Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning , 2012, and ending , 20

Name of foundation: MIMI AND PETER HAAS FUND

A Employer identification number: 94-6064551

Number and street (or P O box number if mail is not delivered to street address): 201 FILBERT STREET, FIFTH FLOOR

Room/suite:

B Telephone number (see instructions): (415) 296-9249

City or town, state, and ZIP code: SAN FRANCISCO, CA 94133-3238

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 192,843,330.

J Accounting method ☐ Cash ☒ Accrual
☐ Other (specify) ______________
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc , received (attach schedule)				
2 Check ▶ ☐ if the foundation is **not** required to attach Sch B				
3 Interest on savings and temporary cash investments	127.	127.		ATCH 1
4 Dividends and interest from securities	2,640,174.	2,640,174.		ATCH 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	8,285,709.			
b Gross sales price for all assets on line 6a 26,916,748.				
7 Capital gain net income (from Part IV, line 2)		8,285,709.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule) ATCH 3	7,257,872.	1,722,475.		
12 **Total.** Add lines 1 through 11	18,183,882.	12,648,485.	0	
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc	262,500.			262,500.
14 Other employee salaries and wages	337,042.			337,042.
15 Pension plans, employee benefits	182,750.			182,750.
16a Legal fees (attach schedule) ATCH 4	4,789.	2,394.		2,395.
b Accounting fees (attach schedule) ATCH 5	335,363.	117,800.		61,343.
c Other professional fees (attach schedule) *	2,011,970.	2,011,970.		
17 Interest ATCH 7				
18 Taxes (attach schedule) (see instructions) ATCH 8	272,254.			21,900.
19 Depreciation (attach schedule) and depletion	9,194.			
20 Occupancy	220,263.			220,263.
21 Travel, conferences, and meetings	3,179.			3,179.
22 Printing and publications	1,853.			1,853.
23 Other expenses (attach schedule) ATCH 9	53,027.			53,027.
24 **Total operating and administrative expenses.** Add lines 13 through 23	3,694,184.	2,132,164.		1,146,252.
25 Contributions, gifts, grants paid	6,840,766.			6,767,270.
26 **Total expenses and disbursements** Add lines 24 and 25	10,534,950.	2,132,164.	0	7,913,522.
27 Subtract line 26 from line 12				
a **Excess of revenue over expenses and disbursements**	7,648,932.			
b **Net investment income** (if negative, enter -0-)		10,516,321.		
c **Adjusted net income** (if negative, enter -0-)			0	

RECEIVED
NOV 18 2013
OGDEN UT
IRS-OSC

NOV 1 3 2013
ENVELOPE POSTMARK DATE

SCANNED NOV 2 0 2013

JSA **For Paperwork Reduction Act Notice, see instructions.** *ATCH 6 Form **990-PF** (2012)
2E1410 1 000

10/31/2013 5:38:25 PM MPFD

9-14

6

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	500.	500.	500.
	2	Savings and temporary cash investments	1,284,316.	1,326,382.	1,326,382.
	3	Accounts receivable ▶ 68,371.			
		Less allowance for doubtful accounts ▶	38,066.	68,371.	68,371.
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule)			
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶ 5,550.			ATCH 10
		Less accumulated depreciation (attach schedule) ▶	5,550.	5,550.	5,550.
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule) ATCH 11	183,493,015.	191,418,385.	191,418,385.
	14	Land, buildings, and equipment basis ▶ 386,506.			ATCH 12
		Less accumulated depreciation (attach schedule) ▶ 362,364.	18,857.	24,142.	24,142.
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	184,840,304.	192,843,330.	192,843,330.
Liabilities	17	Accounts payable and accrued expenses	307,591.	532,835.	
	18	Grants payable	11,500.	84,996.	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ ATCH 13)	31,021.	86,375.	
	23	**Total liabilities** (add lines 17 through 22)	350,112.	704,206.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted	184,490,192.	192,139,124.	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☐			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	184,490,192.	192,139,124.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	184,840,304.	192,843,330.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	184,490,192.
2	Enter amount from Part I, line 27a	2	7,648,932.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	192,139,124.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	192,139,124.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo., day, yr)
1a	SEE PART IV SCHEDULE ATTACHMENT 22			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	8,285,709.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	11,548,569.	191,508,650.	0.060303
2010	9,179,071.	192,422,178.	0.047703
2009	9,019,915.	165,676,118.	0.054443
2008	8,586,594.	200,137,972.	0.042903
2007	13,164,285.	239,247,918.	0.055024

2 **Total** of line 1, column (d)	2	0.260376
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.052075
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	184,041,838.
5 Multiply line 4 by line 3	5	9,583,979.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	105,163.
7 Add lines 5 and 6	7	9,689,142.
8 Enter qualifying distributions from Part XII, line 4	8	7,913,522.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ________________ (attach copy of letter if necessary - see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	210,326.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	
3	Add lines 1 and 2			3	210,326.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	210,326.
6	Credits/Payments:				
a	2012 estimated tax payments and 2011 overpayment credited to 2012	6a	152,691.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	108,100.		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	260,791.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			8	1,250.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	49,215.
11	Enter the amount of line 10 to be **Credited to 2013 estimated tax** ▶ 49,215. **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ __________ (2) On foundation managers ▶ $ __________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ __________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CA,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ARGONAUT SECURITIES COMPANY Telephone no ▶ (415)501-4885

Located at ▶ 1155 BATTERY ST, LS7W SAN FRANCISCO, CA ZIP+4 ▶ 94111

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☒ **No**			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☒ **No**			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☒ **No**			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ATCH 21 ☒ **Yes** ☐ **No**			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☒ **No**			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ **Yes** ☒ **No**			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ **Yes** ☒ **No** If "Yes," list the years ▶ ____ , ____ , ____ , ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____ , ____ , ____ , ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☒ **No**			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		X

Form **990-PF** (2012)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** | | X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation (If not paid, enter -0-)	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
ATCH 14		262,500.	51,532.	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
ATCH 15		291,832.	60,169.	0

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
ATCH 16		1,591,811.
Total number of others receiving over $50,000 for professional services ▶		3

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 HOSTING MEETINGS OF NONPROFIT LEADERS AND GRANTMAKERS; SERVICE OF FOUNDATION STAFF ON NONPROFIT BOARDS AND ADVISORY COUNCILS; TECHNICAL ASSISTANCE TO ENCOURAGE PHILANTHROPY.	2,230.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	75,412,576.
b	Average of monthly cash balances			1b	1,054,769.
c	Fair market value of all other assets (see instructions)			1c	110,377,161.
d	**Total** (add lines 1a, b, and c)			1d	186,844,506.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e			
2	Acquisition indebtedness applicable to line 1 assets			2	
3	Subtract line 2 from line 1d			3	186,844,506.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)			4	2,802,668.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	184,041,838.
6	**Minimum investment return.** Enter 5% of line 5			6	9,202,092.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	9,202,092.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	210,326.		
b	Income tax for 2012 (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	210,326.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	8,991,766.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	8,991,766.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	8,991,766.

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	7,913,522.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	7,913,522.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	7,913,522.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1	Distributable amount for 2012 from Part XI, line 7					8,991,766.
2	Undistributed income, if any, as of the end of 2012					
a	Enter amount for 2011 only					
b	Total for prior years 20 10 ,20 09 ,20 08					
3	Excess distributions carryover, if any, to 2012.					
a	From 2007	1,637,653.				
b	From 2008					
c	From 2009	796,351.				
d	From 2010					
e	From 2011	2,098,568.				
f	**Total** of lines 3a through e		4,532,572.			
4	Qualifying distributions for 2012 from Part XII, line 4 ▶ $ 7,913,522.					
a	Applied to 2011, but not more than line 2a					
b	Applied to undistributed income of prior years (Election required - see instructions)					
c	Treated as distributions out of corpus (Election required - see instructions)					
d	Applied to 2012 distributable amount					7,913,522.
e	Remaining amount distributed out of corpus					
5	Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		1,078,244.			1,078,244.
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		3,454,328.			
b	Prior years' undistributed income Subtract line 4b from line 2b					
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b Taxable amount - see instructions					
e	Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions					
f	Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013					
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8	Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)		559,409.			
9	**Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a		2,894,919.			
10	Analysis of line 9.					
a	Excess from 2008					
b	Excess from 2009	796,351.				
c	Excess from 2010					
d	Excess from 2011	2,098,568.				
e	Excess from 2012					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . .					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

ATCH 17

b The form in which applications should be submitted and information and materials they should include

NO PRESCRIBED FORM

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATCH 18				
Total . . . . ▶ 3a				6,767,270.
b *Approved for future payment*				
ATCH 19				
Total . . . . ▶ 3b				84,996.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue.					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	127.	
4 Dividends and interest from securities			14	2,640,174.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	1,722,475.	
8 Gain or (loss) from sales of assets other than inventory			18	8,285,709.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b ATCH 20				5,535,397.	
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				18,183,882.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 18,183,882.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Lynn Merz 8 NOV 2013 ▶EXECUTIVE DIRECTOR

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN

Firm's name ▶

Firm's EIN ▶

Firm's address ▶

Phone no.

FARALLON CAPITAL INSTITUTIONAL PARTNERS, LP

ATTACHMENT TO SCHEDULE K-1

Partner Name	Mimi and Peter Haas Fund
Partner ID#	94-6064551
Partner #	116

11 AS A RESULT OF TAX SHELTER LEGISLATION, WE ARE PROVIDING TO YOU YOUR ALLOCABLE SHARE OF GROSS FOREIGN CURRENCY LOSSES PURSUANT TO IRC SECTION 988 FOR EACH NON-FUNCTIONAL CURRENCY THIS LOSS HAS BEEN REPORTED ON FORM 8886 AS REQUIRED UNDER TREAS REG 1 6011-4(b)(5) TO DISCLOSE "LOSS TRANSACTIONS " YOU MAY ALSO BE REQUIRED TO FILE FORM 8886 PLEASE CONSULT YOUR TAX ADVISOR WITH REGARD TO THIS ISSUE

FOREIGN CURRENCY	YOUR SHARE OF GROSS LOSS
UAE DIRHAM	(9)
ARGENTINE PESO	(19)
AUSTRALIAN DOLLAR	(2,046)
BRAZILIAN REAL	(635)
CANADIAN DOLLAR	(4,869)
SWISS FRANC	(6,244)
CHILEAN PESO	(163)
DANISH KRONE	(950)
EUROPEAN UNION EURO	(121,698)
BRITISH POUND STERLING	(15,853)
HONG KONG DOLLAR	(114)
INDONESIAN RUPIAH	(57)
INDIAN RUPEE	(5,135)
JAPANESE YEN	(4,644)
SOUTH KOREAN WON	(1,233)
MEXICAN PESO	(31)
MALAYSIAN RINGGIT	(13)
NORWEGIAN KRONER	(3,791)
PERUVIAN NUEVO SOL	(2,971)
SWEDISH KRONA	(7,754)
SINGAPORE DOLLAR	(150)
THAI BAHT	(1,403)
SOUTH AFRICAN RAND	(3,760)
Total	(163,542)

ATTACHMENT —
FORM 8886

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

Depreciation and Amortization
(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2012

Attachment Sequence No **179**

Name(s) shown on return: MIMI AND PETER HAAS FUND

Identifying number: 94-6064551

Business or activity to which this form relates: GENERAL DEPRECIATION

Part I Election To Expense Certain Property Under Section 179

Note: *If you have any listed property, complete Part V before you complete Part I.*

1	Maximum amount (see instructions)	1	
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	
4	Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6 (a) Description of property	(b) Cost (business use only)	(c) Elected cost

7	Listed property Enter the amount from line 29	7	
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the **smaller** of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2011 Form 4562	10	
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2013 Add lines 9 and 10, less line 12 ▶	13	

Note: *Do not use Part II or Part III below for listed property. Instead, use Part V*

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.) (See instructions.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	8,952.

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2012	17	242.
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B - Assets Placed in Service During 2012 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27 5 yrs	MM	S/L	
			27.5 yrs.	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	
				MM	S/L	

Section C - Assets Placed in Service During 2012 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs.		S/L	
c 40-year			40 yrs.	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property Enter amount from line 28	21	
22	**Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations - see instructions	22	9,194.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

JSA **For Paperwork Reduction Act Notice, see separate instructions.**
2X2300 2 000

Form **4562** (2012)

10/31/2013 5:38:25 PM MPFD

Part V Listed Property (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)

Note: *For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete* ***only*** *24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable*

Section A - Depreciation and Other Information (Caution: *See the instructions for limits for passenger automobiles*.)

24a Do you have evidence to support the business/investment use claimed? ☐ **Yes** ☐ **No** **24b** If "Yes," is the evidence written? ☐ **Yes** ☐ **No**

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions)						25		
26 Property used more than 50% in a qualified business use								
		%						
		%						
		%						
27 Property used 50% or less in a qualified business use								
		%				S/L -		
		%				S/L -		
		%				S/L -		
28 Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1						28		
29 Add amounts in column (i), line 26 Enter here and on line 7, page 1							29	

Section B - Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

	(a) Vehicle 1		(b) Vehicle 2		(c) Vehicle 3		(d) Vehicle 4		(e) Vehicle 5		(f) Vehicle 6	
30 Total business/investment miles driven during the year (**do not** include commuting miles)												
31 Total commuting miles driven during the year												
32 Total other personal (noncommuting) miles driven												
33 Total miles driven during the year Add lines 30 through 32												
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
34 Was the vehicle available for personal use during off-duty hours?												
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use?												

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons (see instructions)

	Yes	No
37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use? (See instructions.)		

Note: *If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles.*

Part VI Amortization

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2012 tax year (see instructions).					
43 Amortization of costs that began before your 2012 tax year				43	
44 **Total.** Add amounts in column (f). See the instructions for where to report				44	

MIMI AND PETER HAAS FUND

2012

94-6064551

Description of Property

GENERAL DEPRECIATION

DEPRECIATION

Asset description	Date placed in service	Unadjusted Cost or basis	Bus. %	179 exp reduction in basis	Basis Reduction	Basis for depreciation	Beginning Accumulated depreciation	Ending Accumulated depreciation	Me-thod	Conv	Life	ACRS class	MA CRS class	Current-year 179 expense	Current-year depreciation
FURNITURE 92	01/01/1992	7,691.	100.000			7,691.	7,691.	7,691.	SL		10.000				
OFFICE FURN 94	01/01/1994	98,864.	100.000			98,864.	98,864.	98,864.	SL		10.000				
ARTWORK 94	01/01/1994	5,550.	100.000												
SIDETABLE 95	09/15/1995	1,606.	100.000			1,606.	1,606.	1,606.	SL		10.000				
WORKSTATION 95	08/24/1995	552.	100.000			552.	552.	552.	SL		10.000				
CONF TABLE 95	11/15/1995	924.	100.000			924.	924.	924.	SL		10.000				
ROUTER/ETHERNET 98	03/15/1998	602.	100.000			602.	602.	602.	SL		5.000				
OFFICE CHAIR 98	03/15/1998	459.	100.000			459.	459.	459.	SL		7.000				
2 STORAGE CABN 98	04/30/1998	2,220.	100.000			2,220.	2,220.	2,220.	SL		7.000				
4 MERIDIAN FILE 98	04/30/1998	2,681.	100.000			2,681.	2,681.	2,681.	SL		7.000				
2 TABLE DESK 98	08/28/1998	4,200.	100.000			4,200.	4,200.	4,200.	SL		7.000				
BOOKCASE 98	08/28/1998	930.	100.000			930.	930.	930.	SL		7.000				
FILE TOP 98	08/18/1998	350.	100.000			350.	350.	350.	SL		7.000				
DISHWASHER 01	01/17/2001	427.	100.000			427.	427.	427.	SL		7.000				
SERVER BACKUP 02	10/09/2002	437.	100.000			437.	437.	437.	SL		5.000				
DSL SET UP 02	02/14/2002	449.	100.000			449.	449.	449.	SL		5.000				
VIDEO CONF SYS 03	02/14/2003	27,740.	100.000			27,740.	27,740.	27,740.	SL		5.000				
OFFICE CHAIRS 03	09/02/2003	1,548.	100.000			1,548.	1,548.	1,548.	SL		7.000				
POWER PNT PROJ 04	10/19/2004	927.	100.000			927.	927.	927.	SL		5.000				
Less Retired Assets															
Subtotals															
Listed Property															
Less Retired Assets															
Subtotals															
TOTALS															

AMORTIZATION

Asset description	Date placed in service	Cost or basis	Accumulated amortization	Ending Accumulated amortization	Code	Life	Current-year amortization
LEASEHOLD IMPR 94	07/01/1994	30,736.	30,736.	30,736.	A178	40.000	
LEASEHOLD IMPR 95	01/01/1995	31,396.	31,396.	31,396.	A178	40.000	
LEASEHOLD IMPR 03	05/12/2003	1,530.	1,530.	1,530.	A178	7.000	
TOTALS							

*Assets Retired

JSA
2X9024 1,000

Description of Property

GENERAL DEPRECIATION

DEPRECIATION

Asset description	Date placed in service	Unadjusted Cost or basis	Bus %	179 exp reduction in basis	Basis Reduction	Basis for depreciation	Beginning Accumulated depreciation	Ending Accumulated depreciation	Me-thod	Conv	Life	ACRS class	MA CRS class	Current-year 179 expense	Current-year depreciation
OFFICE FURN 93	01/01/1993	68,137.	100.000			68,137.	68,137.	68,137.	SL		10.000				
TELEPHONE 97	10/15/1997	1,109.	100.000			1,109.	1,109.	1,109.	SL		5.000				
MINOLTA COPIER 98	08/28/1998	16,340.	100.000			16,340.	16,340.	16,340.	SL		5.000				
PHONE SYS 03	02/14/2003	21,196.	100.000			21,196.	21,196.	21,196.	SL		5.000				
DELL SERVER 05	06/01/2005	4,077.	100.000			4,077.	4,077.	4,077.	SL		5.000				
DOOR PLAQUE 06	04/26/2006	799.	100.000			799.	646.	760.	SL		7.000				114.
6 DELL OPTIPLEX 07	04/18/2007	8,978.	100.000			8,978.	8,381.	8,978.	SL		5.000				597.
KEYBOARD TRAYS 07	06/21/2007	665.	100.000			665.	428.		SL		7.000				48.*
FLATBED SCANNER 07	06/25/2007	144.	100.000			144.	130.	144.	SL		5.000				14.
BIZHUB COPIER 08	02/05/2008	17,924.	100.000			17,924.	14,041.	17,626.	SL		5.000				3,585.
FURNITURE 10	07/19/2010	1,093.	100.000			1,093.	234.	390.	SL	HY	7.000		7		156.
LM CHAIR 10	10/15/2010	601.	100.000			601.	129.	215.	SL	HY	7.000		7		86.
POWEREDGE SERVER11	01/25/2011	7,586.	100.000			7,586.	1,391.	2,908.	SL		5.000				1,517.
FIREWALL 11	04/11/2011	2,186.	100.000			2,186.	328.	765.	SL		5.000				437.
SERVER MIG.PROJ.11	04/11/2011	5,400.	100.000			5,400.	810.	1,890.	SL		5.000				1,080.
NEW COMPUTER 12	05/23/2012	1,145.	100.000			1,145.		134.	SL		5.000				134.
6 NEW COMPUTERS 12	05/23/2012	6,851.	100.000			6,851.		799.	SL		5.000				799.
WINDOWS SERVER 12	06/21/2012	1,120.	100.000			1,120.		112.	SL		5.000				112.
NEW COMPUTER 12	06/21/2012	2,648.	100.000			2,648.		265.	SL		5.000				265.
Less Retired Assets															
Subtotals															

Listed Property

Less Retired Assets															
Subtotals															
TOTALS															

AMORTIZATION

Asset description	Date placed in service	Cost or basis	Accumulated amortization	Ending Accumulated amortization	Code	Life	Current-year amortization
TOTALS							

*Assets Retired

JSA
2X9024 1 000

MIMI AND PETER HAAS FUND

2012

94-6064551

Description of Property

GENERAL DEPRECIATION

DEPRECIATION

Asset description	Date placed in service	Unadjusted Cost or basis	Bus %	179 exp reduction in basis	Basis Reduction	Basis for depreciation	Beginning Accumulated depreciation	Ending Accumulated depreciation	Me-thod	Conv	Life	ACRS class	MA CRS class	Current-year 179 expense	Current-year depreciation
SERVER MGMT 12	07/10/2012	503.	100.000			503.		50.	SL		5.000				50.
COMPUTER INST. 12	08/01/2012	2,400.	100.000			2,400.		200.	SL		5.000				200.
Less: Retired Assets		665.				665.	428.								
Subtotals		328,394.				322,844.	289,556.	298,702.							9,194.

Listed Property

Asset description	Date placed in service	Unadjusted Cost or basis	Bus %	179 exp reduction in basis	Basis Reduction	Basis for depreciation	Beginning Accumulated depreciation	Ending Accumulated depreciation	Me-thod	Conv	Life	ACRS class	MA CRS class	Current-year 179 expense	Current-year depreciation
Less. Retired Assets															
Subtotals															
TOTALS		328,394.				322,844.	289,556.	298,702.							9,194.

AMORTIZATION

Asset description	Date placed in service	Cost or basis	Accumulated amortization	Ending Accumulated amortization	Code	Life	Current-year amortization
TOTALS		63,662.	63,662.	63,662.			

*Assets Retired

JSA
2X9024 1 000

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
WELLS FARGO BANK CHECKING 0057-003733	127.	127.	
TOTAL	127.	127.	

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
INTEREST FROM SECURITIES-			
GENERAL ACCOUNT 26-74278	16.	16.	
NEW CENTURY PARTNERS II 26-03957			
CAPITAL GUARDIAN 26-03958	745,956.	745,956.	
SCHWAB/GURTIN 2090-5807	16,033.	16,033.	
GOLDMAN SACHS 026-01445-6			
WELLS FARGO W20095273			
DIVIDENDS FROM SECURITIES-			
NEW CENTURY PARTNERS II 26-03957			
CAPITAL GUARDIAN 26-03958			
SCHWAB/GURTIN 2090-5807	64.	64.	
GENERAL ACCOUNT 26-74278	1,878,105.	1,878,105.	
TOTAL	2,640,174.	2,640,174.	

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
ORDINARY INCOME FROM PARTNERSHIPS-			
FARALLON CAPITAL INST 94-3106323	604,929.	604,929.	
FIRST RESERVE XI ONSHORE AIV 71-1015969	-27,938.	-27,938.	
BASELINE INCREASED EXP. 45-3010715	2,399.	2,399.	
GEMINI ISRAEL IV 98-0428414	-719.	-719.	
GEMINI ISRAEL V 98-0548428	-670.	-670.	
JC FLOWERS II 94-6064551	6,370.	6,370.	
BASELINE CORE FUND 45-3010611	-1,101.	-1,101.	
HCP MEP H INVESTORS 27-4319591	-2,810.	-2,810.	
KHOSLA VENTURE IV 90-0720388	-32.	-32.	
GS MOUNT KELLETT CAP PART (N/A)	58,585.	58,585.	
HCP WPPE X INVESTORS 26-1278318	-19,706.	-19,706.	
JW CHILDS EQUITY III 03-0387301	3,360.	3,360.	
KHOSLA VENTURES SEED B 45-5043024	-329.	-329.	
HCP PRIVATE EQUITY 52-2335224	4,432.	4,432.	
NATURAL GAS PARTNERS IX 26-0632609	84,749.	84,749.	
PENZA GLOBAL VALUE FUND DST 20-6776308	153,837.	153,837.	
POUCHINE COOK CAPITAL II 35-2249258	-2,547.	-2,547.	
HARRIS ASSOCIATES GLOBAL 26-0221909	79,813.	79,813.	
ROSEWOOD CAPITAL III 94-3274638	-3,511.	-3,511.	
CARMEL PARTNERS INV FUND III 33-1177003	7,700.	7,700.	
ROSEWOOD CAPITAL V 14-1948848	1,273.	1,273.	
STG III A 20-8952445	63,672.	63,672.	
TCV III (Q) 77-0494890	-828.	-828.	
TCV IV 77-0527868	-241.	-241.	
WARBURG PINCUS PRIVATE EQUITY VIII 13-4161869	63,482.	63,482.	
WARBURG PINCUS (BERMUDA) PRIVATE EQUITY VIII 13-4194502			
FIRST RESERVE XI-B OFFSHORE AIV (N/A)			
FIRST RESERVE XI-D OFFSHORE AIV (N/A)			
GENERATION IM GLOBAL (N/A)	86,820.	86,820.	

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
GENERATION IM CLIMATE SOLUTION (N/A)			
JCF II AIV E (N/A))			
JCF II AIV S 98-0578159			
JCF HRE AIV II TE TRUST 98-0584484	-119.	-119.	
JUPITER JCF AIV II SPECIAL 98-0569851	1,402.	1,402.	
HCP VF IX INVESTORS 26-1958282	62,300.	62,300.	
ROSEWOOD CAPITAL V COBALT HOLDING (N/A)			
RVG IV 22-3707169			
WATERSHED CAPITAL INST 82-0547075	470,731.	470,731.	
BAUPOST VALUE PARTNERS IV 26-2208448	6,039.	6,039.	
J.W. CHILDS BERMUDA AIV TWO 98-0405583	99.	99.	
KHOSLA VENTURES III 26-4049910	290.	290.	
KHOSLA VENTURES SEED 26-4754028	487.	487.	
ROSEWOOD CAPITAL IV 22-3707169	-1,675.	-1,675.	
OTHER INCOME-			
SECURITIES LITIGATION SETTLEMENTS	22,121.	22,121.	
CHANGES IN UNREALZED APPRECIATION	5,535,397.		
NET LOSS ON DISPOSITIONS	-189.	-189.	
OTHER INTEREST INCOME			
TOTALS	7,257,872.	1,722,475.	

ATTACHMENT 4

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
LEGAL FEES	4,789.	2,394.		2,395.
TOTALS	4,789.	2,394.		2,395.

ATTACHMENT 5

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ARGONAUT SECURITIES COMPANY	297,120.	254,777.		42,343.
OUTSIDE ACCOUNTING SERVICE	38,000.	19,000.		19,000.
ARGONAUT CASH-ACCRUAL ADJUSTM.	243.	-155,977.		
TOTALS	335,363.	117,800.		61,343.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
INVESTMENT ADVISOR & CUSTODIAL FEES-				
CAPITAL GUARDIAN 26-03958	78,023.	78,023.		
GENERAL ACCOUNT 26-74278	2,545.	2,545.		
KHOSLA VENTURE IV 90-0720388	5,599.	5,599.		
SCHWAB/GURTIN 20905807	46,717.	46,717.		
CARMEL PARTNERS III33-1177003	11,178.	11,178.		
HALL CAPITAL PARTNERS LLC	640,005.	640,005.		
FARALLON CAPITAL 94-3106323	113,495.	113,495.		
FR XI ONSHORE AIV 71-1015969	8,566.	8,566.		
JC FLOWERS II 94-6064551	8,987.	8,987.		
GEMINI ISRAEL IV 98-0428414	16,857.	16,857.		
GEMINI ISRAEL V 98-0548428	24,872.	24,872.		
JW CHILDS EQ III 03-0387301	7,198.	7,198.		
GS MOUNT KELLETT CAP PAR.(N/A)	39,995.	39,995.		
BASELINE INCR EXP.45-3010715	2,089.	2,089.		
HCP PRIVATE EQ 52-2335224	288.	288.		
NATURAL GAS IX 26-0632609	31,000.	31,000.		
PZENA GLOBAL VALUE 20-6776308	51,574.	51,574.		
POUCHINE COOK CAPITAL II 35-2249258	23,304.	23,304.		
GENERATION IM GLOBAL (N/A)	81,026.	81,026.		
HARRIS ASSTS GL.26-0221909	30,319.	30,319.		
BASELINE CORE FD 45-3010611	10,852.	10,852.		
ROSEWOOD CAP V 14-1948848	9,189.	9,189.		
BAUPOST VALUE PRIV 26-2208448	568,117.	568,117.		
WATERSHED CAP INST 82-0547075	129,294.	129,294.		
KHOSLA VENTURE SEEDB45-5043024	4,121.	4,121.		
STG III A 20-8952445	41,851.	41,851.		
WARBURG PINCUS PRIVATE EQUITY VIII 13-4161869	3,616.	3,616.		
KHOSLA VENTURES III26-4049910	11,154.	11,154.		

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
KHOSLA VENTURES SEED 26-4754028	5,556.	5,556.		
INVESTMENT-RELATED EXPENSES- BANK SERVICE CHARGES	4,583.	4,583.		
TOTALS	2,011,970.	2,011,970.		

ATTACHMENT 7

FORM 990PF, PART I - INTEREST EXPENSE

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS
INTEREST-PARTNERSHIP ESCROWS	
TOTALS	

ATTACHMENT 8

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
FEDERAL EXCISE TAX	195,000.			
FEDERAL DEFERRED TAXES	55,354.			
STATE TAX AND FILING FEES	21,900.			21,900.
TOTALS	272,254.			21,900.

ATTACHMENT 9

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ADMINISTRATIVE CONSULTANTS	10,642.			10,642.
GENERAL LIABILITY INSURANCE	1,628.			1,628.
TELEPHONE	7,196.			7,196.
SUPPLIES	3,832.			3,832.
POSTAGE & FREIGHT	1,301.			1,301.
MEMBERSHIP FEES	1,380.			1,380.
SERVICE CONTRACT & EQ REPAIR	20,122.			20,122.
EQUIPMENT RENTAL	1,165.			1,165.
MISC ADMIN-RELATED EXPENSES	1,887.			1,887.
MISC GRANT-RELATED EXPENSES	1,599.			1,599.
TECHNOLOGY CONSULTANTS				
DIRECT CHARITABLE ACTIVITIES	2,230.			2,230.
PROFESSIONAL DEVELOPMENT	45.			45.
TOTALS	53,027.			53,027.

INVESTMENTS - LAND, BUILDINGS, EQUIPMENT

ATTACHMENT 10

		FIXED ASSET DETAIL				ACCUMULATED DEPRECIATION DETAIL			
ASSET DESCRIPTION	METHOD/ CLASS	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE
ARTWORK 94	L	5,550.			5,550.				
TOTALS		5,550.			5,550.				

ATTACHMENT 11

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
AURELIUS CAPITAL INTERNATIONAL		
BASELINE CORE FUND 45-3010611	64,547.	64,547.
CANYON VALUE REAL FUND	115,500.	115,500.
FARALLON CAPTL INST 94-3106323	12,182,893.	12,182,893.
FIRST RESERVE XI ALT C-1	28,739.	28,739.
FR XI ONSHORE AIV 71-1015969	1,205,829.	1,205,829.
FR XI OFFSHORE AIV 94-6064551	357,152.	357,152.
GEMINI ISRAEL IV 98-0428414	886,446.	886,446.
GEMINI ISRAEL V 98-0548428	626,898.	626,898.
GENERATION IM GLOBAL EQ FUND	8,653,526.	8,653,526.
HCP WPPE X INVEST 26-1278318	1,969,624.	1,969,624.
JC FLOWERS II 98-0494093	285,126.	285,126.
JCF II SIDECAR 98-0504008		
HSH CAYMAN 98-0521559	3,067.	3,067.
FR XI-D OFFSHORE AIV (N/A)		
JCF II SPECIAL AIV K	25,973.	25,973.
JW CHILDS EQ III 03-0387301	831,747.	831,747.
JW CHLDS BERM AIV 2 98-0405583	221,814.	221,814.
LBA REALTY FUND III	1,131,681.	1,131,681.
OFFIT HALL PRVT EQ 52-2335224	1,123,572.	1,123,572.
OFFIT HALL ABSOLUTE RTN OFFSHR		
NATURAL GAS PARTR IX26-0632609	1,958,427.	1,958,427.
PZENA GLOBAL VALUE FUND DST		
POUCHINE COOK CAPII 35-2249258	844,663.	844,663.
KHOSLA VENTURE SEEDB45-5043024	35,550.	35,550.
ROSEWOOD CAP III 94-3274638	246,675.	246,675.
ROSEWOOD CAP V 14-1948848	427,513.	427,513.
ROYAL CAPITAL VALUE FUND		
RVG IV 22-3707169		
STG III A 20-8952445	2,279,161.	2,279,161.
TCV III(Q) 77-0494890	87,139.	87,139.
TCV IV 77-0527868	58,794.	58,794.
TIEDEMANN GLOBAL EMERGING FUND		

ATTACHMENT 11 (CONT'D)

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
WARBURG EQ VIII 13-4161869	1,637,883.	1,637,883.
WARBURG (BERM) PRVT EQ VIII 13-4194502		
NORTHERN TRUST COMPANY		
GENERAL ACCOUNT 26-74278 ATCH 11A	18,521,001.	18,521,001.
NEW CENTURY PRTNR II 26-03957	-	-
CAPITAL GUARDIAN 26-03958 ATCH 11B	30,299,822.	30,299,822.
SCHWAB/GURTIN 2090-5807 ATCH 11C	27,460,146.	27,460,146.
GOLDMAN SACHS 026-01445-6 ATCH 11D	313,636.	313,636.
WF INVESTMENTS W20095273	-	-
CREDIT SUISSE 219-660511	-	-
ASIA ENVIRONMENTAL PARTNER(NA)	1,509,475.	1,509,475.
CARMEL PARTNERS INV FUND III 33-1177003	845,477.	845,477.
HCP VF IX INVESTORS 26-1958282	1,108,248.	1,108,248.
JUPITER JCF AIV II SPECIAL 98-0569851	224,180.	224,180.
JCF II AIV S 98-0578159		
FR XI-B OFFSHORE AIV (N/A)	-47,932.	-47,932.
GENERATION IM CLIMATE SOL(N/A)	2,246,229.	2,246,229.
GS MOUNT KELLETT CAP (N/A)	1,829,094.	1,829,094.
JCF HRE AIV II TE TRUST 98-0584484	1,556.	1,556.
JCF II AIV E (N/A)		
WATERSHED CAP INST PARTNERS 82-0547075	9,224,569.	9,224,569.
ROSEWOOD CAP V COBALT (N/A)	216,868.	216,868.
SRI NINE REIT 77-0677478	392,841.	392,841.
FR HORIZON AIV (N/A)	187,423.	187,423.
BAUPOST VALUE PARTNERS IV 26-2208448	39,764,481.	39,764,481.
KHOSLA VENTURES III 26-4049910	912,775.	912,775.
KHOSLA VENTURES SEED	205,511.	205,511.

ATTACHMENT 11 (CONT'D)

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
26-4754028		
ROSEWOOD CAPITAL IV 22-3707169	621,006.	621,006.
ETON PARK OVERSEES FUND (N/A)	4,981,262.	4,981,262.
FR XI-E ONSHORE AIV (N/A)	-39,210.	-39,210.
HCP CHINA PE2009 FEEDER-CAYMAN	737,818.	737,818.
BASELINE INCREASED EXPOSURE FD 45-3010715	143,550.	143,550.
MOUNT KELLETT CAP PR(CAYMAN)II 98-0677267	1,387,300.	1,387,300.
HCP MEP H INVESTORS 27-4319591	606,672.	606,672.
KHOSLA VENTURES IV 90-0720388	212,546.	212,546.
HARRIS ASSOCIATES GLOBAL 26-0221909	10,262,102.	10,262,102.
TOTALS	191,418,385.	191,418,385.

Portfolio Statement

31 DEC 12

◆ Asset Detail - Taxable

Cost Method FIFO

Equities

Description Asset Id Acquisition Date	Original Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Unrealized gain/loss Translation	Unrealized gain/loss Total
Funds - common stock									
Emerging Markets Region - USD									
MFO CAP INTL EMERGING MKTS GROWTH FD INC15 CUSIP 290886100		636,472 93 8 150		0 00	5,187,254 38	5,153,703 71	33,550 67	0 00	33,550 67
31 Jan 12		617,283 950	8 10		5,030,864 19	5,000,000 00	30,864 19	0 00	30,864 19
21 Dec 12		16,491 730	8 01		134,407 60	132,098 77	2,308 83	0 00	2,308 83
21 Dec 12		2,697 250	8 01		21,982 59	21,604 94	377 65	0 00	377 65
Total USD				0 00	5,187,254 38	5,153,703 71	33,550 67	0 00	33,550 67
Total Emerging Markets Region				0 00	5,187,254 38	5,153,703 71	33,550 67	0 00	33,550 67
International Region - USD									
MFO HARRIS ASSOCIATED INVESTMENT TRUSTOAKMARK INTL FD CL I CUSIP 413838202		335,477 81 20 930		0 00	7,021,550 56	7,065,409 80	-43,859 24	0 00	-43,859 24
22 Sep 06		96,148 170	26 61		2,012,381 19	2,558,502 82	-546,121 63	0 00	-546,121 63
14 Dec 06		51,147 710	25 18		1,070,521 57	1,287,899 29	-217,377 72	0 00	-217,377 72
14 Dec 06		747 720	25 18		15,649 78	18,827 51	-3,177 73	0 00	-3,177 73
14 Dec 06		6,607 070	25 18		138,285 98	166,366 03	-28,080 05	0 00	-28,080 05
13 Dec 07		6,373 300	21 22		133,393 16	135,241 35	-1,848 19	0 00	-1,848 19
13 Dec 07		80,671 120	21 22		1,688,446 54	1,711,841 09	-23,394 55	0 00	-23,394 55
13 Dec 07		3,542 770	21 22		74,150 18	75,177 51	-1,027 33	0 00	-1,027 33
18 Dec 08		1,044 920	10 80		21,870 18	11,285 10	10,585 08	0 00	10,585 08
18 Dec 08		67,593 940	10 80		1,414,741 17	730,014 57	684,726 60	0 00	684,726 60
18 Dec 08		3,795 720	10 80		79,444 42	40,993 77	38,450 65	0 00	38,450 65
17 Dec 09		3,222 980	16 58		67,456 97	53,436 96	14,020 01	0 00	14,020 01

** - Lot Level Information Not Verified

◆ Asset Detail - Taxable

Cost Method. FIFO

Equities

Description Asset Id Acquisition Date	Original Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Unrealized gain/loss Translation	Unrealized gain/loss Total
Funds - common stock									
International Region - USD									
MFO HARRIS ASSOCIATED INVESTMENT TRUSTOAKMARK INTL FD CL I (con't) CUSIP 413838202									
16 Dec 10		3,809 730	19 26		79,737 65	73,375 32	6,362 33	0 00	6,362 33
15 Dec 11		3,618 560	16 07		75,736 46	58,150 22	17,586 24	0 00	17,586 24
13 Dec 12		7,154 100	20 17		149,735 31	144,298 26	5,437 05	0 00	5,437 05
MFO LONGLEAF PARTNERS FDS TR INTL FD CUSIP 543069405		449,444 04 14 040		0 00	6,310,194 32	7,129,964 16	-819,769 84	0 00	-819,769 84
29 Mar 05		275,926 770	15 90		3,874,011 85	4,387,235 67	-513,223 82	0 00	-513,223 82
09 Nov 05		5,247 330	16 81		73,672 52	88,207 55	-14,535 03	0 00	-14,535 03
08 Nov 06		883 810	18 24		12,408 69	16,120 76	-3,712 07	0 00	-3,712 07
08 Nov 06		34,385 050	18 24		482,766 10	627,183 25	-144,417 15	0 00	-144,417 15
27 Dec 06		394 840	18 94		5,543 56	7,478 33	-1,934 77	0 00	-1,934 77
07 Nov 07		51,868 150	20 26		728,228 83	1,050,848 74	-322,619 91	0 00	-322,619 91
07 Nov 07		531 330	20 26		7,459 88	10,764 79	-3,304 91	0 00	-3,304 91
06 Nov 08		21,726 900	10 35		305,045 68	224,873 43	80,172 25	0 00	80,172 25
06 Nov 08		18,669 850	10 35		262,124 69	193,232 95	68,891 74	0 00	68,891 74
30 Dec 08		3,320 320	10 94		46,617 29	36,324 32	10,292 97	0 00	10,292 97
29 Dec 10		7,520 020	15 38		105,581 08	115,657 88	-10,076 80	0 00	-10,076 80
08 Nov 11		5,679 680	13 02		79,742 70	73,949 49	5,793 21	0 00	5,793 21
29 Dec 11		11,255 380	11 88		158,025 53	133,713 96	24,311 57	0 00	24,311 57
08 Nov 12		4,406 140	13 24		61,862 20	58,337 30	3,524 90	0 00	3,524 90
28 Dec 12		7,628 470	13 90		107,103 72	106,035 74	1,067 98	0 00	1,067 98

** - Lot Level Information Not Verified

11A

Portfolio Statement

31 DEC 12

Account number 2674278

M & P HAAS FUND - PART. INVT.

◆

Asset Detail - Taxable

Cost Method FIFO

Equities

Description Asset Id Acquisition Date	Original Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Funds - common stock									
Total USD				0 00	13,331,744 88	14,195,373 96	-863,629 08	0 00	-863,629 08
Total International Region				0 00	13,331,744 88	14,195,373 96	-863,629 08	0 00	-863,629 08
Total funds - common stock				**0.00**	**18,518,999.26**	**19,349,077.67**	**-830,078.41**	**0.00**	**-830,078.41**
Preferred stock									
United States - USD									
KATONAH III LTD PFD CUSIP 486018203		5,000 00 0 000		0 00	0 00 *	1,000 00	-1,000 00	0 00	-1,000 00
				* *Market value based on prices received from an external manager or other client-directed pricing source*					
14 Mar 02		5,000 000	0 20		0 00	1,000 00	-1,000 00	0 00	-1,000 00
Total USD				0 00	0 00	1,000 00	-1,000 00	0 00	-1,000 00
Total United States				0 00	0 00	1,000 00	-1,000 00	0 00	-1,000 00
Total preferred stock				**0.00**	**0.00**	**1,000.00**	**-1,000.00**	**0.00**	**-1,000.00**
Total equities				**0.00**	**18,518,999.26**	**19,350,077.67**	**-831,078.41**	**0.00**	**-831,078.41**

** - Lot Level Information Not Verified

11A

Portfolio Statement

31 DEC 12

◆

Asset Detail - Taxable

Cost Method· FIFO

Cash and Cash Equivalents

Description Asset Id Acquisition Date	Original Acquisition Date	Exchange rate	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	 Translation	 Total
Funds - short term investment								
MFB NORTHERN INSTL FDS GOVT SELECTPORTFOLIO CUSIP 665278701		1 00	0 00	2,001 65	2,001 65	0 00	0 00	0 00
Total funds - short term investment - all currencies			0 00	2,001 65	2,001 65	0 00	0 00	0 00
Total funds - short term investment - all countries			0 00	2,001 65	2,001 65	0 00	0 00	0 00
Total funds - short term investment			**0.00**	**2,001.65**	**2,001.65**	**0.00**	**0.00**	**0.00**
Total cash and cash equivalents			**0.00**	**2,001.65**	**2,001.65**	**0.00**	**0.00**	**0.00**

11A

** - Lot Level Information Not Verified

Generated by Northern Trust from periodic data on 10 Oct 13

Portfolio Statement

31 DEC 12

Account number 2674278

M & P HAAS FUND - PART. INVT.

