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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

For calendar year 2012, or tax year beginning , 2012, and ending ,

HARDEN FOUNDATION
P.O. BOX 779
SALINAS, CA 93902

A Employer identification number 94-6098887

B Telephone number (see the instructions) (831) 442-3005

C If exemption application is pending, check here ☐

D 1 Foreign organizations, check here ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) ► $ 53,613,026.

J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE 1 Contributions, gifts, grants, etc, received (att sch)				
2 Ck ► ☒ if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments	68.	68.		
4 Dividends and interest from securities	1,374,918.	1,374,918.		
5 a Gross rents	98,627.	98,627.		
b Net rental income or (loss) 75,696.				
6 a Net gain/(loss) from sale of assets not on line 10	244,484.			
b Gross sales price for all assets on line 6a 12,245,694.				
7 Capital gain net income (from Part IV, line 2)		244,484.		
8 Net short-term capital gain				
9 Income modifications				
10 a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule) See Statement 1	2,690,879.			
12 **Total.** Add lines 1 through 11	4,408,976.	1,718,097.	0.	
OPERATING AND ADMINISTRATIVE EXPENSES 13 Compensation of officers, directors, trustees, etc	234,684.	110,798.		123,886.
14 Other employee salaries and wages	107,871.			107,871.
15 Pension plans, employee benefits	241,022.	61,275.		169,994.
16 a Legal fees (attach schedule) See St 2	65.	65.		
b Accounting fees (attach sch) See St 3	33,870.	16,935.		16,935.
c Other prof fees (attach sch) See St 4	373,032.	373,032.		
17 Interest				
18 Taxes (attach schedule)(see instrs) See Stm 5	96,246.	261.		21,090.
19 Depreciation (attach sch) and depletion	175,351.	7,494.		
20 Occupancy	38,066.	9,939.		27,793.
21 Travel, conferences, and meetings	8,376.	3,705.		4,637.
22 Printing and publications	3,434.			3,434.
23 Other expenses (attach schedule) See Statement 6	210,242.	40,744.		144,928.
24 **Total operating and administrative expenses.** Add lines 13 through 23	1,522,259.	624,248.		620,568.
25 Contributions, gifts, grants paid Part XV	1,989,905.			1,989,905.
26 **Total expenses and disbursements.** Add lines 24 and 25	3,512,164.	624,248.	0.	2,610,473.
27 Subtract line 26 from line 12:				
a **Excess of revenue over expenses and disbursements**	896,812.			
b **Net investment income** (if negative, enter -0-)		1,093,849.		
c **Adjusted net income** (if negative, enter -0-)			0.	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end of-year amounts only (See instructions)

	Line	Description	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
ASSETS	1	Cash — non-interest-bearing	68,203.	64,680.	64,680.
	2	Savings and temporary cash investments	1,612,753.	1,688,493.	1,688,493.
	3	Accounts receivable ► 21,941.			
		Less allowance for doubtful accounts ►	25,920.	21,941.	21,941.
	4	Pledges receivable ►			
		Less allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach sch) ►			
		Less allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	17,408.	21,373.	21,373.
	10a	Investments — U S and state government obligations (attach schedule)	1,406,458.	1,423,573.	1,423,573.
	b	Investments — corporate stock (attach schedule)	35,801,125.	36,655,454.	36,655,454.
	c	Investments — corporate bonds (attach schedule)	1,324,487.	1,191,309.	1,191,309.
	11	Investments — land, buildings, and equipment basis ►			
		Less. accumulated depreciation (attach schedule) ►			
	12	Investments — mortgage loans			
	13	Investments — other (attach schedule)			
	14	Land, buildings, and equipment basis ► 8,091,946.			
		Less. accumulated depreciation (attach schedule) See Stmt 7 ► 1,929,479.	6,335,857.	6,162,467.	12,531,469.
	15	Other assets (describe ► See Statement 8)	2,611.	14,734.	14,734.
	16	Total assets (to be completed by all filers — see the instructions Also, see page 1, item I)	46,594,822.	47,244,024.	53,613,026.
LIABILITIES	17	Accounts payable and accrued expenses	63,982.	32,084.	
	18	Grants payable	760,711.	461,115.	
	19	Deferred revenue	32,876.	32,876.	
	20	Loans from officers, directors, trustees, & other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ► See Statement 9)	658,028.	761,045.	
	23	**Total liabilities** (add lines 17 through 22)	1,515,597.	1,287,120.	
NET ASSETS OR FUND BALANCES		**Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.** ► [X]			
	24	Unrestricted	45,079,225.	45,956,904.	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ► [ ]			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, building, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	45,079,225.	45,956,904.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	46,594,822.	47,244,024.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	45,079,225.
2	Enter amount from Part I, line 27a	2	896,812.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	45,976,037.
5	Decreases not included in line 2 (itemize) ► See Statement 10	5	19,133.
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	45,956,904.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	244,484.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	3,392,450.	48,579,055.	0.069834
2010	2,597,607.	46,637,335.	0.055698
2009	2,562,699.	42,792,399.	0.059887
2008	4,522,238.	52,510,119.	0.086121
2007	5,078,133.	66,213,542.	0.076693

2	**Total** of line 1, column (d)	2	0.348233
3	Average distribution ratio for the 5-year base period –divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.069647
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	46,494,788.
5	Multiply line 4 by line 3	5	3,238,222.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	10,938.
7	Add lines 5 and 6	7	3,249,160.
8	Enter qualifying distributions from Part XII, line 4	8	2,612,221.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1 Date of ruling or determination letter. ________ **(attach copy of letter if necessary – see instrs)**				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☐ and enter 1% of Part I, line 27b			1	21,877.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3 Add lines 1 and 2			3	21,877.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	21,877.
6 Credits/Payments				
a 2012 estimated tax pmts and 2011 overpayment credited to 2012	6a	36,611.		
b Exempt foreign organizations – tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments Add lines 6a through 6d			7	36,611.
8 Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached			8	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed ►			9	0.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ►			10	14,734.
11 Enter the amount of line 10 to be: Credited to 2013 estimated tax ► 14,734. Refunded ►			11	0.

Part VII-A **Statements Regarding Activities**

		Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1 b		X
*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c Did the foundation file **Form 1120-POL** for this year?	1 c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ► $ 0. **(2)** On foundation managers ► $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ► CA			
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► http://www.hardenfoundation.org

14 The books are in care of ► JOSEPH C. GRAINGER Telephone no ► (831) 442-3005

Located at ► 1636 ERCIA STREET SALINAS CA ZIP + 4 ► 93906

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here N/A ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

		Line	Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

			Line	Yes	No
1 a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1 b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here	► ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes ☒ No			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions)		2 b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here				
	► 20__ , 20__ , 20__ , 20__				
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If 'Yes,' did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*		3 b	N/A	
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5 a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5 b** N/A

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6 b** No: X

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7 b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Statement 11		234,684.	107,864.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
MARIA HOLGUIN-GUERRERO 1636 ERCIA ST. SALINAS, CA 93906	PROG OFFICER 40	79,905.	69,541.	0.

Total number of other employees paid over $50,000 ► 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
MORGAN STANLEY SMITH BARNEY INC. 388 GREENWICH STREET NEW YORK, NY 10013	INVESTMENT MANAGER	126,018.
ROLLIE HAAS MASTER LANDSCAPES P.O. BOX 2208 SALINAS, CA 93902	YARD MAINTENANCE	72,218.
UBS FINANCIAL SERVICES, INC. 200 CLOCK TOWER PLACE, SUITE C-100 CARMEL, CA 93923-8680	INVESTMENT MANAGER	140,631.
REIK 1540 INTERNATIONAL PARKWAY,# 2000 LAKE MARY, FL 32746	INVESTMENT MANAGER	93,741.
KOKJER, PIEROTTI, MAIOCCO & DUCK LLP 333 PINE STREET, 5TH FLOOR SAN FRANCISCO, CA 94104-3319	ACCOUNTING	67,590.
Total number of others receiving over $50,000 for professional services. ►		2

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 See Statement 12	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ►	0.

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes			
a	Average monthly fair market value of securities		1a	39,857,543.
b	Average of monthly cash balances		1b	1,403,013.
c	Fair market value of all other assets (see instructions)		1c	5,942,274.
d	**Total** (add lines 1a, b, and c)		1d	47,202,830.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	47,202,830.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)		4	708,042.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	46,494,788.
6	**Minimum investment return.** Enter 5% of line 5		6	2,324,739.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	2,324,739.
2a	Tax on investment income for 2012 from Part VI, line 5	2a 21,877.		
b	Income tax for 2012 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	21,877.
3	Distributable amount before adjustments Subtract line 2c from line 1		3	2,302,862.
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	2,302,862.
6	Deduction from distributable amount (see instructions)		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	2,302,862.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1a	2,610,473.
b	Program-related investments — total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	1,748.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,612,221.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	2,612,221.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7					2,302,862.
2 Undistributed income, if any, as of the end of 2012					
a Enter amount for 2011 only				0.	
b Total for prior years 20 __, 20 __, 20 __			0.		
3 Excess distributions carryover, if any, to 2012					
a From 2007	1,872,784.				
b From 2008	2,333,499.				
c From 2009	427,037.				
d From 2010	285,598.				
e From 2011	996,657.				
f **Total** of lines 3a through e		5,915,575.			
4 Qualifying distributions for 2012 from Part XII, line 4 ► $ 2,612,221.					
a Applied to 2011, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required — see instructions)			0.		
c Treated as distributions out of corpus (Election required — see instructions)		0.			
d Applied to 2012 distributable amount					2,302,862.
e Remaining amount distributed out of corpus		309,359.			
5 Excess distributions carryover applied to 2012 (*If an amount appears in column (d), the same amount must be shown in column (a).*)		0.			0.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		6,224,934.			
b Prior years' undistributed income Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b Taxable amount — see instructions			0.		
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount — see instructions				0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)		0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)		1,872,784.			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a		4,352,150.			
10 Analysis of line 9					
a Excess from 2008	2,333,499.				
b Excess from 2009	427,037.				
c Excess from 2010	285,598.				
d Excess from 2011	996,657.				
e Excess from 2012	309,359.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	**(b)** 2011	**(c)** 2010	**(d)** 2009	**(e) Total**
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ► ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

See Statement 13

b The form in which applications should be submitted and information and materials they should include

See Statement for Line 2a

c Any submission deadlines

See Statement for Line 2a

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

See Statement for Line 2a

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SCHEDULE ATTACHED	NOT APPLICABLE	PUBLIC	SCHEDULE ATTACHED	2,306,905.
,				
Total			► **3a**	2,306,905.
b *Approved for future payment*				
See Statement 14				
Total			► **3b**	466,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	68.	
4 Dividends and interest from securities			14	1,374,918.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property			16	75,696.	
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	244,484.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a FMV ADJUSTMENT-SECURITIES			1	2,689,808.	
b OTHER INCOME			1	1,071.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				4,386,045.	

13 Total. Add line 12, columns (b), (d), and (e) 13 4,386,045.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1 a (1)		X
	(2) Other assets	1 a (2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
	(3) Rental of facilities, equipment, or other assets	1 b (3)		X
	(4) Reimbursement arrangements	1 b (4)		X
	(5) Loans or loan guarantees	1 b (5)		X
	(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] | Date: 8/14/13 | Title: Executive Director

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Richard Pierotti	[signature]	7/18/13		P00314987
Firm's name ► KOKJER PIEROTTI MAIOCCO &DUCK			Firm's EIN ► 94-1138201	
Firm's address ► 333 PINE ST 5TH FLOOR SAN FRANCISCO, CA 94104-3319			Phone no 415-981-4224	

Statement 1
Form 990-PF, Part I, Line 11
Other Income

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
FMV ADJUSTMENT-SECURITIES	$ 2,689,808.		
OTHER INCOME	1,071.		
Total	$ 2,690,879.	$ 0.	$ 0.

Statement 2
Form 990-PF, Part I, Line 16a
Legal Fees

	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Ottone, Leach, Olsen & Ray LLP	$ 65.	$ 65.		
Total	$ 65.	$ 65.	$ 0.	$ 0.

Statement 3
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
KOKJER, PIEROTTI, MAIOCCO & DUCK LLP	$ 33,870.	$ 16,935.		$ 16,935.
Total	$ 33,870.	$ 16,935.	$ 0.	$ 16,935.

Statement 4
Form 990-PF, Part I, Line 16c
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
RED GRANITE-INVESTMENT MANAGEMENT FEES	$ 11,141.	$ 11,141.		
REIK-INVESTMENT MANAGEMENT FEES	95,242.	95,242.		
SMITH BARNEY-INVESTMENT MANAGEMENT FEES	126,018.	126,018.		
UBS - INVESTMENT MANAGEMENT	140,631.	140,631.		
Total	$ 373,032.	$ 373,032.	$ 0.	$ 0.

Statement 5
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
2012 EXCISE TAX ON UNREALIZED GAINS	$ 74,895.			
REAL ESTATE TAXES	38,625.	$ 17,535.		$ 21,090.
REAL ESTATE TAXES-RENTAL ALLOCATION	-17,274.	-17,274.		
Total	$ 96,246.	$ 261.	$ 0.	$ 21,090.

Statement 6
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
BANK CHARGES	$ 60.			$ 60.
DISPOSAL HOUSE	454.			445.
DUES & SUBSCRIPTIONS	2,775.	$ 435.		2,340.
GRANT EXPENSE	17,401.			
HOUSE SUPPLIES	159.			159.
INSURANCE	24,866.	12,123.		12,294.
INSURANCE-RENTAL ALLOCATION	-5,657.	-5,657.		
OFFICE EXPENSES	4,577.	1,076.		3,501.
OFFICE SUPPLIES	7,031.	2,246.		4,493.
Rental Expenses	22,931.	22,931.		
REPAIRS & MAINTENANCE	114,809.	7,259.		103,308.
SALINAS VALLEY MEMORIAL HOSP.-FLOWERS	2,802.			2,694.
STATE COMPENSATION INSURANCE	4,325.	331.		3,072.
TELEPHONE-HOUSE	172.			172.
UTILITIES-HOUSE	13,537.			12,390.
Total	$ 210,242.	$ 40,744.	$ 0.	$ 144,928.

Statement 7
Form 990-PF, Part II, Line 14
Land, Buildings, and Equipment

Category	Basis	Accum. Deprec.	Book Value	Fair Market Value
Furniture and Fixtures	$ 270,098.	$ 250,986.	$ 19,112.	$ 19,112.
Improvements	6,430,851.	1,678,493.	4,752,358.	4,752,357.
Land	1,390,997.		1,390,997.	7,760,000.
Total	$ 8,091,946.	$ 1,929,479.	$ 6,162,467.	$ 12,531,469.

Statement 8
Form 990-PF, Part II, Line 15
Other Assets

		Book Value	Fair Market Value
FEDERAL INCOME TAX REFUND RECEIVABLE		$ 14,734.	$ 14,734.
	Total	$ 14,734.	$ 14,734.

Statement 9
Form 990-PF, Part II, Line 22
Other Liabilities

RANCH 4 DEPOSIT		$ 550,000.
PROVISIONS FOR EXCISE & INCOME TAXES		211,045.
	Total	$ 761,045.

Statement 10
Form 990-PF, Part III, Line 5
Other Decreases

PRIOR PERIOD ADJUSTMENT-REAL ESTATE TAXES		$ 19,133.
	Total	$ 19,133.

Statement 11
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

Name and Address	Title and Average Hours Per Week Devoted	Compen- sation	Contri- bution to EBP & DC	Expense Account/ Other
LINDA TAYLOR 911-B BLANCO CIRCLE SALINAS, CA 93901	Secretary VARIABLE	$ 0.	$ 0.	$ 0.
LYDIA M. TORRES 310 THIRD STREET SOLEDAD, CA 93960	NON-VOTING/NBM 40.00	65,438.	36,275.	0.
PATRICIA TYNAN CHAPMAN 14540 CAMPO DELL CIELO SALINAS, CA 93908	President VARIABLE	0.	0.	0.
C. BILL ELLIOT 26001 BUCKS RUN SALINAS, CA 93908	VICE PRES/TREAS VARIABLE	0.	0.	0.

Statement 11 (continued)
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

Name and Address	Title and Average Hours Per Week Devoted	Compen-sation	Contri-bution to EBP & DC	Expense Account/ Other
JOSEPH C. GRAINGER 19667 WOODCREST DRIVE SALINAS, CA 93908	EXEC. DIRECTOR 40.00	$ 169,246.	$ 71,589.	$ 0.
MIKE ANTLE P.O. BOX 4070 SALINAS, CA 93912	Director VARIABLE	0.	0.	0.
	Total	$ 234,684.	$ 107,864.	$ 0.

Statement 12
Form 990-PF, Part IX-A, Line 1
Summary of Direct Charitable Activities

Direct Charitable Activities	Expenses
THE HARDEN FOUNDATION IS NOT INVOLVED IN THE DIRECT ACTIVE CONDUCT OF CHARITABLE ACTIVITIES. THE FOUNDATION PROVIDES SUPPORT TO 501(C)(3) ORGANIZATIONS WITH A DEMONSTRATED ABILITY TO PROVIDE MEANINGFUL AND MEASURABLE ONGOING BENEFITS TO THE PEOPLE OF MONTEREY COUNTY, CALIFORNIA. AFTER GRANTING SUPPORT, HARDEN FOUNDATION DOES NOT MAINTAIN SIGNIFICANT INVOLVEMENT WITH THE GRANTEE ORGANIZATION.	

Statement 13
Form 990-PF, Part XV, Line 2a-d
Application Submission Information

Name of Grant Program:	
Name:	JOSEPH GRAINGER
Care Of:	HARDEN FOUNDATION
Street Address:	P.O. BOX 779
City, State, Zip Code:	SALINAS, CA 93902
Telephone:	(831) 442-3005
E-Mail Address:	
Form and Content:	SEE ATTACHED "GUIDELINES FOR GRANT APPLICANTS"
Submission Deadlines:	SEE ATTACHED "GUIDELINES FOR GRANT APPLICANTS"
Restrictions on Awards:	SEE ATTACHED "GUIDELINES FOR GRANT APPLICANTS"

Statement 14
Form 990-PF, Part XV, Line 3b
Recipient Approved for Future Payment

Name and Address	Donee Relationship	Foundation Status	Purpose of Grant	Amount
SALINAS VALLEY MEMORIAL HOSPITAL FOUNDAT P.O. BOX 4760 SALINAS, CA 93912	N/A	PUBLIC	FUNDING FOR THE VINCI ROBOTIC SURGICAL ROOM	$ 200,000.
SPCA OF MONTEREY COUNTY P.O. BOX 3058 MONTEREY, CA 93942	N/A	PUBLIC	FUNDS FOR THEIR CAPITAL PROJECT TO RENOVATE AND EXPAND THE ANIMAL SUPPORT AREAS	100,000.
PUBLIC RECREATION UNLIMITED INC PO BOX 2562 SALINAS, CA 93902	N/A	PUBLIC	FUNDING FOR THE CONSTRUCTION OF A NEW STADIUM AT THE SALINAS SPORTS COMPLEX	166,000.
			Total	$ 466,000.

HARDEN FOUNDATION 94-6098887
P O BOX 779
SALINAS, CA 93902
2012 FORM 990-PF RETURN OF PRIVATE FOUNDATION
PART I, LINE 19 AND PART II, LINE 14 DEPRECIATION, DEPLETION AND ACCUMULATED DEPRECIATION
FOR THE YEAR END 12/31/2012

	DATE ACQUIRED	BASIS	PRIOR DEPRECIATION	METHOD	LIFE	DEPRECIATION EXPENSE	CHARITABLE %	CHARITABLE AMOUNT
LEATHER SOFA	08/02/90	4,800 46	$4,800 46	-	-			
COCKTAIL TABLE	10/11/90	427 00	427 00	-	-			
GRANDFATHER CLOCK	10/11/90	2,334 62	2,334 62	-	-			
2 CHAIRS	10/16/90	1,129 42	1,129 42	-	-			
BUKHARA RUG	11/28/90	448 35	448 35	-	-			
CREDENZA	05/01/85	788 64	788 64	-	-			
TYPEWRITER STAND	05/01/85	200 34	200 34	-	-			
AT & T PHONE SYSTEM	10/07/86	1,533 22	1,533 22	-	-			
PHONE JACKS AND LINES	12/17/86	400 00	400 00	-	-			
SECURITY SYSTEM	12/11/86	1 328 50	1,328 50	-	-			
3 MONROE CALCULATORS	04/30/86	150 00	150 00	-	-			
2 IBM TYPEWRITERS - USED	04/30/86	300 00	300 00	-	-			
TABLE - USED	04/30/86	300 00	300 00	-	-			
2 RADIOS	04/30/86	60 00	60 00	-	-			
4 CONFERENCE ROOM CHAIRS	04/30/86	600 00	600 00	-	-			
ROUND WOODEN CHAIRS	04/30/86	100 00	100 00	-	-			
2 EXECUTIVE DESK CHAIRS	04/30/86	200 00	200 00	-	-			
SECRETARIAL CHAIR	04/30/86	100 00	100 00	-	-			
2 LATERAL FILE CABINETS	04/30/86	125 00	125 00	-	-			
CHECK PROTECTOR	04/30/86	25 00	25 00	-	-			
TYPEWRITER STAND	04/30/86	50 00	50 00	-	-			
TABLE	04/30/86	30 00	30 00	-	-			
LAMP AND CHAIR	04/30/86	100 00	100 00	-	-			
REFRIGERATOR - OFFICE	01/20/87	439 32	439 32	-	-			
AT & T - 2 PHONES	05/01/90	571 20	571 20	-	-			
PAINTINGS - BATHROOMS	04/01/91	571 27	571 27		-			
FAX MACHINE	04/01/91	2,076 70	2,076 70	-	-			
SAFE	12/01/92	1,235 52	1,235 52	SL MACRS	7			
RICOH COPIER	08/25/93	3,818 10	3,818 10	-	-			
OFFICE LIGHTING	06/01/93	1,491 00	1,491 00	SL MACRS	7			
OFFICE FURNITURE - JOE AND LYDIA	06/01/95	12,564 12	12,564 12	SL MACRS	7			
OFFICE FURNITURE - JOE SETTEE	06/01/95	2,063 97	2,063 97	SL MACRS	7			
OFFICE FURNITURE - GRAINGER GROWERS	09/01/95	1,170 00	1,170 00	SL MACRS	7			
PICTURES - JOE'S OFFICE	08/01/95	564 61	564 61	-	-			
TELEPHONE SYSTEM	06/01/96	7,014 48	7,014 48	SL MACRS	5			
BOOKCASE-JOE'S OFFICE	06/01/97	1 672 10	1,672 10	SL MACRS	7			
CELLULAR TELEPHONE-JOE	02/01/99	154 14	154 14	SL MACRS	7			
MONROE CALCULATORS	03/12/99	308 16	308 16	SL MACRS	7			
OFFICE FURNITURE & EQUIPMENT	12/08/00	500 00	500 00	SL MACRS	7			
OFFICE FURNITURE	01/18/01	4,542 15	4,542 15	SL MACRS	7			
OFFICE FURNITURE	09/01/01	9,559 66	9,559 66	SL MACRS	7		75%	
OFFICE FURNITURE	03/04/02	193 04	193 04	SL MACRS	7	-	75%	-
OFFICE FURNITURE	11/25/02	85 79	85 79	SL MACRS	7	-	75%	-
TV	09/21/04	461 16	461 16	SL MACRS	7	-	75%	-
TYPEWRITER	11/05/04	104 98	104 98	SL MACRS	7	-	75%	-
OFFICE FURNITURE	11/04/05	433 14	376 42	SL MACRS	7	56 72	75%	42 54
OFFICE FURNITURE	02/08/06	5,116 75	4,324 86	SL MACRS	7	730 96	75%	548 22
OFFICE EQUIPMENT	07/20/06	704 70	528 52	SL MACRS	7	100 67	75%	75 50
HARDEN HOME FURNISHINGS	VARIOUS	36,850 58	36,850 58	SL MACRS	7	-	100%	-
OFFICE FURNITURE & EQUIPMENT	11/13/2007	3,711 77	2,209 39	SL MACRS	7	530 25	75%	397 69
VEHICLE	03/20/07	4,500 00	4,275 00	SL MACRS	5	900 00	100%	900 00
OFFICE FURNITURE & EQUIPMENT	02/29/08	197 15	107 96	SL MACRS	7	28 16	75%	21 12
HARDEN HOME FURNISHINGS	05/04/07	1,077 49	718 32	SL MACRS	7	153 93	100%	153 93
OFFICE FURNITURE & EQUIPMENT	06/03/08	1,603 38	820 77	SL MACRS	7	229 05	75%	171 79
OFFICE FURNITURE & EQUIPMENT	08/11/08	14,219 53	6,940 48	SL MACRS	7	2,031 36	75%	1,523 52
OFFICE FURNITURE & EQUIPMENT	09/19/08	958 04	444 81	SL MACRS	7	136 86	75%	102 65
OFFICE FURNITURE & EQUIPMENT	10/31/08	269 01	121 70	SL MACRS	7	38 43	75%	28 82
OFFICE FURNITURE & EQUIPMENT	11/24/08	269 36	118 65	SL MACRS	7	38 48	75%	28 86
OFFICE FURNITURE & EQUIPMENT-GABILAN	05/13/09	528 00	281 60	SL MACRS	5	105 60	75%	79 20
OFFICE FURNITURE & EQUIPMENT-PALACE	08/26/09	2,456 36	818 79	SL MACRS	7	350 91	75%	263 18
OFFICE FURNITURE & EQUIPMENT-VECTOR	09/21/09	1,359 38	436 94	SL MACRS	7	194 20	75%	145 65
OFFICE FURNITURE & EQUIPMENT-PALACE	12/16/09	2,492 58	712 17	SL MACRS	7	356 08	75%	267 06
HOUSE EQUIPMENT	02/23/09	467 97	189 42	SL MACRS	7	66 85	75%	50 14
OFFICE FURNITURE & EQUIPMENT	01/21/10	1,101 09	301 49	SL MACRS	7	157 30	75%	117 97
OFFICE FURNITURE & EQUIPMENT	03/19/10	1,052 64	263 16	SL MACRS	7	150 38	75%	112 78
OFFICE FURNITURE & EQUIPMENT	04/22/10	1,475 89	351 40	SL MACRS	7	210 84	75%	158 13
OFFICE FURNITURE & EQUIPMENT	05/20/10	201 27	63 73	SL MACRS	5	40 25	75%	30 19
WATER HEATER	07/18/11	1,250 00	74 40	SL MACRS	7	178 57	75%	133 93
		148,988 10	$128,022 58			6,785 87		5,352 89

HARDEN FOUNDATION 94-6098887
P O BOX 779
SALINAS, CA 93902
2012 FORM 990-PF RETURN OF PRIVATE FOUNDATION
PART I, LINE 19 AND PART II, LINE 14 DEPRECIATION, DEPLETION AND ACCUMULATED DEPRECIATION
FOR THE YEAR END 12/31/2012

	DATE ACQUIRED	BASIS	PRIOR DEPRECIATION	METHOD	LIFE	DEPRECIATION EXPENSE	CHARITABLE %	CHARITABLE AMOUNT
COMPUTER TABLE	05/29/96	$636 00	636 00	SL MACRS	5		-	-
HP LASERJET III	08/01/91	1,691 59	1,691 59	SL MACRS	5		-	-
GRANT MANAGER - SOFTWARE	09/01/91	3 017 00	3,017 00	SL MACRS	5		-	-
386 - 25 MHZ COMPUTER SYSTEM	09/01/91	2,782 23	2,782 23	SL MACRS	5		-	-
COMPUTER SOFTWARE (UTILITY, WP)	09/01/91	641 72	641 72	SL MACRS	5		-	-
GRANT MANAGER - SOFTWARE	08/18/93	450 00	450 00	SL MACRS	5		-	-
486DX2 - 66MHZ COMPUTER SYSTEM	11/03/93	3,196 84	3,196 84	SL MACRS	5		-	-
HP LASERJET 4 PRINTER	11/09/93	1,457 53	1 457 53	SL MACRS	5		-	-
SOFTWARE	11/09/93	1,048 56	1,048 56	SL MACRS	5		-	-
MOUSE	02/16/94	42 57	42 57	SL MACRS	5		-	-
KEYBOARD	06/01/94	54 73	54 73	SL MACRS	5		50 00%	-
SOFTWARE	11/01/94	74 95	74 95	SL MACRS	5		50 00%	-
WORDPERFECT UPGRADE	03/17/95	197 95	197 95	SL MACRS	3		66 67%	-
PENTIUM PC 90 INCLUDING INSTALLATIO	06/01/95	3,053 08	3,053 08	SL MACRS	5		66 67%	-
NETWORK CABLE AND WIRING	09/01/95	972 50	972 50	SL MACRS	5		66 67%	-
NETWORK CARDS AND CONNECTIONS	10/01/95	393 65	393 65	SL MACRS	5		66 67%	-
RIVERSIDE GRANTS SOFTWARE	11/07/95	5,032 13	5,032 13	SL MACRS	3		-	-
DOS UPGRADE AND NOVELL SOFTWARI	03/01/96	1 199 00	1,199 00	SL MACRS	3		-	-
NETWORK SERVER HARDWARE	03/01/96	8,269 09	8,269 09	SL MACRS	5		66 67%	-
WORKSTATION UPGRADES	03/01/96	880 00	880 00	SL MACRS	5		66 67%	-
LAPTOP - JOE	10/01/96	4,283 57	4 283 57	SL MACRS	5		75 00%	-
KENRICK SOFTWARE	01/01/96	5,804 75	5,804 75	SL MACRS	3		66 67%	-
COMPUTER-FRANK	04/01/97	2,171 87	2 171 87	SL MACRS	5		50 00%	-
COMPUTER EQUIPMENT	10/01/97	1,281 50	1,281 50	SL MACRS	5		66 67%	-
LYDIA COMPUTER UPGRADE	03/01/98	1,176 09	1,176 09	SL MACRS	5		66 67%	-
PRINTER-JOE	02/01/99	294 92	294 92	SL MACRS	5		75 00%	-
GIFT SOFTWARE FOR WINDOWS	03/16/99	3,840 00	3 840 00	SL MACRS	3		100 00%	-
WINDOWS NT FILE SERVER	09/20/99	5,157 65	5,157 65	SL MACRS	5		66 67%	-
COMPUTER EQUIPMENT	11/24/99	507 67	507 67	SL MACRS	5		66 67%	-
MODEM & NETWORK CARD	12/07/99	129 67	129 67	SL MACRS	5		66 67%	-
COMPUTER EQUIPMENT	02/07/00	482 61	482 61	SL MACRS	5		66 67%	-
COMPUTER EQUIPMENT	03/14/00	2,077 35	2,077 35	SL MACRS	5		75 00%	-
COMPUTER EQUIPMENT	04/26/00	3,876 00	3,876 00	SL MACRS	5		75 00%	-
COMPUTER EQUIPMENT	05/01/00	596 31	596 31	SL MACRS	5		75 00%	-
COMPUTER EQUIPMENT	11/16/00	4 009 75	4,009 75	SL MACRS	5		75 00%	-
COMPUTER	03/31/01	3 164 91	3,164 91	SL MACRS	5		75 00%	-
COMPUTER EQUIPMENT & SOFTWARE	02/21/02	4,214 54	4 214 54	SL MACRS	5		75 00%	-
AB COMPUTER	04/12/02	654 35	654 35	SL MACRS	5		75 00%	-
COMPUTER EQUIPMENT & SOFTWARE	04/22/02	1,715 99	1,715 99	SL MACRS	5		75 00%	-
AB COMPUTER	06/12/02	545 51	545 51	SL MACRS	5		75 00%	-
LONG HOUSE COMMUNICATION	07/11/02	1 600 00	1,600 00	SL MACRS	5		75 00%	-
AB COMPUTER	07/23/02	280 74	280 74	SL MACRS	5		75 00%	-
AB COMPUTER	10/17/03	960 93	960 93	SL MACRS	5		75 00%	0 00
SERVER	09/27/04	8,065 00	8,065 00	SL MACRS	5		75 00%	0 00
SERVER	11/04/04	924 00	924 00	SL MACRS	5		75 00%	0 00
SOFTWARE	11/18/04	2,534 00	2,534 00	SL MACRS	3		75 00%	-
WIRING	11/18/04	336 00	336 00	SL MACRS	5		75 00%	0 00
WIRING NETWORK	02/18/05	1,675 17	1,675 17	SL MACRS	5	0 00	75 00%	0 00
COMPUTER & SOFTWARE	01/06/06	643 40	643 40	SL MACRS	5	0 00	75 00%	0 00
COMPUTER & SOFTWARE	02/01/06	687 50	687 50	SL MACRS	5	0 00	75 00%	0 00
COMPUTER & SOFTWARE	02/08/06	870 87	870 86	SL MACRS	5	0 00	75 00%	0 00
COMPUTER & SOFTWARE	02/13/06	687 50	687 50	SL MACRS	5	0 00	75 00%	0 00
COMPUTER & SOFTWARE	03/17/06	2 250 91	2,250 91	SL MACRS	5	0 00	75 00%	0 00
COMPUTER & SOFTWARE	07/19/06	601 25	601 25	SL MACRS	5	0 00	75 00%	0 00
COMPUTER & SOFTWARE	09/05/06	924 81	924 81	SL MACRS	5	0 00	75 00%	0 00
COMPUTER & SOFTWARE	08/13/07	3,933 54	3,474 63	SL MACRS	5	458 91	75 00%	344 18
COMPUTER & SOFTWARE	12/03/08	3,466 50	2,137 68	SL MACRS	5	693 30	75 00%	519 98
COMPUTER & SOFTWARE	12/15/08	2 915 05	1,749 03	SL MACRS	5	583 01	75 00%	437 26
COMPUTER & SOFTWARE	03/10/09	235 71	133 57	SL MACRS	5	47 14	75 00%	35 36
COMPUTER & SOFTWARE	04/20/09	422 68	225 44	SL MACRS	5	84 54	75 00%	63 40
COMPUTER & SOFTWARE	06/08/09	1,115 01	576 08	SL MACRS	5	223 00	75 00%	167 25
COMPUTER & SOFTWARE	09/16/09	912 42	410 58	SL MACRS	5	182 48	75 00%	136 86
COMPUTER & SOFTWARE	01/13/10	721 43	288 58	SL MACRS	5	144 29	75 00%	108 21
COMPUTER & SOFTWARE	05/19/11	1,217 96	142 10	SL MACRS	5	243 59	75 00%	182 69
COMPUTER & SOFTWARE	12/29/11	1,201 45	0 00	SL MACRS	5	240 29	75 00%	180 22
COMPUTER & SOFTWARE	11/29/12	850 00	0 00	SL MACRS	5	21 25	75 00%	15 94
		$121 109 96	113,255 89			2,921 80		2,191 35

HARDEN FOUNDATION 94-6098887								
P O BOX 779								
SALINAS, CA 93902								
2012 FORM 990-PF RETURN OF PRIVATE FOUNDATION								
PART I, LINE 19 AND PART II, LINE 14-DEPRECIATION, DEPLETION AND ACCUMULATED DEPRECIATION								
FOR THE YEAR END 12/31/2012								
	DATE ACQUIRED	LIFE	METHOD	BASIS	PRIOR DEPRECIATION	CURRENT PROVISION	CHARITABLE %	CHARITABLE AMOUNT
RANCH4 DEPRECIABLE ITEMS-								
1/2 SHOP & STORAGE	12/27/85	10	SL	1,479 00	1,479 00		-	-
1/2 SHOP & STORAGE	12/30/87	10	SL	1,479 00	1,479 00		-	-
1/2 EQUIPMENT SHED	12/27/85	10	SL	5,200 00	5,200 00		-	-
1/2 EQUIPMENT SHED	12/30/87	10	SL	5,200 00	5,200 00		-	-
1/2 2 WELLS WITH 30HP MOTORS	12/27/85	10	SL	24,350 00	24,350 00		-	-
1/2 2 WELLS WITH 30HP MOTORS	12/30/87	10	SL	24,350 00	24,350 00		-	-
HARDEN FOUNDATION OFFICE	06/01/90	31 5	SL	386,673 43	264,435 81	12,275 35	66 67%	8,183 97
UNDERGROUND ELECTRICAL	05/01/93	31 5	SL	29,218 64	17,314 84	927 58	75 00%	695 68
CARPET CARETAKERS APARTMENT	03/01/93	7	SL	1,679 00	1,679 00	0 00	100 00%	-
POOL FILTER	10/01/93	7	SL	1,042 92	1,042 92	0 00	100 00%	-
HARDEN HOME	VARIOUS	39	SL	238,357 88	238,357 88	0 00	100 00%	-
RETROFITTING-HARDEN HOME	05/09/95	39	SL	12,924 00	5,523 00	331 38	100 00%	331 38
GARAGE DOORS-HARDEN HOME & OFFICE	09/15/95	39	SL	3,175 00	1,329 70	81 41	83 33%	67 84
SEWER AND WATER LINES-OFFICE	09/01/95	39	SL	10,045 50	4,207 14	257 58	83 33%	214 64
SEWER AND WATER LINES-HOUSE	09/01/95	39	SL	10,045 50	4,207 14	257 58	100 00%	257 58
BLOCK WALL	02/01/96	39	SL	87,805 65	35,835 26	2,251 43	83 33%	1,876 11
ENTRANCE GATE AND DRIVEWAY	02/01/96	39	SL	113,586 30	46,356 77	2,912 47	83 33%	2,426 96
LANDSCAPING	02/29/96	15	SL	52,218 98	52,218 98	0 00	100 00%	-
LANDSCAPING-ENTRY	03/01/97	15	SL	7,886 83	7,886 83	0 00	100 00%	-
BARN PROJECT	03/01/97	39	SL	21,000 00	8,525 62	538 46	83 33%	448 70
SERVICE GATE	05/01/96	39	SL	17,706 00	7,112 66	454 00	100 00%	454 00
LANDSCAPING FOUNDATION GROUNDS	10/01/96	15	SL	35,745 58	35,745 58	0 00	100 00%	-
WINDOWS-MILL	09/01/97	39	SL	5,320 67	1,955 50	136 43	100 00%	136 43
ROOF-MILL	10/01/97	39	SL	12,003 00	4,386 32	307 77	100 00%	307 77
REMODELING-HARDEN HOME-39 YR	01/01/98	39	SL	22,218 58	7,975 95	569 71	100 00%	569 71
REMODELING-HARDEN HOME-7 YR	01/01/98	7	SL	77,567 48	77,567 48	0 00	100 00%	-
REMODELING-HARDEN HOME-7 YR	09/01/98	7	SL	15,556 98	15,556 98	0 00	100 00%	-
REMODELING-HARDEN HOME-7 YR	03/08/99	7	SL	2,387 92	2,387 92	0 00	100 00%	-
FURNITURE & ACCESSORIES-HARDEN HOME-7YR	02/01/00	7	SL	7,945 18	7,945 18	0 00	100 00%	-
PATIO IMPROVEMENTS	12/01/99	39	SL	235,347 16	72,917 35	6,034 54	100 00%	6,034 54
PATIO & LIGHTING IMPROVEMENTS	9/1/2000	39	SL	34,393 45	10,068 13	881 88	100 00%	881 88
FURNITURE & ACCESSORIES-HARDEN HOME-7YR	8/1/2000	7	SL	11,922 46	11,922 46	-	100 00%	-
HOUSE LIGHTING	12/1/2000	7	SL	2,805 64	2,805 64	-	100 00%	-
LANDSCAPING	8/17/2001	39	SL	9,024 03	2,391 01	231 39	100 00%	231 39
PAVING & PATIO IMPROVEMENTS	2/1/2002	39	SL	51,961 30	13,212 37	1,332 34	100 00%	1,332 34
HOME IMPROVEMENTS	9/1/2001	39	SL	8,750 83	2,318 50	224 38	100 00%	224 38
ROLL-UP BARN DOORS IMPROVEMENT	3/18/2003	39	SL	9,871 00	2,214 63	253 10	100 00%	253 10
AWNING	6/24/2004	7	SL	532 88	532 88	-	100 00%	-
PATIO FURNITURE	3/8/2004	7	SL	491 23	491 23	-	100 00%	-
IMPROVEMENTS-HARDEN HOME	8/10/2005	39	SL	4,972 29	828 70	127 49	100 00%	127 49
IMPROVEMENTS-HARDEN HOME	2/1/2006	39	SL	6,019 57	913 23	154 35	100 00%	154 35
REAL PROPERTY IMPROVEMENTS	8/28/2006	39	SL	3,870 62	529 33	99 25	100 00%	99 25
ROOFING	8/16/2007	39	SL	38,096 00	4,232 89	976 82	100 00%	976 82
IMPROVEMENTS	9/14/2007	39	SL	24,890 00	2,765 57	638 21	100 00%	638 21
WINDMILL	7/1/2007	39	SL	1,980,805 95	228,554 54	50,789 90	100 00%	50,789 90
WINDMILL IMPROVEMENTS	10/1/2007	39	SL	19,048 00	2,075 74	488 41	100 00%	488 41
WINDMILL IMPROVEMENTS	10/24/2007	39	SL	16,000 00	1,709 41	410 26	100 00%	410 26
WINDMILL IMPROVEMENTS	2/1/2008	39	SL	2,085 00	209 39	53 46	100 00%	53 46
WINDMILL IMPROVEMENTS	2/25/2008	39	SL	2,048 00	201 29	52 51	100 00%	52 51
YARD EQUIPMENT	8/7/2008	7	SL	517 20	252 46	73 89	100 00%	73 89
REAL PROPERTY IMPROVEMENTS	9/17/2008	39	SL	2,699 36	224 93	69 21	100 00%	69 21
APEX SIGNS & GRAPHICS	11/17/2008	7	SL	595 04	262 11	85 01	100 00%	85 01
IMPROVEMENTS-DILBECK & SONS	11/24/2008	39	SL	76,171 00	6,022 06	1,953 10	100 00%	1,953 10
IMPROVEMENTS-CARETAKERS	11/24/2008	39	SL	7,703 00	608 99	197 51	100 00%	197 51
WINDMILL IMPROVEMENTS	6/1/2008	39	SL	12,140 73	1,115 49	311 30	100 00%	311 30
OFFICE IMPROVEMENTS	11/24/2008	39	SL	18,390 50	1,453 95	471 55	100 00%	471 55
OFFICE IMPROVEMENTS	12/29/2008	39	SL	1,955 00	150 39	50 13	100 00%	50 13
RESOURCE CENTER & PARKING LOT	12/1/2008	39	SL	2,208,721 71	174,621 16	56,633 89	100 00%	56,633 89
SERVICE GATE	12/1/2008	7	SL	20,987 07	9,244 30	2,998 15	100 00%	2,998 15
LANDSCAPING	11/1/2008	15	SL	129,971 02	27,438 32	8,664 73	100 00%	8,664 73
IMPROVEMENTS	3/10/2009	39	SL	55,251 00	4,013 96	1,416 69	100 00%	1,416 69
FIRST ALARM	3/30/2009	7	SL	3,750 00	1,473 21	535 71	100 00%	535 71
IMPROVEMENTS	6/22/2009	39	SL	79,709 00	5,109 55	2,043 82	100 00%	2,043 82
IMPROVEMENTS	9/30/2009	39	SL	44,627 74	2,574 68	1,144 30	100 00%	1,144 30
APEX SIGNS & GRAPHICS	10/1/2009	7	SL	603 94	194 13	86 28	100 00%	86 28

HARDEN FOUNDATION 94-6098887
P O BOX 779
SALINAS, CA 93902
2012 FORM 990-PF RETURN OF PRIVATE FOUNDATION
PART I, LINE 19 AND PART II, LINE 14-DEPRECIATION, DEPLETION AND ACCUMULATED DEPRECIATION
FOR THE YEAR END 12/31/2012

	DATE ACQUIRED	LIFE	METHOD	BASIS	PRIOR DEPRECIATION	CURRENT PROVISION	CHARITABLE %	CHARITABLE AMOUNT
PARKING IMPROVEMENTS	1/22/2009	15	SL	1,181 69	229 77	78 78	100 00%	78 78
PARKING IMPROVEMENTS	4/20/2009	15	SL	397 82	70 72	26 52	100 00%	26 52
PARKING IMPROVEMENTS	5/26/2009	15	SL	447 64	77 09	29 84	100 00%	29 84
PARKING IMPROVEMENTS - A&b fire	6/1/2009	15	SL	150 00	25 83	10 00	100 00%	10 00
PARKING IMPROVEMENTS - BFI	6/8/2009	15	SL	118 77	20 46	7 92	100 00%	7 92
OFFICE IMPROVEMENTS - QUARTZ INTERN	2/11/2009	39	SL	1,955 00	146 21	50 13	100 00%	50 13
OFFICE IMPROVEMENTS - WHEELERS FLOORING	2/23/2009	39	SL	4,880 00	354 53	125 13	100 00%	125 13
OFFICE IMPROVEMENTS - WHEELERS FLOORING	5/13/2009	39	SL	8,100 00	553 84	207 69	100 00%	207 69
PARKING IMPROVEMENTS	2/18/2010	15	SL	870 74	106 42	58 05	100 00%	58 05
OFFICE IMPROVEMENTS-transparent glass coat	2/17/2010	39	SL	5,270 00	247 74	135 13	100 00%	135 13
LAND & IMPRV-Gate & Fence	2/18/2010	15	SL	14,000 00	1,711 11	933 33	100 00%	933 33
LANDSCAPING - WALKWAY	8/23/2010	15	SL	4,523 46	402 08	301 56	100 00%	301 56
Land & Improvements_Real Property_Roy Alsop Pump	6/13/2011	15	SL	14,265 19	554 76	951 01	100 00%	951 01
Improvements-Bunkhouse Room & Parking Area	2/22/2011	7	SL	3,717 43	442 55	531 06	100 00%	531 06
Improvements-Bunkhouse Room & Parking Area	4/20/2011	7	SL	5,386 79	513 03	769 54	100 00%	769 54
Improvements-Bunkhouse Room & Parking Area	5/19/2011	7	SL	939 22	78 27	134 17	100 00%	134 17
Improvements-Bunkhouse Room & Parking Area	9/19/2011	7	SL	727 31	25 98	103 90	100 00%	103 90
Improvements-Bunkhouse Room & Parking Area	2/7/2011	7	SL	1,911 49	22 76	273 07	100 00%	273 07
Improvements - Real Prop	2/21/2012	7	SL	555 21	-	69 40	100 00%	69 40
Improvements - Real Prop	3/12/2012	7	SL	555 21	-	62 79	100 00%	62 79
GRAND TOTAL DEPRECIATION								
DEPRECIATION PER FORM 990-PF				6,430,850 72	1,512,849 56	165,643 74		160,313 39
LAND				1,390,997 04	-	-		
FURNITURE AND EQUIPMENT [from office & com tabs]				270,098 06	241,278 47	9,707 67		7,544 24
PART II, LINE 14				8,091,945 82	1,754,128 03	175,351 42		167,857 63

HARDEN FOUNDATION
FEIN 94-6098887
2012 FORM 990-PF
FOR THE YEAR ENDED 12/31/12
PART II

	See Attachment for UBS Account	Cost	FMV	Unrealized Gain (Loss)	Attachment Page
Line 10a Investments - U S and state government					
	ML 09282 EF	677 82	730 24	52 42	3
	ML 09273 EF	1,374,271 36	1,422,843 05	48,571 69	9
		1,374,949 18	1,423,573 29	48,624 11	
Line 10b Investments - corporate stock					
	ML 09274 EF	1,924,991 86	11,274,875 00	9,349,883 14	13
	ML 09282 EF	-	506 25	506 25	2
	ML 09279 EF	8,859,895 06	9,159,530 20	299,635 14	25
	ML 09275 EF	5,437,495 55	4,522,386 33	(915,109 22)	43
	ML 09280 EF	862,105 31	1,028,792 79	166,687 48	52
	ML 09276 EF	1,111,738 80	971,771 84	(139,966 96)	65
	ML 09278 EF	8,004,890 14	9,697,591 50	1,692,701 37	72
		26,201,116 72	36,655,453 91	10,454,337 19	
Line 10c Investments - corporate bonds					
	ML 09273 EF	1,142,000 67	1,191,308 87	49,308 20	7

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period
UBS SELECT PRIME CAPITAL	164,571 09	67,874 26	1 00	0 09%	Nov 1 to Nov 30	30

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
NTHN CALIF BANCORP INC Symbol NRLB Exchange OTC		1,350 000	---This information was unavailable---		0 375	506 25		

Fixed income

Asset backed securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected The cost basis for asset backed securities has been adjusted automatically for return of principal payments and if issued at a discount, accreted original issue discount (OID)

Holding	Trade date	Total face value at maturity ($)	Purchase price($)	Adjusted cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
FHR 1599 C RATE 06 1000% MATURES 10/15/23 CURRENT PAR VALUE 713 ACCRUED INTEREST $3 62 CUSIP 3133T1FS6 EAI $43 Current yield 5 96%	Dec 13, 99	27,000 000	95 120	677 82	102 418	730 24	52 42	LT

Your assets (continued)

