Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to public Inspection

For calendar year 2012 or tax year beginning , **and ending**

Name of foundation
STANLEY SMITH HORTICULTURAL TRUST

A Employer identification number
94-6209165

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
2320 MARINSHIP WAY SUITE 150

B Telephone number
(415) 332-0166

City or town, state, and ZIP code
SAUSALITO, CA 94965

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 15,306,176.

J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I	**Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc , received			N/A	
2	Check ▶ ☒ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	79.	79.		STATEMENT 1
4	Dividends and interest from securities	454,155.	454,155.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	349,749.			
b	Gross sales price for all assets on line 6a 10,334,173.				
7	Capital gain net income (from Part IV, line 2)		349,749.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	**Total.** Add lines 1 through 11	803,983.	803,983.		
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	25,000.	12,500.		12,500.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees STMT 3	26,445.	13,222.		12,606.
c	Other professional fees STMT 4	166,400.	97,400.		69,000.
17	Interest				
18	Taxes STMT 5	13,582.	0.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings	2,992.	1,496.		1,496.
22	Printing and publications				
23	Other expenses STMT 6	993.	264.		729.
24	**Total operating and administrative expenses.** Add lines 13 through 23	235,412.	124,882.		96,331.
25	Contributions, gifts, grants paid	690,630.			690,630.
26	**Total expenses and disbursements.** Add lines 24 and 25	926,042.	124,882.		786,961.
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-122,059.			
b	**Net investment income** (if negative, enter -0-)		679,101.		
c	**Adjusted net income** (if negative, enter -0-)			N/A	

SCANNED JUN 20 2013

RECEIVED JUN 1 2013 OGDEN, UT

223501 12-05-12 LHA **For Paperwork Reduction Act Notice, see instructions.**

914-17

8

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	339,613.	565,051.	565,051.
	3	Accounts receivable ▶ 34,079.			
		Less allowance for doubtful accounts ▶	33,233.	34,079.	34,079.
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	379.	1,498.	1,498.
	10a	Investments - U S and state government obligations			
	b	Investments - corporate stock STMT 8	13,486,421.	12,761,424.	13,187,654.
	c	Investments - corporate bonds STMT 9	1,106,089.	1,484,415.	1,517,894.
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers)	14,965,735.	14,846,467.	15,306,176.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶ STATEMENT 10)	21,607.	24,398.	
	23	**Total liabilities** (add lines 17 through 22)	21,607.	24,398.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ **and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	6,736,560.	6,736,560.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	8,207,568.	8,085,509.	
	30	**Total net assets or fund balances**	14,944,128.	14,822,069.	
	31	**Total liabilities and net assets/fund balances**	14,965,735.	14,846,467.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	14,944,128.
2	Enter amount from Part I, line 27a	2	-122,059.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	14,822,069.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	14,822,069.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	RBC REALIZED GAIN (LOSS)	P		
b	RBC - SECURITIES LITIGATION			
c	CAPITAL GAIN DIVIDENDS			
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	10,304,386.		9,984,424.	319,962.
b	12,782.			12,782.
c	17,005.			17,005.
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				319,962.
b				12,782.
c				17,005.
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 349,749.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	782,958.	15,856,230.	.049379
2010	463,750.	11,241,903.	.041252
2009	668,455.	9,064,602.	.073743
2008	844,849.	13,486,801.	.062643
2007	791,728.	17,731,589.	.044651

2	Total of line 1, column (d)	2	.271668
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.054334
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	15,083,100.
5	Multiply line 4 by line 3	5	819,525.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	6,791.
7	Add lines 5 and 6	7	826,316.
8	Enter qualifying distributions from Part XII, line 4	8	786,961.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary-see instructions)**				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	13,582.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3 Add lines 1 and 2			3	13,582.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	13,582.
6 Credits/Payments				
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	15,080.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments Add lines 6a through 6d			7	15,080.
8 Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	1,498.
11 Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶		1,498. **Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV.*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Form **990-PF** (2012)

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.ADMINITRUSTLLC.COM

14 The books are in care of ▶ RUTH COLLINS Telephone no ▶415 332-0166

Located at ▶2320 MARINSHIP WAY SUITE 150, SAUSALITO, CA ZIP+4 ▶94965

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		25,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
COLLINS & COMPANY - 100 LARKSPUR LANDING CIRCLE, LARKSPUR, CA 94939	INVESTMENT MANAGER	91,400.
THOMAS F. DANIEL - CA ACADEMY OF SCIENCES,55 MUSIC CONCOURSE DR, SF, CA 94118	CONSULTANT	50,000.

Total number of others receiving over $50,000 for professional services ▶	0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	0.

Form **990-PF** (2012)

223561
12-05-12

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes			
a	Average monthly fair market value of securities		1a	14,739,959.
b	Average of monthly cash balances		1b	572,833.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	15,312,792.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	15,312,792.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	229,692.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	15,083,100.
6	**Minimum investment return.** Enter 5% of line 5		6	754,155.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	754,155.
2a	Tax on investment income for 2012 from Part VI, line 5	2a 13,582.		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	13,582.
3	Distributable amount before adjustments Subtract line 2c from line 1		3	740,573.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	740,573.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	740,573.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	786,961.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	786,961.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	786,961.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				740,573.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			745,370.	
b Total for prior years ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 786,961.				
a Applied to 2011, but not more than line 2a			745,370.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				41,591.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				698,982.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Form **990-PF** (2012)

223581
12-05-12

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

SEE STATEMENT 12

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED LISTING				690,630.
Total			▶ 3a	690,630.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Form **990-PF** (2012)

223611
12-05-12

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	79.	
4 Dividends and interest from securities			14	454,155.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	349,749.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0.		803,983.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 803,983.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes)

