Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

Note. The foundation may be able to use a copy of this return to satisfy state reporting requirements

OMB No. 1545-0052

2012

Open to Public Inspection

For calendar year 2012 or tax year beginning , 2012, and ending , 20

Name of foundation: THE HARRIS FAMILY FOUNDATION

A Employer identification number: 84-1426110

Number and street (or P.O. box number if mail is not delivered to street address): 6075 Spring Canyon Road | Room/suite

B Telephone number (see instructions): 801.479.9363

City or town, state, and ZIP code: Ogden, UT 84403

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here . ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 862,528

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	25,000			
	2	Check ▶ ☐ if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	31,088	31,088		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-20,535			
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	35,553	31,088		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	178			
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)				
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	178			
	25	Contributions, gifts, grants paid	43,075			
	26	**Total expenses and disbursements.** Add lines 24 and 25	43,253			
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-7,700			
	b	**Net investment income** (if negative, enter -0-)		-0-		
	c	**Adjusted net income** (if negative, enter -0-)			-0-	

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Part II	**Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing	957	57,788	57,788
2	Savings and temporary cash investments			
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U.S. and state government obligations (attach schedule)	563128		
b	Investments—corporate stock (attach schedule)	264349	510,264	483,529
c	Investments—corporate bonds (attach schedule)		289,526	321,211
11	Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	828434	857,518	862,528
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see instructions)	828434	857,518	
31	**Total liabilities and net assets/fund balances** (see instructions)	828434	857,578	

Part III	**Analysis of Changes in Net Assets or Fund Balances**		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	828,434
2	Enter amount from Part I, line 27a	2	-7,700
3	Other increases not included in line 2 (itemize) ▶	3	36,784
4	Add lines 1, 2, and 3	4	
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	857,578

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2**

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col (c))
2011	43,075	862,528	.049940
2010	5165	746143	.0069222
2009	30640	743101	.04123262
2008	25570	619094	.04130229
2007	39695	728645	.0544778

2	Total of line 1, column (d) . . .	2	0.1938749
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0.0387774982
4	Enter the net value of noncharitable-use assets for 2012 from Part X, line 5 . . .	4	862,528
5	Multiply line 4 by line 3 . . .	5	33,445
6	Enter 1% of net investment income (1% of Part I, line 27b) . . .	6	-0-
7	Add lines 5 and 6 . . .	7	33,445
8	Enter qualifying distributions from Part XII, line 4 . . .	8	43,075

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	0	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3		
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	0	
6	Credits/Payments:			
a	2012 estimated tax payments and 2011 overpayment credited to 2012 — 6a			
b	Exempt foreign organizations—tax withheld at source — 6b			
c	Tax paid with application for extension of time to file (Form 8868) — 6c			
d	Backup withholding erroneously withheld — 6d			
7	Total credits and payments. Add lines 6a through 6d	7		
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	0	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10		
11	Enter the amount of line 10 to be: **Credited to 2013 estimated tax** ▶ ______ **Refunded** ▶	11		

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✓
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		✓
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✓
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers. ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		✓
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✓
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	✓	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ ______			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	✓	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2012 or the taxable year beginning in 2012 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		✓
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10	✓	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	
	Website address ▶			
14	The books are in care of ▶ R. Robert Harris Telephone no. ▶ 801-479-9363 Located at ▶ 6075 Spring Canyon Road, Ogden, UT ZIP+4 ▶ 84403			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2012, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		✓
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2012?	1c		✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2012, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2012? ☐ Yes ☐ No If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___ , 20___ , 20___ , 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☐ No			
b	If "Yes," did it have excess business holdings in 2012 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2012.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2012?	4b		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ✓

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☐ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
R. Robert Harris 6075 Spring Canyon Road, Ogden, UT 84403	President-1	0	0	0
Marcia P. Harris 6075 Spring Canyon Road, Ogden UT 84403	Secretary-0	0	0	0
Carl Wolfram 4069 Beuss, Ogden UT 84403	Director-0	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	862,528
b	Average of monthly cash balances	1b	0
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	862,528
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	862,528
3	Subtract line 2 from line 1d	3	
4	Cash deemed held for charitable activities. Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	0
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	862,528
6	**Minimum investment return.** Enter 5% of line 5	6	43,126

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	43,126
2a	Tax on investment income for 2012 from Part VI, line 5	2a	-0-		
b	Income tax for 2012. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	-0-
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	43,126
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	43,126
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	43,126

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	42,126
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	42,126
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	42,126

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2011	(c) 2011	(d) 2012
1	Distributable amount for 2012 from Part XI, line 7				42,726
2	Undistributed income, if any, as of the end of 2012:				
a	Enter amount for 2011 only				
b	Total for prior years: 20___,20___,20___				
3	Excess distributions carryover, if any, to 2012:				
a	From 2007 2280				
b	From 2008 0				
c	From 2009 1372				
d	From 2010 5438				
e	From 2011 9630				
f	**Total** of lines 3a through e	14,750			
4	Qualifying distributions for 2012 from Part XII, line 4: ▶ $				
a	Applied to 2011, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2012 distributable amount				
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2012 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b	Prior years' undistributed income. Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable amount—see instructions				
e	Undistributed income for 2011. Subtract line 4a from line 2a. Taxable amount—see instructions				
f	Undistributed income for 2012. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2013				
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2007 not applied on line 5 or line 7 (see instructions)				
9	**Excess distributions carryover to 2013.** Subtract lines 7 and 8 from line 6a				
10	Analysis of line 9:				
a	Excess from 2008 0				
b	Excess from 2009 1372				
c	Excess from 2010 5438				
d	Excess from 2011 9630				
e	Excess from 2012 374				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2012, enter the date of the ruling . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2012	(b) 2011	(c) 2010	(d) 2009	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

E. Robert Harris

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Attachment	None	501(c)(3)	Charity	43,075
Total . . . . ▶ **3a**				
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)					

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . 13 ______

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		✓
	(2) Other assets	1a(2)		✓
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✓
	(3) Rental of facilities, equipment, or other assets	1b(3)		✓
	(4) Reimbursement arrangements	1b(4)		✓
	(5) Loans or loan guarantees	1b(5)		✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		✓

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

R. Robert Harris | 3-13-13 | President - trustee

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no.	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

2012

Name of the organization	Employer identification number
THE HARRIS FAMILY FOUNDATION	84-1426110

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the $33\frac{1}{3}$% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of $5,000 or more during the year . . . . ► $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2 of its Form 990; or check the box on line H of its Form 990-EZ or on Part I, line 2 of its Form 990-PF, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	R. Robert Harris 6075 Spring Canyon Road, Ogden UT 84403	$ 25,000	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II if there is a noncash contribution.)

