Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements.

OMB No 1545-0052

2012

Open to public Inspection

For calendar year 2012 or tax year beginning , **and ending**

Name of foundation

STONE FAMILY FOUNDATION

A Employer identification number

84-1549096

Number and street (or P O box number if mail is not delivered to street address) | Room/suite

2035 LAS TUNAS ROAD

B Telephone number

805-962-7496

City or town, state, and ZIP code

SANTA BARBARA, CA 93103

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 19,575,175.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	25,224.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	726,796.	726,796.		STATEMENT 1
	5a Gross rents				
	b ! ital income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	868,995.			
	b Gross sales price for all assets on line 6a 19,905,037.				
	7 Capital gain net income (from Part IV, line 2)		868,995.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	92.	92.		STATEMENT 2
	12 **Total.** Add lines 1 through 11	1,621,107.	1,595,883.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 3	5,036.	3,784.		1,252.
	c Other professional fees STMT 4	158.	158.		0.
	17 Interest				
	18 Taxes STMT 5	18,857.	18,857.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 6	1,705.	1,279.		426.
	24 **Total operating and administrative expenses** Add lines 13 through 23	25,756.	24,078.		1,678.
	25 Contributions, gifts, grants paid	406,625.			406,625.
	26 **Total expenses and disbursements** Add lines 24 and 25	432,381.	24,078.		408,303.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	1,188,726.			
	b **Net investment income** (if negative, enter -0-)		1,571,805.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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14330501 755493 STONEFDN 2012.03040 STONE FAMILY FOUNDATION STONEFD1

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing		-1.	-1.
	2 Savings and temporary cash investments	79,306.	59,543.	59,543.
	3 Accounts receivable ▶ 481,255. Less: allowance for doubtful accounts ▶		481,255.	481,255.
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	17,324,939.	18,052,174.	19,034,378.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers)	17,404,245.	18,592,971.	19,575,175.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons	57,080.	57,080.	
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	57,080.	57,080.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds	17,347,165.	18,535,891.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 **Total net assets or fund balances**	17,347,165.	18,535,891.	
	31 **Total liabilities and net assets/fund balances**	17,404,245.	18,592,971.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	17,347,165.
2 Enter amount from Part I, line 27a	2	1,188,726.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	18,535,891.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	18,535,891.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo , day, yr)
1a	SCHEDULE ATTACHED	D		
b	CAPITAL GAINS DIVIDENDS			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	19,797,103.		19,036,042.	761,061.
b	107,934.			107,934.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				761,061.
b				107,934.
c				
d				
e				

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	868,995.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2011	126,287.	11,751,429.	.010747
2010	0.	581,248.	.000000
2009	60,068.	491,721.	.122159
2008	63,765.	840,633.	.075854
2007	70,144.	1,187,915.	.059048

2 **Total** of line 1, column (d)	2	.267808
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.053562
4 Enter the net value of noncharitable-use assets for 2012 from Part X, line 5	4	18,317,456.
5 Multiply line 4 by line 3	5	981,120.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	15,718.
7 Add lines 5 and 6	7	996,838.
8 Enter qualifying distributions from Part XII, line 4	8	408,303.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	31,436.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	31,436.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	31,436.
6 Credits/Payments:				
a 2012 estimated tax payments and 2011 overpayment credited to 2012	6a	9,000.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	9,000.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	11.
9 **Tax due** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	22,447.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11 Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ▶	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) *On the foundation.* ▶ $ 0. (2) *On foundation managers.* ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV.*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CO			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

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Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ROBERT STONE Telephone no. ▶ 805-962-7496