◆ Asset Detail - Taxable

Cost Method FIFO

Total unrealized gain for lotted securities						1,004,773.25
Total unrealized loss for lotted securities						-1,835,851.66
Total unrealized gain for non-lotted securities						0.00
Total unrealized loss for non-lotted securities						0.00
Grand Total by Lots		18,521,000.91	19,352,079.32	-831,078.41	0.00	-831,078.41
Grand Total	0.00	18,521,000 91	19,352,079.32	-831,078.41	0.00	-831,078.41

** - Lot Level Information Not Verified

11A

Portfolio Statement

31 DEC 12

Account number 2603958

M & P HAAS FUND - CAP GDN

◆ Asset Detail - Taxable

Cost Method FIFO

Equities

Description Asset Id Acquisition Date	Original Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Common stock									
United States - USD									
KINDER MORGAN MGMT LLC KINDER MORGANMGMT LLC FR CUSIP CUSIP EKE55U103		52,936 00 0 000		0 00	0 00	0 00	0 00	0 00	0 00
25 Nov 03		571 830	0 00		0 00	0 00**	0 00	0 00	0 00
25 Nov 03		10 080	0 00		0 00	0 00**	0 00	0 00	0 00
25 Nov 03		34 450	0 00		0 00	0 00**	0 00	0 00	0 00
17 Feb 04		944 610	0 00		0 00	0 00**	0 00	0 00	0 00
17 Feb 04		56 540	0 00		0 00	0 00**	0 00	0 00	0 00
17 Feb 04		16 610	0 00		0 00	0 00**	0 00	0 00	0 00
18 Feb 04		8 970	0 00		0 00	0 00**	0 00	0 00	0 00
18 Feb 04		0 640	0 00		0 00	0 00**	0 00	0 00	0 00
18 Feb 04		0 250	0 00		0 00	0 00**	0 00	0 00	0 00
14 May 04		10 230	0 00		0 00	0 00**	0 00	0 00	0 00
14 May 04		26 260	0 00		0 00	0 00**	0 00	0 00	0 00
14 May 04		34 260	0 00		0 00	0 00**	0 00	0 00	0 00
14 May 04		570 800	0 00		0 00	0 00**	0 00	0 00	0 00
14 May 04		0 350	0 00		0 00	0 00**	0 00	0 00	0 00
14 May 04		1 670	0 00		0 00	0 00**	0 00	0 00	0 00
17 Aug 04		39 420	0 00		0 00	0 00**	0 00	0 00	0 00
17 Aug 04		134 050	0 00		0 00	0 00**	0 00	0 00	0 00
17 Aug 04		2,238 630	0 00		0 00	0 00**	0 00	0 00	0 00
18 Aug 04		2 170	0 00		0 00	0 00**	0 00	0 00	0 00
18 Aug 04		38 510	0 00		0 00	0 00**	0 00	0 00	0 00
18 Aug 04		0 490	0 00		0 00	0 00**	0 00	0 00	0 00

** - Lot Level Information Not Verified

11B

Portfolio Statement

31 DEC 12

◆

Asset Detail - Taxable

Cost Method FIFO

Equities

Description Asset Id Acquisition Date	Original Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Unrealized gain/loss Translation	Unrealized gain/loss Total
Common stock									
United States - USD									
KINDER MORGAN MGMT LLC KINDER MORGANMGMT LLC FR CUSIP (con't) CUSIP EKE55U103									
15 Nov 04		4 850	0 00		0 00	0 00**	0 00	0 00	0 00
15 Nov 04		36 470	0 00		0 00	0 00**	0 00	0 00	0 00
15 Nov 04		1 420	0 00		0 00	0 00**	0 00	0 00	0 00
15 Nov 04		2,068 710	0 00		0 00	0 00**	0 00	0 00	0 00
15 Nov 04		78 680	0 00		0 00	0 00**	0 00	0 00	0 00
15 Nov 04		123 840	0 00		0 00	0 00**	0 00	0 00	0 00
15 Feb 05		6 870	0 00		0 00	0 00**	0 00	0 00	0 00
15 Feb 05		115 690	0 00		0 00	0 00**	0 00	0 00	0 00
15 Feb 05		1 880	0 00		0 00	0 00**	0 00	0 00	0 00
16 Feb 05		117 420	0 00		0 00	0 00**	0 00	0 00	0 00
16 Feb 05		1,966 230	0 00		0 00	0 00**	0 00	0 00	0 00
16 Feb 05		35 070	0 00		0 00	0 00**	0 00	0 00	0 00
16 May 05		1,909 820	0 00		0 00	0 00**	0 00	0 00	0 00
16 May 05		114 250	0 00		0 00	0 00**	0 00	0 00	0 00
16 May 05		33 770	0 00		0 00	0 00**	0 00	0 00	0 00
19 May 05		9 210	0 00		0 00	0 00**	0 00	0 00	0 00
19 May 05		2 450	0 00		0 00	0 00**	0 00	0 00	0 00
19 May 05		150 820	0 00		0 00	0 00**	0 00	0 00	0 00
12 Aug 05		10 110	0 00		0 00	0 00**	0 00	0 00	0 00
12 Aug 05		39 140	0 00		0 00	0 00**	0 00	0 00	0 00
12 Aug 05		2,209 900	0 00		0 00	0 00**	0 00	0 00	0 00
12 Aug 05		132 410	0 00		0 00	0 00**	0 00	0 00	0 00

** - Lot Level Information Not Verified

118

Portfolio Statement

31 DEC 12

Account number 2603958

M & P HAAS FUND - CAP GDN

◆ Asset Detail - Taxable

Cost Method FIFO

Equities

Description Asset Id Acquisition Date	Original Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Common stock									
United States - USD									
KINDER MORGAN MGMT LLC KINDER MORGANMGMT LLC FR CUSIP (con't) CUSIP EKE55U103									
12 Aug 05		3 090	0 00		0 00	0 00**	0 00	0 00	0 00
12 Aug 05		173 450	0 00		0 00	0 00**	0 00	0 00	0 00
14 Nov 05		12 000	0 00		0 00	0 00**	0 00	0 00	0 00
14 Nov 05		203 670	0 00		0 00	0 00**	0 00	0 00	0 00
14 Nov 05		3 730	0 00		0 00	0 00**	0 00	0 00	0 00
16 Nov 05		12 520	0 00		0 00	0 00**	0 00	0 00	0 00
16 Nov 05		213 900	0 00		0 00	0 00**	0 00	0 00	0 00
16 Nov 05		3 860	0 00		0 00	0 00**	0 00	0 00	0 00
16 Feb 06		255 580	0 00		0 00	0 00**	0 00	0 00	0 00
16 Feb 06		75 480	0 00		0 00	0 00**	0 00	0 00	0 00
16 Feb 06		4,270 820	0 00		0 00	0 00**	0 00	0 00	0 00
21 Feb 06		231 820	0 00		0 00	0 00**	0 00	0 00	0 00
21 Feb 06		4 000	0 00		0 00	0 00**	0 00	0 00	0 00
21 Feb 06		14 180	0 00		0 00	0 00**	0 00	0 00	0 00
16 May 06		1,843 430	0 00		0 00	0 00**	0 00	0 00	0 00
16 May 06		544 430	0 00		0 00	0 00**	0 00	0 00	0 00
16 May 06		30,800 610	0 00		0 00	0 00**	0 00	0 00	0 00
18 May 06		5 770	0 00		0 00	0 00**	0 00	0 00	0 00
18 May 06		333 770	0 00		0 00	0 00**	0 00	0 00	0 00
18 May 06		20 060	0 00		0 00	0 00**	0 00	0 00	0 00
Total USD				0 00	0 00	0 00	0 00	0 00	0 00
Total United States				0 00	0 00	0 00	0 00	0 00	0 00

** - Lot Level Information Not Verified

11B

Portfolio Statement

31 DEC 12

◆ Asset Detail - Taxable

Cost Method FIFO

Equities

Description Asset Id Acquisition Date	Original Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Unrealized gain/loss Translation	Unrealized gain/loss Total
Total common stock				0.00	0.00	0 00	0.00	0.00	0.00
Total equities				0.00	0.00	0.00	0.00	0.00	0.00

** - Lot Level Information Not Verified

11B

Portfolio Statement

31 DEC 12

◆ Asset Detail - Taxable

Cost Method FIFO

Fixed Income

Description Asset Id Acquisition Date	Original Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss		
							Market	Translation	Total
Funds - corporate bond									
United States - USD									
CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4		2,915,784 84 10 400		28,396 45	30,324,162 33	29,866,154 71	458,007 62	0 00	458,007 62
15 May 09		1,604,956 060	1,022 20		16,691,543 02	16,405,841 31	285,701 71	0 00	285,701 71
15 May 09		60,883 570	1,022 20		633,189 12	622,351 09	10,838 03	0 00	10,838 03
29 May 09		466,780 160	1,021 20		4,854,513 66	4,766,753 21	87,760 45	0 00	87,760 45
29 May 09		3,779 480	1,021 20		39,306 60	38,595 98	710 62	0 00	710 62
29 May 09		255,193 480	1,021 20		2,654,012 19	2,606,032 72	47,979 47	0 00	47,979 47
15 Jun 09		15,227 820	1,021 40		158,369 33	155,537 38	2,831 95	0 00	2,831 95
15 Jun 09		89,072 850	1,021 40		926,357 64	909,792 89	16,564 75	0 00	16,564 75
15 Jun 09		5,766 040	1,021 40		59,966 82	58,894 53	1,072 29	0 00	1,072 29
30 Jun 09		5,607 240	1,028 60		58,315 29	57,676 35	638 94	0 00	638 94
30 Jun 09		6,375 130	1,028 60		66,301 35	65,574 88	726 47	0 00	726 47
15 Jul 09		5,294 120	1,027 93		55,058 85	54,419 83	639 02	0 00	639 02
31 Jul 09		5,207 360	1,037 91		54,156 55	54,047 96	108 59	0 00	108 59
14 Aug 09		4,763 160	1,037 81		49,536 87	49,432 75	104 12	0 00	104 12
31 Aug 09		5,530 360	1,043 63		57,515 74	57,716 69	-200 95	0 00	-200 95
15 Sep 09		5,052 830	1,043 30		52,549 43	52,716 06	-166 63	0 00	-166 63
30 Sep 09		4,947 550	1,047 39		51,454 52	51,819 90	-365 38	0 00	-365 38
15 Oct 09		4,876 200	1,043 35		50,712 48	50,875 67	-163 19	0 00	-163 19
30 Oct 09		4,772 940	1,046 05		49,638 57	49,927 21	-288 64	0 00	-288 64
13 Nov 09		4,285 420	1,046 01		44,568 36	44,826 11	-257 75	0 00	-257 75
30 Nov 09		5,257 680	1,051 35		54,679 88	55,276 61	-596 73	0 00	-596 73
15 Dec 09		4,693 550	1,039 77		48,812 92	48,802 30	10 62	0 00	10 62

** - Lot Level Information Not Verified

11B

◆ Asset Detail - Taxable

Cost Method FIFO

Fixed Income

Description Asset Id Acquisition Date	Original Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Funds - corporate bond									
United States - USD									
CF CAP GUARDIAN US INVT GRAD FIF TXT FD (con't) CUSIP 6852311M4									
31 Dec 09		4,539 910	1,029 77		47,215 06	46,750 74	464 32	0 00	464 32
15 Jan 10		4,430 290	1,039 47		46,075 02	46,051 42	23 60	0 00	23 60
29 Jan 10		4,437 680	1,040 86		46,151 87	46,190 03	-38 16	0 00	-38 16
12 Feb 10		3,617 450	1,036 47		37,621 48	37,493 85	127 63	0 00	127 63
26 Feb 10		5,346 760	1,039 44		55,606 30	55,576 53	29 77	0 00	29 77
15 Mar 10		4,632 120	1,037 38		48,174 05	48,052 76	121 29	0 00	121 29
31 Mar 10		4,859 680	1,032 72		50,540 68	50,186 65	354 03	0 00	354 03
30 Apr 10		69,859 330	1,040 60		726,537 03	726,956 33	-419 30	0 00	-419 30
30 Apr 10		139 920	1,039 42		1,455 17	1,454 35	0 82	0 00	0 82
30 Apr 10		9,447 920	1,040 60		98,258 36	98,315 11	-56 75	0 00	-56 75
30 Apr 10		3,233 070	1,040 60		33,623 93	33,643 40	-19 47	0 00	-19 47
14 May 10		70 310	1,046 92		731 22	736 09	-4 87	0 00	-4 87
14 May 10		4,351 740	1,047 02		45,258 10	45,563 48	-305 38	0 00	-305 38
28 May 10		4,733 780	1,042 18		49,231 31	49,334 58	-103 27	0 00	-103 27
15 Jun 10		4,220 260	1,041 17		43,890 71	43,940 11	-49 40	0 00	-49 40
30 Jun 10		3,942 500	1,049 69		41,002 00	41,383 84	-381 84	0 00	-381 84
15 Jul 10		3,861 330	1,047 79		40,157 83	40,458 56	-300 73	0 00	-300 73
30 Jul 10		3,831 550	1,048 77		39,848 12	40,184 09	-335 97	0 00	-335 97
13 Aug 10		3,300 240	1,049 73		34,322 49	34,643 71	-321 22	0 00	-321 22
31 Aug 10		4,603 530	1,054 38		47,876 71	48,538 82	-662 11	0 00	-662 11
15 Sep 10		3,929 500	1,042 40		40,866 80	40,961 05	-94 25	0 00	-94 25
30 Sep 10		3,821 380	1,046 89		39,742 35	40,005 50	-263 15	0 00	-263 15

11B

** - Lot Level Information Not Verified

Generated by Northern Trust from periodic data on 10 Oct 13

◆

Asset Detail - Taxable

Cost Method FIFO

Fixed Income

Description Asset Id Acquisition Date	Original Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss		
							Market	Translation	Total
Funds - corporate bond									
United States - USD									
CF CAP GUARDIAN US INVT GRAD FIF TXT FD (con't) CUSIP 6852311M4									
15 Oct 10		3,834 050	1,042 10		39,874 12	39,954 65	-80 53	0 00	-80 53
29 Oct 10		3,784 820	1,040 92		39,362 12	39,397 08	-34 96	0 00	-34 96
15 Nov 10		60 700	1,027 78		631 28	623 86	7 42	0 00	7 42
15 Nov 10		3,645 660	1,027 80		37,914 87	37,470 06	444 81	0 00	444 81
30 Nov 10		3,355 200	1,031 84		34,894 08	34,620 36	273 72	0 00	273 72
15 Dec 10		3,566 740	1,006 39		37,094 10	35,895 18	1,198 92	0 00	1,198 92
31 Dec 10		3,975 110	1,018 46		41,341 15	40,484 96	856 19	0 00	856 19
31 Jan 11		8,516 930	1,015 53		88,576 07	86,491 85	2,084 22	0 00	2,084 22
15 Feb 11		4,775 420	1,006 04		49,664 36	48,042 44	1,621 92	0 00	1,621 92
28 Feb 11		4,452 590	1,015 41		46,306 94	45,211 99	1,094 95	0 00	1,094 95
15 Mar 11		4,804 450	1,018 29		49,966 28	48,923 11	1,043 17	0 00	1,043 17
31 Mar 11		4,423 160	1,012 31		46,000 86	44,776 24	1,224 62	0 00	1,224 62
29 Apr 11		8,600 480	1,021 55		89,444 99	87,858 53	1,586 46	0 00	1,586 46
13 May 11		3,728 060	1,026 83		38,771 83	38,280 98	490 85	0 00	490 85
31 May 11		4,856 650	1,030 78		50,509 16	50,061 15	448 01	0 00	448 01
15 Jun 11		4,177 030	1,029 90		43,441 11	43,019 28	421 83	0 00	421 83
30 Jun 11		4,015 220	1,023 46		41,758 29	41,094 20	664 09	0 00	664 09
15 Jul 11		4,051 590	1,030 20		42,136 53	41,739 65	396 88	0 00	396 88
29 Jul 11		4,021 060	1,034 77		41,819 03	41,608 80	210 23	0 00	210 23
15 Aug 11		3,911 430	1,047 56		40,678 87	40,974 40	-295 53	0 00	-295 53
31 Aug 11		3,956 910	1,047 70		41,151 87	41,456 58	-304 71	0 00	-304 71
15 Sep 11		3,933 730	1,048 54		40,910 80	41,246 71	-335 91	0 00	-335 91

** - Lot Level Information Not Verified

11B

◆ Asset Detail - Taxable

Cost Method FIFO

Fixed Income

Description Asset Id Acquisition Date	Original Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Funds - corporate bond									
United States - USD									
CF CAP GUARDIAN US INVT GRAD FIF TXT FD (con't) CUSIP 6852311M4									
30 Sep 11		3,719 260	1,051 03		38,680 30	39,090 63	-410 33	0 00	-410 33
14 Oct 11		3,325 540	1,037 76		34,585 61	34,511 16	74 45	0 00	74 45
31 Oct 11		3,832 660	1,046 75		39,859 67	40,118 53	-258 86	0 00	-258 86
15 Nov 11		3,613 440	1,040 96		37,579 77	37,614 38	-34 61	0 00	-34 61
30 Nov 11		3,672 400	1,036 19		38,192 96	38,053 03	139 93	0 00	139 93
15 Dec 11		3,672 290	1,045 10		38,191 81	38,379 19	-187 38	0 00	-187 38
30 Dec 11		3,674 010	1,046 03		38,209 71	38,431 10	-221 39	0 00	-221 39
31 Jan 12		7,109 790	1,054 11		73,941 81	74,945 33	-1,003 52	0 00	-1,003 52
15 Feb 12		3,438 160	1,050 36		35,756 87	36,112 92	-356 05	0 00	-356 05
29 Feb 12		3,211 440	1,047 12		33,398 97	33,627 66	-228 69	0 00	-228 69
15 Mar 12		3,301 980	1,035 86		34,340 59	34,203 78	136 81	0 00	136 81
30 Mar 12		3,319 190	1,037 17		34,519 58	34,425 59	93 99	0 00	93 99
30 Apr 12		6,338 150	1,046 79		65,916 76	66,347 27	-430 51	0 00	-430 51
15 May 12		3,064 440	1,048 84		31,870 18	32,141 00	-270 82	0 00	-270 82
31 May 12		2,996 620	1,052 50		31,164 85	31,539 32	-374 47	0 00	-374 47
15 Jun 12		3,081 870	1,047 83		32,051 45	32,292 69	-241 24	0 00	-241 24
29 Jun 12		3,253 610	1,047 28		33,837 54	34,074 50	-236 96	0 00	-236 96
13 Jul 12		2,795 950	1,054 55		29,077 88	29,484 79	-406 91	0 00	-406 91
31 Jul 12		3,412 810	1,056 69		35,493 22	36,062 81	-569 59	0 00	-569 59
15 Aug 12		2,978 180	1,042 92		30,973 08	31,059 92	-86 84	0 00	-86 84
31 Aug 12		2,961 980	1,052 44		30,804 60	31,173 17	-368 57	0 00	-368 57
14 Sep 12		2,708 770	1,043 62		28,171 21	28,269 30	-98 09	0 00	-98 09

** - Lot Level Information Not Verified

118

Portfolio Statement

31 DEC 12

Account number 2603958

M & P HAAS FUND - CAP GDN

◆ Asset Detail - Taxable

Cost Method FIFO

Fixed Income

Description Asset Id Acquisition Date	Original Acquisition Date	Shares/PAR Local market price	Local cost/share	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Translation	Total
Funds - corporate bond									
United States - USD									
CF CAP GUARDIAN US INVT GRAD FIF TXT FD (con't) CUSIP 6852311M4									
28 Sep 12		2,989 630	1,050 41		31,092 15	31,403 23	-311 08	0 00	-311 08
15 Oct 12		2,692 030	1,049 10		27,997 11	28,242 19	-245 08	0 00	-245 08
31 Oct 12		2,468 510	1,045 59		25,672 50	25,810 42	-137 92	0 00	-137 92
15 Nov 12		2,419 400	1,046 74		25,161 76	25,324 73	-162 97	0 00	-162 97
30 Nov 12		2,627 270	1,045 43		27,323 61	27,466 19	-142 58	0 00	-142 58
14 Dec 12		2,394 790	1,042 84		24,905 82	24,973 92	-68 10	0 00	-68 10
31 Dec 12		2,730 430	1,040 55		28,396 47	28,411 47	-15 00	0 00	-15 00
Total USD				28,396 45	30,324,162 33	29,866,154 71	458,007 62	0 00	458,007 62
Total United States				28,396 45	30,324,162 33	29,866,154 71	458,007 62	0 00	458,007 62
Total funds - corporate bond				**28,396.45**	**30,324,162.33**	**29,866,154.71**	**458,007.62**	**0.00**	**458,007 62**
Total fixed income				**28,396.45**	**30,324,162.33**	**29,866,154.71**	**458,007.62**	**0.00**	**458,007.62**

11B

** - Lot Level Information Not Verified

**Generated by Northern Trust from periodic data on 10 Oct 13*

Portfolio Statement

31 DEC 12

◆ Asset Detail - Taxable

Cost Method FIFO

Cash and Cash Equivalents

Description Asset Id Acquisition Date	Original Acquisition Date	Exchange rate	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Unrealized gain/loss Translation	Unrealized gain/loss Total
Funds - short term investment								
MFB NORTHERN INSTL FDS GOVT SELECTPORTFOLIO CUSIP 665278701		1 00	0 00	4,056 02	4,056 02	0 00	0 00	0 00
Total funds - short term investment - all currencies			0 00	4,056 02	4,056 02	0 00	0 00	0 00
Total funds - short term investment - all countries			0 00	4,056 02	4,056 02	0 00	0 00	0 00
Total funds - short term investment			**0.00**	**4,056.02**	**4,056 02**	**0.00**	**0.00**	**0.00**
Total cash and cash equivalents			**0.00**	**4,056.02**	**4,056.02**	**0.00**	**0.00**	**0.00**

11B

** - Lot Level Information Not Verified

◆ Asset Detail - Taxable

Cost Method FIFO

Adjustments To Cash

Description Asset Id Acquisition Date	Original Acquisition Date	Exchange rate	Accrued income/expense	Market value	Cost	Unrealized gain/loss Market	Unrealized gain/loss Translation	Unrealized gain/loss Total
Pending trade purchases								
USD - United States dollar		1 00	0 00	-28,396 45	-28,396 45	0 00	0 00	0 00
Total pending trade purchases - all currencies			0 00	-28,396 45	-28,396 45	0 00	0 00	0 00
Total pending trade purchases - all countries			0 00	-28,396 45	-28,396 45	0 00	0 00	0 00
Total pending trade purchases			**0.00**	**-28,396.45**	**-28,396.45**	**0.00**	**0.00**	**0 00**
Total adjustments to cash			**0.00**	**-28,396.45**	**-28,396.45**	**0.00**	**0.00**	**0.00**

11B

Portfolio Statement

31 DEC 12

◆ Asset Detail - Taxable

Cost Method FIFO

Total unrealized gain for lotted securities						471,321.96
Total unrealized loss for lotted securities						-13,314.34
Total unrealized gain for non-lotted securities						0.00
Total unrealized loss for non-lotted securities						0.00
Grand Total by Lots		30,299,821.90	29,841,814.28	458,007.62	0.00	458,007.62
Grand Total	28,396.45	30,299,821.90	29,841,814.28	458,007.62	0.00	458,007.62

** - Lot Level Information Not Verified

11B

Gurtin Fixed Income

UNREALIZED GAINS AND LOSSES - SETTLED TRADES

MIMI AND PETER HAAS FUND

Charles Schwab 20905807

vs905807

December 31, 2012

Date	Quantity	Security	Adj Unit Cost	Total Adjusted Cost	Price	Market Value	Unrealized Gain/Loss	% G/L
CASH AND EQUIVALENTS								
		Cash Account		4,060,786 89		4,060,786 89		
				4,060,786.89		4,060,786 89		
TREASURY BILLS								
10-11-2012	2,300,000	TREASURY BILL NONE 0 000% Due 01-03-13	100 00	2,299,987.48	100.00	2,299,997.70	10 22	0 0
12-06-2012	400,000	TREASURY BILL NONE 0 000% Due 01-03-13	100 00	399,998 76	100 00	399,999 60	0 84	0 0
10-11-2012	2,400,000	TREASURY BILL NONE 0 000% Due 01-10-13	100 00	2,399,943.62	100.00	2,399,980 80	37.18	0.0
12-13-2012	4,000,000	TREASURY BILL NONE 0 000% Due 01-10-13	100.00	3,999,980 23	100.00	3,999,968 00	-12 23	0.0
12-06-2012	2,500,000	TREASURY BILL NONE 0 000% Due 01-24-13	100 00	2,499,917.86	100 00	2,499,970 00	52 14	0 0
12-26-2012	200,000	TREASURY BILL NONE 0 000% Due 01-24-13	100 00	199,995 00	100 00	199,997 60	2 60	0.0
12-26-2012	500,000	TREASURY BILL NONE 0 000% Due 01-24-13	100 00	499,987 66	100.00	499,994 00	6 34	0 0
12-06-2012	2,700,000	TREASURY BILL NONE 0.000% Due 02-07-13	99.99	2,699,827.95	100 00	2,699,919 00	91 05	0 0
11-15-2012	2,700,000	TREASURY BILL NONE 0 000% Due 02-14-13	99.99	2,699,747.05	100 00	2,699,870 40	123.35	0.0
11-26-2012	2,500,000	TREASURY BILL NONE 0 000% Due 02-21-13	99 99	2,499,681.24	99 99	2,499,860 00	178.76	0 0
12-06-2012	2,700,000	TREASURY BILL NONE 0 000% Due 03-07-13	99 98	2,699,566 06	99 99	2,699,832.60	266.54	0 0
12-28-2012	500,000	TREASURY BILL NONE 0.000% Due 03-07-13	100 00	499,990 93	99.99	499,969.00	-21 93	0 0
				23,398,623 82		23,399,358 70	734.88	0.0
TOTAL PORTFOLIO				27,459,410.71		27,460,145.59	734 88	0.0

HAAS MIMI AND PETER FUND BKG

Holdings

Period Ended December 31, 2012

CASH, DEPOSITS & MONEY MARKET FUNDS

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
CASH								
U S DOLLAR	313,635 63	1 0000	313,635 63		313,635 63			

ALTERNATIVE INVESTMENTS

	Commitment	Total Contributions/ Distributions	Remaining Commitment[24]	Net Contributions/ (Distributions) Since Inception	Latest Cap Statement Value/[25] Statement Date	Net Contributions/ (Distributions) Since Last Cap Statement	Computed Market Value[26]	Economic Gain (Loss)[22]
PRIVATE EQUITY								
GS MOUNT KELLETT CAPITAL PARTNERS *	2,000,000 00	2,253,860 66	133,467 73	1,439,057 19	* 2,163,753 00	(318,725 35)	1,845,027.65	405,970 46
Closing Date:Sep,2008[10,12]		814,803 47			Sep 30, 2012			

	Market Value	Adjusted Cost /[6] Original Cost	Unrealized Gain (Loss)	Estimated Annual Income
TOTAL PORTFOLIO	2,158,663.28	1,752,692.82 2,567,496.29	405,970.46	

The calculations noted above (other than contributions and distributions since the last capital statement) are taken from the applicable partnership capital value statement as provided by the fund administrator A partnership capital value statement is available upon request from your Private Wealth Advisor Tax information regarding these investments, including allocations of income and realized and unrealized gains and losses will be available through a Schedule K-1 which will be mailed to you after year end. Please be advised that the delivery of K-1s is subject to the reporting of the particular underlying partnerships and that the delivery of particular K-1s may be delayed requiring you to file an extension Your private equity assets are held away from Goldman Sachs and are not covered by the Securities Investor Protection Corporation ("SIPC") or any supplemental insurance provided by Goldman Sachs for its clients holdings Please contact your Private Wealth Advisor if you have any questions

* LISTED SEPARATELY
ON BALANCE SHEET

---

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

[10] Contributions may include fees Distributions may be net of fees

[12] Please refer to the end of the Holdings section for further details pertaining to these investments

[22] Estimated using Net Asset Value (NAV), when available, or estimated as Computed Market Value minus adjusted Cost Basis

[24] Distributions of this investment may be subject to reinvestment or recall by the partnership before or after the expiration of the commitment period

[25] Cash may be included

[26] This is based on Net Asset Value (NAV), when available, or estimated as total capital balance, when available, plus any contributions and minus any distributions since the last capital statement.

11D

LAND, BUILDINGS, EQUIPMENT NOT HELD FOR INVESTMENT

ATTACHMENT 12

		FIXED ASSET DETAIL				ACCUMULATED DEPRECIATION DETAIL			
ASSET DESCRIPTION	METHOD/ CLASS	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE
FURNITURE 92	SL	7,691.			7,691.	7,691.			7,691.
OFFICE FURN 94	SL	98,864.			98,864.	98,864.			98,864.
LEASEHOLD IMPR 94	SL	30,736.			30,736.	30,736.			30,736.
SIDETABLE 95	SL	1,606.			1,606.	1,606.			1,606.
WORKSTATION 95	SL	552.			552.	552.			552.
CONF TABLE 95	SL	924.			924.	924.			924.
LEASEHOLD IMPR 95	SL	31,396.			31,396.	31,396.			31,396.
ROUTER/ETHERNET 98	SL	602.			602.	602.			602.
OFFICE CHAIR 98	SL	459.			459.	459.			459.
2 STORAGE CABN 98	SL	2,220.			2,220.	2,220.			2,220.
4 MERIDIAN FILE 98	SL	2,681.			2,681.	2,681.			2,681.
2 TABLE DESK 98	SL	4,200.			4,200.	4,200.			4,200.
BOOKCASE 98	SL	930.			930.	930.			930.
FILE TOP 98	SL	350.			350.	350.			350.
DISHWASHER 01	SL	427.			427.	427.			427.
SERVER BACKUP 02	SL	437.			437.	437.			437.
DSL SET UP 02	SL	449.			449.	449.			449.
LEASEHOLD IMPR 03	SL	1,530.			1,530.	1,530.			1,530.

ATTACHMENT 12

LAND, BUILDINGS, EQUIPMENT NOT HELD FOR INVESTMENT

ATTACHMENT 12 (CONT'D)

		FIXED ASSET DETAIL				ACCUMULATED DEPRECIATION DETAIL			
ASSET DESCRIPTION	METHOD/ CLASS	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE
VIDEO CONF SYS 03	SL	27,740.			27,740.	27,740.			27,740.
OFFICE CHAIRS 03	SL	1,548.			1,548.	1,548.			1,548.
POWER PNT PROJ 04	SL	927.			927.	927.			927.
OFFICE FURN 93	SL	68,137.			68,137.	68,137.			68,137.
TELEPHONE 97	SL	1,109.			1,109.	1,109.			1,109.
MINOLTA COPIER 98	SL	16,340.			16,340.	16,340.			16,340.
PHONE SYS 03	SL	21,196.			21,196.	21,196.			21,196.
DELL SERVER 05	SL	4,077.			4,077.	4,077.			4,077.
DOOR PLAQUE 06	SL	799.			799.	646.	114.		760.
6 DELL OPTIPLEX 07	SL	8,978.			8,978.	8,381.	597.		8,978.
KEYBOARD TRAYS 07	SL	665.		665.		428.	48.	476.	
FLATBED SCANNER 07	SL	144.			144.	130.	14.		144.
BIZHUB COPIER 08	SL	17,924.			17,924.	14,041.	3,585.		17,626.
TOTALS		355,638.			354,973.	350,754.			354,636.

ATTACHMENT 13

FORM 990PF, PART II - OTHER LIABILITIES

DESCRIPTION	ENDING BOOK VALUE
DEFERRED TAX PAYABLE	86,375.
TOTALS	86,375.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 14

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
MIRIAM L. HAAS C/O ARGONAUT SECURITIES CO. 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	TRUSTEE/PRESIDENT 10.00	0	0	0
ARI A. LURIE C/O ARGONAUT SECURITIES CO. 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	TRUSTEE 1.00	0	0	0
DANIEL L. LURIE C/O ARGONAUT SECURITIES CO. 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	TRUSTEE 1.00	0	0	0
LYNN MERZ C/O ARGONAUT SECURITIES CO. 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	EXECUTIVE DIRECTOR 40.00	262,500.	51,532.	0
	GRAND TOTALS	262,500.	51,532.	0

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEES

ATTACHMENT 15

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
CATHERINE A. JONES C/O ARGONAUT SECURITIES CO. 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	EXECUTIVE ASSISTANT 40.00	75,113.	22,175.	0
SAEED H. MIRFATTAH C/O ARGONAUT SECURITIES CO. 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	PROGRAM DIRECTOR 40.00	54,915.	4,689.	0
MARTIN D. TILZER C/O ARGONAUT SECURITIES CO. 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	FINANCE MANAGER 40.00	96,900.	23,365.	0
SEPTEMBER JARRETT C/O ARGONAUT SECURITIES CO. 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	PROGRAM DIRECTOR 40.00	64,904.	9,940.	0
	TOTAL COMPENSATION	291,832.	60,169.	0

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

ATTACHMENT 16

NAME AND ADDRESS	TYPE OF SERVICE	COMPENSATION
ARGONAUT SECURITIES COMPANY 1155 BATTERY STREET, LS7W SAN FRANCISCO, CA 94111	ACCOUNTING SERVICES	140,900.
FARALLON CAPITAL INST. PARTNERS ONE MARITIME PLAZA, SUITE 2100 SAN FRANCISCO, CA 94111	INVESTMENT ADVISORY	113,495.
HALL CAPITAL PARTNERS LLC ONE MARITIME PLAZA, FIFTH FLOOR SAN FRANCISCO, CA 94111	INVESTMENT ADVISORY	640,005.
BAUPOST VALUE PARTNERS IV 10 ST JAMES AVE STE 1700 BOSTON, MA 02116	INVESTMENT ADVISORY	568,117.
WATERSHED CAP. INSTITUTIONAL PARTNERS ONE MARITIME PLAZA, SUITE 1525 SAN FRANCISCO, CA 94111	INVESTMENT ADVISORY	129,294.
	TOTAL COMPENSATION	1,591,811.

ATTACHMENT 17

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

EXECUTIVE DIRECTOR
201 FILBERT STREET, 5TH FLOOR
SAN FRANCISCO, CA 94133
415-296-9249

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 18

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
GRANTS, ALLOCATIONS AND CONTRIBUTIONS PAID DURING THE YEAR SEE STATEMENT 18A			6,767,270.
		TOTAL CONTRIBUTIONS PAID	6,767,270.

MIMI AND PETER HAAS FUND

FEIN: 94-6064551

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Payee Name	**Payee Address**	**Project**	**Payment Amount**
1st Place 2 Start	1252 Sunnydale Avenue San Francisco, CA 94134	PM&E	$3,000 00
826 National	849 Valencia Street San Francisco, CA 94129	ScholarMatch	$7,500 00
ABC Preschool	426 33rd Avenue San Francisco, CA 94121	PM&E	$3,000 00
American Academy of Achievement	1222 16th Street, N W Washington, DC 20036	50th Annual International Achievement Summit	$25,000 00
American Friends Musee d'Orsay	5666 La Jolla Blvd La Jolla, CA 92037	in support of Diner a l'Orangerie	$5,000 00
American Friends of the Almeida Theatre	c/o Kenneth David Burrows 950 Third Avenue, 32nd Floor New York, NY 10022	Director's Circle 2012	$1,000 00
American Friends of the Hebrew University	One Battery Park Plaza, 25th Floor New York, NY 10004	Early Childhood Learning and Resource Center's Video-Aided Supervision Program	$50,000 00
American Heart Association	100 Montgomery Street Suite 1650 San Francisco, CA 94104	Sponsorship of Emil Knopf	$500 00
American Himalayan Foundation	909 Montgomery Street Suite 400 San Francisco, CA 94133	2012 Annual Dinner October 3, 2012	$1,000 00
American Patrons of Tate	520 West 27th Street, Unit 404 New York, NY 10001	International Council Membership	$7,714 45
Asia Society	725 Park Avenue New York, NY 10021-5088	In support of Cultural Exchange Programs	$25,000 00
Associated Students, Inc SFSU	1650 Holloway Avenue, CCSU M-102 San Francisco, CA 94132	Early Childhood Education Center PM&E	$5,000 00
Bay Area Discovery Museum	557 McReynolds Road Sausalito, CA 94965	Connections Program	$50,000 00
Bayview Hunters Point Foundation for Community Improvement Inc	150 Executive Park Blvd , Suite 2800 San Francisco, CA 94134	Jelani House & Jelani Family Center PM&E	$3,000 00
Boston MedFlight	Robins Street, Hangar 1727 Hanscom Air Force Base Bedford, MA 01730	General Operating Support	$2,500 00
Boys and Girls Clubs of San Francisco	55 Hawthorne Street, Suite 600 San Francisco, CA 94105	General Operating Support	$1,000 00
Breast Cancer Connections	390 Cambridge Avenue Palo Alto, CA 94306	Annual Campaign	$500 00
Bridge Housing Corporation	345 Spear Street, Suite 700 San Francisco, CA 94105-1673	General Operating Support	$10,000 00
Buen Dia Family School	589 Guerrero Street San Francisco, CA 94110	PM&E	$3,000.00

MIMI AND PETER HAAS FUND

FEIN: 94-6064551

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

California Academy of Sciences	55 Music Concourse Drive Golden Gate Park San Francisco, CA 94118	2012 Big Bang Gala	$25,000 00
California College of the Arts	5212 Broadway Oakland, CA 94618	Evening with David Sedaris Benefit	$1,500 00
California Pacific Medical Center Foundation	633 Folsom Street, 1st Floor San Francisco, CA 94107	Bayview Child Health Center	$165,000 00
California Pacific Medical Center Foundation	633 Folsom Street, 1st Floor San Francisco, CA 94107	Kalmanovitz Child Development Center 2012	$150,000 00
California Shakespeare Theater	701 Heinz Avenue Berkeley, CA 94710	General Operating Support	$2,500 00
Center for Youth Wellness	3450 3rd Street San Francisco, CA 94124	Director's Annual Contribution	$14,000 00
Center for Youth Wellness	3450 3rd Street San Francisco, CA 94124	Support for September 14, 2012 Reception	$11,000 00
Central Park Conservancy	14 East 60th Street New York, NY 10022	General Operating Support	$2,500 00
Centro Las Olas	3739 26th Street San Francisco, CA 94110	PM&E	$3,000 00
Children's Council of San Francisco	445 Church Street San Francisco, CA 94114	Model Centers Shared Services Technology Adoption (COCOA)	$11,250 00
Children's Council of San Francisco	445 Church Street San Francisco, CA 94114	San Francisco Child Care Connection (SF3C)	$25,000 00
Children's Council of San Francisco	445 Church Street San Francisco, CA 94114	San Francisco Individualized Child Care Subsidy Pilot (CPAC)	$30,000 00
Children's Council of San Francisco	445 Church Street San Francisco, CA 94114	San Francisco Child Care Connection (SF3C)	$25,000 00
Children's Council of San Francisco	445 Church Street San Francisco, CA 94114	Week of the Young Child - 2012	$3,000 00
Children's Storefront	70 East 129th Street New York, NY 10035	Annual Spring Gala	$1,000 00
Chinatown Community Children's Center	979 Clay Street San Francisco, CA 94108	PM&E	$5,000 00
City and County of San Francisco	Department of Children, Youth, and Their Families Fox Plaza 1390 Market Street, Suite 900 San Francisco, CA 94102	Office of Early Care and Education - to hire search firm for Director position	$55,750.00
City Arts and Lectures	1955 Sutter Street San Francisco, CA 94115	General Operating Support	$25,000 00
City College Child Development & Family Studies	50 Phelan Avenue Box L207 San Francisco, CA 94112	Mission Child Development Center PM&E	$5,000 00
City College Child Development & Family Studies	50 Phelan Avenue Box L207 San Francisco, CA 94112	Bernal PM&E	$3,000 00

ATTACHMENT 16A

MIMI AND PETER HAAS FUND
GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

FEIN: 94-6064551

City College Child Development & Family Studies	50 Phelan Avenue Box L207 San Francisco, CA 94112	Orfalea Family Center Ocean Campus PM&E	$5,000 00
City College Child Development & Family Studies	50 Phelan Avenue Box L207 San Francisco, CA 94112	Orfalea Family Center John Adams Campus PM&E	$5,000 00
City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	San Francisco Early Childhood Professional Development Project	$110,997 00
City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	Metro Academy for Early Childhood Education	$61,360 00
City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	Metro Academy for Early Childhood Education	$61,360 00
City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	San Francisco Early Childhood Professional Development Project	$110,997 00
City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	San Francisco Early Childhood Professional Development Project	$108,604 00
City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	Metro Academy for Early Childhood Education	$68,198 00
City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	San Francisco Early Childhood Professional Development Project	$108,604 00
City College of San Francisco	Child Development & Family Studies 50 Phelan Avenue, Box MU247 San Francisco, CA 94112	Metro Academy for Early Childhood Education	$68,198 00
College Track	117 Broadway Oakland, CA 94607	'Brave' Benefit Event	$10,000 00
Colonial Williamsburg Foundation	Post Office Box 1776 Williamsburg, VA 23187-1776	2012 Membership	$2,500.00
Community Initiatives	354 Pine Street, Suite 700 San Francisco, CA 94104	Bay Area Early Childhood Funders General Operating Support 2012	$500 00
Compass Children's Center	Administrative Office 49 Powell Street, 3rd Floor San Francisco, CA 94102	Model Centers Initiative 2012	$50,000 00
Compass Children's Center	Administrative Office 49 Powell Street, 3rd Floor San Francisco, CA 94102	Model Center Scholarships 2012	$61 00
Compass Children's Center	Administrative Office 49 Powell Street, 3rd Floor San Francisco, CA 94102	Model Centers Initiative 2012	$50,000 00

