



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



**Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation**

2012

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012, or tax year beginning , 2012, and ending

ROY E. CRUMMER FOUNDATION
567 SAN NICOLAS DRIVE 410B
NEWPORT BEACH, CA 92660

A Employer identification number
88-6004422

B Telephone number (see the instructions)
(949) 644-4702

C If exemption application is pending, check here

D 1 Foreign organizations, check here
2 Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply:

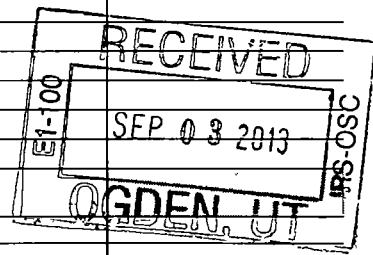
| | |
|--|--|
| <input type="checkbox"/> Initial return | <input type="checkbox"/> Initial return of a former public charity |
| <input type="checkbox"/> Final return | <input type="checkbox"/> Amended return |
| <input checked="" type="checkbox"/> Address change | <input type="checkbox"/> Name change |

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
\$ 5,857,554.

J Accounting method Cash Accrual
 Other (specify) _____
(Part I, column (d) must be on cash basis.)

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|--|------------------------------------|---------------------------|-------------------------|---|
| REVENUE | 1 Contributions, gifts, grants, etc, received (att sch) | | | | |
| | 2 <input checked="" type="checkbox"/> if the foundn is not req to att Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 3. | 3. | | |
| | 4 Dividends and interest from securities | 71,794. | 71,794. | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain/(loss) from sale of assets not on line 10 | 13,568. | | | |
| | b Gross sales price for all assets on line 6a | 2,638,322. | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 13,568. | | |
| | 8 Net short-term capital gain | | | 90,102. | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| b Less Cost of goods sold | | | | | |
| c Gross profit/(loss) (att sch) | | | | | |
| 11 Other income (attach schedule) | | | | | |
| | SEE STATEMENT 1 | 1,766. | | | |
| 12 Total. Add lines 1 through 11 | | 87,131. | 85,365. | 90,102. | |
| ADMINISTRATIVE EXPENSES | 13 Compensation of officers, directors, trustees, etc | 42,161. | | | |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) | 100. | | | |
| | b Accounting fees (attach sch) | 1,975. | | | |
| | c Other prof fees (attach sch) | 79,829. | 79,829. | | |
| | 17 Interest | 20. | 20. | | |
| | 18 Taxes (attach schedule)(see instrs) | 127. | | | |
| | 19 Depreciation (attach sch) and depletion | 147. | | | |
| | 20 Occupancy | 35,978. | | | |
| | 21 Travel, conferences, and meetings | 761. | | | |
| | 22 Printing and publications | 241. | | | |
| | 23 Other expenses (attach schedule) | | | | |
| | | SEE STATEMENT 6 | 3,072. | | |
| | 24 Total operating and administrative expenses. Add lines 13 through 23 | 164,411. | 79,849. | | |
| 25 Contributions, gifts, grants paid PART XV | 300,000. | | | 300,000. | |
| 26 Total expenses and disbursements. Add lines 24 and 25 | 464,411. | 79,849. | 0. | 300,000. | |
| 27 Subtract line 26 from line 12: | | | | | |
| a Excess of revenue over expenses and disbursements | -377,280. | | | | |
| b Net investment income (if negative, enter -0-) | | 5,516. | | | |
| c Adjusted net income (if negative, enter -0-) | | | 90,102. | | |



SCANNED SEP 06 2013

914 15

| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | | Beginning of year | End of year | | |
|------------------------|--|---|----------------|-------------------------------------|-------------|------------|------------|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value | | | |
| ASSETS | 1 | Cash – non-interest-bearing | | 11,567. | 15,664. | 15,664. | |
| | 2 | Savings and temporary cash investments | | 110,195. | 34,613. | 34,613. | |
| | 3 | Accounts receivable | | | | | |
| | | Less: allowance for doubtful accounts | | | | | |
| | 4 | Pledges receivable | | | | | |
| | | Less: allowance for doubtful accounts | | | | | |
| | 5 | Grants receivable | | | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | | | |
| | 7 | Other notes and loans receivable (attach sch) | | | | | |
| | | Less allowance for doubtful accounts | | | | | |
| | 8 | Inventories for sale or use | | | | | |
| | 9 | Prepaid expenses and deferred charges | | | 123,577. | 123,577. | 123,577. |
| | 10a | Investments – U S and state government obligations (attach schedule) | | | | | |
| | b | Investments – corporate stock (attach schedule) STATEMENT 7 | | | 5,499,088. | 5,194,100. | 5,680,128. |
| | c | Investments – corporate bonds (attach schedule) | | | | | |
| | 11 | Investments – land, buildings, and equipment basis | | | | | |
| | Less accumulated depreciation (attach schedule) | | | | | | |
| 12 | Investments – mortgage loans | | | | | | |
| 13 | Investments – other (attach schedule) | | | | | | |
| 14 | Land, buildings, and equipment basis | 17,415. | | | | | |
| | Less accumulated depreciation (attach schedule) SEE STMT 8 | 14,435. | | 3,127. | 2,980. | | |
| 15 | Other assets (describe SEE STATEMENT 9) | | | 3,847. | 3,572. | 3,572. | |
| 16 | Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I) | | | 5,751,401. | 5,374,506. | 5,857,554. | |
| LIABILITIES | 17 | Accounts payable and accrued expenses | | | | | |
| | 18 | Grants payable | | | | | |
| | 19 | Deferred revenue | | | | | |
| | 20 | Loans from officers, directors, trustees, & other disqualified persons. | | | | | |
| | 21 | Mortgages and other notes payable (attach schedule) | | | | | |
| | 22 | Other liabilities (describe SEE STATEMENT 10) | | | 520. | 905. | |
| | 23 | Total liabilities (add lines 17 through 22) | | | 520. | 905. | |
| NET ASSET BALANCES | Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. | | | | | | |
| | 24 | Unrestricted | | | | | |
| | 25 | Temporarily restricted | | | | | |
| | 26 | Permanently restricted | | | | | |
| | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. | | | <input checked="" type="checkbox"/> | | | |
| | 27 | Capital stock, trust principal, or current funds | | | 3,012,349. | 3,012,349. | |
| | 28 | Paid-in or capital surplus, or land, building, and equipment fund | | | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | | | 2,738,532. | 2,361,252. | |
| 30 | Total net assets or fund balances (see instructions) | | | 5,750,881. | 5,373,601. | | |
| 31 | Total liabilities and net assets/fund balances (see instructions) | | | 5,751,401. | 5,374,506. | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|--|---|------------|
| 1 | Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 5,750,881. |
| 2 | Enter amount from Part I, line 27a | 2 | -377,280. |
| 3 | Other increases not included in line 2 (itemize) | 3 | |
| 4 | Add lines 1, 2, and 3 | 4 | 5,373,601. |
| 5 | Decreases not included in line 2 (itemize) | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30 | 6 | 5,373,601. |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company) | (b) How acquired P — Purchase D — Donation | (c) Date acquired (month, day, year) | (d) Date sold (month, day, year) |
|--|--|---|-------------------------------------|
| 1 a SEE STATEMENT 11 | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h)) |
|---|--------------------------------------|---|---|
| (i) Fair Market Value as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of column (i) over column (j), if any | |
| a | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | | |
|---|---|---------|
| 2 Capital gain net income or (net capital loss) [If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7] | 2 | 13,568. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8] | 3 | 90,102. |

Part VII Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

| 1 Enter the appropriate amount in each column for each year; see the instructions before making any entries | | | |
|---|---------------------------------------|--|--|
| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (column (b) divided by column (c)) |
| 2011 | 275,000. | 5,919,898. | 0.046454 |
| 2010 | 230,000. | 5,507,129. | 0.041764 |
| 2009 | 267,442. | 4,806,168. | 0.055646 |
| 2008 | 327,057. | 5,584,434. | 0.058566 |
| 2007 | 330,750. | 6,739,675. | 0.049075 |
| 2 Total of line 1, column (d) | | | 2 0.251505 |
| 3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | | | 3 0.050301 |
| 4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5 | | | 4 6,047,380. |
| 5 Multiply line 4 by line 3 | | | 5 304,189. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | | | 6 55. |
| 7 Add lines 5 and 6 | | | 7 304,244. |
| 8 Enter qualifying distributions from Part XII, line 4 | | | 8 300,000. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

| | | | |
|---|-----|--------|--------|
| 1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instrs) | | | |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b | | 1 | 110. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b) | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | 2 | 0. |
| 3 Add lines 1 and 2 | | 3 | 110. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | 4 | 0. |
| 5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- | | 5 | 110. |
| 6 Credits/Payments. | | | |
| a 2012 estimated tax pmts and 2011 overpayment credited to 2012 | 6 a | 3,256. | |
| b Exempt foreign organizations – tax withheld at source | 6 b | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6 c | | |
| d Backup withholding erroneously withheld | 6 d | 86. | |
| 7 Total credits and payments. Add lines 6a through 6d | 7 | | 3,342. |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached | 8 | | |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | | 0. |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | | 3,232. |
| 11 Enter the amount of line 10 to be: Credited to 2013 estimated tax <input type="checkbox"/> 3,232. Refunded <input type="checkbox"/> | 11 | | 0. |

