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Form 990  Department of the Treasury Internal Revenue Service	Return of Organization Exempt From Income Tax Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)	OMB No 1545-0047 2012 Open to Public Inspection
	The organization may have to use a copy of this return to satisfy state reporting requirements	

A For the 2012 calendar year, or tax year beginning 01-01-2012 , 2012, and ending 12-31-2012

B Check if applicable ☐ Address change ☐ Name change ☐ Initial return ☐ Terminated ☐ Amended return ☐ Application pending	C Name of organization The Vivian Skaggs Armstrong Foundation		D Employer identification number 87-0530600
	Doing Business As		
	Number and street (or P O box if mail is not delivered to street address) 27 C Street Suite	Room/suite	E Telephone number (801) 328-8641
	City or town, state or country, and ZIP + 4 Salt Lake City, UT 84103		G Gross receipts \$ 4,069,106
	F Name and address of principal officer REV MSGR COLIN F BIRCUMSHAW 550 SOUTH 400 EAST APT 3405 SALT LAKE CITY, UT 84111		H(a) Is this a group return for affiliates? ☐ Yes ☒ No H(b) Are all affiliates included? ☐ Yes ☐ No If "No," attach a list (see instructions) H(c) Group exemption number ▶
I Tax-exempt status ☒ 501(c)(3) ☐ 501(c) () ◀ (insert no) ☐ 4947(a)(1) or ☐ 527			
J Website: ▶ N/A			

Part I Summary

Activities & Governance	1 Briefly describe the organization's mission or most significant activities SUPPORT ORGANIZATION FOR CATHOLIC SCHOOLS & MINISTRIES			
	2 Check this box ☒ if the organization discontinued its operations or disposed of more than 25% of its net assets			
	3 Number of voting members of the governing body (Part VI, line 1a)	3	15	
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	15	
	5 Total number of individuals employed in calendar year 2012 (Part V, line 2a)	5	0	
6 Total number of volunteers (estimate if necessary)	6	16		
7a Total unrelated business revenue from Part VIII, column (C), line 12	7a	0		
b Net unrelated business taxable income from Form 990-T, line 34	7b			
Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year	
	9 Program service revenue (Part VIII, line 2g)	100,000	40,000	
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	0	0	
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	913,964	788,524	
	12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	0	0	
		1,013,964	828,524	
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1–3)	789,569	1,010,550	
	14 Benefits paid to or for members (Part IX, column (A), line 4)	0	0	
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	0	0	
	16a Professional fundraising fees (Part IX, column (A), line 11e)	0	0	
	b Total fundraising expenses (Part IX, column (D), line 25) $\blacktriangleright$ 0			
	17 Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	0	0	
	18 Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25)	90,462	94,766	
	19 Revenue less expenses Subtract line 18 from line 12	880,031	1,105,316	
Net Assets or Fund Balances		133,933	-276,792	
		Beginning of Current Year	End of Year	
	20 Total assets (Part X, line 16)	18,838,432	20,327,318	
	21 Total liabilities (Part X, line 26)	250,519	0	
	22 Net assets or fund balances Subtract line 21 from line 20	18,587,913	20,327,318	

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	*****				2013-05-14		
	Signature of officer				Date		
	Rev Msgr Colin F Bircumshaw Exec Director						
	Type or print name and title						
Paid Preparer Use Only	Print/Type preparer's name CLARK E CHRISTIAN		Preparer's signature		Date	Check ☐ if self-employed	PTIN
	Firm's name ▶ GRANT THORNTON LLP					Firm's EIN ▶	
	Firm's address ▶ 155 North 400 West Suite 500 SALT LAKE CITY, UT 84103					Phone no (801) 531-6888	

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

Part III

Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III ☐

1 Briefly describe the organization’s mission

SUPPORT ORGANIZATION FOR CATHOLIC SCHOOLS & MINISTRIES

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If “Yes,” describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If “Yes,” describe these changes on Schedule O

4 Describe the organization’s program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a

(Code) (Expenses \$ 766,200 including grants of \$ 766,200) (Revenue \$)

GRANTS TO THE ROMAN CATHOLIC DIOCESE OF SALT LAKE CITY, UTAH TO FUND SCHOLARSHIPS

4b

(Code) (Expenses \$ 244,350 including grants of \$ 244,350) (Revenue \$)

GRANTS TO THE ROMAN CATHOLIC DIOCESE OF SALT LAKE CITY, UTAH TO FUND ONGOING MAINTENANCE, REPAIRS, AND IMPROVEMENTS

4c

(Code) (Expenses \$ including grants of \$) (Revenue \$)

4d

Other program services (Describe in Schedule O)

(Expenses \$ including grants of \$) (Revenue \$)

4e

Total program service expenses ▶ 1,010,550

Part IV

Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A.</i> 	Yes	
2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> (see instructions)? 	Yes	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I.</i>		No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II.</i>		No
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III.</i>		No
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I.</i>		No
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II.</i>		No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III.</i>		No
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV.</i>		No
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V.</i>		No
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI.</i>		No
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII.</i>		No
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII.</i>		No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX.</i>		No
e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X.</i>		No
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X.</i>		No
12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII.</i>		No
b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional.</i>		No
13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E.</i>		No
14a Did the organization maintain an office, employees, or agents outside of the United States?		No
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV.</i>		No
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any organization or entity located outside the United States? <i>If "Yes," complete Schedule F, Parts II and IV.</i>		No
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance to individuals located outside the United States? <i>If "Yes," complete Schedule F, Parts III and IV.</i>		No
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I (see instructions).</i>		No
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II.</i>		No
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III.</i>		No
20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H.</i>		No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?		

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21	Yes	
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22		No
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23		No
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25</i>	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b		No
26	Was a loan to or by a current or former officer, director, trustee, key employee, highest compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i> . . .	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	33		No
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>	34	Yes	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		No
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35b		
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i> .	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	38	Yes	

Part V

Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response to any question in this Part V

☐

			Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.	1a0	1c	
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	1b0		
c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?				
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return.	2a0	2b	
b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).				
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	3a	No
b	If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O.	3b	3b	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	4a	No
b	If "Yes," enter the name of the foreign country: _____ See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.			
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a	5a	No
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b	5b	No
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	5c	5c	
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a	6a	No
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b	6b	
7 Organizations that may receive deductible contributions under section 170(c).				
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	7a	No
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	7b	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8822?	7c	7c	No
d	If "Yes," indicate the number of Forms 8822 filed during the year.	7d		
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e	7e	No
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f	7f	No
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g	7g	
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h	7h	
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8	8	No
9 Sponsoring organizations maintaining donor advised funds.				
a	Did the organization make any taxable distributions under section 4966?	9a	9a	
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b	9b	
10 Section 501(c)(7) organizations. Enter				
a	Initiation fees and capital contributions included on Part VIII, line 12.	10a		
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.	10b		
11 Section 501(c)(12) organizations. Enter				
a	Gross income from members or shareholders.	11a		
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them).	11b		
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?			12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	12b		
13 Section 501(c)(29) qualified nonprofit health insurance issuers.				
a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.	13a	13a	
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.	13b		
c	Enter the amount of reserves on hand.	13c		
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a	14a	No
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.	14b	14b	

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year		
1a	15		
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O			
b	Enter the number of voting members included in line 1a, above, who are independent		
1b	15		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	Yes
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3	No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5	No
6	Did the organization have members or stockholders?	6	No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	No
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following		
a	The governing body?	8a	Yes
b	Each committee with authority to act on behalf of the governing body?	8b	Yes
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a	No
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	No
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	No
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	No
13	Did the organization have a written whistleblower policy?	13	No
14	Did the organization have a written document retention and destruction policy?	14	No
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a	The organization's CEO, Executive Director, or top management official	15a	No
b	Other officers or key employees of the organization	15b	No
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed	UT
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. ☐ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)	
19	Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year	
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization	JOAN LOFFREDO 27 C STREET SALT LAKE CITY, UT (801) 328-8641

Part VII

Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response to any question in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year
- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid

List all of the organization's **current** key employees, if any See instructions for definition of "key employee "

List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations

List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations

List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☒ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(1) REVEREND JOSEPH M MAYO VICE-CHAIR		X		X					32,442	
(2) SISTER CATHERINE KAMPHAUS CSC SECRETARY		X		X						
(3) SISTER JEANETTE FETTIG CSC TRUSTEE		X								
(4) SISTER ELSBETH BETH MULVANEY CSC TRUSTEE		X								
(5) MARK S SKAGGS TRUSTEE		X								
(6) SISTER M GENEVRA ROLF CSC TRUSTEE		X								
(7) MONSIGNOR COLIN F BIRCUMSHAW VICE-CHAIR		X		X					31,063	
(8) CLAUDIA S LUTTRELL TRUSTEE		X								
(9) LINDA SUE BALUKOFF TRUSTEE		X								
(10) DON L SKAGGS TRUSTEE		X								
(11) DR GABRIEL COLOSIMO TRUSTEE		X							101,841	
(12) SISTER CELINE DOUNIES CSC TRUSTEE		X								
(13) REVEREND JAVIER VIRGEN TRUSTEE		X								
(14) MOST REVEREND JOHN C WESTER CHAIR/PRESIDENT		X		X					26,355	
(15) MARTY SCHWARZ Trustee		X								
(16) JOAN LOFFREDO CPA TREASURER				X						

Part VII

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
1b	Sub-Total									
c	Total from continuation sheets to Part VII, Section A									
d	Total (add lines 1b and 1c)								191,701	

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization 0

		Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3	No
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	4	No
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>	5	No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ►0

Part VIII

Statement of Revenue

Check if Schedule O contains a response to any question in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns . . .	1a			
	b	Membership dues	1b			
	c	Fundraising events	1c			
	d	Related organizations	1d			
	e	Government grants (contributions)	1e			
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	40,000		
	g	Noncash contributions included in lines 1a-1f \$				
	h	Total. Add lines 1a-1f		40,000		
Program Service Revenue	2a	Business Code				
	b					
	c					
	d					
	e					
	f	All other program service revenue				
	g	Total. Add lines 2a-2f		0		
	Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)	486,987		
4		Income from investment of tax-exempt bond proceeds . .	0			
5		Royalties	0			
6a		Gross rents				
b		Less rental expenses				
c		Rental income or (loss)	0	0		
d		Net rental income or (loss)	0			
7a		Gross amount from sales of assets other than inventory	3,423,096	119,023		
b		Less cost or other basis and sales expenses	3,240,582			
c		Gain or (loss)	182,514	119,023		
d		Net gain or (loss)	301,537			
8a		Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c) See Part IV, line 18				
b		Less direct expenses				
c		Net income or (loss) from fundraising events . .	0			
9a		Gross income from gaming activities See Part IV, line 19				
b		Less direct expenses				
c		Net income or (loss) from gaming activities . .	0			
10a		Gross sales of inventory, less returns and allowances .				
b		Less cost of goods sold				
c		Net income or (loss) from sales of inventory . .	0			
Miscellaneous Revenue		Business Code				
11a						
b						
c						
d	All other revenue					
e	Total. Add lines 11a-11d		0			
12	Total revenue. See Instructions		828,524			486,987

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response to any question in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the United States. See Part IV, line 21.	1,010,550	1,010,550		
2	Grants and other assistance to individuals in the United States. See Part IV, line 22.	0			
3	Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16.	0			
4	Benefits paid to or for members.	0			
5	Compensation of current officers, directors, trustees, and key employees.	0			
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).	0			
7	Other salaries and wages.	0			
8	Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions).	0			
9	Other employee benefits.	0			
10	Payroll taxes.	0			
11	Fees for services (non-employees):				
a	Management.	0			
b	Legal.	0			
c	Accounting.	2,600	0	2,600	0
d	Lobbying.	0			
e	Professional fundraising services. See Part IV, line 17.	0			
f	Investment management fees.	91,609	0	91,609	0
g	Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O).	34	0	34	0
12	Advertising and promotion.	0			
13	Office expenses.	0			
14	Information technology.	0			
15	Royalties.	0			
16	Occupancy.	0			
17	Travel.	523	0	523	0
18	Payments of travel or entertainment expenses for any federal, state, or local public officials.	0			
19	Conferences, conventions, and meetings.	0			
20	Interest.	0			
21	Payments to affiliates.	0			
22	Depreciation, depletion, and amortization.	0			
23	Insurance.	0			
24	Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O).				
a					
b					
c					
d					
e	All other expenses.				
25	Total functional expenses. Add lines 1 through 24e.	1,105,316	1,010,550	94,766	0
26	Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ☐ if following SOP 98-2 (ASC 958-720).				

