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Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

OMB No 1545-0052

2012

Department of the Treasury
Internal Revenue Service

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012, or tax year beginning

, 2012, and ending

THE GREENBAUM FOUNDATION
P.O. BOX 9910
RANCHO SANTA FE, CA 92067-4910A Employer identification number
87-6217358B Telephone number (see the instructions)
(858) 759-8835C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐G Check all that apply ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☒ Amended return
☐ Address change ☐ Name changeH Check type of organization ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationI Fair market value of all assets at end of year (from Part II, column (c), line 16)
\$ 28,678,743.
J Accounting method ☒ Cash ☐ Accrual
☐ Other (specify) (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

REVENUE

1 Contributions, gifts, grants, etc. received (att sch)

24,000.

2 Check ☒ if the foundn is not req to att Sch B

3 Interest on savings and temporary cash investments

251,675.

251,675.

251,675.

4 Dividends and interest from securities

419,002.

419,002.

419,002.

5a Gross rents

b Net rental income or (loss)

6a Net gain/(loss) from sale of assets not on line 10

306,490.

b Gross sales price for all assets on line 6a

12,990,080.

7 Capital gain net income (from Part IV, line 2)

306,490.

8 Net short-term capital gain

0.

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit/(loss) (att sch)

11 Other income (attach schedule)

SEE STATEMENT 2

-80,225.

12 Total. Add lines 1 through 11

920,942.

977,167.

670,677.

13 Compensation of officers, directors, trustees, etc

57,500.

57,500.

14 Other employee salaries and wages

13,569.

13,569.

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach sch)

c Other prof fees (attach sch) SEE ST 3

10,800.

10,800.

17 Interest

18 Taxes (attach schedule)(see instrs) SEE STM 4

10,334.

10,334.

19 Depreciation (attach sch) and depletion

20 Occupancy

21 Travel, conferences, and meetings

17,750.

17,750.

22 Printing and publications

23 Other expenses (attach schedule)

SEE STATEMENT 5

7,934.

7,934.

24 Total operating and administrative expenses. Add lines 13 through 23

117,887.

117,887.

25 Contributions, gifts, grants paid PART XV

2,024,071.

26 Total expenses and disbursements. Add lines 24 and 25

2,141,958.

117,887.

0.

2,024,071.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

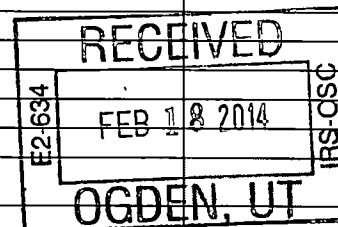
-1,221,016.

b Net investment income (if negative, enter -0-)

859,280.

c Adjusted net income (if negative, enter -0-)

670,677.



614 4

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	1,672,845.	1,176,059.	1,176,059.
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U S and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)	26,395,859.	27,502,684.	27,502,684.
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment basis			
Less accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule)				
14 Land, buildings, and equipment basis				
Less accumulated depreciation (attach schedule)				
15 Other assets (describe SEE STATEMENT 6)		1.		
16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	28,068,704.	28,678,744.	28,678,743.	
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe SEE STATEMENT 7)	422.	422.	
	23 Total liabilities (add lines 17 through 22)	422.	422.	
FUND ASSETS	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	28,068,282.	28,678,322.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	28,068,282.	28,678,322.	
	31 Total liabilities and net assets/fund balances (see instructions)	28,068,704.	28,678,744.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	28,068,282.
2 Enter amount from Part I, line 27a	2	-1,221,016.
3 Other increases not included in line 2 (itemize) SEE STATEMENT 8	3	1,890,366.
4 Add lines 1, 2, and 3	4	28,737,632.
5 Decreases not included in line 2 (itemize) SEE STATEMENT 9	5	59,310.
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	28,678,322.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 10				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	306,490.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	-245,818.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	1,997,893.	29,454,867.	0.067829
2010	2,021,897.	28,246,078.	0.071582
2009	1,992,556.	26,668,794.	0.074715
2008	1,992,985.	32,692,952.	0.060961
2007	1,996,323.	34,210,271.	0.058354

2 Total of line 1, column (d)	2	0.333441
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.066688
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	28,912,354.
5 Multiply line 4 by line 3	5	1,928,107.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	8,593.
7 Add lines 5 and 6	7	1,936,700.
8 Enter qualifying distributions from Part XII, line 4	8	2,024,071.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	8,593.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	8,593.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	8,593.
6 Credits/Payments			
a 2012 estimated tax pmts and 2011 overpayment credited to 2012	6 a	48,301.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	48,301.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	39,708.	
11 Enter the amount of line 10 to be Credited to 2013 estimated tax ☐ Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) CA		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>JAMES R. GREENBAUM, JR.</u> Telephone no <u>(858) 759-8835</u> Located at <u>P.O. BOX 9910 RANCHO SANTA FE CA</u> ZIP + 4 <u>92067-4910</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>	15		N/A
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country <u></u>	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If 'Yes,' list the years <u>20__ , 20__ , 20__ , 20__</u>	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__ , 20__ , 20__ , 20__</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If 'Yes,' did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *Equivalency Determination method used*☐ Yes ☒ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b X

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES R. GREENBAUM, JR. P.O. BOX 9910 RANCHO SANTA FE, CA 92067-4910	TRUSTEE 40.00	0.	0.	0.
LUCIE BERREBY P.O. BOX 9910 RANCHO SANTA FE, CA 92067	BOARD MEMBER 40.00	57,500.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	26,190,245.
b	Average of monthly cash balances	1 b	1,367,982.
c	Fair market value of all other assets (see instructions)	1 c	1,794,417.
d	Total (add lines 1a, b, and c)	1 d	29,352,644.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	29,352,644.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	440,290.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	28,912,354.
6	Minimum investment return. Enter 5% of line 5	6	1,445,618.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,445,618.
2a	Tax on investment income for 2012 from Part VI, line 5	2 a	8,593.
b	Income tax for 2012 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	8,593.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	1,437,025.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	1,437,025.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	1,437,025.

Part XIII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	2,024,071.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the.		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,024,071.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	8,593.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,015,478.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				1,437,025.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			0.	
b Total for prior years. 20__, 20__, 20__		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007	322,929.			
b From 2008	379,227.			
c From 2009	675,464.			
d From 2010	634,859.			
e From 2011	536,126.			
f Total of lines 3a through e	2,548,605.			
4 Qualifying distributions for 2012 from Part XII, line 4 ▶ \$ 2,024,071.				
a Applied to 2011, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2012 distributable amount				1,437,025.
e Remaining amount distributed out of corpus	587,046.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	3,135,651.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	322,929.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	2,812,722.			
10 Analysis of line 9:				
a Excess from 2008	379,227.			
b Excess from 2009	675,464.			
c Excess from 2010	634,859.			
d Excess from 2011	536,126.			
e Excess from 2012	587,046.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHED LIST		PUBLIC	GENERAL FUND	2,024,071.
Total				3 a 2,024,071.
b Approved for future payment				
Total				3 b

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FEDERAL STATEMENTS

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THE GREENBAUM FOUNDATION

87-6217358

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STATEMENT 1
FORM 990-PF
EXPLANATION OF AMENDED RETURN

SEVERAL CONTRIBUTIONS WERE MADE TO FOREIGN CHARITIES. WE HAVE IDENTIFIED THOSE ON THE ATTACHED GREENBAUM FOUNDATION GRANT DETAIL. IN ADDITION, THE GRANTS WERE MADE USING THE "EQUIVALENCY DETERMINATION". ALL RELEVANT DOCUMENTATION IS ON FILE WITH THE FOUNDATION.

STATEMENT 2
FORM 990-PF, PART I, LINE 11
OTHER INCOME

	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
PARTNERSHIP INCOME (LOSS)	\$ -80,225.		
TOTAL	\$ -80,225.	\$ 0.	\$ 0.

STATEMENT 3
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROFESSIONAL FEES	\$ 10,800.	\$ 10,800.		
TOTAL	\$ 10,800.	\$ 10,800.	\$ 0.	\$ 0.

STATEMENT 4
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CALIFORNIA TAX	\$ 20.	\$ 20.		
FOREIGN TAXES	3,843.	3,843.		
PAYROLL TAXES	6,471.	6,471.		
TOTAL	\$ 10,334.	\$ 10,334.	\$ 0.	\$ 0.

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THE GREENBAUM FOUNDATION

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STATEMENT 5
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
DUES & SUBSCRIPTIONS	\$ 3,262.	\$ 3,262.		
OTHER PORTFOLIO EXPENSES	4,180.	4,180.		
PAYROLL EXPENSES	492.	492.		
TOTAL	\$ 7,934.	\$ 7,934.	\$ 0.	\$ 0.

STATEMENT 6
FORM 990-PF, PART II, LINE 15
OTHER ASSETS

	BOOK VALUE	FAIR MARKET VALUE
ROUNDING	\$ 1.	
TOTAL	\$ 1.	\$ 0.

STATEMENT 7
FORM 990-PF, PART II, LINE 22
OTHER LIABILITIES

OTHER LIABILITY	\$ 422.
TOTAL	\$ 422.

STATEMENT 8
FORM 990-PF, PART III, LINE 3
OTHER INCREASES

CHANGE IN UNREALIZED GAINS/LOSSES	\$ 1,882,893.
NON-DIVIDEND DISTRIBUTION	7,473.
TOTAL	\$ 1,890,366.

STATEMENT 9
FORM 990-PF, PART III, LINE 5
OTHER DECREASES

BOOK/TAX DIFFERENCES	\$ 59,310.
TOTAL	\$ 59,310.

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THE GREENBAUM FOUNDATION

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STATEMENT 10
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	SEE STATEMENT LONG-TERM GAIN(LOSS) ACCT #114273	PURCHASED	VARIOUS	6/15/2012
2	SEE STATEMENT SHORT-TERM GAIN(LOSS) ACCT #114273	PURCHASED	VARIOUS	6/15/2012
3	SEE STATEMENT LONG-TERM GAIN(LOSS) ACCT #026607	PURCHASED	VARIOUS	6/15/2012
4	SEE STATEMENT SHORT-TERM GAIN(LOSS) ACCT #026607	PURCHASED	VARIOUS	6/15/2012
5	SEE STATEMENT LONG-TERM GAIN(LOSS) ACCT #022039	PURCHASED	VARIOUS	6/15/2012
6	SEE STATEMENT SHORT-TERM GAIN(LOSS) ACCT #022039	PURCHASED	VARIOUS	6/15/2012
7	1256 CONTRACT LT GAIN/LOSS FROM K-1S	PURCHASED	VARIOUS	6/15/2012
8	1256 CONTRACT ST GAIN/LOSS FROM K-1S	PURCHASED	VARIOUS	6/15/2012
9	LONG-TERM GAIN(LOSS) FROM K-1S	PURCHASED	VARIOUS	6/15/2012
10	SHORT-TERM GAIN(LOSS) FROM K-1S	PURCHASED	VARIOUS	6/15/2012
11	CAPITAL GAIN DISTRIBUTIONS FROM K-1S	PURCHASED	VARIOUS	6/15/2012

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	1035995.		941,932.	94,063.				\$ 94,063.
2	347,669.		536,286.	-188,617.				-188,617.
3	3574172.		2278961.	1295211.				1295211.
4	641,035.		638,569.	2,466.				2,466.
5	479,794.		1123325.	-643,531.				-643,531.
6	6909959.		6855399.	54,560.				54,560.
7	0.		83,263.	-83,263.				-83,263.
8	0.		62,500.	-62,500.				-62,500.
9	0.		111,628.	-111,628.				-111,628.
10	0.		51,727.	-51,727.				-51,727.
11	1,456.		0.	1,456.				1,456.
TOTAL								\$ 306,490.

