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Department of the Treasury
Internal Revenue ServiceReturn of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust
Treated as a Private Foundation

2012

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2012, or tax year beginning , 2012, and ending

ESSE QUAM VIDERI FOUNDATION
387 SAN FERNANDO STREET
SAN DIEGO, CA 92106A Employer identification number
87-0570969B Telephone number (see the instructions)
801-254-9781C If exemption application is pending, check here ☐G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name changeD 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐I Fair market value of all assets at end of year (from Part II, column (c), line 16) ☐ Accounting method: ☒ Cash ☐ Accrual
\$ 925,587. (Part I, column (d) must be on cash basis) ☐ Other (specify)F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part II Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc. received (att sch)

2 Ck ☒ if the foundn is not req to att Sch B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5 a Gross rents

b Net rental income or (loss)

6 a Net gain/(loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a 435,011.

7 Capital gain/loss (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10 a Gross sales less returns and allowances

b Less Cost of goods sold

11 Gross profit/(loss) (att sch)

12 Other income (attach schedule)

12 Total. Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16 a Legal fees (attach schedule)

b Accounting fees (attach sch) SEE ST 1

c Other prof fees (attach sch)

17 Interest

18 Taxes (attach schedule) (see instrs) SEE STM 2

19 Depreciation (attach sch) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

SEE STATEMENT 3

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid PART XV

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

P
u

Part I Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
A S S E T S	1 Cash — non-interest-bearing	-3,022.	-1,631.	-1,631.
	2 Savings and temporary cash investments	71,452.	70,602.	70,602.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule) STATEMENT 4	105,841.	88,394.	90,001.
	b Investments — corporate stock (attach schedule) STATEMENT 5	646,919.	619,417.	678,630.
	c Investments — corporate bonds (attach schedule) STATEMENT 6	92,618.	71,931.	80,026.
	11 Investments — land, buildings, and equipment: basis			
Less: accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule) STATEMENT 7		4,348.	7,959.	
14 Land, buildings, and equipment: basis				
Less: accumulated depreciation (attach schedule)				
15 Other assets (describe)				
16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item i)	913,808.	853,061.	925,587.	
L I A B I L I T I E S	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
N E T A S S E T S O R F U N D B A L A N C E S	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	913,808.	853,061.	
	30 Total net assets or fund balances (see instructions)	913,808.	853,061.	
	31 Total liabilities and net assets/fund balances (see instructions)	913,808.	853,061.	

Part II Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	913,808.
2 Enter amount from Part I, line 27a	2	-60,747.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	853,061.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	853,061.

Part V Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)

(b) How acquired
P — Purchase
D — Donation(c) Date acquired
(month, day, year)(d) Date sold
(month, day, year)

1 a SEE STATEMENT 8

b

c

d

e

(e) Gross sales price

(f) Depreciation allowed
(or allowable)(g) Cost or other basis
plus expense of sale(h) Gain or (loss)
(e) plus (f) minus (g)

a

b

c

d

e

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) Fair Market Value
as of 12/31/69(j) Adjusted basis
as of 12/31/69(k) Excess of column (i)
over column (j), if any(l) Gains (Column (h)
gain minus column (k), but not less
than -0-) or Losses (from column (h))

a

b

c

d

e

2 Capital gain net income or (net capital loss).

If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7

2

21,929.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).

If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0-
in Part I, line 8

3

-3,261.

Part VI Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2011	45,169.	1,001,329.	0.045109
2010	17,941.	940,124.	0.019084
2009	10,241.	842,728.	0.012152
2008	22,145.	1,125,187.	0.019681
2007	36,146.	925,112.	0.039072

2 Total of line 1, column (d)

2

0.135098

3 Average distribution ratio for the 5-year base period— divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3

0.027020

4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5

4

955,028.

5 Multiply line 4 by line 3

5

25,805.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6

68.

7 Add lines 5 and 6

7

25,873.

8 Enter qualifying distributions from Part XII, line 4

8

67,583.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948— see instructions)1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1

Date of ruling or determination letter: _____ (attach copy of letter if necessary— see instrs)

b Domestic foundations that meet the section 4940(e) requirements in Part V,

check here ☒ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter 0

6 Credits/Payments:

a 2012 estimated tax pmts and 2011 overpayment credited to 2012

b Exempt foreign organizations— tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be Credited to 2013 estimated tax

Refunded

Part VII Statements Regarding Activities

1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?

If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year.

(1) On the foundation \$ 0. (2) On foundation managers \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If 'Yes,' attach a detailed description of the activities

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes

4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If 'Yes,' has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If 'Yes,' attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either.

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV

8 a Enter the states to which the foundation reports or with which it is registered (see instructions)

UT

b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation

SEE STATEMENT 9

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? If 'Yes,' complete Part XIV

10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses

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Form 990-PF (2012)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>ROSS & WORKMAN, LLC</u> Telephone no. <u>(801) 254-9781</u> Located at <u>1223 WEST CHAVEZ DRIVE, SOUTH JORDAN, UT</u> ZIP + 4 <u>84095</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? If 'Yes,' list the years <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement— see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20__</u> , <u>20__</u> , <u>20__</u> , <u>20__</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If 'Yes,' did it have excess business holdings in 2012 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4 b	X

BAA

Form 990-PF (2012)

Part VII B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870

☐ Yes ☒ No**7 a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LYNNE RICH 387 SAN FERNANDO STREET SAN DIEGO, CA 92106	TRUSTEE 3.00	12,750.	0.	0.
DIANA LICHFIELD 387 SAN FERNANDO STREET SAN DIEGO, CA 92106	TRUSTEE 0	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	0.

BAA

Form 990-PF (2012)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1 a	900,613.
b	Average of monthly cash balances	1 b	68,959.
c	Fair market value of all other assets (see instructions)	1 c	
d	Total (add lines 1a, b, and c)	1 d	969,572.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	969,572.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	14,544.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	955,028.
6	Minimum investment return. Enter 5% of line 5	6	47,751.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	47,751.
2 a	Tax on investment income for 2012 from Part VI, line 5	2 a	68.
b	Income tax for 2012 (This does not include the tax from Part VI.)	2 b	
c	Add lines 2a and 2b	2 c	68.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	47,683.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	47,683.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	47,683.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.— total from Part I, column (d), line 26	1 a	67,583.
b	Program-related investments— total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	67,583.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	68.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	67,515.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				47,683.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			48,109.	
b Total for prior years 20 __, 20 __, 20 __		0.		
3 Excess distributions carryover, if any, to 2012				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4. ► \$ 67,583.				
a Applied to 2011, but not more than line 2a			48,109.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2012 distributable amount				19,474.
e Remaining amount distributed out of corpus	0.			0.
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount – see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2012 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				28,209.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2013. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

N/A

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4942(1) (5)

[illegible]

(4) Gross investment income

1 Information Regarding Foundation Managers:

NONE

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE STATEMENT 10				
Total			3 a	67,583.
b Approved for future payment				
Total			3 b	

TEEA0503L 03/14/13

EDGE QUANT VIDENT CONDITION
NEUBERGER
387 SAN FERNANDO STREET
SAN DIEGO CA 92106-3335

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Schedule of Realized Gains and Losses

Short-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
0 500	ADT CORPORATION COM SYMBOL ADT, CUSIP 00101J106	☐	03/28/12	09/28/12	19.25	18 06	1 19
101 000	AMERICAN INTERNATIONAL GROUP INC NEW SYMBOL AIG, CUSIP 026874784	☐	08/17/12	11/06/12	3,336 05	3,484 50	-148 45
48 000	C H ROBINSON WORLDWIDE INC NEW SYMBOL CHRW, CUSIP 12541W209	☐	08/09/11	04/17/12	3,173 97	3,118.57	55.40
23 000	CAPITAL ONE FINANCIAL CORP SYMBOL: COF, CUSIP: 14040H105	☐	01/31/12	07/12/12	1,230 47	1,040.26	190 21
31.000	CELANESE CORPORATION SERIES A SYMBOL CE, CUSIP 150870103	☐	03/30/12	09/24/12	1,225 63	1,437 01	-211.38
42 000	CELANESE CORPORATION SERIES A SYMBOL: CE, CUSIP. 150870103	☐	03/30/12	11/29/12	1,690.49	1,946 91	-256 42
15 000	CUMMINS INC SYMBOL CMI, CUSIP 231021106	☐	09/14/12	12/18/12	1,637.69	1,550 31	87 38
34 000	CUMMINS INC SYMBOL. CMI, CUSIP: 231021106	☐	12/29/11	12/18/12	3,712 10	3,026 62	685.48
58 000	EBAY INC SYMBOL. EBAY, CUSIP 278642103	☐	08/09/11	04/12/12	2,115 21	1,673 42	441 79
18 000	EL PASO CORPORATION CUSIP 28336L109	☐	05/25/11	03/12/12	517 94	379 73	138 21
124.000	EL PASO CORPORATION CUSIP 28336L109	☐	05/24/11	03/12/12	3,568 01	2,525 83	1,042.18
108 000	EL PASO CORPORATION CUSIP 28336L109	☐	11/15/11	05/31/12	3,166 10	2,688 12	477 98
107 000	EL PASO CORPORATION CUSIP 28336L109	☐	06/17/11	05/31/12	3,136 78	2,085 88	1,050 90

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Schedule of Realized Gains and Losses (cont)

