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**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation****2012**Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012, or tax year beginning , 2012, and ending ,

Name of foundation BEN B. CHENEY FOUNDATION, INC.		A Employer identification number 91-6053760
Number and street (or P.O. box number if mail is not delivered to street address) 3110 RUSTON WAY		B Telephone number (see the instructions) (253) 572-2442
City or town TACOMA	State WA	ZIP code 98402
G Check all that apply: ☐ Initial return ☐ Initial Return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		C If exemption application is pending, check here ☐ D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐ E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 70,841,386.		J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc. received (att sch)	55,664.			
	2 Ck ☐ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	294.	294.		
	4 Dividends and interest from securities	1,473,813.	1,473,813.		
	5a Gross rents	69,684.	69,684.		
	b Net rental income or (loss)	37,035.			
	6a Net gain/(loss) from sale of assets not on line 10	537,903.	L-6a Stmt		
	b Gross sales price for all assets on line 6a	21,242,618.			
	7 Capital gain net income (from Part IV, line 2)		537,903.		
	8 Net short-term capital gain				
	9 Income modifications				
ADMINISTRATIVE AND OPERATING EXPENSES	10a Gross sales less returns and allowances				
	b Less: Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	-31,675.	-31,675.		
	13 Compensation of officers, directors, trustees, etc	177,274.	5,000.		172,274.
	14 Other employee salaries and wages	150,432.			150,432.
	15 Pension plans, employee benefits	95,906.			95,906.
	16a Legal fees (attach schedule)	1,111.			1,111.
	b Accounting fees (attach sch)	77,294.	56,669.		20,625.
	c Other prof fees (attach sch)	493,182.	492,733.		
17 Interest	26,314.			26,314.	
18 Taxes (attach schedule) (see instrs)	232,677.	53,594.		29,728.	
19 Depreciation (attach sch) and depletion	34,229.	15,189.			
20 Occupancy	15,412.			15,412.	
21 Travel, conferences, and meetings	7,952.			7,952.	
22 Printing and publications	1,371.			1,371.	
23 Other expenses (attach schedule)					
24 Total operating and administrative expenses. Add lines 13 through 23	39,835.	11,456.		28,379.	
25 Contributions, gifts, grants paid	1,352,989.	634,641.		549,504.	
26 Total expenses and disbursements. Add lines 24 and 25	2,732,485.			2,732,485.	
27 Subtract line 26 from line 12	4,085,474.	634,641.		3,281,989.	
a Excess of revenue over expenses and disbursements	-1,979,791.				
b Net investment income (if negative, enter -0-)		1,415,378.			
c Adjusted net income (if negative, enter -0-)					

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash – non-interest-bearing	2,049.	2,708.	2,708.
	2 Savings and temporary cash investments	4,235,106.	3,724,411.	3,724,411.
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	100,382.	25,813.	25,813.
	10a Investments – U.S. and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule)	33,347,348.	29,372,705.	32,414,632.
	c Investments – corporate bonds (attach schedule)	17,843,094.	15,400,648.	16,103,030.
	11 Investments – land, buildings, and equipment basis	790,375.		
Less accumulated depreciation (attach schedule) L-11 Stmt	111,513.	693,143.	678,862.	
12 Investments – mortgage loans				
13 Investments – other (attach schedule)	11,245,801.	16,436,358.	16,738,756.	
14 Land, buildings, and equipment basis	975,448.			
Less accumulated depreciation (attach schedule) L-14 Stmt	313,591.	680,897.	661,857.	
15 Other assets (describe L-15 Stmt)	159,219.	14,035.	14,035.	
16 Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I)	68,307,039.	66,317,397.	70,841,386.	
LIABILITIES	17 Accounts payable and accrued expenses	809.	576.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)	437,964.	428,346.	
	22 Other liabilities (describe L-22 Stmt)	4,842.	4,842.	
	23 Total liabilities (add lines 17 through 22)	443,615.	433,764.	
NET ASSETS OR FUND BALANCES	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. X			
	27 Capital stock, trust principal, or current funds	67,863,424.	65,883,633.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	67,863,424.	65,883,633.	
	31 Total liabilities and net assets/fund balances (see instructions)	68,307,039.	66,317,397.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year– Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	67,863,424.
2 Enter amount from Part I, line 27a	2	-1,979,791.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	65,883,633.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)– Part II, column (b), line 30	6	65,883,633.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a (SEE ATTACHED SCHEDULE)		P	Various	Various
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 21,242,618.		20,704,715.	537,903.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			0.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	— [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]	2	537,903.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	— []	3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	3,338,297.	70,243,958.	0.047524
2010	3,271,781.	66,235,821.	0.049396
2009	3,564,375.	58,186,508.	0.061258
2008	3,415,180.	72,198,631.	0.047303
2007	3,536,028.	83,783,593.	0.042204

2 Total of line 1, column (d)	2	0.247685
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.049537
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	67,807,601.
5 Multiply line 4 by line 3	5	3,358,985.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	14,154.
7 Add lines 5 and 6	7	3,373,139.
8 Enter qualifying distributions from Part XII, line 4	8	3,281,989.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1. Date of ruling or determination letter. (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	28,308.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	28,308.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	28,308.
6 Credits/Payments			
a 2012 estimated tax pmts and 2011 overpayment credited to 2012		6 a	40,000.
b Exempt foreign organizations – tax withheld at source		6 b	
c Tax paid with application for extension of time to file (Form 8868)		6 c	0.
d Backup withholding erroneously withheld		6 d	
7 Total credits and payments. Add lines 6a through 6d		7	40,000.
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached		8	274.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	11,418.
11 Enter the amount of line 10 to be Credited to 2013 estimated tax 11,418. Refunded		11	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ (2) On foundation managers ☐ \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>See States Registered In</u>		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11	NO	X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>WWW.BENBCHENEYFOUNDATION.ORG</u>	13	X	
14	The books are in care of <u>C. F. INVESTMENTS, INC.</u> Telephone no. <u>(253) 627-1121</u> Located at <u>3110 RUSTON WAY, SUITE B, TACOMA, WA</u> ZIP + 4 <u>98402-5308</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country <u></u>			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

		Yes	No
1 a During the year did the foundation (either directly or indirectly)			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days	☐ Yes ☒ No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐		1 b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>	☐ Yes ☒ No		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement- see instructions.)		2 b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>			
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No		
b If 'Yes,' did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)		3 b	
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?**5b**

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6b**

If 'Yes' to 6b, file Form 8870

X**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**7b****b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CAROLYN J. CHENEY 4210 HORSEHEAD BAY DR NW GIG HARBOR WA 98335	SEC/TREAS 1.00	5,500.	0.	0.
PIPER CHENEY 240 ISLAND BLVD, FOX FOX ISLAND WA 98333	VICE PRES 1.00	5,500.	0.	0.
ALLAN L. UNDEM 8303 SHIRLEY AVE GIG HARBOR WA 98332	DIRECTOR 1.00	10,500.	0.	0.
See Information about Officers, Directors, Trustees, Etc.		155,774.	41,312.	0.

2 Compensation of five highest-paid employees (other than those included on line 1- see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KENNETH I. RISTINE 1903 DUMAS CIRCLE NE, TACOMA, WA 98422	SENIOR PROGRAM 40.00	101,369.	29,910.	0.

Total number of other employees paid over \$50,000

None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
C. F. INVESTMENTS, INC. 3110 RUSTON WAY, SUITE B TACOMA, WA 98402	ACCOUNTING & TAX PREP	74,944.
THRESHOLD GROUP, LLC P.O. BOX 2358 GIG HARBOR WA 98335	INVESTMENT MANAGEMENT	250,000.
Total number of others receiving over \$50,000 for professional services		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1 a	64,562,720.
b Average of monthly cash balances	1 b	2,331,012.
c Fair market value of all other assets (see instructions)	1 c	1,946,472.
d Total (add lines 1a, b, and c)	1 d	68,840,204.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	68,840,204.
4 Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	1,032,603.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	67,807,601.
6 Minimum investment return. Enter 5% of line 5	6	3,390,380.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	3,390,380.
2 a Tax on investment income for 2012 from Part VI, line 5	2 a	28,308.
b Income tax for 2012 (This does not include the tax from Part VI)	2 b	
c Add lines 2a and 2b	2 c	28,308.
3 Distributable amount before adjustments Subtract line 2c from line 1	3	3,362,072.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	3,362,072.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	3,362,072.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc.— total from Part I, column (d), line 26	1 a	3,281,989.
b Program-related investments— total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	3,281,989.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	3,281,989.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				3,362,072.
2 Undistributed income, if any, as of the end of 2012:				
a Enter amount for 2011 only			2,849,883.	
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2012				
a From 2007	0.			
b From 2008	0.			
c From 2009	0.			
d From 2010	0.			
e From 2011	0.			
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4. $\blacktriangleright$ \$ 3,281,989.				
a Applied to 2011, but not more than line 2a			2,849,883.	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)	0.			
d Applied to 2012 distributable amount				432,106.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount—see instructions			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				2,929,966.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9.				
a Excess from 2008	0.			
b Excess from 2009	0.			
c Excess from 2010	0.			
d Excess from 2011	0.			
e Excess from 2012	0.			

BAA

Form 990-PF (2012)

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling _____

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a 'Assets' alternative test— enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c 'Support' alternative test— enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc. Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

BRADBURY F. CHENEY

3110 RUSTON WAY, SUITE A

TACOMA

WA

98402

(253) 572-2442

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHED

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

WASHINGTON, OREGON, AND NORTHERN CALIFORNIA

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED SCHEDULE	N/A	501 (c) (3)	SEE ATTACHED	2,732,485.
Total				3a 2,732,485.
b Approved for future payment				
CITY OF TACOMA CHENEY STADIUM PROJECT TACOMA WA 98402	N/A	509 (a) (1)	REMODEL CHENEY STADIUM	1,800,000.
Total				3b 1,800,000.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	294.	
4	Dividends and interest from securities			14	1,473,813.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property			16	37,035.	
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	537,903.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a	PORTFOLIO ADVISORS NOL			18	-42,336.	
b	POLARIS CAPITAL K-1			18	5,662.	
c	SOMERSET EMERGING K-1			18	4,920.	
d	TIC WESTWOOD LEASE			18	79.	
e						
12	Subtotal. Add columns (b), (d), and (e)				2,017,370.	
13	Total. Add line 12, columns (b), (d), and (e)				13	2,017,370.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF

OMB No 1545-0047

2012

Name of the organization

BEN B. CHENEY FOUNDATION, INC.

Employer identification number

91-6053760

Organization type (check one)

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)() (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of ~~1~~ ☒ \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use ~~exclusively~~ for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use ~~exclusively~~ for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for ~~exclusively~~ religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year ► \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2, of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule **B** (Form 990, 990-EZ, or 990-PF) (2012)

Name of organization

Employer identification number

BEN B. CHENEY FOUNDATION, INC.

91-6053760

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	BONNIE WHEELER 25905 SW BAKER RD SHERWOOD OR 97140	\$ 27,832.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution)
2	MARY VANBRAGT P.O. BOX 4848 KETCHUM ID 83340	\$ 27,832.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution)

Name of organization

Employer identification number

BEN B. CHENEY FOUNDATION, INC.

91-6053760

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	7.5% INTEREST IN REAL PROPERTY LOCATED IN LASSEN COUNTY, CA AND SHASTA COUNTY, CA; AND 7.5% INTEREST IN CHENEY MINERALS, INC.	\$ 27,832.	01/18/12
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	7.5% INTEREST IN REAL PROPERTY LOCATED IN LASSEN COUNTY, CA AND SHASTA COUNTY, CA; AND 7.5% INTEREST IN CHENEY MINERALS, INC.	\$ 27,832.	01/18/12
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

BAA

Schedule B (Form 990, 990-EZ, or 990-PF) (2012)

Form 990-PF, Page 1, Part I, Line 11

Line 11 Stmt

Other income:	Rev/Exp Book	Net Inv Inc	Adj Net Inc
PORTFOLIO ADVISORS NOL	-42,336.	-42,336.	
POLARIS CAPITAL K-1	5,662.	5,662.	
SOMERSET EMERG K-1	4,920.	4,920.	
TIC WESTWOOD COFFI LEASE	79.	79.	
Total	-31,675.	-31,675.	

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
REAL ESTATE TAXES	20,418.	12,443.		7,975.
FEDERAL EXCISE TAX	149,355.			
FOREIGN TAX ON DIVIDENDS	41,151.	41,151.		
PAYROLL TAXES	21,753.			21,753.
Total	232,677.	53,594.		29,728.

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
INSURANCE	11,730.	2,591.		9,139.
DUES & SUBSCRIPTIONS	1,540.			1,540.
PHONE, INTERNET, CABLE	3,849.	778.		3,071.
OFFICE SUPPLIES, POSTAGE & MISC	3,591.	442.		3,149.
CAM RENTAL SUITE C	5,417.	5,417.		
REPAIRS & MAINTENANCE	2,755.			2,755.
COMPUTER MAINTENANCE	6,530.			6,530.
SUCCESSION PLANNING	2,195.			2,195.
APPRAISAL FEES	2,228.	2,228.		
Total	39,835.	11,456.		28,379.

Form 990-PF, Page 4, Part VII-A, Line 8a

States Registered In

WA - Washington

CA - California

Form 990-PF, Page 6, Part VIII, Line 1

Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person ☒ Business ☐ MATTHEW BECKINGHAM 240 ISLAND BLVD FOX ISLAND WA 98333 Person ☒ Business ☐ BRADBURY F. CHENEY 4210 HORSEHEAD BAY DR NW GIG HARBOR WA 98335	DIRECTOR 1.00 EXEC DIRECTOR 40.00	5,500. 150,274.	0. 41,312.	0. 0.

Total

155,774. 41,312. 0.

Form 990-PF, Page 1, Part I

Line 16a - Legal Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MORTON MCGOLDRICK	CONSULTING	1,111.			1,111.
Total		<u>1,111.</u>			<u>1,111.</u>

Form 990-PF, Page 1, Part I

Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
C. F. INVESTMENTS	TAX, PORTFOLIO, PAYROLL	74,944.	56,669.		18,275.
RSM MCGLADREY	REVIEW TAX RETURN	2,350.			2,350.
Total		<u>77,294.</u>	<u>56,669.</u>		<u>20,625.</u>

Form 990-PF, Page 1, Part I

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
WF ENDOWMENT	INVESTMENT MGT	12,861.	12,861.		
THRESHOLD GROUP	INVESTMENT MGT	250,000.	250,000.		
CORNERSTONE	INVESTMENT MGT	36,211.	36,211.		

Form 990-PF, Page 1, Part I

Continued

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DSM CAPITAL	INVESTMENT MGT	45,110.	45,110.		
HARDING LOEVNER	INVESTMENT MGT	25,363.	25,363.		
RUSSELL SMALL	INVESTMENT MGT	19,416.	19,416.		
STANDISH	INVESTMENT MGT	11,318.	11,318.		
BNY MELLON	CUSTODIAN FEE	14,234.	14,234.		
BNY MELLON	ADR FEES	3,574.	3,574.		
POLARIS K-1	INVESTMENT MGT	40,556.	40,556.		
ENDOWMENT K-1	INVESTMENT MGT	16,211.	16,211.		
SOMERSET K-1	PORTFOLIO 2%	14,235.	13,786.		
PENN CORE K-1	INVESTMENT MGT	14,356.	14,356.		
BNY STNTX	PORTFOLIO 2%	7,907.	7,907.		
WF ENDOWMENT	FEE REBATE	-19,254.	-19,254.		
MISCELLANEOUS	PORTFOLIO 2%	1,084.	1,084.		
Total		<u>493,182.</u>	<u>492,733.</u>		

Form 990-PF, Page 2, Part II, Line 11

L-11 Stmt

Line 11b - Description of Investments Land, Buildings and Equipment	(a) Cost/Other Basis	(b) Accumulated Depreciation	(c) Book Value
SEE ATTACHED SCHEDULE	790,375.	111,513.	678,862.
Total	<u>790,375.</u>	<u>111,513.</u>	<u>678,862.</u>

Form 990-PF, Page 2, Part II, Line 14

L-14 Stmt

Line 14b - Description of Land, Buildings, and Equipment	(a) Cost/Other Basis	(b) Accumulated Depreciation	(c) Book Value
SEE ATTACHED SCHEDULE	975,448.	313,591.	661,857.
Total	<u>975,448.</u>	<u>313,591.</u>	<u>661,857.</u>

Form 990-PF, Page 2, Part II, Line 15

Other Assets Stmt

Line 15 - Other Assets:	Beginning Year Book Value	End of Year Book Value	Fair Market Value
INTEREST/DIVIDENDS RECEIVABLE	70,508.	6,953.	6,953.
ACCRUED INTEREST PURCHASED	25,398.	0.	0.
WASH SALE BASIS ADJUSTS PENDING	63,313.	7,082.	7,082.
Total	<u>159,219.</u>	<u>14,035.</u>	<u>14,035.</u>

Form 990-PF, Page 2, Part II, Line 22

Other Liab Stmt

Line 22 - Other Liabilities:	Beginning Year Book Value	Ending Year Book Value
SUITE C SECURITY DEPOSIT	4,842.	4,842.
Total	<u>4,842.</u>	<u>4,842.</u>

Application Information

The Ben B. Cheney Foundation primarily makes its grants to communities where Mr. Cheney's lumber company operated. These communities include Pierce County, WA; Jackson County, OR; and a number of small communities throughout northernmost California.

The Foundation hopes to be responsive to a wide range of projects in the communities where it works. We hope that our application process helps applicants tell their stories while also ensuring a complete and timely review.

All requests begin with a proposal letter, whether a new organization or a past grantee. Letters should be a maximum of three pages. We discourage attachments. The proposal letter should concisely relate:

- The applicant organization's history, mission and scope of operations.
- A summary of the proposal, including the total budget.
- A request for a specific amount of money.
- A plan for how other support will be raised.
- A statement of how grant money will be used.

Letters must include - either on the letterhead or within the body of the letter - the name of the organization, its mailing address, phone number with area code, and the name and title of the organization's director. The letter should also be signed by either the CEO or a key board member.

The Foundation reviews all proposal letters and responds to each according to the Foundation's interests and priorities. Proposal letters that interest the Foundation will result in a staff contact for an office meeting or a site visit. If the office meeting or site visit confirms the Foundation's original interest in the project, an application will be provided along with guidance on deadlines for submitting the application.

Those letters that do not fit the Foundation's present grant making will usually receive a response within 4 to 6 weeks.

There are no deadlines. The Foundation accepts letters throughout the year. While the Foundation responds promptly to inquiries, it may take six to nine months from the receipt of a proposal letter to consideration of a formal grant application by the Board of Directors.

Letters must be sent via regular mail. Proposal letters submitted via email will not be reviewed.

Letters should be addressed to:

Bradbury F. Cheney
Executive Director
Ben B. Cheney Foundation
3110 Ruston Way, Ste A
Tacoma, WA 98402-5308

For more information you may email us at: info@benbcheneyfoundation.org

The Ben B. Cheney Foundation makes grants primarily to 501 (c) (3) public charities. Organizations without that status are generally not considered due to the special requirements of due diligence and pre-grant review required by IRS regulations.

While the Foundation will respond to a wide variety of projects, there are limitations. The Foundation generally does not make grants to:

- Support general operating budgets or annual campaigns
- Projects which are primarily or normally financed by tax funds
- Religious organizations for sectarian purposes
- Basic research
- Endowment funds
- Individuals
- Produce books, films or videos
- Conferences, seminars, or attendance at same
- Individual students or student groups raising money for school-related trips

BEN B. CHENEY FOUNDATION
TIN: 91-6053760
TAX YEAR ENDED DECEMBER 31, 2012

EACH YEAR THE FOUNDATION MAKES GRANTS TO UNIVERSITIES AND COLLEGES TO USE FOR SCHOLARSHIPS. THE UNIVERSITIES AND COLLEGES CHOOSE THE STUDENT RECIPIENTS AND ADMINISTER THE FUNDS. IN ADDITION, THE FOUNDATION REQUESTS SEVERAL AREA HIGH SCHOOLS TO EACH SELECT A GRADUATING SENIOR TO RECEIVE A SCHOLARSHIP. THE HIGH SCHOOL SELECTS THE RECIPIENT, AND THE FOUNDATION SENDS THE FUNDS TO THE COLLEGE OR UNIVERSITY OF THE RECIPIENT'S CHOICE. THE GRANT IN EACH CASE IS MADE FOR THE RECIPIENT SELECTED BY THE HIGH SCHOOL. THE FOUNDATION MAKES NO SCHOLARSHIP GRANTS TO INDIVIDUALS CHOSEN BY THE FOUNDATION AND RECEIVES NO APPLICATIONS FOR SUCH SCHOLARSHIPS.

Ben B. Cheney Foundation
Equities, Bonds, and Other Investments
December 31, 2012

	<u>Cost</u>	<u>Unrealized Gain/(Loss)</u>	<u>Market Value</u>
<u>U.S. Equity</u>			
Golden Large Cap Core I	3,794,620	(158,776)	3,635,844
DSM Capital Partners, LLC	4,834,936	1,347,173	6,182,109
Cornerstone Investment Partners	4,408,838	439,186	4,848,024
Russell Small Cap Manager Mix	2,108,636	171,324	2,279,960
Subtotal	15,147,030	1,798,907	16,945,937
<u>International Equity</u>			
Polaris Capital Management, LLC	3,877,888	875,432	4,753,320
Delaware Emerging Mkts Instl	2,322,887	(221,565)	2,101,322
Harding Loevner Instl Emerg Mkts	3,651,831	275,309	3,927,140
Somerset Emerging Markets Small Cap	1,103,190	109,703	1,212,893
Harding Loevner Intl Equity ADR	3,269,879	204,141	3,474,020
Subtotal	14,225,675	1,243,020	15,468,695
Total Equities	29,372,705	3,041,927	32,414,632
<u>Fixed Income</u>			
Bank of New York Convertible Bonds	7,987	5,944	13,931
Dodge & Cox Income Fund	5,850,847	283,249	6,134,096
Penn Cap Core Opp HY Bond LP	2,305,538	(73,708)	2,231,830
Stone Harbor Emerging Mkts Debt Ins	2,000,000	202,392	2,202,392
Standish Mellon Asset Mgmt - TxbI	5,236,276	284,505	5,520,781
Total Fixed Income	15,400,648	702,382	16,103,030
		0	
<u>Alternatives</u>			
TAPtm Fund, LLC	4,010,845	(505,652)	3,505,193
Genesis Strategic Investors	341,461	4,493	345,954
The Endowment Exempt QP Fund	120,263	0	120,263
Doubleline Total Return Bond Fund	1,595,338	31,709	1,627,047
First Eagle Global Fund	1,257,748	55,571	1,313,319
Ivy Asset Strategy Fund	619,234	53,986	673,220
Templeton Global Bond Fund Adv	1,612,538	80,075	1,692,613
Virtus Premium AlphaSector Fd-I	1,214,163	88,094	1,302,257
Ishares Barclays 7-10 year Treasuries	1,349,808	8,436	1,358,244
PowerShares DB US Dollar Idx Bullish	1,349,991	(32,885)	1,317,106
Portfolio Advisors PE VI LP	2,680,825	372,269	3,053,094
Cheney Tenancy in Common	262,358	146,302	408,660
Mineral Rights	21,786	0	21,786
Total Alternative Investments	16,436,358	302,398	16,738,756

Ben B. Cheney Foundation
Equities, Bonds, and Other Investments
December 31, 2011

	<u>Cost</u>	<u>Unrealized Gain/(Loss)</u>	<u>Market Value</u>
<u>U.S. Equity</u>			
Golden Large Cap Core I	4,986,364	(391,232)	4,595,132
DSM Capital Partners, LLC	5,103,743	623,454	5,727,197
Cornerstone Investment Partners	4,213,875	102,638	4,316,513
Russell Small Cap Manager Mix	2,083,964	(29,698)	2,054,266
Subtotal	16,387,946	305,162	16,693,108
<u>International Equity</u>			
		0	
Polaris Capital Management, LLC	4,220,629	(542,652)	3,677,977
Delaware Emerging Mkts Instl	3,799,042	(825,084)	2,973,958
Harding Loevner Instl Emerg Mkts	3,651,831	(423,203)	3,228,628
Somerset Emerging Markets Small Cap	1,124,896	(145,844)	979,052
Harding Loevner Intl Equity ADR	4,163,004	(514,247)	3,648,757
Subtotal	16,959,402	(2,451,030)	14,508,372
Total Equities	33,347,348	(2,145,868)	31,201,480
<u>Fixed Income</u>			
Bank of New York Convertible Bonds	7,987	4,763	12,750
Dodge & Cox Income Fund	6,766,840	40,949	6,807,789
Penn Cap Core Opp HY Bond LP	2,131,137	(193,760)	1,937,377
Stone Harbor Emerging Mkts Debt Ins	2,000,000	(7,360)	1,992,640
Standish Mellon Asset Mgmt - Txbl	6,937,130	299,762	7,236,892
Total Fixed Income	17,843,094	144,354	17,987,448
<u>Other Investments</u>			
		0	
TAPtm Fund, LLC	5,000,000	(602,941)	4,397,059
Genesis Strategic Investors	1,662,625	0	1,662,625
The Endowment Exempt QP Fund	2,460,610	(79,916)	2,380,694
Portfolio Advisors PE VI LP	1,892,251	197,520	2,089,771
Cheney Tenancy in Common	213,345	370,729	584,074
Mineral Rights	16,970	0	16,970
Total Other Investments	11,245,801	(114,608)	11,131,193

BEN B. CHENEY FOUNDATION

Property used in the conduct of the organization's exempt activities or otherwise not held for investment

TIN: 91-6053760

Tax Year Ended: December 31, 2012

<u>Property Description</u>	<u>Date in Service</u>	<u>Cost</u>	<u>Prior Years' Depreciation</u>	<u>Depr Method</u>	<u>Years</u>	<u>Depr Expense</u>	<u>Book Value</u>
FURNITURE							
Conference table 48x60x97	2-75	1,344 00	1,344 00				0 00
Gunlocke desk 36x66 (Ken's old desk)	03-05-75	889 57	889 57				0 00
Gunlocke credenza 20x56	03-05-75	651 50	651 50				0 00
Carved "Cheney Foundation" door sign	05-24-91	86 25	86 25				0 00
Executive chair (Ken's old chair)	12-14-99	216 79	216 79				0 00
Adjustable footrest	10-16-00	42 82	42 82				0 00
Wood mural carving - conference room	07-01-75	5,000 00	5,000 00				0 00
Security hangers - display cases	10-11-04	163 20	163 20	SL	7		0 00
Hudson River School framing (conf room)	11-05-04	280 87	280 87	SL	7		0 00
Cheney lumber activities photo collage- reception	08-02-04	2,780 05	2,780 05	SL	7		0 00
Baseball Player - Ben Cheney digitized	08-02-04	1,426 37	1,426 37	SL	7		0 00
Framed bathroom mirrors & installation	09-28-04	828 51	828 51	SL	7		0 00
Stephen Gerould lamps & shades (snowmen)	09-28-04	1,727 74	1,727 74	SL	7		0 00
13" Stephen Gerould Urn	09-28-04	206 72	206 72	SL	7		0 00
Reception area custom carpeting	08-02-04	2,215 54	2,215 54	SL	7		0 00
Conference room curtain	08-02-04	2,474 81	2,474 81	SL	7		0 00
Interior designer - Suite A	07-01-04	5,600 00	5,600 00	SL	7		0 00
Business Interiors NW office desks, furniture, etc	07-01-04	85,400 55	85,400 55	SL	7		0 00
Interior designer - Suite A	01-18-05	600 00	592 86	SL	7	7 14	0 00
Total Furniture		111,935 29	111,928 15			7 14	0 00

EQUIPMENT							
GE radio	01-09-87	65 00	65 00				0 00
IBM typewriter	12-15-88	1,291 79	1,291 79				0 00
Vivitar 35mm camera	05-22-90	100 00	100 00				0 00
Cannon P120-DH printing calculator	02-22-95	51 78	51 78				0 00
Phone system	12-29-98	5,744 12	5,744 12				0 00
Microwave	04-26-01	43 39	43 39				0 00
Conference telephone	04-08-02	325 49	325 49				0 00
Lanier LD024C copier w/scan, print & fax	01-21-04	9,779 22	9,779 22	SL	5		0 00
AlarmGuard security system - vestibule	07-01-04	753 45	753 45	SL	5		0 00
Definitive Audio 42" plasma display, DVD, speakers	08-02-04	9,559 90	9,559 90	SL	5		0 00
Dishwasher	07-12-04	355 55	355 56	SL	5		0 00
Refrigerator	07-13-04	679 67	679 67	SL	5		0 00
Phone @ front desk	03-22-05	359 04	359 04	SL	5		0 00
Speaker phone for file room	03-22-05	277 44	277 44	SL	5		0 00
Total Equipment		29,385 84	29,385 84			0 00	0 00

BEN B. CHENEY FOUNDATION

Property used in the conduct of the organization's exempt activities or otherwise not held for investment

TIN: 91-6053760

Tax Year Ended: December 31, 2012

Property Description

COMPUTERS & SOFTWARE

Property Description	Date in Service	Cost	Prior Years' Depreciation	Depr Method	Years	Depr Expense	Book Value
2" CRT risers w/open slots	10-16-00	19 40	19 40				0 00
26" keyboard/mouse tray only w/wrist support	10-16-00	139 84	139 84				0 00
Quickbooks & Virus software	08-30-01	166 52	166 52				0 00
Lanier LP026N printer w/env feeder (Carol)	01-21-04	1,149 74	1,149 74	SL	5		0 00
Viewsonic Model VE710 17" LCD monitor	07-14-04	650 60	650 60	SL	5		0 00
Laptop computer M5414/AMD Sempron 2800	05-10-05	733 59	733 59	SL	5		0 00
Desktop computer for conference room	09-26-05	565 74	565 74	SL	5		0 00
MicroEdge grant software	01-10-06	11,603 52	11,603 52	SL	3		0 00
2 Dell 3100 Pentium 4 computers-Ken & Carol	02-27-06	2,676 53	2,676 53	SL	5		0 00
HP Laserjet 1320 printer for Ken	03-27-06	435 19	435 19	SL	5		0 00
22" LCD computer monitor for Ken	03-21-07	435 19	413 43	SL	5	21 76	0 00
Pentium Dual Core Proc E2200 computer-BFC	07-18-08	682 23	409 34	SL	5	136 45	136 45
Server, software, battery backup, antivirus	8-15-08	5,860 78	3,223 43	SL	5	1,172 16	1,465 20
Server hard drive (replaced)	2-19-09	237 18	136 38	SL	5	47 44	53 37
Computer monitor - Carol	4-26-09	217 58	116 04	SL	5	43 52	58 02
Computer monitor - Brad	6-25-09	273 24	132 07	SL	5	54 65	86 53
Computer monitor - Ken	8-28-09	213 10	95 90	SL	5	42 62	74 59
Total Computers and Software		26,059 97	22,667 26			1,518 58	1,874 13
Total Equipment & Computers		55,445 81	52,053 09			1,518 58	1,874 14

Tax Year Ended: December 31, 2012

TIN: 91-6053760

Tax Year Ended: December 31, 2012

BUILDING - SUITE A (3110 Ruston Way)									
Building Shell									
Downpayment	07-01-04	10,000 00							
NWC/First American Title Insurance	07-01-04	519,443 98							
Escrow fees	07-01-04	672 80							
Allocation to Land	07-01-04	-125,000 00							
		405,116 78	77,474 26	SL	39	10,387 61	317,254 91		
Land - Suite A	07-01-04	125,000 00	n/a	n/a	n/a	0 00	125,000 00		
Tenant Improvements									
Architect - 2003	07-01-04	6,768 37	1,294 38	SL	39	173 55	5,300 44		
Architect - 2004	07-01-04	4,359 62	833 73	SL	39	111 79	3,414 10		
Engineers	07-01-04	3,263 10	624 04	SL	39	83 67	2,555 40		
Jody Miller Construction	07-01-04	241,245 50	46,135 62	SL	39	6,185 78	188,924 10		
Add'l Contractor	07-01-04	159 26	30 45	SL	39	4 08	124 72		
Bathroom fixtures installation	07-01-04	5,628 51	1,076 39	SL	39	144 32	4,407 79		
Add'l phone jack installation	07-01-04	277 98	53 17	SL	39	7 13	217 69		
Suite A identification sign	07-01-04	433 34	82 87	SL	39	11 11	339 36		
Kitchen cabinet doors	01-10-05	158 00	28 19	SL	39	4 05	125 76		
Jody Miller Construction - building permit	05-05-05	2,694 00	457 63	SL	39	69 08	2,167 29		
		264,987 68	50,616 47			6,794 56	207,576 66		
Other									
Preliminary Environmental Consult	07-01-04	1,830 21	350 01	SL	39	46 93	1,433 27		
Legal Work-2002	07-01-04	8,875 00	1,697 24	SL	39	227 56	6,950 19		
Legal Work-2003	07-01-04	1,876 88	358 94	SL	39	48 13	1,469 81		
Legal Work-2004	07-01-04	380 00	72 67	SL	39	9 74	297 58		
		12,962 09	2,478 87			332 36	10,150 86		
Total Building - Suite A									
		808,066 55	130,569 59			17,514 53	659,982 43		
Total Exempt Activities Assets									
		975,447 65	294,550 83			19,040 25	661,856 57		