STATEMENT 11
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM: N/A
NAME:
CARE OF:
STREET ADDRESS:
CITY, STATE, ZIP CODE:
TELEPHONE:
E-MAIL ADDRESS:
FORM AND CONTENT: N/A
SUBMISSION DEADLINES: N/A
RESTRICTIONS ON AWARDS: N/A

CLIENT G7505

THE GREENBAUM FOUNDATION

87-6217358

2/04/14

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**OTHER INCOME PRODUCING ACTIVITIES
INTEREST ON SAVINGS & CASH INVESTMENTS**

INTEREST INCOME	\$	234,397.
OID INTEREST		4,078.
OTHER PERIODIC INTEREST		19,608.
INTEREST RECEIVED ON BOND SALES		16,286.
INTEREST PAID ON BOND PURCHASES		-22,694.
TOTAL	\$	<u>251,675.</u>

**OTHER REVENUE
AMOUNT
PARTNERSHIP INCOME (LOSS)**

ORDINARY INCOME (LOSS) FROM K-1S	\$	-5,319.
OTHER PORTFOLIO INCOME FROM K-1S		31.
ROYALTY INCOME FROM K-1S		5.
OTHER NET RENTAL INCOME FROM K-1S		1.
OTHER DEDUCTIONS FROM K-1S		-74,210.
SEC 59(E) (2) EXPENSES FROM K-1S		-283.
SEC 988 FOR EXCH LOSS FROM K-1S		-52.
SEC 1231 LOSS FROM K-1S		-24.
DEPLETION EXPENSE FROM K-1S		-363.
INTANGIBLE DRILLING COSTS FROM K-1S		-11.
TOTAL	\$	<u>-80,225.</u>

**DISPOSITIONS
COST OR OTHER BASIS (DO NOT REDUCE BY DEPRECIATION)
SHORT-TERM GAIN (LOSS) ACCT #114273**

BASIS PER STATEMENT	\$	320,870.
BASIS RELATED TO FHR STOCK		46,106.
TOTAL	\$	<u>366,976.</u>

**DISPOSITIONS
COST OR OTHER BASIS (DO NOT REDUCE BY DEPRECIATION)
SHORT-TERM GAIN (LOSS) ACCT #026607**

BASIS PER STATEMENT	\$	637,002.
BASIS RELATED TO SPDR GOLD		1,567.
TOTAL	\$	<u>638,569.</u>

**DISPOSITIONS
COST OR OTHER BASIS (DO NOT REDUCE BY DEPRECIATION)
LONG-TERM GAIN (LOSS) ACCT #022039**

BASIS FROM STATEMENT	\$	1,109,461.
BASIS FOR DELTA AIRLINES INC		13,864.
TOTAL	\$	<u>1,123,325.</u>

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FEDERAL SUPPORTING DETAIL

PAGE 2

CLIENT G7505

THE GREENBAUM FOUNDATION

87-6217358

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DISPOSITIONS
COST OR OTHER BASIS (DO NOT REDUCE BY DEPRECIATION)
SHORT-TERM GAIN(LOSS) ACCT #022039

BASIS FROM STATEMENT	\$ 6,665,445.
BASIS FOR MWD TRACERS	130,000.
BASIS FOR NEXTERA ENERGY	59,954.
TOTAL	<u>\$ 6,855,399.</u>

The Greenbaum Foundation - 2012 Grants

Tostan (Via Tostan Sweden)	300,000 **
End Slavery Now	177,000
Preventive Medicine Research Institute	100,000
Tony Blair Africa Governance Initiative	100,000
La Conscience (Togo, W. Africa)	94,220 **
Project Compassion Soldier Fund	84,000
Tiyatien Health	70,000
Nexus Global Youth Summit (Via Search for Common Groi	54,650
D-Rev: Design Revolution	50,000
Hands To Hearts International	50,000
Medic Mobile	50,000
One H.E.A.R.T. Worldwide	50,000
Polaris Project	50,000
Rescue Foundation (Mumbai, India)	50,000 **
S.T.O.P. (New Delhi, India)	50,000 **
The William J. Clinton Foundation Health Matters Initiative	50,000
Truckers Against Trafficking	46,108
Medic Moblie (via Tiyatien Health)	30,000
Kidsave International	25,000
Starkey Hearing Foundation (Senegal Project)	25,000
Global Health Corps.	20,000
RefugePoint	20,000
Clinton Global Initiative	19,000
Community Partners/Geena Davis Institute on Gender In I	10,000
Global Centurian	10,000
Shining Hope for Communities	10,000
United Jewish Federation of San Diego Co	10,000

Women Moving Millions	5,693
Northfield Mount Hermon School	5,000
Solana Santa Fe P.T.O.	2,500

Animal Welfare Funding

Mercy For Animals	100,000
WildAid	100,000
Animal Advocates of the United States	48,000
Jane Goodall Institute	24,400
Montreal SPCA	21,000 **
Rancho Coastal Humane Society	20,000
Thinking Animals Inc.	20,000
D.R.E.A.M.S. (Nepal)	15,000 **
FACE Foundation	15,000
Elephants In Crisis	10,000
Fauna Sanctuary, Inc. for Fauna Foundation	10,000
Physicians Committee for Responsible Medicine	10,000
Amore Lab Rescue	5,000
Animal Defenders International	5,000
Animal Alliance	2,500
Total	\$2,024,071

**** EQUIVALENCY DETERMINATIONS WERE MADE RELATED TO THESE FOREIGN CHARITIES. ALL RELEVANT DOCUMENTATION IS ON FILE WITH THE FOUNDATION.**

Morgan Stanley

Corporate Tax Statement Tax Year 2012

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311

Identification Number: 26-4310632

Taxpayer ID Number: 87-6217358
Account Number: 632 022039 355

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ACQUITY GP LTD SP ADR	2,050,000	04/27/12	05/24/12	\$13,663.40	\$12,300.00	\$0.00	\$1,363.40	\$0.00
CUSIP: 00489C103 Symbol (Box 1d): AQ								
AMBARELLA INC	300,000	10/09/12	11/01/12	\$2,249.59	\$1,800.00	\$0.00	\$449.59	\$0.00
	500,000	10/09/12	11/01/12	\$3,749.33	\$3,000.00	\$0.00	\$749.33	\$0.00
	500,000	10/09/12	11/01/12	\$3,749.32	\$3,000.00	\$0.00	\$749.32	\$0.00
Security Subtotal	1,300,000			\$9,748.24	\$7,800.00	\$0.00	\$1,948.24	\$0.00
CUSIP: 026874784 Symbol (Box 1d): AIG								
AMER INTL GP INC NEW	2,500,000	05/07/12	06/01/12	\$68,417.71	\$76,250.00	\$0.00	(\$7,832.29)	\$0.00
	1,750,000	08/03/12	08/08/12	\$55,696.42	\$53,375.00	\$0.00	\$2,321.42	\$0.00
	2,600,000	09/11/12	09/11/12	\$85,665.44	\$84,500.00	\$0.00	\$1,165.44	\$0.00
	2,150,000	12/11/12	12/11/12	\$73,066.27	\$69,875.00	\$0.00	\$3,191.27	\$0.00
	4,300,000	12/11/12	12/11/12	\$146,132.53	\$139,750.00	\$0.00	\$6,382.53	\$0.00
Security Subtotal	13,300,000			\$428,978.37	\$423,750.00	\$0.00	\$5,228.37	\$0.00
CUSIP: 02503X105 Symbol (Box 1d): AGNC								
AMERICAN CAPITAL AGENCY	1,300,000	07/18/12	07/30/12	\$45,430.44	\$44,330.00	\$0.00	\$1,100.44	\$0.00
CUSIP: 03748R101 Symbol (Box 1d): AIV								
APARTMENT INVT & MGMT CO A	1,400,000	05/11/12	07/17/12	\$38,788.11	\$38,150.00	\$0.00	\$638.11	\$0.00
	1,500,000	06/21/12	07/17/12	\$41,558.69	\$40,425.00	\$0.00	\$1,133.69	\$0.00
Security Subtotal	2,900,000			\$80,346.80	\$78,575.00	\$0.00	\$1,771.80	\$0.00
CUSIP: 03762U105 Symbol (Box 1d): ARI								
APOLLO COML REAL EST FIN INC	1,450,000	10/03/12	11/15/12	\$22,043.07	\$24,621.00	\$0.00	(\$2,577.93)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Taxpayer ID Number: 87-6217358
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ARES CAPITAL CORP	3,000.000	08/17/12	08/30/12	\$51,085.84	\$50,430.00	\$0.00	\$655.84	\$0.00
ARES COMMERCIAL REAL EST CORP	1,500.000	04/25/12	05/09/12	\$24,724.39	\$27,750.00	\$0.00	(\$3,025.61)	\$0.00
ARMOUR RESIDENTIAL REIT INC	4,600.000	07/10/12	07/30/12	\$35,340.74	\$33,120.00	\$0.00	\$2,220.74	\$0.00
	3,500.000	08/03/12	10/05/12	\$26,614.39	\$25,900.00	\$0.00	\$714.39	\$0.00
Security Subtotal	8,100.000			\$61,955.13	\$59,020.00	\$0.00	\$2,935.13	\$0.00
ATLANTIC POWER CORP	2,200.000	06/27/12	07/17/12	\$29,479.05	\$28,072.00	\$0.00	\$1,407.05	\$0.00
AVAGO TECH	2,000.000	12/07/12	12/14/12	\$61,520.61	\$69,000.00	\$0.00	(\$7,479.39)	\$0.00
BERRY PLASTICS GROUP INC	1,200.000	10/03/12	10/10/12	\$17,103.65	\$19,200.00	\$0.00	(\$2,096.35)	\$0.00
BLACKROCK INC	400.000	05/23/12	05/23/12	\$65,278.61	\$64,000.00	\$0.00	\$1,278.61	\$0.00
BONANZA CREEK ENERGY	1,200.000	09/20/12	10/17/12	\$29,193.77	\$28,320.00	\$0.00	\$873.77	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2012

THE GREENBAUM FOUNDATION
P O BOX 9910
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Morgan Stanley Smith Barney Holdings LLC
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Taxpayer ID Number 87-6217358
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
BRUKER CORPORATION								
		CUSIP: 116794108	Symbol (Box 1d): BRKR					
	1,650,000	11/14/12	11/21/12	\$23,278.51	\$22,275.00	\$0.00	\$1,003.51	\$0.00
	500,000	12/03/12	12/12/12	\$7,523.87	\$7,125.00	\$0.00	\$398.87	\$0.00
	1,300,000	12/03/12	12/12/12	\$19,562.06	\$18,525.00	\$0.00	\$1,037.06	\$0.00
Security Subtotal	3,450,000			\$50,364.44	\$47,925.00	\$0.00	\$2,439.44	\$0.00
CALPINE CORP NEW								
		CUSIP: 131347304	Symbol (Box 1d): CPN					
	3,000,000	09/06/12	10/17/12	\$55,219.45	\$54,000.00	\$0.00	\$1,219.45	\$0.00
CAPITAL ONE FINANCIAL CORP								
		CUSIP: 14040H105	Symbol (Box 1d): COF					
	1,500,000	09/04/12	09/06/12	\$85,357.22	\$83,625.00	\$0.00	\$1,732.22	\$0.00
CENCOSUD S.A. ADS								
		CUSIP: 15132H408	Symbol (Box 1d):					
	1,500,000	06/22/12	06/27/12	\$23,978.77	\$23,419.20	\$0.00	\$559.57	\$0.00
	600,000	06/22/12	06/27/12	\$9,591.51	\$9,367.68	\$0.00	\$223.83	\$0.00
	125,000	06/22/12	06/27/12	\$1,998.23	\$1,951.60	\$0.00	\$46.63	\$0.00
Security Subtotal	2,225,000			\$35,568.51	\$34,738.48	\$0.00	\$830.03	\$0.00
CHARTER COMMUNICATIONS INC DEL								
		CUSIP: 16117M305	Symbol (Box 1d): CHTR					
	275,000	08/09/12	08/14/12	\$21,475.42	\$20,281.25	\$0.00	\$1,194.17	\$0.00
	300,000	11/21/12	12/19/12	\$22,042.17	\$20,670.00	\$0.00	\$1,372.17	\$0.00
Security Subtotal	575,000			\$43,517.59	\$40,951.25	\$0.00	\$2,566.34	\$0.00
CHENIERE ENERGY INC NEW								
		CUSIP: 16411R208	Symbol (Box 1d): LNG					
	100,000	07/17/12	07/24/12	\$1,256.44	\$1,405.00	\$0.00	(\$148.56)	\$0.00
	2,750,000	07/17/12	07/24/12	\$34,552.13	\$38,637.50	\$0.00	(\$4,085.37)	\$0.00
Security Subtotal	2,850,000			\$35,808.57	\$40,042.50	\$0.00	(\$4,233.93)	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
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Jersey City, NJ 07311

