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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

Note The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning

, 2012, and ending

, 20

Name of foundation

THE RAZI FAMILY FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

2201 DUPONT DRIVE, SUITE 300

City or town, state, and ZIP code

IRVINE, CA 92612

G Check all that apply

Initial return

Final return

Address change

Initial return of a former public charity

Amended return

Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end

of year (from Part II, col (c), line

16) \$ 2,633,781.

J Accounting method

☒ Cash ☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

A Employer identification number

91-2169259

B Telephone number (see instructions)

(949) 833-1554

C If exemption application is
pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the
85% test, check here and attach
computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see instructions))(a) Revenue and
expenses per
books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable
purposes
(cash basis only)

1 Contributions, gifts, grants, etc., received (attach schedule)

2 Check ☐ if the foundation is not required to
attach Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all

assets on line 6a

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns

and allowances

b Less Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule) ATCH. 3

12 Total. Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach schedule) ATCH. 4

c Other professional fees (attach schedule)

17 Interest

18 Taxes (attach schedule) (see instructions) ATCH. 5

19 Depreciation (attach schedule) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule) ATCH. 6

24 Total operating and administrative expenses.

Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements Add lines 24 and 25

27 Subtract line 26 from line 12

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

8,978.
53,131.8,978.
53,131.ATCH 1
ATCH 2

112,740.

112,740.

1,439,348

112,740.

26.

26.

174,875.

174,875.

0

26.

10,542.

5,271.

5,271.

5,577.

2,077.

24,526.

24,441.

40,645.

31,789.

5,271.

180,785.

180,785.

180,785.

221,430.

31,789.

0

-46,555.

143,086.

186,056.

SCANNED JUL 16 2013

Revenue

Operating and Administrative Expenses

g14 23

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	19,778.	24,865.	24,865.
	2 Savings and temporary cash investments	320,435.	119,946.	119,946.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) ATCH 7	1,376,437.	1,398,212.	1,583,012.
	c Investments - corporate bonds (attach schedule) ATCH 8	539,704.	652,501.	670,042.
	Liabilities	11 Investments - land, buildings, and equipment basis		
Less accumulated depreciation ▶ (attach schedule)				
12 Investments - mortgage loans				
13 Investments - other (attach schedule) ATCH 9		201,693.	215,942.	235,888.
14 Land, buildings, and equipment basis				
Less accumulated depreciation ▶ (attach schedule)				
15 Other assets (describe ▶ ATCH 10)		2.	28.	28.
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		2,458,049.	2,411,494.	2,633,781.
17 Accounts payable and accrued expenses				
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable (attach schedule)				
22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	2,458,049.	2,411,494.	
30 Total net assets or fund balances (see instructions)	2,458,049.	2,411,494.		
31 Total liabilities and net assets/fund balances (see instructions)	2,458,049.	2,411,494.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,458,049.
2 Enter amount from Part I, line 27a	2	-46,555.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	2,411,494.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,411,494.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	112,740.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2011	141,741.	2,716,986.	0.052168
2010	155,730.	2,624,172.	0.059344
2009	98,500.	2,503,455.	0.039346
2008	170,227.	3,084,340.	0.055191
2007	111,000.	3,453,827.	0.032138
2 Total of line 1, column (d)			2 0.238187
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.047637
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5			4 2,571,696.
5 Multiply line 4 by line 3			5 122,508.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,431.
7 Add lines 5 and 6			7 123,939.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 186,056.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	1,431.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	1,431.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1,431.
6 Credits/Payments			
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	2,709.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,709.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,278.	
11 Enter the amount of line 10 to be Credited to 2013 estimated tax ☐ 1,278. Refunded ☐ 11	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CA, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ N/A				
14	The books are in care of ▶ ALI C. RAZI Telephone no ▶ (949) 833-1554			
Located at ▶ 2201 DUPONT DRIVE, SUITE 300, IRVINE, CA ZIP+4 ▶ 92612				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ Organizations relying on a current notice regarding disaster assistance check here ▶ ☐	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☒ No If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**5b****c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 11		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		0
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	2,408,726.
b	Average of monthly cash balances	1b	202,118.
c	Fair market value of all other assets (see instructions)	1c	15.
d	Total (add lines 1a, b, and c)	1d	2,610,859.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,610,859.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	39,163.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,571,696.
6	Minimum investment return. Enter 5% of line 5	6	128,585.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	128,585.
2a	Tax on investment income for 2012 from Part VI, line 5	2a	1,431.
b	Income tax for 2012 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,431.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	127,154.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	127,154.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	127,154.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	186,056.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	186,056.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	1,431.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	184,625.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				127,154.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			10,391.	
b Total for prior years 20 10 20 09 20 08				
3 Excess distributions carryover, if any, to 2012				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e				
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 186,056.				
a Applied to 2011, but not more than line 2a			10,391.	
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2012 distributable amount				127,154.
e Remaining amount distributed out of corpus	48,511.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	48,511.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2011 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	48,511.			
10 Analysis of line 9				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012	48,511.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ALI C. AND ANOUSHEH RAZI

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

ATCH 12

b The form in which applications should be submitted and information and materials they should include

SEE APPLICATION INSTRUCTIONS ATTACHED

c Any submission deadlines.

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE APPLICATION INSTRUCTIONS ATTACHED

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year ATCH 13				
Total			3a	180,785.
b Approved for future payment				
Total			3b	

Form 990-PF (2012)

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
▼	

Form 990-PF (2012)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|--|--------------|-----|----|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.


Signature of officer or trustee

X 7-9-2013
Date



 President
 Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name
GREGGORY J HUTCHINS

Preparer's signature

Date _____

Check	
-------	--

PTIN

self-employed

P00365150

Firm's name ► HOLTHOUSE CARLIN & VAN TRIGT LLP

Firm's EIN ► 95-4345526

Firm's address ▶ 4550 E. THOUSAND OAKS BLVD, STE 100
WESTLAKE VILLAGE, CA

Phone no 805-374-8555

THE RAZI FAMILY FOUNDATION

APPLICATION INSTRUCTIONS FOR DISCRETIONARY GRANT

STATEMENT OF PURPOSE

The Razi Family Foundation (the "Foundation") has been organized under the California Nonprofit Public Benefit Corporation Law for charitable purposes. These purposes include the following:

1. To provide the Foundation's local and national community with economic support and personal commitment from its Directors to become actively involved in philanthropic projects.
2. To be active in the support of qualified philanthropic endeavors in the areas of education, social welfare, historical and environmental preservation, medical and scientific research and cultural pursuits.
3. To establish a presence in the community embodying the Foundation's philosophy of caring through creatively approaching problems and supporting worthwhile endeavors.

A substantial portion of the Foundation's annual income is disbursed to those charitable organizations which, in the discretion of the Directors, serve the guiding purposes of the Foundation as set forth in the Statement of Purpose.

GRANT APPLICATION INSTRUCTIONS

The attached outline provides a format for grant applications. This format should be followed, although Applicant is encouraged to supplement the basic outline in the manner which will best inform the Directors of the Applicant's fitness for financial assistance.

In determining the merits and priority of in application, the Directors will give particular consideration to:

- (a) The importance of the particular problem or need being addressed;
- (b) The adequacy of the proposed program;
- (c) The ability of the Applicant to achieve the expected result;

- (d) The potential for substantial community benefit; and
- (e) The extent to which the proposed program promotes the fundamental ideals of the Foundation.

Be sure to include the necessary attachments in your application. The application must be accompanied by the enclosed Officers' Certificate, duly executed and dated. Attachments must be typewritten on 8 1/2" x 11" white bond paper.

Any questions concerning the application procedure should be directed in writing to:

Ali and Anousheh Razi
2201 Dupont Drive, Suite 300
Irvine, CA 92612

Exhibit "A"

APPLICATION FOR DISCRETIONARY GRANT

I. Identification of Applicant

- A. Legal Name
- B. Address and Telephone Number of Principal Office
- C. Name of Individual Responsible for submission of Application
- D. Type of Entity
- E. Brief History of Applicant
- F. Federal Identification Number

ii. Qualifications of Applicant

- A. Purposes and Goals
- B. Current Programs and Activities
- C. Constituency Served
- D. Accomplishments
- E. Qualifications in Undertaking Proposed Program

III. Proposed Program

- A. Description of Problem/Need
- B. Program Objectives
- C. Relation of Objectives to The Razi Family Foundation Purposes
- D. Methods of Achieving Objectives
- E. Budget
- F. Time of Completion

G. Criteria for Determining Success or failure of Program

IV. Grant Request

A. Amount of Funds Requested

B. Schedule for Disbursement of Funds to Applicant

C. Anticipated Funding Support from Sources Other than The Razi Family Foundation

V. Attachments to Application

A. Names and Addresses of Members of Governing Board

B. Most Recent Financial Statements

C. Current Year Budget

D. Current Annual Report

Exhibit "B"

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					6,921.	
349,675.		SALE OF PUBLICLY TRADED SECURITIES 369,373.					VARIOUS -19,698.	VARIOUS
1,033,532.		SALE OF PUBLICLY TRADED SECURITIES 921,484.					VARIOUS 112,048.	VARIOUS
49,220.		SALE OF PUBLICLY TRADED SECURITIES 35,751.					VARIOUS 13,469.	VARIOUS
TOTAL GAIN (LOSS)							<u>112,740.</u>	

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
NORTHERN TRUST OF CALIFORNIA	8,978.	8,978.
TOTAL	<u>8,978.</u>	<u>8,978.</u>

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
NORTHERN TRUST OF CALIFORNIA	53,131.	53,131.
TOTAL	<u>53,131.</u>	<u>53,131.</u>

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
OTHER INVESTMENT INCOME	26.	26.
TOTALS	<u>26.</u>	<u>26.</u>

ATTACHMENT 4

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	10,542.	5,271.		5,271.
TOTALS	<u>10,542.</u>	<u>5,271.</u>		<u>5,271.</u>

ATTACHMENT 5

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAXES	2,077.	2,077.
FEDERAL EXCISE TAX	3,500.	
TOTALS	<u>5,577.</u>	<u>2,077.</u>

ATTACHMENT 6

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
Fees, Licenses & Permits	60.	
Investment Management Fees	24,440.	24,440.
Miscellaneous Expense	1.	1.
Bank Charges	25.	
TOTALS	<u>24,526.</u>	<u>24,441.</u>

ATTACHMENT 7

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
NORTHERN TRUST - EQUITY SEC.	790,646.	907,516.
NORTHERN TRUST INT'L DEV.	607,566.	675,496.
TOTALS	<u>1,398,212.</u>	<u>1,583,012.</u>

FORM 990PF, PART II - CORPORATE BONDS

ATTACHMENT 8

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
NORTHERN TRUST - FIXED INCOME	652,501.	670,042.
TOTALS	<u>652,501.</u>	<u>670,042.</u>

ATTACHMENT 9

FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
NORTHERN TRUST COMMODITIES FD	215,942.	235,888.
TOTALS	<u>215,942.</u>	<u>235,888.</u>

FORM 990PF, PART II - OTHER ASSETS

ATTACHMENT 10

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
OTHER RECEIVABLE	28.	28.
TOTALS	<u>28.</u>	<u>28.</u>

THE RAZI FAMILY FOUNDATION

91-2169259

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 11

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
ALI CYRUS RAZI 12735 SAN VICENTE BLVD. LOS ANGELES, CA 90049	PRESIDENT	0	0	0
ANOUSHEH RAZI 12735 SAN VICENTE BLVD. LOS ANGELES, CA 90049	SECRETARY/TREASURER	0	0	0
BABAK CYRUS RAZI 12735 SAN VICENTE BLVD. LOS ANGELES, CA 90049	FAMILY DIRECTOR	0	0	0
NILCOFAR RAZI HOWE 660 S. GRETNA GREEN WAY LOS ANGELES, CA 90049	FAMILY DIRECTOR	0	0	0
KEYVAN CYRUS RAZI 12735 SAN VICENTE BLVD. LOS ANGELES, CA 90049	FAMILY DIRECTOR	0	0	0
GRAND TOTALS		0	0	0

ATTACHMENT 12

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

ALI C. RAZI
2201 DUPONT DRIVE, SUITE 300
IRVINE, CA 92612

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 13

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
FOUNDATION FOR PRINCE ALIREZA PAHLAVI 7201 W 78TH STREET BLOOMINGTON, MN 55439	NONE 501 (C) (3)	TO PROMOTE EDUCATION IN ANCIENT IRANIAN STUDIES AT HARVARD UNIVERSITY.	25,000
THE MIDNIGHT MISSION 601 S SAN PEDRO STREET LOS ANGELES, CA 90014	NONE 501 (C) (3)	TO PROVIDE HOMELESS SHELTER	26,000
FARHANG FOUNDATION 3481 AIRPORT DRIVE, SUITE 200 TORRANCE, CA 90505	NONE 501 (C) (3)	TO PROMOTE THE ART AND CULTURE OF IRANIAN AMERICANS	61,500
SIBLEY MEMORIAL HOSPITAL FOUNDATION 5255 LOUGHBORO ROAD NW WASHINGTON, DC 20016	NONE 501 (C) (3)	TO PROVIDE COMMUNITY HEALTH CARE SERVICES	7,500
LOS ANGELES COUNTY MUSEUM OF ART 5905 WILSHIRE BLVD LOS ANGELES, CA 90036	NONE 501 (C) (3)	IN SUPPORT OF THE MUSEUM'S ART PROGRAMS	5,000
NOWRUZ COMMISSION 3208 Q STREET NW WASHINGTON, DC 20007	NONE 501 (C) (3)	TO PROVIDE EDUCATION ABOUT THE SCIENTIFIC AND PHILOSOPHICAL SIGNIFICANCE OF NOWRUZ	2,500