223621
12-05-12

Form **990-PF** (2012)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

▶ 6/4/13 ▶ TRUSTEE

Signature of officer or trustee Date Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
DAVID C CUNEO		MAY 24 2013		P00394891

Firm's name ▶ CALEGARI & MORRIS, ACCOUNTANTS

Firm's EIN ▶ 94-2186350

Firm's address ▶ 123 MISSION STREET, 18TH FL
SAN FRANCISCO, CA 94105

Phone no (415) 981-8766

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	AMOUNT
MONEY MARKET AND CHECKING ACCOUNTS	79.
TOTAL TO FORM 990-PF, PART I, LINE 3, COLUMN A	79.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
DIVIDENDS	448,338.	0.	448,338.
INTEREST	5,817.	0.	5,817.
TOTAL TO FM 990-PF, PART I, LN 4	454,155.	0.	454,155.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CALEGARI & MORRIS	9,370.	4,685.		4,068.
BURR PILGER MAYER	17,075.	8,537.		8,538.
TO FORM 990-PF, PG 1, LN 16B	26,445.	13,222.		12,606.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
T F DANIEL	50,000.	0.		50,000.
ADMINITRUST LLC	25,000.	6,000.		19,000.
COLLINS & COMPANY	91,400.	91,400.		0.
TO FORM 990-PF, PG 1, LN 16C	166,400.	97,400.		69,000.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	13,582.	0.		0.
TO FORM 990-PF, PG 1, LN 18	13,582.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILING FEE - CALIF. FRANCHISE TAX BOARD	85.	0.		85.
PRINTING & REPRODUCTION	119.	0.		119.
BANK CHARGES	264.	264.		0.
GENERAL EXPENSE	525.	0.		525.
TO FORM 990-PF, PG 1, LN 23	993.	264.		729.

FOOTNOTES STATEMENT 7

2012 RELATED PARTY FOOTNOTE:

TWO TRUSTEES OF THE TRUST ARE CO-OWNERS OF THE COMPANY THAT PROVIDES INVESTMENT MANAGEMENT SERVICES TO THE TRUST. THE INVESTMENT MANAGEMENT COMPANY WAS NOT PAID ANY COMMISSIONS (WHICH ARE INCLUDED IN THE PURCHASE AND SALES PRICE OF THE SECURITIES) IN 2012. THE COMPANY EARNED $91,400 IN FEES.

A TRUSTEE OWNS THE COMPANY THAT PROVIDES ADMINISTRATIVE SERVICES TO THE TRUST. THE COMPANY WAS PAID $25,000 IN 2012

A TRUSTEE SERVES AS THE GRANTS DIRECTOR FOR THE TRUST, RECEIVING $50,000 IN 2012.

THE PAYMENT OF COMPENSATION TO THESE DISQUALIFIED PERSONS FOR PERSONAL SERVICES IS REASONABLE AND NECESSARY IN CARRYING OUT THE EXEMPT PURPOSE OF THE PRIVATE FOUNDATION AND IS NOT CONSIDERED AN ACT OF SELF-DEALING.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK	12,761,424.	13,187,654.
TOTAL TO FORM 990-PF, PART II, LINE 10B	12,761,424.	13,187,654.

FORM 990-PF CORPORATE BONDS STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	1,484,415.	1,517,894.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,484,415.	1,517,894.

FORM 990-PF OTHER LIABILITIES STATEMENT 10

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
PROFESSIONAL FEES PAYABLE	21,607.	24,398.
TOTAL TO FORM 990-PF, PART II, LINE 22	21,607.	24,398.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 11

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
J.R. GIBBS 2320 MARINSHIP WAY SUITE 150 SAUSALITO, CA 94965	TRUSTEE 1.00	5,000.	0.	0.
JOHN P. COLLINS, JR. 2320 MARINSHIP WAY SUITE 150 SAUSALITO, CA 94965	TRUSTEE 1.00	5,000.	0.	0.
RUTH M. COLLINS 2320 MARINSHIP WAY SUITE 150 SAUSALITO, CA 94965	TRUSTEE 1.00	5,000.	0.	0.
BRUCE J. RAABE 2320 MARINSHIP WAY SUITE 150 SAUSALITO, CA 94965	TRUSTEE 1.00	5,000.	0.	0.
THOMAS F. DANIEL 2320 MARINSHIP WAY SUITE 150 SAUSALITO, CA 94965	TRUSTEE 1.00	5,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		25,000.	0.	0.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 12
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

THOMAS F. DANIEL GRANTS DIRECTOR, SSHT (TDANIEL@CALACADEMY.ORG)
DEPT. OF BOTANY, CA ACADEMY OF SCIENCES, 55 MUSIC CONCOURSE
GOLDEN GATE PARK, SAN FRANCISCO, CA 94118

TELEPHONE NUMBER

415-379-5350

FORM AND CONTENT OF APPLICATIONS

PLEASE VISIT THE TRUST'S WEBSITE FOR INFORMATION REGARDING FUNDING INTERESTS. WWW.ADMINITRUSTLLC.COM/STANLEY-SMITH-HORTICULTURAL-TRUST/

CONTACT THE GRANTS DIRECTOR FOR APPLICATION GUIDELINES.

ANY SUBMISSION DEADLINES

JANUARY 1 TO AUGUST 15

RESTRICTIONS AND LIMITATIONS ON AWARDS

EDUCATION AND RESEARCH IN ORNAMENTAL HORTICULTURE. NORTH AND SOUTH AMERICA.