Name of organization | Employer identification number

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization	Employer identification number

Part III ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e)** and the following line entry.
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

UBS

UBS Financial Services Inc
20 Pacifica
Suite 1500
Irvine CA 92618-7469

APP1002235565 1212 X123 XV 0

Business Services Account

December 2012

THE HARRIS FAMILY FOUNDATION
6075 SPRING CANYON ROAD
OGDEN UT 84403-5479

Account name: THE HARRIS FAMILY FOUNDATION

Account number: XV 50654 CJ

Your Financial Advisor:
CURTIS RACH/JAMES DAHL
Phone 949-453-5100/800-842-6579

Questions about your statement?
Call your Financial Advisor or the ResourceLine at 800-762-1000, account 515050654

Visit our website:
www ubs com/financialservices

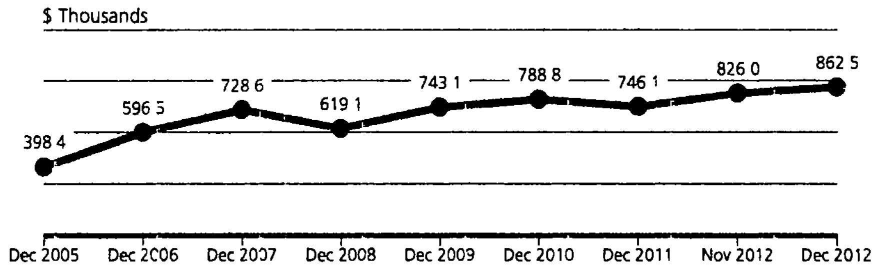
Items for your attention

- If you use UBS Online Services, consider changing your User Name and Password regularly to protect your personal data Not enrolled? Go to ubs com/onlineservices

Value of your account

	on November 30 ($)	on December 31 ($)
Your assets	826,043 62	862,527.80
Your liabilities	0.00	0 00
Value of your account	**$826,043.62**	**$862,527.80**

Tracking the value of your account



Starting in December 2006, your account values shown in this graph include accrued interest, pending return of principal, and other assets that are not held by UBS

Sources of your account growth during 2012

Value of your account at year end 2011	$746,142 50
Net deposits and withdrawals	$17,451 00
Your investment return	
Dividend and interest income	$31,088 17
Change in market value	$67,846 13
Value of your account on Dec 31, 2012	**$862,527.80**

Account name: THE HARRIS FAMILY FOUNDATION
Account number: XV 50654 CJ

Your Financial Advisor:
CURTIS RACH/JAMES DAHL
949-453-5100/800-842-6579

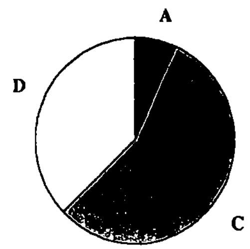
Your account balance sheet

Summary of your assets

		Value on December 31 ($)	Percentage of your account
A	Cash and money balances	57,787 73	6 70%
B	Cash alternatives	0 00	0.00%
C	Equities	483,529 00	56 06%
D	Fixed income	321,21[illegible] 07	37 24%
E	Alternative strategies	0 00	0 00%
F	Broad commodities	0 00	0 00%
G	Real estate	0 00	0 00%
H	Other	0 00	0.00%
Total assets		**$862,527.80**	**100.00%**

Value of your account **$862,527.80**

Your current asset allocation



Eye on the markets

Index	Percentage change December 2012	Percentage change Year to date
S&P 500	0 91%	16 00%
Russell 3000	1.23%	16 42%
MSCI - Europe, Australia & Far East	3.21%	17 90%
Barclays Capital Aggregate Bond Index 10+ Yrs	-1 00%	8 81%

Interest rates on December 31, 2012
3-month Treasury bills 0 10%
One-month LIBOR. 0 21%

Account name: THE HARRIS FAMILY FOUNDATION
Account number: XV 50654 CJ

Your Financial Advisor:
CURTIS RACH/JAMES DAHL
949-453-5100/800-842-6579

Change in the value of your account

	December 2012 ($)	Year to date ($)
Opening account value	**$826,043.62**	**$746,142.50**
Deposits, including investments transferred in	25,000 00	60,000 00
Withdrawals and fees, including investments transferred out	-4,550 00	-42,549 00
Dividend and interest income	6,795 55	31,088 17
Change in market value	9,238.63	67,846 13
Closing account value	**$862,527.80**	**$862,527.80**

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes The client monthly statement is not intended to be used and cannot be relied upon for tax purposes Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income Please consult your individual tax preparer

	December 2012 ($)	Year to date ($)
Taxable dividends	6,006 36	16,518 59
Taxable interest	178 19	844 12
Miscellaneous	611 00	13,194 34
Total current year	**$6,795.55**	**$30,557.05**
Prior year adjustment	0 00	531.12
Total dividend & interest	**$6,795.55**	**$31,088.17**
Return of capital/principal	143.12	1,514.95

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified

	Realized gains and losses		Unrealized
	December 2012 ($)	Year to date ($)	gains and losses ($)
Short term	0 00	-161 02	-1,594 74
Long term	0 00	-20,373 88	6,604 91
Total	**$0.00**	**-$20,534.90**	**$5,010.17**

Cash activity summary

See the section *Account activity this month* for details UBS Bank USA deposit account balances are included in the *opening and closing balances* value, are insured by the FDIC within applicable limits, but are not protected by SIPC See *Important information about your statement* at the end of this document for details about those balances