ATTACHMENT 16A

MIMI AND PETER HAAS FUND
GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

FEIN: 94-6064551

Compass Children's Center	Administrative Office 49 Powell Street, 3rd Floor San Francisco, CA 94102	Model Centers Initiative 2012	$50,000 00
Compass Children's Center	Administrative Office 49 Powell Street, 3rd Floor San Francisco, CA 94102	Model Center Scholarships 2012	$435 00
Compass Community Services	49 Powell Street, 3rd Floor San Francisco, CA 94103	Helen Hawk Children's Center PM&E	$3,000 00
Concord Coalition	1011 Arlington Blvd, Suite 300 Arlington, VA 22209	in support of 20th Anniversary Economic Patriots Dinner	$25,000.00
Council on Foreign Relations	58 East 68th Street New York, NY 10065	Chairman's Circle	$50,000 00
Council on Foundations	2121 Crystal Drive, Suite 700 Arlington, VA 22202	Membership 2012 ($500 fair value)	$7,000 00
Cross Cultural Family Center	Post Office Box 15366 San Francisco, CA 94115	C W House PM&E	$3,000 00
Cross Cultural Family Center	Post Office Box 15366 San Francisco, CA 94115	Bertha Fleming Child Development Center PM&E	$3,000 00
Cross Cultural Family Center	Post Office Box 15366 San Francisco, CA 94115	Turk Street Center PM&E	$5,000 00
Cross Cultural Family Center	Post Office Box 15366 San Francisco, CA 94115	Tucker Center PM&E	$5,000 00
Cross Cultural Family Center	Post Office Box 15366 San Francisco, CA 94115	Mary Lane PM&E	$3,000 00
Cross Cultural Family Center	Post Office Box 15366 San Francisco, CA 94115	Heritage Home Center PM&E	$3,000 00
Cross Cultural Family Center	Post Office Box 15366 San Francisco, CA 94115	Marcus Garvey PM&E	$3,000 00
Cross Cultural Family Center	Post Office Box 15366 San Francisco, CA 94115	John King PM&E	$3,000 00
Cross Cultural Family Center	Post Office Box 15366 San Francisco, CA 94115	Model Centers Initiative 2012 Visitacion Valley, Leland Street	$37,475 00
Cross Cultural Family Center	Post Office Box 15366 San Francisco, CA 94115	Model Centers Initiative 2012 Richmond Center	$33,750 00
Cross Cultural Family Center	Post Office Box 15366 San Francisco, CA 94115	Model Centers Initiative 2012 Visitacion Valley, Leland Street	$37,475 00
Cross Cultural Family Center	Post Office Box 15366 San Francisco, CA 94115	Model Centers Initiative 2012 Visitacion Valley, Leland Street	$37,475 00
Cross Cultural Family Center	Post Office Box 15366 San Francisco, CA 94115	Model Centers Initiative 2012 Richmond Center	$33,750 00
Dia Center for the Arts	535 West 22nd Street New York, NY 10011	Art Council Membership	$2,500 00

ATTACHMENT 16A

MIMI AND PETER HAAS FUND

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

FEIN: 94-6064551

Drawing Center	35 Wooster Street New York, NY 10013	2012 Spring Gala	$2,500 00
Drug Strategies	3053 Fillmore Street Box 244 San Francisco, CA 94123	Support for meeting of Burmese health experts and providers	$5,000 00
East Side House Settlement	337 Alexander Avenue Bronx, NY 10454	Annual Winter Antiques Show	$2,500 00
Eastside College Preparatory School	1041 Myrtle Street East Palo Alto, CA 94303	Boarding Scholarship Support 2012	$25,000 00
Economic Opportunity Council of San Francisco	1426 Filmore Street, Suite 301 San Franicsco, CA 94115	Cleo Wallace Child Growth and Development Center PM&E	$2,902 07
Economic Opportunity Council of San Francisco	1426 Filmore Street, Suite 301 San Franicsco, CA 94115	Oscaryne Williams Center of Hope PM&E	$2,804 10
Economic Opportunity Council of San Francisco	1426 Filmore Street, Suite 301 San Franicsco, CA 94115	Rainbow Infant Center PM&E	$2,719 41
Economic Opportunity Council of San Francisco	1426 Filmore Street, Suite 301 San Franicsco, CA 94115	Sojourner Truth Child Care Center PM&E	$2,725 73
Economic Opportunity Council of San Francisco	1426 Filmore Street, Suite 301 San Franicsco, CA 94115	Dr Martin Luther King Child Care Center PM&E	$2,742 85
Economic Opportunity Council of San Francisco	1426 Filmore Street, Suite 301 San Franicsco, CA 94115	OMI Child Care Center PM&E	$2,282 65
Economic Opportunity Council of San Francisco	1426 Filmore Street, Suite 301 San Franicsco, CA 94115	Rainbow Preschool PM&E	$2,772 58
Economic Opportunity Council of San Francisco	1426 Filmore Street, Suite 301 San Franicsco, CA 94115	Chinatown - North Beach Child Care Center PM&E	$2,827 81
Economic Opportunity Council of San Francisco	1426 Filmore Street, Suite 301 San Franicsco, CA 94115	Busy Bee and Delta Preschool PM&E	$3,675 47
Economic Opportunity Council of San Francisco	1426 Filmore Street, Suite 301 San Franicsco, CA 94115	Western Addition Child Care Center PM&E	$3,000 00
Enterprise for High School Students	200 Pine Street, Suite 600 San Francisco, CA 94104	San Francisco Fall Antiques Show 2012	$2,750 00
Exploratorium	Piers 15/17 San Francisco, CA 94111	35th Annual Awards Dinner	$5,000 00
Family & Child Empowerment Services San Francisco	1101 Masonic Avenue San Francisco, CA 94117	Masonic PM&E	$5,000 00
Family & Child Empowerment Services San Francisco	1101 Masonic Avenue San Francisco, CA 94117	Bayview/Hunter's Point Site PM&E	$5,000 00
Family Service Agency of San Francisco	1010 Gough Street San Francisco, CA 94109	Family Development Center PM&E	$5,000 00
Fine Arts Museums of San Francisco	Golden Gate Park 50 Hagiwara Tea Garden Drive San Francisco, CA 94118	Annual Giving, Friends Plus level	$1,500 00

MIMI AND PETER HAAS FUND
GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

FEIN: 94-6064551

Fine Arts Museums of San Francisco	Golden Gate Park 50 Hagiwara Tea Garden Drive San Francisco, CA 94118	Mid-Winter Gala 2012	$7,500 00
FJC	520 8th Avenue New York, NY 10018-6507	For the Cinque Terre Relief Fund	$20,000 00
Florence Crittenton Services	1101 Masonic Avenue San Francisco, CA 94117	Hayes Valley/Hope VI Site PM&E	$3,000 00
Foundation for Art and Preservation in Embassies	1725 'I' Street, N W Suite 300 Washington, DC 20006	Membership 2012	$3,000 00
Foundation for Contemporary Arts	820 Greenwich Street New York, NY 10014	General Operating Support 2012	$1,000 00
FranDelJA Enrichment Center	950 Gilman Street San Francisco, CA 94124	Model Center Scholarships 2012	$550 00
FranDelJA Enrichment Center	950 Gilman Street San Francisco, CA 94124	Model Center Scholarships 2012	$550 00
FranDelJA Enrichment Center	950 Gilman Street San Francisco, CA 94124	Model Centers Initiative 2012	$51,680 00
FranDelJA Enrichment Center	950 Gilman Street San Francisco, CA 94124	Model Center Scholarships 2012	$550 00
FranDelJA Enrichment Center	950 Gilman Street San Francisco, CA 94124	Early Learning Scholarships Tuition and Family Fee assistance	$525 00
FranDelJA Enrichment Center	950 Gilman Street San Francisco, CA 94124	Early Learning Scholarships Tuition and Family Fee assistance	$392 74
FranDelJA Enrichment Center	950 Gilman Street San Francisco, CA 94124	Model Centers Initiative 2012	$51,680 00
FranDelJA Enrichment Center	950 Gilman Street San Francisco, CA 94124	Early Learning Scholarships Tuition and Family Fee assistance	$1,376 00
FranDelJA Enrichment Center	950 Gilman Street San Francisco, CA 94124	Early Learning Scholarships Tuition and Family Fee assistance	$1,466 67
FranDelJA Enrichment Center	950 Gilman Street San Francisco, CA 94124	Early Learning Scholarships Tuition and Family Fee assistance	$1,466 67
FranDelJA Enrichment Center	950 Gilman Street San Francisco, CA 94124	Model Centers Initiative 2012	$51,680 00
FranDelJA Enrichment Center	950 Gilman Street San Francisco, CA 94124	Model Centers Initiative 2011	$25,000.00
FranDelJA Enrichment Center	950 Gilman Street San Francisco, CA 94124	Model Centers Initiative 2011	$25,000 00
Friends of the San Francisco Commission on the Status of Women	Post Office Box 191482 San Francisco, CA 94119	2012 CEDAW Women's Human Rights Awards	$2,500 00
Fryeburg Recreation Committee	Post Office Box 41 Fryeburg, ME 04037	Capital Campaign to build community center	$20,000 00

ATTACHMENT 16A

MIMI AND PETER HAAS FUND

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

FEIN: 94-6064551

Glide Foundation/Memorial United Methodist Church	330 Ellis Street San Francisco, CA 94102	PM&E	$5,000 00
Golden Gate National Parks Conservancy	Building 201 Fort Mason San Francisco, CA 94123	William Kent Society 2012	$5,000 00
Golden Gate National Parks Conservancy	Building 201 Fort Mason San Francisco, CA 94123	Golden Gate Bridge 75th Anniversary	$10,000.00
Good Samaritan Family Resource Center	1294 Potrero Avenue San Francisco, CA 94110	Early Learning Scholarships	$605 00
Good Samaritan Family Resource Center	1294 Potrero Avenue San Francisco, CA 94110	Early Learning Scholarships	$604 00
Good Samaritan Family Resource Center	1294 Potrero Avenue San Francisco, CA 94110	Model Centers Initiative 2012	$41,250 00
Good Samaritan Family Resource Center	1294 Potrero Avenue San Francisco, CA 94110	Early Learning Scholarships	$2,416 00
Good Samaritan Family Resource Center	1294 Potrero Avenue San Francisco, CA 94110	Early Learning Scholarships	$1,500 00
Good Samaritan Family Resource Center	1294 Potrero Avenue San Francisco, CA 94110	Model Centers Initiative 2012	$41,250 00
Good Samaritan Family Resource Center	1294 Potrero Avenue San Francisco, CA 94110	Early Learning Scholarships	$1,500 00
Good Samaritan Family Resource Center	1294 Potrero Avenue San Francisco, CA 94110	Model Centers Initiative 2012	$41,250 00
Habitat for Humanity of Metro Louisville	2777 S Floyd Street Louisville, KY 40209	General Operating Support	$1,000 00
Henry Street Settlement	265 Henry Street New York, NY 10002	The Art Show	$1,000 00
Holy Family Day Home	299 Dolores Street San Francisco, CA 94103-2211	Toddler/Infant Site PM&E	$3,000 00
Holy Family Day Home	299 Dolores Street San Francisco, CA 94103-2211	Preschool PM&E	$5,000 00
Homeless Prenatal Program	2500 18th Street San Francisco, CA 94110	Drop-in Child Care Center	$50,000 00
Independent Sector	1602 L Street, N W Suite 900 Washington, DC 20036	In support of 'Game Changers' Annual Conference	$5,000 00
Independent Sector	1602 L Street, N W Suite 900 Washington, DC 20036	2012 Membership	$7,500 00
International Council of the Museum of Modern Art	11 West 53 Street New York, NY 10019	Membership 2013	$7,500 00
Jewish Community Center of San Francisco	3200 California Street San Francisco, CA 94118	Early Childhood Program	$50,000 00
Jumpstart For Young Children	65 Battery Street 2nd Floor San Francisco, CA 94111	Model Centers Emergent Literacy Program	$67,500 00
Jumpstart For Young Children	65 Battery Street 2nd Floor San Francisco, CA 94111	Early Literacy Network	$4,500 00

ATTACHMENT 18A

MIMI AND PETER HAAS FUND
GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

FEIN: 94-6064551

Jumpstart For Young Children	65 Battery Street 2nd Floor San Francisco, CA 94111	Model Centers Emergent Literacy Program	$67,500 00
KIPP Bay Area Schools	1404 Franklin St 5th floor Oakland, CA 94612-3208	General Operating Support	$50,000 00
KQED	2601 Mariposa Street San Francisco, CA 94110-1426	Producer's Circle	$25,000 00
Larkin Street Youth Services	701 Sutter Street Suite 2 San Francisco, CA 94109	HIV Services Program	$60,000 00
Legal Services for Children	1254 Market Street, Third Floor San Francisco, CA 94102	General Operating Support	$45,000 00
Legal Services for Children	1254 Market Street, Third Floor San Francisco, CA 94102	New Case Management System	$5,000 00
Lincoln Center Theater	150 West 65th Street New York, NY 10023	Benefactor Patron	$10,000 00
Little Children's Developmental Center	1223 Webster Street San Francisco, CA 94115	PM&E	$3,000 00
Maitri	401 Duboce Avenue San Francisco, CA 94117-3551	General Operating Support	$40,000 00
Martha's Vineyard Community Services	111 Edgartown Road Vineyard Haven, MA 02568	Possible Dreams Auction 2012	$85,000 00
Martha's Vineyard Hospital	Post Office Box 1477 Oak Bluffs, MA 02557	General Operating Support 2012	$13,000 00
Martha's Vineyard Museum	59 School Street Post Office Box 1310 Edgartown, MA 02539	General Operating Support	$500 00
Memorial Sloan-Kettering Cancer Center	1233 York Avenue New York, NY 10065	Annual Appeal	$1,000 00
Memorial Sloan-Kettering Cancer Center	1233 York Avenue New York, NY 10065	24th Annual International Fine Art and Antique Dealers Show	$5,000.00
Memorial Sloan-Kettering Cancer Center	1233 York Avenue New York, NY 10065	Spring Ball 2012	$1,000 00
Memorial Sloan-Kettering Cancer Center	1233 York Avenue New York, NY 10065	Cycle for Survival	$1,000 00
Metropolitan Museum of Art	1000 Fifth Avenue New York, NY 10028-0198	Annual Membership $1,193 non-deductible	$10,000 00
Mission Neighborhood Centers	362 Capp Street San Francisco, CA 94110	Southeast Families United PM&E	$3,000.00
Mount St Joseph - St Elizabeth	Epiphany Parent-Child Center 100 Masonic Avenue San Francisco, CA 94118	Epiphany Center PM&E	$3,000.00
Museum of Modern Art	11 West 53 Street New York, NY 10019	Party in the Garden 2012	$50,000.00
National Abortion Rights Action League Foundation	335 South Van Ness Ave San Francisco, CA 94103	Power of Choice Luncheon 2012	$2,000 00
National Fish and Wildlife Foundation	1133 Fifteenth Street NW, Suite 1100 Washington, DC 20005	Celebrating the Great Outdoors 2012	$50,000 00

ATTACHMENT 10A

MIMI AND PETER HAAS FUND FEIN: 94-6064551

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

National Gallery of Art	National Gallery of Art Collectors Committee 2000B South Club Drive Landover, MD 20785	Collectors Committee 2012	$15,000 00
Nature Conservancy, Massachusetts Chapter	18 Helen Avenue Vineyard Haven, MA 02568	Massachusetts Islands Program	$1,000 00
Nihonmachi Little Friends	2031 Bush Street San Francisco, CA 94115	2031 Bush Street Center PM&E	$5,000 00
Northern California Grantmakers	625 Market Street, 3rd Floor San Francisco, CA 94105	Membership - 2012 ($500 fair value)	$8,500 00
Omega Boys Club	Post Office Box 884463 San Francisco, CA 94188	General Operating Support	$10,000 00
Painted Turtle	1300 4th Street Suite 300 Santa Monica, CA 90401	General Operating Support	$1,500 00
Pan Massachusetts Challenge	77 Fourth Avenue Needham, MA 02494	Sponsorship of Ron Rappaport	$1,000 00
Pena Pachamama Center	3450 Sacramento Street, Suite 523 San Francisco, CA 94118	General Operating Support	$150 00
Portola Family Connections	2565 San Bruno Avenue San Francisco, CA 94134	Next Steps Program	$35,000 00
Portola Family Connections	2565 San Bruno Avenue San Francisco, CA 94134	PM&E	$3,000 00
Raising A Reader San Francisco and Alameda Counties	470 Third Street Suite 102 San Francisco, CA 94107	Support for San Francisco Preschools	$25,000 00
Reach Out and Read	56 Roland Street, Suite 100D Boston, MA 02129	San Francisco Project	$10,000 00
Robin Hood Foundation	826 Broadway, 9th Floor New York, NY 10003	In support of 2012 Robin Hood Benefit	$100,000 00
Sailors for the Sea	449 Thames Street 300 D Newport, RI 02840	General Operating Support	$1,000 00
Salvation Army	407 Ninth Street San Francisco, CA 94103	Harbor House Childcare Center PM&E	$3,000 00
San Francisco Ballet Association	455 Franklin Street San Francisco, CA 94102	General Operating Support	$35,000 00
San Francisco Child Abuse Prevention Center	1757 Waller Street San Francisco, CA 94117	CrabFest 2012, in honor of Supervisor Mark Farrell	$1,000 00
San Francisco Child Abuse Prevention Center	1757 Waller Street San Francisco, CA 94117	Therapeutic Playroom Services for young children	$32,500 00
San Francisco Child Abuse Prevention Center	1757 Waller Street San Francisco, CA 94117	PM&E	$3,000 00
San Francisco Chronicle Season of Sharing Fund	Post Office Box 44740 San Francisco, CA 94144	General Operating Support	$35,000 00
San Francisco Free Clinic	4900 California Street San Francisco, CA 94118	2012 Annual Luncheon	$25,000 00

MIMI AND PETER HAAS FUND
GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

FEIN: 94-6064551

San Francisco Free Clinic	4900 California Street San Francisco, CA 94118	in memory of Warren Hellman	$10,000 00
San Francisco General Hospital Foundation	2789 25th Street, Suite 2028 San Francisco, CA 94110	Bay Area Perinatal AIDS Center	$40,000.00
San Francisco Jazz Organization (SFJAZZ)	Three Embarcadero Center Lobby Level San Francisco, CA 94111	2013 SFJAZZ Gala	$35,000 00
San Francisco Jazz Organization (SFJAZZ)	Three Embarcadero Center Lobby Level San Francisco, CA 94111	2012 SFJAZZ Gala General Operating Support	$25,000 00
San Francisco Museum of Modern Art	151 3rd Street San Francisco, CA 94103	The Modern Ball, Super Benefactor Level	$100,000.00
San Francisco Opera Association	War Memorial Opera House 301 Van Ness Avenue San Francisco, CA 941024509	Annual Fund 2012	$20,000 00
San Francisco Opera Guild	301 Van Ness Avenue San Francisco, CA 94102-4509	Opera Ball 2012	$10,000 00
San Francisco Parks Alliance	P O Box 170160 San Francisco, CA 94117-0160	Playgrounds Initiative Challenge Grant (1 1 Ratio)	$20,000 00
San Francisco Parks Alliance	P O Box 170160 San Francisco, CA 94117-0160	General Support for Party for the Parks 2012 at First Family level	$10,000 00
San Francisco Parks Alliance	P O Box 170160 San Francisco, CA 94117-0160	Friends of Lafayette Park, for playground	$10,000 00
San Francisco Planning and Urban Research Association	654 Mission Street San Francisco, CA 94105-4015	Membership 2012	$150 00
San Francisco State University	Marian Wright Edelman Institute 1600 Holloway Avenue San Francisco, CA 94132	Metro Academy for Early Childhood Education	$86,301 00
San Francisco State University	Marian Wright Edelman Institute 1600 Holloway Avenue San Francisco, CA 94132	Metro Academy for Early Childhood Education	$86,301 00
San Francisco State University	Marian Wright Edelman Institute 1600 Holloway Avenue San Francisco, CA 94132	Metro Academy for Early Childhood Education	$80,938 00
San Francisco State University	Marian Wright Edelman Institute 1600 Holloway Avenue San Francisco, CA 94132	Marian Wright Edelman Institute Gateway to Quality Program	$53,756 00
San Francisco State University	Marian Wright Edelman Institute 1600 Holloway Avenue San Francisco, CA 94132	Metro Academy for Early Childhood Education	$80,938 00
San Francisco State University	Marian Wright Edelman Institute 1600 Holloway Avenue San Francisco, CA 94132	Marian Wright Edelman Institute Gateway to Quality Program	$53,756 00
San Francisco Symphony	Louise M Davies Symphony Hall 201 Van Ness Avenue San Francisco, CA 94102	2012 Opening Night Gala	$20,000 00
San Francisco Symphony	Louise M Davies Symphony Hall 201 Van Ness Avenue San Francisco, CA 94102	Annual Fund 2012	$25,000 00

ATTACHMENT 16A

San Francisco Unified School District	555 Franklin Street San Francisco, CA 94102	Early Education Department Pre-Kindergarten to 3rd Grade	$125,000 00
San Francisco Unified School District	555 Franklin Street San Francisco, CA 94102	Early Education Department Pre-Kindergarten to 3rd Grade	$125,000 00
San Francisco University High School	3065 Jackson Street San Francisco, CA 94115	Annual Fund 2012	$5,000 00
San Francisco University High School	3065 Jackson Street San Francisco, CA 94115	Summerbridge at University High School	$7,500 00
San Francisco University High School	3065 Jackson Street San Francisco, CA 94115	San Francisco Decorator Showcase	$5,000 00
Shakespeare & Company	70 Kemble Street Lenox, MA 01240-2813	General Operating Support	$7,500 00
Single Stop USA	1825 Park Avenue, Suite 503 New York, NY 10035	San Francisco Anti-Poverty Program 2012-2013	$187,500 00
Single Stop USA	1825 Park Avenue, Suite 503 New York, NY 10035	San Francisco Anti-Poverty Program 2011-2012	$250,000 00
Single Stop USA	1825 Park Avenue, Suite 503 New York, NY 10035	San Francisco Anti-Poverty Program 2011-2012	$250,000 00
Single Stop USA	1825 Park Avenue, Suite 503 New York, NY 10035	San Francisco Anti-Poverty Program 2011-2012	$250,000 00
South of Market Child Care	790 Folsom Street San Francisco, CA 94107	Model Center Scholarships 2012	$3,733 33
South of Market Child Care	790 Folsom Street San Francisco, CA 94107	Model Center Scholarships 2012	$3,733 33
South of Market Child Care	790 Folsom Street San Francisco, CA 94107	Model Centers Initiative 2012 Yerba Buena Gardens CDC	$62,500 00
South of Market Child Care	790 Folsom Street San Francisco, CA 94107	Model Center Scholarships 2012	$3,733 34
South of Market Child Care	790 Folsom Street San Francisco, CA 94107	Model Centers Initiative 2012 Yerba Buena Gardens CDC	$62,500.00
South of Market Child Care	790 Folsom Street San Francisco, CA 94107	Refurbish playground matting and preschool classroom flooring	$53,572 00
South of Market Child Care	790 Folsom Street San Francisco, CA 94107	Judith Baker CDC PM&E	$5,000 00
South of Market Child Care	790 Folsom Street San Francisco, CA 94107	Model Centers Initiative 2012 Yerba Buena Gardens CDC	$62,500.00
South of Market Child Care	790 Folsom Street San Francisco, CA 94107	Model Center Scholarships 2011	$11,500 00

MIMI AND PETER HAAS FUND

FEIN: 94-6064551

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

Stanford Hospital & Clinics	300 Pasteur Drive Room 3200 Stanford, CA 94305	Signature Partner	$25,000 00
Stern Grove Festival Association	832 Folsom Street Suite 1000 San Francisco, CA 94107-1199	General Operating Support 2012	$15,000 00
Stone Barns Center for Food & Agriculture	630 Bedford Road Pocantico Hills, NY 10591	School and Professional Development Programs	$25,000 00
Stonestown Family YMCA	333 Eucalyptus San Francisco, CA 94127	Preschool PM&E	$3,000 00
Summer Search San Francisco	500 Sansome Street, Suite 350 San Francisco, CA 94111	General Operating Support	$10,000 00
Synergos Institute	3 East 54th Street 14th Floor New York, NY 10022	University for a Night 2012	$5,000 00
Synergos Institute	3 East 54th Street 14th Floor New York, NY 10022	Global Philanthropists Circle	$25,000 00
Teach for America	22 Fourth Street, 7th Floor San Francisco, CA 94103	General Operating Support	$200,000 00
Teach for America	22 Fourth Street, 7th Floor San Francisco, CA 94103	General Operating Support	$200,000 00
Tenderloin Neighborhood Development Corporation	201 Eddy Street San Francisco, CA 94102-2715	2012 Housing Development Project Grant	$150,000 00
Tenderloin Neighborhood Development Corporation	201 Eddy Street San Francisco, CA 94102-2715	Tenderloin After-School Program (TASP)	$50,000 00
The American Friends of the Donmar Theatre	c/o Kenneth David Burrows 950 Third Avenue, 32nd Floor New York, NY 10022	Charitable Contribution	$1,000 00
The Edible Schoolyard Project	1517 Shattuck Avenue Berkeley, CA 94709	General Operating Support	$5,000 00
The Martha's Vineyard Film Festival	P O Box 592 Chilmark, MA 02535	in support of ETHEL Greenhouse Dinner	$400 00
The New York Public Library	Stephen A Schwarzman Building Fifth Avenue and 42nd Street New York, NY 10018-2788	Library Lions 2012	$25,000 00
The Public Theater - New York Shakespeare Festival	425 Lafayette Street New York, NY 10003	General Operating Support The Public Theater	$2,500 00
The Vineyard Playhouse	Post Office Box 2452 Vineyard Haven, MA 02568	General Operating Support	$300 00
The Vineyard Playhouse	Post Office Box 2452 Vineyard Haven, MA 02568	Annual Appeal	$300 00
Third Sector New England	89 South Street, Suite 700 Boston, MA 02111-2680	Early Childhood Funders Collaborative	$10,000 00
Thomas Jefferson Foundation	Post Office Box 217 Charlottesville, VA 22902	Monticello Cabinet	$5,000 00
Town School for Boys	2750 Jackson Street San Francisco, CA 94115	Annual Giving	$1,000 00

MIMI AND PETER HAAS FUND

FEIN: 94-6064551

GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

True Sunshine Preschool Center	777 Stockton Street #201 San Francisco, CA 94108	PM&E	$5,000 00
Ubuntu Education Fund	32 Broadway, Suite 414 New York, NY 10004	General Operating Support	$30,000 00
United Way of the Bay Area	550 Kearney, Suite 1000 San Francisco, CA 94108	General Operating Support	$10,000 00
University of California, Regents	2223 Fulton St , 3rd Floor Berkeley, CA 94720-4424	UC Berkeley Art Museum and Pacific Film Archive Annual Membership	$2,500 00
Vineyard Golf Club Foundation	Post Office Box 9 Edgartown, MA 02539	Annual Contribution	$500 00
Wah Mei School	1400 Judah Street San Francisco, CA 94122	PM&E	$5,000 00
WestEd Center for Child and Family Studies	180 Harbor Drive Suite 112 Sausalito, CA 94965	San Francisco Quality Connection project	$59,629 75
WestEd Center for Child and Family Studies	180 Harbor Drive Suite 112 Sausalito, CA 94965	San Francisco Quality Connection project	$59,629 75
World Monuments Fund	350 Fifth Avenue, Suite 2412 New York, NY 10118	2012 Hadrian Award Honoring Kenneth I Chenault	$5,000 00
Wu Yee Children's Services	717 California Street, 1st floor San Francisco, CA 94108	Lok Yuen CDC PM&E	$5,000 00
Wu Yee Children's Services	717 California Street, 1st floor San Francisco, CA 94108	New Generations CDC PM&E	$5,000 00
Wu Yee Children's Services	717 California Street, 1st floor San Francisco, CA 94108	Generations CDC PM&E	$3,000 00
Wu Yee Children's Services	717 California Street, 1st floor San Francisco, CA 94108	177 Golden Gate CDC PM&E	$3,000.00
Wu Yee Children's Services	717 California Street, 1st floor San Francisco, CA 94108	Chinatown Infant Center PM&E	$3,000 00
YMCA of San Francisco - Mission Branch	4080 Mission Street San Francisco, CA 94112	Mission Preschool PM&E	$5,000 00
Young Performers Theatre	Fort Mason Center Building C, Third Floor San Francisco, CA 94123	Theater in Education Program	$35,000.00
			$6,767,269 70

ATTACHMENT 6A

MIMI AND PETER HAAS FUND 94-6064551

FORM 990PF, PART XV - CONTRIBUTIONS APPROVED FOR FUTURE PAYMENT

ATTACHMENT 19

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
GRANTS APPROVED IN CURRENT YR PAID IN FUTURE YR SEE ATTACHMENT 19A			84,996.
		TOTAL CONTRIBUTIONS APPROVED	84,996.

MIMI AND PETER HAAS FUND
GRANTS AND CONTRIBUTIONS APPROVED FOR FUTURE YEARS

FEIN 94-6064551

Payee Organization	Payee Address	Request Project Title	Grant Payable
Compass Children's Center	Administrative Office 49 Powell Street, 3rd Floor San Francisco, CA 94102	Renovate Rooftop Playground	$84,996
	TOTAL		**$84,996**

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 20

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
UNREALIZED APPRECIATION (DEPRECIATION)			18	5,535,397.	
TOTALS				5,535,397.	

FEDERAL FOOTNOTES

PART VII-B, LINE 1A(4)

REGARDING PAYING COMPENSATION TO, OR PAY OR REIMBURSE THE EXPENSES OF, A DISQUALIFIED PERSON: THE MIMI AND PETER HAAS FUND'S ACCOUNTING SERVICES ARE PROVIDED BY ARGONAUT SECURITIES COMPANY. OWNERS OF ARGONAUT SECURITIES COMPANY ARE MIRIAM L. HAAS, TRUSTEE/PRESIDENT OF THE MIMI AND PETER HAAS FUND, AND AN INDIVIDUAL UNRELATED TO THE MIMI AND PETER HAAS FUND. SERVICES ARE PROVIDED AT FAIR MARKET VALUE.

ATTACHMENT 21

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		BAUPOST VALUE PARTNERS IV 26-2208448				P	VARIOUS	VARIOUS
		PROPERTY TYPE: OTHER						
							3,953,422.	
		CANYON VALUE REAL FUND (N/A)				P	VARIOUS	VARIOUS
		PROPERTY TYPE: OTHER						
							-27,952.	
		FARALLON CAPITAL INST 94-3106323				P	VARIOUS	VARIOUS
		PROPERTY TYPE: OTHER						
							696,484.	
		FR XI ONSHORE AIV 71-1015969				P	VARIOUS	VARIOUS
		PROPERTY TYPE: OTHER						
							-88,401.	
		GEMINI ISRAEL IV 98-0428414				P	VARIOUS	VARIOUS
		PROPERTY TYPE: OTHER						
							6,396.	
		GENERATION IM GLOBAL EQ. FUND (N/A)				P	VARIOUS	VARIOUS
		PROPERTY TYPE: OTHER						
							214,449.	
		JC FLOWERS II 98-0494093				P	VARIOUS	VARIOUS
		PROPERTY TYPE: OTHER						
							7,863.	
		HCP WPPE X INVESTORS 26-1278318				P	VARIOUS	VARIOUS
		PROPERTY TYPE: OTHER						
							12,769.	
		JW CHILDS EQUITY III 03-0387301				P	VARIOUS	VARIOUS
		PROPERTY TYPE: OTHER						
							365,140.	
		HCP PRIVATE EQUITY 52-2335224				P	VARIOUS	VARIOUS
		PROPERTY TYPE: OTHER						
							357,937.	
		HCP VF IX INVESTORS 26-1958282				P	VARIOUS	VARIOUS
		PROPERTY TYPE: OTHER						
							86,858.	
		NATURAL GAS PARTNERS IX 26-0632609				P	VARIOUS	VARIOUS
		PROPERTY TYPE: OTHER						
							-2,390.	

ATTACHMENT 22

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		PZENA GLOBAL VALUE FUND (DST) 20-6776308 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							2,278,623.	
		ROSEWOOD CAPITAL III 94-3274638 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							371,574.	
		TCV III (Q) 77-0494890 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							-68,687.	
		WARBURG PINCUS PRIV EQUITY VIII 13-41618 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							180,021.	
		CARMEL PARTNERS III 33-1177003 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							18,996.	
		GEMINI ISRAEL V 98-0548428 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							-1,308.	
		GS MOUNT KELLETT CAP PR (N/A) PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							18,805.	
		POUCHINE COOK CAPII 35-2249258 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							482,341.	
		ROSEWOOD CAP V 14-1948848 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							41,928.	
		BASELINE INCREASED EXP. FUND 45-3010715 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							8,378.	
		FR XI-D OFFSHORE AIV (N/A) PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							-132,759.	
		JCF HRE AIV II TE TRUST 98-0584484 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							-58.	

ATTACHMENT 22

MIM[illegible]ND PETER HAAS FUND 94-6064551

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		HARRIS ASSOCIATES GLOBAL 26-0221909 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							-30,154.	
		KHOSLA VENTURES III 26-4049910 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							-6,663.	
		KHOSLA VENTURES SEED 26-4754028 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							-531.	
		KHOSLA VENTURES IV 90-0720388 PROPERTY TYPE: OTHER				P	VARIOUS	VARIOUS
							22,451.	
		NT-CAPITAL GUARDIAN 26-03958(STMT D-1) PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
5,013,671.		3,884,468.					1,129,203.	
		NT-GENERAL ACCOUNT 26-74278(STMT D-2) PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
5,086,131.		6,792,245.					-1706114.	
		SCHWAB/GURTIN 20905807(STMT D-3) PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
16699889.		16699777.					112.	
		WELLS FARGO 2009-5273(STMT D-4) PROPERTY TYPE: SECURITIES				P	VARIOUS	VARIOUS
117,057.		20,081.					96,976.	
TOTAL GAIN(LOSS)							8,285,709.	

ATTACHMENT 22

Portfolio Statement

01 Jan 12 - 31 Dec 12

Account number 2603958

M & P HAAS FUND - CAP GDN

◆ Realized Gain/Loss Detail - Taxable

					Realized gain/loss				
Trade Date	Security Description				Market			Total	
Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term

Sales

Fixed income

Funds - corporate bond

United States

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
28 Sep 12 10 Oct 12	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	-95,877 28	1,000,000 00	-974 204 10	0 00	25,795 90	0 00	0 00	25,795 90
	15 May 09	-95,877 28	1,000,000 00	-974,204 10	0 00	25,795 90	0 00	0 00	25,795 90
15 Oct 12 17 Oct 12	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	-383,509 11	4,000,000 00	-3,906,463 62	0 00	93,536 38	0 00	0 00	93,536 38
	15 May 09	-383,509 11	4,000,000 00	-3,906,463 62	0 00	93,536 38	0 00	0 00	93,536 38
Total United States			**5,000,000.00**	**-4,880,667.72**	**0.00**	**119,332.28**	**0.00**	**0.00**	**119,332.28**
Total S/T translation gain/loss for fixed income							**0.00**		
Total L/T translation gain/loss for fixed income							**0 00**		
Total realized gains for fixed income					**0.00**	**119,332.28**	**0.00**	**0.00**	**119,332.28**
Total realized losses for fixed income					**0.00**	**0.00**	**0.00**	**0.00**	**0.00**
Total Fixed Income			**5,000,000.00**	**-4,880,667.72**	**0.00**	**119,332.28**	**0.00**	**0.00**	**119,332.28**
Total Sales			**5,000,000.00**	**-4,880,667.72**	**0.00**	**119,332.28**	**0.00**	**0.00**	**119,332.28**

Other Gain/Loss

Fixed income

Funds - corporate bond

United States

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
30 Apr 12 08 May 12	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	98,967 26	0 00	98,967 26	0 00	0 00	98,967 26
	15 May 09	0 00	0 00	61,584 06	0 00	61,584 06	0 00	0 00	61,584 06
	15 May 09	0 00	0 00	1,798 86	0 00	1,798 86	0 00	0 00	1,798 86
	29 May 09	0 00	0 00	7,539 96	0 00	7,539 96	0 00	0 00	7,539 96
	29 May 09	0 00	0 00	13,791 50	0 00	13,791 50	0 00	0 00	13,791 50
	29 May 09	0 00	0 00	111 67	0 00	111 67	0 00	0 00	111 67

STMT 07

◆ Realized Gain/Loss Detail - Taxable

					Realized gain/loss				
					Market			Total	
Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 Jun 09	0 00	0 00	449 92	0 00	449 92	0 00	0 00	449 92
	15 Jun 09	0 00	0 00	170 36	0 00	170 36	0 00	0 00	170 36
	15 Jun 09	0 00	0 00	2,631 75	0 00	2,631 75	0 00	0 00	2,631 75
	30 Jun 09	0 00	0 00	188 36	0 00	188 36	0 00	0 00	188 36
	30 Jun 09	0 00	0 00	165 67	0 00	165 67	0 00	0 00	165 67
	15 Jul 09	0 00	0 00	156 42	0 00	156 42	0 00	0 00	156 42
	31 Jul 09	0 00	0 00	153 86	0 00	153 86	0 00	0 00	153 86
	14 Aug 09	0 00	0 00	140 73	0 00	140 73	0 00	0 00	140 73
	31 Aug 09	0 00	0 00	163 40	0 00	163 40	0 00	0 00	163 40
	15 Sep 09	0 00	0 00	149 29	0 00	149 29	0 00	0 00	149 29
	30 Sep 09	0 00	0 00	146 18	0 00	146 18	0 00	0 00	146 18
	15 Oct 09	0 00	0 00	144 08	0 00	144 08	0 00	0 00	144 08
	30 Oct 09	0 00	0 00	141 02	0 00	141 02	0 00	0 00	141 02
	13 Nov 09	0 00	0 00	126 62	0 00	126 62	0 00	0 00	126 62
	30 Nov 09	0 00	0 00	155 34	0 00	155 34	0 00	0 00	155 34
	15 Dec 09	0 00	0 00	138 68	0 00	138 68	0 00	0 00	138 68
	31 Dec 09	0 00	0 00	134 14	0 00	134 14	0 00	0 00	134 14
	15 Jan 10	0 00	0 00	130 90	0 00	130 90	0 00	0 00	130 90
	29 Jan 10	0 00	0 00	131 12	0 00	131 12	0 00	0 00	131 12
	12 Feb 10	0 00	0 00	106 88	0 00	106 88	0 00	0 00	106 88
	26 Feb 10	0 00	0 00	157 97	0 00	157 97	0 00	0 00	157 97
	15 Mar 10	0 00	0 00	136 86	0 00	136 86	0 00	0 00	136 86
	31 Mar 10	0 00	0 00	143 58	0 00	143 58	0 00	0 00	143 58
	30 Apr 10	0 00	0 00	279 14	0 00	279 14	0 00	0 00	279 14
	30 Apr 10	0 00	0 00	4 13	0 00	4 13	0 00	0 00	4 13
	30 Apr 10	0 00	0 00	95 53	0 00	95 53	0 00	0 00	95 53
	30 Apr 10	0 00	0 00	2,064 07	0 00	2,064 07	0 00	0 00	2,064 07
	14 May 10	0 00	0 00	128 58	0 00	128 58	0 00	0 00	128 58
	14 May 10	0 00	0 00	2 07	0 00	2 07	0 00	0 00	2 07

◆ Realized Gain/Loss Detail - Taxable

Trade Date	Security Description				Realized gain/loss				
					Market			Total	
Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	28 May 10	0 00	0 00	139 86	0 00	139 86	0 00	0 00	139 86
	15 Jun 10	0 00	0 00	124 69	0 00	124 69	0 00	0 00	124 69
	30 Jun 10	0 00	0 00	116 49	0 00	116 49	0 00	0 00	116 49
	15 Jul 10	0 00	0 00	114 09	0 00	114 09	0 00	0 00	114 09
	30 Jul 10	0 00	0 00	113 21	0 00	113 21	0 00	0 00	113 21
	13 Aug 10	0 00	0 00	97 51	0 00	97 51	0 00	0 00	97 51
	31 Aug 10	0 00	0 00	136 02	0 00	136 02	0 00	0 00	136 02
	15 Sep 10	0 00	0 00	116 10	0 00	116 10	0 00	0 00	116 10
	30 Sep 10	0 00	0 00	112 90	0 00	112 90	0 00	0 00	112 90
	15 Oct 10	0 00	0 00	113 28	0 00	113 28	0 00	0 00	113 28
	29 Oct 10	0 00	0 00	111 83	0 00	111 83	0 00	0 00	111 83
	15 Nov 10	0 00	0 00	1 79	0 00	1 79	0 00	0 00	1 79
	15 Nov 10	0 00	0 00	107 71	0 00	107 71	0 00	0 00	107 71
	30 Nov 10	0 00	0 00	99 14	0 00	99 14	0 00	0 00	99 14
	15 Dec 10	0 00	0 00	105 38	0 00	105 38	0 00	0 00	105 38
	31 Dec 10	0 00	0 00	117 45	0 00	117 45	0 00	0 00	117 45
	31 Jan 11	0 00	0 00	251 64	0 00	251 64	0 00	0 00	251 64
	15 Feb 11	0 00	0 00	141 09	0 00	141 09	0 00	0 00	141 09
	28 Feb 11	0 00	0 00	131 55	0 00	131 55	0 00	0 00	131 55
	15 Mar 11	0 00	0 00	141 95	0 00	141 95	0 00	0 00	141 95
	31 Mar 11	0 00	0 00	130 68	0 00	130 68	0 00	0 00	130 68
	29 Apr 11	0 00	0 00	254 11	0 00	254 11	0 00	0 00	254 11
	13 May 11	0 00	0 00	110 15	0 00	110 15	0 00	0 00	110 15
	31 May 11	0 00	0 00	143 50	0 00	143 50	0 00	0 00	143 50
	15 Jun 11	0 00	0 00	123 41	0 00	123 41	0 00	0 00	123 41
	30 Jun 11	0 00	0 00	118 63	0 00	118 63	0 00	0 00	118 63
	15 Jul 11	0 00	0 00	119 71	0 00	119 71	0 00	0 00	119 71
	29 Jul 11	0 00	0 00	118 81	0 00	118 81	0 00	0 00	118 81
	15 Aug 11	0 00	0 00	115 57	0 00	115 57	0 00	0 00	115 57