Short-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
3 000	GOOGLE INC CLA SYMBOL: GOOG, CUSIP: 38259P508	☐	01/27/12	07/12/12	1,700 96	1,722 60	-21 64
49 000	IRON MOUNTAIN INC SYMBOL: IRM, CUSIP: 462846106	☐	08/05/11	06/06/12	1,562 08	1,480 42	81 66
13 000	IRON MOUNTAIN INC SYMBOL: IRM, CUSIP: 462846106	☐	08/05/11	06/07/12	417 42	392 76	24.66
0 249	KINDER MORGAN INC SYMBOL: KMI, CUSIP: 49456B101	☐	06/17/11	06/05/12	8 22	8.01	0 21
0.252	KINDER MORGAN INC SYMBOL: KMI, CUSIP: 49456B101	☐	11/15/11	06/05/12	8 31	8 08	0 23
81.000	LOWES COMPANIES INC SYMBOL: LOW, CUSIP: 548661107	☐	02/15/12	08/08/12	2,138 43	2,214 53	-76 10
58.000	LOWES COMPANIES INC SYMBOL: LOW, CUSIP: 548661107	☐	02/29/12	08/15/12	1,558.44	1,644 82	-86.38
37 000	LOWES COMPANIES INC SYMBOL: LOW, CUSIP: 548661107	☐	02/15/12	08/15/12	994 18	1,011.58	-17.40
0 191	PENTAIR LTD SHS SYMBOL: PNR, CUSIP: H6169Q108	☐	03/28/12	09/28/12	8.54	7 87	0 67
6.239	PENTAIR LTD SHS SYMBOL: PNR, CUSIP: H6169Q108	☐	04/20/12	11/20/12	286.01	255 82	30.19
11 757	PENTAIR LTD SHS SYMBOL: PNR, CUSIP: H6169Q108	☐	07/10/12	11/20/12	539 02	453 02	86.00
19 004	PENTAIR LTD SHS SYMBOL: PNR, CUSIP: H6169Q108	☐	03/28/12	11/20/12	871 27	782 41	88.86
49 000	POTASH CORP OF SASKATCHEWAN INC CANADIAN LISTED SYMBOL: POT, CUSIP: 73755L107	☐	09/21/11	03/28/12	2,210 89	2,507 59	-296 70
26.000	POTASH CORP OF SASKATCHEWAN INC CANADIAN LISTED SYMBOL: POT, CUSIP: 73755L107	☐	10/12/11	03/28/12	1,173.12	1,264 93	-91.81
10 000	POTASH CORP OF SASKATCHEWAN INC CANADIAN LISTED SYMBOL: POT, CUSIP: 73755L107	☐	10/12/11	08/31/12	405 51	486 51	-81.01
65 000	POTASH CORP OF SASKATCHEWAN INC CANADIAN LISTED SYMBOL: POT, CUSIP: 73755L107	☐	12/22/11	08/31/12	2,635 78	2,682 17	-46 39

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Schedule of Realized Gains and Losses (cont)

Short-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
49 000	ROVI CORP SYMBOL ROVI, CUSIP 779376102	☐	11/21/11	02/24/12	1,740 32	1,328.03	412 29
75 000	ROVI CORP SYMBOL. ROVI, CUSIP 779376102	☐	11/21/11	07/18/12	787 45	2,032.69	-1,245 25
63 000	ROVI CORP SYMBOL ROVI, CUSIP: 779376102	☐	12/19/11	07/18/12	661 45	1,489.06	-827.61
39 000	SCHLUMBERGER LTD SYMBOL: SLB, CUSIP. 806857108	☐	12/06/11	03/26/12	2,821 64	2,991 30	-169 66
25 000	SCHLUMBERGER LTD SYMBOL: SLB, CUSIP 806857108	☐	01/20/12	03/26/12	1,808 74	1,839.39	-30 65
34 000	SOLERA HOLDINGS INC SYMBOL: SLH, CUSIP 83421A104	☐	08/04/11	05/11/12	1,553 47	1,897 88	-344 41
32 000	SOLERA HOLDINGS INC SYMBOL: SLH, CUSIP 83421A104	☐	08/25/11	05/11/12	1,462 08	1,698.82	-236.74
26.000	TYCO INTERNATIONAL LTD SYMBOL. TYC, CUSIP H89128104	☐	04/20/12	10/08/12	732 14	715 22	16 92
80.000	TYCO INTERNATIONAL LTD SYMBOL. TYC, CUSIP H89128104	☐	03/28/12	10/08/12	2,252 75	2,209.50	43 25
49 000	TYCO INTERNATIONAL LTD SYMBOL. TYC, CUSIP. H89128104	☐	07/10/12	10/08/12	1,379.81	1,266 58	113.23
14 000	VISA INC CLA COMMON STOCK SYMBOL. V, CUSIP: 92826C839	☐	07/12/11	02/15/12	1,607 90	1,234 94	372.96
6 000	VISA INC CLA COMMON STOCK SYMBOL V, CUSIP 92826C839	☐	07/12/11	03/27/12	719 98	529 26	190 72
0.320	WTS KINDER MORGAN INC DEL WT EXP PUR CL P COM SYMBOL. KMIWS, CUSIP 49456B119	☐	06/17/11	06/05/12	0 75	0 61	0 14
0 323	WTS KINDER MORGAN INC DEL WT EXP PUR CL P COM SYMBOL: KMIWS, CUSIP 49456B119	☐	11/15/11	06/05/12	0 76	0 62	0 14
68 797	WTS KINDER MORGAN INC DEL WT EXP PUR CL P COM SYMBOL. KMIWS, CUSIP 49456B119	☐	11/15/11	08/21/12	206 38	131.05	75 33
Short-Term Subtotal					62,783.49		1,520.18

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Schedule of Realized Gains and Losses (cont)

Long-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
28 000	AMERICAN TOWER CORPORATION NEW REIT SYMBOL AMT, CUSIP 03027X100	☒	05/14/09	01/13/12	1,737 11	808 84	928.28
22 000	AMERICAN TOWER CORPORATION NEW REIT SYMBOL. AMT, CUSIP 03027X100	☒	05/14/09	07/12/12	1,537.59	635 51	902 08
3 000	BLACKROCK INC SYMBOL BLK, CUSIP 09247X101	☒	11/03/10	09/05/12	532 08	498.50	33 58
13.000	BORG WARNER AUTOMOTIVE INC SYMBOL BWA, CUSIP 099724106	☐	06/17/11	12/03/12	861 88	913 59	-51 71
20 000	BORG WARNER AUTOMOTIVE INC SYMBOL BWA, CUSIP 099724106	☐	02/04/11	12/03/12	1,325.97	1,326 76	-0.79
5 000	BORG WARNER AUTOMOTIVE INC SYMBOL BWA, CUSIP 099724106	☒	10/27/10	12/03/12	331 49	273.00	58.50
45 000	DISCOVERY COMMUNICATIONS INC COM SER C SYMBOL DISCK, CUSIP 25470F302	☒	12/30/10	04/13/12	2,171 20	1,649.18	522 02
14.000	DISCOVERY COMMUNICATIONS INC COM SER C SYMBOL DISCK, CUSIP 25470F302	☒	12/30/10	12/20/12	825.45	513.08	312 37
16.000	DISCOVERY COMMUNICATIONS INC COM SER C SYMBOL DISCK, CUSIP 25470F302	☐	01/07/11	12/20/12	943 37	557.92	385 45
25.000	EBAY INC SYMBOL EBAY, CUSIP: 278642103	☐	08/09/11	08/13/12	1,136 72	721 30	415 42
66.000	EL PASO CORPORATION CUSIP: 28336L109	☐	05/25/11	05/31/12	1,934.84	1,392.34	542.50
19 000	INTERNATIONAL BUSINESS MACHINES CORP SYMBOL IBM, CUSIP 459200101	☒	10/21/09	04/12/12	3,894.91	2,330 88	1,564.03
0 154	KINDER MORGAN INC SYMBOL. KMI, CUSIP 49456B101	☐	05/25/11	06/05/12	5 07	4 94	0 13
5 000	MONSANTO CO NEW SYMBOL MON, CUSIP 61166W101	☒	11/04/10	05/30/12	382 68	313 00	69.68
38.000	MONSANTO CO NEW SYMBOL MON, CUSIP 61166W101	☒	12/23/10	05/30/12	2,908 37	2,534 60	373 77
53 000	MSCI INC SYMBOL MSCI, CUSIP. 55354G100	☐	02/17/11	05/31/12	1,795 17	1,944 60	-149 43
84 000	MSCI INC SYMBOL MSCI, CUSIP 55354G100	☐	03/01/11	05/31/12	2,845 18	2,923 10	-77 92

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Schedule of Realized Gains and Losses (cont)

Long-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
6 000	NEXTERA ENERGY INC SYMBOL NEE, CUSIP 65339F101	☒	05/14/09	02/02/12	359 99	330 06	29 93
21 000	NEXTERA ENERGY INC SYMBOL NEE, CUSIP 65339F101	☒	03/18/09	02/02/12	1,259 97	1,041 39	218 58
26.000	NEXTERA ENERGY INC SYMBOL NEE, CUSIP 65339F101	☒	05/14/09	02/07/12	1,570 80	1,430 26	140 54
1.000	NEXTERA ENERGY INC SYMBOL NEE, CUSIP 65339F101	☒	05/14/09	03/15/12	60 26	55.01	5 25
26.000	NEXTERA ENERGY INC SYMBOL NEE, CUSIP 65339F101	☒	04/09/10	03/15/12	1,566 73	1,284 64	282 09
104.000	NIELSEN HOLDINGS N V US LISTED SYMBOL: NLSN, CUSIP N63218106	☐	03/01/11	10/17/12	3,327 92	2,800 52	527 40
18 000	PALL CORP SYMBOL: PLL, CUSIP 696429307	☒	12/29/10	05/29/12	1,037 90	896 40	141 50
38 000	PALL CORP SYMBOL: PLL, CUSIP 696429307	☒	06/08/10	05/29/12	2,191.13	1,234 10	957 03
10 000	PRAXAIR INC SYMBOL PX, CUSIP 74005P104	☒	12/20/10	05/11/12	1,117 47	939.53	177 94
20.000	PRAXAIR INC SYMBOL: PX, CUSIP: 74005P104	☒	08/03/09	05/11/12	2,234.95	1,571.17	663 78
20.000	VERISK ANALYTICS INC CLA SYMBOL VRSK, CUSIP 92345Y106	☒	11/19/09	07/30/12	1,013.38	569.87	443 51
17 000	VISA INC CLA COMMON STOCK SYMBOL: V, CUSIP 92826C839	☐	07/12/11	09/05/12	2,176 36	1,499.57	676 79
0.197	WTS KINDER MORGAN INC DEL WT EXP PUR CL P COM SYMBOL: KMIWS, CUSIP 49456B119	☐	05/25/11	06/05/12	0.46	0 38	0 08
42 043	WTS KINDER MORGAN INC DEL WT EXP PUR CL P COM SYMBOL: KMIWS, CUSIP: 49456B119	☐	05/25/11	08/21/12	126 12	80 09	46.03
68 160	WTS KINDER MORGAN INC DEL WT EXP PUR CL P COM SYMBOL: KMIWS, CUSIP 49456B119	☐	06/17/11	08/21/12	204 47	129.84	74 63
Long-Term Subtotal					43,416.99		10,213.04
Total Gains and Losses					106,200.48		11,733.22
Short-Term							1,520.18
Long-Term							10,213.04