FORM 990EF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEARATTACHMENT 13 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT		PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
THE MUSEUM OF CONTEMPORARY ART 250 SOUTH GRAND AVENUE LOS ANGELES, CA 90012	NONE 501 (C) (3)		IN SUPPORT OF THE MUSEUM'S ART PROGRAMS	29,285.
NAPA VALLEY FESTIVAL ASSOCIATION 1350 TREAT BLVD WALNUT CREEK, CA 94597	NONE 501 (C) (3)		TO SUPPORT ARTS EDUCATION.	1,000
RATTAPALLAX INCORPORATED 217 THOMPSON STRET, STE 353 NEW YORK, NY 10012	NONE 501 (C) (3)		TO SUPPORT INTERNATIONAL DIALOGUE USING LITERATURE.	2,000
OMID FOUNDATION 6517 LONE OAK DRIVE BETHESDA, MD 20817	NONE 503 (C) (3)		TO PROVIDE HUMANITARIAN SUPPORT TO SEVERELY DISADVANTAGED YOUNG WOMEN IN TEHRAN	20,000
FROM THE HEART PRODUCTIONS, INC 1455 MANDALAY BEACH ROAD OXNARD SHORES, CA 93035	NONE 501 (C) (3)		TO SUPPORT FILM MAKING & FUNDING	1,000
TOTAL CONTRIBUTIONS PAID				<u>180,285.</u>

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

► Attach to Form 1041, Form 5227, or Form 990-T.
► Information about Schedule D (Form 1041) and its separate instructions is at
www.irs.gov/form1041.

OMB No 1545-0092

2012

Name of estate or trust

THE RAZI FAMILY FOUNDATION

Employer identification number

91-2169259

Note: Form 5227 filers need to complete *only* Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
1a					

b Enter the short-term gain or (loss), if any, from Schedule D-1, line 1b	1b	-19,698.
2 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	2	
3 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	3	
4 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2011 Capital Loss Carryover Worksheet	4	()
5 Net short-term gain or (loss). Combine lines 1a through 4 in column (f) Enter here and on line 13, column (3) on the back ►	5	-19,698.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

(a) Description of property (Example 100 shares 7% preferred of "Z" Co)	(b) Date acquired (mo , day, yr)	(c) Date sold (mo , day, yr)	(d) Sales price	(e) Cost or other basis (see instructions)	(f) Gain or (loss) for the entire year Subtract (e) from (d)
6a					

b Enter the long-term gain or (loss), if any, from Schedule D-1, line 6b	6b	125,517.
7 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	7	
8 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	8	
9 Capital gain distributions	9	6,921.
10 Gain from Form 4797, Part I	10	
11 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2011 Capital Loss Carryover Worksheet	11	()
12 Net long-term gain or (loss). Combine lines 6a through 11 in column (f) Enter here and on line 14a, column (3) on the back ►	12	132,438.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2012

Part III Summary of Parts I and II**Caution:** Read the instructions *before* completing this part.

		(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
13	Net short-term gain or (loss)	13		-19,698.
14	Net long-term gain or (loss):			
a	Total for year	14a		132,438.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	14b		
c	28% rate gain	14c		
15	Total net gain or (loss). Combine lines 13 and 14a	15		112,740.

Note: If line 15, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 14a and 15, column (2), are net gains, go to Part V, and **do not** complete Part IV. If line 15, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

16 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of
a The loss on line 15, column (3) or b \$3,000 **16** ()

Note: If the loss on line 15, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 14a and 15 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if

- Either line 14b, col (2) or line 14c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part **only** if both lines 14a and 15 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 14b, col (2) or line 14c, col (2) is more than zero.

17	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)	17		
18	Enter the smaller of line 14a or 15 in column (2) but not less than zero	18		
19	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	19		
20	Add lines 18 and 19	20		
21	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0-	21		
22	Subtract line 21 from line 20. If zero or less, enter -0-	22		
23	Subtract line 22 from line 17. If zero or less, enter -0-	23		
24	Enter the smaller of the amount on line 17 or \$2,400	24		
25	Is the amount on line 23 equal to or more than the amount on line 24? ☐ Yes. Skip lines 25 and 26, go to line 27 and check the "No" box ☐ No. Enter the amount from line 23.	25		
26	Subtract line 25 from line 24.	26		
27	Are the amounts on lines 22 and 26 the same? ☐ Yes. Skip lines 27 thru 30, go to line 31 ☐ No. Enter the smaller of line 17 or line 22	27		
28	Enter the amount from line 26 (If line 26 is blank, enter -0-)	28		
29	Subtract line 28 from line 27	29		
30	Multiply line 29 by 15% (15)	30		
31	Figure the tax on the amount on line 23. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	31		
32	Add lines 30 and 31	32		
33	Figure the tax on the amount on line 17. Use the 2012 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	33		
34	Tax on all taxable income. Enter the smaller of line 32 or line 33 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36)	34		

Schedule D (Form 1041) 2012

Department of the Treasury
Internal Revenue Service

► **Attach to Schedule D** to list additional transactions for lines 1a and 6a.

OMB No 1545-0092

2012

► Information about Schedule D (Form 1041) and its separate instructions is at www.irs.gov/form1041.

Name of estate or trust

THE RAZI FAMILY FOUNDATION

Employer identification number

91-2169259

[illegible]

1b Total. Combine the amounts in column (f). Enter here and on Schedule D, line 1b	-19,698.
---	----------

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D-1 (Form 1041) 2012

JSA
2F 1221 2 000

FTP0W0 U575

V 12-5F

0018081.0

Employer identification number

[illegible]

6b Total. Combine the amounts in column (f) Enter here and on Schedule D, line 6b	125,517.
--	----------

Schedule D-1 (Form 1041) 2012

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 23-22387
Recipient's Tax ID Number: 91-2169259

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Short Term Sales								
29358Q109	#REORG/ENSCO PLC SPON ADR MANDATORY EXCHENSCO PLC 2G1FA41 5/22/2012		951.08	1,193.82	0.00	-242.74	0.00	0.00
98986T108	ZYNGA INC	ZNGA						
15.0000	01/09/2012 12/19/2011		119.76	135.11	0.00	-15.35	0.00	0.00
03073E105	AMERISOURCE BERGEN CORP	ABC						
5.0000	01/10/2012 02/01/2011		195.30	183.14	0.00	12.16	0.00	0.00
060505104	BK AMER CORP COM	BAC						
10.0000	01/10/2012 12/30/2011		65.34	54.85	0.00	10.49	0.00	0.00
222816100	COVANCE INC	CVD						
10.0000	01/10/2012 02/01/2011		491.32	554.44	0.00	-63.12	0.00	0.00
369604103	GENERAL ELECTRIC CO	GE						
30.0000	01/10/2012 02/01/2011		559.05	619.35	0.00	-60.30	0.00	0.00
444859102	HUMANA INC	HUM						
5.0000	01/10/2012 02/01/2011		471.80	296.44	0.00	175.36	0.00	0.00
50540R409	LABORATORY CORP AMER HLDGS NEW	LH						
5.0000	01/10/2012 02/01/2011		439.63	444.34	0.00	-4.71	0.00	0.00
718172109	PHILIP MORRIS INTERNATIONAL	PM						
5.0000	01/10/2012 02/01/2011		386.72	289.85	0.00	96.87	0.00	0.00

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2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 23-22387
Recipient's Tax ID Number: 91-2169259

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

☐ Corrected ☐ 2nd TIN notice

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Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
580135101	MC DONALDS CORP. COMMON STOCK, NO PAR	MCD	702.89	682.87	0.00	20.02	0.00	0.00
7.0000	01/12/2012 12/12/2011							
654106103	NIKE INC CLASS B	NKE						
8.0000	01/12/2012 12/05/2011		782.48	778.15	0.00	4.33	0.00	0.00
25490A101	#REORG/DIRECTV NAME CUSIP CHANGE DIRECTV COM							
80.0000	2J17AQ1 DUE 08/28/2012							
	01/19/2012 Various		3,399.53	3,730.10	0.00	-330.57	0.00	0.00
942683103	WATSON PHARMACEUTICALS INC	WPI						
20.0000	01/19/2012 Various		1,171.61	1,206.11	0.00	-34.50	0.00	0.00
060505104	BK AMER CORP COM	BAC						
630.0000	01/23/2012 12/30/2011		4,509.01	3,455.74	0.00	1,053.27	0.00	0.00
444859102	HUMANA INC	HUM						
40.0000	01/25/2012 02/01/2011		3,583.94	2,371.49	0.00	1,212.45	0.00	0.00
80004C101	SANDISK CORP	SNDK						
30.0000	01/27/2012 Various		1,403.26	1,467.27	0.00	-64.02	0.00	0.00
29358Q109	#REORG/ENSCO PLC SPON ADR MANDATORY							
10.0000	EXCHENSCO PLC 2G1FA41 5/22/2012							
	01/30/2012 04/04/2011		517.74	596.91	0.00	-79.17	0.00	0.00
768573107	RIVERBED TECHNOLOGY INC COM	RVBD						
50.0000	01/30/2012 Various		1,188.55	1,577.24	0.00	-388.69	0.00	0.00

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285512109	ELECTRONIC ARTS	EA	60.0000	02/02/2012	07/14/2011	1,181.42	1,115.84	0.00	-234.42	0.00	0.00
285512109	ELECTRONIC ARTS	EA	100.0000	02/10/2012	Various	1,705.97	2,123.26	0.00	-417.29	0.00	0.00
427866108	HERSHEY FOODS CORP	HSY	30.0000	02/15/2012	Various	1,819.61	1,747.12	0.00	72.50	0.00	0.00
427866108	HERSHEY FOODS CORP	HSY	15.0000	02/16/2012	Various	908.43	833.35	0.00	75.08	0.00	0.00
427866108	HERSHEY FOODS CORP	HSY	10.0000	02/17/2012	03/22/2011	603.53	542.65	0.00	60.88	0.00	0.00
427866108	HERSHEY FOODS CORP	HSY	10.0000	02/21/2012	03/22/2011	604.77	542.65	0.00	62.12	0.00	0.00
580135101	MC DONALDS CORP. COMMON STOCK, NO PAR	MCD	9.0000	03/05/2012	Various	894.19	834.89	0.00	59.30	0.00	0.00
35871D857	FREEMONT MCMORAN C & G CL B COM STK	FCX	90.0000	03/06/2012	Various	3,499.57	4,150.59	0.00	-651.02	0.00	0.00
580135101	MC DONALDS CORP. COMMON STOCK, NO PAR	MCD	19.0000	03/12/2012	07/25/2011	1,843.56	1,639.79	0.00	153.77	0.00	0.00

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29265W207	ADR ENEL SOCIETA PER AZIONI ADR			ENLAY					
800.0000	03/21/2012 06/02/2011			2,963.55	5,481.68	0.00	-2,518.13	0.00	0.00
580135101	MC DONALDS CORP. COMMON STOCK, NO PAR			MCD					
41.0000	03/22/2012 Various			3,932.56	3,590.79	0.00	341.77	0.00	0.00
037411105	APACHE CORP			APA					
16.0000	03/27/2012 Various			1,615.25	2,014.67	0.00	-399.42	0.00	0.00
674599105	OCCIDENTAL PETE CORP COM			OXY					
53.0000	04/16/2012 Various			4,669.21	5,565.39	0.00	-896.18	0.00	0.00
29358Q109	#REORG/ENSCO PLC SPON ADR MANDATORY EXCHENSOC PLC 2G1FA41 5/22/2012								
35.0000	04/19/2012 Various			1,861.72	1,952.27	0.00	-90.55	0.00	0.00
127097103	CABOT OIL & GAS CORP CL A			COG					
45.0000	04/30/2012 Various			1,522.40	1,645.72	0.00	-123.32	0.00	0.00
05523R107	BAE SYS PLC SPONSORED ADR			BAESY					
255.0000	05/02/2012 Various			4,816.25	4,415.60	0.00	400.65	0.00	0.00
05545E209	BHP BILLITON PLC - ADR			BBL					
140.0000	05/02/2012 Various			9,133.76	9,980.50	0.00	-846.74	0.00	0.00
056752108	BAIDU COM INC SPONSORED ADR SHS			BIDU					
37.0000	05/02/2012 02/08/2012			4,964.13	4,872.90	0.00	91.23	0.00	0.00