BEN B. CHENEY FOUNDATION
Investment Property
TIN: 91-6053760
Tax Year Ended: December 31, 2012

<u>Property Description</u>	<u>Date in Service</u>	<u>Cost</u>	<u>Prior Years' Depreciation</u>	<u>Depr Method</u>	<u>Years</u>	<u>Depr Expense</u>	<u>Book Value</u>
LAND							
Manette Land & Timber (donated to Fdn)	7-1-12	153,733 91	n/a	n/a	n/a	n/a	153,733 91
Legal costs for rezoning & title issues		908 15	n/a	n/a	n/a	n/a	908 15
		<u>154,642 06</u>					<u>154,642 06</u>
BUILDING - SUITE C (3110 Ruston Way)							
Building Shell							
Downpayment	09-01-05	10,000 00					
NWC/First American Title Insurance	09-01-05	424,161 22					
Less land allocation	09-01-05	-100,000 00					
		<u>334,161 22</u>	<u>53,908 49</u>	<u>SL</u>	<u>39</u>	<u>8,568 24</u>	<u>271,684 50</u>
Land	09-01-05	<u>100,000 00</u>	<u>0 00</u>	<u>n/a</u>	<u>n/a</u>	<u>0 00</u>	<u>100,000 00</u>
Tenant Improvements							
Jody Miller Construction	09-01-05	169,688 44	27,374 95	SL	39	4,350 99	137,962 50
Jon Graves Architects	09-01-05	7,049 50	1,137 26	SL	39	180 76	5,731 49
Suite C identification sign	09-01-05	433 34	69 91	SL	39	11 11	352 32
2 high bay fixtures - Clover Creek Electric	09-01-05	926 38	149 45	SL	39	23 75	753 18
Alarmguard Security System & permit	09-01-05	676 74	676 74	SL	5		0 00
		<u>178,774 40</u>	<u>29,408 31</u>			<u>4,566 61</u>	<u>144,799 48</u>
Other							
Preliminary Environmental Consult	09-01-05	1,497 43	241 57	SL	39	38 40	1,217 46
Legal Work-2003	09-01-05	1,535 62	247 73	SL	39	39 37	1,248 52
Lease Commission - Financial Insights	09-01-05	19,764 38	12,517 44	SL	10	1,976 44	5,270 50
		<u>22,797 43</u>	<u>13,006 74</u>			<u>2,054 21</u>	<u>7,736 48</u>
Total Building - Suite C		<u>635,733 05</u>	<u>96,323 54</u>			<u>15,189 05</u>	<u>524,220 45</u>
Total Investment Property		<u>790,375 11</u>	<u>96,323 54</u>			<u>15,189 05</u>	<u>678,862 51</u>

Name BEN B. CHENEY FOUNDATION, INC.	Employer Identification Number 91-6053760
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Asset Information:

Description of Property		SEE ATTACHED SCHEDULES	
Date Acquired:	<u>various</u>	How Acquired:	<u>Purchased</u>
Date Sold	<u>Various</u>	Name of Buyer:	
Sales Price	<u>21,242,618.</u>	Cost or other basis (do not reduce by depreciation)	<u>20,704,715.</u>
Sales Expense		Valuation Method	
Total Gain (Loss)	<u>537,903.</u>	Accumulation Depreciation	

Description of Property:			
Date Acquired:		How Acquired:	
Date Sold		Name of Buyer:	
Sales Price		Cost or other basis (do not reduce by depreciation)	
Sales Expense		Valuation Method	
Total Gain (Loss)		Accumulation Depreciation	

Description of Property			
Date Acquired		How Acquired:	
Date Sold		Name of Buyer:	
Sales Price:		Cost or other basis (do not reduce by depreciation)	
Sales Expense		Valuation Method	
Total Gain (Loss):		Accumulation Depreciation	

Description of Property			
Date Acquired		How Acquired	
Date Sold		Name of Buyer:	
Sales Price.		Cost or other basis (do not reduce by depreciation)	
Sales Expense		Valuation Method:	
Total Gain (Loss)		Accumulation Depreciation	

Description of Property:			
Date Acquired		How Acquired	
Date Sold:		Name of Buyer.	
Sales Price		Cost or other basis (do not reduce by depreciation)	
Sales Expense:		Valuation Method	
Total Gain (Loss)		Accumulation Depreciation.	

Description of Property			
Date Acquired:		How Acquired:	
Date Sold		Name of Buyer	
Sales Price		Cost or other basis (do not reduce by depreciation)	
Sales Expense:		Valuation Method:	
Total Gain (Loss)		Accumulation Depreciation:	

Description of Property:			
Date Acquired		How Acquired	
Date Sold		Name of Buyer:	
Sales Price:		Cost or other basis (do not reduce by depreciation)	
Sales Expense:		Valuation Method.	
Total Gain (Loss).		Accumulation Depreciation	

Description of Property:			
Date Acquired:		How Acquired	
Date Sold:		Name of Buyer.	
Sales Price		Cost or other basis (do not reduce by depreciation)	
Sales Expense		Valuation Method:	
Total Gain (Loss)		Accumulation Depreciation	

Ben B. Cheney Foundation
Net Capital Gain from Sale of Assets
2012

<u>WP Ref</u>	<u>Investment/Custodian/Securities Sold</u>	<u>Gross Sales Price</u>	<u>Cost Basis</u>	<u>Loss Disallowed</u>	<u>Net Gain/(Loss)</u>
<u>1099s</u>					
V-3-2	BNY Mellon MFO Cap Gain Distributions	438,177 45	0 00		438,177 45
V-3-4	BNY Mellon MFO STCL	1,574,999 87	1,671,801 50		(96,801 63)
"	BNY Mellon MFO LTCL	1,800,000 00	1,912,090 29		(112,090 29)
V-6-6	BNY Mellon HRDLV STCL	791,076 11	868,557 52		(77,481 41)
"	BNY Mellon HRDLV STCL w/wash disallowed	39,727 93	80,849 40	35,453 11	(5,668 36)
V-6-7	BNY Mellon HRDLV LTCL	562,116 73	687,620 07		(125,503 34)
V-5-8	BNY Mellon DSM STCG	2,424,344 43	2,405,333 31		19,011 12
V-5-9	BNY Mellon DSM STCL w/wash disallowed	53,773 40	57,810 48	2,244 78	(1,792 30)
V-5-12	BNY Mellon DSM LTCG	1,791,506 35	1,498,259.96		293,246 39
V-4-2	BNY Mellon RSC Cap Gain Distributions	123 53	0 00		123 53
V-4-17	BNY Mellon RSC STCL	1,503,615 35	1,513,042 96		(9,427 61)
V-4-18	BNY Mellon RSC STCL w/wash disallwed	37,225 88	46,774 82	7,907 22	(1,641 72)
V-4-22	BNY Mellon RSC LTCG	525,036 39	502,827 70		22,208 69
"	BNY Mellon RSC LTCG w/wash disallowed	7,040 29	9,402 29	1,419 54	(942 46)
V-8-9	BNY Mellon STNTX STCG	1,409,174 18	1,366,391 62		42,782 56
V-8-13	BNY Mellon STNTX LTCG	2,358,456 25	2,248,911 79		109,544 46
V-7-13	BNY Mellon CORNR STCG	1,145,368 92	1,137,128 66		8,240 26
V-7-14	BNY Mellon CORNR STCL w/wash disallowed	13,443 99	15,214 50	1,403 51	(367 00)
V-7-18	BNY Mellon CORNR LTCG	961,145 66	819,717 76		141,427 90
<u>K-1s</u>					
C-7-1	Somerset Emerging Markets STCL	0 00	28,996 00		(28,996 00)
"	Somerset Emerging Markets LTCL	0 00	14,658.00		(14,658 00)
C-11-1	Polaris Capital Int'l Value STCL	0 00	67,726 00		(67,726 00)
"	Polaris Capital Int'l Value LTCL	0 00	341,254 00		(341,254 00)
<u>Miscellaneous</u>					
C-9-1&6	Portfolio Advisors Private Equity Fund LTCG	184,254 00	0 00		184,254 00
C-8-23	Endowment Exempt Redemption LTCL	2,405,253 14	2,446,645 82		(41,392 68)
C-13-3	CITCO TAP Fund Ltd Redemption LTCL	900,000 00	989,155 04		(89,155 04)
H-3-2	Cheney TIC Logging parcels 129/143 LTCG	301,617 69	22,973 37		278,644 32
H-3-14	Cheney Tenancy in Common STCG	2,466 48	0 00		2,466 48
V-9-7	Wells Fargo Class Action Settlements LTCG	12,673 65	0 00		12,673 65
Totals		21,242,617 67	20,753,142 86	48,428 16	537,902 97

Detail shown on
Following pages>



BNY MELLON
WEALTH MANAGEMENT

CHENFDN MFO

Supplemental 2012 Tax Information

Account Number [REDACTED]

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Nonqualified Foreign Dividends from Mutual Funds

Other

Shares	Description	Date	Amount of dividend	Foreign tax paid (refund)
	TEMPLETON GLOBAL BD FD ADVISOR CL	05/15/2012	\$4,208.67	
	TEMPLETON GLOBAL BD FD ADVISOR CL	06/15/2012	\$4,202.30	
1	TEMPLETON GLOBAL BD FD ADVISOR CL	07/18/2012	\$4,235.98	
	TEMPLETON GLOBAL BD FD ADVISOR CL	08/17/2012	\$5,302.53	\$1,563.90
390	TEMPLETON GLOBAL BD FD ADVISOR CL	09/19/2012	\$3,460.06	
1	TEMPLETON GLOBAL BD FD ADVISOR CL	10/17/2012	\$3,487.41	
	TEMPLETON GLOBAL BD FD ADVISOR CL	11/16/2012	\$3,490.41	
1	TEMPLETON GLOBAL BD FD ADVISOR CL	12/17/2012	\$21,173.02	
Subtotal			\$62,061.31	\$1,563.90
Total for Other			\$62,061.31	\$1,563.90
Total nonqualified foreign dividends from mutual funds			\$62,061.31	
Total foreign tax paid				\$8,462.62
Total Ordinary Dividends				\$710,776.15
Total Qualified Dividends				\$178,603.84

Where we have received information that a security paid qualified dividends, the dividends are shown on the line titled "Qualified Dividends". All dividends are shown on the line titled "Total Ordinary Dividends". If your account holds securities that have not provided information to confirm whether or what portion is qualified, they are shown in a separate section titled "Dividends Requiring Analysis as to Taxability".

Capital Gain Distributions - Subject to 15% Rate

Shares	Description	Date	Amount of gain
	FIRST EAGLE GLOBAL-I	12/13/2012	\$29,620.42
Subtotal			\$29,620.42
346,932	GOLDEN LARGE CORE VALUE-INS	12/13/2012	\$382,582.35
Subtotal			\$382,582.35
	TEMPLETON GLOBAL BD FD ADVISOR CL	12/17/2012	\$20,879.25
Subtotal			\$20,879.25
Total capital gain distributions - Subject to 15% Rate			\$433,082.02

<u>Organization</u>	<u>Foundation Status</u>	<u>Purpose</u>	<u>Amount</u>
American Diabetes Association Seattle, WA 98102	Public Charity	Matching gift.	\$368
Area VII Young Riders Issaquah, WA	Public Charity	Matching gift.	\$3,870
ARK Institute of Learning Tacoma, WA	Public Charity	Support the Strategic Plan Development project.	\$1,150
Associated Ministries Tacoma, WA	Public Charity	Renovate the Associated Ministries building to improve client services.	\$40,000
Azusa Pacific University Azusa, CA	Public Charity	2012-13 High School Scholarship Program	\$3,000
Bellarmine Preparatory School Tacoma, WA	Public Charity	Install new home field bleachers at Memorial Field.	\$7,500
Bellarmine Preparatory School Tacoma, WA	Public Charity	Matching gift.	\$1,000
Boys & Girls Club of the Long Beach Peninsula Long Beach, WA	Public Charity	Support the launching of the Youth Leadership Program.	\$12,000
Boys & Girls Clubs of South Puget Sound Tacoma, WA	Public Charity	Matching gifts.	\$550
Boys & Girls Clubs of South Puget Sound Tacoma, WA	Public Charity	Assist the Project Learn and Junior Staff programs at the Cheney Family Branch.	\$50,000
Brigham Young University Provo, UT	Public Charity	2012-13 High School Scholarship Program (3)	\$9,000
Britt Festivals Medford, OR	Public Charity	Construct the Britt Performance Garden and concession area.	\$25,000
Carol Milgard Breast Center Tacoma, WA	Public Charity	Translate intake and medical forms for non-English speaking patients.	\$2,500
Carr Cabin Tacoma, WA	Public Charity	Matching gift	\$250
CASA of Jackson County, Inc. Medford, OR	Public Charity	Support the technology upgrade project.	\$14,500
Central Washington University Ellensburg, WA	Public Charity	2012-13 High School Scholarship Program	\$3,000
Charles Wright Academy Tacoma, WA	Public Charity	Upgrade a number of areas of the baseball field.	\$15,000
Charles Wright Academy Tacoma, WA	Public Charity	Matching gift.	\$75
Children's Museum of Tacoma Tacoma, WA	Public Charity	Assist the Museum's capital campaign.	\$50,000
Claflin University Orangeburg, SC	Public Charity	2012-13 High School Scholarship Program	\$3,000

Coastal Harvest Hoquiam, WA	Public Charity	Acquire a tractor and trailer for delivering food to programs throughout coastal and southwest Washington	\$25,000
College Success Foundation Issaquah, WA	Public Charity	Expand the college success program to all Tacoma high schools and middle schools.	\$125,000
Community Health Care Tacoma, WA	Public Charity	Support the campaign for the Hilltop Regional Health Center.	\$150,000
Community Health Center Medford, OR	Public Charity	Renovate and expand the Medford site	\$35,000
Community Youth Services Olympia, WA	Public Charity	Upgrade technology for case management.	\$25,000
Eagle Point High School Boosters Eagle Point, OR	Public Charity	Install synthetic turf at Eagles Stadium.	\$20,000
Eagle Point School District Eagle Point, OR	Public Charity	Support Charley Givan Scholarships.	\$10,000
Emergency Food Network Lakewood, WA	Public Charity	Support the 2012 Holiday Grant Program.	\$7,500
Emergency Food Network Lakewood, WA	Public Charity	Matching gifts.	\$1,160
Emergency Food Network Lakewood, WA	Public Charity	Sponsorship	\$500
Family Education & Support Services Olympia, WA	Public Charity	Replace the phone system, computers and purchase chairs.	\$5,000
Family Solutions Ashland, OR	Public Charity	Remodel the girls' residential program kitchen	\$7,600
Fellowship of Christian Athletes Kirkland, WA	Public Charity	Matching gift.	\$1,570
FISH Food Banks of Pierce County Tacoma, WA	Public Charity	Support the 2012 Holiday Grant Program.	\$7,500
Fort Nisqually Foundation Tacoma, WA	Public Charity	Restore the granary at Fort Nisqually	\$25,000
Foundation for Tacoma Schools Tacoma, WA	Public Charity	Support the creation of the Foundation for Tacoma Schools.	\$50,000
Gig Harbor Little League Gig Harbor, WA	Public Charity	Matching gift.	\$40
Gonzaga University Spokane, WA	Public Charity	2012-13 High School Scholarship Program	\$3,000
Grand Canyon University Phoenix, AZ	Public Charity	2012-13 High School Scholarship Program	\$3,000
Greater Gig Harbor Foundation Gig Harbor, WA	Public Charity	Provide start-up funding for the Foundation's growth plan.	\$30,000
Greater Metro Parks Foundation Tacoma, WA	Public Charity	Matching gift.	\$250

Greater Tacoma Community Foundation Tacoma, WA	Public Charity	Matching gift for Foundation for Tacoma Schools.	\$300
Habitat for Humanity Tacoma, WA	Public Charity	Assist the development of The Woods at Golden Given.	\$50,000
Halifax Health Hospice Port Orange, FL	Public Charity	Matching Gift	\$50
Hands on Children's Museum Olympia, WA	Public Charity	Build the new children's museum.	\$30,000
Harbor History Museum Gig Harbor, WA	Public Charity	Support an exhibit by Jini Dellaccio and her connection to Gig Harbor.	\$1,000
Harbor History Museum Gig Harbor, WA	Public Charity	Matching gift.	\$250
Helping Hand House Puyallup, WA	Public Charity	Upgrade the accounting software.	\$9,700
Hilltop Artists in Residence Tacoma, WA	Public Charity	Install a new kiln for glass blowing.	\$15,000
Hilltop Artists in Residence Tacoma, WA	Public Charity	Matching gift	\$100
Humboldt Botanical Gardens Foundation Eureka, CA	Public Charity	Support the Garden Interpretation Project.	\$5,000
Indian Valley Recreation & Park District Greenville, CA	Public Charity	Complete the community center fund raising campaign.	\$15,000
Interfaith Care Community of Medford Medford, OR	Public Charity	Purchase a pickup truck for the outreach and resource centers.	\$20,000
La Clinica de Valle Medford, OR	Public Charity	Build an expanded dental clinic.	\$35,000
Lakewold Gardens Lakewood, WA	Public Charity	Assemble and install a garden materials storage facility on the property.	\$2,600
LeMay-America's Car Museum Tacoma, WA	Public Charity	Complete exhibits and education facilities.	\$50,000
Lincoln High School Tacoma, WA	Public Charity	Support the participation of three students to the national FCCLA conference.	\$350
Linfield College McMinnville, OR	Public Charity	Scholarship program, 2012-13.	\$20,000
Listen & Talk Seattle, WA	Public Charity	Matching gift.	\$560
Mary Bridge Children's Foundation Tacoma, WA	Public Charity	Matching gift.	\$100
Massachusetts Institute of Technology Cambridge, MA	Public Charity	2012-13 High School Scholarship Program	\$3,000
Museum of Glass Tacoma, WA	Public Charity	Support LINKS: Australian Glass and the Pacific Northwest exhibit in 2013.	\$40,000

NC State University Raleigh, NC	Public Charity	2012-13 High School Scholarship Program	\$3,000
New Dungeness Light Station Association Sequim, WA	Public Charity	Install sprinklers and a helicopter pad as part of the fire prevention and safety improvements project.	\$5,000
Northwest Spay and Neuter Center Tacoma, WA	Public Charity	Purchase three anesthesia machines for the new clinic.	\$7,500
NW Furniture Bank Wauna, WA	Public Charity	Assist the start-up of the home furnishing thrift store to support the operations of the furniture bank.	\$20,000
Oklahoma Christian University Oklahoma, OK	Public Charity	2012-13 High School Scholarship Program.	\$3,000
Old Town Business & Professional Association Tacoma, WA	Public Charity	Construct a covered stage at Old Town Park.	\$5,000
Oregon Coast Historical Railway Coos Bay, OR	Public Charity	Assist in the relocation costs to transport a steam crane from Tacoma to Coos Bay.	\$2,000
Pacific International League Seattle, WA	Public Charity	Matching gift.	\$1,500
Pacific International League Seattle, WA	Public Charity	Matching Gift	\$1,000
Pacific Lutheran University Tacoma, WA	Public Charity	2012-13 High School Scholarship Program (4)	\$12,000
Pacific Lutheran University Tacoma, WA	Public Charity	Sponsorship	\$1,500
Pacific University Forest Grove, OR	Public Charity	Scholarship program, 2012-13.	\$20,000
Peninsula School District Gig Harbor, WA	Public Charity	Refurbish seating at Roy Anderson Field and Stadium.	\$100,000
Philanthropy Northwest Seattle, WA	Public Charity	Support grantmaker education and services during 2012.	\$11,180
Philanthropy Northwest Seattle, WA	Public Charity	Matching gift	\$250
Philanthropy Northwest Seattle, WA	Public Charity	Support the capacity building campaign.	\$25,000
Point Defiance Zoo & Aquarium Tacoma, WA	Public Charity	Matching gift.	\$762
Port Townsend Marine Science Center Port Townsend, WA	Public Charity	Purchase and install sound equipment in the educational space.	\$3,000
Raymond, City of Raymond, WA	Public Charity	Support the final improvements to the South Fork Landing park.	\$3,000
Rescue Mission Tacoma, WA	Public Charity	Support the 2012 Holiday Grant Program.	\$7,500
Saint Luke's Lutheran Church Oviedo, FL	Public Charity	Matching Gift	\$50

Salvation Army Tacoma, WA	Public Charity	Support the 2012 Holiday Grant Program.	\$7,500
Salvation Army Puyallup, WA	Public Charity	Support the 2012 Holiday Grant Program.	\$7,500
Seattle Children's Hospital Foundation Seattle, WA	Public Charity	Matching Gift	\$200
Seattle Pacific University Seattle, WA	Public Charity	Scholarship program, 2012-14.	\$40,000
Seattle University Seattle, WA	Public Charity	Support the baseball program in 2012	\$12,000
Seattle University Seattle, WA	Public Charity	2012-13 High School Scholarship Program.	\$3,000
Seymour Botanical Conservatory Tacoma, WA	Public Charity	Purchase equipment to upgrade and control the environment in the Conservatory.	\$5,000
Simon Fraser University Burnaby, BC	Public Charity	2012-13 High School Scholarship Program	\$3,000
South Sound Outreach Services Tacoma, WA	Public Charity	Purchase a new telephone system to meet increasing needs and expanded services.	\$8,000
Sparta Little League Association Sparta, IL	Public Charity	Matching gift.	\$75
Tacoma Area Coalition of Individuals with Disabilities Tacoma, WA	Public Charity	Matching gift.	\$250
Tacoma Art Museum Tacoma, WA	Public Charity	Build a new gallery for Western Art and reconfigure the Pacific Avenue plaza.	\$100,000
Tacoma Events Commission University Place, WA	Public Charity	Support the 2012 Freedom Fair.	\$3,000
Tacoma, City of Tacoma, WA	Governmenta l Unit	Provide the third installment in support of the Cheney Stadium remodel pledge.	\$900,000
Tahoma Audubon Center University Place, WA	Public Charity	Purchase materials to complete two miles of trails at the Morse Wildlife Preserve.	\$3,000
Tennessee Technological University Cookeville, TN	Public Charity	Matching gift.	\$100
Union Gospel Mission Olympia, WA	Public Charity	Support the Digital Dental Upgrade project.	\$10,000
United States Pony Club, Inc. Lexington, KY	Public Charity	Matching gift.	\$50
University of Oregon Eugene, OR	Public Charity	2012-13 High School Scholarship Program	\$3,000
University of Portland Portland, OR	Public Charity	Scholarship program, 2012-13	\$20,000
University of Puget Sound Tacoma, WA	Public Charity	Scholarship program, 2012-14	\$40,000

University of Puget Sound Tacoma, WA	Public Charity	Matching Gift.	\$1,500
University of Washington Seattle, WA	Public Charity	2012-13 High School Scholarship Program (6)	\$18,000
University of Washington Tacoma Tacoma, WA	Public Charity	Scholarship program, 2012-14.	\$40,000
University of Washington Tacoma Tacoma, WA	Public Charity	2012-13 High School Scholarship Program (2)	\$6,000
Violent Crime Victim Services Tacoma, WA	Public Charity	Matching gift.	\$200
Washington State Horse Park Foundation Woodinville, WA	Public Charity	Support Phase II capital campaign for facility development.	\$50,000
Washington State University Pullman, WA	Public Charity	2012-13 High School Scholarship Program	\$3,000
WayOut Kids/BYTM Tacoma, WA	Public Charity	Support the 2012 programs and special project.	\$10,000
Wellesley College Wellesley, MA	Public Charity	2012-13 High School Scholarship Program.	\$3,000
Westminster College Salt Lake City, UT	Public Charity	2012-13 High School Scholarship Program.	\$3,000
Whitworth University Spokane, WA	Public Charity	2012-13 High School Scholarship Program	\$3,000
Willamette University Salem, OR	Public Charity	Scholarship program, 2012-13.	\$20,000
Y.M.C.A. of Grays Harbor Hoquiam, WA	Public Charity	Acquire robotic supplies for eight Harbor After School program sites Grays Harbor.	\$21,000
Y.M.C.A. of Pierce and Kitsap Counties Tacoma, WA	Public Charity	Build a new dining hall and amphitheater at Camp Seymour.	\$50,000
Y.W.C.A., Tacoma-Pierce County Tacoma, WA	Public Charity	Renovate the old shelter rooms for an expanded children's therapy program and legal services.	\$50,000
Y.W.C.A., Tacoma-Pierce County Tacoma, WA	Public Charity	Matching gift	\$475
Youth Marine Foundation Tacoma, WA	Public Charity	Replace the generator in the Charles N. Curtis.	\$3,500

Total 2012 Grants: \$2,752,485

Less: Rotary First Harvest of Oregon returned 2011 grant: (20,000)

Adjusted Total 2012 Grants: \$2,732,485

Supplemental 2012 Tax Information

Account Number [REDACTED]

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BNY MELLON
WEALTH MANAGEMENT

CHENFDN MFO

Collectibles (28%) Gain

Shares	Description	Date	Amount of gain
	FIRST EAGLE GLOBAL-I	12/13/2012	\$5,095.43
Subtotal			\$5,095.43
Total collectibles (28%) gain			\$5,095.43
Total Capital Gain Distributions			\$438,177.45



BNY MELLON
WEALTH MANAGEMENT

CHENFDN MFO

2012 Tax Information

Account Number [REDACTED]

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Income for 2012

This section reports your proceeds from sales during the year. We are not required nor do we report your net gains and losses to the Internal Revenue Service. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital. A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost. Please review these carefully before preparing your tax return.

Additionally, to provide you with complete information for your tax returns, we have shown IRC Section 988 translation gains and losses separately within the sales sections. Please note these gains or losses are not entitled to capital gains treatment. Rather, under IRC Section 988, they are either ordinary income or loss.

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
DELAWARE EMERGING MARKETS-I	DEMIX	245914817	31031.8	02/10/11	01/10/12	\$399,999.87	\$494,336.70	\$-94,336.83
GOLDEN LARGE CORE VALUE-INS	GLDLX	349841881	23900.57	02/25/11	01/10/12	\$250,000.00	\$261,472.28	\$-11,472.28
DODGE & COX INCOME FD COM	DODIX	256210105	69288.39	02/10/11	01/10/12	\$925,000.00	\$915,992.52	\$9,007.48
Total short term gain/loss from sales:						\$1,574,999.87	\$1,671,801.50	\$-96,801.63

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
GOLDEN LARGE CORE VALUE-INS	GLDLX	349841881	85034.01	02/25/11	08/17/12	\$1,000,000.00	\$930,272.11	\$69,727.89
DELAWARE EMERGING MARKETS-I	DEMIX	245914817	61633.28	02/10/11	08/17/12	\$800,000.00	\$981,818.18	\$-181,818.18
Total long term gain/loss from sales:						\$1,800,000.00	\$1,912,090.29	\$-112,090.29



BNY MELLON
WEALTH MANAGEMENT

CHENFDN HRDLV

2012 Tax Information

Account Number [REDACTED]

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Income for 2012

This section reports your proceeds from sales during the year. We are not required nor do we report your net gains and losses to the Internal Revenue Service. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital. A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost. Please review these carefully before preparing your tax return.

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Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
BUNGE LIMITED	BG	G16962105	160	02/10/11	01/10/12	\$9,448.21	\$11,052.02	\$-1,603.81
COCHLEAR LTD-UNSPON ADR	CHEOY	191459205	320	02/11/11	01/10/12	\$9,779.01	\$12,474.78	\$-2,695.77
WAL-MART DE MEXICO S A DE C V	WMMVY	93114W107	610	02/10/11	01/10/12	\$16,774.67	\$17,121.54	\$-346.87
QIAGEN NV EURO.01	QGEN	N72482107	670	02/10/11	01/10/12	\$10,051.14	\$12,564.65	\$-2,513.51
AIR LIQUIDE ADR	AIQYU	009126202	1310	02/10/11	01/10/12	\$32,631.47	\$33,562.20	\$-930.73
ALLIANZ AKTIENGESELLSCHAFT	AZSEY	018805101	1500	02/10/11	01/10/12	\$14,489.72	\$21,608.70	\$-7,118.98
AMERICA MOVIL-SERIES L	AMX	02364W105	890	02/10/11	01/10/12	\$20,193.71	\$25,046.07	\$-4,852.36
ARM HLDGS PLC	ARMH	042068106	1040	02/10/11	01/10/12	\$29,023.03	\$30,529.30	\$-1,506.27
ATLAS COPCO AB SPONSORED ADR	ATLKY	049255706	560	02/10/11	01/10/12	\$12,745.35	\$13,417.60	\$-672.25
BG PLC	BRGY	055434203	210	02/10/11	01/10/12	\$24,042.43	\$24,999.79	\$-957.36
CSL LTD-UNSPON ADR	CMXHY	12637N105	610	02/10/11	01/10/12	\$10,070.90	\$11,402.36	\$-1,331.46
CANADIAN NATL RY CO	CNI	136375102	110	02/10/11	01/10/12	\$8,602.33	\$7,639.50	\$962.83
CANADIAN NATL RY CO	CNI	136375102	110	03/09/11	01/10/12	\$8,602.33	\$6,206.70	\$395.63
CANON INC ADR REPSTG 5 SHS	CAJ	138006309	440	02/10/11	01/10/12	\$19,125.02	\$21,048.98	\$-1,923.96



BNY MELLON
WEALTH MANAGEMENT

2012 Tax Information

Account Number [REDACTED]

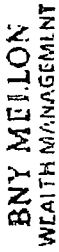
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CHENFDN HRDLV

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
CARNIVAL CORP	CCL	143658300	280	02/10/11	01/10/12	\$9,372.42	\$13,109.41	\$-3,736.99
DBS GROUP HLDGS LTD	DBSD Y	23304Y100	250	07/20/11	01/10/12	\$9,272.32	\$12,331.55	\$-3,059.23
DASSAULT SYS S A	DASTY	237545108	430	02/10/11	01/10/12	\$34,072.54	\$32,899.30	\$1,173.24
FANUC LTD-UNSP ADR	FANUY	307305102	1000	02/10/11	01/10/12	\$25,989.50	\$24,438.00	\$1,551.50
FRESENIUS MED CARE AG ADR	FMS	358029106	290	02/10/11	01/10/12	\$20,327.61	\$18,269.33	\$2,058.28
HSBC HLDGS PLC	HBC	404280406	240	02/10/11	01/10/12	\$9,369.42	\$13,802.33	\$-4,432.91
HOYA CORP	HOCYP	443251103	800	02/10/11	01/10/12	\$17,303.66	\$19,180.40	\$-1,876.74
ICICI BK LTD	IBN	45104G104	700	02/10/11	01/10/12	\$20,989.09	\$29,945.30	\$-8,956.21
IMPERIAL OIL LTD	IMO	453038408	300	02/10/11	01/10/12	\$13,866.72	\$13,593.57	\$273.15
ITAU UNIBANCO BANCO MULTIPLO-A ITUB	465562106	465562106	610	02/10/11	01/10/12	\$12,035.12	\$12,833.67	\$-798.55
JUPITER TELECOMMUNICATIONS-UN JUPIY	48206M102	48206M102	160	02/10/11	01/10/12	\$10,750.19	\$11,224.00	\$-473.81
L OREAL CO ADR	LRLCY	502117203	950	02/10/11	01/10/12	\$20,054.11	\$23,037.50	\$-2,983.39
LVMH MOET HENNESSY LOUIS	LVMUY	502441306	360	02/10/11	01/10/12	\$10,580.19	\$11,658.89	\$-1,078.70
LONZA GROUP AG-UNSPON ADR	LZAGY	54338V101	1660	02/10/11	01/10/12	\$10,175.60	\$12,855.27	\$-2,679.67
MTN GROUP LTD-SPONS ADR	MTNOY	62474M108	1130	02/10/11	01/10/12	\$19,006.23	\$19,469.90	\$-463.67
NESTLE S A SPONSORED ADR	NSRGY	641069406	580	02/10/11	01/10/12	\$33,552.64	\$30,818.36	\$2,734.28
NOVARTIS AG SPNSRD ADR	NVS	69987V109	170	04/11/11	01/10/12	\$9,677.91	\$9,424.76	\$253.15
PETROL BRASILEIRO-PETROBRAS A PBR.A	71654V101	71654V101	530	02/10/11	01/10/12	\$13,608.33	\$17,103.05	\$-3,494.72
ROCHE HLDG LTD SPONSORED ADR RHHBY	771195104	771195104	370	02/10/11	01/10/12	\$15,890.49	\$13,482.47	\$2,408.02
SAP AKTIENGESELLSCHAFT ADR	SAP	803054204	390	02/10/11	01/10/12	\$21,005.58	\$23,174.42	\$-2,168.84
SASOL LTD ADR	SSL	803866300	200	02/10/11	01/10/12	\$9,732.81	\$10,253.02	\$-520.21
SCHLUMBERGER LIMITED COM	SLB	806857108	310	02/10/11	01/10/12	\$21,980.59	\$27,940.77	\$-5,960.18
SCHNEIDER ELECT SA-UNSP ADR	SBGSY	80687P106	2200	02/10/11	01/10/12	\$24,881.52	\$34,276.00	\$-9,394.48
SONOVA HOLDING-UNSPON ADR	SONVY	83569C102	760	03/25/11	01/10/12	\$15,002.11	\$15,004.38	\$-2.27
SWATCH GROUP AG/THE-UNSP ADR SWGAY	870123106	870123106	730	02/10/11	01/10/12	\$14,380.72	\$15,775.30	\$-1,394.58
TAIWAN SEMICONDUCTOR ADR	TSM	874039100	770	02/10/11	01/10/12	\$10,611.24	\$9,855.00	\$756.24
TESCO PLC SPONSORED ADR R/B/R TSCDY	881575302	881575302	420	02/10/11	01/10/12	\$7,675.48	\$8,137.54	\$-462.06
TESCO PLC SPONSORED ADR R/B/R TSCDY	881575302	881575302	760	11/04/11	01/10/12	\$13,888.95	\$15,009.09	\$-1,120.14



Account Number

CHENFDN HRDLV

This category includes sales of assets held 12 months or less.