THE GREENBAUM FOUNDATION
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Identification Number: 26-4310632

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
COCA COLA FEMSA S A ADR CUSIP: 191241108 Symbol (Box 1d): KOF								
	300 000	07/11/12	07/25/12	\$33,670.94	\$37,500.00	\$0.00	(\$3,829.06)	\$0.00
	100 000	07/11/12	07/25/12	\$11,223.65	\$12,500.00	\$0.00	(\$1,276.35)	\$0.00
Security Subtotal	400 000			\$44,894.59	\$50,000.00	\$0.00	(\$5,105.41)	\$0.00
COSTAMARE INC CUSIP: Y1771G102 Symbol (Box 1d): CMRE								
	500 000	10/16/12	11/14/12	\$6,282.91	\$7,000.00	\$0.00	(\$717.09)	\$0.00
	2,000 000	10/16/12	11/14/12	\$25,131.63	\$28,000.00	\$0.00	(\$2,868.37)	\$0.00
Security Subtotal	2,500 000			\$31,414.54	\$35,000.00	\$0.00	(\$3,585.46)	\$0.00
DANAHER CORPORATION CUSIP: 235851102 Symbol (Box 1d): DHR								
	1,100 000	10/24/12	10/26/12	\$56,789.13	\$55,825.00	\$0.00	\$964.13	\$0.00
DIGITAL REALTY TRUST INC CUSIP: 253868103 Symbol (Box 1d): DLR								
	475 000	06/27/12	07/05/12	\$35,951.40	\$34,318.75	\$0.00	\$1,632.65	\$0.00
DOLLAR GEN CORP NEW COM CUSIP: 256677105 Symbol (Box 1d): DG								
	1,500 000	03/28/12	04/02/12	\$69,008.34	\$67,875.00	\$0.00	\$1,133.34	\$0.00
	1,300 000	06/06/12	06/07/12	\$63,067.35	\$60,775.00	\$0.00	\$2,292.35	\$0.00
	1,500 000	09/28/12	10/17/12	\$69,260.49	\$77,625.00	\$0.00	(\$8,364.51)	\$0.00
Security Subtotal	4,300 000			\$201,336.18	\$206,275.00	\$0.00	(\$4,938.82)	\$0.00
DUNKIN BRANDS GROUP INC CUSIP: 265504100 Symbol (Box 1d): DNKN								
	1,300 000	03/30/12	04/25/12	\$40,592.08	\$38,350.00	\$0.00	\$2,242.08	\$0.00
	950 000	08/10/12	10/15/12	\$30,717.38	\$28,880.00	\$0.00	\$1,837.38	\$0.00
Security Subtotal	2,250 000			\$71,309.46	\$67,230.00	\$0.00	\$4,079.46	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2012Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd FloorJersey City, NJ 07311
Identification Number.

26-4310632

THE GREENBAUM FOUNDATION
P O BOX 9910

RANCHO SANTA FE CA 92067-4910

Taxpayer ID Number.

87-6217358

Account Number.

632 022039 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
EDGEN GROUP INC CL-A		CUSIP: 28014Q107	Symbol (Box 1d): EDG					
	2,000,000	04/26/12	04/27/12	\$19,624.55	\$22,000.00	\$0.00	(\$2,375.45)	\$0.00
EXLSERVICE HLDGS INC		CUSIP: 302081104	Symbol (Box 1d): EXLS					
	2,500,000	05/23/12	06/29/12	\$59,979.09	\$58,125.00	\$0.00	\$1,854.09	\$0.00
FACEBOOK INC CL-A		CUSIP: 30303M102	Symbol (Box 1d): FB					
	500,000	05/17/12	05/18/12	\$20,183.00	\$19,000.00	\$0.00	\$1,183.00	\$0.00
	4,500,000	05/17/12	05/18/12	\$181,647.00	\$171,000.00	\$0.00	\$10,647.00	\$0.00
	18,000,000	05/17/12	05/18/12	\$726,588.01	\$684,000.00	\$0.00	\$42,588.01	\$0.00
Security Subtotal	23,000,000			\$928,418.01	\$874,000.00	\$0.00	\$54,418.01	\$0.00
FLETCOR TECHNOLOGIES		CUSIP: 339041105	Symbol (Box 1d): FLT					
	1,400,000	06/08/12	08/10/12	\$55,946.10	\$51,800.00	\$0.00	\$4,146.10	\$0.00
FRESH MARKET INC THE		CUSIP: 35804H106	Symbol (Box 1d): TFM					
	1,250,000	06/12/12	06/20/12	\$65,945.98	\$63,125.00	\$0.00	\$2,820.98	\$0.00
GASLOG LTD		CUSIP: G37585109	Symbol (Box 1d): GLOG					
	3,000,000	03/30/12	03/30/12	\$37,313.15	\$42,000.00	\$0.00	(\$4,686.85)	\$0.00
GENERAL GROWTH PROPERTIES COM		CUSIP: 370023103	Symbol (Box 1d): GGP					
	2,500,000	08/17/12	08/23/12	\$50,127.11	\$46,250.00	\$0.00	\$3,877.11	\$0.00
GOLAR LNG PTNRS LP ULPI		CUSIP: Y2745C102	Symbol (Box 1d): GMLP					
	900,000	07/11/12	07/17/12	\$28,391.74	\$27,855.00	\$0.00	\$536.74	\$0.00
	2,000,000	11/02/12	11/13/12	\$55,272.75	\$61,700.00	\$0.00	(\$6,427.25)	\$0.00
Security Subtotal	2,900,000			\$83,664.49	\$89,555.00	\$0.00	(\$5,890.51)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Identification Number: 26-4310632

Taxpayer ID Number: 87-6217358
Account Number 632 022039 355

Customer Service: 866-324-6088

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

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Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
GRIFOLS SA ADR	3,000.000	05/08/12	05/31/12	\$24,750.34	\$27,900.00	\$0.00	(\$3,149.66)	\$0.00
HATTERAS FINL CORP COM	1,500.000	03/27/12	04/02/12	\$41,708.53	\$40,680.00	\$0.00	\$1,028.53	\$0.00
HCP INCORPORATED	1,250.000	06/19/12	06/29/12	\$54,217.03	\$52,625.00	\$0.00	\$1,592.03	\$0.00
HEALTH CARE REIT INC	900.000	09/19/12	09/24/12	\$51,658.59	\$50,400.00	\$0.00	\$1,258.59	\$0.00
	900.000	08/07/12	10/05/12	\$53,650.88	\$52,875.00	\$0.00	\$775.88	\$0.00
	1,800.000			\$105,309.47	\$103,275.00	\$0.00	\$2,034.47	\$0.00
HERSHA HOSPITALITY TR CL A	4,100.000	05/03/12	05/16/12	\$21,317.20	\$22,960.00	\$0.00	(\$1,642.80)	\$0.00
INFOBLOX INC	200.000	04/19/12	04/20/12	\$4,498.34	\$3,200.00	\$0.00	\$1,298.34	\$0.00
	300.000	04/19/12	04/20/12	\$6,747.52	\$4,800.00	\$0.00	\$1,947.52	\$0.00
	550.000	10/04/12	10/19/12	\$9,787.28	\$11,000.00	\$0.00	(\$1,212.72)	\$0.00
	1,050.000			\$21,033.14	\$19,000.00	\$0.00	\$2,033.14	\$0.00
JAVELIN MORTGAGE INV CORP	1,800.000	10/03/12	11/13/12	\$32,089.07	\$36,000.00	\$0.00	(\$3,910.93)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2012

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number 26-4310632

Taxpayer ID Number 87-6217358
Account Number: 632 022039 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
KAYNE ANDERSON MLP INVT CO		CUSIP: 486606106	Symbol (Box 1d): KYN					
	1,000,000	02/29/12	05/16/12	\$29,086.24	\$31,374.61	\$0.00	(\$2,288.37)	\$0.00
	1,500,000	08/03/12	09/17/12	\$45,331.37	\$44,625.00	\$0.00	\$706.37	\$0.00
Security Subtotal	2,500,000			\$74,417.61	\$75,999.61	\$0.00	(\$1,582.00)	\$0.00
LASALLE HOTEL PROPERTIES		CUSIP: 517942108	Symbol (Box 1d): LHO					
	850,000	12/14/12	12/21/12	\$21,535.23	\$20,145.00	\$0.00	\$1,390.23	\$0.00
LIBERTY GBL INC SER C		CUSIP: 530555309	Symbol (Box 1d): LBITYK					
	800,000	04/02/12	05/16/12	\$38,119.54	\$38,320.00	\$0.00	(\$200.46)	\$0.00
	200,000	04/02/12	05/16/12	\$9,529.89	\$9,580.00	\$0.00	(\$50.11)	\$0.00
Security Subtotal	1,000,000			\$47,649.43	\$47,900.00	\$0.00	(\$250.57)	\$0.00
LINNCO LLC		CUSIP: 535782106	Symbol (Box 1d): LNCO					
	700,000	10/12/12	10/17/12	\$26,575.89	\$25,550.00	\$0.00	\$1,025.89	\$0.00
LPL INVESTMENT HOLDINGS INC		CUSIP: 50213H100	Symbol (Box 1d):					
	500,000	05/01/12	05/17/12	\$15,283.41	\$17,250.00	\$0.00	(\$1,966.59)	\$0.00
	1,000,000	05/01/12	05/17/12	\$30,566.81	\$34,500.00	\$0.00	(\$3,933.19)	\$0.00
Security Subtotal	1,500,000			\$45,850.22	\$51,750.00	\$0.00	(\$5,899.78)	\$0.00
MAGNUM HUNTER RESOURCES CORP		CUSIP: 55973B102	Symbol (Box 1d): MHR					
	3,700,000	05/11/12	05/16/12	\$14,696.72	\$16,650.00	\$0.00	(\$1,953.28)	\$0.00
MICHAEL KORS HOLDINGS LTD		CUSIP: G60754101	Symbol (Box 1d): KORS					
	1,025,000	09/25/12	10/17/12	\$56,721.17	\$54,325.00	\$0.00	\$2,396.17	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2012

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
MIDSTATES PETROLEUM CO INC	2,750,000	04/20/12	04/20/12	\$37,158.66	\$35,750.00	\$0.00	\$1,408.66	\$0.00
MILLENNIAL MEDIA								
		CUSIP: 60040N105	Symbol (Box 1d): MM					
	800,000	03/28/12	03/29/12	\$19,668.55	\$10,400.00	\$0.00	\$9,268.55	\$0.00
	1,150,000	10/23/12	10/24/12	\$17,681.86	\$16,272.50	\$0.00	\$1,409.36	\$0.00
Security Subtotal	1,950,000			\$37,350.41	\$26,672.50	\$0.00	\$10,677.91	\$0.00
MOLYCORP INC DEL COM								
		CUSIP: 608753109	Symbol (Box 1d): MCP					
	125,000	08/17/12	08/28/12	\$1,336.51	\$1,250.00	\$0.00	\$86.51	\$0.00
	4,000,000	08/17/12	08/28/12	\$42,768.35	\$40,000.00	\$0.00	\$2,768.35	\$0.00
Security Subtotal	4,125,000			\$44,104.86	\$41,250.00	\$0.00	\$2,854.86	\$0.00
NEWCASTLE INVT CORP								
		CUSIP: 65105M108	Symbol (Box 1d): NCT					
	2,500,000	07/26/12	07/30/12	\$18,192.47	\$16,750.00	\$0.00	\$1,442.47	\$0.00
OASIS PETROLEUM INC								
		CUSIP: 674215108	Symbol (Box 1d): OAS					
	1,300,000	08/21/12	09/06/12	\$39,974.96	\$38,675.00	\$0.00	\$1,299.96	\$0.00
	300,000	08/21/12	09/06/12	\$9,224.99	\$8,925.00	\$0.00	\$299.99	\$0.00
	100,000	08/21/12	09/06/12	\$3,075.00	\$2,975.00	\$0.00	\$100.00	\$0.00
Security Subtotal	1,700,000			\$52,274.95	\$50,575.00	\$0.00	\$1,699.95	\$0.00
OFS CAPITAL CORP								
		CUSIP: 67103B100	Symbol (Box 1d): OFS					
	1,550,000	11/08/12	11/12/12	\$20,533.51	\$23,250.00	\$0.00	(\$2,716.49)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2012