Part VII-A Statements Regarding Activities

| | Yes | No |
|--|-----|-----|
| 1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i> | | X |
| c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation ▶ \$ 0. (2) On foundation managers ▶ \$ 0. | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ 0. | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i> | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i> | | X |
| 4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | X |
| b If 'Yes,' has it filed a tax return on Form 990-T for this year? | | N/A |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T.</i> | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | X | |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i> | X | |
| 8 a Enter the states to which the foundation reports or with which it is registered (see instructions) NV | | |
| b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i> | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i> | | X |
| 10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i> | | X |

Part VII-A Statements Regarding Activities (continued)

| | | | | |
|----|---|---|-----|----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions) | | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions) | | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A | X | | |
| 14 | The books are in care of ▶ <u>MARGE BROWN@FOUNDATION OFFICE</u> Telephone no ▶ <u>(949) 644-4702</u> Located at ▶ <u>567 SAN NICHOLAS DRIVE, SUITE 410B NEWPORT B</u> ZIP + 4 ▶ <u>92660</u> | | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 | | N/A | |
| 16 | At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country ▶ | | Yes | No |
| | | | | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

| | Yes | No |
|---|-----|-----|
| 1 a During the year did the foundation (either directly or indirectly) | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/> | 1 b | N/A |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012? | 1 c | X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | |
| a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If 'Yes,' list the years ▶ 20 __ , 20 __ , 20 __ , 20 __ <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.) | 2 b | N/A |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 __ , 20 __ , 20 __ , 20 __ | | |
| 3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b If 'Yes,' did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.) | 3 b | N/A |
| 4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4 a | X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012? | 4 b | X |

BAA

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d). N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| SEE STATEMENT 12 | | 36,075. | 6,086. | 0. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| J.P. MORGAN SECURITIES LLC 2029 CENTURY PARK EAST, SUITE 3700 LOS ANGELES, CA 90067 | INVESTMENT COUNSEL | 79,828. |
| ----- | | |
| ----- | | |
| ----- | | |
| ----- | | |
| ----- | | |
| ----- | | |
| ----- | | |
| ----- | | |
| Total number of others receiving over \$50,000 for professional services | | 0 |

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

| | Expenses |
|-------|----------|
| 1 N/A | |
| ----- | |
| 2 | |
| ----- | |
| 3 | |
| ----- | |
| 4 | |
| ----- | |

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

| | Amount |
|--|--------|
| 1 N/A | |
| ----- | |
| 2 | |
| ----- | |
| All other program-related investments See instructions | |
| 3 | |
| ----- | |
| Total. Add lines 1 through 3 | 0. |

BAA

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|-----|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes | | |
| a | Average monthly fair market value of securities | 1 a | 6,006,533. |
| b | Average of monthly cash balances | 1 b | 5,790. |
| c | Fair market value of all other assets (see instructions) | 1 c | 127,149. |
| d | Total (add lines 1a, b, and c) | 1 d | 6,139,472. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1 e | 0. |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 6,139,472. |
| 4 | Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions) | 4 | 92,092. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 6,047,380. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 302,369. |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

| | | | |
|----|--|-----|----------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 302,369. |
| 2a | Tax on investment income for 2012 from Part VI, line 5 | 2 a | 110. |
| b | Income tax for 2012 (This does not include the tax from Part VI) | 2 b | |
| c | Add lines 2a and 2b | 2 c | 110. |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | 3 | 302,259. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | |
| 5 | Add lines 3 and 4 | 5 | 302,259. |
| 6 | Deduction from distributable amount (see instructions) | 6 | |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | 7 | 302,259. |

Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|-----|----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes | | |
| a | Expenses, contributions, gifts, etc — total from Part I, column (d), line 26 | 1 a | 300,000. |
| b | Program-related investments — total from Part IX-B | 1 b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3 a | |
| b | Cash distribution test (attach the required schedule) | 3 b | |
| 4 | Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 300,000. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) | 5 | |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 300,000. |

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2011 | (c) 2011 | (d) 2012 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2012 from Part XI, line 7 | | | | 302,259. |
| 2 Undistributed income, if any, as of the end of 2012: | | | | |
| a Enter amount for 2011 only | | | 280,016. | |
| b Total for prior years: 20 __, 20 __, 20 __ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2012: | | | | |
| a From 2007 | | | | |
| b From 2008 | | | | |
| c From 2009 | | | | |
| d From 2010 | | | | |
| e From 2011 | | | | |
| f Total of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2012 from Part XII, line 4. ▶ \$ 300,000. | | | | |
| a Applied to 2011, but not more than line 2a | | | 280,016. | |
| b Applied to undistributed income of prior years (Election required – see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required – see instructions) | 0. | | | |
| d Applied to 2012 distributable amount | | | | 19,984. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a)) | 0. | | | 0. |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 0. | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount – see instructions | | 0. | | |
| e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount – see instructions | | | 0. | |
| f Undistributed income for 2012 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2013 | | | | 282,275. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) | 0. | | | |
| 8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions) | 0. | | | |
| 9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a | 0. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2008 | | | | |
| b Excess from 2009 | | | | |
| c Excess from 2010 | | | | |
| d Excess from 2011 | | | | |
| e Excess from 2012 | | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

| | Tax year | | | | (e) Total |
|--|----------|----------|----------|----------|-----------|
| | (a) 2012 | (b) 2011 | (c) 2010 | (d) 2009 | |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| a 'Assets' alternative test – enter: | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c 'Support' alternative test – enter: | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed.

SEE STATEMENT 13

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT FOR LINE 2A

c Any submission deadlines:

SEE STATEMENT FOR LINE 2A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT FOR LINE 2A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|--|--------------------------------------|-------------------------------------|-----------------------|
| a Paid during the year SEE STATEMENT 16 | | | CHARITABLE | 300,000. |
| Total | | | | ▶ 3 a 300,000. |
| b Approved for future payment | | | | |
| Total | | | | ▶ 3 b |

ROY E. CRUMMER FOUNDATION

88-6004422

STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

| | (A) REVENUE PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME |
|-------------------------|-----------------------------|---------------------------------|-------------------------------|
| OTHER INVESTMENT INCOME | | | |
| TOTAL | \$ 1,766. | \$ 0. | \$ 0. |

STATEMENT 2
FORM 990-PF, PART I, LINE 16A
LEGAL FEES

| | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|----------------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| BRADLEY, DRENDAL & JEANNEY | \$ 100. | | | |
| TOTAL | \$ 100. | \$ 0. | \$ 0. | \$ 0. |

STATEMENT 3
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

| | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|----------------------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| STROM LAMORENA ACCOUNTANCY CORP. | \$ 1,975. | | | |
| TOTAL | \$ 1,975. | \$ 0. | \$ 0. | \$ 0. |

STATEMENT 4
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

| | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|-------------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| JP MORGAN-INCENTIVE FEE | \$ 20,828. | \$ 20,828. | | |
| JP MORGAN-MNGT FEES | 59,001. | 59,001. | | |
| TOTAL | \$ 79,829. | \$ 79,829. | \$ 0. | \$ 0. |

ROY E. CRUMMER FOUNDATION

88-6004422

STATEMENT 5
FORM 990-PF, PART I, LINE 18
TAXES

| | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|-----------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| CA STATE TAX | \$ 10. | | | |
| FORGEIGN TAX W/H | 86. | | | |
| PERSONAL PROPERTY TAX | 31. | | | |
| TOTAL | \$ 127. | \$ 0. | \$ 0. | \$ 0. |

STATEMENT 6
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

| | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|----------------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| BANK CHARGES-WIRES, ETC | \$ 50. | | | |
| BUSINESS INSURANCE | 1,420. | | | |
| INSURANCE-DIREC & OFFICERS | 1,037. | | | |
| LICENSES | 50. | | | |
| OFFICE EXPENSES | 465. | | | |
| RRF-1 REGISTRATION FEE | 50. | | | |
| TOTAL | \$ 3,072. | \$ 0. | \$ 0. | \$ 0. |