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Schedule of Realized Gains and Losses

Short-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
8 000	AMERIPRISE FINL INC SYMBOL AMP, CUSIP: 03076C106	☐	09/26/11	06/04/12	363.46	318.33	45.14
92.000	AT&T INC SYMBOL T, CUSIP 00206R102	☐	04/18/11	04/18/12	2,824.81	2,791.27	33.54
56.000	CONSOL ENERGY INC SYMBOL CNX, CUSIP: 20854P109	☐	02/02/12	09/07/12	1,748.57	2,131.33	-382.76
49 000	CONSOL ENERGY INC SYMBOL CNX, CUSIP: 20854P109	☐	02/02/12	09/17/12	1,580.06	1,864.92	-284.86
18 000	CONSOL ENERGY INC SYMBOL CNX, CUSIP: 20854P109	☐	03/08/12	09/17/12	580.43	593.79	-13.36
4 000	COVIDIEN PLC SYMBOL. COV, CUSIP G2554F113	☐	04/18/11	03/05/12	208.20	210.56	-2.36
120 000	DELL INC SYMBOL. DELL, CUSIP. 24702R101	☐	04/18/11	02/17/12	2,152.66	1,757.99	394.67
120 000	DELL INC SYMBOL DELL, CUSIP. 24702R101	☐	02/24/12	06/04/12	1,432.78	2,101.91	-669.13
86 000	DELL INC SYMBOL DELL, CUSIP. 24702R101	☐	09/26/11	06/04/12	1,026.83	1,240.73	-213.90
3 000	FLOWSERVE CORP SYMBOL FLS, CUSIP 34354P105	☐	07/11/12	09/06/12	386.86	327.24	59.62
17.000	FLOWSERVE CORP SYMBOL FLS, CUSIP 34354P105	☐	06/29/12	09/06/12	2,192.19	1,948.11	244.08
11 000	JOHNSON & JOHNSON SYMBOL: JNJ, CUSIP 478160104	☐	04/18/11	04/12/12	703.36	666.05	37.31
39 000	MICROSOFT CORP SYMBOL MSFT, CUSIP 594918104	☐	04/18/11	03/08/12	1,252.75	982.02	270.73
16 000	PHILIP MORRIS INTERNATIONAL INC SYMBOL PM, CUSIP 718172109	☐	04/18/11	04/13/12	1,414.65	1,055.36	359.29
52 000	ST JUDE MEDICAL INC SYMBOL STJ, CUSIP 790849103	☐	12/02/11	07/19/12	1,984.32	1,990.93	-6.61

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Schedule of Realized Gains and Losses (cont)

Short-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
1 000	ST JUDE MEDICAL INC SYMBOL STJ, CUSIP 790849103	☐	12/12/11	07/19/12	38 16	34 92	3 24
53 000	ST JUDE MEDICAL INC SYMBOL STJ, CUSIP 790849103	☐	12/12/11	11/05/12	2,050 03	1,850 69	199 34
61 000	WALGREEN CO SYMBOL WAG, CUSIP 931422109	☐	11/07/11	06/29/12	1,795 39	2,002.85	-207 46
52 000	WALGREEN CO SYMBOL WAG, CUSIP 931422109	☐	12/30/11	06/29/12	1,530 49	1,731.26	-200 77
Short-Term Subtotal					25,266.00		-334.25

Long-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
13.000	ABBOTT LABORATORIES SYMBOL: ABT, CUSIP 002824100	☐	04/18/11	05/08/12	803 66	666 49	137.17
36 000	ABBOTT LABORATORIES SYMBOL ABT, CUSIP 002824100	☐	04/18/11	10/01/12	2,492 96	1,845 67	647 29
24 000	AMERIPRISE FINL INC SYMBOL. AMP, CUSIP 03076C106	☐	04/18/11	06/04/12	1,090 39	1,476 21	-385.82
2 000	APACHE CORP SYMBOL APA, CUSIP 037411105	☒	11/10/10	05/29/12	167 32	220 10	-52 78
10.000	APACHE CORP SYMBOL: APA, CUSIP. 037411105	☒	06/01/10	05/29/12	836 59	881 10	-44 51
15 000	APACHE CORP SYMBOL APA, CUSIP: 037411105	☐	04/18/11	07/11/12	1,268 65	1,812.90	-544 25
4 000	APACHE CORP SYMBOL: APA, CUSIP. 037411105	☐	01/13/11	07/11/12	338 31	498.96	-160.65
4 000	APACHE CORP SYMBOL: APA, CUSIP 037411105	☒	11/10/10	07/11/12	338 31	440 20	-101 89
1 000	AT&T INC SYMBOL T, CUSIP 00206R102	☒	02/14/06	04/18/12	30 70	28 68	2.02
8 000	AT&T INC SYMBOL T, CUSIP 00206R102	☒	09/30/08	04/18/12	245 63	231 16	14 47
6 000	AT&T INC SYMBOL. T, CUSIP 00206R102	☒	03/04/09	04/18/12	184 23	137.27	46.96
15 000	AT&T INC SYMBOL: T, CUSIP 00206R102	☒	11/21/08	04/18/12	460 56	364 25	96 31
23 000	AT&T INC SYMBOL T, CUSIP 00206R102	☒	05/15/09	04/18/12	706 20	570.17	136 03
21 000	AT&T INC SYMBOL: T, CUSIP 00206R102	☒	05/25/10	04/18/12	644 79	504.42	140 37

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Schedule of Realized Gains and Losses (cont)

Long-Term (cont)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
26 000	AT&T INC SYMBOL T, CUSIP 00206R102	☒	06/11/10	04/18/12	798 31	653 12	145 19
146.000	BANK OF AMERICA CORP SYMBOL BAC, CUSIP 060505104	☒	11/29/10	03/01/12	1,184 18	1,644 98	-460 80
78 000	BANK OF AMERICA CORP SYMBOL: BAC, CUSIP 060505104	☐	01/05/11	12/13/12	821 39	1,127 81	-306 41
24 000	BANK OF AMERICA CORP SYMBOL BAC, CUSIP 060505104	☒	11/29/10	12/13/12	252 74	270.41	-17 67
11 000	COMCAST CORP NEW CLA SYMBOL CMCSA, CUSIP 20030N101	☒	01/12/10	03/01/12	324 08	183 14	140 94
24 000	COMCAST CORP NEW CLA SYMBOL CMCSA, CUSIP 20030N101	☒	10/12/09	03/01/12	707 09	369 60	337 49
26 000	COMCAST CORP NEW CLA SYMBOL CMCSA, CUSIP 20030N101	☒	01/12/10	12/06/12	964 36	432 88	531.48
3.000	COVIDIEN PLC SYMBOL COV, CUSIP G2554F113	☒	09/30/08	03/05/12	156 15	157.82	-1.67
1 000	COVIDIEN PLC SYMBOL COV, CUSIP G2554F113	☒	10/24/08	03/05/12	52 05	41 07	10 98
6 000	COVIDIEN PLC SYMBOL COV, CUSIP G2554F113	☒	05/16/08	03/05/12	312 29	297 41	14.88
5 000	COVIDIEN PLC SYMBOL: COV, CUSIP G2554F113	☒	04/24/08	03/05/12	260 25	236 33	23.91
11 000	COVIDIEN PLC SYMBOL COV, CUSIP G2554F113	☒	02/26/09	03/05/12	572 54	374 76	197.78
16 000	COVIDIEN PLC SYMBOL COV, CUSIP G2554F113	☒	05/15/09	03/05/12	832 79	581 76	251 03
23 000	DEERE & CO SYMBOL DE, CUSIP 244199105	☐	04/18/11	05/23/12	1,702 93	2,112.09	-409 16
28 000	DELL INC SYMBOL DELL, CUSIP 24702R101	☐	04/18/11	06/04/12	334 32	410.20	-75 88
5 000	EXXON MOBIL CORP SYMBOL XOM, CUSIP 30231G102	☒	04/27/10	02/02/12	417 30	349.65	67 65
4 000	EXXON MOBIL CORP SYMBOL XOM, CUSIP 30231G102	☒	03/16/10	02/02/12	333 84	265 60	68 24
6 000	EXXON MOBIL CORP SYMBOL: XOM, CUSIP 30231G102	☒	04/29/10	02/02/12	500 76	411.30	89 46
31 000	EXXON MOBIL CORP SYMBOL XOM, CUSIP 30231G102	☒	12/15/09	02/02/12	2,587 27	2,160.90	426.38
19 000	FRANKLIN RESOURCES INC SYMBOL BEN, CUSIP 354613101	☐	04/18/11	06/14/12	2,005 66	2,315.08	-309 42

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Schedule of Realized Gains and Losses (cont)