FEIN 94-6209165

SECURITY POSITIONS REPORT

STANLEY SMITH HORTICULTURAL TRUST

December 31, 2012

Reporting Currency: US Dollar

Quantity	Security	Total Cost	Market Value
US COMMON STOCK			
425	APPLE COMPUTER INC	146,084.02	226,173.48
12,200	BANK OF AMERICA	203,572.63	141,642.00
4,300	BE AEROSPACE INC	166,892.89	212,420.00
3,900	BERKSHIRE HATHAWAY INC CL B	298,327.38	349,830.00
1,100	BLACKROCK INC	202,181.01	227,381.00
2,200	BOEING CO	158,577.17	165,792.00
1,900	CATERPILLAR INC	195,734.69	170,256.15
1,600	CHEVRON CORP	113,013.41	173,024.00
9,800	CISCO SYSTEMS INC	220,696.05	192,564.12
1,400	CUMMINS INC	149,119.84	151,690.00
3,800	CVS/CAREMARK CORP	169,142.52	183,730.00
1,900	DEERE & CO	168,622.77	164,198.00
4,600	EBAY INC	166,114.98	234,589.42
5,750	EMC CORP	122,664.37	145,475.00
3,000	EXPRESS SCRIPTS HOLDING COMPANY	175,287.30	162,000.00
13,000	GENERAL ELECTRIC CO	209,572.44	272,870.00
4,700	GENERAL MOTORS COMPANY	176,859.95	135,501.00
1,200	GOLDMAN SACHS GROUP INC	204,322.65	153,072.00
325	GOOGLE INC CL A	175,941.89	229,898.50
5,450	HEWLETT-PACKARD CO	211,633.52	77,662.50
2,600	HONEYWELL INTERNATIONAL INC	150,706.40	165,022.00
850	IBM CORP	165,581.98	162,817.50
4,300	J.P. MORGAN CHASE & CO	181,760.21	189,067.13
3,500	JOHNSON CONTROLS INC	144,560.32	107,345.00
4,500	MARATHON OIL CORP	84,297.54	137,970.00
2,100	MCKESSON CORP	173,260.98	203,616.00
3,550	METLIFE INC	148,600.69	116,937.00
5,700	MICROSOFT CORP	161,269.28	152,245.29
7,900	MYLAN LABS INC	149,328.99	216,855.00
2,900	NORDSTROM INC	163,674.36	155,150.00
5,800	ORACLE CORP	67,213.84	193,256.00
2,800	QUALCOMM INC	174,735.04	173,206.88
2,200	SCHLUMBERGER LTD	169,392.44	152,456.92
1,800	TRIUMPH GROUP INC	112,151.64	117,540.00
2,200	UNITED PARCEL SERVICE CL B	162,325.68	162,206.00
2,300	UNITED TECHNOLOGIES CORP	67,618.29	188,623.00
3,300	WALT DISNEY	166,270.20	164,307.00
2,600	WELLPOINT INC	171,345.08	158,392.00
5,750	WELLS FARGO & CO	151,284.53	196,535.00
		6,399,739.00	6,883,316.89
INTERNATIONAL EQUITIES			
8,000	ABB LTD - SPON ADR	164,492.49	166,320.00
4,300	CHICAGO BRIDGE & IRON COMPANY N.V.	160,006.00	199,305.00
12,100	ISHARES FTSE/XINHUA CHINA 25 FUND	489,441.60	489,445.00
27,400	ISHARES MSCI CANADA	676,870.97	778,160.00
11,000	ISHARES MSCI EMERGING MARKETS FUND	463,681.60	487,850.00
5,000	PETROLEO BRASILEIRO S.A. - ADR	198,799.94	97,350.00
3,000	TEVA PHARMACEUTICAL-SP ADR	123,029.78	112,020.00
		2,276,322.38	2,330,450.00

SECURITY POSITIONS REPORT

STANLEY SMITH HORTICULTURAL TRUST

December 31, 2012

Reporting Currency: US Dollar

Quantity	Security	Total Cost	Market Value
COMMODITIES			
5,700	ETFS PHYSICAL PALLADIUM SHARES	360,663.09	394,554.00
8,000	MARKET VECTORS GOLD MINERS	417,060.88	371,120.00
2,300	SPDR GOLD TRUST	350,772.68	372,646.92
		1,128,496.65	1,138,320.92
BOND FUNDS - ETF			
8,500	ISHARES IBOXX HIGH YIELD CORP BOND FUND	761,936.10	793,475.00
29,000	POWERSHARES SENIOR LOAN PORTFOLIO BOND FD	722,480.00	724,420.00
		1,484,416.10	1,517,895.00
INCOME INVESTMENTS			
22,500	ALERIAN MLP ETF	363,845.56	358,875.00
46,000	ANNALY CAPITAL MANAGEMENT INC	741,329.42	645,840.00
9,300	JP MORGAN ALERIAN MLP INDEX	378,352.06	357,678.00
		1,483,527.04	1,362,393.00
MUTUAL FUNDS			
68,162	DOUBLELINE TOTAL RETURN BOND FUND-I	775,000.00	772,273.52
56,162	HARBOR BOND FUND - INSTITUTION	698,338.45	700,900.13
		1,473,338.45	1,473,173.65
TOTAL PORTFOLIO		14,245,839.61	14,705,549.46