STATEMENT 7
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

| CORPORATE STOCKS | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|---------------------------------------|---------------------|---------------|----------------------|
| SPDR GOLD TR FORMERLY STREETRACL GOLD | MKT VAL | \$ 801,638. | \$ 838,456. |
| E.I SU PONT DE NEMOUS & CO | MKT VAL | 95,456. | 85,459. |
| ISHARES SILVER TR | MKT VAL | 28,860. | 27,020. |
| APPLE INC | MKT VAL | 466,253. | 673,199. |
| BERKSHIRE HATTHAWAY INC DEL | MKT VAL | 516,176. | 600,990. |
| CONTINENTAL RESOURCES INC | MKT VAL | 536,525. | 646,712. |
| CYPRESS SEMICONDUCTOR GROUP | MKT VAL | 474,041. | 363,303. |
| DEER & CO | MKT VAL | 279,222. | 345,680. |
| HALLIBURTON CO | MKT VAL | 545,957. | 471,784. |
| MARKET VECTOR ETF TRUST | MKT VAL | 0. | 0. |
| MARKET VECTOR ETF TR | MKT VAL | 0. | 0. |
| WELLS FARGO & A CO | MKT VAL | 563,187. | 734,870. |
| W&T P OFFSHORE INC | MKT VAL | 0. | 0. |
| VAN ECK FUNDS | MKT VAL | 119,940. | 95,283. |
| CALL-APPLE- 1/14/2013 | MKT VAL | -1,120. | -1,212. |
| CALL-DEER & CO 1/21/12 | MKT VAL | 0. | 0. |
| CALL-ISHARES SILVER TRUST-1/21/12 | MKT VAL | 0. | 0. |
| CATERPILLAR INC | MKT VAL | 334,825. | 347,233. |
| DUNKIN BRANDS GROUP, INC | MKT VAL | 96,726. | 112,812. |
| CALL- DUNKIN BRANDS GROUP 1/19/15 | MKT VAL | -2,039. | -425. |
| HOST HOTELS & RESORTS INC | MKT VAL | 189,370. | 188,040. |

ROY E. CRUMMER FOUNDATION

88-6004422

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

| <u>CORPORATE STOCKS</u> | <u>VALUATION METHOD</u> | <u>BOOK VALUE</u> | <u>FAIR MARKET VALUE</u> |
|----------------------------|-------------------------|----------------------|--------------------------|
| CALL-GLD 1/19/13 | MKT VAL | \$ -4,350. | \$ -2,576. |
| STRATEGIC HOTELS & RESORTS | MKT VAL | 11,681. | 12,083. |
| SUNCOR ENERGY, INC | MKT VAL | 141,752. | 141,417. |
| | TOTAL | <u>\$ 5,194,100.</u> | <u>\$ 5,680,128.</u> |

STATEMENT 8
FORM 990-PF, PART II, LINE 14
LAND, BUILDINGS, AND EQUIPMENT

| <u>CATEGORY</u> | <u>BASIS</u> | <u>ACCUM. DEPREC.</u> | <u>BOOK VALUE</u> | <u>FAIR MARKET VALUE</u> |
|-------------------------|-------------------|-----------------------|-------------------|--------------------------|
| FURNITURE AND FIXTURES | \$ 10,504. | \$ 10,504. | \$ 0. | \$ 0. |
| MACHINERY AND EQUIPMENT | 1,183. | 1,183. | 0. | 0. |
| IMPROVEMENTS | 5,728. | 2,748. | 2,980. | 0. |
| TOTAL | <u>\$ 17,415.</u> | <u>\$ 14,435.</u> | <u>\$ 2,980.</u> | <u>\$ 0.</u> |

STATEMENT 9
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

| | <u>BOOK VALUE</u> | <u>FAIR MARKET VALUE</u> |
|----------------------|-------------------|--------------------------|
| RENT DEPOSIT | \$ 3,451. | \$ 3,451. |
| WORKERS COMP DEPOSIT | 121. | 121. |
| TOTAL | <u>\$ 3,572.</u> | <u>\$ 3,572.</u> |

STATEMENT 10
FORM 990-PF, PART II, LINE 22
OTHER LIABILITIES

| | |
|---------|----------------|
| FICA | \$ 170. |
| FED W/H | 390. |
| CA-PIT | 255. |
| CA-SDI | 90. |
| TOTAL | <u>\$ 905.</u> |

ROY E. CRUMMER FOUNDATION

88-6004422

STATEMENT 11
 FORM 990-PF, PART IV, LINE 1
 CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| ITEM | (A) DESCRIPTION | (B) HOW ACQUIRED | (C) DATE ACQUIRED | (D) DATE SOLD |
|------|---------------------------------------|------------------|-------------------|---------------|
| 1 | 35 SHS APPLE INC | PURCHASED | 8/08/2011 | 1/09/2012 |
| 2 | 25 SHS CATERPILLAR INC | PURCHASED | 6/13/2012 | 8/17/2012 |
| 3 | 395 SHS CATERPILLAR INC | PURCHASED | 6/13/2012 | 11/16/2012 |
| 4 | 40 SHS CATERPILLAR INC | PURCHASED | 6/13/2012 | 11/26/2012 |
| 5 | 200 SHS CATERPILLAR INC | PURCHASED | 6/13/2012 | 11/29/2012 |
| 6 | 100 SHS CATERPILLAR INC | PURCHASED | 6/13/2012 | 12/04/2012 |
| 7 | 25 SHS CATERPILLAR INC | PURCHASED | 6/13/2012 | 12/06/2012 |
| 8 | 290 SHS CYPRESS SEMICONDUCTOR CORP | PURCHASED | 12/13/2011 | 2/02/2012 |
| 9 | 55 SHS DUNKIN BRANDS GROUP INC | PURCHASED | 8/22/2012 | 12/06/2012 |
| 10 | 20 SHS DEER & CO | PURCHASED | 9/28/2011 | 1/24/2012 |
| 11 | 25 SHS DEER & CO | PURCHASED | 9/28/2011 | 8/17/2012 |
| 12 | 1200 SHS E I DU PONT DE NEMOURS & CO | PURCHASED | 9/02/2011 | 2/29/2012 |
| 13 | 4300 SHS E.I. DU PONT DE NEMOURS & CO | PURCHASED | 9/02/2011 | 2/29/2012 |
| 14 | 1155 SHS E I DU PONT DE NEMOURS & CO | PURCHASED | 9/27/2011 | 6/15/2012 |
| 15 | 8445 SHS E I DU PONT DE NEMOURS & CO | PURCHASED | 9/02/2011 | 6/15/2012 |
| 16 | 545 SHS E I DU PONT DE NEMOURS & CO | PURCHASED | 6/18/2012 | 11/29/2012 |
| 17 | 50 SHS E I DU PONT DE NEMOURS & CO | PURCHASED | 6/18/2012 | 12/04/2012 |
| 18 | 50 SHS E I DU PONT DE NEMOURS & CO | PURCHASED | 6/18/2012 | 12/06/2012 |
| 19 | 25 SHS EOG RES INC | PURCHASED | 6/19/2012 | 8/17/2012 |
| 20 | 1500 SHS EOG RES INC | PURCHASED | 6/19/2012 | 8/17/2012 |
| 21 | 2600 SHS HOST HOTELS & RESORTS INC | PURCHASED | 6/18/2012 | 11/08/2012 |
| 22 | 110 SHS HOST HOTELS & RESORTS INC | PURCHASED | 6/18/2012 | 11/26/2012 |
| 23 | 300 SHS ISHARES SILVER TR | PURCHASED | 6/30/2011 | 5/08/2012 |
| 24 | 7875 SHS ISHARES SILVER TR | PURCHASED | 6/13/2011 | 5/08/2012 |
| 25 | 60 SHS MARKET VECTORS ETF TRUST | PURCHASED | 6/13/2011 | 1/24/2012 |
| 26 | 6405 SHS MARKET VECTORS OIL SVCS ETF | PURCHASED | 10/25/2011 | 6/13/2012 |
| 27 | 7050 SHS MARKET VECTORS OIL SVCS ETF | PURCHASED | 10/25/2011 | 6/13/2012 |
| 28 | 90 SHS SUNCOR ENERGY INC NEW | PURCHASED | 11/16/2012 | 12/10/2012 |
| 29 | 100 SHS W&T OFFSHORE INC | PURCHASED | 6/13/2011 | 1/24/2012 |
| 30 | 13 CALL AAPL 03/17/12 590 | PURCHASED | 3/16/2012 | 2/23/2012 |
| 31 | 13 CALL AAPL 10/20/12 725 | PURCHASED | 10/22/2012 | 9/25/2012 |
| 32 | 81 CALL SLV 01/21/12 30 | PURCHASED | 1/18/2012 | 12/27/2011 |
| 33 | 90 CALL CLR 04/21/12 95 | PURCHASED | 4/23/2012 | 3/22/2012 |
| 34 | 13 CALL AAPL 01/21/12 445 | PURCHASED | 1/23/2012 | 11/08/2011 |
| 35 | 12 CALL AAPL 01/04/13 560 | PURCHASED | 12/31/2012 | 12/24/2012 |
| 36 | 36 CALL DE 04/21/12 87.50 | PURCHASED | 4/23/2012 | 3/19/2012 |
| 37 | 1 CALL BRKB 09/22/12 87.50 | PURCHASED | 8/14/2012 | 5/22/2012 |
| 38 | 1 CALL GLD 12/22/12 176 | PURCHASED | 12/04/2012 | 11/19/2012 |
| 39 | 1 CALL GLD 12/22/12 176 | PURCHASED | 12/06/2012 | 11/19/2012 |
| 40 | 51 CALL GLD 12/22/12 176 | PURCHASED | 12/18/2012 | 11/19/2012 |
| 41 | 29 CALL CAT 11/17/12 90 | PURCHASED | 11/19/2012 | 10/26/2012 |
| 42 | 17 CALL CAT 11/17/12 90 | PURCHASED | 11/19/2012 | 10/31/2012 |
| 43 | 13 CALL AAPL 09/22/12 720 | PURCHASED | 9/24/2012 | 9/17/2012 |
| 44 | 1 CALL AAPL 11/17/12 580 | PURCHASED | 11/19/2012 | 11/08/2012 |
| 45 | 12 CALL AAPL 11/17/12 580 | PURCHASED | 11/19/2012 | 11/09/2012 |
| 46 | 1 CALL AAPL 11/17/12 705 | PURCHASED | 11/08/2012 | 10/22/2012 |
| 47 | 12 CALL AAPL 11/17/12 705 | PURCHASED | 11/09/2012 | 10/22/2012 |
| 48 | 1 CALL CLR 12/22/12 80 | PURCHASED | 11/26/2012 | 11/20/2012 |
| 49 | 1 CALL CLR 12/22/12 80 | PURCHASED | 12/06/2012 | 11/20/2012 |
| 50 | 2 CALL CLR 12/22/12 80 | PURCHASED | 12/04/2012 | 11/20/2012 |
| 51 | 88 CALL CLR 12/22/12 80 | PURCHASED | 12/24/2012 | 11/20/2012 |
| 52 | 13 CALL AAPL 08/18/12 630 | PURCHASED | 8/07/2012 | 5/18/2012 |
| 53 | 36 CALL DE 09/22/12 80 | PURCHASED | 6/18/2012 | 5/18/2012 |
| 54 | 36 CALL DE 09/22/12 85 | PURCHASED | 9/24/2012 | 6/18/2012 |
| 55 | 22 CALL BRKB 08/18/12 85 | PURCHASED | 8/14/2012 | 6/25/2012 |
| 56 | 47 CALL BRKB 08/18/12 85 | PURCHASED | 8/14/2012 | 6/26/2012 |