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**67,874.26**	**98.21%**	**67,874.26**		
Equities	*** Common stock**	**506.25**	**0.73%**			
Fixed income	Asset backed securities	730 24		677 82	43 00	52 42
	Total accrued interest	3 62				
	Total fixed income	**733.86**	**1.06%**	**677.82**	**43.00**	**52.42**
Total		**$69,114.37**	**100.00%**	**$68,552.08**	**$43.00**	**$52.42**

* Missing cost basis information

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
Nov 30		**Cash and money balance**					**$164,571.09**
Dec 3	Dividend	UBS SELECT PRIME CAPITAL FUND				6 95	164,578 04
Dec 6	Fee Charge	ANNUAL FEE CHARGE				-150 00	
Dec 6	Withdrawal	WELLS FARGO BANK, NATIONAL ASS ELECTRONIC FUNDS TRANSFER				-100,000 00	64,428 04
Dec 12	Reversal	ANNUAL FEE CHARGE CANCEL AS OF 12/06/12				150 00	
Dec 12	Withdrawal	WELLS FARGO BANK, NATIONAL ASS ELECTRONIC FUNDS TRANSFER				-60,000 00	4,578 04
Dec 17	Interest	FHR 1599 C 06 1000 DUE 10/15/23 FACTOR 0 026392470000 PAID ON 27000 AS OF 12/15/12 CUSIP 3133T1FS6				3 91	
Dec 17	Return Of Principal	FHR 1599 C 06 1000 DUE 10/15/23 FACTOR 0 026392470000 PAID ON 27000 AS OF 12/15/12 CUSIP 3133T1FS6				57.39	
Dec 17	Transfer	FM ML 09278 9100				50,000 00	
Dec 17	Transfer	FM ML 09279 7100				75,000 00	
Dec 17	St Cap Gain	UBS SELECT PRIME CAPITAL FUND AS OF 12/14/12				01	

continued next page

Account name: HARDEN FOUNDATION
Account number: ML 09273 EF

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Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period
UBS SELECT PRIME CAPITAL	141,154 41	69,834 12	1 00	0 09%	Nov 1 to Nov 30	30

Fixed income

Corporate bonds and notes

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected Cost basis has been adjusted for accreted original issue discount (OID) If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ORACLE SYSTEMS CORP NTS 5BP RATE 04 950% MATURES 04/15/13 ACCRUED INTEREST $1,306 25 CUSIP 68389XAD7 Moody A1 S&P A+ EAI $3,094 Current yield 4 89%	Jun 17, 08	125,000 000	100 128	125,160 00[1]	101.283	126,603 75	1,443 75	LT
WALT DISNEY CO NTS CALL@MW T+50BP RATE 04 500% MATURES 12/15/13 ACCRUED INTEREST $200 00 CUSIP 254687AW6 Moody A2 S&P A EAI $4,500 Current yield 4.33%	Sep 28, 11	100,000.000	108 372	108,372 00[1]	103 883	103,883 00	-4,489 00	LT

continued next page

Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
NATIONAL RURAL UTIL CORP MW +15BP RATE 04 750% MATURES 03/01/14 ACCRUED INTEREST $2,058 32 CUSIP 637432DC6 Moody A1 S&P A+ EAI $6,175 Current yield 4 53%	May 29, 09	130,000 000	101 093	131,420 90¹	104 805	136,246 50	4,825 60	LT
J P MORGAN CHASE & CO NTS B/E RATE 03 700% MATURES 01/20/15 ACCRUED INTEREST $2,068 40 CUSIP 46625HHP8 Moody A2 S&P A EAI $4,625 Current yield 3 51%	Nov 09, 10	125,000 000	106 390	132,987 50¹	105 288	131,610 00	-1,377 50	LT
CALL TEXAC INSTRUMENTS WHOLE+10BP RATE 02 375% MATURES 05/16/16 ACCRUED INTEREST $303 47 CUSIP 882508AR5 Moody A1 S&P A+ EAI $2,375 Current yield 2 27%	Jul 27, 11	100,000 000	101 750	101,750 00¹	104 660	104,660 00	2,910 00	LT
GENL ELEC CAP CORP NTS B/E RATE 02 900% MATURES 01/09/17 ACCRUED INTEREST $1,039 17 CUSIP 36962G5N0 Moody A1 S&P AA+ EAI $2,175 Current yield 2 74%	Jul 24, 12	75,000 000	105 121	78,840 75	105 734	79,300 50	459 75	ST
JOHN DEERE CPTL CORP MED TERM NTS RATE 01.400% MATURES 03/15/17 ACCRUED INTEREST $309 16 CUSIP 24422ERN1 Moody A2 S&P A EAI $1,050 Current yield 1 39%	Mar 02, 12	75,000 000	100 436	75,327 00¹	100 956	75,717.00	390 00	ST

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Your assets › **Fixed income** › **Corporate bonds and notes** (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
WELLS FARGO & CO NEW NTS RATE 05 625% MATURES 12/11/17 ACCRUED INTEREST $312 50 CUSIP 949746NX5 Moody A2 S&P A+ EAI $5,625 Current yield 4 72%	Oct 28, 10	100,000 000	112 944	112,944 00[1]	119 270	119,270 00	6,326 00	LT
SYSCO CORP NTS CALL@M/W+30BP RATE 05 250% MATURES 02/12/18 ACCRUED INTEREST $1,418 95 CUSIP 871829AL1 Moody A1 S&P A+ EAI $3,675 Current yield 4 40%	Feb 08, 08	70,000 000	101 359	70,951 30[1]	119 209	83,446 30	12,495 00	LT
PROCTER & GAMBLE CO NTS 5BP B/E RATE 04 700% MATURES 02/15/19 ACCRUED INTEREST $1,171 86 CUSIP 742718DN6 Moody Aa3 S&P AA- EAI $3,102 Current yield 3 98%	Feb 05, 09	66,000 000	100 482	66,318 12[1]	118 147	77,977 02	11,658 90	LT
COCA COLA CO COM NTS M/W+30BPS RATE 04 875% MATURES 03/15/19 ACCRUED INTEREST $1,148 33 CUSIP 191216AM2 Moody Aa3 S&P AA- EAI $3,900 Current yield 4 09%	Mar 05, 09	80,000 000	100 612	80,489.60[1]	119 281	95,424 80	14,935 20	LT
OCCIDENTAL PETROLEUM CALL@MW+15BP RATE 04 100% MATURES 02/01/21 ACCRUED INTEREST $854 16 CUSIP 674599BY0 Moody A1 S&P A EAI $2,050 Current yield 3 59%	Nov 20, 12	50,000 000	114 879	57,439 50	114 340	57,170 00	-269 50	ST

continued next page

Your assets › Fixed income › Corporate bonds and notes (continued)

Holding	Trade date	Total face value at maturity ($)	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Total		**$1,096,000.000**		**$1,142,000.67**		**$1,191,308.87**	**$49,308.20**	

Total accrued interest: $12,190.57

Total estimated annual income: $42,346

[1] Indicates cost basis information provided by you or another third party UBS FS has not verified this information and does not guarantee its accuracy

Government securities

Prices are obtained from independent quotation bureaus that use computerized valuation formulas to calculate current values Actual market values may vary and thus gains/losses may not be accurately reflected Cost basis has been adjusted for accreted original issue discount (OID) If you have made a tax election to deduct the premium amortization on taxable debt securities, you may request that UBS adjust cost basis for the bond premium amortization

Holding	Trade date	Quantity	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
U S TREASURY NOTE RATE 3 8750% MATURES 02/15/13 ACCRUED INTEREST $1,453 13 EAI $1,938 Current yield 3 86%	Jul 24, 12	100,000 000	102 062	102,062 84	100 453	100,453 00	-1,609 84	ST
U S TREASURY NOTE RATE 1.3750% MATURES 05/15/13 ACCRUED INTEREST $422 82 EAI $1,664 Current yield 1 37%	Aug 04, 10	110,000 000	101 656	111,821 88[1]	100 465	110,511 50	-1,310 38	LT
	Aug 09, 11	132,000 000	101.945	134,567 81[1]	100 465	132,613 80	-1,954 01	LT
Security total		242,000 000		246,389 69		243,125.30	-3,264 39	
FHLMC RATE 4 5000% MATURES 07/15/13 ACCRUED INTEREST $2,697 50 CUSIP 3134A4TZ7 EAI $5,850 Current yield 4 40%	Jan 31, 06	130,000 000	97 818	127,163 40[1]	102 345	133,048 50	5,885 10	LT
U S TREASURY NOTE RATE 3 1250% MATURES 09/30/13 ACCRUED INTEREST $284 34 EAI $1,125 Current yield 3 06%	Aug 15, 11	36,000 000	106 226	38,241 56[1]	102 184	36,786.24	-1,455 32	LT
FNMA RATE 4 6250% MATURES 10/15/14 ACCRUED INTEREST $1,269 30 CUSIP 31359MWJ8 EAI $6,013 Current yield 4 29%	Aug 07, 07	130,000 000	96 773	125,805 81[1]	107 738	140,059 40	14,253 59	LT

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Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Your assets › **Fixed income** › **Government securities** (continued)

Holding	Trade date	Quantity	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
U S TREASURY NOTE RATE 2 5000% MATURES 04/30/15 ACCRUED INTEREST $370 71 EAI $2,200 Current yield 2 38%	May 02, 12	88,000 000	106.234	93,486 25[1]	105 094	92,482 72	-1,003 53	ST
FHLMC NTS RATE 4 7500% MATURES 11/17/15 ACCRUED INTEREST $435 42 CUSIP 3134A4VG6 EAI $3,563 Current yield 4 22%	Jul 24, 12	75,000 000	114 078	85,558 95	112 429	84,321 75	-1,237 20	ST
U S TREASURY NOTE RATE 0 8750% MATURES 01/31/17 ACCRUED INTEREST $272 84 EAI $656 Current yield 0 86%	Nov 30, 12	75,000 000	101 551	76,163 34	101 328	75,996 00	-167 34	ST
U S TREASURY NOTE RATE 4 5000% MATURES 05/15/17 ACCRUED INTEREST $548 94 EAI $4,320 Current yield 3 85%	Oct 10, 08	96,000 000	104 812	100,620 00[1]	116 789	112,117 44	11,497.44	LT
U S TREASURY NOTE RATE 3 8750% MATURES 05/15/18 ACCRUED INTEREST $393 92 EAI $3,100 Current yield 3 33%	Oct 19, 12	49,000 000	116.262	56,968 44	116 211	56,943 39	-25 05	ST
	Nov 20, 12	31,000 000	116 723	36,184 15	116 211	36,025 41	-158 74	ST
Security total		80,000.000		93,152.59		92,968.80	-183 79	
U S TREASURY NOTE RATE 3 1250% MATURES 05/15/19 ACCRUED INTEREST $365 33 EAI $2,875 Current yield 2.76%	Dec 23, 09	18,000 000	95 687	17,223 75[1]	113 250	20,385 00	3,161.25	LT
	Aug 15, 12	34,000 000	112 699	38,317 87	113 250	38,505 00	187.13	ST
	Sep 13, 12	40,000 000	113 652	45,461 10	113 250	45,300.00	-161 10	ST
Security total		92,000 000		101,002 72		104,190 00	3,187 28	
U S TREASURY NOTE RATE 3 6250% MATURES 02/15/20 ACCRUED INTEREST $815 62 EAI $2,175 Current yield 3 10%	Oct 14, 11	60,000 000	113 078	67,846 87[1]	116 844	70,106 40	2,259 53	LT

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Your assets › **Fixed income** › Government securities (continued)

Holding	Trade date	Quantity	Purchase price ($)	Cost basis ($)	Price on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
U S TREASURY NOTE RATE 2 6250% MATURES 11/15/20 ACCRUED INTEREST $416 95 EAI $3,281 Current yield 2 39%	Dec 15, 10	125,000.000	93 421	116,777 34[1]	109 750	137,187 50	20,410 16	LT
Total		**1,329,000.000**		**$1,374,271.36**		**$1,422,843.05**	**$48,571.69**	

Total accrued interest: $9,746.82

Total estimated annual income: $38,760

[1] Indicates cost basis information provided by you or another third party UBS FS has not verified this information and does not guarantee its accuracy

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**69,834.12**	**2.58%**	**69,834.12**		
Fixed income	Corporate bonds and notes	1,191,308 87		1,142,000 67	42,346 00	49,308 20
	Government securities	1,422,843 05		1,374,271 36	38,760 00	48,571 69
	Total accrued interest	21,937 39				
	Total fixed income	**2,636,089.31**	**97.42%**	**2,516,272.03**	**81,106.00**	**97,879.89**
Total		**$2,705,923.43**	**100.00%**	**$2,586,106.15**	**$81,106.00**	**$97,879.89**

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
Nov 30		**Cash and money balance**					**$141,154.41**
Dec 3	Dividend	UBS SELECT PRIME CAPITAL FUND				3 37	
Dec 3	Bought	US TSY NOTE 00 875 % DUE 01/31/17 DTD 01/31/12 FC 07/31/12 AGENCY PURCHASE YTM = 498 UNSOLICITED ACCRUED INT PAID $222.91 CUSIP NO 912828SC5 ▸ Capacity Agent		75,000 000	101 5511160	-76,386.25	64,771 53
Dec 11	Interest	WELLS FARGO & CO NEW NTS 05 625% 121117 DTD121007 FC061108 PAID ON 100000 CUSIP 949746NX5				2,812 50	67,584 03

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UBS Managed Accounts Consulting
December 2012

Account name: HARDEN FOUNDATION
Account number: ML 09274 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period
Cash	0.00	13,390 00				
UBS SELECT PRIME CAPITAL	384,013 63	358,418 90	1 00	0 09%	Nov 1 to Nov 30	30
Total	**$384,013.63**	**$371,808.90**				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
BERKSHIRE HATHAWAY INC NEW								
CL B								
Symbol BRK B Exchange NYSE	Nov 3, 94	2,732 940	23 061	63,024 36	89 700	245,144 72	182,120 36	LT
	Dec 29, 94	3,036 600	23 315	70,798 61	89 700	272,383 02	201,584 41	LT
	Dec 30, 94	2,024 400	23 315	47,199 07	89 700	181,588 68	134,389 61	LT
	Jul 17, 98	1,012 200	73 822	74,723 53	89 700	90,794 34	16,070 81	LT
	Jan 20, 99	354 270	66 388	23,519 54	89 700	31,778 02	8,258 48	LT
	Jan 22, 99	961 590	66 388	63,838 74	89 700	86,254 62	22,415 88	LT
Security total		10,122.000	33 897	343,103 85		907,943 40	564,839 55	
CHURCH & DWIGHT CO INC								
Symbol CHD Exchange NYSE								
EAI $33,888 Current yield 1.79%	Oct 20, 93	5,300 000	3 940	20,885 40	53 570	283,921 00	263,035 60	LT
	Dec 12, 94	30,000 000	2 848	85,447 94	53 570	1,607,100 00	1,521,652 06	LT
Security total		35,300 000	3 012	106,333 34		1,891,021 00	1,784,687 66	
CINTAS CORP								
Symbol CTAS Exchange OTC								
EAI $1,920 Current yield 1 56%	Jul 3, 95	3,000 000	12 083	36,250 00	40 900	122,700 00	86,450 00	LT

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
FRISCHS RESTAURANTS								
Symbol FRS Exchange AMEX								
EAI $28,160 Current yield 3 46%	Dec 17, 90	1,012 358	9 878	10,000 08	18 500	18,728 62	8,728 54	LT
	Dec 17, 90	590 839	9 676	5,717 21	18 500	10,930 53	5,213 32	LT
	Dec 18, 90	3,289 081	9 475	31,165 56	18 500	60,847 99	29,682 43	LT
	Jan 21, 91	1,518 536	8 299	12,602 99	18 500	28,092 92	15,489 93	LT
	Jan 21, 91	253 089	9 007	2,279 81	18 500	4,682 15	2,402 34	LT
	Jan 21, 91	253 089	9 210	2,331.04	18 500	4,682 15	2,351 11	LT
	Jan 23, 91	506 179	9 105	4,608 91	18 500	9,364 31	4,755 40	LT
	Jan 23, 91	378 553	9 232	3,495 10	18 500	7,003 22	3,508 12	LT
	Jan 23, 91	253 089	9 105	2,304 46	18 500	4,682 15	2,377 69	LT
	Jan 23, 91	253 089	9 611	2,432 48	18 500	4,682 15	2,249.67	LT
	Jan 23, 91	253 089	9 611	2,432 49	18 500	4,682 15	2,249 66	LT
	Jan 23, 91	125 463	9 285	1,165 03	18 500	2,321 07	1,156 04	LT
	Jan 24, 91	884 731	9 313	8,240.02	18 500	16,367 53	8,127 51	LT
	Jan 24, 91	633 805	9 084	5,757 79	18 500	11,725 39	5,967 60	LT
	Jan 24, 91	633 805	9 286	5,885 74	18 500	11,725 39	5,839 65	LT
	Jan 25, 91	2,530 894	9 445	23,905 89	18 500	46,821 54	22,915 65	LT
	Jan 25, 91	1,265 447	9 244	11,698 63	18 500	23,410 77	11,712 14	LT
	Jan 25, 91	633 805	9 228	5,849 33	18 500	11,725 39	5,876.06	LT
	Jan 25, 91	633 805	9 329	5,912 91	18 500	11,725 39	5,812 48	LT
	Jan 28, 91	1,265 447	8 702	11,012 46	18 500	23,410 77	12,398 31	LT
	Jan 28, 91	633.805	9 192	5,826 37	18 500	11,725 39	5,899 02	LT
	Jan 29, 91	1,265 447	8 052	10,189 59	18 500	23,410 77	13,221 18	LT
	Jan 29, 91	1,012 358	7 548	7,642 18	18 500	18,728 62	11,086 44	LT
	Jan 29, 91	633 805	7 536	4,776 36	18 500	11,725 39	6,949 03	LT
	Jan 29, 91	633 805	7 536	4,776 36	18 500	11,725.39	6,949 03	LT
	Jan 29, 91	633 805	7.837	4,967 42	18 500	11,725 39	6,757 97	LT
	Jan 29, 91	506 179	7 951	4,024 88	18 500	9,364 31	5,339 43	LT
	Jan 29, 91	506.179	8 253	4,177 73	18 500	9,364 31	5,186 58	LT
	Jan 29, 91	253 089	7 649	1,936 02	18 500	4,682 15	2,746 13	LT
	Jan 29, 91	125 463	8 121	1,018 96	18 500	2,321 07	1,302 11	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jan 30, 91	1,265 447	7 453	9,432 07	18 500	23,410 77	13,978 70	LT
	Jan 30, 91	1,265 447	7 654	9,686 98	18 500	23,410 77	13,723 79	LT
	Jan 30, 91	1,265 447	7 856	9,941 91	18 500	23,410 77	13,468 86	LT
	Jan 30, 91	1,265 447	8 057	10,196 83	18 500	23,410 77	13,213 94	LT
	Jan 31, 91	2,530 894	9 538	24,141 66	18 500	46,821 54	22,679 88	LT
	Jan 31, 91	1,265 447	9 237	11,689 65	18 500	23,410 77	11,721 12	LT
	Jan 31, 91	633 805	8 319	5,273 05	18 500	11,725 39	6,452 34	LT
	Jan 31, 91	633 805	8 620	5,463 64	18 500	11,725 39	6,261 75	LT
	Jan 31, 91	633 805	9 522	6,035 40	18.500	11,725 39	5,689 99	LT
	Jan 31, 91	378 553	8 961	3,392 53	18 500	7,003 22	3,610 69	LT
	Feb 1, 91	3,162 536	9 653	30,530 01	18 500	58,506 92	27,976 91	LT
	Feb 1, 91	1,265 447	9 750	12,339 22	18 500	23,410 77	11,071 55	LT
	Feb 4, 91	2,530 899	9 643	24,407 80	18 500	46,821 62	22,413 82	LT
	Feb 4, 91	1,265 447	9 543	12,076 77	18 500	23,410 77	11,334 00	LT
	Feb 4, 91	1,012 358	9 543	9,661 41	18 500	18,728 62	9,067 21	LT
	Feb 4, 91	253 089	9 443	2,389 93	18 500	4,682 15	2,292 22	LT
Security total		44,000 000	8 973	394,792 66		814,000 00	419,207 34	
MC CORMICK & CO NV Symbol MKC Exchange NYSE EAI $36,176 Current yield 2 14%	Jun 15, 93	9,400 000	10 593	99,581 25	63 530	597,182 00	497,600 75	LT
	Oct 17, 94	7,000 000	10 187	71,312 50	63 530	444,710 00	373,397 50	LT
	Jan 5, 95	3,000 000	9 468	28,406 25	63 530	190,590 00	162,183 75	LT
	Sep 17, 97	2,000 000	12 062	24,125 00	63 530	127,060 00	102,935.00	LT
	Sep 17, 97	2,000.000	12 062	24,125.00	63 530	127,060 00	102,935 00	LT
	Sep 17, 97	2,000 000	12 062	24,125 00	63 530	127,060 00	102,935 00	LT
	Sep 17, 97	1,200 000	12 062	14,475 00	63 530	76,236 00	61,761 00	LT
Security total		26,600 000	10 758	286,150 00		1,689,898 00	1,403,748 00	
SIGMA ALDRICH CORP Symbol SIAL Exchange OTC EAI $19,200 Current yield 1 09%	Oct 18, 94	20,000 000	8 578	171,562 50	73 580	1,471,600 00	1,300,037 50	LT
	Jan 5, 95	4,000 000	8 500	34,000 00	73 580	294,320 00	260,320 00	LT
Security total		24,000 000	8 565	205,562 50		1,765,920 00	1,560,357 50	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
TEJON RANCH CO								
Symbol TRC Exchange NYSE	Jan 14, 91	3,550 000	22 750	80,762 50	28 080	99,684 00	18,921 50	LT
TIFFANY & CO NEW								
Symbol TIF Exchange NYSE								
EAI $32,256 Current yield 2 23%	Jan 23, 95	25,200 000	3 801	95,809 74	57 340	1,444,968 00	1,349,158 26	LT
TOOTSIE ROLL INDUST								
Symbol TR Exchange NYSE								
EAI $8,570 Current yield 1 23%	Oct 17, 94	6,359 782	9 215	58,611 53	25 920	164,845 54	106,234 01	LT
	Dec 12, 94	20,420 219	7 997	163,310 59	25 920	529,292 06	365,981 47	LT
Security total		26,780 000	8 287	221,922 12		694,137 60	472,215 48	
US BANCORP DEL (NEW)								
Symbol USB Exchange NYSE								
EAI $19,500 Current yield 2 44%	Jan 21, 94	5,200 000	4 011	20,859 12	31 940	166,088 00	145,228 88	LT
	Nov 2, 94	4,500 000	4 346	19,558 22	31 940	143,730 00	124,171 78	LT
	Nov 3, 94	12,600 000	4 322	54,466 59	31 940	402,444.00	347,977 41	LT
	Nov 4, 94	2,700 000	4 353	11,754 00	31 940	86,238 00	74,484 00	LT
Security total		25,000 000	4 266	106,637 93		798,500 00	691,862 07	
WILLIAMS SONOMA INC								
Symbol WSM Exchange NYSE								
EAI $21,032 Current yield 2 01%	Mar 2, 92	23,900 000	1 994	47,667 22	43 770	1,046,103 00	998,435 78	LT
Total				**$1,924,991.86**		**$11,274,875.00**	**$9,349,883.14**	

Total estimated annual income: $200,702

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**371,808.90**	**3.19%**	**371,808.90**		
Equities	**Common stock**	**11,274,875.00**	**96.81%**	**1,924,991.86**	**200,702.00**	**9,349,883.14**
Total		**$11,646,683.90**	**100.00%**	**$2,296,800.76**	**$200,702.00**	**$9,349,883.14**

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period
Cash	0 00	2,070 02				
UBS SELECT PRIME CAPITAL	374,898 25	331,272 80	1 00	0.09%	Nov 1 to Nov 30	30
Total	**$374,898.25**	**$333,342.82**				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AMERICAN INTL GROUP INC COM NEW								
Symbol AIG Exchange NYSE	May 7, 12	1,924 000	31 158	59,949 34	35 300	67,917.20	7,967.86	ST
	May 8, 12	1,854 000	31 640	58,661 67	35 300	65,446 20	6,784 53	ST
	May 14, 12	471 000	31.304	14,744 33	35 300	16,626 30	1,881 97	ST
	May 15, 12	558 000	31 024	17,311 73	35 300	19 697 40	2,385 67	ST
	May 16, 12	523 000	30 917	16,170 01	35 300	18,461 90	2,291 89	ST
	Aug 3, 12	606 000	30 992	18,781 39	35 300	21,391 80	2,610 41	ST
	Sep 11, 12	1,826 000	32 722	59,751 47	35 300	64,457 80	4,706.33	ST
	Sep 21, 12	384 000	33 590	12,898 83	35 300	13,555 20	656 37	ST
Security total		8,146 000	31.705	258,268 77		287,553 80	29,285.03	
ANGLOGOLD ASHANTI LTD NEW SPON ADR								
Symbol AU Exchange NYSE								
EAI $5,233 Current yield· 1 64%	Mar 28, 08	534 000	33 226	17,742 90	31 370	16,751 58	-991 32	LT
	Jun 10, 08	2,200 000	34 164	75,161 90	31 370	69,014 00	-6,147 90	LT
	Jun 11, 08	700 000	33 166	23,216 83	31 370	21,959 00	-1,257 83	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jun 18, 08	1,200 000	31 507	37,808 40	31 370	37,644 00	-164 40	LT
	Jun 26, 08	2,500 000	31 927	79,817 75	31 370	78,425 00	-1,392 75	LT
	Sep 1, 09	494 000	36 040	17,803 81	31 370	15,496 78	-2,307 03	LT
	Feb 25, 10	216 000	34 853	7,528 44	31 370	6,775 92	-752 52	LT
	Jan 10, 11	226 000	44 574	10,073 84	31 370	7,089 62	-2,984 22	LT
	Jan 13, 11	1,147 000	44 621	51,181 09	31 370	35,981 39	-15,199 70	LT
	Jan 14, 11	364 000	44 106	16,054 88	31 370	11,418 68	-4,636 20	LT
	Jan 18, 11	48 000	44 967	2,158 46	31 370	1,505 76	-652 70	LT
	Oct 8, 12	512.000	33 736	17,273 04	31 370	16,061 44	-1,211 60	ST
Security total		10,141 000	35 087	355,821 34		318,123 17	-37,698 17	
AON PLC								
Symbol AON Exchange NYSE								
EAI $3,380 Current yield 1 13%	Sep 27, 06	2,740 000	49 080	134,479 20	55 610	152,371 40	17,892.20	LT
	Jun 11, 09	700 000	49 080	34,356 00	55 610	38,927 00	4,571 00	LT
	Dec 1, 09	227 000	49 080	11,141 16	55 610	12,623 47	1,482 31	LT
	Dec 2, 09	707 000	49 080	34,699 56	55 610	39,316 27	4,616 71	LT
	Dec 3, 09	891 000	49 080	43,730 28	55 610	49,548.51	5,818 23	LT
	Dec 4, 09	100 000	49 080	4,908 00	55 610	5,561 00	653 00	LT
Security total		5,365 000	49 080	263,314 20		298,347 65	35,033 45	
APACHE CORP								
Symbol APA Exchange NYSE								
EAI $2,507 Current yield 0 87%	Jan 3, 07	1,300 000	64 989	84,485 70	78.500	102,050 00	17,564 30	LT
	Jan 3, 07	487 000	65 135	31,721 13	78.500	38,229 50	6,508 37	LT
	Apr 17, 07	1,100 000	74 652	82,117 53	78 500	86,350 00	4,232 47	LT
	Apr 18, 07	500 000	74 770	37,385 00	78 500	39,250 00	1,865.00	LT
	Apr 18, 07	300 000	74 811	22,443 48	78.500	23,550 00	1,106 52	LT
Security total		3,687 000	70 017	258,152 84		289,429 50	31,276 66	
BARRICK GOLD CORP CAD								
Symbol ABX Exchange NYSE								
EAI $3,768 Current yield 2 29%								
CAD Exchange rate 0.99570	Dec 6, 05	2,356 000	26 806	63,156 35	35 010	82,483 56	19,327 21	LT
	Dec 9, 05	1,100 000	27.866	30,653 04	35 010	38,511 00	7,857 96	LT
	Sep 10, 09	1,254 000	37 202	46,651 31	35 010	43,902 54	-2,748 77	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		4,710 000	29 822	140,460 70		164,897 10	24,436 40	
BEST BUY CO INC								
Symbol BBY Exchange NYSE								
EAI $2,970 Current yield 5 74%	Apr 16, 12	1,172 000	21 816	25,568 82	11 850	13,888 20	-11,680 62	ST
	Apr 17, 12	1,289 000	21 947	28,290 71	11 850	15,274 65	-13,016 06	ST
	Apr 18, 12	136 000	22 034	2,996 72	11 850	1,611 60	-1,385 12	ST
	Apr 20, 12	1,771 000	21 685	38,405 73	11 850	20,986 35	-17,419 38	ST
Security total		4,368 000	21 809	95,261 98		51,760 80	-43,501 18	
CA INC								
Symbol CA Exchange OTC								
EAI $17,875 Current yield 4 55%	Jul 26, 02	709 000	8.029	5,693 20	21 980	15,583 82	9,890 62	LT
	Dec 1, 05	3,500 000	28 812	100,843 05	21 980	76,930 00	-23,913 05	LT
	May 3, 06	5,200 000	25 179	130,934 96	21 980	114,296 00	-16,638 96	LT
	Nov 15, 07	1,000 000	25 377	25,377 70	21 980	21,980.00	-3,397 70	LT
	Jun 11, 09	3,300 000	17 995	59,386 14	21 980	72,534 00	13,147 86	LT
	May 17, 10	2,445 000	20 577	50,311 50	21 980	53,741 10	3,429 60	LT
	Jan 26, 11	1,721 000	23.337	40,162 98	21 980	37,827 58	-2,335 40	LT
Security total		17,875 000	23 089	412,709 53		392,892 50	-19,817 03	
CANADIAN NAT RESOURCES LTD CAD								
Symbol CNQ Exchange NYSE								
EAI $5,025 Current yield 1 46%								
CAD Exchange rate 0 99570	Nov 16, 09	1,914 000	34 638	66,298 38	28 870	55,257 18	-11,041 20	LT
	Nov 19, 09	464 000	33 411	15,502 80	28 870	13,395.68	-2,107 12	LT
	Nov 20, 09	1,988 000	32 916	65,438.80	28.870	57,393 56	-8,045.24	LT
	Jan 25, 10	594 000	33 278	19,767 25	28 870	17,148.78	-2,618 47	LT
	Jan 26, 10	2,292.000	32 930	75,477 62	28 870	66,170 04	-9,307 58	LT
	Mar 19, 12	164 000	35.950	5,895 82	28 870	4,734 68	-1,161 14	ST
	Mar 20, 12	488.000	35 200	17,177.80	28 870	14,088 56	-3,089 24	ST
	Apr 23, 12	461.000	31 873	14,693 82	28 870	13,309 07	-1,384 75	ST
	Apr 24, 12	1,381 000	31 747	43,843 57	28 870	39,869 47	-3,974 10	ST
	Dec 7, 12	1,442 000	28 167	40,617 82	28 870	41,630 54	1,012 72	ST
	Dec 10, 12	700 000	28 344	19,841 15	28 870	20,209.00	367 85	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		11,888 000	32 348	384,554 83		343,206 56	-41,348 27	
CAPITAL ONE FINCL CORP								
Symbol COF Exchange NYSE								
EAI $518 Current yield 0 35%	Sep 5, 12	2,016 000	55.881	112,658 11	57 930	116,786 88	4,128 77	ST
	Sep 6, 12	120 000	56 656	6,798 82	57 930	6,951 60	152 78	ST
	Sep 11, 12	360 000	56 150	20,214 22	57 930	20,854 80	640 58	ST
	Sep 24, 12	92 000	57 465	5,286 83	57 930	5,329 56	42 73	ST
Security total		2,588 000	56 012	144,957 98		149,922 84	4,964 86	
CISCO SYSTEMS INC								
Symbol CSCO Exchange OTC								
EAI $9,115 Current yield 2 85%	May 12, 11	7,057 000	16 862	118,996 55	19 649	138,662 99	19,666 44	LT
	May 13, 11	1,181 000	16 861	19,913 79	19 649	23,205 47	3,291 68	LT
	May 16, 11	1,263 000	16 801	21,220 67	19 649	24,816 69	3,596 02	LT
	Sep 8, 11	4,819 000	16 195	78,045 63	19 649	94,688.53	16,642 90	LT
	Feb 10, 12	1,956 000	19 875	38,875 89	19 649	38,433.44	-442 45	ST
Security total		16,276 000	17 022	277,052 53		319,807 12	42,754 59	
CITIGROUP INC								
Symbol C Exchange NYSE								
EAI $396 Current yield 0 10%	Dec 17, 09	2,941 200	31 983	94,071 34	39 560	116,353 87	22,282 53	LT
	Dec 17, 09	1,284 900	31 983	41,096 24	39 560	50,830 64	9,734 40	LT
	Dec 18, 09	1,324 500	32 602	43,181 35	39 560	52,397 22	9,215 87	LT
	Mar 31, 11	1,202 400	44 198	53,143 68	39 560	47,566 94	-5,576 74	LT
	May 12, 11	694 000	41 999	29,147.72	39 560	27,454 64	-1,693 08	LT
	May 13, 11	230 000	41 888	9,634 40	39 560	9,098 80	-535.60	LT
	Jun 1, 11	1,000 000	40 182	40,182 50	39 560	39,560 00	-622 50	LT
	Jul 27, 12	1,226 000	27 388	33,578 67	39 560	48,500 56	14,921 89	ST
Security total		9,903 000	34 741	344,035 90		391,762 68	47,726 77	
CVS CAREMARK CORP								
Symbol CVS Exchange NYSE								
EAI $3,301 Current yield 1 86%	Nov 5, 09	860 000	28 788	24,758 28	48 350	41,581 00	16,822 72	LT
	Feb 4, 11	2,808 000	32 622	91,603 70	48 350	135,766 80	44,163 10	LT
Security total		3,668 000	31 724	116,361 98		177,347 80	60,985 82	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
EMC CORP MASS								
Symbol EMC Exchange NYSE	Jan 23, 12	4,116 000	23 363	96,165.40	25 300	104,134 80	7,969 40	ST
GENERAL MOTORS CO								
Symbol GM Exchange NYSE	Nov 22, 10	3,376 000	34 214	115,507 81	28 830	97,330 08	-18,177 73	LT
	Nov 23, 10	989 000	33 697	33,326 93	28 830	28,512 87	-4,814 06	LT
	Feb 3, 11	456 000	35 559	16,215 22	28 830	13,146 48	-3,068 74	LT
	Feb 15, 11	473 000	36 013	17,034 57	28 830	13,636 59	-3,397 98	LT
	Feb 22, 11	544 000	35 662	19,400 13	28 830	15,683 52	-3,716 61	LT
	Feb 23, 11	119 000	35 494	4,223 89	28 830	3,430 77	-793 12	LT
	Feb 1, 12	1,629 000	24 411	39,766 50	28 830	46,964.07	7,197.57	ST
	Feb 2, 12	357 000	24 502	8,747 43	28 830	10,292.31	1,544 88	ST
	Jun 8, 12	388.000	21.711	8,423 87	28 830	11,186 04	2,762 17	ST
Security total		8,331 000	31 526	262,646 35		240,182 73	-22,463 62	
GOLDMAN SACHS GROUP INC								
Symbol GS Exchange NYSE								
EAI $3,892 Current yield 1 57%	Aug 25, 10	252.000	144 304	36,364 78	127 560	32,145 12	-4,219 66	LT
	Aug 26, 10	358 000	144 373	51,685 78	127 560	45,666 48	-6,019 30	LT
	Mar 17, 11	291 000	154 621	44,994 74	127 560	37,119 96	-7,874 78	LT
	Apr 15, 11	131 000	154 986	20,303 18	127 560	16,710 36	-3,592 82	LT
	Apr 29, 11	151 000	149 833	22,624 80	127 560	19,261 56	-3,363 24	LT
	May 6, 11	92 000	149 985	13,798 66	127 560	11,735 52	-2,063 14	LT
	May 23, 11	289 000	136 745	39,519.33	127 560	36,864 84	-2,654 49	LT
	Jul 1, 11	382 000	135 884	51,908 03	127 560	48,727 92	-3,180 11	LT
Security total		1,946 000	144 501	281,199 30		248,231 76	-32,967 54	
HALLIBURTON CO								
(HOLDING COMPANY)								
Symbol HAL Exchange NYSE								
EAI $1,567 Current yield 1 04%	Nov 3, 08	847 000	18 045	15,284 37	34 690	29,382 43	14,098 06	LT
	Nov 6, 08	1,100 000	17 964	19,760 40	34 690	38,159 00	18,398 60	LT
	Nov 11, 08	600 000	18 306	10,983 72	34 690	20,814 00	9,830 28	LT
	Jul 20, 12	1,805 000	31 060	56,063 30	34 690	62,615 45	6,552 15	ST
Security total		4,352 000	23 459	102,091 79		150,970 88	48,879 09	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
HARTFORD FINCL SERVICES GROUP INC								
Symbol HIG Exchange NYSE								
EAI $6,724 Current yield 1 78%	Sep 9, 99	232 000	48 007	11,137 74	22 440	5,206 08	-5,931 66	LT
	Jan 17, 01	1,200 000	59 187	71,025 00	22 440	26,928 00	-44,097 00	LT
	Aug 2, 06	1,000 000	83 648	83,648 30	22 440	22,440 00	-61,208 30	LT
	Aug 3, 06	1,700 000	82 763	140,697 95	22 440	38,148 00	-102,549 95	LT
	Jul 23, 07	1,600 000	96 251	154,002 40	22 440	35,904 00	-118,098 40	LT
	May 22, 08	1,400 000	70 550	98,770 00	22 440	31,416 00	-67,354 00	LT
	Dec 22, 09	337 000	23 324	7,860 26	22 440	7,562 28	-297 98	LT
	Sep 22, 11	4,726 000	15 858	74,949 16	22 440	106,051 44	31,102 28	LT
	Nov 15, 11	927 000	17 813	16,513.11	22 440	20,801 88	4,288 77	LT
	Nov 16, 11	1,312 000	17 681	23,198 78	22 440	29,441 28	6,242 50	LT
	Nov 17, 11	1,286 000	17 262	22,199 96	22 440	28,857 84	6,657.88	LT
	Aug 2, 12	1,090 000	16 145	17,598 92	22 440	24,459 60	6,860 68	ST
Security total		16,810 000	42 927	721,601 58		377,216 40	-344,385 18	
INGERSOLL-RAND PLC								
Symbol IR Exchange NYSE								
EAI $3,080 Current yield 1.75%	Dec 31, 01	1,267 000	17 027	21,574 22	47 960	60,765 32	39,191 10	LT
	Dec 8, 05	2,400 000	35 969	86,326 08	47 960	115,104.00	28,777 92	LT
Security total		3,667 000	29 425	107,900 30		175,869 32	67,969 02	
JPMORGAN CHASE & CO								
Symbol JPM Exchange NYSE								
EAI $5,405 Current yield 2 73%	Dec 1, 05	2,404 000	38 570	92,722 28	43 969	105,701 47	12,979 19	LT
	Sep 26, 08	2,100 000	41 047	86,199 33	43 969	92,334 90	6,135.57	LT
Security total		4,504 000	39 725	178,921 61		198,036 37	19,114 76	
LINCOLN NATL CORP IND								
Symbol LNC Exchange NYSE								
EAI $1,763 Current yield 1 85%	Jun 22, 10	829 000	27 474	22,776 11	25 900	21,471 10	-1,305 01	LT
	Jun 23, 10	1,424 000	26 932	38,352 31	25 900	36,881 60	-1,470 71	LT
	Jun 24, 10	1,420 000	26 603	37,777 25	25 900	36,778 00	-999 25	LT
Security total		3,673 000	26 928	98,905 67		95,130 70	-3,774 97	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
LOEWS CORP								
Symbol L Exchange NYSE								
EAI $1,110 Current yield 0.61%	Nov 13, 07	140 000	44 940	6,291 60	40 750	5,705 00	-586 60	LT
	Oct 10, 08	400 000	24 218	9,687 56	40 750	16,300 00	6,612 44	LT
	Oct 15, 08	900 000	31 162	28,046 43	40 750	36,675 00	8,628 57	LT
	Oct 16, 08	800 000	29 900	23,920 32	40 750	32,600 00	8,679 68	LT
	Mar 19, 09	1,300 000	22 986	29,882.84	40 750	52,975 00	23,092 16	LT
	Mar 20, 09	900 000	22 921	20,629 44	40 750	36,675 00	16,045 56	LT
Security total		4,440 000	26 680	118,458 19		180,930 00	62,471 81	
MERCK & CO INC NEW COM								
Symbol MRK Exchange NYSE								
EAI $7,325 Current yield 4 20%	Feb 27, 09	1,000 000	24 544	24,544 10	40 940	40,940 00	16,395 90	LT
	Feb 27, 09	949 000	24 568	23,315 13	40 940	38,852 06	15,536 93	LT
	Oct 26, 09	2,310 000	32 055	74,049 13	40 940	94,571 40	20,522 27	LT
Security total		4,259 000	28 624	121,908 36		174,363 46	52,455 10	
METLIFE INC								
Symbol MET Exchange NYSE								
EAI $5,927 Current yield 2 25%	Oct 9, 08	5,165 000	27 998	144,610 70	32 940	170,135 10	25,524 40	LT
	Dec 3, 09	2,219 000	34 250	76,000 75	32 940	73,093 86	-2,906 89	LT
	Dec 6, 10	626 000	40 831	25,560 21	32 940	20,620 44	-4,939 77	LT
Security total		8,010 000	30 733	246,171 66		263,849 40	17,677 74	
MICROSOFT CORP								
Symbol MSFT Exchange OTC								
EAI $6,721 Current yield 3 44%	Dec 9, 05	1,727 000	27 720	47,872 44	26 709	46,126 44	-1,746 00	LT
	Jun 9, 08	3,200 000	27 465	87,890 24	26 709	85,468 80	-2,421 44	LT
	Aug 15, 11	2,378 000	25 457	60,537 22	26.709	63,514 00	2,976 78	LT
Security total		7,305 000	26 872	196,299 90		195,109 24	-1,190 66	
MOSAIC CO								
Symbol MOS Exchange NYSE								
EAI $2,143 Current yield 1 77%	Feb 16, 12	631 000	54 948	34,672 69	56.630	35,733 53	1,060 84	ST
	Feb 27, 12	215 000	58 822	12,646 86	56 630	12,175 45	-471 41	ST
	Feb 29, 12	631 000	57 961	36,573 52	56 630	35,733 53	-839 99	ST

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Mar 1, 12	240 000	57 927	13,902 62	56 630	13,591 20	-311 42	ST
	Jun 5, 12	426 000	46 416	19,773 39	56 630	24,124 38	4,350 99	ST
Security total		2,143 000	54 862	117,569 08		121,358 09	3,789 01	
NOBLE ENERGY INC Symbol NBL Exchange NYSE EAI $978 Current yield 0 98%	Sep 23, 08	978.000	60 138	58,815 65	101 740	99,501 72	40,686 07	LT
NRG ENERGY INC NEW Symbol NRG Exchange NYSE EAI $1,925 Current yield 1 57%	Mar 11, 08	1,348 000	42 427	57,191 87	22 990	30,990 52	-26,201 35	LT
	Mar 12, 08	800 000	42 859	34,287 20	22 990	18,392.00	-15,895 20	LT
	Mar 13, 08	800 000	42 003	33,602 80	22 990	18,392 00	-15,210 80	LT
	Mar 13, 08	500 000	42 050	21,025 00	22 990	11,495 00	-9,530 00	LT
	Apr 14, 08	1,900 000	41 243	78,361 89	22 990	43,681 00	-34,680 89	LT
Security total		5,348 000	41 972	224,468 76		122,950 52	-101,518 24	
OCCIDENTAL PETROLEUM CRP Symbol OXY Exchange NYSE EAI $3,303 Current yield 2 82%	Apr 12, 10	307 000	86 654	26,603 03	76 610	23,519 27	-3,083 76	LT
	Apr 14, 10	893 000	85 770	76,592 97	76 610	68,412 73	-8,180 24	LT
	Apr 15, 10	329 000	85 657	28,181 48	76 610	25,204 69	-2,976 79	LT
Security total		1,529 000	85 924	131,377 48		117,136 69	-14,240 79	
PACCAR INC Symbol PCAR Exchange OTC EAI $2,929 Current yield 1.77%	Sep 7, 11	213 000	36 485	7,771 31	45 210	9,629 73	1,858 42	LT
	Sep 8, 11	475 000	36.576	17,373 98	45 210	21,474 75	4,100 77	LT
	Sep 9, 11	1,411 000	35 490	50,077 24	45 210	63,791 31	13,714 07	LT
	Sep 12, 11	431 000	34 993	15,082 33	45 210	19,485 51	4,403 18	LT
	Sep 28, 11	331 000	35 058	11,604 33	45.210	14,964 51	3,360 18	LT
	Sep 29, 11	337 000	35 376	11,922 02	45.210	15,235 77	3,313 75	LT
	Sep 30, 11	463.000	34 537	15,991 05	45 210	20,932 23	4,941 18	LT
Security total		3,661 000	35 461	129,822 26		165,513 81	35,691 55	
PFIZER INC Symbol PFE Exchange NYSE EAI $12,340 Current yield 3 83%	Apr 14, 10	2,529 000	17 050	43,119 96	25 079	63,424 79	20,304 83	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Apr 26, 10	3,461 000	16 888	58,449 71	25 079	86,798 42	28,348 71	LT
	Dec 22, 10	2,424 000	17 468	42,344 61	25 079	60,791 50	18,446 89	LT
	Dec 27, 10	2,635 000	17 515	46,154 13	25 079	66,083 17	19,929 04	LT
	Dec 28, 10	1,266 000	17 661	22,359 46	25 079	31,750 01	9,390 55	LT
	Dec 29, 10	539 000	17 610	9,492 17	25 079	13,517 58	4,025 41	LT
Security total		12,854 000	17.265	221,920 04		322,365 46	100,445 43	
PHILIP MORRIS INTL INC Symbol PM Exchange NYSE EAI $3,903 Current yield 4 06%	Jun 23, 06	1,148 000	37 873	43,478 89	83.640	96,018 72	52,539 83	LT
PITNEY BOWES INC Symbol PBI Exchange NYSE EAI $7,005 Current yield 14 10%	Dec 9, 05	570 000	41 889	23,876 84	10 640	6,064 80	-17,812 04	LT
	Nov 19, 07	500 000	38 336	19,168 40	10 640	5,320 00	-13,848 40	LT
	Nov 19, 07	200 000	38 363	7,672 76	10 640	2,128 00	-5,544 76	LT
	Jan 7, 08	3,400 000	36 925	125,547 72	10.640	36,176 00	-89,371 72	LT
Security total		4,670 000	37 744	176,265 72		49,688 80	-126,576 92	
RAYTHEON CO NEW Symbol RTN Exchange NYSE EAI $5,384 Current yield 3 47%	Oct 13, 03	1,403 000	27 577	38,691 65	57 560	80,756 68	42,065 03	LT
	Aug 16, 11	692 000	41 067	28,418 71	57 560	39,831 52	11,412 81	LT
	Aug 17, 11	304 000	41.369	12,576 36	57 560	17,498 24	4,921 88	LT
	Aug 18, 11	247 000	40 105	9,906 03	57 560	14,217 32	4,311 29	LT
	Aug 19, 11	46 000	39 822	1,831 83	57 560	2,647 76	815 93	LT
Security total		2,692 000	33 962	91,424 58		154,951 52	63,526 94	
SANOFI SPON ADR Symbol SNY Exchange NYSE EAI $15,544 Current yield 3 02%	Aug 13, 07	6,700.000	40.538	271,604 60	47 380	317,446 00	45,841 40	LT
	May 4, 10	773 000	33 208	25,670 40	47 380	36,624 74	10,954 34	LT
	May 5, 10	1,136 000	32 788	37,247 74	47.380	53,823 68	16,575 94	LT
	Nov 29, 10	647 000	30 955	20,028 34	47 380	30,654 86	10,626 52	LT
	Nov 30, 10	1,234 000	30 515	37,655 88	47 380	58,466 92	20,811 04	LT
	Dec 1, 10	372 000	31 354	11,663 69	47 380	17,625 36	5,961 67	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		10,862 000	37 182	403,870 65		514,641 56	110,770 91	
TALISMAN ENERGY INC CAD Symbol TLM Exchange NYSE EAI $8,280 Current yield 2 38% CAD Exchange rate 0 99570	Apr 22, 08	3,800 000	21 230	80,674 00	11 330	43,054 00	-37,620 00	LT
	Apr 22, 08	1,500 000	21 255	31,883 70	11 330	16,995 00	-14,888 70	LT
	Jul 23, 08	2,300 000	18 350	42,206 84	11 330	26,059 00	-16,147 84	LT
	Jul 23, 08	1,300 000	18 440	23,972 52	11 330	14,729 00	-9,243 52	LT
	Jun 29, 11	1,396 000	19 811	27,656 30	11 330	15,816 68	-11,839 62	LT
	Jun 30, 11	734 000	20 340	14,930 00	11 330	8,316 22	-6,613 78	LT
	Jul 29, 11	2,755 000	18 485	50,927 55	11 330	31,214 15	-19,713.40	LT
	Oct 20, 11	1,121 000	13.389	15,009 07	11 330	12,700 93	-2,308 14	LT
	Oct 21, 11	1,229 000	13 863	17,038.12	11 330	13,924.57	-3,113 55	LT
	Nov 9, 11	3,268 000	13 449	43,952 31	11 330	37,026 44	-6,925 87	LT
	Nov 10, 11	601 000	13 564	8,152 02	11 330	6,809 33	-1,342 69	LT
	Feb 1, 12	2,841 000	11 996	34,081 20	11 330	32,188 53	-1,892 67	ST
	Feb 2, 12	971 000	12.054	11,704 63	11 330	11,001 43	-703 20	ST
	Feb 3, 12	461 000	12 445	5,737 38	11 330	5,223 13	-514.25	ST
	Apr 4, 12	401 000	12 658	5,076 18	11 330	4,543 33	-532 85	ST
	Apr 5, 12	1,645 000	12 663	20,831 62	11 330	18,637 85	-2,193 77	ST
	Apr 9, 12	565 000	12 574	7,104 82	11 330	6,401 45	-703 37	ST
	Apr 10, 12	767 000	12 369	9,487 25	11 330	8,690 11	-797 14	ST
	Sep 24, 12	1,892.000	13 636	25,799 50	11 330	21,436 36	-4,363 14	ST
	Sep 25, 12	1,120 000	13.699	15,343 66	11 330	12,689 60	-2,654 06	ST
Security total		30,667 000	16 029	491,568 67		347,457 11	-144,111 56	
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR Symbol TEVA Exchange OTC EAI $3,949 Current yield 2 15%	Jun 7, 11	731 000	49 667	36,306 87	37 340	27,295 54	-9,011 33	LT
	Jun 8, 11	1,347 000	49 727	66,983 21	37 340	50,296 98	-16,686 23	LT
	Jun 21, 11	753 000	47 548	35,804 17	37 340	28,117 02	-7,687 15	LT
	Jun 22, 11	391 000	47 640	18,627 59	37 340	14,599 94	-4,027 65	LT
	Jun 23, 11	239 000	47 246	11,291.99	37 340	8,924 26	-2,367 73	LT