FEIN 94-6209165

SECURITY POSITIONS REPORT

STANLEY SMITH HORTICULTURAL TRUST

December 31, 2012

Reporting Currency: US Dollar

Quantity	Security	Total Cost	Market Value
US COMMON STOCK			
425	APPLE COMPUTER INC	146,084.02	226,173.48
12,200	BANK OF AMERICA	203,572.63	141,642.00
4,300	BE AEROSPACE INC	166,892.89	212,420.00
3,900	BERKSHIRE HATHAWAY INC CL B	298,327.38	349,830.00
1,100	BLACKROCK INC	202,181.01	227,381.00
2,200	BOEING CO	158,577.17	165,792.00
1,900	CATERPILLAR INC	195,734.69	170,256.15
1,600	CHEVRON CORP	113,013.41	173,024.00
9,800	CISCO SYSTEMS INC	220,696.05	192,564.12
1,400	CUMMINS INC	149,119.84	151,690.00
3,800	CVS/CAREMARK CORP	169,142.52	183,730.00
1,900	DEERE & CO	168,622.77	164,198.00
4,600	EBAY INC	166,114.98	234,589.42
5,750	EMC CORP	122,664.37	145,475.00
3,000	EXPRESS SCRIPTS HOLDING COMPANY	175,287.30	162,000.00
13,000	GENERAL ELECTRIC CO	209,572.44	272,870.00
4,700	GENERAL MOTORS COMPANY	176,859.95	135,501.00
1,200	GOLDMAN SACHS GROUP INC	204,322.65	153,072.00
325	GOOGLE INC CL A	175,941.89	229,898.50
5,450	HEWLETT-PACKARD CO	211,633.52	77,662.50
2,600	HONEYWELL INTERNATIONAL INC	150,706.40	165,022.00
850	IBM CORP	165,581.98	162,817.50
4,300	J.P. MORGAN CHASE & CO	181,760.21	189,067.13
3,500	JOHNSON CONTROLS INC	144,560.32	107,345.00
4,500	MARATHON OIL CORP	84,297.54	137,970.00
2,100	MCKESSON CORP	173,260.98	203,616.00
3,550	METLIFE INC	148,600.69	116,937.00
5,700	MICROSOFT CORP	161,269.28	152,245.29
7,900	MYLAN LABS INC	149,328.99	216,855.00
2,900	NORDSTROM INC	163,674.36	155,150.00
5,800	ORACLE CORP	67,213.84	193,256.00
2,800	QUALCOMM INC	174,735.04	173,206.88
2,200	SCHLUMBERGER LTD	169,392.44	152,456.92
1,800	TRIUMPH GROUP INC	112,151.64	117,540.00
2,200	UNITED PARCEL SERVICE CL B	162,325.68	162,206.00
2,300	UNITED TECHNOLOGIES CORP	67,618.29	188,623.00
3,300	WALT DISNEY	166,270.20	164,307.00
2,600	WELLPOINT INC	171,345.08	158,392.00
5,750	WELLS FARGO & CO	151,284.53	196,535.00
		6,399,739.00	6,883,316.89
INTERNATIONAL EQUITIES			
8,000	ABB LTD - SPON ADR	164,492.49	166,320.00
4,300	CHICAGO BRIDGE & IRON COMPANY N.V.	160,006.00	199,305.00
12,100	ISHARES FTSE/XINHUA CHINA 25 FUND	489,441.60	489,445.00
27,400	ISHARES MSCI CANADA	676,870.97	778,160.00
11,000	ISHARES MSCI EMERGING MARKETS FUND	463,681.60	487,850.00
5,000	PETROLEO BRASILEIRO S.A. - ADR	198,799.94	97,350.00
3,000	TEVA PHARMACEUTICAL-SP ADR	123,029.78	112,020.00
		2,276,322.38	2,330,450.00

FEIN 94-6209165

SECURITY POSITIONS REPORT

STANLEY SMITH HORTICULTURAL TRUST

December 31, 2012

Reporting Currency: US Dollar

Quantity	Security	Total Cost	Market Value
COMMODITIES			
5,700	ETFS PHYSICAL PALLADIUM SHARES	360,663.09	394,554.00
8,000	MARKET VECTORS GOLD MINERS	417,060.88	371,120.00
2,300	SPDR GOLD TRUST	350,772.68	372,646.92
		1,128,496.65	1,138,320.92
BOND FUNDS - ETF			
8,500	ISHARES IBOXX HIGH YIELD CORP BOND FUND	761,936.10	793,475.00
29,000	POWERSHARES SENIOR LOAN PORTFOLIO BOND FD	722,480.00	724,420.00
		1,484,416.10	1,517,895.00
INCOME INVESTMENTS			
22,500	ALERIAN MLP ETF	363,845.56	358,875.00
46,000	ANNALY CAPITAL MANAGEMENT INC	741,329.42	645,840.00
9,300	JP MORGAN ALERIAN MLP INDEX	378,352.06	357,678.00
		1,483,527.04	1,362,393.00
MUTUAL FUNDS			
68,162	DOUBLELINE TOTAL RETURN BOND FUND-I	775,000.00	772,273.52
56,162	HARBOR BOND FUND - INSTITUTION	698,338.45	700,900.13
		1,473,338.45	1,473,173.65
TOTAL PORTFOLIO		14,245,839.61	14,705,549.46