ROY E. CRUMMER FOUNDATION

88-6004422

STATEMENT 11 (CONTINUED)
 FORM 990-PF, PART IV, LINE 1
 CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| ITEM | (A) DESCRIPTION | (B) HOW ACQUIRED | (C) DATE ACQUIRED | (D) DATE SOLD |
|------|-----------------------------|------------------|-------------------|---------------|
| 57 | 94 CALL CLR 08/18/12 85 | PURCHASED | 8/20/2012 | 7/19/2012 |
| 58 | 25 CALL DD 08/18/12 52.50 | PURCHASED | 8/20/2012 | 6/28/2012 |
| 59 | 96 CALL DD 06/16/12 55 | PURCHASED | 5/23/2012 | 4/24/2012 |
| 60 | 1 CALL DE 12/22/12 87.50 | PURCHASED | 12/06/2012 | 12/04/2012 |
| 61 | 40 CALL DE 12/22/12 87.50 | PURCHASED | 12/24/2012 | 12/04/2012 |
| 62 | 52 CALL WFC 04/21/12 37 | PURCHASED | 4/23/2012 | 3/19/2012 |
| 63 | 53 CALL GLD 11/17/12 171 | PURCHASED | 11/19/2012 | 11/12/2012 |
| 64 | 46 CALL CAT 07/12/12 87.50 | PURCHASED | 6/29/2012 | 6/25/2012 |
| 65 | 10 CALL GLD 06/16/12 154 | PURCHASED | 6/13/2012 | 5/30/2012 |
| 66 | 39 CALL GLD 06/16/12 154 | PURCHASED | 6/13/2012 | 5/31/2012 |
| 67 | 12 CALL AAPL 12/22/12 545 | PURCHASED | 12/24/2012 | 12/14/2012 |
| 68 | 12 CALL AAPL 12/22/12 575 | PURCHASED | 12/14/2012 | 12/13/2012 |
| 69 | 1 CALL AAPL 12/22/12 630 | PURCHASED | 12/04/2012 | 11/19/2012 |
| 70 | 12 CALL AAPL 12/22/12 630 | PURCHASED | 12/13/2012 | 11/19/2012 |
| 71 | 92 CALL CLR 10/20/12 85 | PURCHASED | 10/22/2012 | 9/28/2012 |
| 72 | 33 CALL CLR 11/17/12 80 | PURCHASED | 11/19/2012 | 11/05/2012 |
| 73 | 59 CALL CLR 11/17/12 80 | PURCHASED | 11/19/2012 | 11/06/2012 |
| 74 | 1 CALL DE 11/17/12 90 | PURCHASED | 11/19/2012 | 10/23/2012 |
| 75 | 1 CALL CAT 12/22/12 90 | PURCHASED | 12/06/2012 | 12/05/2012 |
| 76 | 38 CALL CAT 12/22/12 90 | PURCHASED | 12/24/2012 | 12/05/2012 |
| 77 | 19 CALL DD 12/22/12 46 | PURCHASED | 12/24/2012 | 12/12/2012 |
| 78 | 55 CALL DD 04/21/12 55 | PURCHASED | 2/29/2012 | 2/22/2012 |
| 79 | 96 CALL DD 04/21/12 55 | PURCHASED | 4/23/2012 | 2/22/2012 |
| 80 | 81 CALL SLV 04/21/12 35 | PURCHASED | 4/23/2012 | 3/21/2012 |
| 81 | 1 CALL HAL 12/22/12 36 | PURCHASED | 12/06/2012 | 11/30/2012 |
| 82 | 3 CALL HAL 12/22/12 36 | PURCHASED | 12/04/2012 | 11/30/2012 |
| 83 | 136 CALL HAL 12/22/12 36 | PURCHASED | 12/24/2012 | 11/30/2012 |
| 84 | 69 CALL BRKB 06/16/12 82.50 | PURCHASED | 6/18/2012 | 5/24/2012 |
| 85 | 94 CALL CLR 06/16/12 80 | PURCHASED | 6/18/2012 | 5/23/2012 |
| 86 | 37 CALL GLD 02/18/12 172 | PURCHASED | 2/21/2012 | 2/09/2012 |
| 87 | 37 CALL GLD 02/18/12 178 | PURCHASED | 2/09/2012 | 2/03/2012 |
| 88 | 81 CALL SLV 02/18/12 36 | PURCHASED | 2/21/2012 | 2/03/2012 |
| 89 | 13 CALL AAPL 02/18/12 555 | PURCHASED | 2/21/2012 | 2/15/2012 |
| 90 | 15 CALL EOG 07/21/12 105 | PURCHASED | 7/23/2012 | 6/19/2012 |
| 91 | 38 CALL GDV 02/18/12 58 | PURCHASED | 2/21/2012 | 2/09/2012 |
| 92 | 49 CALL GLD 04/21/12 172 | PURCHASED | 4/23/2012 | 3/19/2012 |
| 93 | 49 CALL GLD 05/19/12 166 | PURCHASED | 5/16/2012 | 5/01/2012 |
| 94 | 13 CALL AAPL 04/21/12 650 | PURCHASED | 4/23/2012 | 3/16/2012 |
| 95 | 231 CALL WFC 10/20/12 35 | PURCHASED | 8/16/2012 | 5/17/2012 |
| 96 | 228 CALL WFC 10/20/12 37 | PURCHASED | 10/22/2012 | 10/09/2012 |
| 97 | 10 CALL GLD 08/18/12 150 | PURCHASED | 5/30/2012 | 5/16/2012 |
| 98 | 39 CALL GLD 08/18/12 150 | PURCHASED | 5/31/2012 | 5/16/2012 |
| 99 | 49 CALL GLD 08/18/12 160 | PURCHASED | 8/20/2012 | 6/13/2012 |
| 100 | 165 CALL HAL 06/16/12 32 | PURCHASED | 6/18/2012 | 5/23/2012 |
| 101 | 134 CALL OIH 06/16/12 40 | PURCHASED | 6/13/2012 | 5/29/2012 |
| 102 | 36 CALL DE 01/12/12 85 | PURCHASED | 1/13/2012 | 11/23/2011 |
| 103 | 9 CALL SLV 12/22/12 34 | PURCHASED | 12/24/2012 | 11/20/2012 |
| 104 | 1 CALL WFC 12/22/12 35 | PURCHASED | 12/06/2012 | 11/20/2012 |
| 105 | 2 CALL WFC 12/22/12 35 | PURCHASED | 11/26/2012 | 11/20/2012 |
| 106 | 4 CALL WFC 12/22/12 35 | PURCHASED | 11/30/2012 | 11/20/2012 |
| 107 | 6 CALL WFC 12/22/12 35 | PURCHASED | 12/04/2012 | 11/20/2012 |
| 108 | 215 CALL WFC 12/22/12 35 | PURCHASED | 12/24/2012 | 11/20/2012 |
| 109 | 49 CALL GLD 03/17/12 176 | PURCHASED | 3/19/2012 | 3/01/2012 |
| 110 | 12 CALL GLD 03/17/12 180 | PURCHASED | 2/28/2012 | 2/24/2012 |
| 111 | 25 CALL GLD 03/17/12 180 | PURCHASED | 2/29/2012 | 2/24/2012 |
| 112 | 25 SHS APPLE INC | PURCHASED | 8/08/2011 | 12/04/2012 |