Short term transactions with loss disallowed due to wash sale

This category includes sales of assets held 12 months or less.

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BNY MELLON
WEALTH MANAGEMENT

CHENFDN HRDLV

2012 Tax Information

Account Number [REDACTED]

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Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
DASSAULT SYS SA	DASTY	237545108	480	02/10/11	02/13/12	\$39,704.11	\$36,724.80	\$2,979.31
CHINA RES ENTERPRISE LTD SPONS CRHKY		16940R109	5270	02/11/11	03/29/12	\$36,428.88	\$36,858.38	\$-429.50
WAL-MART DE MEXICO S A DE C V WMMVY		93114W107	1190	02/10/11	04/23/12	\$34,382.72	\$33,401.04	\$981.68
WAL-MART DE MEXICO S A DE C V WMMVY		93114W107	360	02/10/11	05/02/12	\$10,155.41	\$10,104.52	\$50.89
WAL-MART DE MEXICO S A DE C V WMMVY		93114W107	290	02/10/11	05/03/12	\$8,045.31	\$8,139.75	\$-94.44
WAL-MART DE MEXICO S A DE C V WMMVY		93114W107	380	02/10/11	05/04/12	\$10,220.02	\$10,665.88	\$-445.86
WAL-MART DE MEXICO S A DE C V WMMVY		93114W107	160	02/10/11	05/07/12	\$4,239.80	\$4,490.89	\$-251.09
CARNIVAL CORP	CCL	143658300	870	02/10/11	05/08/12	\$27,486.52	\$40,732.79	\$-13,246.27
JUPITER TELECOMMUNICATIONS-UN JUPIY		48206M102	600	02/10/11	05/09/12	\$41,772.66	\$42,090.00	\$-317.34
ERSTE BK DER OESTERREICHISCHE EBKD Y		296036304	1600	01/28/11	07/03/12	\$15,512.80	\$42,906.97	\$-27,394.17
ERSTE BK DER OESTERREICHISCHE EBKD Y		296036304	4150	02/10/11	07/03/12	\$40,236.33	\$107,526.50	\$-67,290.17
UNICHARM CORP-UNSP ADR	UNCHY	90460M105	1550	02/11/11	07/20/12	\$88,892.50	\$61,080.38	\$27,812.12
CANON INC ADR REPSTG 5 SHS	CAJ	138006309	1580	02/10/11	07/31/12	\$53,060.51	\$75,584.99	\$-22,524.48
NOVARTIS AG SPNSRD ADR	NVS	66987V109	400	04/11/11	09/17/12	\$24,013.80	\$22,175.92	\$1,837.88
NOVARTIS AG SPNSRD ADR	NVS	66987V109	251	04/11/11	09/18/12	\$15,036.71	\$13,915.39	\$1,121.32
PETROL BRASILEIRO-PETROBRAS A PBR.A		71654V101	1980	02/10/11	10/03/12	\$43,534.54	\$63,249.00	\$-19,714.46
HOYA CORP	HOCY	443251103	2640	02/10/11	11/27/12	\$50,997.41	\$63,295.32	\$-12,297.91
ARM HLDGS PLC	ARMH	042068106	500	02/10/11	12/12/12	\$18,396.70	\$14,677.55	\$3,719.15
Total long term gain/loss from sales:						\$562,116.73	\$687,620.07	\$-125,503.34



BNY MELLON
WEALTH MANAGEMENT

CHENFDN DSM

2012 Tax Information

Account Number [REDACTED]

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Income for 2012

This section reports your proceeds from sales during the year. We are not required nor do we report your net gains and losses to the Internal Revenue Service. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital. A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost. Please review these carefully before preparing your tax return.

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Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
3M CO	MMM	88579Y101	40	02/28/11	01/11/12	\$3,334.28	\$3,682.40	\$-348.12
3M CO	MMM	88579Y101	400	03/28/11	01/11/12	\$33,342.79	\$37,063.00	\$-3,720.21
3M CO	MMM	88579Y101	60	04/26/11	01/11/12	\$5,001.42	\$5,711.94	\$-710.52
3M CO	MMM	88579Y101	90	03/01/11	01/12/12	\$7,582.81	\$8,185.46	\$-602.65
3M CO	MMM	88579Y101	50	03/02/11	01/12/12	\$4,212.67	\$4,579.50	\$-366.83
3M CO	MMM	88579Y101	260	02/28/11	01/12/12	\$21,905.90	\$23,935.60	\$-2,029.70
STARBUCKS CORP COM	SBUX	855244109	305	08/11/11	01/13/12	\$14,395.42	\$11,043.07	\$3,352.35
STARBUCKS CORP COM	SBUX	855244109	295	08/19/11	01/13/12	\$13,923.43	\$10,573.80	\$3,349.63
TIFFANY & CO NEW	TIF	886547108	330	12/27/11	01/18/12	\$19,748.40	\$21,977.21	\$-2,228.81
TIFFANY & CO NEW	TIF	886547108	550	12/28/11	01/18/12	\$32,914.00	\$36,647.05	\$-3,733.05
ROVI CORP	ROVI	779376102	520	02/28/11	01/19/12	\$15,595.75	\$28,917.04	\$-13,321.29
ROVI CORP	ROVI	779376102	80	02/28/11	01/20/12	\$2,380.94	\$4,448.78	\$-2,067.84
ROVI CORP	ROVI	779376102	200	02/24/11	01/20/12	\$5,952.36	\$10,849.40	\$-4,897.04
STARBUCKS CORP COM	SBUX	855244109	500	08/19/11	01/23/12	\$23,790.44	\$17,921.70	\$5,868.74



BNY MELLON
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Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
YUM BRANDS INC	YUM	988498101	300	08/03/11	01/23/12	\$18,625.20	\$15,154.77	\$3,470.43
YUM BRANDS INC	YUM	988498101	100	08/17/11	01/23/12	\$6,208.40	\$5,131.01	\$1,077.39
NESTLE S A SPONSORED ADR	NSRGY	641069406	250	02/28/11	01/23/12	\$14,278.85	\$14,150.00	\$128.85
NESTLE S A SPONSORED ADR	NSRGY	641069406	400	03/01/11	01/23/12	\$22,846.16	\$22,664.00	\$182.16
NESTLE S A SPONSORED ADR	NSRGY	641069406	1000	02/24/11	01/23/12	\$57,115.40	\$56,858.40	\$257.00
ROVI CORP	ROVI	779376102	475	02/24/11	01/23/12	\$13,812.11	\$25,767.33	\$-11,955.22
3M CO	MMM	88579Y101	400	02/25/11	01/24/12	\$34,104.86	\$36,129.52	\$-2,024.66
3M CO	MMM	88579Y101	260	03/01/11	01/24/12	\$22,168.16	\$23,646.90	\$-1,478.74
3M CO	MMM	88579Y101	90	02/24/11	01/24/12	\$7,673.59	\$8,082.77	\$-409.18
ROVI CORP	ROVI	779376102	325	02/24/11	01/25/12	\$9,526.80	\$17,630.27	\$-8,103.47
NESTLE S A SPONSORED ADR	NSRGY	641069406	450	09/23/11	01/26/12	\$26,203.17	\$24,104.43	\$2,098.74
NESTLE S A SPONSORED ADR	NSRGY	641069406	400	02/25/11	01/26/12	\$23,291.71	\$22,552.00	\$739.71
NESTLE S A SPONSORED ADR	NSRGY	641069406	200	02/28/11	01/26/12	\$11,645.86	\$11,320.00	\$325.86
STARBUCKS CORP COM	SBUX	855244109	255	08/19/11	02/02/12	\$12,161.75	\$9,140.07	\$3,041.68
NIKE INC CL B	NKE	654106103	50	04/27/11	02/02/12	\$5,157.16	\$4,013.44	\$1,143.72
NIKE INC CL B	NKE	654106103	200	08/19/11	02/02/12	\$20,628.62	\$16,098.40	\$4,530.22
EXPEDITORS INTL WASH INC COM	EXPD	302130109	285	02/28/11	02/02/12	\$12,450.38	\$13,679.57	\$-1,229.19
EXPEDITORS INTL WASH INC COM	EXPD	302130109	250	04/18/11	02/02/12	\$10,921.39	\$12,738.70	\$-1,817.31
YUM BRANDS INC	YUM	988498101	50	08/19/11	02/02/12	\$3,185.79	\$2,497.41	\$688.38
YUM BRANDS INC	YUM	988498101	300	08/03/11	02/02/12	\$19,114.77	\$15,154.77	\$3,960.00
STARBUCKS CORP COM	SBUX	855244109	295	08/10/11	02/02/12	\$14,092.62	\$10,385.09	\$3,707.53
STARBUCKS CORP COM	SBUX	855244109	500	08/10/11	02/03/12	\$24,108.33	\$17,601.85	\$6,506.48
EXPEDITORS INTL WASH INC COM	EXPD	302130109	315	02/28/11	02/06/12	\$13,735.02	\$15,119.53	\$-1,384.51
3M CO	MMM	88579Y101	700	02/24/11	02/08/12	\$61,522.93	\$62,866.02	\$-1,343.09
3M CO	MMM	88579Y101	260	02/24/11	02/10/12	\$22,683.00	\$23,350.24	\$-667.24
EXPEDITORS INTL WASH INC COM	EXPD	302130109	365	02/24/11	02/14/12	\$15,926.68	\$17,502.92	\$-1,576.24
EXPEDITORS INTL WASH INC COM	EXPD	302130109	700	02/25/11	02/14/12	\$30,544.33	\$33,593.49	\$-3,049.16
EXPEDITORS INTL WASH INC COM	EXPD	302130109	50	02/28/11	02/14/12	\$2,181.74	\$2,399.93	\$-218.19



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Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
EXPEDITORS INTL WASH INC COM	EXPD	302130109	235	02/24/11	02/15/12	\$10,018.85	\$11,269.00	\$-1,250.15
EXPEDITORS INTL WASH INC COM	EXPD	302130109	550	02/24/11	02/24/12	\$24,006.46	\$26,374.26	\$-2,367.80
EXPEDITORS INTL WASH INC COM	EXPD	302130109	50	03/01/11	03/01/12	\$2,189.51	\$2,344.00	\$-154.49
NIKE INC CL B	NKE	654106103	150	04/27/11	03/23/12	\$16,047.73	\$12,040.30	\$4,007.43
NIKE INC CL B	NKE	654106103	640	04/07/11	03/23/12	\$68,470.32	\$49,925.44	\$18,544.88
NIKE INC CL B	NKE	654106103	260	04/07/11	03/26/12	\$28,161.62	\$20,282.21	\$7,879.41
BAKER HUGHES INC	BHI	057224107	150	05/11/11	03/27/12	\$6,294.68	\$10,599.84	\$-4,305.16
BAKER HUGHES INC	BHI	057224107	200	04/08/11	03/27/12	\$8,392.91	\$14,555.46	\$-6,162.55
BAKER HUGHES INC	BHI	057224107	500	01/26/12	03/29/12	\$20,277.80	\$24,311.30	\$-4,033.50
F5 NETWORKS INC	FFIV	315616102	200	05/11/11	04/17/12	\$24,853.22	\$20,913.66	\$3,939.56
STARBUCKS CORP COM	SBUX	855244109	550	08/18/11	04/27/12	\$31,476.23	\$19,098.75	\$12,377.48
STARBUCKS CORP COM	SBUX	855244109	1000	08/10/11	04/27/12	\$57,229.52	\$35,203.70	\$22,025.82
PRICELINE COM INC	PCLN	741503403	30	08/19/11	05/31/12	\$18,750.85	\$14,119.63	\$4,631.22
PRAXAIR INC	PX	74005P104	300	07/29/11	06/05/12	\$30,714.78	\$31,074.96	\$-360.18
COGNIZANT TECHNOLOGY SOLUTIONS	CTSH	192446102	350	08/03/11	06/20/12	\$21,013.52	\$25,175.85	\$-4,162.33
DISNEY (WALT) COMPANY HOLDING	DIS	254687106	300	05/09/12	06/20/12	\$14,345.67	\$13,576.71	\$768.96
ECOLAB INC COM	ECL	278865100	200	05/01/12	06/20/12	\$13,341.70	\$12,839.98	\$501.72
DISCOVERY COMMUNICATIONS-A	DISCA	25470F104	85	02/02/12	06/20/12	\$4,454.75	\$3,707.69	\$747.06
DISCOVERY COMMUNICATIONS-A	DISCA	25470F104	240	02/06/12	06/20/12	\$12,578.11	\$10,749.46	\$1,828.65
DOLLAR GENERAL CORP	DG	256677105	450	06/05/12	06/20/12	\$23,571.46	\$21,201.57	\$2,369.89
EMC CORP(MASS) USD 0.01	EMC	268648102	525	04/27/12	06/20/12	\$13,250.70	\$14,963.76	\$-1,713.06
FAMILY DLR STORES INC	FDO	307000109	150	01/23/12	06/20/12	\$10,739.26	\$8,348.76	\$2,390.50
LAS VEGAS SANDS CORP	LVS	517834107	325	04/26/12	06/20/12	\$15,017.91	\$18,390.58	\$-3,372.67
MONSANTO CO NEW	MON	61166W101	50	04/27/12	06/20/12	\$3,987.08	\$3,887.28	\$99.80
MONSANTO CO NEW	MON	61166W101	250	02/23/12	06/20/12	\$19,935.38	\$19,442.85	\$492.53
PHILIP MORRIS INTERNATIONAL	PM	718172109	75	09/14/11	06/20/12	\$6,636.60	\$5,072.29	\$1,564.31
PHILIP MORRIS INTERNATIONAL	PM	718172109	150	09/16/11	06/20/12	\$13,273.20	\$10,374.75	\$2,898.45
PRAXAIR INC	PX	74005P104	75	07/29/11	06/20/12	\$8,123.06	\$7,768.74	\$354.32



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Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
SHIRE PHARMACEUTICALS GROUP SHPG	SHPG	82481R106	250	03/29/12	06/20/12	\$22,935.98	\$25,322.28	\$-2,386.30
YUM BRANDS INC	YUM	988498101	175	06/14/12	06/20/12	\$11,566.33	\$11,248.18	\$318.15
ALLERGAN INC COM	AGN	018490102	25	01/23/12	06/20/12	\$2,316.56	\$2,223.44	\$93.12
ALLERGAN INC COM	AGN	018490102	200	03/28/12	06/20/12	\$18,532.46	\$18,816.70	\$-284.24
BAIDU COM INC	BIDU	056752108	100	04/25/12	06/20/12	\$11,813.73	\$13,096.41	\$-1,282.68
FRANKLIN RES INC COM	BEN	354613101	200	02/02/12	06/22/12	\$20,930.43	\$22,634.26	\$-1,703.83
FRANKLIN RES INC COM	BEN	354613101	250	01/26/12	06/22/12	\$26,163.04	\$26,749.40	\$-586.36
COGNIZANT TECHNOLOGY SOLUTION CTSH	CTSH	192446102	250	08/03/11	07/20/12	\$14,380.93	\$17,982.75	\$-3,601.82
COGNIZANT TECHNOLOGY SOLUTION CTSH	CTSH	192446102	250	08/23/11	07/20/12	\$14,380.93	\$17,905.85	\$-3,524.92
COGNIZANT TECHNOLOGY SOLUTION CTSH	CTSH	192446102	350	02/08/12	07/23/12	\$19,662.63	\$24,663.00	\$-5,000.37
COGNIZANT TECHNOLOGY SOLUTION CTSH	CTSH	192446102	50	08/23/11	07/23/12	\$2,808.95	\$3,581.17	\$-772.22
COGNIZANT TECHNOLOGY SOLUTION CTSH	CTSH	192446102	100	12/20/11	07/23/12	\$5,617.89	\$6,701.15	\$-1,083.26
COGNIZANT TECHNOLOGY SOLUTION CTSH	CTSH	192446102	150	08/10/11	07/23/12	\$8,426.84	\$9,400.43	\$-973.59
COGNIZANT TECHNOLOGY SOLUTION CTSH	CTSH	192446102	475	08/10/11	07/26/12	\$27,097.14	\$29,768.01	\$-2,670.87
AMERICAN EXPRESS COMPANY AXP	AXP	025816109	50	04/27/12	08/03/12	\$2,875.68	\$3,021.25	\$-145.57
AMERICAN EXPRESS COMPANY AXP	AXP	025816109	1150	03/28/12	08/03/12	\$66,140.54	\$67,838.50	\$-1,697.96
AMERICAN EXPRESS COMPANY AXP	AXP	025816109	525	04/02/12	08/03/12	\$30,194.59	\$30,435.93	\$-241.34
AMERICAN EXPRESS COMPANY AXP	AXP	025816109	175	04/02/12	08/06/12	\$10,066.91	\$10,145.31	\$-78.40
AMERICAN EXPRESS COMPANY AXP	AXP	025816109	275	03/29/12	08/06/12	\$15,819.43	\$16,942.47	\$-1,123.04
AMERICAN EXPRESS COMPANY AXP	AXP	025816109	600	04/19/12	08/06/12	\$34,515.13	\$34,723.26	\$-208.13
BAIDU COM INC	BIDU	056752108	250	01/12/12	08/23/12	\$28,221.29	\$32,111.48	\$-3,890.19
BAIDU COM INC	BIDU	056752108	200	01/19/12	08/23/12	\$22,577.03	\$24,927.96	\$-2,350.93
BAIDU COM INC	BIDU	056752108	200	01/20/12	08/23/12	\$22,577.03	\$24,643.18	\$-2,066.15
BAIDU COM INC	BIDU	056752108	275	07/24/12	08/23/12	\$31,043.42	\$32,330.82	\$-1,287.40
BAIDU COM INC	BIDU	056752108	300	01/11/12	08/23/12	\$33,865.55	\$38,270.64	\$-4,405.09
BAIDU COM INC	BIDU	056752108	150	04/25/12	08/23/12	\$16,932.78	\$19,644.62	\$-2,711.84
SHIRE PHARMACEUTICALS GROUP SHPG	SHPG	82481R106	250	01/23/12	09/18/12	\$22,961.61	\$24,640.23	\$-1,678.62
ROSS STORES INC	ROST	778296103	325	09/28/12	10/02/12	\$21,352.93	\$20,893.05	\$459.88



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Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
DISNEY (WALT) COMPANY HOLDING DIS	DIS	254687106	25	03/26/12	10/18/12	\$1,312.60	\$1,106.66	\$205.94
DISNEY (WALT) COMPANY HOLDING DIS	DIS	254687106	450	05/09/12	10/18/12	\$23,626.86	\$20,365.07	\$3,261.79
DISCOVERY COMMUNICATIONS-A DISCA	DISCA	25470F104	525	02/02/12	10/23/12	\$31,294.65	\$22,900.45	\$8,394.20
EMC CORP(MASS) USD 0.01	EMC	268648102	925	04/27/12	10/26/12	\$22,191.18	\$26,364.72	\$-4,173.54
EMC CORP(MASS) USD 0.01	EMC	268648102	2425	01/24/12	10/26/12	\$58,176.86	\$60,310.72	\$-2,133.86
EMC CORP(MASS) USD 0.01	EMC	268648102	2550	01/23/12	11/01/12	\$63,871.98	\$59,741.40	\$4,130.58
EMC CORP(MASS) USD 0.01	EMC	268648102	25	01/24/12	11/01/12	\$626.20	\$621.76	\$4.44
COGNIZANT TECHNOLOGY SOLUTION CTSH	CTSH	192446102	25	05/08/12	11/07/12	\$1,670.40	\$1,487.06	\$183.34
DISNEY (WALT) COMPANY HOLDING DIS	DIS	254687106	475	03/26/12	11/28/12	\$22,986.82	\$21,026.54	\$1,960.28
UNILEVER NV NY SHARE F NEW	UN	904784709	450	10/04/12	12/05/12	\$17,176.11	\$16,272.68	\$903.43
UNILEVER NV NY SHARE F NEW	UN	904784709	875	10/05/12	12/05/12	\$33,398.00	\$32,012.93	\$1,385.07
UNILEVER NV NY SHARE F NEW	UN	904784709	1225	10/11/12	12/06/12	\$46,320.00	\$44,076.48	\$2,243.52
UNILEVER NV NY SHARE F NEW	UN	904784709	450	10/04/12	12/06/12	\$17,015.51	\$16,272.67	\$742.84
DISNEY (WALT) COMPANY HOLDING DIS	DIS	254687106	230	03/26/12	12/17/12	\$11,284.37	\$10,181.27	\$1,103.10
DISNEY (WALT) COMPANY HOLDING DIS	DIS	254687106	1100	03/27/12	12/17/12	\$53,968.74	\$48,691.83	\$5,276.91
DISNEY (WALT) COMPANY HOLDING DIS	DIS	254687106	245	03/23/12	12/17/12	\$12,020.31	\$10,663.55	\$1,356.76
YUM BRANDS INC	YUM	988498101	275	09/19/12	12/20/12	\$18,185.51	\$18,617.25	\$-431.74
YUM BRANDS INC	YUM	988498101	350	12/17/12	12/20/12	\$23,145.19	\$24,108.00	\$-962.81
YUM BRANDS INC	YUM	988498101	350	09/12/12	12/20/12	\$23,145.19	\$23,173.15	\$-27.96
YUM BRANDS INC	YUM	988498101	350	08/23/12	12/21/12	\$22,262.65	\$22,665.13	\$-402.48
YUM BRANDS INC	YUM	988498101	275	06/14/12	12/21/12	\$17,492.08	\$17,675.71	\$-183.63
DISNEY (WALT) COMPANY HOLDING DIS	DIS	254687106	1025	03/23/12	12/21/12	\$51,144.92	\$44,612.82	\$6,532.10
YUM BRANDS INC	YUM	988498101	25	09/12/12	12/21/12	\$1,590.19	\$1,655.23	\$-65.04
YUM BRANDS INC	YUM	988498101	275	08/27/12	12/21/12	\$17,492.08	\$17,586.94	\$-94.86
Total short term gain/loss from sales:						\$2,424,344.43	\$2,405,333.31	\$19,011.12



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Short term transactions with loss disallowed due to wash sale

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Portion subject to 988	Wash sale loss disallowed	Net gain or loss
GOOGLE INC	GOOG	38259P508	25	03/01/12	06/20/12	\$14,427.92	\$15,512.98	\$0.00	\$-1,085.06	\$-1,085.06
AMERICAN EXPRESS COMPANY	AXP	025816109	700	04/27/12	07/19/12	\$39,345.48	\$42,297.50	\$0.00	\$-2,952.02	\$-2,952.02
						\$53,773.40	\$57,810.48	\$0.00	\$-4,037.08	\$-4,037.08
Total short term gain/loss from sales:										
Total short term loss disallowed from wash sales:										
Total short term Section 988 translation gain/loss from securities subject to wash sale:										

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
EXPEDITORS INTL WASH INC COM	EXPD	302130109	500	02/24/11	02/28/12	\$21,265.51	\$23,976.60	\$-2,711.09
EXPEDITORS INTL WASH INC COM	EXPD	302130109	450	02/24/11	03/01/12	\$19,705.59	\$21,578.94	\$-1,873.35
EXPEDITORS INTL WASH INC COM	EXPD	302130109	600	03/01/11	03/05/12	\$26,519.10	\$28,127.94	\$-1,608.84
BAKER HUGHES INC	BHI	057224107	135	02/28/11	03/27/12	\$5,665.21	\$9,432.45	\$-3,767.24
BAKER HUGHES INC	BHI	057224107	50	03/25/11	03/27/12	\$2,098.23	\$3,534.72	\$-1,436.49
BAKER HUGHES INC	BHI	057224107	500	02/25/11	03/27/12	\$20,982.27	\$35,348.00	\$-14,365.73
BAKER HUGHES INC	BHI	057224107	415	02/28/11	03/28/12	\$17,001.92	\$28,996.05	\$-11,994.13
BAKER HUGHES INC	BHI	057224107	950	02/24/11	03/28/12	\$38,920.05	\$65,849.82	\$-26,929.77
BAKER HUGHES INC	BHI	057224107	600	03/01/11	03/28/12	\$24,581.09	\$41,747.94	\$-17,166.85
BAKER HUGHES INC	BHI	057224107	650	02/24/11	03/29/12	\$26,361.13	\$45,055.14	\$-18,694.01
F5 NETWORKS INC	FFIV	315616102	200	03/21/11	04/17/12	\$24,853.22	\$19,311.50	\$5,541.72
F5 NETWORKS INC	FFIV	315616102	150	02/10/11	04/17/12	\$18,639.92	\$10,638.92	\$8,001.00
NETAPP INC	NTAP	64110D104	50	03/10/11	04/26/12	\$1,964.17	\$2,414.27	\$-450.10



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Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
NETAPP INC	NTAP	64110D104	1940	02/10/11	04/26/12	\$76,209.83	\$84,313.24	\$11,896.59
NETAPP INC	NTAP	64110D104	1760	02/10/11	04/27/12	\$68,848.59	\$58,346.04	\$10,502.55
PRICELINE COM INC	PCLN	741503403	70	02/10/11	05/31/12	\$43,751.99	\$14,648.95	\$29,103.04
PRICELINE COM INC	PCLN	741503403	55	02/10/11	06/14/12	\$35,312.93	\$11,509.89	\$23,803.04
PRICELINE COM INC	PCLN	741503403	70	02/10/11	06/19/12	\$47,525.81	\$14,648.95	\$32,876.86
CELGENE CORP	CELG	151020104	155	02/25/11	06/20/12	\$10,423.11	\$8,258.40	\$2,164.71
CELGENE CORP	CELG	151020104	270	02/28/11	06/20/12	\$18,156.39	\$14,438.33	\$3,718.06
FRANKLIN RES INC COM	BEN	354613101	295	02/24/11	06/20/12	\$31,926.16	\$36,197.03	\$-4,270.87
FRANKLIN RES INC COM	BEN	354613101	200	03/01/11	06/20/12	\$21,644.85	\$24,745.86	\$-3,101.01
FRANKLIN RES INC COM	BEN	354613101	150	02/25/11	06/20/12	\$16,233.64	\$18,704.25	\$-2,470.61
FRANKLIN RES INC COM	BEN	354613101	55	02/28/11	06/20/12	\$5,952.33	\$6,879.67	\$-927.34
F5 NETWORKS INC	FFIV	315616102	100	02/10/11	06/20/12	\$10,129.77	\$7,092.61	\$3,037.16
GENERAL ELECTRIC CO	GE	369604103	785	02/10/11	06/20/12	\$15,723.20	\$15,932.05	\$-208.85
GENERAL ELECTRIC CO	GE	369604103	365	05/11/11	06/20/12	\$7,310.78	\$7,415.63	\$-104.85
INTUITIVE SURGICAL INC	ISRG	46120E602	25	02/24/11	06/20/12	\$13,742.44	\$8,183.78	\$5,558.66
PRICELINE COM INC	PCLN	741503403	15	02/10/11	06/20/12	\$10,077.97	\$3,139.06	\$6,938.91
SCHLUMBERGER LIMITED COM	SLB	806857108	175	03/01/11	06/20/12	\$11,552.77	\$16,197.97	\$-4,645.20
SCHLUMBERGER LIMITED COM	SLB	806857108	100	02/28/11	06/20/12	\$6,601.58	\$9,258.54	\$-2,656.96
SABMILLER PLC	SBMRY	78572M105	175	03/01/11	06/20/12	\$6,949.09	\$5,904.50	\$1,044.59
SABMILLER PLC	SBMRY	78572M105	200	02/28/11	06/20/12	\$7,941.82	\$6,776.00	\$1,165.82
TENCENT HDGS LTD ADR	TCEHY	88032Q109	525	02/28/11	06/20/12	\$16,117.13	\$14,038.50	\$2,078.63
VISA INC	V	92826C839	145	05/06/11	06/20/12	\$17,722.02	\$11,491.86	\$6,230.16
VISA INC	V	92826C839	75	04/27/11	06/20/12	\$9,166.56	\$5,880.58	\$3,285.98
VISA INC	V	92826C839	55	04/08/11	06/20/12	\$6,722.15	\$4,339.69	\$2,382.46
APPLE COMPUTER INC COM	AAPL	037833100	75	02/10/11	06/20/12	\$43,870.26	\$9,390.21	\$34,480.05
FRANKLIN RES INC COM	BEN	354613101	255	02/24/11	06/22/12	\$26,686.30	\$31,288.96	\$-4,602.66
PRICELINE COM INC	PCLN	741503403	27	02/10/11	07/19/12	\$17,973.63	\$5,650.31	\$12,323.32
VISA INC	V	92826C839	120	04/27/11	08/01/12	\$15,391.39	\$9,408.93	\$5,982.46



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Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
VISA INC	V	92826C839	150	04/21/11	08/01/12	\$19,239.24	\$11,635.97	\$7,603.27
VISA INC	V	92826C839	205	04/06/11	08/01/12	\$26,293.63	\$15,542.28	\$10,751.35
VISA INC	V	92826C839	195	04/06/11	08/07/12	\$25,367.49	\$14,784.12	\$10,583.37
VISA INC	V	92826C839	255	03/03/11	08/07/12	\$33,172.87	\$19,215.57	\$13,957.30
VISA INC	V	92826C839	185	03/30/11	08/09/12	\$23,760.98	\$13,857.74	\$9,903.24
VISA INC	V	92826C839	365	03/03/11	08/09/12	\$46,879.76	\$27,504.65	\$19,375.11
PRICELINE COM INC	PCLN	741503403	128	02/10/11	08/31/12	\$77,415.22	\$26,786.66	\$50,628.56
F5 NETWORKS INC	FFIV	315616102	450	02/10/11	09/11/12	\$42,958.73	\$31,916.75	\$11,041.98
F5 NETWORKS INC	FFIV	315616102	450	02/10/11	09/12/12	\$43,520.50	\$31,916.75	\$11,603.75
SHIRE PHARMACEUTICALS GROUP	SHPG	82481R106	125	02/25/11	09/18/12	\$11,480.80	\$10,586.25	\$894.55
SHIRE PHARMACEUTICALS GROUP	SHPG	82481R106	90	03/01/11	09/18/12	\$8,266.18	\$7,624.79	\$641.39
SHIRE PHARMACEUTICALS GROUP	SHPG	82481R106	335	02/25/11	09/19/12	\$30,554.76	\$28,371.15	\$2,183.61
SHIRE PHARMACEUTICALS GROUP	SHPG	82481R106	40	02/25/11	09/20/12	\$3,560.96	\$3,387.60	\$173.36
SHIRE PHARMACEUTICALS GROUP	SHPG	82481R106	310	02/28/11	09/20/12	\$27,597.41	\$26,207.40	\$1,390.01
PHILIP MORRIS INTERNATIONAL	PM	718172109	550	09/14/11	10/10/12	\$49,958.68	\$37,196.78	\$12,761.90
PHILIP MORRIS INTERNATIONAL	PM	718172109	325	09/06/11	10/15/12	\$29,854.08	\$21,977.83	\$7,876.25
PHILIP MORRIS INTERNATIONAL	PM	718172109	25	09/14/11	10/15/12	\$2,296.47	\$1,690.76	\$605.71
SHIRE PHARMACEUTICALS GROUP	SHPG	82481R106	50	03/02/11	10/15/12	\$4,322.58	\$4,199.00	\$123.58
SHIRE PHARMACEUTICALS GROUP	SHPG	82481R106	190	02/28/11	10/15/12	\$16,425.79	\$16,062.60	\$363.19
SHIRE PHARMACEUTICALS GROUP	SHPG	82481R106	60	02/24/11	10/15/12	\$5,187.09	\$4,870.64	\$316.45
PHILIP MORRIS INTERNATIONAL	PM	718172109	325	09/06/11	10/17/12	\$29,954.80	\$21,977.83	\$7,976.97
DISCOVERY COMMUNICATIONS-A	DISCA	25470F104	275	02/24/11	10/23/12	\$16,392.44	\$11,634.26	\$4,758.18
SCHLUMBERGER LIMITED COM	SLB	806857108	125	02/25/11	10/25/12	\$8,834.91	\$11,431.16	\$-2,596.25
SCHLUMBERGER LIMITED COM	SLB	806857108	425	03/01/11	10/25/12	\$30,038.71	\$39,337.91	\$-9,299.20
PRAXAIR INC	PX	74005P104	250	08/12/11	11/05/12	\$27,116.71	\$24,606.25	\$2,510.46
PRAXAIR INC	PX	74005P104	175	07/29/11	11/05/12	\$18,981.70	\$18,127.06	\$854.64
PRAXAIR INC	PX	74005P104	100	08/11/11	11/05/12	\$10,846.69	\$9,684.61	\$1,162.08
SCHLUMBERGER LIMITED COM	SLB	806857108	475	02/25/11	11/05/12	\$32,922.79	\$43,438.42	\$-10,515.63



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Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
COGNIZANT TECHNOLOGY SOLUTION CTSH	CTSH	192446102	325	08/10/11	11/07/12	\$21,715.23	\$20,367.59	\$1,347.64
COGNIZANT TECHNOLOGY SOLUTION CTSH	CTSH	192446102	350	08/17/11	11/07/12	\$23,385.64	\$21,856.91	\$1,528.73
PRAXAIR INC	PX	74005P104	55	08/11/11	11/09/12	\$5,934.66	\$5,326.54	\$608.12
PRAXAIR INC	PX	74005P104	445	08/10/11	11/09/12	\$48,016.78	\$41,892.83	\$6,123.95
PHILIP MORRIS INTERNATIONAL	PM	718172109	325	09/06/11	12/11/12	\$29,031.27	\$21,977.84	\$7,053.43
PHILIP MORRIS INTERNATIONAL	PM	718172109	350	09/09/11	12/19/12	\$29,953.13	\$23,109.59	\$6,843.54
PHILIP MORRIS INTERNATIONAL	PM	718172109	325	09/06/11	12/19/12	\$27,813.62	\$21,977.83	\$5,835.79
PHILIP MORRIS INTERNATIONAL	PM	718172109	400	09/12/11	12/19/12	\$34,232.15	\$26,085.96	\$8,146.19
Total long term gain/loss from sales:						\$1,791,506.35	\$1,498,259.96	\$293,246.39



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Income for 2012

This section reports your proceeds from sales during the year. We are not required nor do we report your net gains and losses to the Internal Revenue Service. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital. A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost. Please review these carefully before preparing your tax return.