Part X

Balance Sheet

Check if Schedule O contains a response to any question in this Part X

					(A) Beginning of year		(B) End of year
Assets	1	Cash—non-interest-bearing			0	1	0
	2	Savings and temporary cash investments			433,188	2	416,451
	3	Pledges and grants receivable, net			0	3	0
	4	Accounts receivable, net			0	4	0
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L.			0	5	0
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L.			0	6	0
	7	Notes and loans receivable, net			0	7	0
	8	Inventories for sale or use			0	8	0
	9	Prepaid expenses and deferred charges			0	9	0
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D.	10a				
	b	Less: accumulated depreciation	10b		0	10c	
	11	Investments—publicly traded securities			18,405,244	11	19,910,867
	12	Investments—other securities. See Part IV, line 11.			0	12	0
	13	Investments—program-related. See Part IV, line 11.			0	13	0
	14	Intangible assets			0	14	0
	15	Other assets. See Part IV, line 11.			0	15	0
	16	Total assets. Add lines 1 through 15 (must equal line 34).			18,838,432	16	20,327,318
Liabilities	17	Accounts payable and accrued expenses			250,519	17	0
	18	Grants payable			0	18	0
	19	Deferred revenue			0	19	0
	20	Tax-exempt bond liabilities			0	20	0
	21	Escrow or custodial account liability. Complete Part IV of Schedule D.			0	21	0
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L.			0	22	0
	23	Secured mortgages and notes payable to unrelated third parties			0	23	0
	24	Unsecured notes and loans payable to unrelated third parties			0	24	0
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D.			0	25	0
	26	Total liabilities. Add lines 17 through 25.			250,519	26	0
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here ☐ and complete lines 27 through 29, and lines 33 and 34.						
	27	Unrestricted net assets				27	
	28	Temporarily restricted net assets				28	
	29	Permanently restricted net assets				29	
	Organizations that do not follow SFAS 117 (ASC 958), check here ☒ and complete lines 30 through 34.						
	30	Capital stock or trust principal, or current funds			18,587,913	30	20,327,318
	31	Paid-in or capital surplus, or land, building or equipment fund			0	31	0
	32	Retained earnings, endowment, accumulated income, or other funds			0	32	0
	33	Total net assets or fund balances			18,587,913	33	20,327,318
	34	Total liabilities and net assets/fund balances			18,838,432	34	20,327,318

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	828,524
2	Total expenses (must equal Part IX, column (A), line 25)	2	1,105,316
3	Revenue less expenses Subtract line 2 from line 1	3	-276,792
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	18,587,913
5	Net unrealized gains (losses) on investments	5	2,016,197
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	20,327,318

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990 ☒ Cash ☐ Accrual ☐ Other If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis	2a	No
2b	Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis	2b	No
2c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	2c	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a	No
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	3b	

SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

► Attach to Form 990 or Form 990-EZ. ► See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
The Vivian Skaggs Armstrong Foundation

Employer identification number
87-0530600

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1

☐

A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2

☐

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state _____

5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)

6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7

☐

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)

8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)

9

☐

An organization that normally receives (1) more than 33¹/₃% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33¹/₃% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)

10

☐

An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11

☒

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a

☒

Type I

b

☐

Type II

c

☐

Type III - Functionally integrated

d

☐

Type III - Non-functionally integrated

e

☐

By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

f

☐

If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box

g

☐

Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

(i)

A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?

(ii)

A family member of a person described in (i) above?

(iii)

A 35% controlled entity of a person described in (i) or (ii) above?

h

☐

Provide the following information about the supported organization(s)

	Yes	No
11g(i)		No
11g(ii)		No
11g(iii)		No

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
(A) THE ROMAN CATHOLIC DIOCESE OF SALT LAKE CITY UTAH	870215468	01	Yes		Yes		Yes		1,105,316
Total									1,105,316

Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
11 Total support (Add lines 7 through 10)						
12 Gross receipts from related activities, etc (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage						
14 Public support percentage for 2012 (line 6, column (f) divided by line 11, column (f))		14				
15 Public support percentage for 2011 Schedule A, Part II, line 14		15				
16a 33 1/3% support test—2012. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization						▶
b 33 1/3% support test—2011. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization						▶
17a 10%-facts-and-circumstances test—2012. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization						▶
b 10%-facts-and-circumstances test—2011. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization						▶
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions						▶

Part IIISupport Schedule for Organizations Described in Section 509(a)(2)
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6.)						

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage		
15 Public support percentage for 2012 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2011 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage		
17 Investment income percentage for 2012 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2011 Schedule A, Part III, line 17	18	
19a 33 1/3% support tests—2012. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
b 33 1/3% support tests—2011. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶		

Part IV **Supplemental Information.** Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Explanation

OMB No 1545-0047

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990

Open to Public Inspection

Employer identification number
87-0530600

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II **Grants and Other Assistance to Governments and Organizations in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table _____

3 Enter total number of other organizations listed in the line 1 table  _____

Part III

Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

(a)Type of grant or assistance	(b)Number of recipients	(c)Amount of cash grant	(d)Amount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance

Part IV

Supplemental Information.

Complete this part to provide the information required in Part I, line 2, Part III, column (b), and any other additional information

Identifier	Return Reference	Explanation
Procedures for Monitoring the Use of Grant Funds	Sch I, Part I, Line 2	The Diocese has various controls in place to ensure proper utilization of grant Yunds For instance, in the case of scholarship funds, staff members (Daughters of Charity of Saint Vincent de Paul) interview and maintain progress reports on all recipients of grant funds In the case of maintenance grants, specific projects are identified with actual costs, and those costs are reimbursed with grant funds

SCHEDULE O

(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

OMB No 1545-0047

2012

Open to Public
Inspection

Name of the organization The Vivian Skaggs Armstrong Foundation	Employer identification number 87-0530600
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Identifier	Return Reference	Explanation
Officer Relationships	Part VI Line 2	Skaggs Family Linda Sue Balukoff - Family Claudia S Luttrell - Family Don L Skaggs - Family Mark S Skaggs - Family
Documentation of Meetings	Part VI, Section A, Line 8 a and b	Minutes of the Board meetings are always prepared Individual committees do not keep formal minutes, however, all pertinent discussions and decisions are made by the Board and therefore reported in the Board minutes
Form 990 Review Process	Part VI, Section A, Line 10	The Board always reviews the Form 990, generally after the filing as the Board only meets twice a year (February & September)
Governing Documents and other Policies	Part VI, Section C, Line 19	The foundation's governing documents, conflict of interest policy, and financial statements are available to the public upon request

SCHEDULE R
(Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No 1545-0047

2012

Open to Public Inspection

Name of the organization
The Vivian Skaggs Armstrong Foundation

Employer identification number
87-0530600

Part I Identification of Disregarded Entities (Complete if the organization answered "Yes" to Form 990, Part IV, line 33.)

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1) The Roman Catholic Diocese of Salt Lake 27 C Street Salt Lake City, UT 84103 87-0215468	CHURCH	UT	501(C)(3)	1	NA		No

Part III

Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV

Identification of Related Organizations Taxable as a Corporation or Trust (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end- of-year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity?	
								Yes	No

Part V

Transactions With Related Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34, 35b, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity

b Gift, grant, or capital contribution to related organization(s)

c Gift, grant, or capital contribution from related organization(s)

d Loans or loan guarantees to or for related organization(s)

e Loans or loan guarantees by related organization(s)

f Dividends from related organization(s)

g Sale of assets to related organization(s)

h Purchase of assets from related organization(s)

i Exchange of assets with related organization(s)

j Lease of facilities, equipment, or other assets to related organization(s)

k Lease of facilities, equipment, or other assets from related organization(s)

l Performance of services or membership or fundraising solicitations for related organization(s)

m Performance of services or membership or fundraising solicitations by related organization(s)

n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)

o Sharing of paid employees with related organization(s)

p Reimbursement paid to related organization(s) for expenses

q Reimbursement paid by related organization(s) for expenses

r Other transfer of cash or property to related organization(s)

s Other transfer of cash or property from related organization(s)

Yes

No

1a

No

1b

Yes

1c

No

1d

No

1e

No

1f

1g

No

1h

No

1i

No

1j

No

1k

No

1l

No

1m

No

1n

Yes

1o

Yes

1p

No

1q

No

1r

No

1s

No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of other organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) The Roman Catholic Diocese of Salt Lake City	B	1,010,550	CASH GIVEN
(2) The Roman Catholic Diocese of Salt Lake City	N		FMV OF USAGE
(3) The Roman Catholic Diocese of Salt Lake City	O		FMV OF SERVICES

Schedule R (Form 990) 2012

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Part VII **Supplemental Information**

Complete this part to provide additional information for responses to questions on Schedule R (see instructions)

Identifier	Return Reference	Explanation
------------	------------------	-------------

Software ID:
Software Version:
EIN: 87-0530600
Name: The Vivian Skaggs Armstrong Foundation

STATEMENT A

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums



Short Term Sales

41664M557	MFO HARTFORD MUT FDS INC GLOBAL REAL ASSET FD	HRLYX						
456 1700	CL Y 08/10/2012 12/22/2011		4,830.84	4,776.13	0.00	54.71	0.00	0.00
Total Short Term Sales			4,830.84	4,776.13	0.00	54.71	0.00	0.00

Long Term 15% Sales

41664M557	MFO HARTFORD MUT FDS INC GLOBAL REAL ASSET FD	HRLYX						
36915 5100	CL Y 08/10/2012 03/21/2011		390,935.23	450,000.00	0.00	-59,064.77	0.00	0.00
Total Long Term 15% Sales			390,935.23	450,000.00	0.00	-59,064.77	0.00	0.00

STATEMENT B

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums

Short Term Sales

693390700	PIMCO FUNDS TOTAL RETURN FUND INSTL CLASS	PTTRX						
1357 5800	03/02/2012 Various	15,137 02	14,856 87	0 00	280 15	0 00	0 00	
41664M557	MFO HARTFORD MUT FDS INC GLOBAL REAL ASSET FD	HRLYX						
405 4900	CL Y 08/10/2012 12/22/2011	4,294 14	4,245 45	0 00	48 69	0 00	0 00	
Total Short Term Sales		19,431.16	19,102.32	0.00	328.84	0.00	0.00	

Long Term 15% Sales

693390700	PIMCO FUNDS TOTAL RETURN FUND INSTL CLASS	PTTRX						
14785 9200	03/02/2012 05/04/2006	164,862 99	151,555 68	0 00	13,307 31	0 00	0 00	
41664M557	MFO HARTFORD MUT FDS INC GLOBAL REAL ASSET FD	HRLYX						
32813 7800	CL Y 08/10/2012 03/21/2011	347,497 92	400,000 00	0 00	-52,502 08	0 00	0 00	
25264S841	MFO DIAMOND HILL FDS LARGE CAP FD CL I SHS	DHLRX						
1261 6600	12/12/2012 03/21/2011	21,322 00	19,353 85	0 00	1,968 15	0 00	0 00	
Total Long Term 15% Sales		533,682.91	570,909.53	0.00	-37,226.62	0.00	0.00	

STATEMENT C

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums

Short Term Sales

369300108	GENERAL CABLE CORP COM	BGC						
40 0000	02/01/2012 06/09/2011	1,311 28	1,489 68	0 00	-178 40	0 00	0 00	
369300108	GENERAL CABLE CORP COM	BGC						
50 0000	02/02/2012 06/08/2011	1,623 67	1,853 08	0 00	-229 41	0 00	0 00	
369300108	GENERAL CABLE CORP COM	BGC						
90 0000	02/03/2012 Various	2,998 36	3,334 94	0 00	-336 60	0 00	0 00	
369300108	GENERAL CABLE CORP COM	BGC						
130 0000	02/14/2012 06/10/2011	3,960 54	4,812 83	0 00	-852 29	0 00	0 00	
494580103	KINDRED HEALTHCARE INC	KND						
80 0000	04/03/2012 06/29/2011	703 58	1,746 45	0 00	-1,042 87	0 00	0 00	
494580103	KINDRED HEALTHCARE INC	KND						
135 0000	04/04/2012 Various	1,151 03	2,931 25	0 00	-1,780 22	0 00	0 00	
494580103	KINDRED HEALTHCARE INC	KND						
20 0000	04/12/2012 08/31/2011	164 19	262 19	0 00	-98 00	0 00	0 00	
494580103	KINDRED HEALTHCARE INC	KND						
66 0000	04/12/2012 08/31/2011	543 85	865 24	0 00	-321 39	0 00	0 00	
494580103	KINDRED HEALTHCARE INC	KND						
25 0000	04/12/2012 08/31/2011	205 74	327 74	0 00	-122 00	0 00	0 00	

STATEMENT C

Sales are listed at Gross Proceeds less commissions and option premiums

494580103	KINDRED HEALTHCARE INC	KND						
95 0000	04/17/2012 08/30/2011		763 12	1,237 16	0 00	-474 04	0 00	0 00
494580103	KINDRED HEALTHCARE INC	KND						
40 0000	04/17/2012 Various		325 59	521 70	0 00	-196 11	0 00	0 00
494580103	KINDRED HEALTHCARE INC	KND						
40 0000	04/17/2012 08/31/2011		324 39	524 39	0 00	-200 00	0 00	0 00
494580103	KINDRED HEALTHCARE INC	KND						
25 0000	04/17/2012 08/30/2011		201 99	325 57	0 00	-123 58	0 00	0 00
494580103	KINDRED HEALTHCARE INC	KND						
89 0000	04/18/2012 Various		704 01	1,156 26	0 00	-452 25	0 00	0 00
Total Short Term Sales			14,981.34	21,388.48	0.00	-6,407.16	0.00	0.00
Long Term 15% Sales								
860370105	STEWART ENTERPRISES INC CL A COM	STEI						
60 0000	01/03/2012 Various		345 07	285 53	0 00	59 54	0 00	0 00
860370105	STEWART ENTERPRISES INC CL A COM	STEI						
125 0000	01/04/2012 Various		715 66	592 15	0 00	123 51	0 00	0 00
860370105	STEWART ENTERPRISES INC CL A COM	STEI						
10 0000	01/05/2012 11/11/2009		57 94	46 99	0 00	10 95	0 00	0 00