ROY E. CRUMMER FOUNDATION

88-6004422

STATEMENT 11 (CONTINUED)
 FORM 990-PF, PART IV, LINE 1
 CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| ITEM | (A) DESCRIPTION | (B) HOW ACQUIRED | (C) DATE ACQUIRED | (D) DATE SOLD |
|------|--|------------------|-------------------|---------------|
| 113 | 10 SHS APPLE INC | PURCHASED | 8/08/2011 | 12/06/2012 |
| 114 | 55 SHS BERKSHIRE HATHAWAY INC DEL | PURCHASED | 9/16/2011 | 11/26/2012 |
| 115 | 150 SHS BERKSHIRE HATHAWAY INC DEL | PURCHASED | 9/16/2011 | 12/04/2012 |
| 116 | 50 SHS BERKSHIRE HATHAWAY INC DEL | PURCHASED | 9/16/2011 | 12/06/2012 |
| 117 | 50 SHS BERKSHIRE HATHAWAY INC DEL | PURCHASED | 9/16/2011 | 12/10/2012 |
| 118 | 200 SHS CONTINENTAL RESOURCES INC | PURCHASED | 6/13/2011 | 9/26/2012 |
| 119 | 100 SHS CONTINENTAL RESOURCES INC | PURCHASED | 6/13/2011 | 11/26/2012 |
| 120 | 200 SHS CONTINENTAL RESOURCES INC | PURCHASED | 6/13/2011 | 12/04/2012 |
| 121 | 50 SHS CONTINENTAL RESOURCES INC | PURCHASED | 6/13/2011 | 12/06/2012 |
| 122 | 50 SHS CONTINENTAL RESOURCES INC | PURCHASED | 6/13/2011 | 12/10/2012 |
| 123 | 100 SHS DEER & CO | PURCHASED | 9/28/2011 | 11/26/2012 |
| 124 | 100 SHS DEER & CO | PURCHASED | 9/28/2011 | 12/04/2012 |
| 125 | 25 SHS DEER & CO | PURCHASED | 9/28/2011 | 12/06/2012 |
| 126 | 75 SHS DEER & CO | PURCHASED | 9/28/2011 | 12/10/2012 |
| 127 | 100 SHS HALLIBURTON CO | PURCHASED | 6/13/2011 | 9/26/2012 |
| 128 | 1445 SHS HALLIBURTON CO | PURCHASED | 6/13/2011 | 11/08/2012 |
| 129 | 155 SHS HALLIBURTON CO | PURCHASED | 6/13/2011 | 11/26/2012 |
| 130 | 800 SHS HALLIBURTON CO | PURCHASED | 6/13/2011 | 11/29/2012 |
| 131 | 300 SHS HALLIBURTON CO | PURCHASED | 6/13/2011 | 12/04/2012 |
| 132 | 100 SHS HALLIBURTON CO | PURCHASED | 6/13/2011 | 12/06/2012 |
| 133 | 3800 SHS MARKET VECTORS ETF TRUST | PURCHASED | 6/13/2011 | 6/15/2012 |
| 134 | 50 SHS SPDR GOLD TR | PURCHASED | 6/23/2011 | 11/26/2012 |
| 135 | 150 SHS SPDR GOLD TR | PURCHASED | 6/23/2011 | 12/04/2012 |
| 136 | 25 SHS SPDR GOLD TR | PURCHASED | 6/23/2011 | 12/06/2012 |
| 137 | 583.77100 SHS VAN ECK FDS INTL INVS FD A | PURCHASED | 6/16/2011 | 12/06/2012 |
| 138 | 300 SHS WELLS FARGO & CO | PURCHASED | 6/13/2011 | 9/26/2012 |
| 139 | 200 SHS WELLS FARGO & CO | PURCHASED | 6/13/2011 | 11/26/2012 |
| 140 | 600 SHS WELLS FARGO & CO | PURCHASED | 6/13/2011 | 11/29/2012 |
| 141 | 400 SHS WELLS FARGO & CO | PURCHASED | 6/13/2011 | 12/04/2012 |
| 142 | 100 SHS WELLS FARGO & CO | PURCHASED | 6/13/2011 | 12/06/2012 |
| 143 | 7000 SHS W&T OFFSHORE INC | PURCHASED | 6/13/2011 | 11/21/2012 |

| ITEM | (E) GROSS SALES | (F) DEPREC. ALLOWED | (G) COST BASIS | (H) GAIN (LOSS) | (I) FMV 12/31/69 | (J) ADJ. BAS. 12/31/69 | (K) EXCESS (I) - (J) | (L) GAIN (LOSS) |
|------|-----------------|---------------------|----------------|-----------------|------------------|------------------------|----------------------|-----------------|
| 1 | 14,855. | | 12,798. | 2,057. | | | | \$ 2,057. |
| 2 | 2,246. | | 2,167. | 79. | | | | 79. |
| 3 | 32,448. | | 34,234. | -1,786. | | | | -1,786. |
| 4 | 3,384. | | 3,467. | -83. | | | | -83. |
| 5 | 17,192. | | 17,334. | -142. | | | | -142. |
| 6 | 8,465. | | 8,667. | -202. | | | | -202. |
| 7 | 2,148. | | 2,167. | -19. | | | | -19. |
| 8 | 5,057. | | 4,876. | 181. | | | | 181. |
| 9 | 1,721. | | 1,581. | 140. | | | | 140. |
| 10 | 1,709. | | 1,377. | 332. | | | | 332. |
| 11 | 1,906. | | 1,722. | 184. | | | | 184. |
| 12 | 61,135. | | 55,953. | 5,182. | | | | 5,182. |
| 13 | 219,067. | | 201,034. | 18,033. | | | | 18,033. |
| 14 | 58,234. | | 49,905. | 8,329. | | | | 8,329. |
| 15 | 425,785. | | 394,821. | 30,964. | | | | 30,964. |
| 16 | 23,747. | | 27,381. | -3,634. | | | | -3,634. |
| 17 | 2,132. | | 2,512. | -380. | | | | -380. |
| 18 | 2,143. | | 2,512. | -369. | | | | -369. |
| 19 | 2,770. | | 2,393. | 377. | | | | 377. |
| 20 | 158,628. | | 143,580. | 15,048. | | | | 15,048. |