	December 2012 ($)	Year to date ($)
Opening balances	**$36,368.43**	**$957.01**
Additions		
Deposits and other funds credited	25,000 00	60,000 00
Dividend and interest income	6,795 55	31,088 17
Proceeds from investment transactions	143 12	420,514 17
Total additions	**$31,938.67**	**$511,602.34**
Subtractions		
Checks and bill payments	-4,400 00	-42,399 00
Annual fee	-150 00	-150 00
Funds withdrawn for investments bought	-5,969.37	-412,222 62
Total subtractions	**-$10,519.37**	**-$454,771.62**
Net cash flow	**$21,419.30**	**$56,830.72**
Closing balances	**$57,787.73**	**$57,787.73**

Your assets

Some prices, income and current values shown may be approximate As a result, gains and losses may not be accurately reflected See *Important information about your statement* at the end of this document for more information

Cash

Cash and money balances

Holding	Opening balance on Dec 1 ($)	Closing balance on Dec 31 ($)	Price per share on Dec 31 ($)	Average rate	Dividend/Interest period	Days in period
Cash	0 00	-1,600 00				
RMA MONEY MKT PORTFOLIO	36,368 43	59,387 73	1 00	0 01%	Nov 26 to Dec 23	28
Total	**$36,368.43**	**$57,787.73**				

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
BANK OF AMER CORP Symbol BAC Exchange· NYSE EAI $152 Current yield 0 34%	Sep 30, 11	3,796 000	11 855	45,003 98	11 610	44,071 56	-932 42	LT

Structured products

There may be little or no secondary market for structured products Prices are estimated values obtained from third parties or issuers and do not reflect adjustments taken by such third parties or issuers for financial reporting purposes arising from changes in the market value of such transactions The value at which you would be able to purchase, sell, enter into, assign or terminate any instrument will be impacted by other factors, such as hedging and transaction costs, credit considerations, bid-ask spreads and market liquidity

Holding	Trade date	Quantity	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
JP MORGAN CHASE TRIGGER PAOS OXY 04/25/2013 Exchange· OTC	Apr 20, 12	3,000 000	10 000	30,000 00	10 000	30,000 00		ST
JP MORGAN CHASE TRIGGER PAOS PCAR 04/25/2013 Exchange OTC	Apr 20, 12	2,500 000	10 000	25,000 00	10 170	25,425 00	425 00	ST

continued next page

Your assets › Equities › Structured products (continued)

Holding	Trade date	Quantity	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
ROYAL BANK OF CANADA AIRBAG AYON APC 11/18/2013 9 14% Exchange OTC EAI $2,742 Current yield 9 14%	Nov 9, 12	30 000	1,000 000	30,000 00	999 820	29,994 60	-5 40	ST
ROYAL BANK OF CANADA AIRBAG AYON IP 11/18/2013 8 48% Exchange OTC EAI $2,544 Current yield 8 39%	Nov 9, 12	30 000	1,000.000	30,000 00	1,011 020	30,330 60	330 60	ST
ROYAL BANK OF CANADA AIRBAG AYON FCX 11/18/2013 9 40% Exchange OTC EAI $2,820 Current yield 9 66%	Nov 9, 12	30 000	1,000 000	30,000 00	973 110	29,193 30	-806 70	ST
ROYAL BANK OF CANADA ROS SPX 12/31/2013 Exchange OTC	Nov 27, 12	2,500 000	10 000	25,000 00	9 870	24,675 00	-325 00	ST
Total				**$170,000.00**		**$169,618.50**	**-$381.50**	

Total estimated annual income: $8,106

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ALLIANCE/BERNSTEIN GLOBAL VALUE FUND CLASS C Symbol ABCGX									

continued next page

Your assets › **Equities** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Trade date Aug 28, 07	321 903	15 503	4,990 54	4,990 54	8 260	2,658 91	-2,331 63		LT
Total reinvested	282 046	11 523		3,250 12	8 260	2,329 70	-920 42		
EAI $116 Current yield 2 33%									
Security total	603 949	13 645	4,990 54	8,240 66		4,988 61	-3,252 05	-1 93	
COLUMBIA MID CAP VALUE FUND C									
Symbol CMUCX									
Trade date Aug 28, 07	1,750 700	14 283	25,005 25	25,005 25	14 450	25,297 60	292 35		LT
Total reinvested	57 491	12 575		723 00	14 450	830 74	107 74		
EAI $29 Current yield 0 11%									
Security total	1,808 191	14 229	25,005 25	25,728 25		26,128 35	400 09	1,123 09	
COLUMBIA MARSICO 21ST CENTURY FUND CLASS C									
Symbol NMYCX									
Trade date Aug 28, 07	2,866 756	14 211	40,740 88	40,740 88	12 230	35,060 42	-5,680 46		LT
Total reinvested	69 581	16 000		1,113 30	12 230	850 98	-262 32		
Security total	2,936 337	14 254	40,740 88	41,854 18		35,911 40	-5,942 78	-4,829 48	
FIDELITY ADVISOR LEVERAGED COMPANY STOCK FUND CLASS C									
Symbol FLSCX									
Trade date Aug 28, 07	1,419 000	35 223	49,982 43	49,982 43	37 060	52,588 14	2,605 71		LT
Total reinvested	55 687	32 781		1,825 49	37 060	2,063 76	238 27		
Security total	1,474 687	35 131	49,982 43	51,807 92		54,651 90	2,843 98	4,669 47	
OPPENHEIMER GLOBAL OPPORTUNITIES FUND CLASS C									
Symbol OGICX									
Trade date Aug 28, 07	1,392 370	35 913	50,005 25	50,005 25	27 010	37,607 91	-12,397 34		LT
Total reinvested	616 908	19 900		12,276 88	27 010	16,662 68	4,385 80		
EAI $253 Current yield 0 47%									

continued next page

Your assets › **Equities** › **Mutual funds** (continued)