FEIN 94-6209165

REALIZED GAINS AND LOSSES

STANLEY SMITH HORTICULTURAL TRUST

From 01-01-12 Through 12-31-12

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
05-09-08	02-09-12	175,000	BANK OF AMERICA CORP 4.900% Due 05-01-13	176,391.25	-1,018.65	180,351.34		4,978.74
05-09-08	02-09-12	100,000	BANK OF AMERICA CORP 4.900% Due 05-01-13	100,778.00	-569.62	103,057.91		2,849.53
06-18-10	02-09-12	175,000	CITIGROUP INC 5.000% Due 09-15-14	174,854.25	52.90	181,823.09		6,915.94
06-18-10	02-09-12	100,000	CITIGROUP INC 5.000% Due 09-15-14	99,918.00	29.76	103,898.91		3,951.15
05-15-08	02-09-12	175,000	GENERAL ELECTRIC CAPITAL CORP 4.800% Due 05-01-13	176,336.50	-978.09	182,911.59		7,553.18
05-15-08	02-09-12	100,000	GENERAL ELECTRIC CAPITAL CORP 4.800% Due 05-01-13	100,765.00	-559.85	104,520.91		4,315 76
06-18-10	02-09-12	175,000	GOLDMAN SACHS GROUP INC 5.700% Due 09-01-12	185,275.50	-7,599.00	179,073.84		1,397.34
06-18-10	02-09-12	100,000	GOLDMAN SACHS GROUP INC 5.700% Due 09-01-12	105,873.00	-4,343.24	102,327.91		798.15
03-17-11	02-09-12	9,000	ISHARES S&P PFD STK INDEX FUND	353,105.57		347,301.23	-5,804.34	
11-01-10	02-21-12	4,000	ABB LTD - SPON ADR	82,165.26		82,999.50		834.24
01-21-11	02-21-12	1,500	ANHEUSER-BUSCH INBEV NV	84,038.64		97,901.43		13,862.79
07-13-11	02-21-12	1,300	NOVARTIS AG - ADR	79,992.51		74,214 61	-5,777.90	
11-03-09	02-21-12	2,300	PETROLEO BRASILEIRO S.A. - ADR	105,610.50		67,227.08		-38,383.42
04-27-09	02-21-12	2,300	TEVA PHARMACEUTICAL-SP ADR	102,922 86		102,531.45		-391.41
01-21-11	02-21-12	2,300	VALE SA	83,179.83		57,935.25		-25,244.58
04-08-09	02-21-12	300	ABBOTT LABORATORIES	13,038.86		16,824.14		3,785.28
03-01-11	02-21-12	500	AECOM TECHNOLOGY CORPORATION	14,125.53		11,942.53	-2,183 00	
06-03-11	02-21-12	50	APPLE COMPUTER INC	17,186.35		25,279.54	8,093.18	
12-13-10	02-21-12	700	ARCH COAL INC	22,981.02		9,915.89		-13,065.13
12-13-10	02-21-12	700	BANK OF NEW YORK MELLON CORPORATION	20,548.34		15,375.79		-5,172.55
01-07-10	02-21-12	1,800	BANK OF AMERICA	30,035.13		14,417.44		-15,617.69
04-28-11	02-21-12	700	BE AEROSPACE INC	27,168.61		32,234.45	5,065.84	
06-03-11	02-21-12	450	BERKSHIRE HATHAWAY INC CL B	34,422 39		35,727.03	1,304.64	
12-13-10	02-21-12	100	BLACKROCK INC	18,244 93		19,486.65		1,241.72
07-13-11	02-21-12	200	CATERPILLAR INC	21,843.03		22,913.08	1,070 04	
09-11-09	02-21-12	250	CHEVRON CORP	17,658.21		26,867.14		9,208.93
02-08-10	02-21-12	1,200	CISCO SYSTEMS INC	28,652.59		24,406.36		-4,246.23
07-13-11	02-21-12	200	CUMMINS INC	21,302.83		24,556.05	3,253.21	
03-01-11	02-21-12	200	DEERE & CO	17,749.77		16,846.99	-902.78	
11-01-10	02-21-12	1,000	EMC CORP	21,332.93		27,218.01		5,885.08
12-14-09	02-21-12	300	EXXON MOBIL CORP	20,927.06		25,850.98		4,923.92
01-29-10	02-21-12	400	FREEPORT-MCMORAN COPPER & GOLD INC	13,867.63		17,477.28		3,609.65
01-21-11	02-21-12	600	GENERAL CABLE CORP	22,077.45		18,752.72		-3,324.73
07-21-10	02-21-12	1,500	GENERAL ELECTRIC CO	22,672.28		29,098.72		6,426.44
01-21-11	02-21-12	500	GENERAL MOTORS COMPANY	18,814.89		13,546.80		-5,268.09
01-22-10	02-21-12	50	GOOGLE INC CL A	28,176.76		30,793.94		2,617.18
03-01-11	02-21-12	350	HALLIBURTON CO	16,315.58		12,800.29	-3,515 29	
07-08-09	02-21-12	400	HEWLETT-PACKARD CO	14,842.54		11,721.38		-3,121.16