Additionally, to provide you with complete information for your tax returns, we have shown IRC Section 988 translation gains and losses separately within the sales sections. Please note these gains or losses are not entitled to capital gains treatment. Rather, under IRC Section 988, they are either ordinary income or loss.

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
BROADSOFT INC	BSFT	11133B409	356	06/23/11	01/05/12	\$9,305.66	\$12,833.80	\$-3,528.14
F5 NETWORKS INC	FFIV	315616102	6	09/07/11	01/05/12	\$631.31	\$479.58	\$151.73
F5 NETWORKS INC	FFIV	315616102	23	10/21/11	01/05/12	\$2,420.01	\$2,045.39	\$374.62
MAGMA DESIGN AUTOMATION INC	LAVA	559181102	769	08/19/11	01/09/12	\$5,482.86	\$3,944.97	\$1,537.89
HMS HLDGS CORP	HMSY	40425J101	126	02/24/11	01/10/12	\$4,052.08	\$3,091.62	\$960.46
QUESTCOR PHARMACEUTICALS INC QCOR		74835Y101	6	11/30/11	01/10/12	\$249.17	\$269.76	\$-20.59
MSCI INC-A	MSCI	55354G100	378	10/21/11	01/10/12	\$12,666.53	\$12,322.80	\$343.73
CYBERONICS INC COM	CYBX	23251P102	498	06/30/11	01/10/12	\$16,038.13	\$13,924.08	\$2,114.05
NORTHERN OIL AND GAS INC	NOG	665531109	125	05/12/11	01/10/12	\$3,169.93	\$2,525.00	\$644.93
SPX CORP COM	SPW	784635104	172	11/07/11	01/13/12	\$11,229.66	\$10,368.16	\$861.50
F5 NETWORKS INC	FFIV	315616102	126	09/07/11	01/19/12	\$15,118.44	\$10,071.18	\$5,047.26
AMETEK INC NEW	AME	031100100	121	08/11/11	01/19/12	\$5,581.62	\$4,593.16	\$988.46
POLYPORE INTL INC	PPO	73179V103	98	09/26/11	01/20/12	\$5,294.84	\$5,958.40	\$-663.56
INTREPID POTASH INC	IPI	46121Y102	119	10/14/11	01/20/12	\$3,017.78	\$3,343.90	\$-326.12



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Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
BANKRATE INC	RATE	06647F102	383	12/21/11	01/20/12	\$8,801.17	\$7,414.88	\$1,386.29
BANKRATE INC	RATE	06647F102	114	01/10/12	01/20/12	\$2,619.67	\$2,561.58	\$58.09
CEPHEID	CPHD	15670R107	229	10/21/11	01/20/12	\$7,453.80	\$8,548.57	\$-1,094.77
INTREPID POTASH INC	IPI	46121Y102	327	08/18/11	01/20/12	\$8,292.56	\$9,653.04	\$-1,360.48
INTREPID POTASH INC	IPI	46121Y102	126	11/02/11	01/20/12	\$3,195.30	\$3,481.38	\$-286.08
POLYPORE INTL INC	PPO	73179V103	166	08/25/11	01/20/12	\$8,968.80	\$9,561.60	\$-592.80
ROBBINS & MYERS INC	RBN	770196103	217	11/16/11	01/23/12	\$9,960.10	\$10,426.85	\$-466.75
TIBCO SOFTWARE INC	TIBX	88632Q103	190	12/06/11	01/27/12	\$4,985.50	\$5,399.80	\$-414.30
RACKSPACE HOSTING INC	RAX	750086100	57	02/24/11	01/27/12	\$2,489.71	\$2,087.34	\$402.37
RACKSPACE HOSTING INC	RAX	750086100	86	11/29/11	01/27/12	\$3,756.41	\$3,584.48	\$171.93
R L I CORP	RLI	749607107	123	02/24/11	01/27/12	\$8,731.60	\$6,980.25	\$1,751.35
QUESTCOR PHARMACEUTICALS INC	QCOR	74835Y101	76	08/08/11	01/27/12	\$2,773.94	\$2,178.16	\$595.78
HANGER ORTHOPEDIC GROUP INC	HGR	41043F208	118	09/27/11	01/27/12	\$2,247.86	\$2,195.98	\$51.88
HMS HLDGS CORP	HMSY	40425J101	320	02/24/11	01/27/12	\$10,582.19	\$7,851.73	\$2,730.46
CHEMED CORP NEW	CHE	16359R103	176	02/24/11	01/27/12	\$10,072.28	\$11,334.40	\$-1,262.12
CEPHEID	CPHD	15670R107	97	11/02/11	01/27/12	\$4,179.65	\$3,417.31	\$762.34
CEPHEID	CPHD	15670R107	23	10/21/11	01/27/12	\$991.05	\$858.59	\$132.46
CEPHEID	CPHD	15670R107	120	01/10/12	01/27/12	\$5,170.70	\$4,224.00	\$946.70
CABOT OIL & GAS CORP	COG	127097103	22	12/02/11	01/27/12	\$723.13	\$950.62	\$-227.49
HANGER ORTHOPEDIC GROUP INC	HGR	41043F208	259	10/18/11	01/27/12	\$4,933.85	\$5,475.26	\$-541.41
QUESTCOR PHARMACEUTICALS INC	QCOR	74835Y101	18	11/19/11	01/27/12	\$656.99	\$674.30	\$-17.31
QUESTCOR PHARMACEUTICALS INC	QCOR	74835Y101	90	11/16/11	01/27/12	\$3,284.93	\$3,825.00	\$-540.07
QUESTCOR PHARMACEUTICALS INC	QCOR	74835Y101	22	11/28/11	01/27/12	\$802.98	\$904.24	\$-101.26
HEARTLAND PMT SYS INC	HPY	42235N108	320	04/14/11	01/30/12	\$7,491.05	\$5,599.49	\$1,891.56
WALTER INDS INC	WLT	93317Q105	17	12/21/11	01/30/12	\$1,187.42	\$1,067.43	\$119.99
ACCURIDE CORP	ACW	00439T206	360	02/24/11	02/06/12	\$2,851.14	\$5,220.00	\$-2,368.86
ACME PACKET INC	APKT	004764106	122	05/27/11	02/06/12	\$4,182.07	\$8,971.88	\$-4,789.81
ACME PACKET INC	APKT	004764106	61	02/24/11	02/06/12	\$2,091.04	\$4,441.41	\$-2,350.37



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Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
NORTHERN OIL AND GAS INC	NOG	665531109	394	05/12/11	02/06/12	\$10,086.20	\$7,958.80	\$2,127.40
SCIENTIFIC GAMES CORP	SGMS	80874P109	389	05/12/11	02/06/12	\$5,049.12	\$4,071.94	\$977.18
ULTRATECH STEPPER INC COM	UTEK	904034105	103	02/24/11	02/06/12	\$3,013.72	\$2,449.34	\$564.38
UNDER ARMOUR INC	UA	904311107	132	06/02/11	02/06/12	\$10,664.07	\$8,734.44	\$1,929.63
VERIFONE HLDGS INC	PAY	92342Y109	65	05/05/11	02/06/12	\$3,008.79	\$3,192.15	\$-183.36
ACCURIDE CORP	ACW	00439T206	358	02/24/11	02/14/12	\$2,964.18	\$5,191.00	\$-2,226.82
ANIXTER INTL INC	AXE	035290105	25	02/24/11	02/14/12	\$1,738.96	\$1,750.50	\$-11.54
EMULEX CORP COM NEW	ELX	292475209	748	09/21/11	02/14/12	\$7,868.80	\$5,004.12	\$2,864.68
OLD DOMINION FIGHT LINES INC	ODFL	679580100	90	10/21/11	02/14/12	\$3,947.32	\$3,103.20	\$844.12
PLEXUS CORP	PLXS	729132100	68	02/24/11	02/14/12	\$2,494.87	\$2,095.76	\$399.11
VALUECLICK INC	VCLK	92048N102	176	02/24/11	02/14/12	\$3,437.21	\$2,617.12	\$820.09
RACKSPACE HOSTING INC	RAX	750086100	103	02/24/11	02/15/12	\$5,573.22	\$3,771.86	\$1,801.36
FOSSIL INC	FOSL	349882100	63	06/20/11	02/16/12	\$7,461.58	\$6,975.36	\$486.22
FOSSIL INC	FOSL	349882100	23	06/24/11	02/16/12	\$2,724.07	\$2,608.66	\$115.41
UNDER ARMOUR INC	UA	904311107	17	05/28/11	02/16/12	\$1,455.51	\$1,009.66	\$445.85
UNDER ARMOUR INC	UA	904311107	58	06/06/11	02/16/12	\$4,965.87	\$3,778.12	\$1,187.75
UNDER ARMOUR INC	UA	904311107	28	06/02/11	02/16/12	\$2,397.32	\$1,852.76	\$544.56
WELLCARE HEALTH PLANS	WCG	94946T106	34	01/10/12	02/16/12	\$2,313.65	\$1,972.00	\$341.65
CHEMED CORP NEW	CHE	16359R103	98	02/24/11	02/16/12	\$6,258.16	\$6,311.20	\$-53.04
AMERICAN CAMPUS CMNTYS INC	ACC	024835100	269	02/24/11	02/22/12	\$10,835.12	\$8,511.14	\$2,323.98
NORTHERN OIL AND GAS INC	NOG	665531109	63	05/17/11	02/23/12	\$1,562.37	\$1,256.88	\$305.49
NORTHERN OIL AND GAS INC	NOG	665531109	291	06/06/11	02/23/12	\$7,216.67	\$4,958.64	\$2,258.03
QUESTCOR PHARMACEUTICALS INC QCOR	QCOR	74835Y101	360	08/08/11	02/23/12	\$13,481.75	\$10,317.60	\$3,164.15
RACKSPACE HOSTING INC	RAX	750086100	149	02/24/11	02/23/12	\$7,992.21	\$5,456.38	\$2,535.83
SALIX PHARMACEUTICALS LTD	SLXP	795435106	34	12/21/11	02/23/12	\$1,578.93	\$1,622.82	\$-43.89
SALIX PHARMACEUTICALS LTD	SLXP	795435106	120	01/10/12	02/23/12	\$5,572.70	\$5,889.60	\$-316.90
ULTRATECH STEPPER INC COM	UTEK	904034105	148	10/21/11	02/23/12	\$4,113.52	\$2,973.32	\$1,140.20
ULTRATECH STEPPER INC COM	UTEK	904034105	81	02/24/11	02/23/12	\$2,251.32	\$1,926.18	\$325.14



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Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
VERIFONE HLDGS INC	PAY	92342Y109	67	02/24/11	02/23/12	\$3,077.92	\$3,024.38	\$53.54
VERIFONE HLDGS INC	PAY	92342Y109	47	05/05/11	02/23/12	\$2,159.14	\$2,308.17	\$-149.03
NORTHERN OIL AND GAS INC	NOG	665531109	87	05/12/11	02/23/12	\$2,157.56	\$1,757.40	\$400.16
AMERIGROUP CORP	AGP	03073T102	170	02/24/11	02/23/12	\$11,787.58	\$9,673.00	\$2,114.58
SALIX PHARMACEUTICALS LTD	SLXP	795435106	183	12/21/11	02/27/12	\$8,441.63	\$8,734.59	\$-292.96
CHART INDS INC	GTLS	16115Q308	18	07/30/11	02/29/12	\$1,230.82	\$1,118.54	\$112.28
FRESH MARKET INC/THE	TFM	35804H106	23	05/16/11	02/29/12	\$1,035.21	\$922.41	\$112.80
CHART INDS INC	GTLS	16115Q308	12	07/21/11	02/29/12	\$820.54	\$725.76	\$94.78
CEVA INC	CEVA	157210105	185	05/27/11	03/05/12	\$4,169.82	\$6,332.94	\$-2,163.12
FOSSIL INC	FOSL	349882100	28	06/20/11	03/05/12	\$3,518.97	\$3,100.16	\$418.81
LAM RESH CORP COM	LRCX	512807108	103	10/14/11	03/05/12	\$4,067.39	\$4,513.46	\$-446.07
TRANSDIGM GROUP INC	TDG	893641100	24	09/26/11	03/05/12	\$2,766.91	\$2,046.00	\$720.91
VERIFONE HLDGS INC	PAY	92342Y109	92	08/04/11	03/05/12	\$4,281.60	\$3,544.76	\$736.84
CEVA INC	CEVA	157210105	121	06/06/11	03/06/12	\$2,649.85	\$3,715.91	\$-1,066.06
CEVA INC	CEVA	157210105	10	11/30/11	03/06/12	\$219.00	\$288.20	\$-69.20
CEVA INC	CEVA	157210105	132	08/11/11	03/06/12	\$2,890.75	\$3,595.68	\$-704.93
CEVA INC	CEVA	157210105	40	08/25/11	03/06/12	\$875.98	\$1,041.20	\$-165.22
ROCKWOOD HLDGS INC	ROC	774415103	86	08/04/11	03/06/12	\$4,229.40	\$4,442.76	\$-213.36
ALPHA NAT RES INC	ANR	02076X102	349	11/22/11	03/06/12	\$5,646.71	\$7,210.34	\$-1,563.63
ALPHA NAT RES INC	ANR	02076X102	201	11/29/11	03/06/12	\$3,252.12	\$4,190.85	\$-938.73
CEVA INC	CEVA	157210105	34	05/22/11	03/06/12	\$744.59	\$1,159.49	\$-414.90
CEVA INC	CEVA	157210105	131	05/27/11	03/06/12	\$2,868.85	\$4,484.41	\$-1,615.56
ALPHA NAT RES INC	ANR	02076X102	130	01/05/12	03/06/12	\$2,103.36	\$2,824.90	\$-721.54
ALPHA NAT RES INC	ANR	02076X102	182	02/27/12	03/06/12	\$2,944.71	\$3,592.68	\$-647.97
WOODWARD GOVERNOR CO COM	WWD	980745103	46	02/06/12	03/09/12	\$1,957.72	\$2,049.53	\$-91.81
CYPRESS SEMICONDUCTOR CORP (CY	CY	232806109	91	08/25/11	03/09/12	\$1,449.60	\$1,465.10	\$-15.50
INTERMOLECULAR INC	IMI	45882D109	245	12/09/11	03/09/12	\$1,278.90	\$2,182.97	\$-904.07
INTERMOLECULAR INC	IMI	45882D109	681	11/21/11	03/09/12	\$3,554.82	\$6,705.53	\$-3,150.71



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Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
BIOMARIN PHARMACEUTICAL INC	BMRN	09061G101	56	01/10/12	03/09/12	\$1,924.12	\$2,058.00	\$-133.88
BIOMARIN PHARMACEUTICAL INC	BMRN	09061G101	57	02/23/12	03/09/12	\$1,958.48	\$2,009.82	\$-51.34
BIOMARIN PHARMACEUTICAL INC	BMRN	09061G101	173	10/21/11	03/09/12	\$5,944.17	\$5,831.83	\$112.34
WOODWARD GOVERNOR CO COM	WWD	980745103	246	01/23/12	03/09/12	\$10,469.57	\$10,629.66	\$-160.09
QUEST SOFTWARE INC	QSFT	74834T103	435	07/21/11	03/12/12	\$10,370.21	\$8,534.70	\$1,835.51
QUEST SOFTWARE INC	QSFT	74834T103	69	07/14/11	03/12/12	\$1,644.93	\$1,344.81	\$300.12
FOSSIL INC	FOSL	349882100	11	01/30/12	03/14/12	\$1,412.26	\$1,036.75	\$375.51
FOSSIL INC	FOSL	349882100	12	11/29/11	03/14/12	\$1,540.65	\$1,036.68	\$503.97
LAM RESH CORP COM	LRCX	512807108	80	10/21/11	03/14/12	\$3,405.54	\$3,250.40	\$155.14
LAM RESH CORP COM	LRCX	512807108	120	10/14/11	03/14/12	\$5,108.30	\$5,258.40	\$-150.10
LAM RESH CORP COM	LRCX	512807108	74	01/27/12	03/14/12	\$3,150.12	\$3,190.14	\$-40.02
ROCKWOOD HLDGS INC	ROC	774415103	224	08/04/11	03/14/12	\$11,858.34	\$11,571.84	\$286.50
ROCKWOOD HLDGS INC	ROC	774415103	56	01/30/12	03/14/12	\$2,964.59	\$2,793.28	\$171.31
FOSSIL INC	FOSL	349882100	34	10/14/11	03/14/12	\$4,365.18	\$3,222.86	\$1,142.32
FOSSIL INC	FOSL	349882100	12	06/20/11	03/14/12	\$1,540.65	\$1,328.64	\$212.01
CBRE GROUP INC	CBG	12504L109	75	11/30/11	03/14/12	\$1,533.72	\$1,261.50	\$272.22
MARKETAXESS HLDGS INC	MKTX	57060D108	92	08/23/11	03/16/12	\$3,305.50	\$2,703.52	\$601.98
OLD DOMINION FGHT LINES INC	ODFL	679580100	16	10/21/11	03/16/12	\$763.19	\$551.68	\$211.51
PRIMO WATER CORP	PRMW	74165N105	259	08/29/11	03/16/12	\$542.36	\$1,468.53	\$-926.17
PRIMO WATER CORP	PRMW	74165N105	608	08/18/11	03/16/12	\$1,273.19	\$2,474.56	\$-1,201.37
PRIMO WATER CORP	PRMW	74165N105	837	06/20/11	03/16/12	\$1,752.73	\$1,029.48	\$-9,276.75
PRIMO WATER CORP	PRMW	74165N105	144	06/13/11	03/16/12	\$301.55	\$1,775.17	\$-1,473.62
QLIK TECHNOLOGIES INC	QLIK	74733T105	263	12/06/11	03/16/12	\$7,889.85	\$7,855.81	\$34.04
LULULEMON ATHLETICA INC	LULU	550021109	96	12/02/11	03/16/12	\$6,914.75	\$4,771.20	\$2,143.55
BIOMARIN PHARMACEUTICAL INC	BMRN	09061G101	119	10/21/11	04/04/12	\$3,893.59	\$4,011.49	\$-117.90
BIOMARIN PHARMACEUTICAL INC	BMRN	09061G101	137	11/02/11	04/04/12	\$4,482.54	\$4,423.73	\$58.81
BIOMARIN PHARMACEUTICAL INC	BMRN	09061G101	52	11/23/11	04/04/12	\$1,701.40	\$1,685.84	\$15.56
TANGER FACTORY OUTLET CTRS IN SKT		875465106	394	01/20/12	05/02/12	\$12,414.66	\$11,303.78	\$1,110.88



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Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
CARDTRONICS INC	CATM	14161H108	39	03/05/12	05/02/12	\$1,157.88	\$1,091.22	\$66.66
HANGER ORTHOPEDIC GROUP INC	HGR	41043F208	311	09/27/11	05/02/12	\$7,239.91	\$5,787.71	\$1,452.20
ACME PACKET INC	APKT	004764106	80	08/11/11	05/04/12	\$2,141.55	\$4,187.20	\$-2,045.65
ACME PACKET INC	APKT	004764106	28	05/04/11	05/04/12	\$749.54	\$1,839.91	\$-1,090.37
ACME PACKET INC	APKT	004764106	46	05/30/11	05/04/12	\$1,231.39	\$3,351.59	\$-2,120.20
WELLCARE HEALTH PLANS	WCG	94946T106	51	11/16/11	05/04/12	\$3,043.61	\$2,747.37	\$296.24
WELLCARE HEALTH PLANS	WCG	94946T106	29	01/10/12	05/04/12	\$1,730.68	\$1,682.00	\$48.68
SXC HEALTH SOLUTIONS CORP CON		78505P100	30	08/11/11	05/04/12	\$2,744.63	\$1,576.50	\$1,168.13
ATLAS AIR INC	AAWW	049164205	35	03/16/12	05/04/12	\$1,818.55	\$1,677.90	\$140.65
ACME PACKET INC	APKT	004764106	41	12/02/11	05/04/12	\$1,097.54	\$1,460.01	\$-362.47
ACME PACKET INC	APKT	004764106	80	12/06/11	05/04/12	\$2,141.55	\$2,771.20	\$-629.65
ACME PACKET INC	APKT	004764106	34	11/23/11	05/04/12	\$910.16	\$1,120.98	\$-210.82
ACME PACKET INC	APKT	004764106	74	10/24/11	05/04/12	\$1,980.93	\$2,350.98	\$-370.05
VCA ANTECH INC	WOOF	918194101	240	02/23/12	05/07/12	\$5,435.88	\$5,390.40	\$45.48
VCA ANTECH INC	WOOF	918194101	337	01/27/12	05/07/12	\$7,632.87	\$7,585.87	\$47.00
LINKEDIN CORP - A	LNKD	53578A108	109	01/30/12	05/08/12	\$12,273.12	\$8,188.08	\$4,085.04
LINKEDIN CORP - A	LNKD	53578A108	57	03/05/12	05/08/12	\$6,418.06	\$4,923.66	\$1,494.40
OLD DOMINION FIGHT LINES INC	ODFL	679580100	38	08/24/11	05/10/12	\$1,648.78	\$1,146.46	\$502.32
QUEST SOFTWARE INC	QSFT	74834T103	498	07/14/11	05/10/12	\$12,539.35	\$9,706.02	\$2,833.33
KEY ENERGY SERVICES INC.	KEG	492914106	657	02/23/12	05/16/12	\$6,642.12	\$11,504.07	\$-4,861.95
UNIVERSAL HEALTH SVCS INC CL B UHS	UHS	913903100	17	02/23/12	05/16/12	\$658.40	\$734.57	\$-76.17
KEY ENERGY SERVICES INC.	KEG	492914106	285	03/20/12	05/16/12	\$2,881.28	\$4,705.35	\$-1,824.07
KEY ENERGY SERVICES INC.	KEG	492914106	274	02/27/12	05/16/12	\$2,770.08	\$4,844.32	\$-2,074.24
UNIVERSAL HEALTH SVCS INC CL B UHS	UHS	913903100	108	02/27/12	05/16/12	\$4,182.74	\$4,749.84	\$-567.10
SXC HEALTH SOLUTIONS CORP CON		78505P100	11	05/10/12	05/21/12	\$992.29	\$996.71	\$-4.42
NUANCE COMMUNICATIONS INC	NUAN	67020Y100	434	02/29/12	05/21/12	\$9,304.75	\$11,253.62	\$-1,948.87
NUANCE COMMUNICATIONS INC	NUAN	67020Y100	160	03/05/12	05/21/12	\$3,430.32	\$4,262.40	\$-832.08
SXC HEALTH SOLUTIONS CORP CON		78505P100	127	08/08/11	05/21/12	\$11,456.41	\$5,770.88	\$5,685.53



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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
SXC HEALTH SOLUTIONS CORP COM		78505P100	22	08/11/11	05/21/12	\$1,984.57	\$1,156.10	\$828.47
ARUBA NETWORKS INC	ARUN	043176106	137	11/16/11	05/29/12	\$1,904.26	\$3,308.55	\$-1,404.29
FORTINET INC	FTNT	34959E109	97	05/16/12	05/29/12	\$2,114.55	\$2,351.28	\$-236.73
FORTINET INC	FTNT	34959E109	2	06/15/11	05/29/12	\$43.60	\$44.08	\$-0.48
FORTINET INC	FTNT	34959E109	40	10/24/11	05/29/12	\$871.98	\$765.20	\$106.78
UNIVERSAL HEALTH SVCS INC CL B UHS		913903100	74	02/23/12	05/29/12	\$2,931.07	\$3,197.54	\$-266.47
UNIVERSAL HEALTH SVCS INC CL B UHS		913903100	269	01/27/12	05/29/12	\$10,654.85	\$11,252.27	\$-597.42
ARUBA NETWORKS INC	ARUN	043176106	441	11/11/11	05/29/12	\$6,129.76	\$10,341.45	\$-4,211.69
ARUBA NETWORKS INC	ARUN	043176106	113	02/23/12	05/29/12	\$1,570.66	\$2,472.44	\$-901.78
FORTINET INC	FTNT	34959E109	147	05/08/12	05/29/12	\$3,204.53	\$3,664.71	\$-460.18
FORTINET INC	FTNT	34959E109	50	07/04/11	05/29/12	\$1,089.98	\$1,259.52	\$-169.54
ARUBA NETWORKS INC	ARUN	043176106	126	11/23/11	05/29/12	\$1,751.36	\$2,444.40	\$-693.04
FRESH MARKET INC/THE	TFM	35804H106	23	03/06/12	05/31/12	\$1,336.50	\$1,030.86	\$305.64
EDUCATION RLTY TR INC	EDR	28140H104	971	05/16/12	05/31/12	\$10,685.81	\$10,843.64	\$-157.83
SXC HEALTH SOLUTIONS CORP COM		78505P100	114	08/08/11	05/31/12	\$10,225.57	\$5,180.16	\$5,045.41
ON SEMICONDUCTOR CORP	ONNN	682189105	300	03/20/12	06/07/12	\$2,015.95	\$2,754.00	\$-738.05
ON SEMICONDUCTOR CORP	ONNN	682189105	423	01/19/12	06/07/12	\$2,842.49	\$3,785.85	\$-943.36
ON SEMICONDUCTOR CORP	ONNN	682189105	378	01/27/12	06/07/12	\$2,540.10	\$3,352.86	\$-812.76
ON SEMICONDUCTOR CORP	ONNN	682189105	1160	01/05/12	06/07/12	\$7,795.02	\$9,303.20	\$-1,508.18
REGENERON PHARMACEUTICALS IN REGN		75886F107	23	02/27/12	06/07/12	\$2,966.47	\$2,436.85	\$529.62
REGENERON PHARMACEUTICALS IN REGN		75886F107	39	02/23/12	06/07/12	\$5,030.10	\$4,029.87	\$1,000.23
REGENERON PHARMACEUTICALS IN REGN		75886F107	12	02/06/12	06/07/12	\$1,547.72	\$1,213.80	\$333.92
WELLCARE HEALTH PLANS	WCG	94946T106	40	05/29/12	06/12/12	\$2,059.15	\$2,275.20	\$-216.05
WELLCARE HEALTH PLANS	WCG	94946T106	34	03/19/12	06/12/12	\$1,750.28	\$2,186.93	\$-436.65
WELLCARE HEALTH PLANS	WCG	94946T106	46	11/16/11	06/12/12	\$2,368.03	\$2,478.02	\$-109.99
REGENERON PHARMACEUTICALS IN REGN		75886F107	80	02/06/12	06/13/12	\$8,949.39	\$8,092.00	\$857.39
RACKSPACE HOSTING INC	RAX	750086100	194	05/04/12	06/14/12	\$8,010.07	\$11,331.54	\$-3,321.47
TERADYNE INC	TER	880770102	32	02/23/12	06/14/12	\$451.83	\$530.88	\$-79.05