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06738E204	BARCLAYS PLC ADR	BCS	3,049.44	3,469.40	0.00	-419.96	0.00	0.00
220.0000	05/02/2012 02/21/2012							
112585104	BROOKFIELD ASSET MGMT INC VOTING SHS CL A	BAM	5,406.73	5,409.48	0.00	-2.75	0.00	0.00
165.0000	05/02/2012 06/01/2011							
14365C103	ADR CARNIVAL PLC ADR	CUK	4,084.00	5,353.46	0.00	-1,269.46	0.00	0.00
125.0000	05/02/2012 Various							
16941M109	CHINA MOBILE HONG KONG LTD SPONSORED ADR	CHL	4,755.66	4,401.97	0.00	353.69	0.00	0.00
85.0000	05/02/2012 09/20/2011							
433578507	ADR HITACHI LTD A.D.R. FOR 10 COM	HTHIY	4,620.53	4,619.61	0.00	0.92	0.00	0.00
75.0000	05/02/2012 07/19/2011							
636274300	ADR NATIONAL GRID TRANSPO PLC SPONSORED ADR	NGG	4,840.57	4,497.25	0.00	343.32	0.00	0.00
90.0000	05/02/2012 11/22/2011							
654624105	ADR NIPPON TELEG & TEL CORP SPONSORED ADR	NTT	3,882.30	4,419.99	0.00	-537.69	0.00	0.00
175.0000	05/02/2012 10/14/2011							
670100205	NOVO NORDISK A S ADR	NVO	5,111.85	4,823.47	0.00	288.38	0.00	0.00
35.0000	05/02/2012 02/16/2012							
780259206	ROYAL DUTCH SHELL PLC SPONSORED	RDS/A	4,566.25	4,671.15	0.00	-104.90	0.00	0.00
65.0000	05/02/2012 10/31/2011							

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Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Cost or Other Basis	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
780641205	ADR ROYAL KPN NV SPONSORED ADR NEDERLAND NV	KKPNY				
240.0000	TO 07/06/1998 SPONSORED ADR 05/02/2012 Various	2,054.24	3,165.08	-1,110.84	0.00	0.00
80105N105	SANOFLAVENTIS SPONSORED ADR	SNY				
242.0700	05/02/2012 Various	9,266.07	8,722.66	543.41	0.00	0.00
80585Y308	ADR SBERBANK RUSSIA SPONSORED ADR	SBRCY				
760.0000	05/02/2012 Various	9,741.31	10,532.75	-791.44	0.00	0.00
82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE	SHPG				
57.0000	05/02/2012 05/13/2011	5,601.03	5,472.48	128.55	0.00	0.00
89151E109	ADR TOTAL SA	TOT				
61.0000	05/02/2012 03/21/2012	2,900.82	3,362.68	-461.86	0.00	0.00
919134304	ADR VALEO SPONSORED	VLECY				
190.0000	05/02/2012 02/06/2012	4,626.67	4,847.76	-221.09	0.00	0.00
H8817H100	TRANSCOCEAN LTD	RIG				
110.0000	05/02/2012 01/11/2012	5,513.47	4,509.09	1,004.38	0.00	0.00
P31076105	COPA HOLDINGS SA COM STK	CPA				
64.0000	05/02/2012 03/26/2012	5,263.65	4,929.89	333.76	0.00	0.00
143658300	CARNIVAL CORP PAIRED CTF 1 COM CARNIVAL CORP [QDI]	CCL				
134.0000	05/10/2012 05/02/2012	4,218.70	4,408.05	-189.35	0.00	0.00

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93114W107	WAL MART DE MEXICO SA DE CV SPON ADR REP V	05/10/2012	05/02/2012	WMMVY	5,035.37	0.00	-506.00	0.00	0.00
177.0000					4,529.37				
009126202	ADR AIR LIQUIDE ADR	05/25/2012	05/02/2012	AQUY	14.13	0.00	-0.75	0.00	0.00
.6000									
48206M102	ADR JUPITER TELECOMMUNICATION CO LTD ADR	05/25/2012	05/02/2012	JUPIY	3,310.00	0.00	-195.64	0.00	0.00
47.0000					3,114.36				
48206M102	ADR JUPITER TELECOMMUNICATION CO LTD ADR	05/25/2012	05/02/2012	JUPIY	2,887.45	0.00	-162.07	0.00	0.00
41.0000					2,725.38				
099724106	BORG WARNER AUTOMOTIVE INC	06/04/2012	Various	BWA	3,940.47	0.00	-902.09	0.00	0.00
47.0000					3,038.38				
001317205	ADR AIA GROUP LTD SPONSORED ADR	06/06/2012	05/02/2012	AAGIY	218.91	0.00	-21.78	0.00	0.00
15.0000									
02364W105	AMERICA MOVIL S A DE CV SPON ADR SER L SHARES	06/06/2012	05/02/2012	AMX	214.36	0.00	-26.67	0.00	0.00
8.0000									
042068106	ARM HLDGS PLC SPONSORED ADR	06/06/2012	05/02/2012	ARMH	274.40	0.00	-18.99	0.00	0.00
11.0000									
049255706	ADR ATLAS COPCO AB SPONSORED ADR NEW REPSTG COM SER A	06/06/2012	Various	ATLKY	162.81	0.00	-22.88	0.00	0.00
7.0000									

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055434203	BG PLC ADR FIN INST N	06/06/2012	05/02/2012	BRGY	191.80	240.35	0.00	-48.55	0.00	0.00
12637N105	ADR CSL LTD ADR	06/06/2012	05/02/2012	CMXHY	134.96	137.91	0.00	-2.95	0.00	0.00
136375102	CANADIAN NATL RY CO COM	06/06/2012	05/02/2012	CNI	162.75	171.13	0.00	-8.38	0.00	0.00
191459205	ADR COCHLEAR LTD ADR UNSPON	06/06/2012	05/02/2012	CHEOY	129.98	136.27	0.00	-6.29	0.00	0.00
237545108	ADR DASSAULT SYS S A SPONSORED ADR	06/06/2012	05/02/2012	DASTY	267.05	291.89	0.00	-24.84	0.00	0.00
296036304	ERSTE GROUP BANK AG EFF 8/11/08 SPARKASSE SPONSORED ADR REPSTG 1/2 SH	06/06/2012	05/02/2012	EBKDY	152.32	193.87	0.00	-41.55	0.00	0.00
307305102	FANUC CORPORATION	06/06/2012	05/02/2012	FANUY	315.47	316.67	0.00	-1.20	0.00	0.00
358029106	FRESENIUS MED CARE AG & CO.KGAA EACH REPR 1/3 NPV	06/06/2012	05/02/2012	FMS	260.37	281.04	0.00	-20.67	0.00	0.00
368287207	GAZPROM O A O SPONSORED ADR	06/06/2012	05/02/2012	OGZPY	64.12	79.86	0.00	-15.74	0.00	0.00

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443251103	ADR HOYA CORP SPONSORED ADR	HOCY						
8.0000	06/06/2012 Various		171.83	184.17	0.00	-12.34	0.00	0.00
45104G104	ADR ICICI BK LTD	IBN						
5.0000	06/06/2012 05/02/2012		150.35	168.17	0.00	-17.82	0.00	0.00
453038408	IMPERIAL OIL LTD COM NEW NOT USE SEE SEC #2415113	IMO						
4.0000	06/06/2012 05/02/2012		166.64	186.51	0.00	-19.87	0.00	0.00
465582106	ADR ITAU UNIBANCO HLDG SA SPONSORED ADR							
6.0000	REPSTG 500 PFD ADR 06/06/2012 05/02/2012		84.30	93.64	0.00	-9.34	0.00	0.00
466140100	ADR JGC CORP	JGCCY						
2.0000	06/08/2012 05/02/2012		109.74	118.31	0.00	-8.57	0.00	0.00
501897102	ADR LI & FUNG LTD ADR	LFUGY						
85.0000	06/06/2012 05/02/2012		312.79	377.37	0.00	-64.58	0.00	0.00
502117203	ADR L OREAL CO ADR	LRLCY						
9.0000	06/06/2012 05/02/2012		202.77	219.24	0.00	-16.47	0.00	0.00
54338V101	ADR LONZA GROUP AG ADR	LZAGY						
21.0000	06/06/2012 05/02/2012		71.61	99.49	0.00	-27.88	0.00	0.00
62474M108	ADR MTN GROUP LTD SPONSORED ADR	MTNOY						
5.0000	06/06/2012 05/02/2012		81.65	88.12	0.00	-6.47	0.00	0.00

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				Bonds, etc.	Stocks					
65528V107	6.0000	08/08/2012	Various	NKRKY	108.48	141.95	0.00	-33.47	0.00	0.00
771195104	5.0000	06/08/2012	ADR ROCHE HLDG LTD SPONSORED ADR ISIN #US771195104	RHHBY	199.95	227.77	0.00	-27.82	0.00	0.00
803054204	2.0000	06/08/2012	SAP AKTIENGESELLSCHAFT SPONSORED ADR	SAP	114.50	131.31	0.00	-16.81	0.00	0.00
803866300	3.0000	06/08/2012	ADR SASOL LTD SPONSORED ADR	SSL	131.31	143.32	0.00	-12.01	0.00	0.00
80887P106	17.0000	06/08/2012	ADR SCHNEIDER ELEC SA ADR	SBGSY	188.02	211.09	0.00	-23.07	0.00	0.00
83589C102	9.0000	06/08/2012	ADR SONOVA HLDG AG UNSP ADR	SONVY	165.69	198.26	0.00	-32.57	0.00	0.00
870123106	8.0000	06/06/2012	ADR SWATCH GROUP AG ADR	SWGAY	151.60	186.88	0.00	-35.28	0.00	0.00
874039100	8.0000	06/06/2012	TAIWAN SEMICONDUCTOR MFG LTD SPONSORED ADR	TSM	107.16	126.27	0.00	-19.11	0.00	0.00
881575302	8.0000	06/06/2012	TESCO PLC SPONSORED ADR	TSCDY	112.56	126.35	0.00	-13.79	0.00	0.00

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					Stocks	Bonds, etc.				Tax	Withheld	
900148701	48.0000	ADR TURKIYE GARANTI BANKASI A S SPONSORED ADR	06/06/2012	05/02/2012	TKGBY	164.64	180.02	0.00	-15.38	0.00	0.00	0.00
90460M105	5.0000	#REORG/UNICHARM CORP ADR MANDATORY EXCH UNICHARM CORP 2J13AF1 7/20/2012	06/06/2012	Various		276.80	283.19	0.00	-6.39	0.00	0.00	0.00
904767704	3.0000	ADR UNILEVER PLC SPONSORED ADR NEW	06/06/2012	05/02/2012	UL	95.28	103.23	0.00	-7.95	0.00	0.00	0.00
92933H101	4.0000	#REORG/WPP PLC ADR MANDATORY EXCH WPP PLC 2M1JAH1 01/02/2013	06/06/2012	05/02/2012	WPPGY	235.29	274.02	0.00	-38.73	0.00	0.00	0.00
G16962105	1.0000	BUNGE LIMITED	06/06/2012	05/02/2012	BG	59.69	65.38	0.00	-5.69	0.00	0.00	0.00
N72482107	8.0000	QIAGEN N V COM	08/06/2012	05/02/2012	QGEN	127.65	134.96	0.00	-7.31	0.00	0.00	0.00
502161102	113.0000	LSI LOGIC CORP	06/15/2012	03/15/2012	LSI	708.57	1,032.80	0.00	-324.23	0.00	0.00	0.00
502161102	116.0000	LSI LOGIC CORP	06/22/2012	Various	LSI	726.20	1,059.16	0.00	-332.96	0.00	0.00	0.00
30249U101	23.0000	FMC TECHNOLOGIES INC	06/27/2012	Various	FTI	864.48	1,242.06	0.00	-377.58	0.00	0.00	0.00

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2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 23-22387
Recipient's Tax ID Number: 91-2169259

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
268648102	EMC CORP COM	EMC	7.0000	08/29/2012	08/25/2011	177.38	149.08	0.00	28.30	0.00	0.00
38141G104	GOLDMAN SACHS GROUP INC	GS	3.0000	08/29/2012	07/11/2011	285.70	395.57	0.00	-109.87	0.00	0.00
406216101	HALLIBURTON CO	HAL	3.0000	08/29/2012	11/08/2011	85.23	116.51	0.00	-31.28	0.00	0.00
502161102	LSI LOGIC CORP	LSI	116.0000	06/29/2012	Various	716.69	1,008.60	0.00	-291.91	0.00	0.00
68389X105	ORACLE CORPORATION	ORCL	14.0000	06/29/2012	07/14/2011	412.57	449.33	0.00	-36.76	0.00	0.00
654106103	NIKE INC CLASS B	NKE	14.0000	07/02/2012	Various	1,236.38	1,500.41	0.00	-264.03	0.00	0.00
988498101	YUM BRANDS INC	YUM	25.0000	07/02/2012	04/16/2012	1,585.02	1,800.61	0.00	-215.59	0.00	0.00
231021106	CUMMINS ENGINE INC	CMI	7.0000	07/11/2012	02/16/2012	595.05	855.43	0.00	-260.38	0.00	0.00
30249U101	FMC TECHNOLOGIES INC	FTI	27.0000	07/13/2012	Various	1,082.43	1,357.58	0.00	-275.15	0.00	0.00