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Your assets › **Equities** › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Sep 20, 11	1,451 000	36 864	53,490 82	37 340	54,180 34	689 52	LT
Security total		4,912 000	45 298	222,504 65		183,414 08	-39,090 57	
TIME WARNER INC NEW								
Symbol TWX Exchange NYSE								
EAI $5,820 Current yield 2 17%	Oct 13, 10	1,885 000	31 542	59,457 05	47 830	90,159 55	30,702 50	LT
	Oct 14, 10	1,811 000	31 323	56,727 22	47 830	86,620 13	29,892 91	LT
	Dec 21, 10	945 000	31 817	30,067 92	47 830	45,199 35	15,131 43	LT
	Dec 22, 10	955 000	31 967	30,529 06	47 830	45,677 65	15,148 59	LT
Security total		5,596 000	31 591	176,781 25		267,656 68	90,875 43	
UNION PACIFIC CORP								
Symbol UNP Exchange NYSE								
EAI $3,486 Current yield 2 20%	Mar 17, 04	1,263 000	31 000	39,153 63	125 720	158,784 36	119,630 73	LT
UNUM GROUP								
Symbol UNM Exchange NYSE								
EAI $7,664 Current yield 2 50%	May 20, 10	6,470 000	21 368	138,251 61	20 820	134,705 40	-3,546 21	LT
	Sep 13, 10	963 000	22 145	21,325 83	20 820	20,049 66	-1,276 17	LT
	Sep 14, 10	3,229 000	22 193	71,664 10	20 820	67,227 78	-4,436 32	LT
	Jan 24, 12	129 000	22 926	2,957 57	20 820	2,685 78	-271.79	ST
	Jan 25, 12	121 000	23.076	2,792 27	20 820	2,519 22	-273 05	ST
	Jan 26, 12	629 000	22 902	14,405 92	20 820	13,095 78	-1,310 14	ST
	Mar 8, 12	1,139 000	23 437	26,694 86	20 820	23,713 98	-2,980 88	ST
	Aug 9, 12	1,298 000	19 330	25,090 73	20 820	27,024 36	1,933 63	ST
	Aug 10, 12	760 000	19 356	14,710 64	20 820	15,823 20	1,112 56	ST
Security total		14,738 000	21 570	317,893 53		306,845.16	-11,048 37	
VIACOM INC NEW CL B								
Symbol VIAB Exchange OTC								
EAI $6,335 Current yield 2.09%	Jan 6, 06	1,759 000	42 649	75,021 18	52 740	92,769 66	17,748 48	LT
	Mar 9, 06	4,000 000	38 164	152,657 60	52 740	210,960 00	58,302 40	LT
Security total		5,759 000	39 534	227,678 78		303,729 66	76,050 88	
WELLS FARGO & CO NEW								
Symbol WFC Exchange NYSE								
EAI $6,139 Current yield 2 57%	Feb 10, 92	521 000	4 546	2,368 92	34 180	17,807 78	15,438.86	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Dec 9, 05	5,000 000	31 471	157,359 00	34 180	170,900 00	13,541 00	LT
	May 2, 11	1,455 000	29 107	42,350 83	34 180	49,731 90	7,381 07	LT
Security total		6,976 000	28 968	202,078 75		238,439 68	36,360 93	
Total				**$8,859,895.06**		**$9,159,530.20**	**$299,635.14**	

Total estimated annual income: $194,729

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	333,342.82	3.51%	333,342.82		
Equities	**Common stock**	9,159,530.20	96.49%	8,859,895.06	194,729.00	299,635.14
Total		**$9,492,873.02**	**100.00%**	**$9,193,237.88**	**$194,729.00**	**$299,635.14**

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
Nov 30		**Cash and money balance**					**$374,898.25**
Dec 3	Foreign Tax Withheld	TEVA PHARMACEUTICALS IND LTD ISRAEL ADR CUSIP 881624209				-259 52	
Dec 3	Foreign Dividend	TEVA PHARMACEUTICALS IND LTD ISRAEL ADR PAID ON 4912 CUSIP 881624209				1,253 70	
Dec 3	Dividend	WELLS FARGO & CO NEW PAID ON 6976 AS OF 12/01/12				1,534 72	
Dec 3	Dividend	UBS SELECT PRIME CAPITAL FUND				18.44	377,445 59
Dec 4	Dividend	PACCAR INC PAID ON 3661				732 20	
Dec 4	Dividend	PFIZER INC PAID ON 15122				3,326 84	381,504 63
Dec 11	Dividend	CA INC PAID ON 17875				4,468 75	385,973 38
Dec 12	Bought	CANADIAN NAT RESOURCES LTD CAD UNSOLICITED Average Priced Trade ▶ SYMBOL CNQ ▶ CUSIP NO 136385101 ▶ Location of Execution 09 ▶ Capacity Agent		1,442 000	28 167700	-40,617 82	

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Account name: HARDEN FOUNDATION
Account number: ML 09275 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period
Cash	416 25	1,317 26				
UBS SELECT PRIME CAPITAL	62,205 27	163,007 49	1 00	0 09%	Nov 1 to Nov 30	30
Total	**$62,621.52**	**$164,324.75**				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AEGON NV ADR N Y SHS								
NETHERLANDS ADR								
Symbol AEG Exchange NYSE	Aug 23, 04	1,100 000	10 836	11,920 48	6 440	7,084 00	-4,836 48	LT
	Sep 15, 04	4,820 000	11 277	54,355 62	6 440	31,040 80	-23,314 82	LT
	Aug 17, 05	189 000	14 110	2,666.79	6 440	1,217 16	-1,449 63	LT
	Jul 11, 07	1,080 000	19 475	21,033 22	6 440	6,955 20	-14,078 02	LT
	Aug 13, 07	1,430 000	18 152	25,958 36	6 440	9,209 20	-16,749.16	LT
	Aug 14, 07	152 000	18 100	2,751 20	6 440	978 88	-1,772 32	LT
	Sep 6, 07	1,130 000	18 081	20,431 98	6 440	7,277.20	-13,154 78	LT
	Feb 13, 08	1,340 000	14 036	18,809 18	6 440	8,629 60	-10,179 58	LT
	Apr 29, 08	340 000	15 100	5,134 00	6 440	2,189 60	-2,944 40	LT
	Aug 12, 08	428 000	10 630	4,549 64	6 440	2,756 32	-1,793 32	LT
	Aug 16, 10	750 000	5 515	4,136 25	6 440	4,830 00	693 75	LT
	Sep 16, 10	170 000	5 760	979 29	6 440	1,094 80	115 51	LT
	Sep 17, 10	1,100 000	5 697	6,267 36	6 440	7,084 00	816 64	LT
	Apr 23, 12	1,260 000	4 475	5,639 38	6 440	8,114 40	2,475 02	ST
	May 22, 12	463 000	4 090	1,893 67	6 440	2,981 72	1,088 05	ST

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Earnings	375 000	5 940	2,227 76	6 440	2,415 00	187 24	
Security total		16,127 000	11 704	188,754 18		103,857 88	-84,896 30	
AKZO NOBEL NV ADR								
Symbol AKZOY Exchange OTC								
EAI $1,875 Current yield 2 22%	Dec 19, 03	690 000	11 855	8,180 18	22 500	15,525 00	7,344 82	LT
	Oct 13, 04	1,290 000	11 805	15,229 61	22 500	29,025 00	13,795 39	LT
	Jul 8, 11	210 000	20 750	4,357 57	22 500	4,725 00	367 43	LT
	Aug 11, 11	90 000	16 569	1,491 26	22 500	2,025 00	533 74	LT
	Sep 12, 11	810 000	14 354	11,627 17	22 500	18,225 00	6,597 83	LT
	Oct 19, 11	540 000	16 395	8,853 80	22 500	12,150 00	3,296 20	LT
	Nov 21, 11	30 000	14 651	439 54	22 500	675 00	235.46	LT
	Nov 23, 11	30 000	14 600	438 00	22 500	675 00	237 00	LT
	Dec 19, 11	60 000	14 600	876 00	22 500	1,350 00	474 00	LT
Security total		3,750 000	13 732	51,493 13		84,375 00	32,881 87	
AMERICA MOVIL S A B DE C V								
SER L SPON ADR								
Symbol AMX Exchange NYSE								
EAI $948 Current yield 1 30%	Aug 24, 11	640 000	23 947	15,326.14	23 140	14,809 60	-516 54	LT
	Aug 26, 11	40 000	23 127	925 10	23 140	925 60	0 50	LT
	Sep 6, 11	180 000	24 346	4,382 42	23 140	4,165 20	-217 22	LT
	Sep 21, 11	360 000	23.312	8,392 61	23 140	8,330 40	-62 21	LT
	Oct 3, 11	500 000	21 876	10,938 00	23 140	11,570 00	632 00	LT
	Oct 17, 11	180 000	22 997	4,139 57	23 140	4,165 20	25 63	LT
	Dec 13, 11	25 000	22 507	562.68	23 140	578 50	15.82	LT
	Dec 15, 11	225 000	22 507	5,064 23	23 140	5,206 50	142 27	LT
	Dec 27, 11	200 000	22 518	4,503 76	23.140	4,628.00	124 24	LT
	Jan 4, 12	80 000	23 118	1,849 44	23 140	1,851 20	1.76	ST
	Feb 17, 12	720 000	23 457	16,889 62	23 140	16,660 80	-228.82	ST
Security total		3,150 000	23 166	72,973 57		72,891 00	-82 57	
ASTELLAS PHARMA INC ADR								
Symbol ALPMY Exchange OTC								
EAI $2,762 Current yield 3 14%	Jun 4, 10	15 000	32.176	482 65	44 850	672 75	190 10	LT
	Jun 29, 10	435 000	34 091	14,829 80	44 850	19,509 75	4,679 95	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jun 30, 10	330 000	34 012	11,223 99	44 850	14,800 50	3,576 51	LT
	Jul 30, 10	670 000	33 994	22,776 18	44 850	30,049 50	7,273 32	LT
	Nov 24, 10	210 000	36 861	7,740 81	44 850	9,418 50	1,677 69	LT
	Apr 8, 11	300 000	36 871	11,061 33	44 850	13,455 00	2,393 67	LT
Security total		1,960 000	34 752	68,114 76		87,906 00	19,791 24	
ASTRAZENECA PLC SPON ADR								
Symbol AZN Exchange NYSE								
EAI $7,239 Current yield 6 03%	Jun 27, 07	800 000	53 198	42,558 56	47 270	37,816 00	-4,742 56	LT
	Aug 14, 07	1,100 000	47 254	51,980 39	47 270	51,997 00	16 61	LT
	Feb 4, 08	640 000	41 563	26,600 32	47 270	30,252 80	3,652 48	LT
Security total		2,540 000	47 693	121,139 27		120,065 80	-1,073 47	
BANCO DO BRASIL S A SPON ADR								
Symbol BDORY Exchange OTC								
EAI $340 Current yield 1.62%	Nov 8, 12	1,660.000	10 845	18,003.03	12 670	21,032 20	3,029 17	ST
BANCO SANTANDER BRASIL ADS								
Symbol BSBR Exchange NYSE								
EAI $417 Current yield 1 40%	Nov 23, 11	280 000	6 885	1,927 80	7 280	2,038 40	110 60	LT
	Mar 22, 12	50 000	9 644	482 22	7 280	364 00	-118 22	ST
	Mar 28, 12	3,575 000	9 428	33,707 25	7.280	26,026 00	-7,681.25	ST
	Apr 10, 12	180 000	8 491	1,528 54	7 280	1,310 40	-218 14	ST
Security total		4,085 000	9 216	37,645 81		29,738 80	-7,907 01	
BARCLAYS PLC ADR								
Symbol BCS Exchange NYSE								
EAI $1,517 Current yield 2 18%	Nov 7, 07	1,330 000	43.189	57,442 17	17 320	23,035 60	-34,406 57	LT
	Dec 13, 07	770 000	43 292	33,335.23	17 320	13,336 40	-19,998 83	LT
	Dec 20, 07	450 000	39 858	17,936 19	17 320	7,794 00	-10,142.19	LT
	Feb 4, 08	1,050 000	38 157	40,065 80	17 320	18,186 00	-21,879.80	LT
	Mar 12, 12	35 000	14 761	516 64	17.320	606 20	89 56	ST
	Mar 16, 12	90 000	15 763	1,418 70	17 320	1,558 80	140 10	ST
	Mar 28, 12	180 000	15 988	2,877 97	17 320	3,117 60	239 63	ST
	Apr 11, 12	120 000	13 399	1,607 94	17 320	2,078 40	470 46	ST
Security total		4,025 000	38 559	155,200 64		69,713 00	-85,487.64	

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Your assets › **Equities** › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
BP PLC SPON ADR								
Symbol BP Exchange NYSE								
EAI $5,439 Current yield 5 19%	Jul 2, 09	478 000	47 861	22,877 75	41 640	19,903 92	-2,973 83	LT
	May 17, 11	40 000	42 452	1,698 08	41 640	1,665 60	-32 48	LT
	May 26, 11	770.000	45.262	34,851 74	41 640	32,062 80	-2,788 94	LT
	Jun 6, 11	10 000	43 960	439 60	41 640	416 40	-23 20	LT
	Jul 11, 11	60 000	43 788	2,627 31	41 640	2,498 40	-128 91	LT
	Aug 5, 11	140 000	38 770	5,427 80	41 640	5,829 60	401 80	LT
	Aug 11, 11	75 000	38 288	2,871 64	41 640	3,123 00	251 36	LT
	Aug 19, 11	330 000	38 975	12,861 85	41 640	13,741 20	879 35	LT
	Aug 25, 11	30 000	39 467	1,184 03	41 640	1,249 20	65 17	LT
	Aug 26, 11	15 000	37 956	569 34	41 640	624 60	55 26	LT
	Sep 20, 11	15 000	38 363	575 45	41 640	624 60	49.15	LT
	Oct 4, 11	45 000	33 659	1,514 68	41 640	1,873 80	359 12	LT
	Oct 28, 11	10 000	44 954	449 54	41 640	416 40	-33 14	LT
	Dec 12, 11	70 000	41 818	2,927 29	41 640	2,914 80	-12 49	LT
	Mar 21, 12	210 000	46 029	9,666 26	41 640	8,744 40	-921 86	ST
	Mar 22, 12	120.000	45 814	5,497 79	41.640	4,996 80	-500 99	ST
	Mar 28, 12	30 000	45 119	1,353 57	41 640	1,249 20	-104 37	ST
	May 9, 12	70 000	39 028	2,731 96	41 640	2,914 80	182 84	ST
Security total		2,518 000	43 735	110,125 68		104,849 52	-5,276 16	
CANON INC ADR JAPAN SPON ADR								
Symbol· CAJ Exchange IIYSE								
EAI $2,750 Current yield 3 60%	Apr 14, 09	1,590 000	31 182	49,579 70	39 210	62,343 90	12,764 20	LT
	Aug 10, 12	360 000	34 213	12,316 86	39 210	14,115 60	1,798 74	ST
Security total		1,950 000	31 742	61,896 56		76,459 50	14,562 94	
CARREFOUR SA SPON ADR								
Symbol CRRFY Exchange OTC	Nov 11, 05	1,350 000	8 860	11,961 65	5 150	6,952 50	-5,009 15	LT
	Jan 12, 07	5,950 000	11 423	67,970 06	5 150	30,642.50	-37,327 56	LT
	Jul 15, 08	2,200 000	10 032	22,072 20	5 150	11,330 00	-10,742 20	LT
	Aug 13, 08	1,950 000	11 062	21,572 77	5 150	10,042 50	-11,530 27	LT
	Sep 10, 08	2,100 000	9 808	20,597 89	5 150	10,815 00	-9,782 89	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Oct 23, 09	2,400 000	9 180	22,032 82	5 150	12,360 00	-9,672 82	LT
	Sep 21, 11	4,620 000	4 408	20,367 27	5 150	23,793 00	3,425 73	LT
	Jan 12, 12	120 000	4 246	509 60	5 150	618 00	108 40	ST
	Aug 1, 12	3,795 000	3 624	13,753 08	5 150	19,544 25	5,791 17	ST
Security total		24,485 000	8 202	200,837 34		126,097 75	-74,739 59	
CEMEX S A B DE C V SPON ADR								
Symbol CX Exchange NYSE	Nov 27, 09	2,170 137	9 768	21,199 17	9 870	21,419 25	220 08	LT
	Apr 15, 10	404 840	9 601	3,887 16	9 870	3,995 77	108 61	LT
	May 17, 10	253 026	9 706	2,455 91	9 870	2,497 36	41 45	LT
	Jun 7, 10	162 214	9 465	1,535 51	9 870	1,601 05	65 54	LT
	Jun 29, 10	405 534	9 165	3,717 10	9 870	4,002 62	285 52	LT
	Jul 19, 10	535 305	8 654	4,632 69	9 870	5,283.46	650 77	LT
	Aug 6, 10	297 391	8 729	2,596 21	9 870	2,935 25	339 04	LT
	Aug 13, 10	237 913	8 089	1,924 69	9 870	2,348 20	423 51	LT
	Aug 20, 10	486 641	7 812	3,801 84	9 870	4,803 15	1,001 31	LT
Security total		4,953 000	9 237	45,750 28		48,886 11	3,135 83	
CENTRAIS ELETRICAS BRAS SA SPON ADR REP 50 COM (ELETROBRAS)								
Symbol EBR Exchange NYSE	Dec 20, 99	2,840 000	9 547	27,113 76	3 120	8,860 80	-18,252 96	LT
	May 7, 01	1,980 000	8 230	16,295 40	3 120	6,177 60	-10,117.80	LT
	Apr 27, 12	360 000	8 375	3,015 07	3 120	1,123 20	-1,891 87	ST
Security total		5,180 000	8 962	46,424 23		16,161 60	-30,262.63	
CRH PLC IRELAND SPON ADR Symbol CRH Exchange NYSE								
EAI $3,773 Current yield 3 89%	Oct 29, 10	4,770 000	17 352	82,769 52	20 340	97,021 80	14,252 28	LT
DAI NIPPON PRINTING CO SPON ADR Symbol DNPLY Exchange OTC								
EAI $2,590 Current yield 4 16%	Jul 21, 06	5,020 000	14 954	75,071 59	7 760	38,955 20	-36,116 39	LT
	Nov 29, 06	3,000 000	14.869	44,608.20	7 760	23,280 00	-21,328 20	LT
Security total		8,020.000	14 923	119,679 79		62,235 20	-57,444 59	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
DAIICHI SANKYO CO LTD SPON ADR								
Symbol DSNKY Exchange OTC								
EAI $2,279 Current yield 4 21%	Apr 14, 09	1,000 000	16 796	16,796 70	15 240	15,240 00	-1,556 70	LT
	May 20, 10	100 000	18 053	1,805 30	15 240	1,524 00	-281 30	LT
	Oct 25, 10	1,550 000	21 637	33,538 28	15 240	23,622 00	-9,916 28	LT
	Oct 29, 10	350 000	21 065	7,373 00	15 240	5,334 00	-2,039.00	LT
	Mar 14, 11	450 000	20 080	9,036 18	15 240	6,858 00	-2,178 18	LT
	Mar 15, 11	50 000	18.805	940 28	15 240	762 00	-178 28	LT
	Mar 31, 11	50 000	19 310	965 52	15 240	762 00	-203 52	LT
Security total		3,550 000	19.847	70,455 26		54,102 00	-16,353 26	
DAIMLER AG ORD EUR								
Symbol DDAIF Exchange OTC								
EAI $407 Current yield 4 00%								
EUR Exchange rate 0 75849	Aug 10, 12	185 000	50 106	9,269 65	54 970	10,169 45	899 80	ST
DEUTSCHE TELEKOM AG DE SPON ADR								
Symbol DTEGY Exchange OTC								
EAI $7,081 Current yield 7 48%	Apr 25, 05	330 000	19 159	6,322 67	11 362	3,749 46	-2,573 21	LT
	Jun 8, 05	800 000	18 351	14,681 20	11 362	9,089 60	-5,591 60	LT
	Oct 5, 05	750 000	18 179	13,634 55	11 362	8,521 50	-5,113 05	LT
	Dec 9, 05	1,440 000	16 664	23,996 88	11 362	16,361 28	-7,635 60	LT
	Jan 20, 06	860 000	15 997	13,757 42	11 362	9,771 32	-3,986 10	LT
	Jan 31, 07	1,150 000	17 503	20,129 49	11 362	13,066 30	-7,063 19	LT
	Feb 23, 07	1,800 000	18 162	32,692 50	11 362	20,451.60	-12,240 90	LT
	May 9, 07	1,200 000	17 050	20,460 00	11 362	13,634.40	-6,825 60	LT
Security total		8,330 000	17 488	145,674 71		94,645 46	-51,029 25	
ENI SPA IT SPON ADR								
Symbol. E Exchange NYSE								
EAI $6,027 Current yield 4 36%	Jul 13, 09	725 000	43 858	31,797 42	49 140	35,626 50	3,829 08	LT
	Feb 26, 10	710 000	45.040	31,978 40	49 140	34,889 40	2,911 00	LT
	Apr 8, 10	40 000	46 000	1,840 03	49 140	1,965 60	125 57	LT
	Apr 22, 10	340 000	46 718	15,884 15	49 140	16,707 60	823 45	LT
	Apr 29, 10	170 000	44 885	7,630 48	49 140	8,353 80	723 32	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	May 5, 10	40 000	42 757	1,710 30	49.140	1,965.60	255 30	LT
	May 6, 10	80.000	41.085	3,286 87	49 140	3,931.20	644 33	LT
	May 7, 10	15 000	39 652	594 79	49 140	737 10	142.31	LT
	May 12, 10	20 000	42 020	840 40	49 140	982.80	142 40	LT
	May 17, 10	60 000	39 285	2,357.12	49.140	2,948 40	591.28	LT
	Jun 2, 10	195 000	36 600	7,137 00	49 140	9,582 30	2,445 30	LT
	Jun 7, 10	40 000	35 328	1,413 15	49 140	1,965 60	552 45	LT
	Mar 9, 11	120 000	49 703	5,964 38	49 140	5,896 80	-67 58	LT
	Mar 15, 11	40 000	46 967	1,878 71	49 140	1,965 60	86 89	LT
	May 24, 11	90 000	46 143	4,152 88	49 140	4,422 60	269 72	LT
	Jul 12, 11	20 000	43 749	874 98	49 140	982 80	107 82	LT
	Sep 23, 11	45 000	33 587	1,511 43	49 140	2,211 30	699 87	LT
	Sep 29, 11	60 000	35 649	2,138 97	49 140	2,948 40	809 43	LT
Security total		2,810 000	43 769	122,991 46		138,083 40	15,091 94	
ERICSSON SEK 10 NEW 2002 ADR								
Symbol ERIC Exchange OTC								
EAI $1,422 Current yield· 2 41%	Nov 8, 07	70 000	14 948	1,046 39	10 100	707 00	-339 39	LT
	Dec 4, 07	3,220 000	12 116	39,013 52	10 100	32,522 00	-6,491 52	LT
	Dec 10, 07	2,560 000	12 536	32,094 21	10 100	25,856 00	-6,238 21	LT
Security total		5,850 000	12 334	72,154 12		59,085.00	-13,069 12	
FIRST PAC CO LTD SPONS ADR ADR								
Symbol FPAFY Exchange OTC								
EAI $1,058 Current yield 2 08%	May 21, 12	5,500.000	4 992	27,456 00	5 530	30,415 00	2,959 00	ST
	May 29, 12	900 000	4 984	4,485 96	5 530	4,977.00	491 04	ST
	Jun 5, 12	2,800 000	4 933	13,814 92	5 530	15,484 00	1,669 08	ST
Security total		9,200 000	4 974	45,756 88		50,876 00	5,119 12	
FLEXTRONICS INTL LTD								
Symbol FLEX Exchange OTC	Nov 3, 08	9,090 000	4 311	39,187.90	6 210	56,448 90	17,261 00	LT
FRANCE TELECOM SA SPON ADR								
Symbol FTE Exchange· NYSE								
EAI $10,647 Current yield 13 00%	Jun 17, 05	410 000	27 480	11,267 01	11.050	4,530 50	-6,736 51	LT
	Jun 22, 05	620 000	27 329	16,944 10	11 050	6,851 00	-10,093 10	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Apr 24, 06	2,430 000	22 662	55,070 60	11 050	26,851 50	-28,219 10	LT
	Sep 19, 06	830 000	21 671	17,987 59	11 050	9,171 50	-8,816 09	LT
	Mar 28, 07	1,400 000	26 104	36,546 17	11 050	15,470 00	-21,076 17	LT
	Jun 1, 09	80 000	24 210	1,936 80	11 050	884 00	-1,052 80	LT
	Jun 1, 09	34 000	26 104	887 55	11 050	375 70	-511.85	LT
	Jun 1, 10	150 000	18 699	2,804 97	11 050	1,657 50	-1,147 47	LT
	Jun 29, 10	1,175 000	17 883	21,012 64	11.050	12,983 75	-8,028 89	LT
	May 15, 12	280 000	12 799	3,583 89	11 050	3,094 00	-489 89	ST
Security total		7,409 000	22 681	168,041 32		81,869 45	-86,171 87	
FUJI FILM HOLDINGS CORP ADR Symbol FUJIY Exchange OTC EAI $1,051 Current yield 1 81%	May 19, 04	150 000	29 130	4,369 50	20 030	3,004 50	-1,365 00	LT
	Sep 2, 05	510 000	33 083	16,872 48	20.030	10,215 30	-6,657 18	LT
	Mar 8, 06	800 000	31 013	24,810 72	20 030	16,024.00	-8,786 72	LT
	Nov 19, 09	970.000	27 882	27,045 83	20 030	19,429 10	-7,616 73	LT
	Apr 11, 11	465 000	29 786	13,850 86	20 030	9,313 95	-4,536 91	LT
Security total		2,895 000	30 034	86,949 39		57,986 85	-28,962 54	
GDF SUEZ SPON ADR Symbol GDFZY Exchange OTC EAI $13,396 Current yield 10 02%	Mar 9, 12	20 000	26 103	522 07	21 040	420 80	-101 27	ST
	Mar 22, 12	340 000	25 922	8,813.48	21.040	7,153 60	-1,659.88	ST
	Mar 27, 12	460.000	26 254	12,077.12	21 040	9,678.40	-2,398 72	ST
	Mar 29, 12	1,220.000	25 873	31,565.67	21 040	25,668 80	-5,896 87	ST
	Apr 3, 12	160 000	25.742	4,118 80	21 040	3,366 40	-752 40	ST
	Apr 10, 12	140 000	24 202	3,388 31	21 040	2,945 60	-442 71	ST
	May 2, 12	20 000	23 166	463 32	21 040	420 80	-42 52	ST
	May 4, 12	80 000	22 702	1,816 21	21 040	1,683 20	-133 01	ST
	Jul 24, 12	3,135 000	20 413	63,995 38	21 040	65,960.40	1,965 02	ST
	Dec 20, 12	780 000	20 217	15,769 31	21 040	16,411 20	641 89	ST
Security total		6,355 000	22 428	142,529 67		133,709 20	-8,820 47	
GLAXO SMITHKLINE PLC ADR Symbol GSK Exchange NYSE EAI $4,499 Current yield 5 33%	Dec 22, 06	330 000	52 404	17,293 58	43 470	14,345 10	-2,948 48	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jun 21, 07	440.000	52 003	22,881 50	43 470	19,126 80	-3,754 70	LT
	Jul 11, 07	420 000	51 846	21,775 70	43 470	18,257 40	-3,518 30	LT
	Jul 25, 07	750 000	52 585	39,438 98	43 470	32,602 50	-6,836 48	LT
Security total		1,940 000	52 263	101,389 76		84,331 80	-17,057 96	
H LUNBDBECK A/S SPON ADR								
Symbol HLUYY Exchange OTC	Aug 24, 10	25 000	15 250	381 25	14 550	363 75	-17 50	LT
HONDA MOTOR CO ADR JAPAN ADR								
Symbol HMC Exchange NYSE								
EAI $1,446 Current yield 2 09%	Mar 24, 11	600 000	37 915	22,749 24	36 940	22,164 00	-585 24	LT
	Apr 1, 11	465 000	36 864	17,141.85	36 940	17,177.10	35 25	LT
	Apr 11, 11	795 000	34 137	27,139 07	36 940	29,367 30	2,228 23	LT
	Apr 12, 11	15.000	34.136	512 04	36 940	554 10	42 06	LT
Security total		1,875 000	36 023	67,542 20		69,262 50	1,720 30	
HSBC HOLDINGS PLC NEW GB SPON ADR								
Symbol HBC Exchange NYSE								
EAI $1,738 Current yield 4 71%	Jun 20, 11	330 000	48 448	15,988 10	53.070	17,513 10	1,525 00	LT
	Jul 27, 11	10 000	49 599	495 99	53 070	530 70	34 71	LT
	Jul 29, 11	20 000	48 910	978 21	53 070	1,061 40	83 19	LT
	Nov 9, 11	280 000	41.792	11,701 87	53 070	14,859 60	3,157.73	LT
	Nov 15, 11	15 000	39 364	590 46	53 070	796 05	205 59	LT
	Apr 23, 12	40 000	43 917	1,756 70	53 070	2,122 80	366 10	ST
Security total		695 000	45 340	31,511 33		36,883 65	5,372 32	
INTESA SANPAOLO SPON ADR								
Symbol ISNPY Exchange OTC								
EAI $1,008 Current yield 2 65%	Jan 23, 02	1,388 000	16 426	22,799 44	10 640	14,768 32	-8,031.12	LT
	Apr 28, 04	900 000	16 426	14,783 50	10 640	9,576 00	-5,207 50	LT
	Mar 31, 10	20 000	22 696	453 92	10 640	212 80	-241 12	LT
	Sep 6, 11	1,100 000	8 784	9,663 39	10 640	11,704 00	2,040 61	LT
	Sep 29, 11	165 000	9.621	1,587 51	10 640	1,755 60	168 09	LT
Security total		3,573 000	13 795	49,287 76		38,016 72	-11,271 04	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ITALCEMENTI S P A UNSPONS ADR								
Symbol ITALY Exchange OTC								
EAI $469 Current yield 1 81%	Apr 14, 10	40 000	12 468	498 74	5 540	221 60	-277 14	LT
	Apr 19, 11	4,650 000	9 876	45,927 12	5 540	25,761 00	-20,166 12	LT
Security total		4,690 000	9 899	46,425 86		25,982 60	-20,443 26	
J SAINSBURY PLC SPON ADR								
Symbol JSAIY Exchange OTC								
EAI $2,726 Current yield 4 49%	Jun 20, 08	1,198 000	25 848	30,966 98	22 690	27,182 62	-3,784 36	LT
	Apr 12, 11	1,475 000	22 109	32,612 10	22 690	33,467 75	855 65	LT
Security total		2,673 000	23 786	63,579 08		60,650 37	-2,928 71	
KINGFISHER PLC NEW SPON ADR								
Symbol KGFHY Exchange OTC								
EAI $1,587 Current yield 2 87%	May 6, 08	5,990 000	5 486	32,866 53	9 240	55,347 60	22,481 07	LT
KONINKLIJKE AHOLD NV NEW 2007								
SPON ADR								
Symbol AHONY Exchange OTC								
EAI $2,834 Current yield 3 21%	Feb 11, 04	137 000	7 249	993 19	13.600	1,863.20	870 01	LT
	Oct 3, 05	2,608 000	6 231	16,251 62	13 600	35,468 80	19,217 18	LT
	Apr 12, 06	1,648 000	6 315	10,407 45	13 600	22,412 80	12,005 35	LT
	Dec 1, 06	1,392 000	9 049	12,597 36	13 600	18,931 20	6,333 84	LT
	Aug 13, 10	200 000	12 842	2,568 52	13 600	2,720 00	151 48	LT
	Aug 18, 10	200 000	12 827	2,565 56	13 600	2,720 00	154 44	LT
	Oct 20, 10	75 000	13 429	1,007 24	13 600	1,020 00	12 76	LT
	Nov 23, 10	240.000	12 707	3,049 90	13 600	3,264 00	214 10	LT
Security total		6,500.000	7.606	49,440 84		88,400 00	38,959.16	
LUKOIL OIL CO SPON ADR								
(25 RUBLES)								
Symbol LUKOY Exchange OTC								
EAI $3,695 Current yield 4 52%	Feb 10, 12	10 000	60 632	606.32	67.500	675 00	68 68	ST
	Feb 14, 12	30 000	61 848	1,855 46	67 500	2,025 00	169 54	ST
	Mar 22, 12	850 000	62 285	52,942 68	67 500	57,375 00	4,432 32	ST
	Mar 27, 12	270 000	63 786	17,222 22	67 500	18,225 00	1,002 78	ST
	Mar 28, 12	30 000	62 714	1,881 44	67 500	2,025 00	143 56	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Mar 29, 12	20 000	61 082	1,221 65	67 500	1,350 00	128 35	ST
Security total		1,210 000	62 587	75,729 77		81,675 00	5,945.23	
MARKS & SPENCER GROUP INC SPON ADR								
Symbol MAKSY Exchange OTC								
EAI $4,524 Current yield 4 00%	Nov 20, 03	1,155 000	9 435	10,898 12	12 460	14,391 30	3,493 18	LT
	Dec 11, 03	1,800 000	9 737	17,527 56	12 460	22,428 00	4,900 44	LT
	Mar 17, 08	1,110 000	14 423	16,009 75	12 460	13,830 60	-2,179 15	LT
	Jul 9, 08	3,130 000	9 299	29,108 06	12 460	38,999 80	9,891 74	LT
	Jul 23, 08	1,890 000	10 847	20,501.02	12 460	23,549 40	3,048.38	LT
Security total		9,085 000	10 352	94,044 51		113,199.10	19,154 59	
MITSUBISHI UFJ FINANCIAL GROUP INC SPON ADR								
Symbol MTU Exchange NYSE								
EAI $2,261 Current yield 2 47%	Nov 13, 06	710 000	11 965	8,495 51	5 420	3,848 20	-4,647.31	LT
	Nov 21, 06	3,560 000	11 774	41,916 86	5 420	19,295 20	-22,621 66	LT
	Mar 28, 07	4,040 000	11 450	46,261.23	5 420	21,896 80	-24,364 43	LT
	Apr 18, 07	3,110 000	11 182	34,777 58	5 420	16,856 20	-17,921 38	LT
	Jul 25, 07	5,450 000	11 094	60,466 66	5 420	29,539 00	-30,927 66	LT
Security total		16,870 000	11.376	191,917 84		91,435.40	-100,482 44	
MS&AD INS GROUP HLDGS ADR								
Symbol MSADY Exchange OTC								
EAI $2,348 Current yield 2 68%	Sep 22, 06	2,380.000	20 018	47,644 82	9 900	23,562.00	-24,082 82	LT
	Nov 28, 06	960 000	19 059	18,296 85	9.900	9,504 00	-8,792 85	LT
	Jan 19, 07	2,220.000	20.355	45,188 14	9 900	21,978 00	-23,210.14	LT
	Sep 20, 07	3,300 000	17 670	58,313 92	9 900	32,670 00	-25,643 92	LT
Security total		8,860 000	19 125	169,443 73		87,714 00	-81,729 73	
NIPPON TELEG & TEL CORP SPON ADR								
Symbol NTT Exchange NYSE								
EAI $4,444 Current yield 4 06%	Aug 24, 05	370 000	22 417	8,294 48	21 030	7,781 10	-513 38	LT
	Jun 27, 07	2,220 000	22 492	49,933 57	21 030	46,686 60	-3,246 97	LT
	Jul 25, 07	2,620 000	22 307	58,444 34	21 030	55,098 60	-3,345 74	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		5,210 000	22 394	116,672 39		109,566 30	-7,106 09	
OI S A SPON ADR								
Symbol OIBR Exchange NYSE								
EAI $4,583 Current yield 18 70%	Nov 14, 00	2,402 918	9 068	21,790 23	4 010	9,635 70	-12,154 53	LT
	May 15, 01	462 082	6 389	2,952 46	4 010	1,852 95	-1,099 51	LT
	Nov 24, 09	3,246 000	10 434	33,869 87	4 010	13,016 46	-20,853 41	LT
Security total		6,111 000	9 591	58,612 56		24,505.11	-34,107 45	
PETROLEO BRASILEIRO SA								
PETROBRAS NON VTG SPON ADR								
Symbol PBR A Exchange NYSE								
EAI $355 Current yield 0 57%	May 3, 10	30 000	37 000	1,110 00	19 310	579 30	-530.70	LT
	May 25, 10	10 000	28 033	280 33	19 310	193 10	-87 23	LT
	Jun 22, 10	315 000	32 498	10,236 96	19.310	6,082 65	-4,154 31	LT
	Jun 29, 10	1,245 000	29 845	37,157 15	19 310	24,040 95	-13,116 20	LT
	Jul 16, 10	30 000	30 313	909 41	19 310	579 30	-330 11	LT
	Aug 13, 10	15 000	31 365	470 48	19 310	289 65	-180 83	LT
	Aug 20, 10	130 000	30 556	3,972 31	19 310	2,510 30	-1,462 01	LT
	Aug 26, 10	30 000	29 499	884 99	19 310	579 30	-305 69	LT
	Oct 19, 10	990.000	30 686	30,379 64	19 310	19,116.90	-11,262.74	LT
	Oct 22, 10	10 000	29 000	290 00	19 310	193 10	-96 90	LT
	Aug 25, 11	100 000	24 968	2,496 85	19 310	1,931 00	-565 85	LT
	Oct 17, 11	320 000	22 000	7,040 00	19 310	6,179 20	-860 80	LT
Security total		3,225 000	29 528	95,228 12		62,274 75	-32,953 37	
POSCO SPON ADR								
Symbol PKX Exchange NYSE								
EAI $1,288 Current yield 2 12%	Nov 9, 11	155 000	82 354	12,764 92	82 150	12,733 25	-31 67	LT
	Nov 16, 11	10 000	84 625	846 25	82 150	821 50	-24 75	LT
	Nov 18, 11	50 000	83 315	4,165 75	82 150	4,107 50	-58 25	LT
	Dec 27, 11	285 000	83 889	23,908 59	82 150	23,412 75	-495 84	LT
	Jan 4, 12	50 000	84 980	4,249 02	82 150	4,107 50	-141 52	ST
	Feb 14, 12	50 000	90 957	4,547 88	82 150	4,107 50	-440.38	ST
	Feb 17, 12	75 000	91 283	6,846 28	82 150	6,161 25	-685 03	ST
	Mar 27, 12	45 000	85 008	3,825 36	82 150	3,696 75	-128 61	ST

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Account name: HARDEN FOUNDATION
Account number: ML 09275 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Mar 29, 12	20 000	82 419	1,648 38	82 150	1,643 00	-5 38	ST
Security total		740 000	84 868	62,802 43		60,791 00	-2,011 43	
SANOFI SPON ADR Symbol. SNY Exchange NYSE EAI $3,112 Current yield 3 02%	Feb 22, 07	1,145 000	43 786	50,136 00	47 380	54,250 10	4,114 10	LT
	Jul 27, 07	520 000	41 458	21,558 52	47 380	24,637 60	3,079 08	LT
	Aug 17, 07	510 000	39 008	19,894 13	47 380	24,163 80	4,269 67	LT
Security total		2,175 000	42 110	91,588 65		103,051 50	11,462 85	
SEAGATE TECHNOLOGY PLC SHS Symbol STX Exchange OTC EAI $1,710 Current yield 5 00%	Oct 2, 12	1,125 000	30 042	33,797 93	30 420	34,222 50	424 57	ST
SEIKO EPSON CORP UNSPONSORED ADR Symbol SEKEY Exchange OTC EAI $573 Current yield 3 20%	Sep 6, 07	4,510 000	12 783	57,654 04	3 970	17,904 70	-39,749 34	LT
SEVEN & I HLDGS CO LTD ADR Symbol SVNDY Exchange OTC EAI $945 Current yield 2 54%	Jul 20, 10	260 000	46 741	12,152 71	56 350	14,651 00	2,498 29	LT
	Oct 19, 10	140 000	47 706	6,678 85	56 350	7,889 00	1,210 15	LT
	Nov 19, 10	150 000	50 366	7,554 95	56.350	8,452 50	897 55	LT
	Apr 8, 11	110 000	48 273	5,310 06	56 350	6,198.50	888 44	LT
Security total		660 000	48 025	31,696 57		37,191 00	5,494 43	
SONY CORP ADR NEW JAPAN ADR Symbol· SNE Exchange NYSE EAI. $780 Current yield 2 50%	Oct 19, 05	240 000	33 169	7,960 66	11 200	2,688.00	-5,272 66	LT
	Aug 24, 07	420 000	47 218	19,831 69	11 200	4,704 00	-15,127 69	LT
	Oct 28, 08	1,590.000	19 713	31,343 83	11 200	17,808 00	-13,535 83	LT
	Jun 17, 11	260 000	24 788	6,444 88	11.200	2,912 00	-3,532 88	LT
	Oct 26, 11	275 000	20 262	5,572 19	11 200	3,080 00	-2,492 19	LT
Security total		2,785 000	25 549	71,153 25		31,192 00	-39,961.25	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
STMICROELECTRONICS N V EUR								
Symbol STM Exchange NYSE								
EAI $2,555 Current yield 4 70%								
EUR Exchange rate 0 75849	Jun 28, 06	165 000	15 515	2,560 01	7 240	1,194 60	-1,365 41	LT
	Feb 11, 08	2,130 000	11 486	24,466 46	7 240	15,421 20	-9,045 26	LT
	Feb 27, 08	2,470 000	12 954	31,996 87	7 240	17,882.80	-14,114 07	LT
	Dec 8, 11	2,480 000	5 950	14,757 74	7 240	17,955 20	3,197 46	LT
	Dec 15, 11	270 000	5 566	1,503 06	7 240	1,954 80	451 74	LT
Security total		7,515 000	10 018	75,284 14		54,408 60	-20,875 54	
SUEZ ENVIRONNEMENT CO ADR								
Symbol SZEVY Exchange OTC								
EAI $2,363 Current yield 4 84%	Sep 27, 12	8,065 000	5 740	46,299 55	6 050	48,793 25	2,493 70	ST
SUMITOMO MITSUI FINANCIAL SPON ADR								
Symbol SMFG Exchange NYSE								
EAI $2,982 Current yield 3 09%	Aug 20, 07	2,475 000	15 756	38,998 08	7 340	18,166 50	-20,831.58	LT
	Sep 5, 07	1,345 000	15 284	20,558 06	7 340	9,872 30	-10,685 76	LT
	Sep 6, 07	4,720 000	14 888	70,272 30	7 340	34,644 80	-35,627 50	LT
	Sep 19, 07	1,440 000	14 222	20,479 68	7 340	10,569 60	-9,910 08	LT
	Sep 26, 07	3,155 000	14 482	45,692.60	7 340	23,157 70	-22,534.90	LT
Security total		13,135 000	14 922	196,000 72		96,410 90	-99,589 82	
SWISS RE LTD SPON ADR								
Symbol SSREY Exchange OTC								
EAI $1,911 Current yield 4 56%	Jun 15, 11	40 000	57 759	2,310 39	72 300	2,892 00	581 61	LT
	Jun 22, 11	540.000	56 236	30,367 71	72 300	39,042 00	8,674 29	LT
Security total		580 000	56 342	32,678 10		41,934 00	9,255 90	
TAKEDA PHARMACEUTICAL CO LTD SPON ADR								
Symbol TKPYY Exchange OTC								
EAI $3,449 Current yield 4 43%	Mar 4, 08	1,000 000	27 292	27,292 40	22 200	22,200 00	-5,092 40	LT
	Oct 4, 10	525 000	23 126	12,141 41	22 200	11,655 00	-486 41	LT
	Oct 28, 10	860 000	23.199	19,951 57	22 200	19,092 00	-859 57	LT
	Mar 31, 11	60 000	23 452	1,407 16	22.200	1,332 00	-75 16	LT
	Jul 12, 11	1,060 000	23 044	24,427 06	22 200	23,532 00	-895 06	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		3,505 000	24 314	85,219 60		77,811 00	-7,408 60	
TE CONNECTIVITY LTD CHF Symbol TEL Exchange NYSE EAI $1,690 Current yield 2 26% CHF Exchange rate 0.91535	Aug 1, 07	822 000	35 261	28,985 36	37 120	30,512 64	1,527 28	LT
	Aug 10, 07	1,190 000	32 615	38,811 97	37 120	44,172.80	5,360 83	LT
Security total		2,012 000	33 696	67,797 33		74,685 44	6,888 11	
TELECOM ITALIA SPA NEW REPSTG 10 ORD SHS SPON ADR Symbol TI Exchange NYSE EAI $5,043 Current yield 4 77%	Jul 29, 96	1,089 000	9 315	10,144 82	9 050	9,855 45	-289 37	LT
	Nov 6, 98	759 000	21 963	16,670 60	9 050	6,868 95	-9,801 65	LT
	Dec 19, 03	525 000	29 858	15,675 87	9 050	4,751 25	-10,924 62	LT
	Sep 30, 05	840 000	32 745	27,506 30	9 050	7,602 00	-19,904 30	LT
	Jan 20, 06	890 000	28 479	25,346 93	9 050	8,054 50	-17,292 43	LT
	Feb 15, 06	2,010 000	27 859	55,997 39	9 050	18,190.50	-37,806 89	LT
	Mar 29, 06	510.000	28 770	14,672.70	9 050	4,615 50	-10,057 20	LT
	Feb 14, 07	1,020 000	31.178	31,801 66	9 050	9,231 00	-22,570 66	LT
	Jul 18, 07	900 000	28 684	25,816 14	9 050	8,145 00	-17,671.14	LT
	Aug 10, 07	710 000	26 385	18,733 49	9.050	6,425 50	-12,307 99	LT
	Dec 17, 09	990 000	15 764	15,607 25	9 050	8,959 50	-6,647 75	LT
	Jun 11, 12	1,430 000	8 878	12,695 97	9 050	12,941 50	245 53	ST
Security total		11,673 000	23 188	270,669 12		105,640 65	-165,028 47	
TELEFONICA S A SPON ADR Symbol TEF Exchange NYSE	Feb 3, 06	747 000	14 996	11,202 04	13 490	10,077.03	-1,125 01	LT
	May 20, 09	1,230 000	20 402	25,094 67	13.490	16,592 70	-8,501.97	LT
	Apr 27, 10	15 000	22 129	331 94	13 490	202.35	-129 59	LT
	May 17, 12	52 000	12 500	650 00	13 490	701 48	51 48	ST
Security total		2,044 000	18 238	37,278 65		27,573 56	-9,705 09	
TELEFONICA BRASIL SA SPON ADR Symbol VIV Exchange NYSE EAI $1,054 Current yield 5 73%	Nov 14, 00	369.067	26 902	9,928 95	24 060	8,879 76	-1,049 19	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	May 15, 01	130 093	24 801	3,226 55	24 060	3,130 05	-96 50	LT
	Jan 21, 05	78 876	11 959	943 35	24 060	1,897 75	954 40	LT
	Sep 11, 09	185 964	14 844	2,760 52	24 060	4,474 28	1,713 76	LT
Security total		764 000	22 067	16,859 37		18,381 84	1,522 47	
TESCO PLC SPONS ADR UNITED KINGDOM Symbol TSCDY Exchange OTC EAI $2,962 Current yield 3 97%	Feb 21, 12	2,905 000	15 370	44,652 46	16 580	48,164 90	3,512 44	ST
	Mar 28, 12	1,590 000	16 239	25,821.28	16 580	26,362.20	540 92	ST
Security total		4,495 000	15 678	70,473 74		74,527 10	4,053 36	
TIM PARTICIPACOES SA SPON ADR Symbol TSU Exchange NYSE EAI $1,081 Current yield 1 45%	Nov 14, 00	394 478	15 044	5,934 82	19 820	7,818 55	1,883 73	LT
	May 15, 01	67 522	10 674	720 79	19.820	1,338 29	617.50	LT
	Aug 7, 12	2,065 000	22 006	45,443 63	19 820	40,928 30	-4,515 33	ST
	Dec 26, 12	1,240 000	19 140	23,734 47	19 820	24,576 80	842 33	ST
Security total		3,767 000	20 131	75,833 71		74,661 94	-1,171 77	
TOKIO MARINE HOLDINGS INC SPON ADR Symbol TKOMY Exchange OTC EAI $1,816 Current yield 2 00%	Feb 28, 05	1,080 000	29 287	31,630 78	27 840	30,067 20	-1,563 58	LT
	Sep 6, 07	1,390 000	36 564	50,824 93	27 840	38,697 60	-12,127 33	LT
	Dec 20, 07	790 000	32 282	25,503 25	27.840	21,993 60	-3,509 65	LT
Security total		3,260 000	33 116	107,958 96		90,758 40	-17,200 56	
TOTAL S A FRANCE SPON ADR Symbol TOT Exchange NYSE EAI $6,932 Current yield 4.82%	Mar 12, 10	1,445 000	58 674	84,785 09	52 010	75,154 45	-9,630 64	LT
	Apr 16, 10	1,020 000	58 082	59,243 95	52 010	53,050 20	-6,193 75	LT
	Apr 23, 10	30 000	56 839	1,705 17	52 010	1,560 30	-144 87	LT
	May 6, 10	30 000	49 457	1,483 72	52 010	1,560 30	76 58	LT
	May 12, 10	40 000	50 688	2,027 53	52 010	2,080 40	52 87	LT
	May 13, 10	20 000	51 279	1,025 58	52 010	1,040 20	14 62	LT
	May 19, 10	10 000	47 466	474 66	52 010	520.10	45 44	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	May 28, 10	40 000	47 414	1,896 58	52 010	2,080 40	183 82	LT
	Jun 2, 10	50 000	45 309	2,265 48	52 010	2,600 50	335 02	LT
	Jun 7, 10	10 000	45 208	452 08	52 010	520 10	68 02	LT
	Nov 23, 10	70 000	50 869	3,560 86	52 010	3,640 70	79 84	LT
Security total		2,765 000	57 476	158,920 70		143,807 65	-15,113 05	
TOYOTA MOTOR CORP NEW JAPAN SPON ADR Symbol TM Exchange NYSE EAI $1,904 Current yield 1 47%	Oct 16, 09	40 000	79 447	3,177 88	93 250	3,730 00	552 12	LT
	Oct 22, 09	180 000	78 234	14,082 26	93 250	16,785 00	2,702 74	LT
	Mar 12, 10	515 000	76 897	39,601 96	93 250	48,023 75	8,421 79	LT
	May 17, 10	95 000	76 313	7,249 75	93 250	8,858 75	1,609 00	LT
	Jun 17, 10	15 000	72 162	1,082 43	93 250	1,398 75	316 32	LT
	Jul 30, 10	10 000	70 615	706 15	93 250	932 50	226 35	LT
	Aug 10, 10	30 000	71 639	2,149 17	93 250	2,797 50	648 33	LT
	Aug 24, 10	395 000	70 482	27,840 43	93 250	36,833 75	8,993 32	LT
	Sep 17, 10	10 000	72 205	722 05	93 250	932 50	210 45	LT
	Oct 1, 10	55 000	71 218	3,917 02	93.250	5,128.75	1,211 73	LT
	Oct 7, 10	40 000	71 686	2,867 47	93.250	3,730 00	862 53	LT
Security total		1,385 000	74 655	103,396 57		129,151 25	25,754 68	
UBS AG REGS ORD CHF Symbol UBS Exchange NYSE EAI $543 Current yield 0.69% CHF Exchange rate 0 91535	Jun 4, 10	3,520 000	12 927	45,505.50	15 740	55,404 80	9,899 30	LT
	Jun 24, 10	1,050 000	13 984	14,683 52	15 740	16,527 00	1,843 48	LT
	Sep 23, 11	100 000	10 946	1,094 66	15 740	1,574 00	479 34	LT
	Sep 29, 11	80.000	12 540	1,003 26	15 740	1,259 20	255 94	LT
	Oct 17, 11	240.000	11 824	2,837 86	15.740	3,777 60	939 74	LT
	Oct 19, 11	40 000	11 737	469 49	15 740	629 60	160 11	LT
Security total		5,030 000	13 041	65,594 29		79,172 20	13,577.91	

