



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990-PF

Department of the Treasury
Internal Revenue ServiceReturn of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2012

Open to public inspection

For calendar year 2012 or tax year beginning

, and ending

Name of foundation
KLM FOUNDATION

Number and street (or P O box number if mail is not delivered to street address) Room/suite
10100 SANTA MONICA BLVD., STE 610

City or town, state, and ZIP code
LOS ANGELES, CA 90067

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$ 39,977,322.** J Accounting method: ☐ Cash ☒ Accrual
(Part I, column (d) must be on cash basis)

A Employer identification number
95-4682685

B Telephone number
310-551-9936

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	2,842,425.		N/A	
2	Check ☐ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments		164,771.		
4	Dividends and interest from securities	471,647.	528,886.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	324,878.			STATEMENT 1
b	Gross sales price for all assets on line 6a	8,460,501.			
7	Capital gain net income (from Part IV, line 2)		507,421.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income		3,971.		STATEMENT 3
12	Total. Add lines 1 through 11	3,638,950.	1,205,049.		
13	Compensation of officers, directors, trustees, etc	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees STMT 4	71,610.	35,805.		35,805.
b	Accounting fees STMT 5	71,019.	32,747.		32,747.
c	Other professional fees STMT 6	94,081.	242,543.		0.
17	Interest				
18	Taxes STMT 7	96,768.	23,525.		150.
19	Depreciation and depletion				
20	Occupancy	27,640.	13,820.		13,820.
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses STMT 8	62.	31.		31.
24	Total operating and administrative expenses. Add lines 13 through 23	361,180.	348,471.		82,553.
25	Contributions, gifts, grants paid	1,736,175.			1,603,175.
26	Total expenses and disbursements. Add lines 24 and 25	2,097,355.	348,471.		1,685,728.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	1,541,595.			
b	Net investment income (if negative, enter -0-)		856,578.		
c	Adjusted net income (if negative, enter -0-)			N/A	

518

4

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing					
	2 Savings and temporary cash investments			6,368,110.	6,973,696.	6,973,696.
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges			2,281.	2,374.	2,374.
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock	STMT 9		23,943,650.	22,275,072.	22,275,072.
	c Investments - corporate bonds	STMT 10		0.	4,743,041.	4,743,041.
Liabilities	11 Investments - land, buildings, and equipment basis					
	Less accumulated depreciation					
	12 Investments - mortgage loans					
	13 Investments - other	STMT 11		4,744,270.	5,924,759.	5,924,759.
	14 Land, buildings, and equipment basis ▶					
	Less accumulated depreciation					
	15 Other assets (describe ▶ STATEMENT 12)			77,258.	58,380.	58,380.
	16 Total assets (to be completed by all filers)			35,135,569.	39,977,322.	39,977,322.
	17 Accounts payable and accrued expenses					
	18 Grants payable				135,000.	
Net Assets or Fund Balances	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶ STATEMENT 13)			11,767.	79,994.	
	23 Total liabilities (add lines 17 through 22)			11,767.	214,994.	
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☒					
	24 Unrestricted			35,123,802.	39,762,328.	
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☐					
	27 Capital stock, trust principal, or current funds					
	28 Paid-in or capital surplus, or land, bldg., and equipment fund					
	29 Retained earnings, accumulated income, endowment, or other funds					
	30 Total net assets or fund balances			35,123,802.	39,762,328.	
	31 Total liabilities and net assets/fund balances			35,135,569.	39,977,322.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	35,123,802.
2 Enter amount from Part I, line 27a	2	1,541,595.
3 Other increases not included in line 2 (itemize) ▶ SECURITIES - UNREALIZED GAINS	3	3,096,931.
4 Add lines 1, 2, and 3	4	39,762,328.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	39,762,328.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE NORTHERN TRUST STATEMENT #01329			
b SEE NORTHERN TRUST STATEMENT #47711			
c SEE NORTHERN TRUST STATEMENT #47711			
d K-1 GAIN/LOSS	P	VARIOUS	VARIOUS
e CAPITAL GAINS DIVIDENDS			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 43,555.		46,211.	<2,656.>
b 7,603,232.		7,378,857.	224,375.
c 794,024.		793,498.	526.
d		<265,486.>	265,486.
e 19,690.			19,690.
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<2,656.>
b			224,375.
c			526.
d			265,486.
e			19,690.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	507,421.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	1,337,974.	34,933,870.	.038300
2010	1,367,404.	30,569,345.	.044731
2009	1,310,656.	24,224,443.	.054105
2008	1,400,273.	28,283,759.	.049508
2007	1,220,747.	30,041,766.	.040635
2 Total of line 1, column (d)		2	.227279
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		3	.045456
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5		4	36,612,080.
5 Multiply line 4 by line 3		5	1,664,239.
6 Enter 1% of net investment income (1% of Part I, line 27b)		6	8,566.
7 Add lines 5 and 6		7	1,672,805.
8 Enter qualifying distributions from Part XII, line 4		8	1,685,728.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	8,566.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	8,566.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	8,566.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	29,210.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	29,210.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	20,644.	
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ☒ 20,644. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
4b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV.	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>WTAS LLC</u> Telephone no. ► <u>213-593-2300</u> Located at ► <u>400 SOUTH HOPE STREET, SUITE 2000, LOS ANGELES, C</u> ZIP+4 ► <u>90071</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 <u>N/A</u>			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If "Yes," list the years ► _____, _____, _____	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KATHLEEN L. MCCARTHY 10100 SANTA MONICA BLVD., STE 610 LOS ANGELES, CA 90067	TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
IRELL & MANELLA, LLP - 1800 AVENUE OF THE STARS, SUITE 900, LOS ANGELES, CA 90067	LEGAL SERVICES	71,610.
WTAS LLC - 400 SOUTH HOPE STREET, SUITE 2000, LOS ANGELES, CA 90071	ACCOUNTING AND TAX SERVICES	59,019.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
	0.
2	
All other program-related investments. See instructions.	
3 NONE	
	0.
	0.

Total. Add lines 1 through 3

0.

Form 990-PF (2012)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	24,851,817.
b	Average of monthly cash balances	1b	5,916,428.
c	Fair market value of all other assets	1c	6,401,379.
d	Total (add lines 1a, b, and c)	1d	37,169,624.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	37,169,624.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	557,544.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	36,612,080.
6	Minimum investment return. Enter 5% of line 5	6	1,830,604.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,830,604.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	8,566.
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b	27,966.
c	Add lines 2a and 2b	2c	36,532.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,794,072.
4	Recoveries of amounts treated as qualifying distributions	4	3,000.
5	Add lines 3 and 4	5	1,797,072.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,797,072.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,685,728.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,685,728.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	8,566.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,677,162.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				1,797,072.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			1,597,573.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ► \$ 1,685,728.				
a Applied to 2011, but not more than line 2a			1,597,573.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				88,155.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				1,708,917.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SEE ATTACHED STATEMENT A	NONE	501(C)(3)	GENERAL	1,603,175.
Total			3a	1,603,175.
b Approved for future payment				
ST. PAUL THE APOSTLE CATHOLIC COMMUNITY 10750 OHIO AVENUE LOS ANGELES, CA 90024	NONE	501(C)(3)	ENSURING OUR BRIGHT FUTURE CAMPAIGN	100,000.
CALIFORNIA PATRONS OF THE ARTS IN THE VATICAN MUSEUMS 301 NORTH LAKE AVENUE, SUITE 900 PASADENA, CA 91101	NONE	501(C)(3)	RESTORATION OF THE GALLERY OF THE MAPS - SARDINIA #15	35,000.
Total			3b	135,000.

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2012

Name of the organization

Employer identification number

KLM FOUNDATION**95-4682685**

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Name of organization

Employer identification number

KLM FOUNDATION**95-4682685****Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	KATHLEEN L. MCCARTHY CHARITABLE LEAD ANNUITY TRUST 10100 SANTA MONICA BLVD., STE 610 LOS ANGELES, CA 90067	\$ 2,469,166.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
2	LEAVEY CHARITABLE LEAD ANNUITY TRUST 10100 SANTA MONICA BLVD., STE 610 LOS ANGELES, CA 90067	\$ 373,259.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Employer identification number

95-4682685

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed

[illegible]

Name of organization

Employer identification number

KLM FOUNDATION**95-4682685****Part III**

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) **► \$**

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SEE NORTHERN TRUST STATEMENT #01329					
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
43,555.	10,658.	0.	0.	32,897.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SEE NORTHERN TRUST STATEMENT #47711					
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
7,603,232.	7,378,856.	0.	0.	224,376.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SEE NORTHERN TRUST STATEMENT #47711					
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
794,024.	793,713.	0.	0.	311.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
				PURCHASED	VARIOUS	VARIOUS
K-1 GAIN/LOSS						
	0.	<47,604.>	0.		0.	47,604.

CAPITAL GAINS DIVIDENDS FROM PART IV	19,690.
TOTAL TO FORM 990-PF, PART I, LINE 6A	324,878.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	2
-------------	--	-----------	---

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
NORTHERN TRUST	491,337.	19,690.	471,647.
TOTAL TO FM 990-PF, PART I, LN 4	491,337.	19,690.	471,647.

FORM 990-PF	OTHER INCOME	STATEMENT	3
-------------	--------------	-----------	---

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
FOREIGN FUND SERVICING INCOME	0.	3,971.	
TOTAL TO FORM 990-PF, PART I, LINE 11	0.	3,971.	

FORM 990-PF	LEGAL FEES	STATEMENT	4
-------------	------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	71,610.	35,805.		35,805.
TO FM 990-PF, PG 1, LN 16A	71,610.	35,805.		35,805.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	5
-------------	-----------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	71,019.	32,747.		32,747.
TO FORM 990-PF, PG 1, LN 16B	71,019.	32,747.		32,747.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	6
-------------	-------------------------	-----------	---

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CUSTODIAN FEES	70,479.	12,829.		0.
INVESTMENT MANAGER FEES	0.	57,842.		0.
K-1 DEDUCTIONS	0.	148,270.		0.
INVESTMENT ADVISOR FEES	23,602.	23,602.		0.
TO FORM 990-PF, PG 1, LN 16C	94,081.	242,543.		0.

FORM 990-PF

TAXES

STATEMENT

7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAXES	35,024.	0.		0.
TAXES AND LICENSES	150.	0.		150.
FOREIGN TAXES	0.	23,331.		0.
DEFERRED TAX PROVISION	61,400.	0.		0.
INVESTMENT TAXES WITHHELD	194.	194.		0.
TO FORM 990-PF, PG 1, LN 18	96,768.	23,525.		150.

FORM 990-PF

OTHER EXPENSES

STATEMENT

8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	62.	31.		31.
TO FORM 990-PF, PG 1, LN 23	62.	31.		31.

FORM 990-PF

CORPORATE STOCK

STATEMENT

9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
NORTHERN TRUST #2601329 - SEE STMT	14,528,612.	14,528,612.
NORTHERN TRUST #2647711 - SEE STMT	5,993,530.	5,993,530.
NORTHERN TRUST #2681426 - SEE STMT	1,752,930.	1,752,930.
TOTAL TO FORM 990-PF, PART II, LINE 10B	22,275,072.	22,275,072.

FORM 990-PF	CORPORATE BONDS	STATEMENT 10
-------------	-----------------	--------------

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
NORTHERN TRUST #2601329 - SEE STMT	4,743,041.	4,743,041.
TOTAL TO FORM 990-PF, PART II, LINE 10C	4,743,041.	4,743,041.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 11
-------------	-------------------	--------------

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
PARTNERSHIP INVESTMENT - OCM HIGH YIELD PLUS	FMV	94,048.	94,048.
PARTNERSHIP INVESTMENT - ARCHIPELAGO	FMV	4,086,660.	4,086,660.
PARTNERSHIP INVESTMENT - OCM/GFI POWER	FMV	44,329.	44,329.
PARTNERSHIP INVESTMENT - OCM PRIN OPP FUND IV	FMV	409,796.	409,796.
PARTNERSHIP INVESTMENT - OCM OPP FUND VII	FMV	205,805.	205,805.
PARTNERSHIP INVESTMENT - CRESCENT CAPITAL	FMV	1,084,121.	1,084,121.
TOTAL TO FORM 990-PF, PART II, LINE 13		5,924,759.	5,924,759.

FORM 990-PF	OTHER ASSETS	STATEMENT 12
-------------	--------------	--------------

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DEPOSITS	3,385.	3,385.	3,385.
INTEREST & DIVIDEND RECEIVABLE	3,673.	9,995.	9,995.
PREPAID EXCISE TAXES	70,200.	45,000.	45,000.
TO FORM 990-PF, PART II, LINE 15	77,258.	58,380.	58,380.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 13
-------------	-------------------	--------------

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
DEFERRED TAX LIABILITY	9,400.	9,194.
DUE TO BROKER	2,367.	70,800.
TOTAL TO FORM 990-PF, PART II, LINE 22	11,767.	79,994.

KLM FOUNDATION

95-4682685

**STATEMENT ATTACHED TO AND MADE PART OF FORM 990-PF
U.S. RETURN OF PRIVATE FOUNDATION
FOR YEAR ENDED DECEMBER 31, 2012**

Part VII-B, Question 1a(3)

The KLM Foundation, a trustee of the KLM Foundation, and another private foundation share office expenses and equipment based upon usage.

KLM Foundation
EIN: 95-4682685
A Statement Attached to and Made Part of Form 990-PF
For the Year Ended December 31, 2012

Grants and Contributions paid during the year
Statement A: Part XV, 3a

Check #	Organization Name	Amount
CK 3620	AMERICAN DIABETES ASS	\$100 00
CK 3622	LEARNING ALLY	\$1,000 00
CK 3623	GEORGE W BUSH PRESID	\$50 00
CK 3624	YOSEMITE CONSERVANCY	\$100 00
CK 3627	OUR HOUSE	\$100 00
CK 3628	GOOD SHEPHARD CENTER	\$500 00
CK 3629	ST JOHN VIANNEY THEO	\$250 00
CK 3630	ST MARY CATHOLIC	\$250 00
CK 3632	VATICAN OBSERVATORY	\$100 00
CK 3633	ST JOHN'S HEALTH CTR	\$1,000 00
CK 3634	TROJAN LEAGUE OF ORAN	\$100 00
CK 3635	GOOD SHEPHARD CENTER	\$1,000 00
CK 3636	SOCIAL SVC AUXILIARY	\$5,000 00
CK 3638	USC TROJAN LEAGUE LA	\$300 00
CK 3639	CHILDRENS HOSPITAL LA	\$100 00
CK 3640	LIBRARY FNDTN OF LA	\$100 00
CK 3642	MUSIC CENTER	\$2,500 00
CK 3644	10TH ANNUAL LO MAXIMO	\$1,000 00
CK 3645	DOHENY EYE INSTITUTE	\$1,000 00
CK 3646	GOOD SAMARITAN HOSPIT	\$1,000 00
CK 3647	VA MEDICAL CENTER WLA	\$250 00
CK 3648	CORPUS CHRISTI SCHOOL	\$100 00
CK 3651	USC CARUSO CATHOLIC	\$100 00
CK 3652	OPTIONS UNITED	\$1,000 00
CK 3653	WESTERN DOMINICAN PRO	\$250 00
CK 3654	NATURAL HISTORY MUSEU	\$10,000 00
CK 3658	THE PAULIST FATHERS	\$1,000 00
CK 3661	LA CATHOLIC PRAYER BR	\$1,000 00
CK 3667	HOOVER INSTITUTION	\$1,000 00
CK 3672	WESTERN DOMINICAN PRO	\$100 00
CK 3673	TROJAN LEAGUE OF LA	\$100 00
CK 3674	VFW	\$25 00
CK 3677	JUNIOR LEAGUE OF LA	\$500 00
CK 3678	KECK HOSPITAL OF USC	\$100 00
CK 3680	LOS ANGELES ORPHANAGE	\$100 00
CK 3681	MISSION DOCTORS AUXIL	\$100 00
ck 3682	SANTA TERESITA	\$5,000 00
CK 3683	GOOD SHEPHERD SHELTER	\$1,000 00
CK 3684	VERBUM DEI HIGH SCHOO	\$250 00
CK 3685	SOAR	\$300 00
CK 3687	LES AMIES-CII	\$200 00
CK 3688	MADD	\$1,000 00
CK 3689	AFRICAN WILDLIFE FDTN	\$5,000 00
CK 3690	CHILDRENS HOSPITAL LA	\$10,000 00