Located at ▶ 2035 LAS TUNAS ROAD, SANTA BARBARA, CA ZIP+4 ▶ 93103

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	☐ Yes ☒ No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b	
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53 4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	X
If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT STONE 2035 LAS TUNAS ROAD SANTA BARBARA, CA 93103	PRESIDENT 3.00	0.	0.	0.
DORIAN R STONE 2035 LAS TUNAS ROAD SANTA BARBARA, CA 93103	V/PRES 0.00	0.	0.	0.
IRENE STONE 2035 LAS TUNAS ROAD SANTA BARBARA, CA 93103	SEC/TREAS 3.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	18,380,122.
b	Average of monthly cash balances	1b	216,280.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	18,596,402.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	18,596,402.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	278,946.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	18,317,456.
6	**Minimum investment return** Enter 5% of line 5	6	915,873.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	915,873.
2a	Tax on investment income for 2012 from Part VI, line 5 — 2a: 31,436.		
b	Income tax for 2012 (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	31,436.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	884,437.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	884,437.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	884,437.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	408,303.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	408,303.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	408,303.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1 Distributable amount for 2012 from Part XI, line 7				884,437.
2 Undistributed income, if any, as of the end of 2012				
a Enter amount for 2011 only			406,535.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2012:				
a From 2007				
b From 2008				
c From 2009				
d From 2010				
e From 2011				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2012 from Part XII, line 4: ▶ $ 408,303.				
a Applied to 2011, but not more than line 2a			406,535.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2012 distributable amount				1,768.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2012 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				882,669.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2007 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2008				
b Excess from 2009				
c Excess from 2010				
d Excess from 2011				
e Excess from 2012				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED		501 (C)(3) ORGANIZATION	GENERAL	406,625.
Total			▶ 3a	406,625.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	726,796.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	92.	
8 Gain or (loss) from sales of assets other than inventory			18	868,995.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		1,595,883.	0.

13 Total Add line 12, columns (b), (d), and (e) 13 1,595,883.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions.			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date 5/1/13 — Title PRESIDENT

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
ROBERT M. RENAUD	ROBERT M. RENAUD	05/01/13		P01208791

Firm's name ▶ ROBERT M. RENAUD & ASSOCIATES — Firm's EIN ▶ 77-0383243

Firm's address ▶ P.O. BOX 22508
SANTA BARBARA, CA 93121-2508 — Phone no. (805)965-7737

Form **990-PF** (2012)

223622
12-05-12

Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2012

Name of the organization	Employer identification number
STONE FAMILY FOUNDATION	84-1549096

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990 EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
STONE FAMILY FOUNDATION	84-1549096

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ESTATE OF GEORGE STONE 2035 LAS TUNAS ROAD SANTA BARBARA, CA 93103	$ 25,224.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II if there is a noncash contribution)

Name of organization	Employer identification number
STONE FAMILY FOUNDATION	84-1549096

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization

STONE FAMILY FOUNDATION

Employer identification number

84-1549096

Part III *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	COLUMN (A) AMOUNT
MERRILL LYNCH	834,730.	107,934.	726,796.
TOTAL TO FM 990-PF, PART I, LN 4	834,730.	107,934.	726,796.

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER	92.	92.	
TOTAL TO FORM 990-PF, PART I, LINE 11	92.	92.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	5,008.	3,756.		1,252.
LEGAL FEES	28.	28.		0.
TO FORM 990-PF, PG 1, LN 16B	5,036.	3,784.		1,252.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	158.	158.		0.
TO FORM 990-PF, PG 1, LN 16C	158.	158.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
IRS	17,980.	17,980.		0.
FOREIGN TAXES	877.	877.		0.
TO FORM 990-PF, PG 1, LN 18	18,857.	18,857.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSES	1,705.	1,279.		426.
TO FORM 990-PF, PG 1, LN 23	1,705.	1,279.		426.

FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MERRILL LYNCH	18,052,174.	19,034,378.
TOTAL TO FORM 990-PF, PART II, LINE 10B	18,052,174.	19,034,378.