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001317205	ADR AIA GROUP LTD SPONSORED ADR				AAGIY					
11.0000	07/16/2012 05/02/2012				153.12	160.53	0.00	-7.41	0.00	0.00
009126202	ADR AIR LIQUIDE ADR				AIQUY					
16.0000	07/16/2012 05/02/2012				344.95	376.88	0.00	-31.93	0.00	0.00
02384W105	AMERICA MOVIL S A DE CV SPON ADR SER L SHARES				AMX					
7.0000	07/16/2012 05/02/2012				188.02	187.57	0.00	0.45	0.00	0.00
042068106	ARM HLDGS PLC SPONSORED ADR				ARMH					
9.0000	07/16/2012 05/02/2012				204.47	224.51	0.00	-20.04	0.00	0.00
055434203	BG PLC ADR FIN INST N				BRGY					
4.0000	07/16/2012 05/02/2012				80.84	96.14	0.00	-15.30	0.00	0.00
136375102	CANADIAN NATL RY CO COM				CNI					
2.0000	07/16/2012 05/02/2012				170.94	171.13	0.00	-0.19	0.00	0.00
307305102	FANUC CORPORATION				FANUY					
2.0000	07/16/2012 05/02/2012				52.66	57.58	0.00	-4.92	0.00	0.00
358029106	FRESENIUS MED CARE AG & CO.KGAA EACH REPR 1/3 NPV				FMS					
3.0000	07/16/2012 05/02/2012				210.33	210.78	0.00	-0.45	0.00	0.00
466140100	ADR JGC CORP				JGCCY					
2.0000	07/16/2012 05/02/2012				118.14	118.31	0.00	-0.17	0.00	0.00

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					Stocks Bonds, etc.	Cost or Other Basis			Tax	Tax	
501897102		ADR LI & FUNG LTD ADR			LFUGY						
67.0000		07/16/2012 05/02/2012			233.82	297.46	0.00	-63.64	0.00	0.00	0.00
771195104		ADR ROCHE HLDG LTD SPONSORED ADR ISIN #US771195104			RHHBY						
5.0000		07/16/2012 05/02/2012			215.90	227.77	0.00	-11.87	0.00	0.00	0.00
803054204		SAP AKTIENGESSELLSCHAFT SPONSORED ADR			SAP						
5.0000		07/16/2012 05/02/2012			299.22	328.28	0.00	-29.06	0.00	0.00	0.00
808857108		SCHLUMBERGER LTD ISIN #AN8088571086			SLB						
4.0000		07/16/2012 05/02/2012			264.88	297.25	0.00	-32.37	0.00	0.00	0.00
90460M105		#REORG/UNICHARM CORP ADR MANDATORY EXCH UNICHARM CORP 2J13AF1 7/20/2012									
4.0000		07/16/2012 05/02/2012			226.51	226.55	0.00	-0.04	0.00	0.00	0.00
92933H101		#REORG/WPP PLC ADR MANDATORY EXCH WPP PLC 2MTJAH1 01/02/2013			WPPGY						
3.0000		07/16/2012 05/02/2012			184.40	205.51	0.00	-21.11	0.00	0.00	0.00
009126202		ADR AIR LIQUIDE ADR			AIQUY						
12.0000		07/17/2012 05/02/2012			260.63	282.66	0.00	-22.03	0.00	0.00	0.00
055434203		BG PLC ADR FIN INST N			BRGY						
4.0000		07/17/2012 05/02/2012			80.84	96.14	0.00	-15.30	0.00	0.00	0.00
237545108		ADR DASSAULT SYS S A SPONSORED ADR			DASTY						
3.0000		07/17/2012 05/02/2012			271.32	291.89	0.00	-20.57	0.00	0.00	0.00

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296036304	ERSTE GROUP BANK AG EFF 8/11/08 SPARKASSE SPONSORED ADR REPSTG 1/2 SH	55.0000	07/17/2012	05/02/2012	EBKDY	495.75	627.21	0.00	-131.46	0.00	0.00
307305102	FANUC CORPORATION	7.0000	07/17/2012	05/02/2012	FANUY	182.44	201.52	0.00	-19.08	0.00	0.00
296036304	ERSTE GROUP BANK AG EFF 8/11/08 SPARKASSE SPONSORED ADR REPSTG 1/2 SH	802.0000	07/18/2012	Various	EBKDY	7,280.32	9,145.93	0.00	-1,865.61	0.00	0.00
30249U101	FMC TECHNOLOGIES INC	23.0000	07/19/2012	Various	FTI	968.05	1,082.20	0.00	-114.15	0.00	0.00
138006309	CANON INC ADR REPSTG 5 SHS	18.0000	08/01/2012	05/02/2012	CAJ	609.65	804.95	0.00	-195.30	0.00	0.00
110122108	BRISTOL MYERS SQUIBB CO	81.0000	08/20/2012	07/16/2012	BMJ	2,541.91	2,878.98	0.00	-337.07	0.00	0.00
550021109	LULULEMON ATHLETICA INC COM	8.0000	08/21/2012	02/10/2012	LULU	488.86	521.72	0.00	-32.86	0.00	0.00
928563402	VMWARE INC CL A COM CL A COM	9.0000	08/24/2012	Various	VMW	830.25	972.40	0.00	-142.15	0.00	0.00
928563402	VMWARE INC CL A COM CL A COM	20.0000	08/27/2012	Various	VMW	1,835.89	1,893.12	0.00	-57.23	0.00	0.00

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					Bonds, etc.	Cost or Other Basis			Tax	Tax	
92342Y109		VERIFONE SYSTEMS INC COM			PAY						
22.0000		09/14/2012 Various			702.37	1,177.91	0.00	-475.54	0.00	0.00	0.00
169656105		CHIPOTLE MEXICAN GRILL INC COM STK			CMG						
5.0000		09/27/2012 Various			1,594.01	1,872.80	0.00	-278.79	0.00	0.00	0.00
46120E602		INTUITIVE SURGICAL INC COM NEW STK			ISRG						
6.0000		09/27/2012 Various			2,974.31	3,182.54	0.00	-208.23	0.00	0.00	0.00
92342Y109		VERIFONE SYSTEMS INC COM			PAY						
22.0000		09/27/2012 Various			613.11	1,131.94	0.00	-518.83	0.00	0.00	0.00
92342Y109		VERIFONE SYSTEMS INC COM			PAY						
27.0000		09/28/2012 03/07/2012			746.68	1,375.13	0.00	-628.45	0.00	0.00	0.00
92342Y109		VERIFONE SYSTEMS INC COM			PAY						
29.0000		10/01/2012 Various			811.26	1,427.92	0.00	-616.66	0.00	0.00	0.00
177376100		CITRIX SYS INC			CTXS						
21.0000		10/08/2012 Various			1,465.46	1,699.60	0.00	-234.14	0.00	0.00	0.00
988498101		YUM BRANDS INC			YUM						
12.0000		10/08/2012 Various			797.01	863.36	0.00	-66.36	0.00	0.00	0.00
177376100		CITRIX SYS INC			CTXS						
21.0000		10/12/2012 03/09/2012			1,435.79	1,607.34	0.00	-171.55	0.00	0.00	0.00

2012 Tax Information Statement

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177378100	CITRIX SYS INC	CTXS	1,279.89	1,606.28	0.00	-326.39	0.00	0.00
21.0000	10/25/2012 Various	S						
852061100	SPRINT CORP							
130.0000	10/26/2012 Various		707.19	681.21	0.00	25.98	0.00	0.00
552953101	MGM MIRAGE INC COMMON	MGM						
187.0000	11/14/2012 Various		1,728.30	2,604.82	0.00	-876.52	0.00	0.00
552953101	MGM MIRAGE INC COMMON	MGM						
95.0000	11/19/2012 Various		932.73	1,169.77	0.00	-237.04	0.00	0.00
552953101	MGM MIRAGE INC COMMON	MGM						
101.0000	11/20/2012 Various		985.75	1,099.88	0.00	-134.13	0.00	0.00
852061100	SPRINT CORP	S						
177.0000	11/21/2012 Various		980.60	891.44	0.00	89.16	0.00	0.00
852061100	SPRINT CORP	S						
181.0000	11/26/2012 08/10/2012		1,010.85	866.32	0.00	144.53	0.00	0.00
00206R102	AT&T INC	T						
40.0000	11/29/2012 Various		1,356.81	1,436.80	0.00	-79.99	0.00	0.00
00206R102	AT&T INC	T						
63.0000	12/03/2012 Various		2,149.47	2,236.61	0.00	-87.14	0.00	0.00

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988498101	YUM BRANDS INC	YUM		1,613.67	1,691.45	0.00	-77.78	0.00	0.00
24.0000	12/03/2012 Various								
443251103	ADR HOYA CORP SPONSORED ADR	HOCY		9,227.29	10,988.55	0.00	-1,761.26	0.00	0.00
479.0000	12/04/2012 Various								
3137EADD8	FEDERAL HOME LN MTG CORP 0.5 DUE 04-17-2015			75,299.93	74,455.95	0.00	843.98	0.00	0.00
75000.0000	12/05/2012 03/21/2012	GPS							
364760108	GAP INC COM			413.20	459.91	0.00	-46.71	0.00	0.00
13.0000	12/05/2012 09/26/2012								
00206R102	AT&T INC	T		1,481.89	1,542.02	0.00	-60.13	0.00	0.00
44.0000	12/06/2012 Various								
00846U101	AGILENT TECHNOLOGIES INC COM	A		765.61	767.46	0.00	-1.85	0.00	0.00
20.0000	12/06/2012 09/28/2012								
099724106	BORG WARNER AUTOMOTIVE INC	BWA		861.43	820.29	0.00	41.14	0.00	0.00
13.0000	12/06/2012 12/15/2011								
124857202	CBS CORP	CBS		747.53	680.69	0.00	66.84	0.00	0.00
21.0000	12/06/2012 06/29/2012								
13645T100	CANADIAN PACIFIC RAILWAY LTD COM	CP		400.99	372.47	0.00	28.52	0.00	0.00
4.0000	12/06/2012 10/25/2012								

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20030N101	COMCAST CORP NEW CL A				CMCSA					
29.0000	12/06/2012 Various				1,077.04	1,045.56	0.00	31.48	0.00	0.00
244199105	DEERE & CO				DE					
15.0000	12/06/2012 09/04/2012				1,267.78	1,116.75	0.00	151.03	0.00	0.00
288848102	EMC CORP COM				EMC					
32.0000	12/06/2012 Various				803.84	893.78	0.00	-89.94	0.00	0.00
30219G108	EXPRESS SCRIPTS HLDG CO COM				ESRX					
19.0000	12/06/2012 01/25/2012				1,020.40	998.73	0.00	21.67	0.00	0.00
30219G108	EXPRESS SCRIPTS HLDG CO COM				ESRX					
17.0000	12/06/2012 10/05/2012				912.99	1,110.53	0.00	-197.54	0.00	0.00
30303M102	FACEBOOK INC CL A CL A				FB					
22.0000	12/06/2012 11/27/2012				594.65	581.56	0.00	13.09	0.00	0.00
364760108	GAP INC COM				GPS					
13.0000	12/06/2012 09/26/2012				414.30	459.91	0.00	-45.61	0.00	0.00
369604103	GENERAL ELECTRIC CO				GE					
49.0000	12/06/2012 10/01/2012				1,042.70	1,127.29	0.00	-84.59	0.00	0.00
42805T105	HERTZ GLOBAL HLDGS INC COM				HTZ					
44.0000	12/06/2012 Various				702.22	686.91	0.00	15.31	0.00	0.00

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53578A108	LINKEDIN CORP CL A	7.0000	12/06/2012	09/06/2012	LNKD	758.29	808.47	0.00	-50.18	0.00	0.00
550021109	LULULEMON ATHLETICA INC COM	6.0000	12/06/2012	Various	LULU	437.81	385.20	0.00	52.61	0.00	0.00
574599106	MASCO CORP. COMMON STOCK, \$1 PAR	43.0000	12/06/2012	Various	MAS	677.72	692.97	0.00	-15.25	0.00	0.00
58933Y105	MERCK & CO INC	17.0000	12/06/2012	08/09/2012	MRK	755.46	750.56	0.00	4.90	0.00	0.00
61945C103	MOSAIC CO/THE	15.0000	12/06/2012	09/04/2012	MOS	803.79	852.95	0.00	-49.16	0.00	0.00
655044105	NOBLE ENERGY INC COM	16.0000	12/06/2012	Various	NBL	1,579.62	1,627.46	0.00	-47.84	0.00	0.00
674599105	OCCIDENTAL PETE CORP COM	10.0000	12/06/2012	08/24/2012	OXY	740.51	875.86	0.00	-135.35	0.00	0.00
723787107	PIONEER NAT RES CO	7.0000	12/06/2012	09/14/2012	PXD	723.57	806.76	0.00	-83.19	0.00	0.00
75886F107	REGENERON PHARMACEUTICALS INC COM	1.0000	12/06/2012	11/30/2012	REGN	182.12	180.96	0.00	1.16	0.00	0.00