ROY E. CRUMMER FOUNDATION

88-6004422

STATEMENT 11 (CONTINUED)
 FORM 990-PF, PART IV, LINE 1
 CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| ITEM | (E) GROSS SALES | (F) DEPREC. ALLOWED | (G) COST BASIS | (H) GAIN (LOSS) | (I) FMV 12/31/69 | (J) ADJ. BAS. 12/31/69 | (K) EXCESS (I) - (J) | (L) GAIN (LOSS) |
|------|-----------------------|---------------------------|----------------------|-----------------------|------------------------|------------------------------|----------------------------|-----------------------|
| 21 | 36,910. | | 40,955. | -4,045. | | | | \$ -4,045. |
| 22 | 1,599. | | 1,733. | -134. | | | | -134. |
| 23 | 8,589. | | 10,131. | -1,542. | | | | -1,542. |
| 24 | 225,472. | | 266,617. | -41,145. | | | | -41,145. |
| 25 | 3,130. | | 3,153. | -23. | | | | -23. |
| 26 | 224,816. | | 265,602. | -40,786. | | | | -40,786. |
| 27 | 247,456. | | 290,904. | -43,448. | | | | -43,448. |
| 28 | 2,925. | | 2,829. | 96. | | | | 96. |
| 29 | 2,158. | | 2,232. | -74. | | | | -74. |
| 30 | 863. | | 597. | 266. | | | | 266. |
| 31 | 3,900. | | 0. | 3,900. | | | | 3,900. |
| 32 | 2,474. | | 1,703. | 771. | | | | 771. |
| 33 | 6,965. | | 0. | 6,965. | | | | 6,965. |
| 34 | 9,631. | | 0. | 9,631. | | | | 9,631. |
| 35 | 1,673. | | 1,121. | 552. | | | | 552. |
| 36 | 1,085. | | 0. | 1,085. | | | | 1,085. |
| 37 | 85. | | 41. | 44. | | | | 44. |
| 38 | 41. | | 6. | 35. | | | | 35. |
| 39 | 41. | | 9. | 32. | | | | 32. |
| 40 | 2,107. | | 105. | 2,002. | | | | 2,002. |
| 41 | 782. | | 0. | 782. | | | | 782. |
| 42 | 476. | | 0. | 476. | | | | 476. |
| 43 | 1,300. | | 0. | 1,300. | | | | 1,300. |
| 44 | 232. | | 0. | 232. | | | | 232. |
| 45 | 2,460. | | 0. | 2,460. | | | | 2,460. |
| 46 | 290. | | 7. | 283. | | | | 283. |
| 47 | 3,480. | | 121. | 3,359. | | | | 3,359. |
| 48 | 62. | | 40. | 22. | | | | 22. |
| 49 | 62. | | 70. | -8. | | | | -8. |
| 50 | 123. | | 110. | 13. | | | | 13. |
| 51 | 5,417. | | 0. | 5,417. | | | | 5,417. |
| 52 | 10,102. | | 5,844. | 4,258. | | | | 4,258. |
| 53 | 9,402. | | 6,445. | 2,957. | | | | 2,957. |
| 54 | 2,231. | | 0. | 2,231. | | | | 2,231. |
| 55 | 1,035. | | 1,116. | -81. | | | | -81. |
| 56 | 2,114. | | 2,384. | -270. | | | | -270. |
| 57 | 6,107. | | 0. | 6,107. | | | | 6,107. |
| 58 | 786. | | 0. | 786. | | | | 786. |
| 59 | 3,805. | | 194. | 3,611. | | | | 3,611. |
| 60 | 20. | | 29. | -9. | | | | -9. |
| 61 | 787. | | 0. | 787. | | | | 787. |
| 62 | 1,039. | | 0. | 1,039. | | | | 1,039. |
| 63 | 1,037. | | 0. | 1,037. | | | | 1,037. |
| 64 | 3,625. | | 3,853. | -228. | | | | -228. |
| 65 | 1,105. | | 3,093. | -1,988. | | | | -1,988. |
| 66 | 5,390. | | 12,061. | -6,671. | | | | -6,671. |
| 67 | 2,799. | | 0. | 2,799. | | | | 2,799. |
| 68 | 1,236. | | 661. | 575. | | | | 575. |
| 69 | 262. | | 187. | 75. | | | | 75. |
| 70 | 3,143. | | 155. | 2,988. | | | | 2,988. |
| 71 | 3,677. | | 0. | 3,677. | | | | 3,677. |
| 72 | 2,149. | | 0. | 2,149. | | | | 2,149. |
| 73 | 3,834. | | 0. | 3,834. | | | | 3,834. |

ROY E. CRUMMER FOUNDATION

88-6004422

STATEMENT 11 (CONTINUED)
 FORM 990-PF, PART IV, LINE 1
 CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| ITEM | (E) GROSS SALES | (F) DEPREC. ALLOWED | (G) COST BASIS | (H) GAIN (LOSS) | (I) FMV 12/31/69 | (J) ADJ. BAS. 12/31/69 | (K) EXCESS (I) - (J) | (L) GAIN (LOSS) |
|------|-----------------------|---------------------------|----------------------|-----------------------|------------------------|------------------------------|----------------------------|-----------------------|
| 74 | 36. | | 0. | 36. | | | \$ | 36. |
| 75 | 29. | | 27. | 2. | | | | 2. |
| 76 | 1,101. | | 0. | 1,101. | | | | 1,101. |
| 77 | 132. | | 0. | 132. | | | | 132. |
| 78 | 2,251. | | 996. | 1,255. | | | | 1,255. |
| 79 | 3,929. | | 0. | 3,929. | | | | 3,929. |
| 80 | 1,213. | | 0. | 1,213. | | | | 1,213. |
| 81 | 7. | | 6. | 1. | | | | 1. |
| 82 | 21. | | 21. | 0. | | | | 0. |
| 83 | 948. | | 0. | 948. | | | | 948. |
| 84 | 1,982. | | 0. | 1,982. | | | | 1,982. |
| 85 | 8,285. | | 0. | 8,285. | | | | 8,285. |
| 86 | 1,664. | | 0. | 1,664. | | | | 1,664. |
| 87 | 1,042. | | 371. | 671. | | | | 671. |
| 88 | 960. | | 0. | 960. | | | | 960. |
| 89 | 652. | | 0. | 652. | | | | 652. |
| 90 | 1,072. | | 0. | 1,072. | | | | 1,072. |
| 91 | 826. | | 0. | 826. | | | | 826. |
| 92 | 1,863. | | 0. | 1,863. | | | | 1,863. |
| 93 | 2,449. | | 123. | 2,326. | | | | 2,326. |
| 94 | 10,984. | | 0. | 10,984. | | | | 10,984. |
| 95 | 26,286. | | 21,060. | 5,226. | | | | 5,226. |
| 96 | 2,410. | | 0. | 2,410. | | | | 2,410. |
| 97 | 5,400. | | 6,105. | -705. | | | | -705. |
| 98 | 21,059. | | 25,867. | -4,808. | | | | -4,808. |
| 99 | 22,865. | | 0. | 22,865. | | | | 22,865. |
| 100 | 7,648. | | 0. | 7,648. | | | | 7,648. |
| 101 | 2,007. | | 673. | 1,334. | | | | 1,334. |
| 102 | 2,782. | | 2,634. | 148. | | | | 148. |
| 103 | 162. | | 0. | 162. | | | | 162. |
| 104 | 11. | | 4. | 7. | | | | 7. |
| 105 | 21. | | 10. | 11. | | | | 11. |
| 106 | 42. | | 16. | 26. | | | | 26. |
| 107 | 64. | | 19. | 45. | | | | 45. |
| 108 | 2,281. | | 0. | 2,281. | | | | 2,281. |
| 109 | 1,248. | | 0. | 1,248. | | | | 1,248. |
| 110 | 815. | | 960. | -145. | | | | -145. |
| 111 | 1,698. | | 1,723. | -25. | | | | -25. |
| 112 | 14,432. | | 9,141. | 5,291. | | | | 5,291. |
| 113 | 5,481. | | 3,657. | 1,824. | | | | 1,824. |
| 114 | 4,850. | | 3,905. | 945. | | | | 945. |
| 115 | 13,177. | | 10,651. | 2,526. | | | | 2,526. |
| 116 | 4,382. | | 3,550. | 832. | | | | 832. |
| 117 | 4,354. | | 3,550. | 804. | | | | 804. |
| 118 | 14,779. | | 11,759. | 3,020. | | | | 3,020. |
| 119 | 6,959. | | 5,880. | 1,079. | | | | 1,079. |
| 120 | 14,380. | | 11,759. | 2,621. | | | | 2,621. |
| 121 | 3,707. | | 2,940. | 767. | | | | 767. |
| 122 | 3,692. | | 2,940. | 752. | | | | 752. |
| 123 | 8,439. | | 6,887. | 1,552. | | | | 1,552. |
| 124 | 8,363. | | 6,887. | 1,476. | | | | 1,476. |
| 125 | 2,112. | | 1,722. | 390. | | | | 390. |
| 126 | 6,429. | | 5,165. | 1,264. | | | | 1,264. |