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
Security total	2,009 278	30 997	50,005 25	62,282 13		54,270 59	-8,011 54	4,265 34	
TOUCHSTONE MID CAP									
GROWTH FUND CLASS C									
Symbol TOECX									
Trade date. Aug 28, 07	2,326 664	21 492	50,005 25	50,005 25	16 980	39,506 75	-10,498 50		LT
Total reinvested	888 841	17 148		15,242 60	16 980	15,092 52	-150 08		
Security total	3,215 505	20 292	50,005 25	65,247 85		54,599 27	-10,648 58	4,594 02	
Total			**$220,729.60**	**$255,160.99**		**$230,550.12**	**-$24,610.88**	**$9,820.52**	

Total estimated annual income: $398

Unit investment trusts

When cost basis is available, we may have adjusted it for all or part of principal payments made by the trust to you

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
UNTS AAM DIV RULER COV									
CALL PRTFO SER 2012-3Q									
12-3Q CASH 01/22/14									
Trade date Jul 31, 12	1,989 000	9 990	19,871 50	19,871 50	9 840	19,571 76	-299 74	-299 74	ST
EAI $411 Current yield 2 10%									
UNTS FT DIV STRENGTH									
PRTFO SER 15									
15 REIN 07/28/14									
Trade date· Aug 6, 12	1,997 000	10 015	20,000 75	20,000 75	9 790	19,550 63	-450 12		ST
Total reinvested	17 000	9 823		167 00	9 790	166 43	-0 57		
EAI $543 Current yield 2 75%									
Security total	2,014 000	10 014	20,000 75	20,167 75		19,717 06	-450 69	-283 69	
Total			**$39,872.25**	**$40,039.25**		**$39,288.82**	**-$750.43**	**-$583.43**	

Total estimated annual income: $954

Your assets (continued)

Fixed income

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested.

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
LOOMIS SAYLES									
STRATEGIC INCOME FUND									
CLASS C									
Symbol· NECZX									
Trade date· Aug 28, 07	3,011 261	14 781	44,511 63	44,511 63	15 560	46,855 22	2,343.59		LT
Total reinvested	1,092 249	13 795		15,068 17	15 560	16,995 39	1,927 22		
EAI $2,843 Current yield· 4 45%									
Security total	4,103 510	14 519	44,511 63	59,579 80		63,850 61	4,270 81	19,338 98	
LORD ABBETT BOND									
DEBENTURE FUND CL C									
Symbol· BDLAX									
Trade date· Feb 4, 09	12,376 238	6 060	75,005 25	75,005 25	8 160	100,990 10	25,934 85		LT
Total reinvested	3,092 918	7 454		23,054 83	8 160	25,238 21	2,183 38		
EAI $6,574 Current yield 5 21%									
Security total	15,469.156	6 339	75,005 25	98,060 08		126,228 31	28,168 23	51,223 06	
Total			**$119,516.88**	**$157,639.88**		**$190,078.92**	**$32,439.04**	**$70,562.04**	

Total estimated annual income: $9,417

Your assets › **Fixed income** (continued)

Structured products

There may be little or no secondary market for structured products Prices are estimated values obtained from third parties or issuers and do not reflect adjustments taken by such third parties or issuers for financial reporting purposes arising from changes in the market value of such transactions The value at which you would be able to purchase, sell, enter into, assign or terminate any instrument will be impacted by other factors, such as hedging and transaction costs, credit considerations, bid-ask spreads and market liquidity

Holding	Trade date	Quantity	Purchase price per share ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Holding period
JP MORGAN CHASE BANK NA								
MLCD EFFICIENTE 5								
1/31/2018								
Original cost basis $100,000 00	Jan 26, 12	100,000.000	101 067	101,067 98	100 930	100,930 00	-137 98	ST

Unit investment trusts

When cost basis is available, we may have adjusted it for all or part of principal payments made by the trust to you

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Dec 31 ($)	Value on Dec 31 ($)	Unrealized gain or loss ($)	Investment return ($)	Holding period
UNTS FT SR LOAN LTD									
DURATION PLUS C/E 59									
59 REIN 10/23/14									
Trade date Jul 12, 12	3,001 000	9 993	29,989 90	29,989 90	9 790	29,379 79	-610 11		ST
Total reinvested	84.000	9 856		827 91	9 790	822 36	-5 55		
EAI $2,027 Current yield 6 71%									
Security total	3,085 000	9 990	29,989 90	30,817 81		30,202 15	-615 66	212 25	

Your total assets

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Cash	**Cash and money balances**	**57,787.73**	**6.70%**	**57,787.73**		
Equities	Common stock	44,071 56		45,003 98	152 00	-932 42
	Structured products	169,618 50		170,000 00	8,106 00	-381 50
	Mutual funds	230,550 12		255,160 99	398 00	-24,610 88
	Unit investment trusts	39,288 82		40,039 25	954 00	-750.43
	Total equities	**483,529.00**	**56.06%**	**510,204.22**	**9,610.00**	**-26,675.23**
Fixed income	Mutual funds	190,078 92		157,639 88	9,417 00	32,439 04
	Structured products	100,930 00		101,067 98		-137 98

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Account name: THE HARRIS FAMILY FOUNDATION
Account number: XV 50654 CJ

Your Financial Advisor:
CURTIS RACH/JAMES DAHL
949-453-5100/800-842-6579

Your assets › **Your total assets** (continued)

		Value on Dec 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
	Unit investment trusts	30,202 15		30,817 81	2,027 00	-615 66
	Total fixed income	**321,211.07**	**37.24%**	**289,525.67**	**11,444.00**	**31,685.40**
Total		**$862,527.80**	**100.00%**	**$857,517.62**	**$21,054.00**	**$5,010.17**