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824348106	SHERWIN WILLIAMS CO	SHW	5.0000	12/06/2012	Various	755.88	721.08	0.00	34.80	0.00	0.00
82967N108	SIRIUS XM RADIO INC COM STK	SIRI	269.0000	12/06/2012	11/02/2012	745.19	796.21	0.00	-51.02	0.00	0.00
904311107	UNDER ARMOUR INC CL A	UA	6.0000	12/06/2012	08/07/2012	315.53	350.43	0.00	-34.90	0.00	0.00
907818108	UNION PAC CORP COM	UNP	11.0000	12/06/2012	Various	1,350.77	1,346.24	0.00	4.53	0.00	0.00
917047102	URBAN OUTFITTERS INC	URBN	14.0000	12/06/2012	09/18/2012	516.59	550.24	0.00	-33.65	0.00	0.00
942683103	WATSON PHARMACEUTICALS INC	WPI	9.0000	12/06/2012	09/10/2012	791.86	755.79	0.00	36.07	0.00	0.00
963320106	WHIRLPOOL CORP	WHR	4.0000	12/06/2012	11/29/2012	389.11	411.09	0.00	-21.98	0.00	0.00
988498101	YUM BRANDS INC	YUM	10.0000	12/06/2012	03/05/2012	671.88	663.62	0.00	8.26	0.00	0.00
G60754101	MICHAEL KORS HOLDINGS LTD COM NPV	KORS	20.0000	12/06/2012	Various	1,031.37	1,056.43	0.00	-25.06	0.00	0.00

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 ATTN: MR. ALI C RAZI
 12735 SAN VICENTE BOULEVARD
 LOS ANGELES, CA 90049

THE NORTHERN TRUST COMPANY
 P.O. BOX 803878
 CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	State Tax Withheld					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
N53745100	LYONDELLBASELL INDU-CL A-W/I		LYB					
17.0000	12/08/2012	Various	882.62	755.43	0.00	127.19	0.00	0.00
364760108	GAP INC COM		GPS					
28.0000	12/11/2012	Various	890.99	988.03	0.00	-97.04	0.00	0.00
042068106	ARM HLDGS PLC SPONSORED ADR		ARMH					
71.0000	12/13/2012	08/01/2012	2,571.30	1,877.43	0.00	693.87	0.00	0.00
364760108	GAP INC COM		GPS					
28.0000	12/17/2012	08/09/2012	880.99	962.22	0.00	-81.23	0.00	0.00
58933Y105	MERCK & CO INC		MRK					
73.0000	12/17/2012	Various	3,180.57	3,177.42	0.00	3.15	0.00	0.00
904311107	UNDER ARMOUR INC CL A		UA					
29.0000	12/21/2012	Various	1,420.39	1,667.64	0.00	-247.25	0.00	0.00
Total Short Term Sales			349,675.12	369,373.09	0.00	-19,697.98	0.00	0.00
Long Term 15% Sales								
57636Q104	MASTERCARD INC CL A		MA					
3.0000	01/05/2012	09/18/2008	1,056.01	600.47	0.00	455.54	0.00	0.00
517834107	LAS VEGAS SANDS CORP		LVS					
25.0000	01/09/2012	Various	1,062.28	710.07	0.00	352.21	0.00	0.00

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THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

Account Number:

23-22387
91-2169259

Recipient's Tax ID Number:

91-2169259

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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Cusip	Description	Stock or Other Symbol	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Cost or Other Basis	Wash Sale Loss Disallowed	
889094108	ADR TOKIO MARINE HLDGS INC ADR COM STK	TKOMY			
209.0000	01/11/2012 Various	4,774.07	5,887.82	0.00	-1,113.75
191216100	COCA COLA CO	KO			0.00
10.0000	01/12/2012 Various	676.58	581.12	0.00	0.00
889094108	ADR TOKIO MARINE HLDGS INC ADR COM STK	TKOMY			
76.0000	01/12/2012 Various	1,723.11	1,928.68	0.00	-205.58
942683103	WATSON PHARMACEUTICALS INC	WPI			0.00
20.0000	01/12/2012 Various	1,234.78	1,025.77	0.00	209.01
G1151C101	ACCENTURE PLC SHS CL A NEW	ACN			0.00
20.0000	01/12/2012 12/20/2010	1,063.30	973.57	0.00	89.73
942683103	WATSON PHARMACEUTICALS INC	WPI			0.00
20.0000	01/18/2012 11/12/2010	1,171.48	1,018.97	0.00	152.51
942683103	WATSON PHARMACEUTICALS INC	WPI			0.00
70.0000	01/19/2012 11/12/2010	4,100.63	3,566.40	0.00	534.23
037411105	APACHE CORP	APA			0.00
8.0000	01/26/2012 01/06/2011	789.28	988.21	0.00	-198.93
66987V109	ADR NOVARTIS AG SPONSORED ADR ISIN #US66987V1098	NVS			0.00
155.0000	01/26/2012 Various	8,475.15	8,308.45	0.00	166.70

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LOS ANGELES, CA 90049

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Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
73755L107	POTASH CORP. OF SASKATCHEWAN INC	01/27/2012	Various	POT	4,289.41	0.00	718.07	0.00	0.00
92.0000					3,571.34				
80004C101	SANDISK CORP	01/27/2012	Various	SNDK	2,806.51	0.00	73.42	0.00	0.00
60.0000					2,733.07				
855244109	STARBUCKS CORP COM	01/27/2012	04/23/2010	SBUX	405.41	0.00	300.59	0.00	0.00
15.0000					706.00				
968837106	WHOLE FOODS MKT INC	01/27/2012	06/08/2009	WFM	210.54	0.00	535.12	0.00	0.00
10.0000					745.66				
345370860	FORD MOTOR CO DEL	01/30/2012	Various	F	1,911.90	0.00	296.68	0.00	0.00
180.0000					2,208.58				
768573107	RIVERBED TECHNOLOGY INC COM	01/30/2012	Various	RVBD	1,554.49	0.00	-603.65	0.00	0.00
40.0000					950.84				
023135106	AMAZON COM INC	02/02/2012	Various	AMZN	1,726.64	0.00	2,369.54	0.00	0.00
23.0000					4,096.18				
637071101	NATIONAL-OILWELL INC	02/03/2012	Various	NOV	1,226.03	0.00	373.37	0.00	0.00
20.0000					1,599.40				
35958N107	ADR FUJIFILM HLDGS CORP ADR 2 ORD ADR	02/06/2012	Various	FUJIY	6,466.10	0.00	-852.84	0.00	0.00
240.0000					5,613.26				

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Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
637071101	NATIONAL-OILWELL INC	NOV					
10.0000	02/06/2012	10/28/2010	816.92	0.00	278.47	0.00	0.00
517834107	LAS VEGAS SANDS CORP	LVS					
10.0000	02/09/2012	08/02/2010	529.19	0.00	253.30	0.00	0.00
966837106	WHOLE FOODS MKT INC	WFM					
10.0000	02/10/2012	06/08/2009	809.24	0.00	598.70	0.00	0.00
38141GEV2	GOLDMAN SACHS 5.3% DUE 02-14-2012 02-14-2012 BEO						
75000.0000	02/14/2012	04/10/2007	75,000.00	0.00	94.50	0.00	0.00
518439104	LAUDER ESTEE COS INC CL A	EL					
30.0000	02/15/2012	Various	1,665.79	0.00	1,161.98	0.00	0.00
046353108	ADR ASTRAZENECA PLC SPONSORED ADR	AZN					
100.0000	02/16/2012	Various	4,513.26	0.00	-5.28	0.00	0.00
64110D104	NETAPP INC COM STK	NTAP					
40.0000	02/16/2012	02/17/2010	1,678.75	0.00	404.06	0.00	0.00
64110D104	NETAPP INC COM STK	NTAP					
50.0000	02/17/2012	Various	2,096.64	0.00	517.29	0.00	0.00
68389X105	ORACLE CORPORATION	ORCL					
70.0000	03/06/2012	Various	2,100.14	0.00	79.40	0.00	0.00

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075896100	BED BATH & BEYOND INC	BBBY	15.0000	03/08/2012	Various	934.11	525.33	0.00	408.78	0.00	0.00
075896100	BED BATH & BEYOND INC	BBBY	12.0000	03/09/2012	04/09/2009	750.33	376.26	0.00	374.07	0.00	0.00
517834107	LAS VEGAS SANDS CORP	LVS	15.0000	03/09/2012	08/02/2010	823.54	413.84	0.00	409.70	0.00	0.00
023135106	AMAZON COM INC	AMZN	22.0000	03/12/2012	Various	4,038.48	1,324.97	0.00	2,713.51	0.00	0.00
68389X105	ORACLE CORPORATION	ORCL	130.0000	03/12/2012	Various	3,836.32	3,027.34	0.00	208.98	0.00	0.00
037411105	APACHE CORP	APA	14.0000	03/22/2012	01/06/2011	1,410.83	1,729.36	0.00	-318.53	0.00	0.00
000375204	ABB LTD SPONSORED ADR	ABB	222.0000	03/26/2012	Various	4,552.72	5,068.78	0.00	-516.06	0.00	0.00
037411105	APACHE CORP	APA	23.0000	03/27/2012	Various	2,321.91	2,810.80	0.00	-488.89	0.00	0.00
855244109	STARBUCKS CORP COM	SBUX	15.0000	03/27/2012	03/11/2011	839.23	549.08	0.00	290.15	0.00	0.00

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931142CF8	WAL-MART STORES 5% DUE 04-05-2012 REG							
75000.0000	04/05/2012 11/14/2007		75,000.00	75,729.00	0.00	-729.00	0.00	0.00
29358Q109	#REORG/ENSCO PLC SPON ADR MANDATORY EXCHENSCO PLC 2G1FA41 5/22/2012							
31.0000	04/16/2012 Various		1,593.24	1,841.95	0.00	-248.71	0.00	0.00
29358Q109	#REORG/ENSCO PLC SPON ADR MANDATORY EXCHENSCO PLC 2G1FA41 5/22/2012							
4.0000	04/19/2012 04/08/2011		212.77	233.11	0.00	-20.34	0.00	0.00
492051305	ADR KEPPEL LTD SPONSORED	KPELY						
252.0000	04/19/2012 Various		4,577.00	3,043.04	0.00	1,533.96	0.00	0.00
966837106	WHOLE FOODS MKT INC	WFM						
12.0000	04/25/2012 06/08/2009		992.04	252.65	0.00	739.39	0.00	0.00
437076102	HOME DEPOT INC	HD						
17.0000	04/30/2012 Various		880.42	452.63	0.00	427.79	0.00	0.00
741503403	PRICELINE COM INC COM	PCLN						
3.0000	04/30/2012 04/03/2009		2,271.52	260.47	0.00	2,011.05	0.00	0.00
855244109	STARBUCKS CORP COM	SBUX						
2.0000	04/30/2012 06/08/2009		114.60	29.66	0.00	84.94	0.00	0.00
855244109	STARBUCKS CORP COM	SBUX						
15.0000	04/30/2012 03/24/2011		859.54	548.31	0.00	311.23	0.00	0.00

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000375204	ABB LTD SPONSORED ADR	05/02/2012 Various	218.0000	ABB	3,976.55	0.00	-53.60	0.00	0.00
008916108	AGRIUM INC	05/02/2012 Various	90.0000	AGU	4,087.77	0.00	3,838.40	0.00	0.00
03485P201	ADR ANGLO AMERN PLC ADR NEW	05/02/2012 Various	253.0000	AAUKY	3,427.94	0.00	1,422.75	0.00	0.00
03524A108	ADR ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR	05/02/2012 Various	80.0000	BUD	5,020.78	0.00	803.84	0.00	0.00
046353108	ADR ASTRAZENECA PLC SPONSORED ADR UNITED KINGDOM	05/02/2012 Various	105.0000	AZN	4,418.61	0.00	181.09	0.00	0.00
049255805	ADR ATLAS COPCO AB SPONSORED ADR NEW REPSTG	05/02/2012 Various	380.0000	ATLCY	4,893.88	0.00	2,852.71	0.00	0.00
055262505	BASF AG SPONSORED ADR 00	05/02/2012 Various	145.0000	BASFY	9,405.26	0.00	2,413.47	0.00	0.00
05545E209	BHP BILLITON PLC - ADR	05/02/2012 11/08/2010	70.0000	BBL	5,508.38	0.00	-941.50	0.00	0.00
05577E101	ADR BT GROUP PLC ADR	05/02/2012 Various	360.0000	BT	9,774.23	0.00	2,699.96	0.00	0.00