ROY E. CRUMMER FOUNDATION

88-6004422

STATEMENT 11 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| ITEM | (E) GROSS SALES | (F) DEPREC. ALLOWED | (G) COST BASIS | (H) GAIN (LOSS) | (I) FMV 12/31/69 | (J) ADJ. BAS. 12/31/69 | (K) EXCESS (I) - (J) | (L) GAIN (LOSS) |
|------|-----------------------|---------------------------|----------------------|-----------------------|------------------------|------------------------------|----------------------------|-----------------------|
| 127 | 3,421. | | 4,645. | -1,224. | | | | \$ -1,224. |
| 128 | 44,130. | | 67,114. | -22,984. | | | | -22,984. |
| 129 | 4,957. | | 7,199. | -2,242. | | | | -2,242. |
| 130 | 26,407. | | 37,156. | -10,749. | | | | -10,749. |
| 131 | 10,026. | | 13,934. | -3,908. | | | | -3,908. |
| 132 | 3,342. | | 4,645. | -1,303. | | | | -1,303. |
| 133 | 170,407. | | 199,669. | -29,262. | | | | -29,262. |
| 134 | 8,470. | | 7,401. | 1,069. | | | | 1,069. |
| 135 | 24,661. | | 22,202. | 2,459. | | | | 2,459. |
| 136 | 4,119. | | 3,700. | 419. | | | | 419. |
| 137 | 10,000. | | 12,353. | -2,353. | | | | -2,353. |
| 138 | 10,329. | | 8,041. | 2,288. | | | | 2,288. |
| 139 | 6,564. | | 5,360. | 1,204. | | | | 1,204. |
| 140 | 19,920. | | 16,081. | 3,839. | | | | 3,839. |
| 141 | 13,025. | | 10,721. | 2,304. | | | | 2,304. |
| 142 | 3,320. | | 2,680. | 640. | | | | 640. |
| 143 | 114,382. | | 156,256. | -41,874. | | | | -41,874. |
| | | | | | | | TOTAL | \$ <u>13,568.</u> |

STATEMENT 12
FORM 990-PF, PART VIII, LINE 1
LIST OF OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

| NAME AND ADDRESS | TITLE AND AVERAGE HOURS PER WEEK DEVOTED | COMPEN- SATION | CONTRI- BUTION TO EBP & DC | EXPENSE ACCOUNT/ OTHER |
|---|--|-------------------|----------------------------------|------------------------------|
| ROY E. CRUMMER III 567 SAN NICOLAS DRIVE, #410B NEWPORT BEACH, CA 92660 | PRES/TRUSTEE 4.00 | \$ 0. | \$ 0. | \$ 0. |
| DONN J. CRUMMER 567 SAN NICHOLAS DRIVE, #410B NEWPORT BEACH, CA 92660 | VP/TRUSTEE 2.00 | | 0. | 0. |
| MARGARITE BROWN 567 SAN NICHOLAS DRIVE, #410B NEWPORT BEACH, CA 92660 | SEC/TREAS/TRST 32.00 | 36,075. | 6,086. | 0. |
| KEITH R. CRUMMER 567 SAN NICHOLAS DRIVE, #410B NEWPORT BEACH, CA 92660 | TRUSTEE 2.00 | | 0. | 0. |
| IAN F. GOW 567 SAN NICHOLAS DRIVE, # 410B NEWPORT BEACH, CA 92660 | TRUSTEE 2.00 | | 0. | 0. |
| | TOTAL | \$ <u>36,075.</u> | \$ <u>6,086.</u> | \$ <u>0.</u> |

ROY E. CRUMMER FOUNDATION

88-6004422

STATEMENT 13
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:

NAME:

CARE OF:

STREET ADDRESS:

CITY, STATE, ZIP CODE:

TELEPHONE:

E-MAIL ADDRESS:

FORM AND CONTENT:

SUBMISSION DEADLINES:

RESTRICTIONS ON AWARDS:

ROY E CRUMMER FOUNDATION

MARGE BROWN

567 SAN NICOLAS DRIVE, SUITE 410B

NEWPORT BEACH, CA 92660

(949) 644-4702

IN WRITTEN FORM DESCRIBE ORGANIZATION AND PURPOSE.

PROVIDE IRS FEDERAL TAX IDENTIFICATION NUMBER AND IRS

APPROVAL LETTER OF YOUR ORGANIZATION'S TAX FREE STATUS.

BEFORE OCTOBER 31 OF EACH YEAR

NONE

ROY E. CRUMMER FOUNDATION

88-6004422

STATEMENT NO. 14
FORM 990PF, PAGE 1, LINE 3

INTEREST INCOME:
WELLS FARGO BANK \$ 3
=====

STATEMENT NO. 15
FORM 990PF, PAGE 1, LINE 4

INTEREST INCOME FROM SECURITIES:

| | | |
|---|----|-------|
| CORPORATION BONDS | \$ | 0 |
| U.S GOVERNMENT OBLIGATIONS | | 0 |
| CERTIFICATES OF DEPOSIT | | 0 |
| CORPORATION BONDS, NET OF INTEREST PAID | | 0 |
| PARTNERSHIPS | | 0 |
| | | ----- |
| GROSS INTEREST | \$ | 0 |
| BOND PREMIUM AMORTIZATION | (| 0) |
| | | ----- |
| NET INTEREST | \$ | 0 |
| | | ----- |

DIVIDENDS:

| | | |
|---------------------------------|----|--------|
| EQUITIES/OPTIONS | \$ | 69,364 |
| PREFERRED EQUITIES | | 0 |
| EQUITIES - THROUGH MUTUAL FUNDS | | 2,381 |
| MONEY MARKET FUNDS | | 49 |
| | | ----- |
| TOTAL DIVIDENDS | \$ | 71,794 |
| | | ----- |

OTHER INCOME

| | | |
|-------------------------------------|----|--------|
| PAYMENT IN LIEU OF DIVIDEND | | 0 |
| WIDELY HELD FIXED INVESTMENT INCOME | | 0 |
| | | ----- |
| | | 0 |
| | | ----- |
| TOTAL INTEREST & DIVIDEND INCOME | \$ | 71,794 |
| | | ===== |

**ROY E. CRUMMER FOUNDATION
2012 DONATIONS
STATEMENT 16**

| <u>Name of Charitable Organization:</u> | <u>Donation Amount</u> |
|---|----------------------------|
| American Red Cross | 10,000 |
| A Place Called Home, 95-4427291 | 1,000 |
| ARC of Southeast Los Angeles | 1,000 |
| Best Friends Animal Sanctuary | 2,000 |
| Beyond Shelter | 1,000 |
| Blind Children Learning Center of OC | 1,000 |
| California Academy Foundation (MAD) | 10,750 |
| Camp Pendleton Armed Services YMCA | 1,000 |
| Casa (Court Appointed Special Advocates) 33-0662734, Pg 749 | 1,000 |
| Cate - Carpinteria, CA., Pg 461 | 1,000 |
| Channel 5 Public Broadcasting Inc., Pg. 511 | 1,000 |
| Channel Island YMCA Santa Barbara, Pg. 510 | 500 |
| Charitable Ventures of Orange County | 2,000 |
| Children of the Night, Pg 545 | 2,000 |
| Children's Resource Network of the Central Coast | 2,000 |
| Chrysalis Center, 95-9348036, Pg 588 | 2,000 |
| Coachella Valley Rescue Mission, Pg 636 | 1,000 |
| Colette's Children's Home Inc. | 1,000 |
| Committee On The Shelterless | 1,000 |
| Community Seniorserv Inc. | 2,000 |
| Desert Samaritans for the Elderly (formerly Desert Good Samaritans) Pg. 828 | 1,000 |
| Disabled America Veterans, 31-0263158, Pg 842 | 10,000 |
| Doctors Without Borders Pg 849 | 5,000 |
| Dream Center, 951803686 | 2,000 |
| Dream Foundation, Pg 867 | 1,000 |
| Earth Island Institute 94-2889684 | 1,000 |
| Fisher House Foundation Inc., 113158401 | 5,000 |
| Foodbank of Santa Barbara County, 77-0169214, Pg 1074 | 1,000 |
| Friends of the Library of Santa Ynez Valley Inc., 31-1693191, Pg 1162 | 1,000 |
| Fullerton Interfaith Emergency Service | 2,000 |
| Galilee Center Inc. | 2,000 |
| Ganna Walska Lotusland Foundation, 23-7082550, Pg 1197 | 2,000 |
| Goodwill Industries of So. CA. Los Angeles | 1,000 |
| Guide Dogs of America (International Guiding Eyes Inc. DBA) Pg 1567 | 500 |
| Happy Ending Animal Rescue Sanctuary | 2,000 |
| Heal The Ocean (Jean-Michael Cousteau Institute), 77-0565183, Pg. 1382 | 8,250 |
| Herobox Inc - 26-3985630 | 1,000 |
| Hollywood Police Activities League | 2,000 |
| Humane Society of the Desert (Orphan Pet Oasis), Pg. 1497, 95-2122004 | 1,000 |
| Injured Marine Semper FI Fund, 260086305 | 5,000 |
| Intrepid Fallen Heroes Fund | 5,000 |
| Iris Ministries | 2,000 |
| KCET TV | 1,000 |
| KOCE TV Foundation, Pg. 1736 | 1,000 |
| L.A. Goal | 2,000 |
| Linc Housing Corporation | 1,000 |
| Little Star Pony Foundation | 1,000 |