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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
TERADYNE INC	TER	880770102	270	02/15/12	06/14/12	\$3,812.31	\$4,538.70	\$-726.39
RACKSPACE HOSTING INC	RAX	750086100	46	05/08/12	06/14/12	\$1,899.30	\$2,421.90	\$-522.60
AMETEK INC NEW	AME	031100100	292	08/11/11	06/14/12	\$14,812.82	\$11,084.32	\$3,728.50
CABOT OIL & GAS CORP	COG	127097103	19	01/10/12	06/18/12	\$699.37	\$744.61	\$-45.24
CABOT OIL & GAS CORP	COG	127097103	44	01/05/12	06/18/12	\$1,619.60	\$1,819.84	\$-200.24
CHART INDS INC	GTLS	16115Q308	63	07/01/11	06/18/12	\$4,098.06	\$3,528.17	\$469.89
CHART INDS INC	GTLS	16115Q308	28	07/21/11	06/18/12	\$1,821.36	\$1,693.44	\$127.92
CHART INDS INC	GTLS	16115Q308	29	03/05/12	06/18/12	\$1,886.41	\$1,996.07	\$-109.66
LSI LOGIC CORP	LSI	502161102	292	05/21/12	06/18/12	\$1,897.96	\$2,143.28	\$-245.32
LSI LOGIC CORP	LSI	502161102	753	05/16/12	06/18/12	\$4,894.39	\$5,715.27	\$-820.88
LSI LOGIC CORP	LSI	502161102	1776	03/09/12	06/18/12	\$11,543.74	\$15,522.24	\$-3,978.50
VEBA BRADLEY INC	VRA	92335C106	206	11/29/11	06/20/12	\$4,898.57	\$7,467.50	\$-2,568.93
TIMKEN CO	TKR	887389104	86	02/06/12	06/20/12	\$3,894.85	\$4,461.68	\$-566.83
TIMKEN CO	TKR	887389104	189	01/30/12	06/20/12	\$8,559.62	\$9,204.30	\$-644.68
ONYX PHARMACEUTICALS INC	ONXX	683399109	23	12/02/11	06/21/12	\$1,411.55	\$1,007.86	\$403.69
ONYX PHARMACEUTICALS INC	ONXX	683399109	184	08/30/11	06/21/12	\$11,292.39	\$6,204.48	\$5,087.91
MADDEN STEVEN LTD	SHOO	556269108	28	11/16/11	06/25/12	\$995.10	\$971.60	\$23.50
CHART INDS INC	GTLS	16115Q308	28	07/01/11	06/25/12	\$1,680.52	\$1,612.52	\$68.00
TERADYNE INC	TER	880770102	62	02/23/12	06/28/12	\$832.64	\$1,028.58	\$-195.94
VEBA BRADLEY INC	VRA	92335C106	149	11/29/11	06/28/12	\$3,038.04	\$5,401.25	\$-2,363.21
VEBA BRADLEY INC	VRA	92335C106	68	02/23/12	06/28/12	\$1,386.49	\$2,394.96	\$-1,008.47
VEBA BRADLEY INC	VRA	92335C106	91	04/04/12	06/28/12	\$1,855.45	\$2,835.56	\$-980.11
VEBA BRADLEY INC	VRA	92335C106	57	12/21/11	06/28/12	\$1,162.20	\$1,774.98	\$-612.78
TERADYNE INC	TER	880770102	949	12/09/11	06/28/12	\$12,744.78	\$13,409.37	\$-664.59
SCIENTIFIC GAMES CORP	SGMS	80874P109	412	08/08/11	06/28/12	\$3,379.07	\$2,921.08	\$457.99
PHARMACYCLICS INC	PCYC	716933106	114	05/29/12	06/28/12	\$5,981.44	\$3,679.92	\$2,301.52
JDS UNIPHASE CORP	JDSU	48612J507	126	03/16/12	06/28/12	\$1,319.19	\$1,791.72	\$-472.53
ABERCROMBIE & FITCH CO CL A	ANF	002896207	3	08/25/11	06/28/12	\$94.47	\$174.95	\$-80.48



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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
COGNEX CORP	CGNX	192422103	200	02/23/12	06/28/12	\$6,041.86	\$8,552.00	\$-2,510.14
COGNEX CORP	CGNX	192422103	120	02/27/12	06/28/12	\$3,625.12	\$5,126.40	\$-1,501.28
JDS UNIPHASE CORP	JDSU	46612J507	878	03/06/12	06/28/12	\$9,192.45	\$10,975.00	\$-1,782.55
WELLCARE HEALTH PLANS	WCG	94946T106	57	12/21/11	07/05/12	\$3,034.04	\$3,070.59	\$-36.55
WELLCARE HEALTH PLANS	WCG	94946T106	92	11/16/11	07/05/12	\$4,897.05	\$4,956.04	\$-58.99
WELLCARE HEALTH PLANS	WCG	94946T106	51	11/23/11	07/05/12	\$2,714.67	\$2,722.38	\$-7.71
SHAW GROUP INC	SHAW	820280105	274	03/14/12	07/19/12	\$7,134.80	\$8,696.76	\$-1,561.96
SHAW GROUP INC	SHAW	820280105	143	03/20/12	07/19/12	\$3,723.63	\$4,422.99	\$-699.36
NXP SEMICONDUCTORS N V	NXPI	N6596X109	45	01/27/12	07/19/12	\$1,031.82	\$980.10	\$51.72
TITAN INTL INC ILL	TWI	88830M102	92	03/20/12	07/19/12	\$1,959.56	\$2,327.60	\$-368.04
WALTER INDS INC	WLT	93317Q105	172	12/21/11	07/19/12	\$6,437.81	\$10,799.88	\$-4,362.07
WALTER INDS INC	WLT	93317Q105	17	01/10/12	07/19/12	\$636.30	\$976.65	\$-340.35
TITAN INTL INC ILL	TWI	88830M102	468	03/14/12	07/19/12	\$9,968.17	\$11,540.88	\$-1,572.71
WALTER INDS INC	WLT	93317Q105	63	01/05/12	07/19/12	\$2,358.04	\$3,834.18	\$-1,476.14
WRIGHT MED GROUP INC	WMGI	98235T107	360	08/01/11	07/20/12	\$6,861.45	\$5,530.32	\$1,331.13
WRIGHT MED GROUP INC	WMGI	98235T107	272	01/17/12	07/20/12	\$5,184.20	\$4,504.32	\$679.88
CORPORATE EXECUTIVE BRD CO	CEB	21988R102	97	08/11/11	07/30/12	\$4,081.66	\$3,196.15	\$885.51
EMULEX CORP COM NEW	ELX	292475209	577	09/21/11	08/01/12	\$3,583.08	\$3,860.13	\$-277.05
PENN NATL GAMING INC	PENN	707569109	75	02/16/12	08/01/12	\$2,858.94	\$3,115.50	\$-256.56
SOLARWINDS INC	SWI	83416B109	126	03/16/12	08/01/12	\$6,602.25	\$4,789.26	\$1,812.99
WRIGHT MED GROUP INC	WMGI	98235T107	469	11/02/11	08/02/12	\$9,281.30	\$6,655.11	\$2,626.19
UBIQUITI NETWORKS INC	UBNT	90347A100	822	06/14/12	08/21/12	\$7,471.81	\$11,113.44	\$-3,641.63
UBIQUITI NETWORKS INC	UBNT	90347A100	222	08/01/12	08/21/12	\$2,017.93	\$3,081.36	\$-1,063.43
CUBIST PHARMACEUTICALS INC	CBST	229678107	92	01/20/12	08/21/12	\$4,028.59	\$3,646.88	\$381.71
ELECTRONIC ARTS	EA	285512109	91	06/07/12	08/21/12	\$1,233.02	\$1,222.13	\$10.89
CUBIST PHARMACEUTICALS INC	CBST	229678107	45	04/04/12	08/21/12	\$1,970.50	\$1,921.50	\$49.00
ONYX PHARMACEUTICALS INC	ONXX	683399109	17	08/30/11	08/22/12	\$1,229.58	\$573.24	\$656.34
GUESS INC	GES	401617105	252	02/16/12	08/22/12	\$8,449.37	\$8,893.08	\$-443.71



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Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
FRESH MARKET INC/THE	TFM	35804H106	18	07/12/12	08/22/12	\$1,100.85	\$984.96	\$115.89
CABOT OIL & GAS CORP	COG	127097103	73	01/10/12	08/22/12	\$3,065.93	\$2,860.87	\$205.06
CABOT OIL & GAS CORP	COG	127097103	64	09/07/11	08/22/12	\$2,687.94	\$2,468.16	\$219.78
PHARMACYCLICS INC	PCYC	716933106	46	05/29/12	08/22/12	\$2,969.23	\$1,484.88	\$1,484.35
TRANSDIGM GROUP INC	TDG	893641100	108	09/26/11	08/22/12	\$14,715.75	\$9,207.00	\$5,508.75
GUESS INC	GES	401617105	74	02/16/12	08/23/12	\$1,919.52	\$2,611.46	\$-691.94
GUESS INC	GES	401617105	85	02/23/12	08/23/12	\$2,204.85	\$2,923.15	\$-718.30
GUESS INC	GES	401617105	80	03/16/12	08/23/12	\$2,075.15	\$2,603.20	\$-528.05
GUESS INC	GES	401617105	149	04/04/12	08/23/12	\$3,864.97	\$4,611.55	\$-746.58
GUESS INC	GES	401617105	68	05/08/12	08/23/12	\$1,763.88	\$1,802.68	\$-38.80
GUESS INC	GES	401617105	120	05/21/12	08/23/12	\$3,112.73	\$2,937.60	\$175.13
NXP SEMICONDUCTORS N V	NXPI	N6596X109	47	08/22/12	08/28/12	\$1,119.04	\$1,115.31	\$3.73
ALBEMARLE CORP COM	ALB	012653101	51	02/06/12	08/28/12	\$2,779.95	\$3,443.01	\$-663.06
NXP SEMICONDUCTORS N V	NXPI	N6596X109	5	07/24/12	08/28/12	\$119.05	\$113.15	\$5.90
ALBEMARLE CORP COM	ALB	012653101	45	03/14/12	08/28/12	\$2,452.89	\$2,876.40	\$-423.51
ALBEMARLE CORP COM	ALB	012653101	69	01/20/12	08/28/12	\$3,761.10	\$4,115.85	\$-354.75
RADWARE LTD	RDWR	M81873107	143	05/29/12	08/31/12	\$4,667.41	\$5,586.21	\$-918.80
ONYX PHARMACEUTICALS INC	ONXX	683399109	17	08/23/12	08/31/12	\$1,222.44	\$1,218.56	\$3.88
CAVIUM INC	CAVM	14964U108	143	06/18/12	09/04/12	\$4,558.73	\$4,035.46	\$523.27
SPLUNK INC	SPLK	848637104	68	08/21/12	09/04/12	\$2,405.10	\$2,091.68	\$313.42
AVAGO TECHNOLOGIES LTD	AVGO	Y0486S104	34	10/17/11	09/04/12	\$1,219.89	\$1,246.13	\$-26.24
AVAGO TECHNOLOGIES LTD	AVGO	Y0486S104	1	10/31/11	09/04/12	\$35.88	\$39.06	\$-3.18
AVAGO TECHNOLOGIES LTD	AVGO	Y0486S104	74	10/14/11	09/04/12	\$2,655.06	\$2,642.54	\$12.52
MEDIVATION INC	MDVN	58501N101	12	05/29/12	09/05/12	\$1,289.01	\$1,039.44	\$249.57
ALBEMARLE CORP COM	ALB	012653101	200	01/20/12	09/05/12	\$10,809.75	\$11,930.00	\$-1,120.25
MEDIVATION INC	MDVN	58501N101	51	04/04/12	09/05/12	\$5,478.29	\$4,029.00	\$1,449.29
EQUIFAX INC	EFX	294429105	7	11/07/11	09/06/12	\$330.11	\$252.35	\$77.76
EQUIFAX INC	EFX	294429105	62	05/04/12	09/06/12	\$2,923.85	\$2,834.64	\$89.21



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This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
PHARMACYCLICS INC	PCYC	716933106	68	05/29/12	09/06/12	\$4,472.25	\$2,195.04	\$2,277.21
SPLUNK INC	SPLK	848637104	29	08/21/12	09/06/12	\$1,080.80	\$892.04	\$188.76
ALIGN TECHNOLOGY INC	ALGN	016255101	117	07/05/12	09/12/12	\$4,504.39	\$4,089.15	\$415.24
EQUIFAX INC	EFX	294429105	285	11/07/11	09/12/12	\$13,152.45	\$10,274.25	\$2,878.20
MARKETAXESS HLDGS INC	MKTX	57060D108	122	07/24/12	09/12/12	\$3,661.14	\$3,490.42	\$170.72
SPIRIT AEROSYSTEMS HLDGS INC	SPR	848574109	514	03/09/12	09/19/12	\$11,852.57	\$13,178.96	\$-1,326.39
SPIRIT AEROSYSTEMS HLDGS INC	SPR	848574109	165	03/16/12	09/19/12	\$3,804.81	\$4,191.00	\$-386.19
QUESTCOR PHARMACEUTICALS INC	QCOR	74835Y101	263	06/13/12	09/19/12	\$6,929.89	\$12,282.10	\$-5,352.21
RADWARE LTD	RDWR	M81873107	228	05/29/12	09/19/12	\$7,708.59	\$8,906.68	\$-1,198.09
RADWARE LTD	RDWR	M81873107	103	06/07/12	09/19/12	\$3,482.39	\$3,854.26	\$-371.87
WESTPORT INNOVATIONS INC	WPRT	960908309	27	03/09/12	09/19/12	\$792.70	\$1,227.69	\$-434.99
WESTPORT INNOVATIONS INC	WPRT	960908309	68	03/16/12	09/19/12	\$1,996.43	\$3,215.04	\$-1,218.61
WESTPORT INNOVATIONS INC	WPRT	960908309	46	03/06/12	09/21/12	\$1,247.03	\$1,942.12	\$-695.09
WESTPORT INNOVATIONS INC	WPRT	960908309	53	03/09/12	09/21/12	\$1,436.80	\$2,409.91	\$-973.11
WESTPORT INNOVATIONS INC	WPRT	960908309	211	03/05/12	09/21/12	\$5,720.08	\$9,174.28	\$-3,454.20
HEALTHSOUTH CORP	HLS	421924309	475	06/25/12	09/28/12	\$11,423.49	\$10,298.00	\$1,125.49
IPG PHOTONICS CORP	IPGP	44980X109	228	05/02/12	09/28/12	\$13,061.82	\$12,255.00	\$806.82
IPG PHOTONICS CORP	IPGP	44980X109	69	06/28/12	09/28/12	\$3,952.92	\$2,980.11	\$972.81
TIBCO SOFTWARE INC	TIBX	88632Q103	26	03/16/12	09/28/12	\$785.70	\$793.78	\$-8.08
JDS UNIPHASE CORP	JDSU	46612J507	304	03/06/12	09/28/12	\$3,761.91	\$3,800.00	\$-38.09
JDS UNIPHASE CORP	JDSU	46612J507	565	05/16/12	09/28/12	\$6,991.71	\$5,825.15	\$1,166.56
LENNAR CORP	LEN	526057104	377	06/28/12	09/28/12	\$13,104.22	\$11,072.49	\$2,031.73
LENNAR CORP	LEN	526057104	149	07/19/12	09/28/12	\$5,179.12	\$4,519.17	\$659.95
LENNAR CORP	LEN	526057104	98	07/24/12	09/28/12	\$3,406.40	\$2,966.46	\$439.94
MEDIVATION INC	MDVN	58501N101	36	04/04/12	09/28/12	\$2,028.55	\$1,422.00	\$606.55
MEDIVATION INC	MDVN	58501N101	102	05/04/12	09/28/12	\$5,747.57	\$3,961.68	\$1,785.89
MEDIVATION INC	MDVN	58501N101	296	03/09/12	09/28/12	\$16,679.22	\$10,959.40	\$5,719.82
TIBCO SOFTWARE INC	TIBX	88632Q103	137	04/04/12	09/28/12	\$4,140.04	\$4,310.02	\$-169.98



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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
HEALTHSOUTH CORP	HLS	421924309	149	07/05/12	09/28/12	\$3,583.37	\$3,459.78	\$123.59
CONCUR TECHNOLOGIES INC	CNQR	206708109	154	06/18/12	09/28/12	\$11,352.62	\$10,435.04	\$917.58
ABERCROMBIE & FITCH CO CL A	ANF	002896207	67	02/23/12	09/28/12	\$2,271.92	\$3,228.73	\$-956.81
JDS UNIPHASE CORP	JDSU	46612J507	183	05/21/12	09/28/12	\$2,264.57	\$1,872.09	\$392.48
CORE LABORATORIES N V	CLB	N22717107	27	05/21/12	10/01/12	\$3,256.67	\$3,748.41	\$-491.74
CORE LABORATORIES N V	CLB	N22717107	12	05/31/12	10/01/12	\$1,447.41	\$1,534.92	\$-87.51
ARIAD PHARMACEUTICALS INC	ARIA	04033A100	70	05/21/12	10/01/12	\$1,670.86	\$1,178.10	\$492.76
TIBCO SOFTWARE INC	TIBX	88632Q103	105	03/16/12	10/05/12	\$3,009.22	\$3,205.65	\$-196.43
TIBCO SOFTWARE INC	TIBX	88632Q103	4	12/06/11	10/05/12	\$114.64	\$113.68	\$0.96
PHARMACYCLICS INC	PCYC	716933106	69	09/28/12	10/16/12	\$4,602.88	\$4,451.19	\$151.69
ACTIVE NETWORK INC/THE	ACTV	00506D100	70	05/04/12	10/17/12	\$693.68	\$1,071.00	\$-377.32
ACTIVE NETWORK INC/THE	ACTV	00506D100	205	05/21/12	10/17/12	\$2,031.50	\$2,703.95	\$-672.45
G-III APPAREL GROUP LTD	GIII	36237H101	199	03/22/12	10/17/12	\$7,635.45	\$5,645.63	\$1,989.82
BRAVO BRIO RESTAURANT GROUP I BBRG	BBRG	10567B109	205	01/23/12	10/31/12	\$2,703.89	\$3,832.56	\$-1,128.67
BRAVO BRIO RESTAURANT GROUP I BBRG	BBRG	10567B109	107	06/07/12	10/31/12	\$1,411.30	\$1,856.45	\$-445.15
BRAVO BRIO RESTAURANT GROUP I BBRG	BBRG	10567B109	101	11/16/11	10/31/12	\$1,332.16	\$1,725.08	\$-392.92
ALIGN TECHNOLOGY INC	ALGN	016255101	224	07/05/12	11/12/12	\$5,935.87	\$7,828.80	\$-1,892.93
ACHILLION PHARMACEUTICALS	ACHN	00448Q201	550	09/28/12	11/12/12	\$4,339.40	\$5,731.00	\$-1,391.60
ALIGN TECHNOLOGY INC	ALGN	016255101	75	07/24/12	11/12/12	\$1,987.45	\$2,487.75	\$-500.30
PROASSURANCE CORP	PRA	74267C106	63	09/24/12	11/12/12	\$5,531.90	\$5,652.99	\$-121.09
NETSUITE INC	N	64118Q107	37	09/14/12	11/16/12	\$2,161.12	\$2,326.19	\$-165.07
PROASSURANCE CORP	PRA	74267C106	63	05/29/12	11/16/12	\$5,450.63	\$5,601.96	\$-151.33
AFFILIATED MANAGERS GROUP INC AMG	AMG	008252108	34	12/02/11	11/16/12	\$4,142.47	\$3,253.12	\$889.35
EDUCATION RLTY TR INC	EDR	28140H104	676	09/26/12	11/16/12	\$6,766.61	\$7,436.00	\$-669.39
TEAVANA HOLDINGS INC	TEA	87819P102	318	06/12/12	11/16/12	\$4,903.45	\$4,439.28	\$464.17
TEAVANA HOLDINGS INC	TEA	87819P102	160	12/02/11	11/16/12	\$2,467.14	\$2,665.60	\$-198.46
NETSUITE INC	N	64118Q107	51	10/05/12	11/16/12	\$2,978.84	\$3,202.29	\$-223.45
NETSUITE INC	N	64118Q107	51	08/21/12	11/16/12	\$2,978.84	\$2,833.05	\$145.79



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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
NETSUITE INC	N	64118Q107	51	08/28/12	11/16/12	\$2,978.84	\$2,827.44	\$151.40
NETSUITE INC	N	64118Q107	143	08/01/12	11/16/12	\$8,352.44	\$7,640.49	\$711.95
AFFILIATED MANAGERS GROUP INC AMG	AMG	008252108	28	01/10/12	11/16/12	\$3,411.44	\$2,869.72	\$541.72
CABOT OIL & GAS CORP	COG	127097103	71	09/28/12	11/19/12	\$3,484.60	\$3,188.61	\$295.99
QLIK TECHNOLOGIES INC	QLIK	74733T105	298	09/06/12	11/19/12	\$5,596.31	\$7,095.38	\$-1,499.07
PROASSURANCE CORP	PRA	74267C106	57	05/29/12	11/29/12	\$5,124.18	\$5,068.44	\$55.74
ALLOT COMMUNICATIONS LTD	ALLT	M0854Q105	218	08/22/12	11/29/12	\$4,743.57	\$6,011.81	\$-1,268.24
STRATASYS INC	SSYS	862685104	298	09/20/12	12/03/12	\$22,648.00	\$17,355.60	\$5,292.40
G-III APPAREL GROUP LTD	GIII	36237H101	125	02/21/12	12/03/12	\$4,693.64	\$3,248.75	\$1,444.89
G-III APPAREL GROUP LTD	GIII	36237H101	26	03/22/12	12/03/12	\$976.28	\$737.62	\$238.66
SOLARWINDS INC	SWI	83416B109	35	08/21/12	12/06/12	\$1,917.26	\$1,941.10	\$-23.84
SOLARWINDS INC	SWI	83416B109	42	12/06/11	12/06/12	\$2,300.71	\$1,382.22	\$918.49
SOLARWINDS INC	SWI	83416B109	4	03/16/12	12/06/12	\$219.12	\$152.04	\$67.08
QLIK TECHNOLOGIES INC	QLIK	74733T105	79	09/06/12	12/06/12	\$1,532.56	\$1,880.99	\$-348.43
QLIK TECHNOLOGIES INC	QLIK	74733T105	214	09/28/12	12/06/12	\$4,151.51	\$4,797.88	\$-646.37
AKORN INC	AKRX	009728106	68	02/06/12	12/06/12	\$879.90	\$815.32	\$64.58
AKORN INC	AKRX	009728106	272	02/27/12	12/06/12	\$3,519.60	\$3,432.64	\$86.96
ALLOT COMMUNICATIONS LTD	ALLT	M0854Q105	323	09/28/12	12/06/12	\$6,120.71	\$8,569.19	\$-2,448.48
ALLOT COMMUNICATIONS LTD	ALLT	M0854Q105	176	08/22/12	12/06/12	\$3,335.12	\$4,853.57	\$-1,518.45
ONYX PHARMACEUTICALS INC	ONXX	683399109	13	09/28/12	12/12/12	\$1,066.49	\$1,098.63	\$-32.14
AKORN INC	AKRX	009728106	26	01/27/12	12/17/12	\$335.13	\$289.38	\$45.75
AKORN INC	AKRX	009728106	251	03/09/12	12/17/12	\$3,235.32	\$2,994.43	\$240.89
AKORN INC	AKRX	009728106	99	02/06/12	12/17/12	\$1,276.08	\$1,187.01	\$89.07
SPLUNK INC	SPLK	848637104	31	10/01/12	12/17/12	\$857.44	\$1,114.14	\$-256.70
TIBCO SOFTWARE INC	TIBX	88632Q103	40	04/01/12	12/17/12	\$819.18	\$1,348.83	\$-529.65
SPLUNK INC	SPLK	848637104	46	08/21/12	12/17/12	\$1,272.33	\$1,414.96	\$-142.63
SPLUNK INC	SPLK	848637104	64	08/01/12	12/17/12	\$1,770.20	\$1,853.44	\$-83.24
HEARTLAND PMT SYS INC	HPY	42235N108	56	09/24/12	12/17/12	\$1,604.72	\$1,814.96	\$-210.24



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Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
HEARTLAND PMT SYS INC	HPY	42235N108	113	09/19/12	12/17/12	\$3,238.09	\$3,645.38	\$-407.29
HEARTLAND PMT SYS INC	HPY	42235N108	388	08/21/12	12/17/12	\$11,118.39	\$12,072.70	\$-954.31
HEARTLAND PMT SYS INC	HPY	42235N108	51	08/23/12	12/17/12	\$1,461.44	\$1,543.26	\$-81.82
HEARTLAND PMT SYS INC	HPY	42235N108	81	11/16/12	12/17/12	\$2,321.11	\$2,269.62	\$51.49
SERVICENOW INC	NOW	81762P102	208	09/04/12	12/31/12	\$6,244.02	\$7,005.44	\$-761.42
Total short term gain/loss from sales:						\$1,503,615.35	\$1,513,042.96	\$-9,427.61

Short term transactions with loss disallowed due to wash sale

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss	Portion subject to 988	Wash sale loss disallowed
QUESTCOR PHARMACEUTICALS INC QCOR		74835Y101	18	11/16/11	01/10/12	\$747.52	\$765.00	\$-17.48	\$0.00	\$17.48
QUESTCOR PHARMACEUTICALS INC QCOR		74835Y101	23	11/25/11	01/10/12	\$955.17	\$1,061.24	\$-106.07	\$0.00	\$101.46
AVAGO TECHNOLOGIES LTD	AVGO	Y0486S104	35	10/14/11	01/10/12	\$1,072.37	\$1,249.85	\$-177.48	\$0.00	\$177.48
ACME PACKET INC	APKT	004764106	53	05/27/11	01/20/12	\$1,628.65	\$3,897.62	\$-2,268.97	\$0.00	\$1,969.29
CABOT OIL & GAS CORP	COG	127097103	24	01/05/12	01/27/12	\$788.86	\$992.64	\$-203.78	\$0.00	\$203.78
ABERCROMBIE & FITCH CO CL A	ANF	002896207	3	08/18/11	02/16/12	\$145.44	\$175.83	\$-30.39	\$0.00	\$30.39
ABERCROMBIE & FITCH CO CL A	ANF	002896207	26	02/24/11	02/16/12	\$1,260.45	\$1,461.46	\$-201.01	\$0.00	\$201.01



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Short term transactions with loss disallowed due to wash sale

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Portion subject to 988	Net gain or loss	Wash sale loss disallowed
OWENS CORNING NEW	OC	690742101	53	05/27/11	02/23/12	\$1,663.64	\$1,961.53	\$0.00	\$-297.89	\$247.30
WELLCARE HEALTH PLANS	WCG	94946T106	34	02/23/12	05/04/12	\$2,029.07	\$2,282.08	\$0.00	\$-253.01	\$253.01
TIBCO SOFTWARE INC	TIBX	88632Q103	46	04/04/12	06/01/12	\$1,166.99	\$1,447.16	\$0.00	\$-280.17	\$243.63
TEAVANA HOLDINGS INC	TEA	87819P102	142	08/04/11	06/07/12	\$2,022.03	\$3,880.86	\$0.00	\$-1,858.83	\$1,858.83
CABOT OIL & GAS CORP	COG	127097103	80	11/02/11	06/18/12	\$2,944.73	\$3,217.20	\$0.00	\$-272.47	\$115.80
EVERCORE PARTNERS INC	EVR	29977A105	186	03/16/12	06/18/12	\$4,352.30	\$5,415.65	\$0.00	\$-1,063.35	\$1,063.35
EVERCORE PARTNERS INC	EVR	29977A105	214	03/27/12	06/18/12	\$5,007.49	\$6,368.64	\$0.00	\$-1,361.15	\$292.58
EDUCATION RLTY TR INC	EDR	28140H104	1143	09/22/12	11/16/12	\$11,441.17	\$12,598.06 *	\$0.00	\$-1,156.89	\$1,131.83 ✓
Total short term gain/loss from sales:						\$37,225.88	\$46,774.82		\$-9,548.94	
Total short term loss disallowed from wash sales:									\$7,907.22	
Total short term Section 988 translation gain/loss from securities subject to wash sale:									\$0.00	

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
VERIFONE HLDGS INC	PAY	92342Y109	154	02/24/11	03/05/12	\$7,167.03	\$6,951.56	\$215.47



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Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
SNAP ON INC	SNA	833034101	121	02/24/11	03/05/12	\$7,294.95	\$6,715.50	\$579.45
CYPRESS SEMICONDUCTOR CORP (CY	CY	232806109	1005	02/24/11	03/09/12	\$16,009.36	\$21,106.86 *	\$-5,097.50
VALUECLICK INC	VCLK	92046N102	644	02/24/11	03/13/12	\$13,227.52	\$9,576.28	\$3,651.24
SIGNATURE BK NEW YORK NY	SBNY	82669G104	145	02/24/11	03/14/12	\$9,092.06	\$7,486.35	\$1,605.71
MARKETAXESS HLDGS INC	MKTX	57060D108	72	02/24/11	03/16/12	\$2,586.91	\$1,515.32 *	\$1,071.59
LULULEMON ATHLETICA INC	LULU	550021109	116	02/24/11	03/16/12	\$8,355.33	\$4,418.44	\$3,936.89
ATWOOD OCEANICS INC	ATW	050095108	215	02/24/11	03/16/12	\$10,431.61	\$9,479.35	\$952.26
OLD DOMINION FIGHT LINES INC	ODFL	679580100	351	02/24/11	03/16/12	\$16,742.39	\$10,631.79	\$6,110.60
CHEMED CORP NEW	CHE	16359R103	104	02/24/11	03/22/12	\$6,387.56	\$6,697.60	\$-310.04
ATWOOD OCEANICS INC	ATW	050095108	132	02/24/11	03/29/12	\$5,924.02	\$5,819.88	\$104.14
LULULEMON ATHLETICA INC	LULU	550021109	114	02/24/11	04/04/12	\$8,582.86	\$4,342.26	\$4,240.60
ACME PACKET INC	APKT	004764106	40	02/24/11	05/04/12	\$1,070.78	\$2,912.40	\$-1,841.62
KINDRED HEALTHCARE INC	KND	494580103	494	02/24/11	05/04/12	\$4,440.96	\$12,073.36	\$-7,632.40
OLD DOMINION FIGHT LINES INC	ODFL	679580100	164	02/24/11	05/04/12	\$7,348.67	\$4,967.56	\$2,381.11
HEIDRICK & STRUGGLES INTL INC	HSII	422819102	99	02/24/11	05/10/12	\$1,759.19	\$2,609.64	\$-850.45
OLD DOMINION FIGHT LINES INC	ODFL	679580100	68	02/24/11	05/10/12	\$2,950.45	\$2,059.72	\$890.73
MEREDITH CORPORATION COMMON MDP	MDP	589433101	170	02/24/11	05/10/12	\$4,764.99	\$5,951.70	\$-1,186.71
ANIXTER INTL INC	AXE	035290105	66	02/24/11	05/10/12	\$4,460.84	\$4,621.32	\$-160.48
ACCURIDE CORP	ACW	00439T206	53	03/02/11	05/10/12	\$324.93	\$742.53	\$-417.60
ACCURIDE CORP	ACW	00439T206	240	02/24/11	05/10/12	\$1,471.38	\$3,480.00	\$-2,008.62
ACCURIDE CORP	ACW	00439T206	367	03/14/11	05/10/12	\$2,249.98	\$4,895.19	\$-2,645.21
ACCURIDE CORP	ACW	00439T206	141	03/01/11	05/10/12	\$864.44	\$1,954.26	\$-1,089.82
HEIDRICK & STRUGGLES INTL INC	HSII	422819102	193	02/24/11	05/18/12	\$3,230.74	\$5,087.48	\$-1,856.74
FORTINET INC	FTNT	34959E109	486	02/24/11	05/29/12	\$10,594.56	\$9,911.97	\$682.59
FRESH MARKET INC/THE	TFM	35804H106	38	05/16/11	05/31/12	\$2,208.13	\$1,523.99	\$684.14
FRESH MARKET INC/THE	TFM	35804H106	2	05/05/11	05/31/12	\$116.22	\$79.80	\$36.42
VALLEY NATL BANCORP	VLY	919794107	0.85	02/24/11	06/01/12	\$9.24	\$10.28	\$-1.04
FRESH MARKET INC/THE	TFM	35804H106	86	05/05/11	06/07/12	\$4,759.13	\$3,431.40	\$1,327.73