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Your assets › **Equities** › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
UNILEVER NV N Y SHS NEW NETHERLANDS SPON SPON ADR Symbol UN Exchange NYSE EAI $2,162 Current yield 2 72%	Jun 9, 04	20 000	22 216	444 32	38 300	766 00	321 68	LT
	Sep 15, 04	1,980 000	20 268	40,130 90	38 300	75,834 00	35,703 10	LT
	Nov 1, 10	60 000	29 941	1,796.51	38 300	2,298 00	501.49	LT
	Mar 10, 11	15 000	30 448	456 73	38 300	574 50	117.77	LT
Security total		2,075 000	20 640	42,828 46		79,472 50	36,644 04	
VODAFONE GROUP PLC NEW SPON ADR Symbol VOD Exchange NYSE EAI $3,429 Current yield 5 95%	Aug 4, 09	1,006 000	21 308	21,436 52	25 190	25,341 14	3,904 62	LT
	May 17, 10	25 000	19 774	494.37	25 190	629.75	135 38	LT
	Mar 18, 11	40 000	27 612	1,104 51	25 190	1,007 60	-96 91	LT
	Jun 27, 11	200 000	26 355	5,271 12	25 190	5,038 00	-233 12	LT
	Jul 6, 11	560 000	26 493	14,836 36	25 190	14,106 40	-729 96	LT
	Dec 21, 12	455 000	25 110	11,425 05	25 190	11,461 45	36 40	ST
Security total		2,286 000	23 870	54,567 93		57,584 34	3,016 41	
WOLTERS KLUWER NV SPONSORED ADR NETHERLANDS ADR Symbol WTKWY Exchange OTC EAI $2,691 Current yield 3 57%	May 28, 03	1,530 000	12 143	18,579 71	20 670	31,625 10	13,045 39	LT
	Jun 6, 03	1,010 000	13 199	13,331 90	20 670	20,876 70	7,544 80	LT
	Apr 15, 05	261 000	17 700	4,619 70	20.670	5,394 87	775 17	LT
	Apr 27, 06	183 000	24 700	4,520 10	20 670	3,782 61	-737 49	LT
	Apr 21, 09	149 000	18 680	2,783 32	20 670	3,079.83	296 51	LT
	Apr 20, 10	136 000	19 016	2,586 31	20 670	2,811 12	224 81	LT
	Apr 20, 11	136 000	22 008	2,993 22	20 670	2,811 12	-182 10	LT
	Nov 10, 11	60 000	17 004	1,020 26	20 670	1,240 20	219 94	LT
	Apr 18, 12	182 000	15 470	2,815 54	20 670	3,761 94	946 40	ST
Security total		3,647 000	14 601	53,250 06		75,383 49	22,133 43	
Total				**$5,437,495.55**		**$4,522,386.33**	**-$915,109.22**	

Total estimated annual income: $164,510

Account name: HARDEN FOUNDATION
Account number: ML 09275 EF

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Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Your assets (continued)

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	164,324.75	3.51%	164,324.75		
Equities	**Common stock**	4,522,386.33	96.49%	5,437,495.55	164,510.00	-915,109.22
Total		**$4,686,711.08**	**100.00%**	**$5,601,820.30**	**$164,510.00**	**-$915,109.22**

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
Nov 30		**Cash and money balance**					**$62,621.52**
Dec 3	Dividend	UBS SELECT PRIME CAPITAL FUND				4 93	62,626 45
Dec 4	Fee	HONDA MOTOR CO ADR JAPAN ADR CUSIP 438128308				-6 56	
Dec 4	Foreign Tax Withheld	HONDA MOTOR CO ADR JAPAN ADR CUSIP 438128308				-30 31	
Dec 4	Foreign Dividend	HONDA MOTOR CO ADR JAPAN ADR PAID ON 1875 CUSIP 438128308				432 97	
Dec 4	Foreign Dividend	STMICROELECTRONICS N V EUR PAID ON 10615				1,061 50	
Dec 4	Sold	STMICROELECTRONICS N V EUR UNSOLICITED Other fees and charges $.43 Average Priced Trade ▶ SYMBOL STM ▶ CUSIP NO 861012102 ▶ Location of Execution 09 ▶ Capacity Agent		-3,100 000	6 162099	19,102 08	
Dec 4	Foreign Tax Withheld	STMICROELECTRONICS N V EUR				-159 23	83,026 90
Dec 6	Fee	BANCO DO BRASIL S A SPON ADR CUSIP 059578104				-9 96	
Dec 6	Foreign Dividend	BANCO DO BRASIL S A SPON ADR PAID ON 1660 CUSIP 059578104				85 26	

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Account name: HARDEN FOUNDATION
Account number: ML 09280 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period
Cash	0 00	19 25				
UBS SELECT PRIME CAPITAL	43,281 45	21,780 77	1 00	0 09%	Nov 1 to Nov 30	30
Total	**$43,281.45**	**$21,800.02**				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ACCENTURE PLC IRELAND CL A								
Symbol ACN Exchange NYSE								
EAI $703 Current yield 2.44%	Dec 27, 05	433 000	28 994	12,554 57	66 500	28,794 50	16,239 93	LT
	Jul 20, 12	1 000	59 130	59 13	66 500	66 50	7 37	ST
Security total		434 000	29 064	12,613 70		28,861 00	16,247 30	
AKAMAI TECHNOLOGIES INC								
Symbol AKAM Exchange OTC	Apr 16, 10	227 000	33 233	7,543.90	40 910	9,286.57	1,742 67	LT
	Apr 27, 11	154 000	40.908	6,299 91	40.910	6,300 14	0 23	LT
Security total		381 000	36 335	13,843 81		15,586 71	1,742 90	
ALLERGAN INC								
Symbol AGN Exchange NYSE								
EAI $62 Current yield 0 22%	Dec 20, 07	300 000	63 640	19,092 00	91 730	27,519.00	8,427 00	LT
	Jul 20, 12	8 000	85 800	686 40	91 730	733 84	47 44	ST
Security total		308 000	64 216	19,778.40		28,252 84	8,474 44	
ALTERA CORP								
Symbol ALTR Exchange OTC								
EAI $217 Current yield 1 16%	Apr 5, 11	248 000	42 607	10,566 61	34 390	8,528 72	-2,037 89	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Aug 10, 11	281 000	34 729	9,758 93	34 390	9,663 59	-95 34	LT
	Jul 20, 12	13 000	31 406	408 28	34 390	447 07	38 79	ST
Security total		542 000	38 254	20,733 82		18,639.38	-2,094.44	
AMERICAN TOWER CORP REIT Symbol AMT Exchange NYSE EAI· $364 Current yield 1 24%	Mar 23, 11	379 000	49 251	18,666 28	77 270	29,285 33	10,619 05	LT
AMPHENOL CORP NEW CL A Symbol APH Exchange NYSE EAI $151 Current yield 0 65%	Feb 20, 09	63 000	25 276	1,592 44	64 700	4,076 10	2,483 66	LT
	Oct 30, 09	296 000	40 613	12,021 45	64 700	19,151 20	7,129 75	LT
Security total		359 000	37 922	13,613 89		23,227 30	9,613.41	
APPLE INC Symbol AAPL Exchange OTC EAI $689 Current yield 1 99%	May 23, 12	26 000	558 451	14,519 75	532 172	13,836 47	-683 28	ST
	Jul 20, 12	23 000	608 120	13,986 76	532 172	12,239 96	-1,746 80	ST
	Oct 24, 12	16.000	612 369	9,797 91	532 172	8,514 75	-1,283 16	ST
Security total		65 000	589 299	38,304 42		34,591 18	-3,713 24	
BMC SOFTWARE INC Symbol BMC Exchange OTC	Feb 9, 11	422 000	48 581	20,501 52	39 620	16,719 64	-3,781 88	LT
	Jul 20, 12	3 000	40 496	121 49	39 620	118 86	-2 63	ST
Security total		425 000	48 525	20,623 01		16,838 50	-3,784 51	
BROADCOM CORP CL A Symbol BRCM Exchange OTC EAI $252 Current yield 1 20%	Mar 3, 11	430 000	42 C25	18,071 14	33 210	14,280 30	-3,790 84	LT
	Sep 14, 11	125.000	34 949	4,368 65	33 210	4,151 25	-217 40	LT
	Jul 20, 12	75 000	31 966	2,397 45	33 210	2,490 75	93 30	ST
Security total		630 000	39 424	24,837 24		20,922 30	-3,914 94	
CHECK POINT SOFTWARE TECH LTD ILS Symbol CHKP Exchange OTC ILS Exchange rate 3 73110	Jul 30, 10	61 000	33 716	2,056 70	47 640	2,906 04	849 34	LT
	Nov 19, 10	260 000	43 914	11,417 80	47 640	12,386 40	968 60	LT
	Jul 20, 12	52 000	49 751	2,587 07	47 640	2,477 28	-109 79	ST

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		373.000	43 061	16,061 57		17,769 72	1,708 15	
CHICAGO BRIDGE & IRON NV EUR								
Symbol CBI Exchange NYSE								
EAI $91 Current yield 0 43%								
EUR Exchange rate 0.75849	Nov 10, 11	255 000	36 984	9,431 00	46 350	11,819 25	2,388 25	LT
	Mar 8, 12	198 000	45 503	9,009 69	46 350	9,177 30	167 61	ST
Security total		453 000	40 708	18,440 69		20,996 55	2,555 86	
COSTCO WHOLESALE CORP								
Symbol COST Exchange OTC								
EAI $230 Current yield 1 11%	Sep 10, 10	209 000	59 369	12,408 20	98 730	20,634 57	8,226 37	LT
COVIDIEN PLC								
Symbol COV Exchange NYSE								
EAI $333 Current yield 1 80%	Nov 10, 11	320 000	45 997	14,719 10	57 740	18,476 80	3,757 70	LT
CVS CAREMARK CORP								
Symbol CVS Exchange NYSE								
EAI $399 Current yield 1.86%	Oct 24, 12	443 000	46 174	20,455 30	48 350	21,419 05	963 75	ST
DISCOVERY COMMUNICATIONS INC								
CL A								
Symbol DISCA Exchange OTC	May 13, 11	171 000	44 349	7,583 81	63 480	10,855 08	3,271 27	LT
	Jun 24, 11	270 000	40 231	10,862 51	63 480	17,139 60	6,277 09	LT
	Jul 20, 12	47 000	50 344	2,366 20	63 480	2,983 56	617 36	ST
Security total		488 000	42 649	20,812 52		30,978 24	10,165 72	
DOLLAR GEN CORP NEW								
Symbol DG Exchange NYSE	May 9, 12	208 000	46 766	9,727 37	44 090	9,170 72	-556 65	ST
	Aug 1, 12	190 000	51 179	9,724 11	44 090	8,377.10	-1,347.01	ST
Security total		398 000	48 873	19,451 48		17,547.82	-1,903 66	
DONALDSON CO INC								
Symbol DCI Exchange NYSE								
EAI $291 Current yield 1.10%	Mar 10, 10	550 000	22 184	12,201 61	32 840	18,062 00	5,860 39	LT
	Mar 11, 10	222 000	22 053	4,895 98	32 840	7,290 48	2,394 50	LT
	Jul 20, 12	37 000	33.497	1,239 42	32 840	1,215 08	-24 34	ST
Security total		809 000	22 666	18,337 01		26,567 56	8,230 55	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
EBAY INC								
Symbol EBAY Exchange OTC	Nov 29, 12	297 000	52 254	15,519 62	50 997	15,146 10	-373 52	ST
ECOLAB INC								
Symbol ECL Exchange NYSE								
EAI $358 Current yield 1 28%	Jan 21, 10	12 000	46 080	552 97	71 900	862 80	309 83	LT
	Jan 28, 10	47 000	43 937	2,065 08	71 900	3,379 30	1,314 22	LT
	Feb 25, 10	318 000	41 897	13,323 50	71 900	22,864 20	9,540 70	LT
	Jul 20, 12	12 000	67 495	809 95	71 900	862.80	52 85	ST
Security total		389 000	43 063	16,751 50		27,969.10	11,217.60	
EMC CORP MASS								
Symbol EMC Exchange NYSE	Dec 17, 09	149 000	16 732	2,493.11	25 300	3,769 70	1,276.59	LT
	Feb 4, 10	717 000	16 805	12,049.69	25 300	18,140 10	6,090 41	LT
	Aug 31, 11	273 000	22 640	6,180 94	25 300	6,906 90	725 96	LT
Security total		1,139.000	18 195	20,723 74		28,816 70	8,092.96	
EXPRESS SCRIPTS HLDG CO								
Symbol ESRX Exchange OTC	Dec 1, 05	333 000	21 752	7,243 58	54 000	17,982 00	10,738 42	LT
GILEAD SCIENCES INC								
Symbol GILD Exchange OTC	Jul 24, 07	26 000	38.003	988 08	73 450	1,909 70	921 62	LT
	Dec 20, 07	400 000	45 779	18,311 68	73 450	29,380 00	11,068 32	LT
	Jul 20, 12	2 000	53 235	106 47	73 450	146 90	40 43	ST
Security total		428.000	45 342	19,406 23		31,436 60	12,030 37	
GOOGLE INC CL A								
Symbol GOOG Exchange OTC	Sep 21, 09	20 000	493 059	9,861 18	707 380	14,147.60	4,286 42	LT
	Mar 4, 10	13 000	552 997	7,188.97	707 380	9,195 94	2,006 97	LT
	Jun 15, 11	3 000	503 023	1,509 07	707 380	2,122 14	613 07	LT
	Nov 29, 12	14 000	689 486	9,652.81	707 380	9,903 32	250 51	ST
Security total		50 000	564 241	28,212.03		35,369 00	7,156 97	
GRAINGER W W INC								
Symbol GWW Exchange NYSE								
EAI $317 Current yield 1 58%	Jul 20, 12	49 000	204 880	10,039 12	202 370	9,916 13	-122 99	ST
	Aug 1, 12	50 000	203 486	10,174 33	202 370	10,118 50	-55 83	ST
Security total		99 000	204 176	20,213 45		20,034 63	-178 82	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
HERBALIFE LTD								
Symbol HLF Exchange NYSE								
EAI $233 Current yield 3 65%	Sep 10, 10	61 000	28 907	1,763 37	32 940	2,009 34	245 97	LT
	Feb 15, 12	133 000	59 520	7,916 16	32 940	4,381 02	-3,535 14	ST
Security total		194.000	49 894	9,679 53		6,390 36	-3,289.17	
IHS INC CL A								
Symbol IHS Exchange NYSE	Apr 9, 12	112 000	94 600	10,595 24	96 000	10,752 00	156 76	ST
	Jul 20, 12	78 000	105 387	8,220 23	96 000	7,488 00	-732 23	ST
Security total		190 000	99 029	18,815 47		18,240 00	-575 47	
INTUIT								
Symbol INTU Exchange OTC								
EAI $189 Current yield 1.14%	Dec 20, 07	278 000	30 105	8,369 19	59 475	16,534 05	8,164 86	LT
JUNIPER NETWORKS INC								
Symbol JNPR Exchange NYSE	Apr 25, 11	531 000	40 075	21,279 83	19 670	10,444 77	-10,835 06	LT
	Sep 9, 11	371 000	21 203	7,866 46	19 670	7,297 57	-568 89	LT
	Jul 20, 12	12 000	15 667	188 01	19 670	236 04	48.03	ST
Security total		914 000	32 094	29,334 30		17,978 38	-11,355 92	
KANSAS CITY STHN NEW								
Symbol KSU Exchange NYSE								
EAI $100 Current yield. 0.94%	Oct 24, 12	128 000	79 340	10,155 52	83 480	10,685 44	529 92	ST
LKQ CORP NEW								
Symbol LKQ Exchange OTC	Mar 22, 12	672 000	15 577	10,468 38	21 100	14,179 20	3,710 82	ST
	Jul 20, 12	20 000	17 515	350 30	21 100	422 00	71 70	ST
Security total		692 000	15 634	10,818 68		14,601 20	3,782 52	
MEAD JOHNSON NUTRITION CO COM STOCK								
Symbol MJN Exchange NYSE								
EAI $174 Current yield 1 82%	Aug 22, 12	145 000	71 006	10,295 90	65 890	9,554 05	-741 85	ST
MONSANTO CO NEW								
Symbol MON Exchange NYSE								
EAI $363 Current yield 1 58%	Dec 17, 08	183 000	75 738	13,860 17	94 650	17,320 95	3,460 78	LT
	Nov 30, 11	59 000	72 706	4,289 67	94 650	5,584 35	1,294 68	LT
Security total		242 000	74 999	18,149 84		22,905 30	4,755 46	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
NATL-OILWELLVARCO INC								
Symbol NOV Exchange NYSE								
EAI $134 Current yield 0 76%	Jun 15, 11	141 000	70 232	9,902 78	68 350	9,637.35	-265.43	LT
	Feb 6, 12	116 000	82 680	9,590 94	68 350	7,928 60	-1,662 34	ST
Security total		257 000	75 851	19,493 72		17,565 95	-1,927.77	
NIKE INC CL B								
Symbol NKE Exchange NYSE								
EAI $235 Current yield 0 81%	Apr 11, 08	200 000	33 602	6,720 51	51 600	10,320 00	3,599 49	LT
	Jul 7, 10	284 000	33 727	9,578 58	51 600	14,654 40	5,075 82	LT
	Jul 20, 12	76 000	46 757	3,553 55	51 600	3,921 60	368 05	ST
Security total		560 000	35 451	19,852 64		28,896 00	9,043 36	
NU SKIN ENTERPRISES INC								
Symbol NUS Exchange NYSE								
EAI $177 Current yield 2.16%	May 31, 11	83 000	38 554	3,199 99	37 050	3,075.15	-124 84	LT
	Sep 23, 11	138 000	43 153	5,955 13	37 050	5,112 90	-842 23	LT
Security total		221.000	41 426	9,155 12		8,188 05	-967.07	
PETSMART INC								
Symbol PETM Exchange OTC								
EAI $99 Current yield 0 97%	Dec 20, 12	150 000	71 059	10,658 98	68 340	10,251 00	-407 98	ST
PRAXAIR INC								
Symbol PX Exchange NYSE								
EAI $444 Current yield 2 01%	Jun 4, 08	202 000	96 289	19,450 40	109 450	22,108 90	2,658 50	LT
PRECISION CASTPARTS CORP								
Symbol PCP Exchange NYSE								
EAI $11 Current yield 0 06%	Mar 8, 12	92 000	170 380	15,675.01	189 420	17,426 64	1,751 63	ST
PRICE T ROWE GROUP INC								
Symbol TROW Exchange OTC								
EAI $405 Current yield 2 09%	Apr 27, 11	296 000	64 633	19,131 48	65 117	19,274 63	143 15	LT
	Jul 20, 12	2 000	62 120	124 24	65 117	130 23	5 99	ST
Security total		298 000	64 617	19,255 72		19,404 86	149 14	
QUALCOMM INC								
Symbol QCOM Exchange OTC								
EAI $518 Current yield 1 62%	Dec 5, 05	174 000	44 440	7,732 56	61 859	10,763 47	3,030 91	LT
	Apr 16, 10	155 000	42 407	6,573 15	61 859	9,588 14	3,014 99	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jul 20, 12	189 000	58.174	10,995 00	61 859	11,691 35	696 35	ST
Security total		518 000	48 843	25,300 71		32,042 96	6,742 25	
RED HAT INC								
Symbol RHT Exchange NYSE	Aug 1, 12	190 000	52.910	10,052 90	52 960	10,062 40	9.50	ST
	Oct 3, 12	190 000	56 058	10,651 02	52 960	10,062.40	-588 62	ST
Security total		380 000	54 484	20,703 92		20,124 80	-579 12	
ROSS STORES INC								
Symbol ROST Exchange OTC								
EAI $166 Current yield 1 04%	Sep 8, 09	296.000	23.135	6,848 11	54 090	16,010.64	9,162.53	LT
SCHLUMBERGER LTD NETHERLANDS ANTILLES								
Symbol SLB Exchange NYSE								
EAI $371 Current yield 1.59%	Mar 6, 08	194 000	87 934	17,059 22	69 298	13,443 81	-3,615 41	LT
	Nov 11, 10	143 000	73 698	10,538 94	69 298	9,909 61	-629 33	LT
Security total		337 000	81 894	27,598 16		23,353 42	-4,244.74	
STARBUCKS CORP								
Symbol SBUX Exchange OTC								
EAI $364 Current yield 1 57%	Sep 21, 12	208 000	51.238	10,657 52	53 630	11,155 04	497 52	ST
	Oct 17, 12	225 000	48 779	10,975 30	53 630	12,066 75	1,091 45	ST
Security total		433.000	49 960	21,632 82		23,221 79	1,588 97	
TRACTOR SUPPLY COMPANY								
Symbol TSCO Exchange OTC								
EAI. $116 Current yield 0.91%	Feb 6, 12	133 000	81 245	10,805 66	88 360	11,751 88	946 22	ST
	Jul 20, 12	12 000	78 900	946 80	88 360	1,060 32	113 52	ST
Security total		145 000	81 051	11,752 46		12,812 20	1,059 74	
UNTD TECHNOLOGIES CORP								
Symbol UTX Exchange NYSE								
EAI· $702 Current yield 2 61%	Dec 12, 07	95 000	77 155	7,329 77	82 010	7,790 95	461 18	LT
	May 13, 10	153 000	73 562	11,255 09	82 010	12,547 53	1,292 44	LT
	Nov 10, 11	80 000	77 245	6,179 60	82 010	6,560 80	381 20	LT
Security total		328 000	75 501	24,764 46		26,899 28	2,134 82	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
VISA INC CL A Symbol V Exchange NYSE EAI $304 Current yield 0 87%	Apr 16, 10	66 000	93 039	6,140 59	151 580	10,004 28	3,863 69	LT
	Nov 11, 10	164 000	78 382	12,854 75	151 580	24,859 12	12,004 37	LT
Security total		230 000	82 588	18,995 34		34,863 40	15,868 06	
WATERS CORP Symbol WAT Exchange NYSE	May 13, 09	141 000	44 072	6,214 29	87 120	12,283 92	6,069 63	LT
	Jul 20, 12	7 000	77 050	539 35	87 120	609 84	70 49	ST
Security total		148 000	45 633	6,753.64		12,893 76	6,140 12	
WELLS FARGO & CO NEW Symbol WFC Exchange NYSE EAI $476 Current yield 2 57%	Oct 30, 09	216 000	28 229	6,097 61	34 180	7,382 88	1,285 27	LT
	Feb 9, 11	325.000	33 007	10,727 47	34 180	11,108 50	381 03	LT
Security total		541 000	31 100	16,825.08		18,491 38	1,666 30	
Total				**$862,105.31**		**$1,028,792.79**	**$166,687.48**	

Total estimated annual income: $10,038

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**21,800.02**	**2.08%**	**21,800.02**		
Equities	**Common stock**	**1,028,792.79**	**97.92%**	**862,105.31**	**10,038.00**	**166,687.48**
Total		**$1,050,592.81**	**100.00%**	**$883,905.33**	**$10,038.00**	**$166,687.48**

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
Nov 30		**Cash and money balance**					**$43,281.45**
Dec 3	Dividend	ALTERA CORP PAID ON 542				54 20	
Dec 3	Dividend	GRAINGER W W INC PAID ON 99 AS OF 12/01/12				79 20	

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Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period
Cash	3,333 46	111 11				
UBS SELECT PRIME CAPITAL	42,221 46	59,711 43	1 00	0 09%	Nov 1 to Nov 30	30
Total	**$45,554.92**	**$59,822.54**				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AGEAS SPON ADR								
Symbol AGESY Exchange OTC	Apr 10, 12	75 000	19 998	1,499 85	30 250	2,268 75	768 90	ST
	Apr 23, 12	317 000	18 382	5,827 41	30 250	9,589.25	3,761 84	ST
Security total		392 000	18 692	7,327 26		11,858 00	4,530 74	
ALLIANZ SE ADR								
Symbol AZSEY Exchange OTC								
EAI $498 Current yield 3 10%	May 24, 10	432 000	10 013	4,325 92	13 820	5,970.24	1,644 32	LT
	Aug 9, 11	730 000	11 051	8,067.81	13 820	10,088 60	2,020 79	LT
Security total		1,162 000	10 666	12,393 73		16,058 84	3,665 11	
ALSTOM ADR								
Symbol ALSMY Exchange OTC								
EAI· $264 Current yield 1 65%	Aug 18, 11	2,224 000	4 398	9,782 93	3 990	8,873 76	-909.17	LT
	Oct 3, 11	880 000	3 182	2,800 25	3 990	3,511 20	710 95	LT
	Oct 2, 12	899 000	3 446	3,098 31	3 990	3,587 01	488 70	ST
Security total		4,003.000	3 917	15,681 49		15,971 97	290 48	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ALUMINA LTD SPON ADR								
Symbol AWC Exchange NYSE								
EAI $515 Current yield 6 17%	Dec 12, 07	704 000	22 569	15,889 21	3 860	2,717 44	-13,171 77	LT
	May 19, 10	745 000	5 533	4,122 31	3 860	2,875 70	-1,246 61	LT
	Sep 28, 11	715 000	5 610	4,011 22	3 860	2,759 90	-1,251 32	LT
Security total		2,164 000	11 101	24,022 74		8,353 04	-15,669 70	
ANGLOGOLD ASHANTI LTD NEW SPON ADR								
Symbol AU Exchange NYSE								
EAI $386 Current yield 1 64%	Jul 22, 08	515 000	24 614	12,676 52	31 370	16,155 55	3,479 03	LT
	Feb 8, 11	128 000	45 613	5,838 58	31 370	4,015 36	-1,823 22	LT
	Nov 14, 12	46 000	31 770	1,461 42	31 370	1,443 02	-18 40	ST
	Dec 14, 12	60 000	30 052	1,803.15	31 370	1,882 20	79 05	ST
Security total		749 000	29 078	21,779 67		23,496 13	1,716 46	
AXIS CAPITAL HOLDINGS LTD SHS								
Symbol AXS Exchange NYSE								
EAI $452 Current yield 2 89%	Oct 16, 09	201 000	30 209	6,072 01	34 640	6,962 64	890 63	LT
	Feb 4, 10	251 000	28 494	7,152 04	34 640	8,694 64	1,542 60	LT
Security total		452 000	29 257	13,224 05		15,657 28	2,433 23	
BANCO SANTANDER BRASIL ADS								
Symbol BSBR Exchange NYSE								
EAI $151 Current yield 1 40%	Oct 12, 12	737 000	7 320	5,394 84	7 280	5,365 36	-29 48	ST
	Oct 18, 12	383 000	7 380	2,826 54	7 280	2,788 24	-38 30	ST
	Nov 5, 12	257.000	6 937	1,782 81	7 280	1,870 96	88.15	ST
	Nov 6, 12	107 000	7 016	750 78	7 280	778 96	28 18	ST
Security total		1,484 000	7 247	10,754 97		10,803 52	48 55	
BARRICK GOLD CORP CAD								
Symbol ABX Exchange· NYSE								
EAI $798 Current yield 2.29%								
CAD Exchange rate 0 99570	Aug 18, 09	280 000	33 473	9,372 50	35 010	9,802 80	430 30	LT
	Dec 17, 09	200 000	38 482	7,696 40	35 010	7,002 00	-694 40	LT
	Jan 21, 11	243 000	47 269	11,486 59	35 010	8,507 43	-2,979 16	LT
	Sep 27, 11	17 000	48 529	825 00	35 010	595 17	-229 83	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jul 26, 12	53 000	31 540	1,671 67	35 010	1,855 53	183 86	ST
	Jul 27, 12	136 000	32 297	4,392 51	35 010	4,761 36	368 85	ST
	Dec 21, 12	68 000	33 548	2,281 32	35 010	2,380 68	99 36	ST
Security total		997.000	37 840	37,725 99		34,904 97	-2,821 02	
CAMECO CORP CANADA CAD								
Symbol CCJ Exchange NYSE								
EAI $688 Current yield 2 05%								
CAD Exchange rate 0.99570	Apr 7, 10	133 000	26 917	3,580 01	19.720	2,622 76	-957 25	LT
	May 25, 10	303 000	23 536	7,131 68	19 720	5,975 16	-1,156.52	LT
	Mar 15, 11	334 000	31 852	10,638 70	19 720	6,586 48	-4,052 22	LT
	Aug 8, 11	388 000	22 601	8,769.54	19 720	7,651 36	-1,118 18	LT
	Aug 26, 11	111 000	22 138	2,457 34	19 720	2,188 92	-268 42	LT
	Dec 20, 11	74.000	17 746	1,313 23	19 720	1,459 28	146 05	LT
	Nov 9, 12	363 000	17 300	6,280 15	19 720	7,158 36	878 21	ST
Security total		1,706 000	23 547	40,170 65		33,642 32	-6,528.33	
CARREFOUR SA SPON ADR								
Symbol CRRFY Exchange OTC	Oct 22, 09	234 000	9 158	2,143 14	5 150	1,205 10	-938 04	LT
	Oct 28, 09	935 000	8 800	8,228 75	5 150	4,815 25	-3,413 50	LT
	Dec 2, 10	598 000	8 663	5,180 83	5 150	3,079 70	-2,101 13	LT
	Oct 4, 11	1,279 000	4 475	5,724 29	5 150	6,586 85	862 56	LT
	Dec 21, 11	288 000	4 334	1,248 25	5 150	1,483 20	234 95	LT
	Jan 12, 12	900 000	4 219	3,797 82	5 150	4,635 00	837 18	ST
	Jul 25, 12	1,070 000	3 279	3,508 53	5 150	5,510 50	2,001 97	ST
Security total		5,304 000	5 624	29,831.61		27,315 60	-2,516 01	
CREDIT SUISSE GROUP SPON ADR								
Symbol CS Exchange NYSE								
EAI $754 Current yield 3 19%	Jul 19, 12	743 000	17 769	13,203 04	24 560	18,248 08	5,045 04	ST
	Jul 31, 12	219 000	17 054	3,734.83	24 560	5,378 64	1,643 81	ST
Security total		962 000	17 607	16,937 87		23,626 72	6,688 85	

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
DAI NIPPON PRINTING CO SPON ADR								
Symbol DNPLY Exchange OTC								
EAI $793 Current yield 4 16%	Dec 12, 07	1,360 000	15 715	21,372 94	7 760	10,553 60	-10,819 34	LT
	Dec 10, 09	1,095 000	13 281	14,543 02	7 760	8,497 20	-6,045 82	LT
Security total		2,455 000	14 630	35,915 96		19,050 80	-16,865 16	
DAIICHI SANKYO CO LTD SPON ADR								
Symbol DSNKY Exchange OTC								
EAI $465 Current yield 4 21%	Apr 12, 11	268 000	18 560	4,974 19	15 240	4,084 32	-889 87	LT
	Apr 21, 11	319 000	19 494	6,218 75	15 240	4,861 56	-1,357.19	LT
	Apr 25, 11	138 000	19 062	2,630 58	15 240	2,103 12	-527 46	LT
Security total		725 000	19 067	13,823 52		11,049.00	-2,774 52	
EAST JAPAN RAILWAY CO ADR								
Symbol EJPRY Exchange OTC								
EAI $198 Current yield 1 80%	Feb 24, 11	271 000	11 568	3,135 17	10 740	2,910 54	-224 63	LT
	Mar 2, 11	751 000	11 659	8,756 58	10 740	8,065 74	-690 84	LT
Security total		1,022 000	11 636	11,891 75		10,976 28	-915 47	
ELECTRICITE DE FRANCE ADR								
Symbol ECIFY Exchange OTC								
EAI $722 Current yield 5 61%	Mar 23, 10	1,036 000	10 349	10,721.67	3 710	3,843 56	-6,878 11	LT
	Nov 10, 10	938 000	8.848	8,299 52	3 710	3,479 98	-4,819 54	LT
	Nov 11, 10	344 000	8 859	3,047 63	3 710	1,276 24	-1,771.39	LT
	Apr 7, 11	343 000	7 868	2,698 76	3 710	1,272 53	-1,426 23	LT
	Dec 16, 11	692 000	4 658	3,223 68	3 710	2,567 32	-656 36	LT
	Dec 19, 11	116 000	4 666	541 30	3 710	430 36	-110 94	LT
Security total		3,469 000	8 225	28,532 56		12,869 99	-15,662 57	
ERICSSON SEK 10 NEW 2002 ADR								
Symbol ERIC Exchange OTC								
EAI $520 Current yield 2.40%	Feb 7, 12	389 000	9 596	3,733 19	10 100	3,928 90	195 71	ST
	Feb 8, 12	237 000	9 618	2,279 66	10 100	2,393 70	114.04	ST
	Feb 10, 12	650 000	9 497	6,173.25	10 100	6,565 00	391 75	ST
	Sep 7, 12	183 000	9 165	1,677 34	10 100	1,848 30	170 96	ST
	Sep 21, 12	280 000	9 560	2,676 86	10 100	2,828 00	151 14	ST

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UBS ACCESS
December 2012

Account name: HARDEN FOUNDATION
Account number: ML 09276 EF

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Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Oct 26, 12	402 000	8 653	3,478 75	10.100	4,060 20	581.45	ST
Security total		2,141 000	9 350	20,019 05		21,624.10	1,605 05	
FINMECCANICA SPA ADR								
Symbol FINMY Exchange OTC	May 19, 10	260 000	5 624	1,462 40	2 850	741 00	-721 40	LT
	Nov 26, 10	2,880 000	5 858	16,871 33	2 850	8,208 00	-8,663 33	LT
	Aug 5, 11	3,500 000	3 462	12,119 10	2 850	9,975 00	-2,144 10	LT
	Jun 5, 12	1,950 000	1 658	3,234 47	2 850	5,557 50	2,323 03	ST
Security total		8,590 000	3 922	33,687 30		24,481 50	-9,205.80	
FUJI FILM HOLDINGS CORP ADR								
Symbol FUJIY Exchange OTC								
EAI $347 Current yield 1 81%	May 10, 06	9 000	35 201	316 81	20.030	180 27	-136 54	LT
	Dec 11, 07	680 000	45 148	30,700 91	20 030	13,620.40	-17,080 51	LT
	Mar 5, 08	267 000	36 716	9,803 28	20 030	5,348 01	-4,455 27	LT
Security total		956 000	42 700	40,821 00		19,148 68	-21,672 32	
GLAXO SMITHKLINE PLC ADR								
Symbol GSK Exchange NYSE								
EAI $1,259 Current yield 5 33%	Jan 14, 10	11.000	41 952	461 48	43 470	478 17	16.69	LT
	Apr 22, 10	256 000	39.029	9,991 60	43 470	11,128 32	1,136 72	LT
	Feb 7, 11	276 000	38 930	10,744 71	43.470	11,997 72	1,253 01	LT
Security total		543.000	39.038	21,197 79		23,604 21	2,406 42	
GOLD FIELDS LTD SPON ADR								
Symbol GFI Exchange NYSE								
EAI $764 Current yield 3 96%	Apr 8, 08	109 000	13 869	1,511 81	12.490	1,361 41	-150 40	LT
	May 22, 08	905 000	14 193	12,844 76	12 490	11,303 45	-1,541 31	LT
	Feb 4, 10	530 000	11 073	5,868 96	12 490	6,619 70	750 74	LT
Security total		1,544 000	13 099	20,225 53		19,284 56	-940.97	
HOME RETAIL GROUP PLC SPON ADR								
Symbol HMRTY Exchange OTC								
EAI $60 Current yield 0 59%	Jun 9, 11	266.000	11 854	3,153 27	8 210	2,183 86	-969 41	LT
	Sep 6, 11	446 000	7 569	3,376 09	8 210	3,661 66	285 57	LT
	Dec 29, 11	96 000	11 692	1,122 50	8 210	788 16	-334 34	LT
	Jan 12, 12	245 000	5 159	1,264 13	8 210	2,011 45	747 32	ST