StoneFamilyFound 9709-7886

Page last updated 06 23 PM ET, 04/30/2013 Refresh Export Print

Transactions Statements & Reports **Realized Gain / Loss**

View Unrealized Gain/Loss

Trades made today will not appear until tomorrow

Cost Basis Calculator Rules & Assumptions

Select Date Range: Custom Date Range From: 01/01/2012 To: 12/31/2012 Search

Reporting Period: Jan 01, 2012 to Dec 31, 2012

StoneFamilyFound	Proceeds	Cost Basis	Total Gain/Loss ($| %)	Long Term Gain/Loss ($| %)	Short Term Gain/Loss ($| %)
Total	**$19,797,103.42**	**$19,036,042.20**	**+$761,061.22**	**+$476,194 63**	**+$284,866.59**

Symbol	Name (Full/Short)	Closed Date	Quantity	Proceeds	Cost Basis	Total Gain/Loss ($|%)	Long Term Gain/Loss ($|%)	Short Term Gain/Loss ($|%)
TWEIX	AMERICAN CEN	01/20/2012	26,104	$195,207 97	$183,831 51	+$11,376 46	–	+$11,376 46
TWEIX	AMERICAN CEN .	12/13/2012	146,626 282	$1,153,948 84	$1,050,833 24	+$103,115 60	+$81,536 35	+$21,579 25
APPLX	APPLESEED FD	12/14/2012	42,638 569	$558,565 25	$548,282 46	+$10,282 79	+$4,577 86	+$5,704 93

Quote | Show Indices | Symbol Lookup | DJIA 14,839.80 +21.05 (+0.14%) | NASDAQ 3,328.79 +21.77 (+0.66%) | S&P 500 1,597.57 +3.96 (+0.25%) | Russell 2000 947.46 +5.03 (+0.53%) | Time (ET) 6:23:58 PM | Refresh

Symbol	Name (Full/Short)	Closed Date	Quantity	Proceeds	Cost Basis	Total Gain/Loss ($|%)	Long Term Gain/Loss ($|%)	Short Term Gain/Loss ($|%)
FGMNX	FIDELITY GNM	07/25/2012	39,076 91	$468,141 38	$461,208 31	+$6 933 07	–	+$6,933 07
FCPVX	FIDELITY SMA	12/14/2012	18,825 046	$293,482 47	$262,534 84	+$30,947 63	+$29,875 96	+$1,071 67
HLMNX	HARDING LOEV .	12/05/2012	36,245.761	$567,971.07	$519,764 21	+$48,206 86	–	+$48,206 86
JATTX	JANUS TRITON	12/14/2012	10,037 431	$183,484 24	$178,000 00	+$5,484 24	–	+$5,484 24
EXWAX	MANNING & NA	12/07/2012	84,421 091	$647,509 77	$612,404 97	+$35,104 80	–	+$35,104 80
MWTRX	METROPOLITAN	12/18/2012	92,950.367	$1,010,370 49	$968,729 90	+$41 640 59	+$39 259 64	+$2 380 95
MWHYX	METROPOLITAN	12/18/2012	49,978 129	$521,271 89	$494,724 42	+$26,547 47	+$24,646 64	+$1,900 83
MTLQU	MOTORS LIQ C	06/14/2012	0 656	$8 20	$0 00	+$8 20	+$8 20	–
MTLQU	MOTORS LIQ C	06/25/2012	50	$606 04	$0 00	+$606 04	+$606 04	–
NBGNX	NEUBERGER BE .	12/14/2012	10,396 982	$349,130 66	$360,238 05	-$11,107 39	–	-$11,107 39
NBGNX	NEUBERGER BE	12/26/2012	713 236	$24,036 05	$24,712 44	-$676 39	–	-$676 39
NZF	NUVEEN DIV A	12/19/2012	10,000	$150,093 07	$141,982 00	+$8,111 07	+$8,111 07	–
NZF	NUVEEN DIV A	12/19/2012	3,942	$59,170.62	$55,969 30	+$3,201 32	+$3,201 32	–
NZF	NUVEEN DIV A.	12/19/2012	2,500	$37,550 76	$35,495 50	+$2,055 26	+$2,055 26	–
NZF	NUVEEN DIV A	12/19/2012	2,400	$36,048 73	$34,075 68	+$1,973 05	+$1,973 05	–
NZF	NUVEEN DIV A	12/19/2012	2,200	$33,132 67	$31,236 04	+$1,896 63	+$1 896 63	–
NZF	NUVEEN DIV A .	12/19/2012	1,100	$16,565 24	$15,618 02	+$947 22	+$947 22	–
NZF	NUVEEN DIV A	12/19/2012	900	$13,517 38	$12,778 38	+$739 00	+$739 00	–
NZF	NUVEEN DIV A.	12/19/2012	600	$9,011 59	$8,518 92	+$492 67	+$492 67	–
NZF	NUVEEN DIV A.	12/19/2012	400	$6,023 73	$5,679 28	+$344 45	+$344 45	–
NZF	NUVEEN DIV A.	12/19/2012	300	$4,517 79	$4,259 46	+$258 33	+$258 33	–
NZF	NUVEEN DIV A	12/19/2012	200	$3,001 86	$2,839 64	+$162 22	+$162 22	–
NZF	NUVEEN DIV A	12/19/2012	134	$2,011 24	$1,902 56	+$108 68	+$108 68	–