CK 3691	USC ATHLETICS	\$100 00
CK 3692	RESTORATIVE JUSTICE	\$1,000 00
CK 3693	RIGHT TO LIFE LEAGUE	\$1,000 00
CK 3694	OUR HOUSE	\$1,500 00
CK 3696	INT'L LIFE SERVICES	\$500 00
CK 3697	CA HOSPITAL MEDICAL	\$300 00
CK 3698	TOGETHER IN MISSION	\$2,500 00
CK 3701	USC THORTON SCHOOL OF	\$250 00
CK 3706	WOODSTOCK THEOLOGICAL	\$1,000 00
CK 3707	JESUIT VOLUNTEER CORP	\$1,000 00
CK 3708	ALSC	\$100 00
CK 3709	THE COLLEAGUES	\$150 00
CK 3710	THE HOSPITALIER FDTN	\$100 00
CK 3712	AMERICAN CANCER SOCIE	\$5,000 00
CK 3713	AMERICAN DIABETES ASS	\$1,000 00
CK 3714	AMERICAN HEART ASSOC	\$1,000 00
CK 3715	AMERICAN RED CROSS	\$1,000 00
CK 3716	ARBOR DAY FOUNDATION	\$1,000 00
CK 3717	ASSISTANCE LEAGUE OF	\$5,000 00
CK 3718	ASPCA	\$2,000 00
CK 3719	CA SCIENCE CENTER	\$25,000 00
CK 3720	CA STATE PARKS FDTN	\$1,000 00
CK 3721	CARE	\$1,000 00
CK 3722	CASA OF LOS ANGELES	\$2,500 00
CK 3723	CATHEDRAL OF OUR LADY	\$1,000 00
CK 3724	CATHOLIC EDUCATION	\$100,000 00
CK 3725	CATHOLIC RELIEF SRVCS	\$5,000 00
CK 3726	CHILDHELP	\$1,000 00
CK 3727	CITY OF HOPE	\$2,500 00
CK 3731	CHILDREN'S BUREAU	\$1,000 00
CK 3732	SAINT ROSE ACADEMY	\$1,000 00
CK 3733	COVENANT HOUSE	\$1,000 00
CK 3734	DAUGHTERS OF MARY & J	\$1,000 00
CK 3735	THE DEL MAR FDTN	\$1,000 00
CK 3736	DOCTORS WITHOUT BORDE	\$5,000 00
CK 3737	DOLORES MISSION SCHOO	\$10,000 00
CK 3738	DOMINICAN SISTERS VIS	\$1,000 00
CK 3739	DOMINICAN SCHOOL OF P	\$1,000 00
CK 3740	EQUESTRIAN ORDER OF	\$15,000 00
CK 3741	FINCA	\$1,000 00
CK 3742	FREEDOMS FOUNDATION	\$5,000 00
CK 3743	FRIENDS OF THE ARCHIV	\$1,000 00
CK 3744	GEORGETOWN	\$25,000 00
CK 3745	GLAZA ZOO FUND	\$2,500 00
CK 3746	GOOD SHEPHERD CTR	\$1,500 00
CK 3747	GOODWILL SOUTHERN CA	\$1,000 00
CK 3748	TROOPSDIRECT	\$1,000 00
CK 3749	THE COLLEAGUES	\$50,000 00
CK 3750	HOLY FAMILY SERVICES	\$1,000 00
CK 3751	CA PATRONS OF THE VA	\$35,000 00
CK 3752	HOMEBOY INDUSTRIES	\$5,000 00
CK 3753	HOSPITALER FOUNDATIO	\$2,500 00
CK 3754	HOUSE OF PRAYER FOR P	\$1,000 00
CK 3755	INT'L LIFE SERVICES	\$500 00

CK 3756	IEDF, INC	\$1,000 00
CK 3757	JOHN WAYNE CANCER INS	\$5,000 00
CK 3758	JUNIOR LEAGUE OF LA	\$1,000 00
CK 3759	KAPPA ALPHA THETA FDN	\$5,000 00
CK 3760	L'ARCHE DAYBREAK	\$1,000 00
CK 3761	LADIES OF CHARTIY	\$100 00
CK 3762	LAS MADRINAS	\$25,000 00
CK 3763	LAY MISSION-HELPERS	\$1,000 00
CK 3764	LIBRARY FDTN OF LA	\$2,000 00
CK 3765	LIFESAVERS WILD HORSE	\$1,000.00
CK 3766	LINCOLN TRANING CTR	\$1,000 00
CK 3767	LIVE & LET LIVE FARM	\$1,000 00
CK 3768	LOYOLA HS OF LA	\$25,000 00
CK 3769	LOYOLA MARYMOUNT UNIV	\$50,000 00
CK 3771	BARLOW RESPIRATORY	\$1,000 00
CK 3772	CATHOLIC CHARITIES US	\$1,000 00
CK 3773	THE COLLEAGUES	\$250 00
CK 3774	USC NORRIS AUXILIARY	\$1,000 00
CK 3775	GOOD SAMARITAN HOSPIT	\$1,000 00
CK 3776	CARMELITE MONASTERY	\$1,000 00
CK 3777	MARLBOROUGH SCHOOL	\$2,500 00
CK 3778	MARY & JOSEPH RETREAT	\$1,000 00
CK 3779	MAYCREST MANOR	\$2,500 00
CK 3780	MARYMOUNT HIGH SCHOOL	\$500,000 00
CK 3781	MARYVALE	\$1,000 00
CK 3782	MEALS ON WHEELS WEST	\$1,000 00
CK 3783	MEDICAL MISSION SISTE	\$1,000 00
CK 3784	MEND	\$2,500 00
CK 3785	MILES JESU (INDIA)	\$1,000 00
CK 3786	MISSION DOCTORS ASSOC	\$1,000 00
CK 3787	NATIONAL PARK FDTN	\$2,500 00
CK 3788	NATIONAL TRUST FOR HI	\$1,000 00
CK 3790	USC ROSSIER SCHOOL OF	\$1,000 00
CK 3791	ARAM PETER KEZIRAN JR	\$100 00
CK 3792	USC LIBRARIES	\$25,000 00
CK 3793	OPICA	\$1,500 00
CK 3794	ORDER OF MALTA	\$25,000 00
CK 3795	KNIGHTS OF ST GREGORY	\$15,000 00
CK 3796	PARA LOS NINOS	\$2,500 00
CK 3797	PAULIST FATHERS	\$4,000 00
CL 3798	PAULIST PRODUCTIONS	\$10,000 00
CK 3799	PEACEFUL VALLEY DONKE	\$1,000 00
CK 3800	PRISON FELLOWSHIP	\$1,000 00
CK 3801	PROLIFE ACCROSS AMERI	\$1,000 00
CK 3802	RDI	\$2,500 00
CK 3803	RESURRECTION SCHOOL	\$5,000 00
CK 3804	RETIREMENT FD ARCHDIO	\$2,500 00
CK 3805	RONALD REAGAN PRES	\$1,000 00
CK 3806	ST JAMES INN	\$2,500.00
CK 3807	ST JOHN'S HEALTH CTR	\$10,000 00
CK 3808	ST JOHN'S SEMINARY	\$2,500 00
CK 3809	ST JOSEPH CTR	\$2,500 00
CK 3810	ST PAUL THE APOSTLE S	\$10,000 00
CK 3811	ST PAUTL THE APOSTLE S	\$100,000 00

CK 3812	ST PAUL THE APOSTLE P	\$25,000 00
CK 3813	SAVE THE REDWOODS	\$1,000 00
CK 3814	SCENIC AMERICA	\$1,000 00
CK 3815	SISTERS OF SOCIAL SER	\$50,000 00
CK 3816	SKULL & DAGGER FDTN	\$1,000 00
CK 3817	SMILE TRAIN	\$2,500 00
CK 3819	UNION RESCUE MISSION	\$2,500 00
CK 3820	UNITED WAY OF GREATER	\$1,000 00
CK 3821	USC TROJAN MARCHING B	\$1,000 00
CK 3822	USC CARUSO CATHOLIC	\$250,000 00
CK 3823	USC HILLEL FDTN	\$1,000 00
CK 3824	USO (ANNUAL)	\$1,000 00
CK 3825	USOC	\$1,000 00
CK 3826	VATICAN OBSERVATORY	\$1,000 00
C 3827	VERBUM DEI HIGH SCHOOL	\$2,500 00
CK 3828	WESTSIDE PREGNANCY CL	\$2,500 00
CK 3829	WORLD VISION	\$1,000 00
CK 3830	ST THOMAS THE APOSTLE	\$1,000 00
CK 3831	ASCENDING LIGHT LEAD	\$1,000 00
CK 3832	GEFFEN PLAYHOUSE	\$100 00
CK 3833	CHILDRENS HOSPITAL LA	\$100 00
CK 3834	LA HOUSE OF RUTH	\$500 00

\$1,603,175 00

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Account Number
26-01329
Recipient's Tax ID Number
95-4682685

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address
KLM FOUNDATION
C/O SUSAN AMSTER
WEALTH AND TAX ADVISORY INC.
633 W 5TH STREET 27TH FLOOR
LOS ANGELES, CA 90071

Page 7 of 14
Ref DME

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol								
		Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Long Term 15% Sales										
032346108	AMYLIN PHARMACEUTICALS COM									
1405.0000	08/08/2012	Various			43,555.00	46,210.73	0.00	-2,655.73	0.00	0.00
Total Long Term 15% Sales					43,555.00	46,210.73	0.00	-2,655.73	0.00	0.00

This is important tax information and is being furnished to you

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Account Number

26-47711

Recipient's Tax ID Number.

95-4682685

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales									
067901108	BARRICK GOLD CORP	01/04/2012	12/14/2011	ABX	19,553.26	0.00	1,234.56	0.00	0.00
430.0000	CAREFUSION CORP COM	01/04/2012	05/11/2011	CFN	20,581.25	0.00	-3,004.74	0.00	0.00
14170T101	PARTNERRE HLDG LTD COM STK	01/04/2012	05/16/2011	PRE	23,953.05	0.00	-4,326.90	0.00	0.00
690.0000	AMERISOURCE BERGEN CORP	01/05/2012	Various	ABC	15,144.75	0.00	-702.41	0.00	0.00
310.0000	PEPSICO INC	01/05/2012	04/15/2011	PEP	12,614.10	0.00	-72.33	0.00	0.00
03073E105	SCHLUMBERGER LTD ISIN #AN8068571086	01/05/2012	09/21/2011	SLB	11,920.05	0.00	72.57	0.00	0.00
380.0000	PEPSICO INC	01/06/2012	Various	PEP	33,582.49	0.00	462.18	0.00	0.00
713448108	UNIVERSAL CORP	01/06/2012	12/02/2011	UVV	14,535.51	0.00	1,189.04	0.00	0.00
190.0000	PARTNERRE HLDG LTD COM STK	01/06/2012	Various	PRE	21,555.48	0.00	-3,929.48	0.00	0.00
806857108									
175.0000									
713448108									
510.0000									
913456109									
340.0000									
G6852T105									
340.0000									

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 8 of 70
Ref DME

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Account Number 26-47711
Recipient's Tax ID Number 95-4682685
2nd TIN notice ☐ Corrected

Recipient's Name and Address
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
03073E105	AMERISOURCE BERGEN CORP	01/09/2012	Various	ABC	26,029 14	25,365 00	0.00	664.14	0.00	0.00
680 0000										
093671105	H & R BLOCK INC	01/09/2012	12/12/2011	HRB	29,422 90	28,922 24	0.00	500 66	0.00	0.00
1830 0000										
550021109	LULULEMON ATHLETICA INC COM	01/09/2012	09/07/2011	LULU	16,453 33	17,120 71	0.00	-667 38	0.00	0.00
310 0000										
82966C103	SIRONA DENTAL SYS INC COM STK	01/10/2012	Various	SIRO	20,361.27	23,605 96	0.00	-3,244.69	0.00	0.00
470 0000										
291011104	EMERSON ELECTRIC CO	01/11/2012	12/09/2011	EMR	18,172.96	19,422 06	0.00	-1,249 10	0.00	0.00
380.0000										
87612E106	TARGET CORP	01/11/2012	Various	TGT	28,743 47	31,170.39	0.00	-2,426 92	0.00	0.00
590 0000										
344849104	FOOT LOCKER INC	01/12/2012	Various	FL	10,512.17	10,024 12	0.00	488 05	0.00	0.00
430 0000										
87612E106	TARGET CORP	01/12/2012	Various	TGT	26,644 22	27,852 88	0.00	-1,208 66	0.00	0.00
540 0000										
03073E105	AMERISOURCE BERGEN CORP	01/13/2012	Various	ABC	28,604 45	26,789 65	0.00	1,814 80	0.00	0.00
750.0000										

This is important tax information and is being furnished to you

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number 26-47711
Recipient's Tax ID Number 95-4682685
2nd TIN notice

Corrected

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
291011104	EMERSON ELECTRIC CO	01/13/2012	Various	EMR	23,163.25	0.00	-882.66	0.00	0.00
460 0000	FOOT LOCKER INC	01/13/2012	Various	FL	28,640.76	0.00	2,441.34	0.00	0.00
344849104	LENDER PROCESSING SVCS INC COM STK	01/13/2012	Various	LPS	28,052.02	0.00	-4,600.56	0.00	0.00
1260 0000	CAREFUSION CORP COM	01/17/2012	Various	CFN	18,190.96	0.00	-4,232.66	0.00	0.00
52602E102	LENDER PROCESSING SVCS INC COM STK	01/17/2012	Various	LPS	20,106.39	0.00	-1,715.24	0.00	0.00
1620 0000	EQUINIX INC COM NEW COM NEW	01/18/2012	Various	EQIX	19,439.95	0.00	3,308.29	0.00	0.00
14170T101	CARNIVAL CORP PAIRED CTF 1 COM CARNIVAL CORP	01/17/2012	01/17/2012	CCL	17,471.14	0.00	1,369.67	0.00	0.00
610 0000	CARNIVAL CORP PAIRED CTF 1 COM CARNIVAL CORP	01/17/2012	01/17/2012	CCL	2,231.46	0.00	158.61	0.00	0.00
52602E102	MASTERCARD INC CL A	01/19/2012	Various	MA	32,007.78	0.00	-426.70	0.00	0.00
1270 0000									
29444U502									
200.0000									
143658300									
590 0000									
143658300									
70 0000									
57636Q104									
90 0000									

This is important tax information and is being furnished to you

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Account Number

26-47711

Recipient's Tax ID Number:

95-4682685

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
94106L109	WASTE MGMT INC DEL	01/19/2012	12/12/2011	WM	30,663 04	28,847 91	0 00	1,815 13	0 00	0 00
942683103	WATSON PHARMACEUTICALS INC	01/19/2012	Various	WPI	35,419.17	39,470 24	0 00	-4,051.07	0 00	0 00
H27013103	WEATHERFORD INTL LTD	01/19/2012	08/05/2011	WFT	9,331.80	10,621.55	0 00	-1,289.75	0 00	0 00
143658300	CARNIVAL CORP PAIRED CTF 1 COM CARNIVAL CORP [QDI]	01/20/2012	01/17/2012	CCL	13,769 05	13,029 32	0 00	739.73	0 00	0 00
346375108	FORMFACTOR INC COM STK	01/20/2012	Various	FORM	24,764.11	44,436 66	0 00	-19,672.55	0 00	0 00
4620 0000	ZHONGPIN INC COM STK	01/20/2012	Various	HOGS	9,388.34	7,001 45	0 00	2,386.89	0 00	0 00
50075N104	KRAFT FOODS INC CL A	01/23/2012	11/15/2011	FIRE	23,490.10	21,692 58	0 00	1,797.52	0 00	0 00
83616T108	SOURCEFIRE INC COM	01/23/2012	Various	TXN	6,219.48	6,396 85	0 00	-177.37	0 00	0 00
200 0000	TEXAS INSTRUMENTS INC	01/23/2012	Various		28,798 70	24,934 94	0 00	3,863 76	0 00	0 00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number