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jan 12, 12	180 000	11 546	2,078 39	8 210	1,477 80	-600 59	LT
Security total		1,233 000	8 917	10,994 38		10,122 93	-871 45	
IMPALA PLATINUM HLDG LTD SPON ADR Symbol IMPUY Exchange OTC EAI $107 Current yield 1 08%	Mar 12, 12	281 000	20 799	5,844 52	19 950	5,605 95	-238 57	ST
	Aug 23, 12	216 000	16 388	3,539 83	19 950	4,309 20	769 37	ST
Security total		497.000	18 882	9,384 35		9,915 15	530 80	
ING GROEP N V NL SPON ADR Symbol ING Exchange NYSE	May 9, 12	494 000	6 590	3,255 46	9 490	4,688 06	1,432.60	ST
	Jul 20, 12	767 000	6 268	4,808 02	9 490	7,278 83	2,470 81	ST
Security total		1,261 000	6 395	8,063 48		11,966 89	3,903 41	
KB FINL GROUP INC SPON ADR Symbol KB Exchange NYSE EAI $68 Current yield 1 32%	Dec 20, 12	143 000	35 207	5,034 72	35 900	5,133 70	98 98	ST
KINROSS GOLD CORP NEW CAD Symbol KGC Exchange· NYSE EAI $382 Current yield 1 65% CAD Exchange rate 0.99570	Oct 30, 09	43 000	18 263	785.34	9 720	417 96	-367 38	LT
	Feb 3, 10	148 000	17 382	2,572 57	9 720	1,438 56	-1,134 01	LT
	Aug 18, 10	337 000	15 459	5,209 89	9 720	3,275 64	-1,934 25	LT
	Jan 21, 11	361 000	17 165	6,196 71	9 720	3,508 92	-2,687.79	LT
	Feb 25, 11	220 000	15 945	3,508 08	9 720	2,138 40	-1,369 68	LT
	Sep 27, 11	101 000	15 374	1,552 83	9 720	981 72	-571 11	LT
	Nov 23, 11	178.000	13 011	2,316.08	9.720	1,730.16	-585 92	LT
	Jan 17, 12	580.000	10 504	6,092 67	9 720	5,637 60	-455 07	ST
	May 21, 12	126 000	8 085	1,018 71	9 720	1,224 72	206 01	ST
	Nov 7, 12	292 000	9 380	2,738 96	9 720	2,838 24	99 28	ST
Security total		2,386 000	13 408	31,991.84		23,191 92	-8,799 92	
KOREA ELECTRIC POWER CRP SPONSORED ADR SHS Symbol KEP Exchange NYSE	Mar 17, 08	24 000	14 001	336 03	13 970	335 28	-0 75	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
MANPOWER INC WIS								
Symbol MAN Exchange NYSE								
EAI $261 Current yield 2 03%	Jul 20, 12	144 000	34 237	4,930 26	42 440	6,111 36	1,181 10	ST
	Jul 24, 12	159 000	32 461	5,161 35	42 440	6,747 96	1,586 61	ST
Security total		303 000	33 306	10,091 61		12,859 32	2,767 71	
MARINE HARVEST ASA ADR								
Symbol MNHVY Exchange OTC	Sep 9, 11	114 000	10 901	1,242 76	18 300	2,086 20	843 44	LT
	Sep 12, 11	451 000	10 517	4,743.53	18 300	8,253 30	3,509 77	LT
Security total		565 000	10 595	5,986 29		10,339.50	4,353.21	
MOSAIC CO								
Symbol MOS Exchange NYSE								
EAI $98 Current yield 1 77%	Nov 14, 12	98 000	49 163	4,818 02	56 630	5,549 74	731 72	ST
MS&AD INS GROUP HLDGS ADR								
Symbol MSADY Exchange OTC								
EAI $815 Current yield 2 68%	Dec 14, 07	864 000	16 750	14,472 00	9 900	8,553.60	-5,918 40	LT
	Aug 4, 10	360 000	11 374	4,094 86	9 900	3,564 00	-530 86	LT
	Aug 12, 10	670 000	11 063	7,412 68	9 900	6,633 00	-779 68	LT
	Apr 18, 11	300 000	11 184	3,355 23	9 900	2,970 00	-385 23	LT
	Apr 19, 11	640 000	11 132	7,124 99	9 900	6,336 00	-788 99	LT
	Jul 20, 12	241 000	7 870	1,896 67	9 900	2,385.90	489 23	ST
Security total		3,075 000	12 474	38,356 43		30,442 50	-7,913 93	
NEWCREST MINING LTD SPON								
AUSTRALIA ADR								
Symbol NCMGY Exchange OTC								
EAI $282 Current yield 1 28%	Aug 13, 08	5 000	21 132	105 66	23 610	118 05	12 39	LT
	Aug 11, 09	314 000	24 820	7,793 64	23 610	7,413 54	-380 10	LT
	May 28, 10	196 000	27 367	5,364 09	23 610	4,627 56	-736 53	LT
	Dec 19, 11	210 000	31 034	6,517 16	23 610	4,958 10	-1,559 06	LT
	Apr 26, 12	68 000	27 037	1,838 52	23 610	1,605 48	-233 04	ST
	Jul 20, 12	137 000	22 500	3,082 50	23 610	3,234 57	152 07	ST
Security total		930 000	26 561	24,701 57		21,957 30	-2,744 27	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
NINTENDO LTD ADR NEW JAPAN ADR Symbol NTDOY Exchange OTC EAI $115 Current yield 0 97%	Aug 20, 09	106 000	32 369	3,431 14	13 310	1,410 86	-2,020 28	LT
	Aug 28, 09	257 000	33 279	8,552 86	13 310	3,420 67	-5,132 19	LT
	Oct 4, 10	222 000	31 723	7,042 64	13 310	2,954 82	-4,087 82	LT
	Aug 1, 11	303 000	19 811	6,002.85	13 310	4,032 93	-1,969 92	LT
Security total		888 000	28 186	25,029 49		11,819 28	-13,210 21	
NIPPON TELEG & TEL CORP SPON ADR Symbol NTT Exchange NYSE EAI $1,176 Current yield 4 06%	Dec 11, 07	716 000	23 808	17,046 88	21 030	15,057 48	-1,989 40	LT
	Apr 14, 09	355 000	19 341	6,866 30	21 030	7,465 65	599 35	LT
	Dec 15, 10	308 000	22 744	7,005 31	21 030	6,477 24	-528 07	LT
Security total		1,379 000	22 421	30,918 49		29,000 37	-1,918 12	
OAO GAZPROM LEVEL 1 ADR PROGRAM (RUSSIA) SPON ADR Symbol OGZPY Exchange OTC EAI $1,069 Current yield 4 68%	Oct 15, 10	393 000	10 721	4,213 57	9 730	3,823 89	-389 68	LT
	Aug 11, 11	835 000	10 765	8,989 11	9 730	8,124 55	-864 56	LT
	Sep 29, 11	695 000	9 715	6,751 93	9 730	6,762.35	10 42	LT
	May 23, 12	427 000	8 978	3,833 95	9 730	4,154 71	320 76	ST
Security total		2,350 000	10 123	23,788 56		22,865 50	-923 06	
ORKLA ASA A SHS SPON ADR Symbol· ORKLY Exchange· OTC EAI $248 Current yield 3 94%	Aug 28, 12	720 000	7 430	5,349 67	8.750	6,300 00	950.33	ST
PANASONIC CORP SPON ADR Symbol PC Exchange NYSE EAI $137 Current yield 0 91%	Aug 17, 07	186 000	17 693	3,290 90	6.070	1,129 02	-2,161 88	LT
	Sep 12, 07	306 000	17 499	5,354 94	6 070	1,857 42	-3,497 52	LT
	Dec 11, 07	620 000	20 935	12,979 89	6 070	3,763 40	-9,216 49	LT
	Sep 27, 10	402 000	13 769	5,535 42	6 070	2,440 14	-3,095 28	LT
	Mar 17, 11	305 000	11 842	3,611 84	6 070	1,851 35	-1,760 49	LT
	Sep 25, 12	668 000	6 859	4,582 15	6 070	4,054 76	-527 39	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		2,487 000	14 216	35,355 14		15,096 09	-20,259 05	
POLYUS GOLD INTL LTD SPON GDR								
Symbol· PLZLY Exchange OTC								
EAI $136 Current yield 1.13%	Jun 16, 11	1,207 728	4 056	4,898 72	3 280	3,961 35	-937 37	LT
	Jun 17, 11	428 272	4 057	1,737 65	3 280	1,404 73	-332.92	LT
	Aug 3, 11	476 000	3 597	1,712 60	3 280	1,561 28	-151 32	LT
	Aug 3, 11	272 000	3 597	978 63	3.280	892 16	-86 47	LT
	Aug 12, 11	1,290 000	3 356	4,330 27	3 280	4,231 20	-99 07	LT
Security total		3,674 000	3 717	13,657 87		12,050 72	-1,607 15	
ROHM CO LTD ADR								
Symbol ROHCY Exchange OTC								
EAI $168 Current yield 1 39%	Jan 16, 09	59 000	24 855	1,466 47	16 340	964 06	-502 41	LT
	Feb 5, 09	220 000	25 161	5,535 55	16 340	3,594 80	-1,940 75	LT
	Oct 29, 10	459 000	31 133	14,290 23	16 340	7,500 06	-6,790 17	LT
Security total		738.000	28 851	21,292 25		12,058 92	-9,233 33	
SANOFI SPON ADR								
Symbol SNY Exchange NYSE								
EAI $797 Current yield 3 02%	May 21, 08	180 000	37 339	6,721 02	47 380	8,528 40	1,807 38	LT
	Aug 1, 08	100 000	35 348	3,534 85	47 380	4,738 00	1,203 15	LT
	Jul 1, 09	277 000	30 196	8,364 35	47.380	13,124 26	4,759 91	LT
Security total		557 000	33 429	18,620 22		26,390 66	7,770 44	
SEKISUI HOUSE LTD SPON ADR								
Symbol· SKHSY Exchange OTC								
EAI $375 Current yield· 2 04%	Dec 12, 07	555 000	12 290	6,821 17	10 870	6,032 85	-788 32	LT
	Mar 10, 08	1,140 000	8 930	10,180 31	10 870	12,391 80	2,211 49	LT
Security total		1,695 000	10 030	17,001 48		18,424 65	1,423 17	
SEVEN & I HLDGS CO LTD ADR								
Symbol SVNDY Exchange OTC								
EAI $440 Current yield 2 54%	Jun 5, 09	104 000	47 305	4,919 81	56 350	5,860 40	940 59	LT
	Aug 19, 09	203 000	47 304	9,602 85	56 350	11,439 05	1,836 20	LT
Security total		307 000	47 305	14,522 66		17,299 45	2,776 79	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
SHISEIDO CO LTD SPONS ADR JAPAN Symbol SSDOY Exchange OTC EAI $762 Current yield· 3 75%	Dec 18, 08	207 000	21 106	4,369 09	14 070	2,912 49	-1,456 60	LT
	Apr 1, 09	420 000	14 579	6,123 18	14 070	5,909 40	-213 78	LT
	May 24, 11	639 000	16 533	10,565 10	14 070	8,990 73	-1,574 37	LT
	Aug 2, 12	179 000	14 325	2,564 21	14 070	2,518 53	-45 68	ST
Security total		1,445 000	16 347	23,621 58		20,331.15	-3,290 43	
SIEMENS A G SPON ADR Symbol SI Exchange NYSE EAI $418 Current yield 2.62%	Oct 24, 08	117 000	50 371	5,893 45	109 470	12,807 99	6,914 54	LT
	Sep 27, 11	29 000	94 713	2,746 69	109 470	3,174 63	427 94	LT
Security total		146 000	59 179	8,640 14		15,982.62	7,342 48	
SK TELECOM CO LTD ADR Symbol SKM Exchange NYSE EAI $1,077 Current yield 4 26%	Apr 14, 09	33 000	16 273	537 02	15 830	522 39	-14 63	LT
	May 21, 09	1,025 000	15 875	16,272 29	15 830	16,225.75	-46 54	LT
	Aug 20, 09	251 000	15 794	3,964 37	15 830	3,973 33	8 96	LT
	Feb 28, 11	287 000	17 566	5,041 50	15 830	4,543 21	-498.29	LT
Security total		1,596 000	16 175	25,815 18		25,264 68	-550 50	
SUMITOMO MITSUI TRUST HOLDINGS SPON ADR Symbol SUTNY Exchange OTC EAI $552 Current yield 2 49%	Apr 1, 11	5,311 000	3 499	18,588 03	3 450	18,322 95	-265 08	LT
	Sep 12, 12	439 000	2.910	1,277 71	3 450	1,514 55	236 84	ST
	Sep 24, 12	666 000	3 072	2,046 02	3 450	2,297 70	251 68	ST
Security total		6,416 000	3 415	21,911.76		22,135 20	223 44	
SWISSCOM AG SPON ADR Symbol SCMWY Exchange OTC EAI $959 Current yield 4 59%	Dec 12, 07	483 000	39 000	18,837 00	43.250	20,889 75	2,052 75	LT
TALISMAN ENERGY INC CAD Symbol TLM Exchange NYSE EAI $444 Current yield 2 38% CAD Exchange rate 0 99570	Oct 20, 11	885 000	13 504	11,951 57	11 330	10,027 05	-1,924 52	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Jan 19, 12	179 000	11 777	2,108 23	11 330	2,028 07	-80 16	ST
	Jan 20, 12	187 000	11 891	2,223 79	11 330	2,118 71	-105.08	ST
	Oct 31, 12	46 000	11 105	510 83	11 330	521 18	10 35	ST
	Nov 5, 12	104 000	11 139	1,158 54	11 330	1,178 32	19 78	ST
	Nov 7, 12	245.000	10 847	2,657 66	11 330	2,775 85	118 19	ST
Security total		1,646 000	12 522	20,610 62		18,649 18	-1,961.44	
TELECOM ITALIA SPA NEW REPSTG 10 SAVINGS SHS SPON ADR Symbol TI A Exchange NYSE EAI $1,551 Current yield 6.86%	Dec 7, 05	682.000	25 122	17,133 55	7 900	5,387 80	-11,745 75	LT
	May 11, 06	165 000	26.804	4,422 78	7 900	1,303 50	-3,119 28	LT
	Dec 12, 07	840 000	25 250	21,210 00	7 900	6,636 00	-14,574 00	LT
	May 5, 08	1,175 000	16 616	19,523 92	7 900	9,282 50	-10,241 42	LT
Security total		2,862 000	21 765	62,290 25		22,609 80	-39,680 45	
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR Symbol TEVA Exchange OTC EAI $460 Current yield 2 15%	Sep 10, 12	265 000	40 740	10,796 21	37 340	9,895 10	-901 11	ST
	Sep 18, 12	85 000	39 895	3,391 08	37 340	3,173 90	-217 18	ST
	Sep 20, 12	69 000	40 083	2,765 76	37 340	2,576 46	-189 30	ST
	Sep 24, 12	68 000	40 109	2,727 45	37 340	2,539 12	-188 33	ST
	Oct 10, 12	57 000	39 625	2,258 64	37 340	2,128 38	-130 26	ST
	Dec 12, 12	28 000	39 225	1,098 30	37.340	1,045 52	-52 78	ST
Security total		572 000	40.275	23,037 44		21,358 48	-1,678 96	
TOYOTA MOTOR CORP NEW JAPAN SPON ADR Symbol TM Exchange NYSE EAI $292 Current yield 1 48%	Sep 9, 10	27 000	69 916	1,887 74	93 250	2,517 75	630 01	LT
	Sep 10, 10	53 000	70 607	3,742 18	93 250	4,942 25	1,200 07	LT
	Oct 20, 10	48 000	71 646	3,439 02	93 250	4,476 00	1,036 98	LT
	Oct 21, 10	84 000	71 580	6,012.72	93 250	7,833 00	1,820 28	LT
Security total		212 000	71 140	15,081 66		19,769 00	4,687 34	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
VIVENDI SA ADR								
Symbol VIVHY Exchange OTC								
EAI $568 Current yield 4 70%	Mar 8, 12	197 000	18 535	3,651 46	22 810	4,493 57	842 11	ST
	Apr 10, 12	333 000	17 179	5,720 74	22 810	7,595 73	1,874.99	ST
Security total		530 000	17 683	9,372 20		12,089 30	2,717 10	
VODAFONE GROUP PLC NEW SPON ADR								
Symbol VOD Exchange NYSE								
EAI $1,517 Current yield 5 96%	Nov 10, 08	852 000	16 724	14,249 02	25 190	21,461 88	7,212 86	LT
	Dec 20, 12	159 000	25 431	4,043 53	25 190	4,005 21	-38 32	ST
Security total		1,011 000	18 094	18,292 55		25,467 09	7,174 54	
WACOAL HOLDINGS CORP ADR								
Symbol WACLY Exchange OTC								
EAI $445 Current yield 3 15%	Jan 18, 06	47 000	68 907	3,238.63	51.680	2,428 96	-809 67	LT
	May 14, 07	56 000	63 835	3,574 80	51 680	2,894 08	-680 72	LT
	Jul 19, 07	1 000	61 630	61 63	51.680	51 68	-9 95	LT
	Jul 20, 07	2 000	61 480	122 96	51 680	103 36	-19 60	LT
	Nov 15, 07	10 000	58 905	589 05	51 680	516 80	-72 25	LT
	Nov 16, 07	9 000	60 545	544 91	51 680	465 12	-79 79	LT
	Jan 21, 11	148 000	68 592	10,151 70	51 680	7,648 64	-2,503 06	LT
Security total		273 000	66 973	18,283 68		14,108 64	-4,175 04	
WEATHERFORD INTL LTD CHF								
Symbol WFT Exchange NYSE								
CHF Exchange rate 0.91535	Aug 23, 12	846.000	12 736	10,775 08	11 190	9,466 74	-1,308 34	ST
	Sep 25, 12	250 000	12 629	3,157 43	11 190	2,797 50	-359 93	ST
	Nov 13, 12	192 000	9 363	1,797 83	11 190	2,148 48	350 65	ST
Security total		1,288 000	12 213	15,730 34		14,412 72	-1,317.62	
WOLTERS KLUWER NV SPONSORED ADR NETHERLANDS ADR								
Symbol WTKWY Exchange OTC								
EAI $779 Current yield 3.57%	Apr 29, 09	16 000	16 615	265 84	20 670	330 72	64 88	LT
	Jun 4, 09	353 000	19 413	6,852 82	20 670	7,296 51	443 69	LT
	Jun 15, 09	500 000	16 938	8,469.10	20 670	10,335 00	1,865 90	LT

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Your assets › Equities › Common stock (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
	Aug 8, 11	186 000	18 105	3,367 60	20.670	3,844 62	477.02	LT
Security total		1,055 000	17 967	18,955 36		21,806 85	2,851 49	
Total				**$1,111,738.80**		**$971,771.84**	**-$139,966.96**	

Total estimated annual income: $26,132

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**59,822.54**	**5.80%**	**59,822.54**		
Equities	**Common stock**	**971,771.84**	**94.20%**	**1,111,738.80**	**26,132.00**	**-139,966.96**
Total		**$1,031,594.38**	**100.00%**	**$1,171,561.34**	**$26,132.00**	**-$139,966.96**

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
Nov 30		**Cash and money balance**					**$45,554.92**
Dec 3	Sold	HACHIJUNI BANK LTD ADR UNSOLICITED Other fees and charges $ 11 Average Priced Trade ▸ SYMBOL HACBY ▸ CUSIP NO 404508202 ▸ Location of Execution 09 ▸ Capacity Agent		-90 000	51 331299	4,619 71	
Dec 3	Foreign Tax Withheld	TEVA PHARMACEUTICALS IND LTD ISRAEL ADR CUSIP 881624209				-28 74	
Dec 3	Foreign Dividend	TEVA PHARMACEUTICALS IND LTD ISRAEL ADR PAID ON 544 CUSIP 881624209				138 85	
Dec 3	Dividend	UBS SELECT PRIME CAPITAL FUND				3 57	50,288 31
Dec 4	Sold	HACHIJUNI BANK LTD ADR UNSOLICITED Other fees and charges $ 02 Average Priced Trade ▸ SYMBOL HACBY ▸ CUSIP NO 404508202 ▸ Location of Execution 09 ▸ Capacity Agent		-11 000	51 382899	565 19	50,853 50

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Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period
UBS SELECT PRIME CAPITAL	599,408.22	583,599 74	1 00	0 09%	Nov 1 to Nov 30	30

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
AMAZON COM INC								
Symbol AMZN Exchange OTC	Mar 5, 12	1,000 000	180 540	180,540 00	250 870	250,870 00	70,330 00	ST
	Jul 16, 12	255 000	216 820	55,289 10	250 870	63,971 85	8,682 75	ST
Security total		1,255 000	187 912	235,829 10		314,841 85	79,012 75	
AMER EXPRESS CO								
Symbol AXP Exchange NYSE								
EAI $3,688 Current yield 1 39%	Sep 17, 12	3,736 000	59 310	221,583 28	57.480	214,745 28	-6,838 00	ST
	Oct 17, 12	874 000	59 278	51,809 76	57.480	50,237 52	-1,572 24	ST
Security total		4,610 000	59 304	273,393 04		264,982 80	-8,410 24	
APPLE INC								
Symbol AAPL Exchange OTC								
EAI $9,180 Current yield 1 99%	Apr 10, 12	501 000	629 205	315,232 01	532 172	266,618 17	-48,613 84	ST
	Apr 24, 12	124 000	559 439	69,370 47	532 172	65,989 33	-3,381 14	ST
	Jul 16, 12	98 000	608 210	59,604 58	532 172	52,152 86	-7,451 72	ST
	Dec 10, 12	143 000	529 504	75,719 10	532 172	76,100 60	381 50	ST
Security total		866 000	600 377	519,926 16		460,860 95	-59,065 20	
BIOGEN IDEC INC								
Symbol BIIB Exchange OTC	Nov 17, 11	1,240 000	109 160	135,359 52	146 370	181,498 80	46,139 28	LT
	Dec 6, 11	825 000	112 160	92,532 33	146 370	120,755 25	28,222 92	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
Security total		2,065 000	110 359	227,891 85		302,254 05	74,362.20	
CELGENE CORP								
Symbol CELG Exchange OTC	Sep 25, 08	824 000	64 649	53,271 19	78 470	64,659 28	11,388.09	LT
	Oct 6, 08	720 000	56 208	40,469 76	78 470	56,498.40	16,028 64	LT
	Oct 17, 08	337 000	56 997	19,207 99	78 470	26,444 39	7,236 40	LT
	Mar 24, 09	500 000	46 837	23,418 50	78 470	39,235 00	15,816.50	LT
	Apr 1, 09	925 000	37.208	34,417 40	78 470	72,584 75	38,167 35	LT
	Sep 17, 09	1,177 000	52 899	62,263 06	78 470	92,359 19	30,096 13	LT
Security total		4,483 000	51 985	233,047 90		351,781 01	118,733 11	
CERNER CORP								
Symbol CERN Exchange OTC	Oct 28, 11	2,588 000	64 466	166,840 08	77 510	200,595 88	33,755 80	LT
	Nov 2, 12	82 000	77 971	6,393 69	77 510	6,355 82	-37.87	ST
Security total		2,670 000	64 882	173,233 77		206,951 70	33,717.93	
CHEVRON CORP								
Symbol. CVX Exchange NYSE								
EAI $7,495 Current yield 3 33%	Sep 29, 10	1,582 000	81 248	128,535 13	108 140	171,077 48	42,542.35	LT
	Nov 2, 10	500 000	82.168	41,084 40	108.140	54,070 00	12,985 60	LT
Security total		2,082 000	81 470	169,619 53		225,147 48	55,527 95	
CITRIX SYSTEMS INC								
Symbol CTXS Exchange OTC	Jan 25, 12	2,224 000	67 611	150,368 42	65 620	145,938 88	-4,429 54	ST
	Jul 26, 12	736 000	74 426	54,777 83	65 620	48,296 32	-6,481 51	ST
Security total		2,960 000	69 306	205,146 25		194,235 20	-10,911 05	
COCA COLA CO COM								
Symbol KO Exchange NYSE								
EAI $8,331 Current yield 2 81%	Aug 30, 11	4,800 000	34 974	167,877 36	36 250	174,000 00	6,122 64	LT
	Oct 11, 11	3,200 000	33 449	107,038 88	36 250	116,000 00	8,961.12	LT
	Nov 2, 12	168 000	37 186	6,247 32	36 250	6,090.00	-157 32	ST
Security total		8,168 000	34 423	281,163 56		296,090 00	14,926 44	
COLGATE PALMOLIVE CO								
Symbol CL Exchange NYSE								
EAI $6,014 Current yield 2 37%	Aug 23, 11	1,380 000	85 931	118,585 47	104 540	144,265 20	25,679 73	LT
	Sep 30, 11	1,045 000	89 359	93,380 47	104 540	109,244 30	15,863 83	LT
Security total		2,425 000	87 409	211,965 94		253,509 50	41,543 56	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
CONOCOPHILLIPS								
Symbol COP Exchange NYSE								
EAI $8,440 Current yield 4 55%	Aug 23, 11	1,897 000	49 774	94,421 29	57 990	110,007 03	15,585 74	LT
	Aug 24, 11	1,300 000	50 728	65,947 20	57 990	75,387 00	9,439 80	LT
Security total		3,197 000	50 162	160,368 49		185,394 03	25,025.54	
COSTCO WHOLESALE CORP								
Symbol COST Exchange OTC								
EAI $2,781 Current yield 1 11%	Feb 5, 09	328 000	43 183	14,164 22	98 730	32,383 44	18,219 22	LT
	Mar 11, 09	1,464 000	39 529	57,871 77	98 730	144,540 72	86,668 95	LT
	Dec 18, 09	736 000	58.387	42,972 91	98 730	72,665 28	29,692 37	LT
Security total		2,528 000	45 494	115,008 90		249,589 44	134,580 54	
DEERE AND CO								
Symbol DE Exchange NYSE								
EAI $6,584 Current yield 2 13%	Jun 15, 11	318 000	81 857	26,030 78	86 420	27,481 56	1,450 78	LT
	Jun 17, 11	500 000	79 147	39,573 50	86 420	43,210 00	3,636 50	LT
	Jul 1, 11	450 000	84 226	37,901 70	86 420	38,889 00	987 30	LT
	Nov 30, 11	1,600 000	78 724	125,959 36	86 420	138,272 00	12,312 64	LT
	Dec 10, 12	710 000	85 705	60,850 83	86 420	61,358 20	507 37	ST
Security total		3,578 000	81 139	290,316 17		309,210 76	18,894 59	
EMC CORP MASS								
Symbol EMC Exchange NYSE	Mar 19, 08	260 000	14 550	3,783 00	25 300	6,578 00	2,795 00	LT
	Apr 30, 08	1,490.000	15 770	23,497 30	25 300	37,697 00	14,199.70	LT
	Mar 16, 09	2,700 000	10 567	28,533 33	25 300	68,310 00	39,776 67	LT
	Mar 19, 09	2,370 000	11 437	27,107 59	25 300	59,961 00	32,853 41	LT
	May 4, 09	1,600 000	12 786	20,457.60	25 300	40,480 00	20,022 40	LT
Security total		8,420 000	12 278	103,378 82		213,026 00	109,647 18	
EMERSON ELECTRIC CO								
Symbol EMR Exchange NYSE								
EAI $8,874 Current yield 3 10%	Dec 14, 12	3,510 000	52 060	182,731 30	52 960	185,889 60	3,158 30	ST
	Dec 18, 12	1,901 000	53 140	101,019 33	52 960	100,676 96	-342 37	ST
Security total		5,411 000	52 440	283,750.63		286,566 56	2,815 93	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
EXPRESS SCRIPTS HLDG CO								
Symbol ESRX Exchange OTC	Jun 7, 12	3,336 000	53 248	177,636 33	54 000	180,144.00	2,507 67	ST
	Jul 17, 12	1,731 000	57 800	100,051 97	54 000	93,474.00	-6,577 97	ST
	Nov 6, 12	796 000	55 843	44,451 03	54 000	42,984 00	-1,467 03	ST
Security total		5,863 000	54 944	322,139 33		316,602 00	-5,537 33	
EXXON MOBIL CORP								
Symbol XOM Exchange NYSE								
EAI $7,239 Current yield 2 63%	Nov 30, 05	300 000	58 510	17,553 00	86 550	25,965 00	8,412 00	LT
	Oct 6, 06	400 000	67 610	27,044 00	86 550	34,620 00	7,576 00	LT
	May 7, 08	300 000	89 770	26,931 00	86 550	25,965 00	-966.00	LT
	Apr 29, 09	400 000	68 204	27,281 84	86 550	34,620.00	7,338 16	LT
	Oct 12, 09	700 000	70 248	49,173 60	86 550	60,585 00	11,411 40	LT
	Oct 16, 09	300 000	72 456	21,736 83	86 550	25,965 00	4,228 17	LT
	Mar 5, 10	500 000	66 377	33,188 50	86.550	43,275 00	10,086 50	LT
	Jul 13, 10	275 000	59 498	16,362 14	86 550	23,801 25	7,439 11	LT
Security total		3,175 000	69 062	219,270 91		274,796 25	55,525 34	
FASTENAL CO								
Symbol FAST Exchange OTC								
EAI $6,150 Current yield 1 80%	Oct 12, 09	324 000	19 852	6,432 37	46 650	15,114 60	8,682 23	LT
	Oct 15, 09	1,200 000	19 742	23,691 12	46 650	55,980 00	32,288 88	LT
	Dec 15, 10	2,000 000	29 828	59,657 70	46 650	93,300 00	33,642 30	LT
	Mar 24, 11	176 000	31 451	5,535 52	46 650	8,210.40	2,674 88	LT
	Jun 7, 12	2,190 000	40 220	88,081 80	46 650	102,163 50	14,081 70	ST
	Dec 10, 12	1,432 000	41 948	60,069.97	46 650	66,802 80	6,732 83	ST
Security total		7,322 000	33 252	243,468 48		341,571 30	98,102 82	
GILEAD SCIENCES INC								
Symbol GILD Exchange OTC	Nov 8, 10	2,333 000	38 705	90,299 70	73 450	171,358 85	81,059.15	LT
	Dec 17, 10	1,615 000	37 248	60,156 33	73 450	118,621 75	58,465 42	LT
	Jul 26, 12	800 000	51 909	41,527 52	73 450	58,760.00	17,232 48	ST
	Jul 26, 12	60 000	51 909	3,114 56	73 450	4,407.00	1,292.44	ST
Security total		4,808 000	40 578	195,098 11		353,147 60	158,049 49	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
GOLDMAN SACHS GROUP INC								
Symbol GS Exchange NYSE								
EAI $4,248 Current yield 1 57%	Mar 13, 12	828 000	120 744	99,976 20	127 560	105,619 68	5,643 48	ST
	Apr 5, 12	792 000	118 387	93,762 98	127 560	101,027 52	7,264 54	ST
	Jul 16, 12	504 000	97 098	48,937 70	127 560	64,290 24	15,352 54	ST
Security total		2,124 000	114 255	242,676 88		270,937.44	28,260 56	
GOOGLE INC CL A								
Symbol GOOG Exchange OTC	Mar 18, 09	267 000	335 992	89,710 13	707 380	188,870 46	99,160 33	LT
	Jul 9, 10	75 000	464 340	34,825 50	707 380	53,053 50	18,228 00	LT
	Sep 13, 10	75 000	481 960	36,147 00	707 380	53,053.50	16,906 50	LT
	Feb 2, 12	115 000	584 160	67,178 40	707 380	81,348 70	14,170 30	ST
Security total		532 000	428 310	227,861 03		376,326.16	148,465.13	
ILLUMINA INC								
Symbol ILMN Exchange OTC	Dec 6, 11	1,599 000	29 646	47,404 27	55 590	88,888 41	41,484.14	LT
	May 1, 12	1,912 000	45 306	86,626 22	55 590	106,288 08	19,661 86	ST
Security total		3,511.000	38 174	134,030 49		195,176 49	61,146 00	
INTL BUSINESS MACH								
Symbol IBM Exchange NYSE								
EAI $3,601 Current yield 1 78%	Mar 16, 09	402 000	92 410	37,148 90	191 550	77,003 10	39,854 20	LT
	Mar 24, 09	300 000	98 878	29,663 55	191 550	57,465 00	27,801 45	LT
	Jun 11, 10	357 000	127 817	45,630 67	191 550	68,383 35	22,752 68	LT
Security total		1,059.000	106 179	112,443 12		202,851 45	90,408 33	
INTUIT								
Symbol· INTU Exchange OTC								
EAI $2,518 Current yield 1 14%	Mar 24, 10	2,218 000	34.833	77,260 26	59 475	131,915 55	54,655 29	LT
	Jul 7, 10	600 000	35 600	21,360 00	59 475	35,685 00	14,325 00	LT
	Nov 23, 10	85 000	44 455	3,778 75	59 475	5,055 37	1,276 62	LT
	Dec 5, 11	800 000	54 381	43,505.44	59 475	47,580 00	4,074 56	LT
Security total		3,703 000	39 402	145,904 45		220,235 92	74,331 47	
KIMBERLY CLARK CORP								
Symbol KMB Exchange NYSE								
EAI $6,207 Current yield 3 51%	May 1, 12	2,097 000	78 727	165,091.15	84 430	177,049 71	11,958 56	ST

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
LAS VEGAS SANDS CORP								
Symbol LVS Exchange NYSE								
EAI $5,671 Current yield 2 17%	Feb 2, 12	2,302 000	51 352	118,212 54	46 160	106,260 32	-11,952 22	ST
	May 1, 12	721 000	56 448	40,699 58	46 160	33,281 36	-7,418 22	ST
	Jul 16, 12	1,204 000	39 737	47,843 59	46 160	55,576 64	7,733.05	ST
	Jul 26, 12	1,444 000	35 583	51,382 72	46 160	66,655 04	15,272 32	ST
Security total		5,671 000	45 519	258,138 43		261,773 36	3,634 93	
LKQ CORP NEW								
Symbol LKQ Exchange OTC	Mar 13, 12	12,968 000	15 709	203,715 61	21 100	273,624 80	69,909 19	ST
MCDONALDS CORP								
Symbol MCD Exchange NYSE								
EAI $10,312 Current yield 3 49%	Jul 23, 09	1,632 000	56 419	92,077 28	88 210	143,958 72	51,881 44	LT
	Sep 11, 09	916 000	54 538	49,957 54	88 210	80,800 36	30,842 82	LT
	Sep 17, 09	300 000	56 171	16,851.54	88 210	26,463 00	9,611 46	LT
	Jan 12, 10	500.000	62 338	31,169 25	88 210	44,105 00	12,935 75	LT
Security total		3,348 000	56 767	190,055 61		295,327 08	105,271.47	
MICROSOFT CORP								
Symbol MSFT Exchange OTC								
EAI $7,081 Current yield 3 44%	Sep 10, 12	7,697 000	30 886	237,731 85	26 709	205,579 17	-32,152 68	ST
MONSANTO CO NEW								
Symbol MON Exchange NYSE								
EAI $3,867 Current yield 1 58%	Mar 1, 12	2,578 000	79 562	205,110 84	94 650	244,007 70	38,896 86	ST
PRICELINE COM INC NEW								
Symbol· PCLN Exchange OTC	Jul 16, 12	219 000	638 765	139,889 58	620 390	135,865.41	-4,024 17	ST
	Jul 19, 12	113.000	675 923	76,379 30	620 390	70,104 07	-6,275 23	ST
	Aug 28, 12	96 000	589 563	56,598 05	620 390	59,557 44	2,959 39	ST
Security total		428 000	637 540	272,866 93		265,526 92	-7,340 01	
QUALCOMM INC								
Symbol QCOM Exchange OTC								
EAI $5,396 Current yield 1 62%	Mar 24, 11	2,929 000	53 435	156,513 16	61 859	181,185 01	24,671 85	LT
	Apr 26, 11	1,404 000	57 158	80,250 67	61 859	86,850 03	6,599 36	LT
	Jul 19, 12	1,063 000	58 599	62,291 48	61 859	65,756 12	3,464 64	ST
Security total		5,396 000	55 422	299,055 31		333,791 16	34,735 85	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
STARBUCKS CORP								
Symbol SBUX Exchange OTC								
EAI $2,394 Current yield 1 57%	Dec 18, 12	2,850 000	54 798	156,174 87	53 630	152,845 50	-3,329 37	ST
STERICYCLE INC								
Symbol SRCL Exchange OTC	Nov 1, 12	1,623 000	95 547	155,073 75	93 280	151,393 44	-3,680 31	ST
	Dec 20, 12	1,179.000	92 844	109,463 08	93 280	109,977.12	514 04	ST
Security total		2,802.000	94 410	264,536 83		261,370 56	-3,166.27	
VISA INC CL A								
Symbol V Exchange NYSE								
EAI $2,851 Current yield 0 87%	Jul 16, 12	1,536 000	128 306	197,078 32	151.580	232,826 88	35,748 56	ST
	Aug 28, 12	624 000	127 555	79,594 94	151 580	94,585 92	14,990 98	ST
Security total		2,160 000	128.089	276,673 26		327,412 80	50,739 54	
YUM! BRANDS INC								
Symbol YUM Exchange NYSE								
EAI $4,706 Current yield 2.02%	Jul 8, 10	1,912 000	39 946	76,376 94	66 400	126,956 80	50,579 86	LT
	Sep 13, 10	1,600 000	45 268	72,429 60	66 400	106,240 00	33,810 40	LT
Security total		3,512 000	42 371	148,806 54		233,196 80	84,390 26	
Total				**$8,004,890.14**		**$9,697,591.50**	**$1,692,701.37**	

Total estimated annual income: $133,628

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**583,599.74**	**5.68%**	**583,599.74**		
Equities	**Common stock**	**9,697,591.50**	**94.32%**	**8,004,890.14**	**133,628.00**	**1,692,701.37**
Total		**$10,281,191.24**	**100.00%**	**$8,588,489.88**	**$133,628.00**	**$1,692,701.37**

HARDEN FOUNDATION
FEIN 94-6098887
2012 FORM 990-PF
PART IV, LINE 2 CAPITAL GAIN NET INCOME OR (NET CAPITAL LOSS)
FOR THE YEAR ENDED DECEMBER 31, 2012

DESCRIPTION	STATEMENT	DATE ACQUIRED	DATE SOLD	SALES PRICE	COST BASIS	WASH LOSS DISALLOWED	GAIN (LOSS)	ATTACHMENT PAGE
SMITH BARNEY A/C# 593-01498-19 507								
SEE ATTACHMENT (LT)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	240,128 77	33,565 27	-	206,563 50	2
SMITH BARNEY A/C# 593-04373-13 507								
SEE ATTACHMENT (ST)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	18,724 38	14,607 99	-	4,116 39	3
SEE ATTACHMENT (LT)	REALIZED GAIN/LOSS DETAIL	VARIOUS	VARIOUS	1,336,781 48	1,147,400 87	-	189,380 61	5
				1,355,505 86	1,162,008 86	-	193,497 00	
UBS A/C# ML_09279 EF								
SEE ATTACHMENT (ST)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	187,860 09	344,304 90	-	(156,444 81)	6
SEE ATTACHMENT (LT)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	633,629 00	417,914 97	-	215,714 03	7
				821,489 09	762,219 87	-	59,269 22	
SMITH BARNEY A/C# 593-07424-15 507								
SEE ATTACHMENT (ST)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	32,226 27	34,673 45	-	(2,447 18)	8
SEE ATTACHMENT (LT)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	519,328 48	792,045 38	-	(272,716 90)	10
				551,554 75	826,718 83	-	(275,164 08)	
UBS A/C# ML_09275 EF								
SEE ATTACHMENT (LT)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	415,149 88	520,830 96	-	(105,681 08)	13
SMITH BARNEY A/C# 593-00819-13 507								
SEE ATTACHMENT (ST)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	53,740 94	51,627 64	-	2,113 30	14
SEE ATTACHMENT (LT)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	319,000 00	318,824 00	-	176 00	14
				372,740 94	370,451 64	-	2,289 30	
UBS A/C# ML_09273 EF								
SEE ATTACHMENT (LT)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	460,456 61	442,481 65	-	17,974 96	16
SMITH BARNEY A/C# 593-06236-15 507								
SEE ATTACHMENT (LT)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	182,776 04	172,820 88	-	9,955 16	24
UBS A/C# ML_09280 EF								
SEE ATTACHMENT (ST)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	3,251 25	3,150 42	-	100 83	26
SEE ATTACHMENT (LT)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	163,251 59	138,577 29	-	24,674 30	27
				166,502 84	141,727 71	-	24,775 13	
SMITH BARNEY A/C# 593-09052-10 017								
SEE ATTACHMENT (ST)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	36,578 07	32,199 87	1,762 77	6,140 97	28
SEE ATTACHMENT (LT)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	108,859 94	117,774 58	-	(8,914 64)	28
				145,438 01	149,974 45	1,762 77	(2,773 67)	
UBS A/C# ML_09276 EF								
SEE ATTACHMENT (ST)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	60,513 14	58,204 34	-	2,308 80	38
SEE ATTACHMENT (LT)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	202,963 97	272,216 59	-	(69,252 62)	41
				263,477 11	330,420 93	-	(66,943 82)	
SMITH BARNEY A/C# 593-08393-10 507								
SEE ATTACHMENT (ST)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	2,347,939 43	2,390,875 70	-	(42,936 27)	43
SEE ATTACHMENT (LT)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	1,865,473 02	1,516,477 48	-	348,995 54	45
				4,213,412 45	3,907,353 18	-	306,059 27	
UBS A/C# ML_09278 EF								
SEE ATTACHMENT (ST)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	1,071,018 72	1,276,751 10	-	(205,732 38)	47
SEE ATTACHMENT (LT)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	1,240,075 79	901,187 75	-	338,888 04	48
				2,311,094 51	2,177,938 85	-	133,155 66	
SMITH BARNEY A/C# 593-07116-18 507								
SEE ATTACHMENT (ST)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	118,959 91	116,142 70	-	2,817 21	50
SEE ATTACHMENT (LT)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	90,207 15	105,327 72	-	(15,120 57)	51
				209,167 06	221,470 42	-	(12,303 36)	
UBS A/C# ML_09281 EF								
SEE ATTACHMENT (ST)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	273,782 83	290,704 40	-	(16,921 57)	55
SEE ATTACHMENT (LT)	REALIZED GAIN/LOSS-DETAIL	VARIOUS	VARIOUS	443,016 88	672,284 80	-	(229,267 92)	58
				716,799 71	962,989 20	-	(246,189 49)	
TOTAL				12,425,693 63	12,182,972 70	1,762 77	244,483 70	

2012 Year End Summary

HARDEN FOUNDATION

Account Number 593-01498-19 006

Details of Long Term Gain (Loss) 2012

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	700	CHURCH & DWIGHT CO INC	10/20/93	01/25/12	$ 32,175 58	$ 2,758.45		$ 29,417.13
125000020	3,000	CHURCH & DWIGHT CO INC	10/20/93	05/23/12	159,006.93	11,821.93		147,185.00
125000030	2,000	TOOTSIE ROLL INDUSTRIES INC	10/17/94	01/25/12	48,946.26	18,984.89		29,961.37
Total					**$ 240,128.77**	**$ 33,565.27**		**$ 206,563.50**

Details of Deposits and Withdrawals 2012

This section reflects any deposits or withdrawals made to your account during the year.

Deposits

Reference number	Date	Description	Amount
210000100	02/29/12	CONSULTING & ADVISORY SERVICES FROM 01/31/12 TO 03/31/12	$ 100.00
210000300	06/13/12	CONSULTING & ADVISORY SERVICES FROM 06/11/12 TO 06/30 12	2,428.95
210000200	05/31/12	CONSULTING & ADVISORY SERVICES FROM 05/29/12 TO 06/30/12	$ 54.10
210000400	06/15/12	DEBIT CASH BALANCE ACCT-TRANSFRI -06 15 12 UBS FINANCIAL SERVICES INC.	95.00
Total			**$ 2,678.05**

Withdrawals

Reference number	Date	Description	Referral number	Amount
220000100	01/13/12	RKK 4TH QTR FEE FROM 10/01/2011 TO 12/31/2011		$ 22,432 91
220000300	01/31/12	FROM 593-01498-01 TO 593-04252-01		150,000.00
220000500	05/04/12	RKK 1ST QTR FEE FROM 01/01/2012 TO 03/31/2012		23,597.34
220000700	06/15/12	CREDIT CASH BALANCE ACCT-TRANSFRD-06 15 12 UBS FINANCIAL SERVICES INC		39,093.60
220000200	01/20/12	CONSULTING & ADVISORY SERVICES FROM 01/01/12 TO 03/31/12		$ 10,389.24
220000400	04/20/12	CONSULTING & ADVISORY SERVICES FROM 04/01/12 TO 06/30/12		11,297.92
220000600	05/29/12	FROM 593-01498-01 TO 593-04252-01		150,000.00
220000800	06/19/12	CREDIT CASH BALANCE ACCT-TRANSFRD-06 19 12 UBS FINANCIAL SERVICES INC.		7,228.98

2012 YEAR END SUMMARY

2012 Year End Summary

HARDEN FOUNDATION

Account Number 593-04373-13 006

2012 YEAR END SUMMARY

Details of Short Term Gain (Loss) 2012

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000240	365	MOTOROLA SOLUTIONS INC	08/12/11	04/25/12	$ 18,724.38	$ 14,607.99		$ 4,116 39
Total					**$ 18,724.38**	**$ 14,607.99**		**$ 4,116.39**

Details of Long Term Gain (Loss) 2012

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	733	INGERSOLL-RAND PUBLIC LTD CO	12/31/01	02/21/12	$ 29,958.86	$ 12,481.38 R		$ 17,477.48
125000020	2,740	AON CORP	09/27/06	04/02/12	134,479.20	93,054 24		41,424.96
	700		06/11/09		34,356.00	25,900.00		8,456.00
	227		12/01/09		11,141.16	8,728.15		2,413.01
	707		12/02/09		34,699.56	27,193.77		7,505.79
	891		12/03/09		43,730.28	34,204 15		9,526.13
	100		12/04/09		4,908.00	3,841.97		1,066.03
125000030	231	BARRICK GOLD CORP CAD	02/05/04	06/06/12	9,299.36	4,614 45		4,684.91
	1,444		12/06/05		58,131.10	38,708.73		19,422.37
125000040	800	CVS CAREMARK CORP	11/05/09	03/05/12	36,195.18	23,030 96		13,164.22
125000050	1,700	CA INC CGMI AND/OR ITS AFFILIATES	07/26/02	03/05/12	45,464.49	13,650.83		31,813.66
125000060	2,038	CA INC CGMI AND/OR ITS AFFILIATES	07/26/02	04/11/12	53,808.10	16,364.93		37,443.17
125000070	2,304	GENWORTH FINL INC CLASS A	04/28/06	04/18/12	13,988.88	77,050.14	(63,061.26)	
125000080	806	GENWORTH FINL INC CLASS A	04/28/06	04/19/12	4,860.55	26,954.17	(22,093.62)	
	2,813		12/20/07		16,963.70	69,141 01	(52,177.31)	

Morgan Stanley

Ref: 00000506 00003509

2012 Year End Summary

HARDEN FOUNDATION
Account Number 593-04373-13 006

Details of Long Term Gain (Loss) 2012 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000090	1,937	GENWORTH FINL INC CLASS A	04/28/06	04/19/12	$ 11,681.00	$ 64,776.96	($ 53,095.96)	
125000100	1,487	GENWORTH FINL INC	12/20/07	04/20/12	9,049.53	36,549.12	(27,499.59)	
	601	CLASS A	10/29/10		3,657.54	6,854.71	(3,197.17)	
125000110	2,831	GENWORTH FINL INC CLASS A	10/29/10	04/23/12	17,008.54	32,288.97	(15,280.43)	
125000120	218	GENWORTH FINL INC	10/29/10	04/24/12	1,321.42	2,486 40	(1,164.98)	
	1,007	CLASS A	11/01/10		6,104.00	11,590.57	(5,486.57)	
	155	TRADE AS OF 04/24/12	11/03/10		939.54	1,787.12	(847 58)	
125000130	570	GENWORTH FINL INC	11/03/10	04/25/12	3,335 91	6,571.99	(3,236.08)	
	776	CLASS A	11/15/10		4,541.52	8,996.01	(4,454 49)	
	295		12/03/10		1,726.47	3,681.72	(1,955.25)	
125000140	734	GENWORTH FINL INC	12/03/10	04/26/12	4,339.31	9,160.61	(4,821.30)	
	987	CLASS A	12/06/10		5,835.01	12,259.23	(6,424 22)	
	535		12/07/10		3,162.85	6,792.57	(3,629.72)	
125000150	706	GENWORTH FINL INC	12/07/10	04/27/12	4,205.90	8,963.66	(4,757.76)	
	1,307	CLASS A	12/08/10		7,786 27	17,025 11	(9,238.84)	
	314		12/09/10		1,870.61	4,082.79	(2,212.18)	
125000160	1,099	HESS CORP	04/30/07	01/03/12	64,261.58	62,831.04		1,430 54
125000170	901	HESS CORP	04/30/07	01/04/12	52,833.53	51,511.16		1,322.37
125000180	1 653	LINCOLN NATIONAL CORP -IND-	06/22/10	03/15/12	45,061.28	45,414.85	(353.57)	
125000190	800	MICROSOFT CORP CGMI AND/OR ITS AFFILIATES	12/09/05	03/05/12	25,413.78	22,176.00		3,237.78
125000200	712	MOTOROLA SOLUTIONS INC	02/05/09	02/01/12	33,303.80	10,790.61		22,513.19
125000210	83	MOTOROLA SOLUTIONS INC	02/05/09	02/03/12	3,894.62	1,257.89		2,636.73
125000220	578	MOTOROLA SOLUTIONS INC	02/05/09	03/23/12	29,224.25	8,759.79		20,464.46
125000230	552	MOTOROLA SOLUTIONS INC	02/05/09	03/26/12	28,133.05	8,365.75		19,767.30
125000240	842.9276	MOTOROLA SOLUTIONS INC	02/05/09	04/25/12	43,241.89	12,774.87		30,467.02
	556.9487		01/29/10		28,571.27	13,918.12		14,653 15
	208.1237		02/01/10		10,676.67	5,319.13		5,357.54
125000250	2,316	PFIZER INC	03/29/10	05/21/12	52,150.05	40,018.63		12,131.42
125000260	471	RAYTHEON COMPANY NEW	08/28/03	04/26/12	25,450.28	15,061.26		10,389.02
125000270	547	RAYTHEON COMPANY NEW	08/28/03	04/27/12	29,525.08	17,491.53		12,033.55
	297		10/13/03		16,030 98	8,190.61		7,840.37
125000280	825	UNION PACIFIC CORP	03/17/04	02/01/12	96,016.35	25,575 41		70,440 94

2012 YEAR END SUMMARY

Details of Long Term Gain (Loss) 2012 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000290	949	VIACOM INC NEW CLASS B CGMI AND/OR ITS AFFILIATES	12/01/05	01/05/12	$ 43,917.35	$ 38,728.80		$ 5,188.55
125000300	714	VIACOM INC NEW CLASS B CGMI AND/OR ITS AFFILIATES	12/01/05	02/06/12	34,692.66	29,138.43		5,554.23
125000310	388	VIACOM INC NEW CLASS B CGMI AND/OR ITS AFFILIATES	12/01/05	02/07/12	18,900.24	15,834.32		3,065.92
125000320	1,200	WELLS FARGO & CO NEW	02/10/92	03/05/12	36,932.93	5,456.25		31,476.68
Total					**$ 1,336,781.48**	**$ 1,147,400.87**	**($ 284,987.88)**	**$ 474,368.49**

R *The basis for this tax lot has been adjusted due to a reclassification of income*

Details of Deposits and Withdrawals 2012

This section reflects any deposits or withdrawals made to your account during the year.