◆ Realized Gain/Loss Detail - Taxable

					Realized gain/loss				
					Market			Total	
Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	31 Aug 11	0 00	0 00	116 91	0 00	116 91	0 00	0 00	116 91
	15 Sep 11	0 00	0 00	116 23	0 00	116 23	0 00	0 00	116 23
	30 Sep 11	0 00	0 00	109 89	0 00	109 89	0 00	0 00	109 89
	14 Oct 11	0 00	0 00	98 26	0 00	98 26	0 00	0 00	98 26
	31 Oct 11	0 00	0 00	113 24	0 00	113 24	0 00	0 00	113 24
	15 Nov 11	0 00	0 00	106 76	0 00	106 76	0 00	0 00	106 76
	30 Nov 11	0 00	0 00	108 51	0 00	108 51	0 00	0 00	108 51
	15 Dec 11	0 00	0 00	108 50	0 00	108 50	0 00	0 00	108 50
	30 Dec 11	0 00	0 00	108 56	0 00	108 56	0 00	0 00	108 56
	31 Jan 12	0 00	0 00	210 07	0 00	210 07	0 00	0 00	210 07
	15 Feb 12	0 00	0 00	101 59	0 00	101 59	0 00	0 00	101 59
	29 Feb 12	0 00	0 00	94 89	0 00	94 89	0 00	0 00	94 89
	15 Mar 12	0 00	0 00	97 56	0 00	97 56	0 00	0 00	97 56
	30 Mar 12	0 00	0 00	98 07	0 00	98 07	0 00	0 00	98 07
	30 Apr 12	0 00	0 00	187 27	0 00	187 27	0 00	0 00	187 27
15 May 12 12 Jun 12	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	56,218 09	56,218 09	0 00	0 00	56,218 09	0 00
	15 May 09	0 00	0 00	34,950 69	34,950 69	0 00	0 00	34,950 69	0 00
	15 May 09	0 00	0 00	1,020 91	1,020 91	0 00	0 00	1,020 91	0 00
	29 May 09	0 00	0 00	4,279 14	4,279 14	0 00	0 00	4,279 14	0 00
	29 May 09	0 00	0 00	7,827 06	7,827 06	0 00	0 00	7,827 06	0 00
	29 May 09	0 00	0 00	63 38	63 38	0 00	0 00	63 38	0 00
	15 Jun 09	0 00	0 00	1,493 59	1,493 59	0 00	0 00	1,493 59	0 00
	15 Jun 09	0 00	0 00	255 34	255 34	0 00	0 00	255 34	0 00
	15 Jun 09	0 00	0 00	96 69	96 69	0 00	0 00	96 69	0 00
	30 Jun 09	0 00	0 00	94 02	94 02	0 00	0 00	94 02	0 00
	30 Jun 09	0 00	0 00	106 90	106 90	0 00	0 00	106 90	0 00
	15 Jul 09	0 00	0 00	88 77	88 77	0 00	0 00	88 77	0 00
	31 Jul 09	0 00	0 00	87 32	87 32	0 00	0 00	87 32	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	 Long Term	 Translation	 Total Short Term	 Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	14 Aug 09	0 00	0 00	79 87	79 87	0 00	0 00	79 87	0 00
	31 Aug 09	0 00	0 00	92 74	92 74	0 00	0 00	92 74	0 00
	15 Sep 09	0 00	0 00	84 73	84 73	0 00	0 00	84 73	0 00
	30 Sep 09	0 00	0 00	82 96	82 96	0 00	0 00	82 96	0 00
	15 Oct 09	0 00	0 00	81 76	81 76	0 00	0 00	81 76	0 00
	30 Oct 09	0 00	0 00	80 03	80 03	0 00	0 00	80 03	0 00
	13 Nov 09	0 00	0 00	71 86	71 86	0 00	0 00	71 86	0 00
	30 Nov 09	0 00	0 00	88 16	88 16	0 00	0 00	88 16	0 00
	15 Dec 09	0 00	0 00	78 70	78 70	0 00	0 00	78 70	0 00
	31 Dec 09	0 00	0 00	76 13	76 13	0 00	0 00	76 13	0 00
	15 Jan 10	0 00	0 00	74 29	74 29	0 00	0 00	74 29	0 00
	29 Jan 10	0 00	0 00	74 41	74 41	0 00	0 00	74 41	0 00
	12 Feb 10	0 00	0 00	60 66	60 66	0 00	0 00	60 66	0 00
	26 Feb 10	0 00	0 00	89 66	89 66	0 00	0 00	89 66	0 00
	15 Mar 10	0 00	0 00	77 67	77 67	0 00	0 00	77 67	0 00
	31 Mar 10	0 00	0 00	81 49	81 49	0 00	0 00	81 49	0 00
	30 Apr 10	0 00	0 00	54 21	54 21	0 00	0 00	54 21	0 00
	30 Apr 10	0 00	0 00	1,171 42	1,171 42	0 00	0 00	1,171 42	0 00
	30 Apr 10	0 00	0 00	2 35	2 35	0 00	0 00	2 35	0 00
	30 Apr 10	0 00	0 00	158 42	158 42	0 00	0 00	158 42	0 00
	14 May 10	0 00	0 00	72 97	72 97	0 00	0 00	72 97	0 00
	14 May 10	0 00	0 00	1 18	1 18	0 00	0 00	1 18	0 00
	28 May 10	0 00	0 00	79 38	79 38	0 00	0 00	79 38	0 00
	15 Jun 10	0 00	0 00	70 76	70 76	0 00	0 00	70 76	0 00
	30 Jun 10	0 00	0 00	66 11	66 11	0 00	0 00	66 11	0 00
	15 Jul 10	0 00	0 00	64 75	64 75	0 00	0 00	64 75	0 00
	30 Jul 10	0 00	0 00	64 25	64 25	0 00	0 00	64 25	0 00
	13 Aug 10	0 00	0 00	55 34	55 34	0 00	0 00	55 34	0 00
	31 Aug 10	0 00	0 00	77 19	77 19	0 00	0 00	77 19	0 00

◆ Realized Gain/Loss Detail - Taxable

Other Gain/Loss

Fixed income

Funds - corporate bond

United States

					Realized gain/loss				
Trade Date	Security Description				Market			Total	
Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
	15 Sep 10	0 00	0 00	65 89	65 89	0 00	0 00	65 89	0 00
	30 Sep 10	0 00	0 00	64 08	64 08	0 00	0 00	64 08	0 00
	15 Oct 10	0 00	0 00	64 29	64 29	0 00	0 00	64 29	0 00
	29 Oct 10	0 00	0 00	63 46	63 46	0 00	0 00	63 46	0 00
	15 Nov 10	0 00	0 00	1 02	1 02	0 00	0 00	1 02	0 00
	15 Nov 10	0 00	0 00	61 13	61 13	0 00	0 00	61 13	0 00
	30 Nov 10	0 00	0 00	56 26	56 26	0 00	0 00	56 26	0 00
	15 Dec 10	0 00	0 00	59 81	59 81	0 00	0 00	59 81	0 00
	31 Dec 10	0 00	0 00	66 66	66 66	0 00	0 00	66 66	0 00
	31 Jan 11	0 00	0 00	142 82	142 82	0 00	0 00	142 82	0 00
	15 Feb 11	0 00	0 00	80 07	80 07	0 00	0 00	80 07	0 00
	28 Feb 11	0 00	0 00	74 67	74 67	0 00	0 00	74 67	0 00
	15 Mar 11	0 00	0 00	80 56	80 56	0 00	0 00	80 56	0 00
	31 Mar 11	0 00	0 00	74 16	74 16	0 00	0 00	74 16	0 00
	29 Apr 11	0 00	0 00	144 21	144 21	0 00	0 00	144 21	0 00
	13 May 11	0 00	0 00	62 51	62 51	0 00	0 00	62 51	0 00
	31 May 11	0 00	0 00	81 44	81 44	0 00	0 00	81 44	0 00
	15 Jun 11	0 00	0 00	70 04	70 04	0 00	0 00	70 04	0 00
	30 Jun 11	0 00	0 00	67 33	67 33	0 00	0 00	67 33	0 00
	15 Jul 11	0 00	0 00	67 94	67 94	0 00	0 00	67 94	0 00
	29 Jul 11	0 00	0 00	67 43	67 43	0 00	0 00	67 43	0 00
	15 Aug 11	0 00	0 00	65 58	65 58	0 00	0 00	65 58	0 00
	31 Aug 11	0 00	0 00	66 35	66 35	0 00	0 00	66 35	0 00
	15 Sep 11	0 00	0 00	65 97	65 97	0 00	0 00	65 97	0 00
	30 Sep 11	0 00	0 00	62 37	62 37	0 00	0 00	62 37	0 00
	14 Oct 11	0 00	0 00	55 76	55 76	0 00	0 00	55 76	0 00
	31 Oct 11	0 00	0 00	64 26	64 26	0 00	0 00	64 26	0 00
	15 Nov 11	0 00	0 00	60 59	60 59	0 00	0 00	60 59	0 00
	30 Nov 11	0 00	0 00	61 58	61 58	0 00	0 00	61 58	0 00

◆ Realized Gain/Loss Detail - Taxable

Other Gain/Loss

Fixed income

Funds - corporate bond

United States

					Realized gain/loss				
					Market			Total	
Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
	15 Dec 11	0 00	0 00	61 58	61 58	0 00	0 00	61 58	0 00
	30 Dec 11	0 00	0 00	61 61	61 61	0 00	0 00	61 61	0 00
	31 Jan 12	0 00	0 00	119 21	119 21	0 00	0 00	119 21	0 00
	15 Feb 12	0 00	0 00	57 65	57 65	0 00	0 00	57 65	0 00
	29 Feb 12	0 00	0 00	53 85	53 85	0 00	0 00	53 85	0 00
	15 Mar 12	0 00	0 00	55 37	55 37	0 00	0 00	55 37	0 00
	30 Mar 12	0 00	0 00	55 66	55 66	0 00	0 00	55 66	0 00
	30 Apr 12	0 00	0 00	106 28	106 28	0 00	0 00	106 28	0 00
	15 May 12	0 00	0 00	51 38	51 38	0 00	0 00	51 38	0 00
13 Jul 12 08 Aug 12	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	62,730 13	62,730 13	0 00	0 00	62,730 13	0 00
	15 May 09	0 00	0 00	38,858 64	38,858 64	0 00	0 00	38,858 64	0 00
	15 May 09	0 00	0 00	1,135 06	1,135 06	0 00	0 00	1,135 06	0 00
	29 May 09	0 00	0 00	4,757 60	4,757 60	0 00	0 00	4,757 60	0 00
	29 May 09	0 00	0 00	8,702 24	8,702 24	0 00	0 00	8,702 24	0 00
	29 May 09	0 00	0 00	70 46	70 46	0 00	0 00	70 46	0 00
	15 Jun 09	0 00	0 00	1,660 60	1,660 60	0 00	0 00	1,660 60	0 00
	15 Jun 09	0 00	0 00	107 49	107 49	0 00	0 00	107 49	0 00
	15 Jun 09	0 00	0 00	283 89	283 89	0 00	0 00	283 89	0 00
	30 Jun 09	0 00	0 00	104 54	104 54	0 00	0 00	104 54	0 00
	30 Jun 09	0 00	0 00	118 85	118 85	0 00	0 00	118 85	0 00
	15 Jul 09	0 00	0 00	98 70	98 70	0 00	0 00	98 70	0 00
	31 Jul 09	0 00	0 00	97 08	97 08	0 00	0 00	97 08	0 00
	14 Aug 09	0 00	0 00	88 80	88 80	0 00	0 00	88 80	0 00
	31 Aug 09	0 00	0 00	103 10	103 10	0 00	0 00	103 10	0 00
	15 Sep 09	0 00	0 00	94 20	94 20	0 00	0 00	94 20	0 00
	30 Sep 09	0 00	0 00	92 23	92 23	0 00	0 00	92 23	0 00
	15 Oct 09	0 00	0 00	90 91	90 91	0 00	0 00	90 91	0 00
	30 Oct 09	0 00	0 00	88 98	88 98	0 00	0 00	88 98	0 00

◆ Realized Gain/Loss Detail - Taxable

					Realized gain/loss				
					Market			Total	
Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	13 Nov 09	0 00	0 00	79 89	79 89	0 00	0 00	79 89	0 00
	30 Nov 09	0 00	0 00	98 02	98 02	0 00	0 00	98 02	0 00
	15 Dec 09	0 00	0 00	87 50	87 50	0 00	0 00	87 50	0 00
	31 Dec 09	0 00	0 00	84 64	84 64	0 00	0 00	84 64	0 00
	15 Jan 10	0 00	0 00	82 60	82 60	0 00	0 00	82 60	0 00
	29 Jan 10	0 00	0 00	82 73	82 73	0 00	0 00	82 73	0 00
	12 Feb 10	0 00	0 00	67 44	67 44	0 00	0 00	67 44	0 00
	26 Feb 10	0 00	0 00	99 68	99 68	0 00	0 00	99 68	0 00
	15 Mar 10	0 00	0 00	86 36	86 36	0 00	0 00	86 36	0 00
	31 Mar 10	0 00	0 00	90 60	90 60	0 00	0 00	90 60	0 00
	30 Apr 10	0 00	0 00	176 14	176 14	0 00	0 00	176 14	0 00
	30 Apr 10	0 00	0 00	1,302 39	1,302 39	0 00	0 00	1,302 39	0 00
	30 Apr 10	0 00	0 00	60 28	60 28	0 00	0 00	60 28	0 00
	30 Apr 10	0 00	0 00	2 61	2 61	0 00	0 00	2 61	0 00
	14 May 10	0 00	0 00	81 13	81 13	0 00	0 00	81 13	0 00
	14 May 10	0 00	0 00	1 31	1 31	0 00	0 00	1 31	0 00
	28 May 10	0 00	0 00	88 25	88 25	0 00	0 00	88 25	0 00
	15 Jun 10	0 00	0 00	78 68	78 68	0 00	0 00	78 68	0 00
	30 Jun 10	0 00	0 00	73 50	73 50	0 00	0 00	73 50	0 00
	15 Jul 10	0 00	0 00	71 99	71 99	0 00	0 00	71 99	0 00
	30 Jul 10	0 00	0 00	71 43	71 43	0 00	0 00	71 43	0 00
	13 Aug 10	0 00	0 00	61 53	61 53	0 00	0 00	61 53	0 00
	31 Aug 10	0 00	0 00	85 83	85 83	0 00	0 00	85 83	0 00
	15 Sep 10	0 00	0 00	73 26	73 26	0 00	0 00	73 26	0 00
	30 Sep 10	0 00	0 00	71 24	71 24	0 00	0 00	71 24	0 00
	15 Oct 10	0 00	0 00	71 48	71 48	0 00	0 00	71 48	0 00
	29 Oct 10	0 00	0 00	70 56	70 56	0 00	0 00	70 56	0 00
	15 Nov 10	0 00	0 00	1 13	1 13	0 00	0 00	1 13	0 00
	15 Nov 10	0 00	0 00	67 96	67 96	0 00	0 00	67 96	0 00

◆ Realized Gain/Loss Detail - Taxable

					Realized gain/loss				
Trade Date	Security Description				Market			Total	
Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term

Other Gain/Loss

Fixed income

Funds - corporate bond

United States

	30 Nov 10	0 00	0 00	62 55	62 55	0 00	0 00	62 55	0 00
	15 Dec 10	0 00	0 00	66 50	66 50	0 00	0 00	66 50	0 00
	31 Dec 10	0 00	0 00	74 11	74 11	0 00	0 00	74 11	0 00
	31 Jan 11	0 00	0 00	158 78	158 78	0 00	0 00	158 78	0 00
	15 Feb 11	0 00	0 00	89 03	89 03	0 00	0 00	89 03	0 00
	28 Feb 11	0 00	0 00	83 01	83 01	0 00	0 00	83 01	0 00
	15 Mar 11	0 00	0 00	89 57	89 57	0 00	0 00	89 57	0 00
	31 Mar 11	0 00	0 00	82 47	82 47	0 00	0 00	82 47	0 00
	29 Apr 11	0 00	0 00	160 34	160 34	0 00	0 00	160 34	0 00
	13 May 11	0 00	0 00	69 51	69 51	0 00	0 00	69 51	0 00
	31 May 11	0 00	0 00	90 54	90 54	0 00	0 00	90 54	0 00
	15 Jun 11	0 00	0 00	77 87	77 87	0 00	0 00	77 87	0 00
	30 Jun 11	0 00	0 00	74 86	74 86	0 00	0 00	74 86	0 00
	15 Jul 11	0 00	0 00	75 54	75 54	0 00	0 00	75 54	0 00
	29 Jul 11	0 00	0 00	74 96	74 96	0 00	0 00	74 96	0 00
	15 Aug 11	0 00	0 00	72 92	72 92	0 00	0 00	72 92	0 00
	31 Aug 11	0 00	0 00	73 77	73 77	0 00	0 00	73 77	0 00
	15 Sep 11	0 00	0 00	73 34	73 34	0 00	0 00	73 34	0 00
	30 Sep 11	0 00	0 00	69 34	69 34	0 00	0 00	69 34	0 00
	14 Oct 11	0 00	0 00	62 00	62 00	0 00	0 00	62 00	0 00
	31 Oct 11	0 00	0 00	71 46	71 46	0 00	0 00	71 46	0 00
	15 Nov 11	0 00	0 00	67 36	67 36	0 00	0 00	67 36	0 00
	30 Nov 11	0 00	0 00	68 47	68 47	0 00	0 00	68 47	0 00
	15 Dec 11	0 00	0 00	68 46	68 46	0 00	0 00	68 46	0 00
	30 Dec 11	0 00	0 00	68 49	68 49	0 00	0 00	68 49	0 00
	31 Jan 12	0 00	0 00	132 55	132 55	0 00	0 00	132 55	0 00
	15 Feb 12	0 00	0 00	64 10	64 10	0 00	0 00	64 10	0 00
	29 Feb 12	0 00	0 00	59 87	59 87	0 00	0 00	59 87	0 00
	15 Mar 12	0 00	0 00	61 56	61 56	0 00	0 00	61 56	0 00

◆

Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	 Long Term	 Translation	 Total Short Term	 Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	30 Mar 12	0 00	0 00	61 88	61 88	0 00	0 00	61 88	0 00
	30 Apr 12	0 00	0 00	118 17	118 17	0 00	0 00	118 17	0 00
	15 May 12	0 00	0 00	57 13	57 13	0 00	0 00	57 13	0 00
	31 May 12	0 00	0 00	55 86	55 86	0 00	0 00	55 86	0 00
	15 Jun 12	0 00	0 00	57 45	57 45	0 00	0 00	57 45	0 00
	29 Jun 12	0 00	0 00	60 66	60 66	0 00	0 00	60 66	0 00
	13 Jul 12	0 00	0 00	52 12	52 12	0 00	0 00	52 12	0 00
15 Aug 12 12 Sep 12	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	49,639 95	49,639 95	0 00	0 00	49,639 95	0 00
	15 May 09	0 00	0 00	30,691 54	30,691 54	0 00	0 00	30,691 54	0 00
	15 May 09	0 00	0 00	896 50	896 50	0 00	0 00	896 50	0 00
	29 May 09	0 00	0 00	55 65	55 65	0 00	0 00	55 65	0 00
	29 May 09	0 00	0 00	6,873 24	6,873 24	0 00	0 00	6,873 24	0 00
	29 May 09	0 00	0 00	3,757 68	3,757 68	0 00	0 00	3,757 68	0 00
	15 Jun 09	0 00	0 00	224 23	224 23	0 00	0 00	224 23	0 00
	15 Jun 09	0 00	0 00	1,311 58	1,311 58	0 00	0 00	1,311 58	0 00
	15 Jun 09	0 00	0 00	84 90	84 90	0 00	0 00	84 90	0 00
	30 Jun 09	0 00	0 00	82 57	82 57	0 00	0 00	82 57	0 00
	30 Jun 09	0 00	0 00	93 87	93 87	0 00	0 00	93 87	0 00
	15 Jul 09	0 00	0 00	77 95	77 95	0 00	0 00	77 95	0 00
	31 Jul 09	0 00	0 00	76 68	76 68	0 00	0 00	76 68	0 00
	14 Aug 09	0 00	0 00	70 13	70 13	0 00	0 00	70 13	0 00
	31 Aug 09	0 00	0 00	81 43	81 43	0 00	0 00	81 43	0 00
	15 Sep 09	0 00	0 00	74 40	74 40	0 00	0 00	74 40	0 00
	30 Sep 09	0 00	0 00	72 85	72 85	0 00	0 00	72 85	0 00
	15 Oct 09	0 00	0 00	71 80	71 80	0 00	0 00	71 80	0 00
	30 Oct 09	0 00	0 00	70 29	70 29	0 00	0 00	70 29	0 00
	13 Nov 09	0 00	0 00	63 10	63 10	0 00	0 00	63 10	0 00
	30 Nov 09	0 00	0 00	77 42	77 42	0 00	0 00	77 42	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 Dec 09	0 00	0 00	69 11	69 11	0 00	0 00	69 11	0 00
	31 Dec 09	0 00	0 00	66 85	66 85	0 00	0 00	66 85	0 00
	15 Jan 10	0 00	0 00	65 23	65 23	0 00	0 00	65 23	0 00
	29 Jan 10	0 00	0 00	65 34	65 34	0 00	0 00	65 34	0 00
	12 Feb 10	0 00	0 00	53 27	53 27	0 00	0 00	53 27	0 00
	26 Feb 10	0 00	0 00	78 73	78 73	0 00	0 00	78 73	0 00
	15 Mar 10	0 00	0 00	68 21	68 21	0 00	0 00	68 21	0 00
	31 Mar 10	0 00	0 00	71 56	71 56	0 00	0 00	71 56	0 00
	30 Apr 10	0 00	0 00	139 11	139 11	0 00	0 00	139 11	0 00
	30 Apr 10	0 00	0 00	2 06	2 06	0 00	0 00	2 06	0 00
	30 Apr 10	0 00	0 00	47 61	47 61	0 00	0 00	47 61	0 00
	30 Apr 10	0 00	0 00	1,028 66	1,028 66	0 00	0 00	1,028 66	0 00
	14 May 10	0 00	0 00	1 04	1 04	0 00	0 00	1 04	0 00
	14 May 10	0 00	0 00	64 08	64 08	0 00	0 00	64 08	0 00
	28 May 10	0 00	0 00	69 70	69 70	0 00	0 00	69 70	0 00
	15 Jun 10	0 00	0 00	62 14	62 14	0 00	0 00	62 14	0 00
	30 Jun 10	0 00	0 00	58 05	58 05	0 00	0 00	58 05	0 00
	15 Jul 10	0 00	0 00	56 86	56 86	0 00	0 00	56 86	0 00
	30 Jul 10	0 00	0 00	56 42	56 42	0 00	0 00	56 42	0 00
	13 Aug 10	0 00	0 00	48 60	48 60	0 00	0 00	48 60	0 00
	31 Aug 10	0 00	0 00	67 79	67 79	0 00	0 00	67 79	0 00
	15 Sep 10	0 00	0 00	57 86	57 86	0 00	0 00	57 86	0 00
	30 Sep 10	0 00	0 00	56 27	56 27	0 00	0 00	56 27	0 00
	15 Oct 10	0 00	0 00	56 45	56 45	0 00	0 00	56 45	0 00
	29 Oct 10	0 00	0 00	55 73	55 73	0 00	0 00	55 73	0 00
	15 Nov 10	0 00	0 00	0 89	0 89	0 00	0 00	0 89	0 00
	15 Nov 10	0 00	0 00	53 68	53 68	0 00	0 00	53 68	0 00
	30 Nov 10	0 00	0 00	49 41	49 41	0 00	0 00	49 41	0 00
	15 Dec 10	0 00	0 00	52 52	52 52	0 00	0 00	52 52	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date	Security Description				Realized gain/loss				
					Market			Total	
Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	31 Dec 10	0 00	0 00	58 53	58 53	0 00	0 00	58 53	0 00
	31 Jan 11	0 00	0 00	125 41	125 41	0 00	0 00	125 41	0 00
	15 Feb 11	0 00	0 00	70 31	70 31	0 00	0 00	70 31	0 00
	28 Feb 11	0 00	0 00	65 57	65 57	0 00	0 00	65 57	0 00
	15 Mar 11	0 00	0 00	70 75	70 75	0 00	0 00	70 75	0 00
	31 Mar 11	0 00	0 00	65 13	65 13	0 00	0 00	65 13	0 00
	29 Apr 11	0 00	0 00	126 64	126 64	0 00	0 00	126 64	0 00
	13 May 11	0 00	0 00	54 90	54 90	0 00	0 00	54 90	0 00
	31 May 11	0 00	0 00	71 51	71 51	0 00	0 00	71 51	0 00
	15 Jun 11	0 00	0 00	61 51	61 51	0 00	0 00	61 51	0 00
	30 Jun 11	0 00	0 00	59 12	59 12	0 00	0 00	59 12	0 00
	15 Jul 11	0 00	0 00	59 66	59 66	0 00	0 00	59 66	0 00
	29 Jul 11	0 00	0 00	59 21	59 21	0 00	0 00	59 21	0 00
	15 Aug 11	0 00	0 00	57 60	57 60	0 00	0 00	57 60	0 00
	31 Aug 11	0 00	0 00	58 26	58 26	0 00	0 00	58 26	0 00
	15 Sep 11	0 00	0 00	57 92	57 92	0 00	0 00	57 92	0 00
	30 Sep 11	0 00	0 00	54 76	54 76	0 00	0 00	54 76	0 00
	14 Oct 11	0 00	0 00	48 97	48 97	0 00	0 00	48 97	0 00
	31 Oct 11	0 00	0 00	56 44	56 44	0 00	0 00	56 44	0 00
	15 Nov 11	0 00	0 00	53 21	53 21	0 00	0 00	53 21	0 00
	30 Nov 11	0 00	0 00	54 07	54 07	0 00	0 00	54 07	0 00
	15 Dec 11	0 00	0 00	54 08	54 08	0 00	0 00	54 08	0 00
	30 Dec 11	0 00	0 00	54 10	54 10	0 00	0 00	54 10	0 00
	31 Jan 12	0 00	0 00	104 69	104 69	0 00	0 00	104 69	0 00
	15 Feb 12	0 00	0 00	50 63	50 63	0 00	0 00	50 63	0 00
	29 Feb 12	0 00	0 00	47 29	47 29	0 00	0 00	47 29	0 00
	15 Mar 12	0 00	0 00	48 62	48 62	0 00	0 00	48 62	0 00
	30 Mar 12	0 00	0 00	48 88	48 88	0 00	0 00	48 88	0 00
	30 Apr 12	0 00	0 00	93 33	93 33	0 00	0 00	93 33	0 00

◆ Realized Gain/Loss Detail - Taxable

					Realized gain/loss				
Trade Date	Security Description				Market			Total	
Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 May 12	0 00	0 00	45 12	45 12	0 00	0 00	45 12	0 00
	31 May 12	0 00	0 00	44 13	44 13	0 00	0 00	44 13	0 00
	15 Jun 12	0 00	0 00	45 38	45 38	0 00	0 00	45 38	0 00
	29 Jun 12	0 00	0 00	47 91	47 91	0 00	0 00	47 91	0 00
	13 Jul 12	0 00	0 00	41 17	41 17	0 00	0 00	41 17	0 00
	31 Jul 12	0 00	0 00	50 25	50 25	0 00	0 00	50 25	0 00
	15 Aug 12	0 00	0 00	43 85	43 85	0 00	0 00	43 85	0 00
14 Sep 12 11 Oct 12	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	41,111 13	41,111 13	0 00	0 00	41,111 13	0 00
	15 May 09	0 00	0 00	25,375 63	25,375 63	0 00	0 00	25,375 63	0 00
	15 May 09	0 00	0 00	741 22	741 22	0 00	0 00	741 22	0 00
	29 May 09	0 00	0 00	3,106 83	3,106 83	0 00	0 00	3,106 83	0 00
	29 May 09	0 00	0 00	5,682 77	5,682 77	0 00	0 00	5,682 77	0 00
	29 May 09	0 00	0 00	46 01	46 01	0 00	0 00	46 01	0 00
	15 Jun 09	0 00	0 00	1,084 41	1,084 41	0 00	0 00	1,084 41	0 00
	15 Jun 09	0 00	0 00	185 39	185 39	0 00	0 00	185 39	0 00
	15 Jun 09	0 00	0 00	70 20	70 20	0 00	0 00	70 20	0 00
	30 Jun 09	0 00	0 00	68 26	68 26	0 00	0 00	68 26	0 00
	30 Jun 09	0 00	0 00	77 61	77 61	0 00	0 00	77 61	0 00
	15 Jul 09	0 00	0 00	64 45	64 45	0 00	0 00	64 45	0 00
	31 Jul 09	0 00	0 00	63 40	63 40	0 00	0 00	63 40	0 00
	14 Aug 09	0 00	0 00	57 99	57 99	0 00	0 00	57 99	0 00
	31 Aug 09	0 00	0 00	67 33	67 33	0 00	0 00	67 33	0 00
	15 Sep 09	0 00	0 00	61 51	61 51	0 00	0 00	61 51	0 00
	30 Sep 09	0 00	0 00	60 23	60 23	0 00	0 00	60 23	0 00
	15 Oct 09	0 00	0 00	59 36	59 36	0 00	0 00	59 36	0 00
	30 Oct 09	0 00	0 00	58 10	58 10	0 00	0 00	58 10	0 00
	13 Nov 09	0 00	0 00	52 17	52 17	0 00	0 00	52 17	0 00
	30 Nov 09	0 00	0 00	64 01	64 01	0 00	0 00	64 01	0 00

◆

Realized Gain/Loss Detail - Taxable

Trade Date	Security Description				Realized gain/loss				
					Market			Total	
Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 Dec 09	0 00	0 00	57 14	57 14	0 00	0 00	57 14	0 00
	31 Dec 09	0 00	0 00	55 27	55 27	0 00	0 00	55 27	0 00
	15 Jan 10	0 00	0 00	53 94	53 94	0 00	0 00	53 94	0 00
	29 Jan 10	0 00	0 00	54 02	54 02	0 00	0 00	54 02	0 00
	12 Feb 10	0 00	0 00	44 04	44 04	0 00	0 00	44 04	0 00
	26 Feb 10	0 00	0 00	65 09	65 09	0 00	0 00	65 09	0 00
	15 Mar 10	0 00	0 00	56 40	56 40	0 00	0 00	56 40	0 00
	31 Mar 10	0 00	0 00	59 17	59 17	0 00	0 00	59 17	0 00
	30 Apr 10	0 00	0 00	39 36	39 36	0 00	0 00	39 36	0 00
	30 Apr 10	0 00	0 00	850 50	850 50	0 00	0 00	850 50	0 00
	30 Apr 10	0 00	0 00	1 70	1 70	0 00	0 00	1 70	0 00
	30 Apr 10	0 00	0 00	115 02	115 02	0 00	0 00	115 02	0 00
	14 May 10	0 00	0 00	52 98	52 98	0 00	0 00	52 98	0 00
	14 May 10	0 00	0 00	0 86	0 86	0 00	0 00	0 86	0 00
	28 May 10	0 00	0 00	57 64	57 64	0 00	0 00	57 64	0 00
	15 Jun 10	0 00	0 00	51 38	51 38	0 00	0 00	51 38	0 00
	30 Jun 10	0 00	0 00	48 00	48 00	0 00	0 00	48 00	0 00
	15 Jul 10	0 00	0 00	47 01	47 01	0 00	0 00	47 01	0 00
	30 Jul 10	0 00	0 00	46 65	46 65	0 00	0 00	46 65	0 00
	13 Aug 10	0 00	0 00	40 18	40 18	0 00	0 00	40 18	0 00
	31 Aug 10	0 00	0 00	56 05	56 05	0 00	0 00	56 05	0 00
	15 Sep 10	0 00	0 00	47 84	47 84	0 00	0 00	47 84	0 00
	30 Sep 10	0 00	0 00	46 53	46 53	0 00	0 00	46 53	0 00
	15 Oct 10	0 00	0 00	46 67	46 67	0 00	0 00	46 67	0 00
	29 Oct 10	0 00	0 00	46 08	46 08	0 00	0 00	46 08	0 00
	15 Nov 10	0 00	0 00	0 74	0 74	0 00	0 00	0 74	0 00
	15 Nov 10	0 00	0 00	44 39	44 39	0 00	0 00	44 39	0 00
	30 Nov 10	0 00	0 00	40 85	40 85	0 00	0 00	40 85	0 00
	15 Dec 10	0 00	0 00	43 42	43 42	0 00	0 00	43 42	0 00

◆

Realized Gain/Loss Detail - Taxable

					Realized gain/loss				
					Market			Total	
Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	31 Dec 10	0 00	0 00	48 39	48 39	0 00	0 00	48 39	0 00
	31 Jan 11	0 00	0 00	103 69	103 69	0 00	0 00	103 69	0 00
	15 Feb 11	0 00	0 00	58 14	58 14	0 00	0 00	58 14	0 00
	28 Feb 11	0 00	0 00	54 21	54 21	0 00	0 00	54 21	0 00
	15 Mar 11	0 00	0 00	58 49	58 49	0 00	0 00	58 49	0 00
	31 Mar 11	0 00	0 00	53 85	53 85	0 00	0 00	53 85	0 00
	29 Apr 11	0 00	0 00	104 70	104 70	0 00	0 00	104 70	0 00
	13 May 11	0 00	0 00	45 39	45 39	0 00	0 00	45 39	0 00
	31 May 11	0 00	0 00	59 13	59 13	0 00	0 00	59 13	0 00
	15 Jun 11	0 00	0 00	50 86	50 86	0 00	0 00	50 86	0 00
	30 Jun 11	0 00	0 00	48 88	48 88	0 00	0 00	48 88	0 00
	15 Jul 11	0 00	0 00	49 32	49 32	0 00	0 00	49 32	0 00
	29 Jul 11	0 00	0 00	48 95	48 95	0 00	0 00	48 95	0 00
	15 Aug 11	0 00	0 00	47 62	47 62	0 00	0 00	47 62	0 00
	31 Aug 11	0 00	0 00	48 17	48 17	0 00	0 00	48 17	0 00
	15 Sep 11	0 00	0 00	47 89	47 89	0 00	0 00	47 89	0 00
	30 Sep 11	0 00	0 00	45 28	45 28	0 00	0 00	45 28	0 00
	14 Oct 11	0 00	0 00	40 48	40 48	0 00	0 00	40 48	0 00
	31 Oct 11	0 00	0 00	46 66	46 66	0 00	0 00	46 66	0 00
	15 Nov 11	0 00	0 00	43 99	43 99	0 00	0 00	43 99	0 00
	30 Nov 11	0 00	0 00	44 71	44 71	0 00	0 00	44 71	0 00
	15 Dec 11	0 00	0 00	44 71	44 71	0 00	0 00	44 71	0 00
	30 Dec 11	0 00	0 00	44 73	44 73	0 00	0 00	44 73	0 00
	31 Jan 12	0 00	0 00	86 56	86 56	0 00	0 00	86 56	0 00
	15 Feb 12	0 00	0 00	41 86	41 86	0 00	0 00	41 86	0 00
	29 Feb 12	0 00	0 00	39 10	39 10	0 00	0 00	39 10	0 00
	15 Mar 12	0 00	0 00	40 20	40 20	0 00	0 00	40 20	0 00
	30 Mar 12	0 00	0 00	40 41	40 41	0 00	0 00	40 41	0 00
	30 Apr 12	0 00	0 00	77 16	77 16	0 00	0 00	77 16	0 00

Portfolio Statement

01 Jan 12 - 31 Dec 12

◆ Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	 Market Long Term	 Translation	 Total Short Term	 Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 May 12	0 00	0 00	37 31	37 31	0 00	0 00	37 31	0 00
	31 May 12	0 00	0 00	36 48	36 48	0 00	0 00	36 48	0 00
	15 Jun 12	0 00	0 00	37 52	37 52	0 00	0 00	37 52	0 00
	29 Jun 12	0 00	0 00	39 61	39 61	0 00	0 00	39 61	0 00
	13 Jul 12	0 00	0 00	34 04	34 04	0 00	0 00	34 04	0 00
	31 Jul 12	0 00	0 00	41 55	41 55	0 00	0 00	41 55	0 00
	15 Aug 12	0 00	0 00	36 26	36 26	0 00	0 00	36 26	0 00
	31 Aug 12	0 00	0 00	36 06	36 06	0 00	0 00	36 06	0 00
	14 Sep 12	0 00	0 00	32 97	32 97	0 00	0 00	32 97	0 00
31 Oct 12 08 Nov 12	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	24,717 02	0 00	24,717 02	0 00	0 00	24,717 02
	15 May 09	0 00	0 00	13,652 79	0 00	13,652 79	0 00	0 00	13,652 79
	15 May 09	0 00	0 00	517 92	0 00	517 92	0 00	0 00	517 92
	29 May 09	0 00	0 00	3,970 73	0 00	3,970 73	0 00	0 00	3,970 73
	29 May 09	0 00	0 00	32 15	0 00	32 15	0 00	0 00	32 15
	29 May 09	0 00	0 00	2,170 84	0 00	2,170 84	0 00	0 00	2,170 84
	15 Jun 09	0 00	0 00	129 54	0 00	129 54	0 00	0 00	129 54
	15 Jun 09	0 00	0 00	757 71	0 00	757 71	0 00	0 00	757 71
	15 Jun 09	0 00	0 00	49 05	0 00	49 05	0 00	0 00	49 05
	30 Jun 09	0 00	0 00	47 70	0 00	47 70	0 00	0 00	47 70
	30 Jun 09	0 00	0 00	54 23	0 00	54 23	0 00	0 00	54 23
	15 Jul 09	0 00	0 00	45 04	0 00	45 04	0 00	0 00	45 04
	31 Jul 09	0 00	0 00	44 29	0 00	44 29	0 00	0 00	44 29
	14 Aug 09	0 00	0 00	40 52	0 00	40 52	0 00	0 00	40 52
	31 Aug 09	0 00	0 00	47 05	0 00	47 05	0 00	0 00	47 05
	15 Sep 09	0 00	0 00	42 98	0 00	42 98	0 00	0 00	42 98
	30 Sep 09	0 00	0 00	42 09	0 00	42 09	0 00	0 00	42 09
	15 Oct 09	0 00	0 00	41 48	0 00	41 48	0 00	0 00	41 48
	30 Oct 09	0 00	0 00	40 60	0 00	40 60	0 00	0 00	40 60

◆ Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	Realized gain/loss Market Long Term	Realized gain/loss Translation	Realized gain/loss Total Short Term	Realized gain/loss Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	13 Nov 09	0 00	0 00	36 46	0 00	36 46	0 00	0 00	36 46
	30 Nov 09	0 00	0 00	44 73	0 00	44 73	0 00	0 00	44 73
	15 Dec 09	0 00	0 00	39 92	0 00	39 92	0 00	0 00	39 92
	31 Dec 09	0 00	0 00	38 62	0 00	38 62	0 00	0 00	38 62
	15 Jan 10	0 00	0 00	37 69	0 00	37 69	0 00	0 00	37 69
	29 Jan 10	0 00	0 00	37 75	0 00	37 75	0 00	0 00	37 75
	12 Feb 10	0 00	0 00	30 77	0 00	30 77	0 00	0 00	30 77
	26 Feb 10	0 00	0 00	45 48	0 00	45 48	0 00	0 00	45 48
	15 Mar 10	0 00	0 00	39 41	0 00	39 41	0 00	0 00	39 41
	31 Mar 10	0 00	0 00	41 34	0 00	41 34	0 00	0 00	41 34
	30 Apr 10	0 00	0 00	594 27	0 00	594 27	0 00	0 00	594 27
	30 Apr 10	0 00	0 00	27 50	0 00	27 50	0 00	0 00	27 50
	30 Apr 10	0 00	0 00	1 19	0 00	1 19	0 00	0 00	1 19
	30 Apr 10	0 00	0 00	80 37	0 00	80 37	0 00	0 00	80 37
	14 May 10	0 00	0 00	0 60	0 00	0 60	0 00	0 00	0 60
	14 May 10	0 00	0 00	37 02	0 00	37 02	0 00	0 00	37 02
	28 May 10	0 00	0 00	40 27	0 00	40 27	0 00	0 00	40 27
	15 Jun 10	0 00	0 00	35 90	0 00	35 90	0 00	0 00	35 90
	30 Jun 10	0 00	0 00	33 54	0 00	33 54	0 00	0 00	33 54
	15 Jul 10	0 00	0 00	32 85	0 00	32 85	0 00	0 00	32 85
	30 Jul 10	0 00	0 00	32 60	0 00	32 60	0 00	0 00	32 60
	13 Aug 10	0 00	0 00	28 07	0 00	28 07	0 00	0 00	28 07
	31 Aug 10	0 00	0 00	39 16	0 00	39 16	0 00	0 00	39 16
	15 Sep 10	0 00	0 00	33 43	0 00	33 43	0 00	0 00	33 43
	30 Sep 10	0 00	0 00	32 50	0 00	32 50	0 00	0 00	32 50
	15 Oct 10	0 00	0 00	32 61	0 00	32 61	0 00	0 00	32 61
	29 Oct 10	0 00	0 00	32 20	0 00	32 20	0 00	0 00	32 20
	15 Nov 10	0 00	0 00	0 51	0 00	0 51	0 00	0 00	0 51
	15 Nov 10	0 00	0 00	31 02	0 00	31 02	0 00	0 00	31 02

Portfolio Statement

01 Jan 12 - 31 Dec 12

Account number 2603958

M & P HAAS FUND - CAP GDN

◆ Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	 Market Long Term	 Translation	 Total Short Term	 Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	30 Nov 10	0 00	0 00	28 54	0 00	28 54	0 00	0 00	28 54
	15 Dec 10	0 00	0 00	30 34	0 00	30 34	0 00	0 00	30 34
	31 Dec 10	0 00	0 00	33 81	0 00	33 81	0 00	0 00	33 81
	31 Jan 11	0 00	0 00	72 45	0 00	72 45	0 00	0 00	72 45
	15 Feb 11	0 00	0 00	40 62	0 00	40 62	0 00	0 00	40 62
	28 Feb 11	0 00	0 00	37 87	0 00	37 87	0 00	0 00	37 87
	15 Mar 11	0 00	0 00	40 87	0 00	40 87	0 00	0 00	40 87
	31 Mar 11	0 00	0 00	37 63	0 00	37 63	0 00	0 00	37 63
	29 Apr 11	0 00	0 00	73 17	0 00	73 17	0 00	0 00	73 17
	13 May 11	0 00	0 00	31 71	0 00	31 71	0 00	0 00	31 71
	31 May 11	0 00	0 00	41 31	0 00	41 31	0 00	0 00	41 31
	15 Jun 11	0 00	0 00	35 54	0 00	35 54	0 00	0 00	35 54
	30 Jun 11	0 00	0 00	34 16	0 00	34 16	0 00	0 00	34 16
	15 Jul 11	0 00	0 00	34 46	0 00	34 46	0 00	0 00	34 46
	29 Jul 11	0 00	0 00	34 20	0 00	34 20	0 00	0 00	34 20
	15 Aug 11	0 00	0 00	33 27	0 00	33 27	0 00	0 00	33 27
	31 Aug 11	0 00	0 00	33 66	0 00	33 66	0 00	0 00	33 66
	15 Sep 11	0 00	0 00	33 46	0 00	33 46	0 00	0 00	33 46
	30 Sep 11	0 00	0 00	31 64	0 00	31 64	0 00	0 00	31 64
	14 Oct 11	0 00	0 00	28 28	0 00	28 28	0 00	0 00	28 28
	31 Oct 11	0 00	0 00	32 60	0 00	32 60	0 00	0 00	32 60
	15 Nov 11	0 00	0 00	30 74	0 00	30 74	0 00	0 00	30 74
	30 Nov 11	0 00	0 00	31 24	0 00	31 24	0 00	0 00	31 24
	15 Dec 11	0 00	0 00	31 24	0 00	31 24	0 00	0 00	31 24
	30 Dec 11	0 00	0 00	31 25	0 00	31 25	0 00	0 00	31 25
	31 Jan 12	0 00	0 00	60 48	0 00	60 48	0 00	0 00	60 48
	15 Feb 12	0 00	0 00	29 25	0 00	29 25	0 00	0 00	29 25
	29 Feb 12	0 00	0 00	27 32	0 00	27 32	0 00	0 00	27 32
	15 Mar 12	0 00	0 00	28 09	0 00	28 09	0 00	0 00	28 09