26-47711

Recipient's Tax ID Number:

95-4682685

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
171779309	Ciena Corp COM NEW COM NEW	01/24/2012	09/12/2011	CIE	5,427 16	4,702 74	0 00	724 42	0 00	0 00
370 0000										
29444U502	EQUINIX INC COM NEW COM NEW	01/24/2012	Various	EQIX	30,850 36	25,164 63	0 00	5,685 73	0 00	0 00
270 0000										
53217V109	LIFE TECHNOLOGIES CORP COM STK	01/24/2012	01/10/2012	LIFE	20,071 84	20,286 55	0 00	-214 71	0 00	0 00
440 0000										
532716107	LIMITED INC	01/24/2012	Various	LTD	9,055 42	8,703 85	0 00	351 57	0 00	0 00
220 0000										
550021109	LULULEMON ATHLETICA INC COM	01/24/2012	09/07/2011	LULU	2,469 55	2,209 13	0 00	260 42	0 00	0 00
40 0000										
690768403	OWENS ILLINOIS INC COM NEW	01/24/2012	12/22/2011	OI	41,521 77	34,483 90	0 00	7,037 87	0 00	0 00
1820 0000										
73172K104	POLYCOM INC	01/24/2012	12/02/2011	PLCM	19,245 19	15,552 60	0 00	3,692 59	0 00	0 00
920 0000										
808513105	CHARLES SCHWAB CORP NEW	01/24/2012	08/03/2011	SCHW	8,676 75	10,248 63	0 00	-1,571 88	0 00	0 00
700 0000										
98952K107	ZHONGPIN INC COM STK	01/24/2012	09/09/2011	HOGS	8,987 72	6,964 11	0 00	2,023 61	0 00	0 00
770 0000										

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 12 of 70
Ref. DME

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Account Number 26-47711
Recipient's Tax ID Number 95-4682685
Recipient's Name and Address
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
917047102	URBAN OUTFITTERS INC	01/25/2012	01/11/2012	URBN	11,058 30	9,823 93	0 00	1,234.37	0 00	0 00
410 0000	BAKER HUGHES INC	01/26/2012	Various	BHI	21,767 60	32,402.88	0 00	-10,635 28	0 00	0 00
057224107	BK AMER CORP COM	01/26/2012	01/05/2012	BAC	22,349 19	18,384.48	0 00	3,964.71	0 00	0 00
450.0000	CHARLES SCHWAB CORP NEW	01/26/2012	Various	SCHW	45,808 39	52,714 73	0 00	-6,906.34	0 00	0 00
060505104	WATSON PHARMACEUTICALS INC	01/26/2012	Various	WPI	20,352 77	21,837 74	0 00	-1,484 97	0 00	0 00
3060 0000	VELTI PLC ST HELIER COM	01/26/2012	Various	VELT	5,586 64	10,274 54	0 00	-4,687 90	0 00	0 00
808513105	BK AMER CORP COM	01/31/2012	01/05/2012	BAC	8,146 21	6,909 20	0 00	1,237 01	0 00	0 00
3840 0000	AMYLIN PHARMACEUTICALS COM	02/01/2012	08/04/2011	PLCM	4,956 68	3,182.58	0 00	1,774 10	0 00	0 00
942683103	POLYCOM INC	02/01/2012	Various		22,517 07	18,920 01	0 00	3,597 06	0 00	0 00
350 0000										
G93285107										
610.0000										
060505104										
1150.0000										
032346108										
310 0000										
73172K104										
1130.0000										

This is important tax information and is being furnished to you.

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number 26-47711
Recipient's Tax ID Number 95-4682685
☐ Corrected ☐ 2nd TIN notice
Recipient's Name and Address
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
790849103	ST JUDE MEDICAL INC	02/01/2012	Various	STJ	32,716.78	27,289.08	0.00	5,427.70	0.00	0.00
780 0000	UNDER ARMOUR INC CL A	02/01/2012	Various	UA	15,082.02	14,582.48	0.00	499.54	0.00	0.00
904311107	UNIVERSAL CORP	02/01/2012	Various	UVV	24,691.56	23,085.81	0.00	1,605.75	0.00	0.00
190.0000	ANADARKO PETROLEUM CORP	02/02/2012	Various	APC	27,764.47	27,215.53	0.00	548.94	0.00	0.00
913456109	APACHE CORP	02/02/2012	08/04/2011	APA	16,393.29	18,761.01	0.00	-2,367.72	0.00	0.00
540 0000	URBAN OUTFITTERS INC	02/02/2012	01/11/2012	URBN	8,699.36	7,907.06	0.00	792.30	0.00	0.00
032511107	URBAN OUTFITTERS INC	02/02/2012	01/11/2012	URBN	8,724.83	7,907.07	0.00	817.76	0.00	0.00
340 0000	TRANSOCEAN LTD	02/02/2012	Various	RIG	25,645.46	26,148.63	0.00	-503.17	0.00	0.00
037411105	BK AMER CORP COM	02/03/2012	01/05/2012	BAC	19,732.60	15,200.24	0.00	4,532.36	0.00	0.00
165.0000										
917047102										
330.0000										
917047102										
330.0000										
H8817H100										
530 0000										
060505104										
2530.0000										

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 14 of 70
Ref: DME

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number:

26-47711
95-4682685

Recipient's Tax ID Number

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address

KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
13342B105	CAMERON INT'L CORP COM			CAM					
860 0000	02/03/2012 Various	47,807 17			50,278 66	0 00	-2,471 49	0 00	0 00
14170T101	CAREFUSION CORP COM			CFN					
350 0000	02/03/2012 09/16/2011	8,601 85			8,654.73	0 00	-52 88	0 00	0 00
552676108	M D C HLDGS INC			MDC					
400 0000	02/03/2012 01/05/2012	9,931 40			7,521 56	0 00	2,409.84	0 00	0 00
552676108	M D C HLDGS INC			MDC					
60.0000	02/03/2012 01/05/2012	1,490.14			1,128.23	0.00	361.91	0 00	0 00
68372A104	OPENTABLE INC COM			OPEN					
110 0000	02/03/2012 01/10/2012	5,494 96			4,701 11	0 00	793.85	0 00	0 00
808513105	CHARLES SCHWAB CORP NEW			SCHW					
90.0000	02/03/2012 08/11/2011	1,137.57			1,060.51	0 00	77 06	0.00	0 00
808513105	CHARLES SCHWAB CORP NEW			SCHW					
2400.0000	02/03/2012 08/11/2011	30,456 37			28,280 16	0 00	2,176 21	0.00	0 00
98462Y100	YAMANA GOLD INC COM STK			AUY					
990 0000	02/03/2012 Various	17,125.18			14,573.41	0.00	2,551.77	0 00	0 00
478366107	JOHNSON CONTROLS INC			JCI					
660 0000	02/06/2012 01/19/2012	22,043 70			21,674 27	0 00	369.43	0 00	0 00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 15 of 70
Ref DME

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-47711
Recipient's Tax ID Number: 95-4682685
2nd TIN notice
Corrected

Recipient's Name and Address
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
552676108	M D C HLDGS INC	02/06/2012	Various	MDC	21,828.92	16,290.96	0.00	5,537.96	0.00	0.00
870 0000										
61945C103	MOSAIC CO/THE	02/06/2012	01/09/2012	MOS	30,776.16	28,672.76	0.00	2,103.40	0.00	0.00
540 0000										
16950W105	ADR CHINA XINIYA FASHION LTD SPONSORED ADR	02/07/2012	05/18/2011	XNY	6,500.19	11,458.53	0.00	-4,958.34	0.00	0.00
2919 0000										
95082P105	WESCO INTL INC COM	02/07/2012	01/24/2012	WCC	28,158.69	25,359.08	0.00	2,799.61	0.00	0.00
430.0000										
023135106	AMAZON COM INC	02/08/2012	Various	AMZN	12,879.41	14,101.46	0.00	-1,222.05	0.00	0.00
70 0000										
38259P508	GOOGLE INC CL A	02/08/2012	01/20/2012	GOOG	27,313.82	26,394.69	0.00	919.13	0.00	0.00
45 0000										
45337C102	INCYTE GENOMICS INC	02/08/2012	12/12/2011	INCY	14,776.11	12,334.27	0.00	2,441.84	0.00	0.00
850 0000										
478366107	JOHNSON CONTROLS INC	02/08/2012	Various	JCI	18,468.55	17,903.25	0.00	565.30	0.00	0.00
560 0000										
550021109	LULULEMON ATHLETICA INC COM	02/08/2012	Various	LULU	14,133.42	10,585.10	0.00	3,548.32	0.00	0.00
220 0000										

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 16 of 70
Ref DME

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number 26-47711
Recipient's Tax ID Number 95-4682685
2nd TIN notice ☐

Corrected ☐

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
594918104	MICROSOFT CORP COM	02/08/2012	12/20/2011	MSFT	23,616.96	0.00	4,001.46	0.00	0.00
910 0000				UA	13,117.11	0.00	1,618.66	0.00	0.00
904311107	UNDER ARMOUR INC CL A	02/08/2012	Various	DMND	18,198.18	0.00	2,298.43	0.00	0.00
180 0000				EQIX	6,451.46	0.00	2,496.78	0.00	0.00
252603105	DIAMOND FOODS INC COM	02/10/2012	02/09/2012	PFG	22,785.13	0.00	2,044.58	0.00	0.00
840 0000				XL	60,270.93	0.00	-4,305.00	0.00	0.00
29444U502	EQUINIX INC COM NEW COM NEW	02/13/2012	Various	VPRT	13,464.71	0.00	2,581.87	0.00	0.00
70 0000				LTD	24,697.57	0.00	2,623.70	0.00	0.00
74251V102	PRINCIPAL FINANCIAL GROUP INC	02/13/2012	12/01/2011		9,182.99	0.00	1,880.38	0.00	0.00
940 0000									
G98290102	XL GROUP PLC ORD USD0 01	02/13/2012	Various						
2940.0000									
N93540107	VISTAPRINT NV COM USD0 001	02/16/2012	Various						
410.0000									
50075N104	KRAFT FOODS INC CL A	02/17/2012	Various						
720.0000									
532716107	LIMITED INC	02/17/2012	Various						
240 0000									

This is important tax information and is being furnished to you.

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Account Number 26-47711
Recipient's Tax ID Number 95-4682685
☐ Corrected ☐ 2nd TIN notice
Recipient's Name and Address
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
904311107	UNDER ARMOUR INC CL A	02/17/2012	09/22/2011	UA	7,692.09	6,377.16	0.00	1,314.93	0.00	0.00
023135106	AMAZON COM INC	02/21/2012	10/26/2011	AMZN	15,550.16	17,084.24	0.00	-1,534.08	0.00	0.00
85.0000										
203668108	COMMUNITY HEALTH SYS INC NEWCO COM	02/21/2012	01/26/2012	CYH	33,036.20	30,170.72	0.00	2,865.48	0.00	0.00
1600.0000										
466367109	JACK IN THE BOX INC COM	02/21/2012	01/09/2012	JACK	8,690.84	7,871.38	0.00	819.46	0.00	0.00
370.0000										
582839106	MEAD JOHNSON NUTRITION COM USD0 01	02/21/2012	12/22/2011	MJN	24,842.32	22,142.35	0.00	2,699.97	0.00	0.00
325.0000										
057224107	BAKER HUGHES INC	02/22/2012	Various	BHI	26,536.97	28,981.80	0.00	-2,444.83	0.00	0.00
510.0000										
29444U502	EQUINIX INC COM NEW COM NEW	02/22/2012	08/16/2011	EQIX	17,496.21	11,934.50	0.00	5,561.71	0.00	0.00
130.0000										
315616102	F5 NETWORKS INC	02/22/2012	Various	FFIV	16,867.20	12,922.46	0.00	3,944.74	0.00	0.00
135.0000										
64110D104	NETAPP INC COM STK	02/22/2012	Various	NTAP	41,936.09	39,692.25	0.00	2,243.84	0.00	0.00
980.0000										

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 18 of 70
Ref DME

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Recipient's Name and Address.
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Account Number 26-47711
Recipient's Tax ID Number 95-4682685

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
882508104	TEXAS INSTRUMENTS INC	02/22/2012	09/27/2011	TXN	26,041 01	22,269.23	0 00	3,771 78	0 00	0.00
790 0000				TRLG	21,548 14	21,831 19	0 00	-283.05	0 00	0.00
89784N104	TRUE RELIGION APPAREL INC COM STK	02/22/2012	02/10/2012	JACK	6,166 60	5,743.98	0 00	422 62	0.00	0.00
820 0000				JACK	17,226 98	15,317 28	0.00	1,909.70	0.00	0.00
466367109	JACK IN THE BOX INC COM	02/23/2012	01/09/2012	TWTC	648.89	551 44	0 00	97 45	0 00	0.00
270 0000				TWTC	15,338 49	13,237.73	0 00	2,100.76	0.00	0.00
466367109	JACK IN THE BOX INC COM	02/23/2012	01/09/2012	CREE	13,606 74	11,098.66	0 00	2,508.08	0.00	0.00
720 0000	TW TELECOM INC CL A STK	02/24/2012	12/08/2011	AAPL	29,688 07	22,249.68	0 00	7,438.39	0.00	0.00
87311L104	TW TELECOM INC CL A STK	02/24/2012	Various	MSFT	10,513 64	8,564.39	0 00	1,949 25	0 00	0.00
30 0000										
87311L104	TW TELECOM INC CL A STK	02/24/2012	Various							
710 0000										
225447101	CREE INC	02/27/2012	01/18/2012							
480.0000										
037833100	APPLE COMPUTER INC	02/29/2012	10/19/2011							
55 0000										
594918104	MICROSOFT CORP COM	02/29/2012	12/20/2011							
330.0000										

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 19 of 70
Ref: DME

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Account Number

26-47711

Recipient's Tax ID Number

95-4682685

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
73179V103	POLYPORE INTL INC COM	02/29/2012	Various	33,801.43	34,625.85	0.00	-824.42	0.00	0.00
820.0000									
904311107	UNDER ARMOUR INC CL A	02/29/2012	Various	10,590.12	7,426.65	0.00	3,163.47	0.00	0.00
120.0000									
949746101	WELLS FARGO & CO NEW	02/29/2012	01/27/2012	30,813.87	28,595.42	0.00	2,218.45	0.00	0.00
980.0000									
H8817H100	TRANSOCEAN LTD	02/29/2012	Various	23,121.24	20,911.35	0.00	2,209.89	0.00	0.00
430.0000									
023135106	AMAZON COM INC	03/01/2012	Various	16,902.27	18,452.83	0.00	-1,550.56	0.00	0.00
95.0000									
037411105	APACHE CORP	03/01/2012	08/05/2011	12,443.53	12,388.79	0.00	54.74	0.00	0.00
115.0000									
45337C102	INCYTE GENOMICS INC	03/01/2012	Various	13,495.48	11,349.44	0.00	2,146.04	0.00	0.00
790.0000									
532716107	LIMITED INC	03/01/2012	Various	19,404.15	14,962.45	0.00	4,441.70	0.00	0.00
420.0000									
68372A104	OPENTABLE INC COM	03/01/2012	Various	15,678.97	15,205.21	0.00	473.76	0.00	0.00
330.0000									

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 20 of 70
Ref DME

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Account Number

26-47711
95-4682685

Recipient's Name and Address

KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

☐ Corrected

☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
931422109	WALGREEN CO	03/01/2012	Various	WAG	40,897 13	47,553 49	0.00	-6,656 36	0.00	0.00
1245 0000				TWTC	15,895 17	13,203 76	0.00	2,691 41	0.00	0.00
873111104	TW TELECOM INC CL A STK	03/02/2012	Various	AAPL	5,324 54	3,332.78	0.00	1,991 76	0.00	0.00
730 0000	APPLE COMPUTER INC	03/05/2012	03/18/2011	WAG	9,606 45	9,861.33	0.00	-254 88	0.00	0.00
037833100				INCY	16,838.50	14,156 90	0.00	2,681 60	0.00	0.00
10 0000				POT	19,562 00	19,843.34	0.00	-281.34	0.00	0.00
931422109	WALGREEN CO	03/05/2012	Various	UA	27,486 51	16,982.40	0.00	10,504 11	0.00	0.00
290 0000	INCYTE GENOMICS INC	03/06/2012	12/13/2011	CFX	12,499 08	11,301.38	0.00	1,197 70	0.00	0.00
45337C102				VELT	5,981 86	8,749 95	0.00	-2,768 10	0.00	0.00
990 0000	POTASH CORP OF SASKATCHEWAN INC	03/06/2012	01/25/2012							
73755L107	UNDER ARMOUR INC CL A	03/09/2012	Various							
450 0000	COLFAX CORP COM US 01	03/12/2012	Various							
904311107	VELTI PLC ST HELIER COM	03/12/2012	Various							
290 0000										
194014106										
360 0000										
G93285107										
540 0000										

This is important tax information and is being furnished to you.