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Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
HAIN CELESTIAL GROUP INC	HAIN	405217100	36	05/27/11	06/14/12	\$1,921.63	\$1,295.28	\$626.35
HAIN CELESTIAL GROUP INC	HAIN	405217100	34	06/08/11	06/14/12	\$1,814.87	\$1,315.49	\$499.38
OWENS CORNING NEW	OC	690742101	32	05/27/11	06/15/12	\$901.74	\$1,184.32	\$-282.58
OWENS CORNING NEW	OC	690742101	102	05/05/11	06/25/12	\$2,751.89	\$3,740.34	\$-988.45
SCIENTIFIC GAMES CORP	SGMS	80874P109	796	05/12/11	06/25/12	\$6,655.84	\$8,332.29	\$-1,676.45
FEI CO	FEIC	30241L109	77	05/24/11	06/25/12	\$3,578.87	\$3,006.08	\$572.79
MADDEN STEVEN LTD	SHOO	556269108	224	02/24/11	06/25/12	\$7,960.77	\$6,307.84	\$1,652.93
SCIENTIFIC GAMES CORP	SGMS	80874P109	25	05/12/11	06/28/12	\$205.04	\$261.69	\$-56.65
ABERCROMBIE & FITCH CO CL A	ANF	002896207	99	02/24/11	06/28/12	\$3,117.43	\$5,564.79	\$-2,447.36
SCIENTIFIC GAMES CORP	SGMS	80874P109	478	05/24/11	06/28/12	\$3,920.37	\$4,507.54	\$-587.17
ABOVENET INC	ABVT	00374N107	333	02/24/11	07/03/12	\$27,972.00	\$20,586.06	\$7,385.94
CORPORATE EXECUTIVE BRD CO	CEB	21988R102	183	02/24/11	07/25/12	\$7,627.26	\$7,138.83	\$488.43
CORPORATE EXECUTIVE BRD CO	CEB	21988R102	117	02/24/11	07/30/12	\$4,923.25	\$4,564.17	\$359.08
HAIN CELESTIAL GROUP INC	HAIN	405217100	34	03/29/11	08/01/12	\$1,863.50	\$1,123.02	\$740.48
PENN NATL GAMING INC	PENN	707569109	29	04/14/11	08/01/12	\$1,105.45	\$1,072.71	\$32.74
HAIN CELESTIAL GROUP INC	HAIN	405217100	88	04/15/11	08/01/12	\$4,823.17	\$2,928.64	\$1,894.53
HAIN CELESTIAL GROUP INC	HAIN	405217100	15	05/27/11	08/01/12	\$822.13	\$539.70	\$282.43
WRIGHT MED GROUP INC	WMGI	98235T107	349	08/01/11	08/02/12	\$6,906.55	\$5,361.34	\$1,545.21
EAST WEST BANCORP INC	EWBC	27579R104	841	02/10/11	08/21/12	\$18,602.50	\$10,986.15	\$7,616.35
CROCS INC	CROX	227046109	273	02/24/11	08/23/12	\$4,449.80	\$4,941.30	\$-491.50
HAIN CELESTIAL GROUP INC	HAIN	405217100	240	03/29/11	08/23/12	\$16,262.03	\$7,927.20	\$8,334.83
MADDEN STEVEN LTD	SHOO	556269108	81	02/24/11	08/28/12	\$3,428.65	\$2,280.96	\$1,147.69
ONYX PHARMACEUTICALS INC	ONXX	683399109	29	08/30/11	08/31/12	\$2,085.34	\$977.88	\$1,107.46
INTERLINE BRANDS INC	IBI	458743101	660	02/24/11	09/07/12	\$16,830.00	\$14,256.00	\$2,574.00
MARKETAXESS HLDGS INC	MKTX	57060D108	525	02/24/11	09/12/12	\$15,754.89	\$10,964.30	\$4,790.59
CROCS INC	CROX	227046109	42	03/02/11	09/14/12	\$748.84	\$734.16	\$14.68
CROCS INC	CROX	227046109	597	02/24/11	09/14/12	\$10,644.27	\$10,805.70	\$-161.43
FEI CO	FEIC	30241L109	38	05/16/11	09/14/12	\$2,101.35	\$1,479.72	\$621.63



BAY MELLON
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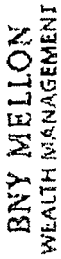
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Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
FEI CO	FEIC	302411109	52	05/24/11	09/14/12	\$2,875.53	\$2,030.08	\$845.45
PENN NATL GAMING INC	PENN	707569109	61	04/14/11	09/14/12	\$2,493.01	\$2,256.39	\$236.62
ARKANSAS BEST CORP DEL	ABFS	040790107	473	06/27/11	09/20/12	\$3,569.22	\$10,954.68	\$-7,385.46
COOPER TIRE & RUBBER COMPANY CTB	CTB	216831107	309	02/24/11	09/21/12	\$6,158.23	\$7,069.92	\$-911.69
HEIDRICK & STRUGGLES INTL INC	HSII	422819102	100	03/14/11	09/24/12	\$1,283.90	\$2,530.00	\$-1,246.10
HEIDRICK & STRUGGLES INTL INC	HSII	422819102	182	02/24/11	09/24/12	\$2,336.69	\$4,797.52	\$-2,460.83
CROWN HLDGS INC *PP*	CKK	228368106	344	08/25/11	09/28/12	\$12,638.28	\$11,424.24	\$1,214.04
ABERCROMBIE & FITCH CO CL A	ANF	002896207	216	02/24/11	09/28/12	\$7,324.39	\$12,141.36	\$-4,816.97
ABERCROMBIE & FITCH CO CL A	ANF	002896207	26	03/03/11	09/28/12	\$881.64	\$1,453.95	\$-572.31
CROWN HLDGS INC *PP*	CKK	228368106	201	09/26/11	09/28/12	\$7,384.57	\$6,090.30	\$1,294.27
PENN NATL GAMING INC	PENN	707569109	213	04/14/11	09/28/12	\$9,177.96	\$7,878.87	\$1,299.09
MADDEN STEVEN LTD	SHOO	556269108	362	02/24/11	09/28/12	\$15,822.66	\$10,193.92	\$5,628.74
CORE LABORATORIES N V	CLB	N22717107	71	02/24/11	10/01/12	\$8,563.82	\$7,070.18	\$1,493.64
CORE LABORATORIES N V	CLB	N22717107	50	05/09/11	10/01/12	\$6,030.86	\$4,746.00	\$1,284.86
COOPER TIRE & RUBBER COMPANY CTB	CTB	216831107	341	02/24/11	10/11/12	\$6,741.41	\$7,802.08	\$-1,060.67
AVAGO TECHNOLOGIES LTD	AVGO	Y0486S104	152	10/14/11	10/16/12	\$5,219.56	\$5,427.92	\$-208.36
OWENS CORNING NEW	OC	690742101	44	05/20/11	10/16/12	\$1,371.89	\$1,614.35	\$-242.46
OWENS CORNING NEW	OC	690742101	162	05/05/11	10/16/12	\$5,051.05	\$5,940.54	\$-889.49
OWENS CORNING NEW	OC	690742101	40	05/02/11	10/16/12	\$1,247.17	\$1,435.63	\$-188.46
OWENS CORNING NEW	OC	690742101	51	08/04/11	10/16/12	\$1,590.14	\$1,497.87	\$92.27
OWENS CORNING NEW	OC	690742101	132	08/08/11	10/16/12	\$4,115.67	\$3,557.40	\$558.27
ACTIVE NETWORK INC/THE	ACTV	00506D100	981	05/27/11	10/17/12	\$9,721.49	\$17,650.05	\$-7,928.56
BRAVO BRIO RESTAURANT GROUP I BBRG	BBRG	10567B109	63	08/23/11	10/31/12	\$830.95	\$1,260.63	\$-429.68
BRAVO BRIO RESTAURANT GROUP I BBRG	BBRG	10567B109	531	03/29/11	10/31/12	\$7,003.73	\$9,032.31	\$-2,028.58
BRAVO BRIO RESTAURANT GROUP I BBRG	BBRG	10567B109	72	04/14/11	10/31/12	\$949.66	\$1,318.32	\$-368.66
FRANKLIN ELEC INC COM	FELE	353514102	90	02/24/11	11/01/12	\$5,286.48	\$3,803.40	\$1,483.08
TORO COMPANY	TTC	891092108	120	02/24/11	11/13/12	\$4,916.28	\$3,585.60	\$1,330.68
SNAP ON INC	SNA	833034101	119	02/24/11	11/13/12	\$9,102.10	\$6,604.50	\$2,497.60



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This category includes sales of assets held more than 12 months.

Long term transactions with loss disallowed due to wash sale

This category includes sales of assets held more than 12 months.

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Income for 2012

This section reports your proceeds from sales during the year. We are not required nor do we report your net gains and losses to the Internal Revenue Service. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital. A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost. Please review these carefully before preparing your tax return.

Additionally, to provide you with complete information for your tax returns, we have shown IRC Section 988 translation gains and losses separately within the sales sections. Please note these gains or losses are not entitled to capital gains treatment. Rather, under IRC Section 988, they are either ordinary income or loss.

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
FNMA POOL 0AH3701		3138A5DF6	947.05	02/15/11	01/01/12	\$947.05	\$970.26	\$-23.21
FNMA POOL 0AH3902		3138A5KQ4	964.65	02/15/11	01/01/12	\$964.65	\$1,010.30	\$-45.65
FNMA POOL 0AH5917		3138A7SF6	307.94	02/15/11	01/01/12	\$307.94	\$322.12	\$-14.18
FNMA POOL 0MA0741	MA0741A	31417YZF7	2137.85	04/01/11	01/01/12	\$2,137.85	\$2,225.92	\$-88.07
FNMA POOL 0MA0771		31417Y2D8	1468.11	05/18/11	01/01/12	\$1,468.11	\$1,521.94	\$-53.83
FNMA POOL 0AD7342		31418VEQ1	349.71	02/15/11	01/01/12	\$349.71	\$372.58	\$-22.87
FHLMC POOL A9-7092		3129452Z0	447.9	02/15/11	01/01/12	\$447.90	\$476.86	\$-28.96
FNMA POOL 0AE4342		31419EZG7	277.28	02/15/11	01/01/12	\$277.28	\$280.58	\$-3.30
FHLMC POOL Q0-2785		3132GGCW3	121.52	08/15/11	01/01/12	\$121.52	\$126.92	\$-5.40
FHLMC POOL Q0-3925		3132GKA22	92.49	11/03/11	01/01/12	\$92.49	\$96.79	\$-4.30
FHLMC POOL Q0-3744		3132GJZH5	229.71	11/03/11	01/01/12	\$229.71	\$240.24	\$-10.53
FHLMC POOL Q0-0475		3132GDQ47	62.89	08/15/11	01/01/12	\$62.89	\$65.64	\$-2.75
FHLMC POOL Q0-3464		3132GJQR3	201.45	11/03/11	01/01/12	\$201.45	\$210.37	\$-8.92
FNMA POOL 0AE3639		31419EBH1	355.5	02/15/11	01/01/12	\$355.50	\$360.03	\$-4.53

2012 Tax Information

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CHENFDN STNTX

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
FED HOME LOAN MTG COR 1.75% 6	UNIT13	3137EACC1	150000	03/21/11	01/12/12	\$151,050.60	\$150,857.02	\$193.58
UNITED STATES TREAS NTS	UNIT13	912828HT0	230000	11/28/11	01/12/12	\$236,737.51	\$236,594.47	\$143.04
FEDERAL HOME LN MTG CORP	FHLM13	3137EACS6	225000	02/16/11	01/12/12	\$226,467.23	\$224,275.82	\$2,191.41
U S TREASURY NOTE	UNIT21	912828RC6	35000	09/22/11	01/13/12	\$35,935.02	\$36,115.78	\$-180.76
U S TREASURY BONDS	UNIT29	912810FG8	50000	03/11/11	01/13/12	\$69,048.63	\$56,080.54	\$12,968.09
U S TREASURY BONDS	UNIT29	912810FG8	45000	03/11/11	01/17/12	\$62,196.50	\$50,473.44	\$11,723.06
U S TREASURY BONDS	UNIT31	912810FP8	40000	09/22/11	01/17/12	\$57,026.40	\$57,134.69	\$-108.29
U S TREASURY NOTE	UNIT21	912828RC6	45000	12/08/11	01/18/12	\$46,188.10	\$45,630.89	\$557.21
U S TREASURY NOTE	UNIT21	912828RC6	20000	09/22/11	01/18/12	\$20,528.05	\$20,637.60	\$-109.55
FHLMC POOL A9-7092		312945Z20	458.55	02/15/11	02/01/12	\$458.55	\$488.11	\$-29.56
FNMA POOL 0AE4342		31419EZG7	281.6	02/15/11	02/01/12	\$281.60	\$284.94	\$-3.34
FHLMC POOL Q0-2785		3132GGCW3	110.49	08/15/11	02/01/12	\$110.49	\$115.38	\$-4.89
FHLMC POOL Q0-3464		3132GJQR3	233.96	11/03/11	02/01/12	\$233.96	\$244.30	\$-10.34
FHLMC POOL Q0-3744		3132GJZH5	387.87	11/03/11	02/01/12	\$387.87	\$405.61	\$-17.74
FHLMC POOL Q0-3925		3132GKA22	93.03	11/03/11	02/01/12	\$93.03	\$97.35	\$-4.32
FHLMC POOL Q0-3844		3132GJ5D7	96.83	01/18/12	02/01/12	\$96.83	\$100.05	\$-3.22
FNMA POOL 0AH3701		3138A5DF6	7133.13	02/15/11	02/01/12	\$7,133.13	\$7,307.21	\$-174.08
FNMA POOL 0AH3902		3138A5KQ4	966.11	02/15/11	02/01/12	\$966.11	\$1,011.55	\$-45.44
FNMA POOL 0AH5917		3138A7SF6	320.37	02/15/11	02/01/12	\$320.37	\$335.08	\$-14.71
FNMA POOL 0MA0741	MA0741A	31417YZF7	1465.16	04/01/11	02/01/12	\$1,465.16	\$1,524.95	\$-59.79
FNMA POOL 0MA0771		31417Y2D8	1415.4	05/18/11	02/01/12	\$1,415.40	\$1,466.82	\$-51.42
FNMA POOL 0AD7342		31418VEQ1	352.31	02/15/11	02/01/12	\$352.31	\$375.27	\$-22.96
FNMA POOL 0AE3639		31419EBH1	7587.71	02/15/11	02/01/12	\$7,587.71	\$7,684.07	\$-96.36
FHLMC POOL Q0-0475		3132GDQ47	85.55	08/15/11	02/01/12	\$85.55	\$89.29	\$-3.74
FHLMC POOL Q0-3744		3132GJZH5	233.46	11/03/11	03/01/12	\$233.46	\$244.10	\$-10.64
FHLMC POOL Q0-0475		3132GDQ47	3527.08	08/15/11	03/01/12	\$3,527.08	\$3,680.68	\$-153.60
FHLMC POOL Q0-2785		3132GGCW3	151.99	08/15/11	03/01/12	\$151.99	\$158.70	\$-6.71
FHLMC POOL Q0-3464		3132GJQR3	204.45	11/03/11	03/01/12	\$204.45	\$213.45	\$-9.00

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BNY MELLON
WEALTH MANAGEMENT

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Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
FNMA POOL OMA0771		31417Y2D8	1478.34	05/18/11	03/01/12	\$1,478.34	\$1,531.55	\$-53.21
FHLMC POOL QO-3844		3132GJ5D7	104.58	01/18/12	03/01/12	\$104.58	\$108.05	\$-3.47
FHLMC POOL QO-3925		3132GKA22	271.77	11/03/11	03/01/12	\$271.77	\$284.33	\$-12.56
FNMA POOL OMA0741	MA0741A	31417YZF7	1552.47	04/01/11	03/01/12	\$1,552.47	\$1,615.23	\$-62.76
GEORGIA POWER COMPANY		373334JR3	20000	03/11/11	03/02/12	\$23,990.40	\$20,114.74	\$3,875.66
FHLMC POOL QO-0475		3132GDQ47	54.57	08/15/11	04/01/12	\$54.57	\$56.94	\$-2.37
FHLMC POOL QO-2785		3132GGCW3	157.61	08/15/11	04/01/12	\$157.61	\$164.54	\$-6.93
FHLMC POOL QO-3464		3132GJQR3	452.79	11/03/11	04/01/12	\$452.79	\$472.67	\$-19.88
FNMA POOL OMA0771		31417Y2D8	1171.97	05/18/11	04/01/12	\$1,171.97	\$1,213.72	\$-41.75
FHLMC POOL QO-3844		3132GJ5D7	97.51	01/18/12	04/01/12	\$97.51	\$100.74	\$-3.23
FHLMC POOL QO-3925		3132GKA22	105.55	11/03/11	04/01/12	\$105.55	\$110.41	\$-4.86
FNMA POOL OMA0741	MA0741A	31417YZF7	1425.83	04/01/11	04/01/12	\$1,425.83	\$1,482.94	\$-57.11
FHLMC POOL QO-3744		3132GJZH5	245.47	11/03/11	04/01/12	\$245.47	\$256.62	\$-11.15
FHLMC POOL QO-0475		3132GDQ47	54.78	08/15/11	05/01/12	\$54.78	\$57.15	\$-2.37
FHLMC POOL QO-2785		3132GGCW3	104.35	08/15/11	05/01/12	\$104.35	\$108.93	\$-4.58
FHLMC POOL QO-3464		3132GJQR3	268.9	11/03/11	05/01/12	\$268.90	\$280.67	\$-11.77
FNMA POOL OMA0771		31417Y2D8	1204.09	05/18/11	05/01/12	\$1,204.09	\$1,246.58	\$-42.49
FHLMC POOL QO-3844		3132GJ5D7	97.84	01/18/12	05/01/12	\$97.84	\$101.07	\$-3.23
FHLMC POOL QO-3925		3132GKA22	105.12	11/03/11	05/01/12	\$105.12	\$109.95	\$-4.83
FHLMC POOL QO-3744		3132GJZH5	233.53	11/03/11	05/01/12	\$233.53	\$244.11	\$-10.58
FHLMC POOL QO-0475		3132GDQ47	57.73	08/15/11	06/01/12	\$57.73	\$60.22	\$-2.49
FHLMC POOL QO-2785		3132GGCW3	112.45	08/15/11	06/01/12	\$112.45	\$117.37	\$-4.92
FHLMC POOL QO-3464		3132GJQR3	197.66	11/03/11	06/01/12	\$197.66	\$206.29	\$-8.63
FHLMC POOL QO-3744		3132GJZH5	245.49	11/03/11	06/01/12	\$245.49	\$256.59	\$-11.10
FHLMC POOL QO-3844		3132GJ5D7	100.02	01/18/12	06/01/12	\$100.02	\$103.31	\$-3.29
FHLMC POOL QO-3925		3132GKA22	95.79	11/03/11	06/01/12	\$95.79	\$100.18	\$-4.39
GEORGIA POWER CO	SO 42	373334JW2	20000	03/02/12	06/21/12	\$20,922.20	\$20,377.03	\$545.17
UNITED STATES TREAS BDS	UNIT37	912810PU6	40000	09/22/11	06/21/12	\$58,612.34	\$56,083.45	\$2,528.89

2012 Tax Information

Account Number [REDACTED]

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BNY MELLON
WEALTH MANAGEMENT

CHENFDN STNTX

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
U S TREASURY NTS	UNIT17	912828SY7	100000	06/13/12	06/21/12	\$99,554.35	\$99,494.76	\$59.59
US TREASURY BONDS	UNIT39	912810QD3	30000	10/03/11	06/29/12	\$40,007.69	\$38,734.76	\$1,272.93
FHLMC POOL Q0-3464	3132GJQR3	3132GJQR3	194.74	11/03/11	07/01/12	\$194.74	\$203.22	\$-8.48
FHLMC POOL Q0-0475	3132GDQ47	3132GDQ47	57.54	08/15/11	07/01/12	\$57.54	\$60.01	\$-2.47
FHLMC POOL Q0-2785	3132GGCW3	3132GGCW3	114.83	08/15/11	07/01/12	\$114.83	\$119.84	\$-5.01
FHLMC POOL Q0-3925	3132GKA22	3132GKA22	109.59	11/03/11	07/01/12	\$109.59	\$114.60	\$-5.01
FHLMC POOL Q0-3744	3132GJZH5	3132GJZH5	3682.36	11/03/11	07/01/12	\$3,682.36	\$3,848.47	\$-166.11
FHLMC POOL Q0-3844	3132GJ5D7	3132GJ5D7	98.05	01/18/12	07/01/12	\$98.05	\$101.26	\$-3.21
U S TREASURY NOTE	UNIT21	912828RC6	25000	12/09/11	07/09/12	\$26,593.65	\$25,333.18	\$1,260.47
FHLMC POOL Q0-0475	3132GDQ47	3132GDQ47	77.1	08/15/11	08/01/12	\$77.10	\$80.41	\$-3.31
FHLMC POOL Q0-2785	3132GGCW3	3132GGCW3	113.28	08/15/11	08/01/12	\$113.28	\$118.21	\$-4.93
FHLMC POOL Q0-3925	3132GKA22	3132GKA22	104	11/03/11	08/01/12	\$104.00	\$108.74	\$-4.74
FHLMC POOL Q0-3744	3132GJZH5	3132GJZH5	238.76	11/03/11	08/01/12	\$238.76	\$249.49	\$-10.73
FHLMC POOL Q0-3844	3132GJ5D7	3132GJ5D7	100.63	01/18/12	08/01/12	\$100.63	\$103.92	\$-3.29
FHLMC POOL Q0-3464	3132GJQR3	3132GJQR3	3999.12	11/03/11	08/01/12	\$3,999.12	\$4,172.88	\$-173.76
FHLMC POOL Q0-3464	3132GJQR3	3132GJQR3	175.06	11/03/11	09/01/12	\$175.06	\$182.64	\$-7.58
FHLMC POOL Q0-3925	3132GKA22	3132GKA22	108.86	11/03/11	09/01/12	\$108.86	\$113.81	\$-4.95
FHLMC POOL Q0-3844	3132GJ5D7	3132GJ5D7	101.02	01/18/12	09/01/12	\$101.02	\$104.31	\$-3.29
FHLMC POOL Q0-3744	3132GJZH5	3132GJZH5	237.51	11/03/11	09/01/12	\$237.51	\$248.15	\$-10.64
FHLMC POOL Q0-3744	3132GJZH5	3132GJZH5	677.49	11/03/11	10/01/12	\$677.49	\$707.76	\$-30.27
FHLMC POOL Q0-3925	3132GKA22	3132GKA22	98.46	11/03/11	10/01/12	\$98.46	\$102.92	\$-4.46
FHLMC POOL Q0-3464	3132GJQR3	3132GJQR3	173.34	11/03/11	10/01/12	\$173.34	\$180.82	\$-7.48
FNMA POOL 0AJ1875	3138ATCM0	3138ATCM0	103.49	09/26/12	10/01/12	\$103.49	\$109.83	\$-6.34
FHLMC POOL Q0-3844	3132GJ5D7	3132GJ5D7	101.36	01/18/12	10/01/12	\$101.36	\$104.65	\$-3.29
CALIFORNIA ST	13063A5G5	13063A5G5	55000	01/13/12	10/02/12	\$74,830.80	\$72,896.51	\$1,934.29
U S TREASURY BONDS	UNIT31	912810FP8	20000	10/04/12	10/05/12	\$28,749.92	\$28,773.52	\$-23.60
FHLMC POOL Q0-3844	3132GJ5D7	3132GJ5D7	101.65	01/18/12	11/01/12	\$101.65	\$104.94	\$-3.29
FHLMC POOL Q0-3925	3132GKA22	3132GKA22	119.88	11/03/11	11/01/12	\$119.88	\$125.29	\$-5.41

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BNY MELLON
WALMART MANAGEMENT

CHENFDN STNTX

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
FHLMC POOL Q1-1200		3132HMKM2	77.07	10/25/12	11/01/12	\$77.07	\$82.51	\$-5.44
FNMA POOL 0AJ1875		3138ATCM0	103.89	09/26/12	11/01/12	\$103.89	\$110.24	\$-6.35
FHLMC POOL Q0-3484		3132GJQR3	302.13	11/03/11	11/01/12	\$302.13	\$315.13	\$-13.00
FHLMC POOL Q0-3744		3132GJZH5	269.48	11/03/11	11/01/12	\$269.48	\$281.48	\$-12.00
FNMA POOL 0AP9648		3138MDWJ7	99.02	10/31/12	12/01/12	\$99.02	\$106.07	\$-7.05
FNMA POOL 0AJ1875		3138ATCM0	104.11	09/26/12	12/01/12	\$104.11	\$110.45	\$-6.34
FHLMC POOL Q0-3844		3132GJSD7	102.22	01/18/12	12/01/12	\$102.22	\$105.52	\$-3.30
FHLMC POOL Q1-1200		3132HMKM2	67.99	10/25/12	12/01/12	\$67.99	\$72.77	\$-4.78
JPMORGAN CHASE & CO	JPM 22	46625HJD3	30000	01/13/12	12/11/12	\$33,825.90	\$29,853.83	\$3,972.07
HSBC HOLDINGS PLC	HBC 22	404280AN9	20000	03/27/12	12/12/12	\$21,896.80	\$19,879.22	\$2,017.58
U S TREASURY NOTE	UNIT17	912828TS9	20000	10/04/12	12/21/12	\$19,932.75	\$20,002.41	\$-69.66
Total short term gain/loss from sales:						\$1,409,174.18	\$1,366,391.62	\$42,782.56

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
FHLMC POOL A9-7092		312945Z20	464.36	02/15/11	03/01/12	\$464.36	\$494.21	\$-29.85
FNMA POOL 0AH3701		3138A5DF6	952.44	02/15/11	03/01/12	\$952.44	\$975.51	\$-23.07
FNMA POOL 0AH3902		3138A5KQ4	967.48	02/15/11	03/01/12	\$967.48	\$1,012.72	\$-45.24
FNMA POOL 0AE4342		31419EZG7	278.99	02/15/11	03/01/12	\$278.99	\$282.29	\$-3.30
FNMA POOL 0AD7342		31418VEQ1	353.3	02/15/11	03/01/12	\$353.30	\$376.26	\$-22.96
FNMA POOL 0AE3639		31419EBH1	334.02	02/15/11	03/01/12	\$334.02	\$338.24	\$-4.22
FNMA POOL 0AH5917		3138A7SF6	310.71	02/15/11	03/01/12	\$310.71	\$324.94	\$-14.23
FHLMC POOL A9-7092		312945Z20	451.7	02/15/11	04/01/12	\$451.70	\$480.66	\$-28.96
FNMA POOL 0AH3701		3138A5DF6	957.38	02/15/11	04/01/12	\$957.38	\$980.42	\$-23.04
FNMA POOL 0AH3902		3138A5KQ4	915.94	02/15/11	04/01/12	\$915.94	\$958.50	\$-42.56
FNMA POOL 0AD7342		31418VEQ1	355.13	02/15/11	04/01/12	\$355.13	\$378.14	\$-23.01



BNY MELLON
WILLIAM M. MELLON FUND

CHENFDN STN TX

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Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
FNMA POOL OAE3639		31419EBH1	366.34	02/15/11	04/01/12	\$366.34	\$370.96	\$-4.62
FNMA POOL OAE4342		31419EZG7	1316.1	02/15/11	04/01/12	\$1,316.10	\$1,331.61	\$-15.51
FNMA POOL OAH5917		3138A7SF6	310.87	02/15/11	04/01/12	\$310.87	\$325.06	\$-14.19
FHLMC POOL A9-7092		3129452Z0	477.68	02/15/11	05/01/12	\$477.68	\$508.21	\$-30.53
FNMA POOL OAH3701		3138A5DF6	983.74	02/15/11	05/01/12	\$983.74	\$1,007.28	\$-23.54
FNMA POOL OAH3902		3138A5KQ4	1083.68	02/15/11	05/01/12	\$1,083.68	\$1,133.71	\$-50.03
FNMA POOL OAH5917		3138A7SF6	17292.63	02/15/11	05/01/12	\$17,292.63	\$18,080.34	\$-787.71
FNMA POOL OMA0741	MA0741A	31417YZF7	1683.77	04/01/11	05/01/12	\$1,683.77	\$1,750.56	\$-66.79
FNMA POOL OAD7342		31418VEQ1	38808.2	02/15/11	05/01/12	\$38,808.20	\$41,316.78	\$-2,508.58
FNMA POOL OAE3639		31419EBH1	307.75	02/15/11	05/01/12	\$307.75	\$311.62	\$-3.87
FNMA POOL OAE4342		31419EZG7	6651.98	02/15/11	05/01/12	\$6,651.98	\$6,730.25	\$-78.27
HOUSEHOLD FIN CORP		441812JY1	35000	02/14/11	05/15/12	\$35,000.00	\$35,000.00	\$0.00
FHLMC POOL A9-7092		3129452Z0	472.82	02/15/11	06/01/12	\$472.82	\$502.95	\$-30.13
FNMA POOL OAH3701		3138A5DF6	954.32	02/15/11	06/01/12	\$954.32	\$977.01	\$-22.69
FNMA POOL OAH3902		3138A5KQ4	920.99	02/15/11	06/01/12	\$920.99	\$963.26	\$-42.27
FNMA POOL OAH5917		3138A7SF6	297.76	02/15/11	06/01/12	\$297.76	\$311.27	\$-13.51
FNMA POOL OMA0741	MA0741A	31417YZF7	1943.54	04/01/11	06/01/12	\$1,943.54	\$2,019.86	\$-76.32
FNMA POOL OMA0771		31417Y2D8	1152.51	05/18/11	06/01/12	\$1,152.51	\$1,192.80	\$-40.29
FNMA POOL OAD7342		31418VEQ1	317.73	02/15/11	06/01/12	\$317.73	\$338.19	\$-20.46
FNMA POOL OAE3639		31419EBH1	509.95	02/15/11	06/01/12	\$509.95	\$516.34	\$-6.39
FNMA POOL OAE4342		31419EZG7	7185.87	02/15/11	06/01/12	\$7,185.87	\$7,270.17	\$-84.30
JPMORGAN CHASE & CO	JPM 14	46625HHN3	35000	02/14/11	06/20/12	\$36,920.80	\$35,000.00	\$1,920.80
SEMPRA ENERGY	SRE 14	816851AQ2	40000	03/17/11	06/21/12	\$40,612.80	\$39,971.86	\$640.94
GENERAL ELEC CAP COR 4.375% 9	GE 20	36982G4R2	40000	02/22/11	06/21/12	\$43,399.60	\$39,037.64	\$4,361.96
SIMON PPTY GROUP LP	SPG 17	828807BV8	35000	02/14/11	06/21/12	\$40,205.55	\$35,000.00	\$5,205.55
JPMORGAN CHASE & CO	JPM 20	46625HHU7	80000	02/14/11	06/21/12	\$83,641.60	\$80,000.00	\$3,641.60
FHLMC POOL A9-7092		3129452Z0	347937.66	02/15/11	06/21/12	\$383,166.35	\$370,068.28	\$13,098.07
WAL-MART STORES INC NT	WMT 19	931142CP6	75000	02/24/11	06/21/12	\$85,011.75	\$76,829.35	\$8,182.40

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BNY MELLON
WILLIAM MANAGEMENT

CHENFDN STNTX

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
FEDERAL NATL MTG ASSN	FNMA4313	31359MRG0	215000	03/17/11	06/21/12	\$221,504.61	\$220,567.36	\$937.25
BERKSHIRE HATHAWAY FI 2.45% 12 BRK 15	BRK 15	084664BN0	40000	02/16/11	06/21/12	\$41,678.80	\$39,685.85	\$1,992.95
AON CORP		037389AY9	40000	05/24/11	06/22/12	\$41,604.80	\$39,987.18	\$1,617.62
WELLS FARGO COMPANY 5.625% 1; WFC 17		949746NX5	70000	02/14/11	06/22/12	\$81,041.80	\$70,000.00	\$11,041.80
MERRILL LYNCH & CO INC	MLC6818	590188JN9	65000	02/14/11	06/22/12	\$72,550.40	\$65,000.00	\$7,550.40
DUKE ENERGY CORP	DUK 15	26441CAE5	40000	03/11/11	06/22/12	\$42,162.80	\$40,857.55	\$1,305.25
CITIGROUP INC	C 16	172967DE8	70000	02/14/11	06/22/12	\$74,355.40	\$70,000.00	\$4,355.40
FNMA POOL 0AH3701		3138A5DF6	954.42	02/15/11	07/01/12	\$954.42	\$976.96	\$-22.54
FNMA POOL 0AH3902		3138A5KQ4	979.53	02/15/11	07/01/12	\$979.53	\$1,024.20	\$-44.67
FNMA POOL 0AH5917		3138A7SF6	296.09	02/15/11	07/01/12	\$296.09	\$309.48	\$-13.39
FNMA POOL 0MA0771		31417Y2D8	1101.21	05/18/11	07/01/12	\$1,101.21	\$1,139.35	\$-38.14
FNMA POOL 0AE3639		31419EBH1	1617.4	02/15/11	07/01/12	\$1,617.40	\$1,637.62	\$-20.22
FNMA POOL 0AE4342		31419EZG7	3986.3	02/15/11	07/01/12	\$3,986.30	\$4,032.88	\$-46.58
FNMA POOL 0MA0741	MA0741A	31417YZF7	1429.43	04/01/11	07/01/12	\$1,429.43	\$1,485.07	\$-55.64
FNMA POOL 0AD7342		31418VEQ1	319.36	02/15/11	07/01/12	\$319.36	\$339.86	\$-20.50
FNMA POOL 0MA0741	MA0741A	31417YZF7	2178.15	04/01/11	08/01/12	\$2,178.15	\$2,262.11	\$-83.96
FNMA POOL 0AH3701		3138A5DF6	996.26	02/15/11	08/01/12	\$996.26	\$1,019.66	\$-23.40
FNMA POOL 0AH3902		3138A5KQ4	857.14	02/15/11	08/01/12	\$857.14	\$895.99	\$-38.85
FNMA POOL 0AH5917		3138A7SF6	301.06	02/15/11	08/01/12	\$301.06	\$314.64	\$-13.58
FNMA POOL 0AE4342		31419EZG7	377.83	02/15/11	08/01/12	\$377.83	\$382.23	\$-4.40
FNMA POOL 0MA0771		31417Y2D8	1521.96	05/18/11	08/01/12	\$1,521.96	\$1,574.20	\$-52.24
FNMA POOL 0AD7342		31418VEQ1	320.05	02/15/11	08/01/12	\$320.05	\$340.53	\$-20.48
FNMA POOL 0AE3639		31419EBH1	6030.91	02/15/11	08/01/12	\$6,030.91	\$6,106.17	\$-75.26
U S TREASURY BONDS	UNIT39	912810QA9	60000	03/14/11	08/06/12	\$71,219.29	\$50,559.18	\$20,660.11
FNMA POOL 0AD7342		31418VEQ1	38591.46	02/15/11	09/01/12	\$38,591.46	\$41,055.43	\$-2,463.97
FNMA POOL 0AE3639		31419EBH1	6986.42	02/15/11	09/01/12	\$6,986.42	\$7,073.33	\$-86.91
FNMA POOL 0AE4342		31419EZG7	15923.58	02/15/11	09/01/12	\$15,923.58	\$16,108.71	\$-185.13
FHLMC POOL Q0-2785		3132GGCW3	123.89	08/15/11	09/01/12	\$123.89	\$129.27	\$-5.38