◆ Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	 Long Term	 Translation	 Total Short Term	 Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	30 Mar 12	0 00	0 00	28 24	0 00	28 24	0 00	0 00	28 24
	30 Apr 12	0 00	0 00	53 91	0 00	53 91	0 00	0 00	53 91
	15 May 12	0 00	0 00	26 07	0 00	26 07	0 00	0 00	26 07
	31 May 12	0 00	0 00	25 49	0 00	25 49	0 00	0 00	25 49
	15 Jun 12	0 00	0 00	26 22	0 00	26 22	0 00	0 00	26 22
	29 Jun 12	0 00	0 00	27 68	0 00	27 68	0 00	0 00	27 68
	13 Jul 12	0 00	0 00	23 78	0 00	23 78	0 00	0 00	23 78
	31 Jul 12	0 00	0 00	29 03	0 00	29 03	0 00	0 00	29 03
	15 Aug 12	0 00	0 00	25 33	0 00	25 33	0 00	0 00	25 33
	31 Aug 12	0 00	0 00	25 20	0 00	25 20	0 00	0 00	25 20
	14 Sep 12	0 00	0 00	23 04	0 00	23 04	0 00	0 00	23 04
	28 Sep 12	0 00	0 00	25 44	0 00	25 44	0 00	0 00	25 44
	15 Oct 12	0 00	0 00	22 90	0 00	22 90	0 00	0 00	22 90
	31 Oct 12	0 00	0 00	21 00	0 00	21 00	0 00	0 00	21 00
15 Feb 12 09 Mar 12	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	107 823 09	107,823 09	0 00	0 00	107,823 09	0 00
	15 May 09	0 00	0 00	67,420 23	67,420 23	0 00	0 00	67,420 23	0 00
	15 May 09	0 00	0 00	1,969 34	1,969 34	0 00	0 00	1,969 34	0 00
	29 May 09	0 00	0 00	15,098 49	15,098 49	0 00	0 00	15,098 49	0 00
	29 May 09	0 00	0 00	122 25	122 25	0 00	0 00	122 25	0 00
	29 May 09	0 00	0 00	8,254 50	8,254 50	0 00	0 00	8,254 50	0 00
	15 Jun 09	0 00	0 00	492 56	492 56	0 00	0 00	492 56	0 00
	15 Jun 09	0 00	0 00	186 51	186 51	0 00	0 00	186 51	0 00
	15 Jun 09	0 00	0 00	2,881 15	2,881 15	0 00	0 00	2,881 15	0 00
	30 Jun 09	0 00	0 00	206 21	206 21	0 00	0 00	206 21	0 00
	30 Jun 09	0 00	0 00	181 37	181 37	0 00	0 00	181 37	0 00
	15 Jul 09	0 00	0 00	171 25	171 25	0 00	0 00	171 25	0 00
	31 Jul 09	0 00	0 00	168 44	168 44	0 00	0 00	168 44	0 00
	14 Aug 09	0 00	0 00	154 07	154 07	0 00	0 00	154 07	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	Realized gain/loss Market Long Term	Realized gain/loss Translation	Realized gain/loss Total Short Term	Realized gain/loss Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	31 Aug 09	0 00	0 00	178 88	178 88	0 00	0 00	178 88	0 00
	15 Sep 09	0 00	0 00	163 44	163 44	0 00	0 00	163 44	0 00
	30 Sep 09	0 00	0 00	160 03	160 03	0 00	0 00	160 03	0 00
	15 Oct 09	0 00	0 00	157 73	157 73	0 00	0 00	157 73	0 00
	30 Oct 09	0 00	0 00	154 39	154 39	0 00	0 00	154 39	0 00
	13 Nov 09	0 00	0 00	138 62	138 62	0 00	0 00	138 62	0 00
	30 Nov 09	0 00	0 00	170 07	170 07	0 00	0 00	170 07	0 00
	15 Dec 09	0 00	0 00	151 81	151 81	0 00	0 00	151 81	0 00
	31 Dec 09	0 00	0 00	146 85	146 85	0 00	0 00	146 85	0 00
	15 Jan 10	0 00	0 00	143 31	143 31	0 00	0 00	143 31	0 00
	29 Jan 10	0 00	0 00	143 54	143 54	0 00	0 00	143 54	0 00
	12 Feb 10	0 00	0 00	117 01	117 01	0 00	0 00	117 01	0 00
	26 Feb 10	0 00	0 00	172 95	172 95	0 00	0 00	172 95	0 00
	15 Mar 10	0 00	0 00	149 84	149 84	0 00	0 00	149 84	0 00
	31 Mar 10	0 00	0 00	157 19	157 19	0 00	0 00	157 19	0 00
	30 Apr 10	0 00	0 00	4 52	4 52	0 00	0 00	4 52	0 00
	30 Apr 10	0 00	0 00	305 60	305 60	0 00	0 00	305 60	0 00
	30 Apr 10	0 00	0 00	104 58	104 58	0 00	0 00	104 58	0 00
	30 Apr 10	0 00	0 00	2,259 68	2,259 68	0 00	0 00	2,259 68	0 00
	14 May 10	0 00	0 00	2 27	2 27	0 00	0 00	2 27	0 00
	14 May 10	0 00	0 00	140 76	140 76	0 00	0 00	140 76	0 00
	28 May 10	0 00	0 00	153 12	153 12	0 00	0 00	153 12	0 00
	15 Jun 10	0 00	0 00	136 51	136 51	0 00	0 00	136 51	0 00
	30 Jun 10	0 00	0 00	127 53	127 53	0 00	0 00	127 53	0 00
	15 Jul 10	0 00	0 00	124 90	124 90	0 00	0 00	124 90	0 00
	30 Jul 10	0 00	0 00	123 94	123 94	0 00	0 00	123 94	0 00
	13 Aug 10	0 00	0 00	106 75	106 75	0 00	0 00	106 75	0 00
	31 Aug 10	0 00	0 00	148 91	148 91	0 00	0 00	148 91	0 00
	15 Sep 10	0 00	0 00	127 11	127 11	0 00	0 00	127 11	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date	Security Description				Realized gain/loss				
					Market			Total	
Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	30 Sep 10	0 00	0 00	123 61	123 61	0 00	0 00	123 61	0 00
	15 Oct 10	0 00	0 00	124 01	124 01	0 00	0 00	124 01	0 00
	29 Oct 10	0 00	0 00	122 43	122 43	0 00	0 00	122 43	0 00
	15 Nov 10	0 00	0 00	1 96	1 96	0 00	0 00	1 96	0 00
	15 Nov 10	0 00	0 00	117 93	117 93	0 00	0 00	117 93	0 00
	30 Nov 10	0 00	0 00	108 53	108 53	0 00	0 00	108 53	0 00
	15 Dec 10	0 00	0 00	115 37	115 37	0 00	0 00	115 37	0 00
	31 Dec 10	0 00	0 00	128 57	128 57	0 00	0 00	128 57	0 00
	31 Jan 11	0 00	0 00	275 49	275 49	0 00	0 00	275 49	0 00
	15 Feb 11	0 00	0 00	154 47	154 47	0 00	0 00	154 47	0 00
	28 Feb 11	0 00	0 00	144 02	144 02	0 00	0 00	144 02	0 00
	15 Mar 11	0 00	0 00	155 40	155 40	0 00	0 00	155 40	0 00
	31 Mar 11	0 00	0 00	143 07	143 07	0 00	0 00	143 07	0 00
	29 Apr 11	0 00	0 00	278 19	278 19	0 00	0 00	278 19	0 00
	13 May 11	0 00	0 00	120 59	120 59	0 00	0 00	120 59	0 00
	31 May 11	0 00	0 00	157 09	157 09	0 00	0 00	157 09	0 00
	15 Jun 11	0 00	0 00	135 11	135 11	0 00	0 00	135 11	0 00
	30 Jun 11	0 00	0 00	129 88	129 88	0 00	0 00	129 88	0 00
	15 Jul 11	0 00	0 00	131 05	131 05	0 00	0 00	131 05	0 00
	29 Jul 11	0 00	0 00	130 06	130 06	0 00	0 00	130 06	0 00
	15 Aug 11	0 00	0 00	126 52	126 52	0 00	0 00	126 52	0 00
	31 Aug 11	0 00	0 00	127 99	127 99	0 00	0 00	127 99	0 00
	15 Sep 11	0 00	0 00	127 24	127 24	0 00	0 00	127 24	0 00
	30 Sep 11	0 00	0 00	120 30	120 30	0 00	0 00	120 30	0 00
	14 Oct 11	0 00	0 00	107 56	107 56	0 00	0 00	107 56	0 00
	31 Oct 11	0 00	0 00	123 97	123 97	0 00	0 00	123 97	0 00
	15 Nov 11	0 00	0 00	116 88	116 88	0 00	0 00	116 88	0 00
	30 Nov 11	0 00	0 00	118 79	118 79	0 00	0 00	118 79	0 00
	15 Dec 11	0 00	0 00	118 78	118 78	0 00	0 00	118 78	0 00

◆ Realized Gain/Loss Detail - Taxable

					Realized gain/loss				
Trade Date	Security Description				Market			Total	
Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	30 Dec 11	0 00	0 00	118 84	118 84	0 00	0 00	118 84	0 00
	31 Jan 12	0 00	0 00	229 97	229 97	0 00	0 00	229 97	0 00
	15 Feb 12	0 00	0 00	111 21	111 21	0 00	0 00	111 21	0 00
31 May 12 12 Jun 12	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	78 281 08	0 00	78,281 08	0 00	0 00	78,281 08
	15 May 09	0 00	0 00	48,623 75	0 00	48,623 75	0 00	0 00	48,623 75
	15 May 09	0 00	0 00	1,420 30	0 00	1,420 30	0 00	0 00	1,420 30
	29 May 09	0 00	0 00	10,889 10	0 00	10,889 10	0 00	0 00	10,889 10
	29 May 09	0 00	0 00	88 17	0 00	88 17	0 00	0 00	88 17
	29 May 09	0 00	0 00	5,953 18	0 00	5,953 18	0 00	0 00	5,953 18
	15 Jun 09	0 00	0 00	355 24	0 00	355 24	0 00	0 00	355 24
	15 Jun 09	0 00	0 00	2,077 90	0 00	2,077 90	0 00	0 00	2,077 90
	15 Jun 09	0 00	0 00	134 51	0 00	134 51	0 00	0 00	134 51
	30 Jun 09	0 00	0 00	130 81	0 00	130 81	0 00	0 00	130 81
	30 Jun 09	0 00	0 00	148 72	0 00	148 72	0 00	0 00	148 72
	15 Jul 09	0 00	0 00	123 50	0 00	123 50	0 00	0 00	123 50
	31 Jul 09	0 00	0 00	121 48	0 00	121 48	0 00	0 00	121 48
	14 Aug 09	0 00	0 00	111 12	0 00	111 12	0 00	0 00	111 12
	31 Aug 09	0 00	0 00	129 01	0 00	129 01	0 00	0 00	129 01
	15 Sep 09	0 00	0 00	117 87	0 00	117 87	0 00	0 00	117 87
	30 Sep 09	0 00	0 00	115 42	0 00	115 42	0 00	0 00	115 42
	15 Oct 09	0 00	0 00	113 75	0 00	113 75	0 00	0 00	113 75
	30 Oct 09	0 00	0 00	111 34	0 00	111 34	0 00	0 00	111 34
	13 Nov 09	0 00	0 00	99 97	0 00	99 97	0 00	0 00	99 97
	30 Nov 09	0 00	0 00	122 65	0 00	122 65	0 00	0 00	122 65
	15 Dec 09	0 00	0 00	109 49	0 00	109 49	0 00	0 00	109 49
	31 Dec 09	0 00	0 00	105 91	0 00	105 91	0 00	0 00	105 91
	15 Jan 10	0 00	0 00	103 35	0 00	103 35	0 00	0 00	103 35
	29 Jan 10	0 00	0 00	103 52	0 00	103 52	0 00	0 00	103 52

◆ Realized Gain/Loss Detail - Taxable

Trade Date	Security Description				Realized gain/loss				
					Market			Total	
Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	12 Feb 10	0 00	0 00	84 39	0 00	84 39	0 00	0 00	84 39
	26 Feb 10	0 00	0 00	124 73	0 00	124 73	0 00	0 00	124 73
	15 Mar 10	0 00	0 00	108 06	0 00	108 06	0 00	0 00	108 06
	31 Mar 10	0 00	0 00	113 36	0 00	113 36	0 00	0 00	113 36
	30 Apr 10	0 00	0 00	1,629 69	0 00	1,629 69	0 00	0 00	1,629 69
	30 Apr 10	0 00	0 00	220 40	0 00	220 40	0 00	0 00	220 40
	30 Apr 10	0 00	0 00	3 27	0 00	3 27	0 00	0 00	3 27
	30 Apr 10	0 00	0 00	75 42	0 00	75 42	0 00	0 00	75 42
	14 May 10	0 00	0 00	1 64	0 00	1 64	0 00	0 00	1 64
	14 May 10	0 00	0 00	101 52	0 00	101 52	0 00	0 00	101 52
	28 May 10	0 00	0 00	110 43	0 00	110 43	0 00	0 00	110 43
	15 Jun 10	0 00	0 00	98 45	0 00	98 45	0 00	0 00	98 45
	30 Jun 10	0 00	0 00	91 97	0 00	91 97	0 00	0 00	91 97
	15 Jul 10	0 00	0 00	90 08	0 00	90 08	0 00	0 00	90 08
	30 Jul 10	0 00	0 00	89 39	0 00	89 39	0 00	0 00	89 39
	13 Aug 10	0 00	0 00	76 98	0 00	76 98	0 00	0 00	76 98
	31 Aug 10	0 00	0 00	107 40	0 00	107 40	0 00	0 00	107 40
	15 Sep 10	0 00	0 00	91 67	0 00	91 67	0 00	0 00	91 67
	30 Sep 10	0 00	0 00	89 15	0 00	89 15	0 00	0 00	89 15
	15 Oct 10	0 00	0 00	89 44	0 00	89 44	0 00	0 00	89 44
	29 Oct 10	0 00	0 00	88 29	0 00	88 29	0 00	0 00	88 29
	15 Nov 10	0 00	0 00	1 42	0 00	1 42	0 00	0 00	1 42
	15 Nov 10	0 00	0 00	85 04	0 00	85 04	0 00	0 00	85 04
	30 Nov 10	0 00	0 00	78 27	0 00	78 27	0 00	0 00	78 27
	15 Dec 10	0 00	0 00	83 21	0 00	83 21	0 00	0 00	83 21
	31 Dec 10	0 00	0 00	92 73	0 00	92 73	0 00	0 00	92 73
	31 Jan 11	0 00	0 00	198 69	0 00	198 69	0 00	0 00	198 69
	15 Feb 11	0 00	0 00	111 40	0 00	111 40	0 00	0 00	111 40
	28 Feb 11	0 00	0 00	103 87	0 00	103 87	0 00	0 00	103 87

◆ Realized Gain/Loss Detail - Taxable

					Realized gain/loss				
					Market			Total	
Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 Mar 11	0 00	0 00	112 07	0 00	112 07	0 00	0 00	112 07
	31 Mar 11	0 00	0 00	103 18	0 00	103 18	0 00	0 00	103 18
	29 Apr 11	0 00	0 00	200 63	0 00	200 63	0 00	0 00	200 63
	13 May 11	0 00	0 00	86 97	0 00	86 97	0 00	0 00	86 97
	31 May 11	0 00	0 00	113 29	0 00	113 29	0 00	0 00	113 29
	15 Jun 11	0 00	0 00	97 45	0 00	97 45	0 00	0 00	97 45
	30 Jun 11	0 00	0 00	93 67	0 00	93 67	0 00	0 00	93 67
	15 Jul 11	0 00	0 00	94 52	0 00	94 52	0 00	0 00	94 52
	29 Jul 11	0 00	0 00	93 80	0 00	93 80	0 00	0 00	93 80
	15 Aug 11	0 00	0 00	91 24	0 00	91 24	0 00	0 00	91 24
	31 Aug 11	0 00	0 00	92 31	0 00	92 31	0 00	0 00	92 31
	15 Sep 11	0 00	0 00	91 77	0 00	91 77	0 00	0 00	91 77
	30 Sep 11	0 00	0 00	86 76	0 00	86 76	0 00	0 00	86 76
	14 Oct 11	0 00	0 00	77 58	0 00	77 58	0 00	0 00	77 58
	31 Oct 11	0 00	0 00	89 41	0 00	89 41	0 00	0 00	89 41
	15 Nov 11	0 00	0 00	84 29	0 00	84 29	0 00	0 00	84 29
	30 Nov 11	0 00	0 00	85 67	0 00	85 67	0 00	0 00	85 67
	15 Dec 11	0 00	0 00	85 67	0 00	85 67	0 00	0 00	85 67
	30 Dec 11	0 00	0 00	85 71	0 00	85 71	0 00	0 00	85 71
	31 Jan 12	0 00	0 00	165 86	0 00	165 86	0 00	0 00	165 86
	15 Feb 12	0 00	0 00	80 20	0 00	80 20	0 00	0 00	80 20
	29 Feb 12	0 00	0 00	74 92	0 00	74 92	0 00	0 00	74 92
	15 Mar 12	0 00	0 00	77 03	0 00	77 03	0 00	0 00	77 03
	30 Mar 12	0 00	0 00	77 43	0 00	77 43	0 00	0 00	77 43
	30 Apr 12	0 00	0 00	147 85	0 00	147 85	0 00	0 00	147 85
	15 May 12	0 00	0 00	71 48	0 00	71 48	0 00	0 00	71 48
	31 May 12	0 00	0 00	69 90	0 00	69 90	0 00	0 00	69 90
31 Jul 12 08 Aug 12	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	59,786 47	0 00	59,786 47	0 00	0 00	59,786 47

◆

Realized Gain/Loss Detail - Taxable

					Realized gain/loss				
Trade Date	Security Description				Market			Total	
Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 May 09	0 00	0 00	36,997 64	0 00	36,997 64	0 00	0 00	36,997 64
	15 May 09	0 00	0 00	1,080 70	0 00	1,080 70	0 00	0 00	1,080 70
	29 May 09	0 00	0 00	8,285 48	0 00	8,285 48	0 00	0 00	8,285 48
	29 May 09	0 00	0 00	67 09	0 00	67 09	0 00	0 00	67 09
	29 May 09	0 00	0 00	4,529 75	0 00	4,529 75	0 00	0 00	4,529 75
	15 Jun 09	0 00	0 00	102 35	0 00	102 35	0 00	0 00	102 35
	15 Jun 09	0 00	0 00	1,581 07	0 00	1,581 07	0 00	0 00	1,581 07
	15 Jun 09	0 00	0 00	270 30	0 00	270 30	0 00	0 00	270 30
	30 Jun 09	0 00	0 00	113 16	0 00	113 16	0 00	0 00	113 16
	30 Jun 09	0 00	0 00	99 53	0 00	99 53	0 00	0 00	99 53
	15 Jul 09	0 00	0 00	93 97	0 00	93 97	0 00	0 00	93 97
	31 Jul 09	0 00	0 00	92 43	0 00	92 43	0 00	0 00	92 43
	14 Aug 09	0 00	0 00	84 55	0 00	84 55	0 00	0 00	84 55
	31 Aug 09	0 00	0 00	98 17	0 00	98 17	0 00	0 00	98 17
	15 Sep 09	0 00	0 00	89 69	0 00	89 69	0 00	0 00	89 69
	30 Sep 09	0 00	0 00	87 82	0 00	87 82	0 00	0 00	87 82
	15 Oct 09	0 00	0 00	86 55	0 00	86 55	0 00	0 00	86 55
	30 Oct 09	0 00	0 00	84 72	0 00	84 72	0 00	0 00	84 72
	13 Nov 09	0 00	0 00	76 07	0 00	76 07	0 00	0 00	76 07
	30 Nov 09	0 00	0 00	93 33	0 00	93 33	0 00	0 00	93 33
	15 Dec 09	0 00	0 00	83 31	0 00	83 31	0 00	0 00	83 31
	31 Dec 09	0 00	0 00	80 58	0 00	80 58	0 00	0 00	80 58
	15 Jan 10	0 00	0 00	78 64	0 00	78 64	0 00	0 00	78 64
	29 Jan 10	0 00	0 00	78 77	0 00	78 77	0 00	0 00	78 77
	12 Feb 10	0 00	0 00	64 21	0 00	64 21	0 00	0 00	64 21
	26 Feb 10	0 00	0 00	94 90	0 00	94 90	0 00	0 00	94 90
	15 Mar 10	0 00	0 00	82 23	0 00	82 23	0 00	0 00	82 23
	31 Mar 10	0 00	0 00	86 26	0 00	86 26	0 00	0 00	86 26
	30 Apr 10	0 00	0 00	167 70	0 00	167 70	0 00	0 00	167 70

◆ Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	 Long Term	 Translation	 Total Short Term	 Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	30 Apr 10	0 00	0 00	57 39	0 00	57 39	0 00	0 00	57 39
	30 Apr 10	0 00	0 00	1,240 02	0 00	1,240 02	0 00	0 00	1,240 02
	30 Apr 10	0 00	0 00	2 49	0 00	2 49	0 00	0 00	2 49
	14 May 10	0 00	0 00	77 25	0 00	77 25	0 00	0 00	77 25
	14 May 10	0 00	0 00	1 25	0 00	1 25	0 00	0 00	1 25
	28 May 10	0 00	0 00	84 03	0 00	84 03	0 00	0 00	84 03
	15 Jun 10	0 00	0 00	74 91	0 00	74 91	0 00	0 00	74 91
	30 Jun 10	0 00	0 00	69 98	0 00	69 98	0 00	0 00	69 98
	15 Jul 10	0 00	0 00	68 54	0 00	68 54	0 00	0 00	68 54
	30 Jul 10	0 00	0 00	68 01	0 00	68 01	0 00	0 00	68 01
	13 Aug 10	0 00	0 00	58 58	0 00	58 58	0 00	0 00	58 58
	31 Aug 10	0 00	0 00	81 71	0 00	81 71	0 00	0 00	81 71
	15 Sep 10	0 00	0 00	69 75	0 00	69 75	0 00	0 00	69 75
	30 Sep 10	0 00	0 00	67 83	0 00	67 83	0 00	0 00	67 83
	15 Oct 10	0 00	0 00	68 05	0 00	68 05	0 00	0 00	68 05
	29 Oct 10	0 00	0 00	67 19	0 00	67 19	0 00	0 00	67 19
	15 Nov 10	0 00	0 00	1 07	0 00	1 07	0 00	0 00	1 07
	15 Nov 10	0 00	0 00	64 71	0 00	64 71	0 00	0 00	64 71
	30 Nov 10	0 00	0 00	59 56	0 00	59 56	0 00	0 00	59 56
	15 Dec 10	0 00	0 00	63 31	0 00	63 31	0 00	0 00	63 31
	31 Dec 10	0 00	0 00	70 55	0 00	70 55	0 00	0 00	70 55
	31 Jan 11	0 00	0 00	151 17	0 00	151 17	0 00	0 00	151 17
	15 Feb 11	0 00	0 00	84 76	0 00	84 76	0 00	0 00	84 76
	28 Feb 11	0 00	0 00	79 03	0 00	79 03	0 00	0 00	79 03
	15 Mar 11	0 00	0 00	85 28	0 00	85 28	0 00	0 00	85 28
	31 Mar 11	0 00	0 00	78 51	0 00	78 51	0 00	0 00	78 51
	29 Apr 11	0 00	0 00	152 66	0 00	152 66	0 00	0 00	152 66
	13 May 11	0 00	0 00	66 18	0 00	66 18	0 00	0 00	66 18
	31 May 11	0 00	0 00	86 21	0 00	86 21	0 00	0 00	86 21

◆

Realized Gain/Loss Detail - Taxable

Other Gain/Loss

Fixed income

Funds - corporate bond

United States

					Realized gain/loss				
					Market			Total	
Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
	15 Jun 11	0 00	0 00	74 15	0 00	74 15	0 00	0 00	74 15
	30 Jun 11	0 00	0 00	71 27	0 00	71 27	0 00	0 00	71 27
	15 Jul 11	0 00	0 00	71 91	0 00	71 91	0 00	0 00	71 91
	29 Jul 11	0 00	0 00	71 38	0 00	71 38	0 00	0 00	71 38
	15 Aug 11	0 00	0 00	69 43	0 00	69 43	0 00	0 00	69 43
	31 Aug 11	0 00	0 00	70 23	0 00	70 23	0 00	0 00	70 23
	15 Sep 11	0 00	0 00	69 82	0 00	69 82	0 00	0 00	69 82
	30 Sep 11	0 00	0 00	66 01	0 00	66 01	0 00	0 00	66 01
	14 Oct 11	0 00	0 00	59 03	0 00	59 03	0 00	0 00	59 03
	31 Oct 11	0 00	0 00	68 03	0 00	68 03	0 00	0 00	68 03
	15 Nov 11	0 00	0 00	64 14	0 00	64 14	0 00	0 00	64 14
	30 Nov 11	0 00	0 00	65 19	0 00	65 19	0 00	0 00	65 19
	15 Dec 11	0 00	0 00	65 19	0 00	65 19	0 00	0 00	65 19
	30 Dec 11	0 00	0 00	65 22	0 00	65 22	0 00	0 00	65 22
	31 Jan 12	0 00	0 00	126 20	0 00	126 20	0 00	0 00	126 20
	15 Feb 12	0 00	0 00	61 03	0 00	61 03	0 00	0 00	61 03
	29 Feb 12	0 00	0 00	57 01	0 00	57 01	0 00	0 00	57 01
	15 Mar 12	0 00	0 00	58 62	0 00	58 62	0 00	0 00	58 62
	30 Mar 12	0 00	0 00	58 92	0 00	58 92	0 00	0 00	58 92
	30 Apr 12	0 00	0 00	112 50	0 00	112 50	0 00	0 00	112 50
	15 May 12	0 00	0 00	54 40	0 00	54 40	0 00	0 00	54 40
	31 May 12	0 00	0 00	53 19	0 00	53 19	0 00	0 00	53 19
	15 Jun 12	0 00	0 00	54 70	0 00	54 70	0 00	0 00	54 70
	29 Jun 12	0 00	0 00	57 75	0 00	57 75	0 00	0 00	57 75
	13 Jul 12	0 00	0 00	49 63	0 00	49 63	0 00	0 00	49 63
	31 Jul 12	0 00	0 00	60 57	0 00	60 57	0 00	0 00	60 57
31 Aug 12 12 Sep 12	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	27,684 34	0 00	27,684 34	0 00	0 00	27,684 34
	15 May 09	0 00	0 00	17,101 73	0 00	17,101 73	0 00	0 00	17,101 73

◆ Realized Gain/Loss Detail - Taxable

Trade Date	Security Description				Realized gain/loss				
					Market			Total	
Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 May 09	0 00	0 00	499 54	0 00	499 54	0 00	0 00	499 54
	29 May 09	0 00	0 00	3,829 87	0 00	3,829 87	0 00	0 00	3,829 87
	29 May 09	0 00	0 00	31 01	0 00	31 01	0 00	0 00	31 01
	29 May 09	0 00	0 00	2,093 82	0 00	2,093 82	0 00	0 00	2,093 82
	15 Jun 09	0 00	0 00	124 95	0 00	124 95	0 00	0 00	124 95
	15 Jun 09	0 00	0 00	730 83	0 00	730 83	0 00	0 00	730 83
	15 Jun 09	0 00	0 00	47 31	0 00	47 31	0 00	0 00	47 31
	30 Jun 09	0 00	0 00	46 01	0 00	46 01	0 00	0 00	46 01
	30 Jun 09	0 00	0 00	52 31	0 00	52 31	0 00	0 00	52 31
	15 Jul 09	0 00	0 00	43 44	0 00	43 44	0 00	0 00	43 44
	31 Jul 09	0 00	0 00	42 73	0 00	42 73	0 00	0 00	42 73
	14 Aug 09	0 00	0 00	39 09	0 00	39 09	0 00	0 00	39 09
	31 Aug 09	0 00	0 00	45 37	0 00	45 37	0 00	0 00	45 37
	15 Sep 09	0 00	0 00	41 46	0 00	41 46	0 00	0 00	41 46
	30 Sep 09	0 00	0 00	40 59	0 00	40 59	0 00	0 00	40 59
	15 Oct 09	0 00	0 00	40 01	0 00	40 01	0 00	0 00	40 01
	30 Oct 09	0 00	0 00	39 16	0 00	39 16	0 00	0 00	39 16
	13 Nov 09	0 00	0 00	35 16	0 00	35 16	0 00	0 00	35 16
	30 Nov 09	0 00	0 00	43 14	0 00	43 14	0 00	0 00	43 14
	15 Dec 09	0 00	0 00	38 51	0 00	38 51	0 00	0 00	38 51
	31 Dec 09	0 00	0 00	37 25	0 00	37 25	0 00	0 00	37 25
	15 Jan 10	0 00	0 00	36 35	0 00	36 35	0 00	0 00	36 35
	29 Jan 10	0 00	0 00	36 41	0 00	36 41	0 00	0 00	36 41
	12 Feb 10	0 00	0 00	29 68	0 00	29 68	0 00	0 00	29 68
	26 Feb 10	0 00	0 00	43 87	0 00	43 87	0 00	0 00	43 87
	15 Mar 10	0 00	0 00	38 01	0 00	38 01	0 00	0 00	38 01
	31 Mar 10	0 00	0 00	39 87	0 00	39 87	0 00	0 00	39 87
	30 Apr 10	0 00	0 00	26 52	0 00	26 52	0 00	0 00	26 52
	30 Apr 10	0 00	0 00	573 18	0 00	573 18	0 00	0 00	573 18

◆ Realized Gain/Loss Detail - Taxable

					Realized gain/loss				
					Market			Total	
Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	30 Apr 10	0 00	0 00	1 15	0 00	1 15	0 00	0 00	1 15
	30 Apr 10	0 00	0 00	77 52	0 00	77 52	0 00	0 00	77 52
	14 May 10	0 00	0 00	35 71	0 00	35 71	0 00	0 00	35 71
	14 May 10	0 00	0 00	0 57	0 00	0 57	0 00	0 00	0 57
	28 May 10	0 00	0 00	38 84	0 00	38 84	0 00	0 00	38 84
	15 Jun 10	0 00	0 00	34 62	0 00	34 62	0 00	0 00	34 62
	30 Jun 10	0 00	0 00	32 35	0 00	32 35	0 00	0 00	32 35
	15 Jul 10	0 00	0 00	31 68	0 00	31 68	0 00	0 00	31 68
	30 Jul 10	0 00	0 00	31 44	0 00	31 44	0 00	0 00	31 44
	13 Aug 10	0 00	0 00	27 07	0 00	27 07	0 00	0 00	27 07
	31 Aug 10	0 00	0 00	37 78	0 00	37 78	0 00	0 00	37 78
	15 Sep 10	0 00	0 00	32 24	0 00	32 24	0 00	0 00	32 24
	30 Sep 10	0 00	0 00	31 35	0 00	31 35	0 00	0 00	31 35
	15 Oct 10	0 00	0 00	31 46	0 00	31 46	0 00	0 00	31 46
	29 Oct 10	0 00	0 00	31 06	0 00	31 06	0 00	0 00	31 06
	15 Nov 10	0 00	0 00	0 50	0 00	0 50	0 00	0 00	0 50
	15 Nov 10	0 00	0 00	29 91	0 00	29 91	0 00	0 00	29 91
	30 Nov 10	0 00	0 00	27 53	0 00	27 53	0 00	0 00	27 53
	15 Dec 10	0 00	0 00	29 27	0 00	29 27	0 00	0 00	29 27
	31 Dec 10	0 00	0 00	32 61	0 00	32 61	0 00	0 00	32 61
	31 Jan 11	0 00	0 00	69 88	0 00	69 88	0 00	0 00	69 88
	15 Feb 11	0 00	0 00	39 18	0 00	39 18	0 00	0 00	39 18
	28 Feb 11	0 00	0 00	36 54	0 00	36 54	0 00	0 00	36 54
	15 Mar 11	0 00	0 00	39 42	0 00	39 42	0 00	0 00	39 42
	31 Mar 11	0 00	0 00	36 29	0 00	36 29	0 00	0 00	36 29
	29 Apr 11	0 00	0 00	70 57	0 00	70 57	0 00	0 00	70 57
	13 May 11	0 00	0 00	30 59	0 00	30 59	0 00	0 00	30 59
	31 May 11	0 00	0 00	39 84	0 00	39 84	0 00	0 00	39 84
	15 Jun 11	0 00	0 00	34 27	0 00	34 27	0 00	0 00	34 27

◆ Realized Gain/Loss Detail - Taxable

					Realized gain/loss				
					Market			Total	
Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	30 Jun 11	0 00	0 00	32 94	0 00	32 94	0 00	0 00	32 94
	15 Jul 11	0 00	0 00	33 24	0 00	33 24	0 00	0 00	33 24
	29 Jul 11	0 00	0 00	32 99	0 00	32 99	0 00	0 00	32 99
	15 Aug 11	0 00	0 00	32 09	0 00	32 09	0 00	0 00	32 09
	31 Aug 11	0 00	0 00	32 47	0 00	32 47	0 00	0 00	32 47
	15 Sep 11	0 00	0 00	32 27	0 00	32 27	0 00	0 00	32 27
	30 Sep 11	0 00	0 00	30 52	0 00	30 52	0 00	0 00	30 52
	14 Oct 11	0 00	0 00	27 29	0 00	27 29	0 00	0 00	27 29
	31 Oct 11	0 00	0 00	31 45	0 00	31 45	0 00	0 00	31 45
	15 Nov 11	0 00	0 00	29 65	0 00	29 65	0 00	0 00	29 65
	30 Nov 11	0 00	0 00	30 13	0 00	30 13	0 00	0 00	30 13
	15 Dec 11	0 00	0 00	30 13	0 00	30 13	0 00	0 00	30 13
	30 Dec 11	0 00	0 00	30 15	0 00	30 15	0 00	0 00	30 15
	31 Jan 12	0 00	0 00	58 33	0 00	58 33	0 00	0 00	58 33
	15 Feb 12	0 00	0 00	28 21	0 00	28 21	0 00	0 00	28 21
	29 Feb 12	0 00	0 00	26 35	0 00	26 35	0 00	0 00	26 35
	15 Mar 12	0 00	0 00	27 09	0 00	27 09	0 00	0 00	27 09
	30 Mar 12	0 00	0 00	27 23	0 00	27 23	0 00	0 00	27 23
	30 Apr 12	0 00	0 00	52 01	0 00	52 01	0 00	0 00	52 01
	15 May 12	0 00	0 00	25 15	0 00	25 15	0 00	0 00	25 15
	31 May 12	0 00	0 00	24 58	0 00	24 58	0 00	0 00	24 58
	15 Jun 12	0 00	0 00	25 28	0 00	25 28	0 00	0 00	25 28
	29 Jun 12	0 00	0 00	26 70	0 00	26 70	0 00	0 00	26 70
	13 Jul 12	0 00	0 00	22 94	0 00	22 94	0 00	0 00	22 94
	31 Jul 12	0 00	0 00	28 00	0 00	28 00	0 00	0 00	28 00
	15 Aug 12	0 00	0 00	24 43	0 00	24 43	0 00	0 00	24 43
	31 Aug 12	0 00	0 00	24 30	0 00	24 30	0 00	0 00	24 30
28 Sep 12 11 Oct 12	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	43,972 59	0 00	43,972 59	0 00	0 00	43,972 59

◆ Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	 Long Term	 Translation	 Total Short Term	 Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 May 09	0 00	0 00	27,117 84	0 00	27,117 84	0 00	0 00	27,117 84
	15 May 09	0 00	0 00	792 11	0 00	792 11	0 00	0 00	792 11
	29 May 09	0 00	0 00	6,072 93	0 00	6,072 93	0 00	0 00	6,072 93
	29 May 09	0 00	0 00	49 17	0 00	49 17	0 00	0 00	49 17
	29 May 09	0 00	0 00	3,320 14	0 00	3,320 14	0 00	0 00	3,320 14
	15 Jun 09	0 00	0 00	198 12	0 00	198 12	0 00	0 00	198 12
	15 Jun 09	0 00	0 00	1,158 86	0 00	1,158 86	0 00	0 00	1,158 86
	15 Jun 09	0 00	0 00	75 02	0 00	75 02	0 00	0 00	75 02
	30 Jun 09	0 00	0 00	72 95	0 00	72 95	0 00	0 00	72 95
	30 Jun 09	0 00	0 00	82 95	0 00	82 95	0 00	0 00	82 95
	15 Jul 09	0 00	0 00	68 88	0 00	68 88	0 00	0 00	68 88
	31 Jul 09	0 00	0 00	67 75	0 00	67 75	0 00	0 00	67 75
	14 Aug 09	0 00	0 00	61 97	0 00	61 97	0 00	0 00	61 97
	31 Aug 09	0 00	0 00	71 95	0 00	71 95	0 00	0 00	71 95
	15 Sep 09	0 00	0 00	65 74	0 00	65 74	0 00	0 00	65 74
	30 Sep 09	0 00	0 00	64 37	0 00	64 37	0 00	0 00	64 37
	15 Oct 09	0 00	0 00	63 44	0 00	63 44	0 00	0 00	63 44
	30 Oct 09	0 00	0 00	62 10	0 00	62 10	0 00	0 00	62 10
	13 Nov 09	0 00	0 00	55 76	0 00	55 76	0 00	0 00	55 76
	30 Nov 09	0 00	0 00	68 40	0 00	68 40	0 00	0 00	68 40
	15 Dec 09	0 00	0 00	61 06	0 00	61 06	0 00	0 00	61 06
	31 Dec 09	0 00	0 00	59 07	0 00	59 07	0 00	0 00	59 07
	15 Jan 10	0 00	0 00	57 64	0 00	57 64	0 00	0 00	57 64
	29 Jan 10	0 00	0 00	57 74	0 00	57 74	0 00	0 00	57 74
	12 Feb 10	0 00	0 00	47 06	0 00	47 06	0 00	0 00	47 06
	26 Feb 10	0 00	0 00	69 56	0 00	69 56	0 00	0 00	69 56
	15 Mar 10	0 00	0 00	60 27	0 00	60 27	0 00	0 00	60 27
	31 Mar 10	0 00	0 00	63 22	0 00	63 22	0 00	0 00	63 22
	30 Apr 10	0 00	0 00	908 89	0 00	908 89	0 00	0 00	908 89

◆ Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	 Long Term	 Translation	 Total Short Term	 Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	30 Apr 10	0 00	0 00	1 82	0 00	1 82	0 00	0 00	1 82
	30 Apr 10	0 00	0 00	122 92	0 00	122 92	0 00	0 00	122 92
	30 Apr 10	0 00	0 00	42 07	0 00	42 07	0 00	0 00	42 07
	14 May 10	0 00	0 00	0 91	0 00	0 91	0 00	0 00	0 91
	14 May 10	0 00	0 00	56 62	0 00	56 62	0 00	0 00	56 62
	28 May 10	0 00	0 00	61 59	0 00	61 59	0 00	0 00	61 59
	15 Jun 10	0 00	0 00	54 90	0 00	54 90	0 00	0 00	54 90
	30 Jun 10	0 00	0 00	51 29	0 00	51 29	0 00	0 00	51 29
	15 Jul 10	0 00	0 00	50 24	0 00	50 24	0 00	0 00	50 24
	30 Jul 10	0 00	0 00	49 85	0 00	49 85	0 00	0 00	49 85
	13 Aug 10	0 00	0 00	42 94	0 00	42 94	0 00	0 00	42 94
	31 Aug 10	0 00	0 00	59 89	0 00	59 89	0 00	0 00	59 89
	15 Sep 10	0 00	0 00	51 13	0 00	51 13	0 00	0 00	51 13
	30 Sep 10	0 00	0 00	49 72	0 00	49 72	0 00	0 00	49 72
	15 Oct 10	0 00	0 00	49 88	0 00	49 88	0 00	0 00	49 88
	29 Oct 10	0 00	0 00	49 24	0 00	49 24	0 00	0 00	49 24
	15 Nov 10	0 00	0 00	0 79	0 00	0 79	0 00	0 00	0 79
	15 Nov 10	0 00	0 00	47 43	0 00	47 43	0 00	0 00	47 43
	30 Nov 10	0 00	0 00	43 66	0 00	43 66	0 00	0 00	43 66
	15 Dec 10	0 00	0 00	46 40	0 00	46 40	0 00	0 00	46 40
	31 Dec 10	0 00	0 00	51 71	0 00	51 71	0 00	0 00	51 71
	31 Jan 11	0 00	0 00	110 80	0 00	110 80	0 00	0 00	110 80
	15 Feb 11	0 00	0 00	62 13	0 00	62 13	0 00	0 00	62 13
	28 Feb 11	0 00	0 00	57 93	0 00	57 93	0 00	0 00	57 93
	15 Mar 11	0 00	0 00	62 50	0 00	62 50	0 00	0 00	62 50
	31 Mar 11	0 00	0 00	57 54	0 00	57 54	0 00	0 00	57 54
	29 Apr 11	0 00	0 00	111 89	0 00	111 89	0 00	0 00	111 89
	13 May 11	0 00	0 00	48 50	0 00	48 50	0 00	0 00	48 50
	31 May 11	0 00	0 00	63 19	0 00	63 19	0 00	0 00	63 19

◆ Realized Gain/Loss Detail - Taxable

					Realized gain/loss				
					Market			Total	
Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term