NZF	NUVEEN DIV A	12/19/2012	100	$1,505 93	$1,419 82	+$86 11	+$86 11	–
NZF	NUVEEN DIV A	12/19/2012	100	$1,502 93	$1,419 82	+$83 11	+$83 11	–
NZF	NUVEEN DIV A	12/19/2012	100	$1,505 97	$1,419 82	+$86 15	+$86 15	–
NZF	NUVEEN DIV A	12/19/2012	24	$361 66	$340 76	+$20 90	+$20 90	–
NAD	NUVEEN DIVD	12/19/2012	10,900	$167,085 46	$153,226 75	+$13,858 71	+$13 858 71	–
NAD	NUVEEN DIVD	12/19/2012	1,600	$24,527.90	$22,492 00	+$2,035 90	+$2,035 90	–
NQM	NUVEEN INVT	12/19/2012	6,300	$101,739 48	$89,328 18	+$12,411 30	+$12,411 30	–
NQM	NUVEEN INVT	12/19/2012	5,200	$84,032 66	$73,731 20	+$10 301 46	+$10,301 46	–
NQM	NUVEEN INVT	12/19/2012	3,500	$56,525 44	$49,626 77	+$6,898 67	+$6,898 67	–
NQM	NUVEEN INVT	12/19/2012	900	$14,534 21	$12,761 17	+$1,773 04	+$1,773 04	–
NQM	NUVEEN INVT	12/19/2012	300	$4,847 74	$4,253 72	+$594 02	+$594 02	–
NQM	NUVEEN INVT	12/19/2012	300	$4,847 74	$4,253 72	+$594 02	+$594 02	–
NQM	NUVEEN INVT	12/19/2012	300	$4,847 74	$4,253 72	+$594 02	+$594 02	–
NQM	NUVEEN INVT	12/19/2012	200	$3,231 83	$2,835 82	+$396 01	+$396 01	–
NQM	NUVEEN INVT	12/19/2012	100	$1,614 91	$1,417 91	+$197 00	+$197 00	–
NQM	NUVEEN INVT	12/19/2012	100	$1,614 91	$1,417 91	+$197 00	+$197 00	–
NQM	NUVEEN INVT	12/19/2012	100	$1,615 65	$1,417 91	+$197 74	+$197 74	–
NQM	NUVEEN INVT	12/19/2012	100	$1,615 91	$1,417 91	+$198 00	+$198 00	–
NQM	NUVEEN INVT	12/19/2012	100	$1,616.01	$1,417 91	+$198 10	+$198 10	–
NMA	NUVEEN MUNI	12/19/2012	7,000	$104,924 06	$101,045 00	+$3 879 06	+$3,879 06	–
NMA	NUVEEN MUNI	12/19/2012	2,000	$29,998 31	$28,870 00	+$1,128 31	+$1,128 31	–
NMA	NUVEEN MUNI	12/19/2012	1,700	$25,517 26	$24,539 50	+$977 76	+$977 76	–
NMA	NUVEEN MUNI	12/19/2012	1,500	$22,498 73	$21,652 50	+$846 23	+$846 23	–
NMA	NUVEEN MUNI	12/19/2012	1,200	$18,000 19	$17,322 00	+$678 19	+$678 19	–
NMA	NUVEEN MUNI	12/19/2012	900	$13,517 24	$12,991 50	+$525 74	+$525 74	–
NMA	NUVEEN MUNI	12/19/2012	897	$13,508 06	$12,948 20	+$559 86	+$559 86	–
NMA	NUVEEN MUNI	12/19/2012	700	$10,506 40	$10,104 50	+$401 90	+$401 90	–
NMA	NUVEEN MUNI	12/19/2012	500	$7,509 57	$7,217 50	+$292 07	+$292 07	–
NMA	NUVEEN MUNI	12/19/2012	303	$4,542 02	$4,373 80	+$168 22	+$168 22	–
NMA	NUVEEN MUNI	12/19/2012	300	$4,514 75	$4,330 50	+$184 25	+$184 25	–
NMA	NUVEEN MUNI	12/19/2012	200	$3,006 47	$2,887 00	+$119 47	+$119 47	–
NMA	NUVEEN MUNI	12/19/2012	200	$2,998 03	$2,887 00	+$111 03	+$111 03	–
NMA	NUVEEN MUNI	12/19/2012	100	$1,502 92	$1,443 50	+$59 42	+$59 42	–
NPP	NUVEEN PERFO	12/19/2012	10,400	$167,431 59	$148,668 00	+$18,763 59	+$18,763 59	–
NPP	NUVEEN PERFO	12/19/2012	3,200	$51,648 61	$45,744 00	+$5,904 61	+$5,904 61	–
NPP	NUVEEN PERFO.	12/19/2012	2,812	$45,327 16	$40,197 54	+$5,129 62	+$5,129 62	–
NPP	NUVEEN PERFO	12/19/2012	1,800	$29,034 34	$25,731 00	+$3 303 34	+$3,303 34	–
NPP	NUVEEN PERFO	12/19/2012	1,000	$16,130 19	$14,295 00	+$1 835 19	+$1,835 19	–
NPP	NUVEEN PERFO	12/19/2012	300	$4,841 76	$4,288 50	+$553 26	+$553 26	–
NPP	NUVEEN PERFO	12/19/2012	200	$3,225 84	$2,859 00	+$366 84	+$366 84	–
NPP	NUVEEN PERFO.	12/19/2012	188	$3,026 84	$2,687 46	+$339 38	+$339 38	–
NPP	NUVEEN PERFO	12/19/2012	100	$1,612 92	$1,429 50	+$183 42	+$183 42	–
NPT	NUVEEN PREM	12/19/2012	2,248	$31,737 19	$28,588 94	+$3,148 25	+$3,148 25	–
NPT	NUVEEN PREM	12/19/2012	1,000	$14,117 96	$12,717 50	+$1,400 46	+$1,400 46	–
NPT	NUVEEN PREM	12/19/2012	704	$9,922 05	$8,953 12	+$968 93	+$968 93	–
NPT	NUVEEN PREM	12/19/2012	700	$9,883 28	$8,902 25	+$981 03	+$981 03	–