STATEMENT C

Sales are listed at Gross Proceeds less commissions and option premiums

860370105	STEWART ENTERPRISES INC CL A COM	STEI					
220 0000	01/06/2012 Various	1,274 50	1,026 15	0 00	248 35	0 00	0 00
860370105	STEWART ENTERPRISES INC CL A COM	STEI					
180 0000	01/09/2012 Various	1,052 34	701 25	0 00	351 09	0 00	0 00
860370105	STEWART ENTERPRISES INC CL A COM	STEI					
65 0000	01/10/2012 11/25/2008	381 35	201 39	0 00	179 96	0 00	0 00
682680103	ONEOK INC NEW	OKE					
70 0000	02/14/2012 05/04/2006	5,700 38	2,292 05	0 00	3,408 33	0 00	0 00
494580103	KINDRED HEALTHCARE INC	KND					
20 0000	04/04/2012 Various	170 53	385 30	0 00	-214 77	0 00	0 00
494580103	KINDRED HEALTHCARE INC	KND					
125 0000	04/05/2012 08/09/2007	1,028 15	2,379 09	0 00	-1,350 94	0 00	0 00
494580103	KINDRED HEALTHCARE INC	KND					
269 0000	04/12/2012 08/09/2007	2,216 61	5,119 79	0 00	-2,903 18	0 00	0 00
682680103	ONEOK INC NEW	OKE					
20 0000	05/01/2012 05/04/2006	1,742 27	654 87	0 00	1,087 40	0 00	0 00
682680103	ONEOK INC NEW	OKE					
55 0000	05/02/2012 05/04/2006	4,715 56	1,800 89	0 00	2,914 67	0 00	0 00

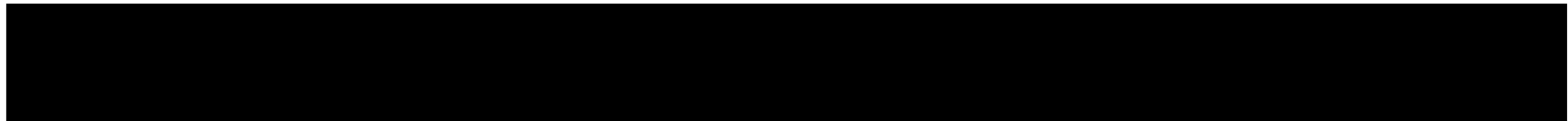
STATEMENT C

Sales are listed at Gross Proceeds less commissions and option premiums

14067E506	CAPSTEAD MTG CORP COM NO PAR COM NO PAR	CMO						
30 0000	06/20/2012 05/20/2010	421 43	315 82	0 00	105 61	0 00	0 00	
14067E506	CAPSTEAD MTG CORP COM NO PAR COM NO PAR	CMO						
145 0000	06/21/2012 05/20/2010	2,034 02	1,526 48	0 00	507 54	0 00	0 00	
14067E506	CAPSTEAD MTG CORP COM NO PAR COM NO PAR	CMO						
350 0000	06/22/2012 05/20/2010	4,906 79	3,684 62	0 00	1,222 17	0 00	0 00	
14067E506	CAPSTEAD MTG CORP COM NO PAR COM NO PAR	CMO						
375 0000	06/25/2012 05/20/2010	5,237 54	3,947 80	0 00	1,289 74	0 00	0 00	
502175102	LTC PPTYS INC COM	LTC						
50 0000	07/06/2012 05/08/2006	1,836 20	1,071 86	0 00	764 34	0 00	0 00	
502175102	LTC PPTYS INC COM	LTC						
25 0000	07/09/2012 05/08/2006	920 89	535 93	0 00	384 96	0 00	0 00	
502175102	LTC PPTYS INC COM	LTC						
65 0000	07/09/2012 05/08/2006	2,393 72	1,393 42	0 00	1,000 30	0 00	0 00	
502175102	LTC PPTYS INC COM	LTC						
30 0000	07/10/2012 05/08/2006	1,068 33	643 12	0 00	425 21	0 00	0 00	
831865209	SMITH A O CORP COM	AOS						
85 0000	07/10/2012 04/02/2007	4,133 73	2,160 43	0 00	1,973 30	0 00	0 00	

STATEMENT C

Sales are listed at Gross Proceeds less commissions and option premiums



502175102	LTC PPTYS INC COM	LTC						
5 0000	07/11/2012 05/08/2006		176 84	107 19	0 00	69 65	0 00	0 00
831865209	SMITH A O CORP COM	AOS						
75 0000	07/11/2012 04/02/2007		3,594 30	1,906 26	0 00	1,688 04	0 00	0 00
868536103	SUPER VALU INC	SVU						
991 0000	07/12/2012 01/12/2011		2,771 26	7,550 92	0 00	-4,779 66	0 00	0 00
868536103	SUPER VALU INC	SVU						
1094 0000	07/12/2012 Various		3,301 07	9,072 06	0 00	-5,770 99	0 00	0 00
12621E103	CNO FINL GROUP INC COM	CNO						
250 0000	08/01/2012 10/02/2009		2,030 78	1,279 80	0 00	750 98	0 00	0 00
12621E103	CNO FINL GROUP INC COM	CNO						
575 0000	08/02/2012 Various		4,570 57	2,916 69	0 00	1,653 88	0 00	0 00
831865209	SMITH A O CORP COM	AOS						
15 0000	09/07/2012 04/02/2007		831 30	381 25	0 00	450 05	0 00	0 00
831865209	SMITH A O CORP COM	AOS						
75 0000	09/10/2012 04/02/2007		4,164 97	1,906 26	0 00	2,258 71	0 00	0 00
831865209	SMITH A O CORP COM	AOS						
10 0000	09/11/2012 04/02/2007		556 22	254 17	0 00	302 05	0 00	0 00

STATEMENT C

Sales are listed at Gross Proceeds less commissions and option premiums

675746309	OCWEN FINL CORP COM NEW COM NEW	OCN					
420 0000	10/03/2012 Various	14,067 03	4,233 59	0 00	9,833 44	0 00	0 00
675746309	OCWEN FINL CORP COM NEW COM NEW	OCN					
155 0000	10/31/2012 Various	5,967 89	1,382 96	0 00	4,584 93	0 00	0 00
Total Long Term 15% Sales		84,385.24	61,756.08	0.00	22,629.16	0.00	0.00

STATEMENT D

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums

Short Term Sales

974280307	#REORG/WINN DIXIE STORES INC CASH MERGER3/9/2012						
500 0000	03/12/2012 12/14/2011	4,750 00	2,800 00	0 00	1,950 00	0 00	0 00
59509C105	#REORG/MICROMET CASH MERGER 3/8/2012						
350 0000	03/30/2012 05/04/2011	3,850 00	2,323 16	0 00	1,526 84	0 00	0 00
M81869105	RADVISION LTD RADVISION LTD ORD ILS0 10						
350 0000	05/22/2012 07/27/2011	4,098 44	2,533 97	0 00	1,564 47	0 00	0 00
Total Short Term Sales		12,698.44	7,657.13	0.00	5,041.31	0.00	0.00

Long Term 15% Sales

517834107	LAS VEGAS SANDS CORP	LVS					
50 0000	01/06/2012 04/08/2009	2,102 95	202 59	0 00	1,900 36	0 00	0 00
670008101	NOVELLUS SYS INC						
50 0000	01/06/2012 05/11/2006	2,068 96	1,206 00	0 00	862 96	0 00	0 00
75886F107	REGENERON PHARMACEUTICALS INC	REGN					
100 0000	01/06/2012 06/21/2006	6,507 93	1,235 51	0 00	5,272 42	0 00	0 00
779382100	ROWAN COS INC						
50 0000	01/06/2012 01/07/2008	1,548 47	1,984 36	0 00	-435 89	0 00	0 00
829226109	SINCLAIR BROADCAST GROUP INC CL A	SBGI					
100 0000	01/06/2012 05/20/2010	1,239 97	638 60	0 00	601 37	0 00	0 00

STATEMENT D

Sales are listed at Gross Proceeds less commissions and option premiums

86764P109	SUNOCO INC							
50 0000	01/06/2012 05/15/2006	2,038 96	3,602 00	0 00	-1,563 04	0 00	0 00	
86722A103	SUNCOKE ENERGY INC COM USD0 01	SXC						
5700	01/18/2012 05/01/2008	7 63	8 43	0 00	-0 80	0 00	0 00	
963320106	WHIRLPOOL CORP	WHR						
50 0000	01/20/2012 06/30/2006	2,718 22	4,157 50	0 00	-1,439 28	0 00	0 00	
59509C105	#REORG/MICROMET CASH MERGER 3/8/2012							
350 0000	03/30/2012 07/07/2010	3,850 00	2,149 00	0 00	1,701 00	0 00	0 00	
75886F107	REGENERON PHARMACEUTICALS INC	REGN						
50 0000	04/19/2012 06/21/2006	6,287 35	617 75	0 00	5,669 60	0 00	0 00	
00081T108	ACCO BRANDS CORP COM	ACCO						
9700	05/01/2012 05/16/2006	9 90	9 90	0 00	0 00	0 00	0 00	
06846N104	BARRETT BILL CORP COM STK	BBG						
100 0000	05/07/2012 Various	2,203 02	5,348 04	0 00	-3,145 03	0 00	0 00	
428291108	HEXCEL CORP NEW COM	HXL						
50 0000	05/21/2012 10/28/2008	1,240 85	453 33	0 00	787 52	0 00	0 00	
512807108	LAM RESEARCH CORP	LRCX						
2500	06/05/2012 05/15/2006	9 22	11 97	0 00	-2 75	0 00	0 00	

STATEMENT D

Sales are listed at Gross Proceeds less commissions and option premiums

00081T108	ACCO BRANDS CORP COM	ACCO					
65 0000	09/06/2012 Various	417 12	633 43	0 00	-216 31	0 00	0 00
114340102	BROOKS AUTOMATION INC COM	BRKS					
350 0000	09/13/2012 05/12/2006	2,809 32	4,714 50	0 00	-1,905 18	0 00	0 00
75886F107	REGENERON PHARMACEUTICALS INC	REGN					
25 0000	10/15/2012 06/21/2006	3,844 49	308 88	0 00	3,535 61	0 00	0 00
86722A103	SUNCOKE ENERGY INC COM USD0 01	SXC					
79 0000	10/17/2012 Various	1,335 86	996 92	0 00	338 94	0 00	0 00
532774106	LIN TV CORP CL A CL A	TVL					
600 0000	10/25/2012 Various	3,277 68	5,238 92	0 00	-1,961 25	0 00	0 00
057224107	BAKER HUGHES INC	BHI					
100 0000	11/14/2012 Various	3,993 98	4,862 94	0 00	-868 96	0 00	0 00
75886F107	REGENERON PHARMACEUTICALS INC	REGN					
25 0000	11/27/2012 06/21/2006	4,371 70	308 88	0 00	4,062 82	0 00	0 00
86764P109	SUNOCO INC						
82 5100	11/27/2012 05/01/2008	3,970 00	3,308 11	0 00	661 89	0 00	0 00
Total Long Term 15% Sales		55,853.58	41,997.56	0.00	13,856.00	0.00	0.00

Unknown Term (or Cost) Sales

STATEMENT E

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums

Short Term Sales

369300108	GENERAL CABLE CORP COM	BGC						
35 0000	02/01/2012 06/09/2011	1,147 36	1,303 47	0 00	-156 11	0 00	0 00	
369300108	GENERAL CABLE CORP COM	BGC						
45 0000	02/02/2012 Various	1,461 30	1,674 08	0 00	-212 78	0 00	0 00	
369300108	GENERAL CABLE CORP COM	BGC						
80 0000	02/03/2012 06/08/2011	2,665 20	2,964 92	0 00	-299 72	0 00	0 00	
369300108	GENERAL CABLE CORP COM	BGC						
115 0000	02/14/2012 Various	3,503 55	4,257 71	0 00	-754 16	0 00	0 00	
053774105	AVIS BUDGET GROUP INC COM STK	CAR						
130 0000	03/01/2012 08/31/2011	1,684 97	1,737 62	0 00	-52 65	0 00	0 00	
147528103	CASEYS GEN STORES INC	CASY						
30 0000	03/01/2012 Various	1,547 37	1,605 49	0 00	-58 12	0 00	0 00	
163893209	CHEMTURA CORP COM NEW	CHMT						
20 0000	03/01/2012 03/14/2011	312 79	328 63	0 00	-15 84	0 00	0 00	
205768203	COMSTOCK RES INC NEW	CRK						
75 0000	03/01/2012 05/24/2011	1,211 98	2,150 23	0 00	-938 25	0 00	0 00	
219023108	CORN PRODUCTS INTERNATIONAL INC COM							
20 0000	03/01/2012 Various	1,158 38	1,039 91	0 00	118 47	0 00	0 00	

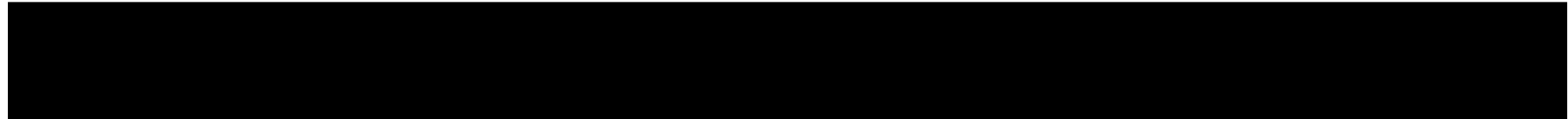
STATEMENT E

Sales are listed at Gross Proceeds less commissions and option premiums

346091705	FOREST OIL CORP	FST						
85 0000	03/01/2012 06/16/2011		1,110 08	1,565 76	0 00	-455 68	0 00	0 00
462044108	ION GEOPHYSICAL CORP COM STK	IO						
225 0000	03/01/2012 Various		1,636 45	1,501 10	0 00	135 35	0 00	0 00
494580103	KINDRED HEALTHCARE INC	KND						
85 0000	03/01/2012 06/29/2011		876 33	1,855 60	0 00	-979 27	0 00	0 00
690768403	OWENS ILLINOIS INC COM NEW	OI						
65 0000	03/01/2012 11/21/2011		1,571 02	1,212 76	0 00	358 26	0 00	0 00
736508847	PORTLAND GENERAL ELECTRIC CO COM NEW COMNEW	POR						
50 0000	03/01/2012 10/24/2011		1,237 48	1,241 04	0 00	-3 56	0 00	0 00
737446104	POST HLDGS INC COM STK	POST						
30 0000	03/01/2012 02/15/2012		944 68	931 26	0 00	13 42	0 00	0 00
868536103	SUPER VALU INC	SVU						
180 0000	03/01/2012 06/29/2011		1,184 38	1,672 33	0 00	-487 95	0 00	0 00
90187B101	TWO HBRS INVT CORP COM	TWO						
100 0000	03/01/2012 Various		1,020 23	1,034 19	0 00	-13 96	0 00	0 00
904708104	UNIFIRST CORP MASS COM	UNF						
10 0000	03/01/2012 02/09/2012		603 69	623 85	0 00	-20 16	0 00	0 00

