTER 1441 EASTLAKE AVE. STE 8302L LOS ANGELES, CA 90089-9181	NONE	SEC. 501(C)(3)	CANCER RESEARCH OF DR. AMY LEE	20,000.
VERBUM DEI HIGH SCHOOL 11100 SOUTH CENTRAL AVE. LOS ANGELES, CA 90059	NONE	SEC. 501(C)(3)	SCHOLARSHIP	25,000.
VINCENTIAN FATHERS & BROTHERS 13663 RIDER TRAIL NORTH EARTH CITY, MO 63045-1512	NONE	SEC. 501(C)(3)	TUITION ASSISTANCE TO SEMINARIAN	15,000.
WOUNDED WARRIOR PROJECT 7020 A.C. SKINNER PARKWAY, SUITE 100 JACKSONVILLE, FL 32256	NONE	SEC. 501(C)(3)	UNRESTRICTED	10,000.
YOUNG AMERICA'S FOUNDATION 110 ELDEN STREET HERNDON, VA 20170	NONE	SEC. 501(C)(3)	SCHOLARSHIP FOR STUDENT	12,000.
Total from continuation sheets				

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES		STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT	
CHARLES SCHWAB #2844	242,415.	1,568.	240,847.	
CHARLES SCHWAB #2844 OID	2,619.	0.	2,619.	
LESS ACCRUED INTEREST PAID	<4,851.>	0.	<4,851.>	
TOTAL TO FM 990-PF, PART I, LN 4	240,183.	1,568.	238,615.	

FORM 990-PF	LEGAL FEES			STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
LEGAL FEES	11,853.	0.		0.	
TO FM 990-PF, PG 1, LN 16A	11,853.	0.		0.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING & BOOKKEEPING	23,800.	19,900.		3,900.	
TO FORM 990-PF, PG 1, LN 16B	23,800.	19,900.		3,900.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
COVINGTON ADVISORY FEES	60,410.	57,390.		3,020.	
TO FORM 990-PF, PG 1, LN 16C	60,410.	57,390.		3,020.	

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES	1,679.	0.		0.	
FILING FEES	85.	0.		0.	
EXCISE TAX	3,563.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	5,327.	0.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OFFICE EXPENSE	4,803.	0.		0.	
POSTAGE & P.O. BOX	260.	0.		0.	
INSURANCE	750.	0.		0.	
BANK FEES	210.	0.		0.	
ADR FEES	174.	0.		0.	
MEMBERSHIP FEES	695.	0.		0.	
TRUSTEE TRAVEL	300.	0.		0.	
DEBIT CARD REFUND	<10.>	0.		0.	
MEETING EXPENSE	55.	0.		0.	
TO FORM 990-PF, PG 1, LN 23	7,237.	0.		0.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	7
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
CHARLES SCHWAB U.S. OBLIGATIONS	X		650,780.	670,234.	
CHARLES SCHWAB MUNI. BONDS		X	308,748.	335,792.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			650,780.	670,234.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			308,748.	335,792.	
TOTAL TO FORM 990-PF, PART II, LINE 10A			959,528.	1,006,026.	

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
CHARLES SCHWAB EQUITIES	3,578,925.	4,306,652.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,578,925.	4,306,652.	

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
CHARLES SCHWAB CORP. BONDS	1,727,146.	1,729,730.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,727,146.	1,729,730.	

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	10
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
CARYL CRAHAN 830 WOODLAND DRIVE SANTA BARBARA, CA 93108	TRUSTEE 1.00	16,000.	0.	0.
C. QUINN BRADY, JR 48-537 VISTA PALOMINO LA QUINTA, CA 92253	TRUSTEE 1.00	16,000.	0.	0.
BETTY BRADY 48-537 VISTA PALOMINO LA QUINTA, CA 92253	TRUSTEE 1.00	16,000.	0.	0.
MICHELLE CRAHAN 156 S. LUCERNE BLVD. LOS ANGELES, CA 90004	TRUSTEE 1.00	16,000.	0.	0.
SEAN CRAHAN 3105 SPARR BLVD. GLENDALE, CA 91208	TRUSTEE 2.00	24,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		88,000.	0.	0.