Long-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
2 000	JOHNSON & JOHNSON SYMBOL JNJ, CUSIP 478160104	☒	09/22/09	01/31/12	131 44	121 90	9 54
5 000	JOHNSON & JOHNSON SYMBOL JNJ, CUSIP 478160104	☒	11/12/10	01/31/12	328 60	318 70	9 90
6 000	JOHNSON & JOHNSON SYMBOL JNJ, CUSIP 478160104	☒	09/14/09	01/31/12	394 32	362 22	32 10
1 000	JOHNSON & JOHNSON SYMBOL JNJ, CUSIP 478160104	☒	11/12/10	04/12/12	63 94	63 74	0 20
51.000	PFIZER INC SYMBOL PFE, CUSIP: 717081103	☒	11/12/10	01/31/12	1,091 88	862 40	229 48
37.000	PFIZER INC SYMBOL PFE, CUSIP: 717081103	☒	11/12/10	02/17/12	783 32	625 67	157.65
1 000	PFIZER INC SYMBOL PFE, CUSIP: 717081103	☐	01/05/11	04/18/12	22 50	18 19	4 31
39 000	PFIZER INC SYMBOL PFE, CUSIP: 717081103	☒	11/12/10	04/18/12	877 48	659 49	217 99
64 000	PFIZER INC SYMBOL PFE, CUSIP 717081103	☐	01/10/11	04/18/12	1,439 96	1,168.64	271 32
10.000	PHILIP MORRIS INTERNATIONAL INC SYMBOL PM, CUSIP: 718172109	☐	03/07/11	04/13/12	884 16	636 70	247 46
23.000	PHILIP MORRIS INTERNATIONAL INC SYMBOL PM, CUSIP: 718172109	☐	04/18/11	05/08/12	2,011.14	1,517.08	494.06
13.000	SEMPRA ENERGY SYMBOL SRE, CUSIP: 816851109	☐	04/18/11	06/27/12	883.86	693 03	190.83
57 000	SEMPRA ENERGY SYMBOL SRE, CUSIP: 816851109	☐	04/18/11	07/17/12	3,959.44	3,038.66	920 78
22 000	WALT DISNEY CO SYMBOL DIS, CUSIP: 254687106	☐	01/27/11	07/25/12	1,061 49	868.75	192 74
73 000	XYLEM INC COM SYMBOL XYL, CUSIP: 98419M100	☐	04/18/11	11/14/12	1,757 52	2,427 78	-670.26
41 000	XYLEM INC COM SYMBOL XYL, CUSIP 98419M100	☐	08/09/11	11/14/12	987 10	1,103 64	-116 54
Long-Term Subtotal					41,406.75		2,846.68
Total Gains and Losses					66,672.75		2,512.43
Short-Term							-334.25
Long-Term							2,846.68

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Schedule of Realized Gains and Losses

Short-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
37 000	AMERICAN INTERNATIONAL GROUP INC NEW SYMBOL: AIG, CUSIP: 026874784	☐	05/07/12	12/05/12	1,258 71	1,152 87	105.84
35 000	BEST BUY COMPANY INC SYMBOL: BBY, CUSIP: 086516101	☐	04/13/12	10/24/12	590 63	772 88	-182 26
25 000	BEST BUY COMPANY INC SYMBOL: BBY, CUSIP: 086516101	☐	04/16/12	10/24/12	421 88	545 41	-123 54
20 000	BEST BUY COMPANY INC SYMBOL: BBY, CUSIP: 086516101	☐	04/11/12	10/24/12	337 50	434.88	-97.38
2,000.000	GOLDMAN SACHS GROUP INC SR UNSECURED 5 750% DUE 01/24/2022 ORIGINAL COST: 2,013 60, PREMIUM AMORTIZED 0 91, ORIGINAL PRICE: 100 680 CUSIP 38141GGS7	☒	01/20/12	12/05/12	2,380 76	2,012 69	368.07
105.000	HEWLETT PACKARD CO SYMBOL: HPQ, CUSIP: 428236103	☐	03/05/12	11/20/12	1,245 22	2,614.52	-1,369.30
92 000	HEWLETT PACKARD CO SYMBOL: HPQ, CUSIP: 428236103	☐	03/21/12	11/20/12	1,091.05	2,158.73	-1,067 68
81 000	HEWLETT PACKARD CO SYMBOL: HPQ, CUSIP: 428236103	☐	03/06/12	11/20/12	960 60	1,990 74	-1,030 14
63 000	HEWLETT PACKARD CO SYMBOL: HPQ, CUSIP: 428236103	☐	03/14/12	11/20/12	747 13	1,529 72	-782.59
55 000	HEWLETT PACKARD CO SYMBOL: HPQ, CUSIP: 428236103	☐	03/13/12	11/20/12	652 26	1,347 18	-694 92
97 000	HEWLETT PACKARD CO SYMBOL: HPQ, CUSIP: 428236103	☐	08/02/12	11/20/12	1,150 34	1,716.22	-565.88
29 000	MOTOROLA SOLUTIONS INC SYMBOL: MSI, CUSIP: 620076307	☐	08/12/11	04/25/12	1,487 69	1,160 64	327 05
11,000.000	UNITED STATES TREASURY NOTE 0 625% DUE 06/30/2012 ORIGINAL COST: 11,047 27, PREMIUM AMORTIZED: 47 27, ORIGINAL PRICE: 100 430 CUSIP 912828NS5	☒	08/04/11	07/02/12	11,000 00	11,000 00	0 00
9,000 000	UNITED STATES TREASURY NOTE	☒	08/01/11	07/31/12	9,000 00	9,000.00	0.00

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Schedule of Realized Gains and Losses (cont)

Short-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	0 625% DUE 07/31/2012 ORIGINAL COST 9,033.05, PREMIUM AMORTIZED 33.05, ORIGINAL PRICE 100.367 CUSIP 912828NQ9						
17,000.000	UNITED STATES TREASURY NOTE 0.375% DUE 08/31/2012 ORIGINAL COST 17,031.21, PREMIUM AMORTIZED 31.21, ORIGINAL PRICE 100.184 CUSIP 912828PH7	☒	01/09/12	08/31/12	17,000.00	17,000.00	0.00
1,000.000	UNITED STATES TREASURY NOTE 0 125% DUE 08/31/2013 CUSIP 912828RD4	☒	09/05/12	12/05/12	999.57	999.41	0.16
1,000.000	UNITED STATES TREASURY NOTE 1.000% DUE 09/30/2016 ORIGINAL COST 1,016.95, PREMIUM AMORTIZED 3.03, ORIGINAL PRICE 101.695 CUSIP 912828RJ1	☒	01/31/12	12/05/12	1,021.68	1,013.92	7.76
1,000.000	UNITED STATES TREASURY NOTE 0.125% DUE 09/30/2013 CUSIP 912828RK8	☒	11/29/12	12/05/12	999.53	999.53	0.00
1,000.000	UNITED STATES TREASURY NOTE 0.250% DUE 10/31/2013 ORIGINAL COST 1,000.51, PREMIUM AMORTIZED 0.02, ORIGINAL PRICE 100.051 CUSIP 912828RN2	☒	11/29/12	12/05/12	1,000.47	1,000.49	-0.03
1,000.000	UNITED STATES TREASURY NOTE 0 875% DUE 02/28/2017 ORIGINAL COST 1,002.19, PREMIUM AMORTIZED 0.33, ORIGINAL PRICE 100.219 CUSIP 912828SJ0	☒	02/28/12	12/05/12	1,016.25	1,001.86	14.39
1,000.000	UNITED STATES TREASURY NOTE 0 375% DUE 03/15/2015 CUSIP: 912828SK7	☒	03/30/12	12/05/12	1,002.19	996.95	5.24
1,000.000	UNITED STATES TREASURY NOTE 0.250% DUE 03/31/2014 ORIGINAL COST 1,000.39, PREMIUM AMORTIZED 0.07, ORIGINAL PRICE 100.039 CUSIP 912828SL5	☒	09/05/12	12/05/12	998.71	1,000.32	-1.62
20.000	US BANCORP DEL DEPOSITARY SHARES REPSTG 6.5% 1/1000TH NON CUM PERP PFD SER SYMBOL USB-M, CUSIP: 902973833	☐	01/19/12	10/12/12	599.58	503.40	96.18
3.000	US BANCORP DEL DEPOSITARY SHARES REPSTG 6.5% 1/1000TH NON CUM PERP PFD SER SYMBOL USB-M, CUSIP 902973833	☐	01/31/12	10/16/12	90.00	76.59	13.41
10.000	US BANCORP DEL DEPOSITARY SHARES REPSTG 6.5% 1/1000TH NON CUM PERP PFD SER SYMBOL USB-M, CUSIP 902973833	☐	01/23/12	10/16/12	299.99	252.76	47.23
10.000	US BANCORP DEL DEPOSITARY SHARES REPSTG 6.5% 1/1000TH NON CUM PERP PFD SER SYMBOL: USB-M, CUSIP 902973833	☐	01/19/12	10/16/12	299.99	251.70	48.29

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Schedule of Realized Gains and Losses (cont)

Short-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
15 000	US BANCORP DEL DEPOSITARY SHARES REPSTG 6 5% 1/1000TH NON CUM PERP PFD SER SYMBOL USB-M, CUSIP 902973833	☐	01/23/12	10/16/12	449 99	379 05	70 94
20 000	US BANCORP DEL DEPOSITARY SHARES REPSTG 6 5% 1/1000TH NON CUM PERP PFD SER SYMBOL USB-M, CUSIP 902973833	☐	01/24/12	10/16/12	599 99	505 00	94 99
26 000	US BANCORP DEL DEPOSITARY SHARES REPSTG 6 5% 1/1000TH NON CUM PERP PFD SER SYMBOL USB-M, CUSIP 902973833	☐	01/25/12	10/16/12	779 98	656 50	123 48
30 000	US BANCORP DEL DEPOSITARY SHARES REPSTG 6 5% 1/1000TH NON CUM PERP PFD SER SYMBOL USB-M, CUSIP 902973833	☐	01/19/12	10/16/12	899 98	755 10	144 88
Short-Term Subtotal					60,381.67		-4,447.43