Other Gain/Loss

Fixed income

Funds - corporate bond

United States

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
	15 Jun 11	0 00	0 00	54 35	0 00	54 35	0 00	0 00	54 35
	30 Jun 11	0 00	0 00	52 24	0 00	52 24	0 00	0 00	52 24
	15 Jul 11	0 00	0 00	52 71	0 00	52 71	0 00	0 00	52 71
	29 Jul 11	0 00	0 00	52 32	0 00	52 32	0 00	0 00	52 32
	15 Aug 11	0 00	0 00	50 89	0 00	50 89	0 00	0 00	50 89
	31 Aug 11	0 00	0 00	51 48	0 00	51 48	0 00	0 00	51 48
	15 Sep 11	0 00	0 00	51 18	0 00	51 18	0 00	0 00	51 18
	30 Sep 11	0 00	0 00	48 38	0 00	48 38	0 00	0 00	48 38
	14 Oct 11	0 00	0 00	43 27	0 00	43 27	0 00	0 00	43 27
	31 Oct 11	0 00	0 00	49 87	0 00	49 87	0 00	0 00	49 87
	15 Nov 11	0 00	0 00	47 02	0 00	47 02	0 00	0 00	47 02
	30 Nov 11	0 00	0 00	47 78	0 00	47 78	0 00	0 00	47 78
	15 Dec 11	0 00	0 00	47 77	0 00	47 77	0 00	0 00	47 77
	30 Dec 11	0 00	0 00	47 80	0 00	47 80	0 00	0 00	47 80
	31 Jan 12	0 00	0 00	92 50	0 00	92 50	0 00	0 00	92 50
	15 Feb 12	0 00	0 00	44 73	0 00	44 73	0 00	0 00	44 73
	29 Feb 12	0 00	0 00	41 78	0 00	41 78	0 00	0 00	41 78
	15 Mar 12	0 00	0 00	42 96	0 00	42 96	0 00	0 00	42 96
	30 Mar 12	0 00	0 00	43 18	0 00	43 18	0 00	0 00	43 18
	30 Apr 12	0 00	0 00	82 46	0 00	82 46	0 00	0 00	82 46
	15 May 12	0 00	0 00	39 87	0 00	39 87	0 00	0 00	39 87
	31 May 12	0 00	0 00	38 99	0 00	38 99	0 00	0 00	38 99
	15 Jun 12	0 00	0 00	40 09	0 00	40 09	0 00	0 00	40 09
	29 Jun 12	0 00	0 00	42 33	0 00	42 33	0 00	0 00	42 33
	13 Jul 12	0 00	0 00	36 38	0 00	36 38	0 00	0 00	36 38
	31 Jul 12	0 00	0 00	44 40	0 00	44 40	0 00	0 00	44 40
	15 Aug 12	0 00	0 00	38 75	0 00	38 75	0 00	0 00	38 75
	31 Aug 12	0 00	0 00	38 53	0 00	38 53	0 00	0 00	38 53
	14 Sep 12	0 00	0 00	35 24	0 00	35 24	0 00	0 00	35 24

Portfolio Statement

01 Jan 12 - 31 Dec 12

◆ Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	Long Term	Translation	Total Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	28 Sep 12	0 00	0 00	38 90	0 00	38 90	0 00	0 00	38 90
15 Nov 12 11 Dec 12	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	9,007 71	9,007 71	0 00	0 00	9,007 71	0 00
	15 May 09	0 00	0 00	4,971 39	4,971 39	0 00	0 00	4,971 39	0 00
	15 May 09	0 00	0 00	188 59	188 59	0 00	0 00	188 59	0 00
	29 May 09	0 00	0 00	1,445 86	1,445 86	0 00	0 00	1,445 86	0 00
	29 May 09	0 00	0 00	11 71	11 71	0 00	0 00	11 71	0 00
	29 May 09	0 00	0 00	790 47	790 47	0 00	0 00	790 47	0 00
	15 Jun 09	0 00	0 00	47 16	47 16	0 00	0 00	47 16	0 00
	15 Jun 09	0 00	0 00	275 91	275 91	0 00	0 00	275 91	0 00
	15 Jun 09	0 00	0 00	17 86	17 86	0 00	0 00	17 86	0 00
	30 Jun 09	0 00	0 00	17 37	17 37	0 00	0 00	17 37	0 00
	30 Jun 09	0 00	0 00	19 75	19 75	0 00	0 00	19 75	0 00
	15 Jul 09	0 00	0 00	16 39	16 39	0 00	0 00	16 39	0 00
	31 Jul 09	0 00	0 00	16 13	16 13	0 00	0 00	16 13	0 00
	14 Aug 09	0 00	0 00	14 75	14 75	0 00	0 00	14 75	0 00
	31 Aug 09	0 00	0 00	17 13	17 13	0 00	0 00	17 13	0 00
	15 Sep 09	0 00	0 00	15 65	15 65	0 00	0 00	15 65	0 00
	30 Sep 09	0 00	0 00	15 32	15 32	0 00	0 00	15 32	0 00
	15 Oct 09	0 00	0 00	15 11	15 11	0 00	0 00	15 11	0 00
	30 Oct 09	0 00	0 00	14 79	14 79	0 00	0 00	14 79	0 00
	13 Nov 09	0 00	0 00	13 27	13 27	0 00	0 00	13 27	0 00
	30 Nov 09	0 00	0 00	16 28	16 28	0 00	0 00	16 28	0 00
	15 Dec 09	0 00	0 00	14 54	14 54	0 00	0 00	14 54	0 00
	31 Dec 09	0 00	0 00	14 06	14 06	0 00	0 00	14 06	0 00
	15 Jan 10	0 00	0 00	13 72	13 72	0 00	0 00	13 72	0 00
	29 Jan 10	0 00	0 00	13 75	13 75	0 00	0 00	13 75	0 00
	12 Feb 10	0 00	0 00	11 20	11 20	0 00	0 00	11 20	0 00
	26 Feb 10	0 00	0 00	16 56	16 56	0 00	0 00	16 56	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date	Security Description				Realized gain/loss				
					Market			Total	
Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 Mar 10	0 00	0 00	14 35	14 35	0 00	0 00	14 35	0 00
	31 Mar 10	0 00	0 00	15 06	15 06	0 00	0 00	15 06	0 00
	30 Apr 10	0 00	0 00	216 39	216 39	0 00	0 00	216 39	0 00
	30 Apr 10	0 00	0 00	10 02	10 02	0 00	0 00	10 02	0 00
	30 Apr 10	0 00	0 00	29 26	29 26	0 00	0 00	29 26	0 00
	30 Apr 10	0 00	0 00	0 43	0 43	0 00	0 00	0 43	0 00
	14 May 10	0 00	0 00	0 22	0 22	0 00	0 00	0 22	0 00
	14 May 10	0 00	0 00	13 48	13 48	0 00	0 00	13 48	0 00
	28 May 10	0 00	0 00	14 66	14 66	0 00	0 00	14 66	0 00
	15 Jun 10	0 00	0 00	13 07	13 07	0 00	0 00	13 07	0 00
	30 Jun 10	0 00	0 00	12 21	12 21	0 00	0 00	12 21	0 00
	15 Jul 10	0 00	0 00	11 96	11 96	0 00	0 00	11 96	0 00
	30 Jul 10	0 00	0 00	11 87	11 87	0 00	0 00	11 87	0 00
	13 Aug 10	0 00	0 00	10 23	10 23	0 00	0 00	10 23	0 00
	31 Aug 10	0 00	0 00	14 26	14 26	0 00	0 00	14 26	0 00
	15 Sep 10	0 00	0 00	12 18	12 18	0 00	0 00	12 18	0 00
	30 Sep 10	0 00	0 00	11 84	11 84	0 00	0 00	11 84	0 00
	15 Oct 10	0 00	0 00	11 88	11 88	0 00	0 00	11 88	0 00
	29 Oct 10	0 00	0 00	11 72	11 72	0 00	0 00	11 72	0 00
	15 Nov 10	0 00	0 00	0 19	0 19	0 00	0 00	0 19	0 00
	15 Nov 10	0 00	0 00	11 30	11 30	0 00	0 00	11 30	0 00
	30 Nov 10	0 00	0 00	10 39	10 39	0 00	0 00	10 39	0 00
	15 Dec 10	0 00	0 00	11 05	11 05	0 00	0 00	11 05	0 00
	31 Dec 10	0 00	0 00	12 31	12 31	0 00	0 00	12 31	0 00
	31 Jan 11	0 00	0 00	26 38	26 38	0 00	0 00	26 38	0 00
	15 Feb 11	0 00	0 00	14 79	14 79	0 00	0 00	14 79	0 00
	28 Feb 11	0 00	0 00	13 80	13 80	0 00	0 00	13 80	0 00
	15 Mar 11	0 00	0 00	14 88	14 88	0 00	0 00	14 88	0 00
	31 Mar 11	0 00	0 00	13 70	13 70	0 00	0 00	13 70	0 00

◆

Realized Gain/Loss Detail - Taxable

					Realized gain/loss				
Trade Date	Security Description				Market			Total	
Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	29 Apr 11	0 00	0 00	26 64	26 64	0 00	0 00	26 64	0 00
	13 May 11	0 00	0 00	11 55	11 55	0 00	0 00	11 55	0 00
	31 May 11	0 00	0 00	15 05	15 05	0 00	0 00	15 05	0 00
	15 Jun 11	0 00	0 00	12 93	12 93	0 00	0 00	12 93	0 00
	30 Jun 11	0 00	0 00	12 44	12 44	0 00	0 00	12 44	0 00
	15 Jul 11	0 00	0 00	12 55	12 55	0 00	0 00	12 55	0 00
	29 Jul 11	0 00	0 00	12 46	12 46	0 00	0 00	12 46	0 00
	15 Aug 11	0 00	0 00	12 12	12 12	0 00	0 00	12 12	0 00
	31 Aug 11	0 00	0 00	12 25	12 25	0 00	0 00	12 25	0 00
	15 Sep 11	0 00	0 00	12 19	12 19	0 00	0 00	12 19	0 00
	30 Sep 11	0 00	0 00	11 52	11 52	0 00	0 00	11 52	0 00
	14 Oct 11	0 00	0 00	10 30	10 30	0 00	0 00	10 30	0 00
	31 Oct 11	0 00	0 00	11 87	11 87	0 00	0 00	11 87	0 00
	15 Nov 11	0 00	0 00	11 19	11 19	0 00	0 00	11 19	0 00
	30 Nov 11	0 00	0 00	11 37	11 37	0 00	0 00	11 37	0 00
	15 Dec 11	0 00	0 00	11 38	11 38	0 00	0 00	11 38	0 00
	30 Dec 11	0 00	0 00	11 38	11 38	0 00	0 00	11 38	0 00
	31 Jan 12	0 00	0 00	22 02	22 02	0 00	0 00	22 02	0 00
	15 Feb 12	0 00	0 00	10 65	10 65	0 00	0 00	10 65	0 00
	29 Feb 12	0 00	0 00	9 95	9 95	0 00	0 00	9 95	0 00
	15 Mar 12	0 00	0 00	10 22	10 22	0 00	0 00	10 22	0 00
	30 Mar 12	0 00	0 00	10 28	10 28	0 00	0 00	10 28	0 00
	30 Apr 12	0 00	0 00	19 64	19 64	0 00	0 00	19 64	0 00
	15 May 12	0 00	0 00	9 49	9 49	0 00	0 00	9 49	0 00
	31 May 12	0 00	0 00	9 29	9 29	0 00	0 00	9 29	0 00
	15 Jun 12	0 00	0 00	9 55	9 55	0 00	0 00	9 55	0 00
	29 Jun 12	0 00	0 00	10 08	10 08	0 00	0 00	10 08	0 00
	13 Jul 12	0 00	0 00	8 66	8 66	0 00	0 00	8 66	0 00
	31 Jul 12	0 00	0 00	10 57	10 57	0 00	0 00	10 57	0 00

◆

Realized Gain/Loss Detail - Taxable

Other Gain/Loss

Fixed income

Funds - corporate bond

United States

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	 Market Long Term	 Translation	 Total Short Term	 Total Long Term
	15 Aug 12	0 00	0 00	9 23	9 23	0 00	0 00	9 23	0 00
	31 Aug 12	0 00	0 00	9 17	9 17	0 00	0 00	9 17	0 00
	14 Sep 12	0 00	0 00	8 39	8 39	0 00	0 00	8 39	0 00
	28 Sep 12	0 00	0 00	9 26	9 26	0 00	0 00	9 26	0 00
	15 Oct 12	0 00	0 00	8 34	8 34	0 00	0 00	8 34	0 00
	31 Oct 12	0 00	0 00	7 64	7 64	0 00	0 00	7 64	0 00
	15 Nov 12	0 00	0 00	7 49	7 49	0 00	0 00	7 49	0 00
15 Mar 12 12 Apr 12	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	56,344 71	56,344 71	0 00	0 00	56,344 71	0 00
	15 May 09	0 00	0 00	35,162 83	35,162 83	0 00	0 00	35,162 83	0 00
	15 May 09	0 00	0 00	1,027 11	1,027 11	0 00	0 00	1,027 11	0 00
	29 May 09	0 00	0 00	7,874 58	7,874 58	0 00	0 00	7,874 58	0 00
	29 May 09	0 00	0 00	63 76	63 76	0 00	0 00	63 76	0 00
	29 May 09	0 00	0 00	4,305 11	4,305 11	0 00	0 00	4,305 11	0 00
	15 Jun 09	0 00	0 00	256 89	256 89	0 00	0 00	256 89	0 00
	15 Jun 09	0 00	0 00	97 27	97 27	0 00	0 00	97 27	0 00
	15 Jun 09	0 00	0 00	1,502 66	1,502 66	0 00	0 00	1,502 66	0 00
	30 Jun 09	0 00	0 00	107 55	107 55	0 00	0 00	107 55	0 00
	30 Jun 09	0 00	0 00	94 60	94 60	0 00	0 00	94 60	0 00
	15 Jul 09	0 00	0 00	89 31	89 31	0 00	0 00	89 31	0 00
	31 Jul 09	0 00	0 00	87 85	87 85	0 00	0 00	87 85	0 00
	14 Aug 09	0 00	0 00	80 35	80 35	0 00	0 00	80 35	0 00
	31 Aug 09	0 00	0 00	93 29	93 29	0 00	0 00	93 29	0 00
	15 Sep 09	0 00	0 00	85 24	85 24	0 00	0 00	85 24	0 00
	30 Sep 09	0 00	0 00	83 46	83 46	0 00	0 00	83 46	0 00
	15 Oct 09	0 00	0 00	82 27	82 27	0 00	0 00	82 27	0 00
	30 Oct 09	0 00	0 00	80 52	80 52	0 00	0 00	80 52	0 00
	13 Nov 09	0 00	0 00	72 29	72 29	0 00	0 00	72 29	0 00
	30 Nov 09	0 00	0 00	88 70	88 70	0 00	0 00	88 70	0 00

◆

Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	Long Term	Translation	Total Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 Dec 09	0 00	0 00	79 18	79 18	0 00	0 00	79 18	0 00
	31 Dec 09	0 00	0 00	76 59	76 59	0 00	0 00	76 59	0 00
	15 Jan 10	0 00	0 00	74 74	74 74	0 00	0 00	74 74	0 00
	29 Jan 10	0 00	0 00	74 86	74 86	0 00	0 00	74 86	0 00
	12 Feb 10	0 00	0 00	61 03	61 03	0 00	0 00	61 03	0 00
	26 Feb 10	0 00	0 00	90 20	90 20	0 00	0 00	90 20	0 00
	15 Mar 10	0 00	0 00	78 14	78 14	0 00	0 00	78 14	0 00
	31 Mar 10	0 00	0 00	81 98	81 98	0 00	0 00	81 98	0 00
	30 Apr 10	0 00	0 00	2 37	2 37	0 00	0 00	2 37	0 00
	30 Apr 10	0 00	0 00	159 39	159 39	0 00	0 00	159 39	0 00
	30 Apr 10	0 00	0 00	54 54	54 54	0 00	0 00	54 54	0 00
	30 Apr 10	0 00	0 00	1,178 52	1,178 52	0 00	0 00	1,178 52	0 00
	14 May 10	0 00	0 00	1 18	1 18	0 00	0 00	1 18	0 00
	14 May 10	0 00	0 00	73 42	73 42	0 00	0 00	73 42	0 00
	28 May 10	0 00	0 00	79 86	79 86	0 00	0 00	79 86	0 00
	15 Jun 10	0 00	0 00	71 20	71 20	0 00	0 00	71 20	0 00
	30 Jun 10	0 00	0 00	66 50	66 50	0 00	0 00	66 50	0 00
	15 Jul 10	0 00	0 00	65 14	65 14	0 00	0 00	65 14	0 00
	30 Jul 10	0 00	0 00	64 63	64 63	0 00	0 00	64 63	0 00
	13 Aug 10	0 00	0 00	55 68	55 68	0 00	0 00	55 68	0 00
	31 Aug 10	0 00	0 00	77 66	77 66	0 00	0 00	77 66	0 00
	15 Sep 10	0 00	0 00	66 29	66 29	0 00	0 00	66 29	0 00
	30 Sep 10	0 00	0 00	64 47	64 47	0 00	0 00	64 47	0 00
	15 Oct 10	0 00	0 00	64 68	64 68	0 00	0 00	64 68	0 00
	29 Oct 10	0 00	0 00	63 85	63 85	0 00	0 00	63 85	0 00
	15 Nov 10	0 00	0 00	1 03	1 03	0 00	0 00	1 03	0 00
	15 Nov 10	0 00	0 00	61 50	61 50	0 00	0 00	61 50	0 00
	30 Nov 10	0 00	0 00	56 61	56 61	0 00	0 00	56 61	0 00
	15 Dec 10	0 00	0 00	60 17	60 17	0 00	0 00	60 17	0 00

◆

Realized Gain/Loss Detail - Taxable

Trade Date	Security Description				Realized gain/loss				
					Market			Total	
Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	31 Dec 10	0 00	0 00	67 06	67 06	0 00	0 00	67 06	0 00
	31 Jan 11	0 00	0 00	143 68	143 68	0 00	0 00	143 68	0 00
	15 Feb 11	0 00	0 00	80 56	80 56	0 00	0 00	80 56	0 00
	28 Feb 11	0 00	0 00	75 12	75 12	0 00	0 00	75 12	0 00
	15 Mar 11	0 00	0 00	81 05	81 05	0 00	0 00	81 05	0 00
	31 Mar 11	0 00	0 00	74 62	74 62	0 00	0 00	74 62	0 00
	29 Apr 11	0 00	0 00	145 09	145 09	0 00	0 00	145 09	0 00
	13 May 11	0 00	0 00	62 90	62 90	0 00	0 00	62 90	0 00
	31 May 11	0 00	0 00	81 93	81 93	0 00	0 00	81 93	0 00
	15 Jun 11	0 00	0 00	70 47	70 47	0 00	0 00	70 47	0 00
	30 Jun 11	0 00	0 00	67 74	67 74	0 00	0 00	67 74	0 00
	15 Jul 11	0 00	0 00	68 35	68 35	0 00	0 00	68 35	0 00
	29 Jul 11	0 00	0 00	67 84	67 84	0 00	0 00	67 84	0 00
	15 Aug 11	0 00	0 00	65 98	65 98	0 00	0 00	65 98	0 00
	31 Aug 11	0 00	0 00	66 75	66 75	0 00	0 00	66 75	0 00
	15 Sep 11	0 00	0 00	66 36	66 36	0 00	0 00	66 36	0 00
	30 Sep 11	0 00	0 00	62 74	62 74	0 00	0 00	62 74	0 00
	14 Oct 11	0 00	0 00	56 10	56 10	0 00	0 00	56 10	0 00
	31 Oct 11	0 00	0 00	64 66	64 66	0 00	0 00	64 66	0 00
	15 Nov 11	0 00	0 00	60 96	60 96	0 00	0 00	60 96	0 00
	30 Nov 11	0 00	0 00	61 95	61 95	0 00	0 00	61 95	0 00
	15 Dec 11	0 00	0 00	61 95	61 95	0 00	0 00	61 95	0 00
	30 Dec 11	0 00	0 00	61 98	61 98	0 00	0 00	61 98	0 00
	31 Jan 12	0 00	0 00	119 94	119 94	0 00	0 00	119 94	0 00
	15 Feb 12	0 00	0 00	58 00	58 00	0 00	0 00	58 00	0 00
	29 Feb 12	0 00	0 00	54 18	54 18	0 00	0 00	54 18	0 00
	15 Mar 12	0 00	0 00	55 70	55 70	0 00	0 00	55 70	0 00
29 Feb 12 09 Mar 12	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	8,840 32	0 00	8,840 32	0 00	0 00	8,840 32

◆ Realized Gain/Loss Detail - Taxable

					Realized gain/loss				
Trade Date	Security Description				Market			Total	
Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 May 09	0 00	0 00	5,522 41	0 00	5,522 41	0 00	0 00	5,522 41
	15 May 09	0 00	0 00	161 31	0 00	161 31	0 00	0 00	161 31
	29 May 09	0 00	0 00	1,236 72	0 00	1,236 72	0 00	0 00	1,236 72
	29 May 09	0 00	0 00	10 01	0 00	10 01	0 00	0 00	10 01
	29 May 09	0 00	0 00	676 13	0 00	676 13	0 00	0 00	676 13
	15 Jun 09	0 00	0 00	40 35	0 00	40 35	0 00	0 00	40 35
	15 Jun 09	0 00	0 00	236 00	0 00	236 00	0 00	0 00	236 00
	15 Jun 09	0 00	0 00	15 28	0 00	15 28	0 00	0 00	15 28
	30 Jun 09	0 00	0 00	14 86	0 00	14 86	0 00	0 00	14 86
	30 Jun 09	0 00	0 00	16 89	0 00	16 89	0 00	0 00	16 89
	15 Jul 09	0 00	0 00	14 03	0 00	14 03	0 00	0 00	14 03
	31 Jul 09	0 00	0 00	13 80	0 00	13 80	0 00	0 00	13 80
	14 Aug 09	0 00	0 00	12 62	0 00	12 62	0 00	0 00	12 62
	31 Aug 09	0 00	0 00	14 65	0 00	14 65	0 00	0 00	14 65
	15 Sep 09	0 00	0 00	13 38	0 00	13 38	0 00	0 00	13 38
	30 Sep 09	0 00	0 00	13 11	0 00	13 11	0 00	0 00	13 11
	15 Oct 09	0 00	0 00	12 92	0 00	12 92	0 00	0 00	12 92
	30 Oct 09	0 00	0 00	12 65	0 00	12 65	0 00	0 00	12 65
	13 Nov 09	0 00	0 00	11 35	0 00	11 35	0 00	0 00	11 35
	30 Nov 09	0 00	0 00	13 93	0 00	13 93	0 00	0 00	13 93
	15 Dec 09	0 00	0 00	12 43	0 00	12 43	0 00	0 00	12 43
	31 Dec 09	0 00	0 00	12 03	0 00	12 03	0 00	0 00	12 03
	15 Jan 10	0 00	0 00	11 74	0 00	11 74	0 00	0 00	11 74
	29 Jan 10	0 00	0 00	11 76	0 00	11 76	0 00	0 00	11 76
	12 Feb 10	0 00	0 00	9 58	0 00	9 58	0 00	0 00	9 58
	26 Feb 10	0 00	0 00	14 16	0 00	14 16	0 00	0 00	14 16
	15 Mar 10	0 00	0 00	12 27	0 00	12 27	0 00	0 00	12 27
	31 Mar 10	0 00	0 00	12 88	0 00	12 88	0 00	0 00	12 88
	30 Apr 10	0 00	0 00	25 04	0 00	25 04	0 00	0 00	25 04

◆ Realized Gain/Loss Detail - Taxable

					Realized gain/loss				
Trade Date	Security Description				Market			Total	
Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	30 Apr 10	0 00	0 00	185 09	0 00	185 09	0 00	0 00	185 09
	30 Apr 10	0 00	0 00	0 37	0 00	0 37	0 00	0 00	0 37
	30 Apr 10	0 00	0 00	8 57	0 00	8 57	0 00	0 00	8 57
	14 May 10	0 00	0 00	0 19	0 00	0 19	0 00	0 00	0 19
	14 May 10	0 00	0 00	11 53	0 00	11 53	0 00	0 00	11 53
	28 May 10	0 00	0 00	12 54	0 00	12 54	0 00	0 00	12 54
	15 Jun 10	0 00	0 00	11 18	0 00	11 18	0 00	0 00	11 18
	30 Jun 10	0 00	0 00	10 45	0 00	10 45	0 00	0 00	10 45
	15 Jul 10	0 00	0 00	10 23	0 00	10 23	0 00	0 00	10 23
	30 Jul 10	0 00	0 00	10 15	0 00	10 15	0 00	0 00	10 15
	13 Aug 10	0 00	0 00	8 74	0 00	8 74	0 00	0 00	8 74
	31 Aug 10	0 00	0 00	12 19	0 00	12 19	0 00	0 00	12 19
	15 Sep 10	0 00	0 00	10 41	0 00	10 41	0 00	0 00	10 41
	30 Sep 10	0 00	0 00	10 13	0 00	10 13	0 00	0 00	10 13
	15 Oct 10	0 00	0 00	10 16	0 00	10 16	0 00	0 00	10 16
	29 Oct 10	0 00	0 00	10 03	0 00	10 03	0 00	0 00	10 03
	15 Nov 10	0 00	0 00	0 16	0 00	0 16	0 00	0 00	0 16
	15 Nov 10	0 00	0 00	9 66	0 00	9 66	0 00	0 00	9 66
	30 Nov 10	0 00	0 00	8 89	0 00	8 89	0 00	0 00	8 89
	15 Dec 10	0 00	0 00	9 45	0 00	9 45	0 00	0 00	9 45
	31 Dec 10	0 00	0 00	10 53	0 00	10 53	0 00	0 00	10 53
	31 Jan 11	0 00	0 00	22 56	0 00	22 56	0 00	0 00	22 56
	15 Feb 11	0 00	0 00	12 65	0 00	12 65	0 00	0 00	12 65
	28 Feb 11	0 00	0 00	11 80	0 00	11 80	0 00	0 00	11 80
	15 Mar 11	0 00	0 00	12 73	0 00	12 73	0 00	0 00	12 73
	31 Mar 11	0 00	0 00	11 72	0 00	11 72	0 00	0 00	11 72
	29 Apr 11	0 00	0 00	22 79	0 00	22 79	0 00	0 00	22 79
	13 May 11	0 00	0 00	9 88	0 00	9 88	0 00	0 00	9 88
	31 May 11	0 00	0 00	12 87	0 00	12 87	0 00	0 00	12 87

◆ Realized Gain/Loss Detail - Taxable

					Realized gain/loss				
					Market			Total	
Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 Jun 11	0 00	0 00	11 07	0 00	11 07	0 00	0 00	11 07
	30 Jun 11	0 00	0 00	10 64	0 00	10 64	0 00	0 00	10 64
	15 Jul 11	0 00	0 00	10 73	0 00	10 73	0 00	0 00	10 73
	29 Jul 11	0 00	0 00	10 66	0 00	10 66	0 00	0 00	10 66
	15 Aug 11	0 00	0 00	10 36	0 00	10 36	0 00	0 00	10 36
	31 Aug 11	0 00	0 00	10 48	0 00	10 48	0 00	0 00	10 48
	15 Sep 11	0 00	0 00	10 42	0 00	10 42	0 00	0 00	10 42
	30 Sep 11	0 00	0 00	9 85	0 00	9 85	0 00	0 00	9 85
	14 Oct 11	0 00	0 00	8 81	0 00	8 81	0 00	0 00	8 81
	31 Oct 11	0 00	0 00	10 16	0 00	10 16	0 00	0 00	10 16
	15 Nov 11	0 00	0 00	9 57	0 00	9 57	0 00	0 00	9 57
	30 Nov 11	0 00	0 00	9 73	0 00	9 73	0 00	0 00	9 73
	15 Dec 11	0 00	0 00	9 73	0 00	9 73	0 00	0 00	9 73
	30 Dec 11	0 00	0 00	9 73	0 00	9 73	0 00	0 00	9 73
	31 Jan 12	0 00	0 00	18 83	0 00	18 83	0 00	0 00	18 83
	15 Feb 12	0 00	0 00	9 10	0 00	9 10	0 00	0 00	9 10
	29 Feb 12	0 00	0 00	8 51	0 00	8 51	0 00	0 00	8 51
30 Nov 12 11 Dec 12	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	17,045 84	0 00	17,045 84	0 00	0 00	17,045 84
	15 May 09	0 00	0 00	9,399 19	0 00	9,399 19	0 00	0 00	9,399 19
	15 May 09	0 00	0 00	356 55	0 00	356 55	0 00	0 00	356 55
	29 May 09	0 00	0 00	2,733 62	0 00	2,733 62	0 00	0 00	2,733 62
	29 May 09	0 00	0 00	22 14	0 00	22 14	0 00	0 00	22 14
	29 May 09	0 00	0 00	1,494 50	0 00	1,494 50	0 00	0 00	1,494 50
	15 Jun 09	0 00	0 00	521 64	0 00	521 64	0 00	0 00	521 64
	15 Jun 09	0 00	0 00	33 77	0 00	33 77	0 00	0 00	33 77
	15 Jun 09	0 00	0 00	89 18	0 00	89 18	0 00	0 00	89 18
	30 Jun 09	0 00	0 00	32 84	0 00	32 84	0 00	0 00	32 84
	30 Jun 09	0 00	0 00	37 34	0 00	37 34	0 00	0 00	37 34

◆ Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss				
					Market			Total	
					Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 Jul 09	0 00	0 00	31 01	0 00	31 01	0 00	0 00	31 01
	31 Jul 09	0 00	0 00	30 50	0 00	30 50	0 00	0 00	30 50
	14 Aug 09	0 00	0 00	27 90	0 00	27 90	0 00	0 00	27 90
	31 Aug 09	0 00	0 00	32 39	0 00	32 39	0 00	0 00	32 39
	15 Sep 09	0 00	0 00	29 59	0 00	29 59	0 00	0 00	29 59
	30 Sep 09	0 00	0 00	28 98	0 00	28 98	0 00	0 00	28 98
	15 Oct 09	0 00	0 00	28 55	0 00	28 55	0 00	0 00	28 55
	30 Oct 09	0 00	0 00	27 95	0 00	27 95	0 00	0 00	27 95
	13 Nov 09	0 00	0 00	25 10	0 00	25 10	0 00	0 00	25 10
	30 Nov 09	0 00	0 00	30 79	0 00	30 79	0 00	0 00	30 79
	15 Dec 09	0 00	0 00	27 49	0 00	27 49	0 00	0 00	27 49
	31 Dec 09	0 00	0 00	26 59	0 00	26 59	0 00	0 00	26 59
	15 Jan 10	0 00	0 00	25 95	0 00	25 95	0 00	0 00	25 95
	29 Jan 10	0 00	0 00	25 99	0 00	25 99	0 00	0 00	25 99
	12 Feb 10	0 00	0 00	21 19	0 00	21 19	0 00	0 00	21 19
	26 Feb 10	0 00	0 00	31 31	0 00	31 31	0 00	0 00	31 31
	15 Mar 10	0 00	0 00	27 13	0 00	27 13	0 00	0 00	27 13
	31 Mar 10	0 00	0 00	28 46	0 00	28 46	0 00	0 00	28 46
	30 Apr 10	0 00	0 00	55 33	0 00	55 33	0 00	0 00	55 33
	30 Apr 10	0 00	0 00	18 93	0 00	18 93	0 00	0 00	18 93
	30 Apr 10	0 00	0 00	409 12	0 00	409 12	0 00	0 00	409 12
	30 Apr 10	0 00	0 00	0 82	0 00	0 82	0 00	0 00	0 82
	14 May 10	0 00	0 00	0 41	0 00	0 41	0 00	0 00	0 41
	14 May 10	0 00	0 00	25 48	0 00	25 48	0 00	0 00	25 48
	28 May 10	0 00	0 00	27 72	0 00	27 72	0 00	0 00	27 72
	15 Jun 10	0 00	0 00	24 71	0 00	24 71	0 00	0 00	24 71
	30 Jun 10	0 00	0 00	23 09	0 00	23 09	0 00	0 00	23 09
	15 Jul 10	0 00	0 00	22 61	0 00	22 61	0 00	0 00	22 61
	30 Jul 10	0 00	0 00	22 43	0 00	22 43	0 00	0 00	22 43

◆ Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	 Long Term	 Translation	 Total Short Term	 Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	13 Aug 10	0 00	0 00	19 33	0 00	19 33	0 00	0 00	19 33
	31 Aug 10	0 00	0 00	26 96	0 00	26 96	0 00	0 00	26 96
	15 Sep 10	0 00	0 00	23 01	0 00	23 01	0 00	0 00	23 01
	30 Sep 10	0 00	0 00	22 38	0 00	22 38	0 00	0 00	22 38
	15 Oct 10	0 00	0 00	22 46	0 00	22 46	0 00	0 00	22 46
	29 Oct 10	0 00	0 00	22 17	0 00	22 17	0 00	0 00	22 17
	15 Nov 10	0 00	0 00	0 36	0 00	0 36	0 00	0 00	0 36
	15 Nov 10	0 00	0 00	21 35	0 00	21 35	0 00	0 00	21 35
	30 Nov 10	0 00	0 00	19 65	0 00	19 65	0 00	0 00	19 65
	15 Dec 10	0 00	0 00	20 89	0 00	20 89	0 00	0 00	20 89
	31 Dec 10	0 00	0 00	23 28	0 00	23 28	0 00	0 00	23 28
	31 Jan 11	0 00	0 00	49 88	0 00	49 88	0 00	0 00	49 88
	15 Feb 11	0 00	0 00	27 96	0 00	27 96	0 00	0 00	27 96
	28 Feb 11	0 00	0 00	26 07	0 00	26 07	0 00	0 00	26 07
	15 Mar 11	0 00	0 00	28 14	0 00	28 14	0 00	0 00	28 14
	31 Mar 11	0 00	0 00	25 90	0 00	25 90	0 00	0 00	25 90
	29 Apr 11	0 00	0 00	50 37	0 00	50 37	0 00	0 00	50 37
	13 May 11	0 00	0 00	21 83	0 00	21 83	0 00	0 00	21 83
	31 May 11	0 00	0 00	28 44	0 00	28 44	0 00	0 00	28 44
	15 Jun 11	0 00	0 00	24 46	0 00	24 46	0 00	0 00	24 46
	30 Jun 11	0 00	0 00	23 51	0 00	23 51	0 00	0 00	23 51
	15 Jul 11	0 00	0 00	23 72	0 00	23 72	0 00	0 00	23 72
	29 Jul 11	0 00	0 00	23 55	0 00	23 55	0 00	0 00	23 55
	15 Aug 11	0 00	0 00	22 90	0 00	22 90	0 00	0 00	22 90
	31 Aug 11	0 00	0 00	23 18	0 00	23 18	0 00	0 00	23 18
	15 Sep 11	0 00	0 00	23 03	0 00	23 03	0 00	0 00	23 03
	30 Sep 11	0 00	0 00	21 78	0 00	21 78	0 00	0 00	21 78
	14 Oct 11	0 00	0 00	19 48	0 00	19 48	0 00	0 00	19 48
	31 Oct 11	0 00	0 00	22 44	0 00	22 44	0 00	0 00	22 44

◆

Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	 Long Term	 Translation	 Total Short Term	 Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 Nov 11	0 00	0 00	21 16	0 00	21 16	0 00	0 00	21 16
	30 Nov 11	0 00	0 00	21 51	0 00	21 51	0 00	0 00	21 51
	15 Dec 11	0 00	0 00	21 51	0 00	21 51	0 00	0 00	21 51
	30 Dec 11	0 00	0 00	21 52	0 00	21 52	0 00	0 00	21 52
	31 Jan 12	0 00	0 00	41 64	0 00	41 64	0 00	0 00	41 64
	15 Feb 12	0 00	0 00	20 14	0 00	20 14	0 00	0 00	20 14
	29 Feb 12	0 00	0 00	18 81	0 00	18 81	0 00	0 00	18 81
	15 Mar 12	0 00	0 00	19 34	0 00	19 34	0 00	0 00	19 34
	30 Mar 12	0 00	0 00	19 44	0 00	19 44	0 00	0 00	19 44
	30 Apr 12	0 00	0 00	37 12	0 00	37 12	0 00	0 00	37 12
	15 May 12	0 00	0 00	17 95	0 00	17 95	0 00	0 00	17 95
	31 May 12	0 00	0 00	17 55	0 00	17 55	0 00	0 00	17 55
	15 Jun 12	0 00	0 00	18 05	0 00	18 05	0 00	0 00	18 05
	29 Jun 12	0 00	0 00	19 05	0 00	19 05	0 00	0 00	19 05
	13 Jul 12	0 00	0 00	16 37	0 00	16 37	0 00	0 00	16 37
	31 Jul 12	0 00	0 00	19 98	0 00	19 98	0 00	0 00	19 98
	15 Aug 12	0 00	0 00	17 44	0 00	17 44	0 00	0 00	17 44
	31 Aug 12	0 00	0 00	17 34	0 00	17 34	0 00	0 00	17 34
	14 Sep 12	0 00	0 00	15 87	0 00	15 87	0 00	0 00	15 87
	28 Sep 12	0 00	0 00	17 51	0 00	17 51	0 00	0 00	17 51
	15 Oct 12	0 00	0 00	15 76	0 00	15 76	0 00	0 00	15 76
	31 Oct 12	0 00	0 00	14 45	0 00	14 45	0 00	0 00	14 45
	15 Nov 12	0 00	0 00	14 17	0 00	14 17	0 00	0 00	14 17
	30 Nov 12	0 00	0 00	15 39	0 00	15 39	0 00	0 00	15 39
14 Dec 12 09 Jan 13	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	37,643 23	37,643 23	0 00	0 00	37,643 23	0 00
	15 May 09	0 00	0 00	20,739 65	20,739 65	0 00	0 00	20,739 65	0 00
	15 May 09	0 00	0 00	786 75	786 75	0 00	0 00	786 75	0 00
	29 May 09	0 00	0 00	6,031 85	6,031 85	0 00	0 00	6,031 85	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date Security Description Settle Date Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	Realized gain/loss Market Long Term	Realized gain/loss Translation	Realized gain/loss Total Short Term	Realized gain/loss Total Long Term
Other Gain/Loss								
Fixed income								
Funds - corporate bond								
United States								
29 May 09	0 00	0 00	48 84	48 84	0 00	0 00	48 84	0 00
29 May 09	0 00	0 00	3,297 67	3,297 67	0 00	0 00	3,297 67	0 00
15 Jun 09	0 00	0 00	196 77	196 77	0 00	0 00	196 77	0 00
15 Jun 09	0 00	0 00	1,151 02	1,151 02	0 00	0 00	1,151 02	0 00
15 Jun 09	0 00	0 00	74 51	74 51	0 00	0 00	74 51	0 00
30 Jun 09	0 00	0 00	72 45	72 45	0 00	0 00	72 45	0 00
30 Jun 09	0 00	0 00	82 38	82 38	0 00	0 00	82 38	0 00
15 Jul 09	0 00	0 00	68 41	68 41	0 00	0 00	68 41	0 00
31 Jul 09	0 00	0 00	67 29	67 29	0 00	0 00	67 29	0 00
14 Aug 09	0 00	0 00	61 55	61 55	0 00	0 00	61 55	0 00
31 Aug 09	0 00	0 00	71 47	71 47	0 00	0 00	71 47	0 00
15 Sep 09	0 00	0 00	65 29	65 29	0 00	0 00	65 29	0 00
30 Sep 09	0 00	0 00	63 93	63 93	0 00	0 00	63 93	0 00
15 Oct 09	0 00	0 00	63 01	63 01	0 00	0 00	63 01	0 00
30 Oct 09	0 00	0 00	61 68	61 68	0 00	0 00	61 68	0 00
13 Nov 09	0 00	0 00	55 37	55 37	0 00	0 00	55 37	0 00
30 Nov 09	0 00	0 00	67 94	67 94	0 00	0 00	67 94	0 00
15 Dec 09	0 00	0 00	60 65	60 65	0 00	0 00	60 65	0 00
31 Dec 09	0 00	0 00	58 67	58 67	0 00	0 00	58 67	0 00
15 Jan 10	0 00	0 00	57 25	57 25	0 00	0 00	57 25	0 00
29 Jan 10	0 00	0 00	57 34	57 34	0 00	0 00	57 34	0 00
12 Feb 10	0 00	0 00	46 75	46 75	0 00	0 00	46 75	0 00
26 Feb 10	0 00	0 00	69 09	69 09	0 00	0 00	69 09	0 00
15 Mar 10	0 00	0 00	59 86	59 86	0 00	0 00	59 86	0 00
31 Mar 10	0 00	0 00	62 80	62 80	0 00	0 00	62 80	0 00
30 Apr 10	0 00	0 00	122 09	122 09	0 00	0 00	122 09	0 00
30 Apr 10	0 00	0 00	1 81	1 81	0 00	0 00	1 81	0 00
30 Apr 10	0 00	0 00	41 78	41 78	0 00	0 00	41 78	0 00
30 Apr 10	0 00	0 00	902 74	902 74	0 00	0 00	902 74	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	 Long Term	 Translation	 Total Short Term	 Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	14 May 10	0 00	0 00	0 91	0 91	0 00	0 00	0 91	0 00
	14 May 10	0 00	0 00	56 24	56 24	0 00	0 00	56 24	0 00
	28 May 10	0 00	0 00	61 17	61 17	0 00	0 00	61 17	0 00
	15 Jun 10	0 00	0 00	54 53	54 53	0 00	0 00	54 53	0 00
	30 Jun 10	0 00	0 00	50 95	50 95	0 00	0 00	50 95	0 00
	15 Jul 10	0 00	0 00	49 90	49 90	0 00	0 00	49 90	0 00
	30 Jul 10	0 00	0 00	49 51	49 51	0 00	0 00	49 51	0 00
	13 Aug 10	0 00	0 00	42 65	42 65	0 00	0 00	42 65	0 00
	31 Aug 10	0 00	0 00	59 49	59 49	0 00	0 00	59 49	0 00
	15 Sep 10	0 00	0 00	50 77	50 77	0 00	0 00	50 77	0 00
	30 Sep 10	0 00	0 00	49 38	49 38	0 00	0 00	49 38	0 00
	15 Oct 10	0 00	0 00	49 54	49 54	0 00	0 00	49 54	0 00
	29 Oct 10	0 00	0 00	48 91	48 91	0 00	0 00	48 91	0 00
	15 Nov 10	0 00	0 00	0 79	0 79	0 00	0 00	0 79	0 00
	15 Nov 10	0 00	0 00	47 11	47 11	0 00	0 00	47 11	0 00
	30 Nov 10	0 00	0 00	43 36	43 36	0 00	0 00	43 36	0 00
	15 Dec 10	0 00	0 00	46 09	46 09	0 00	0 00	46 09	0 00
	31 Dec 10	0 00	0 00	51 36	51 36	0 00	0 00	51 36	0 00
	31 Jan 11	0 00	0 00	110 06	110 06	0 00	0 00	110 06	0 00
	15 Feb 11	0 00	0 00	61 71	61 71	0 00	0 00	61 71	0 00
	28 Feb 11	0 00	0 00	57 54	57 54	0 00	0 00	57 54	0 00
	15 Mar 11	0 00	0 00	62 08	62 08	0 00	0 00	62 08	0 00
	31 Mar 11	0 00	0 00	57 16	57 16	0 00	0 00	57 16	0 00
	29 Apr 11	0 00	0 00	111 14	111 14	0 00	0 00	111 14	0 00
	13 May 11	0 00	0 00	48 18	48 18	0 00	0 00	48 18	0 00
	31 May 11	0 00	0 00	62 76	62 76	0 00	0 00	62 76	0 00
	15 Jun 11	0 00	0 00	53 98	53 98	0 00	0 00	53 98	0 00
	30 Jun 11	0 00	0 00	51 89	51 89	0 00	0 00	51 89	0 00
	15 Jul 11	0 00	0 00	52 36	52 36	0 00	0 00	52 36	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date	Security Description				Realized gain/loss				
					Market			Total	
Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	29 Jul 11	0 00	0 00	51 96	51 96	0 00	0 00	51 96	0 00
	15 Aug 11	0 00	0 00	50 55	50 55	0 00	0 00	50 55	0 00
	31 Aug 11	0 00	0 00	51 13	51 13	0 00	0 00	51 13	0 00
	15 Sep 11	0 00	0 00	50 84	50 84	0 00	0 00	50 84	0 00
	30 Sep 11	0 00	0 00	48 06	48 06	0 00	0 00	48 06	0 00
	14 Oct 11	0 00	0 00	42 97	42 97	0 00	0 00	42 97	0 00
	31 Oct 11	0 00	0 00	49 53	49 53	0 00	0 00	49 53	0 00
	15 Nov 11	0 00	0 00	46 70	46 70	0 00	0 00	46 70	0 00
	30 Nov 11	0 00	0 00	47 46	47 46	0 00	0 00	47 46	0 00
	15 Dec 11	0 00	0 00	47 45	47 45	0 00	0 00	47 45	0 00
	30 Dec 11	0 00	0 00	47 47	47 47	0 00	0 00	47 47	0 00
	31 Jan 12	0 00	0 00	91 87	91 87	0 00	0 00	91 87	0 00
	15 Feb 12	0 00	0 00	44 43	44 43	0 00	0 00	44 43	0 00
	29 Feb 12	0 00	0 00	41 50	41 50	0 00	0 00	41 50	0 00
	15 Mar 12	0 00	0 00	42 67	42 67	0 00	0 00	42 67	0 00
	30 Mar 12	0 00	0 00	42 89	42 89	0 00	0 00	42 89	0 00
	30 Apr 12	0 00	0 00	81 90	81 90	0 00	0 00	81 90	0 00
	15 May 12	0 00	0 00	39 60	39 60	0 00	0 00	39 60	0 00
	31 May 12	0 00	0 00	38 72	38 72	0 00	0 00	38 72	0 00
	15 Jun 12	0 00	0 00	39 82	39 82	0 00	0 00	39 82	0 00
	29 Jun 12	0 00	0 00	42 04	42 04	0 00	0 00	42 04	0 00
	13 Jul 12	0 00	0 00	36 13	36 13	0 00	0 00	36 13	0 00
	31 Jul 12	0 00	0 00	44 10	44 10	0 00	0 00	44 10	0 00
	15 Aug 12	0 00	0 00	38 49	38 49	0 00	0 00	38 49	0 00
	31 Aug 12	0 00	0 00	38 28	38 28	0 00	0 00	38 28	0 00
	14 Sep 12	0 00	0 00	35 01	35 01	0 00	0 00	35 01	0 00
	28 Sep 12	0 00	0 00	38 63	38 63	0 00	0 00	38 63	0 00
	15 Oct 12	0 00	0 00	34 79	34 79	0 00	0 00	34 79	0 00
	31 Oct 12	0 00	0 00	31 89	31 89	0 00	0 00	31 89	0 00