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05577E101	ADR BT GROUP PLC ADR	BT	5,024.33	4,536.74	0.00	487.59	0.00	0.00
145.0000	05/02/2012 Various	BCS	5,405.82	8,015.20	0.00	-2,609.38	0.00	0.00
06738E204	BARCLAYS PLC ADR	BAMXY	12,823.24	8,933.25	0.00	3,889.99	0.00	0.00
390.0000	05/02/2012 Various	BHKLY	7,140.96	5,025.69	0.00	2,115.27	0.00	0.00
072743206	ADR BAYERISCHE MOTOREN WERKE AG ADR	BTI	27,395.75	16,855.02	0.00	10,540.73	0.00	0.00
410.0000	05/02/2012 Various	DBSDY	4,884.62	5,504.38	0.00	-819.76	0.00	0.00
096813209	ADR BOC HONG KONG HLDGS LTD SPONSORED ADR	FMX	8,218.40	4,114.17	0.00	4,104.23	0.00	0.00
115.0000	05/02/2012 Various	HTHIY	6,160.70	5,253.98	0.00	906.72	0.00	0.00
110448107	BRITISH AMERN TOB PLC SPONSORED ADR	HMC	7,696.67	7,281.77	0.00	414.90	0.00	0.00
265.0000	05/02/2012 Various							
23304Y100	DBS GROUP HOLDINGS LTD-SPONSORED ADR							
103.0000	05/02/2012 Various							
344419106	FOMENTO ECONOMICO MEX-SP ADR							
100.0000	05/02/2012 Various							
433578507	ADR HITACHI LTD A.D.R. FOR 10 COM							
100.0000	05/02/2012 Various							
438128308	HONDA MTR LTD ADR HONDA MTR LTD OF JAPAN							
220.0000	05/02/2012 Various							

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45857P301	#REORG/INTERCONTINENTAL HOTELS REV STOCKSPLIT	265.0000	2/16/2012	05/02/2012	Various	6,448.34	0.00	1,637.75	0.00	0.00
492051305	ADR KEPPEL LTD SPONSORED	859.0000	05/02/2012	Various	KPELY	15,273.27	0.00	6,629.94	0.00	0.00
606827202	ADR MITSUI & CO LTD ADR ISIN #US6068272029	34.0000	05/02/2012	Various	MTSY	10,488.14	0.00	1,283.36	0.00	0.00
641069406	NESTLE S A SPONSORED ADR REPSTG REG SH	45.0000	05/02/2012	Various	NSRGY	2,734.64	0.00	451.76	0.00	0.00
654744408	NISSAN MOTORS SPONSORED ADR	540.0000	05/02/2012	Various	NSANY	10,863.90	0.00	1,318.65	0.00	0.00
66987V109	ADR NOVARTIS AG SPONSORED ADR ISIN #US66987V1098	25.0000	05/02/2012	05/09/2008	NVS	1,360.87	0.00	91.92	0.00	0.00
677862104	OIL CO LUKOIL	130.0000	05/02/2012	Various	LUKOY	7,945.34	0.00	1,235.78	0.00	0.00
677862104	OIL CO LUKOIL	50.0000	05/02/2012	04/11/2011	LUKOY	3,055.91	0.00	-558.87	0.00	0.00
686330101	ORIX CORP SPONSORED ADR JAPAN	130.0000	05/02/2012	Various	IX	5,993.39	0.00	1,531.15	0.00	0.00

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71654V101	ADR PETROLEO BRASILEIRO SA PETROBRAS SPONSORED ADR NON VTG 05/02/2012 11/02/2009	PBR/A	928.44	1,689.61	0.00	-761.17	0.00	0.00
76026T205	REPSOL YPF SPONSORED ADR	REPLY						
160.0000	05/02/2012 Various		2,939.29	5,354.89	0.00	-2,415.60	0.00	0.00
780259206	ROYAL DUTCH SHELL PLC SPONSORED	RDS/A						
80.0000	05/02/2012 11/23/2010		5,620.00	5,001.21	0.00	618.79	0.00	0.00
780641205	ADR ROYAL KPN NV SPONSORED ADR NEDERLAND NV TO 07/06/1998 SPONSORED ADR	KKPNY						
435.0000	05/02/2012 Various		3,723.30	7,024.95	0.00	-3,301.65	0.00	0.00
80105N105	SANOFI-AVENTIS SPONSORED ADR	SNY						
164.9300	05/02/2012 Various		6,313.25	5,908.61	0.00	404.64	0.00	0.00
826197501	SIEMENS A G SPONSORED ADR	SI						
70.0000	05/02/2012 Various		6,336.45	7,122.26	0.00	-785.81	0.00	0.00
89151E109	ADR TOTAL SA	TOT						
205.0000	05/02/2012 Various		9,748.65	12,004.82	0.00	-2,256.17	0.00	0.00
91912E204	ADR VALE S A ADR REPSTG PFD PREF ADR							
195.0000	05/02/2012 Various		4,218.66	3,527.49	0.00	691.17	0.00	0.00
92857W209	VODAFONE GROUP PLC SPONSORED ADR	VOD						
200.0000	05/02/2012 08/06/2010		5,545.14	4,909.56	0.00	635.58	0.00	0.00

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92857W209	VODAFONE GROUP PLC SPONSORED ADR	VOD	170.0000	05/02/2012	Various	4,713.37	4,888.08	0.00	-174.71	0.00	0.00
928856400	VOLVO AKTIEBOLAGET ADR	VOLVY	225.0000	05/02/2012	04/28/2010	3,051.58	2,749.54	0.00	302.04	0.00	0.00
928856400	VOLVO AKTIEBOLAGET ADR	VOLVY	135.0000	05/02/2012	Various	1,830.95	2,320.48	0.00	-489.53	0.00	0.00
989825104	ADR ZURICH INS GROUP LTD SPONSORED	ZURVY	190.0000	05/02/2012	Various	4,629.03	3,707.58	0.00	921.47	0.00	0.00
192446102	COGNIZANT TECHNOLOGY SOLUTION CL A	CTSH	90.0000	05/08/2012	Various	5,363.10	4,465.36	0.00	897.74	0.00	0.00
79466L302	SALESFORCE COM INC COM STK	CRM	12.0000	05/11/2012	11/23/2010	1,621.47	1,707.46	0.00	-85.99	0.00	0.00
018805101	ALLIANZ AKTIENGESSELLSCHAFT SPONSORED ADR	AZSEY	20.0000	06/06/2012	Various	179.40	298.39	0.00	-118.99	0.00	0.00
138006309	CANON INC ADR REPSTG 5 SHS	CAJ	5.0000	06/06/2012	05/07/2010	196.35	219.29	0.00	-22.94	0.00	0.00
23304Y100	DBS GROUP HOLDINGS LTD-SPONSORED ADR	DBSDY	3.0000	06/06/2012	Various	124.35	119.27	0.00	5.08	0.00	0.00

2012 Tax Information Statement

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Account Number: 23-22387
Recipient's Tax ID Number: 91-2169259
Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVARD
LOS ANGELES, CA 90049

☐ Corrected ☐ 2nd TIN notice

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.				
404280406	ADR HSBC HLDGS PLC SPONSORED ADR NEW		HBC				
3.0000	06/06/2012	10/12/2010	123.51	0.00	-33.79	0.00	0.00
502441306	ADR LVMH MOET HENNESSY LOUIS VUITTON ADR		LVMUY				
3.0000	06/06/2012	11/09/2010	89.10	0.00	-8.74	0.00	0.00
641069406	NESTLE S A SPONSORED ADR REPSTG REG SH		NSRGY				
6.0000	06/06/2012	02/17/2010	340.97	0.00	55.83	0.00	0.00
66987V109	ADR NOVARTIS AG SPONSORED ADR ISIN #US66987V1098		NVS				
1.0000	06/06/2012	05/09/2008	52.22	0.00	1.46	0.00	0.00
71654V101	ADR PETROLEO BRASILEIRO SA PETROBRAS SPONSORED ADR NON VTG		PBR/A				
6.0000	06/06/2012	11/02/2009	114.14	0.00	-133.12	0.00	0.00
037833100	APPLE COMPUTER INC		AAPL				
1.0000	06/15/2012	05/10/2011	570.76	0.00	223.61	0.00	0.00
747525103	QUALCOMM INC		QCOM				
1.0000	06/15/2012	11/05/2010	55.87	0.00	7.60	0.00	0.00
747525103	QUALCOMM INC		QCOM				
65.0000	06/15/2012	Various	3,631.70	0.00	59.12	0.00	0.00
075896100	BED BATH & BEYOND INC		BBBY				
34.0000	06/22/2012	Various	2,083.15	0.00	1,017.56	0.00	0.00

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35671D857	FREEMPORT MCMORAN C & G CL B COM STK	06/27/2012	02/01/2011	FCX	832.62	0.00	-634.24	0.00	0.00
26.0000					1,466.86				
46625H100	J P MORGAN CHASE & CO	06/27/2012	04/17/2007	JPM	474.10	0.00	-176.81	0.00	0.00
13.0000					650.91				
742718109	PROCTER & GAMBLE CO	06/28/2012	02/01/2011	PG	3,948.33	0.00	-239.89	0.00	0.00
66.0000					4,188.22				
00206R102	AT&T INC	06/29/2012	02/01/2011	T	284.01	0.00	61.84	0.00	0.00
8.0000					222.17				
020002101	ALLSTATE CORP	06/29/2012	02/01/2011	ALL	174.87	0.00	17.02	0.00	0.00
5.0000					157.85				
037411105	APACHE CORP	06/29/2012	02/01/2011	APA	523.27	0.00	-191.34	0.00	0.00
6.0000					714.61				
064058100	BANK NEW YORK MELLON CORP COM STK	06/29/2012	02/01/2011	BK	261.81	0.00	-120.14	0.00	0.00
12.0000					381.95				
071813109	BAXTER INTL INC	06/29/2012	04/17/2007	BAX	264.24	0.00	-11.36	0.00	0.00
5.0000					275.60				
127097103	CABOT OIL & GAS CORP CL A	06/29/2012	05/26/2011	COG	712.54	0.00	199.16	0.00	0.00
18.0000					513.38				

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
166764100	CHEVRONTEXACO CORP COM	CVX	5.0000	06/29/2012	02/01/2011	524.64	479.03	0.00	45.61	0.00	0.00
278642103	EBAY INC	EBAY	9.0000	06/29/2012	08/19/2010	376.91	205.51	0.00	171.40	0.00	0.00
291011104	EMERSON ELECTRIC CO	EMR	4.0000	06/29/2012	02/01/2011	184.92	234.90	0.00	-49.98	0.00	0.00
389604103	GENERAL ELECTRIC CO	GE	1.0000	06/29/2012	02/01/2011	20.71	20.65	0.00	0.06	0.00	0.00
409735206	JOHN HANCOCK FINANCIAL OPPORTUNITIES FUND	BTO	7.0000	06/29/2012	02/03/2011	114.52	125.50	0.00	-10.98	0.00	0.00
435516106	HONEYWELL INTL INC	HON	4.0000	06/29/2012	02/01/2011	221.52	225.51	0.00	-3.99	0.00	0.00
444859102	HUMANA INC	HUM	1.0000	06/29/2012	02/01/2011	77.37	59.29	0.00	18.08	0.00	0.00
458140100	INTEL CORP	INTC	9.0000	06/29/2012	02/01/2011	238.85	193.20	0.00	45.65	0.00	0.00
500255104	KOHL'S CORP	KSS	2.0000	06/29/2012	05/16/2011	90.66	110.50	0.00	-19.84	0.00	0.00

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65339F101	NEXTERA ENERGY INC COM	06/29/2012	06/08/2009	NEE	342.01	275.25	0.00	66.76	0.00	0.00
5.0000										
744573106	PUBLIC SERVICE ENTERPRISE GROUP INC., COMMON STOCK	06/29/2012	02/01/2011	PEG	290.96	293.05	0.00	-2.09	0.00	0.00
9.0000										
74834L100	QUEST DIAGNOSTICS INC	06/29/2012	02/01/2011	DGX	238.33	229.83	0.00	8.50	0.00	0.00
4.0000										
88732J207	TIME WARNER CABLE INC COM	06/29/2012	02/01/2011	TWC	407.87	342.85	0.00	65.02	0.00	0.00
5.0000										
902973304	US BANCORP	06/29/2012	02/01/2011	USB	127.72	109.91	0.00	17.81	0.00	0.00
4.0000										
913017109	UNITED TECHNOLOGIES CORP	06/29/2012	02/01/2011	UTX	451.37	490.36	0.00	-38.99	0.00	0.00
6.0000										
942683103	WATSON PHARMACEUTICALS INC	06/29/2012	02/01/2011	WPI	220.96	165.47	0.00	55.49	0.00	0.00
3.0000										
949748101	WELLS FARGO & CO NEW	06/29/2012	05/02/2011	WFC	497.99	436.79	0.00	61.20	0.00	0.00
15.0000										
149123101	CATERPILLAR INC	07/02/2012	10/26/2010	CAT	830.95	783.53	0.00	47.42	0.00	0.00
10.0000										