NPT	NUVEEN PREM	12/19/2012	600	$8,470 78	$7,630 50	+$840 28	+$840 28	–
NPT	NUVEEN PREM . .	12/19/2012	600	$8,462 29	$7,630 50	+$831 79	+$831 79	–
NPT	NUVEEN PREM	12/19/2012	468	$6,607 21	$5,951 79	+$655 42	+$655 42	–
NPT	NUVEEN PREM	12/19/2012	200	$2,823 94	$2,543 50	+$280 44	+$280 44	–
PRILX	PARNASSUS EQ .	01/17/2012	19,709 246	$529,390 35	$510,623 89	+$18,766 46	–	+$18,766 46
QRSVX	QUEENS ROAD	01/20/2012	7,830	$144,178 65	$153,073 67	-$8,895 02	–	-$8,895 02
QRSVX	QUEENS ROAD	12/17/2012	10,767 023	$201,558 67	$206,576 89	-$5,018 22	-$2,277 31	-$2,740 91
STHTX	RIDGEWORTH H .	12/21/2012	73,530.311	$530,153 54	$491,323 07	+$38,830 47	+$36,326 43	+$2,504 04
UMBWX	SCOUT INTL F	07/30/2012	17,447 607	$519,764 21	$523,190 56	-$3,426 35	–	-$3,426 35
PRASX	T ROWE PRICE	12/07/2012	40,466 652	$678,625 75	$604,152 00	+$74,473 75	–	+$74,473 75
PRWAX	T ROWE PRICE	12/13/2012	35,844 532	$1,284,668 03	$1,231,152 00	+$53,516 03	–	+$53,516 03
BSV	VANGUARD BON. .	01/17/2012	14,458	$1,171,229 39	$1,174,508 33	-$3,278 94	–	-$3,278 94
BSV	VANGUARD BON	01/17/2012	5,400	$437,497 67	$438,638 53	-$1,140 86	–	-$1,140 86
BSV	VANGUARD BON	01/17/2012	2,800	$226,822 64	$227,473 01	-$650 37	–	-$650 37
BSV	VANGUARD BON .	01/17/2012	1,442	$116,856 92	$117,132 73	-$275 81	–	-$275 81
BSV	VANGUARD BON.	01/17/2012	300	$24,305 48	$24,368 81	-$63 33	–	-$63 33
BSV	VANGUARD BON	01/17/2012	200	$16,203.64	$16,245 87	-$42 23	–	-$42 23
BSV	VANGUARD BON .	01/17/2012	100	$8,100 98	$8,122 94	-$21 96	–	-$21 96
BSV	VANGUARD BON	01/17/2012	100	$8,102 80	$8,122 94	-$20 14	–	-$20 14
BSV	VANGUARD BON	01/17/2012	100	$8,101.80	$8,122 94	-$21 14	–	-$21 14
BSV	VANGUARD BON.	01/17/2012	100	$8,101 00	$8,122 94	-$21 94	–	-$21 94
VCSH	VANGUARD COR	01/17/2012	3,275	$256,129 90	$258,741 66	-$2,611 76	–	-$2,611 76
VCSH	VANGUARD COR .	01/17/2012	1,770	$138,427 45	$139,862 40	-$1,434 95	–	-$1,434 95