STATEMENT E

Sales are listed at Gross Proceeds less commissions and option premiums



G05384105	ASPEN INSURANCE HLDGS COM	AHL						
30 0000	03/01/2012 08/08/2011		802 49	745 15	0 00	57 34	0 00	0 00
494580103	KINDRED HEALTHCARE INC	KND						
65 0000	04/03/2012 06/29/2011		571 66	1,418 99	0 00	-847 33	0 00	0 00
494580103	KINDRED HEALTHCARE INC	KND						
125 0000	04/04/2012 06/29/2011		1,065 77	2,728 83	0 00	-1,663 06	0 00	0 00
494580103	KINDRED HEALTHCARE INC	KND						
20 0000	04/05/2012 06/27/2011		164 50	423 90	0 00	-259 40	0 00	0 00
494580103	KINDRED HEALTHCARE INC	KND						
20 0000	04/12/2012 08/31/2011		164 59	262 19	0 00	-97 60	0 00	0 00
494580103	KINDRED HEALTHCARE INC	KND						
20 0000	04/12/2012 08/31/2011		164 19	262 19	0 00	-98 00	0 00	0 00
494580103	KINDRED HEALTHCARE INC	KND						
37 0000	04/12/2012 08/31/2011		304 89	485 06	0 00	-180 17	0 00	0 00
494580103	KINDRED HEALTHCARE INC	KND						
20 0000	04/17/2012 08/30/2011		161 59	260 46	0 00	-98 87	0 00	0 00
494580103	KINDRED HEALTHCARE INC	KND						
75 0000	04/17/2012 08/30/2011		602 46	976 71	0 00	-374 25	0 00	0 00

STATEMENT E

Sales are listed at Gross Proceeds less commissions and option premiums

494580103	KINDRED HEALTHCARE INC	KND						
35 0000	04/17/2012 Various		284 89	456 93	0 00	-172 04	0 00	0 00
494580103	KINDRED HEALTHCARE INC	KND						
35 0000	04/17/2012 08/31/2011		283 84	458 84	0 00	-175 00	0 00	0 00
494580103	KINDRED HEALTHCARE INC	KND						
68 0000	04/18/2012 Various		537 89	883 34	0 00	-345 45	0 00	0 00
Total Short Term Sales			29,986.00	38,062.54	0.00	-8,076.54	0.00	0.00
Long Term 15% Sales								
860370105	STEWART ENTERPRISES INC CL A COM	STEI						
50 0000	01/03/2012 Various		287 56	237 96	0 00	49 60	0 00	0 00
860370105	STEWART ENTERPRISES INC CL A COM	STEI						
100 0000	01/04/2012 11/16/2009		572 52	475 87	0 00	96 65	0 00	0 00
860370105	STEWART ENTERPRISES INC CL A COM	STEI						
10 0000	01/05/2012 11/16/2009		57 94	47 59	0 00	10 35	0 00	0 00
860370105	STEWART ENTERPRISES INC CL A COM	STEI						
170 0000	01/06/2012 Various		984 84	799 11	0 00	185 73	0 00	0 00
860370105	STEWART ENTERPRISES INC CL A COM	STEI						
140 0000	01/09/2012 Various		818 49	647 11	0 00	171 38	0 00	0 00

STATEMENT E

Sales are listed at Gross Proceeds less commissions and option premiums

860370105	STEWART ENTERPRISES INC CL A COM	STEI					
50 0000	01/10/2012 11/12/2009	293 34	230 47	0 00	62 87	0 00	0 00
682680103	ONEOK INC NEW	OKE					
60 0000	02/14/2012 05/04/2006	4,886 03	1,964 61	0 00	2,921 42	0 00	0 00
012348108	ALBANY INTL CORP NEW CL A	AIN					
70 0000	03/01/2012 02/27/2007	1,674 43	2,419 14	0 00	-744 71	0 00	0 00
029899101	AMERICAN STS WTR CO	AWR					
30 0000	03/01/2012 Various	1,112 68	1,078 86	0 00	33 82	0 00	0 00
067806109	BARNES GROUP INC	B					
60 0000	03/01/2012 09/09/2008	1,675 17	1,328 78	0 00	346 39	0 00	0 00
112463104	BROOKDALE SR LIVING INC COM STK	BKD					
90 0000	03/01/2012 Various	1,682 97	1,434 78	0 00	248 19	0 00	0 00
12621E103	CNO FINL GROUP INC COM	CNO					
245 0000	03/01/2012 10/02/2009	1,862 46	1,254 20	0 00	608 26	0 00	0 00
14067E506	CAPSTEAD MTG CORP COM NO PAR COM NO PAR	CMO					
80 0000	03/01/2012 Various	1,063 18	858 62	0 00	204 56	0 00	0 00
163893209	CHEMTURA CORP COM NEW	CHMT					
45 0000	03/01/2012 Various	703 79	729 69	0 00	-25 90	0 00	0 00

STATEMENT E

Sales are listed at Gross Proceeds less commissions and option premiums

167250109	ADR CHICAGO BRDG & IRON CO N V N Y REGISTRY	CBI						
25 0000	SH NV 03/01/2012 06/16/2010		1,173 73	508 63	0 00	665 10	0 00	0 00
237266101	DARLING INTL INC COM	DAR						
115 0000	03/01/2012 10/27/2009		1,977 96	830 27	0 00	1,147 69	0 00	0 00
297425100	ESTERLINE TECHNOLOGIES CORP	ESL						
25 0000	03/01/2012 12/07/2007		1,640 22	1,259 28	0 00	380 94	0 00	0 00
450828108	IBERIABANK CORP COM	IBKC						
20 0000	03/01/2012 07/28/2009		1,069 98	936 66	0 00	133 32	0 00	0 00
502175102	LTC PPTYS INC COM	LTC						
35 0000	03/01/2012 05/08/2006		1,086 73	753 04	0 00	333 69	0 00	0 00
675746309	OCWEN FINL CORP COM NEW COM NEW	OCN						
85 0000	03/01/2012 Various		1,370 18	870 48	0 00	499 70	0 00	0 00
681936100	OMEGA HEALTHCARE INVS INC REIT	OHI						
75 0000	03/01/2012 05/04/2006		1,540 47	757 58	0 00	782 89	0 00	0 00
682680103	ONEOK INC NEW	OKE						
15 0000	03/01/2012 05/04/2006		1,239 28	491 15	0 00	748 13	0 00	0 00
758075402	REDWOOD TR INC COM	RWT						
125 0000	03/01/2012 Various		1,449 97	1,842 92	0 00	-392 95	0 00	0 00

STATEMENT E

Sales are listed at Gross Proceeds less commissions and option premiums

81725T100	SENSIENT TECHNOLOGIES CORP COMMON	SXT					
35 0000	03/01/2012 06/19/2009	1,301 28	822 44	0 00	478 84	0 00	0 00
829073105	SIMPSON MFG INC COM	SSD					
45 0000	03/01/2012 Various	1,361 68	1,365 50	0 00	-3 82	0 00	0 00
831865209	SMITH A O CORP COM	AOS					
45 0000	03/01/2012 04/02/2007	2,047 01	1,143 76	0 00	903 25	0 00	0 00
886423102	TIDEWATER INC	TDW					
25 0000	03/01/2012 06/06/2006	1,496 97	1,244 00	0 00	252 97	0 00	0 00
494580103	KINDRED HEALTHCARE INC	KND					
80 0000	04/05/2012 08/09/2007	658 02	1,522 62	0 00	-864 60	0 00	0 00
494580103	KINDRED HEALTHCARE INC	KND					
238 0000	04/12/2012 08/09/2007	1,961 16	4,529 78	0 00	-2,568 62	0 00	0 00
682680103	ONEOK INC NEW	OKE					
15 0000	05/01/2012 05/04/2006	1,306 70	491 15	0 00	815 55	0 00	0 00
682680103	ONEOK INC NEW	OKE					
50 0000	05/02/2012 05/04/2006	4,286 87	1,637 18	0 00	2,649 69	0 00	0 00
14067E506	CAPSTEAD MTG CORP COM NO PAR COM NO PAR	CMO					
25 0000	06/20/2012 05/20/2010	351 19	263 19	0 00	88 00	0 00	0 00

STATEMENT E

Sales are listed at Gross Proceeds less commissions and option premiums

14067E506	CAPSTEAD MTG CORP COM NO PAR COM NO PAR	CMO						
115 0000	06/21/2012 05/20/2010	1,613 19	1,210 66	0 00	402 53	0 00	0 00	
14067E506	CAPSTEAD MTG CORP COM NO PAR COM NO PAR	CMO						
280 0000	06/22/2012 05/20/2010	3,925 43	2,947 68	0 00	977 75	0 00	0 00	
14067E506	CAPSTEAD MTG CORP COM NO PAR COM NO PAR	CMO						
295 0000	06/25/2012 05/20/2010	4,120 19	3,105 60	0 00	1,014 59	0 00	0 00	
502175102	LTC PPTYS INC COM	LTC						
40 0000	07/06/2012 05/08/2006	1,468 96	857 47	0 00	611 49	0 00	0 00	
502175102	LTC PPTYS INC COM	LTC						
50 0000	07/09/2012 05/08/2006	1,841 32	1,071 84	0 00	769 48	0 00	0 00	
502175102	LTC PPTYS INC COM	LTC						
20 0000	07/09/2012 05/08/2006	736 71	428 74	0 00	307 97	0 00	0 00	
502175102	LTC PPTYS INC COM	LTC						
25 0000	07/10/2012 05/08/2006	890 28	535 92	0 00	354 36	0 00	0 00	
831865209	SMITH A O CORP COM	AOS						
65 0000	07/10/2012 04/02/2007	3,161 08	1,652 09	0 00	1,508 99	0 00	0 00	
502175102	LTC PPTYS INC COM	LTC						
5 0000	07/11/2012 05/08/2006	176 84	107 19	0 00	69 65	0 00	0 00	

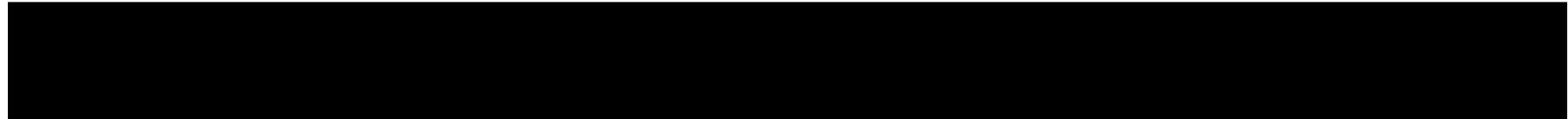
STATEMENT E

Sales are listed at Gross Proceeds less commissions and option premiums

831865209	SMITH A O CORP COM	AOS						
60 0000	07/11/2012 04/02/2007	2,875 44	1,525 01	0 00	1,350 43	0 00	0 00	
868536103	SUPER VALU INC	SVU						
876 0000	07/12/2012 01/12/2011	2,643 27	6,674 68	0 00	-4,031 41	0 00	0 00	
868536103	SUPER VALU INC	SVU						
794 0000	07/12/2012 Various	2,220 37	6,737 91	0 00	-4,517 54	0 00	0 00	
12621E103	CNO FINL GROUP INC COM	CNO						
185 0000	08/01/2012 10/02/2009	1,502 77	947 05	0 00	555 72	0 00	0 00	
12621E103	CNO FINL GROUP INC COM	CNO						
430 0000	08/02/2012 Various	3,417 99	2,141 58	0 00	1,276 41	0 00	0 00	
831865209	SMITH A O CORP COM	AOS						
15 0000	09/07/2012 04/02/2007	831 30	381 25	0 00	450 05	0 00	0 00	
831865209	SMITH A O CORP COM	AOS						
55 0000	09/10/2012 04/02/2007	3,054 31	1,397 92	0 00	1,656 39	0 00	0 00	
831865209	SMITH A O CORP COM	AOS						
10 0000	09/11/2012 04/02/2007	556 22	254 17	0 00	302 05	0 00	0 00	
675746309	OCWEN FINL CORP COM NEW COM NEW	OCN						
335 0000	10/03/2012 Various	11,220 13	3,300 96	0 00	7,919 17	0 00	0 00	

STATEMENT E

Sales are listed at Gross Proceeds less commissions and option premiums



675746309	OCWEN FINL CORP COM NEW COM NEW	OCN					
125 0000	10/31/2012 11/23/2010	4,812 82	1,100 98	0 00	3,711 84	0 00	0 00
Total Long Term 15% Sales		96,063.42	71,155.12	0.00	24,908.30	0.00	0.00