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
Nov 30		**Cash and money balance**					**$36,368.43**
Dec 3	Dividend	LORD ABBETT BOND DEBENTURE FUND CL C AS OF 11/30/12				537 25	
Dec 3	Reinvestment	LORD ABBETT BOND DEBENTURE FUND CL C DIVIDEND REINVESTED AT 8 09 NAV ON 11/30/12 AS OF 11/30/12		66 409		-537 25	
Dec 3	Bsa Check	WSU WOMENS T CHECK PAID 000272				-150 00	36,218 43
Dec 6	Fee Charge	ANNUAL FEE CHARGE				-150 00	36,068 43
Dec 7	Reinvestment	TOUCHSTONE MID CAP GROWTH FUND CLASS C ST CAP GAINS REINVESTED AT 16 71 NAV ON 12/06/12		0 253		-4 23	
Dec 7	Reinvestment	TOUCHSTONE MID CAP GROWTH FUND CLASS C LT CAP GAINS REINVESTED AT 16 71 NAV ON 12/06/12		0 623		-10 41	
Dec 7	St Cap Gain	TOUCHSTONE MID CAP GROWTH FUND CLASS C SHORT TERM CAPITAL GAIN				906 56	
Dec 7	Lt Cap Gain	TOUCHSTONE MID CAP GROWTH FUND CLASS C LONG TERM CAPITAL GAIN				3,419 25	
Dec 7	Reinvestment	TOUCHSTONE MID CAP GROWTH FUND CLASS C ST CAP GAINS REINVESTED AT 16 71 NAV ON 12/06/12		54 000		-902 33	
Dec 7	Reinvestment	TOUCHSTONE MID CAP GROWTH FUND CLASS C LT CAP GAINS REINVESTED AT 16 71 NAV ON 12/06/12		204 000		-3,408 84	36,068 43
Dec 11	Dividend	OPPENHEIMER GLOBAL OPPORTUNITIES FUND CLASS C				251 08	
Dec 11	Reinvestment	OPPENHEIMER GLOBAL OPPORTUNITIES FUND CLASS C DIVIDEND REINVESTED AT 26 57 NAV ON 12/10/12		9 450		-251 08	36,068 43
Dec 12	St Cap Gain	RMA MONEY MKT PORTFOLIO AS OF 12/11/12				03	

continued next page

Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
Dec 12	Transfer	JOURNAL FROM XV 27630 R ROBERT HARRIS & MARCIA P				25,000.00	61,068 46
Dec 14	Dividend	COLUMBIA MID CAP VALUE FUND C AS OF 12/13/12				5 10	
Dec 14	Reinvestment	COLUMBIA MID CAP VALUE FUND C DIVIDEND REINVESTED AT 14 20 NAV ON 12/13/12 AS OF 12/13/12		0 359		-5 10	
Dec 14	Bsa Check	OGDEN ROTARY FOUNDATION CHECK PAID 000273				-1,000 00	60,068 46
Dec 17	Interest	ROYAL BANK OF CANADA AIRBAG AYON APC 11/18/2013 9 14% PAID ON 30				21 50	
Dec 17	Payment	ROYAL BANK OF CANADA AIRBAG AYON APC 11/18/2013 9 14% OPTION PREMIUM				207 00	
Dec 17	Interest	ROYAL BANK OF CANADA AIRBAG AYON IP 11/18/2013 8 48% PAID ON 30				21 50	
Dec 17	Payment	ROYAL BANK OF CANADA AIRBAG AYON IP 11/18/2013 8 48% OPTION PREMIUM				190 50	
Dec 17	Interest	ROYAL BANK OF CANADA AIRBAG AYON FCX 11/18/2013 9 40% PAID ON 30				21 50	
Dec 17	Payment	ROYAL BANK OF CANADA AIRBAG AYON FCX 11/18/2013 9 40% OPTION PREMIUM				213 50	60,743 96
Dec 18	Bsa Check	HUNTSMAN CANCER FDN CHECK PAID 000279				-250 00	60,493 96
Dec 21	Dividend	LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C				618 51	
Dec 21	Reinvestment	LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C DIVIDEND REINVESTED AT 15 58 NAV ON 12/20/12		39 699		-618 51	60,493 96
Dec 24	Dividend	RMA MONEY MKT PORTFOLIO AS OF 12/21/12				40	60,494 36
Dec 26	Dividend	UNTS AAM DIV RULER COV CALL PRTFO SER 2012-3Q 12-3Q CASH 01/22/14 PAID ON 1989 AS OF 12/25/12				102 83	
Dec 26	Return Of Principal	UNTS AAM DIV RULER COV CALL PRTFO SER 2012-3Q 12-3Q CASH 01/22/14 PAID ON 1989 AS OF 12/25/12				135 45	
Dec 26	Dividend	UNTS FT DIV STRENGTH PRTFO SER 15 15 REIN 07/28/14 AS OF 12/25/12				47 24	
Dec 26	Reinvestment	UNTS FT DIV STRENGTH PRTFO SER 15 15 REIN 07/28/14 AT 9 949900 REINVEST PRICE REINVEST DIV ON 12/26/12 EXECUTION CAPACITY· AGENT AS OF 12/25/12		4 000		-39 80	
Dec 26	Dividend	ALLIANCE/BERNSTEIN GLOBAL VALUE FUND CLASS C				15 65	

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Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value ($)	Cash amount ($)	Cash and money balance ($)
Dec 26	Return Of Principal	UNTS FT SR LOAN LTD DURATION PLUS C/E 59 59 REIN 10/23/14 AS OF 12/25/12				7 67	
Dec 26	Interest	UNTS FT SR LOAN LTD DURATION PLUS C/E 59 59 REIN 10/23/14 AS OF 12/25/12				178 19	
Dec 26	Reinvestment	UNTS FT SR LOAN LTD DURATION PLUS C/E 59 59 REIN 10/23/14 AT 9 787300 REINVEST PRICE REINVEST BND ON 12/26/12 EXECUTION CAPACITY AGENT AS OF 12/25/12		18 000		-176 17	
Dec 26	Reinvestment	ALLIANCE/BERNSTEIN GLOBAL VALUE FUND CLASS C DIVIDEND REINVESTED AT 8 30 NAV ON 12/20/12		1 886		-15 65	60,749 77
Dec 27	Bsa Check	HARVARD BUSINESS SCH CHECK PAID 000277				-1,000 00	59,749 77
Dec 28	Bsa Check	OUTREACH RESOURCE CTR CHECK PAID 000281				-200 00	
Dec 28	Bsa Check	KULD CHECK PAID 000282				-200 00	
Dec 28	Dividend	BANK OF AMER CORP PAID ON 3796				37 96	59,387 73
Dec 31	Bsa Check	CALCUTTA ROTARY CLUB CHECK PAID 000274				-1,100 00	
Dec 31	Bsa Check	SLAC CHECK PAID 000283				-500 00	57,787 73
Dec 31		**Closing cash and money balance**					**$57,787.73**
		Proceeds from investment transactions					**$143.12**
		Funds used for investment transactions					**-$5,969.37**