2012 Tax Information

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BNY MELLON
WEALTH MANAGEMENT

CHENFDN STNTX

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
FNMA POOL OMA0771		31417Y2D8	1589.6	05/18/11	09/01/12	\$1,589.60	\$1,643.63	\$-54.03
FNMA POOL OMA0741	MA0741A	31417Y2F7	2580.18	04/01/11	09/01/12	\$2,580.18	\$2,678.61	\$-98.43
FHLMC POOL Q0-0475		3132GDQ47	3092.55	08/15/11	09/01/12	\$3,092.55	\$3,224.87	\$-132.32
FNMA POOL 0AH3701		3138A5DF6	12266.13	02/15/11	09/01/12	\$12,266.13	\$12,552.75	\$-286.62
FNMA POOL 0AH3902		3138A5KQ4	9080.67	02/15/11	09/01/12	\$9,080.67	\$9,489.60	\$-408.93
FNMA POOL 0AH5917		3138A7SF6	305.82	02/15/11	09/01/12	\$305.82	\$319.57	\$-13.75
DELHAIZE GROUP 6.5% 6	DEG 17	24668PAB3	35000	02/22/11	09/14/12	\$38,767.40	\$38,217.93	\$549.47
HEWLETT - PACKARD CO	HPQ 13	428236BB8	40000	02/16/11	09/19/12	\$40,150.80	\$39,909.19	\$241.61
WELLS FARGO & CO NEW		949746NW7	35000	02/14/11	09/27/12	\$35,097.65	\$35,000.00	\$97.65
FHLMC POOL Q0-0475		3132GDQ47	56.76	08/15/11	10/01/12	\$56.76	\$59.18	\$-2.42
FHLMC POOL Q0-2785		3132GGCW3	5000.6	08/15/11	10/01/12	\$5,000.60	\$5,217.04	\$-216.44
FNMA POOL 0AH5917		3138A7SF6	14480.41	02/15/11	10/01/12	\$14,480.41	\$15,130.20	\$-649.79
FNMA POOL OMA0771		31417Y2D8	1251.05	05/18/11	10/01/12	\$1,251.05	\$1,293.12	\$-42.07
FNMA POOL 0AH3902		3138A5KQ4	932.69	02/15/11	10/01/12	\$932.69	\$974.44	\$-41.75
FNMA POOL 0AH3701		3138A5DF6	5729.83	02/15/11	10/01/12	\$5,729.83	\$5,862.89	\$-133.06
FNMA POOL 0AD7342		31418VEQ1	279.51	02/15/11	10/01/12	\$279.51	\$297.29	\$-17.78
FNMA POOL 0AE3639		31419EBH1	263.37	02/15/11	10/01/12	\$263.37	\$266.64	\$-3.27
FNMA POOL 0AE4342		31419EZG7	339.39	02/15/11	10/01/12	\$339.39	\$343.32	\$-3.93
FNMA POOL OMA0741	MA0741A	31417Y2F7	2039.08	04/01/11	10/01/12	\$2,039.08	\$2,116.07	\$-76.99
FNMA POOL 0AH3701		3138A5DF6	164735.29	02/15/11	10/04/12	\$176,781.58	\$168,552.50	\$8,229.08
FNMA POOL 0AD7342		31418VEQ1	237370.2	02/15/11	10/17/12	\$259,697.84	\$252,447.32	\$7,250.52
FNMA POOL 0AH3902		3138A5KQ4	960.64	02/15/11	11/01/12	\$960.64	\$1,003.35	\$-42.71
FNMA POOL 0AH5917		3138A7SF6	15222.84	02/15/11	11/01/12	\$15,222.84	\$15,903.92	\$-681.08
FNMA POOL 0AE3639		31419EBH1	7764.37	02/15/11	11/01/12	\$7,764.37	\$7,860.37	\$-96.00
FNMA POOL 0AE4342		31419EZG7	444.14	02/15/11	11/01/12	\$444.14	\$449.27	\$-5.13
FNMA POOL OMA0741	MA0741A	31417Y2F7	1491.97	04/01/11	11/01/12	\$1,491.97	\$1,547.78	\$-55.81
FNMA POOL OMA0771		31417Y2D8	1420.28	05/18/11	11/01/12	\$1,420.28	\$1,467.63	\$-47.35
FHLMC POOL Q0-0475		3132GDQ47	3448.95	08/15/11	11/01/12	\$3,448.95	\$3,595.64	\$-146.69



BNY MELLON
WEALTH MANAGEMENT

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Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
FHLMC POOL Q0-2785		3132GGCW3	111.24	08/15/11	11/01/12	\$111.24	\$116.04	\$-4.80
FEDERAL HOME LN MTG CORP	FHLM14	3137EACV9	50000	09/06/11	11/09/12	\$50,637.85	\$50,402.80	\$235.05
U S TREASURY BONDS	UNIT27	912810FA1	60000	03/17/11	11/09/12	\$92,662.26	\$75,270.19	\$17,392.07
FNMA POOL 0AE3639		31419EBH1	289.78	02/15/11	12/01/12	\$289.78	\$293.35	\$-3.57
FNMA POOL 0AE4342		31419EZG7	938.59	02/15/11	12/01/12	\$938.59	\$949.39	\$-10.80
FHLMC POOL Q0-3925		3132GKA22	100.05	11/03/11	12/01/12	\$100.05	\$104.55	\$-4.50
FNMA POOL 0MA0771		31417Y2D8	1061.95	05/18/11	12/01/12	\$1,061.95	\$1,096.96	\$-35.01
FHLMC POOL Q0-0475		3132GDQ47	59.51	08/15/11	12/01/12	\$59.51	\$62.03	\$-2.52
FHLMC POOL Q0-2785		3132GGCW3	131.34	08/15/11	12/01/12	\$131.34	\$136.99	\$-5.65
FHLMC POOL Q0-3464		3132GJQR3	179.71	11/03/11	12/01/12	\$179.71	\$187.42	\$-7.71
FHLMC POOL Q0-3744		3132GJZH5	238.9	11/03/11	12/01/12	\$238.90	\$249.51	\$-10.61
FNMA POOL 0AH5917		3138A7SF6	270	02/15/11	12/01/12	\$270.00	\$282.04	\$-12.04
FNMA POOL 0AH3902		3138A5KQ4	958.39	02/15/11	12/01/12	\$958.39	\$1,000.74	\$-42.35
FNMA POOL 0MA0741	MA0741A	31417YZF7	1932.1	04/01/11	12/01/12	\$1,932.10	\$2,003.60	\$-71.50
Total long term gain/loss from sales:						\$2,358,456.25	\$2,248,911.79	\$109,544.46



BNY MELLON
WEALTH MANAGEMENT

CHENFDN CORNR

2012 Tax Information

Account Number [REDACTED]

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Income for 2012

This section reports your proceeds from sales during the year. We are not required nor do we report your net gains and losses to the Internal Revenue Service. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital. A schedule of your net gains and losses is shown below. Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost. Please review these carefully before preparing your tax return.

Additionally, to provide you with complete information for your tax returns, we have shown IRC Section 988 translation gains and losses separately within the sales sections. Please note these gains or losses are not entitled to capital gains treatment. Rather, under IRC Section 988, they are either ordinary income or loss.

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
CHUBB CORPORATION COM	CB	171232101	77	02/28/11	01/03/12	\$5,400.93	\$4,668.95	\$731.98
CHUBB CORPORATION COM	CB	171232101	39	02/28/11	01/03/12	\$2,733.75	\$2,364.79	\$368.96
CHUBB CORPORATION COM	CB	171232101	184	02/28/11	01/03/12	\$12,964.28	\$11,156.97	\$1,807.31
INTEL CORPORATION	INTC	458140100	382	02/28/11	01/09/12	\$9,659.44	\$8,186.26	\$1,473.18
INTEL CORPORATION	INTC	458140100	209	02/28/11	01/09/12	\$5,272.73	\$4,478.87	\$793.86
INTEL CORPORATION	INTC	458140100	209	02/28/11	01/09/12	\$5,282.37	\$4,478.87	\$803.50
INTERNATIONAL BUSINESS MACHIN	IBM	459200101	100	02/10/11	01/13/12	\$17,794.39	\$9,688.17	\$8,106.22
CHUBB CORPORATION COM	CB	171232101	9	02/28/11	01/30/12	\$602.90	\$545.72	\$57.18
BRISTOL MYERS SQUIBB CO COM	BMJ	110122108	700	02/28/11	01/30/12	\$22,541.73	\$18,056.29	\$4,485.44
INTEL CORPORATION	INTC	458140100	1600	02/28/11	01/30/12	\$42,099.83	\$34,288.00	\$7,811.83
CHUBB CORPORATION COM	CB	171232101	131	02/28/11	01/31/12	\$8,823.11	\$7,943.28	\$879.83
CHUBB CORPORATION COM	CB	171232101	110	02/28/11	01/31/12	\$7,422.43	\$6,669.93	\$752.50
CHUBB CORPORATION COM	CB	171232101	157	02/28/11	01/31/12	\$10,562.95	\$9,519.80	\$1,043.15
WESTERN DIGITAL CORP	WDC	958102105	113	07/26/11	02/01/12	\$4,305.27	\$4,104.21	\$201.06



BNY MELLON
WEALTH MANAGEMENT

2012 Tax Information

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CHENFDN CORNR

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
WESTERN DIGITAL CORP	WDC	958102105	82	07/26/11	02/01/12	\$3,126.84	\$2,978.28	\$148.56
CHUBB CORPORATION COM	CB	171232101	135	03/09/11	02/01/12	\$9,174.00	\$8,069.08	\$1,104.92
CHUBB CORPORATION COM	CB	171232101	165	03/09/11	02/01/12	\$11,181.70	\$9,862.22	\$1,319.48
CHUBB CORPORATION COM	CB	171232101	8	02/28/11	02/01/12	\$542.14	\$485.09	\$57.05
CHUBB CORPORATION COM	CB	171232101	137	02/28/11	02/01/12	\$9,287.04	\$8,307.09	\$979.95
WESTERN DIGITAL CORP	WDC	958102105	197	02/28/11	02/01/12	\$7,507.57	\$6,031.17	\$1,476.40
WESTERN DIGITAL CORP	WDC	958102105	5	07/26/11	02/01/12	\$190.50	\$181.49	\$9.01
WESTERN DIGITAL CORP	WDC	958102105	49	06/22/11	02/01/12	\$1,866.89	\$1,692.37	\$174.52
WESTERN DIGITAL CORP	WDC	958102105	61	06/22/11	02/01/12	\$2,324.08	\$2,100.18	\$223.90
WESTERN DIGITAL CORP	WDC	958102105	153	02/28/11	02/01/12	\$5,823.09	\$4,684.11	\$1,138.98
WESTERN DIGITAL CORP	WDC	958102105	40	06/22/11	02/01/12	\$1,524.38	\$1,377.17	\$147.21
CHUBB CORPORATION COM	CB	171232101	148	02/28/11	02/01/12	\$10,074.22	\$8,974.08	\$1,100.14
FLEXTRONICS INTL LTD	FLEX	Y2573F102	789	02/28/11	02/07/12	\$5,484.47	\$6,351.45	\$-866.98
FLEXTRONICS INTL LTD	FLEX	Y2573F102	175	02/28/11	02/07/12	\$1,221.51	\$1,408.75	\$-187.24
FLEXTRONICS INTL LTD	FLEX	Y2573F102	131	02/28/11	02/07/12	\$913.13	\$1,054.55	\$-141.42
FLEXTRONICS INTL LTD	FLEX	Y2573F102	77	02/28/11	02/07/12	\$536.71	\$619.85	\$-83.14
FLEXTRONICS INTL LTD	FLEX	Y2573F102	263	02/28/11	02/08/12	\$1,830.52	\$2,117.15	\$-286.63
FLEXTRONICS INTL LTD	FLEX	Y2573F102	497	02/28/11	02/08/12	\$3,455.17	\$4,000.85	\$-545.68
FLEXTRONICS INTL LTD	FLEX	Y2573F102	400	02/28/11	02/08/12	\$2,780.27	\$3,220.00	\$-439.73
FLEXTRONICS INTL LTD	FLEX	Y2573F102	401	02/28/11	02/08/12	\$2,794.35	\$3,228.05	\$-433.70
GAMESTOP CORP NEW	GME	36467W109	93	02/28/11	02/08/12	\$2,301.78	\$1,858.68	\$443.10
GAMESTOP CORP NEW	GME	36467W109	29	02/28/11	02/08/12	\$709.38	\$579.59	\$129.79
GAMESTOP CORP NEW	GME	36467W109	33	02/28/11	02/08/12	\$817.31	\$659.53	\$157.78
GAMESTOP CORP NEW	GME	36467W109	180	02/28/11	02/08/12	\$4,457.86	\$3,597.44	\$860.42
GAMESTOP CORP NEW	GME	36467W109	178	02/28/11	02/08/12	\$4,385.81	\$3,557.47	\$828.34
FLEXTRONICS INTL LTD	FLEX	Y2573F102	243	02/28/11	02/08/12	\$1,682.74	\$1,956.15	\$-273.41
FLEXTRONICS INTL LTD	FLEX	Y2573F102	530	02/28/11	02/09/12	\$3,714.21	\$4,266.50	\$-552.29
GAMESTOP CORP NEW	GME	36467W109	262	02/28/11	02/09/12	\$6,352.22	\$5,236.28	\$1,115.94



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CHENFDN CORNR

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Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
GAMESTOP CORP NEW	GME	36467W109	25	02/28/11	02/09/12	\$611.98	\$499.64	\$112.34
FLEXTRONICS INTL LTD	FLEX	Y2573F102	352	02/28/11	02/09/12	\$2,471.17	\$2,833.60	\$-362.43
FLEXTRONICS INTL LTD	FLEX	Y2573F102	39	02/28/11	02/09/12	\$273.06	\$313.95	\$-40.89
FLEXTRONICS INTL LTD	FLEX	Y2573F102	352	02/28/11	02/09/12	\$2,454.62	\$2,833.60	\$-378.98
FLEXTRONICS INTL LTD	FLEX	Y2573F102	42	02/28/11	02/09/12	\$291.42	\$338.10	\$-46.68
FLEXTRONICS INTL LTD	FLEX	Y2573F102	419	02/28/11	02/09/12	\$2,939.94	\$3,372.95	\$-433.01
FLEXTRONICS INTL LTD	FLEX	Y2573F102	833	02/28/11	02/13/12	\$5,775.82	\$6,705.65	\$-929.83
GAMESTOP CORP NEW	GME	36467W109	389	02/28/11	02/13/12	\$8,884.90	\$7,774.48	\$1,110.42
GAMESTOP CORP NEW	GME	36467W109	235	02/28/11	02/13/12	\$5,385.81	\$4,696.66	\$689.15
V F CORPORATION COM	VFC	918204108	19	02/28/11	02/13/12	\$2,710.19	\$1,812.57	\$897.62
GAMESTOP CORP NEW	GME	36467W109	237	02/28/11	02/13/12	\$5,435.39	\$4,736.63	\$698.76
GAMESTOP CORP NEW	GME	36467W109	134	02/28/11	02/13/12	\$3,074.57	\$2,678.10	\$396.47
GAMESTOP CORP NEW	GME	36467W109	134	02/28/11	02/13/12	\$3,073.77	\$2,678.10	\$395.67
GAMESTOP CORP NEW	GME	36467W109	454	02/28/11	02/13/12	\$10,320.95	\$9,073.55	\$1,247.40
V F CORPORATION COM	VFC	918204108	15	02/28/11	02/13/12	\$2,138.69	\$1,430.98	\$707.71
V F CORPORATION COM	VFC	918204108	66	02/28/11	02/14/12	\$9,370.08	\$6,296.30	\$3,073.78
GAMESTOP CORP NEW	GME	36467W109	3	02/28/11	02/14/12	\$68.82	\$59.96	\$8.86
GAMESTOP CORP NEW	GME	36467W109	9	02/28/11	02/14/12	\$205.85	\$179.87	\$25.98
GAMESTOP CORP NEW	GME	36467W109	5	02/28/11	02/14/12	\$114.70	\$99.93	\$14.77
FLEXTRONICS INTL LTD	FLEX	Y2573F102	288	02/28/11	02/14/12	\$1,992.63	\$2,318.40	\$-325.77
FLEXTRONICS INTL LTD	FLEX	Y2573F102	139	02/28/11	02/14/12	\$963.95	\$1,118.95	\$-155.00
FLEXTRONICS INTL LTD	FLEX	Y2573F102	684	02/28/11	02/14/12	\$4,734.07	\$5,506.20	\$-772.13
FLEXTRONICS INTL LTD	FLEX	Y2573F102	65	02/28/11	02/14/12	\$451.24	\$523.25	\$-72.01
FLEXTRONICS INTL LTD	FLEX	Y2573F102	350	02/28/11	02/14/12	\$2,418.35	\$2,817.50	\$-399.15
FLEXTRONICS INTL LTD	FLEX	Y2573F102	104	02/28/11	02/14/12	\$721.18	\$837.20	\$-116.02
FLEXTRONICS INTL LTD	FLEX	Y2573F102	334	02/28/11	02/15/12	\$2,311.76	\$2,688.70	\$-376.94
FLEXTRONICS INTL LTD	FLEX	Y2573F102	280	02/28/11	02/15/12	\$1,930.22	\$2,254.00	\$-323.78
FLEXTRONICS INTL LTD	FLEX	Y2573F102	325	02/28/11	02/15/12	\$2,262.28	\$2,616.25	\$-353.97



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Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
V F CORPORATION COM	VFC	918204108	131	02/28/11	02/16/12	\$18,915.98	\$12,497.20	\$6,418.78
FLEXTRONICS INTL LTD	FLEX	Y2573F102	280	02/28/11	02/16/12	\$1,948.82	\$2,254.00	\$-305.18
FLEXTRONICS INTL LTD	FLEX	Y2573F102	608	02/28/11	02/16/12	\$4,221.56	\$4,878.30	\$-656.74
FLEXTRONICS INTL LTD	FLEX	Y2573F102	188	03/09/11	02/16/12	\$1,302.93	\$1,448.26	\$-145.33
FLEXTRONICS INTL LTD	FLEX	Y2573F102	162	02/28/11	02/16/12	\$1,122.73	\$1,304.10	\$-181.37
V F CORPORATION COM	VFC	918204108	69	02/28/11	02/16/12	\$9,989.16	\$6,582.50	\$3,406.66
FLEXTRONICS INTL LTD	FLEX	Y2573F102	228	03/09/11	02/16/12	\$1,586.71	\$1,756.40	\$-169.69
FLEXTRONICS INTL LTD	FLEX	Y2573F102	415	03/09/11	02/16/12	\$2,895.27	\$3,196.95	\$-301.68
FLEXTRONICS INTL LTD	FLEX	Y2573F102	210	03/09/11	02/17/12	\$1,450.88	\$1,617.73	\$-166.85
FLEXTRONICS INTL LTD	FLEX	Y2573F102	261	03/09/11	02/17/12	\$1,815.01	\$2,010.61	\$-195.60
FLEXTRONICS INTL LTD	FLEX	Y2573F102	76	03/09/11	02/17/12	\$531.12	\$585.47	\$-54.35
FLEXTRONICS INTL LTD	FLEX	Y2573F102	50	07/21/11	02/21/12	\$345.69	\$321.16	\$24.53
FLEXTRONICS INTL LTD	FLEX	Y2573F102	422	03/09/11	02/21/12	\$2,917.61	\$3,250.88	\$-333.27
FLEXTRONICS INTL LTD	FLEX	Y2573F102	44	07/19/11	03/02/12	\$322.92	\$278.57	\$44.35
FLEXTRONICS INTL LTD	FLEX	Y2573F102	331	07/21/11	03/02/12	\$2,429.26	\$2,117.24	\$312.02
FLEXTRONICS INTL LTD	FLEX	Y2573F102	428	07/21/11	03/02/12	\$3,141.16	\$2,744.51	\$396.65
FLEXTRONICS INTL LTD	FLEX	Y2573F102	615	07/21/11	03/02/12	\$4,513.59	\$3,950.24	\$563.35
FLEXTRONICS INTL LTD	FLEX	Y2573F102	498	07/21/11	03/02/12	\$3,654.90	\$3,153.98	\$500.92
FLEXTRONICS INTL LTD	FLEX	Y2573F102	274	07/20/11	03/05/12	\$1,946.54	\$1,730.12	\$216.42
FLEXTRONICS INTL LTD	FLEX	Y2573F102	61	07/20/11	03/05/12	\$433.35	\$385.02	\$48.33
FLEXTRONICS INTL LTD	FLEX	Y2573F102	121	07/19/11	03/06/12	\$825.31	\$766.05	\$59.26
FLEXTRONICS INTL LTD	FLEX	Y2573F102	101	07/20/11	03/06/12	\$688.90	\$638.83	\$50.07
FLEXTRONICS INTL LTD	FLEX	Y2573F102	415	07/20/11	03/06/12	\$2,812.23	\$2,619.40	\$192.83
FLEXTRONICS INTL LTD	FLEX	Y2573F102	4	07/20/11	03/06/12	\$27.11	\$25.25	\$1.86
FLEXTRONICS INTL LTD	FLEX	Y2573F102	22	07/19/11	03/06/12	\$149.39	\$138.82	\$10.57
FLEXTRONICS INTL LTD	FLEX	Y2573F102	5	07/19/11	03/06/12	\$33.95	\$31.50	\$2.45
FLEXTRONICS INTL LTD	FLEX	Y2573F102	20	07/20/11	03/06/12	\$136.10	\$126.22	\$9.88
FLEXTRONICS INTL LTD	FLEX	Y2573F102	115	07/19/11	03/06/12	\$782.58	\$725.64	\$56.94



BNY MELLON
WEALTH MANAGEMENT

2012 Tax Information

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CHENFDN CORNR

Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
FLEXTRONICS INTL LTD	FLEX	Y2573F102	392	07/19/11	03/06/12	\$2,661.90	\$2,473.48	\$188.42
FLEXTRONICS INTL LTD	FLEX	Y2573F102	22	07/18/11	03/07/12	\$150.48	\$135.12	\$15.36
FLEXTRONICS INTL LTD	FLEX	Y2573F102	531	07/18/11	03/07/12	\$3,632.03	\$3,270.80	\$361.23
FLEXTRONICS INTL LTD	FLEX	Y2573F102	218	07/18/11	03/07/12	\$1,478.07	\$1,342.82	\$135.25
FLEXTRONICS INTL LTD	FLEX	Y2573F102	285	07/18/11	03/07/12	\$1,932.35	\$1,758.39	\$173.96
FLEXTRONICS INTL LTD	FLEX	Y2573F102	84	07/18/11	03/07/12	\$573.41	\$519.11	\$54.30
FLEXTRONICS INTL LTD	FLEX	Y2573F102	46	07/18/11	03/07/12	\$314.01	\$283.81	\$30.20
FLEXTRONICS INTL LTD	FLEX	Y2573F102	136	07/19/11	03/07/12	\$928.38	\$856.88	\$71.50
FLEXTRONICS INTL LTD	FLEX	Y2573F102	75	07/20/11	03/07/12	\$511.98	\$473.57	\$38.41
FLEXTRONICS INTL LTD	FLEX	Y2573F102	109	07/19/11	03/07/12	\$744.07	\$688.56	\$55.51
FLEXTRONICS INTL LTD	FLEX	Y2573F102	63	07/20/11	03/07/12	\$430.06	\$398.25	\$31.81
FLEXTRONICS INTL LTD	FLEX	Y2573F102	269	07/20/11	03/07/12	\$1,842.10	\$1,700.49	\$141.61
FLEXTRONICS INTL LTD	FLEX	Y2573F102	66	07/20/11	03/07/12	\$451.96	\$417.45	\$34.51
ORACLE CORPORATION	ORCL	68389X105	397	12/22/11	03/08/12	\$12,000.33	\$10,210.92	\$1,789.41
ORACLE CORPORATION	ORCL	68389X105	10	12/22/11	03/08/12	\$302.28	\$257.13	\$45.15
ORACLE CORPORATION	ORCL	68389X105	164	12/22/11	03/08/12	\$4,934.37	\$4,216.91	\$717.46
ORACLE CORPORATION	ORCL	68389X105	51	12/22/11	03/08/12	\$1,535.04	\$1,311.36	\$223.68
ORACLE CORPORATION	ORCL	68389X105	148	12/22/11	03/09/12	\$4,435.82	\$3,805.51	\$630.31
ORACLE CORPORATION	ORCL	68389X105	130	12/22/11	03/09/12	\$3,896.32	\$3,340.43	\$555.89
MICROSOFT CORP COM	MSFT	594918104	400	01/06/12	03/29/12	\$12,774.31	\$11,146.44	\$1,627.87
LOCKHEED MARTIN CORP	LMT	539830109	25	10/05/11	05/01/12	\$2,269.93	\$1,951.46	\$318.47
LOCKHEED MARTIN CORP	LMT	539830109	10	10/07/11	05/01/12	\$907.97	\$796.37	\$111.60
LOCKHEED MARTIN CORP	LMT	539830109	2	10/07/11	05/01/12	\$181.59	\$159.91	\$21.68
LOCKHEED MARTIN CORP	LMT	539830109	58	10/24/11	05/01/12	\$5,266.25	\$4,520.55	\$745.70
LOCKHEED MARTIN CORP	LMT	539830109	55	10/24/11	05/01/12	\$4,993.85	\$4,271.13	\$722.72
LOCKHEED MARTIN CORP	LMT	539830109	50	11/09/11	05/01/12	\$4,539.87	\$3,881.45	\$658.42
LOCKHEED MARTIN CORP	LMT	539830109	20	11/09/11	05/22/12	\$1,674.49	\$1,552.58	\$121.91
LOCKHEED MARTIN CORP	LMT	539830109	65	12/15/11	05/22/12	\$5,442.09	\$5,023.02	\$419.07



BNY MELLON
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Account Number [REDACTED]

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Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
LOCKHEED MARTIN CORP	LMT	539830109	28	12/15/11	05/22/12	\$2,344.28	\$2,165.71	\$178.57
LOCKHEED MARTIN CORP	LMT	539830109	48	11/09/11	05/22/12	\$4,018.77	\$3,721.01	\$297.76
LOCKHEED MARTIN CORP	LMT	539830109	169	10/10/11	05/23/12	\$13,957.34	\$12,720.56	\$1,236.78
LOCKHEED MARTIN CORP	LMT	539830109	89	12/15/11	05/23/12	\$7,350.32	\$6,877.68	\$472.64
LOCKHEED MARTIN CORP	LMT	539830109	153	08/17/11	05/24/12	\$12,697.43	\$10,899.05	\$1,798.38
LOCKHEED MARTIN CORP	LMT	539830109	37	10/07/11	05/24/12	\$3,070.62	\$2,740.92	\$329.70
LOCKHEED MARTIN CORP	LMT	539830109	69	10/10/11	05/24/12	\$5,726.29	\$5,193.60	\$532.69
LOCKHEED MARTIN CORP	LMT	539830109	251	08/17/11	05/25/12	\$20,823.34	\$17,880.13	\$2,943.21
LOCKHEED MARTIN CORP	LMT	539830109	1	08/17/11	05/25/12	\$83.41	\$71.24	\$12.17
LOCKHEED MARTIN CORP	LMT	539830109	124	08/17/11	05/29/12	\$10,311.71	\$8,833.21	\$1,478.50
LOCKHEED MARTIN CORP	LMT	539830109	171	08/17/11	05/30/12	\$14,132.62	\$12,181.29	\$1,951.33
WESTERN DIGITAL CORP	WDC	958102105	163	04/27/12	08/07/12	\$6,830.26	\$6,337.11	\$493.15
WESTERN DIGITAL CORP	WDC	958102105	161	04/27/12	08/07/12	\$6,735.94	\$6,259.36	\$476.58
WESTERN DIGITAL CORP	WDC	958102105	142	04/27/12	08/07/12	\$5,954.49	\$5,520.68	\$433.81
WESTERN DIGITAL CORP	WDC	958102105	159	04/27/12	08/08/12	\$6,616.95	\$6,181.60	\$435.35
WESTERN DIGITAL CORP	WDC	958102105	175	04/27/12	08/21/12	\$7,830.98	\$6,803.65	\$1,027.33
WESTERN DIGITAL CORP	WDC	958102105	33	06/08/12	08/21/12	\$1,476.70	\$1,025.18	\$451.52
WESTERN DIGITAL CORP	WDC	958102105	64	06/07/12	08/21/12	\$2,863.90	\$1,985.41	\$878.49
WESTERN DIGITAL CORP	WDC	958102105	187	06/07/12	08/22/12	\$8,308.11	\$5,801.13	\$2,506.98
WESTERN DIGITAL CORP	WDC	958102105	56	06/07/12	08/22/12	\$2,489.00	\$1,737.24	\$751.76
WESTERN DIGITAL CORP	WDC	958102105	64	06/07/12	08/22/12	\$2,844.58	\$1,984.32	\$860.26
WESTERN DIGITAL CORP	WDC	958102105	64	06/07/12	08/23/12	\$2,769.71	\$1,982.50	\$787.21
WESTERN DIGITAL CORP	WDC	958102105	132	06/07/12	08/23/12	\$5,712.54	\$4,092.66	\$1,619.88
HESS CORP	HES	42809H107	171	03/12/12	09/21/12	\$9,536.52	\$10,661.46	\$-1,124.94
HESS CORP	HES	42809H107	129	03/12/12	09/24/12	\$7,049.74	\$8,042.85	\$-993.11
VALE SA-SP ADR	VALE	91912E105	4	11/16/11	10/17/12	\$73.37	\$103.00	\$-29.63
VALE SA-SP ADR	VALE	91912E105	184	03/16/12	10/17/12	\$3,409.06	\$4,363.56	\$-954.50
VALE SA-SP ADR	VALE	91912E105	163	01/19/12	10/17/12	\$2,997.91	\$4,004.14	\$-1,006.23