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
PACIFIC COAST OIL TRUST		CUSIP: 694103102	Symbol (Box 1d): ROYT					
	500 000	05/03/12	05/16/12	\$8,955.76	\$10,000.00	\$0.00	(\$1,044.24)	\$0.00
	1,000.000	05/03/12	05/16/12	\$17,911.52	\$20,000.00	\$0.00	(\$2,088.48)	\$0.00
Security Subtotal	1,500.000			\$26,867.28	\$30,000.00	\$0.00	(\$3,132.72)	\$0.00
PALO ALTO NETWORKS INC		CUSIP: 697435105	Symbol (Box 1d): PANW					
	450 000	10/17/12	10/26/12	\$25,369.32	\$28,350.00	\$0.00	(\$2,980.68)	\$0.00
PBF ENERGY INC		CUSIP: 69318G106	Symbol (Box 1d): PBF					
	800 000	12/13/12	12/13/12	\$21,527.64	\$20,800.00	\$0.00	\$727.64	\$0.00
PENNANTPARK INVESTMENT CORP		CUSIP: 708062104	Symbol (Box 1d): PNNT					
	1,500 000	01/24/12	02/17/12	\$16,094.59	\$15,825.00	\$0.00	\$269.59	\$0.00
	3,500.000	01/24/12	02/17/12	\$37,554.04	\$36,925.00	\$0.00	\$629.04	\$0.00
Security Subtotal	5,000.000			\$53,648.63	\$52,750.00	\$0.00	\$898.63	\$0.00
PENNYMAC MORTGAGE INVESTMENT		CUSIP: 70931T103	Symbol (Box 1d): PMT					
	2,000 000	05/08/12	05/18/12	\$34,838.61	\$38,900.00	\$0.00	(\$4,061.39)	\$0.00
	1,175.000	08/17/12	09/06/12	\$25,581.16	\$24,592.75	\$0.00	\$988.41	\$0.00
Security Subtotal	3,175.000			\$60,419.77	\$63,492.75	\$0.00	(\$3,072.98)	\$0.00
PERFORMANT FINANCIAL CORP		CUSIP: 71377E105	Symbol (Box 1d): PFMT					
	1,200.000	08/09/12	08/10/12	\$11,813.58	\$10,800.00	\$0.00	\$1,013.58	\$0.00
PROSPECT CAPITAL CORP		CUSIP: 74348T102	Symbol (Box 1d): PSEC					
	3,200 000	11/02/12	11/14/12	\$31,705.92	\$35,520.00	\$0.00	(\$3,814.08)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 17 of 33

Corporate Tax Statement
Tax Year 2012Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd FloorJersey City, NJ 07311
Identification Number:

26-4310632

THE GREENBAUM FOUNDATION
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
RETAIL PPTYS AMER CL-A		CUSIP: 76131V202	Symbol (Box 1d): RPAI					
	50 000	04/05/12	04/09/12	\$441.81	\$400.00	\$0.00	\$41.81	\$0.00
	1,200.000	04/05/12	04/09/12	\$10,603.37	\$9,600.00	\$0.00	\$1,003.37	\$0.00
Security Subtotal	1,250.000			\$11,045.18	\$10,000.00	\$0.00	\$1,045.18	\$0.00
ROCKWOOD HLDGS INC		CUSIP: 774415103	Symbol (Box 1d): ROC					
	1,500 000	11/14/12	11/29/12	\$66,515.69	\$63,900.00	\$0.00	\$2,615.69	\$0.00
SBA COMMUNICATIONS CORP		CUSIP: 78388J106	Symbol (Box 1d): SBAC					
	700.000	10/02/12	10/05/12	\$44,569.04	\$43,540.00	\$0.00	\$1,029.04	\$0.00
SEADRILL PARTNERS LLC		CUSIP: Y7545W109	Symbol (Box 1d): SDLP					
	800 000	10/19/12	10/19/12	\$18,904.74	\$17,600.00	\$0.00	\$1,304.74	\$0.00
SENIOR HSG PPTY TR SBI		CUSIP: 81721M109	Symbol (Box 1d): SNH					
	1,900 000	07/10/12	07/17/12	\$43,633.48	\$41,325.00	\$0.00	\$2,308.48	\$0.00
SERVICENOW INC		CUSIP: 81762P102	Symbol (Box 1d): NOW					
	1,000.000	06/28/12	06/29/12	\$23,096.61	\$18,000.00	\$0.00	\$5,096.61	\$0.00
	1,225.000	11/14/12	11/15/12	\$35,674.79	\$34,300.00	\$0.00	\$1,374.79	\$0.00
Security Subtotal	2,225.000			\$58,771.40	\$52,300.00	\$0.00	\$6,471.40	\$0.00
SHIP FINANCE INTL LTD		CUSIP: G81075106	Symbol (Box 1d): SFL					
	3,500 000	10/02/12	10/19/12	\$54,614.46	\$53,375.00	\$0.00	\$1,239.46	\$0.00
SPIRIT AIRLINES INC		CUSIP: 848577102	Symbol (Box 1d): SAVE					
	1,700 000	05/07/12	05/17/12	\$34,521.24	\$38,590.00	\$0.00	(\$4,068.76)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

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(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SPIRIT REALTY CAPITAL INC	4,100.000	CUSIP: 84860F109	09/19/12	Symbol (Box 1d): SRC	\$61,500.00	\$0.00	\$1,082.36	\$0.00
			09/24/12	\$62,582.36				
SPLUNK INC	1,225.000	CUSIP: 848637104	04/18/12	Symbol (Box 1d): SPLK	\$20,825.00	\$0.00	\$16,645.12	\$0.00
	1,000.000		07/19/12	\$37,470.12	\$28,250.00	\$0.00	\$1,672.56	\$0.00
	2,225.000		08/08/12	\$29,922.56	\$49,075.00	\$0.00	\$18,317.68	\$0.00
SPROTT PHYSICAL SILVER TR		CUSIP: 85207K107		Symbol (Box 1d): PSLV				
	5,000.000		07/12/12	\$56,652.71	\$55,250.00	\$0.00	\$1,402.71	\$0.00
	7,500.000		11/09/12	\$100,754.24	\$98,625.00	\$0.00	\$2,129.24	\$0.00
	12,500.000		11/29/12	\$157,406.95	\$153,875.00	\$0.00	\$3,531.95	\$0.00
SS&C TECHNOLOGIES HLDGS INC		CUSIP: 78467J100		Symbol (Box 1d): SSNC				
	2,000.000		07/18/12	\$43,754.21	\$49,500.00	\$0.00	(\$5,745.79)	\$0.00
SUN COMMUNITIES INC		CUSIP: 866674104		Symbol (Box 1d): SUI				
	1,500.000		09/11/12	\$57,637.70	\$66,040.21	\$0.00	(\$8,402.51)	\$0.00
SUNSTONE HOTEL INVESTORS, INC		CUSIP: 867892101		Symbol (Box 1d): SHO				
	4,000.000		06/20/12	\$42,450.40	\$41,800.00	\$0.00	\$650.40	\$0.00
TEAM HEALTH HLDGS		CUSIP: 87817A107		Symbol (Box 1d): TMH				
	950.000		06/29/12	\$24,284.07	\$22,752.50	\$0.00	\$1,531.57	\$0.00
TEEKAY OFFSHORE PARTNERS L.P.		CUSIP: Y8565J101		Symbol (Box 1d): TOO				
	1,775.000		09/12/12	\$43,900.83	\$49,078.75	\$0.00	(\$5,177.92)	\$0.00

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2012

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632
Taxpayer ID Number: 87-6217358
Account Number: 632 022039 355
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
TESLA MOTORS INC		CUSIP: 88160R101	Symbol (Box 1d): TSLA					
	1,000,000	04/03/12	04/10/12	\$32,280.77	\$36,000.00	\$0.00	(\$3,719.23)	\$0.00
	2,000,000	04/03/12	04/10/12	\$64,561.55	\$72,000.00	\$0.00	(\$7,438.45)	\$0.00
Security Subtotal	3,000,000			\$96,842.32	\$108,000.00	\$0.00	(\$11,157.68)	\$0.00
UDR INC COM		CUSIP: 902653104	Symbol (Box 1d): UDR					
	2,000,000	05/30/12	07/17/12	\$52,813.85	\$51,400.00	\$0.00	\$1,413.85	\$0.00
	200,000	05/30/12	07/17/12	\$5,281.39	\$5,140.00	\$0.00	\$141.39	\$0.00
Security Subtotal	2,200,000			\$58,095.24	\$56,540.00	\$0.00	\$1,555.24	\$0.00
VENTAS INC		CUSIP: 92276F100	Symbol (Box 1d): VTR					
	800,000	06/01/12	06/20/12	\$48,573.96	\$46,000.00	\$0.00	\$2,573.96	\$0.00
WARNER CHILCOTT PLC IRELAND A		CUSIP: G94368100	Symbol (Box 1d): WCRX					
	4,500,000	09/06/12	09/28/12	\$60,157.64	\$59,175.00	\$0.00	\$982.64	\$0.00
WASTE CONNECTIONS		CUSIP: 941053100	Symbol (Box 1d): WCN					
	1,000,000	02/28/12	03/08/12	\$31,793.42	\$31,050.00	\$0.00	\$743.42	\$0.00
WESTN ASSET MTGE CAP CP		CUSIP: 95790D105	Symbol (Box 1d): MMC					
	950,000	09/28/12	10/15/12	\$18,858.33	\$21,090.00	\$0.00	(\$2,231.67)	\$0.00
WILLIAMS CO INC		CUSIP: 969457100	Symbol (Box 1d): WMB					
	1,450,000	12/13/12	12/19/12	\$46,146.89	\$44,950.00	\$0.00	\$1,196.89	\$0.00
WORKDAY INC CL A		CUSIP: 98138H101	Symbol (Box 1d): WDAY					
	200,000	10/12/12	10/25/12	\$9,622.89	\$5,600.00	\$0.00	\$4,022.89	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2012

THE GREENBAUM FOUNDATION
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ZYNGA INC	2,500,000	03/28/12	04/02/12	\$31,537.17	\$30,000.00	\$0.00	\$1,537.17	\$0.00
Total Short Term Covered Securities				\$5,251,551.27	\$5,181,330.30	\$0.00	\$70,220.97	\$0.00

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ACCESS MIDSTREAM PARTNERS L P	1,150,000	12/13/12	12/21/12	\$37,607.12	\$36,972.50	\$0.00	\$634.62	\$0.00	
BOARDWALK PIPELINE PTNRS LP	1,500,000	10/04/12	11/14/12	\$36,308.18	\$40,485.00	\$0.00	(\$4,176.82)	\$0.00	
CHENIERE ENERGY PARTNERS LP	2,000,000	09/20/12	09/26/12	\$44,872.99	\$50,140.00	\$0.00	(\$5,267.01)	\$0.00	
COPANO ENERGY L.L.C	1,000,000	10/19/12	11/15/12	\$28,730.35	\$32,130.00	\$0.00	(\$3,399.65)	\$0.00	
CRESTWOOD MIDSTREAM LP	50,000	07/25/12	08/10/12	\$1,160.89	\$1,300.00	\$0.00	(\$139.11)	\$0.00	
	900,000	07/25/12	08/10/12	\$20,896.11	\$23,400.00	\$0.00	(\$2,503.89)	\$0.00	
Security Subtotal	950,000			\$22,057.00	\$24,700.00	\$0.00	(\$2,643.00)	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2012