◆ Realized Gain/Loss Detail - Taxable

Other Gain/Loss

Fixed income

Funds - corporate bond

United States

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	Realized gain/loss Market Long Term	Realized gain/loss Translation	Realized gain/loss Total Short Term	Realized gain/loss Total Long Term
	15 Nov 12	0 00	0 00	31 27	31 27	0 00	0 00	31 27	0 00
	30 Nov 12	0 00	0 00	33 95	33 95	0 00	0 00	33 95	0 00
	14 Dec 12	0 00	0 00	30 95	30 95	0 00	0 00	30 95	0 00
30 Dec 11 12 Apr 12	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	3 687 36	0 00	3,687 36	0 00	0 00	3,687 36
	15 May 09	0 00	0 00	2,312 97	0 00	2,312 97	0 00	0 00	2,312 97
	15 May 09	0 00	0 00	67 56	0 00	67 56	0 00	0 00	67 56
	29 May 09	0 00	0 00	517 98	0 00	517 98	0 00	0 00	517 98
	29 May 09	0 00	0 00	4 20	0 00	4 20	0 00	0 00	4 20
	29 May 09	0 00	0 00	283 19	0 00	283 19	0 00	0 00	283 19
	15 Jun 09	0 00	0 00	16 90	0 00	16 90	0 00	0 00	16 90
	15 Jun 09	0 00	0 00	98 84	0 00	98 84	0 00	0 00	98 84
	15 Jun 09	0 00	0 00	6 39	0 00	6 39	0 00	0 00	6 39
	30 Jun 09	0 00	0 00	6 23	0 00	6 23	0 00	0 00	6 23
	30 Jun 09	0 00	0 00	7 08	0 00	7 08	0 00	0 00	7 08
	15 Jul 09	0 00	0 00	5 87	0 00	5 87	0 00	0 00	5 87
	31 Jul 09	0 00	0 00	5 78	0 00	5 78	0 00	0 00	5 78
	14 Aug 09	0 00	0 00	5 29	0 00	5 29	0 00	0 00	5 29
	31 Aug 09	0 00	0 00	6 14	0 00	6 14	0 00	0 00	6 14
	15 Sep 09	0 00	0 00	5 61	0 00	5 61	0 00	0 00	5 61
	30 Sep 09	0 00	0 00	5 49	0 00	5 49	0 00	0 00	5 49
	15 Oct 09	0 00	0 00	5 41	0 00	5 41	0 00	0 00	5 41
	30 Oct 09	0 00	0 00	5 30	0 00	5 30	0 00	0 00	5 30
	13 Nov 09	0 00	0 00	4 76	0 00	4 76	0 00	0 00	4 76
	30 Nov 09	0 00	0 00	5 84	0 00	5 84	0 00	0 00	5 84
	15 Dec 09	0 00	0 00	5 20	0 00	5 20	0 00	0 00	5 20
	31 Dec 09	0 00	0 00	5 04	0 00	5 04	0 00	0 00	5 04
	15 Jan 10	0 00	0 00	4 92	0 00	4 92	0 00	0 00	4 92
	29 Jan 10	0 00	0 00	4 92	0 00	4 92	0 00	0 00	4 92

◆ Realized Gain/Loss Detail - Taxable

Trade Date	Security Description				Realized gain/loss				
					Market			Total	
Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	12 Feb 10	0 00	0 00	4 01	0 00	4 01	0 00	0 00	4 01
	26 Feb 10	0 00	0 00	5 93	0 00	5 93	0 00	0 00	5 93
	15 Mar 10	0 00	0 00	5 14	0 00	5 14	0 00	0 00	5 14
	31 Mar 10	0 00	0 00	5 39	0 00	5 39	0 00	0 00	5 39
	30 Apr 10	0 00	0 00	3 59	0 00	3 59	0 00	0 00	3 59
	30 Apr 10	0 00	0 00	77 52	0 00	77 52	0 00	0 00	77 52
	30 Apr 10	0 00	0 00	10 48	0 00	10 48	0 00	0 00	10 48
	30 Apr 10	0 00	0 00	0 15	0 00	0 15	0 00	0 00	0 15
	14 May 10	0 00	0 00	4 83	0 00	4 83	0 00	0 00	4 83
	14 May 10	0 00	0 00	0 08	0 00	0 08	0 00	0 00	0 08
	28 May 10	0 00	0 00	5 25	0 00	5 25	0 00	0 00	5 25
	15 Jun 10	0 00	0 00	4 68	0 00	4 68	0 00	0 00	4 68
	30 Jun 10	0 00	0 00	4 38	0 00	4 38	0 00	0 00	4 38
	15 Jul 10	0 00	0 00	4 29	0 00	4 29	0 00	0 00	4 29
	30 Jul 10	0 00	0 00	4 25	0 00	4 25	0 00	0 00	4 25
	13 Aug 10	0 00	0 00	3 66	0 00	3 66	0 00	0 00	3 66
	31 Aug 10	0 00	0 00	5 11	0 00	5 11	0 00	0 00	5 11
	15 Sep 10	0 00	0 00	4 36	0 00	4 36	0 00	0 00	4 36
	30 Sep 10	0 00	0 00	4 24	0 00	4 24	0 00	0 00	4 24
	15 Oct 10	0 00	0 00	4 25	0 00	4 25	0 00	0 00	4 25
	29 Oct 10	0 00	0 00	4 20	0 00	4 20	0 00	0 00	4 20
	15 Nov 10	0 00	0 00	0 07	0 00	0 07	0 00	0 00	0 07
	15 Nov 10	0 00	0 00	4 05	0 00	4 05	0 00	0 00	4 05
	30 Nov 10	0 00	0 00	3 72	0 00	3 72	0 00	0 00	3 72
	15 Dec 10	0 00	0 00	3 96	0 00	3 96	0 00	0 00	3 96
	31 Dec 10	0 00	0 00	4 41	0 00	4 41	0 00	0 00	4 41
	31 Jan 11	0 00	0 00	9 45	0 00	9 45	0 00	0 00	9 45
	15 Feb 11	0 00	0 00	5 30	0 00	5 30	0 00	0 00	5 30
	28 Feb 11	0 00	0 00	4 95	0 00	4 95	0 00	0 00	4 95

◆

Realized Gain/Loss Detail - Taxable

Other Gain/Loss

Fixed income

Funds - corporate bond

United States

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	 Long Term	 Translation	 Total Short Term	 Long Term
	15 Mar 11	0 00	0 00	5 34	0 00	5 34	0 00	0 00	5 34
	31 Mar 11	0 00	0 00	4 90	0 00	4 90	0 00	0 00	4 90
	29 Apr 11	0 00	0 00	9 54	0 00	9 54	0 00	0 00	9 54
	13 May 11	0 00	0 00	4 13	0 00	4 13	0 00	0 00	4 13
	31 May 11	0 00	0 00	5 39	0 00	5 39	0 00	0 00	5 39
	15 Jun 11	0 00	0 00	4 64	0 00	4 64	0 00	0 00	4 64
	30 Jun 11	0 00	0 00	4 46	0 00	4 46	0 00	0 00	4 46
	15 Jul 11	0 00	0 00	4 50	0 00	4 50	0 00	0 00	4 50
	29 Jul 11	0 00	0 00	4 46	0 00	4 46	0 00	0 00	4 46
	15 Aug 11	0 00	0 00	4 34	0 00	4 34	0 00	0 00	4 34
	31 Aug 11	0 00	0 00	4 39	0 00	4 39	0 00	0 00	4 39
	15 Sep 11	0 00	0 00	4 36	0 00	4 36	0 00	0 00	4 36
	30 Sep 11	0 00	0 00	4 13	0 00	4 13	0 00	0 00	4 13
	14 Oct 11	0 00	0 00	3 69	0 00	3 69	0 00	0 00	3 69
	31 Oct 11	0 00	0 00	4 25	0 00	4 25	0 00	0 00	4 25
	15 Nov 11	0 00	0 00	4 01	0 00	4 01	0 00	0 00	4 01
	30 Nov 11	0 00	0 00	4 07	0 00	4 07	0 00	0 00	4 07
	15 Dec 11	0 00	0 00	4 07	0 00	4 07	0 00	0 00	4 07
	30 Dec 11	0 00	0 00	4 08	0 00	4 08	0 00	0 00	4 08
30 Mar 12 12 Apr 12	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	12,587 52	0 00	12,587 52	0 00	0 00	12,587 52
	15 May 09	0 00	0 00	7,847 64	0 00	7,847 64	0 00	0 00	7,847 64
	15 May 09	0 00	0 00	229 23	0 00	229 23	0 00	0 00	229 23
	29 May 09	0 00	0 00	14 23	0 00	14 23	0 00	0 00	14 23
	29 May 09	0 00	0 00	1,757 45	0 00	1,757 45	0 00	0 00	1,757 45
	29 May 09	0 00	0 00	960 82	0 00	960 82	0 00	0 00	960 82
	15 Jun 09	0 00	0 00	335 36	0 00	335 36	0 00	0 00	335 36
	15 Jun 09	0 00	0 00	21 71	0 00	21 71	0 00	0 00	21 71
	15 Jun 09	0 00	0 00	57 33	0 00	57 33	0 00	0 00	57 33

◆ Realized Gain/Loss Detail - Taxable

Trade Date	Security Description				Realized gain/loss				
					Market			Total	
Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	30 Jun 09	0 00	0 00	24 00	0 00	24 00	0 00	0 00	24 00
	30 Jun 09	0 00	0 00	21 11	0 00	21 11	0 00	0 00	21 11
	15 Jul 09	0 00	0 00	19 93	0 00	19 93	0 00	0 00	19 93
	31 Jul 09	0 00	0 00	19 60	0 00	19 60	0 00	0 00	19 60
	14 Aug 09	0 00	0 00	17 93	0 00	17 93	0 00	0 00	17 93
	31 Aug 09	0 00	0 00	20 83	0 00	20 83	0 00	0 00	20 83
	15 Sep 09	0 00	0 00	19 02	0 00	19 02	0 00	0 00	19 02
	30 Sep 09	0 00	0 00	18 63	0 00	18 63	0 00	0 00	18 63
	15 Oct 09	0 00	0 00	18 36	0 00	18 36	0 00	0 00	18 36
	30 Oct 09	0 00	0 00	17 97	0 00	17 97	0 00	0 00	17 97
	13 Nov 09	0 00	0 00	16 13	0 00	16 13	0 00	0 00	16 13
	30 Nov 09	0 00	0 00	19 80	0 00	19 80	0 00	0 00	19 80
	15 Dec 09	0 00	0 00	17 67	0 00	17 67	0 00	0 00	17 67
	31 Dec 09	0 00	0 00	17 09	0 00	17 09	0 00	0 00	17 09
	15 Jan 10	0 00	0 00	16 68	0 00	16 68	0 00	0 00	16 68
	29 Jan 10	0 00	0 00	16 71	0 00	16 71	0 00	0 00	16 71
	12 Feb 10	0 00	0 00	13 62	0 00	13 62	0 00	0 00	13 62
	26 Feb 10	0 00	0 00	20 13	0 00	20 13	0 00	0 00	20 13
	15 Mar 10	0 00	0 00	17 44	0 00	17 44	0 00	0 00	17 44
	31 Mar 10	0 00	0 00	18 30	0 00	18 30	0 00	0 00	18 30
	30 Apr 10	0 00	0 00	0 53	0 00	0 53	0 00	0 00	0 53
	30 Apr 10	0 00	0 00	35 57	0 00	35 57	0 00	0 00	35 57
	30 Apr 10	0 00	0 00	12 17	0 00	12 17	0 00	0 00	12 17
	30 Apr 10	0 00	0 00	263 03	0 00	263 03	0 00	0 00	263 03
	14 May 10	0 00	0 00	0 26	0 00	0 26	0 00	0 00	0 26
	14 May 10	0 00	0 00	16 39	0 00	16 39	0 00	0 00	16 39
	28 May 10	0 00	0 00	17 82	0 00	17 82	0 00	0 00	17 82
	15 Jun 10	0 00	0 00	15 89	0 00	15 89	0 00	0 00	15 89
	30 Jun 10	0 00	0 00	14 84	0 00	14 84	0 00	0 00	14 84

◆ Realized Gain/Loss Detail - Taxable

					Realized gain/loss				
					Market			Total	
Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 Jul 10	0 00	0 00	14 54	0 00	14 54	0 00	0 00	14 54
	30 Jul 10	0 00	0 00	14 43	0 00	14 43	0 00	0 00	14 43
	13 Aug 10	0 00	0 00	12 43	0 00	12 43	0 00	0 00	12 43
	31 Aug 10	0 00	0 00	17 34	0 00	17 34	0 00	0 00	17 34
	15 Sep 10	0 00	0 00	14 80	0 00	14 80	0 00	0 00	14 80
	30 Sep 10	0 00	0 00	14 39	0 00	14 39	0 00	0 00	14 39
	15 Oct 10	0 00	0 00	14 44	0 00	14 44	0 00	0 00	14 44
	29 Oct 10	0 00	0 00	14 25	0 00	14 25	0 00	0 00	14 25
	15 Nov 10	0 00	0 00	0 23	0 00	0 23	0 00	0 00	0 23
	15 Nov 10	0 00	0 00	13 72	0 00	13 72	0 00	0 00	13 72
	30 Nov 10	0 00	0 00	12 64	0 00	12 64	0 00	0 00	12 64
	15 Dec 10	0 00	0 00	13 43	0 00	13 43	0 00	0 00	13 43
	31 Dec 10	0 00	0 00	14 96	0 00	14 96	0 00	0 00	14 96
	31 Jan 11	0 00	0 00	32 06	0 00	32 06	0 00	0 00	32 06
	15 Feb 11	0 00	0 00	17 98	0 00	17 98	0 00	0 00	17 98
	28 Feb 11	0 00	0 00	16 76	0 00	16 76	0 00	0 00	16 76
	15 Mar 11	0 00	0 00	18 09	0 00	18 09	0 00	0 00	18 09
	31 Mar 11	0 00	0 00	16 65	0 00	16 65	0 00	0 00	16 65
	29 Apr 11	0 00	0 00	32 38	0 00	32 38	0 00	0 00	32 38
	13 May 11	0 00	0 00	14 04	0 00	14 04	0 00	0 00	14 04
	31 May 11	0 00	0 00	18 29	0 00	18 29	0 00	0 00	18 29
	15 Jun 11	0 00	0 00	15 72	0 00	15 72	0 00	0 00	15 72
	30 Jun 11	0 00	0 00	15 12	0 00	15 12	0 00	0 00	15 12
	15 Jul 11	0 00	0 00	15 25	0 00	15 25	0 00	0 00	15 25
	29 Jul 11	0 00	0 00	15 14	0 00	15 14	0 00	0 00	15 14
	15 Aug 11	0 00	0 00	14 73	0 00	14 73	0 00	0 00	14 73
	31 Aug 11	0 00	0 00	14 90	0 00	14 90	0 00	0 00	14 90
	15 Sep 11	0 00	0 00	14 81	0 00	14 81	0 00	0 00	14 81
	30 Sep 11	0 00	0 00	14 00	0 00	14 00	0 00	0 00	14 00

◆ Realized Gain/Loss Detail - Taxable

					Realized gain/loss				
					Market			Total	
Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	14 Oct 11	0 00	0 00	12 53	0 00	12 53	0 00	0 00	12 53
	31 Oct 11	0 00	0 00	14 43	0 00	14 43	0 00	0 00	14 43
	15 Nov 11	0 00	0 00	13 61	0 00	13 61	0 00	0 00	13 61
	30 Nov 11	0 00	0 00	13 83	0 00	13 83	0 00	0 00	13 83
	15 Dec 11	0 00	0 00	13 82	0 00	13 82	0 00	0 00	13 82
	30 Dec 11	0 00	0 00	13 84	0 00	13 84	0 00	0 00	13 84
	31 Jan 12	0 00	0 00	26 77	0 00	26 77	0 00	0 00	26 77
	15 Feb 12	0 00	0 00	12 94	0 00	12 94	0 00	0 00	12 94
	29 Feb 12	0 00	0 00	12 09	0 00	12 09	0 00	0 00	12 09
	15 Mar 12	0 00	0 00	12 43	0 00	12 43	0 00	0 00	12 43
	30 Mar 12	0 00	0 00	12 49	0 00	12 49	0 00	0 00	12 49
31 Jan 12 09 Feb 12	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	58,594 41	0 00	58,594 41	0 00	0 00	58,594 41
	15 May 09	0 00	0 00	1,071 30	0 00	1,071 30	0 00	0 00	1,071 30
	15 May 09	0 00	0 00	36,676 07	0 00	36,676 07	0 00	0 00	36,676 07
	29 May 09	0 00	0 00	4,490 38	0 00	4,490 38	0 00	0 00	4,490 38
	29 May 09	0 00	0 00	8,213 46	0 00	8,213 46	0 00	0 00	8,213 46
	29 May 09	0 00	0 00	66 50	0 00	66 50	0 00	0 00	66 50
	15 Jun 09	0 00	0 00	1,567 33	0 00	1,567 33	0 00	0 00	1,567 33
	15 Jun 09	0 00	0 00	101 46	0 00	101 46	0 00	0 00	101 46
	15 Jun 09	0 00	0 00	267 95	0 00	267 95	0 00	0 00	267 95
	30 Jun 09	0 00	0 00	98 66	0 00	98 66	0 00	0 00	98 66
	30 Jun 09	0 00	0 00	112 18	0 00	112 18	0 00	0 00	112 18
	15 Jul 09	0 00	0 00	93 16	0 00	93 16	0 00	0 00	93 16
	31 Jul 09	0 00	0 00	91 63	0 00	91 63	0 00	0 00	91 63
	14 Aug 09	0 00	0 00	83 81	0 00	83 81	0 00	0 00	83 81
	31 Aug 09	0 00	0 00	97 31	0 00	97 31	0 00	0 00	97 31
	15 Sep 09	0 00	0 00	88 91	0 00	88 91	0 00	0 00	88 91
	30 Sep 09	0 00	0 00	87 06	0 00	87 06	0 00	0 00	87 06

◆ Realized Gain/Loss Detail - Taxable

Trade Date	Security Description				Realized gain/loss				
					Market			Total	
Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 Oct 09	0 00	0 00	85 80	0 00	85 80	0 00	0 00	85 80
	30 Oct 09	0 00	0 00	83 99	0 00	83 99	0 00	0 00	83 99
	13 Nov 09	0 00	0 00	75 40	0 00	75 40	0 00	0 00	75 40
	30 Nov 09	0 00	0 00	92 52	0 00	92 52	0 00	0 00	92 52
	15 Dec 09	0 00	0 00	82 59	0 00	82 59	0 00	0 00	82 59
	31 Dec 09	0 00	0 00	79 89	0 00	79 89	0 00	0 00	79 89
	15 Jan 10	0 00	0 00	77 95	0 00	77 95	0 00	0 00	77 95
	29 Jan 10	0 00	0 00	78 08	0 00	78 08	0 00	0 00	78 08
	12 Feb 10	0 00	0 00	63 65	0 00	63 65	0 00	0 00	63 65
	26 Feb 10	0 00	0 00	94 09	0 00	94 09	0 00	0 00	94 09
	15 Mar 10	0 00	0 00	81 51	0 00	81 51	0 00	0 00	81 51
	31 Mar 10	0 00	0 00	85 51	0 00	85 51	0 00	0 00	85 51
	30 Apr 10	0 00	0 00	166 25	0 00	166 25	0 00	0 00	166 25
	30 Apr 10	0 00	0 00	1,229 25	0 00	1,229 25	0 00	0 00	1,229 25
	30 Apr 10	0 00	0 00	56 89	0 00	56 89	0 00	0 00	56 89
	30 Apr 10	0 00	0 00	2 46	0 00	2 46	0 00	0 00	2 46
	14 May 10	0 00	0 00	1 24	0 00	1 24	0 00	0 00	1 24
	14 May 10	0 00	0 00	76 57	0 00	76 57	0 00	0 00	76 57
	28 May 10	0 00	0 00	83 30	0 00	83 30	0 00	0 00	83 30
	15 Jun 10	0 00	0 00	74 26	0 00	74 26	0 00	0 00	74 26
	30 Jun 10	0 00	0 00	69 37	0 00	69 37	0 00	0 00	69 37
	15 Jul 10	0 00	0 00	67 95	0 00	67 95	0 00	0 00	67 95
	30 Jul 10	0 00	0 00	67 42	0 00	67 42	0 00	0 00	67 42
	13 Aug 10	0 00	0 00	58 07	0 00	58 07	0 00	0 00	58 07
	31 Aug 10	0 00	0 00	81 00	0 00	81 00	0 00	0 00	81 00
	15 Sep 10	0 00	0 00	69 15	0 00	69 15	0 00	0 00	69 15
	30 Sep 10	0 00	0 00	67 24	0 00	67 24	0 00	0 00	67 24
	15 Oct 10	0 00	0 00	67 47	0 00	67 47	0 00	0 00	67 47
	29 Oct 10	0 00	0 00	66 60	0 00	66 60	0 00	0 00	66 60

◆ Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	 Long Term	 Translation	 Total Short Term	 Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 Nov 10	0 00	0 00	1 07	0 00	1 07	0 00	0 00	1 07
	15 Nov 10	0 00	0 00	64 15	0 00	64 15	0 00	0 00	64 15
	30 Nov 10	0 00	0 00	59 04	0 00	59 04	0 00	0 00	59 04
	15 Dec 10	0 00	0 00	62 76	0 00	62 76	0 00	0 00	62 76
	31 Dec 10	0 00	0 00	69 94	0 00	69 94	0 00	0 00	69 94
	31 Jan 11	0 00	0 00	149 86	0 00	149 86	0 00	0 00	149 86
	15 Feb 11	0 00	0 00	84 03	0 00	84 03	0 00	0 00	84 03
	28 Feb 11	0 00	0 00	78 34	0 00	78 34	0 00	0 00	78 34
	15 Mar 11	0 00	0 00	84 53	0 00	84 53	0 00	0 00	84 53
	31 Mar 11	0 00	0 00	77 83	0 00	77 83	0 00	0 00	77 83
	29 Apr 11	0 00	0 00	151 33	0 00	151 33	0 00	0 00	151 33
	13 May 11	0 00	0 00	65 60	0 00	65 60	0 00	0 00	65 60
	31 May 11	0 00	0 00	85 46	0 00	85 46	0 00	0 00	85 46
	15 Jun 11	0 00	0 00	73 49	0 00	73 49	0 00	0 00	73 49
	30 Jun 11	0 00	0 00	70 65	0 00	70 65	0 00	0 00	70 65
	15 Jul 11	0 00	0 00	71 29	0 00	71 29	0 00	0 00	71 29
	29 Jul 11	0 00	0 00	70 75	0 00	70 75	0 00	0 00	70 75
	15 Aug 11	0 00	0 00	68 83	0 00	68 83	0 00	0 00	68 83
	31 Aug 11	0 00	0 00	69 63	0 00	69 63	0 00	0 00	69 63
	15 Sep 11	0 00	0 00	69 22	0 00	69 22	0 00	0 00	69 22
	30 Sep 11	0 00	0 00	65 44	0 00	65 44	0 00	0 00	65 44
	14 Oct 11	0 00	0 00	58 52	0 00	58 52	0 00	0 00	58 52
	31 Oct 11	0 00	0 00	67 44	0 00	67 44	0 00	0 00	67 44
	15 Nov 11	0 00	0 00	63 58	0 00	63 58	0 00	0 00	63 58
	30 Nov 11	0 00	0 00	64 62	0 00	64 62	0 00	0 00	64 62
	15 Dec 11	0 00	0 00	64 62	0 00	64 62	0 00	0 00	64 62
	30 Dec 11	0 00	0 00	64 64	0 00	64 64	0 00	0 00	64 64
	31 Jan 12	0 00	0 00	125 11	0 00	125 11	0 00	0 00	125 11

◆ Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	 Market Long Term	 Translation	 Total Short Term	 Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
15 Jun 12 11 Jul 12	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	18 370 74	18,370 74	0 00	0 00	18,370 74	0 00
	15 May 09	0 00	0 00	11,400 39	11,400 39	0 00	0 00	11,400 39	0 00
	15 May 09	0 00	0 00	333 00	333 00	0 00	0 00	333 00	0 00
	29 May 09	0 00	0 00	20 67	20 67	0 00	0 00	20 67	0 00
	29 May 09	0 00	0 00	2,553 07	2,553 07	0 00	0 00	2,553 07	0 00
	29 May 09	0 00	0 00	1,395 79	1,395 79	0 00	0 00	1,395 79	0 00
	15 Jun 09	0 00	0 00	83 29	83 29	0 00	0 00	83 29	0 00
	15 Jun 09	0 00	0 00	487 18	487 18	0 00	0 00	487 18	0 00
	15 Jun 09	0 00	0 00	31 54	31 54	0 00	0 00	31 54	0 00
	30 Jun 09	0 00	0 00	30 66	30 66	0 00	0 00	30 66	0 00
	30 Jun 09	0 00	0 00	34 87	34 87	0 00	0 00	34 87	0 00
	15 Jul 09	0 00	0 00	28 96	28 96	0 00	0 00	28 96	0 00
	31 Jul 09	0 00	0 00	28 48	28 48	0 00	0 00	28 48	0 00
	14 Aug 09	0 00	0 00	26 05	26 05	0 00	0 00	26 05	0 00
	31 Aug 09	0 00	0 00	30 25	30 25	0 00	0 00	30 25	0 00
	15 Sep 09	0 00	0 00	27 63	27 63	0 00	0 00	27 63	0 00
	30 Sep 09	0 00	0 00	27 06	27 06	0 00	0 00	27 06	0 00
	15 Oct 09	0 00	0 00	26 67	26 67	0 00	0 00	26 67	0 00
	30 Oct 09	0 00	0 00	26 11	26 11	0 00	0 00	26 11	0 00
	13 Nov 09	0 00	0 00	23 44	23 44	0 00	0 00	23 44	0 00
	30 Nov 09	0 00	0 00	28 76	28 76	0 00	0 00	28 76	0 00
	15 Dec 09	0 00	0 00	25 67	25 67	0 00	0 00	25 67	0 00
	31 Dec 09	0 00	0 00	24 83	24 83	0 00	0 00	24 83	0 00
	15 Jan 10	0 00	0 00	24 23	24 23	0 00	0 00	24 23	0 00
	29 Jan 10	0 00	0 00	24 27	24 27	0 00	0 00	24 27	0 00
	12 Feb 10	0 00	0 00	19 79	19 79	0 00	0 00	19 79	0 00
	26 Feb 10	0 00	0 00	29 25	29 25	0 00	0 00	29 25	0 00
	15 Mar 10	0 00	0 00	25 34	25 34	0 00	0 00	25 34	0 00

◆ Realized Gain/Loss Detail - Taxable

					Realized gain/loss				
					Market			Total	
Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term

Other Gain/Loss

Fixed income

Funds - corporate bond

United States

	31 Mar 10	0 00	0 00	26 58	26 58	0 00	0 00	26 58	0 00
	30 Apr 10	0 00	0 00	51 67	51 67	0 00	0 00	51 67	0 00
	30 Apr 10	0 00	0 00	0 76	0 76	0 00	0 00	0 76	0 00
	30 Apr 10	0 00	0 00	17 68	17 68	0 00	0 00	17 68	0 00
	30 Apr 10	0 00	0 00	382 10	382 10	0 00	0 00	382 10	0 00
	14 May 10	0 00	0 00	0 38	0 38	0 00	0 00	0 38	0 00
	14 May 10	0 00	0 00	23 80	23 80	0 00	0 00	23 80	0 00
	28 May 10	0 00	0 00	25 89	25 89	0 00	0 00	25 89	0 00
	15 Jun 10	0 00	0 00	23 08	23 08	0 00	0 00	23 08	0 00
	30 Jun 10	0 00	0 00	21 57	21 57	0 00	0 00	21 57	0 00
	15 Jul 10	0 00	0 00	21 12	21 12	0 00	0 00	21 12	0 00
	30 Jul 10	0 00	0 00	20 96	20 96	0 00	0 00	20 96	0 00
	13 Aug 10	0 00	0 00	18 05	18 05	0 00	0 00	18 05	0 00
	31 Aug 10	0 00	0 00	25 18	25 18	0 00	0 00	25 18	0 00
	15 Sep 10	0 00	0 00	21 49	21 49	0 00	0 00	21 49	0 00
	30 Sep 10	0 00	0 00	20 90	20 90	0 00	0 00	20 90	0 00
	15 Oct 10	0 00	0 00	20 97	20 97	0 00	0 00	20 97	0 00
	29 Oct 10	0 00	0 00	20 70	20 70	0 00	0 00	20 70	0 00
	15 Nov 10	0 00	0 00	0 33	0 33	0 00	0 00	0 33	0 00
	15 Nov 10	0 00	0 00	19 94	19 94	0 00	0 00	19 94	0 00
	30 Nov 10	0 00	0 00	18 35	18 35	0 00	0 00	18 35	0 00
	15 Dec 10	0 00	0 00	19 51	19 51	0 00	0 00	19 51	0 00
	31 Dec 10	0 00	0 00	21 74	21 74	0 00	0 00	21 74	0 00
	31 Jan 11	0 00	0 00	46 58	46 58	0 00	0 00	46 58	0 00
	15 Feb 11	0 00	0 00	26 12	26 12	0 00	0 00	26 12	0 00
	28 Feb 11	0 00	0 00	24 35	24 35	0 00	0 00	24 35	0 00
	15 Mar 11	0 00	0 00	26 28	26 28	0 00	0 00	26 28	0 00
	31 Mar 11	0 00	0 00	24 19	24 19	0 00	0 00	24 19	0 00
	29 Apr 11	0 00	0 00	47 04	47 04	0 00	0 00	47 04	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date	Security Description				Realized gain/loss Market			Total	
Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	13 May 11	0 00	0 00	20 39	20 39	0 00	0 00	20 39	0 00
	31 May 11	0 00	0 00	26 56	26 56	0 00	0 00	26 56	0 00
	15 Jun 11	0 00	0 00	22 84	22 84	0 00	0 00	22 84	0 00
	30 Jun 11	0 00	0 00	21 96	21 96	0 00	0 00	21 96	0 00
	15 Jul 11	0 00	0 00	22 16	22 16	0 00	0 00	22 16	0 00
	29 Jul 11	0 00	0 00	21 99	21 99	0 00	0 00	21 99	0 00
	15 Aug 11	0 00	0 00	21 40	21 40	0 00	0 00	21 40	0 00
	31 Aug 11	0 00	0 00	21 64	21 64	0 00	0 00	21 64	0 00
	15 Sep 11	0 00	0 00	21 52	21 52	0 00	0 00	21 52	0 00
	30 Sep 11	0 00	0 00	20 35	20 35	0 00	0 00	20 35	0 00
	14 Oct 11	0 00	0 00	18 19	18 19	0 00	0 00	18 19	0 00
	31 Oct 11	0 00	0 00	20 97	20 97	0 00	0 00	20 97	0 00
	15 Nov 11	0 00	0 00	19 77	19 77	0 00	0 00	19 77	0 00
	30 Nov 11	0 00	0 00	20 09	20 09	0 00	0 00	20 09	0 00
	15 Dec 11	0 00	0 00	20 09	20 09	0 00	0 00	20 09	0 00
	30 Dec 11	0 00	0 00	20 10	20 10	0 00	0 00	20 10	0 00
	31 Jan 12	0 00	0 00	38 89	38 89	0 00	0 00	38 89	0 00
	15 Feb 12	0 00	0 00	18 81	18 81	0 00	0 00	18 81	0 00
	29 Feb 12	0 00	0 00	17 56	17 56	0 00	0 00	17 56	0 00
	15 Mar 12	0 00	0 00	18 06	18 06	0 00	0 00	18 06	0 00
	30 Mar 12	0 00	0 00	18 16	18 16	0 00	0 00	18 16	0 00
	30 Apr 12	0 00	0 00	34 67	34 67	0 00	0 00	34 67	0 00
	15 May 12	0 00	0 00	16 76	16 76	0 00	0 00	16 76	0 00
	31 May 12	0 00	0 00	16 39	16 39	0 00	0 00	16 39	0 00
	15 Jun 12	0 00	0 00	16 86	16 86	0 00	0 00	16 86	0 00
31 Dec 12 09 Jan 13	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	16 040 36	0 00	16,040 36	0 00	0 00	16,040 36
	15 May 09	0 00	0 00	334 94	0 00	334 94	0 00	0 00	334 94
	15 May 09	0 00	0 00	8,829 21	0 00	8,829 21	0 00	0 00	8,829 21

◆

Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	29 May 09	0 00	0 00	1,403 88	0 00	1,403 88	0 00	0 00	1,403 88
	29 May 09	0 00	0 00	2,567 86	0 00	2,567 86	0 00	0 00	2,567 86
	29 May 09	0 00	0 00	20 79	0 00	20 79	0 00	0 00	20 79
	15 Jun 09	0 00	0 00	490 01	0 00	490 01	0 00	0 00	490 01
	15 Jun 09	0 00	0 00	31 72	0 00	31 72	0 00	0 00	31 72
	15 Jun 09	0 00	0 00	83 77	0 00	83 77	0 00	0 00	83 77
	30 Jun 09	0 00	0 00	30 85	0 00	30 85	0 00	0 00	30 85
	30 Jun 09	0 00	0 00	35 07	0 00	35 07	0 00	0 00	35 07
	15 Jul 09	0 00	0 00	29 12	0 00	29 12	0 00	0 00	29 12
	31 Jul 09	0 00	0 00	28 64	0 00	28 64	0 00	0 00	28 64
	14 Aug 09	0 00	0 00	26 20	0 00	26 20	0 00	0 00	26 20
	31 Aug 09	0 00	0 00	30 43	0 00	30 43	0 00	0 00	30 43
	15 Sep 09	0 00	0 00	27 80	0 00	27 80	0 00	0 00	27 80
	30 Sep 09	0 00	0 00	27 22	0 00	27 22	0 00	0 00	27 22
	15 Oct 09	0 00	0 00	26 83	0 00	26 83	0 00	0 00	26 83
	30 Oct 09	0 00	0 00	26 26	0 00	26 26	0 00	0 00	26 26
	13 Nov 09	0 00	0 00	23 57	0 00	23 57	0 00	0 00	23 57
	30 Nov 09	0 00	0 00	28 92	0 00	28 92	0 00	0 00	28 92
	15 Dec 09	0 00	0 00	25 82	0 00	25 82	0 00	0 00	25 82
	31 Dec 09	0 00	0 00	24 97	0 00	24 97	0 00	0 00	24 97
	15 Jan 10	0 00	0 00	24 37	0 00	24 37	0 00	0 00	24 37
	29 Jan 10	0 00	0 00	24 42	0 00	24 42	0 00	0 00	24 42
	12 Feb 10	0 00	0 00	19 90	0 00	19 90	0 00	0 00	19 90
	26 Feb 10	0 00	0 00	29 41	0 00	29 41	0 00	0 00	29 41
	15 Mar 10	0 00	0 00	25 48	0 00	25 48	0 00	0 00	25 48
	31 Mar 10	0 00	0 00	26 74	0 00	26 74	0 00	0 00	26 74
	30 Apr 10	0 00	0 00	17 79	0 00	17 79	0 00	0 00	17 79
	30 Apr 10	0 00	0 00	384 31	0 00	384 31	0 00	0 00	384 31
	30 Apr 10	0 00	0 00	0 77	0 00	0 77	0 00	0 00	0 77

◆ Realized Gain/Loss Detail - Taxable

Trade Date	Security Description				Realized gain/loss				
					Market			Total	
Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	30 Apr 10	0 00	0 00	51 98	0 00	51 98	0 00	0 00	51 98
	14 May 10	0 00	0 00	23 94	0 00	23 94	0 00	0 00	23 94
	14 May 10	0 00	0 00	0 39	0 00	0 39	0 00	0 00	0 39
	28 May 10	0 00	0 00	26 04	0 00	26 04	0 00	0 00	26 04
	15 Jun 10	0 00	0 00	23 21	0 00	23 21	0 00	0 00	23 21
	30 Jun 10	0 00	0 00	21 68	0 00	21 68	0 00	0 00	21 68
	15 Jul 10	0 00	0 00	21 24	0 00	21 24	0 00	0 00	21 24
	30 Jul 10	0 00	0 00	21 07	0 00	21 07	0 00	0 00	21 07
	13 Aug 10	0 00	0 00	18 16	0 00	18 16	0 00	0 00	18 16
	31 Aug 10	0 00	0 00	25 32	0 00	25 32	0 00	0 00	25 32
	15 Sep 10	0 00	0 00	21 62	0 00	21 62	0 00	0 00	21 62
	30 Sep 10	0 00	0 00	21 02	0 00	21 02	0 00	0 00	21 02
	15 Oct 10	0 00	0 00	21 10	0 00	21 10	0 00	0 00	21 10
	29 Oct 10	0 00	0 00	20 82	0 00	20 82	0 00	0 00	20 82
	15 Nov 10	0 00	0 00	0 33	0 00	0 33	0 00	0 00	0 33
	15 Nov 10	0 00	0 00	20 05	0 00	20 05	0 00	0 00	20 05
	30 Nov 10	0 00	0 00	18 46	0 00	18 46	0 00	0 00	18 46
	15 Dec 10	0 00	0 00	19 62	0 00	19 62	0 00	0 00	19 62
	31 Dec 10	0 00	0 00	21 87	0 00	21 87	0 00	0 00	21 87
	31 Jan 11	0 00	0 00	46 85	0 00	46 85	0 00	0 00	46 85
	15 Feb 11	0 00	0 00	26 27	0 00	26 27	0 00	0 00	26 27
	28 Feb 11	0 00	0 00	24 50	0 00	24 50	0 00	0 00	24 50
	15 Mar 11	0 00	0 00	26 43	0 00	26 43	0 00	0 00	26 43
	31 Mar 11	0 00	0 00	24 33	0 00	24 33	0 00	0 00	24 33
	29 Apr 11	0 00	0 00	47 32	0 00	47 32	0 00	0 00	47 32
	13 May 11	0 00	0 00	20 51	0 00	20 51	0 00	0 00	20 51
	31 May 11	0 00	0 00	26 72	0 00	26 72	0 00	0 00	26 72
	15 Jun 11	0 00	0 00	22 97	0 00	22 97	0 00	0 00	22 97
	30 Jun 11	0 00	0 00	22 09	0 00	22 09	0 00	0 00	22 09