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-47711
Recipient's Tax ID Number: 95-4682685
2nd TIN notice
Corrected

Recipient's Name and Address
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
931422109	WALGREEN CO	03/13/2012	Various	WAG	11,669 41	11,796 37	0.00	-126 96	0.00	0.00
350 0000				VELT	50,687 46	52,553 33	0.00	-1,865 87	0.00	0.00
G93285107	VELTI PLC ST HELIER COM	03/13/2012	Various	APOL	49,740.86	52,241 44	0.00	-2,500.58	0.00	0.00
3950 0000				BHI	23,711.16	22,828 33	0.00	882.83	0.00	0.00
037604105	APOLLO GROUP INC CL A	03/14/2012	Various	LTD	13,632 74	10,138 89	0.00	3,493 85	0.00	0.00
1190 0000				PGR	19,180 50	16,270 19	0.00	2,910.31	0.00	0.00
057224107	BAKER HUGHES INC	03/14/2012	Various	WFC	9,263 46	7,751 31	0.00	1,512.15	0.00	0.00
490 0000				ZION	27,111 53	24,293.21	0.00	2,818 32	0.00	0.00
532716107	LIMITED INC	03/14/2012	08/15/2011		26,571 10	22,226 21	0.00	4,344 89	0.00	0.00
290 0000										
743315103	PROGRESSIVE CORP OHIO	03/14/2012	11/16/2011							
850 0000										
949746101	WELLS FARGO & CO NEW	03/14/2012	Various							
280.0000										
989701107	ZIONS BANCORP	03/14/2012	Various							
1300 0000										
989701107	ZIONS BANCORP	03/14/2012	Various							
1270.0000										

This is important tax information and is being furnished to you.

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number

26-47711
95-4682685

Recipient's Tax ID Number

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address

KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
M9068E105	SODASTREAM INTERNATIONAL LTD COM STK	SODA					
670 0000	03/14/2012	02/29/2012	24,837 46	0.00	-2,363 54	0.00	0.00
550021109	LULULEMON ATHLETICA INC COM	LULU					
140 0000	03/15/2012	Various	10,361 64	0.00	4,052 02	0.00	0.00
949746101	WELLS FARGO & CO NEW	WFC					
390 0000	03/15/2012	09/14/2011	13,224.97	0.00	3,660 02	0.00	0.00
N93540107	VISTAPRINT NV COM USD0.001	VPRT					
250 0000	03/16/2012	12/15/2011	9,994.19	0.00	1,987 94	0.00	0.00
19239V302	COGENT COMMUNICATIONS GROUP INC COM NEW COM NEW	CCOI					
60 0000	03/19/2012	11/15/2011	1,202 56	0.00	232 73	0.00	0.00
19239V302	COGENT COMMUNICATIONS GROUP INC COM NEW COM NEW	CCOI					
1080 0000	03/19/2012	Various	21,482 75	0.00	4,049 01	0.00	0.00
760975102	RESEARCH IN MOTION LTD	RIMM					
2570 0000	03/19/2012	03/02/2012	36,991 91	0.00	1,665 46	0.00	0.00
62855J104	MYRIAD GENETICS INC	MYGN					
930 0000	03/20/2012	Various	21,956 34	0.00	3,262 79	0.00	0.00
N93540107	VISTAPRINT NV COM USD0 001	VPRT					
250 0000	03/23/2012	Various	9,808 62	0.00	1,852 10	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Account Number
26-47711
Recipient's Tax ID Number
95-4682685

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

☐ Corrected

☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
532716107	LIMITED INC			LTD					
140 0000	03/27/2012	08/15/2011		6,966 27	4,894.64	0 00	2,071.63	0 00	0.00
594918104	MICROSOFT CORP COM			MSFT					
300 0000	03/27/2012	Various		9,764 91	7,712.35	0 00	2,052 56	0 00	0.00
743315103	PROGRESSIVE CORP OHIO			PGR					
590 0000	03/27/2012	11/16/2011		13,643 21	11,293.42	0 00	2,349 79	0 00	0.00
931422109	WALGREEN CO			WAG					
55 0000	03/27/2012	10/07/2011		1,909 00	1,841.01	0 00	67 99	0 00	0.00
N93540107	VISTAPRINT NV COM USD0 001			VPRT					
430 0000	03/27/2012	07/29/2011		17,211 85	11,632 23	0 00	5,579 62	0 00	0.00
032346108	AMYLIN PHARMACEUTICALS COM								
1030 0000	03/28/2012	Various		23,523 13	13,055.22	0.00	10,467 91	0.00	0.00
74874Q100	QUINSTREET INC COM STK			QNST					
1920 0000	03/29/2012	Various		19,771 33	19,983 71	0 00	-212.38	0.00	0.00
032346108	AMYLIN PHARMACEUTICALS COM								
660 0000	03/30/2012	11/08/2011		16,887 30	6,465 75	0 00	10,421 55	0.00	0.00
037411105	APACHE CORP			APA					
230 0000	04/03/2012	Various		22,938 51	22,944 50	0 00	-5 99	0 00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number
26-47711
Recipient's Tax ID Number
95-4682685

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc				
582839106	MEAD JOHNSON NUTRITION COM USD0 01		MJN				
310 0000	04/03/2012	12/22/2011	25,909 18	0 00	4,788 79	0 00	0 00
032346108	AMYLIN PHARMACEUTICALS COM						
2390 0000	04/04/2012	Various	56,836 27	0 00	33,679 50	0 00	0 00
582839106	MEAD JOHNSON NUTRITION COM USD0 01		MJN				
260 0000	04/04/2012	Various	21,679 25	0 00	4,356 31	0 00	0 00
89469A104	TREEHOUSE FOODS INC COM		THS				
1080 0000	04/04/2012	Various	64,129 81	0 00	4,258 32	0 00	0 00
89469A104	TREEHOUSE FOODS INC COM		THS				
120 0000	04/05/2012	08/15/2011	7,044 50	0 00	520 89	0 00	0 00
054303102	AVON PRODS INC		AVP				
1380 0000	04/09/2012	Various	31,266 09	0 00	6,123.25	0 00	0 00
111320107	BROADCOM CORP CL A		BRCM				
440 0000	04/10/2012	Various	16,199 46	0 00	1,066.43	0 00	0 00
45666Q102	INFORMATICA CORP		INFA				
630 0000	04/10/2012	Various	32,293 32	0 00	5,008.74	0 00	0 00
83616T108	SOURCEFIRE INC COM		FIRE				
640 0000	04/10/2012	04/26/2011	30,446 54	0 00	13,954 76	0 00	0 00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 25 of 70
Ref DME

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Recipient's Name and Address
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Account Number 26-47711
Recipient's Tax ID Number 95-4682685

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
89417E109	THE TRAVELERS COMPANIES INC			TRV					
350 0000		04/10/2012	01/24/2012	20,303 04	20,452 78	0.00	-149 74	0 00	0 00
68401H104	OPTIMER PHARMACEUTICALS INC COM STK			OPTR					
1400 0000		04/11/2012	04/04/2012	17,797 24	19,896 10	0 00	-2,098 86	0 00	0 00
743315103	PROGRESSIVE CORP OHIO			PGR					
940 0000		04/11/2012	Various	21,089 55	17,687 17	0 00	3,402 38	0 00	0 00
83616T108	SOURCEFIRE INC COM			FIRE					
330 0000		04/11/2012	04/26/2011	15,055 12	8,503 57	0 00	6,551 55	0 00	0 00
037411105	APACHE CORP			APA					
245 0000		04/12/2012	Various	23,216 92	20,643 64	0 00	2,573 28	0 00	0 00
03073E105	AMERISOURCE BERGEN CORP			ABC					
690 0000		04/13/2012	Various	26,210 16	25,361 29	0 00	848 87	0 00	0 00
039380100	ARCH COAL INC			ACI					
2870.0000		04/13/2012	Various	29,445 25	42,074 85	0 00	-12,629 60	0 00	0 00
38259P508	GOOGLE INC CL A			GOOG					
30 0000		04/13/2012	Various	18,994.76	16,060.72	0 00	2,934 04	0 00	0 00
74973W107	RTI INTL METALS INC			RTI					
880 0000		04/13/2012	02/07/2012	19,772 09	20,970 05	0 00	-1,197 96	0 00	0 00

This is important tax information and is being furnished to you

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number 26-47711
Recipient's Tax ID Number 95-4682685
☐ Corrected ☐ 2nd TIN notice
Recipient's Name and Address
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
018772103	ALLIANCE 1 INTL INC COM			AOI	26,991.82	24,619.14	0.00	2,372.68	0.00	0.00
8100 0000	04/16/2012 01/25/2012			DOW	24,767.76	25,777.53	0.00	-1,009.77	0.00	0.00
260543103	DOW CHEMICAL CO			RTI	13,978.31	14,784.28	0.00	-805.97	0.00	0.00
740 0000	04/16/2012 04/03/2012			EA	18,290.59	19,418.18	0.00	-1,127.59	0.00	0.00
74973W107	RTI INTL METALS INC			TIE	39,717.39	46,742.28	0.00	-7,024.89	0.00	0.00
630 0000	04/16/2012 Various			DHR	13,084.14	10,568.33	0.00	2,515.81	0.00	0.00
285512109	ELECTRONIC ARTS			ELN	6,001.20	4,960.36	0.00	1,040.84	0.00	0.00
1190 0000	04/17/2012 04/05/2012			SPN	15,224.07	17,851.10	0.00	-2,627.03	0.00	0.00
888339207	#REORG/TITANIUM METALS CASH MERGER 1/8/2013			AUY	39,588.65	40,503.78	0.00	-915.13	0.00	0.00
2820 0000	04/17/2012 Various									
235851102	DANAHER CORP									
240.0000	04/18/2012 08/30/2011									
284131208	ADR ELAN PLC ADR REPRESENTING SHS									
450 0000	04/18/2012 Various									
868157108	SUPERIOR ENERGY SERVICES INC COM									
590 0000	04/18/2012 02/24/2012									
98462Y100	YAMANA GOLD INC COM STK									
2690 0000	04/18/2012 Various									

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 27 of 70
Ref DME

Recipient's Name and Address

KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

26-47711

95-4682685

Account Number:

Recipient's Tax ID Number.

Corrected

2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
057224107	BAKER HUGHES INC	04/19/2012	Various	BHI	17,030 72	0.00	-701.52	0.00	0.00
410 0000					17,732 24	0.00			
057224107	BAKER HUGHES INC	04/19/2012	03/22/2012	BHI	24,393 00	0.00	-1,258 96	0.00	0.00
590 0000					25,651.96				
868157108	SUPERIOR ENERGY SERVICES INC COM	04/19/2012	Various	SPN	17,107 73	0.00	-3,006.66	0.00	0.00
670 0000					20,114 39				
285512109	ELECTRONIC ARTS	04/20/2012	04/05/2012	EA	18,054 00	0.00	-1,690 54	0.00	0.00
1210.0000					19,744.54				
594918104	MICROSOFT CORP COM	04/20/2012	12/01/2011	MSFT	19,154 39	0.00	4,175 71	0.00	0.00
590 0000					14,978.68				
N93540107	VISTAPRINT NV COM USD0.001	04/23/2012	07/29/2011	VPRT	11,902.75	0.00	5,019 71	0.00	0.00
440 0000					22,780.71				
00738A106	ADTRAN INC	04/24/2012	Various	ADTN	21,308 14	0.00	-1,472.57	0.00	0.00
730 0000					9,180.38				
29444U502	EQUINIX INC COM NEW COM NEW	04/24/2012	08/16/2011	EQIX	14,844.96	0.00	5,664 58	0.00	0.00
100 0000					7,803.32				
29444U502	EQUINIX INC COM NEW COM NEW	04/24/2012	08/16/2011	EQIX	12,619 71	0.00	4,816 39	0.00	0.00
85 0000									

This is important tax information and is being furnished to you.

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Account Number
26-47711
Recipient's Tax ID Number
95-4682685

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address.
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
595017104	MICROCHIP TECHNOLOGY INC			MCHP						
650 0000	04/24/2012	10/21/2011		22,470.90	21,480.29	0.00	0.00	990.61	0.00	0.00
595112103	MICRON TECHNOLOGY INC			MU						
2620 0000	04/24/2012	11/16/2011		17,148.03	17,098.12	0.00	0.00	49.91	0.00	0.00
74733V100	QEP RES INC COM STK			QEP						
220 0000	04/24/2012	Various		6,530.24	8,678.77	0.00	0.00	-2,148.53	0.00	0.00
00738A106	ADTRAN INC			ADTN						
800 0000	04/25/2012	03/19/2012		24,114.49	24,329.84	0.00	0.00	-215.35	0.00	0.00
01988P108	ALLSCRIPTS HEALTHCARE SOLUTIONS INC			MDRX						
2000 0000	04/30/2012	04/11/2012		21,024.92	32,068.80	0.00	0.00	-11,043.88	0.00	0.00
36866T103	GEN-PROBE INC COM									
770.0000	04/30/2012	Various		63,218.89	47,326.58	0.00	0.00	15,892.31	0.00	0.00
790849103	ST JUDE MEDICAL INC			STJ						
550.0000	05/01/2012	04/04/2012		21,484.60	22,985.00	0.00	0.00	-1,500.40	0.00	0.00
94973V107	WELLPOINT INC			WLP						
640 0000	05/01/2012	Various		43,698.22	45,654.20	0.00	0.00	-1,955.98	0.00	0.00
291011104	EMERSON ELECTRIC CO			EMR						
540.0000	05/03/2012	Various		26,707.10	25,581.52	0.00	0.00	1,125.58	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 29 of 70
Ref. DME

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number

26-47711
95-4682685

Recipient's Tax ID Number

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address.
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
742718109	PROCTER & GAMBLE CO	05/03/2012	01/27/2012	PG	34,719 01	34,700 24	0.00	18 77	0.00	0.00
540 0000										
742718109	PROCTER & GAMBLE CO	05/04/2012	Various	PG	56,190 56	55,371 88	0.00	818.68	0.00	0.00
870.0000										
032511107	ANADARKO PETROLEUM CORP	05/08/2012	Various	APC	33,111 26	37,009 67	0.00	-3,898 41	0.00	0.00
490 0000										
478160104	JOHNSON & JOHNSON	05/08/2012	Various	JNJ	44,659 58	44,060 90	0.00	598 68	0.00	0.00
690 0000										
48242W106	KBR INC COM	05/08/2012	Various	KBR	29,334.14	34,756 00	0.00	-5,421.86	0.00	0.00
1000 0000										
G47791101	INGERSOLL-RAND PLC COM STK	05/09/2012	Various	IR	43,173 33	33,413.73	0.00	9,759.60	0.00	0.00
1000.0000										
166764100	CHEVRONTXACO CORP COM	05/10/2012	Various	CVX	50,960 78	48,030 90	0.00	2,929 88	0.00	0.00
495.0000										
235851102	DANAHER CORP	05/10/2012	08/30/2011	DHR	6,986 44	5,724.51	0.00	1,261 93	0.00	0.00
130 0000										
472319102	JEFFERIES GROUP INC NEW	05/10/2012	Various	JEF	19,495 30	25,514 78	0.00	-6,019 48	0.00	0.00
1320.0000										