VCSH	VANGUARD COR .	01/17/2012	1,485	$116,138.29	$117,344 70	-$1,206 41	–	-$1,206.41
VCSH	VANGUARD COR	01/17/2012	1,208	$94,474 79	$95,456 16	-$981 37	–	-$981 37
VCSH	VANGUARD COR	01/17/2012	1,000	$78,175 70	$79,010 00	-$834 30	–	-$834 30
VCSH	VANGUARD COR	01/17/2012	1,000	$78,207 60	$79,020 00	-$812 40	–	-$812 40
VCSH	VANGUARD COR	01/17/2012	930	$72,703 40	$73,479 30	-$775 90	–	-$775 90
VCSH	VANGUARD COR	01/17/2012	529	$41,354 95	$41,796 29	-$441 34	–	-$441 34
VCSH	VANGUARD COR	01/17/2012	450	$35,179 06	$35,554 50	-$375 44	–	-$375 44
VCSH	VANGUARD COR .	01/17/2012	291	$22,749 13	$22,991 91	-$242 78	–	-$242 78
VCSH	VANGUARD COR. .	01/17/2012	254	$19,864 73	$20,071 08	-$206 35	–	-$206 35
VCSH	VANGUARD COR	01/17/2012	250	$19,551 90	$19,755 00	-$203 10	–	-$203 10
VCSH	VANGUARD COR	01/17/2012	250	$19,551 90	$19,752 54	-$200 64	–	-$200 64
VCSH	VANGUARD COR .	01/17/2012	186	$14,546 61	$14,697 72	-$151 11	–	-$151 11
VCSH	VANGUARD COR	01/17/2012	100	$7,820 76	$7,902 00	-$81 24	–	-$81 24
VCSH	VANGUARD COR	01/17/2012	98	$7,664 34	$7,743 96	-$79 62	–	-$79 62
VCSH	VANGUARD COR	01/17/2012	60	$4,692 46	$4,741 20	-$48 74	–	-$48 74
VCSH	VANGUARD COR	01/17/2012	40	$3,128 30	$3,160 40	-$32 10	–	-$32 10
VCSH	VANGUARD COR	01/17/2012	12	$938 49	$948 24	-$9 75	–	-$9 75
VCSH	VANGUARD COR	01/17/2012	10	$773 23	$790 10	-$16 87	–	-$16 87
VCSH	VANGUARD COR .	01/17/2012	2	$156 42	$158 02	-$1 60	–	-$1 60
VCSH	VANGUARD COR	04/02/2012	14,600	$1,153,806 01	$1,145,285 73	+$8,520 28	–	+$8,520 28
VCIT	VANGUARD COR	04/02/2012	7,000	$586,719 74	$561,400 00	+$25,319 74	–	+$25,319 74
VCSH	VANGUARD COR	04/02/2012	3,601	$284,582 75	$284,099 32	+$483 43	–	+$483 43
VCIT	VANGUARD COR	04/02/2012	1,800	$150,872 59	$144,296 00	+$6,576 59	–	+$6,576 59
VCSH	VANGUARD COR	04/02/2012	1,606	$126,950 79	$126,874 00	+$76 79	–	+$76 79
VCSH	VANGUARD COR	04/02/2012	1,400	$110,652 93	$110,600 00	+$52 93	–	+$52 93