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number: 87-6217358
Account Number: 632 022039 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CROSSTEX ENERGY LP		CUSIP: 22765U102	Symbol (Box 1d): XTEX						
	1,500.000	05/10/12	05/18/12	\$21,822.40	\$24,420.00	\$0.00	(\$2,597.60)	\$0.00	
EAGLE ROCK ENERGY PTNRS UTS		CUSIP: 26985R104	Symbol (Box 1d): EROC						
	1,550.000	08/14/12	08/30/12	\$14,410.92	\$13,516.00	\$0.00	\$894.92	\$0.00	
ENBRIDGE ENERGY PTNRS LP		CUSIP: 29250R106	Symbol (Box 1d): EEP						
	2,250.000	09/06/12	09/24/12	\$65,431.97	\$64,440.00	\$0.00	\$991.97	\$0.00	
ENERGY TRANSFER PARTNERS L P		CUSIP: 29273R109	Symbol (Box 1d): ETP						
	1,300.000	06/28/12	07/30/12	\$59,236.52	\$57,941.00	\$0.00	\$1,295.52	\$0.00	
ENTERPRISE PROD PTNRS L P		CUSIP: 293792107	Symbol (Box 1d): EPD						
	1,000.000	09/25/12	10/05/12	\$54,505.63	\$53,070.00	\$0.00	\$1,435.63	\$0.00	
HI-CRUSH PARTNERS INC		CUSIP: 428337109	Symbol (Box 1d): HCLP						
	1,575.000	08/16/12	08/16/12	\$27,915.15	\$26,775.00	\$0.00	\$1,140.15	\$0.00	
	425.000	08/16/12	08/16/12	\$7,532.66	\$7,225.00	\$0.00	\$307.66	\$0.00	
Security Subtotal	2,000.000			\$35,447.81	\$34,000.00	\$0.00	\$1,447.81	\$0.00	
KINDER MORGAN ENERGY PTNRS LP		CUSIP: 494550106	Symbol (Box 1d): KMP						
	800.000	06/05/12	06/07/12	\$60,486.36	\$59,680.00	\$0.00	\$806.36	\$0.00	
MARKWEST ENGY PTNRS LP		CUSIP: 570759100	Symbol (Box 1d): MWE						
	500.000	03/13/12	05/16/12	\$26,996.23	\$29,770.00	\$0.00	(\$2,773.77)	\$0.00	
	700.000	05/09/12	05/16/12	\$37,794.71	\$38,696.00	\$0.00	(\$901.29)	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2012Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910Taxpayer ID Number: 87-6217358
Account Number: 632 022039 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
MARKWEST ENGY PTNRS LP	1,050,000	08/14/12	08/30/12	\$54,722.36	\$53,256.00	\$0.00	\$1,466.36	\$0.00	
	1,000,000	11/14/12	11/21/12	\$49,183.35	\$46,500.00	\$0.00	\$2,683.35	\$0.00	
Security Subtotal	3,250,000			\$168,686.65	\$168,222.00	\$0.00	\$474.65	\$0.00	
MPLX LP COM UNIT REP LTD		CUSIP: 55336V100		Symbol (Box 1d): MPLX					
	925,000	10/25/12	10/26/12	\$23,721.13	\$20,350.00	\$0.00	\$3,371.13	\$0.00	
MWD TRACERS		CUSIP: 12496DAAS		Symbol (Box 1d):					
	10,000,000		01/25/12	\$10,000.00	\$0.00	\$0.00	\$0.00	\$0.00	PP
	10,000,000		04/25/12	\$10,000.00	\$0.00	\$0.00	\$0.00	\$0.00	PP
	10,000,000		07/25/12	\$10,000.00	\$0.00	\$0.00	\$0.00	\$0.00	PP
	30,000,000		09/25/12	\$30,000.00	\$0.00	\$0.00	\$0.00	\$0.00	PP
	30,000,000		10/25/12	\$30,000.00	\$0.00	\$0.00	\$0.00	\$0.00	PP
	40,000,000		12/25/12	\$40,000.00	\$0.00	\$0.00	\$0.00	\$0.00	PP
Security Subtotal	130,000,000			\$130,000.00	\$0.00	\$0.00	\$0.00	\$0.00	
NEXTERA ENERGY 5889 15SP01		CUSIP: 65339F887		Symbol (Box 1d): NEE O					
	1,200,000		09/24/12	\$59,953.84	\$0.00	\$0.00	\$0.00	\$0.00	
NUSTAR ENERGY LP UNIT COM		CUSIP: 67058H102		Symbol (Box 1d): NS					
	850,000	09/05/12	09/20/12	\$42,567.83	\$41,599.00	\$0.00	\$968.83	\$0.00	
OAKTREE CAPITAL GP LLC UT-A		CUSIP: 674001201		Symbol (Box 1d): OAK					
	750,000	04/11/12	05/17/12	\$28,919.75	\$32,249.85	\$0.00	(\$3,330.10)	\$0.00	
	200,000	04/11/12	05/17/12	\$7,712.11	\$8,600.15	\$0.00	(\$888.04)	\$0.00	
	750,000	05/06/12	08/08/12	\$28,166.47	\$30,105.10	\$0.00	(\$1,938.63)	\$0.00	
Security Subtotal	1,700,000			\$64,798.33	\$70,955.10	\$0.00	(\$6,156.77)	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
 Harborside Financial Center
 Plaza 2 2nd Floor
 Jersey City, NJ 07311
 Identification Number: 26-4310632

Taxpayer ID Number 87-6217358
 Account Number: 632 022039 355

Customer Service: 866-324-6088

THE GREENBAUM FOUNDATION
 P O BOX 9910
 RANCHO SANTA FE CA 92067-4910

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box B checked.)
 (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ONEOK PARTNERS LP	500 000	02/28/12	03/15/12	\$27,830.09	\$29,635.00	\$0.00	(\$1,804.91)	\$0.00	
PETROLOGISTICS LP	3,500,000	05/03/12	05/18/12	\$52,771.76	\$59,500.00	\$0.00	(\$6,728.24)	\$0.00	
PVR PARTNERS LP	775 000	11/09/12	12/19/12	\$19,154.44	\$17,910.25	\$0.00	\$1,244.19	\$0.00	
SANDRIDGE MISS TRUST II	2,000 000	04/17/12	04/27/12	\$45,345.84	\$42,000.00	\$0.00	\$3,345.84	\$0.00	
SANDRIDGE MISSISSIPPIAN TR I	1,525 000	06/15/12	07/19/12	\$42,735.76	\$40,412.50	\$0.00	\$2,323.26	\$0.00	
SUBN PROPANE PTNRS LP	875 000	08/08/12	09/20/12	\$34,087.85	\$32,908.75	\$0.00	\$1,179.10	\$0.00	
SWITZERLAND GOVT 2500 16MHRG	125,000 000	06/14/11	03/26/12	\$149,878.44	\$160,883.75	\$0.00	(\$11,005.31)	\$0.00	
TARGA RES PTNRS LP REP UTS	1,000,000	11/15/12	11/29/12	\$37,316.45	\$36,000.00	\$0.00	\$1,316.45	\$0.00	
TEEKAY LNG PARTNERS L P.	1,000,000	09/05/12	11/15/12	\$34,454.22	\$38,430.00	\$0.00	(\$3,975.78)	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2012THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number 26-4310632
Taxpayer ID Number 87-6217358
Account Number: 632 022039 355
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
THE CARLYLE GROUP L.P.									
		CUSIP: 14309L102		Symbol (Box 1d): CG					
	525 000	05/03/12	07/17/12	\$11,934.35	\$11,550.00	\$0.00	\$384.35	\$0.00	
	1,000.000	05/03/12	07/17/12	\$22,732.10	\$22,000.00	\$0.00	\$732.10	\$0.00	
Security Subtotal	1,525.000			\$34,666.45	\$33,550.00	\$0.00	\$1,116.45	\$0.00	
WESTERN GAS EQ PRTNRS									
		CUSIP: 95825R103		Symbol (Box 1d): WGP					
	800 000	12/07/12	12/07/12	\$21,643.15	\$17,600.00	\$0.00	\$4,043.15	\$0.00	
WESTERN GAS PARTNERS LP									
		CUSIP: 958254104		Symbol (Box 1d): WES					
	1,250 000	08/19/12	07/30/12	\$55,873.11	\$54,850.00	\$0.00	\$1,023.11	\$0.00	
WILLIAMS PARTNERS LTD									
		CUSIP: 96950F104		Symbol (Box 1d): WPZ					
	100 000	04/04/12	04/27/12	\$5,637.50	\$5,456.00	\$0.00	\$181.50	\$0.00	
	1,300.000	04/04/12	04/27/12	\$73,287.52	\$70,928.00	\$0.00	\$2,359.52	\$0.00	
	1,000.000	08/08/12	08/24/12	\$53,069.69	\$51,430.00	\$0.00	\$1,639.69	\$0.00	
Security Subtotal	2,400.000			\$131,994.71	\$127,814.00	\$0.00	\$4,180.71	\$0.00	
Total Short Term Noncovered Securities				\$1,658,407.30	\$1,484,114.85	\$0.00	(\$15,661.39)	\$0.00	
Total Short Term Covered and Noncovered Securities				\$6,909,958.57	\$6,665,445.15	\$0.00	\$54,559.58	\$0.00	

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Morgan Stanley

Corporate Tax Statement Tax Year 2012

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Customer Service: 866-324-6088

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CANADA GOVT 2000 12SP01	100,000 000	09/30/09	09/04/12	\$101,450.75	\$96,268.26	\$0.00	\$5,182.49	\$0.00	
DELTA AIR LINES INC NEW									
	52 000		01/25/12	\$526.24	NOT ON FILE				\$0.00
	99 000		01/25/12	\$1,001.88	NOT ON FILE				\$0.00
	1,219,000		01/25/12	\$12,336.28	NOT ON FILE				\$0.00
	47,000	01/07/10	01/25/12	\$475.64	\$585.62	\$0.00	(\$108.98)		\$0.00
Security Subtotal	1,417,000			\$14,340.04	\$585.62	\$0.00	(\$109.98)		\$0.00
MORGAN STANLEY 4750 14AP01	150,000 000	09/23/08	01/25/12	\$150,900.00	\$109,505.25	\$0.00	\$41,394.75		\$0.00
SWEDEN GOVT 3000 16JLRG	1,000,000 00	01/12/11	03/26/12	\$158,878.50	\$151,964.42	\$0.00	\$6,914.08		\$0.00
SYRATECH CORP RST	0.000	08/01/05	05/07/12	\$839.90	\$0.00	\$0.00	\$839.90		\$0.00
VERAMARK TECHNOLOGIES INC									
	1,000 000	10/09/96	04/19/12	\$443.67	\$8,375.00	\$0.00	(\$7,931.33)		\$0.00
	1,000,000	10/28/96	04/19/12	\$443.67	\$8,504.00	\$0.00	(\$8,060.33)		\$0.00
	1,000,000	10/28/96	04/19/12	\$443.67	\$8,625.00	\$0.00	(\$8,181.33)		\$0.00
	1,000,000	12/06/96	04/19/12	\$443.67	\$9,004.00	\$0.00	(\$8,560.33)		\$0.00
	4,000,000	12/10/96	04/19/12	\$1,774.66	\$34,254.00	\$0.00	(\$32,479.34)		\$0.00
	2,000,000	12/30/96	04/19/12	\$887.31	\$17,129.00	\$0.00	(\$16,241.69)		\$0.00
	5,000 000	05/06/97	04/19/12	\$2,215.53	\$19,692.00	\$0.00	(\$17,476.47)		\$0.00
	1,000,000	06/20/97	04/19/12	\$443.11	\$4,876.00	\$0.00	(\$4,432.89)		\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2012THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910Morgan Stanley Smith Barney Holdings LLC
Horseshoe Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632Taxpayer ID Number 87-6217358
Account Number: 632 022039 355