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345370860	FORD MOTOR CO DEL	F	288.62	284.40	0.00	4.22	0.00	0.00
30.0000	07/05/2012 12/16/2009							
345370860	FORD MOTOR CO DEL	F	481.04	786.52	0.00	-305.48	0.00	0.00
50.0000	07/05/2012 04/04/2011							
149123101	CATERPILLAR INC	CAT	2,149.83	1,891.52	0.00	258.31	0.00	0.00
27.0000	07/11/2012 Various							
231021106	CUMMINS ENGINE INC	CMI	1,445.11	2,010.76	0.00	-565.65	0.00	0.00
17.0000	07/11/2012 Various							
345370860	FORD MOTOR CO DEL	F	883.34	787.05	0.00	96.29	0.00	0.00
96.0000	07/13/2012 Various							
637071101	NATIONAL-OILWELL INC	NOV	944.85	753.83	0.00	191.02	0.00	0.00
14.0000	07/13/2012 10/28/2010							
018805101	ALLIANZ AKTIENGESELLSCHAFT SPONSORED ADR	AZSEY	19.34	29.83	0.00	-10.49	0.00	0.00
2.0000	07/16/2012 04/15/2011							
244199105	DEERE & CO	DE	1,685.33	1,371.94	0.00	313.39	0.00	0.00
22.0000	07/16/2012 Various							
244199105	DEERE & CO	DE	383.03	473.55	0.00	-90.52	0.00	0.00
5.0000	07/16/2012 03/28/2011							

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641069406	NESTLE S A SPONSORED ADR REPSTG REG SH	NSRGY	5.0000	07/16/2012	02/17/2010	299.64	237.62	0.00	62.02	0.00	0.00
018805101	ALLIANZ AKTIENGESSELLSCHAFT SPONSORED ADR REPSTG 17/10 SH	AZSEY	13.0000	07/17/2012	04/15/2011	126.28	193.92	0.00	-67.64	0.00	0.00
025816109	AMERICAN EXPRESS CO	AXP	51.0000	07/20/2012	Various	2,860.20	2,141.17	0.00	719.03	0.00	0.00
517834107	LAS VEGAS SANDS CORP	LVS	22.0000	07/27/2012	Various	793.93	397.34	0.00	396.59	0.00	0.00
517834107	LAS VEGAS SANDS CORP	LVS	15.0000	07/27/2012	03/28/2011	541.31	631.54	0.00	-90.23	0.00	0.00
138006309	CANON INC ADR REPSTG 5 SHS	CAJ	73.0000	07/31/2012	05/07/2010	2,441.25	3,201.60	0.00	-760.35	0.00	0.00
018490102	ALLERGAN INC	AGN	25.0000	08/01/2012	Various	2,170.73	1,840.33	0.00	330.40	0.00	0.00
075896100	BED BATH & BEYOND INC	BBBY	28.0000	08/01/2012	Various	1,720.67	822.07	0.00	898.60	0.00	0.00
138006309	CANON INC ADR REPSTG 5 SHS	CAJ	137.0000	08/01/2012	Various	4,640.10	5,188.72	0.00	-548.62	0.00	0.00

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437076102	HOME DEPOT INC	08/01/2012	04/27/2009	HD	570.13	0.00	576.58	0.00	0.00
22.0000									
345370860	FORD MOTOR CO DEL	08/02/2012	Various	F	1,637.23	0.00	435.54	0.00	0.00
232.0000									
57636Q104	MASTERCARD INC CL A	08/02/2012	07/13/2011	MA	615.64	0.00	239.98	0.00	0.00
2.0000									
741503403	PRICELINE COM INC COM	08/09/2012	Various	PCLN	340.22	0.00	1,925.52	0.00	0.00
4.0000									
741503403	PRICELINE COM INC COM	08/10/2012	Various	PCLN	587.26	0.00	3,361.08	0.00	0.00
7.0000									
244199105	DEERE & CO	08/16/2012	Various	DE	1,262.87	0.00	312.91	0.00	0.00
21.0000									
718546104	PHILLIPS 66 COM	08/24/2012	Various	PSX	1,356.02	0.00	599.53	0.00	0.00
47.5000									
718546104	PHILLIPS 66 COM	08/24/2012	02/01/2011	PSX	775.04	0.00	151.27	0.00	0.00
22.5000									
928563402	VMWARE INC CL A COM CL A COM	08/24/2012	Various	VMW	1,782.61	0.00	62.39	0.00	0.00
20.0000									

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409735206	JOHN HANCOCK FINANCIAL OPPORTUNITIES FUND	08/28/2012	02/03/2011	BTO	273.93	0.00	-12.93	0.00	0.00
444859102	HUMANA INC	08/28/2012	02/01/2011	HUM	2,905.07	0.00	521.82	0.00	0.00
018490102	ALLERGAN INC	08/29/2012	10/19/2010	AGN	339.51	0.00	53.11	0.00	0.00
018490102	ALLERGAN INC	08/29/2012	08/04/2011	AGN	773.55	0.00	75.22	0.00	0.00
409735206	JOHN HANCOCK FINANCIAL OPPORTUNITIES FUND	08/29/2012	02/03/2011	BTO	342.84	0.00	-15.74	0.00	0.00
409735206	JOHN HANCOCK FINANCIAL OPPORTUNITIES FUND	08/30/2012	02/03/2011	BTO	68.48	0.00	-3.24	0.00	0.00
409735206	JOHN HANCOCK FINANCIAL OPPORTUNITIES FUND	08/31/2012	02/03/2011	BTO	257.03	0.00	-11.90	0.00	0.00
291011104	EMERSON ELECTRIC CO	09/04/2012	02/01/2011	EMR	7,986.74	0.00	-1,244.38	0.00	0.00
409735206	JOHN HANCOCK FINANCIAL OPPORTUNITIES FUND	09/04/2012	02/03/2011	BTO	121.44	0.00	-4.06	0.00	0.00

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Cuslp	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
458140100	INTEL CORP	INTC	3,574.53	3,155.64	0.00	418.89	0.00	0.00
147.0000	09/04/2012 02/01/2011							
594918104	MICROSOFT CORP COM	MSFT	2,837.88	2,614.32	0.00	223.56	0.00	0.00
94.0000	09/04/2012 02/01/2011							
409735206	JOHN HANCOCK FINANCIAL OPPORTUNITIES FUND	BTO	243.41	250.90	0.00	-7.49	0.00	0.00
14.0000	09/05/2012 Various							
018490102	ALLERGAN INC	AGN	440.50	358.01	0.00	82.50	0.00	0.00
5.0000	09/06/2012 10/19/2010							
018490102	ALLERGAN INC	AGN	440.50	409.91	0.00	30.59	0.00	0.00
5.0000	09/06/2012 08/31/2011							
409735206	JOHN HANCOCK FINANCIAL OPPORTUNITIES FUND	BTO	612.13	627.16	0.00	-15.03	0.00	0.00
35.0000	09/06/2012 02/02/2011							
409735206	JOHN HANCOCK FINANCIAL OPPORTUNITIES FUND	BTO	387.34	391.97	0.00	-4.63	0.00	0.00
22.0000	09/07/2012 Various							
464287176	MFC ISHARES TR BARCLAYS TIPS 8D FD PROTECTED SECS FD	TIP	102,505.15	86,762.40	0.00	15,742.75	0.00	0.00
850.0000	09/12/2012 Various							
018490102	ALLERGAN INC	AGN	2,050.57	1,646.83	0.00	403.74	0.00	0.00
23.0000	09/14/2012 10/19/2010							

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018490102	ALLERGAN INC	AGN	2,075.35	1,632.60	0.00	442.75	0.00	0.00
23.0000	09/18/2012 Various							
637071101	NATIONAL-OILWELL INC	NOV	574.51	487.71	0.00	86.80	0.00	0.00
7.0000	09/18/2012 08/16/2011							
075896100	BED BATH & BEYOND INC	BBBY	1,923.70	878.24	0.00	1,047.46	0.00	0.00
31.0000	09/21/2012 Various							
66987V109	ADR NOVARTIS AG SPONSORED ADR ISIN #US66987V1098	NVS	5,768.85	4,255.43	0.00	1,513.42	0.00	0.00
94.0000	09/24/2012 Various							
855244109	STARBUCKS CORP COM	SBUX	801.61	237.28	0.00	564.33	0.00	0.00
16.0000	09/27/2012 06/08/2009							
942683103	WATSON PHARMACEUTICALS INC	WPI	4,431.34	2,868.14	0.00	1,563.20	0.00	0.00
52.0000	09/28/2012 02/01/2011							
222816100	COVANCE INC	CVD	2,898.49	3,160.28	0.00	-461.79	0.00	0.00
57.0000	10/01/2012 02/01/2011							
345370860	FORD MOTOR CO DEL	F	2,111.47	1,436.92	0.00	674.55	0.00	0.00
212.0000	10/01/2012 07/24/2009							
855244109	STARBUCKS CORP COM	SBUX	1,305.40	385.58	0.00	919.82	0.00	0.00
26.0000	10/01/2012 06/08/2009							

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CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.				
222816100	COVANCE INC						
28.0000	10/02/2012	02/01/2011	1,331.11	0.00	-221.31	0.00	0.00
71654V101	ADR PETROLEO BRASILEIRO SA PETROBRAS SPONSORED ADR NON VTG						
283.0000	10/04/2012	Various	6,278.02	0.00	-2,783.30	0.00	0.00
127097103	CABOT OIL & GAS CORP CL A						
13.0000	10/08/2012	Various	571.39	0.00	203.33	0.00	0.00
31428X106	FEDEX CORP COM						
6.0000	10/08/2012	Various	517.88	0.00	-46.36	0.00	0.00
149123101	CATERPILLAR INC						
16.0000	10/12/2012	Various	1,336.13	0.00	368.10	0.00	0.00
149123101	CATERPILLAR INC						
5.0000	10/12/2012	09/01/2011	417.54	0.00	-26.08	0.00	0.00
38258P508	GOOGLE INC CL A						
2.0000	10/19/2012	10/17/2011	1,374.23	0.00	206.99	0.00	0.00
437076102	HOME DEPOT INC						
11.0000	11/21/2012	04/27/2009	704.49	0.00	419.42	0.00	0.00
637071101	NATIONAL-OILWELL INC						
28.0000	11/21/2012	10/28/2010	2,003.26	0.00	495.60	0.00	0.00

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Account Number: 23-22387
Recipient's Tax ID Number: 91-2169259

Recipient's Name and Address:
THE RAZI FAMILY FOUNDATION
ATTN: MR. ALI C RAZI
12735 SAN VICENTE BOULEVAR
LOS ANGELES, CA 90049

☐ Corrected ☐ 2nd TIN notice

**THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680**

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Cuslp	Description	Stock or Other Symbol	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed
637071101	NATIONAL-OILWELL INC		NOV		
8.0000	11/21/2012	08/16/2011	572.36	557.38	0.00
637071101	NATIONAL-OILWELL INC		NOV		
13.0000	11/26/2012	10/28/2010	926.77	699.99	0.00
025816109	AMERICAN EXPRESS CO		AXP		
28.0000	11/27/2012	Various	1,540.89	1,095.33	0.00
025816109	AMERICAN EXPRESS CO		AXP		
71.0000	11/28/2012	11/06/2009	3,889.77	2,654.35	0.00
31428X106	FEDEX CORP COM		FDX		
6.0000	12/04/2012	12/09/2009	532.20	534.21	0.00
313371UC8	FHLB DTD 11-18-2010	875 12-27-2013	FHLB1		
50000.0000	12/05/2012	01/27/2011	50,339.35	49,861.84	0.00
31398AJ94	FNMA NT 1.75 05-07-2013		FNMA1B		
75000.0000	12/05/2012	03/17/2010	75,499.13	75,083.78	0.00
31428X106	FEDEX CORP COM		FDX		
9.0000	12/05/2012	12/09/2009	796.18	801.31	0.00
665162699	MFB NORTHN HI YIELD FXD INC FD		NHFIX		
6657.7900	12/05/2012	03/17/2010	50,000.00	46,937.41	0.00

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00206R102	AT&T INC	12/06/2012	02/01/2011	T	1,471.85	0.00	313.15	0.00	0.00
53.0000									
015351109	ALEXION PHARMACEUTICALS INC COM	12/06/2012	11/17/2011	ALXN	854.90	0.00	357.84	0.00	0.00
13.0000									
020002101	ALLSTATE CORP	12/06/2012	02/01/2011	ALL	789.25	0.00	238.95	0.00	0.00
25.0000									
025818109	AMERICAN EXPRESS CO	12/06/2012	03/16/2011	AXP	1,106.37	0.00	348.30	0.00	0.00
26.0000									
03073E105	AMERISOURCE BERGEN CORP	12/06/2012	02/01/2011	ABC	1,245.38	0.00	205.03	0.00	0.00
34.0000									
037411105	APACHE CORP	12/06/2012	02/01/2011	APA	1,548.32	0.00	-557.61	0.00	0.00
13.0000									
037833100	APPLE COMPUTER INC	12/06/2012	Various	AAPL	2,093.11	0.00	2,789.99	0.00	0.00
9.0000									
037833100	APPLE COMPUTER INC	12/06/2012	11/29/2010	AAPL	315.79	0.00	227.53	0.00	0.00
1.0000									
037833100	APPLE COMPUTER INC	12/06/2012	08/08/2011	AAPL	728.40	0.00	358.24	0.00	0.00
2.0000									