VCSH	VANGUARD COR	04/02/2012	100	$7,905 78	$7,900 00	+$5 78	–	+$5 78
VCSH	VANGUARD COR .	06/18/2012	300	$23,679 33	$23,775 55	-$96 22	–	-$96 22
VCSH	VANGUARD COR	06/18/2012	30	$2,367 94	$2,377 56	-$9 62	–	-$9 62
VCIT	VANGUARD COR	07/11/2012	165	$14,217.36	$13,233 00	+$984 36	+$984 36	–
VCSH	VANGUARD COR ..	11/20/2012	3,050	$245,358 05	$241,711 56	+$3,646 49	–	+$3,646 49
VCIT	VANGUARD COR	12/11/2012	3,500	$310,178 60	$281,569 77	+$28,608 83	+$28,608 83	–
VCIT	VANGUARD COR .	12/20/2012	7,500	$661,404 39	$606,680 37	+$54 724 02	+$54,724 02	–
VCIT	VANGUARD COR	12/20/2012	3,298	$290,841 55	$268,698 36	+$22,143 19	+$21,664 33	+$478 86
VCIT	VANGUARD COR	12/20/2012	546	$48,150 24	$44,166 33	+$3,983 91	+$3,983 91	–
VCIT	VANGUARD COR	12/20/2012	250	$22,046 82	$20,222 68	+$1 824 14	+$1,824 14	–
VCIT	VANGUARD COR	12/20/2012	0 9077	$80 05	$80 40	-$0 35	–	-$0 35
VCSH	VANGUARD COR .	12/28/2012	4,700	$376,971 55	$376,449 84	+$521 71	–	+$521 71
VCSH	VANGUARD COR	12/28/2012	1,200	$96,261 25	$96,387 30	-$126 05	–	-$126 05
VCSH	VANGUARD COR ..	12/28/2012	100	$8,021.70	$7,922 28	+$99 42	–	+$99 42
VFIIX	VANGUARD GNM	12/20/2012	42,512 343	$467,210 65	$470,602 07	-$3,391 42	-$3,253 34	-$138 08
VASVX	VANGUARD SEL	12/14/2012	13,254 579	$281,394 71	$254,260 03	+$27,134 68	+$26,670 05	+$464 63
VWINX	VANGUARD WEL	12/26/2012	132 897	$3,202 82	$3,118 25	+$84 57	–	+$84 57
VWELX	VANGUARD WEL	12/26/2012	92 771	$3,204 31	$3,042 61	+$161 70	–	+$161 70
WIN	WINDSTREAM C .	12/05/2012	1,753	$14,932 63	$20,162 72	-$5,230 09	–	-$5,230 09
WIN	WINDSTREAM C	12/05/2012	1,406	$11,976 76	$16,411 69	-$4,434 93	–	-$4,434.93
WIN	WINDSTREAM C	12/05/2012	441	$3,756 14	$5,147 62	-$1,391 48	–	-$1,391 48