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
VERAMARK TECHNOLOGIES INC	1,000.000	10/23/97	04/19/12	\$389.98	\$7,220.20	\$0.00	(\$6,830.22)	\$0.00	
	4,000.000	10/23/97	04/19/12	\$1,772.41	\$28,880.80	\$0.00	(\$27,108.39)	\$0.00	
	3,188.000	11/19/97	07/12/12	\$1,377.97	\$21,818.03	\$0.00	(\$20,440.06)	\$0.00	
	1,812.000	11/19/97	07/12/12	\$782.08	\$12,400.97	\$0.00	(\$11,618.89)	\$0.00	
	5,000.000	12/28/97	07/12/12	\$2,158.06	\$31,710.00	\$0.00	(\$29,551.94)	\$0.00	
	488.000	02/12/98	07/12/12	\$210.62	\$3,209.64	\$0.00	(\$2,999.02)	\$0.00	
	500.000	02/12/98	07/12/12	\$215.81	\$3,351.00	\$0.00	(\$3,135.19)	\$0.00	
	2,200.000	02/12/98	07/12/12	\$949.55	\$14,746.00	\$0.00	(\$13,796.45)	\$0.00	
	912.000	02/12/98	07/16/12	\$396.06	\$5,998.35	\$0.00	(\$5,602.29)	\$0.00	
	1,000.000	02/12/98	07/16/12	\$434.28	\$6,577.00	\$0.00	(\$6,142.72)	\$0.00	
	1,000.000	02/12/98	07/16/12	\$434.28	\$6,452.00	\$0.00	(\$6,017.72)	\$0.00	
	2,500.000	02/12/98	07/16/12	\$1,085.70	\$15,824.00	\$0.00	(\$14,738.30)	\$0.00	
	1,400.000	02/13/98	07/16/12	\$607.99	\$9,548.00	\$0.00	(\$8,940.01)	\$0.00	
	5,000.000	03/18/98	07/16/12	\$2,171.39	\$29,746.00	\$0.00	(\$27,574.61)	\$0.00	
	5,000.000	03/18/98	07/16/12	\$2,171.39	\$30,365.00	\$0.00	(\$28,193.61)	\$0.00	
	2,000.000	04/15/98	07/16/12	\$868.56	\$13,474.00	\$0.00	(\$12,605.44)	\$0.00	
	1,188.000	06/17/98	07/16/12	\$515.91	\$7,995.24	\$0.00	(\$7,479.33)	\$0.00	
	1,812.000	06/17/98	07/16/12	\$786.45	\$12,194.76	\$0.00	(\$11,408.31)	\$0.00	
	10,000.000	08/28/98	07/16/12	\$4,340.21	\$58,229.00	\$0.00	(\$53,888.79)	\$0.00	
	3,188.000	09/10/98	07/16/12	\$1,383.65	\$13,744.11	\$0.00	(\$12,360.46)	\$0.00	
	5,000.000	09/10/98	07/16/12	\$2,170.10	\$22,186.00	\$0.00	(\$20,015.90)	\$0.00	
	1,812.000	09/10/98	07/19/12	\$785.09	\$7,811.89	\$0.00	(\$7,026.80)	\$0.00	
	2,000.000	09/10/98	07/19/12	\$866.55	\$8,622.00	\$0.00	(\$7,755.45)	\$0.00	
	3,000.000	09/10/98	07/19/12	\$1,299.82	\$12,558.00	\$0.00	(\$11,258.18)	\$0.00	
	500.000	11/04/98	07/19/12	\$216.64	\$2,503.00	\$0.00	(\$2,286.36)	\$0.00	

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

Corporate Tax Statement Tax Year 2012

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number: 87-6217358
Account Number: 632 022039 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
VERAMARK TECHNOLOGIES INC	4,500.000	11/05/98	07/19/12	\$1,949.73	\$23,451.00	\$0.00	(\$21,501.27)	\$0.00	
	5,000.000	11/06/98	07/19/12	\$2,166.36	\$26,993.00	\$0.00	(\$24,826.64)	\$0.00	
	3,188.000	12/22/98	07/19/12	\$1,381.26	\$19,419.10	\$0.00	(\$18,037.84)	\$0.00	
	100.000	12/22/98	08/03/12	\$43.31	\$596.00	\$0.00	(\$552.69)	\$0.00	
	612.000	12/22/98	08/03/12	\$265.07	\$3,727.88	\$0.00	(\$3,462.81)	\$0.00	
	1,100.000	12/22/98	08/03/12	\$476.43	\$6,630.00	\$0.00	(\$6,153.57)	\$0.00	
	5,000.000	12/22/98	08/03/12	\$2,165.59	\$30,141.00	\$0.00	(\$27,975.41)	\$0.00	
	5,000.000	12/23/98	08/03/12	\$2,165.59	\$31,083.00	\$0.00	(\$28,917.41)	\$0.00	
	400.000	12/29/98	08/03/12	\$173.25	\$2,536.00	\$0.00	(\$2,362.75)	\$0.00	
	500.000	12/29/98	08/03/12	\$216.56	\$3,108.00	\$0.00	(\$2,891.44)	\$0.00	
	4,100.000	12/29/98	08/03/12	\$1,775.78	\$26,517.00	\$0.00	(\$24,741.22)	\$0.00	
	2,369.000	01/04/99	08/03/12	\$1,026.06	\$13,833.06	\$0.00	(\$12,807.00)	\$0.00	
	2,631.000	01/04/99	08/22/12	\$1,077.11	\$15,362.94	\$0.00	(\$14,285.83)	\$0.00	
	2,000.000	01/08/99	08/22/12	\$818.78	\$12,933.00	\$0.00	(\$12,114.22)	\$0.00	

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Morgan Stanley

Corporate Tax Statement Tax Year 2012

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311

Identification Number: 26-4310632

Taxpayer ID Number: 87-6217358
Account Number: 632 022039 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
VERAMARK TECHNOLOGIES INC	3,000 000	01/08/99	08/22/12	\$1,228.17	\$19,780.00	\$0.00	(\$18,551.83)	\$0.00	
	2,369,000	01/11/99	06/22/12	\$969.84	\$17,402.20	\$0.00	(\$16,432.36)	\$0.00	
Security Subtotal	123,369,000			\$53,384.73	\$751,137.17	\$0.00	(\$697,752.44)	\$0.00	
Total Long Term Noncovered Securities				\$479,793.92	\$1,109,460.72	\$0.00	(\$643,531.20)	\$0.00	
Total Short and Long Term, Covered and Noncovered Securities				\$7,389,752.49	\$7,774,905.87	\$0.00	(\$588,971.62)	\$0.00	

Form 1099-B Total Reportable Amounts

Total Sales Price (Box 2)	\$7,389,752.49
Total Cost or Other Basis (Box 3)	\$5,181,330.30
Total Wash Sale Loss Disallowed (Box 5)	\$0.00
Total Fed Tax Withheld (Box 4)	\$0.00

* Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

PP This represents a return of your investment. Please compare your cost basis for this principal payment with the amount of the payment. You may have a capital loss if your cost basis exceeds the principal received or ordinary income if your cost basis is less than the principal payment. Principal payments are reported on Form 8949, Sales and Other Dispositions of Capital Assets, of the tax return. Please consult your tax advisor.

Morgan Stanley

Corporate Tax Statement Tax Year 2012

THE GREENBAUM FOUNDATION
PO BOX 9910
RANCHO SANTA FE CA 92067-4910

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311

Identification Number: 26-4310632

Taxpayer ID Number 87-6217358
Account Number 632 114273 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CUSIP: 31394YDZ4 Symbol (Box 1d):									
FHR 2801 SU FLR 16480 32OCRG	1,476.090	01/15/12	01/15/12	\$1,476.09	\$0.00	\$0.00	\$0.00	\$0.00	PP
	926.180	02/15/12	02/15/12	\$926.18	\$0.00	\$0.00	\$0.00	\$0.00	PP
	1,871.320	03/15/12	03/15/12	\$1,871.32	\$0.00	\$0.00	\$0.00	\$0.00	PP
	2,709.250	04/15/12	04/15/12	\$2,709.25	\$0.00	\$0.00	\$0.00	\$0.00	PP
	1,648.530	05/15/12	05/15/12	\$1,648.53	\$0.00	\$0.00	\$0.00	\$0.00	PP
	2,248.810	06/15/12	06/15/12	\$2,248.81	\$0.00	\$0.00	\$0.00	\$0.00	PP
	1,021.040	07/15/12	07/15/12	\$1,021.04	\$0.00	\$0.00	\$0.00	\$0.00	PP
	450.370	08/15/12	08/15/12	\$450.37	\$0.00	\$0.00	\$0.00	\$0.00	PP
	976.800	09/15/12	09/15/12	\$976.80	\$0.00	\$0.00	\$0.00	\$0.00	PP
	4,225.140	10/15/12	10/15/12	\$4,225.14	\$0.00	\$0.00	\$0.00	\$0.00	PP
	1,407.310	11/15/12	11/15/12	\$1,407.31	\$0.00	\$0.00	\$0.00	\$0.00	PP
	1,154.670	12/15/12	12/15/12	\$1,154.67	\$0.00	\$0.00	\$0.00	\$0.00	PP
Security Subtotal	20,115.510			\$20,115.51	\$0.00	\$0.00	\$0.00	\$0.00	
CUSIP: 31397CDN6 Symbol (Box 1d):									
FHR 3237 CB 5500 36JLRG	887.750	01/15/12	01/15/12	\$887.75	\$0.00	\$0.00	\$0.00	\$0.00	PP
	2,126.610	02/15/12	02/15/12	\$2,126.61	\$0.00	\$0.00	\$0.00	\$0.00	PP
	2,387.730	03/15/12	03/15/12	\$2,387.73	\$0.00	\$0.00	\$0.00	\$0.00	PP
	2,863.760	04/15/12	04/15/12	\$2,863.76	\$0.00	\$0.00	\$0.00	\$0.00	PP
	391.240	05/15/12	05/15/12	\$391.24	\$0.00	\$0.00	\$0.00	\$0.00	PP
	1,354.620	06/15/12	06/15/12	\$1,354.62	\$0.00	\$0.00	\$0.00	\$0.00	PP
	2,578.820	07/15/12	07/15/12	\$2,578.82	\$0.00	\$0.00	\$0.00	\$0.00	PP
	1,229.430	08/15/12	08/15/12	\$1,229.43	\$0.00	\$0.00	\$0.00	\$0.00	PP
	2,527.100	09/15/12	09/15/12	\$2,527.10	\$0.00	\$0.00	\$0.00	\$0.00	PP
	1,531.890	10/15/12	10/15/12	\$1,531.89	\$0.00	\$0.00	\$0.00	\$0.00	PP

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IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR YOUR RECORDS

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**Corporate Tax Statement
Tax Year 2012**

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

THE GREENBAUM FOUNDATION
PO BOX 9910
RANCHO SANTA FE CA 92067-4910

Taxpayer ID Number 87-6217358
Account Number: 632 114273 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
FHR 3237 CB 5500 36JLRG	4,755.460		11/15/12	\$4,755.46	\$0.00	\$0.00	\$0.00	\$0.00	PP
	3,356.560		12/15/12	\$3,356.56	\$0.00	\$0.00	\$0.00	\$0.00	PP
Security Subtotal	25,990.970			\$25,990.97	\$0.00	\$0.00	\$0.00	\$0.00	
FORD MOTOR CREDIT 4250 *16NV20		CUSIP: 34540TB E1	Symbol (Box 1d):						
	70,000.000	04/19/12	11/20/12	\$70,000.00	\$70,557.24	\$0.00	(\$557.24)	\$0.00	
SUPERVALU INC 8000 16MY01		CUSIP: 868536A T0	Symbol (Box 1d):						
	125,000.000	05/30/12	10/19/12	\$112,187.50	\$125,156.25	\$0.00	(\$12,968.75)	\$0.00	
	125,000.000	05/30/12	11/08/12	\$119,375.00	\$125,156.25	\$0.00	(\$5,781.25)	\$0.00	
Security Subtotal	250,000.000			\$231,562.50	\$250,312.50	\$0.00	(\$18,750.00)	\$0.00	
Total Short Term Noncovered Securities				\$347,668.98	\$320,869.74	\$0.00	(\$19,307.24)	\$0.00	

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 9849 Part II with box 8 checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
DIRECTV HLDGS 7625 *16MY15									
		CUSIP: 25459-HAG0	Symbol (Box 1d):						
	250,000 000	08/23/10	05/15/12	\$259,532 50	\$269,185 58	\$0 00	(\$9,653 08)	\$0 00	
FIDELITY NATL 6600 17MY15									
		CUSIP: 31620-RAC9	Symbol (Box 1d):						
	250,000,000	08/23/10	09/05/12	\$276,462 50	\$257,361 22	\$0 00	\$19,101 28	\$0 00	

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Morgan Stanley

Corporate Tax Statement Tax Year 2012

THE GREENBAUM FOUNDATION
PO BOX 9910
RANCHO SANTA FE CA 92067-4910

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632

Taxpayer ID Number: 87-6217358
Account Number: 632 114273 355

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box 8 checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
GEN ELEC CAP CORP 0701 12AP10									
	250,000,000	03/23/09	04/10/12	\$250,000.00	\$207,692.75	\$0.00	\$42,307.25	\$0.00	
	250,000,000	03/23/09	04/10/12	\$250,000.00	\$207,692.75	\$0.00	\$42,307.25	\$0.00	
Security Subtotal	500,000,000			\$500,000.00	\$415,385.50	\$0.00	\$84,614.50	\$0.00	
Total Long Term Noncovered Securities				\$1,035,995.00	\$941,932.30	\$0.00	\$94,062.70	\$0.00	
Total Short and Long Term, Covered and Noncovered Securities				\$1,383,663.98	\$1,262,802.04	\$0.00	\$74,755.46	\$0.00	
Form 1099-B Total Reportable Amounts									
Total Sales Price (Box 2)				\$1,383,663.98					
Total Cost or Other Basis (Box 3)					\$0.00				
Total Wash Sale Loss Disallowed (Box 5)						\$0.00			
Total Fed Tax Withheld (Box 4)								\$0.00	

Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

PP This represents a return of your investment. Please compare your cost basis for this principal payment with the amount of the payment. You may have a capital loss if your cost basis exceeds the principal received or ordinary income if your cost basis is less than the principal payment. Principal payments are reported on Form 8949, Sales and Other Dispositions of Capital Assets, of the tax return. Please consult your tax advisor.