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 30 of 70
Ref: DME

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number 26-47711
Recipient's Tax ID Number 95-4682685
2nd TIN notice ☐

Corrected ☐

Recipient's Name and Address
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
594918104	MICROSOFT CORP COM	05/11/2012	12/01/2011	MSFT	14,470.93	0.00	3,433.50	0.00	0.00
570 0000				17,904.43					
N53745100	LYONDELLBASELL INDU-CL A-W/I	05/11/2012	Various	LYB	24,612.38	0.00	-2,466.85	0.00	0.00
550 0000				22,145.53					
G7665A101	ROWAN COMPANIES PLC	05/15/2012	Various	RDC	48,659.23	0.00	-6,548.19	0.00	0.00
1360 0000				42,111.04					
20825C104	CONOCOPHILLIPS	05/16/2012	04/23/2012	COP	31,692.95	0.00	-1,206.00	0.00	0.00
580 0000				30,486.95					
317923100	FINISH LINE INC CL A	05/17/2012	Various	FINL	43,602.54	0.00	-1,784.63	0.00	0.00
2010 0000				41,817.91					
532716107	LIMITED INC	05/17/2012	08/15/2011	LTD	16,082.38	0.00	5,781.89	0.00	0.00
460 0000				21,864.27					
92335C106	VERA BRADLEY INC COM STK	05/17/2012	04/20/2012	VRA	31,982.31	0.00	-5,479.20	0.00	0.00
1150 0000				26,503.11					
064058100	BANK NEW YORK MELLON CORP COM STK	05/18/2012	04/12/2012	BK	25,333.05	0.00	-3,661.42	0.00	0.00
1060 0000				21,671.63					
30303M102	FACEBOOK INC CL A	05/18/2012	05/17/2012	FB	1,140.00	0.00	61.91	0.00	0.00
30.0000				1,201.91					

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 31 of 70
Ref DME

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number 26-47711
Recipient's Tax ID Number 95-4682685
Recipient's Name and Address
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
478160104	JOHNSON & JOHNSON	20 0000	05/22/2012	10/05/2011	JNJ	1,268 01	1,245 86	0.00	22 15	0.00	0.00
550021109	LULULEMON ATHLETICA INC COM	300.0000	05/22/2012	12/01/2011	LULU	20,862.19	13,173 15	0.00	7,689 04	0.00	0.00
68372A104	OPENTABLE INC COM	200 0000	05/22/2012	Various	OPEN	7,819 96	8,779 92	0.00	-959 96	0.00	0.00
30303M102	FACEBOOK INC CL A CL A	960 0000	05/23/2012	05/22/2012	FB	30,621.29	30,482 59	0.00	138 70	0.00	0.00
98952K107	ZHONGPIN INC COM STK	1600 0000	05/23/2012	Various	HOGS	14,813 74	14,343 92	0.00	469 82	0.00	0.00
021441100	ALTERA CORP	870.0000	05/24/2012	Various	ALTR	29,181 49	34,108 34	0.00	-4,926.85	0.00	0.00
62855J104	MYRIAD GENETICS INC	880.0000	05/24/2012	Various	MYGN	22,404 03	17,398 70	0.00	5,005 33	0.00	0.00
62855J104	MYRIAD GENETICS INC	610 0000	05/24/2012	08/17/2011	MYGN	15,522.99	12,049 21	0.00	3,473 78	0.00	0.00
68372A104	OPENTABLE INC COM	180 0000	05/24/2012	Various	OPEN	6,745 52	7,624 04	0.00	-878 52	0.00	0.00

This is important tax information and is being furnished to you

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number
26-47711

Recipient's Tax ID Number
95-4682685

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address.

KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
68372A104	OPENTABLE INC COM	05/24/2012	01/10/2012	OPEN	10,324 36	11,111 72	0 00	-787 36	0 00	0 00
260 0000										
G93285107	VELTI PLC ST HELIER COM	05/24/2012	Various	VELT	14,217 07	21,601 95	0 00	-7,384 88	0 00	0 00
2000 0000										
68372A104	OPENTABLE INC COM	05/25/2012	Various	OPEN	34,346 46	34,732 52	0 00	-386 06	0 00	0 00
900 0000										
N53745100	LYONDELLBASELL INDU-CL A-W/I	05/29/2012	Various	LYB	40,142 73	43,105 23	0 00	-2,962 50	0 00	0 00
980 0000										
949746101	WELLS FARGO & CO NEW	05/30/2012	Various	WFC	41,293 96	43,478 69	0 00	-2,184 73	0 00	0 00
1300 0000										
47102X105	JANUS CAP GROUP INC	06/01/2012	04/19/2012	JNS	15,075 81	17,684 78	0 00	-2,608 97	0 00	0 00
2160 0000										
47102X105	JANUS CAP GROUP INC	06/01/2012	04/19/2012	JNS	4,617 78	5,403 69	0 00	-785 91	0 00	0 00
660 0000										
47102X105	JANUS CAP GROUP INC	06/04/2012	Various	JNS	2,820 81	3,309 95	0 00	-489 14	0 00	0 00
410 0000										
64110D104	NETAPP INC COM STK	06/04/2012	10/20/2011	NTAP	10,387 24	13,074 08	0 00	-2,686 84	0 00	0 00
350 0000										

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 33 of 70
Ref. DME

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Recipient's Name and Address

KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Account Number 26-47711
Recipient's Tax ID Number 95-4682685

Corrected 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
47102X105	JANUS CAP GROUP INC	JNS	4,914.00	5,482.84	0.00	-568.84	0.00	0.00
715 0000	06/05/2012 04/26/2012							
64110D104	NETAPP INC COM STK	NTAP	23,080.68	26,919.12	0.00	-3,838.44	0.00	0.00
760.0000	06/05/2012 Various							
165167107	CHESAPEAKE ENERGY CORP	CHK	20,889.39	20,969.91	0.00	-80.52	0.00	0.00
1160 0000	06/06/2012 Various							
47102X105	JANUS CAP GROUP INC	JNS	8,146.39	8,626.84	0.00	-480.45	0.00	0.00
1125.0000	06/06/2012 04/26/2012							
165167107	CHESAPEAKE ENERGY CORP	CHK	20,919.32	20,041.16	0.00	878.16	0.00	0.00
1160 0000	06/07/2012 Various							
284131208	ADR ELAN PLC ADR REPRESENTING SHS	ELN	10,918.95	8,493.23	0.00	2,425.72	0.00	0.00
820 0000	06/07/2012 08/03/2011							
G93285107	VELTI PLC ST HELIER COM	VELT	2,708.17	3,613.12	0.00	-904.95	0.00	0.00
390.0000	06/07/2012 05/11/2012							
452327109	ILLUMINA INC	ILMN	13,950.83	15,898.36	0.00	-1,947.53	0.00	0.00
355 0000	06/11/2012 04/16/2012							
452327109	ILLUMINA INC	ILMN	13,687.21	15,898.35	0.00	-2,211.14	0.00	0.00
355.0000	06/12/2012 04/16/2012							

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Recipient's Name and Address.

KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Account Number

26-47711
95-4682685

Recipient's Tax ID Number.

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
790849103	ST JUDE MEDICAL INC			STJ	7,955 26	8,977.11	0.00	-1,021.85	0.00	0.00
220 0000	06/12/2012 Various									
17179309	CIENA CORP COM NEW COM NEW			CIEN	21,885 10	22,610.07	0.00	-724.97	0.00	0.00
1500 0000	06/13/2012 Various									
790148100	ST JOE CO			JOE	15,074.26	17,703.96	0.00	-2,629.70	0.00	0.00
1000 0000	06/13/2012 Various									
690768403	OWENS ILLINOIS INC COM NEW			OI	19,764 63	23,101 64	0.00	-3,337 01	0.00	0.00
1060 0000	06/14/2012 05/08/2012									
690768403	OWENS ILLINOIS INC COM NEW			OI	14,675 45	16,999 32	0.00	-2,323 87	0.00	0.00
780 0000	06/14/2012 05/08/2012									
G93285107	VELTI PLC ST HELIER COM			VELT	26,541 98	30,204 10	0.00	-3,662 12	0.00	0.00
4100 0000	06/14/2012 Various									
16411R208	CHENIERE ENERGY INC COM NEW			LNG	27,135 14	34,347.91	0.00	-7,212.77	0.00	0.00
1910 0000	06/19/2012 04/17/2012									
82966C103	SIRONA DENTAL SYS INC COM STK			SIRO	19,238 71	17,400 69	0.00	1,838 02	0.00	0.00
420 0000	06/19/2012 Various									
82966C103	SIRONA DENTAL SYS INC COM STK			SIRO	26,644 32	25,477 14	0.00	1,167 17	0.00	0.00
580 0000	06/19/2012 Various									

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Recipient's Name and Address

Ref DME

Account Number

26-47711
95-4682685

Recipient's Tax ID Number

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

☐ Corrected

☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
H8817H100	TRANSCOCEAN LTD	06/20/2012	Various	RIG	11,290 24	12,081 89	0.00	-791 65	0.00	0.00
250 0000										
172967424	CITIGROUP INC COM NEW COM NEW	06/21/2012	Various	C	17,231.20	21,312 99	0.00	-4,081 79	0.00	0.00
610 0000										
465741106	ITRON INC	06/21/2012	05/09/2012	ITRI	27,314.92	28,170 94	0.00	-856.02	0.00	0.00
700 0000										
G0457F107	ARCOS DORADOS HOLDINGS INC COM NPV CL 'A'	06/27/2012	04/20/2012	ARCO	5,720 04	7,408 09	0.00	-1,688 05	0.00	0.00
390 0000										
370334104	GENERAL MILLS INC	06/28/2012	12/20/2011	GIS	13,141.01	13,563 45	0.00	-422 44	0.00	0.00
350 0000										
37733W105	GLAXO PLC	06/28/2012	12/23/2011	GSK	15,655 74	16,011 91	0.00	-356.17	0.00	0.00
350 0000										
172967424	CITIGROUP INC COM NEW COM NEW	06/29/2012	Various	C	27,468.88	29,227 76	0.00	-1,758.88	0.00	0.00
1000 0000										
74733V100	QEP RES INC COM STK	06/29/2012	Various	QEP	9,983 09	11,724 81	0.00	-1,741 72	0.00	0.00
340 0000										
H8817H100	TRANSCOCEAN LTD	06/29/2012	Various	RIG	8,450 17	8,803 20	0.00	-353 03	0.00	0.00
190 0000										

This is important tax information and is being furnished to you

2012 Tax Information Statement

Page 36 of 70
Ref DME

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Recipient's Name and Address
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Account Number 26-47711
Recipient's Tax ID Number 95-4682685

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
235851102	DANAHER CORP	07/02/2012	Various	DHR	20,817.73	17,139.24	0.00	3,678.49	0.00	0.00
400 0000										
387328107	GRANITE CONSTRUCTION INC	07/02/2012	05/08/2012	GVA	19,686.29	19,031.24	0.00	655.05	0.00	0.00
770 0000										
704549104	PEABODY ENERGY CORP COM	07/02/2012	Various	BTU	12,349.92	17,850.02	0.00	-5,500.10	0.00	0.00
500 0000										
00374N107	#REORG/ ABOVENET INC COM CASH MERGER EFF	07/02/2012			40,740.00	28,734.61	0.00	12,005.39	0.00	0.00
485 0000										
370334104	GENERAL MILLS INC	07/03/2012	12/20/2011	GIS	18,632.12	18,601.30	0.00	30.82	0.00	0.00
480 0000										
550021109	LULULEMON ATHLETICA INC COM	07/05/2012	06/25/2012	LULU	29,462.83	31,621.90	0.00	-2,159.07	0.00	0.00
500.0000										
370334104	GENERAL MILLS INC	07/06/2012	12/20/2011	GIS	9,281.53	9,300.64	0.00	-19.11	0.00	0.00
240 0000										
584690309	MEDICIS PHARMACEUTICAL CORP CL A NEW	07/06/2012	Various	MRX	41,714.42	45,454.45	0.00	-3,740.03	0.00	0.00
1200 0000										
704549104	PEABODY ENERGY CORP COM	07/06/2012	Various	BTU	10,057.65	13,551.60	0.00	-3,493.95	0.00	0.00
400.0000										

This is important tax information and is being furnished to you

2012 Tax Information Statement

Page 37 of 70
Ref DME

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Account Number

26-47711

Recipient's Tax ID Number

95-4682685

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address

KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.				
74733T105	QLIK TECHNOLOGIES INC COM STK		QLIK				
500 0000	07/06/2012	Various	9,336.44	0.00	-6,213.81	0.00	0.00
G0457F107	ARCOS DORADOS HOLDINGS INC COM NPV CL 'A'		ARCO				
260 0000	07/06/2012	04/20/2012	3,786.81	0.00	-1,151.92	0.00	0.00
02076X102	ALPHA NATURAL RESOURCES INC COM		ANR				
2100 0000	07/09/2012	06/19/2012	18,072.19	0.00	-251.78	0.00	0.00
171779309	CIENA CORP COM NEW COM NEW		CIEN				
240 0000	07/09/2012	10/12/2011	3,745.97	0.00	888.36	0.00	0.00
177376100	CITRIX SYS INC		CTXS				
300 0000	07/09/2012	Various	23,131.97	0.00	2,765.62	0.00	0.00
268648102	EMC CORP MASS		EMC				
300.0000	07/09/2012	05/24/2012	7,156.51	0.00	-55.49	0.00	0.00
370334104	GENERAL MILLS INC		GIS				
500.0000	07/09/2012	Various	19,176.02	0.00	-128.94	0.00	0.00
177376100	CITRIX SYS INC		CTXS				
250 0000	07/10/2012	01/04/2012	19,147.00	0.00	3,614.72	0.00	0.00
268648102	EMC CORP MASS		EMC				
180 0000	07/10/2012	05/24/2012	4,354.91	0.00	27.71	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Account Number

26-47711

Recipient's Tax ID Number

95-4682685

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address.
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
370334104	GENERAL MILLS INC	GIS	7,322 03	0.00	-13 30	0 00	0.00
190 0000	07/10/2012 02/17/2012						
373200302	#REORG/GEORGIA GULF CORP NAME CHANGE WITH CUSIP AXIAL CORP 2M1SAE1 1/29/2013	GGC	27,554 08	0.00	-3,490.52	0 00	0.00
1000 0000	07/10/2012 Various						
032511107	ANADARKO PETROLEUM CORP	APC	4,643 96	0.00	-85 55	0 00	0.00
70 0000	07/11/2012 05/15/2012						
665859104	NORTHERN TRUST CORP	NTRS	35,388.02	0.00	-688 86	0.00	0.00
770 0000	07/11/2012 Various						
032511107	ANADARKO PETROLEUM CORP	APC	6,801 19	0.00	44 75	0.00	0.00
100 0000	07/12/2012 05/15/2012						
573083102	MARTHA STEWART LIVING OMNIMEDIA INC CL ACOM STK	MSO	16,770 36	0.00	2,572.09	0 00	0.00
5290 0000	07/12/2012 08/29/2011						
742718109	PROCTER & GAMBLE CO	PG	14,383.88	0.00	197 35	0.00	0.00
230.0000	07/12/2012 06/18/2012						
H8817H100	TRANSOCEAN LTD	RIG	10,132 33	0.00	195.52	0.00	0.00
220 0000	07/13/2012 11/22/2011						
G0457F107	ARCOS DORADOS HOLDINGS INC COM NPV CL 'A'	ARCO	6,648 28	0.00	-1,886 78	0 00	0.00
350 0000	07/16/2012 04/20/2012						

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 39 of 70
Ref DME

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Account Number
26-47711
Recipient's Tax ID Number
95-4682685

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
983919101	XILINX INC	07/17/2012	12/22/2011	XLNX	14,133.27	14,920.75	0.00	-787.48	0.00	0.00
460 0000										
988498101	YUM BRANDS INC	07/17/2012	Various	YUM	21,799.66	22,102.70	0.00	-303.04	0.00	0.00
340 0000										
032511107	ANADARKO PETROLEUM CORP	07/18/2012	05/15/2012	APC	13,869.45	12,837.23	0.00	1,032.22	0.00	0.00
190 0000										
573083102	MARTHA STEWART LIVING OMNIMEDIA INC CL ACOM STK	07/18/2012	08/29/2011	MSO	169.70	158.51	0.00	11.19	0.00	0.00
50 0000										
983919101	XILINX INC	07/18/2012	12/22/2011	XLNX	7,237.47	7,460.37	0.00	-222.90	0.00	0.00
230 0000										
370334104	GENERAL MILLS INC	07/19/2012	Various	GIS	19,068.90	18,855.80	0.00	213.10	0.00	0.00
490 0000										
373200302	#REORG/GEORGIA GULF CORP NAME CHANGE WITH CUSIP AXIAL CORP 2M1SAE1 1/29/2013	07/19/2012	05/21/2012	GGC	16,016.37	14,097.89	0.00	1,918.48	0.00	0.00
490 0000										
38259P508	GOOGLE INC CL A	07/19/2012	04/23/2012	GOOG	5,910.45	5,953.39	0.00	-42.94	0.00	0.00
10 0000										
38259P508	GOOGLE INC CL A	07/19/2012	04/23/2012	GOOG	6,081.12	5,953.39	0.00	127.73	0.00	0.00
10 0000										

This is important tax information and is being furnished to you.