Deposits

Reference number	Date	Description	Amount
210000100	01/31/12	CONSULTING & ADVISORY SERVICES FROM 01/24/12 TO 03/31/12	$ 434.29
210000300	06/15/12	DEBIT CASH BALANCE ACCT-TRANSFRD-06 15 12 UBS FINANCIAL SERVICES INC.	95.00
210000200	06/13/12	CONSULTING & ADVISORY SERVICES FROM 06/11/12 TO 06/30/12	$ 4,655.01
Total			**$ 5,184.30**

Withdrawals

Reference number	Date	Description	Referral number	Amount
220000100	01/10/12	FROM 593-04373-01 TO 593-04252-01		$ 150,000.00
220000300	01/24/12	FROM 593-04373-01 TO 593-04252-01		125,000 00
220000500	06/15/12	CREDIT CASH BALANCE ACCT-TRANSFRD-06 15 12 UBS FINANCIAL SERVICES INC.		266,169.91
220000200	01/20/12	CONSULTING & ADVISORY SERVICES FROM 01/01/12 TO 03/31/12		$ 19,498.17
220000400	04/20/12	CONSULTING & ADVISORY SERVICES FROM 04/01/12 TO 06/30/12		21,180.31
220000600	06/19/12	CREDIT CASH BALANCE ACCT-TRANSFRD-06 19 12 UBS FINANCIAL SERVICES INC.		9,306.49

Realized gains and losses

Estimated 2012 gains and losses for transactions with trade dates through 12/31/12 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

See *Important information about your statement* on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BEST BUY CO INC	FIFO	534 000	Apr 11, 12	Oct 24, 12	9,011 31	11,611 35		-2,600 04	
	FIFO	1,019 000	Apr 13, 12	Oct 24, 12	17,195 75	22,501 76		-5,306 01	
	FIFO	740 000	Apr 16, 12	Oct 24, 12	12,487 59	16,144 14		-3,656 55	
HEWLETT PACKARD CO	FIFO	2,913 000	Mar 05, 12	Nov 20, 12	34,545 95	72,534 28		-37,988.33	
	FIFO	2,088 000	Mar 06, 12	Nov 20, 12	24,762.08	51,316 78		-26,554 70	
	FIFO	1,519 000	Mar 13, 12	Nov 20, 12	18,014 17	37,206 69		-19,192 52	
	FIFO	1,733 000	Mar 14, 12	Nov 20, 12	20,552.05	42,079 32		-21,527 27	
	FIFO	2,493 000	Mar 21, 12	Nov 20, 12	29,565 07	58,497 00		-28,931 93	
	FIFO	1,832 000	Aug 02, 12	Nov 20, 12	21,726 12	32,413 58		-10,687 46	
Total					**$187,860.09**	**$344,304.90**		**-$156,444.81**	
Net short-term capital gains and losses								**-$156,444.81**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CANADIAN NAT RESOURCES LTD CAD	FIFO	6 000	Nov 16, 09	Aug 09, 12	187 39	207 83		-20 44	
CVS CAREMARK CORP	FIFO	1,252 000	Nov 05, 09	Jul 19, 12	57,851 74	36,043 45			21,808.29
LOEWS CORP	FIFO	546 000	Nov 13, 07	Jul 19, 12	22,408 21	24,493 45		-2,085 24	
	FIFO	1,260.000	Nov 13, 07	Jul 19, 12	51,711 25	56,624 40		-4,913 15	
NOBLE ENERGY INC	FIFO	366 000	Dec 01, 05	Jul 30, 12	32,668 42	13,783 56			18,884 86
	FIFO	137 000	Dec 01, 05	Jul 31, 12	12,217 31	5,159 42			7,057 89
	FIFO	17 000	Sep 23, 08	Jul 31, 12	1,516 02	1,022 36			493 66
	FIFO	286 000	Sep 23, 08	Aug 01, 12	25,312 03	17,199 67			8,112 36
	FIFO	98 000	Sep 23, 08	Aug 06, 12	8,574 00	5,893 59			2,680 41

continued next page

UBS ACCESS

Account name: HARDEN FOUNDATION
Account number: ML 09279 EF

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ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	25 000	Sep 23, 08	Aug 07, 12	2,232 41	1,503 47			728 94
	FIFO	322 000	Sep 23, 08	Sep 14, 12	31,202 94	19,364.66			11,838 28
	FIFO	216 000	Sep 23, 08	Oct 18, 12	20,814 89	12,989 96			7,824.93
	FIFO	58 000	Sep 23, 08	Nov 06, 12	5,608 27	3,488 04			2,120 23
PFIZER INC	FIFO	1,940 000	Mar 29, 10	Jul 20, 12	46,170 97	33,521.65			12,649 32
	FIFO	1,660 000	Apr 14, 10	Jul 20, 12	39,507 11	28,303 33			11,203 78
	FIFO	1,122 000	Apr 14, 10	Dec 10, 12	28,658 49	19,130.33			9,528 16
	FIFO	1,146 000	Apr 14, 10	Dec 11, 12	29,376 13	19,539 52			9,836 61
PHILIP MORRIS INTL INC	FIFO	362 000	Dec 08, 05	Sep 07, 12	32,120 30	13,765 33			18,354 97
	FIFO	1 000	Dec 08, 05	Sep 11, 12	88 85	38 03			50 82
	FIFO	252 000	Jun 23, 06	Sep 11, 12	22,390 88	9,544 15			12,846 73
TIME WARNER INC NEW	FIFO	998 000	Oct 13, 10	Aug 20, 12	42,825 91	31,479 11			11,346 80
VIACOM INC NEW CL B	FIFO	397 000	Dec 01, 05	Sep 11, 12	20,255 95	16,201 62			4,054 33
	FIFO	363 000	Jan 06, 06	Sep 11, 12	18,521 19	15,481 91			3,039 28
	FIFO	460 000	Jan 06, 06	Sep 12, 12	23,406 98	19,618 96			3,788 02
	FIFO	168 000	Jan 06, 06	Sep 13, 12	8,641 99	7,165 18			1,476 81
WELLS FARGO & CO NEW	FIFO	1,397 000	Feb 10, 92	Sep 19, 12	49,359 37	6,351 99			43,007 38
Total					**$633,629.00**	**$417,914.97**		**-$7,018.83**	**$222,732.86**
Net long-term capital gains or losses									**$215,714.03**
Net capital gains/losses:									**$59,269.22**

Details of Short Term Gain (Loss) 2012

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000050	140	ALCATEL-LUCENT ADR	08/04/11	03/19/12	$ 341.31	$ 497.66	($ 156.35)	
	4,500		10/25/11		10,970.80	12,346.20	(1,375.40)	
	740		10/26/11		1,804 09	1,924.00	(119.91)	
125000110	525	HONDA MOTOR CO LTD ADR-NEW	03/24/11	03/06/12	19,110.07	19,905.59	(795.52)	
Total					**$ 32,226.27**	**$ 34,673.45**	**($ 2,447.18)**	

Details of Long Term Gain (Loss) 2012

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	720	TE CONNECTIVITY LTD-CHF	08/01/07	03/06/12	$ 24,920.69	$ 25,388.64	($ 467.95)	
125000020	1,695	ALCATEL-LUCENT ADR	08/17/05	02/15/12	3,766.56	20,480 34	(16,713.78)	
	2,485		04/19/07		5,522.07	31,497.13	(25,975.06)	
125000030	630	ALCATEL-LUCENT ADR	04/19/07	02/17/12	1,530 87	7,985.19	(6,454.32)	
125000040	35	ALCATEL-LUCENT ADR	04/19/07	02/27/12	90.06	443.62	(353.56)	
	535	TRADE AS OF 02/27/12	08/10/07		1,376.69	6,018.48	(4,641.79)	
125000050	4,225	ALCATEL-LUCENT ADR	08/10/07	03/19/12	10,300.36	47,529.14	(37,228.78)	
125000060	720	CEMEX S.A.B DE C.V SPONS ADR NEW	09/23/09	02/07/12	5,967.38	8,647.61	(2,680.23)	
125000070	360	CEMEX S.A B DE C.V SPONS ADR NEW	09/23/09	02/13/12	3,077 94	4,323.80	(1,245.86)	
125000080	239.3299	CEMEX S.A B DE C.V SPONS	09/23/09	02/13/12	2,046.23	2,874 49	(828.26)	
	120.6701	ADR NEW	11/27/09		1,031.71	1,225.93	(194.22)	
125000090	3,405	CEMEX S.A.B DE C.V SPONS ADR NEW	11/27/09	03/06/12	25,781.17	34,592.50	(8,811.33)	

2012 YEAR END SUMMARY

2012 Year End Summary

HARDEN FOUNDATION

Account Number 593-07424-15 006

Details of Long Term Gain (Loss) 2012 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000100	.2278	CEMEX S.A.B DE C.V SPONS	11/27/09	04/03/12	$ 1.69	$ 2.22	($ .53)	
	.0425	ADR NEW	04/15/10		.31	.41	(.10)	
	.0266	CASH IN LIEU OF .52000	05/17/10		.20	.26	(.06)	
	.017	RECORD 03/29/12 PAY 04/03/12	06/07/10		.13	.16	(.03)	
	.0426		06/29/10		.31	.39	(.08)	
	.0562		07/19/10		.42	.49	(.07)	
	.0312		08/06/10		.23	.27	(.04)	
	.025		08/13/10		.18	.20	(.02)	
	.0511		08/20/10		.38	.40	(.02)	
125000110	130	HONDA MOTOR CO LTD ADR-NEW	07/20/10	03/06/12	4,732.02	3,889.17		842.85
125000120	1,140	KT CORP SPONSORED ADR	05/16/02	03/22/12	16,278 90	26,601 90	(10,323.00)	
	1,100		03/07/07		15,707.72	25,097.71	(9,389.99)	
125000130	2,050	KINGFISHER PLC	09/14/07	05/14/12	18,792.96	16,025 47		2,767.49
	40	SPONSORED ADR NEW	05/06/08		366.69	219.48		147.21
		CGMI AND/OR ITS AFFILIATES						
125000140	4,125	MIZUHO FINL GROUP INC-JPY	04/27/07	01/27/12	12,199.45	50,094.42	(37,894.97)	
		SPONS ADR						
125000150	25	MIZUHO FINL GROUP INC-JPY	04/27/07	02/07/12	77.54	303.60	(226.06)	
	135	SPONS ADR	05/22/07		418.71	1,777.32	(1,358.61)	
125000160	4,650	MIZUHO FINL GROUP INC-JPY	05/22/07	02/17/12	14,983.43	61,218.64	(46,235.21)	
		SPONS ADR						
125000170	310	MIZUHO FINL GROUP INC-JPY	05/22/07	03/08/12	1,017.09	4,081.24	(3,064.15)	
		SPONS ADR						
125000180	1,975	MIZUHO FINL GROUP INC-JPY	05/22/07	03/14/12	6,538.32	26,001.47	(19,463.15)	
	3,560	SPONS ADR	08/15/07		11,785.52	41,367.56	(29,582.04)	
	1,910	TRADE AS OF 03/14/12	09/25/07		6,323.13	20,776.41	(14,453.28)	
	2,480		11/01/07		8,210.14	27,632.41	(19,422.27)	
	960		07/15/10		3,178 12	3,071.90		106.22
125000190	2,820	NISSAN MTR LTD SPONS ADR	05/09/08	03/27/12	60,738 60	51,917 89		8,820.71
	1,770	CGMI AND/OR ITS AFFILIATES	09/11/08		38,123.17	26,441 68		11,681.49
		TRADE AS OF 03/27/12						
125000200	.334	OI SA	11/24/09	04/09/12	1.94	5.58	(3.64)	
		MERGER 04/09/12 879246106001						
125000210	.0889	OI SA	11/14/00	04/09/12	.52	.95	(.43)	
	.0168	FROM 879246106001 (MERGER)	05/15/01		.10	.12	(.02)	
	.2283		11/24/09		1.32	3 82	(2.50)	

2012 YEAR END SUMMARY

HARDEN FOUNDATION

Account Number 593-07424-15 006

Details of Long Term Gain (Loss) 2012 - continued

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000220	.1641	OI SA	11/14/00	04/09/12	$ 2.40	$ 4.46	($ 2.06)	
	.0316	FROM 879246106001 (MERGER)	05/15/01		.46	.60	(.14)	
	.2216		11/24/09		3.25	6 94	(3.69)	
125000230	.32	OI SA MERGER 04/09/12 879246106001	11/24/09	04/09/12	4 69	10.01	(5.32)	
125000240	1,530	SK TELECOM LTD SPON ADR	11/30/05	04/25/12	20,717.56	32,055.64	(11,338.08)	
	120		09/19/06		1,624.91	2,750.89	(1,125.98)	
125000250	530	SANOFI SPONS ADR	10/05/06	03/06/12	19,616.01	23,585.00	(3,968.99)	
	115		11/22/06		4,256.30	4,982 24	(725.94)	
125000260	825	SANOFI SPONS ADR	11/22/06	04/25/12	30,954.95	35,742.14	(4,787.19)	
125000270	760	SEVEN & I HLDGS CO LTD-JPY CGMI AND/OR ITS AFFILIATES	07/20/10	02/15/12	42,554.97	35,523.31		7,031.66
125000280	80	SEVEN & I HLDGS CO LTD-JPY CGMI AND/OR ITS AFFILIATES	07/20/10	04/02/12	4,693.24	3,739 30		953.94
125000290	80	SEVEN & I HLDGS CO LTD-JPY CGMI AND/OR ITS AFFILIATES	07/20/10	04/26/12	4,767.56	3,739.30		1,028.26
125000300	490	SEVEN & I HLDGS CO LTD-JPY CGMI AND/OR ITS AFFILIATES	07/20/10	06/07/12	29,318.15	22,903 18		6,414.97
125000310	675	SONY CORP SPON ADR-NEW	10/19/05	03/16/12	14,707.71	22,389 34	(7,681 63)	
125000320	555	SWISSCOM AG SPONS ADR CGMI AND/OR ITS AFFILIATES	11/14/00	06/07/12	20,313.82	13,081.29		7,232 53
125000330	615	UNILEVER NV NY SHS-NEW	05/04/04	01/10/12	20,901.53	13,991.33		6,910.20
Total					$ 519,328.48	$ 792,045.38	($ 326,654.43)	$ 53,937.53

Details of Deposits and Withdrawals 2012

This section reflects any deposits or withdrawals made to your account during the year.

Deposits

Reference number	Date	Description	Amount
210000100	01/13/12	DAI NIPPON PRTG LTD JAPAN SPONS ADR REV ADR FEE OF .02000/SHR RECORD 09/29/11 PAY 12/19/11	$ 160.40
210000200	02/29/12	CONSULTING & ADVISORY SERVICES FROM 02/23/12 TO 03/31/12	$ 35.30

2012 YEAR END SUMMARY

UBS

UBS Financial Services Inc
200 Clock Tower Place
Suite C-100
Carmel CA 93923-8680

EPZ3003275499 1212 X123 ML 0

2012 Year End Summary

1 /

Account name: HARDEN FOUNDATION
(BRANDES INT'L)
Account number: ML 09275 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
Phone 831-622-0222/800-937-2400

HARDEN FOUNDATION
(BRANDES INT'L)
PO BOX 779
SALINAS CA 93902-0779

Summary of gains and losses

	Amount ($)
Long term	-105,681.08

Realized gains and losses

Estimated 2012 gains and losses for transactions with trade dates through 12/31/12 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent D bt Securities, may be ordinary, and not capital, gain or l ss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

See *Important information about your statement* on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
DEUTSCHE BANK AG REG SHS ORD EUR	FIFO	15 000	Dec 18, 09	Oct 22, 12	679 06	1,074 55		-395 49	
	FIFO	20 000	Aug 24, 10	Oct 22, 12	905 42	1,270 64		-365 22	
	FIFO	260 000	Sep 08, 10	Oct 22, 12	11,770 43	15,938 08		-4,167 65	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	335 000	Oct 08, 10	Oct 22, 12	15,165 75	15,382 12		-216 37	
ENI SPA IT SPON ADR	FIFO	340 000	May 29, 09	Dec 03, 12	16,163 54	16,368 11		-204 57	
	FIFO	310 000	Jun 16, 09	Dec 03, 12	14,737 35	15,109 40		-372 05	
	FIFO	325 000	Jul 13, 09	Dec 03, 12	15,450 45	14,254 01			1,196 44
J SAINSBURY PLC SPON ADR	FIFO	583 000	Nov 12, 04	Sep 21, 12	13,098 96	11,856 94			1,242 02
	FIFO	1,380 000	Jan 17, 08	Sep 21, 12	31,006 10	42,734 18		-11,728 08	
	FIFO	542 000	Jun 20, 08	Sep 21, 12	12,177 76	14,010 11		-1,832.35	
KOREA ELECTRIC POWER CRP SPONSORED ADR SHS	FIFO	900 000	Nov 13, 00	Nov 12, 12	11,072 18	11,549 34		-477 16	
	FIFO	2,540 000	Jan 29, 01	Nov 12, 12	31,248.16	25,313 39			5,934 77
OI S A SPON ADR	FIFO	2,402 917	Nov 14, 00	Dec 31, 12	9,591 03	21,790 23		-12,199 20	
	FIFO	462 082	May 15, 01	Dec 31, 12	1,844 36	2,952 46		-1,108 10	
	FIFO	3,246 000	Nov 24, 09	Dec 31, 12	12,956 12	33,869 87		-20,913 75	
OI S A SPON ADR	FIFO	238 958	Nov 14, 00	Jul 24, 12	1,266 45	2,562 07		-1,295 62	
	FIFO	45.041	May 15, 01	Jul 24, 12	238 72	332 40		-93 68	
	FIFO	614 000	Nov 24, 09	Jul 24, 12	3,254 12	10,259 98		-7,005.86	
PORTUGAL TELECOM SGPS S.A 1 ADR = 1 ORD SPON ADR	FIFO	4,628 695	Jul 13, 99	Nov 12, 12	22,217 24	36,914 59		-14,697 35	
	FIFO	2,621 304	Aug 28, 00	Nov 12, 12	12,581.98	27,539 38		-14,957 40	
SANOFI SPON ADR	FIFO	20 000	Nov 22, 06	Jul 30, 12	800 99	866 48		-65.49	
	FIFO	260 000	Nov 22, 06	Dec 10, 12	11,976 19	11,264 18			712 01
	FIFO	185 000	Feb 22, 07	Dec 10, 12	8,521 52	8,100 58			420 94
SK TELECOM CO LTD ADR	FIFO	1,770.000	Sep 19, 06	Dec 20, 12	28,906 11	40,575 66		-11,669 55	
	FIFO	1,000 000	Mar 02, 07	Dec 20, 12	16,331 13	22,729 00		-6,397 87	
STMICROELECTRONICS N V EUR	FIFO	1,985 000	Jul 06, 05	Nov 29, 12	12,231 49	31,888 23		-19,656 74	
	FIFO	1,115 000	Jun 28, 06	Nov 29, 12	6,870 59	17,299 45		-10,428 86	
SWISSCOM AG SPON ADR	FIFO	425 000	Nov 14, 00	Aug 07, 12	17,273 06	10,017 21			7,255 85
	FIFO	670 000	Jan 04, 06	Aug 07, 12	27,230 46	21,778 42			5,452 04

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
TOYOTA MOTOR CORP NEW JAPAN SPON ADR	FIFO	180 000	Oct 16, 09	Nov 12, 12	14,010 90	14,300 44		-289 54	
UNILEVER NV N Y SHS NEW NETHERLANDS SPON ADR	FIFO	295 000	May 04, 04	Sep 06, 12	10,520 91	6,711 29			3,809 62
	FIFO	165 000	Jun 09, 04	Sep 06, 12	5,884 57	3,665 62			2,218.95
	FIFO	475 000	Jun 09, 04	Nov 12, 12	17,166 78	10,552 55			6,614.23
Total					**$415,149.88**	**$520,830.96**		**-$140,537.95**	**$34,856.87**
Net long-term capital gains or losses								**-$105,681.08**	
Net capital gains/losses:								**-$105,681.08**	

Ref. 00000057 00000357

2012 Year End Summary

HARDEN FOUNDATION

Account Number 593-00819-13 006

Details of Short Term Gain (Loss) 2012

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor For additional information regarding this section see enclosed brochure.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000030	46,000	U S TREASURY NOTES SER B-2020 DTD 02/15/2010 DUE 02/15/2020 RATE 3.625 YIELD 1.332MTY	10/14/11	05/15/12	$ 53,740.94	$ 52,015.94 **$ 51,627.64**	$ 1,725.00 **$ 2,113.30**	$ 0.00 $ 2,113 30
Total					**$ 53,740.94**	$ 52,015.94 **$ 51,627.64**	$ 1,725.00 **$ 2,113.30**	$ 0.00 $ 2,113 30

Details of Long Term Gain (Loss) 2012

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor. For additional information regarding this section see enclosed brochure.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Original Cost Adjusted Cost	Original Gain/(Loss) Adjusted Gain/(Loss)	Ordinary Income Capital Gain/(Loss)
125000010	100,000	COSTCO WHOLESALE CORP SR NOTES-BK/ENTRY	08/02/07	03/15/12	$ 100,000.00	$ 99,824.00 **$ 99,824.00**	$ 176.00 **$ 176.00**	$ 0.00 $ 176.00
	30,000	DTD 02/20/2007 DUE 03/15/2012 RATE 5.300	04/17/08		30,000.00	31,476.00 **30,000.00**	(1,476 00) **0.00**	0 00 0.00
125000020	67,000	U S TREASURY NOTE SER B-2012 DTD 02/15/2002 DUE 02/15/2012 RATE 4 875	12/18/06	02/15/12	67,000.00	68,078.28 **67,000.00**	(1,078.28) **0.00**	0.00 0.00
125000040	122,000	U S TREASURY NOTES SER AK-2012 DTD 04/30/2010 DUE 04/30/2012 RATE 1.000	05/20/10	04/30/12	122,000.00	122,733.91 **122,000.00**	(733.91) **0.00**	0.00 0 00
Total					**$ 319,000.00**	$ 322,112.19 **$ 318,824.00**	($ 3,112.19) **$ 176.00**	$ 0.00 $ 176.00

2012 YEAR END SUMMARY

2012 Year End Summary /5

UBS

UBS Financial Services Inc
200 Clock Tower Place
Suite C-100
Carmel CA 93923-8680

EPZ3003275503 1212 X123 ML 0

Account name: HARDEN FOUNDATION
(MADISON)
Account number: ML 09273 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
Phone 831-622-0222/800-937-2400

HARDEN FOUNDATION
(MADISON)
PO BOX 779
SALINAS CA 93902-0779

Summary of gains and losses

	Amount ($)
Long term	17,974 96

Realized gains and losses

Estimated 2012 gains and losses for transactions with trade dates through 12/31/12 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may . e ordinary, and not capital, gain or loss. Please check wi.h your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

See Important information about your statement on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium E.timates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ABBOTT LABORATORIES 05.600% 113017 DTD110907 FC053008 CALL@M/W+25BP	FIFO	65,000 000	Nov 07, 07	Nov 13, 12	80,008 50	64,961 65 [1]			15,046 85

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
FHLMC 05 125 % DUE 071512 DTD 071602 FC 01152003	FIFO	150,000 000	Nov 16, 07	Jul 15, 12	150,000 00	155,571 00 [1]		-5,571 00	
INTL BUSINESS MACH 04 750% 112912 DTD112702 FC052903 MW+15BP	FIFO	130,000 000	Aug 02, 07	Nov 29, 12	130,000 00	126,074 00 [1]			3,926 00
US TSY NOTE 04 000 % DUE 11/15/12 DTD 11/15/02 FC 05/15/03	FIFO	5,000 000	Jan 26, 07	Aug 14, 12	5,048 42	4,793 75 [1]			254 67
	FIFO	40,000 000	Jan 26, 07	Sep 13, 12	40,262 37	38,350 00 [1]			1,912 37
	FIFO	55,000 000	Jan 26, 07	Oct 19, 12	55,137 32	52,731 25 [1]			2,406 07
Total					**$460,456.61**	**$442,481.65**		**-$5,571.00**	**$23,545.96**
Net long-term capital gains or losses									**$17,974.96**
Net capital gains/losses:									**$17,974.96**

[1] Indicates cost basis information provided by you or another third party UBS FS has not verified this information and does not guarantee its accuracy

End of statement for account number ML 09273 EF

Reserved Client Statement

February 1 - February 29, 2012

HARDEN FOUNDATION — **Account number 593-06236-15 507**

GAIN/LOSS DETAILS

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor Absent specific instructions from you, trades are allocated using the FIFO (first-in/first-out) method Day traders should therefore not rely on this section for day trading results Your reinvestment activity has been summarized Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information Detailed information will be available at year-end in your 1099 Year-end summary

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
COSTCO WHOLESALE CORP NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/10/10	02/15/12 Sold	40	$ 59.369	$ 83.105	$ 2,374 78	$ 3,324.17	$ 949 39	LT
FLIR SYSTEMS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	06/19/09	02/06/12 Sold	316	22 923	26 209	7,243 92	8,282 07	1,038 15	LT
ROBERT HALF INTERNATIONAL INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/11/11	02/06/12 Sold	311	32 368	29 346	10,066 55	9,126 49	(940.06)	LT
Total Long Term this period								$ 1,047 48	
Total realized gain or (loss) - this period						$ 19,685.25	$ 20,732.73	$ 1,047.48	
Total Long Term - year-to-date								$ 1,047 48	
Total Short Term - year-to-date								$ 0.00	
Total realized gain or (loss) - year-to-date						$ 19,685.25	$ 20,732.73	$ 1,047.48	

Please notify your Financial Advisor if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your Investment Advisory accounts, or to reasonably modify existing restrictions

For a copy of the applicable SEC Form ADV Disclosure Document for Morgan Stanley Smith Barney LLC, or for any Investment Adviser with whom we contract to manage your investment advisory account, please contact your Financial Advisor These Disclosure Documents contain important information about advisory programs

GAIN/LOSS DETAILS

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor Absent specific instructions from you, trades are allocated using the FIFO (first-in/first-out) method Day traders should therefore not rely on this section for day trading results Your reinvestment activity has been summarized Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information Detailed information will be available at year-end in your 1099 Year-end summary

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
BUNGE LIMITED MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/02/08	03/22/12 Sold	135	$ 88 68	$ 66.848	$ 11,971 87	$ 9,024.44	($ 2,947 43)	LT
	06/03/09	03/22/12 Sold	105	63.814	66.848	6,700.49	7,019.01	318.52	LT
	Total		240			$ 18,672.36	$ 16,043.45	($ 2,628.91)	
HERBALIFE LTD MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/10/10	03/06/12 Sold	30	$ 28 907	$ 65 56	$ 867 23	$ 1,966.76	$ 1,099 53	LT
CHECK POINT SOFTWARE TECH MorganStanley SmithBarney LLC acted as principal in this transaction.	07/30/10	03/06/12 Sold	40	33 716	57.69	1,348.65	2,307.55	958.90	LT
AMERICAN TOWER CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/23/11	03/06/12 Sold	40	49.251	62.21	1,970 06	2,488.35	518.29	ST
BROADCOM CORP CL A MorganStanley SmithBarney LLC acted as principal in this transaction.	03/03/11	03/06/12 Sold	70	42.295	34 01	2,941.81 R	2,380 65	(561.16)	LT
DISCOVERY COMMUNICATIONS INC SER A MorganStanley SmithBarney LLC acted as principal	05/13/11	03/06/12 Sold	70	44.349	46 52	3,104 49	3,256 34	151 85	ST
EMC CORP-MASS MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/17/09	03/06/12 Sold	90	16.732	27.59	1,505 91	2,483 05	977.14	LT

Ref 00022858 00164155

MorganStanley SmithBarney

Reserved Client Statement

March 1 - March 31, 2012

HARDEN FOUNDATION   Account number 593-06236-15 507

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
ECOLAB INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	01/21/10	03/06/12 Sold	50	$ 46.08	$ 59 31	$ 2,304 04	$ 2,965.45	$ 661.41	LT
EMERSON ELECTRIC CO MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/27/08	03/06/12 Sold	70	51 486	48.72	3,604.03	3,410.33	(193.70)	LT
EXPRESS SCRIPTS INC.COMMON MorganStanley SmithBarney LLC acted as principal in this transaction.	12/01/05	03/06/12 Sold	70	21.752	52 14	1,522 67	3,649 73	2,127 06	LT
GILEAD SCIENCES INC MorganStanley SmithBarney LLC acted as principal in this transaction.	07/24/07	03/06/12 Sold	70	38 003	45.62	2,660 23	3,193 34	533 11	LT
JOHNSON CONTROLS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/02/10	03/08/12 Sold	495	39 213	31 904	19,410 74	15,792 34	(3,618 40)	LT
MCDONALDS CORP MorganStanley SmithBarney LLC acted as your agent in this transaction.	11/26/08	03/06/12 Sold	20	57.574	99.80	1,151.48	1,995 96	844 48	LT
OCCIDENTAL PETROLEUM CORP-DEL MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/25/10	03/06/12 Sold	20	77 917	100 65	1,558.36	2,012.96	454.60	LT
OCCIDENTAL PETROLEUM CORP-DEL MorganStanley SmithBarney LLC acted as your agent in this transaction.	02/25/10	03/08/12 Sold	114	77 917	100 64	8,882.64	11,472 80	2,590 16	LT
	Total		134			$ 10,441.00	$ 13,485.76	$ 3,044.76	
PEPSICO INC MorganStanley SmithBarney LLC acted as your agent in this transaction	01/06/10	03/06/12 Sold	50	$ 61.45	$ 62 26	$ 3,072.50	$ 3,112.94	$ 40 44	LT

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
PRAXAIR INC MorganStanley SmithBarney LLC acted as your agent in this transaction	04/28/08	03/06/12 Sold	20	$ 94 725	$ 107.26	$ 1,894 51	$ 2,145.16	$ 250 65	LT
T ROWE PRICE GROUP INC MorganStanley SmithBarney LLC acted as principal in this transaction.	04/27/11	03/06/12 Sold	40	64.633	60 38	2,585 34	2,415.15	(170.19)	ST
QUALCOMM INC MorganStanley SmithBarney LLC acted as principal in this transaction	12/05/05	03/06/12 Sold	50	44 44	61 52	2,222 00	3,075 94	853 94	LT
ROSS STORES INC DE MorganStanley SmithBarney LLC acted as principal in this transaction.	09/08/09	03/06/12 Sold	40	23 135	54 73	925 42	2,189 16	1,263 74	LT
SCHLUMBERGER LTD MorganStanley SmithBarney LLC acted as your agent in this transaction.	03/06/08	03/06/12 Sold	30	87.934	73 49	2,638 02	2,204 66	(433 36)	LT
TEVA PHARMACEUTICAL INDS LTD ADR MorganStanley SmithBarney LLC acted as principal	09/19/08	03/06/12 Sold	50	45 464	44 73	2,273 21	2,236.45	(36.76)	LT
VARIAN MEDICAL SYSTEMS INC MorganStanley SmithBarney LLC acted as your agent in this transaction.	12/15/05	03/06/12 Sold	40	51.928	64 68	2,077 12	2,587 15	510.03	LT
VISA INC COM CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	04/16/10	03/06/12 Sold	20	93 039	115 11	1,860.78	2,302.15	441.37	LT

Ref 00022858 00164157

MorganStanley SmithBarney

Reserved Client Statement

March 1 - March 31, 2012

HARDEN FOUNDATION | Account number 593-06236-15 507

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
WELLS FARGO & CO NEW MorganStanley SmithBarney LLC acted as your agent in this transaction.	10/30/09	03/06/12 Sold	80	$ 28.229	$ 30 09	$ 2,258 38	$ 2,407 15	$ 148.77	LT
Total Long Term this period								$ 6,283.04	
Total Short Term this period								$ 499 95	
Total realized gain or (loss) - this period						$ 93,311.98	$ 100,094.97	$ 6,782.99	
Total Long Term - year-to-date								$ 7,330.52	
Total Short Term - year-to-date								$ 499.95	
Total realized gain or (loss) - year-to-date						$ 112,997.23	$ 120,827.70	$ 7,830.47	

R The basis for this tax lot has been adjusted due to a reclassification of income

Please notify your Financial Advisor if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your Investment Advisory accounts, or to reasonably modify existing restrictions

For a copy of the applicable SEC Form ADV Disclosure Document for Morgan Stanley Smith Barney LLC, or for any Investment Adviser with whom we contract to manage your investment advisory account, please contact your Financial Advisor These Disclosure Documents contain important information about advisory programs

Ref 00019851 00132814

MorganStanley SmithBarney

Reserved Client Statement

April 1 - April 30, 2012

HARDEN FOUNDATION — Account number 593-06236-15 507

Other dividends *continued*

Date	Description	Comment	Taxable	Non-taxable	Amount
04/27/12	MONSANTO CO NEW	CASH DIV ON 275.0000 SHS X/D 04/03/12	$ 82.50		$ 82.50
04/30/12	STRYKER CORP	CASH DIV ON 177 0000 SHS X/D 03/28/12	37 61		37.61
Total other dividends earned			$ 827.67	$ 0.00	$ 827.67

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
04/27/12	MORGAN STANLEY LIQUID ASSET FUND INC	REINVESTED	$ .27		$ 27
Total earnings from money fund			$ .27	$ 0.00	$ .27

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
GOOGLE INC CLASS A MorganStanley SmithBarney LLC acted as your agent	07/13/09	04/25/12 Sold	11	$ 416.845	$ 609 638	$ 4,585 30	$ 6,705.88	$ 2,120 58	LT
	09/21/09	04/25/12 Sold	13	493.059	609.638	6,409.77	7,925 12	1,515 35	LT
	Total		24			$ 10,995.07	$ 14,631.00	$ 3,635 93	
Total Long Term this period								$ 3,635.93	
Total realized gain or (loss) - this period						$ 10,995.07	$ 14,631.00	$ 3,635.93	
Total Long Term - year-to-date								$ 10,966.45	
Total Short Term - year-to-date								$ 499.95	
Total realized gain or (loss) - year-to-date						$ 123,992.30	$ 135,458.70	$ 11,466.40	

Ref 00021870 00080444

MorganStanley
SmithBarney

Reserved
Client Statement

May 1 - May 31, 2012

HARDEN FOUNDATION

Account number 593-06236-15 006

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
05/30/12	MORGAN STANLEY LIQUID ASSET FUND INC	REINVESTED	$ .47		$ .47
Total earnings from money fund			$ .47	$ 0.00	$ .47

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
HERBALIFE LTD MorganStanley SmithBarney LLC acted as your agent in this transaction.	09/10/10	05/10/12 Sold	158	$ 28.907	$ 46.281	$ 4,567.39	$ 7,312.28	$ 2,744 89	LT
DECKERS OUTDOOR CORP MorganStanley SmithBarney LLC	05/13/11	05/09/12 Sold	122	89.908	51.354	10,968.87	6,265.06	(4,703.81)	ST
acted as your agent in this transaction	12/20/11	05/09/12 Sold	92	91 504	51 354	8,418 37	4,724.47	(3,693 90)	ST
	Total		214			$ 19,387.24	$ 10,989.53	($ 8,397.71)	
NORFOLK SOUTHERN CORP MorganStanley SmithBarney LLC	07/25/08	05/09/12 Sold	71	$ 68.965	$ 69.457	$ 4,896.54	$ 4,931.38	$ 34.84	LT
acted as your agent in this transaction	03/22/10	05/09/12 Sold	229	54 912	69 457	12,575 03	15,905 43	3,330 40	LT
	Total		300			$ 17,471.57	$ 20,836.81	$ 3,365.24	

Ref 00021870 00080445

MorganStanley SmithBarney

Reserved Client Statement

May 1 - May 31, 2012

HARDEN FOUNDATION — Account number 593-06236-15 006

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
NU SKIN ENTERPRISES INC CL A MorganStanley SmithBarney LLC acted as your agent in this transaction.	05/31/11	05/10/12 Sold	192	$ 38.554	$ 42.598	$ 7,402 38	$ 8,178.72	$ 776 34	ST
Total Long Term this period								$ 6,110.13	
Total Short Term this period								($ 7,621.37)	
Total realized gain or (loss) - this period						$ 48,828.58	$ 47,317.34	($ 1,511.24)	
Total Long Term - year-to-date								$ 17,076.58	
Total Short Term - year-to-date								($ 7,121.42)	
Total realized gain or (loss) - year-to-date						$ 172,820.88	$ 182,776.04	$ 9,955.16	

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UBS

UBS Financial Services Inc
200 Clock Tower Place
Suite C-100
Carmel CA 93923-8680

EPZ3003275495 1212 X123 ML 0

2012 Year End Summary

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Account name: HARDEN FOUNDATION
(SANTA BARBARA)
Account number: ML 09280 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI `
Phone 831-622-0222/800-937-2400

HARDEN FOUNDATION
(SANTA BARBARA)
PO BOX 779
SALINAS CA 93902-0779

Summary of gains and losses

	Amount ($)
Short term	100 83
Long term	24,674.30
Total	**$24,775.13**

Realized gains and losses

Estimated 2012 gains and losses for transactions with trade dates through 12/31/12 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

See Important information about your statement on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CHICAGO BRIDGE & IRON NV EUR	FIFO	15 000	Nov 10, 11	Jul 20, 12	567 58	554 76			12 82

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
COVIDIEN PLC	FIFO	8 000	Nov 10, 11	Jul 20, 12	428 79	367 98			60 81
DOLLAR GEN CORP NEW	FIFO	6 000	May 09, 12	Jul 20, 12	315 11	280 60			34 51
MCDONALDS CORP	FIFO	1 000	Jul 20, 12	Oct 24, 12	87 36	91.67		-4 31	
PEPSICO INC	FIFO	16 000	Jul 20, 12	Oct 24, 12	1,097 95	1,117.87		-19 92	
PRECISION CASTPARTS CORP	FIFO	1 000	Mar 08, 12	Jul 20, 12	166 39	170 38		-3 99	
STRYKER CORP	FIFO	11 000	Jul 20, 12	Oct 17, 12	588 07	567.16			20 91
Total					**$3,251.25**	**$3,150.42**		**-$28.22**	**$129.05**
Net short-term capital gains and losses									**$100.83**

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AKAMAI TECHNOLOGIES INC	FIFO	17 000	Apr 16, 10	Jul 20, 12	506 75	564 96		-58 21	
AMERICAN TOWER CORP REIT	FIFO	2 000	Mar 23, 11	Jul 20, 12	142 01	98 50			43 51
AMPHENOL CORP NEW CL A	FIFO	39 000	Feb 20, 09	Jul 20, 12	2,277 54	985 79			1,291 75
CONTINENTAL RESOURCES INC (OKLA)	FIFO	17 000	Jan 11, 11	Jul 20, 12	1,290 78	1,064 49			226 29
	FIFO	148 000	Jan 11, 11	Dec 20, 12	11,029 42	9,267 28			1,762.14
COSTCO WHOLESALE CORP	FIFO	23 000	Sep 10, 10	Jul 20, 12	2,204 04	1,365 50			838 54
EMC CORP MASS	FIFO	15 000	Dec 17, 09	Jul 20, 12	377 39	250 98			126 41
EMERSO N ELECTRIC CO	FIFO	320 000	Mar 27, 08	Jul 20, 12	14,887 60	16,475 59		-1,587 99	
EXPRESS SCRIPTS HLDG CO	FIFO	27 000	Dec 01, 05	Jul 20, 12	1,552 46	587.32			965 14
GOOGLE INC CL A	FIFO	2 000	Sep 21, 09	Jul 20, 12	1,218 93	986 12			232 81
HERBALIFE LTD	FIFO	19 000	Sep 10, 10	Jul 20, 12	959 09	549 24			409 85
INTUIT	FIFO	25 000	Dec 20, 07	Jul 20, 12	1,468.96	752 63			716 33
MCDONALDS CORP	FIFO	72 000	Nov 26, 08	Oct 24, 12	6,289 58	4,145 34			2,144 24
	FIFO	168 000	Oct 07, 09	Oct 24, 12	14,675 69	9,612.30			5,063 39
MONSANTO CO NEW	FIFO	33 000	Dec 17, 08	Jul 20, 12	2,884 13	2,499 37			384 76
NATL-OILWELLVARCO INC	FIFO	6 000	Jun 15, 11	Jul 20, 12	414 11	421 40		-7 29	
NU SKIN ENTERPRISES INC	FIFO	9 000	May 31, 11	Jul 20, 12	409 49	346 99			62 50

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
OCCIDENTAL PETROLEUM CRP	FIFO	4 000	Feb 25, 10	Jul 20, 12	346 64	311 67			34 97
	FIFO	59 000	Feb 25, 10	Nov 02, 12	4,702 18	4,597 15			105 03
	FIFO	142 000	Nov 11, 10	Nov 02, 12	11,317 12	12,102 63		-785 51	
PEPSICO INC	FIFO	272 000	Jan 06, 10	Oct 24, 12	18,665 14	16,714 40			1,950.74
PRAXAIR INC	FIFO	8 000	Apr 28, 08	Jul 20, 12	866 06	757 81			108 25
	FIFO	32 000	Apr 28, 08	Sep 26, 12	3,338 35	3,031 22			307 13
	FIFO	55 000	Jun 04, 08	Sep 26, 12	5,737 78	5,295 90			441 88
ROSS STORES INC	FIFO	192 000	Sep 08, 09	Jul 20, 12	13,014 38	4,442.01			8,572 37
SCHLUMBERGER LTD NETHERLANDS ANTILLES	FIFO	20 000	Mar 06, 08	Jul 20, 12	1,397.36	1,758 68		-361 32	
STRYKER CORP	FIFO	177 000	Dec 05, 05	Oct 17, 12	9,462 61	8,310 33			1,152 28
TEVA PHARMACEUTICALS IND LTD ISRAEL ADR	FIFO	363 000	Sep 19, 08	Jul 20, 12	15,072 36	16,503 50		-1,431 14	
UNTD TECHNOLOGIES CORP	FIFO	1 000	Dec 12, 07	Jul 20, 12	74 91	77 16		-2 25	
VARIAN MEDICAL SYSTEMS INC	FIFO	249 000	Dec 15, 05	Jul 20, 12	14,317 17	12,930 10			1,387 07
VISA INC CL A	FIFO	16 000	Apr 16, 10	Jul 20, 12	2,013 07	1,488 63			524 44
WELLS FARGO & CO NEW	FIFO	10 000	Oct 30, 09	Jul 20, 12	338 49	282.30			56 19
Total					**$163,251.59**	**$138,577.29**		**-$4,233.71**	**$28,908.01**
Net long-term capital gains or losses									**$24,674.30**
Net capital gains/losses:									**$24,775.13**

HARDEN FOUNDATION
FEIN: 94-6098887
PART IV, LINE 2 CAPITAL GAIN NET INCOME OR (NET CAPITAL LOSS)
FOR THE YEAR ENDED DECEMBER 31, 2012

SMITH BARNEY A/C# 593-09052-10 017 (TRADEWINDS-INTERNATIONAL)

SHORT TERM		SALES	BASIS	WASH LOSS DISALLOWED	GAIN (LOSS)
Jan'12		1,752.59	3,515.36		(1,762.77)
Jan'12		2,516.45	3,222 72		(706.27)
Feb'12		26,966.82	19,860 51		7,106.31
Feb'12	Wash sale loss disallowed			1,762.77	1,762 77
April'12		2,886 39	2,451 74		434.65
April'12		2,455 82	3,149 54		(693 72)
Total ST		36,578.07	32,199 87	1,762.77	6,140 97

LONG TERM	SALES	BASIS	WASH LOSS DISALLOWED	GAIN (LOSS)
Jan'12	6,555.76	5,982 62		573 14
Jan'12	8,160.60	7,686 30		474 30
Feb'12	2,029.49	3,353 21		(1,323 72)
Feb'12	7,530.95	10,273 27		(2,742 32)
Feb'12	3,618 53	3,076 32		542 21
Mar'12	11,771 32	11,953 10		(181 78)
April'12	3,963 80	3,534.46		429 34
April'12	3,238.84	3,037 36		201.48
April'12	140.82	130 18		10 64
April'12	45.72	47 54		(1.82)
April'12	5,258 33	5,660.02		(401.69)
April'12	8,404 68	10,574 12		(2,169.44)
April'12	4,301.09	4,951 49		(650 40)
April'12	5,901 86	5,244 13		657 73
April'12	3,349.43	3,880.24		(530.81)
April'12	9,328 71	8,993.39		335 32
April'12	2,436.73	4,613.83		(2,177.10)
April'12	8,217.25	8,124.96		92 29
April'12	3,054 13	3,089 41		(35 28)
April'12	4,532.53	6,108.33		(1,575.80)
April'12	2,749 10	2,223.37		525.73
April'12	4,270 27	5,236 93		(966 66)
Total LT	108,859.94	117,774.58	-	(8,914 64)
Total ST & LT	145,438 01	149,974.45	1,762.77	(2,773.67)

Ref 00022272 00156800

MorganStanley SmithBarney

Reserved Client Statement

January 1 - January 31, 2012

HARDEN FOUNDATION — *Account number 593-09052-10 507*

Other dividends *continued*

Date	Description	Comment	Taxable	Non-taxable	Amount
01/17/12	CAMECO CORP	FOREIGN TAX W/HELD $ 26.90 CASH DIV ON 1825.0000 SHS RECORD 12/30/11 PAY 01/13/12 TAX HELD BY FGN GOVTS 26 90 X/D 12/28/11	$ 179.36		$ 152 46
01/25/12	HOME RETAIL GROUP	CASH DIV ON 1595 0000 SHS X/D 11/09/11	459.92		459 92
Total other dividends credited to account					**$ 1,644.57**
FRGN tax withheld					155.26
Total other dividends earned			**$ 1,800.59**	**$ 0.00**	**$ 1,800.59**
Total foreign tax withheld - 2011					-.06
Total other dividends earned - 2011			$ - 82	$ 0.00	$ -.82

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
01/30/12	MORGAN STANLEY LIQUID ASSET FUND INC	REINVESTED	$ .32		$ .32
Total earnings from money fund			**$ .32**	**$ 0.00**	**$ .32**

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
GAZPROM OAO SPONS ADR CGMI AND/OR ITS AFFILIATES	10/15/10	01/20/12 Sold	558	$ 10 721	$ 11 748	$ 5,982 62	$ 6,555 76	$ 573 14	LT
HOME RETAIL GROUP	03/10/11	01/27/12 Sold	276	12.736	6 35	3,515.36	1,752 59	(1,762.77)	ST
TNT EXPRESS NV ADR	07/06/11	01/19/12 Sold	316	10 198	7 963	3,222.72	2,516.45	(706 27)	ST

Ref. 00022272 00156801

MorganStanley SmithBarney

Reserved Client Statement
January 1 - January 31, 2012

HARDEN FOUNDATION — *Account number 593-09052-10 507*

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
VODAFONE GROUP PLC SPONS ADR NEW CGMI AND/OR ITS AFFILIATES	07/22/08	01/05/12 Sold	297	$ 25 879	$ 27 477	$ 7,686 30	$ 8,160.60	$ 474 30	LT
Total Long Term this period								$ 1,047.44	
Total Short Term this period								($ 2,469 04)	
Total realized gain or (loss) - this period						$ 20,407.00	$ 18,985.40	($ 1,421.60)	
Total Long Term - year-to-date								$ 1,047.44	
Total Short Term - year-to-date								($ 2,469.04)	
Total realized gain or (loss) - year-to-date						$ 20,407.00	$ 18,985.40	($ 1,421.60)	

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Ref· 00020822 00138586

MorganStanley SmithBarney

Reserved Client Statement

February 1 - February 29, 2012

HARDEN FOUNDATION

Account number 593-09052-10 507

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
MS&AD INSURANCE UNSPON ADR	12/05/07	02/27/12 Sold	91	$ 18.073	$ 10 515	$ 1,644.71	$ 956.91	($ 687 80)	LT
	12/14/07	02/27/12 Sold	102	16 75	10 515	1,708 50	1,072.58	(635.92)	LT
	Total		**193**			**$ 3,353.21**	**$ 2,029 49**	**($ 1,323.72)**	
SOCIETE GENERALE SPON ADR CGMI AND/OR ITS AFFILIATES	01/16/09	02/08/12 Sold	389	$ 8 46	$ 6 387	$ 3,291 09	$ 2,484 77	($ 806.32)	LT
	05/17/10	02/08/12 Sold	790	8 838	6 387	6,982 18	5,046.18	(1,936 00)	LT
	Total		**1,179**			**$ 10,273.27**	**$ 7,530.95**	**($ 2,742 32)**	
TNT EXPRESS NV ADR	07/06/11	02/17/12 Sold	116	$ 10.198	$ 12.57	$ 1,183 03	$ 1,458 12	$ 275 09	ST
	07/07/11	02/17/12 Sold	242	10 254	12 57	2,481 47	3,041 93	560 46	ST
	07/08/11	02/17/12 Sold	582	10.173	12.57	5,921.21	7,315 72	1,394 51	ST
	07/11/11	02/17/12 Sold	155	9.978	12.57	1,546 65	1,948.35	401 70	ST
	08/11/11	02/17/12 Sold	8	9 086	12 57	72.69	100 56	27 87	ST
	09/07/11	02/17/12 Sold	34	8 71	12.57	296 14	427.38	131.24	ST
TNT EXPRESS NV ADR	09/07/11	02/23/12 Sold	165	8 71	12 754	1,437 15	2,104.37	667.22	ST
	09/08/11	02/23/12 Sold	293	8 741	12 754	2,561.32	3,736 85	1,175 53	ST
	09/09/11	02/23/12 Sold	83	8 453	12.754	701.66	1,058 56	356.90	ST

Ref 00020822 00138587

MorganStanley SmithBarney

Reserved Client Statement

February 1 - February 29, 2012

HARDEN FOUNDATION

Account number 593-09052-10 507

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
TNT EXPRESS NV ADR	09/09/11	02/27/12 Sold	244	$ 8 453	$ 12 392	$ 2,062 70	$ 3,023 81	$ 961 11	ST
CGMI AND/OR ITS AFFILIATES	09/30/11	02/27/12 Sold	222	7.191	12 392	1,596 49	2,751.17	1,154 68	ST
	Total		2,144			$ 19,860.51	$ 26,966.82	$ 7,106.31	
TOYOTA MOTOR CORP ADR NEW	09/09/10	02/16/12 Sold	44	$ 69 916	$ 82 24	$ 3,076.32	$ 3,618 53	$ 542 21	LT
Total Long Term this period								($ 3,523.83)	
Total Short Term this period								$ 7,106.31	
Total realized gain or (loss) - this period						$ 36,563.31	$ 40,145.79	$ 3,582.48	
Total Long Term - year-to-date								($ 2,476.39)	
Total Short Term - year-to-date								$ 6,400.04	
Total realized gain or (loss) - year-to-date						$ 56,970.31	$ 59,131.19	$ 3,923.65	
Total losses disallowed due to wash sale - this period								$ 0.00	
Total losses disallowed due to wash sale - year-to-date								($ 1,762.77)	

Please notify your Financial Advisor if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your Investment Advisory accounts, or to reasonably modify existing restrictions

For a copy of the applicable SEC Form ADV Disclosure Document for Morgan Stanley Smith Barney LLC, or for any Investment Adviser with whom we contract to manage your investment advisory account, please contact your Financial Advisor These Disclosure Documents contain important information about advisory programs

Ref· 00023167 00166472

MorganStanley SmithBarney

Reserved Client Statement

March 1 - March 31, 2012

HARDEN FOUNDATION   Account number 593-09052-10 507

GAIN/LOSS DETAILS

Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor Absent specific instructions from you, trades are allocated using the FIFO (first-in/first-out) method Day traders should therefore not rely on this section for day trading results Your reinvestment activity has been summarized Single lines have been designated to distinguish Short-term (ST) or Long-term (LT) information Detailed information will be available at year-end in your 1099 Year-end summary

Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
SANOFI SPONS ADR	04/08/08	03/08/12 Sold	196	$ 38 419	$ 37.729	$ 7,530 24	$ 7,394.80	($ 135 44)	LT
	04/14/08	03/08/12 Sold	116	38 128	37.729	4,422 86	4,376.52	(46.34)	LT
	Total		312			$ 11,953 10	$ 11,771.32	($ 181.78)	
Total Long Term this period								($ 181 78)	
Total realized gain or (loss) - this period						$ 11,953.10	$ 11,771.32	($ 181 78)	
Total Long Term - year-to-date								($ 2,658 17)	
Total Short Term - year-to-date								$ 6,400.04	
Total realized gain or (loss) - year-to-date						$ 68,923.41	$ 70,902.51	$ 3,741.87	
Total losses disallowed due to wash sale - this period								$ 0.00	
Total losses disallowed due to wash sale - year-to-date								($ 1,762.77)	

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Ref 00020117 00134670

MorganStanley SmithBarney

Reserved Client Statement

April 1 - April 30, 2012

HARDEN FOUNDATION — Account number 593-09052-10 507

Other dividends *continued*

Date	Description	Comment	Taxable	Non-taxable	Amount
04/24/12	SK TELECOM LTD SPON ADR	FOREIGN TAX W/HELD $ 307.59 CASH DIV ON 2280 0000 SHS TAX HELD BY FGN GOVTS 307.59 X/D 12/28/11	$ 1,864 21		$ 1,556 62
04/27/12	NEWCREST MINING LTD SPON ADR	CASH DIV ON 875.0000 SHS X/D 03/20/12	108.65		108 65
Total other dividends credited to account					**$ 2,760.74**
FRGN tax withheld					379 98
Total other dividends earned			**$ 3,140.72**	**$ 0.00**	**$ 3,140.72**

Money fund earnings

Date	Description	Comment	Taxable	Non-taxable	Amount
04/27/12	MORGAN STANLEY LIQUID ASSET FUND INC	REINVESTED	$ .31		$ 31
Total earnings from money fund			**$ .31**	**$ 0.00**	**$ .31**

GAIN/LOSS DETAILS

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Realized gain or loss

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
AXIS CAPITAL HOLDINGS LTD-USD	10/16/09	04/24/12 Sold	117	$ 30.209	$ 33 879	$ 3,534.46	$ 3,963.80	$ 429 34	LT
TRANSOCEAN LTD SWITZERLAND NEW	12/07/11	04/03/12 Sold	54	45 402	53 452	2,451.74	2,886.39	434.65	ST
ALLIANZ SE ADR CGMI AND/OR ITS AFFILIATES	05/21/10	04/24/12 Sold	299	10.158	10 832	3,037.36	3,238 84	201.48	LT
	05/24/10	04/24/12 Sold	13	10 013	10.832	130 18	140 82	10 64	LT
	Total		**312**			**$ 3,167.54**	**$ 3,379.66**	**$ 212.12**	
ALSTOM-EUR CGMI AND/OR ITS AFFILIATES	08/18/11	04/24/12 Sold	716	$ 4 398	$ 3 43	$ 3,149.54	$ 2,455 82	($ 693 72)	ST