Morgan Stanley

Corporate Tax Statement Tax Year 2012

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Morgan Stanley Smith Barney Holdings LLC
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Plaza 2 2nd Floor
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Identification Number: 26-4310632

Taxpayer ID Number: 87-6217358
Account Number: 632 026607 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ACCENTURE PLC IRELAND CL A	180 000	05/10/11	03/28/12	\$11,592.51	\$10,137.74	\$0.00	\$1,454.77	\$0.00
AIR PROD & CHEM INC								
	360 000	12/01/11	04/02/12	\$33,346.52	\$29,812.46	\$0.00	\$3,534.06	\$0.00
	200 000	01/10/12	04/02/12	\$18,525.84	\$17,433.12	\$0.00	\$1,092.72	\$0.00
Security Subtotal	560 000			\$51,872.36	\$47,245.58	\$0.00	\$4,626.78	\$0.00
AMERICAN EXPRESS CO								
	190 000	12/01/11	03/28/12	\$11,174.36	\$9,082.06	\$0.00	\$2,092.30	\$0.00
	2,910 000	12/01/11	08/27/12	\$167,228.13	\$139,098.87	\$0.00	\$28,129.26	\$0.00
	1,000 000	01/10/12	08/27/12	\$57,466.71	\$48,670.00	\$0.00	\$8,796.71	\$0.00
	100 000	02/22/12	08/27/12	\$5,746.67	\$5,287.64	\$0.00	\$459.03	\$0.00
Security Subtotal	4,200 000			\$241,615.87	\$202,138.57	\$0.00	\$39,477.30	\$0.00
BRISTOL MYERS SQUIBB CO								
	320 000	08/30/11	03/28/12	\$10,736.97	\$9,352.35	\$0.00	\$1,384.62	\$0.00
CENTURYLINK INC								
	280 000	09/22/11	03/28/12	\$10,856.41	\$9,263.86	\$0.00	\$1,592.55	\$0.00
COLGATE PALMOLIVE CO								
	120 000	11/22/11	03/28/12	\$11,511.05	\$10,610.62	\$0.00	\$900.43	\$0.00
QUALCOMM INC								
	170 000	06/02/11	03/28/12	\$11,499.27	\$9,832.80	\$0.00	\$1,666.47	\$0.00

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Corporate Tax Statement Tax Year 2012

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Morgan Stanley Smith Barney Holdings LLC
Hartborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number 26-4310632

Taxpayer ID Number: 87-6217358
Account Number: 632 026607 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SUNCOR ENERGY INC NEW COM		CUSIP: 867224107	Symbol (Box 1d): SU					
	650 000	01/10/12	08/31/12	\$20,366.51	\$20,849.01	\$0.00	(\$482.50)	\$0.00
	100 000	02/22/12	08/31/12	\$3,133.31	\$3,553.68	\$0.00	(\$420.37)	\$0.00
	1,000,000	07/12/12	08/31/12	\$31,333.10	\$28,272.50	\$0.00	\$3,060.60	\$0.00
Security Subtotal	1,750,000			\$54,832.92	\$52,675.19	\$0.00	\$2,157.73	\$0.00
THERMO FISHER SCIENTIFIC INC		CUSIP: 883556102	Symbol (Box 1d): TMO					
	200 000	03/14/12	03/28/12	\$11,344.50	\$11,531.66	\$0.00	(\$187.16)	\$0.00
TRAVELERS COMPANIES INC COM		CUSIP: 89417E109	Symbol (Box 1d): TRV					
	150 000	02/22/12	12/20/12	\$11,025.98	\$8,846.46	\$0.00	\$2,179.52	\$0.00
WALGREEN CO		CUSIP: 931422109	Symbol (Box 1d): WAG					
	5,000,000	06/07/11	03/14/12	\$166,078.01	\$217,206.00	\$0.00	(\$51,127.99)	\$0.00
	850 000	01/10/12	03/14/12	\$28,233.26	\$29,188.49	\$0.00	(\$955.23)	\$0.00
	550,000	02/22/12	03/14/12	\$18,268.58	\$18,972.75	\$0.00	(\$704.17)	\$0.00
Security Subtotal	6,400,000			\$212,579.85	\$265,367.24	\$0.00	(\$52,787.39)	\$0.00
Total Short Term Covered Securities				\$639,467.69	\$637,002.07	\$0.00	\$2,465.62	\$0.00

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Morgan Stanley

Corporate Tax Statement Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor

Jersey City, NJ 07311
Identification Number 26-4310632

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Taxpayer ID Number 87-6217358
Account Number 632 026607 355

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CUSIP: 78463V107 Symbol (Box 1d):									
SPDR GOLD TR GOLD SHS	0.000		01/11/12	\$127.84	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		02/14/12	\$123.32	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		03/13/12	\$126.84	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		04/10/12	\$137.96	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		05/16/12	\$145.87	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		06/12/12	\$124.00	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		07/06/12	\$125.14	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		08/10/12	\$126.38	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		09/12/12	\$129.38	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		10/08/12	\$143.22	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		11/19/12	\$132.26	\$0.00	\$0.00	\$0.00	\$0.00	CT
	0.000		12/11/12	\$125.03	\$0.00	\$0.00	\$0.00	\$0.00	CT
Security Subtotal	0.000			\$1,567.24	\$0.00	\$0.00	\$0.00	\$0.00	
Total Short Term Noncovered Securities				\$1,567.24	\$0.00	\$0.00	\$0.00	\$0.00	
Total Short Term Covered and Noncovered Securities				\$641,034.93	\$637,002.07	\$0.00	\$2,465.62	\$0.00	

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Morgan Stanley

Corporate Tax Statement Tax Year 2012

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311
Identification Number: 26-4310632
Taxpayer ID Number: 87-6217358
Account Number: 632 026607 355
Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
NORFOLK SOUTHERN CORP		CUSIP: 655844108	Symbol (Box 1d): NSC					
	1,830,000	09/07/11	09/26/12	\$118,197.79	\$122,119.74	\$0.00	(\$3,921.95)	\$0.00
Total Long Term Covered Securities				\$118,197.79	\$122,119.74	\$0.00	(\$3,921.95)	\$0.00

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
AIR PROD & CHEM INC		CUSIP: 009158106	Symbol (Box 1d): APD						
	110,000	10/18/10	03/28/12	\$9,869.22	\$8,111.15	\$0.00	\$858.07	\$0.00	
	1,730,000	10/18/10	04/02/12	\$160,248.56	\$143,293.48	\$0.00	\$16,955.08	\$0.00	
Security Subtotal	1,840,000			\$170,217.78	\$152,404.63	\$0.00	\$17,813.15	\$0.00	
AMERICAN ELECTRIC POWER CO		CUSIP: 025537101	Symbol (Box 1d): AEP						
	270,000	09/23/09	03/28/12	\$10,317.49	\$8,536.62	\$0.00	\$1,780.87	\$0.00	
APPLE INC		CUSIP: 037833100	Symbol (Box 1d): AAPL						
	80,000	05/29/09	03/28/12	\$48,979.70	\$10,812.22	\$0.00	\$38,167.48	\$0.00	
	240,000	05/29/09	09/05/12	\$161,681.49	\$32,436.65	\$0.00	\$129,244.84	\$0.00	
	55,000	05/29/09	09/05/12	\$37,052.01	\$7,433.40	\$0.00	\$29,618.61	\$0.00	
	180,000	05/29/09	12/10/12	\$95,129.48	\$24,327.49	\$0.00	\$70,801.99	\$0.00	
Security Subtotal	555,000			\$342,842.68	\$75,009.76	\$0.00	\$267,832.92	\$0.00	
AT&T INC		CUSIP: 00208R102	Symbol (Box 1d): T						
	500,000	07/26/07	03/28/12	\$15,641.54	\$20,470.70	\$0.00	(\$4,829.16)	\$0.00	

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2012

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor

THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Jersey City, NJ 07311
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Taxpayer ID Number: 87-6217358
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Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
CUSIP: 071813109 Symbol (Box 1d): BAX									
BAXTER INTL INC	270 000	09/20/10	03/28/12	\$16,087.26	\$12,250.87	\$0.00	\$3,836.39	\$0.00	
CUSIP: 092548109 Symbol (Box 1d): BCF									
BLACKROCK REAL ASSET EQUITY	900.000	03/03/09	03/28/12	\$10,451.73	\$4,588.94	\$0.00	\$5,862.79	\$0.00	
CUSIP: 20825C104 Symbol (Box 1d): COP									
CONOCOPHILLIPS	230.000	04/16/03	01/23/12	\$16,243.62	\$5,852.35	\$0.00	\$10,391.27	\$0.00	
	320 000	06/12/03	01/23/12	\$22,599.82	\$8,881.60	\$0.00	\$13,718.22	\$0.00	
	800 000	07/08/03	01/23/12	\$56,499.55	\$21,292.00	\$0.00	\$35,207.55	\$0.00	
	80 000	03/22/05	01/23/12	\$5,649.96	\$4,326.00	\$0.00	\$1,323.96	\$0.00	
	120 000	04/26/05	01/23/12	\$8,474.93	\$6,468.00	\$0.00	\$2,006.93	\$0.00	
	50 000	06/15/05	01/23/12	\$3,531.22	\$2,863.00	\$0.00	\$668.22	\$0.00	
	2,030 000	03/10/06	01/23/12	\$143,367.62	\$121,148.17	\$0.00	\$22,219.45	\$0.00	
	200 000	05/06/08	01/23/12	\$14,124.89	\$17,766.00	\$0.00	(\$3,641.11)	\$0.00	
	435 000	09/30/08	01/23/12	\$30,721.63	\$30,969.91	\$0.00	(\$248.28)	\$0.00	
	45.000	06/02/09	01/23/12	\$3,178.11	\$2,160.79	\$0.00	\$1,017.32	\$0.00	
Security Subtotal	4,310.000			\$304,391.35	\$221,727.82	\$0.00	\$82,663.53	\$0.00	
CUSIP: G2554F113 Symbol (Box 1d): COV									
COVIDIEN PLC NEW	320 000	08/19/09	03/28/12	\$17,280.83	\$12,717.70	\$0.00	\$4,563.13	\$0.00	
CUSIP: 256746108 Symbol (Box 1d): DLTR									
DOLLAR TREE INC	260 000	04/07/09	03/28/12	\$24,483.00	\$7,591.55	\$0.00	\$16,901.45	\$0.00	
	30 000	04/07/09	03/28/12	\$2,826.12	\$875.95	\$0.00	\$1,950.17	\$0.00	
	2,900.000	04/07/09	07/12/12	\$149,975.65	\$42,337.51	\$0.00	\$107,638.14	\$0.00	