STATEMENT F

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums

Short Term Sales

364730101	GANNETT INC	GCI					
100 0000	03/01/2012 02/14/2012	1,485 97	1,485 94	0 00	0 03	0 00	0 00
52729N308	LEVEL 3 COMMUNICATIONS INC COM NEW	LVL					
100 0000	03/01/2012 03/15/2011	2,440 96	1,315 96	0 00	1,125 00	0 00	0 00
59509C105	#REORG/MICROMET CASH MERGER 3/8/2012						
50 0000	03/01/2012 05/04/2011	546 99	331 88	0 00	215 11	0 00	0 00
974280307	#REORG/WINN DIXIE STORES INC CASH MERGER 3/9/2012						
50 0000	03/01/2012 12/14/2011	472 74	280 00	0 00	192 74	0 00	0 00
974280307	#REORG/WINN DIXIE STORES INC CASH MERGER 3/9/2012						
350 0000	03/12/2012 12/14/2011	3,325 00	1,960 00	0 00	1,365 00	0 00	0 00
59509C105	#REORG/MICROMET CASH MERGER 3/8/2012						
200 0000	03/30/2012 05/04/2011	2,200 00	1,327 52	0 00	872 48	0 00	0 00
M81869105	RADVISION LTD RADVISION LTD ORD ILS0 10						
250 0000	05/22/2012 07/27/2011	2,927 46	1,809 98	0 00	1,117 48	0 00	0 00
Total Short Term Sales		13,399.12	8,511.28	0.00	4,887.84	0.00	0.00

Long Term 15% Sales

75886F107	REGENERON PHARMACEUTICALS INC	REGN					
50 0000	01/06/2012 06/21/2006	3,253 96	617 75	0 00	2,636 21	0 00	0 00

STATEMENT F

Sales are listed at Gross Proceeds less commissions and option premiums

86722A103	SUNCOKE ENERGY INC COM USD0 01	SXC						
5700	01/18/2012 05/15/2006		7 63	12 67	0 00	-5 04	0 00	0 00
963320106	WHIRLPOOL CORP	WHR						
50 0000	01/20/2012 10/28/2008		2,718 22	2,220 50	0 00	497 72	0 00	0 00
458786100	INTERMEC INC COM	IN						
250 0000	02/02/2012 Various		2,177 96	3,945 44	0 00	-1,767 48	0 00	0 00
422819102	HEIDRICK & STRUGGLES INTL INC	HSII						
100 0000	02/16/2012 Various		2,150 88	2,541 50	0 00	-390 62	0 00	0 00
049513104	ATMEL CORP	ATML						
350 0000	03/01/2012 Various		3,576 93	1,572 49	0 00	2,004 44	0 00	0 00
06846N104	BARRETT BILL CORP COM STK	BBG						
50 0000	03/01/2012 07/15/2008		1,476 97	2,447 33	0 00	-970 36	0 00	0 00
114340102	BROOKS AUTOMATION INC COM	BRKS						
50 0000	03/01/2012 05/12/2006		594 48	673 50	0 00	-79 02	0 00	0 00
12686C109	CABLEVISION SYSTEMS INC	CVC						
50 0000	03/01/2012 05/12/2006		706 98	607 17	0 00	99 81	0 00	0 00
13321L108	CAMECO CORP COM	CCJ						
50 0000	03/01/2012 05/15/2006		1,247 47	1,912 50	0 00	-665 03	0 00	0 00

STATEMENT F

Sales are listed at Gross Proceeds less commissions and option premiums

171871106	CIN BELL INC NEW COM STK	CBB						
400 0000	03/01/2012 05/16/2006		1,480 09	1,588 00	0 00	-107 91	0 00	0 00
25470F302	DISCOVERY COMMUNICATIONS INC NEW COM SERC	DISCK						
50 0000	03/01/2012 05/12/2006		2,167 96	623 91	0 00	1,544 05	0 00	0 00
413160102	HARMONIC INC	HLIT						
150 0000	03/01/2012 05/15/2006		901 62	744 00	0 00	157 62	0 00	0 00
423452101	HELMERICH & PAYNE INC	HP						
50 0000	03/01/2012 05/15/2006		3,071 09	1,822 00	0 00	1,249 09	0 00	0 00
428291108	HEXCEL CORP NEW COM	HXL						
50 0000	03/01/2012 10/28/2008		1,260 47	453 34	0 00	807 13	0 00	0 00
462044108	ION GEOPHYSICAL CORP COM STK	IO						
100 0000	03/01/2012 08/16/2007		736 48	1,246 45	0 00	-509 97	0 00	0 00
464330109	ISIS PHARMACEUTICALS CALIF COM	ISIS						
50 0000	03/01/2012 07/10/2007		454 99	600 70	0 00	-145 71	0 00	0 00
485170302	KANSAS CITY SOUTHERN INDS INC NEW	KSU						
50 0000	03/01/2012 03/06/2009		3,496 93	686 46	0 00	2,810 47	0 00	0 00
502161102	LSI LOGIC CORP	LSI						
200 0000	03/01/2012 11/06/2008		1,708 16	753 88	0 00	954 28	0 00	0 00

STATEMENT F

Sales are listed at Gross Proceeds less commissions and option premiums

512807108	LAM RESEARCH CORP	LRCX						
50 0000	03/01/2012 05/15/2006	2,093 96	2,393 65	0 00	-299 69	0 00	0 00	
670008101	NOVELLUS SYS INC							
50 0000	03/01/2012 05/11/2006	2,335 45	1,206 00	0 00	1,129 45	0 00	0 00	
683399109	ONYX PHARMACEUTICALS INC	ONXX						
50 0000	03/01/2012 06/01/2009	1,885 46	1,206 89	0 00	678 57	0 00	0 00	
749941100	RF MICRODEVICES INC	RFMD						
150 0000	03/01/2012 Various	722 98	1,190 00	0 00	-467 02	0 00	0 00	
754730109	RAYMOND JAMES FINANCIAL INC	RJF						
50 0000	03/01/2012 11/05/2008	1,782 96	1,125 89	0 00	657 07	0 00	0 00	
79377W108	SAKS INC	SKS						
50 0000	03/01/2012 04/09/2008	582 48	626 53	0 00	-44 05	0 00	0 00	
811699107	SEACHANGE INTL INC COM	SEAC						
150 0000	03/01/2012 Various	1,028 98	1,028 34	0 00	0 64	0 00	0 00	
829226109	SINCLAIR BROADCAST GROUP INC CL A	SBGI						
100 0000	03/01/2012 05/11/2006	1,146 97	699 60	0 00	447 37	0 00	0 00	
86074Q102	STILLWATER MNG CO COM	SWC						
100 0000	03/01/2012 01/28/2008	1,439 97	1,047 82	0 00	392 15	0 00	0 00	

STATEMENT F

Sales are listed at Gross Proceeds less commissions and option premiums

89674K103	TRIQUINT SEMICONDUCTOR INC	TQNT					
150 0000	03/01/2012 05/12/2006	976 48	802 50	0 00	173 98	0 00	0 00
928298108	VISHAY INTERTECHNOLOGY INC	VSH					
150 0000	03/01/2012 Various	1,867 46	2,182 53	0 00	-315 07	0 00	0 00
930059100	WADDELL & REED FINL INC CL A	WDR					
50 0000	03/01/2012 11/03/2008	1,591 47	721 75	0 00	869 72	0 00	0 00
59509C105	#REORG/MICROMET CASH MERGER 3/8/2012						
250 0000	03/30/2012 07/09/2010	2,750 00	1,638 50	0 00	1,111 50	0 00	0 00
75886F107	REGENERON PHARMACEUTICALS INC	REGN					
50 0000	04/19/2012 06/21/2006	6,287 35	617 76	0 00	5,669 59	0 00	0 00
00081T108	ACCO BRANDS CORP COM	ACCO					
4800	05/01/2012 06/07/2006	4 90	4 72	0 00	0 18	0 00	0 00
06846N104	BARRETT BILL CORP COM STK	BBG					
50 0000	05/07/2012 06/21/2010	1,101 51	1,801 00	0 00	-699 49	0 00	0 00
00081T108	ACCO BRANDS CORP COM	ACCO					
49 0000	09/06/2012 Various	314 44	464 51	0 00	-150 07	0 00	0 00
114340102	BROOKS AUTOMATION INC COM	BRKS					
250 0000	09/13/2012 Various	2,006 66	3,227 50	0 00	-1,220 84	0 00	0 00

STATEMENT F

Sales are listed at Gross Proceeds less commissions and option premiums

75886F107	REGENERON PHARMACEUTICALS INC	REGN						
20 0000	10/15/2012 06/21/2006	3,075 59	247 10	0 00	2,828 49	0 00	0 00	
86722A103	SUNCOKE ENERGY INC COM USD0 01	SXC						
79 0000	10/17/2012 Various	1,335 85	1,189 91	0 00	145 94	0 00	0 00	
532774106	LIN TV CORP CL A CL A	TVL						
450 0000	10/25/2012 Various	2,458 25	3,848 76	0 00	-1,390 51	0 00	0 00	
057224107	BAKER HUGHES INC	BHI						
60 0000	11/14/2012 10/28/2008	2,396 38	1,780 52	0 00	615 86	0 00	0 00	
75886F107	REGENERON PHARMACEUTICALS INC	REGN						
20 0000	11/27/2012 06/21/2006	3,497 36	247 10	0 00	3,250 26	0 00	0 00	
86764P109	SUNOCO INC							
82 5100	11/27/2012 Various	3,970 00	4,315 85	0 00	-345 85	0 00	0 00	
Total Long Term 15% Sales		79,842.18	58,684.32	0.00	21,157.86	0.00	0.00	

STATEMENT G

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums

Short Term Sales

88579Y101	3M CO	MMM						
140 0000	01/11/2012 Various	11,669 98	13,252 84	0 00	-1,582 86	0 00	0 00	
88579Y101	3M CO	MMM						
40 0000	01/12/2012 03/28/2011	3,370 14	3,706 30	0 00	-336 16	0 00	0 00	
855244109	STARBUCKS CORP	SBUX						
130 0000	01/13/2012 08/11/2011	6,135 75	4,706 88	0 00	1,428 87	0 00	0 00	
641069406	NESTLE S A SPONSORED ADR REPSTG REG SH	NSRGY						
100 0000	01/18/2012 09/23/2011	5,706 88	5,356 54	0 00	350 34	0 00	0 00	
886547108	TIFFANY & CO NEW	TIF						
285 0000	01/18/2012 Various	17,055 44	19,907 16	0 00	-2,851 72	0 00	0 00	
779376102	ROVI CORP COM	ROVI						
60 0000	01/19/2012 05/12/2011	1,799 51	3,570 08	0 00	-1,770 57	0 00	0 00	
641069406	NESTLE S A SPONSORED ADR REPSTG REG SH	NSRGY						
130 0000	01/23/2012 09/23/2011	7,425 00	6,963 50	0 00	461 50	0 00	0 00	
855244109	STARBUCKS CORP	SBUX						
115 0000	01/23/2012 08/11/2011	5,471 80	4,163 79	0 00	1,308 01	0 00	0 00	
988498101	YUM BRANDS INC	YUM						
100 0000	01/23/2012 Various	6,208 40	5,107 19	0 00	1,101 21	0 00	0 00	

STATEMENT G

Sales are listed at Gross Proceeds less commissions and option premiums

779376102	ROVI CORP COM	ROVI						
80 0000	01/25/2012 02/28/2011	2,345 05	4,448 78	0 00	-2,103 73	0 00	0 00	
302130109	EXPEDITORS INTL WASH INC	EXPD						
140 0000	02/02/2012 Various	6,115 98	7,200 93	0 00	-1,084 95	0 00	0 00	
654106103	NIKE INC CLASS B	NKE						
50 0000	02/02/2012 Various	5,157 16	4,038 35	0 00	1,118 81	0 00	0 00	
855244109	STARBUCKS CORP	SBUX						
135 0000	02/02/2012 Various	6,449 16	4,849 76	0 00	1,599 40	0 00	0 00	
988498101	YUM BRANDS INC	YUM						
90 0000	02/02/2012 08/03/2011	5,734 42	4,546 43	0 00	1,187 99	0 00	0 00	
302130109	EXPEDITORS INTL WASH INC	EXPD						
30 0000	02/06/2012 04/18/2011	1,308 10	1,528 65	0 00	-220 55	0 00	0 00	
018490102	ALLERGAN INC	AGN						
40 0000	03/01/2012 01/23/2012	3,586 73	3,557 51	0 00	29 22	0 00	0 00	
057224107	BAKER HUGHES INC	BHI						
65 0000	03/01/2012 04/08/2011	3,277 89	4,730 53	0 00	-1,452 64	0 00	0 00	
192446102	COGNIZANT TECHNOLOGY SOLUTION CL A	CTSH						
45 0000	03/01/2012 08/03/2011	3,208 44	3,236 90	0 00	-28 46	0 00	0 00	

STATEMENT G

Sales are listed at Gross Proceeds less commissions and option premiums

23636T100	ADR DANONE SPONSORED ADR	DANOY						
240 0000	03/01/2012 09/07/2011	3,259 14	3,145 51	0 00	113 63	0 00	0 00	
25470F104	DISCOVERY COMMUNICATIONS INC NEW COM SERA STK	DISCA						
65 0000	03/01/2012 Various	3,017 89	2,905 46	0 00	112 43	0 00	0 00	
256677105	DOLLAR GEN CORP NEW COM	DG						
75 0000	03/01/2012 05/11/2011	3,166 44	2,502 92	0 00	663 52	0 00	0 00	
268648102	EMC CORP MASS	EMC						
75 0000	03/01/2012 01/24/2012	2,082 71	1,865 28	0 00	217 43	0 00	0 00	
278865100	ECOLAB INC	ECL						
40 0000	03/01/2012 02/24/2012	2,406 35	2,503 36	0 00	-97 01	0 00	0 00	
307000109	FAMILY DOLLAR STORES INC	FDO						
20 0000	03/01/2012 01/23/2012	1,082 18	1,113 17	0 00	-30 99	0 00	0 00	
315616102	F5 NETWORKS INC	FFIV						
15 0000	03/01/2012 04/27/2011	1,876 76	1,578 52	0 00	298 24	0 00	0 00	
61166W101	MONSANTO CO COM	MON						
45 0000	03/01/2012 02/09/2012	3,500 48	3,519 26	0 00	-18 78	0 00	0 00	
654106103	NIKE INC CLASS B	NKE						
20 0000	03/01/2012 08/19/2011	2,167 76	1,609 84	0 00	557 92	0 00	0 00	