Ref: 00020117 00134671

MorganStanley SmithBarney

Reserved Client Statement

April 1 - April 30, 2012

HARDEN FOUNDATION — Account number 593-09052-10 507

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
ASTRAZENECA PLC SPON ADR	01/12/10	04/24/12 Sold	1	$ 47.536	$ 45 725	$ 47.54	$ 45.72	($ 1.82)	LT
	01/14/10	04/24/12 Sold	115	49.217	45 725	5,660 02	5,258.33	(401.69)	LT
	Total		**116**			**$ 5,707.56**	**$ 5,304.05**	**($ 403.51)**	
CAMECO CORP	03/03/10	04/24/12 Sold	223	$ 27.018	$ 21 441	$ 6,025 08	$ 4,781.23	($ 1,243 85)	LT
	04/07/10	04/24/12 Sold	169	26 917	21.441	4,549 04	3,623.45	(925.59)	LT
	Total		**392**			**$ 10,574 12**	**$ 8,404 68**	**($ 2,169 44)**	
EAST JAPAN RY CO-JPY CGMI AND/OR ITS AFFILIATES	02/24/11	04/24/12 Sold	428	$ 11 568	$ 10 049	$ 4,951 49	$ 4,301 09	($ 650 40)	LT
GLAXOSMITHKLINE PLC SP ADR	01/14/10	04/24/12 Sold	125	41 953	47 216	5,244 13	5,901 86	657 73	LT
HACHIJUNI BANK LTD-JPY ADR	06/20/08	04/24/12 Sold	17	64 813	54.024	1,101.83	918.39	(183.44)	LT
	07/09/08	04/24/12 Sold	45	61 742	54 024	2,778 41	2,431.04	(347 37)	LT
	Total		**62**			**$ 3,880.24**	**$ 3,349.43**	**($ 530 81)**	
NEXEN INC	02/02/09	04/24/12 Sold	217	$ 14 227	$ 19 195	$ 3,087.39	$ 4,165.29	$ 1,077.90	LT
	10/28/09	04/24/12 Sold	269	21 955	19 195	5,906.00	5,163.42	(742 58)	LT
	Total		**486**			**$ 8,993.39**	**$ 9,328.71**	**$ 335.32**	
NINTENDO CO LTD ADR NEW CGMI AND/OR ITS AFFILIATES	08/03/09	04/24/12 Sold	11	$ 33 949	$ 17.16	$ 373.45	$ 188.76	($ 184 69)	LT
	08/20/09	04/24/12 Sold	131	32.369	17.16	4,240 38	2,247 97	(1,992.41)	LT
	Total		**142**			**$ 4,613.83**	**$ 2,436.73**	**($ 2,177.10)**	
PT TELEKOMUNAKASI INDONESIA SPONS ADR	02/17/11	04/17/12 Sold	57	$ 33 434	$ 33 111	$ 1,905 77	$ 1,887.28	($ 18.49)	LT
PT TELEKOMUNAKASI INDONESIA SPONS ADR	02/17/11	04/24/12 Sold	69	33 434	34.402	2,306 99	2,373.74	66.75	LT
	02/25/11	04/24/12 Sold	115	34 019	34 402	3,912 20	3,956 23	44 03	LT
	Total		**241**			**$ 8,124.96**	**$ 8,217.25**	**$ 92 29**	

MorganStanley SmithBarney

Ref 00020117 00134672

Reserved Client Statement

April 1 - April 30, 2012

HARDEN FOUNDATION | Account number 593-09052-10 507

Realized gain or loss *continued*

Description	Original trade date	Closing trade date	Quantity	Purchase price	Sale price	Cost basis	Proceeds	Realized gain or (loss)	
SANOFI SPONS ADR	04/14/08	04/24/12 Sold	35	$ 38 128	$ 37.246	$ 1,334.48	$ 1,303 59	($ 30.89)	LT
	05/21/08	04/24/12 Sold	47	37.339	37.246	1,754 93	1,750 54	(4.39)	LT
	Total		82			$ 3,089.41	$ 3,054.13	($ 35.28)	
SEKISUI HOUSE UNSPONS ADR CGMI AND/OR ITS AFFILIATES	12/12/07	04/24/12 Sold	497	$ 12 29	$ 9.12	$ 6,108 33	$ 4,532.53	($ 1,575 80)	LT
SEVEN & I HLDGS CO LTD-JPY CGMI AND/OR ITS AFFILIATES	06/05/09	04/24/12 Sold	47	47.305	58 493	2,223 37	2,749 10	525 73	LT
WACOAL CORP ADR CGMI AND/OR ITS AFFILIATES	01/18/06	04/24/12 Sold	76	68 907	56.189	5,236.93	4,270 27	(966.66)	LT
Total Long Term this period								($ 6,256 47)	
Total Short Term this period								($ 259.07)	
Total realized gain or (loss) - this period						$ 81,051.04	$ 74,535.50	($ 6,515.54)	
Total Long Term - year-to-date								($ 8,914.64)	
Total Short Term - year-to-date								$ 6,140.97	
Total realized gain or (loss) - year-to-date						$ 149,974.45	$ 145,438.01	($ 2,773.67)	
Total losses disallowed due to wash sale - this period								$ 0.00	
Total losses disallowed due to wash sale - year-to-date								($ 1,762.77)	

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UBS

UBS Financial Services Inc
200 Clock Tower Place
Suite C-100
Carmel CA 93923-8680

EPZ3003275473 1212 X123 ML 0

2012 Year End Summary

Account name: HARDEN FOUNDATION
(TRADEWINDS-INTL)
Account number: ML 09276 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
Phone 831-622-0222/800-937-2400

HARDEN FOUNDATION
(TRADEWINDS-INTL)
PO BOX 779
SALINAS CA 93902-0779

Summary of gains and losses

	Amount ($)
Short term	2,308.80
Long term	-69,252.62
Total	**$-66,943.82**

Realized gains and losses

Estimated 2012 gains and losses for transactions with trade dates through 12/31/12 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

See *Important information about your statement* on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
AGEAS SPON ADR	FIFO	215 000	Apr 10, 12	Nov 12, 12	5,361 97	4,299 57			1,062 40

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CENTRAIS ELETRICAS BRAS SA SPON ADR REP 50 PREF CL B ADR	FIFO	748 000	Sep 26, 12	Dec 03, 12	3,420 15	6,939 27		-3,519 12	
	FIFO	434 000	Sep 26, 12	Dec 04, 12	1,948 57	4,026 26		-2,077 69	
CREDIT SUISSE GROUP SPON ADR	FIFO	370 000	Jul 19, 12	Nov 12, 12	8,436 74	6,574 86			1,861 88
ING GROEP N V NL SPON ADR	FIFO	291 000	May 09, 12	Sep 18, 12	2,533 36	1,917 69			615 67
MARINE HARVEST ASA ADR	FIFO	444 000	Sep 02, 11	Jul 20, 12	6,064 90	4,992 42			1,072 48
NEXEN INC CAD	FIFO	331 000	Sep 27, 11	Jul 23, 12	8,546 33	5,734 31			2,812 02
	FIFO	105 000	Dec 13, 11	Jul 23, 12	2,711 07	1,563 75			1,147 32
	FIFO	103 000	Jul 20, 12	Jul 23, 12	2,659 43	1,751 80			907 63
	FIFO	3 000	Jul 20, 12	Jul 24, 12	78 45	51 02			27 43
TRANSOCEAN LTD CHF	FIFO	72 000	Dec 07, 11	Aug 17, 12	3,582 67	3,268 99			313 68
	FIFO	142 000	Dec 08, 11	Aug 17, 12	7,065 82	6,224 80			841 02
UBS AG REGS ORD CHF	FIFO	207 000	Aug 03, 11	Jul 20, 12	2,103 36	3,256 09		-1,152 73	
	FIFO	369 000	Feb 08, 12	Jul 20, 12	3,749 47	5,323 67		-1,574 20	
VIVENDI SA ADR	FIFO	123 000	Mar 08, 12	Jul 20, 12	2,250 85	2,279.84		-28 99	
Total					**$60,513.14**	**$58,204.34**		**-$8,352.73**	**$10,661.53**
Net short-term capital gains and losses									**$2,308.80**

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ALLIANZ SE ADR	FIFO	260 000	May 24, 10	Nov 27, 12	3,333 46	2,603 56			729 90
ASTRAZENECA PLC SPON ADR	FIFO	52 000	Jan 14, 10	Sep 07, 12	2,431 24	2,559 32		-128 08	
	FIFO	223 000	Apr 22, 10	Sep 07, 12	10,426 26	10,061 94			364 32
	FIFO	157 000	Feb 07, 11	Sep 07, 12	7,340 47	7,525 53		-185 06	
	FIFO	128 000	Feb 08, 11	Sep 07, 12	5,984 58	6,195 02		-210 44	

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UBS ACCESS

Account name: HARDEN FOUNDATION
Account number: ML 09276 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BARRICK GOLD CORP CAD	FIFO	53 000	Apr 09, 09	Sep 18, 12	2,228 21	1,530 31			697 90
	FIFO	76 000	Aug 18, 09	Sep 18, 12	3,195 17	2,543 96			651 21
CAMECO CORP CANADA CAD	FIFO	90 000	Apr 07, 10	Nov 12, 12	1,591.40	2,422 57		-831.17	
CARREFOUR SA SPON ADR	FIFO	875 000	Sep 25, 09	Aug 31, 12	3,645 26	7,926 63		-4,281 37	
	FIFO	721 000	Oct 06, 09	Aug 31, 12	3,003 69	6,460 16		-3,456 47	
	FIFO	124 000	Oct 06, 09	Nov 12, 12	570 39	1,111 04		-540 65	
	FIFO	651 000	Oct 22, 09	Nov 12, 12	2,994 53	5,962.31		-2,967.78	
HACHIJUNI BANK LTD ADR	FIFO	89 000	Jul 09, 08	Oct 24, 12	4,642 34	5,495 07		-852 73	
	FIFO	3 000	Jul 09, 08	Nov 28, 12	153 99	185 23		-31 24	
	FIFO	87 000	Oct 07, 09	Nov 28, 12	4,465 72	4,710 38		-244.66	
	FIFO	11 000	Oct 07, 09	Nov 29, 12	565 19	595 57		-30 38	
HOME RETAIL GROUP PLC SPON ADR	FIFO	413 000	Mar 10, 11	Nov 05, 12	2,998 31	5,260 29		-2,261 98	
	FIFO	1 000	Mar 10, 11	Dec 24, 12	8 29	12 74		-4 45	
	FIFO	193 000	Jun 09, 11	Dec 24, 12	1,599 62	2,287 90		-688 28	
KINROSS GOLD CORP NEW CAD	FIFO	172 000	Jul 13, 09	Oct 08, 12	1,842 32	3,019 79		-1,177 47	
	FIFO	112 000	Oct 30, 09	Oct 08, 12	1,199 65	2,045 55		-845 90	
	FIFO	445 000	Oct 30, 09	Nov 12, 12	4,540 36	8,127 39		-3,587 03	
KOREA ELECTRIC POWER CRP SPONSORED ADR SHS	FIFO	173 000	Dec 02, 05	Jul 20, 12	1,893 18	2,909 93		-1,016 75	
	FIFO	38 000	Oct 09, 06	Jul 20, 12	415 84	731 42		-315 58	
	FIFO	86 000	Oct 12, 06	Jul 20, 12	941 12	1,689 23		-748 11	
	FIFO	6 000	Oct 12, 06	Oct 12, 12	71 10	117 85		-46 75	
	FIFO	24 000	Oct 13, 06	Oct 12, 12	284 39	476 19		-191 80	
	FIFO	48 000	Oct 16, 06	Oct 12, 12	568 79	948 19		-379 40	
	FIFO	105 000	Oct 17, 06	Oct 12, 12	1,244 22	2,063 97		-819 75	
	FIFO	17 000	Dec 11, 07	Oct 12, 12	201 44	379 09		-177 65	
	FIFO	584 000	Dec 11, 07	Nov 05, 12	7,793 24	13,023 03		-5,229 79	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	259 000	Dec 11, 07	Dec 21, 12	3,551 23	5,775 62		-2,224 39	
	FIFO	496 000	Mar 17, 08	Dec 21, 12	6,800 80	6,944 59		-143 79	
	FIFO	24.000	Mar 17, 08	Dec 27, 12	328 80	336 03		-7 23	
MARINE HARVEST ASA ADR	FIFO	33 000	Sep 02, 11	Dec 05, 12	584 42	371 06			213 36
	FIFO	8 000	Sep 06, 11	Dec 05, 12	141 68	85 69			55 99
	FIFO	96 000	Sep 06, 11	Dec 06, 12	1,705 13	1,028 33			676 80
	FIFO	8 000	Sep 09, 11	Dec 06, 12	142 09	87 21			54.88
NEWCREST MINING LTD SPON AUSTRALIA ADR	FIFO	150 000	Aug 13, 08	Sep 18, 12	4,510 56	3,169 71			1,340 85
NEXEN INC CAD	FIFO	138 000	Oct 28, 09	Jul 23, 12	3,563 12	3,029 85			533.27
	FIFO	419 000	May 19, 10	Jul 23, 12	10,818 46	8,822 42			1,996 04
	FIFO	210 000	Oct 20, 10	Jul 23, 12	5,422 14	4,545 16			876.98
NIPPON TELEG & TEL CORP SPON ADR	FIFO	236 000	Dec 11, 07	Sep 20, 12	5,761 34	5,618 81			142 53
	FIFO	100 000	Dec 11, 07	Nov 12, 12	2,288 94	2,380 85		-91 91	
NOKIA CORP SPONS ADR FINLAND ADR	FIFO	44 000	Feb 03, 09	Sep 21, 12	121 00	532 19		-411 19	
	FIFO	373 000	Feb 20, 09	Sep 21, 12	1,025 72	3,919 07		-2,893 35	
	FIFO	400 000	Jul 20, 09	Sep 21, 12	1,099.98	5,247 00		-4,147 02	
	FIFO	735 000	Nov 18, 09	Sep 21, 12	2,021 20	10,253 62		-8,232 42	
	FIFO	138 000	Apr 30, 10	Sep 21, 12	379 49	1,682 23		-1,302 74	
	FIFO	201 000	Apr 30, 10	Sep 25, 12	555 43	2,450 21		-1,894 78	
	FIFO	268 000	May 24, 10	Sep 25, 12	740 57	2,700 13		-1,959 56	
	FIFO	745 000	Feb 11, 11	Sep 25, 12	2,058 69	7,018 27		-4,959 58	
	FIFO	413 000	Mar 16, 11	Sep 25, 12	1,141 25	3,330 72		-2,189 47	
	FIFO	863 000	May 31, 11	Sep 25, 12	2,384 76	6,068 53		-3,683 77	
	FIFO	12 000	May 31, 11	Sep 26, 12	30 83	84 38		-53 55	
P T TELEKOMUNIKASI INDONESIA SPON ADR	FIFO	150.000	Feb 25, 11	Jul 20, 12	5,692 90	5,102 86			590 04

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
POLYUS GOLD INTL LTD SPON GDR	FIFO	1,207 727	Jun 16, 11	Dec 27, 12	3,957 27	4,898 72		-941 45	
	FIFO	428 272	Jun 17, 11	Dec 27, 12	1,403 29	1,737 65		-334 36	
	FIFO	476 000	Aug 03, 11	Dec 27, 12	1,559 67	1,712 60		-152.93	
SANOFI SPON ADR	FIFO	47 000	May 21, 08	Jul 20, 12	1,777 97	1,754 93			23 04
	FIFO	85 000	May 21, 08	Nov 12, 12	3,706 21	3,173.82			532 39
SEVEN & I HLDGS CO LTD ADR	FIFO	45 000	Jun 05, 09	Jul 20, 12	2,847 08	2,128 76			718 32
SIEMENS A G SPON ADR	FIFO	9 000	Sep 29, 08	Nov 12, 12	906 80	839 62			67 18
	FIFO	36 000	Oct 24, 08	Nov 12, 12	3,627 18	1,813 37			1,813 81
SK TELECOM CO LTD ADR	FIFO	97 000	Apr 29, 08	Oct 16, 12	1,452 63	2,155 55		-702 92	
	FIFO	447 000	Apr 14, 09	Oct 16, 12	6,694 07	7,274 21		-580 14	
	FIFO	140 000	Apr 14, 09	Nov 12, 12	2,135 57	2,278 28		-142 71	
SWISSCOM AG SPON ADR	FIFO	125 000	Dec 12, 07	Nov 12, 12	5,047 38	4,875 00			172 38
UBS AG REGS ORD CHF	FIFO	175 000	Jan 07, 08	Jul 20, 12	1,778 21	7,174 41		-5,396 20	
	FIFO	304 000	Feb 29, 08	Jul 20, 12	3,088 99	9,483 30		-6,394 31	
	FIFO	266 000	Jun 18, 08	Jul 20, 12	2,702 87	5,401 27		-2,698.40	
	FIFO	343 000	Jan 22, 10	Jul 20, 12	3,485 29	4,901 09		-1,415 80	
VODAFONE GROUP PLC NEW SPON ADR	FIFO	10 000	Jul 22, 08	Aug 07, 12	297 51	258 80			38 71
	FIFO	153 000	Nov 10, 08	Aug 07, 12	4,551 84	2,558 80			1,993 04
WOLTERS KLUWER NV SPONSORED ADR NETHERLANDS ADR	FIFO	375 000	Apr 29, 09	Nov 12, 12	6,899 84	6,230 71			669 13
Total					**$202,963.97**	**$272,216.59**		**-$84,204.69**	**$14,952.07**
Net long-term capital gains or losses								**-$69,252.62**	
Net capital gains/losses:								**-$66,943.82**	

continued next page

Details of Earnings 2012 - continued

Dividends and Distributions, Section 1

Reference number	Description	Total dividends	Qualified dividends	Short term capital gains	Total capital gain distributions	Unrecaptured Sec. 1250 gain	Section 1202 gain	Alternative minimum tax
160003200	980745103000 WOODWARD GOVERNOR CO	$ 896.00	$ 896.00					
160003300	988498101000 YUM BRANDS INC	2,109 00	2,109.00					
Totals		$ 88,314.62	$ 88,300 53					

Dividends and Distributions, Section 2

Amounts displayed for dividends include dividend reinvestments, money fund earnings, accrued dividends received and dividends exempt from Federal income tax

Reference number	Description	Nondividend distributions	Foreign tax paid	Cash liquidation distributions	Non cash liquidation distributions	Investment expense	NRA Withholding
160001800	380956409001 *** GOLDCORP INC NEW THIS AMOUNT INCLUDES TAXES PAID TO A FOREIGN GOVERNMENT		$ 89.10				
Totals			$ 89.10				

Details of Short Term Gain (Loss) 2012

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	865	ABBOTT LABORATORIES	08/23/11	02/03/12	$ 47,679.61	$ 43,379.66		$ 4,299.95
	935		09/22/11		51,538.08	46,835.18		4,702.90
125000020	1,860	ABBOTT LABORATORIES	09/22/11	02/09/12	102,591.91	93,169.44		9,422.47
125000030	5,676	ACME PACKET INC	02/03/12	06/05/12	129,447 36	189,017 61	(59,570.25)	
	1,124	CGMI AND/OR ITS AFFILIATES	02/15/12		25,634.04	38,766.76	(13,132.72)	
	2,000		03/09/12		45,612.18	56,420.00	(10,807.82)	
125000040	275	AGNICO EAGLE MINES LTD	05/02/11	02/02/12	10,302 15	18,640.05	(8,337.90)	
	300		08/23/11		11,238.72	19,884.84	(8,646.12)	

2012 YEAR END SUMMARY

Morgan Stanley

Ref: 00000855 00005891

2012 Year End Summary

HARDEN FOUNDATION
Account Number 593-08393-10 006

Details of Short Term Gain (Loss) 2012 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000080	7,000	ARUBA NETWORKS INC CGMI AND/OR ITS AFFILIATES	03/20/12	05/08/12	$ 121,508.87	$ 167,204 80	($ 45,695.93)	
125000090	500	CATERPILLAR INC	06/17/11	05/23/12	45,021.99	48,079.20	(3,057.21)	
125000120	2,300 1,400	CLOROX COMPANY DE	11/08/11 12/01/11	03/13/12	157,092.92 95,621.78	151,451.32 91,693.00		5,641.60 3,928.78
125000130	400	COCA-COLA CO	08/30/11	06/06/12	29,587.37	27,979 56		1,607.81
125000140	275	COLGATE PALMOLIVE CO	08/23/11	06/06/12	26,887.24	23,631.16		3,256.08
125000170	500	EMERSON ELECTRIC CO	05/02/11	04/27/12	26,251.81	30,519.00	(4,267.19)	
125000210	1,200	GLAXOSMITHKLINE PLC SP ADR	09/08/11	03/13/12	54,353.26	50,352.72		4,000.54
125000250	1,000	ILLUMINA INC CGMI AND/OR ITS AFFILIATES	11/30/11	02/16/12	54,240.02	27,633.90		26,606.12
125000260	500	ILLUMINA INC CGMI AND/OR ITS AFFILIATES	11/30/11	03/09/12	25,224.54	13,816 95		11,407.59
125000270	800	ILLUMINA INC CGMI AND/OR ITS AFFILIATES	11/30/11	03/13/12	40,124.95	22,107.12		18,017.83
125000280	500	INFORMATICA CORP CGMI AND/OR ITS AFFILIATES	11/30/11	06/06/12	21,661.76	22,333.55	(671.79)	
125000310	800	JOHNSON & JOHNSON	09/08/11	02/13/12	51,752.20	52,525 60	(773 40)	
125000320	563	JOHNSON & JOHNSON	09/08/11	02/16/12	36,594.40	36,964.89	(370.49)	
125000330	1,037 2,200	JOHNSON & JOHNSON	09/08/11 09/21/11	03/01/12	67,292 83 142,762.02	68,086.31 140,782.40	(793.48)	 1,979.62
125000340	700	KIMBERLY CLARK CORP	11/08/11	03/13/12	50,876.83	49,439.25		1,437.58
125000350	1,500	KIMBERLY CLARK CORP	11/08/11	03/14/12	108,840.29	105,941.25		2,899.04
125000370	3,100 2,147	NETAPP INC CGMI AND/OR ITS AFFILIATES	02/09/12 02/16/12	05/08/12	115,542.78 80,022.69	127,179.36 91,623.23	(11,636.58) (11,600.54)	
125000390	1,100 650	PHILLIPS 66	08/23/11 08/24/11	05/07/12	32,845.48 19,408.69	32,542.61 19,598 52	 (189.83)	302.87
125000410	1,200	SYSCO CORP	09/28/11	02/15/12	35,113.76	31,909.20		3,204.56
125000420	8,000	SYSCO CORP	09/28/11	03/05/12	235,330.96	212,728.00		22,602.96
125000430	1,200	VERIZON COMMUNICATIONS	08/23/11	03/13/12	47,247 34	42,741.36		4,505.98
125000440	5,500	VERIZON COMMUNICATIONS	08/23/11	04/10/12	202,688.60	195,897.90		6,790.70
Total					**$ 2,347,939.43**	**$ 2,390,875.70**	**($ 179,551.25)**	**$ 136,614.98**

2012 YEAR END SUMMARY

Morgan Stanley

Ref. 00000855 00005892

2012 Year End Summary

HARDEN FOUNDATION

Account Number 593-08393-10 006

Details of Long Term Gain (Loss) 2012

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000040	2,604	AGNICO EAGLE MINES LTD	10/18/10	02/02/12	$ 97,552.04	$ 187,653 87	($ 90,101.83)	
	921		11/08/10		34,502 85	75,315.79	(40,812.94)	
125000050	300	AIR PRODUCTS & CHEMICALS INC	08/17/10	01/18/12	27,375.64	23,268.45		4,107.19
125000060	1,000	AIR PRODUCTS & CHEMICALS INC	08/17/10	05/30/12	79,442.22	77,561.50		1,880.72
	1,000		09/13/10		79,442.22	78,731.10		711.12
125000070	47	APACHE CORP	03/19/09	05/07/12	4,149.21	3,206.34		942 87
	150		12/10/09		13,242.15	14,195.91	(953.76)	
	796		08/17/10		70,271.69	74,022.59	(3,750.90)	
	142		09/20/10		12,535.90	13,629.76	(1,093.86)	
	150		11/04/10		13,242.16	16,076.84	(2,834.68)	
125000090	975	CATERPILLAR INC	10/26/10	05/23/12	87,792 88	76,738 65		11,054 23
125000100	533	CELGENE CORP CGMI AND/OR ITS AFFILIATES	09/25/08	05/29/12	36,866.25	34,458.18		2,408.07
125000110	400	CERNER CORP CGMI AND/OR ITS AFFILIATES	09/28/10	06/06/12	31,190 90	16,146 10		15,044 80
125000150	900	EMC CORP-MASS	03/19/08	01/18/12	20,314 31	13,095.00		7,219.31
125000160	800	EMERSON ELECTRIC CO	11/24/98	04/02/12	42,069.46	25,700.00		16,369.46
	1,000		12/13/99		52,586 82	26,781 25		25,805.57
	184		06/04/04		9,675.97	5,572.72		4,103.25
125000170	1,216	EMERSON ELECTRIC CO	06/04/04	04/27/12	63,844.41	36,828.38		27,016 03
	600		11/30/05		31,502 17	22,749.00		8,753.17
	850		10/20/08		44,628.08	28,970.98		15,657.10
	750		03/12/09		39,377 72	20,175 00		19,202.72
125000180	320	EXXON MOBIL CORP	05/31/05	05/29/12	26,303.73	18,153.98		8,149.75
125000190	1,300	FASTENAL CO CGMI AND/OR ITS AFFILIATES	10/12/09	01/18/12	58,702.58	25,808.90		32,893.68
125000200	422	GILEAD SCIENCES INC CGMI AND/OR ITS AFFILIATES	11/08/10	05/29/12	21,396.19	16,333.68		5,062 51
125000220	2,510	GOLDCORP INC NEW	01/22/08	02/03/12	119,753.06	89,384.11		30,368.95
	490		03/25/08		23,378 09	19,468.34		3,909.75
125000230	100	GOLDCORP INC NEW	03/25/08	03/13/12	4,625 48	3,973 13		652 35
	500		04/29/08		23,127 38	17,734.00		5,393.38
	300		05/06/08		13,876.43	11,262.00		2,614.43

2012 YEAR END SUMMARY

Morgan Stanley

Ref. 00000855 00005893

2012 Year End Summary

HARDEN FOUNDATION

Account Number 593-08393-10 006

Details of Long Term Gain (Loss) 2012 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
	600		07/14/08		$ 27,752 86	$ 29,468.34	($ 1,715.48)	
	500		08/14/08		23,127 38	16,324.50		6,802 88
	750		12/10/09		34,691.07	30,419.25		4,271.82
	650		12/18/09		30,065.60	24,847 23		5,218.37
125000240	45	GOOGLE INC	10/16/08	05/01/12	27,473.14	14,723.09		12,750.05
	81	CLASS A	11/04/08		49,451 66	29,810 10		19,641.56
		CGMI AND/OR ITS AFFILIATES						
125000290	356	INTL BUSINESS MACHINES CORP	03/16/09	04/24/12	71,562 93	32,898.03		38,664.90
125000300	1,098	INTUIT INC	03/24/10	04/24/12	61,747.39	38,246 96		23,500 43
		CGMI AND/OR ITS AFFILIATES						
125000360	492	MCDONALDS CORP	07/23/09	05/29/12	44,924 99	27,758.59		17,166.40
125000380	4,329	ORACLE CORP	10/06/08	03/20/12	128,127 00	76,353.17		51,773.83
	1,071	CGMI AND/OR ITS AFFILIATES	10/17/08		31,698 78	18,228.42		13,470.36
	1,362		03/16/09		40,311.61	20,591 26		19,720.35
	638		03/26/09		18,883.12	11,795.34		7,087.78
	2,342		09/22/09		69,317.03	50,376 19		18,940 84
125000400	405	QUALCOMM INC	03/24/11	05/29/12	23,570.47	21,641 46		1,929.01
		CGMI AND/OR ITS AFFILIATES						
Total					$ 1,865,473 02	$ 1,516,477.48	($ 141,263.45)	$ 490,258.99

Details of Deposits and Withdrawals 2012

This section reflects any deposits or withdrawals made to your account during the year.

Deposits

Reference number	Date	Description	Amount
210000100	01/31/12	CONSULTING & ADVISORY SERVICES FROM 01/24/12 TO 03/31/12	$ 139.34
210000300	05/31/12	CONSULTING & ADVISORY SERVICES FROM 05/29/12 TO 06/30/12	81 15

Reference number	Date	Description	Amount
210000200	04/30/12	CONSULTING & ADVISORY SERVICES FROM 04/24/12 TO 06/30/12	$ 55.73
210000400	06/13/12	CONSULTING & ADVISORY SERVICES FROM 06/11/12 TO 06/30/12	3,708.00

2012 YEAR END SUMMARY

2012 Year End Summary

UBS

UBS Financial Services Inc
200 Clock Tower Place
Suite C-100
Carmel CA 93923-8680

EPZ3003275491 1212 X123 ML 0

Account name: HARDEN FOUNDATION
(RED GRANITE)
Account number: ML 09278 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
Phone 831-622-0222/800-937-2400

HARDEN FOUNDATION
(RED GRANITE)
PO BOX 779
SALINAS CA 93902-0779

Summary of gains and losses

	Amount ($)
Short term	-205,732 38
Long term	338,888.04
Total	**$133,155.66**

Realized gains and losses

Estimated 2012 gains and losses for transactions with trade dates through 12/31/12 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

See *Important information about your statement* on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BIOGEN IDEC INC	FIFO	160 000	Nov 17, 11	Oct 17, 12	24,686 48	17,465 74			7,220 74

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UBS Managed Accounts Consulting

Account name: HARDEN FOUNDATION
Account number ML 09278 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CARBO CERAMICS INC	FIFO	1,600 000	Feb 07, 12	Jul 27, 12	107,706 39	150,090 56		-42,384 17	
	FIFO	840 000	Feb 13, 12	Jul 27, 12	56,545 85	73,914 04		-17,368 19	
	FIFO	101 000	Feb 13, 12	Jul 27, 12	6,798 96	8,887 28		-2,088 32	
COGNIZANT TECH SOLUTIONS CRP	FIFO	214 000	Mar 13, 12	Aug 03, 12	12,390 66	16,037 55		-3,646 89	
	FIFO	2,691 000	Mar 13, 12	Aug 03, 12	155,809 71	201,668 38		-45,858 67	
	FIFO	978 000	Jun 07, 12	Aug 03, 12	56,626 50	58,844 21		-2,217 71	
GLAXO SMITHKLINE PLC ADR	FIFO	85 000	Sep 08, 11	Jul 26, 12	3,806 35	3,566.65			239 70
	FIFO	1,005 000	Sep 08, 11	Jul 26, 12	45,004 50	42,170 40			2,834 10
	FIFO	768 000	May 29, 12	Dec 13, 12	33,637 65	34,290 43		-652 78	
GOLDMAN SACHS GROUP INC	FIFO	980 000	Mar 13, 12	Nov 26, 12	116,524 97	118,329 31		-1,804 34	
ILLUMINA INC	FIFO	249 000	Nov 30, 11	Nov 02, 12	11,931 83	6,880 84			5,050 99
INFORMATICA CORP	FIFO	3,000 000	Nov 30, 11	Jul 16, 12	88,168 21	134,001 30		-45,833 09	
	FIFO	1,600 000	Jan 03, 12	Jul 16, 12	47,023 04	60,240 64		-13,217 60	
LAS VEGAS SANDS CORP	FIFO	1,802 000	Feb 02, 12	Sep 20, 12	82,738 23	92,536 48		-9,798 25	
LKQ CORP NEW	FIFO	602.000	Mar 13, 12	Nov 02, 12	12,759 10	9,456 88			3,302 22
MONSANTO CO NEW	FIFO	141 000	Mar 01, 12	Nov 02, 12	12,108 93	11,218 24			890 69
VISA INC CL A	FIFO	50 000	Jul 16, 12	Nov 02, 12	7,202 33	6,415 31			787 02
WOODWARD INC	FIFO	3,800.000	Dec 20, 11	Jul 16, 12	128,622 56	154,934 36		-26,311 80	
	FIFO	1,800 000	Jan 03, 12	Jul 16, 12	60,926 47	75,802 50		-14,876 03	
Total					**$1,071,018.72**	**$1,276,751.10**		**-$226,057.84**	**$20,325.46**
Net short-term capital gains and losses								**-$205,732.38**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BRISTOL MYERS SQUIBB CO	FIFO	485 000	Sep 22, 11	Nov 02, 12	16,218 08	14,683 18			1,534 90
	FIFO	4,115 000	Sep 22, 11	Nov 15, 12	126,387 75	124,579 98			1,807 77
	FIFO	3,235 000	Nov 01, 11	Nov 15, 12	99,359 50	101,842 98		-2,483 48	
CELGENE CORP	FIFO	186 000	Sep 25, 08	Nov 02, 12	13,670 69	12,024 81			1,645 88

continued next page

UBS Managed Accounts Consulting

Account name: HARDEN FOUNDATION
Account number. ML 09278 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
CERNER CORP	FIFO	1,700 000	Sep 28, 10	Nov 30, 12	130,723 32	68,620 93			62,102 39
	FIFO	112 000	Oct 28, 11	Nov 30, 12	8,612 36	7,220 28			1,392 08
CHEVRON CORP	FIFO	116 000	Sep 29, 10	Nov 02, 12	12,587 74	9,424 83			3,162 91
	FIFO	602 000	Sep 29, 10	Nov 15, 12	61,353 68	48,911 59			12,442 09
CONOCOPHILLIPS	FIFO	303 000	Aug 23, 11	Nov 02, 12	17,508 00	15,081 52			2,426 48
COSTCO WHOLESALE CORP	FIFO	72 000	Feb 05, 09	Nov 02, 12	6,955 76	3,109 22			3,846 54
DEERE AND CO	FIFO	310 000	Oct 19, 09	Oct 17, 12	26,582 86	14,164 06			12,418 80
	FIFO	22 000	Jun 15, 11	Oct 17, 12	1,886 53	1,800.87			85 66
EMC CORP MASS	FIFO	680 000	Mar 19, 08	Nov 02, 12	16,994 99	9,894 00			7,100 99
EXXON MOBIL CORP	FIFO	61 000	May 31, 05	Nov 02, 12	5,506 34	3,460 60			2,045 74
	FIFO	119 000	May 31, 05	Nov 15, 12	10,207 05	6,751 02			3,456 03
	FIFO	800 000	Nov 30, 05	Nov 15, 12	68,618 86	46,808 00			21,810 86
GILEAD SCIENCES INC	FIFO	1,045 000	Nov 08, 10	Nov 30, 12	78,306 99	40,447 14			37,859 85
GLAXO SMITHKLINE PLC ADR	FIFO	1,310 000	Sep 08, 11	Dec 13, 12	57,376 71	54,968 39			2,408 32
	FIFO	3,600 000	Sep 15, 11	Dec 13, 12	157,676 47	148,307 76			9,368 71
GOOGLE INC CL A	FIFO	8 000	Nov 04, 08	Nov 02, 12	5,512 67	2,944 21			2,568 46
	FIFO	4 000	Mar 18, 09	Nov 02, 12	2,756 34	1,343 97			1,412 37
ILLUMINA INC	FIFO	2,951 000	Nov 30, 11	Dec 20, 12	166,140 24	81,547 64			84,592 60
	FIFO	901 000	Dec 06, 11	Dec 20, 12	50,725.97	26,711 23			24,014 74
INTL BUSINESS MACH	FIFO	52 000	Mar 16, 09	Nov 02, 12	10,132 49	4,805 33			5,327 16
	FIFO	216 000	Mar 16, 09	Dec 10, 12	41,651 47	19,960 60			21,690 87
INTUIT	FIFO	199 000	Mar 24, 10	Nov 02, 12	12,187 28	6,931 83			5,255 45
MCDONALDS CORP	FIFO	60 000	Jul 23, 09	Nov 02, 12	5,238 48	3,385 19			1,853 29
QUALCOMM INC	FIFO	261.000	Mar 24, 11	Nov 02, 12	15,556 20	13,946 72			1,609 48
YUM! BRANDS INC	FIFO	188 000	Jul 08, 10	Nov 02, 12	13,640 97	7,509 87			6,131.10
Total					**$1,240,075.79**	**$901,187.75**		**-$2,483.48**	**$341,371.52**
Net long-term capital gains or losses									**$338,888.04**
Net capital gains/losses:									**$133,155.66**

Ref: 00000738 00005147

2012 Year End Summary

HARDEN FOUNDATION
Account Number 593-07116-18 006

Details of Short Term Gain (Loss) 2012

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000010	91	KOSMOS ENERGY LTD	07/19/11	01/20/12	$ 1,182.99	$ 1,459.75	($ 276 76)	
	119		07/20/11		1,546.99	1,923.41	(376.42)	
	205		08/01/11		2,664.99	3,124 36	(459.37)	
	75		08/05/11		975.00	979.49	(4.49)	
125000020	190	KOSMOS ENERGY LTD	08/05/11	04/23/12	2,239.35	2,481.36	(242 01)	
	250		08/08/11		2,946.51	3,097 20	(150 69)	
	210		08/09/11		2,475.07	2,579 33	(104.26)	
	219		08/11/11		2,581 14	2,628.00	(46.86)	
	161		08/15/11		1,897.55	2,006.82	(109.27)	
	590		08/18/11		6,953 76	7,366 03	(412 27)	
125000120	470	CODEXIS INC	10/03/11	02/24/12	1,893.22	2,115.00	(221.78)	
	65	CGMI AND/OR ITS AFFILIATES	10/04/11		261.83	288.34	(26.51)	
125000130	376	FERRO CORP	12/22/11	02/02/12	2,662.89	1,880 00		782.89
	99		12/23/11		701.13	495.00		206.13
125000150	285	FORD MOTOR COMPANY	08/05/11	02/28/12	3,489.36	3,058 51		430 85
	775		08/11/11		9,488.62	8,285.06		1,203.56
	250		08/15/11		3,060 84	2,809.53		251.31
	.90		10/14/11		12,120.95	11,371.64		749.31
125000160	105	JPMORGAN CHASE & CO	08/09/11	02/14/12	3,973.16	3,694.02		279.14
125000170	166	JPMORGAN CHASE & CO	08/09/11	05/11/12	6,175.56	5,840 06		335 50
125000180	50	JONES LANG LASALLE INC	09/29/11	02/14/12	4,060.10	2,740 72		1,319.38
125000190	17	JONES LANG LASALLE INC	10/04/11	03/22/12	1,418.49	829.29		589 20
125000200	33	JONES LANG LASALLE INC	10/04/11	05/31/12	2,376.62	1,609 81		766 81
	77		10/10/11		5,545.44	4,240.64		1,304.80
125000250	120	PEBBLEBROOK HOTEL TRUST	09/07/11	04/20/12	2,785.94	1,811.75		974.19
	56		09/08/11		1,300.10	862 24		437.86
125000260	175	ST JOE COMPANY	11/21/11	04/26/12	3,135.37	2,525.15		610.22
	160		12/23/11		2,866.62	2,427.82		438 80
	256		01/10/12		4,586.60	3,788 80		797.80
	129		01/11/12		2,311 22	1,908.10		403.12
125000270	75	SOUTHWESTERN ENERGY CO	08/11/11	02/02/12	2,317.46	2,786.22	(468.76)	
	80	DEL	08/12/11		2,471.96	3,046.44	(574.48)	
	90		08/18/11		2,780.95	3,287.84	(506.89)	

Details of Short Term Gain (Loss) 2012 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000280	95	STIFEL FINANCIAL CORP	09/29/11	02/28/12	$ 3,604.40	$ 2,582.75		$ 1,021.65
	100		10/04/11		3,794 11	2,491.13		1,302.98
125000290	479	USEC INC	03/16/11	01/03/12	611.76	2,009.93	(1,398 17)	
125000300	136	USEC INC	03/16/11	01/05/12	177.30	570.67	(393.37)	
	167		05/09/11		217.71	741.70	(523.99)	
	898		06/17/11		1,170.69	3,007.22	(1,836.53)	
125000310	875	USEC INC	06/17/11	01/06/12	1,178 33	2,930 20	(1,751.87)	
125000320	735	USEC INC	06/17/11	01/10/12	957.83	2,461.37	(1,503.54)	
Total					$ 118,959.91	$ 116,142.70	($ 11,388.29)	$ 14,205.50

Details of Long Term Gain (Loss) 2012

This section shows your sales of securities during the year. The "Sale Proceeds" column excludes any accrued income you may have received. In addition, although cash in lieu less than $20 is not included on Form 1099-B (if applicable), it is included in this section. Please note, this material is being prepared for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000030	178	ARTIO GLOBAL INVESTORS INC	03/25/10	01/24/12	$ 800.98	$ 4,224.62	($ 3,423.64)	
	90		04/01/10		404.99	2,275.61	(1,870 62)	
	74		04/13/10		333.00	1,786.39	(1,453.39)	
125000040	186	ARTIO GLOBAL INVESTORS INC	04/13/10	01/25/12	837.97	4,490.11	(3,652 14)	
	134		06/01/10		603.70	2,478.92	(1,875 22)	
125000050	76	ARTIO GLOBAL INVESTORS INC	06/01/10	02/01/12	341.99	1,405.95	(1,063.96)	
	37		06/04/10		166.50	632.70	(466.20)	
125000060	24	ARTIO GLOBAL INVESTORS INC	06/04/10	02/02/12	107.99	410 40	(302 41)	
125000070	254	ARTIO GLOBAL INVESTORS INC	06/04/10	02/07/12	1,142.97	4,343 40	(3,200.43)	
125000080	110	ARTIO GLOBAL INVESTORS INC	06/04/10	02/10/12	486.25	1,881.00	(1,394.75)	
	175		06/14/10		773.59	3,074.77	(2,301.18)	
125000090	135	ARTIO GLOBAL INVESTORS INC	06/14/10	02/13/12	611.19	2,371.96	(1,760.77)	
	510		09/22/10		2,308.93	7,649.29	(5,340.36)	
	35		09/30/10		158.45	533.66	(375.21)	
125000100	113	ARTIO GLOBAL INVESTORS INC	09/30/10	02/14/12	511.12	1,722.94	(1,211.82)	
	115		10/01/10		520.17	1,748.08	(1,227.91)	
	402		10/04/10		1,818.33	6,122.86	(4,304.53)	

Morgan Stanley

Ref· 00000738 00005149

2012 Year End Summary

HARDEN FOUNDATION
Account Number 593-07116-18 006

Details of Long Term Gain (Loss) 2012 - *continued*

Reference number	Quantity	Security Description	Opening Trade Date	Closing Trade Date	Sale Proceeds	Cost	(Loss)	Gain
125000110	1,300	CALLAWAY GOLF COMPANY	12/11/09	01/11/12	$ 7,346.15	$ 9,578.40	($ 2,232 25)	
125000140	436	FLUOR CORP NEW	12/11/09	02/28/12	27,141.64	17,352 28		9,789 36
125000210	2,000	KEYCORP -NEW	12/11/09	06/04/12	13,712 69	12,168.80		1,543.89
	791		02/17/10		5,423.37	5,457.82	(34.45)	
125000220	160	NATIONAL OILWELL VARCO INC	12/11/09	01/20/12	11,948.39	7,005 92		4,942.47
125000230	70	NATIONAL OILWELL VARCO INC	12/11/09	02/14/12	5,821.34	3,065.09		2,756.25
125000240	81	NATIONAL OILWELL VARCO INC	12/11/09	02/28/12	6,885.45	3,546.75		3,338.70
Total					**$ 90,207.15**	**$ 105,327.72**	**($ 37,491.24)**	**$ 22,370.67**

Details of Deposits and Withdrawals 2012

This section reflects any deposits or withdrawals made to your account during the year.