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Morgan Stanley

Corporate Tax Statement Tax Year 2012

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
DOLLAR TREE INC	1,240.000	04/07/09	10/19/12	\$48,299.65	\$18,102.93	\$0.00	\$30,196.72	\$0.00	
	7,080.000	04/07/09	10/19/12	\$275,775.39	\$103,361.91	\$0.00	\$172,413.48	\$0.00	
Security Subtotal	11,510.000			\$501,369.81	\$172,269.85	\$0.00	\$329,099.96	\$0.00	
EXXON MOBIL CORP									
	250.000	07/22/02	03/28/12	\$21,414.52	\$7,700.00	\$0.00	\$13,714.52	\$0.00	
	160.000	07/22/02	12/10/12	\$14,133.64	\$4,928.00	\$0.00	\$9,205.64	\$0.00	
	230.000	07/22/02	12/10/12	\$20,317.10	\$7,084.00	\$0.00	\$13,233.10	\$0.00	
	25.000	03/20/03	12/10/12	\$2,208.38	\$891.25	\$0.00	\$1,317.13	\$0.00	
	342.000	04/16/03	12/10/12	\$30,210.65	\$11,833.20	\$0.00	\$18,377.45	\$0.00	
	360.000	05/14/03	12/10/12	\$31,800.68	\$12,700.80	\$0.00	\$19,099.88	\$0.00	
	23.000	06/12/03	12/10/12	\$2,031.70	\$876.99	\$0.00	\$1,154.71	\$0.00	
Security Subtotal	1,390.000			\$122,116.67	\$46,014.24	\$0.00	\$76,102.43	\$0.00	
GENERAL ELECTRIC CO									
	490.000	06/08/05	03/28/12	\$9,752.64	\$18,085.90	\$0.00	(\$8,333.26)	\$0.00	
	10.000	06/15/05	03/28/12	\$199.03	\$362.40	\$0.00	(\$163.37)	\$0.00	
Security Subtotal	500.000			\$9,951.67	\$18,448.30	\$0.00	(\$8,496.63)	\$0.00	
HONEYWELL INTERNATIONAL INC									
	170.000	09/23/10	03/28/12	\$10,308.93	\$7,424.07	\$0.00	\$2,884.86	\$0.00	
INTL BUSINESS MACHINES CORP									
	75.000	03/31/09	03/28/12	\$15,552.40	\$7,342.22	\$0.00	\$8,210.18	\$0.00	

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Morgan Stanley

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
ISHARES MSCI SINGAPORE IND FD		CUSIP: 464286673	Symbol (Box 1d): EWS						
	4,000,000	03/03/09	12/10/12	\$54,847.17	\$22,076.80	\$0.00	\$32,770.37	\$0.00	\$0.00
	1,450,000	03/03/09	12/10/12	\$19,882.10	\$7,988.78	\$0.00	\$11,893.32	\$0.00	\$0.00
Security Subtotal	5,450,000			\$74,729.27	\$30,065.58	\$0.00	\$44,663.69	\$0.00	\$0.00
ISHARES MSCI VALUE INDX FD		CUSIP: 464288877	Symbol (Box 1d): EFV						
	535,000	03/03/09	12/10/12	\$25,311.03	\$15,428.01	\$0.00	\$9,883.02	\$0.00	\$0.00
	865,000	03/03/09	12/10/12	\$40,923.44	\$24,929.73	\$0.00	\$15,993.71	\$0.00	\$0.00
Security Subtotal	1,400,000			\$66,234.47	\$40,357.74	\$0.00	\$25,876.73	\$0.00	\$0.00
ISHARES NASDAQ BIOTECH FUND		CUSIP: 464287556	Symbol (Box 1d): IBB						
	100,000	09/14/10	03/28/12	\$12,196.72	\$8,506.34	\$0.00	\$3,690.38	\$0.00	\$0.00
ISHARES S&P MID CAP 400 GROWTH		CUSIP: 464287606	Symbol (Box 1d): IJK						
	1,790,000	03/05/04	09/05/12	\$199,962.15	\$113,405.45	\$0.00	\$86,556.70	\$0.00	\$0.00
	870,000	03/05/04	12/10/12	\$99,050.15	\$55,118.85	\$0.00	\$43,931.30	\$0.00	\$0.00
Security Subtotal	2,660,000			\$299,012.30	\$168,524.30	\$0.00	\$130,488.00	\$0.00	\$0.00
ISHARES S&P MID CAP 400 VALUE		CUSIP: 464287705	Symbol (Box 1d): IJJ						
	250,000	03/05/04	12/10/12	\$21,758.56	\$14,808.75	\$0.00	\$6,949.81	\$0.00	\$0.00
ISHARES SMALL CAP 600 GROWTH		CUSIP: 464287887	Symbol (Box 1d): IJT						
	120,000	07/26/04	12/10/12	\$9,845.30	\$5,335.80	\$0.00	\$4,509.50	\$0.00	\$0.00
	220,000	07/26/04	12/10/12	\$18,049.71	\$9,782.30	\$0.00	\$8,267.41	\$0.00	\$0.00
Security Subtotal	340,000			\$27,895.01	\$15,118.10	\$0.00	\$12,776.91	\$0.00	\$0.00
JPMORGAN CHASE & CO		CUSIP: 46625H100	Symbol (Box 1d): JPM						
	270,000	08/13/03	03/28/12	\$12,321.17	\$9,069.30	\$0.00	\$3,251.87	\$0.00	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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THE GREENBAUM FOUNDATION
P O BOX 9910
RANCHO SANTA FE CA 92067-4910

Morgan Stanley Smith Barney Holdings LLC
Harborside Financial Center
Plaza 2 2nd Floor
Jersey City, NJ 07311

Identification Number 26-4310632

Taxpayer ID Number 87-6217358
Account Number: 632 026607 355

Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
NORFOLK SOUTHERN CORP		CUSIP: 655844108	Symbol (Box 1d): NSC						
	250.000	11/12/09	03/28/12	\$16,463.08	\$12,924.15	\$0.00	\$3,538.93	\$0.00	\$0.00
	1,610.000	11/12/09	09/26/12	\$103,988.21	\$83,231.53	\$0.00	\$20,756.68	\$0.00	\$0.00
	1,800.000	03/30/10	09/26/12	\$116,260.11	\$101,280.96	\$0.00	\$14,979.15	\$0.00	\$0.00
Security Subtotal	3,660.000			\$236,711.40	\$197,436.64	\$0.00	\$39,274.76	\$0.00	\$0.00
OCCIDENTAL PETROLEUM CORP DE		CUSIP: 674599105	Symbol (Box 1d): OXY						
	120.000	02/11/10	03/28/12	\$11,401.85	\$9,451.32	\$0.00	\$1,950.53	\$0.00	\$0.00
ORACLE CORP		CUSIP: 68389X105	Symbol (Box 1d): ORCL						
	440.000	08/12/08	03/28/12	\$12,906.80	\$10,066.63	\$0.00	\$2,840.17	\$0.00	\$0.00
PROCTER & GAMBLE		CUSIP: 742718109	Symbol (Box 1d): PG						
	150.000	06/30/06	03/28/12	\$10,056.34	\$8,521.50	\$0.00	\$1,534.84	\$0.00	\$0.00
	3,250.000	06/30/06	05/01/12	\$206,483.80	\$184,632.50	\$0.00	\$21,851.30	\$0.00	\$0.00
Security Subtotal	3,400.000			\$216,540.14	\$193,154.00	\$0.00	\$23,386.14	\$0.00	\$0.00
SCHLUMBERGER LTD		CUSIP: 806857108	Symbol (Box 1d): SLB						
	140.000	01/05/10	03/28/12	\$9,685.51	\$9,442.45	\$0.00	\$243.06	\$0.00	\$0.00
SUNCOR ENERGY INC NEW COM		CUSIP: 867224107	Symbol (Box 1d): SU						
	280.000	05/18/10	03/28/12	\$9,098.19	\$8,484.39	\$0.00	\$613.80	\$0.00	\$0.00
	5,320.000	05/18/10	08/31/12	\$166,692.08	\$161,203.45	\$0.00	\$5,488.63	\$0.00	\$0.00
Security Subtotal	5,600.000			\$175,790.27	\$169,687.84	\$0.00	\$6,102.43	\$0.00	\$0.00
TRAVELERS COMPANIES INC COM		CUSIP: 89417E109	Symbol (Box 1d): TRV						
	170.000	11/15/10	03/28/12	\$9,993.02	\$9,686.33	\$0.00	\$306.69	\$0.00	\$0.00
	3,430.000	11/15/10	12/20/12	\$252,127.48	\$195,435.91	\$0.00	\$56,691.57	\$0.00	\$0.00
Security Subtotal	3,600.000			\$262,120.50	\$205,122.24	\$0.00	\$56,998.26	\$0.00	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Morgan Stanley

Corporate Tax Statement Tax Year 2012

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box B checked.)
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DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)	NOTES
UNITED TECHNOLOGIES CORP		CUSIP: 913017109	Symbol (Box 1d): UTX						
	260 000	03/24/06	03/28/12	\$21,234.71	\$15,529.33	\$0.00	\$5,705.38	\$0.00	
	920 000	03/24/06	12/10/12	\$74,547.03	\$54,949.94	\$0.00	\$19,597.09	\$0.00	
Security Subtotal	1,180,000			\$95,781.74	\$70,479.27	\$0.00	\$25,302.47	\$0.00	
VISA INC CL A		CUSIP: 92826C839	Symbol (Box 1d): V						
	100 000	04/16/10	03/28/12	\$11,902.16	\$9,335.74	\$0.00	\$2,566.42	\$0.00	
WAL MART STORES INC		CUSIP: 931142103	Symbol (Box 1d): WMT						
	170 000	03/11/08	03/28/12	\$10,394.21	\$8,488.30	\$0.00	\$1,905.91	\$0.00	
WALT DISNEY CO HLDG CO		CUSIP: 254687106	Symbol (Box 1d): DIS						
	310 000	10/08/09	03/28/12	\$13,575.77	\$8,932.96	\$0.00	\$4,642.81	\$0.00	
WISCONSIN ENERGY CORP		CUSIP: 976657106	Symbol (Box 1d): WEC						
	360 000	05/07/10	03/28/12	\$12,579.91	\$9,109.08	\$0.00	\$3,470.83	\$0.00	
	7,640 000	05/07/10	10/17/12	\$295,918.07	\$193,314.92	\$0.00	\$102,603.15	\$0.00	
Security Subtotal	8,000,000			\$308,497.98	\$202,424.00	\$0.00	\$106,073.98	\$0.00	
YUM BRANDS INC		CUSIP: 988498101	Symbol (Box 1d): YUM						
	200 000	08/05/10	03/28/12	\$14,066.68	\$8,470.28	\$0.00	\$5,596.40	\$0.00	

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Morgan Stanley

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Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box B checked) (Continued)

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3M COMPANY	180 000	03/18/09	03/28/12	\$15,921.14	\$8,693.73	\$0.00	\$7,227.41	\$0.00	
Total Long Term Noncovered Securities				\$3,455,973.79	\$2,156,841.23	\$0.00	\$1,299,132.56	\$0.00	
Total Long Term Covered and Noncovered Securities				\$3,574,171.58	\$2,278,960.97	\$0.00	\$1,295,210.61	\$0.00	
Total Short and Long Term, Covered and Noncovered Securities				\$4,215,206.51	\$2,915,963.04	\$0.00	\$1,297,676.23	\$0.00	
Form 1099-B Total Reportable Amounts									
Total Sales Price (Box 2)				\$4,215,206.51					
Total Cost or Other Basis (Box 3)					\$759,121.81				
Total Wash Sale Loss Disallowed (Box 5)					\$0.00				
Total Fed Tax Withheld (Box 4)								\$0.00	

Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

CT The proceeds amount is the sales price of the bullion sold by the Trust to pay investment expenses. Proceeds from the sale of bullion are reported on Form 1099-B