Money balance activities

Date	Activity	Description	Amount ($)
Nov 30	**Balance forward**		**$36,368.43**
Dec 4	Sold	RMA MONEY MKT PORTFOLIO AS OF 12/03/12	-150 00
Dec 7	Sold	RMA MONEY MKT PORTFOLIO	-150 00
Dec 12	Bought	RMA MONEY MKT PORTFOLIO AS OF 12/11/12	0 03
Dec 13	Bought	RMA MONEY MKT PORTFOLIO	25,000 00
Dec 17	Sold	RMA MONEY MKT PORTFOLIO AS OF 12/14/12	-1,000 00
Dec 18	Bought	RMA MONEY MKT PORTFOLIO	675 50
Dec 19	Sold	RMA MONEY MKT PORTFOLIO AS OF 12/18/12	-250 00
Dec 24	Bought	RMA MONEY MKT PORTFOLIO AS OF 12/21/12	0 40
Dec 27	Bought	RMA MONEY MKT PORTFOLIO	255 41
Dec 28	Sold	RMA MONEY MKT PORTFOLIO AS OF 12/27/12	-1,000 00
Dec 31	Sold	RMA MONEY MKT PORTFOLIO AS OF 12/28/12	-362 04

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Account activity this month (continued)

	Date	Activity	Description	Amount ($)
Money balance activities (continued)	**Dec 31**	**Closing RMA Money Mkt. Portfolio**		**$59,387.73**

The RMA Money Market Portfolio is your primary sweep option

UBS

UBS Financial Services Inc
20 Pacifica
Suite 1500
Irvine CA 92618-7469

APP1002335579 1212 X123 XV 0

2012 Year End Summary

Account name: THE HARRIS FAMILY FOUNDATION
Account number: XV 50654 CJ

Your Financial Advisor:
CURTIS RACH/JAMES DAHL
Phone 949-453-5100/800-842-6579

THE HARRIS FAMILY FOUNDATION
6075 SPRING CANYON ROAD
OGDEN UT 84403-5479

Summary of banking activity

	Year to date ($)
Checks	-42,399 00

Summary of gains and losses

	Amount ($)
Short term	-161 02
Long term	-20,373 88
Total	**$-20,534.90**

Checks

Check number	Date cleared	Description	Amount ($)
000237	Jan 11, 12	NUAMES A 501 C 3 CORP	1,000 00
000238	Jan 18, 12	W DUNNMERSTON V FIRE DEPT	50 00
000239	Feb 27, 12	US TREAS	564 00
000240	Feb 24, 12	WEBER STATE UNIV	5,000 00
000241	Mar 7, 12	WSU STORYTELLING FESTIVAL	50 00
000242	Mar 21, 12	ROTARY INTERACT CLUB PARKIN	50 00
000243	Mar 14, 12	OGDEN NATURE CTR	250 00
000244	Mar 26, 12	OSBA	4,500 00

Check number	Date cleared	Description	Amount ($)
000245	Apr 2, 12	ROTARY CLUB OF OGDEN FOUNDA	3,000 00
000246	Apr 2, 12	UTAH SHAKESPEARE FESTIVAL	1,000 00
000247	May 21, 12	CCI	100 00
000248	Sep 12, 12	HAAU SLCSE PROJECT	100 00
000249	May 29, 12	ROTARY INTL FOUNDATION	100.00
000250	Apr 23, 12	W M CHILDREN S JUSTICE CTR	150 00
000251	May 24, 12	OSBA	120 00
000252	Jun 18, 12	OGDEN NATURE CTR	250 00

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Checks (continued)

Check number	Date cleared	Description	Amount ($)
000253	Jul 16, 12	ALEXA JORGENSON	25 00
000254	Aug 6, 12	WEBER PATHWAYS	100 00
000255	Sep 14, 12	OGDEN ROTARY FOUNDATION	1,000 00
000256	Aug 16, 12	WEBER PATHWAYS	100 00
000257	Aug 24, 12	UTAH HUMANITIES COUNCIL	5,000 00
000258	Aug 29, 12	OSBA	10,000 00
000259	Aug 31, 12	UTAH FOOD BANK	100 00
000260	Sep 14, 12	OGDEN OPERA GUILD	50 00
000261	Sep 18, 12	COMM FDN OF OGDEN VALLEY	500 00
000262	Sep 27, 12	OGDEN SCHOOL FOUNDATION	140 00
000263	Oct 5, 12	WEBER STATE UNIV	550 00
000264	Oct 5, 12	AND JUSTICE FOR ALL	500 00
000265	Nov 20, 12	OGDEN OPERA GUILD	300 00

Check number	Date cleared	Description	Amount ($)
000266	Oct 26, 12	WEBER STATE UNIVERSITY	2,500 00
000268	Nov 14, 12	CHASE BANK	100 00
000269	Nov 15, 12	BALLET WEST	250 00
000271	Nov 23, 12	OGDEN ROTARY FOUNDATION	500.00
000272	Dec 3, 12	WSU WOMENS T	150 00
000273	Dec 14, 12	OGDEN ROTARY FOUNDATION	1,000 00
000274	Dec 31, 12	Payee Unrecorded	1,100 00
000277	Dec 27, 12	HARVARD BUSINESS SCH:	1,000 00
000279	Dec 18, 12	HUNTSMAN CANCER FDN	250 00
000281	Dec 28, 12	OUTREACH RESOURCE CTR	200 00
000282	Dec 28, 12	KULD	200 00
000283	Dec 31, 12	Payee Unrecorded	500 00
Total			**$42,399.00**
Total Checks			**$42,399.00**

Realized gains and losses

Estimated 2012 gains and losses for transactions with trade dates through 12/31/12 have been incorporated into this statement Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss Please check with your tax advisor To calculate gains and losses, we liquidate the oldest security lot first This is known as the first-in, first-out or FIFO accounting method We use this method unless you specified which tax lot to close when you placed your order This is known as a versus purchases or VSP order