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number

26-47711

Recipient's Tax ID Number.

95-4682685

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address.
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
573083102	MARTHA STEWART LIVING OMNIMEDIA INC CL ACOM STK	07/19/2012	08/29/2011	MSO	525 85	0.00	18 62	0.00	0.00
160 0000					507 23	0.00			
247361702	DELTA AIR LINES INC DEL COM NEW COM NEW	07/23/2012	Various	DAL	12,655 05	0.00	-1,683.48	0.00	0.00
1140 0000									
704549104	PEABODY ENERGY CORP COM	07/25/2012	Various	BTU	2,331.67	0.00	-1,177 38	0.00	0.00
120 0000									
768573107	RIVERBED TECHNOLOGY INC COM	07/25/2012	03/27/2012	RVBD	14,675 97	0.00	-5,123 37	0.00	0.00
517 0000									
773903109	ROCKWELL INTL CORP NEW	07/25/2012	Various	ROK	50,201.70	0.00	-8,761 37	0.00	0.00
780 0000									
521863308	LEAP WIRELESS INTL INC COM NEW COM STK	07/26/2012	Various	LEAP	27,449 88	0.00	-4,739.02	0.00	0.00
5000 0000									
26441C204	DUKE ENERGY CORP NEW COM NEW COM NEW	07/27/2012	07/09/2012	DUK	13,033 96	0.00	457 17	0.00	0.00
200.0000									
768573107	RIVERBED TECHNOLOGY INC COM	07/27/2012	Various	RVBD	11,545 87	0.00	-4,310.03	0.00	0.00
410 0000									
790148100	ST JOE CO	07/27/2012	04/11/2012	JOE	3,912 95	0.00	-110 63	0.00	0.00
230 0000									

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 41 of 70
Ref DME

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Recipient's Name and Address
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Account Number: 26-47711
Recipient's Tax ID Number: 95-4682685

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.				
284131208	ADR ELAN PLC ADR REPRESENTING SHS		ELN				
360 0000	07/30/2012 Various		4,128 81	0 00	564 92	0 00	0 00
573083102	MARTHA STEWART LIVING OMNIMEDIA INC CL ACOM STK		MSO				
1070.0000	07/30/2012 08/29/2011		3,455 38	0 00	63 27	0 00	0 00
573083102	MARTHA STEWART LIVING OMNIMEDIA INC CL ACOM STK		MSO				
80 0000	07/30/2012 08/29/2011		258 22	0 00	4 60	0 00	0 00
42809H107	HESS CORP COM STK		HES				
300.0000	08/02/2012 Various		13,889.68	0 00	-4,839 62	0 00	0 00
054303102	AVON PRODS INC		AVP				
1000.0000	08/03/2012 04/25/2012		14,762.86	0 00	-7,033 54	0 00	0 00
87311L104	TW TELECOM INC CL A STK		TWTC				
500 0000	08/03/2012 Various		12,219.57	0 00	927 10	0 00	0 00
718546104	PHILLIPS 66 COM		PSX				
500.0000	08/08/2012 Various		19,830 65	0 00	2,889 60	0 00	0 00
89469A104	TREEHOUSE FOODS INC COM		THS				
330 0000	08/08/2012 05/09/2012		17,383 12	0 00	-1,466.78	0 00	0 00
172967424	CITIGROUP INC COM NEW COM NEW		C				
230.0000	08/09/2012 12/12/2011		6,592 18	0 00	320 29	0 00	0 00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Recipient's Name and Address
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Account Number 26-47711
Recipient's Tax ID Number 95-4682685

☐ **Corrected** ☐ **2nd TIN notice**

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
194014106	COLFAX CORP COM US 01	08/09/2012	Various	CFX	29,734.78	0.00	968.63	0.00	0.00
950.0000									
37733W105	GLAXO PLC	08/10/2012	12/23/2011	GSK	14,181.97	0.00	248.39	0.00	0.00
310.0000									
194014106	COLFAX CORP COM US 01	08/13/2012	Various	CFX	17,472.28	0.00	1,371.89	0.00	0.00
590.0000									
89469A104	TREEHOUSE FOODS INC COM	08/13/2012	05/09/2012	THS	12,566.60	0.00	-1,946.34	0.00	0.00
220.0000									
194014106	COLFAX CORP COM US 01	08/14/2012	01/05/2012	CFX	4,808.67	0.00	879.55	0.00	0.00
170.0000									
89469A104	TREEHOUSE FOODS INC COM	08/16/2012	Various	THS	14,160.21	0.00	-1,971.04	0.00	0.00
250.0000									
718546104	PHILLIPS 66 COM	08/17/2012	06/13/2012	PSX	6,286.04	0.00	1,768.31	0.00	0.00
190.0000									
45253H101	IMMUNOGEN INC COM	08/21/2012	Various	IMGN	18,815.44	0.00	1,135.69	0.00	0.00
1480.0000									
416515104	HARTFORD FINL SVCS GROUP INC	08/22/2012	Various	HIG	28,883.32	0.00	-1,668.24	0.00	0.00
1500.0000									

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 43 of 70
Ref DME

Recipient's Name and Address
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Account Number 26-47711
Recipient's Tax ID Number 95-4682685

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
768573107	RIVERBED TECHNOLOGY INC COM			RVBD	7,455 33	9,458 44	0.00	-2,003 11	0.00	0.00
370 0000	08/22/2012 Various									
42809H107	HESS CORP COM STK			HES	25,097.33	29,192 46	0.00	-4,095 13	0.00	0.00
500 0000	08/23/2012 Various									
67073Y106	NV ENERGY INC COM			NVE	6,381 68	5,686 85	0.00	694 83	0.00	0.00
360 0000	08/23/2012 03/15/2012									
24802N109	DEMAND MEDIA INC COM			DMD	3,452.29	2,943 22	0.00	509.07	0.00	0.00
350 0000	08/24/2012 Various									
24802N109	DEMAND MEDIA INC COM			DMD	8,810 08	7,754 49	0.00	1,055 59	0.00	0.00
900 0000	08/24/2012 05/10/2012									
74733V100	QEP RES INC COM STK			QEP	14,379.52	17,040 15	0.00	-2,660 63	0.00	0.00
500.0000	08/24/2012 Various									
24802N109	DEMAND MEDIA INC COM			DMD	3,605.86	2,652 09	0.00	953 77	0.00	0.00
370 0000	08/27/2012 03/01/2012									
45253H101	IMMUNOGEN INC COM			IMGN	1,016 95	849 51	0.00	167.44	0.00	0.00
70 0000	08/27/2012 12/21/2011									
532716107	LIMITED INC			LTD	8,281 16	7,352 91	0.00	928 25	0.00	0.00
170 0000	08/28/2012 06/19/2012									

This is important tax information and is being furnished to you.

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number
26-47711

Recipient's Tax ID Number
95-4682685

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address.

KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
254687106	WALT DISNEY CO	DIS	800 0000	08/29/2012	Various	39,845 34	31,082.42	0 00	8,762.92	0 00	0 00
26441C204	DUKE ENERGY CORP NEW COM NEW	DUK	200 0000	08/29/2012	07/09/2012	13,011 70	13,033.96	0 00	-22.26	0 00	0 00
45337C102	INCYTE GENOMICS INC	INCY	1200 0000	09/05/2012	Various	23,440 39	23,729.44	0 00	-289.05	0 00	0 00
496902404	KINROSS GOLD CORP COM NPV NEW	KGC	350 0000	09/10/2012	Various	3,367 06	5,288.22	0 00	-1,921.16	0 00	0 00
50075N104	KRAFT FOODS INC CL A		360 0000	09/10/2012	04/11/2012	14,557 13	13,304 16	0 00	1,252.97	0 00	0 00
42809H107	HESS CORP COM STK	HES	380.0000	09/11/2012	Various	20,672 33	20,299.73	0 00	372.60	0 00	0 00
423074103	H J HEINZ CO	HNZ	315.0000	09/14/2012	08/29/2012	17,679 20	17,914 90	0 00	-235.70	0 00	0 00
101137107	BOSTON SCIENTIFIC CORP	BSX	1010 0000	09/18/2012	05/22/2012	5,665 57	6,049 70	0 00	-384.13	0 00	0 00
24702R101	DELL INC	DELL	1000.0000	09/25/2012	08/22/2012	10,174 77	11,602 00	0 00	-1,427 23	0 00	0 00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Account Number 26-47711
Recipient's Tax ID Number 95-4682685
Corrected ☐ 2nd TIN notice ☐

Recipient's Name and Address
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
38259P508	GOOGLE INC CL A	09/25/2012	04/23/2012	GOOG	7,592.64	5,953.39	0.00	1,639.25	0.00	0.00
10 0000										
24802N109	DEMAND MEDIA INC COM	09/26/2012	Various	DMD	27,068.07	17,442.09	0.00	9,625.98	0.00	0.00
2435 0000										
881624209	TEVA PHARMACEUTICALS INDS LTD ADR	09/27/2012	07/25/2012	TEVA	20,383.69	20,291.60	0.00	92.09	0.00	0.00
500 0000										
H8817H100	TRANSOCEAN LTD	09/28/2012	Various	RIG	27,408.15	26,938.62	0.00	469.53	0.00	0.00
610 0000										
50076Q106	KRAFT FOODS GROUP INC COM	10/02/2012	04/11/2012	KRFT	31.40	26.06	0.00	5.34	0.00	0.00
6700										
462846106	IRON MOUNTAIN INC	10/04/2012	06/06/2012	IRM	7,261.29	6,696.21	0.00	565.08	0.00	0.00
210.0000										
786514208	SAFEWAY INC COM NEW	10/09/2012	07/19/2012	SWY	24,642.04	23,645.10	0.00	996.94	0.00	0.00
1500 0000										
194014106	COLFAX CORP COM US 01	10/10/2012	01/05/2012	CFX	8,784.93	6,788.71	0.00	1,996.22	0.00	0.00
240 0000										
039380100	ARCH COAL INC	10/12/2012	08/27/2012	ACI	7,115.95	5,990.03	0.00	1,125.92	0.00	0.00
920.0000										

This is important tax information and is being furnished to you.

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 26-47711
Recipient's Tax ID Number 95-4682685

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Page 46 of 70
Ref DME

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
			BF/B					
115637209	BROWN FORMAN CORP 00		2,282 94	1,951 10	0 00	331 84	0 00	0 00
35 0000	10/12/2012 04/16/2012							
115637209	BROWN FORMAN CORP 00		BF/B					
880 0000	10/12/2012 Various		57,301 32	48,814 16	0 00	8,487 16	0 00	0 00
172967424	CITIGROUP INC COM NEW COM NEW		C					
150 0000	10/16/2012 12/12/2011		5,509 22	4,090.36	0.00	1,418 86	0 00	0 00
718546104	PHILLIPS 66 COM		PSX					
230 0000	10/16/2012 Various		10,493 69	7,222 97	0 00	3,270 72	0 00	0 00
462846106	IRON MOUNTAIN INC		IRM					
790 0000	10/17/2012 06/06/2012		29,668 81	25,190 49	0 00	4,478.32	0 00	0 00
594918104	MICROSOFT CORP COM		MSFT					
140 0000	10/17/2012 07/30/2012		4,108 51	4,152.40	0 00	-43.89	0 00	0 00
254687106	WALT DISNEY CO		DIS					
490.0000	10/18/2012 Various		25,749 16	18,996 24	0 00	6,752.92	0 00	0 00
101137107	BOSTON SCIENTIFIC CORP		BSX					
3640 0000	10/19/2012 05/22/2012		19,148 51	21,802.87	0 00	-2,654 36	0 00	0 00
651639106	NEWMONT MINING CORP NEW COMMON STOCK		NEM					
500 0000	10/19/2012 08/15/2012		27,191 79	22,988 55	0 00	4,203 24	0 00	0 00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number
26-47711

Recipient's Tax ID Number
95-4682685

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
704549104	PEABODY ENERGY CORP COM	10/19/2012	04/13/2012	BTU	9,850.00	0.00	-536.32	0.00	0.00
340 0000				RVBD					
768573107	RIVERBED TECHNOLOGY INC COM	10/19/2012	Various	WIN	11,936.04	0.00	-458.35	0.00	0.00
500 0000				WIN					
97381W104	WINDSTREAM CORP COM STK	10/19/2012	06/21/2012	25,886.83	25,624.04	0.00	262.79	0.00	0.00
2600 0000				BEAM					
073730103	BEAM INC	10/22/2012	Various	27,875.52	28,869.26	0.00	-993.74	0.00	0.00
500 0000				TM					
892331307	TOYOTA MOTOR CORP SP ADR REP2COM	10/22/2012	Various	15,770.15	16,727.48	0.00	-957.33	0.00	0.00
200 0000				ARMH					
042068106	ARM HLDGS PLC SPONSORED ADR	10/23/2012	Various	35,264.59	29,393.40	0.00	5,871.19	0.00	0.00
1160.0000				BMC					
055921100	BMC SOFTWARE INC	10/23/2012	05/10/2012	22,227.57	21,786.73	0.00	440.84	0.00	0.00
540.0000				BTU					
704549104	PEABODY ENERGY CORP COM	10/23/2012	04/13/2012	3,413.67	3,476.47	0.00	-62.80	0.00	0.00
120 0000				LUV					
844741108	SOUTHWEST AIRLINES CO	10/23/2012	10/03/2012	17,522.00	18,281.40	0.00	-759.40	0.00	0.00
2000 0000									

This is important tax information and is being furnished to you

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number:
26-47711

26-47711

Recipient's Name and Address.

KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Corrected

2nd TIN notice

Page 48 of 70
Ref: DME

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
959802109	WESTERN UNION CO	10/31/2012	09/14/2012	7,949 34	11,304 12	0 00	-3,354 78	0 00	0 00
054303102	AVON PRODS INC	11/01/2012	Various	13,390 99	19,062 46	0 00	-5,671.47	0 00	0 00
74733V100	QEP RES INC COM STK	11/02/2012	Various	13,551.25	14,614 10	0 00	-1,062.85	0 00	0 00
G96666105	WILLIS GROUP HOLDINGS COM USD0.0001115 (NEW)	11/02/2012	03/21/2012	16,336.72	16,778.50	0 00	-441 78	0 00	0 00
039380100	ARCH COAL INC	11/07/2012	08/27/2012	22,063 18	18,751 39	0 00	3,311.79	0 00	0 00
2880 0000	KRAFT FOODS GROUP INC COM	11/07/2012	04/11/2012	10,403 05	9,051 45	0 00	1,351.60	0 00	0 00
50076Q106	COGENT COMMUNICATIONS GROUP INC COM NEW COM	11/08/2012	Various	22,696.89	17,044.02	0 00	5,652.87	0 00	0 00
232.6700	COGENT COMMUNICATIONS GROUP INC COM NEW COM	11/09/2012	11/22/2011	7,273.95	5,224.70	0 00	2,049 25	0 00	0 00
19239V302	EMC CORP MASS	11/09/2012	Various	7,547 28	6,782.53	0 00	764 75	0 00	0 00
1100.0000									
19239V302									
350 0000									
268648102									
310 0000									

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 49 of 70
Ref. DME

Recipient's Name and Address.

KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Account Number: 26-47711

Recipient's Tax ID Number. 95-4682685

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
747525103	QUALCOMM INC	11/09/2012	06/19/2012	QCOM	6,767 45	6,292 74	0 00	474 71	0 00	0 00
110 0000										
855030102	STAPLES INC	11/09/2012	08/15/2012	SPLS	13,549.13	13,654 68	0 00	-105 55	0 00	0 00
1200 0000										
002896207	ABERCROMBIE & FITCH CO CL A	11/14/2012	Various	ANF	40,237 38	30,822 70	0 00	9,414 70	0 00	0 00
1000.0000										
532716107	LIMITED INC	11/14/2012	06/19/2012	LTD	6,162.34	5,622 81	0 00	539.53	0 00	0 00
130 0000										
963320106	WHIRLPOOL CORP	11/14/2012	Various	WHR	48,426.30	32,903 52	0 00	15,522 79	0 00	0 00
500 0000										
87819P102	#REORG/TEAVANA HLDGS CASH MERGER 12/31/2012	11/15/2012	10/05/2012	TEA	3,388.87	2,930 73	0 00	458 14	0 00	0 00
220.0000										
G96666105	WILLIS GROUP HOLDINGS COM USD0 000115 (NEW)	11/16/2012	03/21/2012	WSH	15,118.22	15,729 84	0 00	-611 62	0 00	0 00
450.0000										
254687106	WALT DISNEY CO	11/20/2012	01/13/2012	DIS	28,928 53	23,006 46	0 00	5,922.07	0 00	0 00
600 0000										
87819P102	#REORG/TEAVANA HLDGS CASH MERGER 12/31/2012	11/20/2012	10/05/2012	TEA	10,191 01	8,925 41	0 00	1,265 60	0 00	0 00
670 0000										

This is important tax information and is being furnished to you.

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number 26-47711
Recipient's Tax ID Number 95-4682685

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Page 50 of 70
Ref DME

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
002896207	ABERCROMBIE & FITCH CO CL A	11/23/2012	10/23/2012	ANF	21,944.15	15,367.65	0.00	6,576.50	0.00	0.00
110122108	BRISTOL MYERS SQUIBB CO	11/27/2012	Various	BMJ	31,061.74	31,188.61	0.00	-126.87	0.00	0.00
548661107	LOWES COMPANIES INC	11/27/2012	Various	LOW	17,599.65	13,155.01	0.00	4,444.64	0.00	0.00
87819P102	#REORG/TEAVANA HLDGS CASH MERGER 12/31/2012	11/28/2012	Various	TEA	9,839.46	9,108.33	0.00	731.13	0.00	0.00
87819P102	#REORG/TEAVANA HLDGS CASH MERGER 12/31/2012	11/29/2012	10/17/2012	TEA	13,206.30	11,990.73	0.00	1,215.57	0.00	0.00
14040H105	CAPITAL ONE FINL CORP	11/30/2012	Various	COF	57,900.81	51,235.04	0.00	6,665.77	0.00	0.00
278058102	EATON CORP	12/03/2012	01/04/2012	ETN	28,926.80	25,576.10	0.00	3,350.70	0.00	0.00
959802109	WESTERN UNION CO	12/05/2012	09/14/2012	WU	7,659.12	11,304.12	0.00	-3,645.00	0.00	0.00
037833100	APPLE COMPUTER INC	12/07/2012	04/23/2012	AAPL	27,636.29	28,748.28	0.00	-1,111.99	0.00	0.00

This is important tax information and is being furnished to you.

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Account Number 26-47711
Recipient's Tax ID Number 95-4682685
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
073730103	BEAM INC	12/12/2012	02/03/2012	BEAM	30,689 96	26,887 10	0 00	3,802 86	0 00	0 00
458140100	INTEL CORP COM	12/12/2012	08/22/2012	INTC	20,639 53	25,823 50	0 00	-5,183 97	0 00	0 00
067901108	BARRICK GOLD CORP	12/13/2012	05/23/2012	ABX	10,626 25	12,073 45	0 00	-1,447 20	0 00	0 00
423074103	H J HEINZ CO	12/14/2012	Various	HNZ	18,626 48	17,754 09	0 00	872.39	0 00	0 00
93317Q105	WALTER INDS INC	12/14/2012	Various	WLT	11,638 90	11,628 49	0 00	10 41	0 00	0 00
097023105	BOEING CO	12/19/2012	12/11/2012	BA	30,276 32	30,289.60	0 00	-13.28	0 00	0 00
G81477104	SINA CORPORATION COM STK USD0.133	12/19/2012	11/07/2012	SINA	20,049 95	22,035.56	0 00	-1,985.61	0 00	0 00
225447101	CREE INC	12/27/2012	Various	CREE	16,590 52	12,548 33	0 00	4,042 19	0 00	0 00
Total Short Term Sales					7,603,231.58	7,378,856 26	0 00	224,375 31	0 00	0 00

Long Term 15% Sales

This is important tax information and is being furnished to you.

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number:
26-47711
Recipient's Tax ID Number:
95-4682685

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Page 52 of 70
Ref DME

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
14170T101	CAREFUSION CORP COM	CFN	8,237.68	9,291.27	0.00	-1,053.59	0.00	0.00
360 0000	01/17/2012 Various							
14170T101	CAREFUSION CORP COM	CFN	10,009.56	10,968.02	0.00	-958.46	0.00	0.00
430 0000	01/19/2012 Various							
942683103	WATSON PHARMACEUTICALS INC	WPI	36,344.23	27,939.71	0.00	8,404.52	0.00	0.00
625 0000	01/26/2012 Various							
942683103	WATSON PHARMACEUTICALS INC	WPI	3,779.80	3,504.17	0.00	275.63	0.00	0.00
65 0000	01/26/2012 01/21/2011							
037411105	APACHE CORP	APA	15,399.75	17,366.80	0.00	-1,967.05	0.00	0.00
155.0000	02/02/2012 01/28/2011							
14170T101	CAREFUSION CORP COM	CFN	17,695.24	18,026.14	0.00	-330.90	0.00	0.00
720 0000	02/03/2012 Various							
92826C839	VISA INC CLASS A SHARES	V	10,720.58	6,745.33	0.00	3,975.25	0.00	0.00
100.0000	02/03/2012 12/17/2010							
16950W105	ADR CHINA XINIYA FASHION LTD SPONSORED ADR	XNY	6,814.19	30,578.11	0.00	-23,763.92	0.00	0.00
3060 0000	02/07/2012 Various							
16950W105	ADR CHINA XINIYA FASHION LTD SPONSORED ADR	XNY	5,233.11	19,383.91	0.00	-14,150.80	0.00	0.00
2350 0000	02/07/2012 Various							

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Account Number 26-47711
Recipient's Tax ID Number 95-4682685
Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Recipient's Name and Address:
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
17275R102	CISCO SYS INC	CSCO	16,111 69	15,285.42	0 00	826.27	0.00	0.00
800 0000	02/08/2012 Various	V	17,947 21	10,455.26	0 00	7,491 95	0 00	0.00
92826C839	VISA INC CLASS A SHARES	AMZN	16,902.27	11,071.35	0 00	5,830.92	0 00	0.00
155 0000	02/23/2012 12/17/2010	APA	2,705 11	2,801.10	0 00	-95.99	0 00	0.00
023135106	AMAZON COM INC	AAPL	18,635 90	11,987 07	0 00	6,648.83	0.00	0.00
95 0000	03/01/2012 Various	ORCL	13,814 33	15,132.35	0 00	-1,318.02	0.00	0.00
037411105	APACHE CORP	AAPL	17,896 77	9,998.36	0 00	7,898 41	0.00	0.00
25 0000	03/01/2012 01/28/2011	WAG	13,710 12	11,909 61	0 00	1,800.51	0.00	0.00
037833100	APPLE COMPUTER INC	AMYLIN PHARMACEUTICALS COM	21,467 71	9,561 02	0 00	11,906 69	0 00	0.00
35 0000	03/05/2012 02/23/2011							
68389X105	ORACLE CORPORATION							
460 0000	03/06/2012 Various							
037833100	APPLE COMPUTER INC							
30 0000	03/19/2012 03/18/2011							
931422109	WALGREEN CO							
395.0000	03/27/2012 Various							
032346108	AMYLIN PHARMACEUTICALS COM							
940 0000	03/28/2012 10/20/2010							

This is important tax information and is being furnished to you

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number

26-47711
95-4682685

Recipient's Tax ID Number:

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
037833100	APPLE COMPUTER INC	AAPL	3,143.57	1,666.39	0.00	1,477.17	0.00	0.00
5 0000	04/03/2012 03/18/2011							
037833100	APPLE COMPUTER INC	AAPL	9,430.69	3,576.38	0.00	5,854.31	0.00	0.00
15 0000	04/03/2012 05/07/2010							
68389X105	ORACLE CORPORATION	ORCL	11,885.63	13,354.32	0.00	-1,468.69	0.00	0.00
410 0000	04/09/2012 01/27/2011							
17275R102	CISCO SYS INC	CSCO	14,096.73	13,206.00	0.00	890.73	0.00	0.00
710.0000	04/10/2012 05/28/2009							
17275R102	CISCO SYS INC	CSCO	4,169.46	3,943.80	0.00	225.66	0.00	0.00
210 0000	04/10/2012 02/17/2011							
17275R102	CISCO SYS INC	CSCO	6,523.95	6,197.40	0.00	326.55	0.00	0.00
330.0000	04/10/2012 02/17/2011							
17275R102	CISCO SYS INC	CSCO	1,186.17	1,144.55	0.00	41.62	0.00	0.00
60 0000	04/10/2012 12/03/2010							
743315103	PROGRESSIVE CORP OHIO	PGR	5,833.28	4,526.70	0.00	1,306.58	0.00	0.00
260.0000	04/11/2012 Various							
743315103	PROGRESSIVE CORP OHIO	PGR	22,675.64	16,238.59	0.00	6,437.05	0.00	0.00
1060 0000	04/24/2012 Various							

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 55 of 70
Ref DME

Recipient's Name and Address
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Account Number 26-47711
Recipient's Tax ID Number 95-4682685

☐ **Corrected** ☐ **2nd TIN notice**

THE NORTHERN TRUST COMPANY
P O BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
74733V100	QEP RES INC COM STK	QEP	12,763.66	16,420.86	0.00	-3,657.20	0.00	0.00
430 0000	04/24/2012 Various							
942683103	WATSON PHARMACEUTICALS INC	WPI	34,849.88	20,183.63	0.00	14,666.25	0.00	0.00
515 0000	04/24/2012 Various							
17275R102	CISCO SYS INC	CSCO	20,786.34	19,637.77	0.00	1,148.57	0.00	0.00
1060 0000	04/26/2012 Various							
032511107	ANADARKO PETROLEUM CORP	APC	8,337.98	8,694.70	0.00	-356.72	0.00	0.00
110 0000	05/01/2012 04/25/2011							
032511107	ANADARKO PETROLEUM CORP	APC	14,190.54	13,434.50	0.00	756.04	0.00	0.00
210 0000	05/08/2012 Various							
032511107	ANADARKO PETROLEUM CORP	APC	34,462.74	39,060.94	0.00	-4,598.20	0.00	0.00
510 0000	05/08/2012 Various							
478160104	JOHNSON & JOHNSON	JNJ	20,064.45	19,802.80	0.00	261.65	0.00	0.00
310.0000	05/08/2012 Various							
478160104	JOHNSON & JOHNSON	JNJ	29,164.12	28,279.85	0.00	884.27	0.00	0.00
460 0000	05/22/2012 Various							
13342B105	CAMERON INT'L CORP COM	CAM	47,384.02	51,914.68	0.00	-4,530.66	0.00	0.00
1000.0000	05/24/2012 Various							

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 56 of 70
Ref DME

Recipient's Name and Address
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Account Number 26-47711
Recipient's Tax ID Number 95-4682685

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
48203R104	JUNIPER NETWORKS INC	06/07/2012	05/27/2011	JNPR	14,889.12	0.00	-8,025.28	0.00	0.00
400 0000				6,863.84					
68389X105	ORACLE CORPORATION	06/11/2012	01/27/2011	ORCL	13,680.03	0.00	-2,248.18	0.00	0.00
420 0000				11,431.85					
68389X105	ORACLE CORPORATION	06/14/2012	Various	ORCL	8,774.39	0.00	-1,436.04	0.00	0.00
270 0000				7,338.35					
68389X105	ORACLE CORPORATION	06/19/2012	Various	ORCL	8,688.54	0.00	-1,015.43	0.00	0.00
270 0000				7,673.11					
931422109	WALGREEN CO	06/21/2012	05/28/2009	WAG	3,014.00	0.00	-73.12	0.00	0.00
100 0000				2,940.88					
931422109	WALGREEN CO	06/21/2012	05/28/2009	WAG	3,315.40	0.00	-88.74	0.00	0.00
110 0000				3,226.66					
931422109	WALGREEN CO	06/22/2012	Various	WAG	5,098.15	0.00	-5.78	0.00	0.00
170.0000				5,092.37					
571748102	MARSH & MCLENNAN COS INC	06/26/2012	01/04/2010	MMC	6,175.00	0.00	2,467.59	0.00	0.00
280 0000				8,642.59					
74733V100	QEP RES INC COM STK	06/29/2012	04/15/2011	QEP	1,142.01	0.00	-261.15	0.00	0.00
30 0000				880.86					

This is important tax information and is being furnished to you.

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number.
26-47711
Recipient's Tax ID Number
95-4682685

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
13342B105	CAMERON INT'L CORP COM	CAM	59,840.05	58,776.69	0.00	1,063.36	0.00	0.00
1210 0000	07/26/2012 Various	ELN	2,293.79	1,683.70	0.00	610.09	0.00	0.00
284131208	ADR ELAN PLC ADR REPRESENTING SHS	LVNTA	2,659.62	2,252.28	0.00	407.34	0.00	0.00
200 0000	07/30/2012 05/11/2011	IMGN	13,510.92	7,903.49	0.00	5,607.43	0.00	0.00
53071M880	LIBERTY INTERACTIVE CORP LIBERTY VENTURES COM	KGC	20,683.39	37,662.63	0.00	-16,979.24	0.00	0.00
57.0000	SER A 08/24/2012 Various		246.44	215.25	0.00	31.19	0.00	0.00
45253H101	IMMUNOGEN INC COM	ORCL	22,625.06	22,735.45	0.00	-110.39	0.00	0.00
930.0000	08/27/2012 Various	QEP	15,024.21	15,103.83	0.00	-79.62	0.00	0.00
496902404	KINROSS GOLD CORP COM NPV NEW	KRFT	9,985.44	7,714.62	0.00	2,270.82	0.00	0.00
2150 0000	09/10/2012 07/20/2011							
53071M112	#REORG/LIBERTY INTERACTIVE CORP WORTHLESS DUE							
19.0000	10/23/2012 09/14/2012 Various							
68389X105	ORACLE CORPORATION							
720.0000	10/16/2012 Various							
74733V100	QEP RES INC COM STK							
510 0000	11/02/2012 Various							
50076Q106	KRAFT FOODS GROUP INC COM							
223 3300	11/07/2012 10/05/2011							

This is important tax information and is being furnished to you.