Long-Term

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
20 000	AON CORP CUSIP: 037389103	☒	12/01/10	03/15/12	978.92	819 60	159.32
214 000	AON CORP CUSIP: 037389103	☒	12/01/10	04/02/12	10,503 12	8,769.71	1,733 41
58 000	AON PLC SHS CLA SYMBOL AON, CUSIP: G0408V102	☒	12/01/10	12/05/12	3,289 68	2,846 64	443 04
1,000 000	ARROW ELECTRS INC NT 6 000% DUE 04/01/2020 ORIGINAL COST 1,050 65, PREMIUM AMORTIZED 5 16, ORIGINAL PRICE 105 065 CUSIP 042735BA7	☒	12/01/10	02/06/12	1,089 46	1,045 49	43 97
1,000 000	ARROW ELECTRS INC NT 6 000% DUE 04/01/2020 ORIGINAL COST 1,050 65, PREMIUM AMORTIZED 5 30, ORIGINAL PRICE 105.065 CUSIP 042735BA7	☒	12/01/10	02/16/12	1,090 98	1,045 35	45 62
2,000 000	ARROW ELECTRS INC NT 6 000% DUE 04/01/2020 ORIGINAL COST 2,101 30, PREMIUM AMORTIZED 11 44, ORIGINAL PRICE 105 065 CUSIP 042735BA7	☒	12/01/10	03/22/12	2,169 66	2,089 86	79 80
80 000	BARRICK GOLD CORP SYMBOL ABX, CUSIP 067901108	☒	12/01/10	06/06/12	3,220 55	4,149 48	-928 93

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Schedule of Realized Gains and Losses (cont)

Long-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
114 000	CA INC SYMBOL: CA, CUSIP 12673P105	☒	12/01/10	12/05/12	2,509 19	2,695 99	-186.80
45 000	CISCO SYSTEMS INC SYMBOL: CSCO, CUSIP: 17275R102	☐	05/12/11	12/05/12	868 48	758 80	109 68
65.000	CITIGROUP INC COM NEW SYMBOL: C, CUSIP 172967424	☒	12/01/10	12/05/12	2,375 04	2,788 50	-413 46
67 000	CVS CAREMARK CORPORATION SYMBOL: CVS, CUSIP 126650100	☒	12/01/10	07/19/12	3,095 90	2,125.81	970 09
20,000.000	FEDERAL NATIONAL MTG ASSN 2 000% DUE 01/09/2012 ORIGINAL COST 20,357.20, PREMIUM AMORTIZED 357 20, ORIGINAL PRICE 101 786 CUSIP 31398AUU4	☒	12/01/10	01/09/12	20,000 00	20,000 00	0.00
2,000 000	GENERAL ELEC CO DEB 5 250% DUE 12/06/2017 ORIGINAL COST 2,183.47, PREMIUM AMORTIZED 47.74, ORIGINAL PRICE 109 173 CUSIP: 369604BC6	☒	12/01/10	12/05/12	2,365 10	2,135.73	229 34
225 000	GENWORTH FINANCIAL INC COM CLA SYMBOL: GNW, CUSIP: 37247D106	☒	12/01/10	04/18/12	1,383 62	2,684 23	-1,300.61
368.000	GENWORTH FINANCIAL INC COM CLA SYMBOL: GNW, CUSIP: 37247D106	☒	12/01/10	04/19/12	2,222 88	4,390.20	-2,167 32
2 000	GENWORTH FINANCIAL INC COM CLA SYMBOL: GNW, CUSIP 37247D106	☒	12/03/10	04/19/12	12.08	24.96	-12.88
35 000	GENWORTH FINANCIAL INC COM CLA SYMBOL: GNW, CUSIP: 37247D106	☒	12/03/10	04/20/12	213.00	436 81	-223.81
50 000	GENWORTH FINANCIAL INC COM CLA SYMBOL: GNW, CUSIP: 37247D106	☒	12/03/10	04/23/12	300 39	624.02	-323 63
25 000	GENWORTH FINANCIAL INC COM CLA SYMBOL: GNW, CUSIP: 37247D106	☒	12/03/10	04/24/12	151.53	312 01	-160 48
60 000	GENWORTH FINANCIAL INC COM CLA SYMBOL: GNW, CUSIP: 37247D106	☒	12/03/10	04/25/12	351 15	748 82	-397.67
30.000	GENWORTH FINANCIAL INC COM CLA SYMBOL: GNW, CUSIP 37247D106	☒	12/03/10	04/26/12	177 35	374 41	-197 06
35 000	GENWORTH FINANCIAL INC COM CLA SYMBOL: GNW, CUSIP 37247D106	☒	12/03/10	04/27/12	208.50	436 81	-228 31
2,000 000	GENWORTH FINANCIAL INC	☒	12/01/10	04/18/12	2,025.00	2,047 16	-22 18

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Schedule of Realized Gains and Losses (cont)

Long-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
	NOTE 5.750% DUE 06/15/2014 ORIGINAL COST 2,075.13, PREMIUM AMORTIZED 27.97, ORIGINAL PRICE 103.756 CUSIP: 37247DAE6						
1,000.000	GENWORTH FINANCIAL INC NOTE 5.750% DUE 06/15/2014 ORIGINAL COST 1,037.56, PREMIUM AMORTIZED 13.97, ORIGINAL PRICE 103.756 CUSIP 37247DAE6	☒	12/01/10	04/18/12	1,016.25	1,023.59	-7.34
4,000.000	GENWORTH FINANCIAL INC NOTE 5.750% DUE 06/15/2014 ORIGINAL COST 4,150.25, PREMIUM AMORTIZED 55.99, ORIGINAL PRICE 103.756 CUSIP 37247DAE6	☒	12/01/10	04/19/12	4,065.00	4,094.26	-29.26
12.000	GOLDMAN SACHS GROUP INC SYMBOL: GS, CUSIP 38141G104	☒	12/01/10	12/05/12	1,408.64	1,895.40	-486.76
8,000.000	GOLDMAN SACHS GROUP INC MEDIUM TERM NTS 6.000% DUE 05/01/2014 ORIGINAL COST: 8,840.21, PREMIUM AMORTIZED 272.33, ORIGINAL PRICE 110.503 CUSIP 38141EA33	☒	12/01/10	01/20/12	8,457.12	8,567.88	-110.76
35.000	HALLIBURTON CO SYMBOL: HAL, CUSIP 406216101	☒	12/01/10	12/05/12	1,171.07	1,362.55	-191.48
93.000	HARTFORD FINANCIAL SERVICES GROUP INC SYMBOL: HIG, CUSIP 416515104	☒	12/01/10	12/05/12	2,002.24	2,132.48	-130.24
55.000	HESS CORPORATION SYMBOL: HES, CUSIP 42809H107	☒	12/01/10	01/03/12	3,215.99	3,965.49	-749.50
51.000	HESS CORPORATION SYMBOL: HES, CUSIP 42809H107	☒	12/01/10	01/04/12	2,990.57	3,677.10	-686.53
4,000.000	HEWLETT PACKARD CO SENIOR NOTES 3.000% DUE 09/15/2016 ORIGINAL COST 4,003.88, PREMIUM AMORTIZED 0.79, ORIGINAL PRICE 100.097 CUSIP 428236BP7	☒	09/14/11	09/24/12	4,161.28	4,003.09	158.16
82.000	INGERSOLL RAND PLC SYMBOL: IR, CUSIP G47791101	☒	12/01/10	02/21/12	3,351.46	3,416.11	-64.65
27.000	INGERSOLL RAND PLC SYMBOL: IR, CUSIP G47791101	☒	12/01/10	12/05/12	1,310.55	1,111.86	198.69
17.000	ISHARES TRUST IBOX \$ INVESTOP INVESTMENT GRADE CORP BOND FD SYMBOL: LQD, CUSIP 464287242	☒	12/01/10	12/05/12	2,078.37	1,859.44	218.93
41.000	JPMORGAN CHASE & CO SYMBOL: JPM, CUSIP 46625H100	☒	12/01/10	12/05/12	1,690.39	1,552.20	138.19
100.000	LINCOLN NATIONAL CORP-IND SYMBOL: LNC, CUSIP 534187109	☒	12/01/10	03/15/12	2,726.03	2,411.85	314.18

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Schedule of Realized Gains and Losses (cont)

Long-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
5 000	LOEWS CORPORATION SYMBOL L, CUSIP 540424108	☒	12/01/10	07/03/12	206 63	189 15	17 48
20 000	LOEWS CORPORATION SYMBOL L, CUSIP 540424108	☒	12/01/10	07/18/12	821 39	756 60	64 79
22 000	LOEWS CORPORATION SYMBOL L, CUSIP 540424108	☒	12/01/10	07/19/12	902 89	832 26	70 63
37 000	MERCK & CO INC NEW SYMBOL MRK, CUSIP 58933Y105	☒	12/01/10	12/05/12	1,648 31	1,287 23	361 08
2,000 000	MOTOROLA INC DEBS 7 500% DUE 05/15/2025 ORIGINAL COST 2,240 26, PREMIUM AMORTIZED 22 19, ORIGINAL PRICE 112 013 CUSIP 620076AH2	☒	12/01/10	12/05/12	2,527 54	2,218 07	309 38
25 000	MOTOROLA SOLUTIONS INC SYMBOL MSI, CUSIP 620076307	☒	12/01/10	02/01/12	1,169 37	808 40	360 97
3 000	MOTOROLA SOLUTIONS INC SYMBOL MSI, CUSIP 620076307	☒	12/01/10	02/03/12	140 66	97 01	43 65
20.000	MOTOROLA SOLUTIONS INC SYMBOL MSI, CUSIP 620076307	☒	12/01/10	03/23/12	1,011 22	646 72	364 50
25.000	MOTOROLA SOLUTIONS INC SYMBOL MSI, CUSIP 620076307	☒	12/01/10	03/26/12	1,274 14	808 40	465.74
44 000	MOTOROLA SOLUTIONS INC SYMBOL MSI, CUSIP 620076307	☒	12/01/10	04/25/12	2,257.18	1,422.78	834.40
10 000	NOBLE ENERGY INC SYMBOL NBL, CUSIP 655044105	☒	12/01/10	07/30/12	892.58	831 80	60.78
5 000	NOBLE ENERGY INC SYMBOL NBL, CUSIP 655044105	☒	12/01/10	07/31/12	445 89	415.90	29 99
15.000	NOBLE ENERGY INC SYMBOL NBL, CUSIP 655044105	☒	12/01/10	08/01/12	1,327 55	1,247 70	79 85
5 000	NOBLE ENERGY INC SYMBOL NBL, CUSIP 655044105	☒	12/01/10	08/06/12	437 45	415 90	21 55
6 000	NOBLE ENERGY INC SYMBOL NBL, CUSIP 655044105	☒	12/01/10	08/07/12	535.77	499 08	36 69
10 000	NOBLE ENERGY INC SYMBOL NBL, CUSIP 655044105	☒	12/01/10	09/14/12	969 03	831 80	137.23
5 000	NOBLE ENERGY INC SYMBOL NBL, CUSIP 655044105	☒	12/01/10	10/18/12	481 82	415 90	65.92
10 000	NOBLE ENERGY INC SYMBOL NBL, CUSIP 655044105	☒	12/01/10	11/06/12	966 94	831 80	135 14
34 000	PACCAR INC SYMBOL PCAR, CUSIP 693718108	☐	09/07/11	12/05/12	1,512 96	1,241 35	271 61
244 000	PFIZER INC SYMBOL PFE, CUSIP 717081103	☒	12/01/10	05/21/12	5,494 21	4,030 86	1,463 35