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Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
VALE SA-SP ADR	VALE	91912E105	1	01/19/12	10/17/12	\$18.39	\$24.49	\$-6.10
VALE SA-SP ADR	VALE	91912E105	173	11/16/11	10/17/12	\$3,181.83	\$4,448.47	\$-1,266.64
VALE SA-SP ADR	VALE	91912E105	149	01/19/12	10/17/12	\$2,748.08	\$3,648.82	\$-900.74
VALE SA-SP ADR	VALE	91912E105	99	01/19/12	10/17/12	\$1,825.90	\$2,418.99	\$-593.09
VALE SA-SP ADR	VALE	91912E105	186	01/19/12	10/17/12	\$3,430.49	\$4,532.19	\$-1,101.70
VALE SA-SP ADR	VALE	91912E105	202	01/19/12	10/17/12	\$3,725.58	\$4,912.30	\$-1,186.72
VALE SA-SP ADR	VALE	91912E105	346	03/15/12	10/17/12	\$6,381.44	\$8,207.54	\$-1,826.10
VALE SA-SP ADR	VALE	91912E105	26	03/16/12	10/17/12	\$479.53	\$616.59	\$-137.06
VALE SA-SP ADR	VALE	91912E105	274	06/08/12	10/17/12	\$5,076.53	\$5,148.49	\$-71.96
VALE SA-SP ADR	VALE	91912E105	44	03/15/12	10/17/12	\$815.21	\$1,042.67	\$-227.46
VALE SA-SP ADR	VALE	91912E105	626	06/08/12	10/17/12	\$11,598.20	\$11,763.92	\$-165.72
VALE SA-SP ADR	VALE	91912E105	273	11/16/11	10/17/12	\$5,007.58	\$7,019.84	\$-2,012.26
HEWLETT PACKARD COMPANY	HPQ	428236103	357	02/16/12	11/12/12	\$4,757.74	\$10,680.65	\$-5,922.91
HEWLETT PACKARD COMPANY	HPQ	428236103	192	02/16/12	11/12/12	\$2,558.78	\$5,730.53	\$-3,171.75
HEWLETT PACKARD COMPANY	HPQ	428236103	73	02/14/12	11/12/12	\$977.55	\$2,099.31	\$-1,121.76
HEWLETT PACKARD COMPANY	HPQ	428236103	220	02/14/12	11/12/12	\$2,946.04	\$6,347.95	\$-3,401.91
HEWLETT PACKARD COMPANY	HPQ	428236103	106	02/14/12	11/12/12	\$1,419.46	\$3,060.99	\$-1,641.53
HEWLETT PACKARD COMPANY	HPQ	428236103	90	02/14/12	11/12/12	\$1,216.95	\$2,598.96	\$-1,382.01
HEWLETT PACKARD COMPANY	HPQ	428236103	175	02/14/12	11/12/12	\$2,366.30	\$5,054.98	\$-2,688.68
HEWLETT PACKARD COMPANY	HPQ	428236103	133	02/14/12	11/12/12	\$1,798.39	\$3,846.33	\$-2,047.94
HEWLETT PACKARD COMPANY	HPQ	428236103	51	02/16/12	11/12/12	\$689.61	\$1,522.17	\$-832.56
HEWLETT PACKARD COMPANY	HPQ	428236103	129	02/01/12	11/13/12	\$1,702.89	\$3,667.38	\$-1,964.49
HEWLETT PACKARD COMPANY	HPQ	428236103	170	02/02/12	11/13/12	\$2,250.22	\$4,887.72	\$-2,637.50
HEWLETT PACKARD COMPANY	HPQ	428236103	103	02/14/12	11/13/12	\$1,363.37	\$2,962.03	\$-1,598.66
HEWLETT PACKARD COMPANY	HPQ	428236103	58	02/02/12	11/13/12	\$767.72	\$1,656.76	\$-889.04
HEWLETT PACKARD COMPANY	HPQ	428236103	268	02/02/12	11/13/12	\$3,547.41	\$7,685.76	\$-4,138.35
HEWLETT PACKARD COMPANY	HPQ	428236103	128	02/02/12	11/13/12	\$1,689.69	\$3,656.29	\$-1,966.60
HEWLETT PACKARD COMPANY	HPQ	428236103	142	02/01/12	11/13/12	\$1,874.50	\$4,049.54	\$-2,175.04



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Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
HEWLETT PACKARD COMPANY	HPQ	428236103	254	01/30/12	11/14/12	\$3,339.36	\$7,068.79	\$-3,729.43
HEWLETT PACKARD COMPANY	HPQ	428236103	245	02/01/12	11/14/12	\$3,221.04	\$6,965.18	\$-3,744.14
HEWLETT PACKARD COMPANY	HPQ	428236103	399	01/30/12	11/14/12	\$5,273.78	\$11,104.13	\$-5,830.35
HEWLETT PACKARD COMPANY	HPQ	428236103	399	01/30/12	11/14/12	\$5,301.75	\$11,104.13	\$-5,802.38
HEWLETT PACKARD COMPANY	HPQ	428236103	151	01/31/12	11/14/12	\$1,996.72	\$4,196.29	\$-2,199.57
HEWLETT PACKARD COMPANY	HPQ	428236103	648	01/30/12	11/14/12	\$8,568.70	\$18,033.78	\$-9,465.08
HEWLETT PACKARD COMPANY	HPQ	428236103	190	02/23/12	11/15/12	\$2,496.28	\$5,190.31	\$-2,694.03
HEWLETT PACKARD COMPANY	HPQ	428236103	309	01/31/12	11/15/12	\$4,059.73	\$8,587.11	\$-4,527.38
HEWLETT PACKARD COMPANY	HPQ	428236103	260	02/23/12	11/15/12	\$3,411.22	\$7,102.52	\$-3,691.30
HEWLETT PACKARD COMPANY	HPQ	428236103	499	08/23/12	11/15/12	\$6,478.37	\$8,981.80	\$-2,503.43
HEWLETT PACKARD COMPANY	HPQ	428236103	250	02/23/12	11/15/12	\$3,245.68	\$6,829.35	\$-3,583.67
HEWLETT PACKARD COMPANY	HPQ	428236103	251	08/23/12	11/15/12	\$3,276.88	\$4,517.90	\$-1,241.02
STAPLES INC COM	SPLS	855030102	179	08/14/12	11/29/12	\$2,104.33	\$2,419.46	\$-315.13
STAPLES INC COM	SPLS	855030102	20	08/14/12	11/29/12	\$237.49	\$270.13	\$-32.64
STAPLES INC COM	SPLS	855030102	78	08/14/12	11/29/12	\$930.53	\$1,053.49	\$-122.96
STAPLES INC COM	SPLS	855030102	154	08/14/12	11/29/12	\$1,827.33	\$2,079.97	\$-252.64
STAPLES INC COM	SPLS	855030102	82	08/14/12	11/29/12	\$973.73	\$1,108.35	\$-134.62
STAPLES INC COM	SPLS	855030102	34	08/01/12	11/30/12	\$396.31	\$434.35	\$-38.04
STAPLES INC COM	SPLS	855030102	38	08/14/12	11/30/12	\$442.93	\$513.24	\$-70.31
STAPLES INC COM	SPLS	855030102	260	08/01/12	11/30/12	\$3,030.57	\$3,323.55	\$-292.98
STAPLES INC COM	SPLS	855030102	503	08/01/12	11/30/12	\$5,862.99	\$6,433.67	\$-570.68
STAPLES INC COM	SPLS	855030102	194	08/14/12	11/30/12	\$2,261.27	\$2,616.58	\$-355.31
STAPLES INC COM	SPLS	855030102	36	08/01/12	12/03/12	\$412.19	\$458.47	\$-46.28
EATON CORPORATION COMMON	ETN	278058102	3600	01/01/12	12/03/12	\$185,328.00	\$150,140.54	\$35,187.46
STAPLES INC COM	SPLS	855030102	282	08/01/12	12/03/12	\$3,217.60	\$3,602.58	\$-384.98
STAPLES INC COM	SPLS	855030102	245	07/31/12	12/03/12	\$2,837.37	\$3,129.61	\$-292.24
STAPLES INC COM	SPLS	855030102	141	08/01/12	12/03/12	\$1,632.94	\$1,801.29	\$-168.35
STAPLES INC COM	SPLS	855030102	323	08/01/12	12/03/12	\$3,698.26	\$4,121.93	\$-423.67



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Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
STAPLES INC COM	SPLS	855030102	308	08/01/12	12/04/12	\$3,470.71	\$3,922.44	\$-451.73
STAPLES INC COM	SPLS	855030102	308	07/30/12	12/04/12	\$3,468.46	\$3,922.20	\$-453.74
STAPLES INC COM	SPLS	855030102	154	07/30/12	12/04/12	\$1,735.36	\$1,961.10	\$-225.74
STAPLES INC COM	SPLS	855030102	138	07/31/12	12/05/12	\$1,562.30	\$1,752.90	\$-190.60
STAPLES INC COM	SPLS	855030102	72	07/31/12	12/05/12	\$810.79	\$914.56	\$-103.77
STAPLES INC COM	SPLS	855030102	187	07/30/12	12/05/12	\$2,112.96	\$2,381.33	\$-268.37
STAPLES INC COM	SPLS	855030102	188	07/31/12	12/05/12	\$2,117.05	\$2,392.41	\$-275.36
STAPLES INC COM	SPLS	855030102	202	07/31/12	12/05/12	\$2,282.45	\$2,570.57	\$-288.12
STAPLES INC COM	SPLS	855030102	174	07/31/12	12/05/12	\$1,970.71	\$2,210.18	\$-239.47
STAPLES INC COM	SPLS	855030102	153	07/30/12	12/05/12	\$1,732.12	\$1,939.11	\$-206.99
STAPLES INC COM	SPLS	855030102	120	07/30/12	12/05/12	\$1,358.52	\$1,518.38	\$-159.86
STAPLES INC COM	SPLS	855030102	177	07/30/12	12/05/12	\$1,993.18	\$2,249.10	\$-255.92
STAPLES INC COM	SPLS	855030102	161	07/26/12	12/06/12	\$1,835.69	\$2,006.19	\$-170.50
STAPLES INC COM	SPLS	855030102	154	07/27/12	12/06/12	\$1,755.88	\$1,916.56	\$-160.68
STAPLES INC COM	SPLS	855030102	522	07/26/12	12/06/12	\$5,958.23	\$6,506.16	\$-547.93
STAPLES INC COM	SPLS	855030102	262	07/27/12	12/06/12	\$2,990.53	\$3,277.88	\$-287.35
STAPLES INC COM	SPLS	855030102	206	07/27/12	12/06/12	\$2,351.33	\$2,578.85	\$-227.52
STAPLES INC COM	SPLS	855030102	263	07/26/12	12/06/12	\$3,001.95	\$3,277.19	\$-275.24
STAPLES INC COM	SPLS	855030102	343	07/26/12	12/06/12	\$3,915.08	\$4,274.57	\$-359.49
STAPLES INC COM	SPLS	855030102	56	07/30/12	12/06/12	\$639.43	\$708.58	\$-69.15
STAPLES INC COM	SPLS	855030102	252	07/27/12	12/06/12	\$2,877.42	\$3,154.71	\$-277.29
STAPLES INC COM	SPLS	855030102	199	07/27/12	12/06/12	\$2,268.96	\$2,479.22	\$-210.26
STAPLES INC COM	SPLS	855030102	118	07/27/12	12/06/12	\$1,349.69	\$1,468.53	\$-118.84
STAPLES INC COM	SPLS	855030102	21	07/27/12	12/07/12	\$239.57	\$261.35	\$-21.78
STAPLES INC COM	SPLS	855030102	8	07/27/12	12/07/12	\$91.06	\$99.56	\$-8.50
STAPLES INC COM	SPLS	855030102	171	07/26/12	12/07/12	\$1,946.39	\$2,125.94	\$-179.55
STAPLES INC COM	SPLS	855030102	223	07/27/12	12/07/12	\$2,550.55	\$2,775.28	\$-224.73
STAPLES INC COM	SPLS	855030102	248	07/26/12	12/10/12	\$2,786.54	\$3,079.91	\$-293.37



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Short term transactions

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
STAPLES INC COM	SPLS	855030102	155	07/26/12	12/10/12	\$1,741.58	\$1,927.02	\$-185.44
STAPLES INC COM	SPLS	855030102	106	08/15/12	12/11/12	\$1,213.32	\$1,214.75	\$-1.43
STAPLES INC COM	SPLS	855030102	53	08/15/12	12/11/12	\$606.66	\$612.76	\$-6.10
STAPLES INC COM	SPLS	855030102	51	08/15/12	12/11/12	\$581.50	\$589.64	\$-8.14
STAPLES INC COM	SPLS	855030102	243	09/25/12	12/11/12	\$2,770.70	\$2,882.13	\$-111.43
STAPLES INC COM	SPLS	855030102	390	09/25/12	12/11/12	\$4,446.80	\$4,635.07	\$-188.27
STAPLES INC COM	SPLS	855030102	542	09/25/12	12/11/12	\$6,179.91	\$6,482.59	\$-302.68
STAPLES INC COM	SPLS	855030102	208	07/26/12	12/11/12	\$2,371.62	\$2,583.15	\$-211.53
STAPLES INC COM	SPLS	855030102	111	08/15/12	12/11/12	\$1,270.56	\$1,269.01	\$1.55
STAPLES INC COM	SPLS	855030102	93	08/15/12	12/11/12	\$1,061.16	\$1,053.53	\$7.63
STAPLES INC COM	SPLS	855030102	34	08/15/12	12/11/12	\$387.95	\$388.45	\$-0.50
STAPLES INC COM	SPLS	855030102	7	08/15/12	12/11/12	\$79.87	\$80.03	\$-0.16
ORACLE CORPORATION	ORCL	68389X105	200	12/22/11	12/18/12	\$6,512.39	\$5,139.12	\$1,373.27
PARKER HANNIFIN CORP	PH	701094104	109	04/17/12	12/21/12	\$9,254.33	\$9,120.90	\$133.43
PARKER HANNIFIN CORP	PH	701094104	45	05/22/12	12/21/12	\$3,820.59	\$3,767.70	\$52.89
PARKER HANNIFIN CORP	PH	701094104	49	02/06/12	12/21/12	\$4,160.20	\$4,237.15	\$-76.95
PARKER HANNIFIN CORP	PH	701094104	5	05/22/12	12/21/12	\$424.77	\$417.47	\$7.30
PARKER HANNIFIN CORP	PH	701094104	46	02/06/12	12/21/12	\$3,908.31	\$3,986.82	\$-78.51
PARKER HANNIFIN CORP	PH	701094104	19	02/07/12	12/21/12	\$1,614.30	\$1,643.71	\$-29.41
PARKER HANNIFIN CORP	PH	701094104	48	02/08/12	12/21/12	\$4,078.24	\$4,221.71	\$-143.47
PARKER HANNIFIN CORP	PH	701094104	91	04/17/12	12/21/12	\$7,730.87	\$7,614.70	\$116.17
PARKER HANNIFIN CORP	PH	701094104	38	02/07/12	12/21/12	\$3,226.28	\$3,287.43	\$-61.15
Total short term gain/loss from sales:						\$1,145,368.92	\$1,137,128.66	\$8,240.26



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Short term transactions with loss disallowed due to wash sale

This category includes sales of assets held 12 months or less.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Portion subject to 988	Net gain or loss	Wash sale loss disallowed
FLEXTRONICS INTL LTD	FLEX	Y2573F102	145	02/28/11	02/03/12	\$1,034.83	\$1,167.25	\$0.00	\$-132.42	\$132.42
FLEXTRONICS INTL LTD	FLEX	Y2573F102	444	02/28/11	02/03/12	\$3,161.21	\$3,574.20	\$0.00	\$-412.99	\$412.99
FLEXTRONICS INTL LTD	FLEX	Y2573F102	45	02/28/11	02/03/12	\$319.99	\$362.25	\$0.00	\$-42.26	\$42.26
FLEXTRONICS INTL LTD	FLEX	Y2573F102	132	02/28/11	02/03/12	\$944.95	\$1,062.60	\$0.00	\$-117.65	\$117.65
FLEXTRONICS INTL LTD	FLEX	Y2573F102	388	02/28/11	02/06/12	\$2,746.90	\$3,123.40	\$0.00	\$-376.50	\$376.50
FLEXTRONICS INTL LTD	FLEX	Y2573F102	34	02/28/11	02/06/12	\$242.13	\$273.70	\$0.00	\$-31.57	\$31.57
FLEXTRONICS INTL LTD	FLEX	Y2573F102	514	02/28/11	02/06/12	\$3,654.01	\$4,137.70	\$0.00	\$-483.69	\$116.69
FLEXTRONICS INTL LTD	FLEX	Y2573F102	44	02/28/11	02/06/12	\$313.27	\$354.20	\$0.00	\$-40.93	\$40.93
FLEXTRONICS INTL LTD	FLEX	Y2573F102	144	02/28/11	02/06/12	\$1,026.70	\$1,159.20	\$0.00	\$-132.50	\$132.50
Total short term gain/loss from sales:						\$13,443.99	\$15,214.50		\$-1,770.51	
Total short term loss disallowed from wash sales:										\$1,403.51
Total short term Section 988 translation gain/loss from securities subject to wash sale:										\$0.00



BNY MELLON
WEALTH MANAGEMENT

CHENFDN CORNR

2012 Tax Information

Account Number [REDACTED]

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Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
FLEXTRONICS INTL LTD	FLEX	Y2573F102	70	02/07/11	03/02/12	\$513.74	\$504.67	\$9.07
FLEXTRONICS INTL LTD	FLEX	Y2573F102	75	02/04/11	03/02/12	\$550.44	\$536.65	\$13.79
FLEXTRONICS INTL LTD	FLEX	Y2573F102	87	02/08/11	03/02/12	\$638.51	\$634.68	\$3.83
FLEXTRONICS INTL LTD	FLEX	Y2573F102	301	02/08/11	03/02/12	\$2,209.09	\$2,194.49	\$14.60
FLEXTRONICS INTL LTD	FLEX	Y2573F102	124	02/08/11	03/02/12	\$910.06	\$900.41	\$9.65
FLEXTRONICS INTL LTD	FLEX	Y2573F102	45	02/07/11	03/02/12	\$330.26	\$326.58	\$3.68
FLEXTRONICS INTL LTD	FLEX	Y2573F102	69	02/08/11	03/02/12	\$506.40	\$499.90	\$6.50
FLEXTRONICS INTL LTD	FLEX	Y2573F102	62	02/07/11	03/02/12	\$455.03	\$443.17	\$11.86
FLEXTRONICS INTL LTD	FLEX	Y2573F102	396	02/07/11	03/02/12	\$2,906.31	\$2,845.95	\$60.36
FLEXTRONICS INTL LTD	FLEX	Y2573F102	145	02/07/11	03/02/12	\$1,064.18	\$1,039.63	\$24.55
FLEXTRONICS INTL LTD	FLEX	Y2573F102	44	02/04/11	03/02/12	\$322.92	\$315.28	\$7.64
FLEXTRONICS INTL LTD	FLEX	Y2573F102	48	02/07/11	03/02/12	\$352.28	\$343.94	\$8.34
FLEXTRONICS INTL LTD	FLEX	Y2573F102	34	02/04/11	03/02/12	\$249.53	\$243.57	\$5.96
ACE LIMITED	ACE	H0023R105	200	02/28/11	03/09/12	\$14,295.68	\$12,664.64	\$1,631.04
APPLE COMPUTER INC COM	AAPL	037833100	25	02/28/11	03/29/12	\$15,314.04	\$8,810.50	\$6,503.54
APPLE COMPUTER INC COM	AAPL	037833100	50	02/28/11	04/27/12	\$30,244.34	\$17,621.00	\$12,623.34
GOOGLE INC	GOOG	38259P508	35	02/28/11	05/15/12	\$21,163.94	\$21,428.65	\$-264.71
AT&T INC	T	00206R102	282	02/28/11	05/22/12	\$9,483.11	\$7,974.28	\$1,508.83
AT&T INC	T	00206R102	450	02/28/11	05/22/12	\$15,142.88	\$12,724.92	\$2,417.96
AT&T INC	T	00206R102	166	02/28/11	05/22/12	\$5,574.52	\$4,694.08	\$880.44
GAMESTOP CORP NEW	GME	38467W109	134	02/28/11	05/23/12	\$2,553.44	\$2,678.10	\$-124.66
GAMESTOP CORP NEW	GME	38467W109	403	02/28/11	05/23/12	\$7,644.89	\$8,054.28	\$-409.39
GAMESTOP CORP NEW	GME	38467W109	356	02/28/11	05/23/12	\$6,686.91	\$7,114.94	\$-428.03
AT&T INC	T	00206R102	1032	02/28/11	05/23/12	\$34,505.28	\$29,182.49	\$5,322.79
AT&T INC	T	00206R102	524	02/28/11	05/23/12	\$17,454.77	\$14,817.46	\$2,637.31
AT&T INC	T	00206R102	448	02/28/11	05/23/12	\$14,880.65	\$12,668.36	\$2,212.29
AT&T INC	T	00206R102	523	02/28/11	05/23/12	\$17,352.54	\$14,789.19	\$2,563.35
GAMESTOP CORP NEW	GME	38467W109	147	02/28/11	05/23/12	\$2,784.90	\$2,937.91	\$-153.01



BNY MELLON
WEALTH MANAGEMENT

CHENFDN CORNR

2012 Tax Information

Account Number [REDACTED]

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Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
GAMESTOP CORP NEW	GME	36467W109	132	02/28/11	05/24/12	\$2,524.94	\$2,638.13	\$-113.19
GAMESTOP CORP NEW	GME	36467W109	109	02/28/11	05/24/12	\$2,079.14	\$2,178.45	\$-99.31
GAMESTOP CORP NEW	GME	36467W109	106	02/28/11	05/24/12	\$2,006.52	\$2,118.49	\$-111.97
GAMESTOP CORP NEW	GME	36467W109	613	02/28/11	05/24/12	\$11,598.86	\$12,251.30	\$-652.44
GAMESTOP CORP NEW	GME	36467W109	235	03/09/11	05/24/12	\$4,446.55	\$4,664.33	\$-217.78
GAMESTOP CORP NEW	GME	36467W109	133	03/09/11	05/25/12	\$2,582.53	\$2,639.81	\$-57.28
GAMESTOP CORP NEW	GME	36467W109	35	03/09/11	05/25/12	\$676.66	\$694.69	\$-18.03
GAMESTOP CORP NEW	GME	36467W109	39	03/09/11	05/25/12	\$759.51	\$774.08	\$-14.57
GAMESTOP CORP NEW	GME	36467W109	84	03/09/11	05/25/12	\$1,637.30	\$1,667.25	\$-29.95
GAMESTOP CORP NEW	GME	36467W109	501	03/09/11	05/25/12	\$9,772.54	\$9,943.95	\$-171.41
GAMESTOP CORP NEW	GME	36467W109	40	03/09/11	05/29/12	\$786.85	\$793.92	\$-7.07
GAMESTOP CORP NEW	GME	36467W109	33	03/09/11	05/30/12	\$688.28	\$654.99	\$33.29
APPLE COMPUTER INC COM	AAPL	037833100	25	02/28/11	06/21/12	\$14,320.04	\$8,810.50	\$5,709.54
MERCK & CO INC	MRK	58933Y105	105	02/28/11	06/21/12	\$4,138.98	\$3,421.93	\$717.05
MERCK & CO INC	MRK	58933Y105	258	02/28/11	06/22/12	\$10,266.47	\$8,408.17	\$1,858.30
MERCK & CO INC	MRK	58933Y105	287	02/28/11	06/22/12	\$11,445.10	\$9,353.27	\$2,091.83
CAPITAL ONE FINL CORP COM	COF	14040H105	119	02/28/11	06/29/12	\$6,442.64	\$5,916.60	\$526.04
CAPITAL ONE FINL CORP COM	COF	14040H105	162	02/28/11	06/29/12	\$8,778.18	\$8,054.53	\$723.65
CAPITAL ONE FINL CORP COM	COF	14040H105	119	02/28/11	07/02/12	\$6,456.44	\$5,916.60	\$539.84
BRISTOL MYERS SQUIBB CO COM	BMJ	110122108	634	02/28/11	07/11/12	\$21,894.63	\$16,353.84	\$5,540.79
BRISTOL MYERS SQUIBB CO COM	BMJ	110122108	353	02/28/11	07/11/12	\$12,214.24	\$9,105.53	\$3,108.71
BRISTOL MYERS SQUIBB CO COM	BMJ	110122108	184	02/28/11	07/11/12	\$6,351.77	\$4,746.22	\$1,605.55
BRISTOL MYERS SQUIBB CO COM	BMJ	110122108	529	02/28/11	07/11/12	\$18,227.66	\$13,645.40	\$4,582.26
CHEVRONTEXACO CORP	CVX	166764100	135	02/28/11	07/17/12	\$14,474.80	\$13,900.52	\$574.28
CHEVRONTEXACO CORP	CVX	166764100	90	02/28/11	07/18/12	\$9,634.79	\$9,267.01	\$367.78
BRISTOL MYERS SQUIBB CO COM	BMJ	110122108	561	02/28/11	07/26/12	\$19,950.22	\$14,470.83	\$5,479.39
WAL MART STORES INC	WMT	931142103	186	02/28/11	07/26/12	\$13,632.08	\$9,665.23	\$3,966.85
CAPITAL ONE FINL CORP COM	COF	14040H105	196	02/28/11	07/26/12	\$10,951.47	\$9,744.98	\$1,206.49



BNY MELLON
WEALTH MANAGEMENT

CHENFDN CORNR

2012 Tax Information

Account Number [REDACTED]

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Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
BRISTOL MYERS SQUIBB CO COM	BMV	110122108	302	02/28/11	07/27/12	\$10,883.95	\$7,790.00	\$3,093.95
WAL MART STORES INC	WMT	931142103	39	02/28/11	07/27/12	\$2,908.13	\$2,026.58	\$881.55
CAPITAL ONE FINL CORP COM	COF	14040H105	104	02/28/11	07/30/12	\$5,940.59	\$5,170.81	\$769.78
BRISTOL MYERS SQUIBB CO COM	BMV	110122108	279	02/28/11	07/30/12	\$9,983.34	\$7,196.72	\$2,786.62
BRISTOL MYERS SQUIBB CO COM	BMV	110122108	373	02/28/11	07/31/12	\$13,274.62	\$9,621.42	\$3,653.20
BRISTOL MYERS SQUIBB CO COM	BMV	110122108	310	02/28/11	08/01/12	\$11,109.62	\$7,996.36	\$3,113.26
V F CORPORATION COM	VFC	918204108	22	02/28/11	08/07/12	\$3,334.57	\$2,098.77	\$1,235.80
V F CORPORATION COM	VFC	918204108	53	02/28/11	08/07/12	\$8,050.90	\$5,056.12	\$2,994.78
V F CORPORATION COM	VFC	918204108	44	02/28/11	08/08/12	\$6,595.19	\$4,197.53	\$2,397.66
V F CORPORATION COM	VFC	918204108	230	02/28/11	08/08/12	\$34,429.71	\$21,941.65	\$12,488.06
V F CORPORATION COM	VFC	918204108	276	02/28/11	08/09/12	\$41,043.90	\$26,329.98	\$14,713.92
ELI LILLY & CO COM	LLY	532457108	700	02/10/11	09/21/12	\$33,220.41	\$22,923.53	\$10,296.88
MORGAN STANLEY DEAN WITTER & MS	MS	617446448	300	02/28/11	09/21/12	\$5,115.61	\$8,853.12	\$-3,737.51
MORGAN STANLEY DEAN WITTER & MS	MS	617446448	400	02/16/11	09/21/12	\$6,820.81	\$11,837.19	\$-5,016.38
MORGAN STANLEY DEAN WITTER & MS	MS	617446448	300	02/28/11	09/21/12	\$5,115.61	\$9,125.81	\$-4,010.20
HES CORP	HES	42809H107	25	08/15/11	09/24/12	\$1,366.23	\$1,487.35	\$-121.12
ELI LILLY & CO COM	LLY	532457108	359	02/10/11	10/05/12	\$17,298.92	\$11,756.50	\$5,542.42
ELI LILLY & CO COM	LLY	532457108	65	02/10/11	10/08/12	\$3,202.61	\$2,128.61	\$1,074.00
ELI LILLY & CO COM	LLY	532457108	343	02/10/11	10/08/12	\$16,435.54	\$11,232.53	\$5,203.01
ELI LILLY & CO COM	LLY	532457108	358	02/10/11	10/08/12	\$17,504.22	\$11,723.75	\$5,780.47
MERCK & CO INC	MRK	58933Y105	658	02/28/11	10/12/12	\$30,025.24	\$21,444.09	\$8,581.15
MERCK & CO INC	MRK	58933Y105	17	02/28/11	10/15/12	\$778.69	\$554.03	\$224.66
VALE SA-SP ADR	VALE	91912E105	808	02/28/11	10/16/12	\$15,125.58	\$27,560.88	\$-12,435.30
VALE SA-SP ADR	VALE	91912E105	1142	02/28/11	10/16/12	\$21,580.00	\$38,953.62	\$-17,373.62
VALE SA-SP ADR	VALE	91912E105	68	03/20/11	10/16/12	\$1,284.97	\$2,265.37	\$-980.40
VALE SA-SP ADR	VALE	91912E105	418	02/14/11	10/16/12	\$7,824.87	\$15,369.34	\$-7,544.47
VALE SA-SP ADR	VALE	91912E105	132	02/14/11	10/16/12	\$2,471.01	\$4,847.69	\$-2,376.68
VALE SA-SP ADR	VALE	91912E105	300	03/09/11	10/17/12	\$5,502.84	\$9,964.80	\$-4,461.96



BNY MELLON
WEALTH MANAGEMENT

CHENFDN CORNR

2012 Tax Information

Account Number [REDACTED]

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Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
VALE SA-SP ADR	VALE	91912E105	32	03/20/11	10/17/12	\$586.97	\$1,066.06	\$-479.09
VALE SA-SP ADR	VALE	91912E105	400	06/27/11	10/17/12	\$7,337.11	\$12,321.40	\$-4,984.29
ELI LILLY & CO COM	LLY	532457108	609	02/10/11	11/05/12	\$29,667.80	\$19,943.47	\$9,724.33
ELI LILLY & CO COM	LLY	532457108	121	02/10/11	11/06/12	\$5,893.49	\$3,962.50	\$1,930.99
ELI LILLY & CO COM	LLY	532457108	279	02/10/11	11/07/12	\$13,480.19	\$9,136.66	\$4,343.53
ELI LILLY & CO COM	LLY	532457108	240	02/10/11	11/07/12	\$11,618.06	\$7,859.50	\$3,758.56
ELI LILLY & CO COM	LLY	532457108	180	02/10/11	11/07/12	\$8,692.90	\$5,894.62	\$2,798.28
ELI LILLY & CO COM	LLY	532457108	671	02/10/11	11/08/12	\$32,048.72	\$21,973.84	\$10,074.88
SANOFI-SYNTHELABO	SNY	80105N105	76	04/30/11	12/17/12	\$3,544.16	\$3,089.11	\$455.05
SANOFI-SYNTHELABO	SNY	80105N105	29	04/27/11	12/17/12	\$1,352.37	\$1,189.97	\$162.40
SANOFI-SYNTHELABO	SNY	80105N105	20	04/30/11	12/17/12	\$933.86	\$812.92	\$120.94
SANOFI-SYNTHELABO	SNY	80105N105	75	04/30/11	12/17/12	\$3,501.99	\$3,044.68	\$457.31
SANOFI-SYNTHELABO	SNY	80105N105	44	04/11/11	12/17/12	\$2,054.50	\$1,765.63	\$288.87
ORACLE CORPORATION	ORCL	68389X105	53	02/10/11	12/18/12	\$1,725.91	\$1,194.05	\$531.86
ORACLE CORPORATION	ORCL	68389X105	355	02/10/11	12/18/12	\$11,574.20	\$7,997.88	\$3,576.32
ORACLE CORPORATION	ORCL	68389X105	261	02/10/11	12/18/12	\$8,504.90	\$5,880.13	\$2,624.77
SANOFI-SYNTHELABO	SNY	80105N105	119	04/11/11	12/18/12	\$5,610.40	\$4,775.24	\$835.16
SANOFI-SYNTHELABO	SNY	80105N105	37	04/11/11	12/18/12	\$1,744.41	\$1,484.46	\$259.95
SANOFI-SYNTHELABO	SNY	80105N105	1	04/11/11	12/18/12	\$47.04	\$40.12	\$6.92
SANOFI-SYNTHELABO	SNY	80105N105	100	05/01/11	12/18/12	\$4,704.20	\$3,900.17	\$804.03
SANOFI-SYNTHELABO	SNY	80105N105	174	05/11/11	12/18/12	\$8,185.30	\$6,626.37	\$1,558.93
ORACLE CORPORATION	ORCL	68389X105	156	02/10/11	12/18/12	\$5,079.67	\$3,514.56	\$1,565.11
Total long term gain/loss from sales:						\$961,145.66	\$819,717.76	\$141,427.90

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Accrual Basis

Ben B. Cheney Foundation, Inc.
Transaction Detail By Account
 January through December 2012

Date	Name	Memo	Amount
Realized Capital Gains & Losses			
Other Capital Gains & Losses			
CAS-Class Action Settlements			
01/04/2012	Wells Fargo Bank	CAS-Tyco Int'l	80 58
01/04/2012	Wells Fargo Bank	CAS-Marvell Technology	3,386 46
01/09/2012	Wells Fargo Bank	CAS-Sara Lee Corporation	389 67
04/25/2012	Wells Fargo Bank	CAS-New Century Financial	7,029 78
05/24/2012	Wells Fargo Bank	CAS-AOL Time Warner	342 42
11/12/2012	Wells Fargo Bank	CAS-Levitt Corporation	436.79
11/21/2012	Wells Fargo Bank	CAS-Apple Computer, Inc	871 21
11/21/2012	Wells Fargo Bank	CAS-Colburn (SEC)	125 81
12/20/2012	Wells Fargo Bank	CAS-Gilead Sciences	10 93
Total CAS-Class Action Settlements			12,673 65
Total Other Capital Gains & Losses			12,673 65
Total Realized Capital Gains & Losses			12,673 65
TOTAL			12,673.65