◆ Realized Gain/Loss Detail - Taxable

					Realized gain/loss				
Trade Date	Security Description				Market			Total	
Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 Jul 11	0 00	0 00	22 29	0 00	22 29	0 00	0 00	22 29
	29 Jul 11	0 00	0 00	22 12	0 00	22 12	0 00	0 00	22 12
	15 Aug 11	0 00	0 00	21 52	0 00	21 52	0 00	0 00	21 52
	31 Aug 11	0 00	0 00	21 76	0 00	21 76	0 00	0 00	21 76
	15 Sep 11	0 00	0 00	21 64	0 00	21 64	0 00	0 00	21 64
	30 Sep 11	0 00	0 00	20 46	0 00	20 46	0 00	0 00	20 46
	14 Oct 11	0 00	0 00	18 30	0 00	18 30	0 00	0 00	18 30
	31 Oct 11	0 00	0 00	21 08	0 00	21 08	0 00	0 00	21 08
	15 Nov 11	0 00	0 00	19 88	0 00	19 88	0 00	0 00	19 88
	30 Nov 11	0 00	0 00	20 20	0 00	20 20	0 00	0 00	20 20
	15 Dec 11	0 00	0 00	20 21	0 00	20 21	0 00	0 00	20 21
	30 Dec 11	0 00	0 00	20 21	0 00	20 21	0 00	0 00	20 21
	31 Jan 12	0 00	0 00	39 11	0 00	39 11	0 00	0 00	39 11
	15 Feb 12	0 00	0 00	18 91	0 00	18 91	0 00	0 00	18 91
	29 Feb 12	0 00	0 00	17 66	0 00	17 66	0 00	0 00	17 66
	15 Mar 12	0 00	0 00	18 16	0 00	18 16	0 00	0 00	18 16
	30 Mar 12	0 00	0 00	18 26	0 00	18 26	0 00	0 00	18 26
	30 Apr 12	0 00	0 00	34 87	0 00	34 87	0 00	0 00	34 87
	15 May 12	0 00	0 00	16 85	0 00	16 85	0 00	0 00	16 85
	31 May 12	0 00	0 00	16 49	0 00	16 49	0 00	0 00	16 49
	15 Jun 12	0 00	0 00	16 95	0 00	16 95	0 00	0 00	16 95
	29 Jun 12	0 00	0 00	17 90	0 00	17 90	0 00	0 00	17 90
	13 Jul 12	0 00	0 00	15 38	0 00	15 38	0 00	0 00	15 38
	31 Jul 12	0 00	0 00	18 78	0 00	18 78	0 00	0 00	18 78
	15 Aug 12	0 00	0 00	16 39	0 00	16 39	0 00	0 00	16 39
	31 Aug 12	0 00	0 00	16 30	0 00	16 30	0 00	0 00	16 30
	14 Sep 12	0 00	0 00	14 90	0 00	14 90	0 00	0 00	14 90
	28 Sep 12	0 00	0 00	16 45	0 00	16 45	0 00	0 00	16 45
	15 Oct 12	0 00	0 00	14 81	0 00	14 81	0 00	0 00	14 81

Portfolio Statement

01 Jan 12 - 31 Dec 12

◆ Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	 Long Term	 Translation	 Total Short Term	 Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	31 Oct 12	0 00	0 00	13 58	0 00	13 58	0 00	0 00	13 58
	15 Nov 12	0 00	0 00	13 31	0 00	13 31	0 00	0 00	13 31
	30 Nov 12	0 00	0 00	14 46	0 00	14 46	0 00	0 00	14 46
	14 Dec 12	0 00	0 00	13 17	0 00	13 17	0 00	0 00	13 17
	31 Dec 12	0 00	0 00	15 02	0 00	15 02	0 00	0 00	15 02
15 Oct 12 08 Nov 12	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	82 677 19	82,677 19	0 00	0 00	82,677 19	0 00
	15 May 09	0 00	0 00	50,020 70	50,020 70	0 00	0 00	50,020 70	0 00
	15 May 09	0 00	0 00	1,531 55	1,531 55	0 00	0 00	1,531 55	0 00
	29 May 09	0 00	0 00	11,742 06	11,742 06	0 00	0 00	11,742 06	0 00
	29 May 09	0 00	0 00	95 08	95 08	0 00	0 00	95 08	0 00
	29 May 09	0 00	0 00	6,419 50	6,419 50	0 00	0 00	6,419 50	0 00
	15 Jun 09	0 00	0 00	383 07	383 07	0 00	0 00	383 07	0 00
	15 Jun 09	0 00	0 00	2,240 67	2,240 67	0 00	0 00	2,240 67	0 00
	15 Jun 09	0 00	0 00	145 04	145 04	0 00	0 00	145 04	0 00
	30 Jun 09	0 00	0 00	141 06	141 06	0 00	0 00	141 06	0 00
	30 Jun 09	0 00	0 00	160 37	160 37	0 00	0 00	160 37	0 00
	15 Jul 09	0 00	0 00	133 17	133 17	0 00	0 00	133 17	0 00
	31 Jul 09	0 00	0 00	131 00	131 00	0 00	0 00	131 00	0 00
	14 Aug 09	0 00	0 00	119 81	119 81	0 00	0 00	119 81	0 00
	31 Aug 09	0 00	0 00	139 12	139 12	0 00	0 00	139 12	0 00
	15 Sep 09	0 00	0 00	127 11	127 11	0 00	0 00	127 11	0 00
	30 Sep 09	0 00	0 00	124 46	124 46	0 00	0 00	124 46	0 00
	15 Oct 09	0 00	0 00	122 66	122 66	0 00	0 00	122 66	0 00
	30 Oct 09	0 00	0 00	120 07	120 07	0 00	0 00	120 07	0 00
	13 Nov 09	0 00	0 00	107 80	107 80	0 00	0 00	107 80	0 00
	30 Nov 09	0 00	0 00	132 26	132 26	0 00	0 00	132 26	0 00
	15 Dec 09	0 00	0 00	118 07	118 07	0 00	0 00	118 07	0 00
	31 Dec 09	0 00	0 00	114 20	114 20	0 00	0 00	114 20	0 00

◆ Realized Gain/Loss Detail - Taxable

					Realized gain/loss				
					Market			Total	
Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 Jan 10	0 00	0 00	111 44	111 44	0 00	0 00	111 44	0 00
	29 Jan 10	0 00	0 00	111 63	111 63	0 00	0 00	111 63	0 00
	12 Feb 10	0 00	0 00	90 99	90 99	0 00	0 00	90 99	0 00
	26 Feb 10	0 00	0 00	134 50	134 50	0 00	0 00	134 50	0 00
	15 Mar 10	0 00	0 00	116 53	116 53	0 00	0 00	116 53	0 00
	31 Mar 10	0 00	0 00	122 25	122 25	0 00	0 00	122 25	0 00
	30 Apr 10	0 00	0 00	81 33	81 33	0 00	0 00	81 33	0 00
	30 Apr 10	0 00	0 00	1,757 34	1,757 34	0 00	0 00	1,757 34	0 00
	30 Apr 10	0 00	0 00	3 52	3 52	0 00	0 00	3 52	0 00
	30 Apr 10	0 00	0 00	237 66	237 66	0 00	0 00	237 66	0 00
	14 May 10	0 00	0 00	109 47	109 47	0 00	0 00	109 47	0 00
	14 May 10	0 00	0 00	1 77	1 77	0 00	0 00	1 77	0 00
	28 May 10	0 00	0 00	119 08	119 08	0 00	0 00	119 08	0 00
	15 Jun 10	0 00	0 00	106 16	106 16	0 00	0 00	106 16	0 00
	30 Jun 10	0 00	0 00	99 18	99 18	0 00	0 00	99 18	0 00
	15 Jul 10	0 00	0 00	97 14	97 14	0 00	0 00	97 14	0 00
	30 Jul 10	0 00	0 00	96 39	96 39	0 00	0 00	96 39	0 00
	13 Aug 10	0 00	0 00	83 01	83 01	0 00	0 00	83 01	0 00
	31 Aug 10	0 00	0 00	115 80	115 80	0 00	0 00	115 80	0 00
	15 Sep 10	0 00	0 00	98 85	98 85	0 00	0 00	98 85	0 00
	30 Sep 10	0 00	0 00	96 13	96 13	0 00	0 00	96 13	0 00
	15 Oct 10	0 00	0 00	96 45	96 45	0 00	0 00	96 45	0 00
	29 Oct 10	0 00	0 00	95 21	95 21	0 00	0 00	95 21	0 00
	15 Nov 10	0 00	0 00	1 53	1 53	0 00	0 00	1 53	0 00
	15 Nov 10	0 00	0 00	91 71	91 71	0 00	0 00	91 71	0 00
	30 Nov 10	0 00	0 00	84 40	84 40	0 00	0 00	84 40	0 00
	15 Dec 10	0 00	0 00	89 72	89 72	0 00	0 00	89 72	0 00
	31 Dec 10	0 00	0 00	99 99	99 99	0 00	0 00	99 99	0 00
	31 Jan 11	0 00	0 00	214 25	214 25	0 00	0 00	214 25	0 00

◆

Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	 Long Term	 Translation	 Total Short Term	 Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 Feb 11	0 00	0 00	120 12	120 12	0 00	0 00	120 12	0 00
	28 Feb 11	0 00	0 00	112 01	112 01	0 00	0 00	112 01	0 00
	15 Mar 11	0 00	0 00	120 85	120 85	0 00	0 00	120 85	0 00
	31 Mar 11	0 00	0 00	111 27	111 27	0 00	0 00	111 27	0 00
	29 Apr 11	0 00	0 00	216 35	216 35	0 00	0 00	216 35	0 00
	13 May 11	0 00	0 00	93 78	93 78	0 00	0 00	93 78	0 00
	31 May 11	0 00	0 00	122 18	122 18	0 00	0 00	122 18	0 00
	15 Jun 11	0 00	0 00	105 07	105 07	0 00	0 00	105 07	0 00
	30 Jun 11	0 00	0 00	101 00	101 00	0 00	0 00	101 00	0 00
	15 Jul 11	0 00	0 00	101 92	101 92	0 00	0 00	101 92	0 00
	29 Jul 11	0 00	0 00	101 16	101 16	0 00	0 00	101 16	0 00
	15 Aug 11	0 00	0 00	98 39	98 39	0 00	0 00	98 39	0 00
	31 Aug 11	0 00	0 00	99 54	99 54	0 00	0 00	99 54	0 00
	15 Sep 11	0 00	0 00	98 95	98 95	0 00	0 00	98 95	0 00
	30 Sep 11	0 00	0 00	93 56	93 56	0 00	0 00	93 56	0 00
	14 Oct 11	0 00	0 00	83 65	83 65	0 00	0 00	83 65	0 00
	31 Oct 11	0 00	0 00	96 41	96 41	0 00	0 00	96 41	0 00
	15 Nov 11	0 00	0 00	90 89	90 89	0 00	0 00	90 89	0 00
	30 Nov 11	0 00	0 00	92 38	92 38	0 00	0 00	92 38	0 00
	15 Dec 11	0 00	0 00	92 38	92 38	0 00	0 00	92 38	0 00
	30 Dec 11	0 00	0 00	92 42	92 42	0 00	0 00	92 42	0 00
	31 Jan 12	0 00	0 00	178 85	178 85	0 00	0 00	178 85	0 00
	15 Feb 12	0 00	0 00	86 49	86 49	0 00	0 00	86 49	0 00
	29 Feb 12	0 00	0 00	80 79	80 79	0 00	0 00	80 79	0 00
	15 Mar 12	0 00	0 00	83 06	83 06	0 00	0 00	83 06	0 00
	30 Mar 12	0 00	0 00	83 50	83 50	0 00	0 00	83 50	0 00
	30 Apr 12	0 00	0 00	159 44	159 44	0 00	0 00	159 44	0 00
	15 May 12	0 00	0 00	77 08	77 08	0 00	0 00	77 08	0 00
	31 May 12	0 00	0 00	75 38	75 38	0 00	0 00	75 38	0 00

◆ Realized Gain/Loss Detail - Taxable

Trade Date	Security Description				Realized gain/loss				
					Market			Total	
Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	15 Jun 12	0 00	0 00	77 52	77 52	0 00	0 00	77 52	0 00
	29 Jun 12	0 00	0 00	81 85	81 85	0 00	0 00	81 85	0 00
	13 Jul 12	0 00	0 00	70 33	70 33	0 00	0 00	70 33	0 00
	31 Jul 12	0 00	0 00	85 85	85 85	0 00	0 00	85 85	0 00
	15 Aug 12	0 00	0 00	74 92	74 92	0 00	0 00	74 92	0 00
	31 Aug 12	0 00	0 00	74 51	74 51	0 00	0 00	74 51	0 00
	14 Sep 12	0 00	0 00	68 15	68 15	0 00	0 00	68 15	0 00
	28 Sep 12	0 00	0 00	75 21	75 21	0 00	0 00	75 21	0 00
	15 Oct 12	0 00	0 00	67 72	67 72	0 00	0 00	67 72	0 00
29 Jun 12 11 Jul 12	CF CAP GUARDIAN US INVT GRAD FIF TXT FD CUSIP 6852311M4	0 00	0 00	24,428 88	0 00	24,428 88	0 00	0 00	24,428 88
	15 May 09	0 00	0 00	15,145 23	0 00	15,145 23	0 00	0 00	15,145 23
	15 May 09	0 00	0 00	442 39	0 00	442 39	0 00	0 00	442 39
	29 May 09	0 00	0 00	3,391 71	0 00	3,391 71	0 00	0 00	3,391 71
	29 May 09	0 00	0 00	27 46	0 00	27 46	0 00	0 00	27 46
	29 May 09	0 00	0 00	1,854 29	0 00	1,854 29	0 00	0 00	1,854 29
	15 Jun 09	0 00	0 00	110 65	0 00	110 65	0 00	0 00	110 65
	15 Jun 09	0 00	0 00	647 22	0 00	647 22	0 00	0 00	647 22
	15 Jun 09	0 00	0 00	41 90	0 00	41 90	0 00	0 00	41 90
	30 Jun 09	0 00	0 00	40 74	0 00	40 74	0 00	0 00	40 74
	30 Jun 09	0 00	0 00	46 33	0 00	46 33	0 00	0 00	46 33
	15 Jul 09	0 00	0 00	38 47	0 00	38 47	0 00	0 00	38 47
	31 Jul 09	0 00	0 00	37 84	0 00	37 84	0 00	0 00	37 84
	14 Aug 09	0 00	0 00	34 61	0 00	34 61	0 00	0 00	34 61
	31 Aug 09	0 00	0 00	40 19	0 00	40 19	0 00	0 00	40 19
	15 Sep 09	0 00	0 00	36 71	0 00	36 71	0 00	0 00	36 71
	30 Sep 09	0 00	0 00	35 95	0 00	35 95	0 00	0 00	35 95
	15 Oct 09	0 00	0 00	35 43	0 00	35 43	0 00	0 00	35 43
	30 Oct 09	0 00	0 00	34 68	0 00	34 68	0 00	0 00	34 68

◆ Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	 Long Term	 Translation	 Total Short Term	 Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	13 Nov 09	0 00	0 00	31 14	0 00	31 14	0 00	0 00	31 14
	30 Nov 09	0 00	0 00	38 20	0 00	38 20	0 00	0 00	38 20
	15 Dec 09	0 00	0 00	34 10	0 00	34 10	0 00	0 00	34 10
	31 Dec 09	0 00	0 00	32 99	0 00	32 99	0 00	0 00	32 99
	15 Jan 10	0 00	0 00	32 19	0 00	32 19	0 00	0 00	32 19
	29 Jan 10	0 00	0 00	32 24	0 00	32 24	0 00	0 00	32 24
	12 Feb 10	0 00	0 00	26 29	0 00	26 29	0 00	0 00	26 29
	26 Feb 10	0 00	0 00	38 85	0 00	38 85	0 00	0 00	38 85
	15 Mar 10	0 00	0 00	33 65	0 00	33 65	0 00	0 00	33 65
	31 Mar 10	0 00	0 00	35 31	0 00	35 31	0 00	0 00	35 31
	30 Apr 10	0 00	0 00	507 61	0 00	507 61	0 00	0 00	507 61
	30 Apr 10	0 00	0 00	68 65	0 00	68 65	0 00	0 00	68 65
	30 Apr 10	0 00	0 00	1 01	0 00	1 01	0 00	0 00	1 01
	30 Apr 10	0 00	0 00	23 50	0 00	23 50	0 00	0 00	23 50
	14 May 10	0 00	0 00	0 51	0 00	0 51	0 00	0 00	0 51
	14 May 10	0 00	0 00	31 62	0 00	31 62	0 00	0 00	31 62
	28 May 10	0 00	0 00	34 39	0 00	34 39	0 00	0 00	34 39
	15 Jun 10	0 00	0 00	30 66	0 00	30 66	0 00	0 00	30 66
	30 Jun 10	0 00	0 00	28 65	0 00	28 65	0 00	0 00	28 65
	15 Jul 10	0 00	0 00	28 05	0 00	28 05	0 00	0 00	28 05
	30 Jul 10	0 00	0 00	27 84	0 00	27 84	0 00	0 00	27 84
	13 Aug 10	0 00	0 00	23 98	0 00	23 98	0 00	0 00	23 98
	31 Aug 10	0 00	0 00	33 45	0 00	33 45	0 00	0 00	33 45
	15 Sep 10	0 00	0 00	28 55	0 00	28 55	0 00	0 00	28 55
	30 Sep 10	0 00	0 00	27 76	0 00	27 76	0 00	0 00	27 76
	15 Oct 10	0 00	0 00	27 86	0 00	27 86	0 00	0 00	27 86
	29 Oct 10	0 00	0 00	27 51	0 00	27 51	0 00	0 00	27 51
	15 Nov 10	0 00	0 00	0 44	0 00	0 44	0 00	0 00	0 44
	15 Nov 10	0 00	0 00	26 49	0 00	26 49	0 00	0 00	26 49

◆

Realized Gain/Loss Detail - Taxable

Trade Date	Security Description				Realized gain/loss				
					Market			Total	
Settle Date	Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term

Other Gain/Loss

Fixed income

Funds - corporate bond

United States

	Acquisition Date	Shares/Par	Proceeds	Cost	Market Short Term	Market Long Term	Translation	Total Short Term	Total Long Term
	30 Nov 10	0 00	0 00	24 38	0 00	24 38	0 00	0 00	24 38
	15 Dec 10	0 00	0 00	25 92	0 00	25 92	0 00	0 00	25 92
	31 Dec 10	0 00	0 00	28 89	0 00	28 89	0 00	0 00	28 89
	31 Jan 11	0 00	0 00	61 88	0 00	61 88	0 00	0 00	61 88
	15 Feb 11	0 00	0 00	34 70	0 00	34 70	0 00	0 00	34 70
	28 Feb 11	0 00	0 00	32 35	0 00	32 35	0 00	0 00	32 35
	15 Mar 11	0 00	0 00	34 91	0 00	34 91	0 00	0 00	34 91
	31 Mar 11	0 00	0 00	32 14	0 00	32 14	0 00	0 00	32 14
	29 Apr 11	0 00	0 00	62 50	0 00	62 50	0 00	0 00	62 50
	13 May 11	0 00	0 00	27 09	0 00	27 09	0 00	0 00	27 09
	31 May 11	0 00	0 00	35 29	0 00	35 29	0 00	0 00	35 29
	15 Jun 11	0 00	0 00	30 35	0 00	30 35	0 00	0 00	30 35
	30 Jun 11	0 00	0 00	29 18	0 00	29 18	0 00	0 00	29 18
	15 Jul 11	0 00	0 00	29 44	0 00	29 44	0 00	0 00	29 44
	29 Jul 11	0 00	0 00	29 22	0 00	29 22	0 00	0 00	29 22
	15 Aug 11	0 00	0 00	28 42	0 00	28 42	0 00	0 00	28 42
	31 Aug 11	0 00	0 00	28 75	0 00	28 75	0 00	0 00	28 75
	15 Sep 11	0 00	0 00	28 58	0 00	28 58	0 00	0 00	28 58
	30 Sep 11	0 00	0 00	27 02	0 00	27 02	0 00	0 00	27 02
	14 Oct 11	0 00	0 00	24 17	0 00	24 17	0 00	0 00	24 17
	31 Oct 11	0 00	0 00	27 85	0 00	27 85	0 00	0 00	27 85
	15 Nov 11	0 00	0 00	26 26	0 00	26 26	0 00	0 00	26 26
	30 Nov 11	0 00	0 00	26 69	0 00	26 69	0 00	0 00	26 69
	15 Dec 11	0 00	0 00	26 69	0 00	26 69	0 00	0 00	26 69
	30 Dec 11	0 00	0 00	26 69	0 00	26 69	0 00	0 00	26 69
	31 Jan 12	0 00	0 00	51 66	0 00	51 66	0 00	0 00	51 66
	15 Feb 12	0 00	0 00	24 98	0 00	24 98	0 00	0 00	24 98
	29 Feb 12	0 00	0 00	23 34	0 00	23 34	0 00	0 00	23 34
	15 Mar 12	0 00	0 00	24 00	0 00	24 00	0 00	0 00	24 00

◆ Realized Gain/Loss Detail - Taxable

					Realized gain/loss				
					Market			Total	
Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Other Gain/Loss									
Fixed income									
Funds - corporate bond									
United States									
	30 Mar 12	0 00	0 00	24 12	0 00	24 12	0 00	0 00	24 12
	30 Apr 12	0 00	0 00	46 05	0 00	46 05	0 00	0 00	46 05
	15 May 12	0 00	0 00	22 27	0 00	22 27	0 00	0 00	22 27
	31 May 12	0 00	0 00	21 78	0 00	21 78	0 00	0 00	21 78
	15 Jun 12	0 00	0 00	22 39	0 00	22 39	0 00	0 00	22 39
	29 Jun 12	0 00	0 00	23 64	0 00	23 64	0 00	0 00	23 64
Total United States			0.00	996,199.42	521,565.97	474,633.45	0.00	521,565.97	474,633.45
Total S/T translation gain/loss for fixed income							0.00		
Total L/T translation gain/loss for fixed income							0.00		
Total realized gains for fixed income					521,565.97	474,633.45	0.00	521,565.97	474,633.45
Total realized losses for fixed income					0.00	0.00	0.00	0.00	0.00
Total Fixed Income			0.00	996,199.42	521,565.97	474,633.45	0.00	521,565.97	474,633.45
Total Other Gain/Loss			0.00	996,199.42	521,565.97	474,633.45	0.00	521,565.97	474,633.45
Capital Changes									
Equities									
Common stock									
United Kingdom									
16 Jul 12 16 Jul 12	#REORG/ROYAL DUTCH PETE CASH MERGER EFF 12/21/05 CUSIP 780257804	0 00	47 11	0 00	0 00	47 11	0 00	0 00	47 11
Total United Kingdom			47.11	0.00	0.00	47.11	0.00	0.00	47.11
United States									

◆ Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	 Market Long Term	 Translation	 Total Short Term	 Total Long Term
Capital Changes									
Equities									
Common stock									
United States									
17 May 12 17 May 12	#REORG ADELPHIA COMMUNICATIONS CORP CL ABANKRUPTCY DIST TO 2018584 EFF 2-13-07 CUSIP 006848105	0 00	10 09	0 00	0 00	10 09	0 00	0 00	10 09
17 May 12 17 May 12	#REORG ADELPHIA COMMUNICATIONS CORP CL ABANKRUPTCY DIST TO 2018584 EFF 2-13-07 CUSIP 006848105	0 00	10 09	0 00	0 00	10 09	0 00	0 00	10 09
15 May 12 16 May 12	#REORG ADELPHIA COMMUNICATIONS CORP CL ABANKRUPTCY DIST TO 2018584 EFF 2-13-07 CUSIP 006848105	0 00	9,102 93	0 00	0 00	9,102 93	0 00	0 00	9,102 93
20 Apr 12 20 Apr 12	#REORG TIME WARNER STK MERGER TO AOL TIME WARNER INC 2157776 @1 5 1/11/01 CUSIP 887315109	0 00	189 82	0 00	0 00	189 82	0 00	0 00	189 82
28 Dec 12 28 Dec 12	#REORG/COUNTRYWIDE FINL STK MERGER TO BKOF AMER CORP 2028343 EFF 7/1/08 CUSIP 222372104	0 00	2,106 76	0 00	0 00	2,106 76	0 00	0 00	2,106 76
15 May 12 15 May 12	#REORG/QWEST STOCK MERGER CENTURYLINK INC COM 2117900 4-1-2011 CUSIP 749121109	0 00	48 66	0 00	0 00	48 66	0 00	0 00	48 66
25 May 12 25 May 12	#REORG/TYCO INTL LTD REV SPLIT TO TYCO INTL LTD 2033035 EFF 6/29/07 CUSIP 902124106	0 00	102 53	0 00	0 00	102 53	0 00	0 00	102 53
15 Oct 12 15 Oct 12	#REORG/VERITAS SOFTWARE STK MERGER TO SYMANTEC SEC # 2851423 EFF 7/1/05 CUSIP 923436109	0 00	953 83	0 00	0 00	953 83	0 00	0 00	953 83
22 Oct 12 22 Oct 12	TIME WARNER INC COM CUSIP 887315109	0 00	75 23	0 00	0 00	75 23	0 00	0 00	75 23

◆ Realized Gain/Loss Detail - Taxable

					Realized gain/loss				
					Market			Total	
Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Capital Changes									
Equities									
Common stock									
United States									
06 Dec 12 06 Dec 12	U S CL ACTIONS (DELISTED/VAR CUSIPS) CUSIP 181994104	0 00	1,010 23	0 00	0 00	1,010 23	0 00	0 00	1,010 23
14 Nov 12 14 Nov 12	XEROX CORP COM CUSIP 984121103	0 00	14 26	0 00	0 00	14 26	0 00	0 00	14 26
Total United States			**13,624.43**	**0.00**	**0.00**	**13,624.43**	**0.00**	**0.00**	**13,624.43**
Total S/T translation gain/loss for equities							**0.00**		
Total L/T translation gain/loss for equities							**0.00**		
Total realized gains for equities					**0.00**	**13,671.54**	**0.00**	**0.00**	**13,671.54**
Total realized losses for equities					**0.00**	**0.00**	**0 00**	**0.00**	**0.00**
Total Equities			**13,671.54**	**0.00**	**0.00**	**13,671.54**	**0.00**	**0.00**	**13,671.54**
Total Capital Changes			**13,671.54**	**0 00**	**0.00**	**13,671.54**	**0.00**	**0.00**	**13,671.54**

Portfolio Statement

01 Jan 12 - 31 Dec 12

◆ Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	 Long Term	 Translation	 Total Short Term	 Long Term
Total Short Term Gain Proceeds			0.00						
Total Short Term Loss Proceeds			0.00						
Total Long Term Gain Proceeds			5,000,000 00						
Total Long Term Loss Proceeds			0.00						
Total Undetermined Tax Lot Proceeds			13,671.54						
Additional Short Term Proceeds from Zero G/L Transactions			0.00						
Additional Long Term Proceeds from Zero G/L Transactions			0.00						
Short Term Proceeds due to REIT Re-Allocation			0.00						
Long Term Proceeds due to REIT Re-Allocation			0.00						
Total Short Term Proceeds			0.00						
Total Long Term Proceeds			5,000,000.00						
Total S/T translation gain/loss							0.00		
Total L/T translation gain/loss							0 00		
Total realized gains					521,565.97	607,637.27	0.00	521,565.97	607,637.27
Total realized losses					0.00	0.00	0.00	0.00	0.00
Total realized gain/loss			5,013,671.54	-3,884,468.30	521,565.97	607,637.27	0.00	521,565.97 ①	607,637.27 ①

Σ① = 1,129,203.24

STMT D-1

Please note that this report has been prepared using best available data This report may also contain information provided by third parties, derived by third parties or derived from third party data and/or data that may have been categorized or otherwise reported based upon client direction - Northern Trust assumes no responsibility for the accuracy, timeliness or completeness of any such information Northern Trust assumes no responsibility for the consequences of investment decisions made in reliance on information contained in this report If you have questions regarding third party data or direction as it relates to this report, please contact your Northern Trust relationship team

IRS CIRCULAR 230 NOTICE To the extent that this message or any attachment concerns tax matters, it is not intended to be used and cannot be used by a taxpayer for the purpose of avoiding penalties that may be imposed by law For more information about this notice, see http //www northerntrust.com/circular230

Northern Trust records impairment entries pursuant to client direction Impairment entries recorded to satisfy financial accounting and reporting requirements may not be suitable for tax or for other regulatory reporting purposes Determining appropriate impairment adjustments is the responsibility of the client

Portfolio Statement

01 Jan 12 - 31 Dec 12

◆

Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	Realized gain/loss Market Long Term	Realized gain/loss Translation	Realized gain/loss Total Short Term	Realized gain/loss Total Long Term
Sales									
Equities									
Funds - common stock									
International Region									
27 Jan 12 30 Jan 12	MFO HARRIS ASSOCIATED INVESTMENT TRUST OAKMARK INTL FD CL I CUSIP 413838202	-138,274 34	2,500,000 00	-3 679 480 20	0 00	-1,179,480 20	0 00	0 00	-1,179,480 20
	22 Sep 06	-138,274 34	2,500,000 00	-3,679,480 20	0 00	-1,179,480 20	0 00	0 00	-1,179,480 20
27 Jan 12 30 Jan 12	MFO LONGLEAF PARTNERS FDS TR INTL FD CUSIP 543069405	-195,771 34	2,500,000 00	-3,112,764 33	0 00	-612,764 33	0 00	0 00	-612,764 33
	29 Mar 05	-195,771 34	2,500,000 00	-3,112,764 33	0 00	-612,764 33	0 00	0 00	-612,764 33
Total International Region			**5,000,000.00**	**-6,792,244.53**	**0.00**	**-1,792,244.53**	**0.00**	**0.00**	**-1,792,244.53**
Total S/T translation gain/loss for equities							**0.00**		
Total L/T translation gain/loss for equities							**0.00**		
Total realized gains for equities					**0.00**	**0.00**	**0.00**	**0.00**	**0.00**
Total realized losses for equities					**0.00**	**-1,792,244.53**	**0.00**	**0.00**	**-1,792,244.53**
Total Equities			**5,000,000 00**	**-6,792,244.53**	**0.00**	**-1,792,244.53**	**0.00**	**0.00**	**-1,792,244.53**
Total Sales			**5,000,000.00**	**-6,792,244.53**	**0.00**	**-1,792,244.53**	**0.00**	**0.00**	**-1,792,244.53**
Other Gain/Loss									
Equities									
Funds - common stock									
Emerging Markets Region									
21 Dec 12 27 Dec 12	MFO CAP INTL EMERGING MKTS GROWTH FD INC15 CUSIP 290886100	0 00	21,604 94	0 00	0 00	21,604 94	0 00	0 00	21,604 94
Total Emerging Markets Region			**21,604.94**	**0.00**	**0.00**	**21,604.94**	**0.00**	**0.00**	**21,604.94**
International Region									

STheT D-2

Portfolio Statement

01 Jan 12 - 31 Dec 12

Account number 2674278

M & P HAAS FUND - PART. INVT.

◆ Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	 Long Term	 Translation	 Total Short Term	 Long Term
Other Gain/Loss									
Equities									
Funds - common stock									
International Region									
08 Nov 12 09 Nov 12	MFO LONGLEAF PARTNERS FDS TR INTL FD CUSIP 543069405	0 00	58,337 30	0 00	0 00	58,337 30	0 00	0 00	58,337 30
Total International Region			**58,337.30**	**0.00**	**0 00**	**58,337.30**	**0.00**	**0.00**	**58,337.30**
Total S/T translation gain/loss for equities							**0.00**		
Total L/T translation gain/loss for equities							**0.00**		
Total realized gains for equities					**0.00**	**79,942.24**	**0.00**	**0.00**	**79,942.24**
Total realized losses for equities					**0.00**	**0.00**	**0.00**	**0 00**	**0.00**
Total Equities			**79,942.24**	**0.00**	**0.00**	**79,942.24**	**0.00**	**0.00**	**79,942.24**
Total Other Gain/Loss			**79,942.24**	**0.00**	**0.00**	**79,942.24**	**0.00**	**0.00**	**79,942.24**
Capital Changes									
Equities									
Common stock									
United States									
20 Apr 12 20 Apr 12	#REORG TIME WARNER STK MERGER TO AOL TIME WARNER INC 2157776 @1 5 1/11/01 CUSIP 887315109	0 00	85 16	0 00	0 00	85 16	0 00	0 00	85 16
15 Oct 12 15 Oct 12	#REORG/VERITAS SOFTWARE STK MERGER TO SYMANTEC SEC # 2851423 EFF 7/1/05 CUSIP 923436109	0 00	318 17	0 00	0 00	318 17	0 00	0 00	318 17
02 Nov 12 02 Nov 12	MICRON TECH INC COM CUSIP 595112103	0 00	5,410 62	0 00	0 00	5,410 62	0 00	0 00	5,410 62
22 Oct 12 22 Oct 12	TIME WARNER INC COM CUSIP 887315109	0 00	31 29	0 00	0 00	31 29	0 00	0 00	31 29

Portfolio Statement

01 Jan 12 - 31 Dec 12

Account number 2674278

M & P HAAS FUND - PART. INVT.

◆

Realized Gain/Loss Detail - Taxable

Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Realized gain/loss Market Short Term	 Long Term	 Translation	 Total Short Term	 Long Term
Capital Changes									
Equities									
Common stock									
United States									
06 Dec 12 06 Dec 12	U S CL ACTIONS (DELISTED/VAR CUSIPS) CUSIP 181994104	0 00	343 09	0 00	0 00	343 09	0 00	0 00	343 09
Total United States			**6,188.33**	**0.00**	**0.00**	**6,188.33**	**0.00**	**0.00**	**6,188.33**
Total S/T translation gain/loss for equities							**0.00**		
Total L/T translation gain/loss for equities							**0.00**		
Total realized gains for equities					**0.00**	**6,188.33**	**0.00**	**0.00**	**6,188.33**
Total realized losses for equities					**0.00**	**0.00**	**0.00**	**0.00**	**0.00**
Total Equities			**6,188.33**	**0 00**	**0.00**	**6,188.33**	**0.00**	**0.00**	**6,188.33**
Total Capital Changes			**6,188.33**	**0.00**	**0.00**	**6,188.33**	**0.00**	**0.00**	**6,188.33**

STMT D-2

Portfolio Statement

01 Jan 12 - 31 Dec 12

Account number 2674278

M & P HAAS FUND - PART. INVT.

◆ Realized Gain/Loss Detail - Taxable

					Realized gain/loss				
					Market			Total	
Trade Date Settle Date	Security Description Acquisition Date	Shares/Par	Proceeds	Cost	Short Term	Long Term	Translation	Short Term	Long Term
Total Short Term Gain Proceeds			0.00						
Total Short Term Loss Proceeds			0.00						
Total Long Term Gain Proceeds			0.00						
Total Long Term Loss Proceeds			5,000,000.00						
Total Undetermined Tax Lot Proceeds			86,130.57						
Additional Short Term Proceeds from Zero G/L Transactions			0 00						
Additional Long Term Proceeds from Zero G/L Transactions			0.00						
Short Term Proceeds due to REIT Re-Allocation			0.00						
Long Term Proceeds due to REIT Re-Allocation			0.00						
Total Short Term Proceeds			0.00						
Total Long Term Proceeds			5,000,000 00						
Total S/T translation gain/loss							0.00		
Total L/T translation gain/loss							0.00		
Total realized gains					0.00	86,130.57	0.00	0.00	86,130.57
Total realized losses					0.00	-1,792,244.53	0.00	0.00	-1,792,244.53
Total realized gain/loss			5,086,130.57	-6,792,244.53	0.00	-1,706,113.96	0.00	0.00	-1,706,113.96

STMT D-3

Gurtin Fixed Income

REALIZED GAINS AND LOSSES BY SECURITY TYPE

MIMI AND PETER HAAS FUND

Charles Schwab 20905807

vs905807

From 01-01-12 Through 12-31-12

Open Date	Close Date	Quantity	CUSIP	Security	Original Cost	YTD Amort. or Accretion	Total Amort. or Accretion	Adjusted Cost Basis	Proceeds	Gain Or Loss	
										Short Term	Long Term
SHORT TERM REALIZED GAINS OR LOSS											
TREASURY BILLS											
01-04-12	03-01-12	700,000	9127953g4	TREASURY BILL NONE 0.000% Due 03-08-12	699,993.26	6 11	6.11	699,999 37	699,995.78	(3 59)	
02-02-12	03-28-12	1,000,000	9127953h2	TREASURY BILL NONE 0.000% Due 04-05-12	999,913 89	76.54	76 54	999,990 43	999,997 78	7.35	
03-01-12	04-30-12	600,000	9127953n9	TREASURY BILL NONE 0 000% Due 05-03-12	599,935 42	62 53	62 53	599,997 95	599,996 95	(1 00)	
03-09-12	04-30-12	300,000	9127953n9	TREASURY BILL NONE 0 000% Due 05-03-12	299,977 03	22.13	22 13	299,999 16	299,998 47	(0 69)	
					899,912 45	84 66	84 66	899,997 11	899,995 42	(1 69)	0 00
07-05-12	07-30-12	4,100,000	9127955y3	TREASURY BILL NONE 0 000% Due 08-02-12	4,099,806 28	179.88	179.88	4,099,986 16	4,099,988 04	1 88	
05-08-12	07-30-12	4,000,000	9127956a4	TREASURY BILL NONE 0 000% Due 08-09-12	3,999,115 78	798 64	798 64	3,999,914 42	3,999,957.22	42 80	
05-17-12	07-30-12	1,400,000	9127956b2	TREASURY BILL NONE 0 000% Due 08-16-12	1,399,685 00	259 61	259 61	1,399,944 61	1,399,982 81	38.20	
09-21-12	12-17-12	2,000,000	9127956x4	TREASURY BILL NONE 0 000% Due 12-20-12	1,999,514 25	474 95	474.95	1,999,989.20	1,999,995 00	5 80	
09-24-12	12-17-12	900,000	9127956x4	TREASURY BILL NONE 0 000% Due 12-20-12	899,784 68	210 37	210 37	899,995 05	899,997 75	2.70	
					2,899,298 93	685 32	685 32	2,899,984.25	2,899,992.75	8 50	0.00
11-15-12	12-17-12	1,100,000	9127956y2	TREASURY BILL NONE 0 000% Due 12-27-12	1,099,820.34	141 16	141 16	1,099,961 50	1,099,993 13	31 63	

Gurtin Fixed Income

REALIZED GAINS AND LOSSES BY SECURITY TYPE

MIMI AND PETER HAAS FUND

Charles Schwab 20905807

vs905807

From 01-01-12 Through 12-31-12

Open Date	Close Date	Quantity	CUSIP	Security	Original Cost	YTD Amort. or Accretion	Total Amort. or Accretion	Adjusted Cost Basis	Proceeds	Gain Or Loss	
										Short Term	Long Term
12-20-11	02-08-12	600,000	912795z46	TREASURY BILL NONE 0 000% Due 03-01-12	599,998.82	0 64	0 84	599,999 65	599,986 44	(13.21)	
TREASURY BILLS Total					16,697,544 75	2,232.57	2,232.77	16,699,777.52	16,699,889 37	111.85	0 00
SHORT TERM REALIZED GAINS										130.35	0.00
SHORT TERM REALIZED LOSSES										(18.50)	0.00
SHORT TERM REALIZED GAINS OR LOSS Total					16,697,544.75	2,232.57	2,232.77	16,699,777.52	16,699,889.37	111.85	0.00
TOTAL GAINS										130 35	0 00
TOTAL LOSSES										(18 50)	0 00
					16,697,544.75	2,232.57	2,232.77	16,699,777.52	16,699,889.37	111.85	0.00
TOTAL REALIZED GAIN/LOSS 111.85											

This document has been prepared for informational purposes only and is not an official account statement. To the extent there are any discrepancies between your custodial statement and this document, the terms on your custodial statement will control The information in this report has been calculated from a third party software and its formulas were not developed by Gurtin Fixed Income Management, LLC ("GFI") As such, all calculations included in this report constitute our best judgment as of this date and upon the information you have provided us and may be subject to change GFI is not responsible for any clerical, computational or other errors It is your responsibility to do your own evaluation and check the calculations to determine the accuracy of the information contained in this report. We recommend that you contact your tax advisor to determine the appropriate information to be used in the preparation of your tax return. The gain and loss information contained in this report is not a substitute 1099 form (or any other appropriate tax form) and should not be filed with your taxes. GFI does not render advice on tax and tax accounting matters to clients This material was not intended or written to be used, and it cannot be used by any taxpayer, for the purposes of avoiding penalties that may be imposed on the taxpayer under U S federal tax laws

ST NET D-3

2012 SUMMARY

As of Date: 2/08/13

WELLS FARGO ADVISORS

MIMI AND PETER HAAS FUND
201 FILBERT ST 5/F
SAN FRANCISCO CA 94133-3237

Your Financial Advisor :
GONZALEZ/O'REGAN
301 UNIVERSITY AVE. STE 300
PALO ALTO, CA 94301
(650) 752-0407

Account Number: 2009-5273

STMT D-4

Year-End Account Summary

This Information Is NOT provided to the Internal Revenue Service, but Is being provided to you for courtesy purposes. Please consult with your Financial Advisor or Tax Advisor regarding specific questions.

For non covered transactions, we provide supplemental cost basis information, when it is available, to assist you with completing your tax return, however, THIS INFORMATION IS NOT PROVIDED TO THE INTERNAL REVENUE SERVICE.

LONG TERM GAINS OR LOSSES (Box 1c) FOR NONCOVERED SECURITIES (Box 6)

Proceeds from Broker and Barter Exchange Transactions for 2012

SUPPLEMENTAL INFORMATION

Description (Box 8) Stock or Other Symbol (Box 1d)	Quantity Sold (Box 1e)	Unit Price	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Sales Price (Box 2a)*	Cost or Other Basis (Box 3)	Gain or Loss Amount	Transaction Description
NUANCE COMMUNICATIONS INC CUSIP 67020Y100	2,760.0000	25.45400	09/15/05	02/23/12	70,108.78	11,702.40	58,406.38	SALE
TARGA RESOURCES CORP CUSIP 87612G101	230.0000	44.35000	04/02/04	03/06/12	10,095.32	1,835.40	8,259.92	SALE
	300.0000	46.17840	04/02/04	05/14/12	13,743.21	2,394.00	11,349.21	SALE
	260.0000	42.74390	04/02/04	08/15/12	11,008.16	2,074.80	8,933.36	SALE
	260.0000	46.95200	04/02/04	11/13/12	12,102.25	2,074.80	10,027.45	SALE
Subtotal	**1,050.0000**				**46,948.94**	**8,379.00**	**38,569.94**	
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES					117,057.72	20,081.40	96,976.32	

*Box 2a Sales price less commissions and option premiums

WELLS FARGO ADVISORS

2012 SUMMARY

Account Number: 2009-5273
MIMI AND PETER HAAS FUND

Realized Gain/Loss

Realized Gain/Loss Detail

LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES

Description	Share Quantity	Adjusted Price/ Original Price	Open Date/ Acquisition Date	Date of Sale or Exchange	Proceeds	Adjusted Cost/ Original Cost	Gain or Loss Amount
NUANCE COMMUNICATIONS INC CUSIP 67020Y100	2,760.00000	4.2400	09/15/05	02/23/12	70,108.78	11,702.40	58,406.38
TARGA RESOURCES CORP CUSIP 87612G101	230.00000	7.9800	04/02/04	03/06/12	10,095.32	1,835.40	8,259.92
	300.00000	7.9800	04/02/04	05/14/12	13,743.21	2,394.00	11,349.21
	260.00000	7.9800	04/02/04	08/15/12	11,008.16	2,074.80	8,933.36
	260.00000	7.9800	04/02/04	11/13/12	12,102.25	2,074.80	10,027.45
Subtotal	**1,050.00000**				**46,948.94**	**8,379.00**	**38,569.94**
TOTAL LONG TERM GAINS OR LOSSES FOR NONCOVERED SECURITIES					**$117,057.72**	**$20,081.40**	**$96,976.32**

STMT D-4