STATEMENT G

Sales are listed at Gross Proceeds less commissions and option premiums

74005P104	PRAXAIR INC	PX						
20 0000	03/01/2012 07/29/2011		2,195 36	2,071 66	0 00	123 70	0 00	0 00
741503403	PRICELINE COM INC COM	PCLN						
5 0000	03/01/2012 08/19/2011		3,142 44	2,353 27	0 00	789 17	0 00	0 00
82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE	SHPG						
35 0000	03/01/2012 01/23/2012		3,676 68	3,449 64	0 00	227 04	0 00	0 00
855244109	STARBUCKS CORP	SBUX						
40 0000	03/01/2012 08/19/2011		1,943 16	1,433 73	0 00	509 43	0 00	0 00
92826C839	VISA INC CLASS A SHARES	V						
50 0000	03/01/2012 05/06/2011		5,850 39	3,962 71	0 00	1,887 68	0 00	0 00
988498101	YUM BRANDS INC	YUM						
20 0000	03/01/2012 Various		1,324 56	1,004 64	0 00	319 94	0 00	0 00
88032Q109	ADR TENCENT HLDGS LTD ADR	TCEHY						
105 0000	03/20/2012 03/25/2011		2,899 38	2,652 04	0 00	247 34	0 00	0 00
654106103	NIKE INC CLASS B	NKE						
180 0000	03/23/2012 Various		19,257 27	14,177 14	0 00	5,080 13	0 00	0 00
654106103	NIKE INC CLASS B	NKE						
60 0000	03/26/2012 04/07/2011		6,498 83	4,680 51	0 00	1,818 32	0 00	0 00

STATEMENT G

Sales are listed at Gross Proceeds less commissions and option premiums

057224107	BAKER HUGHES INC	BHI						
125 0000	03/27/2012 Various		5,245 56	8,970 46	0 00	-3,724 90	0 00	0 00
057224107	BAKER HUGHES INC	BHI						
30 0000	03/28/2012 01/26/2012		1,229 05	1,458 68	0 00	-229 63	0 00	0 00
057224107	BAKER HUGHES INC	BHI						
90 0000	03/29/2012 01/26/2012		3,650 00	4,376 03	0 00	-726 03	0 00	0 00
315616102	F5 NETWORKS INC	FFIV						
65 0000	04/17/2012 Various		8,077 29	6,813 59	0 00	1,263 70	0 00	0 00
855244109	STARBUCKS CORP	SBUX						
600 0000	04/27/2012 Various		34,337 71	21,119 15	0 00	13,218 56	0 00	0 00
741503403	PRICELINE COM INC COM	PCLN						
11 0000	05/31/2012 08/19/2011		6,875 31	5,177 20	0 00	1,698 11	0 00	0 00
74005P104	PRAXAIR INC	PX						
70 0000	06/05/2012 07/29/2011		7,166 77	7,250 83	0 00	-84 06	0 00	0 00
354613101	FRANKLIN RESOURCES INC	BEN						
160 0000	06/22/2012 Various		16,744 35	17,925 81	0 00	-1,181 46	0 00	0 00
025816109	AMERICAN EXPRESS CO	AXP						
170 0000	07/19/2012 04/27/2012		9,555 33	10,272 25	0 00	-716 92	0 00	0 00

STATEMENT G

Sales are listed at Gross Proceeds less commissions and option premiums

192446102	COGNIZANT TECHNOLOGY SOLUTION CL A	CTSH					
120 0000	07/20/2012 08/03/2011	6,902 84	8,631 72	0 00	-1,728 88	0 00	0 00
192446102	COGNIZANT TECHNOLOGY SOLUTION CL A	CTSH					
160 0000	07/23/2012 Various	8,988 62	11,170 36	0 00	-2,181 74	0 00	0 00
192446102	COGNIZANT TECHNOLOGY SOLUTION CL A	CTSH					
110 0000	07/26/2012 Various	6,275 12	7,045 61	0 00	-770 49	0 00	0 00
025816109	AMERICAN EXPRESS CO	AXP					
420 0000	08/03/2012 Various	24,155 68	24,613 11	0 00	-457 43	0 00	0 00
025816109	AMERICAN EXPRESS CO	AXP					
260 0000	08/06/2012 Various	14,956 54	14,984 30	0 00	-27 76	0 00	0 00
056752108	BAIDU COM INC SPONSORED ADR SHS	BIDU					
360 0000	08/23/2012 Various	40,638 66	45,407 85	0 00	-4,769 19	0 00	0 00
82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE	SHPG					
65 0000	09/18/2012 Various	5,970 02	6,542 87	0 00	-572 85	0 00	0 00
778296103	ROSS STORES INC	ROST					
80 0000	10/02/2012 09/28/2012	5,256 10	5,142 90	0 00	113 20	0 00	0 00
254687106	WALT DISNEY CO	DIS					
140 0000	10/18/2012 Various	7,350 57	6,478 13	0 00	872 44	0 00	0 00

STATEMENT G

Sales are listed at Gross Proceeds less commissions and option premiums

25470F104	DISCOVERY COMMUNICATIONS INC NEW COM SERA STK	DISCA					
145 0000	10/23/2012 02/02/2012	8,643 28	6,324 89	0 00	2,318 39	0 00	0 00
268648102	EMC CORP MASS	EMC					
810 0000	10/26/2012 Various	19,432 27	21,307 26	0 00	-1,874 99	0 00	0 00
268648102	EMC CORP MASS	EMC					
635 0000	11/01/2012 Various	15,905 37	14,898 42	0 00	1,006 95	0 00	0 00
254687106	WALT DISNEY CO	DIS					
110 0000	11/28/2012 Various	5,323 26	4,928 66	0 00	394 60	0 00	0 00
904784709	UNILEVER N V	UN					
330 0000	12/05/2012 Various	12,595 81	12,026 73	0 00	569 08	0 00	0 00
904784709	UNILEVER N V	UN					
410 0000	12/06/2012 Various	15,503 02	14,772 00	0 00	731 02	0 00	0 00
254687106	WALT DISNEY CO	DIS					
390 0000	12/17/2012 Various	19,134 38	17,237 67	0 00	1,896 71	0 00	0 00
988498101	YUM BRANDS INC	YUM					
240 0000	12/20/2012 Various	15,870 97	16,064 89	0 00	-193 92	0 00	0 00
254687106	WALT DISNEY CO	DIS					
250 0000	12/21/2012 03/23/2012	12,474 37	10,881 18	0 00	1,593 19	0 00	0 00

STATEMENT G

Sales are listed at Gross Proceeds less commissions and option premiums

988498101	YUM BRANDS INC	YUM						
220 0000	12/21/2012 Various	13,993 66	14,178 30	0 00	-184 64	0 00	0 00	
Total Short Term Sales		523,625.52	507,401.63	0.00	16,223.91	0.00	0.00	
Long Term 15% Sales								
88579Y101	3M CO	MMM						
60 0000	01/12/2012 10/28/2010	5,055 20	5,130 51	0 00	-75 31	0 00	0 00	
641069406	NESTLE S A SPONSORED ADR REPSTG REG SH	NSRGY						
10 0000	01/18/2012 10/21/2010	570 69	550 57	0 00	20 12	0 00	0 00	
779376102	ROVI CORP COM	ROVI						
65 0000	01/19/2012 12/22/2010	1,949 46	3,780 86	0 00	-1,831 40	0 00	0 00	
779376102	ROVI CORP COM	ROVI						
65 0000	01/20/2012 Various	1,934 52	3,780 47	0 00	-1,845 95	0 00	0 00	
641069406	NESTLE S A SPONSORED ADR REPSTG REG SH	NSRGY						
280 0000	01/23/2012 Various	15,992 31	13,734 26	0 00	2,258 05	0 00	0 00	
779376102	ROVI CORP COM	ROVI						
110 0000	01/23/2012 Various	3,198 59	6,327 84	0 00	-3,129 25	0 00	0 00	
88579Y101	3M CO	MMM						
160 0000	01/24/2012 Various	13,641 94	13,535 98	0 00	105 96	0 00	0 00	

STATEMENT G

Sales are listed at Gross Proceeds less commissions and option premiums

641069406	NESTLE S A SPONSORED ADR REPSTG REG SH	NSRGY					
250 0000	01/26/2012 Various	14,557 32	12,088 83	0 00	2,468 49	0 00	0 00
302130109	EXPEDITORS INTL WASH INC	EXPD					
50 0000	02/06/2012 02/23/2010	2,180 16	1,865 92	0 00	314 24	0 00	0 00
88579Y101	3M CO	MMM					
170 0000	02/08/2012 Various	14,941 28	13,957 49	0 00	983 79	0 00	0 00
88579Y101	3M CO	MMM					
70 0000	02/10/2012 08/24/2010	6,106 96	5,610 08	0 00	496 88	0 00	0 00
302130109	EXPEDITORS INTL WASH INC	EXPD					
255 0000	02/14/2012 02/23/2010	11,126 86	9,516 19	0 00	1,610 67	0 00	0 00
302130109	EXPEDITORS INTL WASH INC	EXPD					
55 0000	02/15/2012 02/23/2010	2,344 83	2,052 51	0 00	292 32	0 00	0 00
302130109	EXPEDITORS INTL WASH INC	EXPD					
130 0000	02/24/2012 Various	5,674 25	4,832 11	0 00	842 14	0 00	0 00
302130109	EXPEDITORS INTL WASH INC	EXPD					
120 0000	02/28/2012 03/08/2010	5,103 72	4,401 06	0 00	702 66	0 00	0 00
037833100	APPLE COMPUTER INC	AAPL					
22 0000	03/01/2012 06/09/2009	12,010 68	3,152 03	0 00	8,858 65	0 00	0 00

STATEMENT G

Sales are listed at Gross Proceeds less commissions and option premiums

151020104	CELGENE CORP	CELG					
65 0000	03/01/2012 Various	4,776 76	3,126 56	0 00	1,650 20	0 00	0 00
302130109	EXPEDITORS INTL WASH INC	EXPD					
130 0000	03/01/2012 Various	5,692 72	4,758 71	0 00	934 01	0 00	0 00
354613101	FRANKLIN RESOURCES INC	BEN					
30 0000	03/01/2012 02/08/2011	3,557 33	3,771 83	0 00	-214 50	0 00	0 00
369604103	GENERAL ELECTRIC CO	GE					
210 0000	03/01/2012 02/09/2011	4,002 63	4,488 96	0 00	-486 33	0 00	0 00
46120E602	INTUITIVE SURGICAL INC COM NEW STK	ISRG					
5 0000	03/01/2012 07/22/2010	2,555 95	1,589 49	0 00	966 46	0 00	0 00
64110D104	NETAPP INC COM STK	NTAP					
60 0000	03/01/2012 10/20/2009	2,572 15	1,726 41	0 00	845 74	0 00	0 00
806857108	SCHLUMBERGER LTD ISIN #AN8068571086	SLB					
45 0000	03/01/2012 11/03/2010	3,508 13	3,225 43	0 00	282 70	0 00	0 00
88032Q109	ADR TENCENT HLDGS LTD ADR	TCEHY					
105 0000	03/01/2012 01/18/2011	2,692 15	2,753 20	0 00	-61 05	0 00	0 00
88732J207	TIME WARNER CABLE INC COM	TWC					
20 0000	03/01/2012 02/16/2011	1,585 97	1,443 38	0 00	142 59	0 00	0 00

STATEMENT G

Sales are listed at Gross Proceeds less commissions and option premiums

302130109	EXPEDITORS INTL WASH INC	EXPD					
140 0000	03/05/2012 02/26/2010	6,187 78	5,116 35	0 00	1,071 43	0 00	0 00
88032Q109	ADR TENCENT HLDGS LTD ADR	TCEHY					
105 0000	03/20/2012 01/18/2011	2,899 38	2,753 19	0 00	146 19	0 00	0 00
057224107	BAKER HUGHES INC	BHI					
105 0000	03/27/2012 01/25/2011	4,406 27	6,396 56	0 00	-1,990 29	0 00	0 00
057224107	BAKER HUGHES INC	BHI					
360 0000	03/28/2012 Various	14,748 65	18,636 80	0 00	-3,888 15	0 00	0 00
057224107	BAKER HUGHES INC	BHI					
55 0000	03/28/2012 01/25/2011	2,253 27	3,350 58	0 00	-1,097 31	0 00	0 00
057224107	BAKER HUGHES INC	BHI					
170 0000	03/29/2012 11/16/2010	6,894 45	8,050 11	0 00	-1,155 66	0 00	0 00
315616102	F5 NETWORKS INC	FFIV					
15 0000	04/17/2012 05/04/2010	1,863 99	1,042 80	0 00	821 19	0 00	0 00
315616102	F5 NETWORKS INC	FFIV					
50 0000	04/17/2012 03/21/2011	6,213 30	4,827 88	0 00	1,385 42	0 00	0 00
64110D104	NETAPP INC COM STK	NTAP					
450 0000	04/26/2012 Various	17,677 54	12,606 42	0 00	5,071 12	0 00	0 00