FEIN 94-6209165

REALIZED GAINS AND LOSSES

STANLEY SMITH HORTICULTURAL TRUST

From 01-01-12 Through 12-31-12

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
07-13-11	02-21-12	400	HONEYWELL INTERNATIONAL INC	23,185.60		24,009.16	823.56	
12-13-10	02-21-12	300	HOSPIRA INC	16,787.55		11,100.10		-5,687.45
12-13-10	02-21-12	400	ILLINOIS TOOL WORKS	20,504.20		22,645.19		2,140.99
06-21-10	02-21-12	1,500	ISHARES MSCI BRAZIL INDEX FUND	102,324.10		103,152.39		828.29
11-03-09	02-21-12	8,200	ISHARES MSCI AUSTRALIA INDEX	178,750.16		192,949.30		14,199.14
11-03-09	02-21-12	800	ISHARES MSCI AUSTRALIA INDEX	17,439.28		18,824.32		1,385.04
03-22-06	02-21-12	24,000	ISHARES MSCI CANADA	563,191.10		684,989.66		121,798.56
12-23-09	02-21-12	5,200	ISHARES FTSE/XINHUA CHINA 25 FUND	217,277.84		207,993.57		-9,284.27
07-13-11	02-21-12	500	JOHNSON CONTROLS INC	20,651.47		17,542.43	-3,109.04	
11-03-09	02-21-12	500	J.P. MORGAN CHASE & CO	21,134.72		19,317.40		-1,817.32
03-22-10	02-21-12	500	MARATHON OIL CORP	9,366.31		17,157.44		7,791.13
07-13-11	02-21-12	450	METLIFE INC	18,836.71		17,479.86	-1,356.85	
01-29-10	02-21-12	800	MICROSOFT CORP	22,938 45		24,973.75		2,035 30
10-06-10	02-21-12	800	MYLAN LABS INC	15,121.80		18,637.86		3,516.06
05-26-04	02-21-12	500	ORACLE CORP	5,791.16		14,317.49		8,526.33
09-22-11	02-21-12	300	PEPSICO INC	18,059.09		18,897.10	838.01	
07-13-11	02-21-12	300	UNITED PARCEL SERVICE CL B	22,135.32		22,962.03	826.71	
10-26-99	02-21-12	400	UNITED TECHNOLOGIES CORP	11,281.79		33,376.43		22,094.64
09-22-11	02-21-12	400	WAL-MART STORES INC	20,328.40		23,953.16	3,624.76	
10-06-10	02-21-12	750	WELLS FARGO & CO	19,732.57		23,412.70		3,680.13
01-21-11	03-02-12	3,200	VALE SA	115,728 47		81,941.12		-33,787.35
03-01-11	03-02-12	6,000	AECOM TECHNOLOGY CORPORATION	169,506.37		137,998.11		-31,508 26
12-13-10	03-02-12	4,900	ARCH COAL INC	160,867.17		64,274.53		-96,592 64
12-13-10	03-02-12	5,700	BANK OF NEW YORK MELLON CORPORATION	167,322.18		127,427.32		-39,894.86
12-14-09	03-02-12	1,400	EXXON MOBIL CORP	97,659.60		120,615.25		22,955.65
12-14-09	03-02-12	1,100	EXXON MOBIL CORP	76,733.81		94,769.12		18,035 31
01-21-11	03-02-12	4,900	GENERAL CABLE CORP	180,299.15		147,163.89		-33,135 26
12-13-10	03-02-12	3,000	HOSPIRA INC	167,875 53		105,487.10		-62,388.43
06-21-10	03-02-12	700	ISHARES MSCI BRAZIL INDEX FUND	47,751.24		49,280.16		1,528.92
06-21-10	03-02-12	1,300	ISHARES MSCI BRAZIL INDEX FUND	88,682.11		91,520.30		2,838.19
07-13-11	03-02-12	4,300	MARKET VECTORS AGRIBUSINESS	232,474.76		227,524.39	-4,950.37	
07-13-11	03-02-12	1,700	ROCKWELL COLLINS INC	103,291.09		99,861.02	-3,430.07	
05-20-10	04-05-12	4,700	MARKET VECTORS GOLD MINERS	223,506.62		220,136.30		-3,370.32
07-21-10	04-05-12	900	SPDR GOLD TRUST	105,133.79		142,090.49		36,956.70
04-08-09	04-19-12	700	ABBOTT LABORATORIES	30,424.01		41,849 06		11,425.05
06-03-11	04-19-12	125	APPLE COMPUTER INC	42,965.89		75,015.31	32,049.42	
11-01-10	04-19-12	1,250	EMC CORP	26,666.17		35,408.70		8,742.53
11-03-09	04-19-12	800	J.P. MORGAN CHASE & CO	33,815.56		34,732.22		916.66
01-29-10	04-19-12	1,000	MICROSOFT CORP	28,673.07		31,156.30		2,483.23
09-22-11	04-24-12	2,900	WAL-MART STORES INC	147,380.93		170,184.03	22,803.10	
03-22-06	06-13-12	4,000	ISHARES MSCI CANADA	93,865.18		101,674.72		7,809.54
09-22-11	08-01-12	2,500	PEPSICO INC	150,492.43		179,416.73	28,924.30	
03-17-11	08-03-12	12,000	ISHARES S&P PFD STK INDEX FUND	470,807.43		471,333.19		525.76
02-21-12	08-03-12	7,500	ISHARES S&P PFD STK INDEX FUND	290,852.25		294,583.25	3,731.00	
03-05-12	08-28-12	1,500	APACHE CORP	159,671.40		130,824.06	-28,847.34	