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064058100	BANK NEW YORK MELLON CORP COM STK	BK	994.19	1,316.84	0.00	-342.65	0.00	0.00
071813109	BAXTER INTL INC	BAX						
23.0000	12/06/2012 04/17/2007		1,477.64	1,267.76	0.00	209.88	0.00	0.00
073730103	BEAM INC	BEAM						
15.0000	12/06/2012 02/01/2011		881.57	716.67	0.00	164.90	0.00	0.00
09062X103	BIOGEN IDEC INC COM STK TO BIOGEN IDEC SEC#2005597 EFF 11/13/03	BIIB						
10.0000	12/06/2012 Various		1,516.17	1,145.92	0.00	370.25	0.00	0.00
127097103	CABOT OIL & GAS CORP CL A	COG						
18.0000	12/06/2012 05/10/2011		874.93	505.24	0.00	369.69	0.00	0.00
14040H105	CAPITAL ONE FINL CORP	COF						
38.0000	12/06/2012 Various		2,176.98	2,056.31	0.00	120.67	0.00	0.00
149123101	CATERPILLAR INC	CAT						
10.0000	12/06/2012 11/10/2009		856.08	599.94	0.00	256.14	0.00	0.00
166764100	CHEVRONTXACO CORP COM	CVX						
20.0000	12/06/2012 02/01/2011		2,119.27	1,916.11	0.00	203.16	0.00	0.00
191216100	COCA COLA CO	KO						
35.0000	12/06/2012 Various		1,305.47	993.95	0.00	311.52	0.00	0.00

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Cusip		Description	Date of		Stock or Other Symbol		Cost or	Wash Sale	Net Gain	Federal	State
Quantity	Sold	Exchange	Acquisition		Stocks	Other Basis	Loss Disallowed	or Loss	Income	Tax	Withheld
191216100		COCA COLA CO			KO	745.98	664.76	0.00	81.22	0.00	0.00
20825C104		CONOCOPHILLIPS			COP	1,147.37	1,092.44	0.00	54.93	0.00	0.00
231021106		CUMMINS ENGINE INC			CMI	801.98	870.96	0.00	-68.98	0.00	0.00
244199105		DEERE & CO			DE	760.67	533.36	0.00	227.31	0.00	0.00
254709108		DISCOVER FINL SVCS COM STK			DFS	2,505.99	1,624.23	0.00	881.76	0.00	0.00
260003108		DOVER CORP			DOV	948.97	972.18	0.00	-23.21	0.00	0.00
268648102		EMC CORP COM			EMC	1,055.04	894.49	0.00	160.55	0.00	0.00
278642103		EBAY INC			EBAY	1,410.99	616.53	0.00	794.46	0.00	0.00
278642103		EBAY INC			EBAY	1,829.06	1,162.69	0.00	666.37	0.00	0.00
35.0000		12/06/2012 Various									

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31428X106	FEDEX CORP COM	12/06/2012	12/09/2009	FDX	1,066.53	1,068.42	0.00	-1.89	0.00	0.00
35671D857	FREEMPORT MCMORAN C & G CL B COM STK	12/06/2012	02/01/2011	FCX	1,322.65	2,425.95	0.00	-1,103.30	0.00	0.00
369604103	GENERAL ELECTRIC CO	12/06/2012	02/01/2011	GE	2,489.70	2,415.47	0.00	74.23	0.00	0.00
375558103	GILEAD SCIENCES INC	12/06/2012	Various	GILD	1,549.94	893.45	0.00	656.49	0.00	0.00
38141G104	GOLDMAN SACHS GROUP INC	12/06/2012	07/11/2011	GS	1,054.15	1,186.72	0.00	-132.57	0.00	0.00
38259P508	GOOGLE INC CL A	12/06/2012	12/05/2011	GOOG	2,073.40	1,871.66	0.00	201.74	0.00	0.00
406216101	HALLIBURTON CO	12/06/2012	11/08/2011	HAL	935.46	1,087.39	0.00	-151.93	0.00	0.00
42809H107	HESS CORP COM STK	12/06/2012	07/15/2011	HES	1,167.09	1,740.74	0.00	-573.65	0.00	0.00
437076102	HOME DEPOT INC	12/06/2012	04/27/2009	HD	1,796.44	725.62	0.00	1,070.82	0.00	0.00

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12735 SAN VICENTE BOULEVARD

LOS ANGELES, CA 90049

23-22387

91-2169259

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438516106	HONEYWELL INTL INC	12/06/2012	02/01/2011	HON	1,127.57	0.00	99.20	0.00	0.00
458140100	INTEL CORP	12/06/2012	02/01/2011	INTC	987.58	0.00	-64.30	0.00	0.00
46625H100	J P MORGAN CHASE & CO	12/06/2012	04/17/2007	JPM	1,572.40	0.00	-330.26	0.00	0.00
500255104	KOHL'S CORP	12/06/2012	05/16/2011	KSS	702.38	0.00	-181.60	0.00	0.00
50540R409	LABORATORY CORP AMER HLDGS NEW	12/06/2012	02/01/2011	LH	759.85	0.00	-39.97	0.00	0.00
517834107	LAS VEGAS SANDS CORP	12/06/2012	09/25/2009	LVS	830.66	0.00	496.13	0.00	0.00
518439104	LAUDER ESTEE COS INC CL A	12/06/2012	06/08/2009	EL	891.73	0.00	641.90	0.00	0.00
532716107	LIMITED INC	12/06/2012	07/08/2011	LTD	767.68	0.00	162.98	0.00	0.00
57636Q104	MASTERCARD INC CL A	12/06/2012	Various	MA	1,915.11	0.00	1,214.22	0.00	0.00

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
57836Q104	MASTERCARD INC CL A	MA	6.0000	12/06/2012	Various	2,872.66	1,616.66	0.00	1,256.00	0.00	0.00
594918104	MICROSOFT CORP COM	MSFT	32.0000	12/06/2012	02/01/2011	855.34	889.98	0.00	-34.64	0.00	0.00
61166W101	MONSANTO CO NEW	MON	10.0000	12/06/2012	12/22/2010	898.98	664.93	0.00	234.05	0.00	0.00
61166W101	MONSANTO CO NEW	MON	10.0000	12/06/2012	03/07/2011	898.98	711.48	0.00	187.50	0.00	0.00
65339F101	NEXTERA ENERGY INC COM	NEE	18.0000	12/06/2012	Various	1,243.20	984.56	0.00	258.64	0.00	0.00
654106103	NIKE INC CLASS B	NKE	11.0000	12/06/2012	Various	1,081.28	1,004.57	0.00	76.71	0.00	0.00
68389X105	ORACLE CORPORATION	ORCL	29.0000	12/06/2012	07/14/2011	927.11	930.75	0.00	-3.64	0.00	0.00
717081103	PFIZER INC	PFE	91.0000	12/06/2012	Various	2,332.28	1,870.50	0.00	461.78	0.00	0.00
718172109	PHILIP MORRIS INTERNATIONAL	PM	11.0000	12/06/2012	02/01/2011	974.58	637.68	0.00	336.90	0.00	0.00

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742718109	PROCTER & GAMBLE CO	12/06/2012	02/01/2011	PG	888.41	0.00	88.82	0.00	0.00
14.0000									
744573106	PUBLIC SERVICE ENTERPRISE GROUP INC., COMMON STOCK	12/06/2012	02/01/2011	PEG	1,074.51	0.00	-86.17	0.00	0.00
33.0000									
747525103	QUALCOMM INC	12/06/2012	11/05/2010	QCOM	724.11	0.00	234.82	0.00	0.00
15.0000									
747525103	QUALCOMM INC	12/06/2012	Various	QCOM	1,040.49	0.00	238.09	0.00	0.00
20.0000									
74834L100	QUEST DIAGNOSTICS INC	12/06/2012	02/01/2011	DGX	517.11	0.00	5.06	0.00	0.00
9.0000									
79466L302	SALESFORCE COM INC COM STK	12/06/2012	11/23/2010	CRM	996.02	0.00	106.50	0.00	0.00
7.0000									
80004C101	SANDISK CORP	12/06/2012	02/08/2011	SNDK	992.48	0.00	-169.50	0.00	0.00
20.0000									
855244109	STARBUCKS CORP COM	12/06/2012	Various	SBUX	422.01	0.00	1,134.39	0.00	0.00
29.0000									
88076W103	TERADATA CORP DEL COM STK	12/06/2012	08/05/2011	TDC	861.72	0.00	73.78	0.00	0.00
16.0000									

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88732J207	TIME WARNER CABLE INC COM			TWC						
11.0000	12/06/2012	02/01/2011			1,036.18	754.27	0.00	281.91	0.00	0.00
892356106	TRACTOR SUPPLY CO			TSCO						
2.0000	12/06/2012	12/05/2011			170.23	146.21	0.00	24.02	0.00	0.00
902973304	US BANCORP			USB						
29.0000	12/06/2012	02/01/2011			921.31	796.88	0.00	124.43	0.00	0.00
90384S303	ULTA SALON COSMETICS & FRAGRANCE INC COMSTK			ULTA						
8.0000	12/06/2012	11/07/2011			809.63	594.96	0.00	214.67	0.00	0.00
913017109	UNITED TECHNOLOGIES CORP			UTX						
15.0000	12/06/2012	02/01/2011			1,208.82	1,225.91	0.00	-17.09	0.00	0.00
91911K102	VALEANT PHARMACEUTICALS INTERNATIONAL INC COMMON STOCK			VRX						
15.0000	12/06/2012	07/22/2011			864.43	856.02	0.00	8.41	0.00	0.00
931142103	WAL MART STORES INC			WMT						
26.0000	12/06/2012	02/01/2011			1,858.77	1,459.70	0.00	399.07	0.00	0.00
942683103	WATSON PHARMACEUTICALS INC			WPI						
11.0000	12/06/2012	02/01/2011			967.83	606.72	0.00	361.11	0.00	0.00
949746101	WELLS FARGO & CO NEW			WFC						
63.0000	12/06/2012	05/02/2011			2,084.62	1,834.50	0.00	250.12	0.00	0.00

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966837106	WHOLE FOODS MKT INC	WFM	14.0000	12/06/2012	06/08/2009	1,283.63	294.76	0.00	988.87	0.00	0.00
G1151C101	ACCENTURE PLC SHS CL A NEW	ACN	26.0000	12/06/2012	Various	1,808.15	1,461.86	0.00	346.29	0.00	0.00
Y0486S104	AVAGO TECHNOLOGIES LTD	AVGO	26.0000	12/06/2012	09/29/2011	908.94	857.96	0.00	50.98	0.00	0.00
037833100	APPLE COMPUTER INC	AAPL	2.0000	12/07/2012	06/08/2009	1,082.54	282.04	0.00	800.50	0.00	0.00
037833100	APPLE COMPUTER INC	AAPL	1.0000	12/11/2012	06/08/2009	544.49	141.02	0.00	403.47	0.00	0.00
892356106	TRACTOR SUPPLY CO	TSCO	10.0000	12/11/2012	Various	859.76	726.68	0.00	133.08	0.00	0.00
892356106	TRACTOR SUPPLY CO	TSCO	8.0000	12/12/2012	11/02/2011	683.73	579.85	0.00	103.88	0.00	0.00
015351109	ALEXION PHARMACEUTICALS INC COM	ALXN	9.0000	12/17/2012	Various	848.40	587.85	0.00	260.55	0.00	0.00
037833100	APPLE COMPUTER INC	AAPL	3.0000	12/17/2012	06/08/2009	1,510.10	423.06	0.00	1,087.04	0.00	0.00

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
015351109	ALEXION PHARMACEUTICALS INC COM	ALXN	5.0000	12/20/2012	11/02/2011	479.21	325.96	0.00	153.25	0.00	0.00
G1151C101	ACCENTURE PLC SHS CL A NEW	ACN	14.0000	12/21/2012	Various	942.13	744.87	0.00	197.26	0.00	0.00
57636Q104	MASTERCARD INC CL A	MA	1.0000	12/24/2012	08/08/2009	494.54	166.91	0.00	327.63	0.00	0.00
Total Long Term 15% Sales						1,033,532.36	921,483.96	0.00	112,048.39	0.00	0.00
Long Term 28% Sales											
78463V107	MFC SPDR GOLD TR GOLD SHS	GLD	300.0000	12/05/2012	06/10/2010	49,219.89	35,751.00	0.00	13,468.89	0.00	0.00
Total Long Term 28% Sales						49,219.89	35,751.00	0.00	13,468.89	0.00	0.00

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

► **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

**Type or
print**File by the
due date for
filing your
return. See
instructions

Name of exempt organization or other filer, see instructions

Employer identification number (EIN) or

THE RAZI FAMILY FOUNDATION

91-2169259

Number, street, and room or suite no. If a P.O. box, see instructions

Social security number (SSN)

2201 DUPONT DRIVE, SUITE 300

City, town or post office, state, and ZIP code. For a foreign address, see instructions

IRVINE, CA 92612

Enter the Return code for the return that this application is for (file a separate application for each return) ☐ 0 ☐ 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720- (individual)	03	Form 4720	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► ALI C. RAZI

Telephone No. ► 949 833-1554

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 13, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ☒ calendar year 2012 or
- ☐ tax year beginning _____, 20____, and ending _____, 20____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a \$	1,431.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b \$	2,709.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c \$	0

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see Instructions.

Form **8868** (Rev. 1-2013)