STATEMENT G

Sales are listed at Gross Proceeds less commissions and option premiums

64110D104	NETAPP INC COM STK	NTAP						
400 0000	04/27/2012 10/08/2009	15,647 40	11,021 32	0 00	4,626 08	0 00	0 00	
741503403	PRICELINE COM INC COM	PCLN						
14 0000	05/31/2012 Various	8,750 39	2,876 35	0 00	5,874 04	0 00	0 00	
741503403	PRICELINE COM INC COM	PCLN						
11 0000	06/14/2012 02/01/2010	7,062 59	2,212 99	0 00	4,849 60	0 00	0 00	
741503403	PRICELINE COM INC COM	PCLN						
16 0000	06/19/2012 02/01/2010	10,863 04	3,218 89	0 00	7,644 15	0 00	0 00	
354613101	FRANKLIN RESOURCES INC	BEN						
200 0000	06/20/2012 Various	21,644 85	25,126 88	0 00	-3,482 03	0 00	0 00	
741503403	PRICELINE COM INC COM	PCLN						
7 0000	07/19/2012 02/01/2010	4,659 83	1,408 27	0 00	3,251 56	0 00	0 00	
92826C839	VISA INC CLASS A SHARES	V						
130 0000	08/01/2012 Various	16,674 01	10,252 25	0 00	6,421 76	0 00	0 00	
92826C839	VISA INC CLASS A SHARES	V						
110 0000	08/07/2012 Various	14,309 86	8,427 62	0 00	5,882 24	0 00	0 00	
92826C839	VISA INC CLASS A SHARES	V						
130 0000	08/09/2012 Various	16,696 90	9,800 79	0 00	6,896 11	0 00	0 00	

STATEMENT G

Sales are listed at Gross Proceeds less commissions and option premiums

741503403	PRICELINE COM INC COM	PCLN						
32 0000	08/31/2012 02/01/2010	19,353 80	6,437 79	0 00	12,916 01	0 00	0 00	
315616102	F5 NETWORKS INC	FFIV						
110 0000	09/11/2012 Various	10,501 02	7,604 76	0 00	2,896 26	0 00	0 00	
315616102	F5 NETWORKS INC	FFIV						
115 0000	09/12/2012 Various	11,121 91	7,918 54	0 00	3,203 37	0 00	0 00	
82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE	SHPG						
50 0000	09/18/2012 01/14/2011	4,592 32	3,898 90	0 00	693 42	0 00	0 00	
82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE	SHPG						
80 0000	09/19/2012 Various	7,296 65	5,993 97	0 00	1,302 68	0 00	0 00	
82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE	SHPG						
55 0000	09/20/2012 11/11/2010	4,896 31	4,004 90	0 00	891 41	0 00	0 00	
82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE	SHPG						
30 0000	09/20/2012 01/07/2011	2,670 72	2,217 20	0 00	453 52	0 00	0 00	
23636T100	ADR DANONE SPONSORED ADR	DANOY						
160 0000	10/10/2012 09/07/2011	1,921 55	2,097 01	0 00	-175 46	0 00	0 00	
23636T100	ADR DANONE SPONSORED ADR	DANOY						
700 0000	10/15/2012 Various	8,652 08	9,070 65	0 00	-418 57	0 00	0 00	

STATEMENT G

Sales are listed at Gross Proceeds less commissions and option premiums

82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE	SHPG						
70 0000	10/15/2012 Various	6,051 61	5,084 47	0 00	967 14	0 00	0 00	
23636T100	ADR DANONE SPONSORED ADR	DANOY						
650 0000	10/17/2012 Various	8,015 43	8,255 06	0 00	-239 63	0 00	0 00	
25470F104	DISCOVERY COMMUNICATIONS INC NEW COM SERA STK	DISCA						
45 0000	10/23/2012 12/22/2010	2,682 40	1,928 23	0 00	754 17	0 00	0 00	
806857108	SCHLUMBERGER LTD ISIN #AN8068571086	SLB						
150 0000	10/25/2012 Various	10,601 90	10,374 20	0 00	227 70	0 00	0 00	
74005P104	PRAXAIR INC	PX						
120 0000	11/05/2012 Various	13,016 02	12,053 12	0 00	962 90	0 00	0 00	
806857108	SCHLUMBERGER LTD ISIN #AN8068571086	SLB						
120 0000	11/05/2012 Various	8,317 33	8,035 15	0 00	282 18	0 00	0 00	
192446102	COGNIZANT TECHNOLOGY SOLUTION CL A	CTSH						
180 0000	11/07/2012 Various	12,026 90	11,268 35	0 00	758 55	0 00	0 00	
74005P104	PRAXAIR INC	PX						
130 0000	11/09/2012 Various	14,027 37	12,305 98	0 00	1,721 39	0 00	0 00	
23636T100	ADR DANONE SPONSORED ADR	DANOY						
1300 0000	12/19/2012 Various	17,034 55	15,700 54	0 00	1,334 01	0 00	0 00	
Total Long Term 15% Sales		489,565.93	402,575.55	0.00	86,990.38	0.00	0.00	

STATEMENT H

2012 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums

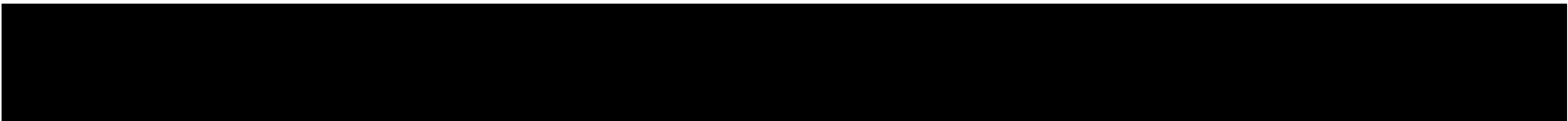


Short Term Sales

88579Y101	3M CO	MMM						
150 0000	01/11/2012 Various	12,503 55	14,204 83	0 00	-1,701 28	0 00	0 00	
88579Y101	3M CO	MMM						
50 0000	01/12/2012 03/28/2011	4,212 67	4,632 88	0 00	-420 21	0 00	0 00	
855244109	STARBUCKS CORP	SBUX						
145 0000	01/13/2012 08/11/2011	6,843 72	5,249 98	0 00	1,593 74	0 00	0 00	
641069406	NESTLE S A SPONSORED ADR REPSTG REG SH	NSRGY						
120 0000	01/18/2012 09/23/2011	6,848 26	6,427 85	0 00	420 41	0 00	0 00	
886547108	TIFFANY & CO NEW	TIF						
340 0000	01/18/2012 Various	20,346 82	23,853 28	0 00	-3,506 46	0 00	0 00	
779376102	ROVI CORP COM	ROVI						
70 0000	01/19/2012 05/12/2011	2,099 42	4,165 09	0 00	-2,065 67	0 00	0 00	
641069406	NESTLE S A SPONSORED ADR REPSTG REG SH	NSRGY						
130 0000	01/23/2012 09/23/2011	7,425 00	6,963 50	0 00	461 50	0 00	0 00	
855244109	STARBUCKS CORP	SBUX						
125 0000	01/23/2012 08/11/2011	5,947 61	4,525 85	0 00	1,421 76	0 00	0 00	
988498101	YUM BRANDS INC	YUM						
100 0000	01/23/2012 Various	6,208 40	5,099 25	0 00	1,109 15	0 00	0 00	

STATEMENT H

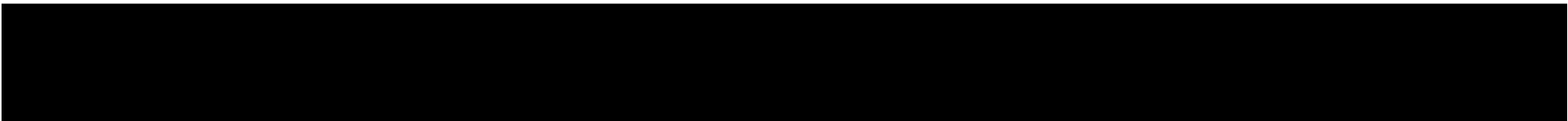
Sales are listed at Gross Proceeds less commissions and option premiums



779376102	ROVI CORP COM	ROVI						
80 0000	01/25/2012 02/28/2011	2,345 05	4,448 78	0 00	-2,103 73	0 00	0 00	
302130109	EXPEDITORS INTL WASH INC	EXPD						
155 0000	02/02/2012 Various	6,771 24	7,991 64	0 00	-1,220 40	0 00	0 00	
654106103	NIKE INC CLASS B	NKE						
60 0000	02/02/2012 Various	6,188 59	4,843 27	0 00	1,345 32	0 00	0 00	
855244109	STARBUCKS CORP	SBUX						
150 0000	02/02/2012 Various	7,165 74	5,389 23	0 00	1,776 51	0 00	0 00	
988498101	YUM BRANDS INC	YUM						
100 0000	02/02/2012 08/03/2011	6,371 58	5,051 59	0 00	1,319 99	0 00	0 00	
302130109	EXPEDITORS INTL WASH INC	EXPD						
45 0000	02/06/2012 04/18/2011	1,962 15	2,292 96	0 00	-330 81	0 00	0 00	
88032Q109	ADR TENCENT HLDGS LTD ADR	TCEHY						
20 0000	03/20/2012 03/25/2011	552 26	505 15	0 00	47 11	0 00	0 00	
654106103	NIKE INC CLASS B	NKE						
210 0000	03/23/2012 Various	22,466 83	16,564 84	0 00	5,901 99	0 00	0 00	
654106103	NIKE INC CLASS B	NKE						
70 0000	03/26/2012 04/07/2011	7,581 97	5,460 59	0 00	2,121 38	0 00	0 00	

STATEMENT H

Sales are listed at Gross Proceeds less commissions and option premiums



057224107	BAKER HUGHES INC	BHI						
210 0000	03/27/2012 Various	8,812 55	15,135 41	0 00	-6,322 86	0 00	0 00	
057224107	BAKER HUGHES INC	BHI						
10 0000	03/28/2012 01/26/2012	409 68	486 23	0 00	-76 55	0 00	0 00	
057224107	BAKER HUGHES INC	BHI						
110 0000	03/29/2012 01/26/2012	4,461 11	5,348 48	0 00	-887 37	0 00	0 00	
315616102	F5 NETWORKS INC	FFIV						
100 0000	04/17/2012 Various	12,426 62	10,490 15	0 00	1,936 47	0 00	0 00	
855244109	STARBUCKS CORP	SBUX						
700 0000	04/27/2012 Various	40,060 66	24,666 72	0 00	15,393 94	0 00	0 00	
741503403	PRICELINE COM INC COM	PCLN						
18 0000	05/31/2012 08/19/2011	11,250 51	8,471 78	0 00	2,778 73	0 00	0 00	
74005P104	PRAXAIR INC	PX						
80 0000	06/05/2012 07/29/2011	8,190 60	8,286 66	0 00	-96 06	0 00	0 00	
354613101	FRANKLIN RESOURCES INC	BEN						
170 0000	06/22/2012 Various	17,790 87	18,995 78	0 00	-1,204 91	0 00	0 00	
025816109	AMERICAN EXPRESS CO	AXP						
200 0000	07/19/2012 Various	11,241 56	12,070 65	0 00	-829 09	0 00	0 00	

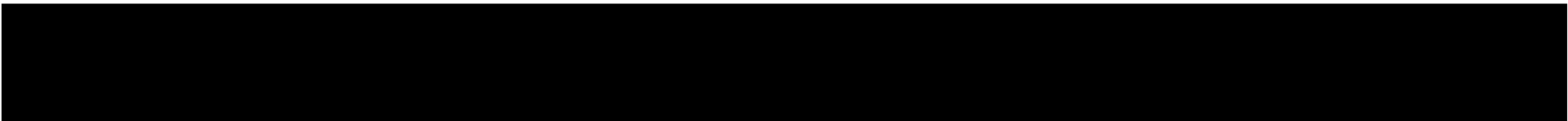
STATEMENT H

Sales are listed at Gross Proceeds less commissions and option premiums

192446102	COGNIZANT TECHNOLOGY SOLUTION CL A	CTSH					
140 0000	07/20/2012 08/03/2011	8,053 31	10,070 34	0 00	-2,017 03	0 00	0 00
192446102	COGNIZANT TECHNOLOGY SOLUTION CL A	CTSH					
180 0000	07/23/2012 Various	10,112 21	12,766 51	0 00	-2,654 30	0 00	0 00
192446102	COGNIZANT TECHNOLOGY SOLUTION CL A	CTSH					
130 0000	07/26/2012 Various	7,416 06	8,537 81	0 00	-1,121 75	0 00	0 00
025816109	AMERICAN EXPRESS CO	AXP					
490 0000	08/03/2012 Various	28,181 62	28,710 90	0 00	-529 28	0 00	0 00
025816109	AMERICAN EXPRESS CO	AXP					
300 0000	08/06/2012 Various	17,257 56	17,284 79	0 00	-27 23	0 00	0 00
056752108	BAIDU COM INC SPONSORED ADR SHS	BIDU					
425 0000	08/23/2012 Various	47,976 20	53,658 31	0 00	-5,682 11	0 00	0 00
82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE	SHPG					
110 0000	09/18/2012 Various	10,103 11	11,005 40	0 00	-902 29	0 00	0 00
778296103	ROSS STORES INC	ROST					
90 0000	10/02/2012 09/28/2012	5,913 11	5,785 77	0 00	127 34	0 00	0 00
254687106	WALT DISNEY CO	DIS					
150 0000	10/18/2012 Various	7,875 62	6,930 68	0 00	944 94	0 00	0 00

STATEMENT H

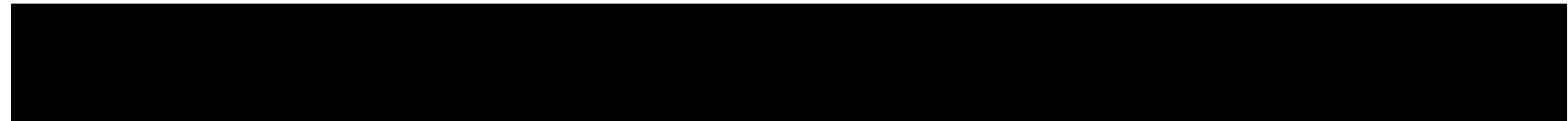
Sales are listed at Gross Proceeds less commissions and option premiums