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Schedule of Realized Gains and Losses (cont)

Long-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
136.000	PFIZER INC SYMBOL PFE, CUSIP 717081103	☒	12/01/10	07/18/12	3,212 97	2,246 71	966 26
72 000	PFIZER INC SYMBOL PFE, CUSIP 717081103	☒	12/01/10	12/05/12	1,848 19	1,189 43	658 76
30 000	PFIZER INC SYMBOL PFE, CUSIP 717081103	☒	12/01/10	12/10/12	766 27	495 60	270.67
41.000	PFIZER INC SYMBOL PFE, CUSIP 717081103	☒	12/01/10	12/11/12	1,050.97	677 32	373 65
10 000	PHILIP MORRIS INTERNATIONAL INC SYMBOL PM, CUSIP 718172109	☒	12/01/10	09/07/12	887 30	571 90	315 40
14 000	PHILIP MORRIS INTERNATIONAL INC SYMBOL PM, CUSIP 718172109	☒	12/01/10	09/11/12	1,243.94	800 66	443.28
20 000	RAYTHEON CO COM NEW SYMBOL RTN, CUSIP 755111507	☒	12/01/10	04/26/12	1,080 69	937 57	143 12
43 000	RAYTHEON CO COM NEW SYMBOL RTN, CUSIP 755111507	☒	12/01/10	04/27/12	2,320 98	2,015 78	305.20
106.000	SANOFI SPONSORED ADR SYMBOL SNY, CUSIP: 80105N105	☒	12/01/10	12/05/12	4,851 67	3,319 76	1,531 91
37 000	TIME WARNER INC NEW SYMBOL TWX, CUSIP. 887317303	☒	12/01/10	08/20/12	1,587 73	1,112.96	474 77
43 000	TIME WARNER INC NEW SYMBOL TWX, CUSIP 887317303	☒	12/01/10	12/05/12	1,988 27	1,293.44	694.83
33.000	UNION PACIFIC CORP SYMBOL UNP, CUSIP 907818108	☒	05/18/09	02/01/12	3,840.65	1,593 77	2,246 88
9,000 000	UNITED STATES TREASURY NOTE 0 375% DUE 08/31/2012 ORIGINAL COST. 9,011.95, PREMIUM AMORTIZED 11 95, ORIGINAL PRICE: 100 133 CUSIP 912828PH7	☒	07/21/11	08/31/12	9,000 00	9,000.00	0 00
9,000.000	UNITED STATES TREASURY NOTE 0.375% DUE 09/30/2012 ORIGINAL COST 9,010.90, PREMIUM AMORTIZED. 10 90, ORIGINAL PRICE 100 121 CUSIP 912828NX4	☒	07/21/11	10/01/12	9,000 00	9,000 00	0 00
10,000 000	UNITED STATES TREASURY NOTE 0 375% DUE 10/31/2012 ORIGINAL COST 10,011 72, PREMIUM AMORTIZED. 11.72, ORIGINAL PRICE 100 117 CUSIP 912828PD6	☒	07/26/11	10/31/12	10,000 00	10,000 00	0 00
2,000 000	UNITED STATES TREASURY NOTE 3 625% DUE 02/15/2021 ORIGINAL COST 2,058 05, PREMIUM AMORTIZED 8 80, ORIGINAL PRICE 102 902 CUSIP 912828PX2	☒	03/18/11	12/05/12	2,374 30	2,049 25	325 02

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Schedule of Realized Gains and Losses (cont)

Long-Term (cont)

QUANTITY	DESCRIPTION	NON- COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
2,000.000	UNITED STATES TREASURY NOTE 2.125% DUE 02/29/2016 ORIGINAL COST: 2,016.79, PREMIUM AMORTIZED 5.67, ORIGINAL PRICE: 100.839 CUSIP: 912828QJ2	☒	03/18/11	12/05/12	2,114.22	2,011.12	103.10
1,000.000	UNITED STATES TREASURY NOTE 0.250% DUE 09/15/2014 CUSIP: 912828RG7	☒	10/27/11	12/05/12	1,000.00	992.66	7.34
6,000.000	UNITED STATES TREASURY NOTE 4.750% DUE 05/15/2014 ORIGINAL COST: 6,759.84, PREMIUM AMORTIZED 384.36, ORIGINAL PRICE: 112.664 CUSIP: 912828CJ7	☒	12/01/10	09/05/12	6,457.03	6,375.48	81.55
77.000	UNUM GROUP SYMBOL: UNM, CUSIP: 91529Y106	☒	12/01/10	12/05/12	1,589.24	1,688.60	-99.36
36.000	VIACOM INC NEW CLASS B SYMBOL: VIAB, CUSIP: 92553P201	☒	12/01/10	01/05/12	1,665.98	1,384.15	281.83
25.000	VIACOM INC NEW CLASS B SYMBOL: VIAB, CUSIP: 92553P201	☒	12/01/10	02/06/12	1,214.72	961.21	253.51
15.000	VIACOM INC NEW CLASS B SYMBOL: VIAB, CUSIP: 92553P201	☒	12/01/10	02/07/12	730.67	576.73	153.94
20.000	VIACOM INC NEW CLASS B SYMBOL: VIAB, CUSIP: 92553P201	☒	12/01/10	09/11/12	1,020.44	768.97	251.47
15.000	VIACOM INC NEW CLASS B SYMBOL: VIAB, CUSIP: 92553P201	☒	12/01/10	09/12/12	763.27	576.73	186.54
13.000	VIACOM INC NEW CLASS B SYMBOL: VIAB, CUSIP: 92553P201	☒	12/01/10	09/13/12	668.72	499.83	168.89
22.000	VIACOM INC NEW CLASS B SYMBOL: VIAB, CUSIP: 92553P201	☒	12/01/10	12/05/12	1,133.63	845.87	287.76
87.000	WELLS FARGO & CO SYMBOL: WFC, CUSIP: 949746101	☒	12/01/10	09/19/12	3,073.92	2,388.14	685.78
Long-Term Subtotal					201,755.84		12,129.59
Total Gains and Losses					262,137.51		7,682.16
Short-Term							-4,447.43
Long-Term							12,129.59

ESSE QUAM VIDERI FOUNDATION

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STATEMENT 1
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	\$ 4,000.	\$ 4,000.		
TOTAL	<u>\$ 4,000.</u>	<u>\$ 4,000.</u>		<u>\$ 0.</u>

STATEMENT 2
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	\$ 749.	\$ 749.		
FOREIGN TAXES	19.	19.		
FOREIGN TAXES	365.	365.		
FOREIGN TAXES	5.	5.		
TOTAL	<u>\$ 1,138.</u>	<u>\$ 1,138.</u>		<u>\$ 0.</u>

STATEMENT 3
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	\$ 18,884.	\$ 18,884.		
TOTAL	<u>\$ 18,884.</u>	<u>\$ 18,884.</u>		<u>\$ 0.</u>

STATEMENT 4
FORM 990-PF, PART II, LINE 10A
INVESTMENTS - U.S. AND STATE GOVERNMENT OBLIGATIONS

U.S. GOVERNMENT OBLIGATIONS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
8,000 UNITED STATES TREASURY NOTE	COST	\$ 7,995.	\$ 7,998.
8,000 UNITED STATES TREASURY NOTE	COST	7,996.	7,998.
8,000 UNITED STATES TREASURY NOTE	COST	8,004.	8,005.
8,000 UNITED STATES TREASURY NOTE	COST	8,002.	8,004.
6,000 UNITED STATES TREASURY NOTE	COST	6,002.	6,003.
11,000 UNITED STATES TREASURY NOTE	COST	10,943.	11,002.
4,000 UNITED STATES TREASURY NOTE	COST	3,988.	4,008.
8,000 UNITED STATES TREASURY NOTE	COST	8,043.	8,436.
8,000 UNITED STATES TREASURY NOTE	COST	8,136.	8,152.
8,000 UNITED STATES TREASURY NOTE	COST	8,054.	8,105.
4,000 UNITED STATES TREASURY NOTE	COST	4,060.	4,073.
7,000 UNITED STATES TREASURY NOTE	COST	7,171.	8,217.
		<u>\$ 88,394.</u>	<u>\$ 90,001.</u>

ESSE QUAM VIDERI FOUNDATION

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STATEMENT 4 (CONTINUED)
FORM 990-PF, PART II, LINE 10A
INVESTMENTS - U.S. AND STATE GOVERNMENT OBLIGATIONS

U.S. GOVERNMENT OBLIGATIONS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
	TOTAL	\$ 88,394.	\$ 90,001.