FEIN 94-6209165

REALIZED GAINS AND LOSSES

STANLEY SMITH HORTICULTURAL TRUST

From 01-01-12 Through 12-31-12

							Gain Or Loss	
Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Short Term	Long Term
03-01-11	08-28-12	3,650	HALLIBURTON CO	170,148.22		125,189.19		-44,959.03
11-03-09	09-06-12	3,000	ISHARES MSCI AUSTRALIA INDEX	65,397.30		69,928.41		4,531.11
03-22-10	09-06-12	6,000	ISHARES MSCI AUSTRALIA INDEX	141,573.60		139,856 82		-1,716.78
03-22-10	09-06-12	3,000	ISHARES MSCI AUSTRALIA INDEX	70,788.30		69,928.41		-859.89
03-02-12	09-06-12	2,500	ISHARES MSCI AUSTRALIA INDEX	59,277.75		58,273.68	-1,004.07	
01-29-10	09-21-12	2,000	FREEPORT-MCMORAN COPPER & GOLD INC	69,338.17		83,160.83		13,822.66
01-29-10	09-21-12	2,000	FREEPORT-MCMORAN COPPER & GOLD INC	69,339.50		83,160.84		13,821.34
01-21-11	10-31-12	2,000	ANHEUSER-BUSCH INBEV NV	112,051.51		169,320.80		57,269.29
07-13-11	10-31-12	1,700	NOVARTIS AG - ADR	104,605.59		103,053 96		-1,551.63
04-08-09	10-31-12	400	ABBOTT LABORATORIES	17,385.15		26,228.57		8,843.42
04-08-09	10-31-12	1,300	ABBOTT LABORATORIES	56,503.34		85,242.84		28,739.50
12-13-10	10-31-12	1,000	ABBOTT LABORATORIES	47,382.50		65,571.42		18,188.92
12-13-10	10-31-12	3,300	ILLINOIS TOOL WORKS	169,159.69		201,203.06		32,043.37
03-02-12	11-05-12	2,500	AMGEN INC	169,152.00		214,528.44	45,376.44	
06-13-12	11-15-12	4,500	ISHARES MSCI GERMANY INDEX FUND	87,197.54		98,099.30	10,901.76	
03-22-06	11-15-12	6,000	ISHARES MSCI CANADA	140,797.78		161,578.87		20,781.09
03-22-06	11-15-12	1,200	ISHARES MSCI CANADA	28,164.01		32,315.78		4,151 77
02-09-12	11-15-12	700	ISHARES IBOXX INV GR CORP BD	81,468.11		85,152.19	3,684.08	
02-09-12	11-15-12	5,755.948	HARBOR BOND FUND - INSTITUTION	71,661.55		75,000.00	3,338.45	
02-09-12	12-07-12	600	ISHARES IBOXX INV GR CORP BD	69,829.80		73,210.35	3,380.55	
02-21-12	12-07-12	1,300	ISHARES IBOXX INV GR CORP BD	151,169.15		158,622.44	7,453.29	
03-12-12	12-07-12	2,000	ISHARES IBOXX INV GR CORP BD	233,282.80		244,034.51	10,751.71	
03-21-12	12-07-12	2,000	ISHARES IBOXX INV GR CORP BD	229,781.00		244,034.52	14,253.52	
06-13-12	12-12-12	8,500	ISHARES MSCI GERMANY INDEX FUND	164,706 46		205,471.12	40,764.66	
09-06-12	12-12-12	7,700	ISHARES MSCI GERMANY INDEX FUND	166,774.22		186,132.66	19,358.44	
TOTAL GAINS							271,670.69	589,560.63
TOTAL LOSSES							-60,881.05	-480,388.51
				9,999,410.30	-14,985.79	10,304,386.27	210,789.63	109,172.12
TOTAL REALIZED GAIN/LOSS			319,961.76					

Stanley Smith Horticultural Trust
94-6209165
Form 990-PF - Part XV Line 3 Grants and Contributions Paid During the Year and Approved for Future Payment
For Year Ended December 31, 2012

3a. Paid during the year

Recipient **Name and address**	**If recipient is an individual, show any relationship to any foundation manager or substantial contributor**	**Foundation status of recipient**	**Purpose of grant or contribution**	**Amount Paid in 2012**
Amarillo Botanical Gardens Amarillo TX	N/A	501(c)3 Public Charity	Repairs and Renovations to Facilities	$18400
Botanic Gardens Conservation International US Inc. Glencoe IL	N/A	501(c)3 Public Charity	Enhancement of PlantSearch Database	$20000
Boyce Thompson Southwestern Arboretum Superior AZ	N/A	Exempt Operating Foundation	Addressograph Embosser	$8000
Chicago Horticultural Society Glencoe IL	N/A	501(c)3 Public Charity	Horticulture Interns in College First Program	$17000
Council on Foundations, Inc. Arlington VA	N/A	501(c)3 Public Charity	Membership: Stanley Smith Horticultural Trust	$630
Cylburn Arboretum Association Inc Baltimore MD	N/A	501(c)3 Public Charity	Signage at Arboretum	$9500
Delaware Center for Horticulture Inc Wilmington DE	N/A	501(c)3 Public Charity	Monthly Lecture Series	$5400
Descanso Gardens Guild Inc La Canada Flintridge CA	N/A	501(c)3 Public Charity	Speaker Fees for Public Education Lectures	$3000
Flora of North America Association Point Arena CA	N/A	501(c)3 Public Charity	Botanical Illustrations	$18750
Foundation of the University of North Carolina at Charlotte Inc Charlotte NC	N/A	501(c)3 Public Charity	Native Plants Display Garden-Phase 2	$21000
Ganna Walska Lotusland Santa Barbara CA	N/A	501(c)3 Public Charity	Eucalyptus Grove and Bamboo Projects	$12000
Greater Des Moines Botanical Garden Des Moines IA	N/A	501(c)3 Public Charity	Interpretive Signage	$15000
Green Valleys Association of Southeastern Pennsylvania Pottstown PA	N/A	501(c)3 Public Charity	Stipend for Welkinweir Curatorial Fellowship	$17000
Heritage Plantation of Sandwich Inc Sandwich MA	N/A	501(c)3 Public Charity	Greenhouse Construction	$20000
Kent State University Foundation Kent OH	N/A	501(c)3 Public Charity	Virtual Map/BG-Map Purchase at Draime Gardens	$13400
Knoxville Botanical Gardens and Arboretum Knoxville TN	N/A	501(c)3 Public Charity	Dogwood Collection Enhancement	$16000
Lake Wilderness Arboretum Foundation Maple Valley WA	N/A	501(c)3 Public Charity	Salary for Executive Director in 2013	$20000
Lewis Ginter Botanical Garden Inc Richmond VA	N/A	501(c)3 Public Charity	Comprehensive Interpretive Signage	$20000
Maricopa County Community College District Foundation Tempe AZ	N/A	501(c)3 Public Charity	Pathway for Rose Garden at Mesa Comm. College	$20000
Millenium Park Inc Chicago IL	N/A	501(c)3 Public Charity	Summer Horticulture Internship	$8100
Missouri Botanical Garden Board of Trustees St Louis MO	N/A	501(c)3 Public Charity	Salaries for Flora of China in 2013	$47600
New England Wild Flower Society Framingham MA	N/A	501(c)3 Public Charity	Electronic Mapping of Living Collections	$15000