Deposits

Reference number	Date	Description	Amount
210000100	02/29/12	CONSULTING & ADVISORY SERVICES FROM 02/23/12 TO 03/31/12	$ 35.30
210000300	06/15/12	DEBIT CASH BALANCE ACCT-TRANSFRD-06 15 12 UBS FINANCIAL SERVICES INC.	95.00
210000200	06/13/12	CONSULTING & ADVISORY SERVICES FROM 06/11/12 TO 06/30/12	$ 506.31
Total			**$ 636.61**

Withdrawals

Reference number	Date	Description	Referral number	Amount
220000100	01/20/12	CONSULTING & ADVISORY SERVICES FROM 01/01/12 TO 03/31/12		$ 2,127 86
220000300	04/20/12	CONSULTING & ADVISORY SERVICES FROM 04/01/12 TO 06/30/12		2,303 74
220000500	06/19/12	CREDIT CASH BALANCE ACCT-TRANSFRD-06 19 12 UBS FINANCIAL SERVICES INC.		1,176 38
220000200	02/23/12	FROM 593-07116-01 TO 593-04252-01		$ 40,000.00
220000400	06/15/12	CREDIT CASH BALANCE ACCT-TRANSFRD-06 15 12 UBS FINANCIAL SERVICES INC		149,118.37
220000600	06/22/12	CREDIT CASH BALANCE ACCT-TRANSFRD-06 22 12 UBS FINANCIAL SERVICES INC.		353 25

2012 YEAR END SUMMARY

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2012 Year End Summary

UBS

UBS Financial Services Inc
200 Clock Tower Place
Suite C-100
Carmel CA 93923-8680

EPZ3003275479 1212 X123 ML 0

Account name: HARDEN FOUNDATION
(CLEARBRIDGE OPPORTUNITY)

Account number: ML 09281 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
Phone 831-622-0222/800-937-2400

HARDEN FOUNDATION
(CLEARBRIDGE OPPORTUNITY)
PO BOX 779
SALINAS CA 93902-0779

Summary of gains and losses

	Amount ($)
Short term	-16,921 57
Long term	-229,267 92
Total	**$-246,189.49**

Realized gains and losses

Estimated 2012 gains and losses for transactions with trade dates through 12/31/12 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

See Important information about your statement on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
BANK OF AMER CORP	FIFO	602 000	Jun 06, 12	Jul 27, 12	4,334 30	4,372 81		-38 51	

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UBS Business Services Account

Account name: HARDEN FOUNDATION
Account number: ML 09281 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
C&J ENERGY SVCS INC	FIFO	126 000	Jun 01, 12	Jul 27, 12	2,290 62	2,179 80			110 82
CARNIVAL CORP NEW (PAIRED STOCK)	FIFO	185 000	Sep 06, 11	Jul 27, 12	6,088 21	5,604 11			484 10
	FIFO	60 000	Oct 04, 11	Jul 27, 12	1,974 56	1,796 22			178 34
	FIFO	70 000	Nov 02, 11	Jul 27, 12	2,303 65	2,372 95		-69 30	
	FIFO	155 000	Jan 10, 12	Jul 27, 12	5,100 93	5,214 28		-113 35	
CLIFFS NAT RESOURCES INC	FIFO	48 000	Jun 04, 12	Jul 27, 12	1,871 95	2,185 67		-313 72	
CORNING INC	FIFO	1,130 000	Dec 27, 11	Jul 27, 12	12,768 71	14,972 27		-2,203 56	
	FIFO	230 000	Dec 30, 11	Jul 27, 12	2,598 94	2,989 98		-391 04	
	FIFO	220 000	Jan 04, 12	Jul 27, 12	2,485 95	2,893 92		-407 97	
	FIFO	780 000	Jan 04, 12	Jul 27, 12	8,813 80	10,260 28		-1,446 48	
DEVON ENERGY CORP NEW	FIFO	87 000	Feb 02, 12	Jul 27, 12	5,102 43	5,564 81		-462 38	
	FIFO	23 000	Jun 05, 12	Jul 27, 12	1,348 92	1,355 75		-6 83	
DIANA SHIPPING INC	FIFO	660 000	Aug 12, 11	Jul 27, 12	4,375 70	5,332 34		-956 64	
EMCOR GROUP INC	FIFO	125 000	Sep 01, 11	Jul 27, 12	3,262 42	2,852 13			410.29
	FIFO	140 000	Sep 07, 11	Jul 27, 12	3,653 92	3,036 54			617 38
ENERGYSOLUTIONS INC DEP SHS	FIFO	1,420 000	Aug 09, 11	Jul 27, 12	2,229 35	4,955 80		-2,726 45	
	FIFO	1,040 000	Aug 10, 11	Jul 27, 12	1,632 76	3,845 50		-2,212 74	
ENZO BIOCHEM INC	FIFO	931 000	Mar 16, 12	Jul 27, 12	1,424 40	2,513.70		-1,089 30	
	FIFO	1,299 000	Mar 30, 12	Jul 27, 12	1,987 42	3,504 05		-1,516 63	
FERRO CORPORATION	FIFO	512 000	May 24, 12	Jul 27, 12	1,479 65	2,309 89		-830 24	
	FIFO	480 000	May 31, 12	Jul 27, 12	1,387 16	2,159 66		-772 50	
	FIFO	619 000	Jun 04, 12	Jul 27, 12	1,788 87	2,557 46		-768 59	
GREENHILL & CO INC	FIFO	90 000	Aug 03, 11	Jul 27, 12	3,508 12	3,778 17		-270 05	
	FIFO	65 000	Aug 05, 11	Jul 27, 12	2,533 65	2,620 96		-87 31	
	FIFO	110 000	Aug 08, 11	Jul 27, 12	4,287 70	4,020 85			266 85
	FIFO	195 000	Aug 12, 11	Jul 27, 12	7,600 93	7,589 93			11 00
	FIFO	85 000	Aug 15, 11	Jul 27, 12	3,313.22	3,263 42			49 80
	FIFO	95 000	Sep 22, 11	Jul 27, 12	3,703 02	2,638 18			1,064 84

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
HALLIBURTON CO (HOLDING COMPANY)	FIFO	110 000	Oct 17, 11	Jul 27, 12	3,616 72	3,825 26		-208 54	
	FIFO	3 000	Feb 03, 12	Jul 27, 12	98 64	109 89		-11 25	
	FIFO	76 000	Apr 20, 12	Jul 27, 12	2,498 82	2,567 07		-68 25	
	FIFO	72 000	Apr 25, 12	Jul 27, 12	2,367 31	2,420 50		-53 19	
INTERDIGITAL INC (PA)	FIFO	195 000	Jan 24, 12	Jul 27, 12	4,962 64	7,014 25		-2,051 61	
JONES LANG LASALLE INC	FIFO	48 000	Oct 10, 11	Jul 27, 12	3,198 17	2,643 51			554 66
	FIFO	140 000	Oct 12, 11	Jul 27, 12	9,327 98	8,076 05			1,251 93
JPMORGAN CHASE & CO	FIFO	209 000	Aug 09, 11	Jul 27, 12	7,523 83	7,352 85			170 98
	FIFO	305 000	Aug 11, 11	Jul 27, 12	10,979 75	10,980 15		-0 40	
MORGAN STANLEY	FIFO	320 000	Aug 11, 11	Jul 27, 12	4,179 11	5,651 23		-1,472 12	
MOSAIC CO	FIFO	47 000	Jun 05, 12	Jul 27, 12	2,749 43	2,184 59			564 84
MURPHY OIL CORP	FIFO	65 000	Aug 05, 11	Jul 27, 12	3,463 12	3,550 46		-87 34	
	FIFO	215 000	Aug 11, 11	Jul 27, 12	11,454 94	11,075 10			379 84
	FIFO	45 000	Oct 07, 11	Jul 27, 12	2,397 55	2,121 75			275 80
	FIFO	55 000	Apr 20, 12	Jul 27, 12	2,930 33	2,953 45		-23 12	
	FIFO	50 000	Jun 05, 12	Jul 27, 12	2,663 94	2,289 05			374 89
NETWORK EQUIPMENT TECHNOLOGIES *MERGER EFF 08/2012*	FIFO	1,316 000	Jan 06, 12	Jul 27, 12	1,750 24	1,566 17			184 07
	FIFO	855 000	Jan 09, 12	Jul 27 12	1,137 12	1,025 23			111 89
	FIFO	1,323 000	Jan 17, 12	Jul 27, 12	1,759 55	1,587 20			172 35
PEBBLEBROOK HOTEL TR	FIFO	14 000	Sep 08, 11	Jul 27, 12	305 33	215 56			89 77
	FIFO	210 000	Sep 09, 11	Jul 27, 12	4,580 00	3,009 45			1,570 55
	FIFO	120 000	Sep 29, 11	Jul 27, 12	2,617 14	1,847 95			769 19
	FIFO	165 000	Oct 04, 11	Jul 27, 12	3,598 57	2,379 66			1,218 91
RIO TINTO PLC SPON ADR	FIFO	53 000	May 31, 12	Jul 27, 12	2,390 24	2,244 95			145 29
	FIFO	47 000	Jun 05, 12	Jul 27, 12	2,119.65	2,016 58			103.07

continued next page

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
RTI INTL METALS INC (HOLDING COMPANY)	FIFO	120 000	Feb 08, 12	Jul 27, 12	2,618 34	2,879 23		-260 89	
	FIFO	112 000	Mar 07, 12	Jul 27, 12	2,443 78	2,500 02		-56 24	
SOTHEBYS	FIFO	35 000	Oct 19, 11	Jul 27, 12	999 57	1,092 65		-93 08	
	FIFO	30 000	Oct 21, 11	Jul 27, 12	856 78	961 12		-104 34	
SYMANTEC CORP	FIFO	110 000	Feb 14, 12	Jul 27, 12	1,694 52	1,968 97		-274 45	
UNISYS CORP	FIFO	123 000	Aug 01, 11	Jul 27, 12	2,355 40	2,520 77		-165 37	
	FIFO	37 000	Aug 02, 11	Jul 27, 12	708 53	802 26		-93 73	
	FIFO	165 000	Aug 05, 11	Jul 27, 12	3,159 68	3,092 66			67 02
	FIFO	325 000	Aug 09, 11	Jul 27, 12	6,223 61	5,644 08			579 53
	FIFO	690 000	Aug 10, 11	Jul 27, 12	13,213 20	12,041 60			1,171 60
	FIFO	113 000	Dec 23, 11	Jul 27, 12	2,163 91	2,277 54		-113 63	
	FIFO	200 000	Apr 23, 12	Jul 27, 12	3,829 91	3,301 18			528 73
UNITED STATES STEEL CORP NEW	FIFO	115 000	Jul 28, 11	Jul 27, 12	2,127 45	4,664 75		-2,537 30	
	FIFO	75 000	Oct 28, 11	Jul 27, 12	1,387 47	1,875 01		-487 54	
URANIUM ENERGY CORP	FIFO	333 000	Aug 10, 11	Jul 27, 12	619 37	927 34		-307 97	
	FIFO	511 000	Apr 12, 12	Jul 27, 12	950 44	1,565 81		-615 37	
	FIFO	979.000	Apr 13, 12	Jul 27, 12	1,820 90	3,021 59		-1,200 69	
	FIFO	1,040 000	May 29, 12	Jul 27, 12	1,934 35	2,360 80		-426 45	
	FIFO	1,706 000	May 30, 12	Jul 27, 12	3,173 09	3,869 89		-696 80	
VALENCE TECHNOLOGY INC	FIFO	1,812.000	Mar 28, 12	Jul 27, 12	61 61	1,539 48		-1,477 87	
	FIFO	9,863 000	May 16, 12	Jul 27, 12	335 33	6,701 91		-6,366 58	
VEECO INSTRUMENTS INC DELAWARE	FIFO	95 000	Mar 01, 12	Jul 27, 12	3,190 02	2,713 74			476 28
WEYERHAEUSER CO	FIFO	175 000	Aug 24, 11	Jul 27, 12	4,037 16	2,877 46			1,159 70
	FIFO	160 000	Sep 01, 11	Jul 27, 12	3,691 11	2,877 04			814 07
	FIFO	445 000	Oct 06, 11	Jul 27, 12	10,265 92	7,178 16			3,087 76
Total					**$273,782.83**	**$290,704.40**		**-$35,937.71**	**$19,016.14**
Net short-term capital gains and losses								**-$16,921.57**	

continued next page

UBS Business Services Account

Account name: HARDEN FOUNDATION
Account number: ML 09281 EF

Your Financial Advisor:
ELLIOTT/FOCARACCI
831-622-0222/800-937-2400

Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
APPLIED MATERIALS INC	FIFO	3,510 000	Mar 23, 10	Jul 27, 12	37,626 35	46,056 47		-8,430 12	
BHP BILLITON LTD SPON ADR	FIFO	590 000	Dec 11, 09	Jul 27, 12	38,644 13	43,481 82		-4,837 69	
COMPUGEN LTD ILS	FIFO	355 000	Sep 14, 10	Jul 27, 12	1,167 92	1,459 90		-291 98	
	FIFO	606 000	Nov 08, 10	Jul 27, 12	1,993 70	2,720 46		-726 76	
	FIFO	587 000	Nov 09, 10	Jul 27, 12	1,931 19	2,629 64		-698 45	
	FIFO	527 000	Feb 03, 11	Jul 27, 12	1,733 79	2,845 80		-1,112 01	
	FIFO	845 000	Mar 11, 11	Jul 27, 12	2,779 98	4,224 49		-1,444 51	
	FIFO	3,960 000	Jul 21, 11	Jul 27, 12	13,028 11	15,840 00		-2,811 89	
DIANA SHIPPING INC	FIFO	270 000	Apr 21, 10	Jul 27, 12	1,790 06	4,074 06		-2,284 00	
	FIFO	1,750 000	Apr 27, 10	Jul 27, 12	11,602 24	27,821 85		-16,219 61	
	FIFO	695 000	May 18, 10	Jul 27, 12	4,607 74	9,513 09		-4,905 35	
	FIFO	177 000	Jan 21, 11	Jul 27, 12	1,173 49	2,113 98		-940 49	
	FIFO	528 000	Mar 10, 11	Jul 27, 12	3,500 56	6,503 43		-3,002 87	
	FIFO	340 000	Apr 05, 11	Jul 27, 12	2,254 15	4,058 51		-1,804 36	
EMCOR GROUP INC	FIFO	135 000	Aug 30, 10	Jul 27, 12	3,523 42	3,051 00			472 42
ENERGYSOLUTIONS INC DEP SHS	FIFO	1,305 000	Mar 03, 10	Jul 27, 12	2,048 80	7,503 10		-5,454 30	
	FIFO	1,255 000	Mar 05, 10	Jul 27 12	1,970 31	7,457 71		-5,487 40	
	FIFO	445 000	Mar 10, 10	Jul 27, 12	698 63	2,620 38		-1,921 75	
	FIFO	875 000	Mar 11, 10	Jul 27, 12	1,373 72	5,462 01		-4,088 29	
	FIFO	765 000	Apr 19, 10	Jul 27, 12	1,201 02	5,262 13		-4,061 11	
	FIFO	610 000	May 21, 10	Jul 27, 12	957 68	3,964 76		-3,007.08	
	FIFO	955 000	Jul 09, 10	Jul 27, 12	1,499 32	4,870 50		-3,371 18	
	FIFO	1,080 000	Jun 06, 11	Jul 27, 12	1,695 56	5,109 16		-3,413 60	
ENZO BIOCHEM INC	FIFO	1,567 000	May 06, 10	Jul 27, 12	2,397 46	8,597 66		-6,200 20	
	FIFO	1,517 000	May 07, 10	Jul 27, 12	2,320 95	8,221 99		-5,901 04	
	FIFO	2,129 000	May 20, 10	Jul 27, 12	3,257 30	11,493 62		-8,236 32	
	FIFO	55 000	May 21, 10	Jul 27, 12	84 15	289 22		-205 07	
	FIFO	1,917 000	Jun 24, 10	Jul 27, 12	2,932 94	8,287 19		-5,354 25	

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Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
FLUOR CORP NEW	FIFO	664 000	Dec 11, 09	Jul 27, 12	32,927 02	26,426 40			6,500 62
GREENHILL & CO INC	FIFO	65 000	Jul 15, 11	Jul 27, 12	2,533 64	3,339 12		-805 48	
	FIFO	80 000	Jul 19, 11	Jul 27, 12	3,118 33	3,679 90		-561 57	
HALLIBURTON CO (HOLDING COMPANY)	FIFO	645 000	Dec 11, 09	Jul 27, 12	21,207 12	18,078 51			3,128 61
HESS CORP	FIFO	790 000	Dec 11, 09	Jul 27, 12	37,887 55	43,826 28		-5,938 73	
INTERDIGITAL INC (PA)	FIFO	255 000	Jun 17, 11	Jul 27, 12	6,489 60	8,984 90		-2,495 30	
	FIFO	130 000	Jul 06, 11	Jul 27, 12	3,308 43	5,850 00		-2,541 57	
	FIFO	110 000	Jul 11, 11	Jul 27, 12	2,799 43	4,949 69		-2,150 26	
KEYCORP NEW	FIFO	644 000	Feb 17, 10	Jul 27, 12	5,235 60	4,443 54			792 06
MORGAN STANLEY	FIFO	240 000	Dec 11, 09	Jul 27, 12	3,134 33	7,143 84		-4,009 51	
	FIFO	140 000	Dec 31, 09	Jul 27, 12	1,828 36	4,154 43		-2,326.07	
	FIFO	120 000	Jan 13, 10	Jul 27, 12	1,567 16	3,788 81		-2,221 65	
	FIFO	265 000	Jan 21, 10	Jul 27, 12	3,460 82	7,849 70		-4,388 88	
	FIFO	285 000	Jan 21, 10	Jul 27, 12	3,722 02	8,442 13		-4,720 11	
	FIFO	295 000	Feb 17, 10	Jul 27, 12	3,852 61	8,140 23		-4,287 62	
	FIFO	200 000	Apr 09, 10	Jul 27, 12	2,611 94	6,187 94		-3,576 00	
	FIFO	235 000	Apr 04, 11	Jul 27, 12	3,069 03	6,396 91		-3,327 88	
MURPHY OIL CORP	FIFO	50 000	May 06, 11	Jul 27, 12	2,663 94	3,537 50		-873 56	
NATL-OILWELLVARCO INC	FIFO	109 000	Dec 11, 09	Jul 27, 12	8,032 03	4,772 78			3,259 25
	FIFC	345 000	Jun 01, 10	Jul 27, 12	25,422 48	12,020 1[illegible]			13,402 37
NETWORK EQUIPMENT TECHNOLOGIES *MERGER EFF 08/2012*	FIFO	12,400.000	Dec 11, 09	Jul 27, 12	16,491 63	44,745 40		-28,253 77	
NUCOR CORP	FIFO	860 000	Dec 11, 09	Jul 27, 12	32,361 07	36,281 68		-3,920 61	
	FIFO	115 000	Dec 23, 09	Jul 27, 12	4,327 35	5,301 17		-973 82	
ON SEMICONDUCTOR CORP	FIFO	2,994 000	Dec 11, 09	Jul 27, 12	20,598 26	24,189 12		-3,590 86	
	FIFO	800 000	Sep 17, 10	Jul 27, 12	5,503 87	5,435.76			68 11
	FIFO	926 000	Sep 20, 10	Jul 27, 12	6,370 74	6,204 20			166 54
SYMANTEC CORP	FIFO	200 000	Mar 10, 11	Jul 27, 12	3,080 95	3,654 76		-573 81	
	FIFO	205 000	Mar 11, 11	Jul 27, 12	3,157 97	3,704 78		-546 81	

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	130 000	May 16, 11	Jul 27, 12	2,002 62	2,597 32		-594 70	
	FIFO	185 000	May 18, 11	Jul 27, 12	2,849 88	3,630 35		-780 47	
UNITED STATES STEEL CORP NEW	FIFO	39 000	Dec 22, 09	Jul 27, 12	721 48	2,053 79		-1,332 31	
	FIFO	101 000	Dec 23, 09	Jul 27, 12	1,868 46	5,485 84		-3,617 38	
	FIFO	112 000	Dec 24, 09	Jul 27, 12	2,071 95	6,302 04		-4,230 09	
	FIFO	113 000	Jan 26, 10	Jul 27, 12	2,090 46	5,776 02		-3,685 56	
	FIFO	100 000	Feb 02, 10	Jul 27, 12	1,849 95	4,798 46		-2,948 51	
	FIFO	265 000	Feb 17, 10	Jul 27, 12	4,902 39	13,436 22		-8,533 83	
URANIUM ENERGY CORP	FIFO	553 000	May 05, 11	Jul 27, 12	1,028 56	1,768 60		-740 04	
	FIFO	577 000	May 06, 11	Jul 27, 12	1,073 19	1,896 43		-823 24	
	FIFO	1,115 000	May 09, 11	Jul 27, 12	2,073 86	3,619 40		-1,545.54	
	FIFO	1,505 000	May 18, 11	Jul 27, 12	2,799 23	4,244 10		-1,444 87	
VALENCE TECHNOLOGY INC	FIFO	2,343 000	Jun 08, 11	Jul 27, 12	79 66	2,667.51		-2,587 85	
	FIFO	496 000	Jun 09, 11	Jul 27, 12	16 86	570 35		-553 49	
	FIFO	2,023 000	Jun 13, 11	Jul 27, 12	68 78	2,421 94		-2,353 16	
	FIFO	658 000	Jun 14, 11	Jul 27, 12	22 38	758 48		-736 10	
	FIFO	5,510 000	Jun 16, 11	Jul 27, 12	187 33	6,608 69		-6,421 36	
	F·FO	5,530 000	Jun 17, 11	Jul 27, 12	188 02	6,634 34		-6,446 32	
	FIFO	5,360 000	Jun 21, 11	Jul 27, 12	182 23	6,432 00		-6,249 77	
	FIFO	5,760 000	Jun 23, 11	Jul 27, 12	195 84	6,911 42		-6,715 58	
	FIFO	940 000	Jun 24, 11	Jul 27, 12	31 95	1,184 31		-1,152 36	
	FIFO	4,525.000	Jun 27, 11	Jul 27, 12	153 85	5,423 67		-5,269 82	
Total					**$443,016.88**	**$672,284.80**		**-$257,057.90**	**$27,789.98**
Net long-term capital gains or losses								**-$229,267.92**	
Net capital gains/losses:								**-$246,189.49**	

Guidelines for Grant Applications

Grantmaking

Introduction

The Foundation seeks to support nonprofit organizations that will achieve all or some of the following objectives:

- Improve the well-being of young people
- Strengthen the family
- Develop individual self-reliance and health
- Prevent inappropriate institutionalization of individuals
- Improve the quality of life through cultural activities
- Encourage more humane treatment of animals
- Eliminate duplication and improve coordination of social and community services

The Harden Foundation seeks to support 501(C)3 organizations with a demonstrated ability to provide meaningful and measurable ongoing benefits to the people of Monterey County, California and especially the Salinas Valley.

Guide for Submitting a Grant Application

DETERMINE IF YOUR ORGANIZATION IS ELIGIBLE

In order to be considered for funding, an organization must be designated as a nonprofit, tax exempt "charitable organization" (without private foundation status) and conduct programs within our focus areas and in our geographic funding area The applicant should also be current on all reports due to the Foundation for any previous grants

SUBMIT A GRANT PROPOSAL AND THE NECESSARY ATTACHMENTS

Complete the Monterey County Area Grantmakers Common Grant Application (CGA) and include the necessary attachments Please submit only one copy of the complete grantapplication package

You may download the Monterey County Area Grantmakers Common grant Application form our website, www hardenfoundation org or call our office to obtain the forms at 831-442-3005 Supporting materials submitted as part of your grant application cannot be returned Please do not submit any materials for which you do not have a copy Please do not submit your proposal in a binder or folder

Applications may be mailed , dropped off, or sent via e-mail to the Foundation's office Faxed applications are not accepted E-mail applications can be sent either as a Word document or scanned PDF document to grants@hardenfoundation org For applications submitted by e-mail in Word format, a copy of the signed Common Grant Application Form (page7 of the Monterey County Area Grantmakers Common Grant Application) should be mailed to the Foundation

Scope and Types of Grants

Geographic Limits:

501(C)3 organizations who provide services or programs in Monterey County, California are eligible to submit grant proposals to the Foundation. The Harden Foundation does not make grants to organizations headquartered outside of Monterey County unless they demonstrate service to the area. The Foundation will consider grants in the following seven focus areas: Children, Youth, & Families, Senior Citizens, General, Health, Agricultural Education, Animal Welfare and Environment, and Arts & Culture.

Type of Grants

The Foundation provides both general support and project support to a broad range of nonprofits working in the Foundation's focus areas. The Foundation will consider proposals for one-time capital grants, special projects, and operating support. Requests for ongoing operating support are discouraged. In general, operating grants for start-up programs will be made for a maximum of two (2) years. Thereafter, operating grants will be made at the Board's discretion and, if granted, will be on a matching basis. Operating grants will not be made in amounts exceeding one-third of the operating budget of the organization except for start-up funds. Typically, grants range from $5,000 to $100,000, and higher for major or capital projects. The Foundation will consider **one** grant request per organization in a calendar year. Multi-year grants will be considered only in exceptional cases. Grant requests should not exceed $100,000.
Deadlines for submitting grant applications are **MARCH 1** and **SEPTEMBER 1** of each year. Grants will be reviewed and funded semi-annually, in January (for previous year September 1 deadline) and in July for March 1 deadline.

Exceptions:

The Foundation does **NOT** fund:

- Organizations that do not have a current 501©3 status
- Organizations that support sectarian religious programs
- Creation or addition to endowments
- Annual events, conferences, or fundraising events
- Academic or medical research or scholarships to individuals
- Foundations or associations established for the benefit of an organization which receives substantial tax support
- Individuals
- Political parties, candidates or partisan political organizations or activities
- Organizations whose activities are located outside of Monterey County

- The Foundation does not accept unsolicited proposals from public or private institutions of primary, secondary or higher education. However, grant proposals from public institutions will be considered for special projects, which are agriculture related.

Grantee Report

The Harden Foundation wants to learn about your experience in regard to the program funded with this grant We are interested in hearing about your successes and challenges, and how you addressed them The following questions are intended to help you summarize your experience and to help our grantmaking as well Please be as honest and thoughtful as possible Please tell us about the difficulties you encountered, any disappointments, or even failures

This report is due 12 months from the date your grant was approved If you are applying for a grant before this date, a report is due with submission of your grant request Consideration of any future grant requests is contingent upon receipt of this report

HOW TO SUBMIT YOUR REPORT

First, download the Grantee Report PDF here Then either send an electronic copy by email or send a hard copy by mail

Email to

maria@hardenfoundation.org

Mail to

Harden Foundation

Post Office Box 779

Salinas, California 93912

Matching Grant Requirement

TO RECEIVE MATCHING FUNDS

Recipients of matching grants are required to provide documentation of any eligible third-party contributions In kind, property, or any other non-cash contributions are not eligible as matching contributions

ELIGIBLE MATCHING FUNDS

• Individuals

• Corporations

• Companies

• Foundations

• Fundraising Events

• Government Agencies

• Special Events

DOCUMENTATION NEEDED

Documentation needed in order to receive a check from the Harden Foundation

1 Donor's Name or Specify (if donor wishes to remain anonymous)

2 Date $$ received

3 Amount $$ received

TIME FRAME

Recipients of matching grants must provide documentation within the time frame outlined below Contributions must be received on or after the grant award date (See example below)

You have until the deadline outlined to provide documentation of eligible contributions you have received After the "Last Date to Submit documentation" has passed, the payment of matching funds will terminate and any remaining balance left of the matching grant will not be paid

For example

Matching Grant was Awarded on this date	Late Date to Receive Eligible Mathing Contributions
January 14, 2013	January 2014

Send documentation to

Harden Foundation

P O Box 779

Salinas, CA 93902

Payments Made, by Grantee Organization

12/31/2012

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
AG Against Hunger *Operating support for transportation costs* $10,000.00	98014 9600002	8/29/2012	$10,000 00		12924
Agriculture and Land Based Training Association *Operating support for the agricultural education program in Monterey County* $10,000 00	97993	2/21/2012	$5,000 00		12656
Operating support for the agricultural education program in Monterey County $10,000.00	97993	6/15/2012	$5,000 00		12810
Total Agriculture and Land Based Training Association (2 items)			$10,000 00		
Alisal Center for the Fine Arts, Inc. *Operating support to provide youth arts programs in East Salinas* $15,000.00	98057 9600003	11/15/2012	$13,500 00		13050
Alliance on Aging *Operating support for their senior service programs throughout Monterey County* $30,000.00	97992	2/21/2012	$30,000.00		12658
Alzheimer's Association/Monterey County Chapter					

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Operating support to provide comprehensive support services throughout Monterey County $25,000.00	98019 9600004	9/12/2012	$20,000.00		12935
Operating support to provide comprehensive support services throughout Monterey County $25,000 00	98019 9600004	10/1/2012	$5,000 00		12963
Total Alzheimer's Association/Monterey County Chapter		(2 items)	$25,000 00		
Animal Friends Rescue Project *Funding to help cover medical expenses for the dogs & cats they rescue from local shelters* $15,000 00	97988	3/28/2012	$5,000 00		12703
Funding to help cover medical expenses for the dogs & cats they rescue from local shelters $15,000.00	97988	4/9/2012	$5,000 00		12721
Funding to help cover medical expenses for the dogs & cats they rescue from local shelters $15,000.00	97988	5/1/2012	$5,000 00		12751
Total Animal Friends Rescue Project		(3 items)	$15,000 00		
Animal Welfare Information and Assistance, Inc. *Funding for the Veterinary Care and Spay/Neuter Programs* $10,000.00	97796	1/10/2012	$10,000.00		12589
Ariel Theatrical, Inc. *Operating support for their youth arts programs* $25,000.00	98051 9600006	8/29/2012	$25,000.00		12925

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Arts Council for Monterey County					
Operating suuport to provide youth and family arts programs including Professional Artists in the Schools	98065	9/12/2012	$5,000 00		12936
$15,000 00	9600007				
Operating suuport to provide youth and family arts programs including Professional Artists in the Schools	98065	11/15/2012	$5,000 00		13032
$15,000 00	9600007				
	Total Arts Council for Monterey County (2 items)		$10,000.00		
Arts Habitat					
Funding to support their Arts in Progress & Arts Habitat projects	97989	2/9/2012	$5,000.00		12630
$5,000 00					
Big Sur Health Center					
Funding to purchase dental equipment	98033	7/26/2012	$10,000.00		12874
$10,000 00	9600008				
Big Sur Learning Project					
Funding for a summer theatre program in Big Sur	98043	7/26/2012	$5,000 00		12875
$5,000.00	9600009				
Blind and Visually Impaired Center of Monterey County					
General operating support	97944	5/1/2012	$10,000 00		12755
$20,000.00					

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
General operating support $20,000 00	97944	7/26/2012	$10,000.00		12861
Total Blind and Visually Impaired Center of Monterey County (2 items)			$20,000 00		
Boys & Girls Club of Monterey County *General operating support* $100,000 00	98039 9600010	8/29/2012	$100,000.00		12927
Breathe California *Operating support for their programs addressing lung disease in Monterey County* $10,000 00	97995	6/27/2012	$10,000 00		12826
California Agricultural Leadership Foundation *Operating support for the Ag Leadership Program* $15,000 00	97960	3/14/2012	$15,000.00		12676
California International Airshow *2012 President's Club* $750.00	98094	6/27/2012	$750 00		12827
Caminos Del Arte *Funding to help mentor students through their after-school mariachi music program* $5,000.00	98001	5/18/2012	$5,000.00		12790
Carmel Youth Center					

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Operating support for their youth activities including an after-school tutoring program $5,000.00	98002	12/27/2012	$5,000 00		13097
Carmel Bach Festival *Funding to support their Youth Training, Education and Outreach programs in Monterey County* $5,000 00	98059 9600011	9/12/2012	$5,000.00		12939
Carmel Ideas Foundation *Operating support for the Student Literary Days, Festival to Schools, and The Big Read programs in Monterey County* $10,000 00	98041 9600012	7/26/2012	$10,000.00		12876
Center for Community Advocacy *Funding to develop the capacity of farmworker neighborhood leaders throughout Monterey County* $15,000.00	97947	2/21/2012	$15,000 00		12660
Central Coast Center for Independent Living *Operating support to provide independent living services for 300 people with disabilities in Monterey County* $30,000.00	98053 9600013	10/11/2012	$30,000 00		12983
Central Coast HIV/AIDS Services *Funding for their HIV Education, Prevention & Testing programs throughout Monterey County* $15,000 00	97976	7/26/2012	$15,000 00		12862

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Central Coast YMCA *General operating support for their youth programs throughout Monterey County* $45,000.00	97959	3/14/2012	$20,000 00		12678
General operating support for their youth programs throughout Monterey County $45,000.00	97959	3/28/2012	$25,000 00		12707
		Total Central Coast YMCA (2 items)	$45,000 00		
Central Coast Youth Sports Organization, Inc. *Funding to support the foundation and formation of a youth sports soccer league for approx 250-300 South County youth* $10,000.00	98084 9600014	7/26/2012	$10,000.00		12877
Chamber Music Monterey Bay *Operating support for the Visiting Artists Outreach program in schools throughout Monterey County* $5,000 00	97879	7/26/2012	$5,000.00		12863
Chartwell School *Funding for operating support and for the Athletic & Coastal Habitat Access Research Trail* $20,000 00	97997	3/28/2012	$20,000 00		12708
Children's Hospice & Palliative Care Coalition *Operating support to provid services to critically ill children and their families in Monterey County* $20,000.00	98079 9600015	10/11/2012	$10,000 00		12984

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Operating support to provid services to critically ill children and their families in Monterey County $20,000.00	98079 9600015	12/20/2012	$10,000 00		13087
		Total Children's Hospice & Palliative Care Coalition (2 items)	$20,000 00		
Christine Marie's Star Riders					
Operating support for the therapeutic horseback riding program for special needs children throughout the county $25,000 00	97951	3/14/2012	$15,000.00		12680
Operating support for the therapeutic horseback riding program for special needs children throughout the county $25,000.00	97951	4/17/2012	$10,000.00		12740
		Total Christine Marie's Star Riders (2 items)	$25,000.00		
Classic Guitar Society of Carmel					
Funding to present 44 concerts to 4th and 5th grade students in Monterey County $5,000 00	98025 9600016	7/26/2012	$5,000 00		12878
Coastal Kids Home Care					
Funding to provide home nursing and social service visits to children and their families in Monterey County $20,000.00	98021 9600018	8/6/2012	$20,000.00		12894
Community Alliance with Family Farmers					

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Funding to expand the Farm to School program in Monterey County $5,000.00	97911	2/21/2012	$5,000.00		12663
Community Housing Improvement Systems & Planning Assoc.					
Funding to help support after-school youth programs at 13 CHISPA facilities in Monterey County $10,000 00	97990	5/1/2012	$10,000.00		12759
Community Human Services Project					
Funding for their family service centers including their SuperKids and SuperTeens programs $30,000 00	97973	4/9/2012	$10,000.00		12724
Funding for their family service centers including their SuperKids and SuperTeens programs $30,000 00	97973	8/29/2012	$10,000.00		12928
Funding for their family service centers including their SuperKids and SuperTeens programs $30,000.00	97973	12/20/2012	$10,000 00		13088
		Total Community Human Services Project (3 items)	$30,000.00		
Community Oral Health Services					
Operating support to provide oral health screenings & prevention education workshops & for their mobile dental clinic $10,000 00	97822	1/10/2012	$10,000.00		12602
Community Partnership for Youth					

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Funding for their High School Leadership and Strengthening Families programs $25,000 00	98015 9600020	8/21/2012	$7,800 00		12908
Funding for their High School Leadership and Strengthening Families programs $25,000 00	98015 9600020	9/12/2012	$11,900 00		12941
Funding for their High School Leadership and Strengthening Families programs $25,000 00	98015 9600020	10/22/2012	$5,300 00		13002
	Total Community Partnership for Youth	*(3 items)*	$25,000.00		
Compassionate Care Alliance					
Operating support to provide education on end of life issues to Monterey County residents $5,000.00	98024 9600021	8/21/2012	($2,900 00)		VOID - 12909 Void of Payment [3516] for $2,900 00, paid on 8/21/2012
Operating support to provide education on end of life issues to Monterey County residents $5,000.00	98024 9600021	8/21/2012	$2,900 00		12909
Operating support to provide education on end of life issues to Monterey County residents $5,000.00	98024 9600021	8/21/2012	$2,900.00		12909 Reissue of Payment [3516] for $2,900 00, paid on 8/21/2012
Operating support to provide education on end of life issues to Monterey County residents $5,000.00	98024 9600021	8/29/2012	$2,100.00		12929
	Total Compassionate Care Alliance	*(4 items)*	$5,000 00		

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Council on Foundation					
2012 memebership $5,820 00	98009	1/10/2012	$5,820 00		12592
2013 dues $5,820 00	98178	11/15/2012	$5,820 00		13036
	Total Council on Foundation	(2 items)	$11,640.00		
Door to Hope *Purchase of 22 poinsettas for 2012* $200.00	98179	11/19/2012	$200.00		
Easter Seals Central California *Operating support to provide services to Monterey County children with disabilities & their families* $25,000.00	97890	1/19/2012	$25,000.00		12609
Educational Resources of Monterey County *Operating support for Hidden Hills Ranch, providing educational programs, activities & events for youth and their families in North County*	98036	7/26/2012	$20,000 00		12879
$20,000 00	9600024				
El Camino Real Futbol League *Funding to provide youth soccer activities for over 600 youth in Salinas* $20,000 00	97991	4/17/2012	$20,000 00		12742
Exploring New Horizons Outdoor Schools					

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Funding to help 400 Salinas students attend the 5-day outdoor education program at Sempervirens $10,000 00	97998	8/6/2012	$10,000 00		12895
Family Service Agency of the Central Coast *Operating support to provide suicide prevention education & resources to Monterey County residents* $25,000 00	97983	7/26/2012	$25,000.00		12865
First Night Monterey *Matching funds to offer year-round arts workshops for students in Greenfield* $5,000 00	98067 9600025	11/15/2012	$5,000 00		13037
First United Presbyterian Church *Funding for some major maintenance on the Moller pipe organ* $5,000 00	98089	6/5/2012	$5,000 00		12794
Food Bank for Monterey County *Operating support for the Emergency Food Assistance Program in Monterey County* $40,000 00	97950	6/5/2012	$40,000.00		12795
Forest Theater Guild *Funding to help underwrite a portion of its production expenses for the 2011 summer season with emphasis on youth* $5,000.00	97919	3/14/2012	$5,000 00		12681

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Operating support for their theatre arts programs for youth in Monterey County $5,000 00	98050 9600026	10/11/2012	$5,000 00		12985
		Total Forest Theater Guild (2 items)	$10,000 00		
Foundation for Monterey County Free Libraries *Funding for the homework centers and general operating support* $30,000.00	98011 9600027	12/13/2012	$30,000 00		13071
Franciscan Workers of Junipero Serra *Operating support to continue to provide meals to the poor & homeless and capital funds to purchase a computer and software* $26,250 00	97922	12/27/2012	$5,000 00		13098
Friends of the Salinas Public Library *Funding for the Cesar Chavez Library expansion capital campaign project* $20,000 00	98007 9600029	7/26/2012	$20,000.00		12880
Gateway Center of Monterey County, Inc. *Funding for phase II construction costs* $20,000.00	98032 9600030	7/26/2012	$20,000 00		12881
Girl Scouts of California's Central Coast *Funding to provide after school programs at their East Salinas program center* $20,000.00	97977	5/1/2012	$20,000 00		12760

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Girls Inc. of the Central Coast					
Operating support for their leadership programs in Monerey County for girls grades 5-12	98031	10/11/2012	$7,500.00		12986
$15,000 00	9600031				
Gonzales Youth Football					
Funding to offer youth in Gonzales and Chualar communities the opportunity to play football	98076	7/26/2012	$5,000.00		12882
$5,000 00	9600032				
GuideStar					
Funding to help Guidestar efforts to advance transparency to the nonprofit sector	98088	3/14/2012	$1,000 00		12684
$1,000 00					
Habitat for Humanity					
Funding for rehabilitation costs of a home in Marina	98046	7/26/2012	$10,000 00		12883
$10,000.00	9600033				
Harmony at Home					
Operating support for their School Based Counseling Program at four middle schools in Salinas	98000	3/14/2012	$6,000 00		12685
$20,000 00					
Operating support for their School Based Counseling Program at four middle schools in Salinas	98000	3/28/2012	$7,000.00		12714
$20,000 00					

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Operating support for their School Based Counseling Program at four middle schools in Salinas $20,000 00	98000	4/17/2012	$6,000.00		12744
Operating support for their School Based Counseling Program at four middle schools in Salinas $20,000.00	98000	7/12/2012	$1,000 00		12845
		Total Harmony at Home (4 items)	$20,000 00		
Hartnell College Foundation *Funding for the Phase II of the athletics facilities on the Salinas campus* $250,000 00	97943	1/26/2012	$100,000 00		12623
Health Projects Center *Funding to provide support services to family caregivers of brain-impaired adults in Monterey County* $10,000.00	97969	2/21/2012	$10,000.00		12665
Hope Services *Operating support for programs to provide jobs for individuals with developmental disabilities* $10,000.00	98073 9600034	7/26/2012	$10,000 00		12884
Interim, Inc.					

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Funding for their MCHOME Program, providing integrated housing & support services for adults with mental illness $20,000 00	97955	8/21/2012	$12,437 00		12911
Funding for their MCHOME Program, providing integrated housing & support services for adults with mental illness $20,000.00	97955	12/20/2012	$7,563 00		13091
		Total Interim, Inc. (2 items)	$20,000.00		
Jacob's Heart Children's Cancer Association					
Operating support to provide services for children with cancer and their families in Monterey County $20,000.00	97985	4/17/2012	$10,000.00		12745
Operating support to provide services for children with cancer and their families in Monterey County $20,000.00	97985	6/27/2012	$10,000 00		12832
		Total Jacob's Heart Children's Cancer Association (2 items)	$20,000.00		
Josephine Kernes Memorial Pool					
Operating support to provide adaptive aquatic programs for individuals with developmental challenges $15,000 00	97965	6/27/2012	$15,000.00		12833
Junior Achievement of Northern California					

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Funding to provide workforce preparation & financial literacy for students in 15 Salinas high school classrooms $10,000 00	97968	12/20/2012	$10,000 00		13092
Kinship Center *Operating support for the Families Ties program helping keep children out of public foster care* $25,000 00	98055 9600035	9/12/2012	$25,000 00		12945
League of United Latin American Citizens Salinas *Operating support for an after-school tutoring & mentoring program for high school students at the Alisal Family Resource Center* $5,000.00	98063 9600036	7/26/2012	$5,000.00		12885
Lyceum of Monterey County *Funding for their county-wide academic programs reaching over 5,000 youth throughout Monterey County* $20,000.00	97956	4/17/2012	$20,000.00		12747
Marina Youth Arts, Inc. *Operating support for their youth arts programs in Marina* $10,000 00	97840	1/19/2012	$10,000 00		12619
Operating support for the after school performing arts programs in Marina $10,000 00	97984	12/20/2012	$10,000 00		13096

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Total Marina Youth Arts, Inc (2 items)			$20,000.00		
Meals on Wheels of the Salinas Valley, Inc. *Operating support to continue to provide home-delivered meals to seniors and disabled adults throughout the Salinas Valley* $50,000 00	97873	1/19/2012	$10,000 00		12613
Operating support to provide home-delivered meals for seniors in the Salinas Valley $40,000.00	98020 9600038	9/12/2012	$10,000 00		12948
Operating support to provide home-delivered meals for seniors in the Salinas Valley $40,000 00	98020 9600038	10/31/2012	$10,000 00		13021
Operating support to provide home-delivered meals for seniors in the Salinas Valley $40,000.00	98020 9600038	12/13/2012	$10,000 00		13076
Total Meals on Wheels of the Salinas Valley, Inc (4 items)			$40,000 00		
Mee Memorial Hospital Foundation *Capital funds to replace a 50 year old fire alarm system in their skilled nursing wing* $25,000.00	97970	2/9/2012	$25,000.00		12640
Monterey Bay Aquarium *Funding for the Splash Zone, Young Women in Science and school visits programs* $5,000.00	97805	1/19/2012	$5,000 00		12614
Monterey Bay Retired Senior Volunteer Program					

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Operating support for the senior volunteer program in Monterey County $20,000.00	98022 9600039	11/15/2012	$16,900 00		13041
Monterey Bay Symphony					
Funding to provide free orchestra performances throughout Monterey County $5,000 00	98017 9600040	7/26/2012	$5,000 00		12886
Monterey County Agriculture & Rural Life Museum					
Capital funds to install a security system in all the museum buildings $10,000.00	97964	2/9/2012	$10,000.00		12641
Voices for Children - CASA					
Operating support to provide services for abused and neglected children in Monterey County $25,000.00	97972	4/17/2012	$20,000.00		12739
Operating support to provide services for abused and neglected children in Monterey County $25,000 00	97972	5/18/2012	$5,000 00		12772
	Total Voices for Children - CASA (2 items)		$25,000 00		
Monterey County Symphony Association					
Operating support for the Music in the Schools program and the six concert series $40,000 00	98034 9600041	10/11/2012	$40,000 00		12988
Monterey Institute for Research in Astronomy					

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Capital funds to purchase 2 computers & lab equipment for their student intern program $6,000 00	97957	2/9/2012	$6,000.00		12642
Monterey Jazz Festival *Funding for the Traveling Clinicians & Latin Jazz programs in schools throughout Monterey County* $10,000 00	97926	8/6/2012	$10,000.00		12900
Monterey County Rape Crisis Center *Operating support for the child abuse prevention education program in schools throughout Monterey County* $30,000.00	97899	1/10/2012	$10,000 00		12596
Funding for their prevention education services in public schools throughout Monterey County $30,000 00	98040 9600044	12/20/2012	$30,000 00		13094
		Total Monterey County Rape Crisis Center (2 items)	$40,000.00		
Multiple Sclerosis Quality of Life Project *Operating support to provide services for people with multiple sclerosis* $20,000 00	98006	6/15/2012	$5,000.00		12819
Operating support to provide services for people with multiple sclerosis $20,000.00	98006	8/6/2012	$10,000.00		12901
Operating support to provide services for people with multiple sclerosis $20,000 00	98006	10/31/2012	$5,000 00		13022

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
	Total Multiple Sclerosis Quality of Life Project (3 items)		$20,000.00		
O'Neill Sea Odyssey *Funding to provide a free ocean-based science & ecology program for 300 students from Salinas* $15,000 00	97940	2/21/2012	$15,000 00		12668
Pacific Grove Art Center Associates, Inc. *Funding to support exhibits, events, and classes for all Monterey County residents* $5,000.00	98062 9600045	8/21/2012	$5,000.00		12915
Pacific Repertory Theatre *Operating support for the School of Dramatic Arts, Tix4Kids and the 2012 season of productions* $15,000.00	98029 9600046	8/21/2012	$5,000.00		12916
Operating support for the School of Dramatic Arts, Tix4Kids and the 2012 season of productions $15,000 00	98029 9600046	8/29/2012	$5,000 00		12932
Operating support for the School of Dramatic Arts, Tix4Kids and the 2012 season of productions $15,000.00	98029 9600046	9/12/2012	$5,000.00		12950
	Total Pacific Repertory Theatre (3 items)		$15,000 00		
Partners For Peace *Operating support for their Strengthening Families program for parents and youth* $25,000 00	97999	4/17/2012	$15,000.00		12750

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Operating support for their Strengthening Families program for parents and youth $25,000 00	97999	5/1/2012	$10,000 00		
		Total Partners For Peace (2 items)	$25,000.00		
Planned Parenthood Mar Monte					
Funding to address the high teen birth rates throughout Monterey County $20,000.00	97949	3/14/2012	$10,000.00		12690
Funding to address the high teen birth rates throughout Monterey County $20,000.00	97949	5/1/2012	$10,000.00		12766
		Total Planned Parenthood Mar Monte (2 items)	$20,000 00		
Prunedale Senior Center, Inc.					
Operating support for their senior programs, including providing hot meals and transportation for seniors $15,000 00	97975	10/11/2012	$15,000.00		12989
Public Recreation Unlimited, Inc.					
Funding for the construction of a new stadium at the Salinas Sports Complex $500,000.00	97877	7/12/2012	$167,000.00		12853
2012 Miss California Rodeo Tiara & Buckle $3,175 00	98177	11/15/2012	$3,175.00		13045
		Total Public Recreation Unlimited, Inc (2 items)	$170,175 00		

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Raising A Reader *Funding to provide books, literacy and parent engagement support to 2,200 children and their families in Monterey County* $10,000.00	98075 9600047	7/26/2012	$10,000 00		12887
Rancho Cielo *General operating suport for their youth programs including YouthCorps, Culinary Academy & Silver Star Youth* $40,000.00	97896	9/12/2012	$40,000.00		12952
Restorative Justice Partners, Inc. *Funding for their programs based on restorative justice principles serving youth, their families and the victims* $10,000 00	98049 9600048	10/31/2012	$10,000 00		13023
Salinas Area RIF Program *Funding to help underwrite the cost of buying books for 15,000 students in Monterey County* $10,000.00	98030 9600049	7/26/2012	$10,000 00		12888
Salinas Girls Fast Pitch, Inc. *Funding to help four teams to either regional or national tournaments* $10,000.00	98095 9600001	8/6/2012	$10,000 00		12904
Salinas Jaycees Foundation, Inc. *Funding for their annual 2012 Children's Shopping Tour* $10,000 00	98169	10/31/2012	$10,000 00		13024

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Salinas Police Activities League					
Funding for the 2012 annual Christmas toy drive $10,000 00	98139	10/22/2012	$10,000.00		13009
Funding for their youth programs $30,000.00	97963	12/13/2012	$30,000.00		13081
		Total Salinas Police Activities League (2 items)	$40,000.00		
Salinas Pop Warner					
Funding to help underwrite the cost of uniforms, safety equipment and recertify equipment $5,000 00	98026 9600050	7/26/2012	$5,000 00		12889
Salinas Rotary Charitable Fund					
Funding for the La Plaza Rotario at Closter Park of the Alisal community $10,000.00	98087	3/14/2012	$10,000 00		12695
Salinas Salad Bowl Bobby Sox					
Funding to send six teams that represent Salinas at the District, Regional and National All-Star tournaments to be held between June 22 - July 30, 2012 in Rio Linda, Madera and Vacaville $4,000.00	98093	6/27/2012	$4,000.00		12839
Salinas Valley Memorial Hospital Foundation					
Funding for the Vinci Robotic Surgical Room $500,000 00	97718	1/26/2012	$100,000.00		12627
Salinas Valley Youth Soccer League					

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Operating support to help youth participate in soccer activities in Salinas and throughout Monterey County	98069	10/31/2012	$5,000 00		13024
$15,000.00	9600051				
Salud Para La Gente					
Operating support for the Community Oral Health Services program in Monterey County	98042	10/1/2012	$10,000.00		12978
$20,000 00	9600052				
Operating support for the Community Oral Health Services program in Monterey County	98042	12/27/2012	$10,000.00		13103
$20,000 00	9600052				
		Total Salud Para La Gente (2 items)	$20,000 00		
San Antonio Community Betterment Association, Inc.					
Funding to replace their water system & the roof on two apartment buildings	97987	2/9/2012	$10,000.00		12648
$10,000 00					
Second Chance Youth Program					
Matching funds for the Street Outreach Services in Salinas project	98054	9/12/2012	$23,000.00		12955
$25,000 00	9600054				
Seniors Council of Santa Cruz and San Benito County					
Operating support for the Foster Grandparent Program in Monterey County	97952	8/21/2012	$25,000 00		12919
$25,000 00					

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Shelter Outreach Plus *Operating support for the homeless emergency lodging program* $15,000 00	98037 9600055	10/31/2012	$15,000.00		13026
Society for the Prevention of Cruelty to Animals-SPCA *Funds for their capital project to renovate and expand the animal support areas* $250,000.00	97443	1/26/2012	$50,000 00		12628
Sol Treasures, Inc. *Funding for a program administrator* $10,000.00	97962	2/9/2012	$10,000.00		12649
Soledad Historical Society *Capital funds to restore, refurnish & furnish an existing downtown space to be the home of the historical society* $5,000 00	97961	2/9/2012	$5,000 00		12650
Special Kids Crusade, Inc. *Operating support to provide services for children with disabilities & their families throughout Monterey County* $14,000.00	97939	2/9/2012	$14,000.00		12651
Sun Street Centers					

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Funding for prevention and recovery services and for renovations at their residential treatment center $30,000 00	98010 9600057	10/31/2012	$30,000 00		13027
Sunrise House *Operating support for violence prevention and drug intervention services for youth and their families* $20,000.00	98078 9600058	9/12/2012	$20,000 00		12956
United Way of Monterey County *Operating support for the Volunteer Program* $15,000 00	98056 9600059	9/12/2012	$15,000.00		12959
Valley Guild *Capital funds for refurbishing costs for the Steinbeck House* $3,240.00	97971	2/9/2012	$3,240 00		12652
Valley Health Associates *Operating support to provide alcohol & drug abuse prevention, intervention & treatment services in Monterey County* $10,000.00	97897	8/21/2012	$10,000 00		12921
Ventana Wildlife Society *Funding to support their Education & Outreach Program & to purchase a 15-passenger van* $20,000 00	97953	7/12/2012	$20,000.00		12858
Veterans Transition Center of Monterey County					

Payee Organization Project Title, Grant Amount	Grant No. Ref. No.	Payment Date	Payment Amount	Payment Fund	Check Number Notes
Operating support to provide services for homeless veterans and their families in Monterey County $15,000.00	98013 9600060	7/26/2012	$15,000 00		12890
Young Life Monterey County *Operating support for their youth development programs throughout Monterey County* $20,000.00	97974	3/28/2012	$20,000 00		12720
Youth Arts Collective *Operating support for the after-school youth arts program* $15,000 00	98012 9600061	10/11/2012	$15,000.00		12993
YWCA of Monterey County *Operating support to provide education and prevention services on gang and domestic violence throughout Monterey County* $25,000.00	98016 9600063	8/6/2012	$25,000.00		12905
		Grand Total (158 items)	$2,306,905 00		