STATEMENT 5
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
268 SHS AMERICAN INTL GROUP INC NEW	COST	\$ 8,519.	\$ 9,460.
139 SHS APACHE CORP	COST	12,577.	10,912.
165 SHS APPLIED MATERIALS INC	COST	1,866.	1,888.
169 SHS BEST BUY COMPANY INC	COST	3,684.	2,003.
679 SHS CA INC	COST	16,046.	14,924.
97 SHS CAPITAL ONE FINANCIAL CORP	COST	5,436.	5,619.
558 SHS CISCO SYSTEMS INC	COST	9,286.	10,964.
319 SHS CITIGROUP INC	COST	12,572.	12,620.
151 SHS CVS CAREMARK CORPORATION	COST	4,878.	7,301.
152 SHS EMC CORP-MASS	COST	3,551.	3,846.
390 SHS GENERAL MOTORS COMPANY	COST	11,610.	11,244.
59 SHS GOLDMAN SACHS GROUP INC	COST	8,675.	7,526.
149 SHS HALLIBURTON CO	COST	4,948.	5,169.
553 SHS HARTFORD FINANCIAL SVCS	COST	10,773.	12,409.
161 SHS JPMORGAN CHASE & CO	COST	6,095.	7,079.
136 SHS LINCOLN NATIONAL CORP-IND	COST	3,280.	3,522.
178 SHS LOEWS CORPORATION	COST	6,734.	7,253.
124 SHS MERCK & CO INC	COST	4,314.	5,077.
295 SHS METLIFE INC	COST	11,448.	9,717.
312 SHS MICROSOFT CORP	COST	6,900.	8,333.
79 SHS MOSAIC COMPANY NEW	COST	4,349.	4,474.
36 SHS NOBLE ENERGY INC	COST	2,984.	3,663.
194 SHS NRG ENERGY INC NEW	COST	3,744.	4,460.
58 SHS OCCIDENTAL PETE CORP	COST	4,550.	4,443.
112 SHS PACCAR INC	COST	3,953.	5,064.
446 SHS PFIZER INC	COST	7,636.	11,185.
45 SHS PHILIP MORRIS INTL INC	COST	2,574.	3,764.
171 SHS PITNEY BOWES INC	COST	3,816.	1,819.
101 SHS RAYTHEON CO COM NEW	COST	4,474.	5,814.
168 SHS TIME WARNER INC NEW	COST	5,157.	8,035.
47 SHS UNION PACIFIC CORP	COST	2,630.	5,909.
482 SHS UNUM GROUP	COST	10,392.	10,035.
194 SHS VIACOM INC NEW CL B	COST	7,459.	10,232.
260 SHS WELLS FARGO & CO	COST	7,137.	8,887.
380 SHS ANGLOGOLD ASHANTI LTD (NEW)	COST	17,255.	11,921.
156 SHS AON PLC SHS CL A	COST	7,656.	8,675.
188 SHS BARRICK GOLD CORP	COST	9,751.	6,582.
401 SHS CANADIAN NATURAL RESOURCES LTD	COST	14,348.	11,577.
108 SHS INGERSOLL RAND PLC	COST	3,504.	5,180.
321 SHS SANOFI SPONS ADR	COST	10,053.	15,209.
1,156 SHS TALISMAN ENERGY INC	COST	18,270.	13,097.
219 SHS TEVA PHARMACEUTICAL INDUSTRIES	COST	9,780.	8,177.
61 SHS ABBOTT LABORATORIES	COST	3,332.	3,996.
29 SHS ADVANCE AUTO PARTS INC	COST	2,083.	2,098.

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STATEMENT 5 (CONTINUED)
 FORM 990-PF, PART II, LINE 10B
 INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
84 SHS AFLAC INC	COST	\$ 3,999.	\$ 4,462.
96 SHS AMERICAN ELECTRIC POWER CO INC	COST	3,393.	4,097.
122 SHS AMERICAN INTL GROUP INC NEW	COST	4,025.	4,307.
47 SHS AMERIPRISE FINL INC	COST	1,870.	2,944.
59 SHS ANADARKO PETROLEUM CORP	COST	3,939.	4,384.
404 SHS BANK OF AMERICA CORP	COST	3,607.	4,690.
71 SHS BAXTER INTL INC	COST	4,020.	4,733.
53 SHS BOEING CO	COST	3,871.	3,994.
107 SHS CARDINAL HEALTH INC	COST	4,216.	4,406.
36 SHS CHEVRON CORPORATION	COST	2,714.	3,893.
361SHS CISCO SYSTEMS INC	COST	6,611.	7,093.
116 SHS CIT GROUP INC COM NEW	COST	4,223.	4,482.
113 SHS COMCAST CORP NEW CL A	COST	2,119.	4,222.
97 SHS CVS CAREMARK CORPORATION	COST	3,457.	4,690.
142 SHS DOW CHEMICAL CO	COST	3,585.	4,591.
159 SHS EMC CORP-MASS	COST	3,811.	4,023.
78 SHS EQT CORPORATION	COST	3,651.	4,600.
16 SHS FLOWERVE CORP	COST	1,745.	2,349.
61 SHS GENERAL DYNAMICS CORP	COST	4,243.	4,225.
102 SHS GENERAL MILLS INC	COST	3,960.	4,123.
158 SHS GENERAL MOTORS COMPANY	COST	4,638.	4,555.
33 SHS GENUINE PARTS CO	COST	2,111.	2,098.
95 SHS HARTFORD FINANCIAL SVCS GROUP	COST	2,134.	2,132.
74 SHS HONEYWELL INTL INC	COST	4,230.	4,697.
177 SHS INTEL CORP	COST	3,737.	3,650.
89 SHS JOHNSON & JOHNSON	COST	5,431.	6,239.
117 SHS JPMORGAN CHASE & CO	COST	4,211.	5,144.
154 SHS MARATHON OIL CORP	COST	4,326.	4,722.
97 SHS MERCK & CO INC NEW	COST	3,227.	3,971.
113 SHS METLIFE INC	COST	4,618.	3,722.
235 SHS MICROSOFT CORP	COST	6,026.	6,277.
73 SHS OCCIDENTAL PETE CORP	COST	5,795.	5,593.
148 SHS ORACLE CORP	COST	3,842.	4,931.
61 SHS PEPSICO INC	COST	3,725.	4,174.
90 SHS TARGET CORP	COST	1,745.	2,257.
66 SHS TARGET CORP	COST	4,145.	3,905.
34 SHS THE TRAVELERS COMPANIES INC	COST	1,616.	2,442.
108 SHS TIME WARNER INC NEW	COST	3,417.	5,166.
36 SHS UNION PACIFIC CORP	COST	3,488.	4,526.
64 SHS WAL-MART STORES INC	COST	3,413.	4,367.
81 SHS WALT DISNEY CO	COST	3,382.	4,033.
148 SHS WELLS FARGO & CO	COST	3,592.	5,059.
54 SHS ACE LIMITED	COST	3,503.	4,309.
79 SHS COVIDIEN PLC	COST	3,754.	4,561.
73 SHS NOVARTIS AG AMERICAN DEP SHS	COST	4,057.	4,621.
61 SHS TE CONNECTIVITY LTD	COST	2,148.	2,264.
85 SHS TEVA PHARMACEUTICAL INDUSTRIES	COST	4,060.	3,174.
149 SHS VODAFONE GROUP PLC	COST	4,081.	3,753.
133 SHS ADT CORPORATION COM	COST	4,826.	6,183.
11 SHS AMAZON.COM INC	COST	2,561.	2,760.
103 SHS AMERICAN INTL GROUP INC NEW	COST	3,425.	3,636.
19 SHS BLACKROCK INC	COST	3,157.	3,927.
105 SHS BOEING CO	COST	7,691.	7,913.
91 SHS BORG WARNER AUTOMOTIVE INC	COST	6,215.	6,517.
133 SHS CAPITAL ONE FINANCIAL CORP	COST	6,735.	7,705.

STATEMENT 5 (CONTINUED)
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

CORPORATE STOCKS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
404 SHS DENBURY RESOURCES INC NEW	COST	\$ 6,709.	\$ 6,545.
75 SHS DISCOVERY COMMUNICATIONS INC	COST	2,553.	4,387.
108 SHS DUNKIN BRANDS GROUP INC	COST	3,301.	3,583.
112 SHS EBAY INC	COST	3,435.	5,712.
47 SHS EXPRESS SCRIPTS HOLDING CO	COST	2,535.	2,538.
7 SHS GOOGLE INC CL A	COST	4,231.	4,952.
140.445 SHS IRON MOUNTAIN INC	COST	4,174.	4,361.
53 SHS ITC HOLDINGS CORP	COST	2,228.	4,076.
109 SHS JB HUNT TRANSPORT SVCS INC	COST	4,793.	6,508.
170 SHS KINDER MORGAN INC	COST	5,464.	6,006.
58 SHS MONSANTO CO NEW	COST	4,384.	5,490.
111 SHS OGE ENERGY CORP	COST	6,058.	6,250.
55 SHS PRAXAIR INC	COST	5,278.	6,020.
66 SHS UNION PACIFIC CORP	COST	7,740.	8,298.
82 SHS UNITED PARCEL SVC INC CL B	COST	5,846.	6,046.
98 SHS VERISK ANALYTICS INC CL A	COST	2,765.	4,995.
26 SHS VISA INC CL A COMMON STOCK	COST	2,362.	3,941.
135 SHS NIELSEN HOLDINGS NV US LISTED	COST	3,664.	4,130.
93 SHS NOVARTIS AG AMERICAN DEP	COST	5,050.	5,887.
201 SHS TEEKAY CORP MARSHALL ISLAND	COST	4,647.	6,452.
TOTAL		\$ 619,417.	\$ 678,630.

STATEMENT 6
FORM 990-PF, PART II, LINE 10C
INVESTMENTS - CORPORATE BONDS

CORPORATE BONDS	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
125 ISHARES TRUST IBOXX CORP BOND FD	COST	\$ 13,672.	\$ 15,124.
102 US BANCORP DEL DEPOSITARY SHARES	COST	2,697.	2,921.
7,000 AMERICAN INTL GROUP MOODY BAA1	COST	6,949.	7,829.
5,000 HEWLETT PACKARD CO SENIOR NOTES	COST	5,004.	5,032.
7,000 GENERAL ELEC CO DEB MOODY AA3	COST	7,469.	8,254.
8,000 CNA FINL CORP MOODY BAA2	COST	8,727.	10,034.
7,000 GOLDMAN SACHS GROUP INC SR UNSECUR	COST	7,044.	8,275.
10,000 MOTOROLA INC DEBS MOODY	COST	11,086.	12,607.
10,000 WACHOVIA CAP TR III FIXED-FLTG RT	COST	9,283.	9,950.
TOTAL		\$ 71,931.	\$ 80,026.