See Important information about your statement on the last page of this statement for more information We may not adjust gains and losses for all capital changes Cost basis for coupon tax-exempt municipal securities, include securities subject to AMT, has been adjusted for mandatory amortization of bond premium Estimates in the Unclassified section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
DEUTSCHE BANK AG AIRBAG YON CMI 11/26/2012 8 80%	FIFO	15 000	May 18, 12	Nov 26, 12	15,000 00	15,000 00			
HSBC USA INC TRIGGER PAOS CVX 10/12/2012	FIFO	4,000 000	Oct 07, 11	Jan 11, 12	40,000 00	40,000 00			

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
JP MORGAN CHASE TRIGGER PAOS ACN 01/18/2013	FIFO	2,000 000	Jan 13, 12	Apr 17, 12	20,000 00	20,000 00			
JP MORGAN CHASE TRIGGER PAOS EEM 01/18/2013	FIFO	2,000 000	Jan 13, 12	Apr 17, 12	20,000 00	20,000 00			
UNTS FT TARGET GLOBAL DIVIDEND 2ND QTR 2011 REIN TERM 06/29/2012	FIFO	11 000	Jul 25, 11	Jul 05, 12	98 64	102 27		-3 63	
	FIFO	11 000	Aug 25, 11	Jul 05, 12	98 64	91 05			7 59
	FIFO	12 000	Sep 25, 11	Jul 05, 12	107 61	98 39			9 22
	FIFO	6 000	Oct 25, 11	Jul 05, 12	53 80	51 18			2 62
	FIFO	15 000	Nov 25, 11	Jul 05, 12	134 51	125 18			9 33
	FIFO	12 000	Dec 25, 11	Jul 05, 12	107 61	104 41			3 20
	FIFO	8 000	Jan 25, 12	Jul 05, 12	71 74	72 15		-0 41	
	FIFO	9 000	Feb 25, 12	Jul 05, 12	80 71	84 11		-3 40	
	FIFO	10 000	Mar 25, 12	Jul 05, 12	89 67	95 10		-5 43	
	FIFO	7 000	Apr 25, 12	Jul 05, 12	62 77	63 99		-1 22	
	FIFO	48 000	Apr 25, 12	Jul 05, 12	430 44	438 70		-8 26	
	FIFO	1 000	Apr 25, 12	Jul 05, 12	8 97	9 14		-0 17	
	FIFO	10 000	May 25, 12	Jul 05, 12	89 67	88 56			1 11
UNTS GUGG GLOBAL EXPANSION & DIVIDEND SR 1 REIN TERM.07/17/2012	FIFO	16 000	Jul 25, 11	Jul 20, 12	97 61	126 93		-29 32	
	FIFO	2 000	Aug 25, 11	Jul 20, 12	12 20	13 44		-1 24	
	FIFO	26 000	Sep 25, 11	Jul 20, 12	158 62	169 49		-10 87	
	FIFO	13 000	Oct 25, 11	Jul 20, 12	79 30	84 84		-5 54	
	FIFO	8 000	Nov 25, 11	Jul 20, 12	48 81	48 53			0 28
	FIFO	87 000	Nov 25, 11	Jul 20, 12	530 74	527 95			2 79
	FIFO	1 000	Nov 25, 11	Jul 20, 12	6 10	6 07			0 03
	FIFO	12 000	Dec 25, 11	Jul 20, 12	73 21	76 42		-3 21	

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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
	FIFO	7 000	Jan 25, 12	Jul 20, 12	42 70	46 40		-3 70	
	FIFO	65 000	Jan 25, 12	Jul 20, 12	396 53	430 86		-34 33	
	FIFO	6 000	Feb 25, 12	Jul 20, 12	36 60	41 47		-4 87	
	FIFO	65 000	Feb 25, 12	Jul 20, 12	396 54	449 24		-52 70	
	FIFO	4 000	Mar 25, 12	Jul 20, 12	24 40	28 39		-3 99	
	FIFO	21 000	Apr 25, 12	Jul 20, 12	128 11	144 81		-16 70	
	FIFO	23 000	May 25, 12	Jul 20, 12	140 31	143 41		-3 10	
	FIFO	38 000	Jun 25, 12	Jul 20, 12	231 82	236 92		-5 10	
Total					**$98,838.38**	**$98,999.40**		**-$197.19**	**$36.17**
Net short-term capital gains and losses								**-$161.02**	

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
ALLIANCE/BERNSTEIN GLOBAL VALUE FUND CLASS C	FIFO	1,291 000	Aug 28, 07	Sep 06, 12	10,000 00	20,014 71		-10,014 71	
BANK OF AMERICA 8 200% PERPETUAL, CALLABLE	FIFO	400 000	May 21, 08	Feb 27, 12	9,938 43	10,000 00		-61 57	
COLUMBIA MARSICO 21ST CENTURY FUND CLASS C	FIFO	651 893	Aug 28, 07	Feb 27, 12	8,000 00	9,264 37		-1,264 37	
HSBC BANK USA, N A CD BARRIER S&P 500 2/17/2012 Original cost basis $100,000 00	FIFO	100,000 000	Feb 12, 09	Jan 11, 12	99,066 20	105,489 48		-6,423 28	
JP MORGAN CHASE TRIGGER YON DE 10/15/2012 12 25%	FIFO	608 000	Oct 07, 11	Oct 15, 12	39,945 60	39,945 60			
JP MORGAN CHASE TRIGGER YON CAT 11/26/2012 10 66%	FIFO	159 000	Nov 18, 11	Nov 26, 12	14,934 87	14,934 87			