25470F104	DISCOVERY COMMUNICATIONS INC NEW COM SERA STK	DISCA					
220 0000	10/23/2012 Various	13,113 94	9,672 39	0 00	3,441 55	0 00	0 00
268648102	EMC CORP MASS	EMC					
950 0000	10/26/2012 Various	22,790 93	24,970 72	0 00	-2,179 79	0 00	0 00
268648102	EMC CORP MASS	EMC					
730 0000	11/01/2012 Various	18,284 92	17,174 56	0 00	1,110 36	0 00	0 00
254687106	WALT DISNEY CO	DIS					
140 0000	11/28/2012 Various	6,775 06	6,266 55	0 00	508 51	0 00	0 00
904784709	UNILEVER N V	UN					
370 0000	12/05/2012 Various	14,122 58	13,490 18	0 00	632 40	0 00	0 00
904784709	UNILEVER N V	UN					
480 0000	12/06/2012 Various	18,149 89	17,296 08	0 00	853 80	0 00	0 00
254687106	WALT DISNEY CO	DIS					
450 0000	12/17/2012 Various	22,078 12	19,886 19	0 00	2,191 93	0 00	0 00
988498101	YUM BRANDS INC	YUM					
270 0000	12/20/2012 Various	17,854 85	18,087 90	0 00	-233 05	0 00	0 00
254687106	WALT DISNEY CO	DIS					
290 0000	12/21/2012 03/23/2012	14,470 26	12,622 16	0 00	1,848 10	0 00	0 00

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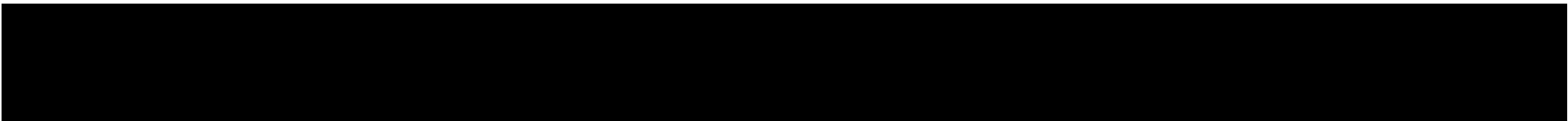
Sales are listed at Gross Proceeds less commissions and option premiums



988498101	YUM BRANDS INC	YUM						
260 0000	12/21/2012 Various	16,537 96	16,754 94	0 00	-216 98	0 00	0 00	
Total Short Term Sales		555,552.33	542,594.60	0.00	12,957.72	0.00	0.00	
Long Term 15% Sales								
88579Y101	3M CO	MMM						
60 0000	01/12/2012 10/28/2010	5,055 21	5,130 51	0 00	-75 30	0 00	0 00	
779376102	ROVI CORP COM	ROVI						
65 0000	01/19/2012 12/22/2010	1,949 47	3,780 86	0 00	-1,831 39	0 00	0 00	
779376102	ROVI CORP COM	ROVI						
75 0000	01/20/2012 Various	2,232 13	4,362 14	0 00	-2,130 01	0 00	0 00	
641069406	NESTLE S A SPONSORED ADR REPSTG REG SH	NSRGY						
320 0000	01/23/2012 Various	18,276 93	15,822 91	0 00	2,454 02	0 00	0 00	
779376102	ROVI CORP COM	ROVI						
125 0000	01/23/2012 Various	3,634 77	7,192 49	0 00	-3,557 72	0 00	0 00	
88579Y101	3M CO	MMM						
180 0000	01/24/2012 Various	15,347 18	15,237 36	0 00	109 82	0 00	0 00	
779376102	ROVI CORP COM	ROVI						
5 0000	01/25/2012 12/23/2010	146 57	283 05	0 00	-136 48	0 00	0 00	

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Sales are listed at Gross Proceeds less commissions and option premiums



641069406	NESTLE S A SPONSORED ADR REPSTG REG SH	NSRGY					
270 0000	01/26/2012 Various	15,721 90	13,059 30	0 00	2,662 60	0 00	0 00
302130109	EXPEDITORS INTL WASH INC	EXPD					
50 0000	02/06/2012 03/22/2010	2,180 16	1,920 45	0 00	259 71	0 00	0 00
88579Y101	3M CO	MMM					
190 0000	02/08/2012 Various	16,699 07	15,643 63	0 00	1,055 44	0 00	0 00
88579Y101	3M CO	MMM					
70 0000	02/10/2012 08/24/2010	6,106 96	5,610 08	0 00	496 88	0 00	0 00
302130109	EXPEDITORS INTL WASH INC	EXPD					
280 0000	02/14/2012 Various	12,217 73	10,503 67	0 00	1,714 06	0 00	0 00
302130109	EXPEDITORS INTL WASH INC	EXPD					
60 0000	02/15/2012 02/23/2010	2,558 00	2,239 10	0 00	318 90	0 00	0 00
302130109	EXPEDITORS INTL WASH INC	EXPD					
150 0000	02/24/2012 02/23/2010	6,547 22	5,597 77	0 00	949 45	0 00	0 00
302130109	EXPEDITORS INTL WASH INC	EXPD					
130 0000	02/28/2012 Various	5,529 03	4,774 24	0 00	754 79	0 00	0 00
302130109	EXPEDITORS INTL WASH INC	EXPD					
130 0000	03/01/2012 Various	5,692 72	4,762 62	0 00	930 10	0 00	0 00

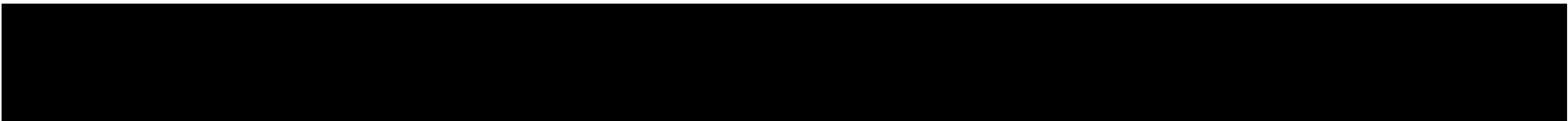
STATEMENT H

Sales are listed at Gross Proceeds less commissions and option premiums

302130109	EXPEDITORS INTL WASH INC	EXPD						
160 0000	03/05/2012 02/26/2010	7,071 76	5,847 26	0 00	1,224 50	0 00	0 00	
88032Q109	ADR TENCENT HLDGS LTD ADR	TCEHY						
220 0000	03/20/2012 01/18/2011	6,074 88	5,768 60	0 00	306 28	0 00	0 00	
057224107	BAKER HUGHES INC	BHI						
60 0000	03/27/2012 01/25/2011	2,517 87	3,655 18	0 00	-1,137 31	0 00	0 00	
057224107	BAKER HUGHES INC	BHI						
400 0000	03/28/2012 Various	16,387 40	20,729 08	0 00	-4,341 68	0 00	0 00	
057224107	BAKER HUGHES INC	BHI						
110 0000	03/28/2012 01/25/2011	4,506 53	6,701 15	0 00	-2,194 62	0 00	0 00	
057224107	BAKER HUGHES INC	BHI						
190 0000	03/29/2012 11/16/2010	7,705 56	8,997 18	0 00	-1,291 62	0 00	0 00	
315616102	F5 NETWORKS INC	FFIV						
10 0000	04/17/2012 05/04/2010	1,242 66	695 20	0 00	547 46	0 00	0 00	
315616102	F5 NETWORKS INC	FFIV						
40 0000	04/17/2012 03/21/2011	4,970 65	3,862 30	0 00	1,108 35	0 00	0 00	
64110D104	NETAPP INC COM STK	NTAP						
525 0000	04/26/2012 Various	20,623 79	14,907 45	0 00	5,716 34	0 00	0 00	

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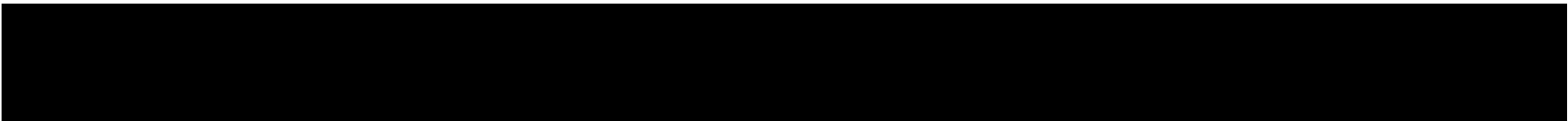
Sales are listed at Gross Proceeds less commissions and option premiums



64110D104	NETAPP INC COM STK	NTAP						
465 0000	04/27/2012 10/08/2009	18,190 11	12,812 29	0 00	5,377 82	0 00	0 00	
741503403	PRICELINE COM INC COM	PCLN						
12 0000	05/31/2012 05/14/2010	7,500 34	2,485 96	0 00	5,014 38	0 00	0 00	
741503403	PRICELINE COM INC COM	PCLN						
11 0000	06/14/2012 Various	7,062 59	2,242 91	0 00	4,819 68	0 00	0 00	
741503403	PRICELINE COM INC COM	PCLN						
19 0000	06/19/2012 02/01/2010	12,899 86	3,822 43	0 00	9,077 43	0 00	0 00	
354613101	FRANKLIN RESOURCES INC	BEN						
230 0000	06/20/2012 Various	24,891 58	28,899 51	0 00	-4,007 93	0 00	0 00	
354613101	FRANKLIN RESOURCES INC	BEN						
20 0000	06/22/2012 02/09/2011	2,093 04	2,511 31	0 00	-418 27	0 00	0 00	
741503403	PRICELINE COM INC COM	PCLN						
8 0000	07/19/2012 02/01/2010	5,325 52	1,609 45	0 00	3,716 07	0 00	0 00	
92826C839	VISA INC CLASS A SHARES	V						
140 0000	08/01/2012 Various	17,956 62	11,087 13	0 00	6,869 49	0 00	0 00	
92826C839	VISA INC CLASS A SHARES	V						
130 0000	08/07/2012 Various	16,911 65	10,073 52	0 00	6,838 13	0 00	0 00	

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Sales are listed at Gross Proceeds less commissions and option premiums



92826C839	VISA INC CLASS A SHARES	V						
160 0000	08/09/2012 Various	20,550 03	12,079 87	0 00	8,470 16	0 00	0 00	
741503403	PRICELINE COM INC COM	PCLN						
37 0000	08/31/2012 02/01/2010	22,377 83	7,443 69	0 00	14,934 14	0 00	0 00	
315616102	F5 NETWORKS INC	FFIV						
130 0000	09/11/2012 Various	12,410 30	9,004 95	0 00	3,405 35	0 00	0 00	
315616102	F5 NETWORKS INC	FFIV						
130 0000	09/12/2012 Various	12,572 58	8,951 55	0 00	3,621 03	0 00	0 00	
82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE	SHPG						
25 0000	09/18/2012 01/14/2011	2,296 16	1,949 45	0 00	346 71	0 00	0 00	
82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE	SHPG						
95 0000	09/19/2012 Various	8,664 78	7,285 77	0 00	1,379 01	0 00	0 00	
82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE	SHPG						
30 0000	09/20/2012 11/11/2010	2,670 72	2,184 49	0 00	486 23	0 00	0 00	
82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE	SHPG						
70 0000	09/20/2012 01/07/2011	6,231 67	5,173 48	0 00	1,058 19	0 00	0 00	
23636T100	ADR DANONE SPONSORED ADR	DANOY						
190 0000	10/10/2012 09/07/2011	2,281 84	2,490 20	0 00	-208 36	0 00	0 00	

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Sales are listed at Gross Proceeds less commissions and option premiums

037833100	APPLE COMPUTER INC	AAPL						
8 0000	10/11/2012 06/09/2009	5,043 90	1,146 19	0 00	3,897 71	0 00	0 00	
23636T100	ADR DANONE SPONSORED ADR	DANOY						
800 0000	10/15/2012 Various	9,888 09	10,407 64	0 00	-519 55	0 00	0 00	
82481R106	SHIRE PHARMACEUTICALS GROUP PLC SPONSORE	SHPG						
80 0000	10/15/2012 Various	6,916 12	5,819 69	0 00	1,096 43	0 00	0 00	
23636T100	ADR DANONE SPONSORED ADR	DANOY						
770 0000	10/17/2012 Various	9,495 19	9,906 00	0 00	-410 81	0 00	0 00	
806857108	SCHLUMBERGER LTD ISIN #AN8068571086	SLB						
170 0000	10/25/2012 Various	12,015 48	12,007 44	0 00	8 04	0 00	0 00	
74005P104	PRAXAIR INC	PX						
140 0000	11/05/2012 Various	15,185 35	14,192 15	0 00	993 20	0 00	0 00	
806857108	SCHLUMBERGER LTD ISIN #AN8068571086	SLB						
140 0000	11/05/2012 04/15/2010	9,703 56	9,413 34	0 00	290 22	0 00	0 00	
192446102	COGNIZANT TECHNOLOGY SOLUTION CL A	CTSH						
210 0000	11/07/2012 Various	14,031 38	13,153 96	0 00	877 42	0 00	0 00	
74005P104	PRAXAIR INC	PX						
150 0000	11/09/2012 Various	16,185 43	14,258 69	0 00	1,926 74	0 00	0 00	

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Sales are listed at Gross Proceeds less commissions and option premiums

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23636T100	ADR DANONE SPONSORED ADR	DANOY					
1500 0000	12/19/2012 Various	19,655 25	18,141 53	0 00	1,513 73	0 00	0 00
Total Long Term 15% Sales		512,003.12	427,638.17	0.00	84,364.96	0.00	0.00