Total includes missing cost basis values for one or more lots

The total Realized Gain/Loss for this account includes values for Short Positions held in the account For more information on summary totals when there are Short Positions, please see the Help Section

Data for this holding has been edited

N/A **Not Available**

Wash Sale activity has adjusted this cost. For additional information, click here

Values for fixed income securities have been adjusted for amortization/accretion, with the exception of variable rate and mortgage-backed securities or for accounts with this preference turned off

Total Cash represents income and expenses as they occur; it may be inconsistent with values shown elsewhere on Schwab com and on your monthly account statement

The Realized Gain/Loss page provides summary information of closed transactions Not all closed transactions appear on this page

Please view the Cost Basis Disclosure Statement for additional information on cost basis methods choices and how Schwab reports adjusted cost basis information to the IRS

Please note that gains and losses realized in retirement accounts are not recognized for tax purposes Rather income may be recognized when you receive a cash distribution from these accounts Please contact your tax advisor for further information

The total Realized Gain/Loss for this account includes values for Short Positions held in the account For more information on summary totals when there are Short Positions, please see the Help Section

(0611-3926)

Stone Family Foundation
Grants and Contributions Paid
2012

Recipient	Address	Amount
Children's Hospital Colorado Foundation	13123 E 16th Ave, B045 Aurora, CO 80045	10,000
Rocky Mountain Public Broadcasting Network, Inc	1089 Bannock St Denver, CO 80204	2,000
Colorado Public Television Inc	2900 Welton St Denver, CO 80205	2,000
Denver Dumb Friends League	2080 So Quebec St Denver, CO 80231	5,000
Laradon Hall Society For Exceptional Children	5100 Lincoln St Denver, CO 80216	10,000
Diabetes Resouce Center	1704 State St Santa Barbara, CA 93101	1,000
Central Coast Alzheimer's Assoc	1528 Chapala St #204 Santa Barbara, CA 93101	1,000
KCBX, Inc	4100 Vachell Lane San Luis Obispo, CA 93401	1,000
Classical KUSC	PO Box 77913 Los Angeles, CA 90007	1,000
KCLU	60 West Olsen Rd, #4400 Thousand Oaks, CA 91360	1,000
Sarah House	PO Box 20031 Santa Barbara, CA 93120	25,000

Stone Family Foundation
Grants and Contributions Paid
2012

Recipient	Address	Amount
Camarillo Hospice	400 Rosewood Ave #102 Camarillo, CA 93010	25,000
Santa Barbara Museum of Art	1130 State St Santa Barbara, CA 93101	1,575
Goats For Life	PO Box 1582 Goleta, CA 93116	8,000
American Association of University Women California Special Projects Fund	PO Box 160067 Sacramento, CA 95816	7,550
Santa Barbara Education Fdn	1330 State St, Ste 203 Santa Barbara, CA 93101	20,000
League of Women Voters of Santa Barbara Education Fd	328 E Carrillo St Santa Barbara, CA 93101	5,000
Ensemble Theatre Company of Santa Barbara	PO Box 2307 Santa Barbara, CA 93120	5,000
Scholarship Foundation of Santa Barbara	2253 Las Positas Road Santa Barbara, CA 93105	125,000
Colorado Community College System Foundation	9101 E Lowry Blvd Denver, CO 80230	125,000
MAASAI Girls' Education Fund	5800 MacArthur Blvd N.W. Washington, DC 20016	13,000
Starfish One By One	33424 Deep Forest Road Evergreen, CO 80439	12,500
Total		406,625