continued next page

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount ($)	Cost basis ($)	Wash sale cost basis adjustment($)	Loss ($)	Gain ($)
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS C	FIFO	33 394	Aug 28, 07	Sep 04, 12	500 00	493 62			6 38
UBS AG TRIGGER AOS SPY 10/27/2016	FIFO	6,700 000	Oct 21, 11	Oct 31, 12	74,202 50	67,000 00			7,202 50
UBS AG YON-CP WFC 7/20/2012 9.60%	FIFO	952 000	Jul 16, 10	Jul 20, 12	24,980 48	24,980 48			
UNTS FT TARGET GLOBAL DIVIDEND 2ND QTR.2011 REIN TERM 06/29/2012	FIFO	2,478 000	May 31, 11	Jul 05, 12	22,221 22	24,536 09		-2,314 87	
	FIFO	12 000	Jun 25, 11	Jul 05, 12	107 61	111 62		-4 01	
UNTS GUGG GLOBAL EXPAN-SION & DIVIDEND SR 1 REIN TERM 07/17/2012	FIFO	691 000	May 31, 11	Jul 20, 12	4,215 45	6,200 03		-1,984 58	
	FIFO	1,952 000	May 31, 11	Jul 20, 12	11,908 17	17,374 80		-5,466 63	
	FIFO	23 000	Jun 25, 11	Jul 20, 12	140 31	189 05		-48 74	
Total					**$320,160.84**	**$340,534.72**		**-$27,582.76**	**$7,208.88**
Net long-term capital gains or losses								**-$20,373.88**	
Net capital gains/losses:								**-$20,534.90**	

Harris Family Foundation
Gifts to Charities--2012

	A	B	C	D
1	Charity	Address	Date	
2				
3				
4	Ballet West	50 W. 200 S., Salt Lake City, UT	11/9/12	250
5	CCI--Service Dogs	4506 N. Cottonwood, Ogden, UT	4/4/12	100
6	Children's Justice Center	2408 Van Buren, Ogden, UT	4/14/12	150
7	Community Foundation--Valley	P.O. Box 972, Ogden, UT	9/11/12	500
8	Creighton Law School	2500 College Plaza, Omaha, NE	12/10/12	1,000
9	Dummerston Fire Department	P.O. Box 40, West Dummerston, VT	1/5/12	50
10	Haddonfield H.S. Alumni	401 King's Highway, Haddonfield, NJ	12/1/12	100
11	Harvard Alumni Association of UT	57 W. 200 S., SLC, UT	4/6/12	100
12	Harvard Business School	Soldiers Field Road, Boston MA	12/10/12	1,000
13	Huntsman Cancer Foundation	15 N. 2030 E., Rm. 2100, Salt Lake City, UT 84112	12/15/12	250
14	Justice for All	205 N. 400 W., SLC, UT	9/29/12	500
15	KUED-TV	101 S. Wasatch Drive, Salt Lake City, UT 84112	12/16/12	200
16	NUAMES	5885 Spring Canyon Rd., Ogden, UT 84403	1/2/12	50
17	Ogden Nature Center	966 West 12th Street, Ogden, UT	3/10/12	250
18	Ogden Nature Center	966 West 12th Street, Ogden, UT	6/14/12	240
19	Ogden Opera Guild	2580 Jefferson Ave., Ogden, UT 84401	9/5/12	50
20	Ogden Opera Guild--Sponsor	2580 Jefferson Ave., Ogden, UT 84401	10/1/12	300
21	Ogden Rotary Found.--Dictionaries	2580 Jefferson Ave., Ogden, UT 84401	8/2/12	1,000
22	Ogden Rotary Foundation	2580 Jefferson Ave., Ogden, UT 84401	3/23/12	3,000
23	Ogden School Foundation	1950 Monroe, Ogden, UT	9/21/12	140

Harris Family Foundation
Gifts to Charities--2012

	A	B	C	D
24	Ogden Symphony Ballet Assoc.	2580 Jefferson Ave., Ogden, UT 84401	5/19/12	120
25	Ogden Symphony Ballet-Sponsor	2580 Jefferson Ave., Ogden, UT 84401	8/26/12	10,000
26	Ogden Symphony--Copier	2580 Jefferson Ave., Ogden, UT 84401	3/23/12	4,500
27	Outreach Resource Center	705 23rd Street, Ogden UT	12/16/12	200
28	Rotary International Foundation	2885 N. 1300 E., Ogden, UT	4/6/12	100
29	Rotary International--Interact	2580 Jefferson Ave., Ogden, UT 84401	2/29/12	50
30	Rotary--Dictionary India Project	2580 Jefferson Ave., Ogden, UT 84401	11/29/12	1,100
31	Rotary--Russian Orphanage	1364 Arlington Drive, Ogden, UT	6/27/12	25
32	Rotary--Secretarial	2580 Jefferson Ave., Ogden, UT 84401	11/28/12	1,000
33	Rotary, Ogden--Ghana Project	2580 Jefferson Ave., Ogden, UT 84401	11/11/12	500
34	Rwanda School Project	2580 Jefferson Ave., Ogden, UT 84401	10/31/12	100
35	Salt Lake Acting Company	168 W. 500 N., SLC, UT	12/18/12	500
36	Swarthmore College	500 College Avenue, Swarthmore, PA 19081	12/10/12	1,000
37	Utah Food Bank	3150 S. 900 W., Salt Lake City, UT	8/29/12	100
38	Utah Humanities Council-Software	202 W. 300 N., SLC, UT	8/17/12	5,000
39	Utah Shakespeare Festival	351 W. Center St., Cedar City, UT 84720	3/25/12	1,000
40	Weber Pathways	P.O. Box 972, Ogden, UT	8/10/12	100
41	Weber Pathways	P.O. Box 972, Ogden, UT	8/10/12	100
42	Weber Pathways--Ruth Orton	P.O. Box 972, Ogden, UT	7/29/12	100
43	Weber State U. Story Telling	4018 University Circle, Ogden, UT	2/29/12	50
44	Weber State U.- Bonneville Chamber	3848 Harrison Blvd., Ogden, UT	2/17/12	5,000
45	Weber State University	3701 University Circle, Ogden, UT 84408	9/25/12	550
46	Weber State University--BCMF	3701 University Circle, Ogden, UT 84408	10/20/12	2,500

Harris Family Foundation
Gifts to Charities--2012

	A	B	C	D
47	Weber State University--Tennis	3701 University Circle, Ogden, UT 84408	11/22/12	150
48				
49				
50				
51				
52		**Total**		43,075
53				
54	All Recipients are §501(c)(3) charities.			