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STATEMENT 7
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
<u>OTHER PUBLICLY TRADED SECURITIES</u>			
103 SHS AMERICAN TOWER CORP NEW REIT	COST	\$ 4,348.	\$ 7,959.
	TOTAL	\$ 4,348.	\$ 7,959.

STATEMENT 8
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	RBC CAPITAL MARKETS - SEE ATT'D STMT	PURCHASED	VARIOUS	VARIOUS
2	RBC CAPITAL MARKETS - SEE ATT'D STMT	PURCHASED	VARIOUS	VARIOUS
3	RBC CAPITAL MARKETS - SEE ATT'D STMT	PURCHASED	VARIOUS	VARIOUS
4	RBC CAPITAL MARKETS - SEE ATT'D STMT	PURCHASED	VARIOUS	VARIOUS
5	RBC CAPITAL MARKETS - SEE ATT'D STMT	PURCHASED	VARIOUS	VARIOUS
6	RBC CAPITAL MARKETS - SEE ATT'D STMT	PURCHASED	VARIOUS	VARIOUS
6	CAPITAL GAIN DIVIDENDS			

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	62,783.		61,263.	1,520.				\$ 1,520.
2	43,417.		33,204.	10,213.				10,213.
3	25,266.		25,600.	-334.				-334.
4	41,407.		38,560.	2,847.				2,847.
5	60,382.		64,829.	-4,447.				-4,447.
6	201,756.		189,626.	12,130.				12,130.
							TOTAL	\$ 21,929.

STATEMENT 9
FORM 990-PF, PART VII-A, LINE 8B
COPIES OF FORM 990-PF TO STATE OFFICIALS

NO LONGER REQUIRED BY STATE OF UTAH.

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STATEMENT 10
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
AMERICAN RED CROSS DISASTER RELIEF 2025 E ST NW WASHINGTON, DC 20006	NONE	EXEMPT	CARRY ON A SYSTEM OF RELIEF IN TIME OF PEACE AND APPLY THE SAME IN MITIGATING THE SUFFERINGS CAUSED BY CALAMITIES	\$ 1,000.
HABITAT FOR HUMANITY 519 MEMORIAL DRIVE, SE ATLANTA, GA 30312	NONE	EXEMPT	A CHRISTIAN MINISTRY THAT BUILDS AND REPAIRS HOUSES FOR PEOPLE IN NEED	2,000.
WORLD WILDLIFE FUND P.O. BOX 97180 WASHINGTON, DC 20090	NONE	EXEMPT	GLOBAL EFFORTS TO PROTECT WILD ANIMALS	4,425.
CHURCH OF JESUS CHRIST OF LDS 50 EAST NORTH TEMPLE STREET SALT LAKE CITY, UT 84150	NONE	EXEMPT	RELIGIOUS ORGANIZATION UNITED BY A COMMITMENT TO JESUS CHRIST AND HIS TEACHINGS	19,365.
DOCTORS WITHOUT BORDERS 333 7TH AVENUE NEW YORK, NY 10001	NONE	EXEMPT	WORKS IN NEARLY 70 COUNTRIES PROVIDING MEDICAL AID TO THOSE MOST IN NEED	800.
CAPSA P.O. BOX 3617 LOGAN, UT 84323	NONE	EXEMPT	TO GIVE THE CHILDREN THE OPPORTUNITY TO LEARN NEW SKILLS IN A SAFE AND AUTISM FRIENDLY ENVIRONMENT	500.
HEIFER INTERNATIONAL P.O. BOX 8058 LITTLE ROCK, AR 72203	NONE	EXEMPT	TO GIVE ANIMALS, TREES AND SEEDS TO HELP END POVERTY AND HUNGER	3,330.

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STATEMENT 10 (CONTINUED)
 FORM 990-PF, PART XV, LINE 3A
 RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
WATER FOR PEOPLE 6666 W QUINCY AVE. DENVER, CO 80235	NONE	EXEMPT	TO BUILD AND MAINTAIN RELIABLE SAFE WATER SYSTEMS	\$ 5,000.
ST. VINCENT DE PAUL VILLAGE 1501 IMPERIAL AVE. SAN DIEGO, CA 92101	NONE	EXEMPT	PROVIDES INTERIM HOUSING, HOMELESS PREVENTION SERVICES, MEALS, HEALTHCARE AND THE MOBILE HEALTH CLINIC TO THOSE IN NEED	12,500.
NETWORK FOR GOOD 7920 NORFOLK AVENUE, SUITE 520 BETHESDA, MD 20814	NONE	EXEMPT	A CHARITABLE GIVING WEBSITE THAT PROCESSES DONATIONS MADE THROUGH AN ORGANIZATION'S WEBSITE	1,000.
FREE THE SLAVES 1320 19TH ST NW, STE 600 WASHINGTON, DC 20036	NONE	EXEMPT	TO CAMPAIGN AGAINST THE MODERN PRACTICE OF SLAVERY	3,000.
OPERATION SMILE 6435 TIDEWATER DRIVE NORFOLK, VA 23509	NONE	EXEMPT	TO PROVIDE MUCH NEEDED SURGICAL SERVICES TO THOSE IN NEED	720.
CENTER FOR COMMUNITY SOLUTIONS 4508 MISSION BAY DRIVE, SAN DIEGO, SAN DIEGO, CA 92109	NONE	EXEMPT	TO END RELATIONSHIP AND SEXUAL VIOLENCE BY BEING A CATALYST FOR CARING COMMUNITIES AND SOCIAL JUSTICE	2,000.
ST. JOSEPH'S INDIAN SCHOOL 1301 N MAIN STREET CHAMBERLAIN, SD 57325	NONE	EXEMPT	TO HELP NATIVE AMERICAN CHILDREN IN NEED RECEIVE THE STABLE HOME, QUALITY EDUCATION AND PERSONALIZED CARE	200.

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STATEMENT 10 (CONTINUED)
 FORM 990-PF, PART XV, LINE 3A
 RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
ADAMS SCHOOL 415 E 500 N LOGAN, UT 84321	NONE	EXEMPT	TO PROVIDE ACADEMIC GROWTH AND EXCELLENCE FOR ALL STUDENTS	\$ 250.
AMERICAN MACULAR DEGENERATION RES FDN P.O. BOX 515 NORTHAMPTON, MA 01061	NONE	EXEMPT	IS COMMITTED TO THE PREVENTION AND CURE OF MACULAR DEGENERATION AND OFFERS HOPE AND SUPPORT TO THOSE AFFLICTED	100.
ARBOR DAY FOUNDATION 100 ARBOR AVENUE NEBRASKA CITY, NE 68410	NONE	EXEMPT	TO INSPIRE PEOPLE TO PLANT, NURTURE, AND CELEBRATE TREES	100.
ASPCA 424 E 92ND ST NEW YORK, NY 10128	NONE	EXEMPT	TO PROVIDE EFFECTIVE MEANS FOR THE PREVENTION OF CRUELTY TO ANIMALS THROUGHOUT THE UNITED STATES	500.
HOLDING OUT FOR HELP 138 E 12300 S, STE C#193 DRAPER, UT 84020	NONE	EXEMPT	TO ASSIST THOSE FROM A POLYGAMOUS BACKGROUND WITH THE RESOURCES NECESSARY TO MEET THEIR PHYSICAL, EMOTIONAL AND EDUCATIONAL NEEDS	1,000.
HUNTSMAN CANCER FOUNDATION 500 HUNTSMAN WAY SALT LAKE CITY, UT 84108	NONE	EXEMPT	TO RAISE FUNDS TO SUPPORT THE MISSION OF HUNTSMAN CANCER INSTITUTE	1,000.
INTECH COLLEGIATE HIGH SCHOOL 1787 N RESEARCH PARKWAY NORTH LOGAN, UT 84341	NONE	EXEMPT	EXPAND THE BASE OF TALENT ENTERING COLLEGE AND STEM CAREERS	250.

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STATEMENT 10 (CONTINUED)
 FORM 990-PF, PART XV, LINE 3A
 RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
MERCY CORPS P.O. BOX 2669 PORTLAND, OR 97208	NONE	EXEMPT	TO SAVE AND IMPROVE LIVES IN THE WORLD'S TOUGHEST PLACES	\$ 4,283.
SAN DIEGO COUNTY FOSTER PARENT 1089 EL CAJON BLVD STE D SAN DIEGO, CA 92020	NONE	EXEMPT	AN ORGANIZATION FOR FOSTER FAMILIES AND A COMMUNITY RESOURCE	1,260.
USU ADVANCEMENT CAPITAL CAMPAIGN 1490 OLD MAIN HILL LOGAN, UT 84322	NONE	EXEMPT	DEDICATED TO BUILDING ENDOWED SCHOLARSHIPS AND PROFESSORSHIPS AND PROVIDING STUDENTS WITH GREATER OPPORTUNITIES	1,000.
WORLD VISION P.O. BOX 9716 FEDERAL WAY, WA 98063	NONE	EXEMPT	BUILDING A BETTER WORLD FOR CHILDREN BY TACKLING THE CAUSES OF POVERTY AND INJUSTICE	1,500.
WOUNDED WARRIOR PROJECT 2468 HISTORIC DECATUR RD, STE 150 SAN DIEGO, CA 92106	NONE	EXEMPT	TO HONOR AND EMPOWER WOUNDED SERVICE MEMBERS	500.
TOTAL				\$ <u>67,583.</u>

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**OTHER INCOME PRODUCING ACTIVITIES
INTEREST ON SAVINGS & CASH INVESTMENTS**

RBC CAPITAL MARKETS, LLC - INTEREST INCOME	\$	3,448.
RBC CAPITAL MARKETS, LLC - US TREASURY INTEREST		1,232.
RBC CAPITAL MARKETS, LLC - ACCRUED INTEREST		-103.
TOTAL	\$	<u>4,577.</u>

**OTHER INCOME PRODUCING ACTIVITIES
DIVIDENDS/INTEREST FROM SECURITIES.**

RBC CAPITAL MARKETS, LLC	\$	2,471.
RBC CAPITAL MARKETS, LLC		4,891.
RBC CAPITAL MARKETS, LLC		9,740.
TOTAL	\$	<u>17,102.</u>