ROY E. CRUMMER FOUNDATION
2012 DONATIONS
STATEMENT 16

| <u>Name of Charitable Organization:</u> | <u>Donation Amount</u> |
|--|----------------------------|
| Living Desert, Pg. 1850 | 5,000 |
| Living Desert Adoption, Pg. 1850 | 1,000 |
| Livingston Memorial Visiting Nurse Association, Pg 1856 | 1,000 |
| Lobero Theatre Foundation, 95-1831068 | 500 |
| Long Beach BLAST (Better Learning for all Students Today) | 1,000 |
| Los Angeles Child Development Center, 95-3222295 | 1,000 |
| Los Angeles Conservation Corp., 95-4002138, Pg 1872 | 2,000 |
| Los Angeles Mission, Pg. 1873 | 7,000 |
| Los Angeles Society for the Prevention for Cruelty to Animals, 95-1738153 | 1,000 |
| Los Angeles Times Summer Camp Fund, 36-3689171, Pg. 1873 | 1,500 |
| Los Angeles Times Holiday Campaign | 1,000 |
| Malama Pono-Kauai Aids Project, 99-0260914, Pg. 1916 | 1,000 |
| Martha's Villiage & Kitchen - 33-0777892, 501(c) 3, Pg 1946 | 1,000 |
| Mental Wellness Health Associates | 1,000 |
| Midnight Mission, 95-1691293, Pg. 2035 | 7,000 |
| Military Women in Need | 2,000 |
| Nature Conservancy, Pg. 2174 | 1,000 |
| North County Community Services | 1,000 |
| Ocean Park Community Center, 95-6143865, Pg. 2317 | 3,000 |
| Pacific Marine Mammal Center formerly Friends of the Sea Lion | 1,000 |
| Para Los Ninos, 95-3443276, Pg.2396 | 2,000 |
| PATH (People Assisting the Homeless) 95-3950196, Pg. 2441 | 10,000 |
| Planned Parenthood Los Angeles, 952408623 | 5,000 |
| Prison Scholarship Ministries, 107147942 | 2,000 |
| Prismo Boxing Club, Inc | 1,000 |
| Project Angel Food, 95-4115863, Pg 2530 | 3,000 |
| Project Dignity | 1,000 |
| PUENTA Learning Center, Pg. 2545 | 1,000 |
| Rebuilding Together, 95-4315712, Pg 2579 | 1,000 |
| Redwings Horse Sanctuary (Horsepower Sanctuaries Inc.) 77-0269641, Pg 1472 | 500 |
| Rescue Mission, 23-7278002, Pg 2600 | 1,000 |
| Rollins College, Pg. 2660 | 5,000 |
| Saban Free Clinic formerly L.A. Free Clinic | 3,000 |
| Saint Sophia Cathedral (Camp Zoe) | 1,000 |
| Salvation Army, No. Las Vegas Residence, Inc. - Clark County | 1,000 |
| Santa Barbara Baseball Parents Association | 2,000 |
| Santa Barbara Botanical Gardens | 5,000 |
| Santa Barbara Foresters (Cubs & Hugs) 77-0457f270 | 1,000 |
| Santa Barbara High School Education Foundation | 2,000 |
| Santa Barbara Humane Society | 1,000 |
| Santa Barbara Maritime Museum | 1,000 |
| Santa Barbara Montessori School | 1,000 |
| Santa Barbara Museum of Natural History, Pg 2728 | 2,000 |
| Santa Barbaraf Unified School District | 500 |
| Santa Ynez Valley Hospital, Inc., 95-3308522, Pg 2731 | 8,000 |
| Santa Ynez Valley Humane Society, 95-3389449, Pg 2731 | 2,000 |
| Share Our Selves, 95-3222316, Pg 2786 | 1,000 |
| Shelter from the Storm, Inc., Pg 2793 | 1,000 |

**ROY E. CRUMMER FOUNDATION
2012 DONATIONS
STATEMENT 16**

| <u>Name of Charitable Organization:</u> | <u>Donation Amount</u> |
|---|----------------------------|
| S.L.E. Lupus Foundation, 33-3060086 | 3,000 |
| Smile Train, 133661416 | 4,000 |
| St Jude Children's Research Hospital | 2,000 |
| Surfrider Foundation, Pg. 2984 | 1,000 |
| Susan G. Komen Breast Cancer Fdn. (Race for the Cure) 33-0487943, Pg. 2985 | 2,000 |
| Teach for America, 13-3541913 | 3,000 |
| Teddy Bear Cancer Foundation | 1,000 |
| The Friendship Circle | 500 |
| Toberman Neighborhood Center | 1,000 |
| Turtle Bay Exploration Park, 68-0236299 | 500 |
| Union Rescue Mission, 95-1709293, Pg. 3127 | 7,000 |
| United Cerebral Palsy | 1,000 |
| Upward Bound House, 754288926 | 1,000 |
| Urban Community Outreach | 1,000 |
| Valley Village | 1,000 |
| Venice Family Clinic, 95-2769432, Pg. 3219 | 12,000 |
| Volunteers of America, 95-1691330 | 2,000 |
| Western Center on Law and Proverty, Inc., Pg 754 | 1,000 |
| Western German Shephard Rescue | 10,000 |
| Whirlwind Wheelchair International (San Francisco State Univ. Fdn) Pg. 2722 | 1,000 |
| Wilcox Memorial Hospital, Lihue, 99-0204242, Pg 3315 | 500 |
| William H. Parker Foundation, K-9 Equipment Fund, 95-2567889, Pg 2405 | 1,500 |
| World Impact Inc | 1,000 |
| World Vision, Pg. 3376 | 3,000 |
| World Wildlife Fund, Pg. 3377 | 1,000 |
| Wounded Warrior Project Mission, 13-5612621 | 15,000 |
| Young America's Foundation - West Coast Branch, 23-7042029, PG 3395 | 2,000 |
| YMCA - Santa Monica, 95-1643380 | 1,000 |
| YWCA - Santa Monica, 95-1643398, Pg. 3411 | 4,000 |
| | |
| Total | \$300,000 ===== |

Pg. from IRS Publication 78

Application for Extension of Time To File an Exempt Organization Return

Department of the Treasury
Internal Revenue Service

▶ **File a separate application for each return.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only

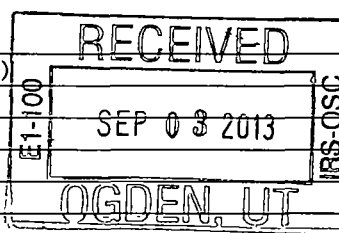
All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

| | | |
|---|---|---|
| Type or print <small>File by the due date for filing your return. See instructions.</small> | Name of exempt organization or other filer, see instructions | Employer identification number (EIN) or |
| | ROY E. CRUMMER FOUNDATION | 88-6004422 |
| | Number, street, and room or suite number. If a P O box, see instructions | Social security number (SSN) |
| | 567 SAN NICOLAS DRIVE 410B | |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions | |
| | NEWPORT BEACH, CA 92660 | |

Enter the Return code for the return that this application is for (file a separate application for each return) **04**

| Application Is For | Return Code | Application Is For | Return Code |
|---|-------------|--------------------------|-------------|
| Form 990 or Form 990-EZ | 01 | Form 990-T (corporation) | 07 |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (section 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |



● The books are in the care of ▶ MARGE BROWN@FOUNDATION OFFICE -----

Telephone No ▶ (949) 644-4702 ----- FAX No. ▶ (949) 640-5249 -----

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 2013, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

- ▶ calendar year 2012 or
- ▶ tax year beginning _____, 20____, and ending _____, 20____

2 If the tax year entered in line 1 is for less than 12 months, check reason Initial return Final return Change in accounting period

| | | |
|--|-----------|-----------|
| 3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 3a | \$ 550. |
| b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | 3b | \$ 3,342. |
| c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | 3c | \$ 0. |

Caution. If you are going to make an electronic fund withdrawal with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.