2012 Tax Information Statement

Page 58 of 70
Ref. DME

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Recipient's Name and Address
KLM FOUNDATION
27TH FLOOR
633 W 5TH STREET
LOS ANGELES, CA 90071

Account Number 26-47711
Recipient's Tax ID Number 95-4682685

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums

Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
110122108	BRISTOL MYERS SQUIBB CO			BMV					
550.0000	11/27/2012 Various			17,983.11	15,900.68	0.00	2,082.43	0.00	0.00
H27013103	WEATHERFORD INTL LTD			WFT					
2000 0000	12/14/2012 Various			20,704.73	35,480.97	0.00	-14,776.24	0.00	0.00
G72800108	PROTHENA CORP PLC USD0 01			PRTA					
4100	12/21/2012 05/11/2011			2.39	2.69	0.00	-0.30	0.00	0.00
Total Long Term 15% Sales				794,023.76	793,497.78	0.00	525.97	0.00	0.00

This is important tax information and is being furnished to you.

Accounting by Account

31 DEC 12

Account number Multiple Accounts

2680046,2675514,2680045,2672848,2601329,2625543,2625149,2629908,2647711,2681426

Asset Summary by Account

Page 1 of 11

2601329 - KLM FOUNDATION

Country	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Transaction	Total	Market value incl accruals	%
<i>Equities</i>								
Common stock								
Brazil - USD	0.00	25 603.05	77,176.82	-51 573.77	0.00	-51 573.77	25 603.05	0.062%
Canada - USD	191.28	286,161.50	312 902.90	-26 741.40	0.00	-26 741.40	286,352.78	0.695%
Germany - USD	0.00	1,109.25	32 641.61	-31,532.36	0.00	-31 532.36	1 109.25	0.003%
United States - USD	626.60	2,034,201.41	2,068 641.45	-34,440.04	0.00	-34 440.04	2 034 828.01	4.938%
Total common stock	817.88	2,347,075.21	2,491,362.78	-144,287.57	0.00	-144,287.57	2,347,893.09	5.698%
Funds - common stock								
International Region - USD	0.00	6,113,342.73	5,089,348.95	1,023 993.78	0.00	1 023,993.78	6,113,342.73	14.837%
United States - USD	0.00	5,077 350.19	3 864 893.27	1,212 456.92	0.00	1 212 456.92	5 077,350.19	12.323%
Total funds - common stock	0.00	11,190,692.92	8,954,242.22	2,236,450.70	0.00	2,236,450.70	11,190,692.92	27.159%
Total equities	817.88	13,537,768.13	11,445,605.00	2,092,163.13	0.00	2,092,163.13	13,538,586.01	32.858%
<i>Fixed Income</i>								
Funds - government agencies								
United States - USD	1,840.58	3,564 280.36	3 603 027.03	-38,746.67	0.00	-38 746.67	3 565 120.94	8.655%
Total funds - government agencies	1,840.58	3,564,280.36	3,603,027.03	-38,746.67	0.00	-38,746.67	3,566,120.94	8.655%
Funds - other fixed income								
United States - USD	7 259.03	1,178 761.22	1,133 011.38	45,749.84	0.00	45 749.84	1 186 020.25	2.878%
Total funds - other fixed income	7,259.03	1,178,761.22	1,133,011.38	45,749.84	0.00	45,749.84	1,186,020.25	2.878%
Total fixed income	9,099.61	4,743,041.58	4,736,038.41	7,003.17	0.00	7,003.17	4,752,141.19	11.533%
<i>Commodities</i>								
Funds - commodity linked								
United States - USD	0.00	873 386.89	1 159 151.34	-285 764.45	0.00	-285 764.45	873 386.89	2.120%
Total funds - commodity linked	0.00	873,386.89	1,159,151.34	-285,764.45	0.00	-285,764.45	873,386.89	2.120%

Northern Trust

*Generated by Northern Trust from reviewed periodic data on 21 Oct 13 B0887

Accounting by Account

31 DEC 12

Account number Multiple Accounts

2680046,2675514,2680045,2672848,2601329,2625543,2625149,2629908,2647711,2681426

Asset Summary by Account

Page 2 of 11

2601329 - KLM FOUNDATION

Country	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Transaction	Total	Market value incl accruals	%
<i>Commodities</i>								
Funds - commodity etf								
Global Region - USD	0.00	117,457.25	51,367.10	66,090.15	0.00	66,090.15	117,457.25	0.285%
Total funds - commodity etf	0.00	117,457.25	51,367.10	66,090.15	0.00	66,090.15	117,457.25	0.285%
Total commodities	0.00	990,844.14	1,210,518.44	-219,674.30	0.00	-219,674.30	990,844.14	2.405%
<i>Cash and Cash Equivalents</i>								
Funds - short term investment								
Funds - Short Term Investment	72.06	7,255,295.03	7,255,295.03	0.00	0.00	0.00	7,255,367.09	17.609%
Total funds - short term investment	72.06	7,255,295.03	7,255,295.03	0.00	0.00	0.00	7,255,367.09	17.609%
Total cash and cash equivalents	72.06	7,255,295.03	7,255,295.03	0.00	0.00	0.00	7,255,367.09	17.609%
<i>Adjustments To Cash</i>								
Pending trade purchases								
Pending trade purchases	0.00	-9,099.61	-9,099.61	0.00	0.00	0.00	-9,099.61	-0.022%
Total pending trade purchases	0.00	-9,099.61	-9,099.61	0.00	0.00	0.00	-9,099.61	-0.022%
Total adjustments to cash	0.00	-9,099.61	-9,099.61	0.00	0.00	0.00	-9,099.61	-0.022%
Total for 2601329	9,989.55	26,517,849.27	24,638,357.27	1,879,492.00	0.00	1,879,492.00	26,527,838.82	64.382%
Total Cost incl. Accruals			24,648,346.82					

Accounting by Account

31 DEC 12

Account number Multiple Accounts

2680046,2675514,2680045,2672848,2601329,2625543,2625149,2629908,2647711,2681426

Asset Summary by Account

Page 6 of 11

2647711 - KLM FOUNDATION - PLATTE RIVER

Country	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Transaction	Total	Market value incl accruals	%
Equities								
Common stock								
Canada - USD	151.30	121,274.50	138,439.95	-17,165.45	0.00	-17,165.45	121,425.80	0.295%
France - USD	0.00	63,800.00	69,497.10	-5,697.10	0.00	-5,697.10	63,800.00	0.155%
Germany - USD	0.00	51,242.62	55,592.06	-4,349.44	0.00	-4,349.44	51,242.62	0.124%
Ireland - USD	0.00	35,529.59	28,628.16	6,901.43	0.00	6,901.43	35,529.59	0.086%
Israel - USD	0.00	37,340.00	39,788.50	-2,448.50	0.00	-2,448.50	37,340.00	0.091%
Japan - USD	0.00	130,617.50	101,030.59	29,586.91	0.00	29,586.91	130,617.50	0.317%
Netherlands - USD	0.00	57,450.00	52,122.90	5,327.10	0.00	5,327.10	57,450.00	0.139%
Sweden - USD	0.00	35,350.00	31,256.45	4,093.55	0.00	4,093.55	35,350.00	0.086%
Switzerland - USD	0.00	143,020.00	125,214.24	17,805.76	0.00	17,805.76	143,020.00	0.347%
United Kingdom - USD	1,071.05	232,893.30	238,221.36	-5,328.06	0.00	-5,328.06	233,964.35	0.568%
United States - USD	5,974.10	5,011,532.44	4,318,634.94	692,897.50	0.00	692,897.50	5,017,506.54	12.177%
Total common stock	7,196.45	5,920,049.95	5,198,426.25	721,623.70	0.00	721,623.70	5,927,246.40	14.385%

Preferred stock

Brazil - USD	0.00	28,950.00	34,105.85	-5,155.85	0.00	-5,155.85	28,950.00	0.070%
Total preferred stock	0.00	28,950.00	34,105.85	-5,155.85	0.00	-5,155.85	28,950.00	0.070%

Funds - equities etf

Emerging Markets Region - USD	0.00	44,530.00	42,730.00	1,800.00	0.00	1,800.00	44,530.00	0.108%
Total funds - equities etf	0.00	44,530.00	42,730.00	1,800.00	0.00	1,800.00	44,530.00	0.108%
Total equities	7,196.45	5,993,529.95	5,275,262.10	718,267.85	0.00	718,267.85	6,000,726.40	14.564%

Cash and Cash Equivalents

Funds - short term investment

Funds - Short Term Investment	8.12	541,334.14	541,334.14	0.00	0.00	0.00	541,342.26	1.314%
Total funds - short term investment	8.12	541,334.14	541,334.14	0.00	0.00	0.00	541,342.26	1.314%
Total cash and cash equivalents	8.12	541,334.14	541,334.14	0.00	0.00	0.00	541,342.26	1.314%

Northern Trust

*Generated by Northern Trust from reviewed periodic data on 21 Oct 13 B0887

Accounting by Account

31 DEC 12

Account number Multiple Accounts

2680046,2675514,2680045,2672848,2601329,2625543,2625149,2629908,2647711,2681426

◆ Asset Summary by Account

Page 7 of 11

2647711 - KLM FOUNDATION - PLATTE RIVER

Description	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Transaction	Total	Market value incl accruals	%
<i>Adjustments To Cash</i>								
Pending trade purchases								
Pending trade purchases	0.00	-24,693.80	-24,693.80	0.00	0.00	0.00	-24,693.80	-0.060%
Total pending trade purchases	0.00	-24,693.80	-24,693.80	0.00	0.00	0.00	-24,693.80	-0.060%
Pending trade sales								
Pending trade sales	0.00	16,590.52	16,590.52	0.00	0.00	0.00	16,590.52	0.040%
Total pending trade sales	0.00	16,590.52	16,590.52	0.00	0.00	0.00	16,590.52	0.040%
Total adjustments to cash	0.00	-8,103.28	-8,103.28	0.00	0.00	0.00	-8,103.28	-0.020%
Total for 2647711	7,204.57	6,526,760.81	5,808,492.96	718,267.85	0.00	718,267.85	6,533,965.38	15.858%
Total Cost incl. Accruals			5,815,697.53					

Accounting by Account

31 DEC 12

Account number Multiple Accounts

2680046,2675514,2680045,2672848,2601329,2625543,2625149,2629908,2647711,2681426

◆ Asset Summary by Account

Page 8 of 11

2675514 - KLM FDN-CRESCENT CAP

Country	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Transaction	Total	Market value incl accruals	%
<i>Fixed Income</i>								
Funds - other fixed income								
United States - USD	9,195.04	1,084,120.89	1,118,520.38	-34,399.49	0.00	-34,399.49	1,093,315.93	2.653%
Total funds - other fixed income	9,195.04	1,084,120.89	1,118,520.38	-34,399.49	0.00	-34,399.49	1,093,315.93	2.653%
Total fixed income	9,195.04	1,084,120.89	1,118,520.38	-34,399.49	0.00	-34,399.49	1,093,315.93	2.653%
<i>Adjustments To Cash</i>								
Pending trade purchases								
Pending trade purchases	0.00	-9,195.04	-9,195.04	0.00	0.00	0.00	-9,195.04	-0.022%
Total pending trade purchases	0.00	-9,195.04	-9,195.04	0.00	0.00	0.00	-9,195.04	-0.022%
Total adjustments to cash	0.00	-9,195.04	-9,195.04	0.00	0.00	0.00	-9,195.04	-0.022%
Total for 2675514	9,195.04	1,074,925.85	1,109,325.34	-34,399.49	0.00	-34,399.49	1,084,120.89	2.631%
Total Cost incl. Accruals			1,118,520.38					

Accounting by Account

31 DEC 12

Account number Multiple Accounts

2680046,2675514,2680045,2672848,2601329,2625543,2625149,2629908,2647711,2681426

Asset Summary by Account

Page 11 of 11

2681426 - KLM FOUNDATION-CAPGEMRGMKTS

Country	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Transaction	Total	Market value incl. accruals	%
Equities								
Funds - common stock								
Emerging Markets Region - USD	0.00	1,752,930.52	2,348,142.35	-595,211.83	0.00	-595,211.83	1,752,930.52	4.254%
Total funds - common stock	0.00	1,752,930.52	2,348,142.35	-595,211.83	0.00	-595,211.83	1,752,930.52	4.254%
Total equities								
	0.00	1,752,930.52	2,348,142.35	-595,211.83	0.00	-595,211.83	1,752,930.52	4.254%
Total for 2681426	0.00	1,752,930.52	2,348,142.35	-595,211.83	0.00	-595,211.83	1,752,930.52	4.254%
Total Cost Incl. Accruals			2,348,142.35					
Total Unrealized Gains						5,412,916.18		
Total Unrealized Losses						-1,888,976.88		
Total	26,394.73	41,177,320.36	37,653,381.06	3,523,939.30	0.00	3,523,939.30	41,203,716.09	100.000%
Total Cost Incl. Accruals			37,679,775.79					

Please note that this report has been prepared using best available data. This report may also contain information provided by third parties, derived from third party data and/or data that may have been categorized or otherwise reported based upon client direction - Northern Trust assumes no responsibility for the accuracy, timeliness or completeness of any such information. Northern Trust assumes no responsibility for the consequences of investment decisions made in reliance on information contained in this report. If you have questions regarding third party data or direction as it relates to this report, please contact your Northern Trust relationship team.

IRS CIRCULAR 230 NOTICE: To the extent that this message or any attachment concerns tax matters, it is not intended to be used and cannot be used by a taxpayer for the purpose of avoiding penalties that may be imposed by law. For more information about this notice, see <http://www.irs.gov/efile>.

Northern Trust

*Generated by Northern Trust from reviewed periodic data on 21 Oct 13 B0887

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions KLM FOUNDATION	Employer identification number (EIN) or 95-4682685
	Number, street, and room or suite no. If a P.O. box, see instructions. 10100 SANTA MONICA BLVD., STE 610	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. LOS ANGELES, CA 90067	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

WTAS LLC - 400 SOUTH HOPE STREET, SUITE 2000 - LOS

- The books are in the care of ► **ANGELES, CA 90071**

Telephone No. ► **213-593-2300**

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2013**, to file the exempt organization return for the organization named above. The extension

is for the organization's return for:

► ☒ calendar year **2012** or

► ☐ tax year beginning _____, and ending _____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 29,210.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 29,210.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2013)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions	
Type or print Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
KLM FOUNDATION	95-4682685
File by the due date for filing your return. See instructions. Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
10100 SANTA MONICA BLVD., STE 610	
City, town or post office, state, and ZIP code. For a foreign address, see instructions	
LOS ANGELES, CA 90067	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990 BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

WTAS LLC - 400 SOUTH HOPE STREET, SUITE 2000 - LOS

- The books are in the care of ☒ **ANGELES, CA 90071**

Telephone No ☒ **213-593-2300**

FAX No ☐

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2013**

5 For calendar year **2012**, or other tax year beginning _____, and ending _____

6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

7 State in detail why you need the extension

ADDITIONAL TIME IS REQUIRED IN ORDER TO OBTAIN INFORMATION NEEDED TO FILE A COMPLETE AND ACCURATE TAX RETURN.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$	29,210.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$	29,210.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☒ **John R. Austin** Title ☒ **CPA**

Date ☒ **7-25-13**

Form 8868 (Rev. 1-2013)