* denotes expenditure responsibility grant

Stanley Smith Horticultural Trust
94-6209165
Form 990-PF - Part XV Line 3 Grants and Contributions Paid During the Year and Approved for Future Payment
For Year Ended December 31, 2012

Recipient **Name and address**	**If recipient is an individual, show any relationship to any foundation manager or substantial contributor**	**Foundation status of recipient**	**Purpose of grant or contribution**	**Amount Paid in 2012**
North Carolina Agricultural Foundation Inc Raleigh NC	N/A	501(c)3 Public Charity	Pathway Installation at Raulston Arboretum	$19750
Ohio State University Foundation Columbus OH	N/A	501(c)3 Public Charity	Arboretum Signage	$16200
Oklahoma Centennial Botanical Garden Inc Tulsa OK	N/A	501(c)3 Public Charity	Utility Vehicle and Gardener's Position	$20000
Old Westbury Gardens Inc Old Westbury NY	N/A	501(c)3 Public Charity	Horticultural Intern Program	$14000
Palm Beach State College Palm Beach Gardens FL	N/A	Government entity	Book on Sustainable Landscaping	$17000
Phipps Conservatory and Botanical Gardens Inc Pittsburgh PA	N/A	501(c)3 Public Charity	Integrated Pest Management Intern	$15350
Quail Gardens Foundation Inc Encinitas CA	N/A	501(c)3 Public Charity	Early Hiring of New Director of Horticulture	$20000
Quarryhill Botanical Garden Glen Ellen CA	N/A	Private Operating Foundation*	Purchase Riding Lawnmower	$6000
Rochester Civic Garden Center Rochester NY	N/A	501(c)3 Public Charity	Revitalization of Border Gardens	$18750
San Francisco Botanical Garden Society at Strybing Arboretum San Francisco CA	N/A	501(c)3 Public Charity	Purchase GIS Mapping Equipment	$16500
St George Village Botanical Garden of St Croix Inc Frederiksted VI	N/A	501(c)3 Public Charity	Repair Roof Gutters of Great Hall	$25000
Swarthmore College Swarthmore PA	N/A	501(c)3 Public Charity	Printing Brochures	$20000
Taltree Arboretum and Gardens Foundation Inc Valparaiso IN	N/A	501(c)3 Public Charity	Karl Bapst Rose Collection	$10000
Theodore Payne Foundation for Wild Flowers & Native Plants Inc Sun Valley CA	N/A	501(c)3 Public Charity	Materials for Improving Propagation Facilities	$6000
University of Georgia Foundation Athens GA	N/A	501(c)3 Public Charity	Signage for Bamboo Farm and Coastal Gardens	$20000
University of Washington Seattle WA	N/A	Government entity	Interpretive and Wayfinding Signage	$19500
University of Wisconsin Foundation Madison WI	N/A	501(c)3 Public Charity	Sustainability Demonstration Garden	$20800
Vandusen Botanical Garden Association Vancouver BC Canada	N/A	Foreign Public Charity - Equivalent	Renovation of Rock Garden	$20000
Ventura Botanical Gardens Inc Ventura CA	N/A	501(c)3 Public Charity	Chilean Garden	$15000
Wave Hill Incorporated Bronx NY	N/A	501(c)3 Public Charity	Purchase of New Utility Vehicles	$20000
Western Sonoma County Historical Society Sebastopol CA	N/A	501(c)3 Public Charity	Nursery and Signage for Luther Burbank's Farm	$5000

Total . . . . ► *3a* *$690,630*

* denotes expenditure responsibility grant

Stanley Smith Horticultural Trust
94-6209165
Form 990-PF - Part XV Line 3 Grants and Contributions Paid During the Year and Approved for Future Payment
For Year Ended December 31, 2012

3b. Approved during the year for future payment

No grants that were approved in 2012 have future payments

* denotes expenditure responsibility grant

Stanley Smith Horticultural Trust
94-6209165
Form 990-PF
For Year Ended December 31, 2012

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

Grantee:

Quarryhill Botanical Garden
PO Box 232
Glen Ellen, CA 95442

Payments:

$6,000	paid on 11/27/2012
$6,000	Total

Purpose:

Purchase of riding lawnmower for use in maintaining grounds at the Garden

Amount of Grant Spent by Grantee:

$0

Date of Report(s) Received from Grantee:

No report was due or received this year. First report will be due in 2013 and will be included in next year's return

Diversion:

To the knowledge of the foundation, and based on the information furnished by the grantee, no part has been used for other than its intended purpose.

Form **8868**
(Rev. January 2013)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions. STANLEY SMITH HORTICULTURAL TRUST	Employer identification number (EIN) or 94-6209165
	Number, street, and room or suite no. If a P.O. box, see instructions. 2320 MARINSHIP WAY SUITE 150	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions SAUSALITO, CA 94965	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ RUTH COLLINS 2320 MARINSHIP WAY SUITE 150 - SAUSALITO, CA 94965
  Telephone No. ▶ 415 332-0166 FAX No. ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______. If this is for the whole group, check this box ▶ ☐. If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2013, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ ☒ calendar year 2012 or

▶ ☐ tax year beginning ________, and ending ________.

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a	If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$ 13,582.
b	If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$ 15,080.
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	$ 0.

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

LHA **For Privacy Act and Paperwork Reduction Act Notice, see instructions.** Form **8868** (Rev. 1-2013)

223841
01-21-13